

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825



REPORT OF THE BOARD OF STEWARDS

LOS ANGELES TURF CLUB, Inc.

Santa Anita Park

To the California Horse Racing Board

Honorable Edmund G. Brown Jr., Governor
Honorable Chuck Winner, Chairman
Honorable Richard A. Rosenberg, Vice-Chairman
Honorable Madeline Auerbach, Member
Honorable Steve Beneto, Member
Honorable Jesse H. Choper, Member
Honorable George Krikorian, Member
Honorable Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
Robert B. Miller, Chief Counsel CHRB

Week 4 – Sunday, October 18, 2015 – Sunday, October 25, 2015, closing day

SUNDAY

10/18/15¹

VAN DYKE RULING

We issued the following ruling when jockey DRAYDEN VAN DYKE rode a designated race out of state while under suspension:

LATC #026

Jockey DRAYDEN VAN DYKE is suspended for ONE (1) ADDITIONAL RACING DAY (October 25, 2015) pursuant to California Horse Racing Board rule #1766(g) (h) (Designated Races – rode in a designated race in another jurisdiction while under suspension).

10/18/15²

RAMON GARCIA RULING

After a fair amount of deliberation, we issued a ruling in the GARCIA matter. This case involved a clear case of program training. Fortunately, the subterfuge was discovered before the race and the horse was scratched. The CHRB filed a complaint against the trainer under whose name the horse was entered, but not the actual trainer of the horse. Unfortunately, there is not a solid CHRB rule holding the program trainer culpable in these situations. The only violation under the rules was memorialized in the following ruling:

LATC #029

Pursuant to California Horse Racing Board rule #1629 (Penalty for Late Declaration), Trainer RAMON GARCIA is fined FOUR HUNDRED DOLLARS (\$400.00)* for causing a late declaration from the third race at Los Alamitos Race Track on September 13, 2015.

10/18/15³**INQUIRY/NO CHANGE**

Following the running of the fourth race we posted the inquiry sign to examine an incident involving the winner #9 AOTEAROA and #7 BLUEGRASS BRONCO who was unplaced. Review of the video revealed that while both horses were partly to blame for the contact, the lion's share of the responsibility could be attributed to #7 BLUEGRASS BRONCO who drifted out into the path of the eventual winner. After speaking with the patrol judges and riders involved, we voted to make no change to the original order of finish because the interfeerer finished behind the sufferer of the interference.

10/18/15⁴**DECLARATIONS, CHANGES and REFUNDS**

1st Race No changes
 2nd Race No changes; Minus Pool \$960.67
 3rd Race #3 BROADWAY NIKA – Claimed – B. Spawr, trainer
 Refund \$5.00
 4th Race #10 SURFCUP – pulled up, dropped rider post race, euthanized on horse ambulance – see attached reports
 Refund \$89.00
 5th Race #10 LUCKY MORGAN – Veterinarian scratch, unsound – Dr. Grande
 #2 WHAT WE DOING – Rider change – M. Perez for E. Flores (injured)
 Refund \$336.85
 6th Race No changes; Refund \$23.00
 7th Race #1 BABY BLAKE – Veterinarian scratch, injured – Dr. Stead
 #7 DYNAMITE RIDE – Claimed – P. Miller, trainer
 Refund \$905.34
 8th Race No changes; Refund \$297.70
 9th Race #2 BROKEN HALO – Veterinarian scratch, injured – Dr. Grande
 #7 DOWN TO EARTH – Veterinarian scratch, unsound – Dr. Stead
 Refund \$3,198.45

10/18/15⁵**DAILY ATTENDANCE & HANDLE**

On track	8,689	1,384,472.30
SCOTWinc	5,794	1,804,398.00
Out of state + ADW	N/A	5,277,501.33
Total	14,483	8,466,371.63

THURSDAY
 10/22/15¹

MENDEZ/LOPEZ/GARCIA HEARING

We held an informal hearing today regarding case numbers 15LT016, 15LT017, and 15DM096. These cases involved owner DIANA LOPEZ, van driver RICARDO MENDEZ and trainer MARIO GARCIA who conspired to run a horse that was being program trained by Garcia and shipped into the track on the morning of the race from a private farm (also a rule violation). The vanning slip was falsified to state that the horse was coming from Los Alamitos. The missing element was owner/trainer RAUL SOSA who seemed to be training the horses at the farm, has a relationship with the horse's owner and ordered the van driver to deliver the horse. Mr. Sosa has been ruled off of Los Alamitos and allowed his CHRB licenses to expire in August 2015. Decision to follow.

