

CALIFORNIA HORSE RACING BOARD  
1010 HURLEY WAY, SUITE 300  
SACRAMENTO, CA 95825



**REPORT OF THE BOARD OF STEWARDS**

**LOS ANGELES TURF CLUB, INC.**

**SANTA ANITA PARK**

**To the California Horse Racing Board**

Honorable Edmund G. Brown Jr., Governor  
Honorable Chuck Winner, Chairman  
Honorable George Krikorian, 1<sup>st</sup> Vice Chairman  
Honorable Madeline Auerbach, 2<sup>nd</sup> Vice Chairman  
Honorable Jesse H. Choper, Member  
Honorable Araceli Ruano, Member  
Honorable Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director  
Darrel McHargue, Chief Steward

**Week 1 - Thursday, April 14, 2017 – April 16, 2017**

**GENERAL DATA:**

- Dates:** Monday, April 14, 2016, through Tuesday, July 4, 2017.  
Racing Thursdays through Sundays. Special Monday racing on May 29. Dark days on June 29. Special Tuesday Racing July 4.
- Races:** Eight races weekdays, and nine races on weekends, unless otherwise designated.
- Post time:** Weekends/holidays - 1:00 p.m. Noon (12:00 p.m.) on the following dates: Santa Anita Derby Day, April 8, Kentucky Derby Day, May 6, Preakness Stakes Day, Saturday, May 20, Belmont Stakes Day, Saturday, June 10.
- Scratch time:** Regular scratch time will be at 10:00 a.m. the previous racing day, unless otherwise noted. The deadline for scratches for stakes races, except for overnight stakes races will be prior to the 3<sup>rd</sup> race or two hours before post time, whichever is longer.
- Wagering:** Minimum \$2 win, place and show wagering on every race; Daily Double on races 1-2, 4-5 and the final two races on each card. \$1 Rolling Pick Threes; \$1 Exactas on all races; \$1 Trifectas; \$1 and 10 cent Superfectas on all qualifying races; \$1 Pick Four on final four races; \$1 Pick Five on first five races; \$2 Pick Six (with carryover) on final six races, and \$1 Place Pick All (no carryover) beginning with first race.

Auxiliary stables: San Luis Rey Downs, Los Alamitos

**OFFICERS**

Mr. Keith Brackpool	Chairman
Mr. Joe Morris	Senior Vice President, West Coast Operations
Mr. Scott Daruty	Director
Mr. Frank DeMarco, Jr.	Vice President, Regulatory Affairs, Secretary and General Counsel
Ms. Gina Lavo	Vice President, Finance

**OFFICIALS**

Mr. Grant Baker	Steward
Mr. C. Scott Chaney	Steward
Ms. Kim Sawyer	Steward
Mr. Luis Jauregui	Safety Steward
Mr. Rick Hammerle	Vice President, Racing & Racing Secretary
Mr. Zachary Soto	Assistant Racing Secretary
Ms. Karen Denovel	Paddock Judge & Patrol Judge
Mr. Randy Valdez	Patrol Judge, Video Tape Analyst
Mr. Jay Slender	Starter
Mr. Chris Merz	Stakes Coordinator
Mr. Robert Moreno	Placing Judge
Mr. Ed Reese	Placing Judge
Mr. Kevin Colosi	Clerk of the Scales
Mr. Charles McCaul	Assistant Clerk of Scales
Ms. Angel Lomeli	Clerk of the Course
Ms. Bridget Crawford	Paymaster of Purses
Mr. Jon White	Morning Line Maker & Track Timer
Mr. Dane Nelson	Timer
Mr. Larry Gilligan	Quick Official
Ms. Jennifer Paige	Horse Identifier
Ms. Tamara La Croix	Assistant Horse Identifier
Mr. Victor Tovar	Horseshoe Inspector
Dr. Dana Stead, DVM	Track Veterinarian
Dr. Tim Grande, DVM	Official Veterinarian representing CHRB

