

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825



REPORT OF THE BOARD OF STEWARDS

LOS ANGELES TURF CLUB, Inc. Santa Anita Autumn Meet

To the California Horse Racing Board

Honorable Edmund G. Brown Jr., Governor
Honorable Chuck Winner, Chairman
Honorable Madeline Auerbach, Member
Honorable Steve Beneto, Member
Honorable Jesse H. Choper, Member
Honorable George Krikorian, Member
Honorable Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
Robert B. Miller, Chief Counsel CHRB
Darrel McHargue, Chief Steward

Week 3 – Friday, October 14, 2016 – Sunday, October 16, 2016

WEDNESDAY

10/12/16

ENTRIES

Steward KIM SAWYER was present to oversee entries for Saturday.

THURSDAY

10/13/16

ENTRIES AND SCRATCHES

Steward KIM SAWYER was present to oversee entries for Sunday and scratches for Friday's card.

FRIDAY

10/14/16¹

BALTAS HEARINGS

We held a formal hearing into case number 16LT013 which was a complaint filed by the CHRB against trainer RICHARD BALTAS alleging violation of a few CHRB rules stemming from an incident during which Mr. Baltas allegedly transferred a horse to another trainer to race because he already had two horses for the same race. The CHRB was represented by investigator JAMES HAMILTON and Mr. Baltas was represented by attorney STEVE SCHWARTZ. The proceeding was recorded by MICHELLE DERIEG. At the outset, Steward SAWYER recused herself because she was involved in the initial investigation of what had happened. Safety Steward PAUL ATKINSON substituted. Evidence revealed that Mr. Baltas had two horses for a particular race and told another set of owners that he could therefore not run their horse in that race. On entry day, the owners "fired" Baltas and transferred the horse to trainer GEORGE PAPAPRODROMOU. Sometime after entry, the horse was treated by Mr. Baltas' veterinarian and eventually was shipped to Del Mar to Mr. Papaprodromou's barn. After the race, he was shipped back to the barn of Baltas at San Luis Rey Downs and another trainer transfer was effectuated. It is clear to this Board of Stewards that the owners and Mr. Baltas inappropriately transferred the horse in order to get around the rules but the CHRB was unable to prove a rule violation with a preponderance of the evidence. We therefore reluctantly dismissed the complaint. Mr. Baltas also had a second complaint (case number 16LT011) which alleged that he worked a horse in the first 72 hours of the horse

being placed on the Veterinarian's list as sick. Mr. Baltas explained that it was a mistake an accepted the ruling which will be published tomorrow.

10/14/16²**TRAINER OBJECTION/NO CHANGE**

Following the running of the sixth race, trainer VLADIMIR CERIN (second place finisher #3 CONQUEST SO TRUE) lodged a claim of foul against the winner for interference in the stretch. The two horses raced side by side but video revealed no evidence of interference. The objection was quickly rejected and the race was made official.

10/14/16³**DECLARATIONS, CHANGES AND REFUNDS**

1st Race No changes; Refund \$23.00
 2nd Race No changes; Refund \$60.00
 3rd Race No changes; Refund \$15.00
 4th Race #4 DJIBOUTI – Veterinarian scratch, sick – Dr. Farmer
 Refund \$251.60
 5th Race #9 SOMETHINGS UNUSUAL – Stewards' scratch, also eligible
 #7 LIFE'S JOURNEY – vanned off, turf – M. Schwizer, trainer
 Refund \$506.60
 6th Race No changes; Refund \$32.00
 7th Race No changes; Refund \$67.00
 8th Race #6 JUST GOT LUCKY – Veterinarian scratch, unsound – Dr. Stead
 #2 NAZARETH – Claimed – J. Nehf, trainer
 #7 MISS BLISS – Claimed – V. Trujillo, trainer
 Refund \$910.80

10/14/16⁴**DAILY ATTENDANCE & HANDLE**

| | | |
|--------------------|-------|--------------|
| On track | 3,225 | 615,938.80 |
| SCOTWinc | 4,517 | 1,262,609.30 |
| Out of state + ADW | N/A | 3,982,325.99 |
| Total | 7,742 | 5,860,874.09 |

SATURDAY10/15/16¹**BALTAS RULING – (Ref. 10/14/16¹)**

The following ruling was issued in response to the BALTAS complaint considered yesterday:

LATC #014

Trainer RICHARD BALTAS, who worked out the horse ROCKPORT KAT on September 12, 2016, (48 hours after being placed on the Veterinarian's List), is fined FIVE HUNDRED DOLLARS (\$500.00) for violation of California Horse Racing Board rule #1866(b)(1) (Veterinarian's List – may not work out for 72 hours after being placed on list [sick]).

