

NOTE: Government Code section 11340.85 requires the Board to post all notices, initial statement of reasons and texts of rules noticed to the public until 15 days after the proposed regulations are filed with the Secretary of State by the Office of Administrative Law.

CALIFORNIA HORSE RACING BOARD  
TITLE 4, DIVISION 4, CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARY PRACTICES  
NOTICE OF PROPOSAL TO ADD  
RULE 1842.5 TRAINER TO MAINTAIN MEDICATION TREATMENT RECORDS

The California Horse Racing Board (Board or CHRB) proposes to add the regulation described below after considering all comments, objections or recommendations regarding the proposed action.

PROPOSED REGULATORY ACTION

The Board proposes to add Rule 1842.5, Trainer to Maintain Medication Treatment Records, to establish the requirement that trainers maintain a record of all medication treatments administered to a horse under their care that are within the inclosure.

The purpose of this proposed regulation is to ensure important information regarding medication administration is captured whenever a horse is treated inside the inclosure. By requiring each trainer to maintain a record of all medication treatments administered to a horse under their care within the inclosure, the Board will be able to effectively monitor medications provided to race horses and, in the event of a medication violation, determine how the violation occurred.

PUBLIC HEARING

The Board will hold a public hearing starting at **9:30 a.m., Thursday, March 19, 2020** or as soon after that as business before the Board will permit, in the **Clubhouse at the California Exposition and State Fair Grandstand, 1600 Exposition Boulevard, Sacramento, California**. At the hearing, any person may present statements or arguments orally or in writing about the proposed action described in the informative digest. It is requested, but not required, that persons making oral comments at the hearing submit a written copy of their testimony.

WRITTEN COMMENT PERIOD

Any interested persons, or their authorized representative, may submit written comments about the proposed regulatory action to the Board. The written comment period closes at **11:59 p.m. on March 2, 2020**. The Board must receive all comments at that time; however, written comments may still be submitted at the public hearing. Submit comments to:

Robert Brodник, Staff Counsel  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Telephone (916) 263-6025  
Fax: (916) 263-6022  
E-Mail: [rjbrodrik@chr.ca.gov](mailto:rjbrodrik@chr.ca.gov)

## AUTHORITY AND REFERENCE

Authority cited: Sections 19420, 19440, 19562, 19580 and 19581 Business and Professions Code.  
Reference: Sections 19401, 19420, 19440, 19580, and 19581 Business and Professions Code.

Business and Professions Code sections 19420, 19440, 19562, 19580 and 19581 authorize the Board to adopt the proposed regulatory addition, which would implement, interpret or make specific sections 19401, 19420, 19440, 19580, and 19581 Business and Professions Code.

## INFORMATIVE DIGEST/POLICY STATEMENT OVERVIEW

Business and Professions Code section 19401(a) provides that it is the intent of the Horse Racing Law to allow pari-mutuel wagering on horse races while assuring protection of the public. Business and Professions Code section 19420 states that the Board has jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 states, no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. The Board may require that the official veterinarian approve, in writing, the administration of those substances in accordance with the regulations of the Board. Any medication or equipment used to dispense medication that is located within the inclosure is subject to search and inspection at the request of any Board official.

The proposed addition of Rule 1842.5, Trainers to Maintain Medication Treatment Records, will establish the requirement that trainers maintain a record of all medication treatments administered to a horse under their care that are within the inclosure.

Subsection (a) states that every trainer shall maintain a record of all medication treatments administered to horses under their care that are within the inclosure. This subsection is necessary to clarify that the obligation is on the trainer to maintain a record of these medication treatments. The trainer controls access to the barn where horses under his care are housed. Additionally, the trainer certifies horses under his care that are entered to race are free from prohibited race day medications. As such, the

trainer is in the best position to maintain a record of the medication treatments administered to horses under his or her care.

Subsection (b) identifies what is to be included in the medication treatment record.