10/22/15²**DECLARATIONS, CHANGES and REFUNDS**

1st Race #2 REDNECK CRAZY – Veterinarian scratch, unsound – Dr. Grande
 #3 Sivali – Late veterinarian scratch, flipped on horse path –
 Dr. Grande
 Refund \$5,885.52

2nd Race No changes; Refund \$155.28

3rd Race #1 COLLECT THE DEBT - Veterinarian scratch, unsound –
 Dr. Grande
 #7 HOPELESSLY IN LOVE – Veterinarian scratch, unsound –
 Dr. Grande
 #8 B K ALL THE WAY – Veterinarian scratch, unsound – Dr. Grande
 Refund \$1,932.40; Minus Pool \$1,924.74

4th Race No changes; Refund \$143.00

5th Race #9 LAMAAN – Stewards' scratch, also eligible
 #10 I'LL PLAY MY HAND -- Stewards' scratch, also eligible
 #11 BALLPLAYER -- Stewards' scratch, also eligible
 #12 ALLTHELEAVESRBROWN -- Stewards' scratch, also eligible
 Refund \$1,653.38

6th Race #2 PREACHER ROE – Veterinarian scratch, sick – Dr. Stead
 Refund \$461.88; Minus Pool \$959.65

7th Race No changes; Refund \$147.00

8th Race #9 SYNDICATED – Veterinarian scratch, unsound – Dr. Grande
 #3 SECRET COMMAND – Claimed – J. Periban, trainer
 #10 DEVIL RISING – Claimed – P. Miller, trainer
 Refund \$1,764.18

10/22/15³**DAILY ATTENDANCE & HANDLE**

On track	3,231	682,336.40
SCOTWinc	4,391	1,327,840.10
Out of state + ADW	N/A	4,524,133.57
Total	7,622	6,534,310.07

FRIDAY10/23/15¹**SANTA ANITA GELDING**

We held an informal hearing with Racing Director MIKE LAKOW and Clerk of the Course MELANIE STUBBLEFIELD to discuss case number 15SA285 which was a complaint filed by the CHRB alleging that the Santa Anita racing office improperly reported the true sex of a horse in the official program and therefore violated the "gelding rule." They acknowledged some responsibility but also blamed the trainer. Decision to follow.

10/23/15²**QUINONEZ HEARING**

Jockey ALONSO QUINONEZ came to the office for two complaints (case numbers 15LT023 and 15SA283) both of which involved welts and/or cuts on the flanks of two horses he had ridden. He was cautioned that fines only increase after these first two violations and counseled to purchase BHA approved whips that have more padding and are shorter than the whip he is now using. We issued the following the ruling:

LATC #030

Jockey ALONSO QUINONEZ is fined FIVE HUNDRED DOLLARS (\$500.00) for violation of California Horse Racing Board rule #1688(B)(3)(Use of Whips – causing welts or breaks in the skin)(two offenses), while riding WARREN'S WESLEY in the fourth race at Los Alamitos Race Track on September 20, 2015, and DRAGON FLOWER in the eighth race at Santa Anita Park on October 1, 2015.

10/23/15³**YAKTEEN HEARING**

We held a formal hearing into case number 15DM0038 which was a complaint filed against trainer TIM YAKTEEN alleging violation of the trainer insurer rule, among other rules, stemming from a post-race ace-promazine positive. We held the disqualification hearing several weeks ago. The CHRB was represented by DAG LEAH GERSHON and Mr. Yakteen was represented by attorney STEVE SCHWARTZ. The parties stipulated to the positive and therefore focused on the potential penalty. To this end, both attorneys argued the aggravating and mitigating factors outlined in CHRB rule 1843.3 although surprisingly little actual evidence was presented. Documents were taken into evidence and the record was then closed. We will publish a proposed statement of decision in the next thirty days.

10/23/15⁴**ALLDAY HEARING**

We held a formal hearing into case number 15SA284 which was a complaint filed by the CHRB against veterinarian DR. STEVE ALLDAY alleging violation of CHRB rule 1842 (Veterinarian Report). The CHRB was represented by Investigator JIM HAMILTON and Dr. Allday was represented by attorney STEVE SCHWARTZ. Official Veterinarian Dr. TIM GRANDE testified. The facts were undisputed: Dr. Allday's confidential veterinary reports were turned into the Official Veterinarian after the prescribed deadline. Decision to follow.

10/23/15⁵**FILM REVIEW**

Jockey VICTOR ESPINOZA came to the office to review his ride in the fourth race Sunday after which his horse was the subject of an inquiry. His horse was drifting inward during the stretch run and then he pulled it out abruptly when a jockey to his inside asked urgently for help. Unfortunately, there was a horse to his outside (the eventual winner) with whom he made hard contact. Given the circumstances, we believed a warning was appropriate.

Jockey TY KENNEDY came to the office to review his ride in the fourth race yesterday. He was presented with a difficult situation with respect to how the field set up approaching the quarter pole. He found himself in a reverse stair step formation and forced the horse on the rail to his inside to steady. We partially blamed the horse that was three wide, but also explained that when he drives into this position his first responsibility is to protect the horse to his inside. He was extremely receptive to advice and accepted the warning.

