

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825



REPORT OF THE BOARD OF STEWARDS

LOS ANGELES TURF CLUB, INC.

SANTA ANITA PARK

TO THE CALIFORNIA HORSE RACING BOARD

Honorable Edmund G. Brown Jr., Governor
Honorable Chuck Winner, Chairman
Honorable Madeline Auerbach, Vice Chairman
Honorable Jesse H. Choper, Member
Honorable Fred Maas, Member
Honorable Araceli Ruano, Member
Honorable Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
John McDonough, Chief Counsel
Darrel McHargue, Chief Steward

WEEK 2 – FRIDAY, JANUARY 5, 2018 THROUGH SUNDAY, JANUARY 7, 2018.

WEDNESDAY

1/3/18¹

DARK DAY ENTRIES

Scott Chaney was present to oversee entries and be in charge of any Stewarding issues that may arise. The Saturday card filled with nine races.

THURSDAY

1/4/18¹

DARK DAY ENTRIES

Once again Steward Chaney was present for entries for Sunday, which were completed with another nine race card. Program changes for Friday were effectuated.

FRIDAY

1/5/18¹

HAMMERLE MEETING

Racing Secretary Rick Hammerle introduced a new concept for race conditions in the first condition book. He wrote and published race conditions restricted to smaller stables. The sixth race to be carded for Sunday has the following condition:

“For four years and upward this race is restricted to trainers with 20 or less horses in their care in California”.

When questioned about the language used for this race, Mr. Hammerle informed the Stewards, that intention regarding this condition was, 20 Thoroughbreds eligible to race at Santa Anita. Two entries in particular piqued interest: one each for trainers Chuck Treece and Jesus Nunez. Hammerle assured us that neither had more than 20 eligible thoroughbreds. This BOS cautioned him that the difference between what he wrote and his translation could be objected to and/or protested by a race participant per CHRB rules. He was very confident this would not be an issue.

1/5/18²**FRANCO RULING ref 01-01-18¹**

The following ruling was issued after the Stewards were able to verify it was a third offense penalty.

RULING #013

Apprentice Jockey CESAR FRANCO is fined THREE HUNDRED DOLLARS (\$300.00)* for violation of California Horse Racing Board rule 1688 (b)(6) (Use of Riding Crop – more than three times in succession without giving the horse a chance to respond – third offense in last 60 days), during the sixth race at Santa Anita Park on December 31, 2017; first offense on December 9, 2017; second offense on December 17, 2017.

1/5/18³**SALCEDO RULING**

Exercise rider Cesar Salcedo met with the Stewards to discuss his security violation for galloping the wrong way on the main track. The notice was submitted by outrider John Cortez. Mr. Salcedo accepted responsibility and the following ruling was issued.

RULING #014

Exercise rider CESAR SALCEDO is fined FIFTY DOLLARS (\$50.00)* for violation of California Horse Racing Board rule #1930 (Obedience to Security Officers and Public Safety Officers – morning outrider citation for galloping the wrong way).

1/5/18⁴**CORRALES MEETING**

The Stewards met with exercise rider Max Corrales to discuss procedures and what is expected of him until a formal hearing can be scheduled to address the altercation in the jockey quarters with jockey Martin Pedroza (ref 01-01-18²).

1/5/18⁵**JOCKEY FILM REVIEW**

Drayden Van Dyke reviewed his crop use with the Stewards in the second race on Sunday. He was advised he must allow his mount more time to respond after three strikes. He stated he felt he was doing so with his fairly new style of cropping. It took a while to convince him there would be no more warnings, he needs to change it up. Majority warning, Steward Baker voted for a penalty.

Tiago Pereira met with the Stewards to review his cropping in the ninth race on Sunday. In a unanimous opinion Mr. Pereira received the following penalty.

RULING # 015

Jockey TIAGO PEREIRA is fined TWO HUNDRED DOLLARS (\$200.00)* for violation of California Horse Racing Board rule 1688 (b)(6) (Use of Riding Crop – more than three times in succession without giving the horse a chance to respond – second offense in last 60 days), during the ninth race at Santa Anita Park on January 1, 2018; first offense November 17, 2017.

