STAFF ANALYSIS
APPROVAL BY THE BOARD REGARDING THE FOLLOWING RENEWAL APPLICATION FOR LICENSE TO OPERATE A SIMULCAST WAGERING FACILITY FOR A PERIOD OF FIVE YEARS: SAN MATEO COUNTY FAIR

Board Meeting
November 18, 2026

ISSUE

San Mateo County Exposition and Fair Association (San Mateo County Fair) has submitted an Application for Authorization to Operate a Simulcast Wagering Facility, form CHRB-25, to renew their license to operate a simulcast wagering facility.

BACKGROUND

A "simulcast wagering facility," as defined in California Horse Racing Board (CHRB or Board) Rule 2056, is the physical premises, structure, and equipment utilized by a guest association (i.e., racing association, fair, or tribal facility) for the conduct of pari-mutuel wagering on horse racing events being run elsewhere.

On October 25, 2024, CHRB Rule 2057 was amended to, in part, require each guest association licensed prior to January 1, 2025, to file a new Application for Authorization to Operate a Simulcast Wagering Facility, form CHRB-25, by January 1, 2026, to renew its license for a five-year term. All existing guest associations were licensed prior to January 1, 2025.

ANALYSIS

The simulcast wagering facility application for San Mateo County Fair is being presented as a consent calendar item. Consent items are expected to be routine and noncontroversial. It is recommended that the Board adopt these items without discussion. If any interested party, member of the Board, or staff member requests that an item be removed from the consent calendar, it will be considered separately.

RECOMMENDATION

The application meets all requirements for licensure. Staff therefore recommends approval of the consent calendar item.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR AUTHORIZATION TO OPERATE A SIMULCAST WAGERING FACILITY
CHRB-25 (Rev. 1/23)

Application is hereby made to the California Horse Racing Board (CHRB) for authorization to operate a simulcast wagering facility in accordance with the California Business and Professions Code (BPC), Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (CHRB Rules and Regulations).

1. APPLICANT INFORMATION

A. Name of applicant association, fair, or tribal facility: San Mateo County Exposition and Fair Association

B. Location of facility (City and County): San Mateo, San Mateo County

C. Mailing address of association, fair, or tribal facility: 2495 S. Delaware Street; San Mateo, California, 94403

D. Telephone number: 650-574-6063

E. Name and title of the managing officer or tribal council representative of the applicant association, fair, or tribal facility: Dana Stoehr, CEO

F. Name of the affiliated (simulcast) organization(s) that will operate the pari-mutuel wagering at the facility: NCOTWinc.

G. The regular schedule for operation of the facility will be as follows: Friday: 10am-10pm; Saturday: 10am-10pm; Sunday: 10am-10pm

H. Inclusive date during which the applicant proposes to operate as a simulcast wagering facility during the current racing year: January 1 to December 31, 2026

Application must be filed not later than 90 days before the scheduled start date for operation of the proposed facility pursuant to CHRB Rule 2057.

Notice to Applicant: If approved for license, the term of license shall not exceed five years, pursuant to CHRB Rule 2057.

2. OPERATION OF THE SIMULCAST WAGERING FACILITY

A. Inclusive hours and days for operation of the facility:
☐ Daily ☐ Wed - Sun ☐ Tues - Sat ☒ Other - specify: Friday to Sunday

B. Inclusive time periods during the calendar year the facility **will not** be utilized as a simulcast wagering facility: Monday to Thursday

Exceptions to the foregoing: Martin Luther King Day, President's Day, Memorial Day, Independence Day, Labor Day, Indigeneous People's Day, December 26, New Year's Day

C. If approved, wagering will be offered on live race meetings being held or conducted by the following racing associations:

Race Meeting	Breed	Day or Night
Del Mar Thoroughbred Club	Thoroughbred	Day
Los Alamitos Racing Association	Thoroughbred	Day
Los Alamitos QH Racing Ass.	Quarter Horse	Night
Los Angeles County Fair	Thoroughbred	Day
Los Angeles Turf Club	Thoroughbred	Day

D. Dates during which the applicant will conduct or has conducted live horse racing during the current racing year (if none, so state): None

3. ELIGIBILITY FOR APPROVAL AS A GUEST ASSOCIATION

A. The applicant association is: ☐ Racing Association ☒ Fair ☐ Tribal Facility ☐ Other Business Entity

Complete the applicable subsection (B, C, or D). Then continue to E.