Jockey TIAGO PEREIRA came to the office to review his ride in the third race yesterday during which he was involved in an incident near the 5/16 pole. He was on the inside of three horses abreast when the middle horse was squeezed and forced to steady. While it appeared that the outside horse was mostly to

blame, Mr. Pereira's horse did appear to step out slightly, so we warned him to be very cautious when he finds himself in such close quarters.

10/23/15⁶

DECLARATIONS, CHANGES and REFUNDS

1st Race No changes
 2nd Race No changes; Refund \$6.00
 3rd Race #5 COLERFUL BRIDE – Late veterinarian scratch, unsound – Dr. Stead
 Refund \$18,303.50
 4th Race #5 MINEYEROWNMALONE – Veterinarian scratch, sick – Dr. Grande
 Refund \$229.72; Minus Pool \$3,218.27
 5th Race #3 SORESCA (IRE) – Claimed – J. Cassidy, trainer
 6th Race #7 KENJISLUCKY – Claimed – M. Glatt, trainer
 #7 KENJISLUCKY – Claim **VOIDED** – returns to R. Diodoro, trainer
 Refund \$2.00
 7th Race #1 I'LL WRAP IT UP – Claimed – T. West, trainer
 #4 MAL VERDE – Claimed – B. Wright, trainer
 #9 BACK TO BAKO – Claimed – J. Hollendorfer, trainer
 #12 BUYMEABOND – Claimed – J. Wong, trainer
 Refund \$15.00
 8th Race #3 WARRIOR THATCHER – Veterinarian scratch, unsound – Dr. Stead
 #6 SAILOR'S CRY – Veterinarian scratch, unsound – Dr. Stead
 #5 GEISTREITER – Late veterinarian's scratch, injured – Dr. Stead
 Refund \$23,951.81

10/23/15⁷

DAILY ATTENDANCE & HANDLE

On track	3,133	592,426.90
SCOTWinc	3,937	1,230,696.90
Out of state + ADW	N/A	3,928,734.28
Total	7,070	5,751,858.08

SATURDAY

10/24/15¹

FILM REVIEW

Apprentice rider BRUNO PANICUCCI came to the office to discuss his ride in the eighth race yesterday during which we believed that he put himself in an unsafe position as the field approached the quarter pole. We explained that he needs to have sufficient room to drive into spaces along the inner rail and to do it quickly, rather than linger in a bad position. He seemed to agree.

10/24/15²

SHARP COMPLAINT WITHDRAWN

Dr. NOLTON PATTIO withdrew his complaint (case number 15SA0297) against owner GEORGE SHARP when the alleged veterinary bill was paid in full.

10/24/15³

ALLDAY RULING (Refer 10/23/15⁴)

We published the following ruling after considering the ALLDAY matter heard yesterday:

LATC #031

Veterinarian STEVEN ALLDAY is fined THREE HUNDRED DOLLARS (\$300.00) for violation of California Horse Racing Board rule #1842

(Veterinarian Report – failure to submit veterinarian report in a timely manner).

10/24/15⁴**BUSBY COMPLAINTS WITHDRAWN**

Dr. MICHAEL MANNO withdrew his complaints (case numbers 15LT0020 and 15LT0019) against owners PAUL and CYNTHIA BUSBY when the alleged veterinary bill was paid in full.

10/24/15⁵**SANTA ANITA RULING (Refer 10/23/15¹)**

We issued the following ruling after considering the Santa Anita gelding error described yesterday. Mitigating circumstances included the fact that the horse was a first-time gelding, that the trainer was partly to blame, and that the error was corrected well before first post.

LATC #032

SANTA ANITA RACE TRACK is fined FIVE HUNDRED DOLLARS (\$500.00) for violation of California Horse Racing Board rule #1461 (Duty to Compile Official Program – failed to list gelding).

10/24/15⁶**INQUIRY/NO CHANGE**

Following the running of the second race, we posted the Inquiry sign to examine the stretch run of fifth place finisher #1 DREFONG who raced erratically. A review of the video revealed that the two horses that #1 DREFONG possibly interfered with both finished in front of him. Therefore, we unanimously made no change to the original order of finish.

