

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825



REPORT OF THE BOARD OF STEWARDS

LOS ANGELES TURF CLUB, INC.

SANTA ANITA PARK

To the California Horse Racing Board

Honorable Edmund G. Brown Jr., Governor
Honorable Chuck Winner, Chairman
Honorable Madeline Auerbach, Member
Honorable Steve Beneto, Member
Honorable Jesse H. Choper, Member
Honorable George Krikorian, Member
Honorable Araceli Ruano, Member
Honorable Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
Robert B. Miller, Chief Counsel CHRB
Darrel McHargue, Chief Steward

Week 4 – Thursday, January 12, 2017 – Monday, January 16, 2017

WEDNESDAY

1/11/17¹

ENTRY DAY

The Santa Anita racing office was open today accepting entries for Saturday, January 14. Nine (9) races will be carded with sixty six (66) horses scheduled to start. The Grade 2 \$200,000 La Canada Stakes will be the featured race. Steward Kim Sawyer was on duty.

THURSDAY

1/12/17¹

RACING OFFICE

Santa Anita racing office was open today accepting entries for Sunday, January 15. Nine (9) races will be carded. Sixty-six (66) horses are scheduled to start.

1/12/17²

RACING CANCELLED

This morning at 8:32 a.m. the racing office announced that today's eight (8) race card had been cancelled. Vice President Racing/Racing Secretary Rick Hammerle attributed the cancellation to the "extensive rains" that are being experienced here in Arcadia.

1/12/17³

STEWART ELLIOTT FILM REVIEW

Jockey Stewart Elliott appeared in the stewards' office in order to review his ride in the fourth race on Sunday, January 8. Jockey Elliott (#7 Potente Alba) finished second in this race. Safety Steward Luis Jauregui was also present for today's hearing.

Film review today shows #7 Potente Alba lug out approaching the ¼ pole and continue to do so after the field heads for the finish line. He brushed eventual winner #8 Mr. Hink (Norberto Arroyo Jr.). The majority felt while Jockey Elliott's corrective actions could have been better, this ride did not rise to the suspension level. Steward Sawyer felt there was not attempt to correct therefore voted for a three (3) day suspension.

1/12/17⁴

JONATHAN LUTTON & LESLIE LUTTON (THOROUGHBRED STOCK EXCHANGE)(REF:1/8/17³)

RULING LATS #024

Owners JONATHAN LUTTON and LESLIE LUTTON (dba THOROUGHBRED STOCK EXCHANGE), having failed to respond to a complaint alleging violation of California Horse Racing Board rule #1876 (Financial Responsibility – O'Neill Racing Stable - \$3,096.20) are suspended for violation of California Horse Racing Board rule #1547 (Failure to Appear).

During the term of suspension, all licenses and license privileges of JONATHAN LUTTON and LESLIE LUTTON (dba THOROUGHBRED STOCK EXCHANGE) are suspended and pursuant to California Horse Racing Board rule #1528 (Jurisdiction of Stewards to Suspend and Fine) JONATHAN LUTTON and LESLIE LUTTON are denied access to all premises in this jurisdiction.

The ruling above was issued after Doug O'Neill's bookkeeper, Sharla Saunders appeared on behalf of O'Neill racing for a hearing here on Sunday, January 8. This complaint (CASE #16LT0033) was filed against Owner's Leslie Lutton and Jonathan Lutton who does business under the stable name (Thoroughbred Stock Exchange). Both of these owners failed to appear for this hearing.

FRIDAY

1/13/17¹

RAFAEL BEJARANO FILM REVIEW

Jockey Rafael Bejarano appeared in the stewards office today in order to review his ride in the 3rd Race from Sunday, January 8, 2017. He was accompanied by his agent Tora Yamaguchi. In this six (6) furlong race Jockey Bejarano finished third aboard #4 Bolitar. This horse was lugging in during the stretch drive and Bejarano did a good job avoiding fifth place finisher #1 Sleepwalker (Joseph Talamo) who was racing along the rail to his inside. However film review shows Bejarano strike his horse (left handed) in the nose with his riding crop after he had straightened his mount. After the film review Bejarano agreed, and regretted, that he misused his riding crop. (Note: The high definition 70 inch TV monitor in our office and the new state of the art video system in the judges' stand here at Santa Anita have considerably helped the stewards identify these type of occurrences). In a unanimous decision the stewards issued the following ruling.

