

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENT OF BOARD RULE 1481, OCCUPATIONAL LICENSES AND FEES; RULE  
1483, APPLICATION FOR LICENSE; RULE 1486, TERM OF LICENSE; AND RULE 1487,  
ADDRESS OF LICENSEES

Board Meeting  
November 19, 2025

ISSUE:

California Assembly Bill (AB) No. 179 (Chapter 997, Statutes of 2024) amended California Business and Professions Code (BPC) section 19520 to, in part, recategorize the occupational license types that the California Horse Racing Board (Board) may issue, to meet Federal Bureau of Investigation requirements pertaining to access to criminal history information. This change requires that Board Rule 1481, Occupational Licenses and Fees, be amended consistent with the newly enacted statute. The Board also seeks to update the current license fees within Board Rule 1481; incorporate by reference a consolidated license application and require licensee fingerprints be submitted electronically in Board Rule 1483, Application for License; change the license expiration cycle in Board Rule 1486, Term of License; and require physical addresses for licensees in Board Rule 1487, Address of Licensees.

BACKGROUND:

These proposed rule amendments were previously considered and approved by the Board at its December 19, 2024, meeting and June 19, 2024, meeting. The originally proposed text was noticed to the public on March 14, 2025, and the 45-day public comment period ended on April 28, 2025. No comments were received during the comment period, and no public hearing was requested. The Board subsequently identified necessary revisions and modified the proposed regulatory text, which was noticed to the public on June 30, 2025, and the 15-day public comment period ended on July 15, 2025. No comments were received during the comment period, and no public hearing was requested. The regulation package was submitted to the Office of Administrative Law (OAL) on July 21, 2025. On August 28, 2025, OAL expressed concerns regarding the clarity of language surrounding fingerprinting in Rule 1483 and the regulation package was withdrawn on August 29, 2025, to address OAL's concerns. The Board subsequently identified further necessary revisions and has modified the proposed regulatory text.

## ANALYSIS:

The proposed subsection (a) of Board Rule 1483 has been modified to detail the electronic submission of fingerprints to the California Department of Justice Live Scan Service. This is necessary because CHRB uses the California Department of Justice Live Scan Service to submit the electronic fingerprints; however, since it is not a CHRB form or process, we cannot incorporate the form by reference.

The proposed subsection (b) of Board Rule 1483 has been modified to read that the fingerprint requirement “will” be waived rather than “may” be waived. This is necessary because the term “may” is permissive and could lead to arbitrary and inconsistent decisions with respect to the denial of licenses and does not provide the public necessary clarity or sufficient notice as to when a waiver may or may not be denied. The rule previously used the word “will” and these proposed changes would revert back to the original verbiage for clarity.

## RECOMMENDATION:

This item is presented to the Board for action and discussion. Staff recommend that the Board approve the proposed amendments as presented.

CALIFORNIA CODE OF REGULATIONS  
TITLE 4. BUSINESS REGULATIONS  
DIVISION 4. CALIFORNIA HORSE RACING BOARD  
ARTICLE 4. OCCUPATIONAL LICENSES  
PROPOSED AMENDMENT OF RULE 1481, OCCUPATIONAL LICENSES AND FEES

1481. Occupational Licenses and Fees.

No person required to be licensed shall participate or attempt to participate in a race meeting without holding a valid license authorizing that participation.

(a) A person acting in any capacity within the restricted area of an inclosure, simulcast facility or auxiliary stabling area shall procure the appropriate license(s) and pay the fee required.

(b) A person acting in any of the following capacities shall procure the appropriate license(s) and pay the fee required:

- ~~(1) Horse Owner by Open Claim ..... \$250~~
- ~~(2) Officer, Director, Partner, or any individual or person who holds 5% or more of the outstanding shares of an advance deposit wagering, mini-satellite wagering provider, racing association, simulcast service supplier, or totalizator company ..... \$200~~
- ~~(3) Horse Owner ..... \$150~~
- ~~(4) Trainer, Assistant Trainer, Driver, Jockey, Apprentice Jockey, Jockey Agent ..... \$150~~
- ~~(5) Veterinarian ..... \$150~~
- ~~(6) Steward, Associate Steward, Steward (Veterinary Service), Simulcast Facility Supervisor, Assistant Simulcast Facility Supervisor, Racing Official, Administrative or Managerial personnel including General Manager of a racing association, advance deposit wagering, mini-satellite wagering provider, simulcast organization or an intrastate guest association, who exercise control over other licensees, horse racing, pari-mutuel wagering, simulcast operations, or whose duties routinely require access to restricted areas of the inclosure ..... \$150~~
- ~~(7) Bloodstock Agent ..... \$150~~
- ~~(8) Valet, Jockey Room or Drivers' Room Attendant or Custodian or Service Person, Colors Attendant, Paddock Attendant ..... \$75~~
- ~~(9) Assistant to a Racing Official or Official, Assistant General Manager of a racing association, simulcast organization or an intrastate guest association, Assistant Starter,~~

