

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300

Sacramento, CA 95825

www.chrb.ca.gov

(916) 263-6000 Fax (916) 263-6042



REGULAR MEETING

Of the **California Horse Racing Board (CHRB/Board)** will be held on **Thursday, September 24, 2020**, commencing at **9:30 a.m. via teleconference**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

Please be advised, in accordance with Executive Order N-29-20, the CHRB will provide a teleconference option for its upcoming public meeting, to be held on **September 24, 2020 at 9:30 a.m.** Board members and members of the public are strongly encouraged to participate using the teleconference phone number provided below so as to minimize the spread of COVID-19 and reduce the risk of infection during this current state of emergency. No physical location will be provided.

To participate in the teleconference, dial 888-392-4564 and enter 6896291# when prompted. To comment on an agenda item, press *1 during the call to be added to the que.

The agenda for the regular meeting will consist of the following matters:

AGENDA

Action Items:

1. **Approval of the minutes of August 20, 2020.**
2. Reports
 - A. **Executive Director's Report.**
 - B. **Equine Medical Director's Report.**
3. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Alamitos Racing Association, combined 2019 race meeting, in the amount of \$6,266 to four beneficiaries.**
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Alamitos Quarter Horse Racing Association, from its 2019 race meeting, in the amount of \$20,314 to four beneficiaries.**
5. Discussion and action by the Board regarding **Los Alamitos Equine Sale Company, LLC, request for authorization of its upcoming horse sale, pursuant to CHRB Rule 1807, Authorized Horse Sales.**

6. Discussion and action by the Board regarding the **reallocation of The Big Fresno Fair's 2020 Race Dates to the Pacific Racing Association II (PRA II)**.
7. Discussion and action by the Board on the amendments of the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association II (T)** at Golden Gate Fields, commencing August 12, 2020 through October 20, 2020, inclusive.
8. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Pacific Racing Association (PRA) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented, by the PRA at its October 21, 2020 through December 22, 2020 race meeting at the Golden Gate Fields Racetrack.
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T)**, at Golden Gate Fields, commencing October 21, 2020 through December 22, 2020, inclusive.
10. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Del Mar Thoroughbred Club (DMTC) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by the DMTC at its October 28, 2020 through December 1, 2020 race meeting at the Del Mar Racetrack.
11. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar**, commencing October 28, 2020 through December 1, 2020, inclusive.
12. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H)**, at Cal Expo, commencing October 30, 2020 through December 22, 2020, inclusive.
13. Discussion and action by the Board regarding the **proposal to suspend CHRB Rule 1845(a)(1), Authorized Bleeder Medication, pursuant to CHRB Rule 1406, Suspension of Rule**.
14. Discussion and action by the Board regarding the **proposal to suspend CHRB Rule 1845(h), Authorized Bleeder Medication, pursuant to CHRB Rule 1406, Suspension of Rule**.
15. Public hearing and action by the Board regarding the **proposed addition of CHRB Rule 1866.2, Shockwave Therapy Restricted**, to provide procedures for the use of Extracorporeal Shock Wave Therapy (ESWT) or Radial Pulse Wave Therapy within a CHRB inclosure (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented).

16. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: During the public comment period, a total of thirty minutes will be allowed for public comments via the teleconference line. After thirty minutes, no further comments will be accepted. Each person will be limited to two minutes.
17. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Amanda Drummond. Requests will be swiftly resolved by CHRB staff.

CALIFORNIA HORSE RACING BOARD

Gregory L. Ferraro, DVM, Chairman
Oscar Gonzales, Vice Chair
Dennis Alfieri, Member
Damascus Castellanos, Member
Brenda Davis, Member
Wendy Mitchell, Member
Alex Solis, Member
Scott Chaney, Executive Director
Cynthia Alameda, Assistant Executive Director

PROCEEDINGS of the regular meeting of the **California Horse Racing Board (Board)** held on **August 20, 2020**, commencing at **9:30 a.m. via teleconference**.

Present: Oscar Gonzales, Vice-Chairman
Alex Solis, Member
Dennis Alfieri, Member
Wendy Mitchell, Member
Demascus Castellanos, Member
Scott Chaney, Executive Director
Cynthia Alameda, Assistant Executive Director
Robert Brodnik, General Counsel

APPROVAL OF THE MINUTES OF JULY 16, 2020.

Commissioner Wendy Mitchell **motioned** to approve the minutes of the July 16, 2020 regular Board meeting. Commissioner Demascus Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Castellanos, Mitchell, Solis. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Scott Chaney stated that the trend in the last few years of wagering dollars migrating from brick-and-mortar facilities to advance deposit wagering (ADW) platforms had negative consequences for several groups that relied on financial support from the former, and the industry would ultimately have to address the trend. He reported that handle from daytime and nighttime racing increased 6.1 percent and 43.48 percent, respectively, in July 2020 compared to July 2019, which resulted in an overall increase of 9.73 percent. Further comments regarding this agenda item can be found in the Board transcript, pages 4–8.

EQUINE MEDICAL DIRECTOR'S REPORT.

Dr. Rick Arthur, Board Equine Medical Director, stated that heat mitigation precautions needed to be in place at racetracks whenever the heat stress index approached 150. He reported there were four racing fatalities and one training fatality in July 2020. There were nine violative drug test samples in July 2020. Further comments regarding this agenda item can be found in the Board transcript, pages 8–28.

REPORT FROM THE RACE DATES COMMITTEE.

Comments regarding this agenda item can be found in the Board transcript, pages 28–33.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR APPROVAL OF THE NOMINATED MEMBERS TO THE BOARD OF DIRECTORS OF THE CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC., PURSUANT TO CHRB RULE 2049(a), DESIGNATION AND APPROVAL OF HORSEMEN'S WELFARE FUND.

Commissioner Mitchell **motioned** to approve the request for approval of the nominated members to the Board of Directors of the California Thoroughbred Horsemen's Foundation, Inc. Commissioner Alex Solis **seconded** the motion, which was **passed** four to zero with one abstention. Roll call vote: Aye: Alfieri, Mitchell, Solis, Gonzales. Nay: None. Abstain: Castellanos. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 33–40.

DISCUSSION AND ACTION BY THE BOARD REGARDING FASIG-TIPTON'S REQUEST FOR AUTHORIZATION OF ITS UPCOMING HORSE SALE, PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES.

Commissioner Solis **motioned** to approve the Fasig-Tipton request for authorization of its upcoming horse sale. Commissioner Dennis Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Castellanos, Mitchell, Solis, Gonzales. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 40–47.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR AN EXEMPTION TO OBTAIN A FIRE CLEARANCE APPROVAL FOR LOS ALAMITOS HORSE RACING ASSOCIATION, PURSUANT TO CHRB RULE 1927, FIRE PREVENTION.

Commissioner Solis **motioned** to approve the request for an exemption to obtain a fire clearance approval for Los Alamitos Horse Racing Association (LARA). Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Castellanos, Mitchell, Solis, Gonzales. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 47–59.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR AN EXTENSION TO OBTAIN A FIRE CLEARANCE APPROVAL FOR THE SAN LUIS REY DOWNS AUXILIARY TRAINING FACILITY, PURSUANT TO CHRB RULE 1927, FIRE PREVENTION.

Commissioner Alfieri **motioned** to approve the request for an extension to obtain a fire clearance approval for San Luis Rey Downs. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Castellanos,

Mitchell, Solis, Gonzales. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 59–67.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE OCTOBER 7, 2020 THROUGH OCTOBER 20, 2020 RACE MEETING FOR THE BIG FRESNO FAIR AT PLEASANTON.

This item was removed from the agenda.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE BIG FRESNO FAIR (F), AT PLEASANTON, COMMENCING OCTOBER 7, 2020 THROUGH OCTOBER 20, 2020, INCLUSIVE.

This item was removed from the agenda.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ANGELES TURF CLUB II AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE LOS ANGELES TURF CLUB AT SANTA ANITA.

Commissioner Castellanos **motioned** to approve the agreement, pursuant to Board Rule 1581, between Los Angeles Turf Club II (LATC II) and Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by LATC II at Santa Anita. Commissioner Mitchell **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Castellanos, Mitchell, Solis,

Gonzales. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 67–70.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II (T), AT SANTA ANITA, COMMENCING SEPTEMBER 9, 2020 THROUGH OCTOBER 27, 2020, INCLUSIVE.

Commissioner Castellanos **motioned** to approve the Application for License to Conduct a Horse Racing Meeting of LATC II. Commissioner Mitchell **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Castellanos, Mitchell, Solis, Gonzales. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 70–95.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADOPTION OF CHRB RULE 1415, PUBLIC PARTICIPATION IN MEETINGS, TO DEFINE THE RULES REGARDING PUBLIC PARTICIPATION DURING MEETINGS OF THE BOARD.

Commissioner Mitchell **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed adoption of Board Rule 1415. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Castellanos, Mitchell, Solis, Gonzales. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 95–110.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED EMERGENCY AMENDMENT OF CHRB RULE 1843.2, CLASSIFICATION OF DRUG SUBSTANCES**, TO UPDATE THE ALPHABETICAL SUBSTANCES LIST TO ALIGN WITH THE ASSOCIATION OF RACING COMMISSIONERS INTERNATIONAL (ARCI) UNIFORM CLASSIFICATION GUIDELINES FOR FOREIGN SUBSTANCES.

Commissioner Castellanos **motioned** to direct staff to initiate a five-day public comment period regarding the proposed emergency amendment of Board Rule 1843.2. Commissioner Mitchell **seconded** the motion, which was unanimously carried. Roll call vote: Aye: Alfieri, Castellanos, Mitchell, Solis, Gonzales. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 111–118.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1842, VETERINARIAN REPORT**, TO REQUIRE SUCH REPORTS BE SUBMITTED ELECTRONICALLY.

Commissioner Solis **motioned** to approve the proposed amendment to Board Rule 1842. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Castellanos, Mitchell, Solis, Gonzales. Nay: None. Comments regarding this agenda item can be found in the Board transcript, pages 118–124.

PUBLIC COMMENT

Public comments can be found in the Board transcript, pages 124–150.

MEETING ADJOURNED AT 2:23 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

September 24, 2020
REGULAR BOARD MEETING

There is no board package material for Item 2A

CALIFORNIA HORSE RACING BOARD

September 24, 2020
REGULAR BOARD MEETING

There is no board package material for Item 2B

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF LOS ALAMITOS RACING ASSOCIATION,
COMBINED 2019 RACE MEETINGS, IN THE AMOUNT OF \$6,266, TO FOUR
BENEFICIARIES

Regular Board Meeting
September 24, 2020

ISSUE

Los Alamitos Racing Association (LARA) is requesting approval to distribute race day charity proceeds generated at two live race meetings for the period of June 26, 2019, through July 16, 2019, and from December 4, 2019, through December 17, 2019. From the net race day charity proceeds LARA is proposing a total distribution of \$6,266. The charitable organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action.

CHRB ANALYSIS

Los Alamitos Racing Association (LARA), Combined Charity Day Proceeds
June 26, 2019-July 16, 2019, and December 4, 2019-December 17, 2019

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Permanently Disabled Jockeys Fund *	2,000.00	32%	minimum of 20%	d
2	CARMA*	1,952.00	31%		
3	California Thoroughbred Horsemen's Foundation*	2,000.00	32%	minimum of 5%	b
4	Winners Foundation*	314.00	5%	minimum of 5%	c
	Total	6,266.00	100%		e
	Notes				
	* Associations related to horse racing industry	*30% to charities associated with the horse racing industry (B&P 19556(b))			
	b	5% to welfare fund (19556 (b)) described in subdivision (b) of Section 19641			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			



July 15, 2020

Ms. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney:

The statutory charitable contribution from our Los Alamitos Racing Association 2019 race meets was \$6,266. The meets were conducted from June 26, 2019 through July 16, 2019 and from December 4, 2019 through December 17, 2019. Accordingly, we respectfully request CHRB approval at the September 24, 2020 regular meeting to make the following distributions:

Permanently Disabled Jockeys Fund	\$ 2,000
CARMA	1,952
California Thoroughbred Horsemen's Foundation	2,000
Winners Foundation	<u>314</u>
Total	<u>\$ 6,266</u>

The Permanently Disabled Jockey's Fund provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with works with industry and medical research groups to improve the safety of both the human and equine athlete.

The California Retirement Management Account ("CARMA") provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.

The California Thoroughbred Horsemen's Foundation provides assistance to backstretch personnel occupied with the care of thoroughbred horses at California race tracks and

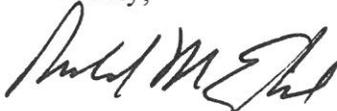
authorized training facilities. The organization maintain several clinics throughout the state.

The Winners Foundation is a support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.

The Race Track Chaplaincy of America (Los Alamitos Council, Region 1) ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.

All proposed beneficiaries are industry related charities. I would be pleased to discuss any questions or comments the Board may have.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard M. English". The signature is fluid and cursive, with the first name "Richard" being the most prominent.

Richard M. English

c/c C. Alameda

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF LOS ALAMITOS QUARTER HORSE RACING
ASSOCIATION, FROM ITS 2019 RACE MEETING, IN THE AMOUNT OF \$20,314, TO
FOUR BENEFICIARIES

Regular Board Meeting
September 24, 2020

ISSUE

Los Alamitos Quarter Horse Racing Association (LAQHRA) is requesting approval to distribute race day charity proceeds generated at its 2018-19 racing meet from December 26, 2018 to December 17, 2019. From the net race day charity proceeds LAQHRA is proposing a total distribution of \$20,314. The charitable organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action.

CHRB ANALYSIS

Los Alamitos Quarter Horse Racing Association 2018-2019 Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Permanently Disabled Jockeys Fund *	\$ 6,000.00	30%	minimum of 20%	d
2	CARMA*	4,000.00	20%		
3	Quarter Horse Benevolent Charity Foundation*	2,314.00	11%	minimum of 5%	b
4	Winners Foundation*	8,000.00	39%	minimum of 5%	c
	Total	\$ 20,314.00	100%		e
	Notes				
	* Associations related to horse racing industry	*30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			



Los Alamitos
QUARTER HORSE RACING ASSOCIATION

July 16, 2020

Ms. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr.Chaney :

The statutory charitable contribution from our 2019 Los Alamitos Quarter Horse Racing Association race meet was \$20,314. The meet ran from December 28, 2018 to December 15, 2019. Accordingly, we respectfully request CHRB approval at the September 24, 2020 meeting to make the following distributions:

Permanently Disabled Jockeys Fund	\$ 6,000
CARMA	4,000
Winners Foundation	8,000
Quarter Horse Benevolent Charity Foundation	<u>2,314</u>
Total	<u>\$20,314</u>

The Permanently Disabled Jockey's Fund provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with works with industry and medical research groups to improve the safety of both the human and equine athlete.

The California Retirement Management Account ("CARMA") provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.

The Winners Foundation is a support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.

The Quarter Horse Benevolent Charity Foundation welfare fund provides for the physical, social, educational and emotional needs for backstretch employees and family members.

All proposed beneficiaries are industry related charities. I would be pleased to discuss any questions or comments the Board may have.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard M. English". The signature is fluid and cursive, with the first name "Richard" being the most prominent part.

Richard M. English

c/c C Alameda

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING LOS ALAMITOS EQUINE
SALE COMPANY, LLC REQUEST FOR AUTHORIZATION OF ITS UPCOMING HORSE
SALE PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES

Regular Board Meeting
September 24, 2020

ISSUE

Pursuant to Board Rule 1807, Authorized Horse Sales, Los Alamitos Equine Sale Company, LLC request Board approval of its upcoming horse sale scheduled for October 3rd and 4th 2020 at Los Alamitos Race Course.

BACKGROUND

Board Rule 1807, Authorized Horse Sales, provides that the Board may authorize a horse sale or horse auction sale for the sale of race horses or breeding stock that is used in the production of race horses, to be held on the premises of a racing association, and the authorization and approval of such horse sale or horse auction sale shall be upon such conditions as may be imposed by the Board.

RECOMMENDATION

This item is presented for Board discussion and action.



LOS ALAMITOS
Equine Sale



Mr. Scott Chaney
California Horse Racing Board
Executive Director
1010 Hurley Way, Suite 300
Sacramento, CA 95825

July 28, 2020

Dear Scott,

The Los Alamitos Equine Sale Company, LLC, is requesting CHRB approval under Rule 1807 (Authorized Horse Sales) for its 16th Annual Quarter Horse Yearling and Mixed Stock Sale. The sale will be held on October 3rd and 4th, 2020, at Los Alamitos Race Course.

The owners of the sale company are Edward C. Allred and Steve Burns, DVM. The sale is managed by the Pacific Coast Quarter Horse Racing Association. As in previous years, the sale pavilion will be held outdoors under a 100x200 tent on the premises of the old cypress golf course, with the temporary barns assembled on a section of the Los Alamitos parking lot.

If you have any questions, please feel free to contact me.

Sincerely,



Dino Perez

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REALLOCATION
OF THE BIG FRESNO FAIR'S 2020 RACE DATES TO THE PACIFIC RACING
ASSOCIATION II (PRA II)

Regular Board Meeting
September 24, 2020

ISSUE:

At the December 12, 2019 California Horse Racing Board (Board) regular meeting, the Board allocated racing dates to the California Authority of Racing Fairs (CARF), Big Fresno Fair from October 7, 2020 – October 20, 2020. However, due to the COVID-19 pandemic, The Big Fresno Fair was cancelled. Following discussing between the Pacific Racing Association II (PRA II) and CARF, CARF agreed to give The Big Fresno Fair's allocated dates to PRA II. Currently, PRA II has been allocated dates from August 12, 2020 – October 6, 2020, however the reassignment of The Big Fresno Fair dates would add an additional two weeks to PRA II and allow racing to continue at Golden Gate Fields until October 20, 2020.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19531](#) stipulates that the maximum number of racing weeks that may be allocated for thoroughbred horse racing in the northern zone shall be 44 weeks per year and [BPC section 19532](#) stipulates that any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of racing. Golden Gate Fields operates as two entities – PRA II as well as the Pacific Racing Association (PRA). Previously, PRA II was allocated 8 weeks of racing while PRA was allocated 33 weeks of racing. Additionally, PRA typically is allocated the final week of December, which, if approved by the Board for the 2021 race dates allocation, would put PRA at 34 weeks for the calendar year. Re-assigning the previously allocated Big Fresno Fair weeks to PRA II would bring PRA II to 10 weeks of racing, thus being well under the 35-week maximum pursuant to BPC section 19532. Additionally, with the re-allocation of dates from The Big Fresno Fair to PRA II, PRA and PRA II, both designations of GGF, will have combined allocated dates totaling 44 weeks for the calendar year, thus complying with BPC section 19531.

RECOMMENDATION:

This item is presented for Board discussion and action.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD ON THE AMENDMENTS TO THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT GOLDEN GATE FIELDS, COMMENCING AUGUST 12, 2020 THROUGH OCTOBER 20, 2020, INCLUSIVE.

Regular Board Meeting

September 24, 2020

ISSUE:

At the December 12, 2019 California Horse Racing Board (Board) regular meeting, the Board allocated the racing dates, from October 7, 2020 to October 20, 2020, to The Big Fresno Fair (Fresno). Rising concerns regarding public safety in light of the emerging COVID-19 pandemic resulted in The Big Fresno Fair being cancelled. Following discussions between the Pacific Racing Association II (PRA II), which operates at Golden Gate Fields (GGF), and the California Authority of Racing Fairs (CARF), of which Fresno is a member, CARF agreed to relinquish Fresno's race dates to PRA II. PRA II's Summer/Fall meet, commencing August 12, 2020 and running through October 6, 2020, is currently ongoing. Following the Board's reallocation of Fresno's dates to PRA II, PRA II's prior approved application needs to be amended to extend the Summer/Fall meet from August 12, 2020 through October 20, 2020.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19530](#) provides that the Board shall have the authority to allocate racing weeks to an applicant or applicants pursuant to the provisions of Articles 6 and 6.5 of Division 8, Chapter 4 of the BPC. Furthermore, the Board may, at any time, change, limit, restrict, or re-allocate racing days, weeks, or dates that are allocated pursuant to this section regardless of whether a condition giving rise to that action is foreseen at the time of allocation or whether a license is already issued for the allocated day, week, or date. [BPC section 19530.5](#) determines that both Fresno (site of The Big Fresno Fair) and Alameda (home to GGF and PRA II) counties are in the "northern zone", and [BPC section 19531](#) provides that the maximum number of racing weeks that may be allocated for thoroughbred horse racing, other than for fairs, in the northern zone is 44 weeks. Additionally, [BPC section 19532](#) provides that any individual association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing.

[BPC section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4 of Division 8 of the BPC. These responsibilities include, but are not limited to, licensing each racing association and allocating race dates to qualified associations in accordance with the law.

[BPC section 19460](#) provides that all licenses granted by the Board are subject to all rules, regulations and conditions prescribed by the Board and shall contain such conditions as are deemed necessary or desirable by the Board for the best interests of horse racing.

ANALYSIS:

PRA II was allocated eight weeks of racing at the December 12, 2019 regular Board meeting. Should the Board reallocate Fresno's dates to PRA II, PRA II will be allocated ten weeks of racing, well under the 35-week maximum for a single association provided by BPC section 19532. Additionally, this reallocation will bring the total number of non-fair weeks of thoroughbred racing in the northern zone to 44. This is compliant with limits set in BPC section 19531.

At the July 16, 2020 regular Board meeting, the Board approved PRA II's application to conduct a horse racing meeting from August 12, 2020 through October 6, 2020. Following the reallocation of Fresno's dates to PRA II, the prior approved application will need to be amended to extend PRA II's race meet from August 12, 2020 through October 20, 2020.

RECOMMENDATION:

This item is presented for Board discussion and action.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE PACIFIC RACING ASSOCIATION (PRA)
AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING
ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS
TO BE IMPLEMENTED BY THE PRA AT ITS OCTOBER 21, 2020 THROUGH
DECEMBER 22, 2020 RACE MEETING AT THE GOLDEN GATE FIELDS
RACETRACK.

Regular Board Meeting
September 24, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a mandatory stand down for intra articular injections. Here, the Pacific Racing Association (PRA) has obtained an agreement with the Thoroughbred Owners of California (TOC).

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken. Here, PRA has entered into an agreement with the TOC which reduces the permitted amount of Lasix to 250 mg, prohibits Lasix in two year-olds, prohibits a horse from racing for 14 days if it has received a joint injection, prohibits a horse from performing a timed workout within 10 days of a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in an metacarpophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.

Race Day Medication Agreement

This Race Day Medication Agreement (this “Agreement”) is entered into effective as of July 6, 2020 by and between the Thoroughbred Owners of California (“TOC”) on the one side and Los Angeles Turf Club, Incorporated and Los Angeles Turf Club II, Inc. (jointly “LATC”) and Pacific Racing Association and Pacific Racing Association II (jointly “PRA”) on the other side.

Recitals

- A. LATC operates race meets at Santa Anita Park.
- B. PRA operates race meets at Golden Gate Fields.
- C. TOC, LATC, and PRA are parties to an agreement dated March 16, 2019 which among other things limits the amount of Lasix a horse can receive on race day in races conducted at Santa Anita Park and Golden Gate Fields (the “March 16 Agreement”).
- D. LATC and PRA contend that the March 16 Agreement is still in force and effect and covers race at Santa Anita Park and Golden Gate Fields on and after December 26, 2019. The TOC contends that the March 16 Agreement was an amendment to the 2019 Santa Anita Winter-Spring horsemen’s agreement and as such is only applicable to races at Santa Anita Park and Golden Gate Fields conducted in 2019 prior to December 26, 2019.
- E. LATC and PRA intend to publicly advocate for the CHRB to adopt, or modify, as the case may be, its rules and regulations so that all horse racing in California is conducted consistent with, or stricter, than IFHA medication standards.

Agreement

With reference to the foregoing Recitals, which are incorporated herein by reference, and in exchange for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, TOC, LATC, and PRA (each a “Party”, and collectively, the “Parties”) hereby agree as follows:

1. 2 Year Old Races. All foals born in 2018 (2-year-olds of 2020) will race during LATC’s 2020 autumn meet and PRA’s 2020 summer meet and autumn meet (collectively, the “Covered Meets”) with no race-day medication, which includes, without limitation, the use of Lasix.
2. 3 Year Olds and Up Races. All horses foaled prior to 2018 racing during the Covered Meets shall only permitted to receive a maximum of half the dosage of Lasix (furosemide) otherwise permitted under California regulations (i.e. horses can receive a maximum furosemide dose of 250 milligrams).

3. Joint Injections. No horse, of any age, shall be permitted to race during the Covered Meets if it has received a joint injection within 14 days of racing. Further, corticosteroid intra-articular joint injections are limited as follows:

a. Within fourteen (14) days of race day if such injection is for any joint other than any of the metacarpophalangeal or metatarsophalangeal joints.

b. Within thirty (30) days of race day if such injection is performed on any metacarpophalangeal or metatarsophalangeal joint. Additionally, no horse shall be permitted to have a timed work for ten (10) days after receiving a corticosteroid intra-articular joint injection in any of the metacarpophalangeal or metatarsophalangeal joints.

4. CHRB Recommendations. The Parties agree to work in good faith with each other, representatives of the CHRB, and other industry constituents to develop and advocate for new regulations and or legislation to implement additional safety and welfare reforms in the areas set forth in Exhibit A.

5. CHRB Approval. The Parties shall file this Agreement with the CHRB and shall publicly support and advocate for the CHRB's approval of this Agreement.

6. Non-Waiver of Position; No Modification of March 16 Agreement. As set forth in Recital D, the Parties disagree on the current force and effect of the March 16 Agreement. By entering into this Agreement, neither Party shall be deemed to have waived its right to continue to assert their current position in any type of proceeding with respect to the enforceability of the March 16 Agreement. Additionally, by entering into this Agreement, the Parties agree that the March 16 Agreement has not been in any way amended, modified, voided, or terminated.

[Signatures follow]

The Parties have executed this Agreement as of the date first written above.

Thoroughbred Owners of California



By: _____

Name: Greg Avioli

Title: President & CEO

Los Angeles Turf Club, Incorporated

By:  _____

Name: Aidan Butler

Title: Exec. Director, CA Operations - TSG

Pacific Racing Association

By:  _____

Name: Aidan Butler

Title: Exec. Director, CA Operations - TSG

Los Angeles Turf Club II, Inc.

By:  _____

Name: Aidan Butler

Title: Exec. Director, CA Operations - TSG

Pacific Racing Association II

By:  _____

Name: Aidan Butler

Title: Exec. Director, CA Operations - TSG

Exhibit A

1. Transparency in testing results.
2. Additional funding for welfare and safety measures from CHRB licensing and penalty revenues.
3. Transparency in equine veterinary records.
4. Better definition and coordination of standards for horses placed on and removed from “high priority watch list” and veterinarian’s list.
5. Transparency in horse injury and fatality reports that result from racing and training.
6. Further restrictions on use of corticosteroids to ensure such treatments will not mask any injury for a horse racing or training.
7. Analysis and implementation of safest possible track surfaces.
8. Expanded out of competition testing.
9. Ongoing education for trainers and veterinarians on the risks of corticosteroids and certain other medications.
10. Stronger penalties for medication and safety rules violations.

STAFF ANALYSIS
September 24, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS, COMMENCING OCTOBER 21, 2020, AND CONTINUING THROUGH DECEMBER 22, 2020.

PRA has filed its application to conduct a Thoroughbred horse racing meeting at Golden Gate Fields (GGF) as follows:

- October 21, 2020, through December 22, 2020, with 32 racing days, four less than in 2019. Live racing will commence October 22, 2020, and continue through December 13, 2020.
- PRA proposes to race a total of 258 to 324 races, or 8.06 to 10.13 races per day. In fall 2019, PRA ran 8.78 races per day with an average of 7.05 runners per race. The average daily purse for the fall 2019 race meeting was \$184,244. The estimated average daily purse for this meet is \$167,008.

October 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing four days per week, Thursday through Sunday, except for no racing December 17–20, 2020.
- Seven to nine races on weekdays, nine to 11 races on weekends, with the following exceptions:
 - Friday, November 6, 2020, will have eight to 10 races.
 - Saturday, November 7, 2020, will have nine to 12 races.

- Thursday, November 26, 2020, will have seven to 10 races.
- Friday, November 27, 2020, will have eight to 10 races.
- First post 12:45 p.m. daily, with the following exceptions:
 - Friday, November 6, 2020 Breeders' Cup 12:05 p.m. (subject to change)
 - Saturday, November 7, 2020 Breeders' Cup 11:40 a.m. (subject to change)
 - Thursday, November 26, 2020 Thanksgiving 11:15 a.m.
- Requests approval to change post times to coordinate north/south signals.
- Wagering program will use Board and ARCI rules.

The PRA requests approval to use a modified version of ARCI rule for its Pick 6 contest. The PRA also requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests if their selected wagering interest is scratched.

Alternate Wagering Interest

The PRA requests that the Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests if their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

The PRA requests approval to use an alternative definition of "unique" to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition of "unique" under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI model rule, there are two possible definitions for "unique." The PRA is requesting approval to use an alternative definition that is not referenced in the ARCI model rule or in the Board's rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed PRA definition.

The PRA also requests approval of a change to the ARCI model rules during instances of late surface changes. The PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the race(s) are declared a "Win All," not a "no contest." Also, PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day's net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI 004-105(G)(1), the Board has the authority to approve these requested changes.

