

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, October 23, 2014**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of September 24, 2014.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Alamitos Quarter Horse Racing Association in the amount of \$33,514 to five beneficiaries.**
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing December 26, 2014 through June 14, 2015, inclusive.
6. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club, (T) at Santa Anita**, commencing December 26, 2014 through June 28, 2015, inclusive.
7. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Los Alamitos Horse Racing Association (T) at Los Alamitos**, commencing December 3, 2014 through December 23, 2014, inclusive.
8. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Los Alamitos Quarter Horse Racing Association (Q) at Los Alamitos**, commencing December 26, 2014 through December 20, 2015, inclusive.

9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H) at Cal Expo**, commencing December 26, 2014 through May 3, 2015, inclusive.
10. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1588, Horse Ineligible to Start In a Race**, to provide that a horse is ineligible to start in any race in California if it is on the Veterinarian's List in another racing jurisdiction, unless with prior approval of the stewards. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
11. Public hearing and action by the Board regarding the proposed amendment to **CHRB Rule 1858, Test Sample Required**, to eliminate the maximum restriction on samples that may be taken, and to add horses registered to race at an inclosure, nominated to race, and pre-entered in a race to those horses subject to testing. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
12. Report from the **Medication and Track Safety Committee**.
13. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1843.3, Penalties for Medication Violations, to prohibit: 1) suspended trainers from transferring horses to employees; 2) the use of any signage, colors or identifiable tack of a suspended trainer during a suspension and 3) to change from 60 days to 45 days, the requirement that trainers suspended for such time be banned from the enclosure and forfeit all assigned stall space and remove from the inclosure all signage, advertisements, training-related equipment, tack, office equipment and other property**.
14. Discussion and action by the Board regarding the **status update from the Los Angeles Turf Club on its backside improvement plans for Santa Anita and San Luis Rey Downs**.
15. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).

C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Bo Derek, 1st Vice Chairman
Richard Rosenberg, 2nd Vice Chairman
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Madeline Auerbach, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

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PENDING LITIGATION OCTOBER 2014

COURT LITIGATION

- A. Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
- B. Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2008-00024426
Court of Appeal, Third Appellate District, C074852
- C. San Luis Rey Racing, Inc., vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- D. Donald Lockwood vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS147701
- E. James Hanson vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS147729

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**PENDING ADMINISTRATIVE ADJUDICATIONS
OCTOBER 2014**

CASE

A. Proposed Decision

Jockey Joe Talamo

Case Number: SAC 14-0051

B. Proposed Decision

Trainer Roger Stein

Case Number: 14SA161

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Finish Line Room at the Los Alamitos Race Course**, 4961 Katella Avenue, Los Alamitos, California, on **September 24, 2014**.

Present: Chuck Winner, Chairman
 Richard Rosenberg, 2nd Vice-Chairman
 Madeline Auerbach, Member
 Steve Beneto, Member
 Jesse H. Choper, Member
 George Krikorian, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Baedeker stated CHRB recently submitted five budget change proposals. Three were denied by agency for not meeting guidelines issued by the Governor. The fourth, to replace CHRB's records management system, was returned with the possibility of consideration at a later date. The fifth, which would increase funding for the Kenneth L. Maddy Equine Analytical Chemistry Laboratory, was still being considered. He said CHRB was working on solutions for issues pertaining to the use of "program trainers," or trainers who are not involved in the training of horses but take over responsibility right before the horse races. Executive Director Baedeker stated the adopted amendment to CHRB Rule 1844 would go into effect October 1, 2014, and the proposed amendment to CHRB Rule 1688 was in its 45 day public comment period. He said the public hearing for the amendment to 1688 would be at the November Regular Board Meeting. Executive Director Baedeker stated the industry was moving closer to implementing the use of microchips; InCompass would be providing a demonstration of the system during Breeders' Cup week. He said the 2014 Sonoma County Fair race meeting compared to its race meeting in 2013 had a 7.4 percent decline in handle, and a drop in field size from 7.49 to 6.94 horses per race. Executive Director Baedeker stated the Ferndale County Fair

Proceedings of the Regular Meeting of September 24, 2014

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2014 race meeting had a 14.64 increase in total handle compared to its race meeting in 2013. The increase was due to an additional host day and a 3.6 percent increase in on-track handle. He said the all source handle at Del Mar's 2014 summer race meeting was down 8.6 percent, with a field size of 8.8 horses per race. Executive Director Baedeker stated the 2014 race meeting of the Los Angeles County Fair at Los Alamitos had an increase in average daily handle of 26.6 percent and an increase in field size from 6.99 to 7.33 horses per race when compared to its 2013 race meeting run at Fairplex. He said that for the most recent month reported, all sources handle was down 4.33 percent compared to the same time in 2013; however, the year-to-date was about the same.

APPROVAL OF THE MINUTES OF AUGUST 21, 2014

Chairman Winner asked for approval of the minutes of the Regular Meeting of August 21, 2014. Commissioner Beneto **motioned** to approve the minutes. 2nd Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

PUBLIC COMMENT

There were no public comments.

DISCUSSION AND ACTION BY THE BOARD ON THE NOMINATION OF MEMBERS TO THE BOARD OF DIRECTORS OF THE CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.

Jacqueline Wagner, Assistant Executive Director, stated the four nominations for board positions on the California Thoroughbred Horsemen's Foundation were submitted to the Board in

accordance with CHRB Rule 2049. The individuals; Joe Morris, Victor Levine, Angie Karimona, and Calvin Rainey; were nominated for two-year terms that would expire in June, 2016. Commissioner Choper **motioned** to approve nomination of the four members to the board of directors of the California Thoroughbred Horsemen's Foundation, Inc. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING NOVEMBER 5, 2014 THROUGH NOVEMBER 30, 2014, INCLUSIVE.

Joe Harper, representing Del Mar Thoroughbred Club (Del Mar), stated the agreements for the fall race meeting with the California Thoroughbred Trainers, and the Thoroughbred Owners of California, would be finalized shortly. He said there were also some racing officials still not yet decided upon. Tom Robbins, of Del Mar, stated the 2014 fall race meeting was scheduled to have 14 stakes races, including the graded stakes historically run at Hollywood Park in November. In addition, Del Mar was running the Hollywood Turf Cup and the Native Diver Handicap, which were historically run at Hollywood Park in December; and the Beverly Hills Handicap, which was historically run at Hollywood Park in June. Mike Ernst, of Del Mar, stated the projected handle in the application was conservative; however, it still allowed a purse structure consistent with the current Santa Anita race meeting. Mr. Robbins stated the Ship and Win program, successfully used the last four years, would be in place for the proposed fall race meeting, but with a reduced bonus from 34 to 30 percent. Chairman Winner asked if there were any statistics showing the success of the Ship and Win program. Mr. Robbins said 100 horses qualified for Ship and Win in 2013; in 2014 there were 187 qualified horses. He said Del Mar

was also offering \$100 to trainers for every starter run at the fall meeting. Commissioner Auerbach asked if the \$100 was related to anything other than the horse showing up to run. Mr. Robbins stated it was not. Commissioner Auerbach asked if there was any possibility to develop a year-round purse account with consistent purse levels with all of the southern California associations participating; she thought doing so would be attractive to out of state owners and trainers. Mr. Robbins stated Del Mar's purse structure was developed with many considerations, including being consistent with Santa Anita. 2nd Vice-Chairman Rosenberg said guaranteeing year round purse levels would not allow flexibility for unplanned circumstances. Commissioner Auerbach clarified she was not suggesting a guarantee for purse levels, but rather agreeing on a year round goal to work towards. Commissioner Choper asked why Del Mar chose to run four days a week instead of five. Mr. Harper stated it was because there were many unknowns with Del Mar's newly allocated race dates, and also because Santa Anita was running four days a week. Commissioner Choper asked if the additional race dates received any negative feedback from the local community. Mr. Harper said the local community was very supportive of both the Breeders' Cup and the new race dates. Craig Dado, representing Del Mar, stated the marketing for the fall race meeting did not want to cannibalize on the summer race meeting by using the same "summer fun" branding. He said Bing Crosby was a founding member of Del Mar, and Del Mar was planning a very aggressive marketing campaign celebrating Bing Crosby and the Hollywood era. Mr. Dado stated many of the promotions would still be focused on bringing in the younger crowd, but they would all have the Hollywood era theme. Executive Director Baedeker asked how Del Mar was marketing its new race meeting nationally or even internationally. Mr. Dado said satellite and advance deposit wagering customers were already used to wagering on Hollywood Park in November, so it was a matter of using the gaming

publications and simulcast networks to rebrand the race dates as Del Mar's. Commissioner Auerbach **motioned** to approve the application to conduct a horse racing meeting at Del Mar, commencing November 5, 2014 through November 30, 2014, contingent on receipt of all outstanding documents. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PRESENTATION FROM EQUILLOTTERY, WHICH ALLOWS LOTTERY PLAYERS TO PURCHASE TICKETS ON LIVE HORSE RACES BY COMMINGLING IN THE WAGERING POOLS.

Executive Director Baedeker stated CHRB Counsel was satisfied that the proposal from Equilottery was in compliance with California law. He said it was a unique idea that would not cannibalize from the existing horseracing customer base. Brad Cummings, representing Equilottery, stated wagering on horseracing was very complex and had many hurdles for a potential new customer to overcome. Equilottery was a way to give a potential customer a taste of the excitement of live horseracing, but with an accessible luck based format similar to the California Lottery's Quick Pick. He said out of a two dollar Equilottery ticket the California Lottery would get roughly \$0.80, the association would get \$0.25, \$0.20 would go to a California Lottery pool, and the remainder went to the association's appropriate wager pool. California Government Code section 8880.30 stated "A lottery game may be based on the results of a horse race with the consent of the association conducting the race and the California Horse Racing Board." The Government Code section paved the way for the Equilottery model. Mr. Cummings said the Lottery gets its usual commission, horseracing gets the lottery player as a new customer, and the lottery player gets a new game with the excitement of live horseracing.

He stated Equilottery projected \$151 million in handle for the first year, which equated to over \$35 million in takeout revenue. Mr. Cummings shared many examples of industry support for the Equilottery product. He said a great amount of public spotlight would come with starting in California and would be a huge benefit for the product's success. Commissioner Choper asked if there was any skill involved with the format. Mr. Cummings stated it was a quick pick only. Commissioner Beneto asked if Equilottery would have an effect on existing satellite wagering. Mr. Cummings stated money coming in through Equilottery would increase the wagering pools, but the structure of the lottery based ticket would not attract customers who already placed wagers through other formats. Executive Director Baedeker stated the Equilottery ticket would have a much higher takeout, going to both the lottery and the race track, than any other available wagering format. Commissioner Beneto asked if the races would be shown on the lottery screens at locations like 7-eleven. Mr. Cummings said that level of detail would be worked out later in the negotiations. Commissioner Beneto asked once a lottery player bought a ticket how would they then find out if they won or lost. Mr. Cummings said the details of the race, as well as the website to watch the race or see the results, would be on the lottery ticket. Commissioner Beneto asked what happened if the horse scratched. Mr. Cummings stated the ticket would then be worth a new ticket. Commissioner Auerbach asked if Equilottery had already finalized a deal with the California Lottery. Mr. Cummings said there was some initial contact; however, Equilottery planned on working through the racing industry to reach the lotteries. He said he would like an endorsement from the CHRB to take to the Governor and to the Lottery, for future negotiations to move the proposal forward. Chairman Winner asked if Equilottery had tried to contact anyone in the Indian gaming industry for an opinion on the proposal. Mr. Cummings stated it had not, but the proposal did not cannibalize on Indian gaming customers. Chairman

Winner asked if the proposal required any new legislation. Mr. Cummings stated it did not. Commissioner Auerbach stated from a racing standpoint there appeared to be nothing to lose in moving forward with the proposal. Commissioner Krikorian stated it could only improve the purses and Equilottery had the support of the Kentucky Horse Racing Commission. Commissioner Choper **motioned** for the Board to officially support Equilottery's proposal which would allow lottery players to purchase tickets with payouts based on the results of live horse racing. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE STATUS UPDATE FROM THE LOS ANGELES TURF CLUB ON ITS BACKSIDE IMPROVEMENT PLANS FOR SANTA ANITA AND SAN LUIS REY DOWNS.

This item was moved to the October Regular Board Meeting.

REPORT FROM THE PARI-MUTUEL/ADW AND SIMULCAST COMMITTEE.

Commissioner Krikorian stated the first item the Pari-mutuel/ADW and Simulcast Committee discussed was the consideration of the industry hiring an independent statistical expert to research optimal takeout. Jeff Platt, representing the Horseplayers Association of North America (HANA), gave a presentation showing that the handle would steadily increase if takeout rates were reduced on various wagers. Commissioner Krikorian stated other stakeholders expressed concerns that many other factors influenced handle. 2nd Vice-Chairman Rosenberg said adjusting takeout also affected the businesses on the receiving end of the signal and this needed to be a consideration. Commissioner Krikorian said the Committee's recommendation to the Board was to continue urging stakeholders to hire a statistical expert. Executive Director Baedeker stated the discussion between the stakeholders and Mr. Platt was very constructive; it

showed that the issue could potentially move forward in a very civil manner. Chairman Winner stated the Board would continue to act as a facilitator to get all sides together so that they could find common ground and do what was best for horse racing.

MEETING ADJOURNED AT 12:14 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

OCTOBER 23, 2014
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

OCTOBER 23, 2014
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF
LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
AMOUNT OF \$33,514 TO FIVE BENEFICIARIES

Regular Board Meeting
October 23, 2014

ISSUE

Los Alamitos Quarter Horse Racing Association (LAQHRA) is requesting approval to distribute race day charity proceeds generated at its 2013 meet from December 26, 2012 through December 24, 2013. From the net race day charity proceeds, LAQHRA is proposing a total distribution of \$33,514. The organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proceeds will be given to five horse racing related organizations

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

CHRB Analysis
Los Alamitos 2013 Charity Day Proceeds

Nonprofit Organization	Background	Amount	Proposed Disbursement Percentage	Percentage Required by the Horse Racing Law (from the 2/10 of 1%)	Notes
Permanent Disabled Jockeys Fund	Provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with industry and medical research groups to improve the safety of both the human and equine athlete.	7,500	22%	minimum of 20%	d
Race Track Chaplaincy of America (Los Alamitos Council, Region 1)	Ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.	9,014	27%	minimum of 20%	a
Winners Foundation	A support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.	5,000	15%	minimum of 5%	c
Quarter Horse Benevolent Charity Foundation	Provides welfare, charitable, and educational services to persons who have been or intend to breed, raise, train, ride or care for horses.	2,500	7%	minimum of 5%	b
California Equine Retirement Foundation	Provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.	9,500	28%	minimum of 20%	a
Total to Equine Related Charities		33,514	100%	minimum of 50%	
5 charities total		33,514			

Notes:

a	20% to charities associated with the horse racing industry (BP 19556 (b))
b	5% to welfare fund for backstretch personnel (BP 19556 (b))
c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(BP 19556 (b))
d	20% to nonprofit organization that benefits qualified disabled jockeys (BP 19556 (c) (1))
e	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.



Los Alamitos

QUARTER HORSE RACING ASSOCIATION

September 14, 2014

Ms. Rick Baedeker
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The statutory charitable contribution from our 2013 race meet was \$33,514. Accordingly, we respectfully request CHRB approval at the October 23, 2014 regular meeting to make the following distributions:

Permanently Disabled Jockeys Fund	\$ 7,500
California Equine Retirement Foundation	9,500
Quarter Horse Benevolent Charity Foundation	2,500
Winners Foundation	5,000
Race Track Chaplaincy (Los Alamitos)	<u>9,014</u>
Total	<u>\$33,514</u>

The Permanently Disabled Jockey's Fund provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with industry and medical research groups to improve the safety of both the human and equine athlete.

California Equine Retirement Foundation provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.

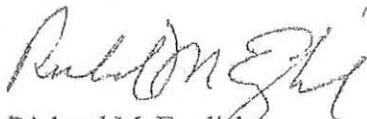
The Quarter Horse Benevolent Charity Foundation provides welfare, charitable, and educational services to persons who have been or intend to breed, raise, train, ride or care for horses.

The Winners Foundation is a support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.

The Race Track Chaplaincy of America (Los Alamitos Council, Region 1) ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.

All proposed beneficiaries are industry related charities. I would be pleased to discuss any questions or comments the Board may have.

Sincerely,

A handwritten signature in cursive script, appearing to read "Richard M. English".

Richard M. English

STAFF ANALYSIS

October 23, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS DECEMBER 26, 2014 THROUGH JUNE 14, 2015.

Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

- December 26, 2014 through June 14, 2015, or 97 days, four days more than 2014. The association proposes to race a total of 811 races, or 8.36 races per day. In 2014 PRA raced 8.3 races per day with an average of 6.79 runners per race during the 2014 winter/spring meet. The (actual) average daily purse for the 2014 winter/spring race meeting was \$157,410. The (estimated) average daily purse for this meet is \$156,993.
- The race dates proposed are the dates the Board allocated.

December - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

January - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

- Racing 4 days per week. Thursday through Sunday, with the exception of Wednesday, December 31, 2014; Monday's, January 19th, February 16th and May 25, 2015. Dark days Thursday's January 22nd, February 19th, April 16th, April 23rd, May 28th and Friday, April 17, 2015. 8 races on Wednesday; December 31, 2014; 7 races on Thursdays except January 1,

2015 where 8 races will be run. 8 races on Fridays except December 26, 2014 where 9 races will be run with the exception of January 2nd, 16th, February 13th and May 22, 2015 where 7 races will be run. 9 races on Saturdays except May 2nd and June 13 where 10 races will be run and 9 races on Sundays except June 14th where 10 races will be run. If horse population permits may run additional races not to exceed the 8.4 average of races per day for the meet. Administrative approval will be requested if plans develop to exceed this amount.

- First post 12:45 p.m. daily with the following exceptions:
 - Friday, December 26, 2014 (Opening Day) – 12:15p.m.
 - Sunday, February 1, 2015 (Super Bowl) – 11:15a.m.
 - Saturday, May 2, 2015 (Kentucky Derby) – 11:45 a.m.
 - Saturday, May 16, 2015 (Preakness) – 11:45 a.m.
 - Saturday, June 6, 2015 (Belmont Stakes) – 11:45 a.m.
 - Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will be offered Friday, May 2, 2014, for the Kentucky Derby, Friday, May 16, 2014 for the Preakness, and on Friday, June 6, 2014, for the Belmont Stakes.
 - \$1 - Pick 3 on all races; \$1 Trifecta on eligible races.
 - \$2 – Daily Double on all races.
 - ¢.10 Superfecta on all eligible races – takeout rate of 20%
 - ¢.50 Pick 4 on first four races and last 4 races
 - ¢.50 pick 5 will be offered on the first five races with a 14% takeout. No consolation 100% carryover if no ticket has five winners.
 - ¢.20 - Pick 6 on last 6 races – 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket then 70% minor pool that day is paid to tickets selecting the most winners and the 30% major pool will be added to the carryover.
 - \$1 Super High Five in the last race – 100% payout on all tickets selective five winners. – No consolation 100% carryover if no ticket has five winners.
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpines, TVG and Watch and Wager.com.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Pacific Racing Association has reported that the auxiliary site will have two emergency medical technicians (EMT's) in the on-track ambulance for the morning workout.

Specific information **still needed** to complete this application:

1. Horsemen's agreements (TOC and CTT).
2. Pertinent 2015 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated ADW providers to accept wagers on races conducted in an outside of California for the applied license term.
3. Horsemen approval of ADW.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**

**1100 Eastshore Highway
 Berkeley, CA 94710
 Phone (510) 559-7300 Fax (510) 559-7464
 calvin.rainey@goldengatefields.com**

- B. Breed of horse: TB QH H

- C. Racetrack name: **Golden Gate Fields**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars. **N/A**

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **December 26, 2014 through June 14, 2015**

- B. Actual dates racing will be held: **December 26-28, 31; January 1-4, 8-11, 15-18, 19, 23-25; 29-31; February 1, 5-8, 12-15, 16, 20-22, 26-28; March 1, 5-8, 12-15, 19-22, 26-29; April 2-5, 9-12, 18-19, 24-26, 30; May 1-3, 7-10, 14-17, 21-24, 25, 29-31; June 4-7, 11-14.**

- C. Total number of days or nights of racing: **97 days**

CHRB CERTIFICATION

Application received: **9/25/14**
 Deposit received:
 Reviewed: **ap**

Hearing date: **10/23/14**
 Approved date:
 License number:

- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays

Exceptions: Racing on Wednesday 12/31/14, Monday, 1/19/15, Monday, 2/16/15, and Monday, 5/25/15.

Dark days Thursdays (1/22, 2/19, 4/16, 4/23, 5/28) and Friday 4/17

- E. Number of days or nights of racing per week: **4 days per week**

3. RACING PROGRAM

- A. Total number of races: **811**

B. Number of races for each day or night: **8.4 will be the average throughout the meet. We propose to conduct 8 races on Wednesday, December 31, 2014; 7 races on Thursdays except January 1, 2015 where 8 races will be run; 8 races on Fridays except December 26, 2014 where 9 races will be run and except January 2, January 16, February 13 and May 22, 2015 where 7 races will be run; 9 races on Saturdays except May 2 and June 13 where 10 races will be run; and 9 races on Sundays except June 14 where 10 races will be run. If the horse population permits, we may run additional races as long as we do not exceed an average of 8.4 races per day for the meet. If we plan to exceed this amount, we will request administrative approval.**

- C. Total number of stakes races: **12 (7 Non-Overnight and 5 Overnight stakes)**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Stakes schedule attached along with comparative stakes schedule from 2014 and 2013

- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

- F. List all post times for the daily racing program:

Race	12:45 Post All days exceptions listed below
1st	12:45 p.m.
2nd	1:15 p.m.
3rd	1:45 p.m.
4th	2:15 p.m.
5th	2:45 p.m.
6th	3:15 p.m.
7th	3:45 p.m.
8th	4:15 p.m.
9th	4:45 p.m.
10 th (when applicable)	5:15 p.m.

Exceptions:

Friday, December 26, 2014	Opening Day	12:15 p.m.
Sunday, February 1, 2015	Super Bowl	11:15 a.m.
Saturday, May 2, 2015	Kentucky Derby	11:45 a.m.
Saturday, May 16, 2015	Preakness	11:45 a.m.
Saturday, June 6, 2015	Belmont Stakes	11:45 a.m.

Golden Gate Fields
Stakes Races
Winter/Spring 2015

CALIFORNIA DERBY

\$100,000 Guaranteed
3yo – 1 1/16 mile (All Weather)
Saturday, January 17, 2015

CALIFORNIA OAKS

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3yo Filly – 1 1/16 mile (All Weather)
Saturday, February 7, 2015

EL CAMINO REAL DERBY - GIII

\$200,000 Guaranteed
3 yo – 1 1/8 mile (All Weather)
Saturday, February 14, 2015

LOST IN THE FOG

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
4 yo & up – 6 furlongs (All Weather)
Monday, February 16, 2015

CAMILLA URSO

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Fillies and Mares 4 yo & up – 6 furlongs (All Weather)
Saturday, March 14, 2015

SF MILE - GIII

\$100,000 Guaranteed
3yo & up – 1 mile Turf
Saturday, April 25, 2015

GOLDEN POPPY

Overnight Stakes
\$50,000 Added
Fillies and Mares 3 yo & up – 1 1/16 mile Turf
Saturday, May 9, 2015

Golden Gate Fields
Stakes Races
Winter/Spring 2015

ALCATRAZ

\$75,000 Guaranteed
3 yo - 1 mile Turf
Sunday, May 10, 2015

ALL AMERICAN - GIII

\$100,000 Guaranteed
3 yo & up - 1 mile (All Weather)
Monday, May 25, 2015

CAMPANILE (Golden State Series Stakes)

\$100,000 Guaranteed
(Incl. \$37,500 CBRF and \$12,500 CMC)
3 yo filly (Cal-breds) - 1 mile Turf
Sunday, June 7, 2015

SILKY SULLIVAN (Golden State Series Stakes)

\$100,000 Guaranteed
(Incl. \$37,500 CBRF and \$12,500 CMC)
3 yo (Cal-breds) - 1 mile Turf
Sunday, June 7, 2015

ALBANY

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up - 5 furlongs Turf
Saturday, June 13, 2015

Golden Gate Fields
Stakes Races
Winter/Spring 2014

CALIFORNIA DERBY

\$100,000 Guaranteed
3yo – 1 1/16 mile (All Weather)
Saturday, January 18, 2014

CALIFORNIA OAKS

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3yo Filly – 1 1/16 mile (All Weather)
Saturday, February 8, 2014

EL CAMINO REAL DERBY - GIII

\$200,000 Guaranteed
3 yo – 1 1/8 mile (All Weather)
Saturday, February 15, 2014

LOST IN THE FOG

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
4 yo & up – 6 furlongs (All Weather)
Monday, February 17, 2014

CAMILLA URSO

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Fillies and Mares 4 yo & up – 6 furlongs (All Weather)
Saturday, March 15, 2014

SF MILE - GIII

\$100,000 Guaranteed
3yo & up – 1 mile Turf
Saturday, April 26, 2014

GOLDEN POPPY

Overnight Stakes
\$50,000 Added
Fillies and Mares 3 yo & up – 1 1/16 mile Turf
Saturday, May 10, 2014

Golden Gate Fields
Stakes Races
Winter/Spring 2014

Alcatraz

\$75,000 Guaranteed
3 yo – 1 mile Turf
Sunday, May 11, 2014

ALL AMERICAN – GIII

\$100,000 Guaranteed
3 yo & up – 1 mile (All Weather)
Monday, May 26, 2014

CAMPANILE (Golden State Series Stakes)

\$100,000 Guaranteed
(Incl. \$37,500 CBRF and \$12,500 CMC)
3 yo filly (Cal-breds) – 1 mile Turf
Sunday, June 8, 2014

SILKY SULLIVAN (Golden State Series Stakes)

\$100,000 Guaranteed
(Incl. \$37,500 CBRF and \$12,500 CMC)
3 yo (Cal-breds) – 1 mile Turf
Sunday, June 8, 2014

ALBANY

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up – 5 furlongs Turf
Saturday, June 14, 2014

Golden Gate Fields
Stakes Races
Winter/Spring 2013

California Derby
Three Year Olds
\$100,000 G
One Mile and One Sixteenth
Runs Saturday, January 12

California Oaks
Three Year Old Fillies
\$50,000 Added Overnight Stake
One Mile and One Sixteenth
Runs on Saturday, February 9

El Camino Real Derby GIII
Three Year Olds
\$200,000 G
One Mile and One Furlong
Runs on Saturday, February 16

Albany
Four Year Olds and Upward
\$50,000 Added Overnight Stake
Six Furlongs
Runs on Monday, February 18

Camilla Urso
Fillies and Mares Four Year Olds and Upward
\$50,000 Added Overnight Stake
Six Furlongs
Runs on Saturday, March 9

Golden Poppy
Fillies and Mares Four Year Olds and Upward
\$50,000 Added Overnight Stake
One Mile and One Sixteenth (Turf)
Runs on Sunday, April 7

San Francisco Mile GIII
Three Year Olds and Upward
\$100,000 G
One Mile (Turf)
Runs on Saturday, April 27

Golden Gate Fields
Stakes Races
Winter/Spring 2013

All American GIII
Three Year Olds and Upward
\$100,000 G
One Mile and One Sixteenth
Runs on Monday, May 27

Campanile
Three Year Old Fillies (CA)
\$100,000 G (Includes \$37,500 CBRF & \$12,500 CMC)
One Mile (Turf)
Runs Saturday, June 1

Silky Sullivan
Three Year Olds (CA)
\$100,000 G (Inc \$37,500 CBRF & \$12,500 CMC)
One Mile (Turf)
Runs Sunday, June 9

Lost in the Fog
Two Year Olds
\$50,000 Added Overnight Stake
Five Furlongs
Runs Saturday, June 15

Request the option to change post time schedules in order to coordinate North/South signals

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

1. Registered name of the corporation: **Pacific Racing Association**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool, Chairman (Officer), 0 Shares
Tom Ludt, President (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Peter W. Tunney, Executive Vice President (Officer), 0 Shares
Calvin Rainey, Vice President & General Manager (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Gina Lavo, Vice President, Finance (Officer), 0 Shares
Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares (formerly known as MI Developments, Inc.)**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No

10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
- If yes, on what exchange and how the stock is listed: **Not applicable**
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
- If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **Attached**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.

- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool, Chairman
Tom Ludt, President
Mike Rogers, Vice President, Operations
Jane Lynn, Assistant Secretary
Peter W. Tunney, Executive Vice President
Calvin Rainey, Vice President and General Manager
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Gina Lavo, Vice President, Finance
Merry Scalzo, Director of Administration
Adam Njaa, Controller
Bryan Wayte, Mutuel Manager
Tom Ferrall, Publicity Manager
Bob Hemmer, Director of Operations
Dan Cirimele, Senior Director of Marketing
T.W. Johnson, Security Manager
Michael Wrona, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin Rainey
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**
Daily Double **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **TOC approval letter attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014 (12/26/13-6/15/14)	\$ 40,229,270.40	218,081 (99 live race days)
2013 (12/26/12-6/16/13)	\$ 42,525,802.30	244,267 (101 live race days)
2012 (12/26/11-6/17/12)	\$ 43,676,090.90	252,968 (101 live race days)
2011 (12/26/10-6/12/11)	\$ 46,078,355.50	264,771 (99 live race days)
2010 (12/26/09-6/13/10)	\$ 51,056,036.70	271,729 (105 live race days)

- 7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**
Prior meet represents December 26, 2013 through June 15, 2014 (99 days)

A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate: \$ 12,798,326.00
 Prior meet actual: \$ 13,180,299.93

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: \$ 131,941.51
 Prior meet actual: \$ 133,134.34

Golden Gate Fields

September 24, 2014

Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Jackie:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA Winter/Spring race meet, December 26, 2014 through June 14, 2015.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey
Vice President & General Manager

cc: Joe Morris



September 20, 2014

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Pacific Racing Association (Golden Gate Fields) 2014-15 Winter-Spring Meet

Dear Ms. Wagner,

Pacific Racing Association (Golden Gate Fields) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2014 through June 14, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Cal Rainey
Mary Scalzo

OFFICERS

- MIKE PEGRAM
CHAIR
- BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
- JACK OWENS
VICE CHAIR, NORTHERN CA.
- NICK ALEXANDER
SECRETARY/TREASURER
- MIKE HARRINGTON
AT LARGE
- ED MOGER
AT LARGE

DIRECTORS

- BOB BAFFERT
- JIM CAHILL
- MARK DEDOMENICO
- RON ELLIS
- TERRY LOVINGIER
- WILLIAM E. MOREY
- GEORGE TODARO
- KATHY WALSH
- MICHAEL WELLMAN

EXECUTIVE STAFF

- JOE MORRIS
PRESIDENT
- KELLEE BREEN
CHIEF FINANCIAL OFFICER
- MARY FORNEY
DIRECTOR OF OPERATIONS
- RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

CHAIRS EMERITUS

- ED FRIENDLY (1996-97)
- ROBERT B. LEWIS (1997-2001)
- GARY BURKE (2001)
- JACK B. OWENS (2001-03, 04-05, 2011)
- RON CHARLES (2003-04)
- ALAN LANDSBURG (2005-07)
- MARSHA NAIFY (2007-10)
- ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCOONLINE.COM



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

September 20, 2014

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
ED MOGER
AT LARGE
MIKE HARRINGTON
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2014-15 Winter-Spring Meet

DIRECTORS

BOB BAFFERT
JIM CAHILL
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 26, 2014 through June 14, 2015. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

Sincerely,

Joe Morris
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

2. Overnight stakes:
 Current meet estimate: \$ 250,000.00
 Prior meet actual: \$ 250,000.00
- Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: \$ 2,577.32
 Prior meet actual: \$ 2,525.25
3. Non-overnight stakes: (Doesn't include \$75,000.00 CBRF or \$25,000.00 CMC)
 Current meet estimate: \$ 675,000.00
 Prior meet actual: \$ 608,200.00
- Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: \$ 6,958.76
 Prior meet actual: \$ 6,134.43
4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$ 13,723,326.00
 Prior meet actual: \$ 14,038,499.93

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: 10.81 %
 Prior meet actual: 9.56 %

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: .111%
 Prior meet actual: .097%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$ 1,208,452.00
 Prior meet actual: \$ 1,242,862.04
- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
TOC (NTRA contribution) \$	0.00	TOC (NTRA contribution) \$	0.00
TOC	\$118,640.55	TOC	\$120,896.33
CTT (Administration)	\$ 59,320.27	CTT (Administration)	\$ 60,448.16
CTT (Pension)	\$118,640.55	CTT (Pension)	\$120,896.33
Total	\$296,601.37	Total	\$302,240.82

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: \$ 15,228,379.37
 Prior meet actual: \$ 15,583,602.79

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 156,993.60

Prior meet actual: \$ 157,410.13

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: \$ 10,599,487.00

Prior meet actual: \$ 10,783,891.27

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 109,273.06

Prior meet actual: \$ 108,928.19

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 3,327,702.00

Prior meet actual: \$ 3,333,749.82

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 34,306.21

Prior meet actual: \$ 33,674.24

- H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo PRA Account #On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or

approved training centers: **680**

D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Pleasanton – 680 Stalls**

E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

California Stabling & Vanning 2015 agreement forwarded to Board upon receipt

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**

G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:

Stabling costs for this meet: \$ 1,146,312.00

Stabling costs per day per stall: \$ 6,264.00

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

Vanning fees for this meet: \$ 65,625.00

Vanning fee per horse: \$ 75.00

9 PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP5	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #2	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1, 1976.9 ARCI 004-105 G. (g)
Race #3	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; ARCI 004-105 G. (g)
Race #4	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4 \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #5	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4 \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #6	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4;	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #7	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #8	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF	CHRB#1957; 1959; 1977; 1979; 1979.1
Race #9	\$2 DD; \$1 E; \$1 TRI; \$.10 SF;	CHRB#1957; 1959; 1979; 1979.1;
Race #10	\$2 DD; \$1 E; \$1 TRI; \$.10 SF; Super H5	CHRB#1957; 1959; 1979; 1979.1; ARCI #004-105 Subsection X Pick (n) Position (x) Pool

\$2 DD Rolling Daily Double on all races

\$1 PK3 - Pick 3 on all eligible races

\$1 TRI - Trifecta on all eligible races

\$.10 cent SF - Superfecta on all eligible races

\$.50 PNP4 - Pick 4 on first four and last four races

\$.50 PNP5 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.

\$1 Pentafecta (Super High 5) in the last race if the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **June 14, 2015 for the period of December 26, 2014 through June 14, 2015**
- C. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Advance wagering will be offered Friday, May 1, 2015 for the Kentucky Derby, Friday, May 15, 2015 for the Preakness, and on Friday, June 5, 2015 for the Belmont Stakes
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech (previously Scientific Games, LLC) Expires: September 2015**

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet

TwinSpires

TVG

WatchandWager.com, LLC

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **2015 ADW agreements forwarded to Board upon receipt; the ADW renewal process usually begins in late November or early December**
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes

No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **TOC will issue approval letter when 2015 ADW hub agreements are signed**

with the racing association or fair conducting the races on which the wagers are made: 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.

- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
Attached

- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

<u>Northern California</u>	<u>Southern California</u>
Alameda County Fair, Pleasanton	Antelope Valley Fair, Lancaster
Big Fresno Fair, Fresno	Barona Resort & Casino, Lakeside
CA State Fair & Exposition, Sacramento	Cabazon Fantasy Springs Casino, Indio
Club One, Fresno	Del Mar Thoroughbred Club, Del Mar
Humboldt County Fair, Ferndale	Derby Club at Seaside Park, Ventura
Monterey County Fair, Monterey	Fairplex Park, Pomona
San Joaquin County Fair, Stockton	Los Alamitos Racecourse, Los Alamitos
Santa Clara County Fair, San Jose	National Orange Show, San Bernardino
Shasta District Fair, Anderson	San Bernardino County Fair, Victorville
Solano County Fair, Vallejo	Santa Anita Park, Arcadia
Sonoma County Fair, Santa Rosa	Shalimar Sports Center, Indio
Stanislaus County Fair, Turlock	Sports Pavilion, Farmers Fair, Lake Perris
The Jockey Club, San Mateo	Sycuan Resort & Casino, El Cajon
	Viejas Casino & Turf Club, Alpine

- D. Out-of-state wagering systems the association proposed to offer its live audiovisual signal:

2015 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Beulah	Full or Partial Cards
Calder	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards



Northern California
Phone: 925-307-7040

Off-Track Wagering, Inc.
Fax: 925-560-0522

7950 Dublin Blvd., Suite 214
Dublin, California 94568

August 5, 2014

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95828

Dear Rick,

This "Letter of Agreement" serves to confirm the understanding that the NCOTWINC Contract dated April 24, 2008 will cover race dates December 26, 2014 through June 14, 2015 being run by Pacific Racing Association at Golden Gate Fields.

It is further understood and agreed that all the terms and conditions of the aforementioned Contract of April 2008 shall be in force and effect during the race meet December 26, 2014 through June 14, 2015.

Sincerely,

A handwritten signature in cursive script that reads "Patrice Van Dussen".

Patrice Van Dussen, Controller
NCOTWINC

Keeneland	Full or Partial Cards
Kentucky Downs	
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
River Downs	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Lake Forest; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield, and The Mermaid Tavern, Thousand Oaks**

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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Los Alamitos

Per CHRB calendar Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution
- B. Names and addresses of the trustees or directors of the distributing agent:
Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	Sara Sporer, D.V.M (Track Veterinarian) Forrest Franklin, D.V.M. (CHRB Veterinarian) Diane Isbell, D.V.M. (Examining Veterinarian)
Clerk of Scales	Ken Sjordal
Assistant Clerk of Scales	Ross Allardyce
Clerk of the Course	Tina Walker-Bryant
Film Analyst/Patrol Judge	Ella Robinson
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Butch Fyellingness
Paddock Judge	Linda Anderson
Patrol Judge/Steward's Aide	Tammy McDuffie-Morris
Placing Judge	Richard Lewis
Placing Judge	Jon Forbes
Starter	Todd Stephens
Timer	Pedro Mercado

B. Management officials in the racing department:

Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Patricia Prospero

Others (identify by name and title)

Main Track Superintendent	Juan Meza
Turf Track Superintendent	Calvin Rainey
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2016**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2016.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person

supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

T.W. Johnson, Security Manager is responsible for security on-site
Security Department organizational chart is attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
T.W. Johnson cell phone number (510) 418-3161

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Security Manager	14 – Grandstand Security Officers
1 – Security Lieutenant	5 – Stable Security Officers
1 – Security Sergeant	5 – Stable Gate Personnel
1 – Stable Security Sergeant	5 – Fire Guards
1 – Fire Guard Lieutenant	

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls: **Attached**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over

24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

- C. Describe number and location of surveillance cameras in detention barn area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras in place within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

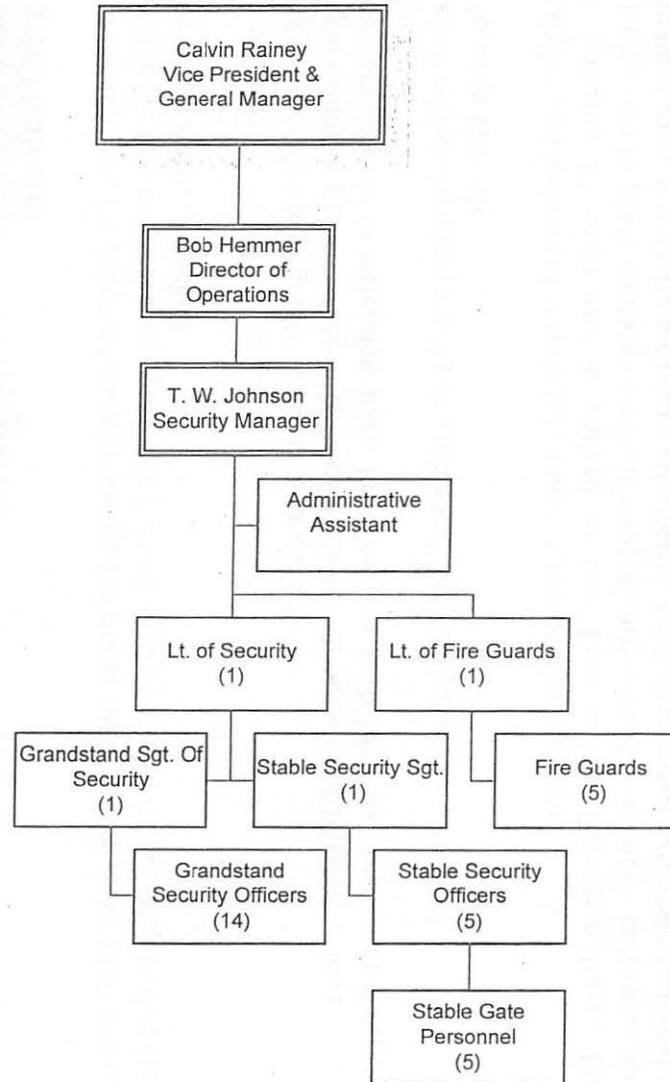
Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

PACIFIC RACING ASSN / GOLDEN GATE FIELDS SECURITY DEPARTMENT ORGANIZATIONAL CHART ATTACHMENT 14A



Attachment to PRA / Golden Gate Fields License Application
14B (1) and 14B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to T. W. Johnson, Director of Security which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff

D. Describe the electronic security system:

1. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities coming and going at the Main Stable Gate, one (1) camera located on roof of Stable Security Office looking out into barn area, and one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and two (2) cameras scheduled to be installed at the horsemen walk-in gate providing 24/7 surveillance.

E. For night racing associations. Describe emergency lighting system: **Not Applicable**

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
 (510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 2 EMT's ambulance staff

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 25, 2014

Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2015 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be \$44.50 per hour subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, Vice President and General Manager

TURF RESCUE, LLC



Brad Winding, Owner

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 25, 2014

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2015

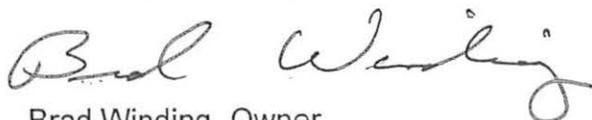
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



March 12, 2014

Christopher A. Seymour
Westmed Ambulance Service
2424 Whipple Rd.
Hayward CA, 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda, certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician (EMT) employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions, comments or concerns please feel free to contact me at cseymour@westmedambulance.com.

Best regards,

A handwritten signature in black ink that reads "Christopher A. Seymour". The signature is written in a cursive style with a long, sweeping underline.

Christopher A. Seymour EMT-P, BA



GOLDEN GATE FIELDS

Description of GGF Medical Clinic (Live Racing)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.

September 24, 2014

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS RACE TRACK EMERGENCY MEDICAL PROTOCOL

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 14 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

TURF RESCUE AMBULANCE SERVICE

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening – Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

SHIFT PROCEDURES

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

TRAINING HOURS (A.M. SHIFT)

PLACEMENT OF AMBULANCE: Horsemen's Café in barn area (close proximity to race track and Gap gate).

FALLEN JOCKEY/RIDER

If there is a loose horse on the track, that indicates that the jockey/rider has fallen of his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.

LIVE RACING (P.M. SHIFT)

PLACEMENT OF AMBULANCE: Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

FALLEN JOCKEY: It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

CAUTION: Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

NOTE: The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)

The track physician at Golden Gate Fields provides a comprehensive set of services **pre, intra and post** daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

Pre-racing period

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.

5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that include blood testing and drug testing as proscribed by the California Horse Racing Board.
6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

Intra-racing period

1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital –Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.

14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

Post-racing period

1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
3. In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

Dr. Seftel's Recommendations for Optimal Jockey Trackside Care

1. With regard to optimal accident responsiveness and care the best approach features trained professionals working in close collaboration with track security.
2. Ambulance crews should have at least one paramedic trained and skilled in advanced life support measures.
3. A portable kit containing all the required medications and equipment should be available on the ambulance and similar supplies should be available in the clinic.
4. Track medical and security personnel should perform drills on at least a 6 month basis to ensure that all skills are kept current and that all allied personnel are familiar with optimal policies and protocols.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



Calvin J. Rainey
Vice President and General Manager
Golden Gate Fields

treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444
or Alameda County Medical Center, 1411 East 31st Street, Oakland, CA 94602 (510) 437-4800**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
**Roy Roenbeck, Director of Environmental, Health & Safety
Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
**Berkeley fire clearance letter attached valid through April 24, 2015
Albany fire clearance letter attached valid through June 30, 2015**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Liberty Mutual Insurance Co. (insurer) and **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-014 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Tip Sheets	West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510
Armored Car	Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
Track Physician	Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
Official Program	Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706
Jockey's Laundry	F. Lorene Dutton, Bailey's Mobile Laundry, 3263 Vineyard Ave.,



EMERGENCY MEDICAL PROCEDURES -LIVE RACING-

FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA
-DURANTE LAS CARRERAS-
PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance (*a Fire Dept. truck shall also respond*).



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

*Urban Village by the Bay***ALBANY CALIFORNIA**

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
11000 Eastshore Highway
Berkeley, CA 94710

September 25, 2014

Mr. Roenbeck,

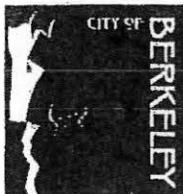
The Albany Fire Department conducted a Fire and Life safety inspection on September 23, 2014. All areas of Golden Gate Fields Race Track within the Jurisdiction of the City of Albany Fire Department have been inspected. During a pre-inspection meeting past corrective items were reviewed and have been acceptably corrected or mitigated. Compliance was confirmed during this inspection.

The facility is old. However, the buildings and infrastructure are well maintained. Overall the site is in good condition. The inspections of in place fire and life safety systems are current, maintained, and operational. Correction Notices are readily complied with and communication between Golden Gate Fields and the Fire Department is excellent.

Fire Clearance is granted to Golden Gate Fields/Pacific Horse Racing Association. This Fire Clearance will expire June 30, 2015. At which time a new clearance will need to be applied for. Should you have any further need of service, please do not hesitate to contact me.

Yours Sincerely,

Bill Svozil
Fire Marshal
City of Albany



Department of Fire and Emergency Services
Division of Fire Prevention

Date: 1 May 2014

Merry Scalzo
Director of Operations
Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706
Phone (510) 559-7325
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection – Golden Gate Fields - Stables

On April 24, 2014 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through April 24, 2015. Our fire life safety report indicates that there are no outstanding violations noted or corrections need to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in black ink that reads "Stan Fernandez".

Stan Fernandez
Fire Inspector / Investigator



Certificate of Insurance

No.: 2014-182

Dated: June 26, 2014

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-12	Jun 30, 2014 to Jun 30, 2015	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-014	Jun 30, 2014 to Jun 30, 2015	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, GLO9302183-12, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-014, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

161 Bay Street, Suite 1400
 Toronto, ON M5J 2S4
 Telephone: 416-868-2123
 Fax: -
 stephanie.leite@marsh.com

Marsh Canada Limited

By: _____
 Stephanie Wong

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS
TRACK SAFETY STANDARDS WINTER/SPRING 2015
Attachment (21D)

Please accept this as an attachment to the Pacific Racing Association's License Application per the California Horse Racing Board's request for policies regarding track safety and maintenance.

It is the policy of Golden Gate Fields to perform the following:

1. Regular maintenance of the track surface is performed daily under the direction of the Track Superintendent. The new Tapeta synthetic surface requires substantially less water and is designed to face heavy rains without making the surface sloppy. Harrowing will be performed before morning training and again prior to the running of the first race. Subsequent harrowing throughout the day will be performed as needed. Customarily during morning training there has been a break for renovation.
2. Track Equipment – We conduct the maintenance of track equipment on a regular preventative maintenance control program and it is conducted under the direction of the Track Mechanic, with daily safety and equipment check-lists conducted by Operators. Typical items checked are fluid levels, water truck nozzles, equipment settings, fluids, batteries and brakes, etc. Monthly, quarterly and annual maintenance is conducted by the Shop Mechanic.
3. Tapeta racing surface has been manufactured for Golden Gate Fields using the Tapeta formula to determine the percentage of ingredients. This engineered surface has been applied on top of a macadam base as specified by the manufacturer and there should be no infiltration of foreign material. A plan is in place to pick up equine fecal material after morning training and in-between races.
4. Juan Meza is the Main Track Superintendent and is responsible for the supervision of the track maintenance program.
5. Track Surface Grade – A written certification by a licensed Civil Engineer or Land Surveyor is on file for the permanent track surface elevation.

Pleasanton, CA 94566

Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590

Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

17. **ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans for the race meeting:
The 2015 Winter/Spring Marketing plan and Promotional plan attached

B. Promotional/ Marketing budget for this race meeting: **Attached**

Promotional/Marketing budget for prior race meeting: **Attached**

C. Number of hosts and hostesses employed for meeting:

1 Customer Service Representative

5 Group Sales Representatives

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Received 132 loads of dirt to refurbish barn area stalls, shed rows, etc.
- Received NTRA Track Safety Alliance Re-Accreditation valid through April 2016 with eight (8) best practices noted
- Built custom fence from Paddock to Stable Walk-In gate
- Built new Stable Walk-In Gate and enclosed backstretch Horsemen's viewing area for morning workouts
- Barn area Recreation Hall painting and refurbishing
- Designated an enclosed area in Grandstand as the Learning Center where various weekly activities are held, i.e., English classes, etc.
- Added two (2) surveillance cameras to the Main Stable Gate to monitor the traffic going in and out of the barn area
- Adding three (3) surveillance cameras to the new barn area Stable Walk-In gate
- Purchased 12 new portable cameras for stakes surveillance for races with purses \$100k+
- Touch up work on the 12 barn roofs repaired caused by winter storm damage
- Worked with Bay Alarm to ensure that our current barn area alarm system is up-to-date and compliant

**2015 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

January 2015

Friday, January 2

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 4

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 9

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 11

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 16

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, January 17

California Derby Day

Premium Giveaway

Comcast SportsNet Coverage

Sunday, January 18

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Monday, January 19

Martin Luther King, Jr. Day

Multi-Ethnic Sports Hall of Fame

Celebration

Friday, January 23

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 25

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 30
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, January 31
Big Game TV Giveaway
42" HDTV Raffle Off After
Every Race

February 2015

Sunday, February 1
Super Bowl Sunday - Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 6
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, February 8
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 13
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, February 14
34th El Camino Real Derby
Dollar Day Deals
1 hr. Comcast SportsNet Television Broadcast
Premium Giveaway

Sunday, February 15
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Monday, February 16
Holiday Racing
Presidents' Day

Friday, February 20
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, February 22
Chinese New Year Celebration
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Friday, February 27

Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

March 2015

Sunday, March 1
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 6
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 8
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 13
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 15
St. Patrick's Dollar Day
\$100,000 Horseshoe Pitch
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 20
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 22
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 27
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 29
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

APRIL 2015

Friday, April 3
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, April 5
 Dollar Day & Cultural Celebration
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, April 10
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Sunday, April 12
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, April 17
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Sunday, April 19
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, April 24
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Saturday, April 25
 San Francisco Mile Day
 T-Shirt Giveaway
 \$100,000 All Grass Late Pick 4

Sunday, April 26
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

May 2015

Friday, May 1
 Kentucky Oaks
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Saturday, May 2
 141st Kentucky Derby
 Hat Contest
 VIP Party
 \$500 Triple Crown Challenge

Sunday, May 3
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, May 8
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 9
Fiesta Hispana Dollar Day
Free Concert
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, May 10
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, May 15
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 16
140th Preakness Stakes Party
Mystery Mutuel Voucher
\$500 Triple Crown Challenge

Sunday, May 17
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, May 22
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 23
Hispanic Heritage Dollar Day Concert Series
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, May 24
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Monday, May 25
Memorial Day Racing

Friday, May 29
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 30
Fiesta Hispana Dollar Day
Free Concert
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, May 31
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

June 2015

Friday, June 5
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, June 6
Belmont Stakes Wine Festival
Giveaway: TBA Only if Triple Crown Contender
\$500 Triple Crown Challenge
Live Music

Sunday, June 7
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races
Law Enforcement Appreciation

Friday, June 12
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, June 13
Fiesta Hispana Dollar Day
Free Concert
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, June 14
Closing Day - Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

2015 WINTER/SPRING PROMOTIONAL BUDGET

Description	Budget
General Market Advertising*	\$507,000
Ethnic Market Advertising*	\$120,000
Promotions and Contests	\$75,800
Events and Entertainment	\$73,800
Player Rewards	\$35,500
Creative/Production	<u>\$50,000</u>
Winter & Spring Marketing Budget	\$862,100

**2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

January 2014

Friday, January 3
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 5
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 10
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, January 11
California Derby Day
FREE Long Sleeve Shirt
\$100,000 California Derby

Sunday, January 12
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 17
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 19
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, January 20
Martin Luther King, Jr. Day

Friday, January 24
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 26
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 31
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

February 2014

Saturday, February 1
 Big Game TV Giveaway
 Raffle off one 42" HDTV after every race

Sunday, February 2
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 7
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Sunday, February 9
 African American Heritage Celebration
 Dollar Day & Cultural Celebration
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 14
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Saturday, February 15
 33rd Running of the \$200,000 El Camino Real Derby GIII
 Fleece Jacket Giveaway
 \$100K Guaranteed Pick 4 Pool
 Comcast SportsNet Broadcast
 VIP Party
 Hat Contest
 \$100 Comcast Fan Bet

Sunday, February 16
 Chinese New Year Celebration
 Lucky Red Envelopes filled with
 Mystery Mutuel Vouchers with each paid admission.
 Live Chinese Entertainment- Lion Dancers, Acrobats,
 Fortune Telling, Tea Tasting and the KTSF Lion Dance Competition!
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Monday, February 17
 Presidents' Day
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 21
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, February 23
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 28
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

March 2014

Sunday, March 2
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 7
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 9
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 14
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 16
St. Patrick's Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
\$100,000 Horseshoe Pitch / Irish Dancers / Bag Pipers

Friday, March 21
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 23
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 28
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 30
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

APRIL 2014

Friday, April 4
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Sunday, April 6
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, April 11
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Sunday, April 13
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, April 18
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Sunday, April 20
 Easter Sunday Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, April 25
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Saturday, April 26
 San Francisco Mile Day
 \$100,000 San Francisco Mile
 T-Shirt Giveaway

Sunday, April 27
 Dollar Day
 \$1 General Admission, \$1 General Parking,
 \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

May 2014

Friday, May 2
 Kentucky Oaks
 Senior Friday
 Free Club House Admission for
 THOROUGHBREDS Club members 65+

Saturday, May 3
140th Kentucky Derby
Hat Contest
VIP Party
\$500 Triple Crown Challenge

Sunday, May 4
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, May 9
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, May 11
Mother's Day Brunch
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, May 16
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 17
Military Appreciation Day
Preakness Stakes Party
Mystery Mutuel Voucher
\$500 Triple Crown Challenge
Tanks / Trucks / Booths

Sunday, May 18
Filipino Fest
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Filipino Heritage Celebration
Free Concert During the Races

Friday, May 23
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 24
Hispanic Heritage Dollar Day Concert Series
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, May 25

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Monday, May 26

FREE Giveaway TBA

Family Day

\$100,000 Berkeley Stakes GIII

Free Concert During the Races

Friday, May 23

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 24

Hispanic Heritage Dollar Day Concert Series

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

June 2014

Sunday, June 1

Wiener Nationals

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, June 6

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, June 7

Belmont Stakes Wine Festival

Giveaway: TBA Only if Triple Crown Contender

\$500 Triple Crown Challenge

Live Music

Sunday, June 8

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, June 13

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, June 14

Hispanic Heritage Dollar Day Concert Series

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, June 15

Father's Day

Dollar Day

\$1 General Admission, \$1 General Parking,

\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Free Concert During the Races

2014 WINTER/SPRING PROMOTIONAL BUDGET

Description	Budget
General Market Advertising*	\$525,000
Ethnic Market Advertising*	\$87,000
Promotions and Contests	\$67,000
Events and Entertainment	\$54,800
Player Rewards	\$28,500
Creative/Production	<u>\$50,000</u>
Winter & Spring Marketing Budget	\$812,300

- Installed two (2) new main track gap gates to better enhance horse safety
- Continual roadway, barn, and gutter repairs in the stable area

2. Fans

- Improved our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, payoffs from previous races, total amount wagered per race, etc.
- Architect and designer team at Golden Gate Fields to employ changes to the facility
- Continuing with free shuttle service from the North Berkeley BART station to the race track. GGF has provided this popular complimentary service for six (6) years with ~10% of our total attendance using this service
- Added new wager, Golden Pick6 (\$20 minimum), to our wagering format with 30% of daily pool carrying over until only one (1) winner has all six (6) winners.
- Replenished our Club House box seat chair inventory by adding ~400 chairs
- Analyzing new admission policies including current THOROUGHBREDS frequent player program
- Added flat screen televisions in the Turf Terrace
- Added four (4) ATM machines in facility to replace outdated equipment
- Tip sheet vendor, West Coast Wheelie's, has proven successful and popular with our fans

3. Facilities in the restricted areas Equine Hospital

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$8.00 – Bundled price includes free program
Admission (turf club)	\$10.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card) previously \$3.00
Parking (general)	\$ 5.00
Parking (preferred)	\$10.00
Parking (valet)	\$10.00
Programs (on-track)	\$ 2.50
(off-track)	\$ 2.50

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$6.00
11-20 Visits	\$4.00	11-20 Visits	\$5.00
21-30 Visits	\$3.00	21-30 Visits	\$4.00
31-40 Visits	\$2.00	31-40 Visits	\$3.00
Over 40 Visits	\$1.00	Over 40 Visits	\$2.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:
Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

20. BACKSTRETCH EMPLOYEE HOUSING

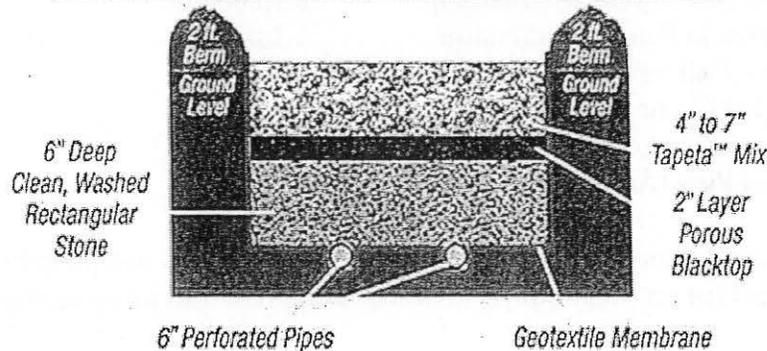
- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **128**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

21. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Juan Meza
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement forwarded to Board upon receipt
CTT agreement forwarded to Board upon receipt

- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CALVIN RAINERY
 Print Name


 Signature

VICE PRESIDENT & GENERAL MGR.
 Print Title

9/24/14
 Date

STAFF ANALYSIS
October 23, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB AT SANTA ANITA DECEMBER 26, 2014 THROUGH JUNE 28, 2015.

Los Angeles Turf Club (LATC) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

- December 26, 2014 through June 28, 2015, or 106 days, 1 day less than 2014. The association proposes to race a total of 860 races, or 8.11 per day. In 2014 LATC raced 930 races with an average of 7.68 runners per race. The (actual) average daily purse for the 2014 race meeting was \$512,897. The (estimated) average daily purse for this race meeting is \$511,385.
- The race dates proposed are the dates the Board allocated.

December - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

January - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

- Racing 4 days per week, Thursday through Sunday with the exception of December 26 through 28, 2014. Racing 5 days Wednesday, December 31, 2014 – Sunday, January 4, 2015. Racing 2 days Saturday and Sunday, April 25 and 26, 2015. No less than 7 races weekdays; 9 races Opening Day, weekends and January 1, 2015, January 19th, February 16th and May 25, 2015, with the option to program 10 races on such days.

- First post 1:00 p.m. all weekdays; 12:30 p.m. weekends and holidays with the following exceptions:
 - Friday, December 26, 2014 (Opening Day) 12:00 p.m.
 - Saturday, December 27 – January 1, 2015 (Opening Week) – 12:30 p.m.
 - Saturday, January 24, 2015 (Sunshine Millions) – 12:00 p.m.
 - Sunday, February 1, 2015 (Super Bowl) – 11:00 a.m.
 - Saturday, March 7, 2015 (Santa Anita Handicap) – 12:00 p.m.
 - Saturday, April 4, 2015 (Santa Anita Derby) – 12:00 p.m.
 - Friday, May 1, 8, 15, 22 and 29; June 5, 12, 19 and 26, 2015 (Twilight Fridays) – 3:00 p.m.
 - Saturday, May 2, 2015 (Kentucky Derby Day) – 11:00 a.m.
 - Saturday, May 18, 2015 (Preakness Day) – 11:00 a.m.
 - Saturday, June 7, 2015 (Belmont Stakes Day) – 11:00 a.m.
- Wagering program will use CHRB rules and ARCI rules.
 - Early wagering will be 8:30 a.m. to 10:00 a.m. each day.
 - \$2 – Rolling Daily Double beginning with the first race– 20% takeout.
 - \$1 Trifecta on eligible races
 - \$.10 Superfecta on all qualifying races
 - \$ 2 - Pick 6 on last 6 races – 70% carryover, 30% minor – Alternate runner provision.
 - \$.50 Pick 4 on second race and the last four live races – Alternate runner provision.
 - \$.50 pick 5 starting first race with a 14% takeout. One tier payoff; 100% carryover.
 - \$ 1 Pentafecta selecting first five finishers in same race – 100% payout on tickets selecting five winners. – No consolation 100% carryover if no ticket has five winners. Super High-5 carded as last race of day.
- The Advance Deposit Wagering (ADW) providers are Xpressbet, Watch and Wager, Twinspires, Bet America and TVG.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the

wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Horsemen's agreement (TOC and CTT).
2. Pertinent 2015 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated ADW providers to accept wagers on races conducted in an outside of California for the applied license term.
3. Horsemen approval of ADW.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. **APPLICANT ASSOCIATION**

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Los Angeles Turf Club, Inc.

285 W. Huntington Drive

Arcadia, CA 91007

Phone (626) 574-7223

Fax (626) 446-9565

tom.ludt@santaanita.com

B. Breed of horse: TB QH H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
Attached

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes X No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. **DATES OF RACE MEETING**

A. Inclusive dates allocated for the entire meeting: **December 26, 2014 through June 28, 2015**

B. Actual dates racing will be held: Calendar attached

December 26, 27, 28 and 31 (2014) (4 days)

2015

January 1, 2, 3, 4, 8, 9, 10, 11, 15, 16, 17, 18, 19, 23, 24, 25, 29, 30 and 31 (19 days)

February 1, 5, 6, 7, 8, 12, 13, 14, 15, 16, 20, 21, 22, 26, 27 and 28 (16 days)

March 1, 5, 6, 7, 8, 12, 13, 14, 15, 19, 20, 21, 22, 26, 27, 28, and 29 (17 days)

April 2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 25, 26, and 30 (15 days)

May 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 21, 22, 23, 24, 25, 29, 30 and 31 (19 days)

June 4, 5, 6, 7, 11, 12, 13, 14, 18, 19, 20, 21, 25, 26, 27, and 28 (16 days)

CHRB CERTIFICATION

Application received: 10/1/14

Deposit received: 10/1

Reviewed: *apl*

Hearing date: 10/23/14

Approved date:

License number:

C. Total number of days or nights of racing: 106 days

D. Days or nights of the week races will be held:
Racing scheduled Thursday through Sunday weekly.

**Exceptions: Racing Wednesday, December 31, 2014
 Monday, January 19, 2015
 Monday, February 16, 2015
 Monday, May 25, 2015**

Dark Thursday and Friday, April 23 and 24, 2015

E. Number of days or nights of racing per week: 4 days per week

**Exceptions: 3 days Friday, December 26 – 28, 2014
 5 days Wednesday, December 31 – Sunday, January 4, 2015
 2 days Saturday and Sunday, April 25 and 26, 2015**

3. RACING PROGRAM

A. Total number of races: Estimated: 860

B. Number of races for each day or night: No less than 7 races weekdays;
 Nine races Opening Day, Weekends, January 1, January 19, and February 16, and May 25, with the option to program ten races on such days.

C. Total number of stakes races: 83

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

2014-2015 Winter/Spring Pending Stakes Race Schedule Attached

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program: Beginning Sunday, March 8, 2015

	All Weekdays	Weekends & Holidays	Daily
Race 1	1:00 PM	12:30 PM	1:00 PM
Race 2	1:30 PM	1:00 PM	1:30 PM
Race 3	2:00 PM	1:30 PM	2:00 PM
Race 4	2:30 PM	2:00 PM	2:30 PM
Race 5	3:00 PM	2:30 PM	3:00 PM
Race 6	3:30 PM	3:00 PM	3:30 PM
Race 7	4:00 PM	3:30 PM	4:00 PM
Race 8	4:30 PM	4:00 PM	4:30 PM
Race 9		4:30 PM	5:00 PM
Race 10		*5:00 PM	*5:30 PM
Race 11		*5:30 PM	*6:00 PM

(*) When Applicable

Exceptions:

12:00 PM Opening Day Friday, December 26, 2014
 12:30 PM Opening Week Saturday, December 27 – January 1, 2015

2015 Winter/Spring Stakes Schedule (Pending)

Exhibit3 (D) pg. 1/2

Run Date	Race	Age	Distance	Purse
Fri Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000
Fri Dec 26	Sir Beaufort Stakes (Grade II)	3 YO	1 M (T)	\$200,000
Fri Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000
Sun Dec 28	Daytona Stakes (Grade III)	3 & UP	abt 6 1/2 F (T)	\$100,000
Sat Dec 27	Robert J. Frankel Stakes (Grade III)	F/M, 3 & UP	1 1/8 M (T)	\$100,000
Sat Jan 3	Santa Ynez Stakes (Grade II)	F, 3 YO	6 1/2 F	\$200,000
Sat Jan 3	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$200,000
Sun Jan 4	Monrovia Stakes (Grade II)	F/M, 4 & UP	abt 6 1/2 F (T)	\$200,000
Sat Jan 10	San Pasqual Stakes (Grade II)	4 & UP	1 1/16 M	\$200,000
Sat Jan 10	Sham Stakes (Grade III)	3 YO	1 M	\$100,000
Sat Jan 17	Strub Stakes (Grade II)	4 YO	1 1/16 M	\$200,000
Sun Jan 18	La Canada Stakes (Grade II)	F, 4 YO	1 1/16 M	\$200,000
Sat Jan 24	California Cup Derby	3 YO (CA)	1 1/16 M	\$250,000
Sat Jan 24	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000
Sat Jan 24	Sunshine Millions F/M Turf Sprint	F & M, 4 & UP (CA)	abt 6 1/2 F (T)	\$125,000
Sat Jan 24	California Cup Sprint	4 & UP (CA)	6 F	\$125,000
Sat Jan 24	California Cup Turf Classic	4 & UP (CA)	1 1/8 M (T)	\$250,000
Sat Jan 24	Santa Monica Stakes (Grade II)	F/M, 4 & UP	7 F	\$200,000
Sat Jan 31	Arcadia Stakes (Grade II)	4 & UP	1 M (T)	\$200,000
Sat Jan 31	Las Virgenes Stakes (Grade I)	F, 3 YO	1 M	\$300,000
Sat Jan 31	Palos Verdes Stakes (Grade II)	4 & UP	6 F	\$200,000
Sat Feb 7	San Antonio Stakes (Grade II)	4 & UP	1 1/8 M	\$300,000
Sat Feb 7	Robert B. Lewis Stakes (Grade II)	3 YO	1 1/16 M	\$200,000
Sat Feb 7	San Marcos Stakes (Grade II)	4 & UP	1 1/4 M (T)	\$200,000
Sat Feb 14	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000
Mon Feb 16	San Vicente Stakes (Grade II)	3 YO	7 F	\$200,000
Mon Feb 16	Buena Vista Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$200,000
Sat Feb 22	Crystal Water Stakes	4 & UP (CA)	1 M (T)	\$100,000
Sat Feb 28	Santa Ysabel Stakes (Grade III)	F, 3 YO		\$100,000
Sat Mar 7	San Carlos Stakes (Grade II)	4 & UP	7 F	\$250,000
Sat Mar 7	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$750,000
Sat Mar 7	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$350,000
Sat Mar 7	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$300,000
Sun Mar 8	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 F	\$100,000
Sat Mar 14	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$350,000
Sat Mar 14	Irish O'Brien Stakes	F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sun Mar 15	Santa Ana Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$200,000
Sat Mar 21	San Luis Rey Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$200,000
Sun Mar 22	Dream of Summer Stakes	F/M, 4 & UP (CA)	1 M	\$100,000
Sat Mar 28	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000
Sat Apr 4	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 4	Evening Jewel Stakes	F, 3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 4	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$400,000
Sat Apr 4	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$1,000,000
Sat Apr 4	Providencia Stakes (Grade III)	F, 3 YO	1 1/8 M (T)	\$150,000
Sat Apr 11	Kona Gold Stakes (Grade II)	4 & UP	6 1/2 F	\$200,000
Sat Apr 18	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000
Sat Apr 18	Santa Barbara Handicap (Grade III)	F/M, 4 & UP	1 1/4 M (T)	\$150,000
Sun Apr 19	San Simeon Handicap (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000
Sat Apr 25	Last Tycoon Stakes (Grade III)	3 & UP	1 1/8 M (T)	\$100,000
Sun Apr 26	Wilshire Stakes (Grade III)	F/M, 3 & UP	1 M (T)	\$100,000

Run Date	Race	Age	Distance	Purse
Sat May 2	Desert Code Stakes	3 YO	abt 6 1/2 F (T)	\$75,000
Sat May 2	Precisionist Stakes (Grade III)	3 & UP	1 1/16 M	\$100,000
Sun May 3	Honeymoon Stakes (Grade II)	F, 3 YO	1 1/8 M (T)	\$200,000
Sat May 9	American Stakes (Grade III)	3 & UP	1 M (T)	\$100,000
Sun May 10	Lazaro Barrera Stakes (Grade III)	3 YO	7 F	\$100,000
Sat May 16	Angels Flight Stakes	F, 3 YO	7 F	\$75,000
Sat May 16	Mizdirection Stakes	F/M, 3 & UP	abt 6 1/2 F (T)	\$75,000
Sun May 17	Adoration Stakes (Grade III)	F/M, 3 & UP	1 1/16 M	\$100,000
Sat May 23	Spring Fever Stakes	F/M, 4 & UP (CA)	6 F	\$125,000
Sat May 23	Fran's Valentine Stakes	F/M, 4 & UP (CA)	1 M (T)	\$100,000
Sat May 23	Snow Chief Stakes	3 YO (CA)	1 1/8 (T)	\$250,000
Sat May 23	Melair Stakes	F. 3 YO (CA)	1 1/16 M	\$250,000
Sat May 23	Tiznow Stakes	4 & UP (CA)	1 M	\$125,000
Sun May 24	Charles Whittingham Stakes (Grade II)	3 & UP	1 1/4 M (T)	\$200,000
Mon May 25	Los Angeles Handicap (Grade III)	3 & UP	6 F	\$100,000
Mon May 25	Gamely Stakes (Grade I)	F/M, 3 & UP	1 1/8 M (T)	\$300,000
Sat May 30	Californian Stakes (Grade II)	3 & UP	1 1/8 M	\$200,000
Sat May 30	American Oaks (Grade I)	F, 3 YO	1 1/4 M (T)	\$350,000
Sat Jun 6	Crystal Water Stakes	4 & UP (CA)	1 M (T)	\$100,000
Sun Jun 7	Affirmed Stakes (Grade III)	3 YO	1 1/16 M	\$100,000
Sat Jun 13	Vanity Stakes (Grade I)	F/M, 3 & UP	1 1/8 M	\$300,000
Sat Jun 13	Shoemaker Mile (Grade I)	3 & UP	1 M (T)	\$400,000
Sun Jun 14	Desert Stormer Stakes	F/M, 3 & UP	6 F	\$75,000
Sat Jun 20	Summertime Oaks (Grade II)	F, 3 YO	1 1/16 M	\$200,000
Sat Jun 20	Singletary Stakes	3 YO	1 M (T)	\$75,000
Sun Jun 21	Santa Anita Juvenile	2 YO	5 1/2 F	\$125,000
Sun Jun 21	Landaluce Stakes	F, 2 YO	5 1/2 F	\$125,000
Sat Jun 27	Senorita Stakes (Grade III)	F, 3 YO	1 M (T)	\$100,000
Sat Jun 27	Royal Heroine Stakes (Grade II)	F/M, 3 & UP	1 M (T)	\$200,000
Sat Jun 27	Triple Bend Stakes (Grade I)	3 & UP	7 F	\$300,000
Sat Jun 27	The Gold Cup at Santa Anita (Grade I)	3 & UP	1 1/4 M	\$500,000
Sun Jun 28	San Juan Capistrano Stakes Grade II)	3 & UP	abt 1 3/4 M (T)	\$200,000
				\$16,775,000

