

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, September 28, 2017**, commencing at **9:30 a.m.**, in the Finish Line Room at the **Los Alamitos Race Course, 4961 Katella Avenue, Los Alamitos, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of August 24, 2017.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board on the **nomination of members to the Board of Directors of the California Thoroughbred Horsemen's Foundation, Inc.**
5. Discussion and action by the Board regarding **an update from the Pacific Coast Quarter Horse Racing Association (PCQHRA) on the 13th Annual Los Alamitos Equine Quarter Horse Yearling and Mixed Stock Sale and its request for authorization of the sale, pursuant to CHRB Rule 1807, Authorized Horse Sales.**
6. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar, commencing November 1, 2017 through November 28, 2017, inclusive.**
7. Discussion and action by the Board regarding **an update from Los Alamitos Racing Association (T) on the status of the Thoroughbred Owners of California (TOC) Agreement for the Los Alamitos Race Meeting at Los Alamitos Race Course, commencing November 29, 2017 through December 19, 2017, inclusive.**

8. Public hearing and action by the Board regarding the **proposed addition of CHRB Rules 1597.5, Microchips Required for All Horses on Grounds; Rule 1597.6, Tampering with Microchips and the proposed amendment to CHRB Rules 1554, Duties of Horse Identifier; Rule 1581.1 Entries; Rule 1588, Horse Ineligible to Start in a Race and Rule 1597, Association to Maintain Records of Horses on Its Grounds**, to implement the requirement that horses be microchipped in order to race in California. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
9. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1844, Authorized Medication**, to prohibit the presence of clenbuterol in official urine test samples for all horses participating in quarter horse races. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
10. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1588, Horse Ineligible to Start in a Race**, to provide that a horse that receives an intra-articular injection (glucocorticosteroid/cortisone) is ineligible to race for seven (7) days (168 hours) after the treatment.
11. Report from the **Legislative, Legal and Regulations Committee**.
12. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1663, Entry of Claimed Horse**, to provide that a horse claimed out of a claiming race is not eligible to race in any State other than California until 60 days after the close of the meeting from where it was claimed except in a stakes race.
13. Report from the **Race Dates Committee**.
14. Discussion and action by the Board regarding **racing calendar proposals for southern and northern California and the allocation of race dates**.
15. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).

- C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Madeline Auerbach, 2nd Vice Chairman
Jesse H. Choper, Member
Fredric Maas, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

Date of Notice: September 15, 2017

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Del Mar Surfside Race Place, 2260 Jimmy Durante Blvd., Del Mar, California, on August 24, 2017.**

Present: Chuck Winner, Chairman
George Krikorian, First Vice-Chairman
Madeline Auerbach, Second Vice-Chairman
Jesse H. Choper, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
John McDonough, General Counsel

APPROVAL OF THE MINUTES OF JULY 20, 2017

Chairman Winner asked for approval of the minutes of the Regular Meeting of July 20, 2017.

Commissioner Choper **motioned** to approve the minutes. First Vice-Chairman Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Winner, Krikorian, Choper, Ruano. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported that the California stewards held a meeting August 8, 2017. The meeting gave the Jockeys' Guild and several riders a chance to discuss a number of concerns with the stewards. He said the minimum number of days for a suspension for careless riding, was discussed, resulting in a proposed amendment to Rule 1699, Riding Rules. Executive Director Baedeker reported CHR B staff met with industry stakeholders to prepare for the implementation of Rule 1845, Authorized Bleeder Medication and Rule 1433, Application for License to Conduct a Horse Racing Meeting, which would become effective December 26, 2017 and would coincide with the commencement of four California race meetings. He said staff provided the racing associations with a list of newly required items associated with the amendment

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that must be included in their race meeting applications. Executive Director Baedeker reported that year-to-date daytime handle decreased by 2.1 percent and nighttime handle increased 0.14 percent, with an overall decrease of 1.9 percent handle over the same timeframe in 2016. July 2017 daytime handle decreased 0.3 percent and nighttime handle decreased 7.4 percent, with an overall decrease 0.99 percent handle compared to July 2016. He said overall handle for Los Alamitos Racing Association (LARA) was down 14.5 percent from its July 2017 race meeting compared to its April 2016 race meeting. LARA's July 2017 race meeting was one week shorter than its April 2017 meet. He stated the Pacific Racing Association (PRA) 2017 winter-spring race meeting overall handle decreased by 6.41 percent from its 2016 winter-spring race meeting handle. The difference in handle could be attributed to substantial rain in early 2017. PRA's winter-spring race meet field sizes decreased from 6.78 horses in 2016 to 6.47 horses in 2017. Executive Director Baedeker said the Los Angeles Turf Club (LATC) 2017 winter-spring race meeting had the same number of race days as its 2016 winter-spring meet. The average daily handle was up 3.86 percent despite substantial rain in early 2017. He stated Alameda County Fair's 2017 race meeting handle was up 7.50 percent compared to its 2016 race meeting. Executive Director Baedeker said the 2017 California State Fair (Cal Expo) race meeting had one additional day of racing over its 2016 meet; however its handle decreased 5.00 percent versus its 2016 race meet. Executive Director Baedeker said he was impressed with Cal Expo's efforts to prevent horses from suffering heat stress as several race days had temperatures over 100 degrees Fahrenheit. Horses were cooled down with water and ice from six locations between the racetrack and stable area. He said he was happy to report that the horse ambulance was not used during the 2017 Cal Expo race meeting. Chairman Winner said Brad McKinzie, a dear friend to everyone in the California horseracing industry, passed away August 6, 2017. Mr. McKinzie was a wonderful person. Executive Director

Baedeker spoke at length regarding fond memories of Mr. McKinzie and all he had done for the horseracing industry. He said Mr. McKinzie was known for his work solving an industry crisis with workers' compensation and organizing the relocation of about 2,000 horses when Hollywood Park closed. Executive Director Baedeker said Mr. McKinzie was a good man, and he would be missed.

PUBLIC COMMENT

Michelle French, CEO and Founder of Animal Element, spoke about her company which provided supplements to help the health and welfare of horses. Second Vice-Chairman Auerbach suggested that Animal Element should ensure that its ingredients were not listed on any CHRB lists. Ms. French stated the supplements were tested with several labs to ensure there would be no false positive test results. First Vice-Chairman Krikorian thanked Ms. French for creating something that assisted horses in obtaining a healthy diet. Kim Lloyd, Barretts, invited everyone to attend its select yearling sale held on August 29, 2017.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR (F), AT LOS ALAMITOS, COMMENCING SEPTEMBER 6, 2017 THROUGH SEPTEMBER 26, 2017, INCLUSIVE.

Jack Liebau, Los Angeles County Fair (LACF), stated LACF requested approval of its application to conduct a horse racing meeting at Los Alamitos commencing September 6, 2017 through September 26, 2017. He said LACF submitted a completed application and hoped for a successful race meeting. Executive Director Rick Baedeker stated the he appreciated that the LACF application was complete. Commissioner Solis **motioned** to approve the Los Angeles County Fair

Proceedings of the Regular Meeting of August 24, 2017

application to conduct a horse racing meeting commencing September 6, 2017 through September 26, 2017. Second Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Krikorian, Winner, Auerbach, Solis. Nay: None.

Motion Carried

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II (T), AT SANTA ANITA, COMMENCING SEPTEMBER 27, 2017 THROUGH OCTOBER 31, 2017, INCLUSIVE.

Joe Morris, Los Angeles Turf Club II (LATC II), requested approval of the application for license to conduct a horse racing meeting of the LATC II at Santa Anita, commencing September 27, 2017 through October 31, 2017. He said LATC II's fall meet would run for 19 days, opening on September 29, 2017, and closing on October 29, 2017. He stated track renovation work was completed over the summer. The main track was laser graded, and the turf course was also renovated. Nate Newby, LATC II, said LATC II's opening day was one of the best race days in the country due to its five grade 1 stakes, and there would be a big push on advertising, marketing, and promotions. Some promotions included Fantasy Football, the Los Angeles Dodgers, and the 626 Night Market. The 626 Night Market was an Asian-themed three-day festival held at Santa Anita two weeks prior to the start of the racing season; it attracted over 100,000 people. Incentives such as free admission passes and free wagers were distributed during the night market. First Vice-Chairman Krikorian asked why there was a substantial reduction in purses for turf horses versus dirt horses. Rick Hammerle, LATC II, said the association's schedule for its fall meet mirrored its 2015 schedule, which was the last time LATC II did not host the Breeders' Cup. He stated because the race meet was only 19 days, LATC II could not generate a lot of purse money, so adjustments

were made. First Vice-Chairman Krikorian said he believed the purse structure for turf horses was generally inequitable and the issue went beyond LATC II's 19 day meet. He stated LATC II's previous spring meet did not have any turf races for three year old colts. Mr. Hammerle said there was nothing different about the proposed meet, but he would be happy to sit down and talk about future meets, and discuss some of the theories behind how LATC II scheduled races. Chairman Winner said that was a good idea. Second Vice-Chairman Auerbach asked if LATC II's race meet was long enough to consider a Pick 6 jackpot with a payout during the meet, similar to what was done by the Del Mar Thoroughbred Club. Mr. Morris said LATC II's 19 day meet was too short for a Pick 6 jackpot, but it was something LATC II would consider for its longer winter-spring meet. Commissioner Ruano asked about the breakdown for male to female access to restrooms. Mr. Morris stated he did not know the numbers offhand. Commissioner Ruano said she would like to see that at some point. Second Vice-Chairman Auerbach **motioned** to approve the Los Angeles Turf Club II application to conduct a horse racing meeting commencing September 27, 2017 through October 31, 2017. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Krikorian, Winner, Auerbach, Solis. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION (T), AT GOLDEN GATE FIELDS, COMMENCING OCTOBER 18, 2017 THROUGH DECEMBER 19, 2017, INCLUSIVE.

Executive Director Rick Baedeker stated the Pacific Racing Association (PRA) application to conduct a horse racing meeting had one outstanding item at the time of print regarding an approval from the Thoroughbred Owners of California (TOC) for a Super Hi-5 takeout request. PRA had

since amended its application and withdrew its request for the Super Hi-5 takeout; therefore, the application was complete. Cal Rainey, PRA, said PRA was installing a new fire alarm system and updating the surveillance system at Golden Gate Fields (GGF). PRA also purchased and leased new equipment to maintain track consistency. Mr. Rainey stated PRA's proposed race meeting had the same stakes schedule as its October 2016 race meeting. First post time was scheduled for 12:45 p.m. Thursdays through Sundays, except during the Breeders' Cup and Thanksgiving. Dan Cirimele, PRA, said PRA reviewed past successful marketing strategies, and found local marketing initiatives and social media were successful. Using previous marketing strategies, PRA spoke with local shop owners and gave them race meet tickets and advertisements for their customers. PRA posted historic moments at GGF on social media websites such as its Facebook webpage. PRA recently posted a video of John Henry winning the Golden Gate Handicap and it received over 45,000 views through Facebook. The video was one of the most interactive online posts PRA initiated. PRA continued to search its library for historical pictures and videos. Once the historical images were formatted properly, they would be posted online. Mr. Cirimele stated dollar day was the biggest promotion for the upcoming race meeting. During the PRA 2017 winter-spring meeting, PRA had a 13 percent growth in attendance on dollar days compared to its 2016 winter-spring race meet. Second Vice-Chairman Auerbach said the PRA application included the only turf racing available in California for a two week period. She asked for clarification on the vanning subsidies PRA planned to provide. Nate Newby, PRA, said PRA offered free shipping by specific haulers for horses from Southern California racetracks. Commissioner Ruano asked if PRA tracked the number of customers that resulted from PRA's marketing work with local businesses. Mr. Cirimele stated a lot of customers arrived on foot from Gilman Street, but he did not have the exact data. Commissioner Choper asked why the TOC takeout approval was delayed for the Super Hi-

5 and why the race meet application was amended to not seek the takeout reduction request. Mr. Newby said PRA planned to introduce the Super Hi-5 at the same time as the 19-day Los Angeles Turf Club II (LATC II) race meet commencing September 27, 2017. The Super Hi-5 was delayed after deciding to wait until the longer PRA and LATC II race meets began in December 2017 to launch the new takeout reduction. Greg Avioli, TOC, stated TOC asked PRA and LATC II to provide data on where the wager was implemented around the country. The associations did not provide the data, and the Super Hi-5 was not approved by TOC. He said LATC II and PRA were not offering the Super Hi-5 wagering option at the proposed race meetings commencing September 27, 2017 and October 18, 2017 respectively. Commissioner Ruano **motioned** to approve the Pacific Racing Association application to conduct a horse racing meeting commencing October 18, 2017 through December 19, 2017. First Vice-Chairman Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Winner, Krikorian, Choper, Ruano. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER LLC (H), AT CAL EXPO, COMMENCING OCTOBER 1, 2017 THROUGH DECEMBER 24, 2017, INCLUSIVE.

Chris Schick, Watch and Wager LLC (WAW), requested approval of the application for license to conduct a horse racing meeting of WAW at Cal Expo, commencing October 1, 2017 through December 24, 2017. Chairman Winner asked if the outstanding items had been received. Executive Director Rick Baedeker said no, the naming of the track veterinarian remained outstanding. He stated finding a track veterinarian for nights and weekends was a challenge for WAW. He said WAW was working with Dr. Rick Arthur, CHRB Equine Medical Director, to

locate a candidate; therefore, staff recommended approving the race meet application on the condition that staff received the name of the veterinarian within a specified timeframe. First Vice-Chairman Krikorian asked when WAW's meet began. Executive Director Baedeker stated racing commenced October 28, 2017, and recommended the name be submitted prior to the issuance of the next Board agenda. Mr. Schick said WAW was recently notified that its track veterinarian received another job opportunity, so WAW was working with Dr. Arthur to find a replacement. He stated WAW added a \$20,000 overnight stakes race to its meet named the Alan Horowitz Memorial. He said while WAW's 2016 meet faced several challenges, including a lot of rain and coyotes on the infield, it was able to work through its challenges. The 2016 WAW meet saw an increase in field size, from 7.6 to 7.9, and handle increased 4.8 percent. He stated \$287,000 was invested in new horse incentives which began in 2016 and was ongoing for 2017. He said its Sire Stakes program allowed five other states to register stallions to its program beginning with the 2017 breeding season. He stated the program would result in a steady supply of horses coming to race with WAW in the future. Second Vice-Chairman Auerbach stated compared to the struggles WAW faced over the last few years, its accomplishments were incredible and worthy of praise. Ed Cummings, WAW, said much credit was given to the team at Cal Expo, they had a tough year with unprecedented rainfall but managed to race through it. Mr. Schick added Cal Expo had no racing fatalities for the second year in a row. Second Vice-Chairman Auerbach **motioned** to approve the Watch and Wager LLC race meet application commencing October 1, 2017 through December 24, 2017. First Vice-Chairman Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Winner, Krikorian, Choper, Ruano. Nay: None. Motion Carried. Chairman Winner **motioned** to amend the prior motion to include the stipulation that WAW provide CHRB staff with the name of a veterinarian by September 15,

2017, or the matter would be put over until the next meeting. Second Vice-Chairman Auerbach seconded the motion. By a unanimous vote **the prior motion was amended** and the WAW race meet application was approved.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE JOCKEY DEFINED CONTRIBUTION RETIREMENT PLAN PENSION TRUSTEES, TO AMEND THE PLAN TO ELIMINATE THE CAP ON LUMP SUM DISTRIBUTIONS.

Barry Broad, Jockey Defined Contribution Retirement Plan (Plan), stated the proposed amendment to the Plan would eliminate the cap on lump sum distributions. He said the Plan was developed with limited access per jockey requests. The plan invests funds collectively in a trust, and then the money is held for each jockey in individual accounts. Recently, a jockey named Diego Sanchez suffered a devastating injury on track and became permanently disabled. He had \$40,000 in his individual account. Mr. Sanchez's only option was to collect a \$250 annual pension because the plan did not allow jockeys to elect a lump sum. He said the plan trustees sought approval from the Board to allow jockeys to elect either a lump sum or a life pension from the Plan upon retirement or permanent disability. Commissioner Solis said he was in favor of the proposed amendment to the Plan. Jockeys never knew how long their riding careers would last. He stated he had a cousin who was fortunate that both Pacific Racing Association (PRA) and the California horse racing industry had insurance which helped him financially when he became paralyzed. Commissioner Solis said jockeys should be able to make their own decisions on how the funds were received. Commissioner Choper asked if there was an average amount jockeys received upon retirement. Mr. Broad stated the Plan began in 2009, so no jockey has received funding from a full 20-year career. He said the Plan received a small sum of money from wagers placed through Advance Deposit Wagering (ADW) providers. The total amount was divided by the number of starts for all

jockeys. This amount was added into each jockey's individual account for each start. Jockeys who raced the most received the highest contribution. The highest individual account balances were currently around \$300,000. Mr. Broad said jockeys who worked regularly for 20 years could expect a good pension at retirement. Mr. Broad stated there was currently a bill before the California Legislature that would legalize internet poker in California. The bill included a provision that would double the amount of funds going into jockey pensions and supplemental health care. Commissioner Choper said the information presented by Mr. Broad was encouraging. Mr. Broad stated California was the only jurisdiction with a retirement plan for jockeys. Commissioner Choper said the industry should make the general public more aware of the fact that California had a pension plan for jockeys. Mr. Broad said between the Plan, workers' compensation insurance and other work done by the California Legislature and the Board, California was the best state to race in within the United States. First Vice-Chairman Krikorian said the Plan's funds were generated on an annual basis and invested to benefit jockeys. He asked at what annual rate the Plan grew. Mr. Broad stated the trustees invested half the funds in fixed returns and half in equities, and generated around 7 percent annually. Commissioner Ruano said she wanted to know how jockeys would consent to the cash out option, what the procedures for the cash-out option would be. Mr. Broad said the jockeys had to apply to retire, and once deemed eligible, the jockeys would make an election to cash out or receive a pension. Chairman Winner asked what would happen if a jockey retired and then returned to racing. Mr. Broad stated legislation required jockeys to be automatically enrolled upon licensure, so the jockeys would either have a new individual account opened or their current account would begin receiving funds again. He said the trustees were not going to anticipate an issue where a jockey would retire, cash out the plan and immediately return to racing. He said most jockeys understood the Plan was for

their benefit, and the plan could not be borrowed from, except in the case of a hardship withdrawal. The hardship withdrawal required documentation proving a jockey owed a specific amount and could not pay. In Mr. Sanchez's case, the hardship withdrawal was not an option because he could not say he projected that he would need the \$40,000 to survive the next few years; the withdrawal option would require something like an eviction notice with an exact amount owed before the Plan would release any funds for a hardship withdrawal. Commissioner Ruano **motioned** to approve the proposed amendment to the Plan to eliminate the cap on lump sum distributions. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Winner, Krikorian, Choper, Ruano. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING A PROPOSED AMENDMENT TO CHRB RULE 1699, RIDING RULES, TO CONSIDER ESTABLISHING A MINIMUM SUSPENSION PENALTY FOR A JOCKEY RIDING CONTRARY TO RULE 1699 AND TO CONSIDER THE LENGTH OF THE MINIMUM SUSPENSION.