B. RACING ASSOCIATION - approval pursuant to BPC section 19605.

1. The name under which the association is licensed by the Board: N/A

2. The names and titles of management personnel assigned to the facility: N/A

C. FAIR

1. Approval is requested pursuant to BPC section ☒ 19605.1 OR ☐ 19605.2.

2. Correct title of the fair or fair association: San Mateo County Exposition and Fair Association

3. Names and titles of the present fair directors: **President:** Rosanne Foust **Vice-President:** Kitty Lopez **Secretary-Treasurer:** Raymond Juballa Directors: Thomas Ames Noemi Avram Leonard Iniguez Amy Buckmaster Theresa Faapua Rowena Poti Meafua Jim Tucker Steven Wong

4. Names and titles of management personnel assigned to the simulcast wagering facility: Dana Stoehr; CEO, Justin Aquino; Fair Operations Manager, Pedro Guerrero; Jockey Club Supervisor, Rick Tull; Jockey Club Assistant Supervisor

D. TRIBAL FACILITY

1. Approval is requested pursuant to the Tribal-State Compact signed on: N/A

2. The name of the tribe under which the Tribal-State Compact was approved: N/A

3. Names and titles of management personnel assigned to the facility: N/A

E. If applicable, names and titles of management personnel comprising management company or other entity operating gaming and simulcast wagering at the facility: N/A

F. Has the management company or other entity been approved by the U.S. Bureau of Indian Affairs? ☐ Yes ☒ No If yes, the date of that approval:

Notice to Applicant: Changes to management personnel and Simulcast Facility Supervisor(s) must be immediately reported to the Board.

4. CONCESSIONAIRES AND VENDORS

A. The concessionaires, vendors, and other entities providing food service, beverage service, racing selection services, janitorial or custodial service, or other service or supplies within the simulcast wagering facility are (specify the name and type of service of supplies): Food Service: San Mateo County Exposition and Fair Association Culinary Department, Janitorial: Enviroclean

B. Other vendors to be permitted to sell products or services outside, but on the premises of, the simulcast wagering facility are: N/A

5. SUPERVISION, SECURITY, AND FIRE PREVENTION

A. The names of all persons to be employed as a Simulcast Facility Supervisor at the simulcast wagering facility: Pedro Guerrero and Rick Tull

B. The workers' compensation insurance carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured,

give details): California Fairs Services Authority Certificate Number PRISM-PE 25 EWC-33

C. Attach a copy of the Certificate of Insurance. See "5.C San Mateo Jockey Club Insurance" attachment.

D. Attach a fire clearance from the fire authority having jurisdiction. See "5.D Satellite Wagering Facility Cert. of Occupancy…." attachment.

E. The name of the person having responsibility for security controls at the facility is: Craig Graber

F. The number of security officers and/or guards to be regularly employed at the facility is: 3

G. The police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility is: San Mateo Police Department

H. Specify the name, address, and telephone number of the emergency ambulance service the applicant will utilize in event of illness or injury at the facility. American Medical Response West (AMR), subsidiary of EMSC Corportation; 1510 Rollins Road, Burlingame, CA 94010 ; 650-200-0017

I. Does the applicant propose to have emergency medical care available at the facility:
☐ Yes ☒ No If yes, describe.

6. EQUIPMENT PROVIDED BY THE GUEST ASSOCIATION

A. Describe the television equipment (simulcast receivers, decoders, controls, monitors, etc.) to be utilized at the facility. (38) 42" LG LCD TV with Tuner 720 P, (107) Flat Screen TV's, (4) Ruckus AP, (1) Blackmagic Design Multiview 16, (24) Canare 2' Video Patch Cords, (1) Tascam 1U Rackmount Blu-Ray/USB/Media Player, (1) Crown Two-Channel 650W Power Amplifier, (2) Canare 24DV-2U 2RU 2X24 Digital Patchbay, Roberts Communications Network handles all video communications. RCN receivers installed in the video room. Dish Network deals with the installation of the equipment.

B. Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility. (3) CTS 2000 70 Volt Amp, (1) 160 4 VLZ Control Panel Router, (1) Belden 84510 Audio Instrument Cable 1000', (1) Belden 1694A RG6 COAX Cable 1000' Black, Auray BP-2U 2RU Space Blank Panel- 4 Pack, (16) Auray Black Panel 2U, (12) Cabletronix 2-Space Slotted Rack Shelf, (4) AC Cloudpate T7N Rack Mount Cooling SYS, (2) Canare 24DV-2U 2RU 2X24 Digital Patchbay, (1) Allen QU-24 Rackmountable Digital Mixer, (1) Cyperpower PFC 2000VA/1540W/8-Outlt Rack-Mn, (1) JBL Powered Studio Monitor with 5" Woofer

CALIFORNIA
FAIR SERVICES
AUTHORITY

August 20, 2026

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95815

Re: San Mateo County Fair
2495 South Delaware Street
San Mateo, CA 94403

Please be advised that the San Mateo County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

A.	Primary Coverage	$500,000 self-insured retention California Fair Services Authority Coverage continuous until cancelled
B.	Excess Coverage	(a) Workers' Compensation: Statutory Limit in excess of $500,000 (b) Employers' Liability: $4,500,000 in excess of $500,000 Coverage provided by PRISM Term: 7/1/2026 to 7/1/2027

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the San Mateo County Fair as set forth in California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Jerome Coelho
Risk Resources Coordinator

1776 Tribute Road, Suite 100
Sacramento, CA 95815
Phone: (916) 921-2213 | Fax: (916) 646-1238
www.cfsa.org

SMCFD Fire Inspection Report (No Violations Found)

Fire Department Information

San Mateo Consolidated Fire Department

Inspector: Martin, Melinda

Inspector Email: Mmartin@smcfire.org

Fire Prevention Phone #: 650-522-7940

Fire Prevention Address: 2121 S. El Camino Real, Bldg B, Ste 100

San Mateo, Ca 94403

Facility Information

Occupant Name: JOCKEY CLUB

Occupant Street Number: 2495

Location Street Number(s): 2495

Street Name: Delaware

Street Type: Street

Inspection Date: 10/26/2026

City: SAN MATEO

Postal Code: 94403

State: California

Facility Contact

First Name CRAIG

Last Name GRABER

Email cgraber@smcec.co

Inspection Information

The San Mateo Consolidated Fire Department conducted a fire and life safety inspection of your property. We are pleased to inform you that no violations were found during this inspection.

Your continued commitment to maintaining a safe environment for employees, customers, residents, and the community is greatly appreciated. By keeping your property in compliance with fire and life safety standards, you help ensure the well-being of all who live, work, and visit in our community.

If you have any questions regarding fire and life safety requirements, or if you would like additional resources on fire prevention, please contact our office at 650-522-7940.

Inspection Type: Re-Inspection (1st)

Violations

Violation Code	Description	Comments
No Violations Found On Inspection		

Documents

Inspection Documents

Operational Permits

Refer to the California Fire Code and San Mateo Consolidated Fire Department Ordinances for specific laws and regulations governing required operational permits.

C. Attach a detailed scale plan of the facility indicating all points of access, emergency exits, and the placement of offices and food and beverage service locations. See"6.C Jockey Club Layout" attachment.

7. PARI-MUTUEL EQUIPMENT AND WAGERING SERVICES

A. Describe the pari-mutuel equipment, odds displays, modems or muxes, and method of data transmission to be utilized (include the number of pari-mutuel terminals to be on-site). The Pari-Mutel equipment is provided by American Totalizator Company (Am-Tote). It consists of Bill Machines that sell vouchers, teller terminals where a teller can sign on and use, or be utilized as flip self-service terminals, and traditional self-service terminals used exclusively as self-service terminals. Meanwhile, Am-Tote handles the data and odds transmission in conjunction with the RCN equipment. At the San Mateo facility, there are 104 AM-Tote terminals displaying the races for which we are accepting wagers on that day, designating specific TVs for each track that California is contributing to the respective pari-mutuel pools.