ALL RACES OF AT LEAST \$100,000 ARE GUARANTEED

TOC_2013-14_STAKES_toc

67 Race Days 67 Race Days

Run Date	Race	Age	Distance	2012-13 Purse	2013-14 Purse	Purse Change
Thu Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000	\$300,000	0
Thu Dec 26	Sir Beaufort Stakes (Grade II)	3 YO	1 M (T)	\$150,000	\$200,000	\$50,000
Thu Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000	\$300,000	0
Sat Dec 28	Daytona Stakes (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000	\$100,000	0
Sun Dec 29	Robert J. Frankel Stakes (Grade III)	F/M, 4 & UP	1 1/8 M (T)	\$150,000	\$100,000	(\$50,000)
Sat Jan 4	San Pasqual Stakes (Grade II)*	4 & UP	1 1/16 M	\$150,000	\$200,000	\$50,000
Sat Jan 4	Santa Ynez Stakes (Grade II)	F, 3 YO	6 1/2 F	\$150,000	\$200,000	\$50,000
Sun Jan 5	Monrovia Stakes (Grade II)*	F/M, 4 & UP	abt 6 1/2 F (T)	\$150,000	\$200,000	\$50,000
Sat Jan 11	Sham Stakes (Grade III)	3 YO	1 M	\$100,000	\$100,000	0
Sat Jan 11	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$150,000	\$200,000	\$50,000
Sat Jan 18	The Strub (Grade II)	4 YO	1 1/16 M	\$150,000	\$200,000	\$50,000
Sun Jan 19	La Canada Stakes (Grade II)*	F, 4 YO	1 1/16 M	\$150,000	\$200,000	\$50,000
Sat Jan 25	California Cup Derby	3 YO (CA)	1 1/16 M	\$300,000	\$250,000	
Sat Jan 25	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$300,000	\$200,000	
Sat Jan 25	Sunshine Millions F/M Turf Sprint	F & M, 4 & UP (CA)	abt 6 1/2 F (T)		\$125,000	
Sat Jan 25	California Cup Sprint	4 & UP (CA)	6 F		\$125,000	
Sat Jan 25	California Cup Turf Classic	4 & UP (CA)	1 1/8 M (T)	\$100,000	\$250,000	
Sat Jan 25	Santa Monica Stakes (Grade II)	F/M, 4 & UP	7 F	\$250,000	\$200,000	(\$50,000)
Sat Feb 1	Palos Verdes Stakes (Grade II)	4 & UP	6 F	\$150,000	\$200,000	\$50,000
Sat Feb 1	Arcadia Stakes (Grade II)	4 & UP	1 M (T)	\$150,000	\$200,000	\$50,000
Sat Feb 1	Las Virgenes Stakes (Grade I)	F, 3 YO	1 M	\$250,000	\$300,000	\$50,000
Sat Feb 8	San Antonio Stakes (Grade II)	4 & UP	1 1/8 M	\$200,000	\$300,000	\$100,000
Sat Feb 8	Robert B. Lewis Stakes (Grade II)	3 YO	1 1/16 M	\$200,000	\$200,000	0
Sat Feb 8	San Marcos Stakes (Grade II)	4 & UP	1 1/4 M (T)	\$150,000	\$200,000	\$50,000
Sat Feb 15	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000	\$200,000	0
Sun Feb 16	San Vicente Stakes (Grade II)*	3 YO	7 F	\$150,000	\$200,000	\$50,000
Mon Feb 17	Buena Vista Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$150,000	\$200,000	\$50,000
Sat Feb 22	Sensational Star Stakes	4 & UP (CA)	abt 6 1/2 F (T)	\$100,000	\$100,000	0
Sat Mar 1	Santa Ysabel Stakes (Grade III)	F, 3 YO	1 1/16 M	\$100,000	\$100,000	0
Sat Mar 8	San Carlos Stakes (Grade II)	4 & UP	7 F	\$200,000	\$250,000	\$50,000
Sat Mar 8	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$750,000	\$750,000	0
Sat Mar 8	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$300,000	\$300,000	0
Sat Mar 8	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$300,000	\$300,000	0
Sun Mar 9	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 F	\$100,000	\$100,000	0
Sat Mar 15	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$300,000	\$300,000	0
Sat Mar 15	Irish O'Brien Stakes	F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000	\$100,000	0
Sun Mar 16	Santa Ana Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$150,000	\$200,000	\$50,000
Sat Mar 22	San Luis Rey Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$150,000	\$200,000	\$50,000
Sun Mar 23	Dream of Summer Stakes	F/M, 4 & UP (CA)	1 M	\$100,000	\$100,000	\$0

TOC_2013-14_STAKES_toc

Sat Mar 29	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000	\$100,000	0
Sat Apr 5	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000	\$200,000	0
Sat Apr 5	Evening Jewel Stakes	F, 3 YO (CA)	6 1/2 F	\$200,000	\$200,000	0
Sat Apr 5	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$300,000	\$400,000	\$100,000
Sat Apr 5	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$750,000	\$1,000,000	\$250,000
Sat Apr 5	Providencia Stakes (Grade III)	F, 3 YO	1 1/8 M (T)	\$150,000	\$150,000	
Sat Apr 12	Potrero Grande Stakes (Grade II)	4 & UP	6 1/2 F	\$150,000	\$200,000	\$50,000
Sat Apr 12	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000	\$100,000	0
Sun Apr 13	Santa Barbara Handicap (Grade II)	F/M, 4 & UP	1 1/4 M (T)	\$150,000	\$200,000	\$50,000
Sun Apr 13	San Simeon Handicap (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000	\$100,000	0
TOTAL:				\$9,400,000	\$10,900,000	\$1,250,000.00

San Juan Capistrano Handicap (Grade II) 4 & UP
 San Fernando Stakes (Grade II) 4 YO

abt 1 3/4 M (T)	\$150,000	\$0	(\$150,000)
1 1/16	\$150,000	\$0	(\$150,000)
TOTAL	\$300,000	\$0	(\$300,000)

TOTALS	\$9,700,000	\$10,900,000	\$950,000.00
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*** ALL RACES ARE GUARANTEED unless indicated

Run Date	Race	Age	Distance	Purse	Closes
Fri Apr 25	Round Table Stakes	3 & UP	1 1/2 M (T)	\$75,000	Thu Apr 17
Sat Apr 26	Spring Fever Stakes	F/M, 4 & UP (CA)	6 F	\$125,000	Sat Apr 12
Sat Apr 26	Fran's Valentine Stakes	F/M, 4 & UP (CA)	1 M (T)	\$100,000	Sat Apr 12
Sat Apr 26	Snow Chief Stakes	3 YO (CA)	1 1/8 (T)	\$250,000	Sat Apr 12
Sat Apr 26	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$250,000	Sat Apr 12
Sat Apr 26	Tiznow Stakes	4 & UP (CA)	1 M	\$125,000	Sat Apr 12
Sun Apr 27	Wilshire Handicap (Grade III)	F/M, 3 & UP	1 M (T)	\$100,000	Thu Apr 17
Sat May 3	Desert Code Stakes	3 YO	abt 6 1/2 F (T)	\$75,000	Sat Apr 26
Sat May 3	Precisionist Stakes (Grade III)	3 & UP	1 1/16 M	\$100,000	Thu Apr 24
Sun May 4	Honeymoon Stakes (Grade II)	F, 3 YO	1 1/8 M (T)	\$200,000	Thu Apr 24
Sat May 10	Came Home Stakes	3 YO	7 F	\$75,000	Thu May 1
Sat May 17	American Handicap (Grade III)	3 & UP	1 M (T)	\$100,000	Thu May 8
Sat May 17	Angel's Flight Stakes	F, 3 YO	7 F	\$75,000	Sat May 10
Sun May 18	Adoration Stakes (Grade III)	F/M, 3 & UP	1 1/16 M	\$100,000	Thu May 8
Sat May 24	Charles Whittingham (Grade II)	3 & UP	1 1/4 M (T)	\$200,000	Thu May 15
Sun May 25	Mizdirection Stakes	F/M, 3 & UP	abt 6 1/2 F (T)	\$75,000	Thu May 15
Mon May 26	Los Angeles Handicap (Grade III)	3 & UP	6 F	\$100,000	Thu May 15
Mon May 26	Gamely Stakes (Grade I)	F/M, 3 & UP	1 1/8 M (T)	\$300,000	Thu May 15
Sat May 31	Californian Stakes (Grade II)	3 & UP	1 1/8 M	\$200,000	Thu May 22
Sat May 31	American Oaks (Grade I)	F, 3 YO	1 1/4 M (T)	\$350,000	Thu May 22
Sat Jun 7	Affirmed Stakes (Grade III)	3 YO	1 1/16 M	\$100,000	Thu May 29
Sun Jun 8	Crystal Water Stakes	4 & UP (CA)	1 M (T)	\$100,000	Thu May 29
Sat Jun 14	Vanity Stakes (Grade I)	F/M, 3 & UP	1 1/8 M	\$300,000	Thu Jun 5
Sat Jun 14	Shoemaker Mile (Grade I)	3 & UP	1 M (T)	\$400,000	Thu Jun 5
Sun Jun 15	Desert Stormer Stakes	F/M, 3 & UP	6 F	\$75,000	Thu Jun 5
Sat Jun 21	Summertime Oaks (Grade II)	F, 3 YO	1 1/16 M	\$200,000	Wed Jun 11
Sat Jun 21	Singletary Stakes	3 YO	1 M (T)	\$75,000	Sat Jun 14
Sun Jun 22	Santa Anita Juvenile	2 YO	5 1/2 F	\$125,000	Thu Jun 12
Sun Jun 22	Landaluce Stakes	F, 2 YO	5 1/2 F	\$125,000	Thu Jun 12
Sat Jun 28	Senorita Stakes (Grade III)	F, 3 YO	1 M (T)	\$100,000	Thu Jun 19
Sat Jun 28	Royal Heroine Stakes (Grade II)	F/M, 3 & UP	1 M (T)	\$200,000	Thu Jun 19
Sat Jun 28	Triple Bend Handicap (Grade I)	3 & UP	7 F	\$300,000	Thu Jun 19
Sat Jun 28	The Gold Cup at Santa Anita (Grade I)	3 & UP	1 1/4 M	\$500,000	Thu Jun 19
Sun Jun 29	San Juan Capistrano Stakes Grade II)	3 & UP	abt 1 3/4 M (T)	\$200,000	Thu Jun 19
				<u>\$5,775,000</u>	

*** ALL RACES OF AT LEAST \$100,000 ARE GUARANTEED

12:00 PM	Sunshine Millions Day	Saturday, January 24
11:00 AM	Super Bowl Sunday	Sunday, February 1
12:00 PM	Santa Anita Handicap	Saturday, March 7
12:00 PM	Santa Anita Derby	Saturday, April 4
3:00 PM	Twilight Fridays	May 1, 8, 15, 22, and 29; June 5, 12, 19 and 26.
11:00 AM	Kentucky Derby Day	Saturday, May 2
11:00 AM	Preakness Day	Saturday, May 18
11:00 AM	Belmont Stakes Day	Saturday, June 7

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file with the Board.**
- C. CORPORATION
1. Registered name of the corporation: **Los Angeles Turf Club, Inc.**
 2. State where incorporated: **California**
 3. Registry or file number for the corporation: **#481360**
 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool – Chairman (Officer), 0 Shares
Tom Ludt – President, (Officer) 0 Shares
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares
Scott Daruty – Vice President (Officer), 0 Shares
Gina Lavo – Controller (Officer), 0 Shares
Jane Lynn – Assistant Secretary (Officer)
 5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
 6. Number of outstanding shares in the corporation: **100 Shares**
 7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada.**
Email: jane.lynn@stronachgroup.com
 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
 - A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
 10. Registered name of the corporation: **Not applicable**
 11. State where incorporated: **Not applicable**

12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
A. Is parent and/or paired entity either a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.



Tom Ludt
President

September 26, 2014

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2015 Winter/Spring Meet, December 26, 2014 through June 28, 2015.

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on LATC's license application in Section 5A.

Please contact me directly if you have any questions.

Very truly yours,

A handwritten signature in black ink, appearing to read "Tom Ludt", is written over a horizontal line.

Tom Ludt

cc: Frank De Marco, Jr.
Joe Morris

Los Angeles Turf Club, Inc.

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565



Tom Ludt
President

September 26, 2014

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 1957, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2015 Winter/Spring Meet, December 26, 2014 through June 28, 2015.

Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout.

Please contact me directly if you have any questions.

Very truly yours,

Tom Ludt

cc: Frank De Marco, Jr.
Joe Morris

Los Angeles Turf Club, Inc.

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565



September 26, 2014

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: LATC (Santa Anita) 2014-15 Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2014 through June 28, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Tom Varela
Mary Saenz

OFFICERS

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BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
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ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626- 821-1515
WWW.TOCONLINE.COM



September 26, 2014

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: LATC (Santa Anita) 2014-15 Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, December 26, 2014 through June 28, 2015. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Tom Varela
Mary Saenz

OFFICERS

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CHAIR

BILL STRAUSS
VICE CHAIR, SOUTHERN CA.

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VICE CHAIR, NORTHERN CA.

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DIRECTOR OF OPERATIONS

RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

TOC letters attached (Exhibit 5A)
 LATC letters attached (Exhibit 5A)

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2013-2014	\$1,099,222,508	750,548
2012-2013	\$675,876,049	535,801
2011-2012	\$655,817,799	581,311
2010-2011	\$590,056,282	553,311
2009-2010	\$721,028,713	610,153

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

2014-2015 purse distribution December 26, 2014 – June 28, 2015

A. Purse distribution:

- 1. All races other than stakes:
 - Current meet estimate: **\$31,022,232**
 - Prior meet actual: **\$31,977,720**
 - Average Daily Purse (7 A1 ÷ number of days):
 - Current meet estimate: **\$292,663**
 - Prior meet actual: **\$298,857**

- 2. Overnight stakes:
 - Current meet estimate: **\$1,950,000**
 - Prior meet actual: **\$1,950,000**
 - Average Daily Purse (7 A2 ÷ number of days):
 - Current meet estimate: **\$18,369**
 - Prior meet actual: **\$18,224**

- 3. Non-overnight stakes:
 - Current meet estimate: **\$14,725,000**
 - Prior meet actual: **\$14,367,450**
 - Average Daily Purse (7 A3 ÷ number of days):
 - Current meet estimate: **\$138,915**
 - Prior meet actual: **\$134,275**

4. Total Purses: (7A1+7A2+7A3)
 Current meet estimate: \$47,697,232
 Prior meet actual: \$48,295,170

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: 15.5%
 Prior meet actual: 15.3%
 Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: \$0.15
 Prior meet actual: \$0.14

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$4,988,820
 Prior meet actual: \$5,032,371

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

	Current meet estimate:	Prior meet actual:
NTRA	\$ 88,250	\$ 88,220
TOC	\$409,276	\$418,360
CTBL	\$409,276	\$418,360
CTT Pension	\$409,276	\$418,360
CTT Administration	<u>\$204,638</u>	<u>\$209,180</u>
Total	\$1,520,716	Total \$1,552,480

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$54,206,768
 Prior meet actual: \$54,880,021
 Average Daily Purse (7 E ÷ number of days):
 Current meet estimate: \$511,385
 Prior meet actual: \$512,897

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$24,020,000
 Prior meet actual: \$29,917,559
 Average Daily Purse (7 F ÷ number of days):
 Current meet estimate: \$226,604
 Prior meet actual: \$232,874

G. Purse funds to be generated from interstate handle:

Current meet estimate: \$25,022,036
 Prior meet actual: \$27,299,222
 Average Daily Purse (7 G ÷ number of days):
 Current meet estimate: \$236,057
 Prior meet actual: \$255,133

- H. Bank and account number for the Paymaster of Purses' purse account:
Wells Fargo Regional Commercial Bank, West Covina Office, paid through Paymaster of Purses Account # On file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
Phone: (909) 944-6465
Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,695**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- **Fairplex – 500**
 - **Los Alamitos – 700**
 - **SLR Training Center - 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
SCOTW Off-Site Stabling and Vanning Agreement thru 12/31/2014 is on file with the Board.
An amendment to the agreement allowing Fairplex to stay open is pending.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.95 per day, per stall.

H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse:

- Fairplex \$180 RT
- Los Alamitos \$180 RT
- SLR Training Center \$360 RT

9. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double; E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

		TYPE OF WAGERS				APPLICABLE RULES	
		Example Race: \$1 E; \$1 Double				CHRB #1959; RCI #VE	
Race #1	\$1E	\$2DD	\$1PK3	\$0.50PNP5	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1	
Race #2	\$1E	\$2DD	\$1PK3	\$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1979.1, 1976.9	
Race #3	\$1E	\$2DD	\$1PK3 * \$2PNP6		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1	
Race #4	\$1E	\$2DD	\$1PK3		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1	
Race #5	\$1E	\$2DD	\$1PK3	* \$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1	
Race #6	\$1E	\$2DD	\$1PK3		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1	
Race #7	\$1E	\$2DD	\$1PK3		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1	
Race #8	\$1E	\$2DD	\$1PK3 * SuperH5		Superfecta	CHRB #1959, 1957, 1977, ARCI-004-105-X, 1976.9, 1979.1.	
* Race #9	\$1E	\$2DD	*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979.1.	
* Race #10	\$1E	\$2DD	*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979.1.	
* Race #11	\$1E	\$2DD	*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979.1.	

(*) When Applicable

- Rolling \$2 Daily Doubles beginning with Race #1. (CHRB 1957)
- \$1 Trifecta on all eligible races. (CHRB 1979)
- \$0.10 Superfecta wagering on all qualifying races. (CHRB 1979.1)
- Incoming simulcasts will follow host track format.
- \$2 PNP6 (*) Last six live races 70% Carryover, 30% Minor. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP4 Starting the second race and the (*) last four live races. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP5 starting the first race; minimum wager fifty cents, 14% takeout. One tier payoff; 100% carryover.
- \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 (*) will be carded as last race of the day. [Applicable rule: ARCI-004-105-X]

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:

Unlimited: Final distribution June 28, 2015.

C. List any options requested with regard to exotic wagering: N/A

D. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

Each race day from 8:30 a.m. to 10:00 a.m.

- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Sportech (previously Scientific Games, LLC) Expires: September 2015

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet	Watchand Wager
Twinspires	Bet America
TVG	

TOC approval pending.

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **ADW Agreements Pending**
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Pending**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 11C/F
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 11D/E
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 11D/E

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
1932 Wildcat Canyon Rd.
Lakeside, CA 92040

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair
46-350 Arabia St.
Indio, CA 92201

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

Sycuan Gaming Center
5469 Casino Way
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Striders Norco (mini-satellite)
2895 Hamner Ave.
Norco, CA 92860

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

2014-15 Import Tracks			
Track			
Arlington	Penn National Telebet	Saratoga Harness Raceway	Windsor Raceway
Assiniboia Downs	Philadelphia Park	Saskatchewan Harness Raceway	Winner's OTB (Lewiston)
Australia	Philadelphia Park (Parx)	Scarborough	Woodbine
Bellerra Park	Philly Park Internet	Schanks Athletic Club	Wyoming
Calder	Philly Park IVR	Scioto Downs	XpressBet
Canterbury	Philly Park Phone Bet	Shoreline Star Greyhound Park	Yavapia Downs
Churchill Downs	Picov Downs	Skydancer Casino (Lien Games)	Yonkers Account Wagering
Delaware Park	Pimlico	Sol Mutuel	Yonkers Raceway
Delta Downs	Pinnacle Race Course	South America Racing	Youbet Group 1
Ellis Park	Plainridge Race Course	South Philadelphia Turf Club	Youbet Illinois
Emerald Downs	Plainridge Telephone Wagering	Southland Greyhound	Zia Park
Evangeline Downs	Pocono Account Wagering	Sports Center (Amwest)	
Fairgrounds	Pocono Downs Internet	Sports Creek Raceway	
Fort Erie	Pocono Downs OTB	St. Anthony Italia Soccer Club	
Gulfstream Park	Poconos	St. John's Racing & Ent.	
Hastings Park	Pojoaque	Stables	
Hawthorne	Portland Gaming Peru	State Fair	
Indiana Downs	Portland Meadows	Sudbury Downs	
Keeneland	Potawatomi Casino/ OTB	Suffolk District OTB	
Laurel Park	Prairie Meadows	Suffolk Downs	
Lone Star	Premier Turf Club	Suffolk Downs OTB	
Louisiana Downs	Presque Isle	Sunland Park	
Mahoning Valley	Presque Isle Downs	Sunray Park	
Monmouth	Queen's Pub	SunRay Park & Casino	
Monticello	Quinte Bowling Centre	Tampa Bay	
Mountaineer Park	Racebets	Tampa Bay Downs	
Mystique Dubuque Greyhound	Raceway Park	Taunton Acct Wagering	
Nassau Regional OTB	Racing World	Taunton Dog Track Inc.	
Nevada	Racing2Day LLC	TBC Teletheaters AA	
New Jersey Internet Wagering	Randall James	TBC Teletheaters BC	
New Jersey Telephone Wagering	Red Shores Racetrack	The Downs at Albuquerque	
New Mexico	Remington Park	Thistledown	
Newport Jai Alai	Rest Inn	Time Out Lounge (Amwest)	
NJ Mobile	Retama	Tioga Downs	
Northeast Philly Turf Club	RGS	Tote Investment Racing Service	
Northfield	RGS Group 1	Triple Crown Casino (Amwest)	
Northlands	RGS Group 2	Tri-State GH (Mardi Gras)	
Northlands Park	RGS Group 5	Truro Raceway	
Northville	RGS Group 6	Turf Paradise	
NY OTB	Rideau Carleton Raceway	Turfway Park	
NYRA Account Wagering	Riders Up OTB (Amwest)	TVG Network	
NYRA, Aqueduct	River Downs	TVG Yonkers Acct. Wagering	
NYRA, Belmont	Riverside Bar and Grill	Twin River Greyhound	
NYRA, Saratoga	Rockingham Park	TwinSpires	
Oaklawn	Rocky Mountain Turf Club	United Kingdom	
Ocean Downs	Royal Beach Casino	Upper Darby Turfclub	
Oneida Bingo & Casino	Royal Britania Hub	Valley Greyhound Park	
Ontario Province USD	Royal River Racing	Venezuela OTB	
Ontario Teletheatre Network	RPDC	Vernon Downs	
Panama	Ruidoso Downs	Western Fair Raceway	
Paragon Casino Resort	Running Aces Harness Park	Western Regional OTB	
Penn Account Wagering	Sam Houston	Wheeling Downs	
Penn National	Santa Anita	Will Rogers Downs	

Vest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
pahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
na Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
ngton I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
ntic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
moral	Favorites at Gloucester	Monticello	Suffolk District OTB
moral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
ngor Raceway	Finger Lakes ADW	Mt. Pleasant Meadows	Sunland Park
ltor Racing	Fonner	Nassau Regional OTB	SunRay Park & Casino
ulah Park	Freehold	New Jersey Casino Assoc.	Tampa Bay Downs
mingham	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
iffs Run Greyhound	Global Wagering Solutions	Newport Jai Alai	Taunton Dog Track Inc.
ffalo Raceway	Bwin International Ltd.	NJ Mobile	The Downs at Albuquerque
ilder Racecourse	Intl Betting Assoc. Ltd	Northfield	Thistedown
interbury	Magna Bet		Tioga Downs
ipital District OTB	Racebets	Cedar Downs OTB	Tri-State GH (Mardi Gras)
itskills OTB	Greenetrack	Northville	Turf Paradise
arles Town Race Course	Greyhound @ Post Falls	NYRA	Turfway Park
ester Downs & Marina LLC	Gulf Greyhound	NYRA Account Wagering	TVG Chester
urchill Downs	Gulfstream	Oaklawn	TVG Network
ub Hipica InTurf	Harrington Raceway	Ocean Downs	TVG Prairie
œur d' Alene Casino	HarringtonBets (DE regional)	Panama	Twin River Greyhound
olonial Downs	Hawthorne Race Course	PARX	TwinSpires
olonial Downs Phone Bet	Hawthorne ADW	PARX Phone Bet	TwinSpires High Volume
olumbus Raceway	Hazel Park	Penn National	Velocity Wagering Ltd.
onnecticut OTB	Hoosier Park	Penn National Telebet	Venezuela OTB
Bradley Teletheater, Bristol	Horseman's Park	Peru	Vernon Downs
New Britain, Norwalk, Milford	Idabet	Plainridge Race Course	WatchandWager
East Haven, Hartford, Putnam	Indiana Downs	Plainridge Telephone Wagering	Wheeling Downs
Shoreline Star, Sports Haven	Clarksville/Evansville OTB	Player Management Group	Will Rogers Downs
Torrington, Waterbury	Intermountain Racing	Pocono Account Wagering	Wyoming OTB
Manchester, New London	Keeneland	Pocono Downs and OTB	Wyoming Downs
Williamantic, Sanford OTB	Keeneland Select ADW	Portland Meadows	XpressBet
John Martin's Manor Restaurant	Kentuck Downs	Potawatomi Casino/ OTB	Yonkers Raceway
Connecticut OTB ADW	Kentucky OTB	Prairie Meadows	Yonkers ADW
Coushatta Casino	Lebanon	Premier Turf Club	Youbet Group 1
Paragon Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Zia Park
Ho-Chunk Casino and Racebook	Lewiston OTB's	Raceway Park	123Gaming
Mohegan Sun Casino	Lien Games	Racing2Day LLC	
Oneida Bingo and Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	
Pony Bar Simulcast Center	Howard Johnsons OTB	Remington Park	
Tote Investment Racing	Rumors OTB	Remington OTB Network	
Randall James Racetrack	Skydancer Casino OTB	Retama	Separate Pool Locations
Millenium Racing	Lien Games ADW	Racing & Gaming Services	Camarero (Puerto Rico)
Royal Beach Casino	BetAmerica and Offtrackbetting	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Lone Star	Rockingham Park	MIR Books (Caliente)
Fair Chance, Winner's Circle	Louisiana Downs	Rockingham Account Wagering	NDS Books (Nevada)
Camouflage Gaming	LVDC	Ruidoso Downs	Tabcorp (Australia)
Corpus Christl Greyhound	Atlantis Paradise Casino	Running Aces Harness Park	Tattsbet (Australia)
Delaware	Avatar Ventures	Saddle Brook Park	New Zealand Racing Board
Delta Downs	Buffalo Thunder Resort	Sam Houston	
Derby Jackpot	Foxwoods Resort Casino	Valley Greyhound Park	
	Meskwaki Bingo & Casino		

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

Exhibit 11(G)

2015 Import races: Track	Race Dates	Full or Partial Cards and Stakes
Arlington	December 26, 2014 - June 28, 2015	Full or Partial Cards
Assiniboia Downs	December 26, 2014 - June 28, 2015	Full or Partial Cards
Australia	December 26, 2014 - June 28, 2015	Full or Partial Cards
Belterra Park (River Downs)	December 26, 2014 - June 28, 2015	Full or Partial Cards
Calder	December 26, 2014 - June 28, 2015	Full or Partial Cards
Canterbury	December 26, 2014 - June 28, 2015	Full or Partial Cards
Churchill Downs	December 26, 2014 - June 28, 2015	Full or Partial Cards
Delaware Park	December 26, 2014 - June 28, 2015	Full or Partial Cards
Delta Downs	December 26, 2014 - June 28, 2015	Full or Partial Cards
Ellis Park	December 26, 2014 - June 28, 2015	Full or Partial Cards
Emerald Downs	December 26, 2014 - June 28, 2015	Full or Partial Cards
Evangeline Downs	December 26, 2014 - June 28, 2015	Full or Partial Cards
Fairgrounds	December 26, 2014 - June 28, 2015	Full or Partial Cards
Fort Erie	December 26, 2014 - June 28, 2015	Full or Partial Cards
Gulfstream Park	December 26, 2014 - June 28, 2015	Full or Partial Cards
Hastings Park	December 26, 2014 - June 28, 2015	Full or Partial Cards
Hawthorne	December 26, 2014 - June 28, 2015	Full or Partial Cards
Indiana Downs	December 26, 2014 - June 28, 2015	Full or Partial Cards
Keeneland	December 26, 2014 - June 28, 2015	Full or Partial Cards
Laurel Park	December 26, 2014 - June 28, 2015	Full or Partial Cards
Lone Star	December 26, 2014 - June 28, 2015	Full or Partial Cards
Louisiana Downs	December 26, 2014 - June 28, 2015	Full or Partial Cards
Monmouth	December 26, 2014 - June 28, 2015	Full or Partial Cards
Mountaineer Park	December 26, 2014 - June 28, 2015	Full or Partial Cards
Northlands	December 26, 2014 - June 28, 2015	Full or Partial Cards
NYRA - Aqueduct, Belmont, Saratoga	December 26, 2014 - June 28, 2015	Full or Partial Cards
Penn National	December 26, 2014 - June 28, 2015	Full or Partial Cards
Philadelphia Park (Parx)	December 26, 2014 - June 28, 2015	Full or Partial Cards
Pimlico	December 26, 2014 - June 28, 2015	Full or Partial Cards
Prairie Meadows	December 26, 2014 - June 28, 2015	Full or Partial Cards
Presque Isle	December 26, 2014 - June 28, 2015	Full or Partial Cards
South America Racing	December 26, 2014 - June 28, 2015	Full or Partial Cards
Suffolk Downs	December 26, 2014 - June 28, 2015	Full or Partial Cards
Sunray Park	December 26, 2014 - June 28, 2015	Full or Partial Cards
Tampa Bay	December 26, 2014 - June 28, 2015	Full or Partial Cards
Thistledown	December 26, 2014 - June 28, 2015	Full or Partial Cards
Turf Paradise	December 26, 2014 - June 28, 2015	Full or Partial Cards
Turfway Park	December 26, 2014 - June 28, 2015	Full or Partial Cards
United Kingdom	December 26, 2014 - June 28, 2015	Full or Partial Cards
Woodbine	December 26, 2014 - June 28, 2015	Full or Partial Cards

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Bankers Casino, Salinas
Commerce Casino, Commerce
OC Tavern Grill & Sports Bar, San Clemente
Original Roadhouse Grill, Santa Maria
Santa Clarita Lanes, Saugus

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Exhibit 11 G

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: **OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local

CHRB-17 (Rev. 07/11)

government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Board of Directors
Los Angeles Turf Club, Inc.
285 W. Huntington Drive
Arcadia, CA 91007
- B. Names and addresses of the trustees or directors of the distributing agent:
Frank DeMarco, Jr., Secretary
Los Angeles Turf Club, Inc.
285 W. Huntington Drive
Arcadia, CA 91007
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|-----------------------------|--------------------------------|
| Association Veterinarian(s) | Dr. Dana Stead |
| Clerk of Scales | Kevin Colosi |
| Clerk of the Course | Melanie Stubblefield |
| Film Specialist | Ruben Hernandez |
| Horse Identifier | Jennifer Paige |
| Horseshoe Inspector | Victor Tovar |
| Paddock Judge | Karen Denoval |
| Patrol Judges | Ruben Hernandez, Karen Denoval |
| Placing Judges | Bob Moreno, Lisa Jones |
| Starter | Jay Slender |
| Timer | Dane Nelson |
- B. Management officials in the racing department:
- | | |
|-------------------------------------|------------------|
| VP-Racing | Rick Hammerle |
| Racing Director | Mike Lakow |
| Racing Secretary | Rick Hammerle |
| Assistant Racing Secretary | Zachary Soto |
| Paymaster of Purses | Bridget Crawford |
| Assistant Clerk of Scales | Charles McCaul |
| Others (identify by name and title) | |



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Owner/Trainer parking lot (E Lot)
 (2) Avid HD graphics systems with Pegasus DataLinks interface software
 (1) GVG Karrera 4ME Switcher
 (1) Router: Blackmagic Design Universal Video Hub
 (1) Summit clip store
 (2) ZPlay HD video recorders
 (1) 3play 820 HD video recorder
 (1) Sony DigiBeta deck
 (1) Audio Calrec Board: 120 input
 (1) Trakus computer (owned by Trakus)

Camera locations and equipment description

1. Pan camera 1: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
2. Pan camera 2: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
3. Dirt 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
4. Turf 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
5. Dirt 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
6. Turf 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
7. 1/4 pole tower: HD Sony HXC 100 triax chain, CANON 33X LENS
8. Turf Hill tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
9. Tote board camera: HD Sony HXC 100 triax chain, HD CANON 20X LENS
10. Walking Ring (WR) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
11. Walking Ring Tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
12. Make & break (WR/WC): HD Sony HXC 100 triax chain, HD CANON 20X LENS (HRTV use)
13. Winner's Circle (WC) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS LENS
14. Make & break (WR/WC): HD Sony HXC 100 triax chain, CANON HD 20X LENS
15. RF Gate camera: Wireless HD JVC Camera System, 16X LENS
16. Tunnel camera: HD Pan Tilt Zoom (PTZ)
17. Saddling Barn West camera: HD PTZ
18. Saddling Barn East camera: HD PTZ
19. Saddling Barn: HD Fixed
20. Saddling Barn: HD Fixed

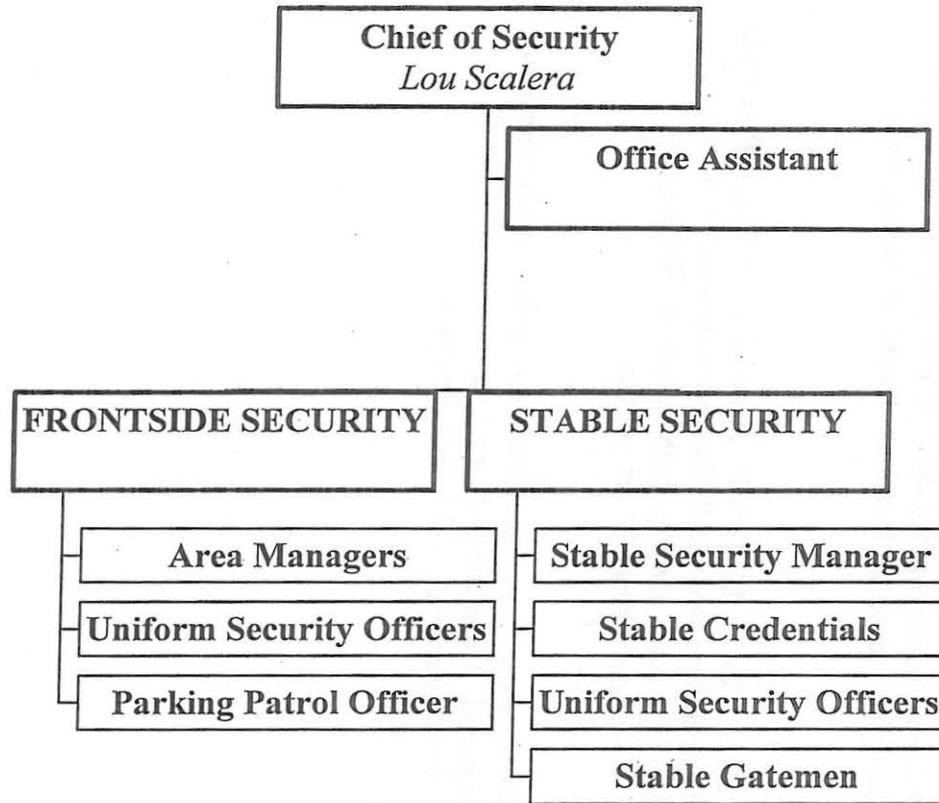
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Ms. Barbara Weinstein
9582 Hamilton Ave., Suite 265
Huntington Beach, CA 92626 Phone: (714) 964-7102
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA - Bill O'Brien (December 31, 2015)
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Jim Porep, Jr., President Contract term: July 31, 2012 – July 31, 2017
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436 Phone: 818/907-1900
 Major video and camera equipment listing attached, Exhibit 13E.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 14A)
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
- | | |
|----------------------------------|---------------------------------------|
| 1 Chief of Security | 36 Security Guards |
| 1 Secretary | 1 Fire Marshall |
| 1 Stable Security Manager | 13 Gatemen |
| 4 Investigators | 1 Stable Credentials Secretary |
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.
Attached (Exhibit 14B)
 2. Detention Barns:
 - a. Attach a plan for use of graded stakes or overnight races.
Attached (Exhibit 14B)
 - b. Number of security guards in the detention barn area during a 24-hour period.
Four Security Guards
 - c. Describe number and location of surveillance cameras in detention barn area.
Attached (Exhibit 14B)

SANTA ANITA PARK

Security Live Racing



DETENTION BARN PLAN

(July 2014)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

3. TCO2 Testing:
 - a. Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB staff directives.
 - b. Plan for enhanced surveillance for trainers with high-test results.
Contractual obligations with TOC, CTT and CHRB directives will be followed.
 - c. Plan for detention barns for repeat offenders.
In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.
 - d. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff.

