

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300

Sacramento, CA 95825

www.chrb.ca.gov

(916) 263-6000 Fax (916) 263-6042



REGULAR MEETING

Of the **California Horse Racing Board (CHRB/Board)** will be held on **Wednesday, June 16, 2021**, commencing at **9:30 a.m. via teleconference**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under “Webcasts.”

Please be advised, in accordance with Executive Order N-29-20, the CHRB will provide a teleconference option for its upcoming public meeting, to be held on **June 16, 2021 at 9:30 a.m.** Board members and members of the public are strongly encouraged to participate using the teleconference phone number provided below so as to minimize the spread of COVID-19 and reduce the risk of infection during this current state of emergency. No physical location will be provided.

To participate in the teleconference, dial 888-392-4564 and enter 6896291# when prompted. To comment on an agenda item, press *1 during the call to be added to the queue. If you are a member of the public and wish to listen to the meeting and not participate, we request that you please listen to the meeting via the webcast link on our website to avoid congestion and potential technical difficulties.

The agenda for the regular meeting will consist of the following matters:

AGENDA

Action Items:

1. **Approval of the minutes of May 19, 2021.**
2. Report from the **Pari-Mutuel and Wagering Committee**.
Pursuant to Gov. Code § 11125.7(a), public comment will not be permitted on an agenda item when an opportunity has been given at a committee hearing for testimony on the item.
3. Discussion and presentation by the Arabian Racing Association of California (ARAC) regarding **opportunities and the impact of 2021 dates and location proposals on Arabian racing in California.**
4. Discussion and Action by the Board regarding **reallocation of 2020 Race Dates to reallocate July 21, 2021 – August 3, 2021 from California Exposition and State Fair, at Cal Expo, to the Pacific Racing Association II (PRA II).**

5. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC), Pacific Coast Quarter Horse Racing Association (PCQHRA), and The Arabian Racing Association or America (ARAM)** regarding entry conditions and specified drug substances or medications to be implemented by the Alameda County Fair during its 2021 race meeting.
6. Discussion and action by the Board regarding **the request for an extension to obtain a fire clearance approval for the San Luis Rey Downs auxiliary training facility**, pursuant to CHRB Rule 1927, Fire Prevention.
7. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Del Mar Thoroughbred Club (DMTC) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by the DMTC at the Del Mar Racetrack.
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T)** at Del Mar, commencing July 7, 2020 through September 7, 2021, inclusive.
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the California Exposition and State Fair (F) at Pleasanton**, commencing July 14, 2021 through July 20, 2021, inclusive.
10. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Pacific Racing Association II (PRA II) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by the PRA II at the Golden Gate Fields Racetrack.
11. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association II (T)** at Golden Gate Fields, commencing July 21, 2021 through August 3, 2021, inclusive.
12. Reports
 - A. **Executive Director's Report.**
 - B. **Equine Medical Director's Report.**
13. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** During the public comment period, a total of thirty minutes will be allowed for public comments via the teleconference line. After thirty minutes, no further comments will be accepted. Each person will be limited to two minutes.

14. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Amanda Drummond. Requests will be swiftly resolved by CHRB staff.

CALIFORNIA HORSE RACING BOARD

Gregory L. Ferraro, DVM, Chairman
Oscar Gonzales, Vice Chair
Dennis Alfieri, Member
Damascus Castellanos, Member
Brenda Davis, Member
Wendy Mitchell, Member
Alex Solis, Member
Scott Chaney, Executive Director
Cynthia Alameda, Assistant Executive Director

CALIFORNIA HORSE RACING BOARD

1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
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PENDING ADMINISTRATIVE ADJUDICATIONS JUNE 2021

The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e). This shall include any matters mentioned below and/or permitted by law.

CASE

CALIFORNIA HORSE RACING BOARD

1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



PENDING LITIGATION JUNE 2021

COURT LITIGATION

- A. Ruis Racing, LLC v. California Horse Racing Board**
Superior Court of California, County of Los Angeles

- B. Hollendorfer v. California Horse Racing Board**
Superior Court of California, County of San Diego

- C. Zvi Kriple v. California Horse Racing Board**
Superior Court of California, County of Los Angeles

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**REGULAR BOARD MEETING MINUTES****Date and Time:** May 19, 2021 at 9:30 a.m.**Location:** Teleconference**Board Members Present:** Gregory Ferraro, Chairman
Oscar Gonzales, Vice-Chairman
Alex Solis, Member
Dennis Alfieri, Member
Wendy Mitchell, Member
Demascus Castellanos, Member
Brenda Washington Davis, Member**Staff Members Present:** Scott Chaney, Executive Director
Cynthia Alameda, Assistant Executive Director
Robert Brodnik, General Counsel**1. APPROVAL OF THE MINUTES OF APRIL 21, 2021.****Motion:** Approve.**Motioned/Seconded:** Davis/Ferraro**Roll call vote: Aye:** Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Ferraro.**Nay:** None. **Abstain:** Solis. **Passed 5-0 with one abstention.**

Pages 3–4 in the Board transcript.

2. DISCUSSION AND ACTION BY THE BOARD REGARDING FASIG-TIPTON'S REQUEST FOR AUTHORIZATION OF ITS UPCOMING HORSE SALE, PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES.**Motion:** Approve.**Motioned/Seconded:** Castellanos/Alfieri**Roll call vote: Aye:** Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Solis, Ferraro. **Nay:** None. **Motion carried.**

Pages 4–11 in the Board transcript.

3. DISCUSSION AND ACTION BY THE BOARD ON THE RENEWAL APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY FOR SANTA CLARITA LANES.**Motion:** Approve renewal application for term of five years conditioned upon receipt of amended contract between SCOTWINC and Santa Clarita Lanes within 60 days.**Motioned/Seconded:** Alfieri/Castellanos

Proceedings of the Regular Meeting of May 19, 2021

Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Solis, Ferraro. **Nay:** None. **Motion carried.**

Pages 11–15 in the Board transcript.

- DISCUSSION AND ACTION BY THE BOARD REGARDING **APPROVAL OF AN AGREEMENT, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19604(f)(5)(E)**, FOR DISTRIBUTION OF ADVANCE DEPOSIT WAGERING (ADW) MARKET ACCESS FEES FOR WAGERS PLACED ON ALL LIVE AND IMPORTED RACES HOSTED BY THE SIGNATORY RACING FAIRS FOR THE PERIOD OF JUNE 1, 2021, THROUGH DECEMBER 31, 2021, TO BE UTILIZED TO FUND A CALIFORNIA CO-OP MARKETING PROGRAM.

Motion: Approve.

Motioned/Seconded: Solis/Castellanos

Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Solis, Ferraro. **Nay:** None. **Motion carried.**

Pages 16–20 in the Board transcript.

- DISCUSSION AND ACTION BY THE BOARD REGARDING **APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC)**, REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE ALAMEDA COUNTY FAIR.

Motion: Approve.

Motioned/Seconded: Solis/Davis

Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Solis, Ferraro. **Nay:** None. **Motion carried.**

Pages 20–25 in the Board transcript.

- DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR (F)**, AT PLEASANTON, COMMENCING JUNE 16, 2021, AND CONTINUING THROUGH JULY 13, 2021.

Motion: Approve application with following modifications: auxiliary stabling at Golden Gate Fields; adding July 5 an extra day; removing mule racing from application; approving exemption request for Alameda County Fire request to be valid for one year; and approving September 22, 2020 fire clearance with 45-day exemption request of Golden Gate Fields for period of one year. Approval is based on condition that CARF adopt CHRB inclement weather policy and that all outstanding items, including auxiliary stabling agreement between Golden Gate Fields and Pleasanton, be received prior to commencement of racing.

Motioned/Seconded: Ferraro/Solis

Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Solis, Ferraro. **Nay:** None. **Motion carried.**

Pages 25–39 in the Board transcript.

Proceedings of the Regular Meeting of May 19, 2021

7. DISCUSSION AND ACTION BY THE BOARD REGARDING **APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ALAMITOS RACING ASSOCIATION AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC)**, REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY LARA AT THE LOS ALAMITOS RACETRACK.

Motion: Approve.

Motioned/Seconded: Castellano/Washington Davis

Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Solis, Ferraro. **Nay:** None. **Motion carried.**

Pages 39–44 in the Board transcript.

8. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T)** AT LOS ALAMITOS RACE COURSE, COMMENCING JUNE 23, 2021, AND CONTINUING THROUGH JULY 6, 2021.

Motion: Approve with the following modifications: approving Santa Anita fire clearance and exemption request to be valid for one year; approving November 12, 2020 fire clearance with 45-day exemption request for Los Alamitos Race Course for one year. Approval is based on condition that the Los Alamitos Racing Association adopt the CHRB Inclement Weather Policy prior to commencement of racing.

Motioned/Seconded: Ferraro/Castellanos

Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Solis, Ferraro. **Nay:** None. **Motion carried.**

Pages 44–52 in the Board transcript.

9. DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENTS TO CHRB RULE 1581.1, ENTRIES, AND CHRB RULE 1878, WORKOUTS**, TO PROHIBIT A HORSE FROM WORKOUTS OR ENTRY TO RACE UNLESS A VETERINARIAN HAS DETERMINED THE HORSE IS SOUND.

Motion: Approve.

Motioned/Seconded: Alfieri/Washington Davis

Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Solis, Ferraro. **Nay:** None. **Motion carried.**

Pages 52–55 in the Board transcript.

10. REPORTS.

A. EXECUTIVE DIRECTOR'S REPORT

Pages 55–72 in the Board transcript.

B. EQUINE MEDICAL DIRECTOR'S REPORT.

Pages 56–72 in the Board transcript.

Proceedings of the Regular Meeting of May 19, 2021

11. PUBLIC COMMENT.

Pages 72–91 in the Board transcript.

MEETING ADJOURNED AT 11:37 A.M.

Proceedings of the Regular Meeting of May 19, 2021

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

Parimutuel fantasy sports contests for the CARF Network

Challenges involving Horse racing and Fantasy Sports Leagues are significantly alike. Participants evaluate contests using comprehensive statistical databases of past performances. Utilizing intellectual forecasting skill, the contestants make selections with the goal of outwitting and/or profiting over other players.

Golden State Fantasy Sports (GSF) is the working title of a fantasy sports contest constructed to compliment California's pari-mutuel racing industry. Like all such fantasy contests, contestants pay an entry fee to participate in a GSF contest. Entries are submitted in traditional pari-mutuel formats such as Win, Place, Show, Daily Double, Exacta, Trifecta, Pick 6, etc., where contestants can receive a pro-rata share of the entry fees paid by all contestants in the game. The content for GSF contests comes from individual performance statistics of athletes in a sporting event or combination of sporting events common to fantasy sports, such as football, baseball, and basketball.

How GSF Works

Consider the following hypothetical NFL-based GSF contest where the proposition is "Most Yards Gained" for a quarterback during one week of NFL games. Such a contest would involve a field of well-known athletes with a depth similar to or greater than most horse races (30 or more quarterbacks play in a week during the regular season), and it would position athletes against one another who are most likely playing in different events. Each quarterback would be awarded points based on his individual performance during that week's contest. Quarterbacks could be assigned a point for each positive passing yard gained, and point totals could be adjusted according to other factors, such as touchdown passes or interceptions. All quarterbacks would then be ranked according to the points they accumulated. The quarterback scoring the most points would be the Win while the second highest scoring would be Place and the third, Show, and so on.

When selecting athletes, GSF contestants would rely on available performance statistics - *'past performances'* - and their ability to assess the relative value of the multitude of statistics, facts, and other variables, such as an athlete's abilities and health, opposing team defenses, weather forecasts, home field advantages, player surfaces, etc. GSF, as with fantasy sports contests in general, is designed with the specific objective that the contestant's skill, and not chance, is the determining factor of success.

Each athlete's value would ultimately be set by GSF contestants through, by analogy, a pari-mutuel process, and not by GSF or its operators. Entry fees would be set as a base price and displayed as relative odds against winning as determined by the contestants' distribution of selections in the contest. Athletes selected by players would have the value of their relative selection (i.e. their odds) changed according to the popularity of their selection by contestants.

This contest, and similar contests GSF would offer, is intended to serve as a feeder program introducing a much younger fan/player base than typically exists for pari-mutuel horse racing. The appeal of these contests will stimulate the California horse racing industry by:

1. Attracting new patrons to participating venues;
2. Using a legal contest format to create a new and additional revenue source for racing's stakeholders;
3. Protecting existing pari-mutuel employee's jobs, and creating new jobs associated with GSF and its planned in-state hub; and,
4. Expanding customer entertainment options for existing California racing/wagering venues and attracting new off-track venues.

GSF compliments California's existing pari-mutuel horse racing industry and can be integrated into operators' existing infrastructure and tote systems. User interfaces on computer terminals and mobile devices can be updated to offer GSF games.

HUB Administrative center



The HUB is contest control central, operating approximately 16 hours a day, up to 365 days a year. Over 10,000 contests can be developed annually, many more if demanded, especially through the potential of “in run” or “in game” contests. Contests are tote agnostic, so operate on any system using standard tote protocol. AmTote, perhaps others, have developed a sports user interface.

The fantasy hub, under the direction of CARF, operates as central control for all satellites including the following minimum duties:

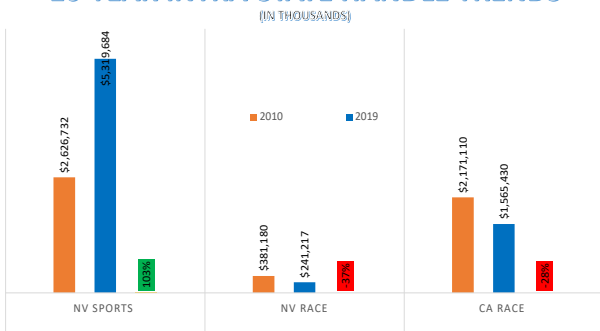
1. Daily contest content creation, data management
2. Tote data entry, opening odds, scratches and results
3. Daily analysis of contest play and consumer demand
4. Minimum daily prize determinations.
5. Pool seeding calculations, if necessary
6. Manage website for content, design and contest calendar
7. Manage Broadcast content and design
8. Development of all collateral materials, including printable daily entries, results and statistical data
9. Create and communicate content for marketing and promotions
10. Facility design assistance
11. Customer service for both facility and mobile applications
12. Manage the work and payment to the platform provider, contests are tote agnostic
13. Manage daily accounting and reconciliations with satellites
14. Satellite employee training

Most existing gaming facilities and ADW's can adapt contests into their existing menu of activities with relatively simple upgrades, operational planning and marketing.

The proposed facility model projects a 15% blended administrative fee (takeout) from contest entry fees (handle). The majority of the contest revenue will be shared with each satellite facility, a much greater share than the current satellite wagering facility horse racing model. The contest HUB, under the direction of CARF, will be responsible for management of operations, facility upgrades, customer service, employee training, marketing content creation, product development and delivery, tote administration, tote fees, and licensing.

The GSF pilot program is scheduled to begin with the start of the 2021 Football season.

10-YEAR INTRA-STATE HANDLE TRENDS



CALIFORNIA HORSE RACING BOARD

June 16, 2021

REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING REALLOCATION OF 2020
RACE DATES TO REALLOCATE JULY 21, 2021 – AUGUST 3, 2021 FROM
CALIFORNIA EXPOSITION AND STATE FAIR, AT CAL EXPO, TO THE PACIFIC
RACING ASSOCIATION II (PRA II)

Regular Board Meeting
June 16, 2021

ISSUE:

Every year, the California Horse Racing Board (Board) hears from industry stakeholders regarding the allocation of race dates for the following year. This discussion is initially held at the Race Dates Committee (Committee) meeting, prior to being presented to the Board at their regular meeting for final approval of the allocated race dates. In the past, there has been discussion of adopting a multi-year allocated race dates calendar, but to date one has not been obtained.

In 2020, the Committee approved a tentative Race Dates Calendar and then at the October and November 2020 regular Board meeting, the final race date calendar was approved. A copy of that calendar is attached for reference.

However, due to the ongoing COVID-19 pandemic, a re-allocation of dates is being proposed and submitted to the Board for consideration. Specifically, the Board is being asked to consider the following:

- The State Fair to be changed from 07/14/21 - 08/03/21 at Cal Expo to 07/14/21 – 07/20/21 at Pleasanton.
- The Pacific Racing Association (PRA II) to be allocated two additional weeks from 07/21/21 – 08/03/21.

RECOMMENDATION:

This item is presented for discussion and action.

CALIFORNIA HORSE RACING BOARD
2021 ALLOCATED RACE DATES
CALENDAR

*Note: The allocation begins on the Wednesday prior to opening day
and concludes on the Tuesday following closing day.*

THOROUGHBRED MEETINGS - CENTRAL & SOUTHERN ZONES

<u>Location</u>	<u>Allocated Dates *</u>
Santa Anita	12/23/20 – 06/22/21
Los Alamitos	06/23/21 - 07/06/21
Del Mar	07/07/21 - 09/07/21
Santa Anita	09/29/21 - 11/02/21
Del Mar	11/03/21 - 11/30/21
Los Alamitos	12/01/21 - 12/14/21
Santa Anita	12/15/21 - 12/21/21

THOROUGHBRED MEETINGS - NORTHERN ZONE

Golden Gate Fields	12/23/20- 06/15/21
Golden Gate Fields	08/25/21 – 10/05/21
Golden Gate Fields	10/20/21 – 12/21/21

QUARTER HORSE MEETINGS - STATEWIDE

Los Alamitos	12/23/20 - 12/21/21
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HARNESS MEETINGS – STATEWIDE

Cal Expo	12/23/20 - 05/11/21
Cal Expo	10/27/21 - 12/21/21

FAIR MEETINGS - STATEWIDE

Alameda Fair at Pleasanton	06/16/21 – 07/13/21
State Fair at Cal Expo	07/14/21 – 08/03/21
Sonoma Fair at Santa Rosa	08/04/21 – 08/17/21
Humboldt Fair at Ferndale	08/18/21 – 08/31/21
L.A. County Fair at Los Alamitos	09/08/21 – 09/28/21
Big Fresno Fair at Fresno	10/06/21 – 10/19/21

* Date Block Allocation. Actual days of live racing are determined at the time applications are approved by the Board.

The Southern California Thoroughbred, Statewide Harness Meeting Dates, Statewide Quarter Horse Meeting Dates, and first Thoroughbred Meetings of the Northern Zone were allocated at the 10/22/2020 regular meeting of the Board. The remaining 2021 dates were allocated at the 11/19/2020 regular meeting of the Board.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), PACIFIC COAST QUARTER HORSE RACING ASSOCIATION (PCQHRA), AND THE ARABIAN RACING ASSOCIATION OF AMERICA (ARAM) REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE ALAMEDA COUNTY FAIR DURING ITS 2021 RACE MEETING.

Regular Board Meeting
June 16, 2021

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the mandatory stand down for intra articular injections.

At the May 19, 2021 meeting, the Board approved a prior agreement between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC), however this agreement was missing a few critical components. As such, a new agreement is being submitted to the Board for approval. This agreement includes that all intra-articular injections are 14 days, not just with corticosteroids, as well as includes metacarpophalangeal joints. Additionally, the agreement also now has the signatures and approvals from the Pacific Coast Quarter Horse Racing Association (PCQHRA) and the Arabian Racing Association of America (ARAM) as the fair meets have Quarter Horse and Arabian Racing, as well.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the

provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organizations. Additionally, any agreement must be approved by the Board before entries are taken. Here, CARF has entered into an agreement with the TOC, PCQHRA, and ARAM to prohibit a horse from entering a stakes race conducted with any race-day medication, including furosemide, prohibits a horse from racing for 14 days if it has received a joint injection, prohibits a horse from performing a timed workout within 10 days of a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in a metacarpophalangeal or metacarpophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.



a California joint powers agency

1776 Tribute Road, Suite 150
Sacramento, CA 95815
Office: 916.263.3346 Fax: 916.263.3341
www.calfairs.com

CHRB Rule 1581 Agreement

Effective Date: May 28, 2021

PARTIES:

Arabian Racing Association of California ("ARAC")
240 Joseph Lane
Pleasanton, CA 94588

AND

Pacific Quarter Horse Racing Association ("PCQHRA")
PO Box 919
Los Alamitos, CA 90720

AND

Thoroughbred Owners of California ("TOC")
285 W. Huntington Drive
Arcadia, CA 91007

AND

California Authority of Racing Fairs ("CARF")
1776 Tribute Road, Suite 150
Sacramento, CA 95815

RECITALS:

- A. CARF is a racing association licensed to operate Arabian, Thoroughbred, and Quarter horse racing at the following locations: Alameda County Fair (Pleasanton, CA), California State Fair (Sacramento, CA), Humboldt County Fair (Ferndale, CA), The Big Fresno Fair (Fresno, CA). ARAC, TOC, and PCQHRA represent the collective interests of all California Arabian, Thoroughbred, and Quarter horse owners.
- B. CARF, ARAC, TOC, and PCQHRA desire to enter into an agreement in which new rules and regulations are approved in order for CARF to be consistent with International Federation of Horse Racing Authorities ("IFHA"), and this

agreement is intended to satisfy the requirements set forth in Rule 1581 of the California Horse Racing Board Rules.

- C. The purpose of this agreement is to protect the safety and welfare of horses and riders and to enhance public confidence in the integrity of the sport of Arabian, Thoroughbred, and Quarter horse racing.

AGREEMENT:

1. No horse, of any age, shall be permitted to race in a “Stakes Race” conducted by any of the Racing Associations from January 1, 2021 through December 31, 2021 with any race-day medication, which includes, without limitation, the use of furosemide (e.g. Lasix). A “Stakes Race” shall include all graded stakes races, listed stakes races, overnight stakes races, state-bred stakes races, and restricted stakes races.
2. Every horse within the enclosure and every horse at a CARF auxiliary training facility is subject to out-of-competition testing (“OOCT”) by CARF veterinary professionals and no owner, trainer or other person having the care of a horse shall refuse to submit such for testing.
3. All parties agree to strict limitations on the use of any analgesic and anti-inflammatory medications during racing and training.
4. Joint Injections. No horse, of any age, shall be permitted to race at a CARF facility if it has received an intra-articular joint injection as follows:
 - a. Within fourteen (14) days of race day if such injection is for any joint other than any of the metacarpophalangeal or metatarsophalangeal joints.
 - b. Within thirty (30) days of race day if such injection is performed on any metacarpophalangeal or metatarsophalangeal joint. Additionally, no horse shall be permitted to have a timed work for ten (10) days after receiving a corticosteroid intra-articular joint injection in any of the metacarpophalangeal or metatarsophalangeal joints.
5. No horse which has been administered a non-steroidal anti-inflammatory medication within the previous 48 hours shall participate in a race at a CARF facility or a recorded work at a CARF facility or any of its auxiliary training facilities. All parties agree OOCT may be conducted to verify compliance.
6. A commitment to continuing collaboration for improving the safety and welfare of horses and riders.
7. The parties will file this agreement with the California Horse Racing Board (“CHRB”) and support approval.
8. This Agreement covers CARF’s 2021 summer race meet (the “Meet”) and shall expire on the last day that a racehorse is permitted to be in a CARF facility enclosure or at a CARF auxiliary training facility as part of the Meet.

California Authority of Racing Fairs Thoroughbred Owners of California

By: Larry A. Swartzlander

Larry Swartzlander
Executive Director

Dated: 6/1/21

By: Mary Forney

Mary Forney
Executive Director

Dated: 5/29/2021

Arabian Racing Association of California

By: Cory Soltau

Cory Soltau
President

Dated: 6/1/21

Pacific Quarter Horse Racing Association

By: Dino Perez

Dino Perez
President

Dated: 6.1.21

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR AN EXTENSION TO OBTAIN A FIRE CLEARANCE APPROVAL FOR THE SAN LUIS REY DOWNS AUXILIARY TRAINING FACILITY, PURSUANT TO CHRB RULE 1927, FIRE PREVENTION.

Regular Board Meeting
June 16, 2021

ISSUE:

The San Luis Rey Downs (SLRD) auxiliary training facility is an auxiliary site where horses are stabled for various horse racing associations that conduct race meetings in California. As such, pursuant to California Horse Racing Board (Board) [Rule 1927](#), Fire Prevention, a written clearance from the fire authority having jurisdiction, stating that an inspection has been made of the site and that the facilities conform with a reasonable standard of fire safety, must be filed with the Board prior to the start of each said race meeting, whereby the inspection shall have been made within 45 days prior to the commencement of the meet. The Stronach Group (TSG), which owns the SLRD, has submitted a request to the Board for an extension to meet certain requirements prescribed in Board Rule 1927 regarding the reasonable standard of fire safety. TSG also requests an exemption from the 45-day provision in Board Rule 1927.

ANALYSIS:

Pursuant to Board Rule 1927, before a license to conduct a horse racing meeting is granted to an association, the association must provide a written clearance from the fire authority having jurisdiction, stating that an inspection has been made of the inclosure and any additional location where any excess number of horses will be stalled. Various racing associations use SLRD as an additional location for an excess number of horses to be stalled. Therefore, in addition to providing a written fire clearance to the Board for the inclosure where the association will be conducting its race meet, these associations must also provide one for SLRD. However, because SLRD is owned by TSG, TSG is responsible for obtaining the required fire clearance.

Board Rule 1927 sets forth certain requirements that constitute a “reasonable standard of fire safety.” These requirements include, but are not limited to, facilities used for stabling of horses or human habitation being equipped with an automatic sprinkler system and an automatic fire alarm system, as well patrol of said facilities by a watchman during hours or darkness. Board Rule 1927 also states that any association whose stable area, including any additional location where any excess number of horses will be stables, does not meet the reasonable standard of fire safety may petition the Board for an extension of time within which to comply with the rule. At the August 2020 meeting, the Board approved an extension for TSG through the end of July 2021 to complete the installation of a sprinkler system and fire alarm system for its “historic” barns, which are distinct from its new barns, in order for SLRD to be in compliance with Board Rule 1927. Due to

COVID-19, the update of the barns has been significantly delayed and TSG is requesting an additional extension to complete the project in order to come into compliance. In the attached letter, TSG requests an extension until the end of April 2022. Board Rule 1927 provides that, in reviewing such a request or petition, the Board shall take into consideration any written recommendation from the fire authority having jurisdiction, as well as other pertinent matters, recognizing that the physical structure of each racing inclosure is unique and has its own particular problems.

Board Rule 1927 also requires that the inspection be completed within 45 days prior to the beginning of the race meet. TSG states that the fire authority having jurisdiction over SLRD performed an inspection on July 31, 2020, and is attached for reference. TSG requests an exemption from the 45-day requirement. Without an exemption, any race meets commencing more than 45 days after the inspection date would require that another fire inspection be completed. Certain fire authorities have expressed that multiple inspections at a single site in a one-year period are difficult or not possible due to limited time and resources, especially during the current health crisis.

