

CALIFORNIA HORSE RACING BOARD
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REGULAR MEETING

of the **California Horse Racing Board** will be held on **Thursday, October 18, 2012**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of September 20, 2012.**
2. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
3. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Del Mar Thoroughbred Club in the amount of \$149,232 to 16 beneficiaries.**
4. Public hearing and action by the Board regarding the **proposed addition of CHRB Rule 1927.1, Tampering with Smoke Detectors Prohibited**, to include penalties relative to tampering with fire safety equipment i.e. smoke detectors. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
5. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1843.2, Classification of Drug Substances**, to update the California Horse Racing Board's Penalty Categories Listing by Classification, to add and/or reclassify specified drug substances.
6. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1845, Authorized Bleeder Medication**, to implement the Racing Commissioners International (RCI) recommended model rule recommendations.
7. Discussion and action by the Board regarding the **2013 allocated race dates to Pacific Racing Association at Golden Gate Fields and the Humboldt County Fair at Ferndale and the overlap and host fee revenues associated with these 2013 race meetings.**

8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club (T) at Santa Anita Park Race Track**, commencing December 26, 2012 through April 21, 2013, inclusive.
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing December 26, 2012 through June 18, 2013, inclusive.
10. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H) at Cal Expo**, commencing December 26, 2012 through May 25, 2013, inclusive.
11. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Quarter Horse Racing Association (Q) at Los Alamitos Race Course**, commencing December 28, 2012 through December 22, 2013, inclusive.
12. Discussion by the Board regarding the **presentation from Betfair U.S., LLC, and its plans for exchange wagering in California.**
13. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Keith Brackpool, Chairman
David Israel, Vice Chairman
Steve Beneto, Member
Jesse H. Choper, Member
Bo Derek, Member
Richard Rosenberg, Member
Chuck Winner, Member
Kirk E. Breed, Executive Director
Jacqueline Wagner, Assistant Executive Director

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**PENDING LITIGATION
OCTOBER 2012**

SUPERIOR COURT LITIGATION

- A. Patrick Gleason vs. Anne Glasscock and the California Horse Racing Board**
Superior Court of California, County of Sacramento, Case No. 34-2010-00076781
- B. Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
- C. San Luis Rey Racing, Inc., vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- D. Alexander Sywak vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2011-800001021
- E. Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2008-00024426

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Sheraton Fairplex Conference Center**, 601 West McKinley Avenue, Pomona, California, on **September 20, 2012.**

Present: Keith Brackpool, Chairman
Steve Beneto, Member
Jesse H. Choper, Member
Bo Derek, Member
Richard Rosenberg, Member
Chuck Winner, Member
Robert Miller, General Counsel

MINUTES

Chairman Brackpool asked for approval of the minutes of the Regular Meeting of August 23, 2012. Commissioner Rosenberg **motioned** to approve the minutes. Vice-Chairman Israel **seconded** the motion, which was **unanimously carried**.

PUBLIC COMMENT

Chairman Brackpool stated there were no cards submitted by persons who wished to speak during the public comment period.

REPORT FROM THE MEDICATION AND TRACK SAFETY COMMITTEE.

Commissioner Derek stated the Medication and Track Safety Committee (committee) met on August 24, 2012. The committee discussed a number of items related to equine health, track safety and racing integrity. The committee endorsed a proposal to tighten procedures for the race day administration of Lasix. The proposal was based on an Association of Racing Commissioners International (RCI) Model Rule. Under the proposal Lasix would be the only authorized bleeder medication, which would eliminate estrogens. In addition, private practice

veterinarians would no longer be allowed to administer the medication on race day. Commissioner Derek added the program should reduce the cost to owners for Lasix administration. The committee also heard proposals to establish strict requirements for the renovation of dirt and synthetic track, as well as safety standards for all racing surfaces. The proposals were referred to the Medication and Track Safety Advisory Committee for further discussion. The committee heard a report from Dr. Sue Stover of UC Davis regarding progress in the development of a comprehensive program for continuing education of trainers and veterinarians as part of the CHRB-UC Davis Racing Injury Prevention Program. The continuing education program would be web based and available to all licensees.

DISCUSSION AND ACTION BY THE BOARD REGARDING BREEDERS' CUP RACES TO LIMIT AUTHORIZED BLEEDER MEDICATION TO FUROSEMIDE ADMINISTERED BY CHRB LICENSED VETERINARIANS APPROVED BY THE BREEDERS' CUP AND WHO ARE NOT OTHERWISE ATTENDING HORSES COMPETING IN THE BREEDERS' CUP RACES.

Commissioner Derek stated the purpose of the item was to reaffirm the Breeders' Cup race conditions as set forth in the Los Angeles Turf Club (LATC) fall license application. The conditions were: 1) No horse on the CHRB authorized bleeder medication list would be allowed to enter or start in a two year old Breeders' Cup championship race as a condition of the race; 2) Only CHRB licensed veterinarians approved by the Breeders' Cup would be allowed to administer CHRB authorized bleeder medication to horses entered in Breeders' Cup championship races; 3) The Breeders' Cup reserved the right to limit authorized bleeder medication to furosemide (Lasix/Salix) only in Breeders' Cup championship races. Chairman Brackpool stated various letters of support were received from the Breeders' Cup and LATC. The item before the Board was a reaffirmation of the Breeders' Cup conditions as set forth in the

LATC application for license to operate a race meeting, which was approved by the Board at its August 2012 Regular Meeting. Commissioner Choper **motioned** to reaffirm the Breeders' Cup conditions. Commissioner Winner **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO **CHRB RULE 1658, VESTING OF TITLE TO CLAIMED HORSE, WHICH ALLOWS A CLAIM TO BE VOID IF A CLAIMED HORSE SUFFERS A FATALITY DURING THE RUNNING OF THE RACE OR BEFORE IT IS RETURNED TO BE UNSADDLED.**

Commissioner Derek stated for about a year and a half the Medication and Track Safety Committee (committee) had been discussing various proposals regarding the amendment of Board Rule 1658, Vesting of Title to Claimed Horse. At the August 24, 2012 committee meeting a discussion was held regarding the procedural problems of when a horse must be euthanized, and when such an action would void a claim. During the meeting it was suggested that claims be voided for horses put on the veterinarian's list after racing. It was a standard procedure that was familiar to the veterinarians and stewards. Commissioner Derek stated the committee recommended the Board put the proposed amendment to Rule 1658 out for a 45-day public comment period. Commissioner Winner said the committee spent a significant amount of time working to arrive at a rule that would protect the horse and jockeys. The objective of claiming races ought not to be to find buyers for compromised horses, but instead to find the level at which horses could run and be competitive. The committee recognized that the proposed amendment was controversial, but it wanted to do the right thing for the horses and riders. Commissioner Winner stated he supported the committee's recommendation that the proposed amendment to Rule 1658 be put out for a 45-day public comment period. The committee and the Board's Equine Medical Director would be available to participate in discussions, take

recommendations and to try to find the best solution. Commissioner Winner **motioned** to put the proposed amendment to Rule 1658 out for a 45-day public comment period. Commissioner Rosenberg said he did not agree with the concept of putting a flawed proposal out for comment. The purpose of the 45-day public comment period was to vet language that the majority of the Board favored. He stated he opposed the language and he believed the original regulation should be reinstated. The statistics supplied by the Board's Equine Medical Director indicated under two percent of horses claimed were placed on the veterinarian's list as unsound or lame. Commissioner Rosenberg said he wondered what percentage of horses not claimed was also put on the veterinarian's list. The rule was not terrible, it was just impractical. Commissioner Derek stated it was the best language the committee could agree on. The comment period would provide an opportunity for additional input. Commissioner Beneto said he was not in favor of the proposed amendment. The rule would give the claimant two shots. If the horse was sound it would be claimed, if it came up lame he would give it back to the original owner. Under the traditional claiming rules if one put a claim in the box, one owned the horse; period. Horses broke down for many reasons. Commissioner Derek stated compromised horses were sometimes intentionally entered in claiming races. Chairman Brackpool said the proposal to amend Rule 1658 arose from an incident wherein a trainer celebrated because he had a horse claimed, but the horse sadly did not make it around the track and was euthanized. The purpose of the amendment was to remove the incentive for such behavior and to promote the integrity of the sport. Commissioner Beneto stated he believed the proposal would increase litigation and create confusion in the claiming process. Commissioner Winner said the committee did not believe the proposed regulation was flawed. The veterinarians who participated in the discussions believed the proposal was the best approach. In recommending that the proposed amendment be put out

for a 45-day public comment period the committee recognized that it was controversial, but that did not make it flawed. In the committee's view the proposal was the right approach. The committee was trying to protect the integrity of horse racing as well as the horse and rider. It was also trying to prevent trainers from entering damaged horses in claiming races. Those who were familiar with horse racing understood that claiming required the buyer to be aware, which was fine unless one was the jockey on the horse that broke down, or a jockey on another horse that went down because of the compromised horse. The committee looked at a lot of options, and it believed that the proposal before the Board was the best – recognizing the controversial nature of the issue. Commissioner Choper stated he believed the proposed amendment was improved with regards to clarity. The proposal should be put out for the 45-day comment period. Vice-Chairman Israel said the heart of the proposal was morality, which was a difficult thing to legislate. On the other hand, horse racing was a business that had thrived for a long time under the old regulation. He stated he believed the industry would be better served if the proposal was sent back to the committee for further input from the industry. The current regulation should stay in place while interested parties submitted comments that would result in refining the rule so it could be changed once and for all. Vice-Chairman Israel said the Board had not reached a consensus on the issue and he would like to see it move closer to unanimity because claiming was a crucial part of the industry. Darrell Haire of the Jockeys' Guild (Guild) stated his organization supported the proposed amendment to Rule 1658. The Guild believed it was an issue of safety, as some trainers ran horses that were compromised. Commissioner Rosenberg said the heart of the issue was that some trainers might try to enter horses that were damaged. He stated that was a vague term which could be defined in several ways. A horse that was obviously lame would be scratched by the veterinarians prior to the race. Horses were examined

twice. The term could mean the horse had hidden defects. An example would be a horse that had a break showing on an x-ray, but was not lame. The trainer might try to enter the horse in a claiming race. Commissioner Rosenberg spoke about one of his horses that had a sore ankle, but the x-ray showed nothing. After ten days the horse went back to Santa Anita and jogged. It was sound. However, the first time the horse worked it broke down. The horse was damaged because it broke down in the same area, yet the veterinarians did not identify the problem. He stated he believed the idea was impossible to define, and he agreed with Vice-Chairman Israel. The proposed amendment should be sent back to the committee. Commissioner Derek stated the issue had been in committee for over a year. Comment was heard from all interested parties and the statistics examined. The proposed amendment would affect approximately 30 to 33 horses a year, which was a small number for the potential integrity of the sport. Commissioner Choper said there were few trainers who would deliberately enter a compromised horse hoping it would be claimed. The perception amongst the racing public was different. When a horse had a big drop in class the perception was that something was wrong. The public might not know the horse was going to break down, but it would think it was in bad shape. Commissioner Choper asked what would happen if the proposal was not put out for public comment. Chairman Brackpool stated it would be sent back to the committee. Commissioner Choper said he believed the committee had thoroughly examined the issue, and it was time to send the proposal out for public comment. Vice-Chairman Israel stated the proposal was to prevent a few miscreants from using masking agents to try to hide the lameness in a horse from veterinarians so the horse could race. They were rolling the dice in the hope the horse would be claimed. If that happened and the horse broke down on the track, it became a big deal. The Board had to find a way to regulate the issue in a way that did not impede any trainer who dropped a horse in class only to have it

Proceedings of the Regular Meeting of September 20, 2012

7

come up lame in the middle of the race for no reason. There had to be a happy medium. Chairman Brackpool stated the committee started off trying to take care of the perception of a specific issue. There were language problems, and then the language was tightened up a bit. However, it appeared the proposal was starting to affect what claiming was all about. One of the basic tenants of claiming was: buyers beware. On the other hand, jockey safety was a big component of the Board's focus. Chairman Brackpool added that the California Thoroughbred Trainers suggested a completely different approach to claiming, which was worthy of debate. The approach was interesting and it deserved a separate discussion. Another suggestion was made by Vice-Chairman Israel who advised that a claim could be voided if the horse were no longer alive by a certain time after the race in which it ran. That would resolve any conflict over whether the horse left the track before dying. Commissioner Beneto said the proposed amendment would not prevent a trainer from running a compromised horse. Vice-Chairman Israel stated such trainers might be reluctant if they thought they might be stuck with significant veterinary fees because the horse was returned. Some trainers might think it would be better to wait to run a horse, or not run it at all. The regulation might cause people to think twice. Commissioner Winner said he agreed there were trainers who would risk entering a compromised horse. Or, they might try selling the horse in a private sale for less money, or retire the horse. He added the committee held extensive discussions regarding the regulation. It listened to veterinarians. It listened to researchers from UC Davis who explained that horses that broke down typically had a prior injury in the same place as the break. The committee evaluated many different options and concluded the proposed amendment was the best. Commissioner Winner stated he did not believe that spending more time on the issue would result in a better text. He added that just because one voted to send a proposed regulation out for public notice, it

did not mean one had to vote to adopt the proposal. Commissioner Derek said the proposed amendment was the one the veterinarians and racing officials were most comfortable with. They believed it had language that would alleviate a lot of risk of litigation. Commissioner Derek **seconded** the motion to put the proposed amendment to Rule 1658 out for a 45-day public comment period. The **motion** was **carried** with Commissioner Rosenberg voting “**no**”.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO THE CALIFORNIA HORSE RACING BOARD'S DEFINED CONTRIBUTION RETIREMENT PLAN FOR CALIFORNIA LICENSED JOCKEYS (PLAN) TO UPDATE THE LIFE EXPECTANCY TABLE INCLUDED IN THE PLAN.

Robert Miller, CHRB General Counsel, stated the proposed amendment to the CHRB Defined Contribution Retirement Plan for California Licensed Jockeys (Plan) was for the purposes of updating the Plan's life expectancy table. The amendment was proposed by the Jockeys' Guild (Guild). The Guild and Board staff believed the Plan's current life expectancy table was unrealistic. The life expectancy table was what determined the payouts, which made it important to have a realistic table. Darrell Haire of the Guild stated the Plan was working well, but the existing table was stretching payments over a long period of time. That meant if a jockey retired at 50, he could receive distributions for over 46 years. The proposed life expectancy table would provide distributions for 34 years. Commissioner Choper commented that if the Plan understated its capacity to cover the earlier, larger payments, it should appear before the Board before there was a crisis. Mr. Miller stated that was the obligation of the trustees. Commissioner Derek **motioned** to approve the proposed amendment to the Plan. Vice-Chairman Israel **seconded** the motion, which was **unanimously carried**.

REPORT FROM THE PARI-MUTUEL OPERATIONS COMMITTEE.

Commissioner Choper stated the Pari-Mutuel Operations Committee (committee) met on September 19, 2012. The committee discussed a dispute between Golden Bear Racing Association and Los Alamitos Quarter Horse Racing Association over funds derived from the advance deposit wagering (ADW) takeout. The parties spent over two hours discussing the issues, and each side strongly presented its respective position. Commissioner Choper said the issue came down to what the statute intended; however, the statute was less than clear. The discussions led to a compromise proposal which had to be vetted by others. That would not be completed until the near future. Commissioner Choper commented he hoped the compromise would work and the issue would not be back before the Board. Chairman Brackpool asked if the committee discussed no show wagering when there were still five horses in a field. Commissioner Choper said the committee did not discuss the issue. Chairman Brackpool stated he would like to see the issue on a future agenda as it was a subject on which the Board needed to be very firm.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF NORTHERN CALIFORNIA RACE DATES AND RELATED ISSUES FOR 2013.

Chairman Brackpool stated at the August 2012 Regular Board Meeting the parties told the Board they were approaching a consensus on the proposed 2013 Northern California Race Dates, but there were a few issues still to be resolved. He asked the parties to summarize where they were with regards to the disputed issues. Chris Korby of California Authority of Racing Fairs (CARF) said all the 2013 Northern California race dates were agreed on, with the possible exception of two weeks in August when the Humboldt County Fair (HCF) would run concurrently with Golden Gate Fields (GGF). Chairman Brackpool stated the issue could be summarized by

Proceedings of the Regular Meeting of September 20, 2012

10

saying the parties were not arguing over the race dates, they were disagreeing over the monetary value of those dates. Mr. Korby said that based on past solutions it was a combination of both. Lou Raffetto of Thoroughbred Owners of California (TOC) stated his organization worked hard to do what it could for Northern California racing. The TOC Board voted to support GGF to run the additional days because of its loss of revenue in the previous year. In 2011 TOC subsidized HCF with \$59,000 from the arrangement with Del Mar Thoroughbred Club (DMTC) and an additional \$23,000 from previous legislation. Mr. Raffetto said the TOC would work with the parties to bring the 2013 Northern California race dates issue to a resolution, but it also wanted to do whatever it could to support GGF so it could survive. Jim Morgan, counsel to HCF, stated other CARF race meetings were without overlap. They had access to all commissions and the revenues generated by running without competition. The HCF meeting was small. It was told and persuaded that the thoroughbred industry as a whole made additional revenue when GGF overlapped HCF. The 2012 race dates were the result of a brokered compromise that worked for everyone. The TOC, GGF and DMTC recognized increases in revenues when GGF raced an overlap with HCF. As a result they participated in providing HCF with a lump sum in lieu of a percentage of the host fee commissions. The total amount received from the three parties by HCF was \$155,000. That allowed HCF to have sustaining revenues. Mr. Morgan stated HCF did not agree the funds were a subsidy; instead, HCF believed the funds were revenue sharing that replaced the revenue the other race meetings and the CARF meeting received as a result of no overlap. He said HCF contended that if it could not prosper by having half of its meeting without overlap as it did in 2010, it could not survive having no overlap and no revenue sharing as in 2011. HCF thought the permanent solution was a similar revenue sharing arrangement based on the enhanced revenues to the entire industry which resulted when GGF overlapped the

Proceedings of the Regular Meeting of September 20, 2012

11

HCF weekends. In 2013 GGF wanted to also overlap HCF on Wednesdays and Thursdays. HCF could not survive if that were to occur because it would not have horses. Unlike any other meeting, HCF was double overlapped by the Stronach group due to Portland Meadows in the north and GGF in the south. HCF used to rely on up to 60 horses from Oregon. In 2012 HCF only had two horses from Oregon because of the Portland Meadows overlap. Mr. Morgan reiterated that HCF believed the 2011 agreement was a win-win for the industry because it allowed HCF to have sustaining revenues. If, in 2013, HCF had overlap it would again need access to such revenues, and it believed it could reach an agreement with the help of the Board. Commissioner Choper asked if HCF had tried to broker an agreement. Mr. Morgan stated HCF sent written communications to the principles but had not received a response. Chairman Brackpool commented that the parties did not hesitate to give him their positions. It seemed they were loath to talk to each other. Joe Morris of GGF stated the parties were holding discussions regarding the Northern California racing model, which needed to be fixed. In June of 2012 GGF, the TOC and CARF held meetings, and also had subsequent conference calls to talk about the schedules. The deal brokered in 2011 did not work well for GGF. The compromise was a one-year situation that came about because the state took the subsidies from all the fairs. For HCF that meant a loss of \$180,000. The industry agreed to work together so HCF would have time to develop a way to run the fair without the industry subsidy. Commissioner Choper asked if the state subsidy would be missing in 2013. Mr. Morris said HCF would not receive funds from the state. Vice-Chairman Israel stated it seemed the dispute was between CARF and HCF. He said CARF represented all the other fairs when they applied for race dates, and if HCF was overruled by the Board and could not generate enthusiasm within CARF, it was not the Board's responsibility to fix that. Mr. Morgan stated the problem arose when GGF expanded its meeting

to overlap with HCF. He added every fair relied on its days without overlap. Mr. Korby said there was a long history in Northern California of overlapping race dates. He added Bay Meadows overlapped HCF for many years, and prior to that there were fair meetings that overlapped HCF. The problem was worked through with a combination of date changes and some brokered arrangements worked out on an ad hoc basis. A long-term solution was needed. Chairman Brackpool stated in 2011 he brokered a compromise between the parties, but on the condition that HCF return to the Board and demonstrate that it was on the path of a self-sustaining model. He commented that looking at the HCF application he could not tell if HCF raised \$45,000 or \$100,000 in local sponsorships. Mr. Morris said HCF raised \$126,000. Chairman Brackpool stated that was a good start. With the Board's consent he would be prepared to broker a resolution for 2013 with the understanding that it would be on a sliding scale from where HCF was last year. That would mean HCF had to take less from the industry and provide more of its own sustenance. Commissioner Beneto asked why GGF was overlapping HCF. Mr. Korby stated the primary reason was that the GGF meeting was a continuation a Bay Area track running in the same calendar slot as Bay Meadows. Commissioner Beneto asked if HCF was being treated fairly. Mr. Korby said HCF was being treated the same as any other track with regards to advance deposit wagering revenues. He added HCF also received a 1.75 percent distribution from any northern track running concurrently. Commissioner Beneto said he understood GGF paid HCF over \$50,000. He asked what HCF wanted out of the current negotiations. Mr. Morgan stated HCF wanted the same revenue sharing the industry received when GGF overlapped HCF. Otherwise, HCF had no access to host commissions. Mr. Morgan cited precedent involving Cal Expo, the San Joaquin County Fair and the Big Fresno Fair. He added all HCF wanted was an equitable share.

Commissioner Choper said Chairman Brackpool was willing to broker another compromise. He added the current discussion might provide a little more information, but it could be counterproductive to the mediation. The condition that HCF find a way to be self-sustaining was necessary so the parties would not return to the Board every year. Commissioner Choper stated the parties should go ahead and meet with Chairman Brackpool. Vice-Chairman Israel **motioned** to approve the 2013 Northern California racing calendar with the condition that the GGF and HCF race dates in question and the distribution of a percentage of host fees be left for negotiation. Chairman Brackpool stated the motion would allow the parties to start planning for 2013, but if the parties could not reach an agreement, the Board would provide a solution as to who would host the dates in question at a future Regular Meeting. Mr. Morgan said HCF would return to the Board with a plan that would solve the problem, and in the mean time something could be crafted that would prevent the issue from returning. Chairman Brackpool stated there was little the Board could do regarding Portland Meadows, but it could try to bring a sense of equity and a continuing path to sustainability for HCF. He said he would work with the parties to reach a compromise, or the Board would make a decision regarding host dates at a future Regular Meeting. Chairman Brackpool stated the motion would approve the 2013 Northern California racing calendar with two conditions. The parties would try to reach a consensus compromise, and if that were not possible, the Board would make a ruling on the Wednesdays and Thursdays in question, and it would decide the host issue. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE HOLLYWOOD PARK FALL RACING ASSOCIATION, LLC AT BETFAIR HOLLYWOOD PARK RACE TRACK, COMMENCING NOVEMBER 5, 2012 THROUGH DECEMBER 24, 2012.

Dyan Grealish of Betfair Hollywood Park Race Track (BHPRT) stated her organization was working with TVG-Betfair on initiatives with an emphasis on the Latino market. The initiatives were based on a segmentation profile of BHPRT's current customers. The profile demonstrated that BHPRT skewed approximately 40 percent to the Latino marketplace. The initiatives were designed to grow that penetration and to drive more wagering from the sector. One initiative was Fiesta Fridays where special Mexican restaurant menus and specials on beverages would be offered. Ms. Grealish stated another initiative with TVG-Betfair would be a Pick 6 Saturday with a lot of television coverage from TVG. If the pool hit \$200,000 happy hour would open with \$1.00 beers and sodas and hot dogs for an hour. Free past performances would be added to the Saturday racing program in an effort to create customer value at the live meeting. BHPRT would pay a premium to Equibase to offer the free past performances, which would only be available on the on-track program. As an additional inducement to play the Pick 6 wager a free Prius would be awarded if there was a single winning ticket. Commissioner Winner stated he was very pleased with the BHPRT plan. He said he raised some issues in a discussion with Ms. Grealish. One of them was whether there was any research that indicated how the Latino community wagered. Was there any evidence that focusing promotions on the Latino community would result in an increase in the handle? Ms. Grealish said BHPRT used a vendor called Ethnic Technologies who was able to provide a lot of data. In addition, the BHPRT database administrator tracked wagers from the past five years. BHPRT had almost 38,000 Hispanic Gold Club card members. Of that number 1,800 were tracking wagers. That meant BHPRT needed to provide an incentive for more Gold Card club members to wager. BHPRT

Proceedings of the Regular Meeting of September 20, 2012

15

noticed that when it held a drawing of any type there was a lift in sign-ups of Gold Card members. BHPRT was offering a drawing for a voucher on its Fiesta Fridays, and it was hosting Spanish-language handicapping seminars. Commissioner Winner said he and Ms. Grealish also discussed the appearance of an overemphasis on print advertising. He asked what BHPRT thought about that. Ms. Grealish stated she consulted the vendor who recommended the print buy. The vendor informed her that if it were Santa Anita, the target audience would be more representative of the general population. However, because BHPRT skewed to a Hispanic market there was more of a propensity for the 45-year old male to read a newspaper. In addition the vendor pointed to a study that indicated 70 percent of the market believed print ads were more trustworthy than radio or newspaper advertisements. Commissioner Winner said BHPRT was reducing the number of concerts. He asked if the remaining concerts would appeal to the Latino community. Ms. Grealish stated BHPRT was still working on its plan, but it was going to contact a vendor who worked on Latino entertainment for BHPRT in the past. Commissioner Winner asked if BHPRT was talking to any Mexican or Central American jockeys for promotional appearances. Ms. Grealish said BHPRT was talking to some jockeys, but it would be premature to make any announcements. Chairman Brackpool asked if the fall 2011 meeting had an under or over payment. Bernie Thurman of BHPRT said there was a slight underpayment of about \$133,000 which was being carried forward to the fall 2012 meeting. Eual Wyatt of BHPRT stated there was a misunderstanding regarding the BHPRT ambulance service. He said BHPRT did not have two life support units as reported in the application for license. It actually had one life support unit that was manned by two paramedics. Chairman Brackpool **motioned** to approve the application for license to operate a race meeting of BHPRT. Vice-Chairman Israel **seconded** the motion, which was **unanimously carried**.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF ARTICLE 27, EXCHANGE WAGERING AND THE FOLLOWING PROPOSED CHRB RULES GOVERNING EXCHANGE WAGERING IN CALIFORNIA: CHRB RULE 2086, DEFINITIONS; 2086.1, AUTHORIZATION FOR EXCHANGE WAGERING; 2086.5, APPLICATION FOR LICENSE TO OPERATE EXCHANGE WAGERING; 2086.6, OPERATING PLAN; 2086.7, EXCHANGE WAGERING DATA; 2086.8, MONITORING SYSTEMS AND NOTIFICATION; 2086.9, FINANCIAL AND INTEGRITY SECURITY AUDITS REQUIRED; 2087, SUSPENDING MARKETS; 2087.5, ANTEPOST MARKET; 2087.6, CANCELLATION OF MATCHED WAGERS; 2088, DECLARED ENTRIES; 2088.6, CANCELLATION OF UNMATCHED WAGERS; 2089, ERROR IN PAYMENTS OF EXCHANGES WAGERS; 2089.5, REQUIREMENTS TO ESTABLISH AN EXCHANGE WAGERING ACCOUNT; 2089.6, DEPOSITS TO AN EXCHANGE WAGERING ACCOUNT; 2090, POSTING CREDITS FOR WINNINGS FROM EXCHANGE WAGERS; 2090.5, DEBITS TO AN EXCHANGE WAGERING ACCOUNT; 2090.6, WITHDRAWALS BY ACCOUNT HOLDER; 2091, CLOSING AN INACTIVE EXCHANGE WAGERING ACCOUNT; 2091.5, SUSPENDING AN EXCHANGE WAGERING ACCOUNT; 2091.6, POWERS OF THE BOARD TO REVIEW AND AUDIT RECORDS; 2092, EXCHANGE WAGERS PLACED AFTER THE START OF A RACE; 2092.5, PROHIBITIONS ON WAGERS TO LAY A HORSE TO LOSE; 2092.6, SUSPENSION OF OCCUPATIONAL LICENSE AND RULE 2093, CERTAIN PRACTICES RELATED TO EXCHANGE WAGERING.

Commissioner Rosenberg said the Exchange Wagering Ad Hoc Committee (committee) met on August 22, 2012 to discuss the proposed exchange wagering regulations. The committee directed interested parties to submit specific rule changes to staff not later than close of business on August 31, 2012. The specific rule changes would be vetted and if accepted they would be incorporated into the current regulations. The Board would then accept or reject the recommended changes and initiate a public comment period. Commissioner Rosenberg said the committee believed the changes were minor and that they qualified for a 15-day public comment period. Vice-Chairman Israel stated the one exception was Rule 2092.5, Prohibitions on Wagers to Lay a Horse to Lose. There were two versions of the regulation. The first version, or version "A", stipulated a number of persons who could not lay a horse to lose. They included the trainer of the horse, assistant trainer, or substitute trainer; the owner of the horse; the authorized agent who represented the owner; the jockey or driver who rode or drove the horse; the jockey agent

who represented the jockey; the valet who attended the jockey; any stable employees of the trainer; the veterinarian or assistant to the veterinarian who attended the horse; as well as others who might have knowledge about the horse. Vice-Chairman Israel said Commissioner Rosenberg favored version "A". The alternative version, or version "B", would stipulate that no member of the Board, or any person who held a valid CHRB occupational license, or any employee or contract employee of the Board, could place an exchange wager to lay a horse to lose. Commissioner Beneto asked if the spouse of a licensee could place such a wager. Vice-Chairman Israel said the regulation prohibited any of the persons listed from instructing another person to make such a wager on their behalf. Carlo Fisco of the California Thoroughbred Trainers (CTT) stated the CTT had some ongoing concerns about the regulations that it would forward during the 15-day public comment period. He added that the ongoing process had greatly reduced the number of the CTT's concerns. Richard Specter, on behalf of the Los Angeles Turf Club (LATC) and Pacific Racing Association (PRA), stated his organization previously submitted comments regarding the act in general. He said he would address Rule 2086, Definitions, and Rule 2089.5, Requirements to Establish an Exchange Wagering Account. As the regulations were presently written they prevented anyone but residents of California and New Jersey from placing an exchange wager at a racetrack. Mr. Specter said the Board could not pass regulations that were arbitrary or discriminatory, and the distinction in the proposed regulations was simply illogical. There was no reason a person could make a traditional pari-mutuel wager, even though his state of residence did not allow it, but the same person could not place an exchange wager because his state did not allow it. Mr. Specter stated LATC and PRA would like the Board to reconsider its comments. Darrell Haire of the Jockeys' Guild (Guild) said his organization thanked the Board for modifying Rule 2092.6, Suspension of Occupational

License, as the Guild requested. He stated the Guild believed the modifications would help protect licensed personnel from inappropriate discipline. Kevin Bolling of the California Thoroughbred Horsemen's Foundation (CTHF) said his organization was disappointed that its comments were not incorporated in Article 27, Exchange Wagering. Funding for the health and welfare of backstretch workers and their families was an important issue. He stated the CTHF would work with the race tracks and trainers organizations to influence the negotiation of exchange wagering distributions. John Hindman of Betfair/TVG said his organization strongly supported version "A" of Rule 2092.5. In any given month 60 percent of persons who wagered on Betfair's exchange wagering platform placed both back wagers and lay wagers. That was because the exchange allowed account holders to trade and manage risk. Version "A" clearly prohibited anyone who would have any chance to influence a horse in a race from placing a lay wager. With regards to on-track wagering, the statute required that exchange wagering be done through an account, which would be based on residency. The regulation tracked exactly what the statute required. Chairman Brackpool stated his understanding of the statute was that one could only place an exchange wager if one were a resident of California or a resident of a jurisdiction that allowed exchange wagering. Jacqueline Wagner, CHRB staff, said that was correct. In proposing the regulation staff complied with the statute, Business and Professions Code section 19604.5. Chairman Brackpool asked about the comments given by the CTHF. Vice-Chairman Israel stated the statute required such distributions to be made in accordance with the agreement negotiated by the exchange provider and the appropriate race track and horsemen's organization. Under the statute the Board could not require a distribution; it had to be negotiated by the parties. Chairman Brackpool said that meant every license application would need to be mindful of such distributions if the parties wanted the Board's support. Vice-

Chairman Israel stated he believed the majority of the proposed regulations were effective and the right way to proceed. The Board was required to promulgate regulations and that was what it was doing. With regards to Rule 2092.5, Vice-Chairman Israel stated he supported version "B". He supported the regulation because it was absolutely wrong for anyone involved in horse racing to wager against a specific horse. Under current pari-mutuel wagering when one placed a wager one was obviously betting all the other horses would fail, but one was not singling out a specific horse to lose. Allowing anyone connected with horse racing to place a lay wager raised questions about the use of inside information. The numbers of persons who would be prohibited from placing lay wagers was small, and if licensees had to give up that right to hold an occupational license, it was a small price to pay for the integrity of the sport. Commissioner Rosenberg stated the legislature enacted the exchange wagering statute. It was the Board's job to promulgate regulations. One of the fundamentals of exchange wagering was backing and laying horses. To prevent owners, trainers and others from making lay wagers would destroy the purpose of exchange wagering. Commissioner Rosenberg said Vice-Chairman Israel was legitimately worried about public perception. The argument was that information about a horse could be given out. However, to prevent someone from placing a lay wager simply because they hold an occupational license was absurd. The entire industry – including wagering - was based on information. Tracks sold tip sheets that gave information about the horses. That information was gathered by people who watched the workouts and talked to people around the backside. To go with version "B" of Rule 2092.5 would be detrimental. Vice-Chairman Israel stated most trainers had public stables with multiple owners. It would be very easy for a trainer to provide an owner with information about a competing horse. That owner could then take the information and make a lay wager. That should not happen, and to prevent such actions, every licensee and

others involved in horse racing should be excluded from laying a horse to lose. Commissioner Beneto said horse racing television was constantly talking about one horse or another. The television stations were handicapping for the fans. He asked how that would be handled in light of exchange wagering. Would the announcer state a horse would not win a race, so place a lay wager? Vice-Chairman Israel said he did not know how the horse racing television channels would handle exchange wagering. Chairman Brackpool stated that might be a question for the exchange provider when a license application was before the Board. There were no proposed rules preventing a television broadcaster from saying anything about a horse. Commissioner Beneto commented the television channels did a good job for the wagering public. They gave full histories and interviewed trainers. Commissioner Winner said he wanted to make sure Rule 2087.5, Antepost Market, required a lay wager as well as someone to back the wager. Mr. Hindman stated the rule used the term "exchange wagering" which by definition included both backing and laying. That meant the antepost wager would allow both. He commented the wager worked like a day-of-race wager except for the two factors that determined if the wager was successful: would the horse run, and would it win-place-or show? Commissioner Winner said with respect to Rule 2092.5, he supported version "B" as he was concerned about the integrity of the sport and the perception that some persons might use inside information to gain an advantage. Exchange wagering could present huge problems so the industry had to be careful and diligent. When it came to protecting the integrity of the sport, the notion of going to an extreme was acceptable. Commissioner Choper said the Board could have all the rules in the world, but if someone wanted to cheat they would cheat. The owners and trainers had enough public exposure that they could tell someone else to place a wager. Under version "A" of Rule 2092.5 the list of persons prohibited from placing lay wagers was comprehensive enough.

Chairman Brackpool thanked everyone involved for the work that went into crafting the proposed exchange wagering regulations. He stated the Board's job was to promulgate regulations. With respect to the two versions of Rule 2092.5, Chairman Brackpool said he did not believe morality could be legislated. The issue of perception was very serious, but under exchange wagering account holders left clear trails of their actions. Version "A" let more persons wager, but how and when they wagered would be evident. Chairman Brackpool stated he would rather see what was going on than encourage people to go into a back alley and make a transaction. He said for that reason he would vote for version "A" of Rule 2092.5. Commissioner Winner stated he understood why Chairman Brackpool was in favor of version "A", but an electronic trail was after the fact. He said he was not worried about catching those who cheated; instead, he wanted to prevent cheating in the first place. Having a regulation in place that prevented licensees from placing lay wagers made it more likely that fewer licensees would place such wagers. Chairman Brackpool **motioned** to notice for public comment version "A" of Rule 2092.5. Commissioner Beneto **seconded** the motion, which was **carried** with Commissioner Winner and Vice-Chairman Israel voting "**no**". Commissioner Choper **motioned** to notice the proposed changes to the exchange wagering regulations for a 15 day public comment period. Commissioner Rosenberg **seconded** the motion, which was **unanimously carried**.

