

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
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REGULAR MEETING

of the California Horse Racing Board will be held on Thursday, May 26, 2011, commencing at 10:30 a.m., at the Bayside Lounge (Turf Club) at Golden Gate Fields, 1100 Eastshore Hwy, Albany, California. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. Approval of the minutes of the regular meeting of April 28, 2011.
2. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
3. Public hearing and action by the Board on the **proposed addition of CHRB Rule 1500.1, Random Drug Testing**, to allow random drug testing of jockeys, apprentice jockeys and drivers under specified conditions, and the **proposed amendment to CHRB Rule 1498, Physical Examination**, to require drug screening during the annual jockey and drivers physicals. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
4. Discussion and action by the Board to utilize **CHRB Rule 1406, Suspension of Rule**, for a waiver of **Rule 1662, Sale or Transfer of Claimed Horse**, which would allow horses claimed out-of-state, with California Marketing Committee (CMC) funds, and subsequently sold at a California paddock sale to race, irrespective of the day such horse was claimed.
5. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar**, commencing July 20, 2011 through September 7, 2011, inclusive.
6. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Sonoma County Fair (F) at Santa Rosa**, commencing July 27, 2011 through August 14, 2011, inclusive.
7. Discussion and action by the Board regarding a report from the California Exposition and State Fair regarding the status of its malfunctioning tote board.

8. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the California Exposition and State Fair (F) at Sacramento**, commencing July 13, 2011 through July 24, 2011, inclusive.
9. Discussion and action by the Board on the **Application for License to Operate a Minisatellite Wagering Facility by the Southern California Off-Track Wagering Inc. (SCOTWINC) at The Horse Man's Club, in Santa Maria, California.**
10. Discussion and action by the Board regarding the **mission statement of the California Horse Racing Board.**
11. Discussion and action by the Board on the **approval of service, steward and official veterinarian contracts for fiscal year 2011-2012.**
12. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Keith Brackpool, Chairman
David Israel, Vice Chairman
Jesse H. Choper, Member
Bo Derek, Member
John C. Harris, Member
Jerry Moss, Member
Richard Rosenberg, Member
Kirk E. Breed, Executive Director

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PENDING LITIGATION
MAY 2011

SUPERIOR COURT LITIGATION

- A. **Patrick Gleason v. Anne Glasscock and the California Horse Racing Board**
Superior Court of California, County of Sacramento 34-2010-00076781

- B. **Jeff Mullins v. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212

- C. **Gail Ruffu vs. CHRB, Kirk Breed, et al**
Superior Court of California, County of Los Angeles, Northeast District, Case No. GC045960

- D. **Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2011-00102154

UNITED STATES DISTRICT COURT

- E. **Douglas O'Neill vs. CHRB, Kirk E. Breed, et al**
Central District of California Western Division, Case No. CV11-1972 GW

UNITED STATES BANKRUPTCY COURT

- F. **Magna Entertainment Corporation, et al.**
District of Delaware, Case No. 09-10720 (MFW)

PROCEEDINGS of the Regular Meeting of the California Horse Racing Board held at the Hollywood Park Race Track Sunset Room, 3883 Century Boulevard, Inglewood, California, on April 28, 2011.

Present: Keith Brackpool, Chairman
David Israel, Vice-Chairman
Jesse H. Choper, Member
Bo Derek, Member
John C. Harris, Member
Jerry Moss, Member
Richard Rosenberg, Member
Kirk E. Breed, Executive Director
Robert Miller, Staff Counsel

MINUTES

Chairman Brackpool asked for approval of the minutes of the Regular Meeting of April 28, 2011. Commissioner Rosenberg motioned to approve the minutes. Commissioner Moss seconded the motion, which was unanimously carried.

PUBLIC COMMENT

Michael Wellman, a horse owner and breeder, spoke about his concerns regarding pending transfer of MI Developments horse racing assets to an entity controlled by Frank Stronach. Richard Castro of the Pari-Mutuel Employees' Guild (Guild) spoke about his concerns regarding the pension liabilities of certain racing associations, and in particular, the Oak Tree Racing Association (OTRA). Chairman Brackpool stated the Guild needed to approach OTRA, which was still a viable entity, before it asked for Board intervention. David Wilson of the California Thoroughbred Horsemen's Association (CTHA) spoke about his organization and its desire for Thoroughbred Owners of California (TOC) to modify its process for electing a board of directors, and to hold an election. He stated the TOC refused to hold an election, so the CTHA

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filed for decertification of the organization. Jack Owens of TOC spoke about his organization's history. He stated the current system was designed to provide some stability, and if the CTHA wished to vote the current TOC board members out, it could do so within the proscribed period of time. Otherwise, the CTHA had a democratic right to gather votes and proceed with the decertification petition, as that was the appropriate procedure. The TOC did not see the need for a special election for the benefit of a particular group. Mike Martin, CHRB staff, spoke about an incident in the fifth race at Hollywood Park on April 23, 2011. The final odds for the race were late in posting; however, Mr. Martin explained the circumstances behind the late odds changes and stated nothing improper occurred.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION, TO ALLOW THE BOARD TO SUSPEND THE AUTHORIZATION FOR ANY AUTHORIZED MEDICATION AFTER NOTIFICATION AT A PROPERLY NOTICED PUBLIC HEARING.

Jacqueline Wagner, CHRB staff, said the proposed addition of Rule 1844.1, Suspension of Authorized Medication, would allow the Board to act quickly to suspend authorization for drug substances such as Clenbuterol or other permitted drugs, substances or medications after notification at a properly noticed public hearing. Ms. Wagner stated that during the 45-day public comment period staff received two comments in opposition to the proposed rule. One comment was from the manufacturer of Clenbuterol. The manufacturer stated it believed it would be more appropriate for the Board to take action to target the illegal compound of Clenbuterol and not suspend the legitimate use of the medication. The second comment was from Dr. Don Shields, a veterinarian, who stated the proposed regulation would allow the Board to suspend the use of an authorized medication without the need for justification. Ms. Wagner

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said two additional letters of opposition were received from the Thoroughbred Owners of California (TOC) and the California Thoroughbred Trainers (CTT). Both organizations stated the proposed regulation would grant the Board wide power to temporarily suspend authorized medication without requiring justification. Dr. Rick Arthur, CHRB Equine Medical Director, stated the origin of the proposed regulation was the Clenbuterol issue in quarter horses at Los Alamitos Race Course (LARC). The problem was a compound Clenbuterol. The Board obtained a number of samples through investigations, informants and horsemen. If Rule 1844.1 were in place the issue could have been addressed quickly with the support of the horsemen and LARC. Commissioner Rosenberg asked if the regulation required the Board to hold a public meeting that was noticed in accordance with the Administrative Procedures Act. Dr. Arthur stated the regulation required the Board to hold a public meeting prior to suspending an authorized medication. Commissioner Rosenberg said that meant the Board could not just suspend an authorized medication; it had to hold a public meeting with discussion and disclosure. Ms. Wagner stated that was correct, a public hearing had to be held, and the issue would be decided by the Board. Dr. Arthur commented the Board currently had the authority to suspend its rules under Rule 1406, Suspension of Rule. The proposed regulation would provide the opportunity for public comment. He stated a problem with a medication would be identified, and it would be placed on an agenda for a public hearing. After hearing from interested parties, including horsemen and racing associations, the Board would make a decision. Vice-Chairman Israel asked how long it would take for a decision of the Board to take effect. Dr. Arthur stated that would depend on the Board's decision. It could BE a 15-day or a 30-day period, or any effective date it wished. Commissioner Moss said he believed the regulation was proposed primarily to address a problem in quarter horse racing. Dr. Arthur stated the current issue was

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within quarter horse racing; however, there were other potential problems the rule could address. Albuterol and Stanazolol were examples of two such drugs. Commissioner Choper asked what the period of time was between the notice and the hearing. Ms. Wagner stated the notice had to be issued at least ten days prior to the hearing. Commissioner Choper stated that mean interested parties would have time to submit written comments; similarly, anyone who wanted a hearing would state their reasons. Pablo Suarez of the TOC stated his organization supported the Board's efforts to stop any misuse of Clenbuterol in quarter horse racing, or any misuse of authorized medications that warranted emergency action. The TOC only asked that proper guidelines and parameters be placed within the proposed regulation to address such situations. The scope of the proposed regulation needed to be narrowed to address the Board's goals. Alan Balch of the CTT stated the discussion regarding the proposed addition of Rule 1844.1 has centered on one issue, yet the language of the proposed regulation was very broad. The CTT's written comments, which were submitted to staff, suggested that the regulation should require findings of the nature of the emergency, findings of science, as well as other procedural suggestions. Under Rule 1406 the Board currently had the ability to act, and not go through the entire process. Darrell Vienna of CTT said authorized medications were therapeutic substances designed and utilized to address disease processes. In the case of Clenbuterol, why would the Board want to suspend the legitimate use of a medication because an illegal form of the drug was being utilized? If illicit concentrations were used they would be detected and the perpetrators would face potential sanction. Mr. Vienna asked why there was the need for a summary and new process when the current regulatory framework had a remedy. There were current regulations regarding the authorized use of Clenbuterol. Illicit Clenbuterol was detected. Current regulatory action was ongoing and functioning properly, and sanctions might be forthcoming. How was the

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current system failing, and why was there a need for a broad new regulation? Mr. Vienna stated he was concerned that the proposed regulation was overreaching and counterproductive when the regulatory framework already had a remedy for the problem. The Board had the authority it needed to suspend the use of authorized medications, so there was no need for the proposed regulation. Rod Blonien, representing LARC, stated his organization strongly supported the proposed regulation. In addition, the Pacific Coast Quarter Horsemen's organization was also in support of the proposed regulation. Commissioner Rosenberg asked how the proposed regulation would work. If, as the CTT claimed, there was already a process in place, how would the proposed regulation be different? Dr. Arthur stated Clenbuterol was authorized for use for small airway disease. However, that was not how it was being used. Instead, it was being used for its muscle building effect that mimicked anabolic steroids. Out-of-competition testing of quarter horses at LARC demonstrated that every horse tested had Clenbuterol in its system. Illegal forms of Clenbuterol were being used for the muscle building effect, and as with TCO2 levels, there was a large window of opportunity to use the drug in an abusive way within the threshold levels. Dr. Scott Stanley of UC Davis said the manufacturer of Clenbuterol was concerned about the illegal product and it would like to work with the industry to address the problem. He stated the illicit form of Clenbuterol was administered at high doses for its repartitioning effect to increase muscle mass. There may be another direction to resolve the problem of illegal use, but currently, the laboratory could not distinguish one form of the drug from the other. The situation with Clenbuterol was unique in that small doses were therapeutic, but large doses had a different effect for which the drug was currently being abused. Vice-Chairman Israel asked what the side effects of Clenbuterol were. Dr. Stanley said the side effects included muscle tremors, respiratory problems and tachycardia. A big concern was the

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cardiac side effects that may be related to some sudden deaths. When Clenbuterol was abused to get increased muscle mass the side effects could be very dramatic. Commissioner Choper asked what Rule 1406 allowed the Board to do with regards to suspension. Dr. Arthur said Rule 1406 allowed the Board to suspend a regulation, but it was unclear whether the Board could suspend Clenbuterol for quarter horses at Los Alamitos. Staff Counsel Robert Mill stated Rule 1406 allowed the Board to suspend a rule with or without a hearing. If the Board suspended a rule without a hearing it could run afoul of the Administrative Procedures Act (APA). The proposed regulation had some specificity in that it allowed the Board to suspend authorized medication for a breed, a track or a race. The regulation provided a forum to suspend a rule that would not run afoul of the APA. Vice-Chairman Israel commented that as a practical matter no one would challenge the Board and advocate the overuse of Clenbuterol. Chairman Brackpool said the proposed regulation was really a process change. The regulation required that a notice of a hearing be promulgated, giving interested persons time to submit written opinions and to testify at the hearing. The Board was merely improving the process under which it might suspend a rule. Vice-Chairman Israel said he was concerned that the rule was limited to authorized medications. If the Board was going to have the authority, it should cover all its rules. He asked why that was the case. Commissioner Choper said the Board currently had the ability to suspend its regulations under Rule 1406; the proposed rule was addressing emergencies. The process would be public, so the public would know what was happening. Commissioner Harris stated he thought a ten day notice was a little quick. Perhaps the notice could be stretched out to 15 or 20 days. Chairman Brackpool stated ten days was the minimum allowed under the APA. Mr. Balch stated Rule 1406 allowed the Board to suspend a regulation with or without a hearing. The problem at LARC could have been taken care of if the Board had noticed a hearing to suspend

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the regulation at the first hint of the emergency. Commissioner Rosenberg motioned to adopt Rule 1844.1. Commissioner Derek seconded the motion, which was carried with Commissioner Moss voting "no" and Vice-Chairman Israel abstaining.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT OF CHRB RULE 1876, FINANCIAL RESPONSIBILITY, TO ADD FINANCIAL RESPONSIBILITY COMPLAINTS FROM EQUINE MEDICAL HOSPITALS; SERVICES BY HORSE FARMS THAT ARE RELATED TO HORSE RACING WHERE THE DEBT EXCEEDS \$1,000.00; HORSE AUCTION SALES; AND WAGE DISPUTES BETWEEN LICENSEES.

Jacqueline Wagner, CHRB staff, said the proposed amendment of Rule 1876, Financial Responsibility, would no longer require a civil court judgment to accompany a financial responsibility complaint. In addition, the proposed amendment would add complaints from equine medical hospitals, services by horse farms that are related to horse racing where the debt exceeded \$1,000, horse auction sales and wage disputes between licensees. Ms. Wagner stated staff received no comments in opposition to the proposed regulation. Vice-Chairman Israel motioned to adopt the amendment to Rule 1876. Commissioner Derek seconded the motion, which was unanimously carried.

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PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT OF CHRB RULE 1974, WAGERING INTEREST, TO 1) PROVIDE THAT A HORSE THAT IS REMOVED FROM THE WAGERING POOL IN ERROR SHALL RUN AS A NON-WAGERING INTEREST FOR PURSE ONLY, AND THE FOLLOWING AFFECTED REGULATIONS: CHRB RULE 1954.1, PARLAY WAGERING ON WIN, PLACE OR SHOW; 1957, DAILY DOUBLE; 1959, SPECIAL QUINELLA (EXACTA); 1976, UNLIMITED SWEEPSTAKES; 1976.8, PLACE PICK (N); 1976.9 PICK (N) POOL; 1977, PICK THREE; 1978, SELECT FOUR; 1979, TRIFECTA; AND 1979.1, SUPERFECTA, 2) AND THE PROPOSED AMENDMENT OF CHRB RULE 1606, COUPLING OF HORSES, TO REPEAL THE PROVISION THAT REQUIRES TWO OR MORE HORSES TO BE COUPLED AS A SINGLE WAGERING INTEREST WHEN SUCH HORSES ARE OWNED IN WHOLE OR IN PART BY THE SAME PERSON OR PERSONS, AND TO PROVIDE THAT THE RACING ASSOCIATION INFORM THE PUBLIC WHEN TWO OR MORE HORSES ENTERED IN THE SAME RACE ARE OWNED IN WHOLE OR IN PART BY THE SAME PERSON OR PERSONS, OR ARE TRAINED BY THE SAME TRAINER.

Jacqueline Wagner, CHRB staff, stated the proposed amendment to Rule 1974, Wagering Interest, would provide that a horse that was removed from the wagering pool in error would run as a non-wagering interest for purse only. In addition, the proposal would amend the following pari-mutuel regulations to accommodate the proposed amendment of Rule 1974, as well as the proposed amendment to Rule 1606, Coupling of Horses: CHRB Rule 1954.1, Parlay Wagering on Win, Place or Show; 1957, Daily Double; 1959, Special Quinella (Exacta); 1976, Unlimited Sweepstakes; 1976.8, Place Pick (n); 1976.9 Pick (n) Pool; 1977, Pick Three; 1978, Select Four; 1979, Trifecta; and 1979.1, Superfecta. Ms. Wagner stated the proposal would also amend Rule 1606 to repeal the provision that required two or more horses to be coupled as a single wagering interest when such horses were owned in whole or in part by the same person or persons. The proposed amendment of Rule 1606 would also require the racing association to make every effort to inform the public when two or more horses entered in the same race were owned in whole or in part by the same person or persons, or where trained by the same trainer. Madeline Auerbach of Thoroughbred Owners of California (TOC) stated her organization was in favor of the proposed amendments. Commissioner Choper said he believed the Board ought to

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emphasize the point that the racing associations needed to do everything reasonably possible to make it clear to the wagering public when two or more horses are owned in whole or in part by the same person or persons or are trained by the same trainer. Vice-Chairman Israel motioned to adopt the proposed amendment of Rule 1974, Rule 1606 and the pari-mutuel regulations as presented. Commissioner Moss seconded the motion, which was unanimously carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REPORT AND RECOMMENDATIONS FROM THE MEDICATION AND TRACK SAFETY COMMITTEE.

Commissioner Derek stated the Medication and Track Safety Committee (committee) met on April 8, 2011, to discuss a broad range of proposals. She said among the proposals the committee discussed was the proposed amendment of Rule 1658, Vesting of Title to Claimed Horse, to void a claim if the horse suffered a fatality during or immediately following the race. The goal of the proposed amendment was to discourage the running of a compromised horse. The committee also discussed a proposed amendment to Rule 1843.3, Penalties for Medication Violations, which would change the penalty for violation due to overage for phenylbutazone, and for drug substances which were categorized as warranting a Category D penalty. The proposed amendment would also change the allowable level of flunixin in a test sample from 50 nanograms to 20 nanograms per milliliter of blood plasma or serum. The committee discussed an amendment to Rule 1846.5, Postmortem Examination, to require the most recent six months of veterinary records be submitted within 48 hours after submission of the Necropsy submission form. Commissioner Derek stated the regulation would allow for the correlation of postmortem data with medical records to look for causal relationships. The committee also discussed a separate proposal to provide a veterinary medical history to new owners of claimed horses,

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which would help them deal with any ongoing medical issues, as well as a proposal to step up inspections of smoke detectors in the stable area and provide increased fines for the intentional disarming of an alarm. Finally, the committee heard from Executive Director Kirk Breed about the Racing Injury Prevention Program at UC Davis. Commissioner Harris commented it was important for the industry to remember that the committee was concerned with the welfare of the horse, as well as fan perception. The committee's proposals were reasonable. Commissioner Harris stated the proposals would be put out for a public comment period, and he encouraged all interested parties to take an active interest.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT OF CHRB RULE 1658, VESTING OF TITLE TO CLAIMED HORSE, TO VOID A CLAIM; 1) IF A CLAIMED HORSE SUFFERS A FATALITY DURING THE RUNNING OF THE RACE OR BEFORE IT IS RETURNED TO BE UNSADDLED; 2) IF THE CLAIMANT REQUESTS THE CLAIM BE VOIDED IF THE HORSE IS PLACED ON THE VETERINARIAN'S LIST AS UNSOUND OR LAME AS A RESULT OF THE RUNNING OF THE RACE.

Commissioner Derek stated the proposed amendment to Rule 1658, Vesting of Title to Claimed Horse, would void a claim if the horse suffered a fatality during the running of the race or before it returned to the unsaddling area. The proposed amendment would also allow the successful claimant to request that a claim be voided if the claimed horse were placed on the veterinarian's list as unsound or lame as a result of the running of the race. One goal of the proposed regulation was to discourage trainers from entering compromised horses in claiming races in an effort to have the horses claimed. Commissioner Rosenberg commented the Equibase Summary provided with the analysis did not mean much unless one knew the total number of horses starting in a claiming race in the given year. Commissioner Harris said the number of horses breaking down was small compared with the total number of starters, but any number was

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significant. Commissioner Rosenberg stated the number of horses on the summary did not mean they were entered in a claiming race because they were unsound; horses broke down. Commissioner Harris said the rule was not a silver bullet intended to solve all the problems. It was meant as a deterrent to perhaps stop an owner or trainer from thinking that a claiming race represented an exit strategy. He added that the language that allowed a successful claimant to void a claim if the horse were placed on the veterinarian's list might become a problem. It may be acceptable to limit the rule to horses that suffered a fatality during the running of the race or before returning to the unsaddling area. Commissioner Moss asked if any other racing jurisdiction had a similar rule. Dr. Rick Arthur, CHRB Equine Medical Director, said New York had a rule that allowed for a claim to be void if there were a drug positive. Vice-Chairman Israel said subsection 1658(c) was problematic. Pablo Suarez of Thoroughbred Owners of California (TOC) said his organization agreed that the proposed regulation should be narrower in its focus. The TOC did not believe that placing restrictions on claiming will remove incentive to drop horses in lower claiming races. However, the TOC would support a rule that voided the claim if the horse broke down, which would eliminate claiming insurance for owners. John Sadler of California Thoroughbred Trainers (CTT) stated the proposed amendment to Rule 1658 should be put off for additional work. The CTT resented the tone of the argument. Trainers were not dropping compromised horses to get rid of them in cheap claiming races. Mr. Sadler said the proposed regulation was a "lawyer's dream" – especially when trying to determine if a horse was truly unsound as a result of the race. Vice-Chairman Israel asked if the CTT would support the regulation if it only voided a claim due to a fatality during the running of the race or before the horse returned to the unsaddling area. Mr. Sadler said the CTT would like more time to look at the issue. Michael Wellman, a horse owner and breeder, spoke about his concerns regarding the

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proposed amendment to Rule 1658. Commissioner Choper said no one was stereotyping trainers. It was clear that the regulation would affect a very small percentage of claimed horses. The fact that governments had laws against murder did not mean that most people were committing murder, but that there could be some who would be deterred from engaging in misconduct. That was the reason rules were enacted. The only thing the proposed regulation did was shift the imposition of the loss to address the public's impression. The racing public believed horses were dropped to lower claiming races because they were compromised in some way. The fact was that only a small number of horses were involved, but it did not mean the Board should not attempt to remedy the problem. The proposed regulation did have a few provisions, but they could be discussed during the public comment period. Commissioner Rosenberg said the stated motivation for the regulation was to benefit the horse. However, it seemed the real reason for the rule was to address public perception. In reality, the problem occurred so infrequently that it did not make sense to change the claiming process, which had worked for so long. Commissioner Harris stated the proposed regulation was a baby step towards improved health and welfare of horses. Dropping unsound horses into cheap claiming races just to lose them did not happen very often, but if the proposed rule could save a couple of horses over time, it should be enacted. The rule would not damage owners in general; it would simply void claims under specific circumstances. Dr. Rick Arthur, CHRB Equine Medical Director, said in March 2011 at Santa Anita there were two horses that took double drops off good races, that were claimed favorites, and that never made it to the finish line. So, the issue was real, and it was not just an owner-trainer issue, it was also a horse and jockey issue. When a horse broke down it was a high-risk issue. The purpose of the proposed regulation was to take the incentive out of racing compromised horses. Dr. Arthur spoke about another instance of a

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horse that broke down in a claiming race at Los Alamitos. He stated it was a matter of debate as to whether the trainer was trying to unload a bad horse, but that was the public's perception, and the Board needed to demonstrate to the public that the industry cared about horses. Mr. Sadler commented that in the previous three years there had been no instances of horses breaking down in claiming races at Santa Anita, so in the CTT's view it was not a big issue. Chairman Brackpool stated the proposal to amend Rule 1658 was generating a lot of debate. However, the proposal would be put out for public comment, and everyone would have a chance to make a case. What was being missed was that horse racing was an industry wherein perception beget reality. Chairman Brackpool added he thought subsection 1658(c) had the most potential to create problems. He stated he would take out subsection 1658(c) and if there were enough comments in support of the concept, it could be put back. Chairman Brackpool motioned to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1658, less subsection 1658(c). Commissioner Choper seconded the motion, which was carried with Commissioner Moss abstaining.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT OF CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS, TO 1) CHANGE THE PENALTY FOR VIOLATIONS DUE TO THE OVERAGE FOR THE PERMITTED NON-STEROIDAL ANTI-INFLAMMATORY DRUG SUBSTANCE (NSAID), PHENYL BUTAZONE, AS DEFINED IN RULE 1844(C)(1), AND FOR DRUG SUBSTANCES IN AN OFFICIAL TEST SAMPLE, WHICH CHRB CATEGORIZES AS WARRANTING A CATEGORY D PENALTY; AND 2) TO CHANGE THE ALLOWABLE LEVEL OF FLUNIXIN IN AN OFFICIAL TEST SAMPLE FROM 50 NANOGRAMS TO 20 NANOGRAMS PER MILLILITER OF BLOOD PLASMA OR SERUM.

Dr. Rick Arthur, CHRB Equine Medical Director, stated the proposed amendment of Rule 1844, Authorized Medication, would lower the permitted level of two non-steroidal anti-inflammatory drug substances. The amended Rule 1844 will require the modification of the CHRB penalty

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structure to accommodate the lower levels. Dr. Arthur said the proposed penalty guidelines were rather lenient, and were similar to the Racing Medication and Testing Consortium (RMTC) recommendations that would go before the Racing Commissioner International (RCI) board later in 2011. He added that at the time the changes to Rule 1844 were approved the California Thoroughbred Trainers (CTT) objected because penalty guidelines were not proposed at the same time. The proposed amendment to Rule 1843.3 would address the CTT's concerns with generous penalty guidelines for potential violations. Commissioner Derek commented the proposed amendment to Rule 1843.3 was an example of the Board and staff working with the industry. The proposed penalties were more lenient than the RMTC recommendations. Commissioner Moss asked if any other racing jurisdictions had the same regulations. Dr. Arthur stated a third of racing jurisdictions had the two microgram rule and the penalty guidelines were similar to what the RCI would be looking at. Alan Balch of the CTT said his organization believed the proposed guidelines were reasonable, but they were based on threshold levels that would likely result in increased penalties. The CTT believed it was important that the proposed amendment to Rule 1844 and Rule 1843.3 be talked about at the same time because it believed there were some differences in whether bute was a 24-hour or a 30-36-hour medication, as specified in CHRB regulations. Pablo Suarez of Thoroughbred Owners of California (TOC) stated his organization approved of and accepted the proposed amendment to Rule 1843.3 if the proposed amendment to Rule 1844 were to be ratified. Vice-Chairman Israel said he believed veterinary records should be available to the public and everyone in the industry; there needed to be total transparency. Over an extended period of time backstretch medicine should be socialized so every horse was treated the same. Currently, the public knew the name of every owner, trainer and jockey involved when something happened to a horse, but no one knew the

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name of the veterinarian. Yet, it could be the veterinarian who administered too much medication or overlooked something. The way veterinarians were treated needed to be reconsidered. Veterinarians needed to be held just as accountable as the other parties involved with the horse. Vice-Chairman Israel said Dr. Arthur spoke about how Hong Kong treated veterinary records and it seemed to be effective and helpful. Dr. Arthur said he realized the perception was that veterinarians did not suffer repercussions, but in fact they were subject to fines and suspensions. Vice-Chairman Israel stated he understood, but the Board needed to start the process to make all veterinary records transparent. The industry needed to understand how horses were being treated, and transparency was the only fair way to accomplish that goal. He added the patient-doctor privilege did not apply because the patient was the horse. Commissioner Derek motioned to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1843.3. Commissioner Choper seconded the motion, which was unanimously carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT OF CHRB RULE 1846.5, POSTMORTEM EXAMINATION, TO REQUIRE THE PRECEDING SIX MONTHS OF VETERINARY RECORDS TO BE SUBMITTED WITHIN 48 HOURS AFTER SUBMISSION OF THE NECROPSY SUBMISSION FORM.

Chairman Brackpool said the proposed amendment to Rule 1846.5, Postmortem Examination, would require the submission of the previous six months of veterinary records to the official veterinarian within 48 hours of submission of the necropsy submission form. Dr. Rick Arthur, CHRB Equine Medical Director, said the proposed amendment to Rule 1846.5 would provide previous medical histories of deceased horses for use in conjunction with the necropsy program. In the past, the Board had to resort to subpoenas. The proposed regulation would treat the

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records in a manner similar to the confidential veterinary reports. The records would be used to correlate the previous medical history with the pathological findings. Dr. Arthur commented the causes for horses breaking down were multi-factorial. The Board had a state-of-the art necropsy program, but it needed to utilize its core competencies and use the veterinary information to try to correlate with the type of problems it saw. The information could be used to better educate horsemen regarding the kinds of problems they needed to look at. Commissioner Derek commented she thought the proposed amendment was important, as it would help the necropsy program move forward in identifying pathological problems in race horses. Commissioner Moss asked if a necropsy report was ever used to convict anyone. Dr. Arthur stated they had been used in investigations, but they were not necessarily forensic necropsies; they were intended to improve the healthcare of the horse. Commissioner Harris stated the purpose of the proposed regulation was not to point a finger at anyone, but to do a better job on the necropsies. If the laboratory had more of a health history, it could do a more thorough necropsy. Madeline Auerbach of the Thoroughbred Owners of California (TOC) stated her organization was in favor of the proposed amendment, but it would like to see a program where the horses were not named, but instead were assigned numbers. The TOC was concerned about liability issues. Technically, veterinary records were paid for and owned by the horse owner. The TOC was willing to have the records utilized for the advancement of the necropsy program, but it was not willing to have them subject to many people looking them over. If numbers were assigned to the horses it would be akin to a blind study, which would help avoid law suits. Dr. Arthur said assigning numbers would be difficult. In addition, he stated he was not aware of any instance where specific horses names, or the names of owners or trainers were identified with any research conducted by UC Davis. However, certain research was correlated with information from Equibase, so it was

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necessary to have the horse's name to obtain that epidemiological information. Dr. Arthur stated in the 20 years of the CHRB necropsy program, and 5,500 necropsies, he was not aware of anyone being sued because of the information. Commissioner Derek motioned to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Board Rule 1846.5. Commissioner Harris seconded the motion, which was unanimously carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT AND UPDATE BY THE UNIVERSITY OF CALIFORNIA, DAVIS AND THE CHRB REGARDING THE JOINT PROPOSAL FOR A RACETRACK SAFETY PROGRAM FOR EQUINE WELFARE AND INJURY PREVENTION BY THE J. D. WHEAT VETERINARY ORTHOPEDIC RESEARCH LABORATORY AND THE CALIFORNIA ANIMAL HEALTH AND FOOD SAFETY LABORATORY SYSTEM IN CONCERT WITH THE CHRB POST MORTEM PROGRAM.

CHRB Executive Director Kirk Breed said the California Business and Professions Code required the Board to investigate and report on all fatalities on the race track. The law also required the Board to determine the cause of the injury that led to the fatality. The Board accomplished the first part of the mandate by reporting fatalities. The purpose of the current proposal was to complete the second part of the mandate by thoroughly investigating fatalities. The Board was being asked to vote to have the University of California at Davis (UC Davis) conduct extensive research and analysis to produce data regarding fatalities in race horses. In addition, UC Davis will develop means of preventing the fatalities and injuries by creating a program of maximizing the data to detect potential injuries. Mr. Breed stated the program could be paid for within the CHRB's existing budget due to savings. Dr. Sue Stover of UC Davis said the proposed program was intended to take the postmortem program to the next step. In addition to identifying that a horse died because of a fracture, as was currently the case, the program would educate horsemen about the preexisting injury that resulted in the fracture, how the

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horsemen could detect such injuries and how horsemen could appropriately rehabilitate the horse. The program would also do research on injuries about which there was still little information. However, the main component was education and race horse injury prevention for equine welfare, jockey safety and the reduction in the attrition of race horses to improve the economic viability of the industry. Commissioner Moss asked how racetrack safety related to the program. He stated he wondered if it would result in a synthetic track versus traditional dirt track debate, which might not be productive. Mr. Breed said he did not believe that would be a result of the study. Commissioner Rosenberg stated it did not seem as if horsemen were in the practice of having ultrasound screenings of horses that seemed to be sound. Dr. Stover said the aims of the program were to identify diagnostic tests that could be used when a horse was showing reduced performance or signs of specific injuries. It was not practical to ultrasound scan and bone-scan the entire horse, but there were times when certain diagnostic procedures were indicated and useful in identifying specific injuries. Commissioner Rosenberg said it seemed that trainers would use such techniques if a horse was showing signs of an injury or lameness. Dr. Stover said veterinarians, trainers and owners used all the tools they were aware of, but the program had new information it would like to disseminate and implement to make a difference for equine welfare. Commissioner Rosenberg asked how such new information was circulated in the past. Dr. Stover said in the past year it was recognized that sesamoid bone fractures in the fetlock were associated with a preexisting lesion that was not detectable with current radiographic techniques. So, two research projects were initiated to develop different radiographic views to identify then transfer such information to race track veterinarians to identify at-risk horses. The horses could be appropriately treated to prevent those breakdowns. Dr. Arthur spoke about the various methods of disseminating findings of the necropsy program.