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|---|-----------------|
| <del>Assistant to the Veterinarian, Assistant Manager, Announcer, Paymaster of Purses, Superintendent, Starting Gate Driver, Flagman, Marshal, Stewards' Aide .....</del>   | <del>\$75</del> |
| <del>(10) Exercise Rider, Pony Rider, Outrider.....</del>   | <del>\$75</del> |
| (11) <del>Horseshoer, Stable Agent, Vendor or Vendor's Employee when duties require access to the restricted area, Stable Foreman.....</del>  | <del>\$75</del> |
| <del>(12) Pari-mutuel Employee, Totalizator Technician, Video Operator, Photofinish Operator .....</del>  | <del>\$75</del> |
| <del>(13) Security Officer, Security Guard, Stable Gateman, Fire Guard, Security Investigator .....</del>   | <del>\$75</del> |
| (14) <del>Clerical Employee or Uncategorized Employee of a racing association, intrastate guest association, simulcast organization, simulcast service supplier, totalizator company, horsemen's organization or concessionaire when employed in a restricted area.....</del> | <del>\$75</del> |
| <u>(1) Association Employee, which includes those hired by a racing association who have access to the licensed inclosure, such as food service, maintenance, media, security, track management, and racing staff .....</u>   | <u>\$100</u>    |
| <u>(2) Bloodstock Agent.....</u>  | <u>\$150</u>    |
| <u>(3) Corporate Officer.....</u>   | <u>\$200</u>    |
| <u>(4) Driver or Provisional Driver .....</u>   | <u>\$150</u>    |
| <u>(5) Exercise Rider or Provisional Exercise Rider .....</u>   | <u>\$100</u>    |
| <u>(6) Farrier .....</u>  | <u>\$100</u>    |
| <u>(7) Jockey Agent.....</u>  | <u>\$150</u>    |
| <u>(8) Jockey or Jockey Apprentice .....</u>  | <u>\$150</u>    |
| <u>(9) Jockey Valet.....</u>  | <u>\$100</u>    |
| <u>(10) Off-track wagering personnel .....</u>  | <u>\$100</u>    |
| <u>(11) Owner, including Open-Claim Owner.....</u>  | <u>\$200</u>    |
| <u>(12) Pari-Mutuel employee, including Auditor, Self-Service Terminal Operator, Totalizator Technician, and Pari-Mutuel Manager.....</u>   | <u>\$75</u>     |

- (13) Pony Rider ..... \$100
- (14) Racing Official, as defined in subsection 19510(c) of the Business and Professions Code..... \$150
- (15) Safety Personnel, including security guard, emergency medical personnel, and public safety official (law enforcement and fire department) ..... \$100
- (16) Special Event Personnel ..... \$100
- (17) Special Event - Temporary, which includes those hired to work for a period of no more than thirty days and who are responsible for security at stakes races or other high-profile events ..... \$50
- (18) Stable Agent..... \$100
- (19) Stable Foreman..... \$100
- (20) Stableworker, including those who feed, groom, exercise, train, or conduct other general care of horses..... \$50
- (21) Stakeholder Representative, including clergy, union representative, and representative from a state-recognized organization of trainers or horsepersons..... \$100
- (22) Trainer or Assistant Trainer ..... \$150
- (23) Vendor or Vendor Employee, including those who provide goods or services at a facility licensed by the Board ..... \$100
- (24) Veterinarian Assistant, including Registered Veterinary Technician and those employed by a Board-licensed veterinarian ..... \$100
- (25) Veterinarian ..... \$150

(c) ~~A person acting in the capacity of Backstretch Event Personnel, Groom, Provisional Exercise Rider, Stable Employee or Stable Assistant shall procure the appropriate annual license. The fee for an original license is \$35, and the annual renewal of license is \$20.~~

(dc) A person acting in the capacity of Authorized Agent shall register an authorized agent agreement and registration of authorized agent and pay a fee of ~~\$25~~50 for each registration.

(ed) A person or persons electing to conduct racing operations by use of a Stable Name, or stable name group, shall register the Stable Name, or stable name group, and pay a fee of \$300.

(fe) A person or persons conducting racing operations as a syndicate, an entity running under a stable name group or as a partnership having more than ten general partners or having one or more limited partners shall register the syndicate, entity running under a stable name group or partnership as a multiple ownership and pay a fee of \$300.

(gf) A person participating in any capacity required to be licensed under this rule who participates or attempts to participate at a mule racing meeting shall procure an annual Mule Racing Participant license and pay an annual fee of \$25. A license for participating in a mule racing meeting is valid only at mule racing meetings and any license otherwise valid for horse racing meetings is not valid for mule racing meetings.