MEETING ADJOURNED AT 11:41 A.M.

Proceedings of the Regular Meeting of September 20, 2012

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

OCTOBER 18, 2012 **REGULAR BOARD MEETING**

There is no board package material for Item 2

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE
DEL MAR THOROUGHBRED CLUB RACING ASSOCIATION IN THE AMOUNT OF
\$149,232 TO 16 BENEFICIARIES

Regular Board Meeting
October 18, 2012

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds therefrom to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

ANALYSIS

The Del Mar Thoroughbred Club Board of Directors is requesting approval to distribute its race day charity proceeds generated during the 2011 race meeting. The Del Mar Thoroughbred Club is distributing \$149,232 to designated charities.

Staff notes that approximately 92.6 percent of the proposed race day charity proceeds distribution will be given to horse racing related organizations. The Del Mar Thoroughbred Club distribution is \$16,074 more than the minimum required by law.

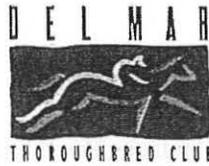
RECOMMENDATION

This item is presented for Board discussion and action.

CHRB ANALYSIS

Del Mar Thoroughbred Club 2011 Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Permanently Disabled Jockey Fund*	30,000	20%	minimum of 20%	d
2	CA. Center for Equine Health and Performance*	25,000	17%		a
3	Race Track Chaplaincy/ Southern California*	15,000	10%		
4	Winners Foundation*	12,500	8%	minimum of 5%	c
5	Edwin J. Gregson Foundation*	10,000	7%		a
6	University of Arizona Racetrack Industry Program*	10,000	7%		a
7	CA Thoroughbred Horsemen's Foundation*	7,750	5%	minimum of 5%	b
8	Tranquility Farms*	5,000	3%		a
9	Racing and Medication Testing Consortium*	5,982	4%		
10	Grsyson-Jockey Club Research Foundation*	5,000	3%		
11	CA Equine Retirement Foundation*	5,000	3%		
12	Don Diego Scholarship Fund	5,000	3%		
13	CA Thoroughbred Foundation*	4,000	3%		
14	San Diego Hospice	3,000	2%		
15	Children's Hospital of San Diego	3,000	2%		
16	CA Retirement Management Account (CARMA)*	3,000	2%		
	Total	149,232	100%		
			93%	minimum of 50%	e
	Notes:				
	a	20% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19556 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.			
	* Associations related to horse racing industry				
	Background information for each organization is attached.				



October 4, 2012

Ms. Jackie Wagner
 Assistant Executive Director
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

Dear Ms. Wagner:

The Del Mar Thoroughbred Club Board of Directors has allocated charity day proceeds as set forth below for the California Horse Racing Board's consideration and approval:

Permanently Disabled Jockeys Fund*	\$30,000
California Center for Equine Health and Performance*	25,000
Race Track Chaplaincy/ Southern California*	15,000
Winners Foundation*	12,500
Edwin J. Gregson Foundation*	10,000
University of Arizona Racetrack Industry Program*	10,000
California Thoroughbreds Horsemen's Foundation*	7,750
Racing and Medication Testing Consortium*	5,982
Grayson-Jockey Club Research Foundation*	5,000
California Equine Retirement Foundation *	5,000
Tranquility Farms*	5,000
Don Diego Scholarship Fund	5,000
California Thoroughbred Foundation*	4,000
San Diego Hospice	3,000
Children's Hospital of San Diego	3,000
California Retirement Management Account (CARMA)*	<u>3,000</u>
TOTAL:	<u>\$149,232</u>
Equine Related Charities*	\$138,232
Non - Equine Related	\$11,000
Percentage of Equine Related Charities	92.6%

Attached please find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information please contact Josh Rubinstein or me.

Very truly yours,

Joe Harper
 Chief Executive Officer

**Del Mar Thoroughbred Club
Charitable Organization Descriptions**

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and others. It is a public charity that currently provides financial assistance to approximately 60 former jockeys who have suffered catastrophic on-track injuries. It recently was the winner of the National Turf Writers and Broadcasters prestigious Joe Palmer Award for its exceptional work. Nancy LaSala is the group's president. (Contact phone: 630-595-7660; www.pdjf.org/)

California Center for Equine Health and Performance – The Center for Equine Health (CEH) was established at University of California Davis School of Veterinary Medicine in 1973. The primary purpose of CEH is to serve as an administrative and academic umbrella for research into means and methods of improving equine health and performance. In conjunction with this, it produces a series of publications that disseminate its findings and pertinent information. Additionally, it serves as the West Coast's quarantine and treatment station for the potentially devastating venereal disease contagious equine metritis. The center is headed by the renown veterinarian Gregory L. Ferraro (Contact phone: 530-752-6433; glferraro@ucdavis.edu) www.vetmed.ucdavis.edu/ceh/

Winners Foundation – The Winners Foundation was founded in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through the group, which has aided and guided thousands of individuals since its inception. It is currently headed by Bob Fletcher. (Contact phone: 626-945-0880; <http://winnersfoundation.org/>)

Edwin J. Gregson Foundation – The foundation has a primary goal of benefitting and enhancing the quality of life of California's backstretch workers and their families. It was originally founded by the California Thoroughbred Trainers (CTT) in 1998 and renamed in memory of veteran trainer and past CTT president Edwin J. Gregson, who was the driving force behind its start. Notable among its benefits are the college scholarships it provides annually to family members of backstretch workers. Jenine Sahadi currently heads the foundation. (Contact phone: 626-447-2145; www.gregsonfoundation.com)

University of Arizona Racetrack Industry Program – The program, which was established as part of the University of Arizona's Animal Science Department in 1974, was the first of its kind to train young men and women to become future leaders in the racing industry. Since its inception it has produced more than 500 graduates for the pari-mutuel industry in areas of expertise ranging from the frontside to the backside. It also hosts the largest gathering of racetrack executives and leaders annually at its "RTIP Symposium" in Tucson in December. Doug Reed is the director of the program. (Contact phone: 520-621-5660; <http://ag.arizona.edu/rtip/>)

Race Track Chaplaincy / Southern California(RTCA/SC) – The RTCA/SC is a nonprofit organization that was established in 1971, dedicated to providing excellence for the spiritual, emotional, physical and social/educational needs of horse racing's workers. RTCA Southern California is an affiliate of Race Track Chaplaincy of America, which has 77 chaplains serving over 117 race tracks throughout the United States and Canada. The RTCA/SC provides services

to horse racing workers at Santa Anita, Hollywood Park, Pomona and Del Mar. Joe Herrick is RTCA/SC's President. (Contact phone: 626-574-6438; <http://rtcasc.com/>)

Racing Medication and Testing Consortium -- Founded in 2000 by a cross-section of racing leaders, the Racing Medication and Testing Consortium (RMTTC) has a primary goal of developing and promoting uniform rules, policies and testing standards at the national level for racehorses. The tax-deductible organization seeks to coordinate research and educational programs to ensure the integrity of racing and the health and welfare of racehorses and participants, as well as to protect the interests of the racing public. It is currently governed by a Board of Directors consisting of 25 racing industry stakeholders. Its executive assistant for administration is Rebecca Hills. (Contact phone: 859-224-2844; www.rmtcnet.com/)

California Thoroughbred Horsemen's Foundation -- The California Thoroughbred Horsemen's Foundation (CTHF) is a non-profit charitable foundation dedicated to improving the quality of life in the community of stable workers at California's racetracks and training centers. It was founded in 1983 and, among its other projects, currently conducts Monday through Friday medical and dental clinics in both Northern and Southern California that are free for stable workers and their families. Kevin Bolling is the executive director of CTHF. (Contact phone: 626-446-0196; www.cthf.info/)

California Equine Retirement Foundation -- The California Equine Retirement Foundation (CERF) was founded in 1986 by a former teacher and racing fan named Grace Belcoure, who since has dedicated her life to it. It provides charitable assistance and care to retired race and performance horses, either through permanent retirement or rehabilitation into other careers. It was recently accredited by the Global Federation of Animal Sanctuaries. It has cared for more than 300 horses since its inception and currently houses between 70 and 75 resident horses at its ranch in Winchester, CA. Grace Belcoure - Founder (Contact phone: 951-926-4190; www.cerfhorses.org/)

Don Diego Fund -- Tom Hernandez created the role of the dashing Don Diego and was the legendary host of the San Diego County Fair from 1947 until his death in 1984. The fund was created in his memory and provides college scholarships for outstanding San Diego Country high school students who have participated in the annual Fair or other events associated with the Del Mar Fairgrounds. To date, the tax-exempt organization has distributed more than a half-million dollars in scholarships. Chana Mannen is its executive director. (Contact phone: 858-792-4210; cmannen@sdfair.com) <http://www.dondiegofund.org/>

Tranquility Farm -- Founded in 1998, Tranquility Farm is currently a home to more than 100 retired Thoroughbreds for rehabilitation, retraining or comfortable retirement. The facility, founded by horse owner and breeder Gary Biszantz to honor his late father Harry, has been a safe haven for hundreds of former racehorses in the mountain valley of Tehachapi, CA, and has aided in the adoption and rescue of many of its equine residents. Priscilla Clark oversees the farm. (Contact phone: 661-823-0307; www.tranquilityfarmtbs.org)

California Retirement Management Account (CARMA) -- CARMA is a charitable 501 c 3 organization created to raise money for retired racehorses. Their mission is to assist Thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Madeline Auerbach is CARMA's chairperson. (Contact phone: 626-574-6622; www.carma4horses.org)

California Thoroughbred Foundation – This non-profit, founded in 1958, funds education, research and development in equine-related projects in many areas of California's Thoroughbred industry. Its annual veterinary scholarships for students at UC Davis are highly coveted – two to senior undergraduates, as well as a fellowship to a graduate student pursuing a doctorate. Additionally, the CTF equips and maintains the Carlton F. Burke Memorial Library at the California Thoroughbred Breeders' Association headquarters in Arcadia, a notable historical resource of more than 10,000 volumes. James Murphy is a contact person for CTF. (Contact phone: 626-445-7800; <http://ctba.com/foundation-and-library>)

Grayson-Jockey Club Research Foundation – The Grayson-Jockey Club Research Foundation is perhaps the best-known non-profit charitable foundation committed to the advancement of research to enhance the health and soundness of horses of all breeds. Originally founded in 1940 as the Grayson Foundation, it merged with The Jockey Club research foundation in 1989 and has since distributed millions of dollars for hundreds of projects both nationally and overseas that have aided key equine research needs. Edward L. Bowen is President and contact for the foundation. (Contact phone: 859-224-2851; www.grayson-jockeyclub.org/)

Children's Hospital of San Diego – Officially called Rady Children's Hospital San Diego, it opened in 1954 to receive its first 12 patients. Since then, nearly two million sick and injured children have been treated at the state-of-the-art facility. It is San Diego County's only hospital dedicated strictly to pediatrics and the region's designated pediatric trauma center. The hospital boasts nationally renowned physicians, advanced research and innovative treatments. It is considered among the best children's hospitals in the world. Albert Oriol is the Chief Information Officer and Vice President. (Contact phone: 858-576-1700; www.rchsd.org/)

San Diego Hospice – The San Diego Hospice and Institute for Palliative Medicine helps families deal with life-limiting illnesses, addressing their physical, emotional and spiritual needs through support and practical help. Their goal is to prevent and relieve suffering and promote quality of life at every stage of life, notably the final ones. They are a non-profit organization currently headed by Kathleen Pacurar, their president and chief executive officer. (Contact phone: 1-866-688-1600; www.sdhospice.org/)

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
THE PROPOSED ADDITION OF CHRB
RULE 1927.1, TAMPERING WITH SMOKE DETECTORS PROHIBITED
TO INCLUDE PENALTIES RELATIVE TO TAMPERING WITH FIRE
SAFETY EQUIPMENT I.E. SMOKE DETECTORS

Regular Board Meeting
October 18, 2012

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 states that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering, and administration and enforcement of all laws, rules and regulations affecting horse racing and pari-mutuel wagering. Business and Professions Code section 19460 provides that all licenses granted under this chapter are subject to all rules, regulations and conditions from time to time prescribed by the Board. Business and Professions Code section 19481 states that in performing its duties the Board shall establish safety standards governing track facilities to improve the safety of horses, riders and workers at the racetrack. Board Rule 1927, Fire Prevention, states associations shall make adequate provision for fire prevention, protection against fire, and fire suppression within the inclosure. A reasonable standard of fire safety shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped with an automatic sprinkler system and an automatic fire alarm system.

Fire safety is a continuing issue within the inclosure. To protect humans and equines the Board requires that racing associations install and maintain sprinkler systems and fire alarms. Racing associations are also required to undergo annual fire inspections, and periodic safety inspections. The disabling of smoke detectors is a problem that occurs especially in habitable rooms used for sleeping. Rule 2103, Habitable Rooms, requires such rooms to be provided with battery operated smoke detectors that are maintained in working order, or any other approved fire alarm system. Occupants may wish to smoke where it is otherwise prohibited, or to cook on portable hot plates. To enable such activities, the smoke detectors may be disabled. If a licensee is found to have disabled a smoke detector, the average fine is around \$25.

At the April 2011 Medication and Track Safety Committee Meeting, the issue of prohibiting the tampering with smoke detectors was discussed. The Committee wanted to find a way to prevent the smoke detectors from being disabled. It was agreed that the Thoroughbred Owners of California and California Thoroughbred Trainers would work with racing associations to resolve the issue.

At the May 2012 Medication Advisory Committee Meeting, the issue of prohibiting the tampering with smoke detectors was again discussed. The Committee agreed to recommend to the Board the proposed addition of Rule 1927.1, Tampering with Smoke Detectors Prohibited, which would impose a fine of no less than \$25 for the offender. The proposed regulation would also allow for a fine of no less than \$100 for the trainer whose employee is found to have violated the regulation.

At its June 2012 Regular Meeting the Board directed staff to initiate a 45-day public comment period regarding the addition of Rule 1927.1.

For the period of April 1, 2011 through October 9, 2012, there have been 123 violations state wide of Rule 1928, Fire Regulations, for "tampering with smoke detector."

ANALYSIS

The proposed addition of Rule 1927.1, Tampering With Smoke Detectors Prohibited, provides that no licensee shall tamper with, dismantle, or disable any automatic fire alarm system or smoke detector that is located on the grounds of a facility under the jurisdiction of the Board. Violations of the proposed regulation shall result in a fine of no less than \$25. The fine, while not excessive, is meant to prevent future incidents. In addition, the proposed regulation states that a trainer may be found culpable if a fire alarm is disabled in an area assigned to the trainer, such as stalls and tack rooms. The trainer may be fined \$100. This is designed to encourage trainers to pay attention to what their employees may be doing with fire safety equipment.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day public comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4, CALIFORNIA CODE OF REGULATIONS
ARTICLE 17. FIRE PREVENTION AND SECURITY
PROPOSED ADDITION OF
RULE 1927.1 TAMPERING WITH SMOKE DETECTORS PROHIBITED

Regular Board Meeting
October 18, 2012

1927.1. Tampering With Smoke Detectors Prohibited.

(a) No licensee shall willfully tamper with, dismantle, or disable any automatic fire alarm system or smoke detector that is located on the grounds of a facility under the jurisdiction of the Board.

(b) A licensed trainer who is assigned stalls, tack rooms or other areas within the inclosure may be held culpable if an employee of that trainer is found to have violated this regulation within such assigned areas.

(c) A violation of this regulation shall result in a hearing before the stewards who may impose a fine of not less than \$25.

(1) The stewards may impose a fine of not less than \$100 on the trainer whose employee is found to have violated this regulation.

Authority: Sections 19420, 19440 and 19460,
Business and Professions Code.

Reference: Sections 19440 and 19481,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1843.2, CLASSIFICATION OF DRUG
SUBSTANCES, TO UPDATE THE CALIFORNIA HORSE RACING BOARD'S
PENALTY CATEGORIES LISTING BY CLASSIFICATION, TO ADD AND/OR
RECLASSIFY SPECIFIED DRUG SUBSTANCES.

Regular Board Meeting
October 18, 2012

BACKGROUND

Business and Professions Code section 19580 provides that the Board shall adopt regulations to establish policies, guidelines and penalties relating to equine medication to preserve and enhance the integrity of horse racing in this state. Section 19581 of the Business and Professions Code states that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Business and Professions Code section 19582 provides that violations of Business and Professions Code section 19581, as determined by the Board, are punishable in regulations adopted by the Board, and that the Board may classify violations based upon each class of prohibited drug substances, prior violations within the previous three years and prior violations within the violator's lifetime.

A proposal to amend Rule 1843.2, Classification of Drug Substances was discussed at the August 24, 2012 Medication and Track Safety Committee (committec) Meeting. The proposal would amend Rule 1843.2 to reflect changes to the Association of Racing Commissioners International (RCI) drug classifications. The committee agreed to recommend to the Board that Rule 1843.2 be amended as proposed to bring it in line with the RCI Model Rule drug classifications.

ANALYSIS

The current CHRB drug classification system under Rule 1843.2, Classification of Drug Substances, was adopted in 2008 and is based on the RCI drug classifications as they existed in 2007 when the addition of Rule 1843.2 was first proposed. Since its adoption in 2008, Rule 1843.2 has not been updated. In an effort to promote national uniformity the CHRB drug classification scheme was based on the RCI drug classifications with very few exceptions. The RCI has added or reclassified over 100 drugs since 2008. The proposed amendment of Rule 1843.2 will update the regulation to reflect the RCI changes except as noted in the list below, which are recommendations that differ from the RCI recommendations. The recommendations are based on the consensus recommendations of CHRB Equine Medical Director Dr. Rick Arthur, and Dr. Knych and Dr. Stanley of UC Davis:

- **Venoms Not Otherwise** classified to be added as a Drug Class 1 drug with a Category A penalty to address unforeseen use of other venoms as was the case with the frog venom dermorphin.
- **Snake Venoms** are currently Drug Class 2 with a Penalty Category A. They recommend Snake Venoms be classified as a Drug Class 1 drug with a Category A penalty. This is consistent with α -Cobratoxin (Cobra Venom) classification and penalty.

- **Carisoprodol** (Soma, Rela) is a legitimate therapeutic drug used as a muscle relaxant in some cases of muscle spasms. This is currently a CHRB Drug Class 2 with a Penalty Category B which they believe is appropriate for this medication and adequately controls its use. A Penalty Category A is unnecessary.
- **Darbepoetin** (Aranesp) is currently CHRB Drug Class 1 with a Penalty Category A. This is an erythropoiesis stimulating agent similar to Epogen. Erythropoiesis stimulating agents can be used for blood doping. They recommend this drug remain a CHRB Drug Class 1 with a Penalty Category A.
- **Somatrem** and **Somatropin** are recombinant growth hormones which would currently be CHRB Drug Class 1 with a Penalty Category A. They recommend recombinant growth hormones stay Drug Class 1 with a Penalty Category A and these two drugs be specifically so classified.
- Related to the above, a language change in Rule 1843.2 is recommended to clarify recombinant growth hormones and recombinant erythropoietins. Recombinant equine growth hormone and recombinant human growth hormone should be combined as **recombinant growth hormones**. This clarifies the use of recombinant growth hormones from other species such as recombinant bovine growth hormone. Similarly, recombinant human erythropoietin should be changed to **recombinant erythropoiesis stimulating agents**. All these should remain CHRB Drug Class 1 with a Penalty Category A.
- RCI recommends **Dimethylsulfoxide (DMSO)** be moved to Drug Class 4 with a Penalty Category C. They support that change when the blood level exceeds 1 microgram in blood or 15 microgram in urine, the international standard. Otherwise DMSO should remain a Class 5 drug with Category D penalty.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843.2. CLASSIFICATION OF DRUG SUBSTANCES.

Regular Board Meeting
October 18, 2012

1843.2. Classification of Drug Substances.

The Board, the board of stewards, the hearing officer, or the administrative law judge, when adjudicating a hearing for a violation of Business and Professions Code section 19581, shall consider the classification of the substance as referenced in the California Horse Racing Board (CHRB) Penalty Categories Listing by Classification (Revised ~~05/08~~ 10/12), hereby incorporated by reference, which is based on the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines for Foreign Substances (~~4/05~~ 12/11), as modified by the Board.

Authority: Sections 19580, 19581 and 19582,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code.

Class 1 Drugs, Medications and Substances

Drug	Trade or Street Name	Class	Penalty
3,4-methylenedioxypropylamphetamine	MCVP, "BATH Salts"	1	A
Carfentanil		1	A
Cathinone	"Khat"	1	A
α -Cobratoxin ¹	"Cobra Venom"	1	A
Codeine ²		1	A
DEA Class 1 (all) ³		1	A
Dermorphin	"Frog Venom"	1	A
Donepezil	Aricept	1	A
Heroin		1	A
Hydrocodone ⁴	Hydrocane	1	A
ITPP (myo-inositol trispyrophosphate)		1	A
Methcathinone		1	A
Remifentanil	Ultiva	1	A
Synthetic cannabis	Spice, K2, Kronic	1	A
Ziconotide	Cone Snail Venom	1	A

¹ See Snake Venoms Class 2

² Missing from CHRB list

³ See <http://www.dea diversion.usdoj.gov/schedules/index.html>

⁴ Reclassified from 2A

Class 2 Drugs, Medications and Substances

Drug	Trade or Street Name	Class	Penalty
Articaine	Septocaine; Ultracaine, etc.	2	A
Atomoxetine	Strattera	2	A
Atracurium	Tracrium	2	A
Azacyclonol	Frenque	2	A
Barbiturates	"Benzo," "BZD"	2	A
Brimonidine	Alphagan	2	A
Carisoprodol ⁵	Soma, Rela	2	B
Carticaine	Ultracain	2	A
Darbepoetin ⁶	Aranesp	1	A
Doxacurium	Nuromax	2	A
Duloxetine	Cymbalta, Aricclaim	2	A
Ergoloid Mesylates (dihydroergocornine Mesylate, dihydroergocristine mesylate and dihydroergocryptine mesylate)	Hydergine	2	A
ETHANOL		2	A
Galantamine	Reminyl	2	A
Levamisole	Ergamisol	2	B
Memantine	Akatinol, Namenda, Ebixa	2	A
Moperone	Luvatren	2	A
Oxilofrine (hydroxyephedrine)		2	A
Paliperidone	Invega	2	A
Perfluorodecalin		2	A
Perfluoro decahydronaphthalen		2	A
Perfluorooctylbromide		2	A

⁵ Current CHRB 2B Classification/Penalty is appropriate for this medication.

⁶ Current CHRB 1A Classification/Penalty is appropriate for this medication.

Perfluorotripropylamine		2	A
Perfluorocarbons		2	A
Pipecuronium	Arduan	2	A
Rocuronium	Zemuron	2	A
Snake Venoms ⁷		2	A
Somatrem ⁸	Protropin	1	A
Somatropin ⁹	Nutropin	1	A
Sulfonmethane		2	A
Tretoquinol	Inolin	2	A
Zaleplon	Sonata	2	A

⁷ Recommend Snake Venoms be classified as 1A consistent with α -Cobratoxin

⁸ Current CHRB 1A Classification/Penalty is appropriate for this medication.

⁹ Current CHRB 1A Classification/Penalty is appropriate for this medication.

Class 3 Drugs, Medications and Substances

Drug	Trade or Street Name	Class	Penalty
Amitraz	Mitaban	3	A
Arformoterol	Brovana	3	A
Benazepril	Lotensin	3	A
N-Butylscopolamine	Bucospan	3	B
Clemastine	Tavist	3	B
Clidinium	Quarezan, Clindex,	3	B
Divalproex	Depakote	3	A
Doxazosin	Cardura	3	A
Dromostanolone	Drolban	3	B
Eletriptan	Relpax	3	A
Etamiphylline	Milophylline V	3	B
Ethosuximide	Zarontin	3	A
Felbamate	Felbatol	3	A
Flurbiprofen	Froben	3	B
Fluoxymesterone	Halotestin	3	B
Flupirtine	Katadolone	3	A
Fosinopril	Monopril	3	A
Fosphenytoin	Cerebyx	3	B
4-Hydroxytestosterone		3	B
Ibutilide	Corvert	3	B
Iloprost	Ventavis	3	A
Lamotrigine	Lamictal	3	A
Levobunolol	Betagan	3	B
Lisinopril	Prinivil, Zestril	3	A
Methandrostenolone	Dianabol	3	A
Methantheline	Banthine	3	B
Methsuximide	Celontin	3	A
Naloxone	Narcan	3	A
Naltrexone	Revia	3	A
Nebivolol		3	A

Nylidrin	Arlidin	3	A
Olmesartan	Benicar	3	A
Perindopril	Biprel	3	A
Propantheline	Pro-Banthine	3	A
Quinapril	Accupril	3	A
Ramipril	Altace	3	A
Sildenafil	Viagra	3	A
Spirapril,Spiraprilat	Renormax	3	A
Tadalafil	Cialis	3	A
Terazosin	Hytrin	3	A
Vardenafil	Levitra	3	A

Class 4 Drugs, Medications and Substances

Drug	Trade or Street Name	Class	Penalty
Adrenochrome monosemicarbazone salicylate		4	B
Amiloride	Moduretic; Midamor	4	B
Amlodipine ¹⁰	Norvasc, Ammivin	4	B
Carbazochrome		4	C
Carprofen	Rimadyl	4	B
Cetirizine	Zyrtec	4	B
Ciclesonide	Alvesco ,Omnanis, Omniair	4	B
Cinchocaine	Nupercaine	4	C
Dimethylsulfoxide (DMSO) ¹¹	Domoso	4	C
Fluorometholone	FML , Flarex	4	B
Olsalazine	Dipentum	4	B
Probenecid		4	C
Zeranol	Ralgro	4	C
Zileuton	Zyflo	4	C

¹⁰ Spelling correction

¹¹ DMSO should be Class 4 drug with a category C penalty drug when the blood level exceeds 1 micrograms in blood or 10 micrograms in urine. Otherwise DMSO will remain a Class 5 drug with Category D penalty.

Class 5 Drugs, Medications and Substances

Drug	Trade or Street Name	Class	Penalty
Esomeprazole	Nexium	5	D
Mesalamine	Asacol	5	D
Nedocromil	Tilade	5	C
Pantoprazole	Protonix	5	D
Polyethylene glycol		5	C
Rabeprazole	Aciphex	5	C

California Horse Racing Board (CHRB)

Penalty Categories

Listing by Classification

Class 1: Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Alfentanil	Alfenta	1	A	Metaraminol	Aramine	1	A
Amphetamine		1	A	Methadone	Dolophine	1	A
Anileridine	Leritine	1	A	Methamphetamine	Desoxyn	1	A
Apomorphine		1	A	Methaqualone	Quaalude	1	A
Benzylpiperazine (BZP)		1	A	Methylphenidate	Ritalin	1	A
Carfentanil		1	A	Metopon (methyldihydromorphinone)		1	A
Cocaine		1	B	Morphine		1	B
Darb-erythropoetin		1	A				
Dextromoramide	Palfium, Narcolo	1	A	Nikethamide	Coramine	1	A
Diamorphine		1	A	Oxycodone	Percodan	1	A
Endorphins		1	A	Oxymorphone	Numorphan	1	A
Enkephalins		1	A	Pemoline	Cylert	1	A
Ethylmorphine	Dionin	1	A	Pentylenetetrazol	Metrazol, Nioric	1	A
Etorphine HCl	M99	1	A	Phenazocine	Narphen	1	A
Fentanyl	Sublimaze	1	A	Phencyclidine (PCP)	Sernylan	1	A
Hydromorphone	Dilaudid	1	A	Phendimetrazine	Bontril, etc.	1	A
Hydroxyamphetamine	Paradrine	1	A	Phenmetrazine	Preludin	1	A
Levorphanol	Levo-Dremoran	1	A	Picrotoxin		1	A
Lofentanil		1	A	Piritramide		1	A
				Recombinant		1	A

				equine-Growth Hormone			
				Recombinant human-Growth Hormone		1	A
Mazindol	Sanorex	1	A	Remifentanil	Ultiva	1	A
				Recombinant human-erythropoetin		1	A
Meperidine	Demerol	1	A	Strychnine		1	B
Mephentermine		1	A	Sufentanil	Sufenta	1	A

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Acecarbromal		2	A	Benzazepam	Tiadipona	2	A
Acetophenazine	Tindal	2	A	Benzactizine	Deprol, Bronchodiletten	2	A
Adinazolam		2	A	Benzocetamine		2	A
Alclofenac		2	B				
Alcuronium	Alloferin	2	A	Benzphetamine	Didrex	2	A
Alphaprodine	Nisentil	2	A	Benztropine	Cogentin	2	A
Alpidem	Anaxyl	2	A	Biriperone		2	A
Alprazolam	Xanax	2	A	Bromazepam	Lexotan, Lectopam	2	A
Althesin	Saffan	2	A	Bromisovalum	Diffucord, etc.	2	A
Amisulpride	Solian	2	A	Bromocriptine	Parlodel	2	A
Amitriptyline	Elavil, Amitril, Endep	2	A	Bromperidol	Bromidol	2	A
Amobarbital	Amytal	2	A	Brotizolam	Brotocol	2	A
Amoxapine	Asendin	2	A	Bupivacaine	Marcaine	2	A
Amperozide		2	A	Buprenorphine	Temgesic	2	A

Anilopam	Anisine	2	A	Buspirone	Buspar	2	A
Aprobarbital	Alurate	2	A	Buspropion	Wellbutrin	2	A
Azacylonol	Frenque	2	A	Butabarbital (Secbutobarbitone)	Butacaps, Butasol, etc.	2	A
Azaperone	Stresnil, Suicalm, Fentaz (with Fentanyl)	2	A	Butalbital (Talbutal)	Fiorinal	2	A
Barbital	Veronal	2	A	Butanilicaine	Hostacain	2	A
Bemegrade	Megimide, Mikedimide	2	A	Butaperazine	Repoise	2	A
Benoxaprofen		2	B				
Benperidol		2	A	Butoctamide	Listomin	2	A

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Caffeine		2	B	Clocapramine		2	A
Camazepam	Paxor	2	A	Clomethiazole		2	A
Captodiamine	Covatine	2	A	Clomipramine	Anafranil	2	A
Carbidopa + levodopa	Sinemet	2	A	Clonazepam	Klonopin	2	A
Carbromol	Mifudorm	2	A	Clorazepate	Tranxene	2	A
Carphenazine	Proketazine	2	A	Clothiapine	Entermin	2	A
Carpipramine	Prazinil	2	A	Clotiazepam	Trecalmo, Rize	2	A
Chloralose (Alpha-Chloralose)		2	A	Cloxazolam	Enadel, Sepazon, Tolestan	2	A
Chloral betaine	Beta-Chlor	2	A	Clozapine	Clozaril, Leponex	2	A
Chloral hydrate	Nactec, Oridrate, etc.	2	A	Codeine		2	B
Chloraldehyde (chloral)		2	A	Conorphone		2	A
Chlordiazepoxide	Librium	2	A	Corticaine	Ultracain	2	A
Chlormezanone	Trancopal	2	A	Crotetamide		2	A
Chloroform		2	A	Cyamemazine	Tercian	2	A
Chlorhexidol		2	A	Cyclobarbitol	Phanodorm	2	A
Chloroprocaine	Nesacaine	2	A	Decamethonium	Syncurine	2	A
Chlorproethazine	Newiplege	2	A	Demoxepam		2	A
Chlorpromazine	Thorazine, Largactil	2	A	Desipramine	Norpromine, Pertofrane	2	A
Chlorprothixene	Taractan	2	A	Dezocine	Dalgan®	2	A
Citalopram	Celex	2	A	Diazepam	Valium	2	B
Clobazam	Urbanyl	2	A	Dichloralphenazone	Febenol, Isocom	2	A

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Diethylpropion	Tepanil, etc.	2	A	Ethylisobutrazine	Diquel	2	A
Diethylthiambutene	Themalon	2	A	Etidocaine	Duranest	2	A
Dihydrocodeine	Parcodin	2	A	Etifoxin	Stresam	2	A
Dilorazepam	Briantum	2	A	Etizolam	Depas, Pasaden	2	A
Diprenorphine	M50/50	2	A	Etodroxizine	Indunox	2	A
Dixyrazine	Esucos	2	A	Etomidate		2	A
Dopamine	Intropin	2	A	Fenarbamate	Tymium	2	A
				Fenclozic acid	Myalex	2	B
Doxapram	Dopram	2	A	Fenfluramine	Pondimin	2	A
Doxefazepam	Doxans	2	A	Fluanisone	Sedalande	2	A
Doxepin	Adapin, Sinequan	2	A	Fludiazepam	Erispam	2	A
Droperidol	Inapsine, Droleptan, Innovar-Vet (with Fentanyl)	2	A	Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2	A
Enciprazine		2	A	Fluopromazine	Psyquil, Siquil	2	A
Ephedrine		2	A	Fluoresone	Caducid	2	A
Epibatidine		2	A	Fluoxetine	Prozac	2	A
Epinephrine		2	A	Flupenthixol	Depixol, Fluanxol	2	A
Erythropoietin (EPO)	Epogen, Procrit, etc.	2	A	Fluphenazine	Prolixin, Permitil, Anatensol	2	B
Estazolam	Domnamid, Eurodin, Nuctalon	2	A	Flurazepam	Dalmane	2	A
Ethamivan		2	A	Fluspirilene	Imap, Redeptin	2	A
Ethanol		2	B	Flutoprazepam	Restas	2	A
Ethchlorvynol	Placidyl	2	A	Fluvoxamine	Dumirox, Faverin, etc.	2	A
Ethinamate	Valmid	2	A	Gallamine	Flaxedil	2	A
Ethopropazine	Parsidol	2	A	Gepirone		2	A

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Glutethimide	Doriden	2	A	Lithium	Lithizine, Duralith, etc.	2	A
Halazepam	Paxipam	2	A	Lobeline		2	A
Haloperidol	Haldol	2	A	Loflazepate, Ethyl	Victan	2	A
				Loperamide	Imodium	2	B
Haloxazolam	Somelin	2	A	Loprazolam	Dormonort, Havlane	2	A
Hemoglobin glutamers	Oxyglobin, Hemopure	2	A	Lorazepam	Ativan	2	A
Hexafluorenum	Myalexen	2	A	Lormetazepam	Noctamid	2	A
Hexobarbital	Evipal	2	A	Loxapine	Laxitane	2	A
Homophenazine	Pelvichthol	2	A	Maprotiline	Ludiomil	2	A
Hydrocodone (dihydrocodeinone)	Hycodan	2	A	Mebutamate	Axiten, Dormate, Capla	2	A
Hydroxyzine	Atarax	2	B	Meclofenoxate	Lucidiril, etc.	2	A
Ibomal	Noctal	2	A	Medazepam	Nobrium, etc.	2	A
Imipramine	Imavate, Presamine, Tofranil	2	A	Melperone	Eunerpan	2	A
Isapirone		2	A	Meparfynol	Oblivon	2	A
Isocarboxazid	Marplan	2	A	Mepazine	Pacatal	2	A
Isomethadone		2	A	Mephenoalone	Control, etc.	2	A
Isoproterenol	Isoprel	2	A	Mephenytoin	Mesantoin	2	A
Isoxicam	Maxicam	2	B				
Ketamine	Ketalar, Ketaset, Vetalar	2	B	Mephobarbital (Methylphenobarbital)	Mebaral	2	A
Ketazolam	Anxon, Laftram, Solatran, Loftran	2	A	Mepivacaine	Carbocaine	2	B
Lenperone	Elanone-V	2	A	Meprobamate	Equanil, Miltown	2	B
Levomethorphan		2	A	Mesoridazine	Serentil	2	A
Lidocaine	Xylocaine	2	B	Metaclozepam	Talis	2	A

(Revised 08/08)