RULING LATS #025

Jockey RAFAEL BEJARANO who rode BOLITAR in the third race on January 8, 2017, at Santa Anita Race Track, is fined THREE HUNDRED DOLLARS (\$300.00)* for violation of California Horse Racing Board rule #1688 (Use of Riding Crop – misuse – hit on the head).

1/13/17²

SEVENTH RACE STEWARDS REVIEW

The stewards announced following this 6 ½ furlong main track event that a stewards review would be conducted. Approaching the 5 ½ furlong marker #5 Miss Sunset (Tyler Baze) is one (1) length in front of the rest of the field. At this point this three (3) year old filly jumps over some small ridges of mud. She subsequently loses all of her action and drops back to last place which is where she ended up finishing at odds of 2/1. None of the other horses in the race were affected. The stewards felt there was no reason for an inquiry and made this race official.

1/13/17³**DECLARATIONS, CHANGES AND REFUNDS**

1ST Race #2 BLAZINGULCH (Tr. C . Sise) claimed, D. O'Neill, trainer
#6 YODLERS WAY (Tr. M. Harrington) claimed, H. Palma, trainer, **VOID-Lame – Will Farmer DVM**

4th Race Jockey Change: #4 FORTHENINETEEN F. Prat for N. Arroyo Jr.—Hurt Shoulder

5th Race Declaration: #1 RUN FOR RETTS (Tr. S. Miyadi) Stewards-Track Condition
Refund \$499.00

7th Race **OFF TURF**

7th Race Declarations: #1 BEAU RECALL (IRE) (Tr. S. Callaghan) Off Turf - Stewards
#8 HOT IN THE CITY (Tr. T. Knight) Off Turf - Stewards
Refund Total: \$2,262.02

8th Race Declarations: #2 TANZOR (Tr. V. Brinkerhoff) Track Condition-Stewards
#8 PASHITO (Tr. M. McCarthy) Injured-Will Farmer DVM
Refund Total: \$11,938.48

1/13/17⁴**DAILY ATTENDANCE & HANDLE**

On track	2,702	\$525,224.50
SCOTWinc	4,165	\$1,274,835.80
Out of state + ADW	N/A	\$3,621,320.37
Total	6,867	\$5,421,380.67

SATURDAY1/14/17¹**SHELBE RUIS HEARING (CASE#17SA0007)**

Irish Rose Farms (Norman Day & Debra Opp) filed a complaint that was heard here in our office this morning. The farm contends that Trainer Shelbe Ruis owes them \$912.00 for horse lay-up services. Shelbe Ruis and Norman Day appeared in our office today. Safety Steward Luis Jauregui was also present. Two (2) horses were involved in this board bill. Ms. Ruis wrote a check in the amount of \$480.00 for a past due charge regarding one of those horses. Ms. Ruis father, Mick Ruis, appeared in our office later in the morning and paid the remaining \$432.00 of the bill. This case has now been settled.

1/14/17²**FILM REVIEW**

Jockey Mario Gutierrez appeared in the stewards office today to review his ride in yesterday's 5th race. Jockey Gutierrez was aboard #5 Lady Tapit who finished second in this 1/16th mile main track event. Film review this morning shows Gutierrez use his riding crop four (4) or five (5) consecutive times without giving his mount a chance to respond in the final portion of this race. In a unanimous decision the stewards issued the following ruling.

Ruling LATS 026

Jockey MARIO GUTIERREZ is fined ONE HUNDRED DOLLARS (\$100.00)* for violation of California Horse Racing Board rule 1688 (b)(6) (Use of Riding Crop – more than three times in succession without giving the horse a chance to respond – first offense in last 60 days), during the fifth race at Santa Anita Race Track on January 13, 2017.

1/14/17³**THIRD RACE INQUIRY/DISQUALIFICATION**

The stewards posted the Inquiry sign following this 1 1/16th mile main track event. From the 1/8th mile marker to the finish original first place finisher #4 Winning Causeway (Tyler Baze) drifted out approximately eight (8) horse paths from his original inside home stretch position. This forced original second place finisher #5 Roman Tizzy

(Santiago Gonzalez) to lose considerable ground while attempting to get the lead in this race. #5 Roman Tizzy was beaten an official margin of a 'head' for the win.

