

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300
Sacramento, CA 95825
www.chrb.ca.gov
(916) 263-6000 Fax (916) 263-6042



REGULAR MEETING

of the **California Horse Racing Board** will be held **Thursday, October 25, 2018**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of September 27, 2018.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Horse Racing Association (T) at Los Alamitos Race Course**, commencing December 5, 2018 through December 18, 2018, inclusive.
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club (T) at Santa Anita Park Race Track**, commencing December 19, 2018 through June 25, 2019, inclusive. (Note: Opening Day is December 26, 2018.)
6. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing December 19, 2018 through June 11, 2019, inclusive. (Note: Opening Day is December 26, 2018.)
7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Quarter Horse Racing Association (QH) at Los Alamitos Race Course**, commencing December 26, 2018 through December 17, 2019, inclusive.

8. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1467, Paymaster of Purses**, to include trainer and jockey purse deductions, from any thoroughbred race conducted at a thoroughbred or fair race meeting, to be contributed to the California Retirement Management Account (CARMA), a charitable trust fund maintained by CARMA, a non-profit organization. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
9. Report from the **Medication, Safety and Welfare Committee**.
10. Discussion and action by the Board regarding the **proposal to suspend the authorized administration of clenbuterol for all horses participating in a horse race for 12 months pursuant to CHRB Rule 1844.1, Suspension of Authorized Medication**.
11. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1844, Authorized Medication, and proposed amendment to CHRB Rule 1866.1, Presence of Clenbuterol in Quarter Horses**, to prohibit the presence of clenbuterol in a horse test sample and to change the title of CHRB Rule 1866.1 to Presence of Clenbuterol in Horses.
12. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1588, Horse Ineligible to Start in a Race**, to provide that a horse that 1) has not started in a race for 12 months or more from its previous start or 2) a first-time starter, four (4) years of age or older, is ineligible to start in a race until such horse has performed satisfactorily in a workout or qualifying race as described in CHRB Rule 1866(e) and (f).
13. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Madeline Auerbach, Vice Chairman
Jesse H. Choper, Member
Fredric Maas, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

PROCEEDINGS of the Regular Meeting of the California Horse Racing Board held at the Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California, on September 27, 2018.

Present: Chuck Winner, Chairman
Madeline Auerbach, Vice-Chairman
Jesse Choper, Member
Fredric Maas, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
John McDonough, General Counsel

APPROVAL OF THE MINUTES OF AUGUST 23, 2018.

Chairman Winner asked for approval of the minutes of the Regular Meeting of August 23, 2018.

Commissioner Choper **motioned** to approve the minutes. Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Solis, Winner, Auerbach, Choper.

Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker stated that about a month ago he was contacted about a rumor that a test from a CHRB licensed facility had been sent to the Maddy Lab and the results were positive, but the lab could not identify the substance and would not release the results. He said he checked with Dr. Rick Arthur, CHRB Equine Medical Director, who said there was no such record of any tests coming back positive with an unknown drug. Executive Director Baedeker stated CHRB later learned there was such a test, but it did not come from the CHRB. It had been sent from a licensee to the Maddy Lab, which reported back to the individual that it was clenbuterol. He said even if the test had revealed a performance-enhancing drug, CHRB would not have been able to take any action because there was no chain of custody. Any complaint that might have been

1-2 Proceedings of the Regular Meeting of September 27, 2018

filed would have been defeated immediately because there was no proof of where the substance came from or who might have handled it. He said anyone could fill a vile full of a prohibited substance, send it to the Maddy Lab and claim it came from someone else's barn. He stated if anyone saw anything suspicious to call a CHRB investigator. He said an investigator could be reached either directly, by calling a safety steward, or by calling the Board of Stewards. For example, if a safety steward came upon something suspicious or knew it was a violation, he would take pictures of the location, call the investigator, and wait for the investigator to arrive. If a complaint was filed, it could hold up whether it was before the Board of Stewards, a hearing officer, or in court. He stated for August 2018, CHRB conducted 149 out-of-competition tests. CHRB collected 870 post-race paired blood and urine tests; 105 post-race, blood-only tests for claimed horses; 48 samples from horses having worked coming off the veterinarian's list; 48 hair samples; and 1,548 TC02 tests. He said CHRB recently conducted out-of-competition testing at San Luis Rey Downs, which included 13 barn searches. He stated CHRB conducted at least three times as many out-of-competition tests as any other state in the country. Executive Director Baedeker said August 2018 daytime handle increased 11.7 percent with one more race day compared to 2017. The nighttime handle increased 12.6 percent with one more race day compared to 2017, with an overall increase of 11.77 percent. He stated year-to-date daytime handle increased 7.7 percent and nighttime handle increased just under 4 percent, with an overall increase of 7.36 percent. He said several individual meets concluded over the summer. The Sonoma County Fair had 8 days versus 11 in 2017. The average daily handle increased 1.5 percent, and the number of starters increased from 6.5 to 6.6. The Humboldt County Fair (HCF) had the same number of days as its 2017 meet but had one week un-overlapped compared to 2017. This resulted in HCF's average handle increasing from \$647,000 in 2017 to \$1.467 million in 2018, an increase of 126

percent. HCF's average starters per race decreased from 5.6 to 5.4. The Del Mar Thoroughbred Club had the same number of days as its 2017 meet. The average daily handle was up 6.7 percent, and its number of starters per race increased from 8.58 to 8.94.

PUBLIC COMMENT

John Valenzuela, Pari-Mutuel Employees Guild—Local 280 (Local 280), stated that Local 280 reached a tentative agreement with Golden Gate Fields and Santa Anita. Vice-Chairman Auerbach asked what the length of the agreement was. Mr. Valenzuela said it would be a two-year agreement that would expire June or July 2020. Executive Director Rick Baedeker asked if there were other agreements with the same term. Mr. Valenzuela said no. He stated that the agreement with Northern California Off-Track Wagering, Inc., Southern California Off-Track Wagering, Inc., and the satellite wagering facilities was a 14-month agreement that would expire September 24, 2019.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION, FROM ITS 2017 RACE MEETING, IN THE AMOUNT OF \$24,394, TO FIVE BENEFICIARIES.

Rick English, Los Alamitos Quarter Horse Racing Association (LAQHRA), stated the LAQHRA distribution of race day charity proceeds provided for distribution to five equine-related charities. Vice-Chairman Auerbach said she was recusing herself from voting on the item. Commissioner Maas **motioned** to approve the distribution of race day charity proceeds in the amount of \$24,394 to five beneficiaries. Commissioner Solis **seconded** the motion, which **carried** four to zero with one abstention. Roll Call Vote: Aye: Maas, Solis, Winner, Choper. Nay: None. Abstain: Auerbach. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ALAMITOS RACING ASSOCIATION, FROM ITS 2017 RACE MEETINGS, IN THE AMOUNT OF \$7,928, TO FOUR BENEFICIARIES.

Rick English, Los Alamitos Racing Association (LARA), stated the LARA distribution of race day charity proceeds provided for distribution to four equine-related charities. Vice-Chairman Auerbach said she was recusing herself from voting on the item. Commissioner Maas **motioned** to approve the distribution of race day charity proceeds in the amount of \$7,928 to four beneficiaries. Commissioner Choper **seconded** the motion, which **carried** four to zero with one abstention. Roll Call Vote: Aye: Maas, Solis, Winner, Choper. Nay: None. Abstain: Auerbach. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE FROM THE PACIFIC COAST QUARTER HORSE RACING ASSOCIATION (PCQHRA) ON THE 14TH ANNUAL LOS ALAMITOS EQUINE QUARTER HORSE YEARLING AND MIXED STOCK SALE AND ITS REQUEST FOR AUTHORIZATION OF THE SALE, PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES.

Dino Perez, Pacific Coast Quarter Horse Racing Association (PCQHRA), stated PCQHRA managed the Los Alamitos Equine Sale for Dr. Allred and his partners. He said the sale would be held October 6 - 7, 2018 with a little over 300 consignments; 90 percent yearlings and 30 percent mares. Executive Director Rick Baedeker stated the CHRB began the process of having the sales operators come before the Board to formally give their plans for upcoming sales several years ago. He said he did not know if it was publicly known that out-of-competition testing was done on horses that were subject to sale, per CHRB's judgement, since the sales were conducted in CHRB inclosures. Vice-Chairman Auerbach asked if it was a mixed sale. Mr. Perez said yes. Vice-Chairman Auerbach asked how many horses would be presented this year. He said 333. She asked

if the number of horses remained fairly steady at around 300 each year. He said yes. Vice-Chairman Auerbach **motioned** to approve the PCQHRA equine quarter horse yearling and mixed stock sale. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Maas, Solis, Winner, Auerbach, Choper. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE STATUS OF OUTSTANDING ITEMS FROM THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT GOLDENT GATE FIELDS, COMMENCING OCTOBER 17, 2018 THROUGH DECEMBER 9, 2018, INCLUSIVE, WHICH WAS APPROVED AT THE AUGUST 23, 2018 REGULAR MEETING OF THE BOARD, CONTINGENT UPON THE RECEIPT OF OUTSTANDING ITEMS.

This item was removed from the September 2018 Regular Board Meeting Agenda.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE POTENTIAL REALLOCATION OF 2018 NORTHERN CALIFORNIA RACE DATES.

This item was removed from the September 2018 Regular Board Meeting Agenda.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING NOVEMBER 7, 2018 THROUGH DECEMBER 4, 2018, INCLUSIVE.

Joe Harper, Del Mar Thoroughbred Club (DMTC), said handle was up for the summer 2018 meet, and field sizes were increased. He stated that DMTC's enhanced safety protocols had resulted in safer morning workouts. He said DMTC had done better at scrutinizing the entry booth, and horsemen were more cautious about the horses they entered. David Jerkens, DMTC, stated that the fall 2018 meet would be a 16-day meet with 14 stakes races, nine of which would be graded. He said DTMC's plan was to emphasize its stakes program and turf racing. He stated that DMTC's

Ship and Win Program, which was designed to attract out-of-state horses, would be enhanced with a \$2,000 bonus attached to a horse's first start, plus a 30-percent purse bonus. Tom Robbins, DMTC, said horses would start arriving November 3, 2018. He stated that DMTC expected another 350 to 400 horses on the property and would continue with the program of paying \$100 to a trainer for each start the trainer made. He said there would be a recreation hall in the backside to make it easier for the backside employees to buy food and beverages. Craig Dato, DMTC, stated that locals made up a greater percentage of attendees for the fall meet compared to the summer meet, but DMTC would implement the same strategies, such as multiple promotions every week. Food and beverage discounts would be offered to horsemen and Diamond club members, which worked well in the summer. He said DMTC would continue to shift advertising dollars from print and TV to social media, which allowed for more effective demographic targeting. Josh Rubenstein, DMTC, stated that live racing would begin November 9, 2018 and run through December 2, 2018. Opening week would run Friday through Monday, while the rest of the weeks would run Thursday through Sunday. First post would be 12:30 p.m. every day, except for 11:00 a.m. on Thanksgiving Day. He said there would be two new wagers—a Late Pick 5 and an Early Pick 4. He stated that for the fall 2017 meet, excluding the Breeders' Cup races, handle was up seven percent. Commissioner Solis asked if DMTC had a signed agreement with Pari-Mutuel Employees Guild—Local 280 (Local 280). Mr. Rubenstein said Local 280 had ratified the agreement, which would hopefully be signed in the next couple of weeks. Vice-Chairman Auerbach **motioned** to approve the Del Mar Thoroughbred Club application to conduct a horse racing meeting commencing November 7, 2018 through December 4, 2018. Commissioner Choper **seconded** the motioned, which was **unanimously carried**. Roll call vote: Aye: Maas, Solis, Winner, Auerbach, Choper. Nay: None. Motion carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE**, TO PROVIDE THAT A HORSE THAT RECEIVES AN INTRA-ARTICULAR INJECTION (GLUCOCORTICOSTEROID/CORTISONE) IS INELIGIBLE TO RACE FOR FIVE (5) DAYS (120 HOURS) AFTER THE TREATMENT AND THE **PROPOSED ADDITION OF CHRB RULE 1842.1, ADDITIONAL REPORT FOR INTRA-ARTICULAR TREATMENTS**, TO REQUIRE VETERINARIANS ADMINISTERING MEDICATION OR TREATMENT INTO AN ARTICULAR STRUCTURE OF A HORSE LOCATED WITHIN THE INCLOSURE TO PROVIDE AN INTRA-ARTICULAR TREATMENT RECORD TO THE TRAINER WHO SHALL MAINTAIN THE RECORDS FOR A PERIOD OF ASSISTING WITH PRE-RACE VETERINARY EXAMINATIONS AND OTHER EXAMINATIONS AS REQUIRED BY THE BOARD.

The proposed amendment to Rule 1588, Horse Ineligible to Start in a Race, and the proposed addition of Rule 1842.1, Additional Report for Intra-Articular Treatments. Chairman Winner stated there were no comments during the 45-day comment period. Commissioner Maas **motioned** to approve the amendment to Rule 1588 and the adoption of Rule 1842.1. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Maas, Solis, Winner, Choper. Nay: None. Motion carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS**, TO CORRECT A DISCREPANCY IN THE PHENYL BUTAZONE LEVELS NOTED IN THE CATEGORY "C" PENALTY CHART IN RULE 1843.3.

The proposed amendment to Rule 1843.3, Penalties for Medication Violations, would bring the regulation in line with the Association of Racing Commissioners International Model Rules of racing. Chairman Winner stated there were no comments during the 45-day comment period. Commissioner Solis **motioned** to approve the amendment to Rule 1843.3. Commissioner Maas **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Choper, Winner, Solis, Maas. Nay: None. Motion carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY RIDING FEE**, TO ADJUST THE JOCKEY RIDING FEE SCALE PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19501.

The proposed amendment to Rule 1632, Jockey's Riding Fee, would increase the jockey's riding fee for losing mounts based on the California minimum wage increase that would become effective January 1, 2019. Chairman Winner stated there were no comments during the 45-day comment period. Commissioner Solis **motioned** to approve the amendment to Rule 1632. Commissioner Maas **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Choper, Winner, Solis, Maas. Nay: None. Motion carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1865, ALTERING OF SEX OF HORSE**, TO REQUIRE THAT IF THE PUBLIC IS NOT NOTIFIED OF THE TRUE SEX OF THE HORSE PRIOR TO THE OPENING OF WAGERING, THE STEWARDS SHALL DECLARE THE HORSE FROM THE RACE.

The proposed amendment to Rule 1865, Altering of Sex of Horse, would require that a horse be scratched from the race if the public was not informed of the true sex of the horse prior to the opening of wagering. Chairman Winner stated there were no comments received during the 45-day comment period. Commissioner Choper **motioned** to approve the amendment to Rule 1865. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Maas, Solis, Winner, Choper. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1967.1, POSTING OF FINAL ODDS, TO REQUIRE ANY ASSOCIATION, ADVANCE DEPOSIT WAGERING PROVIDER OR OTHER ENTITY LICENSED BY THE BOARD TO DISTRIBUTE THE AUDIOVISUAL SIGNAL OF ANY CALIFORNIA RACE, TO POST THE FINAL ODDS FOR A RACE ON ALL DISPLAYS WITHIN FIVE SECONDS OF THE CLOSE OF WAGERING.

Dave Payton, AmTote International (AmTote), recommended that the language in the proposed addition of Rule 1967.1, Posting of Final Odds, be changed to state "ten seconds" instead of "five seconds." He stated AmTote worked diligently over the last few months trying to find the optimum time by conducting tests with NYRA and the Maryland Jockey Club. One change that made a tremendous difference was doing updates with all of the odds that were coming in at a ten-second interval up until post time. NYRA at Saratoga did not see any major changes in the odds after the race began because of this change. He said this change would not change the fact that there would always be a lot of money coming in close to the bell. However, changing to ten-second increments seemed to smooth out the changes in the odds when large amounts of money were wagered. He stated AmTote was dealing with the technology of guest systems which had very old display systems that could not keep up, so going faster than ten seconds might be a problem for those older devices. Therefore, ten seconds was found to be optimal. Commissioner Maas asked how a robotic wager was treated and if there was an internal cutoff for when those type of wagers would not be accepted. Mr. Payton said they stopped wagering at the same time as everyone else. He stated those wagers were the ones that put a lot of money in at the last few seconds. Executive Director Rick Baedeker said the problem dated back to 2004 or 2005, and while significant attention was being paid to the problem currently, the purpose of placing the proposed rule on the agenda was to suggest that it go out for the 45-day notice period. He stated a big issue was when people saw the odds change as the horses were going around a far turn on a racetrack; people believed wagering was taking place after a race had started. He said there was also an additional technology

problem. When data was received from the tote system, it had to be translated for the television graphics, and that was where a vast majority of people were seeing the final odds. Elizabeth Morey, Thoroughbred Owners of California (TOC), stated the TOC offered other solutions and did not support the proposal because the technology was not available to meet its target and believed it could have a serious impact on handle. Jack Liebau, Los Alamitos Racing Association, asked if there would be a penalty if the posting of final odds went past five seconds. Executive Director Baedeker said there would be a complaint filed against the association. He stated once the proposal went through the 45-day notice period and the affected parties said it was not possible to meet the requirement of the rule, the Board would take that into consideration and determine if the language should be changed and sent back out for reconsideration. Commissioner Choper **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed addition of Rule 1967.1. Commissioner Maas **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Maas, Solis, Winner, Auerbach, Choper. Nay: None. Motion carried.

REPORT FROM THE STABLING AND VANNING COMMITTEE.

Vice-Chairman Auerbach reported on the Stabling and Vanning Ad Hoc Committee meeting held September 26, 2018. She said the industry agreed that in the north it was best if Golden Gate Fields (GGF) remained open all summer for stabling. She stated that Larry Swartzlander, California Authority of Racing Fairs, objected to the two percent of handle GGF received for keeping its stable area open during the fair season, regardless of how few, if any, horses were vanned from GGF during certain meets. Vice-Chairman Auerbach stated that Scott Daruty, GGF, and Liz Morey, Thoroughbred Owners of California (TOC), offered compensation to Ferndale in exchange for GGF running two overlapped weeks, and an agreement was reached. Mr. Daruty said the

agreement was a race dates and stabling package that involved shifting the race dates at Humboldt. He stated that most of the fair managers were in favor of the deal, and CARF had accepted it. Mr. Daruty said GGF agreed to be open for stabling for summer 2019. He said he offered a discount to Becky Bartling, Sonoma County Fair. Greg Avioli, TOC, stated that TOC's major concern was keeping GGF open throughout the summer as a training facility. He said the TOC Board approved the taking of \$200,000 from TOC's Purse Fund at GGF to contribute to the CARF Consolidated Purse Fund to help offset summer stabling costs.

DISCUSSION AND ACTION BY THE BOARD REGARDING RACING CALENDAR PROPOSALS FOR SOUTHERN AND NORTHERN CALIFORNIA AND THE ALLOCATION OF RACE DATES.

Chairman Winner stated the Board did not place the item on the August Board meeting agenda, so the Board never voted on the Southern California race dates. He said the Race Date Committee (Committee) made a recommendation to the Board with respect to those dates, and he would read the language from what occurred at the Committee meeting held on August 22, 2018. The Committee endorsed the following allocated dates: Los Angeles Turf Club (LATC) at Santa Anita, December 26, 2018 through June 25, 2019; Los Alamitos Race Association (LARA) at Los Alamitos, June 26, 2019 through July 16, 2019; Del Mar Thoroughbred Club (DMTC), July 17, 2019 through September 3, 2019; Los Angeles County Fair at Los Alamitos, September 4, 2019 through September 24, 2019; LATC at Santa Anita, September 25, 2019 through November 5, 2019; DMTC, November 6, 2019 through December 3, 2019; and LARA at Los Alamitos, December 4, 2019 through December 17, 2019. He stated the actual race dates for each race meeting would fall within the allocated dates and would be determined at the time the Board approved the license application. The Committee also supported the allocated race dates request

1-12 Proceedings of the Regular Meeting of September 27, 2018

from the Los Alamitos Quarter Horse Racing Association from December 26, 2018 through December 17, 2019; and Watch and Wager from December 26, 2018 through May 7, 2019, and October 27, 2019 through December 23, 2019. Commissioner Mass **motioned** to approve the Southern California Race dates. Chairman Winner **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Auerbach, Winner, Solis, Maas. Nay: None. Motion Carried. Chairman Winner stated they would now discuss the Northern California race dates. Jim Morgan, Humboldt County Fair (HCF), said he wanted to thank the Board for allowing HCF to have a week without overlap in 2018. HCF had 283 horses on the grounds, attendance was up, on-track handle was up 20 percent, and Advance Deposit Wagering was up 110 percent. He stated HCF's off-track handle was up 674 percent, and overall handle was up 125 percent. He said HCF generated \$218,000 in commissions, which went to overhead costs, and generated \$267,000 in purse money. Last year HCF had a \$60,000 overpayment; this year it had a \$267,000 underpayment. He stated the money went to replenish the California Authority of Racing Fairs consolidated Purse Fund. He said HCF made improvements such as reroofing all the barns and installing new hardware. He stated that for the second year in a row there were no breakdowns, due to HCF's improved track. He said he understood that the industry made more when Golden Gate Fields (GGF) overlapped with HCF; however, if that precedence was to continue, then only GGF, LATC, and DMTC would remain. He stated HCF wanted to survive, but other participants had to be compelled to make sure HCF made up the \$218,000 in commission. If the industry made half-a-million dollars more a week when GGF ran in overlap with HCF, then \$218,000 plus \$250,000 of the half-a-million dollars should be allocated for HCF's contribution. He said any agreement made on race dates should include compensation for the commissions and purse money HCF made in 2018. He stated HCF met the challenges of increased attendance and revenue and

had been a part of the racing industry for 123 years. Vice-Chairman Auerbach said she disagreed with the notion that it was the responsibility of GGF to provide ongoing support to keep the fairs whole. The fairs were an adjunct to racing, not vice versa. The Board's job was to protect racing in the state. While the Board loved the fairs and wanted to see them do well, it was not the Board's job to direct GGF or any other association to put money into the fair circuit. Mr. Morgan stated the mission of the Board was to bring racing to all of California, including the northern region. It was good for racing to bring live racing venues to all corners of the state. He asked what would happen to the \$218,000 in commissions HCF needed to sustain its meet when it had to race with overlap, as GGF made a business decision to not share monies with HCF. Vice-Chairman Auerbach said GGF and TOC made a business decision to not charge HCF for stabling. She stated the fairs had to be self-sustaining, and HCF had one week un-overlapped in 2018 which the Board could not guarantee in subsequent years. She asked if last year the Board had made it clear to HCF that the one week un-overlapped was not precedent setting. Mr. Morgan said yes. Vice-Chairman Auerbach stated the Board was trying to balance all stakeholders' concerns. Elizabeth Morey, TOC, stated there were several statutory distributions for host money to HCF when it ran overlapped with GGF. HCF received a percentage of import ADW handle and an import race card. It also received revenue from all the Off Track Betting locations in Northern California and an additional 0.75 percent on every dollar handled on import races, which came from 50-50 purses and commissions. She said there were several sources of statutory revenue sharing in addition to money the TOC had pledged to help support HCF with overlapped racing in 2019. Becky Bartling, Sonoma County Fair (Sonoma), stated Sonoma's 2019 proposal mimicked its 2016 and 2017 proposals by including two weeks during its fair and a bonus week after its fair. She said she hoped the Board would consider giving Sonoma the three weeks in 2019. Chairman Winner stated

1-14 **Proceedings of the Regular Meeting of September 27, 2018**

Sonoma was offered three weeks in 2018 but only took two weeks. She said that was correct. Sonoma intended to work with an independent carnival operator to construct a midway. However, shortly after the Board allocated Sonoma the three weeks it had requested, Sonoma's board chose to not move forward with the independent carnival operator. The extra week went back to the Cal Expo State Fair. Commissioner Maas asked if any events occurred during the third week. Ms. Bartling said special promotions were offered. Executive Director Baedeker stated that allocation of race dates would begin on the Wednesday before opening day and continue through the Tuesday following closing day, and the Northern California race dates for 2019 would be as follows: Pacific Racing Association (PRA) at Golden Gate Fields, December 26, 2018 through June 9, 2019; Oak Tree at Pleasanton, June 13, 2019 through July 7, 2019; California State Fair at Cal Expo, July 12, 2019 through July 28, 2019; PRA (late summer/fall meet), August 15, 2019 through September 29, 2019; Humboldt County Fair at Ferndale, August 16, 2019 through August 25, 2019; Fresno County Fair at Fresno, October 4, 2019 through October 14, 2019; PRA (fall/winter meet), October 17, 2019 through December 14, 2019. Commissioner Mass **motioned** to approve the Northern California Race dates. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Auerbach, Winner, Solis, Maas. Nay: None. Motion Carried.

MEETING ADJOURNED AT 11:16 A.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

OCTOBER 25, 2018
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

OCTOBER 25, 2018
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS

October 25, 2018

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE COURSE DECEMBER 5, 2018 THROUGH DECEMBER 18, 2018

Los Alamitos Racing Association (LARA) filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course December 5, 2018 through December 18, 2018, or 8 days; 3 less than in 2017. Live racing will commence December 6, 2018 through December 16, 2018. LARA proposes to race a total of 68 races, or 8.5 races per day. In 2017, LARA ran 8.8 races per day with an average of 7.57 runners per race.

- The race dates proposed are the dates allocated:

December - 2018						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- Racing concurrently with Pacific Racing Association October 17, 2018 through December 18, 2018.
- First live post 1:00 p.m. weekdays; 12:30 p.m. weekends.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will start at 8:30 a.m. and end at 10:00 a.m.
 - \$2 Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
 - \$1 Trifecta on eligible races.
 - \$0.10 Superfecta on all qualifying races.
 - \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
 - \$1 Pick n Pool 4 on second race and last 4 races. Alternate runner provision. [Previously \$.50 in 2017].
 - \$0.50 Pick n Pool 5 will be offered starting on the first race. Minimum \$.50 wager, 14% takeout. One tier payoff. 100% carryover.
 - \$1 Pentafecta (Super High Five) in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selective five winners. No consolation 100% carryover if no ticket has five winners.

- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LARA's initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

- Pursuant to Business and Profession Code 19464(b) LARA is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LARA \$100,000 surety bond on file is current.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TwinSpire, TVG and NYRABets, LLC.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Horsemen approval of ADW.
- NYRABets has submitted all documents required in compliance with Business and Professions Code section 19604.

No other agreements have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. Thoroughbred Owners of California race meet agreement.
2. California Thoroughbred Trainers race meet agreement.
3. Pertinent 2018 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Alamitos Racing Association designated Advance Deposit Wagering providers [XpressBet, Twinspires, and TVG] to accept wagers on races conducted in and outside of California for the applied license term.

RECOMMENDATION:

Staff recommends that the application not be heard at the October 25, 2018 Regular Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: F. Jack Liebau, c/o Los Alamitos Racing Association, 4961 Katella Avenue, Los Alamitos, CA 90720 (714) 820-2800, Fax (714) 820-2743. jackliebau@yahoo.com

B. Breed of horse: TB QH H

C. Racetrack name: Los Alamitos Racing Association

C. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. Deposit on file with the CHRB

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars. Bond on file with CHRB

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: December 5, 2018 through December 18, 2018

B. Actual dates racing will be held: 12/6, 12/7, 12/8, 12/9, 12/13, 12/14, 12/15, 12/16

C. Total number of days or nights of racing: 8

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify) Thur through Sun

CHRB CERTIFICATION	
Application received: 9/10/18	Hearing date: 10/25/18
Deposit received: on file	Approved date:
Reviewed: NJS	License number:

E. Number of days or nights of racing per week: 4

3. RACING PROGRAM

A. Total number of races: 68

B. Number of races for each day or night: 8 races on weekdays, 9 on weekends

C. Total number of stakes races: 4

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. Attached

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. Attached

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

List all post times for the daily racing program:

Weekends	Weekdays
Race 1 – 12:30 pm	1:00 pm
Race 2 – 1:00 pm	1:30 pm
Race 3 – 1:30 pm	2:00 pm
Race 4 – 2:00 pm	2:30 pm
Race 5 – 2:30 pm	3:00 pm
Race 6 – 3:00 pm	3:30 pm
Race 7 – 3:30 pm	4:00 pm
Race 8 – 4:00 pm	4:30 pm
Race 9 – 4:30 pm	

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)

Los Alamitos Winter Thoroughbred Meet Stakes Schedules

2018	2017	2016
The Starlet - Gr I \$300,000 Guaranteed 2 yr Fillies 1 1/16	The Starlet Gr I \$300,000 Guaranteed 2 yr old Fillies 1 1/16	The Starlet Gr I \$300,000 Guaranteed 2 yr old Fillies 1 1/16th
Los Alamitos Cash Call Futurity Gr I \$300,000 Guaranteed 2 yr olds 1 1/16	Los Alamitos Cash Call Futurity Gr I \$300,000 Guaranteed 2 yr olds 1 1/16	Los Alamitos Cash Call Futurity Gr I \$300,000 Guaranteed 2 yr olds 1 1/16
Soviet Problem (Cal Bred) \$100,000 Guaranteed 2 yr old Fillies 1 1/16	Soviet Problem Stakes (Cal Bred) \$100,000 Guaranteed 2 yr old Fillies 1 1/16	Soviet Problem Stakes (Cal Bred) \$100,000 Guaranteed 2 yr old Fillies 1 mile
King Glorious (Cal Bred) \$100,000 Guaranteed 2 yr olds 1 mile	Bayakoa Stakes Gr II \$200,000 Guaranteed F&M 3yr & Up 1 1/16	King Glorious Stakes (Cal Bred) \$100,000 Guaranteed 2 yr olds 1 mile
	King Glorious Stakes (Cal Bred) \$100,000 Guaranteed 2 yr olds 1 mile	The Winter Challenge \$180,000 3 yr & Up 1 1/16

**LOS ALAMITOS RACE COURSE
STAKES SCHEDULE**

Closing Thursday, August 30, 2018

Saturday, December 8, 2018 \$300,000 Guaranteed

The Starlet - Grade I
For Fillies Two Year Olds
One Mile And One Sixteenth

Closing Thursday, August 30, 2018

Saturday, December 8, 2018 \$300,000 Guaranteed

Los Alamitos Cash Call Futurity - Grade I
For Two Year Olds
One Mile And One Sixteenth

Closing Saturday, December 8, 2018

Saturday, December 15, 2018 \$100,000 Guaranteed



Soviet Problem Stakes
For Fillies Two Year Olds
One Mile

Closing Saturday, December 8, 2018

Sunday, December 16, 2018 \$100,000 Guaranteed



King Glorious Stakes
For Two Year Olds
One Mile

TYPE	PURSE	X	TYPE 1	PURSE 1
MDN CLM 20,000	\$15,000.00			
MDN CLM 30,000	\$17,000.00		CLM 32000 NWY	\$25,000.00
MDN CLM 40,000	\$18,000.00		CLM 25,000 NW2 LIFETIME	\$22,000.00
MDN CLM 50,000	\$21,000.00		CLM 25,000	\$24,000.00
MDN CLM 75,000	\$26,000.00		SA 25,000	\$25,000.00
MDN ALW	\$40,000.00		CLM 32,000	\$30,000.00
			SA 40,000 NW2 LIFETIME	\$26,000.00
CLM 6,250 NW2 CLAUSE OR YEAR	\$12,000.00		CLM 40,000	\$32,000.00
CLM 6,250	\$13,000.00		CLM 50,000	\$35,000.00
CLM 8,000 NW2 CLAUSE OR YEAR	\$14,000.00		SA 50000 NW2 LIFETIME	\$28,000.00
SA 8000	\$15,000.00		CLM 62,500	\$38,000.00
CLM 8,000	\$15,000.00			
CLM 10,000 NW2 CLAUSE OR YEAR	\$15,000.00			
CLM 10,000	\$16,000.00		ALW 1X OPT/CLM 40-80	\$45,000.00
CLM 12,500 NW2 CLAUSE OR YEAR	\$16,000.00		ALW 2X OPT/CLM 62,500	\$48,000.00
CLM 12,500	\$17,000.00		ALW 3X OPT/CLM 80,000	\$55,000.00
SA 12,500	\$18,000.00		OVERNIGHT STAKE	\$75,000.00
CLM 16,000 NW2 LIFETIME	\$17,000.00		STAKE	\$100,000.00
CLM 16,000	\$18,000.00			
SA 16,000	\$20,000.00			
CL20,000	\$21,000.00			

Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. See Los Alamitos Quarter Horse Racing Association

C. CORPORATION

- 1. Registered name of the corporation: Los Alamitos Racing Association
- 2. State where incorporated: California
- 3. Registry or file number for the corporation: C16500097
- 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
 - Dr. Edward Allred – President, Director 100%
 - Rick English 0%, CFO
 - Tom Seibly 0%, Director
 - Don Valpredo 0%, Director
 - Frank Sherren 0%, Director
 - Cathy Monji 0%, Director
 - Jack Liebau 0%, Director
 - Richard Shapiro 0%, Director
 - Mike Harrington 0%, Director

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: None

6. Number of outstanding shares in the corporation: None

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: n/a

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

Quarter Horse Racing, Inc.

