



**UC DAVIS**  
**VETERINARY MEDICINE**

105 W. Central Avenue, San Bernardino,  
CA 92408-2113  
(909) 383-4287

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CAHFS Accession #: [REDACTED]

**FINAL REPORT**

Ref.#: [REDACTED]

Coordinator: Monika Samol, DVM, Resident

E-Signed and Authorized by: Samol, Monika on  
1/29/2019 10:21:19AM

**Email To:**  
ARTHUR, RICK  
RMARTHUR@UCDAVIS.EDU

**Incident Track:**  
SANTA ANITA RACETRACK  
285 West Huntington Road,  
Arcadia CA 91007  
Los Angeles County

**This report supersedes all previous reports for this case**

**Date Collected:** 12/30/2018    **Date Received:** 12/31/2018

**Comments:** CHRB  
NEED [REDACTED]

**Case Contacts**

|               |                                   |              |                           |                |    |       |
|---------------|-----------------------------------|--------------|---------------------------|----------------|----|-------|
| Submitter     | GRANDE, TIM                       | [REDACTED]   | [REDACTED]                | Arcadia        | CA | 91007 |
| Bill To       | CALIFORNIA HORSE RACING BOARD     | 916-263-6000 | 1010 Hurley Way Suite 300 | Sacramento     | CA | 95825 |
| Report To     | UZAL, FRANCISCO                   | [REDACTED]   | [REDACTED]                | San Bernardino | CA | 92408 |
| Report To     | ARTHUR, RICK                      | [REDACTED]   | [REDACTED]                | Sierra Madre   | CA | 91024 |
| Attending Vet | BROKKEN, TODD                     | [REDACTED]   | [REDACTED]                | Sierra Madre   | CA | 91025 |
| Trainer       | Todaro/Hollendorfer, George/Jerry | [REDACTED]   | [REDACTED]                | Richmond       | CA | 94801 |

**CHRB - Related Information**

|               |                    |                    |              |
|---------------|--------------------|--------------------|--------------|
| Horse's Name: | [REDACTED]         | Human Injury?      | No           |
| Tattoo:       | [REDACTED]         | Death Related to:  | Race         |
| Age:          | 4.00 Years         | Track Surface:     | Dirt         |
| Gender:       | Neutered Male      | Location on Track: | 1/2 MILE POL |
| Taxonomy:     | Thoroughbred Horse | Insured?           | N            |

Medications: Dormosedan (Detomidine); Pentobarbital;

**Laboratory Findings/Diagnosis**

A 4 year old [REDACTED] Thoroughbred [REDACTED] ([REDACTED]) with history of left front biaxial proximal sesamoid bone fracture with associated suspensory apparatus failure

**LEFT FRONT**

Catastrophic fetlock failure with:

**ACUTE CHANGES**

1. Fracture of the proximal sesamoid bones (PSB)
  - a. medial PSB fracture: articular, complete, transverse, displaced, simple, mid-body
  - b. lateral PSB fracture: articular, complete, transverse, displaced, simple, apical with abaxial, comminuted component avulsed with lateral branch of suspensory ligament
2. Full thickness, transverse rupture of the palmar annular ligament

3. Full thickness, transverse rupture of palmar annular ligament
4. Full thickness, transverse and longitudinal rupture of the intersesamoidean ligament
5. Marked, transverse incomplete tearing, fraying of fibers and hemorrhage of the superficial digital flexor tendon
6. Severe fraying of fibers, longitudinal splits and hemorrhage of the deep digital flexor tendon
7. Severe fraying of fibers of the lateral and medial short and cruciate ligaments
8. Severe fraying of fibers and incomplete transverse rupture of the lateral and medial collateral ligaments of the proximal sesamoid bones
9. Mild fraying of fibers of the medial and lateral collateral ligaments of fetlock
10. Severe, longitudinal, full-thickness split and fraying of fibers of the distal straight sesamoidean ligament
11. Mild fraying of the lateral and medial oblique distal sesamoidean ligaments
12. Severe fraying of fibers and complete longitudinal split of the lateral branch of the suspensory ligament
13. Severe fraying of fibers, complete transverse rupture and multiple, short incomplete splits of the medial branch of the suspensory ligament
14. Severe scoring of the distal articular surface of MCIII
15. Moderate, focal, triangle-shaped cartilage ulceration of the distal articular surface of MCIII
16. Moderate scoring of the proximal articular surface of P1

#### CHRONIC CHANGES:

1. Severe palmar osteochondrosis with focal, biaxial, blue subchondral bone discoloration visible through the flattened cartilage of the distal articular surface of MCIII
2. Moderate lipping of the palmar aspect of the proximal articular surface of P1
3. Severe, biaxial, red cartilage discoloration adjacent to the sagittal groove of the proximal surface of P1
4. Severe, very deep erosion of the dorsal articular margin of the proximal articular surface of P1
5. Moderate, longitudinal, blue subchondral bone discoloration visible through the cartilage of the proximal articular surface of the third carpal bone

#### RIGHT FORELIMB

#### CHRONIC CHANGES

#### FETLOCK

Mild to moderate degenerative/osteoarthritic changes

1. Severe palmar osteochondrosis with focal, biaxial, blue subchondral bone discoloration visible through the flattened cartilage of the distal articular surface of MCIII
2. Moderate fibrillation of the transverse ridge on the distal articular surface of MCIII
3. Mild, biaxial, apical, irregular bony outgrowth of the proximal sesamoid bones
4. Moderate scoring lines of the articular surfaces of the proximal sesamoid bones
5. Mild lipping of the dorsal and palmar aspect of the proximal articular surface of P1
6. Mild, biaxial, cartilage ulceration of the dorsal aspect of the proximal articular surface of P1

#### Other findings

- Moderate, multifocal gastric hyperkeratosis with moderate to severe, multifocal to coalescing, non-glandular gastric ulceration along the margo plicatus (incidental)
- Pulmonary congestion and edema (euthanasia artifact)
- Splenomegaly (euthanasia artifact)

### Case Summary

1/10/19: The most important findings in the left forelimb are biaxial fractures of the proximal sesamoid bones. The injuries of the proximal sesamoid bones resulted in loss of support of the fetlock joint of the left forelimb. The aforementioned fractures may be related to the focal region of discoloration and bone porosity/osteopenic focus associated with the fracture surfaces in the medial proximal sesamoid bone. Changes of similar nature could not be localized in proximal sesamoid bones of the contralateral limb.

12/31/18 No significant findings were identified in visceral organs. At the time of necropsy, both front limbs were removed and saved for detailed examination at a later date. Results of this examination will be included in the next version of this report.

**Clinical History**

Left foreleg: Biaxial proximal sesamoid bone fractures (medial PSB - midbody; lateral PSB - apical) with associated suspensory apparatus failure.

**Gross Observations**

Necropsy of a 4 year old, [REDACTED] Thoroughbred [REDACTED] ([REDACTED]) with a [REDACTED] [REDACTED] and tattoo [REDACTED] is commenced at 9:00 am, December 31, 2018. The carcass is in good nutritional condition, with appropriate musculature development, good deposits of adipose tissue, and in mild post-mortem decomposition. The trachea contains a marked amount of stable foam, the lungs are mottled pink to red, spongy and wet (euthanasia artifact). The spleen is markedly enlarged and congested (euthanasia artifact). The stomach contains green, soft roughage and grain particles. Non-glandular gastric mucosa along the margo plicatus is moderately hyperkeratotic with multifocal (app. 1 cm- diameter) to coalescing (app. 3-4 cm x 6-7cm) , deep ulcers. The intestinal tract is unremarkable, and the small colon contains formed feces. Both front limbs are removed at the level of the chestnut for further examination.