C. Describe the electronic security system:

Attached (Exhibit 14B)

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 14B)

D. For night racing associations. Describe emergency lighting system: N/A

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

AmbuServe, Inc.

Wendal Smith

15105 S. Broadway Ave.

Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics Certification attached.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Fairplex:

Cole-Schaefer Ambulance Service

Albert Cisneros (Cell) 909/238-8413

324 N. Towne Ave., Pomona, CA 91767

Phone: (909) 622-1273

Los Alamitos:

Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center:

Richard F. Roesch, President

Mercy Medical Transportation Inc.

2537 Old San Pasqual Rd.

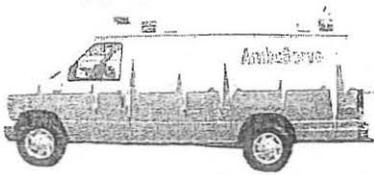
Escondido, CA 92027

Phone: (760) 525-2688

1. Attach a certification from the ambulance service(s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Fairplex:** Staff on track ambulance includes two paramedics. Certification attached.
- **Los Alamitos:** Staff by EMT's with support from Orange Cty. Fire-paramedics. Certification attached.
- **SLR Training Center:** On track ambulance includes two paramedics. Certification attached.

C. Describe the on-track first aid facility, including equipment and medical staffing:



AmbuServe
Ambulance

6-35

Exhibit 15 (A)

February 13, 2014

15105 S. Broadway St.
Gardena, Ca 91024

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve Ambulance Inc. are trained, background checked and state certified in order to fulfill their job as authorized by the State of California Emergency Medical Services Authority and the Los Angeles County Department of Health Services Emergency Medical Services Agency.

Respectfully,

Bryan Rusling

A handwritten signature in black ink, appearing to read "Bryan Rusling".

Paramedic Coordinator
Ambuserve Ambulance.



February 12, 2014

California Horse Racing Board

1010 Hurley Way, Suite 300
Sacramento, California 95825
(916) 263 - 6000

Dear Sir or Madam:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Cole- Schaefer Ambulance Service, Inc. are trained, background checked, and State certified to fulfill their job as authorized by the State of California Emergency Medical Services Authority and the Los Angeles County Department of Health Services Emergency Services Agency.

Sincerely,

A handwritten signature in black ink that reads "Susie McBride". The signature is written in a cursive, flowing style.

Susie McBride
Human Resources

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

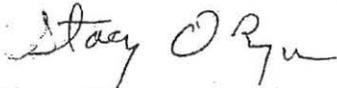
February 11, 2014

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

MERCY
Medical
Transportation
Inc.

SLR Training Center -Exhibit 15 (B)

ADMINISTRATION
P.O. Box 5004
Mariposa, CA
95338-5004
(209) 966-5762
FAX (209) 966-4901

February 10, 2014

California Horse Racing Board

Re: Ambulance Certification Letter

Dear Sirs,

Mercy Medical Transportation, Inc. employs only Paramedics that are State Certified and Licensed and San Diego County Certified and Licensed. All of our Paramedics have background checks, drug testing and have been sent through the Department of Justice. Mercy also participates in the DMV pull notice program.

Mercy has a continuous quality improvement plan and high standards for continuous training of our personnel.

Sincerely,



Richard F. Roesch

President



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries , a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
 - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
 - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contratados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hospital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jintete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



City of Arcadia

September 23, 2014

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2014-2015 Main Meet)

Fire Department

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2014-2015 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2014-2015 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.

No.: 2014-159

Dated: June 26, 2014

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Division: Los Angeles Turf Club, Incorporated dba Santa Anita Park 285 West Huntington Drive Arcadia, CA 91007
---	--

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-12	Jun 30, 2014 to Jun 30, 2015	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-014	Jun 30, 2014 to Jun 30, 2015	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, GLO9302183-11, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-013, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 161 Bay Street, Suite 1400 Toronto, ON M5J 2S4 Telephone: 416-868-2123 Fax: - stephanie.leite@marsh.com	Marsh Canada Limited By: _____ Stephanie Wong
--	---

I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

Liberty Mutual Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WC2 B71-170912-014 Expires 6/30/2015

J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

Certificate on file with the Board.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
Tiffany Bohland
6288 Highland Meadows Drive
Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)
% Don Harris
924 Knob Hill Avenue
Redondo Beach, CA 90277-4538

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)
c/o Harry Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)
Jason Karches
2080 Las Palmas Drive

Carlsbad, CA 92009

Triangle Turf Winners
William Archer
1 Scott Street
Gardnerville, NY 89410

(CHRB-25A and CHRB-87 on file with CHRB.)

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans: for the race meeting:

Exhibit 17(A) To be submitted to the Board

B. Promotional/ Marketing budget for this race meeting: **Exhibit 17(A) To be submitted to the Board**
Promotional/Marketing budget for prior race meeting: **2014 Marketing budget \$5,982,000**

C. Number of hosts and hostesses employed for meeting:

10 - Group Sales handicapping aides to assist newcomers

11 - Food and Beverage hostess's (11 weekends, 4 weekdays)

16 - SST's Mutuel staff (14 on weekdays and 16 on weekends)

D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Club. The Turf Club TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen

General maintenance and painting throughout barn facilities.

Second phase of Private Suites completed.

Expanded Turf Club dining areas.

2. Fans

Sports bar/lounge entertainment area in Sirona's featuring seasonal sports activities.

3. Facilities in the restricted areas

General maintenance and enhanced security features throughout facility.

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year: (To be determined)

Admission (general)	\$ 5.00
Admission (clubhouse)	\$ 10.00
Club House Admission	\$ 10.00
Reserved seating (general)	Free
Reserved seating (clubhouse)	\$ 5.00 Sold on premium days only.
Daily Box Seat	\$ 20.00 Saturdays and Sundays (Includes CH admission & seat)
Parking (general)	\$ 4.00
Parking (valet)	\$ 10.00
Programs (on-track)	\$ 2.50
(off-track)	\$ 2.50

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Season Box Seats: \$ TBD Sold by the calendar year

C. Describe any "package" plans such as combined parking, admission and program: N/A

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="40+"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **445**
- C. Number of restrooms available on the backstretch of the racetrack:
29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5.200 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.
- C. The percent of cross slope in the straight-aways is: **2%**
The percent of cross slope in the center of the turns is: **5.75%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Dennis Moore, Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior

to the conduct of any racing. N/A

22. DECLARATIONS

A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):

No exceptions.

B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.

TOC and CTT agreements pending.

Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A

D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions.

E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions.

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Tom Ludt
Print Name

Signature [Handwritten Signature]

President

Print Title

Date 9-30-14

STAFF ANALYSIS
 October 23, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T) AT LOS ALAMITOS RACE COURSE DECEMBER 3, 2014 THROUGH DECEMBER 23, 2014

- The Los Alamitos Racing Association (LARA) is applying to run its first winter thoroughbred race meeting at Los Alamitos Race Course. LARA was allocated three weeks of thoroughbred race days in December for the 2014 race meet calendar. The application is for a total of twelve race days.

LARA filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course:

- December 3, 2014, through December 23, 2014, or 12 days. LARA proposes to race a total of 102 races, or 8.5 races per day. The (estimated) average daily purse for this meet is \$306,234. LARA will be simulcast only December 3 and begin live horseracing on December 4, 2014.

December - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- First live post 12:30 p.m., Thursday through Sunday.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will start at 8:30 a.m. and end at 10:00 a.m. all live race days.
 - \$2 Daily Double - 20% takeout. Placement of last Daily Double will depend on number of races.
 - \$1 Trifecta on eligible races.
 - \$0.10 Superfecta on all eligible races.
 - \$2 Pick 6 on last six live races – 70% carryover – 30% minor – Alternate runner provision.
 - \$0.50 Pick 4 on second four races and last 4 races – Alternate runner provision.
 - \$0.50 Pick 5 will be offered on the first race - Minimum fifty cent, 14% takeout. One tier payoff – 100% carryover.
 - \$1 Pentafecta (Super High Five) in the last race – 100% payout on all tickets selective five winners. – No consolation 100% carryover if no ticket has five winners.

- Advance Deposit Wagering (ADW) providers are XpressBet, Twinspires, and TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P Code section 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinspires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige is appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- LARA has reported it will have two emergency medical technicians (EMT's) in the on-track ambulance during racing hours.

ITEMS STILL NEEDED:

1. TOC approval of takeout adjustment.
2. TOC horsemen agreement.
3. CTT agreement.
4. Marketing plan.
5. Promotional marketing budget.
6. Number of hosts and hostesses employed for meeting.
7. Description of facilities set aside for new fans.
8. Description of any improvements to the facility.

RECOMMENDATION:

This item is presented for Board discussion and action. If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Brad McKinzie Los Alamitos Racing Assn. 4961 Katella Ave. Los Alamitos CA 90720 714 820-2800 fax 714 820 2813 bradmckinzie@aol.com

B. Breed of horse: TB QH H

C. Racetrack name: Los Alamitos Race Track

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars. The bond is on file.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: Dec. 3 through Dec. 23

B. Actual dates racing will be held: December 4, 5, 6, 7, 11, 12, 13, 14, 18, 19, 20, 21

C. Total number of days or nights of racing: 12

D. Days or nights of the week races will be held: Thursday through Sunday

Wed - Sun Tues - Sat Other (specify) Thursday - Sunday

E. Number of days or nights of racing per week: 4

CHRB CERTIFICATION

Application received: 9.15.14
Deposit received: on file 9.18.14
Reviewed: 10.3.14

Hearing date: 10/23/14
Approved date:
License number:

3. RACING PROGRAM

A. Total number of races: 102

B. Number of races for each day or night.

8 on weekdays, 9 on weekends

C. Total number of stakes races: 4

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	Weekdays	Weekends
Race 1	12:30 p m	
Race 2	1:00	
Race 3	1:30	
Race 4	2:00	
Race 5	2:30	
Race 6	3:00	
Race 7	3:30	
Race 8	4:00	
Race 9	4:30	

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file. See Los Alamitos Quarter Horse Racing Assn.

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: C1650097

Stakes Races – 2014 Los Alamitos Winter Thoroughbred Meeting

Date	Stakes	Purse	Distance	Conditions
Sat. Dec. 6	Soviet Problem Stakes	\$200,000 guar.	7 furlongs	Cal Bred / 2 y o fillies
Sat. Dec. 13	The Starlet G1	\$350,000 guar.	1 1/16 th	2 y o fillies
Sun. Dec. 14	King Glorious Stakes	\$200,000 guar.	7 furlongs	Cal bred / 2 y o colts,geldings
Sat. Dec. 20	Los Alamitos Futurity G1	\$500,000 guar.	1 1/16 th	2 y o colts

HOLLYWOOD PARK FALL MEETING

2013 PROPOSED STAKES SCHEDULE

<u>DATE</u>	<u>STAKE</u>	<u>PURSE/DISTANCE</u>
Sat. Nov. 9	MOCCASIN STAKES Fillies, Two Years Old	\$100,000 Seven Furlongs
Sun. Nov. 10	HOLLYWOOD PREVUE STAKES (GRADE III) Two Year Olds	\$100,000 Seven Furlongs
Sat. Nov.16	SHARP CAT STAKES Fillies, Two Years Old	\$100,000 One Mile & One Sixteenth
Sun. Nov. 17	CAT'S CRADLE HANDICAP <i>A Golden State Series Race</i> Fillies & Mares, Three Year Olds & Upward, Cal-Bred	\$100,000 * Seven and One Half Furlongs
Sat. Nov. 23	REAL QUIET STAKES Two Year Olds	\$100,000 One Mile & One Sixteenth
Sun. Nov. 24	ON TRUST HANDICAP <i>A Golden State Series Race</i> Three Year Olds & Upward, Bred in California	\$100,000 * Seven and One Half Furlongs
Thur. Nov. 28	VERNON O. UNDERWOOD (GRADE III) Three Year Olds & Upward	\$100,000 Six Furlongs
Fri.. Nov. 29	CITATION HANDICAP (GRADE II) Three Year Olds & Upward	\$250,000 One Mile and One Sixteenth (Turf)
Sat. Nov. 30	MIESQUE STAKES (GRADE III) Fillies, Two Years Old	\$100,000 One Mile (Turf)
Sat Nov. 30	GENEROUS STAKES (GRADE III) Two Year Olds	\$100,000 One Mile (Turf)
Sun. Dec. 1	HOLLYWOOD DERBY (GR. I) Three Year Olds	\$250,000 One Mile and One Quarter (Turf)
	THE MATRIARCH (GRADE I) Fillies & Mares, Three Year Olds & Upward	\$250,000 One Mile (Turf)
Sat. Dec. 7	HOLLYWOOD STARLET (GRADE I) Fillies, Two Years Old Nominations Friday, May 14, 2012	\$500,000 Guaranteed One Mile and One Sixteenth
	BAYAKOA STAKES (GRADE II) Fillies & Mares, Three Year Olds & Upward	\$150,000 One Mile and One Sixteenth
Sun. Dec. 8	HOLLYWOOD TURF EXPRESS Three Year Olds & Upward	\$100,000 Six Furlongs (Turf)

Sat. Dec. 14	CASHCALL FUTURITY (GRADE I) Two Year Olds <i>Sponsored by CashCall</i> Nominations Friday, May 14, 2012	\$750,000 Guaranteed One Mile and One Sixteenth
	HOLLYWOOD TURF CUP (GII) Three-Year-Olds & Upward	\$250,000 One and One Half Miles (Turf)
	NATIVE DIVER STAKES (GRADE III) Three Year Olds & Upward	\$150,000 One Mile and One Eighth
Sun. Dec. 15	PLAYA DEL REY STAKES Fillies & Mares, Three Year Olds & Upward	\$100,000 Six Furlongs
Sat. Dec. 21	SOVIET PROBLEM STAKES <i>A Golden State Series Race</i> Fillies, Two-Years-Old, Bred in California	\$200,000 Seven Furlongs
Sun. Dec. 22	KING GLORIOUS STAKES <i>A Golden State Series Race</i> Two-Year-Olds, Bred in California	\$200,000 Seven Furlongs

* Includes \$15,000 from the Cal-Bred Fund

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Dr. Edward C. Allred, President, Director 100%
 Brad McKinzie 0% Vice-President, General Manager 0%
 Rick English, chief financial Officer, Director 0%
 Tom Siebly, director 0%
 Don Valpredo 0%
 Frank Sherren, Director 0%
 Cathy Monji, Director 0%
 Jack Liebaw, Director 0%
 Richard Shapiro, Director 0%
 Mike Harrington, Director 0%

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: none

6. Number of outstanding shares in the corporation: none

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

Quarter Horse Racing Inc.

- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:

11. State where incorporated:

12. Registry or file number for the corporation:

13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:

15. Number of outstanding shares in the corporation:

16. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:

2. State where articles of organization are filed:

3. Registry or file number for the LLC:

4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:

5. Are the shares listed for public trading? Yes No

- If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
 7. Registered name of the LLC/Corporation:
 8. State where articles of organization are filed:
 9. Registry or file number for the LLC:
 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
 11. Are the shares listed for public trading? Yes No
 12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Please see previous submittal
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C. Allred, President, CEO
 Brad McKinzie, Vice President, General Manager
 Rick English, Chief Financial Officer
 Robert Moreno, Racing Secretary
 Orlando Gutierrez, Publicity Director
 Frank Sherren, Plant Superintendent
 Rick Hughes, Track Superintendent
 Dennis Moore, Track Consultant
 Robert Digiovanni, Director of Mutuels
 Frank Mirahmadi, Track Announcer
 Keith Hicks, Director of Security
 Robert Hurd, Director of Operations
 Mike Monji, Director of Food Services
 Ken Walker, Director of Labor Relations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).
 Brad McKinzie 4961 Katella Ave. Los Alamitos Ca 90720 bradmckinzie@aol.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily
Double

Proposed percentage: P5 14 %
 DD - 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • www.losalamitos.com

October 7, 2014

Brad McKinzie
Vice-President, General Manager
Los Alamitos Racing Assn.
Los Alamitos, CA

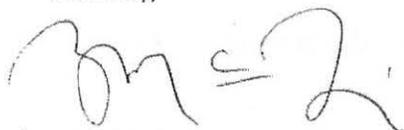
Mr. Rick Baedeker
Executive Director
California Horse Racing Board
Sacramento, CA

Dear Mr. Baedeker,

Los Alamitos Racing Association is requesting permission to conduct Rolling Doubles at a 20% takeout as well as a Pick Five at a 15% takeout during our upcoming winter season.

This request is made to provide continuity with the wagering selections at other southern California meets.

Sincerely,



Brad McKinzie
Los Alamitos Racing Assn.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. n/a

Year	Handle	Attendance

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate:

\$2,543,291

Prior meet actual: n/a

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$211,941

Prior meet actual: n/a

2. Overnight stakes:

Current meet estimate:

\$0

Prior meet actual: n/a

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$0

Prior meet actual: n/a

3. Non-overnight stakes:

Current meet estimate:

\$677,250

Prior meet actual: n/a

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$56,438

Prior meet actual: n/a

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:

\$3,220,541

Prior meet actual: n/a

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 31%

Prior meet actual: n/a

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 2.58%

Prior meet actual: n/a

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:

\$359,807

Prior meet actual: n/a

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

TOC - \$26,990

CTT Administration - \$26,990

CTT Pension - \$13,495

Workers Comp - \$26,990

Prior meet actual: n/a

Total \$100,665

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:

\$3,681,013

Prior meet actual: n/a

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$306,751

Prior meet actual: n/a

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:

\$2,288,663

Prior meet actual: n/a

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$190,722

Prior meet actual: n/a

- G. Purse funds to be generated from interstate handle:
 Current meet estimate: \$830,620
 Prior meet actual:n/a

Average Daily Purse (7 G ÷ number of days):
 Current meet estimate: \$69,218
 Prior meet actual:n/a

- H. Bank and account number for the Paymaster of Purses' purse account:
 Bank of the West 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # on-file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Bowen, McBeth, Inc. 10722 Arrow route, Suite 110
 Rancho Cucamonga, CA 91730 909-944-6465 Email – craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 700
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 1,995
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 Santa Anita – 1,500
 San Luis Rey Downs - 495
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
 SCOTWINC Off-site Stabling and Vanning Agreement thru 12-31-2014 on file with board.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: TBD
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

Santa Anita - \$180 RT San Luis Rey Downs - \$360 RT

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 Superfecta	CHRB #1959,1979,1957,1977,1976.9,1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$0.50PNP4 Superfecta	CHRB 1957 #1959,1979,1977,1976.9,1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$0.50PNP6 Superfecta	CHRB #1957 #1959,1979,1977,1976.9,1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 \$0.50PNP6Superfecta	CHRB#1957 #1959, 1979,1977,1976.9,1979.1
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$.50PNP4Superfecta	CHRB #1957#1959,1979,1977,1976.9,1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 Superfecta	CHRB #1957 #1959,1979,1977,1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB#1957 #1959,1979,1977,1957,1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB #1957 #1959,1979,1977,1957,1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3\$2DD Super H5 Superfecta	CHRB #1957 #1959,1979,1977,1957,1979.1:ARCI #004-105 Subsection X Pick (n) Position (x) Pools)

- \$2 Rolling Daily Double. 20% takeout . Placement of last DD will depend on # of races.
- *\$1 Trifecta on all eligible races . (CHRB 1979)
- * \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)
- * Incoming simulcasts will follow host track format.
- * \$2 PNP6 last six live races 70% carryover, 30% minor. Alternate runner provision (CHRB 1976.9)
- *\$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)
- * \$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover
- \$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race.
- 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
Unlimited. Final distribution December 21, 2014

- C. List any options requested with regard to exotic wagering: n/a
- D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
 Each race day from 8:30 a.m. to 10:00 a.m.
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
 Sportech. Expires September 2015

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
 XpressBet
 Twinpires
 TVG
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. Please refer to July meet application.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
 Southern California Off Track Wagering Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
 SCOTWINC agreement is on file.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
 See Attachment
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
 See Attachment



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

10 C

April 17, 2014

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
ED MOGER
AT LARGE

DIRECTORS

BOB BAFFERT
MARK DEDOMENICO
MIKE HARRINGTON
RON ELLIS
TERRY LOVINGIER
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MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLIE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Mr. Brad McKenzie
Los Alamitos Racecourse
4961 Katella
Los Alamitos, CA 90720

RE: 2014 Los Alamitos Race Meeting ADW Approvals

Dear Brad,

This is to confirm that Thoroughbred Owners of California, Inc. (TOC) authorizes and agrees that during the Los Alamitos 2014 Thoroughbred race meets, July 3-13 and December 4-21, 2014, California-licensed ADW providers TVG, Xpressbet, TwinSpires and WatchandWager.com may accept wagers from California residents on races conducted at Los Alamitos and races conducted at tracks located outside of California.

It is TOC's understanding that the compensation rates and fees for this wagering activity are those specified in the separate Account Wagering Agreements entered into as of November 23, 2013 by and between Monarch Content Management, LLC and Xpressbet, LLC, ODS Technologies (TVG) and Churchill Downs Incorporated and the Hub Agreements entered into August 20, 2013 by and between Los Angeles Turf Club, Inc. (Santa Anita) and Xpressbet, LLC; December 17, 2013 by and between Los Angeles Turf Club, Inc. (Santa Anita) and Churchill Downs Technology Initiatives Company (TwinSpires); January 1, 2014 by and between Del Mar Thoroughbred Club and TVG; and December 13, 2013 by and between TOC and WatchandWager.com, LLC.

Should you have any questions, please contact me.

Very truly yours,

Joe Morris
President

cc: Tom Varela, Monarch Content Management

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
1932 Wildcat Canyon Rd.
Lakeside, CA 92040

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair
46-350 Arabia St.
Indio, CA 92201

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

Sycuan Gaming Center
5469 Casino Way
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Striders Norco (mini-satellite)
2895 Hamner Ave.
Norco, CA 92860

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

**2014 Los Al Fall Meet
Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Finger Lakes ADW	Mt. Pleasant Meadows	Sunland Park
Batavia	Fonner	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Freehold	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Global Wagering Solutions	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Bwin International Ltd.	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Intl Betting Assoc. Ltd	Northfield	Thistledown
Calder Racecourse	Magna Bet		Tioga Downs
Canterbury	Racebets	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Capital District OTB	Greenetrack	Northville	Turf Paradise
Catskills OTB	Greyhound @ Post Falls	NYRA	Turfway Park
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	TVG Chester
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Network
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Prairie
Club Hípica InTurf	HarringtonBets (DE regional)	Panama	Twin River Greyhound
Coeur d' Alene Casino	Hawthorne Race Course	PARX	TwinSpires
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires High Volume
Colonial Downs Phone Bet	Hazel Park	Penn National	Velocity Wagering Ltd.
Columbus Raceway	Hoosier Park	Penn National Telebet	Venezuela OTB
Connecticut OTB	Horseman's Park	Peru	Vernon Downs
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	WatchandWager
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Western OTB
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Wheeling Downs
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Will Rogers Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wyoming OTB
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	XpressBet
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Yonkers Raceway
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers ADW
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Youbet Group 1
Paragon Casino	Lewiston OTB's	Raceway Park	Zia Park
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	123Gaming
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	Remington OTB Network
Pony Bar Simulcast Center	Rumors OTB	Retama	
Tote Investment Racing	Skydancer Casino OTB	Racing & Gaming Services	Separate Pool Locations
Randall James Racetrack	Lien Games ADW	River Downs	Camarero (Puerto Rico)
Millenium Racing	BetAmerica and Offtrackbetting	Rockingham Park	Caymanas (Jamaica)
Royal Beach Casino	Lone Star	Rockingham Account Wagering	MIR Books (Caliente)
Divi Carina Bay Casino	Louisiana Downs	Ruidoso Downs	NDS Books (Nevada)
Fair Chance, Winner's Circle	LVDC	Running Aces Harness Park	Tabcorp (Australia)
Camouflage Gaming	Atlantis Paradise Casino	Saddle Brook Park	Tattsbet (Australia)
Corpus Christi Greyhound	Avatar Ventures	Sam Houston	New Zealand Racing Board
Delaware	Buffalo Thunder Resort		
Delta Downs	Foxwoods Resort Casino	Valley Greyhound Park	
Derby Jackpot	Meskwaki Bingo & Casino		

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

2014 Import Tracks

Arlington	Mystique Dubuque Greyhound	Premier Turf Club
Assiniboia Downs	Nassau Regional OTB	Presque Isle Downs
Australia	Nevada	Queen's Pub
Belterra Park	New Jersey Internet Wagering	Quinte Bowling Centre
Calder	New Jersey Telephone Wagering	Racebets
Canterbury	New Mexico	Raceway Park
Churchill Downs	Newport Jai Alai	Racing World
Delaware Park	NJ Mobile	Racing2Day LLC
Delta Downs	Northeast Philly Turf Club	Randall James
Ellis Park	Northfield	Red Shores Racetrack
Emerald Downs	Northlands Park	Remington Park
Evangeline Downs	Northville	Rest Inn
Fairgrounds	NY OTB	Retama
Fort Erie	NYRA	RGS
Gulfstream Park	NYRA Account Wagering	RGS Group 1
Hastings Park	Oaklawn	RGS Group 2
Hawthorne	Ocean Downs	RGS Group 5
Indiana Downs	Oneida Bingo & Casino	RGS Group 6
Keeneland	Ontario Province USD	Rideau Carleton Raceway
Laurel Park	Ontario Teletheatre Network	Riders Up OTB (Amwest)
Lone Star	Panama	River Downs
Louisiana Downs	Paragon Casino Resort	Riverside Bar and Grill
Mahoning Valley	Penn Account Wagering	Rockingham Park
Monmouth	Penn National	Rocky Mountain Turf Club
Mountaineer Park	Penn National Telebet	Royal Beach Casino
Northlands	Philadelphia Park	Royal Britania Hub
NYRA - Aqueduct, Belmont, Saratoga	Philly Park IVR	Royal River Racing
Penn National	Philly Park Internet	RPDC
Philadelphia Park (Parx)	Philly Park Phone Bet	Ruidoso Downs
Pimlico	Picov Downs	Running Aces Harness Park
Prairie Meadows	Pinnacle Race Course	Sam Houston
Presque Isle	Plainridge Race Course	Santa Anita
South America Racing	Plainridge Telephone Wagering	Saratoga Harness Raceway
Suffolk Downs	Pocono Account Wagering	Saskatchewan Harness Raceway
Sunray Park	Pocono Downs Internet	Scarborough
Tampa Bay	Pocono Downs OTB	Schanks Athletic Club
Thistledown	Poconos	Scioto Downs
Turf Paradise	Pojoaque	Shoreline Star Greyhound Park
Turfway Park	Portland Gaming Peru	Skydancer Casino (Lien Games)
Woodbine	Portland Meadows	Sol Mutuel
Monticello	Potawatomi Casino/ OTB	South Philadelphia Turf Club
Mountaineer Park	Prairie Meadows	Southland Greyhound

Sports Center (Amwest)
Sports Creek Raceway
St. Anthony Italia Soccer Club
St. John's Racing & Ent.
Stables
State Fair
Sudbury Downs
Suffolk District OTB
Suffolk Downs
Suffolk Downs OTB
Sunland Park
SunRay Park & Casino
Tampa Bay Downs
Taunton Acct Wagering
Taunton Dog Track Inc.
TBC Teletheaters AA
TBC Teletheaters BC
The Downs at Albuquerque
Thistledown
Time Out Lounge (Amwest)
Tioga Downs
Tote Investment Racing Service
Triple Crown Casino (Amwest)
Tri-State GH (Mardi Gras)
Truro Raceway
Turf Paradise
Turfway Park
TVG Network
TVG Yonkers Acct. Wagering
Twin River Greyhound
TwinSpires
Upper Darby Turfclub
Valley Greyhound Park
Venezuela OTB
Vernon Downs
Western Fair Raceway
Western Regional OTB
Will Rogers Downs
Windsor Raceway
Winner's OTB (Lewiston)
Woodbine
Wyoming
XpressBet

Yavapia Downs
Yonkers Raceway
Yonkers Account Wagering
Youbet Group 1
Youbet Illinois
Zia Park

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: See Attachment
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: See Attachment
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": See Attachment

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
N/A		

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United

States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

Board of Directors
Los Alamitos Racing Assn.
4961 Katella Ave.
Los Alamitos, CA 90720

- B. Names and addresses of the trustees or directors of the distributing agent:

Rick English , Los Alamitos Racing Assn. 4961 Katella Ave. Los
Alamitos, CA 90720

- C. Dates the association will conduct races as charity racing days OR:

- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Bob Moreno	Racing Secretary
Tora Yamaguchi	Asst. Racing Secretary
Charles McCaul	Clerk of Scales
Melanie Stubblefield	Clerk of the Course
Jennifer Paige	Horse Identifier
Victor Tovar	Horseshoe Inspector
Karen Denovel	Paddock Judge
Ruben Hernandez	Patrol Judge
Karen Deneval	Patrol Judge
Kevin Colosi	Placing Judge

Dawn Schmid	Placing Judge
Jay Slender	Starter
Russ Hudak	Timer/Morning Line Maker
Dr. Dana Stead	Association Veterinarian

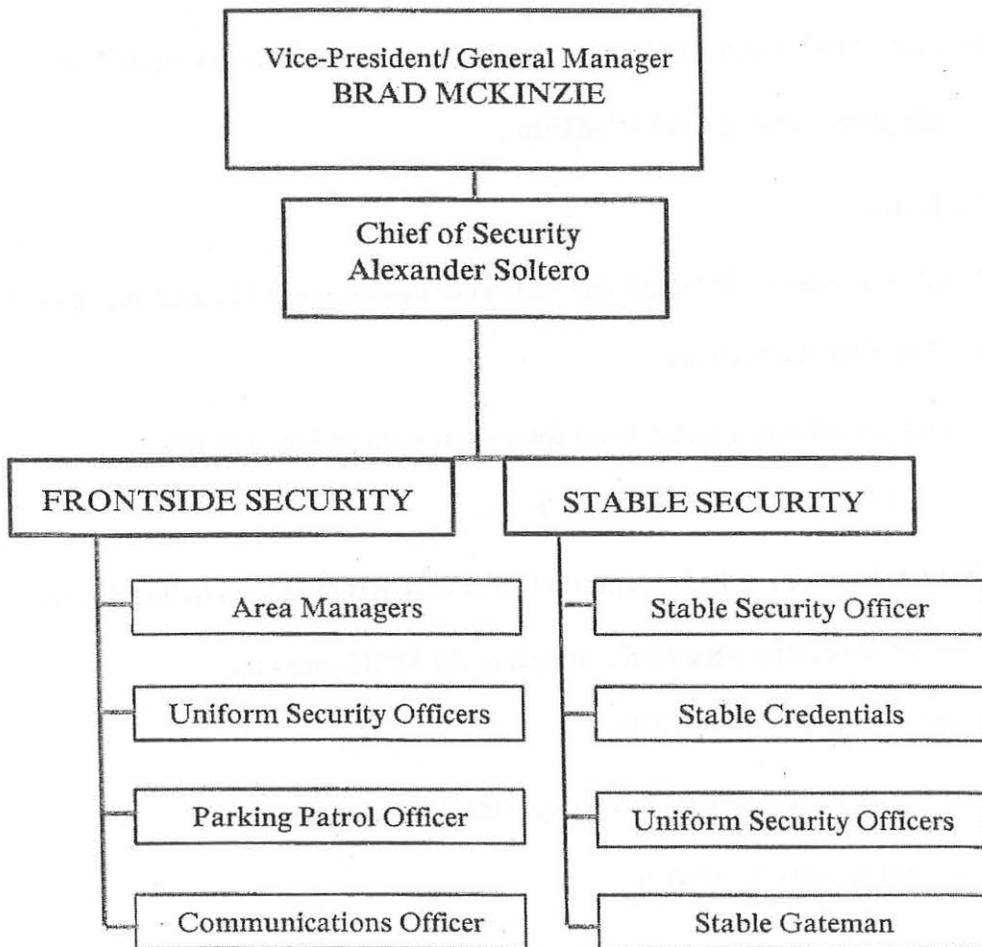
- B. Management officials in the racing department:
 Director of Racing Bob Moreno
 Racing Secretary Bob Moreno
 Assistant Racing Secretary Tora Yamaguchi
 Paymaster of Purses Dawn Jackson
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
 Weinstein Court Reporters
 P O Box 26634
 Santa Ana, CA 92799
 949-637-6071
 Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp. USA – Bill O'Brien. Expires Dec. 31, 2014
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Jim Porep, President Pegasus Communications Inc.
 16633 Ventura Blvd. Suite 1010
 Encino, CA 91436
 Contract Expiration – April 21, 2017 See Attachment
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Electronic timing devices maintained and operated by Los Alamitos Race course personnel. Systems backed up by hand timing.

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
 Alexander Soltero, Chief of Security
 714-820-2660 frontside
 Backside Security Office – 714-820-2832
 Organization Chart – See Attachment
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
- | | | |
|---------------------|---------------------------|-----------|
| 1 Chief of Security | 1 Stable Security Manager | 5 Gatemen |
| 3 Investigators | 20 Security Guards | |

LOS ALAMITOS RACING ASSOCIATION

Security Live Racing



1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Unless directed otherwise by CHRB it is not the plan of Los Alamitos Racing Association to use a detention barn for stakes run during our 2014 summer meet. Written plan for stakes security is attached.

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

- B. Number of security guards in the detention stall area during a 24-hour period.

We do not plan to utilize a detention barn

- C. Describe number and location of surveillance cameras in detention stall area.

We do not plan to utilize a detention barn

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB directives

- B. Plan for enhanced surveillance for trainers with high-test results.