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

- 10. Registered name of the corporation:
- 11. State where incorporated:
- 12. Registry or file number for the corporation:
- 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
- 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
- 15. Number of outstanding shares in the corporation:
- 16. Are the shares listed for public trading? Yes No
- If yes, on what exchange and how is the stock listed:
- 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Current statement on file with CHRB
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C Allred, President, CEO
 F. Jack Liebau, Vice-President
 Rick English, CFO
 Orlando Guitierrez, Director of Publicity & Marketing
 Frank Sherren, Plant Superintendent
 Rick Hughes, Track Superintendent
 Dennis Moore, Track Consultant
 John Ferrero, Director of Mutuels
 Robert Neuman, Track Announcer
 Alex Soltera, Director of Security
 Robert Hurd, Director of Operations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). F. Jack Liebau, 4961 Katella Ave. Los Alamitos, CA 90720. jackliebau@yahoo.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily Double
 Proposed percentage: Pick 5 – 14%, Daily Double 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • www.losalamitos.com

September 4, 2018

Ms. Jackie Wagner
Assistant Executive Director
CALIFORNIA HORSE RACING BOARD
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.1, Los Alamitos Racing Association, after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during the 2018 Los Alamitos Winter Meet, December 5, 2018 through December 18, 2018.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick 5 – A \$.50 minimum wager on the first five race on each day's card subject to a takeout rate of 14%.

Very truly yours,

F. Jack Liebau



September 25, 2018

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: 2018 Los Alamitos Winter Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming Winter race meet from December 6-16, 2018. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

Sincerely,



Greg Avioli
President & CEO

cc: Jack Liebau, Los Alamitos



September 25, 2018

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: 2018 Los Alamitos Winter Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming Winter race meet from December 6-16, 2018. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Jack Liebau, Los Alamitos

Year	Handle	Attendance
2017 11 race days	73,786,346	74,380
2016 8 race days	55,189,054	50,836
2015 12 race days	70,976,636	77,845
2014 12 race days	69,536,671	84,616

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

The current meet includes eight live racing days. The prior year winter meet included 11 live racing days (a 12th day was canceled as a result of the SRLD fire & simulcast only wagering was offered on that day).

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: 1,616,004
 Prior meet actual: 2,217,302

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: 202,001
 Prior meet actual: 201,573

2. Overnight stakes:

Current meet estimate:
 Prior meet actual:

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:
 Prior meet actual:

3. Non-overnight stakes:

Current meet estimate: 566,000
 Prior meet actual: 723,200

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: 70,750
 Prior meet actual: 65,745

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: 2,182,004
 Prior meet actual: 2,940,502

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 20.5%
 Prior meet actual: 15.7%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 2.56%

Prior meet actual: 1.43%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: 190,247
Prior meet actual: 275,357

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate: Prior meet actual:

TOC	17,776	25,332
CTT	26,663	37,998
NTRA	5,990	3,357
Total	50,429	66,687

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: 2,422,680
Prior meet actual: 3,282,546

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: 302,835
Prior meet actual: 298,413

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: 1,349,783
Prior meet actual: 1,922,022

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: 168,723
Prior meet actual: 174,729

- G. Purse funds to be generated from interstate handle:

Current meet estimate: 730,485
Prior meet actual: 1,064,395

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: 91,311
Prior meet actual: 96,763

H. Bank and account number for the Paymaster of Purses' purse account: Bank of the West
4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen & McBeth Inc.

10722 Arrow Route, Suite 110 Rancho Cucamonga, CA 91730

(909) 944-6465 email - craigmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 850
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,300
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita--1,900; San Luis Rey Downs -- 400
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Southern California Off-site Stabling Agreement through 12/31/2018 is on file with CHRB

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$15.18
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita – round trip \$180 San Luis Rey Downs - \$320 round trip

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site during all training hours.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private veterinarians on site during all training hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 0.10 SF	CHRB #1959, 1979, 1957, 1977, 1976.9, 1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$0.50PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 \$0.50PNP6 0.10 SF \$0.50PNP5	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1, 1976.9
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3\$2DD 0.10 SF \$1 Super Hi5	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1, ARCI #004-105Subsection X Pick (n) Position (x) Pools)

- *\$2 Rolling Daily Double. 20% takeout. Placement of last DD will depend on # of races.
- *\$1 Trifecta on all eligible races. (CHRB 1979)
- * \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)
- * Incoming simulcasts will follow host track format.
- * \$2 PNP6 last six live races 70% carryover, 30% minor, alternate runner provision (CHRB 1976.9)
- *\$1 PNP4 starting second race and last four races, alternate runner provision (CHRB 1976.9)
- *\$0.50 early PNP5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover
- *\$0.50 late PNP5 on the last five races. Minimum wager fifty cents, 23.68% takeout. One tier payoff: 100% carryover
- *\$1 Super High 5 in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. Minimum bet for Pick 4 wagers will be \$1 instead of 50 cents
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: Unlimited, final distribution 12/16/2018
- D. List any options requested with regard to exotic wagering:
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
 Each race day from 8:30 am to 10:00 am

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

AmTote. Contract expires August, 2020
 Keith Johnson, 11200 Pepper Rd, Hunt Valley MD 800-345-1566

- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
 (Example) PNP5-14%

Win/Place/Show -15.43%
 DD - 20.00%
 E - 22.68%
 PNP5 - 14% for early P5 on first five races, 23.68% for late P5 on last five races
 Tri/SF/PK3/PK4/PNP6/SuperHi5 - 23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
 XpressBet, TVG, Twin Spires, NYRABets
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. Attached
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
 Southern California Off-Track Wagering Inc. (SCOTWINC)
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
 SCOTWINC agreement is on file with CHRB and is still in effect.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
 Attached



September 25, 2018

Mr. Jack Liebau
 Los Alamitos Racecourse
 4961 Katella
 Los Alamitos, CA 90720

RE: Los Alamitos Race Course (LARC) 2018 Winter Race Meeting ADW Approvals

Dear Jack,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Race Course (LARC) race signals under the terms and conditions set forth below.

Pursuant to Section 30, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and LARC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on LARC and other Thoroughbred races during the 2018 race meeting, December 6 through December 16, 2018, as follows:

1. California wagering on LARC races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on LARC races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

EXHIBIT 12C

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park @ Barretts, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park Casino, Inglewood
Humboldt County Fair, Ferndale
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
Pechanga Resort Casino, Temecula
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
San Mateo Event Center, San Mateo
Santa Anita Park, Aroadia
Santa Clara County Fair, San Jose
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion, The Farmers Fair, Lake Perris
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: Attached
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": Attached

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United

EXHIBIT 12D

AmWest Entertainment, KY	Equus St. Thomas, St. Thomas
Arapahoe Park, Colorado	Emerald Downs, Washington
Arima Race Course, Trinidad	Euro Offtrack, Isle of Man
Arlington International Race Course, Illinois	Evangeline Downs, Louisiana
Assinboia Downs, Canada	Evansville/Clarksville OTB, Indiana
Atlantic City Casino Assn., New Jersey	Fairgrounds ADW, Louisiana
Atlantis Resort and Casino, Bahamas	Fairgrounds Racecourse, Louisiana
Bangor Raceway, Maine	Fair Meadows, Texas
Barrie Raceway, Ontario	Favorites at Gloucester, New Jersey
Batavia Downs, New York	Finger Lakes Bets, NY
Belterra Race Course, OH	Finger Lakes Race Track, New York
Bet America, LLC, North Dakota	Flamboro Downs, Ontario
Bet Fair Games Limited, UK	Fonner Park, Nebraska
Bettor Racing OTB, South Dakota	Fort Erie Racetrack, Canada
Birmingham Race Course, Alabama	Foxwoods Casino, Connecticut
Bordertown OTB, Oklahoma	Fraser Downs, British Columbia
Buffalo Trotting Association, New York	Freehold Raceway, New Jersey
Bwin International, Ltd.	Gillespie County Downs, Texas
Caliente (MIR), Mexico	Grand River, Canada
Camarero, Puerto Rico	Greentrack, Alabama
Canterbury Park, Minnesota	GWS German Tote, Germany
Capital District OTB, New York	Gulf Greyhound, Texas
Catskill Regional OTB, New York	Gulfstream Park, Florida
Caymanas Race Track, Jamaica	Harrington Bets, Delaware
Century Downs, Alberta, Canada	Harrington Raceway, Delaware
Charles Town Races, West Virginia	Hastings Park, Canada
Chester Downs - Pennsylvania	Hawthorne Race Course, Illinois
Churchill Downs, Kentucky	Hiawatha Downs, Ontario
Clinton Teletheatre, Canada	Hipica de Panama, Panama
Codere, Mexico	Hipodromo Camarero, Puerto Rico
Codere, Spain	Hipodromo Monterrico, Peru
Columbus Raceway, Nebraska	Ho-Chuck Casino, Connecticut
Connecticut OTB, Connecticut	Hodge OTB, Connecticut
Corpus Christi Greyhound, Texas	Hoosier Park, Indiana
Coushatta Casino Resort, Louisiana	Horsemen's Park, Nebraska
Dayton Park, OH	Idaho Fall OTB, Idaho
Divi Carina Bay Casino, Louisiana	Indiana Downs, Indiana
Delaware Park, Delaware	Inverness Raceway, Canada
Delta Downs, Louisiana	Iowa Greyhounds, Iowa
Dover Downs, Delaware	Intermountain Racing, Idaho
Downs at Albuquerque, New Mexico	John Martins Manor, Maine
Ebet Online, Oregon	Kawartha Downs, Ontario
Elite Turf Club, Curacao	Keeneland, Kentucky
Ellis Park, Kentucky	Kentucky Downs, Kentucky
Elmira Raceway, Ontario	Kentucky OTB, Kentucky

EXHIBIT 12D

Las Vegas Dissemination Company, Nevada
 Les Bois (Treasure Valley), Idaho
 Lewiston OTB, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Mahoning Valley Race Course, OH
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwaki Casino, Oklahoma
 Miami Valley Gaming, Ohio
 Millennium Racing, Trinidad
 Mobile Greyhound Park, Alabama
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monterico Simulcasting, Peru
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Dissemination Services, Nevada
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 NYRA, New York
 NYRA Bets, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Plainridge Race Course, Massachusetts
 Plainridge Telephones, Massachusetts
 Player Management Group, CA
 Pocono Downs, Pennsylvania
 Pojoaque Casino, Oklahoma
 Portland Meadows, Oregon
 Potawatomi Casino, Wisconsin
 Prairie Meadows, Iowa
 Premier Gateway International, S.A.
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Racing and Gaming Services, St Kitts
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Rosecroft Raceway, Maryland
 Royal Beach Casino, St Kitts
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, Minnesota
 Sam Houston Park, Texas
 Saratoga Harness, New York
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Skydancer Casino, Oklahoma
 Sol Mutuel, St Kitts
 Sol Mutuel Otrobanda, St Kitts
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Suaposta, Brazil
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 TabCorp, Australia
 Tampa Bay Downs, Florida
 TattsBet, Australia
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Greenbrier Resort, West Virginia
 The Meadows, Pennsylvania
 Thistledown, Ohio

EXHIBIT 12D

Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico

EXHIBIT 12E

Am West Entertainment, KY	Fairgrounds ADW, Louisiana
Arapahoe Park, Colorado	Fairgrounds Racecourse, Louisiana
Arima Race Course, Trinidad	Fair Meadows, Texas
Arlington International Race Course, Illinois	Favorites at Gloucester, New Jersey
Assiniboia Downs, Canada	Finger Lakes Bets, NY
Atlantic City Casino Assn., New Jersey	Finger Lakes Race Track, New York
Atlantis Resort and Casino, Bahamas	Flamboro Downs, Ontario
Bangor Raceway, Maine	Fonner Park, Nebraska
Barrie Raceway, Ontario	Fort Erie Racetrack, Canada
Batavia Downs, New York	Foxwoods Casino, Connecticut
Belterra Race Course, OH	Fraser Downs, British Columbia
Bet America, LLC, North Dakota	Freehold Raceway, New Jersey
Bet Fair Games Limited, UK	Gillespie County Downs, Texas
Bettor Racing OTB, South Dakota	Grand River, Canada
Birmingham Race Course, Alabama	Greentrack, Alabama
Bordertown OTB, Oklahoma	GWS German Tote, Germany
Buffalo Trotting Association, New York	Gulf Greyhound, Texas
Bwin International, Ltd.	Gulfstream Park, Florida
Canterbury Park, Minnesota	Harrington Bets, Delaware
Capital District OTB, New York	Harrington Raceway, Delaware
Catskill Regional OTB, New York	Hastings Park, Canada
Century Downs, Alberta, Canada	Hawthorne Race Course, Illinois
Charles Town Races, West Virginia	Hlawatha Downs, Ontario
Chester Downs - Pennsylvania	Hipica de Panama, Panama
Churchill Downs, Kentucky	Hipodromo Camarero, Puerto Rico
Clinton Teletheatre, Canada	Hipodromo Monterrico, Peru
Columbus Raceway, Nebraska	Ho-Chuck Casino, Connecticut
Connecticut OTB, Connecticut	Hodge OTB, Connecticut
Corpus Christi Greyhound, Texas	Hoosier Park, Indiana
Coushatta Casino Resort, Louisiana	Horsemen's Park, Nebraska
Dayton Park, OH	Idaho Fall OTB, Idaho
Divi Carina Bay Casino, Louisiana	Indiana Downs, Indiana
Delaware Park, Delaware	Inverness Raceway, Canada
Delta Downs, Louisiana	Iowa Greyhounds, Iowa
Dover Downs, Delaware	Intermountain Racing, Idaho
Downs at Albuquerque, New Mexico	John Martins Manor, Maine
Ebet Online, Oregon	Kawartha Downs, Ontario
Elite Turf Club, Curacao	Keeneland, Kentucky
Ellis Park, Kentucky	Kentucky Downs, Kentucky
Elmira Raceway, Ontario	Kentucky OTB, Kentucky
Equus St, Thomas, St. Thomas	Las Vegas Dissemination Company, Nevada
Emerald Downs, Washington	Les Bois (Treasure Valley), Idaho
Euro Offtrack, Isle of Man	Lewiston OTB, Maine
Evangeline Downs, Louisiana	Lien Games, North Dakota
Evansville/Clarksville OTB, Indiana	Lincoln Greyhound Park, Rhode Island

EXHIBIT 12E

Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Mahoning Valley Race Course, OH
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwaki Casino, Oklahoma
 Miami Valley Gaming, Ohio
 Millennium Racing, Trinidad
 Mobile Greyhound Park, Alabama
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Montericco Simulcasting, Peru
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 NYRA, New York
 NYRA Bets, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Plainridge Race Course, Massachusetts
 Plainridge Telephones, Massachusetts
 Player Management Group, CA
 Pocono Downs, Pennsylvania
 Pojoaque Casino, Oklahoma
 Portland Meadows, Oregon
 Potawatomi Casino, Wisconsin
 Prairie Meadows, Iowa
 Premier Gateway International, S.A.
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Racing and Gaming Services, St Kitts
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Rosecroft Raceway, Maryland
 Royal Beach Casino, St Kitts
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, Minnesota
 Sam Houston Park, Texas
 Saratoga Harness, New York
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Skydancer Casino, Oklahoma
 Sol Mutuel, St Kitts
 Sol Mutuel Otobanda, St Kitts
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Suaposta, Brazil
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida
 Taunton ADW, New Hampshire
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Greenbrier Resort, West Virginia
 The Meadows, Pennsylvania
 Thistledown, Ohio
 Tioga Downs, New York
 Tote Investment Services, Trinidad
 Tri-State Greyhound, West Virginia
 Truro Raceway, Canada
 TVG, Arizona
 TVG Chester, Pennsylvania
 TVG, Los Angeles

EXHIBIT 12E

TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico

EXHIBIT 12F

Mini-Satellites

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 721-2100
Contact: Ralph Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Maria
Original Roadhouse Grill
1423 S. Bradley Rd.
Santa Maria, CA 93454
Phone: (805) 614-0586
Contact John Tintle

M4. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact: Tom Cristi

M5. Sammy's of Lake Forest
23221 Lake Center Drive
Lake Forest, CA 92630
Phone: (949) 716-2367
Contact: Brad McKinzie

M6. Tiled Kilt
1345 E. Thousand Oaks Blvd.
Thousand Oaks CA. 91362
Phone: (805) 777-7418
Contact: Robert Haupt

M7. Ocean's 11 Casino
121 Brooks Street
Oceanside, CA 92054
Phone: (888) 439-6988
Contact: Steve Gallagher

EXHIBIT 12F

M8. Striders SD Bar & Grill
100 Harbor Drive
San Diego, CA 92101
PH: 619-363-7166
Contact: Tony Martineau

M9. Firehouse Restaurant
7701 White Avenue
Bakersfield, CA 93313
PH: 661-831-4688
Contact: Russ Johnson

M10. Lake Elsinore Casino
20930 Malaga Road
Lake Elsinore, CA 92530
PH: 951-674-5160
Contact: Pat Wilmes

M11. 58 Flat
4110 Bonita Road
Bonita, CA 91902
PH: 619-884-1291
Contact: Denny Kanakaris

**Other tracks conducting racing during the Los Alamitos Winter Meet
(December 5, 2018 through December 18, 2018)**

Aqueduct
Camarero
Charlestown
Delta Downs
Fairgrounds
Laurel
Golden Gate
Gulfstream
Hawthorne
Mahoning Valley
Parx
Penn National
Portland Meadows
Remington Park
Tampa Bay (Fridays & Saturdays)
Turf Paradise
Turfway Park
Woodbine
Zia Park

States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Board of Directors, Los Alamitos Racing Assn.
4961 Katella Ave
Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
Board of Directors, Los Alamitos Racing Assn.
4961 Katella Ave
Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Dr. Dana Steed DVM
Clerk of Scales	Charles McCaul
Clerk of the Course	Dawn Schmid
Film Specialist	Board of Stewards
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Heather Correa, Karen Denovel
Placing Judges	Kevin Colosi, Randy Valdez
Starter	Gary Brinson
Timer	Russ Hudak
- B. Management officials in the racing department:

Director of Racing	Robert Moreno
Racing Secretary	Robert Moreno
Assistant Racing Secretary	Chris Metz
Paymaster of Purses	Dawn Jackson
Others (identify by name and title)	

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Weinstein Court Reporters
P O Box 26634 Santa Ana, CA 92799 (949) 637-6071
Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corp, USA Bill O'Brien
Contract expires 12/31/2018
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communications
Jim Porep, President
Contract expires 5/6/2022
16633 Ventura Blvd, Suite 1010, Encino, CA 91436
1 camera end of homestretch
1 camera end of backstretch
1 camera coming out of final turn
4 pan cameras covering entire track
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Electronic timing devices are owned and operated by Los Alamitos Race Course

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Alex Soltero, Chief of Security (714) 820-2660/frontside (714) 820-2832/backside. Organization plan attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 1 Chief of Security, 1 Stable Security Manager, 5 Gatemen, 3 Investigators, 20 Security Guards
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Attached
 2. Detention Stalls: n/a
 - A. Attach a plan for use of graded stakes or overnight races.



Organizational Chart 2018

 Los Alamitos Race Course
Security Department

Chief of Security	Alex Soltero	Office (714) 820-2661 Cell (714) 926-0959
Captain of Security		
Stable Sergeant	Keith Hicks	Office (714) 820-2664 Cell (714) 770-1351
Day Shift Sergeant	Fernando Gomez	Cell (951) 768-7094
Day Shift Security Officers	Guillermo Chavez Jose Murillo Lydia Llamas Bunthoeun Sos	Mainline Club House Desk/Dispatch Mezz
Night Shift Officers	Luis Rivas Jr Jackie Dixon Rivas Sr, Chavez Henry Chambers	Desk/Dispatch Mainline Mezz Paddock
Stable Area Officers.	Fernando Gomez Jorge Avina Jose Alvarez Javier Bonilla Cesar Franco	Day stable Night stable Split Shift Night Receiving Barn Graveyard
Off Hour Officers	Kenny Vu Rivas Sr, Chambers Frank Wozniak Luis Rivas Sr	Grave Yard Split Shift Days Split Shift

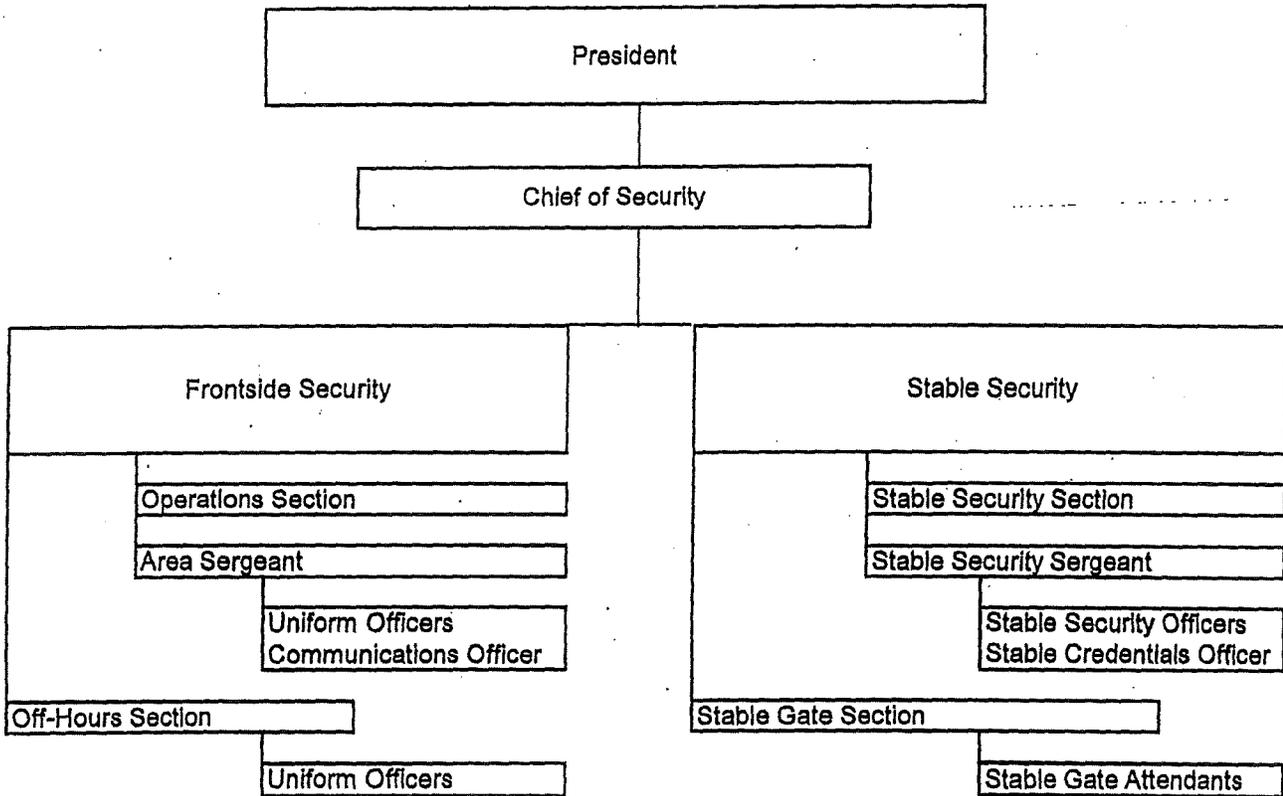
Stable Gate Attendants

April Dudone	Swing Gate
Ronnie White	Day West Gate
Benjamin Garcia	Split Shift
Arturo Sandoval	Night West Gate
Sharril Janisky	Grave yard Gate
Marco Avina	Day Gate
Cheri Willet	Part Time/On-Call

Total Employees: 24



LOS ALAMITOS RACING ASSOCIATION
Security Live Racing
2018



LOS ALAMITOS RACING ASSOCIATION STAKES SECURITY PROGRAM

For stakes races with purses of \$100,000 or more Los Alamitos Racing Association will follow the guidelines set forth in our Race Meet Agreement with the Thoroughbred Owners of California.

For stakes races of more than \$100,000, LARA will provide security personnel equipped with video cameras to be stationed in the barn of each trainer with a horse in that stakes. The security personnel will be stationed in the designated barn no less than 6 hours prior to post time and will accompany the horse to the receiving barn.

These additional personnel will be under the direction and supervision of the Los Alamitos Chief of Security.

In addition, 24 hours prior to the running of any graded stakes race with a purse of \$200,000 or more Los Alamitos Racing Association will assign two additional security guards to the barn area with the specific instructions to monitor the barns of trainers with horses entered in that stakes.

B. Number of security guards in the detention stall area during a 24-hour period.

C. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.
CHRB directives will be followed

B. Plan for enhanced surveillance for trainers with high-test results.
CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.
CHRB directives will be followed

D. Number of security personnel assigned to the TCO2 program.
CHRB directives will be followed

C. Describe the electronic security system:
Los Alamitos does not have an electronic security system

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Los Alamitos does not have video surveillance in these locations

D. For night racing associations. Describe emergency lighting system: n/a

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:
Huntington Ambulance Service
P O Box 145
Sunset Beach, CA 90742
Track # (714) 820-2845

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
San Luis Rey Training Center:
Mercy Medical Transportation Inc.
2538 Old San Pasqual Road
Escondido, CA 92027
Richard Roesch
Phone - (760) 525-2688

Santa Anita:
Symons Ambulance

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

March 28, 2018

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

18592 Cajon Blvd.
San Bernardino, CA 92407
Phone – (909) 880-2979

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached
- C. Describe the on-track first aid facility, including equipment and medical staffing: Contracted track physician; Oxygen equipment; blood pressure monitoring; backboard; cervical collar; 3 patient beds
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: If quarter horse racing association see D.1.: Dr. Michael Morris (714) 820-2710
 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Los Alamitos Medical Center
3571 Katella Ave.
Los Alamitos, CA 90720
(562) 598-1311

Long Beach Memorial Hospital (Certified Trauma Center)
2801 Atlanta Ave
Long Beach, CA 90806
(562) 933-2000

UC Irvine Medical Center (certified trauma center)
101 The City Drive
Orange, CA 92868
(714) 456-7890
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Frank Sherren
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Self-insured through Finish Line Self Insurance Group
Group Certificate # 4524-001

MERCY

*Medical
Transportation
Inc.*

Valley Center Offices
27350 Valley Center Road
Valley Center, CA 92092
(760) 751-9797 – Office
(760) 751-8880 – Fax

April 12, 2018

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Ambulance Certification Letter

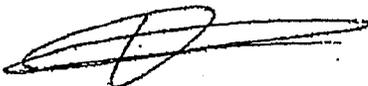
To Whom It May Concern:

Mercy Medical Transportation, Inc., employs only Paramedics and Emergency Medical Technicians (EMT) that are licensed in the State of California and the County of San Diego. All our Paramedics and EMTs endure a comprehensive interview and skills assessment, drug and hearing tests and all our employees are subjected to a thorough Department of Justice (DOJ) background investigation through AVESTA, OIG, System for Award Management (SAM) and Medi-Cal as required by the San Diego County Emergency Medical Services.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty
Vice President of Operations
Mercy Medical Transportation, Inc.



Thursday, April 20, 2018

To: Jack Liebau

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Juan Espinoza
Operations Manager
(626) 222-4236
jespinoza@symonsambulance.com

EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

FIRST RESPONSE

*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified Paramedics and EMT's will respond immediately to the location of the accident.

*Paramedic and EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Fire Department Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the Jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the responders.
- If responders request that the Jockey be stabilized and placed on a backboard, Jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the Injured Jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the Injured rider should be transported to a trauma hospital.
- It is the current policy of the Orange County Emergency Services that all injuries sustained from falling from a horse at a speed of a gallop or more be treated as a trauma event. Fire Department paramedics will be instructed to transport the rider to a trauma hospital for examination. If the rider refuses transport to the trauma hospital they must sign a Refusal of Medical Treatment form. It will then be up to the Board of Stewards to determine if that rider can continue with his riding assignments.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPÓDROMO PRIMERA RESPUESTA

* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE

- Si está involucrada en un accidente durante una carrera, independientemente de la gravedad de la consideración del jinete, se las instruye que el es seguro y que están libres de cualquier caballo suelto, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos, el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre-deseñado a las afueras de Los Alamitos en la Valla al fondo de las pistas. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinarán si el jinete lesionado debe ser transportado a un hospital de triaje.
- Si las lesiones no se consideran graves por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más graves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de esa día, será determinado por los Comisarios Deportivos de CHRB.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entran por la puerta a través de la pista que siempre está abierta.



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602
 Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000 www.ocfa.org

FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

March 20, 2018

Los Alamitos Race Course
 4961 Katella ave.
 Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspection. Please accept this letter as your fire clearance with an action plan from our agency. Please let me know if you have any questions or need any help.

Thank you for your help and support,

Tony Verdenne
 Fire Prevention Specialist
 Prevention Field Services Section
 714 527-4584 tonyverdenne@ocfa.org

Cc: file

POLICY NUMBER: GL201800003135

COMMERCIAL GENERAL LIABILITY
CG DS 01 10 01

COMMERCIAL GENERAL LIABILITY DECLARATIONS

<p>COMPANY NAME New York Marine and General Insurance Company 59 Maiden Lane, 27th Floor New York, NY 10038-4647</p>	<p>PRODUCER NAME 00029 Midlands Management Corporation 3817 Northwest Expressway #1000 Oklahoma City, OK 73112</p>
<p>NAMED INSURED Los Alamitos Racing Association, Inc & Quarter Horse Racing, Inc., A California General Partnership As Per Named Insured Extension Schedule DBA: Los Alamitos Race Course</p>	
<p>MAILING ADDRESS Attn: Bob Snyder, Los Alamitos Race Course 4961 Katella Avenue Los Alamitos, CA 90720</p>	
<p>POLICY PERIOD: FROM 08/01/2018 TO 08/01/2019 AT 12:01 A.M. TIME AT YOUR MAILING ADDRESS SHOWN ABOVE</p>	

IN RETURN FOR THE PAYMENT OF THE PREMIUM, AND SUBJECT TO ALL THE TERMS OF THIS POLICY, WE AGREE WITH YOU TO PROVIDE THE INSURANCE AS STATED IN THIS POLICY.

LIMITS OF INSURANCE	
EACH OCCURRENCE LIMIT	\$1,000,000
DAMAGE TO PREMISES RENTED TO YOU LIMIT	\$1,000,000 Any one premises
MEDICAL EXPENSE LIMIT	Excluded Any one person
PERSONAL & ADVERTISING INJURY LIMIT	\$1,000,000 Any one person or organization
GENERAL AGGREGATE LIMIT	\$5,000,000
PRODUCTS/COMPLETED OPERATIONS AGGREGATE LIMIT	\$2,000,000

RETROACTIVE DATE (CG 00 02 ONLY)
THIS INSURANCE DOES NOT APPLY TO "BODILY INJURY", "PROPERTY DAMAGE" OR "PERSONAL AND ADVERTISING INJURY" WHICH OCCURS BEFORE THE RETROACTIVE DATE, IF ANY, SHOWN BELOW.
RETROACTIVE DATE: _____ (ENTER DATE OR "NONE" IF NO RETROACTIVE DATE APPLIES)

DESCRIPTION OF BUSINESS
FORM OF BUSINESS:
<input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> JOINT VENTURE <input type="checkbox"/> TRUST
<input type="checkbox"/> LIMITED LIABILITY COMPANY <input checked="" type="checkbox"/> ORGANIZATION, INCLUDING A CORPORATION (BUT NOT INCLUDING A PARTNERSHIP, JOINT VENTURE OR LIMITED LIABILITY COMPANY)
BUSINESS DESCRIPTION: <u>Pro Sports Clubs and Promoters</u>

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
**LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE**
(Name of Affiliate)

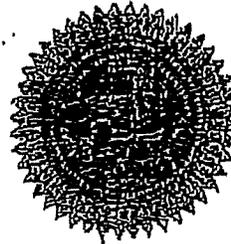
FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.*

EFFECTIVE
THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA



Mark T. Johnson
MARK T. JOHNSON, MANAGER

John M. Rea
JOHN M. REA, DIRECTOR

*Revocation of Certificate.- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and, as a matter of practice and custom (including attempts for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 - Administration of Self-Insurance.

FINISH LINE



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

1. **Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
2. **Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
3. **Go to the designated clinic for treatment.**
Healthpointe Medical Center
7052 Orangewood Ave, Suite 6.
Garden Grove (714) 903-1100

FINISH



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Pasos Requeridos en Caso de un Accidente

1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.
2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad
3. Vayan a la clínica designada
Healthpointe Medical Center
7052 Orangewood Ave, Suite 6
Garden Grove (714) 903-1100

Marketing Plan

2018 Los Alamitos

Winter Thoroughbred Meet

Overview: After a 12-day Los Alamitos Winter Meet in 2017, eight days of racing will be held as part of the Los Alamitos Winter Meet in 2018. The marketing plan will be geared towards driving the national attention towards the track's two marquee races of the year, the Grade 1 Los Alamitos CashCall Futurity and Grade 1 Starlet. These two outstanding futurities have resulted in many outstanding winners in recent years, led by Kentucky Oaks winner Abel Tasman and the undefeated and four-time stakes winner Dream Tree. Certainly these two races will stand out nationally as the 2018 racing scene comes to a close and will once again draw attention to the top California juveniles. The hyping of these two races will be part of a continuing effort to increase on a national basis the visibility and acceptability of Los Alamitos as a Thoroughbred racing venue.

With the 2018 Winter Meet scheduled for two weeks of racing, the first Saturday (December 8) will be heavily emphasized in the promotion of the meet. Marketing resources will be mainly targeted to increase the engagement of the existing fan base for the two key Saturdays. Detailed below is the continued cross-marketing efforts and cooperative promotions with Los Alamitos partners at TVG, Twin Spires, NYRA Bets and the NTRA National Horse Players Championship as well as some innovative ideas that will be undertaken with the Daily Racing Form.