BACKGROUND:

Business and Professions Code (BPC) [section 19420](#) provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. BPC [section 19440](#) states that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. BPC [section 19460](#) provides that all licenses granted under this chapter shall contain such conditions as are deemed necessary or desirable by the Board for the best interests of horse racing and the purposes of this chapter. Board Rule 1927 provides that applicants for license to conduct a horse racing meeting in California shall first make provisions for fire prevention and protection and submit to the Board a written clearance from a fire authority having jurisdiction, stating that an inspection has been made of both the inclosure and any additional locations where any excess number of horses will be stalled, that the inspection ensures that the fire safety provisions meet the Board's standards described in Board Rule 1927, and that the inspection was conducted within 45 days of the commencement of the race meeting.

RECOMMENDATION:

This item is presented for Board discussion and action.



June 3, 2021

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: San Luis Rey Training Center – Rule 1927 Exemption Request

Dear Mr. Chaney,

San Luis Rey Training Center (“SLR”) is unique in that its barn area has two different types and ages of barns—the “historic” barns and the new barns.

As discussed with the California Horse Racing Board (“CHRB”) last year, the new barns constructed in the last few years can house approximately 200 horses. These barns have an automatic sprinkler system and a fire bell. Due to the proximity of the barns to the guard house (and the on-duty guard being able to call 911 in event of a fire), both the local building inspector and fire department approved these barns having a fire bell rather than an automatic fire alarm system. There is 24/7 coverage in the guard house. The sprinkler system and alarm are tested annually.

We have previously noted that the historic barns at SLR do not have a sprinkler system or fire alarm/bell. The process to add a sprinkler system and fire alarm system to already existing structures is a lengthy process. In the July 31, 2020 letter to the CHRB we noted that we were hopeful that we would be able complete the work by the end of July 2021, but would periodically update CHRB staff on the progress of the project and notify CHRB staff if we encountered any issues that would cause the project to not be finished by the target date. The CHRB granted SLR an extension, through July 31, 2021, to complete the installation of the sprinkler system and fire alarm system to our historic barns.

We have been in periodic contact with CHRB staff to give them updates on the progress. Unfortunately, while work has now commenced on the installation of the sprinkler system and fire alarm, it will not be completed by July 31, 2021. The completion of the work has been significantly delayed due to Covid-19. The contractors involved in this project were either completely shut down or short staffed to a point that didn’t allow them to complete an installation of this scope. Additionally, the sprinkler materials needed for this project were in short supply during the pandemic as suppliers were also shut down.

The revised target date for completion of the work as it relates to the barns and living quarters is January 31, 2022. The revised target completion date of the entire project (which includes the dining hall, bathrooms, mechanic shop, and storage) is April 30, 2022. We will continue to periodically update CHRB staff on the progress of the project and notify CHRB staff if we encounter any issues that would cause the project to be further delayed.

Under CHRB Rule 1927, we request an extension, through January 31, 2022, to complete the installation of the sprinkler system and fire alarm system to our historic barns.

The local fire department having jurisdiction over SLR performed an inspection on July 31, 2020 with no violations and the clearance letter was provided to the CHRB staff. We have requested a reinspection, which will be completed as soon as possible, but the county has yet to schedule an appointment. In the interim, we request an exemption from the requirement in Rule 1927 that the fire inspection be completed within 45 days of the start of the meet. County fire officials have stated they wouldn't typically reinspect our facility multiple times per year given their current resources.

Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Nate Newby", with a long horizontal flourish extending to the right.

Nate Newby

North County Fire Protection District

**330 S. Main Ave.
Fallbrook, CA 92028
(760) 723-2010**



Friday, 31 July, 2020

Attn: Kevin Habell

5772 CAMINO DEL REY

BONSALL, CA 92003

Re: Initial - Fire & Life Safety on July 31 2020

Property Address:

San Luis Rey Training Center

5772 CAMINO DEL REY

BONSALL, CA 92003

NOTICE OF INSPECTION - COMPLETED

CONGRATULATIONS, your Fire and Life Safety Inspection has satisfactorily been completed and no violations were noted at this time. The North County Fire Protection District would like to thank you for making fire and life safety a priority.

If you have any questions regarding our Fire and Life Safety Inspection program, please contact the Fire Prevention Bureau at: (760) 723-2010.

Inspector:

A handwritten signature in black ink, appearing to read 'D. Moore, Jr.', is written over a horizontal line.

FFP David Moore, Jr.

bmoore@ncfire.org

Property Representative:

A handwritten signature in black ink, appearing to read 'Kevin Habell', is written over a horizontal line.

Kevin Habell

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE DEL MAR THOROUGHBRED CLUB
(DMTC) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC),
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR
MEDICATIONS TO BE IMPLEMENTED BY THE DMTC AT THE DEL MAR
RACETRACK.

Regular Board Meeting
June 16, 2021

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken. Here, the Del Mar Thoroughbred Club (DMTC) has entered into an agreement with the Thoroughbred Owners of California (TOC) to prohibit a horse from entering a stakes race conducted with any race-day medication, including furosemide, prohibits a horse from racing for 14 days if it has received a joint injection, prohibits a horse from performing a timed workout within 10 days of a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in a metacarpophalangeal or metacarpophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.

AGREEMENT

EFFECTIVE DATE: April 6, 2021

PARTIES:

Thoroughbred Owners of California (“TOC”)

285 Huntington Drive
Arcadia, CA 91007

and

Del Mar Thoroughbred Club (“DMTC”)

2260 Jimmy Durante Blvd.
Del Mar, CA 92014

RECITALS:

- A. DMTC is a racing association licensed to operate Thoroughbred horse racing at the Del Mar Race Track located in Del Mar, California. TOC represents the collective interests of all California Thoroughbred owners.
- B. DMTC and TOC desire to enter into an agreement in which new rules and regulations are approved in order for DMTC to be consistent with International Federation of Horse Racing Authorities (“IFHA”), and this agreement is intended to satisfy the requirements set forth in Rule 1581 of the California Horse Racing Board Rules.
- C. The purpose of this agreement is to protect the safety and welfare of horses and riders and to enhance public confidence in the integrity of the sport of Thoroughbred racing.

AGREEMENT:

- 1. No horse, of any age, shall be permitted to race in a “Stakes Race” conducted by any of the Racing Associations from January 1, 2021 through December 31, 2021 with any race-day medication, which includes, without limitation, the use of furosemide (e.g. Lasix). A “Stakes Race” shall include all graded stakes races, listed stakes races, overnight stakes races, state-bred stakes races, and restricted stakes races.
- 2. Every horse within the enclosure and every horse at a DMTC auxiliary training facility is subject to out-of-competition testing (OOCT) by DMTC veterinary professionals and

no owner, trainer or other person having the care of a horse shall refuse to submit such for testing.

3. All parties agree to strict limitations on the use of any analgesic and anti-inflammatory medications during racing and training.
4. Any horse that has received an Intra-articular treatment within fourteen (14) days of the race is ineligible to start at Del Mar. In addition, any horse that has received an Intra-articular treatment of cortisone into fetlock joints with thirty (30) days is ineligible to start. In the aforementioned Race Day Medication Agreement there is also a 10-day work stand down for cortisone into the fetlock joint.
5. No horse which has been administered a non-steroidal or steroidal (corticosteroid) anti-inflammatory medication within the previous 48 hours shall participate in a recorded work at Del Mar or any of its auxiliary training facilities. All parties agree OOCT may be conducted to verify compliance.
6. A commitment to continuing collaboration for improving the safety and welfare of horses and riders.
7. The parties will file this agreement with the California Horse Racing Board ("CHRB") and support approval.
8. This Agreement covers DMTC's 2021 summer and fall race meets (the "Meets") and shall expire on the last day that a horse is permitted to be in the Del Mar enclosure or at a DMTC auxiliary training facility as part of the Meets.

Del Mar Thoroughbred Club



By: _____

Josh Rubinstein
President and COO

Dated: 4/6/21

Thoroughbred Owners of California



By: _____

Greg Avioli
President and CEO

Dated: 4/6/21

STAFF ANALYSIS
June 16, 2021

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT THE DEL MAR RACETRACK, COMMENCING JULY 7, 2021, AND CONTINUING THROUGH SEPTEMBER 7, 2021

The Del Mar Thoroughbred Club (DMTC) has filed its application to conduct a Thoroughbred horse racing meeting at the Del Mar Racetrack as follows:

- July 7 through September 7, 2021, racing 31 days, three more than proposed for its summer 2020 meet. DMTC proposes to race a total of 283 races, or 9.13 races per day. In 2020, DMTC ran 10.44 races per day with an average of 8.35 runners per race. The average daily purse for the 2020 meet was \$631,663. The estimated average daily purse for this proposed meet is \$626,398.
- The race dates proposed are within the dates allocated by the Board.

July 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

August 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

September 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

- Racing four days per week, Thursday through Sunday; exceptions: weeks 1 and 2, Friday through Sunday, and week 8, Thursday through Monday. Seven races per day Thursday, eight races on Fridays (except Opening Day, 10 races), 11 races on Saturdays, nine to 11 races on Sundays, and 10 races on Closing Day/Labor Day (Monday).

- Racing concurrently with the dates allocated to Pacific Racing Association II, Los Alamitos Quarter Horse Racing Association, Alameda County Fair, California Exposition and State Fair, Sonoma County Fair, and Humboldt County Fair.
- First post 2:00 p.m. Thursday, Saturday, Sunday, Opening Day (Friday, July 16), and Closing Day (Monday, September 6); 4:00 p.m. Fridays, except for 3:30 p.m. on August 27 and September 3.
- Wagering program will use CHRB and ARCI rules.

DMTC requests approval to use a modified version of ARCI rule for its Pick 6 contest. DMTC also requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched.

Alternate Wagering Interest

DMTC requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

DMTC requests approval to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(g), DMTC is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI Model Rules, there are two possible definitions for “unique.” DMTC is requesting approval to use an alternative definition that is not referenced in the ARCI Model Rules or in the CHRB’s rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed DMTC definition.

DMTC also requests approval of a change to the ARCI Model Rules during instances of late surface changes. DMTC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the races are declared a “Win All,” not a “no contest.” Also, DMTC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI-005-105(G)(1), the Board has the authority to approve these requested changes.

- Additional wagering information:
 - Early bird wagering July 16–September 6, 2021, 9:00 a.m. every day except Mondays, Tuesdays, and Wednesdays.

- \$2 Win, Place, Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$2 Quinella on all eligible races.
 - \$1 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.
 - \$2 Rolling Daily Double; requests 20% takeout rate.
 - \$0.50 Rolling Pick 3 on all eligible races.
 - \$1 Place Pick All.
 - \$0.50 Pick 4 on races 2–5; no consolation; 100% payout; alternate runner provision.
 - \$0.50 Pick 4 on last four races; no consolation; 100% payout; alternate runner provision.
 - \$0.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; alternate runner provision; requests 14% takeout rate.
 - \$0.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; alternate runner provision.
 - \$0.20 Pick 6 on last six live races each day. If no unique ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.
 - \$0.50 Super High 5 on last race of day; 100% payout of all tickets selecting five winners; no consolation; 100% carryover to next race day Super High 5, if applicable.
 - Mandatory Pick 6 Jackpot Payout on August 21, 2021 (Pacific Classic Day) and on September 6, 2021 (Closing Day).
- Advance Deposit Wagering (ADW) providers are Churchill Downs Technology Initiatives Company dba BetAmerica, dba TwinSpires; NYRABets, LLC (NYRA Bets); ODS Technologies, L.P. dba TVG Network; Watchandwager.com, LLC (Watch and Wager); and XpressBet, LLC (Xpressbet).

Pursuant to Business and Professions Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

- Documents received in compliance with Business and Professions Code Section 19604:
 - ADW agreements with BetAmerica/TwinSpires, TVG Network, Watch and Wager, and Xpressbet valid through end of proposed race meet.
 - Thoroughbred Owners of California approval of the ADW agreements.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602 and with authorized locations throughout California.

- Requests that Jennifer Paige be appointed horse identifier, pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

- A track safety inspection has been requested and will be completed before the beginning of the race meet.

- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.

- Los Alamitos is listed as an auxiliary stabling site. As such, a fire clearance in compliance with Board Rule 1927 is required. The fire clearance for Los Alamitos was approved at the May 2021 regular Board meeting.

- DMTC has agreed to adopt the Board's Inclement Weather Policy, as posted on the Racing Safety page of the Board's website [letter submitted].

Specific information **still needed** to complete this application includes:

1. Updated COVID-19 protocols. [Will be submitted prior to July 7, 2021.]
2. Signed 2021 ADW agreement with NYRA Bets. (11B)
3. DMTC fire clearance in compliance with Board Rule 1927. (16H) [Inspection scheduled for July 12, 2021].
4. Letter of request for exemption from 45-day provision in Board Rule 1927 for Santa Anita fire clearance dated May 11, 2021. (16H)
5. Race meet agreement with California Thoroughbred Trainers. (23B)

Specific information that **will need to be updated** during the proposed meet includes:

1. San Luis Rey Downs (auxiliary stabling site) fire clearance in compliance with Board Rule 1927 [valid through July 31, 2021]. (16H) [This matter will be addressed as an agenda item prior to the hearing of the DMTC race meet application.]

RECOMMENDATION:

If the application is considered for approval, staff recommends that it be approved contingent upon receipt of all outstanding items prior to the start of the proposed race meet.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Del Mar Thoroughbred Club
P.O. Box 700, Del Mar, CA 92014
Phone: 858-755-1141
Fax: 858-792-1477
Josh Rubinstein: josh@dmtc.com

- B. Breed of horse: TB QH H

- C. Racetrack name: **Del Mar Thoroughbred Club (DMTC)**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **ON FILE**

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **July 07 through September 07, 2021**

- B. Actual dates racing will be held: **July 16 through September 06, 2021, racing every Thursday through Sunday, as well as Monday (Labor Day, 9/6), with the exceptions of Week 1, 2 and 8. Exceptions Week 1, racing three days (Friday 7/16, Saturday 7/17 and Sunday 7/18), Week 2 racing three days (Friday 7/23, Saturday 7/24 and Sunday 7/25). Exception Week 8, racing five days (Thursday 9/2, Friday 9/3, Saturday 9/4, Sunday 9/5 and Monday 9/6). Dark 7/19-22, 7/26-28, 8/2-4, 8/9-11, 8/16-18, 8/23-25, 8/30-9/1.**

CHRB CERTIFICATION

Application received: 4/7/2021
Deposit received: Yes
Reviewed: RP

Hearing date: 6/16/2021
Approved date:
License number:

- C. Total number of days or nights of racing: **31 days**
- D. Days or nights of the week races will be held:
 - Thurs, Fri, Sat, Sun Other (specify) **Monday (Labor Day) 9/6**
- E. Number of days or nights of racing per week: **4 days per week (exceptions Week 1 and Week 2, 3 days and Week 8, 5 days)**

3. RACING PROGRAM

- A. Total number of races: **283**
- B. Number of races for each day or night: *****

DAY	DATES	NUMBER OF RACES
THU – 7 Races	7/29, 8/5, 8/12, 8/19, 8/26, 9/2	42
FRI - 8 Races	7/23, 7/30, 8/6, 8/13, 8/20, 8/27, 9/3	56
SAT - 11 Races	7/17, 7/24, 7/31, 8/7, 8/14, 8/28, 9/4	77
SAT - 11 Races	8/21 (Pacific Classic)	11
SUN - 9 Races	8/8, 8/15, 8/22, 8/29	36
SUN - 10 Races	7/25, 8/1, 9/5	30
SUN – 11 Races	7/18	11
OPENING DAY	7/16 (FRIDAY)	10
CLOSING DAY/ LABOR DAY	9/6 (MONDAY)	10

TOTAL	283
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***DMTC reserves the right to change number of races based on horse availability**

- C. Total number of stakes races: **39 (34 stakes and 5 overnight stakes)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **EXHIBIT 1**
 1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **EXHIBIT 1 (A)**
 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **Two stakes have been returned to the 2021 schedule - COUGAR II and DEL MAR JUVENILE FILLIES TURF (which was run in 2020 as an overnight stakes). Most stakes were raised from 2020 levels (see listings). The SHARED BELIEF was shortened to One Mile from 1 1/16 Miles.**

2021 DEL MAR STAKES SCHEDULE - EXHIBIT 1

Running Date	#	Event	Distance	2021 Value
Fri. Jul 16, 2021	1	OCEANSIDE STAKES	One Mile (Turf)	\$100,000 (Guaranteed)
Sat. Jul 17, 2021	2	SAN DIEGO HANDICAP (Gr. II)	1 1/16 Miles	\$250,000 (Guaranteed)
Sat. Jul 17, 2021		Osunitas Stakes	One Mile (Turf)	\$80,000 (Added)
Sun. Jul 18, 2021	3	COUGAR II STAKES (Gr. III)	1 1/2 Miles	\$100,000 (Guaranteed)
Sun. Jul 18, 2021		Wickerr Stakes	One Mile (Turf)	\$80,000 (Added)
Fri. Jul 23, 2021	4	FLEET TREAT STAKES	Seven Furlongs	\$175,000 (Guaranteed)
Sat. Jul 24, 2021	5	EDDIE READ STAKES (Gr. II)	1 1/8 Miles (Turf)	\$250,000 (Guaranteed)
Sat. Jul 24, 2021	6	SAN CLEMENTE STAKES (Gr. II)	One Mile (Turf)	\$200,000 (Guaranteed)
Sun. Jul 25, 2021	7	CALIFORNIA DREAMIN' STAKES	1 1/16 Miles (Turf)	\$150,000 (Guaranteed)
Sun. Jul 25, 2021		Daisy Cutter Handicap	Five Furlongs (Turf)	\$80,000 (Added)
Fri. Jul 30, 2021	8	REAL GOOD DEAL STAKES	Seven Furlongs	\$175,000 (Guaranteed)
Sat. Jul 31, 2021	9	BING CROSBY STAKES (Gr. I)	Six Furlongs	\$300,000 (Guaranteed)
Sun. Aug 01, 2021	10	CLEMENT L. HIRSCH STAKES (Gr. I)	1 1/6 Miles	\$300,000 (Guaranteed)
Thu. Aug 05, 2021	11	CTBA STAKES	5 1/2 Furlongs	\$100,000 (Guaranteed)
Fri. Aug 06, 2021	12	SORRENTO STAKES (Gr. II)	Six Furlongs	\$200,000 (Guaranteed)
Sat. Aug 07, 2021	13	YELLOW RIBBON HANDICAP (Gr. II)	1 1/6 Miles (Turf)	\$200,000 (Guaranteed)
Sat. Aug 07, 2021	14	BEST PAL STAKES (Gr. II)	Six Furlongs	\$200,000 (Guaranteed)
Sun. Aug 08, 2021	15	LA JOLLA STAKES (Gr. III)	Six Furlongs	\$200,000 (Guaranteed)
Sun. Aug 08, 2021	16	GRADUATION STAKES	11 1/16 Miles (Turf)	\$150,000 (Guaranteed)
Sat. Aug 14, 2021		CTT and TOC Stakes	5 1/2 Furlongs	\$100,000 (Guaranteed)
Sun. Aug 15, 2021	17	SOLANA BEACH STAKES	1 3/8 Miles (Turf)	\$80,000 (Added)
Fri. Aug 20, 2021	18	RANCHO BERNARDO HANDICAP (Gr. III)	One Mile (Turf)	\$150,000 (Guaranteed)
Sat. Aug 21, 2021	19	TVG PACIFIC CLASSIC (Gr. I)	6 1/2 Furlongs	\$100,000 (Guaranteed)
Sat. Aug 21, 2021	20	DEL MAR OAKS (Gr. I)	1 1/4 Miles	\$750,000 (Guaranteed)
Sat. Aug 21, 2021	21	DEL MAR HANDICAP (Gr. II)	1 1/8 Miles (Turf)	\$300,000 (Guaranteed)
Sat. Aug 21, 2021	22	DEL MAR MILE (Gr. II)	1 3/8 Miles (Turf)	\$300,000 (Guaranteed)
Sat. Aug 21, 2021	23	TORREY PINES STAKES (Gr. III)	One Mile (Turf)	\$300,000 (Guaranteed)
Sun. Aug 22, 2021	24	GREEN FLASH HANDICAP (Gr. III)	One Mile	\$100,000 (Guaranteed)
Fri. Aug 27, 2021		Tranquility Lake Stakes	Five Furlongs (Turf)	\$100,000 (Guaranteed)
Sat. Aug 28, 2021	25	PAT O'BRIEN STAKES (Gr. II)	One Mile	\$80,000 (Added)
Sun. Aug 29, 2021	26	SHARED BELIEF STAKES	Seven Furlongs	\$200,000 (Guaranteed)
Fri. Sep 03, 2021	27	GENEROUS PORTION STAKES	One Mile	\$100,000 (Guaranteed)
Sat. Sep 04, 2021	28	DEL MAR DERBY (Gr. II)	Six Furlongs	\$100,000 (Guaranteed)
Sat. Sep 04, 2021	29	JOHN C. MABEE STAKES (Gr. II)	1 1/8 Miles (Turf)	\$200,000 (Guaranteed)
Sat. Sep 04, 2021	30	I'M SMOKIN STAKES	1 1/8 Miles (Turf)	\$200,000 (Guaranteed)
Sun. Sep 05, 2021	31	DEL MAR DEBUTANTE (Gr. I)	Six Furlongs	\$100,000 (Guaranteed)
Sun. Sep 05, 2021	32	DEL MAR JUVENILE FILLIES TURF	Seven Furlongs	\$300,000 (Guaranteed)
Mon. Sep 06, 2021	33	DEL MAR JUVENILE TURF	One Mile (Turf)	\$100,000 (Guaranteed)
Mon. Sep 06, 2021	34	DEL MAR FUTURITY (Gr. I)	One Mile (Turf)	\$100,000 (Guaranteed)
			Seven Furlongs	\$300,000 (Guaranteed)

EXHIBIT 1 (A)

Date	2021 Value		2021		Distance	2020	2019
			Event			2020 Value	VALUE
16-Jul-21	\$100,000	Guar	1 - OCEANSIDE STAKES	Three-year-olds, N/W S/S of \$50,000 at 1 M o/o in 2021	One Mile (Turf)	\$100,000	\$100,000
17-Jul-21	\$250,000	Guar	2 - SAN DIEGO HANDICAP (Gr. II)	Three year-olds and upward	1 1/6 Miles	\$150,000	\$200,000
17-Jul-21	\$80,000	Added	Osunitas Stakes	Fillies and mares, three-years-old and upward, N/W S/S \$50,000 at 1M o/o since Sept 1	One Mile (Turf)	\$75,000	\$85,000
18-Jul-21	\$100,000	Guar	3 - COUGAR II STAKES (Gr. III)	Three year-olds and upward	1 1/2 Miles		\$100,000
18-Jul-21	\$80,000	Added	Wickerr Stakes	Three-year-olds and upward, N/W S/S \$50,000 at 1 M o/o since Sept 1	One Mile (Turf)	\$75,000	\$85,000
23-Jul-21	\$175,000	Guar	4 - FLEET TREAT STAKES	Fillies, three-years-olds, bred in CA	Seven Furlongs	\$125,000	\$150,000
24-Jul-21	\$250,000	Guar	5 - EDDIE READ STAKES (Gr. II)	Three-years-old and upward	1 1/8 Miles (Turf)	\$200,000	\$250,000
24-Jul-21	\$200,000	Guar	6 - SAN CLEMENTE STAKES (Gr. II)	Fillies, three years old	One Mile (Turf)	\$150,000	\$200,000
25-Jul-21	\$150,000	Guar	7 - CALIFORNIA DREAMIN' STAKES	Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf)	\$125,000	\$150,000
25-Jul-21	\$80,000	Added	Daisycutter Handicap	Fillies and mares, three-7/23/21	Five Furlongs (Turf)	\$75,000	\$85,000
30-Jul-21	\$175,000	Guar	8 - REAL GOOD DEAL STAKES	Three-year-olds, bred in CA	Seven Furlongs	\$125,000	\$150,000
31-Jul-21	\$300,000	Guar	9 - BING CROSBY STAKES (Gr. I)	Three-year-olds and upward	Six Furlongs	\$250,000	\$300,000
1-Aug-21	\$300,000	Guar	10 - CLEMENT L HIRSCH STAKES (Gr. I)	Fillies and mares, three years old and upward	1 1/6 Miles	\$250,000	\$300,000
5-Aug-21	\$100,000	Guar	11 - CTBA STAKES	Fillies, two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000	\$100,000
6-Aug-21	\$200,000	Guar	12 - SORRENTO STAKES (Gr. II)	Fillies, two-year-olds	Six Furlongs	\$150,000	\$200,000
7-Aug-21	\$200,000	Guar	13 - YELLOW RIBBON HANDICAP (Gr. II)	Fillies and mares, three years old and upward	1 1/6 Miles (Turf)	\$150,000	\$200,000
7-Aug-21	\$200,000	Guar	14 - BEST PAL STAKES (Gr. II)	Two-year-olds	Six Furlongs	\$150,000	\$200,000
8-Aug-21	\$150,000	Guar	15 - LA JOLLA STAKES (Gr. III)	Three-year-olds	1 1/6 Miles (Turf)	\$125,000	\$150,000
8-Aug-21	\$100,000	Guar	16 - GRADUATION STAKES	Two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000	\$100,000
14-Aug-21	\$80,000	Added	CIT and TOC Stakes	Fillies and mares, three years old and upward	1 3/8 Miles (Turf)	\$75,000	\$85,000
15-Aug-21	\$150,000	Guar	17 - SOLANA BEACH STAKES	Fillies and mares, three years old and upward, bred in CA	One Mile (Turf)	\$125,000	\$150,000
20-Aug-21	\$100,000	Guar	18 - RANCHO BERNARDO HANDICAP (Gr. III)	Fillies and mares, three years old and upward	6 1/2 Furlongs	\$100,000	\$100,000
21-Aug-21	\$750,000	Guar	19 - TVG PACIFIC CLASSIC (Gr. I)	Three-year-olds and upward	1 1/4 Miles	\$500,000	\$1,000,000
21-Aug-21	\$300,000	Guar	20 - DEL MAR OAKS (Gr. I)	Fillies, three years old	1 1/8 Miles (Turf)	\$250,000	\$300,000
21-Aug-21	\$300,000	Guar	21 - DEL MAR HANDICAP (Gr. II)	Three-year-olds and upward	1 3/8 Miles (Turf)	\$200,000	\$250,000
21-Aug-21	\$300,000	Guar	22 - DEL MAR MILE (Gr. II)	Three-year-olds and upward	One Mile (Turf)	\$150,000	\$200,000
21-Aug-21	\$100,000	Guar	23 - TORREY PINES STAKES (Gr. III)	Fillies, three years old	One Mile	\$100,000	\$100,000
22-Aug-21	\$100,000	Guar	24 - GREEN FLASH HANDICAP (Gr. III)	Three-year-olds and upward	Five Furlongs (Turf)	\$100,000	\$100,000
27-Aug-21	\$80,000	Added	Tranquility Lake Stakes	Fillies and mares, three years old and upward N/W S/S \$50,000 at 1 M o/o since Feb 1	One Mile	\$75,000	\$85,000
28-Aug-21	\$200,000	Guar	25 - PAT O'BRIEN STAKES (Gr. II)	Three-year-olds and upward	Seven Furlongs	\$150,000	\$200,000
29-Aug-21	\$100,000	Guar	26 - SHARED BELIEF STAKES	Three-year-olds	One Mile	\$100,000	\$100,000
3-Sep-21	\$100,000	Guar	27 - GENEROUS PORTION STAKES	Fillies, two years old, bred in CA	Six Furlongs	\$100,000	\$100,000
4-Sep-21	\$200,000	Guar	28 - DEL MAR DERBY (Gr. II)	Three-year-olds	1 1/8 Miles (Turf)	\$200,000	\$250,000
4-Sep-21	\$200,000	Guar	29 - JOHN C. MABEE STAKES (Gr. II)	Fillies and mares, three-years-old and upward	1 1/8 Miles (Turf)	\$150,000	\$200,000
4-Sep-21	\$100,000	Guar	30 - I'M SMOKIN STAKES	Two-year-olds, bred in CA	Six Furlongs	\$100,000	\$100,000
5-Sep-21	\$300,000	Guar	31 - DEL MAR DEBUTANTE (Gr. I)	Fillies, two years old	Seven Furlongs	\$250,000	\$300,000
5-Sep-21	\$100,000	Guar	32 - DEL MAR JUVENILE FILLIES TURF	Fillies, two-year-olds	One Mile (Turf)	\$75,000	\$100,000
6-Sep-21	\$100,000	Guar	33 - DEL MAR JUVENILE TURF	Two-year-olds	One Mile (Turf)	\$100,000	\$100,000
6-Sep-21	\$300,000	Guar	34 - DEL MAR FUTURITY (Gr. I)	Two-year-olds	Seven Furlongs	\$250,000	\$300,000

EXHIBIT 2

POST TIMES FOR PROPOSED DAILY RACING PROGRAM

2021

RACE	REGULAR (Thurs, Sat & Sun Opening Day 7/16 and Closing Day 9/6)	FRIDAYS (7/23, 7/30, 8/6, 8/13 8/20)	FRIDAYS (8/27, 9/3)
1st	2:00 p.m.	4:00 p.m.	3:30 p.m.
2nd	2:30 p.m.	4:30 p.m.	4:00 p.m.
3rd	3:00 p.m.	5:00 p.m.	4:30 p.m.
4th	3:30 p.m.	5:30 p.m.	5:00 p.m.
5th	4:00 p.m.	6:00 p.m.	5:30 p.m.
6th	4:30 p.m.	6:30 p.m.	6:00 p.m.
7th	5:00 p.m.	7:00 p.m.	6:30 p.m.
8th	5:30 p.m.	7:30 p.m.	7:00 p.m.
9th *	6:00 p.m.		
10th *	6:30 p.m.		
11th *	7:00 p.m.		