CHRB directives will be followed

- C. Plan for detention stalls for repeat offenders. CHRB directives to be followed

- D. Number of security personnel assigned to the TCO2 program.

As per needed according to CHRB directives

- E. Describe the electronic security system: We do not have an electronic security system

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Los Alamitos does not have video surveillance cameras in these locations

- D. For night racing associations. Describe emergency lighting system:

We are not a night racing Association. All thoroughbred racing will be during daytime hours

Attachment 14.1 / 14.2

Program for Enhanced Security for Stakes Races

During the thoroughbred racing season at Los Alamitos all stakes races with a purse of \$100,000 or more will be subject to enhanced security procedures conducted by Los Alamitos Race Course personnel.

For all stakes with purses of \$100,000 or more there will be security personnel assigned to each barn with a horse(s) entered in that race. This security guard will monitor all individuals entering the barn and they will all be equipped with a video recording device to record that activity.

For the upcoming winter racing season the following races will be subject to this increased security program –

\$500,000 Los Alamitos Futurity
\$350,000 Starlet
\$200,000 King Glorious Stakes
\$200,000 Soviet Problem Stakes

As per our agreement with the TOC, this added surveillance will commence no later than 6 hours prior to the post time of the subject race.

This enhanced security coverage will be in addition to a minimum of 2 armed security personnel who will be patrolling the barn area on a 24-hour per day basis.

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

Huntington Ambulance Service
 P O Box 145
 Sunset Beach, CA 90742
 562-904-1550
 Track # 714-820-2845

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

San Luis Rey Downs
 North county fire Protection District
 31403 Old River Road
 Bonsall, CA 92003
 Phone – 911

Fairplex Park
 Cole-Schaefer Ambulance Service
 Albert Cisneros – 909-238-8413
 324 N Towne Ave. Pomonas, CA 91767

Santa Anita
 AmbuServe
 15105 S. Broadway
 Gardena, CA 90248
 Bryan Rusling
 310-644-0500

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Staffing – Contracted track physician, four certified EMT's, 2 licensed emergency ambulances
 Equipment – First Aid supplies; Oxygen equipment; blood pressure monitoring; backboard, cervical collar; 3 patient beds.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Dr. Paul Anile 714-820-2710**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos General Hospital
 3571 Katella Ave.
 Los Alamitos, CA 90720
 562-598-1311

CHRB Item 15.1 – Explanation of lack of paramedic staff

Unlike Los Angeles County, which allows for the licensing of private paramedic services, all paramedic services in Orange County fall under the jurisdiction of the Orange County Health Care Agency, Department of Emergency Medical Services and that agency does not license private paramedic services within the county.

All paramedic services within Orange County are provided by the Orange County Fire Authority and there is no provision within current guidelines that allow for OCFA units to be staged for activities of private events such as horse racing.

Because of this guideline, all racing events at Los Alamitos are covered by two fully equipped ambulances staffed by state certified EMT's. These EMT's and our ambulance service also fall under the jurisdiction of the Orange County Emergency Medical Services and our operations at the track are reviewed on an annual basis. I have included our last review with the suggestions of OCEMS which have been implemented by our track.

It is important to note that while our track is not covered by paramedics, the protocol for having paramedics on the scene in case of an accident has been reviewed and approved by the OCEMS.

The nearest paramedic staffed fire station to Los Alamitos Race Course is literally across the street. This proximity is no accident. The OCEMS will tell you that the site was chosen for the specific reason of its proximity to the race track.

In case of an accident that requires paramedic response, our Huntington Ambulance EMT's will stabilize the patient and will meet the OCFA paramedic unit at the pre-designated location directly across the street from the fire station. This location is accessed through a gate on our backstretch that we have shown on the enclosed graphic.

Not only does the OCEMS approve of this system, as you can see from our latest review, they believe having experienced EMT's handle the initial care of the jockey before handing the patient off to paramedics has benefits.

15 A

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

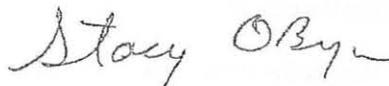
April 23, 2014

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

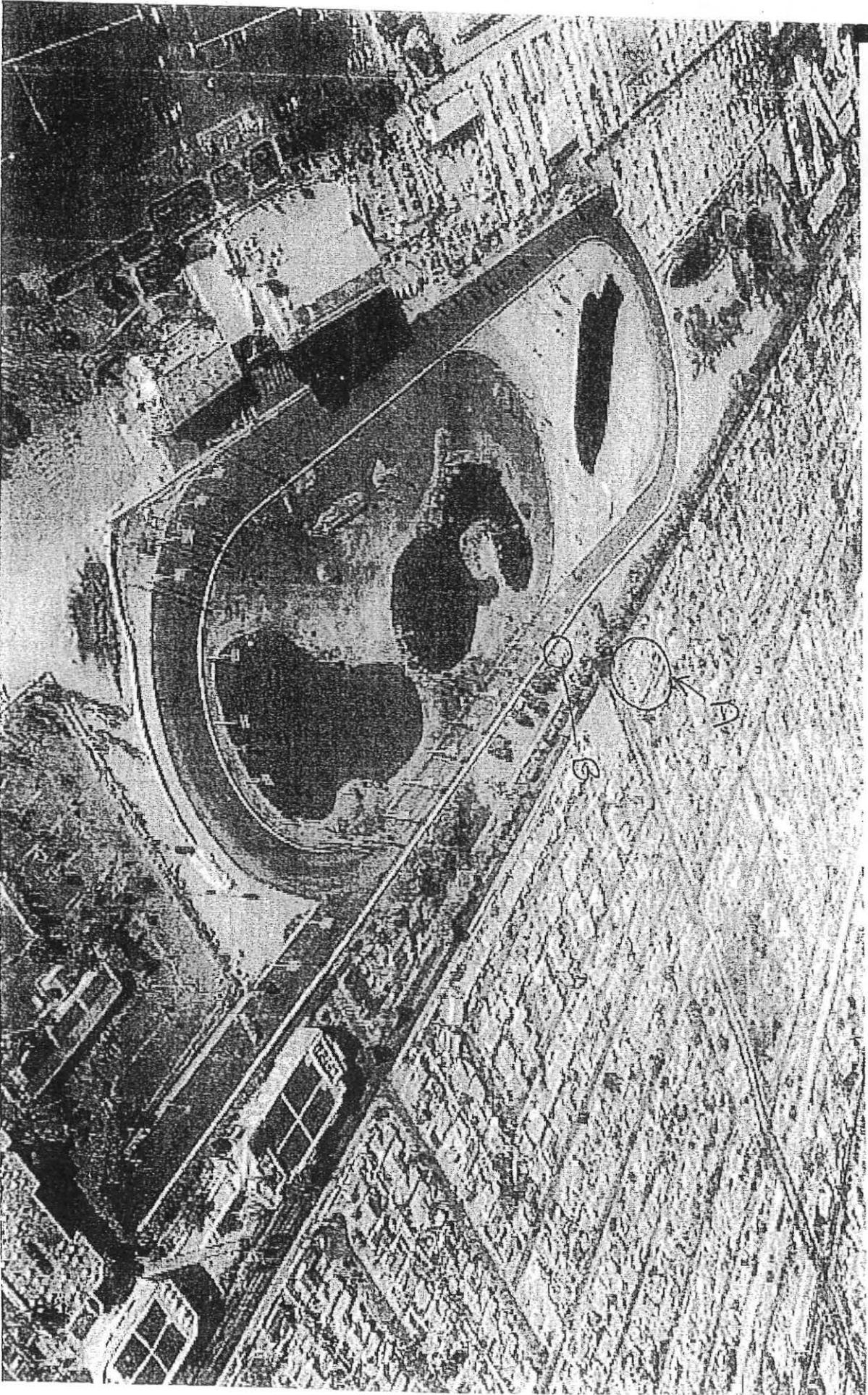
All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

15.1



A - Paramedic Fire Station

B - Paramedic gate

15.1



*Excellence
Integrity
Service*

**COUNTY OF ORANGE
HEALTH CARE AGENCY**

**HEALTH DISASTER MANAGEMENT
EMERGENCY MEDICAL SERVICES**

**MARK REFOWITZ
DIRECTOR**

**HOLLY A. VEALE
ACTING DEPUTY AGENCY DIRECTOR
MEDICAL SERVICES**

**LYDIA MIKHAIL, MBA
DIVISION MANAGER
HEALTH DISASTER MANAGEMENT**

**TAMMI McCONNELL RN, MSN
EMS ADMINISTRATOR**

**405 W FIFTH STREET, SUITE 501A
SANTA ANA, CALIFORNIA 92701**

**TELEPHONE: 714-834-3600
FAX: 714-834-3125**

September 20, 2013

Brad McKinzie
Los Alamitos Race Track
4961 Katella Avenue
Los Alamitos, CA 90720

Dear Brad:

After visits to the Los Alamitos horse racing facility, reviewing patient care reports, and using input from jockeys, trainers, track administration, the current ambulance provider, paramedics at the nearest OCFA fire station and agency staff, Orange County Emergency Medical Services is comfortable with the current provider continuing to provide ambulance transportation and medical care at Los Alamitos Race Track.

We would make the following recommendations to improve the track EMS operations:

1. Ensure track personnel activate the 9-1-1 system immediately using the tower observer (steward, etc.) on every call in which a jockey falls at high speed during racing or training.
2. Require that all contract ambulance employees complete and maintain certification in the 16 hour International Trauma Life Support course, or an equivalent, along with their EMT, CPR etc. Statewide, many paramedics and EMTs have not taken this certification but it is especially appropriate for track medical personnel whose main focus will be on patients for whom mechanism of injury is often high speed trauma.
3. Educate the jockeys on Orange County EMS trauma policies that require that all patients who fall at full gallop/racing/training speed be transported to a designated Orange County Trauma Center for evaluation and treatment.
4. Consider that the contracted ambulance provider have on every transport unit an 800 MHz radio available capable of direct communication with responding Orange County Fire Authority (OCFA) personnel. Contract ambulance staff would also receive training on operating this radio.
5. Consider the contract ambulance provider participate in the Orange County Medical Emergency Data System (OC-MEDS) which is a web-based, electronic Patient Care Report system to ensure compliance with OC EMS triage and treatment policies. The current call volume (1-3/month) is low enough that the provider would not have to buy additional hardware. Access and manual data entry of the patient care record can be done on any internet connected computer.
6. The ambulance provider, in conjunction with Race Track management, could coordinate with OCFA an orientation meeting with one of the three OCFA shifts at the closest fire station each month. Initial orientation should include the identification of the entry gate at the parking lot adjoining the track; track operations unique physiological characteristics of the jockeys such as, dehydration, etc.)

Brad McKinzie
September 20, 2013
Page 2

7. OC EMS could coordinate an annual safety briefing with jockeys/trainers at which contract ambulance personnel explain (in Spanish, or with translators) the care patients receive on the track, in the ambulance or at the hospital – including a spinal immobilization demonstration, description of the capabilities of a trauma center, etc.)
8. The ambulance provider will have AEDs on the two transport vehicles at the racetrack and EMTs will be trained in their use.

As we discussed at the track, the first 5-10 minutes of most trauma calls are focused on the Basic Life Support skills of stabilizing the patient's airway and spine, evaluating for injuries and packaging them for transport.

At this time, intermediate techniques such as the Combitube are controversial in an urban setting, especially when the nearest Advanced Life Support fire station is less than a half mile away.

We believe there is a benefit to having horse-racing-specific EMS personnel who are familiar with track operations, personnel and geography.

Please contact our agency with any questions,



Samuel Stratton, MD MPH
Medical Director
Orange County EMS

SS:mn #1770

15 B



February 12, 2014

California Horse Racing Board

1010 Hurley Way, Suite 300
Sacramento, California 95825
(916) 263 - 6000

Dear Sir or Madam:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Cole-Schaefer Ambulance Service, Inc. are trained, background checked, and State certified to fulfill their job as authorized by the State of California Emergency Medical Services Authority and the Los Angeles County Department of Health Services Emergency Services Agency.

Sincerely,

Susie McBride
Human Resources

SLR CxH. 15B-1

MERCY
Medical
Transportation
Inc.

ADMINISTRATION

P.O. Box 5004

Mariposa, CA

95338-5004

(209) 966-5762

FAX (209) 966-4901

February 10, 2014

California Horse Racing Board

Re: Ambulance Certification Letter

Dear Sirs,

Mercy Medical Transportation, Inc. employs only Paramedics that are State Certified and Licensed and San Diego County Certified and Licensed. All of our Paramedics have background checks, drug testing and have been sent through the Department of Justice. Mercy also participates in the DMV pull notice program.

Mercy has a continuous quality improvement plan and high standards for continuous training of our personnel.

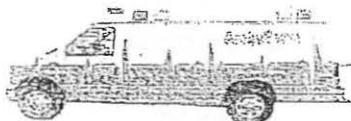
Sincerely,



Richard F. Roesch

President

15 B



AmbuServe
Ambulance



May 13, 2014

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc. are trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Ambuserve, Inc.
15105 S. Broadway
Gardena, CA 90248
(310) 644-0500

Respectfully,

Bryan Rusling
Paramedic Coordinator/Manager

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Los Alamitos Medical Center
3571 Katella Ave.
Los Alamitos, CA 90720
562-598-1311

Long Beach Memorial Hospital (Certified Trauma Center)
2801 Atlanta Ave.
Long Beach, CA 90806
562-933-2000

UC Irvine Medical Center (Certified Trauma Center)
101 The city Drive
Orange, CA 92868
714-456-7890

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: See Attachment
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Safety Manager- Frank Sherren
Assistant Safety Manager- Allie Rodriguez
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Self Insured through Finish Line Self Insurance Group Certificate # 4524-001
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

15 F

EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

FIRST RESPONSE

*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified EMT's will respond immediately to the location of the accident.

*EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the EMT's.
- If EMT's request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- If the injuries are not deemed serious by either the EMT's or Paramedics, the rider will be directed either to a local emergency room or the Track Physician for evaluation. It will be the policy of the Track Doctor that with the exception of the most minor accidents the injured jockey be evaluated immediately by an emergency room doctor in a medical setting. Should a jockey refuse treatment recommendations from EMT's, Paramedics or the Track Doctor, they will be required to sign a Refusal of Medical Treatment form and their ability to continue riding on that day's program will be determined by the CHRB Stewards.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

15 F

PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPÓDROMO PRIMERA RESPUESTA

* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE

- Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballos sueltos, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre - designado a las afueras de Los Alamitos en la valla al fondo de las pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinaran si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran grave por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisarios Deportivos de CHR.B.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre esta abierta.



ORANGE COUNTY FIRE AUTHORITY
Community Risk Reduction – Safety and Environmental Services Section / Area I

8081 Western Av, Buena Park CA 92620 – www.ocfa.org – (714) 527-9475 / Fax (714) 229-8801

October 15, 2014

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to doughartman@ocfa.org.

Respectfully,

A handwritten signature in black ink, appearing to read "Doug Hartman".

Douglas A. Hartman,
Senior Fire Prevention Specialist

15 J



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
10/9/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MOC Insurance Services License No. 0589960 44 Montgomery St., 17th Fl. San Francisco CA 94104	CONTACT NAME: Sara Przemielewski PHONE (A/C No. Ext): (415) 957-0600 FAX (A/C. No.): (415) 957-0577 E-MAIL ADDRESS: sprzemielewski@marcovich.com														
INSURED Finish Line Self Insurance Group, Inc. 4961 Katella Avenue Los Alamitos CA 90720	<table border="1"> <tr> <th>INSURER(S) AFFORDING COVERAGE</th> <th>NAIC #</th> </tr> <tr> <td>INSURER A: New York Marine & General</td> <td></td> </tr> <tr> <td>INSURER B:</td> <td></td> </tr> <tr> <td>INSURER C:</td> <td></td> </tr> <tr> <td>INSURER D:</td> <td></td> </tr> <tr> <td>INSURER E:</td> <td></td> </tr> <tr> <td>INSURER F:</td> <td></td> </tr> </table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A: New York Marine & General		INSURER B:		INSURER C:		INSURER D:		INSURER E:		INSURER F:	
INSURER(S) AFFORDING COVERAGE	NAIC #														
INSURER A: New York Marine & General															
INSURER B:															
INSURER C:															
INSURER D:															
INSURER E:															
INSURER F:															

COVERAGES CERTIFICATE NUMBER: CL1310928222 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADJISURER INSR DATED	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS								
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO. JECT <input type="checkbox"/> LOC					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP ASS \$ \$								
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$								
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED: <input type="checkbox"/> RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$ \$								
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/>	N/A WC2014EPP00127	1/1/2014	1/1/2015	<table border="1"> <tr> <td>WC STATU-TORY LIMITS</td> <td>OTH-ER</td> </tr> <tr> <td>E.L. EACH ACCIDENT</td> <td>\$ 1,000,000</td> </tr> <tr> <td>E.L. DISEASE - EA EMPLOYEE</td> <td>\$ 1,000,000</td> </tr> <tr> <td>E.L. DISEASE - POLICY LIMIT</td> <td>\$ 1,000,000</td> </tr> </table>	WC STATU-TORY LIMITS	OTH-ER	E.L. EACH ACCIDENT	\$ 1,000,000	E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000	E.L. DISEASE - POLICY LIMIT	\$ 1,000,000
WC STATU-TORY LIMITS	OTH-ER													
E.L. EACH ACCIDENT	\$ 1,000,000													
E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000													
E.L. DISEASE - POLICY LIMIT	\$ 1,000,000													

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
 Coverage extended as of start date below to employees assigned to listed trainer for Horse Racing and Training Codes: 8531, 8278

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE S Przemielewski/SPR
---	--

#15J

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE
(Name of Affiliate)

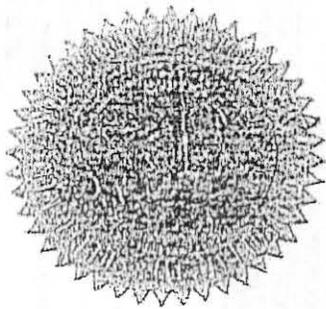
FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.*

EFFECTIVE:
THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA



Mark T. Johnson
MARK T. JOHNSON, MANAGER

John M. Rea
JOHN M. REA, DIRECTOR

*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

Handicappers –

Bob's Card Inc.
Tiffany Bohland
6288 Highland Meadows Dr.
Medina, OH 44256

CHRB 25-A and CHRB -87 on file

Winner's Card Corp.
c/o Don Harris
924 Knob Hill Ave.
Redondo Beach, CA 90277

CHRB 25A and CHRB – 87 on file

Today's Racing Digest
Jason Karches
2080 Las Palmas Dr.
Carlsbad, CA 92009

Exempt from CHRB 25A and 87

Triangle Turf Winners
William Archer
1 Scott St.
Gardnerville, NY 89410

CHRB 25A and CHRB 87 on file

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans: for the race meeting:

B. Promotional/ Marketing budget for this race meeting:

C. Promotional/Marketing budget for prior race meeting: n/a

D. Number of hosts and hostesses employed for meeting:

E. Describe facilities set aside for new fans:

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: 1. Horsemen –2. Fans –3. Facilities in the restricted areas –

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
 - Admission (general) - \$3
 - Admission (clubhouse) \$5
 - Turf club \$10
 - Reserved seating (general) Free
 - Reserved seating (clubhouse) Free -
 - Parking (general) Free
 - \$6 -Preferred Parking
 - \$10 Premium Parking
 - Parking (valet) none
 - Programs (on-track) \$3
 - (off-track) \$3

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
 - Turf Club Season Tables - \$480 / Grandstand Season Tables - \$250

- C. Describe any "package" plans such as combined parking, admission and program:
 - We will not be offering a "combined" package

19. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 40px;" type="text" value="60"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: Separate quarters with own showers and sauna and lockers. Shared common area

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed by the beginning of the race meet.

- B. Number of rooms used for housing on the backstretch of the racetrack 175

C. Number of restrooms available on the backstretch of the racetrack:

9 mens / 7 ladies

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1-20

21. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:

Dirt track. 81% sand, 16% silt and clay, 3% organic

C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%

E. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3' 16GA galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.

E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes

F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.

G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Not applicable
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): no exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

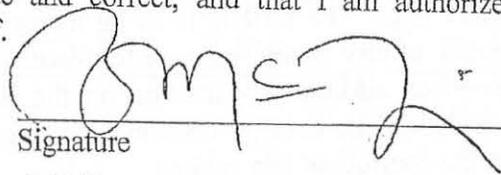
I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Brad McKinzie

Print Name

Vice President, General Manager

Print Title



Signature

9-15-14

Date

STAFF ANALYSIS
October 23, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION AT LOS ALAMITOS DECEMBER 26, 2014 THROUGH DECEMBER 20, 2015.

Los Alamitos Quarter Horse Racing Association (LAQHRA) filed its application to conduct a quarter horse racing meeting at Los Alamitos.

- December 26, 2014 through December 20, 2015, or 152 days, three days less than 2014 allocated race dates. The association proposes to race a total of 1,400 races. The (estimated) average daily purse for the 2014 meet is \$90,991. The (estimated) average daily purse for this meet is \$89,780. The 2014 meet has not been completed.
- The race dates proposed are the dates the Board allocated.

December - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

January - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

December - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing 3 nights per week, Friday through Sunday, except February 1, 2015, April 5, 2015 and July 4, 2015. Seven to 15 live races per night and 8 to 16 simulcast races.
- First live post 7:00 p.m. Friday; 6:30 p.m. Saturday; 5:00 p.m. Sunday.
- Wagering program will use CHRB rules.
 - Early wagering will start at 9:30 a.m. Friday, Saturday and Sunday.
- Advance Deposit Wagering (ADW) provider is TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- A copy of the license agreement between ODS Technologies, L.P. dba TVG Network and Los Alamitos Quarter Horse Racing Association has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Juan Garcia is appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- LAQHRA has reported it will have two emergency medical technicians (EMT's) in the on-track ambulance during racing hours.

RECOMMENDATION:

Staff recommends approval of the application.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Cathy Monji
 Los Alamitos Quarter Horse Racing Association
 4961 Katella Ave.
 Los Alamitos, CA 90720 714-820-2800 main 714-820-2820 fax
 cmonji@losalamitos.com
- B. Breed of horse: TB QH H
- C. Racetrack name: Los Alamitos Race Course
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001
 Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: December 26, 2014-December 20, 2015
- B. Actual dates racing will be held:
- | | |
|--|--|
| December 26-28, 2014 (3) | July 3,5, 10-12, 17-19, 24-26, 31 (12) |
| January 2-4, 9-11, 16-18, 23-25, 31 (13) | August 1,2, 7-9, 14-16, 21-23, 28-30 (14) |
| February 6-8, 13-15, 20-22, 27-28 (11) | September 4-6, 11-13, 18-20, 25-27 (12) |
| March 1, 6-8, 13-15, 20-22, 27-29 (13) | October 2-4, 9-11, 16-18, 23-25, 30, 31 (14) |
| April 3-4, 10-12, 17-19, 24-26 (11) | November 1, 6-8, 13-15, 20-22, 27-29 (13) |
| May 1-3, 8-10, 15-17, 22-24, 29-31 (15) | December 4-6, 11-13, 18-20, (9) |
| June 5-7, 12-14, 19-21, 26-28 (12) | |

CHRB CERTIFICATION

Application received: 9/24/14
 Deposit received: 10k
 Reviewed: *apw*

Hearing date: 10/23/14
 Approved date:
 License number:

- C. Total number of days or nights of racing: 152
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Fri - Sun
- E. Number of days or nights of racing per week: 3 nights per week except Sunday February 1, 2015, Sunday April 5, 2015 and Saturday July 4, 2015.

3. RACING PROGRAM

- A. Total number of races: 1,400 live and 1,800 simulcast = 3,200
- B. Number of races for each day or night: 7-15 live, 8-16 incoming simulcast
- C. Total number of stakes races: 69
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

Race	Friday	Saturday	Sunday	Sunday-Del Mar/Santa Anita
1	7:00	6:30	5:00	6:30
2	7:25	6:55	5:25	6:55
3	7:50	7:20	5:50	7:20
4	8:15	7:45	6:15	7:45
5	8:40	8:10	6:40	8:10
6	9:05	8:35	7:05	8:35
7	9:30	9:00	7:30	9:00
8	9:55	9:25	7:55	9:25
9	10:20	9:50	8:20	9:50
10	10:45	10:15	8:45	10:15
11	11:10	10:40	9:10	10:40
12		11:05	9:35	11:05
13		11:30	10:00	11:30
14			10:25	

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
2015 STAKES SCHEDULE**

To Be Run	EVENT	Purse & Distance
SUNDAY DECEMBER 28, 2014	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 4, 2015	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 11, 2015	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 23, 2015	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 14, 2015	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 24, 2015	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 15, 2015	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 25, 2015	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 21, 2015	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 22, 2015	(8) LOS ALAMITOS MAIDEN STAKES THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 31, 2015	\$150,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 22, 2015	(9) KATELLA HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
FRIDAY APRIL 3, 2015	(10) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 14, 2015	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY APRIL 4, 2015	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 15, 2015	\$200,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 12, 2015	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SUNDAY APRIL 19, 2015	(13) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 870 YARDS
SATURDAY MAY 2, 2015	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 9, 2015	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$25,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY MAY 10, 2015	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 16, 2015	(17) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 17, 2015	(18) KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 3, 2015	\$300,000 Projected \$40,000 Added 300 YARDS
SATURDAY JUNE 13, 2015	(19) LOS ALAMITOS CLAIMING FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, MAY 24, 2015	\$75,000 Projected \$25,000 Added 350 YARDS
SATURDAY JUNE 20, 2015	(20) IVAN ASHMENT HANDICAP FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JUNE 21, 2015	(21) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 7, 2015	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 21, 2015	(22) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 28, 2015	(23) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 4, 2015	(24) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 5, 2015	(25) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 14, 2015	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY JULY 26, 2015	(26) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 11, 2015	\$425,000 Projected \$125,000 Added 350 YARDS
SUNDAY JULY 26, 2015	(27) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 12, 2015	\$225,000 Projected \$100,000 Added 400 YARDS
SUNDAY JULY 26, 2015	(28) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SUNDAY JULY 26, 2015	(29) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$50,000 400 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY JULY 26, 2015	(30) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY JULY 26, 2015	(31) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY JULY 26, 2015	(32) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY JULY 26, 2015	(33) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JULY 26, 2015	(34) CALIFORNIA BREEDERS 550 SOPHOMORE STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 550 YARDS
SUNDAY JULY 26, 2015	(35) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SUNDAY JULY 26, 2015	(36) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SUNDAY JULY 26, 2015	(37) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SUNDAY JULY 26, 2015	(38) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SUNDAY JULY 26, 2015	(39) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
FRIDAY AUGUST 14, 2015	(40) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 870 YARDS
SATURDAY AUGUST 15, 2015	(41) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, JULY 31, 2015	\$20,000 Added \$7,500 Added + \$12,500 B of A Racing Challenge 400 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 16, 2015	(42) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, AUGUST 1, 2015	\$70,000 Added \$15,000 Added + \$55,000 B of A Racing Challenge 440 YARDS
FRIDAY AUGUST 21, 2015	(43) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 2, 2015	\$30,000 Added \$7,500 Added + \$22,500 B of A Racing Challenge 400 YARDS
SATURDAY AUGUST 22, 2015	(44) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 8, 2015	\$25,000 Added \$7,500 Added + \$17,500 B of A Racing Challenge 350 YARDS
SATURDAY AUGUST 22, 2015	(45) ZOETIS STARTER ALLOWANCE CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, AUGUST 7, 2015	\$15,000 Added \$5,000 Added + \$10,000 B of A Racing Challenge 350 YARDS
SUNDAY AUGUST 23, 2015	(46) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 9, 2015	\$250,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 30, 2015	(47) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 6, 2015	(48) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 20, 2015	(49) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY SEPTEMBER 27, 2015	(50) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$150,000 400 YARDS
FRIDAY OCTOBER 2, 2015	(51) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 12, 2015	\$200,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 2, 2015	(52) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$30,000 400 YARDS
FRIDAY OCTOBER 2, 2015	(53) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SATURDAY OCTOBER 3, 2015	(54) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 13, 2015	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 10, 2015	(55) AUTUMN HANDICAP TWO YEAR OLDS	\$35,000 350 YARDS
SATURDAY OCTOBER 17, 2015	(56) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$150,000 440 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY OCTOBER 25, 2015	(57) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 4, 2015	\$100,000 Projected \$25,000 Added 350 YARDS
FRIDAY OCTOBER 30, 2015	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY OCTOBER 31, 2015	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 11, 2015	\$1,000,000 Projected \$250,000 Added 400 YARDS
SUNDAY NOVEMBER 1, 2015	(60) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 18, 2015	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 1, 2015	(61) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 14, 2015	(62) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 15, 2015	(63) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 21, 2015	(64) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SUNDAY DECEMBER 6, 2015	(65) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 870 YARDS
FRIDAY DECEMBER 11, 2015	(66) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 550 YARDS
SATURDAY DECEMBER 12, 2015	(67) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 15, 2015	\$600,000 440 YARDS
SUNDAY DECEMBER 13, 2015	(68) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 22, 2015	\$2,000,000 Projected \$500,000 Added 400 YARDS
SUNDAY DECEMBER 13, 2015	(69) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$35,000 400 YARDS
FRIDAY DECEMBER 18, 2015	(70) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 19, 2015	(71) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 20, 2015	(72) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 29, 2015	\$200,000 Projected \$35,000 Added 400 YARDS

**LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
2014 STAKES SCHEDULE**

To Be Run	EVENT	Purse & Distance
SUNDAY DECEMBER 29, 2013	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 5, 2014	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 12, 2014	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
SUNDAY JANUARY 19, 2014	(4) CYPRESS HANDICAP FOUR YEAR OLDS	\$25,000 350 YARDS
SATURDAY FEBRUARY 15, 2014	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 25, 2014	\$200,000 projected 400 YARDS
SUNDAY FEBRUARY 16, 2014	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 26, 2014	\$200,000 projected 400 YARDS
SATURDAY FEBRUARY 22, 2014	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 23, 2014	(8) LOS ALAMITOS MAIDEN STAKES THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, FEBRUARY 1, 2014	\$125,000 projected 350 YARDS
SUNDAY MARCH 23, 2014	(9) KATELLA HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY APRIL 5, 2014	(10) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 15, 2014	\$200,000 projected 400 YARDS
SUNDAY APRIL 6, 2014	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 16, 2014	\$200,000 projected 400 YARDS
SUNDAY APRIL 13, 2014	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SATURDAY MAY 3, 2014	(13) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 10, 2014	(14) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY MAY 11, 2014	(15) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 17, 2014	(16) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 18, 2014	(17) KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 4, 2014	\$300,000 projected 300 YARDS
SATURDAY JUNE 14, 2014	(18) LOS ALAMITOS CLAIMING FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, MAY 25, 2014	\$75,000 projected 350 YARDS
SATURDAY JUNE 21, 2014	(19) IVAN ASHMENT HANDICAP FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JUNE 22, 2014	(20) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 8, 2014	\$1,000,000 projected 350 YARDS
SUNDAY JUNE 22, 2014	(21) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 29, 2014	(22) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
FRIDAY JULY 4, 2014	(23) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 6, 2014	(24) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 15, 2014	\$200,000 projected 400 YARDS
SATURDAY JULY 26, 2014	(25) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 12, 2014	\$425,000 projected 350 YARDS
SATURDAY JULY 26, 2014	(26) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 13, 2014	\$225,000 projected 400 YARDS
SATURDAY JULY 26, 2014	(27) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 26, 2014	(28) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$50,000 400 YARDS
SATURDAY JULY 26, 2014	(29) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 26, 2014	(30) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 26, 2014	(31) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 26, 2014	(32) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 26, 2014	(33) CALIFORNIA BREEDERS 550 SOPHOMORE STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 550 YARDS
SATURDAY JULY 26, 2014	(34) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 26, 2014	(35) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 26, 2014	(36) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 26, 2014	(37) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 26, 2014	(38) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY AUGUST 16, 2014	(39) MIERAL CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, AUGUST 1, 2014	\$20,000 Added \$7,500 Added + \$12,500 B of A Racing Challenge 400 YARDS
SUNDAY AUGUST 17, 2014	(40) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, AUGUST 2, 2014	\$70,000 Added \$15,000 Added + \$55,000 B of A Racing Challenge 440 YARDS
FRIDAY AUGUST 22, 2014	(41) ADEQUAN CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 3, 2014	\$30,000 Added \$7,500 Added + \$22,500 B of A Racing Challenge 400 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY AUGUST 23, 2014	(42) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 9, 2014	\$25,000 Added \$7,500 Added + \$17,500 B of A Racing Challenge 350 YARDS
SATURDAY AUGUST 23, 2014	(43) ZOETIS STARTER ALLOWANCE CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, AUGUST 8, 2014	\$15,000 Added \$5,000 Added + \$10,000 B of A Racing Challenge 350 YARDS
SUNDAY AUGUST 24, 2014	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 10, 2014	\$250,000 Projected 400 YARDS
SUNDAY AUGUST 31, 2014	(45) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SATURDAY SEPTEMBER 6, 2014	(46) THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SATURDAY SEPTEMBER 6, 2014	(47) HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SUNDAY SEPTEMBER 7, 2014	(48) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 14, 2014	(49) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY SEPTEMBER 28, 2014	(50) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$150,000 400 YARDS
FRIDAY OCTOBER 3, 2014	(51) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, FRIDAY, SEPTEMBER 12, 2014	\$200,000 projected 400 YARDS
FRIDAY OCTOBER 3, 2014	(52) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$30,000 400 YARDS
FRIDAY OCTOBER 3, 2014	(53) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SATURDAY OCTOBER 4, 2014	(54) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SATURDAY, SEPTEMBER 13, 2014	\$400,000 projected 350 YARDS
SATURDAY OCTOBER 11, 2014	(55) AUTUMN HANDICAP TWO YEAR OLDS	\$35,000 350 YARDS
SATURDAY OCTOBER 18, 2014	(56) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$150,000 440 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY OCTOBER 26, 2014	(57) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 5, 2014	\$100,000 projected 350 YARDS
FRIDAY OCTOBER 31, 2014	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY NOVEMBER 1, 2014	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 12, 2014	\$1,000,000 projected 400 YARDS
SUNDAY NOVEMBER 2, 2014	(60) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 19, 2014	\$1,000,000 projected 400 YARDS
SUNDAY NOVEMBER 2, 2014	(61) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 15, 2014	(62) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 16, 2014	(63) Z WAYNE GRIFFIN DIRECTORS TRIALS THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 22, 2014	(64) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
FRIDAY DECEMBER 12, 2014	(65) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 550 YARDS
SATURDAY DECEMBER 13, 2014	(66) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 16, 2014	\$750,000 440 YARDS
SUNDAY DECEMBER 14, 2014	(67) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 23, 2014	\$2,000,000 projected 400 YARDS
SUNDAY DECEMBER 14, 2014	(68) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$35,000 400 YARDS
FRIDAY DECEMBER 19, 2014	(69) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 20, 2014	(70) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 21, 2014	(71) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 30, 2014	\$200,000 projected 400 YARDS

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file

C. CORPORATION

1. Registered name of the corporation: Horsemen's Quarter Horse Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: C0618259
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Richard M. English	Chairman
Cathy Monji	President/Director
John Seibly	Vice President/Director
G. Michael Lyon	Secretary
Virginia Hyland	Director Emeritus
Edward C. Allred	Director
John Andreini	Director
R. D. Hubbard	Director
Anthony Zidich	Director
Fred Scane	Director

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: QUARTER HORSE RACING, INC. 100%

6. Number of outstanding shares in the corporation: 0

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Wagner, Kirkman, and Blaine

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: QUARTER HORSE RACING, INC

A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation: N/A

11. State where incorporated: N/A

12. Registry or file number for the corporation: N/A

13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: N/A

14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A

15. Number of outstanding shares in the corporation: N/A

16. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how is the stock listed: N/A
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A

D. LLC

1. Registered name of the LLC: N/A
2. State where articles of organization are filed: N/A
3. Registry or file number for the LLC: N/A
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: N/A
5. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how the stock is listed: N/A
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: N/A
A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. N/A Yes No
7. Registered name of the LLC/Corporation: N/A
8. State where articles of organization are filed: N/A
9. Registry or file number for the LLC: N/A
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: N/A
11. Are the shares listed for public trading? N/A Yes No
12. If yes, on what exchange and how the stock is listed: N/A

E. OTHER

1. Name(s) of partners/sole proprietor: N/A
2. If a partnership, attach partnership agreement. N/A

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: 2012 & 2013 Audited Financials are on file with the CHRB as is the 2014 Projected Profit & Loss Statement.

