

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the **California Horse Racing Board** will be held on **Thursday, October 24, 2013**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of September 19, 2013.**
2. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
3. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Angeles Turf Club in the amount of \$129,734 to 14 beneficiaries.**
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Alamitos Quarter Horse Racing Association in the amount of \$36,266 to five beneficiaries.**
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club (T) at Santa Anita Park Race Track**, commencing December 26, 2013 through April 21, 2014, inclusive.
6. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing December 26, 2013 through June 18, 2014, inclusive.
7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H) at Cal Expo**, commencing December 26, 2013 through May 25, 2014, inclusive.
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Quarter Horse Racing Association (Q) at Los Alamitos Race Course**, commencing December 28, 2013 through December 22, 2014, inclusive.

9. Discussion and action by the Board on the **Application for License to Operate a Minisatellite Wagering Facility at the Ocean's Eleven Casino in Oceanside, California**, for a period of up to five years.
10. Discussion and action by the Board regarding the **Arabian race scheduled to be run at the Breeders' Cup on Friday, November 1, 2013, and its placement on the race card.**
11. Discussion and action by the Board regarding the **feasibility of amending CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting**, to add to the license application the take out rate on the proposed pari-mutuel wagering schedule.
12. Discussion and action by the Board regarding the **proposed addition of CHRB Rule 1500.2, Daily Drug Testing of Racing Participants**, to allow drug testing of exercise riders , racing officials and stewards whose names appear on the official programs, as well as outriders, starting gate crew, trainers, hot walkers and grooms.
13. Discussion and action by the Board regarding the **proposed addition of CHRB Rule 1498.1, Exercise Rider Physical Examination**, to require that exercise riders undergo an annual physical examination prior to the race meeting of the year in which they intend to participate.
14. Discussion and action by the Board regarding **technical approval of the Southern California auxiliary stabling and vanning agreement for the 2014/2015 racing year.**
15. Report and update regarding **2013 signed horse racing legislation.**
16. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1632, Jockey's Riding Fee**, to prohibit the deduction of any portion of entry, nomination or other fees from a jockey's riding fee unless such entry, nomination, or other fees are paid exclusively by the owner and are not reimbursed by any other person or entity.
17. Discussion and action by the Board on the report from the **Stewards Committee including jockeys' use of communication devices in the jockeys' room.**
18. Report from the **Medication and Track Safety Committee.**
19. Discussion and action by the Board regarding the **request to suspend authorization for the anabolic steroid stanozolol pursuant to the provisions of CHRB Rule 1844.1, Suspension of Authorized Medication**, for a period of 12 months beginning December 26, 2013 through December 25, 2014.
20. Report from the **Jockey Welfare Committee.**

21. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1689.1, Safety Vest Required**, to require pony riders to wear a safety vest.
22. Report from the **Northern California Racing Ad Hoc Committee**.
23. Discussion and action by the Board regarding the **allocation of the Northern California 2014/2015 racing calendar and related issues for 2014/2015**.
24. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

David Israel, Chairman
Chuck Winner, Vice Chairman
Steve Beneto, Member
Jesse H. Choper, Member
Bo Derek, Member
George Krikorian, Member
Richard Rosenberg, Member
Jacqueline Wagner, Assistant Executive Director

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**PENDING LITIGATION
OCTOBER 2013**

SUPERIOR COURT LITIGATION

A. Jeff Mullins vs. CHRB, et al

Superior Court of California, County of San Diego, Case No. 37-2010-00092212
Court of Appeal, Fourth Appellate District, D062226

B. San Luis Rey Racing, Inc., vs. CHRB, et al

Superior Court of California, County of San Diego, Case No. 37-2011-00096586

C. Alexander Sywak vs. CHRB

Superior Court of California, County of Sacramento, Case No. 34-2011-80001021
Court of Appeal, Third Appellate District, C072803

D. Morteza Atashkar vs. CHRB

Superior Court of California, County of Sacramento, Case No. 34-2008-00024426

E. Morteza Atashkar vs. CHRB

Superior Court of California, County of Sacramento, Case No. 34-2011-00102154
Court of Appeal, Third Appellate District, C069875

F. Carla Gaines vs. CHRB

Superior Court of California, County of Los Angeles, Case No. BS144185

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**PENDING ADMINISTRATIVE ADJUDICATIONS
OCTOBER 2013**

CASE

- A. **Appeal of the Board of Stewards Official Ruling #008, Del Mar Thoroughbred Club, dated July 24, 2013**
Jockey Agapito Delgadillo
Case Number: SAC 13-0030

- B. **Appeal of the Board of Stewards Official Ruling #048, Hollywood Park Racing Association, dated July 12, 2013**
Jockey Edwin Maldonado
Case Number: SAC 13-0025

- C. **Proposed Decision**
Appeal of the Decision of the California Thoroughbred Breeders Association
Appellant Katy Cowan
Case Number: SAC 13-0018

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Sheraton Fairplex Conference Center**, Sonoma Ballroom, 601 West McKinley Avenue, Pomona, California, on **September 19, 2013**.

Present: David Israel, Chairman
Chuck Winner, Vice-Chairman
Steve Beneto, Member
Jesse H. Choper, Member
Bo Derek, Member
George Krikorian, Member
Richard Rosenberg, Member
Jacqueline Wagner, Assistant Executive Director
Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF AUGUST 22, 2013

Chairman Israel asked for approval of the minutes of the Regular Meeting of August 22, 2013.

Vice-Chairman Winner **motioned** to approve the minutes. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

PUBLIC COMMENT

Gail Mathews, of Winning Hands Equine Massage Therapy, stated there were five off-track thoroughbreds for sale September 7, 2013 at Mike's Livestock Auction, two of which had worked at Del Mar the previous month. She said at these auctions there were buyers who were under contract with slaughter houses, and that the sale of horses for human consumption was a felony level violation, California Penal Code section 598(c). Ms. Mathews asked the Board to establish a set of standards for owners and trainers to hold them more accountable for the fate of horses leaving their care. She suggested awareness could be improved by publishing section 598(c) in the conditions book along with contact information for CARMA, the California Retirement Management Account, and a list of approved thoroughbred aftercare facilities in California. Commissioner Rosenberg asked if Mike's Livestock Auction was a legitimate legal

establishment. Ms. Mathews stated that it had been selling livestock for many years and she assumed it was legitimate. Chairman Israel asked if the auction had a legal obligation to see if the horses for sale had lip tattoos designating them as race horses. Robert Miller, CHRB General Counsel, stated that would be the jurisdiction of the California Department of Food and Agriculture. Commissioner Krikorian stated publishing the law in the condition book was a proactive idea. Richard Castro, representing Pari-Mutuel Employees Guild Local 280 (Local 280), stated a labor dispute that had been developing for a year and a half had been settled. He thanked Mr. Miller and John Zenner, a management attorney, for their assistance in the negotiations in the trust agreement. Chris Korby, of the California Authority of Racing Fairs (CARF), stated several of the racing fairs were working with Oak Tree Racing Association (Oak Tree) to establish a presence for Oak Tree in Northern California. Chairman Israel stated if there was a possibility that Oak Tree would not continue as a racing association, it could become a charitable foundation, or other type of entity, that could manage all of the charitable efforts of horseracing. Mr. Korby stated that concept was being explored.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE HOLLYWOOD PARK FALL RACING ASSOCIATION, LLC AT BETFAIR HOLLYWOOD PARK RACE TRACK, COMMENCING NOVEMBER 6, 2013 THROUGH DECEMBER 22, 2013, INCLUSIVE.

Eual Wyatt, of Betfair Hollywood Park Race Track (Hollywood Park), stated the focus of the race meet was to make it the best possible experience for the employees, the horsemen and especially the fans. Chairman Israel stated the pending closure should bring in higher attendance numbers. Dyan Grealish, representing Hollywood Park, stated advance ticket sales were about double what they were for 2012. He said Hollywood Park was planning a farewell gala for the final weekend, and would be giving away commemorative pins each weekend. Mike Stevens,

retired Inglewood City Councilman, spoke about his issues with the closure of Hollywood Park and the management, and employee layoffs, at the Hollywood Park Casino. Chairman Israel said if the Board had the power to keep Hollywood Park open it would. Jack Liebau, representing Hollywood Park, stated the off-track wagering within the casino would continue to be operated by the Hollywood Park Racing Association. Vice-Chairman Winner asked if the layoffs in question were casino employees and not Hollywood park employees. Mr. Liebau stated that was correct. Commissioner Krikorian **motioned** to approve the application to conduct a horse racing meeting of the Hollywood Park Fall Racing Association at Hollywood Park, commencing November 6, 2013 through December 22, 2013. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE LOS ANGELES TURF CLUB, TO AMEND ITS SEPTEMBER 25, 2013 THROUGH NOVEMBER 3, 2013, LICENSE APPLICATION TO PERMIT THE CONDUCT OF A NON-THOROUGHBRED RACE ON THE BREEDERS' CUP UNDERCARD ON FRIDAY, NOVEMBER 1, 2013.

George Haines, representing the Los Angeles Turf Club (LATC), stated there was an international opportunity for the LATC to run an Arabian race at the Breeders' Cup on Friday, November 1, 2013. Bob Elliston, representing the Breeders' Cup, said Senate Bill (SB) 819 was headed to the Governor's desk, and its approval would give the Board the discretion to permit pari-mutuel wagering for one non-thoroughbred race at the Breeders' Cup. He said the President of the United Arab Emirates Cup would have a purse of \$150,000, a race distance of one mile, and would be for three year olds and up. Mr. Elliston stated the entire purse would be provided by a sponsor, the Emirates Equestrian Federation. Chairman Israel stated he was more concerned with the section of SB 819 that would allow for the diversion of funds from marketing

to purses. The Board discussed the effect SB 819 would have on the distribution of marketing money for the Breeders' Cup. Vice-Chairman Winner stated the approval of SB 819 was outside the scope of the Boards jurisdiction and did not affect the decision whether or not to allow the LATC to amend its race meet application. Robert Miller, CHRB General Council, asked who would be the jockeys in the Arabian Race. Mr. Elliston said they would be California licensed jockeys and as such, subject to the requirements of the Board. Joe Morris, representing the Thoroughbred Owners of California, wanted to make sure the proposed Arabian race was an additional race on the undercard and would not be replacing a thoroughbred race. Chairman Israel stated that was correct. Commissioner Choper **motioned** to approve the request from the LATC, to amend its September 25, 2013 through November 3, 2013, license application to permit the conduct of an Arabian race on the Breeders' Cup undercard on Friday, November 1, 2013. Commissioner Rosenberg **seconded** the motion, which was **unanimously carried**. Commissioner Choper asked what the handle on the Arabian race was expected to be. Mr. Ellison stated it would be run as a special event wager instead of being included on the card. Chairman Israel asked if it would be run as the first race. Mr. Ellison stated it would likely be the second or the third race; however, it would be excluded from the multi-leg races, such as the 50 cent Pick 5. Chairman Israel stated it would be easier to explain if it was run as the first race. Commissioner Choper stated he had asked what the expectation for handle was. Mr. Ellison said he did not have a dollar amount, but it would be early in the race card on a Friday. He stated he would report the handle amount on the Arabian race as soon as he knew what it was.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 2066, APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY, TO CHANGE THE TERM OF A MINISATELLITE WAGERING FACILITY LICENSE FROM TWO YEARS TO FIVE YEARS IN ACCORDANCE WITH BUSINESS AND PROFESSIONS CODE SECTION 19605.25 (A).

Commissioner Choper asked if there was an obligation to license a particular satellite for the full five years. Jacqueline Wagner, CHRB staff, stated there was not. Vice-Chairman Winner **motioned** to approve the proposed amendment to CHRB Rule 2066, Application for License to Operate a Minisatellite Wagering Facility, to change the term of a minisatellite wagering facility license from two years to five years in accordance with Business and Professions Code section 19605.25 (a). Commissioner Choper **seconded** the motion, which was **unanimously carried**. Chris Korby, of the California Authority of Racing Fairs, asked if Rule 2066 would affect existing minisatellites. Chairman Israel stated it would apply to existing minisatellites when they applied for license renewal.

DISCUSSION AND ACTION BY THE BOARD REGARDING AN INDUSTRY UPDATE ON THE STATUS OF THE DISCUSSIONS REGARDING THE SOUTHERN CALIFORNIA AUXILIARY OFF-TRACK STABLING ISSUE FOR 2014/2015.

Joe Morris, representing the Thoroughbred Owners of California (TOC), stated there was an agreement between the TOC, the Los Angeles Turf Club (LATC), Del Mar Thoroughbred Club (Del Mar), and Los Alamitos Quarter Horse Racing Association (Los Alamitos). He said the Stabling and Vanning Committee (SVC) unanimously passed a stabling agreement for 2014. Chairman Israel stated the agreement for stabling was for Hollywood Park to stay open through January 31, 2014. He said on February 1, 2014, San Luis Rey Downs (SLRD) would be available to stable up to 495 horses, soon after expanding its availability to 700 horses; Los Alamitos would be available to stable 500 horses, soon after expanding its availability to 700

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horses; and until July 1, 2014 Fairplex would be available to stable up to 500 horses. Chairman Israel stated there was an agreed upon change in the allocation of race dates which now gave Los Alamitos three weeks of thoroughbred racing in December and two weeks in July. Vice-Chairman Winner thanked all of the participants for their efforts in coming into agreement, especially Chairman Israel for all of the extra time spent, and Jack Liebau for the offer to keep Hollywood Park open for one more month for stabling and training. Commissioner Rosenberg asked if the Board was voting to approve the agreement, and where the California Thoroughbred Trainers (CTT) stood on the agreement. Chairman Israel stated the agreement was a contingency to receive race dates; however, it remained an agreement outside of the Board's authority. Jim Cassidy, of the CTT, stated the CTT still had questions with how the off-site stabling applications would be managed, mostly due to CTT being left out of the negotiations. Vice-Chairman Winner stated the CTT was involved in all of the negotiations that included himself or Chairman Israel. Alan Balch, from the CTT, stated that was correct. Commissioner Beneto asked where the horses at Fairplex would go after July 1, 2014. Scott Daruty, representing LATC, stated stabling at Del Mar would be open by then. Mr. Balch stated LATC would not be able to provide the 1,900 stalls it had committed to. Chairman Israel stated if LATC does not fulfill its part of the agreement the use of Fairplex could be extended. Commissioner Rosenberg asked if that was part of the agreement. Chairman Israel stated no, but it was not an option to have horses without stabling, and Fairplex would continue to be available regardless of the agreement. Vice-Chairman Winner stated Fairplex being needed beyond July 1, 2014 had been discussed; however it was not anticipated. Commissioner Rosenberg asked if it was in the written agreement. Mr. Daruty stated it was part of the written agreement that if SLRD was unable to add 300 stalls, LATC would contribute more money to stabling. He said the location for that stabling would be decided at that time; however, Fairplex would be one of the few

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remaining options. Chairman Israel asked when the Los Alamitos track expansion would be complete. Brad McKenzie, of Los Alamitos, stated it should be completed before January 1, 2014. Commissioner Krikorian asked how the need for stabling was determined to be 3,400 horses and how the demand would be monitored. Mr. Morris stated if any facility dropped to under fifty percent capacity the SVC would look to see if it was still needed. Commissioner Krikorian asked if stabling would be costing more going forward than in previous years. Mr. Morris stated it would. He said the SVC fund was currently \$1.3 million in debt and 2014 would run a \$1 million deficit. Mr. Morris said increasing the SVC funding in 2014 and 2015 was being looked into. Vice-Chairman Winner asked if there was a safety issue with 1,900 horses being stabled at Del Mar. Josh Rubenstein, of Del Mar, stated Del Mar safely stabled 1,900 horses in the summer of 2013 and had previously stabled as many as 2,200. Jim Cassidy, representing the CTT, stated that anything higher than 1,900 horses at Del Mar was a safety hazard. Commissioner Krikorian asked what would happen if LATC was unable to provide the 1,900 stalls it had committed to. Chairman Israel stated the agreement was a private agreement between four parties, and as such any one of the other three parties could choose to take LATC to court for damages. He said the Board also could hold LATC accountable by not giving them race dates. Commissioner Rosenberg asked if the Board could be given copies of the agreement with the understanding that if there was a part of it that the Board agreed was objectionable it would be discussed at a later meeting. Chairman Israel stated that was possible. Mr. Morris stated the Board would get copies of the agreement; however, he still wanted to move ahead with approving the agreement. The Board approved the plan as outlined pending a review of the agreement. Final action will be taken at the October 24, 2013 Regular Board Meeting.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM LOS ALAMITOS RACING ASSOCIATION FOR ALLOCATION OF THOROUGHBRED RACE DATES FOR THE 2014 AND 2015 RACING YEAR.

Brad McKenzie, representing Los Alamitos Quarter Horse Racing (Los Alamitos), stated Los Alamitos was excited to be before the Board requesting thoroughbred race dates. He said it was an opportunity to bring top class racing to Orange County, opening up an entirely new market, and bringing new fans into the sport of horseracing. He stated the Los Alamitos satellite was the highest producing satellite in the state with a handle of over \$104 million. Mr. McKenzie said Los Alamitos planned on running first class thoroughbred racing, reminding the Board that Los Alamitos already ran the three richest annual races in California. Chairman Israel asked what a typical Los Alamitos thoroughbred race day would look like; and if the racing would be run under the auspices of the Thoroughbred Owners of California (TOC), not the Pacific Coast Quarter Horse Association (PCQHA). Mr. McKenzie stated it would be straight thoroughbred daytime races, Thursday through Sunday, followed by the regular nighttime quarter horse program on Friday through Sunday. He said the thoroughbred racing would be run under the auspices of the TOC just like any other thoroughbred meet in California. Chairman Israel asked at what level the claiming races would be run. Mr. McKenzie stated the level would be negotiated with the TOC, but most likely it would be at \$20,000, with maybe \$15,000 on maidens. Chairman Israel asked if Los Alamitos would run similar graded stakes to those going away with the Hollywood Park closure. Mr. McKenzie said Los Alamitos intended to pick up as many of the Hollywood Park graded stakes as it could and it was meeting with Tom Robbins, from Del Mar, who was involved with the graded stakes committee. Commissioner Beneto stated Los Alamitos should not drop the level on the maiden claiming races as it was an invitation for a lower class of horse. Mr. McKenzie said the level would depend on the horses coming in, and the desire of the TOC. Commissioner Choper stated the northern California

tracks should also be considered. Mr. McKenzie agreed. Commissioner Choper asked if Los Alamitos expected the audience for its thoroughbred racing to be the same as its quarter horse racing. Mr. McKenzie said it would be mixed, and would be similar to the level of fans that came for the thoroughbred satellite racing and stayed for the live quarter horse racing. Commissioner Rosenberg asked if Los Alamitos would continue its regular nighttime thoroughbred racing during the daytime thoroughbred race meetings. Mr. McKenzie stated Los Alamitos would continue to run its regular nighttime meet, which included some thoroughbred racing with limited distances; however, those were a different class of thoroughbreds with claims never over \$5,000. He said there would be an attempt to change legislation governing the quarter horse meeting allowing for longer thoroughbred race distances, but the \$5,000 limit on claims would remain. Chairman Israel asked what distance would be run during the thoroughbred daytime race meeting. Mr. McKenzie stated up to a mile and a quarter. Commissioner Rosenberg asked what the training schedule looked like. Mr. McKenzie said Los Alamitos was negotiating with the California Thoroughbred Trainers (CTT) to find a schedule that worked for everyone. Commissioner Rosenberg asked how many quarter horses were currently stabled at Los Alamitos. Mr. McKenzie stated around 1,000 horses. He said unlike thoroughbreds which trained daily, quarter horses only trained twice a week and this greatly lowered the demand for training hours. Commissioner Choper stated fairs would be concurrently racing during some of the five weeks of thoroughbred racing allocated to Los Alamitos and should be consulted about claiming price levels. Mr. McKenzie stated these decisions would be made in negotiations with the TOC which consulted with the fairs over claim levels and other such issues. Commissioner Krikorian asked if both HRTV and TVG would be simulcasting the thoroughbred race meets, or if TVG currently had an exclusive deal. Mr. McKenzie stated yes. Jim Cassidy, representing the CTT, stated he had been told by Los Alamitos that during the

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thoroughbred race meets there would be no racing at night to interfere with the thoroughbreds getting rest. Mr. McKenzie stated that Mr. Cassidy and Alan Balch visited Los Alamitos and were shown how the speakers would be turned off in the thoroughbred side of the barn during night racing. Chairman Israel stated this was no different than the noise from fairs or concerts happen during the night at race meets; solutions would have to be devised if there was a problem. Commissioner Rosenberg asked if the thoroughbreds that race at night would be stabled with the quarter horses or the thoroughbreds that raced during the day. Mr. McKenzie said the separation would be between the horses racing days and the horses racing nights, but if thoroughbred horses dropped from the day card to the night races, or moved up from nights to days, there could be some cross over. Commissioner Krikorian asked if the CTT was consulted in the design of the new track. Mr. McKenzie stated the new track was being designed by Bob Parsky, Dennis Moore and Dr. Mick Peterson; Mr. Balch and Mr. Cassidy were the first to see the initial design. Mr. McKenzie said the plan was to get input from other outsiders including jockeys before finalizing the design. Vice-Chairman Winner stated that Mr. McKenzie had always been a strong advocate for track safety. Chairman Israel **motioned** to approve the request from Los Alamitos Racing Association for allocation of thoroughbred race dates; for 2014: July 3 through July 13, and December 4 through December 21; for 2015: July 2 through July 12, and December 3, through December, subject to the chaptering of Assembly Bill 1074*. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**. [*Note: on October 1, 2013 Assembly Bill 1074 was chaptered by Secretary of State - Chapter 428, Statutes of 2013]

DISCUSSION AND ACTION BY THE BOARD REGARDING AN INDUSTRY REPORT ON THE ISSUES RELATED TO CONDITIONS PLACED ON THE ALLOCATION OF THE 2014/2015 SOUTHERN CALIFORNIA RACING CALENDAR AND THE BOARD'S RATIFICATION OF THE CALENDAR.

The Board discussed the changes in the agreed upon allocated race dates for the 2014 and 2015 seasons. Commissioner Rosenberg asked if the four conditions for the allocation of dates were met. Chairman Israel stated the Stabling and Vanning Committee had come to an agreement, which was one of the four contingencies. Vice-Chairman Winner said the second contingency point, for Santa Anita to inform the Board on its plans for backstretch improvements, was covered by a letter from Keith Brackpool, of Santa Anita, to the horsemen and horsewomen describing the current improvements. Chairman Israel stated the letter also described Santa Anita's long term plans for improvement. Scott Daruty, of Santa Anita, stated Santa Anita was hiring a team of maintenance workers that would be available for quick response to any reported issues with the backstretch area. He said Santa Anita was still involved in discussions with the Regional Water Quality Control Board which could potentially result in the relocation of the stables to a different area within the property grounds. Chairman Israel stated on the third point of contingency: there was agreement to use the industry fund for stabling and vanning for the 2014 and 2015 seasons, and to fund a more permanent solution which was yet to be defined. He said the fourth point of contingency, a TVG HRTV signal sharing agreement, had gotten nowhere. He believed the Board would need to revisit this point when hearing advance deposit wagering (ADW) license renewals. Vice-Chairman Winner stated he thought there was agreement to further discuss signal sharing. Mr. Daruty stated Santa Anita and Golden Gate Fields (GGF) were offering for 2014 to continue to allow TVG to televise their meets without paying any fees over and above the normal rates. Commissioner Choper asked if that would be continued in 2015. Mr. Daruty said he did not anticipate a change; however, 2015 was a long

way off. John Hindman, representing TVG, stated Mr. Stronach's position was to discontinue the limitation on the ADW provider TV fees, which translated to a significant cost to TVG. Chairman Israel said the difference was between one and two percent. He asked if there was consideration to compromise and go with one and one half percent. Mr. Daruty stated he and Mr. Hindman had met, but did not reach an agreement. Commissioner Krikorian asked what the economic difference was between sharing and not sharing the signal. Joe Morris, of the Thoroughbred Owners of California (TOC), stated the GGF ADW handle was 40 percent higher with a shared signal. Chairman Israel said the Board's best leverage on this issue would be when the ADW licenses came up for renewal in November. Mr. Daruty said he agreed that having all tracks on all TV platforms was good for racing; however, in the end it was an economic decision for the businesses involved. He said before the Board forced a decision on those businesses, it would be best if it made sure it understood the entire landscape involved. Commissioner Choper suggested an arbitrator or arbitration board be used. Vice-Chairman Winner stated hopefully the parties could negotiate a deal before November. Chairman Israel said it would be nice if the TOC would also get involved because the end result influenced purses. He **motioned** to approve allocation of the Southern California 2014 racing calendar as follows:

The 2014 racing calendar would begin at Santa Anita Park (December 26, 2013, through July 2, 2014), followed by Los Alamitos (July 3 through July 13), Del Mar (July 16 through September 3), Fairplex (September 4 through September 23), Santa Anita (September 25 through November 2), Del Mar (November 5 through December 3), and finally Los Alamitos (December 4 through December 21).

Vice-Chairman Winner **seconded** the motion, which was **unanimously carried**. Vice-Chairman Winner **motioned** to approve allocation of the Southern California 2015 racing calendar as follows:

The 2015 racing calendar would begin at Santa Anita Park (December 26, 2014, through July 1, 2015), followed by Los Alamitos (July 2 through July 12), Del Mar (July 15 through September 7), Fairplex (September 10 through September 25), Santa Anita (September 26 through October 25), Del Mar (October 28 through December 2), concluding at Los Alamitos (December 3 through December 20).

Commissioner Choper **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF THE NORTHERN CALIFORNIA 2014/2015 RACING CALENDAR AND RELATED ISSUES FOR 2014/2015.

Commissioner Beneto stated a goal of the negotiations was to give the California Exposition State Fair (Cal Expo) one more week of racing. He said to do this the plan was for the Sonoma County Fair (Santa Rosa) to run a week later and for Golden Gate Fields (GGF) to give up a week of racing. Scott Daruty, representing GGF, stated a solution that did not involve GGF giving up a week of racing would be preferred. Tawny Tesconi, representing Santa Rosa, said Santa Rosa would be opposed to running later than August 11, 2014 because school would be starting shortly thereafter. She said Santa Rosa had also previously tried running the race meet on different days than the fair and it did not work well. Commissioner Beneto stated he spoke with Debbie from Helms, Santa Rosa's carnival provider, who said the move would probably be better for its schedule. Ms. Tesconi stated that she would prefer to be the one to discuss this with her carnival provider, but either way she was not authorized to discuss moving the fair dates; that was up to the Sonoma County Fair Board. Chairman Israel stated Governor Brown would prefer Cal Expo had three weeks of racing concurrent with its fair. Ms. Tesconi stated Cal Expo could move its fair a week earlier. Commissioner Beneto said then it would interfere with the Alameda

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County Fair (Alameda). Jim Morgan, of Humboldt County Fair, stated Commissioner Beneto's suggested changes wiped out the Humboldt race dates agreed to at the previous California Authority of Racing Fairs (CARF) meeting. He said Humboldt also had an issue with school starting and needed to end its fair by August 24. Mr. Morgan suggested Cal Expo move its fair back to late August and early September. Commissioner Beneto said that would be his preference as well, but it was never going to happen. Chairman Israel stated the solution might be to start the fairs sooner in June to allow for three weeks at Cal Expo and finish the fairs in August. Commissioner Choper asked if Santa Rosa or GGF participated in CARF meetings. Ms. Tesconi stated Santa Rosa attended the meetings but did not participate. Cal Rainey, of GGF, stated GGF did not attend. Commissioner Choper said Santa Rosa and GGF should start attending so that proposals like Commissioner Beneto's were not a surprise. Chairman Israel stated Commissioner Beneto would head an ad-hoc committee with Commissioner Choper to negotiate an agreement between CARF, Santa Rosa, and GGF. Ms. Tesconi asked if the Thoroughbred Owners of California (TOC) would be involved. Joe Morris, of the TOC, stated the TOC would like to be involved and hoped the California Thoroughbred Trainers (CTT) would also be invited. Chairman Israel agreed the TOC and CTT should be in the discussion. Mr. Morris said the TOC would like to see the calendar remain the same so that GGF could operate within its traditional race dates. Commissioner Beneto stated some of the GGF race dates, up until three years ago, were traditional fair race dates. Chairman Israel said GGF racing was very important to the economy of Northern California racing, where as the 2013 Humboldt race meeting had difficulty attracting enough horses and jockeys to run its races. He said economic viability needed to be a part of the consideration when deciding on the calendar. Commissioner Rosenberg stated there was a letter from Humboldt alleging that GGF had not lived up to some of its agreements; specifically, the types of claiming races it would run during

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the Humboldt Fair. Mr. Morgan stated GGF made a commitment to not write races below \$5,000, and then it did. Chairman Israel asked if a copy of this letter was given to GGF. Mr. Morgan stated GGF did not get a copy of the letter; however, Humboldt had previously contacted both Mr. Morris and Mr. Rainey with this complaint. He said GGF's decision to write races below \$5,000 during every day of the overlap with Humboldt was an important factor in Humboldt not having the horse population it needed to run its fair. Commissioner Choper stated this was an issue that could be taken up with the newly formed committee. Mr. Daruty asked if the Board decided to delay the allocation on the contested race dates, could it separately approve the early non-contested dates for GGF so that it could start planning its race meet. Chairman Israel **motioned** to approve the allocation of a section of the Northern California racing calendar, December 26, 2013 through June 15, 2014, to GGF. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Chairman Israel stated even if GGF made promises on the record, what mattered was what the race dates were made contingent upon in the motion voted on by the Board. Commissioner Rosenberg stated Humboldt was not looking to solve what happened in 2013. He said Humboldt was bringing this to the Board so the Board could determine if GGF prevented Humboldt from being economically viable and to find a solution for future race meets. Richard Castro, from Pari-Mutuel Employees Guild Local 280, said it was important to the employees to not split the race week with non race days, especially at the fairs where employees traveled long distances to get to work. Sherwood Chillingworth, of Oak Tree Racing, stated it planned on moving to Northern California and thought the Alameda Fairgrounds would be the best location. He said if GGF closed, Oak Tree would be ready to take a leading role in Northern California racing. Chairman Israel stated Oak Tree should also come to the ad-hoc meeting for Northern California race dates.

REPORT FROM THE MINISATELLITE WAGERING TASK FORCE COMMITTEE.

Commissioner Krikorian stated the law allowing for 45 minisatellite facilities was passed in 2007 and yet six years later there were only five operating, with two more set to open for business. He said Chris Korby, of the California Authority of Racing Fairs, described to the Minisatellite Wagering Task Force Committee (Committee) the desire of most wagering facilities to retain the 20 mile radius rule; however, many of the facilities were willing to waive the rule outright, under the right conditions, or negotiate a revenue sharing deal. Mr. Korby told the Committee he would provide it with a report on the negative impact of opening a new facility within the 20 mile radius. Commissioner Krikorian said the Committee discussed a customer survey completed at the Orange County Tavern which indicated the customers increased their monthly attendance at a race track or wagering facility from one to three times a month before the Orange County Tavern opened, to over ten times a month after it opened. He said The Thoroughbred Owners of California was gathering data on attendance and return of investment at the minisatellite facilities, believing that the resulting report would create new interest among potential operators. Commissioner Krikorian said the Committee discussed the pending opening of Sammy's of Lake Forrest (Sammy's). Brad McKinzie, a partner in Sammy's, told the Committee there was little commitment from industry stakeholders to get behind minisatellites. Commissioner Krikorian stated the track hosts needed to accept the two to three percent going to minisatellites as an effective way to increase handle. The Southern California Off-Track Wagering Inc. (SCOTWINC) told the Committee it was providing an additional one and a quarter percent for new minisatellites and wished to provide the same to already existing ones. Commissioner Krikorian said Sportech was continuing its negotiations, seeking an additional one percent of the handle to justify its proposed investment into minisatellite facilities. He said the Committee also discussed the issues involved with local government approval for opening

minisatellite facilities. Rick Baedeker, of SCOTWINC, told the Committee that some cities welcomed new facilities while others delayed or completely opposed them from opening. Commissioner Krikorian stated Mr. Baedeker gave a presentation to the Committee showing how it was true that satellite facilities also received only two percent of the handle; however, unlike minisatellites, they also received an additional one and a quarter percent from advanced deposit wagering. The Committee discussed minisatellite labor costs and SCOTWINC staffing, including SCOTWINC's reliance on race track pari-mutuel managers in the absence of a SCOTWINC general manager. Commissioner Krikorian believed this needed to be addressed so that SCOTWINC had an employee responsible for visiting minisatellite facilities and fielding concerns. John Bucalo, of Barona Casino, stated the 20 mile radius rule was essential to prevent the cannibalization of customers, and only required customers to travel a maximum of ten miles to reach the nearest wagering facility. Commissioner Beneto stated if Barona was getting thousands of customers daily and its satellite was only getting two hundred of those, maybe Barona shouldn't have a satellite wagering facility. Mr. Baedeker stated the 20 mile radius rule was preventing potential minisatellite facilities from opening, and these facilities were what the industry needed. Commissioner Rosenberg asked Mr. Baedeker to elaborate on why the Tilted Kilt could not open a minisatellite facility. Mr. Baedeker stated the San Diego Padres put pressure on Tilted Kilt's landlord to prevent the facility, citing CC&R's that prevented casino gambling. The CC&R's were written before minisatellite wagering facilities were legal so the landlord argued that the CC&R's intent would also preclude a minisatellite facility. Joe Morris, representing SCOTWINC, stated negotiations with Sportech were going well and it was possible an agreement would be made within a week, allowing for the Sportech proposal to go forward. He said Sportech was also working with the Northern California Off-Track Wagering Inc. (NOTWINC) and planned on opening three minisatellites in the San Francisco area.

Commissioner Choper stated that the City of San Francisco did not want minisatellite facilities. Mr. Morris stated the three sites had already passed through local approval. He said SCOTWINC had recently passed a resolution defining its commitment to establishing new satellite wagering facilities, including minisatellites, as well as the upgrading of existing satellite and minisatellite wagering facilities. Commissioner Choper stated the resolution countered Mr. Bucalo's fear of industry cannibalization. He said action needed to be taken to make the resolution known to all of the satellite and minisatellite facilities. Commissioner Rosenberg stated the extra one percent defined in the resolution evened the playing field for minisatellites, as the other satellite facilities got the extra one and a half percent from ADW. Mr. Korby stated CARF embraced the resolution; he thanked the Committee for its work in moving the industry in the right direction.

REPORT FROM THE MEDICATION AND TRACK SAFETY COMMITTEE.

Commissioner Derek stated the Medication and Track Safety Committee (Committee) discussed Rule 1658, Vesting of Title to Claimed Horse. She said the Committee had been continuously monitoring the effect of the recent amendment to this rule to determine if additional changes were needed. Dr. Rick Arthur, CHRB Equine Medical Director, informed the Committee that only five percent of the claims had been voided, and 56 percent of the horses determined to be unsound, since the amendment to Rule 1658 had gone into effect, had not raced again. Commissioner Derek stated the California Thoroughbred Trainers requested that the Committee consider adding significant bleeding from the nostrils to the list of reasons to void a claim. She said another proposal was discussed that would require horses found to be lame to be kept on the Veterinarians List for a minimum of ten days and then longer periods for the second, third, and

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fourth incidents. Commissioner Derek stated representatives from Watch and Wager requested the Committee exclude harness racing from such a rule, to which there was no objection. The Committee discussed preventing horses receiving shockwave therapy from working for a minimum of 72 hours. She said the Committee was also working with owners and veterinarians on the issue of submitting veterinary records to the postmortem program. A decision was made to form an ad-hoc committee to look into the alternatives, including costs, for backstretch security. Commissioner Derek stated the Committee lastly recommended a regulation to prevent cloned horses from racing in California. She commended the Del Mar Thoroughbred Club (Del Mar) for running a safe meet with only two fatalities, which was way down from previous years. Vice-Chairman Winner stated claims were up at Del Mar which may be due to the new claiming rule. He said there had been a number of trainers who at first opposed the rule change and now supported it after seeing how it worked. Commissioner Rosenberg asked for clarification on the timeline for the 56 percent of horses found unsound that had not raced again. Dr. Arthur stated the sample was taken from May 16, 2013 to June 30, 2013 and had 70 to 100 days to return to racing. Vice-Chairman Winner stated there was also a percentage that returned to race only to be put back on the Veterinarian's List. Commissioner Krikorian asked if the Committee was recommending the addition of significant bleeding from the nostrils to be added to reasons to void a claim. Vice-Chairman Winner stated the Committee only recommended it to be an item for discussion and action by the Board. Alan Balch, from the CTT, stated the CTT wasn't recommending the change either but raised the question to the Committee on behalf of some trainers who saw it as an inconsistency within the policy for voiding claims. He said the CTT would be content with whatever the Committee or the Board decided on this matter.

REPORT FROM THE JOCKEY AND DRIVER WELFARE COMMITTEE.

Vice-Chairman Winner stated the Jockey and Driver Welfare Committee (Committee) discussed two items, and recommended them both for Board discussion and action. He said the first was an update on the development of proposed Rule 1500.2, Daily Drug Testing of Racing Participants. Vice-Chairman Winner stated the rule would provide for similar testing, already done on jockeys, to be done on exercise riders, racing officials, trainers, outriders, and gate crew. Commissioner Derek said it also included testing for alcohol. Vice-Chairman Winner stated the second item discussed by the Committee was the addition of proposed Rule 1498.1, Exercise Rider Physical Examination. This rule would require exercise riders undergo an annual physical examination. He said Brad McKinzie informed the Committee there were 187 workers' compensation claims by exercise riders in 2012, totaling \$8.6 million in judgment. Vice-Chairman Winner stated both of the proposed rules discussed by the Committee would improve the safety for the exercise riders and in doing so save the owners the expense of the workers' compensation claims.

MEETING ADJOURNED AT 1:27 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

OCTOBER 24, 2013
REGULAR BOARD MEETING

There is no board package material for Item 2

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF
LOS ANGELES TURF CLUB IN THE
AMOUNT OF \$129,734 TO 14 BENEFICIARIES

Regular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds therefrom to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

ANALYSIS

Los Angeles Turf Club (LATC) is requesting approval to distribute race day charity proceeds generated at its 2012-2013 winter meet from December 26, 2012 through April 21, 2013. From the net race day charity proceeds LATC is proposing a total distribution of \$129,734. The organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proceeds will be given to 14 horse racing related organizations.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

Los Angeles Turf Club, IncorporatedDistribution of Charity Days Proceeds2012-2013 Winter Meet (December 26, 2012-April 21, 2013)

<u>I. Horse Related Charities – BP 19556(b) (20% minimum)</u>	<u>Amounts</u>	<u>Subtotals</u>
California Equine Retirement Foundation, Inc.	\$ 1,500	
California Thoroughbred Foundation	1,500	
California Thoroughbred Horsemen's Foundation	7,500	
CARMA	1,500	
Edwin Gregson Foundation	45,000	
Holy Angels Church (Backside Permanent Deacon)	1,000	
Race Track Chaplaincy of America (Southern California Council)	1,234	
Southern California Equine Foundation, Inc.	1,500	
Tranquility Farm	1,500	
United Pegasus Foundation	1,500	
Winners Foundation	15,000	\$ 78,734
<u>II. Welfare Fund for Backside Personnel – BP 19641(b) (5% minimum)</u>		
California Thoroughbred Horsemen's Foundation	10,000	10,000
<u>III. Fund for Substance or Alcohol Abuse – BP 19556(b) (5% minimum)</u>		
Winners Foundation	15,000	15,000
<u>IV. Disabled Jockeys Fund – BP 19556(c) (20% minimum)</u>		
Permanently Disabled Jockeys' Fund	26,000	26,000
Total		<u>\$129,734</u>

ADDEMDUM TO LOS ANGELES TURF CLUB, INCORPORATED
REQUEST FOR AUTHORITY TO DISTRIBUTE
2012-2013 CHARITY DAYS PROCEEDS

California Equine Retirement Foundation, Inc. – CERF is an organization that has developed into a full-care ranch to provide a full range of services to former race horses in need of rehabilitation toward retirement or placement in to a new career. CERF maintains 75 plus horses ranging in age from one year to 35 years, each having specific needs to insure proper health and optimal fitness. Each horse has its own paddock where appropriate portions are placed in feeders in the morning and again in the late afternoon. During the day hay is placed in outside feeders for grazing while the horses are turned out. Periodic re-evaluations are made to determine if any changes are needed in any individual's dietary regime. This program provides horses with proper nutrition to maintain health, fitness and longevity.

California Thoroughbred Foundation – CTF is a 503(c)(3) nonprofit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at UC, Davis and maintenance of the Carleton F. Burke Memorial Library.

California Thoroughbred Horsemen's Foundation – CTHF is a 501(c)(3) nonprofit foundation that provides assistance to the low income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and CHRB recognized off-track training centers. The organization maintains three clinics throughout the state which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

CARMA – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist Thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for the rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

Edwin Gregson Foundation – A nonprofit charitable foundation organized for the purpose of improving the lives of backstretch workers and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including "English as a second language," bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers and their children, including trips to Magic Mountain in Valencia, California, Raging Waters, Galaxy soccer games, Dodger baseball games and excursions to the Long Beach Aquarium. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

Holy Angels Church (Backside Permanent Deacon) – Holy Angels Church is a Catholic Church across the street from Santa Anita Park. A deacon from the church performs a weekly Mass in the recreation room at the track on Monday nights for the workers on the backside. He walks down the shed rows daily in the morning and assists the workers in an endless variety of ways. Examples of the assistance provided include counseling, organizing religious and recreational activities, the collection and distribution of clothing, help with Medicare, Medi-Cal and immigration forms, officiating at holiday gatherings at Santa Anita, etc.

Racetrack Chaplaincy of America (Southern California Council) – The stated mission of the organization is "The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry."

Southern California Equine Foundation, Inc. – SCEF is a nonprofit charitable organization providing hospital and equine ambulance services on the Southern California Thoroughbred racing circuit. Commonly known as the Equine Hospital, SCEF has a long history of service to the racing industry and the equine athlete. This is an organization "dedicated to the care of the injured horse."

Tranquility Farm – This organization is a 501(c)(3) charity whose principal activity is to accept the donation of Thoroughbred horses from the race tracks and breeding farms and whose goal is to give such horses the best opportunity to find an adoptive home. For those Thoroughbreds that are not readily adoptable, the organization offers comfortable retirement wherever possible, giving priority to horses that were significant competitors or producers. Tranquility Farm requests, but does not require, that owners contribute sponsorship to help defray the costs of rehabilitation and retraining. No horse is denied acceptance at Tranquility Farm based upon its owner's financial contribution.

United Pegasus Foundation – This is a 501(c)(3) charitable organization that aids the rescue of superannuated Thoroughbreds and provides care for such animals at its farm in Tehachapi, California. On occasion the organization purchases older horses at auction and acquires other Thoroughbreds at the request of owners and trainers. The retired Thoroughbreds are maintained in pleasant quarters and peaceful surroundings to live out the balance of their lives. This organization depends entirely upon donations for the purchase of food and other amenities required to maintain the Thoroughbreds.

Winners Foundation – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race tracks who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch workers, as well as all employees of Del Mar, Fairplex, Golden Gate Fields, Hollywood Park, Oak Tree, Santa Anita and the California Association of Racing Fairs.

Permanently Disabled Jockeys' Fund – This is a statutorily mandated distribution per Business and Professions Code Section 19556 (c). It provides support to disabled jockeys, as its name implies.

CHRB Analysis
Los Angeles Turf Club 2012-2013 Charity Day Proceeds

Nonprofit Organization	Background	Amount	Proposed Disbursement Percentage	Percentage Required by the Horse Racing Law (from the 2/10 of 1%)	Notes
Disabled Jockeys Fund	See Addendum to LATC Request	26,000	20%	minimum of 20%	d
Race Track Chaplaincy of America		1,234	1%		a
Southern California Equine Foundation		1,500	1%		a
Winners Foundation		15,000	12%		a
Edwin J. Gregson Foundation		45,000	35%		a
California Thoroughbred Foundation		1,500	1%		a
California Thoroughbred Horsemen's Foundation		7,500	6%		a
CARMA		1,500	1%		a
Welfare Fund for Backside Personnel- California Thoroughbred Horsemen's Foundation		10,000	8%	minimum of 5%	b
Fund for Substance Abuse- Winners Foundation		15,000	12%	minimum of 5%	c
Holy Angels Church (Backside Permanent Deacon)		1,000	1%		a
California Equine Retirement Foundation		1,500	1%		a
United Pegasus Foundation		1,500	1%		a
Traquility Farm		1,500	1%		a
Total to Equine Related Charities		129,734	100%	minimum of 50%	
Total to 19 charities		129,734			

Notes:

a		20% to charities associated with the horse racing industry (BP 19556 (b))
b		5% to welfare fund for backstretch personnel (BP 19556 (b))
c		5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(BP 19556 (b))
d		20% to nonprofit organization that benefits qualified disabled jockeys (BP 19556 (c) (1))
e		overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.
&		Other qualified charities

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF
LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
AMOUNT OF \$36,266 TO FIVE BENEFICIARIES

Regular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds therefrom to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

ANALYSIS

Los Alamitos Quarter Horse Racing Association (LAQHRA) is requesting approval to distribute race day charity proceeds generated at its 2012 meet from December 26, 2011 through December 24, 2012. From the net race day charity proceeds, LAQHRA is proposing a total distribution of \$36,266. The organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proceeds will be given to five horse racing related organizations.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Los Alamitos
 QUARTER HORSE RACING ASSOCIATION

September 27, 2013

Ms. Jackie Wagner
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

Dear Ms. Wagner:

The statutory charitable contribution from our 2012 race meet was \$36,266. Accordingly, we respectfully request CHRB approval at the October 24, 2013 regular meeting to make the following distributions:

Permanently Disabled Jockeys Fund	\$ 8,500
California Equine Retirement Foundation	8,500
Quarter Horse Benevolent Charity Foundation	2,500
Winners Foundation	2,500
Race Track Chaplaincy (Los Alamitos)	<u>14,266</u>
Total	<u>\$36,266</u>

The Permanently Disabled Jockey's Fund provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with industry and medical research groups to improve the safety of both the human and equine athlete.

California Equine Retirement Foundation provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.

The Quarter Horse Benevolent Charity Foundation provides welfare, charitable, and educational services to persons who have been or intend to breed, raise, train, ride or care for horses.

The Winners Foundation is a support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.

The Race Track Chaplaincy of America (Los Alamitos Council, Region 1) ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.

All proposed beneficiaries are industry related charities. I would be pleased to discuss any questions or comments the Board may have.

Sincerely,

A handwritten signature in cursive script, appearing to read "Richard M. English".

Richard M. English

CHRB Analysis
Los Alamitos 2012 Charity Day Proceeds

Nonprofit Organization	Background	Amount	Proposed Disbursement Percentage	Percentage Required by the Horse Racing Law (from the 2/10 of 1%)	Notes
Disabled Jockeys Fund	Provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with industry and medical research groups to improve the safety of both the human and equine athlete.	8,500	23%	minimum of 20%	d
Race Track Chaplaincy of America (Los Alamitos Council, Region 1)	Ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.	14,266	39%	minimum of 20%	a
Winners Foundation	A support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.	2,500	7%	minimum of 5%	c
Quarter Horse Benevolent Charity Foundation	Provides welfare, charitable, and educational services to persons who have been or intend to breed, raise, train, ride or care for horses.	2,500	7%	minimum of 5%	b
California Equine Retirement Foundation	Provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.	8,500	23%	minimum of 20%	a
Total to Equine Related Charities		36,266	100%	minimum of 50%	
Total to 5 charities		36,266			

Notes:

a		20% to charities associated with the horse racing industry (BP 19556 (b))
b		5% to welfare fund for backstretch personnel (BP 19556 (b))
c		5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(BP 19556 (b))
d		20% to nonprofit organization that benefits qualified disabled jockeys (BP 19556 (c) (1))
e		overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.
&		Other qualified charities

STAFF ANALYSIS
October 24, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB AT SANTA ANITA DECEMBER 26, 2013 THROUGH JUNE 29, 2014.

Los Angeles Turf Club (LATC) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

- December 26, 2013 through June 29, 2014, or 112 days, 41 days more than 2013. The association proposes to race a total of 955 races, or 8.53 per day. In 2013 LATC raced 616 races with an average of 8.08 runners per race. The LATC application is incomplete the stake schedule, purse program and promotional marketing section(s) of the application are unfinished. CHRB staff spoke with an LATC representative on October 15, 2013, and requested a letter of explanation to address the incomplete race meet application. At the time of the printing of this Board package no letter of explanation had been received from LATC.
- The race dates proposed are the dates the Board allocated.

December - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1 H	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

- Racing 4 days per week, Thursday through Sunday with the exception of, 5 days Tuesday, December 31, 2013; Wednesday, January 1, 2014; Monday(s), January 20, 2014; February 17,

2014 and May 26, 2014. No less than 7 races weekdays; 9 races Opening Day, weekends and January 1, 2014, January 20, February 17 and May 26, 2014, with the option to program 10 races on such days.

- First post 1:00 p.m. all weekdays; 12:30 p.m. weekends and holidays with the following exceptions:
 - Thursday, December 26, 2013 (Opening Day) 12:00 p.m.
 - Sunday, February 2, 2014 (Super Bowl) – 11:00 a.m.
 - Saturday, March 1, 2014 (Santa Anita Handicap) – 12:00 p.m.
 - Saturday, April 5, 2014 (Santa Anita Derby) – 12:00 p.m.
- Wagering program will use CHRB rules and ARCI rules.
 - Early wagering will be 8:30 a.m. to 10:00 a.m. each day.
 - \$2 – Rolling Daily Double beginning on first race.
 - \$1 Trifecta on eligible races
 - \$.10 Superfecta on all eligible races
 - \$2 - Pick 6 on last 6 races – 70% carryover, 30% minor – Alternate runner provision.
 - \$.50 Pick 4 on second race and the last four live races – Alternate runner provision.
 - \$.50 pick 5 starting first race with a 14% takeout. One tier payoff; 100% carryover.
 - \$1 Pentafecta selecting first five finishers in same race – 100% payout on tickets selecting five winners. – No consolation 100% carryover if no ticket has five winners. Super High-5 carded as last race of day.
- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet and Twinspires.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Horsemen's agreement
2. CTT agreement
3. Pertinent contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated ADW providers to accept wagers on races conducted in an outside of California for the applied license term.
4. Horsemen approval of ADW agreements
5. Promotional marketing plan
6. Promotional marketing budget
7. 2014 Vanning and Stabling agreement
8. Stake Schedule
9. Completion of purse program
10. Santa Anita takeout request letter

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club, Inc.**
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 Fax (626) 446-9565
george.haines@santaanita.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Santa Anita Park**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
Attached
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
 Yes X No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **December 26, 2013 through June 29, 2014**
- B. Actual dates racing will be held:
December 26, 27, 28, 29 and 31 (2013)
January 1, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 20, 23, 24, 25, 26, 30 and 31 (2014)
February 1, 2, 6, 7, 8, 9, 13, 14, 15, 16, 17, 20, 21, 22, 23, 27 and 28 (2014)
March 1, 2, 6, 7, 8, 9, 13, 14, 15, 16, 20, 21, 22, 23, 27, 28, 29 and 30 (2014)
April 3, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 25, 26 and 27 (2014)
May 1, 2, 3, 4, 8, 9, 10, 11, 15, 16, 17, 18, 22, 23, 24, 25, 26, 29, 30 and 31 (2014)
June 1, 5, 6, 7, 8, 12, 13, 14, 15, 19, 20, 21, 22, 26, 27, 28 and 29 (2014)
- C. Total number of days or nights of racing: **112 days**
- D. Days or nights of the week races will be held: **Racing Thursdays through Sundays**
Exceptions: Racing Tuesday, December 31, 2013
Wednesday, January 1, 2014

CHRB CERTIFICATION

Application received: 10/8/13
 Deposit received: 10K
 Reviewed: ap

Hearing date: 10/24/13
 Approved date:
 License number:

Monday, January 20, 2014
 Monday, February 17, 2014
 Monday, May 26, 2014

Dark Thursday, January 2, 2014

- E. Number of days or nights of racing per week: 4 days per week
 Exceptions: 5 days Tuesday, December 31, 2013 – Sunday, January 5, 2014
 5 days Monday, January 20 – Sunday, January 26, 2014
 5 days Monday, February 17 – Sunday, February 23, 2014
 5 days Monday, May 26 – Sunday, June 1, 2014

3. RACING PROGRAM

- A. Total number of races: Estimated: 955
- B. Number of races for each day or night: No less than 7 races weekdays;
 Nine races Opening Day, Weekends, January 1, January 20, February 17, and May 26, 2014 with the option to program ten races on such days.
- C. Total number of stakes races: (To Be Determined)
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

2013-2014 Stakes Race Schedule (To Be Determined)

- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	<u>All Weekdays</u>	<u>Weekends & Holidays</u>	<u>Sunday, March 9, 2014</u>
			<u>Every Race Day</u>
Race 1	1:00 p.m.	12:30 p.m.	1:00 p.m.
Race 2	1:30 p.m.	1:00 p.m.	1:30 p.m.
Race 3	2:00 p.m.	1:30 p.m.	2:00 p.m.
Race 4	2:30 p.m.	2:00 p.m.	2:30 p.m.
Race 5	3:00 p.m.	2:30 p.m.	3:00 p.m.
Race 6	3:30 p.m.	3:00 p.m.	3:30 p.m.
Race 7	4:00 p.m.	3:30 p.m.	4:00 p.m.
Race 8	4:30 p.m.	4:00 p.m.	4:30 p.m.
Race 9		4:30 p.m.	5:00 p.m.
Race 10		5:00 p.m.	5:30 p.m.
Race 11		5:30 p.m.	6:00 p.m.
			(When Applicable)
			(When Applicable)

Exceptions:

Thursday, December 26, 2013	Opening Day	12:00 pm
Sunday, February 2, 2014	Super Bowl	11:00 am
Saturday, March 1, 2014	Santa Anita Handicap	12:00 pm
Saturday, April 5, 2014	Santa Anita Derby	12:00 pm

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file with the Board.**
- C. CORPORATION
1. Registered name of the corporation: **Los Angeles Turf Club, Inc.**
 2. State where incorporated: **California**
 3. Registry or file number for the corporation: **#481360**
 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool – Chairman (Officer), 0 Shares
George Haines – President (Officer), 0 Shares
Scott Daruty – Vice President (Officer), 0 Shares
Lyle Strachan – Executive Vice President, Finance (Officer & Director), 0 Shares
Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Gina Lavo – Vice President, Finance (Officer), 0 Shares
 5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
 6. Number of outstanding shares in the corporation: **100 Shares**
 7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada.**
Email: jane.lynn@stronachgroup.com
 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
 - A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
 10. Registered name of the corporation: **Not applicable**
 11. State where incorporated: **Not applicable**
 12. Registry or file number for the corporation: **Not applicable**
 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
 15. Number of outstanding shares in the corporation: **Not applicable**
 16. Are the shares listed for public trading? **N/A** Yes No
If yes, on what exchange and how the stock is listed:
 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
A. Is parent and/or paired entity either a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly it's operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Exhibit F

G. MANAGEMENT AND STAFF

- 1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool – Chairman
George Haines – President and General Manager
Scott Daruty – Vice President
Tom Ludt – Sr. Vice President Racing & Gaming
Lyle Strachan – Executive Vice President, Finance
Mike Rogers, Vice President, Operations
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Gina Lavo – Vice President Finance, Controller
Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting
Candace Coder-Chew – Director of Print and Graphics
Randy Fowler – Director of Food and Beverage
Randy Hartzell – Director of Mutuels
Pete Siberell – Director of Community Service and Special Events
Jason Spetnegal – Director of Facilities
Ken Walker – Director of Labor Relations
Mike Willman – Director of Publicity and Public Relations
Nina Zukin – Director of Information Services
Jay Cohen – Hornblower
Trevor Denman – Public Address Commentator/Announcer

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

George Haines – President and General Manager
Los Angeles Turf Club, Inc.
285 W. Huntington Drive
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
Email: george.haines@santaanita.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

Discussions are currently being held regarding the wagering menu.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen’s organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.



October 3, 2013

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita Winter/Spring Meet 2013-14

Dear Ms. Wagner,

DIRECTORS

MADLINE AUERBACH
BOB BAFFERT
DENNIS CARDOZA
MARK DEDOMENICO
MIKE HARRINGTON
RON ELLIS
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

The Los Angeles Turf Club, Inc. (Santa Anita Park) has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming 2013-14 race meet. The TOC agrees to such a wager based upon the same terms and conditions as it was offered last season.

Please feel free to contact me with any questions.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

Sincerely,

Joe Morris
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: George Haines
Mary Saenz

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2012-2013	\$675,876,049	535,801
2011-2012	\$655,817,799	581,311
2010-2011	\$590,056,282	553,311
2009-2010	\$721,028,713	610,153
2008-2009	\$862,528,655	697,958

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):
2013-2014 purse distribution pending

A. Purse distribution:

1. All races other than stakes:
 - Current meet estimate:
 - Prior meet actual: **\$21,297,940**
 - Average Daily Purse (7 A1 ÷ number of days):
 - Current meet estimate:
 - Prior meet actual: **\$299,971**
2. Overnight stakes:
 - Current meet estimate:
 - Prior meet actual: **\$1,320,000**
 - Average Daily Purse (7 A2 ÷ number of days):
 - Current meet estimate:
 - Prior meet actual: **\$18,592**
3. Non-overnight stakes:
 - Current meet estimate:
 - Prior meet actual: **\$8,487,300**
 - Average Daily Purse (7 A3 ÷ number of days):
 - Current meet estimate:
 - Prior meet actual: **\$119,539**
4. Total Purses: (7A1+7A2+ 7A3)
 - Current meet estimate:
 - Prior meet actual: **\$31,105,240**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 - Current meet estimate:
 - Prior meet actual: **16.5%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:

Prior meet actual: **\$0.23**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:

Prior meet actual: **\$2,505,903**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

	Current meet estimate:	Prior meet actual:
NTRA	\$	\$ 59,418
TOC	\$	\$268,679
CTBL	\$	\$268,679
CTT Pension	\$	\$268,679
CTT Administration	\$ <u> </u>	<u>\$134,340</u>
Total	\$ TBD	Total \$999,795

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:

Prior meet actual: **\$34,610,938**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:

Prior meet actual: **\$487,478**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:

Prior meet actual: **\$15,982,872**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:

Prior meet actual: **\$225,111**

G. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual: **\$16,411,099**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:

Prior meet actual: **\$231,142**

H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo Regional Commercial Bank, West Covina Office, paid through Paymaster of Purses Account # On file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730

Phone: (909) 944-6465

Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,495 December 26, 2013 through June 30, 2014**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
February 1, 2014 – June 30, 2014
- Fairplex – 500
 - Los Alamitos – 500
 - SLR Training Center – 495
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
**SCOTW Off-Site Stabling and Vanning Agreement thru 12/31/2013 on file with the Board.
 2014 agreement will be submitted to the board when finalized.**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- | | |
|---|-----------------------------------|
| December 26, 2013 – January 31, 2014 | \$11.86 per day, per stall |
| February 1, 2014 – June 30, 2014 | \$15.95 per day, per stall |
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- Fairplex **\$180 RT**
 - Los Alamitos **\$180 RT**
 - SLR Training Center **\$360 RT**

9. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS						APPLICABLE RULES
Example Race: \$1 E; \$1 Double						CHRB #1959; RCI #VE
Race #1	\$1E	\$2DD	\$1PK3	\$0.50PNP5	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #2	\$1E	\$2DD	\$1PK3	\$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1979.1, 1976.9
Race #3	\$1E	\$2DD	\$1PK3 \$2PNP6		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #4	\$1E	\$2DD	\$1PK3 \$2PNP6		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #5	\$1E	\$2DD	\$1PK3 \$2PNP6	\$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #6	\$1E	\$2DD	\$1PK3 \$2PNP6	\$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #7	\$1E	\$2DD	\$1PK3	\$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #8	\$1E	\$2DD	\$1PK3 SuperH5	\$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, ARCI-004-105, 1976.9, 1979.1.
*Race #9	\$1E	\$2DD	SuperH5		Superfecta	CHRB #1959, 1957; ARCI-004-105; 1979.1.
*Race #10	\$1E	\$2DD	SuperH5		Superfecta	CHRB #1959, 1957; ARCI-004-105; 1979.1.
*Race #11	\$1E	\$2DD	SuperH5		Superfecta	CHRB #1959, 1957; ARCI-004-105; 1979.1.

(*) When Applicable

- Rolling \$2 Daily Doubles beginning with Race #1. (CHRB 1957)
- \$1 Trifecta on all eligible races. (CHRB 1979)
- \$0.10 Superfecta wagering on all qualifying races. (CHRB 1979.1)
- Incoming simulcasts will follow host track format.
- \$2 PNP6 Last six live races 70% Carryover, 30% Minor. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP4 starting the second race and the last four live races. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP5 starting the first race; minimum wager fifty cents, 14% takeout. One tier payoff; 100% carryover.
- \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 will be carded as last race of the day. Applicable rule: ARCI-004-105-X

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:

Unlimited: Final distribution June 29, 2014

C. List any options requested with regard to exotic wagering: N/A

D. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

Each race day from 8:30 a.m. to 10:00 a.m.

