

CALIFORNIA HORSE RACING BOARD

1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



REGULAR MEETING

of the California Horse Racing Board (CHRB) will be held on **Thursday, October 20, 2016**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of September 22, 2016.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Pacific Racing Association dba Golden Gate Fields in the amount of \$39, 573 to nine beneficiaries.**
5. Discussion and action by the Board regarding **the proposed amendments to CHRB Rule 1581, Racing Secretary to Establish Conditions**, to allow racing secretaries to write medication-based eligibility conditions as agreed to with the acknowledged horsemen's organization(s) and approved by the Board before entries are taken for the race, and **CHRB Rule 1843, Medication, Drugs and Other Substances**, to clarify that medication-based eligibility conditions, with authorized thresholds lower than what is authorized by the Board, are not to be deemed in conflict with the Board's intent and other regulations. (Proposed in response to the Los Angeles Superior Court's Order that the CHRB set aside approval of Los Alamitos Quarter Horse Racing Association's "house rule.")
6. Discussion and action by the Board regarding **the proposed addition of CHRB Rule 2073.1, Entities to Geo-Locate California Residents at the Time of Wager**, to require Advance Deposit Wagering (ADW) providers to identify the location of wagers placed through an ADW account of a California resident; and to provide an accounting of all wagers placed by California residents (through an ADW account) at a California thoroughbred racing facility on days when live thoroughbred racing is being conducted at that facility.

7. Report from the **Race Dates Committee**.
8. Discussion and action by the Board regarding the **allocation of northern California race dates**.
9. Discussion and action by the Board on the **Application for Approval to Conduct Advance Deposit Wagering (ADW) of NewCo Ventures North America, LLC, dba NYRAbets.com, for an out-of-state multi-jurisdictional wagering hub**, for a period of up to two years.
10. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Horse Racing Association (T) at Los Alamitos Race Course**, commencing December 7, 2016 through December 20, 2016, inclusive.
11. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club (T) at Santa Anita Park Race Track**, commencing December 21, 2016 through July 4, 2017, inclusive.
12. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing December 26, 2016.
13. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Quarter Horse Racing Association (QH) at Los Alamitos Race Course**, commencing December 30, 2016 through December 17, 2017, inclusive.
14. Public hearing and action by the Board regarding **the proposed amendment to CHRB Rule 1688, Use of Riding Crop**, to prohibit the jockey from using the riding crop more than four times in succession during the last 16th of a mile in a thoroughbred race without giving the horse a chance to respond before using the riding crop again. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
15. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).

- B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).

- C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Madeline Auerbach, Member
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



PENDING LITIGATION OCTOBER 2016

COURT LITIGATION

- A. Jose DeLaTorre vs. CHRB**
District Court of Appeal
- B. San Luis Rey Racing, Inc. (SLRR) vs. CHRB, et al**
District Court of Appeal
- C. Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego
- D. Gustavo DeLaTorre vs. CHRB and LAQH Racing Association**
Superior Court of California, County of Los Angeles
- E. Donald Lockwood vs. CHRB**
Superior Court of California, County of Los Angeles
- F. Gustavo DeLaTorre vs. CHRB**
Superior Court of California, County of Los Angeles

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



**PENDING ADMINISTRATIVE ADJUDICATIONS
OCTOBER 2016**

CASE

- A. Appeal of the Board of Stewards Official Ruling #048, Del Mar Thoroughbred Club**
Jockey Stewart Elliott
Case Number: SAC 16-0038

- B. Appeal of the Board of Stewards Official Ruling #049, Del Mar Thoroughbred Club**
Jockey Santiago Gonzalez
Case Number: SAC 16-0039

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Los Alamitos Race Course**, 4961 Katella Ave, Los Alamitos, California, on **September 22, 2016**.

Present: Chuck Winner, Chairman
 Madeline Auerbach, Member
 Steve Beneto, Member
 Jesse H. Choper, Member
 Alex Solis, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF AUGUST 25, 2016

Chairman Winner asked for approval of the minutes of the Regular Meeting of August 25, 2016. Commissioner Solis **motioned** to approve the minutes. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Choper, Auerbach, Solis, Winner. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Chairman Winner stated Vice-Chairman Rosenberg's term as Board member expired. He said Vice-Chairman Rosenberg performed a great service to the industry over his seven years with the Board. Executive Director Rick Baedeker reported that after a series of unfortunate events at the Del Mar Thoroughbred Club's (DMTC) 2016 summer race meet, Chairman Winner designated commissioners and staff, as permitted by the Bagley-Keene Act, to hold meetings with: DMTC management, jockeys, trainers, veterinarians, Thoroughbred Owners of California (TOC) and the Stronach Group. He said the meetings were held to determine what changes could be made to increase horse and rider safety. The meetings were extremely productive and constructive. Chairman Winner stated the Board appreciated everyone that took the time to attend one of the

meetings, and the constructive suggestions made at them. Executive Director Baedeker stated staff was organizing the suggestions made at the meetings. Those that could be implemented would be presented to the Board at a later date. Executive Director Baedeker reported that the Model Rules Committee for the Association of Racing Commissioners International (ARCI) was working on a model rule for out of competition testing. He said a medication that might be prohibited or severely restricted on a race day might have therapeutic uses during training, so the ARCI was working on a different set of guidelines along with a different set of penalties. Executive Director Baedeker reported the August 2016 daytime handle decreased by 11.7 percent and nighttime handle decreased 9.9 percent, with an overall loss of 11.6 percent over the same time in 2015. He said operational issues, one fewer day and two fewer nights of racing contributed to the decline. He stated the average starters per race decreased from 8.78 to 8.31, which equaled a 6.5 percent increase in what was wagered per starter, which showed the number of starters per race was key to a successful race meeting. Commissioner Choper asked if there were identifiable reasons for the strong race meeting at Los Alamitos Racing Association and weak California State Fair. Rick Pickering, California State Fair, stated the 2016 California state fair meeting held fewer races due to one less day of racing, however on-track handle actually increased 11 percent and on-track attendance increased 11.5 percent from 2015. He said the California State Fair had an overall decline because of out-of-state handle. He said the tragic shootings in Dallas, Texas occurred the night before the fair opened, which may have affected handle. The fair race meeting also coincided with other race meetings in California, which affected all-source handle. Mr. Pickering said the California State Fair wanted to increase its all-source handle, and it was open to any suggestions. Executive Director Baedeker stated the Board package included a report with Humboldt County Fair handle decreased 26 percent for

2016 compared to 2015. He said the advance deposit wagering network handle decreased 74 percent, but that could be an error and would be looked into. Executive Director Baedeker stated the transcripts for the August 2016 regular meeting also contained an error. He said LATC was noted as having a \$.50 cent Pick 6, but LATC really had a \$.50 cent Trifecta.

PUBLIC COMMENT

Jerome Hoban, Alameda County Fair at Pleasanton, thanked the Board for allowing Oak Tree at Pleasanton (Oak Tree) to run the race dates previously allocated to Stockton. He stated he brought the Oak Tree marketing plan for the Board to review. The marketing plan included many events such as Sip, Savor, and Wager, a beer festival, a free family day, and a Latino festival. Chairman Winner said he had a chance to review Oak Tree's marketing plan and was very impressed. He stated he appreciated the work Oak Tree put into its marketing. Commissioner Beneto asked about the cost of Oak Tree's gate admission and parking. Mr. Hoban said gate admission was free and parking was \$10.00. Joe Morris, Santa Anita, stated he wanted to give an update on Santa Anita's security surveillance system which began in 2013. He said nothing was more important to Santa Anita or the Stronach Group than the safety of horses, backstretch workers, riders, and the integrity and transparency of the sport. He stated Santa Anita's backstretch surveillance was a state-of-the art system and started at the entrances to the backstretch with the gates. The system could capture license plates and cars, with over 1,000 cameras in the backstretch. The cameras were set-up to record to a Digital Video Recorder that could store surveillance video for up to 30 days. He stated a control center was set up that was fully staffed with surveillance operators. Chairman Winner said he had the opportunity to see the surveillance system and to walk through the barn areas to see how it worked. He stated the

surveillance system was really impressive and Santa Anita was to be commended. Mr. Morris said Santa Anita appreciated the support of the CHRB, California Thoroughbred Trainers, and Thoroughbred Owners of California; the surveillance system was supported by the industry and it was truly one of a kind. Chairman Winner stated Santa Anita's surveillance system was important to racing, the health and safety of everyone involved, and would be helpful in ensuring an equal playing field.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING NOVEMBER 9, 2016 THROUGH DECEMBER 6, 2016, INCLUSIVE.

Joe Harper, Del Mar Thoroughbred Club (DMTC), requested approval for the application to conduct a horse racing meeting of DMTC at Del Mar commencing November 9, 2016 through December 6, 2016. He stated the 2016 DMTC summer meet was successful, despite a number of horse injuries. He said he was grateful the Board conducted horse and rider safety meetings after the 2016 DMTC summer meeting. The safety meetings were very enlightening, and DMTC was already putting some new practices into place that would hopefully reduce equine injuries. Commissioner Solis said the way DMTC handled workouts at its last race meeting was well-received by people on the backside-especially the exercise riders. He said the time given to allow horses to breeze, and other horses to gallop broke down all the traffic, and he hoped DMTC would continue the practice in the future. Joe Harper, DMTC, said the change to how workouts were conducted were positive. He said DMTC was originally concerned people might be upset because the whole dynamics of the workouts were changed, but DMTC received praise, and planned to continue the process. Chairman Winner stated the DMTC change to workouts

was a positive step for horse and rider safety. Commissioner Auerbach said LATC had used the same workout practice in the past. Chairman Winner stated LATC was already discussing changing its workout practices again, and was doing everything it could to encourage safety as well. Mr. Harper said the 2016 Breeders' Cup was scheduled to be held at Santa Anita Race Course, which would be beneficial for DMTC. He said the 2015 DMTC winter race meeting had difficulty getting entries because the quality horses were participating at the Breeders' Cup at Keeneland. Tom Robbins, DMTC, stated DMTC would be giving three stakes races it held in 2015 back to Los Angeles Turf Club (LATC) for 2016. Mr. Robbins said the Desi Arnez \$100,000 two-year old filly sprint stakes was going to be replaced by a Grade II event that was last run in 2014 at Los Alamitos Race Course. He stated three stakes races had \$50,000 reduced purses: the Hollywood Turf Cup, Seabiscuit and Native Diver stakes races, which were all American graded stakes. He said overnight purse levels would match the Santa Anita purse structure. Commissioner Auerbach said DMTC's efforts to be cognizant of the entire circuit by editing its stakes schedule was to be commended. Mr. Robbins said DMTC planned to continue the Ship-and-Win program for its fall 2016 race meeting, as it had proved successful. Commissioner Choper asked if DMTC had statistics on the horse retention from the Ship-and-Win program. Mr. Robbins said each horse was tracked through the remainder of the year into the next year. He said the Ship-and-Win program not only helped the DMTC race meeting, but also the following meets in Southern California, and even some in Northern California. Commissioner Auerbach asked if DMTC was following LATC's preparations for the 2016 Breeders' Cup, as research for its own turn at hosting Breeders' Cup in 2017. Mr. Robbins said DMTC was closely following LATC's preparations for the 2016 Breeders' Cup event. Commissioner Solis asked how the Pick 6 wagering pool performed at the 2016 DMTC summer

meeting. Josh Rubenstein, DMTC, said total wagering on the Pick 6 was unchanged from previous Pick 6 wagering, despite the change in format. He stated the proposed format for the 2016 DMTC fall meeting Pick 6 was expected to have more interest from the public. Executive Director Rick Baedeker stated he wanted to clear up some confusion with the Pick (n) Pool 6. He said the Association of Racing Commissioners International (ARCI) maintained a set of model rules meant to serve as a regulatory reference and standard for horse racing jurisdictions internationally. The model rules were promulgated based on expertise and recommendation of ARCI member agencies who participate through a variety of oversight committees. He said ARCI released its latest set of model rules which included a new method for the Pick (n) Pool in September 2016. The new rule for the Pick (n) Pool provides for three shared divisions with the unique winning ticket provision, which DMTC proposed for its 2016 fall race meeting. Craig Dato, DMTC, stated DMTC conducted research in 2015 that indicated 78 percent of the general population in San Diego knew DMTC held racing in August, but only 40 percent were aware of the November race meeting. He said DMTC changed its advertising to emphasize that it would be open. Mr. Dato said the 15-day meet had a Veterans Day celebration scheduled, as well as two concerts, a college day, and a craft beer and food truck festival to complement the racing schedule. Commissioner Choper asked if the summer attendees were given incentives to return for the fall meeting. Mr. Dato said there was quite a bit of on-track advertising. Commissioner Choper asked if DMTC handed out a free ticket to the summer attendees for the fall meetings. Mr. Dato said DMTC had more coupons for the fall meeting and gave out a lot of free tickets. Executive Director Baedeker said the DMTC race meet application proposed to run either eight or nine races per day as the horse population would allow, which was the traditional number of races. He said there was also an asterisk that said DMTC reserved the right to change the

number of races based on horse availability, which rendered the information irrelevant. He said there should be a process of approval because in some instances, a race meet association increased the number of races for the last few days of its race meeting, and caused a shortage for the following race meet, especially with fairs. Mr. Robbins stated he agreed, however with the proposed race meeting ending in December, DMTC would not be able to run more than nine races on the closing weekend because sunset was set for 4:42 p.m. and with the post time at 12:30 p.m. nine races was already tight. Chairman Winner asked if DMTC considered the types of races it would run on big race days when the largest crowds were expected. Mr. Robbins said the racing structure was somewhat dependent on what the race meet running before it did, as well as the race meet following, but DMTC would make an effort to consider the expected crowd size as well. Commissioner Beneto **motioned** to approve the Del Mar Thoroughbred Club application to conduct a horse race meeting commencing November 9, 2016 through December 6, 2016. Commissioner Choper **seconded** the motion, which was which was **unanimously carried**. Roll Call Vote: Choper, Solis, Auerbach, Winner, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE PRESENTATION FROM THE ARABIAN RACING ASSOCIATION OF CALIFORNIA, AND ITS CONCERNS ABOUT THE CLOSING OF THE RACE TRACK AT THE ALAMEDA COUNTY FAIR AT PLEASANTON FOR THE WINTER, AND THE LIMITATION OF RACING OPPORTUNITIES FOR "EMERGING BREEDS."

Dorothy Burt, Arabian Racing Association of California (ARAC), stated she had been racing Arabians in California at county fairs since 1988. She said it was easy to forget emerging breeds and their contribution to the racing industry. She stated registration for all breeds was down, thoroughbreds had gone from a high of 51,000 foals registered in 1986 to 38,000 in 2005, to 22,000 in 2015. That was a 44 percent loss in ten years. She said it was a well accepted fact that

the emerging breeds were used at the beginning of the race card when there were fewer people in attendance. When Arabians were run higher on the card the handle was much greater. She stated Arabian racing needed more, not fewer, venues to race. Emerging breeds had proven their usefulness, however, when the San Mateo County fair dates were given to Golden Gate Fields (GGF), the emerging breeds were forgotten; the dates were strictly for thoroughbred racing. To reward GGF with more fair dates was foolish, fairs and fair dates were required to provide emerging breeds the opportunity to race. If GGF maintained the Stockton race dates, would GGF be required to allow emerging breeds to race? The fall race meet at Pleasanton was giving the emerging breeds the opportunity to race and she was pleased Pleasanton was doing so much outreach. She stated ARAC was concerned about the planned closure of Pleasanton, which was the only Northern California training track where Arabians were allowed. While most previously trained horses could be ready to race with a shortened prep time, young horses and first time starters often took longer – 90 to 120 days of conditioning to be ready. She said if the track opened to emerging breeds on May 1st with a meet beginning mid-June, that would really limit the ability to get the horses ready to race, including the big race at Santa Anita on April 1. She stated limiting the California Arabians opportunities to prepare to race essentially encouraged out-of-state horses to race, take the money, and go home. She said Arabian racing and emerging breeds filled a need in the industry, and she urged the Board to be proactive by increasing the opportunities for Arabians and emerging breeds to participate. Scott Daruty, Stronach Group, stated the Stronach Group was very supportive of Arabian racing and worked with various associations to bring a big Arabian race to Santa Anita. He said GGF was statutorily prohibited from running Arabian races. He stated the stabling and vanning committee paid for the stabling of Arabian horses at Pleasanton, and it was GGF's decision to not pay for

stabling at Pleasanton for some time beginning with its winter meet starting on December 26, 2016. Commissioner Choper said perhaps a statutory improvement could be pursued if there would be decent sized fields of Arabian horses. Mr. Daruty said it could be something to look at, but there was also the issue of whether or not the fairs would be in opposition to a statutory improvement because the Arabian races were exclusive to the fairs. Larry Swartzlander, California Authority of Racing Fairs, stated Arabians, quarter, and mules were stabling at Pleasanton during fair meets, and generated vanning and stabling money.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1844, AUTHORIZED MEDICATION, TO PROHIBIT THE PRESENCE OF CLENBUTEROL IN OFFICIAL URINE TEST SAMPLES FOR ALL HORSES PARTICIPATING IN QUARTER HORSE RACES.

Philip Laird, CHRB Staff Counsel, stated the proposed amendment to Rule 1844, Authorized Medication, was meant to make the current suspension of clenbuterol in all horses participating in quarter horse races permanent, regardless of breed. Commissioner Solis **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1844, Authorized Medication. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Beneto, Auerbach, Solis, Winner. Nay: None. Motion carried.

DISCUSSION REGARDING THE **PROPOSED AMENDMENTS TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS**, TO PROVIDE THAT THE RACING SECRETARY MAY ESTABLISH RACE CONDITIONS THAT PROHIBIT THE USE AND/OR PRESENCE OF DRUG SUBSTANCES OR MEDICATIONS IN BIOLOGICAL TEST SAMPLES, IF THE MEDICATION CONDITIONS ARE AGREED TO IN WRITING BY THE ACKNOWLEDGED HORSEMEN'S ORGANIZATION, AND THEN APPROVED BY THE BOARD BEFORE ENTRIES ARE TAKEN; A DESCRIPTION OF THE TESTING METHODS AND PROCEDURES USED BY THE RACING ASSOCIATION OR FAIR TO COLLECT AND ANALYZE BIOLOGICAL TEST SAMPLES OTHER THAN THE OFFICIAL TEST SAMPLE COLLECTED BY THE CHRB SHALL ALSO BE SUBMITTED TO THE BOARD FOR APPROVAL, AND **CHRB RULE 1843, MEDICATION, DRUGS AND OTHER SUBSTANCES**, WHICH PROVIDES THAT NOTHING IN ARTICLE 15 SHALL PREVENT A RACING ASSOCIATION OR FAIR FROM SETTING ELIGIBILITY CONDITIONS, AS AGREED TO WITH THE ACKNOWLEDGED HORSEMEN'S ORGANIZATION, FOR INDIVIDUAL RACES, OR AN ENTIRE RACE MEET, THAT PROHIBIT THE USE AND/OR PRESENCE OF DRUG SUBSTANCES OR MEDICATIONS IN BIOLOGICAL TEST SAMPLES FROM PARTICIPATING HORSES AT DETECTION LEVELS LOWER THAN WHAT IS AUTHORIZED BY THE BOARD. SUCH CONDITIONS, IF ESTABLISHED IN ACCORDANCE WITH RULE 1581, SHALL NOT BE DEEMED IN CONFLICT WITH BOARD RULES AND REGULATIONS.

Philip Laird, CHRB Staff Counsel, stated Rule 1581, Racing Secretary to Establish Conditions, and Rule 1843, Medication, Drugs and Other Substances, were passed as written on an emergency basis in July 2016 and were currently in effect. He said the Los Alamitos Quarter Horse Racing Association already enacted the testing under the eligibility race condition program, and Rules 1581 and 1843 were currently before the Board to readopt the regulations on a permanent basis. He stated the proposed amendment to Rules 1581 and 1843 was discussed at the August 2016 Medication and Track Safety Committee meeting, and at that time no objections were voiced. However, the Thoroughbred Owners of California (TOC) wished to review the language a little further. Greg Avioli, TOC, stated Mr. Laird worked with TOC over the last month to address TOC's concerns. He said Business and Professions Code section 19613.1 defined the duties of the TOC and the California Thoroughbred Trainers (CTT). He asked for the rule to be limited to the owner's organization as opposed to the current version of the rule which provided it subject to the approval of the TOC and the CTT. Commissioner Auerbach

said she thought the language was changed to state “horseman’s organization”, not “horsemen’s organization.” Mr. Laird stated the language could be amended to make it exclusive to just the TOC’s consent and approval. Executive Director Baedeker asked if there was language in the CHRB regulations that referenced what the horseman’s organization was for thoroughbreds. Mr. Laird said it was defined in Rule 2040 which defined an acknowledged horseman’s organization by the Board in the case of thoroughbreds was both an owners’ organization and a trainer’s organization, while the statute laid out clear definitions. He stated the rule could be amended to be consistent with the statute. Alan Balch, CTT, said he believed the intent to amending the rule was to provide for approval by both the owner’s and the trainer’s organization; that was stated on record at a prior meeting held at Del Mar. He stated he did not believe the statute did not prohibit the Board from adding in a rule that the trainer’s organization, as well as the owner’s organization should be consulted for approval. Mr. Avioli said he did not believe the statute was open to interpretation; the law clearly stated something should be in the purview of the TOC, and he did not believe the Board could act inconsistent with that. Chairman Winner stated the item would be put over until October 2016, to allow the CHRB legal team time to further review the issue and to discuss it with the trainers and owners.

REPORT FROM THE RACE DATES COMMITTEE.

Chairman Winner reported that the race dates committee meeting was held September 21, 2016. The meeting was lengthy, interesting and well-attended. He said the northern California race dates were not agreed-upon, with one exception; however, the participants were to be commended for trying to reach an accord. Chairman Winner stated the allocation of Northern California race dates would be postponed to the October 2016 Regular Board meeting.

Chairman Winner said Commissioner Beneto had asked at the August 2016 Regular Board meeting to make a commitment that the dates would be resolved by the September 2016 Regular Board meeting. However, Commissioner Beneto agreed to the postponement given the fact that the race dates committee had only one of three commissioners on the committee in attendance. He said the postponement was also after agreement that a decision would not be made until after the California Authority of Racing Fairs (CARF) race meeting at Pleasanton was completed in October 2016 that would give the committee an opportunity to see how the meeting went since it was moved from Stockton for 2016. Chairman Winner said the committee members still wanted stakeholders to work out the Northern California race dates themselves, but the commissioners would be available to help if needed. He said the committee passed two issues: approving the race dates for harness racing by Watch and Wager.com LLC at Cal Expo, and quarter horse racing at Los Alamitos. He said the committee would meet again before the October 2016 Regular Board meeting. Commissioner Solis said after reviewing the proposed calendars for the fairs, it appeared there were a lot of dark days where only simulcast races were available. He said it seemed like that would hurt the horsemen. Commissioner Solis said the number of dark days should be considered when allocating the race dates. Executive Director Rick Baedeker stated in some instances, fairs ran three days a week, but the number of days was largely determined by the horse population available. Chairman Winner stated the point raised by Commissioner Solis should be considered as discussions continued for the 2017 Northern California race dates.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF NORTHERN CALIFORNIA RACE DATES BEGINNING YEAR 2017.

This item was moved to the October 2016 Regular Board Meeting.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF THE HARNESS RACE DATES BEGINNING YEAR 2017.

Chris Schick, Watch and Wager.com LLC (Watch and Wager) requested approval for allocation of the Watch and Wager 2017 race meet dates at Cal Expo. He said the Watch and Wager harness race meeting would be held December 26, 2016 through May 2017, and October 2017 through December 24, 2017. Commissioner Auerbach **motioned** to approve the harness race dates beginning year 2017. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Winner, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF THE QUARTER HORSE RACE DATES BEGINNING YEAR 2017.

Chairman Winner stated the Race Dates Committee meeting moved a recommendation to the Board to pass the allocation of the quarter horse race dates beginning December 30, 2016 through December 17, 2017. Commissioner Choper **motioned** to approve the 2017 Quarter Horse race dates for Los Alamitos Quarter Horse Racing Association. Commissioner Beneto **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Solis, Auerbach, Winner, Choper, Beneto. Nay: None. Motion carried.

MEETING ADJOURNED AT 11:09 A.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

OCTOBER 20, 2016
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

OCTOBER 20, 2016
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE PACIFIC RACING ASSOCIATION DBA
GOLDEN GATE FIELDS IN THE AMOUNT OF \$39,573 TO NINE BENEFICIARIES

Regular Board Meeting
October 20, 2016

ISSUE

Pacific Racing Association, doing business as Golden Gate Fields (GGF), is requesting approval to distribute race day charity proceeds generated at its 2015-2016 racing meet from August 19, 2015 to June 14, 2016. From the net race day charity proceeds GGF is proposing a total distribution of \$39,573.66. The charitable organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proceeds will be given to nine horse racing related organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action.
Staff recommends the Board approve the charity race day distribution as presented.

CHRB ANALYSIS

Golden Gate Fields Charity Day Proceeds (08/15/2014-06/14/2015)

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Permanently Disabled Jockeys Fund	8,500.00	21%	minimum of 20%	d
2	Racing Track Chaplaincy (N. California)	2,500.00	6%		
3	Winners Foundation*	6,573.66	17%	minimum of 5%	c
4	Edwin J. Gregson Foundation*	8,600.00	22%		
5	CARMA	5,400.00	14%		
6	Neigh Savers Foundation	1,500.00	4%		
7	California Thoroughbred Foundation	1,500.00	4%		
8	United Pegasus Foundation	1,500.00	4%		
9	California Thoroughbred Horsemen's Foundation	3,500.00	9%	minimum of 5%	b
	Total	\$ 39,573.66	100%		e
	Notes:				
	a	20% to charities associated with the horse racing industry			
	b	5% to welfare fund for backstretch personnel (B&P 19556)			
	c	5% to nonprofit organization to assist alcohol abuse victims			
	d	20% to nonprofit organization that benefits qualified disabled			
	e	overall a minimum of 50% should go to horse racing industry			
	* Associations related to horse racing industry				
	Background information for each organization is attached.				

Golden Gate Fields

September 27, 2016

California Horse Racing Board
Attention Jacqueline Wagner
1010 Hurley Way, Suite 300
Sacramento, California 95825

Re: Pacific Racing Association's Charity Days

To whom it may concern:

Pacific Racing Association, doing business as "Golden Gate Fields," has determined the beneficiaries of proceeds from "Charity Days" races conducted at Golden Gate Fields during its race meets from August 19, 2015 to June 14, 2016. The net proceeds from the "Charity Days" totaled \$39,573.66. Attached hereto is the list of the organizations selected as the beneficiaries of the Charity Days proceeds, the amount to be distributed to each and a brief statement about each recipient.

Please note that 100% of the distributions are to horse related charities.

Should you have any questions regarding the distributions or the matters set forth herein, please do not hesitate to contact the undersigned.

Very truly yours,



Frank De Marco, Jr.
Vice President, Regulatory Affairs

FDM:mr

Enclosures

Pacific Racing AssociationDistribution of Charity Days Proceeds2015-2016 Meets: 8/19-9/07/15, 9/24-10/21/15, 10/22-12/20/15, 12/26/15-6/14/16

<u>I. Horse Related Charities – BP 19556(b) (20% minimum)</u>	<u>Amounts</u>	<u>Subtotals</u>
California Thoroughbred Foundation	\$ 1,500.00	
CARMA	5,400.00	
Edwin Gregson Foundation	8,600.00	
Neigh Savers Foundation	1,500.00	
Race Track Chaplaincy of America (Northern California Council)	2,500.00	
United Pegasus Foundation	1,500.00	\$21,000.00
<u>II. Welfare Fund for Backside Personnel – BP 19641(b) (5% minimum)</u>		
California Thoroughbred Horsemen's Foundation	3,500.00	3,500.00
<u>III. Fund for Substance or Alcohol Abuse – BP 19556(b) (5% minimum)</u>		
Winners Foundation	6,573.66	6,573.66
<u>IV. Disabled Jockeys Fund – BP 19556(c) (20% minimum)</u>		
Permanently Disabled Jockeys' Fund	8,500.00	8,500.00
Total		<u>\$39,573.66</u>

**ADDEMDUM TO PACIFIC RACING ASSOCIATION
REQUEST FOR AUTHORITY TO DISTRIBUTE
2015-2016 CHARITY DAYS PROCEEDS**

California-Thoroughbred Foundation – CTF is a 503(c)(3) nonprofit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at UC, Davis and maintenance of the Carleton F. Burke Memorial Library.

California Thoroughbred Horsemen's Foundation – CTHF is a 501(c)(3) nonprofit foundation that provides assistance to the low income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and CHRB recognized off-track training centers. The organization maintains three clinics throughout the state which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

CARMA – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist Thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for the rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

Edwin Gregson Foundation – A nonprofit charitable foundation organized for the purpose of improving the lives of backstretch workers and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including "English as a second language," bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers and their children, including trips to Magic Mountain in Valencia, California, Raging Waters, Galaxy soccer games, Dodger baseball games and excursions to the Long Beach Aquarium. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

Neigh Savers Foundation – Neigh Savers creates new beginnings for retired racing Thoroughbreds by building relationships, both on and off the track, to help as many horses as possible. Through rescue, rehabilitation and retraining the organization provides the foundation needed for racing Thoroughbreds to successfully transition into new careers. Whether the horse is an Off-Track Thoroughbred (OTTB) ready to end its racing career or one that has been injured on the track or has found itself standing at an auction or on a feedlot with no other options, Neigh Savers strives to make a difference, one OTTB at a time.

Permanently Disabled Jockeys' Fund – This is a statutorily mandated distribution per Business and Professions Code Section 19556 (c). It provides support to disabled jockeys, as its name implies.

Racetrack Chaplaincy of America (Northern California Council) – The stated mission of the organization is "The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry."

United Pegasus Foundation – This is a 501(c)(3) charitable organization that aids the rescue of superannuated Thoroughbreds and provides care for such animals at its farm in Tehachapi, California. On occasion the organization purchases older horses at auction and acquires other Thoroughbreds at the request of owners and trainers. The retired Thoroughbreds are maintained in pleasant quarters and peaceful surroundings to live out the balance of their lives. This organization depends entirely upon donations for the purchase of food and other amenities required to maintain the Thoroughbreds.

Winners Foundation – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race tracks who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch workers, as well as all employees of Del Mar, Fairplex, Golden Gate Fields, Hollywood Park, Oak Tree, Santa Anita and the California Association of Racing Fairs.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENTS TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, TO ALLOW RACING SECRETARIES TO WRITE MEDICATION-BASED ELIGIBILITY CONDITIONS AS AGREED TO WITH THE ACKNOWLEDGED HORSEMEN'S ORGANIZATION(S) AND APPROVED BY THE BOARD BEFORE ENTRIES ARE TAKEN FOR THE RACE, AND CHRB RULE 1843, MEDICATION, DRUGS AND OTHER SUBSTANCES, TO CLARIFY THAT MEDICATION-BASED ELIGIBILITY CONDITIONS, WITH AUTHORIZED THRESHOLDS LOWER THAN WHAT IS AUTHORIZED BY THE BOARD, ARE NOT TO BE DEEMED IN CONFLICT WITH THE BOARD'S INTENT AND OTHER REGULATIONS. (PROPOSED IN RESPONSE TO THE LOS ANGELES SUPERIOR COURT'S ORDER THAT THE CHRB SET ASIDE APPROVAL OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION'S "HOUSE RULE.")

Regular Board Meeting
October 20, 2016

ISSUE

At its July 14, 2016 regular meeting, the California Horse Racing Board (CHRB or Board) approved for submission to the Office of Administrative Law (OAL) an emergency rulemaking file which would amend Rule 1581, Racing Secretary to Establish Conditions, and Rule 1843, Medication, Drugs and Other Substances, to clarify the Board's intent, and specify a process, for approving a racing association or fair's implementation of an eligibility drug testing program. On July 25, 2016, OAL approved these emergency regulations, making them effective immediately. Pursuant to the Administrative Procedure Act (APA), the Board now has until January 2017 to pass these regulatory changes through the regular rulemaking process in order for them to become permanent CHRB regulations.

BACKGROUND

On April 21, 2016, the Honorable James C. Chalfant of the Los Angeles County Superior Court signed a judgment in the matter of *Gustavo De La Torre v. California Horse Racing Board and Los Alamitos Quarter Horse Racing Association*, ordering the CHRB to set aside approval of the Los Alamitos Quarter Horse Racing Association (LAQHRA) "house rule," which provided for eligibility testing based on the presence of Clenbuterol, Albuterol, Zilpaterol, and Ractopamine in hair samples.

Upon issuing his Order, Judge Chalfant made it clear that if the CHRB wished to allow for the LAQHRA to continue with its eligibility testing program, it would need to implement new regulations which remove current conflicts that exist between their testing program and CHRB rules. If such regulations were not established and the Board continued to approve LAQHRA's testing program, the CHRB could be found in contempt of court.

At that time, CHRB staff determined that the court's ruling had created an emergency situation that called for immediate action to avoid serious harm to the public peace, health, safety, or general welfare. Specifically, the Judge's Order had created two options for the CHRB:

withdraw approval of LAQHRA's eligibility conditions, or risk being found in contempt of court.

LAQHRA's eligibility testing program—which operates separate from, and in addition to, the CHRHB's official testing program—sought to further prevent public harm by declaring horses that test positive for Clenbuterol, Albuterol, Zilpaterol, or Ractopamine ineligible to participate in races held at their track. Based on the widespread overuse and abuse of these medications in the quarter horse racing industry, and the potential they have to enhance performance, all four of these medications are presently not authorized by the Board to be present in any post-race official quarter horse test sample. By implementing their eligibility testing program, LAQHRA was furthering the intent of the Board's medication regulations by helping prevent unfair performance enhancement (and the resulting CHRHB violations) from ever occurring.

Additionally, by conducting pre-race testing, LAQHRA was further enhancing the safety for participating horses and jockeys. By preventing horses that test positive for these unauthorized medications from ever entering races, LAQHRA was decreasing the likelihood that an injury could occur as a result of an unauthorized medication being present in a horse's system during a race.

Nevertheless, because the Los Angeles Superior Court had found such efforts by the LAQHRA to be in conflict with existing Board regulations, the program had been ordered to cease until new regulations explicitly authorizing its implementation were enacted. Accordingly, at its July 14, 2016 regular meeting, the Board voted to submit emergency amendments to Rules 1581, Racing Secretary to Establish Conditions, and 1843, Medication, Drugs and Other Substances, to remove the court-determined conflict.

The emergency amendment to Rule 1843, Medication, Drugs and Other Substances, clarifies the intent of the Board in its authorization of certain medications to be present in official post-race test samples. Specifically, it explains that despite such authorization, nothing in Article 15, Veterinary Practices, shall prohibit a racing association or fair from setting eligibility standards which require lower detection levels of a drug substance or medication in privately conducted pre-race testing than what the Board has authorized, if done in conformity with Rule 1581, Racing Secretary to Establish Conditions.

The emergency amendment to Rule 1581 explains that if an association or fair sets a race condition based on the participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from that horse, that it shall be first agreed to by the acknowledged horsemen's association, and then approved by the Board. Furthermore, if the association or fair intends to use biological test samples other than the official test samples collected by the CHRHB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the samples shall be submitted to the Board for approval as well.

On July 25, 2016, OAL approved these emergency regulations, making them effective immediately.

ANALYSIS

The emergency amendments to Rule 1581, Racing Secretary to Establish Conditions, and Rule 1843, Medication, Drugs and Other Substances, will remain in effect as emergency regulations until January 2017. If the Board wishes these changes to become permanent regulations, it must submit these amendments to OAL through the regular rulemaking process, which includes written notice, opportunity for public comment, and approval by OAL.

This item was discussed by the Medication and Track Safety Committee at its August 24, 2016 meeting, and then by the full Board at its September 22, 2016 regular meeting. At the September meeting, the Board considered proposed language which would require a thoroughbred racing association wanting to establish an eligibility testing program to first obtain written consent from both the Thoroughbred Owners of California (TOC) and the California Thoroughbred Trainers (CTT). During the discussion, it was argued by TOC stakeholders that the CHRB lacked statutory authority, under Business and Professions Code section 19613.1, to give the CTT such consent rights. CHRB counsel was thus instructed to research the issue and report back to the Board what its authority is regarding this proposed regulation.

Business and Professions Code section 19613.1 states as follows:

- (a) With respect to thoroughbred racing, except as provided in subdivision (b), the board shall determine which matters shall be the subject of negotiation and contract between the owners' organization and the association, and which matters shall be the subject of negotiation and contract between the trainers' organization and the association.
- (b) The owners' organization shall generally be responsible for negotiating purse agreements, satellite simulcast agreements, and all other business agreements relating to the conduct of racing that affect the owners. The trainers' organization shall generally be responsible for negotiating issues relating to the backstretch, track safety, and the welfare of backstretch employees.
- (c) The board shall resolve issues that are not settled between the associations and the organizations representing owners and trainers.

CHRB counsel has researched the context and legislative history of the statute, and has determined that Business and Professions Code section 19613.1 does not prohibit the Board from approving the proposed regulation as currently drafted. Specifically, the proposed amendment to Rule 1581, Racing Secretary to Establish Conditions, describes a written consent process for medication-based race conditions—a new concept and process which is separate and apart from the traditional horsemen's agreements referred to in section 19613.1. Nevertheless, even if the consent required under the proposed amendment was part of the traditional horsemen's agreement, pre-race eligibility testing would not fall definitively into any of the categories described under subsection (b) above. Therefore, according to subsection (a), "the board shall determine" if an association's eligibility testing program is the subject of negotiation and contract between the owners' organization and/or the trainers' organization.

Business and Professions Code section 19613.1 was chaptered in 1994 under AB 991 (Tucker) as part of the statutory split of the single thoroughbred horsemen's organization—known at the

time as the California Horsemen's Benevolent and Protective Association (CHBPA)—into a separate owners' association (now TOC) and trainers' association (now CTT). AB 991 amended a number of statutes within the Horse Racing Law, but was structured primarily to redefine purse distributions and contracting responsibilities under the new bifurcated horsemen's organization system. Accordingly, the purpose behind section 19613.1 was to assign responsibilities existing at that time, such as negotiation of purse agreements and administration of the pension plan for backstretch personnel, to one of the two new thoroughbred horsemen's organizations. Such responsibilities at that time did not include consent rights for an eligibility testing program, and therefore it is up to the Board to decide which horsemen's organization(s) shall be required to provide a written agreement for a thoroughbred association to implement such testing moving forward.

Furthermore, CHRB counsel notes that Business and Professions Code section 19580 gives the Board the general authority to adopt regulations that establish "policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in this state." Accordingly, the Board is able to adopt the proposed amendments as currently drafted.

RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1581. RACING SECRETARY TO ESTABLISH CONDITIONS.

1581. Racing Secretary to Establish Conditions.

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which shall include both the owners and trainers organizations in the case of Thoroughbreds, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

NOTE: Authority cited: Sections 19440 and 19580, Business and Professions Code. Reference: Sections 19440, 19580, and 19581, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843. MEDICATION, DRUGS AND OTHER SUBSTANCES.

1843. Medication, Drugs and Other Substances.

It shall be the intent of these rules to protect the integrity of horse racing, to guard the health of the horse, and to safeguard the interests of the public and the racing participants through the prohibition or control of all drugs, medications and drug substances foreign to the horse. In this context:

(a) No horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided.

(b) No drug substance shall be administered to a horse which is entered to compete in a race to be run in this State except for approved and authorized drug substances as provided in these rules.