The stewards received a patrol judge report, reviewed all camera angles and spoke to of the riders involved. While there appeared to be no contact between these two (2) rivals the stewards felt that there was interference in this race that caused the second place finisher to lose ground. The stewards interpreted that this type of interference is covered by CHRB Rule #1699(c) (Riding Rules). In a unanimous decision #4 Winning Causeway was disqualified and placed second. Jockey Tyler Baze was ordered to film review with the stewards.

ORIGINAL ORDER OF FINISH 4-5-1-2-3-6

OFFICIAL ORDER OF FINISH 5-4-1-2-3-6

1/14/17⁴

MINUS SHOW POOL

The Bob Baffert trained #1 Vale Dori(ARG)(Mike Smith) won today's \$200,000 La Canada Stakes (Grade II) which was the fifth race on the card. \$632,101 was wagered to show on this mare in a race with five(5) entrants. The entire show pool was \$682,814. Santa Anita Mutuel Manager Randy Hartzell reported a minus show pool of \$129,651 following this race.

1/14/17⁵

DECLARATIONS, CHANGES AND REFUNDS

1st Race **OFF TURF**
 1st Race Declarations #1 PEACH COVE(NZ) (Tr. R. Mandella) Off Turf-Stewards
 #4 POSTER GIRL(GB) Tr. (M. Chew) Off Turf-Stewards
 #5 SCARLET HONEY(FR) (Tr. L. Powell) Off Turf-Stewards
 #6 CRIMSON AND GOLD (Tr. P. Gallagher) Off Turf-Stewards
 #9 SUGARY (Tr. M. Jones) Off Turf-Stewards
 Refund Total: \$5,048.72

2nd Race Jockey Change #6 KRISTIE'S HEART R. Bejarano for N. Arroyo Jr. (shoulder)
 4th Race #5 NEXTDOORNEIGHBOR (Tr. P.Miller) claimed, S. Knapp, trainer
 6th Race **OFF TURF**
 6th Race Declarations #4 DIVA LA MOUSSE (Tr. B. Baffert) Off Turf-Stewards
 #6 ARROWSPHERE (Tr. P. Eurton) Off Turf-Stewards
 #7 GO ARGENTO (Tr. S. Callaghan) Off Turf-Stewards
 #8 MAJESTIC VIXEN (Tr. P. Eurton) Off Turf-Stewards
 #9 OF NOTE (Tr. M. Chew) Off Turf-Stewards
 #11 FRIED EGG (Tr. P.H. Lobo) Off Turf-Stewards
 #12 SIBERIAN IRIS(IRE) (Tr. R. Mandella) Off Turf-Stewards
 Refund Total: \$2,842.62

8th Race Jockey Change #2 CAUSA - K. Desormeaux for N. Arroyo Jr. (Shoulder)
 8th Race #4 THE ALL BUTTON (Tr. A. Garcia) claimed, E. Truman, trainer
 9th Race Declaration #5 BAROQUE (Tr. A. Sherman) Not Gate OK'd – Stewards
 Refund: \$643.82

9th Race #7 TAKE CITY BY STORM (Tr. S. Miyadi) claimed, D. O'Neil, Tr.

1/14/17⁶

DAILY ATTENDANCE & HANDLE

On track	9,242	\$1,450,213.00
SCOTWinc	5,658	\$1,787,873.60
Out of state + ADW	N/A	\$5,904,897.42
Total	14,900	\$9,142,984.02

SUNDAY
1/15/17¹

TYLER BAZE FILM REVIEW(REF:01/14/17³)

Jockey Tyler Baze appeared in the stewards office in order to review his ride aboard #4 Winning Causeway who finished first but was disqualified and placed second for stretch interference in yesterday's third race. Film review shows Jockey Baze lets his horse drift out to #5 Roman Tizzy (Santiago Gonzalez) approaching the 1/8th pole. Even though there did not appear to be contact between these two (2) rivals #5 Roman Tizzy was cost valuable ground because #4 Winning Causeway continued to drift out and take his path. Jockey Baze used his riding crop while his horse continued to drift well to the middle of the racetrack. The stewards all felt he could have done a much better job of keeping his mount straight by grabbing his riding rein but it appeared he didn't want to stop his horses momentum regardless of the prospects of interfering with his second place rival. In a unanimous decision the stewards issued the following ruling.