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Sportech (previously Scientific Games, LLC) Expires: September 2015

10. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

XpressBet
Twinspires
TVG

Los Angeles Turf Club has entered into a marketing agreement with XpressBet, LLC to operate a wagering portal accessed through the Santa Anita Park website. Visitors to santaanita.com will observe a banner prompting them to "wager now". Once the banner is accessed the customer will be directed to XpressBet for account information and processing.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **ADW Agreements Pending**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Pending**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Exhibit 11C/F

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

Exhibit 11D/E

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

Exhibit 11D/E

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Exhibit 11 C/F

G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Exhibit 11 G

Amwest Entertainment, KY
 Arapahoe Park, Colorado
 Arima Race Course, Trinidad
 Arlington International Race Course, Illinois
 Assiniboia Downs, Canada
 Atlantic City Casino Association, New Jersey
 Atlantic City Race Course, New Jersey
 Atlantis Resort and Casino, Bahamas
 Avatar Ventures, North Dakota
 Balmoral Park, Illinois
 Bangor Raceway, Maine
 Batavia Downs, New York
 Bet America, North Dakota
 Bettor Racing OTB, South Dakota
 Beulah Park, Ohio
 Birmingham Race Course, Alabama
 Bluffs Run Greyhound, Idaho
 Bordertown OTB, Oklahoma
 Buffalo Trotting Association, New York
 Buffalo Thunder Resort, Oklahoma
 Bwin International, Europe
 Calder Race Course, Florida
 Caliente, Mexico
 Canterbury Park, Minnesota
 Capital District OTB, New York
 Catskill Regional OTB, New York
 Caymanas Race Track, Jamaica
 Charles Town Races, West Virginia
 Chester Downs, Pennsylvania
 Churchill Downs, Kentucky
 Clinton Teletheatre, Canada
 Coeur d' Alene Casino, Idaho
 Colonial Downs, Virginia
 Colonial Downs EZPlay, Virginia
 Columbus Races, Nebraska
 Connecticut OTB, Connecticut
 Corpus Christi Greyhound, Texas
 Coshatta Casino, Louisiana
 Divi Carina Bay Casino, Louisiana
 Delaware Park, Delaware
 Delta Downs, Louisiana
 Dover Downs, Delaware
 Downs at Albuquerque, New Mexico
 Ebet Online, Oregon
 Elite Turf Club, Curacao
 Ellis Park, Kentucky
 Elmira Raceway, Ontario
 Evangeline Downs, Louisiana
 Evangeline Downs ADW, Louisiana
 Evansville OTB, Indiana
 Fair Chance Racing Services, Trinidad
 Fairgrounds Racecourse, Louisiana
 Fair Meadows, Texas
 Fairmount Park, Illinois
 Finger Lakes Race Track, New York
 Finger Lakes ADW, New York
 Flamboro Downs, Ontario
 Fonner Park, Nebraska
 Fort Erie Racetrack, Canada
 Foxwoods Casino, Connecticut
 Fraser Downs, British Columbia
 Freehold Raceway, New Jersey
 Georgian Downs, Canada
 Gillespie County Downs, Texas
 Greenetrack, Alabama
 Gulf Greyhound, Texas
 Gulfstream Park, Florida
 Global Wagering Solutions, Europe
 Hanover Raceway, Canada
 Harrington Raceway, Delaware
 Hastings Park, Canada
 Hawthorne Race Course, Illinois
 Hazel Park, Michigan
 Hiawatha Downs, Ontario
 Hipica de Panama, Panama
 Hipodromo Camarero, Puerto Rico
 Ho-Chunk Casino, Connecticut
 Hoosier Park, Indiana
 Horsemen's Park, Nebraska
 Indiana Downs, Indiana
 International Entertainment Turf, Colombia
 John Martins Manor, Maine
 Kawartha Downs, Ontario
 Keeneland, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky
 Laurel Race Course, Maryland
 Lebanon Trotting Club, Ohio
 Les Bois Park, Idaho
 Lewiston Raceway, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Marquis Downs, Saskatchewan
 Maywood Park, Illinois
 Meadowlands, New Jersey
 Meskwaki Casino, Oklahoma
 Millenium Racing, Trinidad

Millers OTB, Maine
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monticello Raceway, New York
 Mountaineer Park, West Virginia
 Mt. Pleasant Meadows, Michigan
 Nassau Regional OTB
 Nebraska State Fair (Lincoln), Nebraska
 Nevada Disseminator Services, Nevada
 Nevada Pari-Mutuel Associaton, Nevada
 New Jersey Account Wagering, New Jersey
 New York Racing Association, New York
 New York Racing Association ADW, New York
 Newport Jai Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Northwest Racing Associates (Emerald), WA
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting, North Dakota
 Oneida Bingo and Casino, Wisconsin
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 PARX (Philly Park), Pennsylvania
 PARX ADW, Pennsylvania
 Penn National Race Course, Pennsylvania
 Penn National ADW, Pennsylvania
 Potowatomi Casino, Wisconsin
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Plainridge Race Course, Massachusetts
 Player Management Group, Oregon
 Pocono Downs, Pennsylvania
 Pocono Downs ADW, Pennsylvania
 Portland Meadows, Oregon
 Prairie Meadows, Iowa
 Premier Gateway International, South Africa
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Raceway Park, Ohio
 Racing2Day, North Dakota
 Racing and Gaming Services, St. Kitts
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carlton, Canada
 Riders Up OTB, South Dakota
 River Downs, Ohio
 Rockingham Park, New Hampshire
 Rockingham Park ADW, New Hampshire
 Rocky Mountain Turf Club, Canada
 Royal Beach Casino, St. Kitts
 Royal Britannia Hub, Canada
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, Minnesota
 Sam Houston Park, Texas
 Sandy Downs, Idaho
 Saratoga Raceway, New York
 Saratogabets, New York
 Scarborough Downs, Maine
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhound, Connecticut
 Skydancer Casino, South Dakota
 Sol Mutuel, St. George's, Grenada
 Southland Greyhound Park, Arkansas
 Sports Center, South Dakota
 Sudbury Downs, Canada
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida
 Taunton Greyhound, NH
 TBC Teletheatre, British Columbia
 The Meadows, Pennsylvania
 Thistledown, Ohio
 Thunder Ridge, Kentucky
 Tioga Downs, New York
 Tote Investment Services, Trinidad
 Tri-State (Mardi Gras) GH, West Virginia
 Truro Raceway, Canada
 Turf de Venezuela, Venezuela
 TVG, Los Angeles
 TVG Chester, Pennsylvania
 TVG Prairie Meadows, Iowa
 Turf Paradise, Arizona
 Turfway Park, Kentucky
 Twin Spires, Oregon
 Twin Spires Mountain View, Oregon
 Valley Greyhound, Texas
 Velocity Wagering Limited, Isle of Man
 Vernon Downs, New York
 Western Fair, Ontario
 Western Regional OTB, New York
 Wheeling Downs, Canada
 Will Rogers Downs, Oklahoma
 Windsor Raceway, Ontario
 Woodbine Entertainment Group, Ontario
 Woodbine HPI, Ontario
 Wyoming OTB, Wyoming
 XpressBet, Oregon
 Yavapai Downs, Arizona
 Yonkers Raceway, New York
 Yonkers ADW, New York
 Zia Park, New Mexico

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Barona Resort & Casino, Lakeside
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park, Inglewood
Humboldt County Fair, Ferndale
Kern County Fair, Bakersfield
Los Alamitos Race Course, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Santa Anita Park, Arcadia
Shalimar Sports Center, Indio
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion, The Farmers Fair, Lake Perris
Stanislaus County Fair, Turlock
Sycuan Resort & Casino, El Cajon
The Jockey Club, San Mateo
Tulare County Fair, Tulare
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

Mini-Satellites

Bankers Casino, Salinas
Commerce Casino, Commerce
OC Tavern Grill, San Clemente
Original Roadhouse Grill, Santa Maria
Sammy's, Lake Forest (opening Fall, 2013)
Santa Clarita Lanes, Santa Clarita
The Mermaid Tavern, Thousand Oaks

2013-14 Import Tracks

Track	Full or Partial Cards and Stakes
Arlington	December 26, 2013 - June 22, 2014
Assiniboia	December 26, 2013 - June 22, 2014
Australia Racing	December 26, 2013 - June 22, 2014
Beulah	December 26, 2013 - June 22, 2014
Calder	December 26, 2013 - June 22, 2014
Canterbury	December 26, 2013 - June 22, 2014
Churchill Downs	December 26, 2013 - June 22, 2014
Delaware Park	December 26, 2013 - June 22, 2014
Delta Downs	December 26, 2013 - June 22, 2014
Dubai	December 26, 2013 - June 22, 2014
Ellis Park	December 26, 2013 - June 22, 2014
Emerald	December 26, 2013 - June 22, 2014
Evangeline	December 26, 2013 - June 22, 2014
Fairgrounds	December 26, 2013 - June 22, 2014
Fort Erie	December 26, 2013 - June 22, 2014
Gulfstream	December 26, 2013 - June 22, 2014
Hastings Park	December 26, 2013 - June 22, 2014
Hawthorne	December 26, 2013 - June 22, 2014
Indiana Downs	December 26, 2013 - June 22, 2014
Keeneland	December 26, 2013 - June 22, 2014
Laurel Race Course	December 26, 2013 - June 22, 2014
Lone Star	December 26, 2013 - June 22, 2014
Louisiana Downs	December 26, 2013 - June 22, 2014
Monmouth	December 26, 2013 - June 22, 2014
Mountaineer Park	December 26, 2013 - June 22, 2014
Northlands	December 26, 2013 - June 22, 2014
NYRA - Aqueduct, Belmont, Saratoga	December 26, 2013 - June 22, 2014
Oaklawn Park	December 26, 2013 - June 22, 2014
Penn National	December 26, 2013 - June 22, 2014
Philadelphia Park (Parx)	December 26, 2013 - June 22, 2014
Pimlico	December 26, 2013 - June 22, 2014
Prairie Meadows	December 26, 2013 - June 22, 2014
Presque Isle	December 26, 2013 - June 22, 2014
River Downs	December 26, 2013 - June 22, 2014
South America Racing	December 26, 2013 - June 22, 2014
Suffolk Downs	December 26, 2013 - June 22, 2014
Sunray Park	December 26, 2013 - June 22, 2014
Tampa Bay	December 26, 2013 - June 22, 2014
Thistledown	December 26, 2013 - June 22, 2014
Turf Paradise	December 26, 2013 - June 22, 2014
United Kingdom	December 26, 2013 - June 22, 2014
Woodbine	December 26, 2013 - June 22, 2014
Yavapai Downs	December 26, 2013 - June 22, 2014
Zia Park	December 26, 2013 - June 22, 2014

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

- | | | |
|--------------------|------------|---|
| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

- | | | |
|--------------------|------------|---|
| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
- Los Alamitos Per CHRB calendar Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

- | | | |
|--------------------|------------|---|
| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
- Cal Expo Harness Per CHRB calendar Full Card

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

- | | | | |
|--------------------|----------------|------------|--------------------------------|
| Name of Host Track | Breed of Horse | Race Dates | Number of Races to be Imported |
|--------------------|----------------|------------|--------------------------------|
- None

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Board of Directors
Los Angeles Turf Club, Inc.
285 W. Huntington Drive
Arcadia, CA 91007

Frank DeMarco, Jr., Secretary
Los Angeles Turf Club, Inc.
285 W. Huntington Drive
Arcadia, CA 91007

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Melanie Stubblefield
Film Specialist	Ruben Hernandez
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Ruben Hernandez, Karen Denovel
Placing Judges	Bob Moreno, Lisa Jones
Starter	Jay Slender
Timer	Dane Nelson

- B. Management officials in the racing department:

VP-Racing	Rick Hammerle
Racing Secretary	Rick Hammerle
Assistant Racing Secretary	Richard Wheeler
Paymaster of Purses	Cece Connor
Assistant Clerk of Scales	Charles McCaul

Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

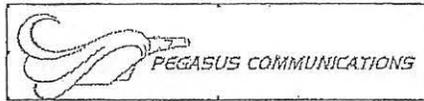
Ms. Barbara Weinstein
9582 Hamilton Ave., Suite 265
Huntington Beach, CA 92626 **Phone: (714) 964-7102**

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA - Bill O'Brien **Expires: December 31, 2013**
 New agreement will be forwarded when finalized.

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President **Contract term: July 31, 2012 – July 31, 2017**
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010



Jim Porep, Jr., President
Pegasus Communications, Inc.
16633 Ventura Blvd, Suite 1010
Encino, CA 91436
Office 818-907-1900
Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Executive parking lot (E Lot)
Avid HD & SD graphics systems with Pegasus DataLinks interface software
GVG Karrera 4ME Switcher
Recording systems: ZPlay HD video recorder, slow motion playback, 3play 820, and Sony DigiBeta
Audio Calrec Board 120 input

Camera locations and equipment description

- 1- HD Pan camera 1: Sony HXC 100 triax chain HD
 - 1- HD Pan camera 2: Sony HXC 100 triax chain HD
 - 1- HD camera 3: Sony HXC 100 triax chain HD
 - 1- Dirt 7/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - 1- Turf 7/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - HD Dirt 3/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - Turf 3/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - 1- 1/4 pole tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - 1- Hill camera: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - 2- Post Parade IKEGAMI HK-388WP FUJINON 20x8.5 MM LENS in the infield
 - 1- HD Gate: Wireless HD Camera System
 - 2- Paddock: IKEGAMI HK-388WP FUJINON 20x8.5 MM LENS or FUJINON 10X4.8/ WIDE ANGLE LENS 1 on a jib on the roof overlooking the walking ring and 1 in the Paddock Tower.
 - 1- HD Winner's Circle/Finish Line: Sony HXC 100 triax chain (12-foot Jib)
 - 2- HD Pan tilt Paddock (Saddling Barn) cameras
 - 1- HD Pan tilt Tunnel camera
- All lens' have 2x extenders.

Encino, CA 91436

Phone: 818/907-1900

Major video and camera equipment listing Exhibit 13E

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: Exhibit 14A

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	1 Fire Marshall
1 Stable Security Manager	13 Gatemen
4 Investigators	1 Stable Credentials Secretary
36 Security Guards	

- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

Exhibit 14B/C

- 2. Detention Barns:

- a. Attach a plan for use of graded stakes or overnight races.

Exhibit 14B/C

- b. Number of security guards in the detention barn area during a 24-hour period.

Four Security Guards

- c. Describe number and location of surveillance cameras in detention barn area.

Exhibit 14B/C

- 3. TCO2 Testing:

- a. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

- b. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

- c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

- d. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

SANTA ANITA PARK

Security Live Racing

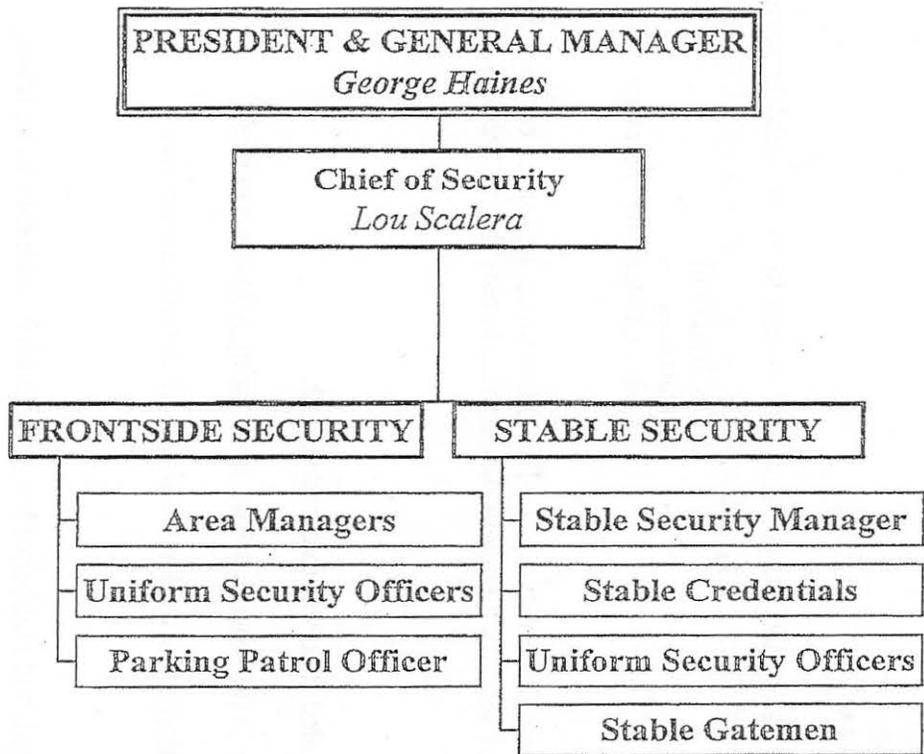


Exhibit 14BDETENTION BARN PLAN

(June, 2013)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

Exhibit 14B/C

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Exhibit 14B/C

- D. For night racing associations. Describe emergency lighting system: N/A

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

AmbuServe, Inc.

Wendal Smith

15105 S. Broadway Ave.

Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes two paramedics

Exhibit 15A on file.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Hollywood Park: Westmed/McCormick Ambulance Service

13933 Crenshaw Blvd.

Hawthorne, CA 90250

Phone: (888) 349-8944

Fairplex: Cole-Schaefer Ambulance Service

Albert Cisneros (Cell) 909/238-8413

324 N. Towne Ave., Pomona, CA 91767

Phone: (909) 622-1273

Los Alamitos: Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center: North County Fire Protection District

Station Five

31403 Old River Road

Bonsall, CA 92003

Phone: "911"

1. Attach a certification from the ambulance service(s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Hollywood Park:** Staff on track ambulance includes two paramedics. Exhibit on file with CHRB.
- **Fairplex:** Staff on track ambulance includes two paramedics, Certification letter attached.
- **Los Alamitos:** Staff by EMT's with support from Orange Cty. Fire-paramedics. Letter attached.
- **SLR Training Center:** North County Fire Protection District, Station Five, located 1.5 miles (2 minutes) from SLR Training Center. Stationed is staffed with Firefighter/Paramedic. If non-paramedic Firefighter on duty, the Engineer or Captain will have a paramedic certification.



McCormick Ambulance
13933 Crenshaw Blvd.
Hawthorne Ca. 90250
(888)349-8944

To whom it may concern:

This letter shall serve as a statement of affirmation that all Emergency Technicians employed by McCormick/Westmed Ambulance Service are trained, background checked, and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Mike Henderson
Vice President



May 23, 2013

1101 W McKinley Ave
Pomona, CA 91768

Dear Sir or Madam:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Cole-Schaefer Ambulance Service, Inc. are trained, background checked and state certified in order to fulfill their job as authorized by the State of California Emergency Medical Services Authority and the Los Angeles County Department of Health Services Emergency Medical Services Agency.

Susie McBride

Human Resources

Cole-Schaefer Ambulance

Huntington Ambulance, LLC

Mr. Rick English
Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720

September 28, 2013

Dear Mr. English,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are also licensed with the California Horse Racing Board.

Sincerely,

Stacey O'Bryan - President
Huntington Ambulance, LLC



11/05/2008

California Horse Racing Board
1010 Hurley Way, Suite 190
Sacramento, Ca. 95825

Re: Request for Approval of Emergency Plan Under CHRB Rule 1468

Pursuant to the provisions of CHRB Rule 1468 (d), the following emergency procedures for accidents at the San Luis Rey Downs Thoroughbred Training Center are hereby submitted for approval as an alternate to maintaining an ambulance and attendants on our grounds:

1. Primary Emergency Medical Services

(Our emergency responders are familiar with our facility, which helps them know where to enter when they are called.)

Bonsall Fire Department
31403 Old River Road
Bonsall Ca. 92003
Response: 2 minutes

Fallbrook Fire Department
4157 Olive Hill Road
Fallbrook, Ca. 92028
Response: 3 minutes

Deer Springs Fire Department
8709 Circle R Dr.
Escondido Ca. 92026
Response: 7 minutes

Alternative Emergency Medical Services

Vista Fire Department Station
1070 Old Taylor Road
Vista Ca. 92084
Response: 4 minutes

Fallbrook Fire Department Station
2180 Winterwarm Drive
Fallbrook, Ca. 92028.
Response: 9 minutes

THE CHOICE OF CHAMPIONS
"WHERE REAL TRAINING OPTIONS STILL EXIST"



11/05/2008

California Horse Racing Board
1010 Hurley Way, Suite 190
Sacramento, Ca. 95825

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Response: 4 minutes

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2180 Winterwarm Drive
Fallbrook, Ca. 92028.
Response: 9 minutes

THE CHOICE OF CHAMPIONS
"WHERE REAL TRAINING OPTIONS STILL EXIST"

2. Employees With Access To First Aid Supplies

Security Officers, Supervisors and Management have access to emergency first aid supplies. There is a portable first aid kit in the security office. There are permanent first aid kits at the racing office and the auto shop.

3. Facility Employees Trained And Certified In Basic First Aid:

- 1.
- 2.

4. Type And Location Of Communication Equipment.

The loud speaker system is accessed through the racing office or the security office.

Phones are available at the racing office and the security office.

The outrider, both clockers, the gate crew, all supervisors, all security and management are equipped with hand held radios.

5. Locations Where Emergency Phone Numbers Are Posted.

The racing office and the security office.

Please call if you need any additional information regarding this matter.

Laura Rosier
Assistant Manager
San Luis Rey Downs Thoroughbred Training Center
760-414-3384

Los Alamitos Race Course

EMT / Paramedic Protocols

The emergency medical services at Los Alamitos Race Course operate under the jurisdiction of the County of Orange Emergency Medical Services Dept. (OCEMS). The OCEMS supervises all paramedic services within the county as well as the licensing of all private ambulance companies.

Orange County regulations stipulate that all paramedic services within the county are provided through the Orange County Fire Authority with no licensing of any private paramedic ambulance services.

The OCEMS has reviewed and approved the protocol for handling on track accidents at Los Alamitos Race Course. This protocol calls for Huntington Ambulance EMT's to respond to any on track accident and stabilize any injured rider. At this point paramedics are called and they respond from the Orange County Fire Station #14 which is located directly adjacent to Los Alamitos Race Course.

Huntington Ambulance crews transport the injured rider to a designated area located just outside a special emergency gate built on the backstretch of the racetrack where they are met by Fire Authority paramedics. This designated area is less than 100 yards from the paramedic station.

The Orange County Fire Authority paramedics evaluate the injured rider and make the determination of whether to transport the rider to a trauma center.

This emergency protocol is in place for both morning training and evening racing accidents.

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

- Contracted Track Physician
- Contracted Paramedics and EMT
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race
- Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association see D (1) :
Dr. Salvador Sotomayor **Phone: (888) 349-8944**
15771 Del Prado Drive
Hacienda Heights, CA 91740

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007 **Phone: (626) 445-4441**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007 **Phone: (626) 445-4441**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 15F

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Mary Saenz, Safety Manager
Lou Scalera, Chief of Security

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Exhibit 15H

- I. Name of the workers' compensation insurance carrier for the association and the number of the



EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, LATC Trackside Human Ambulance "Unit 41 Paramedics" which is manned by LATC-contracted Paramedics and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending Paramedics shall arrive equipped with advance cardiac life support (ACLS) and full C-spine equipment.
- An "on-site evaluation" of injuries to the jockey(s) shall be made by Unit 41 Paramedics.



"ON-SITE EVALUATION"

- Based on the Paramedics "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to the hospital.
 - If on-site treatment is deemed sufficient, Unit 41 Paramedics shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 Paramedics will contact the Association Gate (channel 1) and have them call the 911 Arcadia Fire Department.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical evaluation;
 - If deemed necessary the Track Physician will contact the Association Gate to call the 911 Arcadia Fire Department to transport the injured jockey(s) to the hospital.
 - Or should the Track Physician deem the rider clear to ride he may then return to the Jockeys' Room or be taken off his mounts for the remainder of the day.
 - If more than two jockeys need to be transported to the hospital, Arcadia Fire



PROCEDIMIENTO MEDICO de EMERGENCIAS PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “41Paramedicos” es manejada por las EMT’s contratados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (ACLS), AED, y equipo para la espina dorsal.
- Una evaluacion de las heridas al jinete seran hechas en el sitio del accidente por la 41Paramedicos.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- Despues del accidente, es la decision de los paramedicos si el jinete va estar transportado al hospital o al la oficina de First Aid.
 - Si el tratamiento es suficiente en el sitio del accidente, el 41 Paramedicos transportara al jinete directamente a la oficina de First Aid donde el doctor del tracke sera el doctor.
 - Si se cree que es necesario el 41 Paramedicos se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Ciudad de Arcadia 911.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de First Aid, el doctor del tracke sera el doctor al no ser que el doctor del tracke entregue custodia del paciente basado en su opinion medico;
 - Si se cree necesario el doctor de tracke se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Ciudad de Arcadia 911.
 - Si el doctor de tracke dise que el jinete esta libre para montar or que va faltar de sus montas.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al



City of Arcadia

August 13, 2013

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Fire Department

RE: Los Angeles Turf Club Fire Clearance (2013-2014 Main Meet)

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2013-2014 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2013-2014 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
George Haines, President, L.A.T.C.
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.



Certificate of Insurance

No.: 2013-166

Dated: June 28, 2013

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Division: Los Angeles Turf Club, Incorporated dba Santa Anita Park 285 West Huntington Drive Arcadia, CA 91007
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-11	Jun 30, 2013 to Jun 30, 2014	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-013	Jun 30, 2013 to Jun 30, 2014	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, GLO9302183-11, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-013, placement was made by Marsh-USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

161 Bay Street, Suite 1400
 Toronto, ON M5J 2S4
 Telephone: 416-868-2123
 Fax: -
 stephanie.leite@marsh.com

Marsh Canada Limited

By:

Stephanie Wong

4615 Trieste Drive
Carlsbad, CA 92008

Today's Racing Digest, Inc.
Jason Karches
2080 Las Palmas Drive
Carlsbad, CA 92009

(Exempt from CHRB-25A and CHRB-87)

Triangle Turf Winners
William Archer
1 Scott Street
Gardnerville, NY 89410

(CHRB-25A and CHRB-87 on file with CHRB.)

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:
(To Be Determined)

B. Promotional/ Marketing budget for this race meeting:
Promotional/Marketing budget for prior race meeting:
(To Be Determined)

C. Number of hosts and hostesses employed for meeting:
10/12 Ambassador Interns
10 - Group Sales handicapping aides to assist newcomers
11 - Food and Beverage hostesses (11 weekends, 4 weekdays)
16 - SST's Mutuel staff (14 on weekdays and 16 on weekends)

D. Describe facilities set aside for new fans:

Customer Service - Paddock Room, Breezeway Customer Relations deck, Mainline-1, and Club-1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers - There are two locations that are open every racing day in Mainline 1 and in the Turf Club. The Turf Club TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows - Mainline-2, Club-1, Mezzanine-2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

Xpressbet - Mainline-2 and Mezz.-2 are available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen

- Newly renovated box and interior mezzanine wagering area including wagering, food stands and new Horseshoe bar.
- New high definition TV's throughout the interior mezzanine area.
- Continued improvements throughout the barn area.

2. Fans

- C. Number of restrooms available on the backstretch of the racetrack:
29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5.200

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.
- C. The percent of cross slope in the straight-aways is: **2%**
The percent of cross slope in the center of the turns is: **5.75%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Jason Spetnegal, Director of Facilities
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

22. DECLARATIONS

A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):

No exceptions.

B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.

TOC to be determined.

CTT to be determined.

Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A

D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions.

E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions.

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

George Haines

Print Name


Signature

President and General Manager

Print Title

10/14/13
Date

STAFF ANALYSIS
October 24, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS DECEMBER 26, 2013 THROUGH JUNE 15, 2014.

Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

- December 26, 2013 through June 15, 2014, or 101 days, the same as 2013. The association proposes to race a total of 845 races, or 8.4 races per day. In 2013 PRA raced 8.4 races per day with an average of 7.08 runners per race during the 2013 winter/spring meet. The (actual) average daily purse for the 2013 winter/spring race meeting was \$153,525. The (estimated) average daily purse for this meet is \$153,356.
- The race dates proposed are the dates the Board allocated.

December - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

- Racing 4 days per week. Thursday through Sunday, with the exception of Tuesday 12/31/13, Wednesday 1/1/14, Monday, 1/20/14, Monday, 2/17/14, and Monday, 5/26/14. Dark days Thursday 1/2/14, Thursday 1/23/14, Thursday 2/20/14, and Thursday 5/29/14. Running 7 races on Thursdays except December 26, 2013 where 8 races will be conducted; 8 races on

Fridays; 9 races on Saturdays except May 3, June 7, and June 14 where 10 races will be conducted; and 9 races on Sundays except June 15 where 10 races will be conducted. If horse population permits may run additional races not to exceed the 8.4 average of races per day for the meet. Administrative approval will be requested if plans develop to exceed this amount.

- First post 12:45 p.m. daily with the following exceptions:
 - Thursday, December 26, 2013 (Opening Day) – 12:15p.m.
 - Sunday, February 2, 2014 (Super Bowl) – 11:15a.m.
 - Saturday, May 3, 2014 (Kentucky Derby) – 11:45 a.m.
 - Saturday, May 17, 2014 (Preakness) – 11:45 a.m.
 - Saturday, June 7, 2014 (Belmont Stakes) – 11:45 a.m.
 - Request the option to change post times to coordinate north/south signals.
- Specific changes from the 2013 license application:
 - Parking (general) – from \$4 to \$5
 - Parking (preferred) – from \$8 to \$10
 - Parking (valet) – from \$8 to \$10
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will be offered Friday, May 2, 2014, for the Kentucky Derby, Friday, May 16, 2014 for the Preakness, and on Friday, June 6, 2014, for the Belmont Stakes.
 - \$1 - Pick 3 on all races; \$1 Trifecta on eligible races; \$1 Place Pick on all races carded.
 - \$2 – Daily Double on all races.
 - \$ 2 - Pick 6 on last 6 races – 70% major pool or carryover, and 30% to the minor pool.
 - ¢.50 pick 5 will be offered on the first five races with a 14% takeout. No consolation 100% carryover if no ticket has five winners.
 - ¢.50 Pick 4 on first four races and last 4 races; ¢.50 Pick 5 on last five races.
 - ¢.10 Superfecta on all eligible races.
 - Super High Five in the 5th races selecting the first five finishers – No consolation 100% carryover if no ticket has five winners.
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpines and TVG.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code 19604:

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Pacific Racing Association has reported that the auxiliary site will have two emergency medical technicians (EMT's) in the on-track ambulance for the morning workout.

Specific information **still needed** to complete this application:

1. Horsemen's agreements (TOC and CTT).
2. Pertinent 2014 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated ADW providers to accept wagers on races conducted in an outside of California for the applied license term.
3. Horsemen approval of ADW
4. Publicity Manager license expired

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 559-7464
calvin.rainey@goldengatefields.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
On File
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
 Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars. N/A

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **December 26, 2013 to June 15, 2014**
- B. Actual dates racing will be held: **Dec 26-29, Dec 31; Jan 1, Jan 3-5, Jan 9-12, Jan 16-19, Jan 20, Jan 24-26; Jan 30-31; Feb 1-2, Feb 6-9, Feb 13-16; Feb 17, Feb 21-23, Feb 27-28; Mar 1-2, Mar 6-9, Mar 13-16, Mar 20-23, Mar 27-30; Apr 3-6, Apr 10-13, Apr 17-20, Apr 24-27; May 1-4, May 8-11, May 15-18, May 22-25, May 26, May 30-31; June 1, June 5-8, and June 12-15.**

CHRB CERTIFICATION

Application received: 9/27/13
 Deposit received:
 Reviewed: GW

Hearing date: 10/24/13
 Approved date:
 License number:

- C. Total number of days or nights of racing: **101**
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays

Exceptions: Racing on Tuesday 12/31/13, Wednesday 1/1/14, Monday, 1/20/14, Monday, 2/17/14, and Monday, 5/26/14.

Dark days Thursday 1/2/14, Thursday 1/23/14, Thursday 2/20/14, and Thursday 5/29/14.

- E. Number of days or nights of racing per week: **4 days per week**

3. RACING PROGRAM

- A. Total number of races: **845**
- B. Number of races for each day or night: **8.4 will be the average throughout the meet. We propose to conduct 7 races on Thursdays except December 26, 2013 where 8 races will be conducted; 8 races on Fridays; 9 races on Saturdays except May 3, June 7, and June 14 where 10 races will be conducted; and 9 races on Sundays except June 15 where 10 races will be conducted. If the horse population permits, we may run additional races as long as we do not exceed an average of 8.4 races per day for the meet. If we plan to exceed this amount, we will request administrative approval.**
- C. Total number of stakes races: **11 (6 Non-Overnight and 5 Overnight stakes)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Attached
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

Race	12:45 Post All days exceptions listed below
1st	12:45 p.m.
2nd	1:15 p.m.
3rd	1:45 p.m.
4th	2:15 p.m.
5th	2:45 p.m.
6th	3:15 p.m.
7th	3:45 p.m.
8th	4:15 p.m.
9th	4:45 p.m.
10 th (when applicable)	5:15 p.m.

**Golden Gate Fields
Stakes Schedule
Winter/Spring 2014
(Subject to Change)**

CALIFORNIA DERBY

\$100,000 Guaranteed
3yo – 1 1/16 mile (All Weather)
Saturday, January 11, 2014

CALIFORNIA OAKS

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3yo Filly – 1 1/16 mile (All Weather)
Saturday, February 8, 2014

EL CAMINO REAL DERBY - GIII

\$200,000 Guaranteed
3 yo – 1 1/8 mile (All Weather)
Saturday, February 15, 2014

LOST IN THE FOG

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
4 yo & up – 6 furlongs (All Weather)
Monday, February 17, 2014

CAMILLA URSO

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Fillies and Mares 4 yo & up – 6 furlongs (All Weather)
Saturday, March 1, 2014

SF MILE - GIII

\$100,000 Guaranteed
3yo & up – 1 mile Turf
Saturday, April 26, 2014

GOLDEN POPPY

Overnight Stakes
\$50,000 Added
Fillies and Mares 3 yo & up – 1 1/16 mile Turf
Saturday, May 10, 2014

**Golden Gate Fields
Stakes Schedule
Winter/Spring 2014
(Subject to Change)**

ALL AMERICAN – GIII

\$100,000 Guaranteed
3 yo & up – 1 1/16 mile (All Weather)
Monday, May 26, 2014

CAMPANILE (Golden State Series Stakes)

\$100,000 Guaranteed
(Incl. \$37,500 CBRF and \$12,500 CMC)
3 yo filly (Cal-breds) – 1 mile Turf
Saturday, May 31, 2014

SILKY SULLIVAN (Golden State Series Stakes)

\$100,000 Guaranteed
(Incl. \$37,500 CBRF and \$12,500 CMC)
3 yo (Cal-breds) – 1 mile Turf
Sunday, June 8, 2014

ALBANY

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up – 5 furlongs Turf
Saturday, June 14, 2014

Exceptions:

Thursday, December 26, 2013	Opening Day	12:15 p.m.
Sunday, February 2, 2014	Super Bowl	11:15 a.m.
Saturday, May 3, 2014	Kentucky Derby	11:45 a.m.
Saturday, May 17, 2014	Preakness	11:45 a.m.
Saturday, June 7, 2014	Belmont Stakes	11:45 a.m.

Request the option to change post time schedules in order to coordinate North/South signals

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)

LLC (complete subsection D)

Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool, Chairman (Officer), 0 Shares
Scott Daruty, (Director), 0 Shares
George Haines, President (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Peter W. Tunney, Executive Vice President (Director/Officer), 0 Shares
Lyle Strachan, Executive Vice President, Finance (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Calvin Rainey, Vice President & Assistant General Manager (Officer), 0 Shares
Gina Lavo, Vice President, Finance (Officer), 0 Shares
- Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares (formerly known as MI Developments Inc.)**
- Number of outstanding shares in the corporation: **100 Shares**
- Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
- Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Jane Lynn, General Counsel in**

Toronto, Canada; Email: jane.lynn@stronachgroup.com

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: TSG Development Investments Inc
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed: **Not applicable**
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On File**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool, Chairman

George Haines, President

Tom Ludt, Vice-President Racing and Gaming

Lyle Strachan, Executive Vice President, Finance

Mike Rogers, Vice President, Operations

Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary

Peter W. Tunney, Executive Vice President

Gina Lavo, Vice President, Finance

Calvin Rainey, Vice President and Assistant General Manager

Merry Scalzo, Director of Administration

Adam Njaa, Controller

Jerry Aldoroty, Director of Hospitality and Sales

Bryan Wayte, Mutuel Manager

Tom Ferrall, Publicity Manager

Bob Hemmer, Director of Operations

Dan Cirimele, Director of Marketing

T.W. Johnson, Security Manager

Michael Wrona, Announcer

Juan Meza, Track Superintendent

Steve Martinelli, Price Maker

William Vassar, Track Photographer

David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association

and the mailing and email address of such person(s).
Calvin Rainey
Vice-President and Assistant General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2013 (12/26/12 -6/16/13)	\$ 42,525,802.30	244,267 (101 live race days)
2012 (12/26/11 -6/17/12)	\$ 43,676,090.90	252,968 (101 live race days)
2011 (12/26/10-6/12/11)	\$ 46,078,355.50	264,771 (99 live race days)
2010 (12/26/09-6/13/10)	\$ 51,056,036.70	271,729 (105 live race days)
2009 (12/26/08-6/14/09)	\$ 62,639,954.50	312,202 (114 live race days)

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

Prior meet represents December 26, 2012 through June 16, 2013 (101 days)

- A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: \$12,963,350.00
 Prior meet actual: \$12,982,772.00

Golden Gate Fields

September 12, 2013

Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Jackie:

Pursuant to California Business and Professions Code Section 19601.01, Pacific Racing Association ("PRA"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout as set forth below, to be offered during Pacific Racing Association's Winter/Spring 2013/2014, December 26, 2013 to June 15, 2014.

Player's Pick5 – A \$.50 minimum wager on the first five (5)
Races on each day's card subject to a takeout rate of 14%.

For reference, the Player's Pick5 is noted on Pacific Racing Association's license application in Section 5A.

Please contact me if you have any questions.

Sincerely,



Calvin Rainey
Vice-President & Assistant GM

CR:ms



October 1, 2013

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
ED MOGER
AT LARGE

Ms. Jacqueline Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields/Pacific Racing Association 2013-2014 Winter
Spring Race Meet

DIRECTORS

MADELINE AUERBACH
BOB BAFFERT
DENNIS CARDOZA
MARK DEDOMENICO
MIKE HARRINGTON
RON ELLIS
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Pacific Racing Association at Golden Gate Fields has contacted Thoroughbred owners of California (TOC) regarding the conducting of the "Players Pick-5" on the last five (5) races of the day subject to a 14% takeout. The TOC agrees to such a wager based upon the same terms and conditions it was offered last season.

Please feel free to contact me with any questions or concerns.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

Sincerely,

Richard C. Scheidt
Northern California Director of Operations
Thoroughbred Owners of California

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Calvin Rainey
Merry Scalzo

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **\$128,350.00**

Prior meet actual: **\$128,542.30**

2. Overnight stakes:

Current meet estimate: **\$250,000.00**

Prior meet actual: **\$250,000.00**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **\$2,475.25**

Prior meet actual: **\$2,475.25**

3. Non-overnight stakes:

Current meet estimate: **\$600,000.00 (Doesn't include \$100,000 in CBRF/CMC monies)**

Prior meet actual: **\$537,900.00**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$5,940.59**

Prior meet actual: **\$5,325.74**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$13,813,350.00**

Prior meet actual: **\$13,770,672.00**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **11.75%**

Prior meet actual: **10.75%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **0.116%**

Prior meet actual: **0.106%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$1,185,845.00**

Prior meet actual: **\$1,226,280.41**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

TOC (NTRA contribution) \$201,707.11

TOC \$115,261.21

CTT (Administration) \$ 57,630.60

CTT (Pension) \$115,261.21

Total \$489,860.13

Prior meet actual:

TOC (NTRA contribution) \$209,644.13

TOC \$119,796.64

CTT (Administration) \$ 59,898.32

CTT (Pension) \$119,796.64

Total \$509,135.73

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen

(7 A+7 C+7 D):

Current meet estimate: **\$15,489,055.13**

Prior meet actual: **\$15,506,088.14**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$153,356.98**

Prior meet actual: **\$153,525.63**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$10,404,653.00**

Prior meet actual: **\$10,667,894.21**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$103,016.37**

Prior meet actual: **\$105,622.72**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$3,195,940.00**

Prior meet actual: **\$3,575,805.38**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$31,642.97**

Prior meet actual: **\$35,404.01**

- H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo PRA Account # On File

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **680**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Pleasanton – 680 Stalls**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
California Stabling & Vanning 2014 extension agreement on file

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Stabling costs for this meet: \$1,152,576.00
Stabling costs per day per stall: \$9.21
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Vanning fees for this meet: \$71,625.00 **Vanning fee per horse: \$75.00**

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$2 DD*; \$1 E; \$1 PK3+; \$1 TRI++; \$1 PPN%; \$.10 SF#; \$.50 PNP4	CHRB#1957; 1959; 1977; 1979; 1976.8; 1979.1; 1976.9
Race #2	\$2 DD*; \$2 PNP6; \$1 E; \$1 PK3+; \$1 TRI++; \$.10 SF#	CHRB#1957; 1976.9; 1959; 1977; 1979; 1979.1
Race #3	\$2 DD*; \$2 PNP6; \$1 E; \$1 PK3+; \$1 TRI++; \$.10SF#; \$.50 PNP5	CHRB#1957; 1976.9; 1959; 1977; 1979; 1979.1; 1976.9
Race #4	\$2 DD*; \$2 PNP6; \$1 E; \$1 PK3+; \$1 TRI++; \$.10 SF#; \$.50 PNP4; \$.50 PNP5	CHRB#1957; 1976.9; 1959; 1977; 1979; 1979.1; 1976.9; 1976.9
Race #5	\$2 DD*; \$2 PNP6; \$1 E; \$1 PK3+; \$1 TRI++; \$.10 SF#; \$.50 PNP4; \$.50 PNP5; Super H5^^	CHRB#1957; 1976.9; 1959; 1977; 1979; 1979.1; 1976.9; 1976.9; ARCI #004-105 Subsection X Pick (n) Position (x) Pools)
Race #6	\$2 DD*; \$1 E; \$1 PK3+; \$1 TRI++; \$.10 SF#; \$.50 PNP4; \$.50 PNP5;	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; 1976.9

Race #7 \$2 DD*; \$1 E; \$1 PK3+; \$1 TRI++; \$.10 SF#; \$.50 PNP4
 Race #8 \$2 DD*; \$1 E; \$1 PK3+; \$1 TRI++; \$.10 SF#
 Race #9 \$2 DD*; \$1 E; \$1 TRI++; \$.10 SF#
 Race #10 \$1 E; \$1 TRI++; \$.10 SF#

CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
 CHRB#1957; 1959; 1977; 1979; 1979.1
 CHRB#1957; 1959; 1979; 1979.1
 CHRB#1959; 1979; 1979.1

* - \$2 Daily Double on all races
 PNP6 - \$2 Pick 6 on last six races
 + - \$1 Pick 3 on every race
 ++ - \$1 Trifecta on every eligible race
 # - \$.10 cent Superfecta on all eligible races
 % - \$1 Place Pick All on all races carded
 PNP4 - \$.50 Pick 4 on first four and last four races
 PNP5 - \$.50 Pick 5 on last five races
 ^^ - \$1 Pentafecta (Super High 5) in the 5th race only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
 PNP5 - \$.50 Pick 5 will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.
 PNP6 - 2 Tier - 70%/30% split \$2 Pick 6 will be offered on the final six races of each card, with 70% to the major pool or carryover, and 30% to the minor pool.

- A. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **June 15, 2014 for the period of December 26, 2013, through June 15, 2014.**
- C. List any options requested with regard to exotic wagering: **2 Tier - 70%30% split (see above)**
- D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Advance wagering will be offered Friday, May 2, 2014 for the Kentucky Derby, Friday, May 16, 2014 for the Preakness, and on Friday, June 6, 2014 for the Belmont Stakes.
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech (previously Scientific Games, LLC) Expires: September 2015**

10. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:
Xpressbet
TwinSpires
TVG

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **2013 ADW agreements attached valid through December 31, 2013; 2014 ADW contracts forwarded to Board upon receipt**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **TOC Approval will be forwarded once 2014 ADW contracts are finalized.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

On File

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

- Alameda County Fair, Pleasanton
- Big Fresno Fair, Fresno
- CA. State Fair & Exposition, Sacramento
- Club One, Fresno
- Kern County Fair, Bakersfield
- Monterey County Fair, Monterey
- San Joaquin County Fair, Stockton
- The Jockey Club at San Mateo, San Mateo
- Santa Clara County Fair, San Jose
- Shasta District Fair, Anderson
- Solano County Fair, Vallejo
- Sonoma County Fair, Santa Rosa

- Stanislaus County Fair, Turlock
- Tulare County Fair, Tulare

Southern California

- Antelope Valley Fair, Lancaster
- Barona Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Del Mar Thoroughbred Club, Del Mar
- Derby Club at Seaside Park, Ventura
- Fairplex Park, Pomona
- Hollywood Park, Inglewood
- Indian Fantasy Springs Casino, Indio
- Los Alamitos Racecourse, Los Alamitos
- National Orange Show, San Bernardino
- Santa Anita Park, Arcadia
- Santa Barbara County Fair, Santa Maria
- Shalimar Sports Center, Indio
- Sports Pavilion, Lake Perris
- Sports Pavilion, Victorville
- Sycuan Casino, El Cajon
- California Mid-State Fair, Paso Robles
- Viejas Casino, Alpine

D. Out-of-state wagering systems the association proposed to offer its live audiovisual signal:

2013/2014 Import Tracks

- | Track | Full or Partial Cards and Stakes |
|----------------------------------|----------------------------------|
| Arlington | Full or Partial Cards |
| Assiniboia (International) | Full or Partial Cards |
| Australia Racing (International) | Full or Partial Cards |
| Beulah | Full or Partial Cards |
| Calder | Full or Partial Cards |
| Canterbury | Full or Partial Cards |
| Charles Town | Full or Partial Cards |
| Churchill Downs | Full or Partial Cards |
| Delaware Park | Full or Partial Cards |

Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Keeneland	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
River Downs	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Commerce Casino, OC Tavern Grill & Sports Bar, Original Roadhouse Grill, and Santa Clarita Lanes**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races

Golden Gate Fields 2014 Winter/Spring Meet Commingled Locations

AmWest Entertainment	Delaware	Maronas (South America)	Saratoga Raceway
Amwest Accounts	Delta Downs	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier Resort	Dover Downs	Maywood	Scarborough
Riders Up (SD)	Ebet	Maywood ADW	Scioto Downs
Time Out Lounge (SD)	Elite Turf Club (1 to 10)	Meadowlands	Seabrook Greyhound
Triple Crown (SD)	Ellis Park	Meadows The	Social Gaming
Arapahoe-Mile High	Emerald Downs	Meadows The (ADW)	Sol Mutuel
Arma Race Club	Evangeline Downs	Millers OTB	Southland Greyhound
Arlington I	Evangeline ADW	Mobile Greyhound	Sports Creek Raceway
Atlantic City Racecourse	Fair Grounds	Monmouth Park	State Fair (Lincoln)
Atokad	Fair Grounds ADW	Montana OTB	Suffolk District OTB
Balmoral	Fair Meadows	Monticello	Suffolk Downs
Balmoral ADW	Finger Lakes	Mountaineer Park	Pat's Pizza
Bangor Raceway	Finger Lakes ADW	Mt. Pleasant Meadows	Sunland Park
Batavia	Fonner	Nassau OTB	SunRay Park & Casino
Bettor Racing	Freehold	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Gillespie County Fair	New Jersey Casino Assoc.	Taunton Acct Wager
Birmingham	Greenetrack	Newport Jai Alai	Taunton Dog Track
Bluffs Run Greyhound	Greyhound @ Post Falls	NJ Mobile	The Downs at Alb
Buffalo Raceway	Gulf Greyhound	Northfield	Thistledown
Caider Racecourse	Gulfstream Park		Tioga Downs
Canterbury Day	Global Wagering Solutions	Northville	Tri-State GH (Mardi Gras)
Capital District OTB	Bwin International Ltd.	NYRA	Turf Paradise
Catskills OTB	Intl Betting Assoc. Ltd	NYRA Account Wagering	Turfway Park
Charles Town Race Course	Magna Bet	Oaklawn	TVG Harrah's Chester
Chester Downs & Marina LLC	Racebets	Ocean Downs	TVG Network
Churchill Downs	Harrington Raceway	Panama	TVG Prairie
Club Hipica InTurf	Hawthorne Race Course	Penn National	Twin River Greyhound
Coeur d' Alene Casino	Hawthorne ADW	Penn National Telebot	TwinSpires
Coeur d' Alene Account	Hazel Park	Peru	TwinSpires High Volume
Colonial Downs	Hoosier Park	Philadelphia Park	Velocity Wagering Ltd.
Colonial Downs Phone Bet	Horseman's Park	Philly Park Phone Bet	Venezuela OTB
Columbus Raceway	Indiana Downs	Plainridge Race Course	Vernon Downs
Connecticut OTB	Evansville OTB	Plainridge Telephone Wagering	WatchandWager
Bradley Teletheater, Bristol	Clarkesville OTB	Player Mgmt. Group	Western OTB
New Britain, Norwalk, Milford	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Pocono Downs and OTB	Will Rogers Downs
Shoreline Star, Sports Haven	Keeneland	Portland Meadows	Wyoming
Torrington, Waterbury	Keeneland Select ADW	Potawatomi Casino/ OTB	XpressBet
Manchester, New London	Kentucky Downs	Prairie Meadows	Yonkers Raceway
Williamantic	Kentucky OTB	Premier Turf Club	Yonkers ADW (Empirecitybets)
John Martin's Manor Restaurant	Lebanon	Presque Isle Downs	Yobet Group 1
CT. Account Wagering	Les Bois (Treasure Valley)	Raceway Park	Zia Park
Coushatta Casino	Lewiston OTB's	Racing2Day LLC (US)	
Paragon Casino	Lien Games	Racing2Day (Stan James) International	
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Remington Park	
Mohegan Sun Casino	Howard Johnsons OTB		
Oneida Bingo and Casino	Rumors OTB	Relama	Bordertown OTB's
Pony Bar Simulcast Center	Skydancer Casino OTB	Racing & Gaming Services	
Tote Investment Racing	BetAmerica / Offtrackbetting	River Downs	
Randall James Racetrack	Lone Star	Rockingham Park	
Millenium Racing	Louisiana Downs		Rockingham Account
Royal Beach Casino	LVDC	Ruidoso Downs	Separate Pool Locations
Divi Carina Bay Casino	Atlantis Paradise Casino	Running Aces Harness Park	Camarero (Puerto Rico)
Fair Chance	Avatar Ventures	Saddle Brook Park	Caymanas (Jamaica) -
Winners Circle	Buffalo Thunder Resort	Sam Houston	MIR Books (Caliente)
Corpus Christi Greyhound	Foxwoods Resort Casino		NDS (Nevada)
	Meskwaki Bingo & Casino	Valley Greyhound Park	

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie, Fraser Downs, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine, Woodstock/Ontario

will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Pacific Racing Association is agent for distribution, 1100 Eastshore Hwy, Berkeley, CA 94710
- B. Names and addresses of the trustees or directors of the distributing agent:
Pacific Racing Association will act as its own distributing agent, 1100 Eastshore Hwy, Berkeley, CA 94710

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|-----------------------------|--|
| Association Veterinarian(s) | Sara Sporer, D.V.M (Track Veterinarian)
Forrest Franklin, D.V.M. (CHRB Veterinarian)
Diane Isbell, D.V.M. (Examining Veterinarian) |
| Clerk of Scales | Ken Sjoldal |
| Assistant Clerk of Scales | Ross Allardyce |
| Clerk of the Course | Tina Walker-Bryant |
| Film Specialist | Ella Robinson |
| Horse Identifier | Darrell Sparks |
| Horseshoe Inspector | Butch Fyellingness |
| Paddock Judge | Linda Anderson |
| Patrol Judge/Steward's Aide | Tammy McDuffie-Morris |
| Patrol Judge | Ella Robinson |
| Placing Judges | Chris Merz & Steve Martinelli |
| Starter | Todd Stephens |
| Timer | Pedro Mercado |
- B. Management officials in the racing department:
- | | |
|-------------------------------------|--|
| Racing Secretary/Stakes Coordinator | David Jerkens |
| Assistant Racing Secretary | C. Gregory Brent, Jr. & Linda Anderson |
| Paymaster of Purses | Patricia Prospero |
| Others (identify by name and title) | |
| Main Track Superintendent | Juan Meza |
| Turf Track Superintendent | Calvin Rainey |
| Price Maker | Steve Martinelli |
| Stable Superintendent | Carrie Fawcett |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2014**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras

for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2016**

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

T.W. Johnson, Security Manager is responsible for security on site
Security Department organizational chart is attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235
T.W. Johnson cell phone number (510) 418-3161

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Security Manager	14 – Grandstand Security Officers
1 – Security Lieutenant	5 – Stable Security Officers
1 – Security Sergeant	5 – Stable Gate Personnel
1 – Stable Security Sergeant	5 – Fire Guards
1 – Fire Guard Lieutenant	

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls: **Attached**

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders Attached appendix 15.2

- B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

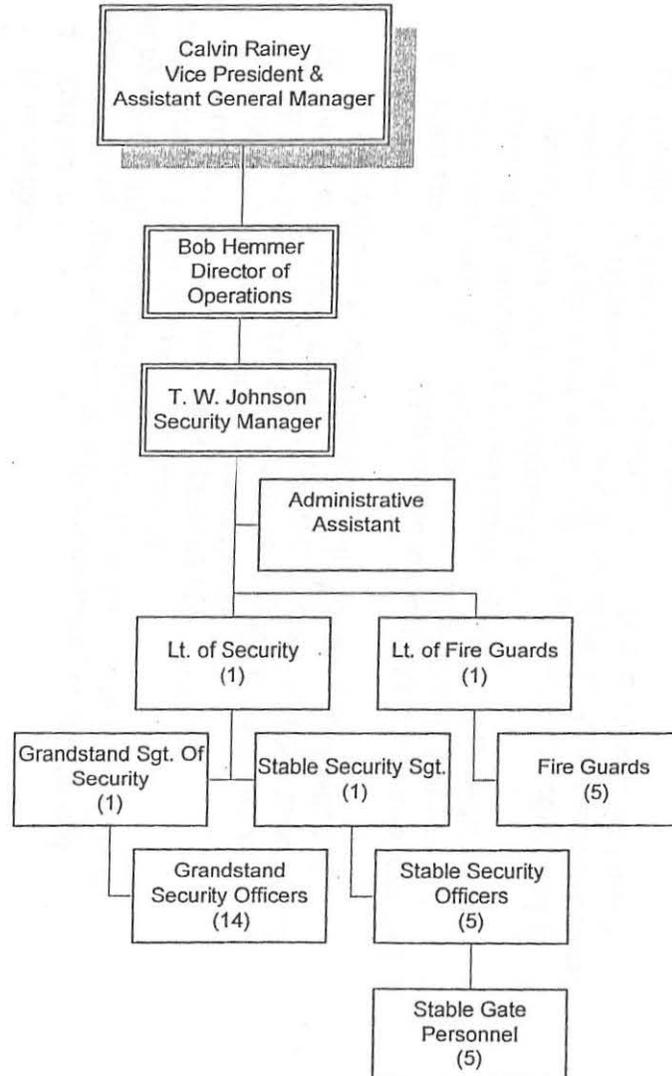
- C. Describe number and location of surveillance cameras in detention stall area.

Location and number of video surveillance cameras for the detention stall and stable gate.
Barn #44 contains 27 stalls in is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn.
Detention stall camera locations: There are a total of 16 surveillance cameras in place within stalls 4,5,6,7,8,9,10,11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

PACIFIC RACING ASSN / GOLDEN GATE FIELDS SECURITY DEPARTMENT ORGANIZATIONAL CHART ATTACHMENT 14A



Attachment to PRA / Golden Gate Fields License Application
14B (1) and 14B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Pacific Racing Association / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to T. W. Johnson, Director of Security which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage of stakes races of \$100,000 or more.

**Attachment to PRA / Golden Gate Fields License Application
14B (2) and 14C (1):**

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn.

Detention Stall Camera Locations:

There are a total of 16 surveillance cameras in place within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of the barn and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate & Parking Lot Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate (1), O/T Parking Lot (1) and Horsemen Walk-In Gate (1) providing 24/7 surveillance.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate. Barn #44 contains 27 stalls in is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras in place within stalls 4,5,6,7,8,9,10,11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place
Stable Gate & Parking Lot Surveillance: Cameras are strategically placed to monitor all activities at the main stable gate (1), O/T parking lot (1), and horsemen walk-in gate (1) providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 2 EMT's ambulance staff

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

Turf Rescue, LLC.
4470 Hillsborough Drive
Castro Valley, CA 94546
510 581-8470

May 16, 2013

California Horse Racing Board
1000 Eastshore Hwy.
Berkeley, CA 94710

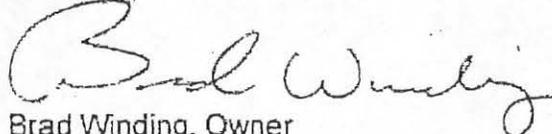
To Whom It May Concern:

This is to inform you Turf Rescue, LLC., operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions, please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC.

14A1



March 22, 2013

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is certified provider of Basic Life Support Non Emergency Service in the County of Alameda certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you need any additional information please feel free to contact me.

A handwritten signature in cursive script that reads "Christopher A. Seymour".

Christopher A. Seymour

Director of Advanced Life Support Services

2424 Whipple Rd. Hayward CA.

EMT-P, BA

Golden Gate Fields

October 6, 2013

Jacqueline Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 94528

Re: Status of PRA/Pleasanton Auxiliary Training Ambulance Service
Section 15B (1) in the PRA CHRB 2014 Winter/Spring License Application

Dear Jackie:

This letter is in response to a request from the CHRB to comment on the status of the ambulance staff at the Pleasanton auxiliary training site. Currently, the ambulance staff during training hours consists of two (2) Emergency Medical Technicians as stated in the documentation submitted with the Pacific Racing Association's 2014 Winter/Spring CHRB license application.

A conference call was held on October 4, 2013 between Golden Gate Fields management and Mr. Jerome Hoban, (Alameda County Fair CEO) and Ms. Jeanne Wasserman (Manager Satellite Facility Alameda County Fair) to discuss the progress of changing WestMed's current practices to include one (1) Paramedic.

As described by Pleasanton management, the Pleasanton auxiliary training site (Alameda County Fairgrounds) currently has a fire station across the street that could employ a Paramedic to the site if needed within a 2 ½ minute response time. Therefore, we were advised that discussions are currently underway between the Board, Pleasanton management, Chris Korby and Larry Swartzlander at CARF to explore the option of obtaining a waiver similar to the one issued at Los Alamitos Race Course allowing the current ambulance staffing because of Paramedic availability in close proximity.

I will continue dialogue with management at the Pleasanton training facility, the CHRB and CARF to resolve this issue. If you have any questions please feel free to contact me.

Sincerely,


Calvin Rainey
Vice President

Cc: CHRB Sacramento office



GOLDEN GATE FIELDS

**Description of GGF Medical Clinic
(Live Racing)**

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Alta Bates Summit Medical Center, 2450 Ashby, Berkeley, CA 94705 (510) 204-4444
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Safety and Compliance
Calvin Rainey, Assistant General Manager, serves as Assistant Safety Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Berkeley fire clearance letter attached valid through August 14, 2014
Albany fire clearance letter attached valid through December 31, 2013; 2014 fire inspection scheduled on September 30, 2013, fire clearance letter forwarded to Board upon receipt
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Insurance Co. (insurer) and Liberty Mutual Fire Insurance Co. WA2-B7D-170912-013 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



EMERGENCY MEDICAL PROCEDURES -LIVE RACING-

FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA

- ENTRENAMIENTO MATUTINO -

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movillar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se deben demorar todos los entrenamientos matutinos hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.

(REV 2.0, OCTUBRE 2011)



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA

~~DURANTE LAS CARRERAS~~

PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (acompañado por el médico de la pista) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.

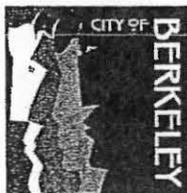


"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);

Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



Department of Fire and Emergency Services
Division of Fire Prevention

August 14, 2013

Merry Scalzo

Director of Admissions
Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706
Phone (510) 559-7325
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection – Golden Gate Fields - Stables

On August 14, 2013 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through August 14, 2014. Our fire life safety report indicates that there are no outstanding violations noted or corrections needed to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in cursive script that reads "Stan Fernandez".