1/5/18⁶**ACCIDENT SIXTH RACE (6 furlongs-dirt)**

During the running of the race the inquiry sign was posted to review an accident that occurred near the 5/16 pole. Involved was #3 Sharp Lady with apprentice Laura Werner aboard. After a review and conversation with the Patrol Judges it was apparent that Ms. Werner ran up on the heels of #6 Todos Santos (R. Bejarano 1st) causing her horse to clip that ones' heels, stumble and fall unseating her. Ms. Werner caused her own demise. The Paramedics were on scene immediately and transported her to first aid for further evaluation. The horse was caught, unharmed, without its bridle by the outrider Jesus Camacho.

1/5/18⁷**DECLARATIONS, CHANGES AND REFUNDS**

1st race No Changes. Refund \$0
 2nd race #7 HOTTALABAMA (Tr. B. Baffert) Veterinarian-flipped paddock-Dr. Stead
 Refund \$23,350.21
 3rd race #6 PRINCESS DORIAN (Tr. P. Eurton) claimed by Tr. R. Saldana
 Refund \$62.00
 4th race #9 CATS BLAME (Tr. V. Garcia) claimed by Tr. M. Puype
 Refund \$77.00
 5th race #2 PURR CAT (Tr. N. Drysdale) Veterinarian-sick-Dr. Stead
 #7 LAUDERDALE (Tr. P. Gallagher) Veterinarian-sick-Dr. Grande
 #8 STUDY PERFECTION (Tr. R. Baltas) Steward-full field
 Refund \$1,870.30
 6th race #3 SHARP HOLIDAY (Tr. V. Fernandez) DNF-fell walked off
 #5 FASHION FAIR (Tr. S. Gonzalez) Veterinarian-sick-Dr. Grande
 Refund \$575.00
 7th race No Changes. Refund \$857.22
 8th race #6 MISCHIEVOUS LASS (Tr. J. Hollendorfer) claimed by Tr. M. Glatt
 #7 TIZSWIFT (Tr. J. Mendoza) Steward-full field
 Refund \$1,069.68

1/5/18⁸**DAILY ATTENDANCE & HANDLE**

On track	3,480	\$612,631.10
SCOTWinc	3,973	\$1,241,464.50
Out of state + ADW	N/A	\$5,314,306.99
Total	7,453	\$7,168,402.59

SATURDAY1/6/18¹**JOCKEY FILM REVIEW**

Apprentice Laura Werner came to the Stewards' office to discuss her accident yesterday. Her actions that caused her to clip heels and fall were studied during a review of her ride. While this BOS was glad to hear she had gone unscathed, Ms. Werner was told she needs to correct her assessments, judgments and decisions in a race. Her inability to know if she was in tight or in heel clipping stage, knowing when to retreat from bad positioning are issues that could endanger not only herself but also fellow jockeys. She was informed her actions in this race are considered careless riding which could receive a suspension penalty. This BOS feels her falling off at 35 miles an hour was penalty enough on top of possibly tarnishing her reputation of a competent jockey. She left the office promising to do better.

Evin Roman also met with the Stewards to review his ride in the seventh race in which he drifted inward in the stretch run. Although this BOS felt the action was not penalty worthy, he was warned to be extra cautious in the grass races (tight riding), especially when the inside rail is extended out. He stated he would be more aware.

Cecily Evans met with the Stewards to review the use of her crop in the eighth race yesterday. After a review of the video it was apparent Ms. Evans complied with the rule. Ruben Fuentes met with the Stewards, a day, late to review the fourth race on Monday. After a review of the race Mr. Fuentes acknowledge he over used the crop and the following two rulings were published.

RULING LATS #017

Jockey RUBEN FUENTES is fined FIFTY DOLLARS (\$50.00)* for violation of California Horse Racing Board rule #1930 (Obedience to Security Officers and Public Safety Officers – failure to attend film review) on January 5, 2018.

RULING LATS #018

Jockey RUBEN FUENTES is fined ONE HUNDRED DOLLARS (\$100.00)* for violation of California Horse Racing Board rule 1688 (b)(6) (Use of Riding Crop – more than three times in succession without giving the horse a chance to respond – first offense in last 60 days), during the second race at Santa Anita Park on January 1, 2017.