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He stated disseminating the information was difficult and that was why continuing trainer education was periodically discussed. Chairman Brackpool stated he favored the proposal, but it was an expensive, ongoing process. He asked what reports that demonstrated the continued validity and necessity of the study the Board would be receiving on an on-going basis. He stated he did not want to vote for a global program and then discover there were numerous additions. The Board needed to receive information so it could analyze the benefits of its commitment. The current proposal was one year for \$950,000, but would that only achieve 20 percent of the total. Executive Director Breed stated the proposal was not a one-year study. It was an on-going process that would become an integral part of the CHRB's effort to investigate and prevent race horse injuries. A report on every injury would go to the Board for its review. He added the turnaround time for each investigation was expected to be an average of 20 days. Chairman Brackpool said he did not know how realistic it would be to expect every Commissioner to read every report as if they were experts. He stated he would be more interested in a process that resulted in quarterly reports to the Medication and Track Safety Committee and semiannual reports to the full Board. The reports could inform the Board on what was accomplished, what else could be accomplished, and where the program might be adjusted where necessary, and most importantly, was anything really being learned. Vice-Chairman Israel said if the program were productive, it would benefit the entire horse racing industry. He asked if there was a way for other state racing boards and other veterinary schools to contribute in some way. Otherwise, the industry would realize the benefits of California's efforts at no cost. Or, was there a way to sell the results to monetize some of the work. Dr. Arthur said he did not know how the product could be sold. He added most of Dr. Stover's research on the raw product provided by the CHRB was funded by outside organizations such as the Jockey Club, the United States

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Department of Agriculture and others. Dr. Arthur stated the work did benefit horse racing around the world, but he did not know how the CHRB would ask for out-of-state funding. Vice-Chairman Israel said the CHRB needed to do outreach to other states' racing boards and veterinary schools to determine if they would become willing partners at some level. Simply raising \$250,000 would go a long way to defer some of the expense. Chairman Brackpool asked if the reporting requirements he outlined would be acceptable. Dr. Stover said the requirements would be acceptable. Chairman Brackpool stated that if the Board approved the request it would be making a one-year commitment, and it would hear reports in 6 and 12 months time. It would then be able to make an informed decision regarding the continuation of funding at the same level, for less money or not at all. He asked if the Board had that option and was not making a multi-year commitment. Executive Director Breed said that was correct; the Board was making a one-year commitment. Commissioner Harris commented it should be kept in mind that the funds were from the industry. The Board was not committing money from the State of California. Due to the budget crisis it was important that no one thought general fund money was being used. Commissioner Choper said he endorsed Vice-Chairman Israel's point about asking beneficiaries of the research to contribute. The amount being committed was almost 10 percent of the CHRB budget, and the CHRB should make an effort to involve other interested parties. Engaging other jurisdictions could improve the data. Commissioner Rosenberg asked if Exercise-Induced Pulmonary Hemorrhage (EIPH) would be included in the study. Dr. Stover said the study would focus on musculoskeletal injuries; EIPH was a pulmonary injury. Commissioner Rosenberg commented a letter from the National Horsemen's Benevolent Protective Association (NHBPA) quoted a South African study that indicated 60 percent of sudden deaths during racing were attributed to EIPH. Dr. Arthur said the NHBPA letter was

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correct, but EIPH deaths were a small part of the racetrack fatalities. He added the necropsy program did respiratory research, viral research and it certainly could look at EIPH if it got extra funding. Vice-Chairman Israel suggested that the advance deposit wagering (ADW) providers might be willing to make a goodwill contribution to the study. Chairman Brackpool said that might be an issue when the ADW providers were relicensed in December 2011. Chairman Brackpool motioned to approve the research study as presented, with the assurances that the Board was making a one-year commitment, and that quarterly reports would be made to the Medication and Track Safety Committee and semiannual reports made to the full Board. In addition, the Board reserved the right to increase or decrease its financial participation at the end of the one-year period. Commissioner Rosenberg seconded the motion, which was unanimously carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE CHRB'S PARTICIPATION IN THE RACING COMMISSIONERS INTERNATIONAL (RCI) FIVE YEAR PLAN FOR THE ELIMINATION OF DRUGS AND MEDICATION IN HORSE RACING.

Chairman Brackpool said on April 20, 2011 the Racing Medication Testing Consortium (RMTC) announced it would convene a summit to occur within 90 days to bring together a range of international industry views on race-day medication. The National Thoroughbred Racing Association issued statements suggesting that several national industry groups endorsed the Racing Commissioners International (RCI) proposal for a five year plan to eliminate drugs and medication in horse racing. Dr. Rick Arthur, CHRB Equine Medical Director, said comments by the incoming and outgoing chairmen of the RCI stirred some controversy within the industry. A summit was being organized by national industry groups to discuss and compare US and international regulations. He added internationally furosemide was not permitted and in many

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countries horses performed well and were healthy. However, a South African study indicated furosemide did lower Exercise Induced Pulmonary Hemorrhage in race horses. Putting the issues in perspective would be a challenge for the industry. Dr. Arthur stated the United States Congress was starting an investigation into drugs and medication in horse racing and that is what precipitated much of the industry's actions. Chairman Brackpool commented the Board wanted to ensure the issue was on the agenda to demonstrate that California would closely watch and participate as a stakeholder in the ongoing discussions. John Barr, representing the Jockey Club Safety Committee, said the importance of the issue was demonstrated by the number of industry entities involved. The US was out of step with the rest of the world regarding race-day medication. The RMTC needed to get the national industry to engage in constructive dialogue and to recognize that public perception was a vital part of the program. The industry needed to be able to stand up and not be looked at askance by the world or by the wagering public, which wondered if races were rigged or fixed due to drugs. The industry also needed to think about the welfare of the horse, and perhaps zero tolerance was not the ultimate answer. Mr. Barr urged the CHRB to vote to support and participate in the process. Vice-Chairman Israel stated he was pleased Mr. Barr did not support zero tolerance. It was amazing how raising the issue resulted in the absence of nuance and the rejection of science. There was a place in horse racing for science and good medical practice, and simply banning all medication would not accomplish anything. John Sadler of the California Thoroughbred Trainers (CTT) said that prior to participating in the discussions the Board should consider which medications were therapeutic, and how those medications remedied medical problems, affected pain and were beneficial under racing conditions. Mr. Sadler added many of the foreign jurisdictions ran many fewer days than were run in California. Those were all factors that needed to be considered. Pablo Suarez of

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Thoroughbred Owners of California stated his organization believed the elimination of race-day medication was not in the best interest or welfare of the horse. Commissioner Harris stated it was important to consider the welfare of the horse and to pursue sound science. However, even race-day medication was not a silver bullet. Studies have shown that even with Lasix about 55 percent of horses bled. The other side of the issue was public perception, which was difficult to scientifically gage. The Board needed to figure out what it wanted to accomplish before it started the journey. Commissioner Derek said therapeutic drugs needed a close examination, so it was important for the Board to support the RMTC program. Dr. Arthur stated he visited racetracks around the world, and the veterinary care at racetracks without medication was as good as the veterinary care in the United States. However, there was no such thing as zero tolerance, and the international racing jurisdictions had come a long way in recognizing that. The major differences were in the non-steroidal anti-inflammatory drugs and furosemide. Dr. Arthur said foreign jurisdictions did run fewer days than California, but they did it with a small number of horses and large fields. The horses were around a long time and there were a lot of starts per horse. The industry needed to keep an open mind as it approached the RMTC summit. Chairman Brackpool said there was no proposal to endorse zero tolerance or anything else before the Board. The proposal was for the Board to participate with other stakeholders in an important debate; California had to remain a leader in the field. The issue was a general statement of support to participate in the process. Chairman Brackpool said the unanimous position of the Board was to support staff and the various committee members and Board members who participated as a stakeholder in the discussions.

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DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE SAN JOAQUIN COUNTY FAIR (F), AT STOCKTON, COMMENCING JUNE 16, 2011 THROUGH JUNE 19, 2011, INCLUSIVE.

Jacqueline Wagner, CHRB staff, said the San Joaquin County Fair (SJCF) proposed to operate a horse racing meeting at Stockton from June 16, 2011 through June 19, 2011, or four days, one day less than in 2010. The first post time was 1:15 p.m. The advance deposit wagering providers were XpressBet, TVG and Twinpires. Ms. Wagner stated the fire clearance was the only outstanding document, but the inspection was scheduled and would be completed prior to the start of the meeting. Chairman Brackpool asked why, every time the Board heard a fair application, the horsemen's and trainer's agreements were received well after the packet was completed for review. Commissioner Choper asked what would happen if the Board required all the criteria to be satisfied before the applications were placed on the agenda. Ms. Wagner said prior Board attempted to enforce such a requirement. It seemed to be a sticking point with the applications that the associations and horsemen's organizations would have to address. However, the Board did have the authority to impose such a requirement. If it did so, and the agreements were not received, the items would not be placed on the agenda for hearing. That could put a race meeting at risk. Chairman Brackpool stated that did not take away from the fact that the documents should have been completed. Chris Korby of California Authority of Racing Fairs (CARF) said one problem was that there were five horsemen's agreements with six fairs. Since an agreement was in place all subsequent fairs would be covered. Vice-Chairman Israel said the argument did not wash. CARF knew since the last day of the last 2010 fair that it would run in 2011, so it had an entire year to complete the agreement. What took so long? Commissioner Rosenberg commented the problem was endemic to other licensees. Chairman Brackpool stated the problem gave the impression the Board was rubber stamping the

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agreements. The application provided a lot of information that might or might not be of interest, yet the question was: have the horsemen and trainers signed off on the meeting? The Board ended up with the agreements provided at the last minute without any time to review them. Mr. Korby stated CARF would commit to getting the agreements completed in a timelier manner. Chairman Brackpool asked if CARF would commit to the Board not hearing its applications if they did not include the requisite agreements. He added that was something the Board would hold any other application to. The Board understood if the fire clearance was not completed, but there was no reason that the industry could not complete the horsemen's and trainer's agreements. The racing calendars were completed a year in advance, so the racing calendars were well known by all parties. Mr. Korby said the problem usually occurred once with the first fair, and then the agreements covered all subsequent fairs. Chairman Brackpool said the Board understood, but it did not have a chance to review the documents. Did CARF think the Board should review the documents and put the item over for one month? Mr. Korby said CARF was requesting that the Board approve the application. He asked how far in advance the Board would like to review the documents. Chairman Brackpool said the Board would like the agreements to be submitted with the applications. He asked if there were any material changes in the 2011 agreement over the 2010 agreement. Mr. Korby stated there were no material differences. Chairman Brackpool asked if the California Thoroughbred Trainers agreement was completed. Mr. Korby said it was complete; all the horsemen's agreements for the fairs were signed. Commissioner Choper asked if the agreements could be reached if the schedule were moved back. Mr. Korby said CARF would commit to making every effort to complete the agreements. Chairman Brackpool asked what would be different about SJCF in 2011. Tom Sawyer of SJCF stated the fair would make a huge effort to get on the grounds and educated about horse racing.

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He described the fair's plans to attract the public and to improve the facilities within the fair's limited means. Mr. Korby stated he wanted to inform the Board that the fair would be asking for later fall 2012 race dates. There had been discussions with other Northern California stakeholders and the idea had support. SJCF was committed to reconnecting to its agricultural roots and it felt it would be more effective in late September. CARF fully supported the request and would come before the Board when 2012 race dates were considered. Chairman Brackpool **motioned** to approve the application for license to conduct a race meeting of SJCF conditioned on receipt of the fire clearance. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR (F), AT PLEASANTON, COMMENCING JUNE 22, 2011 THROUGH JULY 10, 2011, INCLUSIVE.

Chairman Brackpool said the Alameda County Fair (ACF) proposed to operate a race meeting from June 22, 2011 through July 10, 2011 at Pleasanton. He stated he assumed the horsemen's agreements were received and the fire clearance was outstanding. Jacqueline Wagner, CHRB staff, said that was correct. Rick Pickering of ACF stated the 2011 meeting would be the fair's 99th anniversary. An historical monument would be dedicated on the opening weekend, and the press and public were invited. In addition, Huell Howser of the "California Gold" television series was going to do a full production which would air on the PBS channels in California on May 9, 2011. Commissioner Rosenberg asked if ACF had an interest in operating a minisatellite wagering facility within its area. Mr. Pickering said ACF did have an interest, and it currently had pre-agreements in place with Golden Gate Fields that addressed dividing up the County of Alameda. Commissioner Rosenberg asked if ACF had plans to open a minisatellite. Mr.

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Pickering said there were no current plans because it was focused on keeping its satellite wagering facility open year around. A discussion ensued regarding the ACF satellite facility, its location, plans to keep it open and possibilities for partnerships. Commissioner Choper said it was important to try to determine the impact of the satellite facilities; did the facility take dollars away from the main track? It was important to learn about the satellites, who were they attracting, and would those persons otherwise be making wagers. The industry needed to expand its audience, but it was not operating with full information. Commissioner Rosenberg said there was no one in charge of Southern California Off-Track Wagering, Inc. (SCOTWINC). He talked about his recent visit to the OC Tavern minisatellite in Orange County, and commented the industry needed to explore expanding minisatellites. Rod Blonien, an industry representative, stated SCOTWINC recently hired Rick Baedeker specifically to scout for minisatellite locations. He stated he had a conversation with Mr. Baedeker and that they planned to work together to find locations. Commissioner Harris **motioned** to approve the application for ACF to operate a horse racing meeting at Pleasanton, conditioned on receipt of the fire clearance. Commissioner Rosenberg **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO OPERATE A MINISATELLITE WAGERING FACILITY BY THE MONTEREY COUNTY FAIR AT BANKER'S CASINO, SALINAS, FOR A PERIOD OF UP TO BUT NOT EXCEEDING TWO YEARS.

Chairman Brackpool said the item was **withdrawn**.

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DISCUSSION AND ACTION BY THE BOARD REGARDING A PRESENTATION BY HOLLYWOOD PARK RACING ASSOCIATION REGARDING ITS INTRODUCTION OF THE HOLLYWOOD PARK "NEW COMERS INITIATIVE PROGRAM."

Chairman Brackpool stated the item was a follow-up on the approval of the Hollywood Park Racing Association (HPRA) application for license in March 2011. During the discussion, the Board expressed the opinion that HPRA was not doing enough to move the concert audiences into wagering on horse races. Part of the problem was that horse racing was a difficult sport for the uninitiated. Diane Grealish of HPRA stated the racing association provided the Board with an outline of the HPRA "New Comers Initiative Program" (NCIP). She said HPRA was able to accomplish almost every aspect of the program. Customer service representatives were trained to assist newcomers in placing wagers. In addition, a beginners' guide that would help with wagering, terminology and reading the program was created to assist new racing fans. HPRA opened four special teller windows and would install special signage to make stepping up to a window less intimidating. HPRA also designed special lapel buttons for its employees to wear. The buttons stated: "Ask Me How to Bet." George Ortuzar explained the concept behind and the workings of the game "Hollywood Park Jeopardy" that was created to involve concert patrons. He said the questions were basic, and they encouraged the audience to become involved if the contestants did not know the answers. The prizes involved activities that would encourage the participants to return to the track, such as dinner for ten at the Turf Club. Vice-Chairman Israel suggested HPRA utilize the strategies employed by rock concerts by giving out wrist bands to persons who arrived early. The wrist bands were color coded to indicate where the attendees could stand in relation to the stage. The bands would provide an incentive to show up early and go to the windows. Mr. Ortuzar said HPRA would try the suggestion. He discussed the HPRA database marketing and stated HPRA was tremendously encouraged by the huge sign-up in the

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first few weeks. Chairman Brackpool encouraged HPRA to watch how its promotions went. He stated the Board would like to see the handle start to reflect the increased audience.

DISCUSSION AND ACTION BY THE BOARD TO RATIFY THE ACTION OF THE EXECUTIVE COMMITTEE AND THE EXECUTIVE DIRECTOR, CONSENTING TO THE REDUCED TAKE-OUT PICK 5 WAGER REQUESTED BY HOLLYWOOD PARK RACING ASSOCIATION, WITH THE AGREEMENT OF THE THOROUGHBRED OWNERS OF CALIFORNIA, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19601.01.

Chairman Brackpool stated the item was previously approved, but required the consent of the Board. Michael Wellman, an owner and breeder, spoke about his concerns regarding a recent Southern California race meeting, the decline in handle and wagering. Commissioner Harris said the takeout rates were sometimes misunderstood. The Board did not set the rates. The rates were requested by the track and the horsemen in their agreements, and approved by the Board. The Board did not arbitrarily set the takeout rates. He stated he thought the reduced takeout wager was a good idea. It would cost some money, but it would attract racing fans and was a great promotion. Commissioner Harris added the insinuation that the CHRB was somehow against the idea of lower takeouts was not true. Vice-Chairman Israel said the law allowed a takeout of between 10 percent and 25 percent. It was not necessarily the Board's business to tell a race track or horsemen how much to charge; they got to set their rates. As long as the requests were within the law, he personally would vote to approve. Vice-Chairman Israel said he was speaking for himself, but he believed that was the feeling of the Board. It was absurd to accuse the Board of setting prices. It was a free market within the constraints of the law. Chairman Brackpool motioned to approve the action of the Executive Committee and the Executive Director in consenting to the reduced takeout Pick 5 wager requested by Hollywood Park Racing Association. Vice-Chairman Israel seconded the motion, which was unanimously carried.

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DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT FROM CHURCHILL DOWNS TECHNOLOGY INITIATIVES COMPANY, DBA TWINSPIRES.COM CONCERNING ITS CALIFORNIA OPERATIONS, INCLUDING ITS PROMOTION PLANS FOR HORSERACING IN CALIFORNIA.

Rohit Thukral of Twinspires.com (Twinspires) gave a PowerPoint presentation regarding Twinspires, its growth as a wagering entity, and its general history. He stated Twinspires contributions to California Racing in 2010 totaled \$21.4 million for California stakeholders from wagers placed through Twinspires and Youbet combined. California tracks received \$7.5 million; purses \$7.4 million; breeders \$700,000; and other stakeholders received \$5.8 million collectively. Twinspires currently marketed California racing across the country and in 2010 it generated \$99.2 million in handle out-of-state, which accounted for 55 percent of all ADW handle in California. Mr. Thukral spoke about Twinspires technological innovations, including an internet application, mobile wagering. Chairman Brackpool asked how easy it was to make a wager on any of the smart phones. Was it more difficult than on an iPad or a computer? Was the technology equal? Mr. Thukral said the smart phones were basically computers with smaller screens. Chairman Brackpool asked if Mr. Thukral thought the mobile technology was at its optimum stage. Mr. Thukral said there were inconveniences with the smaller screens relative to the iPad or Android Tablets. Chairman Brackpool said he understood, but did Twinspires believe its technology was where it needed to be on the smart phones, or was there room for improvement? Mr. Thukral said there was a lot of room for innovation, improving the user experience and with connection to faster networks. Chairman Brackpool asked if Twinspires customers could watch races in HD on its site. Mr. Thukral said Twinspires was providing HD coverage for certain race track that transmitted their video in HD, such as Keeneland, Churchill Downs, Fairgrounds, Calder Race Course and Del Mar. Chairman Brackpool commented California was the leading technology state in the Union, yet it did not have HD. Mr. Thukral

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stated Twinspires was providing its users free interactive handicapping data, which went beyond what one received in the program at a race track. The data included complete starts and past performances. Commissioner Rosenberg asked if Twinspires advertised that fact to the public, and if so, where did it advertise. Mr. Thukral said Twinspires advertised in all racing industry publications, on the internet and in print publications. Twinspires also did direct marketing to persons on its database. Vice-Chairman Israel said the Twinspires contribution to California racing as \$21.5 million. He asked how much Twinspires received, gross. Mike Cody of Twinspires stated Twinspires received the percentage reflected in the hub agreements for each breed. According to the California Horse Racing Information Management System, the number was \$5 million. The number was a combination of handle for California and handle outside California. Vice-Chairman Israel said he understood, but there had to be a number that would tell the Board how much gross Twinspires made from California. Mr. Cody said according to CHRIMS it was \$5 million. Jeremy Clemons of Twinspires spoke about Twinspires promotions, which included free past performances on California since 2008, free streaming video and race replays, and retail promotions with race tracks. He stated one recent promotion was a zero percent takeout Pick 4 wager on a Cal-Expo race. Mr. Clemons stated the wager was successful at Cal-Expo and especially on Twinspires website. Twinspires also offered single day promotions. Chairman Brackpool asked if Twinspires was showing Cal-Expo live. Mr. Clemons said "yes." He stated Twinspires was also working on promotions with Del Mar that would return valuable points to customers who hit the Pick 4 at Del Mar. Twinspires was also working to get fans back to the race track. At Del Mar it invited everyone within a two hour radius to the track. The participants received premium seating, free food and drinks and other items including a chance to win tickets to the Kentucky Derby. Twinspires held over 20 such

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events at different racetracks throughout the nation, and it would conduct such events at any California track that expressed an interest. Vice-Chairman Israel asked how much Twinspires was willing to contribute to the UC Davis racetrack safety program. Brad Blackwell of Twinspires said that was something Twinspires would take under consideration. Commissioner Choper asked if the Twinspires customers who went to the Del Mar promotion were big players or ordinary customers. Mr. Clemons said the participants were ordinary customers who lived within a two hour radius of Del Mar. Commissioner Choper asked if Twinspires could try to ensure that any of the Del Mar participants' guests were not already players. Mr. Clemons said the participants were encouraged to bring up to three guests. Commissioner Harris commented it would be interesting to see how many fans watched a race on traditional television versus the internet. Mr. Thukral said the viewing habits were evolving. The younger demographic tended to gravitate to the internet and less to over the air television. Mr. Thukral explained the viewing options available to Twinspires customers. Mace Siegel, a horse owner, spoke about his concerns regarding Churchill Downs. Chairman Brackpool said Twinspires should keep the Board informed about its California operation. He stated that as the re-licensing process approached there would be significant discussions regarding the California ADW model.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PRESENTATION FROM MI DEVELOPMENTS (MID) ON THE PROPOSED TRANSFER OF MID'S HORSE RACING ASSETS TO A NEW ENTITY CONTROLLED BY FRANK STRONACH.

Chairman Brackpool said the issue before the Board was that significant assets belonging to MI Developments (MID) would soon be transferred to a new entity controlled by Mr. Frank Stronach. When MID obtained the assets from the bankrupt Magna Entertainment Corporation, the Board undertook an exhaustive process to determine the financial strength of MID to control

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the assets and to issue a waiver that permitted the ownership, as required under the law. Chairman Brackpool said the Board would like to hear about the process that would transfer the MID assets to Mr. Stronach, the assurances that would be necessary to obtain a waiver from the Board, and some of the plans of the new entity. Greg Avioli of Magna Entertainment (ME) said the MID racing assets would be transferred to the Mr. Stronach's family trust in exchange for his ceding of certain control rights he held at MID. The exact nature of the MID entities that would be transferred were still being worked out, but they would be controlled by Frank Stronach and his daughter in a to-be-formed entity. The parent company of the North American racing assets was currently MID US Holdings. That entity would continue to be the parent company, but there would be a different owner at the top. The transaction was approved by the shareholders of MID and the court that would oversee the transaction. The transaction was slated to be closed on June 30, 2011. Not only did the racing assets have to be divided, but all the employees; there were a lot of complicated sub-issues. Chairman Brackpool said it was unclear as to what level of debt was being transferred with the assets. Were the assets being delivered with no liabilities? Mr. Avioli said he understood the debt would remain with MID and the assets would be delivered without debt. He stated he could not say there would be no liabilities, but to the extent that debt represented bank borrowings, or mortgages, that debt would remain with MID. Mr. Daruty of ME stated there would be no debt in the traditional sense of the word. There would be liabilities associated with the ongoing operations of each asset. Chairman Brackpool said an asset value of \$600 million to \$700 million was being transferred with no associated debt. Mr. Daruty said that was correct. Commissioner Choper asked if the audited statements of the holding company would be available in respect to the license applications. Mr. Daruty said the Board imposed certain conditions as part of the MID waiver and they would be honored without regard to the

PROCEEDINGS OF THE REGULAR MEETING OF APRIL 28, 2011

transaction. That included audited financials. Mr. Avioli stated the new entity would be very strong financially, because it would essentially be debt free. Commissioner Rosenberg asked how many subsidiaries existed. Mr. Avioli said the number was in the multiple dozens. For example, Santa Anita and Golden Gate Fields were each two separate entities; one that owned the land and one that held the license to operate a race meeting. Other entities, such as the Maryland Jockey Club, consisted of eight to ten legal entities. With the transfer of assets none of that would change. The only difference would be the ownership at the top. Vice-Chairman Israel said there were two issues. The first was if a debt burden produced by an out-of-state track would have an adverse effect on Santa Anita or Golden Gate Fields because they were a combined entity. The second was if the real estate development rights were reserved by the public company and not transferred. Mr. Avioli said the full ownership rights, including real estate rights associated with the racing entities would go to the Stronach Trust. Commissioner Harris asked if there would be sufficient capital to support the operations of the assets under the new structure. Mr. Avioli stated he was confident that when the Board saw the balance sheet of the new entity it would be as strong as any seen by the Board in a long time. Chairman Brackpool stated staff counsel advised the new entity would require a waiver from the Board. He asked if Mr. Avioli agreed. Mr. Avioli said the new entity would comply with whatever the Board believed was appropriate. Chairman Brackpool commented that one of the continuing issues the Board had with Stronach controlled entities was that of ultimate authority of decision making. He stated he assumed Mr. Avioli was going to be the person with that authority, and when he appeared before the Board he could make commitments and agree with requests. Mr. Avioli said he could not state he had blanket authority to make decisions and then inform the Stronachs. Frank and Belinda Stronach were essentially the board of directors. Mr. Avioli stated

PROCEEDINGS OF THE REGULAR MEETING OF APRIL 28, 2011

he hoped that if he made a strong recommendation it would be heeded. Mr. Avioli asked if the waiver previously granted by the Board was granted to MI Developments US holding, Inc. He stated that was the same entity that would own the California properties. Robert Miller, CHRB staff counsel, said the waiver was granted to MI Developments. Mr. Avioli said he did not know if it was relevant, but the actual entities within the US were not changing. Vice-Chairman Israel asked where the headquarters would be located. Mr. Avioli stated that had not been decided. It was currently in Toronto, Canada. However, the logical choices were Florida or California. Chairman Brackpool stated the Board would consider the issue to determine when it would be revisited and what it would require going forward. Richard Castro of the Pari-Mutuel Employees Guild said his organization would like the new entity to provide a written guarantee stating it would assume existing pension responsibilities. Mr. Avioli stated he would be happy to discuss the issue with Mr. Castro.

DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF THE CONTRACT FOR RACETRACK SOIL TESTING SERVICES.

CHRB Executive Director Kirk Breed said staff was requesting the Board approve encumbering of \$250,000 of the current fiscal year's funds for the purposes of contracting with the Racing Surface Testing Laboratory (RSTL) in Orono, Maine. The laboratory was managed by Dr. Mick Peterson. Mr. Breed stated the testing was the final phase of the CHRB Race Track Safety Standards Program. Chairman Brackpool said Dr. Peterson was the leading independent expert at the meeting regarding the Santa Anita track. Dr. Peterson was then retained by various entities including Santa Anita and California Thoroughbred Trainers, and yet there was still debate over surfaces and where to go forward. It seemed everyone was hiring the same person, and the Board was being asked to retain that person again for the same surface. Chairman Brackpool

PROCEEDINGS OF THE REGULAR MEETING OF APRIL 28, 2011

said he was concerned about limited resources within the industry. Were there other assets? Commissioner Rosenberg asked if the RSTL was a nonprofit. Mr. Breed said the RSTL was a nonprofit organization. Dr. Peterson was the founder of the RSTL, but it acted independently of his activities as a consultant. Vice-Chairman Israel asked if there was not another consultant the Board could utilize. Dr. Peterson seemed to be a monopoly and manifestly conflicted. He was making money from every side of the equation. Mr. Breed said there was no other laboratory that could do the work. Vice-Chairman Israel asked if the University of Kentucky, the University of Louisville, Cal Poly or another institution could do the work. Dr. Rick Arthur, CHRB Equine Medical Director, said he sat on the board of the Horse Racing Testing Laboratory (HRTL) a nonprofit formed by several racing associations to establish a validated consistent system of testing laboratories so all laboratories were testing in the same way. That was part of the problem at Santa Anita. It was using a non-validated soil measuring technique. Vice-Chairman Israel said he did not begrudge Dr. Peterson, but it would be nice to have a different opinion from an independent source. Dr. Arthur said that was the problem. No one had developed a consistent way to measure race tracks, and that was the purpose of the laboratory. Once there was a validated method of doing a test, the entire industry could use the same system. Vice-Chairman Israel asked if Dr. Stover and the soil professors at UC Davis could develop a test. Mr. Breed said the CHRB was working towards being able to do the tests in California, but that was not currently possible. It required the purchase of a series of equipment that was expensive, and training personnel to do the tests. Commissioner Rosenberg asked if any other state was contributing towards the program. Dr. Arthur said other states have contributed towards establishing the laboratory that developed the standardized tests. Chairman Brackpool said the Board was being asked to fund a two and a half year program. The CHRB was using

PROCEEDINGS OF THE REGULAR MEETING OF APRIL 28, 2011

funds from California race tracks. He asked why the program was so long. Mr. Breed said the funds were budget savings, and rather than lose the money, it would be encumbered over a two and a half year period on a per test basis. Chairman Brackpool asked what took two and a half years. Mr. Breed said the tracks would be continuously tested. Chairman Brackpool motioned to approve the request. He stated he would like the Board to move towards programs where it was not just sending funds to academia without getting any rights to the product. There needed to be transparency in the process so the Board could access the results. Commissioner Rosenberg seconded the motion, which was unanimously carried.

MEETING ADJOURNED AT 1:38 P.M.

PROCEEDINGS OF THE REGULAR MEETING OF APRIL 28, 2011

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

MAY 26, 2011
REGULAR BOARD MEETING

There is no board package material for Item 2

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
THE PROPOSED ADDITION OF
CHRB RULE 1500.1, RANDOM DRUG TESTING, TO ALLOW
RANDOM DRUG TESTING OF JOCKEYS, APPRENTICE JOCKEYS AND
DRIVERS
UNDER SPECIFIED CONDITIONS, AND THE PROPOSED AMENDMENT OF
CHRB RULE 1498, PHYSICAL EXAMINATION, TO REQUIRE
DRUG SCREENING DURING THE ANNUAL JOCKEY AND DRIVER PHYSICALS

Regular Board Meeting
May 26, 2011

BACKGROUND

Business and Professions Code section 19420 provides that the Board has jurisdiction and supervision in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include, but are not limited to, adopting rules and regulations for the protection of the public and the control of horse racing. Business and Professions Code section 19460 provides that all licenses granted under this chapter are subject to all rules, regulations, and conditions from time to time prescribed by the Board, and shall contain such conditions as are deemed necessary or desirable by the Board for the purposes of this chapter. Business and Professions Code section 19520 states every person who participates in, or has anything to do with the racing of horses shall be licensed by the Board pursuant to rules and regulations that the Board may adopt.

At its January 2010 Regular Meeting the Board discussed random drug testing of California licensed jockeys. The Board learned that several jurisdictions conduct drug testing; of the racing jurisdictions surveyed, Illinois, Louisiana and Delaware conduct random drug testing of jockeys or other licensees. In New York licensees are subject to testing upon the request of a steward or paddock judge. In addition, the Association of Racing Commissioners International (ARCI) Model Rules provides for drug testing that includes random tests. The item was put over so a draft of a proposed text could be developed. The proposal to add Rule 1500.1, Random Drug Testing, was discussed again at the August 2010 Regular Board Meeting. At that time, Commissioner Harris requested that Rule 1498, Physical Examination, be amended to require a drug test during the annual jockey physicals. At its December 2010 Regular Meeting the Board discussed random drug testing of California licensed jockeys and apprentice jockeys. Staff informed the Board that the Jockey's Guild (Guild) indicated its support for the concept, but the Guild had a number of specific questions and concerns. The Board directed staff to continue to work in conjunction with the Guild on the text of the proposed regulations. Staff met with a Guild representative in January 2011 and reviewed the proposed regulations. At the January 2011 Regular Board Meeting, staff gave an overview of its progress in developing the regulations. At the February 2011 Regular Board Meeting

staff was directed to initiate a 45-day public comment period regarding the proposed addition of Rule 1500.1 and the proposed amendment of Rule 1498.

ANALYSIS

The proposed addition of Rule 1500.1 provides a framework under which California jockeys, apprentice jockeys and drivers may be subjected to random drug testing. The proposed regulation states that jockeys, apprentice jockeys and drivers are subject to random drug testing as well as testing for cause and that failure to submit to or to complete a drug test constitutes a refusal to be tested. Jockeys, apprentice jockeys and drivers who refuse a drug test shall immediately be prohibited from riding in any race at a racetrack under the jurisdiction of the Board until a negative test result is achieved. Other states provide for suspending licensees who fail to be tested. Illinois requires an immediate suspension of no more than 30 days while Louisiana's regulations provide for a minimum 90-day suspension for licensees who fail (for the first time) to submit to a test. New York provides for a fine and suspension of license without specifying the amount of the fine, or the duration of the suspension.

The proposed regulation states random drug testing will be conducted on an unannounced basis, before or after the performance of duties. Persons to be tested will be chosen from among jockeys, apprentice jockeys or drivers whose names appear on the official program the day random drug testing is conducted. The regulation requires the stewards to draw not more than nine names, and if a name is selected more than once during a race meeting, it shall be eliminated and another selection made. The number of names to be drawn was based on the daily limit of 8.6 races a day that may be run at thoroughbred race meetings. Choosing up to nine names, however, does not mean that one jockey or driver per race will be tested, as the draw is random, and the stewards may choose to draw fewer than nine names. Representatives of the Guild or the harness horsemen's organization may be present and witness the drawing of names. The proposed method of selecting persons to be tested is a synthesis of Illinois and Delaware rules.

The frequency of random drug testing will depend on the duration of the race meeting. For race meeting of up to five months, random drug testing shall occur at least once during the meeting. If a race meeting lasts six months or more, random drug testing shall occur at least twice during the meet. For the purpose of the regulation, the Northern California Fair circuit shall be considered one race meeting. These minimum requirements mean that most race meetings, including the combined fair race meetings, which are considered as one race meeting under the proposed regulation, will have at least one race card with random drug testing. The longer Los Alamitos meeting will have at least two race cards with random drug testing.

Subsection 1500.1(b)(1) provides a definition of "Board approved laboratory" and "Board approved independent laboratory." These are laboratories that are certified by the United States Department of Health and Human Services under the National Laboratory Certification Program as meeting the minimum standards to engage in urine drug testing

for federal agencies. This provides a standard that ensures the laboratories adhere to strict federal guidelines. The list of qualified laboratories is updated monthly on the federal register. Laboratories that do not continue to meet the federal criteria are dropped.

Subsection 1500.1(b)(2) lists the prohibited drugs or classes of drugs that each urine specimen will be tested for. The drugs or classes of drugs are: marijuana metabolites; cocaine metabolites; amphetamines; opiate metabolites; and phencyclidine (PCP). These are the classes of prohibited drugs for which the United States Department of Transportation (DOT) tests. When the Guild representative and staff met in January 2011, the parties agreed to use the DOT list of prohibited drugs.