Subsection (b)(1) requires the name of the horse to be included in the medication treatment record. This is necessary to identify the horse that received the treatment. Subsection (b)(2) requires the date the medication treatment commenced and the prescribed duration of the treatment. This is necessary to accurately capture when the horse began the medication treatment and for how long the treatment was prescribed for. By requiring this information, investigators, the Stewards, or the Board can determine whether the prescription is being properly dispensed. Subsection (b)(3) requires that the name of the medication, the route of administration and the dosage regimen be provided. This information is necessary because it provides important medication administration information. The same medication can be administered in a variety of ways. By electing to administer a medication orally as compared to intravenously, can effect the manner in which the medication is absorbed. By requiring the name of the medication, the route of administration and the dosage regimen to be documented, the Board can effectively monitor how horses are receiving medication within the inclosure. Subsection (b)(4) requires the name of the person administering the medication to be included. This is necessary because it is common for numerous employees within a barn to administer medication to a horse over a period of time. Because the same person may not always be the person administering the medication, it is important to document their name so investigators can speak to the correct person in the event of an overage. Subsection (b)(5) requires the date and time of each administration to be placed on the record. This information is necessary because it provides important medication administration information which can help explain medication overages. Subsection (b)(6) requires the name of the CHRB licensed veterinarian prescribing the medication treatment. This is necessary because all medication that are dispensed by a CHRB licensed veterinarian are required to be documented pursuant to CHRB Rule 1842, Veterinarian Report. By mandating that the CHRB licensed veterinarian's name be included, the Board is able to cross check the prescription with the documents submitted by the veterinarian pursuant to Rule 1842.

Subsection (c) states that medication treatment records shall be made available for inspection upon request by the Official Veterinarian, Board of Stewards or CHRB investigators. These individuals are all tasked with ensuring the safety of the racecourse and addressing medication violations. It is necessary to provide these individuals with access to these records so they can effectively perform their duties.

Subsection (d) states that treatments administered by CHRB licensed veterinarians that are required to be reported under Rule 1842 are exempted from the requirements of this regulation. This section is necessary to clarify that the CHRB licensed veterinarian does not need to complete a duplicate report under this proposed regulation.

Currently, medication treatment records are not mandated by the Board. Commonly, CHRB licensed veterinarians will prescribe a medication to a horse to be administered over a period of time. Unless the CHRB licensed veterinarian personally administers the medication, this information is not captured anywhere. As such, it is common for medication violation investigations to have difficulty in determining exactly how the overage occurred because there is no documentation. This ultimately

leaves licensees who are nevertheless responsible for the medication violation, with little information about how to remedy the issue moving forward. Accordingly, the CHRB seeks to enhance equine health and safety in California by ensuring medication administration treatment records are created whenever a horse is treated within the inclosure.

#### FORMS INCORPORATED BY REFERENCE

There is no form associated with this regulation.

#### POLICY STATEMENT OVERVIEW OF ANTICIPATED BENEFITS OF PROPOSAL

The proposed addition of Rule 1842.5 will substantially enhance the health and safety of horses participating in racing throughout the state. Currently, medication treatment records are not mandated by the Board. Commonly, CHRB licensed veterinarians will prescribe a medication to a horse to be administered over a period of time. Unless the CHRB licensed veterinarian personally administers the medication, this information is not captured anywhere. As such, it is common for medication violation investigations to have difficulty in determining exactly how the violation occurred because there is no documentation. This ultimately leaves licensees who are nevertheless responsible for the medication violation, with little information about how to remedy the issue moving forward. Accordingly, the CHRB seeks to enhance equine health and safety in California by ensuring these medication treatment records are created whenever a horse is treated within the inclosure.

The proposed changes will also benefit the horse racing industry by enhancing the sport's integrity. By requiring each trainer to maintain a record of all medication treatments administered to a horse under their care within the inclosure, the Board will be able to effectively monitor medications provided to race horses to insure improper medication administrations do not occur.

#### CONSISTENCY EVALUATION

During the process of developing the regulation and amendments, the Board has conducted a search of any similar regulations on this topic and has concluded that the regulation is neither inconsistent nor incompatible with existing state regulations.

#### DISCLOSURE REGARDING THE PROPOSED ACTION

Mandate on local agencies and school districts: none.

Cost or savings to any state agency: none.

Cost to any local agency or school district that must be reimbursed in accordance with Government Code Sections 17500 through 17630: none.