*On certain days to be determined

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **NONE**

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program: **EXHIBIT 2**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
- LLC (complete subsection D)
- Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Full Disclosure Statement On-File with CHRB**

C. CORPORATION

- 1. Registered name of the corporation: **Del Mar Thoroughbred Club**
- 2. State where incorporated: **California**
- 3. Registry or file number for the corporation: **559096**
- 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

David H. Batchelder	Chairman of the Board	1 Share
Joseph W. Harper	Director, & CEO	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Bo Derek	Director	1 "
Kosta Hronis	Director	1 "
Warner Lusardi	Director	1 "
Marie G. Moretti	Director	1 "
Jim Rome	Director	1 "
Martin J. Wygod	Director	1 "
Josh Rubinstein	President & Chief Operating Officer	0
Michael R. Ernst	Executive VP, Finance CFO	0
Thomas S. Robbins	Executive VP, Racing	0
Craig A. Dado	Executive VP, Chief Marketing Officer	0
Lynn Wright	Senior VP and Controller	0
Ann Hall	Senior VP, Operations & Administration	0
Sue Walls	VP, Facilities	0

- 5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **None**
- 6. Number of outstanding shares in the corporation: **10**

- 7. Are the shares listed for public trading? Yes No
- If yes, on what exchange and how is the stock listed:
- 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**
- 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? **If no, proceed to section F.**
 - If yes, answer questions 10-17. **N/A** Yes No
- 10. Registered name of the corporation:
- 11. State where incorporated: **N/A**
- 12. Registry or file number for the corporation: **N/A**
- 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **N/A**
- 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **N/A**
- 15. Number of outstanding shares in the corporation: **N/A**
- 16. Are the shares listed for public trading? **N/A** Yes No
- If yes, on what exchange and how is the stock listed:
- 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **N/A**

D. LLC **N/A**

- 1. Registered name of the LLC:
- 2. State where articles of organization are filed:
- 3. Registry or file number for the LLC:
- 4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
- 5. Are the shares listed for public trading? Yes No
- If yes, on what exchange and how the stock is listed:
- 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
- 7. Registered name of the LLC/Corporation:
- 8. State where articles of organization are filed:
- 9. Registry or file number for the LLC:
- 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
- 11. Are the shares listed for public trading? Yes No
- 12. If yes, on what exchange and how the stock is listed:

E. OTHER **N/A**

- 1. Name(s) of partners/sole proprietor:
- 2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **ON FILE**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Josh Rubinstein	President, COO
Michael R. Ernst	Executive VP, Finance and Administration/CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Executive VP, Chief Marketing Officer
Ann Hall	Sr. Vice President, Operations & Administration
Lynn Wright	Sr. Vice President and Controller
Sue Walls	Vice President, Facilities
David Jerkens	Racing Secretary
Mac McBride	Director of Media
Cathy Arneel	Director of Group Sales
Paul Porter	Director of Simulcasting
Walker McBride	Director of Sponsorship and Digital Media
William D. Navarro	Director of Mutuels
Nancy Bonforte	Director of Turf Club
Kim Jacobson	Director of Risk Management
Trevor Denman	Public Address Announcer
Dennis Moore	Director of Track Maintenance
Leif Dickinson	Turf Course / Grounds Superintendent
Chris Bahr	Director of Events and Promotions
Ken West	Director of Plant and Purchasing

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Josh Rubinstein – President
PO Box 700, Del Mar, CA 92014
josh@dmtd.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **.50¢PNP / Players Pick Five Wager – 14%**
 Proposed percentage: **\$2 Rolling Daily Double – 20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **EXHIBIT 3**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

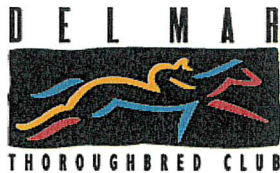
1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year and # Race Days	On-Track Handle	Off-Track Handle	On-Track Attendance	Off-Track Attendance
2020 – 27	3,786,965	463,814,562	N/A	42,796
2019 - 36	51,524,399	380,451,585	405,504	200,731
2018 - 36	59,439,657	425,727,650	470,529	208,125
2017 - 36	58,899,859	394,123,379	476,628	218,927
2016 - 39	63,927,411	401,528,464	524,439	236,584
2015 - 40	74,259,863	408,753,153	578,770	256,219

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

- A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: **31 Race Days** **11,678,510**
 Prior meet actual: **27 Race Days** **10,929,592**



Josh Rubinstein
President & COO

April 1, 2021

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Scott,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2021 Summer Season, July 16th - September 6th.

- **Players Pick 5 and Rolling Doubles**
 1. **Players Pick 5 - .50¢ minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%**
 2. **\$2 Rolling Daily Double - subject to a takeout rate of 20%**
- **Proposed Percentage:** **14% takeout (Pick Five)**
 20% takeout (Rolling Doubles)

For reference, the Players Pick Five Wager and Rolling Doubles are noted on DMTC's license application in Section 5(1).

Please contact me directly if you have any questions.

Regards,

Josh Rubinstein,
President and COO

cc: Joe Harper, DMTC
 Mike Ernst, DMTC
 Greg Avioli, TOC
 Amanda Drummond, CHRB



EXHIBIT 3 (A)

April 1, 2021

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2021 Summer Race Meet – Players Pick 5 Wager

Dear Scott,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of the “Players Pick-5” wager on the first five (5) races of the day, subject to a 14% takeout, on each racing day of its 2021 Summer meet, July 16 – September 6, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: GARY FENTON
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON



EXHIBIT 3 (A)

April 1, 2021

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2021 Summer Race Meet – Rolling Doubles Wager

Dear Scott,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its 2021 Summer meet, July 16 – September 6, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: GARY FENTON
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: **376,726**
 Prior meet actual: **404,800**

2. Overnight stakes:
 Current meet estimate: **400,000**
 Prior meet actual: **390,000**

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: **12,903**
 Prior meet actual: **14,444**

3. Non-overnight stakes:
 Current meet estimate: **5,470,000**
 Prior meet actual: **3,990,950**

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: **176,452**
 Prior meet actual: **147,813**

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **17,548,510**
 Prior meet actual: **15,310,542**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: **17.89%**
 Prior meet actual: **19.40%**

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: **0.58%**
 Prior meet actual: **0.72%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: **1,457,319**
 Prior meet actual: **1,355,525**

D. Payment to each recognized horsemen’s organization contracting with the association and the name(s) of the organization(s):

	Current meet estimate:	Prior meet actual:
TOC Administration	153,637	146,311
CTT Administration	76,819	73,156
CTT Backstretch Pension	153,637	146,311
NTRA Dues	28,410	23,058
Total	412,503	388,836

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
- | | |
|------------------------|-------------------|
| Current meet estimate: | 19,418,333 |
| Prior meet actual: | 17,054,903 |
- Average Daily Purse (7 E ÷ number of days):
- | | |
|------------------------|----------------|
| Current meet estimate: | 626,398 |
| Prior meet actual: | 631,663 |
- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):
- | | |
|------------------------|------------------|
| Current meet estimate: | 8,424,178 |
| Prior meet actual: | 6,376,434 |
- Average Daily Purse (7 F ÷ number of days):
- | | |
|------------------------|----------------|
| Current meet estimate: | 271,748 |
| Prior meet actual: | 236,164 |
- G. Purse funds to be generated from interstate handle:
- | | |
|------------------------|------------------|
| Current meet estimate: | 8,518,530 |
| Prior meet actual: | 8,666,479 |
- Average Daily Purse (7 G ÷ number of days):
- | | |
|------------------------|----------------|
| Current meet estimate: | 274,791 |
| Prior meet actual: | 320,981 |
- H. Bank and account number for the Paymaster of Purses' purse account:
On file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
1,850 (Reduced capacity for horse safety)

- B. Minimum number of stalls believed necessary for the meeting:
1,850
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
1,345 Los Alamitos and San Luis Rey Downs
1,900 Santa Anita Open July 7th to 17th and September 5th to September 7th

3,245 Total (when Santa Anita Open)
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 - **Los Alamitos 850**
 - **San Luis Rey Downs 495**
 - **Santa Anita 1,900**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **ON FILE**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **2,100 (Note: as of 2015 total number 2,000)**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$1,461,775
\$ 13.13 cost per day per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Estimated vanning cost: \$40,125

Vanning per horse (round trip):

Santa Anita	\$240
Los Alamitos	\$215
San Luis Rey Downs	\$150

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
There is a rotation of private veterinarians' onsite during all training and racing hours. Names and emergency telephone numbers placed ON FILE with the CHRB. Tom Robbins, Executive VP Racing, (858) 755-1141 ext. 3804, is responsible for contacting veterinarian.
 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
7.07.21–9.08.21 Del Mar Race Track (Association) Training and Racing
4:30am – 10:30am
Prior to Opening Day and once the main track opens for training (7/11/21), DMTC will have a veterinarian present during training hours to respond to any needs. As more horses arrive after opening day, private practitioners for each trainer will be present. These veterinarians are present during training hours and available to treat their patients should the need arise. We have submitted a more detailed Horse/Rider Down On-

Track/Emergency Response Protocol, which is to be placed ON FILE as part of Section 16 Emergency Services.

DMTC will provide a list of all on-site trainers, along with their private practitioners and contact numbers, to the Stable Office, Main Stable Gate, Clockers and Outriders. ON-FILE is a list of veterinarians at DMTC’s two authorized training facilities, Los Alamitos and San Luis Rey Downs. In addition, the list of DMTC regulatory veterinarians, along with their contact information, has been submitted to be placed ON-FILE. The regulatory veterinarians are present for much of the morning training hours as they perform the soundness exams in the morning hours, as well as being present to monitor the afternoon racing programs.

Year Round Los Alamitos Race Course (Auxiliary) Training
5:30 am – 10:30 am
 Los Alamitos Race Course has private practitioners present during its training hours. Veterinarians’ contact numbers ON FILE.

Year Round San Luis Rey Downs (Auxiliary) Training
5:30 am – 10:30 am
 San Luis Rey Downs has veterinarians from its nearby San Dieguito Equine Group that are present during training hours. Contact numbers ON FILE.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

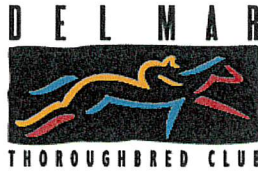
Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race: **TYPE OF WAGERS** **APPLICABLE RULES**
 \$1 E; \$1 Double CHRB #1959; RCI #VE

Del Mar Thoroughbred Club Wagering Menu and Information

	W/P/S	Parlay	Quinella	Exacta	Trifecta	Superfecta	DD	Pick 3	PPN	Early PNP4	Late PNP4	Early PNP 5	Late PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X	X	X	X			X			
2	X	X	X	X	X	X	X	X	X	X					
3	X	X	X	X	X	X	X	X	X					*	
4	X	X	X	X	X	X	X	X	X				*	*	
5	X	X	X	X	X	X	X	X	X		*		*	*	
6	X	X	X	X	X	X	X	X	X		*		*	*	
7	X	X	X	X	X	X	*	*	X		*		*		
8	X	X	X	X	X	X	*	*	X		*				X
9	X	*	X	X	X	X	*	*	*						*
10	X	*	X	X	X	X	*		*						*
11	X		X	X	X	X			*						*

* When applicable



Josh Rubinstein
President & COO

April 6, 2021

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Executive Director Chaney,

This letter is in regards to Del Mar Thoroughbred Club's ("DMTC") "Application for License to Conduct a Horse Racing Meeting" for the time period of July 7, 2021 through September 7, 2021 (the "Race Meet Application").

As specified in the Race Meet Application, DMTC wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), DMTC is required to identify which definition under ARCI 004-105(G)(16)(b) DMTC will use to identify a unique ticket. DMTC requests that a combination of the two definitions to define unique be used. Specifically, DMTC wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination

selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above.

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(i) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

DMTC requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

DMTC also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: The payout distribution method as provided for in ARCI 004-105(G)(2)(g) If there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, DMTC is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

Mr. Scott Chaney

Page Three

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

DMTC requests these modifications be approved as part of its license application.

Sincerely,

A handwritten signature in black ink, appearing to read "Josh Rubinstein", written in a cursive style.

Josh Rubinstein
President & COO
Del Mar Thoroughbred Club

Wager	Rule	Takeout	Minimum Wager Amount and Other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Quinella	CHRB 1958	22.68%	\$2 Quinella on all eligible races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Rolling Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Rolling Pick 3 on all eligible races.
PPN (Place Pick All)	CHRB 1976.8	23.68%	\$1 Place Pick All.
PNP4 (Early Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5; No consolation; 100% payout; Alternate runner provision.
PNP4 (Late Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(i) ²	23.68%	\$.20 Pick 6 on the last six live races each day: If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$.50 Super High 5 on last race of the day; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race day Super High 5, if applicable.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated April 6, 2021 is denied.

B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**

C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Mandatory Pick 6 Jackpot Payout on August 21, 2021-Pacific Classic Day, and on Closing Day-September 6, 2021.**

D. List any options requested with regard to exotic wagering: **None**

E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
7/16/21 – 9/6/21 (if current conditions permit)
9:00 am everyday except Mondays, Tuesdays and Wednesdays

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System

American Totalisator, Inc. (AmTote)

Primary system at ViaWest Data Center located in Portland Oregon

AmTote Representative:

Bob Sloan (1-443-798-0878) bob.sloan@amtote.com

Contract Expiration Date: Automatic Contract Extension to 6/30/25, as stipulated in original contract.

Terminals

F3000e – Convertible teller and self-service unit; IP based, touch screen and keyboard operated.

V3000e – Self Service terminals, touch screen, bill accepting, ticket in/out.

AWSST – Account wagering self-service unit. Touch screen account based terminal.

G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

TAKE OUT PERCENTAGE

14.00 %	Early PNP5
15.43 %	W/P/S; Parlay
20.00 %	DD
22.68 %	E; Q
23.68 %	TRI; SF; PK3; PNP6; SuperH5; PPN; PNP4, Late PNP5

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:
DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following Advance Deposit Wagering (ADW) companies to conduct Internet and telephone wagering from California residents: TVG, Xpress-Bet, Watch & Wager, Twin Spires, Bet America, NYRA Bets CA. In addition, several other ADW companies (complete list on file) have access to Del Mar’s content for Internet wagering in states for which ADW is approved by law.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

ON FILE

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes No

If yes, attach a copy of the approval. **EXHIBIT 4**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.



April 1, 2021

Mr. Josh Rubinstein
Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

RE: 2021 DMTC Summer Race Meeting ADW Approvals

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Del Mar Thoroughbred Club (DMTC) race signals under the terms and conditions set forth below.

Pursuant to Section XXIX, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and DMTC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, DMTC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on DMTC and other Thoroughbred races during the 2021 Summer race meeting, July 16 through September 6, 2021, as follows:

1. California wagering on DMTC races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager and Game Play Network may deduct a Hub Fee of 4.1% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON

- enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - c. Watch and Wager and Game Play Network may deduct a Hub Fee of 4.1% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
- 3. Out-of-state wagering on DMTC races:**
- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
 - b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

cc: Lucy Myers

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering, Inc. "SCOTWINC"
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
SCOTWINC Agreement is ON FILE with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
EXHIBIT 5
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
EXHIBIT 6
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
EXHIBIT 7
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
EXHIBIT 8
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **EXHIBIT 9**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

EXHIBIT 5
(If applicable)

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park @ Barretts, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park Casino, Inglewood
Humboldt County Fair, Ferndale
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
Pechanga Resort Casino, Temecula
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
San Mateo Event Center, San Mateo
Santa Anita Park, Arcadia
Santa Clara County Fair, San Jose
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion, the Farmers Fair, Lake Perris
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

EXHIBIT 6
(If applicable)

AmWest Entertainment, KY
Arapahoe Park, Colorado
Arima Race Course, Trinidad
Arizona Downs, Arizona
Arlington International Race Course, Illinois
Assiniboia Downs, Canada
Atlantis Resort and Casino, Bahamas
Bangor Raceway, Maine
Barrie Raceway, Ontario
Batavia Downs, New York
Belterra Race Course, OH
Bet America, LLC, North Dakota
Bet Fair Games Limited, UK
Bettor Racing OTB, South Dakota
Birmingham Race Course, Alabama
Bordertown OTB, Oklahoma
Borgata Casino, New Jersey
Buffalo Trotting Association, New York
Bwin International, Ltd.
Caliente (MIR), Mexico
Camarero, Puerto Rico
Canterbury Park, Minnesota
Capital District OTB, New York
Catskill Regional OTB, New York
Caymanas Race Track, Jamaica
Century Downs, Alberta, Canada
Century Miles, Alberta, Canada
Charles Town Races, West Virginia
Chester Downs - Pennsylvania
Churchill Downs, Kentucky
Clinton Teletheatre, Canada
Codere, Mexico
Codere, Spain
Columbus Raceway, Nebraska
Connecticut OTB, Connecticut
Corpus Christi Greyhound, Texas
Coushatta Casino Resort, Louisiana
Dayton Raceway, OH
Divi Carina Bay Casino, Louisiana
Delaware Park, Delaware
Delta Downs, Louisiana
Dover Downs, Delaware
Downs at Albuquerque, New Mexico
Ebet Online, Oregon
Egg Harbor Turf Club, New Jersey
Elite Turf Club, Curacao
Ellis Park, Kentucky
Elmira Raceway, Ontario
Equilottery, Kentucky
Equus St. Thomas, St. Thomas
Emerald Downs, Washington
Euro Offtrack, Isle of Man
Evangeline Downs, Louisiana
Evansville/Clarksville OTB, Indiana
Fairgrounds ADW, Louisiana
Fairgrounds Racecourse, Louisiana
Fair Meadows, Texas
Favorites at Gloucester, New Jersey
Favorites at Vineland, New Jersey
Finger Lakes Bets, NY
Finger Lakes Race Track, New York
Flamboro Downs, Ontario
Fonner Park, Nebraska
Fort Erie Racetrack, Canada
Foxwoods Casino, Connecticut
Fraser Downs, British Columbia
Freehold Raceway, New Jersey
Gillespie County Downs, Texas
Global Wagering Group, Germany
Global Wagering Solutions, Germany
Grand River, Canada
Grants Pass Downs, Oregon
Greentrack, Alabama
Greyhounds at Post Falls, Idaho
Gulf Greyhound, Texas
Gulfstream Park, Florida
Harrington Bets, Delaware
Harrington Raceway, Delaware
Hastings Park, Canada
Hawthorne ADW, Illinois
Hawthorne Race Course, Illinois
Hiawatha Downs, Ontario
Hipica de Panama, Panama
Hipodromo Camarero, Puerto Rico
Hipodromo Montericco, Peru
Ho-Chuck Casino, Connecticut
Hodge OTB, Connecticut
Hoosier Park, Indiana
Horsemen's Park, Nebraska
Horseshoe Council Bluffs, Iowa
Idaho Fall OTB, Idaho
Idabet, Iowa

EXHIBIT 6
(If applicable)

Indiana Downs, Indiana
Inverness Raceway, Canada
Iowa Greyhounds, Iowa
Intermountain Racing, Idaho
John Martins Manor, Maine
Kawartha Downs, Ontario
Keeneland, Kentucky
Keeneland Select ADW, Kentucky
Kentucky Downs, Kentucky
Kentucky OTB, Kentucky
Las Vegas Dissemination Company, Nevada
Lewiston OTB, Maine
Lien Games, North Dakota
Lincoln Greyhound Park, Rhode Island
Lone Star Race Park, Texas
Louisiana Downs, Louisiana
Magna Bet, Austria
Mahoning Valley Race Course, OH
Maronas Race Track, Uruguay
Marquis Downs, Saskatchewan
Maryland Jockey Club, Maryland
Maywood Park, Illinois
Meadowlands, New Jersey
Meskwaki Casino, Oklahoma
Miami Valley Gaming, Ohio
Millennium Racing, Trinidad
Mobile Greyhound Park, Alabama
Mohegan Sun, Pennsylvania
Mohawk Harness, Ontario
Monmouth Park, New Jersey
Montana OTB, Montana
Montericco Simulcasting, Peru
Monticello Raceway, NY
Mountaineer Park, West Virginia
Nassau Regional OTB, New York
Nebraska State Fair Park, Nebraska
Nevada Dissemination Services, Nevada
Nevada Pari-Mutuel Association, Nevada
New Jersey Mobile, New Jersey
New Palace Casino, Mississippi
New Zealand Racing Board, NZ
NYRA, New York
NYRA Bets, New York
Newport Jai-Alai, Rhode Island
Northfield Park, Ohio
Northlands Park, Canada

Northville Downs, Michigan
Nugget Casino, Mississippi
Oaklawn Park, Arkansas
Oaklawn Park ADW, Arkansas
Ocean Downs, Maryland
Offtrackbetting.com, Oregon
Oregon Off-Tracks, Oregon
Oneida Bingo and Casino, Oklahoma
Ontario Teletheatre, Ontario
Panama OTB Network, Panama
PariBet ADW, North Dakota
PariBet OTB, North Dakota
Paragon Casino, Louisiana
Penn National, Pennsylvania
Penn National ADW, Pennsylvania
PARX, Pennsylvania
Peru Jockey Club, Peru
Peru OTB Network, Peru
Phumelela, South Africa
PARX ADW, Pennsylvania
Picov Downs, Canada
Pimlico Race Course, Maryland
Plainridge Race Course, Massachusetts
Plainridge ADW, Massachusetts
Player Management Group, CA
PMU Brazil, Brazil
Pocono Downs, Pennsylvania
Pocono Downs ADW, Pennsylvania
Pojoaque Casino, Oklahoma
Portland Meadows, Oregon
Potawatomi Casino, Wisconsin
Prairie Meadows, Iowa
Premier Gateway International, S.A.
Premier Turf Club, North Dakota
Presque Isle Downs, Pennsylvania
Racing and Gaming Services, St Kitts
Remington Park, Oklahoma
Retama Park, Texas
Rideau Carleton Raceway, Canada
Riders Up OTB, Oklahoma
Rillito Park, Arizona
Rockingham Park, New Hampshire
Rocky Mountain Turf Club, Canada
Rosecroft Raceway, Maryland
Royal Beach Casino, St Kitts
Ruidoso Downs, New Mexico

**EXHIBIT 6
(If applicable)**

Running Aces Harness Park, Minnesota
Sam Houston Park, Texas
Saratoga Harness, New York
Scarborough Downs, Maine
Scarlet Pearl, Mississippi
Scioto Downs, Ohio
Seabrook Greyhound Park, NH
Seabrook Greyhound Park ADW, NH
Shoreline Star Greyhounds, Connecticut
Skydancer Casino, Oklahoma
Sol Mutuel, St Kitts
Sol Mutuel Otrobanda, St Kitts
Southland Greyhound Park, Arkansas
Sports Center, Kentucky
State Fair, Nebraska
Suaposta ADW, Brazil
Suffolk District OTB, New York
Suffolk Downs, Massachusetts
Sunland Park Racetrack, New Mexico
Sun Ray Park, New Mexico
TabCorp, Australia
Tampa Bay Downs, Florida
TattsBet, Australia
Taunton Dog Track, New Hampshire
Taunton Dog Track ADW, New Hampshire
TBC Teletheatre, British Columbia
The Greenbrier Resort, West Virginia
The Meadows, Pennsylvania
The Meadows ADW, Pennsylvania
Thistledown, Ohio
Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG High Volume, Los Angeles
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf del Norte, Tribecta D.R.
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky

TwinSpires High Volume, Kentucky
US Offtrack, Florida
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Virginia Equine Alliance, Virginia
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, (GWS)
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico
123Gaming, Oregon

EXHIBIT 7
(If applicable)

AmWest Entertainment, KY
Arapahoe Park, Colorado
Arima Race Course, Trinidad
Arizona Downs, Arizona
Arlington International Race Course, Illinois
Assiniboia Downs, Canada
Atlantis Resort and Casino, Bahamas
Bangor Raceway, Maine
Barrie Raceway, Ontario
Batavia Downs, New York
Belterra Race Course, OH
Bet America, LLC, North Dakota
Bet Fair Games Limited, UK
Bettor Racing OTB, South Dakota
Birmingham Race Course, Alabama
Bordertown OTB, Oklahoma
Borgata Casino, New Jersey
Buffalo Trotting Association, New York
Bwin International, Ltd.
Canterbury Park, Minnesota
Capital District OTB, New York
Catskill Regional OTB, New York
Century Downs, Alberta, Canada
Century Miles, Alberta, Canada
Charles Town Races, West Virginia
Chester Downs - Pennsylvania
Churchill Downs, Kentucky
Clinton Teletheatre, Canada
Columbus Raceway, Nebraska
Connecticut OTB, Connecticut
Corpus Christi Greyhound, Texas
Coushatta Casino Resort, Louisiana
Dayton Raceway, OH
Divi Carina Bay Casino, Louisiana
Delaware Park, Delaware
Delta Downs, Louisiana
Dover Downs, Delaware
Downs at Albuquerque, New Mexico
Ebet Online, Oregon
Egg Harbor Turf Club, New Jersey
Elite Turf Club, Curacao
Ellis Park, Kentucky
Elmira Raceway, Ontario
Equus St. Thomas, St. Thomas
Emerald Downs, Washington
Euro Offtrack, Isle of Man
Evangeline Downs, Louisiana
Evansville/Clarksville OTB, Indiana
Fairgrounds ADW, Louisiana
Fairgrounds Racecourse, Louisiana
Fair Meadows, Texas
Favorites at Gloucester, New Jersey
Favorites at Vineland, New Jersey
Finger Lakes Bets, NY
Finger Lakes Race Track, New York
Flamboro Downs, Ontario
Fonner Park, Nebraska
Fort Erie Racetrack, Canada
Foxwoods Casino, Connecticut
Fraser Downs, British Columbia
Freehold Raceway, New Jersey
Gillespie County Downs, Texas
Global Wagering Group, Germany
Global Wagering Solutions, Germany
Grand River, Canada
Grants Pass Downs, Oregon
Greentrack, Alabama
Greyhounds at Post Falls, Idaho
Gulf Greyhound, Texas
Gulfstream Park, Florida
Harrington Bets, Delaware
Harrington Raceway, Delaware
Hastings Park, Canada
Hawthorne ADW, Illinois
Hawthorne Race Course, Illinois
Hiawatha Downs, Ontario
Hipica de Panama, Panama
Hipodromo Camarero, Puerto Rico
Hipodromo Montericco, Peru
Ho-Chuck Casino, Connecticut
Hodge OTB, Connecticut
Hoosier Park, Indiana
Horsemen's Park, Nebraska
Horseshoe Council Bluffs, Iowa
Idaho Fall OTB, Idaho
Idabet, Iowa
Indiana Downs, Indiana
Inverness Raceway, Canada
Iowa Greyhounds, Iowa
Intermountain Racing, Idaho
John Martins Manor, Maine
Kawartha Downs, Ontario

EXHIBIT 7
(If applicable)

Keeneland, Kentucky
Keeneland Select ADW, Kentucky
Kentucky Downs, Kentucky
Kentucky OTB, Kentucky
Las Vegas Dissemination Company, Nevada
Lewiston OTB, Maine
Lien Games, North Dakota
Lincoln Greyhound Park, Rhode Island
Lone Star Race Park, Texas
Louisiana Downs, Louisiana
Magna Bet, Austria
Mahoning Valley Race Course, OH
Maronas Race Track, Uruguay
Marquis Downs, Saskatchewan
Maryland Jockey Club, Maryland
Maywood Park, Illinois
Meadowlands, New Jersey
Meskwaki Casino, Oklahoma
Miami Valley Gaming, Ohio
Millennium Racing, Trinidad
Mobile Greyhound Park, Alabama
Mohegan Sun, Pennsylvania
Mohawk Harness, Ontario
Monmouth Park, New Jersey
Montana OTB, Montana
Montericco Simulcasting, Peru
Monticello Raceway, NY
Mountaineer Park, West Virginia
Nassau Regional OTB, New York
Nebraska State Fair Park, Nebraska
Nevada Dissemination Services, Nevada
Nevada Pari-Mutuel Association, Nevada
New Jersey Mobile, New Jersey
New Palace Casino, Mississippi
NYRA, New York
NYRA Bets, New York
Newport Jai-Alai, Rhode Island
Northfield Park, Ohio
Northlands Park, Canada
Northville Downs, Michigan
Nugget Casino, Mississippi
Oaklawn Park, Arkansas
Oaklawn Park ADW, Arkansas
Ocean Downs, Maryland
Offtrackbetting.com, Oregon
Oregon Off-Tracks, Oregon

Oneida Bingo and Casino, Oklahoma
Ontario Teletheatre, Ontario
Panama OTB Network, Panama
PariBet ADW, North Dakota
PariBet OTB, North Dakota
Paragon Casino, Louisiana
Penn National, Pennsylvania
Penn National ADW, Pennsylvania
PARX, Pennsylvania
Peru Jockey Club, Peru
Peru OTB Network, Peru
Phumelela, South Africa
PARX ADW, Pennsylvania
Picov Downs, Canada
Pimlico Race Course, Maryland
Plainridge Race Course, Massachusetts
Plainridge ADW, Massachusetts
Player Management Group, CA
PMU Brazil, Brazil
Pocono Downs, Pennsylvania
Pocono Downs ADW, Pennsylvania
Pojoaque Casino, Oklahoma
Portland Meadows, Oregon
Potawatomi Casino, Wisconsin
Prairie Meadows, Iowa
Premier Gateway International, S.A.
Premier Turf Club, North Dakota
Presque Isle Downs, Pennsylvania
Racing and Gaming Services, St Kitts
Remington Park, Oklahoma
Retama Park, Texas
Rideau Carleton Raceway, Canada
Riders Up OTB, Oklahoma
Rillito Park, Arizona
Rockingham Park, New Hampshire
Rocky Mountain Turf Club, Canada
Rosecroft Raceway, Maryland
Royal Beach Casino, St Kitts
Ruidoso Downs, New Mexico
Running Aces Harness Park, Minnesota
Sam Houston Park, Texas
Saratoga Harness, New York
Scarborough Downs, Maine
Scarlet Pearl, Mississippi
Scioto Downs, Ohio
Seabrook Greyhound Park, NH

**EXHIBIT 7
(If applicable)**

Seabrook Greyhound Park ADW, NH
Shoreline Star Greyhounds, Connecticut
Skydancer Casino, Oklahoma
Sol Mutuel, St Kitts
Sol Mutuel Otrobanda, St Kitts
Southland Greyhound Park, Arkansas
Sports Center, Kentucky
State Fair, Nebraska
Suaposta ADW, Brazil
Suffolk District OTB, New York
Suffolk Downs, Massachusetts
Sunland Park Racetrack, New Mexico
Sun Ray Park, New Mexico
Tampa Bay Downs, Florida
Taunton Dog Track, New Hampshire
Taunton Dog Track ADW, New Hampshire
TBC Teletheatre, British Columbia
The Greenbrier Resort, West Virginia
The Meadows, Pennsylvania
The Meadows ADW, Pennsylvania
Thistledown, Ohio
Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG High Volume, Los Angeles
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf del Norte, Tribecta D.R.
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
TwinSpires High Volume, Kentucky
US Offtrack, Florida
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Virginia Equine Alliance, Virginia
Watch and Wager, LLC, San Francisco

Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico
123Gaming, Oregon

EXHIBIT 8
(If applicable)

Mini-Satellites

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 803-3693
Contact: Edgar Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact: Tom Cristi

M4. Sammy's Original
23221 Lake Center Drive
Lake Forest, CA 92630
Phone: (949) 716-2367
Contact: Pete Genovese

M5. Tiled Kilt
1345 E. Thousand Oaks Blvd.
Thousand Oaks CA. 91362
Phone: (805) 777-7418
Contact: Robert Haupt

M6. Firehouse Restaurant
7701 White Avenue
Bakersfield, CA 93313
PH: 661-831-4688
Contact: Russ Johnson

EXHIBIT 8
(If applicable)

M7. Lake Elsinore Casino
20930 Malaga Road
Lake Elsinore, CA 92530
PH: 951-674-5160
Contact: Pat Wilmes

M8. The Derby Room
3230 Hamner Avenue
Norco, CA 92860
PH: 951-692-3572
Contact: Jim Baerwald

EXHIBIT 9
(if applicable)

Full & Partial Cards

Arlington Park: July 7, 2021 - September 7, 2021
Belmont Park: July 7, 2021 - July 14, 2021
Belterra Park: July 7, 2021 - September 7, 2021
Delaware Park: July 7, 2021 - September 7, 2021
Ellis Park: July 7, 2021 - September 7, 2021
Emerald Downs: July 7, 2021 - September 7, 2021
Evangeline Downs: July 7, 2021 - September 7, 2021
Fairmount Park: July 7, 2021 - September 7, 2021
Finger Lakes: July 7, 2021 - September 7, 2021
Gulfstream Park: July 7, 2021 - September 7, 2021
Indiana Grand: July 7, 2021 - September 7, 2021
Kentucky Downs: September 5 - September 6, 2021
Laurel Park: July 7, 2021 - September 7, 2021
Louisiana Downs: July 7, 2021 - September 7, 2021
Monmouth Park: July 7, 2021 - September 7, 2021
Mountaineer Park: July 7, 2021 - September 7, 2021
PARX Racing: July 7, 2021 - September 7, 2021
Penn National: July 7, 2021 - September 7, 2021
Presque Isle Downs: July 7, 2021 - September 7, 2021
Saratoga Race Course: July 15, 2021 - September 7, 2021
Thistledown: July 7, 2021 - September 7, 2021

Woodbine: July 7, 2021 - September 7, 2021
Assiniboia Downs: July 7, 2021 - September 7, 2021
Fort Erie: July 7, 2021 - September 7, 2021
Hastings Park: July 7, 2021 - September 7, 2021
LARC (South America) via Maryland Hub: July 7, 2021 - September 7, 2021
LARC (South America) via Gulfstream Hub: July 7, 2021 - September 7, 2021

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

9/5/21	Ruidoso	All American Derby	I	\$ 700,000	440 Yards
9/6/21	Ruidoso	All American Futurity	I	\$3,000,000	440 Yards

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014
- B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder PO Box 700 Del Mar, CA 92014	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Bo Derek PO Box 700 Del Mar, CA 92014
Joseph W. Harper P.O. Box 700 Del Mar, CA 92014	Kosta Hronis PO Box 700 Del Mar, CA 92014
Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078	Marie G. Moretti 6705 De Chardin Lane Reno, NV 89511
Jim Rome PO Box 700 Del Mar, CA 92014	Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|-----------------------------|------------------------|
| Association Veterinarian(s) | Dana Stead, DVM |
| Clerk of Scales | Matt Nichols |
| Assistant Clerk of Scales | Charles McCaul |
| Clerk of the Course | Dawn Schmid |
| Film Specialist | Heather Correa |
| Horse Identifier | Jennifer Paige |
| Horseshoe Inspector | Victor Tovar |
| Paddock Judge | Karen Denovel |
| Patrol Judges | Karen Denovel |
| | Heather Correa |
| Placing Judges | Robert Moreno |
| | Ed Reese |
| | Sue Brent |
| Starter | Jay Slender |
| Timer | John Lies |
- B. Management officials in the racing department:
- | | |
|-------------------------------------|--------------------------|
| Executive Vice President, Racing | Thomas S. Robbins |
| Racing Secretary | David Jerkens |
| Assistant Racing Secretary | Zach Soto |
| Paymaster of Purses | Bridget Crawford |
| Others (identify by name and title) | N/A |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Michelle Derieg**
Weinstein Court Reporters, LLC
PO Box 26634
Santa Ana, CA 92799
(949) 637-6071
mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation, William O'Brien, Digital Photo Finish Only**
Contract Expires: 12/31/2021

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

TVG

Expiration: 12/31/2024

Equipment list on file with CHRB

For each race TVG will provide:

- **Two manned pan cameras located near the judge's room in the press box providing a wide and a tight view of each race, turf and dirt, plus coverage for in-house video board presentation.**
- **Four manned tower cameras:**
 - Backstretch head-on (Training Track Camera Tower)**
 - Far turn and Stretch run back view (Quarter Chute Camera Tower)**
 - Stretch-run head-on (Livestock Barn Camera Tower) - turf**
 - Stretch-run head-on (Livestock Barn Camera Tower) - dirt**
- **2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners**
- **A hand-held camera in winner circle**
- **A pan camera located on the 7th floor providing race coverage for the in-house video board presentation**
- **All races will be recorded and archived**
- **TVG will provide talent for this event**

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

AXCIS INFORMATION NETWORK, INC.

TrackMaster c/o Equibase

821 Corporate Drive, Lexington, KY 40503

GPS System: Global Positioning Systems Technology

**Name of person: David Siegel (Axcis Information Network, Inc.)
(TrackMaster/Equibase)**

Expiration date: December 31, 2022

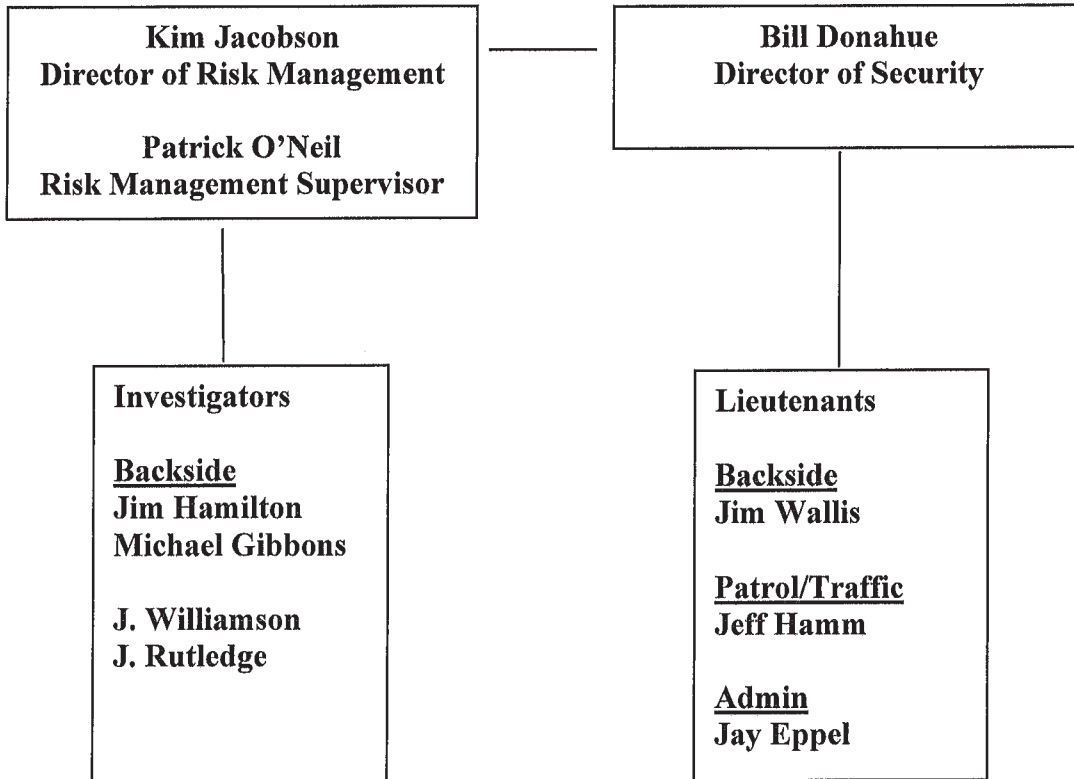
15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **EXHIBIT 10 (A) / 2021 GATE SCHEDULE EXHIBIT 10 (B)**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **Based on "limited spectators" summer 2021 estimated security personnel - 45**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
The security for stakes races will be deployed in accordance with our agreement with TOC, with a security guard positioned outside each stall for the entire 6-hour period for each race of \$100,000 or more. Details of the detention stalls are described in 15.B - 2 (C).

EXHIBIT 10A

Security Personnel

Person responsible for security controls on the premises:



Contact Information:

Director of Risk Management	Work 858-792-4233
Risk Management Supervisor	Work 619-840-7881
Director of Security	Work 858-755-1141 ext. 3652
Backside Investigator/Hamilton	Work 818-307-4483
Backside Investigator/Gibbons	Work 848-888-2754

All others	Work 858-755-1141 ext. 3650
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*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.
Detention barn is used as required by the CHRB.
- B. Number of security guards in the detention stall area during a 24-hour period.
As needed at the direction of the CHRB.
- C. Describe number and location of surveillance cameras in detention stall area.
For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shed-row from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' Office on the Frontside.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
All
- B. Plan for enhanced surveillance for trainers with high-test results.
Will follow CHRB directives
- C. Plan for detention stalls for repeat offenders.
Will follow CHRB directives
- D. Number of security personnel assigned to the TCO2 program.
Assigned as needed

C. Describe the electronic security system:

Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity Cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Del Mar Thoroughbred Club utilizes pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to one week before being rerecorded. Control of these cameras is located in DMTC's security office.

D. For night racing associations. Describe emergency lighting system: **N/A**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Mercy Ambulance
2537 Old San Pasqual Road
Escondido, CA 92027
(760) 525-9676**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

EXHIBIT 11

On-track staff: Advanced Life Support Ambulances (ALS) – Paramedics and certified Emergency Medical Technicians are on-site at all time that either training or live racing is taking place.



COUNTY OF SAN DIEGO

EMERGENCY MEDICAL SERVICES

EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: MERCY MEDICAL TRANSPORTATION, INC.

Effective Date: December 1, 2020

Expiration Date: November 30, 2021

Approved as: ALS BLS CCT

Authorized by: **Adria Cavanaugh**
Permit Officer, Emergency Medical Services

Digitally signed by Adria Cavanaugh
Date: 2020.11.03 08:22:04 -08'00'

Permit Number: 20-130

EXHIBIT 11



3/22/2021

To: Lucy Myers

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Ryan Merchant
Director of Operations
(951) 880-6263
rmerchant@symonsambulance.com

CHRB 16.(B)1 HUNTINGTON AMBULANCE

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

March 18, 2021

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President
Huntington Ambulance, LLC

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Auxiliary Site: Los Alamitos

Huntington Ambulance
Contact: Stacy O'Bryan
PO Box 145
Sunset Beach, CA 90742
(562) 904-1550

Auxiliary Site: San Luis Rey Downs

Mercy Ambulance
Contact: Jesse Torres
2537 Old San Pasqual Road
Escondido, CA 92027
(760) 525-9676

Auxiliary Site: Santa Anita

Symons Ambulance
Contact: Ryan Merchant
18592 Cajon Blvd.
San Bernardino, CA 92407
(951) 880-6263

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Mercy Ambulance Certificate: ON FILE**
- **Huntington Ambulance Certificate: ON FILE**
- **Symons Ambulance Certificate: ON FILE**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Thursday, Friday, Saturday, Sunday, Opening Day, Pacific Classic Day and Closing Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the first aid clinic includes:

- **Oxygen at each station**
- **Cardiac monitor**
- **AED**
- **Otoscope**
- **Ophthalmoscope**
- **Pulse and O2 Oximeter**
- **Surgical equipment (used for laceration repair and suture removal)**
- **B/P Cuff**
- **Thermometer**
- **Bag Valve Mask**
- **Surgery Light**
- **Stethoscope**
- **Glucose Monitor and Supplies**

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Del Mar Doxx's - and rotate on site for the racing days. The doctors include:

Athanasios J. Foster, MD
Hessam Tabrizi, MD
Melinda E. Nevins, DO

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.
 - **Scripps La Jolla**
988 Genesee Ave., La Jolla, CA 92037 / 858-626-4123
 - **Scripps Encinitas**
354 Santa Fe Drive, Encinitas, CA 92024 / 760-753-4123

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **EXHIBIT 12**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
 - **Health and Safety Manager (Risk Manager): Kimberly Jacobson**
 - **Assistant Manager (Risk Management Assistant): Patrick O'Neal**
 - **On-Site Medical Coordinator – Rotates between different Fire Fighter Paramedics**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
TO BE PROVIDED AFTER JULY 4, 2021 (Inspection scheduled after San Diego Fair closure)

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
State Compensation Insurance Fund of CA
IOA Insurance Services
3875 Hopyard Road, Suite 200
Pleasanton, CA 94588
Policy #927286820

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
EXHIBIT 13

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

Overview: The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

Program Elements:

I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Del Mar Doxx's to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. At minimum each ambulance is staffed by a Paramedic and a certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

II. Jockey Information Health System

DMTC participates in the Jockey Information Health System through Incompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.

- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary, hospital will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.
- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
- d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

IV. On-site First Aid Facilities and Staffing

a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will a licensed physician and medical assistant on duty.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

b. Advanced Life Support Ambulances (ALS) – A Paramedic and Certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one, at a minimum (1) Paramedic and one (1) Certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times. (Depending on staffing for the day there may be times when two paramedics are on a rig).

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with a licensed Emergency Medical Services provider who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

General Conditions

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

emergency care; they will direct the medical transport team as to which hospital to respond to.)

Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Response During Training Periods

- During training periods, Advanced Life Support Unit will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist EMS provider with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet on-track unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program will be treated on-track or at the DMTC fist aid clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed –circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.
- In the event of a multiple rider down situation Team 1 will call for immediate backup from Team 2 at which time Team 2 will enter the track to assist. If the situation is going to require additional response from outside Team 1 will request outside units through Security Dispatch.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.

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- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,
- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

Please note: Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

IX. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

Visión General: El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

Elementos del Programa:

I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Del Mar Doxx's" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Como mínimo, cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

II. Sistema de Salud para la Información del Jinete

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de Incompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fax directamente a la sala de emergencia del hospital.

II. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.
- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se transportará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el incidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

IV. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo habrá un médico licenciado y ayudante médico en servicio.
- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá uno, como mínimo (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado en el sitio en todo momento. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento. (Dependiendo del personal para el día puede haber ocasiones cuando dos paramédicos se encuentren en un camión).
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

V. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata al proveedor de Servicios Médicos de Emergencia licenciados que proporciona ALS para responder ante las emergencias médicas y puede proporcionar servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.

- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

VI. Plan Médico de Emergencia

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

Condiciones Generales

- Los Paramédicos y EMT en el sitio responderán a cualquier accidente que se observe de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripp atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

Comunicaciones

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el Ayudante controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

Respuesta Durante los Periodos de Entrenamiento

- Durante los periodos de entrenamiento, la Unidad de Apoyo Vital Avanzado determinará la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica es necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a EMS a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.
- Las unidades municipales se reunirán en la unidad en la pista en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista o en el centro de primeros auxilios de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

Respuesta Durante la Pre-carrera (Caballeriza)

- El Equipo 2 estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el área de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.
- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite,

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

Accidentes Durante la Carrera en Directo

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión o según lo aconsejado por el Personal de Seguridad de DMTC,
- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o remplazar a la unidad de la pista si fuera necesario por el Equipo 1 para abandonar la pista por cualquier razón.
- En caso de que jinetes múltiples se encuentren en situación de lesión, el Equipo 1 llamará para apoyo inmediato del Equipo 2 en el que el Equipo 2 entrará en la pista para ayudar. Si la situación va a requerir una respuesta adicional desde fuera el Equipo 1 solicitará fuera unidades a través del Despacho de Seguridad.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará de inmediato a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para otra atención médica y tratamiento según se necesite,
- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS requiere que abandone los terrenos, la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica de clientes hasta que regrese la unidad al terreno.
- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

Por favor tenga presente: Bajo ninguna circunstancia informaremos con respecto al estado médico del jinete a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

VII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista de DMTC se exhibirá en Inglés y Español en las siguientes localizaciones:

- a. La Sala del Jinete situada en la primera planta de las Gradass del Clubhouse
- b. Las instalaciones médicas en el sitio de DMTC, y
- c. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC y los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
Fire and Life Safety Division
24580 Jefferson Avenue, Suite B
Murrieta, CA 92662
(951) 304-7865
Website: www.fire.ca.gov



June 25, 2020

Sue Walls, Vice President - Facilities
Del Mar Thoroughbred Club
P.O. Box 700
Del Mar, California 92014-070

Re: Del Mar Thoroughbred Racing Facility
CSFM File #04-37-041-0001

Dear Ms. Walls:

An inspection of the referenced facility was conducted on May 05, 2020, in accordance with Section 13108(c) of the California Health and Safety Code. The purpose was to determine compliance with minimum fire and life safety standards required by Titles 19 and 24 of the California Code of Regulations for horse boarding and racing, including simulcast from July 1, 2020 thru December 31, 2020.

The deficiencies noted at the time of inspection have been corrected and reinspected for compliance.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned time periods at the Del Mar Thoroughbred Club located at the San Diego County Fairgrounds.

If we can be of further assistance, or you desire additional information or clarification, please feel free to contact me at 951-304-7865.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeffrey Bailey".

Jeffrey Bailey
Deputy State Fire Marshal III



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

April 27, 2021

Frank Sherren
Los Alamitos Race Course
4691 Katella Avenue
Cypress, CA 90630

Subject: Fire and Life Safety Inspection

An inspection was conducted by the Orange County Fire Authority (OCFA) for the Los Alamitos Race Course at 4691 Katella Avenue, Los Alamitos, CA 90630. This inspection was performed on April 27, 2021, by the OCFA Fire Inspector, Kenneth Wiggins and Assistant Fire Marshal, Antonio Solares. We have accepted a plan of action to correct existing violations at this time. The inspection report will be turned in for data entry to OCFA records. This letter will serve as your Fire Clearance from the OCFA.

Please contact me directly if you have any questions or concerns.

Respectfully,

A handwritten signature in blue ink, appearing to be "KW", enclosed in a light blue rectangular box.