The proposed regulation provides for a split sample program, and a method of informing the jockey, apprentice jockey or driver if a positive finding of a prohibited drug is reported. The jockey, apprentice jockey or driver will have the option of requesting the testing of the split sample provided he or she pays for the transporting and testing of the split. The results of the drug test and the split sample test are confidential and will remain confidential unless or until the Board files an official complaint or accusation. While the proposed split sample provisions are modeled on California's equine split sample program outlined in Board Rule 1859.25, Split Sample Testing, many racing jurisdictions provide for a human split sample. Delaware, Illinois and Louisiana provide for split sample testing as does the ARCI Model Rule. In all cases the split results from urine samples.

Under subsection 1500.1(d)(1), a report of a positive test will result in the jockey, apprentice jockey or driver being immediately prohibited from riding in any race at a facility under the jurisdiction of the Board. This action was supported by the Guild representative. The jockey, apprentice jockey or driver shall have 72 hours from the date he or she is notified to request that the split sample be tested by an independent Board approved laboratory. The 72 hour limit is consistent with federal regulations, and allows for a three-day window in which the jockey or driver may make his or her decision.

Subsection 1500.1(i) provides that the Board may take into consideration the possession of a valid and current Medical Marijuana Program Identification Card issued in accordance with the California Department of Public Health in determining whether or not it will file an official complaint or accusation against a jockey, apprentice jockey or driver who test positive for marijuana metabolites. This provides some leeway for the jockey, apprentice jockey or driver who can demonstrate a valid medical reason for the presence in the test sample of the otherwise prohibited drug.

Finally, subsection 1500.1(j) of the proposed regulation reserves the right to direct a jockey, apprentice jockey or driver to submit to a drug test by methods including, but not limited to, blood, hair follicle or skin. This provides the Board with flexibility in testing methods should it determine it wishes to go beyond urine – especially when testing for cause. In the past, the Board has required testing by such methods as hair, and it has had a contract with a laboratory to draw blood. Delaware allows licensees to opt for a blood

test rather than urine, and it allows the racing commission to require "any other test" as directed.

The proposed amendment to Rule 1498 provides that the annual jockey/driver physical examination shall include a drug test to screen for substances as described in Rule 1500.1, subsection (b)(2)(A) through (b)(2)(E). This is the list of prohibited drugs the Board will test for under its random drug testing program. The proposed amendment to Rule 1498 also states that the drug tests shall be conducted in accordance with the provisions of subsections (b) through (j) of Rule 1500.1. This will ensure that jockeys and drivers have the availability of a split sample, and will be subject to the same notification protocol, as well as the rights and responsibilities provided under Rule 1500.1. Annual jockey/driver physicals currently include drawing blood for a comprehensive blood panel – of the type one normally experiences during routine physical examinations. The race track physician at Golden Gate Fields stated the additional work of drawing blood or taking urine to test for drug use would be considered minimal.

The proposed amendment to Rule 1498 would result in every jockey, apprentice jockey or driver being tested for drug use at least once a year. Quest Diagnostics, the Board approved laboratory, charges approximately \$29.00 per human urine specimen. As of December 1, 2010, the CHRB licensed 321 jockeys and 38 apprentice jockeys for a total of 359 licensees who would be subject to testing under the proposed regulations. If during the annual physicals (which are paid by the racing associations) every licensed jockey/driver were tested, the cost would be upwards of \$10,411 (The cost of random drug testing would depend on the number of random drug tests conducted throughout the year, and would be paid by the CHRB).

Staff contacted Delaware, Illinois and Louisiana to determine if the states experienced any problems with their random drug testing programs. None of the jurisdictions reported any particular issues with their programs. However, Illinois has ceased its random program due to budget constraints. Louisiana, which has been conducting random drug testing since 1987, did report that it experienced instances of jockeys using sophisticated devices to provide untainted urine, and to deceive those who supervise the collection of test samples.

During the 45-day public comment period the Jockey's Guild submitted a comment in opposition to the proposed implementation of drug testing unless all other racing association employees, such as starters, outriders, stewards, etc. were also tested. The Guild stated the proposed procedures were satisfactory, however, it opposed the proposal unless it was expanded to include other persons who handled horses or were responsible for the integrity of the sport.

RECOMMENDATION

This item is presented for Board discussion and action.

Coburn, Harold

Subject: FW: Jockey Drug Testing

From: Barry Broad
Sent: Friday, April 01, 2011 1:22 PM
To: Breed, Kirk
Cc: Subject: Jockey Drug Testing

Dear Mr. Breed,

I have been asked by my client, the Jockeys' Guild, to clarify its position regarding your proposed drug testing rule. The Guild opposes drug testing unless all other employers, such as starters, outriders, stewards, etc., are also tested. While the procedures the CHRB proposes to adopt are quite satisfactory, we nonetheless must oppose adoption of the proposal unless it is expanded to include other persons who handle horses or responsible for policing the integrity of the sport.

Sincerely,

Barry Broad
Broad & Gusmam LLP
1127 11th Street, Suite 501
Sacramento, CA 95814

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED AMENDMENT OF
RULE 1498. PHYSICAL EXAMINATION

Regular Board Meeting
May 26, 2011

1498. Physical Examination.

(a) All jockeys, apprentice jockeys, and drivers must pass a physical examination at least once a year before the commencement of the first race meeting of the year in which such jockey, apprentice jockey or driver intends to participate, or at such other time as the Board may direct. Such examination will be given by a doctor designated or approved by the Board, and the examination shall include a visual acuity examination, and a hearing examination.

(b) In addition to subsection (a) above, the examination shall include a drug test to screen for such substances as described in subsections (b)(2)(A) through (b)(2)(E) of Rule 1500.1 of this article.

(1) A positive finding for any of the drugs or classes of drugs described in subsections (b)(2)(A) through (b)(2)(E) of Rule 1500.1 of this article shall result in the jockey/driver not being cleared to ride/drive until he or she has successfully passed such examination.

(c) The Board or the stewards may require that any jockey, apprentice jockey or driver be re-examined at any time, and the Board or the stewards may refuse to allow any jockey, apprentice jockey or driver to ride or drive until he or she has successfully passed such examination.

Authority: Sections 19420, 19440 and 19520,
Business and Professions Code.

Reference: Sections 19440, 19520 and 19521,
Business and Professions Code.

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CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED ADDITION OF
RULE 1500.1. RANDOM DRUG TESTING

Regular Board Meeting
May 26, 2011

1500.1. Random Drug Testing.

(a) To ensure the safety and integrity of horse racing, jockeys, apprentice jockeys and drivers are subject to random drug testing, as well as testing based upon reasonable suspicion, as provided in this Division. Failure to submit to or to complete a drug test at the time, location, and manner directed by the Board or its representatives shall constitute a refusal to be tested. A jockey, apprentice jockey or driver who fails to submit to or to complete a drug test shall immediately be prohibited from riding or driving in any race at a facility under the jurisdiction of the Board until a negative test result is achieved.

(1) Random drug testing shall be conducted at the direction of the Executive Director on an unannounced basis before or after the jockey's or driver's performance of duties. The names of all jockeys, apprentice jockeys or drivers who appear on the official program the day random drug testing is conducted shall be placed in a secured container which shall be in the custody of the supervising investigator. Prior to the first race of the program, the supervising investigator shall draw not more than nine names. If a name is selected more than once during a race meeting, it shall be eliminated and another selection made.

(2) Representatives of the Jockey's Guild or the California Harness Horsemen's Association may attend and witness the random selection of names.

(3) For race meetings with duration of up to five months, random drug testing shall occur at least once during the course of the meeting. For the purposes of this regulation, the Northern California fair circuit shall be considered as one race meeting.

(4) For race meetings with duration of six or more months, random drug testing shall occur at least twice during the course of the meeting.

(b) Each urine specimen received from a jockey, apprentice jockey or driver shall be divided into two separate parts. One portion shall be designated as the official jockey/driver test sample and shall be tested by a Board approved official laboratory. The remaining portion of the specimen shall be known as the jockey/driver split sample and shall be available for testing at a Board approved independent laboratory upon the request of the individual who provided the specimen. The Board makes no guarantees as to the amount of the specimen that will be available for the jockey/driver split sample. All specimens taken by representatives of the Board are under the jurisdiction of and shall remain the property of the Board at all times.

(1) For the purposes of this regulation "Board approved official laboratory" and "Board approved independent laboratory" means a California laboratory certified by the United States Department of Health and Human Services under the National Laboratory Certification Program as meeting the minimum standards to engage in urine drug testing for federal agencies. A list of certified laboratories shall be available at the CHRB headquarters office.

(2) Each urine specimen shall be tested for the following prohibited drugs or classes of drugs:

(A) Marijuana metabolites.

(B) Cocaine metabolites.

(C) Amphetamines.

(D) Opiate metabolites.

(E) Phencyclidine (PCP).

(c) The Board approved official laboratory shall immediately and confidentially report to the Executive Director or his designee any positive finding for any of the drugs or classes of drugs described in subsection (b)(2)(A) through (b)(2)(E) of this regulation. The Board approved official laboratory shall also transmit a confidential written report of the finding to the Executive Director within five working days after the notification is made.

(d) When the Executive Director or his designee is notified of a positive finding by the Board approved official laboratory, the Executive Director or his designee shall notify a supervising investigator. The supervising investigator shall confidentially notify the jockey, apprentice jockey or driver who shall:

(1) Immediately be prohibited from riding or driving in any race at a facility under the jurisdiction of the Board, and

(2) Shall have 72 hours from the date he or she is notified to request that the jockey/driver split sample of the official jockey/driver test sample that was found to contain a prohibited drug or class of drug, be tested by an Board approved independent laboratory.

(e) If the jockey, apprentice jockey or driver wishes to have the jockey/driver split sample tested, he or she shall comply with the following procedures:

(1) The request shall be made on the form CHRB-217 (New 3/11) Request to Release Jockey/Driver Split Sample, which is hereby incorporated by reference. Form CHRB-217 shall be made available at all CHRB offices, and at the CHRB website.

(2) The jockey, apprentice jockey or driver requesting to have the jockey/driver split sample tested shall be responsible for all charges and costs incurred in transporting and testing the jockey/driver split sample. By signing CHRB-217 the jockey, apprentice jockey or driver certifies he or she has made arrangements for payment to the designated independent Board approved laboratory for laboratory testing services.

(3) Verification of payment for costs incurred in transporting and testing the jockey/driver split sample must be received by the CHRB within five working days from the CHRB receipt of CHRB-217. If such verification of payment is not received, the jockey/driver split sample will not be released or shipped to the designated Board approved independent laboratory and the jockey, apprentice jockey or driver will have relinquished his/her right to have the split sample tested. If a complaint issues, the only test results that will be considered will be the results from the Board approved official laboratory.

(f) Upon receipt of a valid request on CHRB-217, CHRB-217A (New 3/11) Authorization to Release Jockey/driver Split Sample Evidence, which is hereby incorporated by reference, shall be completed and the Board shall ensure that the jockey/driver split sample is sent to the designated Board approved independent laboratory for testing.

(1) If the findings by the Board approved independent laboratory fail to confirm the findings of a prohibited drug or class of drug as reported by the Board approved

official laboratory, it shall be presumed that a prohibited drug or class of drug was not present in the official jockey/driver test sample.

(g) A jockey, apprentice jockey or driver who fails to request the testing of the jockey/driver split sample in accordance with the procedures specified in this rule shall be deemed to have waived his or her right to have the split sample tested.

(h) Unless or until the Board files an official complaint or accusation, results of the official jockey/driver test sample and the jockey/driver split sample shall be, and shall remain confidential. No test results may be released to any person or organization unless such release is explicitly required under this regulation. Only the Executive Director or the Executive Director's designee, the Board, and the jockey, apprentice jockey or driver shall receive the results.

(i) The Board may take into consideration the possession of a valid and current Medical Marijuana Program Identification Card issued in accordance with the Medical Marijuana Program of the California Department of Public Health in determining whether or not to file an official complaint or accusation against a jockey, apprentice jockey or driver who tests positive for marijuana metabolites.

(j) For the purposes of this regulation, random drug testing shall be accomplished by the taking of urine specimens; however, the Board retains the right to direct a jockey, apprentice jockey or driver to submit to a drug test by methods including, but not limited to, blood, hair follicle or skin.

Authority: Sections 19420, 19440 and 19520,
Business and Professions Code.

Reference: Sections 19440, 19520 and 19521,
Business and Professions Code.

STATE OF CALIFORNIA
REQUEST TO RELEASE JOCKEY/DRIVER
SPLIT SAMPLE
CHRB- 217 (New 3/11)

CALIFORNIA HORSE RACING BOARD

CONFIDENTIAL

To: CALIFORNIA HORSE RACING BOARD
Attn: JOCKEY/DRIVER SPLIT SAMPLE PROGRAM
1010 Hurley Way, Suite 300
Sacramento, CA 95825

I am requesting the California Horse Racing Board (CHRB) to release to a Board approved independent laboratory the

Jockey/driver test sample identified as # _____ which has been detected to contain:

I fully understand that I am responsible for all costs incurred by the transporting and testing of the jockey/driver test sample identified as # _____ to the laboratory I have chosen:

Laboratory Name and Address

I have enclosed payment of \$ _____ to cover costs of materials, packing, shipping and handling.

I have selected and will make payment to the designated laboratory named above, to test the jockey/driver split sample.

I understand that verification of payment for all shipping and laboratory fees must be received by the CHRB within five (5) working days from the date of this form. If such verification of payment is not received, I understand that the jockey/driver split sample will not be released or shipped to the above laboratory and a hearing will be held based on the original confirmation report from the Board approved official laboratory.

Jockey/Driver Signature

Date

()
Telephone No.

Original: Laboratory
Duplicate: California Horse Racing Board

STATE OF CALIFORNIA
AUTHORIZATION TO RELEASE JOCKEY/DRIVER
SPLIT SAMPLE EVIDENCE
CHRB-217A (New 3/11)

CALIFORNIA HORSE RACING BOARD

AUTHORIZATION TO RELEASE JOCKEY/DRIVER SPLIT SAMPLE EVIDENCE

To: Custodial Officer of the California Horse Racing Board

Upon the petition and request of: _____

You are authorized to notify the Board approved official laboratory that the jockey/driver split sample identified as # _____ is to be sent to:

Laboratory Name and Address

Date

California Horse Racing Board

ACKNOWLEDGMENT OF CUSTODIAL OFFICER

This is to confirm that on _____ I notified the Board approved official laboratory that the Jockey/Driver split sample identified as: # _____ was to be sent to:

Laboratory Name

Laboratory Address

at _____ by _____
Time Carrier

Date

Custodial Officer

The Custodial Officer must retain the Request to Release Jockey/Driver Split Sample, CHRB-217, for the evidence log and forward the original document with the return receipt for shipment to the designated laboratory.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD TO UTILIZE
CHRB RULE 1406, SUSPENSION OF RULE,
FOR A WAIVER OF
RULE 1662, SALE OR TRANSFER OF CLAIMED HORSE,
WHICH WOULD ALLOW HORSES CLAIMED OUT-OF-STATE,
WITH CALIFORNIA MARKETING COMMITTEE (CMC) FUNDS,
AND SUBSEQUENTLY SOLD AT A CALIFORNIA PADDOCK SALE
TO RACE, IRRESPECTIVE OF THE DAY SUCH HORSE WAS CLAIMED

Regular Board Meeting
May 26, 2011

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include, but are not limited to administration and enforcement of all laws, rules and regulations affecting horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with this chapter, under which all horse races with wagering on their results shall be conducted in this State.

Board Rule 1662, Sale or Transfer of Claimed Horse states no horse claimed out of a claiming race shall be sold or transferred to any person for racing purposes within 30 days exclusive of the day such horse was claimed. Board Rule 1406, Suspension of Rule, states for good cause, with or without a hearing, the Board may temporarily suspend the application of any of its rules upon any conditions it may impose. Every application of such action and any such action by the Board shall, insofar as possible, be in writing. If not in writing, it shall be confirmed in writing as soon as possible.

California racing secretaries have held meetings at which they formulated a plan to increase the California horse population. The California Marketing Committee (CMC) under the direction of the racing secretaries would buy out-of-state horses through private sales or by claiming. The horse would be moved to California and sold at a paddock sale at the Southern California track presently running. However, Board Rule 1662 provides that a horse claimed out of a claiming race may not be sold or transferred to any person for racing purposes within 30 days of the claim. This would make the CMC hold the horses for 30 days, which would make the racing secretaries' plan impractical.

ANALYSIS

To enable the CMC to immediately sell any claimed horses it imports into California, the Board may enact Rule 1406 to temporarily suspend the provisions of Rule 1662. This would allow the timely resale of the horses for racing purposes.

RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS

May 26, 2011

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR JULY 20, 2011 THROUGH SEPTEMBER 7, 2011.

Del Mar Thoroughbred Club filed its application to conduct a thoroughbred horse racing meeting at Del Mar:

- July 20 through September 7, 2011, or 37 days, the same as 2010. The association proposes to race a total of 324 races, or 8.76 races per day. In 2010 they raced 8.78 races per day with an average of 8.12 runners per race. In 2010 they were allocated to race 37 days the (actual) average daily purse for the meet was \$568,572. The (estimated) average daily purse for this meet is \$527,767.
- The race dates proposed are the dates allocated by the Board.

July - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4 H	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

September - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

- Racing 5 days per week, Wednesday through Sunday the first six weeks; 6 days per week, Wednesday through Monday the seventh week; with 8 races per day Wednesday, Thursdays and Friday, 9 races per day Sunday, 10 races per day Saturdays, Opening Day, Pacific Classic Day, August 28, 2011, Labor Day and Closing Day.
- Racing concurrently with the dates allocated to Santa Rosa, Ferndale and Pacific Racing Association.
- First post will be 2:00 p.m. daily.
 - 2:00 p.m. Wednesdays, Thursdays, Saturdays, and Sundays.
 - 4:00 p.m. Friday,
 - 1:00 p.m. Sunday, August 28
- Request Dianne Piper be appointed horse identifier pursuant to CHRB Rule 1525.
- Wagering program will use CHRB and ARCI rules rules.
 - Early wagering will start at 9:00 a.m.
- Del Mar Thoroughbred Club (DMTC), in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, Xpress-Bet.com and Twin Spires.com for Internet and telephone wagering (live operator and IVR –

Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar's season).

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, DelMarBets.com and OakTreeBets.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to complete this application includes:

1. CTT Agreement
2. Fire clearance

RECOMMENDATION:

Staff recommends the Board not approve the application until the outstanding documents have been received.

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items and recommends the applicant be required to appear again before the Board to address the status of the outstanding documents and to remove the contingency status from the Board's approval.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone and fax numbers of association:

Del Mar Thoroughbred Club
P.O. Box 700, Del Mar, CA 92014
Phone: 858-755-1141
Fax: 858-794-1007

B. Breed of horse: TB QH H

C. Racetrack name: Del Mar Thoroughbred Club (DMTC)

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490. On File

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF MEETING

A. Inclusive dates for the entire meeting: July 20 through September 07, 2011

B. Actual dates racing will be held: July 20 through September 07, 2011, everyday except Mondays, other than Labor Day, and Tuesdays. Dark 7/25-26, 8/1-2, 8/8-9, 8/15-16, 8/22-23, 8/29-8/30, and 9/6.

C. Total number of days or nights of racing: 37 days

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

E. Number of days or nights of racing per week: 5 (except week 7 with 6 days)

3. RACING PROGRAM

A. Total number of races: 324

B. Number of races for each day or night:

8 - Wednesdays, Thursdays, Fridays

9 - Sundays

10 - Opening Day, Saturdays, Pacific Classic Day (Sunday, August 28, 2011), Labor Day, Closing Day

CHRB CERTIFICATION

Application received: 4/21/11
Deposit received: on file
Reviewed: *ape*

Hearing date: 5/20/11
Approved date:
License number:

Exhibit 1 - 2011 Del Mar Stakes Schedule

Closing Date	Running Date	#	Event	Distance	2011 Value	
Thu. Jul 14, 2011	Wed. Jul 20, 2011	1	OCEANSIDE STAKES Three-year-olds, N/W S/S of \$50,000 in 2011	One Mile (Turf)	\$100,000 Added	
Thu. Jul 14, 2011	Fri. Jul 22, 2011	2	CTBA STAKES Fillies, two years old, bred in CA	5 1/2 Furlongs	\$100,000 Guaranteed	
Thu. Jul 14, 2011	Sat. Jul 23, 2011	3	EDDIE READ STAKES (Gr. I) Three-year-olds and upward	1 1/8 Miles (Turf)	\$300,000 Guaranteed	
Thu. Jul 14, 2011	Sun. Jul 24, 2011	4	CALIFORNIA DREAMIN' HANDICAP Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf)	\$100,000 Guaranteed	
Thu. Jul 14, 2011	Sun. Jul 24, 2011	5	SAN CLEMENTE HANDICAP (Gr. II) Fillies, three years old	One Mile (Turf)	\$150,000 Guaranteed	
Thu. Jul 21, 2011	Fri. Jul 29, 2011	6	COUGAR II HANDICAP (Gr. III) Three-year-olds and upward	1 1/2 Miles	\$125,000 Guaranteed	
Thu. Jul 21, 2011	Sat. Jul 30, 2011	7	FLEET TREAT STAKES Fillies, three years old, bred in CA	Seven Furlongs	\$100,000 Guaranteed	
Thu. Jul 21, 2011	Sat. Jul 30, 2011	8	SAN DIEGO HANDICAP (Gr. II) Three-year-olds and upward	1 1/16 Miles	\$200,000 Guaranteed	
Thu. Jul 21, 2011	Sun. Jul 31, 2011	9	BING CROSBY STAKES (Gr. I) Three-year-olds and upward	Six Furlongs	\$250,000 Guaranteed	
Thu. Jul 28, 2011	Wed. Aug 03, 2011	10	GRADUATION STAKES Two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000 Guaranteed	
Thu. Jul 28, 2011	Fri. Aug 05, 2011	11	SORRENTO STAKES (Gr. III) Fillies, two years old	6 1/2 Furlongs	\$150,000 Guaranteed	
Thu. Jul 28, 2011	Sat. Aug 06, 2011	12	CLEMENT L. HIRSCH STAKES (Gr. I) Fillies and mares, three years old and upward	1 1/16 Miles	\$300,000 Guaranteed	
Thu. Jul 28, 2011	Sun. Aug 07, 2011	13	BEST PAL STAKES (Gr. II) Two-year-olds	6 1/2 Furlongs	\$150,000 Guaranteed	
Thu. Aug 04, 2011	Wed. Aug 10, 2011	14	REAL GOOD DEAL STAKES Three-year-olds, bred in CA	Seven Furlongs	\$100,000 Guaranteed	
Thu. Aug 04, 2011	Sat. Aug 13, 2011	15	LA JOLLA HANDICAP (Gr. II) Three-year-olds	1 1/16 Miles (Turf)	\$150,000 Guaranteed	
Thu. Aug 04, 2011	Sun. Aug 14, 2011	16	JOHN C. MABEE STAKES (Gr. II) Fillies and mares, three years old and upward	1 1/8 Miles (Turf)	\$250,000 Guaranteed	
Thu. Aug 11, 2011	Sat. Aug 20, 2011	17	DEL MAR OAKS (Gr. I) Fillies, three years old	1 1/8 Miles (Turf)	\$250,000 Guaranteed	
Thu. Aug 11, 2011	Sun. Aug 21, 2011	18	RANCHO BERNARDO HANDICAP (Gr. III) Fillies and mares, three years old and upward	6 1/2 Furlongs	\$150,000 Guaranteed	
Thu. Aug 11, 2011	Sun. Aug 21, 2011	19	SOLANA BEACH HANDICAP Fillies and mares, three years old and upward, bred in CA	One Mile (Turf)	\$100,000 Guaranteed	
Thu. Aug 18, 2011	Sat. Aug 27, 2011	20	DEL MAR MILE (Gr. II) Three-year-olds and upward	One Mile (Turf)	\$200,000 Guaranteed	
Thu. Aug 18, 2011	Sun. Aug 28, 2011	21	PAT O'BRIEN STAKES (Gr. I) Three-year-olds and upward	Seven Furlongs	\$250,000 Guaranteed	
Thu. Aug 18, 2011	Sun. Aug 28, 2011	22	DEL MAR HANDICAP (Gr. II) Three-year-olds and upward	1 3/8 Miles (Turf)	\$200,000 Guaranteed	
Thu. Aug 18, 2011	Sun. Aug 28, 2011	23	\$1 Million TVG PACIFIC CLASSIC (Gr. I) Three-year-olds and upward	1 1/4 Miles	\$1,000,000 Guaranteed	
Thu. Aug 25, 2011	Wed. Aug 31, 2011	24	GENEROUS PORTION STAKES Fillies, two years old, bred in CA	Six Furlongs	\$100,000 Guaranteed	
Thu. Aug 25, 2011	Fri. Sep 02, 2011	25	EL CAJON STAKES Three-year-olds	One Mile	\$100,000 Guaranteed	
Fri. Jun 03, 2011	Sat. Sep 03, 2011	26	DEL MAR DEBUTANTE (Gr. I) Fillies, two years old	Seven Furlongs	\$250,000 Guaranteed	
Thu. Aug 25, 2011	Sun. Sep 04, 2011	27	TORREY PINES STAKES Fillies, three years old	One Mile	\$100,000 Guaranteed	
Thu. Aug 25, 2011	Sun. Sep 04, 2011	28	DEL MAR DERBY (Gr. II) Three-year-olds	1 1/8 Miles (Turf)	\$250,000 Guaranteed	
Thu. Aug 25, 2011	Mon. Sep 05, 2011	29	I'M SMOKIN STAKES Two-year-olds, bred in CA	Six Furlongs	\$100,000 Guaranteed	
Thu. Aug 25, 2011	Mon. Sep 05, 2011	30	PALOMAR HANDICAP (Gr. II) Fillies and mares, three years old and upward	1 1/16 Miles (Turf)	\$150,000 Guaranteed	
Fri. Jun 03, 2011	Wed. Sep 07, 2011	31	DEL MAR FUTURITY (Gr. I) Two-year-olds	Seven Furlongs	\$250,000 Guaranteed	
					Gross Purses	\$6,075,000
					Less Cal Bred Stakes Fund	-100,000
					Less Pacific Classic Sponsorship	-125,000
					Less Overnights	-935,000
					Less Nom/Entry/Start Fees (Estimated)	-875,000
						<u>\$4,040,000</u>

Exhibit 1 Continued - 2011 Del Mar Stakes Schedule

Running Date	#	Event	2011 Value
Sat. Jul 23, 2011	Overnight Stakes	Osunitas Stakes	\$85,000 o/s Added
Wed. Jul 27, 2011	Overnight Stakes	Wickerr Stakes	\$85,000 o/s Added
Fri. Aug 12, 2011	Overnight Stakes	Daisycutter Handicap	\$85,000 o/s Added
Wed. Aug 17, 2011	Overnight Stakes	Green Flash Handicap	\$85,000 o/s Added
Fri. Aug 19, 2011	Overnight Stakes	Sandy Blue Handicap	\$85,000 o/s Added
Wed. Aug 24, 2011	Overnight Stakes	Harry F. Brubaker Stakes	\$85,000 o/s Added
Fri. Aug 26, 2011	Overnight Stakes	CTT and Thoroughbred Owners of California Handicap	\$85,000 o/s Added
Sat. Sep 03, 2011	Overnight Stakes	Windy Sands Handicap	\$85,000 o/s Added
Sun. Sep 04, 2011	Overnight Stakes	Adoration Stakes	\$85,000 o/s Added
Wed. Sep 07, 2011	Overnight Stakes	C.E.R.F. Stakes	\$85,000 o/s Added
Wed. Sep 07, 2011	Overnight Stakes	Pirate's Bounty Stakes	\$85,000 o/s Added

\$935,000

EXHIBIT 2

POST TIMES FOR PROPOSED DAILY RACING PROGRAM

Race	Regular (Wed, Thurs, Sat & Sun)	Fridays	Sunday, 8/28
1 st	2:00 p.m.	4:00 p.m.	1:00 p.m.
2 nd	2:30 p.m.	4:30 p.m.	1:30 p.m.
3 rd	3:00 p.m.	5:00 p.m.	2:00 p.m.
4 th	3:30 p.m.	5:30 p.m.	2:30 p.m.
5 th	4:00 p.m.	6:00 p.m.	3:00 p.m.
6 th	4:30 p.m.	6:30 p.m.	3:40 p.m.
7 th	5:00 p.m.	7:00 p.m.	4:10 p.m.
8 th	5:30 p.m.	7:30 p.m.	4:40 p.m.
9 ^{th*}	6:00 p.m.		5:10 p.m.
10 ^{th*}	6:30p.m.		5:45p.m.

* On certain days to be determined.

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:

None

6. Number of outstanding shares in the corporation: **10**

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Craig R. Fravel, Secretary**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**
10. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. The licensee may submit the audited consolidated annual financial statements of its parent owner if the parent owner is a publicly traded company and guarantees the obligations of the licensee. **On file.**

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
6. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
8. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. Management and Staff

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed in the official program:

Craig R. Fravel	President and General Manager
Michael R. Ernst	Executive Vice President, Finance and CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Senior Vice President, Marketing

Josh Rubinstein	Senior Vice President, Development
Timothy A. Read	Vice President, Operations & Collective Bargaining
Ann Hall	Vice President, Human Resources & Ticketing
Mac McBride	Director of Media
Lynn Wright	Vice President and Controller
Jacquelyn King	Director of Group Sales
Paul Porter	Simulcast Director
Mary Shepardson	Director of Publications
Chris Bahr	Promotions Manager
William Navarro	Director of Parimutuels
Nancy Bonforte	Director, Private Turf Club
Robert Sanchez	Vice President, Plant
Bill Sullivan	Director of Security
Trevor Denman	Public Address Announcer
Rich Tedesco	Track Superintendent
Leif Dickinson	Turf Course Superintendent
Liz Robertson	Director of Information Systems / Retail Operations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:
Joseph W. Harper – Chief Executive Officer
Craig R. Fravel – President and General Manager

5. PURSE PROGRAM

A. Purse distribution:

1. All races other than stakes:
- | | |
|------------------------|---------------|
| Current meet estimate: | \$ 13,163,461 |
| Prior meet actual: | \$ 11,723,678 |
- Average Daily Purse (5A1 ÷ number of days):
- | | |
|------------------------|------------|
| Current meet estimate: | \$ 355,769 |
| Prior meet actual: | \$ 316,856 |
2. Overnight stakes:
- | | |
|------------------------|------------|
| Current meet estimate: | \$ 935,000 |
| Prior meet actual: | \$ 825,000 |
- Average Daily Purse (5A2 ÷ number of days):
- | | |
|------------------------|-----------|
| Current meet estimate: | \$ 25,270 |
| Prior meet actual: | \$ 22,297 |
3. Non-overnight stakes:
- | | |
|------------------------|--------------|
| Current meet estimate: | \$ 4,975,000 |
| Prior meet actual: | \$ 4,856,650 |
- Average Daily Purse (5A3 ÷ number of days):
- | | |
|------------------------|------------|
| Current meet estimate: | \$ 134,459 |
| Prior meet actual: | \$ 131,261 |

B. Stakes races:

1. Purse distribution for all stakes races:

Current meet estimate: \$ 5,910,000
 Prior meet actual: \$ 5,681,650

Average Daily Purse (5B1 ÷ number of days):

Current meet estimate: \$ 159,730
 Prior meet actual: \$ 153,558

2. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 13.54%
 Prior meet actual: 14.08%

Average Daily Purse (5B2 ÷ number of days):

Current meet estimate: 0.36%
 Prior meet actual: 0.38%

C. Funds to be generated for all California-bred incentive awards:

Current meet estimate: \$ 1,432,664
 Prior meet actual: \$ 1,616,243

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

	Current meet estimate:	Prior meet actual:
TOC Administration	194,687	181,856
CTT Administration	194,687	181,856
CTT Backstretch Pension	97,344	90,928
NTRA Dues	<u>44,339</u>	<u>51,169</u>
Total	<u>531,057</u>	<u>505,810</u>

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (5A+5C+5D):

Current meet estimate: \$ 21,037,182
 Prior meet actual: \$ 19,527,381

Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$ 568,572
 Prior meet actual: \$ 527,767

F. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: \$ 15,300,664
 Prior meet actual: \$ 12,959,700

Average Daily Purse (5F ÷ number of days):

Current meet estimate: \$ 413,531
 Prior meet actual: \$ 350,262

G. Purse funds to be generated from interstate handle:

Current meet estimate:	\$ 4,303,854
Prior meet actual:	\$ 4,951,438

Average Daily Purse (5G ÷ number of days):

Current meet estimate:	\$ 116,320
Prior meet actual:	\$ 133,823

H. Bank and account number for the Paymaster of Purses' purse account:

Bank of America, 450 B Street Suite 1500, San Diego CA 92101, Account # On file

I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **2,100**
- B. Minimum number of stalls believed necessary for the meeting: **2,100**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **2,000**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Santa Anita – 1,500 San Luis Rey Downs – 500***
***Vanning reimbursement only**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
SCOTWINC agreement is on file with CHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting: 2,100
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$833,000
\$11.33 per day per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Estimated vanning cost: \$186,790
 - Vanning per horse (one way):
 - Santa Anita \$160
 - Hollywood Park \$160
 - Pomona \$160
 - San Luis Rey Downs \$90
 - Los Alamitos \$160

7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four; PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	Type of Wager(s):	Applicable Rule(s):
Example Race:	\$5E, \$2DD	CHRB#1959; RCI #VE
Race #1	\$2Q, \$1E, \$1PK3, \$2DD ² , \$1 PPN, \$2PARLAY, \$1TRI, .10¢SF, .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1957, 1976.8, 1954.1, 1979, 1979.1, 1976.9
Race #2	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1976.9
Race #3	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2P(n)P (Pick 6), \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1976.9, 1957
Race #4	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1976.9
Race #5	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹ , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1978, 1976.9

Race #6	\$2Q, \$1E, \$1PK3, .10¢SF, \$2PARLAY, \$1TRI, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1977, 1979.1, 1954.1, 1979, 1957, 1978
Race #7	\$2Q, \$1E, \$2PARLAY, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1954.1, 1979, 1979.1, 1957, 1978
Race #8	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹ , \$1 SUPER HIGH FIVE	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, ARCI Pick(n) Position (x) Pool(s)
Race #9*	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978
Race #10*	\$2Q, \$1E, \$1TRI, .10¢SF, .50¢PK4 ¹ , \$2DD ²	CHRB#1958, 1959, 1979, 1979.1, 1978, 1957

¹ .50¢SPK4 offered in the last four races daily

² \$2DD offered every race daily, except the last race.