(c) No person other than a licensed veterinarian or animal health technician shall have in his/her possession any drug substance which can be administered to a horse, except such drug substance prescribed by a licensed veterinarian for a specific existing condition of a horse and which is properly labeled.

(d) A finding by an official chemist that a test sample taken from a horse contains a drug substance or its metabolites or analogues which has not been approved by the Board, or a finding of more than one approved non-steroidal, anti-inflammatory drug substance or a finding of a drug substance in excess of the limits established by the Board for its use shall be prima facie

evidence that the trainer and his/her agents responsible for the care of the horse has/have been negligent in the care of the horse and is prima facie evidence that the drug substance has been administered to the horse.

(e) Nothing in this Article shall prevent a racing association or fair from setting eligibility conditions, as agreed to with the acknowledged horsemen's organization(s), for individual races, or for its entire race meet, that prohibit the use and/or presence of drug substances or medications in biological test samples collected from participating horses at detection levels lower than what is authorized by the Board. Such conditions, if established in accordance with Rule 1581, shall not be deemed in conflict with the rules and regulations of the Board.

NOTE: Authority cited: Sections 19440, 19580, 19581 and 19582, Business and Professions Code. Reference: Sections 19401, 19440, 19580, 19581 and 19582; Sections 337f, g and h, Penal Code.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 2073.1, ENTITIES TO GEO-LOCATE CALIFORNIA RESIDENTS AT THE TIME OF WAGER, TO REQUIRE ADVANCE DEPOSIT WAGERING (ADW) PROVIDERS TO IDENTIFY THE LOCATION OF WAGERS PLACED THROUGH AN ADW ACCOUNT OF A CALIFORNIA RESIDENT; AND TO PROVIDE AN ACCOUNTING OF ALL WAGERS PLACED BY CALIFORNIA RESIDENTS (THROUGH AN ADW ACCOUNT) AT A CALIFORNIA THOROUGHBRED RACING FACILITY ON DAYS WHEN LIVE THOROUGHBRED RACING IS BEING CONDUCTED AT THAT FACILITY

Regular Board Meeting

October 20, 2016

ISSUE

California brick-and-mortar wagering facilities (i.e. race tracks, satellites wagering facilities, etc.) have witnessed a growing number of their patrons place wagers through advance deposit wagering (ADW) accounts using mobile devices instead of betting through the wagering terminals at their facilities. Due to alternative statutory takeout distributions and existing contractual arrangements with ADW providers, these ADW wagers result in less money to purses and commissions than if the wager were placed at a terminal or with a pari-mutuel clerk at the brick-and-mortar location. Accordingly, stakeholders have requested that the California Horse Racing Board (CHRB or Board) adopt a regulation which requires ADW providers to identify wagers that are placed by an account holder while they are at a licensed California race track, satellite wagering facility, or minisatellite wagering facility. The requesting stakeholders intend to use this information to negotiate for higher commissions and purses with ADW providers for ADW wagers placed by customers present at brick-and-mortar wagering locations.

BACKGROUND

At the Board's November 19, 2014 regular meeting, a group of California stakeholders proposed the addition of a rule that requires ADW providers to utilize GPS tracking technology (i.e. geo-location technology) to identify those wagers placed by account holders when they are physically present within a California brick-and-mortar facility. The matter was referred to the Pari-Mutuel, ADW, and Simulcast Committee, where advocates indicated over the course of two meetings that they were not attempting to prevent patrons from wagering in this manner—only that revenue from these wagers should be distributed at a rate more favorable to horsemen and racetracks. These parties indicated that they were discussing alternatives with ADW providers that would involve negotiating some amount of compensation to purses and commissions rather than the more complicated process of tracking individual wagers and adjusting distributions accordingly.

On March 18, 2016, Greg Avioli, representing the Thoroughbred Owners of California (TOC), sent an e-mail to the Pari-Mutuel, ADW, and Simulcast Committee requesting an agenda item pertaining to the "growing issue of players wagering on track via their ADW accounts." The TOC proposed at that committee's May 11, 2016 meeting that the CHRB adopt a rule requiring ADW companies to identify the location of each wager placed through an ADW account, and to

provide a full accounting of all wagers placed by a customer located at a licensed California thoroughbred racing facility on days when live thoroughbred racing is conducted at that facility.

ANALYSIS

Business and Professions Code section 19440 states that the Board shall have all powers necessary and proper to enable it carry out fully and effectually the purposes of this chapter, including adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Additionally, Business and Professions Code section 19604(d)(1)(A) states that the board shall develop and adopt rules to license and regulate all phases of operation of advance deposit wagering for ADW providers operating in California, including advance deposit wagering activity that takes place within a minisatellite wagering facility. Accordingly, the Board has the authority to enact regulations pertaining to GPS tracking of ADW wagers should it choose to do so.

CHRB staff has developed language for new Rule 2073.1, Entities to Geo-Locate California Residents at the Time of Wager, to accomplish the main objectives outlined by the TOC.

RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 26. ADVANCE DEPOSIT WAGERING
RULE 2073.1. ENTITIES TO GEO-LOCATE CALIFORNIA RESIDENTS
AT THE TIME OF WAGER

2073.1. Entities to Geo-Locate California Residents at the Time of Wager

(a) Every licensed ADW provider shall collect the physical location of its customers who are California residents each time such a customer places a wager through their account using any electronic device, including, but not limited to, phones, tablets and personal computers, while physically present within 100 meters of a licensed California racing facility, simulcast wagering facility, or minisatellite wagering facility in the State of California.

(1) A customer's location shall be accurately recorded within 100 meters of that customer's actual physical location using the processes and technology identified in the ADW provider's application pursuant to subsection (c) of this regulation.

(b) Using the location data collected in subsection (a), licensed ADW providers shall provide an accounting of all wagers placed by California residents using their ADW accounts while physically present within 100 meters of a licensed California racing facility, simulcast wagering facility, or minisatellite wagering facility. Such data shall be delivered as part of the daily download of pari-mutuel data required under Business and Professions Code section 19604(c).

(c) When submitting an application for an ADW provider license, applicants shall provide the Board with detailed information, including an overview of the processes and technology they will use, to show how they will comply with the location tracking requirements of this section through the term of the license.

(d) Licensed ADW providers shall retain all data collected pursuant to this section for a minimum of two years. The Board, or its designee, shall be given access for review and audit of all such records. The Board may also conduct investigations, inspections, or request additional information to ensure the requirements of this section are being complied with.

(e) Any contractual agreement entered into between a licensed ADW provider and racing association or fair under the provisions of Business and Professions Code section 19604 shall identify a unique contractual compensation rate for wagers placed by California residents using their ADW accounts while physically present within 100 meters of a licensed California racing facility, simulcast wagering facility, or minisatellite wagering facility.

Authority: Sections 19440 and 19604,
Business and Professions Code.

Reference: Section 19604,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

OCTOBER 20, 2016
REGULAR BOARD MEETING

There is no board package material for Item 7

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE ALLOCATION
OF NORTHERN CALIFORNIA RACE DATES AND RELATED ISSUES

Regular Board Meeting
October 20, 2016

ISSUE:

In preparation for the September 21, 2016 Race Dates Committee meeting, the Northern California stakeholders were asked to submit new or revised three-year race dates proposals. Santa Rosa (Sonoma) submitted no changes from its initial request and a letter was received from Senators Mike McGuire and Lois Wolk and Assembly Members Jim Wood and Marc Levine, supporting Sonoma County Fair's three year race dates proposal. Golden Gate Fields (GGF) also submitted no changes to its July 2016 race dates proposal. GGF added that while it did submit a three year proposal as requested, it continues to recommend that the Board should only allocate dates for 2017.

California Authority of Racing Fairs (CARF) submitted two proposals (Scenarios A and B) that were to be discussed at its September 15, 2016 meeting with Santa Rosa. Scenario A was the CARF proposal to date. In Scenario A CARF is asking that host fees be reinstated to Humboldt for Wednesday and Thursdays of each racing week.

Scenario B is a proposal to compromise with Santa Rosa. Santa Rosa has expressed a concern that if CARF cannot move its proposed June 21 start date to a June 14 start date, racing a third week without a fair at Santa Rosa may not be economically feasible. In Scenario B, CARF is proposing to give the Santa Rosa third week to Humboldt to run unoverlapped and receive a portion of the increased racing commissions from not running unoverlapped.

At the September 2016 Race Dates Committee meeting, no definitive decisions made regarding Northern California race dates allocation. The stakeholders in Northern California have not reached an agreement on a proposed calendar for 2017 or for 2018 and 2019. The Committee delayed allocation of the 2017 northern California thoroughbred race dates until the October 2016 meeting in order to give the stakeholders more time to reach an agreement on a consensus calendar.

Subsequent to the September Committee meeting, CARF withdrew its Scenario A proposal. At the October 7, 2016 Race Dates Committee meeting, Scenario B was presented as the CARF proposal.

At the October 7, 2016 Race Dates Committee meeting, discussion continued regarding the 2017 Northern California race dates. The Northern California stakeholders have not reached consensus on a proposed calendar for 2017, 2018 or 2019. The Committee emphasized its preference for the stakeholders to resolve the outstanding issues on their own however, the Committee also reiterated that the California Horse Racing Board was committed to finalizing 2017 Northern California race dates at its October Board meeting.

Ultimately, the Committee moved the following recommendation for the Board's consideration:

Adopt CARF Scenario A with the caveat:

- Leaving open the Stockton/Pleasanton (Oaktree) meeting; to be decided at the October Board meeting.
- Humboldt County Fair to run unoverlapped August 23-27; and overlapped with Golden Gate Fields August 30-September 4, 2017.

California Authority of Racing Fairs (CARF) 2017 Race Dates Proposal (Scenario A) COMMITTEE RECOMMENDATION as discussed at October 7, 2016 Race Dates Committee Meeting.

Golden Gate Fields: December 26, 2016 - June 20, 2017

Alameda County Fair: Oak Tree at Pleasanton: June 21 - July 11

California State Fair: July 12 - August 1

Sonoma County Fair: August 2 - August 22

Humboldt County Fair: August 23 - September 5

- Unoverlapped race days (August 23- August 27);
- Overlapped with Golden Gate Fields (August 30 – September 4)

Golden Gate Fields: August 23 - September 19

Alameda County Fair (Oak Tree at Pleasanton Fall) (formerly Stockton): September 20 - October 3

Fresno District Fair: October 4 - October 17

Golden Gate Fields: October 18 - December 19

The proposals as presented at the October 7, 2016 Race Dates Committee Meeting are as follows:

NORTHERN CALIFORNIA

California Authority of Racing Fairs (CARF) 2017 Race Dates Proposal (Scenario A) as discussed at September 15, 2016, meeting with Santa Rosa) PROPOSAL WITHDRAWN as presented by CARF at the October 7, 2016 Race Dates Committee Meeting.

Alameda County Fair - Oak Tree at Pleasanton: June 21 - July 11

California State Fair: July 12 – August 1

Humboldt County Fair: August 23 - September 4

- (Seven overlapped race days with Golden Gate Fields;
- Humboldt County Fair Host status (8/23,8/24,8/30, 8/31)

Alameda County Fair (Oak Tree at Pleasanton Fall) (formerly Stockton): September 20 - October 3

Fresno District Fair: October 4 - October 17

CARF notes the proposed calendar represents inclusive blocks proposed for each fair. Specific racing dates may vary within the proposed blocks. Date ranges reflect Host status unless otherwise noted.

California Authority of Racing Fairs (CARF) 2017 Race Dates Proposal (Scenario B) as discussed at September 15, 2016, meeting with Santa Rosa) CARF PROPOSAL as presented by CARF at the October 7, 2016 Race Dates Committee Meeting.

Alameda County Fair - Oak Tree at Pleasanton: June 21 - July 11

California State Fair: July 12 – August 1

Humboldt County Fair: August 16 – August 27

- First week unoverlapped – four live race dates
- Humboldt County Fair Host status (8/16, through 8/23)
- Humboldt County Fair revenue sharing week one with Sonoma County Fair
- Three overlapped race days with Golden Gate Fields (8/25,8/26,8/27)

Alameda County Fair (Oak Tree at Pleasanton Fall) (formerly Stockton): September 20 - October 3

Fresno District Fair: October 4 - October 17

CARF notes the proposed calendar represents inclusive blocks proposed for each fair. Specific racing dates may vary within the proposed blocks. Date ranges reflect Host status unless otherwise noted.

Sonoma County Fair (Santa Rosa) 2017 Race Dates Proposal

Alameda County Fair - (Oak Tree at Pleasanton): June 15 - July 2

California State Fair: July 6 - July 16

Santa Rosa: July 19 - August 6

Humboldt: August 11- August 20

Sonoma County Fair (Santa Rosa) 2018 Race Dates Proposal

Alameda County Fair - Oak Tree at Pleasanton June 14 - July 1

California State Fair: July 5 - July 15

Santa Rosa: July 18 - August 5

Humboldt: August 10 - August 19

Sonoma County Fair (Santa Rosa) 2019 Race Dates Proposal

Alameda County Fair (Oak Tree at Pleasanton): June 20 - July 7

California State Fair: July 11 - July 21

Santa Rosa: July 24 - August 11

Humboldt: August 16 - August 25

Golden Gate Fields 2017 Race Dates Proposal

Golden Gate Fields: December 21, 2016 - June 20, 2017

Alameda County Fair (Oak Tree at Pleasanton): June 21 - July 11

California State Fair: July 12, 2017 - August 1

Sonoma County Fair (Santa Rosa): August 2 - August 22

Golden Gate Fields: August 23 - October 3

Humboldt County Fair: August 16 - August 29 (Overlapped)

Fresno: October 4 - October 17

Golden Gate Fields: October 18 - December 19

Golden Gate Fields 2018 Race Dates Proposal

Golden Gate Fields: December 20, 2017 - June 29, 2018

Alameda County Fair (Oak Tree at Pleasanton): June 20 - July 10

California State Fair: July 11, 2018 - July 31

Sonoma County Fair (Santa Rosa): August 1- August 21

Golden Gate Fields: August 22 - October 2

Humboldt County Fair: August 15 - August 28 (Overlapped)

Fresno: October 3 - October 16

Golden Gate Fields: October 17 - December 18

Golden Gate Fields 2019 Race Dates Proposal

Golden Gate Fields: December 21, 2018 - June 28, 2019

Alameda County Fair - Oak Tree at Pleasanton: June 19 - July 9

California State Fair: July 10 - July 30

Sonoma County Fair (Santa Rosa): July 31 - August 20

Golden Gate Fields: August 21 - October 1

Humboldt County Fair: August 14 - August 27 (Overlapped)

Fresno: October 2 - October 15

Golden Gate Fields: October 16 – December 17, 2019

COMMISSIONER PROPOSAL (2017 Race Dates Proposal)

- June 14 - July 4
Alameda County Fair – Oak Tree at Pleasanton
- July 5 - July 23
California State Fair
- July 26 – August 18
Sonoma County Fair
- August 16 – August 27
Humboldt County Fair
- August 18 – September 17
Golden Gate Fields
- September 22 – October 1
Alameda County Fair - Oak Tree at Pleasanton Fall (Formerly Stockton)
- October 4 - October 15
Fresno District Fair

RECOMMENDATION

This item is presented for discussion and action.

RACE DATES COMMITTEE PROPOSAL - Northern California 2017

	JUNE		JULY			AUGUST			SEPTEMBER			OCTOBER				
GOLDEN GATE 26-Dec →			PLEASANTON (3)		CAL EXPO (3)		SONOMA (3)		FERN (2)*		GGF (4)*		(STK) (2)	FRESNO		GGF
	20	21	11	12	1	2	22	23	5	19	20	3	4	17	18	→

* Ferndale Races from August 23 to September 5
 * Golden Gate races from August 23 to September 19

DRAFT - 2017 PROPOSED NORTHERN CALIFORNIA FAIR CALENDAR - SCENARIO A

2016

December						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

1 4

RACE DAYS

Pleasanton	12
Cal Expo	11
Santa Rosa	11
Humboldt (overlapped)	7
Stockton (Pleasanton Fall)	8
Fresno	9

Humboldt Host Commissions	4
---------------------------	---

DRAFT: Sept. 8, 2016

CARF MEETS	47
SANTA ROSA	11
TOTAL FAIR DAYS	58

GOLDEN GATE FIELDS	147
SIMULCAST-ONLY DAYS	61
TOTAL NORTHERN CALIFORNIA FAIR DAYS	58

2017

January						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

5 17

February						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				

5 16

March						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

5 18

April						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

4 18

May						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

5 17

June						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

5 6 11

July						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

6 5 11

August						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

11 8 3

September						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

5 4 7 11

October						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

2 1 9 8

November						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

5 17

December						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

6 7



a California joint powers agency

1776 Tribute Road, Suite 205
Sacramento, CA 95815
Office: 916.927.7223 Fax: 916.263.3341
www.calfairs.com

September 19, 2016

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Dear Mr. Baedeker,

As requested by Board staff, the California Authority of Racing Fairs respectfully submits the attached proposals for Northern California racing dates at CARF member Fairs in 2017. Horse racing is conducted at the CARF member Fairs shown below.

- Alameda County Fair
- California State Fair
- Humboldt County Fair
- Fresno District Fair

Thank you for your consideration in this matter.

Respectfully submitted,

Christopher Korby
Executive Director

Cc: CARF Racing Fair CEO's
Attachment: 1

CALIFORNIA AUTHORITY OF RACING FAIRS
Proposed Racing Dates for CARF Member Fairs in Northern California
2017

Proposed for 2017

- June 21-July 11
 - Alameda County Fair - Oak Tree at Pleasanton
- July 12-August 1
 - California State Fair
- August 16-August 27
 - Humboldt County Fair
 - First week unoverlapped - four live race days
 - Humboldt County Fair Host status (8/16 through 8/23)
 - Humboldt County Fair revenue-sharing Week 1 (August 16-20) with Sonoma County Fair
 - Three overlapped race days with Golden Gate Fields (8/25, 8/26, 8/27)
- Sept. 20-Oct. 3
 - Alameda County Fair - Oak Tree at Pleasanton Fall (formerly Stockton Fair dates)
- Oct. 4-Oct. 17
 - Fresno District Fair

Please note that the attached calendar shows in visual format the dates proposed for each Fair, as described above. Dates shown reflect Host status unless otherwise noted.



a California joint powers agency

1776 Tribute Road, Suite 205
Sacramento, CA 95815
Office: 916.927.7223 Fax: 916.263.3341
www.calairs.com

September 15, 2016

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Dear Mr. Baedeker,

As requested by Board staff, the California Authority of Racing Fairs respectfully submits the attached proposals for Northern California racing dates at CARF member Fairs in 2017. Horse racing is conducted at the CARF member Fairs shown below.

- Alameda County Fair
- California State Fair
- Humboldt County Fair
- Fresno District Fair

Thank you for your consideration in this matter.

Respectfully submitted,

Christopher Korby
Executive Director

Cc: CARF Racing Fair CEO's

CALIFORNIA AUTHORITY OF RACING FAIRS
Proposed Racing Dates for CARF Member Fairs in Northern California
2017

2017 – Scenario A

- June 21-July 11
 - Alameda County Fair - Oak Tree at Pleasanton
- July 12-August 1
 - California State Fair
- August 23-Sept 4
 - Humboldt County Fair
 - Seven overlapped race days with Golden Gate Fields
 - Humboldt County Fair Host status (8/23, 8/24, 8/30, 8/31)
- Sept. 20-Oct. 3
 - Alameda County Fair - Oak Tree at Pleasanton Fall (formerly Stockton)
- Oct. 4-Oct. 17
 - Fresno District Fair

2017 – Scenario B

- June 21-July 11
 - Alameda County Fair - Oak Tree at Pleasanton
- July 12-August 1
 - California State Fair
- August 16-August 27
 - Humboldt County Fair
 - First week unoverlapped - four live race days
 - Humboldt County Fair Host status (8/16 through 8/23)
 - Humboldt County Fair revenue sharing week 1 with Sonoma County Fair
 - Three overlapped race days with Golden Gate Fields (8/25, 8/26, 8/27)
- Sept. 20-Oct. 3
 - Alameda County Fair - Oak Tree at Pleasanton Fall (formerly Stockton)
- Oct. 4-Oct. 17
 - Fresno District Fair

Please note that this proposed schedule shows inclusive calendar blocks proposed for each Fair. Specific racing dates may vary within those proposed blocks. Date ranges reflect Host status unless otherwise noted.

DRAFT - 2017 PROPOSED NORTHERN CALIFORNIA FAIR CALENDAR - SCENARIO A

2016

December						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
				1		4

RACE DAYS

							12
Cal Expo							11
Santa Rosa							11
Humboldt (overlapped)							7
Stockton (Pleasanton Fall)							8
Fresno							9
Humboldt-Host Commissions							4

DRAFT: Sept. 8, 2016

CARF MEETS	47
SANTA ROSA	11
TOTAL FAIR DAYS	58

GOLDEN GATE FIELDS	147
SIMULCAST-ONLY DAYS	61
TOTAL NORTHERN CALIFORNIA FAIR DAYS	58

2017

January						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
				5		17

February						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				
				5		16

March						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
				5		18

April						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						
				4		18

May						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
				5		17

June						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	
				5		11

July						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					
				5		11

August						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
				8		3

September						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						
				5		11

October						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
				2		8

November						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
				5		17

December						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						
				6		7

DRAFT - 2017 PROPOSED NORTHERN CALIFORNIA FAIR CALENDAR - SCENARIO B

DRAFT: Sept. 8, 2016

2016

December						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
			1			4

RACE DAYS

Pleasanton	12
Cal Expo	11
Santa Rosa	8
Humboldt (unoverlapped)	4
Humboldt (overlapped)	3
Stockton (Pleasanton Fall)	8
Fresno	9

CARF MEETS	47
SANTA ROSA	8
TOTAL FAIR DAYS	55

GOLDEN GATE FIELDS	149
SIMULCAST-ONLY DAYS	60
TOTAL NORTHERN CALIFORNIA FAIR DAYS	55

Humboldt Host Commissions	1
---------------------------	---

2017

January						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
			5			17

February						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				
			5			16

March						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
			5			18

April						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						
			4			18

May						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
			5			17

June						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	
			5		6	11

July						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					
6			5			11

August						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
8			5	7		5

September						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
			5		7	11

October						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
			4		1	9

November						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
			5			17

December						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
			6			7

CALIFORNIA LEGISLATURE

STATE CAPITOL
SACRAMENTO, CALIFORNIA
95814

September 13, 2016

Honorable Chuck Winner, Chairman
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, California 95825

Re: Proposed Horse Racing Dates

Dear Chairman Winner and Members of the California Horse Racing Board:

We are writing to respectfully urge your adoption of the Sonoma County Fairgrounds' proposed three-year horse racing dates. We applaud the foresight of the California Horse Racing Board's (CHRB) plan to establish a three-year horse racing schedule versus a traditional one-year plan. The increased stability of horse racing dates will only improve the quality of these long-standing events.

Since the horse racing fair dates were adjusted in 2015, the Sonoma County Fair has only partially overlapped with the Sonoma County Fairground's horse racing dates. As you know, the Sonoma County Fair cannot move its traditional fair dates later in the month due to its carnival's existing contractual commitments and schools starting in early August. As a consequence of the dates for the horse racing and fair not overlapping, there was a 30% decline in revenue between racing during a fair weekend and racing during a non-fair weekend. This downward trend continued through 2015 with an overall handle decline of seven percent, resulting in a significant loss to fairgrounds and the state.

The California Association of Horse Racing Fairs' (CARF) recently proposed dates for 2017 that would unfortunately push the Sonoma County Fair even farther into August. Adopting these dates would only exacerbate the financial impacts of not having the Sonoma County Fair and horse racing completely aligned. Additionally, the fair would not be able to move to CARF's proposed horse racing dates for Sonoma County due to the aforementioned conflicts.

The Sonoma County Fair has made a good-faith effort to comply with the previous calendars. However, the ongoing inability to coordinate dates is not only a financial hardship to the fairgrounds, but also a financial loss to the State and the local economy.

Honorable Chuck Winner
September 13, 2016
Page 2

The Sonoma County Fairgrounds provide significant benefits to the horse racing industry, by having the only turf track in the state, running seven stakes races as compared to one at the California State Fair, and stabling seventy percent of the horses on site during the race meet. Sonoma County Fairgrounds is one of the most desired fair racing locations in the state for the horse racing industry.

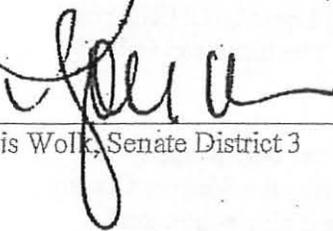
Adopting the Sonoma County Fairground's suggested three-year horse racing dates will not only ensure long term success for the Sonoma County's fair and horse racing industry, it will boost the benefits from an increased handle and stronger horse racing industry. We believe this would be in the best interests of Sonoma County, the horse racing industry and the state of California.

Thank you for the consideration of this request.

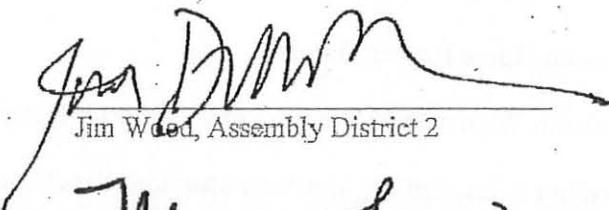
Warmest regards,



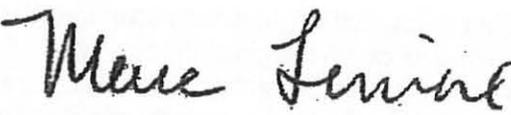
Mike McGuire, Senate District 2



Lois Wolk, Senate District 3



Jim Wood, Assembly District 2



Marc Levine, Assembly District 10

cc: Members, California Horse Racing Board
Sonoma County Board of Supervisors



August 11, 2016

The Honorable Chuck Winner
 Chairman
 California Horse Racing Board
 1010 Hurley Way
 Sacramento, CA 95825

Dear Chairman Winner,

Sonoma County Fair and Wine Country Racing is please to present its proposed racing dates for 2017, 2018 and 2019.

We understand the challenge facing the California Horse Racing Board in determining dates for the racing fairs and appreciate your efforts and concerns; understanding that your goal is to do what is in the best interest of racing and the State of California.

After a lengthy review of attendance and handle we believe the following proposed dates truly do accomplish the goals of your board. The proposed racing dates (a total of 12 live racing dates each year) will allow for horse racing and the Fair to run concurrently; which is a much more financially and operationally sound model. In contrast the racing dates proposed by the California Association of Racing Fairs and Commissioner Benito both push the Sonoma County Fair into August where schools are back in session and our carnival is contracted at another Fair. As is evidenced by attendance in 2015 at our bonus week of racing on-track handle is significantly less when the Fair is not occurring.

The following is what we propose for the summer racing fairs, 2017, 2018 and 2019.

2017

Pleasanton: June 15, 16, 17 & 18, 22,23,24,25, 29, 30 & July 1 & 2

Cal Expo: July 6, 7, 8, 9, 13, 14, 15 & 16.

Santa Rosa: July 19, 20,21,22,23, 26, 27,28,29,30 & August 2, 3, 4, 5, 6 (July 19, 26 and August 2 Simulcast only)

Humboldt: August 11, 12 13, 18, 19, 20

2018

Pleasanton: June 14,15,16, 17,21,22,23,24,28,29,30 & July 1

Cal Expo: July 5,6,7,8,12,13,15 & 15

Santa Rosa: July 18,19,20,21,22,25,26,27,28,29 & August, 1,2,3,4, 5 (July 18, 25 & August 1 simulcast only)

Humboldt: August 10, 11, 12; 17, 18, 19

2019

Pleasanton: June 20,21,22,23,27,28,29,30 & July 4,5,6,7

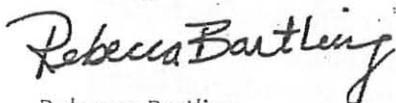
Cal Expo: July 11,12,13,14, 18, 19, 20, 21

Santa Rosa: July 24,25,26,27,28 31 & August 1,2,3,4,7,8,9,10, 11 (July 24,31 & August 7 simulcast only)

Humboldt: August 16, 17, 18, 23, 24, 25

We sincerely appreciate your consideration of this proposal and look forward to the August 22nd CHRB dates meeting in Del Mar.

Sincerely,



Rebecca Bartling
Chief Executive Officer

Santa Rosa Northern California Dates Proposal for Summer 2017

2017

June							July							August						
S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S
				1	2	3							1			1	2	3	4	5
4	5	6	7	8	9	10	2	3	4	5	6	7	8	6	7	8	9	10	11	12
11	12	13	14	15	16	17	9	10	11	12	13	14	15	13	14	15	16	17	18	19
18	19	20	21	22	23	24	16	17	18	19	20	21	22	20	21	22	23	24	25	26
25	26	27	28	29	30		23	24	25	26	27	28	29	27	28	29	30	31		
							30	31												

Pleasanton
 Cal Expo
 Santa Rosa Note: July 19, 26 and August 2 are simulcast only.
 Humboldt

Santa Rosa Northern California Dates Proposal for Summer 2018

2018

June						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

July						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

August						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Pleasanton

Cal Expo

Santa Rosa Note: July 18, 25 and August 1 are simulcast only

Humboldt

Santa Rosa Northern California Dates Proposal for Summer 2019

2019

June						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

July						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

August						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Pleasanton
 Cal Expo
 Santa Rosa
 Humboldt

Note: July 24, 31 and August 7 are simulcast only

2017 Northern California Race Dates

8-22

GGF - December 21, 2016 through June 20, 2017
 Pleasanton - June 21 through July 11, 2017
 Cal Expo - July 12 through August 1, 2017
 Sonoma - August 2 through August 22, 2017
 GGF - August 23 through October 3, 2017
 Humboldt - Overlapped August 16 through 29, 2017
 Fresno - October 4 through October 17, 2017
 GGF - October 18 through December 19, 2017

Golden Gate	156
Pleasanton	13
Cal Expo	11
Sonoma	11
Humboldt	6
Fresno	8

Total Race Dates
205

December 2016						
Su	Mo	Tu	We	Th	Fr	Sa
18	19	20	21	22	23	24
25	26	27	28	29	30	31
						4

January						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
						18

February						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				
						16

March						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
						18

April						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						16

May						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
						17

June						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	
					11	6

July						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31				7	11

August						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
				11	6	5

September						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
						18

October						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
					8	9

November						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
						17

December						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						7

2018 Northern California Race Dates

8-23

GGF - December 20, 2017 through June 19, 2018
 Pleasanton - June 20 through July 10, 2018
 Cal Expo - July 11 through July 31, 2018
 Sonoma - August 1 through August 21, 2018
 GGF - August 22 through October 2, 2018
 Humboldt - Overlapped August 15 through 28, 2018
 Fresno - October 3 through October 16, 2018
 GGF - October 17 through December 18, 2018

Golden Gate	156
Pleasanton	13
Cal Expo	11
Sonoma	11
Humboldt	6
Fresno	8

Total Race Dates
205

December 2017						
Su	Mo	Tu	We	Th	Fr	Sa
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						5

January						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
						17

February						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28			
						16

March						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
						19

April						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					
						15

May						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
						17

June						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
					11	7

July						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
					6	11

August						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
				6	11	6

September						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						18

October						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
					8	8

November						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	
						18

December						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					6

2019 Northern California Race Dates

8-24

GGF - December 19, 2018 through June 18, 2019
 Pleasanton - June 19 through July 9, 2019
 Cal Expo - July 10 through July 30, 2019
 Sonoma - July 31 through August 20, 2019
 GGF - August 21 through October 1, 2019
 Humboldt - Overlapped August 14 through 27, 2019
 Fresno - October 2 through October 15, 2019
 GGF - October 16 through December 17, 2019

Golden Gate	156
Pleasanton	12
Cal Expo	11
Sonoma	11
Humboldt	6
Fresno	8

Total Race Dates
204

December 2018						
Su	Mo	Tu	We	Th	Fr	Sa
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					5

January						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
						16

February						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28		
						16

March						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						19

April						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				
						14

May						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
						18

June						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30					10	8

July						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
					4	11

August						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
				11	6	7

September						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					
						17

October						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
					8	8

November						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
						18

December						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
						8

STAFF ANALYSIS

October 20, 2016

APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF NEWCO VENTURES NORTH AMERICA, LLC D/B/A NYRABETS.COM FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

NewCo Ventures North America, LLC d/b/a NYRabets.com (NYRabets) filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering (ADW). CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. NYRabets has submitted a \$500,000 Indemnity Bond, which became effective June 10, 2016, and is continuous until cancelled.

This application provides for:

Dates of operation will be 365 days a year, 24 hours a day. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

- Business and Profession Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)

No ADW provider may accept wagers on **races conducted in California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For

purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to advance deposit wagering on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **races conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

19604 (a)(7)

““Hub agreement” as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California.”

19604 (d)(1)(B)

“The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization . . .”

NYRA Bets has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Hub agreement between NYRABets and Watch and Wager Harness Racing (Association) dated as of July 6, 2016 ending July 5, 2018.
- Hub agreement between NYRABets and Los Angeles Turf Club dated as of July 21, 2016 ending December 31, 2017.
- Agreement between Thoroughbred Owners of California and Los Angeles Turf Club (LATC) on the use of the race signal; providing LATC shall be permitted to transmit race signal to NYRABets during the 2016 LATC race meeting, September 26 through November 6, 2016.
- Approval from the California Harness Horsemen's Association of the out-of-state simulcasting of the nightly California harness races at Cal Expo to Board approved in-state and out-of-state simulcast venues beginning October 22, 2016 through the final day of racing in May 2017.

- Approval from the Pacific Coast Quarter Horse Racing Association for Los Alamitos Race Course to export the satellite signal for the 2016 LAQHRA Quarter Horse race meeting to (Out of State Satellite Location) - NYRabets, NY through conclusion of the 2016 LAQHRA meet December 18, 2016.
- Agreement between NYRA and Monarch Content Management, LLC beginning July 1, 2016 through June 30, 2018.
- Simulcast Wagering Agreement between Watch and Wager and NYRabets effective October 22, 2016 through May 31, 2018.

The following **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. Labor Agreement with Local 280.

RECOMMENDATION:

If the application is considered for approval, staff recommends approval of a two year license contingent upon the submission of outstanding items.

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

NOTICE – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)

A. Name, mailing address, telephone and fax numbers:

NewCo Ventures North America, LLC d/b/a NYRAbets.com
110-00 Rockaway Boulevard
Jamaica, New York 11420
Tel: (718) 659-2237
Fax: (718) 659-3574

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

Name	Title	Racing Jurisdiction	License #
Tony Allevato	President	Oregon	2815728721
		New York	1491757

C. Name, title and mailing address of the California agent for receipt of service of process:

National Registered Agents, Inc.
Registered Agent
2875 Michelle Drive, Suite 100
Irvine, California 92606-1024

D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

[SEE EXHIBIT 1]

Application received: 7/8/16	CHRB CERTIFICATION	Hearing date: 10/20/16
Reviewed: [Signature]		Approval date:

2. DATES OF OPERATION

- A. Dates Advance Deposit Wagering will be conducted: **365 days per year**
- B. Hours Advance Deposit Wagering will be conducted: **24 hours (subject to available content)**

3. BUSINESS STRUCTURE

- A. Corporation (complete subsection B)
- LLC (complete subsection C)
- Other (specify, and complete subsection D)

Complete the applicable subsection

B. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Name of all officers and directors, titles, and number of shares of the corporation held by each:
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

C. LLC

- 1. Registered name of the LLC: **NewCo Ventures North America, LLC**
- 2. State where articles of organization are filed: **Delaware**
- 3. Registry or file number for the LLC: **5972318**
- 4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:

Tony Allevalo, President – ownership interest n/a (0%)

- 5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:

[SEE EXHIBIT 2]

- 6. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:

- 7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

[SEE EXHIBIT 2]

- 8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.

[SEE EXHIBIT 3]

- 9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

[SEE EXHIBIT 4]

D. OTHER

- 1. Name(s) of partners/sole proprietor:
- 2. If a partnership, attach partnership agreement.
- 3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

4. ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2074.

A. List the procedures to establish an Account:

ESTABLISHING AN ACCOUNT

To establish an account with NewCo Ventures North America, LLC or on behalf of its affiliates or wagering partners the applicant (player) must:

- Be a natural person who is at least eighteen (18) years of age unless otherwise required by the sending jurisdiction.
- Must reside in a jurisdiction where pari-mutuel wagering is lawful and where it is lawful to wager on the same type of live racing as currently allowed under Oregon law.
- Information is verified through legal means to include electronic verification of the identity, age and residence of the account holder applicant prior to accounts being activated (via the use of software provided by Lexis Nexus and/or TransUnion software solutions).
- Each applicant must provide:
 1. Full legal name
 2. Date of birth, with proper identification or certification demonstrating that the applicant is at least 18 years old
 3. Principal residence address (no P.O. Box)
 4. Telephone number
 5. Social Security number
 6. Any additional information as required by NewCo Ventures North America, LLC, its affiliates or their wagering partners to verify the identity of the applicant

[SEE ALSO EXHIBIT 5, p. 1]

B. If an application form is used to establish an Account attach a copy of the form.

[SEE EXHIBIT 6]

C. Name and address of the third party you will use to verify identity, residence and age verification:

LexisNexis
230 Park Avenue
Viaduct #7
New York, NY 10017
www.lexisnexis.com

5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS –must comply with Rule 2073.

A. Submit a copy of your plan for operation.

[SEE EXHIBIT 5]

B. List the type of deposits you will accept:

Funding Method	Online	In-Person / Point-of-Sale	Phone	By Mail
ACH	X			
Wire Transfer	X		X	
Credit Card	X		X	
Personal Check		X		X
Cashier's Check		X		X
Money Order				X
Cash		X		
PayNearMe		X		
MoneyGram		X		

C. Identify any fees or transaction-related charges and the amount that will be assessed:

Transaction Fees: NewCo Ventures North America, LLC will pass banking fees levied by the payment processors onto the end user. NewCo Ventures North America, LLC will not add additional fees above and beyond those transaction fees levied by the contracted third party payment processors.

6. SECURITY ACCESS

A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:

1. Description of the technology to ensure identity, residence, and age verification when an Account is established:

Lexis Nexis is used by ADW for identity verification purposes. Specifically, ADW utilizes Lexis Nexis' Business InstantID Solution to verify the identity of the customer submitting an application with ADW to open a new account. Various services within their Business InstantID solution verifies the Name, Address, Date of Birth, and SSN of the applicant across multiple databases using a proprietary search and comparison process as well as ensures the applicant is not deceased nor is part of any federal and/or state lists (such as OFAC).

[SEE ALSO EXHIBIT 5, p. 1 ¶2 & p. 8 ¶7]

2. Description of the technology to ensure confidentiality of the Means of Personal Identification:

Security/Confidentiality of Accounts

NewCo Ventures N.A., its employees, and agents will not divulge any account information without the express written permission of the account holder, except to the Commission, by Commission order, or as may otherwise be prescribed by law.

All account numbers, PINs, passwords, passcodes, wagers, deposits, withdrawals, credits, debits and personal information relating to account holders are kept confidential. NewCo Ventures N.A. utilizes a combination of security precautions to ensure that the confidentiality of such information is maintained. Physical security considerations include housing the computers in a facility with limited and secure access, Software consideration includes utilizing strong administrative passwords for person having access to the databases where NewCo Ventures N.A. maintains such confidential information. The number of persons with such administrative passwords is restricted, and such passwords are frequently changed. All secured databases log the events to ensure a record of the time and identification of the person modifying the database.

