

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED ADDITION OF
1503.5. CONTINUING EDUCATION FOR TRAINERS AND ASSISTANT TRAINERS

1503.5. Continuing Education for Trainers and Assistant Trainers

(a) Commencing June 1, 2021, an applicant for renewal of license as trainer or assistant trainer shall certify that during the preceding 36-month period, he or she has completed a total of 12 hours of approved continuing education (ACE) coursework.

(1) Certification of completion of ACE coursework shall be provided on the form CHRB-59a Certification of Approved Continuing Education (ACE) Coursework (New 09/19), which is hereby incorporated by reference. The CHRB-59a shall be submitted with the application for renewal of license.

(b) Licensees shall maintain evidence of completed ACE coursework for a period of four years from the date the course was completed and shall provide such records of completion to the Board upon request. Records of completed ACE coursework may be in a form provided by an ACE course, meeting or conference, or shall otherwise be recorded on the form CHRB-242 Continuing Education Certificate of Attendance (New 9/19), which is hereby incorporated by reference. The CHRB-242 shall be available at all CHRB offices and on the Board's website. Any record of completed ACE coursework shall state at least:

(1) The title of the ACE course, meeting or conference;

(2) The date, location and provider of the ACE course, meeting or conference;

(3) The number of continuing education hours earned.

(c) Unless a waiver is granted under subsection (e) of this regulation, an

application for license renewal will be denied if form CHRB-59a is not submitted and/or for failure to produce records of completed ACE coursework should such records be requested by the Board at the time of renewal.

(d) The stewards shall fine and/or suspend or revoke the license of any applicant for renewal of license as trainer or assistant trainer who is found to have provided false certification of completed ACE coursework or who fails to produce records of completed ACE coursework upon the Board's request.

(e) The stewards shall waive the ACE requirements for applicants for renewal of license as trainer or assistant trainer if the trainer or assistant trainer is not domiciled in California and has had twelve or fewer starts in races in California during the previous 36-month period. Stakes races shall not be counted in the number of starts.

(1) Requests for ACE waivers shall be submitted to the Board of Stewards at the time of application for renewal of license on the form CHRB-59b Request for Waiver of Approved Continuing Education (ACE) Requirements (New 09/19), which is hereby incorporated by reference.

(2) The ACE requirements may be waived at the time of application for good cause conditioned upon the completion of the ACE requirements within a timeframe not to exceed 12 months from the date of renewal of license.

(A) "Good cause" includes but is not limited to a change in the licensee's state of domicile or not having acted as a trainer or assistant trainer for an extended period.

(3) A waiver for good cause shall be made using a recommendation card form CHRB-59 (Rev. 12/15), which shall be signed by the stewards.

(4) Denial of a waiver will result in the applicant being ineligible for renewal of

license until he or she has completed the requirements of subsection (a) of this regulation.

(f) The Equine Medical Director (EMD), in consultation with the California Thoroughbred Trainers, shall submit proposed ACE curriculum to the Board for approval.

(1) Subsequent to the presentation required under subsection (f), the EMD may modify the Board approved ACE course list to:

(A) add new coursework offerings, or

(B) remove discontinued coursework.

(2) A current listing of Board approved ACE courses shall be available at all CHRB offices and on the Board's website. The list shall include the hours credited to each ACE course.

(A) The hours credited to an ACE course shall be assigned by the EMD, and shall be determined by factors including, but not limited to, difficulty of subject matter, expected learning outcomes and the actual time necessary to complete the ACE course.

(3) If a licensee has completed ACE coursework, and the course is subsequently removed from the listing of Board approved ACE courses, the ACE course completed by the licensee will satisfy the requirements under subsection (a) of this regulation.

(g) For the purposes of this regulation, ACE coursework means instruction intended to foster competence and knowledge in horsemanship, and which specifically promotes: compliance with California's horse racing law and regulations; equine health, safety, and welfare in racing; or ethics, human resource training, and the promotion of human safety and welfare.

Authority: Sections 19420, 19440, 19460 and 19520, Business and Professions Code.

Reference: Sections 19420 and 19440,
Business and Professions Code.

State of California

CALIFORNIA HORSE RACING BOARD

CHRB License Number: _____

CHRB-59a (New-01/20)

CERTIFICATION OF APPROVED CONTINUING EDUCATION (ACE) COURSEWORK

I _____, certify that I have completed _____ hours of ACE

(NAME)

Coursework during the period of _____ through _____.

(DATE)

(DATE)

Per Rule 1503.5, applicants shall maintain records of completed ACE coursework for a period of four years from the date of completion, and shall provide such records to the Board upon request.

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

(DATE)

(SIGNATURE)

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

State of California

CALIFORNIA HORSE RACING BOARD

CHRB License Number: _____

CHRB-59b (New-01/20)

REQUEST FOR WAIVER OF APPROVED CONTINUING EDUCATION (ACE) REQUIREMENTS

I _____, certify that I am a resident of _____,

(NAME)

(CITY/STATE)

And have had twelve or fewer starts in California for the period of _____
through _____.

List all starts in California over the previous 36-month period on the reverse of this form. Per Rule 1503.5, stakes races are not counted in the number of starts.

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

(DATE)

(SIGNATURE)

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

List all California starts, including track & date, over the previous 36-months. **Do NOT list stakes races.**

- | | |
|----|-----|
| 1. | 7. |
| 2. | 8. |
| 3. | 9. |
| 4. | 10. |
| 5. | 11. |
| 6. | 12. |

Approve: _____ Disapprove: _____

(Steward's Signature and Date)

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

CONTINUING EDUCATION CERTIFICATE OF ATTENDANCE

Meeting/Conference Title: _____

Date: _____ Location: _____

Provider: _____

Presentation/Topic: _____

Program Speaker(s) (If any): _____

Name of Licensee (Print): _____

CHRB License Number: _____

I certify that I attended the approved continuing education (ACE) coursework described above for a total of _____ continuing education hour(s).

Signature: _____ Date: _____

INSTRUCTIONS

Per Rule 1503.5, Continuing Education for Trainers and Assistant Trainers, licensees shall maintain records of completed ACE coursework for a period of four years from the date the course was completed and shall provide such records to the Board upon request. Individual licensees are responsible for the completeness and accuracy of their own ACE records. By signing this form you are certifying under penalty of perjury that the statements and answers you have made on this form are true and correct.