RULING LATS #27

Jockey TYLER BAZE, who rode WINNING CAUSEWAY in the third race at Santa Anita Race Track on January 14, 2017 is suspended for THREE (3) racing days (January 21, 22 and 26, 2017) for failure to make the proper effort to maintain a straight course and causing interference in the stretch, which resulted in the disqualification of his mount from first to second position. This constitutes a violation of California Horse Racing Board rule 1699 (Riding Rule – Careless Riding).

Pursuant to California Horse Racing Board rule #1766 (Designated Races), the term of suspension shall not prohibit participation in designated races.

1/15/17²

COREY NAKATANI FILM REVIEW

Jockey Corey Nakatani appeared in the stewards office in order to review his ride aboard #8 Place des Vosges who finished fifth in yesterday's 1st Race which was contested at 1 1/16 miles on the main track. Jockey Nakatani veered in immediately after the start and made contact with eventual third place finisher #3 Backinthacademy (Rito Almanza). Jockey Almanza did not lodge an objection in this race having defeated #8 Place des Vosges. He did however call the stewards after the race to make sure we observed the incident. We assured him that we did. After the aforementioned contact Almanza was forced to steady his horse sharply, nearly clipping heels. We told Jockey Nakatani at film review that we felt he made little effort to keep his mount straight after the start. He appeared to understand the reasoning that went into the stewards following unanimous decision.

RULING LATS #28

Jockey COREY NAKATANI, who rode PLACE DES VOSGES in the first race at Santa Anita Race Track on January 14, 2017 is suspended for THREE (3) racing days (January 21, 22 and 26, 2017) for failure to make the proper effort to maintain a straight course and causing interference shortly after the start. This constitutes a violation of California Horse Racing Board rule 1699 (Riding Rule – Careless Riding).

Pursuant to California Horse Racing Board rule #1766 (Designated Races), the term of suspension shall not prohibit participation in designated races.

1/15/17³

NORBERTO ARROYO FILM REVIEW

Jockey Norberto Arroyo Jr. appeared in the stewards office in order to review the start of the third race on Friday, January 13. He was scheduled to review films with the stewards yesterday (January 14) but failed to appear or call the stewards to request a postponement. He also did not request to take off his two(2) mounts due to a shoulder issue but rather had his agent/brother Nelson Arroyo call the stewards. In a unanimous decision the following ruling was issued.

RULING LATS #29

Jockey NORBERTO ARROYO, JR. is fined ONE HUNDRED DOLLARS (\$100.00)* for violation of California Horse Racing Board rule #1930 (Obedience to Security Officers and Public Safety Officers – failure to attend film review and request removal from his mounts on January 14, 2017).

1/15/17⁴**ROBERT BAFFERT COMPLAINT (CASE NO.16SA243)**

Assistant Trainer Jim Barnes appeared in the stewards office today representing his employer, Trainer Bob Baffert. The Baffert trained American Gal had a post race sample tested following her victory in the third race October 23 here at Santa Anita. U.C. Davis Laboratories reported that the Class 4 drug Phenylbutazone was found in the test sample and reported at a level of 2.69 ug/ml. Trainer Baffert chose to have a split ample sent to Truesdail Laboratories (Tustin, CA). The reported level of that split was 2.57 ug/ml. Present at today's formal hearing was CHRB Investigator Jim Hamilton who presented a 23 page complaint packet. Also present was Michelle Derieg (Weinstein Court Reporters LLC) who transcribed the proceedings. CHRB rules #1844(C)(1), #1843(A)(D),#1843.1(B) and #1887.

Hearing testimony indicated that this horse was treated at approximately 27 hours before post time by attending veterinarian by Dr. Vincent Baker. CHRB Veterinarian Tim Grande reviewed medication procedures with another Baffert assistant, Pasqual Rivera following this alleged overage. Dr. Grande recommended that treatment should not be inside 30 hours prior to race time. Trainer Barnes told the stewards that procedures at the Baffert barn have already been put in place in an attempt to prevent this type of occurrence from happening again. The stewards unanimously voted to issue the following ruling.