Executive Director Rick Baedeker said the proposed amendment of Rule 1699, Riding Rules, would change subsection (f) which currently required a greater penalty for a second infraction within any contiguous 60 day calendar period. The problem with subsection (f) was that the first penalty could be for something egregious resulting in a five day suspension. However, the second offense may not be egregious, yet the suspension would have to be greater than five days. Executive Director Baedeker said another issue with the proposed amendment to Rule 1699 was determining an appropriate minimum number of suspension days. Barry Broad, Jockey's Guild, stated everyone agreed that there should be a minimum number of suspension days for initial infractions. He said instituting a minimum number of suspension days would result in uniformity throughout California. He stated the parties agreed on the basic issues. In regards to the number

of days, the proposed amendment to Rule 1699 provided a three day minimum. The Jockey's Guild supported the following: a minimum of two days for the first offense; a minimum of three days for the second offense within a 60-day period; and a minimum of four days for a third offense within a 60-day period. He said because of fewer racings days and shorter fields, suspensions for minor infractions had become a major economic burden for jockeys. Chairman Winner stated the key word was "minimum" and the stewards had the discretion to impose more days. Mr. Broad said that was correct. He stated for race meets that had three-day or four-day racing schedules, a two-day suspension was reasonable. However, if the Stewards felt a two-day suspension was not sufficient, they could impose a greater penalty. Commissioner Choper said it was a complicated issue and he believed it should be put over and discussed at a committee meeting. Chairman Winner said himself and First Vice-Chairman Krikorian met with a number of jockeys and Darrel McHargue, CHRB Chief Steward, to discuss the issues. He stated putting over the proposal to amend Rule 1699 to a committee meeting would result in significant delays. Mr. McHargue said a meeting was held between stewards and a number of jockeys. The jockeys requested the minimum number of days be lowered from a three day suspension to a two day suspension. He stated the stewards asked the jockeys if they would rather ride under a strict board of stewards or a lenient board of stewards. All jockeys said they would rather ride under a strict board of stewards. He said it was mentioned earlier that stewards were not consistent in issuing suspensions in the north and the south. However, if a jockey violated the careless riding rule, he was given a three-day minimum suspension consistently throughout the state. He stated the only meets that were three days a week were at Los Alamitos. When stewards issued suspensions for careless riding, they were making it safer for horse and jockey. If the stewards were consistent in setting boundaries and issuing suspensions, jockeys learned those boundaries and rode accordingly. He

said a vote was taken at the stewards committee meeting - by an eight to four vote the stewards were of the opinion that three days worked well for the industry and should remain in place. Three of the four votes that voted for reducing the minimum to two days were stewards that worked at three-day meets. He stated as Chief Steward, he recommended the Board institute a three day minimum suspension. Chairman Winner stated he agreed a minimum number of days should be determined. He said there were a number of three-day meets, and if a three-day suspension was issued during a three-day meet, the jockey and jockeys agent would be out of work for that week. He said he believed the minimum number of days should be set at two days. Mr. McHargue stated he appreciated that, and when a minimum was set it would become the standard. Second Vice-Chairman Auerbach said everyone needed to consider the economic impact; there was a lot of investment by owners in horses and jockeys. She stated everyone wanted to keep horses and jockeys safe, but sometimes the punishment did not fit the crime. She said establishing a minimum of two days would keep horses and jockeys safe, and protect owners' interests. Barry Broad said in most professional sports there were penalties, and an athlete had to do something really bad to not be able to work. Jockeys made a reasonable living, but not compared to other professional sports. He stated many jockeys were barely getting by, and two or three day suspensions resulted in a big economic penalty. The difference between two or three days could be \$100 that went towards a jockeys rent. He stated if a jockey broke the rules, he needed to be punished, but the punishment needed to be fair and not arbitrary. The Guild believed a two day minimum was reasonable. Executive Director Baedeker said the stewards had a thankless job. He had never heard anyone say "this is a great board of stewards." He stated very few people looked at the decisions made by the stewards through a clear lens. He said people either lost a bet, won a bet, owned a horse or some other reason that clouded the way a person viewed a decision made by a

steward. He said the Board had taken action on Rule 1699 twice, to expand the language to clearly define the reasons for disqualification and what careless riding was, and also to stipulate that a second violation resulted in a greater penalty. He stated he believed the Board had made a lot of progress that had resulted in safer and cleaner riding in California. First Vice-Chairman Krikorian said he appreciated what Mr. McHargue had to say, because economics should not overrule safety; one wanted to deter people from doing anything that affected the safety of horse and jockey. He stated if the minimum number of days was reduced to two days, the effect should be closely monitored in the event there was an increase in suspensions. Chairman Winner said he agreed the stewards had a thankless job and the Board needed to thank them all for the work they did. He stated as in any sport, subjective decisions were made and sometimes those decisions were not correct, but those incorrect decisions did not affect a person's income. He said he agreed that economic reasons did not trump safety reasons; safety trumped everything. However, that did not mean other considerations such as an impact to a person's livelihood should not be taken into account. He stated that the number of days, whether it was two or three, was a minimum and the stewards had the discretion to impose a greater number of days. Chantal Sutherland, jockey, said as a jockey she wanted to be safe and felt safer in California. However, from a jockey's standpoint, she was barely making it – it was not cheap to live in California, and going to a three day, then a five day suspension would be hard. Second Vice-Chairman Auerbach **motioned** to amend Rule 1699 to establish a minimum of two days, and directed staff to initiate a 45-day public comment period. Chairman Winner **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Krikorian, Winner, Auerbach, Solis. Nay: None. Motion Carried.

REPORT FROM THE RACE DATES COMMITTEE.

Executive Director Rick Baedeker stated the Race Dates Committee held a meeting July 21, 2017. Los Alamitos Race Course (LARC) had an agreement with stakeholders that incorporated stabling in exchange for support for race days among other things. The LARC stabling agreement made it difficult to commit to any dates that contradicted the document even though the Board had not allocated the race dates. Stakeholders discussed various calendars for the Southern California racetracks, and had not reached an agreement on a specific calendar. Executive Director Baedeker said both Pacific Racing Association (PRA) and California Authority of Racing Fairs (CARF) submitted a proposal for 2018 Northern California race dates. The main conflict between the parties was whether PRA or CARF would run the September 2018-October 2018 race dates that were historically held in Stockton and were hosted by CARF at Pleasanton in 2017. CARF proposed a calendar in which it would run the former Stockton race meet dates at Pleasanton. PRA proposed a calendar wherein it would take the former Stockton race dates for itself. Executive Director Baedeker said the 2017 Sonoma County Fair (Sonoma) race meeting was held later in the year than its previous race meetings. The Race Dates Committee wanted to assess the 2017 Sonoma race meeting before making any decisions on future race dates. The Race Dates Committee would hold a meeting after Sonoma concluded and before the September 28, 2017 regular Board meeting.

REPORT FROM THE MEDICATION AND TRACK SAFETY COMMITTEE.

Second Vice-Chairman Auerbach stated the Medication, Safety and Welfare Committee meeting was held on August 23, 2017. She said Dr. Rick Arthur, CHRB Equine Medical Director, provided updates on several items. The first item was California's medication violations for fiscal year

2016-2017. Of the 40,000 samples tested, there were eight Class I, II, and III medication or drug violations, and 70 Class IV and V violations. The second item was the necropsy program. There were 206 fatalities in Fiscal Year 2016-2017 – one more than in Fiscal Year 2015-2016. The third item was the necropsy review pilot program. Most of the cases at the Del Mar Thoroughbred Club were injuries that were completely unexpected by the trainer; however, there were some cases in which the trainer knew the horse had a problem, but did not think it was serious enough to cause major injuries. The fourth item was the continuing education training modules. A module for veterinarians was being considered for development. It was also suggested that the continuing education modules should be provided in Spanish as well as English. Dr. Arthur then spoke about testing out-of-competition blood samples. The program tested for prohibited drugs, and also checked to ensure all treatments were prescribed by veterinarians, as required by regulation. Dr. Arthur expressed concern about the rise in use of clenbuterol at certain barns. He also outlined the out-of-competition testing program for Breeders' Cup. The Breeders' Cup was limited to its own out-of-competition testing program that would be complementary to CHRB's program. Dr. Arthur would work with the Breeders' Cup out-of-competition testing program and handle out-of-state and out-of-country sampling which would help relieve pressure on CHRB's program. Dr. Arthur spoke about several other testing programs, including NSAIDs during training; a research program related to liver enzymes; and a proposed pilot survey of glyphosate in horse urine. Second Vice-Chairman Auerbach said the Committee discussed the proposed amendment to Rule 1588, Horse Ineligible to Start in a Race, which would provide that a horse that received glucocorticosteroid/cortisone would be ineligible to race for seven days after the treatment. The proposed amendment would allow examining veterinarians the best opportunity to identify injuries during pre-race exams. Currently, the corticosteroid regulation that set thresholds for individual

corticosteroid drugs was effective; however, there was now a problem of stacking. Stacking involved administering multiple steroids in very limited doses intended to fall under the threshold level of a particular drug. Dr. Arthur said the seven day period would give horses in need of these medications adequate time to recover and be in sound condition when they were entered to race, rather than patched up with corticosteroids after entry. Second Vice-Chairman Auerbach said the committee recommended the Board approve the proposal to amend Rule 1588 and send it out for the 45-day public comment period. The final update was on the proposed addition of Rule 1846.1, Intra-Articular Corticosteroid Treatment Reporting. The proposed addition would require trainers to maintain a record of all intra-articular injections administered to horses within their care. The records would be made available for inspection by the examining veterinarian during the racing soundness exam or at other times as requested by the stewards, official veterinarian, or equine medical director. Dr. Arthur said the objective was to improve the racing soundness exam so that horses that should not be racing could be identified, which would result in fewer equine fatalities. Second Vice-Chairman Auerbach said at the request of Alan Balch, there would be further discussions with the horsemen to develop procedures for record keeping, and industry standards, without having to go through the rulemaking process. Chairman Winner stated the Board adjourned in memory of Brad McKinzie.

MEETING ADJOURNED AT 11:35 A.M.

1-18 Proceedings of the Regular Meeting of August 24, 2017

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

SEPTEMBER 28, 2017
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

SEPTEMBER 28, 2017
REGULAR BOARD MEETING

There is no board package material for Item 3

Item 4

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
ON THE NOMINATION OF MEMBERS TO THE
BOARD OF DIRECTORS OF THE
CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.

Regular Board Meeting
September 28, 2017

BACKGROUND

Business and Professions Code Section 19440 provides that the Board shall have all powers necessary and proper for it to carry out the purposes of the Horse Racing Law. Business and Professions code section 19641 state that any redistributable money in a pari-mutuel pool subject to payment to a claimant pursuant to section 19598, but not successfully claimed within that period, shall be paid as specified and an estimated payment equal to 20 percent of the preceding year's unclaimed redistributable money shall be distributed to the welfare fund as specified.

Board Rule 2049, Designation and Approval of Horsemen's Welfare Fund, sets forth the requirements for the establishment and designation of a charitable corporation to administer the horsemen's welfare fund for the benefit of horsemen. Rule 2049 requires that the charitable corporation have a minimum of five, and a maximum of nine directors who are subject to Board approval. At least 40 percent of the directors must have no financial interest in horse racing as a licensed horse owner, trainer or assistant trainer. Additionally, they may not be current members of the horsemen's organization.

The California Thoroughbred Horsemen's Foundation (CTFH) is a self-administered charitable program dedicated to assisting financial needy backstretch workers and their primary dependents. The CTHF offers medical and dental care; prescriptions; eye testing and glasses; financial aid; social programs; free clothing and household items. The CTHF also provides services and support through the California Thoroughbred Trainers, the Winners Foundation and the Chaplaincy program. The CTHF is regulated by the CHRB and is funded principally through the receipt of unclaimed winning pari-mutuel ticket revenues. Additionally, donations and support are received form thoroughbred tracks the Thoroughbred Owners of California and individual donors.

ANALYSIS

The CTHF has nominated five individuals for open board member positions. The five nominations will bring the CTHF board to a total of nine members, which is the maximum under the provision of Rule 2049. In accordance with Rule 2049(a) the nominations have been submitted to the CHRB for approval.

The following individuals were nominated for a two-year term, which will expire on June 30, 2019:

- Rick Gold, (new board member).
- Ken Smole, (serving another term).
- Josh Rubinstein, (serving another term).
- Gerry Piccioni, (serving another term).
- Damascus Castellanos, (serving another term)

RECOMMENDATION

The item is presented for discussion and action.

Staff recommends the Board hear from the CTHF representative.



July 26, 2017

KEN SMOLE
President

VICTOR LEVINE, M.D.
Vice President

DAVID NAFTZGER
Secretary

Board of Directors
ANGIE CARMONA
DAMASCUS CASTELLANOS
VICTOR LEVINE, M.D.
JOE MORRIS
DAVID NAFTZGER
JERRY PICCIONI
CALVIN RAINEY
JOSH RUBINSTEIN
KEN SMOLE

In Memoriam
NOBLE THREEWITT

Director Emeritus
LEONARD DORFMAN

CLIFF GOODRICH
Executive Director

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, California 95825

Dear Rick:

During the June board of directors meeting of the California Thoroughbred Horsemen's Foundation, Inc. ("CTHF") nominations were held for open board member positions. These nominations were subsequent to the CTHF reaching out to our stakeholder partners for any suggestions on nominees. The following individuals were nominated for a two-year term, expiring June 30, 2019:

Rick Gold. New board member. Rick is a retired Silicon Valley entrepreneur, executive and investor. He co-founded four high-tech companies and most recently served as the CEO of CalAmp Corp., an Irvine-based supplier of wireless communications products. He has extensive experience with board governance, having served on the boards of 20 organizations in the public, private and non-profit sectors. He has an Undergraduate degree and a PhD in electrical engineering from Stanford University.

Rick has been a racehorse owner since 2004. He and his wife Marcia now live in Del Mar where they are frequent visitors to the backstretch to watch their horses train. He has been inspired by the dedication and skill of the backstretch workers he has met and appreciates the opportunity to work with the CTHF to help improve their lives.

Ken Smole. Serving another term. Licensed Thoroughbred Owner. Worked in the medical malpractice Industry for 35 years.

Mr. Rick Baedeker
CTHF Board Nominees
Page 2

Josh Rubinstein. Serving another term. Executive Vice President and Chief Operating Officer for the Del Mar Thoroughbred Club.

Gerry Piccioni. Serving another term. Licensed Thoroughbred Trainer. Began in the Thoroughbred Industry in 1980 and he has been a Trainer since 1983.

Damascus Castellanos. Serving another term. After replacing Mr. Robert Lennox in mid-term, Damascus is the Business Representative for Teamsters' Local 495, representing a host of racetrack workers. He is the required labor representative on our board.

Pursuant to Rule 2049 (a) of the California Horse Racing Board Rules and Regulations, the nominations are submitted to the CHRB for approval. These nominations bring the board to a total of nine members – the maximum allow by Rule.

Please let me know if there is anything we can do to assist in the process.

Best regards,



Cliff Goodrich
Executive Director

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING AN UPDATE FROM THE PACIFIC COAST QUARTER HORSE RACING
ASSOCIATION (PCQHRA) ON THE 13TH ANNUAL LOS ALAMITOS EQUINE
QUARTER HORSE YEARLING AND MIXED STOCK SALE, AND ITS REQUEST FOR
AUTHORIZATION FOR THE SALE, PURSUANT TO
CHRB RULE 1807, AUTHORIZED HORSE SALES

Regular Board Meeting
September 28, 2017

ISSUE

The Pacific Coast Quarter Horse Racing Association (PCQHRA) has presented its request for the 13th Annual Los Alamitos Equine Quarter Horse Yearling and Mixed Stock Sale, and has requested the Board's authorization for the sale.

- The 13th Annual Los Alamitos Equine Quarter Horse Yearling and Mixed Stock Sale is scheduled for Saturday and Sunday, October 7 and 8, 2017 at the Los Alamitos Race Course. The owners of the sale are: Dr. Ed Allred, John Andreini, Dr. Steve Burns and Dawn and Rhonda List. The sale is managed by the PCQHRA.

BACKGROUND

CHRB Rule 1807, Authorized Horse Sales, provides that the Board may authorize a horse sale or horse auction sale for the sale of race horse or breeding stock that is used in the production of race horses, to be held on the premises of a racing association, and the authorization and approval of such horse sale or horse auction sale shall be upon such conditions as may be imposed by the Board.

RECOMMENDATION

This item is presented for Board discussion and action. PCQHRA representatives are prepared to update and answer any questions the Board may have regarding the sale.



Pacific Coast Quarter Horse Racing Association

Rick Baedeker
 CHRB
 Executive Director
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

September 7, 2017

Dear Rick,

I am requesting approval of the California Horse Racing Board: Rule 1807; Authorized Horse Sales.

The 13th Annual Los Alamitos Equine Quarter Horse Yearling and Mixed Stock Sale

Location: Los Alamitos Race Course

Sale Dates: October 7 & 8, 2017

The owners of the sale company are: Dr. Ed Allred, John Andreini, Dr. Steve Burns and Dawn and Rhonda List. The sale is managed by the Pacific Coast Quarter Horse Racing Association.

If you have any questions please feel free to contact me.

Sincerely,

Dominic Alessio
 PCQHRA, President

2017 SEP 11 PM 1:24

RECEIVED
 CHRB



LOS ALAMITOS

Equine Sale



September 7, 2017

Rick Baedeker
CHRB Executive Director
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Rick,

On the weekend of October 7th & 8th, Pacific Coast Quarter Horse Racing Association and the Los Alamitos Equine Sale will be hosting the PCQHRA Breeders Derby, the PCQHRA Breeders Futurity and the 13th annual Los Alamitos Equine Sale. Below is the schedule and we would consider it an honor to have you and your guests attend the entire weekend or any of the events as our guest.

SCHEDULE OF EVENTS

- **Friday, October 6th**
 - Beginning at 10:00 a.m. Viewing of Sale Horses at Los Alamitos Sale Pavilion
 - Evening the running of the \$175,000 est. PCQHRA Breeders Derby
- **Saturday, October 7th**
 - Los Alamitos Equine Sale – Yearlings, Race Age and Mares begins at 10:00 a.m.
 - Evening the running of the \$400,000 est. PCQHRA Breeders Futurity
- **Sunday, October 8th**
 - Los Alamitos Equine Sale – Yearlings, Race Age and Mares begins at 10:00 a.m.

We look forward to you attending our 13th annual Equine Sale, to ensure proper arrangements are made for you and your guests please contact Marlene Sanchez at the PCQHRA office. (714) 236-1755.

Sincerely,



Dominic "Bud" Alessio
PCQHRA President

STAFF ANALYSIS
September 28, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR NOVEMBER 1, 2017 THROUGH NOVEMBER 28, 2017

Del Mar Thoroughbred Club filed its application to conduct a thoroughbred horse racing meeting at Del Mar November 1, 2017 through November 28, 2017 or 16 days (includes Breeders' Cup); one day more than 2016. Live racing will commence November 1, 2017 through November 26, 2017. Del Mar proposes to race a total of 121 races, or 8.6 races per day. In 2016, Del Mar ran 8.6 races per day with an average of 8.49 runners per race. The average daily purse for the 2016 race meeting was \$465,123. The (estimated) average daily purse for this meet is \$469,594.

November - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

- Racing concurrently with the dates allocated to the Pacific Racing Association.
- Racing Wednesday through Sunday the first week; Friday through Sunday the second week; Thursday through Sunday the remainder of the race meet. 9 races Wednesday, November 1, 2017; 8 races Thursdays and Fridays with the exception of Friday, November 3, 2017 when 10 races will be run, and Friday, November 24, 2017 when 9 races will be run; 9 races Saturdays and Sundays with the exception of Saturday, November 4, 2017 when 12 races will be run. If the horse population permits, Del Mar may run additional races.
- First post 12:30 p.m. each day, with the following exceptions:
 - Friday, November 3, 2017 Breeders' Cup – 11:25 a.m. (subject to change)
 - Saturday, November 4, 2017 Breeders' Cup – 10:10 a.m. (subject to change)
 - Thursday, November 23, 2017 Thanksgiving Day – 11:00 a.m.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering 9:00 a.m. to 10:00 a.m.
 - Advanced wagering available Friday, November 3rd for Saturday, November 4, 2017.
 - \$.50 Pick 4 will be offered on the last four races daily.
 - \$2 Daily Double will be offered on all daily races – with the exception of the last race. Daily Double will offer a 20% takeout.
 - \$.50 Pick 5 wager will begin with the first race each day and offer a 14% takeout.
 - \$2 Pick 6 will be offered using ARCI Rule (ARCI-004-105(G)(2)(i): ARCI 004-105(G)(16)(b)(i)) payout distribution; with 70% to the major or first share pool – tickets picking 6-of-6 winners. 15% to the minor pool – tickets picking 5-of-6 winners. 15% to the unique pool – single unique ticket picking 6-of-6 winners.

- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet, TwinSpires, and NYRAbets.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604:

- XpressBet, LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba TwinSpires.
- ODS Technologies, L.P. dba TVG Network
- NYRAbets.com, LLC

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires and in 2016 with NYRAbets.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Dianne Piper be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

RECOMMENDATION:

Staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Del Mar Thoroughbred Club
P.O. Box 700, Del Mar, CA 92014
Phone: 858-755-1141
Fax: 858-794-1007
Josh Rubinstein: josh@dmtc.com

B. Breed of horse: TB QH H

C. Racetrack name: **Del Mar Thoroughbred Club (DMTC)**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

On File

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **November 1 through November 28, 2017**

B. Actual dates racing will be held: **November 1ST (Wed – Opening Day) through November 26TH (Sun – Closing Day)**

- **Racing Everyday except Mondays, Tuesdays, Wednesdays (11/8–15-22) and Thursday 11/9.**
- **Dark 11/6-7-8-9, 11/13-14-15, 11/20-21-22.**
- **November 3rd and November 4th Breeders' Cup**

CHRB CERTIFICATION

Application received: 8/1/17
Deposit received: on file
Reviewed: *[signature]*

Hearing date: 9/28/17
Approved date:
License number:

C. Total number of days or nights of racing: **16 days** (Including November 3rd - 4th Breeders' Cup)
14 Day DMTC Fall Meet / 2 Day Breeders' Cup Championship Races

D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sunday, (exceptions-racing Opening Day, Wednesday 11/1 and Dark Day Thursday, 11/9.