**CHRB Musculoskeletal**

Both front limbs were examined distally from the chestnut. Following changes were seen:

**LEFT FRONT****A- CARPUS**

1. Moderate periarticular lipping of the distal articular surface of radius
2. Moderate, focal, rounded (ca. 1 cm in diameter) cartilage ulceration on the proximal articular surface of radial carpal bone. The defect is covered by thin layer of pink connective tissue.
3. Mild to moderate, longitudinal cartilage ulceration (along the dorsal articular margin, ca. 4 cm long) on the distal articular surface of radial carpal bone
4. Moderate, longitudinal (along the dorsal articular margin, ca. 3cm), blue subchondral bone discoloration visible through the cartilage of the proximal articular surface of the third carpal bone
5. Moderate periarticular lipping of the bones constituting the proximal and distal carpal rows

**B- PROXIMAL SESAMOID BONES**

1. Fracture of the proximal sesamoid bones (PSB)
  - a. medial PSB fracture: articular, complete, transverse, displaced, simple, mid-body
  - b. lateral PSB fracture: articular, complete, transverse, displaced, simple, apical with abaxial, comminuted component avulsed with lateral branch of suspensory ligament. The avulsed fragment is divided into three, roughly equal fragments.

A region of increased porosity is present at the abaxial aspect of the articular surface on both opposing fracture surfaces of the medial proximal sesamoid bone. The fracture line propagates through subchondral focus of marked dark red/brown discoloration surrounded by highly compacted trabecular bone (sclerosis) and adjacent to the cartilage of the articular surface of medial proximal sesamoid bone. The subchondral bone of the lateral proximal sesamoid bone and the trabecular bone adjacent to the flexor surface appear to be highly compacted (sclerotic) on both opposing surfaces of the fracture.

For better visualization of described fractures, please see attached pictures and drawings.

2. Severe scoring of the articular surfaces of the proximal sesamoid bones

**C- SOFT TISSUES**

1. Full thickness, transverse rupture of the palmar annular ligament
2. Full thickness, transverse and longitudinal rupture of the intersesamoidean ligament- Y-shaped, propagates in a crescent direction at the level of the fracture lines and continues distally in a longitudinal direction between the PSBs, to merge with a complete tear of the the distal straight sesamoidean ligament
3. Marked, transverse incomplete tearing (medial aspect, ca. 3 cm long), fraying of fibers and hemorrhage of the superficial digital flexor tendon at the level of the proximal sesamoid bones
4. Severe fraying of fibers, longitudinal splits and hemorrhage of the deep digital flexor tendon at the level of the fetlock
5. Severe fraying of fibers of the lateral and medial short and cruciate ligaments
6. Severe fraying of fibers and incomplete transverse rupture of the lateral and medial collateral ligaments of the proximal sesamoid bones
7. Mild fraying of fibers of the medial and lateral collateral ligaments of fetlock

8. Mild fraying of the lateral and medial oblique distal sesamoidean ligaments
9. Severe fraying of fibers and complete transverse rupture of the lateral branch of the suspensory ligament- the transverse rupture is a continuation of the fracture line propagating through the proximal sesamoid bones. Adjacent to the rupture there are multiple, short, incomplete splits. The part of the branch not affected by severe changes is moderately thickened.
10. Severe fraying of fibers, complete longitudinal split of lateral branch of the suspensory ligament progressing for ca. 3 cm proximally from the insertion on the lateral proximal sesamoid bone, then it turns into multiple incomplete splits coursing towards the bifurcation
11. Severe synovial hypertrophy with red discoloration underneath the bases of the proximal sesamoid bones

#### D- MCIII

1. Severe scoring of the distal articular surface of MCIII
2. Severe palmar osteochondrosis with focal, biaxial, blue subchondral bone discoloration visible through the flattened cartilage of the distal articular surface of MCIII
3. Moderate, focal, triangle-shaped ( app. 0.5 cm x 1 cm) cartilage ulceration between the mid sagittal ridge and transverse ridge of the distal articular surface of MCIII
4. Moderate hemorrhage accompanied by soft tissue hypertrophy at the palmar aspect of the supracondylar region of MCIII
5. Moderate hemorrhage and soft tissue erosion with bone exposure at the dorsal aspect of the supracondylar region of MCIII

#### E- P1

1. Moderate lipping of the palmar aspect of the proximal articular surface of P1
2. Severe, biaxial, red cartilage discoloration adjacent to the sagittal groove of the proximal surface of P1
3. Severe, biaxial, very deep erosion of the dorsal articular margin of the proximal articular surface of P1- there is no cartilage and subchondral bone left, only rough, darkly discolored (greyish) trabecular bone

#### RIGHT FRONT

##### A - CARPUS

1. Mild periarticular lipping of the distal articular surface of radius
2. Mild, focal, rounded (ca. 1 cm in diameter) cartilage ulceration on the proximal articular surface of radial carpal bone. Thin layer of pink connective tissue covers the defect.
3. Mild to moderate, longitudinal cartilage ulceration (along the dorsal articular margin, ca. 3-4 cm long) on the distal articular surface of radial carpal bone
4. Mild, subtle, focal, blue subchondral bone discoloration visible through the cartilage of the proximal articular surface of the third carpal bone- located centrally, app. 3 cm in diameter
5. Mild to moderate periarticular lipping of the bones constituting the proximal and distal carpal rows

##### B- PROXIMAL SESAMOID BONES

1. Moderate scoring lines on articular surface of proximal sesamoid bones
2. Moderate, biaxial, apical, irregular bony outgrowth of the proximal sesamoid bones

#### C- MCIII

1. Severe palmar osteochondrosis with focal, biaxial, blue subchondral bone discoloration visible through the flattened cartilage of the distal articular surface of MCIII
2. Moderate fibrillation of the transverse ridge on the distal articular surface of MCIII
3. Mild hemorrhage accompanied by soft tissue hypertrophy at the palmar aspect of the supracondylar region of MCIII
4. Mild hemorrhage and soft tissue erosion with bone exposure at the dorsal aspect of the supracondylar region of MCIII