RULING LATS #30

Trainer ROBERT BAFFERT, who started the horse AMERICAN GAL which finished first in the third race at Santa Anita Race Track on October 23, 2016 is fined TWO HUNDRED FIFTY DOLLARS (\$250.00)* pursuant to California Horse Racing Board rule #1887 (Trainer to Insure Condition of Horse) for violation of California Horse Racing Board rule #1843(a)(d) (Medication, Drugs and Other Substances) and rule #1844(c)(1) (Authorized Medication – Phenylbutazone – in excess of permitted level – 2.69 ug/ml – Class 4).

1/15/17⁵**SAFETY STEWARD CHANGE**

Safety Steward Luis Jauregui is off today due to personal reasons. Safety Steward David Nuesch will replace him.

1/15/17⁶**DECLARATIONS, CHANGES AND REFUNDS**

1st Race Jockey Change #5 Coach House Girl C. Bonnet for Gonzalo Ulloa Perez (sick)

2nd Race #6 Pomp and Party (Tr. P. D'Amato) claimed, J. Sadler, -VOID-unsound, Will Farmer DVM

4th Race Declarations #4 Klondike Creek (Tr. R. Mandela) Off Turf-Stewards
#5 UMBER Star (Tr. P. Gallagher) Off Turf-Stewards
#8 Great (Tr. P. Campestral) Off Turf-Stewards
Refund Total: \$851.30

5th Race Declaration #3 Autumn Twilight (Tr. S. Miyadi) Flipped in paddock – Dana Stead DVM. Refund \$10,427.60

5th Race #2 Conquest So True (Tr. V. Erin) claimed, S. ,Trainer

6th Race #6 Bronzing(FR) (Tr. T.R. Bell II) claimed, D. O'Neill, trainer

7th Race Declaration #4 Road Test (Tr. D. O'Neill) Injured-Dana Stead DVM
Refund: \$4,214.28

9th Race Declarations #2 G. A. Betting (Tr. H. Palma) Sick - Will Farmer DVM
 #6 Jess Jana (Tr. J. Caravan) Track Condition—Stewards
 Refund: \$3,773.65

1/15/17⁷

DAILY ATTENDANCE & HANDLE

On track	5,987	\$1,148,250.30
SCOTWinc	5,168	\$1,533,995.90
Out of state + ADW	N/A	\$4,975,151.08
Total	11,155	\$7,657,397.28

MONDAY

1/16/17¹

POTENTIAL APPRENTICE RIDER

Exercise Rider George Wood appeared in the stewards office in order to obtain an apprentice jockey license. Mr. Wood stated that he last winner was at Nottingham, England on May 5, 2016. The stewards requested his race riding background via official documentation from Europe before we go forward with his licensing request.

1/16/17²

KURT HOFFMAN DVM/GERALD GIBBS MATTER (REF:DM 12/02/16(2))

Private Veterinarian Kurt Hoffman called the stewards office regarding a financial complaint agreement he has with Owner Gerald Gibbs. Dr. Hoffman told the stewards that Owner Gibbs has failed to adhere to the terms of the financial agreement that called for a first payment of \$2,500 by January 1, 2017. The total amount due in this matter is \$11,643.30. The stewards are now issuing the following ruling. The decision is unanimous.

RULING LATS #31

Owner GERALD GIBBS, having failed to comply with a financial agreement is suspended for violation of California Horse Racing Board rule #1876 (Financial Responsibility – \$11,643.30 – Kurt Hoffman DVM - veterinary services).

During the term of suspension, all licenses and license privileges of GERALD GIBBS are suspended and pursuant to California Horse Racing Board rule #1528 (Jurisdiction of Stewards to Suspend and Fine). GERALD GIBBS is denied access to all premises in this jurisdiction.

1/16/17³

UNLICENSED SHOER

Owner/Trainer/Outrider Nick Acuna was spotted by Safety Steward Luis Jauregui shoeing a pony with his own equipment at the pony barn on the Santa Anita backside this morning. Safety Steward Jauregui ordered Acuna to our office to discuss the issue of shoeing horses without a license. Acuna told the stewards he was “only tacking on a shoe”. The stewards told Mr. Acuna that he was working out of his license category nonetheless. The stewards will now discuss our meeting with Mr. Acuna. More to follow.

