

**California Horse Racing Board**

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**REPORT OF THE BOARD OF STEWARDS**

**Del Mar Fall Meet**

Del Mar Thoroughbred Club

**TO THE CALIFORNIA HORSE RACING BOARD**

Honorable Gregory L. Ferraro, D.V.M. Chairman  
Honorable Oscar Gonzales, Vice Chairman  
Honorable Dennis V. Alfieri, Member  
Honorable Fred Maas, Member  
Honorable Wendy Mitchell, Member  
Honorable Alex Solis, Member  
Rick Baedeker, Executive Director  
Darrel McHargue, Chief Steward

**WEEK 2: Thursday, November 14, 2019, through Sunday, November 17, 2019.**

**WEDNESDAY**

11/13/19<sup>1</sup>

**DARK DAY DUTIES**

Steward Kim Sawyer was in the office to monitor entries for Saturday and scratches for Thursday. The Racing office carded nine races for Saturday’s card.

**THURSDAY**

11/14/19<sup>1</sup>

**CATALAN FORMAL HEARING (case #19DM0020)**

This morning in the Stewards’ Office we heard the case filed by the CHRB against Exercise Rider JEFF CATALAN for violations of CHRB rule 1874 (Disorderly Conduct) and 1889 (Entry to Area Assigned to Trainer). The formal hearing was a continuation of the hearing on October 3, 2019 (ref 10/3/19<sup>3</sup>), in the Stewards’ Office at Santa Anita Park. Present at the hearing were Respondent and Exercise Rider Jeff Catalan, Translator Salvador Barrientos, Court Reporter Michelle Derieg, CHRB Administrator Sharyn Jolly, CHRB Investigator Greg Icamen and General Counsel Robert Brodnik, who presented the case for the CHRB. The CHRB is alleging that on August 1<sup>st</sup>, 2019 at Del Mar Race Track, Mr. Catalan was accused entering the stall of a horse while intoxicated. Testifying telephonically were Groom Francisco Hernandez and San Diego County Sheriff Officer Jessica Wilds. After hearing all the testimony and entering in all of the exhibits, into evidence the hearing came to close. Decision to follow.

11/14/19<sup>2</sup>

**FILM REVIEW**

Jockey JOHN JUDE was in to review Sunday’s third race, in which he used his riding crop despite already reaching his maximum placing. Mr. Jude stated his horse was still making a run, however we disagreed. We unanimously issued a warning.

11/14/19<sup>3</sup>

**GOOD RACING**

The eight-race card went without any major issues, other than the typical declarations.

11/14/19<sup>4</sup>

**DECLARATIONS, CHANGES, AND REFUNDS**

1<sup>st</sup> Race #5 Magical Kiss - Veterinarian scratch- Dr. Stead, sick  
 #6 Lucky Brite Eye - Veterinarian scratch - Dr. Grant, unsound  
 (NO SHOW WAGERING) Refund: \$2,110.27

2<sup>nd</sup> Race #5 Pas de Panique - Veterinarian scratch - Dr. Stead, injured  
 (NO SHOW WAGERING) Refund: \$954.89

3<sup>rd</sup> Race #1 Cosa Nostra (IRE) - Veterinarian scratch - Dr. Grant, unsound  
 Refund: \$241.72

4<sup>th</sup> Race No Changes. Refund: \$134.80

5<sup>th</sup> Race #2 Alvaaro - Veterinarian scratch - Dr. Grant, unsound  
 #4 Policy- Claimed by M. Glatt, trainer  
 #6 Oil Can Knight - Claimed by S. Knapp, trainer Refund: \$243.00

6<sup>th</sup> Race #5 Dulverto Darling - Veterinarian scratch - Dr. Farmer, unsound  
 Refund: \$1,454.93

7<sup>th</sup> Race No changes. Refund: \$120.75

8<sup>th</sup> Race #11 One Fast Bro - Stewards' scratch - also eligible  
 #13 Guinessey - Stewards' scratch - also eligible. Refund: \$1,242.81

11/14/19<sup>5</sup>

**DAILY ATTENDANCE & HANDLE**

On track	2,342	\$309,432.21
SCOTWinc	2,977	\$1,576,186.21
Out of state + ADW	N/A	\$3,134,671.44
Total	5,319	\$5,020,289.86

**FRIDAY**  
11/15/19<sup>1</sup>

**WALLACE RULING (CASE 319SA0190)**

The following ruling was issued after deliberating all the facts regarding the JERRY WALLACE formal hearing last month (ref 10/9/19<sup>3</sup>). Mr. Wallace's horse tested positive on May 19, 2019, after running in the sixth race at Santa Anita Park. This was Mr. Wallace's fourth offence in the last 365 days.