Stan Fernandez
Fire Inspector / Investigator

*Urban Village by the Bay***ALBANY CALIFORNIA**

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE

ALBANY, CA 94706

510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
11000 Eastshore Highway
Berkeley, CA 94710

October 8, 2013

Mr. Roenbeck,

The Albany Fire Department conducted a Fire and Life safety inspection on September 30, 2013. A few minor issues were noted. Work orders for these items have been issued and their compliance will be verified in a future inspection. The ongoing issues involving the renovation of a clean agent system have not been resolved as of this date. All fire and life safety system services and inspections in place at this facility are current.

The policies and procedures, along with a trained staff used in this facility allow me to feel confident in granting Golden Gate Fields/Pacific Horse Racing Association a Fire Clearance to continue operations. This Fire Clearance will expire June 30, 2014. At which time a new clearance will need to be applied for. Should you have any further need of our service, please do not hesitate to contact us.

Yours sincerely,

Bill Svozil
Fire Marshal
City of Albany



Certificate of Insurance

No.: 2013-189

Dated: June 28, 201

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Division: Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
---	--

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-11	Jun 30, 2013 to Jun 30, 2014	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-013	Jun 30, 2013 to Jun 30, 2014	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Acciden
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, GLO9302183-11, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-013, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

161 Bay Street, Suite 1400
 Toronto, ON M5J 2S4
 Telephone: 416-868-2123
 Fax: -
 stephanie.leite@marsh.com

Marsh Canada Limited

By: _____

Stephanie Wong

Tip Sheets West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510

Armored Car Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144

Track Physician Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546

Official Program Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706

Jockey's Laundry F. Lorene Dutton, Bailey's Mobile Laundry, 3263 Vineyard Ave., Pleasanton, CA 94566

Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590

Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans: for the race meeting:
2014 Marketing Plan attached

B. Promotional/ Marketing budget for this race meeting: **Attached**

Promotional/Marketing budget for prior race meeting: **Attached**

C. Number of hosts and hostesses employed for meeting:
2 Customer Service Representatives
5 Group Sales Representatives

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with trained customer service representatives to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Renovation of bridle paths in barn area
- Ongoing barn and roadway renovations in the barn area
- Planted 300+ hedges near the turf course gap
- Repaired Winners Foundation trailer roof
- Made improvements to the barn area waste water containment and treatment system by replacing flow meter and check valves for wastewater sanitary system,

**2013/2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

December 2013

Friday, December 27
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, December 28
2014 Wall Calendar Giveaway

Sunday, December 29
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

January 2014

Friday, January 3
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 5
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 10
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, January 11
California Derby Day
FREE Long Sleeve Shirt
\$100,000 California Derby

Sunday, January 12
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 17
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 19
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

**2013/2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

Sunday, January 20
Martin Luther King, Jr. Day
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Friday, January 24
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, January 26
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, January 31
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

February 2014

Saturday, February 1
Big Game TV Giveaway
Raffle off one 42" HDTV after every race

Sunday, February 2
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 7
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, February 9
African American Heritage Celebration
Dollar Day & Cultural Celebration
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 14
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

**2013/2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

Saturday, February 15

33rd Running of the \$200,000 El Camino Real Derby GIII

Fleece Jacket Giveaway

\$100K Guaranteed Pick 4 Pool

Comcast SportsNet Broadcast

VIP Party

Hat Contest

\$100 Comcast Fan Bet

Sunday, February 16

Chinese New Year Celebration

Lucky Red Envelopes filled with

Mystery Mutuel Vouchers with each paid admission.

Live Chinese Entertainment- Lion Dancers, Acrobats,

Fortune Telling, Tea Tasting and the KTSF Lion Dance Competition!

Dollar Day

\$1 General Admission, \$1 General Parking,

\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Monday, February 17

Presidents' Day

Dollar Day

\$1 General Admission, \$1 General Parking,

\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 21

Senior Friday

Free Club House Admission for

THOROUGHBREDS Club members 65+

Sunday, February 23

Dollar Day

\$1 General Admission, \$1 General Parking,

\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, February 28

Senior Friday

Free Club House Admission for

THOROUGHBREDS Club members 65+

March 2013

Sunday, March 2

Dollar Day

\$1 General Admission, \$1 General Parking,

\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 7

Senior Friday

Free Club House Admission for

THOROUGHBREDS Club members 65+

**2013/2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

Sunday, March 9

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 14

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 16

St. Patrick's Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
\$100,000 Horseshoe Pitch / Irish Dancers / Bagpipers

Friday, March 21

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 23

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, March 28

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, March 30

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

APRIL 2014

Friday, April 4

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, April 6

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, April 11

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

**2013/2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

Sunday, April 13

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, April 18

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Sunday, April 20

Easter Sunday Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Family Day / Egg Hunt in Infield

Friday, April 25

Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, April 26

San Francisco Mile Day

\$100,000 San Francisco Mile
T-Shirt Giveaway
\$100,000 Guaranteed All Grass Pick 4 Pool

Sunday, April 27

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

May 2014

Friday, May 2

Kentucky Oaks

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 3

140th Kentucky Derby

Hat Contest

VIP Party

\$500 Triple Crown Challenge

Sunday, May 4

Dollar Day

\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, May 9

Senior Friday

Free Club House Admission for
THOROUGHBREDS Club members 65+

**2013/2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

Sunday, May 11
Mother's Day Brunch
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, May 16
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 17
Military Appreciation Day
Preakness Stakes Party
Mystery Mutuel Voucher
\$500 Triple Crown Challenge
Tanks / Trucks / Booths

Sunday, May 18
Filipino Fest
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Filipino Heritage Celebration
Free Concert During the Races

Friday, May 23
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, May 24
Hispanic Heritage Dollar Day Concert Series
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, May 25
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Monday, May 26
FREE Giveaway TBA
Family Day
\$100,000 Berkeley Stakes GIII
Free Concert During the Races

Friday, May 23
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

**2013/2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

Saturday, May 24
Hispanic Heritage Dollar Day Concert Series
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

June 2014

Sunday, June 1
Wiener Nationals
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Friday, June 6
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, June 7
Belmont Stakes Wine Festival
Giveaway: TBA Only if Triple Crown Contender
\$500 Triple Crown Challenge
Live Music

Sunday, June 8
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, June 13
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

Saturday, June 14
Hispanic Heritage Dollar Day Concert Series
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, June 15
Father's Day
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

Friday, June 20
Senior Friday
Free Club House Admission for
THOROUGHBREDS Club members 65+

**2013/2014 GOLDEN GATE FIELDS
WINTER/SPRING MEETS PROMOTIONAL PLAN**

Saturday, June 21
Hispanic Heritage Dollar Day Concert Series
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers

Sunday, June 22
Closing Day
Heroes & Horses: Law Enforcement Appreciation
Dollar Day
\$1 General Admission, \$1 General Parking,
\$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers
Free Concert During the Races

2013/2014 WINTER/SPRING PROMOTIONAL BUDGET

Advertising: \$627,284

Calendar Giveaway: \$3,000

Cal Derby Long Sleeve: \$18,000

Big Game TV Giveaway: \$5,000

El Camino Fleece: \$35,000

Chinese New Year MMV: \$18,000

Chinese New Year Talent: \$7,800

St. Patrick's Horseshoe Pitch: \$1,500

Easter Family Day: \$5,000

SF Mile T-Shirt Giveaway: \$13,000

Kentucky Derby Hat Contest: \$1,800

Kentucky Derby Dixieland Band: \$2,500

Preakness Free Bet MMV: \$17,500

Filipino Fest: \$7,000

Spring Concert Series: \$35,000

Hispanic Heritage Concert Series: \$15,000

Total Marketing Budget: \$812,384

2012/2013 Golden Gate Fields Winter/Spring Promotions Calendar**December 2012:**

- Dec 26: Opening Day
- Dec 29: 2013 Wall Calendar Giveaway
- Dec 30: Dollar NFL Sunday

January 2013:

- Jan 6: Dollar Day
- Jan 12: California Derby Day
Free Hooded Sweatshirt
- Jan 13: Dollar Day
- Jan 20: Dollar Day
- Jan 27: Dollar Day

February 2013:

- Feb 2: Super Bowl TV Giveaway
- Feb 3: Dollar Day
- Feb 16: El Camino Real Derby
Free Fleece Jacket
- Feb 17: Dollar Day
- Feb 24: Chinese New Year Celebration

March 2013:

- Mar 3: Dollar Day
- Mar 10: Dollar Day
- Mar 17: St. Patrick's Day Celebration
\$100,000 Horseshoe Pitch
Dollar Day
- Mar 24: Dollar Day
- Mar 31: Easter Family Day
Dollar Day

April 2013:

- Apr 6: Santa Anita Derby Day
- Apr 7: Dollar Day

2012/2013 Golden Gate Fields Winter/Spring Promotions Calendar

- Apr 14: Dollar Day
- Apr 20: T-Shirt Giveaway (first 500 fans)
- Apr 21: Dollar Day
- Apr 27: SF Mile Day
San Francisco Day at the Races
- Apr 28: Dollar Day
- May 2013:**
- May 4: Kentucky Derby Day
Hat Contest
VIP Party
- May 5: Cinco De Mayo
Dollar Day
- May 12: Mother's Day
Brunch
Dollar Day
Spring Concert Series
- May 18: Preakness Stakes
Triple Crown Challenge
- May 19: Filipino Fest
Dollar Day
Spring Concert Series
- May 25: Photo Day at the Races
- May 26: Dollar Day
Spring Concert Series
- May 27: Memorial Day
Beach Chair Giveaway
Spring Concert Series
- June 2013:**
- Jun 2: Firefighter Appreciation Day
Chili Cookoff
Firefighter challenges between the races
Fire Truck Display
Dollar Day
Spring Concert Series
- Jun 8: Belmont Stakes Day
Wine Festival
- Jun 9: Fiesta Hispana
Dollar Day
Spring Concert Series

2012/2013 Golden Gate Fields Winter/Spring Promotions Calendar

Jun 15: Photo Day at the Races
Wiener Nationals

Jun 16: Closing Day
Law Enforcement Appreciation Day
Dollar Day
Spring Concert / End of Meet Party

Golden Gate Fields Marketing Budget: Winter/Spring 2012/2013

Advertising: \$568,485

Calendar Giveaway: \$3,000

Cal Derby Sweatshirt: \$18,000

Super Bowl TV Giveaway: \$5,000

St. Patrick's Horseshoe Pitch: \$15,000

El Camino Fleece: \$20,000

Chinese New Year MMV: \$12,000

Chinese New Year Talent: \$5,000

Easter Family Day: \$4,000

April 20 T-Shirt Giveaway: \$1,500

Kentucky Derby Hat Contest: \$1,800

Kentucky Derby Dixieland Band: \$1,000

Preakness Free Bet Day: \$17,500

Filipino Fest: \$7,000

Spring Concert Series: \$35,000

Hispanic Heritage Day: \$8,000

Total Marketing Budget: \$722,285

- replaced two (2) stormwater pumps, and flushed/cleaned all pump stations.
 - Cleaned sediment out of Pond 3 and reused material in infield
 - Replaced one (1) Gap Gate
 - Repaired turf course timing lights
 - Repaired 2-60' sections of barn area stormwater/sewer line asphalt
 - Painted Racing Office and Steward's Office
2. Fans
- Installed 52+ new televisions in the Turf Club and Club House
 - Replaced audio system in Grandstand Paddock Pub(A Deck) to enhance fan listening
 - Replaced flooring in the restrooms in the Turf Club Terrace with new ceramic tile
 - Replacing carpet in designated areas of the Club House and Grandstand
 - Added an ATM in the Turf Club and an ADA ATM in the Club House
 - Purchased new ID scanners used at F&B Dollar Day stands to make lines move more efficiently
3. Facilities in the restricted areas Equine Hospital

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$8.00 – Bundled price includes free program
Admission (turf club)	\$10.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$3.00 (Free with Thoroughbreds card)
Parking (general)	\$5.00 previously \$4.00
Parking (preferred)	\$10.00 previously \$8.00
Parking (valet)	\$10.00 previously \$8.00
Programs (on-track)	\$2.50
(off-track)	\$2.50

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$6.00
11-20 Visits	\$4.00	11-20 Visits	\$5.00
21-30 Visits	\$3.00	21-30 Visits	\$4.00
31-40 Visits	\$2.00	31-40 Visits	\$3.00
Over 40 Visits	\$1.00	Over 40 Visits	\$2.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:

Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

20. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack: **128**

C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**

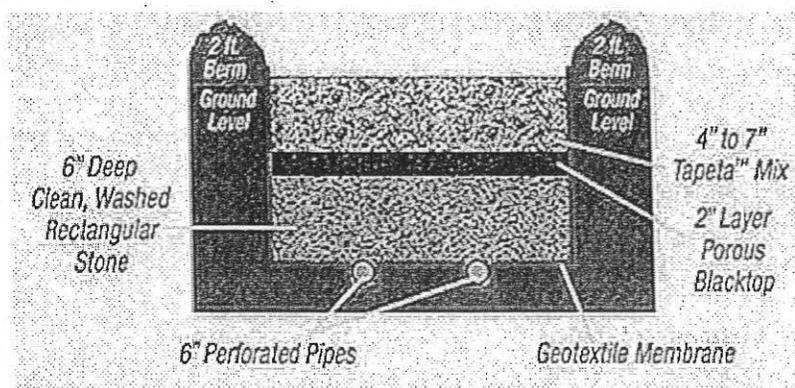
D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

21. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Juan Meza
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC and CTT agreements will be forwarded to Board upon receipt
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**

- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**

- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CALVIN J RAINY
 Print Name


 Signature

VICE PRESIDENT
 Print Title

9/22/13
 Date

STAFF ANALYSIS
October 24, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER, LLC AT CAL EXPO DECEMBER 27, 2013 THROUGH MAY 17, 2014.

Watch and Wager.com, LLC (WAW) is applying to run a harness race meeting at Cal Expo. WAW is owned by Watch and Wager Ltd. an Isle of Man, United Kingdom company. Watch and Wager Ltd. is a wholly owned subsidiary of Webis Holdings PLC. Watch and Wager Ltd. holds licenses with the Isle of Man Gambling Supervision Commission and the North Dakota Racing Commission for operating the advance deposit wagering platforms link2bet and Watch and Wager. Golden Bear Racing, LLC (GBR) is under a management agreement with WAW. GBR will manage the day to day operations of the race meeting.

- WAW filed its application to conduct a harness horse racing meeting at Cal Expo. WAW proposes to conduct a harness race meeting December 27, 2013 through May 17, 2014, or 42 days, 7 days less than WAW raced in its 2013 winter race meet. In 2013 Watch and Wager raced December 26, 2012 through May 25, 2013. The association proposes to race a total of approximately 630 races. The (actual) average daily purse for the Watch and Wager 2013 meet during the same time period was \$52,043. The (estimated) average daily purse for this meet is \$50,803.
- The race dates proposed are the dates allocated:

December - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing 2 nights per week December through May, Friday and Saturday.
- First post 5:45 p.m. daily.
- WAW will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. Watch and Wagers initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b). WAW has a bond on file with the Board.

- WAW is requesting a takeout reduction for three wagers on its nightly harness racing program. The proposed reduction is being sought for the \$1 Pick 4; \$0.50 Pick 5 and the \$0.10 Super Hi-5. The Pick 4; Pick 5 and Pentafecta wagers are exotic wagers. The harness takeout for exotic wagers is 24.18% and WAW is seeking a 16% takeout for the three wagers listed. WAW has cited Business and Professions Code section 19610.8 on which it base its reduction request.

Business and Profession Code section 19610.8 states upon the joint request of the association or fair accepting the wager, and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the Board may set the total percentage deducted from the parimutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager. WAW is seeking a 16% takeout for the \$ 1 Pick 4; ¢.50 Pick 5 and the ¢.10 Super Hi-5. The CHHA has approved this joint request.

- Wagering program will use CHRB and ARCI rules.
 - Early wagering will begin at 10:00 a.m. each day.
 - \$0.50 PNP5 starting on the third race. 16% takeout, One tier payoff 100% carryover.
 - \$0.20 PNP4 beginning on race 11 on 15 race card, race 10 on 14 race card. 16% takeout.
 - \$.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no ticket has five winners. 16% takeout. Super High-5 will be carded as the last race. ARCI-004-105-X-Option3.
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires TVG, and WAW.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code section 19604.
- WAW has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Carl Sobey is appointed as horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.

WAW has provided an update on the Cal Expo backstretch housing issue. As of July 31, 2012, the fire marshal would no longer allow grooms/caretakers overnight in the Cal Expo tack rooms. On November 1, 2012, WAW and Cal Expo were able to obtain a conditional use contingent upon submission of a permanent backstretch housing proposal prior to February 1, 2013. The proposal was submitted, but subsequently rejected in April 2013. The conditional use has expired as of May 25, 2013. There is no backstretch housing available for the proposed race meet of December 27, 2013 through May 17, 2014.

- A WAW representative has reported that the Cal Expo track site will have one paramedic and one emergency medical technician (EMT) in the on-track ambulance.

Specific information which will need **updating** for the proposed race dates:

1. License for the Horse Identifier and
2. License for the Patrol Judge/Starter

RECOMMENDATION:

Staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: WatchandWager.com LLC, 20 California St., 7th Floor, San Francisco, CA 94111 415- 503-4041, Ed Comins, ecomins@watchandwager.com

B. Breed of horse: TB QH H

C. Racetrack name: California Exposition & State Fair (Cal Expo)

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars. Bond on File with Board.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting December 27, 2013 thru May 17, 2014

B. Actual dates racing will be held: December: 27, 28; January: 3, 4, 10, 11, 17, 18, 24, 25, 31; February: 1, 7, 8, 14, 15, 21, 22, 28; March: 1, 7, 8, 14, 15, 21, 22, 28, 29; April: 4, 5, 11, 12, 18, 19, 25, 26; May: 2, 3, 9, 10, 16, 17

C. Total number of days or nights of racing: 42

CHRB CERTIFICATION

Application received: 10/11/13
Deposit received: Carried Over
Reviewed: 10/15/13

Hearing date: 10/24/13
Approved date:
License number:

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other : Friday/Saturday

E. Number of days or nights of racing per week: 2

3. RACING PROGRAM

A. Total number of races: Up to 630

B. Number of races for each day or night: Up to 15 races nightly.

C. Total number of stakes races: 41.

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

Stake schedule attached.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program: First Post 5:45pm. Post times to be coordinated with Los Alamitos, and any Thoroughbred twilight or evening programs if applicable. Post Time schedule attached.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

C. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:



Operated by Golden Bear Racing, LLC

Cal Expo Harness Racing Calendar Winter/Spring-2014

Denotes live racing

December 2013

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January 2014

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February 2014

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March 2014

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					



Operated by Golden Bear Racing, LLC

Cal Expo Harness Racing Calendar Winter/Spring-2014

 Denotes live racing

April 2014

	1	2	3	4	5	
						
6	7	8	9	10	11	12
						
13	14	15	16	17	18	19
						
20	21	22	23	24	25	26
						
27	28	29	30			

May 2014

	1	2	3			
						
4	5	6	7	8	9	10
						
11	12	13	14	15	16	17
						
18	19	20	21	22	23	24
25	26	27	28	29	30	31

2013 / 2014 STAKES SCHEDULE



Operating Harness Racing at Cal Expo
Managed By Golden Bear Racing, LLC

DECEMBER

FRIDAY 27	BUDAHN	LEG 1	\$4,000
SATURDAY 28	GORDON	FINAL	\$6,000

JANUARY

FRIDAY 3	BUDAHN CALIFORNIA SIRES STAKE 4 YO FILLY	LEG 2 PACE	\$4,000 \$10,000
SATURDAY 4	CALIFORNIA SIRES STAKE 4 YO C & G	PACE	\$10,000
FRIDAY 10	BUDAHN BECK	FINAL LEG 1	\$7,000 \$4,000
FRIDAY 1	CALIFORNIA SIRES STAKE 4 YO FILLY CALIFORNIA SIRES STAKE 4 YO ALL SEX CALIFORNIA SIRES STAKE 3 YO FILLY CALIFORNIA SIRES STAKE 3 YO ALL SEX	PACE TROT PACE TROT	\$10,000 \$10,000 \$10,000 \$10,000
SATURDAY 18	O'BRIEN CALIFORNIA SIRES STAKE 4 YO C & G CALIFORNIA SIRES STAKE 3 YO C & G	LEG 1 PACE PACE	\$3,000 \$10,000 \$10,000
FRIDAY 24	BECK	LEG 2	\$4,000
SATURDAY 25	O'BRIEN	LEG 2	\$3,000
FRIDAY 31	BECK CALIFORNIA SIRES STAKE 4 YO ALL SEX CALIFORNIA SIRES STAKE 3 YO FILLY CALIFORNIA SIRES STAKE 3 YO ALL SEX	FINAL TROT PACE TROT	\$7,000 \$10,000 \$10,000 \$10,000

FEBRUARY

SATURDAY 1	O'BRIEN CALIFORNIA SIRES STAKE 3 YO C & G	FINAL PACE	\$5,000 \$10,000
FRIDAY 7	KIRSCHENBAUM STALEY	LEG 1 LEG 1	\$2,400 \$2,100
SATURDAY 8	SCHWARTZ SHAPIRO GOUDREAU	LEG 1 LEG 1 LEG 1	\$2,400 \$2,100 \$4,000
FRIDAY 14	KIRSCHENBAUM STALEY CALIFORNIA SIRES STAKE 3 YO FILLY CALIFORNIA SIRES STAKE 3 YO ALL SEX	LEG 2 LEG 2 PACE TROT	\$2,400 \$2,100 \$10,000 \$10,000

SATURDAY 15	SCHWARTZ SHAPIRO GOUDREAU CALIFORNIA SIRES STAKE 3 YO C & G	LEG 2 LEG 2 LEG 2 PACE	\$2,400 \$2,100 \$4,000 \$10,000
FRIDAY 21	KIRSCHENBAUM STALEY	FINAL FINAL	\$5,500 \$5,000
SATURDAY 22	SCHWARTZ SHAPIRO GOUDREAU	FINAL FINAL FINAL	\$5,500 \$5,000 \$7,000
FRIDAY 28	CALIFORNIA SIRES STAKE 3 YO FILLY CALIFORNIA SIRES STAKE 3 YO ALL SEX	PACE TROT	\$10,000 \$10,000

MARCH

SATURDAY 1	GRUNDY CALIFORNIA SIRES STAKE 3 YO C & G	LEG 1 PACE	\$4,500 \$10,000
SATURDAY 8	GRUNDY	LEG 2	\$4,500
FRIDAY 14	CALIFORNIA SIRES STAKE 3 YO FILLY CALIFORNIA SIRES STAKE 3 YO ALL SEX	PACE TROT	\$10,000 \$10,000
SATURDAY 15	GRUNDY CALIFORNIA SIRES STAKE C & G	FINAL PACE	\$7,500 \$10,000
FRIDAY 21	BERGSTEIN	LEG 1	\$5,000
SATURDAY 22	ARNOLD	LEG 1	\$5,000
FRIDAY 28	BERGSTEIN CALIFORNIA SIRES STAKE 3 YO FILLY CALIFORNIA SIRES STAKE 3 YO ALL SEX	LEG 2 PACE TROT	\$5,000 \$10,000 \$10,000
SATURDAY 29	ARNOLD CALIFORNIA SIRES STAKE 3 YO C & G	LEG 2 PACE	\$5,000 \$10,000

APRIL

FRIDAY 4	BERGSTEIN	FINAL	\$8,000
SATURDAY 5	ARNOLD	FINAL	\$8,000
FRIDAY 11	CALIFORNIA SIRES STAKE 3 YO FILLY CALIFORNIA SIRES STAKE 3 YO ALL SEX	PACE TROT	\$10,000 \$10,000
SATURDAY 12	CALIFORNIA SIRES STAKE 3 YO C & G	PACE	\$10,000
FRIDAY 25	CALIFORNIA SIRES STAKE 3 YO FILLY CALIFORNIA SIRES STAKE 3 YO ALL SEX	PACE TROT	\$10,000 \$10,000
SATURDAY 26	CALIFORNIA SIRES STAKE 3 YO C & G	PACE	\$10,000


 The logo for "watch wager" is displayed in white text on a black rectangular background. The word "watch" is on the left, followed by a small graphic of a horse head profile, and the word "wager" is on the right.

watch wager

Cal Expo Harness Racing

Winter / Spring 2014 Friday and Saturday Post Time Schedule.

Race 1	5:45
Race 2	6:12
Race 3	6:38
Race 4	7:06
Race 5	7:28
Race 6	7:52
Race 7	8:15
Race 8	8:38
Race 9	9:01
Race 10	9:24
Race 11	9:46
Race 12	10:07
Race 13	10:29
Race 14	10:49
Race 15 *	11:09

* if applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC: WatchandWager.com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: Ed Comins, Managing Member, Chief Operating Officer: 0 Shares
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Watch and Wager.com Ltd 100 % Shareholder
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.
8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2

10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, COO, 0 Shares.

Watch and Wager.com Ltd is a wholly owned subsidiary of Webis Holdings PLC, a company listed on AIM (London) United Kingdom.

11. Are the shares listed for public trading? Yes X
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

WatchandWager.com audited financials attached,

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- Managing Member & Chief Operating Officer, Watch & Wager, com LLC.

Watch & Wager.com LLC has entered into a management agreement with Golden Bear

Racing LLC.

Golden Bear Racing LLC is registered in California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watch & Wager .com LLC the day-to-day operations of the proposed harness meeting. Management agreement on file with the board.

Christopher Schick,	General Manager
Benjamin Kenney,	Chief Financial Officer
Kate Phariss,	Director Backstretch Operations
Glen Harris,	Mutuel Manager
J. R. Williams,	Track Superintendent
Gary Seibel,	Announcer/ Simulcast Host
Marty Bridges,	Program Director

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Ben Kenney/ Christopher Schick 2335 American River Dr, Suite 301, Sacramento, CA 95825. ben@goldenbearracing.com
chris@goldenbearracing.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2013 (YTD) 46 Days	30,655,125	113,356
2012 (68 Days)	44,385,356	188,556
2011 (117 Days)	69, 237, 361	304, 981
2010 (134 Days)	77, 767, 118	389, 129
2009 (138 Days)	88, 225, 844	474, 422
2008 (142 Days)	97, 847, 893	494, 338

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: Proposed Current Meet – December 27, 2013 thru May 17, 2014, 42 Racing Nights.

Prior meet comparison December 26, 2012 thru May 25, 2012. 49 Racing Nights.

1. All races other than stakes:

Current meet estimate: \$1,568,500

Prior meet actual: \$1,962,759

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$37,345

Prior meet actual: \$40,056.

2. Overnight stakes

Current meet estimate: \$153,500

Prior meet actual: \$141,000

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$3,655

Prior meet actual: \$2,878

3. Non-overnight stakes:

Current meet estimate: \$300,000

Prior meet actual: \$270,000

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$7,143

Prior meet actual: \$5,510

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$2,022,000

Prior meet actual: \$2,373,759

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races: California Sires Stakes 3Yo Pacers and Trotters.

Current meet estimate: \$ 300,000

Prior meet actual: \$ 80,000

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: \$7,143

Prior meet actual: \$1,633

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$55,934

Prior meet actual: \$65,257

- D. Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate: \$95,810

Prior meet actual: \$111,116

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$2,133,744

Prior meet actual: \$2,550,131

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$50,803

Prior meet actual: \$52,043

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,312,360

Prior meet actual: \$1,781,110

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$31,247

Prior meet actual: \$36,349

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$213,640

Prior meet actual: \$246,880

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$5,087

Prior meet actual: \$5,038

- H. Bank and account number for the Paymaster of Purses' purse account: On File with CHRB.

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corp. 1816 Maryal Dr. Sacramento, Ca. 95864 916-482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained

from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1020
- B. Minimum number of stalls believed necessary for the meeting: 675
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: NA
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: NA
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. NA

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c):
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9)

Proposed Wagering Format

The following CHRB and ARCI wagering rules are requested.

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta (0.20 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (0.20 PK3)	1977
Pick-4 (0.20 PK4)	1976.9
Pick-5 (.50 PK5)	1976.9
Pentafecta (.10 Super H5)	ARCI 004-105-X- Option 3

Race 1: 1E, 1DD, 0.20 Tri
 Race 2: 1E, 0.20 Tri, .10 SF
 Race 3: 1E, 0.20 Tri, .50 PK5
 Race 4: 1E, 0.20 Tri, .10SF, 0.20 PK3
 Race 5: 1E, 0.20 Tri, .10SF
 Race 6: 1E, 0.20 Tri, .10SF
 Race 7: 1E, 0.20 Tri, .10SF, 0.20 PK3
 Race 8: 1E, 0.20 Tri, .10SF
 Race 9: 1E,0.20 Tri, .10SF
 Race 10: 1E,0.20 Tri, .10SF, 0.20 PK3
 Race 11: 1E, 0.20 Tri, 0.20 PK4
 Race 12: 1E,0.20 Tri, .10SF
 Race 13: 1E, 0.20 Tri, .10SF, 0.20 PK3
 Race 14: 1E, 0.20 Tri, .10SF, 1DD
 Race 15: 1E,0.20 Tri, .10 Super H5

- * \$0.50 PK5 starting on the third race. 16% takeout, One tier payoff 100% carryover.
- * \$0.20 PK4 beginning on race 11 on 15 race card, race 10 on 14 race card. 16% takeout.

\$.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no ticket has five winners. 16% takeout. Super High-5 will be carded as the last race. ARCI-004-105-X- Option3.

- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: May 17, 2014
- C. Request for 16 % Takeout on .50 Pick-5
 Request for 16% Takeout on \$1 Pick-4
 Request for 16% Takeout on .10 Super Hi-5
 Request for Friday \$15, 000 Guaranteed Pick-4 Pool

1.415.503.4041 (US)

1.415.677.9798 (US)

www.watchandwager.com**watch  wager**20 California Street 7th Floor,
San Francisco, CA 94111

Letter requesting takeout reduction

Watch and Wager.com LLC, and the California Harness Horsemen's Association jointly request the reduction in takeout for three wagers on the nightly program. The new wagers that are requested for reduction are the \$0.50 Pick-5 wager, the \$1.00 Pick-4 wager, and the new \$.10 pentafecta on the final event. The normal takeout for these wagers would be 24.18%, we are requesting a 16% takeout on these three wagers.

Business and Professions 19610.8 states that upon the joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association or fair accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for proposition wagers and any new type of wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

The previous harness association Cal Expo had in their preceding two meets received approval under this section for a nightly takeout reduction to 15% for both of that associations two nightly Pick-4 wagers. These wagers were very popular with the public, and provided a good marketing tool. Those wagers were also approved by the CHHA.

California Harness Horsemen's Association

P.O. Box 254767
Sacramento, CA 95865
(916) 263-7888; fax (916) 263-7887

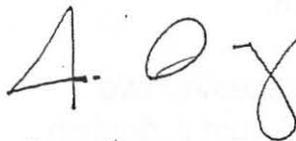
July 17, 2013

Mr. Kirk Breed
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Breed:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning October 5, 2013 and concluding in May of 2014.

Sincerely,
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

A handwritten signature in black ink, appearing to read "Jimmy Perez", with a long, sweeping flourish extending from the end of the signature.

Jimmy Perez,
Executive Director

Request for Saturday \$20,000 Guaranteed Pick-4 Pool

Attached is a letter requesting the reduced takeout promotional wagers.

- D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? 10 am Specify days and time for "early bird" wagering:
 All live race days.
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Sportech (Formerly Scientific Games) Statewide contract, Contract expires Sept 2015.

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
 TVG
 Xpressbet
 Twinpires
 Watch and Wager.com LLC
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting: On file with board.
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No
 If yes, attach a copy of the approval. Attached
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
 Southern California Off Track Wagering Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

 Scotwinc agreement on file with the board.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal: All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.

California Harness Horsemen's Association

P.O. Box 254767

Sacramento, CA 95865

(916) 263-7888

July 29, 2013

Mr. Kirk Breed

Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Dear Mr. Breed:

This letter is to acknowledge our support for and approval of the out-of-state simulcasting of the nightly California harness races from Watch & Wager at Cal Expo in Sacramento, CA to Board approved in-state and out-of-state simulcast venues during the period of time that Watch & Wager is conducting its harness race meet at Cal Expo. This also approves the use of live harness racing for wagering by ADW providers that have been approved by the Board and have an agreement with Watch & Wager as required by California B&P Code, Section 19604.

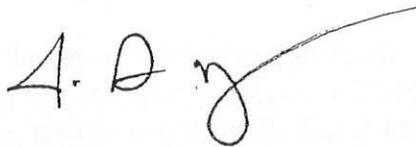
These approvals shall commence with the scheduled harness meet on October 5, 2013 and extend through the final day of racing in May of 2014. It should be noted that the CHHA does reserve the right to withdraw its approval upon notification to the Board by a 15 day written notice.

We are pleased to work with Watch & Wager to send the audio and visual signals of our nightly live races to satellite wagering venues and ADW providers that are licensed by the California Horse Racing Board.

Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

Watch & Wager LLC @ Cal Expo Harness

OUT OF STATE SIMULCAST WAGERING LOCATIONS

American Tab, Oregon	New Jersey Casinos, New Jersey
Atlantic City, New Jersey	New York City OTB, New York
Balmoral Park, Illinois	Northfield Park, Ohio
Capitol District OTB, New York	Northlands Park, BC, CAN
Catskill Region OTB, New York	Northville Downs, Michigan
Connecticut OTB, Connecticut	Ocean Downs, Maryland
Dark Horse Racing/ Avatar	Penn National Gaming, Pennsylvania
Diaryland Greyhound, Wisconsin	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
ExpressBet, California	Pompano Park, Florida
Euro Off Track, NA	Racing Channel, Oregon
Elite Turf Club,	Raceway Park, Ohio
Fire Lake Casino, Oklahoma	Racing & Gaming Services, St. Kitts
Foxwoods Casino, Connecticut	Rosecroft Raceway, Maryland
Fraser Downs, BC, CAN	Sam Houston Park, Texas
Freehold Raceway, New Jersey	Saratoga Harness, New York
Harrington Raceway, Delaware	Scioto Downs, Ohio
Hastings Park, BC, CAN	Southland Greyhound, Arkansas
Hawthorne Racecourse, Illinois	Sports Creek Raceway, Michigan
Hazel Park, Mi	Suffolk Downs, MA
Hippodrome de Montreal, QUE, CAN	Suffolk Regional OTB, New York
Hoosier Park, Indiana	Sunland Park, New Mexico
Illinois OTB, Illinois	Television Games Network (TVG)
Indianapolis Downs, Indiana	Thistledown, Ohio
International Racing Group, Curacao	The Downs at Albuquerque, New Mexico
Ladbrokes at Meadows, Pennsylvania	The Red Mile, Kentucky
Las Vegas Dissemination, Nevada	Tri-State Greyhound, WV
Les Bois Park, Idaho	TwinSpires.com
Lone Star Park, Texas	Watch and Wager.com LLC, ND
Maywood Park, Illinois	Western Fair, ONT, CAN
Meadowlands Race Track, New Jersey	Western Region OTB, New York
Mesquaki Casino, Iowa	Windsor Raceway, ONT, CAN
Mohawk Racetrack, ONT, CAN	Woodbine Entertainment. Group, ONT, CAN
Nassau Region OTB, New York	Yonkers Raceway, New York
Nevada Pari-mutuel Association, Nevada	XPressBet.com

Watch & Wager LLC @Cal Expo 2013 Harness Meet

**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR
POOLS WITH CAL EXPO.**

American Tab, Oregon	New Jersey Casinos, Atlantic City, Nj
Atlantic City, New Jersey	Northfield Park, Ohio
Balmoral Park, Illinois	Northlands Park, BC, CAN
Capitol District OTB, New York	Northville Downs, Michigan
Catskill Region OTB, New York	Ocean Downs, Maryland
Connecticut OTB, Connecticut	Penn National Gaming, Pennsylvania
Dark Horse Racing/ Avatar	Philadelphia Park, Pennsylvania
Diaryland Greyhound, Wisconsin	Plainridge Racecourse, Massachusetts
Downs at Albuquerque, New Mexico	Pompano Park, Florida
Elite Turf Club	Racing Channel, Oregon
ExpressBet, California	Raceway Park, Ohio
Euro Off Track, NA	Racing & Gaming Services, St. Kitts
European Wagering Services, LTD. Oregon	Sam Houston Park, Texas
Fire Lake Casino, Oklahoma	Saratoga Harness, New York
Foxwoods Casino, Connecticut	Scioto Downs, Ohio
Fraser Downs, BC, CAN	Southland Greyhound, Arkansas
Freehold Raceway, New Jersey	Sports Creek Raceway, Michigan
Harrington Raceway, Delaware	Suffolk Downs, MA
Hastings Park, BC, CAN	Suffolk Regional OTB, New York
Hawthorne Racecourse, Illinois	Sunland Park, New Mexico
Hazel Park, Mich	Television Games Network (TVG)
Hippodrome de Montreal, QUE, CAN	Thistledown, Ohio
Hoosier Park, Indiana	The Downs at Albuquerque, New Mexico
Illinois OTB, Illinois	The Red Mile, Kentucky
Indianapolis Downs, Indiana	Tri-State Greyhound, WV
International Racing Group, Curacao	TwinSpires.com
Ladbrokes at Meadows, Pennsylvania	Watch and Wager.com LLC
Las Vegas Dissemination, Nevada	Western Fair, ONT, CAN
Les Bois Park, Idaho	Western Region OTB, New York
Lone Star Park, Texas	Windsor Raceway, ONT, CAN
Maywood Park, Illinois	Woodbine Entertainment. Group, ONT, CAN
Meadowlands Race Track, New Jersey	XPressBet. com
Mesquaki Casino, Iowa	Yonkers Raceway, New York
Mohawk Racetrack, ONT, CAN	
Nassau Region OTB, New York	

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
All licensed California mini-satellite sites.
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Balmoral Park	TBD	Selected Feature
Maywood Park	TBD	Selected Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands Harness	TBD	Selected Feature
Hoosier Park	TBD	Selected Feature

Under current statute association proposes to import eight out of state races nightly during evenings of overlap with Los Alamitos.

On non-overlapped racing nights, the association proposes to import 16 out of state races.

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, Ca. 95821
- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, Ca 95821 501 C4 - 68-0031464
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s) : Dr. Clifford Zucco DVM
 Clerk of Scales : NA
 Clerk of the Course: Patricia Petersen
 Film Specialist: N/A
 Horse Identifier: Carl Sobey
 Horseshoe Inspector: Eddie Raczynski
 Paddock Judge: Walter Petersen
 Patrol Judges: Bill Vallandingham
 Placing Judges: Kathy Poor
 Starter: Bill Vallandingham
 Timer: Kathy Poor

B. Management officials in the racing department:

Director of Racing: Fred Kuebler
 Racing Secretary: Fred Kuebler
 Asst Racing Secretary: Brent Bridges
 Paymaster of Purses: Glen Harris

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd. Herald, Ca, 95638 916-956-3914; breezecsr@att.net

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 15, 2014

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Service provider : Pegasus Communications – Jim Porep, President. Contract expires May 2014.

Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 2014.

14. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached security organizational chart. Attached

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18



Operating Harness Racing at Cal Expo
Managed By Golden Bear Racing, LLC

Cal Expo Detention Barn Procedures

The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn located at the south end of the Cal Expo barn area.

There is a guard on duty out front of the detention barn. All personnel entering are required to be sign in the log book. Veterinarians are allowed in for Salix administration only.

All California Sire Stake races, along with designated overnight stakes are required for detention.

Detention barn horses are required to report by 12 noon to the detention barn.

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.

Cal Expo Detention Barn Information : The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn at the south end of the Cal Expo barn area.

All California Sire Stakes along with designated overnight and late closing finals will be designated Detention Barn races. Detention barn horses are required to report by 12 noon.

3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.

Currently seven races nightly, two horses per race, randomly drawn by the stewards. Association will evaluate for potential increase.
 - B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millimoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.
 - C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.
 - D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.
- C. Describe the electronic security system:
 1. Location and number of video surveillance cameras for the detention stall and stable gate. One surveillance camera and monitor will be located at both the detention barn and Gate 12 Main security gate.
- D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: American Medical Response, 1779 Tribute Rd, Sacramento, Ca, 95815 916- 563-0600
1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached.
On-track staff: Advanced Life Support Ambulances (ALS) – Staffed with one Paramedic and one Emergency Medical Technician.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: NA
1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. NA
- C. Describe the on-track first aid facility, including equipment and medical staffing: A minimum of two Cal Expo police officers are on duty during the racing program. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. In addition they provide basic first aid services.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Na**
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Ben Kenney, Safety Manager , Kate Pharris, Asst Safety Mgr
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Pretorian Insurance Co. Policy# EQB0102172
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.



AMERICAN MEDICAL RESPONSE

July 15, 2013

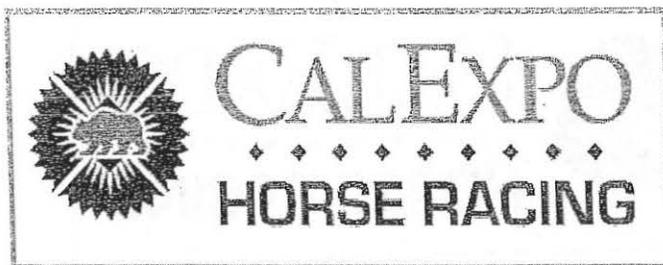
To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be verified as active and in good standing. Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

Jennifer L. Bales, MICP
(916) 563-0835
Operations Manager
AMR -- Sacramento County



Watch & Wager,com LLC @ Cal Expo

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

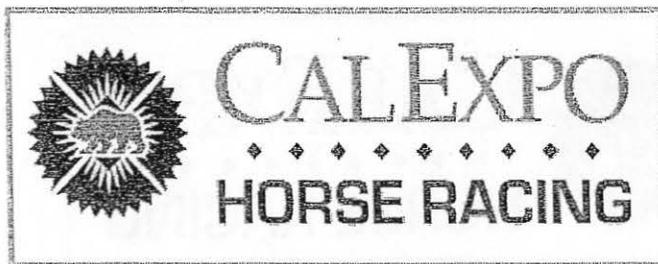
"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room



Watch & Wager.com LLC @ Cal Expo

"PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

"EVALUACION AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

"ATENCION AL ACSIDENTADO"

- El acidentado sera tratado de inmediato por (EMT).
Sies demaciado grave el accidente sera transportado por ambulancia



DEPARTMENT OF FORESTRY AND FIRE PROTECTION

Fire & Life Safety Division - South

602 E. Huntington Dr. Ste A

Monrovia, CA 91016

(626) 305-1908

(626) 305-5173 - fax

Website: www.fire.ca.gov

SPECIAL EVENT APPLICATION AND PERMIT

Facility Name California Exposition & State Fair
 Facility Address 1600 Exposition Blvd Fax (916) 263-7904
 City Sacramento Zip Code 95815 County Sacramento
 Facility Representative Paul Gillingham Phone (916) 416-1260
 E-Mail pgillingham@calexpo.com

TYPE OF ACTIVITY

FESTIVAL CONCERT TRADE SHOW OTHER Harness Racing

Event Sponsor Watch and Wager / Golden Bear Racing
 Event Location/Building Miller Lite Grandstand and Backstretch horse stables
 Event Date(s) October 5, 2013 through May 30, 2014 Estimated Daily Attendance 200
 Event Time(s) Start 6:00 am/pm Finish 11:00 am/pm
 Event Description Horse Racing event. All groom housing will be limited to code compliant dwellings within the Horseman's Trailer Park for the duration of this event. No human habitation of tack room or stalls will be allowed.

A site and floor plan must be submitted to the Office of the State Fire Marshal for approval **10 days prior to the event**.
 At a minimum the items listed below shall be shown and identified on the plans;

1. Emergency access for fire equipment must be provided
2. Location of all grandstands, stages, tents, temporary fencing, cooking areas, generators, vendors, etc.
3. Location of all exits, path of travel to exits, and specify all exit and exit aisle widths
4. Location of tables and chairs and/or chair rows
5. Location of fire extinguishers and electrical panels

Final approval of the permit may be subject to a field inspection. Stand-by personnel may be required for the event at the discretion of the Office of the State Fire Marshal.

This event shall be conducted in accordance with the Fire and Life Safety requirements of Title 19, Title 24, and the conditions noted on this permit. Non-compliance with either the code requirements or the noted conditions shall invalidate this permit.

For Dept. Use Only

Comments/Conditions: See enclosed Memorandum dated 8-16-13;
Re: 2013 Fall Harness Meet

PERMIT NOT VALID UNTIL SIGNED BY DEPUTY STATE FIRE MARSHAL

DSFM Signature G. Fowler Print Name G. Fowler Date 8-19-13
 Application Approved Denied SFM File # 04 34 04 0001

State of California

California Exposition & State Fair
 1600 Exposition Boulevard
 Sacramento, CA 95819
 Tel: (916) 263-7058
 Fax: (916) 263-7904

MEMORANDUM

Date: Aug 16, 2013
 To: Stephan C Guarino, Supervising Deputy
 CAL FIRE
 From: Paul Gillingham, Deputy Manager II *PG*
 Subject: **2013 Fall Harness Meet**

We are pleased to provide the following information about our application for Harness Racing from October 5th through May 30th.

- Watch and wager will provide overnight security from 10:00 PM to 6:00 PM on non-race nights and from 12:00 AM to 6:00 AM on nights with racing.
 - The security will be provided to insure safety of the Horses and inspect tack rooms.
 - Security will provide Cal Expo Police the eyes and ears necessary to catch any persons who ignore the order banning habitation of the stalls and or tack rooms.
- Each Horse Trainer will sign an acknowledgement of the rules relating to use of tack rooms that plainly states that "No one is allowed to live or sleep in tack-rooms during the run of the meet"
- Any person found in violation of Fire Marshall Orders by Cal Expo Police will be turned over to California Horse Racing Board for disciplinary action.
- Watch and Wager/Golden Bear Racing will conduct regular sweeps of the barns to identify individual not in compliance and report those individuals who are not following the rules. All violators will be reported to the appropriate persons at the Licensing board of the California Horse Racing Board for the appropriate action.
- Violators will be asked to vacate Cal Expo premises for the period of the current race meet.
- All stall assignments and Tack room Requests will be provide to the State Fire Marshalls Office upon request.

See Item attached showing Trainer Tack room Request.



Operating Harness Racing at Cal Expo
Managed By Golden Bear Racing, LLC

2013-2014 Harness Meet Trainer's Tackroom Request

Trainer: _____

Number of tackrooms requested: _____

**PLEASE REMEMBER: NO ONE IS
ALLOWED TO LIVE OR SLEEP IN
TACKROOMS DURING THIS MEET**

RULES:

- Absolutely no cooking or electrical appliances of any kind are allowed in the tackrooms.
- Tampering with smoke detectors or CO2 detectors is strictly forbidden.
- Each tackroom will be kept in a neat and orderly condition at all times.
- Tackroom windows may not be blocked in any way: i.e., curtains, blankets, furniture.

Please be aware that use of tackrooms is a privilege. Failure to adhere to these rules will be grounds for revocation of tackroom privileges. All tackrooms are subject to inspection at all times and violators will be permanently ejected from the Cal Expo grounds. This is a zero tolerance policy.

I, the undersigned, acknowledge, understand and accept the Watch and Wager rules for tackroom use.

Trainer's Name Printed

Trainer's Signature.



CERTIFICATE OF LIABILITY INSURANCE

7-38
DATE (MM/DD/YYYY)
7/24/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MOC Insurance Services License No. 0589960 44 Montgomery St., 17th Fl. San Francisco CA 94104	CONTACT NAME: Sara Przemielewski	
	PHONE (A/C No. Ext): (415) 957-0600	FAX (A/C No.): (415) 957-0577
INSURED WatchandWager.com LLC C/O Golden Bear Racing 2335 American River, Suite 301 Sacramento CA 95825	E-MAIL ADDRESS: sprzemielewski@maroevich.com	
	INSURER(S) AFFORDING COVERAGE	NAIC #
	INSURER A: Praetorian Insurance Company	
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES CERTIFICATE NUMBER: CL1372427509 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$
	GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	UMBRELLA LIAB EXCESS LIAB DED RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below			EQB0102172	8/3/2013	8/3/2014	<input checked="" type="checkbox"/> WC STATUTORY LIMITS E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)							

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE S Przemielewski/SPR

Attached Certificate

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: Oventions

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: Attached

B. Promotional/ Marketing budget for this race meeting: \$ \$38, 000

Promotional/Marketing budget for prior race meeting: \$ 34, 000

C. Number of hosts and hostesses employed for meeting: 2

D. Describe facilities set aside for new fans: None

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen : None at this time.
2. Fans: Big Screen TV rental. Upgraded graphics and simulcast presentation. Hiring of Gary Seibel as track announcer/ simulcast host.
3. Facilities in the restricted areas: None at this time.

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:
Admission (general) Free

Admission (clubhouse) Free
 Reserved seating (general) Na
 Reserved seating (clubhouse) Na
 Parking (general) Free
 Parking (preferred) Na
 Parking (valet) Na
 Programs (on-track) 1.50 * Previously the night industry published a joint program (Nightlines)
 We are now printing and distributing our own program.
 (off-track)1.50 *

- B. Describe any "Season Boxes" and "Turf Club Membership" fees: NA
- C. Describe any "package" plans such as combined parking, admission and program: Na

19. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

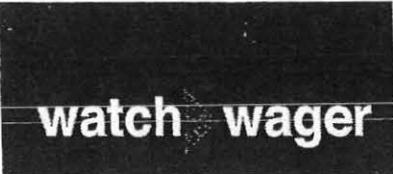
<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="46"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	Lounge area
<input type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
<input type="checkbox"/>		<input type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers:

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by (name) _____ on (date) _____.
- B. Number of rooms used for housing on the backstretch of the racetrack: None
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/50

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%
 The percent of cross slope in the center of the turns is: 5-6 %
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type

The logo for 'watch wager' is displayed in white lowercase letters on a black rectangular background. The word 'watch' is on the left and 'wager' is on the right, separated by a small white diamond shape.

Operating Harness Racing at Cal Expo
Managed By Golden Bear Racing, LLC

Track Safety Maintenance Program

During the non-rainy season, on a daily basis, the racetrack is watered and harrowed. During the morning work, the water truck and roller harrow work the track four times between the hours of 6:00 a.m. and 12:00 noon. Four thousand gallons of water is put on the track during each renovation (total of 16,000 gallons of water daily). The progression is the water truck followed by a Challenger tractor pulling a roller harrow. On race days at 2:00 p.m. two tractors with roller harrows and two water trucks begin to prepare for the night program. The tractors and water trucks work continuously until post time.

Harrowes are set daily to a depth of 5/8 of an inch.

The track is bladed for grade and elevation every Monday, Wednesday and Friday with a serrated blade edge to take out any high and low spots.

Clean, screened and washed fill sand is spread on the track with a sand truck as needed.

During the rainy season in the mornings, the tractor and roller harrow will go out four times between the hours of 6:00 a.m. to 12:00 noon. The squeegee will be used, when necessary, to take off excess water and mud, spreading the material toward the infield. Pylons are reset once or twice a day. Sand is spread according to weather using the sand truck. During the racing program, the squeegee may be used if the Track Superintendent feels it is necessary for safety.

The person in charge of all decisions concerning the racetrack is John R. Williams, Track Superintendent.

The track surface is tested annually by Soil and Plant Lab in San Jose and material added as required.

All sand is clean, washed and screened #2 fill sand ordered through the Tiechert Plant for consistency of surface.

All equipment is serviced and maintained six days a week by our in-house Track Mechanic. Major repair work on equipment is sent to outside contractors.

of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J, R, Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On File
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. NA

22. DECLARATIONS

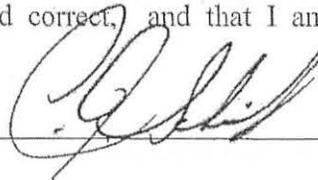
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Attached
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Lease agreement between Cal Expo and Watch and Wager LLC on file with the board.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exception

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J SCHICK



STAFF ANALYSIS
October 24, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION AT LOS ALAMITOS DECEMBER 27, 2013 THROUGH DECEMBER 21, 2014.

Los Alamitos Quarter Horse Racing Association (LAQHRA) filed its application to conduct a quarter horse racing meeting at Los Alamitos.

- December 27, 2013 through December 21, 2014, or 155 days, the same as 2013 allocated race dates. The association proposes to race a total of 1,400 races. The (estimated) average daily purse for the 2013 meet is \$101,225. The (estimated) average daily purse for this meet is \$100,235. The 2013 meet has not been completed.
- The race dates proposed are the dates the Board allocated.

December - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1 H	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4 H	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing 3 nights per week, Friday through Sunday, except February 2, 2014. Seven to 15 live races per night and 8 to 16 simulcast races.
- First live post 7:00 p.m. Friday and Saturday; 5:30 p.m. Sunday.
- Wagering program will use CHRB rules.
 - Early wagering will start at 9:30 a.m. Friday, Saturday and Sunday.
- Advance Deposit Wagering (ADW) provider is TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- A copy of the license agreement between ODS Technologies, L.P. dba TVG Network and Los Alamitos Quarter Horse Racing Association has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Juan Garcia is appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- LAQHRA has reported it will have two emergency medical technicians (EMT's) in the on-track ambulance during racing hours.

RECOMMENDATION:

Staff recommends approval of the application.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Cathy Monji
Los Alamitos Quarter Horse Racing Association
4961 Katella Ave.
Los Alamitos, CA 90720 714-820-2800 main 714-820-2820 fax
cmonji@losalamitos.com
- B. Breed of horse: TB QH H
- C. Racetrack name: Los Alamitos Race Course
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001
 Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: December 27, 2013-December 21, 2014
- B. Actual dates racing will be held:

December 27-29, 2013 (3)	July 4-6, 11-13, 18-20, 25-27 (12)
January 3-5, 10-12, 17-19, 24-26, 31 (13)	August 1-3, 8-10, 15-17, 22-24, 29-31 (15)
February 1, 7-9, 14-16, 21-23, 28 (11)	September 5-7, 12-14, 19-21, 26-28 (12)
March 1-2, 7-9, 14-16, 21-23, 28-30 (14)	October 3-5, 10-12, 17-19, 24-26, 31 (13)
April 4-6, 11-13, 18-20, 25-27 (12)	November 1-2, 7-9, 14-16, 21-23, 28-30 (14)
May 2-4, 9-11, 16-18, 23-25, 30-31 (14)	December 5-7, 12-14, 19-21 (9)
June 1, 6-8, 13-15, 20-22, 27-29 (13)	

CHRB CERTIFICATION	
Application received: 9/25/13 Deposit received: 10K Reviewed: <i>[Signature]</i>	Hearing date: 10/24/13 Approved date: License number:

- C. Total number of days or nights of racing: 155
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Fri - Sun
- E. Number of days or nights of racing per week: 3 nights per week except Sunday February 2, 2014

3. RACING PROGRAM

- A. Total number of races: 1,400 live and 1,800 simulcast = 3,200
- B. Number of races for each day or night: 7-15 live, 8-16 incoming simulcast
- C. Total number of stakes races:71
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

Race	Friday	Saturday	Sunday	Sunday-Del Mar/Fairplex
1	7:00	7:00	5:30	6:30
2	7:25	7:25	5:55	6:55
3	7:50	7:50	6:20	7:20
4	8:15	8:15	6:45	7:45
5	8:40	8:40	7:10	8:10
6	9:05	9:05	7:35	8:35
7	9:30	9:30	8:00	9:00
8	9:55	9:55	8:25	9:25
9	10:20	10:20	8:50	9:50
10	10:45	10:45	9:15	10:15
11	11:10	11:10	9:40	10:40
12		11:35	10:05	11:05
13		11:59	10:30	11:30
14			10:55	
15			11:20	

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
2014 STAKES SCHEDULE**

To Be Run	EVENT	Purse & Distance
SUNDAY DECEMBER 29, 2013	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 5, 2014	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 12, 2014	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
SUNDAY JANUARY 19, 2014	(4) CYPRESS HANDICAP FOUR YEAR OLDS	\$25,000 350 YARDS
SATURDAY FEBRUARY 15, 2014	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 25, 2014	\$200,000 projected 400 YARDS
SUNDAY FEBRUARY 16, 2014	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 26, 2014	\$200,000 projected 400 YARDS
SATURDAY FEBRUARY 22, 2014	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 23, 2014	(8) LOS ALAMITOS MAIDEN STAKES THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, FEBRUARY 1, 2014	\$125,000 projected 350 YARDS
SUNDAY MARCH 23, 2014	(9) KATELLA HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY APRIL 5, 2014	(10) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 15, 2014	\$200,000 projected 400 YARDS
SUNDAY APRIL 6, 2014	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 16, 2014	\$200,000 projected 400 YARDS
SUNDAY APRIL 13, 2014	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SATURDAY MAY 3, 2014	(13) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 10, 2014	(14) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY MAY 11, 2014	(15) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 17, 2014	(16) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 18, 2014	(17) KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 4, 2014	\$300,000 projected 300 YARDS
SATURDAY JUNE 14, 2014	(18) LOS ALAMITOS CLAIMING FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, MAY 25, 2014	\$75,000 projected 350 YARDS
SATURDAY JUNE 21, 2014	(19) IVAN ASHMENT HANDICAP FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JUNE 22, 2014	(20) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 8, 2014	\$1,000,000 projected 350 YARDS
SUNDAY JUNE 22, 2014	(21) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 29, 2014	(22) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
FRIDAY JULY 4, 2014	(23) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 6, 2014	(24) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 15, 2014	\$200,000 projected 400 YARDS
SATURDAY JULY 26, 2014	(25) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 12, 2014	\$425,000 projected 350 YARDS
SATURDAY JULY 26, 2014	(26) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 13, 2014	\$225,000 projected 400 YARDS
SATURDAY JULY 26, 2014	(27) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 26, 2014	(28) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$50,000 400 YARDS
SATURDAY JULY 26, 2014	(29) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 26, 2014	(30) CALIFORNIA BREEDERS FRESHMAN STAKES TWO-YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 26, 2014	(31) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 26, 2014	(32) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 26, 2014	(33) CALIFORNIA BREEDERS 550 SOPHOMORE STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 550 YARDS
SATURDAY JULY 26, 2014	(34) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 26, 2014	(35) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 26, 2014	(36) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 26, 2014	(37) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 26, 2014	(38) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY AUGUST 16, 2014	(39) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, AUGUST 1, 2014	\$20,000 Added \$7,500 Added + \$12,500 B of A Racing Challenge 400 YARDS
SUNDAY AUGUST 17, 2014	(40) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, AUGUST 2, 2014	\$70,000 Added \$15,000 Added + \$55,000 B of A Racing Challenge 440 YARDS
FRIDAY AUGUST 22, 2014	(41) ADEQUAN CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 3, 2014	\$30,000 Added \$7,500 Added + \$22,500 B of A Racing Challenge 400 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY AUGUST 23, 2014	(42) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 9, 2014	\$25,000 Added \$7,500 Added + \$17,500 B of A Racing Challenge 350 YARDS
SATURDAY AUGUST 23, 2014	(43) ZOETIS STARTER ALLOWANCE CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, AUGUST 8, 2014	\$15,000 Added \$5,000 Added + \$10,000 B of A Racing Challenge 350 YARDS
SUNDAY AUGUST 24, 2014	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 10, 2014	\$250,000 Projected 400 YARDS
SUNDAY AUGUST 31, 2014	(45) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SATURDAY SEPTEMBER 6, 2014	(46) THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SATURDAY SEPTEMBER 6, 2014	(47) HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SUNDAY SEPTEMBER 7, 2014	(48) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 14, 2014	(49) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY SEPTEMBER 28, 2014	(50) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$150,000 400 YARDS
FRIDAY OCTOBER 3, 2014	(51) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, FRIDAY, SEPTEMBER 12, 2014	\$200,000 projected 400 YARDS
FRIDAY OCTOBER 3, 2014	(52) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$30,000 400 YARDS
FRIDAY OCTOBER 3, 2014	(53) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$15,000 350 YARDS
SATURDAY OCTOBER 4, 2014	(54) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SATURDAY, SEPTEMBER 13, 2014	\$400,000 projected 350 YARDS
SATURDAY OCTOBER 11, 2014	(55) AUTUMN HANDICAP TWO YEAR OLDS	\$35,000 350 YARDS
SATURDAY OCTOBER 18, 2014	(56) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$150,000 440 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY OCTOBER 26, 2014	(57) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 5, 2014	\$100,000 projected 350 YARDS
FRIDAY OCTOBER 31, 2014	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY NOVEMBER 1, 2014	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 12, 2014	\$1,000,000 projected 400 YARDS
SUNDAY NOVEMBER 2, 2014	(60) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 19, 2014	\$1,000,000 projected 400 YARDS
SUNDAY NOVEMBER 2, 2014	(61) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 15, 2014	(62) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 16, 2014	(63) Z WAYNE GRIFFIN DIRECTORS TRIALS THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 22, 2014	(64) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
FRIDAY DECEMBER 12, 2014	(65) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 550 YARDS
SATURDAY DECEMBER 13, 2014	(66) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 16, 2014	\$750,000 440 YARDS
SUNDAY DECEMBER 14, 2014	(67) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 23, 2014	\$2,000,000 projected 400 YARDS
SUNDAY DECEMBER 14, 2014	(68) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$35,000 400 YARDS
FRIDAY DECEMBER 19, 2014	(69) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 20, 2014	(70) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 21, 2014	(71) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 30, 2014	\$200,000 projected 400 YARDS

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file

C. CORPORATION

1. Registered name of the corporation: Horsemen's Quarter Horse Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: 3019242
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Richard M. English	Chairman
Cathy Monji	President/Director
John Seibly	Vice President/Director
G. Michael Lyon	Secretary
Virginia Hyland	Director Emeritus
Edward C. Allred	Director
John Andreini	Director
R. D. Hubbard	Director
Anthony Zidich	Director
Fred Scane	Director
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: QUARTER HORSE RACING, INC. 100%
6. Number of outstanding shares in the corporation: 0
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Wagner, Kirkman, and Blaine
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: QUARTER HORSE RACING, INC
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: N/A
11. State where incorporated: N/A
12. Registry or file number for the corporation: N/A
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: N/A
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A

15. Number of outstanding shares in the corporation: N/A
16. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how is the stock listed: N/A
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A

D. LLC

1. Registered name of the LLC: N/A
2. State where articles of organization are filed: N/A
3. Registry or file number for the LLC: N/A
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: N/A
5. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how the stock is listed: N/A
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: N/A
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. N/A Yes No
7. Registered name of the LLC/Corporation: N/A
8. State where articles of organization are filed: N/A
9. Registry or file number for the LLC: N/A
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: N/A
11. Are the shares listed for public trading? N/A Yes No
12. If yes, on what exchange and how the stock is listed: N/A

E. OTHER

1. Name(s) of partners/sole proprietor: N/A
2. If a partnership, attach partnership agreement. N/A

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: 2011 & 2012 Audited Financials are on file with the CHRB as is the 2013 Projected Profit & Loss Statement.
- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.

- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Richard M. English	Chairman
Cathy Monji	President/Director
Robert Snyder	Controller
Michael Monji	Assistant General Manager
Frank Sherren	Plant Superintendent
Keith Hicks	Director of Security
Howard Knuchell	Director of Operations
Robert DiGiovanni	Director of Mutuels
Ed Burgart	Track Announcer
Melodie Knuchell	Director Simulcasting/Nominations
Jennifer Craigmyle	Paymaster of Purses
Ken Walker	Director of Labor Relations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Cathy Monji, 4961 Katella Ave., Los Alamitos, CA 90720

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage. N/A

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. N/A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2012	249,548,673	495,506
2011	261,018,853	527,277
2010	262,068,119	570,583
2009	278,813,327	729,995
2008	289,907,071	778,550

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**A. Purse distribution:**

1. All races other than stakes:

Current meet estimate:	2014	10,900,000
Prior meet actual:	2013	10,915,480

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate:	2014	70,323
Prior meet actual:	2013	70,422

2. Overnight stakes:

Current meet estimate:	2014	N/A
Prior meet actual:	2013	N/A

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:	2014	N/A
Prior meet actual:	2013	N/A

3. Non-overnight stakes:

Current meet estimate:	2014	3,700,000
Prior meet actual:	2013	3,732,733

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate:	2014	23,871
Prior meet actual:	2013	24,082

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:	2014	14,600,000
Prior meet actual:	2013	14,648,213

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:	2014	10%
Prior meet actual:	2013	15%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:	2014	23,210
Prior meet actual:	2013	23,074

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:	2014	713,000
Prior meet actual:	2013	714,295

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:	2014	Prior meet actual:	2013
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Total	481,000	Total	483,654
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- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:	2014	15,536,500
Prior meet actual:	2013	15,689,930

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:	2014	100,235
Prior meet actual:	2013	101,225

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	2014	12,700,000
Prior meet actual:	2013	12,701,976

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:	2014	81,935
Prior meet actual:	2013	81,948

- G. Purse funds to be generated from interstate handle:

Current meet estimate:	2014	2,700,000
Prior meet actual:	2013	2,613,019

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:	2014	17,419
Prior meet actual:	2013	16,858

- H. Bank and account number for the Paymaster of Purses' purse account: on file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Robert Passero, 230 Old Ranch Rd., Seal Beach, CA 90740

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1428, growing to 1575-1625.
- B. Minimum number of stalls believed necessary for the meeting: 1428
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 0
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse: N/A

9 PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1DD, \$1PNP, \$1 TRI, \$1SF, \$1PPN	CHRB 1959, 1957, 1976.9, 1979, 1979.1, 1976.8
Race #2	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #4	\$1E, \$1PK3, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1957
Race #5	\$1E, \$1PK3, \$1TRI, \$1SF, \$2PNP6, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1976.9, 1957
Race #6	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #7	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #8	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #9	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #10	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #11	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #12	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #13	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #14	\$1E, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1979, 1979.1, 1957
Race #15	\$1E, \$1TRI, \$1SF	CHRB 1959, 1979, 1979.1,

1. Late daily double will always occur in the last two races of the race card.
2. If there are not enough horses carded in a race to offer a trifecta, we will offer a \$1 quinella, CHRB1958.
3. Late pick four will always be offered on the last four races of the race card, CHRB 1976.9.
4. Rolling pick three begins in the second race of each card and continues through the 3rd to last race of the card.
5. If a field should scratch down to 5 betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.

B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 21, 2014

C. List any options requested with regard to exotic wagering: N/A

D. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Friday, Saturday, Sunday at 9:30am

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Scientific Racing Games, contact person: Terry McWilliams, contract expires 09/24/15 (with our option to extend for 2 one year periods.)

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
TVG
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On file

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

<u>Northern California</u>	<u>Southern California</u>
Alameda County Fair, Pleasanton	Barona Casino, Lakeside
Anderson	Ventura County Fair, Ventura
The Jockey Club At San Mateo	San Bernardino County Fair, Victorville
Big Fresno Fair, Fresno	Viejas Casino & Turf Club, Alpine
California State Fair \$ Expo, Sacramento	Del Mar Thoroughbred Club, Del Mar
Club One, Fresno	Hollywood Park, Inglewood
Golden Gate Fields, Albany	Desert Expo Centre, Indio
Tulare County Fair, Tulare	The Farmers Fair, Perris
Monterey County Fair, Monterey	Antelope Valley Fair, Lancaster
San Joaquin County Fair, Stockton	Sycuan Gaming Center, El Cajon
Santa Clara County Fair, San Jose	National Orange Show, San Bernardino
Solano County Fair, Vallejo	Fairplex Park, Pomona
Sonoma County Fair, Santa Rosa	Santa Anita, Arcadia
Stanislaus County Fair, Turlock	
Tulare County Fair, Tulare	

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

Mexico (including Agua Caliente and related books, Juarez and related books, and Hipodromo de las Americas and related books); and those included in E.

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: The Caribbean Basin (Las Caymanas Park and various books on St. Marten, Jamaica, Barbados, and Trinidad); Dominican Republic (Hipodromo V. Centenario); Canada (Assiniboia Downs, Barrie Downs, Dresdan, Fraser Downs, Dawartja Downs. Elmira Raceway, Flamboro Downs, Fraser, Kawartha Downs, Marquis Downs, Queensbury Downs, Red Shores, Rideau Carlton, Hippodromo l'Hippodrome de Montreal, Calgary Stampede, Hastings Park, Northland Park, Ontario Jockey Club, Picov, Rocky Mountain Turf, Stampede Park, Western Fair, Windsor Raceway, Woodbine, Woodstock, and others); Argentina (La Plata, San Ysidro, Pallermo, and related books); Austria, Belarus, Belgium, Brazil (Jockey Club and related books); Columbia, (LosComuneros); Germany, Ireland, Panama (Codere-PresidenteRemon);Russia. Grenada, United Kingdom, Uruguay (Maronas Racetrack);Venezuela (La Rinconada and related books); U.S. Virgin Islands (books);Peru, Puerto Rico (El Commandante), South Korea, South Africa.

America Tab-OR	European Wagering Services
AmWest Entertainment-KY	Evangeline Downs-LA
Arapahoe Park-CO	Fair Grounds Racecourse-LA
Arima Race Club,Trinidad	Fair Meadows, IA
Arlington International Race Course-IL	Fairmount Park-IL
Atlantic City Casino Assn.-NJ	Finger Lakes Race Track-NY
Atlantis Paradise, LVDC	Firelake Entertainment(LVDC) OK
Avatar Ventures, LVDC	Fonner Park-NE
Balmoral Park-IL	Foxwoods Casino (LVDC)-CT
BetPad, OR	Freehold Raceway-NJ
Beulah Park-OH	Garden State Park-NJ
Birmingham Race Course-AL	Geneva Lakes Kennel Club-WI
Blue Ribbon Downs-OK	Great Lakes Downs-MI
Buffalo Trotting Association-NY	Greentrack-AL
Calder Race Course-FL	Gulf Greyhound Park
Capital Sports Pty-Australia	Gulfstream Park-FL
Canterbury Park-MN	Harrington Raceway & Simulcast Facility-DE
Capital District OTB-NY	Hawthorne Race Course-IL
Catskill Off-Track, NY	Hazel Park-MI
Choctaw Racing Services, OK	Hialeah Park-FL
Churchill Downs-KY	Hinsdale Greyhound Park, NH
Churchill Downs Sports Spectrum-KY	Hipica De Panama-MIR
Cities of Gold, LVDC	Holiday Beach Hotel (LVDC)-NV
Citizen Band Potawatomi Indian Tribe (LVDC)	Hoosier Park-IN
Colonial Downs-VA	Ida Racing, ID
Columbus Races-NE	Indiana Downs, IN
Connecticut OTB-CT	International All Sports, Australia
Corpus Christi Greyhound Track-TX	International Racing Group, (IRG)
Cypress Bayou Casino	Jackson Harness Raceway-MI
Dairyland Greyhound Park-WI	Keeneland-KY
Day At The Track,	Ladbroke at the Meadows-PA
Delaware Park-DE	Lebanon Trotting Club-OH
Delta Downs-LA	Lewiston OTB-ME
DGS Systems Corp, LVDC	Les Bois Park-ID
Dover Downs-DE	Lien Games, ND
Downs at Albuquerque-NM	Lodge At Belmont
Downs at Santa Fe	Lone Star Race Park-TX
Ebet	Louisiana Downs-LA
Ellis Park-KY	Luckity
Elite Turf Club, LVDC	Manor Downs-TX
Emerald Downs, WA	Maryland Jockey Club-MD
Eureka Downs, KS	Maywood Park-IL
Euro Off-Track, Isle of Man	Meadowlands, NJ

Megatote, LVDC
 Meskwaki Casino (LVDC)-NV
 Mobile Greyhound Park-AL
 Monmouth Park-NJ
 Montana Simulcast, MT
 Monticello Raceway-NY
 Mountaineer Park-WV
 Mt. Pleasant Meadows-MI
 Multnomah Greyhound Park-OR
 Nassau Downs OTB-NY
 Nebraska State Fair Park-NE
 New Jersey Sports & Exposition
 New Mexico State Fair-NM
 New York City Off-Track Betting-NY
 New York Racing Association-NY
 Northfield Park-OH
 Northville Downs-MI
 Northville Racing Corp.-MI
 Northwest Racing Associates Ltd.(Emerald)
 Oaklawn Park-AR
 Ocean Downs-MD
 Penn National Race Course-PA
 Philadelphia Park-PA
 Phumelela Gold, South Africa
 Plainridge Racecourse-MA
 Playfair Race Course-WA
 Pojoaque Pueblo Gaming, Inc. (LVDC)-OK
 Pompano Park, FL
 Portland Meadows-OR
 Potawatomi Indian Tribe, WI
 Prairie Meadows-IA
 Premier Gateway Int.
 Premier Turf Club-ND
 Raceway Park-OH
 Racing & Gaming Services, St Kitts
 Racing2Day-FL
 Remington Park-OK
 Retama Park-TX
 Rillito Park-AZ
 River Downs-OH
 Rockingham Park-NH
 Ruidoso Downs-NM
 Running Aces Harness Park, MN
 Saddlebrook, TX
 Sam Houston Park-TX
 Sandy Downs, ID
 Saratoga Raceway-NY
 Schenectady OTB-NY
 Scioto Downs-OH
 Silks Corporation, N. Dakota Horse Park, ND
 Sol Mutuel Ltd, S. America
 Southland Greyhound Park-AR
 Spirit Mountain Casino (LVDC)-OR
 Social Gaming
 Sports Creek Raceway-MI
 Sportsman's Park-IL
 Suffolk Downs-MA
 Suffolk OTB, NY
 Sunland Park Racetrack-NM
 SunRay Park and Casino-NM
 Tampa Bay Downs-FL
 Television Games Network-CA
 The Downs @ Albuquerque
 The Lodge at Belmont, NH
 The Racing Channel,
 The Red Mile, KY
 The Royal Turf Club, Antigua
 The Stables (LVDC)-OK
 Thistledown Racing Club-OH
 Tioga Downs, NY
 TP Racing, LLLP (Turf Paradise)-AZ
 TRN International, PA
 Tucson Greyhound Park-AZ
 Turning Stone Casino-NY
 US Off-Track-OR
 Valley Race Park, TX
 Velocity
 Vernon Downs-NY
 Western Regional OTB-NY
 Wichita Greyhound Park-KS
 Will Rogers Downs-OK
 Wonderland Greyhound Park
 Woodlands-KS
 Wyoming OTB-WY
 Yonkers Raceway-NY
 Youbet-Tote Tasmania, Australia
 Zia Park, NM

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Bankers, Salinas
 Commerce Casino, City of Commerce
 Mermaid Tavern, Thousand Oaks
 OC Tavern, San Clemente
 Santa Clarita
 Santa Maria Roadhouse

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during

the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Delta Downs	April -July	Selected races
Sam Houston	June-September	Selected races
Prairie Meadows	July-September	Selected races
Remington Park	March-June	Selected races
Ruidoso Downs	May-September	Selected races
Fair Grounds	August-September	Selected races
Fair Meadows/Tulsa	May-July	Selected races
Hoosier Park	September	Selected races
Evangeline Downs	September-December	Selected races
Lone Star Park	October-December	Selected races
Canterbury Park	May-September	Selected races
Indiana Downs	May-July	Selected races
Emerald Downs	TBA	Selected races
Portland Meadows	TBA	Selected races
Zia Park	TBA	Selected races
Retama Park	TBA	Selected races

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Balmoral	Standardbred	January-December	Selected races
Maywood	Standardbred	January-December	Selected races
Meadowlands	Standardbred	January - August;	Selected races
Tioga	Standardbred	TBA	Selected races
Hawthorne	Standardbred	TBA	Selected races
Red Mile	Standardbred	September	Selected races

Scioto Downs	Standardbred	August	Selected races
Pompano Downs	Standardbred	October	Selected races
Dover Downs	Standardbred	TBA	Selected races
The Meadows	Standardbred	TBA	Selected races
Northfield Park	Standardbred	TBA	Selected races
Vernon Downs	Standardbred	TBA	Selected races
Mohawk Raceway	Standardbred	TBA	Selected races
Penn National	Standardbred	TBA	Selected races
Running Aces	Standardbred	TBA	Selected races
Mohawk	Standardbred	TBA	Selected races
Remington Park	Paint	TBA	Selected races
Emerald Downs	Tb, 4 ¼ furlong, \$5000 claimers or less TBA		Selected races
Hastings	Tb, 4 ¼ furlong, \$5000 claimers or less TBA		Selected races
Delta Downs	Tb, 4 ¼ furlong, \$5000 claimers or less TBA		Selected races
Portland Meadows	Tb, 4 ¼ furlong, \$5000 claimers or less TBA		Selected races

K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). Agreements forwarded as needed.

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Los Alamitos Quarter Horse Charity Foundation, 4961 Katella Ave., Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
Robert Main 4961 Katella Ave., Los Alamitos, CA 90720
Althea Miller 4961 Katella Ave., Los Alamitos, CA 90720
Charles Brain 4961 Katella Ave., Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions

Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s)	Thomas A. Hackathorn, DVM
Clerk of Scales	Glen Miki
Film Specialist	Stewards
Horse Identifier	Juan Garcia
Horseshoe Inspector	Barry Rynearson
Paddock Judge	Louie Cacioppo
Patrol Judges	Louie Cacioppo
Placing Judges	Ed Reese, Geoff Waxler
Starter	John Baker
Timer	Geoff Waxler

B. Management officials in the racing department:

Director of Racing	Ron Church
Racing Secretary	Ron Church
Assistant Racing Secretary	Ed Reese
Paymaster of Purses	Jennifer Craigmyle
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Barbara Weinstein, 9582 Hamilton Ave, STE 265, Huntington Beach, CA 92646 714 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien 12/31/14

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communications - Jim Porep 12/31/14 automatically renews yearly

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communications - Jim Porep 12/31/14 automatically renews yearly

14. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Keith Hicks-Director of Security	714-770-1351 cell
	714-820-2664 office

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 25

Organizational Chart 2013 / 2014

Los Alamitos Race Course

Security Department

Captain of Security	Keith Hicks	Office (714) 820-2664 Cell (714) 770-1351
Day Shift Sergeant		
Night Shift Sergeant		
Day Shift Security Officers	Guillermo Chávez Jose Murillo Alex Pacheco Bunthoeun Sos Edgardo Castillo	Mainline Club House Desk Mezz Grandstand ent.
Night Shift Officers	Louie Reid Jackie Dixon Gabriel Castellanos Henry Chambers Faatouluina Tiatia	Control Desk (Part Time) 2 / 3 days a week Mainline Mezz Paddock Club House
Stable Area Officers	Wilson Uy Jorge Avina Jose Alvarez Ruben Silva Fernando Gomez	Day stable Night stable Split Shift Night Receiving Barn Graveyard
Off Hour Officers	Albert Cruz Sr. Luis Rivas Frank Wozniak	Grave Yard Split Shift Days

There are 18 Security Officers on staff during the racing season, and 1 Supervisors.

Stable Gate Attendants

April DuDone	Swing Gate
Ronnie White	Day East Gate
Mario Martinez	Spilt Shift
Arturo Sandoval	Night East Gate
Marcó Avina	Grave yard Gate
Lydia Llamas	Day Gate
Cheri Willett	Part Time / On Call
6 Stable Gate Attendants / 1 Part Time	

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Not used at this time.
2. Detention Stalls: Not used at this time.
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.
3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
 - B. Plan for enhanced surveillance for trainers with high-test results.
 - C. Plan for detention stalls for repeat offenders.
 - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system: Not used at this time.
 1. Location and number of video surveillance cameras for the detention stall and stable gate.
- D. For night racing associations. Describe emergency lighting system:

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
 Huntington Ambulance, PO Box 145, Sunset Beach, CA 90742 562-904-1550,
 714-325-0363 cell -Stacy O'Brien
 1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A
 1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A
- C. Describe the on-track first aid facility, including equipment and medical staffing: Open during live racing hours with basic first aid supplies including an Automated External Defibrillator (AED), manned by two EMT's.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**
 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Emergency Track Lighting System
Los Alamitos Race Course

The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minuets to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

Huntington Ambulance, LLC

Mr. Rick English
Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720

September 28, 2013

Dear Mr. English,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are also licensed with the California Horse Racing Board.

Sincerely,

Stacey O'Bryan - President
Huntington Ambulance, LLC

Los Alamitos Race Course

EMT / Paramedic Protocols

The emergency medical services at Los Alamitos Race Course operate under the jurisdiction of the County of Orange Emergency Medical Services Dept. (OCEMS). The OCEMS supervises all paramedic services within the county as well as the licensing of all private ambulance companies.

Orange County regulations stipulate that all paramedic services within the county are provided through the Orange County Fire Authority with no licensing of any private paramedic ambulance services.

The OCEMS has reviewed and approved the protocol for handling on track accidents at Los Alamitos Race Course. This protocol calls for Huntington Ambulance EMT's to respond to any on track accident and stabilize any injured rider. At this point paramedics are called and they respond from the Orange County Fire Station #14 which is located directly adjacent to Los Alamitos Race Course.

Huntington Ambulance crews transport the injured rider to a designated area located just outside a special emergency gate built on the backstretch of the racetrack where they are met by Fire Authority paramedics. This designated area is less than 100 yards from the paramedic station.

The Orange County Fire Authority paramedics evaluate the injured rider and make the determination of whether to transport the rider to a trauma center.

This emergency protocol is in place for both morning training and evening racing accidents.



QUARTER HORSE RACING ASSOCIATION

October 3, 2013

Ms. Andrea Ogden
California Horse Racing Board
1010 Hurley Street, Suite 300
Sacramento, CA 95825

Dear Ms. Ogden:

We would like to provide the following information for clarification of questions 15A and 15B on our license application for Los Alamitos Race Course.

Our on-track ambulance service is provided by Huntington Ambulance Service. During morning training hours there is one ambulance crew on duty and that crew is staffed with two licensed EMT's. During evening racing hours there are two ambulance crews on duty with each of those ambulance crews staffed with two licensed EMT's.

There are no paramedics on the Huntington Ambulance crews. Regulations in Orange County mandate that all paramedic services be provided through the Orange County Fire Authority with no provisions for paramedics on private ambulance crews.

The Huntington Ambulance EMT's are licensed through both County and State agencies and they will be providing you with a letter verifying that certification.

We hope this clarifies the responses on our license application. If you have any questions, please feel free to contact us.

Sincerely,

Edward C. Allred
Owner, Los Alamitos Race Course

Los Alamitos Medical Center	West Anaheim Medical Center
3751 Katella Ave	3033 West Orange Ave
Los Alamitos, CA	Anaheim, CA 92804
562-799-3212	714-827-3000

In case of a major accident/head injury they are routed to:

Long Beach Memorial	or	UCI
2801 Atlantic Ave		101 The City Dr
Long Beach, CA 90802		Orange, CA 92868
562-933-2000		714-456-7890

This will be determined by the Paramedics, not the race track or EMT's

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Same as D 1.
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: see attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Allie Rodriguez/FLSIG-safety manager
Frank Sherren-safety program director LARC
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Our fire clearance inspection is conducted yearly in March, see attached.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Self Insured-Finish Line Self Insurance Group, certificate # On File
Administrator: Sig Solutions
PO Box 905
Seal Beach, Ca 90740
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

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H

Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100

FINISH



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Pasos Requeridos en Caso de un Accidente

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada**
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100



ORANGE COUNTY FIRE AUTHORITY

P. O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Keith Richter, Fire Chief

(714) 573-6000

www.ocfa.org

October 11, 2013

Los Alamitos Race Course
4961 Katella Av.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspections have been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If I can be of further assistance, please contact me at 714-229-8805.

Respectfully,

Antonio Aleman
Senior Fire Prevention Specialist



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
10/9/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MOC Insurance Services License No. 0589960 44 Montgomery St., 17th Fl. San Francisco CA 94104	CONTACT NAME: Sara Przemielewski PHONE (A/C, No, Ext): (415) 957-0600 FAX (A/C, No): (415) 957-0577 E-MAIL ADDRESS: sprzemielewski@maroevich.com																					
INSURED Finish Line Self Insurance Group, Inc. 4961 Katella Avenue Los Alamitos CA 90720	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">INSURER(S) AFFORDING COVERAGE</th> <th style="text-align: center;">NAIC #</th> </tr> <tr> <td style="width: 70%;">INSURER A: New York Marine & General</td> <td></td> <td></td> </tr> <tr> <td>INSURER B:</td> <td></td> <td></td> </tr> <tr> <td>INSURER C:</td> <td></td> <td></td> </tr> <tr> <td>INSURER D:</td> <td></td> <td></td> </tr> <tr> <td>INSURER E:</td> <td></td> <td></td> </tr> <tr> <td>INSURER F:</td> <td></td> <td></td> </tr> </table>	INSURER(S) AFFORDING COVERAGE		NAIC #	INSURER A: New York Marine & General			INSURER B:			INSURER C:			INSURER D:			INSURER E:			INSURER F:		
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INSURER D:																						
INSURER E:																						
INSURER F:																						

COVERAGES **CERTIFICATE NUMBER:** CL1310928222 **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS												
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC					EACH OCCURRENCE: \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP. (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$												
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$												
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTIONS \$					EACH OCCURRENCE \$ AGGREGATE \$ \$												
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/>	N/A WC2014EPP00127	1/1/2014	1/1/2015	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%;">WC STATU-TORY LIMITS</th> <th style="width: 10%;">OTH-ER</th> <th style="width: 80%;">LIMITS</th> </tr> <tr> <td></td> <td></td> <td>E.L. EACH ACCIDENT \$ 1,000,000</td> </tr> <tr> <td></td> <td></td> <td>E.L. DISEASE - EA EMPLOYEE \$ 1,000,000</td> </tr> <tr> <td></td> <td></td> <td>E.L. DISEASE - POLICY LIMIT \$ 1,000,000</td> </tr> </table>	WC STATU-TORY LIMITS	OTH-ER	LIMITS			E.L. EACH ACCIDENT \$ 1,000,000			E.L. DISEASE - EA EMPLOYEE \$ 1,000,000			E.L. DISEASE - POLICY LIMIT \$ 1,000,000
WC STATU-TORY LIMITS	OTH-ER	LIMITS																
		E.L. EACH ACCIDENT \$ 1,000,000																
		E.L. DISEASE - EA EMPLOYEE \$ 1,000,000																
		E.L. DISEASE - POLICY LIMIT \$ 1,000,000																

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 104, Additional Remarks Schedule, if more space is required)
 Coverage extended as of start date below to employees assigned to listed trainer for Horse Racing and Training Codes: 8631, 8278

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE S Przemielewski/SPR <i>Sara Przemielewski</i>
--	---

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
 Centaur Hot Walkers, Inc., 5761 Ridgeview Ave., Mira Loma, CA 91752

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting:
 See attached
- B. Promotional/ Marketing budget for this race meeting:
 See attached
 Promotional/Marketing budget for prior race meeting:
 See attached
- C. Number of hosts and hostesses employed for meeting:
 See attached
- D. Describe facilities set aside for new fans:
 See attached
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: See attached
 - 1. Horsemen
 - 2. Fans
 - 3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:

Admission (general)	3.00
Admission (clubhouse)	5.00/10.00 (Vessels club)
Reserved seating (general)	N/A
Reserved seating (clubhouse)	N/A
Parking (general)	Free
Parking (preferred)	5.00
Programs (on-track)	3.50
(off-track)	4.00
- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
 See attached
- C. Describe any "package" plans such as combined parking, admission and program:
 N/A

19. JOCKEYS/DRIVERS' QUARTERS

CHRB LICENSE APPLICATION

ON TRACK ATTENDANCE/FAN DEVELOPMENT

A. Describe any promotional plans:

1. Los Alamitos will partner with Living Social, Travelzoo and Amazon to offer Night at the Races packages for its 2014 live racing program. Los Alamitos offers these packages six times per year through these partners. The packages provide a value of \$64 and are sold for only \$25. The package includes passes for two people to the track's Vessels Club, preferred parking, \$10 food voucher, souvenir Los Alamitos logo glasses, a trip to the winner's circle and an 8X10 photo of that group's winner's circle visit. Los Alamitos sold over 2,000 of these packages in 2013 with nearly all purchases made by first-time visitors to the track. Los Alamitos Race Course was the first Los Angeles area track to partner with a daily deal website dating back to December 2010. Guests receive VIP treatment, a brochure designed for first-time guests and track personnel have been trained to answer frequently asked question.

2. Los Alamitos will once again conduct the strongest marketing program of any southern California track specifically aimed for the in-state simulcast facilities. In 2013, Los Alamitos has conducted over 40 handicapping contest at satellite facilities for cash prizes in excess of \$20,000. Most handicapping contests are held in conjunction with a premium item giveaway like logo hats, t-shirts, umbrellas, sweatshirt, etc. Racing personality Les Onaka is on hand at each of the contests promoting the nighttime Quarter Horse signal via handicapping seminars and question and answer sessions. Photos of winners are posted on the track's social media pages.

In 2013, Los Alamitos visited Commerce Casino, Hollywood Park, Santa Anita, Surfside, Monterrey, Victorville, Lancaster, San Bernardino, Ventura, San Clemente, Barona, Viejas, Thousand Oaks and Santa Clarita. Fan data is gathered at each location. Los Alamitos added over 1,500 new patrons to its mailing list via this program. This program will continue the same level in 2014.

3. Premium item giveaway nights will be held in February, July, November and December. Planned giveaways may include long sleeve shirt in the winter, personal cooler in June, cowboy hat in July, blanket in November and wall calendar in December of 2013.

4. The Los Alamitos racing program will once again feature the Equibase's Q-R Code (on-track and online). The Q-R code allows smart phone users to use their device on program pages in order to obtain valuable information. Scratches and changes prior to a race and race results and a link to the replay of the race are among the special features. Los Alamitos is also the only track that continues to offer race results on the telephone. The line includes Ed Burgart's full race call. The number to call is 714-995-2222.

5. Los Alamitos employs one part-time employee to assist patrons with their Racing Rewards card, which serves as the track's loyalty program. This program rewards its members with cash vouchers for playing the nighttime Los Alamitos racing program with a 1 percent return on their money wagered. In 2013, more than \$20,000 will be awarded to frequent players.

6. Los Alamitos' senior citizen admission prices are \$2 every single day. More than 80% of the Los Alamitos parking lot is now available at no charge as part of its free general parking area.

7. Los Alamitos provides Ed Burgart's tip sheet free on its website at www.losalamitos.com. The page also features a video blog of Burgart's Horses to Watch for the Night. The track's website also features a 22-minute preview show of Friday's races. Burgart serves as the host with analysis provided by Les Onaka.

8. Los Alamitos offers a free nighttime pocket program, which can be downloaded at www.losalamitos.com.

9. Los Alamitos markets its night program via the Mike Willman weekly radio shows and has also hired Terry Turrell to provide a nightly handicapping tip sheet in the Los Alamitos Press Telegram. Track officials are currently in conversation with partnering with the Orange County Register to provide a similar service.

10. Los Alamitos markets the night program on TVG with a 36-week advertising campaign throughout the year. Los Alamitos works closely with TVG to promote and encourage viewers to visit the racetrack. Vessels Club and general concession menu items are often promoted on TVG to show fans what's available at the racetrack.

Los Alamitos has also set apart an area in the track's The Vessels Club for TVG to conduct its "The Quarters" show. The live show adds to the Vessels Club experience, as Vessels Club guests are now part of "The Quarters". The setting also provides added exposure to The Vessels Club.

11. The Replay Show is available on TVG mornings on a Friday through Monday basis.

12. Los Alamitos produces a weekly 22 minute preview show of its weekend races that is available on Friday mornings on TVG and all day on Friday at www.losalamitos.com. The show is hosted by Ed Burgart and it features Les Onaka plus a bevy of top handicappers. Interviews, human interest pieces with local horsemen and stakes previews are also part of the show.

13. Los Alamitos has made available online video of morning workouts of 2-year-olds. Horseplayers find this a useful tool, as they're able to dissect workouts of horses that have yet to make an official start. In addition to the video footage, the site provides breeding information on each horse.

14. Los Alamitos will conduct one state wide mailer featuring a mystery mutuel vouchers. Each voucher is worth at least \$5. Vouchers in denominations of \$10, \$20, \$50, \$100, \$500, and \$1,000 are also mailed. In addition, two \$2,500 vouchers will be mailed. In recent years, a \$10,000 mystery voucher was cashed at the Pleasanton simulcast location.

15. Family days at Los Alamitos will be held in the summer with the running of the popular 18th annual Wienerschnitzel Wiener National. One-hundred dachshunds compete for prize money and trophies. The annual event receives great pre and post event publicity on television newscasts like the top rated Channel 5 Morning News. The event annually attracts over 12,000 people, most of which get exposed to the excitement of horseracing for the first time. This event is copied by nearly ALL of the fairs conducting live racing as well as Del Mar and Golden Gate Fields.

16. The Los Alamitos annual Halloween Carnival will be conducted in late fall. This event benefits a local charity, as well as the Los Alamitos Race Track Chaplaincy. A costume contest for kids and adults as well as a ton of carnival games are held in a safe, fun, and family oriented environment. This event provided first-time exposure of horseracing to hundreds of local families each year. Cash prizes are awarded.

17. Los Alamitos will continue its DVD Scrapbook program designed to reward racehorse owners at Los Alamitos. Every time a horse wins a race at Los Alamitos, the owner will receive one DVD - completely free - featuring the horse's winning performance. The DVD includes the post parade, warm-ups, race analysis, actual race, and winner's circle.

18. The Los Alamitos Facebook pages currently have a combined 10,000 Friends. The page is updated with handicapping information, race videos, trainer interviews, stakes photos results, etc.

Promotional/Marketing budget for 2013 race meeting:

- | | |
|--|-----------|
| 1. On-Track Marketing budget: | \$350,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

B. Promotional/Marketing budget for 2014 race meeting:

- | | |
|--|-----------|
| 1. On-Track Marketing budget: | \$350,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

C. Number of hosts and hostesses employed for meeting:

Chris Wade serves as the racing analyst on the Los Alamitos racing broadcast. Wade provides expert handicapping information prior to each race. He provides racing

fans with his Pick 4 suggestions, plus tips and hints on how to play the races at Los Alamitos. Several group directors work closely with parties to ensure a fun night at the races. Group parties have race named in their honor and the opportunity to visit the winner's circle for their race.

One information window is open day and night at Los Alamitos Race Course to answer any questions or inquiries about the track or horseracing in general. Fans can also pick up general information and pamphlets at the Racing Rewards Center and Vessels Club free.

D. Describe facilities set aside for new fans:

1. The Los Alamitos terraces – one on the mezzanine level and another one on the Vessels Club level- bring fans closer to the racing action than ever before in those areas, while providing added comfort to these racing fans' overall experience at the track.
2. As part of the Living Social, Travelzoo and Amazon promotions, new guests enjoy premium outdoor seating in the Vessels Club patio, which overlooks the finish line.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits horsemen and fans:

1. Continued upkeep of the racetrack's barn areas. Los Alamitos will build additional stalls in anticipation of year-round stabling for 700 Thoroughbreds in 2014.
2. Racetrack will be expanded to one mile.
3. Significant upgrades throughout the grandstand area will be made in time for the 2014 daytime Thoroughbred meeting to be held in July.
4. All concession stands continue to receive upgrades. The main level concession stand now features an adjacent race viewing area featuring two brand new flat screens. Large 48 inch flat screens were added throughout the grandstand seating area facing the main apron.
5. Permanent message boards continue to operate; one in the infield, which is a full color 8 feet tall by 20 feet wide Daktronics board featuring the broadcast of the Los Alamitos races, prices, pools, and marketing billboards; and one on the main entrance to the track, a two-color board featuring the latest messages and track news to the 1,000s of motorists that make their way up and down Katella Avenue.
7. The gift shop has been expanded and upgraded with brand new carpeting and décor. Hundreds of unique Los Alamitos logo items are available. Gift shop

attendant welcomes the Living Social, Travelzoo and Amazon guests while providing guests with an overview of what to expect from their night at the races.

8. Continued upkeep of the personal TVs in the Clubhouse's Player's Club area.

THE VESSELS CLUB at LOS ALAMITOS RACE COURSE Membership Form

Live Racing: Quarterhorse –December 27, 2013 to December 21, 2014

Satellite Racing: Year Round

Membership in the Vessels Club includes all live and satellite racing conducted at Los Alamitos from December 27, 2013 through December 21, 2014. **No outside food or beverages** will be allowed in the Vessels Club. Rude, loud, unseemly behavior or profanity is not acceptable in the Vessels Club. Your signature below acknowledges that you have read, understood, and will abide by the rules of the Club including **dress rules**.

Date _____

Signature of Member _____

Please issue membership card(s) as follows: (PLEASE PRINT OR TYPE)

- | | relation to me |
|------------------|----------------|
| 1. My name _____ | _____ |
| 2. _____ | _____ |
| 3. _____ | _____ |
| 4. _____ | _____ |

THIS SPACE FOR OFFICE USE ONLY	
Number	Membership Card Number
_____	_____
_____	_____
_____	_____
Box # _____	_____

Residence: _____
Street City State, Zip

Business: _____
Street City State, Zip

Residence telephone _____ Business telephone _____

Mail credentials to: Res. _____ Bus. _____

MEMBERSHIP FEES:

A.	Vessels Executive December 27, 2013 – December 21, 2014 Four membership cards - each valid for one admission, two preferred parking stickers and guaranteed four seat table in the dining room.	\$3,000.00
B.	Vessels Annual December 27, 2013 – December 21, 2014 One membership card valid for one admission to the Vessels Club.	\$500.00
C.	Complimentary Horseman's Pass One membership card valid for two admissions. (Owners, Trainers, Jockeys)	\$.00

Membership Selection (circle one): A B C D

MAKE CHECK PAYABLE TO LOS ALAMITOS RACE COURSE

THIS SPACE FOR OFFICE USE ONLY

VESSELS PARKING	PAYMENT RECEIVED	CREDENTIALS ISSUED
Date _____	Date _____	Date _____
Amount _____	CHECK _____ CASH _____	MAIL _____
Card # _____	Amount _____ Rec. On _____	By _____

PRIVATE MEMBERSHIP INFORMATION

A. Vessels Executive \$3,000.00

December 27, 2013 – December 21, 2014

Four membership cards - each valid for one admission, two preferred parking stickers and guaranteed four seat table in the dining room.

B. Vessels Private \$1,500.00

December 27, 2013 – December 21, 2014

One membership card valid for one admission, one preferred parking sticker, guaranteed four-seat table in the dining room.

C. Vessels Annual \$500.00

December 27, 2013 – December 21, 2014

One membership card valid for one admission to the Vessels Club.

D. Vessels Club Single Admission Offer

10 Admissions – Save \$15.00	\$85.00	(Regular Price \$100.00)
25 Admissions – Save \$50.00	\$200.00	(Regular Price \$250.00)
50 Admissions – Save \$150.00	\$350.00	(Regular Price \$500.00)

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | | |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many | <input type="text" value="70"/> |
| <input checked="" type="checkbox"/> | Showers | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Lounge area | <input checked="" type="checkbox"/> | Masseur |
| <input checked="" type="checkbox"/> | Food/beverage service | <input checked="" type="checkbox"/> | Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers:
 Separate quarters with cubicles, showers, steam box, sleeping quarters

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack:
220 out of 344
- C. Number of restrooms available on the backstretch of the racetrack:
13
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
18/1

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Dirt track consisting of sand, clay, silt, organic and gravel.
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail is a metal slant rail with metal safety cover, 40" in height from track to top of rail. Outer rail is a metal gooseneck with 6" X 4" metal tubing.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Rick Hughes/Dennis Moore
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
See attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be

listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

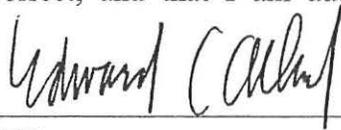
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Pending, to be completed by 10/10/13.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). On file
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):
No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Edward C Allred
Print Name


Signature

Owner
Print Title

09-26-13
Date

STAFF ANALYSIS

October 23, 2013

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY BY OCEAN'S ELEVEN CASINO, A GENERAL PARTNERSHIP, DBA OCEAN'S ELEVEN CASINO, OCEANSIDE.

Ocean's Eleven Casino, applied for a license to operate a minisatellite wagering site at Ocean's Eleven Casino, a card club, in Oceanside, CA. Southern California Off-Track Wagering Inc. (SCOTWINC) has acted as the coordinator during the application process. Ocean's Eleven Casino proposes to begin operations on October 24, 2013, and requests a license through October 23, 2018, for a period of five years.

- Ocean's Eleven Casino is located in Oceanside, California. The proposed wagering site will be located in the southern zone.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the Board.

- SCOTWINC has provided that only Del Mar racetrack and Surfside Satellite Wagering are located within the 20 mile radius of Ocean's Eleven Casino, and that both entities have waived the 20 mile radius provision. Consent from both Del Mar and Surfside have been received.
- Ocean's Eleven Casino has contracts/agreements with the following racing associations:
 - California Authority of Racing Fairs
 - Del Mar Thoroughbred Club
 - Hollywood Park Racing Association
 - Los Angeles County Fair
 - Los Angeles Turf Club
 - Pacific Racing Association (GGF)
 - Watch and Wager LLC/Golden Bear Racing/Cal Expo
- The simulcast organization engaged by the contracted associations to conduct simulcast wagering is SCOTWINC.
- Applicant proposes to operate the minisatellite wagering site 10am to 6pm on Wednesdays, Thursdays and holiday Mondays, and 10am to 10:30pm on Fridays, Saturdays and Sundays.
- Estimated number of pari-mutuel terminals machines available: 15 Sportech Wagering Terminals and 4 Tablet/Wincore Terminals. Seating Capacity is 78 in the sports bar;

120-144 at designated card tables; 16 designated seats in Card Room; 12 designated seats in CA Card Room. There are eleven 4-top tables in sports bar; one banquet table; 12 bar seats and 10-12 poker tables as available. Twenty three additional TVs in the sports bar: ten 55 inch, nine 42 inch, and a 2X2 video wall consisting of four 46 inch. The Card Room has 13 additional TVs: four 55 inch and nine 42 inch. The Poker Room has 13 additional TVs: four 55 inch and nine 42 inch. The CA Card Room has existing TVs. Roberts Decoder and Dish Network Satellite TV.

- Staffing: The host track mutual manager will assign mutual clerks according to the projected daily handle; one to two clerks on weekdays and two to three on most weekends. There are at least two security officers on duty daily.

Specific information still needed to complete this application include:

1. Association approval- Los Alamitos Quarter Horse Racing Association

RECOMMENDATION:

If the application is considered for approval, staff recommends an approval contingent upon the submission of outstanding items.



Minisatellite Application for:



Ocean's Eleven Casino

121 Brooks Street
Oceanside, CA 92054

1. **Ownership:** Ocean's Eleven Casino is owned by Oceans 11 Gaming, Inc. and North County Gaming, Inc. The facility holds a current California gaming license to operate a card club.
2. **Property.** The card club consists of a sports bar and three gaming floors. Pari-mutuel wagering will be available in all four areas via self-service terminals; mutuel counters with reversible totes will be located in the sports bar and middle (Tournament) poker room. It is anticipated that one clerk will be needed on weekdays, two on weekends and as many as four on promotional days.
3. **Dedicated Seating.** The entire sports bar will be dedicated to minisatellite wagering with 78 seats. There will be an additional 28 dedicated seats on the poker floors with 100-120 seats available as needed at empty poker tables.
4. **Food & Beverage.** The casino offers a full menu and discounted food & beverage to all qualified players.

5. **Waivers.** The site is located within the 20 mile circles of Surfside Satellite Wagering and DMTC. Waivers have been received from both.
6. **Approvals.** The city of Oceanside did not require any changes to the Casino's existing CUPs and amended its business license to include minisatellite wagering.
7. **Industry site visits.** Josh Rubenstein was given a tour of the location and inspected the proposed mutuel layouts and seating areas.
8. **Population & Demographics.** There are 270,773 people within five miles of the location and 405,958 within a ten mile circle. Median household income for Oceanside is \$69k; for neighboring Carlsbad, \$77,677.
9. **ADW Handle.** \$4,656,013 within five miles; \$5,749,949 within ten miles.
10. **Dates of Operation.** Pending CHRB approval, the applicant anticipates being licensed as a minisatellite from October 24, 2013 until October 23, 2018.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

PART I
MINISATELLITE WAGERING APPLICANT INFORMATION

APPLICANT INFORMATION

Name of applicant: <u>OCEAN'S ELEVEN CASINO</u>		
The applicant is:		
<input type="checkbox"/> Racing Association	<input checked="" type="checkbox"/> Card Room/Gambling Establishment	
<input type="checkbox"/> Fair	<input type="checkbox"/> Restaurant/Bar	
<input type="checkbox"/> Federally Recognized Indian Tribe	<input type="checkbox"/> Other Business Entity	
Facility street address: <u>121 Brooks Street</u>		
Mailing address (if different from above):		
E-mail address: <u>mark@oceans11.com</u>		
City: <u>Oceanside</u>	State: <u>CA</u>	Zip Code: <u>92054</u>
Phone: <u>760-730-8818</u>	Fax: <u>877-359-9793</u>	Website: <u>www.oceans11.com</u>
County: <u>San Diego</u>	Zone Location: North <input type="checkbox"/> South <input checked="" type="checkbox"/> Central <input type="checkbox"/>	
(To be completed by CHRB staff)		

CONTACT PERSON
 (Authorized Representative)

Name and title of the contact person: <u>Mark Kelegian</u>		
Business street address: <u>121 Brooks Street</u>		
Mailing address (if different from above):		
City: <u>Oceanside</u>	State: <u>CA</u>	Zip Code: <u>92054</u>
County: <u>San Diego</u>		
Phone: <u>760-730-8818</u>	E-mail: <u>mark@oceans11.com</u>	Fax: <u>877-359-9793</u>

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Dates during which the applicant proposes to operate as a minisatellite wagering facility:

Five years from ^{date} ~~date~~ of approval, per Revised B&P Code Section 19602.25(h). Tentative dates are ~~10/30/13-10/31/18~~ 10/24/13 - 10/23/18.

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes No.

Do you currently operate a licensed gaming operation in California or another state? Yes No.

If yes to either question above, provide the following:

Valid licensure period: Begin Date: 1997 End Date: 02/28/2015

Facility name and address: Oceans Eleven Casino, 121 Brooks Street

City: Oceanside	State: CA	Zip Code: 92054
County: San Diego		

Zone Location:
 North South Central
 (To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes No.

If yes, provide the following if different from above:

Facility name and address:		
City:	State:	Zip Code:
Racing Jurisdiction:		

BUSINESS STRUCTURE

<input type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Limited Liability Company	<input checked="" type="checkbox"/> Partnership & Joint Venture <input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
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Registered Business Name: Oceans Eleven Casino, A General Partnership

Fictitious Business Name: Oceans Eleven Casino

Address: 121 Brooks Street

E-mail Address: mark@oceans11.com

City: Oceanside	State: CA	Zip Code: 92054
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Phone: 760 730-8818	Fax: 877 359-9793
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State where registered or Articles of Organization are filed: CA	Registry or File number: 101997190049
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Addendum to Application for License to
Operate a Mini-Satellite Wagering Facility

Business Structure

Oceans 11 Casino, a general partnership, is comprised of two general corporate partners; namely, Oceans 11 Casino Inc. (75%) and North County Gaming Inc. (25%).

The shareholders of each corporation are as follows:

Oceans 11 Casino Inc. (75% of Partnership)

Haig Kelegian Sr.	(8.000% of Partnership)
Haig Kelegian GRAT II	(17.993% of Partnership)
Mark Kelegian	(11.003% of Partnership)
Haig Kelegian Jr.	(6.000% of Partnership)
Walter Lack	(27.998% of Partnership)
Don Wilcox	(2.003% of Partnership)
Bob Moyer	(2.003% of Partnership)

North County Gaming Inc. (25% of Partnership)

Robert H. Carter	(11.524% of Partnership)
Daniel J. Dreger	(10.476% of Partnership)
Deborah Hunt	(1.000% of Partnership)
David Carter	(1.000% of Partnership)
Marjorie Ellsworth	(1.000% of Partnership)

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CHRB-88

Full Disclosure Statement
Oceans Eleven Casino

Rule 2066, subsection (b)(1) provides that upon the written request of the applicant, the Board may waive the requirement for submission of a Full Disclosure Statement and CHRB-25A for persons who have a current valid state gambling license and/or finding of suitability issued by the California Gambling Control Commission.

We hereby request waiver of the requirement for submission of a Full Disclosure Statement and CHRB-25A as all persons owning and managing Oceans Eleven Casino have a current valid state gambling license issued by the California Gambling Control Commission.



Mark Kelegian
Managing Partner

9/23/13

Date

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

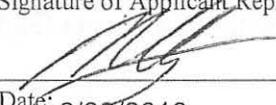
CHRB CERTIFICATION

Application filed on: 10/4/13
 Fee received by:
 Reviewed by: *EW*

30-day Notice Letter:
 Approved on:
 License number issued:

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name Mark Kelegian	Signature of Applicant Representative 
Title Managing Partner, Oceans Eleven Casino	Date: 9/23/2013

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

APPROVAL OF OCEANS ELEVEN CASINO - OCEANSIDE

Name of Association: California Authority of Racing Fair

Address: 1776 Tribute Rd Sacramento CA 95815

Telephone: 916-927-7223 Fax number: 916 263-3341

Racetrack name: Nov Cal Fair

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

C. Korbey

Name Christopher Korbey

Signature of association representative: CHRISTOPHER KORBAY

Title Executive Director

Date: 10/2/2013

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PART II
CONTRACTED ASSOCIATION INFORMATION
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APPROVAL OF OCEANS ELEVEN CASINO - OCEANSIDE

Name of Association: Del Mar Thoroughbred Club
Address: 2260 Jimmy Durante Blvd.

Telephone: 858.858.1141

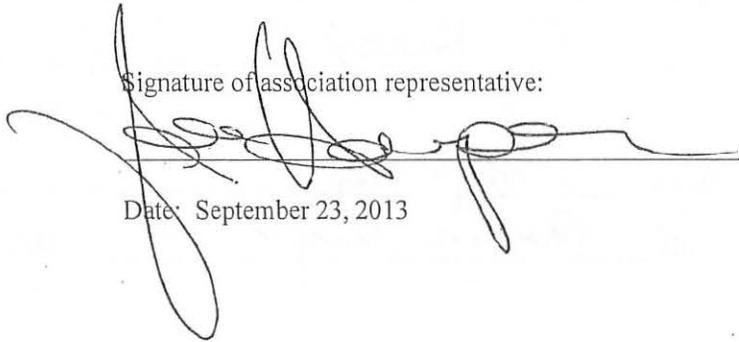
Fax number: 858.794.1007

Racetrack name: Del Mar Race Track

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Josh Rubinstein, Chief Operating Officer

Signature of association representative:

A handwritten signature in black ink, appearing to read 'Joseph W. Harper', is written over a horizontal line. The signature is stylized and extends above and below the line.

Name: Joseph W. Harper

Title: Chief Executive Officer

Date: September 23, 2013

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 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
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PART II

CONTRACTED ASSOCIATION INFORMATION

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APPROVAL OF OCEANS ELEVEN CASINO - OCEANSIDE

Name of Association: Hollywood Park Racing Association

Address: 1050 South Prairie Avenue, Inglewood, CA 90301

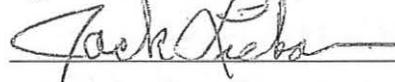
Telephone: 310-419-1500 Fax number: 310-671-4460

Racetrack name: Betfair Hollywood Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Signature of association representative:

Name F. Jack Liebau



Title President

Date: September 24, 2013

State of California
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Application for License to Operate a Minisatellite Wagering Facility
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PART II
CONTRACTED ASSOCIATION INFORMATION
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APPROVAL OF OCEANS ELEVEN CASINO - OCEANSIDE

Name of Association: LOS ANGELES COUNTY FAIR ASSOCIATION

Address: 1101 WEST MCKINLEY AVENUE

Telephone: 909 865 4201 Fax number: 909 865 2481

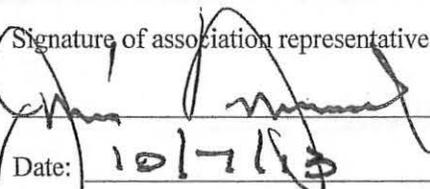
Racetrack name: BARRIS

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

MIKE SEDER, CFO

Name JAMES HENWOOD

Title PRESIDENT & CEO

Signature of association representative: 
Date: 10/7/13

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PART II

CONTRACTED ASSOCIATION INFORMATION

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APPROVAL OF OCEANS ELEVEN CASINO - OCEANSIDE

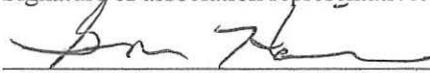
Name of Association: LOS ANGELES TURF CLUB INC.

Address: 295 W. HUNTINGTON DR, ARCADIA, CA 91006

Telephone: 626 574 7223 Fax number: 626 921-1514

Racetrack name: SANTA ANITA

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

<hr/>		Signature of association representative:
Name	<u>GEORGE HAINES</u>	<u></u>
Title	<u>PRESIDENT</u>	Date: <u>9/22/13</u>

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PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

APPROVAL OF OCEANS ELEVEN CASINO - OCEANSIDE

Name of Association: Pacific Racing Association

Address: 1100 Eastshore Highway, Albany, CA 94706

Telephone: 510-868-6600 Fax number: 510-559-7465

Racetrack name: Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Signature of association representative:

Name George Haines



Title President

Date: 9/24/13

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PART II

CONTRACTED ASSOCIATION INFORMATION

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APPROVAL OF OCEANS ELEVEN CASINO - OCEANSIDE

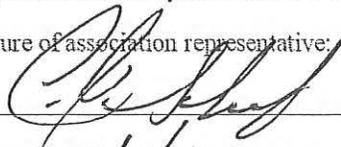
Name of Association: WATCH AND WAGER LLC
Address: 2335 AMERICAN RIVER DR #301 SACRAMENTO, CA 95875
Telephone: 916-800-1395 Fax number: 916-760-7410
Racetrack name: CAL EXPO HARNESS

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

CHRISTOPHER SCHICK - GM WATCH AND WAGER LLC

Signature of association representative:

Name CHRISTOPHER J. SCHICK



Title GENERAL MANAGER

Date: 10/8/2013

State of California
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 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

**PART III
 SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering: *SCOTWINC.*

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Submit a copy of each horsemen's written approvals.

Hours for operation of the facility: *THE CASINO IS OPEN 24 HOURS/SEVEN DAYS/WEEK.*

Hours for operation of the minisatellite wagering site: *SEE EXHIBIT EXHIBIT A (1)*

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): *NONE EXCEPT AS PER CHRB CALENDAR.*

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

<i>EXHIBIT A (3)</i>		

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": *EXHIBIT A (3)*

Estimated number of pari-mutuel terminals machines available: *EXHIBIT A (5)*

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). *EXHIBIT A (7)*

II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s)
 must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
<i>RANDY HARTZELL</i> <i>DIRECTOR OF MUTUELS, SANTA ANITA, REPRESENTING SCOTWINC</i>	<i>306778, EXP 02/2014</i>



October 2, 2013

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
ED MOGER
AT LARGE

Ms. Erica Ward
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Application for License to Operate a Minisatellite Facility - Oceans
Eleven Casino

DIRECTORS

MADLINE AUERBACH
BOB BAFFERT
DENNIS CARDOZA
MARK DEDOMENICO
MIKE HARRINGTON
RON ELLIS
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Ward,

The Thoroughbred Owners of California (TOC) approves the licensing by the California Horse Racing Board of the Oceans Eleven Casino in Oceanside, California as a minisatellite.

Sincerely,

Joe Morris
President

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

cc: Rick Baedeker, SCOTWINC

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

California Harness Horsemen's Association**P.O. Box 254767****Sacramento, CA 95865****(916) 263-7888**

October 11, 2013

Erica Ward

CHRB

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Dear Erica Ward:

This letter is to acknowledge the approval and support of the California Harness Horsemen's Association for the mini satellite application of Ocean's Eleven Casino in Oceanside, CA.

This approval begins with the scheduled harness meet on October 5, 2013 and extends through the final night of racing in May of 2014. It should be noted that CHHA does reserve the right to withdraw its approval upon notification to the board by a 15 day written notice.

We are please to participate in Watch & Wager's simulcasting program. Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director



Pacific Coast Quarter Horse Racing Association

September 23, 2013

Erica S. Ward
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Pacific Coast Quarter Horse Racing Association is the Horsemen's Organization designated by the State of California to represent racing Quarter Horse owners, breeders and trainers. This organization approves the licensing of Oceans Eleven in Oceanside, as a minisatellite.

If you have any questions please feel free to contact us.

Sincerely,

A handwritten signature in cursive script, appearing to read "Dominic Alessio". The signature is fluid and extends to the right with a long, sweeping tail.

Dominic Alessio
President

State of California
 California Horse Racing Board
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 CHRB-88 (New 11/08)

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):

EXHIBIT B

Attach a fire clearance from the fire authority having jurisdiction. *EXHIBIT C*

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. *EXHIBIT A (7)*

Is there a backup emergency plan for power failure? Yes No

If yes, describe. *CATERPILLAR C15*

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. *EXHIBIT D*

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): *EXHIBIT A (6)*

The seating capacity in the minisatellite wagering facility is: *EXHIBIT A (8)*

The number of tables in the minisatellite wagering area is: *EXHIBIT A (8)*

Overall square footage in the minisatellite wagering area is: *EXHIBIT A (9)*

Attach a photograph of the minisatellite wagering area. *EXHIBIT D*

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction:

NONE

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): *275 ON SITE; 69 ACROSS STREET; RECIPROCAL PARKING @ ELKS - 180 SPACES; AND SHOPPING CENTER - 70.*

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: *NONE*

V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: *EXHIBIT A (10)*

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:

CASINO HAS A DEDICATED P.A. WITH MICROPHONE AND CEILING SPEAKERS THROUGHOUT ALL AREAS. SYSTEM CAN BE ACCESSED BY TELEPHONE AS WELL.

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VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: *EXHIBIT A (II)*

Describe any improvements to the facility that will directly benefit minisatellite wagering: *THE SPORTS BAR WILL BE DEDICATED TO MINISATELLITE; NEW/ADDITIONAL TV'S THROUGHOUT CASINO.*
 NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	<i>NONE</i>
Parking charges, if any, are:	<i>NONE</i>
Program charges, if any, are:	<i>RETAIL PRICE AS DETERMINED BY HOST TRACK</i>
Seating charges, if any, are:	<i>NONE; FOOD & BEVERAGE MINIMUMS MAY BE APPLIED</i>

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

AGREEMENTS

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

Ocean's Eleven Casino, Oceanside CA
Mini-Satellite Application

September 25, 2013

EXHIBIT A

1. Applicant/Dates/Hours. Ocean's Eleven Casino is applying for a five-year minisatellite license to operate from the date of approval, anticipated to be October 24, 2013, until October 23, 2018.

The Minisatellite will operate Wednesday through Sunday and holiday Mondays as per the CHRB race dates calendar. Hours will be approximately 10am to 6pm on Wednesdays, Thursdays and holiday Mondays, and 10am to 10:30pm on Fridays, Saturdays and Sundays. Hours may vary according to hots track schedules and post times.

2. Simulcast Organization.

Southern California Off-Track Wagering, Inc.
 C/O CHRIMS
 7950 Dublin Boulevard, Suite 216
 Dublin CA 94568
 Phone: (925) 307-7020
 Fax: (925) 803-8168

3. California Racing Associations. The Mini-Satellite will offer the same program of California races as all other California Satellites as determined by the local day/night host track and approved by the CHRB, as follows:

Watch & Wager c/o Cal Expo
 1600 Exposition Blvd.
 Sacramento, CA 95815
 (916) 263-3000

CARF
 1776 Tribute Rd. Suite 205
 Sacramento, CA 95815
 (916) 927-7223

Del Mar Thoroughbred Club
 2260 Jimmy Durante Blvd.
 Del Mar, CA 92014
 (858) 755-1141

Fairplex (L.A. County Fair)
 1101 W. McKinley Ave.
 Pomona, CA 91768
 (909) 426-7600

Golden Gate Fields
 1100 E. Shore Highway
 Albany, CA 94710
 (510) 559-7430

Hollywood Park
 1050 S. Prairie Ave.
 Inglewood, CA 90301
 (310) 419-1500

Los Alamitos
 4961 Katella Ave.
 Los Alamitos, CA 90720
 (714) 820-2760

Los Angeles Turf Club (Santa Anita)
 285 W. Huntington Dr.
 Arcadia, CA 91007
 (626) 574-7223

4. Imports. The Mini-Satellite will offer the same program of out-of-state and out-of-country races as all other California Satellites as determined by the local day/night host track and approved by the CHRB.

Arlington/Hawthorne
 Balmoral/Maywood
 Calder/Gulfstream
 Churchill Downs/Keeneland/Turfway
 Laurel/Pimlico
 Meadowlands/Monmouth
 Northfield
 NYRA
 Remington
 Retama/Sam Houston/Lone Star
 Turfway Park
 Turf Paradise
 Woodbine
 Hastings
 South America

***NOTE: The mini-satellite will offer the same imports that the host track and other satellites offer and the Host Racing Association will determine the schedule and signals.