10/24/15⁷**DECLARATIONS, CHANGES and REFUNDS**

1st Race #3 TULIRA CASTLE – Claimed – B. Spawr, trainer. Minus Pool \$371.23
 2nd Race No changes; Refund \$9.00
 3rd Race #5 ZORRO'S STORM – Rider change – M. Perez for E. Maldonado (sick)
 #8 FRAC CANDY – Claimed – P. Miller, trainer
 Refund \$29.00; Minus Pool \$4.37
 4th Race #14 GUNS LOADED – Stewards' scratch, also eligible
 Refund \$541.35
 5th Race #4 FILLY ON FIRE – Veterinarian scratch, sick – Dr. Grande
 #3 FAST MAGOO – Claimed – P. Miller, trainer
 Refund \$1,240.10
 6th Race #6 XANTO – Rider change – G. Nicolas for E. Maldonado
 Refund \$857.00
 7th Race #4 GROUND RULES – Stewards' scratch, stake
 #7 DADDY D T – Stewards' scratch, stake. Refund \$1,516.00
 8th Race #1 CAT BURGLAR – Veterinarian scratch, sick – Dr. Stead
 Refund \$2,556.80; Minus Pool \$7,994.95
 9th Race No changes

10/24/15⁸**DAILY ATTENDANCE & HANDLE**

On track	13,176	1,259,815.40
SCOTWinc	6,067	\$2,053,542.00
Out of state + ADW	N/A	6,177,138.20
Total	19,243	9,490,495.60

CLOSING DAY
SUNDAY
 10/25/15¹

FILM REVIEW

Jockey MIGUEL PEREZ came to the office of his own volition to review the third race yesterday. He believed that the assistant starter prevented his horse from getting a fair break. He believed that the assistant kicked his horse in order to help it break but that it had the opposite effect. There was no evidence of that behavior on the video but we explained that we would speak to the starter and warn him that kicking horses is not permitted.

Jockey ESWAN FLORES came to the office to review his ride in the third race on Thursday. We felt he put far too much pressure on the two horses to his inside as the field was approaching the quarter. He explained that it was race riding, which seemed accurate but we felt that he didn't do it very well. Warning. He was fined in the following ruling because he was a day late in appearing in our office:

LATC #033

Jockey ESWAN FLORES is fined FIFTY DOLLARS (\$50.00) for violation of California Horse Racing Board rule #1930 (Obedience to Security Officers and Public Safety Officers – failed to report for video review).

Jockey VICTOR ESPINOZA was fined in the following ruling for failing to come to the Stewards' office when directed to do so. This occurred twice this week for two separate rides:

LATC #034

Jockey VICTOR ESPINOZA is fined ONE HUNDRED DOLLARS (\$100.00) for violation of California Horse Racing Board rule #1930 (Obedience to Security Officers and Public Safety Officers – failed to report for video review – two offenses).

10/25/15²

DECLARATIONS, CHANGES and REFUNDS

1 st Race	No changes
2 nd Race	No changes; Refund \$81.00
3 rd Race	#7 SWISS SILVER – Rider change – F. Perez for C. Aragon (injured) Refund \$110.00
4 th Race	#4 BLESSHERHEART – Veterinarian scratch, sick – Dr. Grande #6 HEED TO SPEED – Claimed – P. Miller, trainer #9 PIRATE FLAG – Claimed – C. Sise, trainer Refund \$1,707.25
5 th Race	No changes; Refund \$432.00
6 th Race	#4 CANT DO THE DANSE – Stewards' scratch, double medication #6 DEKKO (ARG) – Veterinarian scratch, unsound – Dr. Stead #3 PLANET SUNSHINE – Claimed – J. Carava, trainer Refund \$3,477.85
7 th Race	#5 YOU KNOW I KNOW – Veterinarian scratch, injured – Dr. Grande Refund \$1,195.40

8th Race #9 VENTURE CAPITALIST – Rider change – M. Perez for F. Valdez (injured)
 #10 VENTURE CAPITALIST – pulled up stretch, turf (euthanized) – (see attached report)
 No refund

9th Race #6 LUCKY REALITY – Claimed – Steve Miyadi, trainer

10/25/15³**DAILY ATTENDANCE & HANDLE**

On track	12,362	1,421,677.80
SCOTWinc	5,524	1,698,163.00
Out of state + ADW	N/A	6,399,275.55
Total	17,886	9,519,116.35

VETERINARIAN REPORT - Week of: 10/12/15 – 10/18/15

Horses on Vet List 17
 Horses off Vet List 14
 Shock Wave Therapy 37
 Deceased 1
 Medication

ATTENDANCE REPORT – The full Board of Stewards was in session each day during this reporting period for both morning business and the afternoon racing program.

SUMMARY

	Sun 10/18	Thurs 10/22	Fri 10/23	Sat 10/24	Sun 10/25	Week	Meet
Accidents	1	0	0	0	0	1	4
Cal-Bred Races	0	4	0	0	2	6	21
Claims	2	2	5	3	4	16	52
Disqualifications	0	0	0	0	0	0	6
Inquiries	1	0	0	1	0	2	12
Refunds	7	8	6	7	7	35	98
Rulings	2	0	1	2	2	7	34
Voided Claims	0	0	1	0	0	1	6

Attachments
 BOS:CSC:cr