³ .50¢PNP (Pick 5) subject to evaluation and approval by TOC

* Days with 9, or 10 races, the types of wagers offered based on this schedule will be rolled forward or backward accordingly.

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: None

C. List any options requested with regard to exotic wagering: None

D. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin: 9:00 a.m.

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Totalisator Central System

Sportech Racing, LLC Quantum Data Center located in Sacramento, California

Sportech Racing representative: Terry McWilliams

Contract expiration date: September 2012

Terminals:

BetJet RT2s (teller operated/self service conversion) BetJet SLs (dedicated self service)
25 SLs equipped with *BetFund PIN pads

IBM PC account wagering terminals

HandStan personal account wagering terminals WalkaBout teller operated portable wagering terminals

*Bet-Fund is a service available through Sportech Racing that allows patrons to fund their wagering account or to fund a cash wagering session using their debit card. The service is available on a restricted basis through specific devices and is available only using debit cards (card-present / PIN-based transactions only). Credit cards are automatically rejected. The Bet Fund service is comprised of the following components:

- A BetJet self-service terminal
- A banking network-certified PIN pad device with encryption technology that has been ‘injected’ into the PIN pad hardware by a third party vendor under the supervision of Chase Paymentech. The PIN pad device is installed on the BetJet self-service terminal
- Bet-Fund terminal software, which provides the user interface on the BetJet terminal
- An integration of Quantum System software with the banking network through Chase Paymentech, the payment processor

8. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting: DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, Xpress-Bet.com and Twin Spires.com for Internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar’s season).

9. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering: Southern California Off Track Wagering, Inc. “SCOTWINC”
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. SCOTWINC Agreement is on file with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal: Exhibit 3
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: Exhibit 4
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Exhibit 5
- F. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: Exhibit 6

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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Exhibit 3

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Barona Resort & Casino, Lakeside
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park, Inglewood
Humboldt County Fair, Ferndale
Kern County Fair, Bakersfield
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Santa Anita Park, Arcadia
Shalimar Sports Center, Indio
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion The Farmers Fair, Lake Perris
Stanislaus County Fair, Turlock
Sycuan Resort & Casino, El Cajon
The Horsemen's Club, Santa Maria
The Jockey Club, San Mateo
Tulare County Fair, Tulare
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

Mini-Satellites

Commerce Casino, Commerce
OC Tavern, San Clemente

Exhibit 4

AmWest Entertainment, KY
 Arapahoe Park, Colorado
 Arima Race Course, Trinidad
 Arlington International Race Course, Illinois
 Assiniboia Downs, Canada
 Atlantic City Casino Assn., New Jersey
 Atlantic City Race Course, New Jersey
 Atlantic Resort and Casino, Bahamas
 Atokad Park, South Dakota
 Balmoral Park, Illinois
 Bangor Raceway, Maine
 Barrie Raceway, Ontario
 Belmont Gaming, New Hampshire
 Bet Pad, LLC. Ohio
 Beulah Park, Ohio
 Birmingham Race Course, Alabama
 Blue Ribbon Downs, Oklahoma
 Bluff Runs Greyhound, Idaho
 Bordertown OTB, Oklahoma
 Buffalo Trotting Association, New York
 Calder Race Course, Florida
 Caliente, Mexico
 Canterbury Park, Minnesota
 Capital District OTB, New York
 Catskill Regional OTB, New York
 Caymanas Race Track, Jamaica
 Charles Town Races, West Virginia
 Chester Downs - Pennsylvania
 Churchill Downs, Kentucky
 Clinton Teletheatre, Canada
 Coeur d' Alene Casino, Idaho
 Colonial Downs, Virginia
 Columbus Races, Nebraska
 Connaught Park, Canada
 Connecticut OTB, Connecticut
 Corpus Cristi Greyhound, Texas
 Cypress Bayou, Louisiana
 Divi Carina Bay Casino, Louisiana
 Delaware Park, Delaware
 Delta Downs, Louisiana
 Dover Downs, Delaware
 Downs at Albuquerque, New Mexico
 Elite Turf Club, Curacao
 Ellis Park, Kentucky
 Elmira Raceway, Ontario
 Equus St.Thomas, St. Thomas
 Euro Offtrack, Isle of Man
 Evangeline Downs, Louisiana
 Evansville OTB, Indiana
 Fair Chance Racing Services, Trinidad
 Fairgrounds Racecourse, Louisiana
 Fair Meadows, Texas
 Fairmount Park, Illinois
 Finger Lakes Race Track, New York
 Flamboro Downs, Ontario
 Fonner Park, Nebraska
 Fort Erie Racetrack, Canada
 Foxwoods Casino, Connecticut
 Fraser Downs, British Columbia
 Freehold Raceway, New Jersey
 Gillespie County Downs, Texas
 Greentrack, Alabama
 Gulf Greyhound, Texas
 Gulfstream Park, Florida
 Hanover Raceway, Canada
 Harrington Raceway & Simulcast, Delaware
 Hastings Park, Canada
 Hawthorne Race Course, Illinois
 Hazel Park, Michigan
 Hiawatha Downs, Ontario
 Hipodromo Camarero, Puerto Rico
 Hipodromo Presidente Remon, Panama
 Ho-Chuck Casino, Connecticut
 Hoosier Park, Indiana
 Horsemen's Park, Nebraska
 Indiana Downs, Indiana
 John Martins Manor, Maine
 Kawartha Downs, Ontario
 Keeneland, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky
 Las Vegas Dissemination Company, Nevada
 Lebanon Trotting Club, Ohio
 Lewiston Raceway, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Manor Downs, Texas

Marquis Downs, Saskatchewan	Racing and Gaming Services, St Kitts
Maryland Jockey Club, Maryland	Racing World UK, England
Maywood Park, Illinois	Remington Park, Oklahoma
Meskwaki Casino, Oklahoma	Retama Park, Texas
Millenium Racing, Trinidad	Rideau Carleton Raceway, Canada
Millers OTB, Maine	Riders Up OTB, Oklahoma
Mohegan Sun, Pennsylvania	River Downs, Ohio
Monmouth Park, New Jersey	Roberts Television International, NV
Montana OTB, Montana	Rockingham Park, New Hampshire
Monticello Raceway, NY	Rocky Mountain Turf Club, Canada
Mountaineer Park, West Virginia	Royal Beach Casino, St Kitts
Mt. Pleasant Meadows, Michigan	Royal River Racing, South Dakota
Nassau Regional OTB, New York	Royal Britannia Hub, Canada
Nebraska State Fair Park, Nebraska	Ruidoso Downs, New Mexico
Nevada Pari-Mutuel Association, Nevada	Running Aces Harness Park, West Virginia
NJSEA, New Jersey	Saginaw Harness Raceway, MI
New Jersey Mobile, New Jersey	Sam Houston Park, Texas
New York Racing Association, New York	Sandy Downs, Idaho
Newport Jai-Alai, Rhode Island	Saratoga Raceway, New York
Northfield Park, Ohio	Scarborough Downs, Maine
Northlands Park, Canada	Scioto Downs, Ohio
Northville Downs, Michigan	Seabrook Greyhound Park, NH
Northwest Racing Associates, Washington	Shoreline Star Greyhounds, Connecticut
Oaklawn Park, Arkansas	Simulcast Services, Texas
Ocean Downs, Maryland	Skydancer Casino, Oklahoma
Oneida Bingo and Casino, Oklahoma	Sol Mutuel, St. George's, Grenada
Ontario Teletheatre, Ontario	Southland Greyhound Park, Arkansas
Paragon Casino, Louisiana	Sports Center, Kentucky
Penn National Race Course, Pennsylvania	Stampede Park, Alberta
Philadelphia Park, Pennsylvania	Sudbury Downs, Canada
Phumelela Gold, South Africa	Suffolk District OTB, New York
Pojoaque Casino, Oklahoma	Suffolk Downs, Massachusetts
Potawatomi Casino, Wisconsin	Sunland Park Racetrack, New Mexico
Picov Downs, Canada	Sun Ray Park, New Mexico
Pimlico Race Course, Maryland	Tampa Bay Downs, Florida
Pinnacle Race Course, Michigan	Taunton Dog Track, New Hampshire
Plainfield Greyhound Park, Connecticut	TBC Teletheatre, British Columbia
Pocono Downs, Pennsylvania	The Meadows, Pennsylvania
Portland Meadows, Oregon	The Racing Channel, Pennsylvania
Prairie Meadows, Iowa	The Stables, Oklahoma
Premier Turf Club, North Dakota	Thistledown Racing Club, Ohio
Presque Isle Downs, Pennsylvania	Thunder Ridge, Kentucky
Queensbury Downs, Saskatchewan	Tioga Downs, New York
Raceway Park, Ohio	Tote Investment Services, Trinidad
Racing2Day, North Dakota	Tri-State Greyhound, West Virginia

Exhibit 4

Trois Rivieres, Canada
Truro Raceway, Canada
Turf de Venezuela, Venezuela
TVG, Los Angeles
TVG Yonkers, New York
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin Spires, Kentucky
Valley Greyhound Park, Texas
Vernon Downs, New York
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Windsor Raceway, Ontario
Woodbine Entertainment Corp, Ontario
Wonderland Park, Massachusetts
Wyoming OTB, Wyoming
XpressBet, Pennsylvania
Yavapai Downs, Arizona
Yonkers Raceway, New York
YouBet Illinois, Illinois
Zia Park, New Mexico

Exhibit 5

AmWest Entertainment, KY
 Arapahoe Park, Colorado
 Arima Race Course, Trinidad
 Arlington International Race Course, Illinois
 Assiniboia Downs, Canada
 Atlantic City Casino Assn., New Jersey
 Atlantic City Race Course, New Jersey
 Atlantic Resort and Casino, Bahamas
 Atokad Park, South Dakota
 Balmoral Park, Illinois
 Bangor Raceway, Maine
 Barrie Raceway, Ontario
 Belmont Gaming, New Hampshire
 Bet Pad, LLC. Ohio
 Beulah Park, Ohio
 Birmingham Race Course, Alabama
 Blue Ribbon Downs, Oklahoma
 Bluff Runs Greyhound, Idaho
 Bordertown OTB, Oklahoma
 Buffalo Trotting Association, New York
 Calder Race Course, Florida
 Canterbury Park, Minnesota
 Capital District OTB, New York
 Catskill Regional OTB, New York
 Charles Town Races, West Virginia
 Chester Downs - Pennsylvania
 Churchill Downs, Kentucky
 Clinton Teletheatre, Canada
 Coeur d' Alene Casino, Idaho
 Colonial Downs, Virginia
 Columbus Races, Nebraska
 Connaught Park, Canada
 Connecticut OTB, Connecticut
 Corpus Cristi Greyhound, Texas
 Cypress Bayou, Louisiana
 Divi Carina Bay Casino, Louisiana
 Delaware Park, Delaware
 Delta Downs, Louisiana
 Dover Downs, Delaware
 Downs at Albuquerque, New Mexico
 Elite Turf Club, Curacao
 Ellis Park, Kentucky
 Elmira Raceway, Ontario
 Equus St. Thomas, St. Thomas
 Euro Offtrack, Isle of Man
 Evangeline Downs, Louisiana
 Evansville OTB, Indiana
 Fair Chance Racing Services, Trinidad
 Fairgrounds Racecourse, Louisiana
 Fair Meadows, Texas
 Fairmount Park, Illinois
 Finger Lakes Race Track, New York
 Flamboro Downs, Ontario
 Fonner Park, Nebraska
 Fort Erie Racetrack, Canada
 Foxwoods Casino (LVDC), Connecticut
 Fraser Downs, British Columbia
 Freehold Raceway, New Jersey
 Gillespie County Downs, Texas
 Greentrack, Alabama
 Gulf Greyhound, Texas
 Gulfstream Park, Florida
 Hanover Raceway, Canada
 Harrington Raceway & Simulcast, Delaware
 Hastings Park, Canada
 Hawthorne Race Course, Illinois
 Hazel Park, Michigan
 Hiawatha Downs, Ontario
 Hipodromo Camarero, Puerto Rico
 Ho-Chuck Casino, Connecticut
 Hoosier Park, Indiana
 Horsemen's Park, Nebraska
 Indiana Downs, Indiana
 John Martins Manor, Maine
 Kawartha Downs, Ontario
 Keeneland, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky
 Las Vegas Dissemination Company, Nevada
 Lebanon Trotting Club, Ohio
 Lewiston Raceway, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Manor Downs, Texas
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois

Exhibit 5

Meskwaki Casino (LVDC), Oklahoma
 Millenium Racing, Trinidad
 Millers OTB, Maine
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Mt. Pleasant Meadows, Michigan
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 New York Racing Association, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Northwest Racing Associates, Washington
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Phumelela Gold, South Africa
 Pojoaque Casino, Oklahoma
 Potawatomi Casino, Wisconsin
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Pinnacle Race Course, Michigan
 Plainfield Greyhound Park, Connecticut
 Pocono Downs, Pennsylvania
 Portland Meadows, Oregon
 Prairie Meadows, Iowa
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Queensbury Downs, Saskatchewan
 Raceway Park, Ohio
 Racing2Day, North Dakota
 Racing and Gaming Services, St Kitts
 Racing World UK, England
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 River Downs, Ohio
 Roberts Television International, NV
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Royal Beach Casino, St Kitts
 Royal River Racing, South Dakota
 Royal Britannia Hub, Canada
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, West Virginia
 Saginaw Harness Raceway, MI
 Sam Houston Park, Texas
 Sandy Downs, Idaho
 Saratoga Raceway, New York
 Scarborough Downs, Maine
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Simulcast Services, Texas
 Skydancer Casino, Oklahoma
 Sol Mutuel, St. George's, Grenada
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Stampede Park, Alberta
 Sudbury Downs, Canada
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Meadows, Pennsylvania
 The Racing Channel, Pennsylvania
 The Stables, Oklahoma
 Thistledown Racing Club, Ohio
 Thunder Ridge, Kentucky
 Tioga Downs, New York
 Tote Investment Services, Trinidad
 Tri-State Greyhound, West Virginia
 Trois Rivieres, Canada
 Truro Raceway, Canada
 Turf de Venezuela, Venezuela

Exhibit 5

TVG, Los Angeles
TVG Yonkers, New York
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin Spires, Kentucky
Valley Greyhound Park, Texas
Vernon Downs, New York
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Windsor Raceway, Ontario
Woodbine Entertainment Corp, Ontario
Wonderland Park, Massachusetts
Wyoming OTB, Wyoming
XpressBet, Pennsylvania
Yavapai Downs, Arizona
Yonkers Raceway, New York
YouBet Illinois, Illinois
Zia Park, New Mexico

EXHIBIT 6

Date	Host Track	Selected Feature and/or Stakes	GR	Purse	Distance
7/22/11	Saratoga	Schuylerville Stakes	III	\$100,000	6 Furlongs
7/23/11	Saratoga	The Coaching Club Oaks	I	\$250,000	1 1/8 Miles
7/23/11	Arlington	Stars and Stripes	III	\$100,000	1 1/2 Miles (Turf)
7/24/11	Saratoga	Sanford Stakes	II	\$150,000	6 Furlongs
7/27/11	Saratoga	Lake George	II	\$150,000	1 1/16 Miles (turf)
7/30/11	Saratoga	The Diana	I	\$500,000	1 1/8 Miles (turf)
7/30/11	Saratoga	The Jim Dandy	II	\$500,000	1 1/8 Miles
7/31/11	Saratoga	The Ruffian	I	\$250,000	1 1/8 Miles
7/31/11	Saratoga	Fourstardave Handicap	II	\$150,000	1 1/16 Miles (turf)
7/31/11	Monmouth Park	Teddy Drone Stakes		\$100,000	6 Furlongs
7/31/11	Monmouth Park	Majestic Light Stakes		\$100,000	1 1/16 Miles
7/31/11	Monmouth Park	Jersey Derby Stakes		\$100,000	1 1/16 Miles (turf)
7/31/11	Monmouth Park	Lady's Secret Stakes		\$100,000	1 1/16 Miles
7/31/11	Monmouth Park	Regret Stakes		\$100,000	6 Furlongs
7/31/11	Monmouth Park	The Oceanport Stakes	III	\$200,000	1 1/16 Miles (turf)
7/31/11	Monmouth Park	The Haskell Invitational Handicap	I	\$1,000,000	1 1/8 Miles
8/6/11	Saratoga	Whitney Handicap	I	\$750,000	1 1/8 Miles
8/6/11	Saratoga	The Test	I	\$250,000	7 Furlongs
8/7/11	Saratoga	The Alfred G. Vanderbilt Handicap	I	\$250,000	6 Furlongs
8/7/11	Saratoga	Honorable Miss Handicap	II	\$150,000	6 Furlongs
8/10/11	Saratoga	NY Stallion Series Cab Calloway		\$100,000	1 Mile (turf)
8/11/11	Saratoga	NY Stallion Series Statue of Liberty		\$100,000	1 Mile(turf)
8/12/11	Saratoga	National Museum Hall of Fame Hcp	II	\$150,000	1 1/16 Miles (turf)
8/13/11	Monmouth Park	Monmouth BC Oaks	III	\$150,000	1 1/16 Miles
8/13/11	Saratoga	Sword Dancer Invitational Hcp	I	\$500,000	1 1/2 Miles (turf)
8/13/11	Arlington	Secretariat Stakes	I	\$400,000	1 1/4 Miles (turf)
8/13/11	Arlington	Beverly D. Stakes (BC)	I	\$750,000	1 3/16 Miles (turf)
8/13/11	Arlington	Arlington Million (BC)	I	\$1,000,000	1 1/4 Miles (turf)
8/14/11	Saratoga	The Adirondack	II	\$150,000	6 1/2 Furlongs

EXHIBIT 6

8/18/11	Saratoga	The West Point		\$100,000	1 1/16 Miles (turf)
8/19/11	Saratoga	The Yaddo		\$100,000	1 1/16 Miles (turf)
8/20/11	Saratoga	TVG Alabama Stakes	I	\$500,000	1 1/4 Miles
8/20/11	Monmouth Park	The Phillip H. Iselin Handicap	III	\$250,000	1 1/8 Miles
8/21/11	Saratoga	Woodford Reserve Lake Placid Hcp	II	\$150,000	1 1/8 Miles (turf)
8/21/11	Emerald Downs	Longacres Mile	III	\$200,000	1 Mile
8/24/11	Saratoga	The Albany		\$100,000	1 1/8 Miles
8/26/11	Saratoga	Bernard Baruch	II	\$200,000	1 1/8 Miles (turf)
8/27/11	Saratoga	Travers Stakes	I	\$1,000,000	1 1/4 Miles
8/27/11	Saratoga	King's Bishop	I	\$250,000	7 Furlongs
8/27/11	Saratoga	The Victory Ride	III	\$100,000	6 Furlongs
8/27/11	Saratoga	The Ballston Spa	II	\$200,000	1 1/16 Miles (turf)
8/27/11	Saratoga	Ballerina Handicap	I	\$250,000	7 Furlongs
8/28/11	Saratoga	Personal Ensign Handicap	I	\$300,000	1 1/4 Miles
8/28/11	Monmouth Park	The Molly Pitcher Stakes	II	\$250,000	1 1/16 Miles
9/1/11	Saratoga	The With Anticipation	II	\$150,000	1 1/16 Miles (turf)
9/3/11	Saratoga	Forego Handicap	I	\$250,000	7 Furlongs
9/3/11	Saratoga	The Woodward	I	\$750,000	1 1/8 Miles
9/3/11	Arlington	Washington Park Handicap	III	\$100,000	1 1/8 Miles
9/4/11	Monmouth Park	The Cliff Hanger Stakes	III	\$150,000	1 Mile (turf)
9/4/11	Saratoga	The Spinaway	I	\$250,000	7 Furlongs
9/4/11	Saratoga	Saranac Handicap	III	\$100,000	1 1/8 Miles (turf)
9/5/11	Monmouth	Sorority Stakes		\$100,000	6 Furlongs
9/5/11	Saratoga	Glen Falls Handicap	III	\$100,000	1 3/8 Miles (turf)
9/5/11	Saratoga	Hopeful Stakes	I	\$250,000	7 Furlongs
9/5/11	Philadelphia Park	Turf Monster Handicap	III	\$350,000	5 Furlongs (turf)
9/5/11	Philadelphia Park	Smarty Jones Stakes		\$300,000	1 Mile 70 yards

EXHIBIT 6

Full & Partial Cards

Arlington Park: July 20, 2011 – September 7, 2011

Belmont Park: September 7, 2011

Calder Race Course: July 20, 2011 – September 7, 2011

Delaware Park: July 20, 2011 – September 7, 2011

Ellis Park: July 20, 2011 – September 7, 2011

Emerald Downs: August 21, 2011

Louisiana Downs: July 20, 2011 – September 7, 2011

Monmouth Park: July 20, 2011 – September 5, 2011

Mountaineer Park: July 30, 2011

Penn National: September 7, 2011

Philadelphia Park: September 7, 2011

Saratoga Race Course: July 22, 2011 – September 5, 2011

Turfway Park: September 7, 2011

Yavapai Downs: July 23, 2011 – September 5, 2011

Woodbine: July 20, 2011 – September 7, 2011

Hastings Park: July 20, 2011 – September 7, 2011

Northlands Park: July 20, 2011 – September 7, 2011

LARC (South America) via Maryland Hub: July 20, 2011 – September 7, 2011

LARC (South America) via Calder Hub: July 20, 2011 – September 7, 2011

- G. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

9/4/11	Ruidoso	All American Derby	I	\$1,200,000	440 Yards
9/5/11	Ruidoso	All American Futurity	I	\$2,400,000	440 Yards

- J. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

10. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014

B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder 12400 High Bluff Drive, Ste. 600 San Diego, CA 92130	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Joseph W. Harper P.O. Box 700 Del Mar, CA 92014
Jon S. Kelly Tres Palomas P.O. Box 2574 Rancho Santa Fe, CA 92067	Terrence J. Lanni 1585 Orlando Road Pasadena, CA 91106
Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078	Marie G. Moretti 1140 35 th Street Sacramento, CA 95816
Robert S. Strauss Akin, Gump Strauss, Hauer & Feld 1333 New Hampshire Ave., N.W. Washington DC 20036	Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).

11. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Association Veterinarian(s) Dana Stead, VMD
- Clerk of Scales Ruben Hernandez
- Assistant Clerk of Scales Charles McCaul
- Clerk of the Course Melanie Stubblefield
- Film Specialist Ruben Hernandez
- Horse Identifier Dianne Piper
- Horseshoe Inspector Victor Tovar
- Paddock Judge Ken Goldberg
- Patrol Judges Sue Brent
- Ken Goldberg
- Heather Correa
- Placing Judges Robert Moreno
- Tora Yamaguchi
- Merry Young
- Starter Gary Brinson
- Timer Russell Hudak

- B. Management officials in the racing department:
- | | |
|-------------------------------------|-------------------|
| Vice President, Racing | Thomas S. Robbins |
| Racing Secretary | Thomas S. Robbins |
| Racing Coordinator | Rick Hammerle |
| Assistant Racing Secretary | Zach Soto |
| Paymaster of Purses | Bridget Crawford |
| Others (identify by name and title) | N/A |
- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Barbara Weinstein, PMB 265
9582 Hamilton Ave, Huntington Beach, CA 92646, (714) 964-7102
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation, William O'Brien, Digital Photo Finish Only
Contract expires 12/31/2011
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- Churchill Downs Simulcast Productions, Inc.
Expiration: 12/31/11 Equipment list on file with the Board.
For all dirt and turf races, video patrol will utilize five manned cameras. Four tower cameras show the backstretch head-on (Training Track Camera Tower), far turn and stretch run back view (Quarter Chute Camera Tower) and stretch run head-on (Livestock Barn Camera Tower). Two pan cameras located adjacent to the placing judges room in the Press Box cover the entire race. Additionally, we have a pan camera on the 7th floor, which covers the entire race in 16 x 9 format for our video board presentation.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Solely owned by Association.

12. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Exhibit 7**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **75**
1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **The security for stakes races will be deployed in accordance with our agreement with TOC.**
 2. Detention Barns:
 - A. Attach a plan for use of graded stakes or overnight races.
Detention barn is used as required by the CHRB.

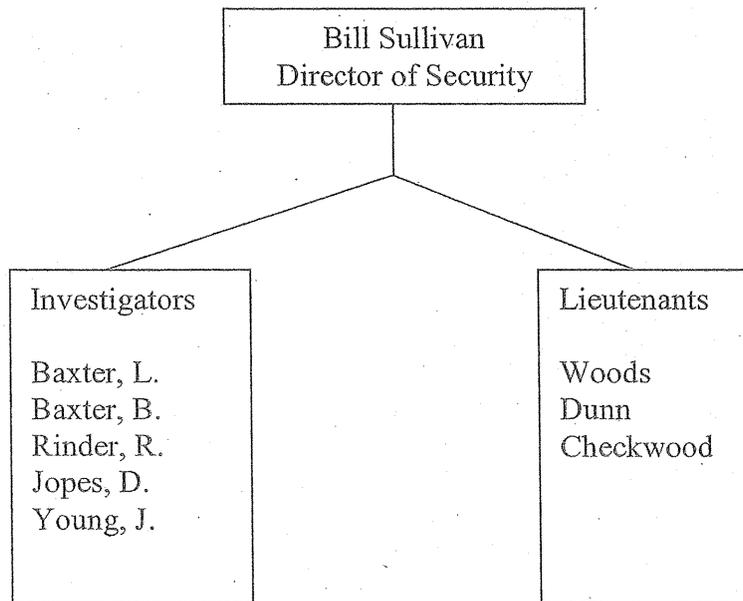
Exhibit 7

Security Personnel

Person responsible for security controls on the premises:

Bill Sullivan, Director of Security.

Security Department:*



Contact Information

Bill Sullivan	Work 858-755-1141 ext. 3652, Home 760-753-6003
James Young	Work 858-755-1141 ext. 3698
All others	Work 858-755-1141 ext. 3650

*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

2011 Gate Schedule

Gate	Shifts																								
	12am	1am	2am	3am	4am	5am	6am	7am	8am	9am	10am	11am	12pm	1pm	2pm	3pm	4pm	5pm	6pm	7pm	8pm	9pm	10pm	11pm	12pm
24 hr Main Gate	█	█	█	█	█	█	█																		
						█	█	█	█	█	█	█													
													█	█	█	█	█	█	█	█					
24 hr Solana Gate	█	█	█	█	█	█	█																		
						█	█	█	█	█	█	█													
													█	█	█	█	█	█	█	█					
19 hr Official Gate						█	█	█	█	█	█	█													
													█	█	█	█	█	█	█	█					
																					█	█	█	█	█
7 hr Stable Gate												█	█	█	█	█	█	█							

B. Number of security guards in the detention barn area during a 24-hour period.

As needed at the direction of the CHRB.

C. Describe number and location of surveillance cameras in detention barn area.

For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shedrow from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' office on the Frontside.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

All

B. Plan for enhanced surveillance for trainers with high-test results.

Will follow CHRB directives.

C. Plan for detention barns for repeat offenders.

Will follow CHRB directives.

D. Number of security personnel assigned to the TCO2 program.

Assigned as needed

C. Describe the electronic security system:

Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

1. Location and number of video surveillance cameras for the detention barn and stable gate.

Del Mar Thoroughbred Club utilizes seven pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.

D. For night racing associations. Describe emergency lighting system: N/A

13. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

San Diego Medical Enterprises, 10405 San Diego Mission Rd. Suite 200, San Diego, CA 92108
(619) 280-6060

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Fairplex: Cole-Schaefer Ambulance Service, 324 N. Towne Avenue, Pomona, CA 91767,
(800) 966-4727

Santa Anita: Huntington Ambulance Service – P.O. Box 145, Sunset Beach, CA 90742
San Luis Rey Downs: Fallbrook/Bonsall Fire Department/Paramedics (760) 723-2005

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site medical clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 nurse and 1 doctor on site

every racing day. Friday, Saturday, Sunday, Opening Day and Pacific Classic Day we have a Medical Coordinator (Medic 25) who works as liasion with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the medical clinic includes:

- Oxygen at each station
- Cardiac monitor
- AED
- Otoscope
- Ophthalmoscope
- Surgical equipment (used for laceration repair and suture removal)

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
(If quarter horse racing association see D(1):

All medical doctors can be reached by contacting the medical clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The medical clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Scripps Coastal Medical Center - and rotate on site for the racing days. The doctors include:

Ariel Alexander Cortes, MD
John K. Agostino, MD
Athanasios J. Foster, MD
Melinda E. Nevins, DO
Philip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services:

N/A

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.

Scripps La Jolla
988 Genesee Ave., La Jolla, CA 92037 858-626-4123

Scripps Encinitas
354 Santa Fe Drive, Encinitas, CA 92024 760-753-4123

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 8

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d):

Health and Safety Manager (Risk Manager): Kimberly Jacobson
Assistant Manager (Risk Management Assistant): Jeremiah Mendoza

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Inspection scheduled for July 18, 2011

Exhibit 8
Del Mar Thoroughbred Club
Jockey, On-Track Accident Response Program

Overview: The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

Program Elements:

I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Scripps Coastal Medical Clinics to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. Each ambulance is staffed by a Paramedic and a Bridge Program certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

II. Jockey Information Health System

DMTC participates in the Jockey Information Health System through Encompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or fax directly to the hospital emergency room.

II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south of the DMTC track, or Encinitas, just north of the track.

Exhibit 8
Del Mar Thoroughbred Club
Jockey, On-Track Accident Response Program

be one ALS ambulance and one team which includes one (1) Paramedic and one (1) Bridge Program certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Bridge Program certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times.

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with the San Diego Medical Enterprises who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

General Conditions

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital emergency care; they will direct the medical transport team as to which hospital to respond to.)

Exhibit 8
Del Mar Thoroughbred Club
Jockey, On-Track Accident Response Program

Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Response During Training Periods

- During training periods, Paramedic and EMT will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist San Diego Medical Enterprises Services with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet San Diego Medical Enterprises unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program, will be treated on-track or at the DMTC medical clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- EMT Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.

Exhibit 8
Del Mar Thoroughbred Club
Jockey, On-Track Accident Response Program

- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed –circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Security and/or Risk Management will notify Officials.
- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,

Exhibit 8
Del Mar Thoroughbred Club
Jockey, On-Track Accident Response Program

- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

Please note: Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

IIIX. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

Exhibit 8
Del Mar Thoroughbred Club
Programa de Reacción de Accidentes de Jinete y en la Pista

Resumen: Del Mar Thoroughbred Club (DMTC) está comprometido con el estado general de salud y la seguridad de los profesionales de la conducción que son miembros valiosos de la comunidad de las carreras y DMTC. Una gran parte de este compromiso es la prestación de servicios profesionales de asistencia médica y los primeros auxilios en caso de necesidad para los Jinetes y hacer uso de tales servicios o participar en un accidente que requiere de primeros auxilios o ayuda médica de emergencia. DMTC toma su papel en la prestación de primeros auxilios y atención médica de emergencia y el transporte, cuando sea necesario, en serio. Este programa define los elementos del plan y los procedimientos que DMTC seguirá, en caso que tales eventos se produzcan y la ayuda médica llegue a ser necesaria.

Los elementos del programa:

I. Médicos Profesionales en las instalaciones durante las carreras -

Resumen

DMTC mantiene profesionales licenciados en asistencia médica y primeros auxilios que cumplen con los niveles establecidos por la industria de carreras de caballos. Los contratos de DMTC con Scripps Coastal Clínicas Médicas para proporcionar una licencia de médico y asistentes médicos, que están de guardia durante las carreras en vivo. DMTC mantiene dos ambulancias de Apoyo de Vida Avanzada. Cada ambulancia cuenta con un paramédico y un Programa Puente que contiene Técnicos certificados de Emergencias Médicas (EMT). Además, el departamento de bomberos municipal, con servicios de paramédicos y EMT están disponibles ya que estos servicios se ubican conjuntamente con la pista.

II. Información del Sistema de Salud del Jinete

DMTC participa en el Sistema de Salud del Jinete a través de Encompass. Todos los médicos y el Departamento de Gestión (administrador/es) de Riesgos tienen acceso a este programa.

Los médicos de la clínica en el hipódromo pueden tener acceso a información médica de los jinetes que participan en el programa.

Cuando un jinete, que participa en el programa, se lastima en el hipódromo el/la supervisor/a de DMTC de Gestión de Riesgos accederá e imprimir la información del Jinete. Esta información, junto con detalles de Work Comp (indemnización del trabajador) se enviarán con el Jinete al hospital y / o por fax directamente a la sala de urgencias del hospital.

II. Zona de Hospitales y Admisión Rápida

Exhibit 8
Del Mar Thoroughbred Club
Programa de Reacción de Accidentes de Jinete y en la Pista

a. DMTC mantiene una relación de trabajo con dos hospitales de la zona, ambos miembros del Hospital Scripps y Healthcare Family of Operations. Los hospitales se encuentran ya sea en La Jolla, al sur de la pista DMTC o Encinitas, al norte de la pista.

b. Scripps Triage y la estación base se encuentra en el hospital de La Jolla - cuando el transporte se determine necesario, se pondrá en contacto de inmediato con el personal de la Clínica Médica, paramédicos o de gestión de riesgos.

c. Los corredores (Jinetes) serán transportados a Scripps de La Jolla a menos que la estación base determine un sitio alternativo cuando sea necesario. Todas las decisiones sobre una transportación de un corredor serán determinadas por Scripps de La Jolla en el momento de un incidente reportado. DMTC no sabrá el destino de un corredor hasta el momento en que el transporte se haya iniciado y que decisiones se hayan determinado en cuanto a las instalaciones de destino para los corredores.

d. Las direcciones de los hospitales son las siguientes: (Ambos se encuentran a menos de 10 millas de DMTC)

a. Scripps de La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123

b. Scripps Encinitas 354 Unidad Santa Fe
Encinitas, CA 92024
Tel: 760.753.4123

III. Seguridad/Salud y Director de Programas de DMTC

a. El/La Gerente de Riesgos (Risk Manager) de DMTC sirve como Gerente de Seguridad y coordinador/a del Jinete para tratamiento médico y el programa de accidentes en la pista.

b. DMTC también mantiene un Asistente de Seguridad y Director/a de Salud (el/la Supervisor/a de Gestión de Riesgos) durante el período en vivo se reúnen en el caso de que el Gerente de Seguridad no este disponible.