- Additional Wagering Information
 - Advance wagering on Breeders' Cup (Saturday) will be offered Friday, November 6, 2020.
 - NO LONGER AVAILABLE—\$2 Group Bet (Horse Racing Roulette).
 - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.
 - \$2 Daily Double; REQUESTS 20% TAKEOUT.
 - \$.50 Pick 3.
 - \$.50 Pick 4 on races 2–5 and last four races; no consolation; 100% payout; alternate runner provision.
 - \$.50 Pick 5 on first five races; REQUESTS 14% TAKEOUT; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; alternate runner provision.
 - \$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; alternate runner provision.
 - \$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; no consolation; 100% carryover to next race (including to next race day, if applicable).
 - \$0.20 Pick 6 on last six races each day. If no unique ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.
Payout distribution will be in accordance with ARCI 004-105(G)(2)(g), as follows:
 - Net pool and any carryover will be paid to unique winning ticketholder who selected all the first-place finishers.
 - If no unique winning ticket, minor share of pool will be distributed to those who selected greatest number of first-place finishers, and major share will be added to carryover.
 - If no correct selection of at least one first-place finisher, day's net pool will be refunded, and any previous carryover pool will be carried over to next scheduled corresponding pool.
- Advance deposit wagering (ADW) providers are Churchills Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpires; NYRABets, LLC (NYRA Bets); ODS Technologies, L.P. dba TVG Network; and XpressBet, LLC (XpressBet).

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.

- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
 - There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- Documents received in compliance with Business and Professions Code section 19604:
 - Signed ADW agreements allowing all ADW providers listed on application [BetAmerica, NYRA Bets, TwinSpires, TVG Network, XpressBet] to accept wagers on races conducted by PRA for the entire term of the proposed race meet.
 - Horsemen's [Thoroughbred Owners of California (TOC)] approval of the ADW agreements.
 - Simulcasting conducted with other out-of-state racing jurisdictions, pursuant to Business and Professions Code section 19602, and with authorized locations in southern California.
 - PRA continues to maintain that an agreement with each simulcast wagering facility is not required under California law. However, in accordance with a February 2020 California Superior Court final ruling, PRA must make its simulcast signal available to any licensed satellite wagering facility, including all those in northern California.
 - Requests that Gary Greiner be appointed horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
 - Track safety inspection has been requested and will be completed before the race meeting begins.
 - Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. Indicate northern California simulcast facilities to which PRA proposes to offer its live audiovisual signal (item 12C).
2. Signed agreements with all licensed northern California satellites (items 12C).
3. Fire clearance letters in compliance with Board Rule 1927, Fire Prevention (item 16H) [exemption request letter submitted].

4. Signed race meet agreement with California Thoroughbred Trainers (item 23B).
5. Signed race meet agreement with Thoroughbred Owners of California (item 23B).

RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the submission of the outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
david.duggan@goldengatefields.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **October 21, 2020 through December 22, 2020**
- B. Actual dates racing will be held: **October 22-25, October 29-November 1; November 5-8, 12-15, 19-22, 26-29, December 3-6; 10-13.**
- C. Total number of days or nights of racing: **32 days**

CHRB CERTIFICATION

Application received: 7/21/2020
Deposit received: Yes
Reviewed: RP

Hearing date: 9/24/2020
Approved date:
License number:

D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

E. Number of days or nights of racing per week: **4 days per week**
Racing Thursdays through Sundays
Exceptions: No racing December 17-20

3. RACING PROGRAM

A. Total number of races: **Estimated: 258 - 324**

B. Number of races for each day or night:

- **7 – 9 races on weekdays**
- **9 – 11 races on weekends**
- **Exceptions:**
 - **Friday, November 6th will have 8 – 10 races**
 - **Saturday, November 7th will have 9 – 12 races**
 - **Thursday, November 26th will have 7 – 10 races**
 - **Friday, November 27th will have 8 – 10 races**

C. Total number of stakes races: **9 (4 Non-Overnight Stakes, 5 Overnight Stakes);**
Added one (1) overnight stake race in fall 2019

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**

E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

Race	Post Time Daily Except 11/6, 11/7, and 11/26
1st	12:45 p.m.
2nd	1:15 p.m.
3rd	1:45 p.m.
4th	2:15 p.m.

PRA/GGF FALL 2020
October 22 - December 13, 2020
STAKES SCHEDULE

PIKE PLACE DANCER
\$75,000 Guaranteed
(Includes \$25,000 CMC supplement)
Fillies 2 yo- 1 Mile (Turf)
Saturday, October 31, 2020

JOSEPH T. GRACE
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
3 yo & up - 1 1/16 Miles (Turf)
Saturday, November 07, 2020

GOLDEN NUGGET
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
2 yo - 6 Furlongs
Saturday, November 14, 2020

OAKLAND
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
3 yo & up - 6 Furlongs
Saturday, November 21, 2020

GOLDEN GATE DEBUTANTE
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
Fillies 2 yo - 6 Furlongs
Friday, November 27, 2020

PRA/GGF FALL 2019
October 17 - December 15, 2019
STAKES SCHEDULE

PIKE PLACE DANCER
\$75,000 Guaranteed
(Includes \$25,000 CMC supplement)
Fillies 2 yo- 1 Mile (Turf)
Saturday, October 26, 2019

JOSEPH T. GRACE
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
3 yo & up - 1 1/16 Miles (Turf)
Saturday, November 02, 2019

GOLDEN NUGGET
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
2 yo - 6 Furlongs
Saturday, November 09, 2019

OAKLAND
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
3 yo & up - 6 Furlongs
Saturday, November 16, 2019

GOLDEN GATE DEBUTANTE
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
Fillies 2 yo - 6 Furlongs
Friday, November 29, 2019

PRA/GGF FALL 2018
October 18 - December 09, 2018
STAKES SCHEDULE

PIKE PLACE DANCER
\$75,000 Guaranteed
(Includes \$25,000 CMC supplement)
Fillies 2 yo- 1 Mile (Turf)
Saturday, October 27, 2018

JOSEPH T. GRACE
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
3 yo & up - 1 1/16 Miles (Turf)
Saturday, November 03, 2018

GOLDEN NUGGET
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
2 yo - 6 Furlongs
Saturday, November 10, 2018

OAKLAND
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
3 yo & up - 6 Furlongs
Saturday, November 17, 2018

GOLDEN GATE DEBUTANTE
Overnight Stakes
\$50,000 Added (plus up to \$15,000 to Cal-Breds)
Fillies 2 yo - 6 Furlongs
Friday, November 23, 2018

BERKELEY HANDICAP - GIII
\$100,000 Guaranteed
3 yo & up - 1 1/16 Miles
Saturday, November 28, 2020

GOLD RUSH
\$75,000 Guaranteed
2 yo - 1 Mile
Saturday, December 05, 2020

BEAR FAN
\$75,000 Guaranteed
(Includes \$25,000 CBRF supplement)
Fillies & Mares 3 yo & up (Cal-Breds) - 6 Furlongs
Saturday, December 12, 2020

MISS AMERICA
Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)
Sunday, December 13, 2020

BERKELEY HANDICAP - GIII
\$100,000 Guaranteed
3 yo & up - 1 1/16 Miles
Saturday, November 30, 2019

GOLD RUSH
\$75,000 Guaranteed
2 yo - 1 Mile
Saturday, December 07, 2019

BEAR FAN
\$75,000 Guaranteed
(Includes \$25,000 CBRF supplement)
Fillies & Mares 3 yo & up (Cal-Breds) - 6 Furlongs
Saturday, December 14, 2019

MISS AMERICA
Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)
Sunday, December 15, 2019

BERKELEY HANDICAP - GIII
\$100,000 Guaranteed
3 yo & up - 1 1/16 Miles
Saturday, November 24, 2018

GOLD RUSH
\$75,000 Guaranteed
2 yo - 1 Mile
Saturday, December 01, 2018

BEAR FAN
\$75,000 Guaranteed
(Includes \$25,000 CBRF supplement)
Fillies & Mares 3 yo & up (Cal-Breds) - 6 Furlongs
Saturday, December 08, 2018

MISS AMERICA
Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)
Sunday, December 08, 2018

5th	2:45 p.m.
6th	3:15 p.m.
7th	3:45 p.m.
8th	4:15 p.m.
9th	4:45 p.m.
10th (when applicable)	5:15 p.m.
11th (when applicable)	5:45 p.m.
12th (when applicable)	6:15 p.m.

Exceptions:

Friday, November 6, 2020	Breeders' Cup	12:05 p.m. (subject to change)
Saturday, November 7, 2020	Breeders' Cup	11:40 a.m. (subject to change)
Thursday, November 26, 2020	Thanksgiving	11:15 a.m. (subject to change)

***Post times may change in order to coordinate North/South signals.**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Craig Fravel, President (Officer), 0 Shares
Scott Daruty, Senior Vice President (Officer), 0 Shares
David Duggan, General Manager (Officer), 0 Shares
Rebecca Neimark, Chief Financial Officer (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Greg Harnish, Secretary (Officer/Director), 0 Shares
Eric Sindler, Assistant Secretary (Officer), 0 Shares
- Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
- Number of outstanding shares in the corporation: **100 Shares**

7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Laura Proniuk in corporate office (Canada); Email: Laura.Proniuk@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Development Investments Inc.
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the Board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Not applicable
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed: **Not applicable**

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with Board**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Craig Fravel, President

Scott Daruty, Senior Vice President

Mike Rogers, Vice President, Operations

Greg Harnish, Secretary

Rebecca Neimark, Chief Financial Officer

David Duggan, Vice President & General Manager

Eric Sindler, Assistant Secretary & General Counsel, California Racing Operations

William Rizzuto, Assistant General Manager

Cynthia Seidl, Senior Manager of Administration

Cindy Medina, Assistant Controller

Doug Gooby, Mutuel Manager

Dan Cirimele, Senior Director of Marketing

Matt Dinerman, Announcer

Juan Meza, Track Superintendent

Steve Martinelli, Price Maker

William Vassar, Track Photographer

David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

David Duggan
Vice President & General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
david.duggan@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
Daily Double **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2019 (10/16 – 12/17/19)	\$ 9,339,848.46	41,209 (36 live race days)
2018 (10/17 – 12/18/18)	\$ 10,686,120.31	45,987 (30 live race days)
2017 (10/18 – 12/19/17)	\$ 11,396,960.70	50,548 (32 live race days)
2016 (10/19 - 12/20/16)	\$ 10,580,154.00	50,420 (32 live race days)
2015 (10-21 - 12/22/15)	\$ 10,610,110.00	53,055 (32 live race days)

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

- A. Purse distribution: *Prior meet represents October 17, 2019 through December 17, 2019 (36 race days)*

1. All races other than stakes:
 Current meet estimate: \$ 4,407,904
 Prior meet actual: \$ 5,608,626

Golden Gate Fields

July 13, 2020

Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Scott:

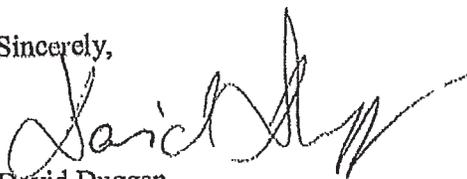
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), in consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA winter/spring race meet, October 21, 2020 through December 15, 2020 all inclusive.

- **Pick 5** -- a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



David Duggan
Vice President & General Manager

Pacific Racing Association
1100 Eastshore Highway, Berkeley, California 94710



July 21, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields 2020 Autumn Race Meet

Dear Scott,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Autumn race meet, October 21, 2020 – December 22, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Cynthia Seidl



July 21, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields 2020 Autumn Race Meet

Dear Scott,

Golden Gate Fields has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its Autumn meet from October 21, 2020 – December 22, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Cynthia Seidl

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: **\$ 137,747**
 Prior meet actual: **\$ 155,795**

2. Overnight stakes:
 Current meet estimate: **\$ 250,000.00**
 Prior meet actual: **\$ 250,000.00**

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: **\$ 7,812**
 Prior meet actual: **\$ 6,944**

3. Non-overnight stakes:
 Current meet estimate: **\$ 275,000.00 (Doesn't include \$50,000.00 CMC/CBRF supplement)**
 Prior meet actual: **\$ 275,000.00 (Doesn't include \$50,000.00 CMC/CBRF supplement)**

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: **\$ 8,594**
 Prior meet actual: **\$ 7,639**

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **\$ 4,932,904**
 Prior meet actual: **\$ 6,133,626**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: **27.3%**
 Prior meet actual: **27.3%**

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: **.85 %**
 Prior meet actual: **.76%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: **\$ 307,370.00**
 Prior meet actual: **\$ 386,377.00**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization
 Current meet estimate:

Prior meet actual:

TOC (NTRA contribution)	\$ 9,122.00
TOC	\$ 37,943.00
CTT (Administration)	\$ 18,972.00
CTT (Pension)	\$ 37,943.00
Total	\$103,980.00

TOC (NTRA contribution)	\$ 6,049.00
TOC	\$ 42,686.00
CTT (Administration)	\$ 21,343.00
CTT (Pension)	\$ 42,686.00
Total	\$112,764.00

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$ 5,344,254.00**

Prior meet actual: **\$ 6,632,767.00**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$ 167,008.00**

Prior meet actual: **\$ 184,244.00**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **2,311,489.00**

Prior meet actual: **\$ 3,422,035.00**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$ 72,234.00**

Prior meet actual: **\$ 95,057.00**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$ 2,415,833.00**

Prior meet actual: **\$ 1,913,618.00**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$ 75,495.00**

Prior meet actual: **\$ 53,156.00**

- H. Bank and account number for the Paymaster of Purses' purse account: **On file**

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigbmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See attached wagering menu which includes bet minimum, rules, and additional wagering information.

**PRA /PRA II/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES**

**Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Casille Batten	510-559-7300
	Dr. Ken Allison	510-559-7300
	Dr. Steve Boyer	510-559-7300
	Dr. William Grantham *	510-559-7300
	Dr. Kim Kuhlmann	510-559-7300
	Dr. Steve Matuszak	510-559-7300
	Dr. Jerry Parker	510-559-7300
	Dr. Raina Petrov	510-559-7300
	Dr. Don Smith	510-559-7300
	Dr. Sara Sporer	510-559-7300
	Dr. Ashton Cloninger *	510-559-7300

* Race day Lasix veterinarian

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>
	Mondays: Closed
	Tuesdays: AM Only -- TBD
	Wednesdays: AM Only -- TBD
	Thursdays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Fridays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Saturdays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Sunday: AM -- Dr. Sara Sporer PM -- Dr. William Grantham

TBD -- Rotating schedule amongst list from exhibit A

GOLDEN GATE FIELDS

July 17, 2020

Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Scott,

This letter is in regards to Pacific Racing Association's ("PRA") "Application for License to Conduct a Horse Racing Meeting" for the time period of October 21, 2020 through December 22, 2020 (the "Race Meet Application").

As specified in the Race Meet Application, PRA wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition under ARCI 004-105(G)(16)(b) PRA will use to identify a unique ticket. PRA requests that a combination of the two definitions to define unique be used. Specifically, PRA wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above."

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

PRA also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, PRA is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

PRA requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Rob Brodnik

Golden Gate Fields Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X	X		X			X
2	X	X	X	X	X	X	X	X			*	X
3	X	X	X	X	X	X	X			*	*	X
4	X	X	X	X	X	X	X	*		*	*	X
5	X	X	X	X	X	X	X	*		*	*	X
6	X	X	X	X	X	X	*	*		*	*	X
7	X	*	X	X	X	*	*	*		*		X
8	X	*	X	X	X	*	*	*		*		X
9	X	*	X	X	X	*	*	*				X
10	X	*	X	X	X	*	*					X
11	X	*	X	X	X	*						X
12	X		X	X	X							X

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(i) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated July 17, 2020 is denied.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. **Group Bet is not being carried forward.**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Mandatory payout on closing day, December 13, 2020.**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for “early bird” wagering:
Advanced wagering on Breeders’ Cup (Saturday) will be offered Friday, November 6, 2020
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025
- G. List below the takeout percentage for each type of wager identified in 10.A.:
TAKEOUT PERCENTAGE
(Example) PNP5-14%
See attached wagering menu

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
Xpressbet
TwinSpire (BetAmerica is not included)
TVG
NYRABets
BetAmerica
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the Board.
- C. Have the contract/agreements been approved by the respective horsemen’s groups?
Yes No
If yes, attach a copy of the approval. **Forwarded to the board upon receipt.**
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.



July 21, 2020

Mr. Eric Sindler
Santa Anita Racetrack
285 W. Huntington Dr.
Arcadia, CA 91007

RE: Golden Gate Fields 2020 Autumn Race Meeting ADW Approvals

Dear Eric,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Golden Gate Fields (PRA) race signals under the terms and conditions set forth below.

Pursuant to Section 29, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and PRA, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, PRA shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpire, NYRA, and BetAmerica to accept wagers on PRA and other Thoroughbred races during the 2020 Autumn race meeting, October 21, 2020 through December 22, 2020, as follows:

1. California wagering on PRA races:

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. TVG, XpressBet, TwinSpire, NYRA, and BetAmerica may deduct a Hub Fee of 1 % of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- d. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
ADMINISTRATIVE ASSISTANT: ELSA PERON

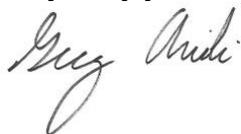
- b. BetAmerica may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. TVG, XpressBet, TwinSpires, NYRA, and BetAmerica may deduct a Hub Fee of % of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- d. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on PRA races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than % of gross out-of-state handle wagered by out-of-state account holders, plus a % California Purse Fee per SB 1072.
- b. BetAmerica shall pay a Host Fee of no less than % of takeout (which includes a % California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, and BetAmerica

Very truly yours,



Greg Avioli, President & CEO

cc: Tom Varela, Cynthia Seidl

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering: **N/A**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **N/A**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal: **Attached (Southern California only)**
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: **Attached**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Attached**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **Attached.**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

Pari-Mutuel Satellite Supervisor Contact List - Day Meets

Southern California Locations:

Location	Bakersfield-Firehouse	Location	OC Tavern Sports Bar and Grill
Address	7701 White Lane	Address	2369 S. El Camino Real
City	Bakersfield, CA 93307	City	San Clemente, CA 92672
Location	Bonita-58 Flat	Location	Original Roadhouse Grill / Santa Maria
Address	4110 Bonita Road	Address	1423 S. Bradley Road
City	Bonita, CA 91902	City	Santa Maria, CA 93454
Location	Cabazon-Fantasy Springs Casino	Location	Sammy's Original
Address	84-245 Indio Springs Drive	Address	23221 Lake Center Drive
City	Indio, CA 92201	City	Lake Forest, CA 92630
Location	Commerce Casino Racebook	Location	Santa Anita
Address	6131 East Telegraph Road	Address	285 W. Huntington Drive
City	Commerce, CA 90040	City	Arcadia, CA 91007
Location	Fairplex Park	Location	Santa Clarita
Address	2201 North White Avenue	Address	21615 W. Soledad Canyon Road
City	Pomona, CA 91768	City	Saugus, CA 91350
Location	Hollywood Park	Location	Striders
Address	3383 W. Century Blvd.	Address	100 Harbor Drive, Suite 100
City	Inglewood, CA 90303	City	San Diego, CA 92101
Location	Lake Elsinore Hotel & Casino	Location	Surfside Race Place at Del Mar
Address	20930 Malaga Road	Address	2260 Jimmy Durante Blvd
City	Lake Elsinore, CA 92530	City	Del Mar, CA 92014
Location	Lake Perris-Sports Pavillion at The Farmer's Fair	Location	Thousand Oaks -Tilted Kilt
Address	18700 Lake Perris Drive	Address	1345 E. Thousand Oaks Blvd.
City	Perris, CA 92571	City	Thousand Oaks, CA 91360
Location	Lancaster-Watch and Wager, Antelope Valley Fair	Location	Ventura-The Derby Club
Address	2551 West Avenue - H	Address	10 West Harbor Blvd.
City	Lancaster, CA 93536	City	Ventura, CA 93001
Location	Los Alamitos	Location	Victorville-San Bernardino Fair Sports Pavillon
Address	4961 Katella Avenue	Address	14800 7th Street
City	Los Alamitos, CA 90720	City	Victorville, CA 92395
Location	National Orange Show-Sports Show	Location	Viejas Casino & Turf Club
Address	930 South Arrowhead Avenue	Address	5000 Willows Road
City	San Bernardino, CA 92408	City	Alpine, CA 91901
Location	Ocean's 11	Location	Pechanga Resort and Casino
Address	121 Brooks Street	Address	45000 Pechanga Pkwy
City	Oceanside, CA 92054	City	Temecula, CA 92592

2020 Golden Gate (PRA & PRA II)

Commingled Locations

AmWool Entertainment	Dover Downs	Maroonae (South America)	Sarloga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Sarloga Bets (ADW)
Greenbrier (WV)	Elkie Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Elks Park	Meadowlands	Sejolo Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangelhne Downs	Meadows The (ADW) - PA regional	Social Gaming
	Evangelhne Downs AWA	Millers OTB	Soj Mutuel
Arapahoe-Mile High	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arma Race Club	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Arlington I	Fair Meadows	Montana OTB	Stelo Fair (Lincoln, NE)
Atlanilo City Racecourse	Favoritoe of Gloucester	Monticello	Suffolk District OTB
Balmoral	Finger Lakes	Mountalnser Park	Suffolk Downs
Balmoral ADW (BETZOTIC)	Fenner	Mi. Pleasant Meadows	Sunland Park
Banger Raceway	Freehold	Nassau Regional OTB	SunRay Park & Casino
Balavia	Gilaspie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Bellor Racing	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Bentley Park	Bwin International Ltd.	Newport Jal Alai	Taunton Dog Track Inc.
Birmingham	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Bluffs Run Greyhound	Magna Bet	Northfield	Thisledown
Buffalo Raceway	Racebets		Tioga Downs
Canterbury			Tri-State GH (Mardi Gras)
Capital District OTB	Greenotrack		Turf Paradise
Catskills OTB	Greyhound @ Post Falls	Northville	Turfway Park
Charles Town Race Course	Gulf Greyhound	NYRA	TVG Chester
Chester Downs & Marina LLC	Gulfstream	NYRA Account Wagering	TVG Network
Churchill Downs	Harrington Raceway	Oaklawn	TVG Preline
Club Hiplca IntTurf	HarringtonBets (DE regional)	Ocean Downs	Twin River Greyhound
Courir d'Alene Casino	Hawthorne Race Course	Panama	TwinSpires
Colonial Downs	Hawthorne ADW	PARX	TwinSpires High Volume
Colonial Downs Phone Bet	Hazel Park	PARX Phone Bet	Velocity Wagering Ltd.
Columbus Raceway	Hooster Park	Peru National	Venezuela OTB
Connecticut OTB	Horseman's Park	Penn National Teletel	Vernon Downs
	Idabet	Peru	WalchandWager
Bradley Teletheater, Bristol	Indiana Downs	Plainridge Race Course	Weston OTB
New Britain, Norwalk, Milford	Clarksville/Evansville OTB	Plainridge Telephone Wagering	Whetng Downs
East Haven, Hartford, Pulham		Player Management Group	Will Rogers Downs
Shoreline Star, Sports Haven		Pocono Account Wagering	Wyoming OTB
Torrington, Waterbury	Infermountain Racing	Pocono Downs and OTB	Wyoming Downs
Manchester, New London	Keeneland	Portland Meadows	XpressBet
Williamsville, Sanford OTB	Keeneland Select ADW	Polawalomi Casino OTB	Yankees Raceway
John Martin's Mmwr Restaurant	Kentuck Downs	Prairie Meadows	Yankees ADW
Connecticut OTB ADW	Kentucky OTB	Premier Turf Club	Youbet Group 1
Coushatta Casino	Lebanon	Presque Isle Downs	Zia Park
Paragon Casino	Les Bols (Treasure Valley)	Raceway Park	123Gaming
Ho-Chunk Casino and Racebook	Lewiston OTB's	Racing2Day LLC	
Mohogan Sun Casino	Lien Games	Racing2Day Intl. (Stan James)	
Oneida Bingo and Casino	Chips Lounge and Casino	Romington Park	
Pony Bar Simulcast Center	Howard Johnsons OTB		
Tote Investment Racing	Rumors OTB	Relama	
Randall James Racetrack	Skydancer Casino OTB	Racing & Gaming Services	Separate Pool Locations
Milkenum Racing	Lien Games ADW	Rillio Park	Camatera (Puerto Rico)
Royal Beach Casino	BoIAmerica and Offtrackbetting	River Downs	Caymanas (Jamaica)
DJVI Carina Bay Casino	Long Star	Rockingham Park	Codora (Mexico/Spain)
Fair Chance, Winner's Circle	Louisiana Downs	Rockingham Account Wagering	MIR Books (Cullen)
Camouflage Gaming	LVDG	Ruidoso Downs	NDS Books (Nevada)
		Running Aces Harness Park	Talcorp (Australia)
Corpus Christi Greyhound	Atlantis Paradise Casino	Saddle Brook Park	Tattsbet (Australia)
Delaware	Avatar Venetoo	Sam Houston	New Zealand Racing Board
Dolla Downs	Buffalo Thunder Resort		
Derby Jackpot	Foxwoods Resort Casino		
	Meekwaki Bingo & Casino		
		Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assiniboia, Banjo, Charlottetown, Clinton Teletheater, Dresden, Elmhurst Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hlawalta, Hipodromo de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carillon, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

2020 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assinibola (International)	Full or Partial Cards
Australla Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **N/A.**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s)

Casille Batten D.V.M (TSG Veterinarian)
Mark Christin, D.V.M (Track Veterinarian)
Forrest Franklin, D.V.M. (CHRB Veterinarian)
Ashton Cloninger, D.V.M. (Examining Veterinarian)

Clerk of Scales	Ken Sjoldal
Assistant Clerk of Scales	Kenneth Fowler
Clerk of the Course	Tina Walker-Bryant
Placing Judge/Stakes Coordinator	Lisa Jones
Horse Identifier	Gary Greiner
Horseshoe Inspector	Agustin Rayas
Paddock Judge	Linda Anderson
Patrol Judge/Steward's Aide	Tammy McDuffie-Morris
Placing Judge/Film Analyst	Morgann Schlessler
Patrol Judge/Placing Judge	John Leon
Starter	Todd Stephens
Timer	Jelina Pike

B. Management officials in the racing department:

Director of Racing	Patrick Mackey
Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Claudia Dominguez

Others (identify by name and title)

Main Track Superintendent	Juan Meza
Turf Track Superintendent	Hugo Sandoval
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett
Steward's Secretary/Horsemen Liaison	Devon Dunham

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2020.**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **GPS timing system; Axcis Information Network, Inc., dba TrackMaster, an Equibase Company; expires December 31, 2021.**

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
**William Rizzuto Assistant General Manager (responsible for security on-site)
Security Department organizational attached**

**Attachment to PRA / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole

3/8 Pole

1/4 Pole

Paddock

Paddock (Gliding)

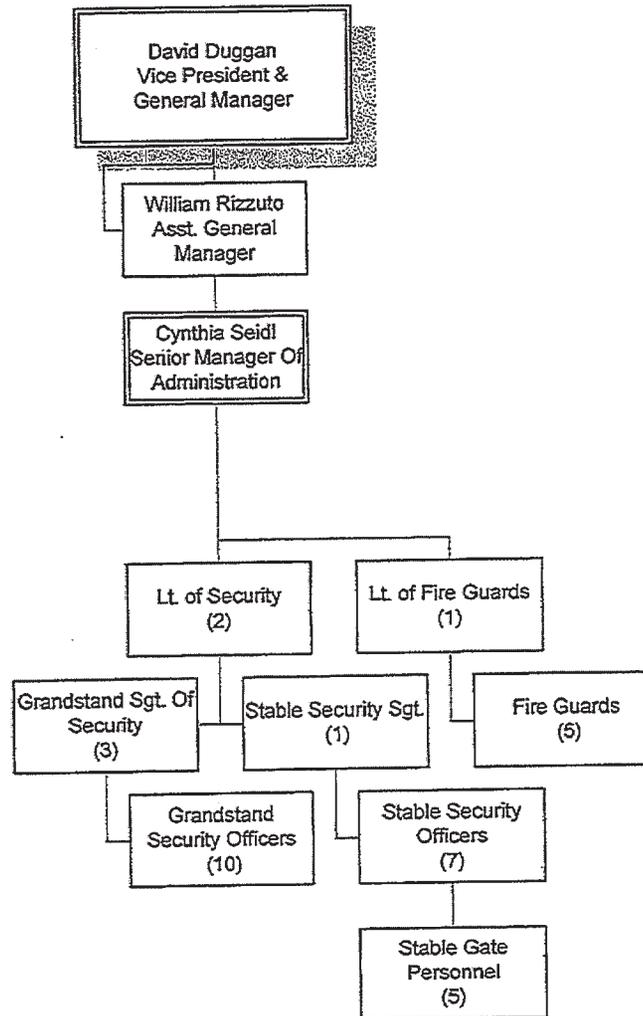
(2) Pan Cameras on Roof

Jib camera in Paddock

Starting Gate (Robo)

Parking Lot (Robo-scenic)

PACIFIC RACING ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 15A



Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
William Rizzuto cell phone number (775) 846-8484

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Assistant General Manager 7 – Security Corporals/Guards
2 – Security Lieutenant 8 – Stable Security Officers
4 – Security Sergeants 5 – Stable Gate Personnel
1 – Stable Security Sergeant 5 – Fire Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders.

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Entire surveillance camera system in the barn area has been upgraded by Geovision in June 2019 to include extended memory of 90 days. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. There are a total of 25+ cameras strategically place throughout the barn area.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

- C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Entire surveillance camera system in the barn area has been upgraded by Geovision in June 2019 to include extended memory of 90 days. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in

**Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):**

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to William Camilo Lopez Security Lieutenant which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number

- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Stable Security Lieutenant for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact Public Safety Director if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Public Safety Director. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.

- Surveillance videotapes are saved until all test results have received a negative result.

- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

place. There are a total of 25+ cameras strategically placed throughout the barn area. Receiving Barn includes four (4) fixed cameras placed over designated stalls, one (1) 360° fish-eye camera in center of walking ring, and (2) fixed cameras outside on east side of Receiving Barn.