Kenneth Wiggins
Fire Inspector
Prevention Field Services Section
(714) 229-8801
kennethwiggins@ocfa.org

North County Fire Protection District

330 S. Main Ave.
Fallbrook, CA 92028
(760) 723-2010



Friday, 31 July, 2020

Attn: Kevin Habell
5772 CAMINO DEL REY
BONSALL, CA 92003

Property Address:
San Luis Rey Training Center
5772 CAMINO DEL REY
BONSALL, CA 92003

Re: Initial - Fire & Life Safety on July 31 2020

NOTICE OF INSPECTION - COMPLETED

CONGRATULATIONS, your Fire and Life Safety Inspection has satisfactorily been completed and no violations were noted at this time. The North County Fire Protection District would like to thank you for making fire and life safety a priority.

If you have any questions regarding our Fire and Life Safety Inspection program, please contact the Fire Prevention Bureau at: (760) 723-2010.

Inspector:

A handwritten signature in black ink, appearing to read 'David Moore, Jr.', written over a horizontal line.

FFP David Moore, Jr.

bmoore@ncfire.org

Property Representative:

A handwritten signature in black ink, appearing to read 'Kevin Habell', written over a horizontal line.

Kevin Habell



City of Arcadia

Fire Department

Michael Lang
Fire Chief

May 11, 2021

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance

To Whom It May Concern:

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the dates of June 10, 2021 through September 30, 2021.

It is requested that this letter be accepted as the report of that inspection. During the inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned racing dates at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Nate Newby, Senior Vice President & General Manager, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Joshua Funk, Fire Marshal, L.A.T.C.



EXHIBIT 13

DELMART-01

SAENZA

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
3/11/2021

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	CONTACT NAME: PHONE (A/C, No, Ext): (925) 416-7862		FAX (A/C, No): (925) 416-7869
	E-MAIL ADDRESS:		
INSURED Del Mar Thoroughbred Club PO Box 700 Del Mar, CA 92014	INSURER(S) AFFORDING COVERAGE		NAIC #
	INSURER A : Arch Insurance Company		11150
	INSURER B : State Compensation Insurance Fund of CA		35076
	INSURER C :		
	INSURER D :		
	INSURER E :		

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

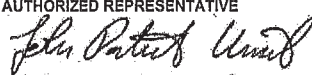
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			SNCGL1800901	3/15/2021	3/15/2022	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 5,000,000 PRODUCTS - COMP/OP AGG \$ 5,000,000 SEXUAL ABUSE MO \$ 1,000,000 COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY			SNAUTO83101	3/15/2021	3/15/2022	BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED \$ RETENTION \$			SNFX0087701	3/15/2021	3/15/2022	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000
B	<input checked="" type="checkbox"/> WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in Nt) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	927286821	3/31/2021	3/31/2022	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 RE: Evidence of Coverage

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
--	---

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: **EXHIBIT 14**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:
EXHIBIT 15

B. Promotional/ Marketing budget for this race meeting and prior race meeting:

<u>Category</u>	<u>2020</u>	<u>2021</u>
Advertising	\$ 132,000	\$ 272,250
Promotions	\$ 68,000	\$ 83,300
Communications	\$ 115,120	\$ 191,500
Corporate Sponsorship Fulfillment	\$ 20,000	\$ 57,500
Other	\$ 10,000	\$ 18,000
Total	\$ 345,120	\$ 622,550

C. Number of hosts and hostesses employed for meeting: **DMTC is requesting to operate with “limited” spectators in accordance with public health and government guidelines. We will employ approximately 20 customer service representatives on weekday racing (Thursdays) and 30 on weekends (Friday, Saturday and Sunday.)**

D. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area that our “limited” fan base will enter, with a complete staff of customer service representatives available for guidance, support and assistance. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day’s card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for the more serious newcomers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- **Installation of washing and sanitation stations throughout the facility – on going.**
- **Replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area) – on-going.**
- **Replacement of flooring, doors, locks, and window screens in living quarters (restricted area) – on-going.**
- **Upgrade of morning workout lighting (restricted area) – on-going.**
- **Repair of all stable area smoke detectors (restricted area) – on-going.**
- **Replacement of water service to miscellaneous barns in stable area (restricted area) – on-going.**
- **Backfill of all stable area stalls with decomposed granite (restricted area) – on-going.**

EXHIBIT 14

DMTC is requesting to operate with "limited" spectators in accordance with public health and government guidelines. Concessions and Services listed below are subject to additions based on capacity guidelines and customer service requirements.

CONCESSIONS:

Wes Westley, CEO
Premier Food Service, Inc./SMG
300 Conshohocken State Road
Conshohocken, PA 19428

SADDLERY SERVICES:

Frank Wipfli
Western Saddlery, Inc.
7038 Commerce Circle
Pleasanton, CA 94588

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez
Garda Cash Logistics
8807 Complex Drive
San Diego, CA 92123

Rayetta Burr
Benoit & Associates, Inc.
Track Photographers
PO Box 60014
Arcadia, CA 91066-6014

Del Mar Doxx-A.J. Foster
Track Physicians
380 Stevens Ave., Ste 100
Solana Beach, CA 92075

Michael Costello
United/Puett Electrical
Starting Gates
224 Tater Hill Rd.
East Haddam, CT 06423

Brent Gulley
Gulley's Livestock Disposal
10272 Juniper Rd.
Oak Hills, CA 92344-0304

Deven Owen
West Coast Feed
PO Box 893007
Temecula, CA 92589

Gary S. Bell
Citrus Feed
PO Box 249
La Verne, CA 91750

Angel P. Unamuno
Angel's Feed
4518 Rowland Ave.
El Monte, CA 91731



Marketing Overview

DMTC is anticipating that we will be welcoming fans at some level back to the races during the 2021 summer race. However, the number of fans that will be allowed at the race track is not clear at this time. We will follow the guidance of the governor's office as well as the San Diego county health officials. The facility will be operated under strict COVID safety protocols with a steadfast commitment to ensure the safety and welfare of our fans, our workforce and our equine athletes.

Clearly, the typical Del Mar marketing strategies for attracting large crowds via racing promotions, concerts and events are not relevant for our 2021 summer season. Instead, marketing efforts will be focused primarily on promoting wagering – not only locally in San Diego, but throughout our simulcast markets – and engaging customers through our digital and social media platforms. In addition, we will communicate with our horsemen and women as well as local racing fans about the availability of tickets and the safety protocols for those attending the live races.

The key marketing tactics this year will include:

- **Database marketing**
- **Social media**
- **Del Mar Website**
- **Advertising**

Database Marketing

Database marketing, which has always been a mainstay in the Del Mar marketing mix, will be even more important this season as it allows us to communicate effectively with our current fan base of regular attendees and bettors. Over the years Del Mar has devoted significant resources to identify our regular players by building a data warehouse from various lists of information contained at the racetrack (marketing, racing, group sales, turf club, ticketing, etc.) and then tracking the behavior of those individuals through our loyalty club, *The Diamond Club*. *The Diamond Club* has enjoyed phenomenal growth since its inception in 2006 with membership numbers now topping over 310,000. These members will receive emails alerting them to race dates, post times, carryovers, big races and general interest stories about jockeys, trainers and owners. Over 6 million targeted emails will be sent to members of our database this year with a typical open rate of 15% (900,000 emails opened!).

Social Media

Much like our marketing in general, the content of our social media communications will now stress racing and wagering. Over the years our social media community has grown as we continue to emphasize the big three: Facebook (89,000 followers), Twitter (36,800) and Instagram (35,000).

Given the importance of social media this year, we have once again hired an outside firm, Grand Slam Social (Breeders' Cup is also a client), to assist with our efforts. Videos, photos and news related to races as well as wagering opportunities, horsemen profiles and COVID safety information will be sent to our loyal followers on a regular basis.

Del Mar Website

From entries, results and handicapping information to real-time odds, pools and videos, the website will once again provide racetrack regulars with the valuable information and tools they need. The Beginner's Corner and the Online Handicapping Challenge are popular landing spots on the site as well. Last year the site generated over 16,000,000 page views from 3,100,000 users.

Advertising

An aggressive advertising campaign throughout San Diego is generally a staple of the marketing budget. However, with limited fans in the stands this year, we will target racing fans and bettors with a scaled-down budget. Spending will be increased for publications such as the Daily Racing Form and the Paulick Report as we promote big races and wagering. In addition, we will continue to support racing radio shows throughout the country.

The Del Mar Handicapping Challenge has traditionally been one of the biggest on-site tournaments in the country. However, given that fans were not allowed on track last summer, the contest was shifted to an on-line contest hosted by TVG. The “live money” required a \$3,000 bankroll as players compete for over \$150,000 in prizes. The tournament was capped at 150 players and handled over \$689,000. This year we intend to host a hybrid tournament with players wagering both at the racetrack and online via TVG.

Budget

	<u>2020</u>	<u>2021</u>
Advertising	\$ 132,000	\$ 272,250
Promotions	\$ 68,000	\$ 83,300
Communications	\$ 115,120	\$ 191,500
Sponsorship fulfillment	\$ 20,000	\$ 57,500
Other	\$ 10,000	\$ 18,000
Total	\$ 345,120	\$ 622,550

- **Renovation of miscellaneous restrooms, tack rooms and living quarters in stable area (restricted area) – on-going.**
 - **Replacement of exterior electrical outlets and wall lights in stable area (restricted area) – on-going.**
 - **Clean and screen all stable area shed-drains and roadways due to rocks and debris (restricted area) – on-going.**
 - **Clean and dig-out all stable area wash racks and storm drain boxes (restricted area) – on-going.**
 - **Re-grading of main dirt track (restricted area) – on-going.**

 - **Repair camera towers and replace worn track rail and mirror padding (restricted area) – on-going.**
2. Fans
- **Installation of washing and sanitation stations throughout the facility – on-going.**
 - **Addition of reserved trackside tables on tarmac for 2021 limited spectator attendance.**
 - **Upgrade tables/seating in Paddock view restaurants.**
 - **Painting grandstand seating, railings and restroom doors – on-going.**
 - **Replacement of television monitors with flat screen monitors throughout facility – on-going.**
 - **Installation of wireless technology throughout the facility to enhance the mobile app experience - on-going.**
3. Facilities in the restricted areas **SEE 18-E-1**

19. SCHEDULE OF CHARGES

DMTC is requesting to operate with “limited” spectators in accordance with public health and government guidelines. Schedule of Charges is Pending and will be based on capacity guidelines.

- A. Proposed charges, note any changes from the previous year: **Pending**
 Admission (general)
 Admission (clubhouse)
 Reserved seating (general)
 Reserved seating (clubhouse)
 Parking (general)
 Parking (preferred)
 Parking (valet)
 Programs (on-track)
 (off-track)
No tickets sold in 2020 Summer race meet
- B. Describe any "Season Boxes" and "Turf Club Membership" fees: **Pending**
- C. Describe any "package" plans such as combined parking, admission and program: **N/A**

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
Jockeys quarters modified to adhere to social distancing and COVID-19 protocols. See Del Mar Thoroughbred Club COVID-19 Operational Plan Section (4) – On-File.

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="73"/>
<input checked="" type="checkbox"/>	Showers	<input type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys/drivers:
Modifications made to adhere to social distancing and COVID-19 protocols (see above).

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **665 permanent**
- C. Number of restrooms available on the backstretch of the racetrack: **253**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **Restroom facilities available on the backstretch 253. Estimated ratio to the number of personnel – 1 restroom facility per 4 backstretch employees.**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
The main track surface is a Dirt Running Surface. The surface consists of a 10" sandy loam on top of a layer of decomposed granite and 6" round perforated drain pipe on top of the existing dirt sub-base.
The turf course consists of GN-1 Bermuda grass sod with a growing medium consisting of 9" of silica sand mixed with peat and turf grids blended into the top 6".
- C. The percent of cross slope in the straight-aways is: **2.5%**
The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track:
Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".
Turf Track:
Inside running rail: Mawsafe pvc injection molded
Inside rail supports: Mawsafe pvc with 16" offset
Approx. height: 47"
Outside running rail of the turf course is the same as the inside rail.
Outside rail supports are the same as the inside rail supports.
Approximate height 47"

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **TOM ROBBINS, EXECUTIVE VP RACING**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **ON FILE**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **NO ALTERNATE METHODOLOGIES REQUESTED - SEE EXHIBIT 13 CERTIFICATE OF INSURANCE - ON FILE**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **EXHIBIT 16**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
**Summer Meet Agreements with: CTT - PENDING
TOC – PENDING**
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **ON FILE**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **ON FILE**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **NO EXCEPTIONS**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **NO EXCEPTIONS**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

EXHIBIT 16

DEL MAR THOROUGHBRED CLUB - UNION CONTRACTS CURRENTLY IN NEGOTIATION

UNION	SIGNATORY ASSOCIATIONS	JOB CLASSIFICATION	CONTRACT DATES
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Auto Park	July 2020 through June 30, 2021*
Teamsters Local 495 Clockers/ Outriders	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Clockers / Outriders	July 2020 through June 2021*
Teamsters Local 495 Starters	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Starters	July 2020 through June 30, 2021*
Teamsters 495 Racing	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing Officials	July 2020 through June 30, 2021*
SEIU Local #280	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex SCOTWINC	Mutuels	Jan 1, 2020 through Dec 31, 2022
SEIU #1877	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing	In Negotiations
Local #89	Del Mar Thoroughbred Club	Laborers	December 31, 2021
Local #542	Del Mar Thoroughbred Club	Tractor/Truck Drivers	In Negotiations
SEIU #1877	Del Mar Thoroughbred Club	Admissions / Public Events / Security / Janitorial	In Negotiations

* CONTRACT EXTENDS FOR AN ADDITIONAL YEAR

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

THOMAS S. ROBBINS

Print Name



Signature

EXECUTIVE VICE PRESIDENT, RACING

Print Title

APRIL 8, 2021

Date

Del Mar Thoroughbred Club Health & Safety Operational Plan

1. PURPOSE & SCOPE

Del Mar Thoroughbred Club (DMTC) continues to implement protocols and procedures to ensure the safety of all employees, stakeholders and horses, and to inhibit the spread of COVID-19. This plan specifically applies to Frontside and Backside Operations, including the receiving of horsemen and women and horses on the grounds and inviting patrons back to the facility. DMTC developed these protocols and procedures working in concert with public health officials and with guidance from medical advisors at Scripps Health. Similar versions of this plan are in place at premier Thoroughbred racetracks in California, New York, Florida, Kentucky and Maryland.

Summer 2021 racing will be open to the public with reduced capacity and pre-purchased and assigned seating only. Backside access will be limited to essential personnel and select stakeholders (e.g., jockeys, trainers, medical personnel). Industry stake holders are working diligently to provide education and vaccine access to essential personnel.

DMTC will continue to follow the lead of state and local public health officials and work closely with our partners and medical advisors to ensure the safest possible environment. Compliance with these protocols will be strictly enforced to ensure the health and safety of our horsemen and women, staff and community. Please note, this is a working document and will undergo adjustments as conditions change and under guidance from state and local authorities. Please refer to Beyond the Blueprint for Industry and Business Sectors - Effective June 15 for additional up-to-date guidance: <https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-19/Beyond-Blueprint-Framework.aspx>.

2. DEFINITIONS

CDC – Centers for Disease Control. Any isolation or quarantine procedures referenced in this document will be followed per CDC guidance protocols.

CLOTH FACE COVERING – The CDC recommends unvaccinated people wear cloth face coverings in public settings. Cloth face coverings should fit snugly but comfortably against the side of the face, be secured with ties or ear loops, include multiple layers of fabric, allow for breathing without restriction and be able to be laundered and machine dried without damage or change to shape. Additional information from the California Department of Public Health regarding face coverings can be found here: <https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-19/guidance-for-face-coverings.aspx>

COVID 19 Task Force – Team responsible for ensuring all essential personnel are in compliance with wrist bands, maintain health check logs, conduct walking inspections of restricted areas to assure compliance.

DAILY CHECKS – The practice of non-contact body temperature testing via technology that scans

forehead temperatures to measure a visitor's body temperature.

HORSEMEN – Any California Horse Racing Board licensee who is onsite in support of a stabled horse.

ISOLATION – The separation of a person or group of people known or reasonably believed to be infected with a communicable disease and are potentially infectious, from those who are not infected, to prevent spread of the communicable disease.

MASKS – For the purposes of this plan to include cloth face coverings, N-95, KN-95 and surgical masks.

SAFE REOPENING PLAN – A form produced by the County of San Diego to be posted at all entrances detailing steps taken to ensure safe reopening.

PHYSICAL DISTANCING – Remaining out of congregate settings, avoiding mass gatherings and maintaining distance (approximately 6 feet or 2 meters) from others when possible.

SYMPTOMS - Symptoms compatible with COVID-19 include subjective or measured fever, cough, sore throat and difficulty breathing.

TEMPERATURE CHECKS – The daily (or alternatively defined period) non-contact measuring of an individual's body temperature to verify no fever is present. A fever is defined as an elevated body temperature of 100° F or greater.

PPE – Personal Protective Equipment

QUARANTINE – In general means the separation of a person or group of people reasonably believed to have been exposed to a communicable disease but not yet symptomatic, from others who have not been so exposed, to prevent the possible spread of the communicable disease.

3. PRE-ENTRY AND ACCESS PROCEDURES

DMTC will implement the following procedures for the anticipated arrival of horses and horsemen and women beginning July 9, 2021:

Pre-Entry Procedures Backside

In order to access the stable area at Del Mar this summer ALL personnel will be required to provide proof of a full COVID-19 vaccination OR a negative COVID-19 test within 72 hours of entry. Individuals choosing to test are responsible for their own testing and will be required to provide additional testing throughout the meet.

Upon arrival at Del Mar essential personnel will be required to present either proof of vaccination or a negative test result to receive validation for the 2021 summer meet. Proof of

vaccination and/or a negative COVID test result will be required each time essential personnel access the Backside.

For those individuals who provide proof of vaccination, and agree, a hologram sticker will be affixed to their license at validation which will designate they have been fully vaccinated. Once received, hologram sticker can be shown in lieu of vaccination card.

In accordance with DMTC requirements, CDC guidelines and Cal/OSHA on the use of face masks during the COVID-19 pandemic, ALL unvaccinated individuals entering the Backside Stable Area will be required to wear a cloth mask/face covering when indoors. N-95, KN-95 and surgical style masks will also be acceptable for entry. Approved health screening personnel will be stationed at the Main Stable Gate and at Z Gate to process authorized individuals seeking access to the property. Wearing the appropriate PPE, screening personnel will conduct an initial non-contact forehead temperature check for each individual. An individual's forehead temperature must be measured less than 100° F to be considered eligible for site entry.

All individuals who refuse to comply with the DMTC Health and Safety Operational Plan will be denied entry. If an individual does not pass the health screening, the protocols outlined in section six below will be followed. A log will be kept of any individuals that do not pass the initial health screening. This log will be maintained by DMTC's Health and Safety Liaison for appropriate tracking.

Once health screening is completed and the individual's name has been confirmed on a Stable Essential Personnel List, each person will be given a color-coded daily wristband that is valid until temperature checks the following day.

In addition to a forehead temperature check of each potential entrant, a health questionnaire will be utilized by screening personnel to ask any potential entrant the following four (4) questions to determine COVID-19 symptoms, and potential exposure:

1. Have you travelled internationally in the past 14 days?
2. Are you experiencing, or have you experienced, any of the following symptoms in the past 14 days?

Fever or chills	Cough
Muscle or body aches	Difficulty breathing or shortness of breath
Nausea, vomiting or diarrhea	Fatigue
Headache	Sore throat
Recent loss of taste or smell	Congestion or runny nose

3. Have you been in contact with anyone who is or has experienced the symptoms listed above, or has been confirmed positive for COVID-19 in the past 14 days?

4. Are you aware that washing your hands multiple times per day and before eating is an important way to help stop the spread of COVID-19? Please use our hand washing and hand sanitization stations located throughout the property.

**Health Screening Questionnaire will be provided in multiple languages as needed.*

Pre-Entry Procedures

Backside (Stable) Access

Effective July 9, 2021, all access to the Backside is to be through the Main Stable Gate or Z-Gate. Only authorized DMTC, 22nd DAA, concessionaire (Premier) employees and California Horse Racing Board (CHRB) licensees who are providing support for horses stabled at the facility and Backside workers will be permitted on the Backside. This specifically includes only trainers with horses stabled on the facility grounds, those who are responsible for the care of the horses (owners, veterinarians, grooms, exercise riders, farriers, pony riders, and feed vendors) and employees essential to the health and safety of the horses and Backside workers.

- All individuals must comply with pre-entry procedures and be wearing that day's color-coded wristband.
- All individuals must wear a cloth mask or face covering when indoors - and if unvaccinated - indoors and outdoors, when social distancing cannot occur.
- All individuals must present a current California Horse Racing Board license and/or other association authorized ID, proof of vaccination or negative COVID test results taken within 72 hours of initial arrival or specified date. Identification must be visibly displayed at all times while on the grounds.
- Individual's name must appear on Essential Personnel List.

Frontside (Grandstand) Access Staff

Effective July 9, 2021, frontside access for all DMTC, Premier and 22nd DAA staff, vendor personnel and CHRB licensees is to be through the Horsemen's Gate. This includes Racing Officials and staff, Owners, Jockeys, Valets, Assistant Starters and trainers and grooms.

- All individuals must comply with pre-entry procedures or be wearing that day's color-coded wristband.
- All unvaccinated individuals must wear a cloth mask or face covering.
- All individuals must present a current California Horse Racing Board license and/or other association authorized ID, proof of vaccination or negative COVID test results taken within 72 hours of initial arrival or specified date. Identification must be visibly displayed at all times while on the grounds.

Frontside (Grandstand) Access Patrons

Access will be determined based on the outdoor live events sector parameters, as outlined in the Beyond the Blueprint for Industry and Business Sectors - Effective June 15, and pending updated guidance from state and local county health officials.

- All individuals must present a pre-purchased ticket.
- All individuals must follow CDC guidance for face coverings.
<https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-19/guidance-for-face-coverings.aspx>
- Masks will be made available for those attendees who arrive without.
- All individuals are encouraged to practice physical distancing when moving about the facility.
- All individuals must refrain from congregating inside the grandstand and be sure to follow all posted signage and direction from Del Mar Thoroughbred Club staff.

Frontside, Paddock and Racetrack Access

Horsemen and women arriving with a horse entered in a current race will access the paddock through the horse walk. Personnel arriving with the horse must have the correct daily wristband and CHRB license displayed to enter the paddock.

Additional Restrictions

To additionally limit exposure and prevent the spread of germs and disease the following restrictions shall apply:

Backside (Daily health checks required for all personnel)

- Essential personnel only.
- No congregating in any areas.
- Official clockers and gap attendants will use their designated space(s) only.
- Trackside Kitchen will remain open to serve onsite personnel only via carryout orders. No congregating or eating in Kitchen.

4. JOCKEYS' ROOM ACCESS

DMTC has established detailed protocols and procedures for the Jockeys' Room. All jockeys and Jockeys' Room staff will be subjected to the same daily screening at the point of entry into the facility. Access to the main Jockeys' Room will be limited to those jockeys and staff who are fully vaccinated. All unvaccinated jockeys and staff will utilize an Auxiliary Jockeys' Room. All non-vaccinated jockeys will be required to show a negative COVID-19 test taken within 72 hours of entering the property. Additional testing, based upon guidance by medical advisors, may be required. All Jockeys' licenses will be validated per Backside access protocols. The Jockeys' Room will be open on live racing days only.

5. SEE SOMETHING SAY SOMETHING

DMTC maintains a “See Something, Say Something” hotline (858-449-9515) that will be used for the anonymous reporting of individuals that may not be adhering to the Health and Safety policies and/or may be showing symptoms of having COVID-19. Signage with this information in both English and Spanish will be posted throughout the facility.

6. ONSITE DAILY CHECK-INS

Screenings

In addition to screening at the point of entry to the property, DMTC has set up a process for daily onsite check-ins to screen individuals residing on the Backside. These screenings follow the same procedure as during Pre-Entry: temperature taken and screening questions asked. Trainers are required to submit an Essential Personnel List, which will be cross checked to ensure all individuals are screened daily. Trainers will send their employees to one of the locations staffed by DMTC to be screened.

Symptomatic Individuals

If an individual does not pass the health screening because they are symptomatic, the following steps will be taken:

- Isolate the individual.
- Notify Health and Safety Liaison, or if unavailable, Vice President, Risk Management. (See contact List).
- Notify the trainer.
- Individual will be required to isolate pending test results and quarantine.

Access Post Symptoms

The Del Mar Thoroughbred Club will require that individuals returning to the property have met the **minimum criteria** to return to the grounds, as noted below and as stipulated in Cal/OSHA emergency temporary standard.

No employee or individual who has tested positive for COVID-19 shall return to the Del Mar Thoroughbred Club grounds until:

- At least 10 days since symptoms first appeared **and**
- At least 24 hours with no fever without fever-reducing medication **and**
- Other symptoms of COVID-19 are improving.

Or:

Any employee or individual who has tested positive for COVID-19, but is symptom free, shall quarantine for 10 days and MUST remain symptom free in order to return to the Del Mar Thoroughbred Club grounds.

Random Checks

At the conclusion of daily screenings, the COVID-19 Task Force will conduct random checks to ensure Horsemen and women have the current color-coded wristband for each day and a properly displayed CHRB license. Anyone who does not have the correct wristband will be asked to re-screen immediately.

7. QUARANTINE AND ISOLATION PROTOCOLS

In the event an individual tests positive for COVID-19, they will be required to isolate off property until the above noted criteria are met.

DMTC's Health and Safety Liaison will stay in close communication with the individual's employer (usually a trainer) to ensure they have a safe place to stay, remain isolated and receive appropriate care.

DMTC's Health and Safety Liaison will also work closely with the trainer to trace all close contacts of the individual and determine when quarantine or testing of additional individuals is appropriate.

8. ONSITE STABLED HORSES & PERSONNEL PROCEDURES

Shipping - DMTC has established equine shipping procedures and policies as part of the DMTC Health and Safety Operational Plan, which mandates temperature checks for all drivers and staff traveling with the horses at entry. All drivers and staff also will be subjected to Backside access procedures, a health questionnaire and be required to wear masks or face coverings while on the property.

Equipment Sharing Protocols – Sharing of equipment shall be discouraged (lead shanks, grooming tools, mucking equipment, etc.) If equipment must be shared among Horsemen, it shall be disinfected between uses. Horsemen are encouraged to increase the frequency of handwashing by utilizing one of our exterior hand washing stations or restrooms that are stocked with liquid soap.