[SEE ALSO EXHIBIT 5, p. 8]

3. Methods and locations available for Account Holders to withdraw funds from their Account:

Withdrawal Requests

Verbal requests over the telephone for withdrawals will not be honored or processed. Withdrawals must be made in writing, either via a signed withdrawal slip, a signed letter, or via specific path post account login. Written requests signed, scanned, and emailed to the Company will be accepted and processed. Withdrawals can be for all or any portion of the account holder's withdrawable balance.

NewCo Ventures N.A. will process any properly completed and executed request for account withdrawal within five (5) business days after receiving the withdraw request.

Electronic Withdrawal Requests

NewCo Ventures N.A. will process any properly completed and executed request for account withdrawal, and send a check, EFT (when applicable) or wire to the account holder within five (5) business days after receiving the withdraw request.

Fund transfers (e.g., internet and mobile) may be made via Electronic means in accordance with NewCo Ventures N.A. requirements set forth herein and/or as otherwise approved by the Commission.

With regard to electronic withdrawals:

1. A successfully processed Deposit must occur prior to allowing an Electronic Withdrawal.

2. An account holder will not be permitted to withdraw Instant Deposits until successful settlement of such Instant Deposit from the Company's ACH Processor. Until that time, the Instant Deposit will be marked as non-withdrawable. (Noteworthy: Instant Deposits are allowed to be wagered but not withdrawn until settlement of that Instant Deposit amount occurs).
3. Withdrawals can be for all or any portion of the account holder's withdrawable balance.

Withdrawal Request by Mail

NewCo Ventures N.A. will process all requests for withdrawals made via mail within five (5) business days of receiving the request. If the request is incomplete or required information is missing, NewCo Ventures N.A. will advise the account holder that information is missing. Withdrawals can be for all or any portion of the account holder's withdrawable balance. If the account does not contain sufficient funds to cover the requested withdrawal, the Company will release the remaining funds and notify the Account Holder accordingly.

[SEE ALSO EXHIBIT 5, pp. 3-4]

4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:

USOT
6130 NE 78th Court, Ste. C4
Portland, OR. 97218
Tel: (503)253-0234
Hours of operation will coincide with Customer Service & Technical Support hours

XAND
11 Skyline Dr.
Hawthorne, NY 10532
Tel: (914)592-8282
Open 24 hours

7. PARI-MUTUEL

- A. Name, address and telephone number of the pari-mutuel audit firm:

KPMG LLP
Frank Albarella
1305 Walt Whitman Road
Melville, NY 11747
Tel: (631) 425-6510

- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:

Totalizator System

AmTote's "tote" hub system (a/k/a the Spectrum System) is regarded in the pari-mutuel industry as the most advanced and reliable Totalizator hub system available. It is noted that AmTote has been licensed and approved by the Oregon Racing Commission.

Systems, System Capacity & Redundancy

The master totalizator system for NewCo Ventures North America wagering sources reside at one of the two AmTote Hubs in Oregon and Maryland (Maryland being the backup). A third system, architected by GBET, resides at TierPoint Data Center and is responsible for processing NewCo Ventures North America account transactions. This third system (with a fully redundant backup system) is fully encrypted and secured with access granted to authorize personnel only. All sensitive equipment is secured in locked cabinets.

Connectivity, between totalisator systems and GBET systems for the purpose of transmission of wagering data of simulcast pools is conducted via secure point to point networks with VPN backups. Wagering communications are transferred over private communications networks with proper security controls in place. Each connection for the transfer of pools to different ITSP hubs is dedicated point to point. NewCo Ventures North America's totalizator contractor, TierPoint, GBET, and dedicated NewCo Ventures North America personnel monitor the networks and links continuously throughout each business day. In the event of a network problem, applicable parties will rectify the problem by following carefully scripted escalation procedures. NewCo Ventures North America's data center provider TierPoint features secured access with Closed Circuit video surveillance, fire protection, redundant UPS-protected power system and a diesel generator.

[SEE ALSO EXHIBIT 5, p. 7]

AmTote International Inc.
11200 Pepper Road
Hunt Valley, MD 21031
(410) 771-8700
Contract expires: September 2, 2018

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

[SEE EXHIBIT 7]

NOTICE – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

8. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:

Person / Business	Address
Axcis / Trackmaster	2083 Old Middlefield Way Suite 206 Mountain View, CA 94043
Equibase	821 Corporate Drive Lexington, KY 40503
Evergreen / Tierpoint	11 Skyline Drive Hawthorne, NY 10532
Global Betting Exchange	4th Floor, IFSC House Customs House Quay Dublin 1 IRELAND
Global Cash Access (Everi)	7250 S Tenaya Way Suite 100 Las Vegas, NV 89113
GoToBilling	216 East Bearss Ave Suite 368 Tampa, FL 33613
KnuPro	40 Vogell Road Richmond Hill, Ontario CANADA
Money Gram	2828 N. Harwood Street Dallas, TX 75201
The New York Racing Association, Inc.	110-00 Rockaway Blvd. S. Ozone Park, NY11420
Pay Near Me	292 Gibraltar Drive Suite 104 Sunnyvale, CA 94089
MCSD Software, Inc. (Plivo)	340 Pine Street Suite 503 San Francisco, CA 94104
Roberts Communications	4175 Comeron Street Suite 10 Las Vegas, NV 89103
Solid Cloud	10635 East Topaz Drive Scottsdale, AZ 85258
The Jockey Club Technology Services, Inc.	821 Corporate Drive Lexington, KY 40503
Vantiv	8500 Governors Hill Drive Symmes Township, OH 45249

CHRB-133 (New 9/01)

- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:
 - 1. Global Cash Access
 - 2. The New York Racing Association, Inc.
 - 3. Roberts Communications

9. ADVERTISING

Name and address of the advertising agency you will use:

Ed Lewi Associates
 6 Chelsea Place
 Clifton Park, NY 12065

Harmelin Media
 525 Righters Ferry Road
 Bala Cynwyd, PA 19004

NOTICE – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.

10. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

Tony Allevato

 Print Name

President

 Print Title



 Signature

July 5, 2016

 Date

STAFF ANALYSIS

October 20, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE COURSE DECEMBER 7, 2016 THROUGH DECEMBER 20, 2016

The Los Alamitos Racing Association (LARA) filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course December 7, 2016, through December 20, 2016, or 8 days; 4 fewer than in 2015. Live racing will commence December 8, 2016 through December 18, 2016. LARA proposes to race a total of 68 races, or 8.5 races per day. In 2015, LARA ran 8.5 races per day with an average of 7.14 runners per race.

The LARA application is incomplete. CHRБ staff contacted a LARA representative on October 3, 2016 requesting the submission of the outstanding application items and a letter of explanation for any remaining outstanding items. The Handle History, Purse Program and Certification by Applicant sections of the application were left blank. At the time of printing this Board package, no letter of explanation had been received from LARA.

- The race dates proposed are the dates allocated:

December - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- Racing concurrently with Pacific Racing Association December 8, 2016 through December 18, 2016.
- First live post 12:00 p.m., Thursday through Sunday.
- Wagering program will use CHRБ and ARCI rules.
 - Early wagering will start at 8:30 a.m. and end at 10:00 a.m.
 - \$2 Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
 - \$1 Trifecta on eligible races.
 - \$0.10 Superfecta on all qualifying races.
 - \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
 - \$0.50 Pick n Pool 4 on second race and last 4 races. Alternate runner provision.

- \$0.50 Pick n Pool 5 will be offered starting on the first race. Minimum \$.50 wager, 14% takeout. One tier payoff. 100% carryover.
- \$1 Pentafecta (Super High Five) in the last race. 100% payout on all tickets selective five winners. No consolation 100% carryover if no ticket has five winners.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LARA initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

- Pursuant to Business and Profession Code 19464(b) LARA is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LARA \$100,000 surety bond currently on file expired July 1, 2016; therefore, an updated bond will be required prior to the start of the race meeting.
- Specific changes from the 2015 license application:
 - Change in early wagering start from 9:00 a.m. to 8:30 a.m.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TwinSpines, TVG, Bet America (Lien Games) and Watch and Wager.com, LLC.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604, *except* the horsemen's approval:

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba Twinpires.
- Lien Games Racing LLC, dba Bet America.
- Watch and Wager.com LLC

Monarch Content Management, LLC (MCM), has shared that the contracts with the abovementioned ADW providers are still in effect. Each ADW provider listed above has an agreement with language providing: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect" through section 16 of the simulcast agreement.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California race meet agreement
2. California Thoroughbred Trainers race meet agreement
3. Letter of explanation
4. Bond
5. Financials
6. Signature required for Certification by Applicant section
7. Pertinent 2017 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows LARA designated Advance Deposit Wagering providers to accept wagers on races conducted in and Outside of California for the applied license term

8. Thoroughbred Owners of California advance deposit wagering approval
9. Los Alamitos Racing Association request letter to adjust takeout
10. Thoroughbred Owners of California takeout approval
11. California Emergency Medical Services Authority certification for auxiliary sites
12. English and Spanish language Emergency Medical Procedures
13. Marketing Plan
14. Stakes Schedule
15. Incomplete Declarations section
16. Incomplete Applicant Association section
17. Incomplete Racing Program section
18. Incomplete Handle History section
19. Incomplete Purse Program section
20. Incomplete Simulcast Wagering Program section
21. Incomplete Security Controls section

RECOMMENDATION:

If the outstanding items are not received by Thursday, October 6, 2016, Staff recommends that the application not be heard at the October 20, 2016 Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

B. Breed of horse: TB QH H

C. Racetrack name: Los Alamitos Racing Association

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. Deposit on file with CHRB.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.
Bond on file with CHRB

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: December 7 – December 20

B. Actual dates racing will be held: December 8, 9, 10, 11, 15, 16, 17, 18

C. Total number of days or nights of racing: 8

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify) Thursday - Sunday

E. Number of days or nights of racing per week: 4 days

CHRB CERTIFICATION

Application received: 9/29/16
Deposit received: on file
Reviewed: LH

Hearing date: 10/30/16
Approved date:
License number:

3. RACING PROGRAM

- A. Total number of races: 68
- B. Number of races for each day or night: 8 races on weekdays. 9 races on weekends
- C. Total number of stakes races: 4
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

- F. List all post times for the daily racing program:

Weekdays	Weekend
Race 1 – 12:00 pm	12:00 pm
Race 2 – 12:30 pm	12:30 pm
Race 3 – 1:00 pm	1:00 pm
Race 4 – 1:30 pm	1:30 pm
Race 5 – 2:00 pm	2:00 pm
Race 6 – 2:30 pm	2:30 pm
Race 7 – 3:00 pm	3:00 pm
Race 8 – 3:30 pm	3:30 pm
Race 9 -	4:00 pm

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. See Los Alamitos Quarter Horse Racing Assn.

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: C16500097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
 - Dr. Edward Allred – President, Director 100%
 - Brad McKinzie – 0% Vice-President, General Manager
 - Rick English – 0% CFO
 - Tom Siebly – 0% director
 - Don Valpredo 0%, director
 - Frank Sherren 0%, director
 - Cathy Monji 0% Director
 - Jack Liebau 0% Director
 - Richard Shapiro 0% Director
 - Mike Harrington 0% director
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: none
6. Number of outstanding shares in the corporation: none
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: c n/a
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Quarter Racing Inc.
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Current statement on file with CHRB
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
 Edward C Allred, President, CEO
 Brad McKinzie, Vice-President, General Manager
 F. Jack Liebau, Vice-President
 Rick English, CFO
 Orlando Guterrez, Director of Publicity, Marketing
 Frank Sherren, Plant Superintendent
 Rick Hughes, Track Superintendent
 Dennis Moore, Track Consultant
 Robert Digiovanni, Director of Mutuels
 Frank Mirahmadi, Track Announcer
 Alex Soltera, Director of Security
 Robert Hurd, Director of Operations
 Ken Walker, Director of Labor Relations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Brad McKinzie 4961 Katella Ave. Los Alamitos, CA 90720 bradmckinzie@aol.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily Double
 Proposed percentage: P5 - 14%, DD 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. This April meeting is a new thoroughbred meet for Los Alamitos and so there are no comparisons.

Year	Handle	Attendance

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

- 1. All races other than stakes:
Current meet estimate:
Prior meet actual:

Average Daily Purse ($7 A1 \div$ number of days):
Current meet estimate:
Prior meet actual:

- 2. Overnight stakes:
Current meet estimate:
Prior meet actual:

Average Daily Purse ($7 A2 \div$ number of days):
Current meet estimate:
Prior meet actual:

- 3. Non-overnight stakes:
Current meet estimate:
Prior meet actual:

Average Daily Purse ($7 A3 \div$ number of days):
Current meet estimate:
Prior meet actual:

- 4. Total Purses: ($7A1+7A2+ 7A3$)
Current meet estimate:
Prior meet actual:

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:

Prior meet actual:

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate

Prior meet actual:

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior Meet:

TOC -

CTT Administration -

CTT Pension -

Workers Comp -

Total -

Total

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:

Prior meet actual:

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:

Prior meet actual:

G. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 G ÷ number of days):
 Current meet estimate:
 Prior meet actual:

H. Bank and account number for the Paymaster of Purses account.

Bank of the West 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Bowwn,McBeth Inc. 10722 Arrow Route, Suite 110
 Rancho Cucamonga, CA 91730 909-944-6465 email – craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 820
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,545
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 Santa Anita – 1,900
 San Luis Rey Downs – 495
 Galway Downs – 150
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. SCOTWINC off-site stabling agreement through 12-31-2016 on file with CHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$16.25
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse:
 Santa Anita – round trip \$180 San Luis Rey Downs - \$360 round trip
 Galway Downs - \$360 RT

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site during all training hours.
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private veterinarians on site during all training hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 Superfecta	CHRB #1959,1979,1957,1977,1976.9,1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$0.50PNP4 Superfecta	CHRB 1957 #1959,1979,1977,1976.9,1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$0.50PNP6 Superfecta	CHRB #1957 #1959,1979,1977,1976.9,1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 \$0.50PNP6Superfecta	CHRB#1957 #1959, 1979,1977,1976.9,1979.1
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$.50PNP4Superfecta	CHRB #1957#1959,1979,1977,1976.9,1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 Superfecta	CHRB #1957 #1959,1979,1977,1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB#1957 #1959,1979,1977,1957,1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB #1957 #1959,1979,1977,1957,1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3\$2DD Super H5 Superfecta	CHRB #1957 #1959,1979,1977,1957,1979.1:ARCI #004-105 Subsection X Pick (n) Position (x) Pools

\$2 Rolling Daily Double. 20% takeout . Placement of last DD will depend on # of races.

*\$1 Trifecta on all eligible races . (CHRB 1979)

* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)

* Incoming simulcasts will follow host track format.

* \$2 PNP6 last six live races 70% carryover, 30% minor. Alternate runner provision (CHRB 1976.9)

*\$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)

* \$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover

\$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race.

100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. none
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: Unlimited. Final distribution Dec. 18, 2016
- D. List any options requested with regard to exotic wagering:
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
 Each race day from 8:30 am to 10:00 am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: AmTote. Contract expires August 2020
 Steve Keech 11200 Pepper Rd Hunt Valley MD 800-345-1566
- G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

W/P/S – 15.43%

DD – 20.00%

E – 22.68%

PNPP – 14%

Tri/SF/PK3/PK4/PNP6/SuperH5 – 23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
 XpressBet
 TVG
 Twin Spires
 Watch and Wager
 Lien Games – Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file with CHRB
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No

If yes, attach a copy of the approval on file with CHRB

If no, explain the status of the approval. Awaiting approval of stakes/purse proposal

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering Inc. (SCOTWINC)
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
SCOTWINC agreement is on file with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
See attachment
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
See attachment
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: see attachment
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
See attachment
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
 Board of Directors
 Los Alamitos Racing Assn.
 4961 Katella Ave
 Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
 Rick English
 4961 katella Ave
 Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s) Dr. Dana Steed DVM
 - Clerk of Scales Charles McCaul
 - Clerk of the Course – Lisa Jones
 - Film Specialist – Board of Stewards
 - Horse Identifier – Jennifer Paige
 - Horseshoe Inspector – Victor Tovar
 - Paddock Judge – Karen Denovel
 - Patrol Judges – Randy Valdez, Karen Denovel
 - Placing Judges – Kevin Colosi, Dawn Schmid
 - Starter – Gary Brinson
 - Timer – Russ Hudak
- B. Management officials in the racing department:
- Director of Racing – Robert Moreno
 - Racing Secretary – Robert Moreno
 - Assistant Racing Secretary – Chris Merz
 - Paymaster of Purses – Dawn Jackson
 - Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Weinstein Court Reporters
 - P O Box 26634 Santa Ana, CA 92799 949-637-6071
 - Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corp, USA Bill O'Brien contract expires Dec. 31, 2017
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- Pegasus Communications
 - Jim Porep, President
 - 16633 Ventura blvd Suite 1010 Encino, CA 91436 Contract thru April 21, 2017
 - 1 camera end of homestretch
 - 1 camera end of backstretch
 - 1 camera coming out of final turn
 - 4 pan cameras covering entire track

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing devices owned and operated by Los Alamitos Race Course

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Alex Soltero, Chief of Security

714-820-2660/frontside 714-820-2832/backside

Organization Plan/ attached

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security 1 Stable Security Manager 5 Gatemen 3 Investigators

20 Security Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

2. Detention Stalls: Los Alamitos does not use detention stalls for stakes.

A. Attach a plan for use of graded stakes or overnight races.

B. Number of security guards in the detention stall area during a 24-hour period.

C. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.
CHRB directives will be followed

B. Plan for enhanced surveillance for trainers with high-test results.
CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.
CHRB directives will be followed

D. Number of security personnel assigned to the TCO2 program.
As per need following CHRB directives

- C. Describe the electronic security system:

Los Alamitos does not have an electronic security system

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Los Alamitos does not have video surveillance in these locations

- D. For night racing associations. Describe emergency lighting system: n/a

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Huntington Ambulance Service
 P O Box 145
 Sunset Beach, CA 90742
 Track # 714-820-2845

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

San Luis Rey downs
 North county fire Protection District
 31403 Old River Rd
 Bonsall, CA 92003
 Phone – 911

Santa Anita
 AmbuServe
 15105 S Broadway
 Gardena, CA 90248
 Bryan Rusling
 310-644-0500

Galway Downs
 Symons Ambulance Service
 28078 Baxter Rd
 Murrieta, CA 92563

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See attachments

- C. Describe the on-track first aid facility, including equipment and medical staffing:
 Contracted track physician; Oxygen equipment; blood pressure monitoring; backboard; cervical collar; 3 patient beds

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

Dr. Michael Morris 714-820-2710

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

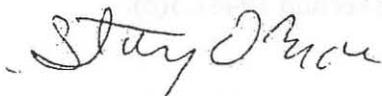
February 16, 2016

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- Los Alamitos Medical Center
3571 Katella Ave.
Los Alamitos, CA 90720
562-598-1311
- Long Beach Memorial Hospital (Certified Trauma Center)
2801 Atlanta Ave
Long Beach, CA 90806
562-933-2000
- UC Irvine Medical Center (certified trauma center)
101 The City Drive
Orange, CA 92868
714-456-7890
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See attachments
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Frank Sherren
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
See attachment
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Self Insured through Finish Line Self Insurance Group
GBroup Certificate # 4524-001
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
See attachment

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal

(714) 573-6000

www.ocfa.org

September 28, 2016

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to doughartman@ocfa.org.

Respectfully,

A handwritten signature in black ink, appearing to read "Douglas A. Hartman".

Douglas A. Hartman,
Senior Fire Prevention Specialist

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE
(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.*

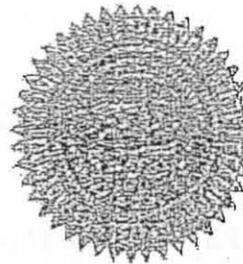
EFFECTIVE:

THIS 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA

MARK T. JOHNSON, MANAGER

JOHN L. REA, DIRECTOR



*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.

19. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
 - Admission (general) - \$3
 - Admission (clubhouse) - \$5
 - Vessels Club (Turf Club) - \$10
 - Reserved seating (general) - free
 - Reserved seating (clubhouse) - free
 - Parking (general) - free
 - Parking (preferred) - \$6 / \$10 premium
 - Parking (valet) - not offered
 - Programs (on-track) - \$3
 - (off-track) - \$3

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
 - Turf Club Season Table - \$480 Grandstand Season Table - \$250

- C. Describe any "package" plans such as combined parking, admission and program:
 - We do not offer combined packages

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	60
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
		<input checked="" type="checkbox"/>	Lounge area
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys/drivers:
 - Separate quarters with own showers, lockers, sauna. Shared common area

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

- B. Number of rooms used for housing on the backstretch of the racetrack: 195

- C. Number of restrooms available on the backstretch of the racetrack: 11 men/ 8 ladies

- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 - 20

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5.100 feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:
 - 81% sand, 16% silt and clay, 3% organic

- C. The percent of cross slope in the straight-aways is: - 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A Height of 40 inches in maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Rick Hughes track superintendent / Dennis Moore – track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Not applicable
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):
No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Print Name

Signature

Print Title

Date

STAFF ANALYSIS
October 20, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB AT SANTA ANITA DECEMBER 21, 2016 THROUGH JULY 4, 2017.

Los Angeles Turf Club (LATC) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

- December 21, 2016 through July 4, 2017, or 109 days, 6 more days than 2016. Live racing will commence December 26, 2016 (Opening Day) through July 4, 2017. The association proposes to race a total of 865 to 1,169 races, or 7.94 to 10.72 races per day. In 2016 LATC raced 909 races with an average of 7.76 runners per race. The average daily purse for the 2016 race meeting was \$514,304. The (estimated) average daily purse for this meet is \$508,525. The LATC application is incomplete the stake schedule, purse program, and promotional marketing section of the application are unfinished. CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting, requires a race meet application to be submitted at least 90 days before the time allocated by the Board for a race meeting to start. LATC's application was due September 28, 2016, and was received October 6, 2016.
- The race dates proposed are the dates the Board allocated.

December - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

January - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

February - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				

March - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

April - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

May - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

June - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

July - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing 4 days per week, Thursday through Sunday with the exception of Mondays, December 26, 2016, January 2 and 16, February 20, May 29, and July 4, 2017. Racing 5 days Monday December 26, 2016 – Sunday, January 1, 2017. Racing 5 days Monday, January 2, 2017 – Sunday, January 8, 2017. Racing 3 days Friday, April 14 – Sunday, April 16, 2017. Racing one day, Tuesday, July 4, 2017.
- First post 1:00 p.m., weekdays, 12:30 p.m., weekends and holidays with the following exceptions:
 - Monday, December 26, 2016: Opening Day – 12:00 p.m.
 - Thursday, December 29 – Monday, January 2, 2017: Opening Week – 12:30 p.m.
 - Saturday, January 28, 2017: Cal Cup/Sunshine Millions Day – 12:00 p.m.
 - Sunday, February 5, 2017: Super Bowl Sunday – 11:00 a.m.
 - Saturday, March 11, 2017: Santa Anita Handicap – 12:00 p.m.
 - Saturday, April 8, 2017: Santa Anita Derby – 12:00 p.m.
 - Saturday, May 6, 2017: Kentucky Derby Day – 11:00 a.m.
 - Saturday, May 20, 2017: Preakness Day – 11:00 a.m.
 - Saturday, June 10, 2017: Belmont Stakes Day – 11:00 a.m.
- Wagering program will use CHRB rules and ARCI rules.
 - Early wagering will be 8:30 a.m. to 10:00 a.m. each day.
 - \$1 Exacta on all eligible races.
 - \$2 – Rolling Daily Double
 - \$1 Trifecta on all eligible races.
 - ¢.10 Superfecta on all eligible races.
 - \$1 Pick 3.
 - ¢.50 Pick 4 on races two to five and last four races each day. No consolation; 100% carryover; Alternate runner provision.
 - ¢.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
 - \$2 - Pick 6 on last 6 live races each day – First share -70%; Second share – 15%; Third share – 15%.
 - \$1 Super High 5 in the last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners.
- Specific changes from the 2016 license application:

- CH Box Seat \$10.00 Non-premium day – previously Saturdays and Sundays
- CH Box Seat \$30.00 Premium days [new]
- The Advance Deposit Wagering (ADW) providers are Xpressbet, Watch and Wager, Twinpires, Bet America, TVG, and NYRA Bets [NYRA Bets not yet been approved by the Board].

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604, *except* the horsemen's approval:

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba Twinpires.
- Lien Games Racing LLC, dba Bet America.
- Watch and Wager.com LLC

Monarch Content Management, LLC (MCM), has shared that the contracts with the abovementioned ADW providers are still in effect. Each ADW provider listed above has an agreement with language providing: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect" through section 16 of the simulcast agreement.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.

- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Pertinent 2017 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated Advance Deposit Wagering providers to accept wagers on races conducted in and outside of California for the applied license term.
2. Horsemen approval of Advance Deposit Wagering.
3. Side-by-side stakes schedule to include 2014-2015.
4. Incomplete Racing Program section.
5. Financials.
6. Incomplete Purse Program section.
7. Incomplete Stable Accommodations section.
8. Incomplete Equine Emergency Services section.
9. Simulcast agreement [expires December 25, 2016].
10. 2017 Vanning and Stabling Agreement.
11. Promotional marketing plans.
12. Promotional marketing budget [current and prior].
13. Thoroughbred Owners of California agreement.
14. California Thoroughbred Trainers agreement.

Specific information which will need updating during the proposed race dates:

1. Workers' compensation insurance policy (expires June 30, 2017).

RECOMMENDATION:

Staff recommends that the application not be heard at the October 20, 2016 Regular Board meeting.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club, Incorporated**
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 Fax (626) 446-9565
frank.demarco@santaanita.com

B. Breed of horse: TB QH H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **Attached**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

CHRB CERTIFICATION		
Application received: 10/6/16		Hearing date:
Deposit received: 10/7/16		Approved date:
Reviewed: <i>[Signature]</i>		License number:

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: December 21, 2016 through July 4, 2017

B. Actual dates racing will be held:

2016 December 26, 29, 30 and 31 (4 days)

2017

January 1, 2, 5, 6, 7, 8, 12, 13, 14, 15, 16, 20, 21, 22, 26, 27, 28 and 29 (18 days)

February 2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 20, 24, 25, and 26 (16 days)

March 2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 23, 24, 25, 26, 30, and 31 (18 days)

April 1, 2, 6, 7, 8, 9, 14, 15, 16, 21, 22, 23, 27, 28, 29 and 30 (16 days)

May 4, 5, 6, 7, 11, 12, 13, 14, 18, 19, 20, 21, 25, 26, 27, 28 and 29 (17 days)

June 2, 3, 4, 8, 9, 10, 11, 15, 16, 17, 18, 22, 23, 24, 25, and 30 (16 days)

July 1, 2, 3 and 4 (4 days)

C. Total number of days or nights of racing: 109

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: Racing Monday, December 26, 2016
Monday, January 2, 2017
Monday, January 16, 2017
Monday, February 20, 2017
Monday, May 29, 2017
Tuesday, July 4, 2017

Dark Thursday, January 19, 2017
Thursday, February 23, 2017
Thursday April 13, 2017
Thursday, April 20, 2017
Thursday June 1, 2017

E. Number of days or nights of racing per week: 4 days per week

Exceptions: 5 days, Monday, December 26, 2016 – Sunday, January 1, 2017
5 days, Monday, January 2, 2017 – Sunday, January 8, 2017
3 days, Friday, April 14 – 16, 2017
3 days, Friday, April 21 – 23, 2017
1 day, Tuesday, July 4, 2017

3. RACING PROGRAM

A. Total number of races: **Estimated between 865 and 1,169**

B. Number of races for each day or night:

- 7 – 9 races weekdays
- 9 – 12 races opening day, weekends and holidays
- Exceptions:
 - March 11, 2017 – between 9 and 14 races
 - April 8, 2017 – between 9 and 14 races
 - May 5, 2017 – between 7 and 14 races
 - May 6, 2017 – between 9 and 14 races
 - May 19, 2017 – between 9 and 14 races
 - May 20, 2017 – between 9 and 14 races
 - June 10, 2017 – between 9 and 14 races

C. Total number of stakes races: **81**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed pure for each. Note the races that are designated for California-bred horses.

Attached Pending Stakes Schedule 3(D)

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached Exhibit 3(D1) 2015-16 and 2014-15 stake schedules.

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Attached Exhibit 3(D2/3)

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **Attached Exhibit 3(D2/3)**

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	Weekdays	Weekends & Holidays	Daily**
Race 1	1:00 PM	12:30 PM	1:00 PM
Race 2	1:30 PM	1:00 PM	1:30 PM
Race 3	2:00 PM	1:30 PM	2:00 PM
Race 4	2:30 PM	2:00 PM	2:30 PM
Race 5	3:00 PM	2:30 PM	3:00 PM
Race 6	3:30 PM	3:00 PM	3:30 PM
Race 7	4:00 PM	3:30 PM	4:00 PM
Race 8	4:30 PM	4:00 PM	4:30 PM
Race 9	*5:00 PM	4:30 PM	*5:00 PM
Race 10	*5:30 PM	*5:00 PM	*5:30 PM
Race 11	*6:00 PM	*5:30 PM	*6:00 PM
Race 12	*6:30 PM	*6:00 PM	*6:30 PM

Run Date	Race	Grade	Age	Distance	2016-17 Purse	2015-16 Purse
	2016-17 Santa Anita Stakes COMPARE		(Pending)			
Mon Dec 26	Malibu Stakes (Grade I)	I	3 YO	7 F	\$300,000	\$300,000
Mon Dec 26	Mathis Brothers Mile (Grade II)	II	3 YO	1 M (T)	\$200,000	\$200,000
Mon Dec 26	La Brea Stakes (Grade I)	I	F, 3 YO	7 F	\$300,000	\$300,000
Mon Dec 26	San Simeon Handicap (Grade III)	III	4 & UP	abt 6 1/2 F (T)	\$100,000	\$100,000
Thu Dec 29	Blue Norther Stakes		F, 2 YO	1 M (T)		
Fri Dec 30	Eddie Logan Stakes		2 YO	1 M (T)		
Fri Dec 30	Kalookan Queen		FM, 3 & UP	6 1/2 F		
Sat Dec 31	American Oaks (Grade I)	I	F, 3 YO	1 1/4 M (T)	\$350,000	didn't run
Sat Dec 31	Robert J. Frankel Stakes (Grade III)	III	F/M, 3 & UP	1 1/8 M (T)	\$100,000	\$100,000
Sat Dec 31	Midnight Lute (Grade III)	III	4 & UP	6 1/2 F	\$100,000	\$100,000
Sun Jan 1	San Pasqual Stakes (Grade II)	II	4 & UP	1 1/16 M	\$200,000	\$200,000
Sat Jan 7	San Gabriel Stakes (Grade II)	II	4 & UP	1 1/8 M (T)	\$200,000	\$200,000
Sat Jan 7	Sham Stakes (Grade III)	III	3 YO	1 M	\$100,000	\$100,000
Sat Jan 7	Las Cienegas Handicap (Grade III)	III	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000	\$100,000
Sun Jan 8	Santa Ynez Stakes (Grade II)	II	F, 3 YO	7 F	\$200,000	\$200,000
Sat Jan 14	La Canada Stakes (Grade III)	III	FM, 4 & UP	1 1/16 M	\$200,000	\$200,000
Sun Jan 15	Astra Stakes		FM, 4 & UP	1 1/2 (T)		
Mon Jan 16	Megahertz Stakes		FM, 4 & UP	1 M (T)	\$100,000	\$100,000
Sat Jan 21	Santa Monica Stakes (Grade II)	II	F/M, 4 & UP	7 F	\$200,000	\$200,000
Sat Jan 28	California Cup Derby		3 YO (CA)	1 1/16 M	\$250,000	\$250,000
Sat Jan 28	California Cup Oaks		F, 3 YO (CA)	1 M (T)	\$200,000	\$200,000
Sat Jan 28	Sunshine Millions F/M Turf Sprint		F & M, 4 & UP (CA)	abt 6 1/2 F (T)	\$150,000	\$150,000
Sat Jan 28	California Cup Sprint		4 & UP (CA)	6 F	\$150,000	\$150,000
Sat Jan 28	California Cup Turf Classic		4 & UP (CA)	1 1/8 M (T)	\$250,000	\$250,000
Sun Jan 29	Clockers' Corner		4 & UP R	abt 6 1/2 F (T)		
Sat Feb 4	San Antonio Stakes (Grade II)	II	3 & UP	1 1/16 M	\$400,000	\$500,000
Sat Feb 4	Robert B. Lewis Stakes (Grade III)	III	3 YO	1 1/18 M	\$150,000	\$150,000
Sat Feb 4	San Marcos Stakes (Grade II)	II	4 & UP	1 1/4 M (T)	\$200,000	\$200,000
Sat Feb 4	Palos Verdes Stakes (Grade II)	II	4 & UP	6 F	\$200,000	\$200,000
Sun Feb 5	Las Virgenes Stakes (Grade II)	II	F, 3 YO	1 M	\$250,000	\$300,000
Sat Feb 11	Arcadia Stakes (Grade II)	II	4 & UP	1 M (T)	\$200,000	\$200,000
Sat Feb 11	Santa Maria Stakes (Grade II)	II	F/M, 4 & UP	1 1/16 M	\$200,000	\$200,000
Fri Feb 12	Sweet Life Stakes		F, 3 YO	abt 6 1/2 F (T)		
	Wishing Well Stakes		F/M, 4 & UP R	abt 6 1/2 F (T)		
Fri Feb 12	San Vicente Stakes (Grade II)	II	3 YO	7 F	\$200,000	\$200,000
Sat Feb 18	Buena Vista Stakes (Grade II)	II	F/M, 4 & UP	1 M (T)	\$200,000	\$200,000
Sun Feb 19	Spring Fever Stakes		F/M, 4 & UP (CB)	6 F	\$100,000	\$100,000
Mon Feb 20	Baffle Stakes		3 YO	abt 6 1/2 F (T)		
Sat Feb 25	Sensational Star Stakes		4 & UP (CA)	abt 6 1/2 F (T)	\$100,000	\$100,000
Sun Feb 26	Tiznow Stakes		4 & UP (CA)	1 M	\$100,000	\$100,000
Sat Mar 4	Santa Ysabel Stakes (Grade III)	III	F, 3 YO	1 1/16 M	\$100,000	\$100,000
Sat Mar 4	Joe Hernandez Stakes		4 & UP	abt 6 1/2 F (T)		
Sun Mar 5	Las Flores Stakes (Grade III)	III	F/M, 4 & UP	6 F	\$100,000	\$100,000
Sat Mar 11	Triple Bend Stakes (Grade I)	I	4 & UP	7 F	\$400,000	\$300,000
Sat Mar 11	Santa Anita Handicap (Grade I)	I	4 & UP	1 1/4 M	\$600,000	\$1,000,000
Sat Mar 11	Frank E. Kilroe Mile (Grade I)	I	4 & UP	1 M (T)	\$400,000	\$400,000
Sat Mar 11	San Felipe Stakes (Grade II)	II	3 YO	1/1/16 M	\$400,000	\$400,000
Sat Mar 11	China Doll Stakes		F, 3 YO	1 M (T)		
Sun Mar 12	Santa Ana Stakes (Grade II)	II	F/M, 4 & UP	1 1/8 M (T)	\$200,000	\$200,000
Sat Mar 18	Santa Margarita Stakes (Grade I)	I	F/M, 4 & UP	1 1/8 M	\$400,000	\$500,000
Sat Mar 18	Irish O'Brien Stakes		F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000	\$100,000
Sun Mar 19	Pasadena Stakes		3 YO	1 M (T)		
Sat Mar 25	San Luis Rey Stakes (Grade II)	II	4 & UP	1 1/2 M (T)	\$200,000	\$200,000
Sun Mar 26	Dream of Summer Stakes		F/M, 4 & UP (CA)	1 M	\$100,000	\$100,000
Sat Apr 1	Santana Mile		4 & UP	1 M		
Sun Apr 2	Tokyo City Cup (Grade III)	III	4 & UP	1 1/2 M	\$100,000	\$100,000
Sat Apr 8	Echo Eddie Stakes		3 YO (CA)	6 1/2 F	\$200,000	\$200,000
Sat Apr 8	Evening Jewel Stakes		F, 3 YO (CA)	6 1/2 F	\$200,000	\$200,000
Sat Apr 8	Santa Anita Oaks (Grade I)	I	F, 3 YO	1 1/16 M	\$400,000	\$400,000
Sat Apr 8	Santa Anita Derby (Grade I)	I	3 YO	1 1/8 M	\$1,000,000	\$1,000,000
Sat Apr 8	Providencia Stakes (Grade III)	III	F, 3 YO	1 1/8 M (T)	\$150,000	\$150,000
Sat Apr 8	Royal Herione Stakes (Grade II)	II	F/M, 4 & UP	1 M (T)	\$250,000	\$200,000
Sat Apr 15	Los Angeles Handicap (Grade III)	III	4 & UP	6 F	\$100,000	didn't run

Sun Apr 16	Mizdirection Stakes		F/M, 4 & UP	abt 6 1/2 F (T)		
Sat Apr 22	Californian Stakes (Grade II)	II	4 & UP	1 1/8 M	\$200,000	\$200,000
Sat Apr 22	San Juan Capistrano Stakes (Grade III)	III	4 & UP	abt 1 3/4 M (T)	\$100,000	\$100,000
Sun Apr 23	Siren Lure Stakes		4 & UP	abt 6 1/2 F (T)		
Sat Apr 29	Thunder Road Stakes (Grade III)	III	3 & UP	1 M (T)	\$100,000	\$100,000
Sun Apr 30	Santa Barbara Stakes (Grade III)	III	F/M, 3 & UP	1 1/2 M (T)	\$100,000	didn't run
Sat May 6	Senorita Stakes (Grade III)	III	F, 3 YO	1 M (T)	\$100,000	\$100,000
Sat May 6	Singleary Stakes		3 YO	1 M (T)		
Sun May 7	Adoration Stakes (Grade III)	III	F/M, 3 & UP	1 1/16 M	\$100,000	\$100,000
Sat May 13	Lazaro Barrera Stakes (Grade III)	III	3 YO	7 F	\$100,000	\$100,000
Sat May 13	Desert Code Stakes		3 YO	abt 6 1/2 F (T)		
Sun May 14	Angels Flight Stakes		F, 3 YO	7 F		
Sat May 20	Crystal Water Stakes		3 & UP (CA)	1 M (T)	\$100,000	\$100,000
Sat May 20	Kona Gold Stakes (Grade II)	II	3 & UP	6 1/2 F	\$200,000	\$200,000
Sun May 21	Fran's Valentine Stakes		F/M, 3 & UP (CA)	1 M (T)	\$100,000	\$100,000
Sat May 27	Gamely Stakes (Grade I)	I	F/M, 3 & UP	1 1/8 M (T)	\$300,000	\$300,000
Sat May 27	The Gold Cup at Santa Anita (Grade I)	I	3 & UP	1 1/4 M	\$500,000	\$500,000
Sat May 27	Monrovia Invitational (Grade II)	II	F/M, 3 & UP	abt 6 1/2 F (T)	\$200,000	\$200,000
Sat May 27	Daytona Invitational (Grade III)	III	3 & UP	abt 6 1/2 F (T)	\$200,000	\$100,000
Sun May 28	Charles Whittingham Stakes (Grade II)	II	3 & UP	1 1/4 (T)	\$200,000	\$200,000
Mon May 29	Snow Chief Stakes		3 YO (CA)	1 1/8 (T)	\$200,000	\$200,000
Mon May 29	Melair Stakes		F, 3 YO (CA)	1 1/16 M	\$200,000	\$200,000
Sat Jun 3	Vanity Stakes (Grade I)	I	F/M, 3 & UP	1 M	\$400,000	\$400,000
Sat Jun 3	Shoemaker Mile (Grade I)	I	3 & UP	1 M (T)	\$400,000	\$400,000
Sun Jun 4	Possibly Perfect Stakes		F/M, 3 & UP	1 1/2 M (T)		
Sat Jun 10	Rainbow Stakes		3 YO	1 1/8 M (T)		
Sun Jun 11	Thor's Echo Handicap		3 & UP (CB)	6 F	\$100,000	\$100,000
Sat Jun 17	Honeymoon Stakes (Grade II)	II	F, 3 YO	1 1/8 M (T)	\$200,000	\$200,000
Sat Jun 17	Summertime Oaks (Grade II)	II	F, 3 YO	1 1/16 M	\$200,000	\$200,000
Sun Jun 18	Desert Stormer Stakes		F/M, 3 & UP	6 F		
Sat Jun 24	Affirmed Stakes (Grade III)	III	3 YO	1 1/16 M	\$100,000	\$100,000
Sat Jun 24	Precisionist Stakes (Grade III)	III	3 & UP	1 1/16 M	\$100,000	didn't run
Sun Jun 25	Southern Truce Stakes		F/M, 3 & UP	1 1/16 M		
Sat Jul 1	San Carlos Stakes (Grade II)	II	3 & UP	7 F	\$250,000	\$300,000
Sat Jul 1	Wilshire Stakes (Grade III)	III	F/M, 3 & UP	1 M (T)	\$100,000	didn't run
Sun Jul 2	Santa Anita Juvenile		2 YO	5 1/2 F	\$100,000	\$125,000
Sun Jul 2	Landaluce Stakes		F, 2 YO	5 1/2 F	\$100,000	\$125,000
Tue Jul 4	American Stakes (Grade III)	III	3 & UP	1 M (T)	\$100,000	\$100,000
Tue Jul 4	Lennyfrommalibu Stakes		3 & UP (CA)	abt 6 1/2 F (T)	\$100,000	\$100,000
					\$16,700,000	\$16,450,000