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Metazocine		2	A	Nortriptyline	Aventyl, Pamelor	2	A
Metharbital	Gemonil	2	A	Olanzapine	Zyprexa	2	A
Methohexital	Brevital	2	A	Oxazepam	Serax	2	A
Methotrimeprazine	Levoprome, Neurocil, etc.	2	A	Oxazolam	Serenal	2	A
Methyprylon	Noludar	2	A	Oxyperitine	Forit, Integrin	2	A
Metocurine	Metubine	2	A	Pancuronium	Pavulon	2	A
Metomidate	Hypnodil	2	A	Paraldehyde	Paral	2	A
Mexazolam	Melex	2	A	Paroxetine	Paxil, Seroxat	2	A
Midazolam	Versed	2	A	Penfluridol	Cyperon	2	A
Mirtazepine	Remeron	2	A	Pentobarbital	Nembutal	2	A
Modafinil	Provigil	2	A	Perazine	Taxilan	2	A
Molindone	Moban	2	A	Periciazine	Alodept, etc.	2	A
Moperone	Luvatren	2	A	Perlazine	Hypnodin	2	A
Mosaprimine		2	A	Perphenazine	Trilafon	2	A
Nalbuphine	Nubain	2	A	Phenaglycodol	Acalo, Alcamid, etc.	2	A
Nalorphine	Nalline, Lethidrone	2	A	Phenelzine	Nardelzine, Nardil	2	A
Nefazodone	Serzone	2	A	Phenobarbital	Luminal	2	A
Nimetazepam	Erimin	2	A	Phentermine	Iomamin	2	A
Nitrazepam	Mogadon	2	A	Piminodine	Alvodine, Cimadon	2	A
Nordiazepam	Calmday, Nordaz, etc.	2	A	Pimozide	Orap	2	A
Norepinephrine		2	A	Pinazepam	Domar	2	A

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Pipamperone	Dipiperon	2	A	Quetiapine	Seroquel	2	A
Pipequaline		2	A	Racemethorphan		2	A
Piperacetazine	Psymod, Quide	2	A	Racemorphan		2	A
Piperocaine	Metycaine	2	A	Raclopride		2	A
Pipotiazine	Lonseren, Piportil	2	A	Remoxipride	Roxiam	2	A
Pipradrol	Dataril, Gerondyl, etc.	2	A	Reserpine	Serpasil	2	B
Piquindone		2	A	Rilmazafone		2	A
Prazepam	Verstran, Centrax	2	A	Risperidone		2	A
Prilocaine	Citanest	2	A	Ritanserlin		2	A
Prochlorperazine	Darbazine, Compazine	2	A	Rivastigmine	Exelon	2	A
				Rofecoxib	Vioxx	2	B
Propanidid		2	A	Romifidine	Sedivet	2	B
Propiomazine	Largon	2	A	Ropivacaine	Naropin	2	A
Propionylpromazine	Tranvet	2	B	Secobarbital (Quinalbarbitone)	Seconal	2	A
Propiram		2	A	Selegiline	Eldepryl, Jumex	2	A
Propofol	Diprivan, Disoprivan	2	A	Sertraline	Lustral, Zoloft	2	A
Propoxycaine	Ravocaine	2	A	Snake Venoms		2	A
Prothipendyl	Dominal	2	A	Spiclomazine		2	A
Protriptyline	Concordin, Triptil	2	A	Spiperone		2	A
Proxibarbital	Axeen, Centralgol	2	A	Succinylcholine	Sucostrin, Quelin, etc.	2	A
Pyrithyldione	Hybersulfan, Sonodor	2	A	Sulfondiethylmethane		2	A
Quazipam	Doral	2	A	Sulfonmethane		2	A

(Revised 08/08)

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Sulforidazine	Inofal	2	A	Tribromethanol		2	A
Sulpiride	Aiglonyl, Sulpitol	2	A	Tricaine methanesulfonate	Finquel	2	A
Sultopride	Barnetil	2	A	Trichloroethanol		2	A
Talbutal	Lotusate	2	A	Trichloethylene	Trilene, Trimar	2	A
Tandospirone		2	A	Triclofos	Triclos	2	A
Temazepam	Restoril	2	A	Trifluomeprazine	Nortran	2	A
Tetrabenazine	Nitoman	2	A	Trifluoperazine	Stelazine	2	A
Tetracaine	Pontocaine	2	B	Trifluperidol	Triperidol	2	A
Tetrazepam	Musaril, Myolastin	2	A	Triflupromazine	Vetame, Vesprin	2	A
Thebaine		2	A	Trimipramine	Surmontil	2	A
Thialbarbital	Kemithal	2	A	Tubocurarine (Curare)	Metubin	2	A
Thiamylal	Surital	2	A	Tybamate	Benvil, Nospan, etc.	2	A
Thiethylperazine	Torecan	2	A	Urethane		2	A
Thiopental	Pentothal	2	A	Valdecocib		2	B
Thiopropazate	Dartal	2	A	Valnoctamide	Nirvanyl	2	A
Thioproperazine	Majeptil	2	A	Venlafaxine	Efflexor	2	A
Thioridazine	Mellaril	2	A	Veralipride	Accional, Veralipril	2	A
Thiothixene	Navane	2	A	Vercuronium	Norcuron	2	A
Tiapride	Italprid, Luxoben, etc.	2	A	Viloxazine	Catatrol, Vivalan, etc.	2	A
Tiletamine	Component of Telazol	2	A	Vinbarbital	Delvinol	2	A
Timiperone	Tolopelon	2	A	Vinylbital	Optanox, Speda	2	A
Tofisopam	Grandaxain, Seriel	2	A	Yohimbine		2	A

Topiramate	Topamax	2	A	Zolazepam		2	A
Tramadol	Ultram	2	A	Zolpidem	Ambien, Stilnox	2	A
				Zomepirac	Zomax	2	B
Tranlycypromine	Parnate	2	A	Zopiclone	Imovan	2	A
Trazodone	Desyrel	2	A	Zotepine	Lodopin	2	A
Triazolam	Halcion	2	A	Zuclopenthixol	Ciatyl, Cesordinol	2	A

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
19-Norandrostenediol		3	B	Bumetanide	Bumex	3	B
19-Norandrostenedione		3	B	Butorphanol	Stadol, Torbugesic	3	B
4-Hydroxytestosterone		3	B	Candesartan	Atacand	3	B
Acebutolol	Sectral	3	B	Captopril	Capolen	3	B
Acepromazine	Atrovet, Notensil, PromAce®	3	B	Carazolol	Carbacel, Conductor	3	A
Albuterol (Salbutamol)	Proventil, Ventolin	3	B	Carbachol	Lentin, Doryl	3	B
Alprenolol		3	A	Carbamezapine	Tegretol	3	B
Ambenonium	Mytelase, Myeuran	3	B	Carbinoxamine	Clistin	3	B
Aminophylline	Aminophyllin, etc.	3	B	Carteolol	Cartrol	3	B
Amlodipine	Norvasc	3	A	Carvedilol	Coreg	3	B
				Celecoxib	Celebrex	3	B
				Cimeterol		3	A
Amyl nitrite		3	A	Clausterone	Methosorb	3	B
Arecoline		3	A				
Atenolol	Tenormin	3	B	Clenbuterol	Ventipulmin	3	B
Atropine		3	B	Clonidine	Catapres	3	B
				Clostebol		3	B
Betaxolol	Kerlone	3	B	Cyclandelate	Cyclospasmol	3	A
Bethanidine	Esbatal	3	A	Cycrimine	Pagitane	3	B
				Danazol	Danocrine	3	B
Biperiden	Akineton	3	A	Dehydrochloromethyl- testosterone		3	B
				Deracoxib ²		3	C
Bisoprolol	Zebeta, Bisobloc,	3	B	Desoxymethyl-		3	B

	etc.			testosterone			
Bitolterol	Effectin	3	B	Detomidine	Dormosedan	3	B
Bolasterone		3	B	Dextropropoxyphene	Darvon	3	B
Boldenone	Equipoise	3	B	Diazoxide	Proglycem	3	B
Boldione		3	B	Diflunisal		3	C
Bretylium	Bretylol	3	B	Dimeflin		3	A
Brimonidine	Alphagan	3	B	Diphenhydramine	Benadryl	3	B
Bromfenac	Duract	3	A	Dipyridamole	Persantine	3	B
Bromodiphenhydramine		3	B	Dobutamine	Dobutrex	3	B
Bufexamac		3	B				

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Doxylamine	Decapryn	3	B	Irbesarten	Avapro	3	A
Dyphylline		3	B	Isoetharine	Bronkosol	3	B
Edrophonium	Tensilon	3	B	Isosorbide dinitrate	Isordil	3	B
Enalapril (metabolite enalaprilat)	Vasotec	3	B	Kebuzone		3	B
Ergoloid mesylates		3	C	Ketorolac	Toradol	3	B
Erthryl tetranitrate	Cardilate	3	A	Labetalol	Normodyne	3	B
Esmolol	Brevibloc	3	B	Losartan	Hyzaar	3	B
Ethylestrenol	Maxibolin, Organon	3	B				
Etamiphylline		3	B	Mabuterol		3	A
Ethacrynic acid	Edecrin	3	B	Mecamylamine	Inversine	3	B
Ethylnorepinephrine	Bronkephrine	3	A	Medetomidine	Domitor	3	B
Etodolac	Lodine	3	C	Mefenamic acid	Ponstel	3	B
Fenbufen	Gincopal	3	B				
Fenoldopam	Corlopam	3	B	Mestanolone		3	B
Fenopropfen	Nalfon	3	B				
Fenoterol	Berotec	3	B	Mesterolone		3	B
Fenspiride	Respiride, Respan, etc	3	B	Metaproterenol	Alupent, Metaprel	3	B
Fentiazac		3	B	Metenolone		3	B
Flufenamic acid		3	B				
Fluoxymesterone	Halotestin	3	B				
Flupirtine	Katadolone	3	A	Methacholine		3	A
Flurbiprofen	Froben	3	B	Methandienone		3	B
Formebolone		3	B	Methandriol	Probolic	3	B
Formoterol	Altram	3	B	Methasterone		3	B

Furazabol		3	B	Methixene	Trest	3	A
Gabapentin	Neurontin	3	B	Methoxamine	Vasoxyl	3	A
Gestrinone		3	B	Methoxyphenamine	Orthoxide	3	A
Glycopyrrolate	Robinul	3	B	Methyl-1-testosterone		3	B
Guanadrel	Hylorel	3	A	Methylatropine		3	B
Guanethidine	Ismelin	3	A	Methyldienolone		3	B
Guanabenz	Wytensin	3	B	Methyldopa	Aldomet	3	A
Heptaminol	Corofundol	3	B	Methylnortestosterone		3	B
Homatropine	Homapin	3	B	Methyltestosterone	Metandren	3	B
Hydralazine	Apresoline	3	B	Metolazone		3	B
Indomethacin	Indocin	3	B	Metoprolol	Lopressor	3	B
Ipratropium		3	B	Mibefradil	Posicor	3	B

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Mibolerone		3	B	Phenylpropanolamine	Propadrine	3	B
Midodrine	Pro-Amiline	3	B	Physostigmine	Eserine	3	A
Minoxidil	Loniten	3	B	Pindolol	Viskin	3	B
Moexipril (metabolite moexiprilat)	Uniretic	3	B	Pirbuterol	Maxair	3	B
Muscarine		3	A	Piretanide	Arelix, Tauliz	3	B
Nabumetone	Anthraxan, Relafen, Relifex	3	B	Piroxicam	Feldene	3	B
Nadol	Corgard	3	B	Prazosin	Minipress	3	B
Naratriptan	Amerge	3	B	Primidone	Mysoline	3	B
Nandrolone	Nandrolin, Laurabolin, Durabolin	3	B				

(Revised 08/08)

Nefopam		3	A	Procaine		3	B
Neostigmine	Prostigmine	3	B	Procaterol	Pro Air	3	A
Niflumic acid	Nifluril	3	B				
Nimesulide		3	B				
Nitroglycerin		3	B	Procyclidine	Kemadrin	3	B
Norbolethone		3	B	Promazine	Sparine	3	B
Norclostebol		3	B	Promethazine	Phenergan	3	B
Norethandrolone		3	B	Propentophylline	Karsivan	3	B
Oxabolone		3	B	Propranolol	Inderal	3	B
Oxandrolone	Anavar	3	B				
Oxprenolol	Trasicor	3	B	Prostanazol		3	B
Oxymesterone		3	B	Protokylol	Ventaire	3	A
Oxymetholone	Adroyd, Andarol	3	B				
Papaverine	Pavagen, etc.	3	A	Pseudoephedrine	Cenafed, Novafed	3	B
Paramethadione	Paradione	3	A	Pyridostigmine	Mestinon, Regonol	3	B
Pargyline	Eutonyl	3	A	Pyrilamine	Neoantergan, Equihist	3	B
Penbutolol	Levatol	3	B	Quinbolone		3	B
Pentaerythritol tetranitrate	Duotrate	3	A	Ractopamine	Raylean	3	B
Pentazocine	Talwin	3	B	Ritodrine	Yutopar	3	B
Phenoxybenzamine	Dibenzyline	3	B	Rizatriptan	Maxalt	3	B
Phentolamine	Regitine	3	B	Salmeterol		3	B
Phenylephrine	Isophrin, Neo-Synephrine	3	B	Scopolamine (Hyoscine)	Triptone	3	B

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	Drug Class	Penalty Class
Sibutramine	Meridia	3	B
Sotalol	Betapace, Sotacor	3	B
Stanozolol Stanazolol	Winstrol-V	3	B
Stenbolone		3	B
Sulindac	Clinoril	3	B
Sumatriptan	Imitrex	3	B
Telmisartan	Micardis	3	B
Tenoxicam	Alganex, etc.	3	B
Tepoxalin		3	C
Terbutaline	Brethine, Bricanyl	3	B
Testolactone	Teslac	3	B
Testosterone		3	B
Tetrahydrogestrino ne		3	B
Theophylline	Aqualphyllin, etc.	3	B
Tiaprofenic acid	Surgam	3	B
Timolol	Blocardrin	3	B
Tolazoline	Priscoline	3	B
Tolmetin	Tolectin	3	B
Torsemide (Torasemide)	Demadex	3	B
Trandolapril (and metabolite, Trandolaprilat)	Tarka	3	B
Trenbolone	Finoplix	3	B
Trihexylphenidyl	Artane	3	A
Trimethadione	Tridione	3	B
Trimethaphan	Arfonad	3	A
Tripelennamine	PBZ	3	B
Valerenic acid		3	C
Valsartan	Diovan	3	B

Xylazine	Rompun, Bay Va 1470	3	B
Zolmitriptan	Zomig	3	B
Δ -1-androstene-3,17-diol		3	B
Δ -1-androstene-3,17-dione		3	B
Δ -1-dihydrotestosterone		3	B
Zonisamide	Zonegran	3	B

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4	C	Baclofen	Lioresal	4	B
Acetanilid		4	B	Beclomethasone	Propaderm	4	C
Acetazolamide	Diamox, Vetamox	4	B	Benazepril	Lotrel	4	B
Acetophenetidin (Phenacetin)		4	B	Bendroflumethiazide	Naturetin	4	B
Acetylsalicylic acid (Aspirin)		4	C				
				Benoxinate	Dorsacaine	4	C
Acclomethasone	Aclovate	4	C	Benzocaine		4	C
Aldosterone	Aldocortin, Electro cortin	4	B	Benzthiazide		4	B
Ambroxol	Ambril, etc.	4	B	Bepidil	Bepadin	4	B
Amcinonide	Cyclocort	4	C	Betamethasone	Betasone, etc.	4	C
Aminocaproic acid	Amicar, Caprocid	4	C	Bethanechol	Urecholine, Duvoid	4	C

(Revised 08/08)

Aminodarone		4	B				
2-Aminoheptaine	Tuamine	4	B		Bromhexine	Oletor, etc.	4 C
Aminopyrine		4	B		Brompheniramine	Dimetane, Disomer	4 B
Amisometradine	Rolictron	4	B		Budesonide	Pulmacort, Rhinocort	4 C
Amlopidine	Norvasc, Ammivin	4	B		Butacaine	Butyn	4 B
Amrinone		4	B		Butamben (butyl aminobenzoate)	Butesin	4 C
Anisotropine	Valpin	4	B		Butoxycaine	Stadacain	4 B
Antipyrine		4	B				
Apazone (Azapropazone)	Rheumox	4	B		Camphor		4 C
Aprindine		4	B		Carisoprodol	Relo, Soma	2 B

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Carprofen		4	C	Cyproheptadine	Periactin	4	C
Chlormerodrin	Neohydrin	4	B	Dantrolene	Dantrium	4	C
Chlorophenesin	Maolate	4	C	Dembroxol (Dembrexine)	Sputolysin	4	C
Chloroquine	Avloclor	4	C	Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4	C
Chlorothiazide	Diuril	4	B				
Chlorpheniramine	Chlortrimeton, etc.	4	B	Desonite	Des Owen	4	C
Chlorthalidone	Hydroton	4	B	Desoximetasone	Topicort	4	C
Chlorzoxazone	Paraflex	4	B	Dexamethasone	Azium, etc.	4	C
Cinchocaine	Nupercaine	4	C	Dextromethorphan		4	C
Clanobutin		4	C				
Clibucaine	Batrax	4	C	Dibucaine	Nupercainal, Cinchocaine	4	C
Clidinium	Quarezan, Clindex, etc.	4	B	Dichlorphenamide	Daramide	4	C
Clobetasol	Temovate	4	C	Diclofenac	Voltaren, Voltarol	4	C
Clocortolone	Cloderm	4	C	Diflorasone	Florone, Maxiflor	4	C
Clofenamide		4	B	Diflucortolone	Flu-Cortinest, etc.	4	C
Clormecaine	Placacid	4	C				
Colchicine		4	B	Digitoxin	Crystodigin	4	B
Cortisone	Cortone, etc.	4	C	Digoxin	Lanoxin	4	B
Cyclizine	Merazine	4	B	Dihydroergotamine		4	C
Cyclobenzaprine	Flexeril	4	B	Diltiazem	Cardizem	4	B
Cyclomethylcaine	Surfacaine	4	C	Dimethisoquin	Quotane	4	B
Cyclothiazide	Anhydron, Renazide	4	B	Diphenoxylate	Difenoxin, Lomotil	4	B

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Dipyrrone	Novin, Methampyrone	4	C	Floctafenine	Idalon, Idarac	4	B
Disopyramide	Norpace	4	B	Flucinolone	Synalar, etc.	4	C
Dromostanolone	Drolban	4	C	Fludrocortisone	Alforone, etc.	4	C
Dyclonine	Dyclone	4	C				
Eltenac		4	C	Flumethasone	Flucort, etc.	4	C
Ergonovine	Ergotrate	4	C	Flumethiazide	Ademol	4	B
Ergotamine	Gynergen, Cafergot, etc.	4	C	Flunarizine	Sibelium	4	B
Etanercept	Enbrel	4	B	Flunisolide	Bronilide, etc.	4	C
Ethoheptazine	Zactane	4	B	Flunixin	Banamine	4	C
Ethosuximide	Zarontin	4	B	Fluocinolone	Synalar	4	C
Ethotoin	Peganone	4	B	Fluocinonide	Licon, Lidex	4	C
Ethoxzolamide	Cardrase, Ethamide	4	C	Fluoroprednisolone	Predef-2X	4	C
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4	C				
				Fluprednisolone	Alphadrol	4	C
				Flurandrenolide	Cordran	4	C
Felodipine	Plendil	4	B				
				Fluticasone	Flixonase, Flutide	4	C
				Guaifenesin (glycerol guaiacolate)	Gecolate	4	C
				Halcinonide	Halog	4	C
Fexofenadine	Allegra	4	C	Halobetasol	Ultravate	4	C
Firocoxib		4	C	Hexocyclium	Tral	4	B
Flecainide	Idalon	4	B				

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Hexylcaine	Cyclaine	4	C	Meloxicam	Mobic	4	C
Hydrochlorothiazide	Hydrodiuril	4	C	Mepenzolate	Cantil	4	B
Hydrocortisone (Cortisol)	Cortef, etc.	4	C	Mephenesin	Tolserol	4	B
Hydroflumethiazide	Saluron	4	B	Meralluride	Mercurhydrin	4	B
Ibuprofen	Motrin, Advil, Nurpin, etc.	4	B or C	Merbaphen	Novasural	4	B
				Mercaptomerin	Thiomerin	4	B
Infliximab	Remicade	4	B	Mercumalilin	Cumertilin	4	B
Isoflupredone	Predef	4	C	Mersalyl	Salyrgan	4	B
Isometheptene	Octin, Octon	4	B	Metaxalone	Skelaxin	4	B
Isopropamide	Darbid	4	B				
				Methandrostenolone	Dianabol	4	C
Isoxsuprine	Vasodilan	4	C	Methantheline	Banthine	4	B
Isradipine	DynaCirc	4	B	Methapyrilene	Histadyl, etc.	4	B
Ketoprofen	Orudis	4	C	Methazolamide	Naptazane	4	C
Letosteine	Viscotiol, Visiotal	4	C	Methdilazine	Tacaryl	4	B
				Methocarbamol	Robaxin	4	C
Loratidine	Claritin	4	B	Methotrexate	Folex, Nexate, etc.	4	B
Meclizine	Antivert, Bonine	4	B	Methscopolamine	Pamine	4	B
Meclofenamic acid	Arquel	4	C	Methsuximide	Celontin	4	B
Medrysone	Medriusar, etc.	4	C	Methylchlorothiazide	Enduron	4	B
				Methandrostenolone	Dianabol	4	C

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Methylergonovine	Methergine	4	C	Orphenadrine	Norlfex	4	B
Methylprednisolone	Medrol	4	C				
				Oxaprozin	Daypro, Deflam	4	C
Methysergide	Sansert	4	B	Oxymetazoline	Afrin	4	B
Metiamide		4	B				
Metoclopramide	Reglan	4	C	Oxyphenbutazone	Tandearil	4	C
Mexilitine	Mexilil	4	B	Oxyphencyclimine	Daricon	4	B
Milrinone		4	B	Oxyphenonium	Antrenyl	4	B
Mometasone	Elocon	4	C	Paramethasone	Haldrone	4	C
Montelukast	Singulair	4	C	Pentoxifylline	Trental, Vazofirin	4	C
				Phenacemide	Phenurone	4	B
Naepaine	Amylsine	4	C	Phensuximide	Milontin	4	B
				Phenylbutazone		4	C
Naphazoline	Privine	4	B	Phenytoin	Dilantin	4	B
Naproxen	Equiproxen, Naprosyn	4	C				
Nicardipine	Cardine	4	B	Polythiazide	Renese	4	B
Nifedipine	Procardia	4	B	Pramoxine	Tronothaine	4	C
				Prednisolone	Delta-Cortef, etc.	4	C
				Prednisone	Meticorten, etc.	4	C
Nimodipine	Nemotop	4	B	Probenecid		4	C
Norethandrone		4	C	Procainamide	Pronestyl	4	B
Nortestosterone		4	C				

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	Drug Class	Penalty Class	Drug	Trade Name	Drug Class	Penalty Class
Propafenone	Rythmol	4	B	Tocainide	Tonocard	4	B
Propantheline	Pro-Banthine	4	B				
Proparacaine	Ophthaine	4	C	Tranexamic acid		4	C
Propylhexedrine	Benzedrex	4	B				
Quinidine	Quinidex, Quinocardine	4	B	Triamcinolone	Vetalog, etc.	4	C
				Triamterene	Dyrenium	4	B
Salicylamide		4	C	Trichlormethiazide	Naqua, Naquasone	4	C
Salicylate		4	C	Tolmetin	Tolectin	4	B
Spironalactone	Aldactone	4	B	Tridihexethyl	Pathilon	4	B
				Trimeprazine	Temaril	4	B
Sulfasalazine	Azulfidine, Azaline	4	C	Tripolidine	Actidil	4	B
				Tuaminoheptane	Tuamine	4	C
				Vedaprofen		4	C
Terfenadine	Seldane, Triludan	4	B	Verapamil	Calan, Isoptin	4	B
		4	D	Xylometazoline	Otrivin	4	B
Tetrahydrozoline	Tyzine	4	B	Zafirlukast	Accolate	4	C
Theobromine		4	C	Zeranol	Ralgro	4	C
Thiosalicylate		4	C	Zileuton	Zyflo	4	C
Thiphenamil	Trocinate	4	B				

Listing by Classification

Class 5: This class includes *the following* therapeutic medications for which concentration limits have been established.

Drug	Trade Name	Drug Class	Penalty Class
Anisindione		5	D
Cilostazol	Pletal	5	D
Cimetidine	Tagamet	5	D
Cromolyn	Intel	5	D
Dicumarol	Dicumarol	5	D
Dimethylsulfoxide (DMSO)	Domoso	5	D
Dimethylsulphone (MSM)		5	D
Diphenadione		5	D
Famotidine	Gaster, etc.	5	D
Lansoprazole		5	D
Misoprostel	Cytotec	5	D
Nedocromil	Tilade	5	D
Nizatidine	Axid	5	D
Omeprazole	Prilosec, Losec	5	D
Phenindione	Hedulin	5	D
Phenprocoumon	Liquamar	5	D
Pirenzapine	Gastrozepin	5	D
Ranitidine	Zantac	5	D
Warfarin	Coumadin, Coufarin	5	D

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT TO
CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION,
TO IMPLEMENT THE RACING COMMISSIONERS INTERNATIONAL (RCI)
MODEL RULE RECOMMENDATIONS

Regular Board Meeting
October 18, 2012

BACKGROUND

Business and Professions Code section 19440 states the Board has all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 states that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof.

Board Rule 1845, Authorized Bleeder Medication, provides that authorized bleeder medication for the control of exercised induced pulmonary hemorrhage (EIPH) may be administered to a horse on the authorized bleeder medication list. A horse is eligible to race with authorized bleeder medication if the licensed trainer and/or veterinarian determines it is in the horse's best interest. If a horse will race with authorized bleeder medication, the official veterinarian must be informed prior to entry, as specified.

At the January 19, 2012 Medication and Track Safety Committee Meeting the Equine Medical Director reported that the Racing Commissioners International (RCI) proposed changes to its model rule for race day medications. The amended model rule would require non-practicing veterinarians or veterinarian technicians to administer Lasix. The Equine Medical Director stated that in California that would be official veterinarians, association veterinarians, or non-practicing third party veterinarians contracted to provide the service. In addition, he stated the model rule called for Lasix only, with no adjuncts allowed. A California Thoroughbred Trainers representative said his organization had no objections to the rule, but stated the issue of stable security should be included.

At the April 11, 2012 Medication and Track Safety Committee Meeting a proposal to amend Rule 1845 was discussed. The Board's Equine Medical Director stated that he would present a draft of the language for an amendment to Rule 1845 at the next Medication and Track Safety

Committee Meeting. He also stated that the language would have the same principles as the RCI model rule, which is that the non-practicing veterinarians would be administering only Lasix.

At the August 24, 2012 Medication and Track Safety Committee Meeting, an amendment based on the RCI model rules regarding the administration of Lasix was proposed. The Committee supported the proposed amendment and agreed to present it to the Board at its October 2012 Regular Meeting.

ANALYSIS

The proposed amendment to Rule 1845 provides that only the authorized bleeder medication furosemide (Lasix) may be administered by a single intravenous injection. The furosemide shall be administered only by the official veterinarian, the racing veterinarian or a designee of the official veterinarian. A registered veterinary technician under the supervision of a veterinarian may also administer furosemide. Any veterinarian or veterinary technician who is authorized to administer furosemide shall be prohibited from working as a private veterinarian or registered veterinary technician at the race track or with participating licensees. The proposed amendment to Rule 1845 requires licensed owners of treated horses to pay the costs of administration of furosemide.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
RULE 1845. AUTHORIZED BLEEDER
MEDICATION

Regular Board Meeting
October 18, 2012

1845. Authorized Bleeder Medication.

Authorized bleeder medication for the control of exercised induced pulmonary hemorrhage (EIPH) may be administered to a horse on the authorized bleeder medication list.

(a) A horse is eligible to race with authorized bleeder medication if the licensed trainer and/or veterinarian determines it is in the horse's best interest. If a horse will race with authorized bleeder medication, form CHRB 194 (New 08/04), Authorized Bleeder Medication Request, which is hereby incorporated by reference, shall be used to notify the official veterinarian prior to entry.

(b) The official laboratory shall measure the specific gravity of post-race urine samples to ensure samples are sufficiently concentrated for proper chemical analysis. The specific gravity of such samples shall not be below 1.010.

(c) If the specific gravity of the post-race urine sample is determined to be below 1.010, or if a urine sample is not available for testing, quantitation of furosemide in serum or plasma shall then be performed. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.

(d) A horse qualified to race with authorized bleeder medication shall be assigned to a pre-race security stall prior to the scheduled post time for the race in which it is entered, and shall remain there until it is taken to the receiving barn or the paddock to be saddled or harnessed

for the race. While in the security stall, the horse shall be in the care, custody, control and constant view of the trainer, or a licensed person assigned by the trainer. The trainer shall be responsible for the condition, care and handling of the horse while it remains in the security stall. The official veterinarian may permit a horse to leave the security stall to engage in track warm-up heats prior to a race.

(e) A horse qualified for administration of authorized bleeder medication must be treated on the grounds of the racetrack where the horse will race no later than four hours prior to post time of the race for which the horse is entered.

(1) The only authorized bleeder medication, ~~furosemide~~, shall be furosemide administered by a single intravenous injection only, in a dosage of not less than 150 mg. or not more than 500 mg.

(2) Furosemide shall be administered by the official veterinarian, the racing veterinarian or a veterinarian designated by the official veterinarian. Registered veterinary technicians under the supervision of the official veterinarian, racing veterinarian or a designee of the official veterinarian may administer authorized bleeder medication.

(a) Any veterinarian or registered veterinary technician designated to administer authorized bleeder medication shall be prohibited from working as a private veterinarian or a registered veterinary technician at the race track or with participating licensees.

(b) The licensed owners of horses administered furosemide in the manner prescribed in this section shall pay the costs associated with such administration.

(3) A horse racing with furosemide must show a detectable concentration of the drug in the post-race serum, plasma or urine sample.

(4) The veterinarian administering the bleeder medication shall notify the official veterinarian of the treatment of the horse. Such Notification shall be made using CHRB form-36 (New 08/04), Bleeder Treatment Report, which is hereby incorporated by reference, not later than two hours prior to post time of the race for which the horse is entered.

(5) Upon the request of a Board representative, the veterinarian administering the authorized bleeder medication shall surrender the syringe used to administer such medication, which may then be submitted for testing.

(f) A horse placed on the official authorized bleeder medication list must remain on the list unless the licensed trainer and/or veterinarian requests that the horse be removed. The request must be made using CHRB form 194 (New 08/04), and must be submitted to the official veterinarian prior to the time of entry. A horse removed from the authorized bleeder medication list may not be placed back on the list for a period of 60 calendar days unless the official veterinarian determines it is detrimental to the welfare of the horse. If a horse is removed from the authorized bleeder medication list a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.

(g) If the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race or workout, and determines such bleeding is a direct result of EIPH, the horse shall be ineligible to race for the following periods:

- First incident—14 days;
- Second incident within 365-day period—30 days;
- Third incident within 365-day period—180 days;
- Fourth incident within 365-day period—barred for racing lifetime.

For the purposes of counting the number of days a horse is ineligible to run, the day after the horse bled externally is the first day of such period. The voluntary administration of authorized bleeder medication without an external bleeding incident shall not subject a horse to the initial period of ineligibility as defined under this subsection.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580 and 19581,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE 2013 ALLOCATED RACE DATES TO
PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS
AND THE HUMBOLDT COUNTY FAIR AT FERNDALE
AND THE OVERLAP AND HOST FEE REVENUES
ASSOCIATED WITH THESE 2013 RACE MEETINGS

Regular Board Meeting
October 18, 2013

BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

ANALYSIS

At the September 20, 2012 Regular Board meeting, Pacific Racing Association (PRA), California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC) submitted a joint request for 2013 Northern California Race Dates. PRA, CARF and the TOC were in agreement on the proposed 2013 Northern California race dates as submitted, with the exception of August 14-25.

PACIFIC RACING ASSOCIATION (PRA)

PRA at Golden Gate Fields - December 25, 2012 to June 18, 2013 (Winter/spring race meeting)

- PRA II at Golden Gate Fields – August 14, 2013 to September 17, 2013 (Summer race meeting)
- PRA at Golden Gate Fields – October 16, 2013 to December 25, 2013 (Fall race meeting)

CALIFORNIA AUTHORITY OF RACING FAIRS

- Alameda County Fair at Pleasanton – June 19, 2013 to July 9, 2013
- Cal Expo - July 10, 2013 to July 23, 2013
- Sonoma County Fair at Santa Rosa- July 24, 2013 to August 13, 2013
- Humboldt County Fair at Ferndale – August 14, 2013 to August 25, 2013
- San Joaquin County Fair at Stockton – September 18, 2013 to October 1, 2013
- Fresno County Fair at Fresno – October 2, 2013 to October 15, 2013

The Board allocated the proposed dates as submitted, leaving open for further discussion by the parties the issue of PRA overlap of the Humboldt County Fair and host revenues for the 2013 race meeting. Subsequent to the September 2012 Board meeting the parties were to continue to meet and come up with a plan and/or compromise to address the issue.

RECOMMENDATION

Staff recommends the Board hear from the parties.

STAFF ANALYSIS
October 18, 2012

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB AT SANTA ANITA DECEMBER 26, 2012 THROUGH APRIL 21, 2013.

Los Angeles Turf Club (LATC) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

- December 26, 2012 through April 21, 2013, or 71 days, 8 days less than 2012. The association proposes to race a total of 596 races, or 8.67 per day. In 2012 LATC raced 624 races with an average of 7.86 runners per race. The (actual) average daily purse for the 2012 race meeting was \$476,693. The (estimated) average daily purse for this race meeting is \$490,087.
- The race dates proposed are the dates the Board allocated.

December - 2012						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

January - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1 H	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

February - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28		

March - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

April - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

- Racing 4 days per week, Thursday through Sunday with the exception of Wednesday, December 26, 2012. Racing 5 days Monday, December 31, 2012 – January 6, 2013; Monday, January 21, 2013 – January 27, 2013 and Monday, February 18, 2013 - February 24, 2013. No less than 7 races weekdays; 9 races Opening Day, weekends and January 1, 2013, January 21, and February 18, 2013, with the option to program 10 races on such days.
- First post 1:00 p.m. all weekdays; 12:30 p.m. weekends and holidays with the following exceptions
 - Wednesday, December 26, 2012 (Opening Day) 12:00 p.m.
 - Sunday, January 27, 2013 (Sunshine Millions) – 12:00 p.m.
 - Sunday, February 3, 2013 (Super Bowl) – 11:00 a.m.
 - Saturday, March 2, 2013 (Santa Anita Handicap) – 12:00 p.m.

- Saturday, April 6, 2013 (Santa Anita Derby) – 12:00 p.m.
- Wagering program will use CHRB rules and ARCI rules.
 - Early wagering will be 8:30 a.m. to 10:00 a.m. each day.
 - \$2 – Rolling Daily Double beginning on first race.
 - \$1 Trifecta on eligible races
 - ¢.10 Superfecta on all eligible races
 - \$1 – Place Pick 8 on eight race program; \$1 Place Pick 9 on nine race program; \$1 Place Pick 10 on ten race program.
 - \$2 - Pick 6 on last 6 races – 70% carryover, 30% minor – Alternate runner provision.
 - ¢.50 Pick 4 on second race and the last four live races – Alternate runner provision.
 - ¢.50 pick 5 starting first race with a 14% takeout. One tier payoff; 100% carryover.
 - \$ Pentafecta selecting first five finishers in same race – 100% payout on tickets selecting five winners. – No consolation 100% carryover if no ticket has five winners. Super High-5 carded as last race of day.
- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet and Twinspires.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.

- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Horsemen's agreement.
2. Pertinent contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated ADW providers to accept wagers on races conducted in an outside of California for the applied license term.
3. 2013 Vanning and Stabling agreement.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Los Angeles Turf Club, Inc.
 285 W. Huntington Drive
 Arcadia, CA 91007
 Phone (626) 574-7223 Fax (626) 446-9565
george.haines@santaanita.com

B. Breed of horse: TB QH H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
Attached

E. Was the association licensed to operate a race meeting prior to January 1, 2001?
 Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 26, 2012 through April 21, 2013**

B. Actual dates racing will be held: **December 26, 28, 29, 30, 31, January 1, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 21, 24, 25, 26, 27, 31, February 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 18, 21, 22, 23, 24, 28, March 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 21, 22, 23, 24, 28, 29, 30, 31, April 4, 5, 6, 7, 11, 12, 13, 14, 18, 19, 20, and 21.**

C. Total number of days or nights of racing: **71 days**

D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays; Exception: Racing Wednesday, December 26 and Monday, December 31, 2012; Monday, January 21 and Monday, February 18, 2013.