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546, (510) 581-8470

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**

1. Attach a certification from the ambulance service (s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

N/A

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

If quarter horse racing association sees D.1.:

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st Street, Oakland, CA 94602 (510) 437-4865

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Roy Roenbeck, Director of Environmental, Health & Safety

William Rizzuto, Facility Manager serves as Assistant Safety Manager

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Albany fire clearance letter attached valid through December 31, 2020

Berkeley fire clearance letter will forward to the board upon receipt following inspection

TURF RESCUE, LLC

4470 Hillsborough Drive

Castro Valley, CA 94546

510-581-8470

September 6, 2019

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2020

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



GOLDEN GATE FIELDS

DESCRIPTION OF GGF MEDICAL CLINIC (LIVE RACING)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon Request from track management or CHRB.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING- FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.

PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

GOLDEN GATE FIELDS

August 28, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Pacific Racing Association – Rule 1927 Exemption Request

Dear Mr. Chaney,

All the barns (and the equine hospital) at Golden Gate Fields are equipped with an automatic sprinkler system. Additionally, the barn area has an automatic fire alarm system that was upgraded in 2018. The barn area also contains 20 standalone fire hydrants which are pressure tested annually (with 17 fire hose cabinets containing 51 hoses). Also, there are over 220 fire extinguishers serviced annually.

Both the automatic sprinkler system and automatic fire alarm are inspected and tested quarterly and annually by independent companies. With respect to the quarterly and annual inspection and testing:

1. Pacific Racing Association (“PRA”) receives quarterly and annual hardcopy reports (State of California format) in real time for both the automatic sprinkler system and automatic fire alarm system. The contractor (ASTI) is also required to, and does, send the reports directly to both Albany FD and Berkeley FD for municipal compliance (the Equine Hospital is the only “backside” property within Albany city limits. The barns are all in Berkeley).
2. This single unified report submitted by ASTI to both Berkeley FD and Albany FD on a quarterly basis includes the combined inspection & testing of the integrated automatic sprinkler system (serviced by ASTI) and the automatic fire alarm system (serviced by Bay Alarm).
3. As a result of the reporting described above, both Berkeley FD and Albany FD are updated/informed every quarter that the barns and hospital at Golden Gate Fields have a functioning automatic sprinkler system and automatic fire alarm system.
4. For both the automatic sprinkler system and automatic fire alarm system, the quarterly inspections also include the testing component, but the fourth quarter inspection/testing is termed an “annual” because it is more robust.

Additionally, please accept this letter as confirmation that the barn area at Golden Gate Fields has two watchman after dark.

In addition to the requirements set forth in Rule 1927, there is also a fire guard at Golden Gate Fields at all times. The fire guard is separate and distinct from the security guards at Golden Gate Fields. All fire guards are retired firefighters.

Berkeley Fire Clearance Letter

The first part of the 2020 fire inspection occurred on July 23, 2020. The final part of the inspection is scheduled to occur on September 3, 2020. We will send the fire clearance letter to the board upon receipt.

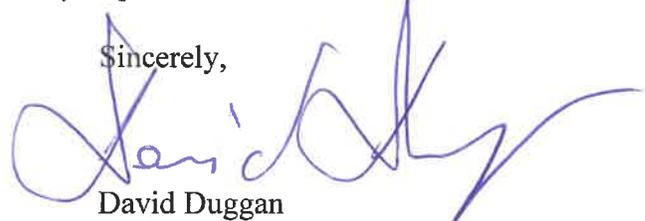
Albany Fire Clearance Letter

Similar to the Berkeley FD, the Albany FD is also only able to complete one inspection per year. We contacted Albany FD approximately a month ago to try to schedule an inspection. However, they informed us that they have zero availability. Albany FD did inform us that the fire clearance letter issued on December 30, 2019 is valid through December 30, 2020.

Request

1. The fire clearance letter issued by the Berkeley Fire Department does not state that the facilities at Golden Gate Fields complies with the safety requirements of Rule 1927. As stated above, Golden Gate Fields does comply with the fire safety requirements. Pacific Racing Association requests an exemption from the requirement that the fire clearance letter issued by the Berkeley Fire Department state that the facilities at Golden Gate Fields comply with the fire safety requirements of Rule 1927.
2. Albany Fire Department is not able to perform an inspection within 45 days prior to the commencement of the race meeting. Pacific Racing Association is requesting an exemption from the requirement of Rule 1927 that the Albany Fire Department perform an inspection within 45 days prior to the commencement of the race meeting. As stated above, Golden Gate Fields does comply with the fire safety requirements. Pacific Racing Association requests an exemption from the requirement that the fire clearance letter issued by the Albany Fire Department state that the facilities at Golden Gate Fields comply with the fire safety requirements of Rule 1927.

Sincerely,



David Duggan

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT
1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Albany California 94706

December 30, 2019

Mr. Roenbeck,

Fire inspectors from the City of Albany Fire Prevention Department together with Golden Gate Fields Fire Prevention personnel conducted a Life and Safety inspection on November 25th and 26th 2019. Public areas within the Grandstand and the associated facilities were evaluated for preparation of the 2020 racing season. Clearance for the facility is granted for all aspects of your operation.

Please note that operations beyond the scope of normal racetrack activities may require additional inspections as needed.

Please feel free to contact our office with any questions.

Tom Peters, FPI
Fire Prevention Department
(510) 528-5775
fireinspector@albanyca.org



- I. Name of the workers’ compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-019 (policy no.)**
- J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers’ compensation.
Workers’ Compensation COI attached valid through June 30, 2021 – Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Armored Car** **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**
- Track Physician** **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
- Official Program** **Wesco Graphics Inc., 410 East Grant Line Rd, Tracy, CA 95376**
- Starting Gate** **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**
- Track Photographer** **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with board.**
- B. Promotional/ Marketing budget for this race meeting: **On file with board.**

Promotional/Marketing budget for prior race meeting: **On file with board.**
- C. Number of hosts and hostesses employed for meeting:
Customer Service Representatives laid off due to Covid-19
- D. Describe facilities set aside for new fans:

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Pacific Racing Association dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:
 Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-18	Jun 30, 2020 to Jun 30, 2021	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-010	Jun 30, 2020 to Jun 30, 2021	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

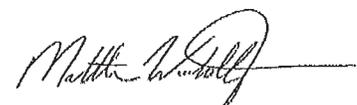
Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Matthew Warnholtz
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The following services are typically set aside; however, they are not expected to be staffed during the meet due to Covid-19:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Xpressbet Customer Service – An Xpressbet ambassador is open year round with a trained service representative to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- **Replaced turf course rail (inside and outside) with PVC by Duralock**
- **Remodeled Racing Office and balcony viewing area**
- **Adding surveillance cameras in barn area**
- **Re-designed Winners Circle to improve winning experience**
- **Built new office for the TOC (Horse Wizard in Club House) in close proximity to Turf Club/Club House entrance**
- **Capital barn improvements in the barn area**
- **Ordered new barn area parking lot signage**
- **Continuation of laundry service for the Jockey’s Room by GGF**
- **Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December**
- **Continual roadway, horse paths, and barn repairs**

2. Fans

- **Heavy GGF Social Media presence in the Bay Area**

3. Facilities in the restricted areas **Equine Hospital**

19. SCHEDULE OF CHARGES

Please note that while the below are the schedule of charges, due to Covid-19 we do not anticipate there being any fans in attendance during the meet.

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00
Admission (club house)	\$10.00
Admission (turf club)	\$12.00 Weekdays
Admission (turf club)	\$15.00 Weekends/Holidays
Reserved seating (general)	N/A

Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 6.00 (previously \$5.00)
Parking (preferred)	\$12.00 (previously \$10.00)
Parking (valet)	\$12.00 (previously \$10.00)
Programs (on-track)	\$ 2.75 (previously \$2.50)
(off-track)	\$ 2.75 (previously \$2.50)

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00
21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:

Designated Group Sales packages include parking, admission, program, and buffet

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack: **140**

- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 restroom for every 12 people**

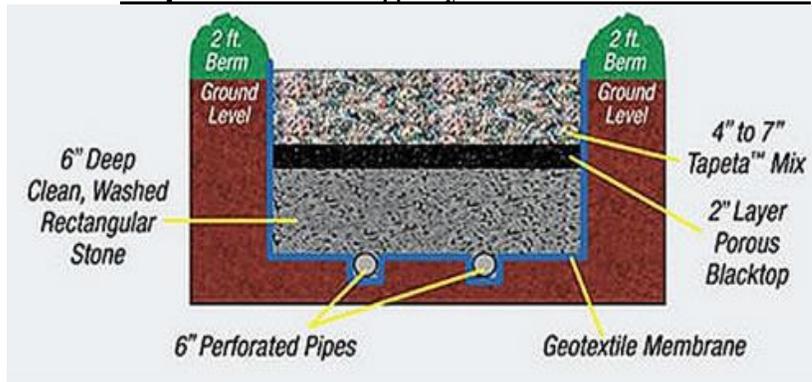
22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5.280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen’s Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Replacing inside and outside turf course rails with PVC by Duralock. Approximate height of the top of the inner railing is 50 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC – Agreement will be forwarded to Board upon completion.
CTT – If an agreement is reached, it will be forwarded to the board upon receipt.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

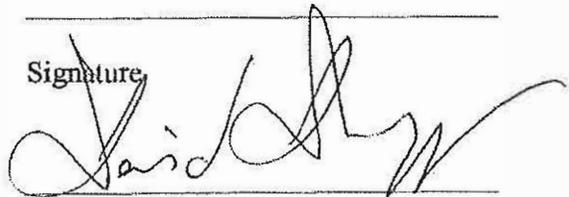
- 1. I am a member of the Association of Professional Engineers, Architects, and Surveyors of Ontario (APEASO) and I am a duly licensed member of the Association.
- 2. I am a duly licensed member of the Association of Professional Engineers, Architects, and Surveyors of Ontario (APEASO) and I am a duly licensed member of the Association.
- 3. I am a duly licensed member of the Association of Professional Engineers, Architects, and Surveyors of Ontario (APEASO) and I am a duly licensed member of the Association.
- 4. I am a duly licensed member of the Association of Professional Engineers, Architects, and Surveyors of Ontario (APEASO) and I am a duly licensed member of the Association.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

 Print Name
David Duggan

 GM/VP
 Print Title

 Signature


 Date **7-16-2020**

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE DEL MAR THOROUGHBRED CLUB
(DMTC) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC),
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR
MEDICATIONS TO BE IMPLEMENTED BY THE DMTC AT ITS OCTOBER 28, 2020
THROUGH DECEMBER 1, 2020 RACE MEETING AT THE DEL MAR RACETRACK

Regular Board Meeting
September 24, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581](#), Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a mandatory stand down for intra articular injections. Here, the Del Mar Thoroughbred Club (DMTC) has obtained an agreement with the Thoroughbred Owners of California (TOC).

BACKGROUND:

Business and Professions Code (BPC) [section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. BPC [section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. BPC [section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken. Here, DMTC has entered into an agreement with the TOC which reduces the permitted amount of Lasix to 250 mg, prohibits Lasix in two year-olds, prohibits a horse from racing for 14 days if it has received a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in the fetlock joint.

RECOMMENDATION:

This item is presented for Board discussion and action.

AGREEMENT

EFFECTIVE DATE: Sept. 15, 2020

PARTIES:

Thoroughbred Owners of California (“TOC”)

285 Huntington Drive
Arcadia, CA 91007

and

Del Mar Thoroughbred Club (“DMTC”)

2260 Jimmy Durante Blvd.
Del Mar, CA 92014

RECITALS:

- A. DMTC is a racing association licensed to operate Thoroughbred horse racing at the Del Mar Race Track located in Del Mar, California. TOC represents the collective interests of all California Thoroughbred owners.
- B. DMTC and TOC desire to enter into an agreement in which rules and regulations are approved in order for DMTC to be consistent with International Federation of Horse Racing Authorities (“IFHA”), and this agreement is intended to satisfy the requirements set forth in Rule 1581 of the California Horse Racing Board Rules.
- C. The purpose of this agreement is to protect the safety and welfare of horses and riders and to enhance public confidence in the integrity of the sport of Thoroughbred racing.

AGREEMENT:

- 1. Effective immediately, all foals born prior to 2018 will race under rules consistent with IFHA standards, which include no race-day medication, with the exception of Lasix, which has been restricted to a maximum of 250 milligrams dose of furosemide (Lasix). A two-year old entered to compete in a race at Del Mar shall not be administered Lasix within 48 hours prior to the race.
- 2. Every horse within the enclosure and every horse at a DMTC auxiliary training facility is subject to out-of-competition testing (OOCT) by DMTC veterinary professionals and

no owner, trainer or other person having the care of a horse shall refuse to submit such for testing.

3. All parties agree to strict limitations on the use of any analgesic and anti-inflammatory medications during racing and training.
4. Any horse that has received an Intra-articular treatment within fourteen (14) days of the race is ineligible to start at Del Mar. In addition, any horse that has received an Intra-articular treatment of cortisone into fetlock joints within thirty (30) days is ineligible to start.
5. No horse which has been administered a non-steroidal anti-inflammatory medication within the previous 48 hours shall participate in a recorded work at Del Mar or any of its auxiliary training facilities. All parties agree OOCT may be conducted to verify compliance.
6. A commitment to continuing collaboration for improving the safety and welfare of horses and riders.
7. The parties will file this agreement with the California Horse Racing Board ("CHRB") and support approval.
8. This Agreement covers DMTC's 2020 fall race meet (the "Meet") and shall expire on the last day that a horse is permitted to be in the Del Mar enclosure or at a DMTC auxiliary training facility as part of the Meet.

Del Mar Thoroughbred Club



By: _____
Josh Rubinstein
President and COO

Dated: 9/15/20

Thoroughbred Owners of California



By: _____
Greg Avioli
President and CEO

Dated: 9/15/20

STAFF ANALYSIS
September 24, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING OCTOBER 28, 2020, AND CONTINUING THROUGH DECEMBER 1, 2020.

Del Mar Thoroughbred Club (DMTC) has filed its application to conduct a Thoroughbred horse racing meeting at Del Mar as follows:

- October 28, 2020, through December 1, 2020, racing 15 days, the same as proposed for the same period in 2019. Live racing will commence October 31, 2020, and continue through November 29, 2020. DMTC proposes to run a total of 127 races, or an average of 8.47 races per day. In 2019, DMTC averaged 8.77 races per day and 7.43 runners per race. The average daily purse for the 2019 race meeting was \$492,170. The estimated average daily purse for this meet is \$479,169.

October 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

- Racing Friday through Sunday, except for no racing on Friday, October 30, 2020, and racing Thursday, November 26, 2020. Seven to nine races on Fridays and Saturdays, eight to nine races on Sundays, and eight races on Thursday, November 26, 2020.
- Racing concurrently with the dates allocated to Pacific Racing Association.
- First post 12:30 p.m. each day, except for first post 11:00 a.m. on Thursday, November 26, 2020 (Thanksgiving Day).
- Wagering program will use CHRB and ARCI rules.
 - DMTC requests approval to use a modified version of ARCI rule for the Pick 6 contest.

Pick 6

DMTC requests approval to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(i), DMTC is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI model rule, there are only two possible definitions for

“unique.” DMTC is requesting an alternative definition that is not referenced in the ARCI model rule or in the CHRB’s rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed DMTC definition. The definition of “unique” proposed by DMTC is the same definition Golden Gate Fields and Santa Anita have been using since 2018.

DMTC requests approval to use ARCI 004-105(G)(2)(i) to govern the Pick 6. ARCI 004-105(G)(2)(i) states,

Method 9, Pick (n) with the pool split into three shares, with Carryovers, and a Unique Winning Ticket Provision: The share percentages are determined by the pool host and approved by the Commission. The first share of the net Pick (n) pool and the first share carryover, if any, shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The second share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher of all Pick (n) contests, the second share of the net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests, and the first share shall be added to the first share carryover. The third share and the third share carryover, if any, shall be distributed to the holder of a unique winning ticket that selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there is no unique winning ticket selecting the first-place finisher in each of the Pick (n) contests, the third share shall be added to the third share carryover. For greater certainty, the holder of a unique winning ticket shall receive both the first share, and first share carryover, if any, as well as the third share, and the third share carryover, if any. Where there is no correct selection of the first-place finisher in at least one of the Pick (n) contests, based upon the official order of finish, the day’s net pool shall be refunded, and the previous carryover pool(s) amount(s), if any, shall be carried over to the next scheduled corresponding pool.

DMTC requests the first share to be 80%, the second share to be 0%, and the third share to be 20%.

Late Surface Change

DMTC also requests a change to the ARCI model rules during instances of late surface changes. DMTC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the race(s) are declared a “Win All,” not a “no contest.” Also, DMTC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(i) be used. If there is no unique winning ticket that day, that day’s unique share (the third share) will be added to the day’s first share. Under ARCI 004-105(G)(1), the Board has the authority to approve these requested changes.

- Additional wagering information:
 - Early wagering will be offered October 31 through November 29, if current conditions permit.
 - \$2 Win, Place, Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$2 Quinella on all eligible races.
 - \$1 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$0.10 Superfecta on all eligible races.
 - \$2 Rolling Daily Double. REQUESTS 20% TAKEOUT.
 - \$0.50 Rolling Pick 3 on all eligible races.
 - \$1 Place Pick All.
 - \$0.50 Pick 4 on races 2–5. No consolation. 100% payout. Alternate runner provision.
 - \$0.50 Pick 4 on last four races. No consolation. 100% payout. Alternate runner provision.
 - \$0.50 Pick 5 on first five races. REQUESTS 14% TAKEOUT. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Early Pick 5 if no ticket has five winners. Alternate runner provision.
 - \$0.50 Pick 5 on last five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Late Pick 5 if no ticket has five winners. Alternate runner provision.
 - \$0.50 Pick 6 on last six live races each day. First share—80%; Second share—0%; Third share—20%.
 - Requests that Commission allow patrons to select alternate wagering interest in any of the Pick (n) contest in the event their selected wagering interest is scratched.
 - \$1 Super High 5 on last race of the day. 100% payout on all tickets selecting five winners. No consolation. 100% carryover to next race day's Super High 5, if applicable.
- Advance deposit wagering (ADW) providers are Churchill Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpires; NYRABets, LLC (NYRA Bets); ODS Technologies, L.P. dba TVG Network; WatchandWager.com (Watch and Wager); and XpressBet, LLC (XpressBet).
- Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.

- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
 - There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- Documents received in compliance with Business and Professions Code section 19604:
 - Signed 2020 ADW agreements with BetAmerica, NYRA Bets, TVG Network, TwinSpires, Watch and Wager, and XpressBet allowing said providers to accept wagers from California residents on races conducted by DMTC for the entire term of the proposed race meet.
 - Thoroughbred Owners of California approval of the ADW agreements.
 - Simulcasting conducted with out-of-state racing jurisdictions, pursuant to Business and Professions Code section 19602, and with authorized locations throughout California.
 - Requests that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
 - Track safety and backstretch worker housing inspections have been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application includes:

1. Signed 2020 race meet agreements with Thoroughbred Owners of California and California Thoroughbred Trainers [letter of explanation submitted].

RECOMMENDATION:

Staff recommends approval of the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

DEL MAR THOROUGHBRED CLUB
P.O. BOX 700, DEL MAR, CA 92014
Phone: 858-755-1141
Fax: 858-792-1477
Josh Rubinstein: josh@dmtc.com

- B. Breed of horse: TB QH H

- C. Racetrack name: **DEL MAR THOROUGHBRED CLUB (DMTC)**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **ON FILE**

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **October 28 through December 1, 2020**

- B. Actual dates racing will be held: **October 31st (Saturday – Opening Day) through November 29th (Sunday – Closing Day)**

- **Racing Fridays, Saturdays, Sundays - Dark Mondays, Tuesdays, Wednesdays, Thursdays, with the exception of 11/26, Thursday, Thanksgiving Day**
- **Dark Days 11/2-3-4-5, 11/9-10-11-12, 11/16-17-18-19 and 11/23-24-25**

- C. Total number of days or nights of racing: **15 Days**

CHRB CERTIFICATION

Application received: 7/31/20
Deposit received: Yes
Reviewed: RP

Hearing date: 9/24/20
Approved date:
License number:

- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Friday, Saturday, Sunday (exception of Week Four which will be a 4-day race week - with the addition of Thursday, Thanksgiving Day 11/26)

- E. Number of days or nights of racing per week:
3 days per week (exception of Week One 2-day race week and Week Four 4-day race week)

3. RACING PROGRAM

- A. Total number of races: **127**
- B. Number of races for each day or night:

DAY	DATES	NUMBER OF RACES
*FRI – 7 Races	11/06 BC	7
*FRI – 8 Races	11/13, 11/20	16
*FRI - 9 Races	11/27	9
*SAT – 7 Races	11/07 BC	7
*SAT - 9 Races	10/31 OD, 11/14, 11/21, 11/28	36
*SUN – 8 Races	11/08	8
*SUN - 9 Races	11/01, 11/15, 11/22, 11/29	36
*THURS-Thanksgiving Day	11/26	8
TOTAL		127

***DMTC reserves the right to change number of races based on horse availability**

- C. Total number of stakes races: **16 (14 stakes and 2 overnight stakes)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **EXHIBIT 1**
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **EXHIBIT 1 (A)**
 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **Two stakes have been added to the 2020 fall season due to a calendar change. The Golden State Juvenile Fillies and the Golden State Juvenile will run at Del Mar. These races, based on scheduling differences, are either run at Del Mar or Santa Anita.**
 3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **The Matriarch Stakes and Hollywood Derby (Grade I's) have both been reduced in value from \$300,000 to \$250,000 due to lowered purse generation projections. For that same reason, the Seabiscuit Handicap and Hollywood Turf Cup (Grade II's) have been reduced from \$200,000 to \$150,000. The AGSC has lowered the minimums in 2020 for Grade I's and Grade II's to these levels because of COVID-19.**

EXHIBIT 1 - 2020 DEL MAR FALL STAKES

RUN DATE	RACE	AGE	DISTANCE	2020 VALUE
10/31/20	Kathryn Crosby Stakes	F/M 3 & up	1 mile Turf	\$75,000
11/1/20	Let it Ride Stakes	3 YO	1 mile Turf	\$75,000
11/6/20	Golden State Juvenile Fillies	F, 2 YO (CA)	7 furlongs	\$150,000
11/7/20	Golden State Juvenile	2 YO (CA)	7 furlongs	\$150,000
11/8/20	Betty Grable Stakes	F/M 3 & up (CA)	7 furlongs	\$100,000
11/14/20	Desi Arnaz Stakes	F, 2 YO	7 furlongs	\$100,000
11/15/20	Bob Hope Stakes- Grade III	2 YO	7 furlongs	\$100,000
11/21/20	Native Diver Stakes- Grade III	3 & up	1 1/8 Miles	\$100,000
11/22/20	Cary Grant Stakes	3 & up (CA)	7 furlongs	\$100,000
11/26/20	Red Carpet Stakes-Grade III	F/M 3 & up	1 3/8 miles Turf	\$100,000
11/27/20	Hollywood Turf Cup-Grade II	3 & up	1 1/2 miles Turf	\$150,000
11/28/20	Jimmy Durante Stakes-Grade III	F, 2 YO	1 mile Turf	\$100,000
11/28/20	Seabiscuit Handicap-Grade II	3 & up	1 1/16 miles Turf	\$150,000
11/28/20	Hollywood Derby-Grade I	3 YO	1 1/8 miles Turf	\$250,000
11/29/20	Cecil B. DeMille Stakes-Grade III	2 YO	1 mile Turf	\$100,000
11/29/20	Matriarch Stakes- Grade I	F/M 3 & up	1 mile Turf	\$250,000

EXHIBIT 1A - STAKES RACES PAST TWO RACE MEETS

RUN DATE	RACE	AGE	2020 PURSE	2019 PURSE	2018 PURSE	2017 PURSE	DISTANCE
31-Oct	Kathryn Crosby Stakes (o/s)	F/M 3 & up	\$75,000	\$75,000	\$75,000		1 mile Turf
1-Nov	Let it Ride Stakes (o/s)	3 YO	\$75,000	\$75,000	\$75,000		1 mile Turf
6-Nov	Golden State Juvenile Fillies	F, 2 YO (CA-Bred)	\$150,000			\$200,000	7 furlongs
7-Nov	Golden State Juvenile	2 YO (CA-Bred)	\$150,000			\$200,000	7 furlongs
8-Nov	Betty Grable Stakes	F/M 3 & up (CA-Bred)	\$100,000	\$100,000	\$100,000		7 furlongs
14-Nov	Desi Arnaz Stakes	F, 2 YO	\$100,000	\$100,000	\$100,000		7 furlongs
15-Nov	Bob Hope Stakes-Grade III	2 YO	\$100,000	\$100,000	\$100,000		7 furlongs
21-Nov	Native Diver Stakes- Grade III	3 & up	\$100,000	\$100,000	\$100,000		1 1/8 miles
22-Nov	Cary Grant Stakes	3 & up (CA-Bred)	\$100,000	\$100,000	\$100,000		7 furlongs
26-Nov	Red Carpet Stakes-Grade III	F/M 3 & up	\$100,000	\$100,000	\$100,000		1 3/8 miles Turf
27-Nov	Hollywood Turf Cup-Grade II	3 & up	\$150,000	\$200,000	\$200,000		1 1/2 miles Turf
28-Nov	Jimmy Durante Stakes-Grade III	F, 2 YO	\$100,000	\$100,000	\$100,000		1 mile Turf
28-Nov	Seabiscuit Handicap-Grade II	3 & up	\$150,000	\$200,000	\$200,000		1 1/16 miles Turf
28-Nov	Hollywood Derby-Grade I	3 YO	\$250,000	\$300,000	\$300,000		1 1/8 miles Turf
29-Nov	Cecil B. DeMille Stakes-Grade III	2 YO	\$100,000	\$100,000	\$100,000		1 mile Turf
29-Nov	Matriarch Stakes	F/M 3 & up	\$250,000	\$300,000	\$300,000		1 mile Turf

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program: **EXHIBIT 2**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)

LLC (complete subsection D)

Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **FULL DISCLOSURE STATEMENT ON FILE WITH CHRB**

C. CORPORATION

1. Registered name of the corporation: **DEL MAR THOROUGHBRED CLUB**

2. State where incorporated: **CALIFORNIA**

3. Registry or file number for the corporation: **559096**

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

David H. Batchelder	Chairman of the Board	1 Share
Joseph W. Harper	Director & CEO	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Bo Derek	Director	1 "
Kosta Hronis	Director	1 "
Warner Lusardi	Director	1 "
Marie G. Moretti	Director	1 "
Jim Rome	Director	1 "
Martin J. Wygod	Director	1 "
Josh Rubinstein	President & Chief Operating Officer	0
Michael R. Ernst	Executive VP, Finance CFO	0
Thomas S. Robbins	Executive VP Racing	0
Craig A. Dado	Executive VP, Chief Marketing Officer	0
Lynn Wright	Senior VP and Controller	0
Ann Hall	Senior VP, Operations & Administration	0
Sue Walls	VP, Facilities	0

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **NONE**

6. Number of outstanding shares in the corporation: **10**

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

EXHIBIT 2

POST TIMES FOR PROPOSED DAILY RACING PROGRAM

2020 – FALL MEET

OCTOBER 31ST TO NOVEMBER 29TH

RACE	ALL DAYS (EXCEPT 11/26/20)	THURS. 11/26/20
1 st	12:30 p.m.	11:00 a.m.
2 nd	1:00 p.m.	11:30 a.m.
3 rd	1:30 p.m.	12:00 p.m.
4 th	2:00 p.m.	12:30 p.m.
5 th	2:30 p.m.	1:00 p.m.
6 th	3:00 p.m.	1:30 p.m.
7 th	3:30 p.m.	2:00 p.m.
8 th	4:00 p.m.	2:30 p.m.
9 th	4:30 p.m.	

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **NONE**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **NA**
11. State where incorporated: **NA**
12. Registry or file number for the corporation: **NA**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **NA**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **NA**
15. Number of outstanding shares in the corporation: **NA**
16. Are the shares listed for public trading? **NA** Yes
If yes, on what exchange and how is the stock listed: **NA**
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **NA**

D. LLC **NA**

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **ON FILE**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Josh Rubinstein	President, Chief Operating Officer
Michael R. Ernst	Executive VP, Finance and Administration/CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Executive VP, Chief Marketing Officer
Ann Hall	Sr. Vice President, Operations & Administration
Lynn Wright	Sr. Vice President, Controller
Sue Walls	Vice President, Facilities
David Jerkens	Racing Secretary
Mac McBride	Director of Media
Cathy Arneel	Director of Group Sales
Paul Porter	Director of Simulcasting
Walker McBride	Director of Sponsorship and Digital Media
William D. Navarro	Director of Mutuels
Nancy Bonforte	Director of Turf Club
Kim Jacobson	Director of Risk Management
Trevor Denman	Public Address Announcer
Dennis Moore	Director of Track Maintenance
Leif Dickinson	Turf Course / Grounds Superintendent
Chris Bahr	Director of Events and Promotions
Ken West	Director of Plant and Purchasing

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Josh Rubinstein – President, Chief Operating Officer
PO Box 700, Del Mar, CA 92014 / josh@dmtd.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **.50¢PNP / Players Pick Five Water – 14%**
 Proposed percentage: **\$2 Rolling Daily Double – 20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **EXHIBIT 3**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. **NA**

Year (Fall Meet)	On-Track Handle	Off-Track Handle	On-Track Attendance	Off-Track Attendance
2015 -20 day meet	15,722,446	178,883,506	123,608	140,286
2016 -15 day meet	10,736,675	141,801,230	87,384	95,694
2017 – 14 day meet	10,422,918	143,646,792	77,218	89,755
2018 – 16 day meet	9,914,887	155,977,465	73,914	94,109
2019 – 15 day meet	7,995,438	139,315,543	60,146	88,321

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

- A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: **15 Race Days** **4,883,750**
 Prior meet actual: **13 Race Days** **4,163,372**



Josh Rubinstein
President & COO

July 24, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Scott,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2020 Fall Season, October 31st – November 29th.