**ADMINISTRATION**

Ms. Candace Coder-Chew	Director of Print & Graphic
Mr. Dan Cirimele	Senior Director of Marketing
Mr. Todd DeSilva	Vice President, Food & Beverage
Mr. Randy Fowler	Director of Hospitality
Mr. Randy Hartzell	Director of Pari-Mutuels
Mr. Nate Newby	Vice President, Marketing
Mr. Lou Scalera	Chief of Security
Mr. Pete Siberell	Director of Community Services & Special Projects
Mr. Jason Spetnagel	Director of Facilities & Grounds
Ms. Pamela Walden	Director of Group Sales
Mr. Dennis Moore	Track Superintendent
Mr. Andy LaRocca	Track Supervisor
Mr. Mike Willman	Director of Publicity
Ms. Amy Zimmerman	Vice President, Business Coordination, Director of Broadcasting

Ms. Nina Zukin  
 Benoit & Associates  
 Mr. Jay Cohen  
 Mr. Michael Wrona  
 Mr. Ken Walker

Director of Information Services  
 Track Photographer  
 Hornblower  
 Public Address Commentator  
 Director of Labor Relations

**WEDNESDAY**4/12/17<sup>1</sup>**DARK DAY**

Kim Sawyer was present to oversee entries for Saturday, April 15 and to handle routine matters.

**THURSDAY**4/13/17<sup>1</sup>**DARK DAY**

Kim Sawyer was on duty for the scratches for Friday, April 14, and the entries for Sunday, April 16, 2017.

**FRIDAY**4/14/17<sup>1</sup>**JOCKEY FILM REVIEW**

JAMIE THERIOT met with the Stewards to review the fourth race on Saturday. His effort to correct his inward drift at the start of the race was reviewed. In the opinion of the BOS after discussing the incident, all agreed while on a long rein he did make an effort to correct, warning.

COREY NAKATANI met with the Stewards to review the seventh race in which he finished in a dead heat for third place. In the opinion of the BOS, Mr. Nakatani appeared to ease up a stride before the finish line while in the process of changing the stick from his left to right hand. It was not clear cut that he could have finished third by himself, warning.

IGGY PUGLISI also met with the Stewards to review the eighth race Sunday that involved a Stewards' inquiry. In the opinion of the majority, (Baker dissent, voted for a three day suspension) he corrected his inward drift before entering the path of the horse to his inside and this did not rise to careless riding. Edwin Maldonado voluntarily was present for the review and stated, he overreacted when Puglisi's horse made the inward move, warning.

4/14/17<sup>2</sup>**RODRIGUEZ RULING CASE #17SA0059**

The following ruling was issued after Mr. Rodriguez failed to appear for his hearing.

**RULING #123**

Exercise Rider JOSE RODRIGUEZ having failed to respond to a complaint alleging violation of California Horse Racing Board rule #1489(a)(8) (Grounds for Denial or Refusal of License - Possession of Controlled Substance), is suspended for violation of California Horse Racing Board rule #1547 (Failure to Appear).

During the term of suspension, all licenses and license privileges JOSE RODRIGUEZ are suspended and pursuant to California Horse Racing Board rule #1528 (Jurisdiction of Stewards to Suspend and Fine), JOSE RODRIGUEZ is denied access to all premises in this jurisdiction.

4/14/17<sup>3</sup>**VOIDED CLAIMS**

After Official Veterinarian Tim Grande examined #4 WEALTHY SHIPMAN in the first race the horse was reported to be unsound, the claim was voided and the horse returned to the

care of trainer Robertson Diodoro. Also in the sixth race # 3 JIMMY BOUNCER was reported unsound and returned to the stable of trainer Doug O'Neill after the claim was voided.

4/14/17<sup>4</sup>

**INQUIRY DISQUALIFICATION FIFTH RACE 1 mile-turf**

Following the running of the race the inquiry sign was posted to review an incident in the first turn. Involved were #2 ISLA CITY (J. Talamo 5th), #3 MANDY (B. Blanc 6th), #4 RIGHTEOUS DIXIE (S. Elliot 4th) and #7 AGUACATE (R. Bejarano 7th). Mid turn #2 on the lead, but not clear, lugged out causing a chain reaction involving #3, #4 and #7 who were in a stair step formation and the shift pushed them out. After talking to the Patrol Judges and jockeys involved it was a unanimous decision that #2 was responsible for costing #3 the opportunity at a better placing, was disqualified from 5th and placed 6th behind #2. The placing of #7 was not effected and #4 finished in front of #2.