Exhibit 3D 2016 Winter-Spring Stakes Schedule

2016 Winter/Spring Stakes Schedule 12/26/2015 - 7/10/2016				Exhibit 3D
Run Date	Race	Age	Distance	Purse
Sat Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000
Sat Dec 26	Mathis Brothers Mile (Grade II)	3 YO	1 M (T)	\$200,000
Sat Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000
Sat Dec 26	Daytona Stakes (Grade III)	3 & UP	abt 6 1/2 F (T)	\$100,000
Sun Dec 27	Robert J. Frankel Stakes (Grade III)	F/M, 3 & UP	1 1/8 M (T)	\$100,000
Sat Jan 2	Midnight Lute (Grade III)	4 & UP	6 F	\$100,000
Sat Jan 2	Santa Ynez Stakes (Grade II)	F, 3 YO	6 1/2 F	\$200,000
Sat Jan 2	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$200,000
Sun Jan 3	Monrovia Stakes (Grade II)	F/M, 4 & UP	abt 6 1/2 F (T)	\$200,000
Sat Jan 9	San Pasqual Stakes (Grade II)	4 & UP	1 1/16 M	\$200,000
Sat Jan 9	Sham Stakes (Grade III)	3 YO	1 M	\$100,000
Sat Jan 16	La Canada Stakes (Grade III)	F/M, 4 & UP	1 1/16 M	\$100,000
Sat Jan 23	Santa Monica Stakes (Grade II)	F/M, 4 & UP	7 F	\$200,000
Sat Jan 30	California Cup Derby	3 YO (CA)	1 1/16 M	\$250,000
Sat Jan 30	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000
Sat Jan 30	Sunshine Millions F/M Turf Sprint	F & M, 4 & UP (CA)	abt 6 1/2 F (T)	\$150,000
Sat Jan 30	California Cup Sprint	4 & UP (CA)	6 F	\$150,000
Sat Jan 30	California Cup Turf Classic	4 & UP (CA)	1 1/8 M (T)	\$250,000
Sat Feb 6	Las Virgenes Stakes (Grade I)	F, 3 YO	1 M	\$300,000
Sat Feb 6	San Antonio Stakes (Grade II)	4 & UP	1 1/8 M	\$500,000
Sat Feb 6	Robert B. Lewis Stakes (Grade II)	3 YO	1 1/16 M	\$200,000
Sat Feb 6	San Marcos Stakes (Grade II)	4 & UP	1 1/4 M (T)	\$200,000
Sun Feb 7	Palos Verdes Stakes (Grade II)	4 & UP	6 F	\$200,000
Sat Feb 13	Arcadia Stakes (Grade II)	4 & UP	1 M (T)	\$200,000
Sat Feb 13	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000
Mon Feb 15	San Vicente Stakes (Grade II)	3 YO	7 F	\$200,000
Mon Feb 15	Buena Vista Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$200,000
Sat Feb 20	Valentine Dancer Stakes	F/M, 4 & UP (CA)	1 M (T)	\$100,000
Sat Feb 27	Sensational Star Stakes	4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sat Mar 5	Santa Ysabel Stakes (Grade III)	F, 3 YO	1 1/16 M	\$100,000
Sat Mar 12	San Carlos Stakes (Grade II)	4 & UP	7 F	\$300,000
Sat Mar 12	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$1,000,000
Sat Mar 12	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$400,000
Sat Mar 12	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$400,000
Sun Mar 13	San Luis Rey Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$200,000
Sat Mar 19	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$500,000
Sat Mar 19	Irish O'Brien Stakes	F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sun Mar 20	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 F	\$100,000
Sat Mar 26	Santa Ana Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$200,000
Sun Mar 27	Dream of Summer Stakes	F/M, 4 & UP (CA)	1 M	\$100,000
Sat Apr 2	San Simeon Handicap (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000
Sun Apr 3	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000
Sat Apr 9	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 9	Evening Jewel Stakes	F, 3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 9	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$400,000
Sat Apr 9	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$1,000,000
Sat Apr 9	Providencia Stakes (Grade III)	F, 3 YO	1 1/8 M (T)	\$150,000

Exhibit 3D 2016 Winter-Spring Stakes Schedule

Sun Apr 10	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000
Sun Apr 10	San Juan Capistrano Stakes (Grade III)	3 & UP	abt 1 3/4 M (T)	\$100,000
Thu May 5	Wilshire Stakes (Grade III)	F/M, 3 & UP	1 M (T)	\$100,000
Sat May 7	Precisionist Stakes (Grade III)	3 & UP	1 1/16 M	\$100,000
Sat May 14	American Stakes (Grade III)	3 & UP	1 M (T)	\$100,000
Sun May 15	Lazaro Barrera Stakes (Grade III)	3 YO	7 F	\$100,000
Sat May 28	Spring Fever Stakes	F/M, 4 & UP (CA)	6 F	\$150,000
Sat May 28	Fran's Valentine Stakes	F/M, 4 & UP (CA)	1 M (T)	\$125,000
Sat May 28	Snow Chief Stakes	3 YO (CA)	1 1/8 (T)	\$200,000
Sat May 28	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$200,000
Sat May 28	Tiznow Stakes	4 & UP (CA)	1 M	\$150,000
Sun May 29	Californian Stakes (Grade II)	3 & UP	1 1/8 M	\$200,000
Mon May 30	Gamely Stakes (Grade I)	F/M, 3 & UP	1 1/8 M (T)	\$300,000
Mon May 30	Kona Gold Stakes (Grade II)	3 & UP	6 1/2 F	\$200,000
Sat Jun 4	Honeymoon Stakes (Grade II)	F, 3 YO	1 1/8 M (T)	\$200,000
Sat Jun 4	Vanity Stakes (Grade I)	F/M, 3 & UP	1 M	\$400,000
Sat Jun 11	Crystal Water Stakes	4 & UP (CA)	1 M (T)	\$100,000
Sun Jun 12	Affirmed Stakes (Grade III)	3 YO	1 1/16 M	\$100,000
Sat Jun 18	Shoemaker Mile (Grade I)	3 & UP	1 M (T)	\$400,000
Sat Jun 25	Summertime Oaks (Grade II)	F, 3 YO	1 1/16 M	\$200,000
Sun Jun 26	Charles Whittingham Stakes (Grade II)	3 & UP	1 1/4 (T)	\$200,000
Sat Jul 2	Senorita Stakes (Grade III)	F, 3 YO	1 M (T)	\$100,000
Sat Jul 2	Royal Heroine Stakes (Grade II)	F/M, 3 & UP	1 M (T)	\$200,000
Sat Jul 2	Triple Bend Stakes (Grade I)	3 & UP	7 F	\$300,000
Sat Jul 2	The Gold Cup at Santa Anita (Grade I)	3 & UP	1 1/4 M	\$500,000
Sun Jul 3	Santa Anita Juvenile	2 YO	5 1/2 F	\$125,000
Sun Jul 3	Landaluce Stakes	F, 2 YO	5 1/2 F	\$125,000
Mon Jul 4	Adoration Stakes (Grade III)	F/M, 3 & UP	1 1/16 M	\$100,000
Sat Jul 9	Lennyfromalibu Stakes	3 & UP (CB)	abt 6 1/2 F (T)	\$100,000
Sun Jul 10	Cambiocorsa Stakes	F/M, 3 & UP (CB)	abt 6 1/2 F (T)	\$100,000
				\$16,625,000

2015 Winter/Spring Stakes Schedule 12/26/2014 - 6/28/2015				Exhibit 3 (D) pg. 11
Run Date	Race	Age	Distance	Purse
Fri Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000
Fri Dec 26	Sir Beaufort Stakes (Grade II)	3 YO	1 M (T)	\$200,000
Fri Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000
Sun Dec 28	Daytona Stakes (Grade III)	3 & UP	abt 6 1/2 F (T)	\$100,000
Sat Dec 27	Robert J. Frankel Stakes (Grade III)	F/M, 3 & UP	1 1/8 M (T)	\$100,000
Sat Jan 3	Santa Ynez Stakes (Grade II)	F, 3 YO	6 1/2 F	\$200,000
Sat Jan 3	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$200,000
Sun Jan 4	Monrovia Stakes (Grade II)	F/M, 4 & UP	abt 6 1/2 F (T)	\$200,000
Sat Jan 10	San Pasqual Stakes (Grade II)	4 & UP	1 1/16 M	\$200,000
Sat Jan 10	Sham Stakes (Grade III)	3 YO	1 M	\$100,000
Sat Jan 17	Strub Stakes (Grade II)	4 YO	1 1/16 M	\$200,000
Sun Jan 18	La Canada Stakes (Grade II)	F, 4 YO	1 1/16 M	\$200,000
Sat Jan 24	California Cup Derby	3 YO (CA)	1 1/16 M	\$250,000
Sat Jan 24	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000
Sat Jan 24	Sunshine Millions F/M Turf Sprint	F & M, 4 & UP (CA)	abt 6 1/2 F (T)	\$125,000
Sat Jan 24	California Cup Sprint	4 & UP (CA)	6 F	\$125,000
Sat Jan 24	California Cup Turf Classic	4 & UP (CA)	1 1/8 M (T)	\$250,000
Sat Jan 24	Santa Monica Stakes (Grade II)	F/M, 4 & UP	7 F	\$200,000
Sat Jan 31	Arcadia Stakes (Grade II)	4 & UP	1 M (T)	\$200,000
Sat Jan 31	Las Virgenes Stakes (Grade I)	F, 3 YO	1 M	\$300,000
Sat Jan 31	Palos Verdes Stakes (Grade II)	4 & UP	6 F	\$200,000
Sat Feb 7	San Antonio Stakes (Grade II)	4 & UP	1 1/8 M	\$300,000
Sat Feb 7	Robert B. Lewis Stakes (Grade II)	3 YO	1 1/16 M	\$200,000
Sat Feb 7	San Marcos Stakes (Grade II)	4 & UP	1 1/4 M (T)	\$200,000
Sat Feb 14	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000
Mon Feb 16	San Vicente Stakes (Grade II)	3 YO	7 F	\$200,000
Mon Feb 16	Buena Vista Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$200,000
Sat Feb 22	Crystal Water Stakes	4 & UP (CA)	1 M (T)	\$100,000
Sat Feb 28	Santa Ysabel Stakes (Grade III)	F, 3 YO		\$100,000
Sat Mar 7	San Carlos Stakes (Grade II)	4 & UP	7 F	\$250,000
Sat Mar 7	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$750,000
Sat Mar 7	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$350,000
Sat Mar 7	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$300,000
Sun Mar 8	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 F	\$100,000
Sat Mar 14	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$350,000
Sat Mar 14	Irish O'Brien Stakes	F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sun Mar 15	Santa Ana Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$200,000
Sat Mar 21	San Luis Rey Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$200,000
Sun Mar 22	Dream of Summer Stakes	F/M, 4 & UP (CA)	1 M	\$100,000
Sat Mar 28	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000
Sat Apr 4	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 4	Evening Jewel Stakes	F, 3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 4	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$400,000
Sat Apr 4	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$1,000,000
Sat Apr 4	Providencia Stakes (Grade III)	F, 3 YO	1 1/8 M (T)	\$150,000
Sat Apr 11	Kona Gold Stakes (Grade II)	4 & UP	6 1/2 F	\$200,000
Sat Apr 18	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000

Changes to the 2016-17 Stake Schedule from last year include:

1. The American Oaks (GI) will return on December 31st.
2. The purse for the Las Virgenes Stakes (GII) has been reduced \$50,000 to \$250,000 as the race lost it's Grade I status.
3. The purse for the San Antonio Stakes (GII) has been reduced \$100,000 to \$400,000 of because of the new Pegasus World Cup on January 28.
4. The Triple Bend Stakes (GI) has been moved from June to March 11 (SA Handicap Day) to take advantage of the calendar. The purse has increased \$100,000 to \$400,000.
5. The Purse of the Santa Anita Handicap (GI) has been reduced \$400,000 to \$600,000 for this year because of the addition of the \$12 million Pegasus World Cup Race to the national calendar
6. The purse of the Royal Herione Stakes (GII) has been increased \$50,000 to \$250,000.
7. The Los Angeles Handicap (GIII) returns in April.
8. The Santa Barbara (GIII) returns in April.
9. The Monrovia Invitational (GII) and Daytona Invitational (GIII) have been moved to Gold Cup day. The purse for the Daytona (GIII) has been increased \$100,000 to \$200,000.
10. The Precisionist Stakes (GIII) returns in June.
11. The San Carlos Stakes (GII) moves to June.
12. The Wilshire Stakes (GIII) returns to June.

The same number of Cal Bred stakes will be run for the same purse money as last year.

There will be a similar number of \$75,000 Overnight Stakes run throughout the meet.

(*) When applicable

Exceptions:

12:00 PM	Opening Day	Monday, December 26, 2016
12:30 PM	Opening Week	Thursday, December 29 – Monday, January 2, 2017
12:00 PM	Cal Cup/Sunshine Millions Day	Saturday, January 28, 2017
11:00 AM	Super Bowl Sunday	February 5, 2017
12:00 PM	Santa Anita Handicap	Saturday, March 11, 2017
12:00 PM	Santa Anita Derby	Saturday, April 8, 2017
11:00 AM	Kentucky Derby Day	Saturday, May 6, 2017***
11:00 AM	Preakness Day	Saturday, May 20, 2017***
11:00 AM	Belmont Stakes Day	Saturday, June 10, 2017***

Any race card with more than 12 race, license will seek board approval with respect to post times

** Beginning between Sunday, March 12, 2017 and Thursday, May 4, 2017.

*** Post time subject to change with reasonable advance notice to the board.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file.**

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club, Incorporated**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **481360**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool – Chairman (Officer), 0 Shares
Joe Morris – Senior Vice President West Coast Operations (Officer), 0 Shares
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares
Scott Daruty – Vice President (Officer), 0 Shares
Gina Lavo – Controller (Officer), 0 Shares
Jane Lynn – Assistant Secretary (Officer and Director), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc. 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:

- 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada.**
Email: jane.lynn@stronachgroup.com
- 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No
- 10. Registered name of the corporation: **Not applicable**
- 11. State where incorporated: **Not applicable**
- 12. Registry or file number for the corporation: **Not applicable**
- 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
- 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board**
- 15. Number of outstanding shares in the corporation: **Not applicable**
- 16. Are the shares listed for public trading? **N/A** Yes No
If yes, on what exchange and how the stock is listed:
- 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

- 1. Registered name of the LLC: **Not applicable**
- 2. State where articles of organization are filed:
- 3. Registry or file number for the LLC:
- 4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
- 5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
- 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
- 7. Registered name of the LLC/Corporation:
- 8. State where articles of organization are filed:
- 9. Registry or file number for the LLC:
- 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
- 11. Are the shares listed for public trading? Yes No
- 12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

- 1. Name(s) of partners/sole proprietor:
- 2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Financials will be submitted directly to the Board.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

F. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
 - Keith Brackpool – Chairman**
 - Joe Morris – Senior Vice President West Coast Operations**
 - Scott Daruty – Vice President**
 - Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**
 - Gina Lavo – Vice President, Finance & Controller**
 - Rick Hammerle – Vice President, Racing and Racing Secretary**
 - Nate Newby – Vice President, Marketing**
 - Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting**

 - Candace Coder-Chew – Director of Print and Graphics**
 - Dan Cirimele – Senior Director of Marketing**
 - Randy Fowler – Director of Food and Beverage**
 - Randy Hartzell – Director of Pari-Mutuels**
 - Lou Scalera – Chief of Security**
 - Pete Siberell – Director of Community Service and Special Projects**
 - Jason Spetnegal – Director of Facilities & Grounds**
 - Mike Willman – Director of Publicity and Public Relations**
 - Nina Zukin – Director of Information Services**
 - Jay Cohen – Hornblower**
 - Michael Wrona – Public Address Commentator/Announcer**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
Email: frank.demarco@santaanita.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%
DD Percentage: 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

Attached.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015-2016	\$1,039,922,803	782,611
2014-2015	\$1,129,468,228	799,228
2013-2014	\$1,099,222,508	750,548
2012-2013	\$675,876,049	535,801
2011-2012	\$655,817,799	581,311

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

- A. Purse distribution:

1. All races other than stakes:
Current meet estimate: \$32,540,166
Prior meet actual: \$31,039,179



September 29, 2016

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting Players Pick-5 takeout, as set forth below, to be offered during LATC's 2017 Winter/Spring Meet, December 21, 2016 through July 4, 2017.

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on LATC's license application in Section 5A.

Please contact me directly if you have any questions.

Very truly yours,

Frank De Marco, Jr.
Vice President Regulatory Affairs & Secretary

cc: Joe Morris



September 29, 2016

Ms. Jackie Wagner
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

RE: Santa Anita 2016-17 Winter Spring Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter Spring race meet, December 21, 2016 through July 4, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
 President & CEO

cc: Eric Sindler
 Tom Varela
 Mary Saenz

OFFICERS

MIKE PEGRAM
 CHAIR
 BILL STRAUSS
 VICE CHAIR, SOUTHERN CA.
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
 NICK ALEXANDER
 SECRETARY/TREASURER
 MIKE HARRINGTON
 AT LARGE
 ED MOGER
 AT LARGE

DIRECTORS

ALLEN ALDRICH
 BOB BAFFERT
 JOE CIAGLIA
 MARK DEDOMENICO
 RON ELLIS
 GARY FENTON
 TERRY LOVINGIER
 SAMANTHA SIEGEL
 KATHY WALSH

EXECUTIVE STAFF

GREG AVIOLI
 PRESIDENT & CEO
 MARY FORNEY
 EXECUTIVE DIRECTOR
 LIZ MOREY
 NORTHERN CALIFORNIA LIAISON
 JENNIFER SAAVEDRA
 BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05,
 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM



September 29, 2016

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 1957, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting "Rolling Daily Doubles" takeout, as set forth below, to be offered during LATC's 2017 Winter/Spring Meet, December 21, 2016 through July 4, 2017.

Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout.

Please contact me directly if you have any questions.

Very truly yours,

Frank De Marco, Jr.
Vice President Regulatory Affairs & Secretary

cc: Joe Morris



September 29, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2016-17 Winter Spring Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, December 21, 2016 through July 4, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Tom Varela
Mary Saenz

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$298,534
Prior meet actual: \$301,351

2. Overnight stakes:

Current meet estimate: \$1,800,000
Prior meet actual: \$1,800,000

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$16,514
Prior meet actual: \$17,476

3. Non-overnight stakes:

Current meet estimate: \$14,961,000
Prior meet actual: \$14,361,900

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$137,257
Prior meet actual: \$139,436

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$49,301,166
Prior meet actual: \$47,201,079

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 18.0%
Prior meet actual: 18.8%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: \$0.16
Prior meet actual: \$0.18

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$4,985,635
Prior meet actual: \$4,705,843

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

	Current meet estimate:	Prior meet actual:
NTRA	57,200	57,234
TOC	437,070	403,669
CTT Pension	434,070	403,669
CTT Admin.	217,035	201,835
Total	\$1,142,375	\$1,066,407

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$55,429,176

Prior meet actual: \$52,973,329

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$508,525

Prior meet actual: \$514,304

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$22,391,000

Prior meet actual: \$21,261,754

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$205,422

Prior meet actual: \$206,425

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$28,613,265

Prior meet actual: \$26,127,913

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$262,507

Prior meet actual: \$253,669

- H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo Regional Commercial Bank, West Covina Office, paid through Paymaster of Purses Account #On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730

Phone: (909) 944-6465

Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,495**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- **Los Alamitos – 850**
 - **SLR Training Center – 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Industry participants are in the process of negotiating the 2017 Stabling and Vanning agreement. The agreement will be filed with the board upon execution.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.95 per day, per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- **Los Alamitos \$180 RT**
 - **SLR Training Center \$360 RT**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
There is a rotation of private veterinarian's onsite during training hours and during racing hours.
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
There is a rotation of private veterinarian's onsite during training hours and during racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS	APPLICABLE RULES
Example Race: \$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information.

B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program.

- \$2PNP6; Last six live races, 70% first share, 15% second share, 15% third share would replace existing \$2PNP6; Last six live races, 70% Carryover, 30% Minor.

C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:

- **Unlimited; final distribution July 4, 2017**

D. List any options requested with regard to exotic wagering: **N/A**

E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30 a.m. to 10:00 a.m.

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Keith Johnson
AmTote International Inc. Expires **August 21, 2020**
11200 Pepper Road
Hunt Valley, MD Phone: **(800) 345-1566**

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE	(EXAMPLE) PNP5-14%
15.43%	W/P/S
20.00%	DD
22.68%	E
14.00%	PNP5
23.68%	TRI; SF; PK3; PK4; PNP6, SuperH5

Santa Anita Park Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		
2	X	X	X	X	X	X	X		*	
3	X	X	X	X	X	X			*	
4	X	X	X	X	X	X	*		*	
5	X	X	X	X	X	X	*		*	
6	X	X	X	X	X	*	*		*	
7	X	X	X	X	*	*	*		*	*
8	X	X	X	X	*	*	*		*	*
9	X	X	X	X	*	*	*		*	*
10	X	X	X	X	*	*	*			*
11	X	X	X	X	*	*	*			*
12	X	X	X	X	*	*				*
13	X	X	X	X	*					*
14	X	X	X	X						*

*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$1 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races each day; No consolation; 100% carryover; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	ARCI 004-105(G)(2)(i) ¹ ; ARCI 004-105(G)(16)(b)(i)	\$2 Pick 6 on the last six live races each day: First share – 70%; Second share – 15%; Third share – 15%.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 in the last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests.

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- | | |
|------------|--------------------------|
| Xpressbet | Watch and Wager.com |
| Twinspires | Lien Games - Bet America |
| TVG | NYRA Bets |

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **To be provided to the board.**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **To be provided to the board.**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Exhibit 12C/F

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

Exhibit 12D/E

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

Exhibit 12D/E

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Exhibit 12C/F

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

- | | |
|------------------|------------------------------------|
| Arlington | Monmouth |
| Assiniboia | Mountaineer Park |
| Australia Racing | Northlands |
| Canterbury | NYRA - Aqueduct, Belmont, Saratoga |

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Striders Norco (mini-satellite)
2895 Hamner Ave.
Norco, CA 92860

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

**2016 Santa Anita Autumn
Commingled Locations**

AmWest Entertainment	Dubuque (Iowa Greyhound)	Mahoning Valley	Seabrook Greyhound
Amwest Accounts	Elite Turf Club (1 to 16)	Maronas (South America)	Sol Mutuel
Greenbrier (WV)	Ellis Park	Maryland Jockey Club	Sol Mutuel - Odds Broker
Riders Up (SD)	Emerald Downs	Maywood	Sol Mutuel - Otrobanda Casino
Time Out Lounge (SD)	Evangeline Downs	Meadowlands	Southland Greyhound
Triple Crown (SD)	Fair Grounds	Meadows The	State Fair (Lincoln, NE)
Arapahoe-Mile High	Fair Grounds ADW	Meadows The (ADW) - PA regional	Suaposta ADW (Brazil)
Arma Race Club	Fair Meadows	Miami Valley Gaming & Racing	Suffolk District OTB
Arlington I	Favorites at Gloucester	Mobile Greyhound	Suffolk Downs
Bangor Raceway	Favorites at Vineland	Monmouth Park	Sunland Park
Batavia	Finger Lakes	Montana OTB	SunRay Park & Casino
Belterra Park	Finger Lakes ADW	Monticello	Tampa Bay Downs
Bettor Racing	Fonner Park	Mountaineer Park	Taunton ADW
Birmingham Greyhound	Freehold	Nassau Regional OTB	Taunton Dog Track Inc.
Borgata Casino	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	The Downs at Albuquerque
Buffalo Raceway	Global Wagering Solutions	Newport Jai Alai	Thistledown
Canterbury Park	Betsson, Planetwin, Big Bet World	New Jersey ADW (4NJBets)	Tioga Downs
Capital District OTB	Racebets, Intl Betting Assoc	Northfield Park	Tri-State GH (Mardi Gras)
Catskills OTB	Magna Bet, Pferdewetten, Dantoto		Turf Paradise
Charles Town Race Course	Stan James, Boylesports	Northville Downs	Turf Paradise Bets (ADW)
Chester Downs (Harrah's Philly)	Intelligent Gaming; Ladbrokes Australia	NYRA	Turfway Park
Churchill Downs	Greenetrack	NYRA ADW	TVG
Columbus Raceway	Gulf Greyhound	Oaklawn Park	TVG Chester
Connecticut OTB	Gulfstream Park	Oaklawn Park ADW	TVG High Volume
Bradley Teletheater, Bristol	Harrington Raceway	Ocean Downs	TVG Prairie
New Britain, Norwalk, Milford	HarringtonBets ADW (DE regional)	Panama	Twin River Greyhound
East Haven, Hartford, Putnam	Hawthorne Race Course	PariBet ADW	TwinSpires
Shoreline Star, Sports Haven	Hawthorne ADW	PARX	TwinSpires High Volume
Torrington, Waterbury	Hazel Park	PARX ADW	Velocity Wagering Ltd.
Manchester, New London	Hinsdale	Penn National	Venezuela OTB
Willimantic, Sanford OTB	Hoosier Park	Penn National ADW	Vernon Downs
John Martin's Manor Restaurant	Horseman's Park	Peru	Virginia Equine Alliance
Connecticut OTB ADW	Idabet	Plainridge Race Course	WatchandWager
Coushatta Casino	Indiana Downs	Plainridge ADW	Western OTB
Paragon Casino	Clarksville/Evansville OTB	Player Management Group	Wheeling Downs
Ho-Chunk Casino and Racebook	Intermountain Racing	Pocono Downs ADW	Will Rogers Downs
Mohegan Sun Casino	Jockey Club Pernambuco (Brazil)	Pocono Downs/OTB	Wyoming OTB
Onelda Bingo and Casino	Keeneland	Portland Meadows	Wyoming Downs
Pony Bar Simulcast Center	Keeneland Select ADW	Potawatomi Casino/ OTB	XpressBet
Tote Investment Racing	Kentucky Downs	Prairie Meadows	Xpressbet Illinois
Randall James Racetrack	Kentucky OTB	Premier Turf Club	Yonkers Raceway
Millenium Racing	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW (EmpireCityBets)
Royal Beach Casino	Lewiston OTB's	Remington Park	Youbet Group 1
Divi Carina Bay Casino	Lien Games	Remington OTB Network	Zia Park
Winner's Circle	Chips Lounge/Rumors OTB	Retama Park	123Gaming
Camouflage Gaming	Howard Johnsons OTB	Racing & Gaming Services	
Corpus Christi Greyhound	Skydancer Casino OTB	Rillito Park	Separate Pool Locations
Dayton Raceway	Lien Games ADW	Rockingham Park	Camarero (Puerto Rico)
Delaware Park	BetAmerica/Offtrackbetting ADW	Rockingham Park ADW	Caymanas (Jamaica)
Delta Downs	Lincoln Gaming (Mexico)	Ruidoso Downs	Codere (Mexico/Spain)
Dover Downs	Lone Star	Running Aces Harness Park	MIR Books (Caliente)
	Louisiana Downs	Sam Houston	NDS Books (Nevada)
	LVDC	Valley Greyhound Park	PMU (Brazil)
	Atlantis Paradise Casino	Saratoga Harness Raceway	Tabcorp (Australia)
	Buffalo Thunder Resort	Saratoga Bets (ADW)	Tattsbet (Australia)
	Foxwoods Resort Casino	Scarborough Downs	New Zealand Racing Board
	Meskwaki Bingo & Casino	Scioto Downs	

Canadian Locations

Assiniboia, Barrie, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carleton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Western Fair, Woodbine, Xpressbet Southern Alberta

Churchill Downs	Penn National
Delaware Park	Philadelphia Park (Parx)
Delta Downs	Pimlico
Ellis Park	Prairie Meadows
Emerald	Presque Isle
Evangeline	River Downs
Fort Erie	South America Racing
Gulfstream	Suffolk Downs
Hastings Park	Sunray Park
Indiana Downs	Tampa Bay
Keeneland	Thistledown
Laurel	Turf Paradise
Lone Star	Turfway Park
Louisiana Downs	United Kingdom
Mahoning Valley	Woodbine

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Los Alamitos Per CHRB calendar Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written

approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Board of Directors
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
- B. Names and addresses of the trustees or directors of the distributing agent:
Frank DeMarco, Jr., Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Angel Lopez
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Karen Denovel, Randy Valdez
Placing Judges	Bob Moreno, Ed Reese, Tony Dominguez
Starter	Jay Slender
Timer	Dane Nelson

B. Management officials in the racing department:

VP-Racing	Rick Hammerle
Racing Secretary	Rick Hammerle
Assistant Racing Secretary	Zac Soto
Paymaster of Purses	Bridget Crawford
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Ms. Barbara Weinstein
9582 Hamilton Ave., Suite 265
Huntington Beach, CA 92626 **Phone: (714) 964-7102**

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

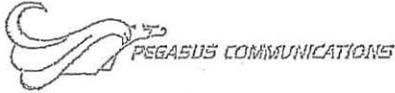
Plusmic Corporation USA - Bill O'Brien **Expires: December 31, 2017**

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President **Contract term: through July 31, 2020**
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436 **Phone: 818/907-1900**
Major video and camera equipment listing attached, Exhibit 14E.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Owner/Trainer parking lot (E Lot)
 (2) Avid HD graphics systems with Pegasus DataLinks interface software
 (1) GVG Karrera 4ME Switcher
 (1) Router: Blackmagic Design Universal Video Hub
 (1) Summit clip store
 (2) ZPlay HD video recorders
 (1) 3play 820 HD video recorder
 (1) Sony DigiBeta deck
 (1) Audio Calrec Board: 120 input
 (1) Trakus computer (owned by Trakus)

Camera locations and equipment description

1. Pan camera 1: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
2. Pan camera 2: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
3. Dirt 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
4. Turf 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
5. Dirt 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
6. Turf 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
7. 1/4 pole tower: HD Sony HXC 100 triax chain, CANON 33X LENS
8. Turf Hill tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
9. Tote board camera: HD Sony HXC 100 triax chain, HD CANON 20X LENS
10. Walking Ring (WR) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
11. Walking Ring Tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
12. Make & break (WR/WC): HD Sony HXC 100 triax chain, HD CANON 20X LENS (HRTV use)
13. Winner's Circle (WC) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
14. Make & break (WR/WC): HD Sony HXC 100 triax chain, CANON HD 20X LENS
15. RF Gate camera: Wireless HD JVC Camera System, 16X LENS
16. Tunnel camera: HD Pan Tilt Zoom (PTZ)
17. Saddling Barn West camera: HD PTZ
18. Saddling Barn East camera: HD PTZ
19. Saddling Barn: HD Fixed
20. Saddling Barn: HD Fixed

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	36 Security Guards
1 Secretary	1 Fire Marshall
1 Stable Security Manager	13 Gatemen
4 Investigators	1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

Attached (Exhibit 15B)

2. Detention Barns:

a. Attach a plan for use of graded stakes or overnight races.

Attached (Exhibit 15B)

b. Number of security guards in the detention barn area during a 24-hour period.

Four Security Guards

c. Describe number and location of surveillance cameras in detention barn area.

Attached (Exhibit 15B)

3. TCO2 Testing:

a. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

b. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

d. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

C. Describe the electronic security system:

Attached (Exhibit 15B)

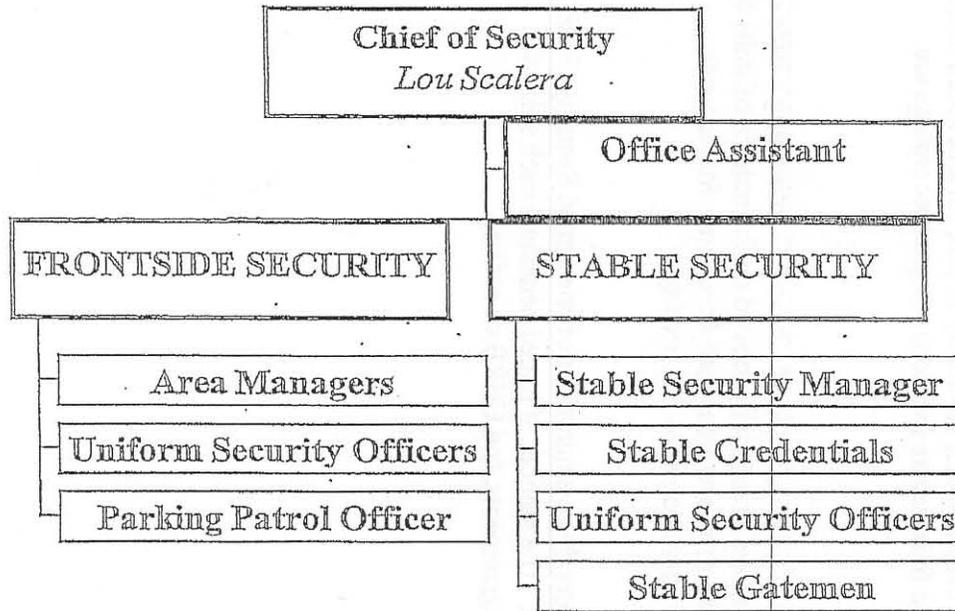
1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 15B). **In addition to Exhibit 15B, Santa Anita Park has installed additional surveillance cameras to the backside and "gap," approximately 1,000.**

D. For night racing associations. Describe emergency lighting system: N/A

SANTA ANITA PARK

Security Live Racing



STAFF
LEVELS
INCLUDE
Y &
EHT
ETS

SECURITY

Exhibit 15A

Exhibit 15BDETENTION BARN PLAN

(June, 2016)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

AmbuServe, Inc.

Wendal Smith

15105 S. Broadway Ave., Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 16A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit on file.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos: Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center: Richard F. Roesch, President

Mercy Medical Transportation Inc.

2537 Old San Pasqual Rd.

Escondido, CA 92027

Phone: (760) 525-2688

1. Attach a certification from the ambulance service(s) listed in 16B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Los Alamitos:** Staff by EMT's with support from Orange Cty. Fire-paramedics. Letter attached.
- **SLR Training Center:** On track ambulance includes two paramedics. Letter attached.

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race

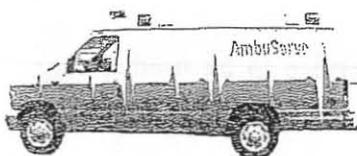
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

Dr. Salvador Sotomayor

Phone: (888) 349-8944

15771 Del Prado Drive

Hacienda Heights, CA 91740



AmbuServe
Ambulance

Exhibit 16A

June 10, 2016

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

AmbuServe, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales
Paramedic Coordinator

Exhibit 16B

MERCY*Medical
Transportation
Inc.*

ADMINISTRTION
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

June 16, 2016

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W Huntington Drive

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,

Douglas Moriarty

Douglas Moriarty
Vice President of Operations

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

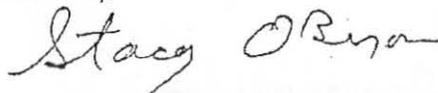
June 16, 2016

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007 **Phone: (626) 445-4441**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007 **Phone: (626) 445-4441**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Exhibit 16F

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Lou Scalera, Chief of Security

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Exhibit 16H

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Liberty Mutual Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WC2 B71-170912-016 Expires 6/30/2017

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Exhibit 16J

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.



EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trakside Human Ambulance “Unit 41 Paramedics” which is manned by LATC-contracted Paramedics and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending Paramedics shall arrive equipped with advance cardiac life support (ACLS) and full C-spine equipment.
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41 Paramedics.



“ON-SITE EVALUATION”

- Based on the Paramedics “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to the hospital.
 - If on-site treatment is deemed sufficient, Unit 41 Paramedics shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 Paramedics will contact the Association Gate (channel 1) and have them call the 911 Arcadia Fire Department.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical evaluation;
 - If deemed necessary the Track Physician will contact the Association Gate to call the 911 Arcadia Fire Department to transport the injured jockey(s) to the hospital.
 - Or should the Track Physician deem the rider clear to ride he may then return to the Jockeys’ Room or be taken off his mounts for the remainder of the day.
 - If more than two jockeys need to be transported to the hospital, Arcadia Fire



PROCEDIMIENTO MEDICO de EMERGENCIAS PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “41Paramedicos” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (ACLS), AED, y equipo para la espina dorsal.
- Una evaluacion de las heridas al jinete seran hechas en el sitio del accidente por la 41Paramedicos.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- Despues del accidente, es la decision de los paramedicos si el jinete va estar transportado al hospital o al la oficina de First Aid.
 - Si el tratamiento es suficiente en el sitio del accidente, el 41 Paramedicos transportara al jinete directamente a la oficina de First Aid donde el doctor del tracke sera el doctor.
 - Si se cree que es necesario el 41 Paramedicos se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Cuidad de Arcadia 911.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de First Aid, el doctor del tracke sera el doctor al no ser que el doctor del tracke entregue custodia del paciente basado en su opinion medico;
 - Si se cree necesario el doctor de tracke se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Cuidad de Arcadia 911.
 - Si el doctor de tracke dise que el jinete esta libre para montar or que va faltar de sus montas.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al



City of Arcadia

Fire Department

Michael Lang
Fire Chief

September 13, 2016

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2016-2017 Main Meet)

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2016-2017 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2016-2017 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
Joe Morris, Senior Vice President
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.