CHRB CERTIFICATION

Application received: 9/26/12
 Deposit received: 10K
 Reviewed: [Signature]

Hearing date: 10/18/12
 Approved date:
 License number:

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file with the Board.**

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club II, Inc.**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **#481360**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Mark Verge – CEO, 0 Shares
George Haines – President (Officer), 0 Shares
Lyle Strachan – Executive Vice President, Finance (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Gina Lavo – Vice President, Finance (Officer), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada.**
Email: jane.lynn@stronachgroup.com
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc. (see attached Supplement)
 - A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **N/A** Yes No
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

Los Angeles Turf Club, Inc. License Application
 4. RACING ASSOCIATION – C. CORPORATION – 9. Supplement

Corporation	State of Inc./ File Number	Officers/Directors/Titles/Shares	Outstanding Shares	Publicly Traded	Custodian of Shareholder List
Los Angeles Turf Club, Inc.	California/ #3481872	Scott Daruty, Director; 0 shares	100	No	Jane Lynn, General Counsel
		George Haines-President, Director; 0 shares			
		Lyle Strachan-Exec VP, Finance; 0 shares			
		Mike Rogers-VP, Operations; 0 shares			
		Frank De Marco, Jr.-VP, Regulatory Affairs; 0 shares			
		Gina Lavo-VP, Finance; 0 shares			
TSG Developments Investments Inc.	Delaware/ #4792150	Belinda Stronach-Director; 0 shares	1,000	No	Jane Lynn, General Counsel
		Lyle Strachan-Exec VP, Finance; 0 shares			
		Mike Rogers-VP; Operations; 0 shares			
TSG Developments US Financing Inc.	Delaware/ #4759939	Belinda Stronach-Director; 0 shares	1,700	No	Jane Lynn, General Counsel
		Lyle Strachan-Exec VP, Finance; 0 shares			
		Mike Rogers-VP, Operations; 0 shares			
TSG Developments US Holdings Inc.	Delaware/ #4759934	Belinda Stronach-Director; 0 shares	Not Applicable	No	Jane Lynn, General Counsel
		Lyle Strachan-Exec VP, Finance; 0 shares			
		Mike Rogers-VP, Operations; 0 shares			
Stronach Trust	Province of Ontario	Frank Stronach, Trustee	Not Applicable	N/A	Jane Lynn, General Counsel
		Elfriede Stronach, Trustee			
		Belinda Stronach, Trustee			
		Andrew Stronach, Trustee			

- E. Number of days or nights of racing per week:
 4 days per week; Exception: 5 days Monday, December 31, 2012 – Sunday, January 6, 2013;
 5 days Monday, January 21 – Sunday, January 6, 2013 and 5 days Monday, February 18 – Sunday,
 February 24, 2013.

3. RACING PROGRAM

- A. Total number of races: Estimated: 596
- B. Number of races for each day or night: No less than 7 races weekdays;
 Nine races Opening Day, Weekends, and January 1, January 21, and February 18, with the option
 to program ten races on such days.
- C. Total number of stakes races: 48
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or
 guaranteed purse for each. Note the races that are designated for California-bred horses.
 2012-2013 Proposed Stakes Schedule (Exhibit 3D)
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

	<u>All Weekdays</u>	<u>Weekends & Holidays</u>
Race 1	1:00 p.m.	12:30 p.m.
Race 2	1:30 p.m.	1:00 p.m.
Race 3	2:00 p.m.	1:30 p.m.
Race 4	2:30 p.m.	2:00 p.m.
Race 5	3:00 p.m.	2:30 p.m.
Race 6	3:30 p.m.	3:00 p.m.
Race 7	4:00 p.m.	3:30 p.m.
Race 8	4:30 p.m.	4:00 p.m.
Race 9		4:30 p.m.
Race 10		5:00 p.m. (When Applicable)
Race 11		5:30 p.m. (When Applicable)

Exceptions:

Wednesday, December 26, 2012	Opening Day	12:00 pm
Saturday, January 27, 2013	Sunshine Millions	12:00 pm
Sunday, February 3, 2013	Super Bowl	11:00 am
Saturday, March 2, 2013	Santa Anita Handicap	12:00 pm
Saturday, April 6, 2013	Santa Anita Derby	12:00 pm

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired entity either a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Attached (Exhibit 4F)
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly it's operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Financial information will be submitted by Gina Lavo.

Run Date	Race	Age	Distance	Purse	Cost
Wed Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000	\$270,000
Wed Dec 26	Sir Beaufort Stakes (Grade II)	3 YO	1 M (T)	\$150,000	\$130,000
Wed Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000	\$270,000
Sat Dec 29	Monrovia Stakes (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000	\$85,000
Sun Dec 30	Robert J. Frankel Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$150,000	\$130,000
Sat Jan 5	Sham Stakes (Grade III)	3 YO	1 M	\$100,000	\$90,000
Sat Jan 5	San Pasqual Stakes (Grade II)	4 & UP	1 1/16 M	\$150,000	\$135,000
Sun Jan 6	Daytona Hcp (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000	\$90,000
Sat Jan 12	San Fernando Stakes (Grade II)	4 YO	1 1/16 M	\$150,000	\$130,000
Sat Jan 12	Santa Ynez Stakes (Grade II)	F, 3 YO	6 1/2 F	\$150,000	\$130,000
Sun Jan 13	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$150,000	\$135,000
Sat Jan 19	Palos Verdes Stakes (Grade II)	4 & UP	6 F	\$150,000	\$130,000
Sun Jan 20	La Canada Stakes (Grade II)	F, 4 YO	1 1/16 M	\$150,000	\$130,000
Sat Jan 26	California Breeders Champion Stakes	2 YO (CA Breds)	7 F	\$300,000	\$235,000
Sat Jan 26	California Breeders Champion Stakes	F, 2 YO (CA Breds)	7 F	\$300,000	\$235,000
Sat Jan 26	Valentine Dancer Stakes	F/M, 4 & UP (CA Breds)	1 M (T)	\$100,000	\$85,000
Sat Jan 26	Crystal Water Stakes	4 & UP (CA Breds)	1 1/8 M (T)	\$100,000	\$85,000
Sat Jan 26	Santa Monica Stakes (Grade I)	F/M, 4 & UP	7 F	\$300,000	\$270,000
Sat Jan 26	Santa Ysabel Stakes (Grade III)	F, 3 YO	1 1/16 M	\$100,000	\$85,000
Sat Feb 2	Strub Stakes (Grade II)	4 YO	1 1/8 M	\$200,000	\$170,000
Sat Feb 2	Robert B. Lewis Stakes (Grade II)	3 YO	1 1/16 M	\$200,000	\$170,000
Sun Feb 3	San Antonio Stakes (Grade II)	4 & UP	1 1/8 M	\$200,000	\$170,000
Sat Feb 9	San Marcos Stakes (Grade II)	4 & UP	1 1/4 M (T)	\$150,000	\$130,000
Sat Feb 16	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000	\$170,000
Sun Feb 17	San Vicente Stakes (Grade II)	3 YO	7 F	\$150,000	\$130,000
Mon Feb 18	Buena Vista Handicap (Grade II)	F/M, 4 & UP	1 M (T)	\$150,000	\$130,000
Sat Feb 23	San Carlos Stakes (Grade II)	4 & UP	7 F	\$200,000	\$170,000
Sat Mar 2	Las Virgenes Stakes (Grade I)	F, 3 YO	1 M	\$250,000	\$220,000
Sat Mar 2	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$750,000	\$637,500
Sat Mar 2	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$300,000	\$270,000
Sat Mar 9	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$300,000	\$270,000
Sun Mar 10	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 1/2 F	\$100,000	\$85,000
Sat Mar 16	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$300,000	\$270,000
Sat Mar 16	San Luis Rey Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$150,000	\$130,000
Sun Mar 17	Irish O'Brien Stakes	F/M, 4 & UP (CA Breds)	abt 6 1/2 F (T)	\$100,000	\$85,000
Sat Mar 23	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000	\$85,000
Sun Mar 24	Santa Ana Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$150,000	\$130,000
Sat Mar 30	Echo Eddie Stakes	3 YO (CA Breds)	6 1/2 F	\$200,000	\$90,000
Sat Mar 30	Evening Jewel Stakes	F, 3 YO (CA Breds)	6 1/2 F	\$200,000	\$90,000
Sat Apr 6	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$300,000	\$270,000
Sat Apr 6	Potrero Grande Handicap (Grade II)	4 & UP	6 1/2 F	\$150,000	\$130,000
Sat Apr 6	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$750,000	\$660,000
Sun Apr 7	Providencia Stakes (Grade II)	F, 3 YO	1 1/8 M (T)	\$150,000	\$130,000
Sat Apr 13	Arcadia Stakes (Grade II)	4 & UP	1 M (T)	\$150,000	\$130,000
Sat Apr 13	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000	\$85,000
Sat Apr 20	Santa Barbara Handicap (Grade II)	F/M, 4 & UP	1 1/4 M (T)	\$150,000	\$130,000

Sat Apr 20	San Simeon Handicap (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000	\$85,000
Sun Apr 21	San Juan Capistrano Handicap (Grade II)	4 & UP	abt 1 3/4 M (T)	\$150,000	\$130,000
				\$9,650,000	\$8,202,500
*** ALL RACES ARE GUARANTEED unless indicated					

G. MANAGEMENT AND STAFF

- 1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Mark Verge – CEO
 George Haines – President and General Manager
 Lyle Strachan – Executive Vice President, Finance
 Mike Rogers, Vice President, Operations
 Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
 Gina Lavo – Vice President Finance, Controller
 Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting
 John Niedzwiedz – Senior Director of Marketing
 Candace Coder-Chew – Director of Print and Graphics
 Randy Fowler – Director of Food and Beverage
 Randy Hartzell – Director of Mutuels
 Pete Siberell – Director of Community Service and Special Events
 Jason Spetnegal – Director of Facilities
 Ken Walker – Director of Labor Relations
 Mike Willman – Director of Publicity and Public Relations
 Nina Zukin – Director of Information Services
 Jay Cohen – Hornblower
 Trevor Denman – Public Address Commentator/Announcer

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

George Haines – President and General Manager
 Los Angeles Turf Club, Inc.
 285 W. Huntington Drive
 Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
 Email: george.haines@santaanita.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **Letters submitted to the Board.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen’s organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.



George R. Haines, II
President and General Manager

September 25, 2012

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, California 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code section 19601.01, Los Angeles Turf Club, Incorporated ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout as set forth below to be offered during LATC's 2012-13 Winter/Sprint Meet, December 26, 2012 – April 21, 2013:

- Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on LATC's license application in Section 5A.

Please contact me directly if you have any questions.

Best regards,

George R. Haines

GRH:mr

cc: Mr. Frank De Marco, Jr.
Mr. Lou Rafetto

Los Angeles Turf Club, Inc.

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

OFFICERS

MIKE PEGRAM
CHAIR

PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.

JACK OWENS
VICE CHAIR, NORTHERN CA.

GEORGE KRIKORIAN
SECRETARY/TREASURER

ED MOGER
AT LARGE

JOHN SADLER
AT LARGE

DIRECTORS

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MARK DEDOMENICO

MIKE HARRINGTON

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KATHY WALSH

MICHAEL WELLMAN

EXECUTIVE STAFF

LOU RAFFETTO
PRESIDENT

KELLEE BREEN
CHIEF FINANCIAL OFFICER

MARY FORNEY
DIRECTOR OF OPERATIONS

RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,
2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

September 26, 2012

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita Winter/Spring Meet 2012-13

Dear Ms. Wagner,

Los Angeles Turf Club, Inc. (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout. The TOC agrees to such a wager based upon the same terms and conditions as it was offered last season.

Please feel free to contact me with any questions.

Sincerely,

Louis J. Raffetto, Jr.
President

cc: George Haines
Mary Saenz

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2011-2012	\$655,817,799	581,311
2010-2011	\$590,056,282	553,311
2009-2010	\$721,028,713	610,153
2008-2009	\$862,528,655	697,958
2007-2008	\$928,763,175	671,725

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**A. Purse distribution:**

1. All races other than stakes:
 - Current meet estimate: **\$21,708,000**
 - Prior meet actual: **\$21,346,574**
 - Average Daily Purse (7 A1 ÷ number of days):
 - Current meet estimate: **\$305,746**
 - Prior meet actual: **\$296,480**

2. Overnight stakes:
 - Current meet estimate: **\$1,235,000**
 - Prior meet actual: **\$1,235,000**
 - Average Daily Purse (7 A2 ÷ number of days):
 - Current meet estimate: **\$17,394**
 - Prior meet actual: **\$17,153**

3. Non-overnight stakes:
 - Current meet estimate: **\$8,202,500**
 - Prior meet actual: **\$8,160,500**
 - Average Daily Purse (7 A3 ÷ number of days):
 - Current meet estimate: **\$115,528**
 - Prior meet actual: **\$113,340**

4. Total Purses: (7A1+7A2+ 7A3)
 - Current meet estimate: **\$31,145,500**
 - Prior meet actual: **\$30,742,074**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 - Current meet estimate: **13%**
 - Prior meet actual: **13%**
 - Average Daily Purse (7 B1 ÷ number of days):
 - Current meet estimate: **\$0.18**
 - Prior meet actual: **\$0.18**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$2,924,000
 Prior meet actual: \$2,873,773
- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):
 Recognized Horsemen's Organization
- | | Current meet estimate: | Prior meet actual: |
|--------------------|------------------------|--------------------|
| NTRA | \$ 70,000 | \$ 46,770 |
| TOC | \$262,668 | \$264,918 |
| CTT Pension | \$262,668 | \$264,918 |
| CTT Administration | <u>\$131,334</u> | <u>\$132,460</u> |
| Total | \$726,670 | Total \$709,066 |
- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: \$34,796,170
 Prior meet actual: \$34,321,913
 Average Daily Purse (7 E ÷ number of days):
 Current meet estimate: \$490,087
 Prior meet actual: \$476,693
- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):
 Current meet estimate: \$16,764,000
 Prior meet actual: \$17,305,252
 Average Daily Purse (7 F ÷ number of days):
 Current meet estimate: \$236,113
 Prior meet actual: \$240,351
- G. Purse funds to be generated from interstate handle:
 Current meet estimate: \$15,175,932
 Prior meet actual: \$14,840,769
 Average Daily Purse (7 G ÷ number of days):
 Current meet estimate: \$213,746
 Prior meet actual: \$206,122
- H. Bank and account number for the Paymaster of Purses' purse account:
 Wells Fargo Regional commercial Bank, West Covina Office, paid through Paymaster of Purses
 Account # On file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
 Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
 Phone: (909) 944-6465
 Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,500**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Hollywood Park 1,500**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

SCOTW Off-Site Stabling and Vanning Agreement thru 12/31/2012 on file. 2013 agreement will be submitted to the board when finalized and prior to expiration of existing agreement.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$960,000 (\$ 8.00/day/stall; excludes vanning costs)
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **\$340,000 (\$160 RT for Hollywood Park)**

9. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS						APPLICABLE RULES
Example Race: \$1 E; \$1 Double						CHRB #1959; RCI #VE
Race #1	\$1E	\$2DD	\$1PK3	\$1PPN	\$0.50PNP5	Superfecta CHRB #1959, 1957, 1977, 1976.8, 1976.9, 1979.1
Race #2	\$1E	\$2DD	\$1PK3		\$0.50PNP4	Superfecta CHRB #1959, 1957, 1977, 1979.1, 1976.9
Race #3	\$1E	\$2DD	\$1PK3	\$2PNP6		Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #4	\$1E	\$2DD	\$1PK3	\$2PNP6		Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #5	\$1E	\$2DD	\$1PK3	\$2PNP6	\$0.50PNP4	Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #6	\$1E	\$2DD	\$1PK3	\$2PNP6	\$0.50PNP4	Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #7	\$1E	\$2DD	\$1PK3		\$0.50PNP4	Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #8	\$1E	\$2DD	\$1PK3	SuperH5	\$0.50PNP4	Superfecta CHRB #1959, 1957, 1977, ARCI-004-105, 1976.9, 1979.1.
*Race #9	\$1E	\$2DD		SuperH5		Superfecta CHRB #1959, 1957; ARCI-004-105; 1979.1.
*Race #10	\$1E	\$2DD		SuperH5		Superfecta CHRB #1959, 1957; ARCI-004-105; 1979.1.
*Race #11	\$1E	\$2DD		SuperH5		Superfecta CHRB #1959, 1957; ARCI-004-105; 1979.1.

(*) When Applicable

- Rolling \$2 Daily Doubles beginning with Race #1. (CHRB 1957)
- \$1 Trifecta on all eligible races. (CHRB 1979)
- \$0.10 Superfecta wagering on all qualifying races. (CHRB 1979.1)
- Incoming simulcasts will follow host track format.
- \$1 Place PK8 on 8-race program; Place PK9 on 9-race program, Place PK10 on 10-race program. Alternate runner provision. [All \$1 Wagers] No carryover. Pay all tickets with most winners. (CHRB 1976.8)
- \$2 PNP6 Last six live races 70% Carryover, 30% Minor. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP4 starting the second race and the last four live races. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP5 starting the first race; minimum wager fifty cents, 14% takeout. One tier payoff; 100% carryover.
- \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 will be carded as last race of the day. [Applicable rule: ARCI-004-105 T-PICK (N) POSITION (X) POOLS]

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:
Unlimited; final distribution April 21, 2013

C. List any options requested with regard to exotic wagering: N/A

D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30 a.m. to 10:00 a.m.

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Sportech (previously Scientific Games, LLC) Expires: September 2015

10. **ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- Twinspires
- TVG

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **Attached** (Exhibits 10B)
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. See attached TOC letter.
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.

- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

Alameda County Fair, Pleasanton
 Bankers Casino, Salinas (mini-satellite, opens summer 2012)
 Big Fresno Fair, Fresno
 California State Fair & Exposition, Sacramento
 Fresno Club One, Fresno
 Kern County Fair, Bakersfield
 Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Santa Clara County Fair, San Mateo
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock
 The Jockey Club, San Mateo
 Tulare Country Fair, Tulare

Southern California

Antelope Valley Fairgrounds Watch & Wager, Lancaster
 Barona Valley Ranch & Casino, Lakeside
 Cabazon Fantasy Springs Casino, Indio
 Commerce Casino, Commerce (mini-satellite)
 Fairplex Park, Pomona
 Hollywood Park, Inglewood
 Los Alamitos Race Course, Los Alamitos
 OC Tavern Grill & Sports Bar, San Clemente
 Original Roadhouse Grill, Santa Maria
 Santa Clarita Lanes, Saugus (opens 2012)
 Shalimar Sports Center, Indio
 Sports Center at National Orange Show, San Bernardino
 Sports Pavilion at The Farmer's Fair, Perris
 Sports Pavilion San Bernardino Co. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 The Derby Club, Seaside Park
 Ventura Fair, Ventura
 Viegas Casino & Turf Club, Alpine

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Attached (Exhibit 11D/E)
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Attached (Exhibit 11D/E)

September 17, 2012

Mr. Tom Varela
 Monarch Content Management
 Fax:(626)821-1520
 Email: tvarela@monarchmanagement.com

RE: 2012-13 Los Angeles Turf Club – Santa Anita Race Meeting Interstate Simulcast Approvals

Dear Tom,

This letter shall serve as TOC's authorization for the Los Angeles Turf Club, dba Santa Anita ("SA") to transmit the audio visual signal of SA Thoroughbred races to, and accept wagers on those races placed through, the out-of- state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided by Monarch Content Management ("Monarch") on behalf of SA, during the SA racings season commencing December 26, 2012, continuing through April 21, 2013.

As a condition of TOC's authorization of the acceptance of wagers on SA races by Guests which engage in phone and/or Internet wagering, herein called "ADW," SA shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both SA and Guest to facilitate the separation of ADW and non-ADW data in reports used by SA and/or CHRIMS to account for and settle balances between SA and Guest.

TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified herein or to any other Guest. TOC reserves the right to cancel or withdraw its authorization for any Guest, at anytime, upon 48hours written notice

Pursuant to AB-701, raising the takeout to fund Workers' Compensation costs, SA shall collect the incremental increase in takeout as an addition to the money room adjustment, for the transmittal to the Fund in accord with such legislation. Should any Guest herein authorized fail to enter into a contractual obligation with SA to allocate this additional takeout in this manner, TOC authorization for that site is hereby immediately rescinded.

Please feel free to contact me, should you have any questions.

Sincerely,



Lou Raffetto
 President



OFFICERS

MIKE PEGRAM
 CHAIR
 PABLO SUAREZ
 VICE CHAIR, SOUTHERN CA.
 SECRETARY
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
 PETE PARRELLA
 TREASURER
 ED MOGER
 AT LARGE

DIRECTORS

MADELINE AUERBACH
 MARTIN BACH
 BOB BAFFERT
 BRIAN BOUDREAU
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 MARK DEDOMENICO
 RON ELLIS
 CAL FISCHER
 BILLY KOCH
 KEITH PRONSKE

EXECUTIVE STAFF

LOU RAFFETTO
 PRESIDENT
 KELLEE BREEN
 CHIEF FINANCIAL OFFICER
 ALISON LADUKE
 PROJECT COORDINATOR
 RICHARD SCHEIDT
 NO. CA. DIRECTOR OF
 OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03)
 RON CHARLES (2003-04)
 JACK B. OWENS (2004-05)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)
 JACK B. OWENS (2011)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Bankers Casino, Salinas (opening summer 2012)
Commerce Casino, Commerce
OC Tavern Grill & Sports Bar, San Clemente
Original Roadhouse Grill, Santa Maria
Santa Clarita Lanes, Saugus (opening summer 2012)
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

2013 Import races: Track	Race Dates	Full or Partial Cards and Stakes
Aqueduct	December 26 to April 21, 2013	Full or Partial Cards
Belmont	December 26 to April 21, 2013	Full or Partial Cards
Beulah	December 26 to April 21, 2013	Full or Partial Cards
Calder	December 26 to April 21, 2013	Full or Partial Cards
Charlestown	December 26 to April 21, 2013	Full or Partial Cards
Churchill Downs	December 26 to April 21, 2013	Full or Partial Cards
Delaware Park	December 26 to April 21, 2013	Full or Partial Cards
Finger Lakes	December 26 to April 21, 2013	Full or Partial Cards
Fort Erie	December 26 to April 21, 2013	Full or Partial Cards
Hastings Park	December 26 to April 21, 2013	Full or Partial Cards
Hawthorne	December 26 to April 21, 2013	Full or Partial Cards
Hoosier	December 26 to April 21, 2013	Full or Partial Cards
Keeneland	December 26 to April 21, 2013	Full or Partial Cards
Laurel	December 26 to April 21, 2013	Full or Partial Cards
Mountaineer Park	December 26 to April 21, 2013	Full or Partial Cards
PARX racing (Philadelphia)	December 26 to April 21, 2013	Full or Partial Cards
Penn National	December 26 to April 21, 2013	Full or Partial Cards
Presque Isle	December 26 to April 21, 2013	Full or Partial Cards
Remington	December 26 to April 21, 2013	Full or Partial Cards
Retama Park	December 26 to April 21, 2013	Full or Partial Cards
South America Racing	December 26 to April 21, 2013	Full or Partial Cards
Suffolk Downs	December 26 to April 21, 2013	Full or Partial Cards
Thistledown	December 26 to April 21, 2013	Full or Partial Cards
Turfway Park	December 26 to April 21, 2013	Full or Partial Cards
Turf Paradise	December 26 to April 21, 2013	Full or Partial Cards
United Kingdom	December 26 to April 21, 2013	Full or Partial Cards
Woodbine	December 26 to April 21, 2013	Full or Partial Cards
Zia Park	December 26 to April 21, 2013	Full or Partial Cards

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

I. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

**Board of Directors
Los Angeles Turf Club, Inc.
295 W. Huntington Drive
Arcadia, CA 91007**

B. Names and addresses of the trustees or directors of the distributing agent:

**Frank DeMarco, Jr., Secretary
Los Angeles Turf Club, Inc.
295 W. Huntington Drive
Arcadia, CA 91007**

C. Dates the association will conduct races as charity racing days OR:

ctions D and E

nWest Entertainment
 Amwest Accounts
 Riders Up (SD)
 Sports Center
 Time Out Lounge (SD)
 Triple Crown (SD)
 Apache-Mile High
 Colorado phone
 Lima Race Club
 Livingston
 Atlantic City Racecourse
 Okad
 Almorat
 Angor Raceway
 Atavira
 Aulah Park
 Birmingham
 Bucks Run Greyhound
 Buffalo Raceway
 Twin International Ltd.
 Calder Racecourse
 Canterbury Day
 Capital District OTB
 Catskills OTB
 Charles Town Race Course
 Chester Downs & Marina LLC
 Chester Downs Acct Wagering
 Churchill Downs
 Club Hipica InTurf
 Coeur d' Alene Casino
 Coeur d' Alene Account
 Colonial Downs
 Colonial Downs Phone Bet
 Columbus Raceway
 Connecticut OTB
 Bradley Teletheater, Bristol
 New Britain, Norwalk, Milford
 East Haven, Hartford, Putnam
 Shoreline Star, Sports Haven
 Torrington, Waterbury
 Manchester, New London
 Willimantic
 John Martin's Manor Restaurant
 Connecticut OTB ADW
 Paragon Casino
 Ho-Chunk Casino and Racebook
 Mohegan Sun Casino
 Oneida Bingo and Casino
 Pony Bar Simulcast Center
 Tote Investment Racing
 Randall James Racetrack
 Millenium Racing
 Royal Beach Casino
 Divi Carina Bay Casino
 Fair Chance
 Corpus Christi Greyhound
 Cypress Bayou OTB
 Delaware
 Delta Downs
 Dover Downs
 BET
 Elite Turf Club (1 to 9)

Commingled Locations

Ellis Park
 Emerald Downs
 Euro Wagering Services
 Evangeline Downs
 Fair Grounds
 Fair Grounds ADW
 Fair Meadows
 Finger Lakes
 Fonner
 Freehold
 Gillespie County Fair
 Greenetrack
 Greyhound @ Post Falls
 Gulf Greyhound
 Global Wagering Solutions
 Harrington Raceway
 Hawthorne Race Course
 Hawthorne ADW
 Hazel Park
 Hoosier Park
 Horseman's Park
 Indiana Downs
 Evansville OTB
 Clarkesville OTB
 Intl Betting Assoc. Ltd
 Intermountain (Sandy Downs)
 Keeneland
 Kentucky Downs
 Kentucky OTB
 Lebanon
 Les Bois (Treasure Valley)
 Lewiston OTB's
 Lien Games
 Chips Lounge and Casino
 Howard Johnsons OTB
 Rumors OTB
 Skydancer Casino OTB
 Lien Games ADW
 Lone Star
 Louisiana Downs
 LVDC
 Atlantis Paradise Casino
 Avatar Ventures
 Bar
 Foxwoods Resort Casino
 Meskwaki Bingo & Casino
 The Stables
 Magna Bet
 Maronas (South America)
 Maryland Jockey Club
 Maywood
 Meadowlands
 Meadows The
 Meadows The (ADW)
 Millers OTB
 Mobile Greyhound
 Monmouth Park
 Montana OTB
 Monticello
 Mountaineer Park
 Mt. Pleasant Meadows
 Nassau Regional OTB
 Nevada Pari-Mutuel Assoc.

New Jersey Casino Assoc.
 Newport Jai Alai
 NJ Mobile
 Northfield
 Cedar Downs OTB
 Northville
 NY OTB
 NYRA
 NYRA Account Wagering
 Oaklawn
 Ocean Downs
 Panama
 Penn National
 Penn National Telebet
 Peru
 Philadelphia Park
 Philly Park Phone Bet
 Phumelela
 Plainridge Race Course
 Plainridge Telephone Wagering
 Pocono Account Wagering
 Pocono Downs and OTB
 Portland Meadows
 Potawatomi Casino/ OTB
 Prairie Meadows
 Premier Turf Club
 Presque Isle Downs
 Racebets
 Raceway Park
 Racing2Day LLC
 Remington Park
 Bordertown OTB's
 Choctaw Casino
 Retama
 Racing & Gaming Services
 River Downs
 Rockingham Park
 Royal River Racing (Bettor Racing)
 Ruidoso Downs
 Running Aces Harness Park
 Sam Houston
 Valley Greyhound Park
 Saratoga Harness Raceway
 Saratoga Bets (ADW)
 Scarborough
 Scioto Downs
 Seabrook Greyhound
 Sol Mutuel
 Southland Greyhound
 Sports Creek Raceway
 State Fair (Lincoln Race Course, NE)
 Suffolk District OTB
 Suffolk Downs
 Sunland Park
 SunRay Park & Casino
 Taunton Acct Wagering
 Taunton Dog Track Inc.
 The Downs at Albuquerque
 Thistledown
 Tioga Downs
 Tri-State GH (Mardi Gras)

Turf Paradise
 Turfway Park
 TVG Network
 TVG Yonkers
 Twin River Greyhound
 TwinSpires
 Venezuela OTB
 Vernon Downs
 Western OTB
 Wheeling Downs
 Will Rogers Downs
 XpressBet
 Yavapia Downs
 Yonkers Raceway
 Youbet Illinois
 Zia Park
 Santa Anita
 Separate Pool Locations
 Jamaica
 MIR Books (Caliente)
 Camarero

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie
 Fraser Downs, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Inverness Raceway
 Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton,
 Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters
 Turo Raceway, Western Fair, Windsor Raceway, Woodbine, Woodstock/Ontario

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Melanie Stubblefield
Film Specialist	Ruben Hernandez
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denoval
Patrol Judges	Ruben Hernandez, Karen Denoval
Placing Judges	Bob Moreno, Lisa Jones
Starter	Jay Slender
Timer	Dane Nelson

B. Management officials in the racing department:

VP-Racing	Rick Hammerle
Racing Secretary	Rick Hammerle
Assistant Racing Secretary	Richard Wheeler
Paymaster of Pursés	Cece Connor
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

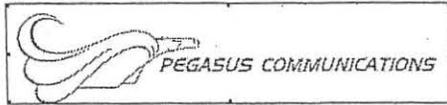
Ms. Barbara Weinstein
 9582 Hamilton Ave., Suite 265
 Huntington Beach, CA 92626 Phone: (714) 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA - Bill O'Brien Expires: December 31, 2012
 New agreement will be forwarded when finalized.

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President Contract term: July 31, 2012 – July 31, 2017
 Pegasus Communications, Inc.
 16633 Ventura Blvd., Suite 1010
 Encino, CA 91436 Phone: 818/907-1900
 Major video and camera equipment listing attached, Exhibit 13E.



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Executive parking lot (E Lot)

Avid HD & SD graphics systems with Pegasus DataLinks interface software

GVG Karrera 4ME Switcher

Recording systems: ZPlay HD video recorder, slow motion playback, 3play 820, and Sony DigiBeta

Audio Calrec Board 120 input

Camera locations and equipment description

1- HD Pan camera 1: Sony HXC 100 triax chain HD

1- HD Pan camera 2: Sony HXC 100 triax chain HD

1- HD camera 3: Sony HXC 100 triax chain HD

1- Dirt 7/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS

1- Turf 7/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS

HD Dirt 3/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS

Turf 3/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS

1- 1/4 pole tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS

1- Hill camera: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS

2- Post Parade IKEGAMI HK-388WP FUJINON 20x8.5 MM LENS in the infield

1- HD Gate: Wireless HD Camera System

2- Paddock: IKEGAMI HK-388WP FUJINON 20x8.5 MM LENS or FUJINON 10X4.8/ WIDE ANGLE LENS 1 on a jib on the roof overlooking the walking ring and 1 in the Paddock Tower.

1- HD Winner's Circle/Finish Line: Sony HXC 100 triax chain (12-foot jib)

2- HD Pan tilt Paddock (Saddling Barn) cameras

1- HD Pan tilt Tunnel camera

All lens' have 2x extenders.

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security

Front Side Security Office (626) 574-6406

Stable Security Office (626) 574-6469

Organizational Chart: (Exhibit 14A)

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security

1 Fire Marshall

1 Stable Security Manager

13 Gatemen

4 Investigators

1 Stable Credentials Secretary

36 Security Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

Attached (Exhibit 14B)

2. Detention Barns:

a. Attach a plan for use of graded stakes or overnight races.

Attached (Exhibit 14B)

b. Number of security guards in the detention barn area during a 24-hour period.

Four Security Guards

c. Describe number and location of surveillance cameras in detention barn area.

Attached (Exhibit 14B)

3. TCO2 Testing:

a. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

b. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

d. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

Attached (Exhibit 14B)

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 14B)

- D. For night racing associations. Describe emergency lighting system: N/A

SANTA ANITA PARK

Security Live Racing

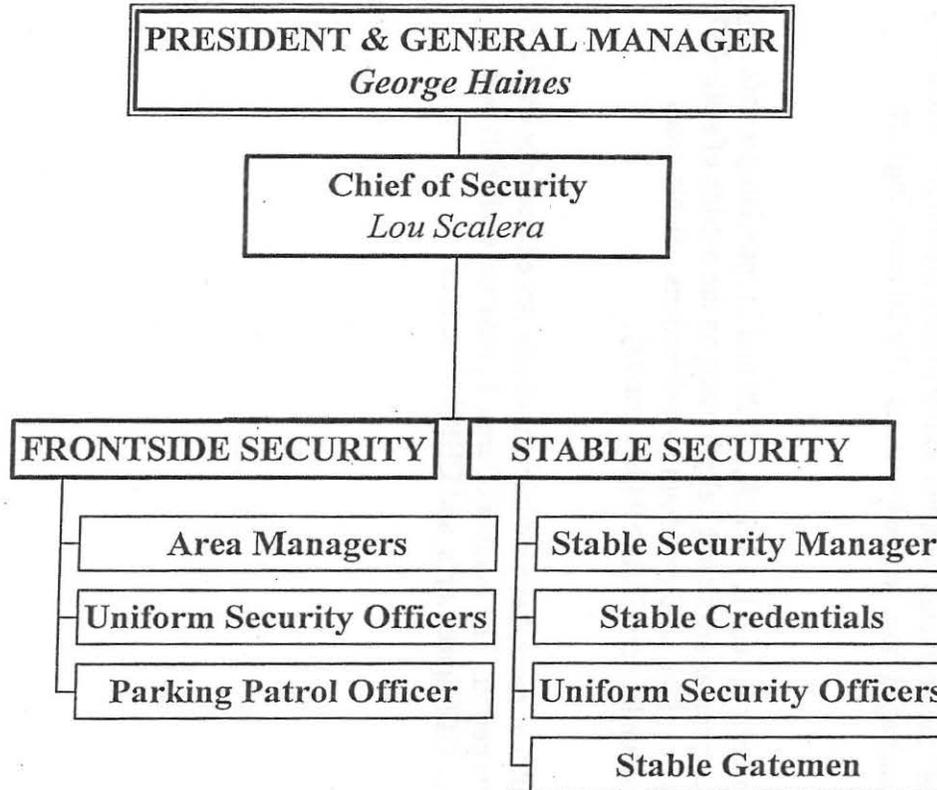


Exhibit 14B**DETENTION BARN PLAN**

(June, 2012)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

AmbuServe, Inc.

Wendal Smith

15105 S. Broadway Ave.

Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit on file.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Westmed/McCormick Ambulance Service

13933 Crenshaw Blvd.

Hathorne, CA 90250

Phone: 888/349-8944

1. Attach a certification from the ambulance service(s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit on file.

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

- Contracted Track Physician
- Contracted Paramedics and EMT
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race

- Name and emergency telephone number of the licensed physician on duty during the race meeting:
(If quarter horse racing association see D (1) :

Dr. Salvador Sotomayor

Phone: 888/349-8944

15771 Del Prado Drive

Hacienda Heights, CA 91740

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Arcadia Methodist Hospital

300 W. Huntington Drive

Arcadia, CA 91007

Phone: (626) 445-4441

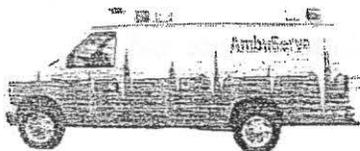


McCormick Ambulance
13933 Crenshaw Blvd.
Hawthorne Ca. 90250
(888)349-8944

To whom it may concern:

This letter shall serve as a statement of affirmation that all Emergency Technicians employed by McCormick/Westmed Ambulance Service are trained, background checked, and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Mike Henderson
Vice President



AmbuServe
Ambulance



June 5, 2012

To whom it may concern:

This letter shall serve as a statement of affirmation that all Emergency Technicians employed by AmbuServe, Inc is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

AmbuServe, Inc
15105 S. Broadway
Gardena, CA 90248
310-644-0500

Thank you,

Traci Taylor

General Manager

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Attached (Exhibit 15F)

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Mary Saenz, Safety Manager
Lou Scalera, Chief of Security

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Attached (Exhibit 15H)

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Liberty Mutual Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WC2 B71 170912 012 Expires 6/30/2013

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Certificate on file with the Board.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated
 Tiffany Bohland

(CHRB-25A and CHRB-87 on file with CHRB.)



EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, LATC Trackside Human Ambulance "Unit 41 Paramedics" which is manned by LATC-contracted Paramedics and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending Paramedics shall arrive equipped with advance cardiac life support (ACLS) and full C-spine equipment.
- An "on-site evaluation" of injuries to the jockey(s) shall be made by Unit 41 Paramedics.



"ON-SITE EVALUATION"

- Based on the Paramedics "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to the hospital.
 - If on-site treatment is deemed sufficient, Unit 41 Paramedics shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 Paramedics will contact the Association Gate (channel 1) and have them call the 911 Arcadia Fire Department.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical evaluation;
 - If deemed necessary the Track Physician will contact the Association Gate to call the 911 Arcadia Fire Department to transport the injured jockey(s) to the hospital.
 - Or should the Track Physician deem the rider clear to ride he may then return to the Jockeys' Room or be taken off his mounts for the remainder of the day.
 - If more than two jockeys need to be transported to the hospital, Arcadia Fire



PROCEDIMIENTO MEDICO de EMERGENCIAS PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “41Paramedicos” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (ACLS), AED, y equipo para la espina dorsal.
- Una evaluacion de las heridas al jinete seran hechas en el sitio del accidente por la 41Paramedicos.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- Despues del accidente, es la decision de los paramedicos si el jinete va estar transportado al hospital o al la oficina de First Aid.
 - Si el tratamiento es suficiente en el sitio del accidente, el 41 Paramedicos transportara al jinete directamente a la oficina de First Aid donde el doctor del tracke sera el doctor.
 - Si se cree que es necesario el 41 Paramedicos se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Cuidad de Arcadia 911.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de First Aid, el doctor del tracke sera el doctor al no ser que el doctor del tracke entregue custodia del paciente basado en su opinion medico;
 - Si se cree necesario el doctor de tracke se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Cuidad de Arcadia 911.
 - Si el doctor de tracke dise que el jinete esta libre para montar or que va faltar de sus montas.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al



City of Arcadia

Fire Department

Tony L. Trabbie
Fire Chief

September 4, 2012

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2012-2013 Main Meet)

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2012-2013 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2012-2013 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: George Haines, President & General Manager, L.A.T.C.
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.



Certificate of Insurance

No. 2012-176

Dated: June 29, 2012

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions and exclusions of such Policy(ies). This certificate does not amend, extend or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Division: Los Angeles Turf Club Incorporated D/B/A Santa Anita Park a subsidiary of TSG Developments US Holdings Inc. 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Contractual Agreement - Summer Race Meet: August 17, 2012 - September 16, 2012

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-10	Jun 30, 2012 to Jun 30, 2013	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WC2-B71-170912-012	Jun 30, 2012 to Jun 30, 2013	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional information:

The Commercial General Liability Policy, GLO9302183-10, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WC2B71170912012, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

Should any of the policies described herein be cancelled before the expiration date thereof, the insurer(s) affording coverage will endeavour to mail 30 days written notice to the certificate holder named herein, but failure to mail such notice shall impose no obligation or liability of any kind upon the insurer(s) affording coverage, their agents or representatives, or the issuer of this certificate.

Marsh Canada Limited

161 Bay Street, Suite 1400
 Toronto, ON M5J 2S4
 Telephone: 416-868-7252
 Fax: 416-868-7360
 antonio.j.hechanova@marsh.com

Marsh Canada Limited

By:

Antonio Hechanova

6288 Highland Meadows Drive
Medina, OH 44256

Winners Card Corp.
% Don Harris
924 Knob Hill Avenue
Redondo Beach, CA 90277-4538

(CHRB-25A and CHRB-87 on file with CHRB.)

Duke Racing Selections
c/o Harry Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

(CHRB-25A and CHRB-87 on file with CHRB.)

Today's Racing Digest, Inc.
Jason Karches
2080 Las Palmas Drive
Carlsbad, CA 92009

(Exempt from CHRB-25A and CHRB-87)

Triangle Turf Winners
William Archer
1 Scott Street
Gardnerville, NY 89410

(CHRB-25A and CHRB-87 on file with CHRB.)

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
Attached Exhibit 17A/B
- B. Promotional/ Marketing budget for this race meeting:
Promotional/Marketing budget for prior race meeting:
Attached Exhibit 17A/B
- C. Number of hosts and hostesses employed for meeting:
10 - Group Sales handicapping aides to assist newcomers
11 - Food and Beverage hostess's (11 weekends, 4 weekdays)
16 - SST's Mutuel staff (14 on weekdays and 16 on weekends)
- D. Describe facilities set aside for new fans:

Customer Service - Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers - There are two locations that are open every racing day in Mainline 1 and in the Turf Club. The Turf Club TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows - Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet - Mainline #2 is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- o Completion of the wind damaged roofs in the barn area
- o Installation of the new Trakus System

2. Fans

- a. Installation of the new Trakus system
- b. Newly renovated concert venue in the La Plaza de Las Fiesta's
- c. Installation of over 70 WiFi antennas to improve coverage throughout Santa Anita Park, enables fans to use smart devices for mobile wagering
- d. Provide pre-race background music for fans throughout the facility
- e. New white folding chairs for the use of our Infield Groups and new canvas tenting for Seabiscuit Court
- f. Zenyatta Statue

3. Facilities in the restricted areas

Completion of the wind damaged roofs in the barn area

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$ 5.00	
Admission (clubhouse)	\$ 8.50	
Admission (turf club)	\$ 20.00	
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$ 5.00	Sold on premium days only.
Daily Box Seat	\$ 7.50	Sold every day.
Parking (general)	\$ 4.00	
Parking (preferred)	\$ 6.00	
Parking (valet)	\$ 10.00	
Programs (on-track)	\$ 2.50	
(off-track)	\$ 2.50	

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club Season admission:	\$725.00	One Card
	\$1,125.00	Two Cards
Season Box Seat:	\$360.00	per seat

C. Describe any "package" plans such as combined parking, admission and program: N/A

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="40+"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 445
- C. Number of restrooms available on the backstretch of the racetrack:
29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 47

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5.200 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**
- C. The percent of cross slope in the straight-aways is: 2%
The percent of cross slope in the center of the turns is: 5.75%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Jason Spetnegal, Director of Facilities
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions.
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC, CTT agreements pending
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions.**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

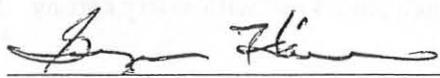
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

George Haines

Print Name



Signature

President and General Manager

Print Title

9/26/12

Date

STAFF ANALYSIS

October 18, 2012

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS DECEMBER 26, 2012 THROUGH JUNE 16, 2013.

Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

- December 26, 2012 through June 16, 2013, or 101 days, the same as 2012. The association proposes to race a total of 848 races, or 8.4 races per day. In 2012 PRA raced 8.4 races per day with an average of 6.71 runners per race during the 2012 winter/spring meet. The (actual) average daily purse for the 2012 winter/spring race meeting was \$158,895. The (estimated) average daily purse for this meet is \$157,564.
- The race dates proposed are the dates the Board allocated.

December - 2012						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

January - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

February - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28		

March - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

April - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

May - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

June - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

- Racing 4 days per week. Thursday through Sunday, with 8 races weekdays with the exception of December 26th and December 31st where 9 races will be run. Running 7 races March 21-22, 28-29, April 4, 11-12, 18-19, 25-26, May 2, 9-10, 16-17, 23-24 and June 6 and 13th. 9 races on weekends and holidays except May 4, June 8, 15-16 where 10 races will be

run. If horse population permits may run additional races not to exceed the 8.4 average of races per day for the meet. Administrative approval will be requested if plans develop to exceed this amount.

- First post 12:45 p.m. daily with the following exceptions:
 - Monday, December 26, 2012 (Opening Day) – 12:15p.m
 - Saturday, January 26, 2013 (Sunshine Millions) – 12:00p.m.
 - Sunday, February 3, 2013 (Super Bowl) – 11:15a.m.
 - Saturday, March 2, 2013 (Santa Anita Big Cap) – 12:15p.m.
 - Saturday, April 6, 2013 (Santa Anita Derby) – 12:15p.m.
 - Saturday, May 4, 2013 (Kentucky Derby) – 11:45 a.m.
 - Saturday, May 18, 2013 (Preakness) – 11:45 a.m.
 - Saturday, June 9, 2013 (Belmont Stakes) – 11:45 a.m.
 - Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will not be offered.
 - \$1 - Pick 3 on all races; \$1 Trifecta on eligible races; \$1 Place Pick on all races carded.
 - \$2 – Daily Double on all races.
 - \$ 2 - Pick 6 on last 6 races – 70% major pool or carryover, and 30% to the minor pool.
 - \$.50 pick 5 will be offered on the first five races with a 14% takeout. No consolation 100% carryover if no ticket has five winners.
 - \$.50 Pick 4 on first four races and last 4 races; \$.50 Pick 5 on last five races.
 - \$.10 Superfecta on all eligible races
 - Super High Five in the 5th races selecting the first five finishers – No consolation 100% carryover if no ticket has five winners.
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpines and TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the

wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- California Authority of Racing Fairs (CARF) has reported that the auxiliary site will have two emergency medical technicians (EMT's) in the on-track ambulance for the morning workout.

Specific information **still needed** to complete this application:

1. Horsemen's agreement.
2. Pertinent contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated ADW providers to accept wagers on races conducted in an outside of California for the applied license term.
3. Written notice requesting 14% takeout adjustment on Pick 5 wager.
4. Horsemen's written agreement accepting 14% takeout adjustment on Pick 5 wager.

Specific information, which will need **updating** during the proposed race dates:

1. Berkeley fire clearance (expires December 14, 2012).
2. Albany fire clearance (expires December 31, 2012).

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION.

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 559-7464
joe.morris@goldengatefields.com

B. Breed of horse: TB QH H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 26, 2012 through June 16, 2013**

B. Actual dates racing will be held: **December 26, 28-30, 31; January 1, 4-6, 10-13, 17-20, 21, 25-27, 31; February 1-3, 7-10, 14-17, 18, 22-24, 28; March 1-3, 7-10, 14-17, 21-24, 28-31; April 4-7, 11-14, 18-21, 25-28; May 2-5, 9-12, 16-19, 23-26, 27, 31; June 1-2, 6-9, 13-16**

C. Total number of days or nights of racing: **101 days**

CHRB CERTIFICATION

Application received: *9/28/12*
Deposit received: *on file*
Reviewed: *ajr*

Hearing date: *10/18/12*
Approved date:
License number:

- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays

Exceptions: Racing on Wednesday, December 26, 2012, Monday, December 31, 2012, Tuesday, January 1, 2013, Monday, January 14, 2013, Monday, February 18, 2013, and Monday, May 27, 2013 Dark on Thursday, December 27, 2012, Thursday, January 3, 2013, Thursday, January 24, 2013, Thursday, February 21, 2013, and Thursday, May 30, 2013

- E. Number of days or nights of racing per week: 4 days per week except as set forth in Item D above

3. RACING PROGRAM

- A. Total number of races: 848

B. Number of races for each day or night: 8.4 will be the average throughout the meet. We propose to conduct 8 races on weekdays except on December 26 and December 31 where 9 races will be run; and on March 21-22, 28-29, April 4, 11-12, 18-19, 25-26, May 2, 9-10, 16-17, 23-24, and June 6 and 13 where 7 races will be run. We propose to conduct 9 races on weekends and holidays except May 4, June 8, and June 15-16 where 10 races will be run. If the horse population permits, we may run additional races as long as we do not exceed an average of 8.4 races per day for the meet. We will request administrative approval if we plan to run to exceed this amount.

- C. Total number of stakes races: 12 (8 Non-Overnight and 4 Overnight stakes)

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
 Attached

- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

Race	12:45 p.m. Post 12/26-4/21 Except days listed below	1:15 p.m. Post 4/25-6/16 Except days listed below
1st	12:45 p.m.	1:15 p.m.
2nd	1:15 p.m.	1:45 p.m.
3rd	1:45 p.m.	2:15 p.m.
4th	2:15 p.m.	2:45 p.m.
5th	2:45 p.m.	3:15 p.m.
6th	3:15 p.m.	3:45 p.m.
7th	3:45 p.m.	4:15 p.m.
8th	4:15 p.m.	4:45 p.m.
9th	4:45 p.m.	5:15 p.m.
10 th when applicable	5:15 p.m.	5:45 p.m.

Exceptions:

Monday, December 26, 2012
 Saturday, January 26, 2013

Opening Day
 Sunshine Millions

12:15 p.m.
 12:00 noon

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
TOC (NTRA contribution)	\$219,213.04	TOC (NTRA contribution)	\$213,124.96
TOC	\$125,264.59	TOC	\$121,785.68
CTT (Administration)	\$ 62,632.30	CTT (Administration)	\$ 60,892.84
CTT (Pension)	<u>\$125,264.59</u>	CTT (Pension)	<u>\$121,785.68</u>
Total	\$532,374.52	Total	\$517,589.16

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$15,914,002.52**

Prior meet actual: **\$16,048,436.74**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$157,564.38**

Prior meet actual: **\$158,895.41**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$11,018,852.00**

Prior meet actual: **\$11,087,227.00**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$109,097.54**

Prior meet actual: **\$109,774.52**

G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$3,147,793.00**

Prior meet actual: **\$3,127,184.94**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$31,166.27**

Prior meet actual: **\$30,962.23**

H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo PRA Account #On file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS
Proposed Winter/Spring 2012-13 Stakes Schedule

California Derby
Three Year Olds
\$100,000 G
One Mile and One Sixteenth
Runs Saturday, January 12

California Oaks
Three Year Old Fillies
\$75,000 G
One Mile and One Sixteenth
Runs on Saturday, February 9

El Camino Real Derby GIII
Three Year Olds
\$200,000 G
One Mile and One Furlong
Runs on Saturday, February 16

Golden Gate Fields Sprint
Four Year Olds and Upward
\$75,000 G
Six Furlongs
Runs on Monday, February 18

Camilla Urso
Fillies and Mares Four Year Olds and Upward
\$50,000 Added Overnight Stake
Six Furlongs
Runs on Saturday, March 9

Golden Poppy
Fillies and Mares Four Year Olds and Upward
\$50,000 Added Overnight Stake
One Mile and One Sixteenth (Turf)
Runs on Sunday, April 7

San Francisco Mile GIII
Three Year Olds and Upward
\$100,000 G
One Mile (Turf)
Runs on Saturday, April 27

Alcatraz
Three Year Olds
\$50,000 Added Overnight Stake
One Mile (Turf)
Runs on Saturday, May 11

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS
Proposed Winter/Spring 2012-13 Stakes Schedule

All American GIII
Three Year Olds and Upward
\$100,000 G
One Mile and One Sixteenth
Runs on Monday, May 27

Campanile
Three Year Old Fillies (CA)
\$100,000 G (Includes \$25,000 CBRF & \$12,500 CMC)
One Mile (Turf)
Runs Saturday, June 1

Silky Sullivan
Three Year Olds (CA)
\$100,000 G (Inc \$25,000 CBRF & \$12,500 CMC)
One Mile (Turf)
Runs Sunday, June 9

Lost in the Fog
Two Year Olds
\$50,000 Added Overnight Stake
Five Furlongs
Runs Saturday, June 15

Exceptions (cont.):

Sunday, February 3, 2013	Super Bowl	11:15 a.m.
Saturday, March 2, 2013	Santa Anita Big Cap	12:15 p.m.
Saturday, April 6, 2013	Santa Anita Derby	12:15 p.m.
Saturday, May 4, 2013	Kentucky Derby	11:45 a.m.
Saturday, May 18, 2013	Preakness	11:45 a.m.
Saturday, June 9, 2013	Belmont Stakes	11:45 a.m.

Request the option to change post time schedules in order to coordinate North/South signals.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

1. Registered name of the corporation: **Pacific Racing Association**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **Federal ID #94-1585367**
California ID #173-1919-5
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
George Haines, President (Officer); 0 Shares
Mike Rogers, Vice President, Operations (Director); 0 Shares
Peter W. Tunney, Executive Vice President (Officer); 0 Shares
Joe Morris, Interim General Manager, VP Operations/Sales (Officer); 0 Shares
Lyle Strachan, Executive Vice President, Finance (Director); 0 Shares
Frank DeMarco, Jr., VP Regulatory Affairs and Secretary (Officer); 0 Shares
Calvin Rainey, Vice President & Assistant General Manager (Officer), 0 Shares
Gina Lavo, Vice President, Finance (Officer); 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments, Inc.**
 Number of outstanding shares in the corporation: **69,347 Shares**
6. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Developments Investments, Inc. (see attached Supplement)**

4. RACING ASSOCIATION – C. CORPORATION – 9. Supplement

Corporation	State of Inc./ File Number	Officers/Directors/Titles/Shares	Outstanding Shares	Publicly Traded	Custodian of Shareholder List
Pacific Racing Association	California/ #0480316	Mike Rogers-VP, Operations, Director; 0 shares	69,347	No	Jane Lynn, General Counsel
		Lyle Strachan-Exec VP, Finance, Director; 0 shares			
		George Haines-President; 0 shares			
		Joe Morris, Interim GM, VP Ops/Sales; Officer, 0 Shares			
		Peter Tunney-Exec VP; 0 shares			
		Frank De Marco, Jr.-VP, Regulatory Affairs & Secretary; 0 shares			
		Calvin Rainey-VP & Asst General Manager; 0 shares			
		Gina Lavo-VP, Finance; 0 shares			
TSG Developments Investments Inc.	Delaware/ #4792150	Belinda Stronach-Director; 0 shares	1,000	No	Jane Lynn, General Counsel
		Mike Rogers-President; 0 shares			
		Tim Ritvo-COO; 0 shares			
		Lyle Strachan-Exec VP, Finance; 0 shares			
TSG Developments US Financing Inc.	Delaware/ #4759939	Belinda Stronach-Director; 0 shares	1,700	No	Jane Lynn, General Counsel
		Lyle Strachan-Exec VP, Finance; 0 shares			
		Mike Rogers-VP, Operations; 0 shares			
TSG Developments US Holdings Inc.	Delaware/ #4759934	Belinda Stronach-Director; 0 shares	Not Applicable	No	Jane Lynn, General Counsel
		Lyle Strachan-Exec VP, Finance; 0 shares			
		Mike Rogers-VP, Operations; 0 shares			
Stronach Trust	Province of Ontario	Frank Stronach, Trustee	Not Applicable	N/A	Jane Lynn, General Counsel
		Elfriede Stronach, Trustee			
		Belinda Stronach, Trustee			
		Andrew Stronach, Trustee			

Pacific Racing Association is owned by TSG Developments Investments Inc., which is owned by TSG Developments US Financial Inc., which is owned by TSG Developments US Holdings Inc., which is owned by the Stronach Trust (current Trustees are Frank Stronach, Elfriede Stronach, Belinda Stronach and Andrew Stronach).

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No

- 10. Registered name of the corporation: **Not applicable**
- 11. State where incorporated: **Not applicable**
- 12. Registry or file number for the corporation: **Not applicable**
- 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
- 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
- 15. Number of outstanding shares in the corporation:
- 16. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed: **Not applicable**
- 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

- 1. Registered name of the LLC: **Not applicable**
- 2. State where articles of organization are filed: **Not applicable**
- 3. Registry or file number for the LLC: **Not applicable**
- 4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
- 5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
- 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**

A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

- 7. Registered name of the LLC/Corporation: **Not applicable**
- 8. State where articles of organization are filed: **Not applicable**
- 9. Registry or file number for the LLC:
- 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
- 11. Are the shares listed for public trading? Yes No
- 12. If yes, on what exchange and how the stock is listed:

E. OTHER

- 1. Name(s) of partners/sole proprietor: **Not applicable**
- 2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

- 1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **Current financials on file with the Board; will forward 2012 audited financials upon receipt once finalized**

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

George Haines, President
Lyle Strachan, Executive Vice President, Finance
Mike Rogers, Vice President, Operations
Joe Morris, Vice President Operations/Sales and Interim General Manager
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Peter W. Tunney, Executive Vice President
Gina Lavo, Vice President, Finance
Calvin Rainey, Vice President and Assistant General Manager
Adam Njaa, Controller
Jerry Aldoroty, Director of Hospitality and Sales
Bryan Wayte, Mutuel Manager
Tom Ferrall, Publicity Manager
Merry Scalzo, Director of Operations
Dan Cirimele, Director of Marketing
T.W. Johnson, Security Manager
Michael Wrona, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
Robert Hemmer, Operations Manager
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Joe Morris, Vice President Operations/Sales and Interim General Manager
Pacific Racing Association
1100 Eastshore Highway
Berkeley, CA 94710
joe.morris@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **N/A**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2012 (12/26/11 -6/17/12)	\$ 43,676,090.90	252,968 (101 live race days)
2011 (12/26/10-6/12/11)	\$ 46,078,355.50	264,771 (99 live race days)
2010 (12/26/09-6/13/10)	\$ 51,056,036.70	271,729 (105 live race days)
2009 (12/26/08-6/14/09)	\$ 62,639,954.50	312,202 (114 live race days)
2008 (12/26/07-2/3/08)	\$ 32,422,963.90	151,566 (60 live race days)
2008 (5/14/08-6/22/08)		

7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

Prior meet represents December 26, 2011 through June 17, 2012 (101 days)

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: **\$13,160,300.00**

Prior meet actual: **\$13,349,519.00**

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **\$130,300.00**

Prior meet actual: **\$132,173.46**

2. Overnight stakes:

Current meet estimate: **\$200,000.00**

Prior meet actual: **\$100,000.00**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **\$1,980.20**

Prior meet actual: **\$990.10**

3. Non-overnight stakes:

Current meet estimate: **\$775,000.00 (Doesn't include \$75,000 CBRF/CMC monies)**

Prior meet actual: **\$833,350.00**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$7,673.27**

Prior meet actual: **\$8,250.99**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$14,135,300.00**

Prior meet actual: **\$14,282,869.00**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **13.0%**

Prior meet actual: **11.6%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **0.129%**

Prior meet actual: **0.115%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$1,246,328.00**

Prior meet actual: **\$1,247,978.58**

owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **680**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Pleasanton - 680 Stalls**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
On file

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Stabling costs for this meet: \$1,158,840.00
Stabling costs per day per stall: \$9.21
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Vanning fees for this meet: \$67,500.00 **Vanning fee per horse: \$75.00**

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$2 DD*; \$1 E; \$2 Q; \$1 PK3+; \$1 TRI++; \$1 PPN%; \$.10 SF#; \$.50 PNP4	CHRB#1957; 1959; 1958; 1977; 1979; 1976.8; 1979.1; 1976.9
Race #2	\$2 DD*; \$2 PNP6; \$1 E; \$2 Q; \$1 PK3+; \$1 TRI++; \$.10 SF#	CHRB#1957; 1976.9; 1959; 1958; 1977; 1979; 1979.1
Race #3	\$2 DD*; \$2 PNP6; \$1 E; \$2 Q; \$1 PK3+; \$1 TRI++; \$.10SF#; \$.50 PNP5	CHRB#1957; 1976.9; 1959; 1958; 1977; 1979; 1979.1; 1976.9
Race #4	\$2 DD*; \$2 PNP6; \$1 E; \$2 Q; \$1 PK3+; \$1 TRI++; \$.10 SF#; \$.50 PNP4; \$.50 PNP5	CHRB#1957; 1976.9; 1959; 1958; 1977; 1979; 1979.1; 1976.9; 1976.9
Race #5	\$2 DD*; \$2 PNP6; \$1 E; \$2 Q; \$1 PK3+; \$1 TRI++; \$.10 SF#; \$.50 PNP4; \$.50 PNP5; Super H5^^	CHRB#1957; 1976.9; 1959; 1958; 1977; 1979; 1979.1; 1976.9; 1976.9; ARCI #004-105 Subsection T Pick (n) Position (x) Pools)
Race #6	\$2 DD*; \$1 E; \$2 Q; \$1 PK3+; \$1 TRI++; \$.10 SF#; \$.50 PNP4; \$.50 PNP5	CHRB#1957; 1959; 1958; 1977; 1979; 1979.1; 1976.9; 1976.9
Race #7	\$2 DD*; \$1 E; \$2 Q; \$1 PK3+; \$1 TRI++; \$.10 SF#; \$.50 PNP4	CHRB#1957; 1959; 1958; 1977; 1979; 1979.1; 1976.9
Race #8	\$2 DD*; \$1 E; \$2 Q; \$1 PK3+; \$1 TRI++; \$.10 SF#	CHRB#1957; 1959; 1958; 1977; 1979; 1979.1
Race #9	\$2 DD*; \$1 E; \$2 Q; \$1 TRI++; \$.10 SF#	CHRB#1957; 1959; 1958; 1979; 1979.1
Race #10	\$1 E; \$2 Q; \$1 TRI++; \$.10 SF#	CHRB#1959; 1958; 1979; 1979.1

* - \$2 Daily Double on all races

PNP6 - \$2 Pick 6 on last six races

+ - \$1 Pick 3 on every race

++ - \$1 Trifecta on every eligible race

- \$.10 cent Superfecta on all eligible races

% - \$1 Place Pick All on all races carded

PNP4 - \$.50 Pick 4 on first four and last four races

PNP5 - \$.50 Pick 5 on last five races

^^ - \$1 Pentafecta (Super High 5) in the 5th race only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.

PNP5 - \$.50 Pick 5 will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.

PNP6 - 2 Tier - 70%/30% split \$2 Pick 6 will be offered on the final six races of each card, with 70% to the major pool or carryover, and 30% to the minor pool.

B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **June 16, 2013 for the period of December 26, 2012 through June 16, 2013**

C. List any options requested with regard to exotic wagering: **2 Tier - 70%30% split (see above)**

D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech (previously Scientific Games, LLC) Expires: September 2015**

10. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

**Xpressbet
 TwinSpires
 TVG**



September 21, 2012

Mr. Tom Varela
 Monarch Content Management
 Fax: (626) 821-1520
 Email: tvarela@monarchmanagement.com

RE: 2012-13 Golden Gate Fields Race Meeting Interstate Simulcast Approvals

Dear Tom,

This letter shall serve as TOC's authorization for the Pacific Racing Association, dba Golden Gate Fields ("GGF") to transmit the audiovisual signal of GGF Thoroughbred races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided by Monarch Content Management ("Monarch") on behalf of GGF, during the GGF racing season commencing December 26, 2012, continuing through June 16, 2013.

As a condition of TOC's authorization of the acceptance of wagers on GGF races by Guests which engage in phone and/or Internet wagering, herein called "ADW," GGF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both GGF and Guest to facilitate the separation of ADW and non-ADW data in reports used by GGF and/or CHRIMS to account for and settle balances between GGF and Guest.

TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified herein or to any other Guest. TOC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Pursuant to AB-701, raising the takeout to fund Workers' Compensation costs, GGF shall collect the incremental increase in takeout as an addition to the money room adjustment, for the transmittal to the Fund in accord with such legislation. Should any Guest herein authorized fail to enter into a contractual obligation with GGF to allocate this additional takeout in this manner, TOC authorization for that site is hereby immediately rescinded.

Please feel free to contact me, should you have any questions.

Sincerely,

Lou Raffetto
 President

OFFICERS

MIKE PEGRAM
 CHAIR
 PABLO SUAREZ
 VICE CHAIR, SOUTHERN CA.
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
 GEORGE KRIKORIAN
 SECRETARY/TREASURER
 ED MOGER
 AT LARGE
 JOHN SADLER
 AT LARGE

DIRECTORS

MADLINE AUERBACH
 BOB BAFFERT
 DENNIS CARDOZA
 MARK DEDOMENICO
 MIKE HARRINGTON
 RON MCANALLY
 GEORGE TODARO
 KATHY WALSH
 MICHAEL WELLMAN

EXECUTIVE STAFF

LOU RAFFETTO
 PRESIDENT
 KELLE BREEN
 CHIEF FINANCIAL OFFICER
 MARY FORNEY
 DIRECTOR OF OPERATIONS
 RICHARD SCHEIDT
 NO. CA. DIRECTOR OF
 OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05,
 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM

B: Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **Current ADW contracts attached; 2013 ADW contracts will be forwarded to Board upon receipt**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
Attached

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

- Alameda County Fair, Pleasanton
- Bankers Casino, Salinas (mini-satellite)
- Big Fresno Fair, Fresno
- CA. State Fair & Exposition, Sacramento
- Club One, Fresno
- Jockey Club, San Mateo
- Kern County Fair, Bakersfield
- Monterey County Fair, Monterey
- San Joaquin County Fair, Stockton
- Santa Clara County Fair, San Jose
- Shasta District Fair, Anderson
- Solano County Fair, Vallejo
- Sonoma County Fair, Santa Rosa
- Stanislaus County Fair, Turlock
- Tulare County Fair, Tulare

Southern California

- Barona Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Commerce Casino, Commerce (mini-satellite)
- Derby Club at Seaside Park, Ventura
- Fairplex, Pomona
- Hollywood Park, Hollywood
- Los Alamitos Racecourse, Los Alamitos
- OC Tavern, San Clemente (mini-satellite)
- Original Roadhouse Grill, Santa Maria (mini)
- Santa Clarita Lanes, Saugus (mini-satellite)
- Shalimar Sports Center, Indio
- Sports Center, San Bernardino
- Sports Pavilion, Perris
- Sports Pavilion, Victorville
- Surfside Race Place, Del Mar
- Sycuan Gaming Center, El Cajon
- Viejas Casino & Turf Club, Alpine
- Watch & Wager Antelope, Lancaster

Northern California

Phone: 925-307-7040



Off-Track Wagering, Inc.

Fax: 925-560-0522

7950 Dublin Blvd., Suite 214
Dublin, California 94568

September 19, 2012

Andrea Ogden
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95828

Dear Andrea,

This "Letter of Agreement" serves to confirm the understanding that the NCOTWINC Contract dated April 24, 2008 will cover race dates December 26, 2012 through June 16, 2013 being run by Pacific Racing Association at Golden Gate Fields.

It is further understood and agreed that all the terms and conditions of the aforementioned Contract of April 2008 shall be in force and effect during the race meet December 26, 2012 through June 16, 2013.

Sincerely,

Patrice Van Dussen

Patrice Van Dussen, Controller
NCOTWINC

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

**Pacific Racing Association / Golden Gate Fields
December 26, 2012 through June 16, 2013
Out-of-State & International Imports - Full or Partial Card (Subject to Change)**

<u>OUT-OF-STATE TRACK</u>
ARLINGTON PARK
AQUEDUCT
BELMONT
CALDER RACECOURSE
CHURCHILL DOWNS
DELTA DOWNS
DELAWARE PARK
EVANGELINE DOWNS
FAIRGROUNDS
GULFSTREAM
HAWTHORNE RACECOURSE
KEENELAND
LAUREL
LONE STAR PARK
LOUISIANA DOWNS
MONMOUTH
OAKLAWN PARK
PENN NATIONAL
PHILLY PARK
PIMILCO
PORTLAND MEADOWS
PRESQUE ISLE DOWNS
SUNLAND PARK
TAMPA BAY DOWNS
THISTLEDOWN
TURF PARADISE
TURFWAY PARK
<u>INTERNATIONAL TRACKS</u>
ASSINIBOIA DOWNS
WOODBINE
HASTINGS
SAN ISIDRO
HIPO CHILE
LA PLATA
MARONAS
PALERMO
SANTIAGO
VALPARAISO

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

- | | | |
|--------------------------|---------------------------------|---------------------------------|
| Am West Entertainment | Fonner Park | Potawatomi Bingo Casino |
| Arapahoe-Mile High | Freehold Racing | Portland Meadows |
| Arma Race Club | Gillespie County Fair | Prairie Meadows |
| Arlington Park | Greenetrack | Premier Turf Club |
| Atlantic City Racecourse | Greyhound @ Post Falls | Presque Isle Downs |
| Atokad | Gulf Greyhound | Raceway Park |
| Balmoral Park | Global Wagering Solutions | Racing2Day, LLC |
| Bangor Raceway | Gulfstream Park | Remington Park |
| Batavia | Harrington Raceway | Retama Park |
| Bettor Racing | Hawthorne Racecourse | Racing & Gaming Services |
| Beulah Park | Hazel Park | Riplay de Venezuela (Caliente) |
| Birmingham | Hoosier Park | River Downs Jockey Club |
| Bluffs Run Greyhound | Horseman's Park | Rockingham Park |
| Buffalo Raceway | Indiana Downs | Ruidoso Downs |
| Calder Racecourse | Intermountain Racing | Running Aces Harness |
| Canterbury | IP Tote (Venezuela) | Sam Houston Park |
| Capital District OTB | Keeneland Association | Saratoga Raceway |
| Catskills OTB | Kentucky Downs | Scarborough Downs |
| Charlestown Race Course | Kentucky OTB | Scioto Downs |
| Chester Downs | Lebanon Raceway | Seabrook Greyhound |
| Churchill Downs | Les Bois (Treasure Valley) | Social Gaming |
| Club Hipica In Turf | Lewiston OTB's | Sol Mutuel |
| Coeur d' Alene | Lein Games, LLC | Southland Park |
| Colonial Downs | Lone Star | Sports Creek Raceway |
| Columbus Raceway | Louisiana Downs | State Fair (Lincoln Racecourse) |
| Connecticut OTB | LVDC (NV) | Suffolk Downs |
| Corpus Christi Greyhound | Magna Bet | Sun Ray Park and Casino |
| Cypress Bayou OTB | Maronas (South America) | Sunland Park |
| Delaware Park | Maryland Jockey Club | Tampa Bay Downs |
| Delta Downs | Maywood | Taunton |
| Dover Downs | Meadowlands | The Downs at Albuquerque |
| eBet Technology | Meadows The | Thistledown |
| Elite Turf Club | Millers OTB | Tioga Downs |
| Ellis Park | Mobile Greyhound | Tri-State GH (Mardi Gras) |
| Emerald Downs | Monmouth Park | Turf Paradise |
| Evangeline Downs | Montana OTB | Turfway Park |
| Fair Grounds | Monticello Raceway | TVG Network |
| Fair Meadows | Mt. Pleasant Meadows | Twin River Greyhound |
| Finger Lakes | Mountaineer Park | TwinSpires |
| | MTP Bets | Venezuela OTB |
| | Nevada Pari-Mutuel Assoc. | Vernon Downs |
| | New Jersey Casino Assoc. | Western OTB |
| | Newport Jai Alai | Wheeling Downs |
| | NJ Mobile | Will Rogers Downs |
| | Northfield Park | Wyoming |
| | Northville Downs | XpressBet, Inc. |
| | NYRA | Yonkers Raceway |
| | Oaklawn | YouBet Group |
| | Ocean Downs | Zia Park |
| | Panama | |
| | PARX Racing (Philadelphia Park) | Separate Pools: |
| | Penn National Race Course | MIR Brooks (Caliente) |
| | Peru | NDS (Nevada) |
| | Plainridge Racecourse | |
| | Player Mgmt. Group | |
| | Pocono Downs and OTB | |

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

- Bankers Casino, Salinas (Scheduled opening October/November 2012)
- Commerce Casino, Commerce
- OC Tavern Grill & Sports Bar, San Clemente
- Original Roadhouse Grill, Santa Maria
- Santa Clarita Lanes, Saugus

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and

promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Pacific Racing Association is agent for distribution, 1100 Eastshore Hwy., Berkeley, CA 94710
- B. Names and addresses of the trustees or directors of the distributing agent:
Pacific Racing Association will act as its own distributing agent, 1100 Eastshore Hwy., Berkeley, CA 94710
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|-----------------------------|--|
| Association Veterinarian(s) | Sara Sporer, D.V.M (Track Veterinarian)
Forrest Franklin, D.V.M. (CHRB Veterinarian)
Diane Isbell, D.V.M. (Examining Veterinarian) |
| Clerk of Scales | Ken Sjoldal |
| Assistant Clerk of Scales | Ross Allardyce |
| Clerk of the Course | Tina Walker-Bryant |
| Film Specialist | Ella Robinson |
| Horse Identifier | Darrell Sparks |
| Horseshoe Inspector | Butch Fyellingness |
| Paddock Judge | Linda Anderson |
| Patrol Judge/Steward's Aide | Tammy McDuffie-Morris |
| Patrol Judge | Chris Merz |
| Placing Judges | Ella Robinson & Steve Martinelli |
| Starter | Todd Stephens |
| Timer | Pedro Mercado |
- B. Management officials in the racing department:
- | | |
|-------------------------------------|--|
| Racing Secretary/Stakes Coordinator | David Jerkens |
| Assistant Racing Secretary | C. Gregory Brent, Jr. & Linda Anderson |
| Paymaster of Purses | Patricia Prospero |
| Others (identify by name and title) | |

Main Track Superintendent	Juan Meza
Turf Track Superintendent	Calvin Rainey
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2012; will forward 2013 contract upon receipt**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2013**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

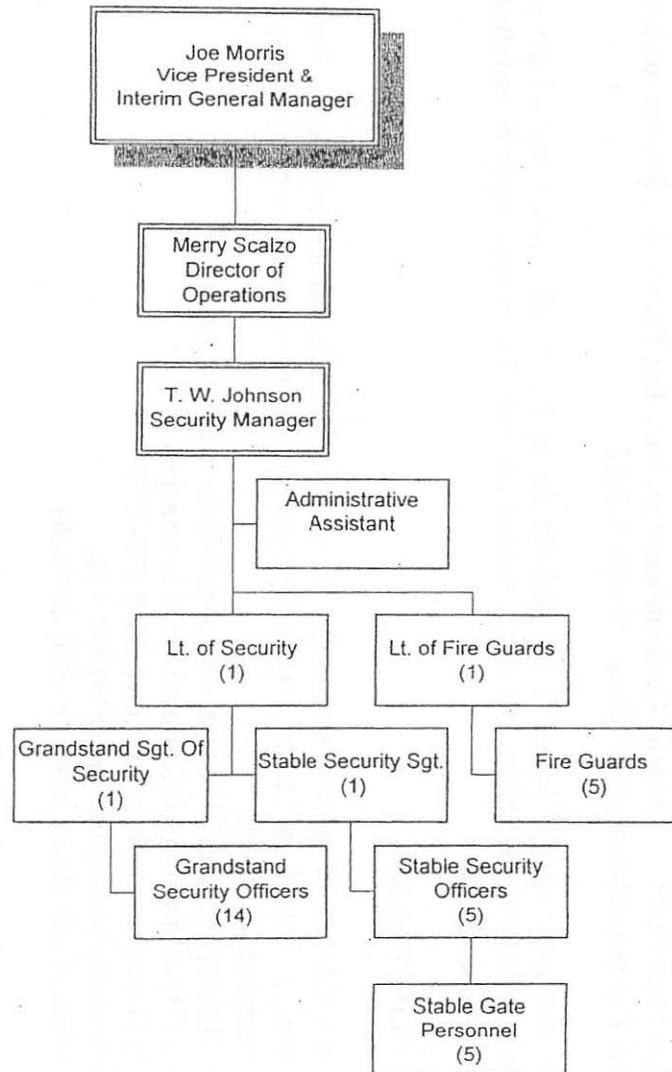
14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
**T.W. Johnson, Security Manager is responsible for security on site.
 Security Department organizational chart is attached.
 Security Department phone number (510) 559-7370
 Stable Security phone number (510) 542-4235
 T.W. Johnson cell phone number (510) 418-3161**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Security Manager	14 – Grandstand Security Officers
1 – Security Lieutenant	5 – Stable Security Officers
1 – Security Sergeant	5 – Stable Gate Personnel
1 – Stable Security Sergeant	5 – Fire Guards
1 – Fire Guard Lieutenant	

 - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls: **Attached**
 - 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
 24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

PACIFIC RACING ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 14A



Attachment to PRA / Golden Gate Fields License Application

13 (E):

Track Camera Equipment:

There are a total of seven (7) cameras placed at the following strategic locations around the race track:

- 7/8 Pole
- 3/8 Pole
- 1/4 Pole
- Paddock
- Starting Gate
- (2) Pan Cameras on Roof

Attachment to PRA / Golden Gate Fields License Application
14B (1) and 14B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Pacific Racing Association / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to T. W. Johnson, Director of Security which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage of stakes races of \$100,000 or more.