Stabling - Backside workers must remain in their assigned barns or living quarters at all times with the exception of traversing to and from the track for purposes of exercising horses, picking up meals or supplies at the Track Kitchen and Commissary, or using laundering or bathing facilities. Carryout food will be available for purchase in the Track Kitchen. If the individual goes off the grounds, they will be re-screened upon entry unless their daily wristbands are current when they return onsite. Hand sanitizing stations and sinks for hand washing will be available throughout

facility (please see attached map for locations). All essential workers will be reminded to wash their hands frequently, before consuming food and after using restroom facilities. Signage regarding CDC guidelines pertaining to handwashing will be placed throughout facility in both English and Spanish.

9. CLEANING PROTOCOLS FOR BACKSIDE & FRONTSIDE OPERATIONS

Janitorial staff will increase frequency of cleaning throughout the facility and will be trained in compliance with Cal/OSHA regulations to address potential worker exposures. DMTC Backside and Frontside janitorial staff will be educated on CDC proper cleaning and sanitizing protocols to minimize the spread of COVID-19.

Backside Areas

DMTC will double the Backside Janitorial staffing levels to help prevent the spread of COVID-19 as well as influenza and other viruses. Janitorial staff will ensure cleaning and disinfecting of frequently touched surfaces, such as doorknobs, door handles, handrails and tables, as well as non-porous surfaces in bathrooms, offices, and laundry areas using EPA and CDC approved disinfectants. In addition, wastebaskets will be placed in visible locations and emptied regularly. Trainers and their staff are responsible for the cleaning of their personal rooms, offices, stables and tack rooms. If an area and/or room appears to need attention, DMTC will notify the responsible trainer to ensure the area is properly cleaned. Failure to maintain areas in a clean, and orderly manner could result in removal from the facility.

Track Kitchen

The Trackside Kitchen employees will be properly trained for both personal hygiene and food safety practices. These practices include the washing of employee hands for 20 seconds with soap and water before during, and after preparing food and before eating, as well as the use of disposable food service gloves and masks. Serving utensils will be replaced throughout food service times and disinfected each evening. To reduce the spread of contagions, all food preparation surfaces will be continually sanitized including cutting boards, counter tops and cutting utensils.

Frontside Offices

Janitorial staff will increase frequency of cleaning and disinfecting of high-touch surfaces including: gates, turnstiles, doors, door knobs, handles, restrooms, tables, chairs and light switches. Employees will be provided with disinfecting wipes and spray in order to properly wipe down their individual equipment and desks on a frequent basis.

Jockeys' Rooms

The Jockeys' Room will be open on live racing days and will have a dedicated janitor doing continuous cleaning throughout the racing day.

Starting Gates

All schooling gates will be disinfected using an approved disinfectant for equine safety at each break and at the conclusion of training hours. The racing gate will be disinfected using an approved disinfectant for equine safety between each race.

Restrooms

All restrooms will be fully cleaned twice daily and routinely inspected during normal operations. This includes frequent removal of trash and thorough cleaning and disinfecting of all surfaces. All restrooms will be stocked with liquid soap and all employees and on-site partners will be encouraged to frequently wash their hands. CDC guideline signage will be placed in all restrooms and wash areas.

10. EMPLOYEE TRAINING

Training will be provided to all team members and medical personnel on all new policies, procedures and monitoring/reporting requirements as it pertains to their position.

DRAFT

KEY CONTACTS

Name	Title	Cell Number	Office Number
Josh Rubinstein	President & COO	619-813-9908	858-794-1154
Tom Robbins	Executive VP Racing	858-414-5539	858-755-1141 x3804
Michael Ernst	Executive VP & CFO	619-261-5184	858-792-4225
Ann Hall	Senior VP Operations & Administration	858-344-5018	858-792-4284
Sue Walls	VP Facilities	858-226-0061	858-794-1050
David Jerkens	VP, Racing Secretary	415-646-5622	858-755-1141 x3815
Kim Jacobson	VP Risk Management	858-449-5668	858-792-4233
Mitzuh Carrillo	Health and Safety Liaison	562-706-9423	858-755-1141 3605
Mac McBride	Director of Media	858-243-4389	858-792-4269
Sandy King	Horsemen's Services	818-317-3291	858-755-1141 x3573
Dennis Moore	Director – Main Track	310-749-3191	858-794-1035
Leif Dickinson	Turf Track Superintendent	858-864-6572	858-794-1062
First Aid Clinic			858-755-1141 x2816
Bill Donahue	Director of Security	760-522-2356	858-755-1141 x3652
Backside Security			858-755-1141 x3673
Z Gate Security			858-755-1141 x3670
Jay Slender	Official Starter	714-307-0047	858-755-1141 x3837
Ken West	Director Plant & Purchasing	619-988-0740	858-792-4286
Michael Gibbons	DMTC Backside Investigator	848-888-2754	858-755-1141 x3680
Jim Hamilton	DMTC Backside Investigator	818-307-4483	858-755-1141 x3680
Patrick O'Neil	Risk Management Supervisor	619-840-7881	858-755-1141 x3225
Troy Matthews	Mercy Ambulance Supervisor	760-504-8531	
TBD	COVID-19 Task Force Supervisor	760-594-6199	858-755-1141 x3449
Various	Security Dispatch	562-706-9423	858-792-4230
Danny Boag	Equine Patrol Supervisor		858-755-1141 x3680
Mark Elvin	22 nd DAA Public Safety Director	760-473-7697	858-792-4282



City of Arcadia

Fire Department

Michael Lang
Fire Chief

May 11, 2021

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance

To Whom It May Concern:

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the dates of June 10, 2021 through September 30, 2021.

It is requested that this letter be accepted as the report of that inspection. During the inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned racing dates at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Nate Newby, Senior Vice President & General Manager, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Joshua Funk, Fire Marshal, L.A.T.C.

STAFF ANALYSIS
June 16, 2021

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR AT PLEASANTON, COMMENCING JULY 14, 2021, THROUGH JULY 20, 2021.

The California Exposition and State Fair (Cal Expo) filed its application to conduct a horse racing meeting at Pleasanton as part of the California Authority of Racing Fairs (CARF) 2021 combined fair horse racing meeting, as follows:

- July 14, 2021, through July 20, 2021, with three days of racing, three less than in 2020. Cal Expo proposes to run a total of 30 races, 19 less than in 2020. Live racing will commence July 16, 2021 and continue through July 18, 2021. Cal Expo is a participant in the CARF 2021 combined fair horse racing meeting.
- At its March 2021 regular meeting, the Board heard and approved a request from CARF to designate the 2021 allocated race dates of the Alameda County Fair (6/16/21–7/13/21), CalExpo (7/14/21–8/3/21), Humboldt County Fair (8/18/21–8/31/21), and The Big Fresno Fair (10/6/21–10/19/21) as a combined fair horse racing meeting. The 2021 combined fair race meeting allows for the extended distribution time of the carryover pool.

July 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Friday through Sunday; 10 races each day on Friday, Saturday, and Sunday.
- Requests approval to adjust number of races scheduled dependent on availability of horses and mules.
- Racing concurrently with the Los Alamitos Quarter Horse Racing Association and the Del Mar Thoroughbred Club.
- Average number of runners per race, 2020 race meeting:
 - Thoroughbred: 6.62

- Quarter horse: 5.5
- Arabian: 6
- First post 2:45 p.m. Friday, Saturday, and Sunday.
- Requests approval to adjust the post time schedule submitted, except for the first race post time, to maximize wagering opportunities on its live program and all simulcast import programs, which include Santa Anita, Los Alamitos, Del Mar, and out-of-state programs.
- Wagering program will use CHRB and ARCI rules.
 - Distribution of carryover pool will be October 17, 2021 (closing day of The Big Fresno Fair).
 - Early wagering will not be offered.
 - \$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable.
 - \$0.50 PNP4 on first four races and last four thoroughbred races each day.
 - \$0.50 PNP5 on last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 - \$0.20 PNP6 on last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners, then 40% minor pool that day is paid to the tickets selecting the most winners, and 60% major pool will be added to carryover.
 - \$0.20 Pentafecta (Super High 5) selecting first five finishers in same race. 100% pool paid and any prior carryovers paid for unique ticket selecting first five finishers. If no unique ticket selects first five finishers, then 40% minor pool paid to tickets selecting first five finishers, and 60% of major pool will be added to carryover. If no ticket selects first five finishers, 100% of pool will be added to carryover. Super High 5 will be carded on last race of the day. Sixth race of each day will be player's exacta with 14% takeout. No guaranteed pic four wager. If pic six does not have six thoroughbred races, emerging breed races will fill out the wager.
- The advance deposit wagering (ADW) providers are Churchill Downs Technology Initiatives Company dba BetAmerica; Churchill Downs Technology Initiatives Company dba TwinSpires; ODS Technologies, L.P. dba TVG Network; Watchandwager.com, LLC (Watch and Wager); and XpressBet, LLC (Xpressbet).

Pursuant to Business and Professions Code (BPC) section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of BPC Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.

- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
 - There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- All documents have been received in compliance with BPC section 19604.
 - Simulcasting conducted with other out-of-state racing jurisdictions, pursuant to BPC section 19602, and with authorized locations throughout California.
 - Requests that Darrell Sparks be appointed horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
 - Inspection of backstretch worker housing has been requested and will be completed before the race meet begins.
 - Track safety inspection has been requested and will be completed before the race meet begins.
 - The fire clearance for Alameda County Fair at Pleasanton was approved at the May 2021 regular Board meeting.
 - CARF has agreed to adopt the Board's Inclement Weather Policy, as posted on the Racing Safety page of the Board's website [letter submitted].

RECOMMENDATION:

Staff recommends approval of the application.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev.1/16)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

California Exposition & State Fair at Pleasanton
1600 Exposition Blvd., Sacramento, CA 95825
916-263-3000/Fax- 916-263-3230

B. Fair association is a: District Fair County Fair Citrus Fruit Fair

California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Rick Pickering, 916-263-3000, rpickering@calexpo.com

Larry Swartzlander, 916-263-3347, larry@calfairs.net

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **July 14 – July 20, 2021**

B. Actual dates (live) racing will be held: **July 16, 17, 18**

C. Dates (live) racing will NOT be held: **July 19, 20**

D. Total number of (live) racing days: **3**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) **Friday – Sunday**

3. RACING PROGRAM

A. Total number of races: **30**

Respectfully request approval to adjust the number of races scheduled dependent upon availability of horses and mules.

B. Number of races by breed:

Thoroughbreds Quarter Horses
 Arabians Mules

CHRB CERTIFICATION

Application received: 5/21/21
Reviewed: NLG

Hearing date: 6/16/21
Approved date:
License number:

D. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9					9	9
Other Breeds	1					1	1
Total	10					10	10

D. Total number of stakes races by breed:

Thoroughbreds
 Quarter Horses
 Appaloosas
 Arabians
 Paints
 Mules

E. List of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

F. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). N/A

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. N/A

2021
No Stakes

2020
Governor’s Handicap
\$50,000 Added Overnight Handicap
(Plus up to \$15,000 to Cal-Breds)
Three-year-olds & Upward – Six Furlongs
Sat, Jul 25

2019
Governor’s Handicap
\$50,000 Added Overnight Handicap
(Plus up to \$15,000 to Cal-Breds)
Three-year-olds & Upward – Six Furlongs
Sat, Jul 20

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes
 No
 If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<u>Sat & Sun</u>		<u>Fri</u>	
Race 1	2:45 PM	Race 1	2:45 PM
Race 2	3:15 PM	Race 2	3:15 PM
Race 3	3:45 PM	Race 3	3:45 PM
Race 4	4:15 PM	Race 4	4:15 PM
Race 5	4:45 PM	Race 5	4:45 PM
Race 6	5:15 PM	Race 6	5:15 PM
Race 7	5:45 PM	Race 7	5:45 PM
Race 8	6:15 PM	Race 8	6:15 PM
Race 9	6:45 PM	Race 9	6:45 PM
Race 10	7:15 PM		
Race 11	7:45 PM		

We respectfully request approval to adjust submitted post time schedule, excepting first race post time, to maximize all wagering opportunities for our live program and all simulcast import programs including Santa Anita, Los Alamitos, Del Mar, and out of state programs.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

Jess Durfee – Chair
Rex Hime – Vice Chair
Rima Barkett – Director
Erin M. Choi - Director
Sonney Chong – Director
Rina DiMare– Director
David Mallel – Director
Brian May - Director

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

David Mallel - Director
Rina DiMare – Director
Brian May - Director

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

Rick Pickering- Chief Executive Officer
Tom Martinez – Chief Deputy General Manager
Craig Braddick - Public Address Announcer
Kevin Ingram - Stable Superintendent
Steve Wood- Track Foreman

- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Rick Pickering- Chief Executive Officer- rpickering@calexpo.com
Larry A. Swartzlander- Director of Racing- larry@calfairs.net
1600 Exposition Blvd., Sacramento, CA 95825

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager. N/A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2020 (6 days)	18,895,379	1704
2019 (11 days)	23,275,504	50,762
2018 (11 days)	24,553,318	57,539
2017 (11 days)	23,464,949	58,274
2016 (10 days)	22,312,284	58,532

*Ran one less day, Ran with Los Alamitos vs Hollywood Park and had one less day with Del Mar

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

- A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: **\$421,175**

Prior meet actual: **\$798,773**

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: **\$140,391**

Prior meet actual: **\$133,128**

2. Overnight stakes:

Current meet estimate: **\$0**

Prior meet actual: **\$50,000**

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: **\$0**

Prior meet actual: **\$8,333**

3. Non-overnight stakes:

Current meet estimate: **\$0**

Prior meet actual: **\$0**

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: **\$0**

Prior meet actual: **\$0**

4. Total Purses: (7A1+-7A2+ 7A3)

Current meet estimate: **\$421,175**

Prior meet actual: **\$848,773**

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$36,821**

Prior meet actual: **\$73,643**

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

Prior meet actual:

CTT	1,032	2,064
TOC	2,063	4,126
NTRA	400	800
PCQHRA	463	925
ARAC	824	1,648
AMRA	0	0
CHBPAPEN	2,723	5,446
<u>CTHF</u>	<u>2,723</u>	<u>5,446</u>
Total-	\$10,228	Total- \$20,455

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: **\$468,224**
 Prior meet actual: **\$942,871**

Average Daily Purse (7D ÷ number of days):
 Current meet estimate: **\$156,074**
 Prior meet actual: **\$157,145**

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: **\$344,877**
 Prior meet actual: **\$689,754**

Average Daily Purse (7E ÷ number of days):
 Current meet estimate: **\$114,959**
 Prior meet actual: **\$114,959**

- F. Purse funds to be generated from interstate handle:
 Current meet estimate: **\$49,135**
 Prior meet actual: **\$98,270**

Average Daily Purse (7F ÷ number of days):
 Current meet estimate: **\$16,378**
 Prior meet actual: **\$16,378**

- G. Bank and account number for the Paymaster of Purses' purse account:
On file

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
 Rossman, MacDonald & Benetti, 3838 Watt Ave. Suite E500, Sacramento, CA. 95821, (916) 488-8360.

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **800**

- B. Minimum number of stalls believed necessary for the meeting: **1200**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **684**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
Alameda County Fair.
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
No stabling agreement is required. Pleasanton is a consolidate Fair member.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
960
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$9.45
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - Dr. Sarah Sporer 510-220-4860
 - Dr. Frosty Franklin 916-585-2050
- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
 Dr. Frosty Franklin – 0500 – 1700 on racing days. Jul 10 – July 31 – On site
 Dr. Sarah Sporer – 0500 – 10:00 training and workout hours at auxiliary Jul 10 – Jul 31.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering formant:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP



March 25, 2021

California Horse Racing Board
 Attn: Cynthia Alameda
 Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2021 Race Meet Applications for the Alameda County Fair, California State Fair, Humboldt County Fair and The Big Fresno Fair:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander
Executive Director

\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 17, 2021, which is the Closing Day of The Big Fresno Fair.

for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7 (16)(b)(i)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB# 1976.9 ARCI 004-105 G. (g) Method 7 (16)(b)(i)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PN6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None.**

D. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **17 October, the last day of the Fresno Fair. Carryovers would include the Gold Rush Pic six, the Gold Strike Pentafecta and the pic five or four.**

E. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day. There will be no guaranteed pic four wager. If the pic six does not have six thoroughbred races emerging breed races will fill out the wager.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Amtote (Dave Calendine) Expiration October 30, 2025**
Equipment description on file with CHRB.

H. List below the takeout percentage for each type of wager identified in 10B.

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

Players exacta 14% takeout.

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the fair for this race meeting:
BetFair, (TVG), Express Bet and Bet America, Watch and Wager & Twin Spires.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting: **CARF agreement on file with the CHRB.**

C. Have the contract/agreements been approved by the respective horsemen’s groups?
Yes No

If yes, attach a copy of the approval.



March 27, 2021

Mr. Larry Swartzlander
Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, CA 95815

RE: 2021 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of CARF race signals under the terms and conditions set forth below.

Pursuant to Section 29, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on CARF and other Thoroughbred races during the 2021 race meetings, as follows:

1. California wagering on CARF races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager and Game Play Network may deduct a Hub Fee of 4.1% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: GARY FENTON
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager and Game Play Network may deduct a Hub Fee of 4.1% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on PRA races:

- a. TVG, XpressBet, TwinSpire and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Greg Avioli, President & CEO

cc: Tom Varela, Heather Haviland



Pacific Coast Quarter Horse Racing Association

April 1, 2021

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2021 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2021 racing season, commencing June 18, 2021 and continuing through October 17, 2021, California-licensed ADW providers Watch and Wager, Bet America, TVG, Xpressbet,

TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated March 27, 2021.
Please feel free to contact me if you have any questions.

Sincerely,

Eino Perez
General Manager

April 21, 2025

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2021 California Authority of Racing Fairs ADW Approvals

Dear Larry,

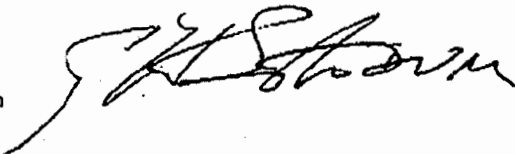
This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 18, 2021 and continuing through October 17, 2021, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires, Watch & Wager and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated March 27, 2021.

Please feel free to contact me if you have any questions.

Sincerely,

Cory Soltau
Chairperson



[Type text]

[Type text]

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering: **NCOTWINC**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
 Bankers Casino, Salinas
 Big Fresno Fair, Fresno
 California State Fair & Exposition, Sacramento
 Club One, Fresno
 Firehouse Restaurant, Bakersfield
 Golden Gate Fields, Albany

*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa

Stanislaus County Fair, Turlock

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside

Cabazon Fantasy Springs Casino, Indio
 Commerce Casino Racebook, Commerce
 Derby Club, Seaside Park, Ventura

Fairplex Park, Pomona
 Fantasy Springs Casino, Indio
 Los Alamitos Race Course, Los Alamitos
 Mermaid Tavern, Thousand Oaks
 OC Tavern & Sports Bar, San Clemente
 Roadhouse Grill, Santa Maria
 Sammy's Restaurant & Bar, Lake Forrest
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Tilted Kilt, Thousand Oaks
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

- D. **Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)**

2021 IMPORT TRACKS	
ARLINGTON	
BELMONT	
ASSINIBOIA	7/15-8/4
AUSTRALIAN RACING	7/15-8/4
BEULAH	7/15-8/4
30CALDER RACECOURSE	7/15-8/4
ANTERBURY DOWNS	7/15-8/4
CHARLES TOWN	7/15-8/4
CHURCHILL DOWNS	7/15-8/4
DELAWARE	7/15-8/4
DOWNS AT ALBUQUERQUE	7/15-8/4
ELLIS PARK	7/15-8/4
EMERALD DOWNS	7/15-8/4
EVANGELINE DOWNS	7/15-8/4
FORT ERIE	7/15-8/4
GULFSTREAM	7/15-8/4
HASTINGS PARK	7/15-8/4
HAWTHORNE	7/15-8/4
INDIANA DOWNS	7/15-8/4
KEENLAND	7/15-8/4
KENTUCKY DOWNS	7/15-8/4
LAUREL	7/15-8/4
LONE STAR	7/15-8/4
LOUISIAN DOWNS	7/15-8/4
MONMOTH	7/15-8/4
MOUNTAINEER PARK	7/15-8/4
NYRA-AQUEDUCT	7/15-8/4
NYRA-BELMONT	7/15-8/4
NYRA-SARATOGA	7/15-8/4
PENN NATIONAL	7/15-8/4
PHILADELPHIA PARK (PARX)	7/15-8/4
PIMLICO	7/15-8/4
PRAIRIE MEADOWS	7/15-8/4
PRESQUE ISLE	7/15-8/4
RIVER DOWNS	7/15-8/4
SOUTH AMERICAN RACING	7/15-8/5
SUFFOLK DOWNS	7/15-8/4
SUNLAND PARK	7/15-8/4
SUNRAY PARK	7/15-8/4
TAMPA BAY	7/15-8/4
THISTLEDOWN	7/15-8/4
TURFWAY PARK	7/15-8/4
TURF PARADISE	7/15-8/4
UNITED KINGDOM	7/15-8/4
WOODBINE	7/15-8/4

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

CARF 2021 Meets

Commingled Locations

AmWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Arapahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Arima Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Arlington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Atokad	Fair Grounds ADW	Maywood	Sol Mutuel
Balmoral	Fair Meadows	Meadowlands	Southland Greyhound
Balmoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Bangor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Batavia	Freehold	Monmouth Park	Suffolk District OTB
Bettor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
Beulah Park	Global Wagering Solutions	Monticello	Pat's Pizza
Birmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	Sun Ray Park & Casino
Buffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Calder Racecourse	Race bets	New Jersey Casino Assoc.	Taunton Acct Wagering
Canterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
Catskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
Charles Town Race Course	Gulfstream	Northfield	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Northville	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
Colonial Downs	Hoosier Park	Oaklawn	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
Columbus Raceway	Indiana Downs	Panama	Twin River Greyhound
Connecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	Watch and Wager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	Xpress Bet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB	Presque Isle Downs	
Tote Investment Racing	Lien Games ADW	Raceway Park	
Randall James Racetrack	Bet America		

Millenium Racing
 Royal Beach Casino
 Divi Carina Bay Casino
 Fair Chance
 Winner's Circle

Best Fast Now
 OnlineRacing.TV
 BetTheRacesOnline.com
 BigJackpotBetting.com
 Hometownbets.com
 RaceplayWin.com
 VIPHorsePlayer.com
 TrackInfo.com
 Offtrackbetting.com

Racing2Day LLC
 Racing2Day Intl. (Stan James)
 Remington Park
 Remington OTB Network
 Retama
 Racing & Gaming Services
 River Downs
 Rockingham Park
 Rockingham Account Wagering
 Ruidoso Downs

Separate Pool Locations

Camarero (Puerto Rico)
 Caymanas (Jamaica)
 MIR Books (Caliente)
 NDS Books (Nevada)

Corpus Christi Greyhound
 Delaware

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce, Firehouse Restaurant, Bakersfield, Mermaid Tavern,, Santa Clarita Lanes, Tilted Kilt, Striders Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest & Nor Co, Santa Barbara.

- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card
Cal Expo	Harness Horses	Per CHRB Calendar Full Card

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All

international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)-	Audrey Clifton, DVM
Clerk of Scales-	Kenneth Sjoldal
Clerk of the Course-	Tina Walker
Film Specialist-	Danny Winnick
Horse Identifier-	Darrel Sparks
Horseshoe Inspector-	Larry Goshman
Paddock Judge-	Danny Winick
Patrol Judges-	Morgan Schlessler/Danny Winick
Placing Judges-	Steve Martinelli/Myra Troske
Starter-	Todd Stephens
Timer-	Melody Truitt

B. Management officials in the racing department:

Director of Racing-	Larry A. Swartzlander
Racing Supervisor-	Juliana Gomes
Concierge-	Lisa Wilkerson
Racing Secretary-	Tom Doutrich
Assistant Racing Secretary-	Linda Anderson
Paymaster of Purses-	Victoria Layne
Track Announcer	Vic Stauffer

Others (identify by name and title)

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Wendy Frazier, 13136 Ivey Rd., Herald, CA 95638 916-956-3914

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, USA- Bill O'Brien, Contract Expires December 31, 2021

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communications, Inc (Jim Porep) Contract Expires: December 31, 2023
Equipment listed in attachment.**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16th pole and both chutes. Pegasus Communications, Inc. (Jim Porep) Contract Expires 4/30/2023.