Certificate of Insurance

No.: 2016-126-ANITA

Dated: July 04, 2016

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
---	--

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-14	Jun 30, 2016 to Jun 30, 2017	Each Occurrence	USD 2,000,000
				Products-Completed	USD 2,000,000
				Operations Aggregate	
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-016	Jun 30, 2016 to Jun 30, 2017	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 416-868-2123 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Stephanie Wong
---	--

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
 Tiffany Bohland
 6288 Highland Meadows Drive
 Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)
 % Don Harris
 924 Knob Hill Avenue
 Redondo Beach, CA 90277-4538

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)
 c/o Harry Acquarelli
 4615 Trieste Drive
 Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)
 Jason Karches
 2080 Las Palmas Drive
 Carlsbad, CA 92009

Triangle Turf Winners (CHRB-25A and CHRB-87 on file with CHRB.)
 William Archer
 1 Scott Street
 Gardnerville, NY 89410

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting:
- B. Promotional/ Marketing budget for this race meeting: **To be provided to the board.**
 Promotional/Marketing budget for prior race meeting: **On file with the board.**
- C. Number of hosts and hostesses employed for meeting:

- 10* - Group Sales handicapping aides to assist newcomers
- 11* - Food and Beverage hostess's (11 weekends, 4 weekdays)
- 16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

*Estimates

- D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in

Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen: Installed video surveillance in the backside and “gap”
2. Fans
3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$5.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Club House Admission	\$10.00	
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$5.00	Sold on premium days only.
CH Box Seat	\$10.00	Non-Premium Days
	\$30.00	Premium Days
Parking (general)	\$4.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Parking (valet)	\$10.00	
Programs (on-track)	\$2.50	
Programs (off-track)	\$2.50	

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Annual Season Box 2017:

Seating for Winter/Spring & Autumn Meets: Price includes CH admission

Finish Line Box:	4 Seats	\$5,250
	5 Seats	\$6,500
	6 Seats	\$8,000
Premium Box:	4 Seats	\$3,000
	5 Seats	\$3,750
	6 Seats	\$4,800

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | | |
|-------------------------------------|--------------------------------|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many | <input style="width: 50px;" type="text" value="40+"/> |
| <input checked="" type="checkbox"/> | Showers | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur | <input checked="" type="checkbox"/> | Food/beverage service |
| | | <input checked="" type="checkbox"/> | Lounge area |
| | | <input checked="" type="checkbox"/> | Certified platform scale |

Note: Jockeys Room has been painted and refurbished throughout entire facility.

B. Describe the quarters to be used for female jockeys/drivers:
Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **445**
- C. Number of restrooms available on the backstretch of the racetrack:
29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.
- C. The percent of cross slope in the straight-aways is: **2%**
 The percent of cross slope in the center of the turns is: **5.75%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Andy LaRocco, Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in

Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions.**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.
To be forwarded to board upon execution.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

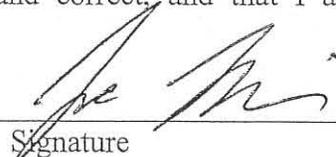
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris

 Print Name



 Signature

Senior Vice President West Coast Operations

 Print Title

10-6-16

 Date

STAFF ANALYSIS
October 20, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS DECEMBER 21, 2016 THROUGH JUNE 20, 2017.

Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

- Note: The requested dates have not yet been allocated by the Board.
- December 21, 2016 through June 20, 2017, or 100 days, three days more than 2016. Live racing will commence December 26, 2016 (Opening Day) through June 18, 2017. The association proposes to race a total of 816 to 1,027 races, or 8.16 to 10.27 races per day. In 2016 PRA raced 8.32 races per day with an average of 6.78 runners per race during the 2016 winter/spring meet. The (actual) average daily purse for the 2016 winter/spring race meeting was \$156,627. The (estimated) average daily purse for this meet is \$157,235. The PRA application is incomplete the pari-mutuel wagering program, purse program, and promotional marketing section of the application are unfinished. CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting, requires a race meet application to be submitted at least 90 days before the time allocated by the Board for a race meeting to start. PRA's application was due September 27, 2016, and was received October 6, 2016.
- The race dates proposed are the dates the Board allocated.

December - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

January - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

February - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				

March - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

April - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

May - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

June - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

- Racing 4 days per week. Thursday through Sunday, with the exception of Monday's, December 26, 2016, January 2 and 16, February 20 and May 29, 2017. Racing 7 to 9 races on weekdays, 9 to 11 races on weekends, and 8 to 11 races on holidays with the following exceptions: Friday, May 5 and May 19 will have 8 to 10 races; Saturday, May 6, 20, June 10 and Sunday, June 18, 2017 will have 9 to 12 races.
- First post 12:45 p.m. daily with the following exceptions:
 - Weekends beginning May 6, 2017 – 1:15p.m.
 - Saturday, December 26, 2016 (Opening Day) – 12:15p.m.
 - Sunday, February 5, 2017 (Super Bowl) – 11:15a.m.
 - Saturday, May 6, 2017 (Kentucky Derby) – 11:45 a.m.
 - Saturday, May 20, 2017 (Preakness) – 11:45 a.m.
 - Monday, May 29, 2017 (Memorial Day) – 12:45 p.m.
 - Saturday, June 10, 2017 (Belmont Stakes) – 11:45 a.m.
- Wagering program information was not submitted.
 - Advance wagering will be offered Friday, May 5, 2017 for the Kentucky Derby; Friday, May 19, 2017 for the Preakness; and on Friday, June 9, 2017 for the Belmont Stakes.

Stable Accommodations

- Number of usable stalls for racehorses at the track – 1,500
- Minimum number of stalls necessary for race meeting – 1,500
- Total number of stalls made available at auxiliary site December 2016 through mid-April 2017– 0
- Total number of stalls made available at the (Alameda County Fair) auxiliary site mid-April through June 2017- 350
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpines, TVG, Bet America, Watch and Wager.com and NYRA Bets [NYRA Bets not yet been approved by the Board].

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604, *except* the horsemen's approval:

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba Twinpires.
- Lien Games Racing LLC, dba Bet America.
- Watch and Wager.com LLC

Monarch Content Management, LLC (MCM), has shared that the contracts with the abovementioned ADW providers are still in effect. Each ADW provider listed above has an agreement with language providing: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect" through section 16 of the simulcast agreement.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. Thoroughbred Owners of California agreement.
2. California Thoroughbred Trainers agreement.
3. Pertinent 2017 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated Advance Deposit

Wagering providers to accept wagers on races conducted in an outside of California for the applied license term.

4. Horsemen approval of Advance Deposit Wagering.
5. Incomplete Purse Program section.
6. Incomplete Stable Accommodations section.
7. Incomplete Pari-mutuel Wagering Program section.
8. Westmed Ambulance certification [expires December 16, 2016].

Specific information which will need **updating** during the proposed race dates:

1. Berkeley fire clearance (expires April 21, 2017).
2. Albany fire clearance (expires January 16, 2017).

RECOMMENDATION:

Staff recommends that the application not be heard at the October 20, 2016 Regular Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Pacific Racing Association
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
calvin.rainey@goldengatefields.com

B. Breed of horse: TB QH H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 21, 2016 through June 20, 2017**

B. Actual dates racing will be held: **December 26, 29-31; January 1-2, 5-8, 12-15, 16, 20-22, 26-29; February 2-5, 9-12, 16-19, 20, 24-26; March 2-5, 9-12, 16-19, 23-26, 30-31; April 1-2, 6-9, 14-16, 21-23, 27-30; May 4-7, 11-14, 18-21, 25-28, 29; June 2-4, 8-11, and 15-18.**

CHRB CERTIFICATION

Application received: 10/6/16
Deposit received: on file
Reviewed: [Signature]

Hearing date:
Approved date:
License number:

- C. Total number of days or nights of racing: **100 days**
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays

Exceptions: Racing on Monday, 12/26/16, 1/2/17, 1/16/17, 2/20/16, and 5/29/17.

Dark days: Thursdays 1/19, 2/23, 4/13, 4/20, and 6/1

- E. Number of days or nights of racing per week: **4 days per week**

3. RACING PROGRAM

- A. Total number of races: **Estimated: Between 816 and 1,027 races**

- B. Number of races for each day or night:

- 7 – 9 races on weekdays
- 9 – 11 races on weekends
- 8 – 11 races on holidays
- **Exceptions:**
 - Friday, May 5th will have 8 – 10 races
 - Saturday, May 6th will have 9 – 12 races
 - Friday, May 19th will have 8 – 10 races
 - Saturday, May 20th will have 9 – 12 races
 - Saturday, June 10th will have 9 – 12 races
 - Sunday, June 18th will have 9 – 12 races

- C. Total number of stakes races: **12 (7 Non-Overnight and 5 Overnight)**

- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**

- E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

PRA/GGF WINTER-SPRING 2017

(December 26 – June 18, 2017)

STAKES SCHEDULE

CALIFORNIA DERBY

\$100,000 Guaranteed
3yo – 1 1/16 Miles
Saturday, January 21

CALIFORNIA OAKS

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies 3yo – 1 1/16 Miles
Saturday, February 11

EL CAMINO REAL DERBY GIII

\$200,000 Guaranteed
3yo--1 1/8 Miles
Saturday, February 18

LOST IN THE FOG

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 20

CAMILLA URSO

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 18

SF MILE GIII

\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 29

CAMPANILE (Golden State Series)

\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED Fillies 3yo -1 Mile Turf
Sunday, April 30

PRA/GGF WINTER-SPRING 2016

(December 26 – June 12, 2016)

STAKES SCHEDULE

CALIFORNIA DERBY

\$100,000 Guaranteed
3yo – 1 1/16 Miles
Saturday, January 16

CALIFORNIA OAKS

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies 3yo – 1 1/16 Miles
Saturday, February 6

EL CAMINO REAL DERBY GIII

\$200,000 Guaranteed
3yo—1 1/8 Miles
Saturday, February 13

LOST IN THE FOG

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 15

CAMILLA URSO

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 19

SF MILE GIII

\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 30

CAMPANILE (Golden State Series)

\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED Fillies 3yo -1 Mile Turf
Sunday, May 1

PRA/GGF WINTER-SPRING 2015

(December 26 – June 14, 2015)

STAKES SCHEDULE

CALIFORNIA DERBY

\$100,000 Guaranteed
3yo– 1 1/16 Miles
Saturday, January 17

CALIFORNIA OAKS

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies 3yo – 1 1/16 Miles
Saturday, February 7

EL CAMINO REAL DERBY GIII

\$200,000 Guaranteed
3yo—1 1/8 Miles
Saturday, February 14

LOST IN THE FOG

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 16

CAMILLA URSO

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 14

SF MILE GIII

\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 25

CAMPANILE (Golden State Series)

\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED Fillies 3yo -1 Mile Turf
Sunday, April 26

SILKY SULLIVAN (Golden State Series)

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo -1 Mile Turf

Sunday, April 30

GOLDEN POPPY

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies & Mares 3yo & up -1 1/16 Turf

Saturday, May 13

ALCATRAZ

\$75,000 Guaranteed

3yo- 1 Mile Turf

Sunday, May 14

ALL AMERICAN GIII

\$100,000 Guaranteed

3yo & up - 1 Mile

Monday, May 29

ALBANY

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up -5 Furlongs Turf

Saturday, June 10

SILKY SULLIVAN (Golden State Series)

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo -1 Mile Turf

Sunday, May 1

GOLDEN POPPY

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies & Mares 3yo & up -1 1/16 Turf

Saturday, May 14

ALCATRAZ

\$75,000 Guaranteed

3yo- 1 Mile Turf

Sunday, May 15

ALL AMERICAN GIII

\$100,000 Guaranteed

3yo & up - 1 Mile

Monday, May 30

ALBANY

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up -5 Furlongs Turf

Saturday, June 11

SILKY SULLIVAN (Golden State Series)

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo -1 Mile Turf

Sunday, April 26

GOLDEN POPPY

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies & Mares 3yo & up -1 1/16 Turf

Saturday, May 9

ALCATRAZ

\$75,000 Guaranteed

3yo - 1 Mile Turf

Sunday, May 10

ALL AMERICAN GIII

\$100,000 Guaranteed

3yo and up - 1 Mile

Monday, May 25

ALBANY

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up -5 Furlongs Turf

Saturday, June 13

F. List all post times for the daily racing program:

Race	All weekdays during the meet, and all weekends between December 26, 2016 – April 30, 2017	Race	All weekends beginning May 6, 2017
1st	12:45 p.m.	1st	1:15 p.m.
2nd	1:15 p.m.	2nd	1:45 p.m.
3rd	1:45 p.m.	3rd	2:15 p.m.
4th	2:15 p.m.	4th	2:45 p.m.
5th	2:45 p.m.	5th	3:15 p.m.
6th	3:15 p.m.	6th	3:45 p.m.
7th	3:45 p.m.	7th	4:15 p.m.
8th	4:15 p.m.	8th	4:45 p.m.
9th	4:45 p.m.	9th	5:15 p.m.
10 th (when applicable)	5:15 p.m.	10 th (when applicable)	5:45 p.m.

Exceptions:

Saturday, December 26, 2016	Opening Day	12:15 p.m.
Sunday, February 5, 2017	Super Bowl	11:15 a.m.
Saturday, May 6, 2017	Kentucky Derby	11:45 a.m.
Saturday, May 20, 2017	Preakness	11:45 a.m.
Monday, May 29, 2017	Memorial	12:45 p.m.
Saturday, June 10, 2017	Belmont Stakes	11:45 a.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Keith Brackpool, Chairman (Officer), 0 Shares
Joe Morris, Senior Vice President West Coast Operations (Officer), 0 Shares
Calvin Rainey, Vice President & General Manager (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Gina Lavo, Vice President, Finance (Officer), 0 Shares
Sheila Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc.**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
 - A. Is parent and/or paired entity either a publically traded or privately held company that

guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with Board; see attached financial letter**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
Keith Brackpool, Chairman
Joe Morris, Senior Vice President West Coast Operations
Calvin J. Rainey, Vice President and General Manager

- Mike Rogers, Vice President, Operations
- Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
- Gina Lavo, Vice President, Finance
- Sheila Jane Lynn, Assistant Secretary
- Merry Scalzo, Director of Administration
- TBD, Director of Hospitality and Sales
- Doug Gooby, Mutuel Manager
- Joseph Rakowski, Marketing/Publicity Manager
- Bob Hemmer, Director of Operations
- Dan Cirimele, Senior Director of Marketing
- Marciano "Rocky" Alado, Public Safety Director
- Frank Mirahmadi, Announcer
- Juan Meza, Track Superintendent
- Steve Martinelli, Price Maker
- William Vassar, Track Photographer
- David Seftel, M.D., Track Physician

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin J. Rainey
Vice President and General Manager
 1100 Eastshore Hwy
 Berkeley, CA 94710
calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**
Daily Double **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **TOC approval letters attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

Golden Gate Fields

September 16, 2016

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA Winter/Spring race meet, December 26, 2016 through June 18, 2017.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a takeout rate of 20%.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey
Vice President & General Manager

cc: Joe Morris



September 16, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2016-17 Winter-Spring Race Meet

Dear Ms. Wagner,

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2016 – June 20, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

EXECUTIVE STAFF

GREG A VIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
JENNIFER SAAVEDRA
BOOKKEEPER

Sincerely,

Greg Avioli
President & CEO

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey
Mary Scalzo

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



September 16, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2016-17 Winter-Spring Race Meet

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 26, 2016 through June 20, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
JENNIFER SAAVEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626- 821-1515
WWW.TOCONLINE.COM

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2016 (12/26/15-6/12/16)	\$ 36,428,245.30	177,602 (97 live race days)
2015 (12/26/14-6/14/15)	\$ 38,306,734.90	213,319 (98 live race days)
2014 (12/26/13-6/15/14)	\$ 40,229,270.40	218,081 (99 live race days)
2013 (12/26/12-6/16/13)	\$ 42,525,802.30	244,267 (101 live race days)
2012 (12/26/11-6/17/12)	\$ 43,676,090.90	252,968 (101 live race days)

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: *Prior meet represents December 26, 2015 through June 14, 2016 (97 race days)*

1. All races other than stakes:

Current meet estimate: \$ 13,243,625.00

Prior meet actual: \$ 12,673,492.00

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$ 131,125.00

Prior meet actual: \$ 130,654.56

2. Overnight stakes:

Current meet estimate: \$ 250,000.00

Prior meet actual: \$ 250,000.00

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$ 2,475.25

Prior meet actual: \$ 2,577.32

3. Non-overnight stakes:

Current meet estimate: \$ 675,000.00 (Doesn't include \$100,000.00 CMC/CBRF supplement)

Prior meet actual: \$ 583,200.00

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$ 6,683.17

Prior meet actual: \$ 6,012.37

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 14,168,625.00

Prior meet actual: \$ 13,506,692.00

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: **10.00%**
 Prior meet actual: **9.44%**

Average Daily Purse (7 B1 ÷ number of days):

- Current meet estimate: **0.099%**
 Prior meet actual: **0.097%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

- Current meet estimate: **\$ 1,204,088.00**
 Prior meet actual: **\$ 1,182,442.81**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
TOC (NTRA contribution)	\$ 209,209.68	TOC (NTRA contribution)	\$ 207,418.88
TOC	\$ 119,548.39	TOC	\$ 118,525.07
CTT (Administration)	\$ 59,774.19	CTT (Administration)	\$ 59,262.54
CTT (Pension)	\$ <u>119,548.39</u>	CTT (Pension)	\$ <u>118,525.07</u>
Total	\$ 508,080.65	Total	\$ 503,731.56

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

- Current meet estimate: **\$ 15,880,793.65**
 Prior meet actual: **\$ 15,192,866.37**

Average Daily Purse (7 E ÷ number of days):

- Current meet estimate: **\$ 157,235.58**
 Prior meet actual: **\$ 156,627.49**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

- Current meet estimate: **\$ 10,094,534.00**
 Prior meet actual: **\$ 9,955,988.48**

Average Daily Purse (7 F ÷ number of days):

- Current meet estimate: **\$ 99,945.88**
 Prior meet actual: **\$ 102,639.06**

G. Purse funds to be generated from interstate handle:

- Current meet estimate: **\$ 3,930,436.00**
 Prior meet actual: **\$ 3,933,531.46**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ **38,915.21**

Prior meet actual: \$ **40,551.87**

- H. Bank and account number for the Paymaster of Purses' purse account: **On File**
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1500**
- B. Minimum number of stalls believed necessary for the meeting: **1500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **0 (December through mid-April); 350 (mid-April – June)**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Alameda County Fair ("Pleasanton") will provide 350 stalls (mid-April through June)**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Will forward to Board upon receipt

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$ _____ (estimated cost) Stabling cost per day per stall: \$ _____
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
\$ _____ (estimated cost) Vanning cost per horse (estimated): \$75.00

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **June 18, 2017 for the period of December 26, 2016 through June 18, 2016**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

Advance wagering will be offered Friday, May 5, 2017 for the Kentucky Derby, Friday, May 19, 2017 for the Preakness, and on Friday, June 9, 2017 for the Belmont Stakes

***PRA/GOLDEN GATE FIELDS - PLEASANTON (AUXILIARY SITE)
EQUINE EMERGENCY SERVICES***

**Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Fridays	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

2.	<u>PLEASANTON (auxiliary site) VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM Only – TBD
	Fridays:	AM Only – TBD
	Saturdays:	AM Only – TBD
	Sundays:	AM Only - TBD

TBD – Rotating schedule amongst list from exhibit A

***PRA/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES***

**Attachment to PRA / Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Fridays	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

TBD – Rotating schedule amongst list from exhibit A

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

WPS-15.43%; DD-20.00%; E-22.68%; PK3-23.68%; TRI-23.68%; SF-23.68%; PNP4-23.68%; PNP5-14.00%; PN6-23.68%; Super H5-23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet**
- TwinSpires**
- TVG**
- Bet America (Lien Games)**
- WatchandWager.com, LLC**
- NYRA Bets**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

Will be forwarded to the board upon receipt.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Will forward to Board upon receipt.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the

organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Agreement on file with the Board; NCOTWINC renewal letter attached

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

- Alameda County Fair, Pleasanton**
- Big Fresno Fair, Fresno**
- CA State Fair & Exposition, Sacramento**
- Club One, Fresno**
- Humboldt County Fair, Ferndale**
- Monterey County Fair, Monterey**
- San Joaquin County Fair, Stockton**
- Santa Clara County Fair, San Jose**
- Shasta District Fair, Anderson**
- Solano County Fair, Vallejo**
- Sonoma County Fair, Santa Rosa**
- Stanislaus County Fair, Turlock**
- The Jockey Club, San Mateo**

Southern California

- Antelope Valley Fair, Lancaster**
- Cabazon Fantasy Springs Casino, Indio**
- Surfside Race Place at Del Mar, Del Mar**
- Derby Club at Seaside Park, Ventura**
- Fairplex Park, Pomona**
- Hollywood Park, Inglewood**
- Los Alamitos Racecourse, Los Alamitos**
- National Orange Show, San Bernardino**
- San Bernardino County Fair, Victorville**
- Santa Anita Park, Arcadia**
- Sports Pavilion Farmers Fair, Perris**
- Sycuan Resort & Casino, El Cajon**
- Viejas Casino & Turf Club, Alpine**

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

2017 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards



September 14, 2016

Mr. Rick Baedeker, Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95828

Dear Rick,

This "Letter of Agreement" serves to confirm the understanding that the NCOTWINC contract dated April 24, 2008, will cover the race dates December 26, 2016 through June 20, 2017 being run by Pacific Racing Association at Golden Gate Fields.

It is further understood and agreed that all terms and conditions of the aforementioned contract of April 2008, shall be in force and effect during the race meet being run December 26, 2016 through June 20, 2017.

Sincerely,

A handwritten signature in cursive script that reads "Bryan Wayte".

Bryan Wayte, General Manager NCOTWINC

Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Lake Forest; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield, Tilted Kilt, Thousand Oaks, Sahara Dunes Casino dba Lake Elsinore Hotel and Casino, Lake Elsinore, and Race & Sports Authority LLC, Bonita, Striders Norco, Norco; Striders Norco, San Diego.**

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	XpressBet
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Paragon Casino	Lewiston OTB's	Raceway Park	Yobet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (Callente)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assinibola, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

None

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	Sara Sporer, D.V.M (Track Veterinarian) Forrest Franklin, D.V.M. (CHRB Veterinarian) Diane Isbell, D.V.M. (Examining Veterinarian)
Clerk of Scales	Ken Sjordal
Assistant Clerk of Scales	Ross Allardyce
Clerk of the Course	Tina Walker-Bryant
Paddock Judge/Film Specialist	Linda Anderson
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Butch Fyellingness
Patrol Judge/Placing Judge	John Leon
Patrol Judge/Steward's Aide	Tammy McDuffie-Morris
Placing Judge/Patrol Judge	Lisa Jones
Starter	Todd Stephens
Timer	Jelina Pike

B. Management officials in the racing department:

Director of Racing	Patrick Mackey
Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Patricia Prospero & Claudia Dominguez

Others (identify by name and title)

Main Track Superintendent	Juan Meza
Turf Track Superintendent	Bob Hemmer
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett
Steward's Secretary/Horsemen Liaison	Marci Knight

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2016; will forward renewal contract to Board upon receipt**

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract on file with Board; Expires June 2021. Contract on file with Board.**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

**Attachment to PRA / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

- 7/8 Pole
- 3/8 Pole
- 1/4 Pole
- Paddock
- Paddock (Gliding)
- (2) Pan Cameras on Roof
- Jib camera in Paddock
- Starting Gate (Robo)
- Parking Lot (Robo-scenic)

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Marciano "Rocky" Alado, Public Safety Director is responsible for security on-site

Security Department organizational attached

Security Department phone number (510) 559-7370

Stable Security phone number (510) 542-4235 or (510) 559-7525

Marciano "Rocky" Alado cell phone number (510) 499-9364

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Public Safety Director 7 – Security Corporals/Guards

2 – Security Lieutenant 8 – Stable Security Officers

4 – Security Sergeants 5 – Stable Gate Personnel

1 – Stable Security Sergeant 5 – Fire Guards

1 – Fire Guard Lieutenant

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over

24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

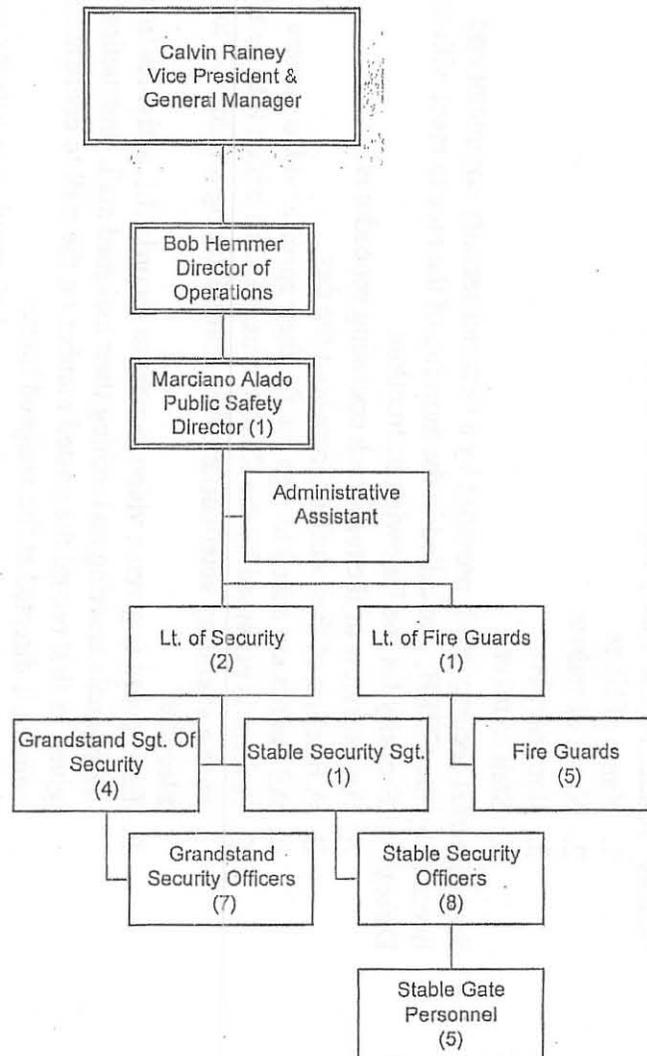
Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

PACIFIC RACING ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 15A



Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to Marciano "Rocky" Alado, Public Safety Director which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

**Attachment to Golden Gate Fields License Application
15B:**

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616
(mid-April through June)**

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Attached– Renewal certificate will be forwarded to Board upon receipt

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 18, 2016

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2017

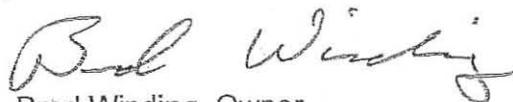
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 18, 2016

Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2017 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

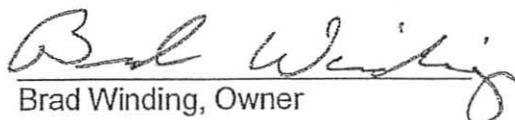
ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS

 9/2/16

Calvin Rainey, Vice President & General Manager

TURF RESCUE, LLC


Brad Winding, Owner

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

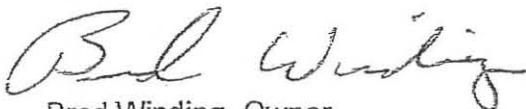
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

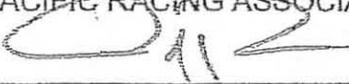
Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

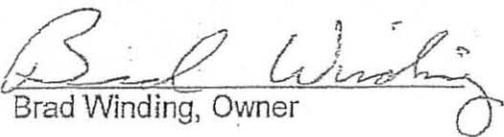
ACCEPTED:

~~PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS~~



Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC



Brad Winding, Owner



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from December 17, 2014 to December 16, 2016

for BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT

Fred Clardor
EMS Director

Karl Spomer, MD
Alameda County EMS Medical Director

An embossed seal should appear in a grey box



DESCRIPTION OF GGF MEDICAL CLINIC
(LIVE RACING)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st Street, Oakland, CA 94602 (510) 437-4865
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Environmental, Health & Safety
Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Berkeley fire clearance letter attached valid through April 20, 2017 - Attached
Albany fire clearance letter attached valid through January 16, 2017 - Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-016 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI attached valid through June 30, 2017 - Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Tip Sheets

West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510



EMERGENCY MEDICAL PROCEDURES -LIVE RACING- FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS-

PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar a una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS RACE TRACK EMERGENCY MEDICAL PROTOCOL

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 14 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

TURF RESCUE AMBULANCE SERVICE

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening – Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

SHIFT PROCEDURES

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

TRAINING HOURS (A.M. SHIFT)

PLACEMENT OF AMBULANCE: Horsemen's Café in barn area (close proximity to race track and Gap gate).

FALLEN JOCKEY/RIDER

If there is a loose horse on the track, that indicates that the jockey/rider has fallen of his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.

LIVE RACING (P.M. SHIFT)

PLACEMENT OF AMBULANCE: Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

FALLEN JOCKEY: It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

CAUTION: Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

NOTE: The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)

The track physician at Golden Gate Fields provides a comprehensive set of services **pre, intra and post** daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

Pre-racing period

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.
5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that

include blood testing and drug testing as proscribed by the California Horse Racing Board.

6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

Intra-racing period

1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital – Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.
14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

Post-racing period

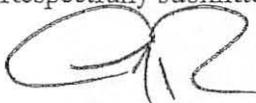
1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
3. In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

Dr. Seftel's Recommendations for Optimal Jockey Trackside Care

1. With regard to optimal accident responsiveness and care the best approach features trained professionals working in close collaboration with track security.
2. Ambulance crews should have at least one paramedic trained and skilled in advanced life support measures.
3. A portable kit containing all the required medications and equipment should be available on the ambulance and similar supplies should be available in the clinic.
4. Track medical and security personnel should perform drills on at least a 6 month basis to ensure that all skills are kept current and that all allied personnel are familiar with optimal policies and protocols.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



Calvin J. Rainey
Vice President and General Manager
Golden Gate Fields

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 East shore Highway
Berkeley, CA 94710

July 26, 2016

Mr. Rosenbeck,

The Albany Fire Department conducted a Fire and Life safety inspection on July 19, 2016. All areas of Golden Gate Fields Race Track within the Jurisdiction of the City of Albany Fire Department have been inspected. During a pre-inspection meeting past corrected items were reviewed and have been acceptably corrected or mitigated. Compliance was confirmed during this inspection.

The Facility is old. However, the buildings and infrastructure are well maintained. Overall the site is in good condition. The inspections of the facility for fire and life safety systems are currently maintained, and operational. Correction Notices are readily complied with and communication between Golden Gate Fields and the Albany Fire Department is excellent.

Fire Clearance is granted to Golden Gate Fields/Pacific Horse Racing Association. This Fire Clearance Will expires January 16, 2017. At which time a new clearance will need to be applied for. Should you have any further need of service, please do not hesitate to contact me.

Sincerely yours,

Charles Rivers
Fire Marshal
City of Albany Fire Department



Department of Fire and Emergency Services
Division of Fire Prevention

April 21, 2016

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 (main office)
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, April 4, 2016, the City of Berkeley Fire Prevention Division conducted an annual inspection of the horse stables and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Thursday, April 20, 2016. Upon re-inspection of the violations, it was found that there were no outstanding violations or corrections needed.

Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thanks to you and Camillo Lopez for your time, and I look forward to working with you in the future.

Sincerely,

Dori Tieu
Fire Prevention Inspector, City of Berkeley Fire Department



Certificate of Insurance

No.: PACII-2016-1

Dated: June 29, 2016

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
---	--

This certificate is issued regarding:
Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-14	Jun 30, 2016 to Jun 30, 2017	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-016	Jun 30, 2016 to Jun 30, 2017	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 416-868-2123 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Stephanie Wong
---	--

- Armored Car** **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**
- Track Physician** **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
- Official Program** **Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706**
- Starting Gate** **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**
- Track Photographer** **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting: **Attached**
- B. Promotional/ Marketing budget for this race meeting: **Attached**
 Promotional/Marketing budget for prior race meeting: **Attached**
- C. Number of hosts and hostesses employed for meeting:
 1 Customer Service Representative
 5 Group Sales Representatives
- D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

- 1. Horsemen
 - o Adding 69 temporary stalls and five (5) new tack rooms to barn area; 1,500 total stalls
 - o Adding surveillance cameras to barn area; One (1) high-tech 360° fish-eye camera in Receiving Barn, additional cameras around the periphery and at the barn area Walk-In gate
 - o Continuation of laundry service for the Jockey’s Room by GGF when contractors retired
 - o Added new televisions with upgraded digital signal to the Steward’s booth for viewing the races
 - o Building a second turf course gap entrance for 5 furlong races, building a secondary gap gate for the mile turf course entrance, and installed a 3’ safety gate on the main track

- Enacted new procedure for Steward’s to access the track emergency lights during racing hours
- The continuation of the Tapeta® maintenance schedule with four (4) renovations completed each year
- Working with Bay Alarm to update alarm system by including digital monitoring panels in the barn area
- Continual roadway repairs, barn repairs, and bridle path renovation.

2. Fans

- Replaced all Turf Club table top televisions (~282) with LED TVs
- Supplemented Club House television inventory by adding 12-55” LED TVs
- The continuation of the “GGF Trackside” podcast on the GGF website. The weekly podcast focuses on a variety of topics including racing news, race analyses, GGF promotions and special events, interviews, racing history and more.
- Built new viewing area in Player’s Patio area (south end of Club House) that includes new flat screen televisions
- Improved our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
- Continuing with new wagering format during the winter/spring meet; changed our Daily Doubles to Rolling Daily Doubles offered in each race, Golden Pick 6 with a \$.20 minimum, and reduced take-outs on Daily Doubles and Pick 5

3. Facilities in the restricted areas **Equine Hospital**

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$10.00 – Bundled price includes free program
Admission (turf club)	\$12.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 5.00
Parking (preferred)	\$10.00
Parking (valet)	\$10.00
Programs (on-track)	\$ 2.50
(off-track)	\$ 2.50

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00 (previously \$6.00)
11-20 Visits	\$4.00	11-20 Visits	\$7.00 (previously \$5.00)
21-30 Visits	\$3.00	21-30 Visits	\$6.00 (previously \$4.00)

31-40 Visits	\$2.00
Over 40 Visits	\$1.00

31-40 Visits	\$5.00 (previously \$3.00)
Over 40 Visits	\$4.00 (previously \$2.00)
Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

**TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
Horsemen Free**

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:
Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack: **138 once new tack rooms (5) are completed**

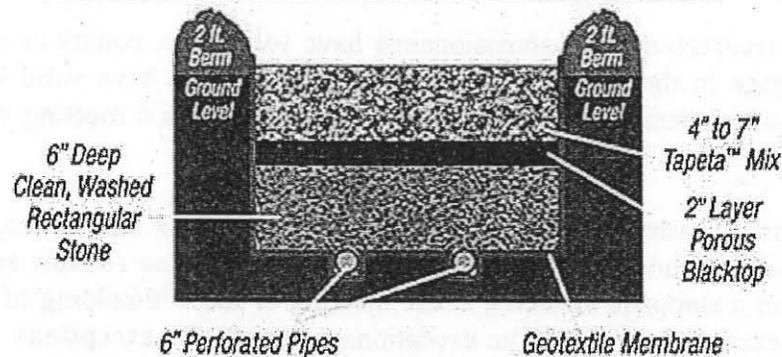
C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**

D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface

- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

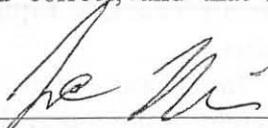
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement forwarded to Board upon receipt.
CTT agreement forwarded to Board upon receipt.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris
 Print Name


 Signature

Sr V.P. West Coast Ops.
 Print Title

10-6-16
 Date

STAFF ANALYSIS
October 20, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION AT LOS ALAMITOS DECEMBER 30, 2016 THROUGH DECEMBER 17, 2017.

Los Alamitos Quarter Horse Racing Association (LAQHRA) filed its application to conduct a quarter horse racing meeting at Los Alamitos December 30, 2016 through December 17, 2017, or 149 days; 4 fewer days than the 2016 allocated race dates. LAQHRA proposes to race a total of 1,400 races. The (estimated) average daily purse for the 2016 meet is \$95,159. The (estimated) average daily purse for this meet is \$96,643. The 2016 meet has not been completed.

- The race dates proposed are the dates the Board allocated:

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

September - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

October - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

November - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

December - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Racing 3 nights per week, Friday through Sunday, except Saturday, December 31, 2016 and Sunday February 5, 2017, and April 16, 2017. Seven to 15 live races per night and 10 to 20 simulcast races.
- First live post 7:00 p.m. Friday; 6:30 p.m. Saturday; 5:00 p.m. Sunday.
 - First live post 6:30 p.m. Sunday when racing concurrently with Del Mar or Santa Anita.
- Wagering program will use CHRB rules.
 - Early wagering will start at 9:30 a.m. all live race days.
- Advance Deposit Wagering (ADW) provider is TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- A copy of the license agreement between ODS Technologies, L.P. dba TVG Network and Los Alamitos Quarter Horse Racing Association has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Juan Garcia be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information which will need **updating** during the proposed race dates:

1. Equipment agreement with Pegasus Communications (expires May 2017)

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Cathy Monji
Horsemen's Quarter Horse Racing Association dba Los Alamitos Quarter Horse Racing Association
4961 Katella Ave.
Los Alamitos, CA 90720 714-820-2800 main 714-820-2820 fax
cmonji@losalamitos.com

B. Breed of horse: TB QH H

C. Racetrack name: Los Alamitos Race Course

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

notice to applicant: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting:
December 30, 2016-December 17, 2017

CHRB CERTIFICATION

Application received: 9/29/16
Deposit received: 10k
Reviewed: LH

Hearing date: 10/20/16
Approved date:
License number:

- B. Actual dates racing will be held:
- | | |
|--|--|
| December 30, 2016 (1) | July 1-2, 7-9, 14-16, 21-23, 28-30 (14) |
| January 1, 6-8, 13-15, 20-22, 27-29 (13) | August 4-6, 11-13, 18-20, 25-27 (12) |
| February 3-4, 10-12, 17-19, 24-26 (11) | September 1-3, 8-10, 15-17, 22-24, 29, 30 (14) |
| March 3-5, 10-12, 17-19, 24-26, 31 (13) | October 1, 6-8, 13-15, 20-22, 27-29 (13) |
| April 1-2, 7-9, 14-15, 21-23, 28-30 (13) | November 3-5, 10-12, 17-19, 24-26 (12) |
| May 5-7, 12-14, 19-21, 26-28 (12) | December 1-3, 8-10, 15-17, (9) |
| June 2-4, 9-11, 16-18, 23-25 (12) | |
- C. Total number of days or nights of racing: 149
- D. Days or nights of the week races will be held:
- Wed – Sun Tues - Sat Other (specify) Fri - Sun
- E. Number of days or nights of racing per week: 3 nights per week except Sunday, February 5, 2017 and Sunday April 16, 2017.