E. Number of days or nights of racing per week:
4 days per week, except week 1 with 5 days of racing and week 2 with 3 days of racing

3. RACING PROGRAM

A. Total number of races: **121** (Does not include races on November 3rd and November 4th)

B. Number of races for each day or night:

DAY	DATES	NUMBER OF RACES
THURS- 8 Races	11/2, 11/16	16
*FRI – 8 Races	11/10, 11/17	16
**SAT - 9 Races	11/11, 11/18, 11/25	27
SUN - 9 Races	11/5, 11/12, 11/19	27
THURS-Thanksgiving Day	11/23	8
*FRI	11/24	9
WED –Opening Day	11/1	9
SUN-CLOSING DAY	11/26	9
**FRI – BREEDERS' CUP	11/3	**10
**SAT – BREEDERS' CUP	11/4	**12
TOTAL		121

*DMTC reserves the right to change number of races based on horse availability

**Not included in Total Number of Races

C. Total number of stakes races: **15** (12 stakes and 3 overnight stakes) (Plus Breeders' Cup 11/3&4)

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
EXHIBIT 1

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **EXHIBIT 1 (A)**

EXHIBIT 1 - 2017 BING CROSBY STAKES (EXCLUDING BC CHAMPIONSHIP RACES)

RUN DATE	RACE	AGE	DISTANCE	2016 VALUE	2017 VALUE
1-Nov	Let it Ride Stakes (O/S)	3 YO	1 mile Turf	\$75,000	\$75,000
2-Nov	Lure Stakes (O/S)	3 & up	1 mile Turf		\$75,000
3-Nov	Damascus Stakes	3 & up	7 furlongs		\$200,000
3-Nov	Golden State Juvenile Fillies Stakes	F, 2 YO (CA)	7 furlongs		\$175,000
3-Nov	Senator Ken Maddy Stakes- Grade III	F/M 3 & up	5 furlongs Turf		\$200,000
3-Nov	The Marathon Stakes- Grade II	3 & up	1 3/4 miles		\$200,000
4-Nov	Goldikova Stakes	F/M 3 & up	1 mile Turf		\$200,000
4-Nov	Golden State Juvenile Stakes	2 YO (CA)	7 furlongs		\$175,000
4-Nov	QREC Juvenile Turf Sprint	2 YO	5 furlongs Turf		\$200,000
5-Nov	Fast Parade Stakes (O/S)	3 & up	5 furlongs Turf	\$75,000	\$75,000
11-Nov	Bob Hope Stakes- Grade III	2 YO	7 furlongs	\$100,000	\$100,000
12-Nov	Betty Grable Stakes	F/M 3 & up (CA)	7 furlongs	\$100,000	\$100,000
18-Nov	Desi Arnaz Stakes	F, 2YO	7 furlongs		\$100,000
19-Nov	Cary Grant Stakes	3 & up (CA)	7 furlongs	\$100,000	\$100,000
23-Nov	Red Carpet Stakes- Grade III	F/M 3 & up	1 3/8 miles Turf	\$100,000	\$100,000
24-Nov	Hollywood Turf Cup - Grade II	3 & up	1 1/2 miles Turf	\$200,000	\$200,000
25-Nov	Jimmy Durante Stakes- Grade III	F, 2 YO	1 mile Turf	\$100,000	\$100,000
25-Nov	Hollywood Derby- Grade I	3 YO	1 1/8 miles Turf	\$300,000	\$300,000
25-Nov	Native Diver Stakes- Grade III	3 & up	1 1/8 miles	\$100,000	\$100,000
26-Nov	Cecil B. DeMille Stakes - Grade III	2 YO	1 mile Turf	\$100,000	\$100,000
26-Nov	Seabiscuit Handicap- Grade II	3 & up	1 1/16 miles Turf	\$200,000	\$200,000
26-Nov	Matriarch Stakes- Grade I	F/M 3 & up	1 mile Turf	\$300,000	\$300,000

EXHIBIT 1A - STAKES RACES PAST TWO RACE MEETS

RACE	AGE	2015 PURSE	2016 PURSE	2017 PURSE	DISTANCE
Let it Ride Stakes (o/s)	3 YO	\$75,000	\$75,000	\$75,000	1 mile Turf
Lure Stakes (o/s)	3 & up			\$75,000	1 mile Turf
Damascus Stakes	3 & up			\$200,000	7 furlongs
Golden State Juvenile Fillies Stakes	F, 2 YO (CA-Bred)	\$200,000		\$200,000	7 furlongs
Senator Ken Maddy Stakes- Grade III	F/M 3 & up			\$200,000	5 furlongs Turf
The Marathon Stakes- Grade II	3 & up			\$200,000	1 3/4 miles
Goldikova Stakes	F/M 3 & up	\$200,000		\$200,000	1 mile Turf
Golden State Juvenile Stakes	2 YO (CA-Bred)	\$200,000		\$200,000	7 furlongs
QREC Juvenile Turf Sprint	2 YO			\$200,000	5 furlongs Turf
Fast Parade Stakes (o/s)	3 & up			\$75,000	5 furlongs Turf
Bob Hope Stakes- Grade III	2 YO	\$100,000	\$100,000	\$100,000	7 furlongs
Betty Grable Stakes	F/M 3 & up (CA-Bred)	\$100,000	\$100,000	\$100,000	7 furlongs
Desi Arnaz Stakes	F, 2YO	\$100,000		\$100,000	7 furlongs
Cary Grant Stakes	3 & up (CA-Bred)	\$100,000	\$100,000	\$100,000	7 furlongs
Red Carpet Stakes- Grade III	F/M 3 & up	\$100,000	\$100,000	\$100,000	1 3/8 miles Turf
Hollywood Turf Cup - Grade II	3 & up	\$250,000	\$200,000	\$200,000	1 1/2 miles Turf
Jimmy Durante Stakes- Grade III	F, 2 YO	\$100,000	\$100,000	\$100,000	1 mile Turf
Hollywood Derby- Grade I	3 YO	\$300,000	\$300,000	\$300,000	1 1/8 miles Turf
Native Diver Stakes- Grade III	3 & up	\$150,000	\$100,000	\$100,000	1 1/8 miles
Cecil B. DeMille Stakes - Grade III	2 YO	\$100,000	\$100,000	\$100,000	1 mile Turf
Seabiscuit Handicap- Grade II	3 & up	\$250,000	\$200,000	\$200,000	1 1/16 miles Turf
Matriarch Stakes- Grade I	F/M 3 & up	\$300,000	\$300,000	\$300,000	1 mile Turf
Kathryn Crosby Stakes (o/s)	F/M 3 & up	\$75,000	\$75,000		1 1/16 miles Turf
Bayakoa Handicap	F/M 3 & up		\$200,000		1 1/16 miles

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **The Desi Arnaz Stakes will return to Del Mar (last run in 2015). Added an overnight stakes, Fast Parade Stakes, five furlongs on the turf. On November 3 and 4, the Breeders' Cup Championship races will be run for the first time at Del Mar.**

3. Identify the stakes races listed under D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **The Bayakoa Stakes was run in the 2016 fall meet to assist a shortened Los Alamitos December meet and will not be run in 2017. The Kathryn Crosby Stakes will not be run in 2017 as it conflicts with the Goldikova Stakes.**

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program: **Exhibit 2**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

Full Disclosure Statement already on file with CHRB

C. CORPORATION

1. Registered name of the corporation: **Del Mar Thoroughbred Club**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **559096**

POST TIMES FOR PROPOSED DAILY RACING PROGRAM

**2017 – FALL MEET
NOVEMBER 1ST TO NOVEMBER 26TH**

RACE	ALL DAYS (EXCEPT 11/23/17)	THURS. 11/23/17	FRIDAY NOV. 3RD **	SATURDAY NOV. 4TH **
1 st	12:30 p.m.	11:00 a.m.	11:25 a.m.	10:10 a.m.
2 nd	1:00 p.m.	11:30 a.m.	12:00 p.m.	10:45 a.m.
3 rd	1:30 p.m.	12:00 p.m.	12:35 p.m.	11:20 a.m.
4 th	2:00 p.m.	12:30 p.m.	1:10 p.m.	12:00 p.m.
5 th	2:30 p.m.	1:00 p.m.	1:45 p.m.	12:37 p.m.
6 th	3:00 p.m.	1:30 p.m.	2:25 p.m.	1:14 p.m.
7 th	3:30 p.m.	2:00 p.m.	3:05 p.m.	2:00 p.m.
8 th	4:00 p.m.	2:30 p.m.	3:50 p.m.	2:37 p.m.
9 th	4:30 p.m.		4:35 p.m.	3:19 p.m.
10 th *			5:17 p.m.	3:58 p.m.
11 th *				4:37 p.m.
12 th *				5:35 p.m.

* On certain days to be determined.

** Note: Post times on Friday, November 3rd and Saturday, November 4th are tentative and subject to change. Breeders' Cup will release final post times closer to race day.

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

David H. Batchelder	Chairman of the Board	1 Share
Joseph W. Harper	Director, President, General Manager & CEO	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Jon S. Kelly	Director	1 "
Warner Lusardi	Director	1 "
Marie G. Moretti	Director	1 "
Martin J. Wygod	Director	1 "
Michael R. Ernst	Executive VP, Finance and Admin./CFO	0
Thomas S. Robbins	Executive VP, Racing & Industry Relations	0
Craig A. Dado	Executive VP, Chief Marketing Officer	0
Josh Rubinstein	Executive VP, Chief Operating Officer	0
Lynn Wright	VP and Controller	0
Robert Sanchez	VP, Plant	0
Tim Read	VP, Operations and Collective Bargaining	0
Ann Hall	VP, Human Resources / Administration	0

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **None**

6. Number of outstanding shares in the corporation:

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation: **NA**

11. State where incorporated: **NA**

12. Registry or file number for the corporation: **NA**

13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **NA**

14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **NA**

15. Number of outstanding shares in the corporation: **NA**

16. Are the shares listed for public trading? **NA** Yes No

If yes, on what exchange and how is the stock listed: **NA**

17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **NA**

D. LLC

1. Registered name of the LLC:

2. State where articles of organization are filed:

6-11

3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file.**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Michael R. Ernst	Executive VP, Finance and Administration/CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Executive VP, Chief Marketing Officer
Josh Rubinstein	Executive VP, Chief Operating Officer
Timothy A. Read	Vice President, Operations & Collective Bargaining
Ann Hall	Vice President, Human Resources & Administration
David Jerkens	Racing Secretary
Mac McBride	Director of Media
Daniel G. Smith	Senior Media Supervisor
Lynn Wright	Vice President, Controller
Cathy Arneel	Director of Group Sales
Paul Porter	Director of Simulcasting and Racetrack Services
Walker McBride	Director of Sponsorship and Digital Media
William D. Navarro	Director of Parimutuels
Nancy Bonforte	Director, Turf Club
Robert Sanchez	Vice President, Plant
Kim Jacobson	Director of Risk Management
Trevor Denman	Public Address Announcer
Dennis Moore	Director of Track Maintenance
Leif Dickinson	Turf Course / Grounds Superintendent
Chris Bahr	Director of Events and Promotions
Greg Baugh	Customer Service Manager

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Joseph W. Harper – President, General Manager & Chief Executive Officer
PO Box 700, Del Mar, CA 92014 / joeh@dmte.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **.50¢PNP / Players Pick Five Wager – 14%**
 Proposed percentage: **\$2 Rolling Daily Double – 20%**

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **Exhibit 3**



EXHIBIT 3

July 29, 2017

OFFICERS

NICK ALEXANDER
CHAIR

BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
TREASURER

JACK OWENS
VICE CHAIR, NORTHERN CA.

TERRY LOVINGIER
SECRETARY

MIKE PEGRAM
AT LARGE

MIKE HARRINGTON
AT LARGE

ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2017 Fall Race Meet

Dear Ms. Wagner,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" wager on the first five (5) races of the day, subject to a 14% takeout, on each racing day of its 2017 Fall meet, November 1-26, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar's previous meet.

Please feel free to contact me with any questions.

DIRECTORS

ALLEN ALDRICH

BOB BAFFERT

JOE CIAGLIA

MARK DEDOMENICO

RON ELLIS

GARY FENTON

SAMANTHA SIEGEL

KATHY WALSH

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO

MARY FORNEY
EXECUTIVE DIRECTOR

LIZ MOREY
NORTHERN CALIFORNIA LIAISON

ELSA PERON
ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

ED FRIENDLY (1996-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,
2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

Sincerely,

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



EXHIBIT 3

July 29, 2017

OFFICERS

- NICK ALEXANDER
CHAIR
- BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
TREASURER
- JACK OWENS
VICE CHAIR, NORTHERN CA.
- TERRY LOVINGIER
SECRETARY
- MIKE PEGRAM
AT LARGE
- MIKE HARRINGTON
AT LARGE
- ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2017 Fall Race Meet

Dear Ms. Wagner,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its 2017 Fall meet, November 1-26, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar's previous meet.

Please feel free to contact me with any questions.

DIRECTORS

- ALLEN ALDRICH
- BOB BAFFERT
- JOE CIAGLIA
- MARK DEDOMENICO
- RON ELLIS
- GARY FENTON
- SAMANTHA SIEGEL
- KATHY WALSH

Sincerely,

Greg Avioli
President & CEO

EXECUTIVE STAFF

- GREG AVIOLI
PRESIDENT & CEO
- MARY FORNEY
EXECUTIVE DIRECTOR
- LIZ MOREY
NORTHERN CALIFORNIA LIAISON
- ELSA PERON
ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

- ED FRIENDLY (1996-97)
- ROBERT B. LEWIS (1997-2001)
- GARY BURKE (2001)
- JACK B. OWENS (2001-03, 04-05, 2011)
- RON CHARLES (2003-04)
- ALAN LANDSBURG (2005-07)
- MARSHA NAIFY (2007-10)
- ARNOLD ZETCHER (2010-11)

cc: Josh Rubenstein
Lucy Myers

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626- 821-1515
WWW.TOCOONLINE.COM

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. N/A

Year (Fall Meet)	On-Track Handle	Off-Track Handle	On-Track Attendance	Off-Track Attendance
2014 -15 day meet	16,059,156	138,855,274	135,829	107,409
2015 -20 day meet	15,722,446	178,883,506	123,608	140,286
2016 -15 day meet	10,736,675	141,801,230	87,384	95,694

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes: (Excludes Breeders' Cup)

Current meet estimate: 14 Race Days 4,211,096

Prior meet actual: 15 Race Days 4,614,327

Average Daily Purse (7 A1 ÷ number of days): (Excludes Breeders' Cup)

Current meet estimate: 300,793

Prior meet actual: 307,622

2. Overnight stakes:

Current meet estimate: 225,000

Prior meet actual 150,000

Average Daily Purse (7 A2 ÷ number of days): (Excludes Breeders' Cup)

Current meet estimate: 16,071

Prior meet actual: 10,000

3. Non-overnight stakes:

Current meet estimate: 1,500,000

Prior meet actual: 1,565,100

Average Daily Purse (7 A3 ÷ number of days): (Excludes Breeders' Cup)

Current meet estimate: 107,143

Prior meet actual: 104,340

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: 5,936,096
 Prior meet actual: 6,329,427

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: 11.59%
 Prior meet actual: 11.66%

Average Daily Purse (7 B1 ÷ number of days): (Excludes Breeders' Cup)
 Current meet estimate: 0.83%
 Prior meet actual: 0.78%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: 512,734
 Prior meet actual: 522,370

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

	Current meet estimate:	Prior meet actual:
TOC Administration	50,194	50,019
CTT Administration	25,097	25,010
CTT Backstretch Pension	50,194	50,019
Total	125,485	125,048

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: 6,574,316
 Prior meet actual: 6,976,845

Average Daily Purse (7 E ÷ number of days): (Excludes Breeders' Cup)
 Current meet estimate: 469,594
 Prior meet actual: 465,123

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :
 Current meet estimate: 3,591,159
 Prior meet actual: 3,729,408

Average Daily Purse (7 F ÷ number of days): (Excludes Breeders' Cup)
 Current meet estimate: 256,511
 Prior meet actual: 248,627

G. Purse funds to be generated from interstate handle:
 Current meet estimate: 2,471,208
 Prior meet actual: 2,508,667

Average Daily Purse (7 G ÷ number of days): (Excludes Breeders' Cup)
 Current meet estimate: **176,515**
 Prior meet actual: **167,244**

H. Bank and account number for the Paymaster of Purses' purse account:

On file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meet:

Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **700**
- B. Minimum number of stalls believed necessary for the meeting: **700**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **3,245**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

- **Santa Anita** **1,900**
- **Los Alamitos** **850**
- **San Luis Rey Downs** **495**

E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Agreement is on file with CHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **2,100 (Note: as of 2015 total number 2,000)**

	Type of Wager(s):	Applicable Rule(s):
Example Race:	\$5E, \$2DD	CHRB#1959; RCI #VE
Race #1	\$2Q, \$1E, \$1PK3, \$2DD ² , \$1 PPN, \$.50TRI, .10¢SF, .50¢P(n)P (Pick 5) ³	CHRB#1958, 1959, 1977, 1957, 1976.8, 1979, 1979.1, 1976.9
Race #2	\$2Q, \$1E, \$1PK3, \$.50TRI, .10¢SF, \$2DD ² , P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1976.9
Race #3	\$2Q, \$1E, \$1PK3, \$.50TRI, .10¢SF, *\$2PNP, \$2DD ² , Pick 6**	CHRB#1958, 1959, 1977, 1979, 1979.1, 1976.9, 1957, ARCI-004-105(G)(2)(i): ARCI 004-105(G)(16)(b)(i)**
Race #4	\$2Q, \$1E, \$1PK3, \$.50TRI, .10¢SF, \$2DD ² P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1976.9
Race #5	\$2Q, \$1E, \$1PK3, \$.50TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹ , \$2P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1978, 1976.9
Race #6	\$2Q, \$1E, *\$1PK3, .10¢SF, \$.50TRI, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1977, 1979.1, 1979, 1957, 1978
Race #7	\$2Q, \$1E, *\$1PK3, \$.50TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, 1977
Race #8	\$2Q, \$1E, \$.50TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹ , *\$1 SUPER HIGH FIVE	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, ARCI 004-105 Pick(n) Position(x) Pool(s)
Race #9*	\$2Q, \$1E, \$.50TRI, .10¢SF, \$2DD ²	CHRB#1958, 1959, 1979, 1979.1, 1957

¹ .50¢SPK4 offered in the last four races daily.

² \$2DD offered every race daily, except the last race offer 20% takeout.

³ .50¢PNP (Pick 5): This Players Pick Five wager will begin with the first race each day and offer a 14% takeout.

⁴ \$2PNP (Pick 6):** 70% to the major or first share pool (tickets picking 6-of-6 winners)

15% to the minor pool (tickets picking 5-of-6 winners)

15% to the unique pool (single unique ticket picking 6-of-6 winners)

* Days with 9 races, the types of wagers offered based on this schedule will be rolled forward or backward accordingly.

** Race number fluctuates as Pick 6 wager offered last six races each racing card.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **\$.50TRI offered in every race**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **None**
- D. List any options requested with regard to exotic wagering: **None**

- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

9:00 a.m. – 10 a.m. November 1st through November 26th every racing day *

*Advanced wagering will be available on Friday, November 3rd for Saturday, November 4th

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System

American Totalisator, Inc. (AmTote)

Primary system at ViaWest Data Center located in Portland Oregon

AmTote Representative:

Bob Sloan (443-798-0878) bob.sloan@amtote.com

Contract expiration date: October 28, 2020

Terminals

F3000e – Convertible teller and self-service unit; IP based, touch screen and keyboard operated.

V3000e – Self Service terminals, touch screen, bill accepting, ticket in/out.

AWSST – Account wagering self-service unit. Touch screen account based terminal.

- G. List below the takeout percentage for each type of wager identified in 10A:

TAKE OUT PERCENTAGE

15.43 %	W/P/S
20.00 %	DD
22.68 %	Q; E
14.00 %	PNP5
23.68 %	TRI; SF; PK3; PK4; PNP6; SuperH5; PPN

11. **ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following Advance Deposit Wagering (ADW) companies to conduct Internet and telephone wagering from California residents: TVG, Xpress-Bet, Twin Spires, NYRA Bets CA. In addition, several other ADW companies (complete list on file) have access to Del Mar's content for Internet wagering in states for which ADW is approved by law.