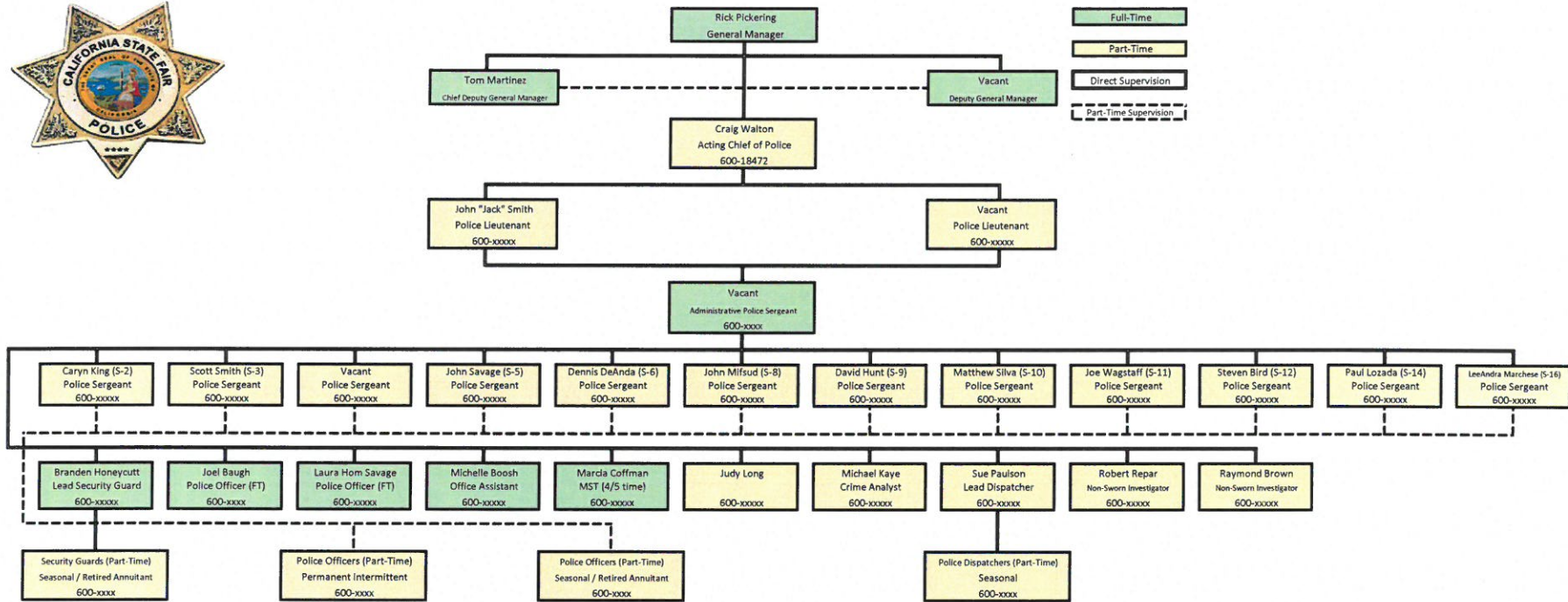
14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Acting Chief Craig Walton – 916-263-3050; Organizational chart attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis. : **Gate Persons 9 Police Officers – 5 supported by roving patrol and 30-110 member Cal Expo Police Department.**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**
2. Detention Stalls:
- A. Attach a plan for use of graded stakes or overnight races. **No Graded Stakes**
- B. Number of security guards in the detention stall area during a 24-hour period.
N/A
- C. Describe number and location of surveillance cameras in detention stall area.
N/A
3. TCO2 Testing:
- A. Number of races to be tested, and number of horses entered in each race to be tested.
Will comply with and follow CHRB directives
- B. Plan for enhanced surveillance for trainers with high-test results.
Will comply with and follow CHRB directives
- C. Plan for detention stalls for repeat offenders.
Will comply with and follow CHRB directives
- D. Number of security personnel assigned to the TCO2 program.
As directed by CHRB
- C. Describe the electronic security system.
1. Location and number of video surveillance cameras for the detention stall and stable gate.
Mobile surveillance system and gear provided and installed by CARF

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
American Medical Response, 1101 Fee Dr., Sacramento, CA 95815 916-563-0838
1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
A1. The State of California uses a web based data base for licensed with in the State. That site is <http://www.centralregistry.ca.gov/Verification/Search.aspx> Sacramento County EMS as well as AMR regular confirm all employees are current with their licenses .

California Exposition and State Fair Police Department



Full-Time
Part-Time
Direct Supervision
Part-Time Supervision



April 7th, 2021

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. All of our paramedic staff are licensed with the California Emergency Medical Services Authority (EMSA) in addition to being accredited by the LEMSA (local emergency medical services) in the area(s) in which they are practicing. The current status of any Paramedic License can be verified by CA EMSA on their website: <http://www.centralregistry.ca.gov/Search.aspx>. In addition to annual inspections by the LEMSA, our vehicles are inspected and permitted annually by the CHP. Specific personnel licenses or vehicle permits are available for viewing on request at the local AMR Sacramento office.

Please feel free to contact me if you require further information.

Sincerely,

Daniel Iniguez
Operations Manager
AMR – Sacramento County

A handwritten signature in blue ink, appearing to read 'Daniel Iniguez', is written over the typed name.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Royal Ambulance Service, Steve Grau, President, 14472 Wicks Blvd, San Leandro, CA 94577. 877-995-6161.

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

On file with the CHRB

- C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site First Aid facility and racetrack ambulance contain all equipment and supplies necessary for advanced life support of any emergency and in compliance with all Sacramento County and California Highway Patrol requirements. The racetrack ambulance is staffed by 1 paramedics and emergency medical technician and the on-site first aid facility is staffed by paramedics and emergency medical technicians. The equipment list attached can be found on the Sacramento County EMS web site and here is that link to the PDF.

<http://www.dhhs.saccounty.net/PRI/EMS/Documents/PoliciesProceduresProtocols/2000/PP-2030%20Advanced%20Life%20Support%20Inventories.pdf>

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

Dr. Streeter 209-608-8009

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**UC Davis Medical Trauma Center
Specializing as a Level 1 Trauma Center
2315 Stockton Blvd., Sacramento, CA 95817
916-734-2011; 3 Miles**

**Sutter Medical Center
2801 L Street
Sacramento, CA 95816
916-887-0000; 3.4 Miles**

**Kaiser Permanente Hospital
Specializing in orthopedic and cardiac treatment
2025 Morse Ave.
Sacramento, CA 95825
916-817-5660 2 Miles**

**Mercy General Hospital
Specializing in cardiac treatment
4001 J. St., Sacramento, CA 95819
916-453-4553 1 ½ Miles**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Attached



Compassion. Commitment. Caring

February 17, 2021

Jeanne Wasserman
Alameda County Fairground
4501 Pleasanton Ave
Pleasanton, Ca 94566

Royal Ambulance Inc. is compliant with all Federal, State and local requirements to operate as an Ambulance Company in Alameda County and other jurisdictions where we provide service. Our EMT's are certified by the California Emergency Medical Services Authority and copies of the certification will be provided upon request.

Sean Young

Sean Young

Operations Manager

Item #15F English:

California State Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #15 F Spanish:

Procedimiento en caso de Accidente en California State Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia..
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Larry A. Swartzlander, Director of Racing
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
The Fire Marshall inspection has been scheduled for late May
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **California Fair Services Authority.**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
Attached.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:
Food Service- Ovations Fanfare, LP, 4501 Pleasanton Drive, Pleasanton, CA 94566
- B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting. Same as Pleasanton License.
- B. Promotional/Marketing Budget for this race meeting:
Same as Pleasanton License.



a California joint powers agency

1776 Tribute Road, Suite 150
Sacramento, CA 95815
Office: 916.263.3346 Fax: 916.263.3341
www.calfairs.com

April 22, 2021

California Horse Racing Board
Attn: Scott Chaney
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Mr. Chaney:

The Alameda County Fire Department Fire Inspection Letter stated the Alameda County Fair Stable area was inspected without violations as of 3/18/21. Your letter, dated July 3, 2020 stated that the Alameda County Fairgrounds fire clearance on file did not comply with 4 CCR 1927 for the 2020 racing season. Our response to your letter is attached dated July 13, 2020. Due to time constraints a decision was never rendered as to the exemption. Our position remains the same. The stable area consists of two major structures, shed row stalls and tack rooms which are in compliance with local code and the intent of 4 CCR 1927Section. Section 903.2.1.3 of the Alameda County Fire Code addresses the requirement for automatic sprinklers and states that they are not required unless the living area exceeds 5,000 square feet or houses at least 300 people. In addition, all structures are made of concrete block, stucco and composition roof tiles. Based on this structural configuration and County Code our fire marshal does not require the need for automatic sprinklers.

Previously CARF had requested the rule be revisited and address exceptions to the requirements listed in 4 CCR 1927. Our position remains the same and would ask in the interim, the Alameda County Fair be granted the allowable exemption by the CHRB to this rule for the 2021 Racing Season.

CARF is currently in negotiations with Golden Gate Fields (GGF) to provide auxiliary stabling. In the event an agreement cannot be reached CARF is in discussion with the Thoroughbred Owners of California to either open

Sacramento as an auxiliary stable or bring in porta-stalls to the Alameda County Fair backside and stable all horses on site that plan to race at Pleasanton. In either case fire inspection documents will be provided for either GGF or Sacramento as an auxiliary stable.

Regards,

A handwritten signature in black ink that reads "Larry A. Swartzlander". The signature is written in a cursive, flowing style with a large initial "L" and "S".

Larry A. Swartzlander
Executive Director, CARF



**Alameda County Fire Department
FIRE PREVENTION**

www.acgov.org/fire

WILLIAM L. McDONALD
Fire Chief

March 18, 2021

**COUNTY
FIRE PREVENTION**
6363 Clark Street
Dublin, CA 94568
Tel (510) 632-3473
Fax (925) 875-9387

Jerome Hoban, CEO
Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

**DUBLIN
FIRE PREVENTION**
100 Civic Plaza
Dublin, CA 94568
Tel (925) 833-6606
Fax (925) 829-9248

RE: Annual Stable Area Inspections

**EMERYVILLE
FIRE PREVENTION**
1333 Park Avenue
Emeryville, CA 94608
Tel (510) 596-3759
Fax (510) 450-7812

Dear Mr. Hoban:

ACFD conducted inspections of the stable area on 3/4/2021 and 3/16/2021. The facility was found to be empty and without any violations as of the 3/16/2021 inspection.

**NEWARK
FIRE PREVENTION**
37101 Newark Blvd.
Newark, CA 94560
Tel (510) 578-4218
Fax (510) 578-4281

Sincerely,

Bonnie S. Terra
Division Chief

**SAN LEANDRO
FIRE PREVENTION**
835 E. 14th Street
San Leandro, CA 94577
Tel (510) 577-3317
Fax (510) 618-3445

**UNION CITY
FIRE PREVENTION**
34009 Alvarado-Niles Road
Union City, CA 94587
Tel (510) 675-5470
Fax (510) 487-2117



Alameda County Fire Department Fire Prevention Bureau Inspection and Review Comments

Dublin Bureau
108 Civic Plaza
Dublin, CA 94568
(925) 833-6606
Fax (925) 829-9248

Emeryville Bureau
1333 Park Avenue
Emeryville, CA 94608
(510) 596-3759
Fax (510) 450-7812

Newark Bureau
37101 Newark Blvd.
Newark, CA 94560
(510) 578-4218
Fax (510) 578-4281

San Leandro Bureau
835 East 14th Street
San Leandro, CA 94577
(510) 577-3317
Fax (510) 577-3419

Unincorporated County Bureau
399 Elmhurst Street, Room 120
Hayward, CA 94544
(510) 670-5853
Fax (510) 887-5836

Union City Bureau
34009 Alvarado-Niles Road
Union City, CA 94587
(510) 675-5470
Fax (510) 441-2943

Inspector: T. Willis Date: 3/16/21 FD Permit # _____

Business Name: Alameda County Fire Department Address: 4100 Alameda Blvd

OK'd By/Date	ITEM #	COMMENTS
OK 3/16/21		Inspection on 3/16/2021
OK 3/16/21		Inspection OK & REPORT 3/16/21
OK 3/16/21	1)	Examine 2 items in kitchen area w/o by Belle
OK 3/16/21	2)	Examine fire extinguisher: Must service every 6 months
OK 3/16/21	3)	Examine fire extinguisher: Must service every 6 months
OK 3/16/21	4)	Examine fire extinguisher: Must service every 6 months
		- No VIOLATIONS FOUND AT TIME OF INSPECTION - - T. WILLIS - 3/16/2021

You are hereby notified to address the comments and provide immediate correction of the violation(s) noted herein.

A re-inspection will be conducted on or after 4/15/21

Bus. Owner-Manager: _____ Phone: _____

Received By: _____ Date: 3/16/21 Page 1 of 1



July 13, 2020

California Horse Racing Board
Attn: Scott Chaney
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney,

The Alameda County Fire Department Fire Inspection letter stated the Alameda County Fair Stable area was inspected without violations as of 3/2/20. Your letter, date July 3, 2020 states that the Alameda County Fairgrounds fire clearance on file does not comply with 4 CCR 1927. In review the stable area consists of two major structures, shed row stalls and tack rooms which are in compliance with local code and the intent of 4 CCR 1927. The one disparity is the requirement for automatic sprinkler systems. Section 903.2.1.3 of the Alameda County Fire code addresses the requirement for automatic sprinklers as states that they are not required unless the living area exceeds 5000 square feet and houses at least 300 people. Our fire marshal has concluded in his inspection that the barn area does not meet this criterion.

The intent here is not to contentious in compliance but point out that 4 CCR 1927 was written at least 30 years ago with no updates. Each county has different requirements and has fire marshals who can be sensitive to be compliant with third party fire guidelines.

I would respectfully ask for an exemption to the current 4 CCR 1927 rule for the 2020 Alameda County Fair meet. In addition, I would ask that the rule be reviewed by the CHRFB staff in conjunction with stakeholders within the racing industry and be rewritten be put on a future agenda for the CHRFB to review and approve.

In regards to our Auxiliary Stable, GGF Eric Sindler forwarded to me the following information on July 3 in regards to their non compliance to 4 CCR 1927:

“Due to COVID-19 crisis protocol restrictions, we do not have a current date for the inspection. We are speaking to the Berkeley Fire Department to schedule the inspection so that they can issue a revised fire clearance letter. We will update the board when we have a date for the inspection. All of the barns (and the equine hospital) at Golden Gate Fields are equipped with an automatic sprinkler system which is inspected and tested both quarterly and annually. Additionally, the barn area has an automatic fire alarm system that was upgraded in 2018. The barn area has two watchman after dark. The barn area also contains 20 standalone fire hydrants which are pressure tested annually (with 17 fire hose cabinets containing 51 hoses). Also, there are over 220 fire extinguishers serviced annually.”

Regards,

Larry A. Swartzlander
Executive Director, CARF

Cc: Jerome Hoban, CEO ACF
Chairman Dr. Gregory Ferraro
Vice-Chairman Oscar Gonzales
Commissioner Alex Solis
Commissioner Dennis Alfieri
Commissioner Wendy Mitchell
Commissioner Damascus Castellanos



March 31, 2021

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, Ca 95825

Re: Alameda County Fair
4501 Pleasanton Ave
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$100,000 self-insured retention California Fair Services Authority
(includes liquor liability)
Coverage continuous until cancelled
- B. Excess Coverage \$24,900,000 in excess of \$100,000 (includes Liquor Liability)
Coverage provided by CSAC Excess Insurance Authority
Term: 7/1/21 to 7/1/22

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$250,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$250,000
(b) Employers' Liability: \$4,750,000 in excess of \$250,000
Coverage provided by CSAC Excess Insurance Authority
Term: 7/01/2021 to 7/01/2022

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in California Horse Racing Board's "Insurance Requirements".

CFSA coverage also includes the Fresno at Pleasanton Race meet in October.

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Mario Castagnola
Sincerely,
Mario Castagnola
Risk Analyst

**1776 TRIBUTE ROAD, SUITE 100
SACRAMENTO, CA 95815
PHONE: (916) 921-2213 | FAX: (916) 646-1238**

WWW.CFSA.ORG

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:
- | | |
|-------------------------------------|---|
| Admission (general) - | \$12.00 - 14.00/w Fair Admission |
| Admission (clubhouse) | Free |
| Reserved seating (general) | Box Seats \$3.00 Seat only NO Fair Admission |
| Reserved seating (clubhouse) | Free |
| Turf Club Buffet | \$20.00 NO Fair Admission |
| Parking (general) | \$15.00 |
| Parking (preferred) | \$ 5.00 |
| Parking (valet) | N/A |
| Programs (on-track) | \$2.50 |
| (Off-track) | \$2.50 |
- C. Describe any "Season Boxes" or other special accommodations:
Same as Pleasanton License.

19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:
- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="43"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |
- B. Describe the quarters to be used for female jockeys: Same type in segregated area

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing completed in Pleasanton License.
- B. Number of rooms used for housing on the backstretch of the racetrack: 21
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restrooms to the number of backstretch personnel: 25 to 1

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
The racetrack is made up of sand of various types (Very Coarse, Coarse, and Medium to Very Fine), silt and clay. The Medium to Very Fine sand makes up approximately 75% of the sand content. Organic material will be added to the racetrack to assist in water retention.
- C. The percent of cross slope in the straight-aways is: **2%**
The percent of cross slope in the center of the turns is: **4%**

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside Rail- Maw Safe Rail Outside Rail- Steriline Aluminum Racing Rail
Both rails will be set at 38" to 42" from the level of the race course
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Trackmaster Inc.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
Included in Pleasanton application.
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement will be received NLT April 18, 2021.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
Attached.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Larry A. Swartzlander

Print Name

Director of Racing

Print Title

Signature

May 20, 2021

Date

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE PACIFIC RACING ASSOCIATION II (PRA II) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE PRA II AT THE GOLDEN GATE FIELDS RACETRACK

Regular Board Meeting

June 16, 2021

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the

acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the Thoroughbred Owners of California (TOC). Additionally, any agreement must be approved by the Board before entries are taken. Here, the Pacific Racing Association II (PRA II) has entered into an agreement with the TOC to prohibit a horse from entering a stakes race conducted with any race-day medication, including furosemide, prohibits a horse from racing for 14 days if it has received a joint injection, and prohibits a horse from either performing a timed workout within 10 days of, or from racing for 30 days of receiving a corticosteroid injection in a metacarpophalangeal or metatarsophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.

Race Day Medication Agreement

This Race Day Medication Agreement (this “Agreement”) is entered into effective as of December 1, 2020 (the “Effective Date”) by and between the Thoroughbred Owners of California (“TOC”); Los Angeles Turf Club, Incorporated and Los Angeles Turf Club II, Inc. (jointly “LATC”); Pacific Racing Association and Pacific Racing Association II (jointly “PRA”); and Del Mar Thoroughbred Club (DMTC).

Recitals

- A. LATC operates race meets at Santa Anita Park.
- B. PRA operates race meets at Golden Gate Fields.
- C. DMTC operates race meets at the Del Mar Fairgrounds.
- D. LATC, PRA, and DMTC shall each be a “Racing Association.”

Agreement

With reference to the foregoing Recitals, which are incorporated herein by reference, and in exchange for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, TOC, LATC, PRA, and DMTC (each a “Party”, and collectively, the “Parties”) hereby agree as follows:

1. Stakes Races. No horse, of any age, shall be permitted to race in a “Stakes Race” conducted by any of the Racing Associations from January 1, 2021 through December 31, 2021 with any race-day medication, which includes, without limitation, the use of furosemide (e.g. Lasix). A “Stakes Race” shall include all graded stakes races, listed stakes races, overnight stakes races, state-bred stakes races, and restricted stakes races. However, notwithstanding the foregoing, if a Cal-bred stakes race (a) is for four-year olds and up and (b) is agreed to in writing by the TOC and the Racing Association which conducts the relevant Cal-bred stakes race, then the horses that compete in those races shall be permitted to race with furosemide (e.g. Lasix). Attached as Attachment A is a list of such races.

2. Joint Injections. No horse, of any age, shall be permitted to race in a race conducted by any of the Racing Associations from the Effective Date through December 31, 2021 (“Joint Injection Term”) if it has received a joint injection within 14 days of racing. Further, corticosteroid intra-articular joint injections are limited during the Joint Injection Term as follows:

a. Within fourteen (14) days of race day if such injection is for any joint other than any of the metacarpophalangeal or metatarsophalangeal joints.

b. Within thirty (30) days of race day if such injection is performed on any metacarpophalangeal or metatarsophalangeal joint. Additionally, no horse shall be permitted to

have a timed work for ten (10) days after receiving a corticosteroid intra-articular joint injection in any of the metacarpophalangeal or metatarsophalangeal joints.

3. CHRB Approval. The Parties shall file this Agreement with the CHRB and shall publicly support and advocate for the CHRB's approval of this Agreement.

[Signatures follow]

The Parties have executed this Agreement as of the date first written above.

Thoroughbred Owners of California

Del Mar Thoroughbred Club

By: Greg Avioli
Name: Greg Avioli
Title: CEO & President

By: _____
Name: _____
Title: _____

Los Angeles Turf Club, Incorporated

Los Angeles Turf Club II, Inc.

By: Eric Sindler
Name: Eric Sindler
Title: Assistant Secretary

By: Eric Sindler
Name: Eric Sindler
Title: Assistant Secretary

Pacific Racing Association

Pacific Racing Association II

By: Eric Sindler
Name: Eric Sindler
Title: Assistant Secretary

By: Eric Sindler
Name: Eric Sindler
Title: Assistant Secretary

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO
CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT
GOLDEN GATE FIELDS, COMMENCING JULY 21, 2021 THROUGH AUGUST 3, 2021

Regular Board Meeting
June 16, 2021

ANALYSIS:

Pacific Racing Association II (PRA II) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF) July 21, 2021 through August 3, 2021 or 14 days, with 6 days or nights of live racing. There is no comparative race meet in 2020. PRA II proposes to race a total of 50 to 62 races, or 8.34 to 10.34 races per day. Live racing will commence July 23, 2021 through August 1, 2021. The (estimated) average daily purse for this meet is \$146,624.

July 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

August 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing Friday through Sunday.
- 7 to 9 races on weekdays, 9 to 11 races on weekends.
- First post 1:45 p.m. daily
 - Request the option to change post times to coordinate north/south signals.
- Wagering program will use California Horse Racing Board (Board) and Association of Racing Commissioners International (ARCI) rules.

PRA II requests to use a modified version of ARCI rule for the Pick 6 contest. PRA II also requests to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

Alternate Wagering Interest

PRA II requests that the Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched. Pursuant to [ARCI 004-105\(G\)\(4\)](#), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

PRA II requests to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ACRI 004-105(G)(2)(g), PRA II is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) they will use to govern the Pick 6. Under the ARCI model rule there are only two possible definitions for “unique”. PRA II is requesting an alternative definition which is not referenced in the ARCI model rule or in the CHRB’s rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed PRA II definition.

PRA II also requests a change to the ARCI model rules during instances of late surface changes. PRA II requests that on any day in which a surface change after the Pick 6 pool has closed, the race(s) are declared a “Win All,” not a “no contest.” Also, PRA II requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI 004-105(G)(1), the Board has the authority to approve these requested changes.

- Additional Wagering Information
 - Early wagering will not be offered.
 - \$2 Win, Place, and Show parlay available on every eligible race. The wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.
 - \$2 Daily Double; requests 20% takeout rate.
 - \$.50 Pick 3.
 - \$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
 - \$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day’s Early Pick 5 if no ticket has five winners; Alternate runner provision; requests 14% takeout rate.
 - \$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day’s Late Pick 5 if no ticket has five winners; Alternate runner provision.
 - \$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
 - \$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Payout distribution will be in accordance with ARCI 004-105(G)(2)(g):
 - The net pool and any carryover will be paid to the unique winning ticket holder who selected all the first-place finishers.
 - If there is no unique winning ticket, the minor share of the pool will be distributed to those who selected the greatest number of first-place finishers, and the major share will be added to the carryover.
 - If there is no correct selection of at least one first-place finisher, the day’s net pool will be refunded, and any previous carryover pool will be carried over to the next scheduled corresponding pool.
- The dates designated for distribution of the carryover pool are: Mandatory payout on closing day, August 1, 2021 for the period of July 23, 2021 through August 1, 2021. Additional mandatory distributions prior to closing day throughout the meet. PRA II indicates that they

will notify the Executive Director (7) days before any such mandatory distribution but do not provide specific dates of the distributions in the application. Board Rule 1976.9, Pick (n) Pool, allows for carryover. Section 1976.9(h) specifies that permission to distribute the Pick (n) carryover on a *specific date* and performance shall be obtained from the Board. The mandatory payout request must contain the intended date and performance for the distribution.

- [Business and Professions Code \(BPC\) section 19464\(b\)](#) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

PRA II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the BPC section 19464(b).

Pursuant to BPC section 19464(b) PRA II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The PRA II \$100,000 surety bond currently on file is valid through August 17, 2021.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TVG, TwinSpires, Bet America, and NYRABets.

Pursuant to [BPC section 19604](#), specific provisions must be met before an ADW provider can accept wagers.

Summary of BPC section 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with BPC section 19604:

- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with BPC section 19604.
 - ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with BPC section 19604.
 - NYRAbets, LLC has submitted all documents required in compliance with BPC section 19604.
 - Churchill Downs Technologies Initiatives Company, dba TwinSpires has submitted all documents required in compliance with BPC section 19604.
 - Churchill Downs Technologies Initiatives Company, dba BetAmerica has submitted all documents required in compliance with BPC section 19604.
- Request Darrell Sparks be appointed horse identifier pursuant to [Board Rule 1525](#), Racing Officials Appointed by the Board.
 - Track safety inspection has been requested and will be completed before the race meeting begins.
 - Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
 - PRA II has submitted fire clearances for Golden Gate Fields, along with an exemption request letter for approval from the Board.
 - PRA II has agreed to the Board's Inclement Weather Policy.
 - The turf course inner and outer railings are PVC by Duralock. The approximate height of the top of the inner railing is 50 inches high.
 - Simulcasting in Southern California only.

The PRA II application indicates that it will be conducting simulcast wagering with southern California satellites only. The present application, as submitted, envisions the elimination of satellite wagering opportunities at all northern locations. Each of these locations are in northern California, meaning that the PRA II application intends for there to be no satellite wagering in northern California during their race meet.

Staff views this portion of the application to be impermissible based on applicable laws and regulations.

Specifically, [BPC section 19608](#) requires an association which makes more than 1.5 million in daily average handle, to make its audiovisual signal available to authorized satellite wagering facilities. [BPC section 19619.6](#) requires that every association that provides its live audiovisual signal also accept wagers from the satellite wagering facilities. Moreover, [Board Rule 2058\(e\)](#) which addresses the duties of a racing association offering simulcast wagering, states that all wagers made available by a host association shall be made available to all simulcast facilities.

As stated, staff views PRA II's application requesting to offer simulcast wagering to southern California satellites only, to be in conflict with the provisions mentioned above. Furthermore, the California Superior Court, on February 6, 2020 issued a Notice of Ruling confirming that the Board's interpretation of the relevant statutes and regulations is correct.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California (TOC) race meet agreement. PRA II has indicated that it will forward the completed agreement to the Board immediately upon receipt.
2. California Thoroughbred Trainers (CTT) race meet agreement.
3. Agreements with all licensed northern California satellites.

Specific information which will need updating during the proposed race dates:

1. NYRABets ADW agreement is valid through July 7, 2021. PRA II has indicated that it will forward the agreement extension upon receipt.

RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the submission of the outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association II**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
david.duggan@goldengatefields.com

B. Breed of horse: TB QH H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On File**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars. **On file; valid August 17, 2020 through August 17, 2021. Will forward to board upon renewal.**

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **July 21, 2021 through August 3, 2021**

B. Actual dates racing will be held: **July 23-25 and July 30 - August 1**

C. Total number of days or nights of racing: **6 days**

CHRB CERTIFICATION

Application received:
Deposit received:
Reviewed:

Hearing date:
Approved date:
License number:

D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

E. Number of days or nights of racing per week: **3 days per week**
Racing Fridays through Sundays

Exceptions: N/A

3. RACING PROGRAM

A. Total number of races: **50-62**

B. Number of races for each day or night:

- **7 – 9 races on weekdays**
- **9 – 11 races on weekends/holiday**

C. Total number of stakes races: **0**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **N/A**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **N/A**

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **N/A**

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **N/A**

E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

Race Number	Post Time Daily
1st	1:45 p.m.
2nd	2:15 p.m.
3rd	2:45 p.m.
4th	3:15 p.m.
5th	3:45 p.m.
6th	4:15 p.m.
7th	4:45 p.m.
8th	5:15 p.m.
9th	5:45 p.m.
10 th when applicable	6:15 p.m.
11 th when applicable	6:45 p.m.