1/6/18²**ERICKSON RULING**

A final complaint was filed by the CHRB on behalf of Cole Ranch, Inc. (Steven Cole) against Nevada Equine LLC (Nels Erickson) in the amount of \$22,247.79. After Mr. Erickson failed to appear for the scheduled hearing the following ruling was issued.

RULING LATS #016

Owner NELS ERICKSON (DBA Nevada Equine LLC) having failed to respond to a complaint alleging violation of California Horse Racing Board rule #1876 (Financial Responsibility - \$22,247.79 to Cole Ranch Inc.) is suspended for violation of California Horse Racing Board rule #1547 (Failure to Appear).

During the term of suspension, all licenses and license privileges of NELS ERICKSON are suspended and pursuant to California Horse Racing Board rule #1528 (Jurisdiction of Stewards to Suspend and Fine), NELS ERICKSON is denied access to all premises in this jurisdiction.

1/6/18³**INQUIRY DISQUALIFICATION FOURTH RACE one mile turf**

Following the running of the race the inquiry sign was posted to review an incident into the first turn that involved #12 Rancor (E. Roman 6th), #2 Roses and Candy (L. Werner 11th), #4 Conformation (M. Gutierrez 9th) and #7 Dr. Ann (T. Pereira 8th). Entering the first turn, #12 took the path of #2 who took up and angled out causing #4 and #7 to its outside to take up and veer out. After a review of the angles available in a majority opinion #12 was disqualified from sixth and placed last behind #2. Steward Sawyer believed #2 was to blame when it ran up inside of #12 placing itself in a bad position going into the turn.

ORIGINAL ORDER OF FINISH 1-5-11-3-10-(12)-6-7-4-9-2

OFFICIAL ORDER OF FINISH 1-5-11-3-10-6-7-4-9-2-(12)

1/6/18⁴**DECLARATIONS, CHANGES AND REFUNDS**

1st race	#5 Midnight Swinger (Tr. M. Glatt) claimed by Tr. D. O'Neill Refund \$44.00
2nd race	No Changes; Refund \$49.00
3rd race	#1 Spokane Eagle (Tr. N. Drysdale) Steward-full field Refund \$836.59
4th race	#7 Dr. Ann (Tr. D. O'Neill) T. Pereira replaced C. Nakatani (sick) Refund \$55,361.02
5th race	#4 Mourinho (Tr. B. Baffert) Steward-stake. Refund \$7,453.26
6th race	#11 Karmic Affinity (Tr. M. Pender) Veterinarian-sick-Dr. Grande Refund \$7,090.48
7th race	No Changes; Refund \$24.00
8th race	#9 Devil's Beauty (Tr. S. Miyadi) claimed by Tr. D. Jacobson Refund \$37.00
9th race	#7 Phantom Proton (Tr. N. Drysdale) D. VanDyke replaced C. Nakatani (sick). Refund \$560.47

1/6/18⁵**DAILY ATTENDANCE & HANDLE**

On track	7,897	\$1,371,215.30
SCOTWinc	6,013	\$1,964,406.00
Out of state + ADW	N/A	\$9,049,105.71
Total	13,910	\$12,384,727.01

SUNDAY1/7/18¹**NUNEZ RULING**

Official Veterinarian Debrah Lamparter filed a complaint with the CHRB against trainer Jesus Nunez for a violation of CHRB rules 1894 (Duties of a Trainer) 1852 (Reporting to Receiving Barn) for being late to the receiving Barn with three different horses. After a telephone hearing with Mr. Nunez he was informed of the penalty in the following ruling.

RULING LATS #020

Trainer JESUS NUNEZ is fined TWO HUNDRED DOLLARS (\$200.00)* for violation of California Horse Racing Board rule #1852 (Reporting to Receiving Barn – late to the receiving barn; three violations).

1/7/18²**JOCKEY FILM REVIEW**

Mike Smith met with the Stewards to review the use of his crop in the sixth race yesterday. At the top of the stretch his mount bore out badly past the middle of the race track. Late stretch Mr. Smith got aggressive with the crop, he stated, to help guide his mount. In a majority opinion, it was hard to tell how much of the action was flagging the crop opposed to hitting. He was given a warning, Steward Baker voted for a penalty.