2018 Los Alamitos Winter Meet Marketing Promotions & Budget

TVG, Twin Spires, NYRA – Up to \$50,000

Los Alamitos Race Course will continue to make a robust effort to enhance and expand its presence with our leading partners headed by TVG via television spots and popular promotions.

Partnering with key ADW providers, Los Alamitos will be part of popular promotions like TVG's Pick 4 Insurance and Money Back Special and Twin Spires' Bet Club Bonus Days, plus NYRABets' 20% Winner's Bonus on winning tickets. Los Alamitos saw an increase in handle in our races across all of the aforementioned platforms during the Summer of 2018 meet.

The partnership's marketing efforts including e-mail alerts, web banners, digital newsletters, TV spots, and more.

Daily Racing Form –Up to \$25,000

Our partnership with the DRF continues to focus on player acquisition. Los Alamitos will secure past performance placement on key target markets, plus full access of pps to core players nationally on DRF's digital platforms.

Other elements will include Front Cover Bursts/Strip Ads on December 8 and 15, DRF.com homepage headers, Race of the Day preview videos, and Morning Line eNewsletter sponsorship.

Special Pick 4 sections on Saturday, December 8 for the Starlet and CashCall Los Alamitos Futurities are also planned.

Local Television Advertising – Up to \$12,000

Spectrum Cable / Orange County cable provider. Approximately 200 total spots concentrated during LA Lakers, LA Clippers, NHL Hockey games. The buy also includes ESPN, Spike, Pac12 Network, and Time Warner Cable Sports Channel. The ad run will start on December 1.

TVG & TVG2 – An increased schedule of television ads will be featured daily on this major horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run will start on November 10 with Los Alamitos Handicapping Contest spots and Late Nomination spots to the Starlet & CashCall Futurity.

o In partnership with TVG, an awareness campaign will be created to make a strong push for late nominations to the Starlet and Los Alamitos CashCall Futurity. This will include TV ads, billboards and live on-air mentions. DRF ads – print and online – will also run to support this initiative.

The tagline used will be: From Los Alamitos to Louisville to emphasize the Kentucky Oaks and Kentucky Derby points awarded in the Starlet and Los Alamitos CashCall Futurity.

Local/National Radio - \$10,000

In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas and Down The Stretch on SiriusXM. The national shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and track announcer Bobby Neuman are regular guests on these shows. Fresh weekly radio ads tailored for each radio market will be produced.

Los Alamitos Winter Handicapping Championship – Saturday, December 8 - Up to \$16,000.

With up to four National Handicapping Championship berths up for grabs and other prizes, the Los Alamitos Winter Handicapping Championship will be the biggest contest to be held at the Orange County oval in 2018. The contests will be conducted during our marquee day of the meet, the day of the Grade I Los Alamitos CashCall Futurity and Grade I Starlet Futurity on December 8. The amount of seats offered is in line with previous contests offered and the formats will once again feature live-money contests. The contests will be promoted by direct contact of prior participants, on track advertisement, direct mail, and by running TV spots on TVG.

Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, December 8 & December 15. Up to \$20,000

A popular and consistent driver of on-track handle is our Mystery Mutuel voucher promotion. Pending review of our September voucher promotion, we are planning to hold two promotions in December. The December 15 promo will be billed as a second chance voucher promo and this would be the second time that we will offer this promotion. Up to 12,000 racing fans on the Los Alamitos mailing list will be targeted with this pre-meet direct mail campaign. The direct mail piece will also offer daily track coupons and incentives to visit the track in addition to the mystery mutuel voucher promos. The goal is to have a redemption rate of 12% or higher for the December 8 promotion and a 7% redemption for the December 15 promo.

California Satellite Promotions - Up to \$5,000

Promotional visits will be at key daytime simulcast locations like Sammy's in Lake Forest, Santa Clarita Bowling Lanes, Surfside, Hollywood Park, and more. Special handicapping seminars and cash contests will be hosted by a well-known racing personality. This program will also be used to expand the Los Alamitos mailing list with active players.

Additional Promotional Marketing - \$7,000

Promotional giveaways will include the annual Wall Calendar Giveaway on Saturday, December 15, and an on-Track mailing list driver as part of the closing holiday on Sunday, December 16.

Winter Meet Support Staff - \$23,000

Includes additional in-house race day television producers, additional marketing staff and social media personnel.

TOTAL: \$160,000

Promotional/Marketing budget for prior race meeting: Attached

C. Number of hosts and hostesses employed for meeting: 8

Marketing Plan

2017 Los Alamitos

Winter Thoroughbred Meet

Overview: In order to increase the visibility and acceptability of Los Alamitos as a Thoroughbred racing venue, advertisements have been placed from time to time in national periodicals noting the subsequent successes in major races across the country of horses that ran at Los Alamitos. Initially, this program featured the exploits of California Chrome and most recently Starlet winner Abel Tasman winning this year's Kentucky Oaks and of West Coast going on to win the Travers. This Program will be continued and hopefully the winners of the Cash Call Futurity (Gr.1) and Starlet (Gr. 1) at the upcoming Winter Meet will prove a fertile field for future copy. Certainly these two races will stand out nationally as the 2017 racing scene comes to a close and will serve to draw attention to the Winter Meet. The hyping of these two races will be part of a continuing effort to increase on a national basis the visibility and acceptability of Los Alamitos as a Thoroughbred racing venue.

Because the 2017 Winter Meet is a short one and to some extent can be overshadowed by the pending Holiday Season, its promotion cannot be justified through a significant media buy, although there will be a solid local television and radio advertising presence. Instead, marketing resources will be mainly targeted to increase the engagement of the existing fan base. At the same time, there will be a solid number of programs to attract new fans, particularly through programs tailored to provide fun and social activities organized by the Los Alamitos Group Sales Department.

Detailed below is the continued cross-marketing efforts and cooperative promotions with Los Alamitos partners at TVG, Twin Spires, NYRA Bets and the NTRA National Horse Players Championship as well as some innovative ideas that will be undertaken with the Daily Racing Form.

2017 Los Alamitos Winter Meet Marketing Promotions & Budget

TVG, Twin Spires, NYRA – Up to \$65,000

- National commercial spots on TVG leading up to meet and prior to major races
- Partnering with key ADW providers with popular promotions like TVG's Hit and Split and Cash Back Promotions, and the Twin Spires' Bet Club Bonus Days and

“Unlock Your Bonus” Offers, plus NYRABets’ popular Race 5 promotion. During our September meet, Los Alamitos saw an increase in handle on our races of approximately 6% on these major providers.

- Partnership with marketing efforts including e-mail alerts, web banners and digital newsletters.
- We are continuously fine-tuning the offers to maximize appeal to day-to-day horseplayers.

Daily Racing Form – Up to \$35,000

Our partnership with the DRF will focus on continued player acquisition. Brand new ideas for this meet include featuring in weekend national editions front cover strip advertisements promoting key wagers, running past performances in a highlighted form, and creating a unique program in which special mystery mutuel vouchers can be included in the DRF at key major simulcast locations. Other highlights will include:

- National print advertising campaign
- Digital advertising campaign
- Bonus digital Past Performances for selected weekend days of meet.
- Inclusion in DRF Bets Morning Line eNewsletter & PP offer to PP purchasers
- Special Pick 4 sections on Saturday, December 9.

Local Television Advertising – Up to \$20,000

- Spectrum Cable / Orange County cable provider. Approximately 610 total spots concentrated during LA Lakers, LA Clippers, NHL Hockey games. The buy also includes ESPN, A&E, Bravo, Spike, Pac12 Network, and Time Warner Cable Sports Channel. The ad run will start on November 27.
- TVG & TVG2 – An increased schedule of television ads will be featured daily on this major horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run will start on November 5 with Los Alamitos Handicapping Contest spots and Late Nomination spots to the Starlet & CashCall Futurity.
 - In partnership with TVG, an awareness campaign will be created to make a strong push for late nominations to the Starlet and Los Alamitos CashCall Futurity. This will include TV ads, billboards and live on-air mentions. DRF ads – print and online – will also run to support this initiative. The tagline used will be: Your 2-year-olds’ best and final chance for a Grade 1 win is coming in December at Los Alamitos

Local/National Radio - \$15,000

- In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas, plus Steve Byk At The Races, and Down The Stretch on SiriusXM. The national shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and track announcer Bobby Neuman are regular guests on these shows. Steve Byk At The Races will also broadcast live from Los Alamitos on the days leading up to the Starlet and CashCall Futurity.
- Fresh weekly radio ads tailored for each radio market will be produced.
- Los Alamitos will continue its solid partnership with ESPN Deportes and new for the Winter Meet, we are currently on creating a special event for the stations' Hispanic audience via ESPN Deportes' VIP Text Club program. On-air interviews and segments promoting the racing weekend are also part of our partnership.

Los Alamitos Winter Handicapping Championship – Saturday, December 9 and 16 – Up to \$32,000.

Based upon the successes of the handicapping contests held at the recently concluded Los Angeles County Fair Meet, two weekend handicapping contests will be offered during our Winter Meet, each offering a minimum of three seats to the NTRA National Horseplayers Contest. The contests will be conducted during two of our marquee days, the day of the Grade I Los Alamitos CashCall Futurity and Grade I Starlet Futurity on December 9, and the day of the King Glorious for Cal-Breds on December 16. The amount of seats offered is in line with previous contests offered and the formats will once again feature live-money contests. The two contests at the Fair Meet featured a combined 165 entries. The contests will be promoted by direct contact of prior participants, on track advertisement, direct mail, and by running TV spots on TVG.

Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, December 2 – Up to \$17,000

Historically, one of our most successful drivers of on-track handle has been a Mystery Mutuel voucher promotion, which once again will be held on Saturday, December 2. Twelve-thousand active fans on the Los Alamitos mailing list will be targeted with this promotion as part of a pre-meet direct mail campaign. The direct mail piece will also offer daily track coupons and incentives to visit the track in addition to the mystery mutuel voucher. The goal is to have a redemption rate of 12.5% or higher for the promotion.

SPECIAL WAGER

Plans are in the works for a special Cross Country Pick 4 Wager on Saturday, December 9 in co-operation with the New York Racing Association. Two California races - the Grade 1 Starlet and Grade 1 Los Alamitos CashCall Futurity - and two races from New York will be featured as part of this wager. This Cross Country Pick 4 Wager was successfully received at the 2017 Los Alamitos Summer Meet.

New Initiatives - \$7,500

CALIFORNIA SATELLITE PROMOTIONS

- Promotional visits will be at key daytime simulcast locations like Sammy's in Lake Forest, Finish Line Grill at Fairplex Park in Pomona, Santa Clarita Bowling Lanes Off-Track Facility, and more. Special handicapping seminars and cash contests will be hosted by a well-known racing personality. This program will also be used to expand the Los Alamitos mailing list with active players.

NEW ON-TRACK PROMOTIONS AT LOS ALAMITOS

- In process of developing a new Pick the Ponies type contest on-track tying in to our expanded stakes schedule for the Winter Meet. Top finishers will earn cash prizes and more.
- In 2016, Los Alamitos Group Sales hosted 38 holiday parties with over 2,500 guests in attendance. With a similar number of group events for 2017, Los Alamitos Marketing will provide support for these group events via special Winter Meet voucher promotions, contests, and complimentary admission for a future visit.
- Currently working with partners to co-op a special day at the races at Los Alamitos for a groups of ADW VIP players.

On-Line Industry Advertising - \$2,000

- Concentrated on web sites such as Equibase.com, and The Paulick Report.

Additional Promotional Marketing - \$7,000

- Annual Wall Calendar Giveaway on Saturday, December 16.
- On-Track mailing list driver as part of the closing holiday fan appreciation giveaway on Sunday, December 17.

Website Enhancements

- Adding a new online ticketing purchasing element to losalamitos.com.
- Adding a section for nationally renowned handicappers offering selections for Los Alamitos and key East Coast racetracks.

Winter Meet Support Staff - \$32,000

- Includes additional in-house race day television producers, additional marketing staff and social media personnel.

TOTAL: \$232,000

D. Describe facilities set aside for new fans:
 Special mutuel windows designated for newcomers

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen – Improved and more convenient parking
2. Fans – Additional monitors through the facility
3. Facilities in the restricted areas – Installation of the security cameras in the barn area and corporation yard.

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general) - \$3
- Admission (clubhouse) - \$5
- Vessels Club (Turf Club) - \$10
- Reserved seating (general) - free
- Reserved seating (clubhouse) - free
- Parking (general) – free
- Parking (preferred) - \$6 / \$10 premium
- Parking (valet) – not offered
- Programs (on-track) - \$3
- (off-track) - \$3

B. Describe any "Season Boxes" and "Turf Club Membership" fees:
 Turf Club Season Table - \$480 Grandstand Season Table - \$250

C. Describe any "package" plans such as combined parking, admission and program:
 n/a

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="60"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: Separate quarters with showers, lockers, sauna.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by (name) _____ on (date) _____.
 Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet

B. Number of rooms used for housing on the backstretch of the racetrack: 195

C. Number of restrooms available on the backstretch of the racetrack: 11 men, 8 women's

- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 20

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,100

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A Height of 40 inches is maintained on both rails.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes, track superintendent / Dennis Moore - track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): Tentative Agreement reached in July with Local 280 is in effect; parties are finalizing language prior to signing a final document.
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. Attached
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire

term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). n/a

- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

F. JACK LIEBAU
 Print Name
Vice-President
 Print Title

F. Jack Liebau
 Signature
10/4/18
 Date

STAFF ANALYSIS
October 25, 2018

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB AT SANTA ANITA DECEMBER 19, 2018 THROUGH JUNE 25, 2019.

LATC filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

- December 19, 2018 through June 25, 2019, or 103 days, one day more than in 2018. Live racing will commence December 26, 2018 and continue through June 23, 2019. The association proposes to race a total of 839 to 1,121 races, or 8.15 to 10.88 races per day. In 2018, LATC averaged 8.99 races per day with an average of 7.85 runners per race. The average daily purse for the 2018 race meeting was \$516,775. The (estimated) average daily purse for this meet is \$517,012.
- The proposed race dates fall within the dates the Board allocated.

December 2018						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

January 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

February 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28		

March 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

April 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

May 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

June 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

- Racing 4 days per week, Thursday through Sunday with the exception of Wednesday, December 26, 2018; Tuesday, January 1, 2019 and Mondays, January 21, February 18 and May 27 and no racing Thursdays, December 27, 2018; January 3 and 24, February 21, April 11 and 18, and May 30, 2019. Racing 7 to 9 races on weekdays, 9 to 12 races on weekends, opening day and holidays

with the following exceptions: March 9, April 6, May 4, 17 and 18 and June 8 will have 9 to 14 races and May 3 will have 7 to 14 races.

- Racing concurrently with the dates allocated to Golden Gate Fields, December 19, 2018 through June 11, 2019; Watch and Wager, December 26, 2018 through May 7, 2019; Oak Tree at Pleasanton, June 12, 2019 through July 9, 2019.
- First post 1:00 p.m. weekdays; 12:30 p.m. weekends and holidays; 1:00 p.m. daily beginning between Sunday, March 10, 2019, and Thursday, May 2, 2019; with the following exceptions:
 - Wednesday, December 26, 2018 (Opening Day)—12:00 p.m.
 - Friday, December 28, 2018—Tuesday, January 1, 2019 (Opening Week)—12:30 p.m.
 - Saturday, January 26, 2019 (Cal Cup)—12:00 p.m.
 - Sunday, February 3, 2019 (Super Bowl)—11:00 a.m.
 - Saturday, March 9, 2019 (Santa Anita Handicap)—12:00 p.m.
 - Saturday, April 6, 2019 (Santa Anita Derby)—12:00 p.m.
 - Saturday, May 4, 2019 (Kentucky Derby Day)—11:00 a.m.
 - Saturday, May 18, 2019 (Preakness Day)—11:00 a.m.
 - Saturday, June 8, 2019 (Belmont Stakes Day)—11:00 a.m.
- LATC requests to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched. LATC also requests to use an alternative definition of “unique” for its Pick 6 payout.
 - LATC must receive authorization from the Board, pursuant to ARCI 004-105(G)(4), to allow patrons to select an alternate wagering interest.
 - LATC is required, pursuant to ARCI 004-105(G)(2)(g), to identify which definition of “unique” under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. LATC is requesting an alternative definition that is not referenced in the ARCI Model Rules or CHRB’s rules. The Board has the authority, under ARCI 004-105(G)(1), to approve the proposed LATC definition.
 - Additionally, LATC requests that on any day in which a surface changes after the Pick 6 pool has closed, the race(s) are declared a “Win All,” not a “no contest.” Also, LATC requests that in such instances, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. The Board has the authority, under ARCI 004-105(G)(1), to approve these requested changes.
- Wagering program will use CHRB rules and ARCI rules.
 - Early wagering will be 8:30 a.m. to 10:00 a.m. each race day.
 - \$2 Group Bet (Horse Racing Roulette) available on every eligible race [New].
 - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$0.10 Superfecta on all eligible races.
 - \$2 Rolling Daily Double beginning with race 1. Requests 20% takeout.

- \$0.50 Pick 3.
- \$0.50 Pick 4 on races 2-5 and last four races. No consolation; 100% payout; alternate runner provision.
- \$0.50 Early Pick 5 on first five races. 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; alternate runner provision. Requests 14% takeout.
- \$0.50 Late Pick 5 on last five races. 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; alternate runner provision.
- \$0.20 Pick 6 on last six races each day. If no unique ticket, 70% will be paid to minor pool, 30% will be added to major pool carryover.
- \$1 Super High 5 on all eligible races. 100% payout on all tickets selecting five winners; no consolation; 100% carryover to next race (including next race day, if applicable).
- Specific changes from the 2018 license application:
 - New: Parking (preferred) \$5.00.
 - No longer offered: Programs (on-track)—Small \$2.50.
 - No longer offered: Programs (off-track)—Small \$2.50.
 - Programs (off-track)—Big from \$3.00 to \$3.50
 - Finish Line Box: 4 Seats from \$4,392 to \$4,390; from 5 Seats for \$5,856 to 6 Seats for \$5,850; from 6 Seats for \$7,564 to 8 Seats for \$7,560.
 - Premium Box: from 5 Seats for \$3,750 to 6 Seats for \$3,750; from 6 Seats for \$4,800 to 8 Seats for \$4,800.
- The ADW providers are Xpressbet, TwinSpire, TVG, NYRAbets, and BetAmerica.

Pursuant to Business and Professions Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code Section 19604:

- A copy of the wagering agreement between NYRABets and Monarch Content Management Tracks has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to Business and Professions Code Section 19602, and with authorized locations throughout California.
- Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Pertinent 2019 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated Advance Deposit Wagering providers [Xpressbet, TwinSpires, TVG, and BetAmerica] to accept wagers on races conducted in and outside of California for the applied license term.
2. Thoroughbred Owners of California agreement.
3. California Thoroughbred Trainers agreement.
4. Horsemen approval of Advance Deposit Wagering.

Specific information that will need **updating** during the proposed race dates:

1. Vanning and stabling agreement expires December 31, 2018.

RECOMMENDATION:

Staff recommends that the application not be heard at the October 25, 2018 Regular Board Meeting.



September 21, 2018.

Rick Baedeker
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Rick,

This letter is in regards to Los Angeles Turf Club, Incorporated's ("LATC") "Application for License to Conduct a Horse Racing Meeting" for the time period of December 19, 2018 through June 25, 2019 (the "Race Meet Application").

As specified in the Race Meet Application, LATC wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), LATC is required to identify which definition under ARCI 004-105(G)(16)(b) LATC will use to identify a unique ticket. LATC requests that a combination of the two definitions to define unique be used. Specifically, LATC wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above.

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

LATC also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, LATC is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

LATC requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Jackie Wagner
John McDonough
Tim Ritvo
Scott Daruty

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 **Fax (626) 446-9565**
frank.demarco@santaanita.com

- B. Breed of horse: TB QH H

- C. Racetrack name: **Santa Anita Park**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
On file

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **December 19, 2018 through June 25, 2019**

- B. Actual dates racing will be held:
2018 **December 26, 28, 29, 30 and 31 (5 days)**
2019
January 1, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 21, 25, 26, 27, and 31 (17 days)
February 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 18, 22, 23, 24, and 28 (16 days)
March 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 21, 22, 23, 24, 28, 29, 30, and 31 (19 days)
April 4, 5, 6, 7, 12, 13, 14, 19, 20, 21, 25, 26, 27, and 28 (14 days)

CHRB CERTIFICATION	
Application received: 9/21/18	Hearing date: 10/25/18
Deposit received: on file	Approved date:
Reviewed: RP	License number:

May 2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 23, 24, 25, 26, 27, and 31 (18 days)
June 1, 2, 6, 7, 8, 9, 13, 14, 15, 16, 20, 21, 22, and 23 (14 days)

C. Total number of days or nights of racing: 103

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: Racing Wednesday, December 26, 2018
Monday, December 31, 2018
Tuesday, January 1, 2019
Monday, January 21, 2019
Monday, February 18, 2019
Monday, May 27, 2019

Dark Thursday, December 27, 2018
Thursday, January 3, 2019
Thursday, January 24, 2019
Thursday, February 21, 2019
Thursday April 11, 2019
Thursday, April 18, 2019
Thursday, May 30, 2019

E. Number of days or nights of racing per week: 4 days per week

Exceptions: 3 days, Friday, April 12 – 14, 2019
3 days, Friday, April 19 – 21, 2019

3. RACING PROGRAM

A. Total number of races: Estimated between 839 and 1,121

B. Number of races for each day or night:

- 7 – 9 races weekdays
- 9 – 12 races opening day, weekends and holidays
- Exceptions:
 - March 9, 2019 – between 9 and 14 races
 - April 6, 2019 – between 9 and 14 races
 - May 3, 2019 – between 7 and 14 races
 - May 4, 2019 – between 9 and 14 races
 - May 17, 2019 – between 9 and 14 races
 - May 18, 2019 – between 9 and 14 races
 - June 8, 2019 – between 9 and 14 races

C. Total number of stakes races: 81

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

2018-19 CHRB Stakes COMPARE

2018-19 Santa Anita Stakes COMPARE (Pending)				2016-17	2017-18	2018-19
Run Date	Race	Age	Distance	Purse	Purse	Purse
Wed Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000	\$300,000	\$300,000
Wed Dec 26	Mathis Brothers Mile (Grade II)	3 YO	1 M (T)	\$200,000	\$200,000	\$200,000
Wed Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000	\$300,000	\$300,000
Wed Dec 26	San Antonio Stakes (Grade II)	3 & UP	1 1/16 M	\$400,000	\$300,000	\$300,000
Fri Dec 28	Eddie Logan Stakes	2 YO	1 M (T)			
Sat Dec 29	American Oaks (Grade I)	F, 3 YO	1 1/4 M (T)	\$350,000	\$300,000	\$300,000
Sat Dec 29	Robert J. Frankel Stakes (Grade III)	F/M, 3 & UP	1 1/8 M (T)	\$100,000	\$100,000	\$100,000
Sat Dec 29	Midnight Lute (Grade III)	4 & UP	6 1/2 F	\$100,000	\$100,000	\$100,000
Sun Dec 30	Blue Norther Stakes	F, 2 YO	1 M (T)			
Tue Jan 1	Joe Hernandez Stakes	4 & UP	abt 6 1/2 F (T)			
Sat Jan 5	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat Jan 5	Sham Stakes (Grade III)	3 YO	1 M	\$100,000	\$100,000	\$100,000
Sun Jan 6	Santa Ynez Stakes (Grade II)	F, 3 YO	7 F	\$200,000	\$200,000	\$200,000
Sat Jan 12	La Canada Stakes (Grade III)	FM, 4 & UP	1 M	\$200,000	\$200,000	\$200,000
Sun Jan 13	Kalookan Queen	FM, 3 & UP	6 1/2 F			
Sun Jan 13	Astra Stakes	FM, 4 & UP	1 1/2 (T)			
Sat Jan 19	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000	\$100,000	\$100,000
Mon Jan 21	Megahertz Stakes	FM, 4 & UP	1 M (T)	\$100,000	\$100,000	\$100,000
Sat Jan 26	Sunshine Millions F/M Turf Sprint	F & M, 4 & UP (CA)	abt 6 1/2 F (T)	\$150,000	\$150,000	\$150,000
Sat Jan 26	California Cup Sprint	4 & UP (CA)	6 F	\$150,000	\$150,000	\$150,000
Sat Jan 26	Unusual Heat Turf Classic	4 & UP (CA)	1 1/8 M (T)	\$250,000	\$250,000	\$250,000
Sat Jan 26	California Cup Turf Sprint	3 YO	abt 6 1/2 F (T)	didn't run	\$100,000	\$100,000
Sun Jan 27	Clockers' Corner	4 & UP R	abt 6 1/2 F (T)			
Sat Feb 2	San Pasqual Stakes (Grade II)	4 & UP	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat Feb 2	Robert B. Lewis Stakes (Grade III)	3 YO	1 1/18 M	\$150,000	\$150,000	\$150,000
Sat Feb 2	San Marcos Stakes (Grade II)	4 & UP	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sat Feb 2	Palos Verdes Stakes (Grade II)	4 & UP	6 F	\$200,000	\$200,000	\$200,000
Sun Feb 3	Las Virgenes Stakes (Grade II)	F, 3 YO	1 M	\$250,000	\$200,000	\$200,000
Sat Feb 9	Thunder Road Stakes (Grade III)	4 & UP	1 M (T)	\$100,000	\$100,000	\$100,000
Sat Feb 9	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat Feb 9	San Vicente Stakes (Grade II)	3 YO	7 F	\$200,000	\$200,000	\$200,000
Sun Feb 10	Sweet Life Stakes	F, 3 YO	abt 6 1/2 F (T)			
Sat Feb 16	Buena Vista Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$200,000	\$200,000	\$200,000
Sun Feb 17	Baffle Stakes	3 YO	abt 6 1/2 F (T)			

Exhibit 3D1.2.3.

2018-19 CHRB Stakes COMPARE

Sun Feb 17	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 F	\$100,000	\$100,000	\$100,000
Mon Feb 18	California Cup Derby	3 YO (CA)	1 1/16 M	\$250,000	\$200,000	\$200,000
Mon Feb 18	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000	\$250,000	\$250,000
Sat Feb 23	Wishing Well Stakes	F/M, 4 & UP R	abt 6 1/2 F (T)			
Sat Feb 23	San Luis Rey Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$200,000	\$200,000	\$200,000
Sat Feb 23	San Simeon Handicap (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sun Feb 24	Spring Fever Stakes	F/M, 4 & UP (CB)	6 F	\$100,000	\$100,000	\$100,000
Sat Mar 2	Santa Ysabel Stakes (Grade III)	F, 3 YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sun Mar 3	Tiznow Stakes	4 & UP (CA)	1 M	\$100,000	\$100,000	\$100,000
Sat Mar 9	Triple Bend Stakes (Grade I)	4 & UP	7 F	\$400,000	\$400,000	\$400,000
Sat Mar 9	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$600,000	\$750,000	\$750,000
Sat Mar 9	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$400,000	\$400,000	\$400,000
Sat Mar 9	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$400,000	\$400,000	\$500,000
Sat Mar 9	China Doll Stakes	F, 3 YO	1 M (T)			
Sun Mar 10	Sensational Star Stakes	4 & UP (CA)	abt 6 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sat Mar 16	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$400,000	\$400,000	\$400,000
Sat Mar 16	Pasadena Stakes	3 YO	1 M (T)			
Sun Mar 17	Santa Ana Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sun Mar 17	Irish O'Brien Stakes	F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sat Mar 23	Santa Monica Stakes (Grade II)	F/M, 4 & UP	7 F	\$200,000	\$200,000	\$200,000
Sat Mar 30	Santana Mile	4 & UP	1 M			
Sat Apr 6	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000	\$200,000	\$200,000
Sat Apr 6	Evening Jewel Stakes	F, 3 YO (CA)	6 1/2 F	\$200,000	\$200,000	\$200,000
Sat Apr 6	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$400,000	\$400,000	\$400,000
Sat Apr 6	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$1,000,000	\$1,000,000	\$1,000,000
Sat Apr 6	Providencia Stakes (Grade III)	F, 3 YO	1 1/8 M (T)	\$150,000	\$150,000	\$150,000
Sat Apr 6	Royal Herione Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$250,000	\$200,000	\$200,000
Sun Apr 7	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000	\$100,000	\$100,000

**Pending TOC Approval

*** ALL RACES ARE GUARANTEED unless indicated

2019 Santa Anita Summer Stakes

Run Date	Race	Age	Distance	2017 Purse	2018 Purse	2019 Purse
Sat Apr 13	Thor's Echo Stakes	3 & UP (CB)	6 F	\$100,000	\$100,000	\$100,000
Sun Apr 14	Dream of Summer Stakes	FM, 3 & UP (CA)	1 M	\$100,000	\$100,000	\$100,000
Sat Apr 20	American Stakes (Grade III)	3 & UP	1 M (T)	\$100,000	\$100,000	\$100,000
Sat Apr 20	Kona Gold Stakes (Grade II)	3 & UP	6 1/2 F	\$200,000	\$200,000	\$200,000
Sun Apr 21	San Juan Capistrano Stakes (Grade III)	3 & UP	abt 1 3/4 M (T)	\$100,000	\$100,000	\$100,000
Sat Apr 27	Californian Stakes (Grade II)	3 & UP	1 1/8 M	\$200,000	\$200,000	\$200,000
Sat Apr 27	Santa Barbara Stakes (Grade III)	F/M, 3 & UP	1 1/2 M (T)	\$100,000	\$100,000	\$100,000
Sun Apr 28	San Simeon Stakes (Grade III)	3 & UP	abt 6 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sat May 4	Senorita Stakes (Grade III)	F, 3 YO	1 M (T)	\$100,000	\$100,000	\$100,000
Sat May 4	Singletary Stakes	3 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Sun May 5	Adoration Stakes (Grade III)	F/M, 3 & UP	1 1/16 M	\$100,000	\$100,000	\$100,000
Sat May 11	Lazaro Barrera Stakes (Grade III)	3 YO	7 F	\$100,000	\$100,000	\$100,000
Sat May 11	Angel's Flight	F, 3 YO	7 F	\$75,000	\$75,000	\$75,000
Sun May 12	Desert Code Stakes	3 YO	abt 6 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sat May 18	Monrovia Stakes (Grade II)	F/M, 3 & UP	abt 6 1/2 F (T)	\$200,000	\$200,000	\$200,000
Sun May 19	Fran's Valentine Stakes	F/M, 3 & UP	1 M (T)	\$100,000	\$100,000	\$100,000
Sat May 25	Gamely Stakes (Grade I)	F/M, 3 & UP	1 1/8 M (T)	\$300,000	\$300,000	\$300,000
Sat May 25	The Gold Cup at Santa Anita (Grade I)	3 & UP	1 1/4 M	\$500,000	\$500,000	\$500,000
Sat May 25	Charles Whittingham Stakes (Grade II)	3 & UP	1 1/4 (T)	\$200,000	\$200,000	\$200,000
Sun May 26	Desert Stormer Stakes	F/M, 3 & UP	6 F	\$100,000	\$100,000	\$100,000
Mon May 27	Snow Chief Stakes	3 YO (CA)	1 1/8 (T)	\$200,000	\$200,000	\$200,000
Mon May 27	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat Jun 1	Beholder Stakes (Grade I)	F/M, 3 & UP	1 M	\$400,000	\$400,000	\$400,000
Sat Jun 1	Shoemaker Millè (Grade I)	3 & UP	1 M (T)	\$400,000	\$400,000	\$400,000
Sun Jun 2	Pleasantly Perfect Stakes	F/M, 3 & UP	1 & 1/2 M (T)	\$75,000	\$75,000	\$75,000
Sat Jun 8	Honeymoon Stakes (Grade II)	F, 3 YO	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat Jun 8	Affirmed Stakes (Grade III)	3 YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sat Jun 15	Summertime Oaks (Grade II)	F, 3 YO	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat Jun 15	Rainbow Stakes	3 YO	1 1/8 M (T)	\$75,000	\$100,000	\$100,000
Sat Jun 22	San Carlos Stakes (Grade II)	3 & UP	7 F	\$250,000	\$250,000	\$250,000
Sun Jun 23	Wilshire Stakes (Grade III)	F/M, 3 & UP	1 M (T)	\$100,000	\$100,000	\$100,000

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	Weekdays	Weekends & Holidays	Daily**
Race 1	1:00 PM	12:30 PM	1:00 PM
Race 2	1:30 PM	1:00 PM	1:30 PM
Race 3	2:00 PM	1:30 PM	2:00 PM
Race 4	2:30 PM	2:00 PM	2:30 PM
Race 5	3:00 PM	2:30 PM	3:00 PM
Race 6	3:30 PM	3:00 PM	3:30 PM
Race 7	4:00 PM	3:30 PM	4:00 PM
Race 8	4:30 PM	4:00 PM	4:30 PM
Race 9	*5:00 PM	4:30 PM	*5:00 PM
Race 10	*5:30 PM	*5:00 PM	*5:30 PM
Race 11	*6:00 PM	*5:30 PM	*6:00 PM
Race 12	*6:30 PM	*6:00 PM	*6:30 PM
Race 13	*7:00 PM	*6:30 PM	*7:00 PM
Race 14	*7:30 PM	*7:00 PM	*7:30 PM

(*) When applicable

Exceptions:

12:00 PM	Opening Day	Wednesday, December 26, 2018
12:30 PM	Opening Week	Friday, December 28 – Tuesday, January 1, 2019
12:00 PM	Cal Cup	Saturday, January 26, 2019
11:00 AM	Super Bowl Sunday	February 3, 2019
12:00 PM	Santa Anita Handicap	Saturday, March 9, 2019
12:00 PM	Santa Anita Derby	Saturday, April 6, 2019
11:00 AM	Kentucky Derby Day	Saturday, May 4, 2019***
11:00 AM	Preakness Day	Saturday, May 18, 2019***
11:00 AM	Belmont Stakes Day	Saturday, June 8, 2019***

** Beginning between Sunday, March 10, 2019 and Thursday, May 2, 2019.

*** Post time subject to change with reasonable advance notice to the board.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

Addendum A on file

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club, Incorporated**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **481360**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Tim Ritvo – President (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Officer & Director), 0 Shares
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Rebecca Neimark – CFO (Officer)
Greg Harnish – Assistant Secretary (Officer & Director)
Scott Daruty – Senior Vice President (Officer), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Laura Proniuk, Share Register in Toronto, Canada**
Email: laura.proniuk@stronachgroup.com
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Developments Investments Inc.**
 A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **Not applicable** Yes No
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:

3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Financials will be submitted directly to the Board.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will

be listed in the official program:

- Tim Ritvo – President**
- Scott Daruty – Senior Vice President**
- Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**
- Rick Hammerle – Vice President, Racing and Racing Secretary**
- Nate Newby – Vice President, Marketing**
- Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting**

- Candace Coder-Chew – Director of Print and Graphics**
- Dan Cirimele – Senior Director of Marketing**
- Leonardo Marcos – Director of Food and Beverage**
- Randy Hartzell – Director of Pari-Mutuels**
- Rebecca Neimark – Chief Financial Officer**
- Lou Scalera – Chief of Security**
- Pete Siberell – Director of Community Service and Special Projects**
- Jason Spetnegal – Director of Facilities & Grounds**
- Mike Willman – Director of Publicity and Public Relations**
- Nina Zukin – Director of Information Services**
- Jay Cohen – Hornblower**
- Michael Wrona – Public Address Commentator/Announcer**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
Email: frank.demarco@santaanita.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No
Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
DD Percentage: **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing.