10/15/16²**FINANCIAL WITHDRAWALS**

KC HORSE TRANSPORT and R & R FARM TRANSPORTATION both withdrew their complaints (case numbers 16LT028 and 16SA187) against VERNON DICKMAN/LEGACY RANCH when the alleged vanning debts were paid in full.

10/15/16³**FILM REVIEW**

Jockey ALONSO QUINONEZ came to the office to review his ride in the third race yesterday. Shortly after leaving the starting gate, his horse drifted in and made contact with the horses to his inside. He explained that he was waiting for the horses to his outside to clear his mount and cross over. He also explained that his horse had a sensitive mouth and she moved over a little further than he intended. Jockey FLAVIEN Prat's agent was also present to defend his role in the contact. Mr. Prat's mount was on the far outside and angled in, putting pressure on the horses to his inside. Both agreed that they

shared some responsibility and both received warnings. Steward SAWYER voted for a Quinonez suspension.

Jockey TYLER BAZE came to the office to review his whip use in the stretch of the seventh race yesterday. He acknowledged using his whip five times in succession without giving the horse a chance to respond. We issued the following ruling:

LATC #015

Jockey TYLER BAZE is fined ONE HUNDRED DOLLARS (\$100.00) for violation of California Horse Racing Board rule #1688(b)(6) (Use of Riding Crop – more than three times in succession without giving the horse a chance to respond – first offense in the last sixty days), during the seventh race at Santa Anita Park on October 14, 2016.

Apprentice jockey AUSTIN SOLIS came to the office as directed to review yesterday's first and third races. He was involved in an incident in each of those races. While we didn't feel he was responsible for either, we wanted to review them with the apprentice, using the video as a learning opportunity.

10/15/16⁴

KUM COMPLAINT

Case number 16SA0184 which was a complaint filed by veterinarian MELINDA BLUE against owner HON CHEUNG KUM was dismissed when neither party appeared for the hearing.

10/15/16⁵

INQUIRY/NO CHANGE

Following the running of the second race, we posted the inquiry sign to examine an incident involving #3 FORTUNATE QUEEN about 200 yards after the start. #3 stumbled badly but video showed that she was not influenced by any other horses. After speaking with the patrol judges and rider involved, we voted unanimously to make no change to the original order of finish.

10/15/16⁶

DECLARATIONS, CHANGES and REFUNDS

| | |
|----------------------|---|
| 1 st Race | #2 PURSUIT – Veterinarian scratch, injured – Dr. Farmer Refund \$929.20 |
| 2 nd Race | No changes; No refund |
| 3 rd Race | No changes; No refund |
| 4 th Race | #1 DADTAUGHTMEWELL – Claimed – D. Pederson, trainer #3 OUT OF PARADISE – Claimed – B. Spawr, trainer #4 WINNING CAUSEWAY – Claimed – G. Sherlock, trainer #6 PERFECT SET – Claimed – A. Saavedra, trainer #7 THANKSGIVING DAY (IRE) – Claimed – R. Trela, trainer #7 THANKSGIVING DAY (IRE) – Claim VOIDED – returns to M. Chew, #2 DIVE DOWN – vanned off, dirt – PH Lobo, trainer Refund \$4.00 |
| 5 th Race | No changes; Refund \$75.00 |
| 6 th Race | #1 JET SEEKER – Veterinarian scratch, sick – Dr. Stead #2 SLEEPWALKER – Claimed – R. Diodoro, trainer Refund \$327.50 |
| 7 th Race | #13 SAWYER'S HILL – Stewards' scratch, also eligible #14 RYE PATCH – Stewards' scratch, also eligible Refund \$1,004.10 |
| 8 th Race | #8 BRIMSTONED – Veterinarian scratch, sick – Dr. Farmer #4 CHINA GIRL LOVER - pulled up, vanned off, dirt – Euthanized – D. Hendricks, trainer Refund \$1,330.85 |
| 9 th Race | No changes; No refund |

10/15/16⁷**DAILY ATTENDANCE & HANDLE**

| | | |
|--------------------|--------|--------------|
| On track | 12,236 | 1,336,214.70 |
| SCOTWinc | 6,346 | 1,771,043.50 |
| Out of state + ADW | N/A | 5,542,815.95 |
| Total | 18,582 | 8,650,074.15 |

SUNDAY10/16/16¹**GREENMAN COMPLAINT**

The complaint (case number 16LT0026) filed by owner BRETT TAHAJIAN against owner/trainer RICHARD DEAN GREENMAN alleging unpaid purse earnings was withdrawn when the amount was paid in full.