#### D- SOFT TISSUE

1. Moderate synovial thickening in the fetlock joint

#### E- P1

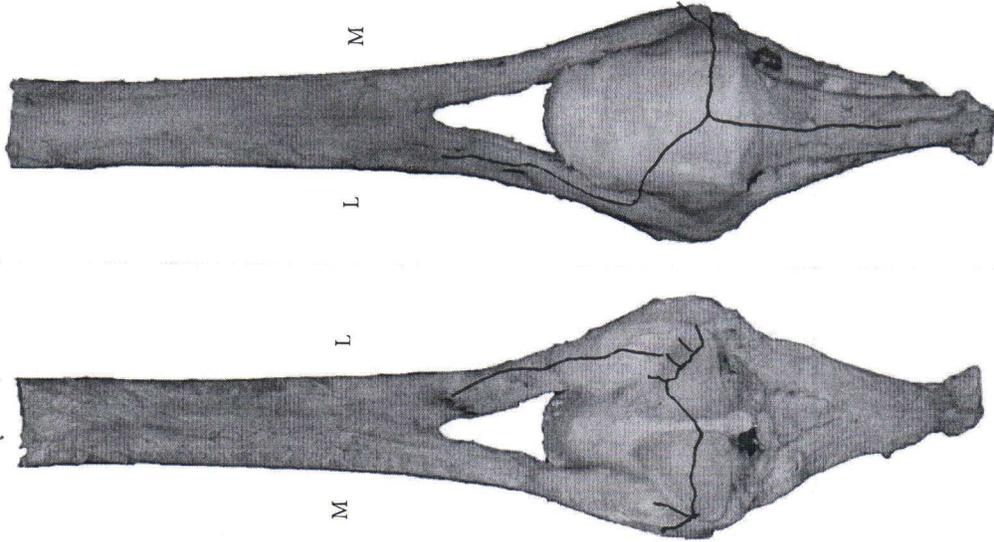
1. Mild lipping of the dorsal and palmar aspect of the proximal articular surface of P1
2. Mild, biaxial, cartilage ulceration of the dorsal aspect of the proximal articular surface of P1

No gross lesions/ abnormalities were identified in other bones of both distal front limbs examined from the chestnut to the hoof.

Accession #  
 CC: MMS  
 Date: 01/09/18

Left Fetlock

Please circle affected leg  
 foreleg  
 hindleg



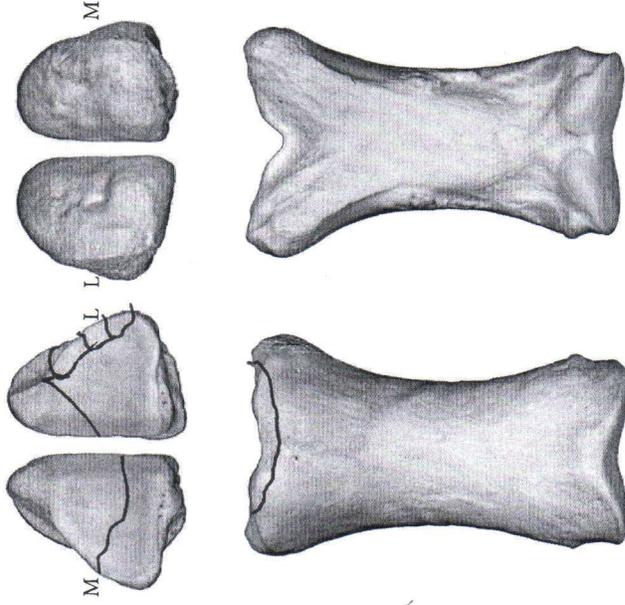
Susp. App. (dorsal)

Susp. App. (palmar/plantar)

Open wound? Yes  No

Joint capsule intact? Yes  No

Joint luxated? Yes  No



**Involved Structures**

SDF tendon:  Yes  No DDF tendon:  Yes  No

Suspensory ligament:  Yes  No

SL Medial branch  SL Lateral branch  SL Body

Intersesamoid ligament:  Yes  No  
 Longitudinal  Transverse

Distal Sesamoid ligaments:  Yes  No (straight and/or oblique)

Collateral ligaments:  Yes  No

Collateral Sesamoid Ligaments:  Yes  No

Cruciate and/or Short Sesamoid Ligaments:  Yes  No

# Exercise History Report (Full)



**UCDAVIS**

**VETERINARY MEDICINE**

*J.D. Wheat Veterinary Orthopedic  
Research Laboratory*

**Mar-11-2019**

## **Exercise History Report (Full)**

### **J.D. Wheat Veterinary Orthopedic Research Laboratory**

This report summarizes the high speed exercise history for Case Horse. There are four parts to this report:

Part 1 is a graph that depicts the races and officially recorded high speed workouts for Case Horse over the horse's career. The graph is useful for visually assessing features of a horse's career like: career length, periods of layup, and exercise consistency. If Case Horse had zero recorded high-speed exercise events, this graph is not produced. Event histories for three breed, sex, age, and event-matched control horses are also plotted.

Part 2 includes graphs which illustrate Case Horse's exercise history alongside that of Control Horses. These graphs are useful for visually comparing periods of layup and specific rates of exercise in the horses' exercise histories.

Part 3 is a chronological listing of races and officially timed works beginning with the most recent event (race or work).

Part 4 is a chart that allows comparison of exercise variables between Case Horse and other racehorses of similar age, sex, and breed that did not die at the same time from an injury. Similar to comparing the results of a blood test to a range of normal values, the values for Case Horse can be assessed in the context of a normal range for 95% of a sample of similar racehorses that did not die during the same time as Case Horse.

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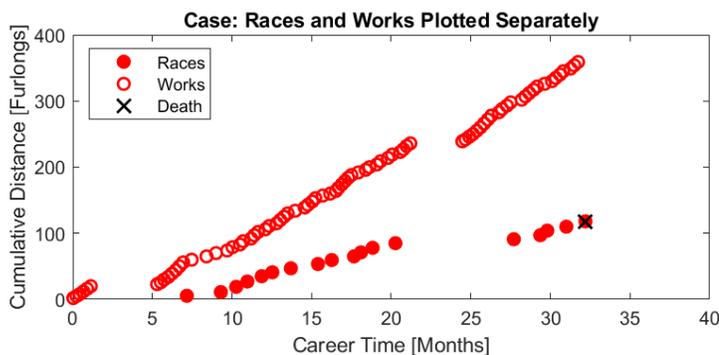
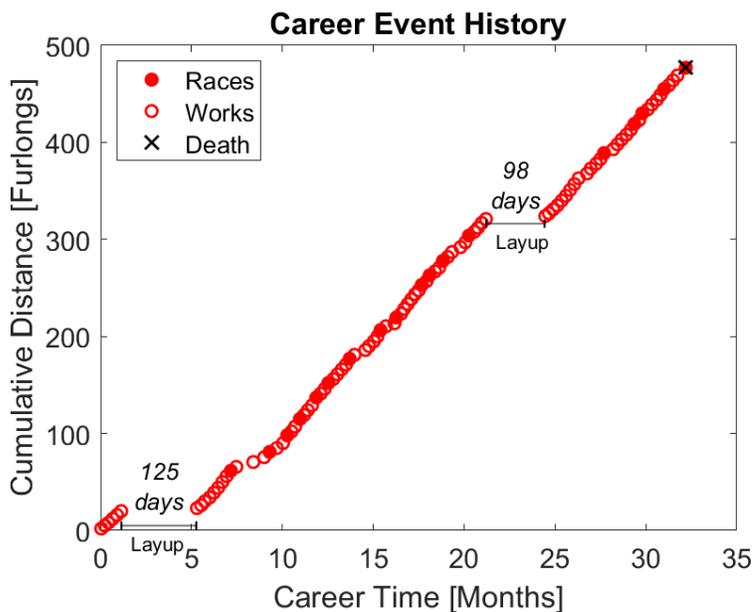
|   |    |
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## Part 1: Graphical Representation of Individual High-Speed Exercise Histories