5. Pari-Mutuel Terminals: A total of 15 Sportech Wagering Terminals and 5 Tablet/Wincore Terminals.
6. Food & Beverage. A full menu and full bar is available daily. Menu attached.
7. Staffing Plan.
- Mutuels: The host track mutuel manager will assign mutuel clerks according to the projected daily handle. On most weekdays, one or two clerks will be assigned, with two or three on most weekend days as needed.
 - Security: Ocean's Eleven Casino has 24-hour security with at least two officers on duty at all times. A security guard is stationed inside the front entrance where **ADMISSION TO THE ENTIRE FACILITY IS RESTRICTED TO PERSONS 21 AND OLDER.**
 - Responsible party: Mark Kelegian, Managing Partner (760) 730-8818

- o Local Police: Oceanside Police Department; (760) 435-4900
8. Seating Capacity/Tables. 78 in the sports bar; 120-144 at designated card tables; 16 designated seats in Card Room; 12 designated seats in CA Card Room. Eleven 4-top tables in sports bar; one banquet table; 12 bar seats. 10-12 poker tables as available.
 9. Wagering Square Footage. There will be two countered wagering areas with flip machines for tellers or self-service. The wagering area proper in the sports bar will be 120sf; the wagering area in the Tournament Room will be 80sf. Self-service machines will be located in all four rooms (see drawings, attached).
 10. Television Equipment.
Sports Bar: A total of 23 additional TVs, with 10 @ 55", 9 @ 42", and a 2X2 video wall consisting of 4 @ 46";
Card Room: 13 additional TVs: 4 @ 55"; 9 @ 42"; (plus 9 relocated TVs)
Poker Room 2: 13 additional TVs: 4 @ 55"; 9 @ 42";
CA Card Room: existing TVs.
Decoder: Roberts Satellite: Dish Network
 11. Advertising and Promotional Plans. Pending CMC approval, a co-op advertising budget (maximum \$15,000) will be used to promote the opening of the facility. The casino runs major media and new media advertising and promotional schedules on a regular basis.
 12. 20 Mile Radius. The facility is located within 20 miles of both Surfside Satellite Wagering and the Del Mar Racetrack. Waivers have been obtained from each. See Exhibit.
 13. City Approval. See Exhibit.

Breakfast

Served with your Choice of Home Fries, Hash Browns,
Cottage Cheese or Sliced Tomatoes and Toast or English Muffin

Two Egg Breakfast	\$4
<i>w/Bacon, Sausage or Ham</i>	\$6
Eggs Benedict	\$7
Corned Beef Hash & Eggs	\$6
Pork Chop & Eggs	\$7
Country Fried Steak & Eggs	\$7
8 oz. New York Steak & Eggs	\$10
Build Your Own 3 Egg Omelet	
<i>Choose from Assorted Cheeses, Onion, Mushroom, Spinach, Tomato, Bell Pepper; Bacon, Sausage, Ham and Homemade Chili</i>	
Any 2 Items	\$6
Any 3 Items	\$7
Any 4 Items	\$8
Huevos Rancheros	\$6
<i>On top of 2 corn tortillas w/Spanish Rice & Refried Beans</i>	
Chorizo & Eggs w/Refried Beans & Spanish Rice	\$7

House Specials

Croissant Sandwich \$5 <i>Scrambled Eggs w/American Cheese, Bacon, Sausage or Ham w/Fruit Garnish</i>	Breakfast Burrito \$5 <i>Scrambled Eggs, Shredded Cheese, Avocado & Bacon or Sausage</i>
Biscuits & Gravy \$4	Lox & Bagel \$8

From the Griddle

Buttermilk Pancakes <i>w/Bacon, Sausage, Ham or 2 Eggs</i>	\$4 \$6
French Toast <i>w/Bacon, Sausage, Ham or 2 Eggs</i>	\$4 \$6
Belgian Waffle <i>w/Strawberries & Whip Cream</i> <i>w/Bacon, Sausage, Ham or 2 Eggs</i>	\$5 \$7

Extras

Cream of Wheat	\$4
Bagel w/Cream Cheese	\$2
Oatmeal	\$4
Seasonal Fruit Plate	\$5
<i>w/Cottage Cheese</i>	\$6
Large Milk <i>(Whole, 2% or Non Fat)</i>	\$2
Large Juice <i>(OJ, Cranberry, Pineapple, Apple or Tomato Juice)</i>	\$2
Coffee	\$2

Appetizers

Oceansliders

Mix N' Match

2 for \$6

Mix N' Match

3 for \$8

Grilled Chicken w/Bacon, Pepper Jack & BBQ

Pulled BBQ Pork

Kobe Beef w/Cheddar Cheese & Grilled Onions

BLT (that's bacon, lobster & tomato w/house sauce)

\$1 extra charge for each BLT or Kobe Beef Slider

Three Street Tacos

\$6

Any Combination of:

Carne Asada

Chicken

Fish

Chicken Wings (6)

\$6

(12)

\$12

Tossed in your choice of:

Buffalo Sauce

Hawaiian Teriyaki

Garlic Parmesan

Nachos Supreme

\$5

refried beans, cheese, guacamole, sour cream,
pico de gallo, jalapenos & black olives

w/Chicken

\$7

w/Carne Asada

\$8

w/BBQ Pulled Pork

\$8

Cheese Quesadilla

\$5

w/Chicken

\$7

Potato Skins

\$5

Beef Taquitos

\$5

Steamed Artichoke

\$5

w/Drawn Butter & Mayo

Jumbo Shrimp Cocktail (5)

\$9

Coconut Shrimp

\$7

w/Raspberry Chipotle Sauce

Sandwiches

Sandwiches served on White, Wheat, Rye, Sourdough, Amoroso Roll,
Kaiser Roll or Wheat Ciabatta

Served w/your choice of French Fries, Onion Rings, Potato Salad, Cole Slaw or Potato Chips

Ocean's Eleven 1/3 lb. Cheeseburger	\$8
Mushroom, Swiss Burger <i>w/Garlic Aioli Mayo</i>	\$9
Black & Bleu Burger <i>Blackened Seasoning w/Bleu Cheese & Bacon</i>	\$9
Spicy Guacamole Burger <i>w/Pepper Jack Cheese & Jalapenos</i>	\$9
Southern Heart Attack Burger <i>Topped w/BBQ Pulled Pork, Bacon, Onion Strings & Provolone</i>	\$10
Patty Melt	\$8
Philly Cheese Steak <i>w/Provolone Cheese or White American on a Amoroso Roll</i>	\$9
New York Steak Sandwich	\$10
Chicken Breast Sandwich	\$7
Albacore Tuna Melt <i>w/Your Choice of Cheese</i>	\$8
Clubhouse	\$8
Deli <i>Choose From: Turkey, Ham, Roast Beef, Pastrami or Corned Beef</i>	\$7
Bacon, Lettuce, Tomato	\$6
Vegetarian <i>w/American Cheese</i>	\$6 \$7

Substitute Turkey or Garden Burger for any house burger

Combos

Loaded Baked Potato & Soup or Salad <i>Baked potato loaded w/butter, sour cream, cheese, bacon & green onions</i>	\$6
Soup & Dinner or Caesar Salad	\$6
Soup & 1/2 Deli Sandwich	\$6

Sides

French Fries <i>(Choice of Steak, Shoestring or Sweet Potato)</i>	\$3
Onion Rings or Homemade Onion Strings	\$3
Potato Salad	\$2
Cole Slaw	\$2
Cottage Cheese	\$2
Potato Chips	\$2

Soups

Soup of the day	Cup \$4	Bowl \$5
Homemade Chili	Cup \$4	Bowl \$5

Salads

Wedge Salad	\$7
<i>Iceberg Wedge w/Bleu Cheese Crumbles, Bacon Bits, Red Onions & Halved Cherry Tomatoes</i>	
Chinese Chicken Salad	\$8
<i>Peanuts, Almonds, Rice Noodles & Ginger Sesame Dressing</i>	
Breaded Chicken Salad	\$9
<i>Mixed Spring Salad w/Caramelized Walnuts, Cucumbers, Strawberries, Oranges & Feta Cheese</i>	
Chef Salad	\$9
<i>Roast beef, Ham, Turkey, Tomato, Egg, & Shredded cheese</i>	
Cobb Salad	\$9
<i>Turkey, Bacon, Tomato, Egg, Avocado & Bleu cheese crumbles</i>	
Blackened Shrimp Salad	\$11
<i>Spring salad w/Cherry Tomatoes, Egg, Avocado & Onions Strings</i>	
Steak Salad	\$12
<i>Romaine, Cherry Tomatoes, Cucumber & Feta Cheese & Onion Strings</i>	
Entrée Caesar Salad	
<i>w/Chicken</i>	\$8
<i>w/Jumbo Shrimp</i>	\$10
Spinach Salad	\$7
<i>Tomato, Egg, Bacon, Croutons, Parmesan cheese w/Hot bacon dressing</i>	
<i>w/Chicken</i>	\$9
<i>w/Jumbo Shrimp</i>	\$11
Greek Salad w/Chicken Breast	\$9
Chicken Tender Salad	\$9
<i>Bacon bits, Tomato, Egg & Shredded cheese</i>	
<i>TRY IT TOSSED W/BUFFALO SAUCE</i>	
Dinner or Caesar Side Salad	\$4

Asian Cuisine

Appetizers

Pot Stickers	\$5
Egg Rolls w/Plum Sauce	\$5
Chicken Satay w/Peanut Sauce	\$7

Soups

Hot N Sour Soup w/Tofu	\$6
Egg Flower w/Tomatoes	\$5
Wor Won Ton Soup	\$9
Oriental Noodle Soup	\$9

Signature Dishes

Fried Rice w/Chicken or Pork w/Beef or Shrimp	\$9 \$10
Lo Mein w/Chicken or Pork w/Beef or Shrimp	\$9 \$10
Sweet n Sour w/Chicken	\$9
Teriyaki Stir Fry w/Chicken or Pork w/Beef or Shrimp	\$9 \$10
Cashew Chicken or Pork w/Beef or Shrimp	\$9 \$10
Kung Pao Chicken or Pork w/Beef or Shrimp	\$9 \$10
Honey Garlic Chicken	\$9
Szechwan Chicken or Pork w/Beef or Shrimp	\$9 \$10

House Favorites

Orange Chicken	\$9
Mongolian Beef	\$10
Cantonese Chow Mein	\$10
Spicy BBQ Pork & Vegetables	\$9
Chicken Chop Suey	\$9
Black Bean Chicken	\$9
Buddha's Delight (Vegetarian)	\$8

Entrees

*Dinners come with choice of Baked Potato, Mashed Potatoes, Rice Pilaf or French Fries.
Vegetable of the day, Dinner or Caesar Salad or Soup of the day and a Dinner Roll*

Spaghetti & Giant Meatball	\$9
Jumbo Fried Shrimp	\$10
Fish & Chips	\$9
2 Fish Tacos	\$10
<i>w/Spanish Rice & Refried Beans</i>	
3 pc. Fried Chicken	\$10
<i>w/Mashed Potatoes, Gravy & Corn</i>	
Spicy Bow Tie Pasta	\$8
<i>w/Sun Dried Tomatoes, Green Onions & Mushrooms</i>	
<i>w/Chicken</i>	\$10
<i>w/Jumbo Shrimp</i>	\$14
Jumbo Shrimp Scampi	\$14
Double Cut French Pork Chop	\$16
8 oz. Filet Mignon	\$20
12 oz. New York Steak	\$20
12 oz. Blackened Rib Eye Steak	\$20
<i>w/Sautéed Mushrooms</i>	

Desserts

Layered Carrot Cake	\$5	Black Out Layered Cake	\$5
New York Cheesecake	\$5	Crème Brulee Cheesecake	\$5
Giant Ice Cream Sundae	\$5	Apple Pie Ala Mode	\$4

Milk Shakes & Malts \$4
(Vanilla, Chocolate or Strawberry)

Beverages

Free Refills

Coffee	\$2
Hot Tea	\$2
Iced Tea	\$2
Raspberry Iced Tea	\$2
Pink Lemonade	\$2
Soft Drinks	\$2
<i>Pepsi, Diet Pepsi, Sierra Mist, Root Beer, Dr. Pepper, Orange Crush, Mountain Dew</i>	

Wine

House Wines	\$5
Chardonnay	
Cabernet	
Merlot	

White Wine	
Sutter Home Muscato	\$5
Chateau Ste Michelle Riesling	\$5
Fetzer Pinot Grigio	\$5
Seeker Sauvignon Blanc	\$5
7 Heavenly Chards	\$7

Red Wine	
Kenwood Pinot Noir	\$7
Sebastiani Cabernet	\$8
Sebastiani Merlot	\$8

Zinfandel	
Fetzer White Zinfandel	\$5
7 Deadly Zins	\$7

Bottled Beers

Domestic	\$3.50
Bud	
Bud Light	
Bud Lime	
Michelob Ultra	
Miller Lite	
MGD	
Coors Light	
O'Douls	
Bucklers	

Premium	\$4
Corona	
Corona Light	
Heinekin	
Heinekin Light	
Pacifico	
Stella Artois	
Samuel Adams	
Amstel Light	
Guinness	
Kirin Ichiban	
Wyders Pear Cider	

EXHIBIT B

Workers Compensation Certificate

EXHIBIT C

Fire Clearance



Oceanside Fire and Life Safety Inspection Form

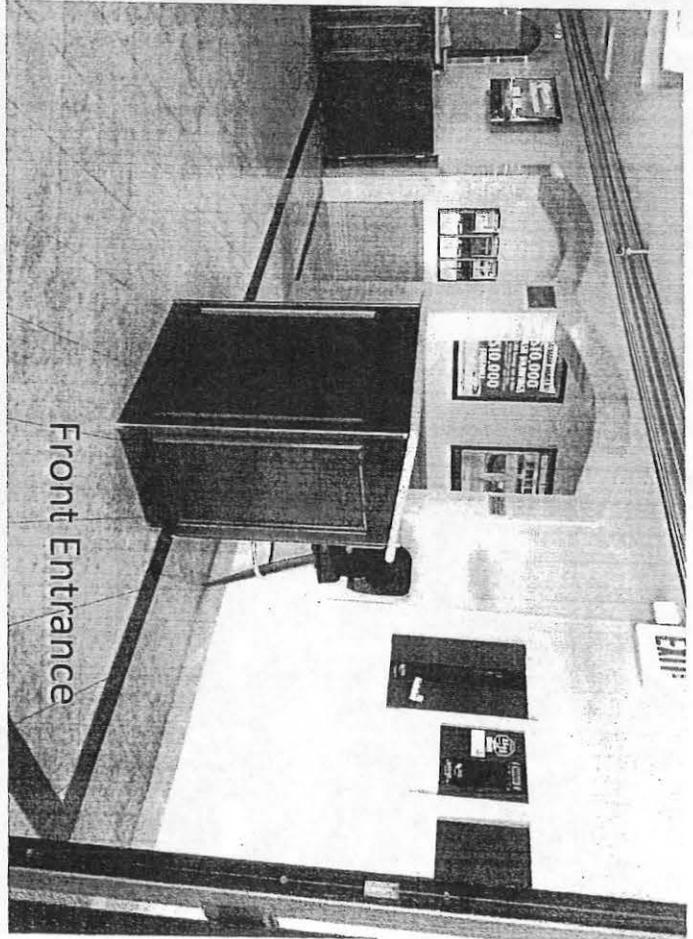
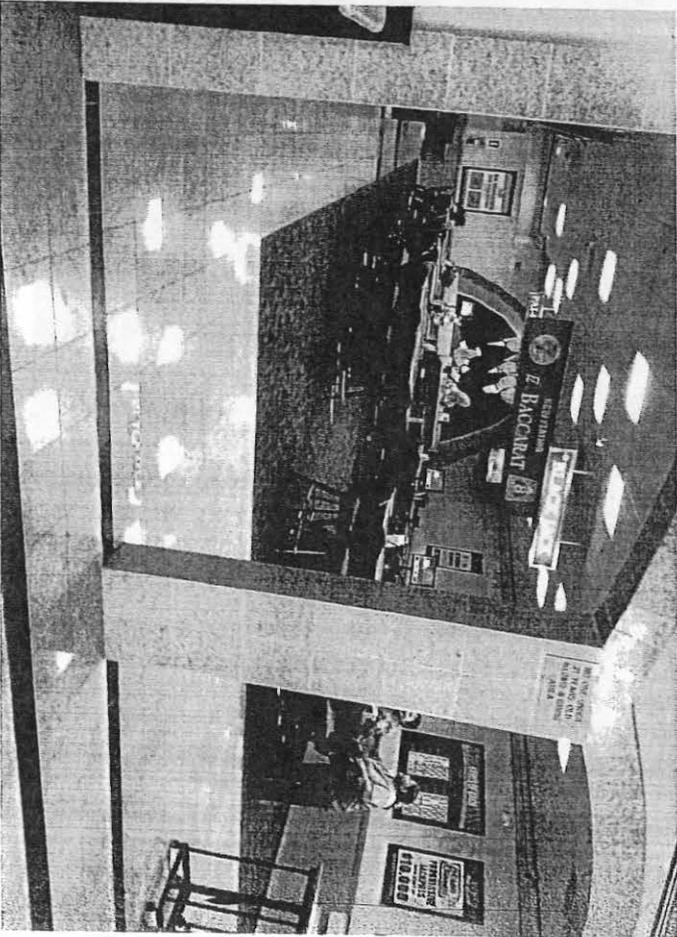
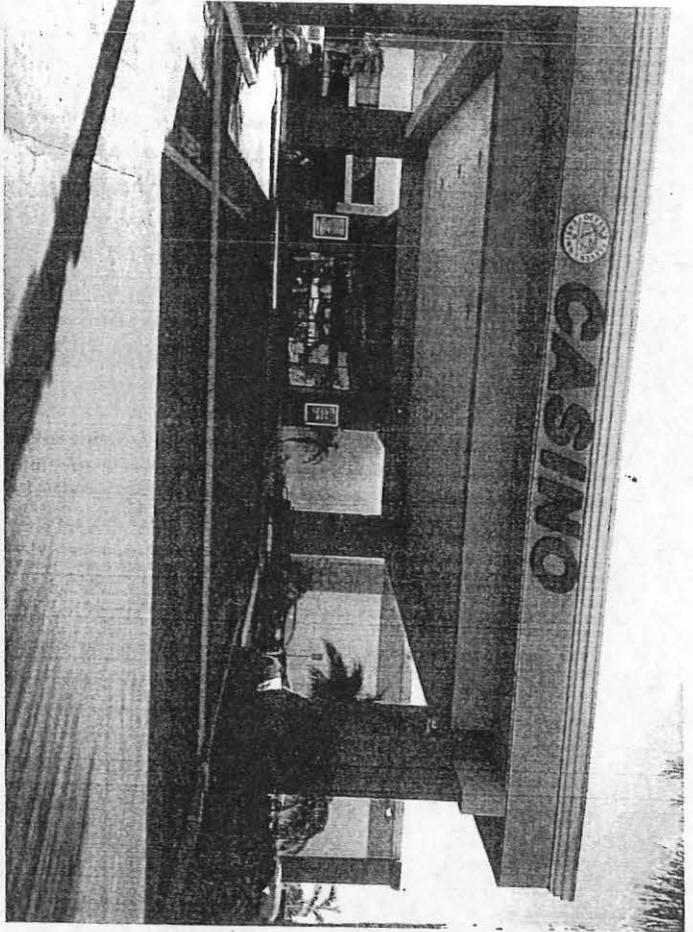
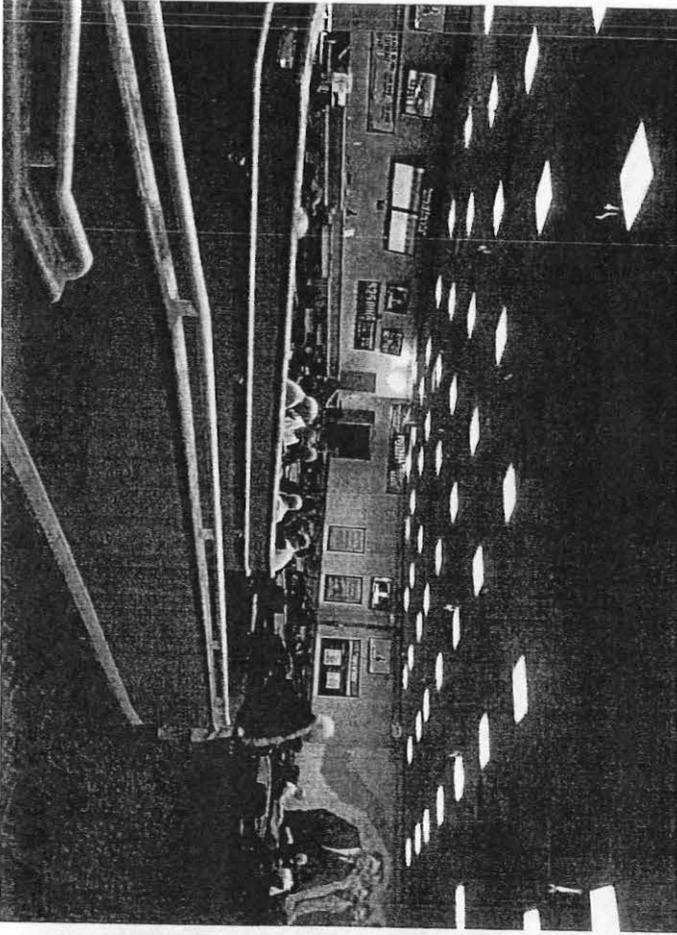
Oceanside Fire Department
300 North Coast Highway
Oceanside, CA 92054
760-435-4101 (phone)
760-435-4084 (fax)

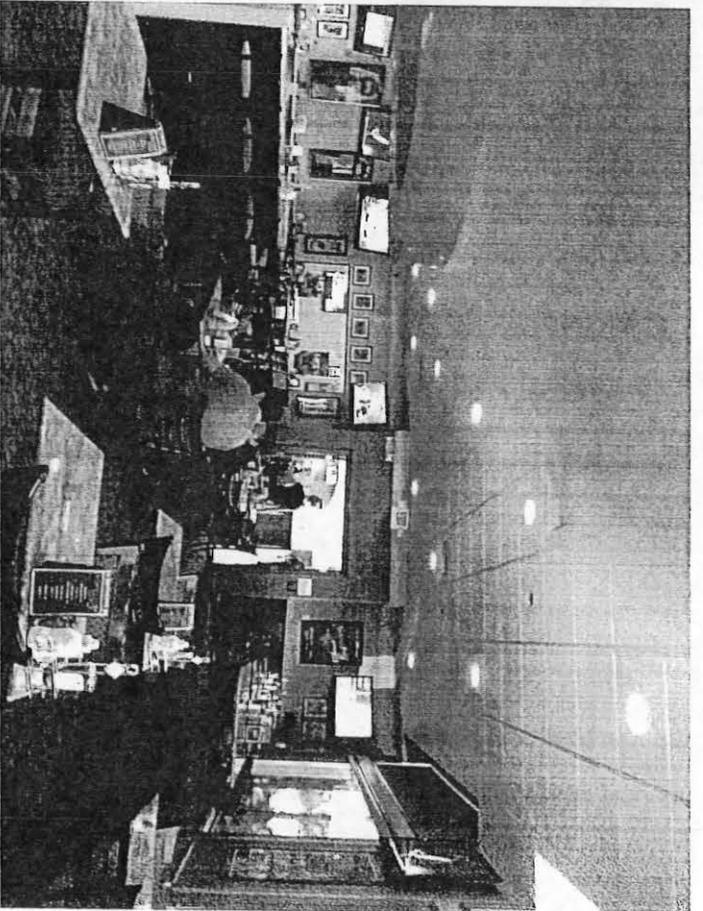
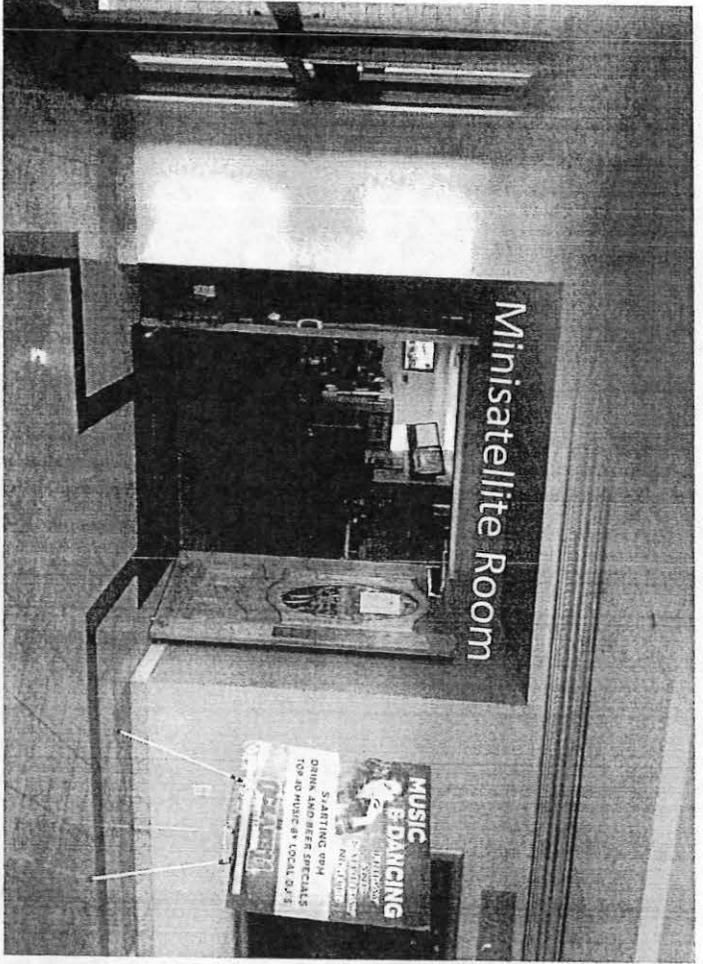
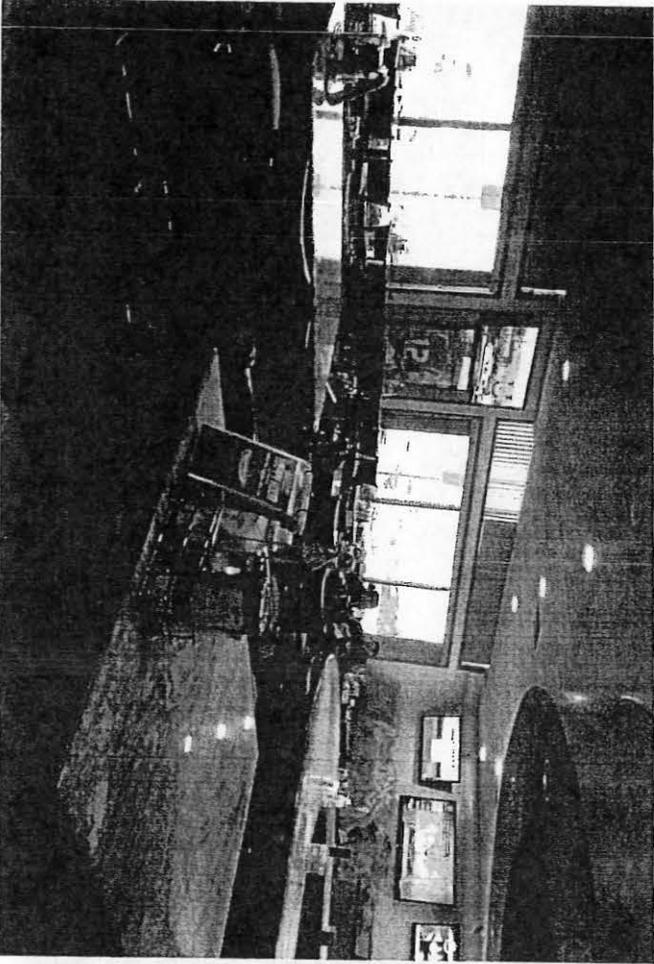


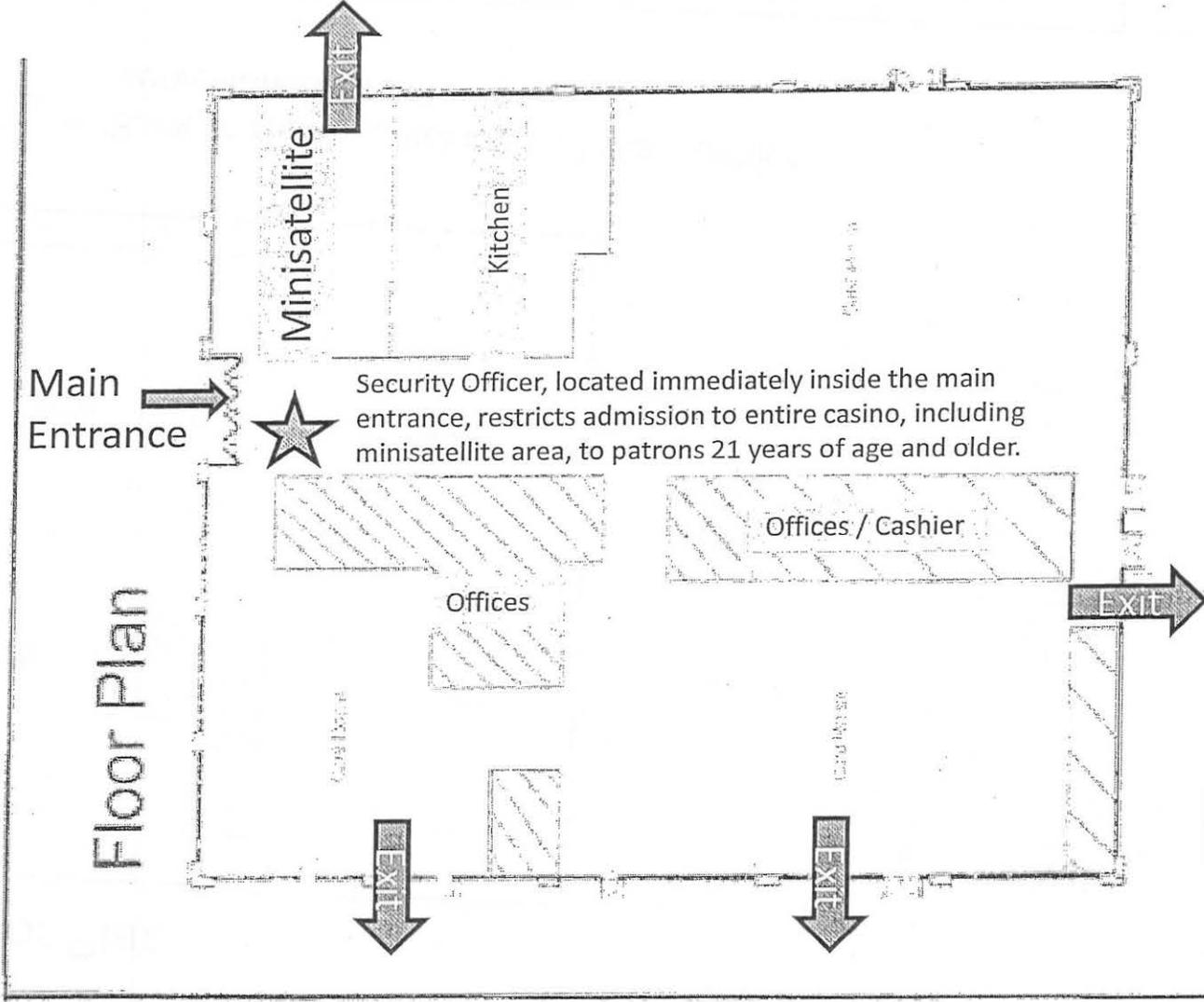
Business Name and Address: Oceans 11 121 Brooks Street	Primary Business Phone #: (760) 439-6988 E-mail: kathy@oceans11.com	DATE: 10/9/13	INSPECTING OFFICER: Name: Ron Owens Phone: (760) 801-1028 Email: rowens@ci.oceanside.ca.us
Responsible Party: Ms. Kathy Poelke	Responsible Party Address:	TIME IN: 13:56	TRAKIT B/L (if known) BI-49243
Secondary Phone #:	APN #:	<input type="checkbox"/> PLANS AVAILABLE No	Occupancy Type B
OUTSIDE OF FACILITY (360 walk around)		<input checked="" type="checkbox"/> No problems noted at time of inspection	
<input type="checkbox"/> Building address must be visible from the street (6" contrasting numbers for commercial & multifamily; 12" industrial). [CFC 505.1] <input type="checkbox"/> Provide a Knox Box for the building and provide current keys to be placed into the Knox Box, provide electronic key switch, padlock or Knoxbox for gate access. [CFC 506.1 & 506.1.1]			
EXITING		<input checked="" type="checkbox"/> No problems noted at time of inspection	
<input type="checkbox"/> Exit doors and aisles must be unobstructed. [CBC 1003.3.4 & 1017.1] <input type="checkbox"/> No locking devices or chains on required exits (must be able to open from the inside without a key or special knowledge) [CFC 1008.1.9.3] <input type="checkbox"/> Exit signs must be illuminated and maintained [CFC 1006.1] <input type="checkbox"/> "This Door Must Remain Unlocked" sign must be posted above the main entrance door or doors. [CFC 1008.1.9.3]			
FIRE PROTECTION AND DETECTION SYSTEMS		<input checked="" type="checkbox"/> No problems noted at time of inspection	
Fire extinguishers : <input type="checkbox"/> Must have a minimum of a 2A 10BC class fire extinguisher. [CFC 906.3/T-19-568] <input type="checkbox"/> Must be visible and accessible and mounted with the top between 3'-5' from floor. [CFC 906.6 & 906.9] <input type="checkbox"/> Must have one located on each floor and every 75' of travel distance. [CFC 906.3& T19Div 1Sect 568 TBL2] <input type="checkbox"/> Must be have current service date, State Fire Marshal licensed service is required annually. [CFC 906.2]			
Sprinklers: <input type="checkbox"/> Must have quarterly maintenance / annual service / 5 year certification. [T-19-904(a)/NFPA 13/NFPA 13r] <input type="checkbox"/> No Sprinklers <input type="checkbox"/> Must have a sprinkler box, wrench, spare heads (6), FDC caps, escutcheon plates. [NFPA 13 -6.2.9]			
Kitchen: <input type="checkbox"/> A single "K" class fire extinguisher is required within 30 feet of deep fat-fryer cooking appliances. [CFC 904.11.5] <input type="checkbox"/> No Kitchen <input type="checkbox"/> Kitchen extinguishing system serviced every 6 months or proof inspected, must meet UL 300 standard. [CFC 904.11.6.2] <input type="checkbox"/> Have grease removed from duct/plenum and filters. [CMC 507.1.3]			
ELECTRICAL		<input checked="" type="checkbox"/> No problems noted at time of inspection	
<input type="checkbox"/> No open junction boxes and no open wiring splices allowed. [CFC 605.6] <input type="checkbox"/> Extension cords/multi-plug adapters may not be used in lieu of permanent wiring. Electric cords shall not extend through walls, floors, ceilings or under doors. [CFC 605.4 & 605.5] <input type="checkbox"/> All electrical and mechanical rooms require doors to be marked / labeled. [CFC 605.3.1]			
Circuit panel: <input type="checkbox"/> Must install blanks for missing circuit breakers and label each circuit breaker. [CFC 605.3.1/605.6] <input type="checkbox"/> Must have 30" wide and 78" in height clearance around circuit breaker panel access. [CFC 605.3]			
GENERAL FIRE SAFETY AND HOUSEKEEPING		<input checked="" type="checkbox"/> No problems noted at time of inspection	
<input type="checkbox"/> Patch all holes in walls & ceiling with an equivalent rated material (replace missing ceiling tiles). [CFC 703.1] <input type="checkbox"/> Oily rags shall be stored in a listed self-closing metal container. [CFC 304.3.1] <input type="checkbox"/> No storage allowed in electrical, mechanical, or boiler rooms, water heaters need 18" of clearance. [CMC 1012.0] <input type="checkbox"/> Storage of compressed gases and flammable/combustible liquids are not permitted near exits, exit paths, and stairwells. Compressed gas [5704.3.3.3] cylinders must always be secured whether they are full or empty. [CFC 5303.5.3] <input type="checkbox"/> Improve housekeeping: maintain storage in a neat and orderly manner. [CFC 315.1] <input type="checkbox"/> Label gas meters with corresponding unit number or address [CFC 102.9, CPC 1209.6.5]			
GENERAL COMMENTS 1) This a clearance inspection as indicated above, no further actions are required..			
<input checked="" type="checkbox"/> NO CORRECTIONS NECESSARY. THANK YOU!		<input type="checkbox"/> CORRECTIONS REQUIRED CORRECTIONS DUE BY:	
Signature of OFD Officer:		Signature of Responsible Party: Date: 10/9/13	
1 ST Re-inspection date: <input type="checkbox"/> Compliant <input type="checkbox"/> NOT Compliant Corrections Due By:		RP Signature:	
2 ND Re-inspection date: <input type="checkbox"/> Compliant <input type="checkbox"/> NOT Compliant Corrections Due By:		RP Signature:	

EXHIBIT D

Plans and Photos



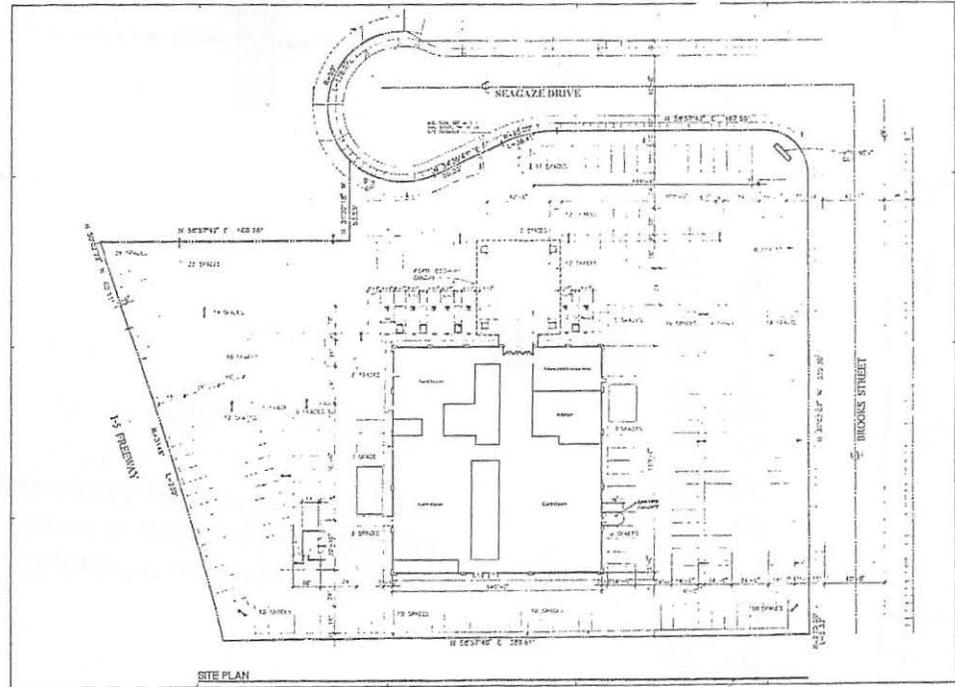
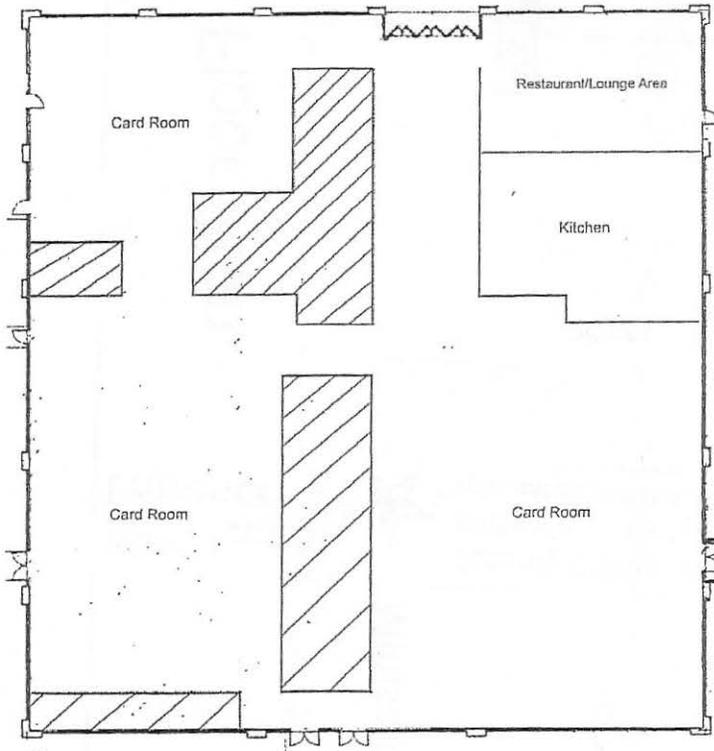




▨ = "Back of House", includes office surveillance and storage

A 2001 2016	EAST DORADO SA SITE PLAN	PROJECT Casino & Hotel Expansion 123 Board Street Atlantic City, NJ 08409
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Floor Plan



Ocean's Eleven Casino
Conditional Use Permit
CUP13-00006 - CUP13-00011

NOTES
1. See all other sheets.
2. See all other sheets.
3. See all other sheets.
4. See all other sheets.
5. See all other sheets.

GENERAL NOTES
1. The site plan shall be in accordance with the City of Oceanside, California, Ordinance 140000, as amended, and the City of Oceanside, California, Ordinance 140001, as amended.
2. The site plan shall be in accordance with the City of Oceanside, California, Ordinance 140002, as amended, and the City of Oceanside, California, Ordinance 140003, as amended.
3. The site plan shall be in accordance with the City of Oceanside, California, Ordinance 140004, as amended, and the City of Oceanside, California, Ordinance 140005, as amended.

LEGEND
1. Hatched Area: Back of House
2. Solid Area: Casino Floor
3. Dotted Area: Parking
4. Dashed Area: Drive Aisle
5. Solid Area: Landscaping

PROJECT INFORMATION
Project Name: Ocean's Eleven Casino
Project Location: 121 Brooks Street, Oceanside, CA 92054
Project Number: CUP13-00006 - CUP13-00011

DESIGNER INFORMATION
Designer: Sportech
Address: 4961 Katella Ave., Los Alamitos, CA 90270
Phone: (714) 941-1111

DATE
Date: 09/11/2013
Time: 10:00 AM

SCALE
Scale: 1/8" = 1'-0"

REVISIONS
1. Initial Design
2. Final Design

APPROVALS
Designer: Lance Ku
Date: 09/11/2013

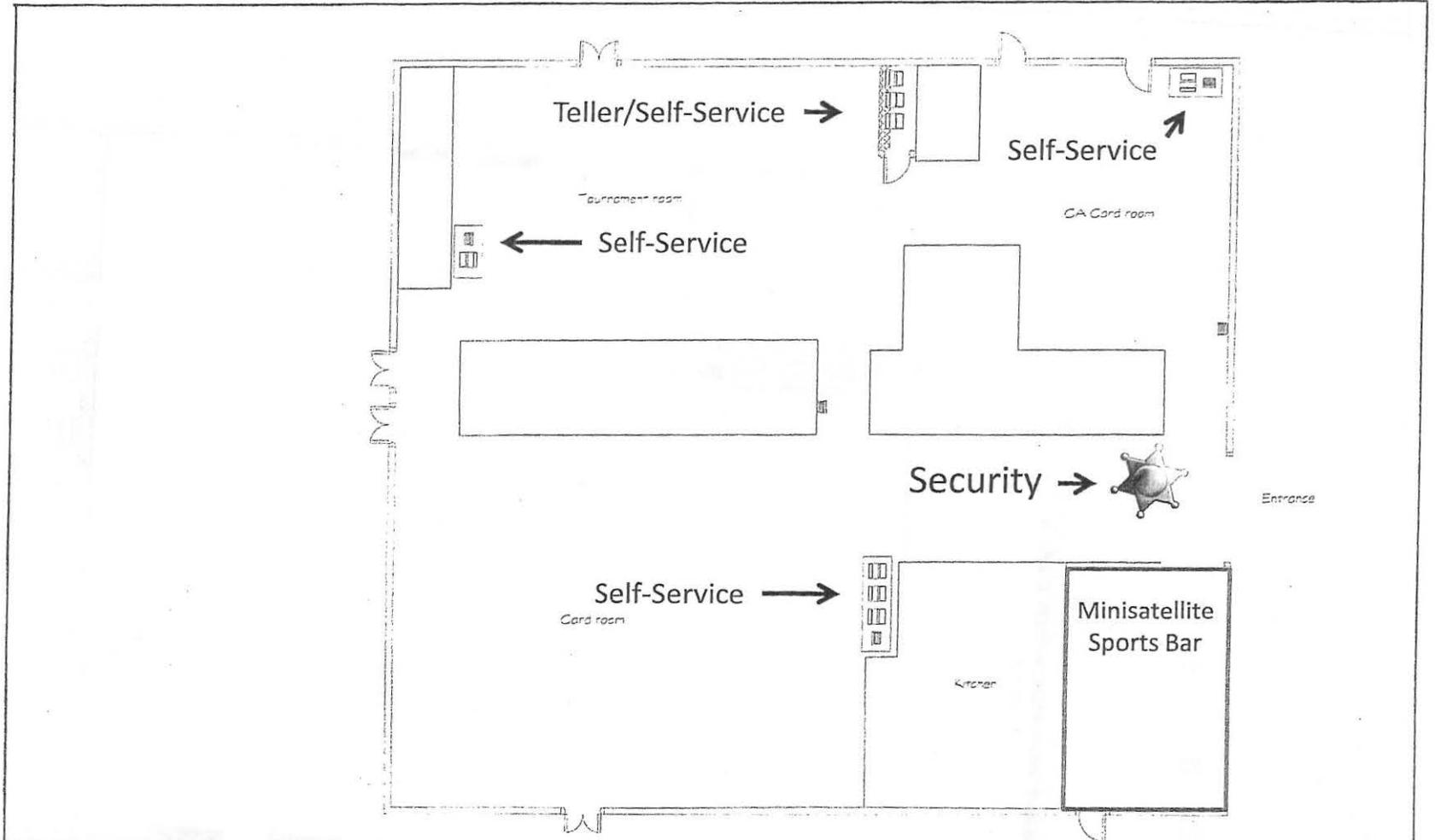
PROJECT LOCATION
Project Location: 121 Brooks Street, Oceanside, CA 92054

PROJECT STATUS
Project Status: Conditional Use Permit

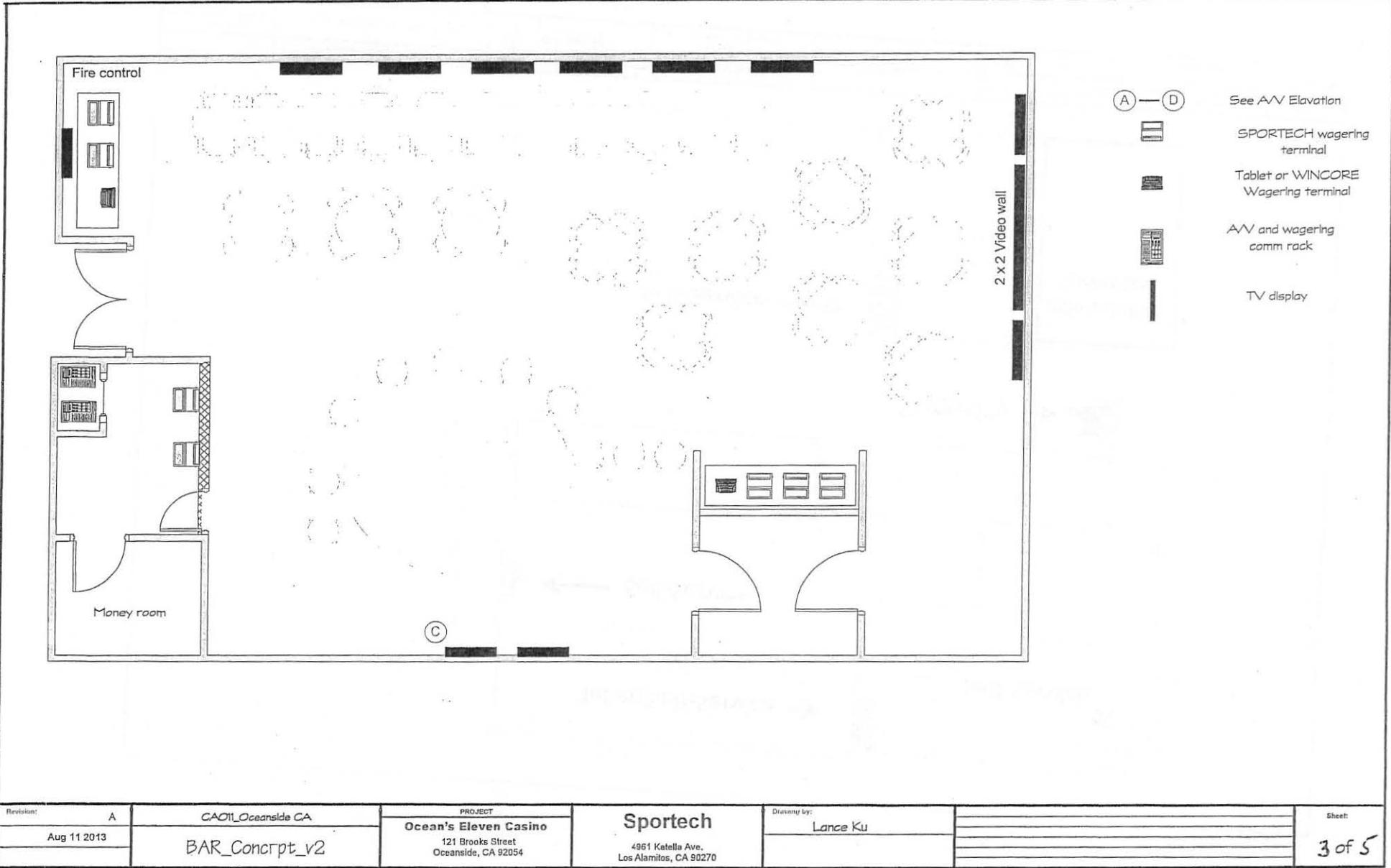
PROJECT CONTACT
Project Contact: Lance Ku
Phone: (714) 941-1111

 = "Back of House", includes offices, cashier, surveillance and storage

Revision: A	CA011_Oceanside CA	PROJECT	Ocean's Eleven Casino	4961 Katella Ave. Los Alamitos, CA 90270	Drawing by: Lance Ku	Sheet: 1 of 5
Sept 1 2013	SITE PLAN		121 Brooks Street Oceanside, CA 92054			



A	Ocean's 11, Oceanside CA	PROJECT	Sportech	Lance Ku	Sheet
Sept 1 2013	Casino Floor concept	Ocean's Eleven Casino 121 Brooks Street Oceanside, CA 92054	4861 Katella Ave. Los Alamitos, CA 92270		2 of 5



Revision:	A
	Aug 11 2013

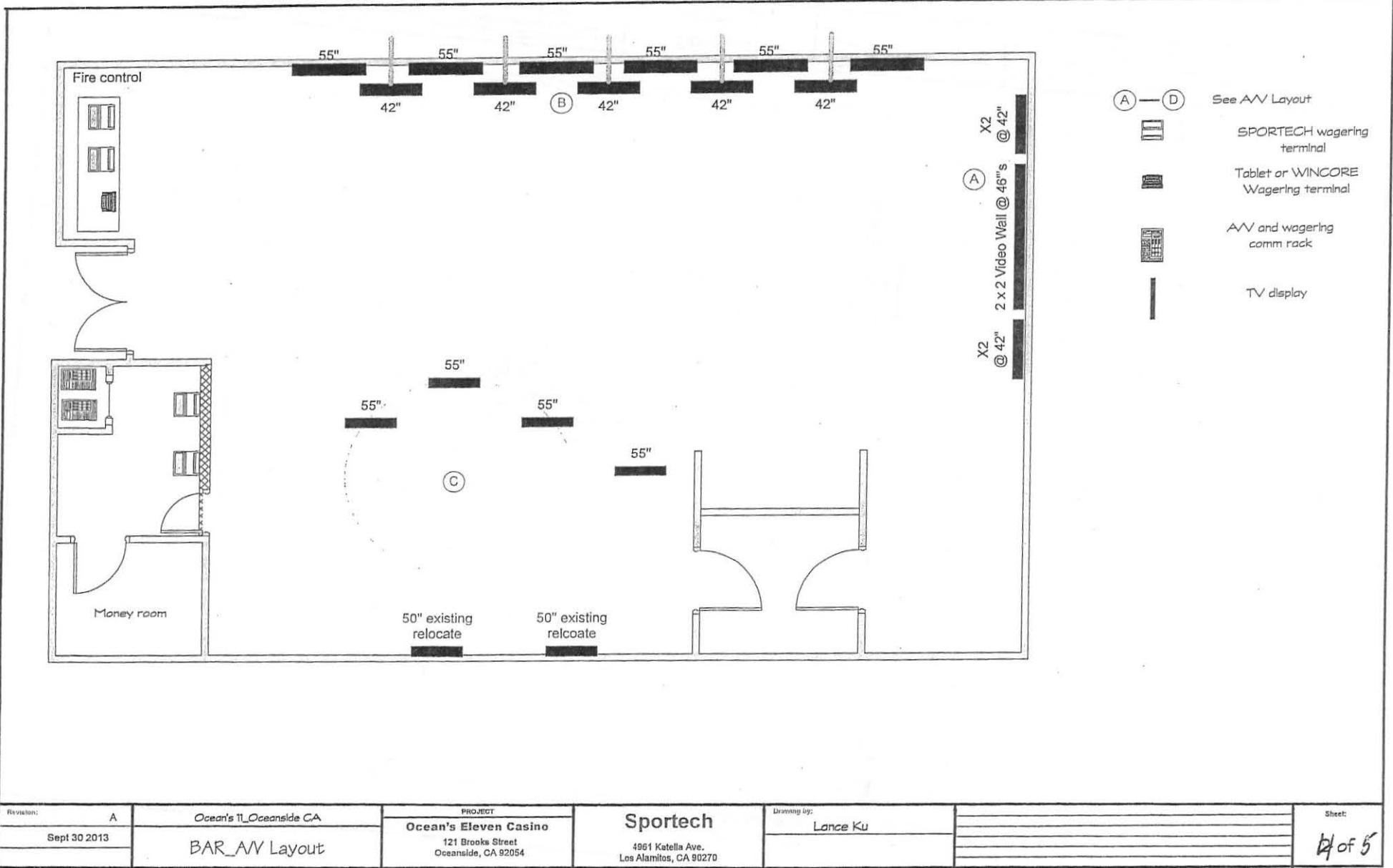
CAC11_Oceanside CA
BAR_Concrt_v2

PROJECT
Ocean's Eleven Casino
121 Brooks Street Oceanside, CA 92054

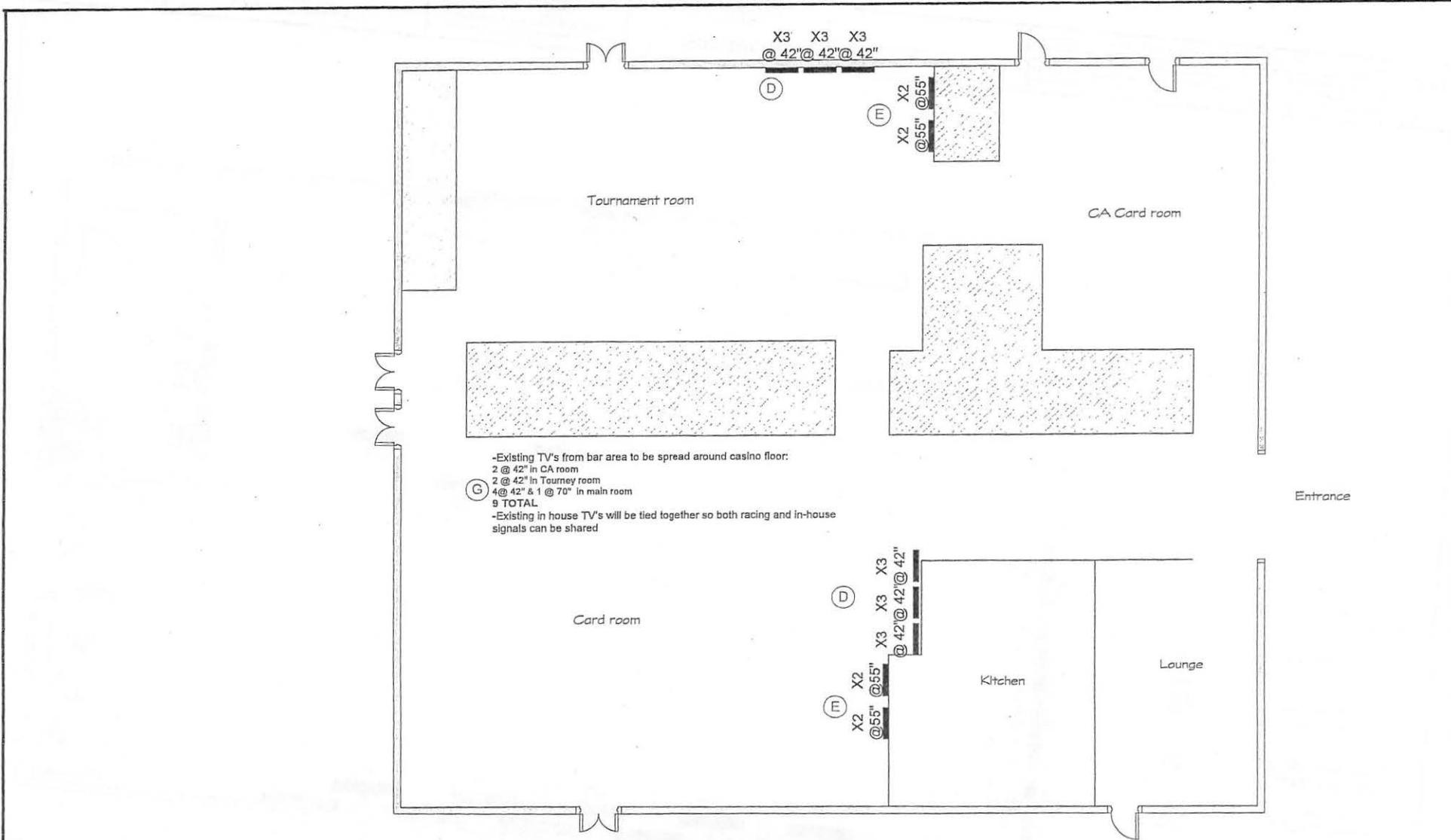
Sportech
4961 Katella Ave. Los Alamitos, CA 90270

Drawn by:	Lance Ku
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Sheet	3 of 5
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Revision: A	Ocean's TI_Oceanside CA	PROJECT	Sportech 4961 Katella Ave. Los Alamitos, CA 90270	Drawing by: Lance Ku	Sheet: 12 of 5
Sept 30 2013	BAR_AV Layout	Ocean's Eleven Casino 121 Brooks Street Oceanside, CA 92054			



Revision	A	PROJECT	Ocean's Eleven Casino	Drawing by:	Lance Ku	Sheet:	3 of 5
	Sept 30 2013	PROJECT	121 Brooks Street Oceanside, CA 92054				
	Casino AV layout		4861 Katella Ave. Los Alamitos, CA 90270				

EXHIBIT E

Approvals and Agreements



CITY OF OCEANSIDE

Administrative Services Department
Business Activities/Revenue Division

July 22, 2013

Ocean's Eleven Casino
121 Brooks St
Oceanside CA 92054

RE: Business License **#49243**

Dear Ocean's Eleven Casino:

Your application for a change of business description has been approved by the City of Oceanside Planning Department.

The new approved business description includes the following:

Restaurant, Lounge, Mini Satellite Wagering

If you have any questions or concerns please call at 760-435-4500.

Sincerely,

Jackie Bickford
Business License Inspector

**CONSENT TO ESTABLISHMENT OF MINI-SATELLITE WAGERING
FACILITY**

WHEREAS, Southern California Off Track Wagering, Inc., a California Corporation ("Scotwinc") has entered, or is about to enter, into an agreement with Oceans Eleven Casino with respect to the establishment of a Minisatellite Wagering facility at 121 Brooks Street, Oceanside, California (the "Oceanside Minisatellite"), and

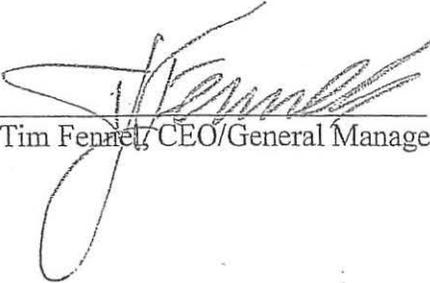
WHEREAS, such facility will be located within twenty (20) statute miles of the Del Mar Fairgrounds where the 22nd District Agricultural Association operates Surfside Race Place ("Surfside"), a satellite wagering facility, and

WHEREAS, Section 19605.25(a)(1) of the California Business and Professions Code prohibits, absent the consent of the operator of the Satellite Wagering Facility, the location of a Minisatellite within twenty (20) statute miles of an existing Satellite Wagering facility.

NOW THEREFORE, Surfside gives its consent to the establishment and operation of the Oceanside Minisatellite for such period of time that said Oceanside Minisatellite is licensed and approved by the California Horse Racing Board.