B. Will the applicant be responsible for maintenance of the pari-mutuel equipment?
☐ Yes ☒ No

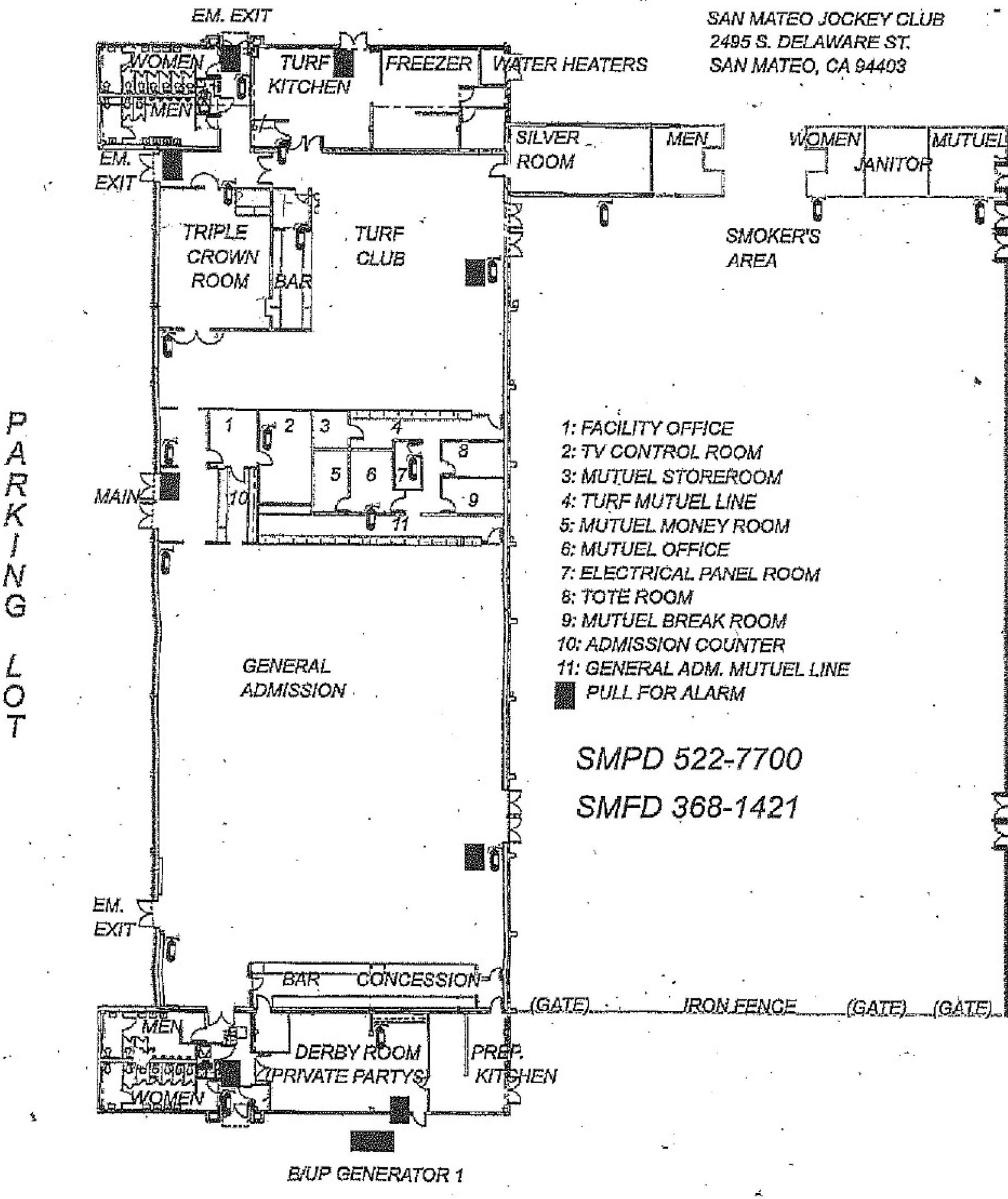
C. Describe the method by which patron complaints regarding wagering operation and/or the facility or its employees may be filed. If a patron cares to file a claim, a designated person, generally the Money Room Supervisor, fills out a claim form. The information contained includes the type of claim, window number, location, date, dollar amount of the claim (if applicable), and who and when the claim was written by. The patron's statement, along with their name, address, phone number, and signature, is included in the form so that the claim can be resolved.