Original Order of Finish 8-5-6-4-(2)-3-7

Official Order of Finish 8-5-6-4-3-(2)-7

4/14/17<sup>5</sup>

**DECLARATIONS, CHANGES AND REFUNDS**

1st race #4 WEALTHY SHIPMAN (Tr. R. Diodoro) claimed by Tr. J. Mullins **VOID**  
 #5 MANGITA (Tr. R.B. Hess) claimed by Tr. J. Hollendorfer  
 #6 STURDY ONE (Tr. J. Carava) claimed by Tr. I. Kruljac  
 Refund \$330.00

2nd race #3 BEAUTY N BALANCE (Tr. B. Baffert) claimed by Tr. E. Freeman  
 #4 BLAME THE WEATHER (Tr. R. Mandella) Veterinarian-sick-Dr. Grande  
 Refund \$357.70

3rd race #3 FALLOUT (Tr. D. Hofmans) claimed by Tr. E. Kruljac  
 Refund \$46.00

4th race NO SHOW WAGERING  
 #2 LADY TAPIT (Tr. P. Eurton) Veterinarian-sick-Dr. Grande  
 Refund \$476.00

5th race #1 WAR STORM (Tr. V. Cerin) Veterinarian-sick-Dr. Stead  
 #8 LOOKING AT THELAKE (Tr. W.E. Morey) claimed by Tr. J. Sadler  
 Refund \$567.65

6th race #1 W. GILES (Tr. K. Mulhall) claimed by Tr.J. Brocklebank  
 #3 JIMMY BOUNCER (Tr. D. O'Neill) claimed by Tr. M. Glatt VOID  
 #4 LAMBO LUXX (Tr. J. Carava) Veterinarian-sick-Dr. Stead  
 #6 OLD MAN LAKE (Tr. R. Becerra) Veterinarian-sick-Dr. Stead  
 #7 SUDDEN SAM (Tr. S. Miyadi) Veterinarian-sick-Dr. Grande  
 Refund \$866.80

7th race #4 KHALEESI (BRZ) (Tr. F. Souza) Veterinarian-sick-Dr. Stead  
 Refund \$392.35

8th race #3 RALPH JACOBS (Tr. M. Jones) Veterinarian-injured-Dr. Grande  
 Refund \$389.67

4/14/17<sup>6</sup>

**DAILY ATTENDANCE & HANDLE**

On track	3,825	\$697,902.50
SCOTWinc	4,251	\$1,433,108.50
Out of state + ADW	N/A	\$3,617,144.91
Total	8,076	\$5,748,155.91

**SATURDAY**  
4/15/17<sup>1</sup>

**PENDER FORMAL HEARING CASE #17SA0071**

A complaint was filed by the CHRB against trainer Mike Pender for an alleged violation of CHRB rule 1878 (Workouts), 1866 (b)(1) (Veterinarian's List) and 1887 (Trainer or Owner to Insure Condition of Horse). Present were Investigator Jim Hamilton (CHRB), Mike Pender, court reporter Michelle Derieg documented the proceedings and Clocker Jennifer Metz testified for the CHRB.

The complaint filed stated:

Mr. Pender trainer of the horse "Rafter One" was entered to run in the 5th race at Santa Anita on March 19, 2017, was then scratched for being sick and placed on the Veterinarian's List. On March 21, 2017, said horse had a recorded 5/8 of a mile workout, 48 hours after being placed on the Veterinarian's List.

Gap Clocker Jennifer Metz testimony was as follows:

Upstairs clockers asked her to identify the Pender trainee after a 5/8 workout due to darkness at 5:30 AM and the trainer did not identify the horse prior to the workout. Pender's exercise rider was asked the name of the horse, he stated "Runasaurus Rex". Clocker entered horse's name into her iPad to check the horse's markings registered at the Jockey Club and noticed its markings were very similar to the horse "Rafter One", also trained by Mr. Pender. Horses in this system are listed according to similarity of their markings.

Ms. Metz then proceeded to the Pender barn for further verification of the horse's identity.

She stated "Rafter One" was wet/hot and breathing hard and took a picture of the tattoo to verify which horse was which, "Runasaurus Rex" was not hot or breathing hard.