September 14, 2018

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

Pursuant to California Business and Professions Code Section 19601.1, Los Angeles Turf Club, Incorporated ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2019 Winter/Spring Meet, December 19, 2018 through June 25, 2019.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%

For reference, the Players Pick-5 is noted on the license application in Section 5A.

Very truly yours,

Frank DeMarco, Jr.
Vice President Regulatory Affairs
& Secretary

FDM:sm

cc: Tim Ritvo



September 19, 2018

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC) 2018-19 Winter-Spring Race Meet

Dear Ms. Wagner,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2018 through June 23, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Tom Varela
Mary Saenz



September 19, 2018

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC) 2018-19 Winter-Spring Race Meet

Dear Ms. Wagner,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring race meet, December 26, 2018 through June 23, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Tom Varela
Mary Saenz

association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2017-2018	\$1,131,127,243	796,598
2016-2017	\$1,080,109,113	827,068
2015-2016	\$1,039,922,803	782,611
2014-2015	\$1,129,468,228	799,228
2013-2014	\$1,099,222,508	750,548

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: **\$31,098,955**

Prior meet actual: **\$30,359,140**

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **\$301,932**

Prior meet actual: **\$300,586**

2. Overnight stakes:

Current meet estimate: **\$1,800,000**

Prior meet actual: **\$1,800,000**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **\$17,476**

Prior meet actual: **\$17,822**

3. Non-overnight stakes:

Current meet estimate: **\$14,264,875**

Prior meet actual: **\$14,064,875**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$138,494**

Prior meet actual: **\$139,256**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$47,163,830**

Prior meet actual: **\$46,224,015**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **16.1%**

Prior meet actual: **15.6%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.16%**

Prior meet actual: **.15%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$4,966,943**

Prior meet actual: **\$4,870,498**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

NTRA **54,773**

53,709

TOC **426,693**

418,408

CTT Pension **426,693**

418,408

CTT Admin. **213,347**

209,204

Total **\$1,121,506**

Total **\$1,099,729**

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$53,252,279**

Prior meet actual: **\$52,194,242**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$517,012**

Prior meet actual: **\$516,775**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$17,311,392**

Prior meet actual: **\$16,891,671**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$168,072**

Prior meet actual: **\$167,244**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$25,236,105**

Prior meet actual: **\$24,743,718**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$245,011**

Prior meet actual: **\$244,987**

- H. Bank and account number for the Paymaster of Purses' purse account:
On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
Phone: (909) 944-6465 **Email: craigbmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,345**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 - **Los Alamitos – 850**
 - **SLR Training Center – 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Will be forwarded to the board upon receipt.

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.53 per day, per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
 - **Los Alamitos \$180 RT**
 - **SLR Training Center \$360 RT**

Veterinarian's Available for Emergency Services at Santa Anita 2018-2019 Winter-Spring

VETRINARIAN	PHONE	PRACTICE
Anderson, Elizabeth	(626) 808-2508	Sheilds
Araujo, John	(626) 893-7612	Self
Arthur, Rick	(626) 665-8130	UCD-SVM/CHRB
Baker, Vince	(714) 269-1301	Baker
Birch, Sarah	(614) 783-1237	Simpson/Dowd
Blea, Jeff	(626) 862-6149	Blea/Von Bluecher
Blue, Melinda	(626) 233-9922	Blue
Bohannon, Laura	(626) 710-7281	Sheilds
Bradley, Sam	(310) 339-7666	Baker
Brokken, Todd	(626) 862-6198	Blea/Von Bluecher
Buerchler, Sabina	(626) 536-8080	
Byrd, Wade	(310) 245-7000	Baker
Canfield, Cathy	(626) 372-4571	Baker
Carpenter, Ryan	(805) 320-4811	Baker
Dowd, Joe	(818) 400-7498	Simpson/Dowd
Finley, Jenn	(626) 422-6412	Blea/Von Bluecher
Grande, Tim	(626) 476-8743	LATC / CHRB
Hunkin, Dawn (Bloomer)	(626) 862-6150	Blea/Von Bluecher
McCaughan, Mark	(562) 400-3298	
Shields, Don	(626) 485-0374	
Simpson, Ted	(818) 970-1536	
Stead, Dana	(970) 310-4449	LATC
Steppe, Mike	(951) 318-7335	
Valko, Karen	(951) 317-7935	
Von Bluecher, Helmuth	(626) 862-6147	
Warren, Herb	(714) 397-4206	
Wharton, Heather	(805) 218-8489	Blue

Santa Anita Park Wagering Menu and Information

5-24

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Group Bet
1	X	X	X	X	X	X	X		X			X	*
2	X	X	X	X	X	X	X	X			*	X	*
3	X	X	X	X	X	X	X			*	*	X	*
4	X	X	X	X	X	X	X	*		*	*	X	*
5	X	X	X	X	X	X	X	*		*	*	X	*
6	X	X	X	X	X	X	*	*		*	*	X	*
7	X	*	X	X	X	*	*	*		*	*	X	*
8	X	*	X	X	X	*	*	*		*	*	X	*
9	X	*	X	X	X	*	*	*		*	*	X	*
10	X	*	X	X	X	*	*	*		*		X	*
11	X	*	X	X	X	*	*	*				X	*
12	X	*	X	X	X	*	*					X	*
13	X	*	X	X	X	*						X	*
14	X	*	X	X	X							X	*

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(ii) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all eligible races; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Group Bet (Horse Racing Roulette)	ARCI-004-105 Part II(D) Group Bet Method 2	15.43%	\$2 Horse Racing Roulette bet is available on every eligible race.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated September 21, 2018 is denied.

G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

See attached wagering menu

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- Twinspires* (Does not include BetAmerica)
- TVG
- NYRABets
- Bet America*

*Contract negotiation on-going.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the board, except as noted above.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **To be provided to the board upon receipt.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 12D/E

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Exhibit 12D/E**

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds.
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

Pechanga Casino (mini-satellite)
4500 Pechanga Pkwy.
Temecula, CA 92592

Northern California Locations

Location **Club One-Fresno**
Address 1033 Van Ness Avenue
City Fresno, CA 93721

Location **San Jose-Santa Clara Fair**
Address 344 Tully Road
City San Jose, CA 95111

Location **Fresno-The Big Fresno Fair**
Address 1121 Chance Avenue
City Fresno, CA 93702

Location **San Mateo-The Jockey Club**
Address 2495 S. Delaware Street
City San Mateo, CA 94403

Location **Golden Gate Fields**
Address 1100 Eastshore Highway
City Albany, CA 94710

Location **Santa Rosa-Sonoma County Fair**
Address 1350 Bennett Valley Road
City Santa Rosa, CA 95404

Location **Monterey-Monterey County Fair**
Address 2004 Fairgrounds Road
City Monterey, CA 93940

Location **Stockton-San Joaquin County Fair**
Address 1658 South Airport Way
City Stockton, CA 95206

Location **Pleasanton-Alameda County Fair**
Address 4501 Pleasanton Avenue
City Pleasanton, CA 94566

Location **Vallejo-Solano County Fair**
Address 900 Fairgrounds Drive
City Vallejo, CA 94589

Location **Sacramento-California State Fair**
Address 1600 Exposition Blvd
City Sacramento, CA 95815

2018 Santa Anita
 5-28
 Commingled Locations

Exhibit 12D/E

AmWest Entertainment	Ellis Park	Maronas (Uruguay)	Seabrook Greyhound
Amwest Accounts	Emerald Downs	Maryland Jockey Club	Seabrook Greyhound ADW
Greenbrier (WV)	Evangeline Downs	Meadowlands (NJ)	Sol Mutuel
Couer D'Alene Casino (ID)	Fair Grounds	Meadows The	Sol Mutuel - OTB
Triple Crown (SD)	Fair Grounds ADW	Meadows The (ADW) - PA regional	Southland Greyhound
Arapahoe-Mile High	Fair Meadows	Miami Valley Gaming & Racing	State Fair (Lincoln, NE)
Arima Race Club	Favorites at Gloucester (NJ)	Mobile Greyhound	Suaposta (Brazil) ADW
Arizona Downs	Favorites at Vineland (NJ)	Monmouth Park (NJ)	Suffolk District OTB
Arlington I	Finger Lakes	Montana OTB	Suffolk Downs
Bangor Raceway	Finger Lakes ADW	Monticello	Sunland Park
Batavia	Fonner Park	Mountaineer Park	SunRay Park & Casino
Bellerra Park	Freehold (NJ)	Nassau Regional OTB	Tampa Bay Downs
BetAmerica	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taunton ADW
Birmingham Greyhound	Global Wagering Group	Newport Jai Alai	Taunton Dog Track Inc.
Borgata Casino (NJ)	Global Wagering Solutions	New Jersey ADW (4NJBets)	The Downs at Albuquerque
Buffalo Raceway	Betsson, OneXTwo, German Tote	Northfield Park	Thistledown
Canterbury Park	Racebets, Sportech Netherlands, SBO	Cedar Downs OTB	Tioga Downs
Capital District OTB	Magna Bet, Pferdewetten, Dantoto	Northville Downs	Tri-State GH (Mardi Gras)
Catskills OTB	AHDI,Boylesports, Ladbrokes Australia	NYRA	Turf del Norte (Mexico)
Charles Town Race Course	Greenetrack	NYRA ADW	Turf del Norte - Tribeca (D. R.)
Churchill Downs	Greyhound at Post Falls	Oaklawn Park	Turf Paradise
Columbus Raceway	Gulf Greyhound	Oaklawn Park ADW	Turfway Park
Connecticut OTB	Gulfstream Park	Ocean Downs	TVG
Bradley Teletheater, Bristol	Harrah's Philadelphia (Chester)	Panama	TVG High Volume
New Britain, Norwalk, Milford	Harrington Raceway	PariBet ADW	Twin River Greyhound
East Haven, Hartford, Putnam	HarringtonBets ADW (DE regional)	PARX	TwinSpires
Shoreline Star, Sports Haven	Hawthorne Race Course	PARX ADW	TwinSpires High Volume
Torrington, Waterbury	Hawthorne ADW	Penn National	Velocity Wagering Ltd.
Manchester, New London	Hinsdale	Penn National ADW	Venezuela OTB
Willimantic, Sanford OTB	Hoosier Park	Peru	Vernon Downs
John Martin's Manor Restaurant	Horseman's Park	Phumelela	Virginia Equine Alliance
Connecticut OTB ADW	Horseshoe Council Bluffs (William Hill)	Plainridge Race Course	Western OTB
Coushatta Casino	Idabet	Plainridge ADW	Wheeling Downs
Paragon Casino	Indiana Downs	Player Management Group	Will Rogers Downs
Ho-Chunk Casino	Clarksville/Evansville OTB	PMU (Brazil)	Wyoming OTB
Mohegan Sun Casino	Intermountain Racing	Pocono Downs ADW	Wyoming Downs
Oneida Bingo and Casino	Keeneland	Pocono Downs/OTB	XpressBet
Pony Bar Simulcast Center	Keeneland Select ADW	Portland Meadows	Yonkers Raceway
Tote Investment Racing	Kentucky Downs	Potawatomi Casino/ OTB	Yonkers ADW (EmpireCityBets)
Randall James Racetrack	Kentucky OTB	Prairie Meadows	Youbet Group 1
Millenium Racing	Lewiston OTB's	Premier Gateway International	Zia Park
Royal Beach Casino	Lien Games	Premier Turf Club	123Gaming
Divi Carina Bay Casino	Chips Lounge/Rumors OTB	Presque Isle Downs	Separate Pool Locations
Winner's Circle	Howard Johnsons OTB	Remington Park	Camarero (Puerto Rico)
Camouflage Gaming	Skydancer Casino OTB	Remington OTB Network	Caymanas (Jamaica)
Corpus Christi Greyhound	X the Spot (Virgin Islands)	Relama Park	Chile
Dayton Raceway	Offtrackbetting ADW	Racing & Gaming Services	Codere (Mexico/Spain)
Delaware Park	Lone Star	Rillito Park	MIR Books (Caliente)
Delta Downs	Louisiana Downs	Ruidoso Downs	NDS Books (Nevada)
Dover Downs	LVDC	Running Aces Harness Park	Tabcorp (Australia)
Dubuque (Iowa Greyhound)	Atlantis Paradise Casino	Sam Houston	Tattsbet (Australia)
Wild Rose (Clinton)	Buffalo Thunder Resort	Valley Greyhound Park	XB (GWS)
Egg Harbor ITW (NJ)	Foxwoods Resort Casino	Saratoga Harness Raceway	New Zealand Racing Board
Elite Turf Club (1 to 20)	Meskwaki Bingo & Casino	Saratoga Bets (ADW)	Scarborough Downs
	Mahoning Valley	Scioto Downs	

Canadian Locations

Assiniboia, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs
 Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs
 Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres
 Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Arlington	Mahoning Valley
Assiniboia	Monmouth Park
Australia Racing	Mountaineer Park
Belterra	Northlands
Canterbury	NYRA - Aqueduct, Belmont, Saratoga
Churchill Downs	Parx (Philly Park)
Delaware Park	Penn National
Delta Downs	Pimlico
Ellis Park	Portland Meadows
Emerald Downs	Prairie Meadows
Evangeline Downs	Presque Isle
Fair Grounds	Retama Park
Fort Erie	South America Racing
Gulfstream Park	Suffolk Downs
Hastings Park	Sunland Park
Hawthorne	Sunray Park
Indiana Grand	Tampa Bay Downs
Keeneland	Thistledown
Kentucky Downs	Turf Paradise
Laurel Park	Turfway Park
Lone Star	United Kingdom
Louisiana Downs	Woodbine

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races
Los Alamitos Per CHRB calendar Full Card

- I. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

**Board of Directors
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007**

B. Names and addresses of the trustees or directors of the distributing agent:

**Frank DeMarco, Jr., Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007**

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Angel Lopez
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Karen Denovel, Randy Valdez
Placing Judges	Michael Burns, Bob Moreno, Ed Reese
Starter	Jay Slender
Timer	Dane Nelson

B. Management officials in the racing department:

VP-Racing	Rick Hammerle
Racing Secretary	Rick Hammerle
Assistant Racing Secretary	Zac Soto
Paymaster of Purses	Bridget Crawford
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Ms. Barbara Weinstein
9582 Hamilton Ave., Suite 265
Huntington Beach, CA 92626 Phone: (714) 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA Expires: December 31, 2019
Bill O'Brien

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President Contract term: through July 31, 2020
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436 Phone: 818/907-1900
Major video and camera equipment listing attached, Exhibit 14E

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

15. SECURITY CONTROLS**A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.**

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900 Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2020

Major Video Equipment Listing, June 2018

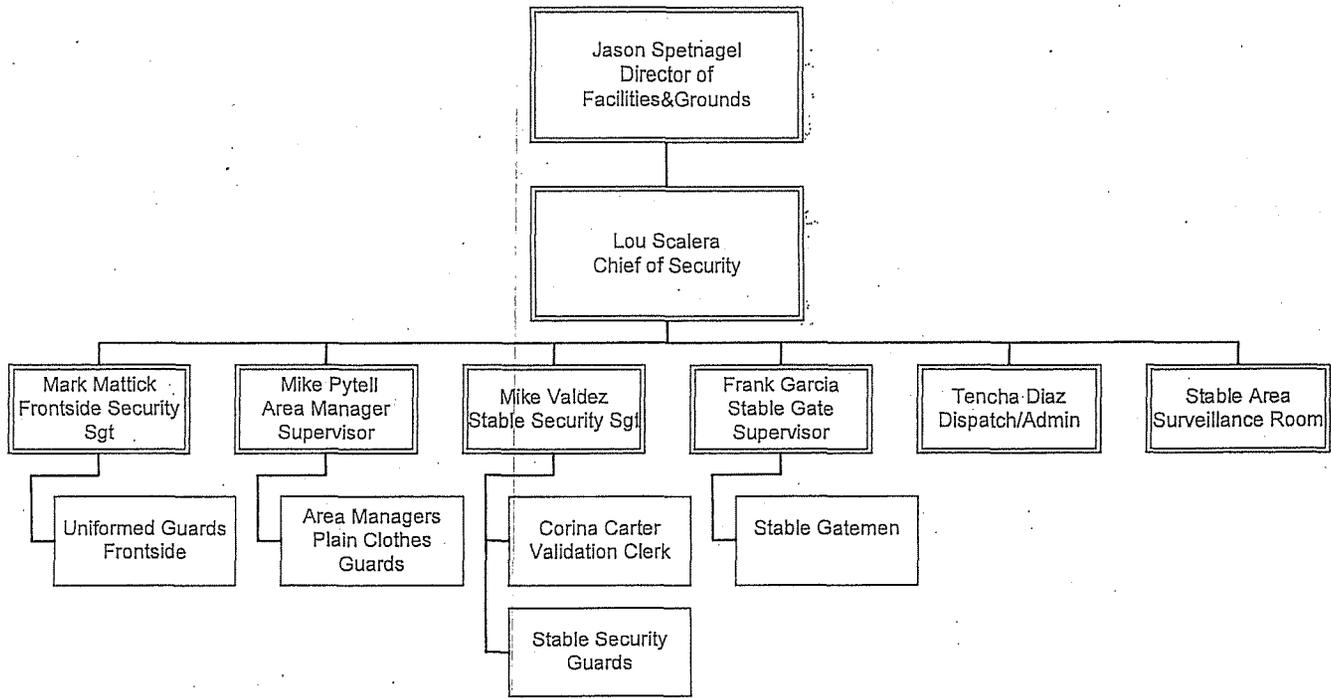
Broadcast Video Production Truck, Executive parking lot (E Lot)
 Avid HD graphics systems with Pegasus DataLinks interface software
 GVG HD Karrera 4ME Switcher
 Recording systems:
 3ea ZPlay HD video recorder, slow motion playback,
 3play 820,
 Audio Calrec Board 120 input
 Stewards Review System

HD Cameras, locations and equipment description

1. Pan camera 1: Sony HXC 100 triax chain Fuji 50x lens
2. Pan camera 2: Sony HXC 100 triax chain Fuji 50x lens
3. Dirt 7/8 tower: Sony HXC 100 triax chain Canon 50x lens
4. Turf 7/8 tower: Sony HXC 100 triax chain Canon 50x lens
5. Dirt 3/8 tower: Sony HXC 100 triax chain Canon 50x lens
6. Turf 3/8 tower: Sony HXC 100 triax chain Canon 50x lens
7. 1/4 pole tower: Sony HXC 100 triax chain Canon 33x lens
8. Turf Hill camera: Sony HXC 100 triax chain Canon 20x lens
9. Tote board: Sony HXC 100 triax chain Canon 20x lens
10. Shutter Camera, fixed Sony super slow motion at finish line
11. Walking ring (WR) 12ft jib, Sony HXC 100 triax chain Canon 50x lens
12. Walking ring tower: Sony HXC 100 triax chain Canon 50x lens
13. Make & break WR/WC: Sony HXC 100 triax chain Canon 20x lens
14. Winner's Circle/Finish Line (WC) 12' jib: Sony HXC 100 triax chain cannon 20 x lens
15. Make & break WR/WC: Sony HXC 100 triax chain Canon 20x lens
16. RF Gate Camera: JVC Camera, proprietary wireless systems - 16x lens
17. Overhead Gate Camera 1: Proprietary RF remote, overhead gate break and follow
18. Overhead Gate Camera 2: Proprietary RF remote, overhead gate break and follow
19. Fixed tunnel camera: Pan Tilt Zoom
20. Saddling Barn West camera: Proprietary remote camera, "spider cam"
21. Saddling Barn East camera: Proprietary remote camera, "spider cam"
22. Fixed Pan tilt zoom Paddock (Saddling Barn) camera
23. Fixed Pan tilt zoom Paddock (Saddling Barn) camera
24. Stewards 5/16th remote Pan tilt zoom
25. Set Camera Sony HXC100 with remote robotics control
26. Stewards booth observation camera

Security Dept

Santa Anita Race Track



B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	4 Investigators
1 Secretary	36 Security Guards
1 Stable Security Manager	13 Gatemen
1 Supervisor Investigator	1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Total of Sixty-Three (63) Cameras (Barns #: 117, 118, 119, 120 and 121)

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

C. Describe the electronic security system:

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and providing access to the barn area, are also under surveillance 24 hours per day. In addition, Santa Anita Park has installed approximately 1,000 additional surveillance cameras to the backside and "gap".

D. For night racing associations. Describe emergency lighting system: **Not applicable**

Exhibit 15BDETENTION BARN PLAN

(June, 2017)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

16. **EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Symons Ambulance
Jeff Grange
18592 Cajon Boulevard
San Bernardino, CA 92407

Phone: (909) 880-2979

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics
Exhibit 16A

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos: **Huntington Ambulance, LLC**
Stacey O'Bryan, President
PO Box 145
Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center: **Richard F. Roesch, President**
Mercy Medical Transportation Inc.
2537 Old San Pasqual Rd.
Escondido, CA 92027

Phone: (760) 525-2688

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Los Alamitos:** Staff by Paramedics with support from Orange County Fire-paramedics. **Exhibit 16B**
- **SLR Training Center:** On track ambulance includes two paramedics. **Exhibit 16B**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

- Contracted Track Physician
- Contracted Paramedics (on the track) and (2 in First Aid)
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

If quarter horse racing association sees D.1.:
Dr. Davis Skinner
10010 California Avenue
South Gate, CA 90280

Phone: (323) 567-2425



Monday July 16, 2018

To: Paige Rickerd

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Juan Espinoza
Operations Manager
(626) 222-4236
jespinoza@symonsambulance.com

MERCY

*Medical
Transportation
Inc.*

Valley Center Offices
27350 Valley Center Road
Valley Center, CA 92092
(760) 751-9797 – Office
(760) 751-8880 – Fax

June 25, 2018

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W Huntington Drive

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics and Emergency Medical Technicians (EMTs) that are licensed in the State of California and the County of San Diego. All our employees endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,

Douglas Moriarty

Douglas Moriarty
Vice President of Operations
Mercy Medical Transportation, Inc.

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

March 28, 2018

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

Dr. Tri Minh Vo
10107 Valley Boulevard
El Monte, CA 91731

Phone: (734) 277-3087

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007

Phone: (626) 445-4441

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007

Phone: (626) 445-4441

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 16F

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Dave Taylor, Environmental Health and Safety Manager

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Will be forwarded to the Board upon receipt.

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

Liberty Mutual Insurance Company
PO Box 989000

West Sacramento CA 95798

Policy # WA2-B7D-170912-018

Expires 6/30/2019

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

Exhibit 16J

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trakside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
 - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
 - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hispital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proovedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jintete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proovedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



City of Arcadia

Fire Department

Michael Lang
Fire Chief

October 2, 2018

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2018-2019 Main Meet)

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2018-2019 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2018-2019 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Tim Ritvo, President, L.A.T.C.
Eric Sindler, LATC
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Sherrie Thayer, Executive Assistant, L.A.T.C



Certificate of Insurance

No.: 2018-7-ANITA

Dated: June 28, 2018

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
---	--

This certificate is issued regarding:
 Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-16	Jun 30, 2018 to Jun 30, 2019	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-018	Jun 30, 2018 to Jun 30, 2019	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Matthew Warnholtz
---	---

times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
Jeff Bohland
5090 Montville Trail
Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)
% Toby Turrell
532 1/2 D Via De La Valle
Solana Beach, CA 90275

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)
c/o John Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)
Jason Karches
5790 Miramar Road, Suite 203
San Diego, CA 92121

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the board**

B. Promotional/ Marketing budget for this race meeting: **On file with the board**

Promotional/Marketing budget for prior race meeting: **On file with the board**

C. Number of hosts and hostesses employed for meeting:

- 10* - Group Sales handicapping aides to assist newcomers
- 11* - Food and Beverage hostess's (11 weekends, 4 weekdays)
- 16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

*Estimates

D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

Installation of camera (video only) in the steward's stand, positioned behind the stewards as they review any inquiry/incident. The stewards will have control as to when the camera is on, and will have an "on air" indicator light along the monitor wall to remind them the camera is active. While a camera has been in the stewards' stand for huge-profile national events like the Breeders' Cup and Triple Crown races, this marks the first time a camera will be in use throughout regular race cards.

2. Fans

The Eclipse, Sierra Vista and Sky View Terrace have been remodeled. Additional renovations throughout the year to be completed by Breeders' Cup 2019.

3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$5.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Club House Admission	\$10.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$10.00	Sold on premium days only.
Annual Admission Passes (general)	\$100.00	Calendar Year
Annual Admission Passes (Club House)	\$150.00	Calendar Year
CH Box Seat	\$10.00	Non-Premium Days
	\$30.00	Premium Days
Parking (general)	\$4.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Parking (preferred)*	\$5.00	
Parking (valet)	\$10.00	
Programs (on-track) – Big	\$3.00 **	
Programs (off-track) – Big	\$3.50 **	

(*) Will vary depending upon Group packages sold

(**) Updated pricing as a result of new format

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Annual Season Box 2019:

**Seating for Winter/Spring & Autumn
Does not include Breeders' Cup Days**

Meets: Price includes CH admission

Finish Line Box:	4 Seats	\$4,390
	6 Seats	\$5,850
	8 Seats	\$7,560
Premium Box:	4 Seats	\$3,000
	6 Seats	\$3,750
	8 Seats	\$4,800

C. Describe any "package" plans such as combined parking, admission and program: **Not applicable**

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- Corners (lockers and cubicles) How many
- Showers Steam room, sauna or steam cabinets Lounge area
- Masseur Food/beverage service Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:
Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **445**
- C. Number of restrooms available on the backstretch of the racetrack: **39 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**
- C. The percent of cross slope in the straight-aways is: **3%**
The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal

gooseneck with a 4 inch round rail with safety netting at zero. Duralock portable rail used at other settings. The outside turf rail is a 2 inch metal rail.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Dennis Moore, Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.
Not applicable

23. DECLARATIONS

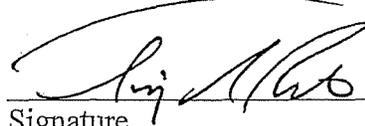
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Will be forwarded to the board upon completion
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board.**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
N/A
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Tim Ritvo
Print Name


Signature

President
Print Title

9/21/18
Date

STAFF ANALYSIS
October 25, 2018

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS DECEMBER 19, 2018 THROUGH JUNE 11, 2019.

Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

- December 19, 2018 through June 11, 2019, or 95 days, the same as 2018. Live racing will commence December 26, 2018 (Opening Day) through June 9, 2019. The association proposes to race a total of 762 to 980 races, or 8.02 to 10.32 races per day. In 2018 PRA raced 8.41 races per day with an average of 6.77 runners per race during the 2018 winter/spring meet. The (actual) average daily purse for the 2018 winter/spring race meeting was \$157,601. The (estimated) average daily purse for this meet is \$164,669.

December - 2018						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

January - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

February - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28		

March - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

April - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

May - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

June - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

- Racing 4 days per week. Thursday through Sunday, with the exception of Wednesday, December 26, 2018, Mondays, December 31, 2018, January 21, February 18, and May 27, 2019 and no racing Thursdays, December 27, 2018, January 3 and 24, February 21, April 11, and April 18, 2019. Racing 7 to 9 races on weekdays, 9 to 11 races on weekends, and 8 to 11 races on holidays with the following exceptions: Wednesday, December 26 will have 7-10 races, Friday, May 3 and May 17 will have 8 to 10 races; Saturday, May 4, 18, June 8 and Sunday, June 9, 2019 will have 9 to 12 races.
- First post 12:45 p.m. daily with the following exceptions:
 - Weekends beginning May 5, 2019 – 1:15p.m.
 - Wednesday, December 26, 2018 (Opening Day) – 12:15p.m.
 - Sunday, February 3, 2019 (Super Bowl) – 11:15a.m.
 - Saturday, May 4, 2019 (Kentucky Derby) – 11:45 a.m.
 - Saturday, May 18, 2019 (Preakness) – 11:45 a.m.
 - Monday, May 27, 2019 (Memorial Day) – 12:45 p.m.
 - Saturday, June 8, 2019 (Belmont Stakes) – 11:45 a.m.
- PRA requests to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched. LATC also requests to use an alternative definition of “unique” for its Pick 6 payout.
 - PRA must receive authorization from the Board, pursuant to ARCI 004-105(G)(4), to allow patrons to select an alternate wagering interest.
 - PRA is required, pursuant to ARCI 004-105(G)(2)(g), to identify which definition of “unique” under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. PRA is requesting an alternative definition that is not referenced in the ARCI Model Rules or CHRB’s rules. The Board has the authority, under ARCI 004-105(G)(1), to approve the proposed LATC definition.
 - Additionally, PRA requests that on any day in which a surface changes after the Pick 6 pool has closed, the race(s) are declared a “Win All,” not a “no contest.” Also, PRA requests that in such instances, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. The Board has the authority, under ARCI 004-105(G)(1), to approve these requested changes.
- Wagering program will use CHRB rules and ARCI rules.
 - Advance wagering will be offered Friday, May 3, 2019 for the Kentucky Derby; Friday, May 17, 2019 for the Preakness; and on Friday, June 7, 2019 for the Belmont Stakes.
 - Pick (n) contests – requests that Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests.
 - \$2 Group Bet (Horse Racing Roulette) available on every eligible race [New].
 - \$2 Win, Place, and Show parlay available on every eligible race. The wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.

- \$2 Rolling Daily Double. Request 20% takeout.
 - \$.50 Pick 3.
 - \$.50 Pick (n) Pool 4 on races 2-5 and last four races each day. No consolation; 100% payout; Alternate runner provision.
 - \$.50 Pick (n) Pool 5 on first five races; 100% payout to all tickets selecting five winners. No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision. Request 14% takeout.
 - \$.50 Pick (n) Pool 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
 - \$1 Super High Five on all eligible races; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including next race day).
 - \$.20 Pick (n) Pool 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
- Specific changes from the 2018 license application:
 - Parking (general) from \$5 to \$6
 - Parking (preferred) from \$10 to \$12
 - Parking (valet) from \$10 to \$12
 - Programs (on-track and off-track) from \$2.50 to \$2.75
 - Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires, TVG, NYRABets, and BetAmerica.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- A copy of the wagering agreement between NYRABets and Monarch Content Management Tracks has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Simulcasting in Southern California only.

Pacific Racing Association (PRA) application indicates that it will be conducting simulcast wagering with southern California satellites only. In the application submitted by PRA for same time period in 2017, all licensed satellite wagering facilities were listed. The present application, as submitted, envisions the elimination of satellite wagering opportunities at all northern locations. Each of these locations are in northern California, meaning that the PRA application intends for there to be no satellite wagering in northern California during their race meet.

Staff views this portion of the application to be impermissible based on applicable laws and regulations.

Specifically, California Business and Professions Code section 19608 requires an association which makes more than 1.5 million in daily average handle, to make its audiovisual signal available to authorized satellite wagering facilities. Section 19619.6 requires that every association that provides its live audiovisual signal also accept wagers from the satellite wagering facilities. Moreover, CHRB Rule 2058(e) which addresses the duties of a racing association offering simulcast wagering, states that all wagers made available by a host association shall be made available to all simulcast facilities.

As stated, Staff views PRA's application requesting to offer simulcast wagering to southern California satellites only, to be in conflict with the provisions mentioned above.