D. Has the applicant made arrangements to provide for the encashment of valid pari-mutuel tickets issued at other facilities or at California race meetings?
☒ Yes ☐ No

8. ADMISSIONS, CHARGES, AND SERVICE FEES

A. The admissions charges are:
Level 1 (Gen. Adm.): $10
Level 2 (Premium CH): N/A
Level 3 (Membership): N/A

B. Parking Charges are:
Level 1 (General): $0
Level 2 (Premium): $0
Level 3 (Valet): $0


EM. EXIT
SAN MATEO JOCKEY CLUB
2495 S. DELAWARE ST.
SAN MATEO, CA 94403
WOMEN
MEN
TURF KITCHEN
FREEZER
WATER HEATERS
SILVER ROOM
MEN
WOMEN
JANITOR
MUTUEL
EM. EXIT
TRIPLE CROWN ROOM
BAR
TURF CLUB
SMOKER'S AREA
1
2
3
4
5
6
7
8
9
10
11
MAIN
1: FACILITY OFFICE
2: TV CONTROL ROOM
3: MUTUEL STOREROOM
4: TURF MUTUEL LINE
5: MUTUEL MONEY ROOM
6: MUTUEL OFFICE
7: ELECTRICAL PANEL ROOM
8: TOTE ROOM
9: MUTUEL BREAK ROOM
10: ADMISSION COUNTER
11: GENERAL ADM. MUTUEL LINE
PULL FOR ALARM
PARKING LOT
GENERAL ADMISSION
SMPD 522-7700
SMFD 368-1421
EM. EXIT
BAR
CONCESSION
(GATE)
IRON FENCE
(GATE)
(GATE)
MEN
WOMEN
DERBY ROOM
PRIVATE PARTYS
PREP. KITCHEN
B/UP GENERATOR 1

C. Program costs are: $1

D. Seating costs, if any, are: N/A

9. FOOD AND BEVERAGE SERVICE

A. Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; barn counters; or other description as appropriate). Microwavable options for quick, hot bites. Grab-N-Go Sandwiches, Fruit, Salad, Pastries, Chips, Beef Jerky, Peanuts and Cookies. Beer and Wine Bar. Snack and Coffee Vending Machines. Pepsi Products (Soda, Water and Juice).

B. The seating capacity in the general admission area is: 446

C. The number of tables in the general admission area is: 127

D. The seating capacity in the premium area is: N/A

E. The number of tables in the premium area is: N/A

F. Overall square footage in the public general admission area is: 12,703

G. Overall square footage in the public premium admission area is: N/A

H. Overall square footage available for "overflow" attendance: 9,310

I. Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction. No more than 801 people in a banquet or conference set.

J. The total number of parking spaces available in the combined parking areas can accommodate (number of standard sized automobiles): 700

K. Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking. All Grounds Year Round Events and San Mateo County Fair

10. AGREEMENTS

A. Fair applicants must attach the resolution of its governing body that determined that the conduct of simulcast wagering at its fair facility best serves the interest of the fair.

B. Attach a copy of the agreement between the applicant and the (simulcast) organizations(s) that sets forth therein the duties of the respective parties.

RESOLUTION

AFFIRMING THE VALUE OF SIMULCAST WAGERING AT FAIRGROUNDS

RESOLVED BY THE BOARD OF DIRECTORS OF CARF:

- **WHEREAS, Satellite wagering facilities** provide California fairgrounds with a critical source of revenue that sustains agricultural programs, supports community events, and ensures the preservation and maintenance of fairground infrastructure; and
- **WHEREAS, Simulcast wagering**, conducted under the regulatory framework of the California Horse Racing Board, enables patrons at fairgrounds to engage in pari-mutuel wagering on live horse races conducted in California and across the nation; and
- **WHEREAS, The operation of simulcast wagering** at fairgrounds expands access for local communities, fosters broader participation in horse racing, and strengthens the financial stability of member fairs, thereby reinforcing the role of California fairgrounds as vital community-serving institutions.