Trainer Pender said "Runasaurus Rex" was the horse that worked and Rafter One was sent to the track at the same time to jog. He also stated he felt Ms. Metz was out to get him due to a prior horse sale with her husband Jeff Metz that went wrong. More to follow.

4/15/17<sup>2</sup>

**JOCKEY FILM REVIEW**

Jockey Jose Verenzuela appeared for Norberto Arroyo who possibly violated the crop rule in the first race yesterday. After a review of the race all agreed Mr. Arroyo used his crop four times in five strides and the following ruling was issued.

**RULING LATS #124**

Jockey NORBERTO ARROYO, JR. is fined ONE HUNDRED DOLLARS (\$100.00)\* for violation of California Horse Racing Board rule 1688 (b)(6) (Use of Riding Crop – more than three times in succession without giving the horse a chance to respond – first offense in last 60 days), during the first race at Santa Anita Park on April 14, 2017.

4/15/17<sup>3</sup>

**VOIDED CLAIM**

After an examination by Official Veterinarian Tim Grande of #4 Ankeny Hill in the ninth race the horse was reported to be unsound, the claim was voided and the horse was returned to the stable of Robertino Diodoro.

4/15/17<sup>4</sup>

**DECLARATIONS, CHANGES AND REFUNDS**

1st race	No Changes. Refund \$0; Minus Pool \$1020.87
2nd race	No Changes. Refund \$13.00
3rd race	#1 Forthenineteen (Tr. J. Brocklebank) claimed by Tr. M. Chew Refund \$27.00

4th race NO SHOW WAGERING  
#3 Ike Walker (Tr. J. Hollendorfer) Steward - stake  
Refund \$772.00

5th race #7 Bourque (Tr. D. O'Neill) claimed by Tr. M. Ruis  
#9 Cut (Tr. E. Truman) claimed by Tr. J. Steiner  
Refund \$8.00

6th race #2 River Hoss (Tr. G. Sherlock) ambulance removed post race  
Refund \$9.00

7th race No Changes  
Refund \$27.10

8th race No Changes  
Refund \$195.40

9th race #4 Ankeny Hill (Tr. R. Diodoro) claimed by Tr. K. Desmoreaux **VOID**  
#7 Madelyn's Wild Max (Tr. M. Glatt) claimed by A. Garcia  
Refund \$121.50

10th race No Changes  
Refund \$2,179.76

4/15/17<sup>5</sup>**DAILY ATTENDANCE & HANDLE**

On track	9,523	\$1,694,690.40
SCOTWinc	6,822	\$2,742,210.90
Out of state + ADW	N/A	\$6,591,224.32
Total	16,345	\$11,028,125.62

**SUNDAY**4/16/17<sup>1</sup>**FILM REVIEW**

Martin Garcia met with the Stewards to review his ride in the first race yesterday. Mr. Garcia finished fifth beaten a nose for fourth. The last jump before the wire, he turned his crop down on a horse that was stopping, he was cautioned he needs to ride through the wire and not to the wire. He said he understood, warning.

Tiago Pereira appeared as directed to review the start of the tenth race yesterday. Soon after the start his mount veered in front of the horse to his inside who broke slow. After a thorough review it was evident that his mount shied away from a horse to his outside, warning.

4/16/17<sup>2</sup>**AGUIRRE CASE #17SA0074**

A complaint was filed by blacksmith Louis "Larry" Damore against trainer Paul Aguirre for a debt owed in the amount of \$1,600. After Mr. Aguirre delivered a check to Mr. Damore in the full amount the complaint was withdrawn.

4/16/17<sup>3</sup>**MC CAUGHAN HEARING CASE #17SA0076**

A complaint was filed by Official Veterinarian Deborah Lamparter against practicing veterinarian Marc McCaughan for a violation of CHRB rule #1842 (Veterinarian Reports). Dr. McCaughan testified he did fax his confidentials to the Receiving Barn in a timely manner but was unaware the fax did not go through until several hours later. Dr. McCaughan received an official warning for the same offense last September, therefore the following ruling was issued.

**RULING LATS #125**

Veterinarian MARC MCCAUGHAN is fined ONE HUNDRED DOLLARS (\$100.00)\* for violation of California Horse Racing Board rule #1842 (Veterinarian Report – failure to submit veterinarian report in a timely manner).