Tanto el Gerente de Riesgos y el Supervisor del Gerente de Riesgos se pueden contactar a las siguientes extensiones, ext. Ext. 4233 o.3225, respectivamente, o a través de la radio en el canal de seguridad 1 y 2. Cuando sea necesario, el operador de DMTC o Despacho de seguridad puede ayudar a obtener números alternativos de contacto.

IV. Sitios de Primeros Auxilios y Personal de empleo

Exhibit 8
Del Mar Thoroughbred Club
Programa de Reacción de Accidentes de Jinete y en la Pista

- a. Médico - Servicios para la clínica médica en el lugar son contratados a través de Scripps Coastal Medical Center. Durante todos los períodos de las carreras en vivo habrá un médico con licencia y un asistente médico en guardia.
- b. Las ambulancias de Advanced Life Support (ALS) - Un paramédico y médicos técnicos certificados de emergencia del Programa Puente estarán en el hipódromo en todo momento durante la temporada de las carreras en vivo. Durante el periodo de las carreras en vivo en la temporada, habrá una ambulancia de ALS y un equipo que incluye un (1) paramédico y un (1) médico técnico certificado de emergencias del Programa Puente en todo momento. Durante la temporada de las carreras en vivo, habrá dos ambulancias de ALS, que incluirán dos paramédicos (2) y dos (2) médicos técnicos certificados del Programa Puente de emergencia en el hipódromo. El Equipo 1 seguirá los caballos y jinetes durante la carrera, el Equipo 2 cubrirá el paddock durante las actividades previas a la carrera y servirá como respaldo para el equipo 1. Ambos equipos se mantendrán en contacto por radio con los oficiales y seguridad en todo momento.
- c. Las capacidades del municipio de apoyo-DMTC se encuentra en un estado de parques de atracciones en California. Como tal, disfrutamos de los beneficios del servicio del Departamento de Bomberos municipales y paramédicos y médicos técnicos de emergencia que están ubicados en el mismo sitio de DMTC. En caso de que hayan respondido a una emergencia fuera del sitio, la comisión del Norte enviará la unidad más cercana de apoyo disponible. Los paramédicos del hipódromo tienen la capacidad de llamar directamente a la comisión del Norte cuando sea necesario.

V. Ambulancias y Reglamentos médicos de Transporte

- a. Servicios contratados de ambulancia - DMTC contrata con la Empresa San Diego Medical que proporciona ALS (Servicios de ambulancia) en la pista de en caso de emergencia médica y puede ofrecer servicios de transporte. Dependiendo del tiempo y la causa del incidente, y la cantidad de los jinetes involucrados, la transportación podrá ser referida a los servicios municipales locales.
- b. Servicios municipales - El Departamento de Bomberos de Del Mar u otras personas dentro del área de los Bomberos del Servicio Rancho puede responder cuando sea necesario o se les llame. Los Bomberos de Servicio Rancho responderán con paramédicos y EMT en los camiones de bomberos, así como paramédicos asignados a las ambulancias municipales.

VI. El Plan Médico de Emergencia Estos pasos deben seguirse en caso de un accidente en la pista:

Condiciones Generales

Exhibit 8
Del Mar Thoroughbred Club
Programa de Reacción de Accidentes de Jinete y en la Pista

- Los paramédicos y EMT en el hipódromo responderán a cualquier jinete observado en algún accidente o de otra manera llamados para obtener ayuda. Esta reacción se producirá durante el entrenamiento y / o períodos de carreras en vivo,
- Si requiere de un incidente de transporte, el hospital será contactado y notificado del transporte del paciente. (La ubicación de Scripps el Hospital de La Jolla sirve como la estación de Emergencias y la base para todos los incidentes que requieren atención urgentemente; serán dirigidos al equipo de transporte médico en cuanto a qué hospital responder.)

Comunicaciones

- Paramédico y EMT (Equipo 1 y 2) se le asignará dos radios por equipo que permitirá una comunicación constante tanto con la seguridad y los oficiales. Ambos canales de los Oficiales y del Despacho serán monitoreados en todo momento.
- A la Clínica Médica se le asignará un radio y se mantendrá en contacto con seguridad en todo momento.
- El despacho de seguridad de DMTC supervisará el canal oficial en todo momento.
- El Administrador y el Asistente de Seguridad y Salud supervisará el canal de despacho de seguridad en todo momento y el canal oficial durante una carrera en vivo. Por lo tanto, estarán disponibles en las instalaciones o por teléfono celular las 24 horas del día durante la temporada en vivo.

Reacción Durante períodos de entrenamientos

- Durante los períodos de entrenamientos, los paramédicos y EMT determinarán la naturaleza y el alcance de las lesiones y si el transporte a un centro médico es necesario.
- El Despacho de Seguridad de DMTC será notificado inmediatamente de cualquier incidente de jinete en la pista o de otro tipo de incidente durante los períodos de entrenamiento y ayudará a San Diego Medical con la intención de asegurar más ayuda médica para el transporte al hospital de la zona cuando sea necesario.
- Las unidades municipales se reunirán con Unidades médicas de San Diego Medical Enterprises en la torre del reloj para asegurar el transporte de jinetes lastimados y un hospital designado.
- Los incidentes con lesiones que no requieran el transporte a uno de los hospitales locales identificados dentro de este programa, serán tratados en la

Exhibit 8
Del Mar Thoroughbred Club
Programa de Reacción de Accidentes de Jinete y en la Pista

pista o en la clínica médica de DMTC a discreción del paramédico.

- Los accidentes en la pista durante los períodos de entrenamiento, se comunicarán inmediatamente al Gerente de Seguridad, para su revisión y análisis de información adicional que sea necesaria.

Reacción antes de la carrera en el Paddock

- EMT Equipo 2 se encontrará en el área del paddock durante las actividades antes de la carrera para responder a una emergencia que se produzca en el área del paddock.

- En caso de que ocurra una emergencia el despacho de seguridad será notificado inmediatamente.

- Seguridad de DMTC y Administrador de Salud de programas y / o el Asistente responderán a los incidentes.

- El despacho de Seguridad notificará a la clínica médica del sitio donde haya ocurrido el incidente.

- Si es necesario, más asistencia médica será llamada desde el nivel local de los recursos municipales.

- Seguridad de DMTC responderá a incidentes y ayudará en el control con la multitud de gente. Si es necesario seguridad proporcionará escoltas a la clínica médica.

Los accidentes durante las carreras en vivo

ALS ◦ Equipo de la Unidad-1 Al concluir cada carrera, ALS inmediatamente responderá a accidentes en la pista, simultáneamente, el médico en la pista y un asistente médico se le avisarán del incidente vía radio, la observación de la carrera a través de circuito cerrado de televisión o según lo sugerido por seguridad de DMTC.

- Unidad de ALS - Equipo 2 estará disponible en la clínica médica para ayudar y / o sustituir Equipo 1 de ser necesario que el Equipo 1 se ausente de la pista por cualquier motivo.

- En caso de incidentes que requieran la ausencia de la ambulancia de la pista antes del inicio de la carrera, el Equipo 2 inmediatamente se colocará en la posición de las puertas de salida de los caballos. Cuando esto ocurra el equipo 2 notificará a los comisarios de carreras (Stewards) que están en posición y en contacto a través de la radio.

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Programa de Reacción de Accidentes de Jinete y en la Pista

- Si por alguna razón el equipo 2 no está disponible los administradores de CHRB deberán ser notificados inmediatamente a través del canal oficial.
- Si es necesario, más asistencia médica será llamada desde el nivel local de los recursos municipales.
- Los jinetes serán transportados a las instalaciones médicas de DMTC para examen inicial, observación y triage. Si el paramédico siente que el transporte inmediato al hospital es necesario se le avisará a seguridad que el jinete será transportado directamente al hospital (paramédicos tienen contacto por radio con Scripps de La Jolla y se les notificará directamente del transporte). Seguridad y / o Gerentes de Riesgos notificarán a los oficiales.
- Al extremo posible los profesionales dentro de la clínica médica de DMTC, estarán a cargo de todo el cuidado médico.
- Seguridad de DMTC y personal de seguridad inmediatamente responderán a la clínica médica para ayudar a los gerentes de eventos y control de acceso a la clínica médica.
- Dependiendo de la naturaleza y gravedad de las lesiones, el jinete (s) puede(n) ser transportados a uno de los hospitales locales observados en este plan para el cuidado médico más exhaustivo y el tratamiento que sea necesario.
- Los accidentes en la pista durante la carrera en vivo serán comunicados inmediatamente al Gerente de Seguridad, para su revisión y análisis de información adicional que sea necesaria.
- Cuando una de las unidades de ALS estén obligadas a salir de las instalaciones de DMTC, Seguridad de DMTC será notificada de inmediato y dará instrucciones para llamar a la Comisión Norte (North Com) para cualquier tipo de asistencia médica al cliente hasta que este disponible la unidad y regrese a DMTC.
- El/La Gerente del Departamento de Riesgos proporcionará información y documentación de Salud del Jinete y del sistema de indemnización de los trabajadores al hospital.

Por Favor Tomar en Cuenta: En ningún momento, información sobre el estado de salud de un jinete se proporcionará a los miembros de los medios de comunicación u otras personas. Tal solicitud deberá ser sometida inmediatamente al Director de Medios de Comunicación y / o Presidente / Gerente General de DMTC.

VII. La Planificación de Anunció

Exhibit 8
Del Mar Thoroughbred Club
Programa de Reacción de Accidentes de Jinete y en la Pista

La Planificación de Accidentes y Reacción de DMTC, Jinete y accidentes en la pista será publicada en Inglés y Español en los siguientes lugares:

- a. El cuarto de los Jinetes que está situado en el primer piso del Club House.
- b. Las instalaciones médicas de DMTC y
- c. El Despacho de Seguridad en DMTC.

Más copias del plan se mantendrán en la oficina del/la Gerente de Riesgos, ubicada en el Edificio de Operaciones.

IIX. Actualizaciones

El plan se revisará anualmente antes de las carreras en vivo en DMTC y los cambios necesarios y las actualizaciones se mantendrán al corriente. Reglamentación y / o cambios legislativos que puedan modificar este plan se incluirán como cualquier cambio en los proveedores médicos y / o servicios de transporte en ambulancia.

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Chartis Insurance - WC015883440
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

Exhibit 9

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

14. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Exhibit 10

15. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans:

The 2011 Del Mar season will feature an extensive promotional calendar that will include events and activities for seasoned fans, young adults and families. From festivals and concerts to giveaways and family days, the 2011 season at Del Mar will offer something for everyone. A 2011 Del Mar Marketing Overview, which includes the marketing budget is submitted in Exhibit 11.

- B. Number of hosts and hostesses employed for meeting:

We employ approximately 38 customer service representatives on weekdays (Wednesday and Thursday) and 56 on weekends (Friday, Saturday and Sunday).

- C. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
3/31/2011

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MOC Insurance Services License No. 0589960 44 Montgomery St., 17th Fl. San Francisco CA 94104	CONTACT NAME: Sara Przemielewski	
	PHONE (A/C No. Ext): (415) 957-0600	FAX (A/C No.): (415) 957-0577
	E-MAIL ADDRESS: sprzemielewski@maroevich.com	
	PRODUCER CUSTOMER ID #: 00014486	
INSURED Del Mar Thoroughbred Club P. O. Box 700 Del Mar CA 92014	INSURER(S) AFFORDING COVERAGE	
	INSURER A: Commerce & Industry Ins. Co.	
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	

COVERAGES CERTIFICATE NUMBER: CL1133120049 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERSIST, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL ISUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GENL AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COM/OP AGG \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DEDUCTIBLE RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/>	N/A WC015883440	3/31/2011	3/31/2012	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
Evidence of Coverage

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE S Przemielewski/SPR <i>Sara Przemielewski</i>

ADDITIONAL COVERAGES

Ref #	Description Experience Mod Factor 1	Coverage Code EXP01	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium

Exhibit 10

CONCESSIONS:

George Karetas, President
Premier Food Services, Inc.
7966 Arjons Drive
San Diego, CA 92126

TIP SHEETS:

Bruce M. Andrews, Jr.
Deuce Bruce
5910 Rancho Mission Rd. #33
San Diego, CA 92108

Toby Turrell
Winners
579 S. Sierra Ave # 18
Solana Beach, CA 92075

Bob Baedeker
Baedeker, Inc.
130 West El Portal
San Clemente, CA 92672

Tiffany Bohland
Bob's Card, Inc.
6288 Highland Meadow Dr.
Medina, OH 44256

John Acquarelli
Duke Racing Selections
4615 Triesta
Carlsbad, CA 92010

SADDLERY SERVICES:

Jarry Hobson
Hobson Saddlery
28 North 1st Avenue
Arcadia, CA 91066

Frank Wipfli
Western Saddlery, Inc.
7038 Commerce Circle
Pleasanton, CA 94588

RACING COLORS:

June Gee
West Coast Racing Colors
11920 Goldring Rd. Ste G
Arcadia, CA 91006

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez
Garda Cash Logistics
8807 Complex Drive
San Diego, CA 92123

Scripps Coastal Medical
Center
Track Physicians
1335 Camino Del Mar
Del Mar, CA 92014

Gary S. Bell
Citrus Feed
PO Box 249
La Verne, CA 91750

Michael Brunner
Westwalk Corp.
Hotwalkers
2711 N. Sepulvada Blvd. # 312
Manhattan Beach, CA 90266

Rayetta Burr
Benoit & Associates, Inc.
Track Photographers
2011 Cedar Ave.
Manhattan Beach, CA
90266

Steve Stiles
Stiles Animal Removal Inc.
P.O. Box 1103
Guasti, CA 91743-1103

Angel P. Unamuno
Angel's Feed
4518 Rowland Ave
El Monte, CA 91731

Michael Costello
United/Puett Electrical Starting
Gates
224 Tater Hill Rd.
East Haddam, CT 06423

Deven Owen
West Coast Feed
PO Box 893007
East Haddam, CT 06423

D. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Ongoing replacement of stairways and balconies in the stable area living quarters (restricted area)
- Ongoing replacement of flooring, doors, locks, window screens in living quarters (restricted area)
- Ongoing replacement of exterior electrical outlets and electrical panels in stable area (restricted area)
- Ongoing upgrade of morning workout lights (restricted area)
- Renovation of miscellaneous restrooms in stable area (restricted area)
- Ongoing replacement of water service to miscellaneous barns in stable area (restricted area)
- Excavate all wash rack drain boxes to improve water runoff (restricted area)

2. Fans

- Ongoing replacement of television monitors throughout facility with flat-screen monitors
- Ongoing replacement of grandstand and patio furniture
- New asphalt paving in misc. parking lots
- Replacement of overhead lighting in Clubhouse and Stretch Run seating areas
- Ongoing replacement of carpet in various patios and suites
- New 20mm high definition display panel for main infield video board

3. Facilities in the restricted areas

See D1

16. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

*General Parking (single day) increased from \$8 to \$10

*New discount book of 10 general parking tickets sold in advance for \$50

Stretch Run Admission (includes official program)	\$3.00 (Diamond Club), \$6.00 (regular), \$10.00 (Opening Day), \$25.00 (Season Pass, sold pre-season only)
Clubhouse Admission (includes official program)	\$5.00 (Diamond Club), \$10.00 (regular), \$20 (Opening Day), \$50.00 (Season Pass, sold pre-season only)
Reserved Seating (Stretch Run)	\$5.00 (\$15 Opening Day & Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Reserved Seating (Clubhouse)	\$5.00 (\$15 Opening Day & Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Parking (general, single day)	\$10.00*
Parking (general, advance discount book of 10)	\$50.00*
Parking (valet)	\$20.00
Programs (on-track)	Included with paid admission
Programs (off-track)	\$2.25

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
- Season Turf Club Membership (2 persons): \$1150.00
 - Season Turf Club Membership (1 person): \$850.00
 - Daily Turf Club Admission (member guests): \$40.00 (\$100 on Opening Day)
 - Daily Turf Club Admission (non-member): \$60.00 (\$150 on Opening Day)
 - Stretch Run & Clubhouse Season Box Seats: \$275.00 to \$300.00 per seat
 - Season Reserved Seat: \$260.00

- C. Describe any "package" plans such as combined parking, admission and program:
Turf Club memberships include valet parking. All paid admissions include official programs. All season box seats will include six free admission passes in 2011.

17. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="73"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

- B. Describe the quarters to be used for female jockeys/drivers:
Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.

18. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meeting.
- B. Number of rooms used for housing on the backstretch of the racetrack:
666 permanent and 61 rental trailers
- C. Number of restrooms available on the backstretch of the racetrack: **83**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
17.5 workers to 1 restroom facility

19. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Robert Sanchez, Vice President, Plant**
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- E. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

20. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **Exhibit 12**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. **In negotiations**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

Exhibit 12
 Del Mar Thoroughbred Club
 Union Contracts Currently in Negotiation

Union	Signatory Associations	Job Classification	Contract Dates
Service Employees International Union, Local 2028 (transferred to 1877 in 2007)	Del Mar Thoroughbred Club	Public Events, Security and Janitorial	July 1, 2006 through June 30, 2011 (Negotiations in progress)
Service Employees International Union Local 1877 - AFL-CIO	Bay Meadows Racing Association California Authority of Racing Fairs Del Mar Thoroughbred Club Hollywood Park Racing Associaton Hollywood Park Fall Racing Associaton Los Alamitos Race Course Los Angeles County Fair Association Los Angeles Turf Club, Incorporated Oak Tree Racing Association Pacific Racing Association Sacramento Harness Association	Racing Department	July 24, 2010 through April 19, 2011 (Negotiations in progress)

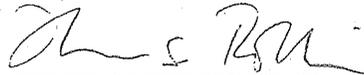
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

21. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Thomas S. Robbins

Print Name



Signature

Executive Vice President, Racing

Print Title

4/18/2011

Date

STAFF ANALYSIS

May 26, 2011

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR AT SANTA ROSA JULY 27, 2011 THROUGH AUGUST 14, 2011.

Sonoma County Fair filed its application to conduct a horse racing meeting at Santa Rosa:

- July 27 through August 14, 2011, or 15 days, the same as 2010. The fair proposes to race a total of 162 races, the same as 2010.
- The proposed race dates are the approved dates allocated to the fair.

July - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4 H	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing Wednesday through Sunday, with 10 races per day on Wednesdays, Thursdays and Fridays; 12 races per day on Saturdays and Sundays.
 - Racing concurrently with Del Mar July 27 through August 14, 2011
 - Number of horses available determines the number of daily races programmed by breed.
 - 2010 Race Meeting: Average number of runners per race (TB): 8.07
 - 2010 Race Meeting: Average number of runners per race (Arabian): 6.56
 - 2010 Race Meeting: Average number of runners per race (Quarterhorse): 7.00
 - 2010 Race Meeting: Average number of runners per race (Mules): 5.90
- First post 1:15 p.m. daily.
- Specific changes from the 2010 license application:
 - Lower box seats from \$175 to \$215
 - Upper box seats from \$125 to 165
- Request Patrick Kealy be appointed horse identifier pursuant to CHRB Rule 1525.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG and TwinSpies.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, DelMarBets.com and OakTreeBets.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California
- Wagering program will use CHRB and ARCI rules.
 - \$1 place pick and \$1 trifecta \$0.10 Superfecta when applicable.
 - \$0.50 pick 4 on first 4 races and last 4 races
 - \$0.50 pick five on last 5 races with 100% major pool paid – 75% carryover and 25% minor pool to most winners.
 - \$0.50 Pentafecta selecting first five finishers - 100% carryover if no winners.
 - Super High Five on the last race.
 - Early wagering will not be offered.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

ITEMS STILL NEEDED

- Fire clearance

RECOMMENDATION:

Staff recommends the Board not approve the application until the outstanding documents have been received.

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items and recommends the applicant be required to appear again before the Board to address the status of the outstanding documents and to remove the contingency status from the Board's approval.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone and fax numbers of fair:

Sonoma County Fair
 1350 Bennett Valley Drive
 Santa Rosa, CA 95404
 (707) 545-4200 (707) 573-9342 Fax

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates of race meeting: Wednesday, July 27, 2011 – Sunday, August 14, 2011
 B. Dates racing will NOT be held: Monday, August 1, 2011, Tuesday, August 2, 2011 & Monday, August 8, 2011, Tuesday, August 9, 2011
 C. Total number of racing days: 15

3. RACING PROGRAM

A. Total number of races: 162

B. Number of races by breed:

131	Thoroughbreds	12	Quarter Horses	0	Appaloosas
12	Arabians	0	Paints	7	Mules

C. Number of races daily:

	Wed 7/27,8/3&10	Thur 7/28,8/4&11	Fri 7/29,8/5&12	Sat 7/30,8/6&13	Sun 7/31,8/7&1
Thoroughbred	22	24	26	29	30
Other Breeds	8	6	4	7	6
Total	30	30	30	36	36

Application received: 4/29/11 Reviewed: <i>apo</i>	CHRB CERTIFICATION	Hearing date: 5/20/11 Approved date: License number:
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D. Total number of stakes races by breed:

<input type="text" value="7"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

SONOMA COUNTY FAIR ASSOCIATION 2011 OVERNIGHT STAKES SHCEDULE

Name TBD Three-year old Fillies – One and 1/16 Miles (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Jul 30
Luther Burbank Handicap – 41 st Running Fillies and Mares Three-year olds and Upward One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sat., Sun Jul 31
Overnight Hdcp – Name TBD Three-year old & Upwards – 5/8 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 6
Wine Country Debutante Overnight Stakes-4 th Running Fillies Two Year-Olds 6 Furlongs	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 6
Joseph T. Grace Handicap – 40 th Running Three-year olds and Upward - One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 7
Name TBD Three-year olds – One & 1/16 Miles (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 13
Cavonnier Juvenile Overnight Stakes – 44th Running Two-year olds - Six Furlongs	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 14

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<i>Race Number</i>	<i>Weekdays</i>	<i>Weekends</i>
Race # 1	1:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	3:45 p.m.
Race # 7	4:15p.m.	4:15 p.m.
Race # 8	4:45 p.m.	4:45 p.m.
Race # 9	5:45 p.m.	5:15 p.m.
Race # 10		5:45 p.m.
Race # 11		6:15 p.m.
Race #12		6:45 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

Bev Palm	Ross Liscum	Saralee McClelland Kunde	Doug Beretta	Max Micklesen
Annette O'Kelley	Ed Clites	Rob Muelrath	Lisa Carreno	Cindy Crane
Heidi Darling	Dave Lewers	Marilyn Herzog	Lisa Wittke Schaffner	Teejay Lowe

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

Marilyn Herzog	Ed Clites	Teejay Lowe	Heidi Darling	Cindy Crane
Max Micklesen	Rob Muelrath			

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:

- Tawny Tesconi – Fair Manager
- Tom Doutrich - Racing Secretary
- Richard Lewis - Director of Racing
- Bryan Wayte - Mutuel Manager
- TBD - Satellite Supervisor
- Steve Wood - Race Track Superintendent
- Michael Wrona - Track Announcer

5. PURSE PROGRAM

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: 1,914,132
 Prior meet actual: 1,705,544

Average Daily Purse (5A1 ÷ number of days):
 Current meet estimate: 127,609
 Prior meet actual: 113,703

2. Overnight stakes:
 Current meet estimate: 350,000
 Prior meet actual: 200,000

Average Daily Purse (5A2 ÷ number of days):
 Current meet estimate: 23,333
 Prior meet actual: 13,333

3. Non-overnight stakes:
 Current meet estimate: 0
 Prior meet actual: 130,000

Average Daily Purse (5A3 ÷ number of days):
 Current meet estimate: 0
 Prior meet actual: 8,667

- B. Funds to be generated for all California-bred incentive awards:
 Current meet estimate: 160,939
 Prior meet actual: 143,401

- C. Payment to each recognized horsemen's organization contracting with the fair:

	Current Meet Estimate	Prior Meet Actual
CTT	\$ 5,929	\$ 5,283
TOC	\$ 11,857	\$ 10,565
NTRA	\$ 3,599	\$ 3,207
PCQHRA	\$ 1,072	\$ 955
CWAR	\$ 0	\$ 0
ARAC	\$ 5,063	\$ 4,511
AMRA	\$ 3,883	\$ 3,460
CHBPAPEN	\$ 17,786	\$ 15,848
CTHF	\$ 17,786	\$ 15,848
TOTAL	\$ 66,975	\$ 59,677

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):
 Current meet estimate: 2,492,046
 Prior meet actual: 2,238,622

Average Daily Purse (5D ÷ number of days):
 Current meet estimate: 166,136
 Prior meet actual: 149,241

- E. Purse funds to be generated from on-track handle and intrastate off-track handle:
 Current meet estimate: 1,736,450
 Prior meet actual: 1,519,869

Average Daily Purse (5E ÷ number of days):
 Current meet estimate: 115,763
 Prior meet actual: 101,325

- F. Purse funds to be generated from interstate handle:
 Current meet estimate: 247,293
 Prior meet actual: 216,449

Average Daily Purse (5F ÷ number of days):

Current meet estimate: 16,486

Prior meet actual: 14,429

G. Bank and account number for the Paymaster of Purses' purse account:

West America Bank (C.A.R.F.) TOC Account No: On file.

Emerging Breeds Account No. On file

H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corp., 1816 Maryal Drive, Sacramento, CA 95854 916-482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1,100
- B. Minimum number of stalls believed necessary for the meeting: 2,000 – 3,000
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,214
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Alameda County Fair, Pleasanton – 684 stalls; Golden Gate Fields – 1,440 stalls
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. Northern California Stabling and Vanning Fund (TBP).

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

F. Total number of usable stalls made available on-site for the 1986 meeting: 1,000

G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall: \$8.53

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: None

7. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to B&P Code Section 004-105, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	<u>TYPE OF WAGERS</u>	<u>APPLICABLE RULES</u>
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9
Race #3	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9
Race #4	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #5	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #6	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #7	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #8	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105

Race #9	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105
Race #10	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105
Race #11	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105
Race #12	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 14, 2011 (Closing Day)**

C. List any options requested with regard to exotic wagering:
\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day
\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$2 PNP6 on the last six thoroughbred races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.
\$0.50 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in the race. Super High-5 will be carded on the last race of the day.

D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin:

E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Scientific Games Racing, George Brannon
Equipment description on file with the Board

Contract Expires: September 30, 2012

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association will request approval from CHRB to allow that entry to run for purse only.

8. ADVANCE DEPOIST WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the fair for this race meeting: **Express Bet, TVG, & Twin Spires**

9. **SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NCOTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File with CHRB

C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

- Alameda County Fair, Pleasanton
- Big Fresno Fair, Fresno
- California State Fair & Exposition, Sacramento
- Club One, Fresno
- Golden Gate Fields, Albany
- *Humboldt County Fair, Ferndale
- Jockey Club at San Mateo, San Mateo
- Kern County Fair, Bakersfield
- Monterey County Fair, Monterey
- San Joaquin County Fair, Stockton
- Santa Clara County Fair, San Jose
- Shasta District Fair, Anderson
- Solano County Fair, Vallejo
- Sonoma County Fair, Santa Rosa
- Stanislaus County Fair, Turlock
- Tulare County Fair, Tulare
- *Open during Humboldt/Ferndale Fair Racing

SOUTHERN CALIFORNIA

- Barona Valley Ranch Resort & Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Commerce Casino Racebook, Commerce
- Derby Club, Seaside Park, Ventura
- Fairplex Park, Pomona
- Los Alamitos Race Course, Los Alamitos
- OC Tavern & Sports Bar, San Clemente
- Santa Anita Park, Arcadia
- Shalimar Sports Center, Indio
- Sports Center, San Bernardino
- Sports Pavillion at The Farmers Fair, Lake Perris
- Sports Pavillion, San Bernardino Cty. Fair, Victorville
- Surfside Race Place at Del Mar, Del Mar
- Sycuan Gaming Center, El Cajon
- Viejas Casino & Turf Club, Alpine
- Watch & Wager, Antelope Valley Fgds, Lancaster

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
See E – Attachment “A” - Common Pool Sites, Separate Pool, Flat Fee Sites
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
See Attachment A
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:
See Attachment B

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

Attachment A – Section 9D and E

Commingled

AmWest Entertainment, OR

Riders Up, SD
Time Out Lounge, SD
Triple Crown, SD

Arapahoe Park, CO
Arma Race Club
Arlington International Race Course, IL
Atlantic City Race Course, NJ
Atokad Downs, NE
Balmoral Park, IL
Bangor, ME
BetPad Ltd.
Beulah Park, OH
Birmingham Race Course, AL
Bluffs Run Greyhound
Bordertown (Remington)
Buffalo Raceway, NY
Bwin International Ltd.
Calder Race Course, FL
*Canadian Associations
Canterbury Park, MN
Capital District OTB
Capital Sports Ply, Ltd, Australia
Cashpoint Limited
Casino Association, NJ
Catskills OTB, NY
Charlestown Race Course, VA
Chester Downs & Marina LLC
Chester Downs Account Wagering
Churchill Downs, KY
Coeur d'Alene Casino, ID
Coeur d'Alene Account Wagering
Colonial Downs, VA
Colonial Downs Phone Bet
Columbus Races, NE
Connecticut OTB, CT
Connecticut OTB Account Wagering
Bradley Teletheater
Bristol
Divi Carina Bay Casino
East Haven
Hartford
Ho-Chunk Casino and Racebook
John Martin's Manor Restaurant
Manchester
Milford
Millenium Racing
Mohegan Sun Casino
New Britain
New London
Norwalk
Paragon Casino
Pony Bar Simulcast Center
Putnam
Randall James Racetrack
Royal Beach Casino
Shoreline Star
Sports Haven
Torrington
Tote Investment Racing

Emerald Downs, WA
Euro Off-Track Wagering
Evangeline Downs, LA
Fair Chance
Fair Grounds, LA
Fair Meadows
Finger Lakes, NY
Fonner Park, NE
Freehold Raceway
Gillespie County Fair
Greenetrack
Greyhound @ Post Falls
Gulf Greyhound
Gulfstream Park, FL
GWS German Tote
Harrington Raceway
Hawthorne Park, IL
Hawthorne Account Wagering
Hazel Park
Hipodromo Presidente Remon, Panama
Hoosier Park, IN
Horsemen's Park, NE
Indiana Downs, IN
Evansville OTB
Clarkesville OTB
International Racing Group
Jackson Harness Raceway, MI
Keeneland Association, KY
Kentucky Downs, KY
Kentucky OTB
Lebanon Raceway, OH
Les Bois/Idaho
Lewiston Raceway Inc., ME
Lien Games, Inc., ND
Chips Lounge and Casino
Howard Johnson OTB and Turf Club
Rumors OTB
Skydancer Casino OTB
BetAmerica/Win2wager Account Wagering
Lincoln Greyhound Park
Lone Star., TX
Louisiana Downs, LA
LVDC
Atlantis Paradise Casino
Avatar Ventures
Pojoaque Cities of Gold Sports Bar
Foxwoods Resort Casino
Meskwaki Bingo & Casino
The Stables
MagnaBet Account Wagering
Maryland Jockey Club, MD
Maywood Park, IL
Meadowlands, NJ
Meadowlands, The, NJ
Meskwaki Casino
Millers OTB
Mohegun Sun
Monmouth Park, NJ
Montana OTB, MT
Monticello Raceway, NY
Mount Pleasant Meadows, MI

Ocean Downs
Penn National
Penn National Telebet
Philadelphia Park/PARX
Philadelphia Park/PARX Phone Bet
Phumelela Gold
Plainridge Race Course
Plainridge Telephone Wagering
Pocono Downs and OTB
Pocono Downs Account Wagering
Portland Meadows, OR
Prairie Meadows
Premier Turf Club
Presque Isle Downs
Racebets Account Wagering
Raceway Park
Racing World
Racing2Day Account Wagering
Racing & Gaming Services
Rayhnam-Taunton Greyhound Park, MA
Remington Park, OK
Retama Park, OK
River Downs
Rockingham Park
Rosecroft Raceway, MD
Royal River Racing/Bettor Racing
Royal Turf Club
Ruidoso Downs, NM
Running Aces Harness Park
Sam Houston, TX
Valley Greyhound Park
Sandy Downs, ID
Saratoga Raceway, NY
Scarborough Downs, ME
Scioto Downs, OH
Seabrook Greyhound
Skydancer Casino
Sol Mututel Ltd.
Southland, AR
Sports Center
Sports Creek Raceway, MI
Stables, The
State Fair Park, NE
Suffolk Regional OTB, NY
Suffolk Downs, MA
Pat's Pizza
Sunland Park, NM
SunRay Park, NM
Tampa Bay Downs, FL
Thistledown Racing, OH
Tioga Downs
Tri-State Racetrack & Gaming Center, WV
Turf de Venezuela
Turf Paradise, AZ
Turfway Park, KY
TVG
TVG/Yonkers Raceway
Twin River Greyhound Park
Twin Spires/AmTAB
Vernon Downs, NY
Western Regional OTB, NY
Wheeling Downs, WV

Corpus Christi Greyhound
 Cypress Bayou OTB
 Dairyland Greyhound Park, WI
 Delaware Park, DE
 Delta Downs
 Dover Downs
 Downs at Albuquerque, NM
 Ebet Online
 Elite Turf Club 1-9, NV
 Ellis Park, KY
 Emerald Downs, WA
 Equus St. Thomas Racing, Inc.
 Evangeline Downs, LA
 Evansville OTB
 Fair Meadows
 Fair Grounds Race Course, LA
 Finger Lakes Race Track, NY
 Fonner Park, NE
 Foxwoods Casino,
 Freehold Raceway, NJ
 Geneva Lakes
 Gillespie County Downs
 Global Wagering Solutions (MEC) MagnaBet
 Great Lakes Downs, MI
 Greentrack
 Gulf Greyhound, TX
 Gulfstream Park, FL
 Harrington Raceway
 Hawthorne
 Hazel Park, MI