NOW, THEREFORE, BE IT RESOLVED:

- The Board of Directors of the California Authority of Racing Fairs, as the governing body for member satellite wagering facilities, hereby determines that the conduct of simulcast wagering at fairgrounds **best serves the interests of the fairgrounds, their communities, and the broader horse racing industry in California.**

Dana Steele — **Board Chair**

12/9/25 — **Date**

Resolution passed unanimously by the CARF Board of Directors, Tuesday, Dec. 9, 2025

RESOLUTION

AFFIRMING THE VALUE OF SIMULCAST WAGERING AT FAIRGROUNDS

CARF Board of Directors:

Dana Stoehr, Board Chair (CEO, San Mateo County Event Center)
Dan Jacobs, Board Vice-Chair (CEO, AV Fair & Event Center)
Daniel Castillo (CEO, San Joaquin County Fairgrounds
Tom Keaney (CEO, Solano County Fairgrounds)
Tom Martinez (CEO, Cal Expo & California State Fair)
Kelly Violini (CEO, Monterey County Fair)

CARF Member Fairs:

- **Alameda Couty Fairgrounds, Pleasanton**
- **The Big Fresno Fair, Fresno**
- **Cal Expo & California State Fair, Sacramento**
- **Monterey County Fair & Event Center, Monterey**
- **San Joaquin County Fairgrounds, Stockton**
- **San Mateo County Event Center, San Mateo**
- **Santa Clara County Fairgrounds, San Jose**
- **Solano County Fairgrounds, Vallejo**
- **AV Fair & Event Center, Lancaster**
- **National Orange Show, San Bernardino**
- **San Bernardino County Fairgrounds, Victorville**
- **Ventura County Fairgrounds, Ventura**

C. Attach a copy of the written consent of the horsemen's organization(s), if applicable, consenting to the acceptance of wagers at the facility.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its simulcast facility until notified otherwise.

Notice is also given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) that contract(s) to provide the pari-mutuel equipment and pari-mutuel employees and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is also given that CHRB rules 1870 and 1871 require that the Board be given 15 days' notice in writing of any intention to terminate operations, engagements, or services by any licensee, approved concessionaire, or approved service contractor.

11. DECLARATIONS

A. All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, and agreements with the (simulcast) organization(s) necessary to conduct and operate the simulcast wagering program at the facility have been finalized, except as follows (if there are no exceptions, so state): Yes, no exceptions

B. All service contractors and concessionaires have valid State, County, or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) that remain in effect for the entire term of the approval, except as follows (if there are no exceptions, so state): Yes, no exceptions

C. Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires, or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant, except as follows (if there are no exceptions, so state): Yes, no exceptions

12. CERTIFICATION BY APPLICANT

TOC
Thoroughbred Owners of California
Serving the California Thoroughbred Racehorse Owner

December 16, 2025

Ms. Sandra Shinn
Licensing Division Chief
California Horse Racing Board

RE: CHRB-25 CARF Locations

Dear Ms. Shinn,

The Thoroughbred Owners of California consent to the following simulcast organizations to accept wagers pursuant to the California Horse Racing law.

- Alameda Couty Fairgrounds, Pleasanton
- The Big Fresno Fair, Fresno
- Cal Expo & California State Fair, Sacramento
- Monterey County Fair & Event Center, Monterey
- San Joaquin County Fairgrounds, Stockton
- San Mateo County Event Center, San Mateo
- Santa Clara County Fairgrounds, San Jose
- Solano County Fairgrounds, Vallejo
- AV Fair & Event Center, Lancaster
- National Orange Show, San Bernardino
- San Bernardino County Fairgrounds, Victorville
- Ventura County Fairgrounds, Ventura

Sincerely,

William Nader
President & CEO
Thoroughbred Owners of California

CC: Heather Haviland

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

_____Dana Stoehr_____ _____

Print Name Signature

CARF CHAIR (Title) Date 12/16/25

INSTRUCTION SHEET FOR FULL DISCLOSURE STATEMENT

Background Information and Ownership

By authority of Article 9.2 of the California Business and Professions Code and the Federal Indian Gaming Act, in order to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators authorized by the CHRB, any person, corporation, trust association, partnership, joint venture, or management firm that submits an application for such authorization or that is named in such application and that is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, Section 19480 of the California Business and Professions Code, shall be required to complete and submit a full disclosure statement.