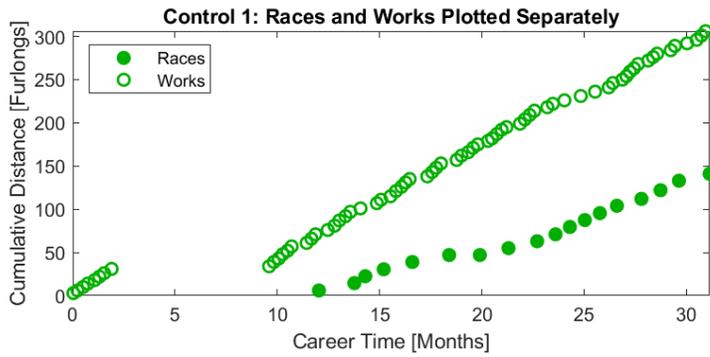
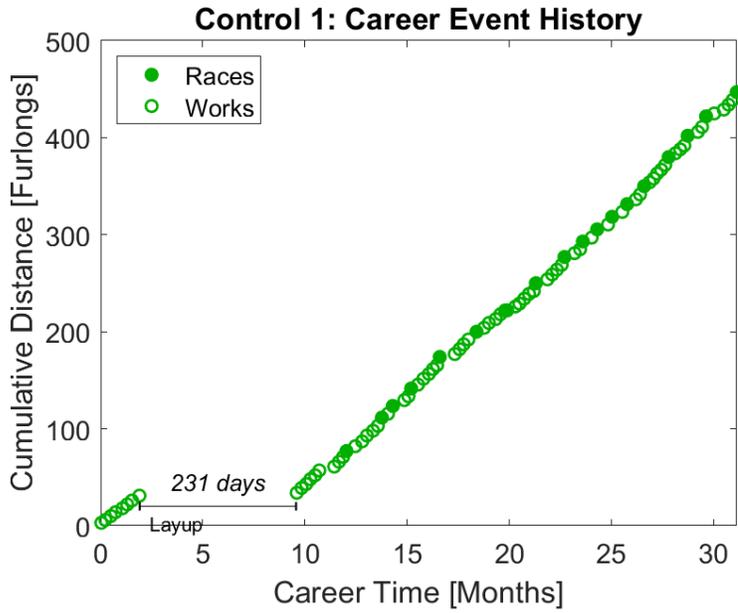
Races (filled circles), officially timed high-speed works (open circles), layups (line with endcaps, periods of time greater than 60 days in length without a race or timed work), and time of death (X) are illustrated over time (Career Time in months). With each event (race or work), the number of furlongs the horse exercised in that event is added to the number of furlongs exercised in all previous events.