Apprentice Evin Roman was in to watch his ride into the first turn in the fourth race in which his mount was disqualified (ref 01-06-18³). When his horse changed leads into the first turn it stepped in causing a horse to his inside to check. In a unanimous decision he was issued a warning and was cautioned to be more aware, he stated he would do so.

Apprentice Laura Werner met with the Stewards to also review the fourth race in which she was involved in an incident that required an inquiry and a disqualification. The majority opinion was Ms. Werner was guilty of careless riding by allowing her mount to run into a dangerous position inside a rival prior to the first turn, then, deciding she was in trouble, swung her horse out over heels and impeded the two horses to her outside. Her penalty is stated in the ruling below. Steward Baker voted for no penalty.

RULING LATS #021

Apprentice Jockey LAURA WERNER, who rode ROSES AND CANDY in the fourth race at Santa Anita Park on January 6, 2018 is suspended for THREE (3) racing days (January 14, 15 and 19, 2018) for failure to make the proper effort to maintain a straight course and causing interference entering the first turn. This constitutes a violation of California Horse Racing Board rule #1699 (Riding Rules – Careless Riding).

Pursuant to California Horse Racing Board rule #1766 (Designated Races), the term of suspension shall not prohibit participation in designated races.

1/7/18³**INQUIRY NO CHANGE FOURTH RACE 1 mile-grass**

Following the running of the race the inquiry sign was posted to review the start of the race. Involved were #9 Cordiality (T. Baze 11th) and #10 Sweet Charity (FR) (R. Bejarano 1st). After conversation with the Patrol Judge and jockey Bejarano in a unanimous decision the original order of finish was made official. The second jump out of the gate #9 broke out and #10 broke in, they both met in the middle with basically mutual contact...

Unfortunately the contact made #9 stumble to its knees, but its culpability in the incident made a disqualification moot.

1/7/18⁴**INQUIRY NO CHANGE NINTH RACE 6 1/2 furlongs-turf**

Following the running of the race the inquiry sign was posted to review an incident entering the first turn (right) on the downhill turf course. Involved were about half of the field. After a review of the angle available and conversation with the Patrol Judge and two jockeys it was evident that it was impossible to detect who or how many caused the jam up therefore the original of order of finish was made official.

1/7/18⁵**VOIDED CLAIMS**

After examinations of #7 BOY HOWDY (Tr. Bill Spar) in the third race, #8 OVERCOMER (Tr. Chuck Trice) in the sixth race and #2 ROSIE MY ROSIE (Tr. R.B. Hess, Jr.) in the ninth race by Official Veterinarian Tim Grande, both horses were placed on the Veterinarian's List for being unsound and were returned to their original stables.

1/7/18⁶**DECLARATIONS, CHANGES AND REFUNDS**

1st race No Changes; Refund \$0
 2nd race No Changes; Refund \$2.00
 3rd race #4 MARRIEDTOTHESMUSIC (Tr.D. Jacobson) ambulance removed post race
 #7 BOY HOWDY (Tr. B. Spawr) claimed by Tr. D. Jacobson **VOID**
 Refund \$8.00
 4th race No changes; Refund \$310.92
 5th race #6 RUN LIKE RHETT (Tr. B. Heap) claimed by Tr. A. Lerner. Refund \$5.00
 6th race #4 RIM DITCH (Tr. Fernandez) S. Elliot replaced C. Franco (injured) CW 121
 #5 LEWYS VAPOR (Tr. D. Jacobson) claimed by Tr. B. Heap
 #8 OVERCOMER (Tr. C. Treece) claimed by Tr. J. Metz **VOID**
 #9 CHIN TU (Tr. A. Garcia) Veterinarian-sick-Dr. Stead
 #10 AIRFOIL (Tr. J. Clark) claimed by Tr. S. Knapp
 #11 PROUD ZONING (Tr. S. Gonzalez) Steward-full field. Refund \$231.00
 7th race #1 GIRL DOWNSTAIRS (Tr. P. Eurtion) claimed by Tr. M. Puype
 #4 CARRIE (Tr. C. Stutts) Matt Garcia replaced C. Franco (injured) CW 119
 #7 PEACH COVE (NZ) claimed by Tr. D. Jacobson
 #11 DEL MAR ANN (Tr. R. Baltas) A. Quinonez replaced C. Nakatani (sick)
 Refund \$371.00
 8th race 1 ARTISTIC DIVA (Tr.J. Sadler) Steward-stake. Refund \$2,437.97
 9th race #2 ROSIE MY ROSIE (Tr. R.B. Hess) claimed by Tr. D. Jacobson **VOID**
 #3 STURDY ONE (Tr.I. Kruljac) Steward-full field
 #9 LIAR'S SMOKER (Tr.J. Hollendorfer) R. Bejarano replaced C. Nakatani (sick). Refund \$1,992.91