Specific information **still needed** to complete this application:

1. Thoroughbred Owners of California agreement.
2. California Thoroughbred Trainers agreement.
3. Pertinent 2019 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated Advance Deposit

Wagering providers [Xpressbet, TVG, Twinspires, and BetAmerica] to accept wagers on races conducted in and outside of California for the applied license term.

4. Horsemen approval of Advance Deposit Wagering.
5. Agreements with all licensed northern California satellites.

Specific information which will need **updating** during the proposed race dates:

1. Albany fire clearance (expires January 1, 2019).
2. Berkeley fire clearance (expires May 30, 2019).
3. TrackMaster agreement (expires December 31, 2018)

RECOMMENDATION:

Staff recommends that the application not be heard at the October 25, 2018 Regular Board meeting.

GOLDEN GATE FIELDS

September 17, 2018

Rick Baedeker
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Rick,

This letter is in regards to Pacific Racing Association's ("PRA") "Application for License to Conduct a Horse Racing Meeting" for the time period of December 19, 2018 through June 11, 2019 (the "Race Meet Application").

As specified in the Race Meet Application, PRA wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition under ARCI 004-105(G)(16)(b) PRA will use to identify a unique ticket. PRA requests that a combination of the two definitions to define unique be used. Specifically, PRA wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above."

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

PRA also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, PRA is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

PRA requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Jackie Wagner
John McDonough
Tim Ritvo
Scott Daruty

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
david.duggan@goldengatefields.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **December 19, 2018 through June 11, 2019**
- B. Actual dates racing will be held: **December 26, 28-31; January 1, 4-6, 10-13, 17-20, 21, 25-27, 31; February 1-3, 7-10, 14-17, 18, 22-24, 28; March 1-3, 7-10, 14-17, 21-24, 28-31; April 4-7, 12-14, 19-21, 25-28; May 2-5, 9-12, 16-19, 23-26, 27, 31; June 1-2, and 6-9.**
- C. Total number of days or nights of racing: **95 days**

CHRB CERTIFICATION

Application received: 9/21/18
Deposit received: on file
Reviewed: [Signature]

Hearing date: 10/25/18
Approved date:
License number:

D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

E. Number of days or nights of racing per week: **4 days per week with the exception of two 3-day race weeks in April 2019**
Racing Thursdays through Sundays
Exceptions:
Racing on Wednesday: 12/26/18
Racing on Mondays: 12/31/18, 1/21/19, 2/18/19, and 5/27/19
Racing on Tuesday: 1/1/19
Dark days: Thursdays: 12/27/18, 1/3/19, 1/24/19, 2/21/19, 4/11/19, and 4/18/19

3. RACING PROGRAM

A. Total number of races: **762 – 980**

B. Number of races for each day or night:

- **7 – 9 races on weekdays**
- **9 – 11 races on weekends**
- **8 – 11 races on holidays**
- **Exceptions:**
 - **Wednesday, December 26th will have 7 – 10 races**
 - **Friday, May 3rd will have 8 – 10 races**
 - **Saturday, May 4th will have 9 – 12 races**
 - **Friday, May 17th will have 8 – 10 races**
 - **Saturday, May 18th will have 9 – 12 races**
 - **Saturday, June 8th will have 9 – 12 races**
 - **Sunday, June 9th will have 9 - 12 races**

C. Total number of stakes races: **12 (10 Non-Overnight and 2 Overnight)**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**

E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

PRA/GGF WINTER-SPRING 2019

(December 26 – June 9, 2019)

STAKES SCHEDULE

CALIFORNIA OAKS

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight stakes

Fillies 3yo – 1 1/16 Miles

Saturday, January 19

CALIFORNIA DERBY

\$100,000 Guaranteed

3yo – 1 1/16 Miles

Saturday, February 16

EL CAMINO REAL DERBY

\$100,000 Guaranteed

3yo--1 1/8 Miles

Saturday, April 27

LOST IN THE FOG

\$75,000 Guaranteed

4yo & up – 6 Furlongs

Saturday, April 27

CAMILLA URSO

\$75,000 Guaranteed

Fillies & Mares 4yo & up -5 Furlongs Turf

Saturday, April 27

SF MILE GIII

\$200,000 Guaranteed

3yo & up – 1 Mile Turf

Saturday, April 27

GOLDEN POPPY

\$75,000 Guaranteed

Fillies & Mares 3yo & up -1 1/16 Turf

Saturday, April 27

PRA/GGF WINTER-SPRING 2018

(December 26 – June 10, 2018)

STAKES SCHEDULE

CALIFORNIA DERBY

\$100,000 Guaranteed

3yo – 1 1/16 Miles

Saturday, January 20

CALIFORNIA OAKS

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies 3yo – 1 1/16 Miles

Saturday, February 10

EL CAMINO REAL DERBY

\$100,000 Guaranteed

3yo—1 1/8 Miles

Saturday, February 17

LOST IN THE FOG

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

4yo & up – 6 Furlongs

Monday, February 19

CAMILLA URSO

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies & Mares 4yo & up -6 Furlongs

Saturday, March 17

SF MILE GIII

\$200,000 Guaranteed

3yo & up – 1 Mile Turf

Saturday, April 28

CAMPANILE (Golden State Series)

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED Fillies 3yo -1 Mile Turf

Sunday, April 29

PRA/GGF WINTER-SPRING 2017

(December 26 – June 18, 2017)

STAKES SCHEDULE

CALIFORNIA DERBY

\$100,000 Guaranteed

3yo– 1 1/16 Miles

Saturday, January 21

CALIFORNIA OAKS

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies 3yo – 1 1/16 Miles

Saturday, February 11

EL CAMINO REAL DERBY GIII

\$200,000 Guaranteed

3yo—1 1/8 Miles

Saturday, February 18

LOST IN THE FOG

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

4yo & up – 6 Furlongs

Monday, February 20

CAMILLA URSO

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies & Mares 4yo & up -6 Furlongs

Saturday, March 18

SF MILE GIII

\$100,000 Guaranteed

3yo & up – 1 Mile Turf

Saturday, April 29

CAMPANILE (Golden State Series)

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED Fillies 3yo -1 Mile Turf

Sunday, April 30

SILKY SULLIVAN (Golden State Series)
 \$100,000 Guaranteed
 (Incl. \$37,500 from CBRF & \$12,500 from CMC)
 CALIFORNIA BRED 3yo -1 Mile Turf
 Sunday, April 28

CAMPANILE (Golden State Series)
 \$100,000 Guaranteed
 (Incl. \$37,500 from CBRF & \$12,500 from CMC)
 CALIFORNIA BRED Fillies 3yo -1 Mile Turf
 Sunday, April 28

ALCATRAZ
 \$75,000 Guaranteed
 3yo- 1 Mile Turf
 Sunday, May 19

ALL AMERICAN GIII
 \$100,000 Guaranteed
 3yo & up - 1 Mile
 Monday, May 27

ALBANY
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
 3yo & up -5 Furlongs Turf
 Saturday, June 8

SILKY SULLIVAN (Golden State Series)
 \$100,000 Guaranteed
 (Incl. \$37,500 from CBRF & \$12,500 from CMC)
 CALIFORNIA BRED 3yo -1 Mile Turf
 Sunday, April 29

GOLDEN POPPY
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
 Fillies & Mares 3yo & up -1 1/16 Turf
 Saturday, May 12

ALCATRAZ
 \$75,000 Guaranteed
 3yo- 1 Mile Turf
 Sunday, May 20

ALL AMERICAN GIII
 \$100,000 Guaranteed
 3yo & up - 1 Mile
 Monday, May 28

ALBANY
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
 3yo & up -5 Furlongs Turf
 Saturday, June 9

SILKY SULLIVAN (Golden State Series)
 \$100,000 Guaranteed
 (Incl. \$37,500 from CBRF & \$12,500 from CMC)
 CALIFORNIA BRED 3yo -1 Mile Turf
 Sunday, April 30

GOLDEN POPPY
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
 Fillies & Mares 3yo & up -1 1/16 Turf
 Saturday, May 13

ALCATRAZ
 \$75,000 Guaranteed
 3yo - 1 Mile Turf
 Sunday, May 14

ALL AMERICAN GIII
 \$100,000 Guaranteed
 3yo and up - 1 Mile
 Monday, May 29

ALBANY
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
 3yo & up -5 Furlongs Turf
 Saturday, June 10

F. List all post times for the daily racing program:

Race No.	All weekdays during the meet, and all weekends between December 26, 2018 – April 28, 2019	Race No.	All weekends beginning May 5, 2019
1st	12:45 p.m.	1st	1:15 p.m.
2nd	1:15 p.m.	2nd	1:45 p.m.
3rd	1:45 p.m.	3rd	2:15 p.m.
4th	2:15 p.m.	4th	2:45 p.m.
5th	2:45 p.m.	5th	3:15 p.m.
6th	3:15 p.m.	6th	3:45 p.m.
7th	3:45 p.m.	7th	4:15 p.m.
8th	4:15 p.m.	8th	4:45 p.m.
9th	4:45 p.m.	9th	5:15 p.m.
10 th (when applicable)	5:15 p.m.	10 th (when applicable)	5:45 p.m.
11 th (when applicable)	5:45 p.m.	11 th (when applicable)	6:15 p.m.
12 th (when applicable)	6:15 p.m.	12 th (when applicable)	6:45 p.m.

Exceptions:

- Wednesday, December 26, 2018
- Sunday, February 3, 2019
- Saturday, May 4, 2019
- Saturday, May 18, 2019
- Monday, May 27, 2019
- Saturday, June 8, 2019

- Opening Day 12:15 p.m.
- Super Bowl 11:15 a.m.
- Kentucky Derby 11:45 a.m.
- Preakness 11:45 a.m.
- Memorial 12:45 p.m.
- Belmont Stakes 11:45 a.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

1. Registered name of the corporation: **Pacific Racing Association**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Tim Ritvo, President (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Greg Harnish, Assistant Secretary (Officer/Director), 0 Shares
Scott Daruty, Senior Vice President (Officer), 0 Shares
David Duggan, Vice President & General Manager (Officer), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**

6. Number of outstanding shares in the corporation: **100 Shares**

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Laura Proniuk in corporate office (Canada); Email: Laura.Proniuk@stronachgroup.com**

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

TSG Development Investments Inc.

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the Board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
- If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
- If yes, on what exchange and how the stock is listed:

6-14

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

Not applicable

- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed: **Not applicable**

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with Board**
- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

- Tim Ritvo, President**
- Mike Rogers, Vice President, Operations**
- Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary**
- Greg Harnish, Assistant Secretary**
- Scott Daruty, Senior Vice President**
- David Duggan, Vice President & General Manager**
- Rebecca Neimark, Chief Financial Officer**
- Arous Mouradian, Controller**
- Merry Scalzo, Director of Administration**
- Mark Ventresca, Director of Hospitality and Sales**
- Doug Gooby, Mutuel Manager**
- Bob Hemmer, Director of Operations**
- Dan Cirimele, Senior Director of Marketing**
- Ryan Hilton, Marketing/Publicity Manager**
- William Rossi, Public Safety Director**
- Matt Dinerman, Announcer**
- Juan Meza, Track Superintendent**
- Steve Martinelli, Price Maker**
- William Vassar, Track Photographer**
- David Seftel, M.D., Track Physician**

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

David Duggan
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
david.duggan@goldengatefields.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
Daily Double **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.



September 2, 2018

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2018-19 Winter-Spring Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2018 – June 9, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Mary Scalzo



September 2, 2018

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2018-19 Winter-Spring Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 26, 2018 – June 9, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Merry Scalzo, GGF

Golden Gate Fields

September 3, 2018

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

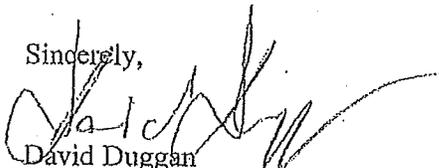
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA winter/spring race meet, December 26, 2018 through June 9, 2019 all inclusive.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



David Duggan
Vice President & General Manager

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2018 (12/26/17-6/10/18)	\$ 34,006,914.29	172,462 (95 live race days)
2017 (12/26/16-6/18/17)	\$ 37,682,905.50	187,631 (99 live race days)
2016 (12/26/15-6/12/16)	\$ 36,428,245.30	177,602 (97 live race days)
2015 (12/26/14-6/14/15)	\$ 38,306,734.90	213,319 (98 live race days)
2014 (12/26/13-6/15/14)	\$ 40,229,270.40	218,081 (99 live race days)

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

- A. Purse distribution: *Prior meet represents December 26, 2017 through June 10, 2018 (95 race days)*

1. All races other than stakes:

Current meet estimate: **\$ 12,919,008.00**

Prior meet actual: **\$ 12,399,612.00**

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **\$ 135,989.56**

Prior meet actual: **\$ 130,522.23**

2. Overnight stakes:

Current meet estimate: **\$ 100,000.00**

Prior meet actual: **\$ 250,000.00**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **\$ 1,052.63**

Prior meet actual: **\$ 2,631.58**

3. Non-overnight stakes:

Current meet estimate: **\$ 900,000.00** (Doesn't include \$100,000.00 CMC/CBRF supplement)

Prior meet actual: **\$ 675,000.00**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$ 9,473.68**

Prior meet actual: **\$ 7,105.26**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$ 13,919,008.00**

Prior meet actual: **\$ 13,324,612.00**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **16.67%**

Prior meet actual: **16.67%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.175%**

Prior meet actual: **.175%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$ 1,178,073.00**

Prior meet actual: **\$ 1,170,891.00**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

TOC (NTRA contribution) \$ 225,055.00

TOC (NTRA contribution) \$ 196,266.00

TOC \$ 128,603.00

TOC \$ 112,152.00

CTT (Administration) \$ 64,302.00

CTT (Administration) \$ 56,076.00

CTT (Pension) \$ 128,603.00

CTT (Pension) \$ 112,152.00

Total \$ 546,563.00

Total \$ 476,646.00

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$ 15,643,644.00**

Prior meet actual: **\$ 14,972,149.00**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$ 164,669.94**

Prior meet actual: **\$ 157,601.57**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$ 11,259,121.00**

Prior meet actual: **\$ 11,005,763.00**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$ 118,517.06**

Prior meet actual: **\$ 115,850.14**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$ 4,368,078.00**

Prior meet actual: **\$ 4,562,820.00**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 45,979.77

Prior meet actual: \$ 48,029.68

- H. Bank and account number for the Paymaster of Purses' purse account: **On file.**
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**

- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **Group Bet. See attached wagering menu.**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **June 9, 2019 for the period of December 26, 2018 through June 9, 2019**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30%. split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

Advance wagering will be offered Friday, May 3, 2019 for the Kentucky Derby, Friday, May 17, 2019 for the Preakness, and on Friday, June 7, 2019 for the Belmont Stakes

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: **AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025**

***PRA /GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES***

**Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham *	415-860-8113
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860
	Dr. Ashton Cloninger *	415-264-1630

* Race day Lasix veterinarian

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Fridays	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Saturdays:	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Sunday:	AM – Dr. Sara Sporer PM – Dr. William Grantham

TBD – Rotating schedule amongst list from exhibit A

Golden Gate Fields Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Group Bet
1	X	X	X	X	X	X	X		X			X	*
2	X	X	X	X	X	X	X	X			*	X	*
3	X	X	X	X	X	X	X			*	*	X	*
4	X	X	X	X	X	X	X	*		*	*	X	*
5	X	X	X	X	X	X	X	*		*	*	X	*
6	X	X	X	X	X	X	*	*		*	*	X	*
7	X	*	X	X	X	*	*	*		*		X	*
8	X	*	X	X	X	*	*	*		*		X	*
9	X	*	X	X	X	*	*	*				X	*
10	X	*	X	X	X	*	*					X	*
11	X	*	X	X	X	*						X	*
12	X		X	X	X							X	*

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
✓ Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
✓ Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
✓ Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
✓ Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
✓ Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
✓ Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
✓ PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
✓ Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
✓ Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
✓ PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(ii) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
✓ Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all eligible races; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including next race day).
✓ Group Bet (Horse Racing Roulette)	ARCI-004-105 Part II(D) Group Bet Method 2	15.43%	\$2 Horse Racing Roulette bet is available on every eligible race.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated September 17, 2018 is denied.

G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

See attached wagering menu.

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet**
- TwinSpires* (BetAmerica is not included)**
- TVG**
- NYRABets**
- BetAmerica***

***Contract negotiation on going.**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

On file with the Board, except as noted above

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Forwarded to Board upon receipt**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

N/A

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

N/A

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Attached
(Southern California only)

Pari-Mutuel Satellite Supervisor Contact List - Day Meets

Southern California Locations:

Location	Bakersfield-Firehouse	Location	OC Tavern Sports Bar and Grill
Address	7701 White Lane	Address	2369 S. El Camino Real
City	Bakersfield, CA 93307	City	San Clemente, CA 92672
Location	Bonita-58 Flat	Location	Original Roadhouse Grill / Santa Maria
Address	4110 Bonita Road	Address	1423 S. Bradley Road
City	Bonita, CA 91902	City	Santa Maria, CA 93454
Location	Cabazon-Fantasy Springs Casino	Location	Sammy's Original
Address	84-245 Indio Springs Drive	Address	23221 Lake Center Drive
City	Indio, CA 92201	City	Lake Forest, CA 92630
Location	Commerce Casino Racebook	Location	Santa Anita
Address	6131 East Telegraph Road	Address	285 W. Huntington Drive
City	Commerce, CA 90040	City	Arcadia, CA 91007
Location	Fairplex Park	Location	Santa Clarita
Address	2201 North White Avenue	Address	21615 W. Soledad Canyon Road
City	Pomona, CA 91768	City	Saugus, CA 91350
Location	Hollywood Park	Location	Striders
Address	3383 W. Century Blvd.	Address	100 Harbor Drive, Suite 100
City	Inglewood, CA 90303	City	San Diego, CA 92101
Location	Lake Elsinore Hotel & Casino	Location	Surfside Race Place at Del Mar
Address	20930 Malaga Road	Address	2260 Jimmy Durante Blvd
City	Lake Elsinore, CA 92530	City	Del Mar, CA 92014
Location	Lake Perris-Sports Pavillion at The Farmer's Fair	Location	Thousand Oaks -Tilted Kilt
Address	18700 Lake Perris Drive	Address	1345 E. Thousand Oaks Blvd.
City	Perris, CA 92571	City	Thousand Oaks, CA 91360
Location	Lancaster-Watch and Wager, Antelope Valley Fair	Location	Ventura-The Derby Club
Address	2551 West Avenue - H	Address	10 West Harbor Blvd.
City	Lancaster, CA 93536	City	Ventura, CA 93001
Location	Los Alamitos	Location	Victorville-San Bernardino Fair Sports Pavilion
Address	4961 Katella Avenue	Address	14800 7th Street
City	Los Alamitos, CA 90720	City	Victorville, CA 92395
Location	National Orange Show-Sports Show	Location	Viejas Casino & Turf Club
Address	930 South Arrowhead Avenue	Address	5000 Willows Road
City	San Bernardino, CA 92408	City	Alpine, CA 91901
Location	Ocean's 11	Location	Pechanga Resort and Casino
Address	121 Brooks Street	Address	45000 Pechanga Pkwy
City	Oceanside, CA 92054	City	Temecula, CA 92592

C. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
See Attached.

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

Attached

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Attached

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Hong Kong Jockey Club. Full/partial card TBD.

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

None.

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

None.

2019 Golden Gate (PRA & PRA II)

Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elle Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scoto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monicello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jal Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hiplca InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabel	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Millford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	XpressBet
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers Raceway
Coushatta Casino	Les Bols (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Paragon Casino	Lewiston OTB's	Raceway Park	Yobet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Onelda Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB		
Tole Investment Racing	Skydancer Casino OTB	Retama	Remington OTB Network
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
Millennium Racing	BetAmerica and Offtrackbetting	Rillito Park	Camperero (Puerto Rico)
Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (California)
Camouflage Gaming	Allantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tallsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskwaki Bingo & Casino		
		Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assinibola, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carleton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

None

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

Forwarded to the Board upon receipt.

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:
 Association Veterinarian(s)

Sara Sporer, D.V.M (Examining Veterinarian)
Forrest Franklin, D.V.M. (CHRB Veterinarian)
William Grantham, D.V.M. (Track Veterinarian)

Clerk of Scales
 Assistant Clerk of Scales
 Clerk of the Course
 Placing Judge/Stakes Coordinator
 Horse Identifier
 Horseshoe Inspector
 Paddock Judge
 Patrol Judge/Steward's Aide
 Placing Judge/Film Analyst
 Patrol Judge/Placing Judge
 Starter
 Timer

Ken Sjordal
Ross Allardyce
Tina Walker-Bryant
Lisa Jones
Darrell Sparks
Agustin Rayas
Linda Anderson
Tammy McDuffie-Morris
Morgann Schlessler
John Leon
Todd Stephens
Jelina Pike

B. Management officials in the racing department:

Director of Racing
 Racing Secretary
 Assistant Racing Secretary
 Paymaster of Purses

Patrick Mackey
Patrick Mackey
Steve Martinelli & Linda Anderson
Austin Rainey

Others (identify by name and title)

Main Track Superintendent
 Turf Track Superintendent
 Price Maker
 Stable Superintendent
 Steward's Secretary/Horsemen Liaison

Juan Meza
Hugo Sandoval
Steve Martinelli
Carrie Fawcett
Marci Knight

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2019.**

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **GPS timing system; Axcis Information Network, Inc., dba TrackMaster, an Equibase Company (effective 3-1-18). Contract expires 12/31/18.**

**Attachment to PRA / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole
3/8 Pole
1/4 Pole
Paddock
Paddock (Gliding)
(2) Pan Cameras on Roof
Jib camera in Paddock
Starting Gate (Robo)
Parking Lot (Robo-scenic)

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

William Rossi, Public Safety Director is responsible for security on-site

Security Department organizational attached

Security Department phone number (510) 559-7370

Stable Security phone number (510) 542-4235 or (510) 559-7525

William Rossi cell phone number (510) 773-7873

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Public Safety Director 7 – Security Corporals/Guards

2 – Security Lieutenant 8 – Stable Security Officers

4 – Security Sergeants 5 – Stable Gate Personnel

1 – Stable Security Sergeant 5 – Fire Guards

1 – Fire Guard Lieutenant

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over

24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:

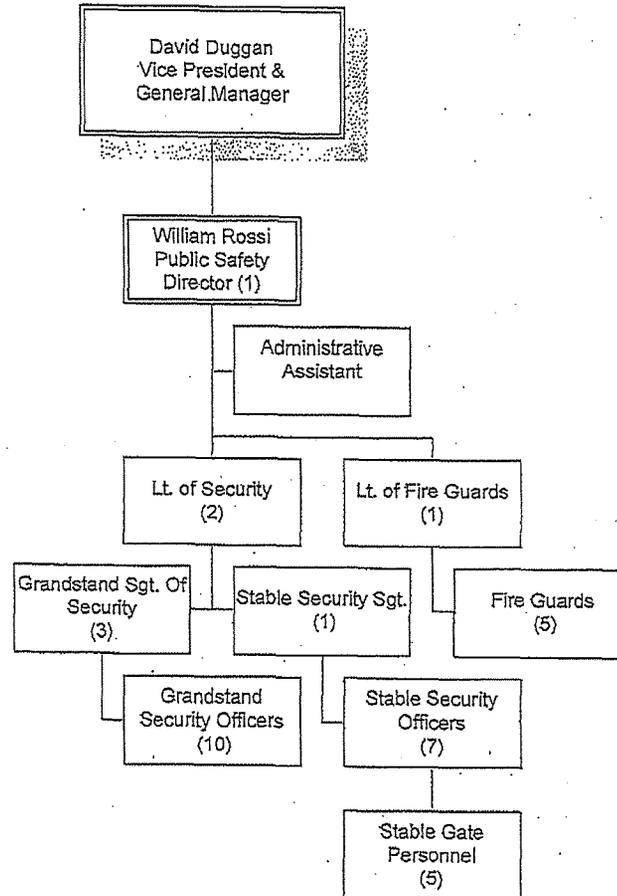
A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

PACIFIC RACING ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 15A



Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to Marciano "Rocky" Alado, Public Safety Director which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRFB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRFB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

**Attachment to PRA / Golden Gate Fields License Application
12B (2) and 12C (1):**

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, one (1) 360° fish-eye in the Receiving Barn, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**

1. Attach a certification from the ambulance service (s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

N/A

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

If quarter horse racing association sees D.1.:

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 7, 2018

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2019

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



GOLDEN GATE FIELDS

DESCRIPTION OF GGF MEDICAL CLINIC (LIVE RACING)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours
Following last posted race;
- Extended hours for necessary follow-up care and/or upon
Request from track management or CHRB.

April 21, 2018

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS RACE TRACK EMERGENCY MEDICAL PROTOCOL

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 16 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

TURF RESCUE AMBULANCE SERVICE

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening – Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

SHIFT PROCEDURES

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

TRAINING HOURS (A.M. SHIFT)

PLACEMENT OF AMBULANCE: Horsemen's Café in barn area (close proximity to race track and Gap gate).

FALLEN JOCKEY/RIDER

If there is a loose horse on the track, that indicates that the jockey/rider has fallen of his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.

LIVE RACING (P.M. SHIFT)

PLACEMENT OF AMBULANCE: Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

FALLEN JOCKEY: It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

CAUTION: Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

NOTE: The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)

The track physician at Golden Gate Fields provides a comprehensive set of services pre, intra and post daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

Pre-racing period

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.
5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that include blood testing and drug testing as proscribed by the California Horse Racing Board.

6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

Intra-racing period

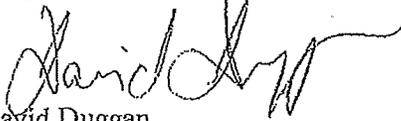
1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital – Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.
14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

Post-racing period

1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
3. In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



David Duggan
Vice President and General Manager
Golden Gate Fields

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st Street, Oakland, CA 94602 (510) 437-4865
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Environmental, Health & Safety
Bob Hemmer, Director of Operations serves as Assistant Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Albany fire clearance letter attached valid through December 31, 2018
Berkeley fire clearance letter attached valid through May 29, 2019
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-018 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI attached valid through June 30, 2019 – Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Armored Car	Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
Track Physician	Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
Official Program	Wesco Graphics Inc., 410 East Grant Line Rd, Tracy, CA 95376



EMERGENCY MEDICAL PROCEDURES -LIVE RACING-

FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



**PROCEDIMIENTOS MÉDICOS DE EMERGENCIA
-DURANTE LAS CARRERAS-
PARA HERIDAS A JINETES EN LA PISTA**

"PRIMEROS AUXILIOS"

- En caso de accidente, los comsarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond).*



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de Jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT
1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Albany California' 94706

December 18, 2017

Mr. Roenbeck,

Fire Inspectors from the Albany Fire Department together with members of Golden Gate Fields Security/ Fire Watch conducted a Fire and Life Safety inspection on December 07, 2017. Public Areas within the Grandstand and the associated facilities were evaluated for preparation of the 2018 Racing Season. Clearance for the facility is granted for all aspects of your operations.

Whereas last year's clearance letter specified a term ending on December 31st of this year, our intent is to provide a seamless transition into 2018 and continue through the entire season.

It is important to note that operations beyond the scope of normal racetrack activities may require additional inspections as needed, such as temporary tent structures in the North Parking Lot, etc.

Please contact our office with any questions.

James S Jorgensen
Fire Inspector
Albany Fire Department
(510) 528-5775



Department of Fire and Emergency Services
Division of Fire Prevention

May 29, 2018

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 (main office)
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, May 7, 2018, the City of Berkeley Fire Prevention Division conducted an annual inspection of the horse stables and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Thursday, May 24, 2018. Upon re-inspection of the violations, it was found that there were no outstanding violations or corrections needed.

These facilities are considered to be compliant with the fire code until the next scheduled inspection unless and until we receive information to the contrary. Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thank you and Camillo Lopez's security team with maintaining the fire & life safety of the horse stables and associated buildings fire code compliant. I have seen a noticeable improvement over the past two years.

Sincerely,

Dorl Tieu
Fire Prevention Inspector, City of Berkeley Fire Department



Certificate of Insurance

No.: 2018-6-PACIF

Dated: June 28, 2018

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
---	--

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY ▪ Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-16	Jun 30, 2018 to Jun 30, 2019	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-018	Jun 30, 2018 to Jun 30, 2019	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

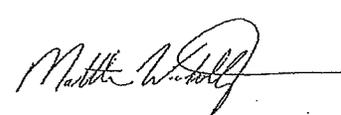
Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

120 Bremner Boulevard
 Suite 800
 Toronto, ON M5J 0A8
 Telephone: 1-844-990-2378
 Fax: -
 Certs.Stronach@marsh.com

Marsh Canada Limited

By: 
 Matthew Warnholtz

Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590

Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the Board**

B. Promotional/ Marketing budget for this race meeting: **On file with the Board**

Promotional/Marketing budget for prior race meeting: **On file with the Board**

C. Number of hosts and hostesses employed for meeting:

1 Customer Service Representative

5 Group Sales Representatives

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Xpressbet Customer Service – An Xpressbet ambassador is open year round with a trained service representative to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Re-designed Winners Circle to improve winning experience
- Added new horse scale in the Receiving Barn
- Added 10 televisions in horsemen Club House box seat area
- Capital barn improvements in the barn area (Barns 41, 51, 72, & 92)
- Added 69 stalls (5 new barns) complete with sprinklers and alarms, 12 tack rooms to barn area, and nine (9) new hay storage sheds
- Upgraded entire fire alarm system in the barn area
- Installed new gate on turf gate to allow easy accessibility for 5/8 races
- Added AED unit to backside Security office
- Replaced old tubes on turf course to allow easier movability
- Ordered new barn area parking lot signage

- Installed new light pole/lights in Groom's parking lot
- Continuation of laundry service for the Jockey's Room by GGF
- Added new televisions with upgraded digital signal to the Steward's booth for viewing the races
- Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December
- Continual roadway, horse paths, and barn repairs

2. Fans

- Initiation of the new Xpressbet Rewards Club which gives fans admission discounts and opportunity to earn wagering points redeemable for rewards
- XBTV will broadcast designated Golden Gate Fields large stakes races
- Heavy GGF Social Media presence in the Bay Area
- Replaced chair inventory in the Grandstand area with 300 new chairs
- Pegasus Communication high definition (HD) conversion; on-site and off-site HD Broadcast
- Replaced carpet in Trackside Court group sales area
- Renovated the Turf Club buffet stand
- Added over 90 televisions throughout the facility
- Jib camera in Paddock will be used for Winners' Circle, Paddock, crowd, and beauty shots
- New carpet in designated Turf Club areas
- New flooring in Grandstand on A Deck and B Deck (mezzanine)
- Replacement of old highway sign with new LED components for clearer display
- Replaced all Top of the Stretch table top televisions (~150) with flat screen LED TV's
- Replaced all Turf Club table top televisions (~282) with LED TVs
- Supplemented Club House television inventory by adding 12-55" LED TVs
- Continual parking lot and roadway repairs

3. Facilities in the restricted areas **Equine Hospital**

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00
Admission (club house)	\$10.00
Admission (turf club)	\$12.00 Weekdays
Admission (turf club)	\$15.00 Weekends/Holidays
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 6.00 (previously \$5.00)
Parking (preferred)	\$12.00 (previously \$10.00)
Parking (valet)	\$12.00 (previously \$10.00)
Programs (on-track)	\$ 2.75 (previously \$2.50)
(off-track)	\$ 2.75 (previously \$2.50)

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00

21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

**TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
Horsemen Free**

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:
None

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett

B. Number of rooms used for housing on the backstretch of the racetrack: **140**

C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**

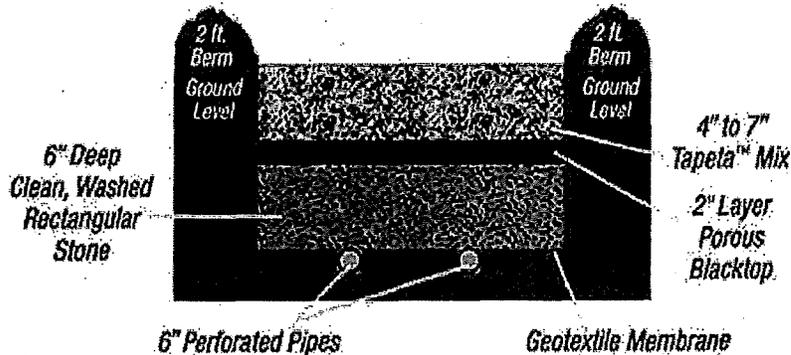
D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.); the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement forwarded to Board upon receipt

CTT agreement forwarded to Board upon receipt

- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Timothy S. Ritvo
Print Name
President
Print Title

[Signature]
Signature
9/20/18
Date

STAFF ANALYSIS
October 25, 2018

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION AT LOS ALAMITOS DECEMBER 26, 2018 THROUGH DECEMBER 17, 2019.

Los Alamitos Quarter Horse Racing Association (LAQHRA) filed its application to conduct a quarter horse racing meeting at Los Alamitos December 26, 2018 through December 17, 2019, or 151 days; one day more than proposed for 2018. LAQHRA proposes to race a total of 1,300 races. The (estimated) average daily purse for the 2018 meet is \$101,981. The (estimated) average daily purse for this meet is \$102,500. The 2018 meet has not been completed.

- The race dates proposed are the dates the Board allocated.