### Case Horse High Speed Exercise History

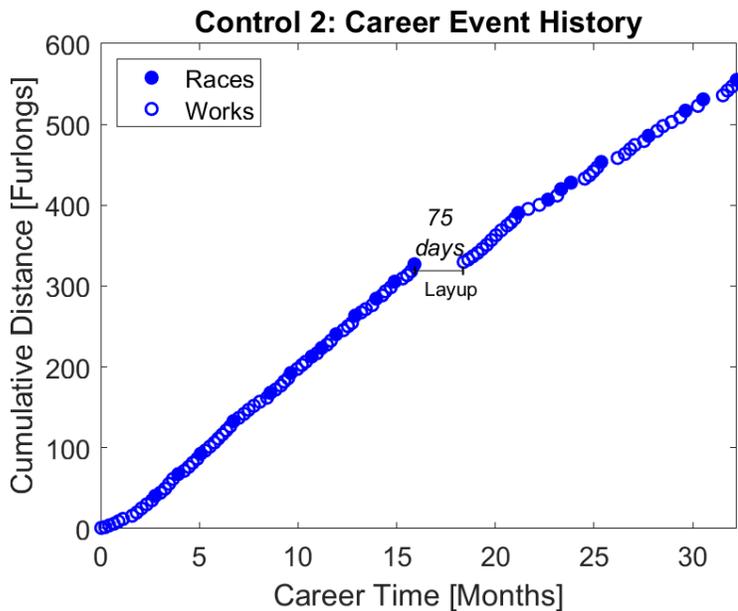


Part 1: Graphical Representation of Individual High-Speed Exercise Histories

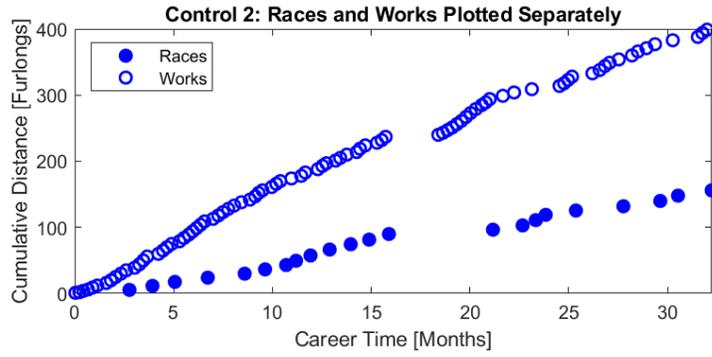
Control 1 High Speed Exercise History



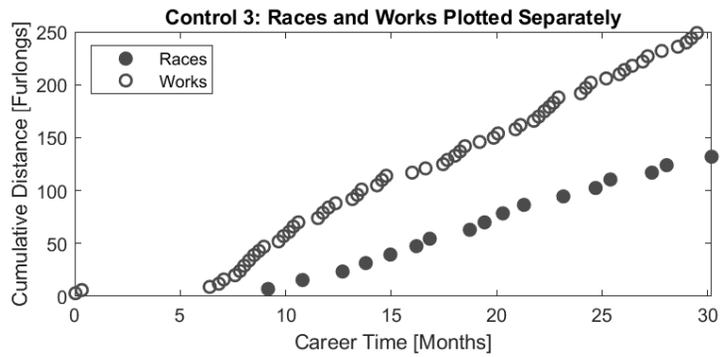
Control 2 High Speed Exercise History



# Part 1: Graphical Representation of Individual High-Speed Exercise Histories

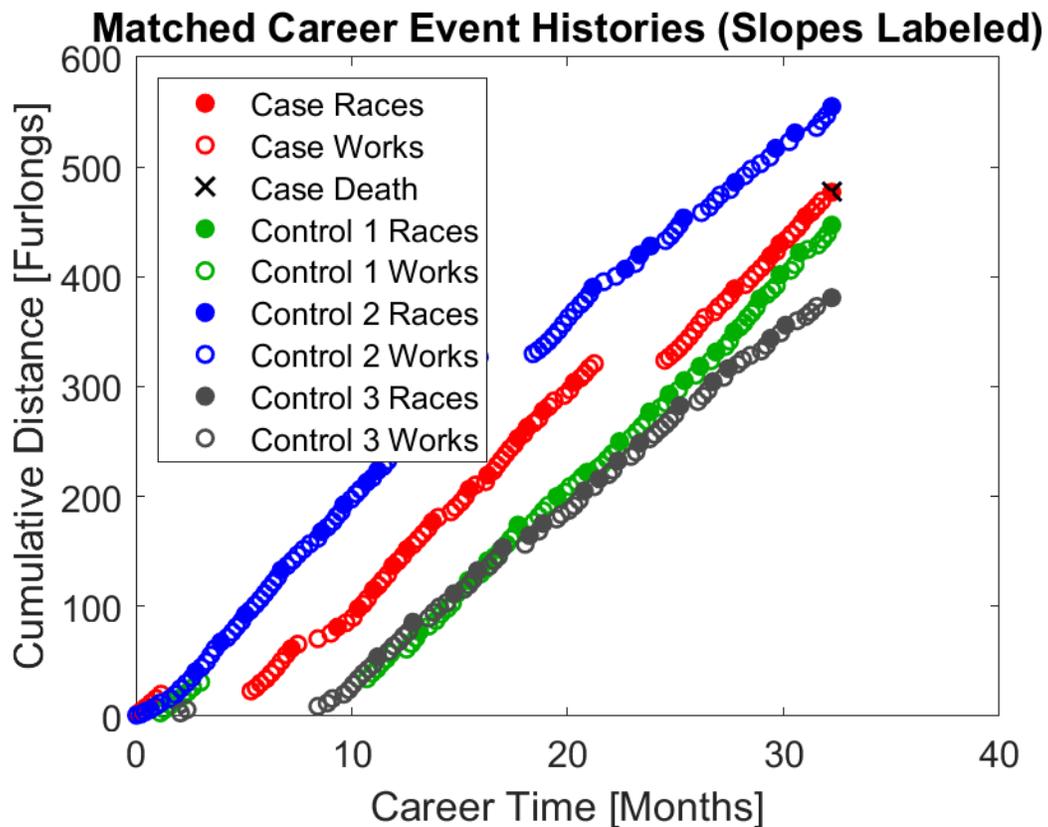


## Control 3 High Speed Exercise History



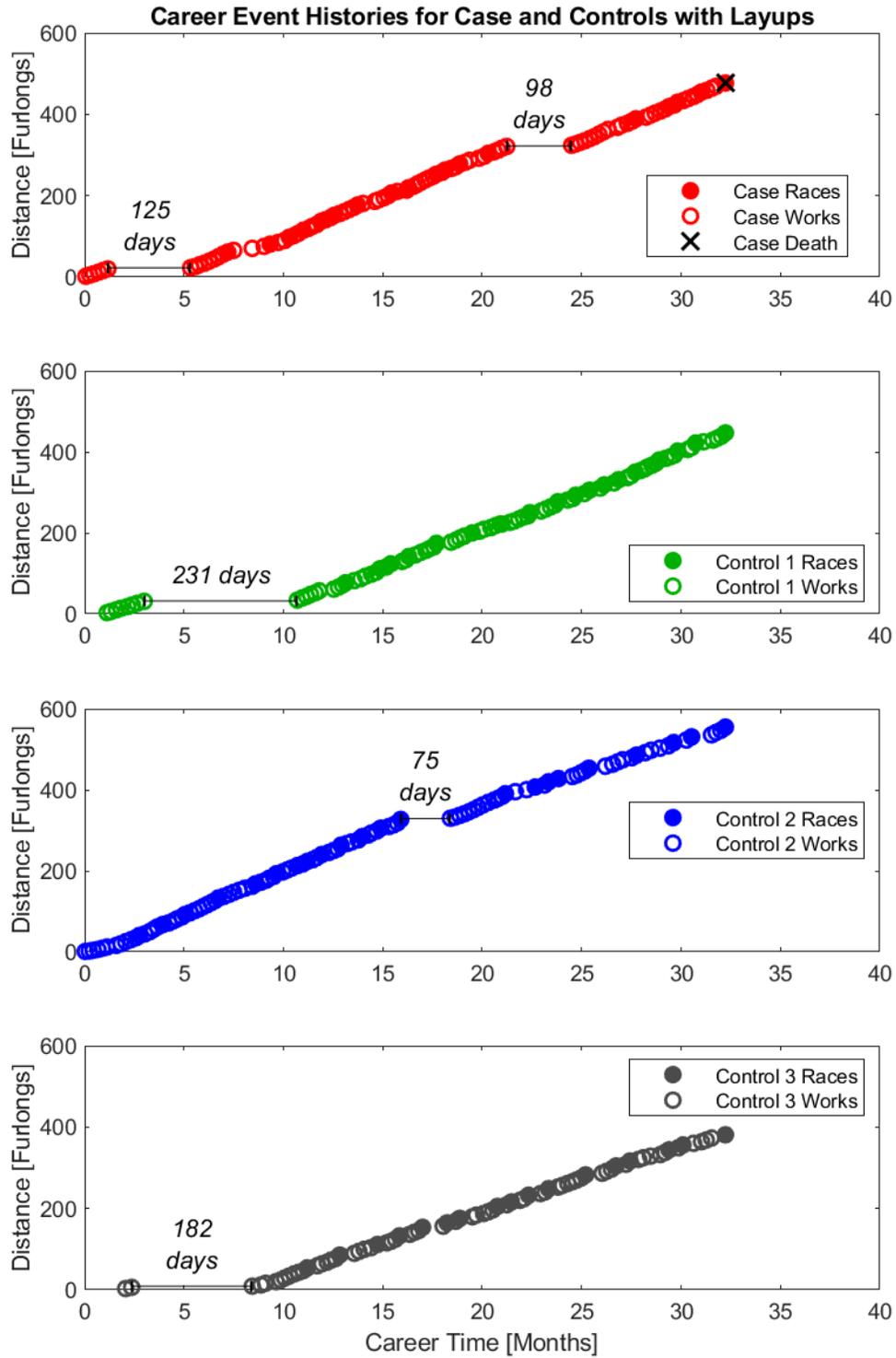
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## Part 2: Case and Control Horses Plotted Together