**DFTD RULING #009**

Trainer JERRY WALLACE, who started the horse FAST COTTON, the first place finisher in the sixth race at Santa Anita Park on May 19, 2019, is fined FIVE THOUSAND DOLLARS (\$5,000.00)\* pursuant to California Horse Racing Board rule #1887 (a) (Trainer to Insure Condition of Horse) for violation of California Horse Racing Board rule #1843 (a)(b)(d) (Medication, Drugs and Other Substances) and #1843.1 (b) (Prohibited Drug Substance – Dexamethasone – fourth Class 4 offense in 365 days).

11/15/19<sup>2</sup>

**FILM REVIEW**

Jockey RAFAEL BEJARANO was in to review Sunday's seventh race (1 mile turf), in which he drifted out approaching the finish line, causing the outside horse to steady slightly. Mr. Bejarano stated that his horse was lugging out and that it was difficult to maintain a straight path. The majority agreed that his horse was lugging out therefore, he was only issued a warning. Steward Baker voted for a suspension.

Jockey EVAN ROMAN was in our office to review Sunday's first race for using his riding crop after he had reached his maximum placing. Roman stated that his horse was a two-year-old and that he was teaching him to run. He felt he needed to urge him through the stretch. We felt he could urge him down the lane without using his riding crop. The following ruling was issued:

**DFTD RULING #010**

Jockey EVIN ROMAN, is fined THREE HUNDRED DOLLARS (\$300.00) \* for violation of California Horse Racing Board rule #1688 (b)(4) (Use of Riding Crop – Used riding crop when clearly out of the race), during the first race at Del Mar Racetrack on November 10, 2019.

Jockey Heriberto Figueroa was in to represent Jockey JORGE VELEZ for shifting out when entering into the stretch in Sunday’s second race. Mr. Velez was out of the country for personal issues. After reviewing the film, Mr. Figueroa stated that Mr. Velez drifted out from behind horse as safely as possible. We voted to not give Mr. Velez a penalty because the horse in front of him was pulling up, which caused the need to try and avoid that horse. Steward Sawyer voted for a suspension.

11/15/19<sup>3</sup>

**GOOD RACING**

The eight races went well with no inquiries or major issues to report.

11/15/19<sup>4</sup>

**DECLARATIONS, CHANGES, AND REFUNDS**

- 1<sup>st</sup> Race No changes. Refund: \$136.50
- 2<sup>nd</sup> Race #4 Love of Art (IRE) (Tr. R. Amescua) claimed by Tr. J. Metz - **VOID**  
Refund: \$126.50
- 3<sup>rd</sup> Race #9 Agave Queen - Stewards’ scratch - Enter back  
#11 Princess Mo - Stewards’ scratch - Enter back  
#13 Lighthouse - Stewards’ scratch - Enter back. Refund: \$1,158.45
- 4<sup>th</sup> Race #6 Tizanillusion - Veterinarian scratch - Dr. Bohannon, unsound  
Refund: \$1,182.70
- 5<sup>th</sup> Race #5 Super Bunny - Claimed by B. Spawr, trainer  
#10 Chalky (IRE) - Veterinarian scratch - Dr. Stead, injured  
Refund: \$191.00
- 6<sup>th</sup> Race No changes. Refund: \$0
- 7<sup>th</sup> Race #4 Sapphire Kid - Claimed by S. Knapp, trainer. Refund: \$0
- 8<sup>th</sup> Race No changes. Refund: \$0

11/15/19<sup>5</sup>

**DAILY ATTENDANCE & HANDLE**

On track	2,562	\$392,166.54
SCOTW/inc	3,967	\$2,088,088.95
Out of state + ADW	N/A	\$5,111,029.42
Total	6,529	\$7,591,284.91

**SATURDAY**

**JOCKEY APPROVAL**

11/16/19<sup>1</sup>

Jockey FRANCISCO ARRIETA was in our office seeking approval for a Jockeys license. Mr. Arrieta is coming in for the day from Zia Park Race Track in the state of New Mexico. We went over our rules and policies and signed off his license.