(hg) A person whose license-identification card is lost, destroyed or mutilated shall procure a replacement license-identification card and pay a fee of \$~~15~~20.

(ih) A person who elects to participate in the ~~Association of Racing Commissioners International (ARCI) Licensing Reciprocity Program~~ National Racing Compact (NRC) National Licensing Program shall pay the associated costs charged by the ~~ARC~~NRC and the Federal Bureau of Investigation.

(ji) The date the payment of the required fee is received and recorded by the Board is the effective date of issuance of a continuous occupational license for the capacity in which licensed. The fees required herein are for the entire period for which the issued license is to be valid.

Authority Cited: Sections 19440, 19510, 19520, and 19704, Business and Professions Code.

Reference: Sections 19510, 19520, and 19704, Business and Professions Code.

CALIFORNIA CODE OF REGULATIONS  
TITLE 4. BUSINESS REGULATIONS  
DIVISION 4. CALIFORNIA HORSE RACING BOARD  
ARTICLE 4. OCCUPATIONAL LICENSES  
PROPOSED AMENDMENT OF RULE 1483, APPLICATION FOR LICENSE

- (a) An applicant for a license shall apply in writing on ~~the application forms furnished by the Board~~ an Application for License, CHR-4 (Rev. 08/24), hereby incorporated by reference, which is required for all license applicants. Every applicant for an original license shall provide two (2) or more complete sets of fingerprints ~~on regulation forms via electronic submission through the California Department of Justice's Live Scan Service by completing the California Department of Justice Form "Request for Live Scan Service" and submitting fingerprinting through Live Scan.~~ Applicants requesting an exemption from the electronic submission requirement shall complete an exemption request on a form designated by the Board and provide two (2) or more complete sets of fingerprints of fingerprint cards provided by the Board. Every license identification card issued by the Board shall include a current Board photograph of the licensed person.
- (b) The fingerprint requirement ~~will may will~~ be waived for an owner's license, if the applicant holds an owner's license in good standing from a racing jurisdiction that requires fingerprints and conducts a criminal history inquiry as part of their license application, Additionally, the racing jurisdiction must participate in reciprocity with the Board, and the applicant must provide a completed Fingerprint Affidavit, CHR-118 (New 1/97 Rev. 9/23), hereby incorporated by reference, is completed. ~~CHR-118 is available at all CHR- offices.~~

Authority Cited: Sections 19460 and 19510,  
Business and Professions Code.

Reference: Sections 19460, 19510, and 19520,  
Business and Professions Code.

CALIFORNIA CODE OF REGULATIONS  
TITLE 4. BUSINESS REGULATIONS  
DIVISION 4. CALIFORNIA HORSE RACING BOARD  
ARTICLE 4. OCCUPATIONAL LICENSES  
PROPOSED AMENDMENT OF RULE 1486, TERM OF LICENSE

(a) Every occupational license, original or renewal, granted by the Board under this Article, ~~other than a license as a groom, provisional exercise rider, stable employee, stable assistant, backstretch event personnel and mule racing participant~~ shall expire in its third year on the last day of the ~~birth month of~~ in which the license was issued, except as noted in subsection (b) of this section.

(b) ~~Every original occupational license as groom, provisional exercise rider, stable employee, stable assistant, backstretch event personnel and mule racing participant shall expire on the last day of the calendar year in which it is issued. Such original license shall be automatically extended to expire on the last day of the birth month of the licensee. Renewal licenses shall expire on the last day of the birth month of the licensee on an annual basis. Licenses issued to individuals pursuant to subsection (b)(17) of section 1481 of this Article shall expire thirty days after issuance.~~

Authority Cited: Sections 19440 and 19704,  
Business and Professions Code.

Reference: Sections 19510, 19520, 19521 and 19704,  
Business and Professions Code.

CALIFORNIA CODE OF REGULATIONS  
TITLE 4. BUSINESS REGULATIONS  
DIVISION 4. CALIFORNIA HORSE RACING BOARD  
ARTICLE 4. OCCUPATIONAL LICENSES  
PROPOSED AMENDMENT OF RULE 1487, ADDRESS OF LICENSEES

Each licensee, applicant for license, or registrant of the Board shall file with the Board his or her permanent Address of Record and, if the Address of Record is a Post Office Box or mail service box, his current mailing physical address, and All address changes shall be reportreported in writing to the Board ~~any and all changes to addresses, giving both his old and his new address~~ using the designated form.

Authority Cited: Sections 19440 and 19704,  
Business and Professions Code.

Reference: Sections 19510, 19520, 19521 and 19704,  
Business and Professions Code.