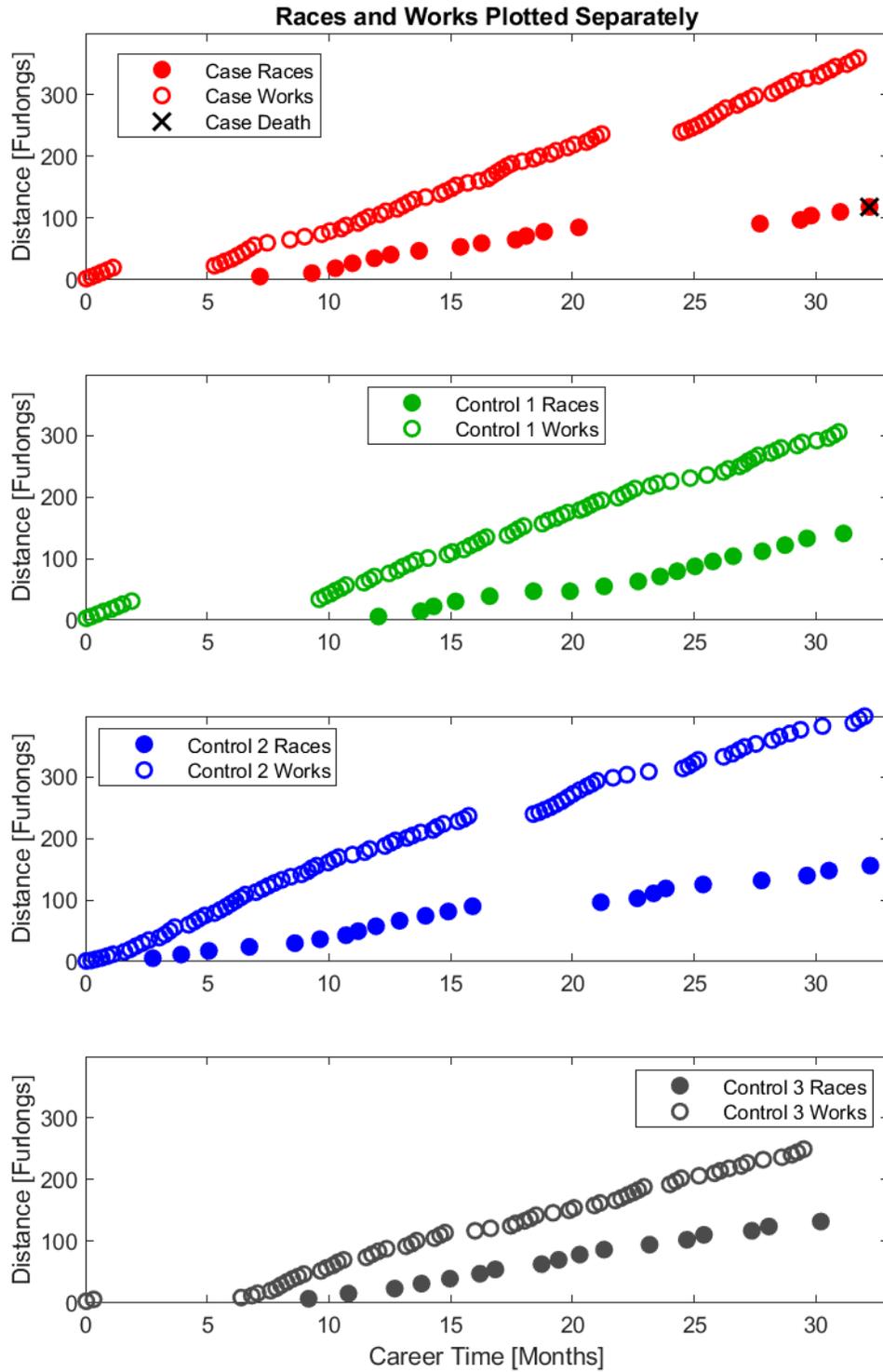


Case and Control Horses' exercise event histories are plotted on the same axes. The plots are aligned by the match date (equal to the date of death of Case Horse). Lines segments indicate specific rates of exercise at the start of career, end of career (for Case Horse), and match date (for Control Horses). Event rates are calculated as the slopes of the plots over 2 to 5 events not spanning a layup period, in units of furlongs per month.

## Part 2: Case and Control Horses Plotted Together



## Part 2: Case and Control Horses Plotted Together



### Part 3: Case Horse's Event History

| Date       | Race/Work | Fur-longs | Track | Surface | Track Cond. | Time    | Age/Sex | Race Class                       | Earnings | Finish |
|------------|-----------|-----------|-------|---------|-------------|---------|---------|----------------------------------|----------|--------|
| 12/30/2018 | R         | 8.0       | SA    | Dirt    | Fast        |         | 3U      | Clm16000<br>(16-14)nw3/L         | 345      | 8      |
| 12/16/2018 | W         | 5.0       | SA    | Dirt    | Fast        | 01:00.8 |         |                                  |          |        |
| 12/9/2018  | W         | 5.0       | SA    | Dirt    | Fast        | 01:02.2 |         |                                  |          |        |
| 12/2/2018  | W         | 4.0       | SA    | Dirt    | Fast        | :48.80  |         |                                  |          |        |
| 11/24/2018 | R         | 6.0       | DMR   | Dirt    | Fast        |         | 3U      | Clm16000<br>(16-14)nw3/L         | 1200     | 4      |
| 11/18/2018 | W         | 5.0       | SA    | Dirt    | Fast        | 01:01.0 |         |                                  |          |        |
| 11/12/2018 | W         | 5.0       | SA    | Dirt    | Fast        | 01:02.4 |         |                                  |          |        |
| 11/4/2018  | W         | 5.0       | SA    | Dirt    | Fast        | 01:01.6 |         |                                  |          |        |
| 10/28/2018 | W         | 4.0       | SA    | Dirt    | Fast        | :50.40  |         |                                  |          |        |
| 10/19/2018 | R         | 7.0       | SA    | Dirt    | Fast        |         | 3U      | Clm12500nw2/<br>L                | 10800    | 1      |
| 10/14/2018 | W         | 4.0       | SA    | Dirt    | Fast        | :50.40  |         |                                  |          |        |
| 10/6/2018  | R         | 6.0       | SA    | Dirt    | Fast        |         | 3U      | Clm12500nw2/<br>L                | 1080     | 4      |
| 9/30/2018  | W         | 5.0       | SA    | Dirt    | Fast        | 01:01.4 |         |                                  |          |        |
| 9/23/2018  | W         | 5.0       | SA    | Dirt    | Fast        | 01:01.4 |         |                                  |          |        |
| 9/15/2018  | W         | 5.0       | SA    | Dirt    | Fast        | 01:01.0 |         |                                  |          |        |
| 9/8/2018   | W         | 5.0       | SA    | Dirt    | Fast        | 01:00.4 |         |                                  |          |        |
| 9/1/2018   | W         | 4.0       | DMR   | Dirt    | Fast        | :48.80  |         |                                  |          |        |
| 8/17/2018  | R         | 6.0       | DMR   | Dirt    | Fast        |         | 3U      | Wcl25000<br>(25-22.5)nw2/<br>L-N | 500      | 5      |
| 8/11/2018  | W         | 5.0       | DMR   | Dirt    | Fast        | 01:02.8 |         |                                  |          |        |
| 8/4/2018   | W         | 5.0       | DMR   | Dirt    | Fast        | 01:01.0 |         |                                  |          |        |
| 7/26/2018  | W         | 5.0       | DMR   | Dirt    | Fast        | 01:02.0 |         |                                  |          |        |
| 7/20/2018  | W         | 5.0       | LA    | Dirt    | Fast        | 01:00.6 |         |                                  |          |        |
| 7/6/2018   | W         | 6.0       | LA    | Dirt    | Fast        | 01:11.6 |         |                                  |          |        |
| 6/29/2018  | W         | 6.0       | LA    | Dirt    | Fast        | 01:12.8 |         |                                  |          |        |
| 6/22/2018  | W         | 6.0       | LA    | Dirt    | Fast        | 01:13.2 |         |                                  |          |        |
| 6/15/2018  | W         | 5.0       | LA    | Dirt    | Fast        | :58.40  |         |                                  |          |        |
| 6/8/2018   | W         | 5.0       | LA    | Dirt    | Fast        | 01:00.6 |         |                                  |          |        |