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Attached

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached

D. For night racing associations. Describe emergency lighting system: **Not Applicable.**

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT(1A) ambulance staff

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 2 EMT's ambulance staff

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

**Attachment to PRA / Golden Gate Fields License Application
14B (2) and 14C (1):**

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn.

Detention Stall Camera Locations:

There are a total of 16 surveillance cameras in place within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of the barn and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate & Parking Lot Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate (1), O/T Parking Lot (1) and Horsemen Walk-In Gate (1) providing 24/7 surveillance.

TURF RESCUE, LLC.
4470 HILLSBOROUGH DRIVE
CASTRO VALLEY, CA 94546
510.581.8470

March 21, 2012

California Horse Racing Board
1000 Eastshore Hwy.
Berkeley, CA 94710

To Whom It May Concern,

Please be advised that Turf Rescue, LLC., operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions, please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



August 2, 2012

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

To Whom It May Concern:

In reference to Section 15B of the Pacific Racing Association Fall License Application, the auxiliary site at Pleasanton currently has 2 EMTs in the Westmed ambulance posted during morning workouts since this site is utilized for morning training only during the Pacific Racing Association race meet.

Respectfully Yours,

Larry A. Swartzlander
Chief Operating Officer



March 22, 2012

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is certified provider of Basic Life Support Non Emergency Service in the County of Alameda certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you need any additional information please feel free to contact me.

A handwritten signature in cursive script that reads "Christopher A. Seymour".

Christopher A. Seymour

Director of Advanced Life Support Services

2424 Whipple Rd. Hayward CA.

EMT-P, BA



GOLDEN GATE FIELDS

Description of GGF Medical Clinic (Live Racing)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :
Dr. David Seftel
Office Number: 510-559-7375, Cell Number: 650-520-6204
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Highland Hospital, 1411 E. 31st Street, Oakland, CA 94602 (510) 437-4800
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Safety and Compliance
Calvin Rainey, Assistant General Manager, serves as Assistant Safety Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Current Berkeley fire clearance letter attached; 2013 fire clearance letter submitted to Board after fire inspection in December 2012
Current Albany fire clearance letter attached; 2013 fire clearance letter submitted to Board after fire inspection in December 2012
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co., Policy # WC2-B71-170912-012 expires June 30, 2013**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation certificate of insurance attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING- FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



EMERGENCY MEDICAL PROCEDURES -LIVE RACING- FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS- PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") *(o tal vez el equipo de la ambulancia presencia el accidente directamente)*;
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany *(también podrá actuar un camión del Departamento de Bomberos)*.



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.



Department of Fire and Emergency Services
Division of Fire Prevention

Date: 14 December 2011

Merry Scalzo
Director of Operations
Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706
Phone (510) 559-7325
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection – Golden Gate Fields - Stables

On December 14, 2011 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through December 14, 2012. Our fire life safety report indicates that there are no outstanding violations noted or corrections need to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in black ink, appearing to read "Stan Fernandez". The signature is fluid and cursive, written over a light blue horizontal line.

Stan Fernandez
Fire Inspector / Investigator



City of Albany

1000 SAN PABLO AVE. • ALBANY, CALIF. 94706 • TELEPHONE (510) 528-5775 • FAX (510) 528-5774

FIRE DEPARTMENT
FIRE PREVENTION BUREAU

18 May 2012

Calvin Rainey
Vice-President and Assistant General Manager
Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

Dear Mr. Rainey,

The Albany Fire Prevention Bureau conducted a Fire and Life Safety inspection under the Fire Prevention Code, NFPA 1, and the Fundamental Requirements listed in the *Life Safety Code*, NFPA 101, Chapter 2, the 2010 California Fire Code and California Code of Regulations, on December 5, December 7 and December 13, 2011. All areas of Golden Gate Fields Race Track, within the jurisdiction of the Albany Fire Department have been inspected. Areas include the Administration Offices, kitchens, public areas and non-public areas of the Club House & Turf Club, public areas and non-public areas of A & B Decks, snack shops on all levels, underground level, Jockeys building, the Corporation Yard and the Equine Hospital.

GENERAL

These buildings are in good condition. Housekeeping in the public and non-public areas are well maintained, fire extinguishers, exit/panic hardware, egress doors are in operational condition. Multiple exit signs and emergency illumination devices need batteries or bulbs replaced to remain in operational condition. All Fire & Life Safety general requirements are being maintained.

Letter of Correction Notices for GGF Main Building – Administration Offices, kitchens, public areas and non-public areas of the Club House & Turf Club, public areas and non-public areas of A & B Decks, snack shops on all levels, underground level, Jockeys building, Corporation Yard and the Equine Hospital shall follow under separate cover.

Conditional Fire Clearance

Conditional fire clearance is granted to Golden Gate Fields/ Pacific Racing Association for the

June 18, 2012 – December 31, 2012 racing season. During the racing season of the June 2012 – December 2012, the Albany Fire Prevention Bureau will conduct periodic Fire & Life Safety Inspections. If a flagrant Fire or Life Safety violation is discovered during GGF's/PRA racing season, their fire clearance shall be revoked.

If you have any questions, please do not hesitate to contact me at (510) 528-5775.

Sincerely,



Brian J. Crudo
Battalion Chief/Fire Marshal

cc: Ed Tubbs, Fire Chief
Andrea Ogden, C.H.R.B.
Peter Tunney, Executive Vice-President, GGF
Joe Morris, General Manager, GGF
Merry Scalzo, Director of Operations, GGF
Roy Roenbeck, Director of Safety and Compliance, GGF



Certificate of Insurance

No: 2012-126

Dated: June 29, 2012

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions and exclusions of such Policy(ies). This certificate does not amend, extend or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Division: Pacific Racing Association dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-10	Jun 30, 2012 to Jun 30, 2013	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WC2-B71-170912-012	Jun 30, 2012 to Jun 30, 2013	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional information:

The Commercial General Liability Policy, GLO9302183-10, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WC2B71170912012, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 161 Bay Street, Suite 1400 Toronto, ON M5J 2S4 Telephone: 416-868-7252 416-868-7360onio.j.hechanova@marsh.com	Marsh Canada Limited By:  Antonio Hechanova
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16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Armored Car Services Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144

Track Physician Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546

Official Program Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706

Jockey's Laundry F. Lorene Dutton, Bailey's Mobile Laundry, 3263 Vineyard Ave., Pleasanton, CA 94566

Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590

Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans: for the race meeting:

2013 Marketing Plan attached

The 2013 Winter/Spring promotional/giveaway schedule attached (subject to change):

B. Promotional/ Marketing budget for this race meeting: **Attached**

Promotional/Marketing budget for prior race meeting: **Attached**

C. Number of hosts and hostesses employed for meeting:

2 Customer Service Representatives

5 Group Sales Representatives

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with trained customer service representatives to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Ongoing barn and roadway renovations in the barn area

2012/2013 Golden Gate Fields Winter/Spring Promotions Calendar

December 2012:

- Dec 26: Opening Day
- Dec 29: 2013 Wall Calendar Giveaway
- Dec 30: Dollar NFL Sunday

January 2013:

- Jan 6: Dollar Day
- Jan 12: California Derby Day
Free Hooded Sweatshirt
- Jan 13: Dollar Day
- Jan 20: Dollar Day
- Jan 27: Dollar Day

February 2013:

- Feb 2: Super Bowl TV Giveaway
- Feb 3: Dollar Day
- Feb 16: El Camino Real Derby
Free Fleece Jacket
- Feb 17: Dollar Day
- Feb 24: Chinese New Year Celebration

March 2013:

- Mar 3: Dollar Day
- Mar 10: Dollar Day
- Mar 17: St. Patrick's Day Celebration
Miller/Coors Beer Bucket Giveaway
Dollar Day
- Mar 24: Dollar Day
- Mar 31: Easter Family Day
Dollar Day

2012/2013 Golden Gate Fields Winter/Spring Promotions Calendar

April 2013:

- Apr 6: Santa Anita Derby Day
- Apr 7: Dollar Day
- Apr 14: Dollar Day
- Apr 20: T-Shirt Giveaway (first 500 fans)
- Apr 21: Dollar Day
- Apr 27: SF Mile Day
San Francisco Day at the Races
- Apr 28: Dollar Day

May 2013:

- May 4: Kentucky Derby Day
Hat Contest
VIP Party
- May 5: Cinco De Mayo
Dollar Day
- May 12: Mother's Day
Brunch
Dollar Day
Spring Concert Series
- May 18: Preakness Stakes
Triple Crown Challenge
- May 19: Filipino Fest
Dollar Day
Spring Concert Series
- May 25: Photo Day at the Races
- May 26: Dollar Day
Spring Concert Series
- May 27: Memorial Day
Beach Chair Giveaway
Spring Concert Series

2012/2013 Golden Gate Fields Winter/Spring Promotions Calendar

June 2013:

- Jun 2: Firefighter Appreciation Day
Chili Cookoff
Firefighter challenges between the races
Fire Truck Display
Dollar Day
Spring Concert Series
- Jun 8: Belmont Stakes Day
Wine Festival
- Jun 9: Fiesta Hispana
Dollar Day
Spring Concert Series
- Jun 15: Photo Day at the Races
Wiener Nationals
- Jun 16: Closing Day
Law Enforcement Appreciation Day
Dollar Day
Spring Concert / End of Meet Party

Golden Gate Fields Marketing Budget: Winter/Spring 2012/2013

Advertising: \$568,485

Calendar Giveaway: \$3,000

Cal Derby Sweatshirt: \$18,000

Super Bowl TV Giveaway: \$5,000

El Camino Fleece: \$20,000

Chinese New Year MMV: \$12,000

Chinese New Year Talent: \$5,000

Easter Family Day: \$4,000

April 20 T-Shirt Giveaway: \$1,500

Kentucky Derby Hat Contest: \$1,800

Kentucky Derby Dixieland Band: \$700

Filipino Fest: \$7,000

Spring Concert Series: \$35,000

Total Marketing Budget: \$681,485

Golden Gate Fields Marketing Budget: Winter/Spring 2011/2012

Advertising: \$554,844

Cal Derby Sweatshirt: \$25,000

Super Bowl TV Giveaway: \$5,000

El Camino Real Long Sleeve: \$25,800

Chinese New Year MMV: \$12,000

Chinese New Year Talent: \$5,000

Easter Family Day: \$6,000

Kentucky Derby Hat Contest: \$1,800

Kentucky Derby Dixieland Band: \$700

Spring Concert Series: \$35,000

Total Marketing Budget: \$671,144

- o Jockey room renovations to both male and female rooms
 - o Race replay machine provided by Roberts Communication installed in Jockey's Room.
2. Fans
- o Installed Wi-Fi throughout the facility to enhance fan usage of smart phones, computers, etc. for wagering on-site.
3. Facilities in the restricted areas Equine Hospital

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$8.00 – Bundled price includes free program
Admission (turf club)	\$10.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$3.00 (Free with Thoroughbreds card)
Parking (general)	\$4.00
Parking (preferred)	\$8.00
Parking (valet)	\$8.00
Programs (on-track)	\$2.50
(off-track)	\$2.50

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$6.00
11-20 Visits	\$4.00	11-20 Visits	\$5.00
21-30 Visits	\$3.00	21-30 Visits	\$4.00
31-40 Visits	\$2.00	31-40 Visits	\$3.00
Over 40 Visits	\$1.00	Over 40 Visits	\$2.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:

Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

19. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="23"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |
- B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room as a television and bed with attached shower room, sink, and toilet.**

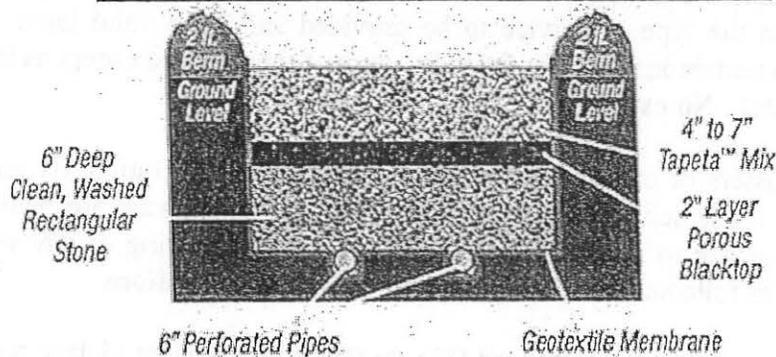
20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet
- B. Number of rooms used for housing on the backstretch of the racetrack: **128**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas.**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Juan Meza
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC Agreement forwarded to the Board upon receipt
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joseph Moritt
Print Name


Signature

G.M.
Print Title

9-22-12
Date

STAFF ANALYSIS
October 18, 2012

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE WATCH AND WAGER, LLC AT CAL EXPO DECEMBER 26, 2012 THROUGH MAY 11, 2013.

The Watch and Wager.com, LLC (WAW) is a new racing association applying to run a harness race meeting at Cal Expo. WAW reported it's owned by European Wagering Services Limited (EWS), an Isle of Man, United Kingdom company. EWS is a wholly owned subsidiary of Webis Holdings PLC. EWS holds licenses with the Isle of Man Gambling Supervision Commission and the North Dakota Racing Commission for operating the advance deposit wagering platforms link2bet and Watch and Wager. Golden Bear Racing, LLC (GBR) will provide the onsite daily management service for the harness race meeting.

- December 26, 2012 through May 25, 2013, or 55 days, 4 more days than Cal Expo raced in 2012. In 2011 Cal Expo raced December 26, 2011 through June 16, 2012. The association proposes to race a total of approximately 880 races. The (actual) average daily purse for the Cal Expo 2012 meet during the same time period is \$45,750. The (estimated) average daily purse for this meet is \$52,997.
- The race dates proposed are the dates allocated:

December - 2012						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

January - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1 H	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

February - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28		

March - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

April - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

May - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing 3 nights per week, Friday through Saturday with the exception of racing Wednesday, December 26, 2012. Dark Thursday, December 27, 2012; January 24, 2013; February 21st; April 25th; May 2nd and 9th.
- First post 5:55 p.m. Friday and Saturday; 6:30 Wednesday and Thursday.

- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. Watch and Wagers initial race meeting will be conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

- Pursuant to Business and Profession Code 19464(b) Watch and Wager is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The WAW \$100,000 surety bond on file will expire September 1, 2013.
- WAW is requesting a takeout reduction for three wagers on their nightly harness racing program. The proposed reduction is being sought for the \$ 1 Pick 4; ¢.50 Pick 5 and the ¢.10 Pentafecta. The Pick 4; Pick 5 and Pentafecta wagers are exotic wagers. The harness takeout for exotic wagers is 24.18% and WAW is seeking a 16% takeout for the three wagers listed. WAW represents that the Pick 4; Pick 5 and Pentafecta wagers are new. WAW has cited Business and Professions Code section 19610.8 in which they base their reduction request.

Business and Profession Code 19610.8 states upon the joint request of the association or fair accepting the wager; and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the board may set the total percentage deducted from the parimutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager.

- Wagering program will use CHRB and ARCI rules.
 - Early wagering will begin at 10:00 a.m. each day.
 - ¢.50 Pick 5 will be offered on the third races with a 16% takeout, one tier payoff 100% carryover.
 - \$ 1 Pick 4 on races 11 through 15 card and 10 through 14 race cards – 16% takeout.
 - ¢.10 Pentafecta selecting the first five finishers. 100% payout, no consolation. 100% carryover if no winner. 16% take out.
- Advance Deposit Wagering (ADW) provider is TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- A copy of the license agreement between ODS Technologies, L.P. dba TVG Network and Watch and Wager.com, LLC has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Request Carl Sobey is appointed as horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. 2013 Fire clearance.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of fire clearance.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 20 California St 7th Floor, San Francisco, Ca, 94111 415-503-4041, Ed Comins, ecomins@link2bet.com
 - B. Breed of horse: TB QH H
 - C. Racetrack name: California Exposition & State Fair (Cal Expo)
 - D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
 - E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: December 26,2012 thru May 25th, 2013
- B. Actual dates racing will be held:
December: 26, 28, 29.
January: 3, 4, 5, 10, 11, 12, 17, 18, 19, 25, 26, 31.
February: 1, 2, 7, 8, 9, 14, 15, 16, 22, 23, 28.
March: 1,2, 7,8,9, 14,15,16, , 21,22,23, 28,29,30.
April: 4, 5, 6, 11, 12, 13, 18, 19, 20, 26, 27.
May: 3, 4, 10, and 11.

Application received: 9/24/12	CHRB CERTIFICATION	Hearing date: 10/18/12
Deposit received: on file		Approved date:
Reviewed: (signature)		License number:

- C. Total number of days or nights of racing: 55
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Thursday, Friday & Saturday
- E. Number of days or nights of racing per week: Three (3) Special racing program Wednesday Dec 26th to coincide with California schedule. Dark Thursday's 12/27, 1/24, 2/21, 4/25, 5/2, 5/9.

3. RACING PROGRAM

- A. Total number of races: Up to 880
- B. Number of races for each day or night: Up to 12 races on Thursday, Up to 15 races on Friday/Saturday.
- C. Total number of stakes races: 38
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. Attached
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used: Drivers and Trainers will use their own registered colors.
- F. List all post times for the daily racing program: Wednesday 12/26, 6:30. Thursday 6:30, Friday and Saturday 5:55. Post times to be coordinated with Los Alamitos on Friday and Saturday, and any Hollywood Park evening programs in the spring. See attached schedule.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
- C. CORPORATION
 1. Registered name of the corporation:
 2. State where incorporated:
 3. Registry or file number for the corporation:

watch wager

2013 Overnight Stake Schedule

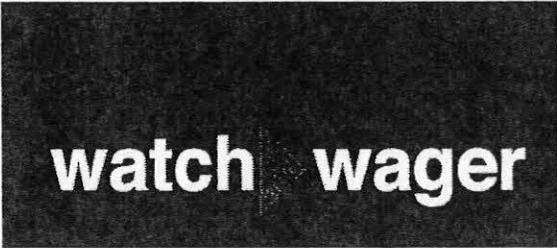
Joe Lighthill Trotting Series	1/17/2013	\$14,000 Added
Marvin Shapiro Pacing Series	1/18/2013	\$16,000 Added
Lonnie Beck Pacing Series	1/18/2013	\$16,000 Added
Joe O'Brien Pacing Series	1/19/2013	\$11,000 Added
Richard Staley Trotting Series	2/14/2013	\$14,500 Added
Mike Corley Pacing Series	2/15/2013	\$14,500 Added
Bill Conlin Pacing Series	2/16/2013	\$14,500 Added
Jim Grundy Trotting Series	3/14/2013	\$20,000 Added
Shelly Goudreau Pacing Series	3/15/2013	\$18,000 Added
Jim Dennis Pacing Series	3/16/2013	\$14,500 Added
Stanley Bergstein FFA Trot	4/5/2013	\$15,000
Lloyd Arnold FFA Pace	4/6/2013	\$15,000

watch wager

2013 Winter/Spring California Sires Stakes Races

Friday, January 25, 2013	4 Year Old Filly Trot	\$12,500
Friday, January 25, 2013	4 Year Old Filly Pace	\$12,500
Saturday, January 26, 2013	4 Year Old C&G Trot	\$12,500
Saturday, January 26, 2013	4 Year Old C&G Pace	\$12,500
Friday, February 8, 2013	4 Year Old Filly Trot	\$12,500
Friday, February 8, 2013	4 Year Old Filly Pace	\$12,500
Saturday, February 9, 2013	4 Year Old C&G Trot	\$12,500
Saturday, February 9, 2013	4 Year Old C&G Pace	\$12,500
Friday, February 15, 2013	3 Year Old Filly Trot*	\$12,500
Friday, February 15, 2013	3 Year Old C&G Trot*	\$12,500
Saturday, February 16, 2013	3 Year Old Filly Pace	\$12,500
Saturday, February 16, 2013	3 Year Old C&G Pace	\$12,500
Friday, March 1, 2013	3 Year Old Filly Trot*	\$12,500
Friday, March 1, 2013	3 Year Old C&G Trot*	\$12,500
Saturday, March 2, 2013	3 Year Old Filly Pace	\$12,500
Saturday, March 2, 2013	3 Year Old C&G Pace	\$12,500
Friday, March 15, 2013	3 Year Old Filly Trot*	\$12,500
Friday, March 15, 2013	3 Year Old C&G Trot*	\$12,500
Saturday, March 16, 2013	3 Year Old Filly Pace	\$12,500
Saturday, March 16, 2013	3 Year Old C&G Pace	\$12,500
Friday, March 29, 2013	3 Year Old Filly Trot*	\$12,500
Friday, March 29, 2013	3 Year Old C&G Trot*	\$12,500
Saturday, March 30, 2013	3 Year Old Filly Pace	\$12,500
Saturday, March 30, 2013	3 Year Old C&G Pace	\$12,500
Friday, April 12, 2013	3 Year Old Filly Trot*	\$12,500
Friday, April 12, 2013	3 Year Old C&G Trot*	\$12,500
Saturday, April 13, 2013	3 Year Old Filly Pace	\$12,500
Saturday, April 13, 2013	3 Year Old C&G Pace	\$12,500
Friday, April 26, 2013	3 Year Old Filly Trot*	\$12,500
Friday, April 26, 2013	3 Year Old C&G Trot*	\$12,500
Saturday, April 27, 2013	3 Year Old Filly Pace	\$12,500
Saturday, April 27, 2013	3 Year Old C&G Pace	\$12,500

* If 10 or fewer entries total between the two classes, races will be combined into one race.



watch wager

Winter 2012/ Spring 2013 Post Time Schedule

	Fridays and Saturdays	Wednesday, 12/26 and Thursdays
Race 1	5:55	6:30
Race 2	6:18	6:52
Race 3	6:42	7:14
Race 4	7:06	7:36
Race 5	7:28	7:57
Race 6	7:52	8:18
Race 7	8:15	8:39
Race 8	8:38	9:00
Race 9	9:01	9:20
Race 10	9:24	9:40
Race 11	9:46	10:00
Race 12	10:07	10:19
Race 13	10:29	
Race 14	10:49	
Race 15 *	11:09	

* if applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and will schedule around Hollywood Park and Los Alamitos on Friday night overlap.

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC: Watch & Wager .com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: 2012-132-101-72
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: Ed Comins, Managing Member, Chief Operating Officer 0 Shares
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
European Wagering Services 100 % Shareholder
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: European Wagering Services Ltd.
8. State where articles of organization are filed: Isle of Man, United Kingdom

9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, COO, 0 Shares.
- European Wagering Services LTD is a wholly owned subsidiary of Webis Holdings PLC, a company listed on AIM (London) United Kingdom
11. Are the shares listed for public trading? Yes No X
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- Managing Member & Chief Operating Officer, Watch and Wager.com LLC.

Watch and Wager.com LLC has entered into a management agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watch and Wager .com LLC the day to day operations of the proposed harness meeting.

Christopher Schick,	General Manager
Benjamin Kenney,	Operations Manager
Kate Pharris,	Director Backstretch Operations
Glen Harris,	Mutuel Manager
J. R. Williams,	Track Superintendent
Scott Ehrlich,	Announcer
Marty Bridges,	Program Director
Mark Ratzky,	Publicity

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Ed Comins – Managing Member & Chief Operating Officer, Watch and Wager .com LLC, 20 California St. 7th Floor, San Francisco, Ca 94111. ecomins@link2bet.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2012 (YTD) 49 Days	31,548,231	131,750
2011 (117 Days)	69,237,361	304,981
2010 (134 Days)	77,767,118	389,129
2009 (138 Days)	88,225,844	474,422
2008 (142 Days)	97, 847,893	494,338

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: Proposed Current Meet – December 26,2012 thru May 11th, 2013
55 proposed racing days.

B. Prior meet comparison December 27,2011 thru June 15th, 2012 (51 Racing Days)

1. All races other than stakes:
Current meet estimate: \$2,227,500
Prior meet actual: \$ 1,845,220

Average Daily Purse (7 A1 ÷ number of days):
Current meet estimate: \$40,500
Prior meet actual: \$ 36,180

2. Overnight stakes:
Current meet estimate: \$168,500
Prior meet actual: None

Average Daily Purse (7 A2 ÷ number of days):
Current meet estimate: 3,063
Prior meet actual: None

3. Non-overnight stakes:
Current meet estimate: \$ \$325,000
Prior meet actual: \$ 310,000

Average Daily Purse (7 A3 ÷ number of days):
Current meet estimate: \$ 5,909
Prior meet actual: \$ 6,078

4. Total Purses: (7A1+7A2+ 7A3)
Current meet estimate: \$ 2,721,000
Prior meet actual: \$ 2,155,220

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races: California Sires Stakes 3Yo Pacers and Trotters.

Current meet estimate: \$ 325,000
Prior meet actual: \$ 310,000

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: \$ 5,909

Prior meet actual: \$ 6,078

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 56,420

Prior meet actual: \$ 51,567

- D. Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate:

Prior meet actual:

Total \$ 137,438

Total \$ 126,488

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 2,914,858

Prior meet actual: \$ 2,333,275

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 52,997

Prior meet actual: \$ 45,750

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 2,762,613

Prior meet actual: \$ 2,198,135

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 50,229

Prior meet actual: \$ 43,100

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 152,245

Prior meet actual: \$ 135,140

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 2,768

Prior meet actual: \$ 2,649

- H. Bank and account number for the Paymaster of Purses' purse account: US Bank # On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corp. 1816 Maryal Dr. Sacramento, Ca. 95864 916- 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds

generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1020
- B. Minimum number of stalls believed necessary for the meeting: 675
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: NA
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: NA
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. NA

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c):
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9)

Proposed Wagering Format

The following CHRB and ARCI wagering rules are requested.

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta (1 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (1PK3)	1977
Pick-4 (1PK4)	1976.9
Pick-5 (.50 P5)	1976.9
Pentafecta (.10 Super H5)	ARCI 004-105

- Race 1: 1E, 1DD, 1Tri
- Race 2: 1E, 1Tri, .10 SF
- Race 3: 1E, 1Tri, .50 PNP5
- Race 4: 1E, 1T, .10SF, 1P3
- Race 5: 1E, 1T, .10SF
- Race 6: 1E, 1T, .10SF
- Race 7: 1E, 1T, .10SF, 1P3
- Race 8: 1E, 1T, .10SF
- Race 9: 1E,1T, .10SF
- Race 10: 1E,1T, .10SF, 1P3
- Race 11: 1E, 1T, 1PNP4
- Race 12: 1E,1T, .10SF
- Race 13: 1E, 1T, .10SF, 1P3
- Race 14: 1E, 1T, .10SF, 1DD
- Race 15: 1E,1T, .10 Super H5

- * \$0.50 PNP5 starting on the third race. 16% takeout, One tier payoff 100% carryover.
- * \$1 PNP4 beginning on race 11 on 15 race card, race 10 on 14 race card. 16% takeout.

\$.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no ticket has five winners. 16% takeout. Pentafecta will be carded as the last race. ARCI-004-105 T-Pick (N) Position (X) Pools.

B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: May 11, 2013

- C. List any options requested with regard to exotic wagering:
 Request for 16 % Takeout on .50 Pick-5
 Request for 16% Takeout on \$1 Pick-4
 Request for 16% Takeout on .10 Super Hi-5
 Request for Friday \$20,000 Guaranteed Pick-4 Pool
 Request for Saturday \$25,000 Guaranteed Pick-4 Pool
 Request permission to add a Guaranteed Pool to the .50 cent Pick-5 wager.
- D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? 10 am Specify days and time for "early bird" wagering:
 All days.
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Sportech (Formely Scientific Games) Statewide contract, Contract expires Sept 2015.

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
 TVG/ODS
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. TVG/ ODS agreement on file.
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No
 If yes, attach a copy of the approval. Attached
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
 Southern California Off Track Wagering Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
 On file.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal: All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.

watch wager

1.415.503.4041 (US)

1.415.677.9798 (US)

www.watchandwager.com

20 California Street 7th Floor,
San Francisco, CA 94111

Letter requesting promotional takeout reduction

Watch and Wager.com LLC, and the California Harness Horsemen's Association jointly request the reduction in takeout for three wagers on the nightly program. The new wagers that are requested for reduction are the \$0.50 Pick-5 wager, the \$1.00 Pick-4 wager, and the new \$.10 pentafecta on the final event. The normal takeout for these wagers would be 24.18%, we are requesting a 16% takeout on these three wagers.

Business and Professions 19610.8 states that upon the joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association or fair accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for proposition wagers and any new type of wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

The previous harness association Cal Expo had in their preceding two meets received approval under this section for a nightly takeout reduction to 15% for both of that associations two nightly Pick-4 wagers. These wagers were very popular with the public, and provided a good marketing tool. Those wagers were also approved by the CHHA.

California Harness Horsemen's Association
P.O. Box 254767
Sacramento, CA 95865
(916) 263-7888; fax (916) 263-7887

September 27, 2012

Mr. Kirk Breed
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Associations Agree to Extend Fall 2012 Horsemen's Agreement through Winter/Spring Race Meet Ending May 11, 2013.

Dear Mr. Breed:

This letter is to acknowledge that the California Harness Horsemen's Association (CHHA) and Watch & Wager, LLC (W&W) agree to extend their Horsemen's Agreement for the Fall 2012 Race Meet to include the upcoming Winter/Spring Race Meet to be conducted at Cal Expo from December 26, 2012 thru May 11, 2013.

This extension is agreed to pursuant to Section 2(a) of the Fall 2012 Horsemen's Agreement and includes CHHA's consent for W&W to simulcast both out-of-state harness and quarter horse races from CHRB-approved jurisdictions into California and harness races that are conducted at Cal Expo to CHRB-approved out-of-state jurisdictions.

In addition, horsemen's consent is herein given for the various wagering formats -- including the use of wagers with reduced take-outs -- that are presented in the license application that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning December 26, 2012 and concluding on May 11, 2013.

Finally, on behalf of the horsemen and horsewomen in California's harness racing industry we join with the Watch & Wager management in requesting approval of their license application for this upcoming Winter/Spring 2012-2013 race meet.

Your favorable consideration is appreciated.

Sincerely,
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Alan Horowitz,
Executive Director

Cc: Mr. Christopher Schick, General Manager, Watch & Wager, LLC

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: See Attachment 11D
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attachment 11E
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: All licensed California mini-satellite sites.
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Balmoral Park	TBD	Selected Feature
Maywood Park	TBD	Selected Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Meadowlands	TBD	Selected Feature
Hoosier Park	TBD	Selected Feature
Mohawk	TBD	Selected Feature
Fraser Downs	TBD	Selected Feature

Under current statute association requests approval to import eight out of state races nightly during evenings of overlap.

On non-overlapped racing nights, the association requests approval to import 16 out of state races.