Del Mar Fairgrounds

By



Tim Fennel, CEO/General Manager, Del Mar Fairgrounds/Racetrack

**CONSENT TO ESTABLISHMENT OF MINI-SATELLITE WAGERING
FACILITY**

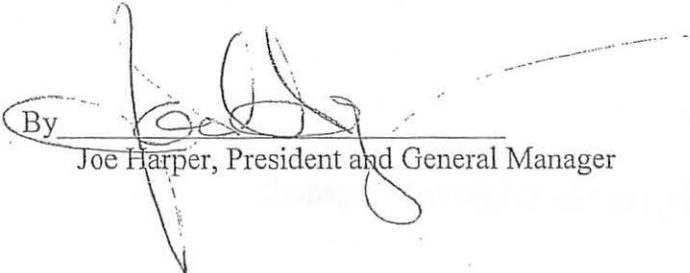
WHEREAS, Southern California Off Track Wagering, Inc., a California Corporation ("Scotwinc") has entered, or is about to enter, into an agreement with Oceans Eleven Casino with respect to the establishment of a Minisatellite Wagering facility at 121 Brooks Street, Oceanside, California (the "Oceanside Minisatellite"), and

WHEREAS, such facility will be located within twenty (20) statute miles of the Del Mar Thoroughbred Club racetrack ("DMTC"), and

WHEREAS, Section 19605.25(a)(1) of the California Business and Professions Code prohibits, absent the consent of the operator of the racetrack, the location of a Minisatellite within twenty (20) statute miles of an existing racetrack.

NOW THEREFORE, DMTC gives its consent to the establishment and operation of the Oceanside Minisatellite for such period of time that said Oceanside Minisatellite is licensed and approved by the California Horse Racing Board.

Del Mar Thoroughbred Club

By 

Joe Harper, President and General Manager

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE ARABIAN RACE SCHEDULED TO BE RUN AT
THE BREEDERS' CUP,
ON FRIDAY, NOVEMBER 1, 2013, AND
ITS PLACEMENT ON THE RACE CARD

Regular Board Meeting
October 24, 2013

BACKGROUND

At the September 19, 2013, Regular Meeting of the California Horse Racing Board, the Board voted to authorize an Arabian race to be run at Santa Anita Park on the undercard of the Breeders' Cup World Championship races on Friday, November 1, 2013. This will be a pari-mutuel race with licensed jockeys riding the Arabians. During the discussion, Bob Elliston, chief operating officer for the Breeders' Cup, indicated that while the Breeders' Cup was still evaluating its options, the likely decision would be to card the Arabian race as a special wagering event early on the card, probably the second or third race, and not part of any multi-leg wagers (e.g. the Pick Five). With the Breeders' Cup only weeks away, the CHRB asked the Breeders' Cup to send a representative to the October 24, 2013 Regular Board Meeting to advise the Board on the Breeders' Cup final decision concerning the placement of the Arabian race on the card.

RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE FEASIBILITY OF AMENDING CHRB RULE 1433,
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING,
TO ADD TO THE LICENSE APPLICATION THE TAKE OUT RATE ON THE PROPOSED
PARI-MUTUEL WAGERING SCHEDULE

Regular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19420 provides that the Board shall have jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purpose of this chapter. Responsibilities of the Board shall include, but are not limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutual wagering. Business and Professions Code section 19480 states the Board may issue to any person who makes application therefor in writing, who has complied with the provisions of this chapter, and who makes the deposit to secure payment of the license fee imposed by this article, a license to conduct a horse racing meeting in accordance with this chapter at the track specified in the application; provided, the Board determines that the issuance thereof will be in the public interest and will subserve the purposes of this chapter. Rule 1433, Application for License to Conduct a Horse Racing Meeting, provides that every association or fair that intends to conduct a horse racing meeting must file a completed application with the Board at least 90 days prior to its proposed meeting. The forms CHRB-17, Application for License to Conduct a Horse Racing Meeting, and CHRB-18, Application for License to Conduct a Horse Racing Meeting of a California Fair, are incorporated by reference in Rule 1433; therefore, any revisions to the applications would necessitate an amendment to the rule. Rule 1461, Duty to Compile Official Program, states that an association shall compile an official program for each racing day which shall contain names of horses which are to run in each race. The program must also include specific information such as post positions, age, color, sex, breeding, jockey, trainer, nominator, owners or stable name, racing colors, weight carried, conditions of the race, the order in which each race shall be run, the distance to be run, the value of each race, and the probable odds of each horse.

ANALYSIS

Pari-mutuel wagering information is currently collected on the race meet application forms CHRB-17 and CHRB-18; however, the takeout percentage for each wager is not captured. In addition, such information is not available to the public in the official program. To ensure the wagering public is informed, the Board has directed staff to amend Rules 1433 and 1461 to include take out rates. The proposed amendment to Rule 1433 will revise the forms CHRB-17, and CHRB-18 to capture the takeout percentage. To ensure that wagering patrons are fully

informed, the Board has determined that race meet applicants will also be required to publish the takeout information in the official program. The proposed amendment to Rule 1461 will modify the list of required information to be noted in the official program to include the takeout for each wager. The takeout percentage will provide patrons with more information to assist them when making a decision on which wager they will make.

RECOMMENDATION

This item is presented for Board discussion and action.

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev.07/11 10/13)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting:

B. Actual dates racing will be held:

C. Dates racing will **NOT** be held:

D. Total number of racing days:

E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

3. RACING PROGRAM

A. Total number of races:

B. Number of races by breed:

Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

CHRB CERTIFICATION

Application received:
Reviewed:

Hearing date:
Approved date:
License number:

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred							
Other Breeds							
Total							

D. Total number of stakes races by breed:

<input type="text"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors:
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- 1. All races other than stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate:

Prior meet actual:

- 2. Overnight stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate:

Prior meet actual:

- 3. Non-overnight stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate:

Prior meet actual:

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:

Prior meet actual

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:

Prior meet actual:

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

Prior meet actual:

CTT

TOC

NTRA

PCQHRA

CWAR

ARAC

AMRA

CHBPAPEN

CTHF

Total

Total

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate:

Prior meet actual:

Average Daily Purse (7D ÷ number of days):

Current meet estimate:

Prior meet actual:

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:

Prior meet actual:

Average Daily Purse (7E ÷ number of days):

Current meet estimate:

Prior meet actual:

F. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse ($7F \div$ number of days):

Current meet estimate:

Prior meet actual:

G. Bank and account number for the Paymaster of Purses' purse account:

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

A. Number of usable stalls available for racehorses at the track where the meeting is held:

B. Minimum number of stalls believed necessary for the meeting:

C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:

D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).

G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT	
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes	No

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:

D. List any options requested with regard to exotic wagering:

E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

- G. Publish the takeout percentage for each wager identified on 9A in the daily racing program and list the takeout percentage for each wager below:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

10. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No

If yes, attach a copy of the approval.
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 - Association Veterinarian(s)
 - Clerk of Scales
 - Clerk of the Course

Film Specialist
Horse Identifier
Horseshoe Inspector
Paddock Judge
Patrol Judges
Placing Judges
Starter
Timer

- B. Management officials in the racing department:
Director of Racing
Racing Secretary
Assistant Racing Secretary
Paymaster of Purses
Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
 - B. Plan for enhanced surveillance for trainers with high-test results.
 - C. Plan for detention stalls for repeat offenders.
 - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system.
- 1. Location and number of video surveillance cameras for the detention stall and stable gate.

14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
 - 1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
 - 1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of

insurance that secures the liability of the fair for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
- B. Does the fair plan to provide its own concessions? Yes No

16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:
Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:
- D. Describe facilities set aside for new fans:
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
 - 1. Horsemen
 - 2. Fans
 - 3. Facilities in the restricted areas

17. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:
 - Admission (general)
 - Admission (clubhouse)
 - Reserved seating (general)

- Reserved seating (clubhouse)
- Parking (general)
- Parking (preferred)
- Parking (valet)
- Programs (on-track)
- (off-track)

- B. Describe any "Season Boxes" or other special accommodation fees:
- C. Describe any "package" plans such as combined parking, admission and program:

18. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="checkbox"/>
<input type="checkbox"/>	Showers	<input type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input type="checkbox"/>	Food/beverage service
<input type="checkbox"/>		<input type="checkbox"/>	Lounge area
<input type="checkbox"/>		<input type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys:

19. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by (name) _____ on (date)_____.
- B. Number of rooms used for housing on the backstretch of the racetrack:
- C. Number of restrooms available on the backstretch of the racetrack:
- D. Estimated ratio of restrooms to the number of backstretch personnel:

20. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
- C. The percent of cross slope in the straight-aways is:
The percent of cross slope in the center of the turns is:
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:

- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Print Name

Signature

Print Title

Date

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:
- B. Breed of horse: TB QH H
- C. Racetrack name:
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting:
- B. Actual dates racing will be held:
- C. Total number of days or nights of racing:
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)
- E. Number of days or nights of racing per week:

CHRB CERTIFICATION	
Application received:	Hearing date:
Deposit received:	Approved date:
Reviewed:	License number:

3. RACING PROGRAM

- A. Total number of races:
- B. Number of races for each day or night:
- C. Total number of stakes races:
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
- C. CORPORATION
 - 1. Registered name of the corporation:
 - 2. State where incorporated:
 - 3. Registry or file number for the corporation:
 - 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
 - 5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
 - 6. Number of outstanding shares in the corporation:
 - 7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
 - 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
 - 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:
 11. State where incorporated:
 12. Registry or file number for the corporation:
 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
 15. Number of outstanding shares in the corporation:
 16. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
 2. State where articles of organization are filed:
 3. Registry or file number for the LLC:
 4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
 5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
 7. Registered name of the LLC/Corporation:
 8. State where articles of organization are filed:
 9. Registry or file number for the LLC:
 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
 11. Are the shares listed for public trading? Yes No
 12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
 2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial

information specific to the applicant including:

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse ($7 A1 \div$ number of days):
 Current meet estimate:
 Prior meet actual:

2. Overnight stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse ($7 A2 \div$ number of days):
 Current meet estimate:
 Prior meet actual:

3. Non-overnight stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse ($7 A3 \div$ number of days):
 Current meet estimate:
 Prior meet actual:

4. Total Purses: ($7A1+7A2+ 7A3$)
 Current meet estimate:
 Prior meet actual:

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:

Prior meet actual:

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:

Prior meet actual:

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

Total

Total

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:

Prior meet actual:

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:

Prior meet actual:

- G. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:

Prior meet actual:

- H. Bank and account number for the Paymaster of Purses' purse account:
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c):
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9 PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:

C. List any options requested with regard to exotic wagering:

D. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

F. Publish the takeout percentage for each wager identified on 9A in the daily racing program and list the takeout percentage for each wager below:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

- Race #1
- Race #2

- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races

will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
- B. Names and addresses of the trustees or directors of the distributing agent:
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 - Association Veterinarian(s)
 - Clerk of Scales
 - Clerk of the Course
 - Film Specialist
 - Horse Identifier
 - Horseshoe Inspector
 - Paddock Judge
 - Patrol Judges
 - Placing Judges
 - Starter
 - Timer
- B. Management officials in the racing department:
 - Director of Racing
 - Racing Secretary
 - Assistant Racing Secretary
 - Paymaster of Purses
 - Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
 - B. Plan for enhanced surveillance for trainers with high-test results.
 - C. Plan for detention stalls for repeat offenders.
 - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system:
1. Location and number of video surveillance cameras for the detention stall and stable gate.
- D. For night racing associations. Describe emergency lighting system:

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

- 1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**
 - 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:
 Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:
- D. Describe facilities set aside for new fans:
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
 - 1. Horsemen
 - 2. Fans
 - 3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
 Admission (general)
 Admission (clubhouse)
 Reserved seating (general)
 Reserved seating (clubhouse)
 Parking (general)
 Parking (preferred)
 Parking (valet)
 Programs (on-track)
 (off-track)
- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
- C. Describe any "package" plans such as combined parking, admission and program:

19. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text"/>
<input type="checkbox"/>	Showers	<input type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input type="checkbox"/>	Food/beverage service
<input type="checkbox"/>		<input type="checkbox"/>	Lounge area
<input type="checkbox"/>		<input type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers:

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by (name) _____ on (date) _____

- B. Number of rooms used for housing on the backstretch of the racetrack:
- C. Number of restrooms available on the backstretch of the racetrack:
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
- C. The percent of cross slope in the straight-aways is:
The percent of cross slope in the center of the turns is:
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Print Name

Signature

Print Title

Date

ADDENDUM

Background and Ownership Information

FULL DISCLOSURE: By authority of Sections 19440 and 19480 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in order to allow an evaluation of the competency, integrity, and character of potential racetrack operator, contractor, subcontractor and concessionaire licensees of the California Horse Racing Board (CHRB), any applicant for such a license shall comply with the provisions set forth below. Where applicable, supply the requested information and submit with your application documents. (If necessary, attach additional pages showing the corresponding numbers for the questions you are answering.) If a question does not apply to you, so state with "N/A".

NOTE: All information contained in this Addendum may be disclosed pursuant to the California Public Records Act.

I. BACKGROUND INFORMATION

- A. **PERSONAL INFORMATION** - Application documents must include for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5% or more:
1. Full name and any previous names or aliases;
 2. date of birth;
 3. physical description;
 4. business address and telephone number; and
 5. disclosure of employment, education and military history for the past 20 years or since the age of 18.
- B. **PERSONAL HISTORY** - Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in Addendum Section I, A.
- C. **RELATIONSHIP** - The application documents must state, for each individual providing information under Addendum Section I, A, whether the individual is related to a member or an employee of the CHRB. A half-relationship or step-relationship is considered to be a familial relationship.
- D. **CORPORATIONS** - If the applicant is a corporation, the application documents must state:
1. The state in which the applicant is incorporated; and
 2. name and address of the applicant's agent for service of process in California.
- E. **INDICTMENTS OR CONVICTIONS** - If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense, e.g., felony or misdemeanor.
- F. **PENDING LEGAL PROCEEDINGS** - An applicant for a license to operate a racetrack must describe any pending legal proceedings of \$250,000 or more:
1. To which the applicant, a director, officer, or partner of the applicant, or an individual who owns an interest in the applicant of 5% or more is a party; or
 2. that involves property owned by the applicant, a director, officer, or partner of the applicant, an individual who owns an interest in the applicant of 5% or more, or a related entity identified under Addendum Section I.
 3. Applicant must state the name of the court or agency before which the proceeding is or was pending, the case number, date the proceeding was instituted, and the names of the principal parties to the proceeding.

II. OWNERSHIP

A. IDENTIFICATION AND LOCATION - The application documents must include:

1. All names used by the applicant; and
2. name of the agent and the address and telephone number of the office of the applicant for service of process in California.

B. BUSINESS STRUCTURE - The application documents must describe the applicant's business structure and include an organizational chart.

C. ORGANIZERS - If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the CHRB, the application documents must state:

1. Name of each individual who was an organizer or promoter of the applicant;
2. nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
3. nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.

D. ORGANIZATIONAL DOCUMENTS

1. If the applicant is a corporation, the application documents must include:

- a. Statement of when and in what state the corporation was organized;
- b. certified copy of the articles of incorporation and bylaws of the applicant;
- c. statement and documentation of whether the corporation has been reorganized or reincorporated during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
- d. statement and documentation of whether the corporation has filed restated articles of incorporation.

2. If the applicant is an unincorporated business association, the application documents must include:

- a. Certified copy of each organizational document for the applicant, including any partnership agreement; and
- b. description of any oral agreements involving the organization of the partnership.

E. CAPITOL STOCK

1. If the applicant is authorized to issue capital stock, the application documents must state the classes of stock authorized and the total shares of each class authorized.

2. For each class of stock, applicant must also state:

- a. Par value, if any;
- b. voting rights;
- c. current rate of dividend; and
- d. number of shares outstanding and the market value of each share.

3. Application documents must list the name and address of each person who owns, of record or beneficially, at least 5% of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.

4. Application documents must include a certified copy of each voting trust or voting agreement in which at least 5% of the capital stock is held and must state:

- a. Name and address of each stockholder participating in the trust or agreement;
- b. class of stock involved; and
- c. total number of shares held by the trust or agreement.

F. DIRECTORS, OFFICERS, AND PARTNERS

1. If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted to the CHRB, as a director, officer, or partner of the applicant. The list must state for each individual:
 - a. Name and business address;
 - b. each position or office of the applicant held by the individual;
 - c. principal occupation during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
 - d. nature and extent of any ownership interest in the applicant.
2. Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under Addendum Section II, F, 1.

G. CONTROLLING ENTITY

1. Application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.
2. If a nonindividual entity owns an interest of 5% or more in the applicant, the application documents must include the information required by Addendum Section II, G, 1, as it relates to the nonindividual entity.
3. Application documents must include information required by Addendum Section II, G, 2, for each nonindividual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.

H. OUTSIDE INTERESTS AND LICENSE HISTORY - Application documents must state whether the applicant or a director, officer, or partner of the applicant:

1. Ever held an ownership interest in a licensee of the CHRB; or
2. is currently engaged in the business of racing in another state.

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING
THE PROPOSED ADDITION OF CHRB
RULE 1500.2, DAILY DRUG TESTING OF RACING PARTICIPANTS,
TO ALLOW DAILY DRUG TESTING OF EXERCISE RIDERS,
RACING OFFICIALS AND STEWARDS WHOSE NAMES
APPEAR ON THE OFFICIAL PROGRAMS, AS WELL AS
OUTRIDERS, STARTING GATE CREW, TRAINERS, HOT WALKERS, AND
GROOMS

Regular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19420 provides that the Board has jurisdiction and supervision in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include, but are not limited to, adopting rules and regulations for the protection of the public and the control of horse racing. 19520 states every person who participates in, or has anything to do with the racing of horses shall be licensed by the Board pursuant to rules and regulations that the Board may adopt. Business and Professions Code section 19521 provides that an original license issued pursuant to this article shall be issued for a period of the calendar year in which it is issued, and shall be renewable for a period, not to exceed three years, which the Board may, by regulation establish. The license shall be valid at all horse racing meetings in this state during the period for which it is issued, unless it is suspended or revoked prior to the expiration of the period.

In October 2011 the Board added Rule 1500.1, Random Drug Testing, to allow for random drug testing of California jockeys, apprentice jockeys and drivers. The regulation called for random drug testing of a narrow class of licensees, as the Board believed it was more critical get a limited program in place to test those who are directly involved with the horse and whose actions could affect both riders/drivers and the horse. When adopting Rule 1500.1 the Board indicated it would return at a later time to add additional classes of licensees who may work closely with horses or who may be responsible for the integrity of the sport.

At the July 2013 Jockey and Driver Welfare Committee Meeting the Committee discussed the addition of the proposed Rule 1500.2, Daily Drug Testing of Racing Participants. The Committee determined the purpose of the program was to try to reduce the number of accidents involving those working horses in the morning. The drug testing protocol should also cover any licensee who handled a horse. A comprehensive drug testing program would involve any licensee who had a role in getting the horse to the race track or in putting an exercise rider on the horse in the morning.

ANALYSIS

The proposed addition of Rule 1500.2 is closely modeled after Rule 1500.1. The proposed regulation provides a framework under which designated Board licensees may be subjected to daily drug testing. The proposed addition of Rule 1500.2 provides that drug testing may be conducted on a daily basis, as well as upon reasonable suspicion. Board licensees subject to daily drug testing include those classes of licensees whose duties take them into direct contact with the horse or whose duties involve the integrity of the sport. The classes of licensees are:

- Racing officials and stewards whose names appear on the official program
- Trainers
- Outriders
- Starting gate crew
- Exercise riders
- Hot walkers
- Grooms

The proposed regulation also provides for a split sample program and reserves the right to direct a racing participant to submit to drug testing by methods including, but not limited to, blood, hair follicle or skin.

The proposed regulation states drug testing may be conducted on a daily basis before or after the performance of duties. The names of persons to be tested will be chosen from among licensees whose names appear on the official program the day drug testing is conducted, as well as the outriders, the starting gate crew, and trainers who participate in the race meeting. Exercise riders, hot walkers and grooms who work for trainers participating in the race meeting shall also be subject to the daily draw. The proposed regulation requires the supervising investigator to draw four names each day, and if a name is selected more than once during a race meeting, it shall be eliminated and another selection made.

The proposed regulation provides for a split sample program, and a method of informing the licensee if a confirmed positive finding of an illegal drug, controlled substance or prescription drug is reported. Licensees will have the option of requesting the testing of the split sample provided he or she pays for the transporting and testing of the split. The results of the drug test and the split sample test are confidential and will remain confidential unless or until the Board files an official complaint or accusation. While the proposed split sample provisions are modeled on California's equine split sample program outlined in Board Rule 1859.25, Split Sample Testing, many racing jurisdictions provide for a human split sample. Delaware, Illinois and Louisiana provide for split sample testing as does the ARCI Model Rule. In all cases the split results from urine samples. In addition, Board Rule 1500.1, Random Drug Testing, which requires the random testing of jockeys, apprentice jockeys and drivers, provides for the same split sample testing as will be available under the proposed Rule 1500.2.

For the purposes of this regulation daily drug testing will be accomplished by the taking of urine samples. However, the proposed regulation reserves the right to direct a licensee to submit to other drug testing methods including, but not limited to, blood, hair follicle or skin. This provides the Board with flexibility in testing methods should it determine it wishes to go beyond urine. In the past, the Board has required testing by such methods as hair, and it has had a contract with a laboratory to draw blood.

Costs associated with the implementation of the proposed regulation: The cost to the Board of one human drug test is \$30 per sample. The Board will conduct at least 12 drug tests per day, with approximately 300 race days per year, for a total estimated cost of \$108,000 per fiscal year. The projected costs are for drug testing only and do not include stewards/staff time or other associated costs. The Board currently has not allocated funding for the proposed drug testing program. A Budget Change Proposal (BCP) has been submitted for fiscal year 2014-2015. In the event the BCP is not approved, a subsequent BCP will be submitted for fiscal year 2015-2016.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED ADDITION OF
RULE 1500.2. DAILY DRUG TESTING OF RACING PARTICIPANTS

Regular Board Meeting
October 24, 2013

1500.2. Daily Drug Testing of Racing Participants.

(a) To ensure the safety and integrity of horse racing, the following classes of license are subject to daily drug testing, as well as testing based upon reasonable suspicion, as provided in this Division:

- (1) Racing officials and stewards whose names appear on the official program;
- (2) Trainers;
- (3) Outriders;
- (4) Starting gate crew;
- (5) Exercise riders;
- (6) Hot walkers;
- (7) Grooms.

Failure to submit to or to complete a drug test at the time, location, and manner directed by the Board or its representatives shall constitute a refusal to be tested. A licensee who fails to submit to or to complete a drug test shall immediately be prohibited from participating in any race meeting at a facility under the jurisdiction of the Board until a negative test result is achieved.

(b) Drug testing may be conducted on a daily basis before or after the licensee's performance of duties. The names of all racing officials and stewards who appear on the official program the day drug testing is conducted, as well as the names of the outriders,

the starting gate crew, and trainers who participate in the race meeting and exercise riders, hot walkers and grooms who work for such trainers, shall be placed in a secured container which shall be in the custody of the supervising investigator. Prior to the first race of the program, the supervising investigator shall randomly draw four names. If a name is selected more than once during a race meeting, it shall be eliminated and another selection made.

(c) Each urine specimen received from a selected licensee shall be divided into two separate parts. One portion shall be designated as the racing participant official test sample and shall be tested by a Board approved official laboratory. The remaining portion of the specimen shall be known as the racing participant split sample and shall be available for testing at a Board approved independent laboratory upon the request of the individual who provided the specimen. The Board makes no guarantees as to the amount of the specimen that will be available for the racing participant split sample. All specimens taken by representatives of the Board are under the jurisdiction of and shall remain the property of the Board at all times.

(f) For the purposes of this regulation “Board approved official laboratory” and “Board approved independent laboratory” means a California laboratory certified by the United States Department of Health and Human Services under the National Laboratory Certification Program as meeting the minimum standards to engage in urine drug testing for federal agencies. A list of certified laboratories shall be available at the CHRB headquarters office.

(g) Each urine specimen shall be tested for the following prohibited drugs or classes of drugs:

(1) Marijuana metabolites.

(2) Cocaine metabolites.

(3) Amphetamines.

(4) Opiate metabolites.

(5) Phencyclidine (PCP).

(h) The Board approved official laboratory shall immediately and confidentially report to the Executive Director or his designee any positive finding for any of the drugs or classes of drugs described in subsection (g)(1) through (g)(5) of this regulation. The Board approved official laboratory shall also transmit a confidential written report of the finding to the Executive Director within five working days after the notification is made.

(i) When the Executive Director or his designee is notified of a positive finding by the Board approved official laboratory, the Executive Director or his designee shall notify a supervising investigator. The supervising investigator shall confidentially notify the licensee who shall:

(1) Immediately be prohibited from participating in any race meeting at a facility under the jurisdiction of the Board, and

(2) Shall have 72 hours from the date he or she is notified to request that the racing participant split sample of the official racing participant test sample that was found to contain a prohibited drug or class of drug, be tested by an Board approved independent laboratory.

(j) If the racing participant wishes to have the racing participant split sample tested, he or she shall comply with the following procedures:

(1) The request shall be made on the form CHRB-217B (New 7/13) Request to Release Racing Participant Split Sample, which is hereby incorporated by reference. Form CHRB-217B shall be made available at all CHRB offices, and at the CHRB website.

(2) The racing participant requesting to have the racing participant split sample tested shall be responsible for all charges and costs incurred in transporting and testing the racing participant split sample. By signing CHRB-217B the racing participant certifies he or she has made arrangements for payment to the designated independent Board approved laboratory for laboratory testing services.

(3) Verification of payment for costs incurred in transporting and testing the racing participant split sample must be received by the CHRB within five working days from the CHRB receipt of CHRB-217B. If such verification of payment is not received, the racing participant split sample will not be released or shipped to the designated Board approved independent laboratory and the racing participant will have relinquished his/her right to have the split sample tested. If a complaint issues, the only test results that will be considered will be the results from the Board approved official laboratory.

(k) Upon receipt of a valid request on CHRB-217B, CHRB-217C (New 7/13) Authorization to Release Racing Participant Split Sample Evidence, which is hereby incorporated by reference, shall be completed and the Board shall ensure that the racing participant split sample is sent to the designated Board approved independent laboratory for testing.

(1) If the findings by the Board approved independent laboratory fail to confirm the findings of a prohibited drug or class of drug as reported by the Board approved

official laboratory, it shall be presumed that a prohibited drug or class of drug was not present in the official racing participant test sample.

(l) A licensee who fails to request the testing of the racing participant split sample in accordance with the procedures specified in this rule shall be deemed to have waived his or her right to have the split sample tested.

(m) Unless or until the Board files an official complaint or accusation, results of the official racing participant test sample and the racing participant split sample shall be, and shall remain confidential. No test results may be released to any person or organization unless such release is explicitly required under this regulation. Only the Executive Director or the Executive Director's designee, the Board, and the licensee shall receive the results.

(n) The Board may take into consideration the possession of a valid and current Medical Marijuana Program Identification Card issued in accordance with the Medical Marijuana Program of the California Department of Public Health in determining whether or not to file an official complaint or accusation against a racing participant who tests positive for marijuana metabolites.

(o) For the purposes of this regulation, daily drug testing shall be accomplished by the taking of urine specimens; however, the Board retains the right to direct a racing participant to submit to a drug test by methods including, but not limited to, blood, hair follicle or skin.

Authority: Sections 19420, 19440 and 19520,
Business and Professions Code.
Reference: Sections 19440, 19520 and 19521,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE
PROPOSED ADDITION OF
CHRB RULE 1498.1 EXERCISE RIDER PHYSICAL EXAMINATION,
TO REQUIRE THAT EXERCISE RIDERS UNDERGO AN
ANNUAL PHYSICAL EXAMINATION PRIOR TO THE
FIRST RACE MEETING OF THE YEAR IN WHICH THEY
INTEND TO PARTICIPATE

Regular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19420 provides that the Board has jurisdiction and supervision in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include, but are not limited to, adopting rules and regulations for the protection of the public and the control of horse racing. Business and Professions Code section 19520 states every person who participates in, or has anything to do with the racing of horses shall be licensed by the Board pursuant to rules and regulations that the Board may adopt. Business and Professions Code section 19521 provides that an original license issued pursuant to this article shall be issued for a period of the calendar year in which it is issued, and shall be renewable for a period, not to exceed three years, which the Board may, by regulation establish. The license shall be valid at all horse racing meetings in this state during the period for which it is issued, unless it is suspended or revoked prior to the expiration of the period.

Board Rule 1498, Physical Examination currently requires that jockeys and apprentice jockeys pass a physical examination at least once a year before the commencement of the first race meeting in which such jockey or apprentice jockey intends to participate, or at such other time as the Board may direct. The Board or the stewards may refuse to allow any jockey or apprentice jockey to ride until he or she has successfully passed such examination.

At its May 2013 meeting the Medication and Track Safety Committee discussed jockey and exercise rider injuries by horse activity and incident location within the inclosure. The Committee learned that while jockeys are required to have at least one physical examination at the beginning of the racing year, exercise riders are not. This means the industry has no information regarding the physical capabilities of the 745 licensed exercise riders who perform a dangerous job. An exercise rider who has pre-existing injuries, or who is injured on the job, can return to riding without undergoing any type of examination. Injuries to exercise riders result in continued high costs for the industry, and impaired riders can be a danger to themselves, and other exercise riders and horses. The Committee determined that exercise riders should be required by regulation to undergo yearly physical examinations in the same manner as jockeys and apprentice jockeys.

The proposed addition of Rule 1498.1 was discussed at the July 2013 Jockey and Driver Welfare Committee Meeting. Among the issues examined was the quality of the physicals that might be available to exercise riders, where exercise riders might obtain a physical and the associated costs. The item was again presented at the September 2013 Jockey and Driver Welfare Committee Meeting. The committee discussed the high number of workers' compensation claims involving thoroughbred exercise riders. Brad McKenzie of Finish Line Insurance Group informed the Committee that since 2011 187 workers' compensation claims have been submitted – within the thoroughbred exercise rider category. No numbers were provided for exercise riders injured while riding other breeds. The thoroughbred claims resulted in costs of \$8.6 million.

ANALYSIS

The proposed addition of Rule 1498.1, Exercise Rider Physical Examination, will require that every licensed exercise rider undergo a physical examination at least once a year before the commencement of the first race meeting of the year in which the exercise rider intends to participate, or at such other times as the Board may direct. In addition, the proposed rule states the Board or the stewards may require that any exercise rider be re-examined at any time, and the Board or the stewards may refuse to allow any exercise rider to exercise a horse until he or she has successfully passed such examination. For the purposes of the proposed regulation, subsection 1498.1(a)(1) states that "exercise rider" includes persons licensed as provisional exercise riders. This makes it clear that all persons acting as exercise riders are subject to the physical examination requirement.

There are currently 745 licensed exercise riders. While the proposed addition of Rule 1498.1 requires exercise riders to undergo a yearly physical, it is not clear whether exercise riders would be provided the opportunity to receive such physicals concurrent with those provided jockeys, or if they would have to pay for the physicals themselves. There are currently 302 licensed jockeys, 45 apprentice jockeys and 73 licensed drivers. Santa Anita and Golden Gate Fields provide jockey physicals between January and February. The racing associations pay for the physicals. Los Alamitos arranges for its jockeys to go to a medical clinic where it subsidizes the cost of the physicals (The quarter horse jockeys pay \$30 per physical). Records of jockeys' physicals are kept by the track doctor and the jockey room custodian.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED ADDITION OF
RULE 1498.1 EXERCISE RIDER PHYSICAL EXAMINATION

Regular Board Meeting
October 24, 2013

1498.1 Exercise Rider Physical Examination.

(a) All exercise riders must pass a physical examination at least once a year before the commencement of the first race meeting of the year in which such exercise rider intends to participate, or at such other time as the Board may direct. Such examination will be given by a doctor designated or approved by the Board, and the examination shall include a visual acuity examination and a hearing examination.

(1) For the purposes of this rule "exercise rider" includes persons licensed as provisional exercise riders.

(b) The Board or the stewards may require that any exercise rider be re-examined at any time, and the Board or the stewards may refuse to allow any exercise rider to exercise a horse until he or she has successfully passed such examination.

Authority: Sections 19420, 19440 and 19520,
Business and Professions Code.

Reference: Sections 19440, 19520 and 19521,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING TECHNICAL APPROVAL OF THE
SOUTHERN CALIFORNIA AUXILIARY STABLING AND VANNING AGREEMENT FOR
THE 2014/2015 RACING YEARS.

Regular Board Meeting
October 24, 2013

BACKGROUND

Given the imminent closure of Hollywood Park for both racing and stabling, the California Horse Racing Board tentatively allocated racing dates for 2014 and 2015 conditioned upon stakeholders working out adequate stabling plans to meet the needs of the Southern California thoroughbred circuit in coming years. The Thoroughbred Owners of California (TOC), Santa Anita Park (The Stronach Group), Del Mar, and Los Alamitos – the four principals to such arrangements – conducted months of negotiations among themselves and with participation by Fairplex Park, the California Thoroughbred Trainers, and the CHRB. The principals reported at the September 19, 2013 CHRB meeting that they had finalized details associated with stabling and off-site training for the years 2014 and 2015. The Board approved those plans as outlined pending a review of the signed agreement.

ANALYSIS

The CHRB review of the 2014/2015 agreement confirmed the following:

- Santa Anita will provide year-round stabling for 1,900 horses except during the Del Mar summer meet.
- Fairplex Park will provide stabling for 500 horses from February 1, 2014, through June 30, 2014.
- San Luis Rey Downs (The Stronach Group) will provide year-round stabling for at least 495 horses and possibly 300 additional horses subject to regulatory approvals for the construction of new barns.
- Los Alamitos will provide year-round stabling for an initial 500 thoroughbreds (other than those participating in the night quarter-horse meet) and for an additional 200 thoroughbreds by June 30, 2014, following construction of additional barns.
- In the event that any of the stabling facilities is 50 percent below occupancy for an extended period of time (as reasonably determined by the Stabling and Vanning Committee), the Stabling and Vanning Committee shall have the ability to reduce or cease entirely the stabling reimbursement payments to such facility.
- The three racetracks being allocated additional thoroughbred racing dates made available by the closure of Hollywood Park agree to contribute to an industry fund to meet both initial and long-term stabling needs.
- The Stronach Group will continue its current agreement with TVG allowing both the HRTV and TVG racing networks to televise all thoroughbred meets through the end of the 2013 racing year based on previously negotiated terms. Dual telecasts beginning with the new racing year on December 26, 2013, will be dependent upon successful negotiations of new terms.

RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS
REPORT AND UPDATE REGARDING
2013 SIGNED HORSE RACING LEGISLATION

Regular Board Meeting
October 24, 2013

ASSEMBLY BILLS

AB 432 (V. Manuel Pérez D) Horse Racing

Current Text: Chaptered: 9/9/2013

Status: 9/9/2013-Chaptered by Secretary of State- Chapter 264, Statutes of 2013

Is Urgency: N

Summary:

This bill requires any racing association or racing fair receiving distributions from any exchange wagering provider's exchange revenues to distribute a specified portion of that revenue to the official registering agency.

AB 1074 (Atkins D) Horse racing: Thoroughbred Racing

Current Text: Chaptered: 9/30/2013

Status 9/30/2013-Chaptered by Secretary of State- Chapter 428, Statutes of 2013

Is Urgency: N

Summary:

This bill establishes a maximum of 49 weeks per year of thoroughbred race dates in a combined central and southern zone to be allocated by the Board, as specified. In addition, this bill extends the sunset date of the California Marketing Committee to January 1, 2015.

AB 1226 (Hall D) Horse racing: jockey riding fees.

Current Text: Chaptered: 9/30/2013

Introduced: 2/22/2013

Status: 9/30/2013-Chaptered by Secretary of State- Chapter 434, Statutes of 2013

Is Urgency: Y

Summary:

This bill prohibits the deduction of entry, nomination or other fees from the jockey's riding fee, unless the entry, nomination or other fees are paid exclusively by the owner and not reimbursed by any other person or entity.

AB 1423 (Committee on Governmental Organization) Horse Racing: Advance Deposit Wagering Deductions

Current Text: Chaptered: 9/30/2013

Status: 9/30/2013-Chaptered by Secretary of State- Chapter 435, Statutes of 2013

Urgency: N

Summary:

This will requires certain amounts generated at harness race meetings that are held in trust by the California Exposition and State Fair to be distributed to harness horsemen who participated in a certain race meeting in the form of purses, and to the California Exposition and State fair in the form of commissions.

SENATE BILLS

SB 819 (Senate Committee on Governmental Organization) Horse racing: Breeders' Cup Championship series: payment of purses.

Current Text: Chaptered: 9/30/2013

Status: 9/30/2013-Chaptered by Secretary of State- Chapter 435, Statutes of 2013

Is Urgency: Y

Summary:

In years that the Breeders' Cup Championship series is conducted at a race meeting in California, current law requires that specified amounts that would have otherwise been distributed to a purse account be made available to promote and sponsor the Breeders' Cup.

This bill specifies that those amounts shall be made available to the Breeders' Cup Championship series for the purpose of promoting and supporting the Breeders' Cup, including the payment of purses in Breeders' Cup Championship races.

Assembly Bill No. 432

CHAPTER 264

An act to add Section 19604.6 to the Business and Professions Code, relating to horse racing.

[Approved by Governor September 9, 2013. Filed with Secretary of State September 9, 2013.]

LEGISLATIVE COUNSEL'S DIGEST

AB 432, V. Manuel Pérez. Horse racing: exchange wagering.

(1) Existing law authorizes exchange wagering and authorizes the California Horse Racing Board to recover any costs associated with the licensing or regulation of exchange wagering by imposing an assessment on the exchange wagering licensee in an amount that does not exceed the reasonable costs associated with the licensing or regulation of exchange wagering.

This bill would require any racing association or racing fair receiving distributions from any exchange wagering agreement to distribute a specified portion of that revenue to the official registering agency. The bill would require the official registering agency to distribute those revenues in a specified manner. By imposing new requirements on any racing association or racing fair, the violation of which would be a crime, the bill would impose a state-mandated local program.

(2) The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

The people of the State of California do enact as follows:

SECTION 1.

Section 19604.6 is added to the Business and Professions Code, to read:
19604.6.

Any racing association or racing fair receiving distributions from any exchange wagering agreement, as may be required pursuant to paragraphs (4) and (5) of subdivision (b) of Section 19604.5, shall distribute revenue equal to 0.16 percent of the total exchange wagering revenues generated pursuant to the exchange wagering agreement to the official registering agency. The official registering agency shall distribute the funds received pursuant to this section in the manner prescribed in subdivisions (b) to (d), inclusive, of Section 19617.2.

SEC. 2.

No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.

O

Assembly Bill No. 1074

CHAPTER 428

An act to amend Sections 19531, 19549.2, 19549.6, 19549.7, 19549.9, and 19605.73 of, and to repeal Sections 19532.1 and 19532.2 of, the Business and Professions Code, relating to horse racing.

[Approved by Governor September 30, 2013. Filed with Secretary of State September 30, 2013.]

LEGISLATIVE COUNSEL'S DIGEST

AB 1074, Atkins. Horse racing: thoroughbred racing.

Existing law, the Horse Racing Law, authorizes the California Horse Racing Board to make allocations of racing weeks, as it deems appropriate, and, for thoroughbred racing, establishes a maximum of 44 weeks per year of racing weeks in the northern zone, a maximum of 42 weeks per year in the central zone, and a maximum of 7 weeks per year in the southern zone. Existing law also prohibits the board from allocating dates to a thoroughbred association in the central zone for conducting racing during daytime hours, if in the southern zone, a thoroughbred racing association is conducting racing on the same date during daytime hours. A violation of the Horse Racing Law is a crime.

This bill would, instead of separate allocations to the central and southern zones, establish a maximum of 49 racing weeks per year in the combined central and southern zones. The bill would also require the board to allocate from those weeks a minimum number of weeks to certain racetracks in the central and southern zones that were used to conduct thoroughbred race meetings prior to 2012 and would authorize the board to allocate from those weeks a maximum number of weeks to certain racetracks in the southern zone that were not used to conduct thoroughbred race meetings in the southern zone prior to 2012. The bill would prohibit the board from allocating dates to a thoroughbred association in the southern zone for the purpose of conducting racing, regardless of the hours, if, on the same date and during daytime hours, a thoroughbred racing association is conducting racing in the central zone, and would reciprocally prohibit the allocation of racing dates to a thoroughbred association in the central zone when daytime racing is conducted by a thoroughbred association in the southern zone. Because a violation of those provisions would be a crime, this bill would impose a state-mandated local program.

Existing law provides that, except as specified, if a venue licensed to conduct thoroughbred racing in the central zone in 2008 is not available for racing in 2009 or thereafter, the dates formerly allocated to that venue shall be available for allocation by the board, and further provides that, if a racetrack located in the central zone is not available for use by a thoroughbred association that was licensed to conduct a live race meet at that racetrack in 2009, the board is authorized to allocate racing dates to that association to be operated at a racetrack in the central zone or southern zone for racing in 2010 or thereafter.

This bill would repeal those provisions.

Existing law, operative until January 1, 2014, authorizes thoroughbred racing associations, fairs, and the organization responsible for contracting with thoroughbred racing associations and fairs with respect to the conduct of racing meetings, to form a private, statewide marketing organization to market and promote thoroughbred and fair horse racing. If a marketing organization is formed, existing law requires an amount not to exceed 0.25% of the total amount handled by each satellite wagering facility to be distributed to the marketing organization, and imposes certain requirements on the marketing organization, including that the marketing organization annually submit certain information to the board. Violations of the Horse Racing Law are generally misdemeanors.

This bill would extend the operation of those provisions to January 1, 2015. By extending those provisions of the Horse Racing Law, a violation of which is a crime, the

bill would create new crimes and would thereby impose a state-mandated local program.

This bill would make conforming changes to specified provisions in order to update cross-references.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

The people of the State of California do enact as follows:

SECTION 1.

Section 19531 of the Business and Professions Code is amended to read:
19531.

(a) The board shall make allocations of racing weeks, including simultaneous racing between zones, as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows:

(1) For thoroughbred racing: 44 weeks per year in the northern zone; and 49 weeks per year in the combined central and southern zones.

(2) For harness racing: 25 weeks per year in the northern zone.

(3) For quarter horse racing: 25 weeks per year in the northern zone.

(4) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones.

(b) In its written application for a license, an applicant shall state the time of day, consistent with this chapter, during which it will conduct its racing meeting, and particularly the first race starting time for the various racing days. After receiving a license, a licensee shall not change the first race starting time without securing prior approval of the board.

(c) Notwithstanding this section or any other provision in this chapter, the following provisions apply:

(1) From the weeks available in the combined central and southern zones pursuant to subdivision (a), the board shall allocate a minimum of seven weeks per year to a thoroughbred racing association to conduct thoroughbred racing at a racetrack that was used to conduct a thoroughbred race meeting in the southern zone prior to 2012.

(2) The board shall not allocate dates to a thoroughbred association in the central zone for the purpose of conducting racing if a thoroughbred racing association is conducting racing in the southern zone on the same date during daytime hours.

(3) From the weeks available in the combined central and southern zones pursuant to subdivision (a), the board shall allocate a minimum of 25 weeks per year to a thoroughbred racing association to conduct thoroughbred racing at a racetrack that was used to conduct a thoroughbred race meeting in the central zone prior to 2012.

(4) The board shall not allocate dates to a thoroughbred association in the southern zone for the purpose of conducting racing if a thoroughbred racing association is conducting racing in the central zone on the same date during daytime hours.

(5) From the weeks available in the combined central and southern zones pursuant to subdivision (a), the board may allocate a maximum of five weeks per year to a thoroughbred racing association to conduct thoroughbred racing at a racetrack in the southern zone that was not used to conduct a thoroughbred race meeting in the southern zone prior to 2012.

SEC. 2.

Section 19532.1 of the Business and Professions Code is repealed.

SEC. 3.

Section 19532.2 of the Business and Professions Code is repealed.

SEC. 4.

Section 19549.2 of the Business and Professions Code is amended to read:
19549.2.

From the weeks available for harness and quarter horse racing pursuant to paragraph (4) of subdivision (a) of Section 19531, the board may allocate a maximum of 12 weeks

of harness racing to the 22nd District Agricultural Association to be conducted on the 22nd District Agricultural Association grounds. The racing shall be conducted by a person other than the 22nd District Agricultural Association.

SEC. 5.

Section 19549.6 of the Business and Professions Code is amended to read:

19549.6.

Notwithstanding paragraph (2) of subdivision (a) of Section 19531 and Sections 19540, 19546, and 19549, the board may allocate additional weeks of harness racing to the California Exposition and State Fair in Sacramento or its lessee, to be raced at the California Exposition and State Fair in Sacramento.

SEC. 6.

Section 19549.7 of the Business and Professions Code is amended to read:

19549.7.

Notwithstanding paragraph (3) of subdivision (a) of Section 19531 and Section 19549, the board may allocate additional weeks of quarter horse racing to a lessee of the California Exposition and State Fair in Sacramento to be raced at the California Exposition and State Fair in Sacramento.

SEC. 7.

Section 19549.9 of the Business and Professions Code is amended to read:

19549.9.

Notwithstanding paragraph (4) of subdivision (a) of Section 19531 and Section 19549, the board may allocate up to 10 additional weeks of harness racing to the Los Angeles County Fair, or its lessee, to be raced at the fairgrounds in Pomona.

SEC. 8.

Section 19605.73 of the Business and Professions Code is amended to read:

19605.73.

(a) Thoroughbred racing associations, fairs, and the organization responsible for contracting with thoroughbred racing associations and fairs with respect to the conduct of racing meetings, may form a private, statewide marketing organization to market and promote thoroughbred and fair horse racing, including, but not limited to, the establishment and maintenance of an Internet Web site featuring California thoroughbred and fair racing, the establishment and administration of players incentive programs for those who wager on thoroughbred association and fair races, and promotional activities at satellite wagering facilities to increase their attendance and handle. While the promotional activities at satellite wagering facilities shall be funded by the marketing organization, they shall be implemented and coordinated by representatives of the satellite wagering facilities and the thoroughbred racing associations or fairs then conducting a live race meet. The organization shall consist of the following members: two members, one from the northern zone and one from the combined central and southern zones, appointed by the thoroughbred racetracks; two members, one from the northern zone and one from the combined central and southern zones, appointed by the owners' organization responsible for contracting with associations and fairs with respect to the conduct of racing meetings; and two members, one from the northern zone and one from the combined central and southern zones, appointed by the organization representing racing and satellite fairs.

(b) The marketing organization formed pursuant to subdivision (a) shall, by November 1 of each year, submit a written report to the board on a statewide marketing and promotion plan for the upcoming calendar year. In addition, the organization shall annually present to the board at the board's November meeting a verbal report on the statewide marketing and promotion plan for the upcoming calendar year. The plan shall be implemented as determined by the organization. The organization shall receive input from all interested industry participants and may utilize outside consultants.

(c) In addition to the distributions specified in subdivisions (a) and (b) of Section 19605.7, subdivisions (a) and (b) of Section 19605.71, and Section 19605.72, for thoroughbred and fair meetings only, from the amount that would normally be available for commissions and purses, an amount not to exceed 0.25 percent of the total amount handled by each satellite wagering facility shall be distributed to the marketing organization formed pursuant to subdivision (a) for the purposes set forth therein. The

amounts initially distributed to the marketing organization formed pursuant to subdivision (a) shall be 0.2 percent of the total amount handled by satellite wagering facilities for thoroughbred and fair meetings only. The amount distributable to the marketing organization may be adjusted by the board, in its discretion. However, the adjusted amounts may not exceed an aggregate of 0.25 percent of the total amount handled by satellite wagering facilities for thoroughbred and fair meetings only. Any of the promotion funds that are not expended in the year in which they are collected may be expended in the following year. If promotion funds expended in any one year exceed the amount collected for that year, the funds expended in the following year shall be reduced by the excess amount. The marketing organization, on a quarterly basis, shall submit to the board a written report that accounts for all receipts and expenditures of the promotion funds for the previous three months.

(d) This section shall remain in effect only until January 1, 2015, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2015, deletes or extends that date. Any moneys held by the organization shall, in the event this section is repealed, be distributed to the organization formed pursuant to Section 19608.2, for purposes of that section.

SEC. 9.

No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.

O

Assembly Bill No. 1226

CHAPTER 434

An act to add Section 19502 to the Business and Professions Code, relating to horse racing, and declaring the urgency thereof, to take effect immediately.

[Approved by Governor September 30, 2013. Filed with Secretary of State September 30, 2013.]

LEGISLATIVE COUNSEL'S DIGEST

AB 1226, Hall. Horse racing: jockey riding fees.

Existing law, the Horse Racing Law, generally vests the administration of horse racing with the California Horse Racing Board, and requires the board to set minimum jockey riding fees. Existing law also prohibits the paymaster of a racing association or racing fair from disbursing any sum from a jockey's compensation to any person other than the jockey, except as specified. A violation of the Horse Racing Law, where no other penalty is expressed, is a misdemeanor.

This bill would require the board not to permit any portion of an entry, nomination, or other fee paid by an owner to be deducted from a jockey riding fee unless the entry, nomination, or other fee is paid exclusively by the owner and not reimbursed by any other person or entity. Because a violation of the provisions of the bill would be a misdemeanor, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

This bill would declare that it is to take effect immediately as an urgency statute.

The people of the State of California do enact as follows:

SECTION 1.

Section 19502 is added to the Business and Professions Code, to read:

19502.

Notwithstanding any other law, the board shall not permit any portion of an entry, nomination, or other fee paid by an owner to be deducted from a jockey riding fee unless the entry, nomination, or other fee is paid exclusively by the owner and not reimbursed by any other person or entity.

SEC. 2.

No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.

SEC. 3.

This act is an urgency statute necessary for the immediate preservation of the public peace, health, or safety within the meaning of Article IV of the Constitution and shall go into immediate effect. The facts constituting the necessity are:

In order to implement the necessary changes to the Horse Racing Law due to the 2013 Breeders' Cup World Championships being held in California this year, it is necessary that this act take effect immediately.

O

Assembly Bill No. 1423

CHAPTER 435

An act to amend Sections 19604 and 19604.5 of the Business and Professions Code, relating to horse racing.

[Approved by Governor September 30, 2013. Filed with Secretary of State September 30, 2013.]

LEGISLATIVE COUNSEL'S DIGEST

AB 1423, Committee on Governmental Organization. Horse racing: advance deposit wagering and exchange wagering deductions.

Existing law authorizes advance deposit wagering to be conducted, with the approval of the California Horse Racing Board, in accordance with specified provisions of law. Existing law requires a certain percentage of the amounts distributed for advance deposit wagering for racing meetings, except for harness racing meetings, to be deducted and distributed for the establishment and administration of a defined contribution retirement plan for California-licensed jockeys who retire on or after January 1, 2009, to supplement trainer-administered pension plans for backstretch personnel, and for distribution to a welfare fund established for horsemen and backstretch personnel, as specified. Existing law also requires a certain percentage of amounts distributed on advance deposit wagers for harness racing meetings to be deducted and distributed to a welfare fund established for the benefit of horsemen and backstretch personnel, and for any amounts remaining to be utilized for the benefit of horsemen pursuant to a written agreement between the racing association that conducts the live harness race meeting and the organization representing the horsemen, as specified.

This bill would require certain amounts generated at harness race meetings that are held in trust by the California Exposition and State Fair to be distributed to the harness racing horsemen who participated in a certain racing meeting, and to the California Exposition and State Fair, in accordance with specified percentages and requirements.

Existing law authorizes exchange wagering, as defined, by an entity licensed by the board, in accordance with specified provisions of law. Existing law, until January 1, 2021, on an annual basis, requires a certain amount of exchange revenues collected by exchange wagering licensees to be distributed to provide health and welfare benefits to jockeys and their dependents.

This bill would instead repeal those provisions on January 1 following the year in which the 10th annual distribution of those funds is made.

By imposing new requirements on licensees under the Horse Racing Law, a violation of which would be a crime, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

The people of the State of California do enact as follows:

SECTION 1.

Section 19604 of the Business and Professions Code is amended to read:
19604.

The board may authorize any racing association, racing fair, betting system, or multijurisdictional wagering hub to conduct advance deposit wagering in accordance with this section. Racing associations, racing fairs, and their respective horsemen's organizations may form a partnership, joint venture, or any other affiliation in order to further the purposes of this section.

(a) As used in this section, the following definitions apply:

(1) "Advance deposit wagering" (ADW) means a form of parimutuel wagering in which a person residing within California or outside of this state establishes an account with an ADW provider, and subsequently issues wagering instructions concerning the funds in this account, thereby authorizing the ADW provider holding the account to place wagers on the account owner's behalf.

(2) "ADW provider" means a licensee, betting system, or multijurisdictional wagering hub, located within California or outside this state, that is authorized to conduct advance deposit wagering pursuant to this section.

(3) "Betting system" means a business conducted exclusively in this state that facilitates parimutuel wagering on races it simulcasts and other races it offers in its wagering menu.

(4) "Breed of racing" means as follows:

(A) With respect to associations and fairs licensed by the board to conduct thoroughbred, fair, or mixed breed race meetings, "breed of racing" shall mean thoroughbred.

(B) With respect to associations licensed by the board to conduct quarter horse race meetings, "breed of racing" shall mean quarter horse.

(C) With respect to associations and fairs licensed by the board to conduct standardbred race meetings, "breed of racing" shall mean standardbred.

(5) "Contractual compensation" means the amount paid to an ADW provider from advance deposit wagers originating in this state. Contractual compensation includes, but is not limited to, hub fee payments, and may include host fee payments, if any, for out-of-state and out-of-country races. Contractual compensation is subject to the following requirements:

(A) Excluding contractual compensation for host fee payments, contractual compensation shall not exceed 6.5 percent of the amount wagered.

(B) The host fee payments included within contractual compensation shall not exceed 3.5 percent of the amount wagered. Notwithstanding this provision, the host fee payment with respect to wagers on the Kentucky Derby, Preakness Stakes, Belmont Stakes, and selected Breeders' Cup Championship races may be negotiated by the ADW provider, the racing associations accepting wagers on those races pursuant to Section 19596.2, and the horsemen's organization.

(C) In order to ensure fair and consistent market access fee distributions to associations, fairs, horsemen, and breeders, for each breed of racing, the percentage of wagers paid as contractual compensation to an ADW provider pursuant to the terms of a hub agreement with a racing association or fair when that racing association or fair is conducting live racing shall be the same as the percentage of wagers paid as contractual compensation to that ADW provider when that racing association or fair is not conducting live racing.

(6) "Horsemen's organization" means, with respect to a particular racing meeting, the organization recognized by the board as responsible for negotiating purse agreements on behalf of horsemen participating in that racing meeting.

(7) "Hub agreement" means a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California.

(8) "Hub agreement arbitration" means an arbitration proceeding pursuant to which the disputed provisions of the hub agreement pertaining to the hub or host fees from wagers on races conducted outside of California provided pursuant to paragraph (2) of subdivision (b) are determined in accordance with the provisions of this paragraph. If a hub agreement arbitration is requested, all of the following shall apply:

(A) The ADW provider shall be permitted to accept advance deposit wagers from

California residents.

(B) The contractual compensation received by the ADW provider shall be the contractual compensation specified in the hub agreement that is the subject of the hub agreement arbitration.

(C) The difference between the contractual compensation specified in subparagraph (B) and the contractual compensation determined to be payable at the conclusion of the hub agreement arbitration shall be calculated and paid within 15 days following the arbitrator's decision and order. The hub agreement arbitration shall be held as promptly as possible, but in no event more than 60 days following the demand for that arbitration. The arbitrator shall issue a decision no later than 15 days following the conclusion of the arbitration. A single arbitrator jointly selected by the ADW provider and the party requesting a hub agreement arbitration shall conduct the hub agreement arbitration. However, if the parties cannot agree on the arbitrator within seven days of issuance of the written demand for arbitration, then the arbitrator shall be selected pursuant to the Streamlined Arbitration Rules and Procedures of the Judicial Arbitration and Mediation Services, or pursuant to the applicable rules of its successor organization. In making the hub agreement arbitration determination, the arbitrator shall be required to choose between the contractual compensation of the hub agreement agreed to by the ADW provider or whatever different terms for the hub agreement were proposed by the party requesting the hub agreement arbitration. The arbitrator shall not be permitted to impose new, different, or compromised terms to the hub agreement. The arbitrator's decision shall be final and binding on the parties. If an arbitration is requested, either party may bring an action in state court to compel a party to go into arbitration or to enforce the decision of the arbitrator. The cost of the hub agreement arbitration, including the cost of the arbitrator, shall be borne in equal shares by the parties to the hub agreement and the party or parties requesting a hub agreement arbitration. The hub agreement arbitration shall be administered by the Judicial Arbitration and Mediation Services pursuant to its Streamlined Arbitration Rules and Procedures or its successor organization.

(9) "Incentive awards" means those payments provided for in Sections 19617.2, 19617.7, 19617.8, 19617.9, and 19619. The amount determined to be payable for incentive awards under this section shall be payable to the applicable official registering agency and thereafter distributed as provided in this chapter.

(10) "Licensee" means any racing association or fair licensed to conduct a live racing meet in this state, or affiliation thereof, authorized under this section.

(11) "Market access fee" means the amount of advance deposit wagering handle remaining after the payment of winning wagers, and after the payment of contractual compensation, if any, to an ADW provider. Market access fees shall be distributed in accordance with subdivision (f).

(12) "Multijurisdictional wagering hub" means a business conducted in more than one jurisdiction that facilitates parimutuel wagering on races it simulcasts and other races it offers in its wagering menu.

(13) "Racing fair" means a fair authorized by the board to conduct live racing.

(14) "Zone" means the zone of the state, as defined in Section 19530.5, except as modified by the provisions of subdivision (f) of Section 19601. For these purposes, the central and southern zones shall together be considered one zone.

(b) Wagers shall be accepted according to the procedures set forth in this subdivision.

(1) No ADW provider shall accept wagers or wagering instructions on races conducted in California from a resident of California unless all of the following conditions are met:

(A) The ADW provider is licensed by the board.

(B) A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.

(C) The agreement referenced in subparagraph (B) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001 et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written

agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to advance deposit wagering on races conducted in California accepted from residents of California.

(2) No ADW provider shall accept wagers or wagering instructions on races conducted outside of California from a resident of California unless all of the following conditions are met:

(A) The ADW provider is licensed by the board.

(B) There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

(C) If the parties referenced in clauses (i) and (ii) of subparagraph (B) are both signatories to the hub agreement, then no party shall have the right to request a hub agreement arbitration.

(D) If only the party or parties referenced in clause (i) of subparagraph (B) is a signatory to the hub agreement, then the signatories to the hub agreement shall, within five days of execution of the hub agreement, provide a copy of the hub agreement to the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted for each race conducted outside of California on which California residents may place advance deposit wagers. Before receipt of the hub agreement, the horsemen's organization shall sign a nondisclosure agreement with the ADW provider agreeing to hold confidential all terms of the hub agreement. If the horsemen's organization wants to request a hub agreement arbitration, it shall send written notice of its election to the signatories to the hub agreement within 10 days after receipt of the copy of the hub agreement, and shall provide its alternate proposal to the hub and host fees specified in the hub agreement with that written notice. If the horsemen's organization does not provide that written notice within the 10-day period, then no party shall have the right to request a hub agreement arbitration. If the horsemen's organization does provide that written notice within the 10-day period, then the ADW provider shall have 10 days to elect in writing to do one of the following:

(i) Abandon the hub agreement.

(ii) Accept the alternate proposal submitted by the horsemen's organization.

(iii) Proceed with a hub agreement arbitration.

(E) If only the party referenced in clause (ii) of subparagraph (B) is a signatory to the hub agreement, then the signatories to the hub agreement shall, within five days of execution of the hub agreement, provide written notice of the host and hub fees applicable pursuant to the hub agreement for each race conducted outside of California on which California residents may place advance deposit wagers, which notice shall be provided to all racing associations and fairs conducting live racing of the same breed covered by the hub agreement. If any racing association or fair wants to request a hub agreement arbitration, it shall send written notice of its election to the signatories to the hub agreement within 10 days after receipt of the notice of host and hub fees. It shall also provide its alternate proposal to the hub and host fees specified in the hub agreement with the notice of its election. If more than one racing association or fair provides notice of their request for hub agreement arbitration, those racing associations or fairs, or both, shall have a period of five days to jointly agree upon which of their alternate proposals shall be the official proposal for purposes of the hub agreement arbitration. If one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed does not provide written notice of their election to arbitrate within the 10-day period, then no party shall have the right to request a hub agreement arbitration. If a valid hub agreement arbitration request is made, then the ADW provider shall have 10 days to elect in writing to do one of the following:

- (i) Abandon the hub agreement.
- (ii) Accept the alternate proposal submitted by the racing associations or fairs.
- (iii) Proceed with a hub agreement arbitration.

The results of any hub agreement arbitration elected pursuant to this subdivision shall be binding on all other associations and fairs conducting live racing on that breed.

(F) The acceptance thereof is in compliance with the provisions of the Interstate Horseracing Act (15 U.S.C. Sec. 3001 et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of the wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider.