10/16/16²**FILM REVIEW**

Jockey MIKE SMITH came to the office as directed to review his ride in the third race yesterday. Specifically, we wanted to examine Mr. Smith's actions immediately before the finish. He stood up about two strides before the wire and finished third. We didn't think he cost the horse a better placing but wanted to caution him that the optics were bad and to stay down until past the finish. He was in total agreement.

10/16/16³**HOLLENDORFER HEARING and RULING**

Trainer JERRY HOLLENDORFER attended an informal hearing (albeit late) for case number 16LT031 which was a gelding complaint. He expressed his displeasure with the rule itself; however, we issued the ruling that follows. The penalty was mitigated because the horse was a first-time starter and gelded off of the race track grounds.

LATC #016

Trainer JERRY HOLLENDORFER is fined SEVEN HUNDRED FIFTY DOLLARS (\$750.00) for failure to properly report the gelding of the horse LUCKY DAYS AHEAD which ran in the sixth race at Los Alamitos Race Course on September 24, 2016. This constitutes a violation of California Horse Racing Board rule #1865 (Altering of Sex of Horse).

10/16/16⁴**DECLARATIONS, CHANGES and REFUNDS**

| | |
|----------------------|--|
| 1 st Race | No changes; No refund |
| 2 nd Race | No changes; No refund |
| 3 rd Race | No changes; No refund |
| 4 th Race | No changes; No refund |
| 5 th Race | No changes; No refund |
| 6 th Race | #4 STORMY LIBERAL – Claimed – P. Miller, trainer Refund \$16.80 |
| 7 th Race | #9 GLOBAL EVENT – Claimed – D. O'Neill, trainer #8 SHELL BEACH – vanned off – J. Kasparoff, trainer Refund \$34.70 |
| 8 th Race | #7 JONNY'S CHOICE – Claimed – J. Mullins, trainer Refund \$40.60 |
| 9 th Race | #12 BLAME THE WEATHER – Stewards' scratch, also eligible Refund \$560.60 |

10/16/16⁵**DAILY ATTENDANCE & HANDLE**

| | | |
|--------------------|--------|--------------|
| On track | 8,035 | 1,113,541.20 |
| SCOTWinc | 5,416 | 1,656,904.30 |
| Out of state + ADW | N/A | 4,995,332.20 |
| Total | 13,451 | 7,765,777.70 |

VETERINARIAN REPORT - Week of: 10/10/16 – 10/16/16

| | |
|---------------------|----|
| Horses on Vet List | 13 |
| Horses off Vet List | 20 |
| Shock Wave Therapy | 31 |
| Deceased | 2 |
| Medication | 0 |

ATTENDANCE REPORT – The full Board of Stewards was in session each day during this reporting period for both morning business and the afternoon racing program.

SUMMARY

| | Fri 10/14/16 | Sat 10/15/16 | Sun 10/16/16 | Week | Meet |
|-------------------|-----------------|-----------------|-----------------|------|------|
| Accidents | 0 | 0 | 0 | 0 | 0 |
| Cal-Bred Races | 1 | 2 | 0 | 3 | 14 |
| Claims | 2 | 5 | 3 | 10 | 39 |
| Disqualifications | 0 | 0 | 0 | 0 | 0 |
| Inquiries | 1 | 1 | 0 | 2 | 8 |
| Refunds | 8 | 6 | 4 | 18 | 65 |
| Rulings | 0 | 2 | 1 | 3 | 16 |
| Voided Claims | 0 | 1 | 0 | 1 | 1 |

Respectfully submitted,

C. Scott Chaney, Steward

Grant W. Baker, Steward

Kim Sawyer, Steward

Luis Jauregui, Safety
Steward