Part 3: Case Horse's Event History

| Date       | Race/Work | Furlongs | Track | Surface | Track Cond. | Time    | Age/Sex | Race Class          | Earnings | Finish |
|------------|-----------|----------|-------|---------|-------------|---------|---------|---------------------|----------|--------|
| 6/1/2018   | W         | 4.0      | LA    | Dirt    | Fast        | :47.60  |         |                     |          |        |
| 5/25/2018  | W         | 4.0      | LA    | Dirt    | Fast        | :49.00  |         |                     |          |        |
| 5/18/2018  | W         | 3.0      | LA    | Dirt    | Fast        | :37.20  |         |                     |          |        |
| 5/12/2018  | W         | 3.0      | LA    | Dirt    | Fast        | :35.20  |         |                     |          |        |
| 2/3/2018   | W         | 4.0      | SA    | Dirt    | Fast        | :52.80  |         |                     |          |        |
| 1/27/2018  | W         | 5.0      | SA    | Dirt    | Fast        | 01:03.8 |         |                     |          |        |
| 1/21/2018  | W         | 4.0      | SA    | Dirt    | Fast        | :48.60  |         |                     |          |        |
| 1/15/2018  | W         | 4.0      | SA    | Dirt    | Fast        | :47.60  |         |                     |          |        |
| 1/6/2018   | R         | 7.0      | SA    | Dirt    | Fast        |         | 4U      | Mcl20000            | 10800    | 1      |
| 12/31/2017 | W         | 5.0      | SA    | Dirt    | Fast        | 01:01.8 |         |                     |          |        |
| 12/23/2017 | W         | 5.0      | SA    | Dirt    | Fast        | 01:00.4 |         |                     |          |        |
| 12/9/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:03.0 |         |                     |          |        |
| 12/2/2017  | W         | 4.0      | SA    | Dirt    | Fast        | :48.40  |         |                     |          |        |
| 11/24/2017 | R         | 7.0      | DMR   | Dirt    | Fast        |         | 3U      | Mcl20000            | 2040     | 3      |
| 11/18/2017 | W         | 4.0      | SA    | Dirt    | Fast        | :48.60  |         |                     |          |        |
| 11/11/2017 | W         | 4.0      | SA    | Dirt    | Fast        | :50.40  |         |                     |          |        |
| 11/2/2017  | R         | 6.0      | DMR   | Dirt    | Fast        |         | 3U      | Mcl20000            | 2040     | 3      |
| 10/28/2017 | W         | 4.0      | SA    | Dirt    | Fast        | :49.40  |         |                     |          |        |
| 10/20/2017 | R         | 5.5      | SA    | Dirt    | Fast        |         | 3U      | Mcl50000<br>(50-40) | 345      | 6      |
| 10/15/2017 | W         | 4.0      | SA    | Dirt    | Fast        | :48.60  |         |                     |          |        |
| 10/9/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:02.4 |         |                     |          |        |
| 10/3/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:02.0 |         |                     |          |        |
| 9/27/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:01.0 |         |                     |          |        |
| 9/21/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:02.0 |         |                     |          |        |
| 9/16/2017  | W         | 4.0      | SA    | Dirt    | Fast        | :50.00  |         |                     |          |        |
| 9/8/2017   | R         | 6.0      | LRC   | Dirt    | Fast        |         | 3U      | Msw                 | 2700     | 4      |
| 9/5/2017   | W         | 3.0      | LA    | Dirt    | Fast        | :35.20  |         |                     |          |        |
| 8/22/2017  | W         | 4.0      | DMR   | Dirt    | Fast        | :47.60  |         |                     |          |        |
| 8/13/2017  | R         | 6.5      | DMR   | Dirt    | Fast        |         | 3U      | Msw                 | 1200     | 5      |
| 8/8/2017   | W         | 5.0      | DMR   | Dirt    | Fast        | 01:00.2 |         |                     |          |        |
| 8/2/2017   | W         | 5.0      | DMR   | Dirt    | Fast        | 01:01.4 |         |                     |          |        |
| 7/25/2017  | W         | 4.0      | DMR   | Dirt    | Fast        | :49.60  |         |                     |          |        |

Part 3: Case Horse's Event History

| Date       | Race/Work | Furlongs | Track | Surface | Track Cond. | Time    | Age/Sex | Race Class | Earnings | Finish |
|------------|-----------|----------|-------|---------|-------------|---------|---------|------------|----------|--------|
| 7/19/2017  | W         | 5.0      | DMR   | Dirt    | Fast        | 01:02.6 |         |            |          |        |
| 7/1/2017   | W         | 4.0      | SA    | Dirt    | Fast        | :48.80  |         |            |          |        |
| 6/23/2017  | R         | 6.0      | SA    | Dirt    | Fast        |         | 3U      | Mcl75000   | 3960     | 3      |
| 6/17/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:01.4 |         |            |          |        |
| 6/10/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:02.8 |         |            |          |        |
| 6/3/2017   | W         | 5.0      | SA    | Dirt    | Fast        | 01:02.6 |         |            |          |        |
| 5/27/2017  | W         | 4.0      | SA    | Dirt    | Fast        | :47.60  |         |            |          |        |
| 5/19/2017  | R         | 6.0      | SA    | Dirt    | Fast        |         | 3U      | Mcl75000   | 660      | 5      |
| 5/13/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:01.2 |         |            |          |        |
| 5/6/2017   | W         | 4.0      | SA    | Dirt    | Fast        | :49.60  |         |            |          |        |
| 4/29/2017  | R         | 8.0      | SA    | Dirt    | Fast        |         | 3U      | Msw        | 345      | 9      |
| 4/22/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:00.2 |         |            |          |        |
| 4/15/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:01.4 |         |            |          |        |
| 4/9/2017   | W         | 4.0      | SA    | Dirt    | Fast        | :48.80  |         |            |          |        |
| 4/2/2017   | R         | 8.0      | SA    | Dirt    | Fast        |         | 3       | Msw        | 345      | 6      |
| 3/25/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:01.4 |         |            |          |        |
| 3/19/2017  | W         | 4.0      | SA    | Dirt    | Fast        | :50.40  |         |            |          |        |
| 3/12/2017  | R         | 8.0      | SA    | Turf    | Firm        |         | 3       | Msw        | 3240     | 4      |
| 3/5/2017   | W         | 5.0      | SA    | Dirt    | Fast        | 01:01.6 |         |            |          |        |
| 2/23/2017  | W         | 4.0      | SA    | Dirt    | Fast        | :48.60  |         |            |          |        |
| 2/11/2017  | R         | 5.5      | SA    | Dirt    | Wet Fast    |         | 3       | Msw        | 6480     | 3      |
| 2/2/2017   | W         | 5.0      | SA    | Dirt    | Fast        | 01:02.0 |         |            |          |        |
| 1/15/2017  | W         | 5.0      | SA    | Dirt    | Fast        | 01:00.8 |         |            |          |        |
| 12/18/2016 | W         | 4.0      | SA    | Dirt    | Fast        | :51.00  |         |            |          |        |
| 12/9/2016  | R         | 5.5      | LRC   | Dirt    | Fast        |         | 2       | Msw        | 5400     | 3      |
| 12/2/2016  | W         | 6.0      | LA    | Dirt    | Fast        | 01:13.6 |         |            |          |        |
| 11/25/2016 | W         | 6.0      | LA    | Dirt    | Fast        | 01:14.4 |         |            |          |        |
| 11/18/2016 | W         | 5.0      | LA    | Dirt    | Fast        | 01:00.0 |         |            |          |        |
| 11/11/2016 | W         | 5.0      | LA    | Dirt    | Fast        | 01:05.4 |         |            |          |        |
| 11/4/2016  | W         | 4.0      | LA    | Dirt    | Fast        | :48.40  |         |            |          |        |
| 10/27/2016 | W         | 4.0      | LA    | Dirt    | Fast        | :48.20  |         |            |          |        |
| 10/21/2016 | W         | 3.0      | LA    | Dirt    | Fast        | :37.60  |         |            |          |        |