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28		

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

September - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

October - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

November - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

December - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing 3 nights per week, Friday through Sunday, except Sunday, February 3, 2019, and April 21, 2019. Seven to 15 live races per night and 10 to 20 simulcast races.
- First live post 7:00 p.m. Friday, 6:30 p.m. Saturday, 5:00 p.m. Sunday.
 - First live post 6:30 p.m. Sunday when racing concurrently with Del Mar or Santa Anita.
- Specific changes from the 2018 license application:
 - Programs (on-track) from \$3.50 to \$4.50
 - Programs (off-track) from \$4.00 to \$4.75
 - New: Vessels Club Parking Annual \$1,500.00
 - New: Preferred Parking Pass Annual \$500.00
- Wagering program will use CHRB rules.
 - Early wagering will start at 9:30 a.m. all live race days.
 - Late Daily Double will occur in last two races of race card.
 - If not enough horses carded in a race to offer Trifecta, will offer \$1 Quinella.
 - Late Pick 4 offered on last four races.
 - Rolling Pick 3 begins in second race of each card and continues through third to last race of card.
 - If field should scratch down to five betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.
 - If running 12 or more races, LAQHRA requests administrative authority to add an additional Pick 4.
 - Pick 4 - 100% major pool, daily payout.
 - Pick 6 - 70% major pool, mandatory payout closing day.
- Advance Deposit Wagering (ADW) provider has not been specified.

- Pursuant to Business and Professions Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

To accept wagers on races conducted in California from a resident of California:

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California:

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents were received in compliance with Business and Professions Code Section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to Business and Professions Code Section 19602, and with authorized locations throughout California.
- Requests Jason Hart be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Pacific Coast Quarter Horse Racing Association race meet agreement.
2. Race-day furosemide administration agreement.
3. Designation of Advance Deposit Wagering providers [response to Section 11].
4. Pertinent 2019 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Alamitos Quarter Horse Racing Association designated Advance Deposit Wagering providers to accept wagers on races conducted in and outside of California for the applied license term.
5. Horsemen approval of Advance Deposit Wagering.
6. Equine Emergency Services [response to Section 9].

Specific information that will need **updating** before during the proposed race dates:

1. Worker's compensation [expires July 1, 2019].

RECOMMENDATION:

Staff recommends that the application not be heard at the October 25, 2018 Regular Board meeting.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 1/16)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:
 Horsemen's Quarter Horse Racing Association dba Los Alamitos Quarter Horse Racing Association
 4961 Katella Ave.
 Los Alamitos, CA 90720 714-820-2800 main 714-820-2820 fax
 cmonji@losalamitos.com
- B. Breed of horse: TB QH-X H
- C. Racetrack name: Los Alamitos Race Course
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. On File
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes-X No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

notice to applicant: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting:
 December 26, 2018-December 17, 2019

CHRB CERTIFICATION

Application received: 9/26/18
 Deposit received: on file
 Reviewed: RP

Hearing date: 10/25/18
 Approved date:
 License number:

- B. Actual dates racing will be held:
- | | |
|--------------------------------------|--|
| December 28-30 | |
| January 4-6, 11-13, 18-20, 25-27 | July 5-7, 12-14, 19-21, 26-28 |
| February 1-2, 8-10, 15-17, 22-24 | August 2-4, 9-11, 16-18, 23-25, 30, 31 |
| March 1-3, 8-10, 15-17, 22-24, 29-31 | September 1, 6-8, 13-15, 20-22, 27-29 |
| April 5-7, 12-14, 19-20, 26-28 | October 4-6, 11-13, 18-20, 25-27 |
| May 3-5, 10-12, 17-19, 24-26, 31 | November 1-3, 8-10, 15-17, 22-24, 29, 30 |
| June 1, 2, 7-9, 14-16, 21-23, 28-30 | December 1, 6-8, 13-15 |
- C. Total number of days or nights of racing: 151
- D. Days or nights of the week races will be held:
- | | | |
|-----------|------------|------------------|
| Wed - Sun | Tues - Sat | Other (specify)X |
|-----------|------------|------------------|
- Friday through Sunday except Super Bowl Sunday 02/03/19, Easter Sunday 04/21/19.
- E. Number of days or nights of racing per week: 3 nights

3. RACING PROGRAM

- A. Total number of races: 1,300 live, 1,800 simulcast = 3,100
- B. Number of races for each day or night: 7-15 live, 10-20 simulcast
- C. Total number of stakes races: 70
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Minor date changes are due to calendar variations.
 3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

Kip Didericksen Overnight Handicap has been eliminated.
- E. Will provisions be made for owners and trainers to use their own registered colors?
- | | | |
|-------|----|---|
| Yes X | No | If no, what racing colors are to be used: |
|-------|----|---|

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
STAKES SCHEDULE

Run	EVENT	Purse & Distance
FRIDAY DECEMBER 30, 2016	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
FRIDAY JANUARY 6, 2017	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY JANUARY 15, 2017	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 20, 2017	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
FRIDAY JANUARY 11, 2017	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 21, 2017	\$200,000 Projected \$100,000 Added 400 YARDS
FRIDAY JANUARY 12, 2017	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 22, 2017	\$200,000 Projected \$100,000 Added 400 YARDS
FRIDAY JANUARY 18, 2017	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
FRIDAY JANUARY 19, 2017	(8) LOS ALAMITOS MAIDEN STAKES\$ THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 23, 2017	120,000 Projected \$25,000 Added 350 YARDS
FRIDAY MARCH 1, 2017	(9) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 11, 2017	\$225,000 Projected \$35,000 Added 400 YARDS
FRIDAY MARCH 2, 2017	(10) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 12, 2017	\$200,000 Projected \$35,000 Added 400 YARDS
FRIDAY APRIL 6, 2017	(11) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
FRIDAY APRIL 15, 2017	(12) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
FRIDAY APRIL 15, 2017	(13) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
FRIDAY MAY 7, 2017	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
FRIDAY MAY 12, 2017	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$25,000 350 YARDS
FRIDAY MAY 13, 2017	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS

2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
FRIDAY DECEMBER 29, 2017	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 7, 2018	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 14, 2018	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 19, 2018	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 10, 2018	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 20, 2018	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 11, 2018	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 21, 2018	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 17, 2018	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 18, 2018	(8) LOS ALAMITOS MAIDEN STAKES\$ THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 28, 2018	120,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 25, 2018	(9) MOONIST HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
FRIDAY MARCH 30, 2018	(10) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 10, 2018	\$225,000 Projected \$35,000 Added 400 YARDS
SATURDAY MARCH 31, 2018	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 11, 2018	\$200,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 8, 2018	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SATURDAY APRIL 14, 2018	(13) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 5, 2018	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 12, 2018	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY MAY 13, 2018	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY DECEMBER 29, 2018	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 6, 2019	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 13, 2019	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 18, 2019	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 9, 2019	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 19, 2019	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 10, 2019	(6) BRAD MCKINZIE WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 20, 2019	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 16, 2019	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 17, 2019	(8) LOS ALAMITOS MAIDEN STAKES\$ THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 27, 2019	120,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 24, 2019	(9) MOONIST HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SATURDAY MARCH 30, 2019	(10) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 9, 2019	\$225,000 Projected \$35,000 Added 400 YARDS
SUNDAY MARCH 31, 2019	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 10, 2019	\$200,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 7, 2019	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SATURDAY APRIL 13, 2019	(13) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 4, 2019	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 11, 2019	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$30,000 350 YARDS
SUNDAY MAY 12, 2019	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 350 YARDS

2017 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY MAY 14, 2017	(17) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, APRIL 30, 2017	\$275,000 Projected \$50,000 Added 300 YARDS
SATURDAY - JUNE 17, 2017	(18) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY - JUNE 18, 2017	(19) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 4, 2017	\$1,000,000 Projected - \$250,000 Added 350 YARDS
SUNDAY JUNE 18, 2017	(20) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 25, 2017	(21) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 1, 2017	(22) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 2, 2017	(23) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 11, 2017	\$150,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 23, 2017	(24) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 8, 2017	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 29, 2017	(25) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 9, 2017	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 29, 2017	(26) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 29, 2017	(27) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 29, 2017	(28) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(29) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(30) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 19, 2018	(17) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 20, 2018	(18) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 6, 2018	\$475,000 - \$500,000 Projected 300 YARDS
SUNDAY MAY 27, 2018	(19) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, FRIDAY, MAY 11, 2018	\$30,000 Added \$7,500 Added+\$22,500 BoFA Racing Challenge 400 YARDS
SATURDAY JUNE 23, 2018	(20) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$30,000 400 YARDS
SUNDAY JUNE 24, 2018	(21) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 10, 2018	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 24, 2018	(22) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JULY 1, 2018	(23) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 7, 2018	(24) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 8, 2018	(25) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 17, 2018	\$150,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 28, 2018	(26) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 14, 2018	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 28, 2018	(27) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 15, 2018	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 28, 2018	(28) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 28, 2018	(29) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 28, 2018	(30) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 28, 2018	(31) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 28, 2018	(32) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 18, 2019	(17) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 19, 2019	(18) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 5, 2019	\$300,000 Projected 300 YARDS
SUNDAY MAY 26, 2019	(19) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, FRIDAY, MAY 10, 2019	\$30,000 Estimated \$10000 Added+\$15,500 B of A 400 YARDS
SATURDAY JUNE 22, 2019	(20) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 400 YARDS
SUNDAY JUNE 23, 2019	(21) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 9, 2019	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 23, 2019	(22) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 30, 2019	(23) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 6, 2019	(24) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 7, 2019	(25) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 16, 2019	\$150,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 27, 2019	(26) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 13, 2019	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 27, 2019	(27) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 14, 2019	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 27, 2019	(28) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 27, 2019	(29) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 27, 2019	(30) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(31) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(32) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

STAKES SCHEDULE

Run	EVENT	Purse & Distance
FRIDAY 29, 2017	(31) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
FRIDAY 29, 2017	(32) CALIFORNIA BREEDERS \$50 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
FRIDAY 29, 2017	(33) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
FRIDAY 29, 2017	(34) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
FRIDAY 29, 2017	(35) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
FRIDAY 29, 2017	(36) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
FRIDAY 29, 2017	(37) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
FRIDAY 29, 2017	(38) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
FRIDAY AUGUST 11, 2017	(39) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE \$7,500 FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, JULY 28, 2017	\$20,000 Added Added+\$12,500 BoFA Racing Challenge 400 YARDS
FRIDAY AUGUST 13, 2017	(40) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 22, 2017	\$70,000 Added \$15,000 added+\$55,000 BoFA Racing Challenge 440 YARDS
FRIDAY AUGUST 18, 2017	(41) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JULY 30, 2017	\$30,000 Added \$7,500 Added+\$22,500 BoFA Racing Challenge 400 YARDS
FRIDAY AUGUST 19, 2017	(42) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 5, 2017	\$25,000 Added \$7,500 Added+\$17,500 BoFA Racing Challenge 350 YARDS

2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 28, 2018	(33) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 28, 2018	(34) CALIFORNIA BREEDERS \$50 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 28, 2018	(35) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 28, 2018	(36) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 28, 2018	(37) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 28, 2018	(38) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 28, 2018	(39) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 28, 2018	(40) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SUNDAY AUGUST 12, 2018	(41) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JULY 29, 2018	\$20,000 Added \$7,500 Added+\$12,500 BoFA Racing Challenge 400 YARDS
SATURDAY AUGUST 11, 2018	(42) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 21, 2018	\$70,000 Added \$15,000 added+\$55,000 BoFA Racing Challenge 440 YARDS
SATURDAY AUGUST 18, 2018	(43) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, JULY 21, 2018	\$25,000 Added \$7,500 Added+\$17,500 BoFA Racing Challenge 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 27, 2019	(33) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 27, 2019	(34) CALIFORNIA BREEDERS \$50 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 27, 2019	(35) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 27, 2019	(36) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 29, 2019	(37) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 27, 2019	(38) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 27, 2019	(39) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(40) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SUNDAY AUGUST 11, 2019	(41) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JULY 28, 2019	\$30,000 Estimated \$7,500 Added+\$7,500 BoFA Racing Challenge 400 YARDS
SATURDAY AUGUST 10, 2019	(42) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 20, 2019	\$40,000 Estimated \$15,000 added+\$20,000 BoFA Racing Challenge 440 YARDS
SATURDAY AUGUST 17, 2019	(43) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 3, 2019	\$50,000 Estimated \$10,000 Added+\$15,000 BoFA Racing Challenge 350 YARDS

2017 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 20, 2017	(43) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 5, 2017	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 27, 2017	(44) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY SEPTEMBER 1, 2017	(45) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY SEPTEMBER 2, 2017	(46) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 3, 2017	(47) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY SEPTEMBER 8, 2017	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
FRIDAY SEPTEMBER 8, 2017	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 24, 2017	(48) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY OCTOBER 6, 2017	(49) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 16, 2017	\$200,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 6, 2017	(50) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
FRIDAY OCTOBER 6, 2017	(51) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SATURDAY OCTOBER 7, 2017	(52) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 17, 2017	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 14, 2017	(53) AUTUMN HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SUNDAY OCTOBER 15, 2017	(54) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 28, 2017	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 29, 2017	(55) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 8, 2017	\$125,000 Projected \$25,000 Added 350 YARDS

2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 19, 2018	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 5, 2018	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 26, 2018	(45) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY AUGUST 31, 2018	(46) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SUNDAY SEPTEMBER 2, 2018	(47) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 2, 2018	(48) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY SEPTEMBER 7, 2018	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
FRIDAY SEPTEMBER 7, 2018	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 23, 2018	(49) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
FRIDAY OCTOBER 5, 2018	(50) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 15, 2018	\$200,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 5, 2018	(51) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 400 YARDS
FRIDAY OCTOBER 5, 2018	(52) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SATURDAY OCTOBER 6, 2018	(53) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 16, 2018	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 13, 2018	(54) AUTUMN HANDICAP TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY OCTOBER 14, 2018	(55) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 27, 2018	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 28, 2018	(56) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 7, 2018	\$125,000 Projected \$25,000 Added 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 18, 2019	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 5, 2018	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 25, 2019	(45) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY AUGUST 30, 2019	(46) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY AUGUST 31, 2019	(47) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 1, 2019	(48) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SATURDAY SEPTEMBER 7, 2019	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SUNDAY SEPTEMBER 8, 2019	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 22, 2019	(49) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
FRIDAY OCTOBER 4, 2019	(50) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 15, 2019	\$175,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 4, 2019	(51) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
FRIDAY OCTOBER 4, 2019	(52) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SATURDAY OCTOBER 5, 2019	(53) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 15, 2019	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 12, 2019	(54) AUTUMN HANDICAP TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY OCTOBER 13, 2019	(55) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 26, 2019	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 27, 2019	(56) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 6, 2019	\$125,000 Projected \$20,000 Added 350 YARDS

2017 STAKES SCHEDULE

Run	EVENT	Purse & Distance
WEDNESDAY NOVEMBER 5, 2017	(56) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 22, 2017	\$1,000,000 Projected \$100,000 Added 400 YARDS
WEDNESDAY NOVEMBER 5, 2017	(57) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
FRIDAY NOVEMBER 11, 2017	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
WEDNESDAY NOVEMBER 12, 2017	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 21, 2017	\$800,000 Projected \$250,000 Added 400 YARDS
FRIDAY NOVEMBER 18, 2017	(60) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
WEDNESDAY NOVEMBER 19, 2017	(61) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
WEDNESDAY NOVEMBER 24, 2017	MOUNT RUSHMORE OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
FRIDAY NOVEMBER 25, 2017	(62) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
WEDNESDAY NOVEMBER 3, 2017	(63) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
WEDNESDAY NOVEMBER 8, 2017	(64) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
FRIDAY NOVEMBER 9, 2017	(65) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
WEDNESDAY NOVEMBER 10, 2017	(66) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$16,000 870 YARDS
WEDNESDAY NOVEMBER 15, 2017	(67) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN FRIDAY, DECEMBER 1, 2017	\$200,000 Projected \$35,000 Added 400 YARDS
FRIDAY NOVEMBER 16, 2017	(68) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 19, 2017	\$600,000 440 YARDS
WEDNESDAY NOVEMBER 17, 2017	(69) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
WEDNESDAY NOVEMBER 17, 2017	(70) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 26, 2017	\$2,000,000 Projected \$400,000 Added 400 YARDS

2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 4, 2018	(57) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 21, 2018	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 4, 2018	(58) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 10, 2018	(59) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 11, 2018	(60) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 20, 2018	\$800,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 17, 2018	(61) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 18, 2018	(62) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
FRIDAY NOVEMBER 23, 2018	MOUNT RUSHMORE OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SATURDAY NOVEMBER 24, 2018	(63) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SUNDAY DECEMBER 2, 2018	(64) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY DECEMBER 7, 2018	(65) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 8, 2018	(66) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 9, 2018	(67) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$16,000 870 YARDS
FRIDAY DECEMBER 14, 2018	(68) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN FRIDAY, NOVEMBER 30, 2018	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY DECEMBER 15, 2018	(69) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 18, 2018	\$750,000 440 YARDS
SUNDAY DECEMBER 16, 2018	(70) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
SUNDAY DECEMBER 16, 2018	(71) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 25, 2018	\$2,000,000 Projected \$400,000 Added

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 3, 2019	(56) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 20, 2019	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 3, 2019	(57) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 9, 2019	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 10, 2019	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 20, 2018	\$800,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 16, 2019	(60) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 17, 2019	(61) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 23, 2019	(62) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SUNDAY DECEMBER 1, 2019	(63) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY DECEMBER 6, 2019	(64) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 7, 2019	(65) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 8, 2019	(66) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
FRIDAY DECEMBER 13, 2019	(67) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN FRIDAY, NOVEMBER 29, 2019	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY DECEMBER 14, 2019	(68) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 17, 2019	\$600,000 440 YARDS
SUNDAY DECEMBER 15, 2019	(69) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
SUNDAY DECEMBER 15, 2019	(70) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 24, 2019	\$2,000,000 Projected \$400,000 Added 400 YARDS

For date changes are due to calendar variations.

**LOS ALAMITOS
QUARTER HORSE RACING ASSOCIATION
PURSE SCHEDULE
09/21/18**

10 Entries	2 yo Maiden	<u>2 YEAR OLDS</u>		10 Entries
		Claiming Price	2 yo Claiming	
	<u>PURSE</u>		<u>PURSE</u>	
7165	5500	2500	7300	8920
7260	5600	4000	7400	9015
7360	5700	5000	7500	9115
7455	5800	6250	7600	9210
7555	5900	8000	7700	9310
7650	6000	10000	8000	9600
7750	6100	12500	8500	10090
7845	6200	16000	10500	12040
7945	6300	20000	11200	12720
8040	6400	25000	11300	12820
8140	6500	30000	11400	12915
8625	7000	Allowance	12000	13500
		Overnight	15000	
		Trials	4000	

LOS ALAMITOS
 QUARTER HORSE RACING ASSOCIATION
 PURSE SCHEDULE
 09/21/18

3 YEAR OLDS & UPWARD

Maiden Claiming Price	10 Entries
-----------------------------	---------------

PURSE

2500	6000	7650
4000	6100	7750
5000	6200	7845
6250	6300	7945
8000	6400	8040
10000	6500	8140
12500	6600	8235
16000	6700	8335
20000	6800	8430
25000	6900	8530
30000	7000	8625
Allowance	7000	8625

3 YEAR OLDS & UPWARD

Claiming Price	10 Entries
-------------------	---------------

PURSE

2500	7000	8625
3200	7200	8820
4000	7400	9015
5000	7600	9210
6250	8000	9600
7500	8500	10090
8000	8800	10380
10000	9200	10770
12500	9500	11065
16000	9700	11260
20000	9800	11355
25000	10000	11550
30000	10500	12040
40000	11000	12525
50000	12000	13500
Allowance	12000	13500
Allowance	13000	14500
	Overnight	15000
	Trials	6000
	Vessels Trials	8000

F. List all post times for the daily racing program:

Race	Friday	Saturday	Sunday	Sunday-Del Mar/Santa Anita
1	7:00	6:30	5:00	6:30
2	7:28	6:58	5:28	6:58
3	7:56	7:26	5:56	7:26
4	8:24	7:54	6:24	7:54
5	8:52	8:22	6:52	8:22
6	9:20	8:50	7:20	8:50
7	9:48	9:18	7:48	9:18
8	10:16	9:46	8:16	9:46
9	10:44	10:14	8:44	10:14
10	11:12	10:42	9:12	10:42
11	11:40	11:10	9:40	11:10
12		11:38	10:08	11:38
13			10:36	
14			11:04	
15			11:32	

notice to applicant: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: X Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file
- C. corporation
- Registered name of the corporation: Horsemen's Quarter Horse Racing Association
 - State where incorporated: California
 - Registry or file number for the corporation: C0618259
 - Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Edward C. Allred	Chairman/Director
Cathy Monji	President/Director
Richard M. English	Chief Financial Officer/Director
John Seibly	Vice President/Director

G. Michael Lyon Secretary
 R. D. Hubbard Director
 Anthony Zidich Director
 Fred Scane Director

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: Quarter Horse Racing Inc 100%
6. Number of outstanding shares in the corporation: 0
7. Are the shares listed for public trading? Yes
 No X
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Wagner, Kirkman, and Blaine
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: Quarter Horse Racing Inc
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No X
10. Registered name of the corporation: N/A
11. State where incorporated: N/A
12. Registry or file number for the corporation: N/A
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: N/A
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A
15. Number of outstanding shares in the corporation: N/A
16. Are the shares listed for public trading? N/A Yes No
 If yes, on what exchange and how is the stock listed: N/A
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A

D. llc

1. Registered name of the LLC: N/A
2. State where articles of organization are filed: N/A
3. Registry or file number for the LLC: N/A
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: N/A
5. Are the shares listed for public trading? N/A Yes No
 If yes, on what exchange and how the stock is listed: N/A

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: N/A
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. N/A Yes No
7. Registered name of the LLC/Corporation: N/A
8. State where articles of organization are filed: N/A
9. Registry or file number for the LLC: N/A
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: N/A
11. Are the shares listed for public trading? N/A Yes No
12. If yes, on what exchange and how the stock is listed: N/A

E. OTHER

1. Name(s) of partners/sole proprietor: N/A
2. If a partnership, attach partnership agreement. N/A

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C. Allred	Chairman
Cathy Monji	President/Director
Richard M, English	Chief Financial Officer
Robert Snyder	Controller
Frank Sherren	Plant Superintendent
Cathy Monji	Director of Security
Bob Hurd	Director of Operations
John Ferrero	Director of Mutuels
Ed Burgart	Track Announcer
John Ferrero	Director Simulcasting/Nominations
Patricia Secrist	Director of Nominations
Dawn Jackson	Paymaster of Purses
Ken Walker	Director of Labor Relations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Cathy Monji, 4961 Katella Ave., Los Alamitos, CA 90720

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage. N/A

Yes

No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. N/A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2017	238,700,510	379,922
2016	243,784,709	424,734
2015	239,639,329	435,733
2014	225,526,240	413,174
2013	249,286,086	460,763

7. **PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):** 2019 Days 151
2018 Days 149

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: 2019 10,900,000
Prior meet actual: 2018 10,688,898

Average Daily Purse (7 A1 number of days):

Current meet estimate: 2019 72,185
Prior meet actual: 2018 71,738

2. Overnight stakes:

Current meet estimate: 2019 N/A
Prior meet actual: 2018 N/A

Average Daily Purse (7 A2 number of days):

Current meet estimate: 2019 N/A
Prior meet actual: 2018 N/A

3. Non-overnight stakes:

Current meet estimate: 2019 3,577,500
Prior meet actual: 2018 3,534,950

Average Daily Purse (7 A3 number of days):

Current meet estimate: 2019 23,692
Prior meet actual: 2018 23,724

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: 2019 14,477,500
 Prior meet actual: 2018 14,223,848

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 2019 10%
 Prior meet actual: 2018 10%

Average Daily Purse (7 B1 number of days):

Current meet estimate: 2019 0.07%
 Prior meet actual: 2018 0.07%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: 2019 570,000
 Prior meet actual: 2018 554,358

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate: 2019	Prior meet actual: 2018
Total 430,000	Total 417,011

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen

(7 A+7 C+7 D):

Current meet estimate: 2019 15,477,500
 Prior meet actual: 2018 15,195,217

Average Daily Purse (7 E number of days):

Current meet estimate: 2019 102,500
 Prior meet actual: 2018 101,981

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: 2019 11,750,000
 Prior meet actual: 2018 11,581,500

Average Daily Purse (7 F number of days):

Current meet estimate: 2019 77,815
 Prior meet actual: 2018 77,728

- G. Purse funds to be generated from interstate handle:
- | | | |
|------------------------|------|-----------|
| Current meet estimate: | 2019 | 1,950,000 |
| Prior meet actual: | 2018 | 1,859,168 |
- Average Daily Purse (7 G number of days):
- | | | |
|------------------------|------|--------|
| Current meet estimate: | 2019 | 12,914 |
| Prior meet actual: | 2018 | 12,478 |
- H. Bank and account number for the Paymaster of Purses' purse account: On file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Robert Passero, 230 Old Ranch Rd., Seal Beach, CA 90740

notice to applicant: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1100
- B. Minimum number of stalls believed necessary for the meeting: 1100
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: N/A
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A

- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
There is a rotation of private veterinarians on site during all training hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	type of wagers	applicable rules
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1DD, \$1PNP4, \$1 TRI, \$1SF, \$1PPN	CHRB 1959, 1957, 1976.9, 1979, 1979.1, 1976.8
Race #2	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #4	\$1E, \$1PK3, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1957
Race #5	\$1E, \$1PK3, \$1TRI, \$1SF, \$1PNP6, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1976.9, 1957

Race #6	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #7	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #8	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #9	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #10	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #11	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #12	\$1E, \$1PK3, \$1TRI, \$1SF, \$1PNP4	CHRB 1959, 1977, 1979, 1979.1, 1976.9
Race #13	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #14	\$1E, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1979, 1979.1, 1957
Race #15	\$1E, \$1TRI, \$1SF	CHRB 1959, 1979, 1979.1,

1. Late daily double will always occur in the last two races of the race card.
2. If there are not enough horses carded in a race to offer a trifecta, we will offer a \$1 quinella, CHRB 1958.
3. Late pick four will always be offered on the last four races of the race card, CHRB 1976.9.
4. Rolling pick three begins in the second race of each card and continues through the 3rd to last race of the card.
5. If a field should scratch down to 5 betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.
6. If running 12 or more races LAQHRA requests administrative authority to add an additional pick four.
7. Pick four rule-1976.9-100% Major pool, Daily payout.
8. Pick Six rule-1976.9-70% Major, Mandatory payout closing day.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. none
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 15, 2019
- D. List any options requested with regard to exotic wagering: N/A
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Friday, Saturday, Sunday at 9:30am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Amtote International, contact person: Dave Payton, contract expires 10/25/20 (with our option to extend for 5 years).
- G. List below the takeout percentage for each type of wager identified in 10.A.:

Takeout percentage
(Example) PNP5-14%

Win, Place, Show-17.63%
All others- 22.88%

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes

No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. On file
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

Alameda County Fair, Pleasanton
Anderson
The Jockey Club At San Mateo
Big Fresno Fair, Fresno
California State Fair \$ Expo, Sacramento
Club One, Fresno
Golden Gate Fields, Albany
Tulare County Fair, Tulare
Monterey County Fair, Monterey
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Stanislaus County Fair, Turlock
Tulare County Fair, Tulare

Southern California

Barona Casino, Lakeside
Ventura County Fair, Ventura
San Bernardino County Fair, Victorville
Viejas Casino & Turf Club, Alpine
Del Mar Thoroughbred Club, Del Mar
Hollywood Park Casino, Inglewood
Desert Expo Centre, Indio
The Farmers Fair, Perris
Antelope Valley Fair, Lancaster
Sycuan Gaming Center, El Cajon
National Orange Show, San Bernardino
Fairplex Park, Pomona
Santa Anita, Arcadia

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

Mexico (including Agua Caliente and related books, Juarez and related books, and Hipodromo de las Americas and related books); and those included in E.

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

The Caribbean Basin (Las Caymanas Park and various books on St. Marten, Jamaica, Barbados, and Trinidad); Dominican Republic (Hipodromo V. Centenario); Canada (Assiniboia Downs, Barrie Downs, Dresden, Fraser Downs, Dawartja Downs. Elmira Raceway, Flamboro Downs, Fraser, Kawartha Downs, Marquis Downs, Queensbury Downs, Red Shores, Rideau Carlton, Hipodromo l'Hippodrome de Montreal, Calgary Stampede, Hastings Park, Northland Park, Ontario Jockey Club, Picov, Rocky Mountain Turf, Stampede Park, Western Fair, Windsor Raceway, Woodbine, Woodstock, and others); Argentina (La Plata, San Ysidro, Pallermo, and related books); Austria, Belarus, Belgium, Brazil (Jockey Club and related books); Columbia, (LosComuneros); Germany, Ireland, Panama (Codere-PresidenteRemon);Russia. Grenada, United Kingdom, Uruguay (Maronas Racetrack);Venezuela (La Rinconada and related books); U.S. Virgin Islands (books);Peru, Puerto Rico (El Comandante), South Korea, South Africa.