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **Exhibit 4 (to be provided)**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering, Inc. "SCOTWINC"
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
SCOTWINC Agreement is on file with CHRB.
- B. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 5
- C. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 6
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 7
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 8
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **Exhibit 9**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**OFFICERS**

NICK ALEXANDER
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
TREASURER
JACK OWENS
VICE CHAIR, NORTHERN CA.
TERRY LOVINGIER
SECRETARY
MIKE PEGRAM
AT LARGE
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
SAMANTHA SIEGEL
KATHY WALSH

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
ELSA PERON
ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

August 4, 2017

EXHIBIT 4

Mr. Josh Rubinstein
Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

RE: 2017 DMTC Fall Race Meeting ADW Approvals

Dear Josh,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Del Mar Thoroughbred Club (DMTC) race signals under the terms and conditions set forth below.

Pursuant to Section XXIX, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and DMTC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, DMTC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire, NYRA, and Watch and Wager to accept wagers on DMTC and other Thoroughbred races during the 2017 Fall race meeting, November 1, 2017 through November 28, 2017, as follows:

1. **California wagering on DMTC races:**
 - a. TVG, XpressBet, TwinSpire and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - b. Watch and Wager may deduct a Hub Fee of 4.5% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets
 - c. TVG, XpressBet, TwinSpire, NYRA and Watch and Wager may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - d. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, TwinSpire and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - b. Watch and Wager may deduct a Hub Fee of 4.5% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - c. TVG, XpressBet, TwinSpire, NYRA, and Watch and Wager may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - d. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on DMTC races:**
 - a. TVG, XpressBet, TwinSpire and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
 - b. Watch and Wager shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, NYRA, and Watch and Wager.

Very truly yours,

Greg Avioli, President & CEO

Satellites

- Alameda County Fair, Pleasanton
- Antelope Valley Fair, Lancaster
- Big Fresno Fair, Fresno
- Cabazon Fantasy Springs Casino, Indio
- California State Fair & Expo, Sacramento
- Fairplex Park @ Barretts, Pomona
- Fresno Club One, Fresno
- Golden Gate Fields, Albany
- Hollywood Park Casino, Inglewood
- Humboldt County Fair, Ferndale
- Los Alamitos Racecourse, Los Alamitos
- Monterey County Fair, Monterey
- National Orange Show, San Bernardino
- Pechanga Resort Casino, Temecula
- San Bernardino County Fair, Victorville
- San Joaquin County Fair, Stockton
- San Mateo Event Center, San Mateo
- Santa Anita Park, Arcadia
- Santa Clara County Fair, San Jose
- Solano County Fair, Vallejo
- Sonoma County Fair, Santa Rosa
- Sports Pavilion, The Farmers Fair, Lake Perris
- Ventura County Fair, Ventura
- Viejas Casino & Turf Club, Alpine

AmWest Entertainment, KY	Equus St. Thomas, St. Thomas
Arapahoe Park, Colorado	Emerald Downs, Washington
Arima Race Course, Trinidad	Euro Offtrack, Isle of Man
Arlington International Race Course, Illinois	Evangeline Downs, Louisiana
Assiniboia Downs, Canada	Evansville/Clarksville OTB, Indiana
Atlantic City Casino Assn., New Jersey	Fairgrounds ADW, Louisiana
Atlantis Resort and Casino, Bahamas	Fairgrounds Racecourse, Louisiana
Bangor Raceway, Maine	Fair Meadows, Texas
Barrie Raceway, Ontario	Favorites at Gloucester, New Jersey
Batavia Downs, New York	Finger Lakes Bets, NY
Belterra Race Course, OH	Finger Lakes Race Track, New York
Bet America, LLC, North Dakota	Flamboro Downs, Ontario
Bet Fair Games Limited, UK	Fonner Park, Nebraska
Bettor Racing OTB, South Dakota	Fort Erie Racetrack, Canada
Birmingham Race Course, Alabama	Foxwoods Casino, Connecticut
Bordertown OTB, Oklahoma	Fraser Downs, British Columbia
Buffalo Trotting Association, New York	Freehold Raceway, New Jersey
Bwin International, Ltd.	Gillespie County Downs, Texas
Caliente (MIR), Mexico	Grand River, Canada
Camarero, Puerto Rico	Greentrack, Alabama
Canterbury Park, Minnesota	GWS German Tote, Germany
Capital District OTB, New York	Gulf Greyhound, Texas
Catskill Regional OTB, New York	Gulfstream Park, Florida
Caymanas Race Track, Jamaica	Harrington Bets, Delaware
Century Downs, Alberta, Canada	Harrington Raceway, Delaware
Charles Town Races, West Virginia	Hastings Park, Canada
Chester Downs - Pennsylvania	Hawthorne Race Course, Illinois
Churchill Downs, Kentucky	Hazel Park, Michigan
Clinton Teletheatre, Canada	Hiawatha Downs, Ontario
Codere, Mexico	Hipica de Panama, Panama
Codere, Spain	Hipodromo Camarero, Puerto Rico
Columbus Raceway, Nebraska	Hipodromo Montericco, Peru
Connecticut OTB, Connecticut	Ho-Chuck Casino, Connecticut
Corpus Christi Greyhound, Texas	Hodge OTB, Connecticut
Coushatta Casino Resort, Louisiana	Hoosier Park, Indiana
Dayton Park, OH	Horsemen's Park, Nebraska
Divi Carina Bay Casino, Louisiana	Idaho Fall OTB, Idaho
Delaware Park, Delaware	Indiana Downs, Indiana
Delta Downs, Louisiana	Inverness Raceway, Canada
Dover Downs, Delaware	Iowa Greyhounds, Iowa
Downs at Albuquerque, New Mexico	Intermountain Racing, Idaho
Ebet Online, Oregon	John Martins Manor, Maine
Elite Turf Club, Curacao	Kawartha Downs, Ontario
Ellis Park, Kentucky	Keeneland, Kentucky
Elmira Raceway, Ontario	Kentucky Downs, Kentucky

Kentucky OTB, Kentucky	Pimlico Race Course, Maryland
Las Vegas Dissemination Company, Nevada	Plainridge Race Course, Massachusetts
Les Bois (Treasure Valley), Idaho	Plainridge Telephones, Massachusetts
Lewiston OTB, Maine	Player Management Group, CA
Lien Games, North Dakota	Pocono Downs, Pennsylvania
Lincoln Greyhound Park, Rhode Island	Pojoaque Casino, Oklahoma
Lone Star Race Park, Texas	Portland Meadows, Oregon
Louisiana Downs, Louisiana	Potawatomi Casino, Wisconsin
Magna Bet, Austria	Prairie Meadows, Iowa
Mahoning Valley Race Course, OH	Premier Gateway International, S.A.
Maronas Race Track, Uruguay	Premier Turf Club, North Dakota
Marquis Downs, Saskatchewan	Presque Isle Downs, Pennsylvania
Maryland Jockey Club, Maryland	Racing and Gaming Services, St Kitts
Maywood Park, Illinois	Remington Park, Oklahoma
Meskwaki Casino, Oklahoma	Retama Park, Texas
Miami Valley Gaming, Ohio	Rideau Carleton Raceway, Canada
Millennium Racing, Trinidad	Riders Up OTB, Oklahoma
Mobile Greyhound Park, Alabama	Rockingham Park, New Hampshire
Mohegan Sun, Pennsylvania	Rocky Mountain Turf Club, Canada
Monmouth Park, New Jersey	Rosecroft Raceway, Maryland
Montana OTB, Montana	Royal Beach Casino, St Kitts
Montericco Simulcasting, Peru	Ruidoso Downs, New Mexico
Monticello Raceway, NY	Running Aces Harness Park, Minnesota
Mountaineer Park, West Virginia	Sam Houston Park, Texas
Nassau Regional OTB, New York	Saratoga Harness, New York
Nebraska State Fair Park, Nebraska	Scioto Downs, Ohio
Nevada Dissemination Services, Nevada	Seabrook Greyhound Park, NH
Nevada Pari-Mutuel Association, Nevada	Shoreline Star Greyhounds, Connecticut
NJSEA, New Jersey	Skydancer Casino, Oklahoma
New Jersey Mobile, New Jersey	Sol Mutuel, St Kitts
NYRA, New York	Sol Mutuel Otrobanda, St Kitts
NYRA Bets, New York	Southland Greyhound Park, Arkansas
Newport Jai-Alai, Rhode Island	Sports Center, Kentucky
Northfield Park, Ohio	Suaposta, Brazil
Northlands Park, Canada	Suffolk District OTB, New York
Northville Downs, Michigan	Suffolk Downs, Massachusetts
Oaklawn Park, Arkansas	Sunland Park Racetrack, New Mexico
Ocean Downs, Maryland	Sun Ray Park, New Mexico
Offtrackbetting.com, Oregon	TabCorp, Australia
Oneida Bingo and Casino, Oklahoma	Tampa Bay Downs, Florida
Ontario Teletheatre, Ontario	TattsBet, Australia
Paragon Casino, Louisiana	Taunton Dog Track, New Hampshire
Penn National Race Course, Pennsylvania	TBC Teletheatre, British Columbia
Philadelphia Park, Pennsylvania	The Greenbrier Resort, West Virginia
Picov Downs, Canada	The Meadows, Pennsylvania

EXHIBIT 6

Thistledown, Ohio
Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
Valley Greyhound Park, Texas

Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico

AmWest Entertainment, KY	Fairgrounds ADW, Louisiana
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Arima Race Course, Trinidad	Fair Meadows, Texas
Arlington International Race Course, Illinois	Favorites at Gloucester, New Jersey
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Delaware Park, Delaware	Indiana Downs, Indiana
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Emerald Downs, Washington	Las Vegas Dissemination Company, Nevada
Euro Offtrack, Isle of Man	Les Bois (Treasure Valley), Idaho
Evangeline Downs, Louisiana	Lewiston OTB, Maine
Evansville/Clarksville OTB, Indiana	Lien Games, North Dakota

Lincoln Greyhound Park, Rhode Island	Portland Meadows, Oregon
Lone Star Race Park, Texas	Potawatomi Casino, Wisconsin
Louisiana Downs, Louisiana	Prairie Meadows, Iowa
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NYRA, New York	Sol Mutuel Otrobanda, St Kitts
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Philadelphia Park, Pennsylvania	The Meadows, Pennsylvania
Picov Downs, Canada	Thistledown, Ohio
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Plainridge Telephones, Massachusetts	Tri-State Greyhound, West Virginia
Player Management Group, CA	Truro Raceway, Canada
Pocono Downs, Pennsylvania	TVG, Arizona
Pojoaque Casino, Oklahoma	TVG Chester, Pennsylvania

TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York

Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico

EXHIBIT 8

Mini-Satellites

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 721-2100
Contact: Ralph Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Maria
Original Roadhouse Grill
1423 S. Bradley Rd.
Santa Maria, CA 93454
Phone: (805) 614-0586
Contact John Tintle

M4. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact: Tom Cristi

M5. Sammy's of Lake Forest
23221 Lake Center Drive
Lake Forest, CA 92630
Phone: (949) 716-2367
Contact: Brad McKinzie

M6. Tiled Kilt
1345 E. Thousand Oaks Blvd.
Thousand Oaks CA. 91362
Phone: (805) 777-7418
Contact: Robert Haupt

M7. Ocean's 11 Casino
121 Brooks Street
Oceanside, CA 92054
Phone: (888) 439-6988
Contact: Steve Gallagher

EXHIBIT 8

M8. Striders SD Bar & Grill
100 Harbor Drive
San Diego, CA 92101
PH: 619-363-7166
Contact: Tony Martineau

M9. Firehouse Restaurant
7701 White Avenue
Bakersfield, CA 93313
PH: 661-831-4688
Contact: Russ Johnson

M10. Lake Elsinore Casino
20930 Malaga Road
Lake Elsinore, CA 92530
PH: 951-674-5160
Contact: Pat Wilmes

M11. 58 Flat
4110 Bonita Road
Bonita, CA 91902
PH: 619-884-1291
Contact: Denny Kanakaris

Full & Partial Cards

Aqueduct: November 1, 2017 - November 26, 2017
Churchill Downs: November 1, 2017 - November 26, 2017
Delta Downs: November 1, 2017 - November 26, 2017
Gulfstream Park West: November 1, 2017 - November 26, 2017
Fairgrounds: November 18, 2017 - November 26, 2017
Finger Lakes: November 1, 2017 - November 26, 2017
Hawthorne Race Course: November 1, 2017 - November 26, 2017
Laurel Park: November 1, 2017 - November 26, 2017
Mountaineer Park: November 1, 2017 - November 26, 2017
PARX Racing: November 1, 2017 - November 26, 2017
Penn National: November 1, 2017 - November 26, 2017
Portland Meadows: November 1, 2017 - November 26, 2017
Turf Paradise: November 1, 2017 - November 26, 2017
Zia Park: November 1, 2017 - November 26, 2017

Woodbine: November 1, 2017 - November 26, 2017
LARC (South America) via Laurel Park November 1, 2017 - November 26, 2017
LARC (South America) via Gulfstream Park: November 1, 2017 - November 26, 2017

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED N/A

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014
- B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder 401 Beaver Valley Pike Willow Street, PA 17584	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Joseph W. Harper P.O. Box 700 Del Mar, CA 92014
Jon S. Kelly Tres Palomas P.O. Box 2574 Rancho Santa Fe, CA 92067	Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078
Marie G. Moretti 6705 De Chardin Lane Reno, NV 89511	Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s)	Dana Stead, VMD
Clerk of Scales	Charles McCaul
Assistant Clerk of Scales	Matt Nichols
Clerk of the Course	Dawn Schmid
Film Specialist	Heather Correa
Horse Identifier	Dianne Piper
Assistant Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Heather Correa Karen Denovel
Placing Judges	Robert Moreno Sue Brent Merry Young
Starter	Jay Slender
Timer	John Lies

B. Management officials in the racing department:

Vice President, Racing	Thomas S. Robbins
Racing Secretary	David Jerkens
Assistant Racing Secretary	Zach Soto
Paymaster of Purses	Bridget Crawford
Others (identify by name and title)	N/A

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Michelle Derieg
Weinstein Court Reporters
PO Box 26634
Santa Ana, CA 92799
(949) 637-6071
mderieg@weinsteincourtreporters.com

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, William O'Brien, Digital Photo Finish Only
Contract expires 12/31/2017

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

TVG

Expiration: 12/31/2017

Equipment list on file with CHRB

For each race TVG will provide:

- Two manned pan cameras located near the judge's room in the press box providing a wide and a wide and tight view of each race, turf and dirt.
- Four manned tower cameras:
 - Backstretch head-on (Training Track Camera Tower)
 - Far turn and Stretch run back view (Quarter Chute Camera Tower)
 - Stretch-run head-on (Livestock Barn Camera Tower) - turf
 - Stretch-run head-on (Livestock Barn Camera Tower) - dirt
- 2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners
- A camera in winner circle
- A 16 x 9 aspect ratio pan camera located on the 7th floor providing race coverage for the in house video board presentation
- TVG will provide talent for this event

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

TRAKUS

Electronic Timing Device: 2.4 CHz spread spectrum transceiver

Name of Person: Michael Ciacciarelli (Trakus LLC)

Ken Davis, Mike Schneider (Jockey Club Racetrack Services)

Expiration Date: July 2018

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Exhibit 10 (A) and (B)**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **50**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **The security for stakes races will be deployed in accordance with our agreement with TOC.**
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
Detention barn is used as required by the CHRB.
 - B. Number of security guards in the detention stall area during a 24-hour period.
As needed at the direction of the CHRB.

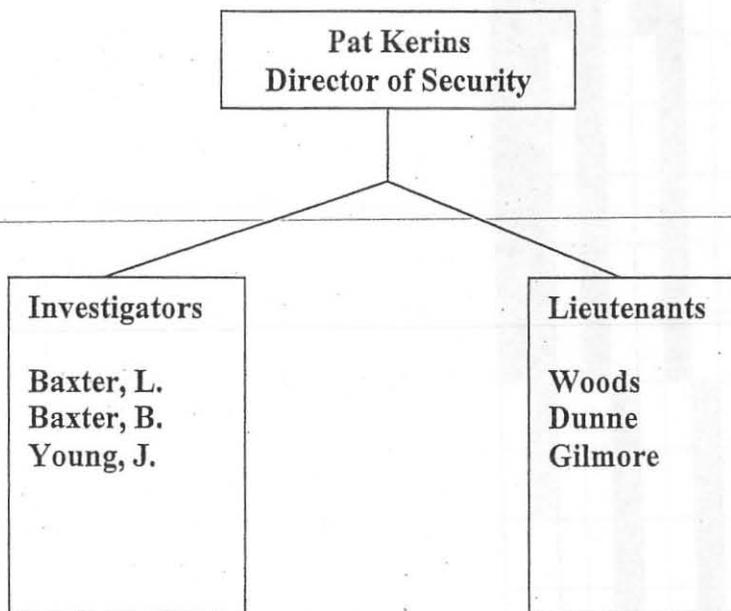
EXHIBIT 10A

Security Personnel

Person responsible for security controls on the premises:

Pat Kerins, Director of Security.

Security Department:*



Contact Information

Director of Security	Work 858-755-1141 ext. 3652
James Young	Work 858-755-1141 ext. 3698
All others	Work 858-755-1141 ext. 3650

*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

- C. Describe number and location of surveillance cameras in detention stall area.
For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shedrow from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' office on the Frontside.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

All

- B. Plan for enhanced surveillance for trainers with high-test results.

Will follow CHRB directives.

- C. Plan for detention stalls for repeat offenders.

Will follow CHRB directives.

- D. Number of security personnel assigned to the TCO2 program.

Assigned as needed

- C. Describe the electronic security system:

Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Del Mar Thoroughbred Club utilizes several pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.

- D. For night racing associations. Describe emergency lighting system: N/A

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response

8808 Balboa Avenue, Suite 150, San Diego, CA 92123

(858) 492-3514

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Exhibit 11 (Expires 7.31.17 – AMR will update when received by County of San Diego)

On-track staff: Advanced Life Support Ambulances (ALS) – A Paramedic and Bridge Program certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place.



COUNTY OF SAN DIEGO

EMERGENCY MEDICAL SERVICES

EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: AMERICAN MEDICAL RESPONSE

Effective Date: August 1, 2017

Expiration Date: July 31, 2018

Approved as: ALS
BLS
CCT

Authorized by:

Permit Officer, Emergency Medical Services

Permit Number: 17-119

EXHIBIT 11

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Auxiliary Site: Los Alamitos
Huntington Ambulance
Contact: Stacy O'Bryan
PO Box 145
Sunset Beach, CA 90742
(562) 904-1550**

**Auxiliary Site: San Luis Rey Downs
Mercy Ambulance
Contact: Doug Moriarty
2537 Old San Pascual Road
Escondido, CA 92027
(760) 525-9676**

**Auxiliary Site: Santa Anita
AmbuServe, Inc.
Contact: Wendal Smith
15105 S. Broadway Ave.
Gardena, CA 90248
(310) 644-0500**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Huntington Ambulance Certificate: On file**
- **Mercy Ambulance Certificate: On file**
- **AmbuServe, Inc. – On file**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the first aid clinic includes:

- Oxygen at each station
- Cardiac monitor
- AED
- Otoscope
- Ophthalmoscope
- Pulse and O₂ Oximeter
- Surgical equipment (used for laceration repair and suture removal)
- B/P Cuff
- Thermometer
- Bag Valve Mask
- Surgery Light
- Stethoscope
- Glucose Monitor and Supplies

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Scripps Coastal Medical Center - and rotate on site for the racing days. The doctors include:

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

May 3, 2017

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC, certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

MERCY

*Medical
Transportation
Inc.*

ADMINISTRTRION
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

May 8, 2017

Josh Rubinstein
Del Mar Thoroughbred Club

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. Moreover, all required Paramedic certifications and/or licenses are updated on an annual basis. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

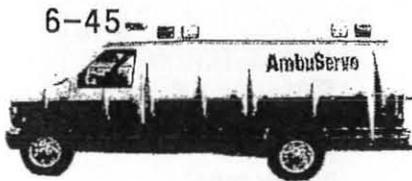
Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). More importantly, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty
Vice President of Operations



AmbuServe
Ambulance



SECTION 16 A-1

May 8, 2017

Josh Rubinstein
Del Mar Thoroughbred Club

RE: Ambulance Certification

To whom it may concern:

Please accept this letter as confirmation that AmbuServe, Inc. meets all the required certifications to operate as an approved paramedic (ALS) and Basic Life Support (BLS) provider in the State of California and within Los Angeles County.