Other non-discretionary costs or savings imposed upon local agencies: none.

Cost or savings in federal funding to the state: none.

The Board has made an initial determination that the proposed addition of Rule 1842.5 will not have a significant statewide adverse economic impact directly affecting businesses including the ability of California businesses to compete with businesses in other states.

The following studies/relevant data were relied upon in making the above determination:

The Board did not rely on any technical, theoretical, and/or empirical study, reports or documents in proposing the addition of Rule 1842.5.

Cost impact on representative private persons or businesses: none.

The Board is not aware of any cost impacts that a representative private person or business would necessarily incur in reasonable compliance with the proposed action.

Significant effect on housing costs: none.

#### RESULT OF ECONOMIC IMPACT ANALYSIS

The proposed addition of Rule 1842.5 will not (1) create or eliminate jobs within California; (2) create new businesses or eliminate existing businesses within California; or (3) affect the expansion of businesses currently doing business within California. The proposed regulatory changes will substantially enhance the health and safety of horses participating in racing throughout the state by requiring each trainer to maintain a record of all medication treatments administered to a horse under their care within the inclosure, thereby allowing the Board to effectively monitor medications provided to race horses and, in the event of a medication violation, determine how the violation occurred.

Effect on small businesses: none. The proposed addition of Rule 1842.5 will not affect small businesses because horse racing is not a small business under Government Code Section 11342.610.

#### CONSIDERATION OF ALTERNATIVES

In accordance with Government Code Section 11346.5, subdivision (a)(13), the Board must determine that no reasonable alternative considered by the Board, or that has otherwise been identified and brought to the attention of the Board, would be more effective in carrying out the purpose for which the action is proposed, or would be as effective and less burdensome on affected private persons than the proposed action, or would be more cost-effective to affected private persons and equally effective in implementing the statutory policy or other provision of law.

The Board invites interested persons to present statements or arguments with respect to alternatives to the proposed regulation at the scheduled hearing or during the written comment period.

#### CONTACT PERSON

Inquiries concerning the substance of the proposed action and requests for copies of the proposed text of the regulation, the initial statement of reasons, the modified text of the regulation, if any, and other information upon which the rulemaking is based should be directed to:

Robert Brodник, Staff Counsel  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825  
Telephone: (916) 263-6025  
E-mail: [rjbrodник@chr.ca.gov](mailto:rjbrodник@chr.ca.gov)

If the person named above is not available, interested parties may contact:

Amanda Drummond  
Policy and Regulation Manager  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825  
Telephone: (916) 263-6033  
E-Mail: [amdummond@chr.ca.gov](mailto:amdummond@chr.ca.gov)

#### AVAILABILITY OF INITIAL STATEMENT OF REASONS AND TEXT OF PROPOSED REGULATION

The Board will have the entire rulemaking file available for inspection and copying throughout the rulemaking process at its offices at the above address. As of the date this notice is published in the Notice Register, the rulemaking file consists of this notice, the proposed text of the regulation, and the initial statement of reasons. Copies may be obtained by contacting Robert Brodник, or the alternative contact person at the address, phone number or e-mail address listed above.

#### AVAILABILITY OF MODIFIED TEXT

After holding a hearing and considering all timely and relevant comments received, the Board may adopt the proposed regulation substantially as described in this notice. If modifications are made which are sufficiently related to the originally proposed text, the modified text, with changes clearly marked, shall be made available to the public for at least 15 days prior to the date on which the Board adopts the regulations. Requests for copies of any modified regulation should be sent to the attention of Robert Brodник at the address stated above. The Board will accept written comments on the modified regulation for 15 days after the date on which it is made available.

#### AVAILABILITY OF STATEMENT OF REASONS:

Requests for copies of the final statement of reasons, which will be made available after the Board has adopted the proposed regulation in its current or modified form, should be sent to the attention of Robert Brodник at the address stated above.

## BOARD WEB ACCESS

The Board will have the entire rulemaking file available for inspection throughout the rulemaking process at its web site. The rulemaking file consists of the notice, the proposed text of the regulation and the initial statement of reasons. The Board's web site address is: [www.chrb.ca.gov](http://www.chrb.ca.gov).