Attachment 11D

Watch & Wager LLC @ Cal Expo Harness

OUT OF STATE SIMULCAST WAGERING LOCATIONS

American Tab, Oregon	New Jersey Casinos, New Jersey
Atlantic City, New Jersey	New York City OTB, New York
Balmoral Park, Illinois	Northfield Park, Ohio
Capitol District OTB, New York	Northlands Park, BC, CAN
Catskill Region OTB, New York	Northville Downs, Michigan
Connecticut OTB, Connecticut	Ocean Downs, Maryland
Diaryland Greyhound, Wisconsin	Penn National Gaming, Pennsylvania
Downs at Albuquerque, New Mexico	Philadelphia Park, Pennsylvania
ExpressBet, California	Plainridge Racecourse, Massachusetts
Euro Off Track, NA	Pompano Park, Florida
European Wagering Services, LTD. Oregon	Racing Channel, Oregon
Fire Lake Casino, Oklahoma	Raceway Park, Ohio
Foxwoods Casino, Connecticut	Racing & Gaming Services, St. Kitts
Fraser Downs, BC, CAN	Rosecroft Raceway, Maryland
Freehold Raceway, New Jersey	Sam Houston Park, Texas
Harrington Raceway, Delaware	Saratoga Harness, New York
Hastings Park, BC, CAN	Scioto Downs, Ohio
Hawthorne Racecourse, Illinois	Southland Greyhound, Arkansas
Hazel Park, Indiana	Sports Creek Raceway, Michigan
Hippodrome de Montreal, QUE, CAN	Suffolk Downs, MA
Hoosier Park, Indiana	Suffolk Regional OTB, New York
Illinois OTB, Illinois	Sunland Park, New Mexico
Indianapolis Downs, Indiana	Television Games Network (TVG)
International Racing Group, Curacao	Thistledown, Ohio
Ladbrokes at Meadows, Pennsylvania	The Downs at Albuquerque, New Mexico
Las Vegas Dissemination, Nevada	The Red Mile, Kentucky
Les Bois Park, Idaho	Tri-State Greyhound, WV
Lone Star Park, Texas	TwinSpires.com
Maywood Park, Illinois	Western Fair, ONT, CAN
Meadowlands Race Track, New Jersey	Western Region OTB, New York
Mesquaki Casino, Iowa	Windsor Raceway, ONT, CAN
Mohawk Racetrack, ONT, CAN	Woodbine Entertainment. Group, ONT, CAN
Nassau Region OTB, New York	Yonkers Raceway, New York
Nevada Pari-mutuel Association, Nevada	XPressBet.com

Attachment 11E

Watch & Wager LLC @Cal Expo Harness Meet

OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR POOLS WITH CAL EXPO.

American Tab, Oregon	New Jersey Casinos, New Jersey
Atlantic City, New Jersey	Northfield Park, Ohio
Balmoral Park, Illinois	Northlands Park, BC, CAN
Capitol District OTB, New York	Northville Downs, Michigan
Catskill Region OTB, New York	Ocean Downs, Maryland
Connecticut OTB, Connecticut	Penn National Gaming, Pennsylvania
Diaryland Greyhound, Wisconsin	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
ExpressBet, California	Pompano Park, Florida
Euro Off Track, NA	Racing Channel, Oregon
European Wagering Services, LTD. Oregon	Raceway Park, Ohio
Fire Lake Casino, Oklahoma	Racing & Gaming Services, St. Kitts
Foxwoods Casino, Connecticut	Sam Houston Park, Texas
Fraser Downs, BC, CAN	Saratoga Harness, New York
Freehold Raceway, New Jersey	Scioto Downs, Ohio
Harrington Raceway, Delaware	Southland Greyhound, Arkansas
Hastings Park, BC, CAN	Sports Creek Raceway, Michigan
Hawthorne Racecourse, Illinois	Suffolk Downs, MA
Hazel Park, Indiana	Suffolk Regional OTB, New York
Hippodrome de Montreal, QUE, CAN	Sunland Park, New Mexico
Hoosier Park, Indiana	Television Games Network (TVG)
Illinois OTB, Illinois	Thistledown, Ohio
Indianapolis Downs, Indiana	The Downs at Albuquerque, New Mexico
International Racing Group, Curacao	The Red Mile, Kentucky
Ladbrokes at Meadows, Pennsylvania	Tri-State Greyhound, WV
Las Vegas Dissemination, Nevada	TwinSpires.com
Les Bois Park, Idaho	Western Fair, ONT, CAN
Lone Star Park, Texas	Western Region OTB, New York
Maywood Park, Illinois	Windsor Raceway, ONT, CAN
Meadowlands Race Track, New Jersey	Woodbine Entertainment. Group, ONT, CAN
Mesquaki Casino, Iowa	XPressBet. com
Mohawk Racetrack, ONT, CAN	Yonkers Raceway, New York
Nassau Region OTB, New York	
Nevada Pari-mutuel Association, Nevada	

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: People Reaching Out, 5299 Auburn Blvd, Sacramento, Ca, 95841
- B. Names and addresses of the trustees or directors of the distributing agent: Staci Anderson, 5299 Auburn Blvd, Sacramento, Ca 95841 stacia@peoplereachingout.org
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) : Dr. Clifford Zucco
 Clerk of Scales NA
 Clerk of the Course: Patricia Peterson

Film Specialist: N/A
 Horse Identifier: Carl Sobey
 Horseshoe Inspector: John Walker
 Paddock Judge: Walter Peterson
 Patrol Judges: Bill Vallandingham
 Placing Judges: Kathy Poor
 Starter: Bill Vallandingham
 Timer: Kathy Poor

B. Management officials in the racing department:

Director of Racing: Fred Kuebler
 Racing Secretary: Fred Kuebler
 Assistant Racing Secretary: Brent Bridges
 Paymaster of Purses: Glen Harris

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd. Herald, Ca, 95638 916-956-3914
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 15, 2013
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Service provider : Pegasus Communications – Jim Porep, President. Contract expires May 2014.
 Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 2014.

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached security organizational chart. Attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
 2. Detention Stalls:

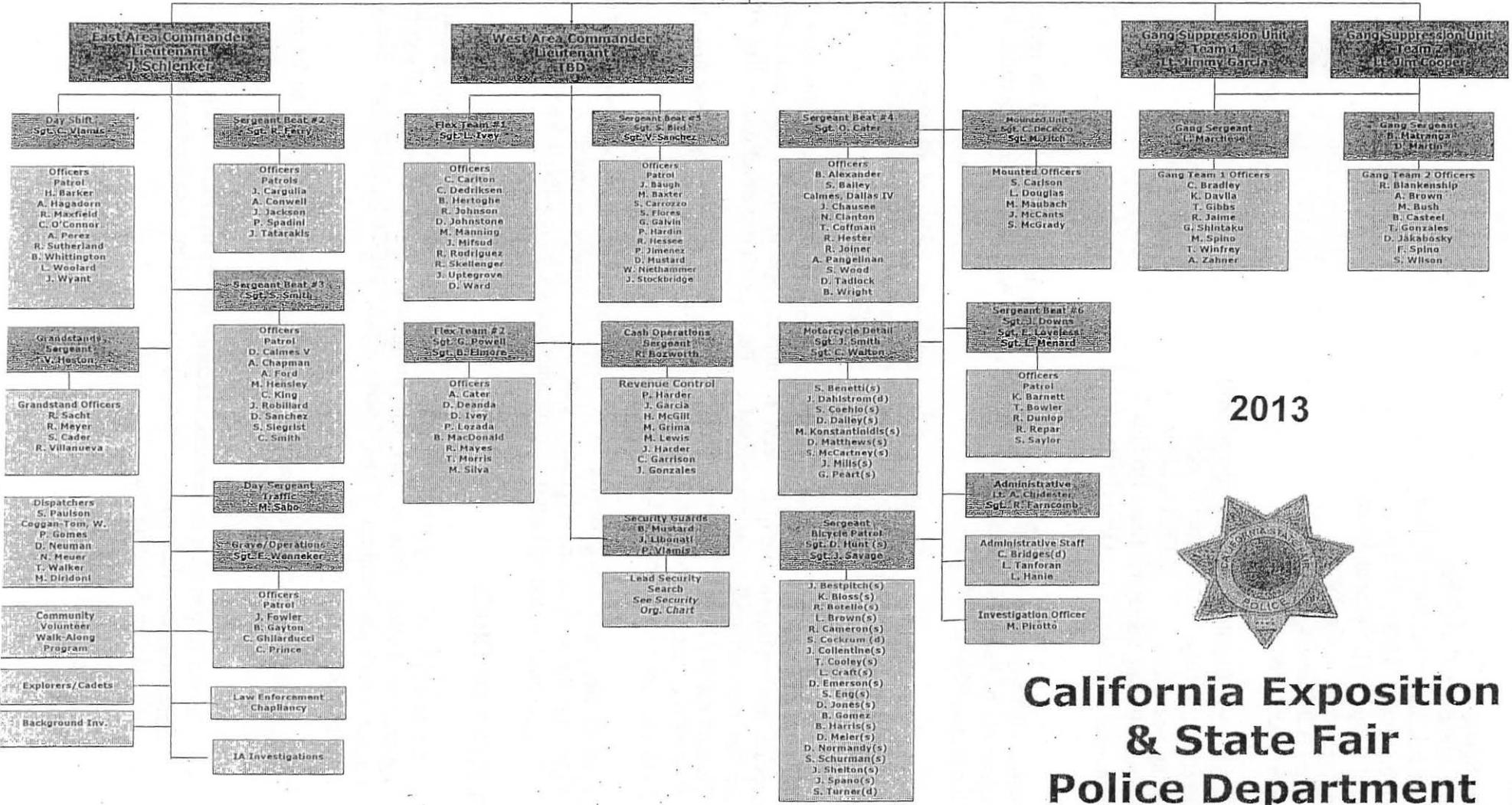


**General Manager
Norb Bartosik**

**Deputy General Manager
Brian May**

**Robert L. Craft
Chief of Police**

Cathy Purcell
Staff Services Analyst



2013



**California Exposition
& State Fair
Police Department**

- A. Attach a plan for use of graded stakes or overnight races.
- B. Number of security guards in the detention stall area during a 24-hour period.
- C. Describe number and location of surveillance cameras in detention stall area.

Cal Expo Detention Barn Information : The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn at the south end of the Cal Expo barn area.

All California Sire Stakes along with designated overnight and late closing finals will be designated Detention Barn races. Detention barn horses are required to report by 12 noon.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested. Currently seven races nightly, two horses per race, randomly drawn by the stewards. Association will evaluate for potential increase.
- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37, 39 millimoles per liter for salix users are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.
- C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.
- D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.

C. Describe the electronic security system:

- 1. Location and number of video surveillance cameras for the detention stall and stable gate. One surveillance camera and monitor will be located at both the detention barn and Gate 12 Main security gate.
- D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: American Medical Response, 1779 Tribute Rd, Sacramento, Ca, 95815 916- 563-0600
 - 1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached. Management letter also included.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: NA



AMERICAN MEDICAL RESPONSE®

August 10, 2012

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be verified as active and in good standing. Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

Jennifer L. Bales, MICP
(916) 563-0835
Operations Manager
AMR – Sacramento County

watch wager

1.415.503.4041 (US)

1.415.677.9798 (US)

www.watchandwager.com

20 California Street 7th Floor,
San Francisco, CA 94111

Item 15A1- Supplemental Information Regarding Ambulance Service

The association in its previous license application originally proposed on track ambulance serviced staffed by two Emergency Medical Technician's (EMT'S). This had historically been the case for harness racing at Cal Expo.

The association's intent was not to seek a waiver from current California Horse Racing Board practice. We therefore will provide a on track ambulance service staffed by one Paramedic, and one Emergency Medical Technician (EMT). This level of service will be in effect from the opening night of the last application Friday November 2,2012 thru the term of this application May 11,2013

As stated in the provider certificate, all personnel are licensed by the California Emergency Medical Services Authority (EMSA) . In addition, all optional scope of practice items are included.

watch wager

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room

watch wager

**PRECUDIOS DE EMERGENCIA
PARA MANEJADORES**

“PRIMERA RESPUESTA”

- En caso de un accidente, respondera inmediatamente la ambulancia, el poniador, o, el carrode comenzar.
- La Ambulancia respondera para ver lo necesario.
- La Ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

“EVALUACION AL INSTANTE”

- Basado en el (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tratar al momento o ser transportado, al hospital.

“ATENCION AL ACCIDENTADO”

- El accidentado sera tratado de inmediato por (EMT).
- Si es demasiado grave el accidente sera transportado por ambulancia al hospital.

En caso de algun corredor accidentado se hara un reporte complete por los encargados hipo dromo.

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. NA
- C. Describe the on-track first aid facility, including equipment and medical staffing: A minimum of two Cal Expo police officers are on duty during the racing program. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. In addition they provide basic first aid services.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Na**
 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Ben Kenney, Manager . Kate Pharris, Asst Mgr
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached: Please note the issue of grooms quarters is pending. See attached updated letter regarding the backstretch housing issue.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Seabright Insurance Company # CL128324297
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. Attached Certificate

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager

Item 15H Supplemental Information Regarding Fire Clearance

The proposed association is aware of the following information regarding conditions of the Fire Marshall clearance.

The clearance was given under the condition that all grooms quarters be shut down July 28, 2012.

At the present time September 23, 2012 no tack rooms are occupied.

The association, Cal Expo, and representatives of the CHRB met with the fire Marshall's office on August 30th.

The meeting was productive. Cal Expo in cooperation with the association has submitted a plan that includes safety upgrades, new sliding windows, and a zero tolerance plan for violations.

We are hopeful that this plan will be met favorably at the Fire Marshalls office and the grooms quarters can reopen.

We would expect to have more to report prior to the hearing on this application.



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
FIRE & LIFE SAFETY DIVISION

1131 S Street
SACRAMENTO, CA 95811
T: (916) 445-8550 F: (916) 324-3784
Website: www.life.ca.gov



November 15, 2011

California Exposition and State Fair
1600 Exposition Blvd.
Sacramento, CA 95815
Attn: Dave Elliott

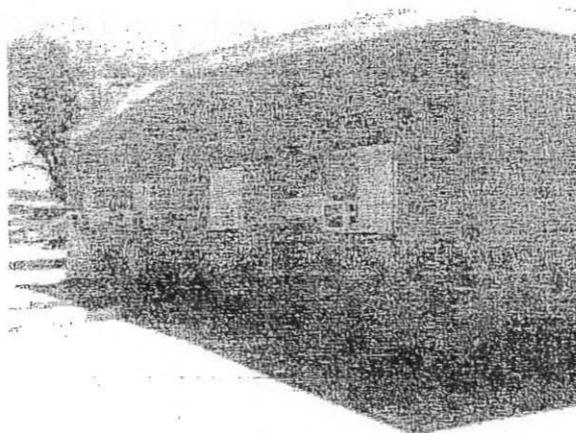
Dear Mr. Elliott,

The request for 2011-2012 fire clearance is granted.

As agreed during the joint meeting on November 8, 2011, Cal Expo will comply with the removal of wood and combustible items from the tack room windows within the barns. This includes appliances, electrical cords and HVAC units. In order to provide a second path of egress, window frames within the tack rooms shall be fitted with glass windows.

Please note that the State Fire Marshal has documented the fire and life safety concern regarding the continued occupancy of the Cal Expo Barns as residences. Therefore the agricultural building tack rooms will no longer be occupied as human dwellings after July 29, 2012.

As discussed during the joint meeting, these glass windows shall be maintained, replaced as needed and operable at all times.



June 2011



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) **8/3/2012**

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER IOC Insurance Services License No. 0589960 4 Montgomery St., 17th Fl. San Francisco CA 94104	CONTACT NAME: Sara Przemielewski PHONE (A/C, No, Ext): (415) 957-0600 E-MAIL ADDRESS: sprzemielewski@maroevich.com	FAX (A/C, No): (415) 957-0577
INSURED Patch and Wager.com LLC 600 Exposition Boulevard, Lot "D" Sacramento CA 95815	INSURER(S) AFFORDING COVERAGE	
	INSURER A: SeaBright Insurance Company	NAIC #
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES CERTIFICATE NUMBER: **CL128324297** REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

SR TR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		Y/N <input type="checkbox"/> N/A	BB1124763	8/3/2012	8/3/2013	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
Evidence of Coverage

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE S Przemielewski/SPR
--	---

Watch and Wager.com LLC @ Cal Expo

Marketing and Promotional Plan

New Stable Recruitment : We currently are advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$112,500. We are offering a extensive overnight stake program. Our goal is to improve the quality of the product. We think with higher purses, three day a week racing schedule in the winter, and no stall rent, we can accomplish this goal. It is essential to the success of our business plan and the overall industry health to get our race program back to a three day a week schedule.

Improved Simulcast Production.: We plan on making the necessary investments to upgrade TV production. Ninety Five percent of harness handle comes from off-track sources. We have already had discussions with Pegasus Communications and other outside contractors to make upgrades.

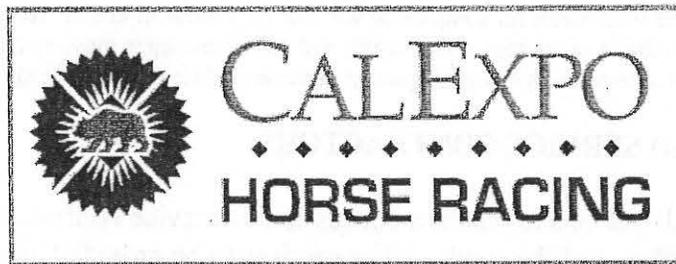
Social Media: California harness racing has no social media exposure. We plan on immediately establishing Facebook and Twitter accounts and start interacting with a younger crowd.

On Track Handle: We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

Parking/ Admission: We will continue the night policy of free parking and admission.

Concessions: We will work with the Cal Expo master caterer (Ovation) to provide live fans with food and beverage nightly specials.

Handicappers: We will be sharply focused on the gambling public. Each night we propose to offer three low takeout wagers. Each night we will have a guarantee on our Pick-4. We hope to implement a guarantee on our new proposed Pick-5. We have limited our gimmick schedule somewhat to gives our fans bigger wagering pools.



Watch & Wager,com LLC @ Cal Expo

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room

and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: Ovations

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: Attached

B. Promotional/ Marketing budget for this race meeting: \$ 155,000

Promotional/Marketing budget for prior race meeting:

C. Number of hosts and hostesses employed for meeting: 2

D. Describe facilities set aside for new fans: None

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen : None at this time.
2. Fans: Upgraded free Wi Fi throughout the grandstand.
Outside Big Screen TV lease.
3. Facilities in the restricted areas: None at this time.

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general) Free

Admission (clubhouse) Na

Reserved seating (general) Na

Reserved seating (clubhouse) Na

Parking (general) Free

Parking (preferred) Na

Parking (valet) Na

Programs (on-track) 3.50

(off-track) 4.00 Program is a joint Los Alamitos/ Cal Expo night program.

B. Describe any "Season Boxes" and "Turf Club Membership" fees: NA

C. Describe any "package" plans such as combined parking, admission and program: Na

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="46"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 104
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/50

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%
The percent of cross slope in the center of the turns is: 5-6 %
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J,R, Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior

to the conduct of any racing. NA

22. DECLARATIONS

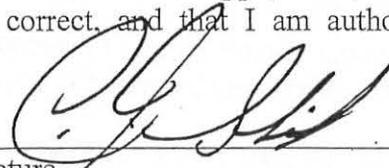
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Attached
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exception

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SETHICK
Print Name


Signature

General Manager
Print Title

9/24/2012
Date

STAFF ANALYSIS
October 18, 2012

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION AT LOS ALAMITOS DECEMBER 28, 2012 THROUGH DECEMBER 22, 2013.

Los Alamitos Quarter Horse Racing Association (LAQHRA) filed its application to conduct a quarter horse racing meeting at Los Alamitos.

- December 28, 2012 through December 22, 2013, or 155 days, 1 day more than the 2012 allocated race dates. The association proposes to race a total of 1,400 races. The (estimated) average daily purse for the 2012 meet is \$99,155. The (estimated) average daily purse for this meet is \$99,462. The 2012 meet has not been completed.
- The race dates proposed are the dates the Board allocated.

December - 2012						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
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9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

January - 2013						
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27	28	29	30	31		

February - 2013						
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24	25	26	27	28		

March - 2013						
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31						

April - 2013						
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28	29	30				

May - 2013						
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June - 2013						
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30						

July - 2013						
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28	29	30	31			

August - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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25	26	27	28	29	30	31

September - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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22	23	24	25	26	27	28
29	30					

October - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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27	28	29	30	31		

November - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

December - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing 3 nights per week, Friday through Sunday, except February 3, 2013. Seven to 15 live races per night and 8 to 16 simulcast races.
- First live post 7:00 p.m. Friday and Saturday; 5:30 p.m. Sunday.
- Wagering program will use CHRB rules.
 - Early wagering will start at 9:30 a.m. Friday, Saturday and Sunday.
- Advance Deposit Wagering (ADW) provider is TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- A copy of the license agreement between ODS Technologies, L.P. dba TVG Network and Los Alamitos Quarter Horse Racing Association has been provided to CHRB.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Juan Garcia is appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- LAQHRA has reported it will have two emergency medical technicians (EMT's) in the on-track ambulance during racing hours.

Specific information which will need **updating** during the proposed race dates:

1. Fire Clearance (expires March 6, 2013).

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Cathy Monji
Los Alamitos Quarter Horse Racing Association
4961 Katella Ave.
Los Alamitos, CA 90720 714-820-2800 main 714-820-2820 fax
cmonji@losalamitos.com
- B. Breed of horse: TB QH H
- C. Racetrack name: Los Alamitos Race Course
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: December 28, 2012-December 22, 2013
- B. Actual dates racing will be held:
December 28-30, 2012 (3)
January 4-6, 11-13, 18-20, 25-27 (12) July 5-7, 12-14, 19-21, 26-28 (12)
February 1-2, 8-10, 15-17, 22-24 (11) August 2-4, 9-11, 16-18, 23-25, 30, 31 (14)
March 1-3, 8-10, 15-17, 22-24, 29-31 (15) September 1, 6-8, 13-15, 20-22, 27-29 (13)
April 5-7, 12-14, 19-21, 26-28 (12) October 4-6, 11-13, 18-20, 25-27 (12)
May 3-5, 10-12, 17-19, 24-26, 31 (13) November 1-3, 8-10, 15-17, 22-24, 29, 30 (14)
June 1-2, 7-9, 14-16, 21-23, 28-30 (14) December 1, 6-8, 13-15, 20-22 (10)

CHRB CERTIFICATION	
Application received: 9/24/12	Hearing date: 10/18/12
Deposit received: 10k	Approved date:
Reviewed: <i>apw</i>	License number:

- C. Total number of days or nights of racing: 155
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Fri - Sun
- E. Number of days or nights of racing per week: 3 nights per week except Sunday February 3, 2013

3. RACING PROGRAM

- A. Total number of races: 1,400 live and 1,800 simulcast = 3,200
- B. Number of races for each day or night: 7-15 live, 8-16 incoming simulcast
- C. Total number of stakes races: 86
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

Race	Friday	Saturday	Sunday	Sunday-Del Mar/Fairplex
1	7:00	7:00	5:30	6:30
2	7:25	7:25	5:55	6:55
3	7:50	7:50	6:20	7:20
4	8:15	8:15	6:45	7:45
5	8:40	8:40	7:10	8:10
6	9:05	9:05	7:35	8:35
7	9:30	9:30	8:00	9:00
8	9:55	9:55	8:25	9:25
9	10:20	10:20	8:50	9:50
10	10:45	10:45	9:15	10:15
11	11:10	11:10	9:40	10:40
12		11:35	10:05	11:05
13		11:59	10:30	11:30
14			10:55	
15			11:20	

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
2013 STAKES SCHEDULE**

To Be Run	EVENT	Purse & Distance
SUNDAY DECEMBER 30, 2012	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 6, 2013	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 13, 2013	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
SATURDAY JANUARY 19, 2013	(4) CYPRESS HANDICAP FOUR YEAR OLDS	\$25,000 350 YARDS
SUNDAY FEBRUARY 10, 2013	(5) LOS ALAMITOS MAIDEN STAKES THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 20, 2013	\$125,000 350 YARDS
SATURDAY FEBRUARY 16, 2013	(6) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 26, 2013	\$200,000 400 YARDS
SUNDAY FEBRUARY 17, 2013	(7) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 27, 2013	\$200,000 400 YARDS
SUNDAY FEBRUARY 24, 2013	(8) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY MARCH 24, 2013	(9) KATELLA HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
FRIDAY APRIL 5, 2013	(10) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 16, 2013	\$200,000 400 YARDS
SATURDAY APRIL 6, 2013	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 17, 2013	\$200,000 400 YARDS
SUNDAY APRIL 14, 2013	(12) JAMES S SMITH HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY MAY 4, 2013	(13) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 11, 2013	(14) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY MAY 12, 2013	(15) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 18, 2013	(16) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 19, 2013	(17) KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 5, 2013	\$400,000 300 YARDS
SATURDAY JUNE 15, 2013	(18) LOS ALAMITOS CLAIMING FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, MAY 26, 2013	\$75,000 350 YARDS
SATURDAY JUNE 22, 2013	(19) IVAN ASHMENT HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 400 YARDS
SUNDAY JUNE 23, 2013	(20) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 09, 2013	\$1,000,000 350 YARDS
SUNDAY JUNE 23, 2013	(21) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SATURDAY JUNE 29, 2013	(22) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY JUNE 30, 2013	(23) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 7, 2013	(24) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 16, 2013	\$200,000 400 YARDS
SATURDAY JULY 27, 2013	(25) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 13, 2013	\$500,000 350 YARDS
SATURDAY JULY 27, 2013	(26) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN FRIDAY, JULY 12, 2013	\$250,000 400 YARDS
SATURDAY JULY 27, 2013	(27) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 27, 2013	(28) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$50,000 400 YARDS
SATURDAY JULY 27, 2013	(29) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 27, 2013	(30) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2013	(31) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2013	(32) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 27, 2013	(33) CALIFORNIA BREEDERS 550 SOPHOMORE STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 550 YARDS
SATURDAY JULY 27, 2013	(34) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 27, 2013	(35) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 27, 2013	(36) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 27, 2013	(37) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 27, 2013	(38) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY AUGUST 17, 2013	(39) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, AUGUST 2, 2013	\$20,000 Added \$7,500 Added + \$12,500 B of A Racing Challenge 400 YARDS
SUNDAY AUGUST 18, 2013	(40) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, AUGUST 3, 2013	\$70,000 Added \$15,000 Added + \$55,000 B of A Racing Challenge 440 YARDS
FRIDAY AUGUST 23, 2013	(41) ADEQUAN CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 4, 2013	\$30,000 Added \$7,500 Added + \$22,500 B of A Racing Challenge 400 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY AUGUST 24, 2013	(42) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 10, 2013	\$25,000 Added \$7,500 Added + \$17,500 B of A Racing Challenge 350 YARDS
SATURDAY AUGUST 24, 2013	(43) PFIZER STARTER ALLOWANCE CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, FRIDAY, AUGUST 9, 2013	\$15,000 Added \$5,000 Added + \$10,000 B of A Racing Challenge 350 YARDS
SUNDAY AUGUST 25, 2013	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 11, 2013	\$50,000 Added \$250,000 Projected 400 YARDS
SATURDAY AUGUST 31, 2013	(45) BOBBYS ANGEL HANDICAP FILLIES, TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY SEPTEMBER 1, 2013	(46) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 8, 2013	(47) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 15, 2013	(48) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SATURDAY SEPTEMBER 21, 2013	(49) THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SUNDAY SEPTEMBER 22, 2013	(50) HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 29, 2013	(51) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$150,000 400 YARDS
FRIDAY OCTOBER 4, 2013	(52) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, FRIDAY, SEPTEMBER 13, 2013	\$250,000 400 YARDS
FRIDAY OCTOBER 4, 2013	(53) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$40,000 400 YARDS
FRIDAY OCTOBER 4, 2013	(54) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SATURDAY OCTOBER 5, 2013	(55) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SATURDAY, SEPTEMBER 14, 2013	\$550,000 350 YARDS
SATURDAY OCTOBER 12, 2013	(56) AUTUMN HANDICAP TWO YEAR OLDS	\$35,000 350 YARDS
SUNDAY OCTOBER 13, 2013	(57) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$150,000 440 YARDS

To Be Run	EVENT	Purse & Distance
SATURDAY OCTOBER 26, 2013	(58) JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 27, 2013	(59) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 6, 2013	\$100,000 350 YARDS
SATURDAY NOVEMBER 2, 2013	(60) BANK OF AMERICA RACING CHALLENGE CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$350,000 440 YARDS
SATURDAY NOVEMBER 2, 2013	(61) MERIAL RACING DISTAFF CHALLENGE CHAMPIONSHIP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
SATURDAY NOVEMBER 2, 2013	(62) RED CELL DISTANCE CHALLENGE CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 870 YARDS
SATURDAY NOVEMBER 2, 2013	(63) PFIZER STARTER ALLOWANCE CHALLENGE CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$75,000 350 YARDS
SATURDAY NOVEMBER 2, 2013	(64) ADEQUAN DERBY CHALLENGE CHAMPIONSHIP THREE YEAR OLDS	\$200,000 400 YARDS
SATURDAY NOVEMBER 2, 2013	(65) JOHN DEERE JUVENILE CHAMPIONSHIP CHALLENGE	\$150,000 350 YARDS
SATURDAY NOVEMBER 2, 2013	(66) Q - RACING STAKES TWO YEAR OLDS	\$25,000 350 YARDS
SATURDAY NOVEMBER 2, 2013	(67) JOHN DEERE STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY NOVEMBER 2, 2013	(68) NUTRENA STARTER ALLOWANCE STAKES THREE YEAR OLDS AND UPWARD WHO HAVE STARTED FOR A CLAIMING PRICE OF \$5,000 OR LESS, AS DETERMINED BY UNDERCARD PREFERENCES	\$25,000 350 YARDS
SATURDAY NOVEMBER 2, 2013	(69) AQHA MEMBER PLUS STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SATURDAY NOVEMBER 2, 2013	(70) FARNAM STAKES THREE YEAR OLDS AND UPWARD	\$25,000 440 YARDS
SATURDAY NOVEMBER 2, 2013	(71) EVANGELINE DOWNS STAKES THREE YEAR OLDS AND UPWARD	\$25,000 870 YARDS
SUNDAY NOVEMBER 3, 2013	(72) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 20, 2013	\$1,000,000 400 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 3, 2013	(73) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 9, 2013	(74) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 10, 2013	(75) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 19, 2013	\$1,000,000 400 YARDS
SUNDAY NOVEMBER 17, 2013	(76) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SATURDAY NOVEMBER 23, 2013	(77) Z WAYNE GRIFFIN DIRECTORS TRIALS THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$18,000 per Trial 400 YARDS
SATURDAY NOVEMBER 30, 2013	(78) MOUNT RUSHMORE OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SATURDAY DECEMBER 8, 2013	(79) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
FRIDAY DECEMBER 13, 2013	(80) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 550 YARDS
SATURDAY DECEMBER 14, 2013	(81) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, NOVEMBER 23, 2013	\$750,000 440 YARDS
SUNDAY DECEMBER 15, 2013	(82) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 24, 2013	\$2,000,000 400 YARDS
SUNDAY DECEMBER 15, 2013	(83) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$35,000 400 YARDS
FRIDAY DECEMBER 20, 2013	(84) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 21, 2013	(85) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 22, 2013	(86) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN SUNDAY, DECEMBER 1, 2013	\$200,000 400 YARDS

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file

C. CORPORATION

1. Registered name of the corporation: Horsemen's Quarter Horse Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: 3019242
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Richard M. English	Chairman
Cathy Monji	President/Director
John Seibly	Vice President/Director
G. Michael Lyon	Secretary
Virginia Hyland	Director Emeritus
Edward C. Allred	Director
Daniel Castronova	Director
R. D. Hubbard	Director
Anthony Zidich	Director
Fred Scane	Director

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: QUARTER HORSE RACING, INC. 100%
6. Number of outstanding shares in the corporation: 0
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Wagner, Kirkman, and Blaine
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: QUARTER HORSE RACING, INC.

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation: N/A
11. State where incorporated: N/A
12. Registry or file number for the corporation: N/A
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: N/A
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A

15. Number of outstanding shares in the corporation: N/A
16. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how is the stock listed: N/A
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A

D. LLC

1. Registered name of the LLC: N/A
2. State where articles of organization are filed: N/A
3. Registry or file number for the LLC: N/A
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: N/A
5. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how the stock is listed: N/A
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: N/A
A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. N/A Yes No
7. Registered name of the LLC/Corporation: N/A
8. State where articles of organization are filed: N/A
9. Registry or file number for the LLC: N/A
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: N/A
11. Are the shares listed for public trading? N/A Yes No
12. If yes, on what exchange and how the stock is listed: N/A

E. OTHER

1. Name(s) of partners/sole proprietor: N/A
2. If a partnership, attach partnership agreement. N/A

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: 2010 & 2011 Audited Financials are on file with the CHRB as is the 2012 Projected Profit & Loss Statement.
- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.

- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Richard M. English	Chairman
Cathy Monji	President/Director
Robert Snyder	Controller
Michael Monji	Assistant General Manager
Frank Sherren	Plant Superintendent
Rick Castaneda	Director of Security
Howard Knuchell	Director of Operations
Robert DiGiovanni	Director of Mutuels
Ed Burgart	Track Announcer
Melodie Knuchell	Director Simulcasting/Nominations
Sherry Ray	Paymaster of Purses
Ken Walker	Director of Labor Relations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Cathy Monji, cmonji@losalamitos.com, 4961 Katella Ave., Los Alamitos, CA 90720

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage. N/A

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. N/A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2011	261,018,853	527,277
2010	262,068,119	570,583
2009	278,813,327	729,995
2008	289,907,071	778,550
2007	258,004,346	861,105

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate:	2013	10,410,000
Prior meet actual:	2012	10,377,298

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate:	2013	67,161
Prior meet actual:	2012	67,385
2. Overnight stakes:

Current meet estimate:	2013	N/A
Prior meet actual:	2012	N/A

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:	2013	N/A
Prior meet actual:	2012	N/A
3. Non-overnight stakes:

Current meet estimate:	2013	3,759,000
Prior meet actual:	2012	3,683,300

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate:	2013	24,252
Prior meet actual:	2012	23,918
4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:	2013	14,169,000
Prior meet actual:	2012	14,060,598

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:	2013	15%
Prior meet actual:	2012	15%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:	2013	24,252
Prior meet actual:	2012	23,918

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:	2013	774,000
Prior meet actual:	2012	772,950

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:	2013	Prior meet actual:	2012
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Total	485,000	Total	483,654
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E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:	2013	15,428,000
Prior meet actual:	2012	15,317,202

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:	2013	99,535
Prior meet actual:	2012	99,462

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):

Current meet estimate:	2013	12,840,000
Prior meet actual:	2012	12,894,155

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:	2013	82,839
Prior meet actual:	2012	83,728

G. Purse funds to be generated from interstate handle:

Current meet estimate:	2013	1,690,000
Prior meet actual:	2012	1,685,801

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:	2013	10,903
Prior meet actual:	2012	10,947

- H. Bank and account number for the Paymaster of Purses' purse account: on file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Robert Passero, 230 Old Ranch Rd., Seal Beach, CA 90740

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1428, growing to 1575-1625.
- B. Minimum number of stalls believed necessary for the meeting: 1428
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 0
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

9 PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1DD, \$1PNP, \$1 TRI, \$1SF, \$1PPN	CHRB 1959, 1957, 1976.9, 1979, 1979.1, 1976.8
Race #2	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #4	\$1E, \$1PK3, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1957
Race #5	\$1E, \$1PK3, \$1TRI, \$1SF, \$2PNP6, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1976.9, 1957
Race #6	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #7	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #8	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #9	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #10	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #11	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #12	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #13	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #14	\$1E, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1979, 1979.1, 1957
Race #15	\$1E, \$1TRI, \$1SF	CHRB 1959, 1979, 1979.1,

1. Late daily double will always occur in the last two races of the race card.
2. If there are not enough horses carded in a race to offer a trifecta, we will offer a \$1 quinella, CHRB1958.
3. Late pick four will always be offered on the last four races of the race card, CHRB 1976.9.
4. Rolling pick three begins in the second race of each card and continues through the 3rd to last race of the card.
5. If a field should scratch down to 5 betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.

B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 22, 2013

C. List any options requested with regard to exotic wagering: N/A

D. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Friday, Saturday, Sunday at 9:30am

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Scientific Racing Games, contact person: Terry McWilliams, contract expires 09/24/15 (with our option to extend for 2 one year periods.)