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.

- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Richard M. English	Chairman
Cathy Monji	President/Director
Robert Snyder	Controller
Michael Monji	Assistant General Manager
Frank Sherren	Plant Superintendent
Alex Soltero	Chief of Security
Howard Knuchell	Director of Operations
Robert DiGiovanni	Director of Mutuels
Ed Burgart	Track Announcer
Melodie Knuchell	Director Simulcasting/Nominations
Dawn Conklin	Paymaster of Purses
Ken Walker	Director of Labor Relations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Cathy Monji, 4961 Katella Ave., Los Alamitos, CA 90720

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage. N/A

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. N/A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2013	249,286,086	460,763
2012	249,548,673	495,506
2011	261,018,853	527,277
2010	262,068,119	570,583
2009	278,813,327	729,995

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate:	2015	9,200,000
Prior meet actual:	2014	9,198,192

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate:	2015	60,526
Prior meet actual:	2014	60,915

2. Overnight stakes:

2015	N/A
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 Current meet estimate:

2014	N/A
------	-----

 Prior meet actual:

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:	2015	N/A
Prior meet actual:	2014	N/A

3. Non-overnight stakes:

Current meet estimate:	2015	3,407,500
Prior meet actual:	2014	3,504,151

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate:	2015	22,418
Prior meet actual:	2014	23,206

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:	2015	12,607,500
Prior meet actual:	2014	12,702,343

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:	2015	10%
Prior meet actual:	2014	10%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:	2015	22,418
Prior meet actual:	2014	23,206

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:	2015	597,000
Prior meet actual:	2014	596,292

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:	2015	Prior meet actual:	2014
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Total	442,000	Total	441,040
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E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:	2015	13,646,500
Prior meet actual:	2014	13,739,675

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:	2015	89,780
Prior meet actual:	2014	90,991

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	2015	11,650,000
Prior meet actual:	2014	11,639,878

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:	2015	76,645
Prior meet actual:	2014	77,085

G. Purse funds to be generated from interstate handle:

Current meet estimate:	2015	1,670,000
Prior meet actual:	2014	1,666,641

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:	2015	10,987
Prior meet actual:	2014	11,037

- H. Bank and account number for the Paymaster of Purses' purse account: on file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Robert Passero, 230 Old Ranch Rd., Seal Beach, CA 90740

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1100.
- B. Minimum number of stalls believed necessary for the meeting: 1100
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 0
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

9 PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1DD, \$1PNP, \$1 TRI, \$1SF, \$1PPN	CHRB 1959, 1957, 1976.9, 1979, 1979.1, 1976.8
Race #2	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #4	\$1E, \$1PK3, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1957
Race #5	\$1E, \$1PK3, \$1TRI, \$1SF, \$2PNP6, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1976.9, 1957
Race #6	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #7	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #8	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #9	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #10	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #11	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #12	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #13	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #14	\$1E, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1979, 1979.1, 1957
Race #15	\$1E, \$1TRI, \$1SF	CHRB 1959, 1979, 1979.1,

1. Late daily double will always occur in the last two races of the race card.
2. If there are not enough horses carded in a race to offer a trifecta, we will offer a \$1 quinella, CHRB1958.
3. Late pick four will always be offered on the last four races of the race card, CHRB 1976.9.
4. Rolling pick three begins in the second race of each card and continues through the 3rd to last race of the card.
5. If a field should scratch down to 5 betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.

B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 21, 2014

C. List any options requested with regard to exotic wagering: N/A

D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
 Friday, Saturday, Sunday at 9:30am

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Scientific Racing Games, contact person: Terry McWilliams, contract expires 09/24/15 (with our option to extend for 2 one year periods.)

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
TVG
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

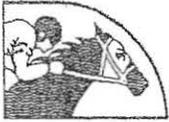
NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On file

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

<u>Northern California</u>	<u>Southern California</u>
Alameda County Fair, Pleasanton	Barona Casino, Lakeside
Anderson	Ventura County Fair, Ventura
The Jockey Club At San Mateo	San Bernardino County Fair, Victorville
Big Fresno Fair, Fresno	Viejas Casino & Turf Club, Alpine
California State Fair \$ Expo, Sacramento	Del Mar Thoroughbred Club, Del Mar
Club One, Fresno	Hollywood Park Casino, Inglewood
Golden Gate Fields, Albany	Desert Expo Centre, Indio
Tulare County Fair, Tulare	The Farmers Fair, Perris
Monterey County Fair, Monterey	Antelope Valley Fair, Lancaster
San Joaquin County Fair, Stockton	Sycuan Gaming Center, El Cajon
Santa Clara County Fair, San Jose	National Orange Show, San Bernardino
Solano County Fair, Vallejo	Fairplex Park, Pomona
Sonoma County Fair, Santa Rosa	Santa Anita, Arcadia
Stanislaus County Fair, Turlock	
Tulare County Fair, Tulare	



Pacific Coast Quarter Horse Racing Association

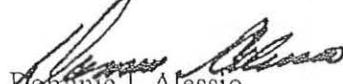
John Hindman
TVG
6701 Center Drive West
Suite 160
Los Angeles, CA 90045

October 8, 2014

Dear Mr. Hindman,

Pacific Coast Quarter Horse Racing Association approves the full card simulcasting of races from Los Alamitos Race Course under the Federal Interstate Horse Racing Act to the hub in Oregon operated by TVG pursuant to the terms of the agreement between O.D.S. Technologies, L.P. d/b/a TVG and Los Alamitos Race Course.

Sincerely,


Dominic J. Alessio
President

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: Mexico (including Agua Caliente and related books, Juarez and related books, and Hipodromo de las Americas and related books); and those included in E.
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: The Caribbean Basin (Las Caymanas Park and various books on St. Marten, Jamaica, Barbados, and Trinidad); Dominican Republic (Hipodromo V. Centenario); Canada (Assiniboia Downs, Barrie Downs, Dresdan, Fraser Downs, Dawartja Downs, Elmira Raceway, Flamboro Downs, Fraser, Kawartha Downs, Marquis Downs, Queensbury Downs, Red Shores, Rideau Carlton, Hippodromo l'Hippodrome de Montreal, Calgary Stampede, Hastings Park, Northland Park, Ontario Jockey Club, Picov, Rocky Mountain Turf, Stampede Park, Western Fair, Windsor Raceway, Woodbine, Woodstock, and others); Argentina (La Plata, San Ysidro, Pallermo, and related books); Austria, Belarus, Belgium, Brazil (Jockey Club and related books); Columbia, (LosComuneros); Germany, Ireland, Panama (Codere-PresidenteRemon);Russia. Grenada, United Kingdom, Uruguay (Maronas Racetrack);Venezuela (La Rinconada and related books); U.S. Virgin Islands (books);Peru, Puerto Rico (El Commandante), South Korea, South Africa.

America Tab-OR	European Wagering Services
AmWest Entertainment-KY	Evangeline Downs-LA
Arapahoe Park-CO	Fair Grounds Racecourse-LA
Arima Race Club,Trinidad	Fair Meadows, IA
Arlington International Race Course-IL	Fairmount Park-IL
Atlantic City Casino Assn.-NJ	Finger Lakes Race Track-NY
Atlantis Paradise, LVDC	Firelake Entertainment(LVDC) OK
Avatar Ventures, LVDC	Fonner Park-NE
Balmoral Park-IL	Foxwoods Casino (LVDC)-CT
BetPad, OR	Freehold Raceway-NJ
Beulah Park-OH	Garden State Park-NJ
Birmingham Race Course-AL	Geneva Lakes Kennel Club-WI
Blue Ribbon Downs-OK	Great Lakes Downs-MI
Buffalo Trotting Association-NY	Greentrack-AL
Calder Race Course-FL	Gulf Greyhound Park
Capital Sports Pty-Australia	Gulfstream Park-FL
Canterbury Park-MN	Harrington Raceway & Simulcast Facility-DE
Capital District OTB-NY	Hawthorne Race Course-IL
Catskill Off-Track, NY	Hazel Park-MI
Choctaw Racing Services, OK	Hialeah Park-FL
Churchill Downs-KY	Hinsdale Greyhound Park, NH
Churchill Downs Sports Spectrum-KY	Hipica De Panama-MIR
Cities of Gold, LVDC	Holiday Beach Hotel (LVDC)-NV
Citizen Band Potawatomi Indian Tribe (LVDC)	Hoosier Park-IN
Colonial Downs-VA	Ida Racing, ID
Columbus Races-NE	Indiana Downs, IN
Connecticut OTB-CT	International All Sports, Australia
Corpus Christi Greyhound Track-TX	International Racing Group, (IRG)
Cypress Bayou Casino	Jackson Harness Raceway-MI
Dairyland Greyhound Park-WI	Keeneland-KY
Day At The Track,	Ladbroke at the Meadows-PA
Delaware Park-DE	Lebanon Trotting Club-OH
Delta Downs-LA	Lewiston OTB-ME
DGS Systems Corp, LVDC	Les Bois Park-ID
Dover Downs-DE	Lien Games, ND
Downs at Albuquerque-NM	Lodge At Belmont
Downs at Santa Fe	Lone Star Race Park-TX
Ebet	Louisiana Downs-LA
Ellis Park-KY	Luckity
Elite Turf Club, LVDC	Manor Downs-TX
Emerald Downs, WA	Maryland Jockey Club-MD
Eureka Downs, KS	Maywood Park-IL
Euro Off-Track, Isle of Man	Meadowlands, NJ

- Megatote, LVDC
- Meskwaki Casino (LVDC)-NV
- Mobile Greyhound Park-AL
- Monmouth Park-NJ
- Montana Simulcast, MT
- Monticello Raceway-NY
- Mountaineer Park-WV
- Mt. Pleasant Meadows-MI
- Multnomah Greyhound Park-OR
- Nassau Downs OTB-NY
- Nebraska State Fair Park-NE
- New Jersey Sports & Exposition
- New Mexico State Fair-NM
- New York City Off-Track Betting-NY
- New York Racing Association-NY
- Northfield Park-OH
- Northville Downs-MI
- Northville Racing Corp.-MI
- Northwest Racing Associates Ltd.(Emerald)
- Oaklawn Park-AR
- Ocean Downs-MD
- Penn National Race Course-PA
- Philadelphia Park-PA
- Phumelela Gold, South Africa
- Plainridge Racecourse-MA
- Playfair Race Course-WA
- Pojoaque Pueblo Gaming, Inc. (LVDC)-OK
- Pompano Park, FL
- Portland Meadows-OR
- Potawatomi Indian Tribe, WI
- Prairie Meadows-IA
- Premier Gateway Int.
- Premier Turf Club-ND
- Raceway Park-OH
- Racing & Gaming Services, St Kitts
- Racing2Day-FL
- Remington Park-OK
- Retama Park-TX
- Rillito Park-AZ
- River Downs-OH
- Rockingham Park-NH
- Ruidoso Downs-NM
- Running Aces Harness Park, MN
- Saddlebrook, TX
- Sam Houston Park-TX
- Sandy Downs, ID
- Saratoga Raceway-NY
- Schenectady OTB-NY
- Scioto Downs-OH
- Silks Corporation, N. Dakota Horse Park, ND
- Sol Mutuel Ltd, S. America
- Southland Greyhound Park-AR
- Spirit Mountain Casino (LVDC)-OR
- Social Gaming
- Sports Creek Raceway-MI
- Sportsman's Park-IL
- Suffolk Downs-MA
- Suffolk OTB, NY
- Sunland Park Racetrack-NM
- SunRay Park and Casino-NM
- Tampa Bay Downs-FL
- Television Games Network-CA
- The Downs @ Albuquerque
- The Lodge at Belmont, NH
- The Racing Channel,
- The Red Mile, KY
- The Royal Turf Club, Antigua
- The Stables (LVDC)-OK
- Thistledown Racing Club-OH
- Tioga Downs, NY
- TP Racing, LLLP (Turf Paradise)-AZ
- TRN International, PA
- Tucson Greyhound Park-AZ
- Turning Stone Casino-NY
- US Off-Track-OR
- Valley Race Park, TX
- Velocity
- Vernon Downs-NY
- Western Regional OTB-NY
- Wichita Greyhound Park-KS
- Will Rogers Downs-OK
- Wonderland Greyhound Park
- Woodlands-KS
- Wyoming OTB-WY
- Yonkers Raceway-NY
- Yobet-Tote Tasmania, Australia
- Zia Park, NM

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

- | | |
|-----------------------------------|----------------------------------|
| Bankers, Salinas | Santa Clarita |
| Commerce Casino, City of Commerce | Santa Maria Roadhouse |
| Mermaid Tavern, Thousand Oaks | Sammy's -Lake Forest |
| OC Tavern, San Clemente | FireHouse Restaurant-Bakersfield |

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Delta Downs	April - July	Selected races
Sam Houston	June-September	Selected races
Prairie Meadows	July-September	Selected races
Remington Park	March-June	Selected races
Ruidoso Downs	May-September	Selected races
	September 5,6,7	All American Gold Cup, Derby and Futurity
Fair Grounds	August-September	Selected races
Fair Meadows/Tulsa	May-July	Selected races
Hoosier Park	September	Selected races
Evangeline Downs	September-December	Selected races
Lone Star Park	October-December	Selected races
Canterbury Park	May-September	Selected races
Indiana Downs	May-July	Selected races
Emerald Downs	TBA	Selected races
Portland Meadows	TBA	Selected races
Zia Park	TBA	Selected races
Retama Park	TBA	Selected races

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Balmoral	Standardbred	January-December	Selected races
Maywood	Standardbred	January-December	Selected races
Meadowlands	Standardbred	January - August;	Selected races
Tioga	Standardbred	TBA	Selected races
Hawthorne	Standardbred	TBA	Selected races
Red Mile	Standardbred	September	Selected races

Scioto Downs	Standardbred	August	Selected races
Pompano Downs	Standardbred	October	Selected races
Dover Downs	Standardbred	TBA	Selected races
The Meadows	Standardbred	TBA	Selected races
Northfield Park	Standardbred	TBA	Selected races
Vernon Downs	Standardbred	TBA	Selected races
Mohawk Raceway	Standardbred	TBA	Selected races
Penn National	Standardbred	TBA	Selected races
Running Aces	Standardbred	TBA	Selected races
Mohawk	Standardbred	TBA	Selected races
Remington Park	Paint	TBA	Selected races
Emerald Downs	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Hastings	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Delta Downs	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Portland Meadows	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). Agreements forwarded as needed.

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Los Alamitos Quarter Horse Charity Foundation, 4961 Katella Ave., Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
Robert Main 4961 Katella Ave., Los Alamitos, CA 90720
Althea Miller 4961 Katella Ave., Los Alamitos, CA 90720
Charles Brain 4961 Katella Ave., Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions

Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

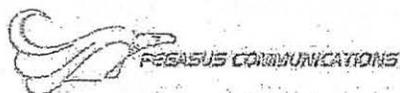
- A. Racing officials nominated:
- | | |
|-----------------------------|-----------------------|
| Association Veterinarian(s) | Becky Fitzgerald, DVM |
| Clerk of Scales | Glen Miki |
| Film Specialist | Stewards |
| Horse Identifier | Juan Garcia |
| Horseshoe Inspector | Barry Rynearson |
| Paddock Judge | Louie Cacioppo |
| Patrol Judges | Louie Cacioppo |
| Placing Judges | Ed Reese, Jay Conklin |
| Starter | Gary Brinson |
| Timer | Jay Conklin |
- B. Management officials in the racing department:
- | | |
|-------------------------------------|--------------|
| Director of Racing | Ron Church |
| Racing Secretary | Ron Church |
| Assistant Racing Secretary | Ed Reese |
| Paymaster of Purses | Dawn Conklin |
| Others (identify by name and title) | |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Barbara Weinstein, 9582 Hamilton Ave, STE 265, Huntington Beach, CA 92646 714 964-7102
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien 12/31/15
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communications - Jim Porep 12/31/15 automatically renews yearly
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communications - Jim Porep 12/31/15 automatically renews yearly

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Alex Soltero – Chief of Security 714-926-0959 cell
714-820-2664 office
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 23
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period

6-22

13 E



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

LARC Contract Term:
 April 21, 2014 / April 21, 2017

Major Video Equipment Listing
 Broadcast Video Production Control Room, Grandstand lower level

HD graphics systems with Pegasus DataLinks interface software
 32/16 to Sony 2 ME Switcher

Recording systems:

- 1- ZPlay HD video recorder, slow motion playback
 - 1- 3play HD video recorder, slow motion playback
 - 1- Sony DigiBeta
- Audio Mixing Board
 144x Router

Camera locations

- 1- HD Pan camera 1
- 1- HD Pan camera 2
- 1- HD 7/8 tower
- 1- HD 3/8 tower
- 1- HD Wireless gate camera system
- 1- HD Shutter cam at finish line
- 1- HD Jib mount winner's circle/finish line
- 2- HD TVG Set
- 1- HD Pan camera 3 / Isolation, cover shot
- 1- HD 3/8 quarter horse tower

Organizational Chart 2014

Los Alamitos Race Course

Security Department

Chief of Security	Alex Soltero	Cell (714) 926-0959
Captain of Security		
Day Shift Sergeant	Keith Hicks	Office (714) 820-2664 Cell (714) 770-1351
Night Shift Sergeant		
Day Shift Security Officers	Guillermo Chavez Jose Murillo Jericho Navalta Bunthoeun Sos Edgardo Castillo	Mainline Club House Desk Mezz Grandstand ent.
Night Shift Officers	Louie Reid Jackie Dixon Henry Chambers Gabriel Castellanos	Control Desk (Part Time) 2 / 3 days a week Mainline Mezz Paddock Club House
Stable Area Officers	Avina, Villafan, Franco Jorge Avina Jose Alvarez Gabriel Villafan Cesar Franco	Day stable Night stable Split Shift Night Receiving Barn Graveyard
Off Hour Officers	Fernando Gomez Luis Rivas Frank Wozniak	Grave Yard Split Shift Days

Stable Gate Attendants

April Dudone	Swing Gate
Ronnie White	Day West Gate
Mario Martinez	Spilt Shift
Arturo Sandoval	Night West Gate
Marco Avina	Grave yard Gate
Lydia Llamas	Day Gate
Cheri Willett	Part Time / On Call
6 Stable Gate Attendants / 1 Part Time	

and a plan for detention stalls. Not used at this time.

2. Detention Stalls: Not used at this time.
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.
3. TCO₂ Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
 - B. Plan for enhanced surveillance for trainers with high-test results.
 - C. Plan for detention stalls for repeat offenders.
 - D. Number of security personnel assigned to the TCO₂ program.

C. Describe the electronic security system: Not used at this time.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

D. For night racing associations. Describe emergency lighting system:

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

Huntington Ambulance, PO Box 145, Sunset Beach, CA 90742 562-904-1550,
714-325-0363 cell -Stacy O'Brien

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A

C. Describe the on-track first aid facility, including equipment and medical staffing: Open during live racing hours with basic first aid supplies including an Automated External Defibrillator (AED), manned by two EMT's.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
Los Alamitos Medical Center West Anaheim Medical Center

Emergency Track Lighting System
Los Alamitos Race Course

The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minuets to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

6-27

H 15 A

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

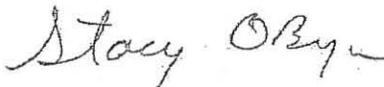
April 23, 2014

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

CHRB Item 15.1 – Explanation of lack of paramedic staff

Unlike Los Angeles County, which allows for the licensing of private paramedic services, all paramedic services in Orange County fall under the jurisdiction of the Orange County Health Care Agency, Department of Emergency Medical Services and that agency does not license private paramedic services within the county.

All paramedic services within Orange County are provided by the Orange County Fire Authority and there is no provision within current guidelines that allow for OCFA units to be staged for activities of private events such as horse racing.

Because of this guideline, all racing events at Los Alamitos are covered by two fully equipped ambulances staffed by state certified EMT's. These EMT's and our ambulance service also fall under the jurisdiction of the Orange County Emergency Medical Services and our operations at the track are reviewed on an annual basis. I have included our last review with the suggestions of OCEMS which have been implemented by our track.

It is important to note that while our track is not covered by paramedics, the protocol for having paramedics on the scene in case of an accident has been reviewed and approved by the OCEMS.

The nearest paramedic staffed fire station to Los Alamitos Race Course is literally across the street. This proximity is no accident. The OCEMS will tell you that the site was chosen for the specific reason of its proximity to the race track.

In case of an accident that requires paramedic response, our Huntington Ambulance EMT's will stabilize the patient and will meet the OCFA paramedic unit at the pre-designated location directly across the street from the fire station. This location is accessed through a gate on our backstretch that we have shown on the enclosed graphic.

Not only does the OCEMS approve of this system, as you can see from our latest review, they believe having experienced EMT's handle the initial care of the jockey before handing the patient off to paramedics has benefits.

3751 Katella Ave	3033 West Orange Ave
Los Alamitos, CA	Anaheim, CA 92804
562-799-3212	714-827-3000
In case of a major accident/head injury they are routed to:	
Long Beach Memorial	or UCI
2801 Atlantic Ave	101 The City Dr
Long Beach, CA 90802	Orange, CA 92868
562-933-2000	714-456-7890

This will be determined by the Paramedics, not the race track or EMT's

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Same as D 1.
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: see attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Allie Rodriguez/FLSIG-safety manager
Frank Sherren-safety program director LARC
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Our fire clearance inspection is conducted yearly in March, see attached.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Self Insured-Finish Line Self Insurance Group, certificate # On File
Administrator: Sig Solutions
PO Box 905
Seal Beach, Ca 90740
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**
Healthpointe Medical Center
7052 Orangewood Ave, Suite 6
Garden Grove (714) 903-1100

FINISH



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Pasos Requeridos en Caso de un Accidente

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada**
Healthpointe Medical Center
7052 Orangewood Ave, Suite 6
Garden Grove (714) 903-1100



ORANGE COUNTY FIRE AUTHORITY

Community Risk Reduction – Safety and Environmental Services Section / Area 1

8081 Western Av, Buena Park CA 92620 – www.ocfa.org – (714) 527-9475 / Fax (714) 229-8801

October 15, 2014

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to doughartman@ocfa.org.

Respectfully,

A handwritten signature in black ink, appearing to read "Doug Hartman".

Douglas A. Hartman,
Senior Fire Prevention Specialist



FINILIN-01 SPRZEMIELEWSKI

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

9/11/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107	CONTACT NAME: PHONE (A/C, No, Ext): (626) 799-7000 FAX (A/C, No): (626) 441-3233 E-MAIL ADDRESS:													
	<table border="1"> <tr> <th>INSURER(S) AFFORDING COVERAGE</th> <th>NAIC #</th> </tr> <tr> <td>INSURER A : New York Marine & General Ins. Co.</td> <td></td> </tr> <tr> <td>INSURER B :</td> <td></td> </tr> <tr> <td>INSURER C :</td> <td></td> </tr> <tr> <td>INSURER D :</td> <td></td> </tr> <tr> <td>INSURER E :</td> <td></td> </tr> <tr> <td>INSURER F :</td> <td></td> </tr> </table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A : New York Marine & General Ins. Co.		INSURER B :		INSURER C :		INSURER D :		INSURER E :		INSURER F :
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INSURER F :														
INSURED Finish Line Self Insurance Group, Inc. 4961 Katella Avenue Los Alamitos, CA 90720														

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input checked="" type="checkbox"/> N N/A	WC2015EPP00329	01/01/2015	01/01/2016	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 Los Alamitos Race Course Eff. 1/1/2015

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
--	--



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
10/9/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MOC Insurance Services License No. 0589960 44 Montgomery St., 17th Fl. San Francisco CA 94104	CONTACT NAME: Sara Przemielewski
	PHONE (A/C No. Ext): (415) 957-0600 FAX (A/C No.): (415) 957-0577 E-MAIL ADDRESS: sprzemielewski@maroevich.com
INSURED Finish Line Self Insurance Group, Inc. 4961 Katella Avenue Los Alamitos CA 90720	INSURER(S) AFFORDING COVERAGE INSURER A New York Marine & General
	INSURER B:
	INSURER C:
	INSURER D:
	INSURER E:
	INSURER F:

COVERAGES CERTIFICATE NUMBER: CL1310928222 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS								
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$								
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$								
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$ \$								
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory In NH) <input type="checkbox"/> N/A If yes, describe under DESCRIPTION OF OPERATIONS below		WC2014EPP00127	1/1/2014	1/1/2015	<table border="1"> <tr> <td>WC STATU-TORY LIMITS</td> <td>OTH-ER</td> </tr> <tr> <td>E.L. EACH ACCIDENT</td> <td>\$ 1,000,000</td> </tr> <tr> <td>E.L. DISEASE - EA EMPLOYEE</td> <td>\$ 1,000,000</td> </tr> <tr> <td>E.L. DISEASE - POLICY LIMIT</td> <td>\$ 1,000,000</td> </tr> </table>	WC STATU-TORY LIMITS	OTH-ER	E.L. EACH ACCIDENT	\$ 1,000,000	E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000	E.L. DISEASE - POLICY LIMIT	\$ 1,000,000
WC STATU-TORY LIMITS	OTH-ER													
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E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000													
E.L. DISEASE - POLICY LIMIT	\$ 1,000,000													

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
 Coverage extended as of start date below to employees assigned to listed trainer for Horse Racing and Training Codes: 8631, 8278

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE S Przemielewski/SPR
--	---

Number: 4524-061

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE
(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

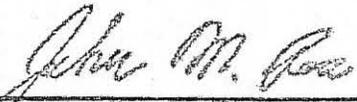
This certificate may be revoked at any time for good cause shown.*

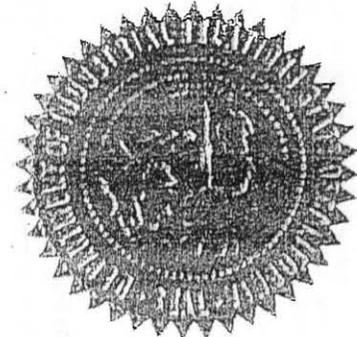
EFFECTIVE:

THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA


MARK T. JOHNSON, MANAGER


JOHN M. REA, DIRECTOR



*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.

than those already identified, and the goods and/or services to be provided by each:
 Centaur Hot Walkers, Inc., 5761 Ridgeview Ave., Mira Loma, CA 91752

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting:
 See attached
- B. Promotional/ Marketing budget for this race meeting:
 See attached
 Promotional/Marketing budget for prior race meeting:
 See attached
- C. Number of hosts and hostesses employed for meeting:
 See attached
- D. Describe facilities set aside for new fans:
 See attached
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: See attached
 - 1. Horsemen
 - 2. Fans
 - 3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:

Admission (general)	3.00
Admission (clubhouse)	5.00/10.00 (Vessels club)
Reserved seating (general)	N/A
Reserved seating (clubhouse)	N/A
Parking (general)	Free
Parking (preferred)	5.00
Programs (on-track)	3.50
(off-track)	4.00
- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
 See attached
- C. Describe any "package" plans such as combined parking, admission and program:
 N/A

CHRB LICENSE APPLICATION

ON TRACK ATTENDANCE/FAN DEVELOPMENT

A. Describe any promotional plans:

1. Los Alamitos will conduct one state wide mailer featuring a mystery mutuel vouchers. The vouchers are sent to off-track players as well as on-track players. Each voucher is worth at least \$5. Vouchers in denominations of \$10, \$20, \$50, \$100, \$500, and \$1,000 are also mailed. In addition, two \$2,500 vouchers will be mailed. In recent years, a \$10,000 mystery voucher was cashed at the Pleasanton simulcast location. The tentative date for this promotion is California Breeders Champions Night.
2. Premium item giveaway nights will be held in February, April, July, September, November and December. Planned giveaways include beanies and sweatshirts in the winter, hat in July, cowboy hat in July, t-shirt or ear-buds in September, blanket in November and wall calendar or player's carryall in December of 2015.
3. Family days at Los Alamitos will be held in the summer with the running of the popular 18th annual Wienerschnitzel Wiener National. One-hundred dachshunds compete for prize money and trophies. The annual event receives great pre and post event publicity on television newscasts like the top rated Channel 5 Morning News. The event annually attracts over 12,000 people, most of which get exposed to the excitement of horseracing for the first time. This event has been adopted by nearly ALL of the fairs conducting live racing as well as Del Mar and Golden Gate Fields.
4. The Los Alamitos annual Halloween Carnival will be conducted in late fall. This event benefits a local charity, as well as the Los Alamitos Race Track Chaplaincy. A costume contest for kids and adults as well as a ton of carnival games are held in a safe, fun, and family oriented environment. This event provided first-time exposure of horseracing to hundreds of local families each year. Cash prizes are awarded.
5. Los Alamitos will continue its partnership with the Orange County Register's Register Connect subscribers. In 2014, Los Alamitos hosted four events with each event attracting over 1,000 people.
6. Los Alamitos will partner with Living Social, Travelzoo and Amazon to offer Night at the Races packages for its 2015 live racing program. Los Alamitos offers these packages six times per year through these partners. The packages provide a variety of packages with the most popular offering a \$64 value for \$25. The package includes passes for two people to the track's Vessels Club, preferred parking, \$10 food voucher, souvenir Los Alamitos logo glasses, a trip to the winner's circle and an 8X10 photo of that group's winner's circle visit. Los Alamitos sold over 2,000 of these packages in 2014 with nearly all purchases made by first-time visitors to the track. Guests receive VIP treatment, a

brochure designed for first-time guests and track personnel have been trained to answer frequently asked question.

7. Los Alamitos will once again conduct the strongest marketing program of any southern California track specifically aimed for the in-state simulcast facilities. In 2014, Los Alamitos conducted over 30 handicapping contest at satellite facilities for cash prizes in excess of \$17,000. Most handicapping contests are held in conjunction with a premium item giveaway like logo hats, t-shirts, umbrellas, sweatshirt, etc. Racing personality Les Onaka is on hand at each of the contests promoting the nighttime Quarter Horse signal via handicapping seminars and question and answer sessions. Photos of winners are posted on the track's social media pages. Visits were made to the new satellite locations of Firehouse Grill in Bakersfield and Sammy's Original in Lake Forest.

In 2014, Los Alamitos also visited Commerce Casino, Santa Anita, Surfside, Monterrey, Victorville, Lancaster, San Bernardino, Ventura, Barona, Viejas and Santa Clarita. Fan data is gathered at each location. Los Alamitos added over 1,500 new patrons to its mailing list via this program. This program will continue the same level in 2015.

FAN AMMENITIES

1. Los Alamitos night meet is also the only meet that continues to offer race results on the telephone. The line includes Ed Burgart's full race call. The number to call is 714-995-2222.
2. Los Alamitos employs one part-time employee to assist patrons with their Racing Rewards card, which serves as the track's loyalty program. This program rewards its members with cash vouchers for playing the nighttime Los Alamitos racing program with a 1 percent return on their money wagered. In 2014, more than \$15,000 will be awarded to frequent players.
3. Los Alamitos' senior citizen admission prices are \$2 every single day. More than 80% of the Los Alamitos parking lot is now available at no charge as part of its free general parking area.
4. On major race nights, The Vessels Club serves as the set for TVG's "The Quarters" show. The live show adds to the Vessels Club experience, as Vessels Club guests are now part of "The Quarters" and the setting provides added exposure to The Vessels Club.
5. Los Alamitos has made available online video of morning workouts of 2-year-olds. Horseplayers find this a useful tool, as they're able to dissect workouts of horses that have yet to make an official start. In addition to the video footage, the site provides breeding information on each horse.

ONLINE

1. Los Alamitos provides Ed Burgart's tip sheet free on its website at www.losalamitos.com. The page also features a video blog of Burgart's Horses to Watch for the Night. The track's website also features a 22-minute preview show of Friday's races. Burgart serves as the host with analysis provided by Les Onaka.
2. Los Alamitos offers a free nighttime pocket program, which can be downloaded at www.losalamitos.com.
3. The Los Alamitos Facebook pages currently have a combined 30,000 Likes/Friends. The page is updated with handicapping information, race videos, trainer interviews, stakes photos results, etc. Los Alamitos maintains a Twitter Feed @losalracing and a Youtube Channel @ losalqh.

ADVERTISING & TV

1. Los Alamitos markets the night program on TVG with a 36-week advertising campaign throughout the year. Los Alamitos works closely with TVG to promote and encourage viewers to visit the track for live racing. Vessels Club and general concession menu items are often promoted on TVG to show fans what's available at the racetrack.

On major race nights, The Vessels Club serves as the set for TVG's "The Quarters" show. The live show adds to the Vessels Club experience, as Vessels Club guests are now part of "The Quarters" and the setting provides added exposure to The Vessels Club.

2. Los Alamitos buys airtime on Time Warner Cable with :30 spots airing on Angels games on PrimeTicket, Dodgers games on Time Warner with additional spots also airing on the Golf Channel, TBS, Bravo, and Fox Sports' Trackside Live.
3. Los Alamitos markets its night program via the Mike Willman weekly radio shows. In 2014, Los Alamitos also purchased targeted sponsorships in all other major southern California radio shows.
4. Los Alamitos spent over \$75,000 in 2014 in newspaper advertising. This expenditure included sponsoring Terry Turrell's nightly handicapping tip sheet in the Long Beach Press Telegram. Los Alamitos was also successful in bringing back horse racing results and entries to the Orange County Register, not only of the nighttime Quarter Horse races, but also for all of the daytime Thoroughbred tracks.
5. The Replay Show is available on TVG mornings on a Friday through Monday basis.
6. Los Alamitos produces a weekly 22 minute preview show of its weekend races that is available on Friday mornings on TVG and all day on Friday at www.losalamitos.com. The show is hosted by Ed Burgart and it features Les Onaka plus a bevy of top

handicappers. Interviews, human interest pieces with local horsemen and stakes previews are also part of the show.

HORSEMEN

1. Los Alamitos will continue its DVD Scrapbook program designed to reward racehorse owners at Los Alamitos. Every time a horse wins a race at Los Alamitos, the owner will receive one DVD - completely free - featuring the horse's winning performance. The DVD includes the post parade, warm-ups, race analysis, actual race, and winner's circle.

2. In celebration of Grade 1 stakes races and its participants, Los Alamitos provides a personalized gift to the connections of each horses. Gifts will include wine glasses, horse bridles, wine bottles, full-color participation posters, etc.

Promotional/Marketing budget for **2014** race meeting:

- | | |
|--|-----------|
| 1. On-Track Marketing budget: | \$350,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

B. Promotional/Marketing budget for **2015** race meeting:

- | | |
|--|-----------|
| 1. On-Track Marketing budget: | \$350,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

C. Number of hosts and hostesses employed for meeting:

Chris Wade serves as the racing analyst on the Los Alamitos racing broadcast. Wade provides expert handicapping information prior to each race. He provides racing fans with his Pick 4 suggestions, plus tips and hints on how to play the races at Los Alamitos. Several group directors work closely with parties to ensure a fun night at the races. Group parties have race named in their honor and the opportunity to visit the winner's circle for their race.

One information window is open day and night at Los Alamitos Race Course to answer any questions or inquiries about the track or horseracing in general. Fans can also pick up general information and pamphlets at the Racing Rewards Center and Vessels Club free.