3. RACING PROGRAM

- A. Total number of races: 1,400 live and 1,800 simulcast = 3,200
- B. Number of races for each day or night: 7-15 live, 10-20 incoming simulcast
- C. Total number of stakes races: 70
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
 2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).
 3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.
- E. Will provisions be made for owners and trainers to use their own registered colors?
- Yes No If no, what racing colors are to be used:

**LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
2017 STAKES SCHEDULE**

To Be Run	EVENT	Purse & Distance
FRIDAY DECEMBER 30, 2016	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 8, 2017	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 15, 2017	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 20, 2017	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 11, 2017	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 21, 2017	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 12, 2017	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 22, 2017	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 18, 2017	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 19, 2017	(8) LOS ALAMITOS MAIDEN STAKES THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 29, 2017	\$120,000 Projected \$25,000 Added 350 YARDS
SATURDAY APRIL 1, 2017	(9) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 11, 2017	\$225,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 2, 2017	(10) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 12, 2017	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY APRIL 8, 2017	(11) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY APRIL 15, 2017	(12) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 6, 2017	(13) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 7, 2017	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
FRIDAY MAY 12, 2017	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY MAY 13, 2017	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SUNDAY MAY 14, 2017	(17) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, APRIL 30, 2017	\$275,000 Projected \$50,000 Added 300 YARDS
SATURDAY JUNE 17, 2017	(18) IVAN ASHMENT HANDICAP FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JUNE 18, 2017	(19) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 4, 2017	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 18, 2017	(20) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 25, 2017	(21) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 1, 2017	(22) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 2, 2017	(23) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 11, 2017	\$150,000 Projected \$100,000 Added 400 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 29, 2017	(24) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 8, 2017	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 29, 2017	(25) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 9, 2017	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 29, 2017	(26) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 29, 2017	(27) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 29, 2017	(28) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(29) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(30) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(31) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 29, 2017	(32) CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 29, 2017	(33) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 29, 2017	(34) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 29, 2017	(35) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 29, 2017	(36) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 29, 2017	(37) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(38) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SATURDAY AUGUST 12, 2017	(39) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, JULY 28, 2017	\$20,000 Added \$7,500 Added + \$12,500 B of A Racing Challenge 400 YARDS
SUNDAY AUGUST 13, 2017	(40) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 22, 2017	\$70,000 Added \$15,000 Added + \$55,000 B of A Racing Challenge 440 YARDS
FRIDAY AUGUST 18, 2017	(41) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JULY 30, 2017	\$30,000 Added \$7,500 Added + \$22,500 B of A Racing Challenge 400 YARDS
SATURDAY AUGUST 19, 2017	(42) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 5, 2017	\$25,000 Added \$7,500 Added + \$17,500 B of A Racing Challenge 350 YARDS
SUNDAY AUGUST 20, 2017	(43) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 6, 2017	\$200,000 Projected \$50,000 Added 400 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 27, 2017	(44) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY SEPTEMBER 1, 2017	(45) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY SEPTEMBER 2, 2017	(46) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 3, 2017	(47) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY SEPTEMBER 8, 2017	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
FRIDAY SEPTEMBER 8, 2017	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 24, 2017	(48) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY OCTOBER 6, 2017	(49) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 16, 2017	\$200,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 6, 2017	(50) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
FRIDAY OCTOBER 6, 2017	(51) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SATURDAY OCTOBER 7, 2017	(52) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 17, 2017	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 14, 2017	(53) AUTUMN HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SUNDAY OCTOBER 15, 2017	(54) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY OCTOBER 28, 2017	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 29, 2017	(55) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 8, 2017	\$125,000 Projected \$25,000 Added 350 YARDS
SUNDAY NOVEMBER 5, 2017	(56) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 22, 2017	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 5, 2017	(57) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 11, 2017	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 12, 2017	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 21, 2017	\$900,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 18, 2017	(60) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 19, 2017	(61) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
FRIDAY NOVEMBER 24, 2017	MOUNT RUSHMORE OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SATURDAY NOVEMBER 25, 2017	(62) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SUNDAY DECEMBER 3, 2017	(63) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY DECEMBER 8, 2017	(64) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 9, 2017	(65) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY DECEMBER 10, 2017	(66) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$16,000 870 YARDS
FRIDAY DECEMBER 15, 2017	(67) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN FRIDAY, DECEMBER 1, 2017	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY DECEMBER 16, 2017	(68) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 19, 2017	\$600,000 440 YARDS
SUNDAY DECEMBER 17, 2017	(69) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
SUNDAY DECEMBER 17, 2017	(70) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 26, 2017	\$2,000,000 Projected \$400,000 Added 400 YARDS

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
2015 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY DECEMBER 28, 2014	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 4, 2015	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 11, 2015	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 23, 2015	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 14, 2015	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 15, 2015	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 24, 2015	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 21, 2015	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 22, 2015	(8) LOS ALAMITOS MARENS STAKES THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 31, 2015	\$120,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 22, 2015	(9) KATELLA HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
FRIDAY APRIL 3, 2015	(10) LA PRIMERIA DEL ANO DERBY FILLES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 14, 2015	\$225,000 Projected \$35,000 Added 400 YARDS
SATURDAY APRIL 4, 2015	(11) EL PRINERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 15, 2015	\$200,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 12, 2015	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SUNDAY APRIL 19, 2015	(13) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 870 YARDS
SATURDAY MAY 16, 2015	(17) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY MAY 2, 2015	(14) KAMECHI BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 9, 2015	(19) LA PACIFICA HANDICAP FILLES, THREE YEAR OLDS	\$25,000 350 YARDS

2016 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY DECEMBER 27, 2015	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 3, 2016	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 10, 2016	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
SUNDAY JANUARY 17, 2016	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 13, 2016	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 14, 2016	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 24, 2016	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 20, 2016	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 21, 2016	(8) LOS ALAMITOS MARENS STAKES THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 31, 2016	\$120,000 Projected \$25,000 Added 350 YARDS
SATURDAY APRIL 2, 2016	(9) LA PRIMERIA DEL ANO DERBY FILLES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 12, 2016	\$225,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 3, 2016	(10) EL PRINERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 13, 2016	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY APRIL 9, 2016	(11) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SUNDAY APRIL 17, 2016	(12) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 7, 2016	(13) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 7, 2016	(14) KAMECHI BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
FRIDAY MAY 13, 2016	(19) LA PACIFICA HANDICAP FILLES, THREE YEAR OLDS	\$25,000 350 YARDS

2017 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
FRIDAY DECEMBER 30, 2016	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 8, 2017	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 15, 2017	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 20, 2017	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 11, 2017	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 12, 2017	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 22, 2017	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 18, 2017	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 19, 2017	(8) LOS ALAMITOS MARENS STAKES THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 29, 2017	\$120,000 Projected \$25,000 Added 350 YARDS
SATURDAY APRIL 1, 2017	(9) LA PRIMERIA DEL ANO DERBY FILLES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 11, 2017	\$225,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 2, 2017	(10) EL PRINERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 12, 2017	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY APRIL 8, 2017	(11) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SUNDAY APRIL 15, 2017	(12) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 6, 2017	(13) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 7, 2017	(14) KAMECHI BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
FRIDAY MAY 12, 2017	(19) LA PACIFICA HANDICAP FILLES, THREE YEAR OLDS	\$25,000 350 YARDS

2015 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY MAY 10, 2015	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SUNDAY MAY 17, 2015	(18) KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 3, 2015	\$275,000 Projected \$40,000 Added 300 YARDS
SATURDAY JUNE 20, 2015	(19) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JUNE 21, 2015	(20) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 7, 2015	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 21, 2015	(21) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 28, 2015	(22) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
FRIDAY JULY 3, 2015	(23) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 5, 2015	(24) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 14, 2015	\$175,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 25, 2015	(25) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA 350 YARDS TRIALS TO BE RUN SATURDAY, JULY 11, 2015	\$425,000 Projected \$125,000 Added
SATURDAY JULY 25, 2015	(26) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA 400 YARDS TRIALS TO BE RUN SUNDAY, JULY 12, 2015	\$225,000 Projected \$100,000 Added
SATURDAY JULY 25, 2015	(27) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 25, 2015	(28) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 25, 2015	(29) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2015	(30) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2015	(31) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

2016 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 14, 2016	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SUNDAY MAY 15, 2016	(17) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 1, 2016	\$275,000 Projected \$30,000 Added 300 YARDS
SATURDAY JUNE 18, 2016	(18) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JUNE 19, 2016	(19) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 5, 2016	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 19, 2016	(20) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 26, 2016	(21) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 2, 2016	(22) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 3, 2016	(23) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 12, 2016	\$150,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 30, 2016	(24) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 9, 2016	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 30, 2016	(25) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 10, 2016	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 30, 2016	(26) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 30, 2016	(27) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 30, 2016	(28) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 30, 2016	(29) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 30, 2016	(30) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

2017 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 13, 2017	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SUNDAY MAY 14, 2017	(17) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, APRIL 30, 2017	\$275,000 Projected \$50,000 Added 300 YARDS
SATURDAY JUNE 17, 2017	(18) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JUNE 18, 2017	(19) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 4, 2017	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 18, 2017	(20) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 25, 2017	(21) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 1, 2017	(22) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 2, 2017	(23) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 11, 2017	\$150,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 29, 2017	(24) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 8, 2017	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 29, 2017	(25) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 9, 2017	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 29, 2017	(26) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 29, 2017	(27) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 29, 2017	(28) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(29) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(30) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

2015 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 25, 2015	(32) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 25, 2015	(33) CALIFORNIA BREEDERS 550 SOPHOMORE STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 25, 2015	(34) \$15,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 25, 2015	(35) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 25, 2015	(36) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 25, 2015	(37) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 25, 2015	(38) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2015	(39) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SATURDAY AUGUST 15, 2015	(41) MERAL CALIFORNIA REGIONAL FILLS AND MARES DISTAFF CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, JULY 31, 2015	\$20,000 Added \$7,500 Added+\$12,500 BOLA Racing Challenge 400 YARDS
SUNDAY AUGUST 16, 2015	(42) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, AUGUST 1, 2015	\$70,000 Added \$15,000 Added+\$55,000 BOLA Racing Challenge 400 YARDS
FRIDAY AUGUST 21, 2015	(43) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 2, 2015	\$30,000 Added \$7,500 Added+\$22,500 BOLA Racing Challenge 400 YARDS
SATURDAY AUGUST 22, 2015	(44) JOHN DEERE JUVENTE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 8, 2015	\$25,000 Added \$7,500 Added+\$17,500 BOLA Racing Challenge 350 YARDS

2016 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 30, 2016	(31) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 30, 2016	(32) CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 30, 2016	(33) \$15,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 30, 2016	(34) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 30, 2016	(35) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 30, 2016	(36) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 30, 2016	(37) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 30, 2016	(38) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SATURDAY AUGUST 13, 2016	(39) MERAL CALIFORNIA REGIONAL DISTAFF CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, JULY 29, 2016	\$20,000 Added \$7,500 Added+\$12,500 BOLA Racing Challenge 400 YARDS
SUNDAY AUGUST 14, 2016	(40) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 23, 2016	\$70,000 Added \$15,000 Added+\$55,000 BOLA Racing Challenge 400 YARDS
FRIDAY AUGUST 19, 2016	(41) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JULY 31, 2016	\$30,000 Added \$7,500 Added+\$22,500 BOLA Racing Challenge 400 YARDS
SATURDAY AUGUST 20, 2016	(42) JOHN DEERE JUVENTE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 6, 2016	\$25,000 Added \$7,500 Added+\$17,500 BOLA Racing Challenge 350 YARDS

2017 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 29, 2017	(31) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 29, 2017	(32) CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 29, 2017	(33) \$15,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 29, 2017	(34) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 29, 2017	(35) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 29, 2017	(36) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 29, 2017	(37) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 29, 2017	(38) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SATURDAY AUGUST 12, 2017	(39) MERAL CALIFORNIA REGIONAL DISTAFF CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, JULY 28, 2017	\$20,000 Added \$7,500 Added+\$12,500 BOLA Racing Challenge 400 YARDS
SUNDAY AUGUST 13, 2017	(40) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 22, 2017	\$70,000 Added \$15,000 Added+\$55,000 BOLA Racing Challenge 400 YARDS
FRIDAY AUGUST 18, 2017	(41) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JULY 30, 2017	\$30,000 Added \$7,500 Added+\$22,500 BOLA Racing Challenge 400 YARDS
SATURDAY AUGUST 19, 2017	(42) JOHN DEERE JUVENTE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 5, 2017	\$25,000 Added \$7,500 Added+\$17,500 BOLA Racing Challenge 350 YARDS

2015 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 23, 2015	(45) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN SUNDAY, AUGUST 9, 2015	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 20, 2015	(47) PAT HIGHLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY AUGUST 14, 2015	(49) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 870 YARDS
SUNDAY SEPTEMBER 6, 2015	(48) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
FRIDAY SEPTEMBER 11, 2015	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
FRIDAY SEPTEMBER 11, 2015	THE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SATURDAY SEPTEMBER 19, 2015	(49) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY SEPTEMBER 27, 2015	(50) MILDEO NYSESSE'S MEMORIAL HANDICAP FILLES AND MARES THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY OCTOBER 2, 2015	(51) POCHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 12, 2015	\$200,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 2, 2015	(52) BLANE SCHWANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
FRIDAY OCTOBER 2, 2015	(53) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SATURDAY OCTOBER 3, 2015	(54) POCHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 13, 2015	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 10, 2015	(53) AUTUMN HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SATURDAY OCTOBER 17, 2015	(56) ROBERT L. BOINFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
FRIDAY OCTOBER 23, 2015	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD 000 YARDS	\$15,000
SUNDAY OCTOBER 23, 2015	(57) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 4, 2015	\$125,000 Projected \$25,000 Added 350 YARDS

2016 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 21, 2016	(43) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 7, 2016	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 29, 2016	(44) PAT HIGHLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY SEPTEMBER 2, 2016	(43) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THROUGHBREDS	\$20,000 870 YARDS
SATURDAY SEPTEMBER 3, 2016	(46) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	25,000 350 YARDS
SUNDAY SEPTEMBER 4, 2016	(47) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY SEPTEMBER 9, 2016	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
FRIDAY SEPTEMBER 9, 2016	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SUNDAY SEPTEMBER 25, 2016	(48) MILDEO NYSESSE'S MEMORIAL HANDICAP FILLES AND MARES THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY SEPTEMBER 30, 2016	(49) POCHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 10, 2016	\$200,000 Projected \$50,000 Added 400 YARDS
FRIDAY SEPTEMBER 30, 2016	(50) BLANE SCHWANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
FRIDAY SEPTEMBER 30, 2016	(51) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SATURDAY OCTOBER 1, 2016	(52) POCHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 11, 2016	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 8, 2016	(53) AUTUMN HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SUNDAY OCTOBER 9, 2016	(54) ROBERT L. BOINFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 22, 2016	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 400 YARDS
SUNDAY OCTOBER 23, 2016	(55) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 2, 2016	\$125,000 Projected \$25,000 Added 350 YARDS

2017 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 20, 2017	(43) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 6, 2017	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 27, 2017	(44) PAT HIGHLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY SEPTEMBER 1, 2017	(43) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THROUGHBREDS	\$20,000 870 YARDS
SATURDAY SEPTEMBER 2, 2017	(46) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 3, 2017	(47) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY SEPTEMBER 8, 2017	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
FRIDAY SEPTEMBER 8, 2017	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SUNDAY SEPTEMBER 24, 2017	(48) MILDEO NYSESSE'S MEMORIAL HANDICAP FILLES AND MARES THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY OCTOBER 6, 2017	(49) POCHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 16, 2017	\$200,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 6, 2017	(50) BLANE SCHWANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
FRIDAY OCTOBER 6, 2017	(51) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SATURDAY OCTOBER 7, 2017	(52) POCHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 17, 2017	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 14, 2017	(53) AUTUMN HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SUNDAY OCTOBER 15, 2017	(54) ROBERT L. BOINFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 28, 2017	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 400 YARDS
SUNDAY OCTOBER 29, 2017	(55) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 8, 2017	\$125,000 Projected \$25,000 Added 350 YARDS

2015 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
FRIDAY OCTOBER 30, 2015	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY OCTOBER 31, 2015	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 11, 2015	\$500,000 Projected \$250,000 Added 400 YARDS
SUNDAY NOVEMBER 1, 2015	(60) GOLDEN STATE MILLION FUTUREITY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 18, 2015	\$1,100,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 1, 2015	(61) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 14, 2015	(62) CORONA CHECK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 15, 2015	(63) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS

2016 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY OCTOBER 29, 2016	(56) JOHN DEERE JUVENILE CHALLENGE CHAMPIONSHIP TWO YEAR OLDS	\$150,000 350 YARDS
SATURDAY OCTOBER 29, 2016	(57) ADEQUAN DERBY CHALLENGE CHAMPIONSHIP THREE YEAR OLDS	\$200,000 400 YARDS
SATURDAY OCTOBER 29, 2016	(58) MERRILL EACING DISTAF CHALLENGE CHAMPIONSHIP FILLES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
SATURDAY OCTOBER 29, 2016	(59) BANK OF AMERICA RACING CHALLENGE CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$350,000 400 YARDS
SATURDAY OCTOBER 29, 2016	(60) INVITATIONAL BONUS CHALLENGE CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$75,000 350 YARDS
SATURDAY OCTOBER 29, 2016	(61) ZOTERIS DISTANCE CHALLENGE CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$150,000 570 YARDS
SATURDAY OCTOBER 29, 2016	(62) MARKEL STAKES TWO YEAR OLDS	\$25,000 350 YARDS
SATURDAY OCTOBER 29, 2016	(63) JOHN DEERE STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY OCTOBER 29, 2016	(64) AQUA MEMBERS FILLS STAKES FILLES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SATURDAY OCTOBER 29, 2016	(65) Q RACING JOURNAL STAKES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SATURDAY OCTOBER 29, 2016	(66) Q RACING VIDEO DISTANCE STAKES THREE YEAR OLDS AND UPWARD	\$25,000 570 YARDS
SUNDAY OCTOBER 30, 2016	(67) GOLDEN STATE MILLION FUTUREITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 16, 2016	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY OCTOBER 30, 2016	(68) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 5, 2016	(69) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 6, 2016	(70) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 15, 2016	\$500,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 12, 2016	(71) CORONA CHECK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 13, 2016	(72) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS

2017 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 5, 2017	(69) GOLDEN STATE MILLION FUTUREITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 22, 2017	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 5, 2017	(73) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 11, 2017	(74) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 12, 2017	(75) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 21, 2017	\$500,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 18, 2017	(76) CORONA CHECK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 19, 2017	(77) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS

2015 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY NOVEMBER 21, 2015	(64) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SATURDAY DECEMBER 5, 2015	MOUNT RUSHMORE OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SUNDAY DECEMBER 6, 2015	(65) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 870 YARDS
FRIDAY DECEMBER 11, 2015	(66) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 550 YARDS
SATURDAY DECEMBER 12, 2015	(67) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 15, 2015	\$600,000 440 YARDS
SUNDAY DECEMBER 13, 2015	(68) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 22, 2015	\$2,000,000 Projected \$500,000 Added 400 YARDS
SUNDAY DECEMBER 13, 2015	(69) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
FRIDAY DECEMBER 18, 2015	(70) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 19, 2015	(71) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 20, 2015	(72) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 29, 2015	\$200,000 Projected \$35,000 Added 400 YARDS

2016 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
FRIDAY NOVEMBER 18, 2016	MOUNT RUSHMORE OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SATURDAY NOVEMBER 19, 2016	(73) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SUNDAY DECEMBER 4, 2016	(74) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$16,000 870 YARDS
FRIDAY DECEMBER 9, 2016	(75) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
SATURDAY DECEMBER 10, 2016	(76) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 13, 2016	\$600,000 440 YARDS
SUNDAY DECEMBER 11, 2016	(77) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 20, 2016	\$2,000,000 Projected \$400,000 Added 400 YARDS
SUNDAY DECEMBER 11, 2016	(78) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
FRIDAY DECEMBER 16, 2016	(79) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 17, 2016	(80) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 18, 2016	(81) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 27, 2016	\$200,000 Projected \$35,000 Added 400 YARDS

2017 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
FRIDAY NOVEMBER 24, 2017	MOUNT RUSHMORE OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SATURDAY NOVEMBER 25, 2017	(62) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SUNDAY DECEMBER 3, 2017	(63) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY DECEMBER 8, 2017	(64) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 9, 2017	(65) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 10, 2017	(66) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$16,000 870 YARDS
FRIDAY DECEMBER 15, 2017	(67) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN FRIDAY, DECEMBER 1, 2017	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY DECEMBER 16, 2017	(68) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 19, 2017	\$600,000 440 YARDS
SUNDAY DECEMBER 17, 2017	(69) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
SUNDAY DECEMBER 17, 2017	(70) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 26, 2017	\$2,000,000 Projected \$400,000 Added 400 YARDS

Kindergarten renamed Robert Adair Kindergarten and purse increased to honor Los Alamitos jockey Robert Adair; all-time leading rider of the Kindergarten Futurity.

Bank Of America Challenge Championship races are alternated between various quarter horse tracks.

Other minor date changes are due to calendar variations.

F. List all post times for the daily racing program:

Race	Friday	Saturday	Sunday	Sunday-Del Mar/Santa Anita
1	7:00	6:30	5:00	6:30
2	7:25	6:55	5:25	6:55
3	7:50	7:20	5:50	7:20
4	8:15	7:45	6:15	7:45
5	8:40	8:10	6:40	8:10
6	9:05	8:35	7:05	8:35
7	9:30	9:00	7:30	9:00
8	9:55	9:25	7:55	9:25
9	10:20	9:50	8:20	9:50
10	10:45	10:15	8:45	10:15
11	11:10	10:40	9:10	10:40
12	11:35	11:05	9:35	11:05
13		11:30	10:00	11:30
14		11:55	10:25	11:55
15			10:50	

notice to applicant: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file

C. CORPORATION

- Registered name of the corporation: Horsemen's Quarter Horse Racing Association
- State where incorporated: California
- Registry or file number for the corporation: C0618259
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Dr. Allred	Chairman
Cathy Monji	President/Director
Richard M. English	Chief Financial Officer/Director
John Seibly	Vice President/Director
G. Michael Lyon	Secretary
Virginia Hyland	Director Emeritus

Edward C. Allred Director
 John Andreini Director
 R. D. Hubbard Director
 Anthony Zidich Director
 Fred Scane Director

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: Quarter Horse Racing INC 100%
6. Number of outstanding shares in the corporation: 0
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Wagner, Kirkman, and Blaine
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: QUARTER HORSE RACING, INC
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: N/A
11. State where incorporated: N/A
12. Registry or file number for the corporation: N/A
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: N/A
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A
15. Number of outstanding shares in the corporation: N/A
16. Are the shares listed for public trading? N/A Yes No
 If yes, on what exchange and how is the stock listed: N/A
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A

D. LLC

1. Registered name of the LLC: N/A
2. State where articles of organization are filed: N/A
3. Registry or file number for the LLC: N/A
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: N/A
5. Are the shares listed for public trading? N/A Yes No
 If yes, on what exchange and how the stock is listed: N/A

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:N/A

A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. N/A Yes No

7. Registered name of the LLC/Corporation:N/A

8. State where articles of organization are filed:N/A

9. Registry or file number for the LLC:N/A

10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:N/A

11. Are the shares listed for public trading?N/A Yes No

12. If yes, on what exchange and how the stock is listed:N/A

E. OTHER

1. Name(s) of partners/sole proprietor:N/A

2. If a partnership, attach partnership agreement.N/A

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

- 1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Dr. Allred	Chairman
Cathy Monji	President/Director
Richard M, English	Chief Financial Officer
Robert Snyder	Controller
Frank Sherren	Plant Superintendent
Alex Soltero	Chief of Security
Howard Knuchell	Director of Operations
John Ferraro	Director of Mutuels
Ed Burgart	Track Announcer
Melodie Knuchell	Director Simulcasting/Nominations
Dawn Conklin	Paymaster of Purses
Ken Walker	Director of Labor Relations

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).
Cathy Monji, 4961 Katella Ave., Los Alamitos, CA 90720

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.
N/A

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. N/A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015	239,639,329	435,733
2014	225,526,240	413,174
2013	249,286,086	460,763
2012	249,548,673	495,506
2011	261,018,853	527,277

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**A. Purse distribution:**

- All races other than stakes:

Current meet estimate:	2017	10,040,000
Prior meet actual:	2016	10,034,976

Average Daily Purse (7 A1 number of days):

Current meet estimate:	2017	37,383
Prior meet actual:	2016	66,457
- Overnight stakes:

Current meet estimate:	2017	N/A
Prior meet actual:	2016	N/A

Average Daily Purse (7 A2 number of days):

Current meet estimate:	2017	N/A
Prior meet actual:	2016	N/A
- Non-overnight stakes:

Current meet estimate:	2017	3,464,500
Prior meet actual:	2016	3,346,250

Average Daily Purse (7 A3 number of days):

Current meet estimate:	2017	23,252
Prior meet actual:	2016	21,871
- Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:	2017	13,504,500
Prior meet actual:	2016	13,381,226

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:	2017	10%
Prior meet actual:	2016	10%

Average Daily Purse (7 B1 number of days):

Current meet estimate:	2017	23,252
Prior meet actual:	2016	22,161

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:	2017	590,000
Prior meet actual:	2016	587,447

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:	2017	Prior meet actual:	2016
------------------------	------	--------------------	------

Total	402,000	Total	400,339
-------	---------	-------	---------

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen

(7 A+7 C+7 D):

Current meet estimate:	2017	14,496,500
Prior meet actual:	2016	14,369,012

Average Daily Purse (7 E number of days):

Current meet estimate:	2017	97,292
Prior meet actual:	2016	93,915

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	2017	12,050,000
Prior meet actual:	2016	12,004,234

Average Daily Purse (7 F number of days):

Current meet estimate:	2017	80,872
Prior meet actual:	2016	76,077

G. Purse funds to be generated from interstate handle:

Current meet estimate:	2017	1,795,000
Prior meet actual:	2016	1,756,374

Average Daily Purse (7 G number of days):

Current meet estimate:	2017	12,047
Prior meet actual:	2016	11,480

H. Bank and account number for the Paymaster of Purses' purse account: on file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Robert Passero, 230 Old Ranch Rd., Seal Beach, CA 90740

notice to applicant: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1100
- B. Minimum number of stalls believed necessary for the meeting: 1100
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 0
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

There is a rotation of private vets on site at Los Alamitos during all training, workout and racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	type of wagers	applicable rules
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1DD, \$1PNP4, \$1 TRI, \$1SF,\$1PPN	CHRB 1959, 1957, 1976.9, 1979, 1979.1,1976.8
Race #2	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #4	\$1E, \$1PK3, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1977, 1979, 1979.1,1957
Race #5	\$1E, \$1PK3, \$1TRI, \$1SF, \$1PNP6,\$1DD	CHRB1959,1977,1979,1979.1,1976.9, 1957
Race #6	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #7	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #8	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #9	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #10	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #11	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #12	\$1E, \$1PK3, \$1TRI, \$1SF,\$1PNP4	CHRB 1959, 1977, 1979, 1979.1,1976.9
Race #13	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #14	\$1E, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1979, 1979.1, 1957
Race #15	\$1E, \$1TRI, \$1SF	CHRB 1959, 1979, 1979.1,

1. Late daily double will always occur in the last two races of the race card.
2. If there are not enough horses carded in a race to offer a trifecta, we will offer a \$1 quinella, CHRB 1958.
3. Late pick four will always be offered on the last four races of the race card, CHRB 1976.9.
4. Rolling pick three begins in the second race of each card and continues through the 3rd to last race of the card.
5. If a field should scratch down to 5 betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. none
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 17, 2017
- D. List any options requested with regard to exotic wagering: N/A
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Friday, Saturday, Sunday at 9:30am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Amtote Internantional, contact person: Jeff True, contract expires 10/25/20 (with our option to extend for 5 years).

- G. List below the takeout percentage for each type of wager identified in 10A:

Takeout percentage
 (Example) PNP5-14%
 Win, Place, Show-17.63%
 All others- 22.88%

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
TVG.
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. on file
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. Mailed by Horsemen's group
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. On file
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California
Alameda County Fair, Pleasanton
Anderson
The Jockey Club At San Mateo
Big Fresno Fair, Fresno
California State Fair \$ Expo, Sacramento
Club One, Fresno
Golden Gate Fields, Albany
Tulare County Fair, Tulare
Monterey County Fair, Monterey
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Stanislaus County Fair, Turlock
Tulare County Fair, Tulare

Southern California
Barona Casino, Lakeside
Ventura County Fair, Ventura
San Bernardino County Fair, Victorville
Viejas Casino & Turf Club, Alpine
Del Mar Thoroughbred Club, Del Mar
Hollywood Park Casino, Inglewood
Desert Expo Centre, Indio
The Farmers Fair, Perris
Antelope Valley Fair, Lancaster
Sycuan Gaming Center, El Cajon
National Orange Show, San Bernardino
Fairplex Park, Pomona
Santa Anita, Arcadia

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Mexico (including Agua Caliente and related books, Juarez and related books, and Hipodromo de las Americas and related books); and those included in E.



Pacific Coast Quarter Horse Racing Association

John Hindman
TVG
6701 Center Drive West
Suite 160
Los Angeles, CA 90045

September 28, 2016

Dear Mr. Hindman,

Pacific Coast Quarter Horse Racing Association approves the full card simulcasting of races from Los Alamitos Race Course under the Federal Interstate Horse Racing Act to the hub in Oregon operated by TVG pursuant to the terms of the agreement between O.D.S. Technologies, L.P. d/b/a TVG and Los Alamitos Race Course.

Sincerely,

Dominic J. Alessio
President

E . Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: The Caribbean Basin (Las Caymanas Park and various books on St. Marten, Jamaica, Barbados, and Trinidad); Dominican Republic (Hipodromo V. Centenario); Canada (Assiniboia Downs, Barrie Downs, Dresden, Fraser Downs, Dawartja Downs, Elmira Raceway, Flamboro Downs, Fraser, Kawartha Downs, Marquis Downs, Queensbury Downs, Red Shores, Rideau Carlton, Hippodromo l'Hippodrome de Montreal, Calgary Stampede, Hastings Park, Northland Park, Ontario Jockey Club, Picov, Rocky Mountain Turf, Stampede Park, Western Fair, Windsor Raceway, Woodbine, Woodstock, and others); Argentina (La Plata, San Ysidro, Pallermo, and related books); Austria, Belarus, Belgium, Brazil (Jockey Club and related books); Columbia, (LosComuneros); Germany, Ireland, Panama (Codere-PresidenteRemon);Russia. Grenada, United Kingdom, Uruguay (Maronas Racetrack);Venezuela (La Rinconada and related books); U.S. Virgin Islands (books);Peru, Puerto Rico (El Commandante), South Korea, South Africa.

America Tab-OR
 AmWest Entertainment-KY
 Arapahoe Park-CO
 Arima Race Club,Trinidad
 Arlington International Race Course-IL
 Atlantic City Casino Assn.-NJ
 Atlantis Paradise, LVDC
 Avatar Ventures, LVDC
 Balmoral Park-IL
 BetPad, OR
 Beulah Park-OH
 Birmingham Race Course-AL
 Blue Ribbon Downs-OK
 Buffalo Trotting Association-NY
 Calder Race Course-FL
 Capital Sports Pty-Australia
 Canterbury Park-MN
 Capital District OTB-NY
 Catskill Off-Track, NY
 Choctaw Racing Services, OK
 Churchill Downs-KY
 Churchill Downs Sports Spectrum-KY
 Cities of Gold, LVDC
 Citizen Band Potawatomi Indian Tribe (LVDC)
 Colonial Downs-VA
 Columbus Races-NE
 Connecticut OTB-CT
 Corpus Christi Greyhound Track-TX
 Cypress Bayou Casino
 Dairyland Greyhound Park-WI
 Day At The Track,
 Delaware Park-DE
 Delta Downs-LA
 DGS Systems Corp, LVDC
 Dover Downs-DE
 Downs at Albuquerque-NM
 Downs at Santa Fe
 Ebet
 Ellis Park-KY
 Elite Turf Club, LVDC

Emerald Downs, WA
Eureka Downs, KS
Euro Off-Track, Isle of Man
European Wagering Services
Evangeline Downs-LA
Fair Grounds Racecourse-LA
Fair Meadows, IA
Fairmount Park-IL
Finger Lakes Race Track-NY
Firelake Entertainment(LVDC) OK
Fonner Park-NE
Foxwoods Casino (LVDC)-CT
Freehold Raceway-NJ
Garden State Park-NJ
Geneva Lakes Kennel Club-WI
Great Lakes Downs-MI
Greentrack-AL
Gulf Greyhound Park
Gulfstream Park-FL
Harrington Raceway & Simulcast Facility-DE
Hawthorne Race Course-IL
Hazel Park-MI
Hialeah Park-FL
Hinsdale Greyhound Park, NH
Hipica De Panama-MIR
Holiday Beach Hotel (LVDC)-NV
Hoosier Park-IN
Ida Racing, ID
Indiana Downs, IN
International All Sports, Australia
International Racing Group, (IRG)
Jackson Harness Raceway-MI
Keeneland-KY
Ladbroke at the Meadows-PA
Lebanon Trotting Club-OH
Lewiston OTB-ME
Les Bois Park-ID
Lien Games, ND
Lodge At Belmont
Lone Star Race Park-TX
Louisiana Downs-LA
Luckity
Manor Downs-TX
Maryland Jockey Club-MD
Maywood Park-IL
Meadowlands, NJ
Megatote, LVDC
Meskwaki Casino (LVDC)-NV
Mobile Greyhound Park-AL
Monmouth Park-NJ
Montana Simulcast, MT
Monticello Raceway-NY
Mountaineer Park-WV
Mt. Pleasant Meadows-MI
Multnomah Greyhound Park-OR
Nassau Downs OTB-NY
Nebraska State Fair Park-NE

New Jersey Sports & Exposition
New Mexico State Fair-NM
New York City Off-Track Betting-NY
New York Racing Association-NY
Northfield Park-OH
Northville Downs-MI
Northville Racing Corp.-MI
Northwest Racing Associates Ltd.(Emerald)
Oaklawn Park-AR
Ocean Downs-MD
Pari-Bet, LLC
Penn National Race Course-PA
Philadelphia Park-PA
Phumelela Gold, South Africa
Plainridge Racecourse-MA
Playfair Race Course-WA
Pojoaque Pueblo Gaming, Inc. (LVDC)-OK
Pompano Park, FL
Portland Meadows-OR
Potawatomi Indian Tribe, WI
Prairie Meadows-IA
Premier Gateway Int.
Premier Turf Club-ND
Raceway Park-OH
Racing & Gaming Services, St Kitts
Racing2Day-FL
Remington Park-OK
Retama Park-TX
Rillito Park-AZ
River Downs-OH
Rockingham Park-NH
Ruidoso Downs-NM
Running Aces Harness Park, MN
Saddlebrook, TX
Sam Houston Park-TX
Sandy Downs, ID
Saratoga Raceway-NY
Schenectady OTB-NY
Scioto Downs-OH
Silks Corporation, N. Dakota Horse Park, ND
Sol Mutuel Ltd, S. America
Southland Greyhound Park-AR
Spirit Mountain Casino (LVDC)-OR
Social Gaming
Sports Creek Raceway-MI
Sportsman's Park-IL
Suffolk Downs-MA
Suffolk OTB, NY
Sunland Park Racetrack-NM
SunRay Park and Casino-NM
Tampa Bay Downs-FL
Television Games Network-CA
The Downs @ Albuquerque
The Lodge at Belmont, NH
The Racing Channel,
The Red Mile, KY
The Royal Turf Club, Antigua

- The Stables (LVDC)-OK
- Thistledown Racing Club-OH
- Tioga Downs, NY
- TP Racing, LLLP (Turf Paradise)-AZ
- TRN International, PA
- Tucson Greyhound Park-AZ
- Turning Stone Casino-NY
- US Off-Track-OR
- Valley Race Park, TX
- Velocity
- Vernon Downs-NY
- Western Regional OTB-NY
- Wichita Greyhound Park-KS
- Will Rogers Downs-OK
- Wonderland Greyhound Park
- Woodlands-KS
- Wyoming OTB-WY
- Yonkers Raceway-NY
- Youbet-Tote Tasmania, Australia
- Zia Park, NM

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

- | | |
|-----------------------------------|----------------------------------|
| Bankers, Salinas | Santa Clarita |
| Commerce Casino, City of Commerce | Santa Maria Roadhouse |
| Mermaid Tavern, Thousand Oaks | Sammy's -Lake Forest |
| OC Tavern, San Clemente | FireHouse Restaurant-Bakersfield |
| Lake Elsinore Hotel and Casino | Striders, San Diego |
| Striders, Norco | |

G. For **thoroughbred** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

notice to applicant: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
N/A		

H. For **quarter horse** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Delta Downs	April -July	Selected races
Sam Houston	June-September	Selected races
Prairie Meadows	July-September	Selected races
Remington Park	March-June	Selected races
Ruidoso Downs	May-September	Selected races
	September 2,3,4	All American Gold Cup, Derby and Futurity
Fair Grounds	August-September	Selected races
Fair Meadows/Tulsa	May-July	Selected races
Hoosier Park	September	Selected races
Evangeline Downs	September-December	Selected races
Lone Star Park	October-December	Selected races
Canterbury Park	May-September	Selected races
Indiana Downs	May-July	Selected races
Emerald Downs	TBA	Selected races
Portland Meadows	TBA	Selected races
Zia Park	TBA	Selected races
Retama Park	TBA	Selected races

I. For **standardbred** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

J. For **all** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Meadowlands	Standardbred	TBA	Selected races
Tioga	Standardbred	TBA	Selected races
Hawthorne	Standardbred	TBA	Selected races
Red Mile	Standardbred	September	Selected races
Western Fair	Standardbred	TBA	Selected races
Pompano Downs	Standardbred	October	Selected races
Dover Downs	Standardbred	TBA	Selected races
The Meadows	Standardbred	TBA	Selected races
Northfield Park	Standardbred	TBA	Selected races
Vernon Downs	Standardbred	TBA	Selected races
Flamboro	Standardbred	TBA	

	Selected races		
Mohawk Raceway	Standardbred	TBA	Selected races
Woodbine	Standardbred	TBA	
	Selected races		
Penn National	Standardbred	TBA	Selected races
Running Aces	Standardbred	TBA	Selected races
Rideau Carleton	Standardbred	TBA	Selected races
Mohegan Sun @Pocono	Standardbred	TBA	
	Selected races		
Remington Park	Paint	TBA	Selected races
Emerald Downs	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Hastings	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Delta Downs	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Portland Meadows	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races

- K. For **all** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). Agreements forwarded as needed.

notice to applicant: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
 Los Alamitos Quarter Horse Charity Foundation, 4961 Katella Ave., Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
 Robert Main 4961 Katella Ave., Los Alamitos, CA 90720
 Althea Miller 4961 Katella Ave., Los Alamitos, CA 90720
 Charles Brain 4961 Katella Ave., Los Alamitos, CA 90720

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

notice to applicant: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Becky Fitzgerald, DVM
Clerk of Scales	Glen Miki
Film Specialist	Stewards
Horse Identifier	Juan Garcia
Horseshoe Inspector	Barry Rynearson
Paddock Judge	Louie Cacioppo
Patrol Judges	Louie Cacioppo
Placing Judges	Devin Torres, Natividad Estrada
Starter	Gary Brinson
Timer	Louie Cacciopo

- B. Management officials in the racing department:

Director of Racing	Jay Conklin
Racing Secretary	Jay Conklin
Paymaster of Purses	Dawn Conklin

Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

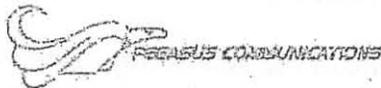
Barbara Weinstein, 9582 Hamilton Ave, STE 265, Huntington Beach, CA 92646 714 964-7102

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA – Bill O'Brien 12/31/17

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communications - Jim Porep 05/04/17 automatically renews yearly



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

LARC Contract Term:
 April 21, 2014 / April 21, 2017

Major Video Equipment Listing

Broadcast Video Production Control Room, Grandstand lower level

HD graphics systems with Pegasus DataLinks interface software
 32/16 to Sony 2 ME Switcher

Recording systems:

1- ZPlay HD video recorder, slow motion playback

1- 3play HD video recorder, slow motion playback

1-Sony DigiBeta

Audio Mixing Board

144x Router

Camera locations

1- HD Pan camera 1

1- HD Pan camera 2

1- HD 7/8 tower

1- HD 3/8 tower

1- HD Wireless gate camera system

1- HD Shutter cam at finish line

1- HD Jib mount winner's circle/finish line

2- HD TVG Set

1- HD Pan camera 3 / Isolation, cover shot

1- HD 3/8 quarter horse tower

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communications - Jim Porep 05/04/17 automatically renews yearly

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Alex Soltero – Chief of Security 714-926-0959 cell
714-820-2664 office

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 23

- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Not used at this time.