America Tab-OR

AmWest Entertainment-KY

Arapahoe Park-CO

Arima Race Club,Trinidad

Arizona Downs

Arlington International Race Course-IL

Atlantic City Casino Assn.-NJ

Atlantis Paradise, LVDC

Avatar Ventures, LVDC

Balmoral Park-IL

BetPad, OR

Beulah Park-OH

Birmingham Race Course-AL

Blue Ribbon Downs-OK

Buffalo Trotting Association-NY

Calder Race Course-FL

Capital Sports Pty-Australia

Canterbury Park-MN

Capital District OTB-NY

Catskill Off-Track, NY

Choctaw Racing Services, OK

Churchill Downs-KY

Churchill Downs Sports Spectrum-KY

Cities of Gold, LVDC

Citizen Band Potawatomi Indian Tribe (LVDC)

Colonial Downs-VA

Columbus Races-NE

Connecticut OTB-CT

Corpus Christi Greyhound Track-TX

Cypress Bayou Casino

Dairyland Greyhound Park-WI

Day At The Track,

Delaware Park-DE
Delta Downs-LA
DGS Systems Corp, LVDC
Dover Downs-DE
Downs at Albuquerque-NM
Downs at Santa Fe
Ebet
Ellis Park-KY
Elite Turf Club, LVDC
Emerald Downs, WA
Eureka Downs, KS
Euro Off-Track, Isle of Man
European Wagering Services
Evangeline Downs-LA
Fair Grounds Racecourse-LA
Fair Meadows, IA
Fairmount Park-IL
Finger Lakes Race Track-NY
Firelake Entertainment(LVDC) OK
Fonner Park-NE
Foxwoods Casino (LVDC)-CT
Freehold Raceway-NJ
Garden State Park-NJ
Geneva Lakes Kennel Club-WI
Great Lakes Downs-MI
Grēntrack-AL
Gulf Greyhound Park
Gulfstream Park-FL
Harrington Raceway & Simulcast Facility-DE
Hawthorne Race Course-IL
Hazel Park-MI
Hialeah Park-FL
Hinsdale Greyhound Park, NH
Hipica De Panama-MIR
Holiday Beach Hotel (LVDC)-NV
Hoosier Park-IN
Ida Racing, ID
Indiana Downs, IN
International All Sports, Australia
International Racing Group, (IRG)
Jackson Harness Raceway-MI
Keeneland-KY
Kentucky Downs
Ladbroke at the Meadows-PA
Lebanon Trotting Club-OH
Lewiston OTB-ME
Les Bois Park-ID
Lien Games, ND
Lodge At Belmont
Lone Star Race Park-TX
Louisiana Downs-LA
Luckity
Manor Downs-TX
Maryland Jockey Club-MD
Maywood Park-IL
Meadowlands, NJ

Megatote, LVDC
Meskwaki Casino (LVDC)-NV
Mobile Greyhound Park-AL
Monmouth Park-NJ
Montana Simulcast, MT
Monticello Raceway-NY
Mountaineer Park-WV
Mt. Pleasant Meadows-MI
Multnomah Greyhound Park-OR
Nassau Downs OTB-NY
Nebraska State Fair Park-NE
New Jersey Sports & Exposition
New Mexico State Fair-NM
New York City Off-Track Betting-NY
New York Racing Association-NY
Northfield Park-OH
Northville Downs-MI
Northville Racing Corp.-MI
Northwest Racing Associates Ltd.(Emerald)
Oaklawn Park-AR
Ocean Downs-MD
Pari-Bet, LLC
Penn National Race Course-PA
Philadelphia Park-PA
Phumelela Gold, South Africa
Plainridge Racecourse-MA
Playfair Race Course-WA
Pojoaque Pueblo Gaming, Inc. (LVDC)-OK
Pompano Park, FL
Portland Meadows-OR
Potawatomi Indian Tribe, WI
Prairie Meadows-IA
Premier Gateway Int.
Premier Turf Club-ND
Raceway Park-OH
Racing & Gaming Services, St Kitts
Racing2Day-FL
Remington Park-OK
Retama Park-TX
Rillito Park-AZ
River Downs-OH
Rockingham Park-NH
Ruidoso Downs-NM
Running Aces Harness Park, MN
Saddlebrook, TX
Sam Houston Park-TX
Sandy Downs, ID
Saratoga Raceway-NY
Schenectady OTB-NY
Scioto Downs-OH
Silks Corporation, N. Dakota Horse Park, ND
Sol Mutuel Ltd, S. America
Southland Greyhound Park-AR
Spirit Mountain Casino (LVDC)-OR
Social Gaming
Sports Creek Raceway-MI

Sportsman's Park-IL
 Suffolk Downs-MA
 Suffolk OTB, NY
 Sunland Park Racetrack-NM
 SunRay Park and Casino-NM
 Tampa Bay Downs-FL
 Television Games Network-CA
 The Downs @ Albuquerque
 The Lodge at Belmont, NH
 The Racing Channel,
 The Red Mile, KY
 The Royal Turf Club, Antigua
 The Stables (LVDC)-OK
 Thistledown Racing Club-OH
 Tioga Downs, NY
 TP Racing, LLLP (Turf Paradise)-AZ
 TRN International, PA
 Tucson Greyhound Park-AZ
 Turning Stone Casino-NY
 US Off-Track-OR
 Valley Race Park, TX
 Velocity
 Vernon Downs-NY
 Western Regional OTB-NY
 Wichita Greyhound Park-KS
 Will Rogers Downs-OK
 Wonderland Greyhound Park
 Woodlands-KS
 Wyoming OTB-WY
 Yonkers Raceway-NY
 Youbet-Tote Tasmania, Australia
 Zia Park, NM

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Bankers, Salinas	Santa Clarita
Commerce Casino, City of Commerce	Santa Maria Roadhouse
Tilted Kilt, Thousand Oaks	Sammy's -Lake Forest
OC Tavern, San Clemente	FireHouse Restaurant-Bakersfield
Lake Elsinore Hotel and Casino	Striders, San Diego
Striders, Norco	Pechanga Casino-Temecula
Ocean's 11 Casino	Bonita 58 Flat

G. For **thoroughbred** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

notice to applicant: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported

thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **quarter horse** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

Delta Downs	April -July	Selected races
Sam Houston	June-September	Selected races
Prairie Meadows	July-September	Selected races
Remington Park	March-June	Selected races
Ruidoso Downs	May-September	Selected races
September 1, 2	All American Gold Cup, Derby and Futurity	
Fair Grounds	August-September	Selected races
Fair Meadows/Tulsa	May-July	Selected races
Hoosier Park	September	Selected races
Evangeline Downs	September-December	Selected races
Lone Star Park	October-December	Selected races
Canterbury Park	May-September	Selected races
Indiana Downs	May-July	Selected races
Emerald Downs	TBA	Selected races
Portland Meadows	TBA	Selected races
Zia Park	TBA	Selected races
Retama Park	TBA	Selected races

- I. For **standardbred** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- J. For **all** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Meadowlands races	Standardbred	TBA	Selected
Tioga races	Standardbred	TBA	Selected
Hawthorne races	Standardbred	TBA	Selected
Red Mile races	Standardbred	August/September	Selected
Western Fair races	Standardbred	TBA	Selected
Pompano Downs races	Standardbred	TBA	Selected
Dover Downs races	Standardbred	TBA	Selected
The Meadows races	Standardbred	TBA	Selected
Northfield Park races	Standardbred	TBA	Selected
Vernon Downs races	Standardbred	TBA	Selected
Flamboro	Standardbred Selected races	TBA	
Mohawk Raceway races	Standardbred	TBA	Selected
Woodbine	Standardbred Selected races	TBA	
Penn National races	Standardbred	TBA	Selected
Rosecroft	Standardbred Selected Races	TBA	
Running Aces races	Standardbred	TBA	Selected
Rideau Carleton races	Standardbred	TBA	Selected
Mohegan Sun @Pocono	Standardbred Selected races	TBA	
Remington Park races	Paint	TBA	Selected
Emerald Downs races	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected
Hastings races	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected

Delta Downs races	Tb, 4 ¼ furlong, \$5000 claimers or less TBA	Selected
Portland Meadows	Tb, 4 ¼ furlong, \$5000 claimers or less TBA	Selected races

- K. For **all** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). Agreements forwarded as needed.

notice to applicant: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Los Alamitos Quarter Horse Charity Foundation, 4961 Katella Ave., Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
Robert Main 4961 Katella Ave., Los Alamitos, CA 90720
Althea Miller 4961 Katella Ave., Los Alamitos, CA 90720
Charles Brain 4961 Katella Ave., Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes X

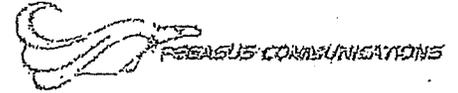
notice to applicant: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|-----------------------------|------------------------------|
| Association Veterinarian(s) | Becky Fitzgerald, DVM |
| Clerk of Scales | Natividad Estrada |
| Film Specialist | Stewards |
| Horse Identifier | Jason Hart |
| Horseshoe Inspector | Brian Griffin |
| Paddock Judge | George Yniguez |
| Patrol Judges | George Yniguez |
| Placing Judges | Tyler Hart, Jordan Castaneda |
| Starter | Gary Brinson |
| Timer | Jordan Castaneda |
- B. Management officials in the racing department:
- | | |
|-------------------------------------|--------------|
| Director of Racing | Jay Conklin |
| Racing Secretary | Jay Conklin |
| Paymaster of Purses | Dawn Jackson |
| Others (identify by name and title) | |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Barbara Weinstein, 9582 Hamilton Ave, STE 265, Huntington Beach, CA 92646
714 964-7102
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien 12/31/19
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communications - Jim Porep 05/06/22
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communications - Jim Porep 05/06/22

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Cathy Monji – Director of Security 714-820-2810
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 21



Jim Porep, Jr., President
Pegasus Communications, Inc.
16633 Ventura Blvd, Suite 1010
Encino, CA 91436
Office 818-907-1900
Cell 951-314-6348

Major Video Equipment Listing

Broadcast Video Production Control Room, Grandstand lower level

HD graphics systems with Pegasus DataLinks interface software
32/16 to Sony 2 ME Switcher

Recording systems:

1- ZPlay HD video recorder, slow motion playback

1- 3play HD video recorder, slow motion playback

1-Sony DigiBeta

Audio Mixing Board

144x Router

Camera locations

1- HD Pan camera 1

1- HD Pan camera 2

1- HD 7/8 tower

1- HD 3/8 tower

1- HD Wireless gate camera system

1- HD Shutter cam at finish line

1- HD Jib mount winner's circle/finish line

2- HD TVG Set

1- HD Pan camera 3 / Isolation, cover shot

1- HD 3/8 quarter horse tower

Organizational Chart

LOS ALAMITOS RACE COURSE
SECURITY DEPARTMENT

DIRECTOR OF SECURITY	CATHY MONJI	OFFICE (714) 820-2810 CELL (949) 355-2203
SERGEANT-DAY SHIFT	FRANK WOZNIAK	OFFICE (714) 820-2661 CELL (562) 275-5373
SERGEANT-NIGHT SHIFT	LUIS RIVAS	OFFICE CELL (626) 675-3663
SERGEANT-DAY STABLE	FERNANDO GOMEZ	OFFICE CELL (951) 768-7094
SERGEANT-NIGHT STABLE	KEITH HICKS	OFFICE (714) 820-2664 CELL (714) 770-1351
DAY SHIFT SECURITY OFFICERS	LUIS RIVAS, JR. GUILLERMO CHAVEZ JOSE MURILLO BUNTHOEUN SOS	DESK/DISPATCH MAINLINE CLUBHOUSE MEZZ
NIGHT SHIFT OFFICERS	JACKIE DIXON HENRY CHAMBERS	MAINLINE PADDOCK
STABLE AREA OFFICERS	JORGE AVINA CESAR FRANCO JOSE ALVAREZ	NIGHT STABLE GRAVEYARD SPLIT SHIFT
OFF HOUR OFFICERS STABLE GATE	KENNETH GRANT APRIL DUDONE RONNIE WHITE CHERI WILLETT ARTURO SANDOVAL SHARRIL JANISKY MARCO AVINA	GRAVEYARD SWING GATE DAY WEST GATE SPLIT SHIFT NIGHT WEST GATE GRAVEYARD GATE DAY GATE

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Not used at this time.
2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races. Not used at this time.
 - B. Number of security guards in the detention stall area during a 24-hour period. N/A
 - C. Describe number and location of surveillance cameras in detention stall area. N/A
3. TCO2 Testing: N/A
 - A. Number of races to be tested, and number of horses entered in each race to be tested. N/A
 - B. Plan for enhanced surveillance for trainers with high-test results. N/A
 - C. Plan for detention stalls for repeat offenders. N/A
 - D. Number of security personnel assigned to the TCO2 program. N/A
- C. Describe the electronic security system:
 1. Location and number of video surveillance cameras for the detention stall and stable gate. N/A
- D. For night racing associations. Describe emergency lighting system: Attached

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Huntington Ambulance, PO Box 145, Sunset Beach, CA 90742
562-904-1550, 714-325-0363 cell -Stacy O'Brien

 1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

Emergency Track Lighting System
Los Alamitos Race Course

The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minuets to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

September 12, 2018

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all EMT's (Emergency Medical Technicians)and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our EMT's and Paramedics are licensed with the CHRB.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A

- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1.:**

1 Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos Medical Center	West Anaheim Medical Center
3751 Katella Ave	3033 West Orange Ave
Los Alamitos, CA	Anaheim, CA 92804
562-799-3212	714-827-3000

In case of a major accident/head injury they are routed to:

Long Beach Memorial	or	UCI
2801 Atlantic Ave		101 The City Dr
Long Beach, CA 90802		Orange, CA 92868
562-933-2000		714-456-7890

This will be determined by the Paramedics, not the race track or EMT's

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Same as D (1).
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Allie Rodriguez/FLSIG-safety manager
Frank Sherren-safety program director LARC
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Self Insured- Finish Line Self Insurance Group, certificate # On File
Administrator: Sig Solutions

Los Alamitos Race Course EMT / Paramedic Protocols

The emergency medical services at Los Alamitos Race Course operate under the jurisdiction of the County of Orange Emergency Medical Services Dept. (OCEMS). The OCEMS supervises all paramedic services within the county as well as the licensing of all private ambulance companies.

Orange County regulations stipulate that all paramedic services within the county are provided through the Orange County Fire Authority with no licensing of any private paramedic ambulance services.

The OCEMS has reviewed and approved the protocol for handling on track accidents at Los Alamitos Race Course. This protocol calls for Huntington Ambulance EMT's to respond to any on track accident and stabilize any injured rider. At this point paramedics are called and they respond from the Orange County Fire Station #14 which is located directly adjacent to Los Alamitos Race Course.

Huntington Ambulance crews transport the injured rider to a designated area located just outside a special emergency gate built on the backstretch of the racetrack where they are met by Fire Authority paramedics. This designated area is less than 100 yards from the paramedic station.

The Orange County Fire Authority paramedics evaluate the injured rider and make the determination of whether to transport the rider to a trauma center.

This emergency protocol is in place for both morning training and evening racing accidents.



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Pasos Requeridos en Caso de un Accidente

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100**



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

March 20, 2018

Los Alamitos Race Course
4961 Katella ave.
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspection. Please accept this letter as your fire clearance with an action plan from our agency. Please let me know if you have any questions or need any help.

Thank you for your help and support,

Tony Verdenne
Fire Prevention Specialist
Prevention Field Services Section
714 527-4584 tonyverdenne@ocfa.org

Cc: file

PO Box 905
Seal Beach, Ca 90740

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes X No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
attached
- B. Promotional/ Marketing budget for this race meeting:
attached
Promotional/Marketing budget for prior race meeting:
attached
- C. Number of hosts and hostesses employed for meeting:
attached
- D. Describe facilities set aside for new fans:
attached



FINILIN-03

165
7-43
HAYESH

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
9/12/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	CONTACT NAME: Haley Hayes	
	PHONE (A/C, No, Ext): (925) 660-3515	FAX (A/C, No):
E-MAIL ADDRESS: Haley.Hayes@ioausa.com		
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A: New York Marine & General Insurance Company		16608
INSURED Los Alamitos Race Course 4961 Katella Avenue Los Alamitos, CA 90720	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	WC2018EPP00470	07/01/2018	07/01/2019	PER STATUTE OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Evidence of Coverage

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
--	--

LOS ALAMITOS QUARTER HORSE MEET 2019 MARKETING PLAN

ON TRACK ATTENDANCE/FAN DEVELOPMENT

A. Describe any promotional plans:

1. Los Alamitos will conduct mystery mutuel voucher mailers to be held in July, September and December. The vouchers are sent to off-track players as well as on-track players. Each voucher is worth at least \$5. Vouchers in various other denominations ranging from \$10 to \$2,500 are also mailed.

2. Premium item giveaway nights will be held in February, July, and December. Planned giveaways include a blanket or beanie in February, belt buckle in July, plus the wall calendar on Champion of Champions night in December, and a special Ed Burgart themed giveaway on Los Alamitos Two Million Futurity night in December.

3. Family days at Los Alamitos will be held in the summer with the running of the popular Wienerschnitzel Wiener National in mid-July. One-hundred dachshunds compete for prize money and trophies. The annual event receives great pre-and-post event publicity on television newscasts for the event and the racetrack on the top-rated Channel 5 Morning News. The event annually attracts over 10,000 people, most of which get exposed to the excitement of horseracing for the first time. This event has been adopted by nearly all of the fairs conducting live racing as well as Santa Anita, Del Mar and Golden Gate Fields and by various fairs and tracks nationally. All patrons receive a free event program that includes horse racing information targeted to newcomers to the track. Handicapping selections for the night's racing action are included in the program.

4. The Los Alamitos annual Halloween Carnival will be conducted in late fall. This event benefits the Cypress Boys & Girls Club, as well as the Los Alamitos Race Track Chaplaincy. A costume contest for kids and adults as well as a ton of carnival games are held in a safe, fun, and family oriented environment. Cash prizes are awarded to costume contest participants. The event provides first-time exposure of horseracing to hundreds of local families each year. The Boys & Girls Club provides volunteers to run the carnival games.

5. Los Alamitos will continue to partner with GroupOn to offer Night at the Races packages for its 2018 live racing program. Los Alamitos offers these packages three times per year – Winter, Sprint and Fall. The packages provide a variety of packages with the most popular offering an \$80 value at a discounted price. The package includes passes for two people to the track's Vessels Club, preferred parking, \$10 food voucher, souvenir Los Alamitos logo glasses, and more. Guests receive VIP treatment, a brochure designed for first-time guests. Track personnel have been trained to answer frequently asked question.

Los Alamitos will also conduct day specific GroupOn night at the races. In 2018, a craft beer night was hosted in May. A similar event is planned for 2019 featuring an introduction to racing and handicapping, special meet and greets with jockeys and trainers and more.

7. Los Alamitos Quarter Horse Racing Association will host one handicapping contest to provide its night patrons with an opportunity to win seats to the 2020 National Handicapping Championship in Las Vegas. Two seats will be up for grabs on California Breeders Champions Night in late July.

FAN AMMENITIES

1. Los Alamitos continues to add new TV screens throughout the facility. The mezzanine area now features over 20 new flat screens bringing racing from around the nation.
2. Los Alamitos night meet is also the only meet that continues to offer race results on the telephone. The line includes Ed Burgart's full race call. The number to call is 714-995-2222.
3. Los Alamitos continues to operate its Racing Rewards program, which serves as the track's loyalty program. This program rewards its members with cash vouchers for playing the nighttime Los Alamitos racing program with a 1 percent return on their money wagered. In 2018 more than \$15,000 will be awarded to frequent players. Over 500 new members have been added over the past two racing meets.
4. Los Alamitos' senior citizen admission prices are \$2 every single day. More than 60% of the Los Alamitos racetrack parking lot is now available at no charge as part of its free general parking area.
5. Los Alamitos Race Course also maintains TVG's "The Quarters" set on-track, which is located by the track's walking ring. The live show includes special interviews with the headliners and personalities of the night racing program.
6. Los Alamitos has made available online video of morning workouts of 2-year-olds. Horseplayers find this a useful tool, as they're able to dissect workouts of horses that have yet to make an official start. In addition to the video footage, the site provides breeding information on each horse.
6. Los Alamitos' Night Lines program now features a weekly column in Spanish written by handicapper Jose "Los Ponies" Contreras. The column includes a "Play of the Day" for Sunday nights. The program also features expanded coverage of racing from Australia and Japan. Past performances of international races are available for download at losalamitos.com.
7. Weekly appetizer specials will continue to be featured in 2019.

ONLINE

1. Los Alamitos updates its online presence on a daily basis, as it provides a full array of handicapping tools including exclusive racing info provided by Quarter Horse experts Ed Burgart, Chris Wade and starting in 2018 Terry Turrell and Jose Contreras.
2. Los Alamitos offers a free nighttime pocket program and wagering sheet at losalamitos.com.
3. Los Alamitos offers free live streaming and replay library of its night racing program at losalamitos.com.
4. Los Alamitos has expanded its racing coverage on both Instagram and Twitter. Race recaps, interviews and photos are updated nightly on these social media outlets. Los Alamitos also manages a Youtube Channel @ losalqh which has received over 1.5 million total views.

6. Video interviews featuring human interest pieces with local horsemen and stakes previews are posted online at losalamitos.com. Links to the show are also made available on Twitter.

ADVERTISING & TV

1. Los Alamitos will continue to market the night program on TVG and TVG2 with an expanded year-round television advertising campaign. Los Alamitos works closely with TVG to promote and encourage viewers to visit the track for live racing. The Vessels Club and the Racing Rewards loyalty program are often promoted on TVG to encourage on-track visits.

2. Los Alamitos will continue to partner with its ADW partners to provide special promotions during strategic times of the year. Promotions will include special bonuses and member points rewards on the various ADW platforms like TVG and Twin Spires. Targeted times of the year will include the winter months and early spring season.

3. Los Alamitos buys airtime on Spectrum in Orange County and DirecTV in Southern California with spots airing during Angels, Ducks, Dodgers, and Lakers games and additional spots also airing on the Golf Channel, TBS, Bravo, and Fox Sports' NYRA racing show.

4. Los Alamitos airs radio spots on a variety of shows including Thoroughbred LA and The Morning Line Show. In 2018, Los Alamitos also had an expanded Spanish radio buy on KWKW ESPN Deportes.

5. Los Alamitos will continue to sponsor the nighttime horse racing results and entries in the Los Angeles News Group publications (including Orange County Register, Riverside Press, etc).

6. Los Alamitos will continue to sponsor past performance lines for its nighttime races on the West Coast edition of the Daily Racing. Digital ads are also part of this buy on drf.com.

HORSEMEN

In commemoration of graded stakes races and its participants, Los Alamitos provides a personalized gift to the connections of each horse. Gifts will include individually embroidered polo shirts, wine glasses, horse bridles, wine bottles, full-color qualifiers posters, etc.

B. Promotional/Marketing budget for 2019 race meeting:

1. On-Track Marketing budget:	\$375,000
2. Marketing budget for simulcast facilities/fans:	\$600,000

Promotional/Marketing budget for 2018 race meeting:

1. On-Track Marketing budget:	\$375,000
2. Marketing budget for simulcast facilities/fans:	\$600,000

C. Number of hosts and hostesses employed for meeting:

One satellite host and racing analyst on the Los Alamitos racing broadcast. Host provides expert handicapping information prior to each race, while providing racing fans with his Pick 4 suggestions, plus tips and hints on how to play the races at Los Alamitos.

Group event hosts are assigned to each group party to ensure a fun night at the races. Group parties have race named in their honor and the opportunity to visit the winner's circle for their race. Handicapping seminars are available to group parties upon requests. The seminars include a session on how to play the races, what to look in a Quarter Horse race, how to read the toteboard and what the odds mean, basic handicapping tips for the night.

One reward kiosk is located on the grandstand building and allows fans to check, track, and cash their loyalty program points. Points can be traded for prizes. Rewards representative is available to any answer any question regarding the evening's racing program, the racetrack, the horsemen, etc.

One information window is open day and night at Los Alamitos Race Course to answer any questions or inquiries about the track or horseracing in general. Fans can also pick up complimentary general information pamphlets in both English and Spanish at the Racing Rewards Center and Vessels Club.

Los Alamitos also employs and provides to TVG one of the racing analyst featured on the channel's "The Quarters" show.

Los Alamitos also employs a daytime announcer on simulcast racing days. The announcer's role is to provide up to the minute changes and updates on the simulcast races offered daily at Los Alamitos.

D. Describe facilities set aside for new fans:

1. As part of the GroupOn promotions, new guests enjoy premium outdoor seating in the Vessels Club patio, which overlooks the finish line.
2. The Mezzanine Terrace is also a perfect place for a group event or gathering. This private seating area overlooks the walking ring and winner's circle and offers a relaxed dress code; perfect for new racing fans.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits horsemen and fans:

1. The 2019 Los Alamitos Quarter Horse meet will continue to be offered as a high definition broadcast.
2. The track's infield features a full color 8-foot-tall by 20 feet wide Daktronics board broadcasting the Los Alamitos races, prices, pools, and more. The main entrance to the track also features an overhead two-color board showcasing the latest messages and track news to the more than half-million motorists per year that make their way up and down Katella Avenue.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: attached

1. Horsemen
2. Fans
3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	3.00
Admission (clubhouse)	5.00/10.00 (Vessels club)
Reserved seating (general)	N/A
Reserved seating (clubhouse)	N/A
Parking (general)	Free
Parking (preferred)	5.00
Programs (on-track)	4.50
(off-track)	4.75

B. Describe any "Season Boxes" and "Turf Club Membership" fees: attached

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

Corners (lockers and cubicles) YES How many 70

Showers YES Steam room YES, sauna or steam cabinets YES Lounge area

YES

Masseur NO Food/beverage service YES Certified

platform scale YES

B. Describe the quarters to be used for female jockeys/drivers:

Separate quarters with cubicles, showers, steam box, sleeping quarters

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack:
220 out of 344

C. Number of restrooms available on the backstretch of the racetrack:

13



September 12, 2018

RE: 21. BACKSTERTCH EMPLOYEE HOUSING (A)

In March of 2018 an inspection of Backstretch housing at Los Alamitos Race Course was conducted. At the request of the facility manager, Frank Sherren, the City of Cypress Housing Authority and Building and Safety Division director Bob Decker scheduled the Housing Inspection. Mr. Sherren informed the CHRB of the inspection date, March 6th, 2018 so that CHRB staff could participate in the inspection.

Present on this inspection along with the City of Cypress inspector Kent Tsen were members of LARC Security staff, CHRB representatives, LARC Electrician Dennis Lillard and Janitorial Supervisor John Wiesman.

The inspection began on March 6th 2018 and was conducted on March 6th, 7th and 8th.

No major violations were noted and the facility was found to be in compliance with all Building and Safety codes.

Respectfully,

A handwritten signature in black ink, appearing to read "Frank Sherren", is written over a faint, larger version of the signature.

Frank Sherren
Facility Manager
Los Alamitos Race Course

- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
18/1

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5,100 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: Dirt track consisting of sand, clay, silt, organic and gravel.
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%.
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail is a metal slant rail with metal safety cover, 40" in height from track to top of rail. Outer rail is a metal gooseneck with 6" X 4" metal tubing.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Rick Hughes/Dennis Moore
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
attached
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.

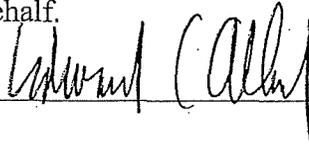
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): no exceptions

notice to applicant: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

EDWARD C ALLRED



Print Name

Signature

CHAIRMAN/DIRECTOR

9/26/18

Print Title

Date

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1467, PAYMASTER OF PURSES, TO INCLUDE
TRAINER AND JOCKEY PURSE DEDUCTIONS, FROM ANY THOROUGHBRED RACE
CONDUCTED AT A THOROUGHBRED OR FAIR RACE MEETING, TO BE
CONTRIBUTED TO THE CALIFORNIA RETIREMENT MANAGEMENT ACCOUNT
(CARMA), A CHARITABLE TRUST FUND MAINTAINED BY CARMA, A NON-PROFIT
ORGANIZATION.

Regular Board Meeting
October 25, 2018

BACKGROUND

In 2008, the California Horse Racing Board (CHRB or Board) amended Rule 1467, Paymaster of Purses, to require that the paymaster of purses deduct 0.3 percent of the net purse earned by any thoroughbred horse at a thoroughbred race meet or racing fair from the owner's account for deposit into the California Retirement Management Account (CARMA). The amendment also provided an option for owners to opt-out of the CARMA contribution requirement by completing form CHRB-206 (New 09/07) at each race meet where they did not wish to make the contribution.

CARMA is a 501(c)(3) non-profit organization founded in 2007 to raise money for retired racehorses. It is responsible for hosting fundraising events, educating owners and trainers on equine retirement, raising awareness and working to unify the racing industry in support of its equine athletes. Ways in which this is accomplished include facilitating the transition of racehorses off the track through its Placement Program and showcasing the breed's versatility as equestrian mounts at its Thoroughbred Classic Horse Show. CARMA manages a grant request process and since 2008 has awarded more than 3.2 million dollars to qualified retirement facilities caring for Thoroughbreds.

ANALYSIS

Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held, and over all persons or things having to do with the operation of such meetings, is vested in the Board. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering.

This proposed amendment to Rule 1467, Paymaster of Purses, would add a similar percentage deduction for jockey and trainer purse accounts to be deposited into the California Retirement Management Account. The amendment would also provide jockeys and trainers with the same opt-out option currently available to owners by revising form CHRB-206, Notification of Exclusion of CARMA Contribution. Finally, the proposed amendment would clarify that these

funds are to be distributed directly to the CARMA not-for-profit organization, which is in line with current practices.

RECOMMENDATION

This concludes the 45-day public comment period. This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 3. RACING ASSOCIATION
PROPOSED AMENDMENT OF
RULE 1467. PAYMASTER OF PURSES

1467. Paymaster of Purses.

(a) The association shall appoint a paymaster of purses who shall maintain records as the association and the Board direct. All records shall be separate from those of the Board and are subject to inspection by the Board at any time. The duties of the paymaster of purses or their assistants shall consist of the following:

(1) Maintain records which shall include the name, address, state or country of residence, social security number or federal identification number of each horse owner, trainer, driver, jockey or apprentice jockey participating at the race meeting who has funds due or on deposit in their horsemen's account.

(2) Keep jockey and driver accounts, receive their fees and disburse said fees to the proper claimants.

(3) Verify that the correct claiming price is on deposit with the association before any claim in a claiming race is accepted as official.

(4) Receive and disburse the purses and other awards of each race.

(5) Receive all stakes, entrance money, fines, purchase money in claiming races and other monies that properly come into the paymaster's possession.

(6) Accept money belonging to another association, provided the money is returned within five working days to that association.

(7) Disclose the Cal-bred awards to the respective breed agencies.

(8) Accept and file all required statements of partnerships, assignments of interest, lease agreements, and registrations of authorized agents.

(9) Disburse all monies to the entitled individuals, unless otherwise provided in this section, within 30 calendar days after the meet ends.

(10) Estimate escrow accounts and receive, maintain and disburse funds as directed by the Board.

(11) Deduct from the horse owner's account, and deposit into the account of the horse owner's trainer, 10 percent of the purse earned on any horse that finishes first, second or third at thoroughbred race meetings. Such payments shall be disbursed to the trainer and will be available at the office of the paymaster of purses no later than seven days after the race was conducted. Any amounts so paid shall be repaid to the paymaster forthwith by the trainer upon any order requiring redistribution.

(12) Deduct from the horse owner's account, and deposit into the account of the horse owner's trainer, 10 percent of the net purse earned on any horse that finishes first, second or third at quarter horse meetings. Such payments shall be disbursed to the trainer and will be available at the office of the paymaster of purses no later than seven days after the race was conducted. Any amounts so paid shall be repaid to the paymaster forthwith by the trainer upon any order requiring redistribution.

(b) For purposes of this regulation, "purse earned" or "net purse earned" means all amounts earned except in stakes races in which case "purse earned" or "net purse earned" means all amounts earned less any nomination, entry or starter fees paid by the owner.

(c) For purposes of subsections (a)(11) and (a)(12) above, horse owners may elect not to have 10 percent of the purse earned deducted from their account by filing with the paymaster of purses at each racing association at which the owner wishes it to be in effect, a form CHRB-134 (New 1/02), Notification of Exclusion To Trainer 10% Program, which is hereby incorporated by reference. The form CHRB-134 (New 1/02) is available at the office of the paymaster of purses at any race meeting.

(d) A form CHRB-134 (New 1/02) may be filed with the paymaster of purses at any time during a race meeting, and

(1) Shall apply to all horses owned in whole or in part by the owner,

(2) Shall be binding on all licensed owners with an interest in the horse or horses,

(3) Shall apply to all trainers employed by the owner, and

(4) Shall remain in force until written revocation is submitted to the paymaster at the race meeting at which the form CHRB-134 (New 1/02) was submitted.

(e) In addition to the duties in subsection (a), the paymaster of purses shall deduct from the horse owner's account 0.3 percent of the net purse earned by any thoroughbred horse at a thoroughbred racing association or Fair meeting, and shall deduct from the jockey's and trainer's accounts 0.3 percent of the purse money they earn from any race conducted at a thoroughbred racing association or Fair meeting. Such deductions shall be deposited into the California Retirement Management Account (CARMA), a charitable trust fund maintained by the CARMA not-for-profit organization maintained by the horsemen's organization representing thoroughbred horse owners (horsemen's organization), for distribution to California thoroughbred retirement/rehabilitation facilities, which provide livestock care and services to retired thoroughbred horses that competed in thoroughbred races in California.

(1) Thoroughbred horse owners, jockeys, and trainers may elect not to have the 0.3 percent deducted from their net purses by filing with the paymaster of purses at each racing association for each race meeting at which the owners, jockeys, and trainers wish it to be in effect, a form CHRB-206 (NewRev. 06/1809/07), Notification of Exclusion of CARMA Contribution, which is hereby incorporated by reference. The form CHRB-206 (NewRev. 06/1809/07) is available at the office of the paymaster of purses at any race meeting.

(2) ~~The horsemen's organization shall distribute CARMA funds~~ shall be distributed at least on an annual basis to retirement/rehabilitation facilities, as determined by the CARMA not-

for-profit organization. Each such retirement/rehabilitation facility shall be:

(A) A nonprofit corporation or organization.

(B) Exempt or entitled to an exemption from federal or state income taxes.

(C) Approved by the Board.

(3) ~~The horsemen's organization~~ The CARMA not-for-profit organization shall file annually with the Board within 90 days of the close of CARMA's fiscal year an audited financial statement of the CARMA trust fund account.

NOTE: Authority: Sections 19420, 19440 and 19562, Business and Professions Code. Reference:

Sections 19420, 19433, 19434, 19440 and 19562, Business and Professions Code.

State of California
**NOTIFICATION OF EXCLUSION
 OF CARMA CONTRIBUTION**
 CHRB 206 (Rev. 06/18)

California Horse Racing Board

To: Paymaster of Purses at _____
 (Name of Racetrack)

Pursuant to Rule 1467, Paymaster of Purses, the undersigned hereby notifies the paymaster of purses that he or she elects **NOT** to deduct:

1. From my owner's account for deposit into the California Retirement Management Account (CARMA), 0.3 percent of the net purse earned by any thoroughbred horse in which I have an interest.
2. From my jockey or trainer account, for deposit into the California Retirement Management Account (CARMA), 0.3 percent of the purse money I have earned from any thoroughbred race.

NAME AND CHRB LICENSE NUMBER

 *Horse Owner or Stable Name and CHRB License Number

 Jockey name and CHRB License Number

 *Trainer name and CHRB License Number

*Note: If licensed as an owner and a trainer, print your name on the line for each license class.

CONTACT INFORMATION

Mailing Address:

 Street Number

 City

 State

 Zip Code

Contact Telephone Number: (____) _____

FAX No.: (____) _____ Email: _____

CALIFORNIA HORSE RACING BOARD

OCTOBER 25, 2018
REGULAR BOARD MEETING

There is no board package material for Item 9

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO
SUSPEND THE AUTHORIZED ADMINISTRATION OF CLENBUTEROL FOR ALL
HORSES PARTICIPATING IN A HORSE RACE FOR 12 MONTHS PURSUANT TO CHRB
RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION

Regular Board Meeting
October 25, 2018

ISSUE

Clenbuterol is a beta-2 agonist approved for use as a bronchodilator in horses. Beta-2 agonists also have an anabolic-type effect through their muscle partitioning side effects. Clenbuterol is banned in human sport by the World Anti-doping Association for this reason. The drug is also abused in horses because of its anabolic effects. Clenbuterol became a problem in horse racing—especially quarter horse racing—when the CHRB and other racing authorities in the United States restricted the use of anabolic steroids. To address the abuse of clenbuterol in Quarter Horses, the Board in 2015, amended Rule 1844 Authorized Medication, prohibiting the presence of clenbuterol in Quarter Horse post race test samples. Additionally in 2015, the Board adopted Rule 1866.1 Presence of Clenbuterol in Quarter Horses, which requires Quarter Horses prescribed clenbuterol to be placed on the Veterinarian's list until an official test sample fails to detect the presence of clenbuterol in the blood or urine of the horse. Recently, the CHRB has observed a rise in out-of-competition test samples of thoroughbreds that contain the presence of clenbuterol.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. CHRB Rule 1844, Authorized Medication, names drug substances and medications authorized by the Board that may be administered to safeguard the health of the horse entered to race. The rule lists the drug substances that may be found in official blood and urine test samples and the level at which such drugs may occur. CHRB Rule 1844.1, Suspension of Authorized Medication, allows the Board to suspend for any cause, the authorized administration to a horse entered to race of any drug, substance or medication that is otherwise permitted under Rule 1844. A suspension of authorization to administer a drug, substance or medication to a horse entered to race shall not exceed 12 months.