11/16/19<sup>2</sup>

**BAFFERT HEARINGS (CASE#19DM002 & CASE #19DMOO35)**

A formal hearing was conducted this morning to hear the case #19DM0022 in which a complaint was filed by the CHRB against Trainer ROBERT BAFFERT alleging rule violations of 1843(a)(b) &(d), 1843.1(a) and 1887(a) when his runner “Cruel Intentions” tested positive in a post race sample for the medication “Phenylbutazone” on July 23, 2019 in the third race at Del Mar Race Track. Present in the hearing was Trainer Bob Baffert and his Council Steve Schwartz, CHRB Investigator Angelica Merz, Court Reporter Michelle Derieg, observer Jennifer Metz and the Board of Stewards. Mr. Baffert took full responsibility for the violation and implemented a barn protocol when treating

horses to prevent future mistakes. After hearing all the facts and entering the evidence into the record, the hearing came to a close. Decision to follow.

Another formal hearing was held this morning to hear the case (case#19DM0035) in which Trainer ROBERT BAFFERT's horse "Éclair" tested positive for the medication "Phenylbutazone" in the post-race sample on August 3, 2019 at Del Mar Racetrack. The CHRB filed a complaint for violations of CHRB rules 1843(a)(b) &(d), 1843.1(a) and 1887(a). Present in the hearing was respondent Trainer Bob Baffert and his Council Steve Schwartz, CHRB Investigator Angelica Merz, Court Reporter Michelle Derieg, observer Jennifer Metz and the Board of Stewards. This was the second offense, seven (7) days apart, in which Mr. Baffert was not aware of the first positive. Mr. Baffert and his Council Mr. Schwartz stated that it probably was caused by an accidental treatment, but wasn't sure. But they are confident that the new barn protocols should prevent any other violations. After hearing all the facts and entering all the exhibits into the record the hearing came to a close. Decision to follow.

11/16/19<sup>3</sup>

**BALTAS HEARING (CASE#19SA0263)**

The CHRB filed a complaint against Trainer RICHARD BALTAS for CHRB rule #1852 & 1894 when he reported late to the receiving barn on 11/02/19 in the fourth race (Breeders Cup) at Santa Anita Park. Mr. Baltas brought his assistant Amy Dollase in to explain what happened and she stated that the PA system was not working. We felt it's the responsibility of the trainer to know what time they should be in the receiving barn. Decision to follow.

11/16/19<sup>4</sup>

**MANDELLA RULING**

The following ruling was issued after Trainer RICHARD MANDELLA failed to register his horse "Night On The Town" for the sixth race on November 16, 2019 at Del Mar Racetrack. Mr. Mandella accepted responsibility and the following ruling was issued.

**DFTD RULING #011**

Trainer RICHARD MANDELLA is fined FOUR HUNDRED DOLLARS (\$400.00) \* for violation of California Horse Racing Board rule #1845 (Authorized Bleeder Medication – late registration of NIGHT ON THE TOWN) in the sixth race on November 16, 2019, at Del Mar Racetrack.

11/16/19<sup>5</sup>

**SMOOTH DAY**

The nine race day card went smooth as possible other than the late declarations.

11/16/19<sup>6</sup>

**DECLARATIONS, CHANGES AND REFUNDS**

1 <sup>st</sup> Race	No changes. Refund: \$82.50
2 <sup>nd</sup> Race	No changes. Refund \$94.95
3 <sup>rd</sup> Race	#2 Older Brothers - Veterinarian scratch - Dr. Farmer, injured Refund: \$573.50
4 <sup>th</sup> Race	#1 Spectator's Dream - Late Veterinarian scratch - Dr. Stead, injured Refund: \$14,987.62
5 <sup>th</sup> Race	#8 Forever Juanito - Veterinarian scratch- Dr. Stead, unsound Refund: \$3,114.17
6 <sup>th</sup> Race	#3 Majestic Blend - Veterinarian scratch - Dr. Stead, unsound #5 Night On the Town- Stewards' scratch- medication (no lasix) Refund: \$13,141.17
7 <sup>th</sup> Race	#10 Harmless - Stewards' scratch - Also eligible. Refund: \$1,572.82
8 <sup>th</sup> Race	#3 Thousand Words - Stewards' scratch - stake (NO SHOW WAGERING) Refund: \$2,301.96
9 <sup>th</sup> Race	#1 Endless Tale - Late Veterinarian scratch - Dr. Stead, unsound

Refund: \$45,701.56

11/16/19<sup>7</sup>

**DAILY ATTENDANCE & HANDLE**

On track	8,371	\$758,027.94
SCOTWinc	6,705	\$3,403,438.62
Out of state + ADW	N/A	\$5,946,432.05
Total	15,076	\$10,107,898.6

**SUNDAY**  
11/17/19<sup>1</sup>

**BALTAS RULING**

The following ruling was issued in reference to the yesterday's Baltas hearing in which his horse was late to the receiving barn on 11/2/19 at Santa Anita Park.