- 2. Detention Stalls: Not used at this time

- A. Attach a plan for use of graded stakes or overnight races. N/A

- B. Number of security guards in the detention stall area during a 24-hour period. N/A

- C. Describe number and location of surveillance cameras in detention stall area.
N/A

- 3. TCO2 Testing: N/A

- A. Number of races to be tested, and number of horses entered in each race to be tested. N/A

- B. Plan for enhanced surveillance for trainers with high-test results. N/A

- C. Plan for detention stalls for repeat offenders. N/A

- D. Number of security personnel assigned to the TCO2 program. N/A

- C. Describe the electronic security system: Not used at this time

- 1. Location and number of video surveillance cameras for the detention stall and stable gate. N/A

- D. For night racing associations. Describe emergency lighting system: attached



Organizational Chart 2016

Los Alamitos Race Course Security Department

Chief of Security	Alex Soltero	Office (714) 820-2661 Cell (714) 926-0959
Day Shift Sergeant	Keith Hicks	Office (714) 820-2664 Cell (714) 770-1351
Night Shift Sergeant	Fernando Gomez	
Day Shift Security Officers	Guillermo Chavez Jose Murillo Llydia Llamas Bunthoeun Sos	Mainline Club House Desk/Dispatch Mezzanine
Night Shift Officers	Gerardo Castellaños Jackie Dixon Henry Chambers Peter Scimeca	Desk/Dispatch Mainline Paddock Club House
Stable Area Officers	Fernando Gomez Jorge Avina Jose Alvarez Kenny Vu Cesar Franco	Day stable Night stable Split Shift Night Receiving Barn Graveyard
Off Hour Officers	Kenneth Grant Luis Rivas Frank Wozniak	Grave Yard Split Shift Days
<u>Stable Gate Attendants</u>		
April Dudone	Swing Gate	
Ronnie White	Day West Gate	
Cheri Willett	Split Shift	
Arturo Sandoval	Night West Gate	
Jeff Syverud	Grave yard Gate	
Marco Avina	Day Gate	

Total Employees: 24

Emergency Track Lighting System
Los Alamitos Race Course

The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minutes to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes on. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Huntington Ambulance, PO Box 145, Sunset Beach, CA 90742
562-904-1550,
714-325-0363 cell -Stacy O'Brien

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. attached

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A

- C. Describe the on-track first aid facility, including equipment and medical staffing:
Open during live racing hours with basic first aid supplies including an Automated External Defibrillator (AED), manned by two EMT's.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos Medical Center	West Anaheim Medical Center
3751 Katella Ave	3033 West Orange Ave
Los Alamitos, CA	Anaheim, CA 92804
562-799-3212	714-827-3000

In case of a major accident/head injury they are routed to:

Long Beach Memorial	or	UCI
2801 Atlantic Ave		101 The City Dr
Long Beach, CA 90802		Orange, CA 92868
562-933-2000		714-456-7890

This will be determined by the Paramedics, not the race track or EMT's

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Same as D (1).

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

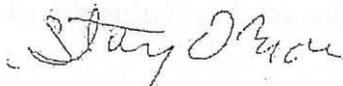
February 16, 2016

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance; LLC

Los Alamitos Race Course

EMT / Paramedic Protocols

The emergency medical services at Los Alamitos Race Course operate under the jurisdiction of the County of Orange Emergency Medical Services Dept. (OCEMS). The OCEMS supervises all paramedic services within the county as well as the licensing of all private ambulance companies.

Orange County regulations stipulate that all paramedic services within the county are provided through the Orange County Fire Authority with no licensing of any private paramedic ambulance services.

The OCEMS has reviewed and approved the protocol for handling on track accidents at Los Alamitos Race Course. This protocol calls for Huntington Ambulance EMT's to respond to any on track accident and stabilize any injured rider. At this point paramedics are called and they respond from the Orange County Fire Station #14 which is located directly adjacent to Los Alamitos Race Course.

Huntington Ambulance crews transport the injured rider to a designated area located just outside a special emergency gate built on the backstretch of the racetrack where they are met by Fire Authority paramedics. This designated area is less than 100 yards from the paramedic station.

The Orange County Fire Authority paramedics evaluate the injured rider and make the determination of whether to transport the rider to a trauma center.

This emergency protocol is in place for both morning training and evening racing accidents.

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
 Allie Rodriguez/FLSIG-safety manager
 Frank Sherren-safety program director LARC
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
 Self Insured-Finish Line Self Insurance Group, certificate # On File
 Administrator: Sig Solutions
 PO Box 905
 Seal Beach, Ca 90740
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
 Centaur Hot Walkers, Inc., 5761 Ridgeview Ave., Mira Loma, CA 91752

Does the association provide its own concessions? Yes No



FINISH

Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100

F
I
N
I
S
H

Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Pasos Requeridos en Caso de un Accidente

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada**
Healthpointe Medical Center
7052 Orangewood Ave, Suite 6
Garden Grove (714) 903-1100



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal

(714) 573-6000

www.ocfa.org

September 28, 2016

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to doughartman@ocfa.org.

Respectfully,

Douglas A. Hartman,
Senior Fire Prevention Specialist

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting:
attached
- B. Promotional/ Marketing budget for this race meeting:
attached
Promotional/Marketing budget for prior race meeting:
attached
- C. Number of hosts and hostesses employed for meeting:
attached
- D. Describe facilities set aside for new fans:
attached
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: attached
 - 1. Horsemen
 - 2. Fans
 - 3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:

Admission (general)	3.00
Admission (clubhouse)	5.00/10.00 (Vessels club)
Reserved seating (general)	N/A
Reserved seating (clubhouse)	N/A
Parking (general)	Free
Parking (preferred)	5.00
Programs (on-track)	3.50
(off-track)	4.00
- B. Describe any "Season Boxes" and "Turf Club Membership" fees: attached
- C. Describe any "package" plans such as combined parking, admission and program:
N/A

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="70"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers:
Separate quarters with cubicles, showers, steam box, sleeping quarters

CHRB LICENSE APPLICATION

ON TRACK ATTENDANCE/FAN DEVELOPMENT

A. Describe any promotional plans:

1. Los Alamitos will conduct one state wide mailer featuring a mystery mutuel vouchers. The vouchers are sent to off-track players as well as on-track players. Each voucher is worth at least \$5. Vouchers in various other denominations ranging from \$10 to \$2,500 are also mailed. The date for this promotion is early June for Ed Burke Million Futurity trials night.

2. Premium item giveaway nights will be held in February, June, July, September, and December. Planned giveaways include blanket in the winter, t-shirt in June, belt buckle in July, and additional giveaways in September in conjunction with the LA County Fair meet. December 2017 will include eanie hat, sweatshirt and wall calendar giveaways.

3. Family days at Los Alamitos will be held in the summer with the running of the popular Wienerschnitzel Wiener National. One-hundred dachshunds compete for prize money and trophies. The annual event receives great pre and post event publicity on television newscasts for the event and the racetrack on the top rated Channel 5 Morning News. The event annually attracts over 12,000 people, most of which get exposed to the excitement of horseracing for the first time. This event has been adopted by nearly all of the fairs conducting live racing as well as Santa Anita, Del Mar and Golden Gate Fields and by various fairs and tracks nationally.

4. The Los Alamitos annual Halloween Carnival will be conducted in late fall. This event benefits a local charity, as well as the Los Alamitos Race Track Chaplaincy. A costume contest for kids and adults as well as a ton of carnival games are held in a safe, fun, and family oriented environment. This event provided first-time exposure of horseracing to hundreds of local families each year. Cash prizes are awarded.

5. Los Alamitos will partner with Living Social and Groupon to offer Night at the Races packages for its 2017 live racing program. Los Alamitos offers these packages six times per year through these partners. The packages provide a variety of packages with the most popular offering a \$65 value for \$25. The package includes passes for two people to the track's Vessels Club, preferred parking, \$10 food voucher, souvenir Los Alamitos logo glasses, and more. Guests receive VIP treatment, a brochure designed for first-time guests. Track personnel have been trained to answer frequently asked question.

Los Alamitos will also conduct day specific Living Social night at the races. The Living Social members are seated together in the Vessels Club Terrace section and have access to introduction to racing lessons, handicappers, special meet and greets with jockeys and trainers and more.

6. Los Alamitos will sponsor promotional visits and over 25 handicapping contests featuring over \$15,000 at satellite facilities statewide. Promotional nights feature premium logo item giveaways including hats, t-shirts, posters, hats, sweatshirt, etc. Racing personality Les Onaka is on hand at each of the contests promoting the nighttime Quarter Horse signal via handicapping seminars and question and answer sessions. Photos of winners are posted on the track's social media pages. Visits will also support new satellite locations including the new Sliders in San Diego and continued support to locations like Sammy's Original in Lake Forest.

Fan data is gathered at each location and added to the track's satellite mailing list.

7. Los Alamitos Quarter Horse Racing Association will host two separate handicapping contest to provide its night patrons with an opportunity to win seats to the 2018 National Handicapping Championship in Las Vegas. Two seats will be up for grabs on California Breeders Champions Night in late July with two additional seats offered in late fall.

FAN AMMENITIES

1. Los Alamitos night meet is also the only meet that continues to offer race results on the telephone. The line includes Ed Burgart's full race call. The number to call is 714-995-2222.

2. Los Alamitos employs two part-time employee to assist patrons with their Racing Rewards card, which serves as the track's loyalty program. This program rewards its members with cash vouchers for playing the nighttime Los Alamitos racing program with a 1 percent return on their money wagered. In 2017, more than \$15,000 will be awarded to frequent players.

3. Los Alamitos' senior citizen admission prices are \$2 every single day. More than 70% of the Los Alamitos racetrack parking lot is now available at no charge as part of its free general parking area.

4. Los Alamitos Race Course also maintains TVG's "The Quarters" set on-track, which is located by the track's walking ring. The live show includes special interviews with the headliners and personalities of the night racing program.

5. Los Alamitos has made available online video of morning workouts of 2-year-olds. Horseplayers find this a useful tool, as they're able to dissect workouts of horses that have yet to make an official start. In addition to the video footage, the site provides breeding information on each horse.

ONLINE

1. Los Alamitos updates its online presence on a daily basis, as it provides a full array of handicapping tools including exclusive racing info provided by Quarter Horse experts Ed

Burgart and Les Onaka. Sections will continue to be improved to provide a great on-track fan experience.

2. Los Alamitos provides free handicapping information featuring Quarter Horse racing experts Ed Burgart and Chris Wade at losalamitos.com. The site also features a video blog of Burgart's Horses to Watch for the Night, and a 22-minute weekly preview show of Friday's races. Burgart serves as the host with analysis provided by Les Onaka.

3. Los Alamitos offers a free nighttime pocket program and wagering sheet at losalamitos.com.

4. Los Alamitos offers free live streaming and replay library of its night racing program at losalamitos.com.

5. Los Alamitos added an Instagram page in 2016 and now features approximately 1,000 followers. Additional social media pages include Facebook with 40,000 Likes/Friends and a Twitter page with nearly 6,000 followers. The page is updated with handicapping information, race videos, trainer interviews, stakes photos results, etc. Los Alamitos also manages a Youtube Channel @ losalqh which has received over 1 million total views.

ADVERTISING & TV

1. Los Alamitos markets the night program on TVG with a year-round television advertising campaign throughout the year. Los Alamitos works closely with TVG to promote and encourage viewers to visit the track for live racing. Vessels Club and general concession menu items are often promoted on TVG to show fans what's available at the racetrack.

On major race nights, The Vessels Club serves as the set for TVG's "The Quarters" show. The live show adds to the Vessels Club experience, as Vessels Club guests are now part of "The Quarters" and the setting provides added exposure to The Vessels Club.

2. Los Alamitos buys airtime on Time Warner Cable in Orange County and DirecTV in Southern California with spots airing during Angels, Ducks, Dodgers, and Lakers games and additional spots also airing on the Golf Channel, TBS, Bravo, and Fox Sports' Trackside Live.

3. Los Alamitos airs radio spots on a variety of shows including Thoroughbred LA, Roger Stein Show, Racing Insider with Jason Levin, and more. In 2016, Los Alamitos also had a Spanish radio buy on KWKW ESPN Deportes.

4. Los Alamitos will spend over \$70,000 in 2016 in newspaper advertising. This expenditure included sponsoring Terry Turrell's nightly handicapping tip sheet in the Long Beach Press Telegram. Los Alamitos will continue to sponsor the horse racing results and entries to the Orange County Register for the nighttime Los Alamitos races, but also for all of the daytime Thoroughbred tracks.

5. The 30-minute Los Alamitos Racing Report is broadcast on TVG on a Friday through Monday basis.

6. Los Alamitos produces a weekly 22 minute preview show of its weekend races that is available on Friday mornings on TVG and all day on Friday at www.losalamitos.com. The show is hosted by Ed Burgart and it features Les Onaka plus a bevy of top handicappers. Interviews, human interest pieces with local horsemen and stakes previews are also part of the show. Links to the show are also made available on Twitter and Facebook.

HORSEMEN

1. Los Alamitos will continue its DVD Scrapbook program designed to reward racehorse owners at Los Alamitos. Every time a horse wins a race at Los Alamitos, the owner will receive one DVD - completely free - featuring the horse's winning performance. The DVD includes the post parade, warm-ups, race analysis, actual race, and winner's circle.

2. In celebration of Grade 1 stakes races and its participants, Los Alamitos provides a personalized gift to the connections of each horse. Gifts will include individually embroidered polo shirts, wine glasses, horse bridles, wine bottles, full-color qualifiers posters, etc.

B. Promotional/Marketing budget for 2017 race meeting:

- | | |
|--|-----------|
| 1. On-Track Marketing budget: | \$375,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

Promotional/Marketing budget for 2016 race meeting:

- | | |
|--|-----------|
| 1. On-Track Marketing budget: | \$350,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

C. Number of hosts and hostesses employed for meeting:

One satellite host and racing analyst on the Los Alamitos racing broadcast. Host provides expert handicapping information prior to each race, while providing racing fans with his Pick 4 suggestions, plus tips and hints on how to play the races at Los Alamitos.

Group event hosts are assigned to each group party to ensure a fun night at the races. Group parties have race named in their honor and the opportunity to visit the winner's circle for their race. Handicapping seminars are available to group parties upon requests. The seminars includes a session on how to play the races, what to look in a

Quarter Horse race, how to read the toteboard and what the odds mean, basic handicapping tips for the night.

One reward kiosk is located on the grandstand building and allows fans to check, track, and cash their loyalty program points. Points can be traded for prizes. Rewards representative is available to any answer any question regarding the evening's racing program, the racetrack, the horsemen, etc.

One information window is open day and night at Los Alamitos Race Course to answer any questions or inquiries about the track or horseracing in general. Fans can also pick up complimentary general information pamphlets in both English and Spanish at the Racing Rewards Center and Vessels Club.

D. Describe facilities set aside for new fans:

1. As part of the Living Social, Travelzoo and Amazon promotions, new guests enjoy premium outdoor seating in the Vessels Club patio, which overlooks the finish line.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits horsemen and fans:

1. After adding new seating locations in the Los Alamitos Paddock Terrace located on the mezzanine level of the grandstand. The Paddock Terrace featuring tables for four equipped with a personal flat screen TV in 2015/16, Los Alamitos has continued to add flat screens throughout the plant. In the terrace areas, waiter service is available on select night. The Paddock Terrace provides fans with a closer view of the track, walking ring and winner's circle area.
2. HDTV monitors throughout the plant include new screens on the patio area of Vessels Club and in Cypress Room area in the Clubhouse. Screens have also been added on the main grandstand floor.
3. The 2017 Los Alamitos Quarter Horse meet will continued to be offered as a high definition broadcast. A new HD overhead starting gate camera has been added, which provides horsemen and racing fans with a great view of the break out of the gate, which is so crucial to Quarter Horse racing.

Los Alamitos has increased its HD monitors throughout the plant as follows:

3. Los Alamitos continues to upgrade to HDTV monitors throughout the plant, including new HDTV monitors on the main floor of the west Vessels Club, all wall monitors in the Cypress Room area in the Clubhouse, and in several specific areas inside and outside the main level of the grandstand.
4. Permanent message boards continue to operate; one in the infield, which is a full color 8 feet tall by 20 feet wide Daktronics board featuring the broadcast of

the Los Alamitos races, prices, pools, and marketing billboards; and one on the main entrance to the track, a two-color board featuring the latest messages and track news to the more than half-million motorists per year that make their way up and down Katella Avenue.



Los Alamitos Race Course



2017 SEASON SEATS

Live Racing: Quarterhorse - December 30, 2016 to December 17, 2017

Satellite Racing: Year Round

Seating Options:

A. **VESSELS CLUB EXECUTIVE**
\$3,000.00

7

December 30, 2016 - December 17, 2017

Four membership cards - each valid for one Vessels Club annual admission, two Premium Parking Passes, and guaranteed four seat table for live NIGHT racing.

B. **VESSELS CLUB ANNUAL**
500.00

\$

December 30, 2016- December 17, 2017

One membership card valid for one Vessels Club annual admission.

C. **COMPLIMENTARY VESSELS CLUB HORSEMAN'S MEMBERSHIP**
\$ 0.00

Two membership cards. (FOR QUARTERHORSE AND THOROUBRED OWNERS, TRAINERS, JOCKEYS)

A Vessels Club Membership card includes admission for all live and satellite racing conducted at Los Alamitos from December 30, 2016 through December 17, 2017. No outside food or beverages will be allowed in the Vessels Club. Your signature below acknowledges that you have read, understood, and will abide by the rules of the Vessels Club including the dress code.

Signature of Member _____

Date _____

Season Seat Selection (circle one): **A B C**

Please issue membership card(s) as follows: (PLEASE PRINT OR TYPE)

1. My name _____
2. _____
3. _____
4. _____
5. _____
6. _____

THIS SPACE FOR OFFICE USE ONLY

Number	Membership Card Number
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
Table #:	_____

Address _____
Street City State Zip

Residence telephone _____ Cellular telephone _____ Email: _____

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack:
220 out of 344
- C. Number of restrooms available on the backstretch of the racetrack:
13
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
18/1

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,100

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: Dirt track consisting of sand, clay, silt, organic and gravel. See attached lab report.
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail is a metal slant rail with metal safety cover, 40" in height from track to top of rail. Outer rail is a metal gooseneck with 6" X 4" metal tubing.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Rick Hughes/Dennis Moore
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.



July 29, 2016
Composition Testing

SAMPLE DESCRIPTION	Gravel		Sand			Silt	Clay	Ratio Med to V. Fine divided by Gravel + Cs. Sand
	Coarse	Fine	Very Coarse	Coarse	Med. To V. Fine	0.002 - 0.05 mm	0 - 0.002 mm	
	5 - 12 mm	2 - 5 mm	1 - 2 mm	0.5 - 1 mm	0.05 - 0.5 mm			
Wire		1.19%	1.96%	3.30%	76.98%	12.02%	4.55%	11.94
		1.19%	5.26%		76.98%	16.57%		
1/4 Pole		1.26%	1.91%	3.41%	76.62%	13.25%	3.55%	11.65
		1.26%	5.32%		76.62%	16.80%		
1/2 Pole		1.10%	1.86%	3.40%	77.26%	11.33%	5.05%	12.15
		1.10%	5.26%		77.26%	16.38%		
3/4 Pole		1.16%	1.76%	3.29%	76.46%	13.28%	4.05%	12.32
		1.16%	5.05%		76.46%	17.33%		
1/4 Pole (May 2016)		1.47%	2.13%	3.69%	75.90%	13.01%	3.80%	10.41
		1.47%	5.82%		75.90%	16.81%		

Table 3: This table compares the gravel, sand, silt and clay distribution of each sample based on the USDA soil texture classification.

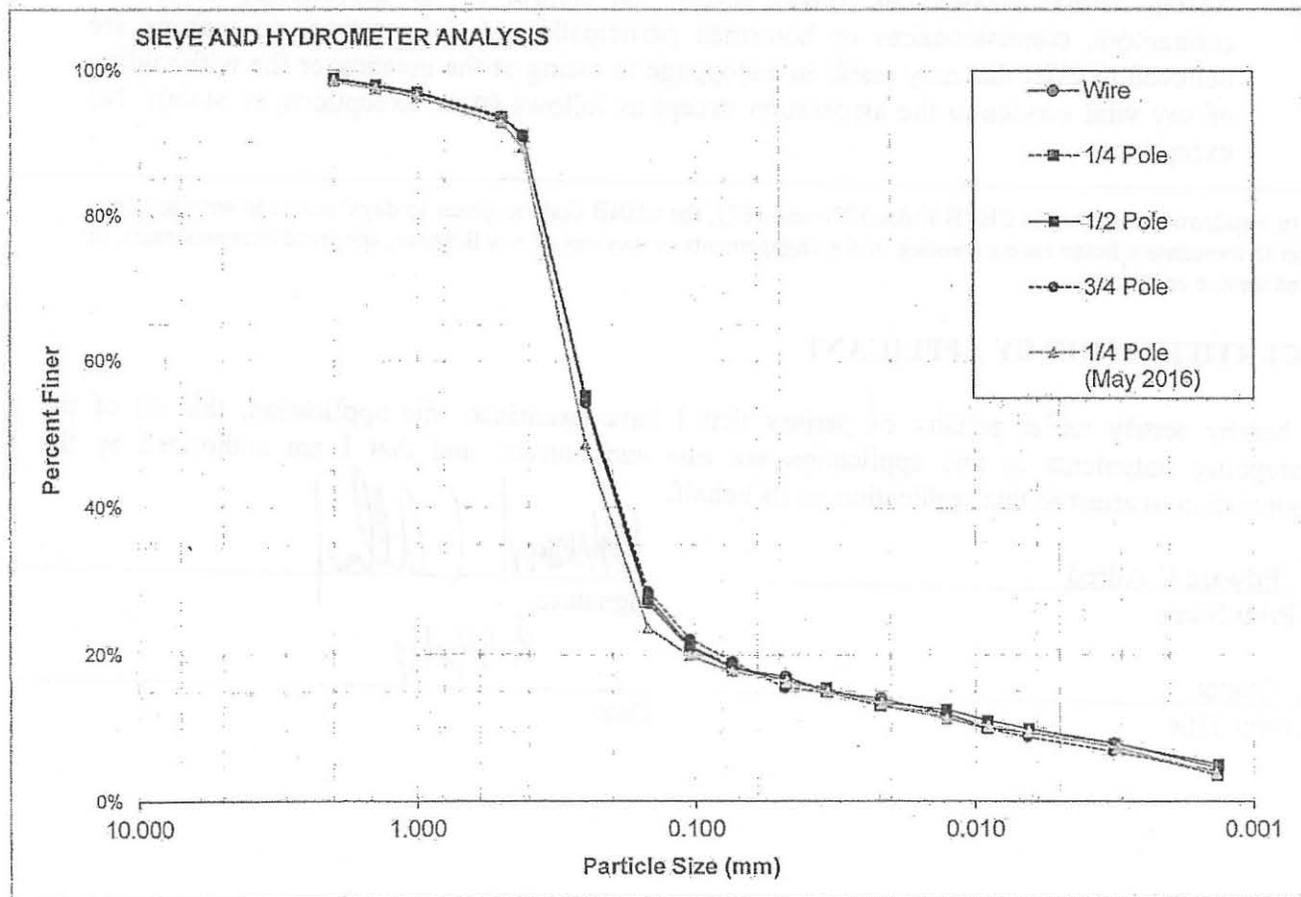


Figure 4: This graph shows the particle size distribution of the samples by combining sieve and hydrometer test results.

23. DECLARATIONS

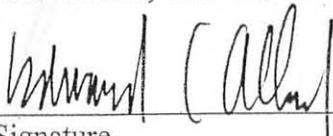
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
attached
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

notice to applicant: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Edward C Allred
Print Name


Signature

Owner
Print Title

9-29-16
Date

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT TO CHRB RULE 1688, USE OF RIDING CROP,
TO PROHIBIT THE JOCKEY FROM USING THE RIDING CROP MORE THAN FOUR
TIMES IN SUCCESSION DURING THE LAST 16TH OF A MILE IN A
THOROUGHBRED RACE WITHOUT GIVING THE HORSE A CHANCE TO RESPOND
BEFORE USING THE RIDING CROP AGAIN

Regular Board Meeting
October 20, 2016

ISSUE

Over time, the California Horse Racing Board has amended its rules pertaining to the construction and use of riding crops for the benefit of the horse. Amendments to the Board's rules dealt with riding crops, including their length, and the flap at the end of riding crops. The amendments have also limited the use of riding crops in races. The Board has also mandated the use of softer materials on riding crops. The most recent amendment to Rule 1688, which was effective July 1, 2015, prohibited riders from using the riding crop more than three times in succession without allowing a horse to respond. The proposed amendment to Rule 1688 will allow a jockey to use a riding crop four times in succession during the last 16th of a mile in a thoroughbred race. The jockey must allow the horse time to respond before using the riding crop again.

ANALYSIS

The July 2015 amendment to Rule 1688 added (among other provisions) subsection 1688(b)(6), which placed a limit on the jockey's use of the riding crop during a race. Subsection 1688(b)(6) prohibits the jockey from using the riding crop more than three times in succession without allowing the horse time to respond. The purpose of the amendment was to curtail and prevent any excessive use of the riding crop. While the amended Rule 1688 has generally been successful in its implementation, there are some who believe the jockey is not able to give his or her best effort to win a race. The proposed amendment to Rule 1688 will modify subsection 1688(b)(6) to allow the jockey to use the riding crop four times in succession during the last 16th of a mile in a thoroughbred race. The jockey may not use the riding crop again without giving the horse time to respond.

At the May 26, 2016 Regular Board meeting staff was directed to initiate a 45 day public comment period regarding the proposed amendment to Rule 1688. Staff received five comments during the comment period, which closed September 26, 2016. In addition, the Racing Officials Accreditation Program submitted its recent "Crop Usage Survey" to assist with the rulemaking. None of the five comments received were in favor of the proposed amendment to Rule 1688. Issues raised by the comments included:

- The current version of Rule 1688 has been a success and it would be counter-intuitive to adopt the proposed change. California stewards interviewed by University of Arizona

Race Track Industry Program students stated the quality of riding has improved due to a limitation of whip use. Steward Scott Chaney wrote he believes the current rule has been a resounding success and has placed California in the forefront of racing in terms of the evolution of whip use. Based on his experience, and conversations with other California stewards, Mr. Chaney stated the current rule has created safer, cleaner and more predictable riding in this state.

- The current version of Rule 1688 has made California a leader in the context of horse racing animal welfare. The comments emphasized that California must remain aware of the public's perception of the use of the riding crop. Adopting regulations that allow more whipping would not expand horse racing's fan base.
- How would four strikes rather than three strikes during the final 1/16th of a mile change the outcome of a race or cause a horse to run faster? Given the time and number of strides expected in the last portion of the race, an additional strike would, in most cases, amount to just one more strike; not enough to change the outcome of the race.

BACKGROUND

Business and Professions Code section 19420 provides that the Board shall have jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4, Business and Professions Code. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19481 provides that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider.

RECOMMENDATION

This item is presented for Board discussion and action. The Board may adopt the proposed amendment to Rule 1688 as presented.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING OF THE RACE
RULE 1688. USE OF RIDING CROP

Regular Board Meeting
October 20, 2016

1688. Use of Riding Crop.

(a) In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact.

(b) Although the use of a riding crop is not required, any jockey who uses a riding crop during a race is prohibited from using a riding crop on a horse:

(1) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters;

(2) during the post parade except when necessary to control the horse;

(3) excessively or brutally causing welts or breaks in the skin;

(4) when the horse is clearly out of the race or has obtained its maximum placing;

(5) persistently even though the horse is showing no response under the riding crop; or

(6) more than three times in succession without giving the horse a chance to respond before using the riding crop again, except in the last 16th of a mile in a thoroughbred race, at which time a jockey is prohibited from using a riding crop more than four times in succession without giving the horse a chance to respond before using the riding crop again.

(c) Correct uses of the riding crop are:

(1) showing horses the riding crop before hitting them;

(2) using the riding crop in rhythm with the horse's stride; and

(3) using the riding crop as an aid to maintain a horse running straight.

Authority: Sections 19420, 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440, 19481 and 19562,
Business and Professions Code.

June 17, 2016

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, California 95825

Dear Rick,

I am writing to you because from the various interviews and writings I have seen from you, you have a deep appreciation and love for horse racing. That is something I share with you.

As we both know, when we went to the races 30-40 years ago, the average daily attendance at major tracks was in the 20,000's. There is much competition for the betting and sports dollar and horse racing has failed to compete with other sports.

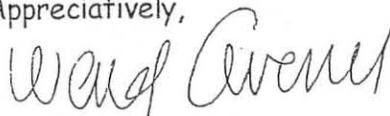
You don't improve a sport by beating its athletes. The use of horses is the only competition I know where someone or something is beaten in order to win. Most people are horrified about horses being whipped multiple times in a race. When you carry a whip, it is intimidating, whether you use it or not. You know you're going to be hit and it's going to hurt. That's why it's respected by the animal. It has previous experience with a whip hurting and frightening it. Whether the whip actually hurts or not isn't relevant. What's important is the public's perception that we are a cruel and uncaring sport. You don't improve that perception by allowing a well-known jockey, Alex Solis, to cast the deciding vote on "the number of times a horse can be beaten"! Of course he wants his horses to win! He feels the whip gives him an edge (and more money). He should have recused himself or been ordered to recuse himself. He feels he has something to gain by his vote.

Racing needs to improve its persona. We need to show the public that we care about the sport. We have friends who don't want to go to Santa Anita with us because they don't like to see animals being beaten. After the Preakness I was asked multiple times about Homeboykris' and Pramedya's deaths. Nothing about Exaggerator or Nyquist, because they hadn't seen the race but read about the deaths of horses that day.

The reality is, no athlete should be whipped in order to win. You get a victory whether the athlete is whipped or not. Carrying a whip for safety reasons is a different matter.

Racing is going down the drain. The American Pharoah gilt will last only so long. Racing needs to do SOMETHING to show the public that it is honorable and cares about its athletes. We don't allow jockeys to hit each other with the whip in order to win! Why the horses? Because they are animals and it doesn't matter. It is time to make some headlines and do the right thing for our horses and our image. All of us gain.

Appreciatively,


Wendy Averill

Comment on Proposed Modification of CHRB Rule 1688

As a Steward, I contract with the California Horse Racing Board to, among other things, interpret and apply the Rules and Regulations promulgated by the Board. A year and a half ago, this Board modified Rule 1688 Use of Riding Crop to limit the number of times a jockey could strike his or her horse in a race. The rule went into effect on July 1, 2015, and has been evenly and appropriately applied over the last 14 months. In my view, the rule has been a resounding success and has placed California, yet again, in the forefront of racing in the United States in terms of both the evolution of whip use rules and in the broader context of animal welfare. The CHRB has received few, if any, complaints from the wagering public and in fact has received broad support for its forward thinking action. Now, the Jockeys' Guild is requesting a modification to this rule, offering tepid support that would roll back the good work this Board has already undertaken. The Board should reject this proposal and take a strong stance against rules that allow, if not encourage, more whipping.

First, modifying the current rule to allow more whipping would be a logistic, unsafe and disruptive mistake. Based on my experience and conversations with other Stewards in California, the current rule has created safer, cleaner and more predictable riding in races in California. In fact, I the Jockeys' Guild recognizes that the current rule actually makes racing safer for its constituency. Modifying the rule to allow more whipping would make racing less safe for its participants. In addition to creating less safe conditions, the proposed modification would be logistically difficult to apply and lead to uneven and inconsistent results for stakeholders and

the wagering public. The proposed modification allows, if not encourages jockeys to use their whips 4 times (rather than 3) in succession during the last sixteenth of a mile of a race. There is no camera at the sixteenth pole so judging exactly when this difference from the rest of the race is allowed to happen will not be possible to accomplish with any precision or fairness. Further, the rule does not state whether the four strikes must entirely be within the last sixteenth or can begin before the sixteenth marker as long as it ends after the marker. While this may seem a bit in the weeds in terms of detail, Stewards in California will be saddled with the burden of applying this modification and will have a difficult time doing so fairly and consistently. Further, this modification would be disruptive for riders and the wagering public. The current rule has been in effect for almost 14 months, and while there has been a learning curve for all affected in terms of adjusting to this modification, we are at a point where all participants (and Stewards for that matter) know what to expect. Not only would the proposed rule in a very real way, start this process over again, but it would do so for bad reasons. Promoting whipping cannot and should not be a goal or even an ancillary consequence of rule making in the California racing industry. It is also anecdotal at best and incorrect at worst to claim that four strikes rather than three strikes would (a) change the outcome of a race or (b) cause a horse to run any faster. "Another whack" as Commissioner Beneto pointed out when this modification first came before the Board is simply that - just another whack.

Second, this proposed modification is inimical to what we in the horse racing industry should allow, much less promote. This summer, I went to work each racing

day passing by animal rights advocates who protested racing at Del Mar. This is a scene that is played out everyday at other tracks in the US and shows no signs of dissipating. In a day and age when SeaWorld is abandoning their orca program and Barnum and Bailey Circus is no longer using elephants, we are tone deaf in the horse racing industry if we think that passing rules that allow more whipping will expand our fan base or even comport with what today's society and culture will allow, much less endorse.

In a recent poll of Stewards in North America conducted by the Racing Officials Accreditation Program (ROAP), 90% of those responding believed that 3 or less whip strikes is suitable before allowing the horse a chance to respond. This is a group who may or may not have a similar whip rule in their jurisdiction and is a group that watches and analyzes races professionally. Many in the group of Stewards are retired jockeys and as a group has countless years of experience in the thoroughbred racing industry. In short, they are experts in riding rules and their application, as well as race video analysis. While the Jockeys' Guild clearly has some expertise in what jockeys do and the rules that affect them, and while their input is important in rule making, they should be permitted to write the very rules that are designed to regulate their group—especially when that rule would compromise safety, public perception and animal welfare. That would not be much different than the trainer's organization rewriting medication rules to be more permissive because it finds a particular regulation to be too burdensome. I implore this Board to not roll back the good work it has done in the area of whip regulation and vote against the proposed modification to rule 1688.

Regards,

C. Scott Chaney

Steward, California Horse Racing Board

Coburn, Harold

September 14, 2016

Mr. Harold Coburn
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825
E-mail: haroldc@chrb.ca.gov

To the Honorable Members of the California Horse Racing Board:

The following comments are my own personal opinions and do not necessarily reflect those of any of my employers, past or present.

Perception is reality.

In today's climate, it would be irresponsible for the California Horse Racing Board to increase the number of times a horse is allowed to be whipped in the final 1/16th of a mile from three to four.

It would be completely tone deaf to the growing public perception of horse racing in today's reality.

The largest threat Thoroughbred racing currently faces has nothing to do with takeout rates; competition from casinos, fantasy sports, internet poker; Lasix, inconsistent national medication policies and penalties, or the lack of a league office.

The largest threat Thoroughbred racing currently faces are the Animal Rights Activists taking aim at its jugular. To quote an old phrase: "We ain't seen nothing yet."

The animal rights groups cannot be ignored or dismissed as crack-pots and crazies. These are very large, extremely well-funded organizations that expertly cement people's thoughts. They have successfully altered the business plans of Ringling Bros. and Sea World by bringing laser focus to animal welfare issues. Now that they have accomplished shutting down the Elephants and the Orcas, they desperately need a new cause to continue to raise millions of dollars. And, yes, their target is going to be our business.

Whips do not cause horses to die – but the visual impression of horses being hit repeatedly provides stark contrast to the standard poetic prose that these beautiful animals are doing what they are born to do. The CHRB must remain acutely aware of the increasing public opinion that this industry does not care about horses. Over-turning or modifying a rule enacted for the specific purpose of protecting the animals being raced renders mute any reason designed to counter that point of view.

Please do not contribute to the accusations that horse racing is cruel by voting to increase the number of times a jockey can "use a riding crop without giving the horse a chance to respond before using the crop again." Especially when the present rule was specifically intended to help the CHRB counteract abuse claims. It is counter-intuitive to assert, as the CHRB's *Policy Statement Overview of Anticipated Benefits of Proposal* does, that approving this amendment will create an environment where "the public will see horse racing as a sport that cares, which may result in an increase of attraction to the sport." This could not be further from the truth.

The word "reasonable" was used a lot during the discussion at the May CHRB Board Meeting. Who is to say that four is more reasonable than three - the horse being "encouraged"? What makes four times more reasonable than five or six? Please listen to how the argument that "My horse got very tired so I hit (him) again" actually sounds out loud.

Can you imagine the outcry and media response if this item had been on the agenda at last month's CHRB meeting at Del Mar? A California CBS Affiliate has already used the headline: "California Moves to Allow Jockeys to Whip Horses More." As harsh as it reads, the statement is correct.

I've spent more than 30 years covering and promoting the sport of horse racing, the last three decades with Santa Anita Park and the last 28 years with NBC Sports, as well as numerous years with ESPN, ABC, Fox and HRTV. We in racing know that the vast majority of these horses are well cared for, and that great strides have been made in how they are cared for after their racing days are over. But we must continue to do everything that can be done at each and every turn, including this one. It is our responsibility.

California is not alone with the looming onslaught from animal rights groups. This is an impending national crisis. A continent away, Saratoga had organized protesters at their front gates. The website www.horseracingwrongs.com will give you a preview of the imminent forecast. There are others and they are building in size and strength. Those who spoke at the CHRB meeting were just the first wave of a storm which is growing into a hurricane rapidly approaching racing's shore.

But rather than heed the warnings, the CHRB instead is considering a proposal to increase the amount of times a horse can be hit. It is tantamount to swimming at high tide while a hazardous Category 4 churns the waters white.

Respectfully submitted,

Amy J. Zimmerman

xc: Mr. Rick Baedeker, CHRB Executive Director

**The Jockey Club**

821 Corporate Drive, Lexington, KY 40503

Phone: (859) 224-2700 | Fax: (859) 224-2710

September 15, 2016

Mr. Harold Coburn, Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Amendments to Rule 1688, Use of Riding Crop

Dear Mr. Coburn:

This letter is written on behalf of The Jockey Club Thoroughbred Safety Committee to indicate its opposition to the proposal to amend the California Horse Racing Board ("CHRB") Rule 1688, Use of Riding Crop. The proposed amendment would increase the permissible number of times the crop may be used in succession from its present three to four in the final one-sixteenth mile of the race.

By way of background, the Thoroughbred Safety Committee ("Committee") is a standing committee of The Jockey Club created in 2008 to review every facet of equine health and to recommend actions the industry can take to improve the health and safety of Thoroughbreds. The Committee has studied many issues related to the safety and integrity of Thoroughbred racing. For a full list of recommendations, please visit <http://www.jockeyclub.com/tsc.asp>.

The public perception of the use of the crop in horse racing is a continuing source of public debate among fans, stakeholders, regulatory authorities and the media.

After approximately six months of deliberation, which included analysis of state and international rules, comments provided to Committee members from industry stakeholders and a thorough review of public feedback to stories in horse racing trade publications on the topic, the Committee issued the attached recommendation in August 2016.