4/16/17<sup>4</sup>**RODRIGUEZ RULING CASE #17SA0059 (REF: 04-14-17<sup>2</sup>)**

The CHRB filed a complaint against exercise rider Jose Rodriguez for alleged violations of CHRB rules 1489 (Grounds for denial or Refusal of License).

On March 11, 2011, Mr, Rodriguez was placed on Deferred Entry of Judgment for 24 months in Ventura County Superior Court (Violation of 11350 (A) HS Possession of Controlled Substance) case #2011004261. On December 14, 2016, Rodriguez was convicted of 11350 (A) HS, misdemeanor, after admitting to a violation of Deferred Entry of Judgment. He was sentenced to 60 days in the Ventura County jail and he was released after serving 30 days. After his appearance today Mr. Rodriguez' license is reinstated and he is ordered to abide by the stipulations in the following ruling. Mr. Rodriguez did drug test today and the results were negative.

**RULING LATS #126**

Having appeared before this Board of Stewards, exercise rider JOSE RODRIGUEZ is reinstated. LATS Ruling #124 dated 15 April 2017 at Santa Anita Park is set aside. Furthermore, for violation of California Horse Racing rule #1489 (Deferred judgment violation), Mr. Rodriguez is ordered to sign a testing agreement with California Horse Racing Board and test immediately. Mr. Rodriguez is also required to enter into a contract with the Winners' Foundation and abide its terms. Lastly, Mr. Rodriguez' license is probationary for its term.

4/16/17<sup>5</sup>**VOIDED CLAIM**

It was reported by Official Veterinarian Tim Grande that #7 Formulate in the ninth race was placed on the Veterinarian's List for unsoundness thus voiding the claim. The horse was returned to the stable of Simon Callaghan.

4/46/17<sup>6</sup>**DECLARATIONS, CHANGES AND REFUNDS**

1st race	#2 Conquest So True (Tr. S. Knapp) claimed by Tr. P. D'Amato #3 Josephine's Moment (Tr. E. Truman) claimed by Tr. J. Brocklebank Refund \$0
2nd race	#3 Got Even (Tr. B. Spawr) claimed by Tr. P. Miller Refund \$0, Minus Pool \$917.19
3rd race	#5 Freddie's Dream (Tr. J. Carava) claimed by Tr. P. Miller Refund \$0
4th race	No Changes Refund \$0
5th race	#5 Papa Royale (Tr. E. Kruljac) claimed by Tr. D. O'Neill Refund \$0
6th race	#1 Brolazza (Tr. G. Piccioni) ambulance removed post race Refund \$0
7th race	No Changes. Refund \$0
8th race	No Changes Refund \$10.00, Minus Pool 854.09
9th race	#1 American League (Tr. M. McCarthy) claimed by Tr. J. Bonde #7 Formulate (Tr. S. Callaghan) claimed by Tr. W.E. Morey <b>VOID</b> Refund \$51.96

4/16/17<sup>7</sup>**DAILY ATTENDANCE & HANDLE**

On track	15,986	\$1,229,053.20
SCOTWinc	4,800	\$1,433,443.00
Out of state + ADW	N/A	\$5,341,024.54
Total	20,786	\$8,003,520.74

**VETERINARIAN REPORT - Week of: 4/10/17 – 4/16/17**

Horses on Vet List	15
Horses off Vet List	17
Shock Wave Therapy	28
Fatalities	0
Medication	0

**ATTENDANCE REPORT** – The full Board of Stewards was in session each day during this reporting period for both morning business and the afternoon racing program.

**SUMMARY**

	Fri 4/14/17	Sat 4/15/17	Sun 4/16/17	Week	Meet
Accidents	0	0	0	0	13
Cal-Bred Races	2	1	1	4	40
Claims	6	4	6	16	211
Disqualifications	1	0	0	1	15
Inquiries	1	0	0	1	32
Refunds	8	9	2	19	409
Rulings	1	1	2	4	126
Voided Claims	2	1	1	4	37

Respectfully submitted,

---

 Kim Sawyer, Steward

---

 Grant W. Baker, Steward

---

 C. Scott Chaney, Steward

---

 Luis Jauregui, Safety Steward