I. BACKGROUND INFORMATION

A. PERSONAL INFORMATION—Application documents must include, for each individual who is a director, officer, or partner in the application or an owner of an interest in the applicant of 5% or more, the following:

1. The individual's full name and any previous names or aliases;
2. The individual's date of birth;
3. A physical description of the individual;
4. The individual's business addresses and telephone numbers; and
5. A disclosure of employment and educational and military history for the past 20 years or since the age of 18 years.

B. PERSONAL HISTORY—Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in subsection A who is a director, officer, or partner in the application or an owner of an interest in the applicant of 5% or more.

C. RELATIONSHIP—The application documents must state, for each individual providing information under subsection A of this section, whether the individual is related to a member of the CHRB or an employee of the Board. A half-relationship or step-relationship is considered to be a familial relationship for purposes of this section.

D. CORPORATIONS—If the applicant is a corporation, the application documents must state the following:

1. The state in which the applicant is incorporated; and
2. The name and address of the applicant's agent for service of process in California.

E. INDICTMENTS OR CONVICTIONS

If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense (i.e., felony or misdemeanor).

II. OWNERSHIP

A. IDENTIFICATION AND LOCATION—The application documents must include the following:
1. A list of all names used by the applicant; and
2. The name of the agent and the address and telephone number of the office of the applicant for service of process in California.

B. BUSINESS STRUCTURE—The application documents must describe the applicant's business structure and include an organizational chart.

C. ORGANIZERS—If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the Board, the application documents must state the following:

1. The name of each individual who was an organizer or promoter of the applicant;
2. The nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
3. The nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.

D. ORGANIZATIONAL DOCUMENTS

1. If the applicant is a corporation, the application documents must include the following:

a. A statement of when and in which state the corporation was organized;
b. A certified copy of the articles of incorporation and by-laws of the applicant;
c. A statement and documentation of whether the corporation has been reorganized or reincorporated during the five-year period preceding the date on which the application documents are submitted to the Board; and
d. A statement and documentation of whether the corporation has filed restated articles of incorporation.

2. If the applicant is an unincorporated business association, the application documents must include the following:

a. A certified copy of each organizational document for the applicant, including any partnership agreement; and
b. A description of any oral agreements involving the organization of the partnership.

E. CAPITAL STOCK

1. If the applicant is authorized to issue capital stock, the application documents must include the information required by this section.

2. The applicant must state the classes of stock authorized and the total shares of each class authorized. The applicant must state, for each class of stock, the following:

   a. The par value, if any;
   b. The voting rights;
   c. The current rate of dividend; and
   d. The number of shares outstanding and the market value of each share.

3. The application documents must list the name and address of each person who owns, of record or beneficially, at least 5% of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.

4. The application documents must include a certified copy of each voting trust or voting agreement in which at least 5% of the capital stock is held and must state the following:

   a. The name and address of each stockholder participating in the trust or agreement;
   b. The class of stock involved; and
   c. The total number of shares held by the trust or agreement.

F. DIRECTORS, OFFICERS, AND PARTNERS

1. If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted to the Board, as a director, officer, or partner of the applicant.

2. The list must state, for each individual listed under subsection 1 of this section, the following:

   a. The individual's name and business address;
   b. Each position or office of the applicant held by the individual;
   c. The individual's principal occupation during the five-year period preceding the date on which the application documents are submitted to the Board; and
   d. The nature and extent of any ownership interest that the individual has in the applicant.

3. Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under subsection 1 of this section.

G. CONTROLLING ENTITY

1. The application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.

2. If a nonindividual entity owns an interest of 5% or more in the applicant, the application documents must include the information required by subsection 1 of this section as it relates to the nonindividual entity.

3. The application documents must include information required by subsection 2 of this section for each nonindividual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.

H. OUTSIDE INTERESTS AND LICENSE HISTORY

1. The application must state whether the any of following holds for the applicant or a director, officer, or partner of the applicant:

   a. Has ever held an ownership interest in a licensee of the Board; or
   b. Is currently engaged in the business of racing in another state.