- **Players Pick 5 and Rolling Doubles**
- **Proposed Percentage:** **14% takeout (Pick Five)**
 20% takeout (Rolling Doubles)

For reference, the Players Pick Five Wager and Rolling Doubles are noted on DMTC's license application in Section 5(1).

Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "Josh Rubinstein", is written over the typed name.

Josh Rubinstein,
President and COO

cc: Joe Harper, DMTC
 Mike Ernst, DMTC
 Greg Avioli, TOC
 Amanda Drummond, CHRB



July 27, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2020 Fall Race Meet – Players Pick 5 Wager

Dear Scott,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of the “Players Pick-5” wager on the first five (5) races of the day, subject to a 14% takeout, on each racing day of its 2020 Fall meet, October 31 – November 29, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers



July 27, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2020 Fall Race Meet – Rolling Doubles Wager

Dear Scott,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its 2020 Fall meet, October 31 – November 29, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar's previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads "Greg Avioli". The signature is written in a cursive style with a large, looped "G" and "A".

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **325,583**
 Prior meet actual: **320,259**

2. Overnight stakes:

Current meet estimate: **150,000**
 Prior meet actual **150,000**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **10,000**
 Prior meet actual: **11,538**

3. Non-overnight stakes:

Current meet estimate: **1,520,000**
 Prior meet actual: **1,475,100**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **101,333**
 Prior meet actual: **113,469**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **6,553,750**
 Prior meet actual: **5,788,472**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **29.94%**
 Prior meet actual: **12.31%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **2.00%**
 Prior meet actual: **0.95%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **505,339**
 Prior meet actual: **492,060**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

	Current meet estimate:	Prior meet actual:
TOC Administration	51,380	47,073
CTT Administration	25,690	23,537
CTT Backstretch Pension	<u>51,380</u>	<u>47,073</u>
Total	128,450	117,683

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **7,187,539**
 Prior meet actual: **6,398,215**

Average Daily Purse (7 E ÷ number of days):
 Current meet estimate: **479,169**
 Prior meet actual: **492,170**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: **3,215,441**
 Prior meet actual: **3,295,914**

Average Daily Purse (7 F ÷ number of days):
 Current meet estimate: **214,363**
 Prior meet actual: **253,532**

- G. Purse funds to be generated from interstate handle:
 Current meet estimate: **2,846,809**
 Prior meet actual: **2,305,355**

Average Daily Purse (7 G ÷ number of days):
 Current meet estimate: **189,787**
 Prior meet actual: **177,335**

- H. Bank and account number for the Paymaster of Purses' purse account:

On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meet:
Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **700**
- B. Minimum number of stalls believed necessary for the meeting: **700**

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **3,245**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 - **Santa Anita 1,900**
 - **Los Alamitos 850**
 - **San Luis Rey Downs 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
ON FILE

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **2,100 (Note: as of 2015 total number 2,000)**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
 - \$ 1,495,500**
 - \$ 14.30 cost per day per stall**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
 - Estimated vanning cost: \$202,200**
 - Vanning per horse**
 - Santa Anita \$235**
 - Los Alamitos \$235**
 - San Luis Rey Downs \$130**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - There is a rotation of private veterinarians' onsite during all training and racing hours. Names and emergency telephone numbers placed ON FILE with the CHRB. Tom Robbins, Executive VP Racing, (858) 755-1141 ext. 3804, is responsible for contacting veterinarian.**
- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
 - 10.26.20–12.01.20 Del Mar Race Track (Association) Training and Racing**
 - 4:30am – 10:30am**
 - Prior to Opening Day and once the main track opens for training (10/26/20), DMTC will have a veterinarian (Dr. Helmut Von Bluecher, 626-836-1688) present during training hours to respond to any needs. As more horses arrive after the opening date, private practitioners for each trainer will be present. These veterinarians are present during training hours and available to treat their patients should the need arise. We have**

submitted a more detailed Horse/Rider Down On-Track/Emergency Response Protocol, which is to be placed ON FILE as part of Section 16 Emergency Services.

DMTC will provide a list of all on-site trainers, along with their private practitioners and contact numbers, to the Stable Office, Main Stable Gate, Clockers and Outriders. ON-FILE is a list of veterinarians at DMTC's two authorized training facilities, Los Alamitos and San Luis Rey Downs. In addition, the list of DMTC regulatory veterinarians, along with their contact information, has been submitted to be placed ON-FILE. The regulatory veterinarians are present for much of the morning training hours as they perform the soundness exams in the morning hours, as well as being present to monitor the afternoon racing programs.

Year Round Los Alamitos Race Course (Auxiliary) Training
5:30 am – 10:30 am

Los Alamitos Race Course has private practitioners present during its training hours. Veterinarians' contact numbers ON FILE.

Year Round San Luis Rey Downs (Auxiliary) Training
5:30 am – 10:30 am

San Luis Rey Downs has veterinarians from its nearby San Dieguito Equine Group that are present during training hours. Contact numbers ON FILE.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

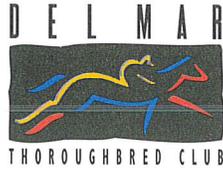
TYPE OF WAGERS

APPLICABLE RULES

Del Mar Thoroughbred Club Wagering Menu and Information

	W/P/S	Parlay	Quinella	Exacta	Trifecta	Superfecta	DD	Pick 3	PPN	Early PNP4	Late PNP4	Early PNP 5	Late PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X	X	X	X			X			
2	X	X	X	X	X	X	X	X	X	X					
3	X	X	X	X	X	X	X	X	X					*	
4	X	X	X	X	X	X	X	X	X				*	*	
5	X	X	X	X	X	X	X	X	X		*		*		
6	X	X	X	X	X	X	X	X	X		*				
7	X	X	X	X	X	X	X	*	X						
8	X	*	X	X	X	X	*		X						X
9	X		X	X	X	X			*						*

* When applicable



Josh Rubinstein
President & COO

August 27, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Pick 6 - Late Surface Change and Mandatory Payout Day

Scott,

This letter is in regards to Del Mar Thoroughbred Club's ("DMTC") "Application for License to Conduct a Horse Racing Meeting" for the time period of October 31, 2020 through November 29, 2020 (the "Race Meet Application").

As specified in the Race Meet Application, DMTC wishes to use ARCI 004-105(G)(2)(i) to govern the payout of our Pick 6. The first share will be 80%, the second share 0%, and the third share will be 20%.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(i), DMTC is required to identify which definition under ARCI 004-105(G)(16)(b) DMTC will use to identify a unique ticket. DMTC requests that a combination of the two definitions to define unique be used. Specifically, DMTC wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination

selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above.

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(i) to define "unique."

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

DMTC requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

DMTC also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used:

1. The payout distribution method as provided for in ARCI 004-105(G)(2)(i); provided, however,
2. If there is no unique winning ticket that day, that day's unique share (i.e. third share) will be added to the day's first share.

In other words, DMTC is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's unique share (i.e. third share) will be added to the first share and will either be paid to the ticketholders that correctly selected the winner in each of the races included in the Pick 6 or

if there is no such ticket, it would then be added to the first share's carryover. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover (i.e. third share carryover) can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

DMTC requests these modifications be approved as part of its license application.

Sincerely,

A handwritten signature in black ink, appearing to read 'JR', with a long horizontal flourish extending to the right.

Josh Rubinstein
President & COO
Del Mar Thoroughbred Club

Wager	Rule	Takeout	Minimum Wager Amount and Other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Quinella	CHRB 1958	22.68%	\$2 Quinella on all eligible races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Rolling Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Rolling Pick 3 on all eligible races.
PPN (Place Pick All)	CHRB 1976.8	23.68%	\$1 Place Pick All.
PNP4 (Early Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5; No consolation; 100% payout; Alternate runner provision.
PNP4 (Late Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(i) ¹ ; ARCI 004-105(G)(16)(b)(i)	23.68%	\$.50 Pick 6 on the last six live races each day: First share – 80%; Second share – 0%; Third share – 20%.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on last race of the day; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race day SH5 if applicable.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Closing Day – 11/29/20**
- D. List any options requested with regard to exotic wagering: **None**
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
October 31, 2020 to November 29, 2020 – (if current conditions permit)
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Totalizator Central System
American Totalizator, Inc. (AmTote)
Primary system at ViaWest Data Center located in Portland Oregon
AmTote Representative:
Bob Sloan (1-443-798-0878) bob.sloan@amtote.com
Automatic Contract Extension to 6/30/2025 as stipulated in original contract
Terminals
F3000e – Convertible teller and self-service unit; IP based, touch screen and keyboard operated.

V3000e – Self Service terminals, touch screen, bill accepting, ticket in/out.
AWSST – Account wagering self-service unit. Touch screen account based terminal.

G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKE OUT PERCENTAGE

14.00 %	Early PNP5
15.43 %	W/P/S; Parlay
20.00 %	DD
22.68 %	E; Q
23.68 %	TRI; SF; PK3; PNP6; SuperH5; PPN; PNP4, Late PNP5

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following Advance Deposit Wagering (ADW) companies to conduct Internet and telephone wagering from California residents: TVG, Xpress-Bet, Watch & Wager, Twin Spires, Bet America, NYRA Bets CA. In addition, several other ADW companies (complete list on file) have access to Del Mar’s content for Internet wagering in states for which ADW is approved by law.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

ON FILE

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes No

If yes, attach a copy of the approval. **EXHIBIT 4**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off Track Wagering, Inc. (SCOTWINC)

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

SCOTWINC Agreement is on file with CHRB

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

EXHIBIT 5

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

EXHIBIT 6



July 27, 2020

Mr. Josh Rubinstein
Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

RE: 2020 DMTC Fall Race Meeting ADW Approvals

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Del Mar Thoroughbred Club (DMTC) race signals under the terms and conditions set forth below.

Pursuant to Section XXIX, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and DMTC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, DMTC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on DMTC and other Thoroughbred races during the 2020 Fall race meeting, October 31 through November 29, 2020, as follows:

1. California wagering on DMTC races:

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON

ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.

- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on DMTC races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

EXHIBIT 5
(If applicable)

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park @ Barretts, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park Casino, Inglewood
Humboldt County Fair, Ferndale
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
Pechanga Resort Casino, Temecula
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
San Mateo Event Center, San Mateo
Santa Anita Park, Arcadia
Santa Clara County Fair, San Jose
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion, the Farmers Fair, Lake Perris
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

EXHIBIT 6
(If applicable)

AmWest Entertainment, KY
Arapahoe Park, Colorado
Arima Race Course, Trinidad
Arizona Downs, Arizona
Arlington International Race Course, Illinois
Assiniboia Downs, Canada
Atlantis Resort and Casino, Bahamas
Bangor Raceway, Maine
Barrie Raceway, Ontario
Batavia Downs, New York
Belterra Race Course, OH
Bet America, LLC, North Dakota
Bet Fair Games Limited, UK
Bettor Racing OTB, South Dakota
Birmingham Race Course, Alabama
Bordertown OTB, Oklahoma
Borgata Casino, New Jersey
Buffalo Trotting Association, New York
Bwin International, Ltd.
Caliente (MIR), Mexico
Camarero, Puerto Rico
Canterbury Park, Minnesota
Capital District OTB, New York
Catskill Regional OTB, New York
Caymanas Race Track, Jamaica
Century Downs, Alberta, Canada
Century Miles, Alberta, Canada
Charles Town Races, West Virginia
Chester Downs - Pennsylvania
Churchill Downs, Kentucky
Clinton Teletheatre, Canada
Codere, Mexico
Codere, Spain
Columbus Raceway, Nebraska
Connecticut OTB, Connecticut
Corpus Christi Greyhound, Texas
Coushatta Casino Resort, Louisiana
Dayton Raceway, OH
Divi Carina Bay Casino, Louisiana
Delaware Park, Delaware
Delta Downs, Louisiana
Dover Downs, Delaware
Downs at Albuquerque, New Mexico
Ebet Online, Oregon
Egg Harbor Turf Club, New Jersey
Elite Turf Club, Curacao
Ellis Park, Kentucky
Elmira Raceway, Ontario
Equilottery, Kentucky
Equus St. Thomas, St. Thomas
Emerald Downs, Washington
Euro Offtrack, Isle of Man
Evangeline Downs, Louisiana
Evansville/Clarksville OTB, Indiana
Fairgrounds ADW, Louisiana
Fairgrounds Racecourse, Louisiana
Fair Meadows, Texas
Favorites at Gloucester, New Jersey
Favorites at Vineland, New Jersey
Finger Lakes Bets, NY
Finger Lakes Race Track, New York
Flamboro Downs, Ontario
Fonner Park, Nebraska
Fort Erie Racetrack, Canada
Foxwoods Casino, Connecticut
Fraser Downs, British Columbia
Freehold Raceway, New Jersey
Gillespie County Downs, Texas
Global Wagering Group, Germany
Global Wagering Solutions, Germany
Grand River, Canada
Grants Pass Downs, Oregon
Greentrack, Alabama
Greyhounds at Post Falls, Idaho
Gulf Greyhound, Texas
Gulfstream Park, Florida
Harrington Bets, Delaware
Harrington Raceway, Delaware
Hastings Park, Canada
Hawthorne ADW, Illinois
Hawthorne Race Course, Illinois
Hiawatha Downs, Ontario
Hipica de Panama, Panama
Hipodromo Camarero, Puerto Rico
Hipodromo Montericco, Peru
Ho-Chuck Casino, Connecticut
Hodge OTB, Connecticut
Hoosier Park, Indiana
Horsemen's Park, Nebraska
Horseshoe Council Bluffs, Iowa
Idaho Fall OTB, Idaho
Idabet, Iowa

EXHIBIT 6
(If applicable)

Indiana Downs, Indiana
Inverness Raceway, Canada
Iowa Greyhounds, Iowa
Intermountain Racing, Idaho
John Martins Manor, Maine
Kawartha Downs, Ontario
Keeneland, Kentucky
Keeneland Select ADW, Kentucky
Kentucky Downs, Kentucky
Kentucky OTB, Kentucky
Las Vegas Dissemination Company, Nevada
Lewiston OTB, Maine
Lien Games, North Dakota
Lincoln Greyhound Park, Rhode Island
Lone Star Race Park, Texas
Louisiana Downs, Louisiana
Magna Bet, Austria
Mahoning Valley Race Course, OH
Maronas Race Track, Uruguay
Marquis Downs, Saskatchewan
Maryland Jockey Club, Maryland
Maywood Park, Illinois
Meadowlands, New Jersey
Meskwaki Casino, Oklahoma
Miami Valley Gaming, Ohio
Millennium Racing, Trinidad
Mobile Greyhound Park, Alabama
Mohegan Sun, Pennsylvania
Mohawk Harness, Ontario
Monmouth Park, New Jersey
Montana OTB, Montana
Montericco Simulcasting, Peru
Monticello Raceway, NY
Mountaineer Park, West Virginia
Nassau Regional OTB, New York
Nebraska State Fair Park, Nebraska
Nevada Dissemination Services, Nevada
Nevada Pari-Mutuel Association, Nevada
New Jersey Mobile, New Jersey
New Palace Casino, Mississippi
New Zealand Racing Board, NZ
NYRA, New York
NYRA Bets, New York
Newport Jai-Alai, Rhode Island
Northfield Park, Ohio
Northlands Park, Canada

Northville Downs, Michigan
Nugget Casino, Mississippi
Oaklawn Park, Arkansas
Oaklawn Park ADW, Arkansas
Ocean Downs, Maryland
Offtrackbetting.com, Oregon
Oregon Off-Tracks, Oregon
Oneida Bingo and Casino, Oklahoma
Ontario Teletheatre, Ontario
Panama OTB Network, Panama
PariBet ADW, North Dakota
PariBet OTB, North Dakota
Paragon Casino, Louisiana
Penn National, Pennsylvania
Penn National ADW, Pennsylvania
PARX, Pennsylvania
Peru Jockey Club, Peru
Peru OTB Network, Peru
Phumelela, South Africa
PARX ADW, Pennsylvania
Picov Downs, Canada
Pimlico Race Course, Maryland
Plainridge Race Course, Massachusetts
Plainridge ADW, Massachusetts
Player Management Group, CA
PMU Brazil, Brazil
Pocono Downs, Pennsylvania
Pocono Downs ADW, Pennsylvania
Pojoaque Casino, Oklahoma
Portland Meadows, Oregon
Potawatomi Casino, Wisconsin
Prairie Meadows, Iowa
Premier Gateway International, S.A.
Premier Turf Club, North Dakota
Presque Isle Downs, Pennsylvania
Racing and Gaming Services, St Kitts
Remington Park, Oklahoma
Retama Park, Texas
Rideau Carleton Raceway, Canada
Riders Up OTB, Oklahoma
Rillito Park, Arizona
Rockingham Park, New Hampshire
Rocky Mountain Turf Club, Canada
Rosecroft Raceway, Maryland
Royal Beach Casino, St Kitts
Ruidoso Downs, New Mexico

EXHIBIT 6
(If applicable)

Running Aces Harness Park, Minnesota
 Sam Houston Park, Texas
 Saratoga Harness, New York
 Scarborough Downs, Maine
 Scarlet Pearl, Mississippi
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Seabrook Greyhound Park ADW, NH
 Shoreline Star Greyhounds, Connecticut
 Skydancer Casino, Oklahoma
 Sol Mutuel, St Kitts
 Sol Mutuel Otrobanda, St Kitts
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 State Fair, Nebraska
 Suaposta ADW, Brazil
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 TabCorp, Australia
 Tampa Bay Downs, Florida
 TattsBet, Australia
 Taunton Dog Track, New Hampshire
 Taunton Dog Track ADW, New Hampshire
 TBC Teletheatre, British Columbia
 The Greenbrier Resort, West Virginia
 The Meadows, Pennsylvania
 The Meadows ADW, Pennsylvania
 Thistledown, Ohio
 Tioga Downs, New York
 Tote Investment Services, Trinidad
 Tri-State Greyhound, West Virginia
 Truro Raceway, Canada
 TVG, Arizona
 TVG Chester, Pennsylvania
 TVG High Volume, Los Angeles
 TVG, Los Angeles
 TVG, Massachusetts
 TVG Prairie Meadows, Iowa
 Turf del Norte, Mexico
 Turf del Norte, Tribecta D.R.
 Turf Paradise, Arizona
 Turfway Park, Kentucky
 Twin River Greyhounds, RI
 TwinSpires, Kentucky
 TwinSpires High Volume, Kentucky
 US Offtrack, Florida
 Valley Greyhound Park, Texas
 Velocity Wagering Ltd., KY
 Venezuela OTB, Venezuela
 Vernon Downs, New York
 Victoryland Greyhounds, Alabama
 Virginia Equine Alliance, Virginia
 Watch and Wager, LLC, San Francisco
 Western Fair, Ontario
 Western Regional OTB, New York
 Wheeling Downs, Canada
 Will Rogers Downs, Oklahoma
 Woodbine Entertainment Corp, Ontario
 Wyoming Downs, Wyoming
 Wyoming Horse Racing LLC, Wyoming
 XpressBet, Arizona
 XpressBet, Illinois
 XpressBet, (GWS)
 XpressBet, Pennsylvania
 Xpressbet, Southern Alberta
 Yonkers Raceway, New York
 Yonkers Empire City Bets, New York
 Zia Park, New Mexico
 123Gaming, Oregon

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
EXHIBIT 7
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
EXHIBIT 8
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: **EXHIBIT 9**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: **NA**

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: **NA**

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: **NA**

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **NA**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

EXHIBIT 7
(If applicable)

AmWest Entertainment, KY
Arapahoe Park, Colorado
Arima Race Course, Trinidad
Arizona Downs, Arizona
Arlington International Race Course, Illinois
Assiniboia Downs, Canada
Atlantis Resort and Casino, Bahamas
Bangor Raceway, Maine
Barrie Raceway, Ontario
Batavia Downs, New York
Belterra Race Course, OH
Bet America, LLC, North Dakota
Bet Fair Games Limited, UK
Bettor Racing OTB, South Dakota
Birmingham Race Course, Alabama
Bordertown OTB, Oklahoma
Borgata Casino, New Jersey
Buffalo Trotting Association, New York
Bwin International, Ltd.
Canterbury Park, Minnesota
Capital District OTB, New York
Catskill Regional OTB, New York
Century Downs, Alberta, Canada
Century Miles, Alberta, Canada
Charles Town Races, West Virginia
Chester Downs - Pennsylvania
Churchill Downs, Kentucky
Clinton Teletheatre, Canada
Columbus Raceway, Nebraska
Connecticut OTB, Connecticut
Corpus Christi Greyhound, Texas
Coushatta Casino Resort, Louisiana
Dayton Raceway, OH
Divi Carina Bay Casino, Louisiana
Delaware Park, Delaware
Delta Downs, Louisiana
Dover Downs, Delaware
Downs at Albuquerque, New Mexico
Ebet Online, Oregon
Egg Harbor Turf Club, New Jersey
Elite Turf Club, Curacao
Ellis Park, Kentucky
Elmira Raceway, Ontario
Equus St. Thomas, St. Thomas
Emerald Downs, Washington
Euro Offtrack, Isle of Man
Evangeline Downs, Louisiana
Evansville/Clarksville OTB, Indiana
Fairgrounds ADW, Louisiana
Fairgrounds Racecourse, Louisiana
Fair Meadows, Texas
Favorites at Gloucester, New Jersey
Favorites at Vineland, New Jersey
Finger Lakes Bets, NY
Finger Lakes Race Track, New York
Flamboro Downs, Ontario
Fonner Park, Nebraska
Fort Erie Racetrack, Canada
Foxwoods Casino, Connecticut
Fraser Downs, British Columbia
Freehold Raceway, New Jersey
Gillespie County Downs, Texas
Global Wagering Group, Germany
Global Wagering Solutions, Germany
Grand River, Canada
Grants Pass Downs, Oregon
Greentrack, Alabama
Greyhounds at Post Falls, Idaho
Gulf Greyhound, Texas
Gulfstream Park, Florida
Harrington Bets, Delaware
Harrington Raceway, Delaware
Hastings Park, Canada
Hawthorne ADW, Illinois
Hawthorne Race Course, Illinois
Hiawatha Downs, Ontario
Hipica de Panama, Panama
Hipodromo Camarero, Puerto Rico
Hipodromo Montericco, Peru
Ho-Chuck Casino, Connecticut
Hodge OTB, Connecticut
Hoosier Park, Indiana
Horsemen's Park, Nebraska
Horseshoe Council Bluffs, Iowa
Idaho Fall OTB, Idaho
Idabet, Iowa
Indiana Downs, Indiana
Inverness Raceway, Canada
Iowa Greyhounds, Iowa
Intermountain Racing, Idaho
John Martins Manor, Maine
Kawartha Downs, Ontario

EXHIBIT 7
(If applicable)

Keeneland, Kentucky	Oneida Bingo and Casino, Oklahoma
Keeneland Select ADW, Kentucky	Ontario Teletheatre, Ontario
Kentucky Downs, Kentucky	Panama OTB Network, Panama
Kentucky OTB, Kentucky	PariBet ADW, North Dakota
Las Vegas Dissemination Company, Nevada	PariBet OTB, North Dakota
Lewiston OTB, Maine	Paragon Casino, Louisiana
Lien Games, North Dakota	Penn National, Pennsylvania
Lincoln Greyhound Park, Rhode Island	Penn National ADW, Pennsylvania
Lone Star Race Park, Texas	PARX, Pennsylvania
Louisiana Downs, Louisiana	Peru Jockey Club, Peru
Magna Bet, Austria	Peru OTB Network, Peru
Mahoning Valley Race Course, OH	Phumelela, South Africa
Maronas Race Track, Uruguay	PARX ADW, Pennsylvania
Marquis Downs, Saskatchewan	Picov Downs, Canada
Maryland Jockey Club, Maryland	Pimlico Race Course, Maryland
Maywood Park, Illinois	Plainridge Race Course, Massachusetts
Meadowlands, New Jersey	Plainridge ADW, Massachusetts
Meskwaki Casino, Oklahoma	Player Management Group, CA
Miami Valley Gaming, Ohio	PMU Brazil, Brazil
Millennium Racing, Trinidad	Pocono Downs, Pennsylvania
Mobile Greyhound Park, Alabama	Pocono Downs ADW, Pennsylvania
Mohegan Sun, Pennsylvania	Pojoaque Casino, Oklahoma
Mohawk Harness, Ontario	Portland Meadows, Oregon
Monmouth Park, New Jersey	Potawatomi Casino, Wisconsin
Montana OTB, Montana	Prairie Meadows, Iowa
Montericco Simulcasting, Peru	Premier Gateway International, S.A.
Monticello Raceway, NY	Premier Turf Club, North Dakota
Mountaineer Park, West Virginia	Presque Isle Downs, Pennsylvania
Nassau Regional OTB, New York	Racing and Gaming Services, St Kitts
Nebraska State Fair Park, Nebraska	Remington Park, Oklahoma
Nevada Dissemination Services, Nevada	Retama Park, Texas
Nevada Pari-Mutuel Association, Nevada	Rideau Carleton Raceway, Canada
New Jersey Mobile, New Jersey	Riders Up OTB, Oklahoma
New Palace Casino, Mississippi	Rillito Park, Arizona
NYRA, New York	Rockingham Park, New Hampshire
NYRA Bets, New York	Rocky Mountain Turf Club, Canada
Newport Jai-Alai, Rhode Island	Rosecroft Raceway, Maryland
Northfield Park, Ohio	Royal Beach Casino, St Kitts
Northlands Park, Canada	Ruidoso Downs, New Mexico
Northville Downs, Michigan	Running Aces Harness Park, Minnesota
Nugget Casino, Mississippi	Sam Houston Park, Texas
Oaklawn Park, Arkansas	Saratoga Harness, New York
Oaklawn Park ADW, Arkansas	Scarborough Downs, Maine
Ocean Downs, Maryland	Scarlet Pearl, Mississippi
Offtrackbetting.com, Oregon	Scioto Downs, Ohio
Oregon Off-Tracks, Oregon	Seabrook Greyhound Park, NH

**EXHIBIT 7
(If applicable)**

Seabrook Greyhound Park ADW, NH
Shoreline Star Greyhounds, Connecticut
Skydancer Casino, Oklahoma
Sol Mutuel, St Kitts
Sol Mutuel Otrobanda, St Kitts
Southland Greyhound Park, Arkansas
Sports Center, Kentucky
State Fair, Nebraska
Suaposta ADW, Brazil
Suffolk District OTB, New York
Suffolk Downs, Massachusetts
Sunland Park Racetrack, New Mexico
Sun Ray Park, New Mexico
Tampa Bay Downs, Florida
Taunton Dog Track, New Hampshire
Taunton Dog Track ADW, New Hampshire
TBC Teletheatre, British Columbia
The Greenbrier Resort, West Virginia
The Meadows, Pennsylvania
The Meadows ADW, Pennsylvania
Thistledown, Ohio
Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG High Volume, Los Angeles
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf del Norte, Tribecta D.R.
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
TwinSpires High Volume, Kentucky
US Offtrack, Florida
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Virginia Equine Alliance, Virginia
Watch and Wager, LLC, San Francisco

Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico
123Gaming, Oregon

EXHIBIT 8
(If applicable)

Mini-Satellites

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 803-3693
Contact: Edgar Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact: Tom Cristi

M4. Sammy's Original
23221 Lake Center Drive
Lake Forest, CA 92630
Phone: (949) 716-2367
Contact: Pete Genovese

M5. Tiled Kilt
1345 E. Thousand Oaks Blvd.
Thousand Oaks CA. 91362
Phone: (805) 777-7418
Contact: Robert Haupt

M6. Firehouse Restaurant
7701 White Avenue
Bakersfield, CA 93313
PH: 661-831-4688
Contact: Russ Johnson

EXHIBIT 8
(If applicable)

M7. Lake Elsinore Casino
20930 Malaga Road
Lake Elsinore, CA 92530
PH: 951-674-5160
Contact: Pat Wilmes

M8. 58 Flat
4110 Bonita Road
Bonita, CA 91902
PH: 619-884-1291
Contact: Denny Kanakaris

M9. The Derby Room
3230 Hamner Avenue
Norco, CA 92860
PH: 951-692-3572
Contact: Jim Baerwald

EXHIBIT 9

Full & Partial Cards

Aqueduct: October 31, 2020 - November 29, 2020
Churchill Downs: October 31, 2020 - November 29, 2020
Delta Downs: October 31, 2020 - November 29, 2020
Gulfstream Park West: October 31, 2020 - November 29, 2020
Fairgrounds: October 31, 2020 - November 29, 2020
Finger Lakes: October 31, 2020 - November 29, 2020
Hawthorne Race Course: October 31, 2020 - November 29, 2020
Laurel Park: October 31, 2020 - November 29, 2020
Mahoning Valley: October 31, 2020 - November 29, 2020
Mountaineer Park: October 31, 2020 - November 29, 2020
PARX Racing: October 31, 2020 - November 29, 2020
Penn National: October 31, 2020 - November 29, 2020
Turf Paradise: October 31, 2020 - November 29, 2020
Zia Park: October 31, 2020 - November 29, 2020

Woodbine: October 31, 2020 - November 29, 2020
LARC (South America) via Laurel Park October 31, 2020 - November 29, 2020
LARC (South America) via Gulfstream Park: October 31, 2020 - November 29, 2020

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **DEL MAR THOROUGHBRED CLUB
PO BOX 700, DEL MAR, CA 92014**
- B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder PO Box 700 Del Mar, CA 92014	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Bo Derek PO Box 700 Del Mar, CA 92014
Joseph W. Harper P.O. Box 700 Del Mar, CA 92014	Kosta Hronis PO Box 700 Del Mar, CA 92014
Marie G. Moretti 6705 De Chardin Lane Reno, NV 89511	Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078
Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067	Jim Rome PO Box 700 Del Mar, CA 92014

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) **Dana Stead, DVM**
 Clerk of Scales **Matt Nichols**

Assistant Clerk of Scales	Charles McCaul
Clerk of the Course	Dawn Schmid
Film Specialist	Heather Correa
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Heather Correa – Karen Denovel – Ed Reese
Placing Judges	Robert Moreno – Sue Brent
Starter	Jay Slender
Timer	John Lies

- B. Management officials in the racing department:
- | | |
|-------------------------------------|--------------------------|
| Director of Racing | Thomas S. Robbins |
| Racing Secretary | David Jerkens |
| Assistant Racing Secretary | Zach Soto |
| Paymaster of Purses | Bridget Crawford |
| Others (identify by name and title) | N/A |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Michelle Derieg**
Weinstein Court Reporters
PO Box 26634
Santa Ana, CA 92799
(949) 637-6071
mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation, William O'Brien, Digital Photo Finish Only**
Contract expires 12/31/2020
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- TVG**
Expiration: 12/31/2020
Equipment list on file with CHRB
For each race TVG will provide:
-Two manned pan cameras located near the judge's room in the press box providing a wide and tight view of each race, turf and dirt, plus coverage for in-house video board presentation.
-Four manned tower cameras:
-Backstretch head-on (Training Track Camera Tower)
-Far turn and Stretch run back view (Quarter Chute Camera Tower)
-Stretch-run head-on (Livestock Barn Camera Tower) - turf
-Stretch-run head-on (Livestock Barn Camera Tower) - dirt
-2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners
-A camera in winner circle
-Camera on the 7th floor (manned when TVG production crew is on-site)
-TVG will provide talent for in-house events
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

AXCIS INFORMATION NETWORK, INC.