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
TVG
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On file
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

<u>Northern California</u>	<u>Southern California</u>
Alameda County Fair, Pleasanton	Barona Casino, Lakeside
The Jockey Club At San Mateo	San Bernardino County Fair, Victorville
Big Fresno Fair, Fresno	Fantasy Springs Casino, (Cabazon), Indio
California State Fair \$ Expo, Sacramento	Del Mar Thoroughbred Club, Del Mar
Club One, Fresno	Hollywood Park, Inglewood
Golden Gate Fields, Albany	Desert Expo Centre, Indio
Kern County Fair, Bakersfield	The Farmers Fair, Perris
Monterey County Fair, Monterey	Antelope Valley Fair, Lancaster
San Joaquin County Fair, Stockton	Santa Barbara County Fair, Santa Maria
Santa Clara County Fair, San Jose	National Orange Show, San Bernardino
Solano County Fair, Vallejo	Fairplex Park, Pomona
Sonoma County Fair, Santa Rosa	Santa Anita, Arcadia
Stanislaus County Fair, Turlock	Viejas Casino & Turf Club, Alpine
Tulare County Fair, Tulare	Sycuan Gaming Center, El Cajon
	Ventura County Fair, Ventura
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Mexico (including Agua Caliente and related books, Juarez and related books, and Hipodromo de las Americas and related books); and those included in E.
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of



Pacific Coast Quarter Horse Racing Association

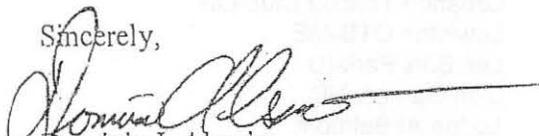
John Hindman
TVG
6701 Center Drive West
Suite 160
Los Angeles, CA 90045

October 4, 2012

Dear Mr. Hindman,

Pacific Coast Quarter Horse Racing Association approves the full card simulcasting of races from Los Alamitos Race Course under the Federal Interstate Horse Racing Act to the hub in Oregon operated by TVG pursuant to the terms of the agreement between O.D.S. Technologies, L.P. d/b/a TVG and Los Alamitos Race Course.

Sincerely,



Dominic J. Alessio
President

the association: The Caribbean Basin (Las Caymanas Park and various books on St. Marten, Jamaica, Barbados, and Trinidad); Dominican Republic (Hipodromo V. Centenario); Canada (Assiniboia Downs, Barrie Downs, Cloverdale Raceway, Dawartja Downs, Elmira Raceway, Flamboro Downs, Kawartha Downs, Marquis Downs, Queensbury Downs, Red Shores, Rideau Carlton, Hippodromo l'Hippodrome de Montreal, Calgary Stampede, Hastings Park, Northland Park, Ontario Jockey Club, Stampede Park, Western Fair, Windsor Raceway, and others); Argentina (La Plata, San Ysidro, Palermo, and related books); Brazil (Jockey Club and related books); Columbia, (LosComuneros); Panama (Codere-PresidenteRemon); Sol Mutuel, Grenada, Uruguay (Maronas Racetrack); Venezuela (La Rinconada and related books); U.S. Virgin Islands (books); Puerto Rico (El Commandante), South Korea, South Africa.

America Tab-OR	Foxwoods Casino (LVDC)-CT
AmWest Entertainment-KY	Freehold Raceway-NJ
Arapahoe Park-CO	Garden State Park-NJ
Arima Race Club, Trinidad	Geneva Lakes Kennel Club-WI
Arlington International Race Course-IL	Great Lakes Downs-MI
Atlantic City Casino Assn.-NJ	Greentrack-AL
Atlantis Paradise, LVDC	Gulf Greyhound Park
Avatar Ventures, LVDC	Gulfstream Park-FL
Balmoral Park-IL	Harrington Raceway & Simulcast Facility-DE
BetPad, OR	Hawthorne Race Course-IL
Beulah Park-OH	Hazel Park-MI
Birmingham Race Course-AL	Hialeah Park-FL
Blue Ribbon Downs-OK	Hinsdale Greyhound Park, NH
Buffalo Trotting Association-NY	Hipica De Panama-MIR
Calder Race Course-FL	Holiday Beach Hotel (LVDC)-NV
Capital Sports Pty-Australia	Hoosier Park-IN
Canterbury Park-MN	Ida Racing, ID
Capital District OTB-NY	Indiana Downs, IN
Catskill Off-Track, NY	International All Sports, Australia
Choctaw Racing Services, OK	International Racing Group, (IRG)
Churchill Downs-KY	Jackson Harness Raceway-MI
Churchill Downs Sports Spectrum-KY	Keeneland-KY
Cities of Gold, LVDC	Ladbroke at the Meadows-PA
Citizen Band Potawatomi Indian Tribe (LVDC)	Lebanon Trotting Club-OH
Colonial Downs-VA	Lewiston OTB-ME
Columbus Races-NE	Les Bois Park-ID
Connecticut OTB-CT	Lien Games, ND
Corpus Christi Greyhound Track-TX	Lodge At Belmont
Cypress Bayou Casino	Lone Star Race Park-TX
Dairyland Greyhound Park-WI	Louisiana Downs-LA
Day At The Track,	Manor Downs-TX
Delaware Park-DE	Maryland Jockey Club-MD
Delta Downs-LA	Maywood Park-IL
DGS Systems Corp, LVDC	Meadowlands, NJ
Dover Downs-DE	Megatote, LVDC
Downs at Albuquerque-NM	Meskwaki Casino (LVDC)-NV
Downs at Santa Fe	Mobile Greyhound Park-AL
Ebet	Monmouth Park-NJ
Ellis Park-KY	Montana Simulcast, MT
Elite Turf Club, LVDC	Monticello Raceway-NY
Emerald Downs, WA	Mountaineer Park-WV
Eureka Downs, KS	Mt. Pleasant Meadows-MI
Euro Off-Track, Isle of Man	Multnomah Greyhound Park-OR
Evangeline Downs-LA	Nassau Downs OTB-NY
Fair Grounds Racecourse-LA	Nebraska State Fair Park-NE
Fair Meadows, IA	New Jersey Sports & Exposition
Fairmount Park-IL	New Mexico State Fair-NM
Finger Lakes Race Track-NY	New York City Off-Track Betting-NY
Firelake Entertainment(LVDC) OK	New York Racing Association-NY
Fonner Park-NE	Northfield Park-OH

Northville Downs-MI
 Northville Racing Corp.-MI
 Northwest Racing Associates Ltd.(Emerald)
 Oaklawn Park-AR
 Ocean Downs-MD
 Penn National Race Course-PA
 Philadelphia Park-PA
 Phumelela Gold, South Africa
 Plainridge Racecourse-MA
 Playfair Race Course-WA
 Pojoaque Pueblo Gaming, Inc. (LVDC)-OK
 Portland Meadows-OR
 Potawatomi Indian Tribe, WI
 Prairie Meadows-IA
 Premier Turf Club-ND
 Raceway Park-OH
 Racing & Gaming Services, St Kitts
 Remington Park-OK
 Retama Park-TX
 Rillito Park-AZ
 River Downs-OH
 Rockingham Park-NH
 Ruidoso Downs-NM
 Running Aces Harness Park, MN
 Sam Houston Park-TX
 Sandy Downs, ID
 Saratoga Raceway-NY
 Schenectady OTB-NY
 Scioto Downs-OH
 Silks Corporation, N. Dakota Horse Park, ND
 Sol Mutuel Ltd, S. America
 Southland Greyhound Park-AR

Spirit Mountain Casino (LVDC)-OR
 Sports Creek Raceway-MI
 Sportsman's Park-IL
 Suffolk Downs-MA
 Suffolk OTB, NY
 Sunland Park Racetrack-NM
 SunRay Park and Casino-NM
 Tampa Bay Downs-FL
 Television Games Network-CA
 The Downs @ Albuquerque
 The Lodge at Belmont, NH
 The Racing Channel,
 The Red Mile, KY
 The Royal Turf Club, Antigua
 The Stables (LVDC)-OK
 Thistledown Racing Club-OH
 Tioga Downs, NY
 TP Racing, LLLP (Turf Paradise)-AZ
 TRN International, PA
 Tucson Greyhound Park-AZ
 Turning Stone Casino-NY
 US Off-Track-OR
 Valley Race Park, TX
 Vernon Downs-NY
 Western Regional OTB-NY
 Wichita Greyhound Park-KS
 Will Rogers Downs-OK
 Wonderland Greyhound Park
 Woodlands-KS
 Wyoming OTB-WY
 Yonkers Raceway-NY
 Youbet-Tote Tasmania, Australia

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
 Commerce Casino, City of Commerce
 OC Tavern, San Clemente
 Santa Clarita
 Santa Maria Roadhouse
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Delta Downs	April -July	Selected races
Sam Houston	June-September	Selected races
Prairie Meadows	July-September	Selected races
Remington Park	March-June	Selected races
Ruidoso Downs	May-September	Selected races
Fair Meadows/Tulsa	May-July	Selected races
Hoosier Park	September	Selected races
Red Mile	July-September	Selected races
Evangeline Downs	September-December	Selected races
Lone Star Park	October-December	Selected races
Canterbury Park	May-September	Selected races
Indiana Downs	May-July	Selected races
Emerald Downs	TBA	Selected races
Portland Meadows	TBA	Selected races
Zia Park	TBA	Selected races
Retama Park	TBA	Selected races

- I. For **STANDARD**BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Balmoral	Standardbred	January-December	Selected races
Maywood	Standardbred	January-December	Selected races
Meadowlands	Standardbred	January - August;	Selected races
Tioga	Standardbred	TBA	Selected races
Hawthorne	Standardbred	TBA	Selected races
Red Mile	Standardbred	September	Selected races
Scioto Downs	Standardbred	August	Selected races
Pompano Downs	Standardbred	October	Selected races
Dover Downs	Standardbred	TBA	Selected races
The Meadows	Standardbred	TBA	Selected races
Northfield Park	Standardbred	TBA	Selected races
Vernon Downs	Standardbred	TBA	Selected races
Mohawk Raceway	Standardbred	TBA	Selected races
Windsor Raceway	Standardbred	TBA	Selected races
Penn National	Standardbred	TBA	Selected races
Running Aces	Standardbred	TBA	Selected races
Mohawk	Standardbred	TBA	Selected races
Remington Park	Paint	TBA	Selected races

Emerald Downs	Tb, 4 ¼ furlong, \$5000 claimers or less TBA	Selected races
Hastings	Tb, 4 ¼ furlong, \$5000 claimers or less TBA	Selected races
Delta Downs	Tb, 4 ¼ furlong, \$5000 claimers or less TBA	Selected races
Portland Meadows	Tb, 4 ¼ furlong, \$5000 claimers or less TBA	Selected races

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). Agreements forwarded as needed.

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Los Alamitos Quarter Horse Charity Foundation, 4961 Katella Ave., Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
Robert Main 4961 Katella Ave., Los Alamitos, CA 90720
Althea Miller 4961 Katella Ave., Los Alamitos, CA 90720
Charles Brain 4961 Katella Ave., Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Thomas A. Hackathorn, DVM
Clerk of Scales	Glen Miki
Film Specialist	Stewards
Horse Identifier	Juan Garcia
Horseshoe Inspector	James Stump
Paddock Judge	Louie Cacioppo

Organizational Chart 2012 / 2013

Los Alamitos Race Course

Security Dept.

Captain of Security	Rick Castaneda	Office (714) 820-2663 Cell (714) 713-7728
Day Shift Sergeant	Keith Hicks	Office (714) 820-2664 Cell (714) 366-0579
Night Shift Sergeant		
Day Shift Security Officers	Bill Chavez Edgardo Castillo Gerardo Angel Deril Montgomery Bunthoeun Sos Jose Murillo	Mainline Club House Pk Lot Desk Mezz Grandstand ent.
Night Shift Officers	Louie Reid Jackie Dixon Gabriel Castellanos Henry Chambers Ruben Silva Orlando Aguilar	Control Desk (Part Time) 2 / 3 days a week Mainline Mezz Paddock Club House Breaks
Stable Area Officers	Alex Pacheco Faatouluna Tiatia Jose Alvarez Jorge Avina Fernando Gomez	Day stable Night stable Split Shift Night Receiving Barn Graveyard
Off Hour Officers	Albert Cruz Sr. Luis Rivas Frank Wozniak	Grave Yard Split Shift Days

There are **20** Security Officers on staff during the racing season, and **2** Supervisors.

Stable Gate Attendants

April Dudone Swing Gate
 Ronnie White Day East Gate
 Mario Martinez Spilt Shift
 Arturo Sandoval Night East Gate
 Marco Avina Grave yard Gate
 laura Cunninghar Day Gate
 Cheri Willett **Part Time 1 day Sunday Swing / Monday Grave**
6 Stable Gate Attendants / **1** Part Time

Patrol Judges	Louie Cacioppo
Placing Judges	Ed Reese, Geoff Waxler
Starter	John Baker
Timer	Geoff Waxler

B. Management officials in the racing department:

Director of Racing	Ron Church
Racing Secretary	Ron Church
Assistant Racing Secretary	Ed Reese
Paymaster of Purses	Sherry Ray
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Barbara Weinstein, 9582 Hamilton Ave, STE 265, Huntington Beach, CA 92646 714 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA – Bill O'Brien 12/31/13.

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communications - Jim Porep 12/31/13, automatically renews yearly

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Pegasus Communications - Jim Porep 12/31/13, automatically renews yearly

14. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Rick Castaneda-Director of Security 714-713-7728 cell
714-820-2663 office

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 27

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Not used at this time.

2. Detention Stalls: Not used at this time.

A. Attach a plan for use of graded stakes or overnight races.

B. Number of security guards in the detention stall area during a 24-hour period.

C. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
 - B. Plan for enhanced surveillance for trainers with high-test results.
 - C. Plan for detention stalls for repeat offenders.
 - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system: Not used at this time.
- 1. Location and number of video surveillance cameras for the detention stall and stable gate.
- D. For night racing associations. Describe emergency lighting system:

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
 Huntington Ambulance, PO Box 145, Sunset Beach, CA 90742 562-904-1550,
 714-325-0363 cell -Stacy O'Brien
- 1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
 Ambulance Staffing: See attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A
- 1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A
- C. Describe the on-track first aid facility, including equipment and medical staffing: Open during live racing hours with basic first aid supplies including an Automated External Defibrillator (AED), manned by two EMT's.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**
- 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos Medical Center	West Anaheim Medical Center
3751 Katella Ave	3033 West Orange Ave
Los Alamitos, CA	Anaheim, CA 92804
562-799-3212	714-827-3000

 In case of a major accident/head injury they are routed to:

Long Beach Memorial	or	UCI
2801 Atlantic Ave		101 The City Dr
Long Beach, CA 90802		Orange, CA 92868
562-933-2000		714-456-7890

This will be determined by the Paramedics, not the race track or EMT's

- E. Name, address and emergency telephone number of the hospital to be used for admittance and

Huntington Ambulance, LLC

Mr. Brad McKinzey
Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720

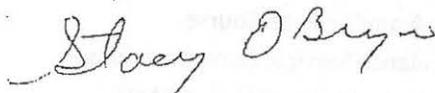
October 4, 2012

Dear Mr. McKinzey,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are all licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC



October 4, 2012

Ms. Cathy Monji
President
Los Alamitos Race Course
4961 Katella Avenue
Los Alamitos, CA 90720

Ms. Andrea Ogden
California Horse Racing board
1010 Hurley St., Suite 300
Sacramento, CA 95825

Dear Ms. Ogden,

In response to your letter seeking clarification to our license application for questions 15A and 15B we would like to provide the following information on behalf of Los Alamitos Race Course.

Our on-track ambulance service is provided by Huntington Ambulance Service. During morning training hours there is one ambulance crew on duty and that crew is staffed with two licensed EMT's. During evening racing hours there are two ambulance crews on duty with each of those ambulance crews staffed with two licensed EMT's.

There are no paramedics on the Huntington Ambulance crews. Regulations in Orange County mandate that all paramedic services be provided through the Orange County Fire Authority with no provisions for paramedics on private ambulance crews.

The Huntington Ambulance EMT's are licensed through both County and State agencies and they will be providing you with a letter verifying that certification.

We hope this clarifies the responses on our license application. If you have any additional questions please feel free to contact us.

Sincerely,

A handwritten signature in black ink, appearing to read "Cathy Monji".

Cathy Monji
Los Alamitos Race Course



COUNTY OF ORANGE HEALTH CARE AGENCY

HEALTH DISASTER MANAGEMENT EMERGENCY MEDICAL SERVICES

MARK REFOWITZ
DIRECTOR

HOLLY A. VEALE
ACTING DEPUTY AGENCY DIRECTOR
MEDICAL SERVICES

TAMMI McCONNELL RN, MSN
EMS PROGRAM MANAGER

405 W FIFTH STREET, SUITE 301
SANTA ANA, CALIFORNIA 92701

TELEPHONE: 714- 834-3500
FAX: 714- 834-3125

September 21, 2012

Brad McKinzie
Los Alamitos Race Course
Los Alamitos, California

SUBJECT: EMERGENCY MEDICAL SERVICES TRANSPORT AT LOS ALAMITOS RACE COURSE

Dear Mr. McKinzie:

This letter is to confirm that in February and March of 2012 the Orange County Emergency Medical Services Agency met with you and your staff to review the emergency ambulance transport system that had been developed for the Los Alamitos Race Course.

We had a total of three meetings. The Orange County Emergency Medical Services Agency and your staff attended all three meetings. At various meetings there were representatives of the Orange County Fire Authority, Huntington Ambulance, and representatives of the California Jockey's Association.

The Huntington Ambulance emergency response protocols were reviewed by the Orange County Emergency Medical Services Agency and recommendations made and implemented to assure the health and safety of potentially injured jockeys is maintained. The Orange County Emergency Medical Services Agency continues to monitor the emergency response program including interaction of Huntington Ambulance with Orange County Fire Authority paramedic services.

In summary, the Los Alamitos Race Course emergency response procedures involving emergency medical technician, ambulance, and paramedic response has been reviewed and approved by the Orange County Emergency Medical Services Agency.

With best regards,

Samuel J. Stratton, MD, MPH
Medical Director, Health Disaster Management/Emergency Medical Services
Electronically signed 21 Sept 2012 @ 13:50

Emergency Track Lighting System
Los Alamitos Race Course

The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minutes to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes on. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

treatment of emergency injuries in the event of an on-track injury to a jockey:

Same as D 1.

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: see attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
 Allie Rodriguez/FLSIG-safety manager
 Frank Sherren-safety program director LARC
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
 Our fire clearance inspection is conducted yearly in March, see attached.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
 Self Insured-Finish Line Self Insurance Group, certificate # On File
 Administrator: Sig Solutions
 PO Box 905
 Seal Beach, Ca 90740
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
 Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Centaur Hot Walkers, Inc., 5761 Ridgeview Ave., Mira Loma, CA 91752

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

See attached

B. Promotional/ Marketing budget for this race meeting:

See attached

Promotional/Marketing budget for prior race meeting:

Los Alamitos Race Course

EMT / Paramedic Protocols

The emergency medical services at Los Alamitos Race Course operate under the jurisdiction of the County of Orange Emergency Medical Services Dept. (OCEMS). The OCEMS supervises all paramedic services within the county as well as the licensing of all private ambulance companies.

Orange County regulations stipulate that all paramedic services within the county are provided through the Orange County Fire Authority with no licensing of any private paramedic ambulance services.

The OCEMS has reviewed and approved the protocol for handling on track accidents at Los Alamitos Race Course. This protocol calls for Huntington Ambulance EMT's to respond to any on track accident and stabilize any injured rider. At this point paramedics are called and they respond from the Orange County Fire Station #14 which is located directly adjacent to Los Alamitos Race Course.

Huntington Ambulance crews transport the injured rider to a designated area located just outside a special emergency gate built on the backstretch of the racetrack where they are met by Fire Authority paramedics. This designated area is less than 100 yards from the paramedic station.

The Orange County Fire Authority paramedics evaluate the injured rider and make the determination of whether to transport the rider to a trauma center.

This emergency protocol is in place for both morning training and evening racing accidents.

FINISH



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100

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Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Pasos Requeridos en Caso de un Accidente

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada**
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100



ORANGE COUNTY FIRE AUTHORITY

P. O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Keith Richter, Fire Chief

(714) 573-6000

www.ocfa.org

March 6, 2012

Los Alamitos Race Course
4961 Katella Av.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspections have been completed and I am pleased to report that there is a reasonable degree of fire and life safety present at this time.

If I can be of further assistance, please contact me at 714-229-8805.

Respectfully,

Antonio Aleman
Senior Fire Prevention Specialist

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That

LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE
(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.

(Master Certificateholder)

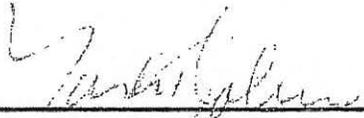
has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.*

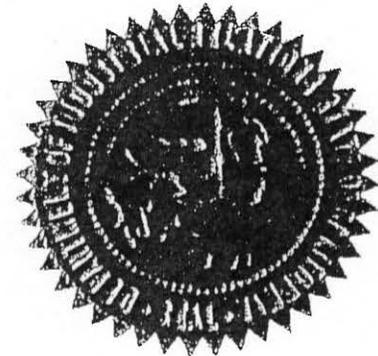
EFFECTIVE:

THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA


MARK T. JOHNSON, MANAGER


JOHN M. REA, DIRECTOR



*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
9/20/2012

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MOC Insurance Services License No. 0589960 44 Montgomery St., 17th Fl. San Francisco CA 94104	CONTACT NAME: Sara Przemielewski PHONE (A/C No. Ext): (415) 957-0600 FAX (A/C No.): (415) 957-0577 E-MAIL ADDRESS: sprzemielewski@maroevich.com	
	INSURER(S) AFFORDING COVERAGE INSURER A: New York Marine & General	NAIC #
INSURED Los Alamitos Race Course 4961 Katella Avenue Los Alamitos CA 90720	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES CERTIFICATE NUMBER: CL1292024715 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSTR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GENL AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$	
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$	
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$	
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		Y/N <input type="checkbox"/>	N/A WC2013EPP00127	1/1/2013	1/1/2014	WC STATUTORY LIMITS OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
 Coverage extended as of start date below to employees assigned to listed trainer for Horse Racing and Training Codes: 8631, 8278

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE S Przemielewski / SPR

CHRB LICENSE APPLICATION

ON TRACK ATTENDANCE/FAN DEVELOPMENT

A. Describe any promotional plans:

1. Los Alamitos will continue to partner with Living Social, Groupon and Travelzoo to market the live racing program. With Groupon, Los Alamitos offered a night at the races package valued at \$81.50 for only \$35. The package included passes for two people to the track's Vessels Club, premium parking, \$10 food voucher, \$10 betting voucher, Los Alamitos racing program, souvenir Los Alamitos logo glasses, a trip to the winner's circle and an 8X10 photo of that group's winner's circle visit. Los Alamitos sold over 3,000 of these packages with nearly all purchases made by first-time visitors to the track. Los Alamitos Race Course was the first Los Angeles area track to partner with a daily deal website dating back to December 2010. Guests are treated as VIP and all track personnel has been instructed on the proper first-class treatment of these guests.

Los Alamitos will partner with Travelzoo, Amazon, Groupon and Living Social for a continued program in 2013.

2. In addition to its on-track marketing, Los Alamitos conducts the strongest marketing program of any southern California track for the benefit of its simulcast facilities. **In 2013, Los Alamitos is scheduled to conduct nearly 50 handicapping contest for cash prizes worth approximately \$25,00.** Most handicapping contests are held in conjunction with a premium item giveaway, such as cap, t-shirt, long sleeve polo, or sweatshirt. **Racing personality Les Onaka is on hand at each of the contests promoting the nighttime Quarter Horse signal via handicapping seminars and question and answer sessions.** Photos of winners are posted on the track's Facebook page.

Los Alamitos currently conducts monthly handicapping contests at Commerce Casino. Other sites to host multiple events this year include Hollywood Park, Santa Anita, Surfside, Monterey, Pleasanton, Victorville, Lancaster, San Bernardino, Ventura, San Clemente. Fan data is gathered at each location for future marketing use. Los Alamitos added over 2,000 new patrons to its mailing list via this program.

3. Premium item giveaway nights will be held in February, July, November, and December including a blanket giveaway in the winter, hat in July, long sleeve T-shirt or sweatshirt in November and calendar giveaway in December of 2013.

4. The Los Alamitos racing program recently added the Equibase's Q-R Code to all of its racing programs (on-track and online). The Q-R code allows smartphone users to use their mobile phone on the program pages in order to obtain valuable information like scratches and changes prior to a race and race results and a link to the replay of the race. Los Alamitos receives this service for free courtesy of Equibase. Los Alamitos also

offers a race result hotline that now include Ed Burgart's Full Race call for FREE at 714-995-2222.

5. Los Alamitos employs one part-time employee to assist patrons with their Racing Rewards card, which serves as the track's loyalty program. This program rewards its members with cash vouchers for playing the nighttime Los Alamitos racing program with a 1 percent return on their money wagered. In 2011, more than \$30,000 will be awarded to frequent players.

6. Los Alamitos' senior citizen admission prices are \$2 every single day. Los Alamitos also offers free grandstand admission and free general parking every Thursday night. More than 80% of the Los Alamitos parking lot is now available at no charge as part of its free general parking area.

7. Los Alamitos provides Ed Burgart's tipsheet free on its website at www.losalamitos.com.

8. Los Alamitos offers a free nighttime pocket program, which can be downloaded at www.losalamitos.com.

9. Los Alamitos offers a free past performance lines for major Grade 1 races, which can be downloaded at www.losalamitos.com.

10. Los Alamitos markets its night program via the Mike Willman weekly radio shows and has also hired Terry Turrell to provide a nightly handicapping tip sheet in the Los Alamitos Press Telegram.

11. Los Alamitos markets the night program on TVG with a 36-week advertising campaign throughout the year. Los Alamitos works closely with TVG to promote and encourage viewers to visit the racetrack. Vessels Club and general concession menu items are often promoted on TVG to show fans what's available at the racetrack. Los Alamitos has also set up a new studio area in the track's The Vessels Club so that TVG can conduct its "The Quarters" show live from The Vessels Club. Patrons in the track's upscale restaurant are now part of the show, while the provides added exposure to The Vessels Club.

12. Los Alamitos sponsors trivia and handicapping contest on TVGs with "Night At The Track" and "The Late Daily Double Show" packages offered as prizes.

13. The Replay Show is available on TVG mornings on a Friday through Monday basis.

14. Los Alamitos produces a weekly 22 minute preview show of its weekend races that is available on Friday mornings on TVG and all day on Friday at www.losalamitos.com. The show is hosted by Ed Burgart and it features Les Onaka plus a bevy of top

handicappers. Interviews and human interest pieces with local horsemen are also part of the show.

15. Los Alamitos has made available online video of morning workouts of 2-year-olds. Horseplayers find this a useful tool, as they're able to dissect workouts of horses that have yet to make an official start. In addition to the video footage, the site provides breeding information on each horse.

16. Los Alamitos will conduct two statewide mailers featuring mystery mutuel vouchers. Each voucher is worth at least \$5. Vouchers in denominations of \$10, \$20, \$50, \$100, \$500, and \$1,000 are also mailed. In addition \$2,500 vouchers and higher are mailed. In recent years, a \$10,000 mystery voucher was cashed at the Pleasanton simulcast location.

17. Family days at Los Alamitos will be held in mid-July with the running of the popular Wienerschnitzel Wiener National. One-hundred dachshunds compete for prize money and trophies. The annual event receives great pre and post event publicity on the television newscasts. The event annually attracts over 12,000 people, most of which get exposed to the excitement of horseracing for the first time. This event is now imitated by ALL of the fairs conducting live racing as well as Del Mar and Golden Gate Fields, which is now conducts about 3-4 of these events per year.

18. The Los Alamitos annual Halloween Carnival will be conducted in late fall. This event benefits a local charity, as well as the Los Alamitos Race Track Chaplaincy. A costume contest for kids and adults as well as a ton of carnival games are held in a safe, fun, and family oriented environment. This event provided first-time exposure of horseracing to hundreds of local families each year. Cash prizes are awarded.

19. Los Alamitos will continue its DVD Scrapbook program designed to reward racehorse owners at Los Alamitos. Every time a horse wins a race at Los Alamitos, the owner will receive one DVD - completely free - featuring the horse's winning performance. The DVD includes the post parade, warm-ups, race analysis, actual race, and winner's circle. This has received rave reviews across the board from owners and Los Alamitos Race Course sees it a nice goodwill gesture.

20. The Los Alamitos Facebook currently has over 6,000 friends, one of the largest numbers among Quarter Horse tracks in the nation. The page is updated daily and it featuring handicapping information, race videos, trainer interviews, stakes photos results, etc.

B. Promotional/Marketing budget for 2012 race meeting:

- | | |
|--|-----------|
| 1. On-Track Marketing budget: | \$369,065 |
| 2. Marketing budget for simulcast facilities/fans: | \$632,210 |

Promotional/Marketing budget for 2013 race meeting:

1. On-Track Marketing budget: \$420,000
2. Marketing budget for simulcast facilities/fans: \$620,000

C. Number of hosts and hostesses employed for meeting:

Chris Wade serves as the racing analyst on the Los Alamitos racing broadcast. Wade provides expert handicapping information prior to each race. He provides racing fans with his Pick 4 suggestions, plus tips and hints on how to play the races at Los Alamitos. Several group directors work closely with parties to ensure a fun night at the races. Group parties have race named in their honor and the opportunity to visit the winner's circle for their race.

One information window is open day and night at Los Alamitos Race Course to answer any questions or inquiries about the track or horseracing in general. Fans can also pick up general information and pamphlets at the Racing Rewards Center and Vessels Club free.

D. Describe facilities set aside for new fans:

1. Picnic tables have been set up outdoors, which are nightly advertised as the perfect place for new fans.
2. The Los Alamitos Gift Shop is open nightly. Fans now have access to new and always changing merchandise for customers of all ages. Horsemen and fans are enjoying this expanded and improved shop that brings Los Alamitos to the level of the shops at Hollywood Park and Santa Anita.
3. The Los Alamitos terraces – one on the mezzanine level and another one on the Vessels Club level- that now bring fans closer to the racing action than ever before in those areas, while providing added comfort to these racing fans' overall experience at the track.
4. New viewing located on the main floor of grandstand building featuring flat screen TVs while located in between the popular Rodney's Bar and the newly remodeled main level concession stand.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits horsemen and fans:

1. All concession stands have been remodeled and freshened up. The main level concession stand now features a new display case and ice cream coolers in addition to an Alegria coffee machine. The mezzanine concession stand has also been remodeled for greater convenience for the cans. Added to this stand is 40

inch flat screen overhead to allow patrons standing in line to continue to enjoy the racing action.

2. Permanent message boards continue to operate; one in the infield, which is a full color 8 feet tall by 20 feet wide Daktronics board featuring the broadcast of the Los Alamitos races, prices, pools, and marketing billboards; and one on the main entrance to the track, a two-color board featuring the latest messages and track news to the 1,000s of motorists that make their way up and down Katella Avenue.
3. Continued upkeep of the racetrack's safety rail and barn areas.
4. New aluminum table areas on the stadium seats in the mezzanine areas that provide patrons greater comfort to handicap the races off of their racing program.
5. Over 50 new 18 inch flat screen TVs have been added in the Clubhouse's Player's Club to upgrade the personalized TV access in this section.
6. In 2012, Los Alamitos Race Course spent \$4,000 to add 36 signage banners in the parking with eight highlights that are unique to Los Alamitos Race Course. The theme of the banners is "Los Alamitos Race Course – The Home of the..." and the various highlights include "The Home of the Los Alamitos Equine Sale", "The Home of Year-Round Racing", "The Home of Night Racing's Best Pick Fours", etc. The banners are also visually attractive.

THE VESSELS CLUB at LOS ALAMITOS RACE COURSE

Membership Form

Live Racing: Quarterhorse –December 28, 2012 to December 22, 2013

Satellite Racing: Year Round

Membership in the Vessels Club includes all live and satellite racing conducted at Los Alamitos from December 28, 2012 through December 22, 2013. No outside food or beverages will be allowed in the Vessels Club. Rude, loud, unseemly behavior or profanity is not acceptable in the Vessels Club. Your signature below acknowledges that you have read, understood, and will abide by the rules of the Club including **dress rules**.

Date _____

Signature of Member _____

Please issue membership card(s) as follows: (PLEASE PRINT OR TYPE)

- | | <u>relation to me</u> |
|------------------|-----------------------|
| 1. My name _____ | _____ |
| 2. _____ | _____ |
| 3. _____ | _____ |
| 4. _____ | _____ |

THIS SPACE FOR OFFICE USE ONLY

Number	Membership Card Number
Box # _____	

Residence _____
Street City State, Zip

Business _____
Street City State, Zip

Residence telephone _____ Business telephone _____

Mail credentials to: Res. _____ Bus. _____

MEMBERSHIP FEES:

A.	Vessels Executive December 28, 2012 – December 22, 2013 Four membership cards - each valid for one admission, two preferred parking stickers and guaranteed four seat table in the dining room.	\$3,000.00
B.	Vessels Private December 28, 2012 – December 22, 2013 One membership card valid for one admission, one preferred parking sticker, guaranteed four-seat table in the dining room, and option to purchase one additional membership card for \$500.00.	\$1,500.00
C.	Vessels Annual December 28, 2012 – December 22, 2013 One membership card valid for one admission to the Vessels Club.	\$500.00
D.	Complimentary Horseman's Pass One membership card valid for two admissions. (Owners, Trainers, Jockeys)	\$.00

Membership Selection (circle one): **A** **B** **C** **D**

MAKE CHECK PAYABLE TO LOS ALAMITOS RACE COURSE

THIS SPACE FOR OFFICE USE ONLY

VESSELS PARKING	PAYMENT RECEIVED	CREDENTIALS ISSUED
Date _____	Date _____	Date _____
Amount _____	CHECK _____ CASH _____	MAIL _____
Card # _____	Amount _____ Rec. On _____	By _____

PRIVATE MEMBERSHIP INFORMATION

A. Vessels Executive \$3,000.00

December 28, 2012 – December 22, 2013

Four membership cards - each valid for one admission, two preferred parking stickers and guaranteed four seat table in the dining room.

B. Vessels Private \$1,500.00

December 28, 2012 – December 22, 2013

One membership card valid for one admission, one preferred parking sticker, guaranteed four-seat table in the dining room.

C. Vessels Annual \$500.00

December 28, 2012 – December 22, 2013

One membership card valid for one admission to the Vessels Club.

D. Vessels Club Single Admission Offer

10 Admissions – Save \$15.00	\$85.00	(Regular Price \$100.00)
25 Admissions – Save \$50.00	\$200.00	(Regular Price \$250.00)
50 Admissions – Save \$150.00	\$350.00	(Regular Price \$500.00)

See attached

C. Number of hosts and hostesses employed for meeting:

See attached

D. Describe facilities set aside for new fans:

See attached

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: See attached

1. Horsemen
2. Fans
3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	3.00
Admission (clubhouse)	5.00/10.00 (Vessels club)
Reserved seating (general)	N/A
Reserved seating (clubhouse)	N/A
Parking (general)	Free
Parking (preferred)	5.00
Programs (on-track)	3.50
(off-track)	4.00

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

See attached

C. Describe any "package" plans such as combined parking, admission and program:

N/A

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="70"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

Separate quarters with cubicles, showers, steam box.

20. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack:

220 out of 344

C. Number of restrooms available on the backstretch of the racetrack:

13

D. Estimated ratio of restroom facilities to the number of backstretch personnel:

18/1

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

3300

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Dirt track consisting of sand, clay, silt, organic and gravel.
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail is a metal slant rail with metal safety cover, 40" in height from track to top of rail. Outer rail is a metal gooseneck with 6" X 4" metal tubing.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Rick Hughes/Dennis Moore
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
See attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. On file
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). On file

D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

No exceptions

E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Edward C. Allred
Print Name

Owner
Print Title

Edward C. Allred
Signature

09/21/12
Date

DISCUSSION BY THE BOARD REGARDING THE
PRESENTATION FROM BETFAIR U.S., LLC,
AND ITS PLANS FOR
EXCHANGE WAGERING IN CALIFORNIA

Regular Board Meeting
October 18, 2012

BACKGROUND

Senate Bill (SB) 1072, Chapter 283, Statutes of 2010, added Business and Professions Code section 19604.5 to provide that the Board has full power to prescribe rules, regulations and conditions under which exchange wagering may be conducted in California. Exchange wagering is a relatively new form of wagering. It was introduced in the United Kingdom in 2000, and it uses a "stock market model" to operate sports wagering. Exchange wagering account holders may buy and sell, or "back" and "lay" the outcome of horse races in a manner much like day trading on a stock exchange. The Board is currently in the process of completing the rulemaking process to implement regulations to allow exchange wagering in California. Betfair U.S., LLC (Betfair), is a potential exchange wagering provider. Betfair requested an opportunity to address the Board regarding its plans for exchange wagering in California.

RECOMMENDATION

Staff recommends the Board hear from a Betfair representative.