Mountaineer Park, WV
 Mystique Dubuque Greyhound Park
 Nassau Regional OTB, NY
 Nebraska State Fair Park, NE
 Nevada Pari-Mutuel Association, NV
 New York Racing Association ADW
 New York Racing Association, NY
 Newport Jai Alai, FL
 NJ Mobile, NJ - ADW
 Northfield Park, OH
 Cedar Downs OTB
 Northville Downs,
 Oaklawn Park, AR
 Ocean Downs, MD
 Penn National Race Course, PA
 Phumelela Gold
 Philadelphia Park, PA
 Pinnacle Race Course
 Plainfield Greyhound Park, NJ
 Plainridge Racecourse, MA
 Pocono Downs, PA
 Portland Meadows, OR
 Potawatomi Casino
 Prairie Meadows, IA
 Premier Turf Club
 Presque Isle Downs
 Race 2 Day
 Raceway Park, OH
 Racing World
 Racing US
 Racing & Gaming Services, British WI
 Raynham Taunton Greyhound Park
 Remington Park, OK
 Retama Park, TX
 River Downs, OH
 Rockingham Park, NH
 Rocky Mountain Turf Club

Wichita Greyhound Park, KS
 Will Rogers Downs, OK
 Wonderland Greyhound Park, MA
 Woodlands, KS
 Wyoming OTB, WY
 XpressBet, Inc., CA
 Yavapai Downs, AZ
 Yonkers Raceway, NY
 Yonkers Account Wagering
 YouBet Group I
 YouBet Illinois
 Zia Park, NM

Separate Pools:
 Caymanas Park, JAM
 Hippodromo Camerero (PR)
 Maronas Race Track
 MIR/Caliente
 Panama

*Canadian Locations-Commingle

Assiniboia Downs	Marquis Downs	Western Fair Raceway
Barrie Raceway	Mohawk Racetrack	Windsor Raceway
Charlottetown	New Brunswick	Woodbine
Clinton Teletheatre	Northlands Park	Woodstock
Dresden	Picov Downs	
Elmira Raceway	Quinte Raceway	
Evergreen Park Grande Prairie	Rideau Carlton	
Exhibition Park	Rocky Mountain Turf Club	
Flamboro Downs	Royal Britiana Hub	
Fredericton Raceway	St. Johns	
Georgian Downs	Sudbury Downs	
Hanover Raceway	Summerside	
Hiawatha	TBC Sandown	
Inverness Raceway	TBC Theatres	
Karwatha Downs	Truro Raceway	

ATTACHMENT B – Section 9 F
IMPORT TRACKS
Sonoma County Fair, Santa Rosa
July 27-August 14, 2011

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

DOMESTIC	
ARLINGTON	7/27-8/14
CALDER RACECOURSE	7/27-8/14
CANTERBURY DOWNS	7/27-8/14
CHARLES TOWN	7/27-8/14
DELAWARE	7/27-8/14
ELLIS PARK	7/27-8/14
EMERALD DOWNS	7/27-8/14
EVANGELINE DOWNS	7/27-8/14
FINGER LAKES	7/27-8/14
HOOSIER	8/2-8/14
LOUISIANA DOWNS	7/27-8/14
MONMOUTH	7/27-8/14
MOUNTAINEER	7/27-8/14
PENN NATIONAL	7/27-8/14
PHILADELPHIA PARK/PARX	7/27-8/14
PRAIRIE MEADOWS	7/27-8/13
PRESQUE ISLE DOWNS	7/27-8/14
RETAMA	7/29-8/14
RIVER DOWNS	7/27-8/14
RUIDOSO	7/27-8/14
SARATOGA	7/22-8/14
SUFFOLK DOWNS	7/27-8/14
THISTLEDOWN	7/27-8/14
YAVAPAI DOWNS	7/27-8/14
INTERNATIONAL	
ASSINIBOIA	7/27-8/14
AUSTRALIAN RACING	7/27-8/14
FORT ERIE	7/27-8/14
HASTINGS	7/27-8/14
NORTHLANDS PARK	7/27-8/14
SOUTH AMERICAN RACING	7/27-8/14
UNITED KINGDOM	7/27-8/14
WOODBINE	7/27-8/14

10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s): **Dr. Robert Connelly (Track & Fitness)**

Clerk of Scales: **Kenneth Sjordal**

Assistant Clerk of Scales: **Matt Nichols**

Clerk of the Course: **Dolores Collins (E-Breeds) & Tina Walker (Thoroughbreds)**

Film Specialist: **Cheryl White**

Horse Identifier: **Patrick Kealy**

Horseshoe Inspector: **Jack Hammonds**

Paddock Judge: **Joe Gibson**

Patrol Judges: **Cheryl White & Danny Winnick**

Placing Judges: **Greg Brent & Ella Robinson**

Starter: **Todd Stevens**

Timer: **Melody Trutt**

- B. Management officials in the racing department:
 Director of Racing: **Richard Lewis**
 Racing Secretary: **Tom Doutrich**
 Assistant Racing Secretary: **Linda Anderson**
 Paymaster of Purses: **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Coastal Reporting Services, 131-A Stony Circle, Suite 500, Santa Rosa, CA 95401, (707) 573-9766**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corporation, USA – Bill O'Brien (Expires 12/7/2012)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communication, Inc. (Jim Porep) (Expires 12/7/2012). (5 Cameras – 3 Towers, 1 Pan and 1 Hand-Held)**
- H. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Pegasus Communication, Inc. (Jim Porep) (Expires 4/30/2012).**

11. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Tawny Tesconi, Fair Manager (707) 545-4200 ext 201

Lt. Harlin, Santa Rosa Police Department, currently in overall charge of sworn officers at the Fair.

Effective July, Lt. Soares will be in overall charge of sworn officers at the Fair. Police are dispatched from (707) 528-5222. Each day a different Lt. will be on grounds for supervision of patrolmen. A list of these supervisors will be made available to CHRB Staff when supplied by SRPD. They are all dispatched from the same (707) 528-5222 number.

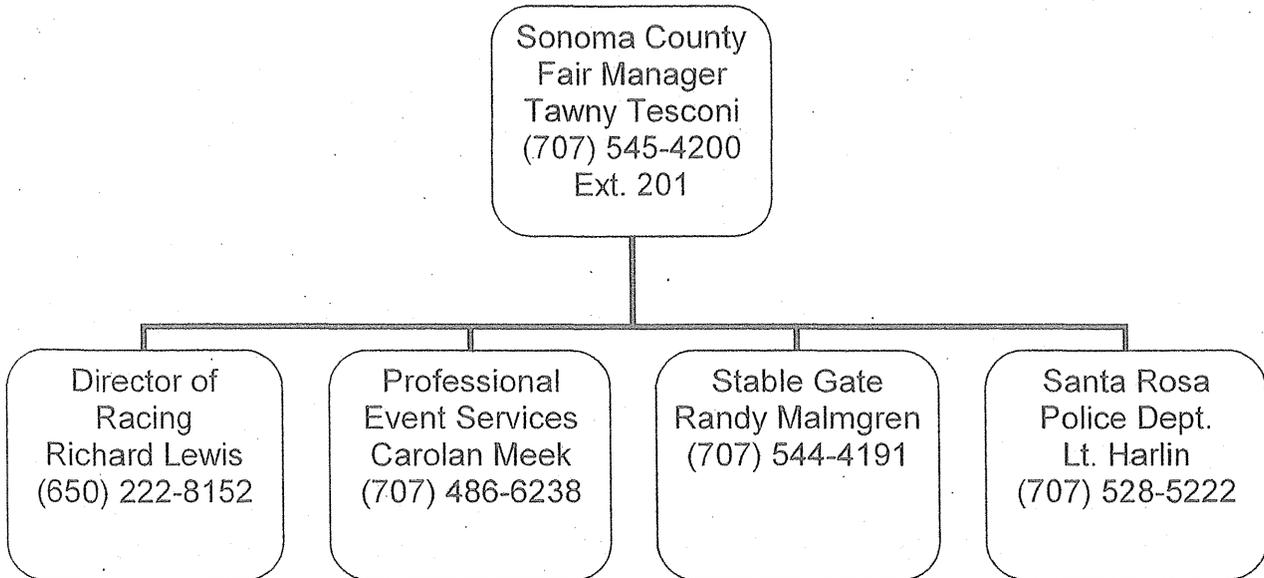
Private security supplied by Professional Events Services:

Carolann Meek: (707) 463-1733 office; (707) 486-6238 cell

CC: (415) 336-4462

Randy Malmgren, Stable Manager: (707) 544-4191

Stable Gate: (707) 544-4191



- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **7 uniform guards, 8 gatemen – 1 night patrolman – 2 uniform police.**
1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **N/A**
 2. Detention Barns: **The Fair is not running graded stakes.**
 - A. Attach a plan for use of graded stakes or overnight races. **N/A**
 - B. Number of security guards in the detention barn area during a 24-hour period. **N/A**
 - C. Describe number and location of surveillance cameras in detention barn area. **N/A**
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested. **All horses in thoroughbred races where the number is determined by a random algorithm generator.**
 - B. Plan for enhanced surveillance for trainers with high-test results. **Trainer with high test results will be moved to the detention area.**

C. Plan for detention barns for repeat offenders.

Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance.

D. Number of security personnel assigned to the TCO2 program.

One (1) 24-hour security guard when detention stalls are occupied.

C. Describe the electronic security system. **Ten (10) stalls adjacent to Testing Barn under 24 hour video surveillance.**

1. Location and number of video surveillance cameras for the detention barn and stable gate.
4 surveillance cameras monitoring this area.

12. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **American Medical Response/Sonoma Life Support, 1415 N. Dutton, Suite C, Santa Rosa, CA 95401, (707) 579-9542**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair
American Medical Response
640 143rd Street
San Leandro, CA 94577
(510) 895-7600**

**Golden Gate Fields
Turf Rescue LLC
19615 Barclay Road
Castro Valley, CA 94546
(510) 581-8470**

C. Describe the on-track first aid facility, including equipment and medical staffing:
Attachment #1A

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
David Fichman, M.D. (707) 829-1811

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
**Santa Rosa Memorial Hospital – 1165 Montgomery Drive, Santa Rosa, CA 95405
Emergency Room (707) 525-5207**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attachment #1B**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d):
**Richard Lewis – Director of Racing
Clifford Sanders – Superintendent, Sonoma County Fairgrounds**

Attachment: 1A**Sonoma County Fair Emergency Care and Medical Facilities**

Sonoma County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Staffing

Two Emergency Medical Technicians (EMTs), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and allied personnel requiring non-emergency medical care.

Physical Resources

Sonoma County Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

Attachment: 1B (English)**Sonoma County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Ambulance Gate to secure the area to allow swift and secure exit by the ambulance and prevent visitation from bystanders to the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff / Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The Track Veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person and/or horse from the track

Attachment: 1C (English – page 2)**Fair Association Staff**

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the Fair Association staff who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.) enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department Heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Attachment: 1D (Spanish)**Procedimiento en caso de Accidente en Sonoma County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que sea necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara a la puerta designada para ambulancia e asgurar el area para que la ambulancia salga a la escenda del accidente y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras / Veterinario del Hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El Veterinario del Hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Attachment: 1D (Spanish – page 2)**Personal de la Feria / Fair Association Staff**

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de la feria que esten cerca del accidente deberan ayudar a tapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El Locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerente sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiares de los heridos. Se debe hacer lo necesario para acompañar a los familiares a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realiza unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. **Fire Department will issue clearance prior to Fair. Fire inspectors typically inspect one to two weeks preceding Fair when facilities are set up for the Fair (i.e. temporary barns are installed with required fire equipment, hoses and extinguishers are hung, remainder of Fair facilities are ready for inspection). During Fair, inspectors are on site daily.**
- I. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Attached**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

13. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

CONCESSION/SERVICE	COMPANY	OWNER	ADDRESS
Alcoholic Beverages	Ovations	Nick Nicora	Banner Island Park - 404 W., Fremont, CA 95203
Food & Non-Alcoholic Beverages	Mary's Pizza	Vince Fazio	19327 Sonoma Hwy, Sonoma, CA 95476 2933 Montgomery Dr., Santa Rosa, CA 95405 585 North State Street Ukiah, CA 95482
	Big Boys BBQ (2 locations)	Gus Lopez	
	Extreme Foods	Phillip Delahoyde	
	TBD		
Tip Sheets	Jack's Blue Card	Lisa Wasserman	127 Sun Ave., Hayward, CA 94544
Programs	Delmar Graphics	Del Scott	7806 Honors Ct. Pln, CA 94588
Daily Racing Forms	Daily Racing Form	Wicks Sports Information Grp	100 Broadway, 7 th Fl. New York City, N.Y. 10005
Winners Circle Photographs	Vassar Photography	Bill Vassar	5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service	Bailey Mobile	Lorene Dutton	3263 Vineyard Venue, #35, Pleasanton, CA 94566
Starting Gate	United/Puett Start Gate	Michael Costello	1 Soundview Loop, S. Salem, N.Y. 10590
Sound System	Speeda Sound	Mike/Larry King	4740 N. Sonora Fresno, CA 93722
Armor Car Service	NOTWINC		11875 Dublin Blvd., #D275, Dublin, CA 94568



California Fair Services Authority

P.O. Box 15518, Sacramento, CA 95852 - tel 916.921.2213 fax 916.263.6159 • www.cfsa.org

February 15, 2011

To: California Horse Racing Board (CHRB)
Attn: Andrea Ogden
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Sonoma County Fair
PO Box 1536
Santa Rosa, CA 95402

Please be advised that the Sonoma County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

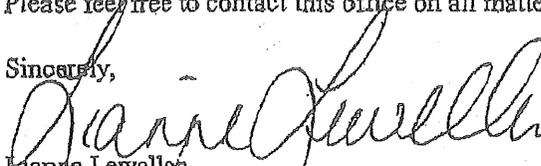
- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2010 to 07/01/2011

CFSA represents to the California Horse Racing Board (CHRB) that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Sonoma County Fair as set forth in the California Horse Racing Board (CHRB)'s "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,


Eranne Lewellen
Risk Analyst



April 29, 2011

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Sonoma County Fair
PO Box 1536
Santa Rosa, CA 95402

Please be advised that the Sonoma County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

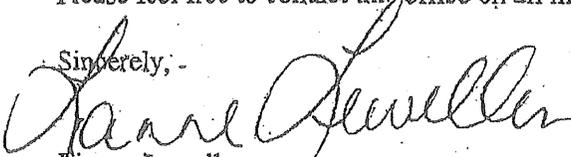
- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2011 to 07/01/2012

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Sonoma County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely, -


Lianne Lewellen
Risk Analyst

14. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

A. Describe any promotional plans:

The total advertising, publicity and promotions budget for the 2011 Fair and Race Meet exceeds \$349,000. Although these funds are used to promote all aspects of the three week Fair, 90% of these efforts involve promotion of live racing.

Since its inception in 2008, we are going to once again hire a Racing Grandstands Promotion Coordinator to improve the quality of our product and to keep race fans entertained and at the races during our meet.

Specific Wine County Racing Promotions:

- **Emailing campaign (Constant Contact) focused on impulse messages to get people to the races.**
- **On-line discounted group ticket sales.**
- **Improved signage at the entrance to the Race Grandstands emphasizing “free” admission to the horse races and welcoming patrons to the venue.**
- **Interactive drawings and contests in between races to keep race fans at the races.**
- **Promotion associates in the Grandstands assisting fans and first time betters.**
- **Free daily racing seminars each fair day geared at educating the new race fan.**
- **Signage promoting reserved seats and Free daily racing seminars.**
- **On track souvenir giveaways during the meet.**
- **Specific Facebook & Twitter pages already live promoting racing at the Fair.**
- **“Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.**
- **\$1 betting voucher coupons given away at Fair Gate to encourage Fair patrons to bet.**
- **Giveaways of box seat tickets & Fair admission throughout the year to increase fair base.**

B. Number of hosts and hostesses employed for meeting:

**Upstairs Grandstand Upper Level Guest Information Booth and wait service in Box Seats.
In addition: 25 Ushers, 6 Guest Service Attendants and 5 Self-Service Attendants.**

C. Describe facilities set aside for new fans:

- **Admission gate distribution of race coupons or vouchers to encourage fairgoer to go to the races during their fair visit.**
- **Promotion associates in the Grandstands assisting fans and first time betters.**
- **Signage promoting reserved seats and Free daily racing seminars.**
- **“Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.**
- **Upper Level Information Booth, Designated New Fan Promotion includes seminar and reserved seating. Additionally, Fair representatives will be visiting more than 20 service organizations to speak about Horse Racing at the Fair.**
- **Free daily racing seminar each fair day (more than 20 years continuously running). The Seminar is hosted by Track Announcer, (to be determined) and features daily high profile guest. Prizes and tips are given throughout the one hour seminar.**
- **Returning promotions and information services include novice fan information booth, staffed featuring wagering information, terms and interactive items such as riding saddle, silks, etc. and a continuously running video on “how to play” from NTRA and DRF.**

D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

- a. Installation of Turf Track in 2005
- b. New Saddling Paddock to be completed prior to 2011 Fair race season
 - approximately 2.5 times larger than prior paddock
 - increases viewing area for the public
 - area for owners and trainers attending to horses is safer
- c. Improved RV Lot, parking and lighted cross walks
- d. New Box Seat chairs and "Wait" service in box seating areas
- e. Painting and clean-up of Jockey Quarters and Offices

15. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

General Admission/Grand Stand	Free with Fair Admission
Fair Admission/Adults (13 & older)	\$ 9.00
Advanced Sale Tickets (On-line)	\$ 7.00
Fair Admission/Children (6-12)	\$ 3.00
Fair Admission/Seniors	\$ 9.00
Fair Admission/Presale Discount	\$ 7.00
Admission (clubhouse)	N/A
Reserved Seating (general)	\$ 3.00
Reserved Seating (clubhouse)	\$ N/A
Upper Reserved Box Seats	\$ 5.00
Parking (valet)	N/A
Programs/on track	\$ 2.00
Programs/off track	\$ 2.25

B. Describe any "Season Boxes" or other special accommodation fees:

Lower box seats \$215 season, Upper box seats \$165 season.

Daily sales of box seats: Upper seats \$3.00 per seat and Lower seats \$5.00 per seat.

C. Describe any "package" plans such as combined parking, admission and program:

Special Horse Racing Group packages offer one admission and one grandstand box seat a \$12.00 value for \$10.00. For groups of 10 or more.

16. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input style="width: 40px; text-align: center;" type="text" value="40"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

- B. Describe the quarters to be used for female jockeys: **Separate area containing a dressing room, lounge area, sauna, showers, restroom facilities, lockers & bunks. Jockeys & Jockettes share the scale.**

17. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meeting.
- B. Number of rooms used for housing on the backstretch of the racetrack: **100 rooms available to horsemen.**
- C. Number of restrooms available on the backstretch of the racetrack: **Total of 14 urinals, 20 toilets, 20 sinks and 27 showers.**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **25 to 1**

18. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,143.9

 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. **Dirt Track – Inner rail is aluminum wrap gooseneck posts covered by rubber and thermoplastic; Outer rail is steel posts and steel rail. Approximate height is 40 inches. Turf Track – Inner rail is aluminum wrap, moveable rail on gooseneck posts. Outer rail is aluminum rail on gooseneck posts permanently mounted.**
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Tawny Tesconi**
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

19. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. **On file.**

- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No Exceptions.**

- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No Exceptions.**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

20. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Tawny Tesconi
Print Name

Fair Manager
Print Title

Tawny Tesconi
Signature

4/29/11
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
A REPORT FROM THE CALIFORNIA EXPOSITION AND STATE FAIR
REGARDING THE STATUS OF ITS
MALFUNCTIONING TOTE BOARD

Regular Board Meeting
May 26, 2011

BACKGROUND

On April 29, 2011, the Cal-Expo stewards' minutes reported that the tote board was 'shut off' for races 9 through 15 due to a malfunction of the tote board, which the minutes stated were an ongoing problem. In addition to the April 29 malfunction, the stewards reported the tote board malfunctioned on:

- December 30, 2010;
- January 6, 22 and 29, 2011;
- February 4 and 11, 2011;
- March 10, 2011; and
- April 14 and 21, 2011

Cal Expo management has stated it owns the tote board structure, but that Sportech, Inc. (Sportech) is the contractor responsible for the maintenance and operation of the electronics. Cal Expo stated Sportech addressed the problems as they occurred and that during the racing week of May 12 through 14 the tote board operated without a problem.

RECOMMENDATION

This item is presented for Board discussion and action. The Board may wish to hear from the Cal Expo and the Sportech representatives.

STAFF ANALYSIS

May 26, 2011

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION (F) AND STATE FAIR JULY 13, 2010 THROUGH JULY 25, 2010

California Exposition and State Fair (Cal Expo) filed its application to conduct a horse racing meeting at Cal Expo in Sacramento:

- July 13 - July 24, 2011, or 10 days, the same as 2010. July 13th simulcast only; live racing to commence July 14, 2011. The fair proposes to race a total of approximately 84 races, 16 less than 2010.
- The proposed race dates are the approved dates allocated to Cal Expo.

July - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4 H	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

- The application lists Cal Expo racing Thursday through Sunday the first week and Wednesday through Sunday the second weeks; with 8 races per day Wednesday and Thursdays , 10 races per day Friday, Saturday and Sunday.
 - Cal Expo has requested authorization to alter above exotic wagering format in compliance with CHRB rules based upon the amount of races and field sizes. They have additionally asked for authorization to request approval from the CHRB Executive Director to prohibit wagering on specific types of wagers to prohibit minus pools.
 - Number of horses available determines the number of daily races programmed by breed.
 - 2009 Race Meeting: Average number of runners per race (TB): 7.24
 - 2009 Race Meeting: Average number of runners per race (Arabian): 6.67
 - 2009 Race Meeting: Average number of runners per race (Quarterhorse): 5.33
 - 2009 Race Meeting: Average number of runners per race (Mules): 5.88
- First post 1:45 p.m. daily and 3:45 p.m. on Fridays
 - Cal Expo respectfully requests to adjust the post time schedule submitted, excepting the first post time, to maximize handle on the overall live and simulcasting program which includes Hollywood Park, Del Mar, Los Alamitos, and out of state import tracks.
- Request Darrel Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Wagering program will use CHRB and ARCI rules.

- The distribution of the carryover pool will be July 24, 2011 (Closing Day).
- Early wagering will be offered and will begin at 8:30 a.m.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG and TwinSpires.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, DelMarBets.com and OakTreeBets.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

RECOMMENDATION:

Staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone and fax numbers of fair:

California Exposition & State Fair
 1600 Exposition Blvd., Sacramento, CA
 916-263-3000 Fax-916-263-3304

B. Fair association is a:

District Fair County Fair Citrus Fruit Fair

California Exposition and State Fair Other qualified fair

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates of race meeting: July 13 through July 24, 2011

B. Dates racing will NOT be held: July 13/18/19, 2011

C. Total number of racing days: 9 Live Racing Days

3. RACING PROGRAM

A. Total number of races: 84

B. Number of races by breed:

Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	8/8			6	6/6	8/8	8/8
Other Breeds	2/2			2	2/2	2/2	2/2
Total	10/10			8	8/8	10/10	10/10

CHRB CERTIFICATION	
Application received: 4/20/11	Hearing date: 4/26/11
Reviewed: <i>APA</i>	Approved date:
	License number:

D. Total number of stakes races by breed:

<input type="text" value="1"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Attached

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

	<u>Daily</u>	<u>Fridays</u>
Race 1	1:45 PM	3:45 PM
Race 2	2:15 PM	4:15 PM
Race 3	2:45 PM	4:45 PM
Race 4	3:15 PM	5:15 PM
Race 5	3:45 PM	5:45 PM
Race 6	4:15 PM	6:15 PM
Race 7	4:45 PM	6:45 PM
Race 8	5:15 PM	7:15 PM
Race 9	5:45 PM	7:45 PM
Race 10	6:15 PM	8:15 PM

Respectfully request approval to adjust submitted post time schedule, excepting first race post time, to maximize all wagering opportunities for our live program, all simulcast import programs including Hollywood Park, Del Mar, and Los Alamitos, and all out of state import programs.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

Corny Gallagher-	Chair
Rick Stacey-	Vice Chair
Steve Beneto-	Member
Cynthia Bryant-	Member
Richard Cuneo-	Member
Sonney Chong-	Member
Richard Engle-	Member
Rex Hime-	Member
Marco Mlikotin-	Member
Kathy Nakase-	Member
Amparo Perez-Lemus-	Member
Senator Darrell Steinberg-	Ex Officio Member
Assembly Member Roger Dickinson-	Ex Officio Member

**2011 California State Fair
Stakes Schedule**

Saturday, July 16, 2011

State Fair Filly and Mare Sprint,

2nd Running

3 year olds and upward,

Six Furlongs

\$75,000 Guaranteed

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:
- Steve Beneto- Racing Committee Chair
 Cynthia Bryant
 Rick Stacey
 Sonney Chong
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:
- Norbert Bartosik General Manager
 Anita Ortega Stable Superintendent
 Scott Ehrlich Announcer
 Steve Wood/Trackmaster Track Foreman

5. PURSE PROGRAM

- A. Purse distribution: 2011- 9 Live Racing Days 2010- 10 Live Racing Days

1. All races other than stakes:
 Current meet estimate: \$800,000
 Prior meet actual: \$842,045

Average Daily Purse (5A1 ÷ number of days):
 Current meet estimate: \$88,888
 Prior meet actual: \$84,204

2. Overnight stakes: None
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (5A2 ÷ number of days):
 Current meet estimate: N/A
 Prior meet actual: N/A

3. Non-overnight stakes:
 Current meet estimate: \$75,000
 Prior meet actual: \$89,020

Average Daily Purse (5A3 ÷ number of days):
 Current meet estimate: \$8,333
 Prior meet actual: \$8,902

- B. Funds to be generated for all California-bred incentive awards:
 Current meet estimate: \$68,190
 Prior meet actual: \$79,288 14%

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	2,695	2,643
TOC	5,390	5,287
NTRA	0	2,971
PCQHRA	3,720	4,323
CWAR	0	0
ARAC	8,460	9,840
AMRA	6,130	7,128
CHBPAPEN	8,090	7,930
CTHF	8,090	7,930
Total-	\$42,575	Total- \$48,054

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

Current meet estimate: \$985,765
 Prior meet actual: \$1,058,407

Average Daily Purse (5D ÷ number of days):

Current meet estimate: \$109,529
 Prior meet actual: \$105,840

E. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: \$914,765
 Prior meet actual: \$981,407

Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$101,641
 Prior meet actual: \$ 98,141

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$71,000
 Prior meet actual: \$77,000

Average Daily Purse (5F ÷ number of days):

Current meet estimate: \$7,889
 Prior meet actual: \$7,700

G. Bank and account number for the Paymaster of Purses' purse account:

CARF Paymaster Account- West America Bank- Number on file with CHRB.

H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy, 1816 Maryal Drive, Sacramento, CA 95864 916-482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-

track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 804
- B. Minimum number of stalls believed necessary for the meeting: 800
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,124
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
Alameda County Fair- 684 Golden Gate Fields- 1,440
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
NCOTWINC Agreement on file with CHRB

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS
 Example Race \$1 E; \$1 Double

APPLICABLE RULES
 CHRB #1959; RCI #VE

Item 7A
 Pari Mutuel Wagering Program-

Proposed Wagering Format

The following CHRB wagering rules will be in affect during the 2011 California State Fair Thoroughbred and Mixed Breed racing meet.

Type		Rule Number
Exacta	(1E)	1959
Quinella	(2Q)	1958
Trifecta	(1TRI)	1979
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.50 PF)	ARCI 004-105
PK 3	(1PK3)	1977
PK 4	(.50PK4)	1978
PNP 5	(1PNP5)	1976.9
PNP6	(1PNP6)	1976.9

- Race 1: 2DD, .10 SF, 1E, 1 TRI, 2Q, .50 PK4,
- Race 2: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1 PK3
- Race 3: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3
- Race 4: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PNP6
- Race 5: 2DD, .10 SF, 1E, 1 TRI, 2 Q, 1PNP5, 1 PK3,
- Race 6: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1 PK3, 1 PK4
- Race 7: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1 PK3
- Race 8: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3
- Race 9: 2DD, .10 SF, 1E, 1 TRI, 2Q,
- Race 10: 2DD, .10 SF, 1E, 1 TRI, 2Q, .50 PF (Super Hi 5)

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: All carryovers to be paid on last racing day July 24, 2011

C. List any options requested with regard to exotic wagering:
 Respectfully request the authorization to alter above exotic wagering format in compliance with CHRB rules based upon amount of races and field sizes. Respectfully request the authorization to request approval from the CHRB Executive Director to prohibit wagering on specific types of wagers to prohibit minus pools and adjust the takeout on specific wagers for promotional purposes pursuant to existing statute.
 \$.50 Pick 4 on first four and last four races daily
 \$.50 Pick 5 on last 5 races daily
 \$2.00 Pick 6 on last 6 races daily

D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin: 8:30 AM

- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: Sportech, Inc., Statewide Contract Expires: September 2012

8. **ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
TwinSpires.com, TVG, XPressBet

9. **SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NCOTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

On File with CHRB

- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
 Big Fresno Fair, Fresno
 California State Fair & Exposition, Sacramento
 Club One, Fresno
 Golden Gate Fields, Albany
 *Humboldt County Fair, Ferndale
 Jockey Club at San Mateo, San Mateo
 Kern County Fair, Bakersfield
 Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock
 Tulare County Fair, Tulare

*Open during Humboldt/Ferndale Fair Racing

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside
 Cabazon Fantasy Springs Casino, Indio
 Commerce Casino Racebook, Commerce
 Derby Club, Seaside Park, Ventura
 Fairplex Park, Pomona
 Los Alamitos Race Course, Los Alamitos
 OC Tavern & Sports Bar, San Clemente
 Santa Anita Park, Arcadia
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

- E. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
See E – Attachment “A” - Common Pool Sites, Separate Pool, Flat Fee Sites
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
See Attachment A
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:
See Attachment B

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

**Attachment A – Section 9D and E
Commingled**

AmWest Entertainment, OR

Riders Up, SD
Time Out Lounge, SD
Triple Crown, SD

Arapahoe Park, CO

Arima Race Club

Arlington International Race Course, IL

Atlantic City Race Course, NJ

Atokad Downs, NE

Balmoral Park, IL

Bangor, ME

BetPad Ltd.

Beulah Park, OH

Birmingham Race Course, AL

Bluffs Run Greyhound

Bordertown (Remington)

Buffalo Raceway, NY

Bwin International Ltd.

Calder Race Course, FL

*Canadian Associations

Canterbury Park, MN

Capital District OTB

Capital Sports Ply, Ltd, Australia

Cashpoint Limited

Casino Association, NJ

Catskills OTB, NY

Charlestown Race Course, VA

Chester Downs & Marina LLC

Chester Downs Account Wagering

Churchill Downs, KY

Coeur d'Alene Casino, ID

Coeur d'Alene Account Wagering

Colonial Downs, VA

Colonial Downs Phone Bet

Columbus Races, NE

Connecticut OTB, CT

Connecticut OTB Account Wagering

Bradley Teletheater

Bristol

Divi Carina Bay Casino

East Haven

Hartford

Ho-Chunk Casino and Racebook

John Martin's Manor Restaurant

Manchester

Milford

Millenium Racing

Mohegan Sun Casino

New Britain

New London

Norwalk

Paragon Casino

Pony Bar Simulcast Center

Putnam

Randall James Racetrack

Royal Beach Casino

Shoreline Star

Sports Haven

Torrington

Tote Investment Racing

Emerald Downs, WA

Euro Off-Track Wagering

Evangeline Downs, LA

Fair Chance

Fair Grounds, LA

Fair Meadows

Finger Lakes, NY

Fonner Park, NE

Freehold Raceway

Gillespie County Fair

Greenetrack

Greyhound @ Post Falls

Gulf Greyhound

Gulfstream Park, FL

GWS German Tote

Harrington Raceway

Hawthorne Park, IL

Hawthorne Account Wagering

Hazel Park

Hipodromo Presidente Remon, Panama

Hoosier Park, IN

Horsemen's Park, NE

Indiana Downs, IN

Evansville OTB

Clarkesville OTB

International Racing Group

Jackson Harness Raceway, MI

Keeneland Association, KY

Kentucky Downs, KY

Kentucky OTB

Lebanon Raceway, OH

Les Bois/Idaho

Lewiston Raceway Inc., ME

Lien Games, Inc., ND

Chips Lounge and Casino

Howard Johnson OTB and Turf Club

Rumors OTB

Skydancer Casino OTB

BetAmerica/Win2wager Account Wagering

Lincoln Greyhound Park

Lone Star., TX

Louisiana Downs, LA

LVDC

Atlantis Paradise Casino

Avatar Ventures

Pojoaque Cities of Gold Sports Bar

Foxwoods Resort Casino

Meskwaki Bingo & Casino

The Stables

MagnaBet Account Wagering

Maryland Jockey Club, MD

Maywood Park, IL

Meadowlands, NJ

Meadowlands, The, NJ

Meskwaki Casino

Millers OTB

Mohegun Sun

Monmouth Park, NJ

Montana OTB, MT

Monticello Raceway, NY

Mount Pleasant Meadows, MI

Ocean Downs

Penn National

Penn National Telebet

Philadelphia Park/PARX

Philadelphia Park/PARX Phone Bet

Phumelela Gold

Plainridge Race Course

Plainridge Telephone Wagering

Pocono Downs and OTB

Pocono Downs Account Wagering

Portland Meadows, OR

Prairie Meadows

Premier Turf Club

Presque Isle Downs

Racebets Account Wagering

Raceway Park

Racing World

Racing2Day Account Wagering

Racing & Gaming Services

Rayhnam-Taunton Greyhound Park, MA

Remington Park, OK

Retama Park, OK

River Downs

Rockingham Park

Rosecroft Raceway, MD

Royal River Racing/Bettor Racing

Royal Turf Club

Ruidoso Downs, NM

Running Aces Harness Park

Sam Houston, TX

Valley Greyhound Park

Sandy Downs, ID

Saratoga Raceway, NY

Scarborough Downs, ME

Scioto Downs, OH

Seabrook Greyhound

Skydancer Casino

SoI Mututel Ltd.

