

**State of California
Office of Administrative Law**

In re:
California Horse Racing Board

Regulatory Action:

Title 04, California Code of Regulations

Adopt sections: 1435

Amend sections:

Repeal sections:

**NOTICE OF APPROVAL OF EMERGENCY
REGULATORY ACTION**

**Government Code Sections 11346.1 and
11349.6**

OAL Matter Number: 2020-0213-01

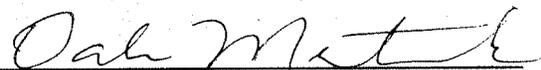
OAL Matter Type: Emergency Readopt (EE)

This emergency rulemaking action readopts the process under which the California Horse Racing Board may suspend or impose conditions upon a license to conduct a racing meeting when necessary to protect the health and safety of horses or riders, including the process for a hearing on the license suspension or imposition of conditions and for review of a hearing decision suspending or imposing conditions on a license.

OAL approves this emergency regulatory action pursuant to sections 11346.1 and 11349.6 of the Government Code.

This emergency regulatory action is effective on 2/25/2020 and will expire on 5/27/2020. The Certificate of Compliance for this action is due no later than 5/26/2020.

Date: February 20, 2020



Dale P. Mentink
Senior Attorney

For: Kenneth J. Pogue
Director

Original: Rick Baedeker, Executive
Director

Copy: Robert Brodnik

EMERGENCY

STATE OF CALIFORNIA--OFFICE OF ADMINISTRATIVE LAW

NOTICE PUBLICATION/REGULATIONS SUBMISSION

(See instructions on reverse)

For use by Secretary of State only

STD. 400 (REV. 01-2013)

OAL FILE NUMBERS	NOTICE FILE NUMBER Z-	REGULATORY ACTION NUMBER	EMERGENCY NUMBER 2020-0213-01 <i>EE</i>
For use by Office of Administrative Law (OAL) only		<p style="text-align: center;">2020 FEB 13 P 4: 03</p> <p style="text-align: center;">OFFICE OF ADMINISTRATIVE LAW</p>	
AGENCY WITH RULEMAKING AUTHORITY California Horse Racing Board		AGENCY FILE NUMBER (if any)	

ENDORSED - FILED
in the office of the Secretary of State
of the State of California

FEB 20 2020

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE		TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other		4. AGENCY CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn		NOTICE REGISTER NUMBER	PUBLICATION DATE

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Suspension of License to Conduct a Race Meeting	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) 2019-0827-01E, 2019-0731-03E <i>per agency request</i>
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2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)	
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT 1435 AMEND REPEAL
TITLE(S) 4	

3. TYPE OF FILING			
<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input checked="" type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		<input type="checkbox"/> Other (Specify) _____	

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)			
<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input type="checkbox"/> Effective on filing with Secretary of State	<input type="checkbox"/> \$100 Changes Without Regulatory Effect	<input checked="" type="checkbox"/> Effective other (Specify) 2/25/2020

6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY			
<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal	
<input type="checkbox"/> Other (Specify) _____			

7. CONTACT PERSON Robert Brodник	TELEPHONE NUMBER (916) 263-6025	FAX NUMBER (Optional) (916) 263-6042	E-MAIL ADDRESS (Optional) rjbrodnik@chr.ca.gov
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8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE 	DATE 2/13/2020
TYPED NAME AND TITLE OF SIGNATORY Amanda Drummond, Regulation Manager	

For use by Office of Administrative Law (OAL) only

ENDORSED APPROVED

FEB 20 2020

Office of Administrative Law

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 2. RACING ASSOCIATION
PROPOSED ADDITION OF
RULE 1435 SUSPENSION OF LICENSE TO CONDUCT A RACE MEETING

1435. Suspension of License to Conduct a Race Meeting.

(a) When taking any action against a licensed racing association to suspend racing or impose conditions pursuant to Business and Professions Code section 19481.7, the following procedures shall be followed:

(b)(1) The Board may, upon the filing of a written petition, issue an order suspending a license to conduct a racing meeting or imposing license restrictions. The petition shall include a declaration or declarations that demonstrate, to the satisfaction of the Board, that permitting the licensee to continue to engage in the licensed activity, or permitting the licensee to continue in the licensed activity without restrictions, would endanger the health and safety of the horses or riders that are present at the racing meeting.

(2) For purposes of this section, the health and safety of horses or riders may be endangered when:

- (A) An above-average number of injuries to horses and/or riders participating in the race meeting have occurred. The Board shall have discretion to determine the period of time used to measure whether an above-average number of injuries have occurred when compared with historic data.
- (B) A condition exists within the inclosure that is likely to endanger the health and safety of the horses or riders that are present at the racing meeting.
- (C) For any other reason the Board finds the health and safety of horses or riders is endangered.

(c)(1) The licensed racing association shall be given at least 24 hours' notice of the hearing on the petition for a suspension or license restriction order. The notice shall include the petition and declarations submitted to the Board in support of the petition.

(2) Notice shall be given in writing, and may be provided by personal service, mail, facsimile transmission or electronic mail.

(3) Notice shall be given to either the licensee, its designee, or any officer or director associated with the licensee.

(d)(1) At the hearing on the petition for a suspension order or license restriction order, the licensee may:

- (A) Be represented by counsel.
- (B) Have a record made of the proceedings, copies of which may be obtained by the licensee upon payment of any reasonable charges associated with the record.
- (C) Present written evidence in the form of relevant declarations, affidavits, and documents.
- (D) Present oral argument.

(e) The Board shall issue a decision on the petition for suspension order or license restriction order within five business days following submission of the matter.

(f) The Board shall review any decision to suspend a racing license or impose license restrictions within 10 calendar days of that decision.

(g)(1) At the hearing to review a decision by the Board under this section, the licensee may:

- (A) Be represented by counsel.
- (B) Have a record made of the proceedings, copies of which may be obtained by the licensee upon payment of any reasonable charges associated with the record.
- (C) Present written evidence in the form of relevant declarations, affidavits, and documents.
- (D) Present oral argument.

(h) Any suspension order or license restriction order shall remain in effect until the Board, at a meeting, determines that the matters jeopardizing the health and safety of the horses or riders that are present at the racing meeting have been adequately addressed.

(i) As a condition of lifting a suspension order or license restriction order, the Board may require a licensee to comply with additional safety standards or other requirements as it deems necessary or desirable for the best interests of horse racing and the purposes of this division.

(j) Failure to comply with a suspension order or license restriction order issued pursuant to subdivision (b)(1) shall constitute a separate cause for disciplinary action against any licensee.

(k) The orders provided for by this section shall be in addition to, and not a limitation on, the authority to seek injunctive or other relief provided in any other provision of law.

(l) A petition for an order suspending a license to conduct a racing meeting or imposing license restrictions may be filed by the Executive Director or their designee, or by the Equine Medical Director of the Board.

Authority Cited: Sections 19481.7, 19440, and 19460, Business and Professions Code. Reference: Sections 19481.7, 19440, and 19460, Business and Professions Code.