(c) An advance deposit wager may be made only by the ADW provider holding the account pursuant to wagering instructions issued by the owner of the funds communicated by telephone call or through other electronic media. The ADW provider shall ensure the identification of the account's owner by using methods and technologies approved by the board. Any ADW provider that accepts wagering instructions concerning races conducted in California, or accepts wagering instructions originating in California, shall provide a full accounting and verification of the source of the wagers thereby made, including the postal ZIP Code and breed of the source of the wagers, in the form of a daily download of parimutuel data to a database designated by the board. The daily download shall be delivered in a timely basis using file formats specified by the database designated by the board, and shall include any and all data necessary to calculate and distribute moneys according to the rules and regulations governing California parimutuel wagering. Any and all reasonable costs associated with the creation, provision, and transfer of this data shall be borne by the ADW provider.

(d) (1) (A) The board shall develop and adopt rules to license and regulate all phases of operation of advance deposit wagering for ADW providers operating in California, including advance deposit wagering activity that takes place within a minisatellite wagering facility. The board may recover any costs associated with the licensing or regulation of advance deposit wagering activities in a minisatellite wagering facility either directly from the ADW provider or through an appropriate increase in the funding formula devised by the board pursuant to paragraph (1) of subdivision (a) of Section 19616.51.

(B) The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than 90 days before licensing, has entered into a contractual agreement with that labor organization that provides all of the following:

- (i) The labor organization has historically represented employees who accept or process any form of wagering at the nearest horse racing meeting located in California.
- (ii) The agreement establishes the method by which the ADW provider will agree to recognize and bargain in good faith with a labor organization that has demonstrated majority status by submitting authorization cards signed by those employees who accept or process any form of wagering for which a California ADW license is required.
- (iii) The agreement requires the ADW provider to maintain its neutrality concerning the choice of those employees who accept or process any form of wagering for which a California ADW license is required whether or not to authorize the labor organization to represent them with regard to wages, hours, and other terms and conditions of employment.
- (iv) The agreement applies to those classifications of employees who accept or process wagers for which a California ADW license is required whether the facility is located within or outside of California.

(C) (i) The agreement required by subparagraph (B) shall not be conditioned by either party upon the other party agreeing to matters outside the requirements of subparagraph (B).

(ii) The requirement in subparagraph (B) shall not apply to an ADW provider that has entered into a collective bargaining agreement with a bona fide labor organization that is the exclusive bargaining representative of employees who accept or process parimutuel

wagers on races for which an ADW license is required whether the facility is located within or outside of California.

(D) Permanent state or county employees and nonprofit organizations that have historically performed certain services at county, state, or district fairs may continue to provide those services.

(E) Parimutuel clerks employed by racing associations or fairs or employees of ADW providers who accept or process any form of wagers who are laid off due to lack of work shall have preferential hiring rights for new positions with their employer in occupations whose duties include accepting or processing any form of wagers, or the operation, repair, service, or maintenance of equipment that accepts or processes any form of wagering at a racetrack, satellite wagering facility, or ADW provider licensed by the board. The preferential hiring rights established by this subdivision shall be conditioned upon the employee meeting the minimum qualification requirements of the new job.

(2) The board shall develop and adopt rules and regulations requiring ADW providers to establish security access policies and safeguards, including, but not limited to, the following:

(A) The ADW provider shall use board-approved methods to perform location and age verification confirmation with respect to persons establishing an advance deposit wagering account.

(B) The ADW provider shall use personal identification numbers (PINs) or other technologies to assure that only the accountholder has access to the advance deposit wagering account.

(C) The ADW provider shall provide for withdrawals from the wagering account only by means of a check made payable to the accountholder and sent to the address of the accountholder or by means of an electronic transfer to an account held by the verified accountholder or the accountholder may withdraw funds from the wagering account at a facility approved by the board by presenting verifiable account identification information.

(D) The ADW provider shall allow the board access to its premises to visit, investigate, audit, and place expert accountants and other persons it deems necessary for the purpose of ensuring that its rules and regulations concerning credit authorization, account access, and other security provisions are strictly complied with. To ensure that the amounts retained from the parimutuel handle are distributed under law, rules, or agreements, any ADW provider that accepts wagering instructions concerning races conducted in California or accepts wagering instructions originating in California shall provide an independent "agreed-upon procedures" audit for each California racing meeting, within 60 days of the conclusion of the race meeting. The auditing firm to be used and the content and scope of the audit, including host fee obligations, shall be set forth in the applicable agreement. The ADW provider shall provide the board, horsemen's organizations, and the host racing association with an annual parimutuel audit of the financial transactions of the ADW provider with respect to wagers authorized pursuant to this section, prepared in accordance with generally accepted auditing standards and the requirements of the board. Any and all reasonable costs associated with those audits shall be borne by the ADW provider.

(3) The board shall prohibit advance deposit wagering advertising that it determines to be deceptive to the public. The board shall also require, by regulation, that every form of advertising contain a statement that minors are not allowed to open or have access to advance deposit wagering accounts.

(e) In order for a licensee, betting system, or multijurisdictional wagering hub to be approved by the board as an ADW provider, it shall meet both of the following requirements:

(1) All wagers thereby made shall be included in the appropriate parimutuel pool under a contractual agreement with the applicable host track.

(2) The amounts deducted from advance deposit wagers shall be in accordance with the provisions of this chapter.

(f) After the payment of contractual compensation, the amounts received as market access fees from advance deposit wagers, which shall not be considered for purposes of Section 19616.51, shall be distributed as follows:

(1) An amount equal to 0.0011 multiplied by the amount handled on advance deposit

wagers originating in California for each racing meeting shall be distributed to the Center for Equine Health to establish the Kenneth L. Maddy Fund for the benefit of the School of Veterinary Medicine at the University of California at Davis.

(2) An amount equal to 0.0003 multiplied by the amount handled on advance deposit wagers originating in California for each racing meeting shall be distributed to the Public Employment Relations Board to cover costs associated with audits conducted pursuant to Section 19526 and for purposes of reimbursing the State Mediation and Conciliation Service for costs incurred pursuant to this section. However, if that amount would exceed the costs of the Public Employment Relations Board, the amount distributed to that board shall be reduced, and that reduction shall be forwarded to an organization designated by the racing association or fair described in subdivision (a) for the purpose of augmenting a compulsive gambling prevention program specifically addressing that problem.

(3) An amount equal to 0.00165 multiplied by the amount handled on advance deposit wagers that originate in California for each racing meeting shall be distributed as follows:

(A) One-half of the amount shall be distributed to supplement the trainer-administered pension plans for backstretch personnel established pursuant to Section 19613. Moneys distributed pursuant to this subparagraph shall supplement, and not supplant, moneys distributed to that fund pursuant to Section 19613 or any other provision of law.

(B) One-half of the amount shall be distributed to the welfare fund established for the benefit of horsemen and backstretch personnel pursuant to subdivision (b) of Section 19641. Moneys distributed pursuant to this subparagraph shall supplement, and not supplant, moneys distributed to that fund pursuant to Section 19641 or any other provision of law.

(4) With respect to wagers on each breed of racing that originate in California, an amount equal to 2 percent of the first two hundred fifty million dollars (\$250,000,000) of handle from all advance deposit wagers originating from within California annually, an amount equal to 1.5 percent of the next two hundred fifty million dollars (\$250,000,000) of handle from all advance deposit wagers originating from within California annually, an amount equal to 1 percent of the next two hundred fifty million dollars (\$250,000,000) of handle from all advance deposit wagers originating from within California annually, and an amount equal to 0.50 percent of handle from all advance deposit wagers originating from within California in excess of seven hundred fifty million dollars (\$750,000,000) annually, shall be distributed as satellite wagering commissions. Satellite wagering facilities that were not operational in 2001, other than one each in the Cities of Inglewood and San Mateo, and two additional facilities each operated by the Alameda County Fair and the Los Angeles County Fair and their partners and other than existing facilities that are relocated, are not eligible for satellite wagering commission distributions under this section. The satellite wagering facility commissions calculated in accordance with this subdivision shall be distributed to each satellite wagering facility and racing association or fair in the zone in which the wager originated in the same relative proportions that the satellite wagering facility or the racing association or fair generated satellite commissions during the previous calendar year. If there is a reduction in the satellite wagering commissions pursuant to this section, the benefits therefrom shall be distributed equitably as purses and commissions to all associations and racing fairs generating advance deposit wagers in proportion to the handle generated by those associations and racing fairs. If a satellite wagering facility is permanently closed other than for renovation or remodeling, or if a satellite wagering facility is unwilling or unable to accept all of the signals that are available to that facility, the commissions otherwise provided for in this subdivision that would be payable to that facility shall be proportionately reduced to take into account the time that satellite wagering is no longer conducted by that facility, or the payment of those commissions shall be eliminated entirely if the facility is permanently closed, and, in either case, the satellite wagering commissions not paid shall be proportionately redistributed to the other eligible satellite wagering facilities. For purposes of this section, the purse funds distributed pursuant to Section 19605.72 shall be considered to be satellite wagering facility commissions attributable to thoroughbred races at the locations described in that section.

(5) After the distribution of the amounts set forth in paragraphs (1) to (4), inclusive, the remaining market access fees from advance deposit wagers originating in California shall be as follows:

(A) With respect to wagers on each breed of racing, the amount remaining shall be distributed to the racing association or fair that is conducting live racing on that breed during the calendar period in the zone in which the wager originated. That amount shall be allocated to that racing association or fair as commissions, to horsemen participating in that racing meeting in the form of purses, and as incentive awards, in the same relative proportion as they were generated or earned during the prior calendar year at that racing association or fair on races conducted or imported by that racing association or fair after making all deductions required by applicable law. Notwithstanding any other law, the distributions with respect to each breed of racing set forth in this subparagraph may be altered upon the approval of the board, in accordance with an agreement signed by the respective associations, fairs, horsemen's organizations, and breeders organizations receiving those distributions.

(B) If the provisions of Section 19601.2 apply, then the amount distributed to the applicable racing associations or fairs shall first be divided between those racing associations or fairs in direct proportion to the total amount wagered in the applicable zone on the live races conducted by the respective association or fair. Notwithstanding this requirement, when the provisions of subdivision (b) of Section 19607.5 apply to the 2nd District Agricultural Association in Stockton or the California Exposition and State Fair in Sacramento, then the total amount distributed to the applicable racing associations or fairs shall first be divided equally, with 50 percent distributed to applicable fairs and 50 percent distributed to applicable associations.

(C) Notwithstanding any provisions of this section to the contrary, with respect to wagers on out-of-state and out-of-country thoroughbred races conducted after 6 p.m., Pacific time, 50 percent of the amount remaining shall be distributed as commissions to thoroughbred associations and racing fairs, as thoroughbred and fair purses, and as incentive awards in accordance with subparagraph (A), and the remaining 50 percent, together with the total amount remaining from advance deposit wagering originating from California out-of-state and out-of-country harness and quarter horse races conducted after 6 p.m., Pacific time, shall be distributed as commissions on a pro rata basis to the applicable licensed quarter horse association and the applicable licensed harness association, based upon the amount handled in state, both on- and off-track, on each breed's own live races in the previous year by that association, or its predecessor association. One-half of the amount thereby received by each association shall be retained by that association as a commission, and the other half of the money received shall be distributed as purses to the horsemen participating in its current or next scheduled licensed racing meeting.

(D) Notwithstanding any provisions of this section to the contrary, with respect to wagers on out-of-state and out-of-country nonthoroughbred races conducted before 6 p.m., Pacific time, 50 percent of the amount remaining shall be distributed as commissions as provided in subparagraph (C) for licensed quarter horse and harness associations, and the remaining 50 percent shall be distributed as commissions to the applicable thoroughbred associations or fairs, as thoroughbred and fair purses, and as incentive awards in accordance with subparagraph (A).

(E) Notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph may be altered upon the approval of the board, in accordance with an agreement signed by all parties whose distributions would be affected.

(g) A racing association, a fair, a satellite wagering facility, or a minisatellite wagering facility may enter into an agreement with an ADW provider to accept and facilitate the placement of any wager from a patron at its facility that a California resident could make through that ADW provider. Deductions from wagers made pursuant to the agreement shall be distributed in accordance with the provisions of this chapter governing wagers placed at that facility, except that the board may authorize alternative distributions as agreed to by the ADW provider, the operator of the facility accepting the wager, the association or fair conducting that breed of racing in the zone where the wager is placed,

and the respective horsemen's organization.

(h) Any issues concerning the interpretation or application of this section shall be resolved by the board.

(i) Amounts distributed under this section shall be proportionally reduced by an amount equal to 0.00295 multiplied by the amount handled on advance deposit wagers originating in California for each racing meeting, except for harness racing meetings, provided that the amount of this reduction shall not exceed two million dollars (\$2,000,000). The method used to calculate the reduction in proportionate share shall be approved by the board. The amount deducted shall be distributed as follows:

(1) Fifty percent of the money to the board to establish and to administer jointly with the organization certified as the majority representative of California-licensed jockeys pursuant to Section 19612.9, a defined contribution retirement plan for California-licensed jockeys who retired from racing on or after January 1, 2009.

(2) The remaining 50 percent of the money shall be distributed as follows:

(A) Seventy percent shall be distributed to supplement the trainer-administered pension plans for backstretch personnel established pursuant to Section 19613. Moneys distributed pursuant to this subparagraph shall supplement, and not supplant, moneys distributed to that fund pursuant to Section 19613 or any other provision of law.

(B) Thirty percent shall be distributed to the welfare fund established for the benefit of horsemen and backstretch personnel pursuant to subdivision (b) of Section 19641. Moneys distributed pursuant to this subparagraph shall supplement, and not supplant, moneys distributed to that fund pursuant to Section 19641 or any other provision of law.

(j) Amounts distributed under this section shall be proportionally reduced by an amount equal to 0.00295 multiplied by the amount handled on advance deposit wagers originating in California for each harness racing meeting, provided that the amount of this reduction shall not exceed five hundred thousand dollars (\$500,000). The method used to calculate the reduction in proportionate share shall be approved by the board. The amount deducted shall be distributed as follows:

(1) First to the welfare fund established for the benefit of horsemen and backstretch personnel, pursuant to subdivision (b) of Section 19641, and administered by the organization representing the horsemen participating in the race meeting, in the amount requested by the welfare fund. Moneys distributed pursuant to this paragraph shall supplement, and not supplant, moneys distributed to that fund pursuant to Section 19641 or any other provision of law.

(2) The amount remaining, if any, shall be utilized for the benefit of the horsemen as specified in a written agreement between the racing association that conducts the live harness race meeting and the organization representing the horsemen participating in the race meeting.

(k) Notwithstanding subdivision (j), amounts generated that were deducted from amounts handled on advance deposit wagering for harness racing meetings pursuant to subdivision (i), as that section read before the enactment of subdivision (j), that have been held in trust by the California Exposition and State Fair shall be distributed as follows:

(1) Fifty percent to the harness racing horsemen who participated in the racing meeting that concluded June 16, 2012, in the form of purses.

(2) Fifty percent to the California Exposition and State Fair in the form of commissions.
SEC. 2.

Section 19604.5 of the Business and Professions Code is amended to read:
19604.5.

(a) As used in this section, the following definitions apply:

(1) "Back" means to wager on a selected outcome occurring in a given market.

(2) "Board" means the California Horse Racing Board.

(3) "Corrective wager" means an exchange wager placed by the exchange wagering licensee in a given market, under circumstances approved by the board, in order to address the impact on that market of the cancellation or voiding of a given matched wager or a given part of a matched wager.

(4) "Exchange" means a system operated by an exchange wagering licensee in which the exchange wagering licensee maintains one or more markets in which persons may

back or lay a selected outcome.

(5) "Exchange revenues" means all charges, fees, income, payments, revenues, and deductions of any kind assessed or collected by, or paid or delivered to, an exchange wagering licensee in connection with the submission of any exchange wagers to the exchange wagering licensee by residents of California and residents of jurisdictions outside of California on the results of horse races conducted in California, and by residents of California on the results of horse races conducted outside of California.

(6) "Exchange wagers" means wagers submitted to an exchange wagering licensee to be posted in a market on an exchange.

(7) "Exchange wagering" means a form of parimutuel wagering in which two or more persons place identically opposing wagers in a given market.

(8) "Exchange wagering account" means the account established with an exchange wagering licensee by a person participating in exchange wagering. An exchange wagering account may only be established or maintained with an exchange wagering licensee by a natural person.

(9) "Exchange wagering agreement" means a written agreement by and among the applicable exchange wagering licensee, the applicable racing association or racing fair conducting live racing in this state, and the horsemen's organization responsible for negotiating purse agreements for the breed on which exchange wagers are accepted, provided that the terms and conditions for the permitted use of the signal by the exchange wagering licensee, and the compensation to the applicable racing association or racing fair and the horsemen's organization, include provisions for, but are not limited to, all of the following:

(A) Calculation of any and all amounts earned and payable to the applicable racing association or racing fair and horsemen's organization.

(B) Audit rights and conditions.

(C) Duration terms.

(D) Contractual remedies.

(10) "Exchange wagering licensee" means a person located within or outside of California that is authorized to offer exchange wagering to residents of California pursuant to this section.

(11) "Identically opposing wagers" means wagers in which one or more persons offer to lay a selected outcome at the same price at which one or more persons offer to back that same outcome, with the amount subject to the lay being proportionately commensurate to the amount subject to the back.

(12) "Lay" means to wager on a selected outcome not occurring in a given market.

(13) "Market" means, in relation to a given horse race or a given set of horse races, a particular outcome that is subject to exchange wagering as determined by an exchange wagering licensee.

(14) "Matched wager" means the wager that is formed when two or more persons are confirmed by the exchange operator as having placed identically opposing wagers in a given market on the exchange.

(15) "Net winnings" means the aggregate amounts payable to a person as a result of that person's winning matched wagers in a pool less the aggregate amount paid by that person as a result of that person's losing matched wagers in that pool.

(16) "Parimutuel" means any system whereby wagers with respect to the outcome of a horse race are placed with, or in, a wagering pool conducted by an authorized person, and in which the participants are wagering with each other and not against the person conducting the wagering pool.

(17) "Person" means any individual, partnership, corporation, limited liability company, or other association or organization.

(18) "Pool" means the total of all matched wagers in a given market.

(19) "Price" means the odds for a given exchange wager.

(20) "Unmatched wager" means a wager or portion of a wager placed in a given market within an exchange that does not become part of a matched wager because there are not one or more available exchange wagers in that market with which to form one or more identically opposing wagers.

(21) "Zone" has the same meaning as defined in Section 19530.5, as modified by

subdivision (f) of Section 19601, except that for purposes of this section the combined central and southern zones shall be considered one "central/southern" zone.

(b) Notwithstanding any other law, rule, or regulation, exchange wagering by residents of California and residents of jurisdictions outside of California on the results of horse races conducted in California, and by residents of California on the results of horse races conducted outside of California, shall be lawful provided that all of the following apply:

(1) Exchange wagering shall only be conducted by an exchange wagering licensee pursuant to a valid exchange wagering license issued by the board.

(2) No exchange wagering licensee shall accept exchange wagers on races conducted in California from a resident of California or a resident of a jurisdiction outside California, or conducted outside California from a resident of California, unless an exchange wagering agreement exists allowing these wagers.

(3) Exchange wagering shall be conducted pursuant to and in compliance with the provisions of the Interstate Horseracing Act of 1978 (15 U.S.C. Sec. 3001 et seq.), as amended, this section, all applicable federal laws, and rules and regulations promulgated by the board pursuant to this section.

(4) An exchange wagering licensee may only offer exchange wagering on thoroughbred horse races, whether these thoroughbred races are conducted within or outside of this state, to persons whose primary residence address is in the northern zone of this state if it has an exchange wagering agreement with (A) the racing association or racing fair located in the northern zone authorized by the board to conduct a live thoroughbred racing meeting in accordance with the provisions of Article 4 (commencing with Section 19480) at that time, or during the calendar period, when the exchange wagering licensee is offering exchange wagering to persons whose primary residence is in the northern zone of this state, and (B) the horsemen's organization responsible for negotiating purse agreements for a live thoroughbred racing meeting.

(5) An exchange wagering licensee may only offer exchange wagering on thoroughbred horse races, whether these thoroughbred races are conducted within or outside of this state, to persons whose primary residence address is in the central/southern zone of this state if it has an exchange wagering agreement with (A) the racing association or racing fair located in the central/southern zone authorized by the board to conduct a live thoroughbred racing meeting in accordance with the provisions of Article 4 (commencing with Section 19480) at that time, or during the calendar period, when the exchange wagering licensee is offering exchange wagering to persons whose primary residence is in the central/southern zone of this state, and (B) the horsemen's organization responsible for negotiating purse agreements for a live thoroughbred racing meeting.

(6) An exchange wagering licensee may only offer exchange wagering on quarter horse races, whether these quarter horse races are conducted within or outside of this state, to persons whose primary residence address is in this state if it has an exchange wagering agreement with (A) the racing association or racing fair located in the state authorized by the board to conduct a live quarter horse racing meeting in accordance with the provisions of Article 4 (commencing with Section 19480) at that time, or during the calendar period, when the exchange wagering licensee is offering exchange wagering to persons whose primary residence is this state, and (B) the horsemen's organization responsible for negotiating purse agreements for the live quarter horse racing meeting.

(7) An exchange wagering licensee may only offer exchange wagering on standardbred horse races, whether these standardbred horse races are conducted within or outside of this state, to persons whose primary residence address is in this state if it has an exchange wagering agreement with (A) the racing association or racing fair located in the state authorized by the board to conduct a live standardbred racing meeting in accordance with the provisions of Article 4 (commencing with Section 19480) at that time, or during the calendar period, when the exchange wagering licensee is offering exchange wagering to persons whose primary residence is this state, and (B) the horsemen's organization responsible for negotiating purse agreements for the live standardbred racing meeting.

(8) Exchange wagers are submitted to, and accepted by, an exchange wagering licensee in person, by direct telephone call, or by communication through other electronic media.

(c) A person shall not be permitted to open an exchange wagering account, or place an exchange wager, except in accordance with federal law, this section, and rules and regulations promulgated by the board. Only natural persons with valid exchange wagering accounts may place wagers through an exchange. To establish an exchange wagering account, a person shall be at least 18 years of age and a resident of California or of another jurisdiction within which the placement of exchange wagers would not be unlawful under United States federal law or the law of that jurisdiction.

(d) The board shall approve, as part of the exchange wagering licensee's application for an exchange wagering license, security policies and safeguards to ensure player protection and integrity, including, but not limited to, provisions governing the acceptance of electronic applications for persons establishing exchange wagering accounts, location and age verification confirmation for persons establishing exchange wagering accounts, the use of identifying factors to ensure security of individual accounts, and the requirements for management of funds in exchange wagering accounts. An exchange wagering licensee may not accept a wager, or series of wagers, if the results of the wager or wagers would create a liability for the exchange wagering accountholder that is in excess of the funds on deposit in the exchange wagering account of that holder.

(e) Notwithstanding any other law, rule, or regulation:

(1) The board shall have full power to prescribe rules, regulations, and conditions under which exchange wagering may be conducted in California consistent with this section, including the manner in which exchange wagers may be accepted and the requirements for any person to participate in exchange wagering.

(2) Before promulgating rules, regulations, and conditions under which exchange wagering may be conducted in California, the board shall consider studies or comments submitted by interested parties on the impact of exchange wagering on parimutuel betting and the economics of the California horse racing industry to assist the board in developing rules, regulations, and conditions for exchange wagering that are in the best interest of the public and the California horse racing industry. The board may set a timeframe for comments and studies to be submitted by interested parties and for the board to consider the studies and comments so as to allow sufficient time, in the discretion of the board, to allow for the promulgation of rules, regulations, and conditions for exchange wagering and the issuance of licenses for exchange wagering before May 1, 2012.

(3) Notwithstanding paragraph (1), the board shall adopt the following rules:

(A) An owner, authorized agent, trainer, jockey, jockey's agent, driver, or stable employee shall not place an exchange wager to lay any entrant in a horse race that is owned in whole or part by that owner or the owner represented by that authorized agent, trained by that trainer or stable employee, ridden by that jockey or the jockey represented by that jockey's agent, or driven by that driver.

(B) No exchange wagers shall be placed on a market after the conclusion of a live race. Exchange wagering on previously run races is prohibited.

(C) The exchange wagering licensee shall provide a person with information on the race, including the track where the race will take place and the names of the participating horses, before the person may place an exchange wager.

(D) The exchange wagering licensee shall require the person making the exchange wager to select the specific race and horse for the wager. The use of automatic, quick-pick, or similar features to aid in the placing of a wager shall be prohibited.

(E) The results of a wager shall not be displayed through the use of video or mechanical reels or other slot machine or casino game themes, including, but not limited to, dice games, wheel games, card games, and lotto.

(4) The board shall have full power to prescribe rules, regulations, and conditions under which all exchange wagering licenses are issued or renewed in California, including requiring an annual audit of the exchange wagering licensee's books and records pertaining to exchange wagering, and to revoke, suspend, or refuse to renew a

license pursuant to the authority granted to the board in this chapter.

(5) The board may reasonably require licensure or registration of officers or directors of any exchange wagering licensee.

(6) The board may recover any costs associated with the licensing or regulation of exchange wagering from the exchange wagering licensee by imposing an assessment on the exchange wagering licensee in an amount that does not exceed the reasonable costs associated with the licensing or regulation of exchange wagering. Funds received pursuant to this subdivision shall be deposited in the Horse Racing Fund, to be available upon appropriation by the Legislature for the sole purpose of regulating exchange wagering.

(f) (1) The board shall not approve an application for an original or renewal license as an exchange wagering licensee unless the entity, if requested in writing by a bona fide labor organization no later than 90 days before licensing, has entered into a contractual agreement with that labor organization that provides all of the following:

(A) The labor organization has historically represented employees who accept or process any form of wagering at the nearest horse racing meeting located in California.

(B) The agreement establishes the method by which the exchange wagering licensee will agree to recognize and bargain in good faith with a labor organization that has demonstrated majority status by submitting authorization cards signed by those employees who accept or process any form of wagering for which a California exchange wagering license is required.

(C) The agreement requires the exchange wagering licensee to maintain its neutrality concerning the choice of those employees who accept or process any form of wagering for which a California exchange wagering license is required and whether or not to authorize the labor organization to represent them with regard to wages, hours, and other terms and conditions of employment.

(D) The agreement applies to those classifications of employees who accept or process wagers for which a California exchange wagering license is required, whether the facility is located within or outside of California.

(2) (A) The agreement required by paragraph (1) shall not be conditioned by either party upon the other party agreeing to matters outside the requirements of paragraph (1).

(B) The requirement in paragraph (1) shall not apply to an exchange wagering licensee that has entered into a collective bargaining agreement with a bona fide labor organization that is the exclusive bargaining representative of employees who accept or process parimutuel wagers on races for which an exchange wagering license is required, whether the facility is located within or outside of California.

(3) Permanent state or county employees and nonprofit organizations that have historically performed certain services at county, state, or district fairs may continue to provide those services.

(4) Parimutuel clerks employed by racing associations or fairs or employees of exchange wagering licensees who accept or process any form of wagers who are laid off due to lack of work shall have preferential hiring rights for new positions with their employer in occupations whose duties include accepting or processing any form of wagers, or the operation, repair, service, or maintenance of equipment that accepts or processes any form of wagering at a racetrack, satellite wagering facility, or exchange wagering licensee licensed by the board. The preferential hiring rights established by this paragraph shall be conditioned upon the employee meeting the minimum qualification requirements of the new job.

(g) Notwithstanding any other law, rule, or regulation, an exchange wagering licensee shall not be required to include any pools of exchange wagers in the wagering pools at the racing association or racing fair conducting the races, nor shall an exchange wagering licensee be required to retain, withhold, or take out any amounts from any exchange wagers, except as expressly set forth in the applicable exchange wagering agreement.

(h) Subject to the approval of the board, an exchange wagering licensee shall be permitted to collect exchange revenues in the manner and amounts determined by the exchange wagering licensee, including, but not limited to, assessing a surcharge on any

person's net winnings.

(i) Notwithstanding any other law, rule, or regulation, the board shall require all of the following:

(1) Each exchange wagering licensee shall distribute all moneys in each pool, net of any fees, charges, or deductions of any kind assessed or collected by the exchange wagering licensee in connection with matched wagers in that pool, at the conclusion of the race or races associated with that pool.

(2) Each exchange wagering licensee shall distribute the portions of the exchange wagering licensee's exchange revenues as may be required pursuant to the exchange wagering agreement pursuant to paragraphs (2) to (7), inclusive, of subdivision (b).

(3) Fifty percent of the amounts received by a racing association or racing fair from exchange wagering shall be paid to horsemen participating in the meetings conducted by that racing association or racing fair in the form of purses. The allocation of amounts received by a racing association or racing fair from exchange wagering between that racing association or racing fair and the horsemen participating in the meetings conducted by that racing association or racing fair may be modified by a written agreement between those entities.

(4) In addition to payments set forth in paragraphs (1) and (2), each exchange wagering licensee shall distribute, on an annual basis, for the purposes specified in Section 19612.9, an amount equal to the greater of (A) one hundred thousand dollars (\$100,000), or (B) an amount equal to 0.001 multiplied by the total amount of exchange revenues collected by the exchange wagering licensee in that calendar year. The distribution shall be made at the direction of the board pursuant to Section 19612.9. This paragraph shall become inoperative on January 1 immediately following the year in which the 10th annual distribution of funds is made pursuant to Section 19612.9, and, as of that date, is repealed.

(j) An exchange wagering licensee may cancel or allow to be canceled any unmatched wagers, without cause, at any time.

(k) The board may prescribe rules governing when an exchange wagering licensee may cancel or void a matched wager or part of a matched wager, and the actions that an exchange wagering licensee may take when all or part of a matched wager is canceled or voided. The rules may include, but are not limited to, permitting the exchange wagering licensee to place corrective wagers under circumstances approved in the rules adopted by the board. Exchange wagers placed on a market after the start of a race shall be lawful if authorized by the board, racing association, or racing fair conducting the races, and the horsemen's organization responsible for negotiating purse agreements for the breed on which the exchange wager is made.

(l) The provisions of this section shall be deemed to be severable, and if any phrase, clause, sentence, or provision of this section is declared to be unconstitutional or the applicability thereof to any person is held invalid, the remainder of this section shall not thereby be deemed to be unconstitutional or invalid.

(m) The board shall promulgate administrative rules and regulations to effectuate the purposes of this section.

(n) No exchange wagering licensee may accept exchange wagers pursuant to this section before May 1, 2012.

SEC. 3.

No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.

Senate Bill No. 819

CHAPTER 438

An act to amend Section 19605.74 of the Business and Professions Code, relating to horse racing, and declaring the urgency thereof, to take effect immediately.

[Approved by Governor September 30, 2013. Filed with Secretary of State September 30, 2013.]

LEGISLATIVE COUNSEL'S DIGEST

SB 819, Committee on Governmental Organization. Horse racing: Breeders' Cup World Championship series: payment of purses.

Existing law, the Horse Racing Law, generally provides for the distribution of funds collected from wagers on horse racing in California. In years that the Breeders' Cup World Championship series is conducted at a race meeting in California, existing law requires that specified amounts that would have otherwise been distributed to a purse account be made available to promote and sponsor the Breeders' Cup. A violation of the Horse Racing Law, where no other penalty is expressed, is a misdemeanor.

This bill would instead specify that those amounts shall be made available to the organization operating the Breeders' Cup World Championship series for the purpose of promoting and supporting the Breeders' Cup, including the payment of purses in Breeders' Cup World Championship races. The bill would provide that the California Horse Racing Board may authorize parimutuel wagering on Breeders' Cup races to be run at the host venue and on one nonthoroughbred race, as specified, and would require amounts from those wagers to be deducted and distributed in accordance with specified provisions. Because a violation of the provisions of the bill would be a misdemeanor, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

This bill would declare that it is to take effect immediately as an urgency statute.

The people of the State of California do enact as follows:

SECTION 1.

Section 19605.74 of the Business and Professions Code is amended to read:
19605.74.

For every year that the organization operating the Breeders' Cup World Championship series chooses to conduct the Breeders' Cup at a race meeting in California, the following, notwithstanding any other law, shall apply to the race meeting conducting the Breeders' Cup races on days during which Breeders' Cup races are conducted:

(a) The amounts that would have otherwise been distributed to a purse account pursuant to subdivisions (a), (b), (c), and (d) of Section 19601.02 shall be made available to the organization operating the Breeders' Cup World Championship series for the purpose of promoting and supporting the Breeders' Cup, including the payment of purses in Breeders' Cup World Championship races.

(b) The thoroughbred racing association hosting the Breeders' Cup shall enter into a written agreement, in consultation and cooperation with the California Tourism Commission and the statewide marketing organization formed pursuant to Section 19605.73, with the organization that operates the Breeders' Cup regarding the manner in which the funds set aside to support and promote the Breeders' Cup are to be expended.

(c) Within 90 days after the holding of each Breeders' Cup, a written report that includes a parimutuel audit shall be made to the board detailing the manner in which the

set-aside funds were utilized to promote and support the Breeders' Cup, including the payment of purses in Breeders' Cup races.

(d) Notwithstanding any other law, on days during which Breeders' Cup races are conducted in California, the board may authorize parimutuel wagering on Breeders' Cup races to be run at the host venue and on one nonthoroughbred race specified in the host venue's license application approved by the board. Amounts deducted and distributed pursuant to this chapter from wagering on the specified nonthoroughbred race shall be deducted and distributed as if the wagers were placed on a thoroughbred race.

SEC. 2.

No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.

SEC. 3.

This act is an urgency statute necessary for the immediate preservation of the public peace, health, or safety within the meaning of Article IV of the Constitution and shall go into immediate effect. The facts constituting the necessity are:

In order to implement the necessary changes to the Horse Racing Law before the 2013 Breeders' Cup World Championship series is held in California this year, it is necessary that this act take effect immediately.

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STAFF ANALYSIS

DISCUSSION AND ACTION REGARDING THE PROPOSED AMENDMENT TO
CHRB RULE 1632, JOCKEY'S RIDING FEE, TO PROHIBIT THE DEDUCTION OF ANY
PORTION OF ENTRY, NOMINATION OR OTHER FEES FROM A JOCKEY'S RIDING FEE
UNLESS SUCH ENTRY, NOMINATION, OR OTHER FEES ARE PAID EXCLUSIVELY BY THE
OWNER AND ARE NOT REIMBURSED BY ANY OTHER PERSON OR ENTITYRegular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board (Board). Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California. Assembly Bill (AB) 1226 (Hall), Chapter 343, Statutes of 2013, added Business and Professions Code section 19502. Section 19502 provides that effective immediately, entry, nomination or other fees may not be deducted from a jockey's riding fee – unless the entry, nomination or other fees are paid exclusively by the owner and are not paid or reimbursed by any other person or entity.

ANALYSIS

Board Rule 1632, Jockey's Riding Fee, currently defines "win purse" as the amount paid the winning horse less the fees paid by the owner to enter the horse in the race. In practice, this means the winning jockey will have such fees deducted from his fee regardless of who pays them. AB 1226 prohibits the deduction of entry, nomination or other fees from the jockey's riding fee – unless such fees are paid exclusively by the owner and are not reimbursed by any other person or entity. This necessitates the amendment of Rule 1632 to bring the regulation in line with Horse Racing Law. The proposed amendment of Board Rule 1632 redefines "win purse" as the amount paid the winning horse less the fees paid exclusively by the owner to enter the horse. This limits the amounts deducted from the win purse to only those fees the owner actually pays. A new subsection 1632(d)(1) provides that entry, nomination or other fees paid to enter a horse in a race shall not be deducted from a jockey riding fee if such fees are paid or reimbursed by any person or entity other than the owner. The new subsection provides clarity and conforms to the intent of AB 1226.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board direct staff to initiate a 45-day public comment period regarding the proposed amendment.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 ARTICLE 6. ENTRIES AND DECLARATIONS
 PROPOSED AMENDMENT OF
 RULE 1632, JOCKEY'S RIDING FEE

Regular Board Meeting
 October 24, 2013

1632. Jockey's Riding Fee.

(a) Winning jockey riding fees in the absence of a contract or special agreement are:

GROSS PURSE	Winning Mount
\$100,000 and up:	10% of Win Purse
50,000-99,999:	10% of Win Purse
25,000-49,999:	10% of Win Purse
15,000-24,999:	10% of Win Purse
10,000-14,999:	10% of Win Purse
5,000-9,999:	10% of Win Purse
3,500-4,999:	10% of Win Purse
2,000-3,499:	10% of Win Purse
1,500-1,999:	10% of Win Purse

(1) The purpose of subsection (a) is not to set a minimum or maximum fee. It provides a fee if the parties have not made a written agreement to the contrary.

(2) All fees pursuant to subsection (a) are payable in the lower purse range until the next higher purse range is reached, unless there is a written agreement to the contrary.

(b) Non-winning jockey riding fees are:

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up:	5% of Place Purse, ₂ plus \$10.00	5% of Show Purse, ₂ plus \$10.00	\$135.00
50,000-99,999:	5% of Place Purse, ₂ plus \$10.00	5% of Show Purse, ₂ plus \$10.00	110.00
25,000-49,999:	5% of Place Purse, ₂ plus \$10.00	5% of Show Purse, ₂ plus \$10.00	95.00
15,000-24,999:	5% of Place Purse, ₂ plus \$10.00	5% of Show Purse, ₂ plus \$10.00	85.00
10,000-14,999:	5% of Place Purse, ₂ plus \$10.00	5% of Show Purse, ₂ plus \$10.00	80.00
5,000-9,999:	\$90.00	\$77.00	75.00

3,500-4,999:	80.00	70.00	65.00
2,000-3,499:	70.00	65.00	63.00
1,500-1,999:	60.00	58.00	58.00

(1) The purpose of subsection (b) is to set a minimum, but not a maximum riding fee. No non-winning jockey shall be paid less than the riding fee set forth in subsection (b).

(2) All fees pursuant to subsection (b) are payable in the lower purse range until the next higher purse range is reached unless there is a written agreement to the contrary. However, no such written agreement shall reduce the minimum required by subsection (b).

(c) A jockey's fee is considered earned when the jockey is weighed out by the clerk of scales. The fee shall not be considered earned if the jockey elects to take himself off of his mount. If there is a substitution of jockeys, no additional jockey fee or double jockey fee need be paid except when ordered by the stewards.

(d) In this rule "Win Purse" means the amount paid the winning horse less the fees paid exclusively by the owner to enter the horse in the race; however,-

(1) Entry, nomination or other fees paid to enter a horse to race shall not be deducted from a jockey riding fee if such fees are paid or reimbursed by any person or entity other than the owner.

(e) If the parties agree on the fee to be paid the jockey, a contract or agreement in writing signed by the jockey or his agent and the owner or his authorized agent specifying the agreed upon fee if a winning mount, second place mount, third place mount and losing mount shall be delivered to the paymaster of purses before the running of the race in question. The paymaster of purses shall debit the owner's purse account under the contract or written agreement. If no contract or written agreement is submitted before the running of the race in question, the paymaster of purses shall debit the owner's purse account under the fee scale set forth in this

rule.

(f) A jockey may not share in the fees of another jockey.

Authority: Sections 19440, 19501 and 19562,
Business and Professions Code.

Reference: Sections 19401 (a) and (e), 19420, 19440 and 19501,
Business and Professions Code.

Assembly Bill No. 1226

CHAPTER 434

An act to add Section 19502 to the Business and Professions Code, relating to horse racing, and declaring the urgency thereof, to take effect immediately.

[Approved by Governor September 30, 2013. Filed with Secretary of State September 30, 2013.]

LEGISLATIVE COUNSEL'S DIGEST

AB 1226, Hall. Horse racing: jockey riding fees.

Existing law, the Horse Racing Law, generally vests the administration of horse racing with the California Horse Racing Board, and requires the board to set minimum jockey riding fees. Existing law also prohibits the paymaster of a racing association or racing fair from disbursing any sum from a jockey's compensation to any person other than the jockey, except as specified. A violation of the Horse Racing Law, where no other penalty is expressed, is a misdemeanor.

This bill would require the board not to permit any portion of an entry, nomination, or other fee paid by an owner to be deducted from a jockey riding fee unless the entry, nomination, or other fee is paid exclusively by the owner and not reimbursed by any other person or entity. Because a violation of the provisions of the bill would be a misdemeanor, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

This bill would declare that it is to take effect immediately as an urgency statute.

The people of the State of California do enact as follows:

SECTION 1.

Section 19502 is added to the Business and Professions Code, to read:
19502.

Notwithstanding any other law, the board shall not permit any portion of an entry, nomination, or other fee paid by an owner to be deducted from a jockey riding fee unless the entry, nomination, or other fee is paid exclusively by the owner and not reimbursed by any other person or entity.

SEC. 2.

No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.

SEC. 3.

This act is an urgency statute necessary for the immediate preservation of the public peace, health, or safety within the meaning of Article IV of the Constitution and shall go into immediate effect. The facts constituting the necessity are:

In order to implement the necessary changes to the Horse Racing Law due to the 2013 Breeders' Cup World Championships being held in California this year, it is necessary that this act take effect immediately.

CALIFORNIA HORSE RACING BOARD

OCTOBER 24, 2013
REGULAR BOARD MEETING

There is no board package material for Item 17

CALIFORNIA HORSE RACING BOARD

OCTOBER 24, 2013
REGULAR BOARD MEETING

There is no board package material for Item 18

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE REQUEST TO SUSPEND AUTHORIZATION FOR THE ANABOLIC STEROID
STANOZOLOL PURSUANT TO THE PROVISIONS OF
CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION, FOR A PERIOD OF
12 MONTHS BEGINNING DECEMBER 26, 2013 THROUGH
DECEMBER 25, 2014

Regular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the CHRB. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in this state. Business and Professions Code section 19580 states the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1844.1, Suspension of Authorized Medication, provides that the Board may temporarily suspend the authorized administration of a permitted drug, substance or medication after a public hearing has been noticed in accordance with Government Code section 11125(a). The temporary suspension may be for a race, breed, or race meeting, provided all horses in the same race compete under the same conditions. The Board shall notify in writing the racing association and the trainer's organization of any temporary suspension, as specified.

Board regulations prohibit the administration of any drug, substance or medication after entry time with a few specific exceptions. The exceptions were established after due consideration by the Board based on information available at the time the regulations were adopted. Scientific information and practical experience in managing the threshold levels for the exceptions change over time as unintended consequences become apparent or public policies and attitudes change. Stanozolol is an example. Stanozolol is a synthetic, manufactured adrenergic anabolic steroid widely recognized as a performance enhancing drug (PED) in athletic competition.

Beginning on July 1, 2008, the CHRB began regulating anabolic steroid use in race horses in California. Prior to that time there were no specific regulations controlling their use in horse

racing under the jurisdiction of the CHRB. There are 3 naturally occurring, endogenous anabolic steroids that are also available as Food and Drug Administration (FDA) approved pharmaceutical drugs, testosterone, boldenone and nandrolone. The Board established threshold levels for these endogenous anabolic steroids in Rule 1844, Authorized Medication, consistent with Association of Racing Commissioners International (ARCI) and international rules and regulations established by the International Federation of Horseracing Authorities (IFHA). An exception was made for the synthetic, manufactured adrenergic anabolic steroid stanozolol. At that time stanozolol was commercially available as Winstrol V, an FDA approved drug for use in debilitated horses. Due to the wide-spread use of the drug, a 1 ng/ml urine threshold was established. The resulting withdrawal time was thought to be a reasonable compromise even though stanozolol is not a natural anabolic steroid. This was a national effort at uniformity and was largely successful. Regardless, the Kentucky Horse Racing Commission never allowed a threshold for stanozolol when they adopted their anabolic steroid regulations. The CHRB conducted the first anabolic free Breeder's Cup in 2008. The Kentucky regulations only dealt with anabolic steroids in racing. They did not address their use in training. Subsequently by directive, the Board required horses treated with anabolic steroids to be placed on the Veterinarian's List for 30 days. Horses requiring an anabolic steroid were assumed to be unfit to race; this action also enforced a minimum 30 day withdrawal time to avoid unintended violations. In the 1st Quarter of FY 13-14 beginning July 1-September 30, 2013, there were 219 treatments of horses at CHRB race tracks of anabolic steroids, the large majority of those treatments were for stanozolol. Eighty-eight of the 219 anabolic steroid treatments come from just 4 trainers (40%). Even with the minimum 30 day withdrawal time there have been several instances of stanozolol levels being found near the threshold in a number of post-race samples. Today, stanozolol is no longer manufactured by pharmaceutical companies or sold as Winstrol-V. All the stanozolol currently available is prepared by compounding pharmacies with significant variations in concentrations due to their lack of standards in manufacturing, quality control and regulation oversight (*Dr. Scott Stanley, unpublished data*). Furthermore, recently published research demonstrated the elimination of stanozolol is highly variable. The time point with the last detectable concentration of stanozolol for these 26 animals varied from 21 days to as long as 56 days. (*Moeller et al., Pharmacokinetics of Stanozolol in Thoroughbred Horses Following Intramuscular Administration. J Vet Pharmacol Therap, 36(2): 201-4(2013)*). The persistent blood levels for such a long time indicate stanozolol is effective longer than previously thought. More importantly, the justification for the use of stanozolol is largely passed. The drug is not widely used as demonstrated by the data above showing 4 trainers are responsible for 40% of its use; stanozolol is no longer available as a quality controlled pharmaceutical; and the use of anabolic steroids are widely recognized as performance enhancing drugs by the public. Stanozolol in particular has a notorious reputation in sport. It was the drug used by Ben Johnson in the 1988 Seoul Olympics leading to his disqualification and more recently was at the center of the Darley/Al Zarooni scandal in British racing. The Racing Medication and Testing Consortium recently recommended the threshold for stanozolol be eliminated from national regulations. This is consistent with international efforts to prohibit the use of all anabolic steroids in racing and training. Recent scandals in Britain over the use of stanozolol have led to a call for an international ban on the use of stanozolol and other adrenergic anabolic steroids in racing and training. National uniform medication regulations promoted by the ARCI effectively eliminate the threshold for stanozolol.

ANALYSIS

The suspension of authorization for a urinary threshold for stanozolol will make California consistent with the Kentucky Horse Racing Commission and with ARCI model rules for stanozolol. The delay in the effective date of the suspension to Dec 26, 2013, will allow time for stanozolol that may have already been administered to a horse to "wash out" of its system. If any trainers are concerned about residue stanozolol levels pre-race testing for stanozolol is available.

RECOMMENDATION

This item is presented for Board discussion and action. The Board may wish to hear from the Medication and Track Safety Committee.



MEDIA RELEASE

2 October 2013

NZTR APPROVES BAN ON ANABOLIC STEROIDS

New Zealand Thoroughbred Racing has approved a ban on the use of anabolic steroids for horses both in and out of competition.

The new ban will apply to all thoroughbreds from the age of six months, with this period of permitted steroid use allowed only in the interests of animal welfare.

New Zealand's current Rules of Racing prohibit anabolic steroids in horses only on race day, meaning the new ban will require an amendment to the rules.

It is expected the rule change will be implemented by December 1, 2013, with the ban effective from May 1, 2014. The prohibition will apply to any horse that comes within the legal jurisdiction of the New Zealand regulatory authorities to conduct out-of-competition testing.

NZTR Chief Executive Greg Purcell said a zero-tolerance approach would be taken on anabolic steroids.

"While the use of anabolic steroids has not been a major problem in New Zealand, it was important we take a clear and definitive position in the interests of integrity and public perceptions of thoroughbred racing in New Zealand.

"There's no place for performance-enhancing drugs in our sport and this is testament to our commitment."

In considering the ban NZTR consulted with its Veterinary Advisor, the Equine Veterinary Association, Trainers' Association, Breeders' Association, New Zealand Bloodstock, Harness Racing Trainers' and Drivers, and Standardbred Breeders and taken into account that some countries will ban anabolic steroids from birth to retirement.

In mid-September the Australian Racing Board announced a ban on the use of anabolic steroids both in and out of competition. In line with New Zealand's move, the ban will apply to all thoroughbreds from the age of six months with that period allowed in the interests of animal welfare.

ENDS

BHA Looks Ahead to IFHA Debate on Anabolic Steroids

Paul Bittar, chief executive of the British Horseracing Authority (BHA), outlined his hopes yesterday (Oct. 3) ahead of the sessions at the forthcoming International Federation of Horseracing Authorities (IFHA) Conference, when the subject of the use of anabolic steroids in racing will be considered. The conference will take place Oct. 7-8 in Paris, France.

Bittar expressed support for the introduction of a minimum standard across racing nations and British Racing's commitment to exceeding that minimum standard wherever possible.

In May the BHA wrote to the IFHA seeking their support for the promotion of international harmonization amongst all racing nations regarding anabolic steroids. The existence of inconsistencies among racing authorities had been highlighted following the BHA's finding of prohibited substances in horses trained by Mahmood Al Zarooni and the subsequent eight-year ban issued to the trainer.

"The BHA strongly believes that there is a need to establish a minimum international standard relating to the use of anabolic steroids in horseracing and we welcome the opportunity for this to be discussed in detail amongst major racing nations," Bittar said. "With horseracing subject to increasing levels of international competition, it is in the interests of the sport globally to develop a position which provides a level playing field for all participants. Announcements regarding changes by the authorities in Dubai and, more recently, Australia and New Zealand are welcome and have added momentum to the debate.

"The events of this year, together with the inconsistencies that exist across racing nations, have made it apparent that the control and regulation of the use of anabolic steroids in racing is a complex issue. Our initial objective is to support the IFHA in producing a minimum standard all racing authorities could sign up to," he continued. "However, subsequent to the conference the BHA intends to continue work on establishing a revised position that is relevant for British Racing and comprised of effective and enforceable measures.

"It is anticipated that this position will exceed the minimum standard in several areas. Any amendments to our Rules will provide for the implementation and enforcement of the minimum standard. We anticipate this process, including all necessary consultation, will be completed early in 2014."

Read more on BloodHorse.com: <http://www.bloodhorse.com/horse-racing/articles/81094/bha-looks-ahead-to-ifha-debate-on-anabolic-steroids#ixzz2h3kYkHVr>

Pharmacokinetics of stanozolol in Thoroughbred horses following intramuscular administration

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(Paper received 1 November 2011; accepted for publication 8 March 2012)

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Stanozolol is a potent synthetic anabolic androgenic steroid (AAS) that is classified as a class III drug by the Association of Racing Commissioners International. Recent reviews have discussed in great detail the therapeutic uses of AAS and their potential as performance enhancers in the horse (Fajt & McCook, 2008; Pitts & Davis, 2007). Although the use of AAS such as stanozolol may be medically warranted for short periods, long-term use is associated with adverse side effects particularly in terms of the reproductive and musculoskeletal systems (Squires *et al.*, 1982; Dowling *et al.*, 1993; Hartgens & Kuipers, 2004; Pitts & Davis, 2007; Bonetti *et al.*, 2008; Fajt & McCook, 2008). Currently, no pharmaceutical company manufactures or markets a stanozolol product, and the only available stanozolol products are injectable suspensions prepared by veterinary compounding pharmacies. The purpose of this study was to investigate, using concurrent analysis by two analytical laboratories, the disposition of stanozolol in Thoroughbred horses following intramuscular (IM) administration of a compounded aqueous suspension to provide information necessary for establishing an appropriate withdrawal period before competition.

The disposition of stanozolol in six horses after IM administration has previously been described (Soma *et al.*, 2007). The limited sample size in this study and the large individual variations in disposition following IM administration, however, limit its utility to providing reliable withdrawal guidelines. Other studies considering the disposition of stanozolol in the horse have focused primarily on detection of hydroxylated stanozolol metabolites in urine by liquid chromatography-tandem mass spectrometry (LC-MS/MS) as a means of controlling its use (Muck & Henion, 1990; Poelmans *et al.*, 2002; McKinney *et al.*, 2004).

In this study, the pharmacokinetics of stanozolol were investigated in 26 exercised Thoroughbred horses (seven mares and 19 geldings) with a mean \pm SD weight of 540 ± 37 kg and aged 7.1 ± 1.9 years. Food and water were available *ad libitum* throughout the study. Horses did not receive any medications for at least 2 weeks before commencement of the study. Animal studies were conducted at the University of Florida (UF) and were approved by the Institutional Animal Care and Use Committee at UF. The 26 horses were assigned to four separate groups with each group receiving one of three different lots prepared by the pharmacy. Each horse was weighed before drug administration. All horses received a single IM injection (0.55 mg/kg) of a stanozolol suspension (50 mg/mL, Franck's Pharmacy, Ocala, FL)¹ in the left gluteal muscle. Blood samples were collected by individual venipuncture immediately before drug administration (as a predose control) and at 0.33, 1, 3, 7, 10, 14, 17, 21, 28, 35, 42, 49, 56, and 63 days after drug administration. Six additional samples were collected from one of the four groups with 6 geldings (weighing 540 ± 24 kg and aged 8.1 ± 0.7 years) at 1, 2, 4, 6, 48, and 96 h to better characterize the initial disposition of stanozolol following administration. Blood samples were collected into lithium heparin blood tubes (15 USP units; Becton Dickinson, Franklin Lakes, NJ, USA) and stored on ice for <30 min until separated by centrifugation at $\sim 1300 \times g$ at 4 °C for 15 min. Each plasma sample was immediately divided and transferred into cryovials (Phenix Research Products, Chandler, NC, USA) that were stored at -80 °C until all samples were shipped on dry ice to each of two

¹All three lots of stanozolol suspensions were tested at UF before administration. All lots met FDA requirements for potency ($\pm 10\%$ of label claim).

racing chemistry laboratories, one at UF and the other at the University of California – Davis (UCD).

Plasma stanozolol concentrations were determined in both racing chemistry laboratories via independently validated liquid–liquid extraction (LLE) methods with quantification by LC-MS/MS on triple-stage quadrupole mass spectrometers (Thermo TSQ Vantage, San Jose, CA, USA) using electrospray ionization operating in the positive mode. For samples analyzed at UCD, 1 mL of plasma was fortified with testosterone- d_3 as the internal standard (IS) and extracted into methyl-*tert*-butyl ether (MTBE) (Moeller *et al.*, 2010). After mixing and centrifuging for 5 min, the top layer was removed and dried with nitrogen at 50 °C. The extract was dissolved in 100 μ L of 90:10 water/methanol with 0.2% formic acid, and 30 μ L was injected onto the LC-MS/MS system. After separation, stanozolol was detected by selective reaction monitoring (SRM). Five SRM transitions were monitored (327 \rightarrow 81, 95, 107, 109, and 121 m/z); the 81 m/z ion was used for quantitation. The 292 \rightarrow 97 m/z transition was monitored for the IS. The limits of detection (LOD) and quantification (LOQ) for stanozolol were 0.5 and 10 pg/mL, respectively. For quantification at UF, a 0.5 mL IS solution of stanozolol- d_3 in 0.9% saline was added to 1 mL of plasma that was extracted with MTBE following 10 min of mixing. The dried residues were dissolved in 60 μ L of 0.1% formic acid in 50% methanol, and 20 μ L was injected onto the LC-MS/MS system. The 81 m/z product ion was monitored by SRM for both stanozolol and IS. The LOQ was 25 pg/mL.

To assess agreement between the plasma stanozolol concentrations determined by the two laboratories, the results were compared using the percent similarity model (Graph-Pad Prism Version 5; GraphPad Software, La Jolla, CA, USA) (Scott *et al.*, 2003). The percentage similarity between the two sets of results was 81.5%, with a mean percentage difference of 18.5%, and a SD of 13.9% illustrating the accuracy and precision between the two data sets (Table 1). Although there were differences in stanozolol concentrations between the two laboratories, both generated similar results; the coefficient of variation was 17.1% (Fig. 1) which is well within the pharmaceutical industry standard of 20% for incurred sample repeats [i.e., repeated analysis of 5–10% of the samples by the same laboratory (Rocci *et al.*, 2007)].

Noncompartmental analysis (NCA) using the linear trapezoidal method was used to analyze plasma concentration vs. time data using commercially available software (WinNonlin Version 5.2; Pharsight, Cary, NC, USA). For uniformity, the plasma concentrations generated from UCD were used in pharmacokinetic analysis. NCA was performed using the UCD data for all

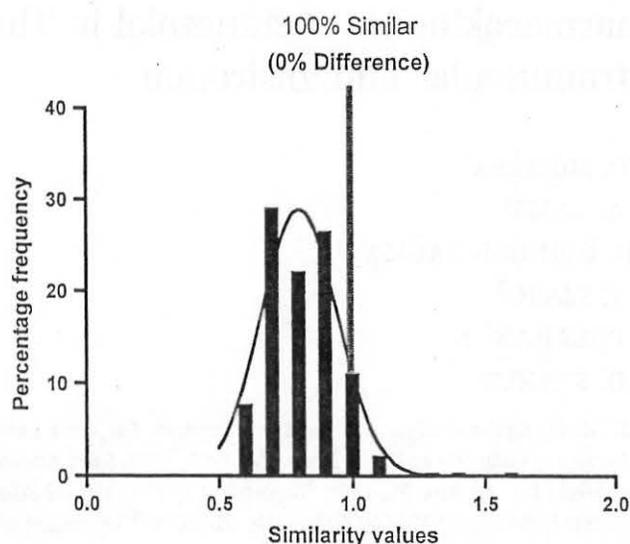


Fig. 1. A percentage similarity histogram comparing stanozolol concentrations determined at UCD and UF. UF was used as the baseline value to which UCD data were compared. A similarity value of 1.0 is equivalent to 100% similarity between measured values at UCD and UF.

collection times until stanozolol was no longer detectable. Pharmacokinetic parameters were determined for each horse, and median, maximum, and minimum values are summarized in Tables 2 and 3. Plasma concentrations vs. time profiles for individual horses along with the calculated median are shown in Fig. 2. The use of nonparametric medians for these evaluations was determined to be more appropriate than the use of means owing to the potential for nonnormal distributions – which tend to be more pronounced at the end of a time course.

The disposition of stanozolol after IM administration was highly variable between horses with differences in the C_{max} , T_{last} , $t_{1/2}$ confirming the previous findings of Soma *et al.* (2007) whose work had also used a stanozolol formulation obtained from a veterinary compounding pharmacy. The six horses with the additional blood samples in the current study were used to determine the initial disposition of stanozolol after administration (Fig. 2b). Overall, disposition between the two groups was very similar (Tables 2 and 3). Although in the six horses, a rapid absorption phase was observed with an initial rise in plasma concentrations between 1 and 2 h, the rise was followed by a rapid decrease (Fig. 2b). Although a similar plasma drug concentration vs. time profile was observed following previous extravascular administration of compounded suspensions of stanozolol the $t_{1/2}$ observed in the present study ranged from 1.6 to 14.7 days, in contrast to the 2.5 to 4.2 days previously reported (Soma *et al.*, 2007). The median $t_{1/2}$, however, was similar in the two studies with both being between 3.4 and 3.5 days. The rapid rise in stanozolol concentrations immediately after administration of the suspension was likely due to the absorption of the stanozolol fraction that was already in solution at the time of injection; this rise was then followed by an initial decrease owing to elimination via metabolism and excretion. The later rise with a T_{max} of 7 days was likely due to the slower

Table 1. Percent similarity model – Plasma stanozolol concentrations were compared between the two laboratories using the percentage similarity model. The mean, standard deviation (SD), mean percentage difference (MPD), and coefficient of variation (CV) are shown

Mean \pm SD Similarity	MPD \pm SD	CV
81.5 \pm 13.9%	18.5 \pm 13.9%	17.1%

Table 2. Median, maximum, and minimum values for various pharmacokinetic parameters as determined by noncompartmental analysis for the six horses with the additional blood samples ($n = 6$)

Horse	λ_z (1/day)	$t_{1/2}$ (day)	AUC _{0-Tlast} * (day*pg/mL)	Cl/F (mL·day/kg)	T_{max} (day)	C_{max} (pg/mL)
Median	0.167	4.17	2.15×10^4	2.56×10^4	7	2.21×10^3
Max	0.22	14.7	2.36×10^4	3.65×10^5	7	3.35×10^3
Min	0.047	3.13	1.50×10^4	2.32×10^4	7	1.68×10^2

λ_z , terminal rate constant; $t_{1/2}$, terminal half-life; AUC_{0-Tlast}, area under the curve; AUMC_{0-Tlast}, area under the first moment curve; Cl/F, plasma clearance per fraction of the dose absorbed; T_{max} , observed time of maximum plasma concentration; C_{max} , observed maximum plasma concentration. *Because the difference between AUC_{0-Tlast} and AUC_{0-inf} was <1% for all 26 horses, AUC_{0-inf} is not presented.

Table 3. Median, maximum, and minimum values for various pharmacokinetic parameters as determined by noncompartmental analysis for twenty horses administered stanozolol

Horse	λ_z (1/day)	$T_{1/2}$ (day)	AUC _{0-Tlast} * (day*pg/mL)	Cl/F (mL·day/kg)	T_{max} (day)	C_{max} (pg/mL)
Median	0.220	3.16	1.95×10^4	2.82×10^4	7	2.26×10^3
Max	0.429	7.14	3.70×10^4	1.14×10^5	7	4.95×10^3
Min	0.097	1.62	4.77×10^3	1.49×10^4	7	6.86×10^2

*Because the difference between AUC_{0-Tlast} and AUC_{0-inf} was <1% for all 26 horses, AUC_{0-inf} is not presented.