TrackMaster c/o Equibase

821 Corporate Drive, Lexington, KY 40503

GPS System: Global Positioning Systems Technology

**Name of person: David Siegel (Axcis Information Network, Inc.)
(TrackMaster/Equibase)**

Expiration date: December 31, 2022

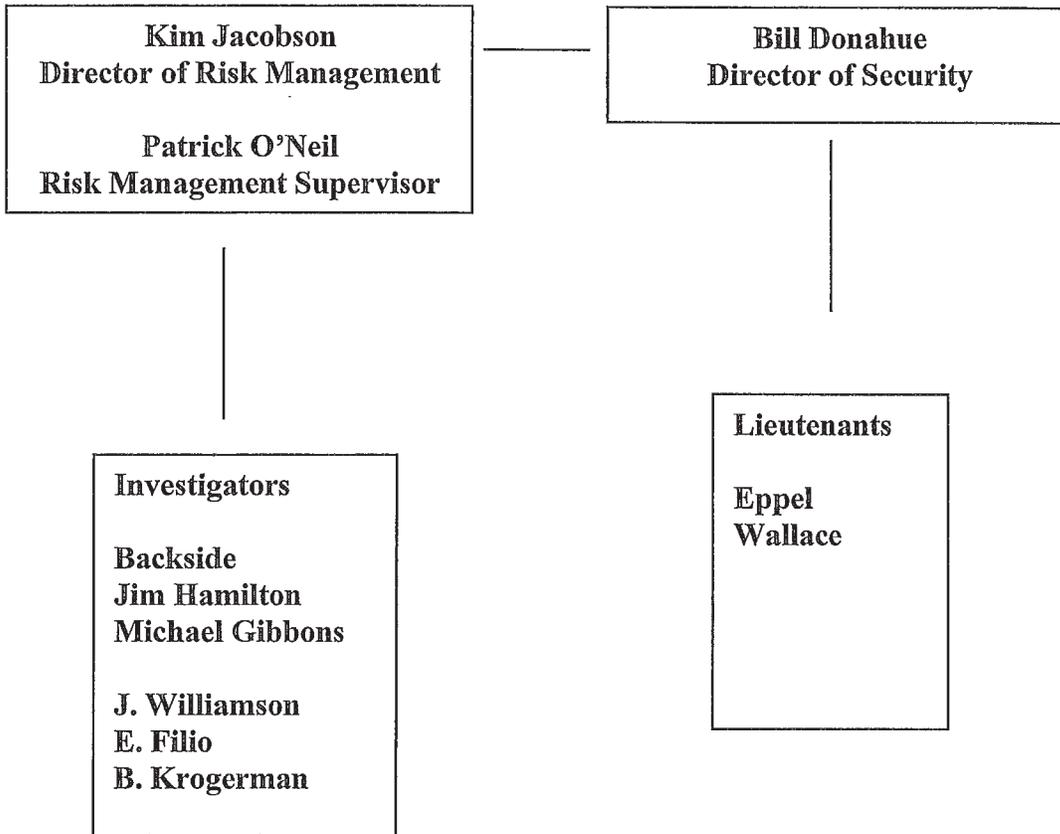
15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **EXHIBIT 10 (A) AND (B)**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **Based on “no-spectators” fall 2020 meet, estimated security personnel 24**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
The security for stakes races will be deployed in accordance with our agreement with TOC, with a security guard positioned outside each stall for the entire 6-hour period for each race of \$100,000 or more. Details of the detention stalls are described in 15.B – 2 (C).
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
Detention barn is used as required by the CHRB.
 - B. Number of security guards in the detention stall area during a 24-hour period.
As needed at the direction of the CHRB.
 - C. Describe number and location of surveillance cameras in detention stall area.
For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shed-row from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators’ office on the Frontside.
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
All
 - B. Plan for enhanced surveillance for trainers with high-test results.
Will follow CHRB directives.
 - C. Plan for detention stalls for repeat offenders.
Will follow CHRB directives.
 - D. Number of security personnel assigned to the TCO2 program.
Assigned as needed.
- C. Describe the electronic security system:
Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

EXHIBIT 10A

Security Personnel

Person responsible for security controls on the premises:



Contact Information:

Director of Risk Management	Work 858-792-4233
Risk Management Supervisor	Work 619-840-7881
Director of Security	Work 858-755-1141 ext. 3652
All others	Work 858-755-1141 ext. 3650

- List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Del Mar Thoroughbred Club utilizes several pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.

D. For night racing associations. Describe emergency lighting system: **NA**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Mercy Ambulance
2537 Old San Pasqual Road
Escondido, CA 92027
(760) 525-9676**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Exhibit 11 – ON FILE

On-track staff: Advanced Life Support Ambulances (ALS) – A Paramedic and Bridge Program certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Auxiliary Site: Los Alamitos
Huntington Ambulance
Contact: Stacy O'Bryan
PO Box 145
Sunset Beach, CA 90742
(562) 904-1550**

**Auxiliary Site: San Luis Rey Downs
Mercy Ambulance
Contact: Doug Moriarty
2537 Old San Pascual Road
Escondido, CA 92027
(760) 525-9676**

**Auxiliary Site: Santa Anita
Symons Ambulance
Contact: Ryan Merchant
18592 Cajon Blvd.
San Bernardino, CA 92407
(951) 880-6263**

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Huntington Ambulance Certificate: On file**
- **Mercy Ambulance Certificate: On file**
- **Symons Ambulance Certificate: – On file**

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.



COUNTY OF SAN DIEGO

EMERGENCY MEDICAL SERVICES

EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: MERCY MEDICAL TRANSPORTATION, INC.

Effective Date: December 1, 2019

Expiration Date: November 30, 2020

Approved as: ALS

BLS

CCT

Authorized by:

Permit Officer, Emergency Medical Services

Permit Number: 19-130

EXHIBIT 11

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

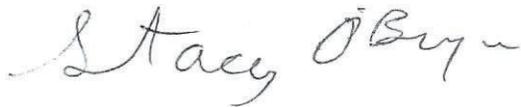
May 22, 2020

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President
Huntington Ambulance, LLC



May 23, 2020

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Ryan Merchant
General Manager
(951) 880-6263
rmerchant@symonsambulance.com

The equipment contained in the first aid clinic includes:

- Oxygen at each station
- Cardiac monitor
- AED
- Otoscope
- Ophthalmoscope
- Pulse and O₂ Oximeter
- Surgical equipment (used for laceration repair and suture removal)
- B/P Cuff
- Thermometer
- Bag Valve Mask
- Surgery Light
- Stethoscope
- Glucose Monitor and Supplies

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Del Mar Doxx - and rotate on site for the racing days. The doctors include:

Ariel Alexander Cortes, MD

Athanasios J. Foster, MD

Melinda E. Nevins, DO

Phillip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **NA**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.

- **Scripps La Jolla**
988 Genesee Ave., La Jolla, CA 92037 / 858-626-4123
- **Scripps Encinitas**
354 Santa Fe Drive, Encinitas, CA 92024 / 760-753-4123

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **EXHIBIT 12 – ON FILE**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

- **Health and Safety Manager (Risk Manager): Kimberly Jacobson**
- **Assistant Manager (Risk Management Assistant): Patrick O'Neil**
- **On-Site Medical Coordinator-Rotates between different Fire Fighter Paramedics**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. **ON FILE**

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

State Compensation Insurance Fund of CA

IOA Insurance Services

3875 Hopyard Road, Suite 200

Pleasanton, CA 94588

Policy #927286820

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

Overview: The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

Program Elements:

I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Del Mar Doxx's to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. At minimum each ambulance is staffed by a Paramedic and a certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

II. Jockey Information Health System

DMTC participates in the Jockey Information Health System through Incompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.

- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary, hospital will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.
- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
- d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

IV. On-site First Aid Facilities and Staffing

- a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will be a licensed physician and medical assistant on duty.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

b. Advanced Life Support Ambulances (ALS) – A Paramedic and Certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one, at a minimum (1) Paramedic and one (1) Certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times. (Depending on staffing for the day there may be times when two paramedics are on a rig).

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with a licensed Emergency Medical Services provider who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

General Conditions

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

emergency care; they will direct the medical transport team as to which hospital to respond to.)

Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Response During Training Periods

- During training periods, Advanced Life Support Unit will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist EMS provider with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet on-track unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program will be treated on-track or at the DMTC fist aid clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed –circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.
- In the event of a multiple rider down situation Team 1 will call for immediate backup from Team 2 at which time Team 2 will enter the track to assist. If the situation is going to require additional response from outside Team 1 will request outside units through Security Dispatch.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,
- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

Please note: Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

II. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

Visión General: El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

Elementos del Programa:

I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Del Mar Doxx's" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Como mínimo, cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

II. Sistema de Salud para la Información del Jinete

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de Incompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fax directamente a la sala de emergencia del hospital.

II. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.
- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se transportará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el incidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

IV. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo habrá un médico licenciado y ayudante médico en servicio.
- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá uno, como mínimo (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado en el sitio en todo momento. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento. (Dependiendo del personal para el día puede haber ocasiones cuando dos paramédicos se encuentren en un camión).
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

V. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata al proveedor de Servicios Médicos de Emergencia licenciados que proporciona ALS para responder ante las emergencias médicas y puede proporcionar servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de

jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.

- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

VI. Plan Médico de Emergencia

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

Condiciones Generales

- Los Paramédicos y EMT en el sitio responderán a cualquier accidente que se observe de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripp atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

Comunicaciones

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el Ayudante controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

Respuesta Durante los Periodos de Entrenamiento

- Durante los periodos de entrenamiento, la Unidad de Apoyo Vital Avanzado determinará la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica es necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a EMS a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.
- Las unidades municipales se reunirán en la unidad en la pista en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista o en el centro de primeros auxilios de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

Respuesta Durante la Pre-carrera (Caballeriza)

- El Equipo 2 estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el área de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.
- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite,

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

Accidentes Durante la Carrera en Directo

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión o según lo aconsejado por el Personal de Seguridad de DMTC,
- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o reemplazar a la unidad de la pista si fuera necesario por el Equipo 1 para abandonar la pista por cualquier razón.
- En caso de que jinetes múltiples se encuentren en situación de lesión, el Equipo 1 llamará para apoyo inmediato del Equipo 2 en el que el Equipo 2 entrará en la pista para ayudar. Si la situación va a requerir una respuesta adicional desde fuera el Equipo 1 solicitará fuera unidades a través del Despacho de Seguridad.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará de inmediato a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para otra atención médica y tratamiento según se necesite,
- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS requiere que abandone los terrenos, la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica de clientes hasta que regrese la unidad al terreno.
- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

Por favor tenga presente: Bajo ninguna circunstancia informaremos con respecto al estado médico del jinete a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

VII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista de DMTC se exhibirá en Inglés y Español en las siguientes localizaciones:

- a. La Sala del Jinete situada en la primera planta de las Gradadas del Clubhouse
- b. Las instalaciones médicas en el sitio de DMTC, y
- c. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC y los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.



Josh Rubinstein
President & COO

August 27, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: CHRB 4 CCR 1927 Fire Prevention and Auxiliary Stabling
Letter of Request for an Exemption or Extension

Dear Scott,

In accordance with Section 16H of the Application for License to Conduct a Horse Racing Meeting, Del Mar Thoroughbred Club is required to submit a letter of inspection by the fire authority having jurisdiction over the premises. After inspection by the Fire Marshal, this letter of compliance was submitted with our summer application valid through December 31, 2020, and is attached.

For auxiliary stabling sites, letters were submitted to the CHRB for fire clearance compliance from Santa Anita and Los Alamitos, as well as San Luis Rey Downs, last month. We have been informed that the CHRB would accept these letters for their live meets in 2020 and would, therefore, exempt Del Mar from providing additional copies with our fall application.

Del Mar Thoroughbred Club is seeking exemption for submittal of additional fire clearance letters with our fall race meet application. Thank you for your consideration and assistance.

Very truly yours,


Josh Rubinstein, President

cc: Amanda Drummond
Rick Pimentel



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
Fire and Life Safety Division
24000 Jefferson Avenue, Suite B
Murrieta, CA 92562
(951) 304-7865
Website: www.fire.ca.gov



June 25, 2020

Sue Walls, Vice President - Facilities
Del Mar Thoroughbred Club
P.O. Box 700
Del Mar, California 92014-070

Re: Del Mar Thoroughbred Racing Facility
CSFM File #04-37-041-0001

Dear Ms. Walls:

An inspection of the referenced facility was conducted on May 05, 2020, in accordance with Section 13108(c) of the California Health and Safety Code. The purpose was to determine compliance with minimum fire and life safety standards required by Titles 19 and 24 of the California Code of Regulations for horse boarding and racing, including simulcast from July 1, 2020 thru December 31, 2020.

The deficiencies noted at the time of inspection have been corrected and reinspected for compliance.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned time periods at the Del Mar Thoroughbred Club located at the San Diego County Fairgrounds.

If we can be of further assistance, or you desire additional information or clarification, please feel free to contact me at 951-304-7865.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeffrey Bailey".

Jeffrey Bailey
Deputy State Fire Marshal III



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
Fire and Life Safety Division
24680 Jefferson Avenue, Suite B
Murrieta, CA 92562
(951) 304-7865
Website: www.fire.ca.gov



May 26, 2020

Sue Walls, Vice President - Facilities
Del Mar Thoroughbred Club
P.O. Box 700
Del Mar, California 92014-070

Re: Del Mar Thoroughbred Racing Facility
CSFM File #04-37-041-0001

Dear Ms. Walls:

An inspection of the referenced facility was conducted on May 5, 2020, in accordance with Section 13108(c) of the California Health and Safety Code. The purpose was to determine compliance with minimum fire and life safety standards required by Titles 19 and 24 of the California Code of Regulations.

No deficiencies were noted at the time of inspection. Fire Clearance is hereby granted for the 2020 racing season.

If we can be of further assistance, or you desire additional information or clarification, please feel free to contact me at 951-304-7865.

Sincerely,

Jeffrey Bailey
DSFM III Specialist

A handwritten signature in black ink, appearing to read "Jeffrey Bailey".



July 31, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Los Angeles Turf Club II, Inc. – Rule 1927 Exemption Request

Dear Mr. Chaney,

All the barns, stalls, and tack rooms (and the equine hospital) at Santa Anita Park are protected by automatic fire suppression sprinklers which are monitored 24/7 on-site as well as off site by a third-party central monitoring company. Additionally, all are equipped with an automatic fire alarm system.

Along with the sprinkler and alarm monitoring, Santa Anita Park stable area contains 18 dedicated Fire Hydrants, along with 81 Fire Hose Standpipes and cabinets, and a minimum of two fire extinguishers per barn (over 132 in total). All fire suppression systems and devices are checked, tested, and maintained in compliance with California Fire Code and NFPA standards, along with weekly visual inspections.

As required by Arcadia Fire Department, both the automatic fire suppression sprinkler system and automatic fire alarm are inspected and tested once per year by independent companies. Arcadia FD reviews the results of the inspection and testing during one of its inspections that year. (Arcadia FD performs two inspections of Santa Anita Park per year.) In addition to the annual test, Santa Anita Park's fire alarm is monitored, tested, and maintained by an independent company which also provides 24/7 monitoring as well as monthly testing of the emergency generator.

Additionally, please accept this letter as confirmation that the barn area at Santa Anita Park has one (1) watchman after dark. In addition, there is one gate person who monitors the phones and Fire Panels. The Santa Anita Park stable area is patrolled continuously by a dedicated roving guard who works in connection with a guard dedicated to monitoring the fire alarm panels and can dispatch crews to any incident 24/7.

Santa Anita Park also has a full time Fire Prevention Officer that ensures Santa Anita Park is in compliance with Arcadia FD rules and regulations. The Fire Prevention Officer also does weekly inspections of stable area to ensure all egress paths are cleared, that fire suppression devices are unobstructed and to be in working order, as well as respond to any emergency calls 24/7.

Arcadia Fire Department is only able to conduct two inspections per year at Santa Anita Park, as has been the custom for many years.

The first 2020 fire inspection occurred on June 10, 2020. (Please note that the first inspection of the year is typically conducted sometime between February and April; however, this year as a result of the pandemic it was pushed to June.) The second inspection occurred on July 30, 2020. (The second inspection is typically conducted in July.) Since Arcadia FD is not able to conduct more than two inspections per year, and due to the timing of those inspections, it is not possible for the barn area at Santa Anita Park to be inspected within 45 days prior to the commencement of the race meeting.

The fire clearance letter dated June 23, 2020 states that the inspection is valid through December 31, 2020.

Given that Santa Anita Park does have a current fire clearance letter; Arcadia Fire Department performed its two inspections for 2020; Arcadia FD is only able to perform two inspections per year; the barn area of Santa Anita Park does meet the fire safety specifications set forth in Rule 1927; and the automatic sprinkler system and automatic fire alarm system are inspected and tested annually by an independent party as required by Arcadia FD; pursuant to Rule 1927, Los Angeles Turf Club II, Inc. is requesting an exemption from the requirement of Rule 1927 that the fire authority having jurisdiction over the barn area of Santa Anita Park perform an inspection within 45 days prior to the commencement of the race meeting.

Sincerely,

A handwritten signature in black ink, appearing to read 'A. Butler', with a long horizontal flourish extending to the right.

Aidan Butler



City of Arcadia

Fire Department

Michael E. Lang
Fire Chief

710 South Santa
Anita Avenue
Arcadia, CA 91006
(626) 574-5100
(626) 446-7410 (fax)

June 23, 2020

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2020 Horse Boarding & Racing)

To Whom It May Concern:

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for horse boarding and racing, including simulcast from today's date until December 31, 2020.

It is requested that this letter be accepted as the report of that inspection. During the inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned time periods at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Craig Fravel, Pres. of Santa Anita Park and CEO, the Racing Division of
Stonach Group
Aiden Butler, President, L.A.T.C.
Eric Sindler, L.A.T.C.
Jason Spetnagel, Vice-President of Operations, L.A.T.C.
Ed Martinez, Director of Facilities and Grounds, L.A.T.C.
Sherrie Thayer, Executive Assistant, L.A.T.C.
Joshua Funk, Fire Inspector, L.A.T.C.



Date July 5, 2020

California Horse Racing Board
Attn: Scott Chaney
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Board Rule 1927 Compliance

Dear Mr. Chaney,

Los Alamitos Race Course (LARC) has a Fire and Life Safety Inspection Clearance Letter on file with the California Horse Racing Board. LARC facility and grounds, grandstands, the stable area and maintenance areas are inspected annually for Fire and Life Safety by the Orange County Fire Authority (OCFA) and by the City of Cypress building and Safety department. These inspections are conducted with the regulatory agency, LARC staff and CHRB oversight. Each year LARC receives a Fire and Life safety Inspection Clearance Letter that establishes LARC has a reasonable degree of Fire and Life Safety that is submitted to the CHRB as part of our Racing License Application. Please find a current orange County Fire authority Fire and Life Safety Clearance Letter attached.

LARC has on file with the Orange County Fire Authority a current Five-Year Fire Sprinkler Inspection Report, see attached cover letter. The 5-Year fire sprinkler inspection is an NFPA mandated inspection examining the insides of your fire sprinklers' piping. The examination must be carried out a minimum of every 5 years.

LARC has a Fire Safety Inspection program in place that conducts quarterly inspections on the Grandstands, Restaurants, Maintenance Buildings, Fire Hose Cabinets, Fire Hydrants and Fire Pump House. These inspections are conducted by a third party licensed and certified C-16 inspection company, Fire Sprinkler Inspections, Inc. Please find attached the cover letters for these inspections.

All Fire Inspection Reports and OCFA Permits are on file in the office of the LARC Facility Manager, Frank Sherren, and are available for review upon request.

Board Rule 1927 states:

"a reasonable standard of fire safety shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped with an automatic sprinkler system and an automatic fire alarm system, and that the stable area grounds, including any additional location where any excess number of horses will be stabled, be patrolled by a watchman during the hours of darkness."

LARC has the following existing condition in the stable area:

The original barn buildings, barns number 1 thru 36, per-date current existing Fire Authority building codes. At the time of construction, these barn buildings complied with all with all Building and Safety standards and all State and Local Fire Authority rules and regulations. Therefore, these barn buildings are considered legal non-conforming structures.

" Legal non conforming structures "

A "legal nonconforming use" is a use of land or **structure** which was **legally** established according to the applicable zoning and building laws of the time of construction, but which does not meet current zoning and building regulations."

As such, these buildings are currently in compliance with current local authority safety standards.

Barn buildings numbers 1 – 36 are not equipped with "an automatic sprinkler system" as stated in Board Rule 1927, however the building do have a Fire Alarm System.

LARC original barns 1 thru 36 are constructed out of non-combustible materials. The buildings are constructed out of concrete cinder block with corrugated metal roofs. Each barn is equipped with Fire Alarm, Fire Alarm pull stations located at the end of each barn, and heat sensors in the stalls and tack rooms. Fire Hose Cabinets are located at each barn. In the unlikely event of a fire the alarm will sound, and the Local Fire Department will be summoned. Cypress Fire Station 12 is located directly across the Street from LARC grounds at 4991 Cerritos Ave. Please see attached photographs.

Five new barn buildings were constructed as part of our Thoroughbred expansion program. This section of LARC barns, barns A thru E, are compliant with all current Building and Fire Authority Codes. This section of barns is sprinklered and monitored by SCN Security Communication a certified Fire Monitoring Company per the current fire code requirements.

All barn tack rooms and living quarters are equipped with smoke detectors and heat sensors, see attached photos.

LARC has security staff on site 24/7 365 patrolling the stable area and grounds.

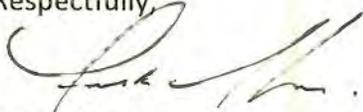
LARC is recognized by all State and Local agencies including the National Fire Protection Association (NFPA) to have a reasonable degree of Fire and Life safety. LARC is in compliance with all regulatory agencies including the Orange County Fire Authority and the City of Cypress Building and Safety Division.

Board Rule 1927 states that any association:

“may petition the Board for an extension of time within which to comply with this regulation or exemption from such requirements.”

Los Alamitos Race Course is requesting an exemption from the requirement “shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped an automatic sprinkler system” in this section of Board Rule 1927 as it pertains to our existing legal non-conforming barn buildings numbered 1 - 36.

Respectfully,

A handwritten signature in black ink, appearing to read "Frank Sherren", written over a light blue horizontal line.

Frank Sherren
Facility Manager



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

July 1, 2020

Los Alamitos Race Course
4961 Katella ave.
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspections. Please accept this letter as your fire clearance through the end of 2020, with the understanding that the annual fire department inspection will be conducted within the next six months. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne".

Tony Verdenne
Fire Prevention Specialist
Prevention Field Services Section
714 527-4584 tonyverdenne@ocfa.org

Cc: file

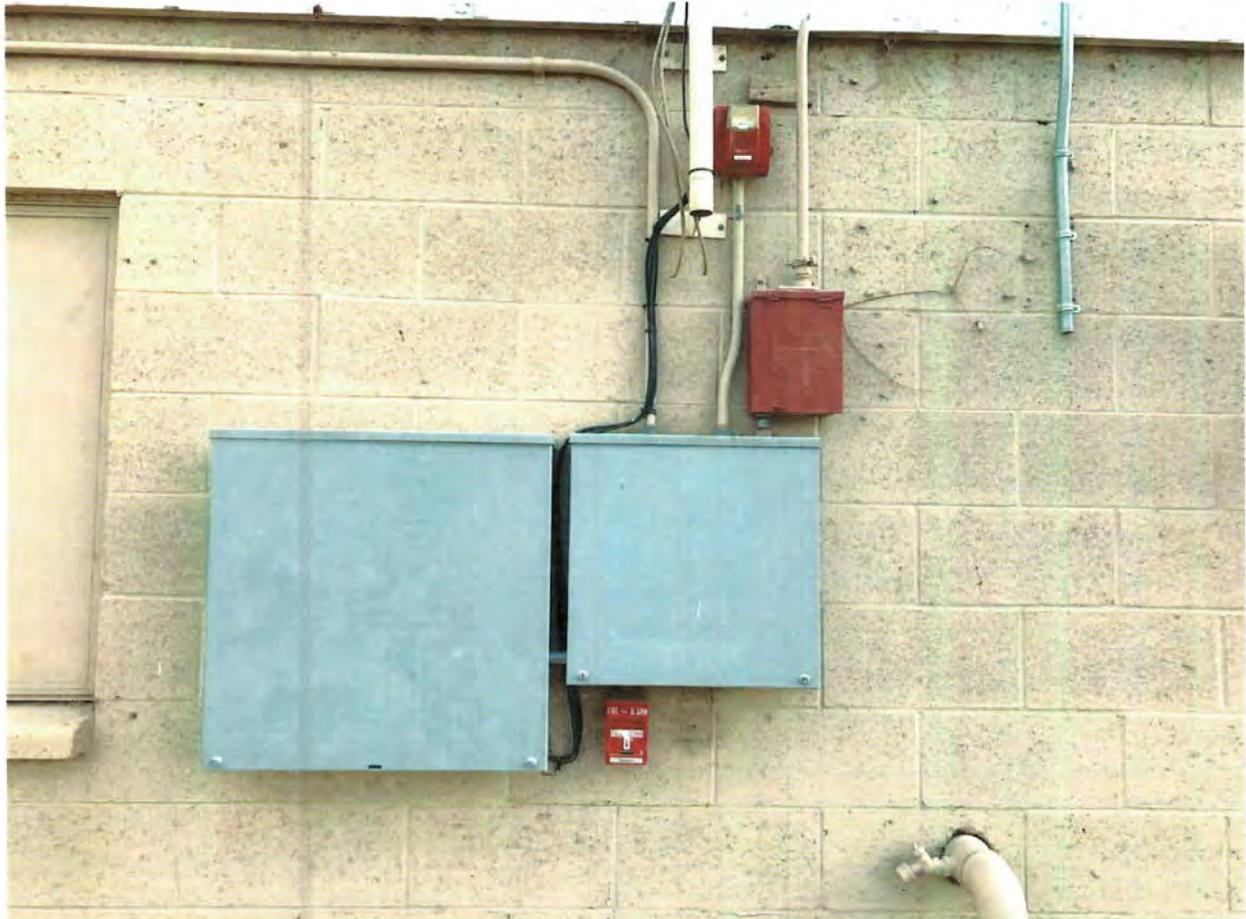
Serving the Cities of: Aliso Viejo • Buena Park • Cypress • Dana Point • Irvine • Laguna Hills • Laguna Niguel • Laguna Woods • Lake Forest • La Palma
Los Alamitos • Mission Viejo • Placentia • Rancho Santa Margarita • San Clemente • San Juan Capistrano • Santa Ana • Seal Beach • Stanton • Tustin • Villa Park
Westminster • Yorba Linda • and Unincorporated Areas of Orange County

RESIDENTIAL SPRINKLERS AND SMOKE ALARMS SAVE LIVES

Los Alamitos Race Course

Fire Alarm System in Barn Area, Barns 1 – 36 Barn Buildings are constructed with non-combustible materials, concrete cinder block with corrugated metal roofs.

Figure 1: Fire Alarm System Located at the end of each barn. Components are Fire Alarm Notifier Panel in a Weather Proof Box, Fire Alarm Pull Station and Fire Alarm Strobe Light / Siren.