**DFTD RULING #012**

Trainer RICHARD BALTAS, who started LADY NINJA, in the fourth race on November 2, 2019 at Santa Anita Park is fined TWO HUNDRED DOLLARS (\$200.00) \* pursuant to California Horse Racing Board rule #1894 (Duties of Trainer) for violation of rule #1852 (Reporting to Receiving Barn – late arrival).

11/17/19<sup>2</sup>

**ARROYO RULINGS**

The following rulings were issued after we received notice that Jockey NORBERTO ARROYO JR. had withdrawn his appeals of the following rulings DMTD #016(July 27, 2019) and DMTD #025(August 3, 2019). The following rulings were issued reinstating Mr. Arroyo Jr. suspensions from this past summer.

**DFTD RULING #013**

Having received notice from the California Horse Racing Board that the appeal of DMTD ruling #016 (July 27, 2019) has been withdrawn, the original ruling is reinstated. Jockey NORBERTO ARROYO JR. is suspended for SEVEN (7) racing days (November 29, 30 and December 1, 26, 27, 28 & 29, 2019).

**DFTD RULING #014**

Having received notice from the California Horse Racing Board that the appeal of DMTD ruling #025 (August 3, 2019) has been withdrawn, the original ruling is reinstated. Jockey Norberto Arroyo Jr. is suspended for TEN (10) racing days (January 1, 2, 3, 4, 5, 10, 11, 12 & 16, 17, 2020). Pursuant to California Horse Racing Board rule #1766 (b) (Designated Races), the term of suspension shall prohibit participation in designated races.

11/17/19<sup>3</sup>

**GOOD RACING**

The eight races carded for Sunday's card went without any major issues other than the late declarations.

11/17/19<sup>4</sup>

**DECLARATIONS, CHANGES AND REFUNDS**

- 1<sup>st</sup> Race #4 Bold Ante - Veterinarian scratch - Dr. Pirrone, unsound  
Refund: \$381.50
- 2<sup>nd</sup> Race #2 Florentine Diamond - Late Veterinarian scratch - Dr. Stead, injured  
Refund: \$25,612.97
- 3<sup>rd</sup> Race No changes. Refund: \$409.89
- 4<sup>th</sup> Race No changes. Refund: \$929.14
- 5<sup>th</sup> Race #1 Time For Suzzie - Veterinarian scratch - Dr. Farmer, sick  
# 2 Super Klaus - Claimed by R. B. Hess Jr., trainer. Refund: \$8,685.51
- 6<sup>th</sup> Race #1 Freedom Ride - Veterinarian scratch - Dr. Stead, injured  
Refund: \$615.01
- 7<sup>th</sup> Race No changes. Refund: \$67.10

11/17/19<sup>5</sup>

**DAILY ATTENDANCE & HANDLE**

On track	4,102	\$529,704.00
SCOTWinc	5,770	\$2,991,893.28
Out of state + ADW	N/A	\$5,940,311.93
Total	9,872	\$9,461,909.21

**ATTENDANCE REPORT** – The full Board of Stewards was in session each day during this reporting period for both morning business and the afternoon racing program.

**VETERINARIAN REPORT** – WEEK OF 11/4/19 TO 11/10/19

Horses on Vet List	21
Horses off Vet List	9
Shock Wave Therapy	8
Deceased	1
Medication	0
Claims Voided	1

**SUMMARY**

	Thurs 11/14	Fri 11/15	Sat 11/16	Sun 11/17	Week	Meet
Accidents	0	0	0	0	0	0
Cal-Bred Races	1	2	2	3	8	11
Claims	2	3	0	1	6	11
Disqualifications	0	0	0	0	0	1
Inquiries	0	0	0	0	0	3
Refunds	8	5	9	8	30	50
Rulings	0	2	1	3	6	14
Voided Claims	0	1	0	0	1	6

Respectfully submitted,

\_\_\_\_\_  
Luis Jauregui, Steward

\_\_\_\_\_  
Grant Baker, Steward

\_\_\_\_\_  
Kim Sawyer, Steward

\_\_\_\_\_  
Ron Church, Safety Steward