1/7/18⁷**DAILY ATTENDANCE & HANDLE**

On track	7,778	\$1,392,872.10
SCOTWinc	5,172	\$1,786,999.80
Out of state + ADW	N/A	\$8,013,214.00
Total	12,950	\$11,193,085.90

ATTENDANCE REPORT – The full Board of Stewards was in session each day during this reporting period for both morning business and the afternoon racing program.

SANTA ANITA PARK - VETERINARIAN REPORT - Week of: 1/1/18 - 1/7/18

Horses on Vet List	16
Horses off Vet List	14
Shock Wave Therapy	11
Fatalities	1
Medication	0

SUMMARY

	Fri 1/5/18	Sat 1/6/18	Sun 1/7/18	Week	Meet
Accidents	1	0	0	1	2
Cal-Bred Races	1	1	1	3	7
Claims	3	2	5	10	26
Disqualifications	0	1	0	1	2
Inquiries	1	1	2	4	6
Refunds	7	9	8	24	44
Rulings	3	3	3	9	21
Voided Claims	0	0	3	3	4

Respectfully submitted,

Kim Sawyer, Steward

Grant W. Baker, Steward

C. Scott Chaney, Steward

Luis Jauregui, Safety Steward

Attachments
BOS: KS:dw

State of California
 Jockey/Driver Accident Report
 CHRB-201 (Rev. 2/14)

CALIFORNIA HORSE RACING BOARD

JOCKEY/DRIVER ACCIDENT REPORT

Business and Professions Code Section 19481.3(e) provides that the stewards shall investigate and prepare a report regarding all on-track accidents involving jockeys that occur during the performance of the jockeys' duties. The investigation shall commence no later than the next live racing day and shall be completed expeditiously. Board Rule 1536, Stewards' Minutes, includes drivers in this requirement. **Upon completion of the report, it shall immediately be sent by facsimile or electronic mail to the Jockeys' Guild or California Harness Horsemen's Association (CHHA); the jockey/driver or his representative; the racing association; the horse owner; and the trainer of the horse the jockey/driver was riding/driving at the time of the accident.**

Name of jockey/driver & CHRB License No.: Laura Werner #323905

Name of horse owner & CHRB License No.: High Hill Racing #271453

Name of trainer & CHRB License No.: Victor Fernandez #290154

Name of horse & Tattoo No.: Sharp Holiday Q15973

Race No.: 6

Date/time/location of accident: 1/5/2018 - 3:36 pm – Santa Anita Park

DESCRIPTION OF ACCIDENT

Include circumstances of accident, likely causes and the extent of injury to jockey/driver, if any. Complete one Jockey/Driver Accident Report for each jockey/driver involved in an accident. A copy of each report shall be attached to the stewards' minutes in accordance with Rule 1536, Stewards' Minutes.

Apprentice Jockey Laura Werner, riding #3 SHARP HOLIDAY, ran up behind #6 TODOS SANTOS about the 5/16ths pole clipping heels, causing the horse to fall, and unseating Ms. Werner.

Jockey/Driver condition: Transported to First Aid and released.

Horse condition: Okay.

Prepared by: Paul Atkinson

 Signature:

1/6/2018

Date report completed:

Distribution

- Jockeys' Guild/ CHHA
- Jockey/Driver
- Racing Association
- Owner
- Trainer

Note: Attach original CHRB-201 form to stewards' minutes.