ANALYSIS

The CHRB regularly conducts out-of-competition testing on thoroughbred horses. Within the last year, the CHRB has observed a significant increase in the presence of clenbuterol in thoroughbred horses. The samples that were analyzed do not show a random distribution among horses which would be consistent with a therapeutic administration of clenbuterol. Rather, the out-of-competition test samples show that multiple horses, in the same barn are being administered clenbuterol which is more consistent with a training management regiment. Accordingly, suspending the use of clenbuterol in thoroughbred horses is before the Board in order to protect the integrity of horse racing, while other regulatory actions can be pursued.

RECOMMENDATION

This item is presented to the Board for discussion and action.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1844, AUTHORIZED MEDICATION, AND PROPOSED
AMENDMENT TO CHRB RULE 1866.1, PRESENCE OF CLENBUTEROL IN QUARTER
HORSES, TO PROHIBIT THE PRESENCE OF CLENBUTEROL IN A HORSE TEST
SAMPLE AND TO CHANGE THE TITLE OF CHRB RULE 1866.1 TO PRESENCE OF
CLENBUTEROL IN HORSES

Regular Board Meeting
October 25, 2018

ISSUE

The CHRB regularly conducts out-of-competition testing on thoroughbred horses. Within the last year, the CHRB has observed a significant increase in the presence of clenbuterol in out-of-competition test samples of thoroughbred horses. The samples that were analyzed do not show a random distribution among horses which would be consistent with a therapeutic administration of clenbuterol. Rather, the out-of-competition test samples show multiple horses in the same barn use clenbuterol which is more consistent with a training management regiment instead of therapeutic administration.

BACKGROUND

Clenbuterol is a beta-2 agonist approved for use as a bronchodilator in horses. Beta-2 agonists also have an anabolic-type effect through their muscle partitioning side effects. Clenbuterol is banned in human sport by the World Anti-doping Association for this reason. The drug is also abused in horses because of its anabolic effects. Clenbuterol became a problem in horse racing—especially quarter horse racing—when the CHRB and other racing authorities in the United States restricted the use of anabolic steroids. Furthermore, side-effects associated with clenbuterol administration have been scientifically documented to include a repartitioning effect and major alterations in cardiac and skeletal muscle function, as well as effects on bone, immune, endocrine, and reproductive systems.¹ To address the abuse of clenbuterol in Quarter Horses, the Board in 2015, amended Rule 1844 Authorized Medication, prohibiting the presence of clenbuterol in Quarter Horse post race test samples. Additionally in 2015, the Board adopted Rule 1866.1 Presence of Clenbuterol in Quarter Horses, which requires Quarter Horses prescribed clenbuterol to be placed on the Veterinarian's list until an official test sample fails to detect the presence of clenbuterol in the blood or urine of the horse. Recently, the CHRB has observed a rise in out-of-competition test samples of thoroughbreds that contain the presence of clenbuterol.

ANALYSIS

The proposed amendment to Rule 1866.1, Presence of clenbuterol in Quarter Horses, changes the title of Rule 1866.1 to Presence of Clenbuterol in Horses. The amendment provides that a horse prescribed clenbuterol will be placed on the Veterinarian's List until an official test sample

¹ Charles F. Kearns & Kenneth H. McKeever, *Clenbuterol and the horse revisited*, 182 THE VETERINARY JOURNAL 384-391(2009).

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARIAN PRACTICES
PROPOSED AMENDMENT OF
RULE 1844. AUTHORIZED MEDICATION

1844. Authorized Medication.

Consistent with the intent of these rules, drug substances and medications authorized by the Board for use may be administered to safeguard the health of the horse entered to race provided that:

(a) No person shall administer a drug substance to any horse entered to race except upon authorization of the official veterinarian in conformance with these rules.

(b) No drug substance, other than authorized bleeder medication, shall be administered to a horse entered to race within 24 hours of the race in which entered.

(c) Not more than one approved non-steroidal anti-inflammatory drug substance (NSAID) may be administered to a horse that is entered to race and shall be only one of the following authorized drug substances:

(1) Phenylbutazone in a dosage amount that the test sample shall contain not more than 2 micrograms of the drug substance per milliliter of blood plasma or serum.

(2) Flunixin in a dosage amount that the test sample shall contain not more than 20 nanograms of the drug substance per milliliter of blood plasma or serum.

(3) Ketoprofen in a dosage amount that the test sample shall contain not more than 2 nanograms of the drug substance per milliliter of blood plasma or serum.

(4) Metabolites or analogues of approved NSAIDs may be present in post race test samples.

(d) If the official chemist reports that a blood test sample contains an authorized NSAID in excess of the limit for that drug substance under this rule, the official veterinarian shall, in conjunction with the veterinarian who administered or prescribed the authorized drug substance,

establish a dosage amount or time of administration of the drug substance that will comply with the limits under this rule; or the official veterinarian may, if in his/her judgment no such reduced dosage amount or amendment to time of administration will result in a test sample level within the limits of this rule, withdraw authorization for the use of any one NSAID.

(e) Official urine test samples may contain one of the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels:

(1) Acepromazine; 10 nanograms per milliliter

(2) Mepivacaine; 10 nanograms per milliliter

(3) Albuterol; 1 nanograms per milliliter

(4) Procaine; 25 nanograms per milliliter

(5) Salicylates; 750 micrograms per milliliter

(6) Clenbuterol; 140 picograms per milliliter, except for any horse participating in a quarter horse race for which no level of clenbuterol is authorized.

(67) Detomidine; 2 nanograms per milliliter

(78) Nandrolone; 1 nanograms per milliliter for geldings, fillies and mares; 45 nanograms for males other than geldings.

(89) Boldenone; 15 nanograms per milliliter in males other than geldings.

(910) Testosterone; 20 nanograms per milliliter in geldings.

(A) Testosterone at any level in males other than geldings is not a violation of this regulation.

(1011) Testosterone; 55 nanograms per milliliter in fillies or mares (unless in foal)

(1112) Butorphanol 300 nanograms per milliliter

(f) Official blood test samples may contain the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels in serum or plasma:

- (1) Bethamethasone; 10 picograms per milliliter
- (2) Dantrolene; 100 picograms per milliliter
- (3) Detomidine; 1 nanogram per milliliter
- (4) Dexamethasone; 5 picograms per milliliter
- (5) Diclofenac; 5 nanograms per milliliter
- (6) Dimethylsulfoxide (DMSO); 10 micrograms per milliliter
- (7) Firocoxib; 20 nanograms per milliliter
- (8) Lidocaine; 20 picograms per milliliter
- (9) Methocarbamol; 1 nanogram per milliliter
- (10) Methylprednisolone; 100 picograms per milliliter
- (11) Glycopyrrolate; 3 picograms per milliliter
- (12) Prednisolone; 1 nanogram per milliliter
- (13) Triamcinolone Acetonide; 100 picograms per milliliter
- (14) Xylazine; 200 picograms per milliliter
- (15) Butorphanol; 2 nanograms per milliliter
- (16) Isoflupredone; 100 picograms per milliliter
- (17) Cetirizine; 6 nanograms per milliliter
- (18) Cimetidine; 400 nanograms per milliliter
- (19) Guaifenesin; 12 nanograms per milliliter
- (20) Omeprazole; 10 nanograms per milliliter
- (21) Ranitidine; 40 nanograms per milliliter

(g) Official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)(1) to (6) and (e)(8) to (11).

(h) Procaine, following administration of procaine penicillin, is an authorized medication provided:

(1) Official blood test samples shall not contain any procaine, or its metabolites or analogs in excess of 25 nanograms per milliliter.

(2) all procaine penicillin administrations have been reported pursuant to Rule 1842 of this division,

(3) procaine penicillin was not administered after entry to race,

(4) the horse was under surveillance for a minimum of six hours prior to racing.

(i) All expenses related to surveillance and testing for procaine under subsection (h) of this regulation shall be paid by the owner of the horse.

Authority: Sections 19440 and 19562
Business and Professions Code

Reference: Sections 19580 and 19581
Business and Professions Code

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1866.1. PRESENCE OF CLENBUTEROL IN QUARTER HORSES

1866.1. Presence of Clenbuterol in Quarter Horses

(a) A ~~quarter~~ horse prescribed clenbuterol will be placed on the Veterinarian's List for veterinary treatment until an official test sample shows that there is no clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness, pursuant to Rule 1866. ~~Quarter h~~ Horses on the Veterinarian's List for clenbuterol administration will not be allowed to start in a race until the horse is removed from the Veterinarian's List.

(1) Clenbuterol shall only be prescribed to an individual ~~quarter~~ horse for a specific diagnosis to last for a period of not more than 30 days for each prescription. The ~~quarter~~ horse's name, the specific diagnosis, dosage and duration of treatment most recent date of clenbuterol administration must be reported by the prescribing veterinarian to the Official Veterinarian on form CHRB-24 (Rev. 01/16) (Veterinarian Report), which is hereby incorporated by reference.

(2) Clenbuterol prescribed to an individual ~~quarter~~-horse for a specific diagnosis shall not last for more than 30 days for any prescription. Dispensed clenbuterol prescriptions must be labeled in compliance with Rule 1864, Labeling of Medication, and all other laws, including California Veterinary Medical Board regulations.

(3) Administration of clenbuterol to a ~~quarter~~ horse must be reported by the trainer of the horse to the Official Veterinarian on form CHRB-60 (Rev. 07/15) (Trainer Medication Report), which is here by incorporated by reference.

(b) A ~~quarter~~ horse that, pursuant to Rules 1858 or 1859, is reported to have clenbuterol detected in blood, urine, or any other official test sample by the CHRB official laboratory will be

placed on the Veterinarian's List until an investigation has been conducted to determine the circumstances of the presence of clenbuterol in the official test sample, and until a subsequent official test sample fails to detect clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness, pursuant to Rule 1866.

(c) A quarter horse shall not be removed from the Veterinarian's List and allowed to start in a race until an official test sample fails to detect clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness pursuant to Rule 1866.

Authority: Sections 19440, 19562, and 19580
Business and Professions Code

Reference: Sections 19440, 19562, and 19580
Business and Professions Code

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
TRAINER MEDICATION REPORT
CHRB-60 (Rev 07/15)

TRAINER MEDICATION REPORT

HORSE: _____

DATE OF RACE: _____ RACE NO.: _____

MEDICATION: _____

DATE TREATED: _____ TIME: A.M.
P.M.

TRAINER: _____
(SIGNATURE)

(PLEASE PRINT NAME)

COMMENTS: _____

STAFF ANALYSIS

DISCUSSION AND ACTION REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE, TO PROVIDE THAT A HORSE THAT 1) HAS NOT STARTED IN A RACE FOR 12 MONTHS OR MORE FROM ITS PREVIOUS START OR 2) A FIRST-TIME STARTER, FOUR (4) YEARS OF AGE OR OLDER, IS INELIGIBLE TO START IN A RACE UNTIL SUCH HORSE HAS PERFORMED SATISFACTORILY IN A WORKOUT OR QUALIFYING RACE AS DESCRIBED IN CHRB RULE 1866(e) AND (f).

Regular Board Meeting
October 25, 2018

ISSUE

During fiscal year 2015-2016, California's horseracing industry experienced an increase in horse fatalities when compared to fiscal year 2014-2015. This resulted in the Board initiating a series of informal reviews of equine fatalities to determine if there might be a common trait or link to the fatalities. Findings for the reviews, which covered approximately three calendar years between 2013 and 2016, concluded that a significant number of racing related equine fatalities were of horses that had not raced for a period of two months or longer. In addition, half of the horses with a sizeable gap in activity had been on the Veterinarian's List prior to returning to racing. Furthermore, horses four years or older that have never started were at greater risk of catastrophic injury.

BACKGROUND

At the February, 2017 CHRB Medication, Safety and Welfare Committee meeting, staff reported that a survey of racehorse fatalities due to musculoskeletal injury occurring 2013 through 2017 revealed that 21 percent of the losses were horses that raced after being laid up for 120 days or more. The fatalities generally occurred within five starts after the horse returned to the track to either race or train. (There were a few exceptions involving the 6th or 7th start after layoff). At the recommendation of the Board's Equine Medical Director, the Committee determined it would put forward a proposal to amend Rule 1588. The proposed amendment intended to aid in the reduction of race horse injuries and fatalities provided that a horse not be allowed to start in a race after a 120-day layoff until such horse had been examined prior to entry by the Official Veterinarian or Racing Veterinarian and declared raceably sound and in fit physical condition to exert its best effort in a race.

Subsequent to the February Committee meeting, staff was directed to notice the proposal to amend Rule 1588 to provide: (1) that a horse that has not raced at a recognized race meeting in 120 or more consecutive days, and has not raced in California since the conclusion of that absence in any race, be ineligible to start in a race until such horse, prior to entry, has undergone an examination by the official veterinarian or the racing veterinarian, and declared raceably sound and in fit physical condition to exert its best effort in a race and (2) that a horse that receives an intra-articular injection (glucocorticosteroid/cortisone) is ineligible to race for five days (120 hours) after the treatment.

At the May 2018 CHRB Regular Board hearing on the proposal, the proposed amendment to 1588 was discussed. There were concerns from stakeholders regarding the proposed requirement that all horses coming off a 120-day layoff be examined by a veterinarian prior to starting. During the 45-day public comment period, one comment was received from the Thoroughbred Owners of California (TOC). The TOC stated it opposed the proposed amendment to Rule 1588 in its current form. The TOC estimated that requiring an examination prior to entry of any horse that has not run for 120 days could affect over 1,700 horses a year. In addition, the veterinary examinations could include blood and urine testing. The TOC asserted the proposed amendment would result in a significant expansion of the number of horses examined and tested, which would result in increased costs for owners and fewer horses being eligible to run. In response, the CHRB Equine Medical Director, Dr. Rick Arthur, submitted that the CHRB has been examining a large percentage of horses that fall into the 120 day layoff period. The examinations were conducted at Santa Anita Park Race Track, Del Mar Thoroughbred Club, and Los Alamitos Race Course. Dr. Arthur added the industry was experiencing the lowest total fatalities in FY 2017-2018 since the necropsy program started. Very few of the horses subject to the 120-day criteria were placed on the Veterinarian's List, (VL) and testing of the horses would not affect the CHRB drug testing budget. Dr. Arthur stated horses required to work to get off the VL were seldom required to provide urine samples for testing.

As a result of this discussion, the Board directed the proposed amendment be reexamined by staff to address the concern of the TOC. The amendment regarding intra-articular injections was adopted by the Board. (CHRB 1588(m))

To alleviate the concern of stakeholders while still seeking to promote equine welfare and safety, the Equine Medical Director is now proposing that the CHRB adopt an amendment similar to the Association of Racing Commissioners International (ARCI) model rule 010-030 which governs horses returning from lay-offs and previously unstarted horses four (4) years old and older.

The proposed amendment to Rule 1588 adds a new subsection (n) which provides that a horse is ineligible to start in a race when such horse has not raced in 12 months since its previous start, until such horse has performed satisfactorily in a workout or qualifying race as described in Rule 1866(e) and (f); and adds a new subsection (o) which requires that a first-time starter four years of age or older, in order to be eligible to race, perform satisfactorily in a workout or qualifying race as defined in Rule 1866(e) and (f).

ANALYSIS

Business and Professions Code section 19440 provides the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 provides that the Board shall adopt regulations to establish

policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state.

RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1588, HORSE INELIGIBLE TO START IN A RACE

1588. Horse Ineligible to Start in a Race.

In addition to any other valid ground or reason, a horse is ineligible to start in any race:

(a) if such horse is not registered by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(b) if the parentage verification to both the sire and the dam of all horses foaled in 1992 and thereafter has not been certified by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(c) if, unless the stewards permit otherwise, the certificate of foal registration, eligibility papers, or other registration issued by the official registry for such horse is not on file with the racing secretary at the time of entry;

(d) if such horse has been entered or raced at any recognized race meeting under any name or designation other than the name or designation duly assigned by and registered with the official registry;

(e) if the certificate of foal registration, eligibility papers or other registration issued by the official registry has been altered, erased, or forged;

(f) if the identification markings of the horse do not agree with the identification markings as set forth in the registration of such horse;

(g) unless he is eligible to enter said race and is duly entered for such race;

(h) when such horse is owned in whole or in part by an unlicensed person or is in the care of an unlicensed trainer;

(i) when such horse is on the Steward's List, the Starter's List or the Veterinarian's List;

(j) when, except with prior approval of the stewards for good cause, such horse is on the Veterinarian's List in another racing jurisdiction. Good cause includes:

(1) unforeseen administrative issues in removing the horse from the Veterinarian's List of another racing jurisdiction;

(2) the location of the horse prevents it from being evaluated by the official veterinarian of another racing jurisdiction and cleared from that jurisdiction's Veterinarian's List, and the horse is approved to race by a California official veterinarian; or

(3) any other unforeseen event or reason that would prevent a horse that would otherwise not be on a Veterinarian's List from being cleared from the Veterinarian's List of another racing jurisdiction.

(k) when, except with prior approval of the stewards, such horse has not been on the grounds of the association or its approved auxiliary stable area for at least 24 hours prior to the time the race is to be run.

(l)...(This subparagraph will be effective 12/26/18) *when such horse does not carry a microchip or has not received a waiver from the stewards in accordance with Rule 1597.5.*

(m) ... (This subparagraph was adopted by the Board on September 27, 2018 and was sent to the Office of Administrative Law for final review) *when such horse has received an intra-articular*

injection within the previous five days (120 hours) prior to the scheduled post-time for the race in which it is entered.

(n) when such horse has not raced in 12 months since its previous start, until the horse has performed satisfactorily in a workout or qualifying race as described in 1866(e) and (f).

(o) when such horse is a first-time starter four (4) years of age or older, until the horse has performed satisfactorily in a workout or qualifying race as described in 1866(e) and (f).

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

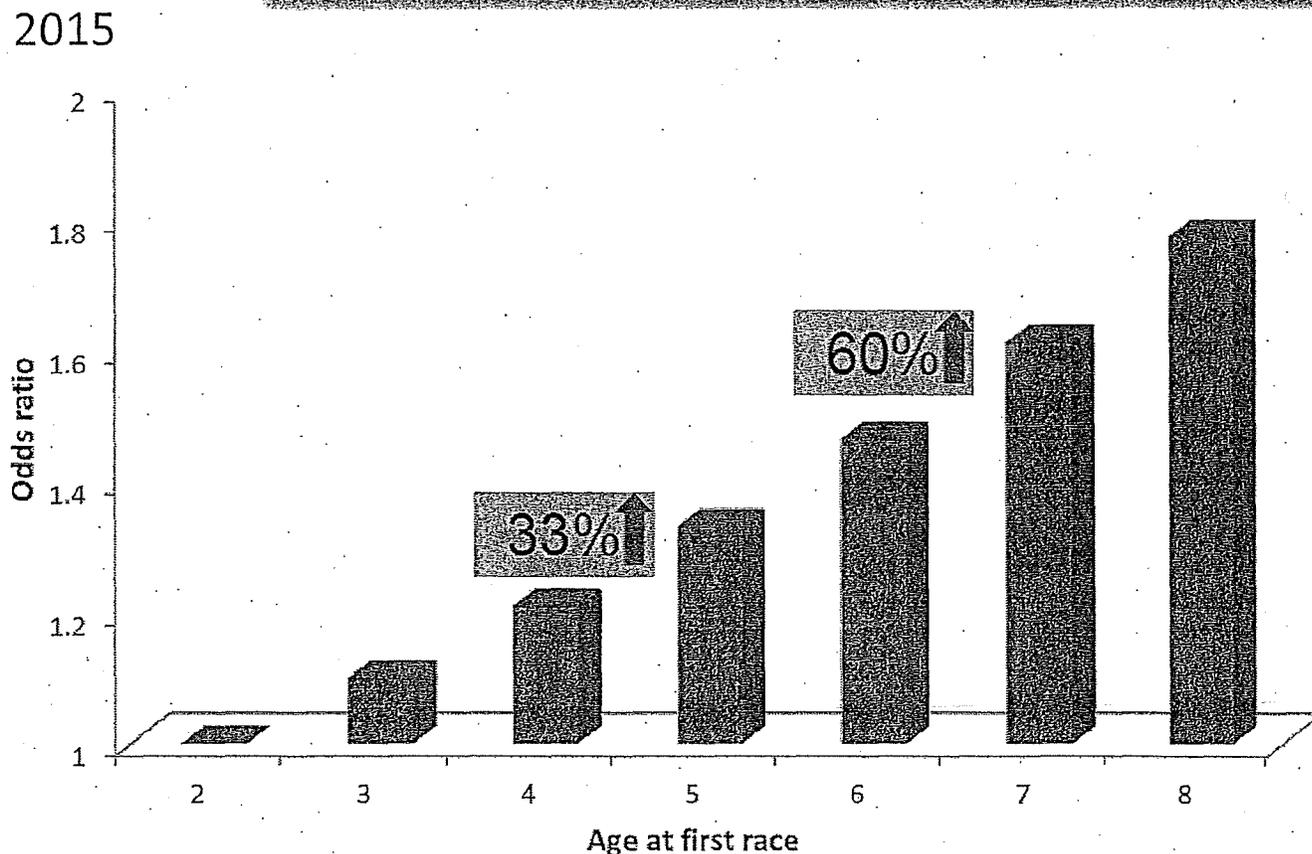
First start as 4 Year old and up as a risk of catastrophic injury:



TD Parkin: Equine Injury Database –models, risk factors and prediction

https://courses.grayson-jockeyclub.org/courses/WSS/WSS_VI/story.html

Age at first race



These data are for racing fatalities only. It does not include training fatalities

Lay-off as a risk of catastrophic injury:

Lay-off as a risk factor has been presented at numerous racing and veterinary meetings and is published in Georgopoulos, Stamatis Panagiotis, and Tim DH Parkin. "Risk factors for equine fractures in Thoroughbred flat racing in North America." *Preventive veterinary medicine* 139 (2017): 99-104.

STAFF ANALYSIS

DISCUSSION AND ACTION REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE, TO PROVIDE THAT A HORSE THAT 1) HAS NOT STARTED IN A RACE FOR 12 MONTHS OR MORE FROM ITS PREVIOUS START OR 2) A FIRST-TIME STARTER, FOUR (4) YEARS OF AGE OR OLDER, IS INELIGIBLE TO START IN A RACE UNTIL SUCH HORSE HAS PERFORMED SATISFACTORILY IN A WORKOUT OR QUALIFYING RACE AS DESCRIBED IN CHRB RULE 1866(e) AND (f).

Regular Board Meeting
October 25, 2018

ISSUE

During fiscal year 2015-2016, California's horseracing industry experienced an increase in horse fatalities when compared to fiscal year 2014-2015. This resulted in the Board initiating a series of informal reviews of equine fatalities to determine if there might be a common trait or link to the fatalities. Findings for the reviews, which covered approximately three calendar years between 2013 and 2016, concluded that a significant number of racing related equine fatalities were of horses that had not raced for a period of two months or longer. In addition, half of the horses with a sizeable gap in activity had been on the Veterinarian's List prior to returning to racing. Furthermore, horses four years or older that have never started were at greater risk of catastrophic injury.

BACKGROUND

At the February, 2017 CHRB Medication, Safety and Welfare Committee meeting, staff reported that a survey of racehorse fatalities due to musculoskeletal injury occurring 2013 through 2017 revealed that 21 percent of the losses were horses that raced after being laid up for 120 days or more. The fatalities generally occurred within five starts after the horse returned to the track to either race or train. (There were a few exceptions involving the 6th or 7th start after layoff). At the recommendation of the Board's Equine Medical Director, the Committee determined it would put forward a proposal to amend Rule 1588. The proposed amendment intended to aid in the reduction of race horse injuries and fatalities provided that a horse not be allowed to start in a race after a 120-day layoff until such horse had been examined prior to entry by the Official Veterinarian or Racing Veterinarian and declared raceably sound and in fit physical condition to exert its best effort in a race.

Subsequent to the February Committee meeting, staff was directed to notice the proposal to amend Rule 1588 to provide: (1) that a horse that has not raced at a recognized race meeting in 120 or more consecutive days, and has not raced in California since the conclusion of that absence in any race, be ineligible to start in a race until such horse, prior to entry, has undergone an examination by the official veterinarian or the racing veterinarian, and declared raceably sound and in fit physical condition to exert its best effort in a race and (2) that a horse that receives an intra-articular injection (glucocorticosteroid/cortisone) is ineligible to race for five days (120 hours) after the treatment.

At the May 2018 CHRB Regular Board hearing on the proposal, the proposed amendment to 1588 was discussed. There were concerns from stakeholders regarding the proposed requirement that all horses coming off a 120-day layoff be examined by a veterinarian prior to starting. During the 45-day public comment period, one comment was received from the Thoroughbred Owners of California (TOC). The TOC stated it opposed the proposed amendment to Rule 1588 in its current form. The TOC estimated that requiring an examination prior to entry of any horse that has not run for 120 days could affect over 1,700 horses a year. In addition, the veterinary examinations could include blood and urine testing. The TOC asserted the proposed amendment would result in a significant expansion of the number of horses examined and tested, which would result in increased costs for owners and fewer horses being eligible to run. In response, the CHRB Equine Medical Director, Dr. Rick Arthur, submitted that the CHRB has been examining a large percentage of horses that fall into the 120 day layoff period. The examinations were conducted at Santa Anita Park Race Track, Del Mar Thoroughbred Club, and Los Alamitos Race Course. Dr. Arthur added the industry was experiencing the lowest total fatalities in FY 2017-2018 since the necropsy program started. Very few of the horses subject to the 120-day criteria were placed on the Veterinarian's List, (VL) and testing of the horses would not affect the CHRB drug testing budget. Dr. Arthur stated horses required to work to get off the VL were seldom required to provide urine samples for testing.

As a result of this discussion, the Board directed the proposed amendment be reexamined by staff to address the concern of the TOC. The amendment regarding intra-articular injections was adopted by the Board. (CHRB 1588(m))

To alleviate the concern of stakeholders while still seeking to promote equine welfare and safety, the Equine Medical Director is now proposing that the CHRB adopt an amendment similar to the Association of Racing Commissioners International (ARCI) model rule 010-030 which governs horses returning from lay-offs and previously unstarted horses four (4) years old and older.

The proposed amendment to Rule 1588 adds a new subsection (n) which provides that a horse is ineligible to start in a race when such horse has not raced in 12 months since its previous start, until such horse has performed satisfactorily in a workout or qualifying race as described in Rule 1866(e) and (f); and adds a new subsection (o) which requires that a first-time starter four years of age or older, in order to be eligible to race, perform satisfactorily in a workout or qualifying race as defined in Rule 1866(e) and (f).

ANALYSIS

Business and Professions Code section 19440 provides the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 provides that the Board shall adopt regulations to establish

policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state.

RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1588, HORSE INELIGIBLE TO START IN A RACE

1588. Horse Ineligible to Start in a Race.

In addition to any other valid ground or reason, a horse is ineligible to start in any race:

(a) if such horse is not registered by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(b) if the parentage verification to both the sire and the dam of all horses foaled in 1992 and thereafter has not been certified by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(c) if, unless the stewards permit otherwise, the certificate of foal registration, eligibility papers, or other registration issued by the official registry for such horse is not on file with the racing secretary at the time of entry;

(d) if such horse has been entered or raced at any recognized race meeting under any name or designation other than the name or designation duly assigned by and registered with the official registry;

(e) if the certificate of foal registration, eligibility papers or other registration issued by the official registry has been altered, erased, or forged;

(f) if the identification markings of the horse do not agree with the identification markings as set forth in the registration of such horse;

(g) unless he is eligible to enter said race and is duly entered for such race;

(h) when such horse is owned in whole or in part by an unlicensed person or is in the care of an unlicensed trainer;

(i) when such horse is on the Steward's List, the Starter's List or the Veterinarian's List;

(j) when, except with prior approval of the stewards for good cause, such horse is on the Veterinarian's List in another racing jurisdiction. Good cause includes:

(1) unforeseen administrative issues in removing the horse from the Veterinarian's List of another racing jurisdiction;

(2) the location of the horse prevents it from being evaluated by the official veterinarian of another racing jurisdiction and cleared from that jurisdiction's Veterinarian's List, and the horse is approved to race by a California official veterinarian; or

(3) any other unforeseen event or reason that would prevent a horse that would otherwise not be on a Veterinarian's List from being cleared from the Veterinarian's List of another racing jurisdiction.

(k) when, except with prior approval of the stewards, such horse has not been on the grounds of the association or its approved auxiliary stable area for at least 24 hours prior to the time the race is to be run.

(l) ... (This subparagraph will be effective 12/26/18) *when such horse does not carry a microchip or has not received a waiver from the stewards in accordance with Rule 1597.5.*

(m) ... (This subparagraph was adopted by the Board on September 27, 2018 and was sent to the Office of Administrative Law for final review) *when such horse has received an intra-articular*

injection within the previous five days (120 hours) prior to the scheduled post-time for the race in which it is entered.

(n) when such horse has not raced in 12 months since its previous start, until the horse has performed satisfactorily in a workout or qualifying race as described in 1866(e) and (f).

(o) when such horse is a first-time starter four (4) years of age or older, until the horse has performed satisfactorily in a workout or qualifying race as described in 1866(e) and (f).

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

First start as 4 Year old and up as a risk of catastrophic injury:

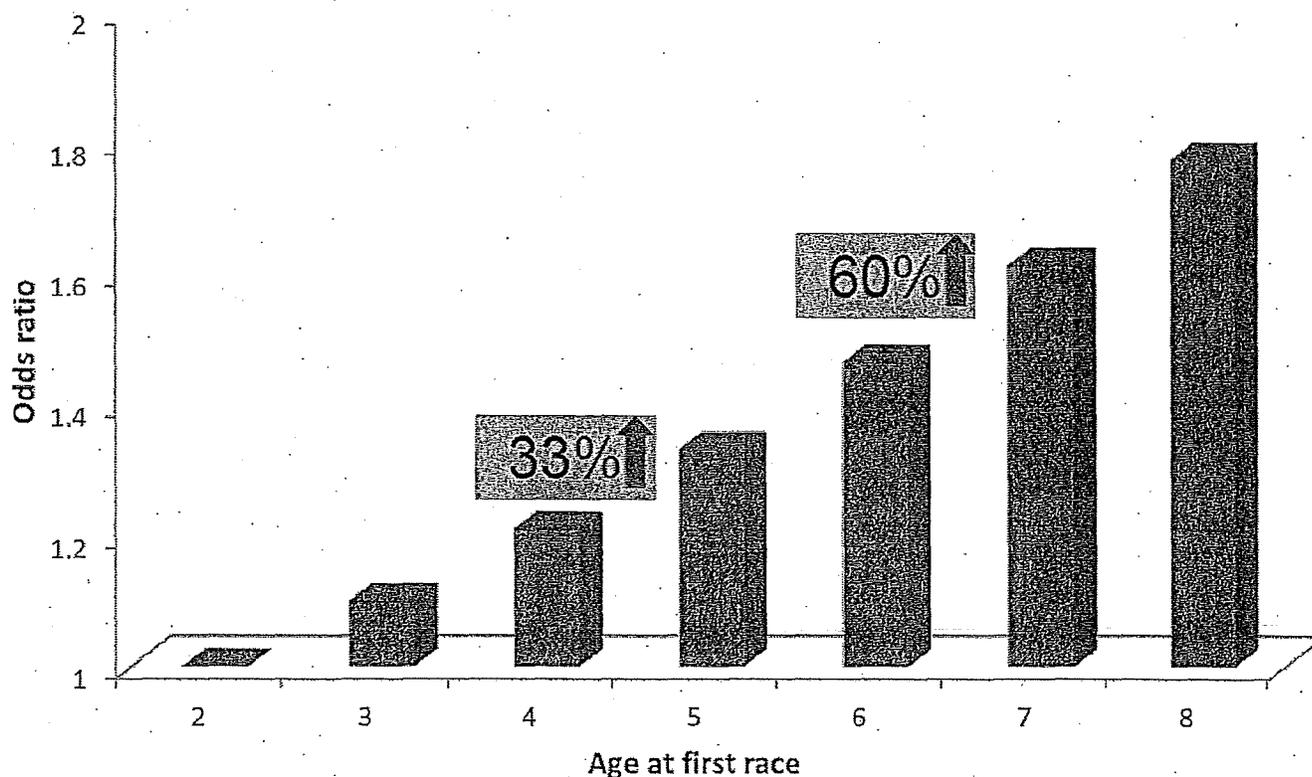


TD Parkin: Equine Injury Database –models, risk factors and prediction

https://courses.grayson-jockeyclub.org/courses/WSS/WSS_VI/story.html

Age at first race

2015



These data are for racing fatalities only. It does not include training fatalities

Lay-off as a risk of catastrophic injury:

Lay-off as a risk factor has been presented at numerous racing and veterinary meetings and is published in Georgopoulos, Stamatis Panagiotis, and Tim DH Parkin. "Risk factors for equine fractures in Thoroughbred flat racing in North America." *Preventive veterinary medicine* 139 (2017): 99-104.