Figures 2: Notifer Panel



Figure 3: Heat Sensor located in stalls



Figure 4:Heat Sensor located in each tack room



Figure 5: Smoke Detector with Alarm Siren in each tack room

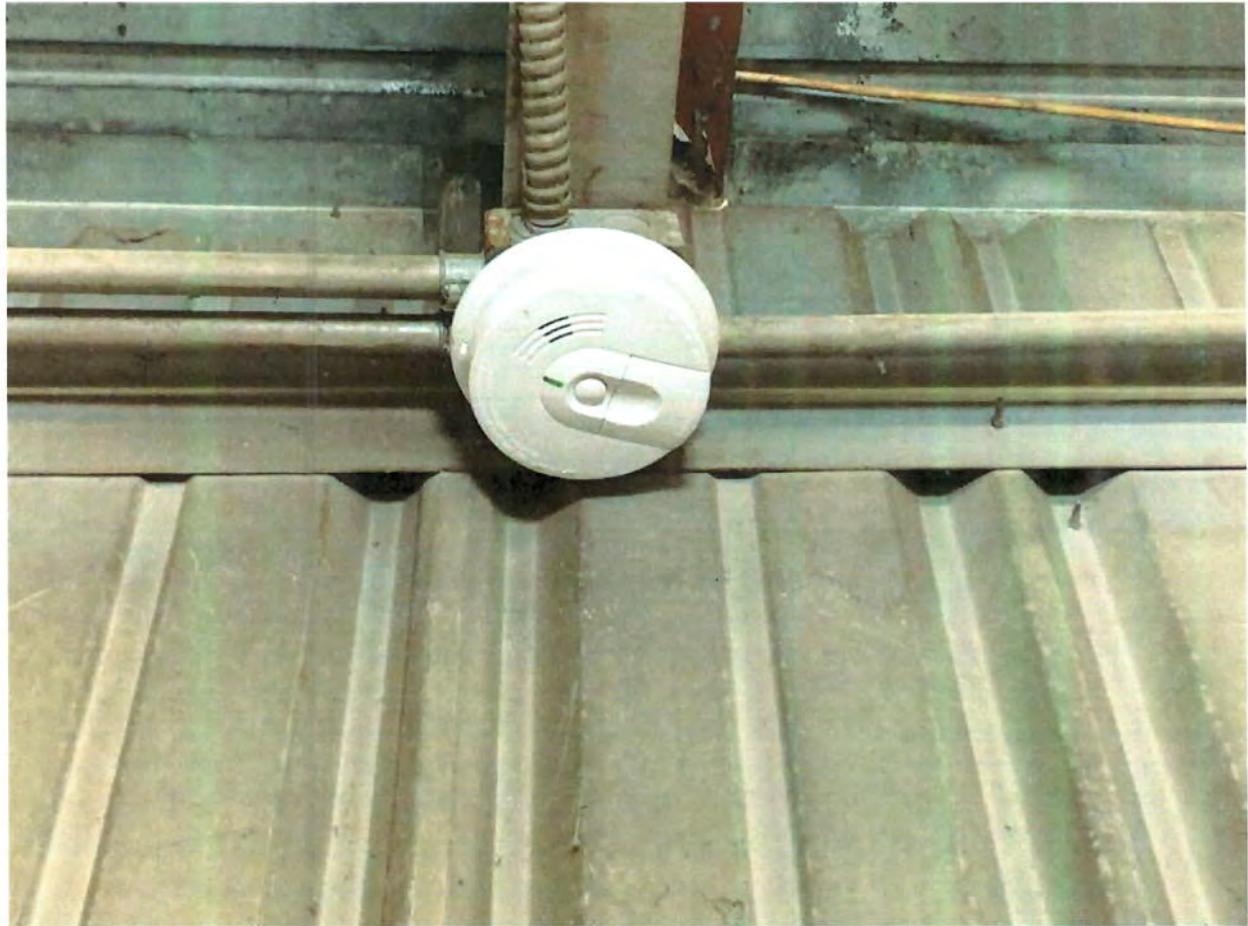


Figure 6: Fire Hose located at the end of each barn

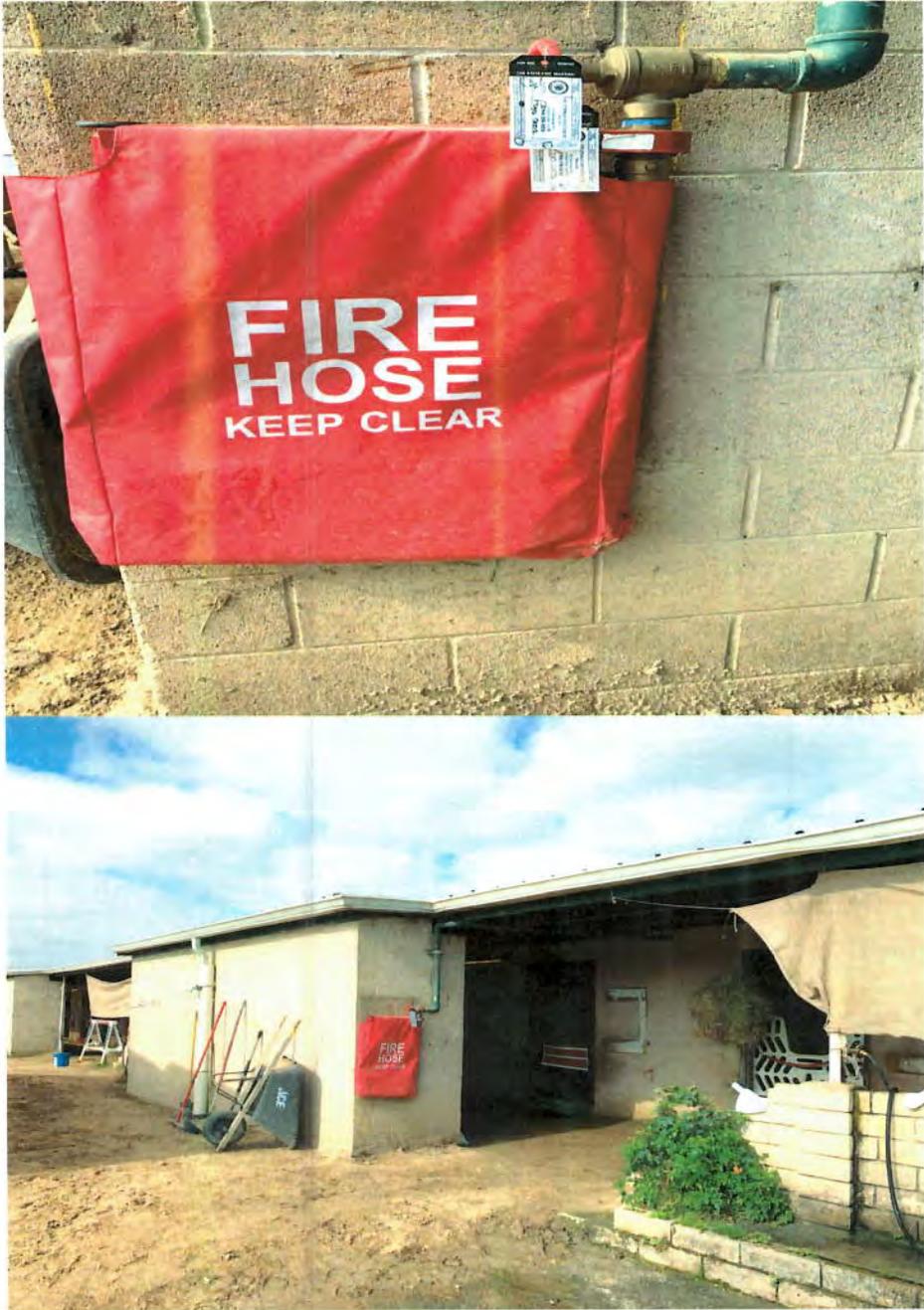


Figure 7: Fire Hydrant located throughout the barn area per OC Fire Code





FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course 4961 Katella Cypress, CA 90720

22 Fire Hose Cabinets Inspection Folder Grandstand & Clubhouse

2020

March ^{ANNUAL} June Sept Dec

- Street level & Tunnels (5)
- First floor (2)
- Second floor (7)
- Third floor (5)
- Clubhouse (3)

Respectfully Submitted

Fred Ortiz
Fire Sprinkler Inspections Inc.

Per NFPA 25, and California State Fire Marshal title 19
Quarterly inspection complete



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course

4961 Katella

Cypress, CA 90720

36 Fire Hose Cabinets Inspection Folder

2020

36 Horse Stables

March.....June.....Sept.....Dec.....

Hose nozzle cabinet

- | | | |
|--------------|----------|----------|
| 1. OK _____ | OK _____ | OK _____ |
| 2. OK _____ | OK _____ | OK _____ |
| 3. OK _____ | OK _____ | OK _____ |
| 4. OK _____ | OK _____ | OK _____ |
| 5. OK _____ | OK _____ | OK _____ |
| 6. OK _____ | OK _____ | OK _____ |
| 7. OK _____ | OK _____ | OK _____ |
| 8. OK _____ | OK _____ | OK _____ |
| 9. OK _____ | OK _____ | OK _____ |
| 10. OK _____ | OK _____ | OK _____ |
| 11. OK _____ | OK _____ | OK _____ |
| 12. OK _____ | OK _____ | OK _____ |
| 13. OK _____ | OK _____ | OK _____ |
| 14. OK _____ | OK _____ | OK _____ |
| 15. OK _____ | OK _____ | OK _____ |
| 16. OK _____ | OK _____ | OK _____ |
| 17. OK _____ | OK _____ | OK _____ |
| 18. OK _____ | OK _____ | OK _____ |
| 19. OK _____ | OK _____ | OK _____ |
| 20. OK _____ | OK _____ | OK _____ |
| 21. OK _____ | OK _____ | OK _____ |



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course 4961 Katella Cypress, CA 90720

Quarterly and Annual Inspection Folder **2020**

Grandstand 1,2,3,4

Schwanees

Stables A B C D E

Fire Hydrants Grandstands (3)

Electric Fire Pump

March _____

June _____

Sept _____

Dec _____

Fred Ortiz

Fire Sprinkler Inspections, Inc. (www.fireinspections.net) Fax 714-369-2832



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course

4961 Katella

Cypress, CA 90720

January 2020

Five Year

Fire Sprinkler Inspection Folder

Grandstand #1 #2 #3 # 4

Schwanees

Stables A B C D E

(2) Maintenance buildings

Fred Ortiz

Fire Sprinkler Inspections Inc.

Jan. 20 , 2020



July 31, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: San Luis Rey Training Center – Rule 1927 Exemption Request

Dear Mr. Chaney,

San Luis Rey Training Center (“SLR”) is unique in that its barn area has two different types and ages of barns – the “historic” barns and the new barns.

The new barns constructed in the last few years can house approximately 200 horses. These barns have an automatic sprinkler system and a fire bell. Due to the proximity of the barns to the guard house (and the on-duty guard being able to call 911 in event of a fire), both the local building inspector and fire department approved these barns having a fire bell rather than an automatic fire alarm system. There is 24/7 coverage in the guard house. The sprinkler system and alarm will be tested annually.

We have previously noted that the historic barns at SLR do not have a sprinkler system or fire alarm/bell. The process to add a sprinkler system and fire alarm system to already existing structures is a lengthy process. SLR has begun the process of obtaining estimates for adding a sprinkler system and fire alarm system to the historic barns. Due to the size of the project, it will take some time for companies to develop preliminary plans so they can submit their estimate. The installation company will also need to develop final engineering plans to retrofit the existing structures with a sprinkler system and fire alarm system. Additionally, the plans need to be approved by both the county building inspector and the county fire department. The engineering plans will need to be revised to incorporate and desired changes requested by the county building inspector and/or the county fire department. In addition to the county approvals, local permits will need to be requested and approved. And obviously, the system needs to be installed and then tested.

We are hopeful that this lengthy and involved process can be completed by the end of July, 2021. We will periodically update CHRFB staff on the progress of the project and notify CHRFB staff if we encounter any issues that would cause the project to not be finished by the target date.

Under CHRB Rule 1927, we request an extension, through the end of July, 2021, to complete the installation of the sprinkler system and fire alarm system to our historic barns.

The local fire department having jurisdiction over SLR performed an inspection on July 24, 2020. The clearance letter will be forwarded to board upon receipt. Since the fire inspection was recently performed, we also request an exemption from the requirement in Rule 1927 that the fire inspection be completed within 45 days of the start of the meet.

Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read 'A. Butler', with a long horizontal flourish extending to the right.

Aidan Butler

North County Fire Protection District

330 S. Main Ave.
Fallbrook, CA 92028
(760) 723-2010



Friday, 31 July, 2020

Attn: Kevin Habell
5772 CAMINO DEL REY
BONSALL, CA 92003

Property Address:
San Luis Rey Training Center
5772 CAMINO DEL REY
BONSALL, CA 92003

Re: Initial - Fire & Life Safety on July 31 2020

NOTICE OF INSPECTION - COMPLETED

CONGRATULATIONS, your Fire and Life Safety Inspection has satisfactorily been completed and no violations were noted at this time. The North County Fire Protection District would like to thank you for making fire and life safety a priority.

If you have any questions regarding our Fire and Life Safety Inspection program, please contact the Fire Prevention Bureau at: (760) 723-2010.

Inspector:

A handwritten signature in black ink, appearing to read 'David Moore, Jr.', written over a horizontal line.

FFP David Moore, Jr.

bmoore@ncfire.org

Property Representative:

A handwritten signature in black ink, appearing to read 'Kevin Habell', written over a horizontal line.

Kevin Habell

- J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers’ compensation.

EXHIBIT 13 – ON FILE

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

EXHIBIT 14

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:

EXHIBIT 15

- B. Promotional/ Marketing budget for this race meeting:

<u>Category</u>	<u>2020 Fall</u> <u>Meet</u> <u>(15 Day Meet)</u>
Advertising	\$ 83,000
Promotions	\$ 40,000
Publicity	\$ 24,250
Other	\$ 2,500
Total	\$ 149,750

Promotional/Marketing budget for prior race meeting:

<u>Category</u>	<u>2019 Fall</u> <u>Meet</u> <u>(13 Day Meet</u> <u>with fans)</u>
Advertising	\$ 494,300
Promotions	\$ 185,500
Publicity	\$ 48,600
Other	\$ 6,500
Total	\$ 734,900



EXHIBIT 12

DELMART-01

PRZEMIELEWSKIS

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

3/30/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	CONTACT NAME: PHONE (A/C, No, Ext): (925) 416-7862		FAX (A/C, No): (925) 416-7869	
	E-MAIL ADDRESS:			
INSURED Del Mar Thoroughbred Club PO Box 700 Del Mar, CA 92014	INSURER(S) AFFORDING COVERAGE		NAIC #	
	INSURER A: Arch Insurance Company		11150	
	INSURER B: State Compensation Insurance Fund of CA		35076	
	INSURER C:			
	INSURER D:			
	INSURER E:			

COVERAGES**CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			SNCGL1800900	3/15/2020	3/15/2021	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 5,000,000 PRODUCTS - COMP/OP AGG \$ 5,000,000 SEXUAL ABUSE MO \$ 1,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY			SNAUTO83100	3/15/2020	3/15/2021	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$			SNFX0087700	3/15/2020	3/15/2021	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	927286820	3/31/2020	3/31/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 RE: Evidence of Coverage

CERTIFICATE HOLDER**CANCELLATION**

California Horse Racing Board (CHRB)
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

AUTHORIZED REPRESENTATIVE

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED – CERTIFICATE HOLDERS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SECTION II – WHO IS AN INSURED is amended to include any Certificate Holder, identified as an additional insured, on a Certificate of Insurance issued by Philadelphia Indemnity Insurance Company or our authorized representative, but only for liability arising out of the negligence of the named insured.

The limits of insurance applicable to these additional insureds are the lesser of the policy limits or those limits specified in a contract or agreement. These limits are inclusive of and not in addition to the limits of insurance shown in the declarations.

CONCESSIONS:

Wes Westley, CEO
Premier Food Service, Inc./SMG
300 Conshohocken State Road
Conshohocken, PA 19428

SADDLERY SERVICES:

Frank Wipfli
Western Saddlery, Inc.
7038 Commerce Circle
Pleasanton, CA 94588

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez
Garda Cash Logistics
8807 Complex Drive
San Diego, CA 92123

Rayetta Burr
Benoit & Associates, Inc.
Track Photographers
PO Box 60014
Arcadia, CA 91066-6014

Del Mar Doxx-A.J. Foster
Track Physicians
380 Stevens Ave., Ste 100
Solana Beach, CA 92075

Michael Costello
United/Puett Electrical
Starting Gates
224 Tater Hill Rd.
East Haddam, CT 06423

Brent Gulley
Gulley's Livestock Disposal
10272 Juniper Rd.
Oak Hills, CA 92344-0304

Deven Owen
West Coast Feed
PO Box 893007
Temecula, CA 92589

Gary S. Bell
Citrus Feed
PO Box 249
La Verne, CA 91750

Angel P. Unamuno
Angel's Feed
4518 Rowland Ave.
El Monte, CA 91731

EXHIBIT 15



Marketing Overview

Much like we did for the summer season, Del Mar Thoroughbred Club (DMTC) is preparing to operate the 2020 fall race meet under strict COVID-19 safety protocols with a steadfast commitment to ensure the safety and welfare of our workforce and our equine athletes without spectators at our facility. If the “stay at home” mandate and gathering rules are loosened by state officials and the San Diego County Health Department, we will scale-up our operations, marketing efforts and staffing based on allowable attendance levels.

Clearly, the typical Del Mar marketing strategies for attracting large crowds via racing promotions, concerts and events are not relevant for the 2020 season. Instead, marketing efforts will be focused primarily on promoting wagering – not only locally in San Diego, but throughout our simulcast markets. One of the key events that we will be promoting is the 2020 Breeders’ Cup World Championships from Keeneland on November 6 and 7. DMTC will receive revenue and purses from all Southern California ADW customers who wager on these race cards.

The key marketing tactics this fall will include

- Database marketing
- Social media
- Del Mar Website
- Advertising
- TVG Fall Handicapping Tournament

Database Marketing

Database marketing, which has always been a mainstay in the marketing mix, will be even more important this season as it allows us to communicate effectively with our current fan base of regular bettors. Over the years Del Mar has devoted significant time and money to identify our frequent players by building a data warehouse from various lists of information contained at the racetrack (marketing, racing, group sales, turf club, ticketing, etc.) and then tracking the behavior of those individuals through our loyalty club, *The Diamond Club*. *The Diamond Club* has enjoyed phenomenal growth since its inception in 2006 with membership numbers now topping over 310,000. These members will receive emails alerting them to race dates, post times, carryovers, big races and general interest stories about jockeys, trainers and owners. Over 6 million targeted emails will be sent to members of our database this year with a typical open rate of 15% (900,000 emails opened!).

Social Media

Much like our marketing in general, the content of our social media communications will now stress racing, wagering and virtual Del Mar events. Over the years our social media community has grown as we continue to emphasize the big three: Facebook (90,000 followers), Twitter (36,000) and Instagram (35,000).

Given the importance of social media this year, we have hired an outside firm, Grand Slam Social (Breeders' Cup is also a client), to assist with our efforts. Videos, photos and news related to races as well as wagering opportunities, horsemen profiles and fun tidbits will be sent to our loyal followers on a regular basis.

Del Mar Website

With our fans unable to attend the races live this fall, we believe the Del Mar Website will be more important than ever. From entries, results and handicapping information to real-time odds, pools and videos, the website will provide racetrack regulars with the valuable information and tools they need. The Beginner's Corner and the Online Handicapping Challenge are popular landing spots on the site as well. Last year the site generated over 15,400,000 page views from 3,000,000 users. We expect those numbers to increase this year.

Advertising

An aggressive advertising campaign throughout San Diego is generally a staple of the Del Mar marketing budget. However, without fans in the stands this year, we will target racing fans and bettors with a scaled-down budget. Spending will be increased for publications such as the *Daily Racing Form* and the *Paulick Report* as we promote big races and wagering. In addition, we will continue to support racing radio shows throughout the country.

The TVG Fall Handicapping Tournament

Without fans being able to attend the races this summer, we shifted our traditional on-track handicapping contest online with the help of our partners at TVG. The contest sold out with 150 players who wagered over \$800,000 in the live money tournament. A similar tournament will be implemented during the fall season.

2020 Fall Budget

	<u>2020</u>	<u>2019</u>
Advertising	\$ 83,000	\$494,300
Promotions	\$ 40,000	\$185,500
Publicity	\$ 24,250	\$ 48,600
Other	<u>\$ 2,500</u>	<u>\$ 6,500</u>
Total	\$ 149,750	\$734,900

C. Number of hosts and hostesses employed for meeting: **N/A – DMTC is requesting to operate without spectators in accordance with public health and government guidelines. If restrictions are lifted and limited spectators are allowed, DMTC will adjust staffing based on allowable attendance levels.**

D. Describe facilities set aside for new fans: **N/A**

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- **Installation of wash basins/sanitary stations throughout the backside stable area.**
- **Replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area) – on-going.**
- **Replacement of flooring, doors, locks, and window screens in living quarters (restricted area) – on-going.**
- **Upgrade of morning workout lighting (restricted area) – on-going.**
- **Repair of all stable area smoke detectors (restricted area) – on-going.**
- **Replacement of water service to miscellaneous barns in stable area (restricted area) – on-going.**
- **Backfill of all stable area stalls with decomposed granite (restricted area) – on-going.**
- **Renovation of miscellaneous restrooms, tack rooms and living quarters in stable area (restricted area) – on-going.**
- **Replacement of exterior electrical outlets and wall lights in stable area (restricted area) – on-going.**
- **Clean and screen all stable area shed-drains and roadways due to rocks and debris (restricted area) – on-going.**
- **Clean and dig-out all stable area wash racks and storm drain boxes (restricted area) – on-going.**
- **Re-grading of main dirt track (restricted area).**
- **Replace two viewing stands in stable area.**

2. Fans

- **Boxes, seats and turf club refurbishment – on-going.**
- **Installation of washing and sanitation stations throughout the facility**
- **Replacement of television monitors with flat screen monitors throughout facility – on-going.**
- **Installation of wireless technology throughout the facility to enhance the mobile app experience - on-going.**

3. Facilities in the restricted areas – **See 18 E-1**

19. SCHEDULE OF CHARGES

DMTC is requesting to operate without spectators in accordance with public health and government guidelines. If and when restrictions are lifted and limited spectators are allowed, DMTC will consult with the CHRB on ticketing and pricing.

- A. Proposed charges, note any changes from the previous year: **N/A**
 Admission (general)
 Admission (clubhouse)
 Reserved seating (general)
 Reserved seating (clubhouse)
 Parking (general)
 Parking (preferred)
 Parking (valet)
 Programs (on-track)
 (off-track)
- B. Describe any “Season Boxes” and “Turf Club Membership” fees: **N/A**
- C. Describe any “package” plans such as combined parking, admission and program: **N/A**

20. JOCKEYS/DRIVERS’ QUARTERS

- a. Check the applicable amenities available in the jockeys/drivers’ quarters:
Jockeys quarters modified to adhere to social distancing and COVID-19 protocols. See Del Mar Thoroughbred Club COVID-19 Operational Plan Section (4) – ON FILE.

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="checkbox"/>	73	
<input checked="" type="checkbox"/>	Showers	<input type="checkbox"/>	Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/>	Lounge area
<input type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service	<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys/drivers:
Modifications made to adhere to social distancing and COVID-19 protocols (see above).

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **433**
- C. Number of restrooms available on the backstretch of the racetrack: **253**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
Restroom facilities available on the backstretch 253. Estimated ratio to the number of personnel - 1.98 restroom facilities per backstretch personnel.

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: **5,280** feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition: **The main track surface is a Dirt Running Surface. The surface consists of a 10” sandy loam on top of a layer of decomposed granite and 6” round perforated drain pipe on top of the existing dirt sub-base.**
The turf course consists of GN-1 Bermuda grass sod with a growing medium consisting of 9” of silica sand mixed with peat and turf grids blended into the top 6”.
- C. **DIRT:**
The percent of cross slope in the straight-aways is: **2.5%**
The percent of cross slope in the center of the turns is: **5%**
TURF:
The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track:
Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33” offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40”.
Turf Track:
Inside running rail: Mawsafe pvc injection molded
Inside rail supports: Mawsafe pvc with 16” offset
Approx. height: 47”
Outside running rail of the turf course is the same as the inside rail.
Outside rail supports are the same as the inside rail supports.
Approximate height 47”
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Tom Robbins, Executive VP Racing**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **On File**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **EXHIBIT 16**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- **TOC Fall Meet Agreement – Pending**
 - **CTT Fall Meet Agreement – Pending**

EXHIBIT 16

DEL MAR THOROUGHBRED CLUB - UNION CONTRACTS CURRENTLY IN NEGOTIATION

UNION	SIGNATORY ASSOCIATIONS	JOB CLASSIFICATION	CONTRACT DATES
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Auto Park	July 2016 through July 2019 Day-to-Day Extension signed until renewal
Teamsters Local 495 Clockers/ Outriders	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Clockers / Outriders	July 2016 through July 2019 Day-to-Day Extension signed until renewal
Teamsters Starters Local 495	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Starters	July 2016 through July 2019 Day-to-Day Extension signed until renewal
Teamsters 495 Racing	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing Officials	July 2016 through July 2019 Day-to-Day Extension signed until renewal
SEIU Local #280	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex SCOTWINC	Mutuels	September 2019 through June 2020 Signed Extension through 12/31/20
SEIU #1877	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing	May 1, 2017 - April 30, 2020 Day-to-Day Extension signed until renewal
Local #89	Del Mar Thoroughbred Club	Laborers	January, 2020 Day-to-Day Extension signed until renewal
Local #542	Del Mar Thoroughbred Club	Tractor/Truck Drivers	December 17, 2019 Signed Extension options
SEIU #1877	Del Mar Thoroughbred Club	Admissions / Public Events / Security / Janitorial	June 30, 2020 Day-to-Day Extension options until renewal

- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **ON FILE**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **ON FILE**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **NO EXCEPTIONS.**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **NO EXCEPTIONS**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Thomas S. Robbins
Print Name


Signature

Executive Vice President Racing
Print Title

August 28, 2020
Date

STAFF ANALYSIS
September 24, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCHANDWAGER.COM, LLC (H) AT CAL EXPO, COMMENCING OCTOBER 30, 2020, AND CONTINUING THROUGH DECEMBER 22, 2020.

Watchandwager.com, LLC (WAW) has filed its application to conduct a harness horse racing meeting at Cal Expo as follows:

- October 30, 2020 through December 22, 2020, with nine racing days, two days less than proposed for the same period in 2019. Live racing will commence November 21, 2020, and continue through December 19, 2020.
- WAW proposes to race a total of approximately 126 races. The average daily purse for the WAW 2019 meet during the same period was \$42,745. The estimated average daily purse for this meet is \$50,000.
- The race dates proposed are within the dates allocated as follows:

November 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing two nights per week, Fridays and Saturdays, except opening week will race only Saturday, November 21, 2020.
- First post 6:10 p.m.
- WAW will coordinate its post time with any twilight or nighttime Thoroughbred signal.
- Racing concurrently with the Pacific Racing Association (October 21, 2020–December 22, 2020) and Del Mar Thoroughbred Club (October 28, 2020–December 1, 2020).
- Business and Professions Code (BPC) [section 19464\(b\)](#) specifies that no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the California Horse Racing Board (Board) a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, that is sufficient to ensure payment of employee wages and benefits, including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained

during the period of the meeting in which all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse racing meeting prior to January 1, 2001, that has conducted a race meeting in each of the immediate three previous consecutive calendar years. The WAW's initial race meeting was conducted after 2001. Therefore, WAW is subject to the provisions of BPC section 19464(b). WAW has a bond on file with the Board that expires September 1, 2021.

- Wagering program will use Board and Association of Racing Commissioners International (ARCI) rules.
 - Early wagering will begin at 10:00 a.m. each live race day.
 - \$0.20 PNP4 of races 8 through 11; requests reduced 16% takeout; 90% carryover if no correct tickets with four winners; 10% minor pool payout.
 - \$0.20 PNP5 start on the first and sixth race; requests reduced 16% takeout; no consolation; 100% carryover if no ticket correctly selects five winners; CHRB Rule 1976.9.
 - \$0.10 PNP6 on races 3 through 8; 100% major pool paid plus any carryover for a unique serial ticket number wager selecting all six winners; if no unique ticket selects six winners, then 50% minor pool is paid to tickets selecting the most winners, and 50% major pool will be applied to carryover.
 - \$0.10 Pentafecta selecting first five finishers in same race; 100% payout to all tickets selecting five winners; no consolation; 100% carryover if no winning ticket; ARCI-004-105-X-Option 3.
- Advance Deposit Wagering (ADW) providers are TVG Network, TwinSpires, and Watch and Wager.

Pursuant to BPC [section 19604](#), specific provisions must be met before an ADW provider can accept wagers.

Summary of BPC section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with BPC section 19604:

- Agreement between WAW and Watch and Wager that allows Watch and Wager to accept ADW wagers on races conducted by WAW at Cal Expo for the applied license term has been provided to the Board.
- Agreement between WAW and TVG Network that allows TVG Network to accept ADW wagers on races conducted by WAW at Cal Expo for the applied license term has been provided to the Board.
- Agreement between WAW and TwinSpires that allows TwinSpires to accept ADW wagers on races conducted by WAW at Cal Expo for the applied license term has been provided to the Board.
- Horsemen's (California Harness Horsemen's Association) approval of ADW.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to BPC section 19602, and with authorized locations throughout California.
- Requests that Carl Sobey be appointed horse identifier pursuant to Board [Rule 1525](#), Racing Officials Appointed by the Board.
- Track safety and backstretch worker housing inspections have been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application includes the following:

1. A Fire Clearance compliant with Board [Rule 1927](#), Fire Prevention. Pursuant to Board Rule 1927, WAW submitted a petition for a time extension on the submittal of the required fire clearance for the Board's approval.

Specific information that **will need updating** during the meet includes the following:

1. The agreement between WAW and TVG Network that allows TVG Network to accept ADW wagers on races conducted by WAW at Cal Expo for the applied license term expires on November 9, 2020. The agreement will need to be extended before the expiration of the existing agreement. WAW has confirmed that it intends to extend the agreement before such time.

RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the receipt of the outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 700 Larkspur Landing Circle, Suite #199, Larkspur, CA, 94939 Ed Comins, ecomins@watchandwager.com 925-336-4499

B. Breed of horse: TB QH H

C. Racetrack name: California Exposition & State Fair (Cal Expo)

C. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

License fee on deposit with Racing Board.

D. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

On File

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **October 30, 2020 through December 22, 2020**

B. Actual dates racing will be held: **November 21, 27, 28, December 4, 5, 11, 12, 18, 19**

C. Total number of days or nights of racing: **Nine (9). Calendar Attached**

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat other (specify): **Friday and Saturday evenings**

E. Number of days or nights of racing per week: **2 (Two)**

CHRB CERTIFICATION

Application received:

Deposit received:

Reviewed:

Hearing date:

Approved date:

License number:



CALEXPO Racing CALENDAR

**FALL
Season**

**November 21, 2020
through
December 19, 2020**

Friday & Saturday Harness Racing
Post time 6:15 pm/pst



November 2020						
Su	M	T	W	Th	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December 2020						
Su	M	T	W	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Watch and Wager LLC, Operator Cal Expo Harness Racing
25 Cadillac Drive, Suite 201 • Sacramento, CA 95825

Website: CalXHarness.com • Email: info@goldenbearracing.com • Phone: 916-800-1395



3. RACING PROGRAM

- A. Total number of races: **126**
- B. Number of races for each day or night: **Up to 14 (Fourteen) races nightly.**
- C. Total number of stakes races: **6**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**
 - 1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**
 - 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **Added: Joe Alto F&M FFA.**
 - 3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **Both the Robert Staats and Bobby Gordon late closing stakes will be moved to the winter meet.**
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used: Drivers and Trainers will use their own registered colors.
- F. List all post times for the daily racing program: **6:10 pm every Friday and Saturday.**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
- C. CORPORATION
 - 1. Registered name of the corporation:
 - 2. State where incorporated:

Watch and Wager LLC @ Cal Expo Harness Fall 2020
Overnight Stake Schedule

December 4th	Alan Horowitz	\$20,000G
December 5th	Bill Conlin	\$7,500A
December 11th	Dave Goldschmidt	\$10,000G
December 12th	Joe Lighthill	\$10,000G
December 19th	Funicello/ Holt	\$7,500A
December 20th	Joe Alto	\$10,000G



**Watch and Wager LLC @
Cal Expo Harness Fall
2020 Overnight Stake
Schedule**

12/4/2020	Horowitz.	\$20,000G
12/5/2020	Bill Conlin	\$7,000A
12/11/2020	Dave Goldschmid	\$10,000G
12/12/2020	Joe Lighthill	\$10,000G
12/19/2020	Funicello/Holt	\$7,500A
12/20/2020	Joe Alto	\$10,000G

**Watch and Wager LLC @
Cal Expo Harness Fall
2019 Overnight Stake
Schedule**

11/22/2019	Bill Conlin.	\$7,000A
11/23/2019	Funicello	\$7,000A
11/29/2019	Horowitz	\$20,000G
12/7/2019	Robert Staats	\$9,000A
12/13/2019	Joe Lighthill	\$9,000A
12/15/2019	Robert Gordon	\$10,000A

**Watch and Wager LLC @
Cal Expo Harness Fall
2018 Overnight Stake
Schedule**

11/17/2018	Aian Horowitz	\$20,000G
11/23/2018	Bill Conlin	\$7,000A
11/24/2018	Annette Funicello	\$7,000A
12/7/2018	Robert Staats	\$9,000A
12/8/2018	Joe Lighthill	\$9,000A
12/15/2018	Robert Gordon	\$10,000A

watch wager

Cal Expo Harness Racing

2020 Fall Meet

	Friday	Saturday
Race 1	6:10	6:10
Race 2	6:38	6:38
Race 3	7:06	7:06
Race 4	7:34	7:34
Race 5	8:02	8:02
Race 6	8:30	8:30
Race 7	8:57	8:58
Race 8	9:24	9:25
Race 9	9:51	9:47
Race 10	10:13	10:09
Race 11	10:35	10:31
Race 12	10:56	10:52
Race 13*	11:17	11:13
Race 14*	11:38	11:34

* If applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime California thoroughbred signal.

3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC: Watch and Wager.com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
Ed Comins, President, and Managing Member
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
European Wagering Services 100 % Shareholder
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.

8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, President, 0 Shares. Watch and Wager.com LTD is a wholly owned subsidiary of Webis Holdings PLC, a public company listed on AIM (London) United Kingdom.
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **Current audited financials on file with the Board.**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- President & Managing Member, Watchandwager.com LLC.

Watchandwager.com LLC has entered into a management operating agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in state of California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of

Watchandwager.com LLC the day-to-day operations of the proposed harness meeting. A copy of that management agreement is on file with the Board.

Christopher Schick	General Manager
Benjamin Kenney	Assistant General Manager
Kate Phariss	Director of Backstretch Operations
Glen Harris	Mutuel Manager
John Robert Williams	Track Superintendent
Gary Seibel	Announcer/ Simulcast Host
Martin Bridges	Program Director

- Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Christopher Schick and/or Benjamin Kenney, 25 Cadillac Drive #201, Sacramento, CA 95825. E-Mail: chris@goldenbearracing.com; ben@goldenbearracing.com

5. TAKE OUT PERCENTAGE

- If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.
- The handle information for the previous racing association.

Year	Handle	Attendance
2019 (47) Days	56,193,545	119,117
2018 (47 Days)	52,681,876	121,562
2017 (54 Days)	58,411,829	142,945
2016 (54 Days)	57,780,966	144,224
2015 (57 Days)	61,174, 025	146,084
2014 (60 Days)	58,899,325	145,065

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: November 21, 2020 through December 20,2020 9 Racing Nights
 Prior meet comparison: November 9, 2019 through December 14, 2019 11 Racing Nights

1. All races other than stakes:
 Current meet estimate: \$ 450,000
 Prior meet actual: \$ 470,195

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: \$ 50,000
 Prior meet actual: \$ 42,745

2. Overnight stakes:
 Current meet estimate: \$ 65,000
 Prior meet actual: \$ 63,000

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: \$ 7,222
 Prior meet actual: \$ 5,727

3. Non-overnight stakes:
 Current meet estimate: \$ 0
 Prior meet actual: \$ 0

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: \$ 0
 Prior meet actual: \$ 0

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$ 515,000
 Prior meet actual: \$ 533,195

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 0
 Prior meet actual: 0

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 0

Prior meet actual: 0

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 4,215

Prior meet actual: \$ 4,435

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate:

Prior meet actual:

Total \$ 21,470

Total \$ 22,660

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 540,685

Prior meet actual: \$ 550,290

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 60,076

Prior meet actual: \$ 50,935

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 251,980

Prior meet actual: \$ 314,975

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 27,998

Prior meet actual: \$ 28,635

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 48,040

Prior meet actual: \$ 60,050

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 5,338

Prior meet actual: \$ 5,500

- H. Bank and account number for the Paymaster of Purses' purse account: Union Bank... Account number is on file with the Board.

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Kevin Riley CPA, 3838 Watt Ave Suite E-500, Sacramento, Ca 95821 916 488 8360,
Kevin@rmb-cpa.com

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 800
- B. Minimum number of stalls believed necessary for the meeting: 625
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: N/A
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): 1020
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: See Attached

**Watch and Wager LLC
Equine Emergency Services**

Attachment to Watch and Wager License Application
Section 9A

VETERINARIAN	EMERGENCY CONTACT NUMBER
Eduardo DeLaCruz, D.V.M.	706-254-0924
Kathy Jones, D.V.M.	530-666-4019
Noel Muller, D.V.M.	209-334-1660
David Valcheok, D.V.M.	916-606-1616

Schedule

Sunday	Closed
Monday	AM only/TBD
Tuesday	AM only/TBD
Wednesday	AM only/Eduardo DeLaCruz, D.V.M.
Thursday	AM only/Eduardo DeLaCruz, D.V.M.
Friday	AM/TBD
Saturday	PM/Eduardo DeLaCruz, D.V.M.
	AM/TBD
	PM/Eduardo DeLaCruz, D.V.M.

TBD=rotate from list above

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

Race #1

Proposed Wagering Format

The following CHRB and ARCI wagering rules are requested.

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta (0.20 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (1 PK3)	1977
Pick-4 (0.20 PNP4)	1976.9
Pick-5 (0.20 PNP5)	1976.9
Pentafecta (.20 Super H5)	ARCI 004-105-X- Option 3
PN6 (0.10)	ARCI 004-105-G- Method 7

Race 1: 1E, 1DD, .20 Tri, .20 PNP5

Race 2: 1E, 0.20 Tri, .10 SF

Race 3: 1E, 0.20 Tri, .10PNP6

Race 4: 1E, 0.20 Tri, .10SF, 1 P3

Race 5: 1E, 0.20 Tri, .10SF

Race 6: 1E, 0.20 Tri, .20 PNP5

Race 7: 1E, 0.20 Tri, .10SF, 1 P3

Race 8: 1E, 0.20 Tri, .10SF

Race 9: 1E, 0.20 Tri, .10SF

Race 10: 1E, 0.20 Tri, .20 PNP4

Race 11: 1E, 0.20 Tri, .10 Super H5

Race 12: 1E, 0.20 Tri, .10 Super, 1P3

Race 13: 1E, 0.20 Tri, .10SF, 1DD

Race 14: 1E, 0.20 Tri, .10 Super H5

- **\$0.20 PNP4 on races 8 thru 11, reduced 16% takeout, 90% carryover if no correct tickets with four winners. 10% minor pool payout.**
- **\$0.10 PNP6 Races 3 through 8, 100% Major pool paid plus any carryover for a unique serial ticket number wager selecting all six winners. If no unique ticket selects six winners, then 50% minor pool is paid to tickets selecting the most winners, and 50% major pool will be applied to the carryover.**
- **\$0.20 PNP5 Starting on race #1 and race #6. Reduced 16% takeout. No consolation. 100% carryover if no ticket correctly selects five winners. CHRB 1976.9**
- **\$0.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all ticket selecting five winners. No consolation. 100% carryover if no winning ticket. ARCI-004-105-X-Option3.**

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. **A second Pick-5 with a reduced 16% takeout has been added on races 6 through 10.**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **December 20, 2020. Please note that due to the suspension of racing last spring, we have a Pick-6 (PNP6) carryover going into our opening night November 21st. The PNP6 carryover for our proposed opening night is \$ 61,650.75**
- D. List any options requested with regard to exotic wagering: We request to continue our promotional **16% takeout** on both our PN4 and PN5 wagers. These reduced takeout promotional rates have proven very popular.

Request for Friday \$30,000 Guaranteed Pick-4 Pool
 Request for Saturday \$40,000 Guaranteed Pick-4 Pool

Attached is a letter requesting the reduced takeout promotional wagers.

- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? 10 am Specify days and time for “early bird” wagering:
 All live race days.
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Amtote International...Statewide contract, Contract expires Sept 2021.
- G. List below the takeout percentage for each type of wager identified in 10.A.:

Promotional Wager Takeout Reduction Request

Cal Expo 2020 Fall Meeting

Watch and Wager.com LLC, and the California Horseman's Association jointly request a reduction in takeout for three nightly wagers on the program. The wagers requested for reduction are for both \$0.20 Pick-5 wagers, and the \$0.20 Pick-4 wager. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on the above nightly wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

For the past eight years the requested promotional wagers at 16% have proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.

California Harness Horsemen's Association

P.O. Box 254767

Sacramento, CA 95865

(916) 263-7888

July 17, 2020

Mr. Scott Chaney

Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Dear Mr. Chaney:

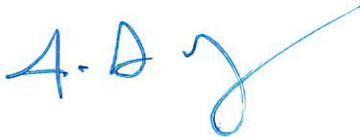
This letter is to acknowledge the approval of the California Harness Horsemen's Association for the simulcast of the nightly harness and quarter horse races from out-of-state or out-of-country racing venues to the Watch & Wager meet conducted at Cal Expo in Sacramento.

This approval begins with the scheduled harness meet on November 21, 2020 and extends through the final night of racing in May of 2021. It should be noted that CHHA does reserve the right to withdraw its approval upon notification to the board by a 15 day written notice.

We are please to participate in Watch & Wager's simulcasting program. Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

California Harness Horsemen's Association

P.O. Box 254767

Sacramento, CA 95865

(916) 263-7888

July 17, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney:

This letter is to acknowledge our support for and approval of the out-of-state simulcasting of the nightly California harness races from Watch & Wager at Cal Expo in Sacramento, CA to Board approved in-state-and out-of-state simulcast venues during the period of time that Watch & Wager is conducting its harness race meet at Cal Expo. This also approves the use of live harness racing for wagering by ADW providers that have been approved by the Board and have an agreement with Watch & Wager as required by California B&P Code, Section 19604.

These approvals shall commence with the scheduled harness meet on November 21, 2020 and extend through the final day of racing in May of 2021. It should be noted that the CHHA does reserve the right to withdraw its approval upon notification to the Board by a 15 day written notice.

We are please to work with Watch & Wager to send the audio and visual signals of our nightly live races to satellite wagering venues and ADW providers that are licensed by the California Horse Racing board.

Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

California Harness Horsemen's Association
P.O. Box 254767
Sacramento, CA 95865
(916) 263-7888; fax (916) 263-7887

July 17, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning November 21, 2020 and concluding in May of 2021.

Sincerely,
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez,
Executive Director

TAKEOUT PERCENTAGE
(Example) PNP5-14%

- Race #1 WPS 17.43% E24.18% Pick-5 16% Tri 24.18% DD 24.18%
- Race #2 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #3 WPS 17.43 % E24.18% Tri 24.18% Pick6 24.18%
- Race #4 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #5 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #6 WPS 17.43% E 24.18% Tri 24.18 Pick-5 16.00%
- Race #7 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #8 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #9 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #10 WPS 17.43% E 24.18% Tri 24.18% P4 16.00 %
- Race #11 WPS 17.43% E 24.18% Tri 24.18% Super Hi 5 24.18%
- Race #12 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #13 WPS 17.43% E 24.18% Tri 24.18% Super 24.18% DD 24.18%
- Race #14 WPS 17.43% E 24.18% Tri 24.18 Super Hi Five 24.18%

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

TVG
Watch and Wager.com
Twin Spires

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

Attached and on file.

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes No

If yes, attach a copy of the approval. Attached

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off Track Wagering Inc (SCOTWINC).

- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

The agreement between SCOTWINC and Watch and Wager is on file with the Board.

- C. California simulcast facilities the association proposes to offer its live audiovisual signal: All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: See Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: See Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: All licensed California mini-satellite sites.
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

<u>Name of Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and Stakes Races</u>
Hawthorne	TBD	Selected Feature

Watch & Wager LLC @ Cal Expo Harness

OUT OF STATE SIMULCAST WAGERING LOCATIONS

2020 Fall Meeting

American Tab, Oregon	Northlands Park, BC, CAN
Atlantic City Race Course, New Jersey	Northville Downs, Michigan
Capitol District OTB, New York	Ocean Downs, Maryland
Catskill Region OTB, New York	Penn National Gaming, Pennsylvania
Connecticut OTB, Connect	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
Elite Turf Club,	Pompano Park, Florida
Fire Lake Casino, Oklahoma	Premiere Gateway
Foxwoods Casino, Connecticut	Racing Channel, Oregon
Fraser Downs, BC, CAN	Racing & Gaming Services, St. Kitts
Freehold Raceway, New Jersey	Rosecroft Raceway, Maryland
Game Play Network	Sam Houston Park, Texas
Harrah's Philly, PA	Saratoga Harness, New York
Harrington Raceway, Delaware	Scioto Downs, Ohio
Hastings Park, BC, CAN	Southland Greyhound, Arkansas
Hawthorne Racecourse, Illinois	Sports Creek Raceway, Michigan
Hazel Park, Mi	Suffolk Downs, MA
Hippodrome de Montreal, QUE, CAN	Suffolk Regional OTB, New York
Hoosier Park, Indiana	Sunland Park, New Mexico
Illinois OTB, Illinois	Television Games Network (TVG)
Indianapolis Downs, Indiana	Thistledown, Ohio
Las Vegas Dissemination, Nevada	The Downs at Albuquerque, New Mexico
Les Bois Park, Idaho	The Meadows, PA
Lien Games LLC	The Red Mile, Kentucky
Lone Star Park, Texas	Tri-State Greyhound, WV
Maywood Park, Illinois	TwinSpires.com
Meadowlands Race Track, New Jersey	Watch and Wager.com LLC, ND
Mesquaki Casino, Iowa	Western Fair, ONT, CAN
Mohawk Racetrack, ONT, CAN	Western Region OTB, New York
Nassau Region OTB, New York	Windsor Raceway, ONT, CAN
Nevada Pari-mutuel Association, Nevada	Woodbine Entertainment. Group, ONT, CAN
Northfield Park, Ohio	Yonkers Raceway, New York
	XpressBet.com

Watch and Wager LLC @ Cal Expo 2020 Fall Meet

**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR
POOLS WITH CAL EXPO.**

American Tab, Oregon
Atlantic City Race Course, New Jersey
Atlantis Paradise Island Casino, Fla
Batavia Downs, New York
Borgata Casino, Atlantic City, NJ
Buffalo Thunder Resort, New Mexico
Capitol District OTB, New York
Catskill Region OTB, New York
Connecticut OTB, Conn.
Downs at Albuquerque, New Mexico
Elite Turf Club
Empire City Bets, New York
Fire Lake Casino, Oklahoma
Foxwoods Casino, Connecticut
Fraser Downs, BC, CAN
Freehold Raceway, New Jersey
Game Play Network, Ca
Global Wagering Inc, ND
Harrington Raceway, Delaware
Harrah's Philly, Pa
Hastings Park, BC, CAN
Hawthorne Racecourse, Illinois
Hazel Park, Mich
Hippodrome de Montreal, QUE, CAN
Hoosier Park, Indiana
Illinois OTB, Illinois
Indianapolis Downs, Indiana
Las Vegas Dissemination, Nevada
Les Bois Park, Idaho
Lien Games, North Dakota
Lone Star Park, Texas
Maywood Park, Illinois
Maryland Jockey Club, Maryland
Meadowlands Race Track, New Jersey
Megatote LLC, WI
Mesquaki Casino, Iowa
Mohawk Racetrack, ONT, CAN
Nassau Region OTB, New York
Nevada Pari Mutuel Association

Newco Ventures... NYRA bets.com
Northfield Park, Ohio
Northlands Park, BC, CAN
Northville Downs, Michigan
Ocean Downs, Maryland
Penn National Gaming, Pennsylvania
Philadelphia Park, Pennsylvania
Plainridge Racecourse, Massachusetts
Pompano Park, Florida
Potawatomi Bingo Casino, Wisconsin
Premiere Gateway
Premier Turf Club, Florida
Racing Channel, Oregon
Racing & Gaming Services, St. Kitts
Running Aces, Minnesota
Sam Houston Park, Texas
Saratoga Harness, New York
Scioto Downs, Ohio
Southland Greyhound, Arkansas
Sports Creek Raceway, Michigan
Suffolk Downs, MA
Suffolk Regional OTB, New York
Sunland Park, New Mexico
Television Games Network (TVG)
The Meadows, Pa
Thistledown, Ohio
The Downs at Albuquerque, New Mexico
The Red Mile, Kentucky
Tri-State Greyhound, WV
TwinSpires.com
Watch and Wager.com LLC
Western Fair, ONT, CAN
Western Region OTB, New York
Windsor Raceway, ONT, CAN
Woodbine Entertainment. Group, ONT, CAN
XpressBet Com
Yonkers Raceway, New York

Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands	TBD	Selected Feature
Mohawk	TBD	Selected Feature
Hoosier	TBD	Selected Feature

Under current statute, the association proposes to import 10 (ten) domestic out of state races nightly during live racing nights overlapped with Los Alamitos. We also plan to import under statute one full International card of Harness racing per live race night. We generally expect those races to be from Woodbine Mohawk Park.

On any live racing night when not overlapped with Los Alamitos, consistent with the statute, association will import 20 harness or quarter horse out of state races.

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity

racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, CA. 95821

- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, CA 95821 501 C4 – 68-0031464
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|------------------------------|-------------------------|
| Association Veterinarian(s): | Dr. Leticia O'Hara, DVM |
| Clerk of Scales | NA |
| Clerk of the Course | Patricia Petersen |
| Film Specialist | Plusmic Inc. |
| Horse Identifier | Carl Sobey |
| Horseshoe Inspector | Larry Goshman |
| Paddock Judge | Walter Petersen |
| Patrol Judges | Kenneth Fowler |
| Placing Judges | Tina Waters |
| Starter | Kenneth Fowler |
| Timer | Tina Waters |
- B. Management officials in the racing department:
- | | |
|-----------------------------|---------------|
| Director of Racing: | Robin Schadt |
| Racing Secretary: | Robin Schadt |
| Assistant Racing Secretary: | Brent Bridges |
| Paymaster of Purses: | Glen Harris |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd., Herald, CA, 95638 916-956-3914 breezecs@att.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 10, 2021.
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Pegasus Communications: Jim Porep, President. Contract expires May 2021.

Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 1, 2021.

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached 2019 security organizational chart.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Harness has no graded stake races or races for \$100,000 or more.

2. Detention Stalls:

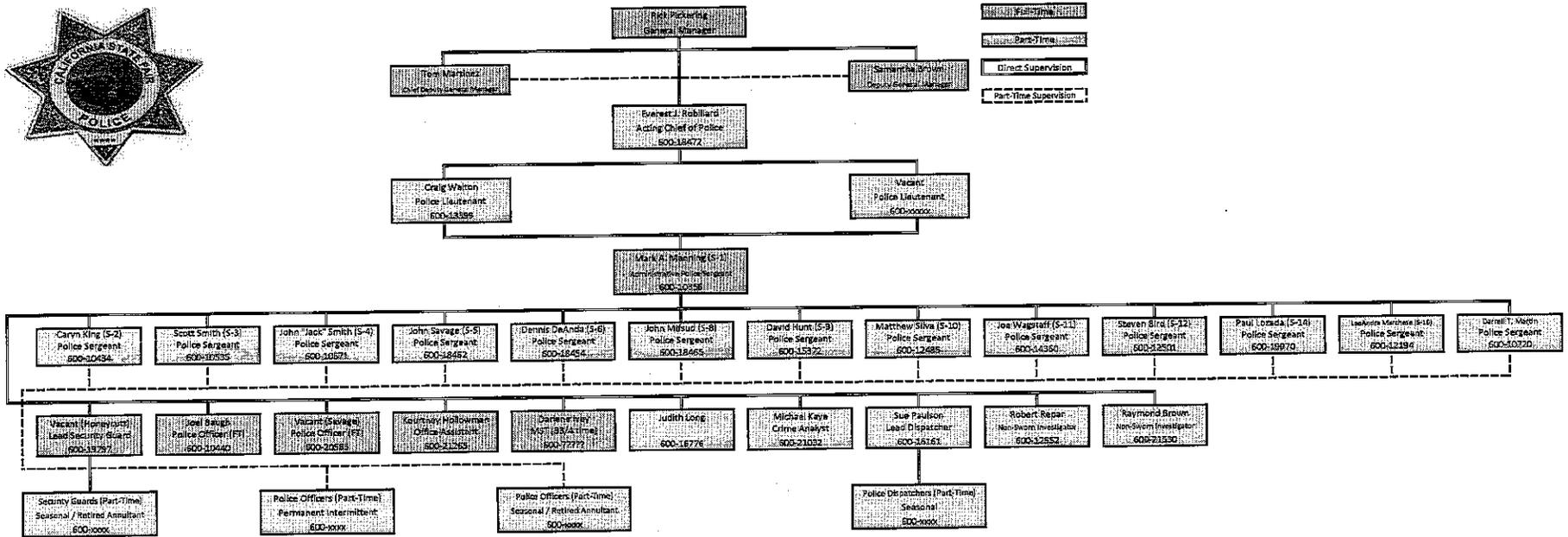
- B. Attach a plan for use of graded stakes or overnight races. Detention barn plan attached.
- C. Number of security guards in the detention stall area during a 24-hour period. Three (3)
- D. Describe number and location of surveillance cameras in detention stall area. The detention barn is monitored by one surveillance camera. The recording location is the main backstretch entrance Gate 12.

Cal Expo Detention Barn Information: The Cal Expo Detention Barn is a standalone fully secure 20-stall barn at the south end of the Cal Expo barn area. All California Sire Stake races along with designated overnight stakes will be detention barn races. All detention barn horses must be checked in with the security guard by 12 noon. A detailed log of all people entering the detention barn is always on file. Veterinarians are allowed for Salix administration or emergencies only. All ingress or egress is documented in the log book.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested. Currently seven races nightly, two horses per race, randomly drawn by the stewards. Association will evaluate for potential increase.
- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.

California Exposition and State Fair Police Department



Watch and Wager Detention Barn Procedures

2020 Fall Meeting

The Cal Expo Detention Barn is a stand-alone fully secure 20 stall barn located at the south end of the Cal Expo barn area.

There is a guard on duty out front of the detention barn. All personnel entering are required to sign in the log book. Veterinarians are allowed in for Salix administration only.

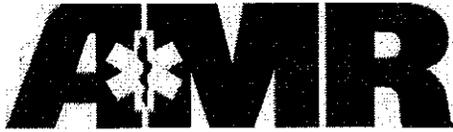
All California Sire Stake races, along with designated overnight stakes are required for detention.

Detention barn horses are required to report by 12 noon to the detention barn.

- C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.
 - D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.
- C. Describe the electronic security system:
- 1. Location and number of video surveillance cameras for the detention stall and stable gate. One surveillance camera and monitor will be located at both the detention barn and Gate 12 main gate.
- D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing: American Medical Response, 1779 Tribute Rd, Sacramento, CA, 95815 916-563-0600
- 1. Attach a certification from the ambulance service(s) listed in 16.A. certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. **Certificate Attached**
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A
- 1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A
- C. Describe the on-track first aid facility, including equipment and medical staffing: The Cal Expo first aid station is located just past the main entrance. The facility is staffed by the Cal Expo police staff. A minimum of two Cal Expo police officers are on duty during the live racing program. Basic first aid supplies include antibiotic ointment, cotton balls/swabs, gauze pads/roller, sterile eyewash, thermometer, turkey baster for cuts. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. Basic first aid services are offered at the station. In addition the on duty ambulance staff provides basic first aid services. The ambulance service is staffed by one EMT, and one Paramedic. The ambulance staff also has a wide range of basic and advanced life support equipment on hand.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1 N/A**
- 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services,



July 29, 2020

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. All of our paramedic staff are licensed with the California Emergency Medical Services Authority (EMSA) in addition to being accredited by the LEMSA (local emergency medical services) in the area(s) in which they are practicing. The current status of any Paramedic License can be verified by CA EMSA on their website: <http://www.centralregistry.ca.gov/Search.aspx>. In addition to annual inspections by the LEMSA, our vehicles are inspected and permitted annually by the CHP. Specific personnel licenses or vehicle permits are available for viewing on request at the local AMR Sacramento office.

Please feel free to contact me if you require further information.

Sincerely,

A handwritten signature in black ink, appearing to read 'Jennifer Bales', is written over a faint, larger version of the same signature.

Jennifer Bales
Regional Director Safety & Risk
AMR – Pacific Region (California & Hawaii)

Cc: Daniel Iniguez

pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)

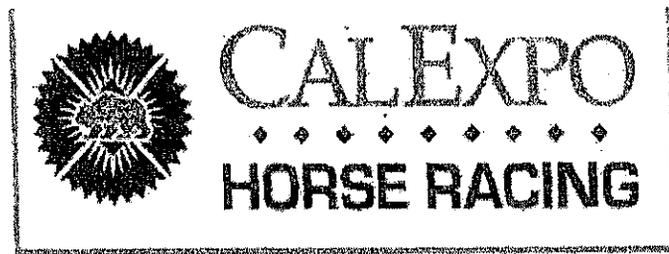
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey’s room to be used in the event of an on-track injury to a jockey: See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Ben Kenney, Safety Manager, Kate Pharris, Asst Safety Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers’ compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Oak River (Berkshire Hathaway) **Berkshire Hathaway Homestate Insurance Company # WAWC113639**
- J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers’ compensation. **Certificate Attached**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: Spector Corp under Master Contract with Cal Expo

Does the association provide its own concessions? Yes No



Watch & Wager,com LLC @ Cal Expo

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

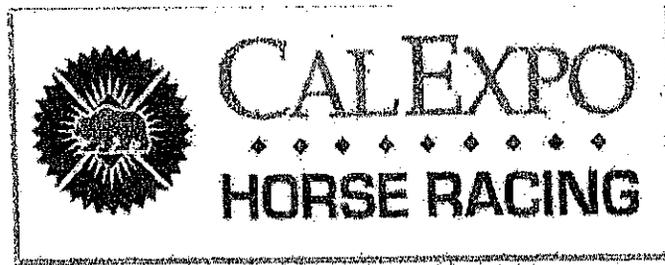
"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room

CALIFORNIA EXPOSITION & STATE FAIR

P.O. Box 15510 * Sacramento, CA 95812-1510 State of California * Jerry Brown, Governor



Watch & Wager.com LLC @ Cal Expo

"PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

"EVALUACION AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

"ATENCION AL ACCIDENTADO"

- El accidentado sera tratado de inmediato por (EMT).
Sies demaciado grabe el accidente sera transportado por ambulancia



WATCLLC-02

SAENZA

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
7/30/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	CONTACT NAME: PHONE (A/C, No, Ext): (925) 416-7862		FAX (A/C, No): (925) 416-7869
	E-MAIL ADDRESS:		
INSURED WatchandWager.com, LLC 25 Cadillac Drive, Suite 201 Sacramento, CA 95825	INSURER(S) AFFORDING COVERAGE		NAIC #
	INSURER A: Berkshire Hathaway Homestate Insurance Company		20044
	INSURER B:		
	INSURER C:		
	INSURER D:		
INSURER E:			
INSURER F:			

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WYD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input checked="" type="checkbox"/> Y If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	WAWC113639	8/3/2020	8/3/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
RE: Evidence of Coverage

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
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Watch and Wager.com LLC @ Cal Expo

Marketing and Promotional Plan

2020 Fall Meeting

New Stable Recruitment: Watch and Wager and the California Harness Horseman's Association advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$275,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product. A copy of our new and returning horse incentive plan is attached.