All of AmbuServe, Ins. Paramedics and Emergency Medical Technicians have and maintain all the required certifications as mandated by the State of California Emergency Medical Services and the Los Angeles County Emergency Medical Services.

Thank you very much for your time.

Sincerely,

Scott Smith
Vice President
AmbuServe, Inc.

Ariel Alexander Cortes, MD
Athanasios J. Foster, MD
Melinda E. Nevins, DO
Philip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
N/A

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.
 - **Scripps La Jolla**
988 Genesee Ave., La Jolla, CA 92037 / 858-626-4123
 - **Scripps Encinitas**
354 Santa Fe Drive, Encinitas, CA 92024 / 760-753-4123

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Exhibit 12

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
 - **Health and Safety Manager (Risk Manager): Kimberly Jacobson**
 - **Assistant Manager (Risk Management Assistant): Danny Velasquez**
 - **On-Site Medical Coordinator-Fire Department**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
On File

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Berkshire Hathaway Homestate
Cypress Insurance Company
DEWC704924

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Exhibit 13

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing

Overview: The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

Program Elements:

I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Scripps Coastal Medical Clinics to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. At minimum each ambulance is staffed by a Paramedic and a certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

II. Jockey Information Health System

DMTC participates in the Jockey Information Health System through Encompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.
- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary they will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

6-48

- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
 - d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123
-

III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

IV. On-site First Aid Facilities and Staffing

- a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will a licensed physician and medical assistant on duty.
- b. Advanced Life Support Ambulances (ALS) – A Paramedic and Certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one, at a minimum (1) Paramedic and one (1) Certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times. (Depending on staffing for the day there may be times when two paramedics are on a rig).

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with a licensed Emergency Medical Services provider who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

General Conditions

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital emergency care; they will direct the medical transport team as to which hospital to respond to.)

Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Response During Training Periods

- During training periods, Paramedic and EMT will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist Rural/Metro with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet Rural/Metro unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program, will be treated on-track or at the DMTC medical clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.
- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed -circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- In the event of a multiple rider down situation Team 1 will call for immediate backup from Team 2 at which time Team 2 will enter the track to assist. If the situation is going to require additional response from outside Team 1 will request outside units through Security Dispatch.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.
- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,
- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

Please note: Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

IX. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

Visión General: El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

Elementos del Programa:

I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Scripps Coastal Medical Clinics" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado del Programa Bridge (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

II. Sistema de Salud para la Información del Jinete

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de Encompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fax directamente a la sala de emergencia del hospital.

III. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.

- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se trasladará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el accidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

IV. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

V. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo se proporcionará un médico licenciado y ayudante médico en servicio.

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá un (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado en el sitio. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento.
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

VI. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata a Ambulancias que proporciona ALS para responder ante las emergencias médicas que puedan surgir en la pista y proporcionará servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.
- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

VII. Plan Médico de Emergencia

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

Condiciones Generales

- Los Paramédicos y EMT en el sitio responderán a cualquier accidente que observen de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripp atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

Comunicaciones

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

Respuesta Durante los Periodos de Entrenamiento

- Durante los periodos de entrenamiento, el Paramédico y EMT determinarán la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica si fuera necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a "San Diego Medical Enterprises Services" a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.
- Las unidades municipales se reunirán en la unidad de "San Diego Medical Enterprises" en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista en el centro médico de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

Respuesta Durante la Pre-carrera (Caballeriza)

- El Equipo 2 de EMT estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el area de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite,
- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

Accidentes Durante la Carrera en Directo

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión según lo aconsejado por el Personal de Seguridad de DMTC,
- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o reemplazar a la unidad de la pista si fuera necesario por el Equipo 1 abandonar la pista por cualquier razón.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.
- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para atención médica exhaustiva y tratamiento según se necesite,

- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS se requiere que abandone los campos la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica hasta que regrese la unidad al terreno.
- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

Por favor tenga presente: Bajo ninguna circunstancia la información con respecto al estado médico del jinete se proporcionará a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

VIII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista se exhibirá en Inglés y Español en las siguientes localizaciones:

La Sala del Jinete está situada en la primera planta de las Gradas del Clubhouse

- a. Las instalaciones médicas en el sitio de DMTC, y
- b. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC, los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
Fire and Life Safety Division

602 E. Huntington Drive, Suite A
MONROVIA, CA 91016
(626) 305-1908
Website: www.fire.ca.gov



July 17, 2017

Timothy A. Read, Director of Operations
Del Mar Thoroughbred Club
P.O. Box 700
Del Mar, California 92014-070

Re: Del Mar Thoroughbred Racing Facility
CSFM File #04-37-041-0001

Dear Mr. Read:

An inspection of the referenced facility was conducted on July 17, 2017, in accordance with Section 13108(c) of the California Health and Safety Code. The purpose was to determine compliance with minimum fire and life safety standards required by Titles 19 and 24 of the California Code of Regulations.

No deficiencies were noted at the time of inspection. Fire Clearance is hereby granted for the 2017 racing season.

If we can be of further assistance, or you desire additional information or clarification, please feel free to contact me at 626-705-7635.

Sincerely,

A handwritten signature in cursive script that reads "Michelle Nachtmann".

Michelle Nachtmann
Supervising Deputy State Fire Marshal

cc: J. Garcia, DSFM



EXHIBIT 13

DELMART-01

6SAGENZA

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

7/15/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 240 Pleasanton, CA 94588	CONTACT NAME: PHONE (A/C, No, Ext): (925) 416-7862		FAX (A/C, No): (925) 416-7869
	E-MAIL ADDRESS:		
INSURED Del Mar Thoroughbred Club PO Box 700 Del Mar, CA 92014	INSURER(S) AFFORDING COVERAGE		NAIC #
	INSURER A : Philadelphia Indemnity Insurance Company		18058
	INSURER B : Cypress Insurance Company (CA)		10855
	INSURER C :		
	INSURER D :		
	INSURER E :		
INSURER F :			

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			PHPK1623703	03/15/2017	03/15/2018	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY			PHPK1623703	03/15/2017	03/15/2018	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000			PHUB576012	03/15/2017	03/15/2018	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	DEWC808286	03/31/2017	03/31/2018	<input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 RE: Proof of Insurance

CERTIFICATE HOLDER California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE 

6-61

association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Exhibit 14

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans: for the race meeting:

Exhibit 15

B. Promotional/ Marketing budget for this race meeting:

<u>Category</u>	<u>2017 Fall</u> <u>Meet</u> (14 Day Meet)
Advertising	\$ 643,200
Promotions	\$ 337,500
Publicity	\$ 70,600
Other	\$ 11,000
Total	\$ 1,062,300

Promotional/Marketing budget for prior race meeting:

<u>Category</u>	<u>2016 Fall</u> <u>Meet</u> (15 Day Meet)
Advertising	\$ 668,600
Promotions	\$ 384,500
Publicity	\$ 78,300
Other	\$ 12,000
Total	\$ 1,143,000

C. Number of hosts and hostesses employed for meeting:

We employ approximately 22 customer service representatives on weekdays (Thursdays and Fridays) and 35 on weekend (Saturdays and Sundays).

D. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.

EXHIBIT 14**CONCESSIONS:**

Wes Westley, CEO
Premier Food Services, Inc./SMG
300 Conshohocken State Road
Conshohocken, PA 19428

TIP SHEETS:

Bruce M. Andrews, Jr.
Deuce Bruce
5910 Rancho Mission Rd. #33
San Diego, CA 92108

Bob Baedeker
Baedeker, Inc.
130 West El Portal
San Clemente, CA 92672

John Acquarelli
Duke Racing Selections
4615 Triesta
Carlsbad, CA 92010

Toby Turrell
Winners
14112 Enfield Circle
Westminster, CA 92683

Tiffany Bohland
Bob's Card, Inc.
166 Halifax Lane
Medina, OH 44256

SADDLERY SERVICES:

Frank Wipfli
Western Saddlery, Inc.
7038 Commerce Circle
Pleasanton, CA 94588

RACING COLORS:

June Gee
West Coast Racing Colors
11920 Goldring Rd. Ste G
Arcadia, CA 91006

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez
Garda Cash Logisitics
8807 Complex Drive
San Diego, CA 92123

Rayetta Burr
Benoit & Associates, Inc.
Track Photographers
PO Box 60014
Arcadia, CA 91066-6014

Michael Costello
United/Puett Electrical Starting Gates
224 Tater Hill Rd.
East Haddam, CT 06423

Scripps Coastal Medical Center
Track Physicians
380 Stevens Ave., Suite 100
Solana Beach, CA 92075

Steve Stiles
Stiles Animal Removal Inc.
P.O. Box 1103
Guasti, CA 91743-1103

Deven Owen
West Coast Feed
PO Box 893007
Temecula, CA 92589

Gary S. Bell
Citrus Feed
PO Box 249
La Verne, CA 91750

Angel P. Unamuno
Angel's Feed
4518 Rowland Ave
El Monte, CA 91731

Michael Brunner
Westwalk Corp.
Hotwalkers
2711 N. Sepulvada Blvd. # 312
Manhattan Beach, CA 90266

EXHIBIT 15



Marketing Overview

The 2017 Bing Crosby Season will forever be known as Del Mar's inaugural Brederers' Cup meet. The two days of Brederers' Cup races will be held on Friday and Saturday of Opening Weekend, so the meeting will get off to a very festive beginning. The presence of the Brederers' Cup attendees in San Diego will certainly help the on-track numbers for the non-Brederers' Cup days of Opening Week, and we hope the early momentum will lead to a successful season in general.

Attendance for the Brederers' Cup at Del Mar will be capped at 38,000 per day, and we do expect a sellout. Ticket sales data indicates that over 70% of Brederers' Cup ticket purchasers are from outside Southern California so we are working very closely with the Brederers' Cup staff to entertain our out-of-town guests. A week-long Brederers' Cup Festival has been developed that will include such events as a 5K run on the Del Mar beach, a golf tournament at Torrey Pines, a music festival at the Belly Up and a Taste of San Diego. A complete list of the tentative events follows.

Breeders' Cup Festival – Tentative Event List

<u>Event</u>	<u>Date</u>	<u>Location</u>
Art of the Horse	July thru November	Del Mar and beyond
World Poker Tour Tournament	Tues - Thurs	Ocean's Eleven Casino
Belly-up Concerts	Wed thru Sat	Belly-up
5K Beach Run	Saturday 10/28	Del Mar Beach
Burgers 'N Brew	Saturday 10/28	La Jolla Cove
Post Position Draw	Monday 10/30	Barn at the Beach / Powerhouse Park
Golf Tournament I	Tuesday 10/31	Torrey Pines
Breeders' Cup, Bourbon and Bluegrass	Wednesday 11/1	Barn at the Beach / Powerhouse Park
Breeders' Cup Classic Gala (honoring Dick Enberg)	Wednesday 11/1	Fairmont Grand Hotel
Bing Crosby Fall Meet - Opening Day	Wednesday 11/1	Del Mar Race Track
Opening Night Party	Wednesday 11/1	L'Auberge
JockTails	Wednesday 11/1	Del Mar Plaza
Golf Tournament II	Thursday 11/2	Rancho Santa Fe Country Club
Bing Crosby Fall Meet	Thursday 11/2	Del Mar Race Track
Handicapping Event	Thursday 11/2	TBD
Best at the Barn	Thursday 11/2	Barn at the Beach / Powerhouse Park
Taste of the World	Thursday 11/2	Birch Aquarium
Turfwriters Dinner	Thursday 11/2	The Brigantine
Bash at the Beach	Friday 11/3	Barn at the Beach / Powerhouse Park
La Jolla Art Walk	Friday 11/3	Various La Jolla art galleries
Breeders' Cup Viewing and After Party	Saturday 11/4	Barn at the Beach / Powerhouse Park
Finish Line Celebration	Saturday 11/4	L'Auberge

Breeders' Cup aside, although the brand of the fall season differs from that of the summer season, the same marketing principles are used to support a two-part marketing strategy:

1. Provide value to our regular customers
2. Attract new and occasional customers by promoting the "Bing Crosby Season" brand and diversifying our entertainment offerings

The first of the two strategies relies heavily on database marketing tactics. The focus of these tactics is the same Diamond Club that we rely on so heavily during the summer. The Diamond Club is a free loyalty club that offers members half-priced admission each time they attend as well as occasional free admissions and seats, discounted food and beverage, free bets and contests as well as wagering rebates to our biggest bettors. The Diamond Club was launched in 2006 and now has over 255,000 members. Each time members take advantage of Diamond Club benefits, they must scan their membership card, which in turn feeds the information to our data warehouse. As the number of Diamond Club members expands, and as the amount of information we gather about our members grows, it allows us to market to our regular patrons in a more effective and efficient manner. For example, the data warehouse can tell us which customers tend to come to the races on weekends, weekdays, pick six carryover days, giveaway days, concerts, etc. With this information, we can use database marketing and social media techniques to send the appropriate messages to the appropriate customers in a very cost-effective manner. As the data warehouse continues to grow, we will utilize the data findings even more aggressively to promote Del Mar to our current race fans.

One of the benefits of using database marketing as the principal tactic for attracting current customers is that it allows us to use traditional media (radio, print, digital, etc.) to accomplish our second strategy – attracting new and occasional customers. We sell Del Mar to this target market as a cool and sexy entertainment alternative while highlighting non-racing events such as Craft Beer Festivals, Concerts and Food Truck Festivals.

Promotions

- **Opening Day** – This year's Opening Day will take on a Breeders' Cup Festival theme. All the Breeders' Cup decorations will be up, so the track will have a distinctive purple feel to it. We will promote Opening Day to the locals as the day to come to Del Mar if you were unable to get tickets to the Breeders' Cup races. In addition, we will target the Breeders' Cup attendees from out of town looking for a preview of racing at Del Mar.
- **Saturday Concerts** – Del Mar will offer two concerts this season. The Roots will play on Saturday, November 11 and Iration will highlight our annual Reggae Festival on Saturday, November 18. The post-race concerts have become a staple at Del Mar over the years, and we expect the tradition will continue with large enthusiastic crowds.
- **Craft Beer & Gourmet Food Truck Festival** – This year we are taking two of successful events and combining them on one day – Saturday, November 25. The Craft Beer Festival will feature over 100 specialty beers, with an emphasis on San Diego breweries. Meanwhile the Gourmet Food Truck Festival will allow patrons to sample a wide array of foods from Cajun favorites to seafood to good old-fashioned grilled cheese sandwiches. These events, which are targeted at the 21-40 year old demographic, have proved to be effective at attracting new fans to Del Mar. By offering complimentary programs, newcomer seminars and free *Daily Racing Form* Easy Forms, we hope to get the new fans to the betting windows.



- **College Day Tailgate** – Unlike the summer season, the fall season allows us to market to the colleges and universities in San Diego while they are in session. On Saturday, November 18 we will once again host the College Day Tailgate which offers a number of drink specials, competitions and activities for college students. The party is followed by a concert from the popular reggae band Iration.
- **Free & Fun Sundays** – Recent research has revealed that many fans feel our food and beverage prices are too high. Therefore, we will be offering a number of discounts and special events with lower concession prices. This is one of our most popular offers. Every Sunday during the meet, racing fans will receive free Stretch Run admission and a free reserved seat. In addition, draft beer, sodas and hot dogs will be half price at designated concession stands. All patrons have to do to take part in the promotion is join the Diamond Club.
- **Family Fun Run** – On Thanksgiving Day morning we will once again host the Family Fun Run, which is a one-mile run on the main track. The event is done in conjunction with the Helen Woodward Animal Center and features pet adoptions as well as crafts and games for the kids.
- **Seniors Days** – Seniors make up a significant portion of our fan base, and they tend to be price sensitive. Therefore, on each Thursday during the meet all seniors 62 and over will be admitted free and given a free reserved seat.
- **The Del Mar Handicapping Challenge** – In an effort to attract the top handicappers from across the country, we host the Del Mar Handicapping Challenge. One hundred players will compete in a “real money” tournament for \$100,000 and five entries into the NTRA National Handicapping Championship in this two-day competition. Historically, over half the field travel to Del Mar for the contest from out of state.

Fan Education

Given that many Del Mar attendees are newcomers or occasional racetrack visitors, it is imperative that we stress fan education. Some of the initiatives include:

- **Free Programs** – As they say, “you can’t tell the players without a program,” so everyone who enters the admission gate receives a complimentary program. Although most racetracks charge an additional fee for programs, we believe many racetrack newcomers would not know to buy one and would, therefore, be very unlikely to wager and learn the game of horse racing.
- **Free Newcomers’ Seminars** – Located just inside the Stretch Run admission gates in the Plaza de Mexico, these simple 30-minute seminars are held every racing day and are extremely popular.
- **Free Handicapping Seminars** – Every Saturday and Sunday patrons can listen in as handicapping experts analyze the day’s racing card and give out their selections in every race.
- **Website** – The Del Mar website features a “Beginner’s Corner” which provides helpful tips on how to prepare for a visit to the races including pre-planning, handicapping resources, wagering, track terms and more. Fans may also participate in “Night School”, the racing industry’s national, interactive online fan education forum featuring lessons from expert hosts.
- **Betting Guides** – *Today's Racing Digest* produces pocket-sized guides for Del Mar that explain racing terms and how to place a bet. The betting guides are distributed through Group Sales, Mutuel Tellers and Customer Service representatives throughout the season.
- **DRF Easy Form** – On large concert or festival days, the *Daily Racing Form* produces a simplified racing guide that is distributed to newcomers free of charge. The Easy Form covers the day’s races in a simple format that is easy to understand for newcomers. It serves as a stepping stone to the traditional *Daily Racing Form*.
- **Staff Handicappers** – Del Mar staff handicappers regularly assist Group Sales parties. The handicappers teach the basics of handicapping and betting, recommend picks and arrange paddock tours.

VIP Program

In addition to new and casual race fans, Del Mar also has a significant number of high-end customers. For those patrons we have the Del Mar VIP recruitment and retention program. The VIP program constitutes an aggressive effort to identify significant bettors and to meet the tailored needs of those patrons through personalized attention from all segments of Del Mar management and staff. The program accommodates over 100 high-per-capita patrons with services such as arranging housing, local transportation, complimentary dinners at local restaurants, hotel and golf reservations, and VIP seating for the races. In addition, players that wager over \$20,000 in a calendar month are eligible for rebates via a program sponsored by the California Marketing Committee.

Customer Service

Given the number of new and casual race fans at Del Mar, Customer Service plays a vital role in the experience of our customers. Therefore, we staff the facility with Customer Service Representatives and Self-service Wagering Assistants very liberally. We also believe it is very important to train our Customer Service employees very thoroughly.

Weekdays (Thursday and Fridays)

- 10 Customer Service Representatives
- 12 Self-service Wagering Assistants
- 22 Total Staffing

Weekends (Saturdays and Sundays)

- 15 Customer Service Representatives
- 20 Self-service Wagering Assistants
- 35 Total Staffing

Database Marketing

Database marketing continues to be one of the key components of the media mix each season. Given that the primary advertising strategy is to target new fans that are potentially younger, it is vital that we communicate effectively with our current fan base. With that strategy in mind, Del Mar has devoted significant time and money to identify our regular players. First, a data warehouse was created that pulled together all the various lists of names and information contained at the racetrack (marketing, racing, group sales, turf club, ticketing, etc.) and combined them into a single database that can be segmented into various categories. Also, the Del Mar loyalty club, The Diamond Club, which gives members half-priced admission each time they attend the races at Del Mar, allows us to track the visitation patterns of Club members. The Diamond Club has enjoyed phenomenal growth since its inception in 2006 with membership numbers now topping over 255,000.

Over 150,000 pieces of direct mail will be sent out this year to racing fans in the Del Mar database. In addition to traditional mail, more than 125,000 fans will receive targeted e-mail updates from Del Mar on a year-round basis, ranging from coupons to instant notification of Pick Six carryovers to upcoming promotions and big races as well as general interest stories about various horses and horsemen.

Digital Marketing

Del Mar utilizes an array of platforms – DelMarRacing.com, the Del Mar Mobile App, social media platforms and email database – to customize content and target two distinct audiences of racetrack regulars and newcomers to the track.

- Racetrack Regulars - From entries and results to real-time odds and pools, the racetrack regulars are provided valuable information and tools from the Del Mar site and mobile app. Notably this past year, carryover information is being efficiently pulled from Amtote's result feeds to display across the site efficiently. The Online Handicapping Challenge, designed to encourage horseplayers to handicap and play the Del Mar card, draws nearly 5,000 players each season. The play-for-fun handicapping contest is always popular during the offseason for many.
- Newcomers and Occasionals – Non-racing events drive newcomers to many of their first time experiences at the racetrack. First-time patrons are encouraged to sign up with their email for the Diamond Club loyalty program to unlock discounts, thereby allowing Del Mar to re-engage through email and target digital advertising to convert first timers into racegoers. Out of town visitors are also provided helpful travel aids including local hotels, restaurants and activities to help plan their visit to the track.