1/16/17⁴

RACING OFFICIAL CHANGE

Paddock/Patrol Judge Karen Denovel is off today due to personal reasons. Stakes Coordinator Chris Merz will fill in.

1/16/17⁵

FIFTH RACE INQUIRY

The stewards posted the Inquiry sign after viewing an incident at the ¼ mile marker in this one (1) mile main track event. Tenth (last) place finisher #5 Stone Hands (Corey Nakatani) checks very sharply from between horses at this juncture. The stewards reviewed the actions of all horses but of particular interest were the actions of fifth

place finisher #9 Seau (Mario Gutierrez) and eighth place finisher #3 Harrivan (Norberto Arroyo, Jr.). Race replays were inconclusive as to who or what was responsible to the sharp steadying of #5 Stone Hands. After receiving a patrol judge report and speaking to the jockey's involved the stewards, in a unanimous decision, made 'no change' in the order of finish. Jockey's Norberto Arroyo Jr. and Mario Gutierrez were ordered to film review with the stewards.

1/16/17⁶**DECLARATIONS, CHANGES AND REFUNDS**

2nd Race #3 CIOPPINO PASADINO (Tr. G. Sherlock) claimed, R. Becerra, trainer

3rd Race #1 DEEP CONSIDERATION (Tr. P. Eurton) claimed, D. O'Neill, trainer
VOID-unsound-Dana Stead DVM
#4 OUT OF PATIENCE (Tr. B. Spawr) claimed, J. Periban, trainer
#7 CELEBRATED TALENT (Tr. R. Baltas) claimed, P. Miller, trainer

4th Race Declaration #8 ANGEL ERIA (IRE) Tr. N. Drysdale Sick-Dana Stead DVM
Refund: \$2,846.09

6th Race Jockey Change #11 To B. AVA C. BONNET for M. Ramirez, (sick)

6th Race Declaration #4 SUMMERTIMMISCHIEF (Tr. K. Walsh) Sick-Dana Stead DVM
Refund: \$2,166.44

8th Race Jockey Change #3 RUN SCOUT RUN K. Stra for C. Nakatani, (hurt foot)

8th Race #7 SLY HUMOR (Tr. S. Callaghan) claimed, J. Hollendorfer, trainer

9th Race #3 SOONER TIME (Tr. V. Cerin) claimed, G. Papaprodromou, trainer
#9 IPRAY (Tr. W. E. Morey) claimed, J. Sierra, trainer

10th Race #10 BOURBONMADEMEDOIT (Tr. D. Blacker) Unsound-D. Stead DVM
#14 EAGLEMAKER (Tr. N. Drysdale) Also Eligible-Stewards
Refund Total: \$1,180.40

1/16/17⁷**DAILY ATTENDANCE & HANDLE**

On track	8,813	\$1,387,446.40
SCOTWinc	5,164	\$1,675,398.30
Out of state + ADW	N/A	\$7,436,907.80
Total	13,977	\$10,499,752.50

VETERINARIAN REPORT - Week of: 1/9/17 – 1/15-/17

Horses on Vet List 6
Horses off Vet List 4
Shock Wave Therapy 14
Fatalities 0
Medication 2

ATTENDANCE REPORT – The full Board of Stewards was in session each day during this reporting period for both morning business and the afternoon racing program.

SUMMARY

	Thurs. 1/12	Fri. 1/13	Sat. 1/14	Sun. 1/15	Mon. 1/16	Week	Meet
Accidents	Cancelled	0	0	0	0	0	2
Cal-Bred Races	Cancelled	0	0	0	0	0	11
Claims	Cancelled	3	3	3	6	15	45
Disqualifications	Cancelled	0	1	0	0	1	4
Inquiries	Cancelled	0	1	0	1	2	6
Refunds	Cancelled	5	14	7	3	29	102
Rulings	1	1	1	4	1	8	31
Voided Claims	Cancelled	1	0	1	1	3	10

Respectfully submitted,

Grant W. Baker, Steward

C. Scott Chaney, Steward

Kim Sawyer, Steward

Luis Jauregui, Safety Steward