Improved Simulcast Production. Our nationally recognized on air team which includes Gary Siebel, Jenn Bongiorno, and Wendy Ross will provide some of the best insight in the industry. Watch and Wager has nationally known Derrick Givner of DRF Harness doing nightly Pick-5 analysis. We are also pursuing other National analyst talent as contributors. Our goal is to give the betting public as much insight in to our product as possible. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Three percent of harness handle comes from off-track sources. Our signal has been upgraded from Analog to SDI. We are exploring the cost/benefit analysis of going HD.

Guaranteed Pools. We guarantee a \$30,000 Pick-4 on Friday, and a \$40,000 Pick-4 guarantee on Saturday. This is the highest guarantee of any harness track in the country.

Low Takeout Wagers: We have added a additional low takeout (16%) Pick-5 this season. This will give us three low takeout multi leg wagers nightly.

Website: We have a new and improved website that went live on July 1st of 2019. We continue to offer free live video streaming and free archived replays.

TVG: We are an exclusive partner of the Television Games Network.

Social Media: We have become very active on Facebook and Twitter. We will continue to invest in a continued presence on Social Media platforms.

On Track Handle: We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

Parking/ Admission: We will continue the policy of **free** parking and admission every live racing night.

Concessions: We will continue to work with the Cal Expo master caterer Spectra to provide live fans with food and beverage nightly specials. We have agreed to a \$2 night the final Saturday of each month. We plan to promote this final Saturday of each month as the " Best Entertainment Value" in Sacramento.



CALEXPO Incentive Plan 2020 - 2021



New Horse Incentive Program*

Two-tiered structure includes **higher** incentive for horses starting early:

(a) If first start on or **before** December 5, 2020

\$ 700 • 1st start
+
\$ 350 • 8th start

(b) If first start on or **before** January 2, 2021

\$ 400 • 1st start
+
\$ 200 • 8th start



Returning Horse Incentive Program*

(a) **\$ 650** per horse, paid at first start if start is **on or before** December 5, 2020

(b) **\$ 300** per horse, if starts **on or before** January 2, 2021

Conditions:

- "**New horses**" must not have started at Cal-Expo since June 1, 2019.
- Must have at least one (1) race in 2020 for a purse greater than or equal to \$1,500 at a track other than Cal-Expo (pari-mutuel or non-pari-mutuel).
- Must not have raced for a purse greater than or equal to \$15,000 in each of its last five (5) overnight starts.
- All payments will be made to the owner of record as of the date the new horse records its first Cal-Expo start.
- The 8th start must be made prior to the end of the meet on April 24, 2021.
- All 8th start new horse earned incentive money will be payable April 1, 2021.
- An individual trainer and/or owner is entitled to a maximum of **20 "new horse"** incentives.

***CHHA Membership:** All owners are **required** to be a current member of the CHHA to receive new or returning horse payment.

For more information please contact us at:

Email: info@goldenbearracing.com • Phone: 916-800-1395

Check out our website: CalXHarness.com

- A. Inspection of backstretch housing was completed by (name):
- B. Number of rooms used for housing on the backstretch of the racetrack: 44
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/40

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%
The percent of cross slope in the center of the turns is: 5-6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J.R. Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. See Attached

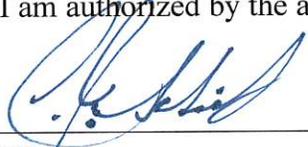
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.). **Agreement Attached**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen’s agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made. **Lease agreement between Cal Expo and Watch and Wager which is through May of 2022 is on file with the board.**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days’ notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SCHICK
 Print Name


 Signature

General Manager
 Print Title

7/29/2020
 Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO
SUSPEND CHRB RULE 1845(A)(1), AUTHORIZED BLEEDER MEDICATION,
PURSUANT TO CHRB RULE 1406, SUSPENSION OF RULE.

Regular Board Meeting
September 24, 2020

ISSUE:

The Los Alamitos Quarter Horse Racing Association (LAQHRA) is requesting the temporary suspension of California Horse Racing Board (Board) [Rule 1845\(a\)\(1\)](#) for the remainder of the LAQHRA current race meet, which ends on December 20, 2020. Any action to temporarily suspend a rule requires consideration and approval by the Board.

ANALYSIS:

Board Rule 1845, Authorized Bleeder Medication, governs the requirements for the administration of furosemide (aka Lasix). New subsection (a)(1) of Rule 1845, which is effective October 1, 2020, states the following:

Furosemide is prohibited for two-year-olds entered to race as specified in Board Rule 1843.5(a).

[Board Rule 1406](#), Suspension of Rule, allows the Board, for good cause, with or without a hearing, to temporarily suspend the application of any of its rules upon any conditions it may impose. Every application for such action and any such action by the Board shall, insofar as possible, be in writing. If not in writing, it shall be confirmed in writing as soon thereafter as possible.

Should the Board desire to utilize Board Rule 1406, the Board can suspend subsection (a)(1) of Rule 1845 upon any condition it wishes to impose. Therefore, staff concurs that the Board could set the conditions that this partial suspension only extends to LAQHRA and only applies to the current race meet, which will end on December 20, 2020.

BACKGROUND:

Subsection (a)(1) of Board Rule 1845, as well as other changes to Rule 1845, have been approved by the Office of Administrative Law (OAL) and will go into effect on October 1, 2020. Previously, the furosemide specifications of Rule 1845 were not numbered and did not set specific limitations for two-year olds. Before the newest amendment and approval, Rule 1845 allowed for the administration of furosemide only if the horse was on the

authorized bleeder list but had no restrictions regarding age. The most recent amendment of Rule 1845 prohibits the administration of furosemide for two-year-olds entered to race as specified in Board Rule 1843.5(a).

RECOMMENDATION:

This item is presented for Board discussion and action.



September 9, 2020

Mr. Scott Chaney
Executive Director
CALIFORNIA HORSE RACING BOARD
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney:

Los Alamitos Quarter Horse Racing Association and its Horsemen would like to respectfully request an extension and temporary waiver of CHRB Rule 1845, Authorized Bleeder Medication, for two-year olds until the end of our current meet (December 20, 2020).

Los Alamitos Quarter Horse Racing Association ("LAQHRA") has some major and prestigious futurities (over \$1,000,000 purses) for horses foaled in 2018. The owners of these two year olds are from around the country, and have been making payments to these races for almost 2 years. The trials and finals for the futurities are in November and December.

We feel that there are practical and clinical reasons for this request. The two year olds have been racing on Lasix (furosemide) all this year.

Thank you for your consideration of our request.

Respectfully,

A handwritten signature in black ink, appearing to read "Cathy Monji", is written in a cursive style.

Cathy Monji
President

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
RULE 1845. AUTHORIZED BLEEDER MEDICATION

1845. Authorized Bleeder Medication.

(a) The only authorized bleeder medication for the control of exercise-induced pulmonary hemorrhage (EIPH) shall be furosemide, and it shall only be administered by a single intravenous injection, in a dosage of not less than 150 mg and not more than ~~500~~250 mg, on the grounds of the racetrack where the horse will race, and no later than four hours prior to the post time of the race for which the horse is entered. It shall only be administered to a horse that is registered on the authorized bleeder medication list.

(1) Furosemide is prohibited for two-year-olds entered to race as specified in Board Rule 1843.5(a).

(ab) A horse is registered on the authorized bleeder medication list as follows:

(1) The trainer and the owner's veterinarian shall determine whether furosemide is medically necessary to control EIPH and is not otherwise contraindicated for that horse; and

(2) Prior to entry for race, the official veterinarian approves form CHRB-194 (Rev. 01/16), Authorized Bleeder Medication and Medical History Request, which is hereby incorporated by reference, submitted to the official veterinarian by the trainer and owner's veterinarian.

(bc) Once registered, any horse that will be administered furosemide shall:

(1) Arrive on the grounds of the racetrack where the horse will race no later than five hours prior to the post time of the race for which the horse is entered; and

(2) Be assigned to a pre-race security stall prior to the scheduled post time for the race in which it is entered; and shall remain there until it is taken to the receiving barn or the paddock to be saddled or harnessed for the race.

(A) The pre-race security stall shall be identified by the posting of a form CHRB-234 (New 09/15), Detention Stall Sign, which is hereby incorporated by reference. The trainer shall post the Detention Stall Sign no later than eight hours prior to the post time of the race for which the horse is entered or, for a horse arriving from off the grounds of the racetrack, when the horse is placed in the pre-race security stall.

(B) While in the pre-race security stall, the horse shall be in the care, custody, control, and constant view of the trainer, or a licensed employee assigned by the trainer. The trainer shall be responsible for the condition, care and handling of the horse while it remains in the pre-race security stall.

(C) The official veterinarian may permit a horse to leave the pre-race security stall to engage in track warm-up heats prior to a race.

(ed) Furosemide shall be administered only after:

(1) The trainer, owner, or the owner's veterinarian has consulted with the furosemide veterinarian regarding the condition of the horse and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1; or

(2) The trainer, owner, or owner's veterinarian has consulted with the official veterinarian or racing veterinarian and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of

California Code of Regulations, title 16, section 2032.1, and that the consulting official veterinarian or racing veterinarian directly supervises the furosemide veterinarian, or California registered veterinary technician, who administers furosemide.

(~~de~~) The person who administers furosemide pursuant to subsection (~~ef~~)(1) of this regulation shall notify the official veterinarian of the treatment of the horse. Such notification shall be made on form CHRB-36 (New 08/04), Bleeder Treatment Report, which is hereby incorporated by reference, not later than two hours prior to post time of the race for which the horse is entered.

(1) The trainer or a licensed employee of the trainer shall be present and observe the furosemide administration.

(~~ef~~) The horsemen's organization, trainers' organization, and racing association shall enter into an agreement to provide for race-day furosemide administration. The agreement to provide for race-day furosemide administration shall be submitted to the Board in accordance with Rule 1433 of this Division. The agreement shall describe the racing association's program for the administration of race-day furosemide, the minimum level of staffing necessary to carry out the program, and the projected costs to horsemen for such administration.

(1) Furosemide shall be administered by a furosemide veterinarian or California-registered veterinary technician under the direct supervision of the furosemide veterinarian. The furosemide veterinarian or California registered veterinary technician who provides race-day furosemide administration shall be employed by the racing association and shall not have a current business relationship, or prior veterinarian-client-patient relationship, with participating licensees within 30 days of the date he or she is

employed to administer furosemide.

(2) All parties present during the administration of furosemide shall certify in writing that they have witnessed the furosemide administration by signing the form CHRB-36 (New 08/04), Bleeder Treatment Report. The furosemide veterinarian or California registered veterinary technician shall place the syringe used to administer furosemide in an evidence bag which will be sealed in front of the witnesses. The witnesses shall sign the sealed evidence bag. The syringe used to administer furosemide shall be provided to and securely stored by the Board in accordance with subsection (h~~i~~) of this regulation.

(3) "Furosemide veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the racing association to administer race-day furosemide to horses registered on the authorized bleeder medication list.

(4) "Owner's veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the owner to provide veterinary care to horses.

(f~~g~~) A horse registered to be administered furosemide shall receive 250 mg of furosemide intravenously unless an alternative dose of not less than 150 mg and not more than ~~500~~250 mg has been determined after consultation between the trainer, owner, or owner's veterinarian, and the furosemide veterinarian pursuant to subsection (e~~d~~).

(g~~h~~) In the event of an adverse reaction or other emergency related to the administration of furosemide, the furosemide veterinarian, or California registered veterinary technician, who administered furosemide shall attend the horse until the arrival of the owner's veterinarian.

(h~~i~~) The syringe used to administer furosemide shall be provided to and securely stored by the Board until all testing of the horse is completed. In the event of a positive

test finding as defined in this article, the Board may order, or the owner or trainer may request, the retained syringe be tested for prohibited substances. The results of the test may be used in any action before the Board.

(ij) A horse that has been administered furosemide must show a detectable concentration of the drug in post-race serum, plasma, or urine samples, as follows:

(1) The official laboratory shall measure the specific gravity of post-race urine samples to ensure samples are sufficiently concentrated for proper chemical analysis. The specific gravity of such samples shall not be below 1.010.

(2) If the specific gravity of the post-race urine sample is determined to be below 1.010, or if the urine sample is not available for testing, quantitation of furosemide in serum or plasma shall then be performed. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.

(jk) A horse registered on the official authorized bleeder medication list must remain on the list unless the trainer or owner's veterinarian requests the horse be removed. The request must be made using form CHRB-194 (Rev. 01/16), and must be submitted to the official veterinarian prior to the time of entry. A horse removed from the authorized bleeder medication list may not be placed back on the list for a period of 60 calendar days unless the official veterinarian determines it is medically necessary for the horse. If a horse is removed from the authorized bleeder medication list a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.

(kl) If the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race or workout, and determines such bleeding is a direct result

of EIPH, the horse shall be ineligible to race for the following periods:

- First incident -14 days;
- Second incident within 365-day period -30 days;
- Third incident within 365-day period -180 days;
- Fourth incident within 365-day period -barred from racing lifetime.

For the purposes of counting the number of days a horse is ineligible to run, the day after the horse bled externally is the first day of such period.

(~~h~~) The owner(s) of a registered horse shall:

(1) Pay all costs associated with the materials used in the administration of furosemide, including the syringe and medication, and reasonable administrative costs as set under the race-day furosemide agreement entered into by the horsemen's organization, trainers' organization, and the racing association.

(2) Consent to the procedures in this section and agree that the pre-race examination conducted under the direction of the official veterinarian or racing veterinarian shall constitute a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO
SUSPEND CHRB RULE 1845(H), AUTHORIZED BLEEDER MEDICATION,
PURSUANT TO CHRB RULE 1406, SUSPENSION OF RULE.

Regular Board Meeting
September 24, 2020

ISSUE:

Del Mar Thoroughbred Club (DMTC), in agreement with the Thoroughbred Owners of California (TOC) and the California Thoroughbred Trainers (CTT), is requesting the temporary suspension of California Horse Racing Board (Board) [Rule 1845\(h\)](#) for the duration of the DMTC's upcoming race meet (October 28, 2020 – December 1, 2020). This desired change is a cost savings effort by the tracks and horsemen. Any action to temporarily suspend a rule requires consideration and approval by the Board.

ANALYSIS:

Board Rule 1845, Authorized Bleeder Medication, governs the requirements for the administration of furosemide (aka Lasix). Subsection (h) of Rule 1845 states the following:

The syringe used to administer furosemide shall be provided to and securely stored by the Board until all testing of the horse is completed. In the event of a positive test finding as defined in this article, the Board may order, or the owner or trainer may request, the retained syringe be tested for prohibited substances. The results of the test may be used in any action before the Board.

It is also important to note that changes to Rule 1845 have been approved by the Office of Administrative Law (OAL) and will go into effect on October 1, 2020, which will result in a renumbering of Rule 1845. Specifically, the referenced subsection 1845(h) will now be 1845(i).

[Board Rule 1406](#), Suspension of Rule, allows the Board, for good cause, with or without a hearing, to temporarily suspend the application of any of its rules upon any conditions it may impose. Every application for such action and any such action by the Board shall, insofar as possible, be in writing. If not in writing, it shall be confirmed in writing as soon thereafter as possible.

Should the Board desire to utilize Board Rule 1406, the Board can suspend subsection (h) of Rule 1845 upon any condition it wishes to impose. Therefore, staff concurs that the Board could set the conditions that this partial suspension only extends to the DMTC and only applies to the DMTC's upcoming race meet (October

28, 2020 – December 1, 2020). Further, utilizing Board Rule 1406 to partially suspend subsection (h) of Board Rule 1845 for the DMTC's upcoming meet may serve as an effective pilot to observe whether the Board should take action to permanently remove this portion of the rule via the formal rulemaking process.

BACKGROUND:

Subsection (h) of Board Rule 1845 went into effect on December 26, 2017. Before its implementation, Rule 1845 provided that a representative of the Board could request that the veterinarian administering the bleeder medication surrender the syringe used in the administration of furosemide for possible testing. The addition of subsection (h), which requires that the syringe used in the administration of furosemide be provided to, and retained by, the Board until all testing of the horse is completed was thought necessary because of an underlying concern that the Lasix injection could be the source of a drug positive.

Since subsection (h) went into effect, the retention of syringes has proved to be cumbersome, costly, and ineffective in achieving its original goal. It is estimated that only three Lasix syringes have been tested out of approximately 150,000 injections. None of the tested syringes altered the outcome of any violation.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
RULE 1845. AUTHORIZED BLEEDER MEDICATION

1845. Authorized Bleeder Medication.

(a) The only authorized bleeder medication for the control of exercise-induced pulmonary hemorrhage (EIPH) shall be furosemide, and it shall only be administered by a single intravenous injection, in a dosage of not less than 150 mg and not more than ~~500~~250 mg, on the grounds of the racetrack where the horse will race, and no later than four hours prior to the post time of the race for which the horse is entered. It shall only be administered to a horse that is registered on the authorized bleeder medication list.

(1) Furosemide is prohibited for two-year-olds entered to race as specified in Board Rule 1843.5(a).

(ab) A horse is registered on the authorized bleeder medication list as follows:

(1) The trainer and the owner's veterinarian shall determine whether furosemide is medically necessary to control EIPH and is not otherwise contraindicated for that horse; and

(2) Prior to entry for race, the official veterinarian approves form CHRB-194 (Rev. 01/16), Authorized Bleeder Medication and Medical History Request, which is hereby incorporated by reference, submitted to the official veterinarian by the trainer and owner's veterinarian.

(bc) Once registered, any horse that will be administered furosemide shall:

(1) Arrive on the grounds of the racetrack where the horse will race no later than five hours prior to the post time of the race for which the horse is entered; and

(2) Be assigned to a pre-race security stall prior to the scheduled post time for the race in which it is entered, and shall remain there until it is taken to the receiving barn or the paddock to be saddled or harnessed for the race.

(A) The pre-race security stall shall be identified by the posting of a form CHRB-234 (New 09/15), Detention Stall Sign, which is hereby incorporated by reference. The trainer shall post the Detention Stall Sign no later than eight hours prior to the post time of the race for which the horse is entered or, for a horse arriving from off the grounds of the racetrack, when the horse is placed in the pre-race security stall.

(B) While in the pre-race security stall, the horse shall be in the care, custody, control, and constant view of the trainer, or a licensed employee assigned by the trainer. The trainer shall be responsible for the condition, care and handling of the horse while it remains in the pre-race security stall.

(C) The official veterinarian may permit a horse to leave the pre-race security stall to engage in track warm-up heats prior to a race.

(ed) Furosemide shall be administered only after:

(1) The trainer, owner, or the owner's veterinarian has consulted with the furosemide veterinarian regarding the condition of the horse and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1; or

(2) The trainer, owner, or owner's veterinarian has consulted with the official veterinarian or racing veterinarian and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of

California Code of Regulations, title 16, section 2032.1, and that the consulting official veterinarian or racing veterinarian directly supervises the furosemide veterinarian, or California registered veterinary technician, who administers furosemide.

(~~de~~) The person who administers furosemide pursuant to subsection (~~ef~~)(1) of this regulation shall notify the official veterinarian of the treatment of the horse. Such notification shall be made on form CHRB-36 (New 08/04), Bleeder Treatment Report, which is hereby incorporated by reference, not later than two hours prior to post time of the race for which the horse is entered.

(1) The trainer or a licensed employee of the trainer shall be present and observe the furosemide administration.

(~~ef~~) The horsemen's organization, trainers' organization, and racing association shall enter into an agreement to provide for race-day furosemide administration. The agreement to provide for race-day furosemide administration shall be submitted to the Board in accordance with Rule 1433 of this Division. The agreement shall describe the racing association's program for the administration of race-day furosemide, the minimum level of staffing necessary to carry out the program, and the projected costs to horsemen for such administration.

(1) Furosemide shall be administered by a furosemide veterinarian or California-registered veterinary technician under the direct supervision of the furosemide veterinarian. The furosemide veterinarian or California registered veterinary technician who provides race-day furosemide administration shall be employed by the racing association and shall not have a current business relationship, or prior veterinarian-client-patient relationship, with participating licensees within 30 days of the date he or she is

employed to administer furosemide.

(2) All parties present during the administration of furosemide shall certify in writing that they have witnessed the furosemide administration by signing the form CHRB-36 (New 08/04), Bleeder Treatment Report. The furosemide veterinarian or California registered veterinary technician shall place the syringe used to administer furosemide in an evidence bag which will be sealed in front of the witnesses. The witnesses shall sign the sealed evidence bag. The syringe used to administer furosemide shall be provided to and securely stored by the Board in accordance with subsection (h~~i~~) of this regulation.

(3) "Furosemide veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the racing association to administer race-day furosemide to horses registered on the authorized bleeder medication list.

(4) "Owner's veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the owner to provide veterinary care to horses.

(f~~g~~) A horse registered to be administered furosemide shall receive 250 mg of furosemide intravenously unless an alternative dose of not less than 150 mg and not more than ~~500~~250 mg has been determined after consultation between the trainer, owner, or owner's veterinarian, and the furosemide veterinarian pursuant to subsection (e~~d~~).

(g~~h~~) In the event of an adverse reaction or other emergency related to the administration of furosemide, the furosemide veterinarian, or California registered veterinary technician, who administered furosemide shall attend the horse until the arrival of the owner's veterinarian.

(h~~i~~) The syringe used to administer furosemide shall be provided to and securely stored by the Board until all testing of the horse is completed. In the event of a positive

test finding as defined in this article, the Board may order, or the owner or trainer may request, the retained syringe be tested for prohibited substances. The results of the test may be used in any action before the Board.

(ij) A horse that has been administered furosemide must show a detectable concentration of the drug in post-race serum, plasma, or urine samples, as follows:

(1) The official laboratory shall measure the specific gravity of post-race urine samples to ensure samples are sufficiently concentrated for proper chemical analysis. The specific gravity of such samples shall not be below 1.010.

(2) If the specific gravity of the post-race urine sample is determined to be below 1.010, or if the urine sample is not available for testing, quantitation of furosemide in serum or plasma shall then be performed. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.

(jk) A horse registered on the official authorized bleeder medication list must remain on the list unless the trainer or owner's veterinarian requests the horse be removed. The request must be made using form CHRB-194 (Rev. 01/16), and must be submitted to the official veterinarian prior to the time of entry. A horse removed from the authorized bleeder medication list may not be placed back on the list for a period of 60 calendar days unless the official veterinarian determines it is medically necessary for the horse. If a horse is removed from the authorized bleeder medication list a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.

(kl) If the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race or workout, and determines such bleeding is a direct result

of EIPH, the horse shall be ineligible to race for the following periods:

- First incident -14 days;
- Second incident within 365-day period -30 days;
- Third incident within 365-day period -180 days;
- Fourth incident within 365-day period -barred from racing lifetime.

For the purposes of counting the number of days a horse is ineligible to run, the day after the horse bled externally is the first day of such period.

(~~h~~) The owner(s) of a registered horse shall:

(1) Pay all costs associated with the materials used in the administration of furosemide, including the syringe and medication, and reasonable administrative costs as set under the race-day furosemide agreement entered into by the horsemen's organization, trainers' organization, and the racing association.

(2) Consent to the procedures in this section and agree that the pre-race examination conducted under the direction of the official veterinarian or racing veterinarian shall constitute a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
THE PROPOSED ADDITION OF CHRB RULE 1866.2, SHOCKWAVE THERAPY
RESTRICTED, TO PROVIDE PROCEDURES FOR THE USE OF
EXTRACORPOREAL SHOCK WAVE THERAPY (ESWT) OR RADIAL PULSE WAVE
THERAPY WITHIN A CHRB INCLOSURE

Regular Board Meeting
September 24, 2020

ISSUE:

Extracorporeal shock wave therapy (ESWT) is a technology used for treating musculoskeletal problems, soft-tissue injuries and bone injuries in horses. The California Horse Racing Board (Board) currently does not have a regulation governing the use of ESWT technology within the inclosure. The proposed addition of Board Rule 1866.2, Shock Wave Therapy Restricted, will put in place a regulation to provide guidelines and procedures for the use of ESWT within a Board inclosure.

ANALYSIS:

The Board approved modifications to the original proposed language of Board Rule 1866.2 and the addition of documents to the rulemaking record, after recommendations from the Office of Administrative Law (OAL), at the June 11, 2020 regular Board meeting. The rulemaking file was then resubmitted to OAL on July 9, 2020, for OAL's review. OAL determined that the modified language was acceptable, but that the documents added to the rulemaking record needed additional noticing pursuant to the Administrative Procedures Act (APA). This necessitated a second 15-day notice so that documents added to the rulemaking record could be noticed properly. The second 15-day comment period was from August 11, 2020 through August 26, 2020.

One comment was received during the second 15-day comment period. Government Code (GC) section 11346.8 requires the Board to consider all relevant matter, including public comments received during an open public comment period, before adopting, amending or repealing any regulation. Therefore, the Board must consider the comment before it can reapprove the rulemaking file for adoption.

BACKGROUND:

Business and Professions Code (BPC) [section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. BPC [section 19562](#) states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. BPC [section 19580](#) requires the Board to adopt regulations to establish policies,

guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California.

RECOMMENDATION:

This item is presented for Board discussion and action.



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Michael Manno, DVM, MS

26 August 2020

To Chairman Ferraro, Equine Medical Director Arthur and members of the CHRB

My name is Michael Manno and I have been a practicing racetrack veterinarian in California since 1981. The first portion of my career was in Northern California working at Bay Meadows, Golden Gate Fields and all the fair sites. Since 1994, I have been practicing in Southern California mostly at San Luis Rey Downs and Del Mar. I also spent 20 years working at Rancho Paseana until it's closure. Actually I have worked at one time or another at every racetrack in California except Ferndale and I actually had that opportunity last year - still on the Bucket List.

I have seen many great tracks and training centers come and go along the way. Surely we all know that this period of time is critical not only for California racing, but horse racing in general. Public perception, both real and imagined is bringing immense pressure on the industry and focusing on the welfare of the racehorse as never before. Some of this scrutiny is reasonable and some is misdirected - all the same it is the reality we are living in. Many rules concerning medication reform, medication reporting, claiming and training rules have been changed or newly instituted. Many of the changes were long overdue. Some in my opinion are overreaching.

I am particularly concerned with the proposed changes to the Shockwave Therapy guidelines. These are set to take effect in October and the rule changes presented to us are, in my opinion, both excessive and seemingly out of touch with the factual research and therapeutic advantages of this modality. First off, the main problem with this therapy is it's name - shockwave therapy. The thought of electrically shocking a horse conjures up horrible images to most lay people. This is not what actually happens though. I wished it was called Focused Soundwave Therapy as that would be much more pleasing to most people - and that is exactly what the therapy is. This treatment option has been around for approximately 20 years in horses. I began using it very early on when the equine machines became available. Actually the first unit I had was a human version of the machine modified very slightly for equine work. Yes this therapy is used in humans also. I have used it on my tired and injured ligaments and bones myself. Most veterinary groups have at least 1 unit in their practice and many of us have 3-4 units. At \$25,000 and up, this is a substantial investment that we will no longer be able to utilize effectively.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED ADDITION OF
RULE 1866.2. SHOCKWAVE THERAPY RESTRICTED.

1866.2 Shockwave Therapy Restricted

(a) Prior to being brought into any CHRB racing or training inclosure, all Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy (ESWT) machines shall be registered by the official veterinarian who shall keep a listing of all ESWT machines so registered.

(1) The listing shall be available for inspection by the official veterinarian, stewards, or CHRB investigators. The listing shall include the manufacturer, model, serial number, and the name of the CHRB licensed veterinarian responsible for the ESWT machine.

(b) Only CHRB licensed veterinarians may use ESWT machines within a CHRB racing or training inclosure.

(c) ESWT machines are not allowed in the stable area. All treatments shall be conducted in a designated area chosen by the official veterinarian responsible for that CHRB racing or training inclosure.

(1) The designated area shall be secure, safe for the horse receiving treatment, and accessible for monitoring either by security personnel or by security cameras.

(d) The treating veterinarian shall keep a log of all ESWT treatments. The log shall be available for inspection by the official veterinarian, stewards, or CHRB investigators. The log shall include the date of treatment; the horse's tattoo, microchip number, or other

identifying information if the horse is not tattooed or microchipped; the anatomical area treated; and the number of pulses administered.

(e) All ESWT treatments shall be reported to the official veterinarian on a separate form Veterinarian Report Confidential CHRB-24 (Rev. 01/18) (CHRB-24), which is incorporated by reference. Such report shall be submitted by 10:00 AM the day following treatment.

(f) Horses treated with ESWT shall be placed on the Veterinarian's List for ESWT treatment for 30 days. The day after treatment is the horse's first day on the Veterinarian's List. The horse shall be removed from the Veterinarian's List for ESWT on the day following the 30th day (30 + 1 days) provided that the horse satisfied Rule 1866(d). A horse on the Veterinarian's List for multiple reasons must meet the criteria required for removal for those other reasons.

(g) Horses treated with ESWT may not participate in a workout for 30 days after treatment.

(1) For the purpose of this regulation, "workout" means an exercise session near full speed, or close to full speed.

(h) No owner, trainer, or licensee shall bring into a CHRB racing or training inclosure a horse known to have received ESWT within the last 30 days without prior approval of the official veterinarian.

(i) Any person participating in the use of ESWT or the possession of ESWT machines in violation of this rule shall be considered to have committed a prohibited veterinary practice and is subject to a Category A penalty pursuant to Rule 1843.3.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440, 19562 and 19583,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

September 24, 2020
REGULAR BOARD MEETING

There is no board package material for Item 16

CALIFORNIA HORSE RACING BOARD

September 24, 2020
REGULAR BOARD MEETING

There is no board package material for Item 17