STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
CHRB 65 (Rev 1-23)

OFFICIAL COMPLAINT

The California Horse Racing Board (CHRB) hereby files an official complaint against Serafin Romero for violation of CHRB Rule(s) 1874 - Disorderly Conduct.
The person named in this complaint is licensed by the CHRB as a Stableworker and Groom, under license number 269526. The alleged offense occurred on or about August 9, 2023, and is described as follows:

Del Mar Thoroughbred Club (DMTC) Security submitted a disorderly conduct complaint against Groom Serafin Romero (License 269526-06/2024) which alleged Romero had verbally threatened Trainer Phillip D'Amato's Stable Foreman, Rudy Cruz (CHRB 269422), while dining inside of the backside cafeteria. DMTC submitted an additional disorderly conduct complaint against Romero six hours later where he was found to be drunk and playing loud music and disturbing the peace of other licensees trying to sleep.

Pursuant to California Business and Professions Code Sections 19420 and 19440, as well as the authority outlined in the CHRB Rules, the Board of Stewards are empowered to impose penalties for violations of any provision of a CHRB Rule, or HISA Rule, where authorized. Such penalties may include suspension of any CHRB-issued license, monetary fine, assessment of penalty points, and/or exclusion from any/all racing enclosures under the jurisdiction of the Board, or any combination of the penalties listed above.

Investigator Name: Hiroshi Yokoyama Date of Filing: 8/16/2023 Case Number: 23DM0014

NOTICE TO APPEAR

You are hereby ordered to appear before the Board of Stewards as noted below.

Location: Del Mar Date: 8/30/2023 Time: 10:30 am

You are entitled to reasonable notice of this hearing and reasonable time to prepare for the hearing. If you require additional time to prepare for the hearing, you must contact the Board of Stewards within 72 hours of receipt of this notice. All requests for continuance must be submitted in writing and fully justify the need for continuance. The granting or denial of a request for continuance rests with the Board of Stewards. The hearing will be conducted in accordance with the attached "Governing Procedures for Hearing Before the Board of Stewards."

DECLARATION OF SERVICE

☒ In Person ☐ Certified Mail (Tracking #): _____ ☐ Other:_____
Documents Served: ☐ Complaint Packet ☐ Governing Procedures ☐ Other:_____
Served By: H. Yokoyama Date: 8/17/2023 Time: 09:25 ☐ 10 Day Time Waived

DISPOSITION

The Board of Stewards took the following action on the aforementioned case.

☐ Ruling Issued ☐ Formal Warning ☐ Case Dismissed ☐ Withdrawn

_____ Steward
_____ Steward
_____ Steward