DelMarRacing.com

The site is optimized for both desktop and mobile and provides easy navigation for patrons. Over 6,000 patrons purchase tickets and reserve tables & seats online each year through the mobile responsive site. The site generates over 16.8 million annual page views from 3 million users.

Social Media

The social media goals for the 2016 season are threefold:

1. Increase awareness
2. Increase brand loyalty
3. Increase on-track attendance

The emphasis is not only on growing the size of our community, but the quality of the people and interactions. The feedback from our social media community is overwhelmingly positive, with people posting on both Facebook and Twitter how much they love Del Mar and how much they appreciate our efforts in the social space.

Facebook, which now has over 80,000 Del Mar fans within our community has proven to be an easy platform for us to grow and a natural space for us to be in as a result of our visual content. Our fans respond very favorably to the videos we share about concerts and big races, and regularly view and comment on our many photo albums. The content most often responded to involves celebrities, events, concerts and contests. However, some racing content also receives a significant response.

Twitter still has some room for growth, but despite being significantly smaller than our Facebook network, our 27,000 Twitter members are quite a bit more engaged. Twitter is best used as an engagement platform to provide customer service assistance and increase brand loyalty through one-on-one interactions. Much like Twitter followers, our Instagram followers are very engaged. Our 21,000 followers forwarded our photos as well as their own.

Media Summary

The Media Plan features a diversified media mix consisting of radio, print, online, outdoor and direct mail. Note these do not reflect any amounts spent on behalf of the Breeders' Cup.

Radio	\$ 171,000
Print	\$ 32,500
Online	\$ 65,000
Outdoor	\$ 41,000
Direct Mail	\$ 38,000
Total	\$ 347,500

In addition to the cash media budget, DMTC negotiates barter deals with select media providers. In exchange for tickets, seating and parking privileges on days when inventory is available, DMTC receives free media. The Barter Program is expected to contribute an additional \$150,000 of media for the fall season.

Marketing Budget

The marketing budget for the 14-day Bing Crosby Season is over \$1.1 million. This amount includes direct expenses attributed to Advertising, Promotions and Publicity but does not include payroll, administrative supplies and other overhead items.

The Marketing Budget is categorized as follows:

Advertising	\$ 643,200
Promotions	\$ 337,500
Publicity	\$ 70,600
Other	\$ 11,000
Total	\$1,062,300

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- **Dennis Moore named Director of Track Maintenance**
- **Main Track renovation, including modified banking and grading**
- **Ongoing replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area)**
- **Ongoing replacement of flooring, doors, locks, window screens in living quarters (restricted area)**
- **Ongoing replacement of morning workout lights (restricted area)**
- **Ongoing replacement of electrical outlets in stable area restrooms to GFCI protected (restricted area)**
- **Ongoing repair all stable area smoke detectors (restricted area)**
- **Ongoing replacement of water service to miscellaneous barns in stable area (restricted area)**
- **Ongoing backfill of all stable area stalls with decomposed granite (restricted area)**
- **Ongoing renovation of miscellaneous restrooms, tack rooms and living quarters in stable area (restricted area)**
- **Ongoing replacement of exterior electrical outlets and wall lights in stable area (restricted area)**
- **Clean and screen all stable area shed-drains and roadways due to rocks and debris (restricted area)**
- **Clean and dig-out all stable area wash racks and storm drain boxes (restricted area)**
- **Three (3) – Quarantine Barns for Breeders' Cup**

2. Fans

- Two (2) – VIP Chalets for Breeders' Cup
- New Grandstand facing LED Video Board
- High-Definition Television Production
- Ongoing boxes, seats and turf club refurbishment
- Ongoing replacement of television monitors with flat-screen monitors throughout facility
- Ongoing installation of wireless technology throughout the facility to enhance the mobile app experience
- Ongoing replacement of the vanity panels and trays throughout the facility
- Ongoing replacement of deck coatings throughout the facility
- Replacement of miscellaneous patio covers and frames
- Replacement of all patio furniture (chairs, tables, umbrellas)

3. Facilities in the restricted areas

See 17-E-1

19. SCHEDULE OF CHARGES

The below prices do not apply on Friday, November 3rd and Saturday, November 4th

A. Proposed charges, note any changes from the previous year:

Fall Meet 2017

Admission	\$6.00 (\$3.00 with Diamond Club)
(includes official program)	\$25.00 (Season Pass-Sold pre-season through July 13)
Reserved Seating	\$4.00 Thurs & Fri, \$8 Opening Day, \$8 Sat & \$6 Sun
Parking (general, single day)	\$10.00
Parking (general, advance	
Discount book of 10)	\$50.00
Parking (Valet)	\$20.00
Programs (on-track)	Included with paid admission
Programs (off-track)	\$2.25

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Season Turf Club Membership (2 persons, includes Summer and Fall meetings):	\$1,500.00
Season Turf Club Membership (1 person, includes Summer and Fall meetings):	\$1,100.00
Daily Turf Club Admission (member guests):	\$40.00 (\$60 on Opening Day)
Daily Turf Club Admission (non-member):	\$60.00 (\$100 on Opening Day)
Stretch Run & Clubhouse Season Box Seats:	\$85 - \$100 per seat
Season Reserved Seat:	\$70.00

C. Describe any "package" plans such as combined parking, admission and program:
 Turf Club memberships include valet parking. All paid admissions include official programs.

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="73"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:
 Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

Inspection of backstretch housing will be completed by CHRB staff on a date to be determined.

B. Number of rooms used for housing on the backstretch of the racetrack:
666 permanent

C. Number of restrooms available on the backstretch of the racetrack: **83**

D. Estimated ratio of restroom facilities to the number of backstretch personnel:
17.5 workers to 1 restroom facility

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:
The main track surface is a Dirt Running Surface. The surface consists of a 10" sandy loam on top of a layer of decomposed granite and 6" round perforated drain pipe on top of the existing dirt sub-base.
The turf course consists of GN-1 Bermuda grass sod with a growing medium consisting of 9" of silica sand mixed with peat and turf grids blended into the top 6".
- C. DIRT:
The percent of cross slope in the straight-aways is: **2%**
The percent of cross slope in the center of the turns is: **5%**
TURF:
The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Main Track:

Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".

Turf Track:

Inside running rail: Mawsafe pvc injection molded

Inside rail supports: Mawsafe pvc with 16" offset

Approx. height: 47"

Outside running rail of the turf course is the same as the inside rail.

Outside rail supports are the same as the inside rail supports.

Approximate height 47"

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Robert Sanchez, Vice President, Plant**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File.**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **On File**

DEL MAR THOROUGHBRED CLUB - UNION CONTRACTS CURRENTLY IN NEGOTIATION

UNION	SIGNATORY ASSOCIATIONS	JOB CLASSIFICATION	CONTRACT DATES
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Auto Park	July 2016 through July 2019
Teamsters Local 495 Clockers/ Outriders	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Clockers / Outriders	July 2016 through July 2019
Teamsters Starters Local 495	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Starters	July 2016 through July 2019
Teamsters 495 Racing	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing Officials	July 2016 through July 2019
SEIU Local #280	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex SCOTWINC	Mutuels	June 2017 through June 2018
SEIU #1877	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing	April 2017 through April 2018

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **Exhibit 16**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
 - **TOC Fall Meet Agreement - On File**
 - **CTT Fall Meet Agreement- in Negotiations**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On File**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Thomas S. Robbins
 Print Name

Thomas S. Robbins
 Signature

Executive Vice President, Racing
 Print Title

7/31/17
 Date

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE FROM LOS ALAMITOS RACING ASSOCIATION (T) ON THE STATUS OF THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC) AGREEMENT FOR THE LOS ALAMITOS RACE MEETING AT LOS ALAMITOS RACE COURSE, COMMENCING NOVEMBER 29, 2017 THROUGH DECEMBER 19, 2017, INCLUSIVE.

Regular Board Meeting
September 28, 2017

BACKGROUND

Last year, the Los Alamitos Racing Association was awarded race dates for a thoroughbred race meeting to be run between November 29 and December 19, 2017. As required under CHRB regulations, all race meet applications are to include an agreement between the racing association (i.e. Los Alamitos Racing Association) and the applicable horsemen's organization (i.e. Thoroughbred Owners of California).

Currently, the Los Alamitos Racing Association and the Thoroughbred Owners of California are in ongoing negotiations regarding the terms of this year's horsemen's agreement. Representatives from Los Alamitos have asked to make a presentation to the Board concerning issues relevant to the agreement.

RECOMMENDATION

This item is presented to the Board for discussion and action.

STAFF ANALYSIS

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULES 1597.5, MICROCHIPS REQUIRED FOR ALL HORSES ON GROUNDS; RULE 1597.6, TAMPERING WITH MICROCHIPS AND THE PROPOSED AMENDMENT TO CHRB RULES 1554, DUTIES OF HORSE IDENTIFIER; RULE 1581.1 ENTRIES; RULE 1588, HORSE INELIGIBLE TO START IN A RACE AND RULE 1597, ASSOCIATION TO MAINTAIN RECORDS OF HORSES ON ITS GROUNDS, TO IMPLEMENT THE REQUIREMENT THAT HORSES BE MICROCHIPPED IN ORDER TO RACE IN CALIFORNIA. (NOTE: THIS CONCLUDES THE 45-DAY PUBLIC COMMENT PERIOD. THE BOARD MAY ADOPT THE PROPOSAL AS PRESENTED.)

Regular Board Meeting
September 28, 2017

BACKGROUND

Beginning in 2014, the California Horse Racing Board (CHRB) conducted a Microchip Pilot Project in Northern California at the Golden Gate Fields and Alameda County Fairgrounds racetracks. The purpose of the Pilot Project was to test all operational aspects of a horse identification system that utilizes state-of-the-art microchip technology to implement an accurate process for regular inventory reporting and horse movement tracking at all Board-licensed racetrack and training facilities in California. In 2016, with the Pilot Project nearing completion, the Board voted to send out for public comment these proposed regulations, which will require all race horses in California be implanted with identifying microchips. The major goals of the initiative are to track horse movement in and out of licensed CHRB inclosures, improve identification capabilities, and prevent breakouts of infectious diseases.

Business and Professions Code section 19440 provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out the purposes of this Chapter, which includes adopting rules and regulations for the protection of the public and the control of horse racing and parimutuel wagering. Rule 1597, Association to Maintain Records of Horses on Its Grounds, requires that all licensed associations maintain a record of horses admitted into their inclosure, and that each entry and exit by a horse be recorded within 48 hours of said occurrence. Rule 1853, Examination Required, states that the horse identifier shall examine each horse to identify such horse from the Board's identification record and the photographs, record of pedigree, tattoo or brand number and such other points of identification as may be available.

ANALYSIS

The following proposed regulatory additions and amendments were published for public comment on July 14, 2017:

The proposed amendment to Rule 1554, Duties of Horse Identifier, will require that the Horse Identifier supervise the microchipping implantation process in the same way he or she does the tattooing process. It also will require that the Horse Identifier be responsible for verifying the microchip number that is assigned to the horse, and finally, that they scan all horses about to race to verify their identities prior to departure for the post. Any entered horse found not to have a

microchip or waiver at post time will be scratched.

The proposed amendments to Rule 1581.1, Entries, and Rule 1588, Horse Ineligible to Start in a Race, will make any horse that does not carry a microchip, or has not received a valid waiver from the Board of Stewards, ineligible to enter or start in a race. Ultimately, these amendments are necessary to carry out the enforcement component of the microchip requirement. By making a validly implanted microchip a requirement for eligibility to enter and start in a race, the CHRB can assure that no horse is able to evade other aspects of the microchip requirements and still run in a race.

The proposed amendment to Rule 1597, Association to Maintain Records of Horses on Its Grounds, will require that records maintained of each horse include microchip numbers, and that accurate inventories of all horses on the grounds of each inclosure be maintained by the racing secretary in a manner that is subject to Board approval.

The proposed addition of Rule 1597.5, Microchips Required for All Horses on Grounds, will require that all horses present within the inclosure carry an identifying microchip unless they are granted a waiver by the Board of Stewards to participate in a single stakes race at that racetrack. For horses not yet microchipped and not granted a waiver, the rule will also create a process for bringing such horses into the inclosure and having them implanted with an identifying microchip within 72 hours of their arrival, and under the supervision of the Board of Stewards. The rule will further require that no horse be entered into a race without an identifying microchip or valid waiver.

The proposed addition of Rule 1597.6, Tampering with Microchips, will require that, "No person shall tamper with, remove, or replace a microchip implanted in a horse without approval from the Board." The rule is intended to safeguard against unauthorized changes to a horse's assigned microchip so that inventory and identification functions are not corrupted by such tampering. Accordingly, the Board will be able to fine and/or suspend any licensee that violates the rule.

Finally, the proposed amendment to Rule 1853, Examination Required, will add "microchip number" as one of the points of identification that the Horse Identifier is to examine.

During the 45-day comment period, CHRB staff received written comments from the California Department of Food and Agriculture (CDFA), and Gail Matthews. After the comment period closed, staff also received written support from The Jockey Club.

The two primary recommendations from CDFA were that the proposed regulations should a) specify that microchip records to be maintained in a searchable electronic format, and b) permit animal health officials to access microchip records in the course of animal disease investigations.

RECOMMENDATION

Based on the comments and input received, staff recommends that the Board consider adopting the proposed regulatory amendments and additions as modified in the attached copy of the text. This item is presented to the Board for discussion and action.



CALIFORNIA DEPARTMENT OF
FOOD & AGRICULTURE

Karen Ross, Secretary

August 15, 2017

Philip Laird, Staff Counsel
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825
Telephone (916) 263-6025
Fax: (916) 263-6022
E-Mail: pjlaird@chrb.ca.gov

Subject: California Horse Racing Board Proposed Microchipping Regulations

To Whom It May Concern:

The California Department of Food and Agriculture (CDFA) appreciates the opportunity to submit comments to the California Horse Racing Board (CHRB) regarding their proposed microchip regulations. CDFA recognizes and appreciates CHRB regulatory efforts related to increased traceability of racehorses in California. With travel of horses on and off CHRB racetracks, the traceability of such horses for disease purposes becomes critical to quickly identify exposed populations and control disease risks. Industry and CDFA acknowledge the significant impact the detections of Equine Infectious Anemia and Equine Piroplasmiasis have had specifically on California racing Quarter Horse population. Implementation of these proposed regulations is essential in protecting the health of California's equine population.

CDFA respectfully submits the following comments:

1. **Supervise and verify microchipping:** Proposed modifications of rule 1554 states horse identify shall supervise and verify the accurate tattooing, microchipping or branding for identification. Rule 1597 provides similar language. These proposed rule modifications fail to specifically address the electronic capture of the microchip information. Proposed rule 1597.5(f) (4) alludes to the recording in a manner and format subject to board approval. However, the ambiguity leads to potential lack of recording in an appropriate electronic system which could be rapidly searched. CDFA recommends the proposed language include verification by horse identifier or steward of the recording of microchip identification number at time of implantation in an electronic records system. The recommended clarification would ensure that at time of microchipping there is oversight ensuring the information is accurately recorded in an electronic system which ideally could be quickly searched.
2. **Record Availability:** Proposed modifications to rule 1597 states the racing secretary shall make all such records available to Stewards and the Board upon request. CDFA recommends proposal language be added to permit racing



California Horse Racing Board
August 15, 2017
Page 2

secretary to provide records of animal entry and exit to state animal health officials during a disease investigations.

Thank you for your continued support to ensure the protection of California's Horse Racing Industry as well as the agricultural consumers of our State.

Sincerely,



Annette Jones, D.V.M.
California State Veterinarian and Director

cc: Kent Fowler, D.V.M.
Chief, Animal Health Branch

TO: The California Horse Racing Board,

Regarding Rule 1597.5 MICROCHIPS FOR ALL HORSES ON GROUNDS and Rule 1597 ASSOCIATION TO MAINTAIN RECORDS OF HORSES ON ITS GROUNDS: Thanks to all concerned for another step in the right direction.

Have you considered implementing a microchip technology that would make it possible to locate a racehorse once it has left the racing inclosure in order to prevent the horse from slipping into the slaughter pipeline?

Would it be possible for the CHRB to work in conjunction with the USDA to pinpoint at-risk microchipped racehorses before they cross the borders into Mexico and Canada for the purpose of slaughter for human consumption?

Additionally, could racehorses be tracked locally when they temporarily leave the inclosure for short periods, sometimes overnight, to prevent their employment in non-regulated, illegal gambling outlets such as match-racing, tripping and other forms of illicit "rodeo."

In so doing, we - and the horses - would be keeping one step ahead of the bad guys.

Respectfully,

Gail Matthews
WINNING HANDS Equine Massage Therapy



The Jockey Club

821 Corporate Drive, Lexington, KY 40503

Phone: (859) 224-2700 | Fax: (859) 224-2710

Philip Laird
Staff Counsel
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

08/29/17

Dear Mr. Laird,

On behalf of The Jockey Club Thoroughbred Safety Committee, please accept this letter in support of the CHR's proposed rules and/or amendments (1597.5, 1597.6, 1554, 1581.1, 1588, 1597, 1853) to require that all horses present within the inclosure of a licensed racing association, racing fair, or training facility carry an implanted microchip.

Microchips have become accepted in all major international racing jurisdictions and beginning with the foal crop of 2017, microchip implant has become a condition of registration in the American Stud Book.

We support these changes and look forward to other jurisdictions following California's lead.

Kind Regards,
Matt Iuliano
Executive Vice President & Executive Director
The Jockey Club

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 5. RACING OFFICIALS
PROPOSED AMENDMENT OF
RULE 1554. DUTIES OF HORSE IDENTIFIER

1554. Duties of Horse Identifier.

The horse identifier shall:

(a) make an inspection of each horse prior to its departure for the post, and scan each horse to verify the horse's microchip number. He or she shall immediately report to the stewards and the paddock judge any horse which is not properly identified, or has any irregularities from the official identification record of the Board, or does not carry a microchip in accordance with Rule 1597.5. If the horse does not carry a microchip or its microchip number cannot be verified, and there is no approved waiver in the racing office, the horse shall be scratched.

(b) The horse identifier shall inspect, identify, and prepare identification records and photographs of all horses within the inclosure not previously identified in California, as well as verify the microchip number assigned to a horse under the provisions of Rule 1597.5.

(c) He shall inspect documents of ownership, eligibility, registration, or breeding, as may be necessary to insure proper identification of each horse eligible to compete at the race meeting.

(d) He shall supervise and verify the accurate tattooing, microchipping or branding for identification of any horse within the inclosure.

NOTE: Authority cited: Section 19440 and 19562, Business and Professions Code. Reference: Sections 19401(a), 19440, and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1581.1. ENTRIES

1581.1. Entries.

No person shall enter or attempt to enter a horse for a race unless such entry is a bonafide entry made with the intention that such horse is to compete in the race for which entry is made.

(a) No horse having been drawn for a post position for any race to be run shall be entered for any subsequent race, other than for a stakes race, until or unless excused by the stewards from the race in which drawn for valid reason or until the race in which it has drawn has been run.

(b) No person shall attempt to enter or enter any horse for a race unless such person is authorized to do so in accordance with the rules.

(c) Every horse drawn in for a post position in a race shall have a jockey or driver named to ride or to drive such horse before the draw is finalized and no jockey or driver shall be named to ride or to drive more than one such drawn horse in each race.

(d) No horse shall be entered for a race that does not carry a microchip, or has not received a waiver from the stewards, in compliance with Rule 1597.5.