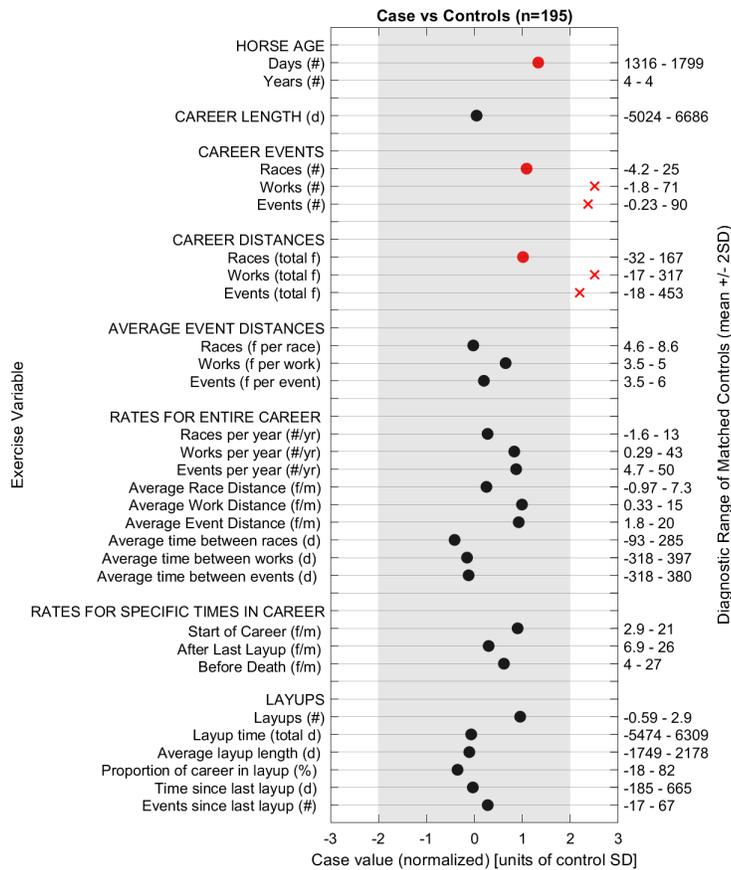
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Part 3: Case Horse's Event History

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| <b>Date</b> | <b>Race/<br/>Work</b> | <b>Fur-<br/>longs</b> | <b>Track</b> | <b>Surface</b> | <b>Track<br/>Cond.</b> | <b>Time</b> | <b>Age/<br/>Sex</b> | <b>Race Class</b> | <b>Earn-<br/>ings</b> | <b>Finish</b> |
|-------------|-----------------------|-----------------------|--------------|----------------|------------------------|-------------|---------------------|-------------------|-----------------------|---------------|
| 10/14/2016  | W                     | 3.0                   | LA           | Dirt           | Fast                   | :36.60      |                     |                   |                       |               |
| 6/11/2016   | W                     | 4.0                   | SA           | Dirt           | Fast                   | :47.00      |                     |                   |                       |               |
| 6/4/2016    | W                     | 4.0                   | SA           | Dirt           | Fast                   | :48.00      |                     |                   |                       |               |
| 5/28/2016   | W                     | 4.0                   | SA           | Dirt           | Fast                   | :48.80      |                     |                   |                       |               |
| 5/21/2016   | W                     | 3.0                   | SA           | Dirt           | Fast                   | :36.60      |                     |                   |                       |               |
| 5/15/2016   | W                     | 3.0                   | SA           | Dirt           | Fast                   | :35.00      |                     |                   |                       |               |
| 5/9/2016    | W                     | 2.0                   | SA           | Dirt           | Fast                   | :23.80      |                     |                   |                       |               |

## Part 4: Comparison of Exercise Variables between Case Horse and 195 Control Horses (4 year old, male, Thoroughbred)

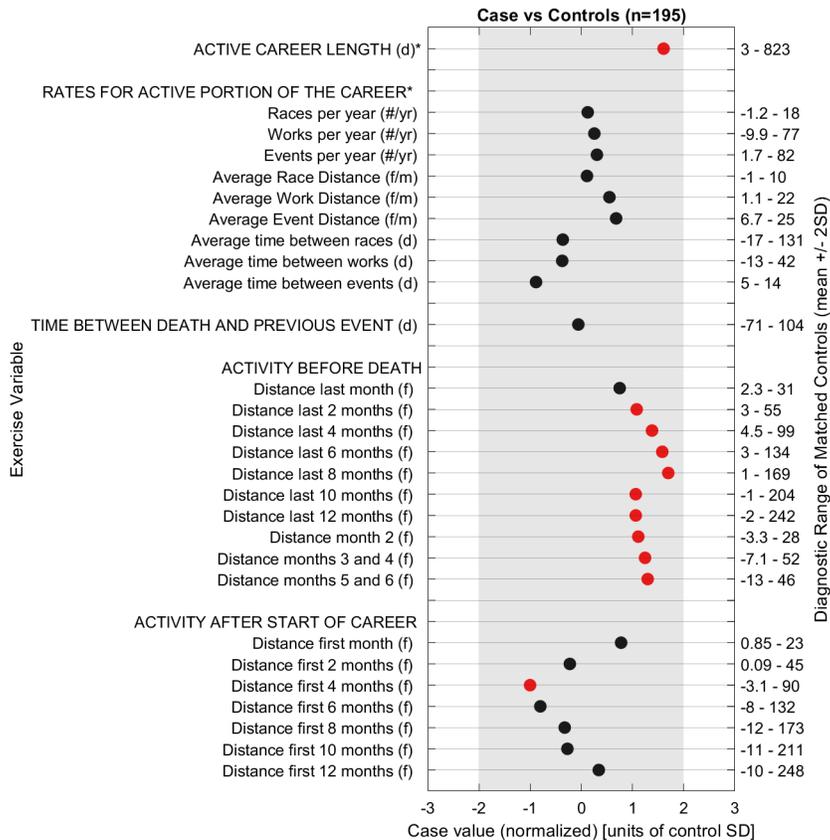


Case Horse values are indicated by black or red symbols: circles indicate values considered normal for 95% of 4 year old, male, Thoroughbreds (n=195) (gray region) (black and red indicate within 1 and 2 SD, respectively, of mean value of controls), X's indicate values outside of the normal range. Two and 3 year old case horses are also matched to control horses by the quarter in which the case horse died (Jan-Mar, Apr-Jun, Jul-Sep, Oct-Dec). Variables that are not calculable are not plotted (e.g. time between races for a horse with zero events). f=furlongs; yr=year; m=month; d=days.

^Rates are calculated over 2 to 5 events.

\*Active Career Length is the career length excluding the time during layups.

## Part 4: Comparison of Exercise Variables between Case Horse and 195 Control Horses (4 year old, male, Thoroughbred)



Case Horse values are indicated by black or red symbols: circles indicate values considered normal for 95% of 4 year old, male, Thoroughbreds (n=195) (gray region) (black and red indicate within 1 and 2 SD, respectively, of mean value of controls), X's indicate values outside of the normal range. Two and 3 year old case horses are also matched to control horses by the quarter in which the case horse died (Jan-Mar, Apr-Jun, Jul-Sep, Oct-Dec). Variables that are not calculable are not plotted (e.g. time between races for a horse with zero events). f=furlongs; yr=year; m=month; d=days.

^Rates are calculated over 2 to 5 events.

\*Active Career Length is the career length excluding the time during layups.