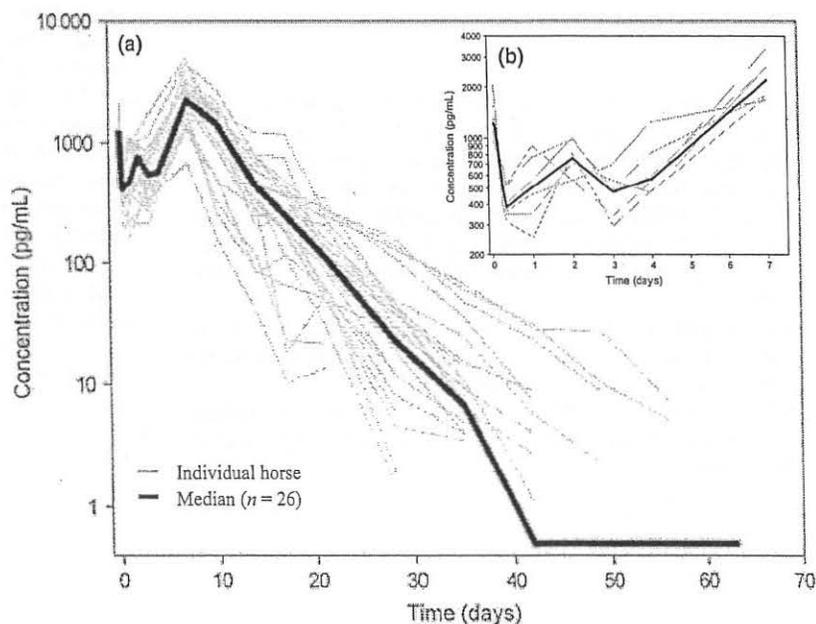


Fig. 2. Concentration vs. time profiles.

(a) Plasma stanozolol concentration vs. time profiles following intramuscular administration of 0.55 mg/kg of an aqueous suspension of stanozolol prepared by a compounding pharmacy are shown for individual horses (gray, $n = 26$ horses) along with the median (black) are shown. For calculation of the median values, the concentrations in samples with no detectable concentration of stanozolol were set to the limits of detection of 0.5 pg/mL. (b) Plasma stanozolol concentration vs. time profiles are shown for the horses (gray, $n = 6$) with the additional 6 early time points. The median plasma vs. time profile is shown in black ($n = 6$).

dissolution, and absorption of stanozolol that was in suspension at the time of injection. The large observed $t_{1/2}$ was probably a result of flip-flop kinetics, with the release of the drug into the central compartment controlling the terminal rate constant as opposed to its elimination by metabolism and excretion, as previously noted by Soma *et al.* (2007).

The T_{max} values for stanozolol were consistent with each of the 26 horses having an observed T_{max} of 7 days, a finding that was consistent with those of Soma *et al.* (2007). There was, however, a large discrepancy between the lowest C_{max} value observed in the Soma *et al.* (2007) study and the study presented here. In the current study, the lowest observed C_{max} was 686 pg/mL compared with 3469 pg/mL reported in Soma *et al.* (2007). The horse with the lowest C_{max} along with the other six horses

dosed with the same lot of stanozolol suspension had AUC, Cl/F, C_{max} , and T_{last} values that were lower (Mann-Whitney-Wilcoxon test, $P < 0.01$) than those of the other study horses. The observed differences between groups administered different lots of stanozolol suspension may be due to variability in particle size distribution and/or crystal structure of the suspension, factors which may affect the rate of dissolution and thereby the rate and extent of absorption. Effects such as these may occur more frequently during use of compounded suspensions that lack the standardization and quality control required for all FDA approved formulations.

The time point with the last detectable concentration (T_{last}) of stanozolol for these 26 animals varied from 21 days to as long as 56 days with a median time of 35 days. Soma *et al.* (2007)

reported a T_{last} of 43 days, which is almost 2 weeks earlier than the value reported here and is attributed to the lower LOD of the method used in this study. In conclusion, a withdrawal guideline of 60 days is recommended for a single IM dose of stanozolol to exercise-conditioned Thoroughbred horses. This withdrawal time (>14 half-lives) was determined using statistical analysis to determine a 95% confidence interval that 95% of the population would no longer have a quantifiable concentration of stanozolol in plasma (EMA, 2000). A plasma threshold of 10 pg/mL based on the limit of quantitation of current analytical methodologies is recommended for the determination of stanozolol administration in the horse. The use of a compounded suspension of stanozolol may result in more variable rates of dissolution as a result of differences in the product formulation; caution must be observed when administering these compounds because they may result in extended detection periods. In addition, repeated dose administrations or use of a dose greater than that investigated here will likely result in a longer detection period for stanozolol and withdrawal times should be adjusted accordingly.

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16th September 2013

Australian Racing Board

Ban on Anabolic Steroids

In a watershed moment in the history of Australian racing, the Australian Racing Board (ARB) has today announced a total ban on the use of anabolic steroids both in and out of competition.

Anabolic steroids as synthetic derivatives of the male hormone testosterone promote protein synthesis, muscle growth, red blood cell production, tissue repair after stress and injury and appetite to meet demands for additional nutrition.

Currently in Australia anabolic steroids are only prohibited in horses on race day but the new ban will apply to all Thoroughbreds from the age of 6 months with that period allowed in the interests of animal welfare.

"The ban on anabolic steroids goes far beyond any other racing jurisdiction outside of Europe and was decided by the ARB Board after lengthy consideration of veterinary and scientific advice and consultation with trainers' and owners' associations," ARB Chief Executive Peter McGauran said.

"The ARB has adopted a zero tolerance policy to the use of anabolic steroids in competition, training and spelling and will institute heavy penalties for breaches of the ban."

"Foremost in the Board's consideration was the need for absolute integrity and public confidence in racing. Although the use of steroids has greatly diminished over the years to the point where they are rarely relied upon by trainers, the ARB believes it is in the best interests of the industry that they no longer be available for any purpose other than as a therapeutic treatment for young foals," Mr McGauran said.

"Racing is a sport and as such must be a test of the ability of the individual horse, its trainer and rider and not of the pharmacologist, veterinarian or sports scientist. The true spirit of competition means that no-one gets an unfair advantage which anabolic steroids can confer in certain situations."

"A new Australian Rule of Racing will be introduced on the 1st of November with the ban taking effect from 1 May, 2014 thereby giving 6 months for a treated horse to be free of anabolic steroids," Mr McGauran concluded.

For further information please contact:

Mr Peter McGauran 0408 483 491

RMTC Board: Stanozolol Threshold Eliminated, Ohio Lab Granted Interim Accreditation...9/20/13

RMTC

NEWS RELEASE

September 20, 2013

Contact: Hallie Roach Lewis (859) 224-2848

RMTC BOARD: STANOZOLOL THRESHOLD ELIMINATED, OHIO STATE LAB GRANTED INTERIM ACCREDITATION, UNIFORM NATIONAL MEDICATION RULES, PENALTIES AND LAB REFORMS ENDORSED, OUT OF COMPETITION TESTING COMMITTEE FORMED, WALDROP ELECTED TO SUCCEED LEWIS AS CHAIRMAN

The board of directors of the Racing Medication and Testing Consortium (RMTC) held a regular meeting September 17 in Denver, Colo.

The board:

- **Unanimously voted to recommend that stanozolol be eliminated from those substances with an RMTC recommended threshold level and to present that revision to the Association of Racing Commissioners International (RCI) for its adoption. The previous threshold allowed stanozolol to be administered up to about 30 days prior to racing. The removal of the threshold means that stanozolol will become a prohibited substance in jurisdictions adopting this recommendation and can no longer be present at any concentration in a horse on race day. Stanozolol is a synthetic anabolic steroid that was previously marketed as Winstrol™ but is now only available as a compounded product.**

Other matters deleted:

http://www.rmtcnet.com/content_pressreleases.asp?id=&s=&article=1642

For additional information, visit the RMTC website at rmtcnet.com or contact Hallie Lewis, RMTC director of communications, at (859) 224-2848.



Members of the Executive Council of IFHA, representing the principal regions of the world, met on 8 September 2013 to discuss the Control of Anabolic Steroids in Racing and the official position of IFHA is as follows.

1. IFHA considers that anabolic steroids have no place in horse racing
2. The use of anabolic steroids should not be permitted in or out of competition
3. IFHA will work with jurisdictions that may permit exceptional use for therapeutic purposes only, subject to stringent controls and a minimum stand down period to eliminate performance enhancing effects.

About The International Federation of Horseracing Authorities

For more information on the IFHA, please visit www.ifhaonline.org.

Contact: Louis Romanet + 33 1 49 10 20 15; chairman@ifhaonline.org

CALIFORNIA HORSE RACING BOARD

OCTOBER 24, 2013
REGULAR BOARD MEETING

There is no board package material for Item 20

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE PROPOSED
AMENDMENT TO
RULE 1689.1, SAFETY VEST REQUIRED,
TO REQUIRE PONY RIDERS TO WEAR A SAFETY VEST

Regular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board (Board). Business and Professions Code section 19481 states that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider to improve the safety of horses, riders, and workers in the racing inclosure. Board Rule 1689.1, Safety Vest Required, requires jockeys and apprentice jockeys to wear safety vests when riding in a race. Additionally, the rule provides that jockeys, apprentice jockeys and exercise riders must wear a safety vest when they train or exercise any horse on the grounds of a racing association or racing fair. Rule 1689.1 also specifies that such safety vests shall meet the British Equestrian Trade Association (BETA) standard for horse riders' body and shoulder protectors. Safety vests protect the inner organs, ribs and spine. The padding helps prevent or lessen an injury from the impact of a fall, and reduce the amount of damage should the wearer be hit by a hoof.

ANALYSIS

On October 8, 2013, the Board received an inquiry seeking clarification on safety vest requirements for pony riders. Rule 1689.1 does not currently address pony riders, which means that pony riders are not required to wear a safety vest. The proposed amendment to Rule 1689.1 would add pony riders to the list of those who must wear a safety vest. The rule was last amended on July 2010 when harness drivers and assistant starters were added to the list of those who must wear a safety vest. The starting gate crews are addressed in the current rule under subsection 1689.1 (b), which provides that an assistant starter shall not handle any horse unless wearing a safety vest. This item was discussed at the October 2013 Jockey and Driver Welfare Committee.

RECOMMENDATION

This item is presented for discussion. The Board may wish to hear from the Jockey and Driver Welfare Committee.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
PROPOSED AMENDMENT OF
RULE 1689.1, SAFETY VEST REQUIRED

Regular Board Meeting
October 24, 2013

1689.1. Safety Vest Required.

(a) No jockey or apprentice jockey shall ride in a race unless wearing a safety vest, nor shall a jockey, apprentice jockey, or exercise rider, train or exercise any horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(1) No pony rider shall pony or lead a horse or be mounted on a horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(b) No driver shall be mounted in or riding on a sulky, nor shall an assistant starter handle any horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(c) Safety vests required to be worn in accordance with this regulation shall:

(1) Provide a minimum of shock absorbing protection to the upper body, as evidenced by a label indicating that the safety vest meets one of the following standards:

(A) "Level 1" under the British Equestrian Trade Association (BETA) 2009 Standard for Horse Riders' Body and Shoulder Protectors, or

(B) American Society for Testing Materials (ASTM) standard F2681-08, or

(C) Shoe and Allied Trades Research Association (SATRA) (1999) Jockey Vest Standard, Document M6 Issue 3, Australian Racing Board (ARB) 3.

(2) Cover the entire torso from the collarbone to a line level with the hip bone allowing a vee opening in the front neckline;

(3) Weigh no more than 2 pounds.

(4) No vest shall be altered from its original manufactured design. This includes, but is not limited to:

(A) Cutting the vest to customize fit.

(B) Removal of manufacturer's labels.

(C) Removal of protective padding.

(d) The weight of a safety vest shall not be included in the weight of a jockey or apprentice jockey when weighing out or weighing in or when adding weight to make up a weight assignment.

Authority cited: Sections 19420, 19481 and 19562,
Business and Professions Code.

Reference: Section 19481,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

OCTOBER 24, 2013
REGULAR BOARD MEETING

There is no board package material for Item 22

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE ALLOCATION OF THE
NORTHERN CALIFORNIA 2014/2015 RACE DATES
AND RELATED ISSUES FOR 2014/2015

Regular Board Meeting
October 24, 2013

BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

ANALYSIS

At the May 23, 2103 Regular Board Meeting proposals for 2014/2015 Northern California race dates were received from Pacific Racing Association (PRA); Santa Rosa County Fair at Sonoma, California Authority of Racing Fairs (CARF), on behalf of the Northern California CARF fairs; California Exposition and State Fair (State Fair and harness; Watch and Wager LLC.) (Cal Expo).

The allocation of the 2014/2015 Northern California thoroughbred and fair circuit was postponed to allow the stakeholders an opportunity to meet and resolve any potential issues and return to the

Board with proposals for the 2014/ 2015 race year.

Subsequent to the May 23, 2013 Regular Board Meeting, the Northern California 2014/2015 racing calendar proposals were received and presented at the June 20, 2013 and July 18, 2013 meetings of the Board.

The following Northern California race dates requests are identical to those submitted at the Board's May, June and July Regular Meetings.

2014 NORTHERN CALIFORNIA RACING CALENDAR PROPOSALS

PACIFIC RACING ASSOCIATION

PRA submitted its request for the following 2014 race dates:

- PRA at Golden Gate Fields: December 26, 2013 - June 29, 2014 (Winter/spring race meeting)
- PRA II at Golden Gate Fields: August 15, 2014 - September 21, 2014 (Summer race meeting)
- PRA at Golden Gate Fields: October 13, 2014 - December 14, 2014 (Fall race meeting)

SONOMA COUNTY FAIR AT SANTA ROSA

SCF submitted its request for the following 2014 race dates:

- July 23, 2014 – August 10, 2014

The California Authority of Racing Fairs (CARF) on behalf of the Northern California CARF fairs submitted its request for the following 2014 race dates:

- Alameda County Fair (ACF) at Pleasanton: June 18, 2014 - July 6, 2014
- Cal Expo: July 9, 2014 - July 20, 2014 (Cal Expo submitted a separate request for July 9 – July 22, 2014)
- Humboldt County Fair (HCF) at Ferndale: August 6, 2014 - August 17, 2014
- San Joaquin County Fair at Stockton: September 17, 2014 - September 28, 2014
- Fresno County Fair at Fresno: October 1, 2014 - October 13, 2014

CARF's date's proposal reflects proposed live race dates and simulcast days.

CARF's proposal also included the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa: July 23, 2014 - August 3, 2014
- PRA at Golden Gate Fields: December 26, 2013 - June 15, 2014
- PRA at Golden Gate Fields: August 20, 2014 - September 14, 2014
- PRA at Golden Gate Fields: October 16, 2014 - December 21, 2014

In July CARF submitted that in order to continue discussion of multiple-year calendars and to allow for the transitional planning which would be required for fairs, it respectfully requested that the Board approve the following race dates for GGF:

- December 26, 2013 through June 15, 2014
- October 16, 2014 through December 21, 2014

In addition, CARF requested that the Board defer a decision on 2014 summer racing dates until the Board's September 2013 Regular Meeting, by which time the Sonoma County Fair in Santa Rosa and Humboldt County Fair in Ferndale would have concluded their 2013 race meetings.

CARF believed that this approach would allow for an initial decision confirming most of the dates that GGF has requested for 2014. These non-summer dates represent a large segment of the annual racing calendar in Northern California, so approval of those dates would allow GGF to plan ahead for most of 2014. At the same time, this approach would give Fair Boards a chance to consider potential changes to the summer fair racing calendar in Northern California for 2014 and 2015.

In conjunction with CARF's request to defer a decision on 2014 summer racing dates until the Board's September 2013 Regular Meeting, the California Exposition and State Fair, also requested that the Board's discussion and decision regarding 2014 and 2015 Northern California Fairs race dates be continued until the end of the summer fair season. The request was based on a number of factors including the impending opening of the State Fair and the Alameda County Fairs. Both fairs have new CEO's that were working to produce successful race meetings. In addition there were significant changes this summer at the Sonoma County and San Joaquin County fairs. Given the amount of change, Cal Expo submitted that it seemed prudent to get through the bulk of the Northern California Fair Race meetings this summer so that all parties can better evaluate the fairs future.

Cal Expo also submitted that in order for GGF to plan appropriately for the first half of 2014, the Board could approve the 2014 spring race dates at GGF up to June 15, 2014.

Cal Expo also submitted its request for the following 2014 race dates for state fair racing:

- Cal Expo (State Fair): July 9, 2014 - July 22, 2014

Cal Expo requested approval to readdress its request after a determination of the Southern California dates allocation has been approved.

POSSIBLE THOROUGHBRED RACING AT CAL EXPO

Cal Expo is open to discussing additional racing dates at Cal Expo outside of the annual State Fair. It has been suggested that the dates of August 13, 2014 through September 3, 2014 should be considered. These dates might consist of 10 live racing days and 12 simulcast days. However, Cal Expo submits that such racing would need the input and support of California's racing industry.

2015 NORTHERN CALIFORNIA RACING CALENDAR PROPOSAL

PACIFIC RACING ASSOCIATION

PRA submitted its request for the following 2015 race dates:

- PRA at Golden Gate Fields: December 26, 2014 - June 28, 2015 (Winter/spring race meeting)
- PRA II at Golden Gate Fields: August 14, 2015 - September 27, 2015 (Summer race meeting)
- PRA at Golden Gate Fields: October 12, 2015 - December 20, 2015 (Fall race meeting)

SONOMA COUNTY FAIR AT SANTA ROSA

SCF submitted its request for the following 2015 race dates:

- July 22, 2015 - August 9, 2015

CALIFORNIA AUTHORITY OF RACING FAIRS

CARF on behalf of the Northern California CARF fairs submits the following two scenario proposals for its 2015 race dates:

SCENARIO A

- Alameda County Fair (ACF) at Pleasanton: June 24, 2015 - July 19, 2015
- Humboldt County Fair (HCF) at Ferndale: August 5, 2015 - August 16, 2015
- Cal Expo: August 19, 2015 - September 7, 2015
- San Joaquin County Fair at Stockton: September 23, 2015 - October 4, 2015
- Fresno County Fair at Fresno: October 7, 2015 - October 18, 2015

CARF's proposed Scenario A reflects proposed live race dates and simulcast days.

CARF's proposed Scenario A also includes the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa: July 22, 2015 - August 2, 2015
- PRA at Golden Gate Fields: December 25, 2014 - June 21, 2015
- PRA at Golden Gate Fields: October 21, 2015 - December 20, 2015

SCENARIO B

- Alameda County Fair (ACF) at Pleasanton: June 17, 2015 - July 5, 2015
- Humboldt County Fair (HCF) at Ferndale: August 5, 2015 - August 16, 2015
- Cal Expo: July 8, 2015 - July 19, 2015
- San Joaquin County Fair at Stockton: September 23, 2015 - October 4, 2015
- Fresno County Fair at Fresno: October 7, 2015 - October 18, 2015

CARF's Scenario B proposal reflects proposed live race dates and simulcast days.

CARF's Scenario B proposal also includes the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa: July 22, 2015 - August 2, 2015
- PRA at Golden Gate Fields: December 25, 2014 - June 14, 2015
- PRA at Golden Gate Fields: August 19, 2015 - September 20, 2015
- PRA at Golden Gate Fields: October 21, 2015 - December 20, 2015

CALIFORNIA EXPOSITION AND STATE FAIR

Cal Expo submits the following information regarding its 2015 State Fair and Cal Expo Thoroughbred Racing Dates:

Although it plans to operate the 2015 State Fair in July of 2015, it has been approached by members of the racing industry, suggesting that the State Fair shift its fair dates back to the end of the summer to encompass the Labor Day weekend. While the State Fair is open to prudently dialoguing with industry leaders on this item, such discussion could not be adequately held until much later in the year. Discussion would need to be broad based to include the racing industry, as well as other fair industry partners that would be impacted by such date changes. In addition the timing and possible impacts of Cal Expo's Renewal Plan would also need to be carefully considered.

At the September 19, 2013 Regular meeting, the Board again considered the Northern California race dates allocation. Continuing disagreement among stakeholders, as well as the concept that Cal Expo (State Fair) might have three weeks of racing, prompted Chairman Israel to ask Commissioners Beneto and Choper to work with the Northern California stakeholders in an effort to bring about consensus.

The Board did however; approve the initial part of the 2014 racing calendar in Northern California by allocating dates to Pacific Racing Association at Golden Gate Fields from December 26, 2013, through June 15, 2014.

Subsequent to the September Regular Meeting of the Board, the Northern California Racing Ad Hoc Committee met with the Northern California stakeholders to discuss proposed calendars for 2014 race dates. At that meeting, the Committee proposed two 2014 race dates calendars for consideration.

**2014 NORTHERN CALIFORNIA RACING CALENDAR PROPOSAL (OPTION A)
AD HOC COMMITTEE PROPOSAL**

- PRA at Golden Gate Fields: December 26, 2013 - June 15, 2014 (Dates allocated at September 19, 2013 Board meeting).
- Alameda County Fair (ACF) at Pleasanton: June 18, 2014 - July 6, 2014
- Cal Expo(State Fair): July 9, 2014 - July 27, 2014
- Sonoma County Fair at Santa Rosa: July 30, 2014 - August 17, 2014
- Humboldt County Fair (HCF) at Ferndale: August 20, 2014 - August 31, 2014
- PRA at Golden Gate Fields: August 28, 2014 - September 14, 2014
- San Joaquin County Fair at Stockton: September 17, 2014 - September 28, 2014
- Fresno County Fair at Fresno: October 1, 2014 - October 13, 2014
- PRA II at Golden Gate Fields: October 16, 2014 - December 21, 2014

**2014 NORTHERN CALIFORNIA RACING CALENDAR PROPOSAL (OPTION B)
AD HOC COMMITTEE PROPOSAL**

- PRA at Golden Gate Fields - December 26, 2013 to June 15, 2014 (Dates allocated at September 19, 2013 Regular Board Meeting).
- Alameda County Fair (ACF) at Pleasanton: June 18, 2014 - July 6, 2014
- Cal Expo(State Fair): July 9, 2014 - July 27, 2014
- Sonoma County Fair at Santa Rosa: August 1, 2014 - August 10, 2014
- Humboldt County Fair (HCF) at Ferndale: August 13, 2014 - August 24, 2014
- PRA at Golden Gate Fields: August 20, 2014 - September 14, 2014
- San Joaquin County Fair at Stockton: September 17, 2014 - September 28, 2014
- Fresno County Fair at Fresno: October 1, 2014 - October 13, 2014
- PRA II at Golden Gate Fields: October 16, 2014 - December 21, 2014

Option A would allocate three weeks of racing to the Sonoma County Fair at Santa Rosa from July 30 through August 17. Plan A was favored by some, opposed by others. In particular, the Sonoma County Fair representative expressed opposition to the Option A proposal as the Sonoma County Fair would need to move its fair dates in order for the proposed race dates to run concurrent with its fair. Subsequent to the Committee meeting, a letter was received from the Sonoma County Democratic Party, opposing a change in Sonoma County Fair's requested 2014 dates request.

The Committee proposed a second calendar Option B that again met with mixed reactions. Option B would shorten the Santa Rosa meet to two weeks if the Sonoma County Fair Board chooses not to run the proposed third week. Under Option B, the Santa Rosa dates would end August 10.

Under Option A, after the Santa Rosa meet concludes (August 17), the Humboldt County Fair in Ferndale would run from August 20 through September 1 (Labor Day) with the second week

overlapped with the Golden Gate Fields race meeting that would open August 28. Under Option B, both the Ferndale and the Golden Gate Fields race meetings would open earlier in August. Ferndale dates would run August 13-24. Golden Gate Fields would open August 21, again overlapping Ferndale's second week. Humboldt representatives expressed concern with the overlap.

Options A and B are identical from September through December.

The stakeholders did reach consensus on one issue: They do not want to finalize the 2015 thoroughbred and fair racing calendar at this time.

RECOMMENDATION

Staff recommends the Board hear from the parties.

Wagner, Jacqueline

From: Stephen Gale
Sent: Sunday, October 13, 2013 8:55 PM
To:
Cc: Wagner, Jacqueline
Subject: Allocation of the Northern California 2014/15 Racing Calendar
Attachments: Horse Racing Board - 101313.pdf

Dear Chairman Israel,

The Sonoma County Democratic Party urges the California Horse Racing Commission to approve race dates proposed for the Sonoma County Fair run for July 24 through August 10, 2014. We are strongly opposed to a proposal to delay race dates at the Sonoma County Track until deeper in August.

A letter outlining our concerns is attached for your consideration. Please include the attached correspondence in the public record as well.

Thank you for your consideration.

Sincerely,
Stephen Gale
Chair, Sonoma County Democratic Party



October 12, 2013

David Israel, Chair
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Chairman Israel,

The Sonoma County Democratic Party is strongly opposed to a proposed change in racing schedule for the Sonoma County Fair. We urge the California Horse Racing Board to allow the Sonoma County Fair to continue with plans for race dates during the 2014 run, consistent with the Fair schedule submitted in May 2013 and already being advertised as July 24 through August 10, 2014.

Requiring the track to be dark for the first week of the fair is unreasonable and would result in a significant financial shortfall for one of the most widely attended County Fairs as well as decreasing the overall interest and participation in thoroughbred racing in the state. The alternative of requiring the Fair to reschedule and slip the scheduled dates of the County Fair deeper into August, after school is in session, is both unreasonable and cost prohibitive.

We believe that the proposed change is not in the best interest of the public or the horse racing industry. Sonoma County's Race Track is considered by both horsemen and fans as the "Saratoga of the West." This reputation has been built through consistent efforts by the Sonoma County Fair Board, who have created a draw for new fans with specialized marketing and promotion efforts. Each year, the County makes significant investments to improve our racing facilities. Those efforts have paid off in the form of higher handles that create more appeal for both horsemen and patrons. In 2013, Sonoma County's handle was \$32.6 million, equating to a daily handle of \$2.5 million.

In addition, Sonoma County hosts the only Fair turf track in all of Northern California. Thirty percent of races during the County Fair are run on the turf track. This unique opportunity for horse conditioning for turf racing should be supported and encouraged. We believe the proposal does not support that objective.

There are also impacts to the Sonoma County Fair itself that we are concerned would result if the track were dark for the first week of next year's Fair or if the Fair were forced to reschedule their run deeper into August, when Sonoma County Schools are in session. The Board should consider these unintended consequences of proposed changes:

- Lost revenue alone is expected to exceed \$150,000.
- Negative impacts to an impressive Junior Livestock Participation program, showcasing community support for youth in agriculture – a significant part of our cultural heritage in Sonoma County – that generated over \$1.1 million last year.
- Negative impacts to the fairs temporary employees, many of whom are teachers and students.
- Negative impacts to fair vendors and concessionaires who come from throughout the State.

For these reasons, we urge you to reject the proposed changes to the 2014 racing schedule that would adversely impact the Sonoma County Fair.

Sincerely,

Stephen Gale
Chair, Sonoma County Democratic Party

PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES

2014 Northern California Race Dates Ad Hoc Committee Recommendation

Option A

PRA Golden Gate Fields (12/26/13 - 6/15/14)	PRA Golden Gate Fields (8/28/14 - 9/14/14)
Alameda County Fair (6/18/14 - 7/6/14)	San Joaquin County Fair (9/17/14 - 9/28/14)
California State Fair (7/9/14 - 7/27/14)	Fresno County Fair (10/1/14 - 10/13/14)
Sonoma County Fair (7/30/14 - 8/17/14)	PRA Golden Gate Fields (10/16/14 - 12/21/14)
Humboldt County Fair (8/20/14 - 8/31/14)	

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PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES

2014 Northern California Race Dates Ad Hoc Committee Recommendation

Option B

PRA Golden Gate Fields (12/26/13 - 6/15/14)

Alameda County Fair (6/18/14 - 7/6/14)

California State Fair (7/9/14 - 7/27/14)

Sonoma County Fair (8/1/14 - 8/10/14)

Humboldt County Fair (8/13/14 - 8/24/14)

PRA Golden Gate Fields (8/20/14 - 9/14/14)

San Joaquin County Fair (9/17/14 - 9/28/14)

Fresno County Fair (10/1/14 - 10/13/14)

PRA Golden Gate Fields (10/16/14 - 12/21/14)

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2014 NORTHERN CALIFORNIA
RACE DATES AD HOC COMMITTEE
RECOMMENDATION AS REVIEWED
AT RACE DATES AD HOC COMMITTEE MEETING
OPTION A

January 2014

January 2014							February 2014						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4							1
5	6	7	8	9	10	11	2	3	4	5	6	7	8
12	13	14	15	16	17	18	9	10	11	12	13	14	15
19	20	21	22	23	24	25	16	17	18	19	20	21	22
26	27	28	29	30	31		23	24	25	26	27	28	

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Dec 29	30	31	Jan 1, 14	2	3	4
Dec 29 - Jan 4				Golden Gate Fields New Year's Day -- Holi			
	5	6	7	8	9	10	11
Jan 5 - 11	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	12	13	14	15	16	17	18
Jan 12 - 18	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	19	20	21	22	23	24	25
Jan 19 - 25	Golden Gate Fields				Simulcast Day	Golden Gate Fields	
	26	27	28	29	30	31	Feb 1
Jan 26 - Feb 1	Golden Gate Fields			Simulcast Day	Golden Gate Fields		

Golden Gate Race Days - 19
Simulcast Days - 4

February 2014

February 2014						
Su	Mo	Tu	We	Th	Fr	Sa
2	3	4	5	6	7	1
9	10	11	12	13	14	8
16	17	18	19	20	21	15
23	24	25	26	27	28	22

March 2014						
Su	Mo	Tu	We	Th	Fr	Sa
2	3	4	5	6	7	1
9	10	11	12	13	14	8
16	17	18	19	20	21	15
23	24	25	26	27	28	22
30	31					29

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Jan 26 - Feb 1	Jan 26	27	28	29	30	31	Feb 1 Golden Gate Fields
Feb 2 - 8	2 Golden Gate Fields	3	4	5 Simulcast Day	6	7 Golden Gate Fields	8
Feb 9 - 15	9 Golden Gate Fields	10	11	12 Simulcast Day	13	14 Golden Gate Fields	15
Feb 16 - 22	16 Golden Gate Fields	17	18	19	20 Simulcast Day	21 Golden Gate Fields	22
Feb 23 - Mar 1	23 Golden Gate Fields	24	25	26 Simulcast Day	27 Golden Gate Fields	28	Mar 1

Golden Gate Race Days - 17
Simulcast Days - 4

March 2014

March 2014							April 2014						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
						1			1	2	3	4	5
2	3	4	5	6	7	8	6	7	8	9	10	11	12
9	10	11	12	13	14	15	13	14	15	16	17	18	19
16	17	18	19	20	21	22	20	21	22	23	24	25	26
23	24	25	26	27	28	29	27	28	29	30			
30	31												

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Feb 23	24	25	26	27	28	Mar 1
2/23 - 28							Golden Gate Fields
	2	3	4	5	6	7	8
3/2 - 7	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	9	10	11	12	13	14	15
3/9 - 14	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	16	17	18	19	20	21	22
3/16 - 21	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	23	24	25	26	27	28	29
3/23 - 28	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	30	31	Apr 1	2	3	4	5
3/30 - 4/4	Golden Gate Fields						

Golden Gate Race Days - 18
 Simulcast Days - 4

April 2014

April 2014							May 2014						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
6	7	1	2	3	4	5	4	5	6	7	1	2	3
13	14	8	9	10	11	12	11	12	13	14	15	16	17
20	21	22	23	24	25	26	18	19	20	21	22	23	24
27	28	29	30				25	26	27	28	29	30	31

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Mar 30	31	Apr 1	2	3	4	5
Mar 30 - Apr 5				Simulcast Day	Golden Gate Fields		
	6	7	8	9	10	11	12
Apr 6 - 12	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	13	14	15	16	17	18	19
Apr 13 - 19	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	20	21	22	23	24	25	26
Apr 20 - 26	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	27	28	29	30	May 1	2	3
Apr 27 - May 3	Golden Gate Fields			Simulcast Day			

Golden Gate Race Days - 16
 Simulcast Days - 5

May 2014

May 2014

Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June 2014

Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Apr 27	28	29	30	May 1	2	3
Apr 27 - May 3					Golden Gate Fields		
	4	5	6	7	8	9	10
May 4 - 10	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	11	12	13	14	15	16	17
May 11 - 17	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	18	19	20	21	22	23	24
May 18 - 24	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
	25	26	27	28	29	30	31
May 25 - 31	Golden Gate Fields				Simulcast Day	Golden Gate Fields	
		Memorial Day - Holiday					

Golden Gate Race Days - 19
 Simulcast Days - 4

June 2014

June 2014						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July 2014						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Jun 1 - 7	Jun 1	2	3	4	5	6	7
				Simulcast Day	Golden Gate Fields		
Jun 8 - 14	8	9	10	11	12	13	14
	Golden Gate Fields			Simulcast Day	Golden Gate Fields		
Jun 15 - 21	15	16	17	18	19	20	21
	Golden Gate Fields			Simulcast Day	Pleasanton		
Jun 22 - 28	22	23	24	25	26	27	28
				Pleasanton Simulcast Day			
Jun 29 - Jul 5	29	30	Jul 1	2	3	4	5
	Pleasanton						

Golden Gate Race Days - 8
 Pleasanton Race Days - 8
 Simulcast Days - 4

July 2014

July 2014

Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August 2014

Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	
	Jun 29	30	Jul 1	2	3	4	5	
Jun 29 - Jul 5			Pleasanton					
				Simulcast Day		Independence Day - H		
	6	7	8	9	10	11	12	
Jul 6 - 12	Pleasanton			Simulcast Day	Cal Expo			
	13	14	15	16	17	18	19	
Jul 13 - 19			Cal Expo					
	20	21	22	23	24	25	26	
Jul 20 - 26			Cal Expo					
	27	28	29	30	31	Aug 1	2	
Jul 27 - Aug 2	Cal Expo			Simulcast Day	Santa Rosa			

Pleasanton Race Days - 4
 Cal Expo Race Days - 14
 Santa Rosa Race Days - 1
 Simulcast Days - 3

August 2014

August 2014						
Su	Mo	Tu	We	Th	Fr	Sa
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September 2014						
Su	Mo	Tu	We	Th	Fr	Sa
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Jul 27	28	29	30	31	Aug 1	2
7/27 - 8/1						Santa Rosa	
	3	4	5	6	7	8	9
8/3 - 8	Santa Rosa						
	10	11	12	13	14	15	16
8/10 - 15	Santa Rosa						
	17	18	19	20	21	22	23
8/17 - 22	Santa Rosa			Ferndale (Host) Simulcast Day	Ferndale		
	24	25	26	27	28	29	30
8/24 - 29				Ferndale Simulcast Day	Golden Gate		
	31	Sep 1	2	3	4	5	6
8/31 - 9/5	Ferndale Golden Gate						

Santa Rosa Race Days - 13
 Golden Gate Race Days 4
 Ferndale Race Days - 9
 Simulcast Days - 2

September 2014

September 2014						
Su	Mo	Tu	We	Th	Fr	Sa
7	1	2	3	4	5	6
14	8	9	10	11	12	13
21	15	16	17	18	19	20
28	22	23	24	25	26	27
	29	30				

October 2014						
Su	Mo	Tu	We	Th	Fr	Sa
5	6	7	1	2	3	4
12	13	14	8	9	10	11
19	20	21	15	16	17	18
26	27	28	22	23	24	25
		29	30	31		

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Aug 31	Sep 1	2	3	4	5	6	
Aug 31 - Sep 6		Ferndale			Simulcast Day		
	Golden Gate						
		Labor Day - Holiday					
7	8	9	10	11	12	13	
Sep 7 - 13	Golden Gate						
				Simulcast Day			
14	15	16	17	18	19	20	
Golden Gate				Simulcast Day		Stockton	
Sep 14 - 20							
21	22	23	24	25	26	27	
Stockton				Simulcast Day		Stockton	
Sep 21 - 27							
28	29	30	Oct 1	2	3	4	
Stockton							
Sep 28 - Oct 4							

Golden Gate Race Days - 9
 Stockton Race Days - 6
 Simulcast Days - 6

23-22

October 2014

October 2014							November 2014						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4							1
5	6	7	8	9	10	11	2	3	4	5	6	7	8
12	13	14	15	16	17	18	9	10	11	12	13	14	15
19	20	21	22	23	24	25	16	17	18	19	20	21	22
26	27	28	29	30	31		23	24	25	26	27	28	29
							30						

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Sep 28	29	30	Oct 1	2	3	4
Sep 28 - Oct 4				Simulcast Day	Fresno		
	5	6	7	8	9	10	11
	Fresno						
Oct 5 - 11				Simulcast Day			
	12	13	14	15	16	17	18
	Fresno				Simulcast Day	Golden Gate	
Oct 12 - 18							
	19	20	21	22	23	24	25
	Golden Gate			Simulcast Day	Golden Gate		
Oct 19 - 25							
	26	27	28	29	30	31	Nov 1
Oct 26 - Nov 1	Golden Gate			Simulcast Day	Golden Gate		To Nov 2 →

Golden Gate Race Days - 9
 Fresno Race Days - 9
 Simulcast Days - 5

November 2014

November 2014							December 2014						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
2	3	4	5	6	7	1	7	1	2	3	4	5	6
9	10	11	12	13	14	8	14	15	16	17	18	19	20
16	17	18	19	20	21	15	21	22	23	24	25	26	27
23	24	25	26	27	28	22	28	29	30	31			
30						29							

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Oct 26	27	28	29	30	31	Nov 1
10/26 - 31							Golden Gate
	2	3	4	5	6	7	8
11/2 - 7	Golden Gate			Simulcast Day	Golden Gate		
	9	10	11	12	13	14	15
11/9 - 14	Golden Gate			Simulcast Day	Golden Gate		
	16	17	18	19	20	21	22
11/16 - 21	Golden Gate			Simulcast Day	Golden Gate		
	23	24	25	26	27	28	29
11/23 - 28	Golden Gate			Simulcast Day	Golden Gate		
	30	Dec 1	2	3	4	5	6
11/30 - 12/5	Golden Gate						

Golden Gate Race Days - 18
 Simulcast Days - 4

December 2014

December 2014						
Su	Mo	Tu	We	Th	Fr	Sa
7	1	2	3	4	5	6
14	8	9	10	11	12	13
21	15	16	17	18	19	20
28	22	23	24	25	26	27
	29	30	31			

January 2015						
Su	Mo	Tu	We	Th	Fr	Sa
4	5	6	7	1	2	3
11	12	13	14	8	9	10
18	19	20	21	15	16	17
25	26	27	28	22	23	24
				29	30	31

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Nov 30	Dec 1	2	3	4	5	6
Nov 30 - Dec 6				Simulcast Day	Golden Gate		
	7	8	9	10	11	12	13
Dec 7 - 13	Golden Gate			Simulcast Day	Golden Gate		
	14	15	16	17	18	19	20
Dec 14 - 20	Golden Gate			Simulcast Day	Golden Gate		
	21	22	23	24	25	26	27
Dec 21 - 27	Golden Gate				Christmas Day - Holiday		
	28	29	30	31	Jan 1, 15	2	3
Dec 28 - Jan 3							

Golden Gate Race Days - 12
 Simulcast Days - 3

2014 NORTHERN CALIFORNIA
RACE DATES AD HOC COMMITTEE
RECOMMENDATION AS REVIEWED
AT RACE DATES AD HOC COMMITTEE MEETING
OPTION B

Option B

August 2014

August 2014						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September 2014						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
7/27 - 8/1	Jul 27	28	29	30	31	Aug 1	2
						Santa Rosa	
8/3 - 8	3	4	5	6	7	8	9
	Santa Rosa						
8/10 - 15	10	11	12	13	14	15	16
	Santa Rosa			Ferndale (Host)	Ferndale		
8/17 - 22	17	18	19	20	21	22	23
	Ferndale						
8/24 - 29	24	25	26	27	28	29	30
	Ferndale Golden Gate			Simulcast Day	Golden Gate		
8/31 - 9/5	31	Sep 1	2	3	4	5	6
	Golden Gate						

Golden Gate Race Days - 8
 Ferndale Race Days -
 Santa Rosa Race Days -
 Simulcast Days - 2

PROPOSED 2014/ 2015 NORTHERN CALIFORNIA RACING
CALENDARS AS
SUBMITTED BY CARF AND NORTHERN CALIFORNIA
STAKEHOLDERS
AT THE JUNE 20, 2013, JULY 18, 2013 AND SEPTEMBER 19,
2013 BOARD MEETING

NO NEW PROPOSALS WERE RECEIVED
FOR THE OCTOBER 24, 2014 BOARD MEETING

2014 NORTHERN CALIFORNIA RACING CALENDAR - PROPOSAL

Dec-13						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

5

April 26th: SF Mile Turf Festival
May 24th: American Handicapp Turf Festival
June 21st: Shoemaker Mile Turf Festival
Aug 16-17: Nor-Cal Turf Festival
Nov-Dec: Dependant on South Race Schedule
Cal Cup: Dates TBD

PRA Winter/Spring	105
PRA II Summer	24
PRA Fall	37
Total Days	166

CARF Meets	
Simulcast (No Nor-Cal racing)	49

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

18

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

14

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

18

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

16

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

19

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

15

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

18

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

11

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

13

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

11

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

18

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

8

PRA Winter/Spring	December 26th thru June 29th
PRA II Summer	August 15th thru September 21st
PRA Fall	October 13th thru December 14th

Pleasanton
Cal Expo
Santa Rosa

Ferndale
Stockton
Fresno

PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES

3

Submitted by Sonoma County Fair

Sonoma County Fair (7/22/14 - 8/10/14)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
22	23	24	25	26	27	28
29	30	31				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES # 2

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			25	26	27	28
29	30	31				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

PRA Golden Gate Fields (12/26/13 - 6/15/14)						
Alameda County Fair (6/18/14 - 7/6/14)						
California State Fair (7/9/14 - 7/20/14)						
Sonoma County Fair (7/23/14 - 8/3/14)						
Humboldt County Fair (8/6/14 - 8/17/14)						

PRA Golden Gate Fields (8/20/14 - 9/14/14)						
San Joaquin County Fair (9/17/14 - 9/28/14)						
Fresno County Fair (10/1/14 - 10/13/14)						
PRA Golden Gate Fields (10/15/14 - 12/24/14)						

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

GGF, SCF, and State Fair dates requests differ from those requested by CARF.

PROPOSED 2014 Northern California State Fair Race Dates # 2

Submitted by California - Exposition and State Fair

~~State Fair (7/9/14 - 7/22/14)~~

December

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			25	26	27	28
29	30	31				

January

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
23	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

2015

2015 NORTHERN CALIFORNIA RACING CALENDAR - PROPOSAL

Dec-13						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

April 25th: SF Mile Turf Festival
May 23rd: American Handicapp Turf Festival
June 20th: Shoemaker Mile Turf Festival
Aug 15-16: Nor-Cal Turf Festival
Nov-Dec: Dependant on South Race Schedule
Cal Cup: Dates TBD

PRA Winter/Spring	105
PRA II Summer	25
PRA Fall	39
Total Days	169

CARF Meets	
Simulcast (No Nor-Cal racing)	47

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

PRA Winter/Spring
PRA II Summer
PRA Fall

Pleasanton
Cal Expo
Santa Rosa

Ferndale
Stockton
Fresno

PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES # 3

Submitted by Sonoma County Fair

Sonoma County Fair (7/22/15 - 8/9/15)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		23	24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES Scenario A # 4

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

PRA Golden Gate Fields (12/26/14 - 6/21/15)

Fresno County Fair (10/7/15 - 10/18/15)

Alameda County Fair (6/24/15 - 7/19/15)

PRA Golden Gate Fields (8/18/15 - 9/20/15)

California State Fair (8/19/15 - 9/7/15)

Simulcast Days Only

Sonoma County Fair (7/22/15 - 8/2/15)

PRA Golden Gate Fields (10/21/15 - 12/30/15)

Humboldt County Fair (8/5/15 - 8/16/15)

San Joaquin County Fair (9/23/15 - 10/4/15)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES Scenario B # 4

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

PRA Golden Gate Fields (12/28/14 - 6/21/15)	Fresno County Fair (10/7/15 - 10/18/15)
Alameda County Fair (8/17/15 - 7/5/15)	PRA Golden Gate Fields (8/19/15 - 9/20/15)
California State Fair (7/8/15 - 7/19/15)	Simulcast Days Only
Sonoma County Fair (7/22/15 - 8/2/15)	PRA Golden Gate Fields (10/21/15 - 12/20/15)
Humboldt County Fair (8/5/15 - 8/16/15)	
San Joaquin County Fair (9/23/15 - 10/4/15)	

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Golden Gate Fields

VIA ELECTRONIC & U.S. MAIL

July 2, 2013

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Jackie:

Enclosed are calendars for the 2014 and 2015 racing years setting forth the dates requested by Golden Gate Fields. Based on my last conversation with Chris Korby, the Northern California racing fairs have not yet determined their race schedules for the years in question. Therefore, the attached calendars do not specify any proposed fair dates. We, at Pacific Racing Association, remain willing to speak with CARF about summer racing dates once CARF has finalized its position.

Very truly yours,



Scott Daruty

SD:sm
Attachments

cc: Chris Korby
Joe Morris
Peter Tunney

2014 NORTHERN CALIFORNIA RACING CALENDAR - PROPOSAL

Dec-13						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
5						

April 26th: SF Mile Turf Festival
May 24th: American Handicapp Turf Festival
June 21st: Shoemaker Mile Turf Festival
Aug 16-17: Nor-Cal Turf Festival
Nov-Dec: Dependant on South Race Schedule
Cal Cup: Dates TBD

PRA Winter/Spring	105
PRA II Summer	24
PRA Fall	37
Total Days	166

CARF Meets	
Simulcast (No-Nor-Cal-racing)	49

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
18 4						

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	
14 4						

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					
18 4						

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			
16 5						

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
19 4						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					
15 6						

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
18 4						

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
						2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
11 4						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				
13 4						

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
11 3						

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
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9	10	11	12	13	14	15
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30	31					
18 4						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
8 7						

PRA Winter/Spring	December 26th thru June 29th
PRA II Summer	August 15th thru September 21st
PRA Fall	October 13th thru December 14th

Pleasanton
Cal Expo
Santa Rosa

Ferndale
Stockton
Fresno

2015 NORTHERN CALIFORNIA RACING CALENDAR - PROPOSAL

Dec-13						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

April 25th: SF Mile Turf Festival
May 23rd: American Handicapp Turf Festival
June 20th: Shoemaker Mile Turf Festival
Aug 15-16: Nor-Cal Turf Festival
Nov-Dec: Dependant on South Race Schedule
Cal Cup: Dates TBD

PRA Winter/Spring	105
PRA II Summer	25
PRA Fall	39
Total Days	169

CARF Meets	
Simulcast (No-Nor-Cal racing)	47

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
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23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

PRA Winter/Spring
PRA II Summer
PRA Fall

Pleasanton
Cal Expo
Santa Rosa

Ferndale
Stockton
Fresno

er, Jacqueline

n: Morris, Joë [Joe.Morris@stronachgroup.com]
ent: Wednesday, May 08, 2013 4:57 PM
o: Wagner, Jacqueline
c: Brackpool, Keith
Subject: GGF 2014, 2015 racing dates

Hi Jackie, GGF will be asking for the following dates in 2014 and 2015.

2014

Dec 26, 2013 thru June 29, 2014
Aug 15, 2014 thru Sept 21, 2014
Oct 13, 2014 thru Dec 14, 2014

166 days of live racing

2015

Dec 26, 2014 thru June 28, 2015
Aug 14, 2015 thru Sept 27, 2015
Oct 12, 2015 thru Dec 20, 2015

days of live racing

look forward to discussing at the May meeting

Thanks

Joe Morris
GGF, GM

Sent from my iPad

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a California joint powers agency

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 Sacramento, CA 95815
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www.calfairs.com

July 2, 2013

California Horse Racing Board
 Attn: Ms. Jaqueline Wagner
 1010 Hurley Way
 Sacramento, CA 95825

Honorable Board Members:

In order to continue discussions of multiple-year calendars and to allow for the transition planning which would be required for Fairs, CARF respectfully requests the following:

- that the Board approve racing dates for Golden Gate Fields from Dec 26, 2013 through June 17, 2014;
- that the Board approve racing dates for Golden Gate Fields from October 15, 2014 through December 21, 2014 and
- that the Board defers a decision on 2014 summer racing dates until its September meeting, by which time Sonoma County Fair in Santa Rosa and Humboldt County Fair in Ferndale will have concluded their 2013 meets.

CARF member racing Fairs believe that this approach would allow for an initial decision confirming most of the dates (non-summer) that Golden Gate Fields has requested for 2014. These non-summer dates represent a large segment of the annual racing calendar in Northern California, so approval of these dates would allow GGF to plan ahead for most of 2014. At the same time, this approach would give Fair Boards a chance to consider potential changes to the summer Fair racing calendar in Northern California for 2014 and 2015.

Some Northern California Fair representatives may not be able to attend the CHRB meeting in July as this is the height of mid-summer Fair activities. We would note that in prior years Board decisions regarding racing dates have typically taken place in September, October or later in the year. In this sense, our

request to defer consideration of summer racing dates for 2014 is consistent with past practice.

CARF member racing Fairs want to continue working with GGF, horsemen and the Board toward a stable, predictable calendar for Northern California.

A copy of our submission from last month is also attached.

Respectfully submitted,

/s/

Christopher Korby
Executive Director



a California joint powers agency

1776 Tribute Road, Suite 205
 Sacramento, CA 95815
 Office: 916.927.7223 Fax: 916.263.3341
 www.calairs.com

June 4, 2013

California Horse Racing Board
 Attn: Ms. Jacqueline Wagner
 1010 Hurley Way
 Sacramento, CA 95825

Honorable Board Members:

As requested by Board staff, the California Authority of Racing Fairs respectfully submits the attached proposals for Northern California racing dates at CARF member Fairs (shown below) in 2014 and 2015.

- Alameda County Fair
- California State Fair
- Humboldt County Fair
- San Joaquin County Fair
- Fresno District Fair

Pursuant to the Board's request for submissions, we are submitting two scenarios for Northern California dates in 2015. Calendar formats of our proposals are also attached.

In order to continue discussions of multiple-year calendars and to allow for the transitional planning which would be required for Fairs, CARF respectfully requests the following:

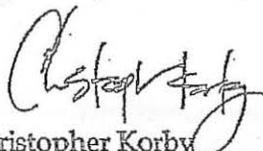
- that the Board approve racing dates for Golden Gate Fields from December 26, 2013 through June 15, 2014;
- that the Board approve racing dates for Golden Gate Fields from October 15, 2014 through December 21, 2014 and
- that the Board defers a decision on 2014 summer racing dates until its September meeting, by which time Sonoma County Fair in Santa Rosa and Humboldt County Fair in Ferndale will have concluded their 2013 meets.

CARF member racing Fairs believe that this approach would allow for an initial decision confirming most of the dates that Golden Gate Fields has requested for 2014. These non-summer dates represent a large segment of the annual racing calendar in Northern California, so approval of these dates would allow GGF to plan ahead for most of 2014. At the same time, this approach would give Fair Boards a chance to consider potential changes to the summer Fair racing calendar in Northern California for 2014 and 2015. CARF wants to continue working with GGF, horsemen and the Board toward a stable, predictable calendar for Northern California.

Individual Fairs may also be submitting promotional, advertising and marketing plans to the Board under separate cover.

Thank you for your consideration in this matter.

Respectfully submitted,



Christopher Korby
Executive Director

Cc: CARF Racing Fair CEO's
CHRB Executive Director

CALIFORNIA AUTHORITY OF RACING FAIRS
Proposed Racing Dates for CARF Member Fairs in Northern California
2014 AND 2015

2014

- | | |
|---|---|
| <ul style="list-style-type: none"> ◦ June 18-July 6 ◦ July 9-July 20 ◦ Aug. 6-Aug. 17 ◦ Sept. 17-Sept. 28 ◦ Oct. 1-Oct. 13 | <ul style="list-style-type: none"> Alameda County Fair at Pleasanton California State Fair Humboldt County Fair San Joaquin County Fair Fresno District Fair |
|---|---|

2015 – Scenario A

- | | |
|---|---|
| <ul style="list-style-type: none"> ◦ June 24-July 19 ◦ Aug. 5-Aug. 16 ◦ Aug. 19-Sept. 7 ◦ Sept. 23-Oct. 4 ◦ Oct. 7-Oct. 18 | <ul style="list-style-type: none"> Alameda County Fair at Pleasanton Humboldt County Fair California State Fair San Joaquin County Fair Fresno District Fair |
|---|---|

2015 – Scenario B

- | | |
|---|---|
| <ul style="list-style-type: none"> ◦ June 17-July 5 ◦ July 8-July 19 ◦ Aug. 5-Aug. 16 ◦ Sept. 23-Oct. 4 ◦ Oct. 7-Oct. 18 | <ul style="list-style-type: none"> Alameda County Fair at Pleasanton California State Fair Humboldt County Fair San Joaquin County Fair Fresno District Fair |
|---|---|

Please note that this proposed schedule shows inclusive calendar blocks proposed for each Fair. Specific racing dates may vary within those proposed block.

2014 CARF PROPOSAL - 2014 NORTHERN CALIFORNIA RACING DATES CALENDAR - PROPOSED

2013

December						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

RACE DAYS	
GGF - 11 California Race Days	156
Pleasanton	12
Cal Expo	8
Santa Rosa	8
Ferndale	8
Stockton	6
Fresno	9

FAIRS	51	SIMULCAST ONLY	52
GOLDEN GATE FIELDS	156		

TOTAL NORTHERN CALIFORNIA RACE DATES - 259

2014

January						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

9 6 6 9 5 10 4 18 3 12

2015 CARF PROPOSAL A - 2015 NORTHERN CALIFORNIA RACING DATES CALENDAR - SCENARIO A

2014

December						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
4				1		

RACE DAYS

GGF - Total Race Days	139
Pleasanton	15
Santa Rosa	8
Ferndale	8
Cal Expo	13
Stockton	6
Fresno	9

FAIRS	59	SIMULCAST ONLY	62
GOLDEN GATE FIELDS	139		

TOTAL NORTHERN CALIFORNIA RACING DATES 260

2015

January						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
19						4

February						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
16						4

March						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
17						4

April						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
17						5

May						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
19						4

June						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				
12						4

July						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
11						6

August						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
1						4

September						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			
14					5	3

October						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
9		3	5			7

November						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					
16						4

December						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
12						3

2015 CARF PROPOSAL B- 2015 NORTHERN CALIFORNIA RACING DATES CALENDAR - SCENARIO B

2014

December						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
4						1

RACE DAYS

GGF Total Race Days	156
Pleasanton	12
Cal Expo	8
Santa Rosa	8
Ferndale	8
Stockton	6
Fresno	9

FAIRS	51	SIMULCAST ONLY	53
GOLDEN GATE FIELDS	156		

TOTAL NORTHERN CALIFORNIA RACE DATES 260

2015

January						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
19						4

February						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
16						4

March						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
17						4

April						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
17						5

May						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
19						4

June						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				
8						8

July						
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			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
4			5	8		6

August						
S	M	T	W	T	F	S
						1
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9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					
7			4	8		8

September						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			
13			3			6

October						
S	M	T	W	T	F	S
				1	2	3
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11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
7	3	5				9

November						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					
16						4

December						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
12						3



a California joint powers agency

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www.calfairs.com

August 29, 2013

California Horse Racing Board
Attn: Ms. Jaqueline Wagner
1010 Hurley Way
Sacramento, CA 95825

Honorable Board Members:

As requested by Board staff, the California Authority of Racing Fairs respectfully submits the attached proposals for Northern California racing dates at CARF member Fairs (shown below) in 2014 and 2015.

- **Alameda County Fair**
- **California State Fair**
- **Humboldt County Fair**
- **San Joaquin County Fair**
- **Fresno District Fair**

Pursuant to the Board's request for submission of two-year calendar proposals, we are submitting a proposal for 2014 and two scenarios for Northern California dates in 2015. Calendar formats of our proposals are also attached.

Individual Fairs may also be submitting promotional, advertising and marketing plans to the Board under separate cover.

Thank you for your consideration in this matter.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Christopher Korby". The signature is stylized and cursive.

Christopher Korby
Executive Director

Cc: CARF Racing Fair CEO's
CHRB Executive Director

CALIFORNIA AUTHORITY OF RACING FAIRS
Proposed Racing Dates for CARF Member Fairs in Northern California
2014 AND 2015

2014

- | | |
|---------------------|-----------------------------------|
| o June 18-July 6 | Alameda County Fair at Pleasanton |
| o July 9-July 20 | California State Fair |
| o Aug. 6-Aug. 17 | Humboldt County Fair |
| o Sept. 17-Sept. 28 | San Joaquin County Fair |
| o Oct. 1-Oct. 13 | Fresno District Fair |

2015 -- Scenario A

- | | |
|-------------------|-----------------------------------|
| o June 24-July 19 | Alameda County Fair at Pleasanton |
| o Aug. 5-Aug. 16 | Humboldt County Fair |
| o Aug. 19-Sept. 7 | California State Fair |
| o Sept. 23-Oct. 4 | San Joaquin County Fair |
| o Oct. 7-Oct. 18 | Fresno District Fair |

2015 -- Scenario B

- | | |
|----------------------|-----------------------------------|
| o June 17-July 5 | Alameda County Fair at Pleasanton |
| o July 8-July 19 | California State Fair |
| o August 5-August 16 | Humboldt County Fair |
| o Sept. 23-Oct. 4 | San Joaquin County Fair |
| o Oct. 7-Oct. 18 | Fresno District Fair |

Please note that this proposed schedule shows inclusive calendar blocks proposed for each Fair. Specific racing dates may vary within those proposed block.

Wagner, Jacqueline

From: Christopher Korby [mailto:ckorby@calracing.com]
Sent: Monday, September 09, 2013 11:10 AM
To: Wagner, Jacqueline
Subject: Fw: CARF Proposals for Northern California Racing Dates in 2014 & 2015
Attachments: CARF - Proposed Northern Calif Fair Racing Calendars 2014-15 -as submitted to CHRB-Aug 2013.pdf; CARF Proposal for Northern California Fair Racing Dates 2014 & 2015 - Aug 29-2013.doc

Jackie,

Re-sending this letter and calendars. Just let me know if this is sufficient.

Best regards,
-Chris

----- Original Message -----

From: Christopher Korby
To: Jacqueline Wagner
Cc: John Alkire
Sent: August 29, 2013 4:26 PM
Subject: CARF Proposals for Northern California Racing Dates in 2014 & 2015

Jackie,

Please find attached proposals from the California Authority of Racing Fairs (CARF) for Northern California racing dates in 2014 and 2015.

We would appreciate it if you could confirm receipt of these proposals. Thank you.

Respectfully submitted,

Christopher Korby
Executive Director
California Authority of Racing Fairs

2014 CARF PROPOSAL - 2014 NORTHERN CALIFORNIA RACING DATES CALENDAR - PROPOSED

2013

December						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

RACE DAYS

GGF - Total Race Days	156
Pleasanton	12
Cal Expo	8
Santa Rosa	8
Ferndale	8
Stockton	6
Fresno	9

FAIRS	51	SIMULCAST ONLY	52
GOLDEN GATE FIELDS	156		

TOTAL NORTHERN CALIFORNIA RACE DATES - 259

2014

January						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

March						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
S	M	T	W	T	F	S
						1
	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

2015 CARF PROPOSAL A - 2015 NORTHERN CALIFORNIA RACING DATES CALENDAR - SCENARIO A

2014

December						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
4						

RACE DAYS

GGF - Total Race Days	139
Pleasanton	15
Santa Rosa	8
Ferndale	8
Cal Expo	13
Stockton	6
Fresno	9

FAIRS	59	SIMULCAST ONLY	62
GOLDEN GATE FIELDS	139		

TOTAL NORTHERN CALIFORNIA RACE DATES 260

2015

January						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
18						4

February						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
15						4

March						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
1						4

April						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
17						5

May						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						
15						4

June						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				
12						4

July						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
11						6

August						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					
7				4	8	8

September						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			
14				S	S	

October						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
9		31	5			7

November						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					
11						4

December						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
12						3

2015 CARF PROPOSAL B- 2015 NORTHERN CALIFORNIA RACING DATES CALENDAR - SCENARIO B

2014

December						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
4						1

RACE DAYS

GGF Total Race Days	156
Pleasanton	12
Cal Expo	8
Santa Rosa	8
Ferndale	8
Stockton	6
Fresno	9

FAIRS	51	SIMULCAST ONLY	53
GOLDEN GATE FIELDS	156		

TOTAL NORTHERN CALIFORNIA RACE DATES 260

2015

January						
S	M	T	W	T	F	S
						3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
4						

May						
S	M	T	W	T	F	S
						3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
4						

September						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			
3						6

February						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
4						

June						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				
4						8

October						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
7	8	9	5			9

March						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
4						

July						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
4				5	8	6

November						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					
4						

April						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
4						

August						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					
4				8		5

December						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
12						3