Southland, AR

Sports Center

Sports Creek Raceway, MI

Stables, The

State Fair Park, NE

Suffolk Regional OTB, NY

Suffolk Downs, MA

Pat's Pizza

Sunland Park, NM

SunRay Park, NM

Tampa Bay Downs, FL

Thistledown Racing, OH

Tioga Downs

Tri-State Racetrack & Gaming Center, WV

Turf de Venezuela

Turf Paradise, AZ

Turfway Park, KY

TVG

TVG/Yonkers Raceway

Twin River Greyhound Park

Twin Spires/AmTAB

Vernon Downs, NY

Western Regional OTB, NY

Wheeling Downs, WV

Corpus Christi Greyhound
 Cypress Bayou OTB
 Dairyland Greyhound Park, WI
 Delaware Park, DE
 Delta Downs
 Dover Downs
 Downs at Albuquerque, NM
 Ebet Online
 Elite Turf Club 1-9, NV
 Ellis Park, KY
 Emerald Downs, WA
 Equus St. Thomas Racing, Inc.
 Evangeline Downs, LA
 Evansville OTB
 Fair Meadows
 Fair Grounds Race Course, LA
 Finger Lakes Race Track, NY
 Fonner Park, NE
 Foxwoods Casino,
 Freehold Raceway, NJ
 Geneva Lakes
 Gillespie County Downs
 Global Wagering Solutions (MEC) MagnaBet
 Great Lakes Downs, MI
 Greentrack
 Gulf Greyhound, TX
 Gulfstream Park, FL
 Harrington Raceway
 Hawthorne
 Hazel Park, MI

Mountaineer Park, WV
 Mystique Dubuque Greyhound Park
 Nassau Regional OTB, NY
 Nebraska State Fair Park, NE
 Nevada Pari-Mutuel Association, NV
 New York Racing Association ADW
 New York Racing Association, NY
 Newport Jai Alai, FL
 NJ Mobile, NJ - ADW
 Northfield Park, OH
 Cedar Downs OTB
 Northville Downs,
 Oaklawn Park, AR
 Ocean Downs, MD
 Penn National Race Course, PA
 Phumelela Gold
 Philadelphia Park, PA
 Pinnacle Race Course
 Plainfield Greyhound Park, NJ
 Plainridge Racecourse, MA
 Pocono Downs, PA
 Portland Meadows, OR
 Potawatomi Casino
 Prairie Meadows, IA
 Premier Turf Club
 Presque Isle Downs
 Race 2 Day
 Raceway Park, OH
 Racing World
 Racing US
 Racing & Gaming Services, British WI
 Raynham Taunton Greyhound Park
 Remington Park, OK
 Retama Park, TX
 River Downs, OH
 Rockingham Park, NH
 Rocky Mountain Turf Club

Wichita Greyhound Park, KS
 Will Rogers Downs, OK
 Wonderland Greyhound Park, MA
 Woodlands, KS
 Wyoming OTB, WY
 XpressBet, Inc., CA
 Yavapai Downs, AZ
 Yonkers Raceway, NY
 Yonkers Account Wagering
 YouBet Group I
 YouBet Illinois
 Zia Park, NM

Separate Pools:

Caymanas Park, JAM
 Hippodromo Camerero (PR)
 Maronas Race Track
 MIR/Caliente
 Panama

*Canadian Locations-Commingled

Assiniboia Downs	Marquis Downs	Western Fair Raceway
Barrie Raceway	Mohawk Racetrack	Windsor Raceway
Charlottetown	New Brunswick	Woodbine
Clinton Teletheatre	Northlands Park	Woodstock
Dresden	Picov Downs	
Elmira Raceway	Quinte Raceway	
Evergreen Park Grande Prairie	Rideau Carlton	
Exhibition Park	Rocky Mountain Turf Club	
Flamboro Downs	Royal Britiana Hub	
Fredericton Raceway	St. Johns	
Georgian Downs	Sudbury Downs	
Hanover Raceway	Summerside	
Hiawatha	TBC Sandown	
Inverness Raceway	TBC Theatres	
Karwatha Downs	Truro Raceway	

ATTACHMENT B – Section 9 F
 IMPORT TRACKS
 Cal Expo, Sacramento
 July 13-24, 2011

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

DOMESTIC	
ARLINGTON	7/13-7/24
BELMONT	7/13-7/17
CALDER RACECOURSE	7/13-7/24
CANTERBURY DOWNS	7/13-7/24
CHARLES TOWN	7/13-7/24
COLONIAL DOWNS	7/13-7/19
DELAWARE	7/13-7/24
ELLIS PARK	7/13-7/24
EMERALD DOWNS	7/13-7/24
EVANGELINE DOWNS	7/13-7/24
FINGER LAKES	7/13-7/24
INDIANA DOWNS	7/13-7/13
LONE STAR	7/13-7/24
LOUISIANA DOWNS	7/13-7/24
MONMOUTH	7/13-7/24
MOUNTAINEER	7/13-7/24
PENN NATIONAL	7/13-7/24
PHILADELPHIA PARK/PARX	7/13-7/24
PRAIRIE MEADOWS	7/13-7/24
PRESQUE ISLE DOWNS	7/13-7/24
RIVER DOWNS	7/13-7/24
RUIDOSO	7/13-7/24
SARATOGA	7/22-7/24
SUFFOLK DOWNS	7/13-7/24
THISTLEDOWN	7/13-7/24
YAVAPAI DOWNS	7/13-7/24
INTERNATIONAL	
ASSINIBOIA	7/13-7/24
AUSTRALIAN RACING	7/13-7/24
FORT ERIE	7/13-7/24
HASTINGS	7/13-7/24
NORTHLANDS PARK	7/13-7/24
SOUTH AMERICAN RACING	7/13-7/24
UNITED KINGDOM	7/13-7/24
WOODBINE	7/13-7/24

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)-	Audrey Clifton, DVM
Clerk of Scales-	Cheryl White
Clerk of the Course- TB	Tina Walker-Bryant
Clerk of the Course- Other Breeds	Dolores Collins
Film Specialist-	Dan Winick
Horse Identifier-	Darrel Sparks
Horseshoe Inspector-	Larry Goshman
Paddock Judge-	Joe Gibson
Patrol Judges-	Joe Gibson, Dan Winick,
Placing Judges-	Steve Martinelli, Ken Sjoldal
Starter-	Robert Mooneyhan
Timer-	Melody Truitt

- B. Management officials in the racing department:

Director of Racing-	David Elliott
Racing Secretary-	Tom Doutrich
Assistant Racing Secretary-	Greg Brent
Paymaster of Purses-	Victoria Layne
Others (identify by name and title)	

- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier, 13136 Ivey Rd., Herald, CA 95638
916-956-3914
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corporation, USA- Bill O'Brien, Contract Expires 12/7/12
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communications, Inc (Jim Porep) Contract Expires: 4/30/2013
7 Cameras-
1- Upper Pan, 1- Lower Pan, 2- Roving (Infield, At Starting Gate, Post Parade, Paddock, Winner's Circle) 3-Tower Cameras
- G. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16 pole and both chutes. Pegasus Communications, Inc. (Jim Porep), Contract Expires: April 30, 2013

11. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Chief Robert Craft- 916-263-3050- Police Department Organizational Chart Attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
Gate persons- 9 Police Officers- 5 supported by roving patrol and 30-110 member Cal Expo Police Department
 - 1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.
No Graded Stakes
 - 2. Detention Barns:
 - A. Attach a plan for use of graded stakes or overnight races.
N/A
 - B. Number of security guards in the detention barn area during a 24-hour period.
N/A
 - C. Describe number and location of surveillance cameras in detention barn area.
N/A
 - 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB directives
 - B. Plan for enhanced surveillance for trainers with high-test results.
Will follow CHRB directives
 - C. Plan for detention barns for repeat offenders.

Will follow CHRB directives

D. Number of security personnel assigned to the TCO2 program.

As needed per CHRB directive

C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention barn and stable gate.

Surveillance equipment provided and installed by CARF at each fair.

12. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response, 1779 Tribute Rd., Sacramento, CA 95815 916-563-0600

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Alameda County Fair

Golden Gate Fields

Westmed Ambulance Service

Turf Rescue LLC

2424 Whipple Rd.

19615 Barclay Rd.

Hayward, CA 94544

Castro Valley, CA 94546

510-614-1420

510-581-8470

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on site first aid facility contains all equipment and supplies necessary for advanced life support of any emergency. The facility is staffed by paramedics and EMT's.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

Dr. Jeanette Frei 916-691-6622

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

UC Davis Medical Trauma Center

Specializing as a Level 1 Trauma Center

2315 Stockton Blvd., Sacramento, CA 95817

916-734-2011

Sutter Memorial Hospital

Specializing in cardiac treatment

5151 F. St., Sacramento, CA 95819

916-454-3333

Kaiser Permanente Hospital

Specializing in orthopedic and cardiac treatment

2016 Morse Ave.

Sacramento, CA 95825

916-817-5660

Mercy General Hospital

Specializing in cardiac treatment

4001 J. St., Sacramento, CA 95819

916-453-4553

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d): David Elliott and Kate Phariss
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Annual Inspection certificate is attached
- I. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

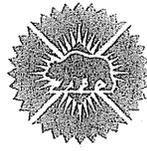
13. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Food Service-
Ovations FanFare
4501 Pleasanton Drive
Pleasanton, CA 94566

14. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans:
The Cal Expo racing program will be part of the overall media buy for the 2011 annual California State Fair.
- B. Number of hosts and hostesses employed for meeting:
3
- C. Describe facilities set aside for new fans:
None for 2011 with the exception of a "Rookies Window" for wagering
- D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:



CAL EXPO

PRECUDIOS DE EMERGENCIA

“PRIMERA RESPUESTA”

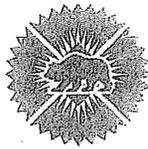
- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La Ambulancia respondera par aver lo necesario.
- La Ambulancia tiene el equipo necesario para acsidentes.
- Se hara una evaluacion de acuerdo al accidente.

“EVALUACIO AL INSTANTE”

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

“ATENCION AL ACSIDENTADO”

- El acidentado sera tratado de inmediato por (EMT).
- Sies demaciado grabe el acsidente sera transportado por ambulancia



CAL EXPO

Emergency Medical Plan Procedures for On Track Injuries

1. Upon arrival of all jockeys and exercise riders who are not employed by Cal Expo, each person will be offered a notification of next of kin form that they can fill out voluntarily that Cal Expo shall secure and use only in the case of an emergency to notify a family member or friend in the case of an emergency.
2. If there is an accident on the racetrack, the following procedures shall be implemented:
 - a. The on track ambulance with staff and the medical doctor on duty will travel immediately to the scene of the accident and assume triage and patient care responsibilities. The outriders shall also travel immediately to the accident scene to assist along with appropriate staff and security.
 - b. The on track ambulance EMT's and/or Paramedics and medical doctor after assessing the patient(s), shall make the determination along with the medical doctor on duty to transport the patient(s) to one of four local hospitals or to transport the patient(s) back to the jocks room.
 - c. If the ambulance is transporting, they will dispatch another ambulance to the racetrack.
 - d. If on site treatment is deemed sufficient, the EMT'S/Paramedics and/or the medical doctor on duty shall administer treatment to the injured jockey.
3. If injury requires transport, American Medical Response will transport the patient to one of the following hospitals:
 - UC Davis Trauma Center- 5.44 miles
 - Sutter Memorial Hospital- 4.22 miles
 - Kaiser Permanente- 2.73 miles
 - Mercy General Hospital- 3.97 miles

These hospitals have been contacted pursuant to B&P Code Section 19481.3.(c). The charge nurses on duty or the public affairs office has stated that all emergency room patients that are transported will receive the proper care in order of the triage process.

CALIFORNIA EXPOSITION & STATE FAIR

P.O. Box 15649 • Sacramento, CA 95852-1649

State of California • Arnold Schwarzenegger, Governor



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
FIRE & LIFE SAFETY DIVISION

1131 S Street
SACRAMENTO, CA 95811
T: (916) 445-8550 F: (916) 324-3784
Website: www.fire.ca.gov



November 8, 2010

California Exposition and State Fair
1600 Exposition Blvd.
Sacramento, CA 95815
Attn: Dave Eddy

Dear Mr. Eddy,

The follow up inspection of the Backstretch Barns A-Z has been completed. Thank you for your diligence in correcting multiple deficiencies from June 2010.

Documentation has been received that indicates all smoke alarms are being corrected and tested. Monthly record of testing shall be maintained by the facility per NFPA 13, Table 10.4.2.2.

The Automatic Hood Extinguishing System located within the Winners Circle does not meet UL 300 requirements. The system shall be red tagged and not permitted for use. Plans to correct this system shall be submitted to the SFM within 30 days.

Documentation was provide indicating that the fire alarm system located with the Race Office which also monitors Barns A-Z has been modified to monitor through "panel node #7". This is due to construction for new fiber optic wiring. Per Title 19 1.14, the fire alarm system shall be maintained and operable at all times.

Fire clearance is granted.

Sincerely,

A handwritten signature in cursive script, appearing to read 'Christine Fowler'.

Christine Fowler
Deputy State Fire Marshal

cc: Chief Guarino, SFM Supervisor
Mark Dannewitz, Maintenance Operations Manager
Brian May, General Manager



April 15, 2011

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: California Exposition & State Fair
1600 Exposition Blvd.
Sacramento, CA 95815

Please be advised that the California Exposition & State Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$750,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage \$9,250,000 in excess of \$750,000
Coverage provided by Allied World Assurance Company
Term: 01/01/2011 to 01/01/2012

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2010 to 07/01/2012

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the California Exposition & State Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen
Risk Analyst

1. Horsemen- Barns A, B, and C remodel/refurbishment (\$130,000)
2. Fans
3. Facilities in the restricted areas

None to date that have not been mentioned in our most previous "other" license applications. However, there is "grant" money available (pending approval) to Cal Expo in the amount of approximately \$585,000 that will be expended on various capital improvement projects including more barn refurb/remodel, racing office improvements, awning structures for grandstand entrances, tack room improvements, television and surveillance equipment replacement, etc.

15. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

- Admission (general Adult) \$12
- Admission (Turf Club) Free
- Reserved seating (general) N/A
- Reserved seating (clubhouse) N/A
- Parking (general) \$10
- Parking (preferred) \$4
- Parking (valet) N/A
- Programs (on-track) \$2.00
 - (on track program recap sheet) Free
 - (off-track) \$2.50 (.25 cent increase)

B. Describe any "Season Boxes" or other special accommodation fees:

- Finish line Season Box Package- \$600 (\$50 reduction)
- Season Box Seat Package- \$500 (\$50 reduction)
- Box seat packages include for each racing day: 6 admissions and box seats, 6 programs, Daily preferred lot pass, Daily General Lot Pass, 6 additional Comp admission passes

C. Describe any "package" plans such as combined parking, admission and program:

Frequent player program for our year round satellite wagering guests which includes a discount fair pass of 67% and a free General Lot parking pass. Guests must sign up for the program and be present for at least 50% of satellite wagering or harness racing days during a specific period.

16. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="43"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys:

Same type, segregated space

17. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meeting.

C. Number of rooms used for housing on the backstretch of the racetrack: 80

- D. Number of restrooms available on the backstretch of the racetrack: 6
- E. Estimated ratio of restrooms to the number of backstretch personnel: 50/1

18. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,280

 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
 Inside Rail- Fontana Safety Rail Outside Rail- Steriline Aluminum Racing Rail
 Both rails will be set at 38" to 42" from the level of the race course
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: David Elliott and Kate Phariss
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

19. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. On file
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

20. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

David Elliott

Print Name



Signature

Director of Racing

Print Title

April 15, 2011

Date

STAFF ANALYSIS

May 26, 2011

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY BY THE SOUTHERN CALIFORNIA OFF-TRACK WAGERING INC. (SCOTWINC) AT THE HORSE MAN'S CLUB, SANTA MARIA, CALIFORNIA.

The Santa Maria Satellite Wagering Facility (SWF) opened April 7, 1987, and will be closing their SWF on May 31, 2011. Southern California Off-Track Wagering Inc. (SCOTWINC) has negotiated a lease with the 37th District Agricultural Association to operate the prior SWF as a minisatellite facility in the interim, until a minisatellite located in the area is licensed and operational, or until the existing lease expires. SCOTWINC filed an application for a license to operate a minisatellite wagering facility at The Horse Man's Club in Santa Maria, California. The applicant proposes to begin minisatellite operations June 1, 2011.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the board.

- SCOTWINC has provided there is no racetrack(s), satellite wagering facility or tribal casino that has a satellite wagering facility located within 20 radius miles of the applicant.
- Southern California Off-Track Wagering, Inc. has contracts/agreement with the following racing associations:
 - California Authority of Racing Fairs
 - California State Fair and Exposition
 - Del Mar Thoroughbred Club
 - Hollywood Park Racing Association
 - Los Alamitos Quarter Horse Racing Association
 - Los Angeles County Fair
 - Los Angeles Turf Club
 - Oak Tree Racing Association
 - Pacific Racing Association
- The simulcast organization engaged by the contracted association(s) to conduct simulcast wagering is Southern California Off Track Wagering, Inc. (SCOTWINC).
- Applicant proposes to operate minisatellite wagering site Wednesday through Sunday and selected Mondays and holidays. Opening 10:30 a.m. - 10:00 p.m.
- Estimated number of pari-mutuel terminals machines available: 20. Seating Capacity is 400; the number of tables in the minisatellite wagering area is 46. Twelve 36 inch TVs and four 80 inch TVs, Dish Network and receivers.

- Staffing: 1 Mutuel Supervisor who will also act as facility supervisor; 1 Admission Clerk; 1 Concessions server; 1 Security Guard and additional mutual clerks as needed.
- SCOTWINCs 2010 Audited Annual Financial Statement is in the process of being completed. The applicant has stated when the document is available a copy will be forwarded to the Board.

The submitted application contains outstanding documents. However, given the deadlines and consequences the application was authorized by the Chairman to be presented to the Board for consideration.

Specific information still needed to complete this application include:

1. 2010 Financials
2. Fire clearance
3. Lease

RECOMMENDATION:

Staff recommends the Board hear from the applicant.

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items and recommends the applicant be required to appear again before the Board to address the status of the outstanding documents and to remove the contingency status from the Board's approval.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHR-88 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

PART I
MINISATELLITE WAGERING APPLICANT INFORMATION

APPLICANT INFORMATION		
Name of applicant: Southern California Off Track Wagering Inc.		
The applicant is:		
<input type="checkbox"/> Racing Association	<input type="checkbox"/> Card Room/Gambling Establishment	
<input type="checkbox"/> Fair	<input type="checkbox"/> Restaurant/Bar	
<input type="checkbox"/> Federally Recognized Indian Tribe	<input checked="" type="checkbox"/> Other Business Entity	
Facility street address: 937 S. Thornburg Street (Santa Maria Fairpark)		
Mailing address (if different from above): 4961 Katella Ave. Los Alamitos, CA 90720		
E-mail address: ccrampton@chrims.com		
City: Santa Maria	State: CA	Zip Code: 93458
Phone: (805) 346-2425	Fax: (805) 922-4135	Website: http://www.santamariafairpark.com/satellite-wagering/
County: Santa Barbara		Zone Location: North <input type="checkbox"/> South <input type="checkbox"/> Central <input checked="" type="checkbox"/>
(To be completed by CHRB staff)		
CONTACT PERSON (Authorized Representative)		
Name and title of the contact person: Craig Crampton - CFO		
Business street address: 4961 Katella Ave. Los Alamitos, CA 90720		
Mailing address (if different from above):		
City: Los Alamitos County: Orange	State: CA	Zip Code: 90720

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Phone: (714) 761-1660 | E-mail: ccrampton@chrims.com | Fax: (714) 761-9082

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

Beginning June 1 and continuing on an interim basis until another duly licensed mini-satellite opens in the area or the current lease of the facility expires.

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes No X

Do you currently operate a licensed gaming operation in California or another state? Yes No X

If yes to either question above, provide the following:

Valid licensure period: Begin Date: End Date:

Facility name and address:

City: State: Zip Code:

County:

Zone Location:
 North South Central
 (To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes No X

If yes, provide the following if different from above:

Facility name and address:

City: State: Zip Code:

Racing Jurisdiction:

BUSINESS STRUCTURE

<input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C Limited Partnership <input type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership & Joint Venture <input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
---	--	--

Registered Business Name: Southern California Off Track Wagering Inc.

Fictitious Business Name:

Address: 4961 Katella Ave.

E-mail Address: ccrampton@chrims.com

City: Los Alamitos | State: CA | Zip Code: 90720

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHR8-88 (New 11/08)

Phone: (714) 761-1660

Fax: (714) 761-9082

State where registered or

Articles of Organization are filed: CA

Registry or File number:

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
See attached			

Are shares listed for public trade?

Yes No

If yes on what stock exchange?

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.

All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

MANAGEMENT AND STAFF
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
John Tintle	Mutuel and Facility Supervisor

ASSOCIATION CONTRACT/AGREEMENT

Name(s) of racing association(s) with whom you intend to have a contract or agreement:

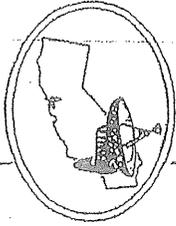
See Exhibit C

Addresses of racing association(s):

Exhibit C

Racing association(s) phone numbers:

Exhibit C



*Southern California
Off-Track Wagering, Inc.*



To: CHRB Staff
 From: Craig Crampton
 Date: 5/18/2011
 Re: Santa Maria Fairpark Exhibit C

1. Applicant - SCOTWINC

2. Proposed Dates

Beginning June 1 and continuing on an interim basis until another duly licensed mini-satellite opens in the area or the current lease of the facility expires.

3. Name of Simulcast Organization

Southern California Off Track Wagering, Inc.
 4961 Katella Ave.
 Los Alamitos, CA 90720
 (714) 761-1660
 Craig Crampton - CFO

4. California Racing Associations

Cal Expo
 1600 Exposition Blvd.
 Sacramento, CA 95815
 (916) 263-3000

CARF
 1776 Tribute Rd. Suite 205
 Sacramento, CA 95815
 (916) 927-7223

Del Mar Thoroughbred Club
 2260 Jimmy Durante Blvd.
 Del Mar, CA 92014
 (858) 755-1141

Fairplex (L.A. County Fair)
 1101 W. McKinley Ave.
 Pomona, CA 91768
 (909) 426-7600

Golden Gate Fields
 1100 E. Shore Highway
 Albany, CA 94710
 (510) 559-7430

Hollywood Park
 1050 S. Prairie Ave.
 Inglewood, CA 90301
 (310) 419-1500

Los Alamitos
 4961 Katella Ave.
 Los Alamitos, CA 90720
 (714) 820-2760

Los Angeles Turf Club (Santa Anita)
 285 W. Huntington Dr.
 Arcadia, CA 91007
 (626) 574-7223

Oak Tree Racing Association
 285 W. Huntington Dr.
 Arcadia, CA 91007
 (626) 574-7223



*Southern California
Off-Track Wagering, Inc.*



5. Out-of-State Import Races (Full/Partial Cards/Stakes Races)

Arlington/Hawthorne
Balmoral/Maywood
Calder/Gulfstream
Churchill Downs/Keeneland/Turfway
Laurel/Pimlico
Meadowlands/Monmouth
Northfield
NYRA
Remington
Retama/Sam Houston/Lone Star
Turfway Park
Turf Paradise
Woodbine
Hastings
South America

***The mini-satellite will offer the same imports that the host track and other satellites offer and the Host Racing Association will determine the schedule and signals.

6. SCOTWINC Mutuel Staffing

We will start out with a mutuel supervisor who will also be acting as the facility supervisor. Also, there will be an Admissions clerk, Concession server, Security Guard, plus additional mutuel clerk(s) as needed.

7. Security Staffing

Day-to-day security will be provided by security personnel currently employed by Santa Maria Fairpark who will become hourly employees of SCOTWINC. The California Highway Patrol Santa Maria office has jurisdiction over the Fairpark. Their contact number is (805) 349-8728. The non-emergency dispatch center is located in San Luis Obispo and their number is (805) 593-3333.

Security guard: Victor Morales
405 W. Cook St. apt B
Santa Maria, Ca. 93458
(805) 346-2426- satellite phone number

Fairpark CEO: Dennis Pearson
937 So. Thornburg St.
Santa Maria, CA 93458
(805) 925-8824 Extension 105

8. Description of Facility

The Horse Man's Club is located within the enclosure of the Santa Maria Fair complex. The same facility that has been used for satellite wagering for years is comprised of one large, rectangular room of approximately 2,000 square feet room with multiple round and rectangular tables. Also located in the main room is a concession stand, the mutuel line, and, adjacent to the main room, restrooms, the audio-visual control room, money room and various utility rooms (see accompanying photos).

SCOTWING

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

Racing association(s) phone numbers:

Proposed contract dates: From: _____ To: _____ (inclusive).

See Exhibit C _____

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

CHRB CERTIFICATION

Application filed on: 5/17/11

30-day Notice Letter: 5/17/11

Fee received by: *ape*

Approved on:

Reviewed by: *ape*

License number issued:

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name Craig Crampton	Signature of Applicant Representative <i>Craig Crampton</i>
Title CFO	Date: 5/16/11

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB -88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

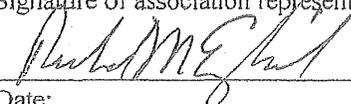
Los Alamitos Quarter Horse Racing Assoc. 4961 Katella Ave. Los Alamitos, CA 90720

Telephone:
(714) 820-2810

Fax number: (714) 820-2811

Racetrack name:
Los Alamitos

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Richard M. English	Signature of association representative 
Title Chairman	Date: May 13, 2011

TRACKS

CALIFORNIA AUTHORITY OF RACING FAIRS

By _____

Title _____

CALIFORNIA EXPOSITION AND STATE FAIR.

By _____

Title _____

DEL MAR THOROUGHBRED CLUB

By _____

Title _____

HOLLYWOOD PARK RACING ASSOCIATION

By _____

Title _____

HOLLYWOOD PARK FALL RACING ASSOCIATION

By _____

Title _____

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

By *Paul M. Ely*

Title Chairman

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB -88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association.

Name and mailing address of association:

Golden Gate Fields
 1100 Eastshore Hwy. Albany, CA 94706

Telephone:
 (510) 559-7223

Fax number: (510) 559-7474

Racetrack name:
 Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
 Robert Hartman

Signature of association representative

Title

Date:

General Manager

5/14/11

LOS ANGELES COUNTY FAIR

By _____

Title _____

LOS ANGELES TURF CLUB

By _____

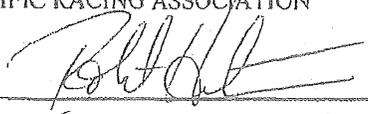
Title _____

OAK TREE RACING ASSOCIATION

By _____

Title _____

PACIFIC RACING ASSOCIATION

By 

Title General Manager

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB -88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION
 One copy of Part II shall be completed by each contracted association.

Name and mailing address of association:

Oak Tree Racing Association 285 W. Huntington Dr. Arcadia, CA 91007

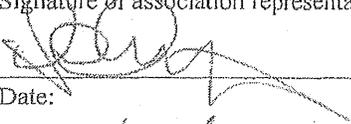
Telephone:
 (626) 574-6345

Fax number: (626) 447-2940

Racetrack name:

Oak Tree at Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Sherwood C. Chillingworth	
Title	Date:
Executive Director	5/12/11

LOS ANGELES COUNTY FAIR

By _____

Title _____

LOS ANGELES TURF CLUB

By *[Signature]*

Title PRESIDENT

OAK TREE RACING ASSOCIATION

By *[Signature]*

Title Exec V.P.

PACIFIC RACING ASSOCIATION

By *[Signature]*

Title PRESIDENT

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB -88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

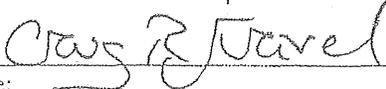
Del Mar Thoroughbred Club 2260 Jimmy Durante Blvd. Del Mar, CA 92014

Telephone:
 (858) 792-4221

Fax number: (858) 794-1007

Racetrack name:
 Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Craig Favel	
Title	Date:
Executive Vice President	5/13/11

TRACKS

CALIFORNIA AUTHORITY OF RACING FAIRS

By _____

Title _____

CALIFORNIA EXPOSITION AND STATE FAIR.

By _____

Title _____

DEL MAR THOROUGHBRED CLUB

By Greg R. Travel

Title President

HOLLYWOOD PARK RACING ASSOCIATION

By _____

Title _____

HOLLYWOOD PARK FALL RACING ASSOCIATION

By _____

Title _____

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

By _____

Title _____

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB -88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Angeles Turf Club, Inc. 285 W. Huntington Dr. Arcadia, CA 91007

Telephone:
(626) 574-7223

Fax number: (626) 254-1351

Racetrack name:
Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

George Haines

Title

President

Signature of association representative



Date:

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB -88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association.

Name and mailing address of association:

Los Angeles County Fair Association 1101 W. McKinley Ave. Pomona, CA 91768

Telephone:
(909) 865-4203

Fax number: (909) 865-2940

Racetrack name:
Fairplex Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Mike Seder

Title

CFO

Signature of association representative

Date:

5-14-11

LOS ANGELES COUNTY FAIR

By [Signature]
Title CFO

LOS ANGELES TURF CLUB

By _____
Title _____

OAK TREE RACING ASSOCIATION

By _____
Title _____

PACIFIC RACING ASSOCIATION

By _____
Title _____

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

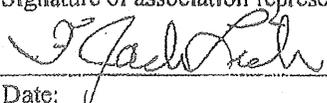
Hollywood Park Racing Association 1050 S. Prairie Ave. Inglewood, CA 90301

Telephone:
(310) 419-1520

Fax number: (310) 671-4460

Racetrack name:
Hollywood Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name F. Jack Liebau	Signature of association representative 
Title President	Date:

TRACKS

CALIFORNIA AUTHORITY OF RACING FAIRS

By _____

Title _____

CALIFORNIA EXPOSITION AND STATE FAIR.

By _____

Title _____

DEL MAR THOROUGHBRED CLUB

By _____

Title _____

HOLLYWOOD PARK RACING ASSOCIATION

By *F. Jack Rubin*

Title *Pres.*

HOLLYWOOD PARK FALL RACING ASSOCIATION

By *F. Jack Rubin*

Title *Pres.*

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

By _____

Title _____

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB -88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

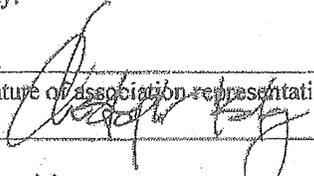
California Authority of Racing Fairs
 1776 Tribute Rd. Suite 205 Sacramento, CA 95815

Telephone:
 (916) 263-3348

Fax number: (916) 263-3341

Racetrack name:
 C.A.R.F.

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Christopher Korby	
Title	Date:
Executive Director	May 16, 2011

Santa Maria
Background SWF

TRACKS

CALIFORNIA AUTHORITY OF RACING FAIRS

By [Signature]

Title Executive Director

CALIFORNIA EXPOSITION AND STATE FAIR.

By _____

Title _____

DEL MAR THOROUGHBRED CLUB

By _____

Title _____

HOLLYWOOD PARK RACING ASSOCIATION

By _____

Title _____

HOLLYWOOD PARK FALL RACING ASSOCIATION

By _____

Title _____

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

By _____

Title _____

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB -88 (New 7/08)

PART II

CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

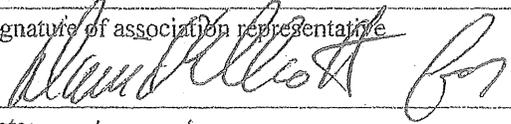
California State Fair & Exposition 1600 Exposition Blvd. Sacramento, CA 95815

Telephone:
(916) 263-3000

Fax number: (916) 263-3230

Racetrack name:
Cal Expo

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Norbert J. Bartosik	Signature of association representative 
Title General Manager	Date: 5/17/11

TRACKS

CALIFORNIA AUTHORITY OF RACING FAIRS

By _____

Title _____

CALIFORNIA EXPOSITION AND STATE FAIR.

By David Elliott

Title Director of Racing

DEL MAR THOROUGHBRED CLUB

By _____

Title _____

HOLLYWOOD PARK RACING ASSOCIATION

By _____

Title _____

HOLLYWOOD PARK FALL RACING ASSOCIATION

By _____

Title _____

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

By _____

Title _____

**PART III
SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

See Attached

Submit a copy of each horsemen's written approvals.

See Attached

Hours for operation of the facility: **10:30 a.m. – 10:00 p.m.**

Hours for operation of the minisatellite wagering site: **10:30 a.m. – 10:00 p.m. (7 a.m. on selected days)**

Racing Days and some Nights (generally Wed-Sun but also selected Mondays and Holidays)

Time periods during the calendar year the facility will not be utilized as a minisatellite wagering facility (explain why): **NONE**

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

See Exhibit C		
----------------------	--	--

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See Exhibit C**

Estimated number of pari-mutuel terminals machines available:
20

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). **See Exhibit C**

II. SUPERVISION, SECURITY AND FIRE PREVENTION
Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
John Tintle	108350 11/2013



*Southern California
Off-Track Wagering, Inc.*



SOUTHERN CALIFORNIA OFF-TRACK WAGERING, INC.

RESOLUTION

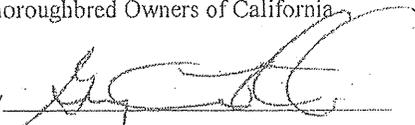
At its meeting of March 25, 2011, the SCOTWINC Board of Directors unanimously approved a resolution authorizing management to execute a lease and operate a mini-satellite at the Santa Barbara County Fair (also known as Santa Maria Fairpark) according to the terms of the lease and subject to the approval by the California Horse Racing Board.