D. Describe facilities set aside for new fans:

1. The Los Alamitos terraces – one on the mezzanine level and another one on the Vessels Club level- bring fans closer to our new walking ring and winner's circle

2. area, while providing added comfort to these racing fans' overall experience at the track. The new Paddock Terraces added in July now offer over 40 tables for four equipped with a personal flat screen TV.
3. As part of the Living Social, Travelzoo and Amazon promotions, new guests enjoy premium outdoor seating in the Vessels Club patio, which overlooks the finish line.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits horsemen and fans:

1. The 2015 Los Alamitos Quarter Horse meeting will be the first time that the entire racing season at Los Alamitos is available as an high definition broadcast. Los Alamitos has increased its HD monitors throughout the plant as follows:
 2. Los Aalmitos recently completed an HD TV upgrade in the entire Vessels Club adding 54 large HD sets at a cost of over \$40,000.
 3. The Mezzanine bar area in the grandstand has been refurbished to include a new player study areas, a wall of 8 HD TVs and 6 additional large HD screens throughout the study area.
 4. New bar style area seating in the Mezzanine area for added player comfort and new bar décor has been added.
 5. Over 60 flat screen TVs ranging between 18 and 13 inches in size have been added to the clubhouse Player's Club area.
 6. Seven large 48 inch flat screens have been added throughout the outside grandstand seating area.
 7. Permanent message boards continue to operate; one in the infield, which is a full color 8 feet tall by 20 feet wide Daktronics board featuring the broadcast of the Los Alamitos races, prices, pools, and marketing billboards; and one on the main entrance to the track, a two-color board featuring the latest messages and track news to the more than half-million motorists per year that make their way up and down Katella Avenue.



Los Alamitos Race Course



2015 SEASON SEATS

Live Racing: **Quarterhorse** –December 26, 2014 to December 20, 2015

Satellite Racing: Year Round

Seating Options:

- | | | |
|----|---|------------|
| A. | VESSELS CLUB EXECUTIVE
December 26, 2014 – December 20, 2015
Four membership cards - each valid for one Vessels Club annual admission, two <u>Premium</u> Parking Passes, and guaranteed four seat table for live NIGHT racing. | \$3,000.00 |
| B. | VESSELS CLUB ANNUAL
December 26, 2014– December 20, 2015
One membership card valid for one Vessels Club annual admission. | \$ 500.00 |
| C. | COMPLIMENTARY VESSELS CLUB HORSEMAN'S MEMBERSHIP
Two membership cards. (FOR QUARTERHORSE AND THOROUBRED OWNERS, TRAINERS, JOCKEYS) | \$ 0.00 |

A **Vessels Club Membership card** includes admission for all live and satellite racing conducted at Los Alamitos from December 26, 2014 through December 20, 2015. **No outside food or beverages** will be allowed in the Vessels Club. Your signature below acknowledges that you have read, understood, and will abide by the rules of the Vessels Club including the **dress code**.

Signature of Member _____

Date _____

Season Seat Selection (circle one): **A B C**
Please issue membership card(s) as follows: (PLEASE PRINT OR TYPE)

1. My name _____
2. _____
3. _____
4. _____
5. _____
6. _____

THIS SPACE FOR OFFICE USE ONLY	
Number	Membership Card Number
Table #: _____	

Address _____
Street City State Zip

Residence telephone _____ Cellular telephone _____ Email: _____

PLEASE MAKE YOUR CHECK PAYABLE TO LOS ALAMITOS RACE COURSE
LOS ALAMITOS RACE COURSE 4961 Katella Avenue, Los Alamitos, CA 90720 (714) 820-2680
seasonseating@losalamitos.com

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | | |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many | <input type="text" value="70"/> |
| <input checked="" type="checkbox"/> | Showers | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input type="checkbox"/> | Masseur | <input checked="" type="checkbox"/> | Food/beverage service |
| | | <input checked="" type="checkbox"/> | Lounge area |
| | | <input checked="" type="checkbox"/> | Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers:
 Separate quarters with cubicles, showers, steam box, sleeping quarters

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack:
220 out of 344
- C. Number of restrooms available on the backstretch of the racetrack:
13
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
18/1

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Dirt track consisting of sand, clay, silt, organic and gravel. See attached lab report.
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail is a metal slant rail with metal safety cover, 40" in height from track to top of rail. Outer rail is a metal gooseneck with 6" X 4" metal tubing.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Rick Hughes/Dennis Moore
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
See attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be

listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

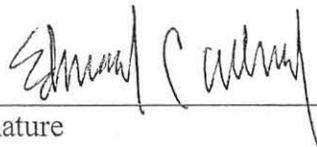
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Pending, to be completed by 10/10/14.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). On file
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):
No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Edward C Allred
Print Name


Signature

Owner
Print Title

09-25-14
Date

STAFF ANALYSIS
October 23, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER, LLC AT CAL EXPO DECEMBER 26, 2014 THROUGH MAY 3, 2015.

Watch and Wager.com, LLC (WAW) is applying to run a harness race meeting at Cal Expo. WAW is owned by Watch and Wager Ltd. an Isle of Man, United Kingdom company. Watch and Wager Ltd. is a wholly owned subsidiary of Webis Holdings PLC. Watch and Wager Ltd. holds licenses with the Isle of Man Gambling Supervision Commission and the North Dakota Racing Commission for operating the advance deposit wagering platforms link2bet and Watch and Wager. Golden Bear Racing, LLC (GBR) is under a management agreement with WAW. GBR will manage the day to day operations of the race meeting.

- WAW filed its application to conduct a harness horse racing meeting at Cal Expo. WAW proposes to conduct a harness race meeting December 26, 2014 through May 3, 2015, or 43 days, 4 days more than WAW raced in its 2014 winter race meet. In 2014 Watch and Wager raced December 27, 2013 through May 17, 2014. The association proposes to race a total of approximately 609 races. The (actual) average daily purse for the Watch and Wager 2014 meet during the same time period was \$51,270. The (estimated) average daily purse for this meet is \$51,646.
- The race dates proposed are the dates allocated:

December - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

January - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Racing 3 nights per week December and January, Friday through Sunday. Racing 2 nights a week February through May, Saturday and Sunday.
- First post 6:15 p.m. Friday, Saturday; and 4:45 p.m. Sunday.
- WAW will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. Watch and Wagers initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b). WAW has a bond on file with the Board effective through September 1, 2015.

- WAW is requesting a takeout reduction for four wagers on its nightly harness racing program. The proposed reduction is being sought for the \$1 Pick 4; \$0.50 Pick 5; \$0.10 Super Hi-5; and the \$0.10 unique winner Pick 6. The Pick 4; Pick 5; Pentafecta; and Pick 6 are exotic wagers. The harness takeout for exotic wagers is 24.18% and WAW is seeking a 16% takeout for the four wagers listed. WAW has cited Business and Professions Code section 19610.8 on which it base its reduction request.

Business and Profession Code section 19610.8 states upon the joint request of the association or fair accepting the wager, and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the Board may set the total percentage deducted from the parimutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager. WAW is seeking a 16% takeout for the \$ 1 Pick 4; \$0.50 Pick 5; \$0.10 Super Hi-5; and the \$0.10 Pick 6. The CHHA has approved this joint request.

- Wagering program will use CHRB and ARCI rules.
 - Early wagering will begin at 10:00 a.m. each day.
 - \$0.50 Pick 5 starting on the first race. 16% takeout, One tier payoff 100% carryover.
 - \$0.20 Pick beginning on race 11 on 15 race card, race 10 on 14 race card. 16% takeout.
 - \$.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no ticket has five winners. 16% takeout. Super High-5 will be carded as the last race. ARCI-004-105-X-Option3.
 - \$0.10 Unique winner Pick 6 on races 3 through 8. If no unique winner 70% of daily pool is paid out to tickets with most winners, 30% carryover. 16% takeout. ARCI-004-105G-(g)

- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires TVG, WAW, and Game Play.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P Code 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions code section 19604.
- WAW has submitted all documents required in compliance with Business and Professions Code section 19604.
- Gameplay has submitted has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Cal Expo track site will have one paramedic and one emergency medical technician (EMT) in the on-track ambulance.

ITEMS STILL NEEDED

1. Most recent audited annual financial statement

RECOMMENDATION:

This item is presented for Board discussion and action. If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: WatchandWager.com LLC, 20 California St., 7th Floor, San Francisco, CA 94111 415- 503-4041, Ed Comins, ecomins@watchandwager.com
- B. Breed of horse: TB QH H
- C. Racetrack name: California Exposition & State Fair (Cal Expo)
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars. Bond on File with Board.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting December 26, 2014 thru May 3, 2015
- B. Actual dates racing will be held: Calendar Attached.
December 26,27,28
January 2,3,4, 9,10,11, 16,17,18, 23,24,25, 30,31
February 7,8, 14,15, 21,22, 28
March 1, 7,8, 14,15, 21,22, 28,29
April 4,5, 11,12, 18,19, 25,26
May 2,3

CHRB CERTIFICATION

Application received: 9-26-14
Deposit received: ON-FILE
Reviewed: J.P. 10-3-14

Hearing date: 10-23-14
Approved date:
License number:



Cal Expo Harness Racing Calendar - 2015

December 26, 2014 through May 3, 2015

December 2014						
Sun	M	T	W	Th	Fri	Sat
					26	27
28	29	30	31			

January 2015						
Sun	M	T	W	Th	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February 2015						
Sun	M	T	W	Th	F	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March 2015						
Sun	M	T	W	Th	F	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April 2015						
Sun	M	T	W	Th	F	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May 2015						
Sun	M	T	W	Th	F	Sat
					1	2
3	4	5	6	7	8	9

Sunday Harness Racing • Post time 4:45 pm/pst

Friday & Saturday Harness Racing • Post time 6:15 pm/pst



Watch and Wager LLC, Operator Cal Expo Harness Racing

25 Cadillac Drive, Suite 201 • Sacramento, CA 95825

For more information please contact **Chris Schick** at:

Email: chris@goldenbearracing.com • Phone: 916-243-8215

C. Total number of days or nights of racing: 43

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other : Friday, Saturday, Sunday

E. Number of days or nights of racing per week: 3: Dec 26th thru Jan 25th 2: Jan 30th thru May 3rd.

3. RACING PROGRAM

A. Total number of races: Up to 609

B. Number of races for each day or night: Up to 15 races on Friday/Saturday. Up to 13 on Sunday.

C. Total number of stakes races: 47.

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

Stake schedule attached.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program: Friday 6:15 Saturday 6:15 Sunday 4:45

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

C. CORPORATION

1. Registered name of the corporation:



Cal Expo Harness 2015 Late Closers

TROT

NW \$20,000 (DHA & FM 30,000) AS OF 12/1/14;
AE: NW \$30,000 (DHA & F&M \$45,000) to be claimed for \$10,000

"Dr. Gary Budahn"

Sunday, January 4\$4,000
Sunday, January 11\$4,000
Sunday, January 25 • FINAL.....\$7,000 Added

Nomination Fee: \$100 (due: Mon 29 Dec 2014) • Starting Fee: \$100

PACE F & M

Fillies & Mares NW \$20,000 (DHA \$30,000) AS OF 12/1/14;
AE: NW \$30,000 (DHA \$45,000) to be claimed for \$10,000

"Lonnie Beck"

Sunday, January 11\$4,000
Sunday, January 25\$4,000
Sunday, February 1 • FINAL.....\$7,000 Added

Nomination Fee: \$100 (due: Mon 5 Jan 2015) • Starting Fee: \$100

PACE

NW \$20,000 LT (DHA & FM \$30,000) AS OF 1/1/15;
AE: NW \$30,000 (DHA & F&M \$45,000) to be claimed for \$10,000

"Shelly Goudreau"

Saturday, February 7\$4,000
Saturday, February 14\$4,000
Saturday, February 21 • FINAL.....\$7,000 Added

Nomination Fee: \$100 (due: Mon 2 Feb 2015) • Starting Fee: \$100

TROT

NW 400 PS in 2014-15 (F&M NW 600 PS);
AE: NW \$2,000-5 (F&M NW \$3,000-5) - W/O \$20,000 (F&M \$30,000) in 2014-15 NE;
AE: Optional Claiming price \$6,000

"Jim Grundy"

Sunday, February 8\$4,000
Sunday, February 15\$4,000
Sunday, February 22 • FINAL\$7,000 Added

Nomination Fee: \$100 (due: Mon 2 Feb 2015) • Starting Fee: \$100

PACE

Free-For-All Pace • Entries limited to top 10 money earners

"Lloyd Arnold"

Saturday, February 28\$10,000

TROT

Free-For-All Trot • Entries limited to top 10 money earners

"Stan Bergstein"

Sunday, March 1\$10,000

Additional Conditions:

Must have a minimum of 10 nominations and 8 entries to go.
Claiming races include DHA, F&M and age allowances.

Top 10 money earners qualify for final

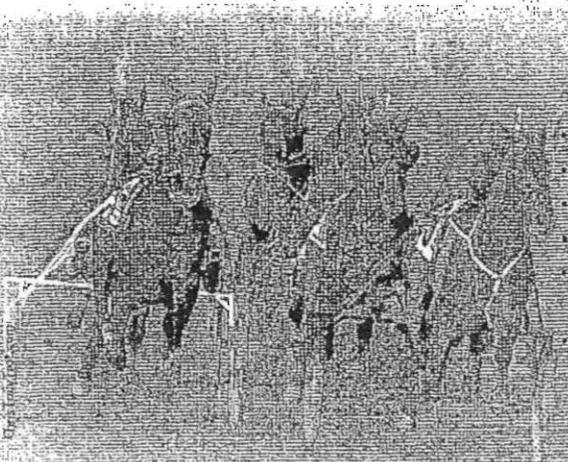
Fields will split at 13.

4-year-olds (CA Bred 5 yo) shall receive lifetime earning allowances as follows:
50% of 2-year-old earnings (CA Bred 3 yo); 25% of 3-year-old earnings (CA Bred 4 yo)

All FEES (Nomination and Entry) must be RECEIVED by the Race Office
by the designated time in the form of cash or check.

For more info contact: **Email:** info@goldenbearracing.com

Website: CalXHarness.com **Phone:** 916-800-1395



2014-2015 CALIFORNIA STANDARD BRED SIRES STAKES SCHEDULE

(Entry Fee per Stakes Race: \$150 (Day of entry – local track rules apply))

3 YO Series 2014-2015 (Foals of 2011) Series #34

COLT PACERS

January 3, 2015	\$10,000 Purse
January 17, 2015	\$10,000 Purse
March 7, 2015	\$10,000 Purse

FILLY PACERS

January 4, 2015	\$10,000 Purse
January 18, 2015	\$10,000 Purse
March 8, 2015	\$10,000 Purse

TROTTERS

January 18, 2015	\$10,000 Purse
February 1, 2015	\$10,000 Purse
March 1, 2015	\$10,000 Purse

Purse amounts are best estimates at this time and are subject to change depending on program income

2014-2015 CALIFORNIA STANDARD BRED SIRES STAKES SCHEDULE

(Entry Fee per Stakes Race: \$150 (Day of entry – local track rules apply))

2 YO Series 2014-2015 (Foals of 2012) Series #35

January 17, 2015	Colt Pace	10,000/TBA
January 18, 2015	Filly Pace	10,000/TBA
January 18, 2015	Trot	10,000/TBA
January 31, 2015	Colt Pace	10,000/TBA
February 1, 2015	Filly Pace	10,000/TBA
February 1, 2015	Trot	10,000/TBA
February 14, 2015	Colt Pace	10,000/TBA
February 15, 2015	Filly Pace	10,000/TBA
February 15, 2015	Trot	10,000/TBA
February 28, 2015	Colt Pace	10,000/TBA
March 1, 2015	Filly Pace	10,000/TBA
March 1, 2015	Trot	10,000/TBA
March 14, 2015	Colt Pace	10,000/TBA
March 15, 2015	Filly Pace	10,000/TBA
March 15, 2015	Trot	10,000/TBA
March 28, 2015	Colt Pace	10,000/TBA
March 29, 2015	Filly Pace	10,000/TBA
March 29, 2015	Trot	10,000/TBA
April 11, 2015	Colt Pace	10,000/TBA
April 12, 2015	Filly Pace	10,000/TBA
April 12, 2015	Trot	10,000/TBA
April 25, 2015	Colt Pace	10,000/TBA
April 26, 2015	Filly Pace	10,000/TBA
April 26, 2015	Trot	10,000/TBA

Purse amounts are best estimates at this time and are subject to change depending on program income


 The logo for "watch wager" is displayed in white text on a black rectangular background. The word "watch" is on the left, followed by a small diamond-shaped icon containing the word "CAL" in a stylized font, and the word "wager" is on the right.

Cal Expo Harness Racing

2014/ 2015 Post Time Schedule.

	Friday- Saturday	Sunday
Race 1	6:15	4:45
Race 2	6:38	5:13
Race 3	7:02	5:38
Race 4	7:27	6:02
Race 5	7:51	6:26
Race 6	8:15	6:50
Race 7	8:38	7:14
Race 8	9:01	7:38
Race 9	9:24	8:02
Race 10	9:46	8:25
Race 11	10:07	8:48
Race 12	10:27	9:02
Race 13	10:47	9:25
Race 14	11:07	
Race 15 *	11:26	

* if applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

2. State where incorporated:
3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC: WatchandWager.com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: Ed Comins, Managing Member, Chief Operating Officer: 0 Shares
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Watch and Wager.com Ltd 100 % Shareholder
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.
8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, COO, 0 Shares.

Watch and Wager.com Ltd is a wholly owned subsidiary of Webis Holdings PLC, a company listed on AIM (London) United Kingdom.

11. Are the shares listed for public trading? Yes X
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- Managing Member & Chief Operating Officer, Watch & Wager, com LLC.

Watch & Wager.com LLC has entered into a management agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watch & Wager .com LLC the day-to-day operations of the proposed harness meeting. Management agreement on file with the board.

Christopher Schick,	General Manager
Benjamin Kenney,	Chief Financial Officer
Kate Pharris,	Director Backstretch Operations
Glen Harris,	Mutuel Manager
John Williams	Track Superintendent
Gary Seibel,	Announcer/ Simulcast Host
Martin Bridges,	Program Director

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Ben Kenney/ Christopher Schick 25 Cadillac Drive Suite 201, Sacramento, CA 95825. ben@goldenbearracing.com chris@goldenbearracing.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014 YTD (37 Days)	36,545,783	92,245
2013 (68 Days)	62,552,634	113,356
2012 (69 Days)	66,256,754	188,556
2011 (117 Days)	84,237,361	304, 981
2010 (134 Days)	92,767,118	389, 129
2009 (138 Days)	98,225,844	474, 422

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: Proposed Current Meet – December 27, 2013 thru May 17, 2014, 42 Racing Nights. Prior meet comparison December 26, 2014 thru May 3, 2015. 43 Racing Nights.

1. All races other than stakes:

Current meet estimate: \$1,677,000
 Prior meet actual: \$1,454,200

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$39,000
 Prior meet actual: \$37,287

2. Overnight stakes

Current meet estimate: \$86,000
 Prior meet actual: \$108,650

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$2,000
 Prior meet actual: \$2,786

3. Non-overnight stakes:

Current meet estimate: \$300,000
 Prior meet actual: \$300,000

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$6,977
 Prior meet actual: \$7,692

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$2,063,000
 Prior meet actual: \$1,862,850

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races: California Sires Stakes 3Yo Pacers and Trotters.

Current meet estimate: 80.5%
 Prior meet actual: 66.25%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 1.87%

Prior meet actual: 1.7%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$52,016

Prior meet actual: \$46,798

- D. Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate: \$105,780

Prior meet actual: \$89,885

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$2,220,796

Prior meet actual: \$1,999,533

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$51,646

Prior meet actual: \$51,270

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,201,850

Prior meet actual: \$1,212,388

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$27,950

Prior meet actual: \$31,087

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$195,650

Prior meet actual: \$173,861

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$4,550

Prior meet actual: \$4,458

- H. Bank and account number for the Paymaster of Purses' purse account: Union Bank

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corp. 1816 Maryal Dr. Sacramento, Ca. 95864 916-482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained

from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1020
- B. Minimum number of stalls believed necessary for the meeting: 675
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: NA
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: NA
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. NA

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c):
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9)

Proposed Wagering Format

The following CHRB and ARCI wagering rules are requested.

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta (0.20 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (1 PK3)	1977
Pick-4 (0.20 PK4)	1976.9
Pick-5 (.50 P5)	1976.9
Pentafecta (.10 Super H5)	ARCI 004-105-X- Option 3
PN6 (.10)	ARCI 004-105G-(g)

Race 1: 1E, 1DD, 0.20 Tri, 0.50 PNP5

Race 2: 1E, 0.20 Tri, .10 SF

Race 3: 1E, 0.20 Tri, 0.10 PN6

Race 4: 1E, 0.20 Tri, .10SF, 1 P3

Race 5: 1E, 0.20 Tri, .10SF

Race 6: 1E, 0.20 Tri, .10SF

Race 7: 1E, 0.20 Tri, .10SF, 1 P3

Race 8: 1E, 0.20 Tri, .10SF

Race 9: 1E, 0.20 Tri, .10SF

Race 10: 1E, 0.20 Tri, .10SF, 1 P3

Race 11: 1E, 0.20 Tri, .20 PNP4

Race 12: 1E, 0.20 Tri, .10SF

Race 13: 1E, 0.20 Tri, .10SF, 1 P3

Race 14: 1E, 1DD 0.20 Tri, .10SF,

Race 15: 1E, 0.20 Tri, .10 Super H5

\$0.10 PN6 on races 3 through 8. Pool paid plus carryover or unique ticket with six Winners. If no unique ticket selects six winners... 70% of the daily pool is paid to Ticketholders selecting the most winners... 30% of the daily pool will be added to the carryover. 16% takeout.

- * \$0.50 PNP5 starting on the first race. 16% takeout, One tier payoff 100% carryover.
- * \$0.20 PNP4 beginning on race 11 on 15 race card, race 10 on 14 race card. 16% takeout.

\$0.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no ticket has five winners. 16% takeout. Super High-5 will be carded as the last race. ARCI-004-105-X- Option3.

- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: May 3, 2015
- C. Request for 16 % Takeout on .50 Pick-5
 Request for 16% Takeout on \$1 Pick-4
 Request for 16% Takeout on .10 Super Hi-5
 Request for 16% Takeout on 0.10 PN6

 Request for Friday \$20,000 Guaranteed Pick-4 Pool
 Request for Saturday \$20 000 Guaranteed Pick-4 Pool
 Request for Sunday \$20,000 Guaranteed Pick-4 Pool.

Attached is a letter requesting the reduced takeout promotional wagers.

- D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? 10 am Specify days and time for "early bird" wagering:
 All live race days.
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Sportech (Formerly Scientific Games) Statewide contract, Contract expires Sept 2015.

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
 TVG
 Xpressbet
 Twinpires
 Watch and Wager.com LLC
 Game Play Network
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file with board.
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No
 If yes, attach a copy of the approval. Attached
 If no, explain the status of the approval.

Promotional Wager Takeout Reduction Request

Watch and Wager.com LLC, and the California Horseman's Association jointly request a reduction in takeout for four nightly wagers on the program. The wagers requested for reduction are the \$0.10 Unique winner Pick-6, the \$0.50 Pick-5, the \$0.20 Pick-4 wager, along with the nightly \$0.10 pentafecta (super hi-five) on the final race. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on all four of the above wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in a amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

Last season these nightly promotional wagers at 16% proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.

California Harness Horsemen's Association

P.O. Box 254767

Sacramento, CA 95865

(916) 263-7888; fax (916) 263-7887

July 22, 2014

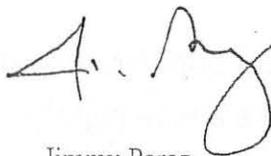
Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning October 4, 2014 and concluding in May of 2015.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

A handwritten signature in black ink, appearing to read 'Jimmy Perez', with a long, sweeping underline that extends to the right.

Jimmy Perez,
Executive Director

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering Inc.

- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Scotwinc agreement on file with the board.

- C. California simulcast facilities the association proposes to offer its live audiovisual signal: All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Attached

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
All licensed California mini-satellite sites.

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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California Harness Horsemen's Association**P.O. Box 254767****Sacramento, CA 95865****(916) 263-7888**

July 22, 2014

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

This letter is to acknowledge our support for and approval of the out-of-state simulcasting of the nightly California harness races from Watch & Wager at Cal Expo in Sacramento, CA to Board approved in-state-and out-of-state simulcast venues during the period of time that Watch & Wager is conducting its harness race meet at Cal Expo. This also approves the use of live harness racing for wagering by ADW providers that have been approved by the Board and have an agreement with Watch & Wager as required by California B&P Code, Section 19604.

These approvals shall commence with the scheduled harness meet on October 4, 2014 and extend through the final day of racing in May of 2015. It should be noted that the CHHA does reserve the right to withdraw its approval upon notification to the Board by a 15 day written notice.

We are please to work with Watch & Wager to send the audio and visual signals of our nightly live races to satellite wagering venues and ADW providers that are licensed by the California Horse Racing board.

Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

Watch & Wager LLC @ Cal Expo Harness

OUT OF STATE SIMULCAST WAGERING LOCATIONS

2014/2015 Harness Racing Meeting

American Tab, Oregon	New Jersey Casinos, New Jersey
Atlantic City, New Jersey	New York City OTB, New York
Balmoral Park, Illinois	Northfield Park, Ohio
Capitol District OTB, New York	Northlands Park, BC, CAN
Catskill Region OTB, New York	Northville Downs, Michigan
Connecticut OTB, Connecticut	Ocean Downs, Maryland
Dark Horse Racing/ Avatar	Penn National Gaming, Pennsylvania
Diaryland Greyhound, Wisconsin	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
ExpressBet, California	Pompano Park, Florida
Euro Off Track, NA	Racing Channel, Oregon
Elite Turf Club,	Raceway Park, Ohio
Fire Lake Casino, Oklahoma	Racing & Gaming Services, St. Kitts
Foxwoods Casino, Connecticut	Rosecroft Raceway, Maryland
Fraser Downs, BC, CAN	Sam Houston Park, Texas
Freehold Raceway, New Jersey	Saratoga Harness, New York
Harrington Raceway, Delaware	Scioto Downs, Ohio
Hastings Park, BC, CAN	Southland Greyhound, Arkansas
Hawthorne Racecourse, Illinois	Sports Creek Raceway, Michigan
Hazel Park, Mi	Suffolk Downs, MA
Hippodrome de Montreal, QUE, CAN	Suffolk Regional OTB, New York
Hoosier Park, Indiana	Sunland Park, New Mexico
Illinois OTB, Illinois	Television Games Network (TVG)
Indianapolis Downs, Indiana	Thistledown, Ohio
International Racing Group, Curacao	The Downs at Albuquerque, New Mexico
Ladbrokes at Meadows, Pennsylvania	The Red Mile, Kentucky
Las Vegas Dissemination, Nevada	Tri-State Greyhound, WV
Les Bois Park, Idaho	TwinSpires.com
Lone Star Park, Texas	Watch and Wager.com LLC, ND
Maywood Park, Illinois	Western Fair, ONT, CAN
Meadowlands Race Track, New Jersey	Western Region OTB, New York
Mesquaki Casino, Iowa	Windsor Raceway, ONT, CAN
Mohawk Racetrack, ONT, CAN	Woodbine Entertainment. Group, ONT, CAN
Nassau Region OTB, New York	Yonkers Raceway, New York
Nevada Pari-mutuel Association, Nevada	XPressBet.com

Watch & Wager LLC @Cal Expo 2014/2015 Harness Meet

**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR
POOLS WITH CAL EXPO.**

American Tab, Oregon	New Jersey Casinos, Atlantic City, Nj
Atlantic City, New Jersey	Nevada Pari-Mutuel Association
Balmoral Park, Illinois	Northfield Park, Ohio
Capitol District OTB, New York	Northlands Park, BC, CAN
Catskill Region OTB, New York	Northville Downs, Michigan
Connecticut OTB, Connecticut	Ocean Downs, Maryland
Dark Horse Racing/ Avatar	Penn National Gaming, Pennsylvania
	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
Elite Turf Club	Pompano Park, Florida
Empire City Bets, New York	Racing Channel, Oregon
ExpressBet, California	Raceway Park, Ohio
Euro Off Track, NA	Racing & Gaming Services, St. Kitts
European Wagering Services, LTD. Oregon	Running Aces, Minnesota
Fire Lake Casino, Oklahoma	Sam Houston Park, Texas
Foxwoods Casino, Connecticut	Saratoga Harness, New York
Fraser Downs, BC, CAN	Scioto Downs, Ohio
Freehold Raceway, New Jersey	Southland Greyhound, Arkansas
Game Play Network, Ca	Sports Creek Raceway, Michigan
Harrington Raceway, Delaware	Suffolk Downs, MA
Hastings Park, BC, CAN	Suffolk Regional OTB, New York
Hawthorne Racecourse, Illinois	Sunland Park, New Mexico
Hazel Park, Mich	Television Games Network (TVG)
Hippodrome de Montreal, QUE, CAN	Thistledown, Ohio
Hoosier Park, Indiana	The Downs at Albuquerque, New Mexico
Illinois OTB, Illinois	The Red Mile, Kentucky
Indianapolis Downs, Indiana	Tri-State Greyhound, WV
International Racing Group, Curacao	TwinSpires.com
Ladbrokes at Meadows, Pennsylvania	Watch and Wager.com LLC
Las Vegas Dissemination, Nevada	Western Fair, ONT, CAN
Les Bois Park, Idaho	Western Region OTB, New York
Lone Star Park, Texas	Windsor Raceway, ONT, CAN
Maywood Park, Illinois	Woodbine Entertainment. Group, ONT, CAN
Meadowlands Race Track, New Jersey	XpressBet. com
Mesquaki Casino, Iowa	Yonkers Raceway, New York
Mohawk Racetrack, ONT, CAN	
Nassau Region OTB, New York	

- I. For STANDARDBRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Balmoral Park	TBD	Selected Feature
Maywood Park	TBD	Selected Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands Harness	TBD	Selected Feature
Hoosier Park	TBD	Selected Feature
Pompano Park	TBD	Selected Feature
Dover Downs	TBD	Selected Feature

Under current statute the association proposes to import eight out of state races nightly on evenings with overlap with Los Alamitos Quarter Horse Racing.

On any potential non-overlapped racing nights, the association proposes to import 16 out of state races under current statute.

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, Ca. 95821
- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, Ca 95821 501 C4 - 68-0031464
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|------------------------------|------------------------------|
| Association Veterinarian(s): | Dr. Eduardo De La Cruz D.V.M |
| Clerk of Scales | NA |
| Clerk of the Course: | Patricia Peterson |
| Film Specialist: | N/A |
| Horse Identifier: | Carl Sobey |
| Horseshoe Inspector: | Larry Goshman |
| Paddock Judge: | Walter Peterson |
| Patrol Judges: | Kenneth Fowler |
| Placing Judges: | Nancy Barney |
| Starter: | Kenneth Fowler |
| Timer: | Nancy Barney |
- B. Management officials in the racing department:
- | | |
|------------------------|---------------|
| Director of Racing: | Robin Schadt |
| Racing Secretary: | Robin Schadt |
| Asst Racing Secretary: | Brent Bridges |
| Paymaster of Purses: | Glen Harris |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd. Herald, Ca, 95638 916-956-3914
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 3, 2015

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Service provider : Pegasus Communications – Jim Porep, President. Contract expires May 2014.
Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 9, 2015.

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached security organizational chart. Attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100, 000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.

Cal Expo Detention Barn Information : The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn at the south end of the Cal Expo barn area.

All California Sire Stakes along with designated overnight and late closing finals will be designated Detention Barn races. Detention barn horses are required to report by 12 noon.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

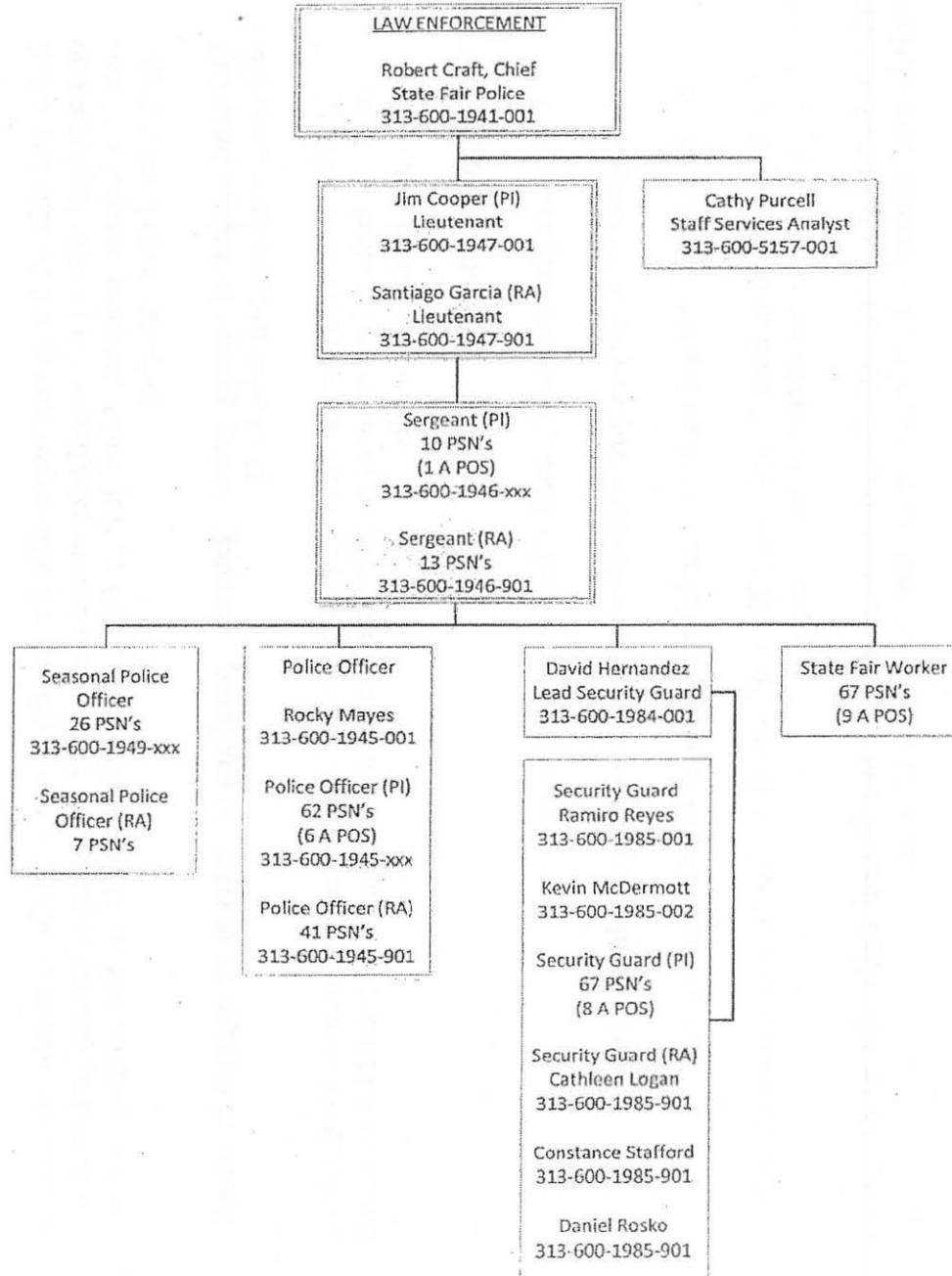
Currently seven races nightly, two horses per race, randomly drawn by the stewards.

Association will evaluate for potential increase.

- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse

March 2014

California Exposition & State Fair Law Enforcement



Cal Expo Detention Barn Procedures

2014/2015 Meeting

The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn located at the south end of the Cal Expo barn area.