*** Post times may change in order to coordinate North/South signals.**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for ll stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association II**
- State where incorporated: **California**
- Registry or file number for the corporation: **3481225**
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Craig Fravel, President (Officer), 0 Shares

Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares

Rebecca Neimark, CFO (Officer), 0 Shares

David Duggan, Vice President & General Manager (Officer), 0 Shares

Scott Daruty, Senior Vice-President (Officer/Director), 0 Shares

Greg Harnish, Secretary (Officer), 0 Shares

Eric Sindler, Assistant Secretary (Officer), 0 Shares

- Names (true names) of all persons, other than the officers and directors listed above, that hold

5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**

6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the shareholdings of the corporation: **Share register is with Laura Proniuk in corporate office (Canada); Email: Laura.Proniuk@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Development Investments Inc.
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the Board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the shareholdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
Not applicable
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Not applicable
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed: **Not applicable**

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. **FINANCIAL INFORMATION***

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: (**On file with the board**).
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Craig Fravel, President

Scott Daruty, Senior Vice President

Mike Rogers, Vice President, Operations

Greg Harnish, Assistant Secretary

Rebecca Neimark, Chief Financial Officer

David Duggan, Vice President & General Manager

Eric Sindler, Assistant Secretary & General Counsel, California Racing Operations

William Rizzuto, Assistant General Manager

Cynthia Seidl, Senior Manager of Administration

Cindy Medina, Assistant Controller

Doug Gooby, Mutuel Manager

Nadia Osborn, Director of Marketing

Matt Dinerman, Announcer

Juan Meza, Track Superintendent

Steve Martinelli, Price Maker

William Vassar, Track Photographer

David Seftel, M.D., Track Physician

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).
David Duggan
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
david.duggan@goldengatefields.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
Daily Double **20%**

Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. **N/A**

Year	Handle	Attendance

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution: *Prior meet dates not available*

May 21, 2021

Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Scott:

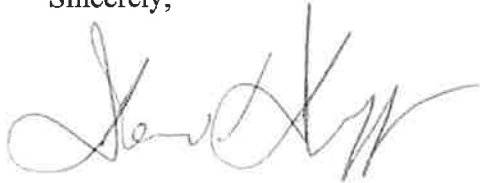
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), in consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA II summer race meet July 23 through August 1, 2021 all inclusive.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's II license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



David Duggan
Vice President & General Manager



May 22, 2021

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields 2021 PRA II Summer Race Meet

Dear Scott,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during its PRA II Summer meet from July 21 – August 3, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Cynthia Seidl



May 22, 2021

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields 2021 PRA II Summer Race Meet

Dear Scott,

Golden Gate Fields has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its PRA II Summer meet from July 21 – August 3, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Cynthia Seidl

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: GARY FENTON
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON

1. All races other than stakes:
Current meet estimate: **\$ 879,744**
Prior meet actual: **\$ 0**

Average Daily Purse (7 A1 ÷ number of days):
Current meet estimate: **\$ 146,624**
Prior meet actual: **\$ 0**

2. Overnight stakes:
Current meet estimate: **\$ 0**
Prior meet actual: **\$ 0**

Average Daily Purse (7 A2 ÷ number of days):
Current meet estimate: **\$ 0**
Prior meet actual: **\$ 0**

3. Non-overnight stakes: **\$ 0**
Prior meet actual: **\$ 0**

Average Daily Purse (7 A3 ÷ number of days):
Current meet estimate: **\$ 0.00**
Prior meet actual: **\$ 0.00**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$ 879,744**
Prior meet actual: **\$ 0**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
Current meet estimate: **0%**
Prior meet actual: **0%**

Average Daily Purse (7 B1 ÷ number of days):
Current meet estimate: **0%**
Prior meet actual: **0%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
Current meet estimate: **\$ 62,181**
Prior meet actual: **\$ 0**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

TOC (NTRA contribution) \$ 1,103
TOC \$ 7,925
CTT (Administration) \$ 7,925
CTT (Pension) \$ 3,962
Total \$ 20,915

Prior meet actual:

TOC (NTRA contribution) \$0
TOC \$ 0
CTT (Administration) \$ 0
CTT (Pension) \$ 0
Total \$ 0

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$ 962,840**

Prior meet actual: **\$ 0**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$ 150,110**

Prior meet actual: **\$ 0**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$ 586,958**

Prior meet actual: **\$ 0**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$ 97,826**

Prior meet actual: **\$ 0**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$ 263,670**

Prior meet actual: **\$ 0**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$ 43,945**

Prior meet actual: **\$ 0**

- H. Bank and account number for the Paymaster of Purses' purse account:

On File

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding

meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached.**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of

***PRA /PRA II/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES***

**Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Casille Batten	510-559-7300
	Dr. Ken Allison	510-559-7300
	Dr. William Grantham *	510-559-7300
	Dr. Kim Kuhlmann	510-559-7300
	Dr. Steve Matuszak	510-559-7300
	Dr. Jerry Parker	510-559-7300
	Dr. Don Smith	510-559-7300
	Dr. Ashton Cloninger *	510-559-7300
	Dr. Kandall Cannon	510-559-7300

* Race day Lasix veterinarian

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Casille Batten PM - Dr. William Grantham
	Fridays	AM - Dr. Casille Batten PM - Dr. William Grantham
	Saturdays:	AM - Dr. Casille Batten PM - Dr. Ashton Cloninger
	Sunday:	AM – Dr. Casille Batten PM – Dr. Ashton Cloninger

TBD – Rotating schedule amongst list from exhibit A

wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information

B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **See attached wagering menu**

C. Maximum Carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Mandatory payout on closing day, August 1, 2021 for the period of July 23, 2021 through August 1, 2021. Additionally, we request that the board approve additional mandatory distributions prior to closing day throughout the meet on the condition that the Executive Director is notified at least seven (7) days before any such mandatory distribution and such notification includes a justification for the distribution and an explanation of the benefit to be derived.**

D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split**

E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: **AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025**

G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

See attached wagering menu

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet
TwinSpires
TVG
NYRABets
BetAmerica

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the Board

C. Have the contract/agreements been approved by the respective horsemen's groups?

Golden Gate Fields Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X	X		X			X
2	X	X	X	X	X	X	X	X			*	X
3	X	X	X	X	X	X	X			*	*	X
4	X	X	X	X	X	X	X	*		*	*	X
5	X	X	X	X	X	X	X	*		*	*	X
6	X	X	X	X	X	X	*	*		*	*	X
7	X	*	X	X	X	*	*	*		*		X
8	X	*	X	X	X	*	*	*		*		X
9	X	*	X	X	X	*	*	*				X
10	X	*	X	X	X	*	*					X
11	X	*	X	X	X	*						X
12	X		X	X	X							X

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(i) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated May 26, 2021 is denied.



May 26, 2021

Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Scott,

This letter is in regards to Pacific Racing Association II's ("PRA") "Application for License to Conduct a Horse Racing Meeting" for the time period of July 21, 2021 through August 3, 2021 (the "Race Meet Application").

As specified in the Race Meet Application, PRA wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition under ARCI 004-105(G)(16)(b) PRA will use to identify a unique ticket. PRA requests that a combination of the two definitions to define unique be used. Specifically, PRA wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) Contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above."

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(i) to define “unique.”

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, “the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.”

PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a “Win All,” not a “no contest.”

PRA also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day’s net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, PRA is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day’s major share (unique share) will be added to day’s minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day’s net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

PRA requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

Yes No

If yes, attach a copy of the approval. **On file with the Board.**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering: **N/A**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
N/A
- C. California simulcast facilities the association proposes to offer its live audiovisual signal: **Attached (Southern California only)**
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: **Attached**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Attached**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **Attached.**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state



May 28, 2021

Mr. Eric Sindler
Santa Anita Racetrack
285 W. Huntington Dr.
Arcadia, CA 91007

RE: PRA II 2021 Summer Race Meeting (July 21-August 3) ADW Approvals

Dear Eric,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Golden Gate Fields (PRA II) race signals under the terms and conditions set forth below.

Pursuant to Section 29, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and PRA II, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, PRA II shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpines, NYRA, BetAmerica, and Watch and Wager to accept wagers on PRA II and other Thoroughbred races during the 2021 summer race meetings, July 21 through August 3, 2021 as follows:

1. California wagering on PRA races:

- a. TVG, XpressBet, TwinSpines, and NYRA may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpines, NYRA, BetAmerica, and Watch and Wager may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: GARY FENTON
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON

2. California wagering on out-of-state Thoroughbred races:

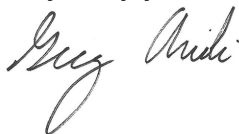
- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, and Watch and Wager may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on PRA races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than % of gross out-of-state handle wagered by out-of-state account holders, plus a % California Purse Fee per SB 1072.
- b. BetAmerica and Watch and Wager shall pay a Host Fee of no less than % of takeout (which includes a % California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, and BetAmerica

Very truly yours,



Greg Avioli, President & CEO

cc: Tom Varela, Cynthia Seidl

Pari-Mutuel Satellite Supervisor Contact List - Day Meets

Southern California Locations:

Location	Bakersfield-Firehouse	Location	OC Tavern Sports Bar and Grill
Address	7701 White Lane	Address	2369 S. El Camino Real
City	Bakersfield, CA 93307	City	San Clemente, CA 92672
Location	Bonita-58 Flat	Location	Original Roadhouse Grill / Santa Maria
Address	4110 Bonita Road	Address	1423 S. Bradley Road
City	Bonita, CA 91902	City	Santa Maria, CA 93454
Location	Cabazon-Fantasy Springs Casino	Location	Sammy's Original
Address	84-245 Indio Springs Drive	Address	23221 Lake Center Drive
City	Indio, CA 92201	City	Lake Forest, CA 92630
Location	Commerce Casino Racebook	Location	Santa Anita
Address	6131 East Telegraph Road	Address	285 W. Huntington Drive
City	Commerce, CA 90040	City	Arcadia, CA 91007
Location	Fairplex Park	Location	Santa Clarita
Address	2201 North White Avenue	Address	21615 W. Soledad Canyon Road
City	Pomona, CA 91768	City	Saugus, CA 91350
Location	Hollywood Park	Location	Striders
Address	3383 W. Century Blvd.	Address	100 Harbor Drive, Suite 100
City	Inglewood, CA 90303	City	San Diego, CA 92101
Location	Lake Elsinore Hotel & Casino	Location	Surfside Race Place at Del Mar
Address	20930 Malaga Road	Address	2260 Jimmy Durante Blvd
City	Lake Elsinore, CA 92530	City	Del Mar, CA 92014
Location	Lake Perris-Sports Pavillion at The Farmer's Fair	Location	Thousand Oaks -Tilted Kilt
Address	18700 Lake Perris Drive	Address	1345 E. Thousand Oaks Blvd.
City	Perris, CA 92571	City	Thousand Oaks, CA 91360
Location	Lancaster-Watch and Wager, Antelope Valley Fair	Location	Ventura-The Derby Club
Address	2551 West Avenue - H	Address	10 West Harbor Blvd.
City	Lancaster, CA 93536	City	Ventura, CA 93001
Location	Los Alamitos	Location	Victorville-San Bernardino Fair Sports Pavilion
Address	4961 Katella Avenue	Address	14800 7th Street
City	Los Alamitos, CA 90720	City	Victorville, CA 92395
Location	National Orange Show-Sports Show	Location	Viejas Casino & Turf Club
Address	930 South Arrowhead Avenue	Address	5000 Willows Road
City	San Bernardino, CA 92408	City	Alpine, CA 91901
Location	Ocean's 11	Location	Pechanga Resort and Casino
Address	121 Brooks Street	Address	45000 Pechanga Pkwy
City	Oceanside, CA 92054	City	Temecula, CA 92592

2021 Import Tracks	
Arlington	Lone Star
Assiniboia	Louisiana Downs
Australia Racing	Mahoning Valley
Belterra	Monmouth Park
Canterbury	Mountaineer Park
Century Downs	Northlands
Century Mile	NYRA - Aqueduct, Belmont, Saratoga
Charles Town	Oaklawn Park
Churchill Downs	Parx (Philly Park)
Delaware Park	Penn National
Delta Downs	Pimlico
Downs at Albuquerque	Prairie Meadows
Ellis Park	Presque Isle
Emerald Downs	Retama Park
Evangeline Downs	South America Racing
Fair Grounds	Sunland Park
Fort Erie	Sunray Park
Gulfstream Park	Tampa Bay Downs
Gulfstream Park West	Thistledown
Hastings Park	Turf Paradise
Hawthorne	Turfway Park
Indiana Grand	United Kingdom
Keeneland	Woodbine
Kentucky Downs	Zia Park
Laurel Park	Hong Kong

“selected feature and/or stakes races”:

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Forwarded to the Board upon receipt**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**

- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
Association Veterinarian(s)

Cassile Batten, D.V.M.
William Grantham, D.V.M.
Ashton Cloninger, D.M.V.
Mike Parker, D.M.V.
Ken Sjordal
Kenneth Fowler
Tina Walker-Bryant
Lisa Jones
Darrell Sparks
Agustin Rayas
Linda Anderson
Kenneth Fowler
Lisa Wilkerson
Jerry Stone
Todd Stephens
Jelina Pike

Clerk of Scales
Assistant Clerk of Scales
Clerk of the Course
Placing Judge/Stakes Coordinator
Horse Identifier
Horseshoe Inspector
Paddock Judge
Patrol Judge/Steward's Aide
Placing Judge
Patrol Judge/Placing Judge
Starter
Timer

- B. Management officials in the racing department:

Director of Racing **Patrick Mackey**
Racing Secretary **Patrick Mackey**
Assistant Racing Secretary **Steve Martinelli & Linda Anderson**
Paymaster of Purses **Claudia Dominguez**

Others (identify by name and title)

Main Track Superintendent **Juan Meza**
Turf Track Superintendent **Hugo Sandoval**
Price Maker **Steve Martinelli**
Stable Superintendent **Carrie Fawcett**
Steward's Secretary/Horsemen Liaison **Devon Dunham**

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339
- D. Photographic device to be used for photographing the finish of all races, name of the person

supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2021.**

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Contract valid through October 5, 2021.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **GPS timing system; Axcis Information Network, Inc., dba TrackMaster, an Equibase Company; expires December 31, 2021.**

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

William Rizzuto, Assistant General Manager is responsible for security on-site
Security Department organizational attached
Security Department phone number (510) 559-7551
Stable Security phone number (510) 542-4235 or (510) 559-7525
William Rizzuto cell phone number (775) 846-8484

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Assistant General Manager 7 – Security Corporals/Guards
2 – Security Lieutenant 8 – Stable Security Officers
3 – Security Sergeants 5 – Stable Gate Personnel
1 – Stable Security Sergeant 5 – Fire Guards
1 – Fire Guard Lieutenant

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

- B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

- C. Describe number and location of surveillance cameras in detention stall area.

Entire surveillance camera system in the barn area has been upgraded by Geovision in June 2019 to include extended memory of 90 days. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. There are a total of 25+ cameras strategically placed throughout the barn area.

**Attachment to PRA / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole

3/8 Pole

1/4 Pole

Paddock

Paddock (Gliding)

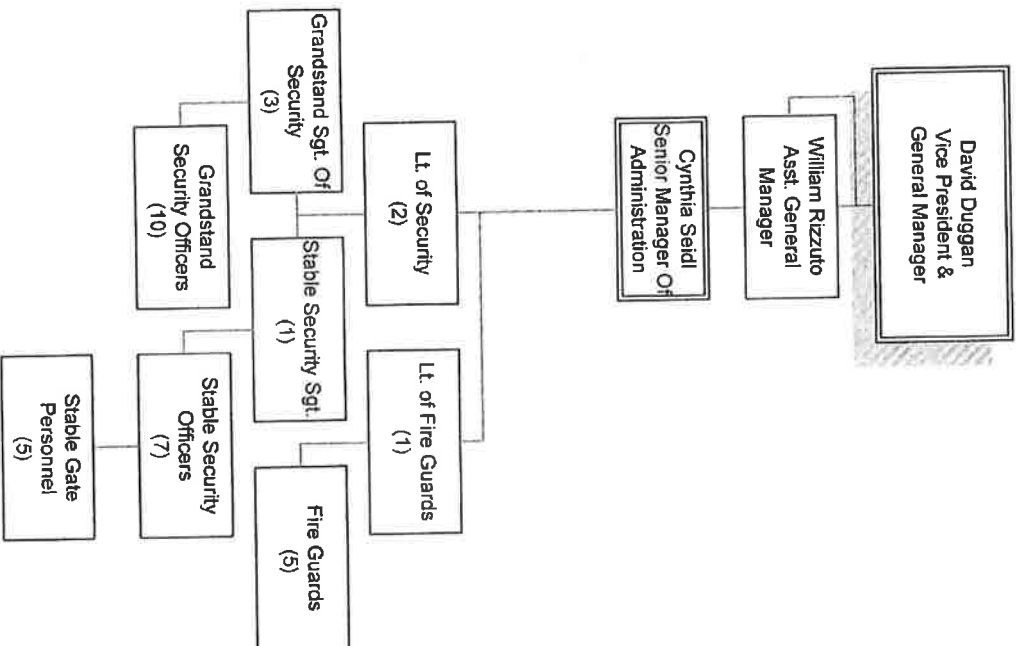
(2) Pan Cameras on Roof

Jib camera in Paddock

Starting Gate (Robo)

Parking Lot (Robo-scenic)

PACIFIC RACING ASSN / GOLDEN GATE FIELDS
 SECURITY DEPARTMENT ORGANIZATIONAL CHART
 ATTACHMENT 15A



Receiving Barn includes four (4) fixed cameras placed over designated stalls, one (1) 360° fish-eye camera in center of walking ring, and (2) fixed cameras outside on east side of Receiving Barn.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.
Contractual obligations with TOC and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.
In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Entire surveillance camera equipment in the barn area upgraded by Geovision in June 2019. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot surveillance include the following: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate (2 cameras facing incoming and 1 camera facing outgoing), one (1) camera located on roof of Stable Security Office looking out into barn area, two (2) cameras in O/T parking lot parking lot, three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance, and numerous cameras surveilling roadways, Racing Office, CHRB office, gap gate/chute, etc.

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546, (510) 581-8470

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**

1. Attach a certification from the ambulance service (s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

N/A

TURF RESCUE
AMBULANCE SERVICE, LLC



April 20, 2021

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

RE: Turf Rescue, LLC, Licensed Personnel in 2021

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions, please feel free to contact me.

Sincerely,

A handwritten signature in cursive script that reads "Brad Winding". The signature is written in dark ink and is positioned above the typed name.

Brad Winding, Owner
Turf Rescue, LLC

Brad Winding, Owner
Turf Rescue Ambulance Service, LLC
1544 Winkle Drive, Chico, CA 95926
Email: turfrescue@att.net
H: (530) 896-1189
C: (510) 813-8470

- C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:
Dr. David Seftel
Office Number: 510-559-7375, Cell Number: 650-520-6204
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st Street, Oakland, CA 94602 (510) 437-4865
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Environmental, Health & Safety
Bill Rizzuto, Assistant General Manager serves as Assistant Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- **Albany fire clearance letter attached valid through December 31, 2021**
- **Berkeley Fire clearance letter issued September 22, 2020. Valid until next scheduled inspection**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-019 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI is valid through June 30, 2021; will forward new COI to Board upon issuance.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all



**DESCRIPTION OF GGF MEDICAL CLINIC
(LIVE RACING)**

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director (“Track Physician”).

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon Request from track management or CHRB.

EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING- FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.

PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.



Department of Fire and Emergency Services
Division of Fire Prevention

September 22, 2020

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 (main office)
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Thursday, July 23, 2020, the City of Berkeley Fire Prevention Division Inspectors conducted an annual inspection of the barns and buildings located on the Berkeley, CA, portion of Golden Gate Fields for compliance with Berkeley Fire Code requirements (Berkeley Municipal Code Chapter 19.48). Some fire code violations were found. Re-inspections were completed on Thursday, September 3, 2020, and Monday, September 21, 2020. Photo documentation of the remaining repairs were sent on Tuesday, September 22, 2020. Upon re-inspection of the facilities, it was found that there were no outstanding fire code violations. Golden Gate Fields is hereby granted a fire clearance.

This fire clearance establishes a reasonable standard of fire safety as addressed in Title 4, Division 4, Article 17, Section 1927 Fire Prevention of the California Code of Regulations.

These facilities are considered to be compliant with the fire code until the next scheduled inspection unless and until we receive information to the contrary. Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thank you and the entire Golden Gate Fields team in working diligently to improve the fire & life safety of the barns and stables.

Sincerely,

Dori Tieu
Interim Deputy Fire Marshal, City of Berkeley Fire Department

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT
1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/Fire

December 10, 2020

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing association/Golden Gate Fields
1100 Eastshore Highway
Albany California 94706

Mr. Roenbeck,

Fire inspector Thomas Peters from the City of Albany Fire Prevention Department together with Golden Gate Fields Prevention Supervisor conducted a Life and Safety inspection on December 10, 2020. Public areas within the Grandstand and the associated facilities were evaluated for preparation of the 2021 racing season. Clearance for the facility is granted for all aspects of your operation.

Please note that operations beyond the scope of normal racetrack activities may require additional inspections with additional fees.

Please feel free to contact our office with any questions.

Thomas Peters
Fire Prevention Inspector

City of Albany
1000 San Pablo Ave.
Albany, CA 94706

GOLDEN GATE FIELDS

May 26, 2021

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Pacific Racing Association – Rule 1927 Exemption Request

Dear Mr. Chaney,

As has been previously discussed, the City of Berkeley Fire Department is not able to conduct more than one inspection per year at Golden Gate Fields. Pacific Racing Association has previously submitted to the board the Berkeley Fire Department's clearance letter dated September 22, 2020.

Pacific Racing Association II is requesting an exemption from the requirement of Rule 1927 that the fire authority having jurisdiction over the barn area of Golden Gate Fields perform an inspection within 45 days prior to the commencement of the race meeting.

Please let me know if you have any questions.

Sincerely,



David Duggan

times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Armored Car **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**

Track Physician **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**

Official Program **Wesco Graphics Inc., 410 East Grant Line Rd, Tracy, CA 95376**

Starting Gate **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**

Track Photographer **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the Board**

B. Promotional/ Marketing budget for this race meeting: **On file with the Board**

Promotional/Marketing budget for prior race meeting: **On file with the Board**

C. Number of hosts and hostesses employed for meeting: Staff TBD

D. Describe facilities set aside for new fans: (Facilities availability dependent on Covid restrictions)
Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Xpressbet Customer Service – An Xpressbet ambassador is trained to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
 - **Replaced turf course rail (inside and outside) with PVC by Duralock**
 - **Capital barn roofing improvements in the barn area**
 - **Updated all barn area lavatory facilities with touchless sanitizing equipment**
 - **Continuation of laundry service for the Jockey’s Room by GGF**
 - **Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December**

- Continual roadway, horse paths, and barn repairs
- Replaced clubhouse turn track fence
- Installation of added safety light during training hours
- Added Owner/Trainer seating area near Winners Circle equipped with heaters

2. Fans

- Upgraded entire surveillance system; total of 106 cameras installed throughout the frontside facility
- Created new family area on A Deck complete with fencing, artificial turf etc. (Golden Gate Green)
- Hired Roaming Ambassadors to assist fans with questions regarding wagering and contactless system
- Remodeling Paddock Pub with projection screen TV, furniture, and flooring
- Providing tours of the front side facility to fans upon request
- Continual parking lot and roadway repairs
- Added contactless Food & Beverage delivery ordering system "GoTab"
- Purchased Daktronics big screen to be installed in the infield for enhanced viewing of races and local sports games in between races

3. Facilities in the restricted areas Equine Hospital

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00
Admission (club house)	\$10.00
Admission (turf club)	\$12.00 Weekdays
Admission (turf club)	\$15.00 Weekends/Holidays
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 6.00
Parking (preferred)	\$12.00
Parking (valet)	\$12.00
Programs (on-track)	\$ 2.75
(off-track)	\$ 2.75

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00
21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

- C. Describe any "package" plans such as combined parking, admission and program:
Designated Group Sales packages include parking, admission, program, and buffet

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

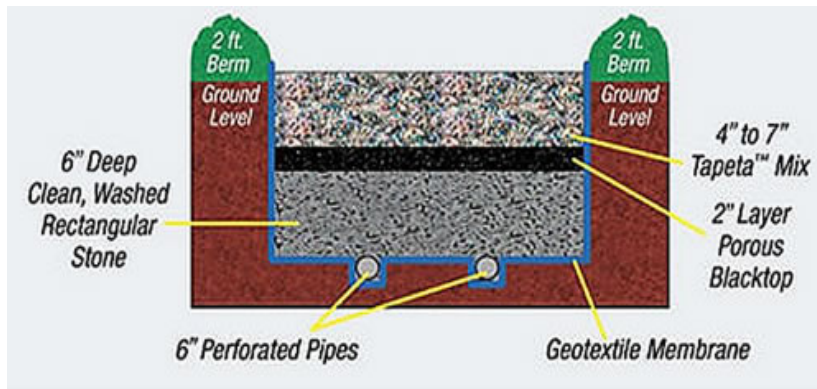
- B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by (name) _____ on (date) to be completed before the meet.
CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett
- B. Number of rooms used for housing on the backstretch of the racetrack: **140**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Tapeta ® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are PVC by Duralock. Approximate height of the top of the inner railing is 50 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On File
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC – Agreement will be forwarded to Board upon completion.
CTT – If an agreement is reached, it will be forwarded to the board upon receipt.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the board**

- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen’s agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file with the Board.**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days’ notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

David Duggan

Print Name

David Duggan

Signature

GM / VP

Print Title

5-27-2021

Date

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Pacific Racing Association dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-18	Jun 30, 2020 to Jun 30, 2021	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-010	Jun 30, 2020 to Jun 30, 2021	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease


Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Matthew Warnholtz
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CALIFORNIA HORSE RACING BOARD

June 16, 2021

REGULAR BOARD MEETING

There is no board package material for Item 12A

CALIFORNIA HORSE RACING BOARD

June 16, 2021

REGULAR BOARD MEETING

There is no board package material for Item 12B

CALIFORNIA HORSE RACING BOARD

June 16, 2021

REGULAR BOARD MEETING

There is no board package material for Item 13

CALIFORNIA HORSE RACING BOARD

June 16, 2021

REGULAR BOARD MEETING

There is no board package material for Item 14