Craig J. Crampton
Secretary/Treasurer

HORSEMEN'S APPROVALS FOR MINI-SATELLITE

Thoroughbred Horsemen

Thoroughbred Owners of California

By 

Title EVP and COO

Quarter Horse Horsemen

Pacific Quarter Horse Racing Association

By _____

Title _____

Standardbred Horsemen

California Harness Horsemen's Association

By _____

Title _____

HORSEMEN'S APPROVALS FOR MINI-SATELLITE

Thoroughbred Horsemen

Thoroughbred Owners of California

By _____

Title _____

Quarter Horse Horsemen

Pacific Quarter Horse Racing Association

By _____

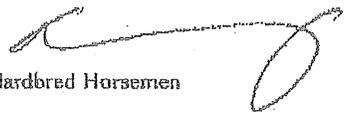
Title _____

Standardbred Horsemen

California Harness Horsemen's Association

By ALAN HOROWITZ

Title EXECUTIVE DIRECTOR



HORSEMEN'S APPROVALS FOR MINI-SATELLITE

Thoroughbred Horsemen

Thoroughbred Owners of California

By _____

Title _____

Quarter Horse Horsemen

Pacific Quarter Horse Racing Association

By *Demetrius A. Lewis*

Title *President*

Standardbred Horsemen

California Harness Horsemen's Association

By _____

Title _____

State of California
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Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):

On file

Attach a fire clearance from the fire authority having jurisdiction. **To come from State Fire Marshall**

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. **Exhibit C**

Is there a backup emergency plan for power failure? Yes No X

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. **See attached. Only patrons over the age of 21 are allowed in the facility.**

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): **Short order grill**

The seating capacity in the minisatellite wagering facility is: **approx 400**

The number of tables in the minisatellite wagering area is: **46**

Overall square footage in the minisatellite wagering area is:
7840

Attach a photograph of the minisatellite wagering area.
Attached

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction:
750 standing/400 banquet

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): **300**

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: **Santa Barbara County Fair**

V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility:

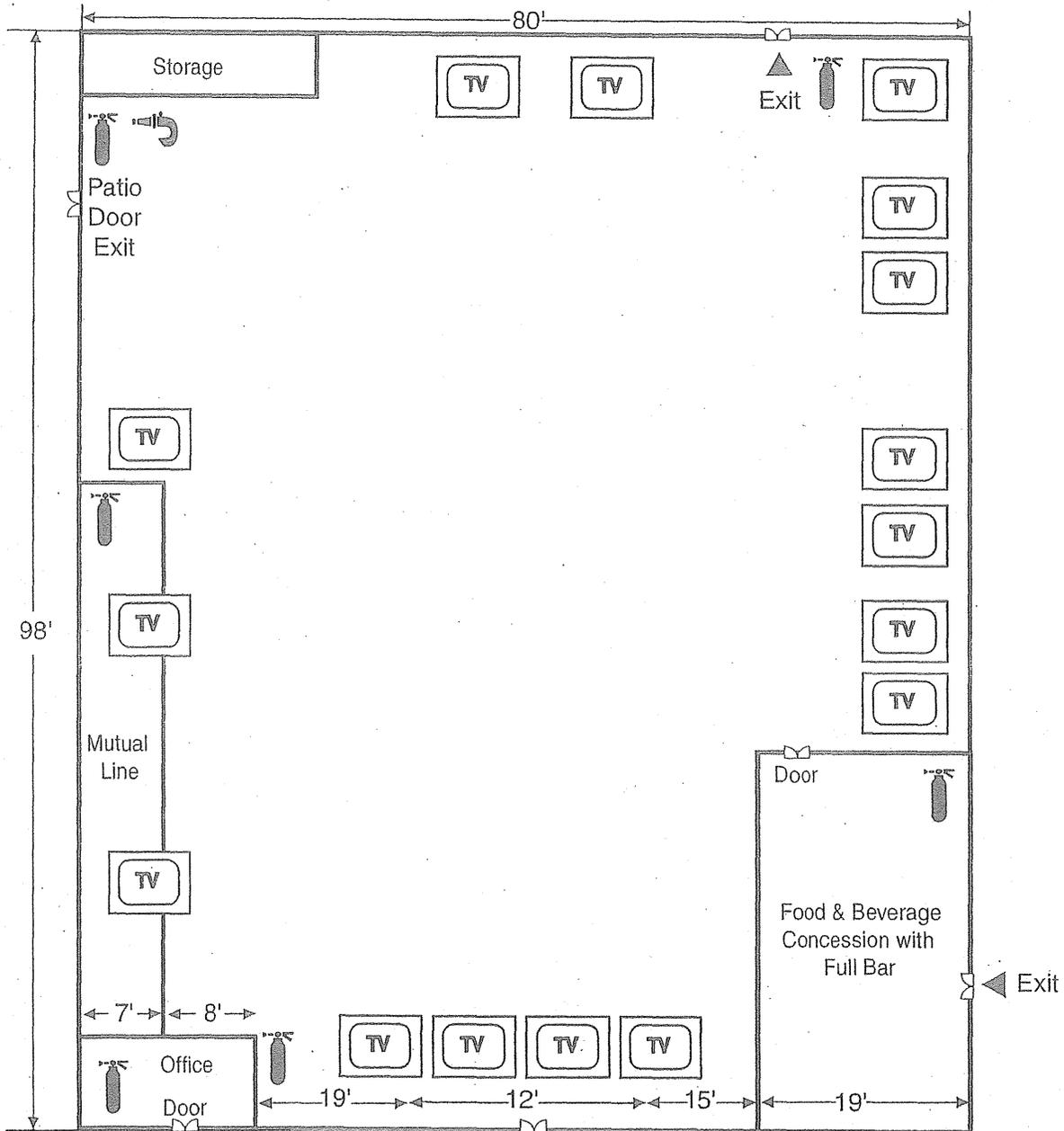
Dish Network dish and receivers, NYRA receiver, twelve 36-inch TVs and four 80-inch TVs

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:

One wireless and one wired microphone with speakers through-out the building

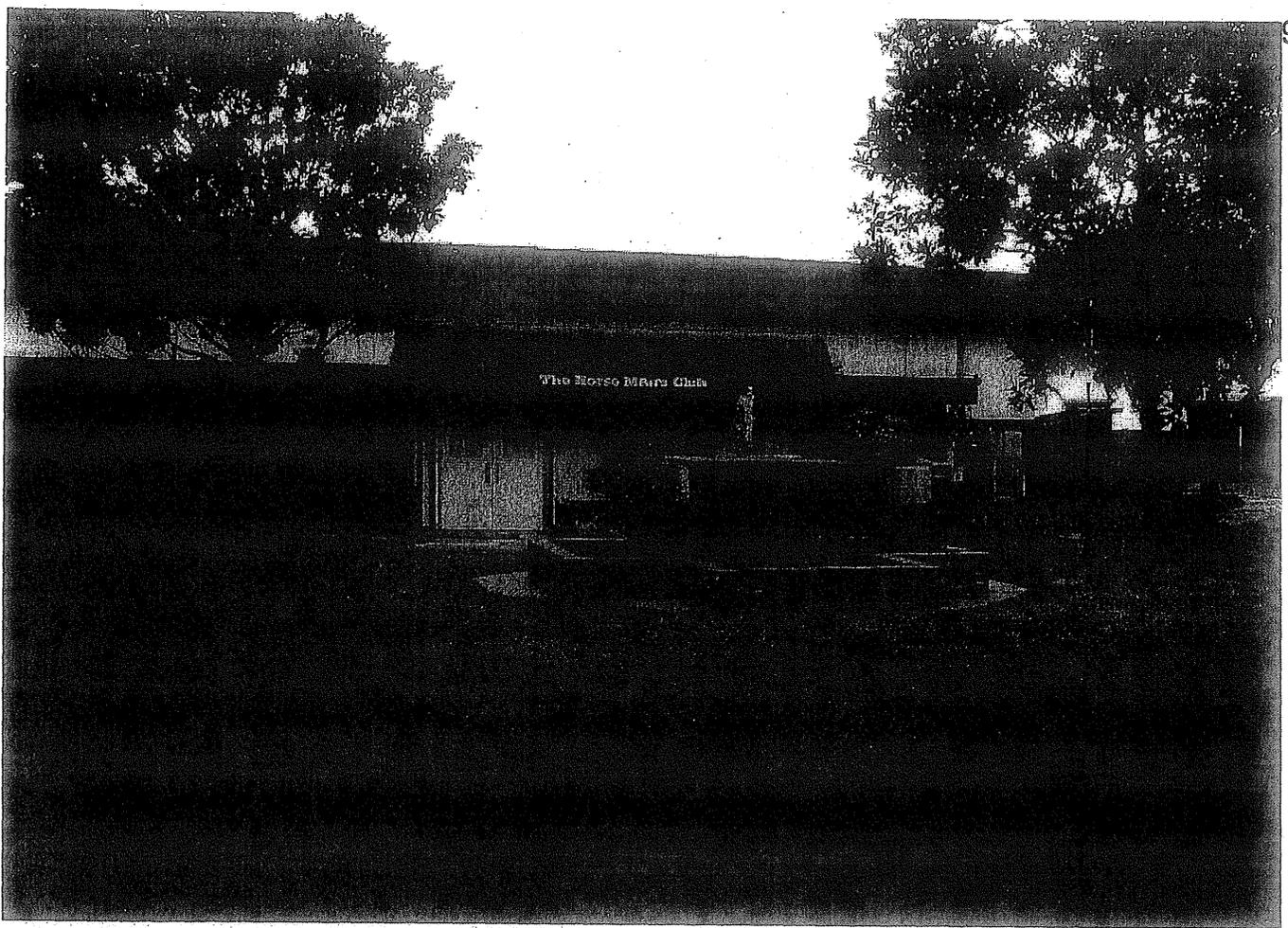
Satellite Wagering Facility

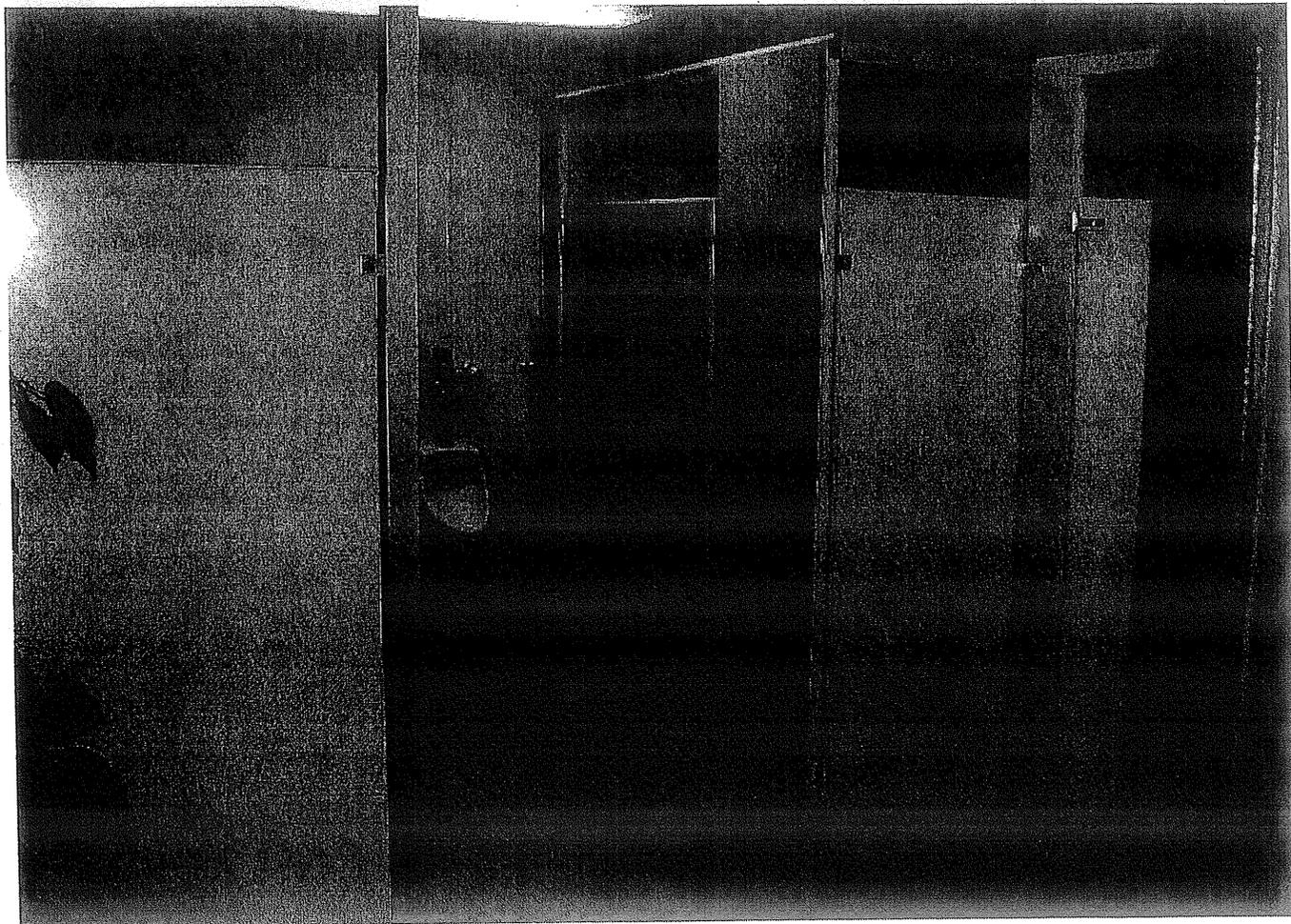
Santa Maria Watch & Wager

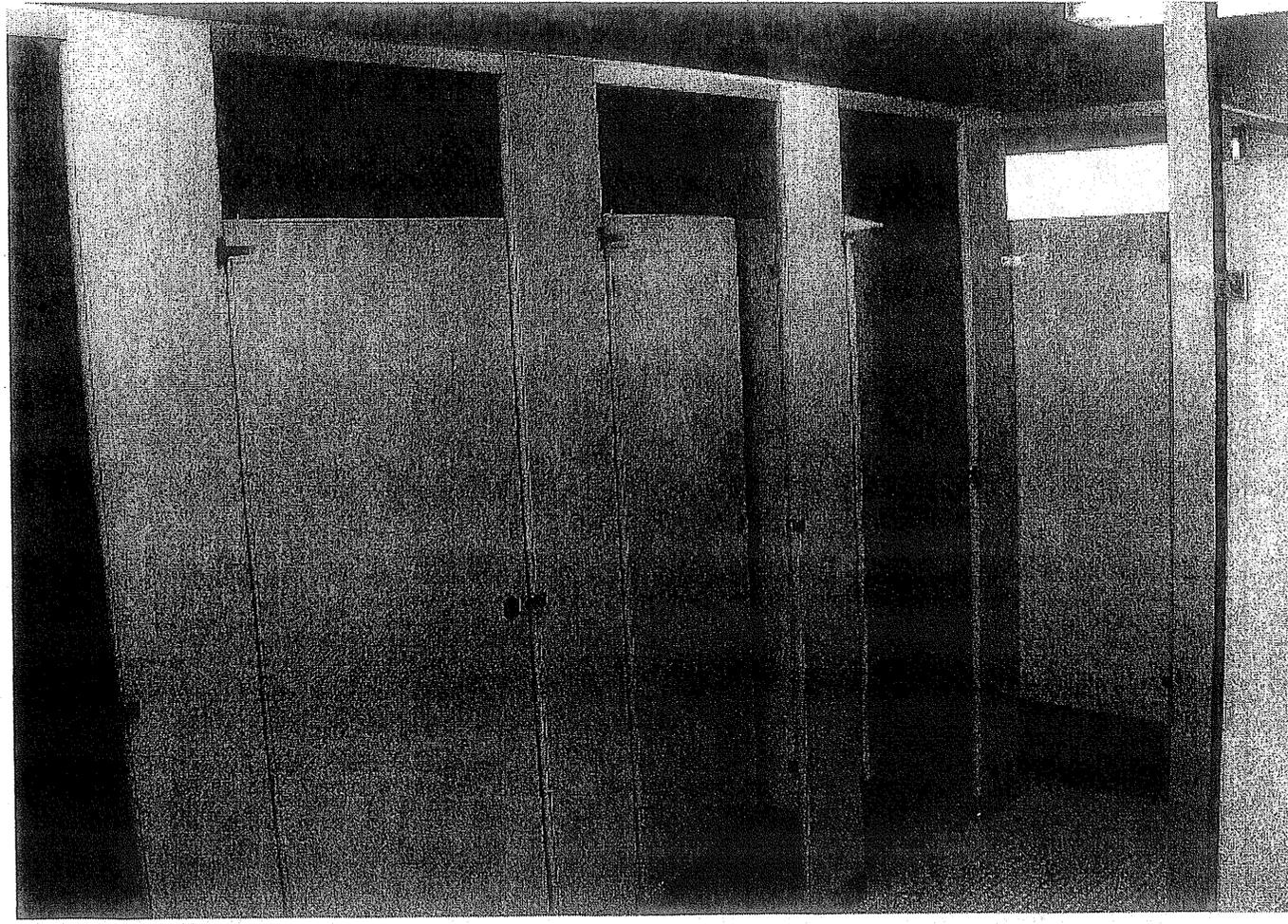
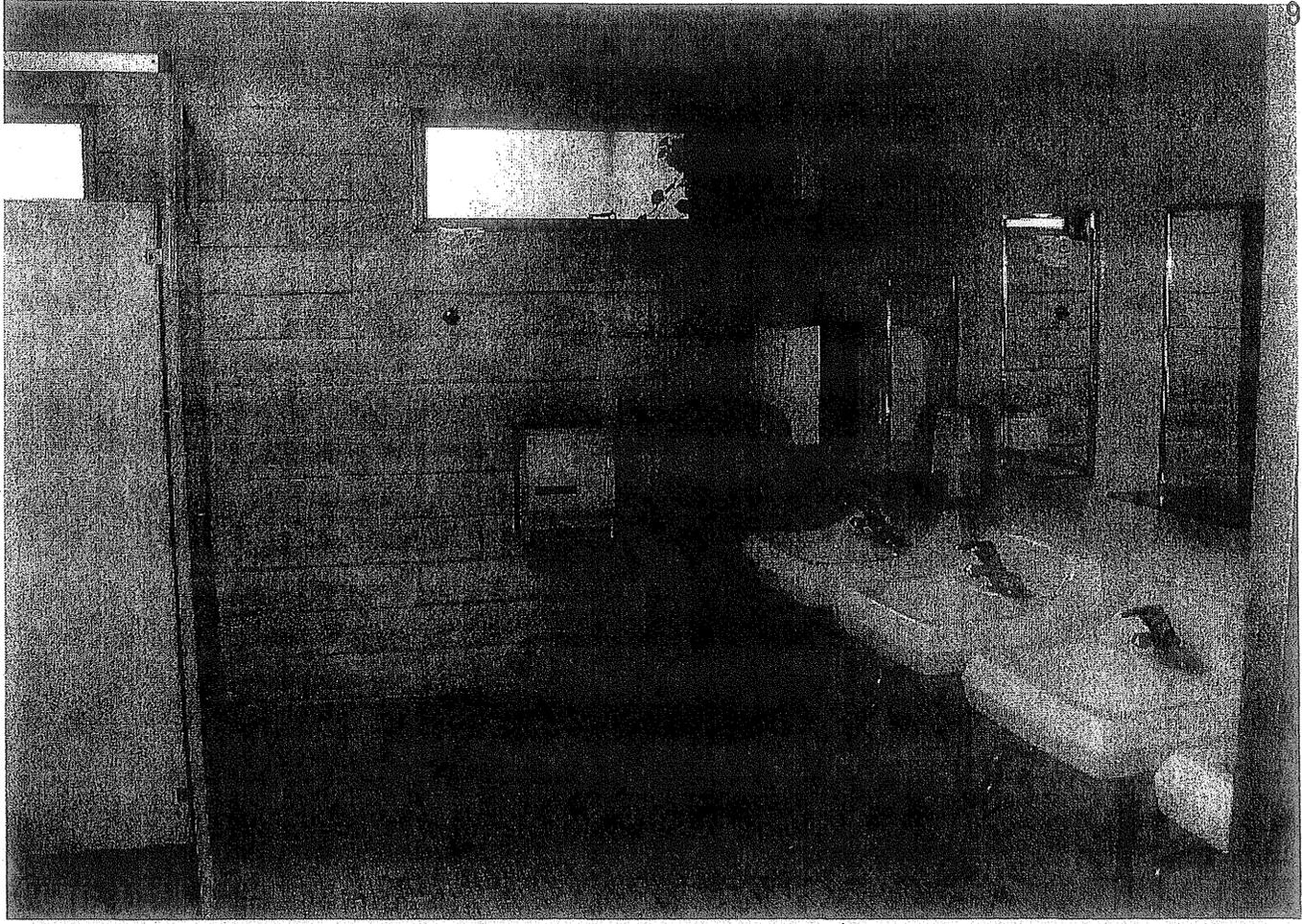


**NON-SMOKING FACILITY
WITH OUTDOOR SMOKER'S PATIO**
Capacity: 750 Standing / 400 Banquet

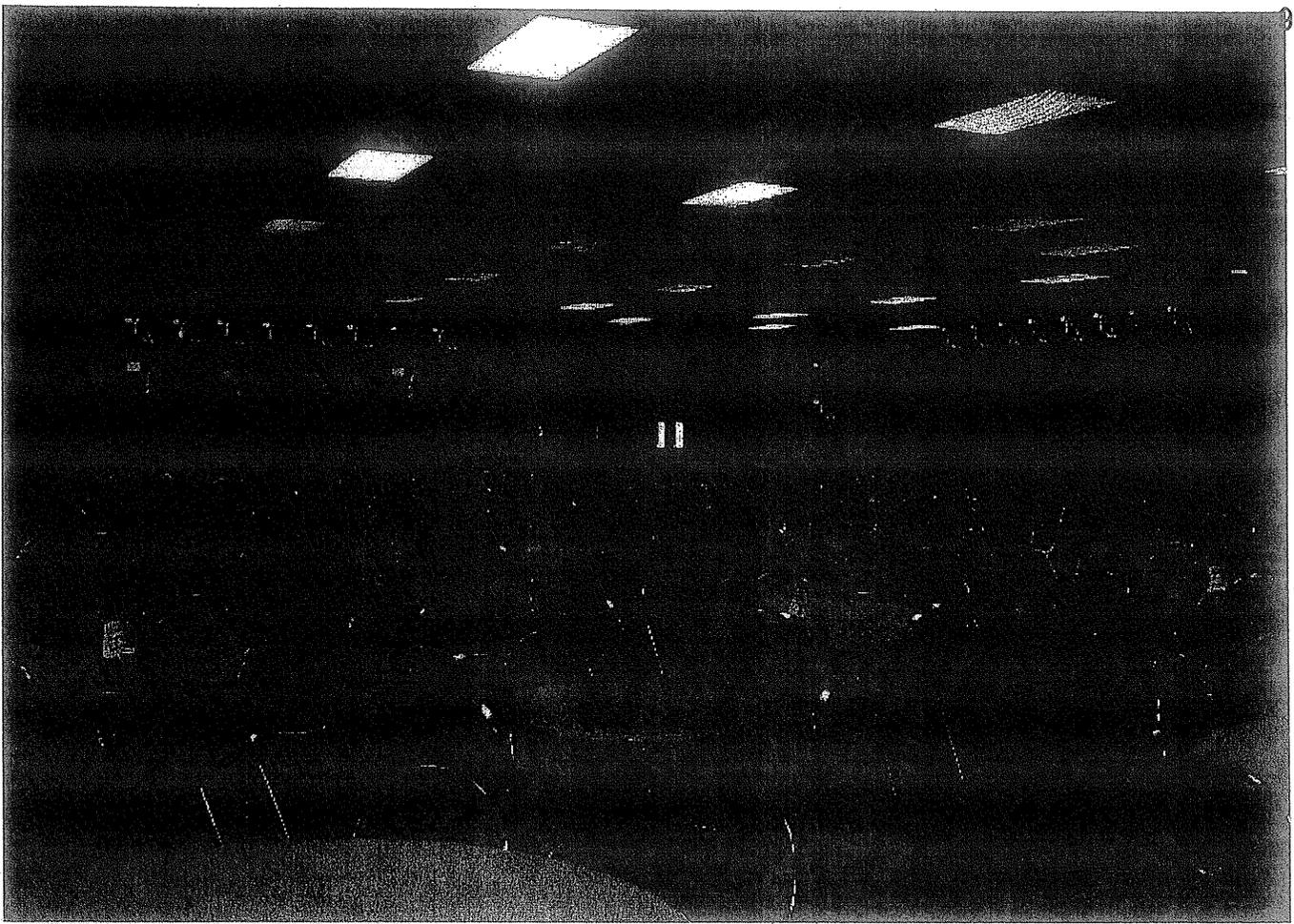
-  Phone
-  Fire Hose
-  Fire Extinguisher

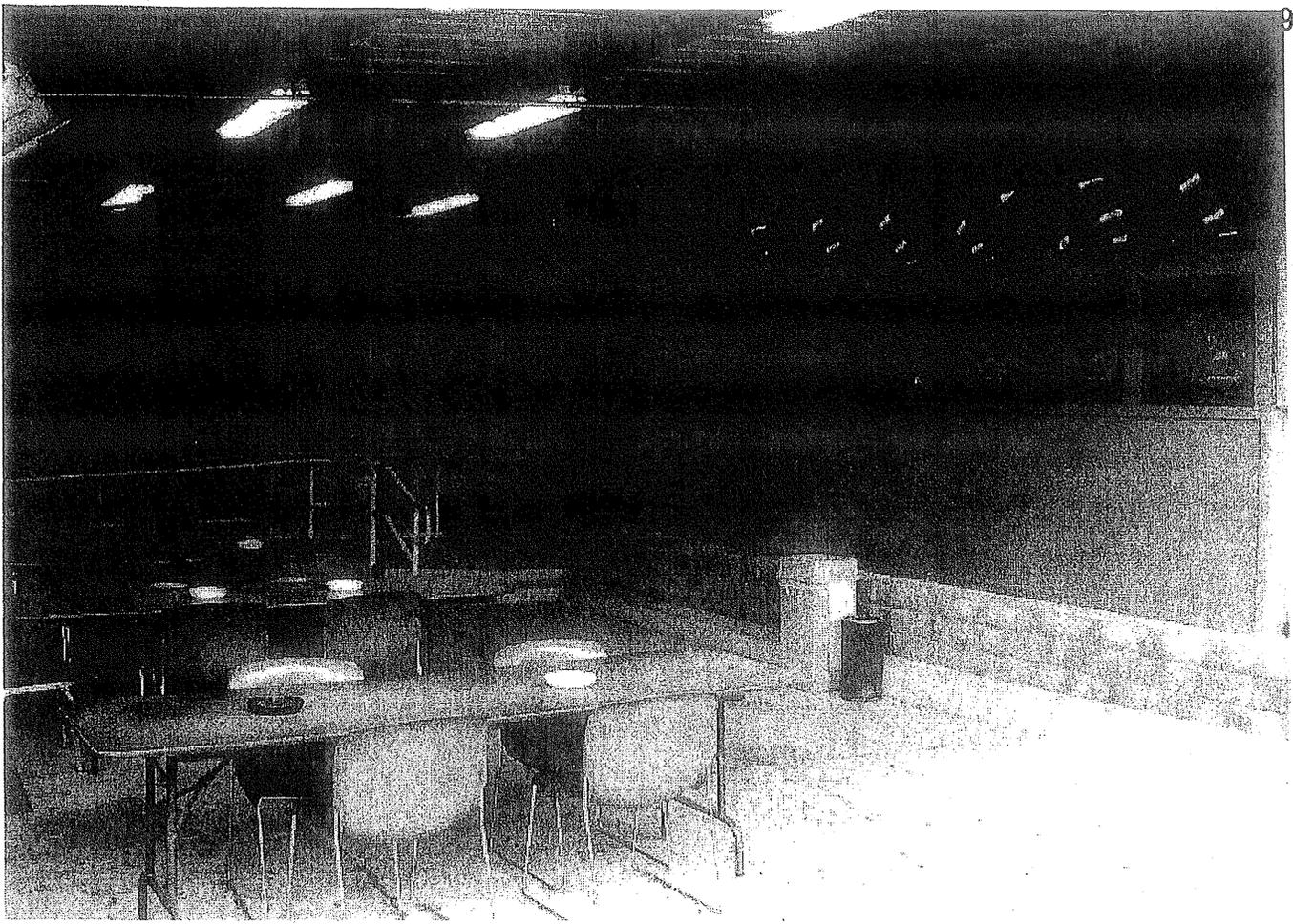












VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: An advertising campaign utilizing print, radio and direct mail will be placed in the local market in conjunction with the anticipated opening of an alternate minisatellite in the area.

Describe any improvements to the facility that will directly benefit minisatellite wagering: None

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	\$5
Parking charges, if any, are:	N/A
Program charges, if any, are:	Published fee
Seating charges, if any, are:	N/A

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?
N/A

AGREEMENTS

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

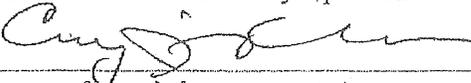
All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative: Craig Crampton	Date:
Signature of minisatellite facility representative: 	Date: 5/16/11
Print name of association representative: Craig Fravel, President (Del Mar)	Date:
Signature of association representative:	Date:

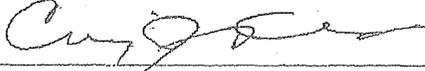
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Print name of simulcast organization representative:

Date:

Craig Crampton (SCOTWING)

Signature of simulcast organization representative:



Date: 5/16/11

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING
THE MISSION STATEMENT OF
THE CALIFORNIA HORSE RACING BOARD

Regular Board Meeting
May 26, 2011

BACKGROUND

Several CHRB Mission Statements have been drafted over the years, each with minor changes, resulting in inconsistencies. The Board is asked to approve one Mission Statement that will be used for all purposes.

The attached CHRB Mission Statement is submitted for your review and approval.

RECOMMENDATION

The item is presented for discussion and action.

CHRB MISSION STATEMENT

The mission of the California Horse Racing Board is to ensure the integrity, viability, and safety of the California horse racing industry by regulating pari-mutuel wagering for the protection of the public, promoting horse racing, breeding, and wagering opportunities, and fostering safe racing through the development and enforcement of track safety standards and regulations for the health and welfare of all participants.

STAFF ANALYSIS

APPROVAL OF CONTRACTS FOR 2011/12

STEWARD, HEARING OFFICER,
VETERINARIANS, DRUG TESTING
AND SERVICE CONTRACTS

Regular Board Meeting
May 26, 2011

BACKGROUND

Pursuant to Section 2.06 of the State Contracting Manual, state boards and commissions with contracts in excess of \$5,000, must provide a copy of a motion approving and authorizing execution of the agreement/contract.

ANALYSIS

The attached list of service contracts include a one-year extension to routine/out of competition drug testing as a combined single contract. The service components of the combined contract will continue the expanded drug testing strategies specific to each program, focusing the out of competition program on providing an effective method of serving as a deterrent; while the routine testing segment will continue to analyze samples, testing for chemical findings indicative of the presence of a drug substance foreign to the horse. The cost per sample tested remains unchanged.

UCD has recommended a few contract language clean up changes to the postmortem contract program, involving some cost increases that are reflected in the attached annual cost. The Equine Medical Director contract will remain unchanged, extending one additional year at the same annual rate. Last month the Board approved both a \$950,000 multiyear contract with the J.D. Wheat Laboratory at UC Davis to work in concert with the current postmortem program and \$250,000 for race track soil testing conducted by the Racing Surfaces Testing Laboratory in Maine. Because both have received Board approval last month, neither is a part of this analysis.

Included is a list of proposed Steward, Hearing Officer, and Official Veterinarian contractors with estimated annual costs. Hearing Officer contract costs are based on an estimated caseload using the previous fiscal year as a guide. All steward and each veterinarian program contract costs are estimates based on proposed assignments for the remainder of the 2011 racing calendar and for the first half of the 2012 racing year. No assignment guarantees are assumed based on the attached list.

RECOMMENDATION

Staff recommends the Board approve the attached list of steward, official veterinarian, hearing officer and various service contracts for Fiscal Year 2011/12.

2011/2012 CONTRACTS – ESTIMATED COSTS

SERVICE CONTRACTS	STEWARD SERVICES
<p><u>REGENTS, UNIVERSITY OF CA – DAVIS</u></p> <p>A) Combined Drug Testing 1 year extension \$1,987,250</p> <p>B) Postmortem Exams 1 year extension \$ 297,,000</p> <p>C) Equine Medical Director 1 year extension \$ 303,000</p> <p><u>HEARING OFFICERS</u></p> <p>A) Scott Chaney \$25,000 B) Steffan Imhoff \$25,000 C) Richard “Bon” Smith \$ 5,000 D) Richard Margarita \$10,000</p> <p>Ehlert Business Group -court reporting 1 year extension \$20,000</p> <p>State Controllers Office Expedite Claims Processing \$10,000</p> <p>Greg On Camera Webcasting \$24,000</p> <p>Western Weather Group Weather station maintenance \$12,000</p> <p>Cal Expo/State Fair Walk in freezer storage space \$ 5,500</p> <p>Capture Technologies Photo ID system maintenance \$ 9,000</p>	<p>Grant Baker Charles “Scott” Chaney Albert Christiansen James Dreyer John Herbuveaux Luis Jauregui Darrel McHargue Brent McLaren Thomas McCarthy William Meyers Ruben Moreno Dennis Nevin Paul Nicolo Michael W. “Wayne” Oke Jeffrey Salmon Patricia “Kim” Sawyer George Slender Victor Stauffer Thomas Ward Randall Winick</p> <p>TOTAL CONTRACTS: \$ 2,200,000.00</p>
	<p><u>OFFICIAL VETERINARIANS</u></p> <p>Dr. Jill Bailey Dr. Gary Beck Dr. William Bell Dr. Donald Dooley Dr. Forrest Franklin Dr. Robert Goodbary Dr. Barrie Grant Dr. Sidney Gustafson Dr. Diane Isbell Dr. Heather Kerr</p> <p>TOTAL CONTRACTS: \$ 520,000.00</p>