There is a guard on duty out front of the detention barn. All personnel entering are required to be sign in the log book. Veterinarians are allowed in for Salix administration only.

All California Sire Stake races, along with designated overnight stakes are required for detention.

Detention barn horses are required to report by 12 noon to the detention barn.

for a minimum of four starts.

C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.

D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.
One surveillance camera and monitor will be located at both the detention barn and Gate 12 Main security gate.

D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: American Medical Response, 1779 Tribute Rd, Sacramento, Ca, 95815 916- 563-0600

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: NA

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. NA

C. Describe the on-track first aid facility, including equipment and medical staffing: A minimum of two Cal Expo police officers are on duty during the racing program. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. In addition they provide basic first aid services.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Na**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial



April 17, 2014

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

A handwritten signature in black ink that reads "Jennifer L. Bales". The signature is written in a cursive style.

Jennifer L. Bales, MICP
(916) 563-0835
Regional Safety & Risk Manager
Operations Manager
AMR – Sacramento County

Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Ben Kenney, Safety Manager , Kate Pharris, Asst Safety Mgr
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Pretorian Insurance Co. Policy# EQB0102172
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. Attached Certificate

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

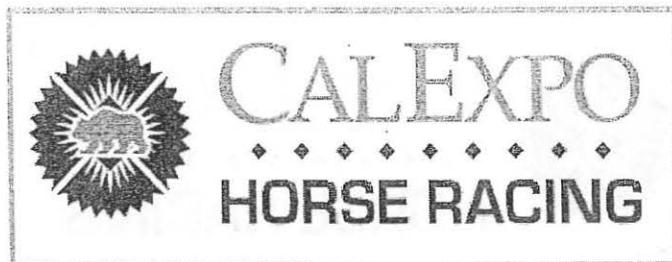
16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: Ovations

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting: Attached
- B. Promotional/ Marketing budget for this race meeting: \$ 46,000
Promotional/Marketing budget for prior race meeting: \$ 38,000
- C. Number of hosts and hostesses employed for meeting: 2
- D. Describe facilities set aside for new fans: None



Watch & Wager,com LLC @ Cal Expo

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

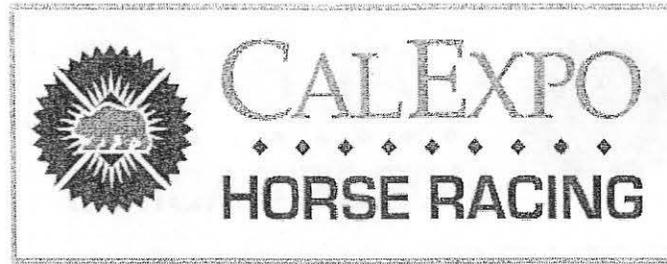
"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room



Watch & Wager.com LLC @ Cal Expo

"PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

"EVALUACION AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

"ATENCION AL ACSIDENTADO"

- El acidentado sera tratado de inmediato por (EMT).
Sies demaciado grabe el accidente sera transportado por ambulancia



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
Fire & Life Safety Division - South
602 E. Huntington Dr. Ste A
Monrovia, CA 91016
(626) 305-1808
(626) 305-5173 - fax
Website: www.fire.ca.gov



SPECIAL EVENT APPLICATION AND PERMIT

Facility Name California Exposition & State Fair
Facility Address 1600 Exposition Blvd. Fax (916) 263-3000
City Sacramento Zip Code 95815 County Sacramento
Facility Representative Paul Gillingham Phone (916) 416-1260
E-Mail pgillingham@calexpo.com

TYPE OF ACTIVITY

FESTIVAL CONCERT TRADE SHOW OTHER Horse Training

Event Sponsor Watch and Wager

Event Location/Building Backstretch and Racetrack

Event Date(s) 8-15-2014 to 5-15-2015 Estimated Daily Attendance 125

Event Time(s) Start 00:01 am/pm Finish 24:00 am/pm

Event Description Stabling and training of horses as well as living quarters for grooms.

Racing activities addressed under separate permit application

A site and floor plan must be submitted to the Office of the State Fire Marshal for approval **10 days prior to the event.**
At a minimum the items listed below shall be shown and identified on the plans;

1. Emergency access for fire equipment must be provided
2. Location of all grandstands, stages, tents, temporary fencing, cooking areas, generators, vendors, etc.
3. Location of all exits, path of travel to exits, and specify all exit and exit aisle widths
4. Location of tables and chairs and/or chair rows
5. Location of fire extinguishers and electrical panels

Final approval of the permit may be subject to a field inspection. Stand-by personnel may be required for the event at the discretion of the Office of the State Fire Marshal.

This event shall be conducted in accordance with the Fire and Life Safety requirements of Title 19, Title 24, and the conditions noted on this permit. **Non-compliance with either the code requirements or the noted conditions shall invalidate this permit.**

For Dept. Use Only

Comments/Conditions: Practice and training prior to race season in October.

PERMIT NOT VALID UNTIL SIGNED BY DEPUTY STATE FIRE MARSHAL

DSFM Signature [Signature] Print Name C. Fowler Date 8-15-14
Application Approved Denied SFM File # 04-34-04-0001

Fowler, Chris@CALFIRE

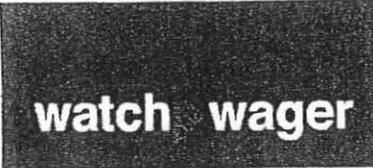
From: Paul Gillingham <PGillingham@calexpo.com>
Sent: Friday, August 15, 2014 1:30 PM
To: Fowler, Chris@CALFIRE
Subject: Horse Training for Watch AND wAGER
Attachments: W&W Stabeling SpecialEventsApplsouth.pdf

Hi Chris!

I am trying to get a hold of you since the horse folks have shown up and are moving horses into the backstretch. Need to get this permit in and am sending actual racing permit under separate cover. Hope you are well since I have missed you on your last few inspections.

Just an update on the break room we are getting Wallace-Kuhl & Associates to do geotech study will have results we believe in two to three weeks. We are entering into an agreement through CFSA with Chase Electrical Engineering to provide stamped plans and specifications for the break room electrical. Hope to get this project completed soon.

Paul



watch wager

Operating Harness Racing at Cal Expo
Managed By Golden Bear Racing, LLC

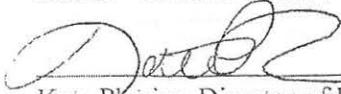
**Watch and Wager, LLC
Plan for Backstretch Grooms and Trainers
October 2014 through May 2015**

For the duration race horses are housed on the backstretch for the Watch and Wager harness meet at Cal Expo, the following procedures will be implemented.

From October 1, 2014 through May 10, 2015:

1. Grooms will be allowed to live in tack rooms that have been formally converted to efficiency dwelling units – specifically Barns B, C, F, G, J, K, M, Q, T (handicapped accessible), U and Y. Absolutely no one will be allowed to live in tack rooms that have not been converted to efficiency dwelling units.
2. Grooms will be assigned to living units by Director of Backstretch Operations. A list of said grooms and their CHRB license numbers, will be kept on file in the Director's office.
3. One efficiency dwelling unit with wheelchair access has been designated as a handicapped room. Designated handicapped parking spaces are available directly across from the access doors to this room.
4. No hot plates or other methods of cooking are to be used in the efficiency dwelling units,
5. A Firewatch will patrol the backstretch from 9:00 p.m. to 6:00 a.m.
6. Director of Backstretch Operations and Firewatch will ensure that no one is living anywhere on the backstretch but in the designated efficiency dwelling units.
7. Telephone numbers for the Director of Backstretch Operations and Gate 12 will be posted in the Racing Office building in case of emergency.
8. Fire extinguishers are located in the barn area per the Fire Marshal's regulations (four in each barn).
9. Evacuation plans written in both English and Spanish are posted on each barn.

Dated: October 1, 2014



Kate Phariss, Director of Backstretch Operations



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
Fire & Life Safety Division - South
602 E. Huntington Dr. Ste A
Monrovia, CA 91016
(626) 305-1908
(626) 305-5173 - fax
Website: www.fire.ca.gov



SPECIAL EVENT APPLICATION AND PERMIT

Facility Name California Exposition & State Fair
Facility Address 1600 Exposition Blvd. Fax (916) 263-7904
City Sacramento Zip Code 95815 County Sacramento
Facility Representative Paul Gillingham Phone (916) 416-1260
E-Mail pgillingham@calexpo.com

TYPE OF ACTIVITY

FESTIVAL CONCERT TRADE SHOW OTHER Harness Racing

Event Sponsor Watch and Wager

Event Location/Building Grandstand, Backstretch and lot I

Event Date(s) October 4, 2014 through May 10, 2015 Estimated Daily Attendance 500 to 800

Event Time(s) Start 4:00 to 6:00 PM am/pm Finish 8:00 to 10:00 PM am/pm

Event Description Live Harness Racing and Horse Training

Saturday racing in October, Saturday and Sunday racing in November and December, Friday-Saturday-Sunday racing Dec, 26, 2014 to Jan 31, 2015, Saturday and Sunday racing March to May 2015. 24/7 horse stabling and use of efficiency dwelling units by grooms.

A site and floor plan must be submitted to the Office of the State Fire Marshal for approval **10 days prior to the event.** At a minimum the items listed below shall be shown and identified on the plans;

1. Emergency access for fire equipment must be provided
2. Location of all grandstands, stages, tents, temporary fencing, cooking areas, generators, vendors, etc.
3. Location of all exits, path of travel to exits, and specify all exit and exit aisle widths
4. Location of tables and chairs and/or chair rows
5. Location of fire extinguishers and electrical panels

Final approval of the permit may be subject to a field inspection. Stand-by personnel may be required for the event at the discretion of the Office of the State Fire Marshal.

This event shall be conducted in accordance with the Fire and Life Safety requirements of Title 19, Title 24, and the conditions noted on this permit. **Non-compliance with either the code requirements or the noted conditions shall invalidate this permit.**

For Dept. Use Only

Comments/Conditions: Compliance with all codes and regulations required. Operations plan proposed by Backstretch Director enclosed. All conditions subject to change pending SFM inspections.

PERMIT NOT VALID UNTIL SIGNED BY DEPUTY STATE FIRE MARSHAL

DSFM Signature C. Fowler Print Name C. Fowler Date 10-1-14
Application Approved Denied SFM File # 04-34-04-0001

Watch and Wager.com LLC @ Cal Expo

Marketing and Promotional Plan

Winter 2014/ Spring 2015

New Stable Recruitment : We currently are advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$250,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product.

Improved Simulcast Production.: Our on air team of Gary Siebel and Dave Brower provide some of the best insight in the industry. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Five percent of harness handle comes from off-track sources.

Social Media: California harness racing has very little social media exposure. We plan on immediately establishing Facebook, Twitter and You Tube accounts and start interacting with a younger crowd.

On-Track Handle: We believe that the best thing we can do short-term to improve on-track handle is improvement of the racing product.

Parking/ Admission: We will continue the night policy of free parking and admission.

Concessions: We will continue to work with the Cal Expo master caterer (Ovation) to provide live fans with food and beverage nightly specials. We have agreed to a \$1 night the final Saturday of each month.

Handicappers: We will be sharply focused on the gambling public. Each night we propose to offer four low takeout wagers. Each night we will have a guarantee on our Pick-4. We hope to implement a guaranteed pool on our Pick-5. We have limited our gimmick schedule somewhat to give our fans bigger wagering pools. This season we propose to experiment with the unique winner Pick-6.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen : Upgraded groom quarters, and cooking areas.
2. Fans: Big Screen TV rental. Upgraded graphics package. Guaranteed wagering pools.
3. Facilities in the restricted areas: None at this time.

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general) Free
- Admission (clubhouse) Free
- Reserved seating (general) Na
- Reserved seating (clubhouse) Na
- Parking (general) Free
- Parking (preferred) Na
- Parking (valet) Na
- Programs (on-track) \$ 3.50
- (off-track) \$ 4.00

B. Describe any "Season Boxes" and "Turf Club Membership" fees: NA

C. Describe any "package" plans such as combined parking, admission and program: Na

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="46"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers:

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed by the beginning of the race meeting
- B. Number of rooms used for housing on the backstretch of the racetrack: 44
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/50

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%
The percent of cross slope in the center of the turns is: 5-6 %
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J, R, Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. Attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. NA

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Attached
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Lease agreement between Cal Expo and Watch and Wager LLC on file with the board.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exception

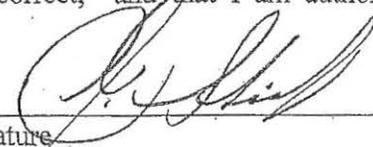
association except as follows (if no exceptions, so state): No Exception

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SCHIEK
Print Name


Signature

GENERAL MANAGER
Print Title

SEPTEMBER 25, 2014
Date

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE,
TO PROVIDE THAT A HORSE IS INELIGIBLE TO START IN ANY RACE IN
CALIFORNIA IF IT IS ON THE VETERINARIAN'S LIST IN ANOTHER RACING
JURISDICTION, UNLESS WITH PRIOR APPROVAL OF THE STEWARDS

Regular Board Meeting
October 23, 2014

ISSUE

When a horse is put on the Veterinarian's List in California, pursuant to Board Rule 1866, Veterinarian's List, it has been determined to be unfit to race; however, under current regulations, a horse that is placed on a Veterinarian's List in a racing jurisdiction outside of California may still be entered to race at a California racetrack. The proposed amendment to Board Rule 1588, Horse Ineligible to Start in a Race, provides that a horse is ineligible to start in any race in California if it is on the Veterinarian's List in another racing jurisdiction, unless with prior approval of the stewards.

ANALYSIS

California does not have an official Veterinarian's List reciprocity policy for horses on the Veterinarian's List in other states. This potentially allows compromised horses to avoid official veterinary scrutiny by moving to other racing jurisdictions which do not always monitor or recognize out-of-state Veterinarian's Lists. The proposed amendment to Board Rule 1588 prohibits any horse on the Veterinarian's List in another jurisdiction to enter a race in California without the prior approval of the stewards. A horse is placed on a Veterinarian's list for many reasons, including an injury or illness causing the horse to be unsound to race, or issues related to medication. Allowing a horse on a Veterinarian's List entry into a California horserace poses a safety hazard to the horse, its jockey, and other entrants of a race. It is common practice in California for the Official Veterinarian to consult the Veterinarian's Lists of other racing jurisdictions when determining a horse's eligibility to race. Whenever possible, a horse that is on the Veterinarian's List in another jurisdiction but is otherwise fit to race should satisfy the conditions of that state so it can be removed from the Veterinarian's List. Recognizing that unforeseen problems with administrative procedures, as well as the time of relocation of the horse to California, may delay the removal of the horse from another jurisdiction's Veterinarian's List, the proposed addition of subsection 1588 (j) provides stewards the flexibility to approve the race eligibility of a horse found on another jurisdiction's Veterinarian's list. Although the stewards may consult with the Official Veterinarian when approving the race eligibility of a horse, they are the body with the authority to make such decisions. CHRB Rule 1580, Control over Entries and Declarations, provides that all entries and declarations are under the supervision of the stewards. When a horse that is fit to race is unable to satisfy the conditions to be removed from the Veterinarian's List of another jurisdiction, the California stewards have the authority to approve the horse's eligibility.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper for it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in this State.

Board Rule 1866, Veterinarians List, states the official veterinarian shall maintain a Veterinarian's List of those horses determined to be unfit to compete in a race due to physical distress, unsoundness, or infirmity. Subsection 1866(c) provides that a horse placed on the Veterinarian's List shall be removed from the list only after having established or demonstrated to the satisfaction of the official veterinarian or the racing veterinarian that the horse is then sound and in fit physical condition to exert its best effort in a race. The proposed amendment to Rule 1588 specifies that a horse is ineligible to start in any race in California if it is on the Veterinarian's List in another racing jurisdiction, unless with prior approval of the stewards.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the August 26 through October 20, 2014 45-day comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
1588. HORSE INELIGIBLE TO START IN A RACE.

1588. Horse Ineligible to Start in a Race.

In addition to any other valid ground or reason, a horse is ineligible to start in any race if:

(a) ~~if~~ Ssuch horse is not registered by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, or the Arabian Horse Registry of America if an Aarabian horse, or the American Paint Horse Association if a paint horse;

(b) ~~if~~ Tthe parentage verification to both the sire and the dam of all horses foaled in 1992 and thereafter has not been certified by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Aarabian horse, or the American Paint Horse Association if a paint horse;

(c) ~~U~~unless the stewards permit otherwise, the certificate of foal registration, eligibility papers, or other registration issued by the official registry for such horse is not on file with the racing secretary at the time of entry;

(d) ~~if~~ Ssuch horse has been entered or raced at any recognized race meeting under any name or designation other than the name or designation duly assigned by and registered with the official registry;

(e) ~~if~~ Tthe certificate of foal registration, eligibility papers or other registration issued by the official registry has been altered, erased, or forged;

(f) ~~if~~ if the identification markings of the horse do not agree with the identification markings as set forth in the registration of such horse;

(g) ~~Unless~~ Unless he is eligible to enter said race and is duly entered for such race;

(h) ~~When~~ When such horse is owned in whole or in part by an unlicensed person or is in the care of an unlicensed trainer;

(i) ~~When~~ When such horse is on the Steward's List, the Starter's List or the Veterinarian's List;

(j) ~~when, except with prior approval of the stewards, such horse is on the Veterinarian's List in another racing jurisdiction; or~~

~~(j) W~~ (k) when, except with prior approval of the stewards, such horse has not been on the grounds of the association or its approved auxiliary stable area for at least 24 hours prior to the time the race is to be run.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1858, TEST SAMPLE REQUIRED,
TO ELIMINATE THE MAXIMUM RESTRICTION ON SAMPLES THAT MAY BE TAKEN
AND TO ADD HORSES REGISTERED TO RACE AT AN INCLOSURE, NOMINATED TO
RACE, AND PRE-ENTERED IN A RACE TO THOSE HORSES SUBJECT TO TESTING

Regular Board Meeting
October 23, 2014

ISSUE

Board Rule 1858, Test Sample Required, has a current limit of nine horses that can be designated for testing which does not allow for the testing required at unique events, such as the Breeders' Cup, where every horse is tested. Officially limiting the number of horses tested prevents the Board from testing additional horses. Rule 1858 currently does not allow horses outside of the inclosure that are nominated or pre-entered in a race to be tested until they enter the inclosure. The Board proposes amending Rule 1858 by reorganizing it into three subsections: 1858(a), which will define which and how many horses are tested daily; 1858(b), which will define what horses are subject to testing; and 1858(b)(1) which defines, for the purposes of the regulation, the phrase "registered to race at an inclosure."

ANALYSIS

The proposed amendment to Board Rule 1858 would eliminate the current limitation on the maximum number of horses that may be tested daily at a particular race track. The Board's Equine Medical Director provides that the "upward limit" of nine horses on additional test samples could be exceeded in any number of circumstances. The Board currently tests all claimed horses, the first four finishers in Breeders' Cup races, and all horses have been tested in certain races at Los Alamitos Race Course. Removing the current limitation on the number of horses tested daily would allow for testing of more than nine additional horses – as needed. The upward limit of nine horses was implemented in 2001 with an amendment to Rule 1858. At that time, nine other horses were required to be tested; however, the regulation also required that all claimed horses be tested, and the stewards and the official veterinarian had the discretion to select additional horses for testing. In 2001, one of the rationales for implementing the upward limit of nine horses was that the number could include claimed horses if needed.

The proposed amendment to Rule 1858 will require that "*every horse registered to race at an inclosure, or nominated or pre-entered*" to race is subject to testing. The phrase is meant to clarify that the CHRB can test horses meeting these criteria, even if they are not in a CHRB inclosure.

Currently, horsemen must apply for stalls if they plan to run at a meeting – thus "registering" their horses that will occupy the stalls as potential entrants. However, under the proposed amendment to Rule 1858, "registering" a horse with a racing association regardless of the horses location (off-site) is a new concept. Any horse that potentially may run at a race meeting could

be required to register in advance with the association; thus, off-site horses would be available for testing. Adding nominated and pre-entered horses would provide regulatory authority for the Board's out-of-competition (OOC) testing program for the Breeders' Cup and other stakes. Currently, the Board relies on the Breeders' Cup entry provisions for such OOC testing. The proposed amendment would provide authority to have test samples taken in other states for horses nominated to California stakes, and to sample horses for other states as the CHRB currently does for the Kentucky Horse Racing Commission for the Kentucky Derby.

BACKGROUND

Business and Professions Code section 19440 provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out the purposes of this Chapter. Business and Professions Code section 19562 states that the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 provides that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horseracing in California.

Board Rule 1858, Test Sample Required, currently requires blood and urine samples to be collected from the winner of every race, horses placing second or third in a stakes race with a gross purse of \$75,000 or more, and not less than six or more than nine other horses selected by the Equine Medical Director, the stewards or the official veterinarian. Additionally, Rule 1858 provides that every horse within the inclosure or entered to race is subject to testing, and no person having care of a horse shall refuse to submit it for testing when directed by the stewards or official veterinarian. The proposed amendment was discussed at the February 21, 2014 Regular Board Meeting. The notice documents were subsequently completed and submitted to agency for review and approval.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the August 26 through October 20, 2014 45-day comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1858. TEST SAMPLE REQUIRED

1858. Test Sample Required.

(a) Blood and urine test samples shall be taken daily from the winner of every race, from horses finishing second and third in any stakes race with a gross purse of \$75,000 or more, and from not less than six ~~or more than nine~~ other horses designated for testing by the Equine Medical Director, the stewards or the official veterinarian.

(b) Every horse within the inclosure, every horse registered to race at an inclosure, or nominated, pre-entered or entered in any race is subject to testing and no owner, trainer or other person having the care of a horse shall refuse to submit it for testing when directed by the Equine Medical Director, the stewards or the official veterinarian.

(1) For the purposes of this regulation, a horse is "registered to race at an inclosure" when the horse's registration papers are on file with a racing association under the jurisdiction of the Board.

Authority: Sections 19440, 19562 and 19580,
Business and Professions Code.

Reference: Section 19580(b),
Business and Professions Code; and
Sections 337f, 337g and 337h, Penal Code.

CALIFORNIA HORSE RACING BOARD

OCTOBER 23, 2014
REGULAR BOARD MEETING

There is no board package material for Item 12

STAFF ANALYSIS

DISCUSSION AND ACTION REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS, TO PROHIBIT: 1) SUSPENDED TRAINERS FROM TRANSFERRING HORSES TO EMPLOYEES; 2) THE USE OF ANY SIGNAGE, COLORS OR IDENTIFIABLE TACK OF A SUSPENDED TRAINER DURING A SUSPENSION; AND TO CHANGE FROM 60 DAYS TO 45 DAYS, THE REQUIREMENT THAT TRAINERS SUSPENDED FOR SUCH TIME BE BANNED FROM THE ENCLOSURE AND FORFEIT ALL ASSIGNED STALL SPACE AND REMOVE FROM THE INCLOSURE ALL SIGNAGE, ADVERTISEMENTS, TRAINING-RELATED EQUIPMENT, TACK, OFFICE EQUIPMENT AND OTHER PROPERTY

Regular Board Meeting
October 23, 2014

BACKGROUND

Business and Professions Code section 19580 provides that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state. Business and Professions Code section 19581 states no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1843, Medication, Drugs and Other Substances, provides that no horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided. No drug substance shall be administered to a horse which is entered to compete in a race to be run in this state except for approved and authorized drug substances as provided in these rules. Board Rule 1843.2, Classification of Drug Substances, categorizes and defines drug substances based on the Association of Racing Commissioners International (ARCI) drug classifications. Board Rule 1843.3, Penalties for Medication Violations, defines the penalties medication violations involving the substances defined and categorized in Board Rule 1843.2. 1843.3(i) prohibits a suspended licensee from transferring horses to licensed family members.

The proposed amendment to Board Rule 1843.3 would add language to subsection 1843.3(i) which would prohibit a suspended licensee from transferring horses to any other licensee employed by the suspended licensee within the previous year. This is necessary to further ensure that a licensee does not benefit financially during the period of suspension.

The proposed amendment would add section 1843.3(k) which would prohibit the use of any signage, colors, or tack identifiable as belonging to a suspended trainer, for the duration of the suspension.

The proposed amendment would change the section labeled as 1843(j)(1) to 1843(l). This section currently states, for the purpose of this regulation, licensed trainers suspended 60 days or more, or whose license is revoked, shall be banned from all inclosures under the jurisdiction of the CHRB. The proposed amendment would reduce 60 days to 45 days, requiring licensed

trainers suspended 45 days or more be banned from all inclosures under the jurisdiction of the CHRB.

This item was discussed at the October 22, 2014 Medication and Track Safety Committee Meeting.

RECOMMENDATION

This item is presented for Board discussion and action.

Staff recommends the Board hear from the Medication and Track Safety Committee.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843.3. PENALTIES FOR MEDICATION VIOLATIONS.

1843.3. Penalties for Medication Violations.

(a) In reaching a decision on a penalty for a violation of Business and Professions Code section 19581, the Board, the board of stewards, the hearing officer or the administrative law judge shall consider the penalties set forth in subsections (d) and (e) of this Rule and any aggravating and mitigating circumstances. Deviation from these penalties is appropriate where the facts of the particular case warrant such a deviation, for example: there may be mitigating circumstances for which a lesser or no penalty is appropriate, and aggravating factors may increase the penalties beyond the minimum.

(b) Mitigating circumstances and aggravating factors, which must be considered, include but are not limited to:

- (1) The past record of the licensee regarding violations of Business and Professions Code section 19581;
- 2) The potential of the drug(s) to influence a horse's racing performance;
- (3) The legal availability of the drug;
- (4) Whether there is reason to believe the responsible party knew of the administration of the drug or intentionally administered the drug;
- (5) The steps taken by the trainer to safeguard the horse;
- (6) The steps taken by an owner to safeguard against subsequent medication violations including, but not limited to, the transfer of the horse(s) to an unaffiliated trainer;

(A) For the purpose of this regulation "unaffiliated trainer" means a trainer or an assistant

trainer who is not related by blood, marriage or domestic partnership, or who is not or was never employed by the trainer from whose care such horse(s) were transferred.

(7) The probability of environmental contamination or inadvertent exposure due to human drug use or other factors;

(8) The purse of the race;

(9) Whether the drug found to be present in the official test sample was one for which the horse was receiving treatment as determined through the process described in Rule 1842 of this division;

(10) Whether there was any suspicious wagering pattern on the race;

(11) Whether the licensed trainer was acting under the advice of a licensed veterinarian.

(c) For the purpose of this regulation, the Board shall consider the classification of a drug substance as referred to in Rule 1843.2 of this division and the California Horse Racing Board (CHRB) Penalty Categories Listing By Classification, (1/08), which is hereby incorporated by reference, if a determination is made that an official test sample from a horse contained:

(1) Any drug substance, medication, metabolites or analogues thereof foreign to the horse, whose use is not expressly authorized in this division, or

(2) Any drug substance, medication or chemical authorized by this article in excess of the authorized level or other restrictions as set forth in the article.

(d) Penalties for violation of each classification level are as follows:

CATEGORY "A" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which

CHRB drug classification is categorized as warranting a Category A penalty are as follows:

LICENSED TRAINER:		
1st offense	2nd LIFETIME offense	3rd LIFETIME offense
<ul style="list-style-type: none"> ◦ Minimum one - year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension. <p>AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$10,000 or 10% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000 or 25% of purse (greater of the two). <p>AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board. 	<ul style="list-style-type: none"> ◦ Minimum two-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension. <p>AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$20,000 or 25% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 50% of purse (greater of the two). <p>AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board. 	<ul style="list-style-type: none"> ◦ Minimum three -year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of permanent license revocation. <p>AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$25,000 or 50% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$100,000 or 100% of purse (greater of the two). <p>AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board.
LICENSED OWNER:		
1st offense	2nd LIFETIME offense in owner's stable	3rd LIFETIME offense in owner's stable
<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse may be placed on the veterinarian's list for up to 90 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 120 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse, loss of purse and absent mitigating circumstances, minimum fine of \$10,000. The presence of aggravating factors could be used to impose a maximum fine of \$50,000. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 180 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. <p>AND</p> <ul style="list-style-type: none"> ◦ Referral to the Board with a recommendation of a suspension of owners license for a minimum of 90 days.

CATEGORY "B" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRFB drug classification is categorized as warranting a Category B penalty are as follows:

LICENSED TRAINER:		
1st offense	2nd offense (two years)	3rd offense (five years)
<ul style="list-style-type: none"> ◦ Minimum 30 -day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> ◦ Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$1,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. 	<ul style="list-style-type: none"> ◦ Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a one-year suspension. <p>AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 10% of purse (greater of the two). <p>AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board.
LICENSED OWNER:		
1st offense	2nd offense in stable (two years)	3rd offense in stable (five years)
<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse, loss of purse and absent mitigating circumstances minimum fine of \$5,000. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 45 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.

CATEGORY "B" PENALTIES FOR RULE 1843.6 TOTAL CARBON DIOXIDE (TCO₂)

TESTING

Penalties for violations due to exceeding permitted levels of TCO₂ as defined in Rule 1843.6 are as set forth below. All concentrations are for measurements in serum or plasma.

LICENSED TRAINER:		
1st offense TCO₂ (> 37.0mmol/l-<39mmol/l)	2nd offense TCO₂ (> 37.0mmol/l-<39mmol/l)	3rd offense TCO₂ (> 37.0mmol/l-<39mmol/l)
<ul style="list-style-type: none"> Up to a 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a—60-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$1,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$5,000. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 120-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000.
LICENSED OWNER:		
1st offense TCO₂ (> 37.0mmol/l-<39mmol/l)	2nd offense TCO₂ (> 37.0mmol/l-<39mmol/l)	3rd offense TCO₂ (> 37.0mmol/l-<39mmol/l)
<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse, loss of purse and in the absence of mitigating circumstances, \$2,500 fine.
LICENSED TRAINER:		
1st offense TCO₂ (≥ 39.0mmol/l)	2nd offense TCO₂ (≥ 39.0mmol/l)	3rd offense TCO₂ (≥ 39.0mmol/l)
<ul style="list-style-type: none"> Minimum 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a—60-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000. 	<ul style="list-style-type: none"> Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 365-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$10,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000.
LICENSED OWNER:		
1st offense TCO₂ (≥ 39.0mmol/l)	2nd offense TCO₂ (≥ 39.0mmol/l)	3rd offense TCO₂ (≥ 39.0mmol/l)
<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse, loss of purse and a fine ranging from a minimum of \$5,000, up to a maximum of \$20,000.

CATEGORY "C" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category C penalty and for the presence of more than one non-steroidal anti-inflammatory (NSAID) in a plasma/serum sample, as defined in Rule 1844 of this division, and furosemide as defined in Rule 1845 of this division in an official test sample are as set forth below. All concentrations are for measurements in serum or plasma.

LICENSED TRAINER:		
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
◦ Minimum fine of \$500 to a maximum fine of \$1,000 absent mitigating circumstances.	◦ Minimum fine of \$1,000 to a maximum fine of \$2,500, and up to a 15 - day suspension absent mitigating circumstances.	◦ Minimum fine of \$2,500 and up to a 30 - day suspension absent mitigating circumstances.

CATEGORY "C" PENALTIES FOR RULE 1844, AUTHORIZED MEDICATION (C) (1), (2), (3)

Penalties for violations due to overages for permitted non-steroidal anti-inflammatory drug substances (NSAIDs) as defined in Rule 1844 (c) (1), (2) and (3) of this division. All concentrations are for measurements in serum or plasma.

The official veterinarian shall consult with the treating veterinarian in all violations of 1844 (c). With permission of the official veterinarian the trainer may elect to pay the minimum fine in lieu of a stewards' hearing. If the trainer has not had an 1844 (c) violation within the previous three years, the official veterinarian or the board of stewards may issue a warning in lieu of a fine for violations of 1844 (c)(1), phenylbutazone, provided the reported level is below 5.1 mcg/ml.

LICENSED TRAINER:	Phenylbutazone (5.1-<10.0mcg/ml) Flunixin (20 100 ng/ml) Ketoprofen (11-49 ng/ml)	Phenylbutazone (5.1-<10.0mcg/ml) Flunixin (20 100 ng/ml) Ketoprofen (11-49 ng/ml)
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
◦ Minimum fine of \$500 to a maximum fine of \$1,000.	◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.
LICENSED OWNER:	Phenylbutazone (5.1-<10.0mcg/ml) Flunixin (20 100 ng/ml) Ketoprofen (11-49 ng/ml)	Phenylbutazone (5.1-<10.0mcg/ml) Flunixin (20 100 ng/ml) Ketoprofen (11-49 ng/ml)
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
No penalty administered.	No penalty administered.	No penalty administered.
LICENSED TRAINER:	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.	◦ Minimum fine of \$5,000 to a maximum fine of \$10,000.
LICENSED OWNER:	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
◦ Horse must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.	◦ Disqualification of horse and loss of purse. If same horse, placed on veterinarian's list for up to 45-days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.	◦ Disqualification of horse and loss of purse. Minimum \$5,000 fine. If same horse, placed on veterinarian's list for 60 days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.

(e) Violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category "D" penalty, may result in a written warning to the licensed trainer and owner. A Category "D" penalty for a first offense may result in a written warning or fine that will remain on the licensee's record for a period of two years. After the two year period, if the licensee has had no further violations of CHRB Rule 1843, the Category "D" penalty will be expunged from the licensee's record for penalty purposes.

CATEGORY "D" PENALTIES

1 st offense (365 day period)	2 nd offense (365 day period)	3 rd offense (365 day period)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

CATEGORY "D" PENALTIES FOR RULE 1844(c)(1) VIOLATIONS

Phenylbutazone 2.1ug/ml to 5.0 ug/ml		
1 st offense (365 day period)	2 nd offense (365 day period)	3 rd offense (365 day period)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

(f) Any drug or its metabolite or analogue thereof found to be present in an official test sample that is not classified in Rule 1843.2 of this division shall be classified as a Class 1 substance and a Category "A" penalty until classified by the Board.

(g) The administration of a drug substance to a race horse must be documented by the treating veterinarian through the process described in Rule 1842 of this division.

(h) Any licensee found to be responsible for the administration of any drug substance resulting in a positive test may be subject to the same penalties set forth for the licensed trainer and his presence may be required at any and all hearings relative to the case.

(1) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "A" shall be referred to the California Veterinary Medical Board (CVMB) for consideration of further disciplinary action.

(2) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "B" or "C" may be referred to the CVMB for consideration of further disciplinary action upon the recommendation of the Equine Medical Director, the board of stewards or hearing officers.

(i) A licensee who is suspended, or whose license is revoked, because of a medication violation, is not able to benefit financially during the period of suspension or revocation. This includes, but is not limited to, ensuring that horses are not transferred to licensed family members or to any other licensee who has been an employee of the suspended licensee within the previous year.

(j) For the purpose of this regulation "licensed family members" means any person who holds an occupational license issued by the CHRB and who is related to the suspended licensee, or the licensee whose license is revoked, by blood, or by marriage or domestic partnership, or who is related by blood to the spouse or domestic partner of such licensee.

(k) For the purpose of this regulation, the use of any signage, colors, or tack identifiable as belonging to a suspended licensed trainer is prohibited for the duration of the suspension.

(1)-(1) For the purpose of this regulation, licensed trainers suspended ~~60~~ 45 days or more, or whose license is revoked, shall be banned from all inclosures under the jurisdiction of the CHRB. In addition, during the period of suspension, or revocation, such trainer shall forfeit all assigned stall space and shall remove from the inclosures all signage, advertisements, training-related equipment, tack, office equipment, and any other property.

Authority: Sections 19440, 19461 and 19580,
Business and Professions Code.

Reference: Sections 19461, 19580, 19581 and 19582,
Business and Professions Code. Section 11425.50, Government Code.

CALIFORNIA HORSE RACING BOARD

OCTOBER 23, 2014
REGULAR BOARD MEETING

There is no board package material for Item 14