The recommendation is consistent with the current rule in California calling for the crop to be used three or fewer times in succession regardless of the distance to finish.

To further reduce negative perceptions regarding use of the crop, the Committee has also recommended prohibiting the rider from using the crop from a position where the arm is raised above shoulder height. To support this recommendation, a progressive penalty structure for misuse features fines and suspensions for repeat offenders.

**The Jockey Club**

821 Corporate Drive, Lexington, KY 40503

Phone: (859) 224-2700 | Fax: (859) 224-2710

The Committee encourages the CHRB to avoid retreating from its original rule and reject the proposal.

Please do not hesitate to contact me if you have any questions or need additional information on this issue of riding crops.

Kind regards,

Matt Iuliano
Executive Vice President & Executive Director,
The Jockey Club

cc: The Jockey Club Thoroughbred Safety Committee

**THE JOCKEY CLUB
THOROUGHBRED SAFETY COMMITTEE
RECOMMENDATION
August 14, 2016**

Recommendation: Use of the Crop and Penalties for Misuse of the Crop

Use of the riding crop during the race has been the subject of intense debate among racing fans, stakeholders and regulatory authorities. International racing jurisdictions have amended rules to more strictly regulate the use of the riding crop via alternative regulatory approaches, including metering use of the crop over set distances and by number of times the riding crop can be applied during a race.

Survey results from the Racing Officials Accreditation Program (ROAP) concluded that a majority of racing stewards indicated use of the riding crop is a significant issue in horse racing today.

Based upon review of international and domestic rules of racing regulating the use of the riding crop and responses to the ROAP survey, The Jockey Club Thoroughbred Safety Committee calls for:

“The Association of Racing Commissioners International (ARCI) Model Rules Committee amends, and all regulatory authorities immediately adopt, ARCI Rule 010-035, Articles (E)(7) to include new penalty guidelines for misuse of the riding crop. The new guidelines prohibit raising the arm above shoulder height when applying the riding crop and limiting its use to provide opportunity for the horse to respond. Progressive penalty guidelines incorporate stewards’ review, fines and possible suspensions for repeat offenders.

ARCI-010-035 Running of the Race

E. Post to Finish

(7) Use of Riding Crop

- (a) Although the use of a riding crop is not required, any jockey who uses a riding crop during a race shall do so only in a manner consistent with exerting his/her best efforts to win.
- (b) In all races where a jockey will ride without a riding crop, an announcement of such fact shall be made over the public address system.
- (c) No electrical or mechanical device or other expedient designed to increase or retard the speed of a horse, other than the riding crop approved by the stewards, shall be possessed by anyone, or applied by anyone to the horse at

any time on the grounds of the association during the meeting, whether in a race or otherwise.

- (d) Riding crops shall not be used on two-year-old horses before April 1 of each year.
- (e) The riding crop shall only be used for safety, correction and encouragement.
- (f) All riders should comply with the following when using a riding crop:
 - (A) Showing the horse the riding crop and giving it time to respond before hitting it;
 - (B) Having used the riding crop no more than three times in succession, giving the horse a chance to respond (a minimum of two strides) before using it again;
 - (C) Using the riding crop in rhythm with the horse's stride.
- (g) Prohibited use of the riding crop includes but is not limited to striking a horse:
 - (A) on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse;
 - (B) with the arm above shoulder height (of the rider)
 - (C) with the handle of the riding crop
 - (D) during the post parade or after the finish of the race except when necessary to control the horse;
 - (E) excessively or brutally causing welts or breaks in the skin;
 - (F) when the horse is clearly out of the race or has obtained its maximum placing;
 - (G) persistently even though the horse is showing no response under the riding crop; or
 - (H) striking another rider or horse.
- (h) After the race, horses will be subject to inspection by a racing or official veterinarian looking for cuts, welts or bruises in the skin. Any adverse findings shall be reported to the stewards.
- (i) The giving of instructions by any licensee that if obeyed would lead to a violation of this rule may result in disciplinary action also being taken against the licensee who gave such instructions

Races with a purse of under \$100,000							
Inappropriate urging of the horse				Cutting or Welting the horse			
	Min. Fine	Min. Riding Suspension	Other Penalty		Min. Fine	Min. Riding Suspension	Other Penalty
1st offence	\$250	0 days	Mandatory meeting with the stewards for regulation review and race replay instruction	1st offence	\$500 or race day		Mandatory meeting with the stewards for regulation review and race replay instruction
2nd offence within one year of the 1st offence	\$500	1 race day	Mandatory meeting with the stewards for regulation review and race replay instruction	2nd offence within one year of the 1st offence	\$1,000	3 race days	Mandatory meeting with the stewards for regulation review and race replay instruction
3rd offence within one year of the 1st offence	\$1,500	3 race days	Mandatory meeting with the stewards for regulation review and race replay instruction	3rd offence within one year of the 1st offence		immediate suspension	Referral to Commission
4th offence within one year of the 1st offence			Referral to Commission				

Races with a purse of \$100,000 and over

For any offence that takes place in a race where the purse is \$100,000 or more, the penalty shall be a minimum fine of 20% of the jockey's earnings or the minimum fine listed above (whichever is greater).

September 20, 2016

California Horse Racing Board
Harold Coburn, Regulations Analyst
1010 Hurley Way, Suite 300
Sacramento, CA 95825
Haroldc@chrh.gov

RE: Potential Changes to CHRB Rule 1688, Use of the Riding Crop

Dear CHRB members:

The Race Track Industry Program is an undergraduate and graduate program offered at the University of Arizona that specializes in preparing college students to enter the horse racing industry. Graduates of the program are the current leaders of many of the industry's major tracks, organizations and racing stables.

Experiential learning is of great importance to our curriculum; the course entitled Animal Racing Laws and Enforcement has used this rule change proposal as a case study and an opportunity to get tomorrow's leaders of racing involved in the decision making process of the industry today. During this project the students researched the process of making a rule change in the state of California as well as the specific issue at hand.

After researching the issue, the rule as it stands now and its effect on racing when it was enacted, the students in the class were unanimously opposed to any change in the current rule. The reasons are as follow:

- The perception of horses being abused by the whip (crop) is of great concern to the general public and one of the issues with the greatest potential to affect the future of the sport. Reducing the number of strikes before allowing the horse to respond was a positive move.
- As per discussions with some of the stewards who officiate the races in California, it is their opinion that the quality of riding has improved due a limitation of whip use and very few, if any, horses have placed in a lesser position than they would have prior to the "three strike then respond" restriction.
- As per a panel session at the Welfare and Safety of the Racehorse Summit, Hall of Fame riders noted that competitive riders do not rely just on the use of the crop and the goal of good riders is to use it sparingly.
- Adding the additional strike in the last 1/16th of a mile will, in most cases, amount to just one more strike - given the actual time and number of strides expected in the last portion of the race - not enough in this group's mind to change the outcome of the race.
- Adding the additional strike in the last 1/16th of a mile will not change the outcome of the race but it has great potential to increase the dissatisfaction of much of the general, as well as, racing public as this is where the public is up close to the race and the action of striking a horse is most apparent.

Thank you very much for the opportunity to be heard on this issue.

Sincerely,

Wendy Davis
RTIP Associate Coordinator



Racing Officials Accreditation Program
821 Corporate Drive Lexington, KY 40503

September 19, 2016

California Horse Racing Board
Harold Coburn, Regulations Analyst
1010 Hurley Way, Suite 300
Sacramento, California 95825
haroldc@chr.ca.gov

RE: Potential Changes to CHRB Rule 1688, Use of the Riding Crop

Dear CHRB members:

The Racing Officials Accreditation Program (ROAP) is the accrediting body for racing officials and in particular the stewards. ROAP routinely surveys our current working stewards for their perspective on various rules and regulations in hopes of better defining the policies and educational components of our programs. We make this information available, upon request and when applicable, to commissions and tracks to assist with rule making. We are also active participants in the model rule process.

In response to your upcoming discussion on crops, to follow are the relevant responses to a recent "Crop Usage Survey" that was sent out to our current working stewards. For background, the 2016 ROAP Points of Emphasis includes "*Enforcement of the Use of the Whip or Racing Crop Rules and Regulations.*" We began broad discussions about the regulation of the use of the crop earlier this year.

If you have any questions or would like more detail, please let me know.

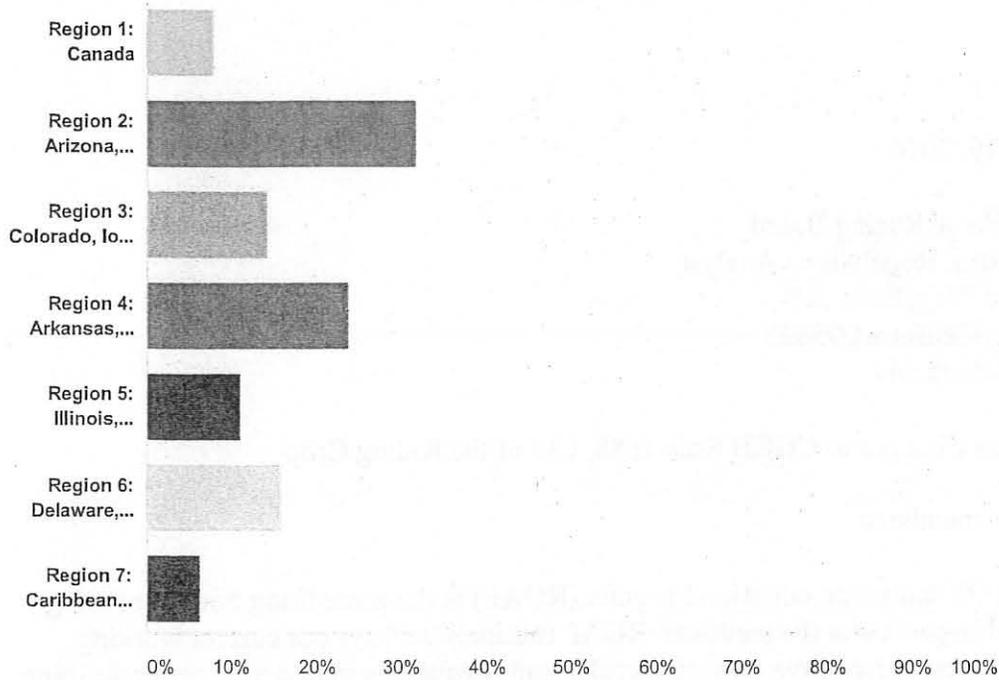
Regards,

Kristin Werner Leshney, Treasurer

On behalf of Cathy O'Meara, ROAP Coordinator

Q1 What jurisdiction/region do you primarily work? (select multiple if you work in more than one region)

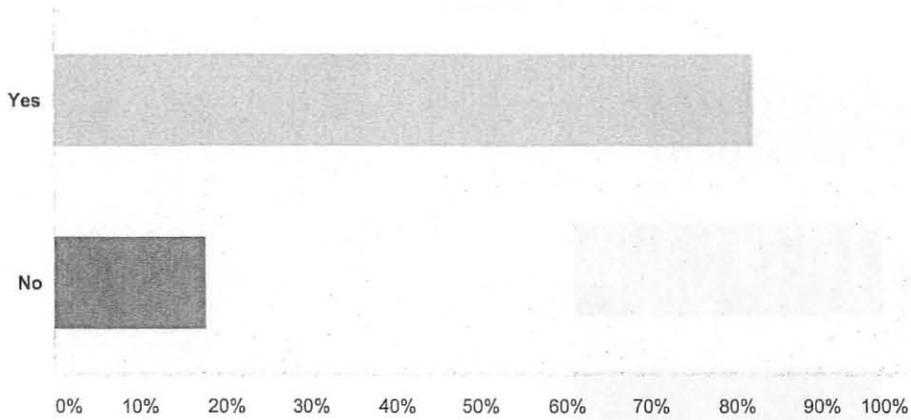
Answered: 63 Skipped: 0



Answer Choices	Responses
Region 1: Canada	7.94% 5
Region 2: Arizona, California, Idaho, Nevada, Oregon, Utah, Washington	31.75% 20
Region 3: Colorado, Iowa, Minnesota, Nebraska, Wyoming	14.29% 9
Region 4: Arkansas, Louisiana, Montana, New Mexico, Oklahoma, Texas	23.81% 15
Region 5: Illinois, Indiana, Kentucky, Michigan, Ohio	11.11% 7
Region 6: Delaware, Florida, Massachusetts, Maryland, New Jersey, New York, Pennsylvania, Virginia, West Virginia	15.87% 10
Region 7: Caribbean Tracks	6.35% 4
Total Respondents: 63	

Q2 Is crop usage an issue for racing today?

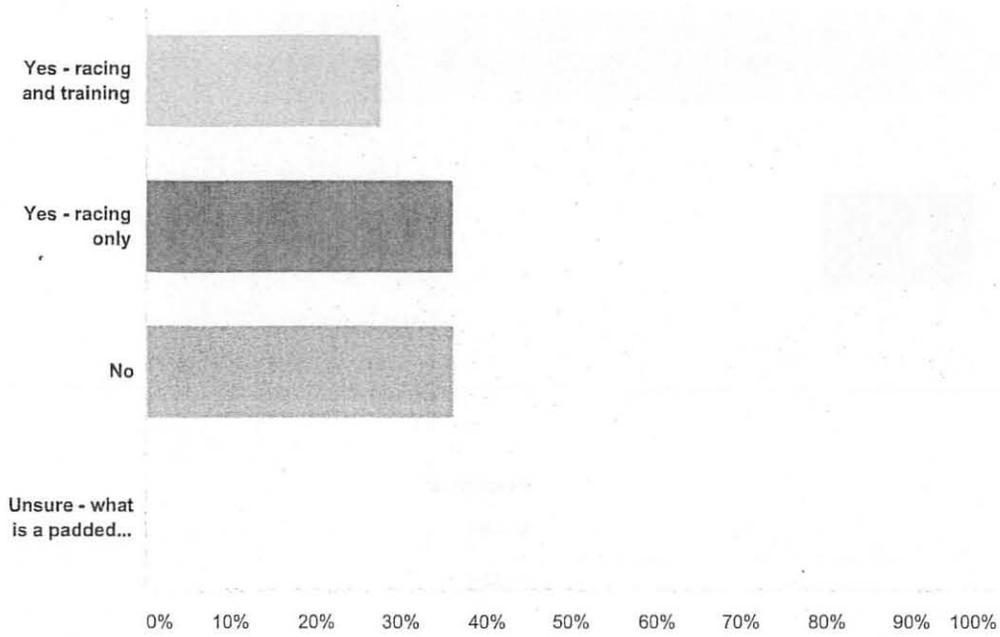
Answered: 62 Skipped: 1



Answer Choices	Responses	
Yes	82.26%	51
No	17.74%	11
Total		62

Q3 Does your jurisdiction have a rule specifying the use of a padded safety crop?

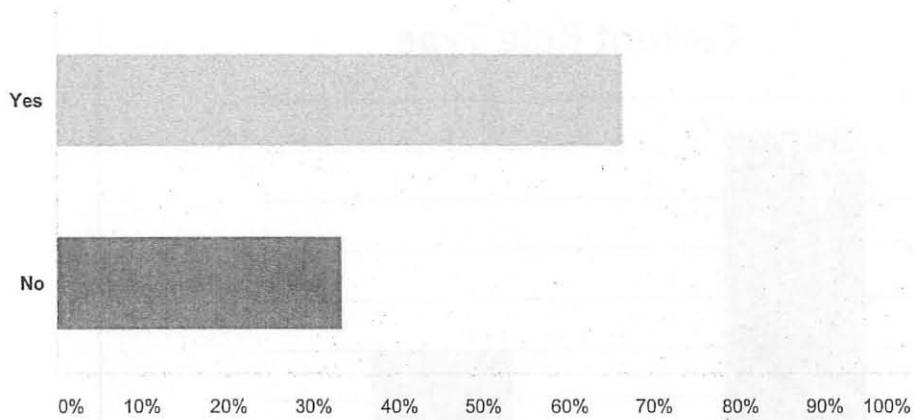
Answered: 61 Skipped: 2



Answer Choices	Responses	
Yes - racing and training	27.87%	17
Yes - racing only	36.07%	22
No	36.07%	22
Unsure - what is a padded safety crop?	0.00%	0
Total		61

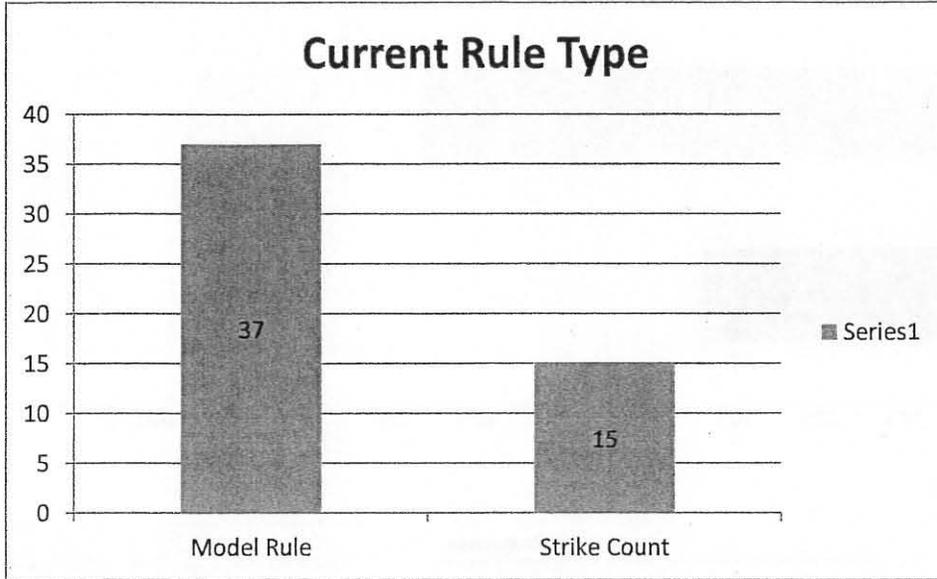
Q4 Does your jurisdiction enforce the padded safety crops?

Answered: 60 Skipped: 3



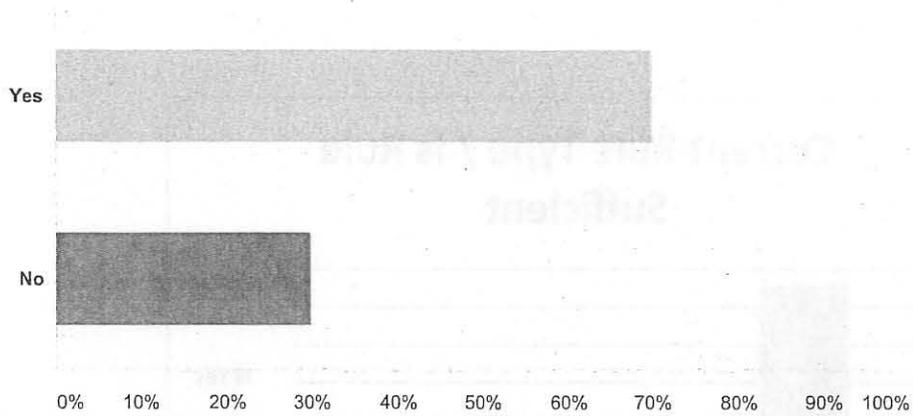
Answer Choices	Responses	
Yes	66.67%	40
No	33.33%	20
Total		60

respondents were asked to copy and paste their rules into the survey. The rules were placed into two categories – 1. Model rule or 2. Strike count. Those in the model rules category have language close to or exactly the same as the model rule which the strike count category is for jurisdictions that specifically indicate a strike count.



Q6 Do you feel your current crop usage rules are sufficient?

Answered: 57 Skipped: 6

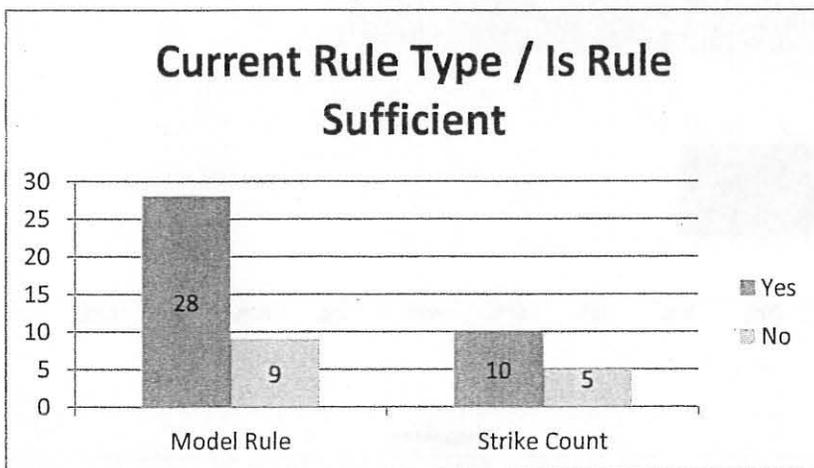


Answer Choices	Responses	
Yes	70.18%	40
No	29.82%	17
Total		57

Response	Percentage	Count
Yes	70.18%	40
No	29.82%	17
Total		57

DAP Crop Survey – Question 5 and Question 6 review

Below is a review of the type of rule the stewards currently have in their jurisdiction in comparison to if they feel their rule is sufficient.

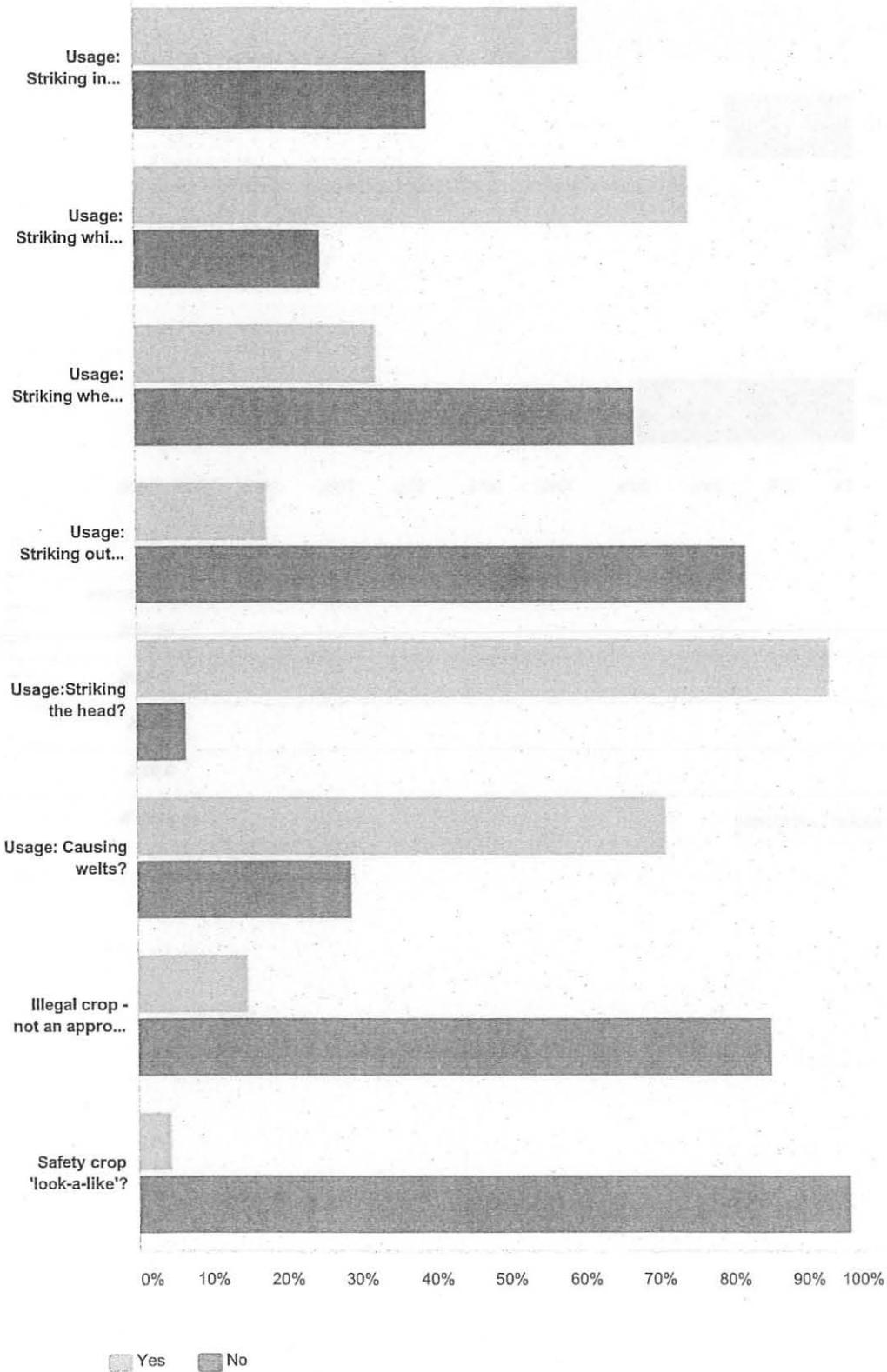


Some of the comments from stewards:

Rule	Satisfied with rule	Comments?
Model Rule	no	considering strike count
Model Rule	no	need to address public perception
Model Rule	no	needs a strike count
Model Rule	no	strike count under consideration
Model Rule	no	they are ok if enforced strictly - but in favor of a strike count
Model Rule	no	understand need for more restrictive use
Model Rule	yes	don't currently have padded whip
Model Rule	yes	if applied appropriately
Model Rule	yes	not in favor of whip count
Model Rule	yes	ok for now
Model Rule	yes	padded whips are not necessary
strike count	no	less is better
strike count	No	needs to explain chance to respond
strike count	No	needs to explain chance to respond
strike count	no	needs to explain chance to respond
strike count	no	tweaking as needed ok
strike count	yes	Currently use 5 in succession
strike count	yes	Currently use 5 in succession
strike count	yes	Currently use 5 in succession

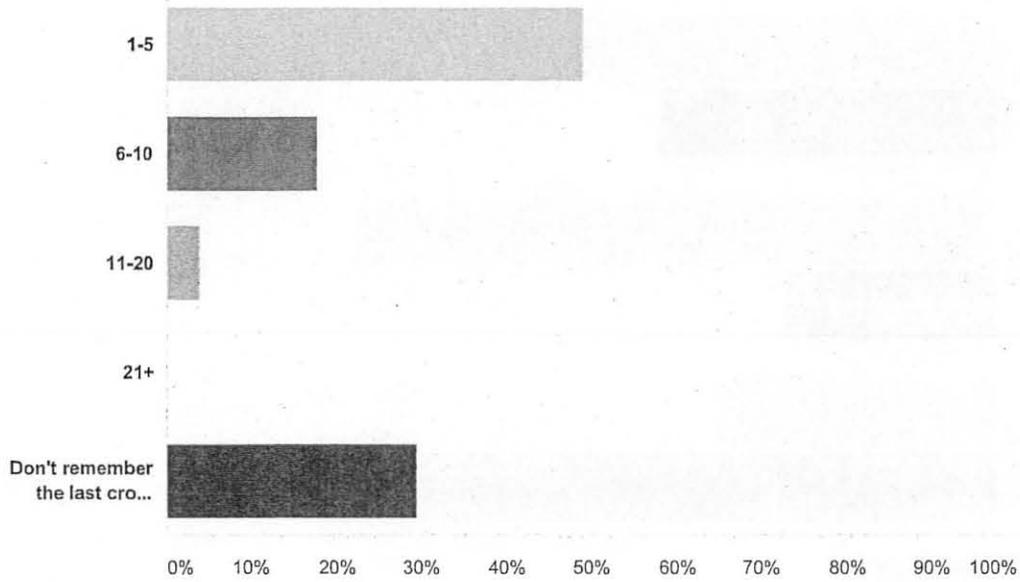
Q7 Have you sanctioned any jockeys for misuse of the crop or illegal crops in the past 5 years?

Answered: 60 Skipped: 3



Q8 How many crop usage rule violations (approximately) do you adjudicate monthly?

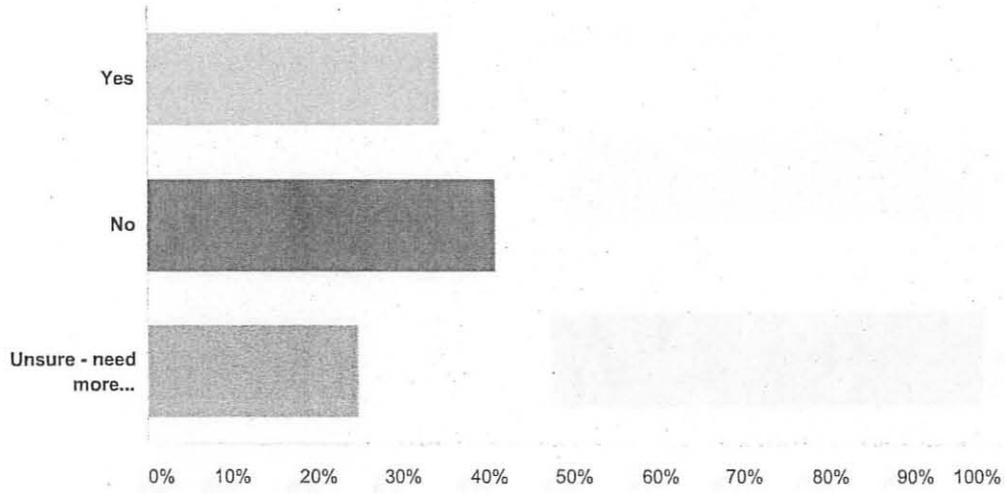
Answered: 51 Skipped: 12



Answer Choices	Responses	
1-5	49.02%	25
6-10	17.65%	9
11-20	3.92%	2
21+	0.00%	0
Don't remember the last crop usage violation I adjudicated	29.41%	15
Total		51

Q9 Are you in favor of a specified whip count being added to your current rule?

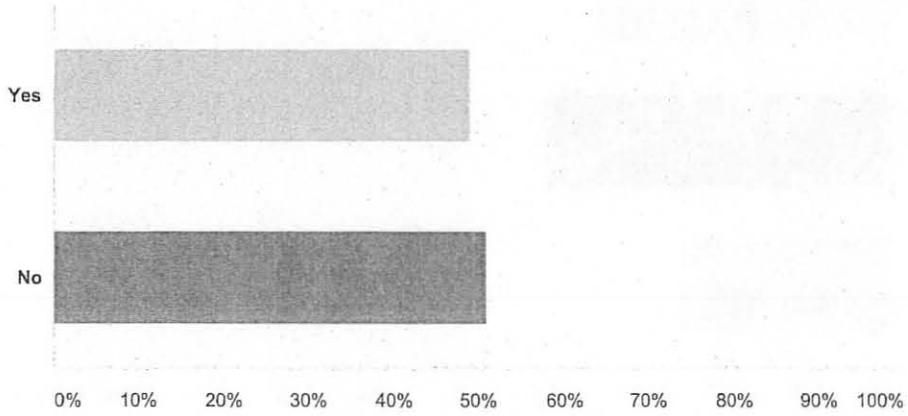
Answered: 61 Skipped: 2



Answer Choices	Responses	
Yes	34.43%	21
No	40.98%	25
Unsure - need more information	24.59%	15
Total		61

Q10 Should the number of strikes be consistent throughout the race? (Some have suggested differing strike counts for different parts of the race)

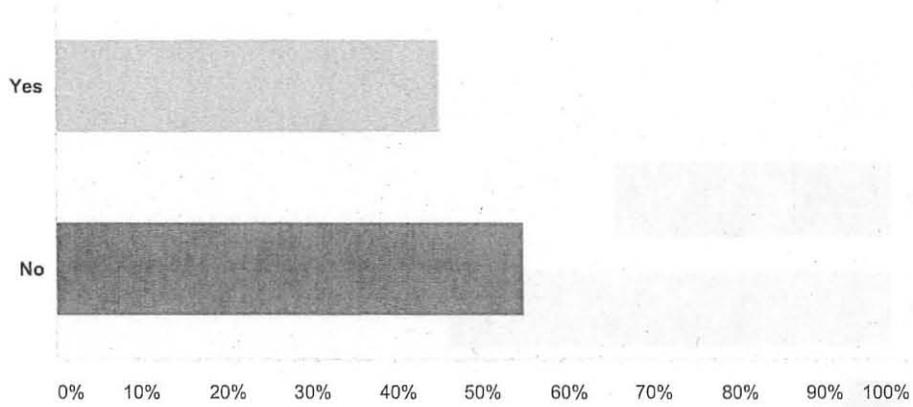
Answered: 57 Skipped: 6



Answer Choices	Responses	
Yes	49.12%	28
No	50.88%	29
Total		57

Q11 Should more strikes be allowed during the last 1/16th of a mile?

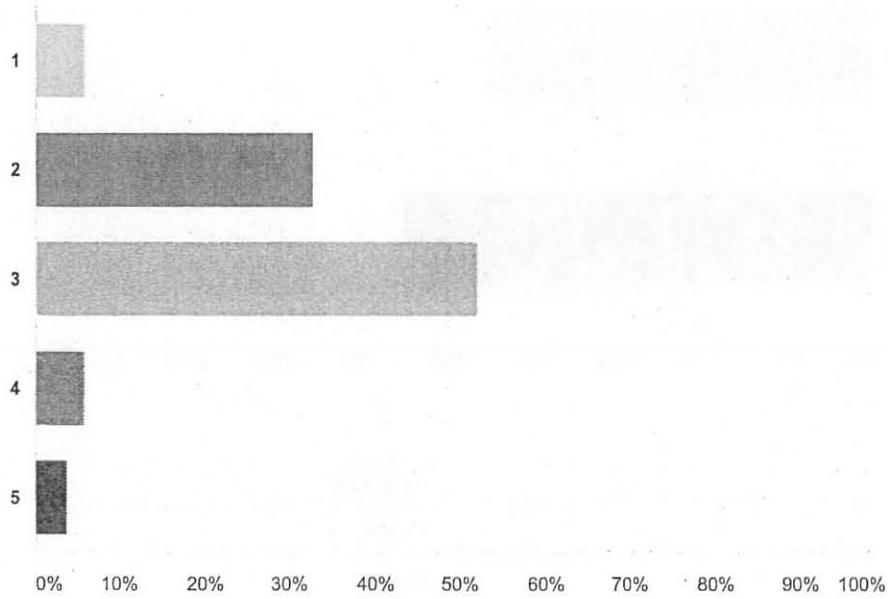
Answered: 51 Skipped: 12



Answer Choices	Responses	
Yes	45.10%	23
No	54.90%	28
Total		51

Q12 What is a suitable number of strikes in succession before allowing the horse time to respond?

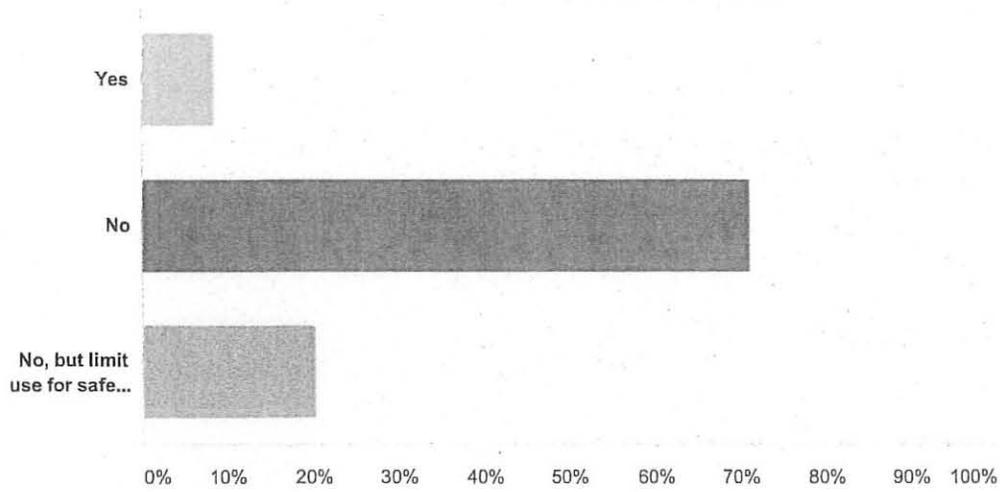
Answered: 52 Skipped: 11



Answer Choices	Responses
1	5.77% 3
2	32.69% 17
3	51.92% 27
4	5.77% 3
5	3.85% 2
Total	52

Q13 Are you in favor of removing the crops from racing competition?

Answered: 59 Skipped: 4



Answer Choices	Responses	
Yes	8.47%	5
No	71.19%	42
No, but limit use for safety only	20.34%	12
Total		59

Q14 If your jurisdiction implemented a strike count rule, what would be the appropriate penalties for each offence?

Answered: 49 Skipped: 14

\$100 \$200 \$300 \$400 \$500 \$600 \$700 \$800 \$900 \$1000 Total

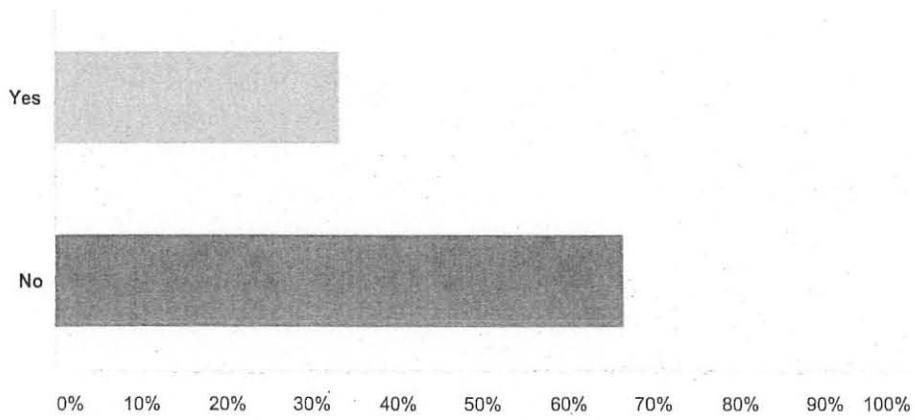
First Offence	61.70%	31.91%	0.00%	0.00%	6.38%	0.00%	0.00%	0.00%	0.00%	0.00%	47
	29	15	0	0	3	0	0	0	0	0	14-36
Second Offence	4.17%	54.17%	14.58%	12.50%	10.42%	2.08%	0.00%	0.00%	0.00%	2.08%	48
	2	26	7	6	5	1	0	0	0	1	
Third Offence	0.00%	6.67%	20.00%	28.89%	24.44%	11.11%	2.22%	2.22%	0.00%	4.44%	45
	0	3	9	13	11	5	1	1	0	2	
Fourth Offence	0.00%	0.00%	3.13%	18.75%	21.88%	3.13%	0.00%	18.75%	0.00%	34.38%	32
	0	0	1	6	7	1	0	6	0	11	

ays (racing)

	No days	1 day	2 days	3 days	4 days	5 days	6 days	7 days	Total
First Offence	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	14
	14	0	0	0	0	0	0	0	14
Second Offence	43.75%	18.75%	12.50%	12.50%	0.00%	0.00%	0.00%	12.50%	16
	7	3	2	2	0	0	0	2	16
Third Offence	9.52%	14.29%	4.76%	19.05%	4.76%	14.29%	0.00%	33.33%	21
	2	3	1	4	1	3	0	7	21
Fourth Offence	0.00%	3.57%	17.86%	21.43%	3.57%	10.71%	0.00%	42.86%	28
	0	1	5	6	1	3	0	12	28

Q15 Should penalties be higher for races with large purses (for example - Purses greater than \$100,000 carry a percentage penalty of the jockeys share of the purse)

Answered: 57 Skipped: 6



Answer Choices	Responses	
Yes	33.33%	19
No	66.67%	38
Total		57