NOTE: Authority cited: Section 19440 and 19590, Business and Professions Code. Reference: Sections 19440 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1588. HORSE INELIGIBLE TO START IN A RACE

1588. Horse Ineligible to Start in a Race.

In addition to any other valid ground or reason, a horse is ineligible to start in any race:

(a) if such horse is not registered by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(b) if the parentage verification to both the sire and the dam of all horses foaled in 1992 and thereafter has not been certified by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(c) if, unless the stewards permit otherwise, the certificate of foal registration, eligibility papers, or other registration issued by the official registry for such horse is not on file with the racing secretary at the time of entry;

(d) if such horse has been entered or raced at any recognized race meeting under any name or designation other than the name or designation duly assigned by and registered with the official registry;

(e) if the certificate of foal registration, eligibility papers or other registration issued by the official registry has been altered, erased, or forged;

(f) if the identification markings of the horse do not agree with the identification markings as set forth in the registration of such horse;

(g) unless he is eligible to enter said race and is duly entered for such race;

(h) when such horse is owned in whole or in part by an unlicensed person or is in the care of an unlicensed trainer;

(i) when such horse is on the Steward's List, the Starter's List or the Veterinarian's List;

(j) when, except with prior approval of the stewards for good cause, such horse is on the Veterinarian's List in another racing jurisdiction. Good cause includes:

(1) unforeseen administrative issues in removing the horse from the Veterinarian's List of another racing jurisdiction;

(2) the location of the horse prevents it from being evaluated by the official veterinarian of another racing jurisdiction and cleared from that jurisdiction's Veterinarian's List, and the horse is approved to race by a California official veterinarian; or

(3) any other unforeseen event or reason that would prevent a horse that would otherwise not be on a Veterinarian's List from being cleared from the Veterinarian's List of another racing jurisdiction.

(k) when, except with prior approval of the stewards, such horse has not been on the grounds of the association or its approved auxiliary stable area for at least 24 hours prior to the time the race is to be run.

(l) when such horse does not carry a microchip or has not received a waiver from the stewards in accordance with Rule 1597.5.

NOTE: Authority cited: Section 19440 and 19562, Business and Professions Code. Reference: Sections 19440 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1597. ASSOCIATION TO MAINTAIN RECORDS
OF HORSES ON ITS GROUNDS

1597. Association to Maintain Records of Horses on Its Grounds.

The association, fair, or authorized training facility shall maintain an ~~list or~~ ~~current~~-~~electronic~~ record of all horses ~~admitted to entering and exiting~~ its grounds ~~for racing~~ by name and by microchip number in compliance with Rule 1597.5, and such ~~list or~~ record shall also contain the name of the owner or owners of such horses, and the name of the trainers having care of such horses. The racing secretary shall record the entry to and exit from the inclosure of every horse within 72 hours of each occurrence, and shall maintain these records in a searchable electronic manner and format that is subject to Board approval. The racing secretary shall make all such records available to the Stewards, and the Board, and all state and federal animal health officials conducting animal disease investigations upon request. Such list or record shall be available for inspection by the stewards or the Board. Additions and deletions of horses entering or leaving the grounds shall be made to such list or records within 48 hours of the entering or leaving of a horse.

NOTE: Authority cited: Section 19420, 19440, and 19562, Business and Professions Code. Reference: Sections 19440 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED ADDITION OF
RULE 1597.5. MICROCHIPS REQUIRED FOR ALL HORSES ON GROUNDS

1597.5. Microchips Required for All Horses on Grounds

All horses present within the inclosure of a licensed racing association, racing fair, or training facility shall carry a microchip unless it is exempt under the provisions of this Rule. For purposes of this Division, a "microchip" is any International Organization for Standardization compliant (ISO 11784/11785) fifteen digit, 134.2-kHz, FDX-B passive transponder microchip. Microchips shall be ICAR (International Committee of Animal Recording) approved (9xx series) with an unshared manufacturer code.

(a) The trainer and/or owner of a horse that comes into the inclosure of a licensed racing association, racing fair, or training facility without a microchip shall:

(1) Immediately notify the stable superintendant that the horse does not carry a microchip;

(2) Complete CHRB Form 236, Microchip Request (New 04/16), which is hereby incorporated by reference, and submit it to the Board of Stewards;

(3) Post CHRB Form 235, Horse Not Microchipped (New 04/16), which is hereby incorporated by reference, on the individual stall that the horse is stabled in until the horse has successfully been implanted with a microchip;

(4) Have the horse implanted with a microchip within 72 hours of arriving on the racing association, racing fair, or training facility grounds in accordance with subsection (f);

(b) If a horse has not received a microchip after 72 hours from the time it arrives at the inclosure, it shall be removed from the grounds of the racing association, racing fair, or training facility unless a

request for an extension is made in writing to the Board of Stewards by the horse's owner or trainer and the request is granted.

(c) A trainer or owner may apply to the Board of Stewards for a waiver from the microchip requirements in this Rule when shipping a horse in from another racing jurisdiction for the purpose of the horse's participation in a single stakes race. The request for a waiver shall be made on CHRB Form 237, Microchip Waiver Request (new 04/16), which is hereby incorporated by reference, and shall be submitted to the stewards at least 24 hours before the horse is to arrive on the grounds. If a waiver is granted, the trainer shall post CHRB Form 235, Horse Not Microchipped (new 04/16), on the individual stall that the horse is stabled in until the horse is removed from the grounds of the racing association, racing fair, or training facility. All waivers will expire 72 hours after the running of the race for which the waiver is granted.

(d) A horse that has not been implanted with a microchip, or has not been granted a waiver in accordance with subsection (c), shall not be eligible to enter a race or participate in a race.

(e) The racing secretary shall not accept an entry to any race if it cannot be verified that the horse carries a microchip or has otherwise been granted a waiver in accordance with subsection (c).

(f) Anytime a horse is implanted with an identifying microchip while in the inclosure of a licensed racing association, racing fair, or training facility, the following procedures shall be followed:

(1) The Board of Stewards will assign the microchip number for each horse to be implanted.

(2) The microchip shall be implanted by a Board-licensed veterinarian, registered veterinary technician, or other licensee who has been authorized by the official veterinarian and while under the supervision of the horse identifier and a steward.

(3) The licensed owner of the horse shall be responsible for any veterinary service fees for implantation of the microchip.

(4) The horse identifier, in collaboration with the Board of Stewards, shall verify the individual microchip identification number assigned to the horse. The Board of Stewards will record the individual horse microchip identification number with the racing secretary in a searchable electronic manner and format that is subject to Board approval. Records of the microchip identification numbers shall be maintained by the racing secretary and in accordance with Rule 1597.

(g) A horse that comes into the inclosure of a licensed racing association, racing fair, or training facility, and carries a microchip but has not had its individual microchip identification number recorded by the racing secretary, shall have its microchip verified and recorded in accordance with subsection (f)(4). No person shall prevent the Board of Stewards, the horse identifier, or the racing secretary from assigning, verifying, or recording a horse's microchip number.

NOTE: Authority cited: Section 19420, 19440, and 19562, Business and Professions Code. Reference: Sections 19440 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1597.6. TAMPERING WITH MICROCHIPS

1597.6. Tampering with Microchips

No person shall tamper with, remove, or replace a microchip implanted in a horse without approval from the Board.

NOTE: Authority cited: Section 19420, 19440, and 19562, Business and Professions Code. Reference: Sections 19440 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1853. EXAMINATION REQUIRED

1853. Examination Required.

(a) The official veterinarian shall examine each horse that is scheduled to race to determine its fitness to start. The horse identifier shall examine each horse to identify such horse from the Board's identification record and the photographs, record of pedigree, tattoo or brand number, microchip number, and such other points of identification as may be available. The horseshoe inspector shall inspect the horseshoes of each horse. No horse shall be eligible to start in a race, and shall be declared by the stewards, if it is found to be unfit to race, not properly identified, or improperly shod.

(b) A thoroughbred horse that is not shod is eligible to start in a race if the trainer declares at the time of entry that the horse will race unshod.

(1) At the time of entry a trainer shall declare if a thoroughbred horse that raced unshod in its previous start will race shod.

(2) Any declaration made under subsections (b) or (b)(1) above shall be noted in the official program, and shall state if the horse will race without horseshoes in the front or back or all around.

(3) For the purposes of this regulation "unshod" means running without horseshoes in the front or back or all around.

NOTE: Authority cited: Section 19420 and 19440, Business and Professions Code. Reference: Sections 19420 and 19440, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD



HORSE NOT
MICROCHIPPED

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
HORSE NOT MICROCHIPPED
CHRB-235 (New 04/16)

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
MICROCHIP REQUEST
CHRB-236 (New 04/16)

MICROCHIP REQUEST

REQUESTER NAME: _____

HORSE NAME: _____ TATTOO #: _____

HORSE AGE: _____ SEX: _____ COLOR: _____

TRAINER: _____

OWNER: _____

DATE: _____ TIME: _____

NAME OF IMPLANTING LICENSEE: _____

RACETRACK/TRAINING FACILITY NAME: _____

MICROCHIP IMPLANTED? Yes _____ NO _____

(Horse Identifier Name Printed)

(Horse Identifier Signature)

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
MICROCHIP WAIVER REQUEST
CHRB-237 (New 04/16)

MICROCHIP WAIVER REQUEST

REQUESTER NAME: _____

HORSE NAME: _____ TATTOO #: _____

HORSE AGE: _____ SEX: _____ COLOR: _____

RACING JURISDICTION HORSE IS COMING FROM: _____

STAKES RACE HORSE WILL PARTICIPATE IN: _____

NAME OF RACETRACK: _____ DATE OF RACE: _____

TRAINER: _____

OWNER: _____

DATE OF REQUEST: _____ TIME: _____

WAIVER GRANTED? Yes _____ NO _____

(CHRB Steward Name Printed)

(CHRB Steward Signature)

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE
PROPOSED AMENDMENT TO CHRB RULE 1844, AUTHORIZED MEDICATION, TO
PROHIBIT THE PRESENCE OF CLENBUTEROL IN OFFICIAL URINE TEST SAMPLES
FOR ALL HORSES PARTICIPATING IN QUARTER HORSE RACES

Regular Board Meeting
September 28, 2017

ISSUE

At its February 25, 2016 regular meeting, the Board adopted an amendment to Rule 1844, Authorized Medication, deauthorizing any detectable level of clenbuterol in a quarter horse's post-race urine sample. During the discussion of the item, however, some concerns were expressed about how the amendment would affect quarter horse races in which thoroughbreds and/or appaloosas also compete. To alleviate the concerns, the Board voted at its March 24, 2016 regular meeting to suspend authorization of clenbuterol in urine samples for all horses participating in quarter horse races, pursuant to its authority under Rule 1844.1, Suspension of Authorized Medication. The suspension of clenbuterol for horses participating in a quarter horse race was temporary; therefore, at its September 22, 2016 regular meeting, the Board directed staff to initiate an amendment to Rule 1844 to deauthorize any detectable level of clenbuterol in official test samples for all horses participating in quarter horse races.

BACKGROUND

In July 2015, the Board passed emergency regulations amending Rule 1844 that de-authorized any detectable level of clenbuterol in a quarter horse's post-race urine test sample. The emergency regulations also added Rule 1866.1, Presence of Clenbuterol in Quarter Horses, which placed special restrictions on clenbuterol prescriptions and administrations for quarter horses, requiring generally that a quarter horse with clenbuterol in its system may not race until it tests clear of clenbuterol. In July 2016 the Board amended Rule 1844 to remove clenbuterol from the list of drug substances that may be detected in an official urine test sample at specified levels in quarter horses. During the amendment process, some concerns were expressed regarding how the proposed changes to Rule 1844 would affect quarter horse races in which thoroughbreds and/or appaloosas also compete. Board Rule 1743, Thoroughbred and Appaloosa Horses Competing in Quarter Horse Races, provides that thoroughbred and appaloosa horses may compete in quarter horse races under the conditions set forth in the regulation. The amendment to Rule 1844 was adopted by the Board in response to the abuse of clenbuterol in quarter horses, intentionally placing stricter restrictions on this single breed of horse. However, the amendment imposed a scenario in which different breeds of horses may compete against each other under differing medication restrictions. Rule 1743 states that quarter horse races in which thoroughbred and/or appaloosa horses participate shall be considered "quarter horse races." Although that condition defines the type of race, it does not alter how Rule 1844 applies to horses racing in California. This means that in quarter horse races, thoroughbred or appaloosa post-race urine samples may carry up to 140 picograms per milliliter of clenbuterol, yet in the same races participating quarter horses are not allowed to have any detectable levels of clenbuterol in post-race urine samples. The Business and

Professions Code and CHRB regulations do not prohibit the Board from adopting different medication authorizations and thresholds for different breeds of horses. The 2016 amendment of Rule 1844 is an example of purposefully revoking the use of clenbuterol for a single breed, specifically quarter horses. However, quarter horse owners and trainers are concerned about the fact that thoroughbreds and appaloosas may participate in mixed breed quarter horse races with threshold levels of clenbuterol when quarter horses are not. Regardless of whether clenbuterol actually gives thoroughbreds and appaloosas an advantage, the perception is that they may not be racing on a level playing field with the quarter horses. The proposed changes to subsection 1844(e)(6) are necessary to address the concerns of quarter horse horsemen and level the playing field by prohibiting the use of clenbuterol in quarter horse races for all participating breeds.

The proposed amendment to Rule 1844 will change subsection 1844(e)(6) to provide that no horse participating in a quarter horse race may have clenbuterol, or its metabolites or analogs, in its system. Additional modifications to the text of Rule 1844 have been made in response to changes in the Association of Racing Commissioners International (ARCI) Controlled Therapeutic Medication Schedule for Horses Version 4.0 (CTMSH), which was revised and approved by the ARCI in April 2017. The amended subsection 1844(e) lists the drug substances that may be present in official urine test samples, while the amended subsection 1844(f) lists the drug substances that may be present in official blood test samples. An amended subsection 1844(e)(7) deletes the drug substance Omeprazole, a proton-pump inhibitor used to treat gastro esophageal reflux disease and other conditions caused by excess stomach acid, and adds the drug substance Detomidine, a sedative with analgesic properties used to calm the horse and relieve abdominal pain. Omeprazole has been moved to a new subsection 1844(f)(19), which means that it will only be allowed in official blood test samples. Subsection 1844(e)(11) has been modified to provide mares in foal an exemption from the allowed level of testosterone. This is necessary as pregnant mares produce excessive testosterone compared to non-pregnant fillies and mares. If the mare is pregnant, there is no limit on testosterone, similar to intact males. Subsection 1844(f)(14) has been modified to change the allowed level of Xylazine. New subsections 1844(f)(17) through (19) and 1844(f)(21) add drug substances that may be present in the official blood test sample. The drug substances are: Cetirizine, an antihistamine; Cimetidine, a histamine H2 receptor antagonist that inhibits stomach acid production; Guaifenesin, a muscle relaxant used as an adjunct to anesthesia for horses; Ranitidine, used in horses to reduce stomach acid production and to treat ulcers. Subsection 1844(g) was modified to be consistent with changes to subsection 1844(e). The drugs omitted under subsection 1844(g) also have an allowable level in blood, so they were intentionally omitted for that reason.

ANALYSIS

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4, Business and Professions Code. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations, and conditions, consistent with the provisions of Chapter 4, Business and Professions Code, under which all horse races with wagering on their results shall be conducted in this State. Business and Professions Code section 19580 requires the Board to adopt

regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in the State. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof.

RECOMMENDATION

This item is presented for Board discussion and action.

During the 45-day public comment period the Pacific Coast Quarter Horse Racing Association (PCQHRA) submitted a letter in support of the proposed amendment to Rule 1844. The Board may wish to hear from a PCQHRA representative.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1844. AUTHORIZED MEDICATION

Regular Board Meeting
September 28, 2017

1844. Authorized Medication.

Consistent with the intent of these rules, drug substances and medications authorized by the Board for use may be administered to safeguard the health of the horse entered to race provided that:

(a) No person shall administer a drug substance to any horse entered to race except upon authorization of the official veterinarian in conformance with these rules.

(b) No drug substance, other than authorized bleeder medication, shall be administered to a horse entered to race within 24 hours of the race in which entered.

(c) Not more than one approved non-steroidal anti-inflammatory drug substance (NSAID) may be administered to a horse that is entered to race and shall be only one of the following authorized drug substances:

(1) Phenylbutazone in a dosage amount that the test sample shall contain not more than 2 micrograms of the drug substance per milliliter of blood plasma or serum.

(2) Flunixin in a dosage amount that the test sample shall contain not more than 20 nanograms of the drug substance per milliliter of blood plasma or serum.

(3) Ketoprofen in a dosage amount that the test sample shall contain not more than 2 nanograms of the drug substance per milliliter of blood plasma or serum.

(4) Metabolites or analogues of approved NSAIDs may be present in post race test

samples.

(d) If the official chemist reports that a blood test sample contains an authorized NSAID in excess of the limit for that drug substance under this rule, the official veterinarian shall, in conjunction with the veterinarian who administered or prescribed the authorized drug substance, establish a dosage amount or time of administration of the drug substance that will comply with the limits under this rule; or the official veterinarian may, if in his/her judgment no such reduced dosage amount or amendment to time of administration will result in a test sample level within the limits of this rule, withdraw authorization for the use of any one NSAID.

(e) Official urine test samples may contain one of the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels:

- (1) Acepromazine; 10 nanograms per milliliter
- (2) Mepivacaine; 10 nanograms per milliliter
- (3) Albuterol; 1 nanograms per milliliter
- (4) Procaine; 25 nanograms per milliliter
- (5) Salicylates; 750 micrograms per milliliter
- (6) Clenbuterol; 140 picograms per milliliter, except for any horse participating in a quarter horses race for which no level of clenbuterol is authorized.
- (7) ~~Omeprazole~~ Detomidine; ~~12~~ 12 nanograms per milliliter
- (8) Nandrolone; 1 nanograms per milliliter for geldings, fillies and mares; 45 nanograms for males other than geldings.
- (9) Boldenone; 15 nanograms per milliliter in males other than geldings.
- (10) Testosterone; 20 nanograms per milliliter in geldings.

(A) Testosterone at any level in males other than geldings is not a violation of this regulation.

(11) Testosterone; 55 nanograms per milliliter in fillies or mares (unless in foal)

(12) Butorphanol 300 nanograms per milliliter

(f) Official blood test samples may contain the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels in serum or plasma:

(1) Bethamethasone; 10 picograms per milliliter

(2) Dantrolene; 100 picograms per milliliter

(3) Detomidine; 1 nanogram per milliliter

(4) Dexamethasone; 5 picograms per milliliter

(5) Diclofenac; 5 nanograms per milliliter

(6) Dimethylsulfoxide (DMSO); 10 micrograms per milliliter

(7) Firocoxib; 20 nanograms per milliliter

(8) Lidocaine; 20 picograms per milliliter

(9) Methocarbamol; 1 nanogram per milliliter

(10) Methylprednisolone; 100 picograms per milliliter

(11) Glycopyrrolate; 3 picograms per milliliter

(12) Prednisolone; 1 nanogram per milliliter

(13) Triamcinolone Acetonide; 100 picograms per milliliter

(14) Xylazine; ~~10-200~~ picograms per milliliter of serum or plasma

(15) Butorphanol; 2 nanograms per milliliter

(16) Isoflupredone; 100 picograms per milliliter

(17) Cetirizine; 6 nanograms per milliliter

(18) Cimetidine; 400 nanograms per milliliter

(19) Guaifenesin; 12 nanograms per milliliter

(20) Omeprazole; 10 nanograms per milliliter

(21) Ranitidine; 40 nanograms per milliliter

(g) Official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)(1)-(12) to (6) and (e)(8) to (11).

(h) Procaine, following administration of procaine penicillin, is an authorized medication provided:

(1) Official blood test samples shall not contain any procaine, or its metabolites or analogs in excess of 25 nanograms per milliliter.

(2) all procaine penicillin administrations have been reported pursuant to Rule 1842 of this division,

(3) procaine penicillin was not administered after entry to race,

(4) the horse was under surveillance for a minimum of six hours prior to racing.

(i) All expenses related to surveillance and testing for procaine under subsection (h) of this regulation shall be paid by the owner of the horse.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580 and 19581,
Business and Professions Code.



Pacific Coast Quarter Horse Racing Association

July 24, 2017

Philip Laird, Staff Counsel
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Philip,

I am writing this letter on behalf of the Pacific Coast Quarter Horse Racing Association (PCQHRA) Board of Directors. As the statutory designated representative of racing quarter horse horsemen and women of California we strongly support the amendment to CHRB Rule 1844(e)(6) Authorized Medications

The PCQHRA Board feels these amendments are extremely important in making sure that the quarter horse racing industry continues on the path of fair and clean racing. We have seen great support from horsemen around the country and want to continue with this trend. Our futurities and derbies have increased in nominations and the support from these amendments will continue to benefit quarter horse racing in California.

If you have any comments or questions please feel free to contact me.

Sincerely,

Dominic Alessio
President

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE, TO PROVIDE THAT A HORSE THAT RECEIVES AN INTRA-ARTICULAR INJECTION (GLUCOCORTICOSTEROID/CORTISONE) IS INELIGIBLE TO RACE FOR SEVEN (7) DAYS (168 HOURS) AFTER THE TREATMENT.

Regular Board Meeting
September 28, 2017

BACKGROUND

After a number of fatalities at Aqueduct Racecourse during their 2011-2012 fall-winter meet, New York Governor Andrew Cuomo established the New York Task Force on Racehorse Health and Safety. After thoroughly reviewing the deaths at Aqueduct, the task force made a number of recommendations,¹ including enhanced restrictions on the use of intra-articular corticosteroids. Specifically, the recommendations included new trainer reporting requirements to owners of recently-claimed horses and to the Stewards. Additionally, the prohibition of racing after an intra-articular corticosteroid injection was extended from five days to seven days.² Since that report, at least one scientific study in Australia has found that intra-articular corticosteroids are associated with musculoskeletal injuries.³

ANALYSIS

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof.

1. New York Task Force on Racehorse Health and Safety. (2012) accessed January, 2017 at: <http://www.governor.ny.gov/sites/governor.ny.gov/files/archive/assets/documents/Report.pdf> (p. 87).
2. New York Gaming Commission Rule 4043.2, Restricted use of drugs, medication and other substances, states that a horse may not race “for at least seven days following a joint injection of a corticosteroid; and the following corticosteroids may be administered only by means of a joint injection: betamethasone, isoflupredone, any formulation of methylprednisolone and any formulation of triamcinolone.”
3. Whitton, R.C., Jackson, M.A., Campbell, G.A., Anderson, T.D., Parkin, T.D., Morton, J.M., Boden, L.A., 2014. Musculoskeletal rates in Thoroughbred racehorses following local corticosteroid injection. *The Veterinary Journal* 200: 71-76 (2014)

The proposed amendment to CHRB Rule 1588, Horse Ineligible to Start in a Race, would make any horse that has receives an intra-articular injection within seven days of a race ineligible to participate. Such a prohibition is consistent with the recommendations of the New York Task Force on Racehorse Health and Safety, and is intended to further safeguard the health and safety of those horses participating in California races.

The proposed amendment was considered by the Medication, Safety and Welfare Committee at its August 23, 2017 meeting. At that meeting, the Committee voted to send the proposal to the full Board.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1588. HORSE INELIGIBLE TO START IN A RACE.

1588. Horse Ineligible to Start in a Race.

In addition to any other valid ground or reason, a horse is ineligible to start in any race:

(a) if such horse is not registered by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(b) if the parentage verification to both the sire and the dam of all horses foaled in 1992 and thereafter has not been certified by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(c) if, unless the stewards permit otherwise, the certificate of foal registration, eligibility papers, or other registration issued by the official registry for such horse is not on file with the racing secretary at the time of entry;

(d) if such horse has been entered or raced at any recognized race meeting under any name or designation other than the name or designation duly assigned by and registered with the official registry;

(e) if the certificate of foal registration, eligibility papers or other registration issued by the official registry has been altered, erased, or forged;

(f) if the identification markings of the horse do not agree with the identification markings as set forth in the registration of such horse;

10-4

(g) unless he is eligible to enter said race and is duly entered for such race;

(h) when such horse is owned in whole or in part by an unlicensed person or is in the care of an unlicensed trainer;

(i) when such horse is on the Steward's List, the Starter's List or the Veterinarian's List;

(j) when, except with prior approval of the stewards for good cause, such horse is on the Veterinarian's List in another racing jurisdiction. Good cause includes:

(1) unforeseen administrative issues in removing the horse from the Veterinarian's List of another racing jurisdiction;

(2) the location of the horse prevents it from being evaluated by the official veterinarian of another racing jurisdiction and cleared from that jurisdiction's Veterinarian's List, and the horse is approved to race by a California official veterinarian; or

(3) any other unforeseen event or reason that would prevent a horse that would otherwise not be on a Veterinarian's List from being cleared from the Veterinarian's List of another racing jurisdiction.

(k) when, except with prior approval of the stewards, such horse has not been on the grounds of the association or its approved auxiliary stable area for at least 24 hours prior to the time the race is to be run.

(l) when such horse has received an intra-articular injection within the previous seven days (168 hours) prior to scheduled post-time.

NOTE: Authority cited: Sections 19440 and 19562, Business and Professions Code. Reference: Sections 19440 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

SEPTEMBER 28, 2017
REGULAR BOARD MEETING

There is no board package material for Item 11

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT TO
CHRB RULE 1663, ENTRY OF CLAIMED HORSE,
TO PROVIDE THAT A HORSE CLAIMED OUT OF A CLAIMING RACE
IS NOT ELIGIBLE TO RACE IN ANY STATE OTHER THAN CALIFORNIA
UNTIL 60 DAYS AFTER THE CLOSE OF THE MEETING FROM WHERE IT WAS
CLAIMED EXCEPT IN A STAKES RACE

Regular Board Meeting
September 28, 2017

PROBLEM

Board Rule 1663 provides that a horse claimed out of a claiming race may immediately run back at any racing association in California. If the horse won the race from which it was claimed, and it is entered in another claiming race within 25 days, it must run for 25 percent more than the amount for which it was claimed. Horses that were not winners of the races from which they were claimed must start in claiming races for at least the same amount at which they were claimed. The rule also provides that a claimed horse may be removed from the grounds of the association from which it was claimed for non-racing purposes. The regulation does not prohibit the new owner from immediately shipping the claimed horse to another racing jurisdiction, which many within the industry believe has a detrimental effect on horse inventory, and contributes to short fields. Rule 1663 was last amended in 2012 to eliminate the 60-day "jail time" for horses claimed in California. The amendment was the result of potential legal problems regarding the jail time. However, recent court decisions in Kentucky have essentially affirmed that state's regulation, which provides that unless the stewards grant permission for a claimed horse to enter and start at an overlapping or conflicting meeting in Kentucky, a horse shall not race elsewhere until the close of entries of the meeting at which it was claimed. The Kentucky rulings have resulted in a proposal to amend Board Rule 1663 to provide for a 60-day jail time for horses claimed in California.

ANALYSIS

The proposed amendment to Rule 1663, which adds a new subsection 1663(c) to provide that a horse claimed out of a claiming race is not eligible to race in any state other than California until 60 days after the close of the meeting from where it was claimed except in a stakes race, was discussed at the July 2017 Regular Board meeting. At that time, interested parties stated that although they supported the concept, California's horse racing industry had changed, and the proposed text did not appear to address the industry's new paradigm. The Board determined it would send the item to the Legislative, Legal and Regulations Committee for further discussion.

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in California and over all persons or things having to do with such meetings is vested in the California Horse Racing Board (Board). Business and Professions Code section 19440 states

responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES
PROPOSED AMENDMENT OF
RULE 1663. ENTRY OF CLAIMED HORSE

Regular Board Meeting
September 28, 2017

1663. Entry of Claimed Horse.

(a) A horse claimed out of a claiming race is eligible to race at any racing association in California immediately after being claimed.

(b) If a claimed horse is entered in a claiming race within 25 days of being claimed:

(1) The horse that won the claiming race from which it was claimed is not eligible to start in a claiming race for less than 25 percent more than the amount for which it was claimed.

(2) A horse that did not win the claiming race from which it was claimed is not eligible to start in a claiming for less than the amount for which it was claimed.

(c) A horse claimed out of a claiming race is not eligible to race in any state other than California until 60 days after the close of the meeting from where it was claimed except in a stakes race.

(ed) A claimed horse may be removed from the grounds of the association where it was claimed for non-racing purposes.

(~~de~~) The provisions of subsection (a) of this rule do not apply to standardbred horses.

Authority: Sections 19420, and 19440,
Business and Professions Code.

Reference: Sections 19562,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES
PROPOSED AMENDMENT OF
RULE 1663. ENTRY OF CLAIMED HORSE

Legislative, Legal and Regulations Committee
September 27, 2017

1663. Entry of Claimed Horse.

(a) A horse claimed out of a claiming race is eligible to race at any racing association in California immediately after being claimed.

(b) If a claimed horse is entered in a claiming race within 25 days of being claimed:

(1) The horse that won the claiming race from which it was claimed is not eligible to start in a claiming race for less than 25 percent more than the amount for which it was claimed.

(2) A horse that did not win the claiming race from which it was claimed is not eligible to start in a claiming for less than the amount for which it was claimed.

(c) A horse claimed out of a claiming race is not eligible to race in any state other than California until 60 days after the close of the meeting from where it was claimed except in a stakes race.

(~~e~~) A claimed horse may be removed from the grounds of the association where it was claimed for non-racing purposes.

(~~e~~) The provisions of subsection (a) of this rule do not apply to standardbred horses.

Authority: Sections 19420, and 19440,
Business and Professions Code.

Reference: Sections 19562,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

SEPTEMBER 28, 2017
REGULAR BOARD MEETING

There is no board package material for Item 13

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING RACING CALENDAR PROPOSALS FOR
SOUTHERN AND NORTHERN CALIFORNIA
AND THE ALLOCATION OF RACE DATES

Regular Board Meeting
September 28, 2017

ISSUE

In preparation for the May 24, 2017 Race Dates Committee meeting, the industry was asked to submit proposed racing calendars for 2018. Proposals were received from: California Authority of Racing Fairs (CARF); Sonoma County Fair (Santa Rosa); Golden Gate Fields; Watch and Wager LLC at Cal Expo and Los Alamitos (thoroughbred).

However, at that meeting rather than focus on specific race date allocation at the present time, the Committee solicited a broader discussion from stakeholders and other interested parties addressing the industry's continued challenge of providing full fields and carding attractive race cards for the wagering public. The Committee at this July 21, 2017 meeting continued its discussion regarding racing calendar proposals for southern and northern California.

In preparation for the September Race Dates Committee meeting, the industry was notified that staff was in receipt proposals that were submitted for the May 24, 2017 meeting of the Committee, and additional information concerning 2018 proposals, including new or amended proposals would be accepted. Subsequent to this notification, an amended proposal was submitted by the Sonoma County Fair (Santa Rosa).

RECOMMENDATION

This item is presented to the Board for discussion and action.

2018 proposals received for the May 24th meeting are as follows:

California Authority of Racing Fairs (CARF)

Alameda County Fair - Oak Tree at Pleasanton: June 13 - July 10

California State Fair: July 11 – July 31

Humboldt County Fair: August 15 - August 28

CARF submits the following requests on behalf of the Humboldt Fair

- Race without overlap;
- Maintain traditional August dates until the 2017 overlapped Labor Day weekend results can be evaluated.
- Work with Sonoma County Fair to accommodate their race meeting.

Alameda County Fair (Oak Tree at Pleasanton Fall): September 19 - October 2

Big Fresno Fair: October 3 - October 16

Sonoma County Fair (Santa Rosa)

Alameda County Fair - (Oak Tree at Pleasanton): June 20 - July 10

California State Fair: July 11 - July 24

Santa Rosa: July 25 - August 14

Humboldt: August 15- August 28

Sonoma County Fair (Santa Rosa) (Alternative Proposal Submitted September 2017)

Alameda County Fair - (Oak Tree at Pleasanton): June 20 - July 10

Santa Rosa: July 11 - July 31

California State Fair: August 1 - August 14

Humboldt: August 15- August 28

Golden Gate Fields

Golden Gate Fields: December 20, 2017 - June 19, 2018

(Fairs): June 20 – August 21

Golden Gate Fields: August 22 - October 2

(Fairs): October 3 – October 16

Big Fresno Fair: October 4 - October 17

Golden Gate Fields: October 17 - December 18

HARNESS

WATCH AND WAGER LLC AT CAL EXPO

December 26 - May 12

October 20 - December 23

Watch and Wager anticipate racing on Friday, Saturday with the exception of Tuesday evening, December 26, 2017.

THOUGHTBRED RACING AT LOS ALAMITOS

Los Alamitos Race Course

Los Alamitos facility respectfully requests the allocation of five (5) weeks of thoroughbred racing and three (3) weeks of fair racing.



a California joint powers agency

1776 Tribute Road, Suite 205
Sacramento, CA 95815
Office: 916.927.7223 Fax: 916.263.3341
www.calfairs.com

May 11, 2017

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Dear Mr. Baedeker,

As requested by Board staff, the California Authority of Racing Fairs respectfully submits the attached proposals for Northern California racing dates at CARF member Fairs in 2018. Horse racing is conducted at the CARF member Fairs shown below.

- Oak Tree at Pleasanton (Summer)
- California State Fair
- Humboldt County Fair
- Oak Tree at Pleasanton (Fall)
- The Big Fresno Fair

Thank you for your consideration in this matter.

Respectfully submitted,

Larry Swartzlander
Interim Executive Director

Cc: CARF Racing Fair CEO's

CALIFORNIA AUTHORITY OF RACING FAIRS
Proposed Racing Dates for CARF Member Fairs in Northern California
2018

2018 DATES:

- June 13 – July 10
 - Alameda County Fair - Oak Tree at Pleasanton Summer Meet
- July 11 – 31
 - California State Fair
- August 15 - 28
 - Humboldt County Fair
 - CARF respectfully submits the following requests on behalf of the Humboldt County Fair:
 - Race without overlap.
 - Maintain traditional August dates until the 2017 overlapped Labor Day weekend results can be evaluated.
 - Work with the Sonoma County Fair to accommodate their race meet.
- September 19 – October 2
 - Alameda County Fair - Oak Tree at Pleasanton Fall Meet (formerly Stockton)
- October 3 – 16
 - The Big Fresno Fair

Please note that this proposed schedule shows inclusive calendar blocks proposed for each Fair. Specific racing dates may vary within those proposed blocks. Date ranges reflect Host status unless otherwise noted.

2018 CARF Member Fair Preferred Hosting Dates

14-6

2017

December						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

RACE DAYS TBD

Oak Tree at Pleasanton Summer	-
Cal Expo	-
Humboldt*	-
Oak Tree at Pleasanton Fall	-
Fresno	-
Total CARF Member Fair Days	

2018

January						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

February						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28			

March						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

April						
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1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

May						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

June						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

July						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

August						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

September						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

October						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

November						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

December						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

* CARF requests Humboldt race unoverlapped.

CARF requests Humboldt maintain their traditional August dates until the 2017 overlapped Labor Day weekend results can be evaluated.
 CARF and Humboldt will work with the Sonoma County Fair to accommodate their race meet.



14-7

1350 Bennett Valley Road
Santa Rosa, CA 95404
p: (707) 545-4200
f: (707) 573-9342
sonomacountyfair.com

September 12, 2017

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Dear Mr. Baedeker,

The enclosure with this letter is Sonoma County Fair's (Wine Country Racing) submittal for the proposed 2018 horse racing dates. This submittal includes a replica of the dates submitted in May of this year as well as an alternative proposal.

As you are aware the Sonoma County Fair's horse race meet saw a significant decline in handle from 2016. Although the Chrims report shows the attendance at our meet being up over 2016 our paid reserve seats for the races were down over 2,500. The reserved seats are where we see our more knowledgeable betters whose participation result in higher handles. We strongly believe this decline is due to the shift of our traditional dates in July to all being run in August. The last week of racing overlapped with the start of the school year where we saw many of our regular patrons (teachers, aids etc.) back at work.

The proposal we submitted in May for 2018 would have the Sonoma County Fair race meet begin the last week in July and end during the second week in August. This mimics the dates we were allotted in 2016. We strongly believe these dates are much better for the industry as well as for the Sonoma County Fair.

As an alternative we have presented a proposal that has the Sonoma County Fair running immediately after the Alameda County Fair with the State Fair following. Strategically the swap of race dates between the Sonoma County Fair and the State Fair provides for a more even distribution of opportunities for our turf horses. As currently exists there is no turf racing for eight weeks in the north during the summer with the State Fair following The Alameda County Fair. Additionally we believe

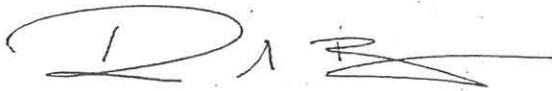
At the Fairgrounds:
Golf Course
RV Park
Jockey Club
Wine Country Racing
Fair Foundation

1350 Bennett Valley Road
Santa Rosa, CA 95404
p: (707) 545-4200
f: (707) 573-9342
sonomacountyfair.com

that this change would increase the field sizes of our turf races, increase the betting handle and purses.

We appreciate the Commissioner's consideration of these proposals and look forward to the Date's Committee meeting on September 27.

Best Regards,

A handwritten signature in black ink, appearing to be 'Rebecca Bartling', written over a horizontal line.

Rebecca Bartling
Chief Executive Officer
Sonoma County Fair
Wine Country Racing

CC: Sonoma County Fair Board of Directors

At the Fairgrounds:
Golf Course
RV Park
Jockey Club
Wine Country Racing
Fair Foundation

Santa Rosa - 2018 Proposed Racing Dates

LIVE RACE DAYS TBD (Previously submitted in May 2017)

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
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September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

Pleasanton	3 weeks
Sacramento	2 weeks

Santa Rosa	3 weeks
Ferndale	2 weeks

Santa Rosa - 2018 Alternate Proposal for Racing Dates

LIVE RACE DAYS TBD

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

Pleasanton	3 weeks
Santa Rosa	3 weeks
Sacramento	2 weeks
Colusa	2 weeks
Female overlap with Golden Gate	



14-11

1350 Bennett Valley Road
Santa Rosa, CA 95404
p: (707) 545-4200
f: (707) 573-9342
sonomacountyfair.com

May 15, 2017

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Dear Mr. Baedeker,

The enclosure with this letter is Santa Rosa's (Sonoma County Fair's) submittal for the proposed 2018 horse racing dates.

As expressed in an earlier letter we are deeply concerned regarding the California Horse Racing Board setting the 2018 Northern California horse racing dates until after the Santa Rosa meet is complete. As there has not been an opportunity to evaluate the success of the 2017 meet and the impacts of the significant move the Sonoma County Fair is making in 2017, we strongly believe it is premature to determine any dates for 2018. However, we appreciate your effort to start the conversation and as such have included our proposed Fair racing dates for the summer of 2018.

Best Regards,

Rebecca Bartling
Chief Executive Officer
Sonoma County Fair
Wine Country Racing

At the Fairgrounds:
Golf Course
RV Park
Jockey Club
Wine Country Racing
Fair Foundation

Santa Rosa - 2018 Proposed Racing Dates

LIVE RACE DAYS TBD

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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29	30	31				

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

Pleasanton	3 weeks
Sacramento	2 weeks

Santa Rosa	3 weeks
Ferndale	2 weeks

2018 Northern California Race Dates - Golden Gate Field Proposal

GGF - December 20, 2017 through June 19, 2018

Fairs - June 20 through August 21, 2018

GGF - August 22 through October 2, 2018

Fairs - October 3 through October 16, 2018

GGF - October 17 through December 18, 2018

Total GGF Race Dates
156

December 2017						
Su	Mo	Tu	We	Th	Fr	Sa
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						5

January						
Su	Mo	Tu	We	Th	Fr	Sa
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21	22	23	24	25	26	27
28	29	30	31			17

February						
Su	Mo	Tu	We	Th	Fr	Sa
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11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28			16

March						
Su	Mo	Tu	We	Th	Fr	Sa
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11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
						19

April						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					35

May						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		17

June						
Su	Mo	Tu	We	Th	Fr	Sa
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3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
					11	

July						
Su	Mo	Tu	We	Th	Fr	Sa
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8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

August						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
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12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
						6

September						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						18

October						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
						8

November						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	
						18

December						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					6

Operating Harness Racing at Cal Expo
Managed By Golden Bear Racing, LLC

Jackie Wagner
Asst. Executive Director
California Horse Racing Board
1010 Hurley Avenue #210
Sacramento, CA 95825

May 11th, 2017

Re: 2018 Harness Racing Dates Allocation Request

Dear Jackie,

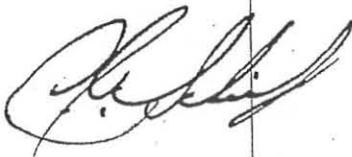
Watch and Wager LLC, operator of Cal Expo harness racing, would like to request the following 2018 race dates. Our split meet request will be almost identical to our 2017 racing schedule.

- December 26th, 2017 through May 12th, 2018 for the Winter/ Spring meeting.
- October 20th, 2018 through December 23rd, 2018 for the Fall meeting.

Racing will be conducted on a Friday and Saturday evening schedule. One exception will be Tuesday December 26, 2017.

We thank the Board for consideration of our 2018 date request.

Sincerely,



Christopher J. Schick

Wagner, Jacqueline

From: jack liebau
Sent: Friday, May 12, 2017 10:18 AM
To: Wagner, Jacqueline
Cc: Baedeker, Rick M; Cathy Monji
Subject: 2018 Allocation of Racing Dates--Los Alamitos Facility

Jacqueline Wagner
Assistant Executive Director

For 2018, the Los Alamitos Facility respectfully requests the allocation of five (5) weeks of Thoroughbred Racing and three (3) weeks of Fair Racing.

Jack Liebau