

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
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REGULAR MEETING

of the California Horse Racing Board will be held on Thursday, October 18, 2007, commencing at 9:00 a.m., at the Arcadia City Hall, 240 West Huntington Drive, Arcadia, California. The meeting will open at 9:00 a.m., then the Board will adjourn into Executive Session with the regular meeting commencing at approximately 10:00 a.m.

AGENDA

Action Items:

1. Public hearing and action by the Board on the adoption of the **proposed amendment to CHRB Rule 1843.2, Classification of Drug Substances**, to reclassify specified drug substances.
2. Discussion and action by the Board **regarding the feasibility of allowing a horse that has not started for a minimum of 180 days since its last race, and is entered in a claiming race at a price equal to or greater than the price at which it last started, to be entered in a claiming race and declared ineligible to be claimed.**
3. Discussion and action by the Board on the **Application for Approval to Conduct Advanced Deposit Wagering (ADW) of Churchill Downs Technology Initiatives Company dba Twinspires.com., for an out-of-state multi-jurisdictional wagering hub, from October 19, 2007 to December 31, 2007.**
4. Discussion and action **regarding the status of advance deposit wagering (ADW) and the feasibility of opening up ADW wagering to allow ADW wagering providers to have access to all California signals and any other matters related to ADW and exclusivity.**
5. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Hollywood Park Racing Association, LLC (T) at Hollywood Park, commencing November 7, 2007 through December 22, 2007, inclusive.**
6. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **five (5) minutes** for their presentation.
7. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by Section 11126 of the Government Code.

- A. Personnel. Consideration of conditions or terms of employment of CHRB's Executive Director as authorized by Government Code section 11126, subdivision (a).
- B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Richard B. Shapiro, Chairman
John C. Harris, Vice Chairman
John W. Amerman, Member
John Andreini, Member
Jesse H. Choper, Member
Marie G. Moretti, Member
Jerry Moss, Member
Ingrid J. Fermin, Executive Director

STAFF ANALYSIS
PROPOSED AMENDMENT OF
RULE 1843.2. CLASSIFICATION OF DRUG SUBSTANCES

Regular Board Meeting
October 18, 2007

BACKGROUND

Business and Professions Code (B&P Code) Section 19440 states the Board shall have all powers necessary and proper to enable it to carry out the purposes of Chapter 4, B&P Code. Responsibilities of the Board include, but are not limited to, adopting rules and regulations for the protection of the public and the control of horseracing and pari-mutuel wagering. B&P Code 19580 provides that the Board shall adopt regulations to establish policies, guidelines and penalties relating to equine medication to preserve and enhance the integrity of horse racing in this State. Section 19581 of the B&P Code states that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. B&P Code Section 19582 provides that violations of Section 19581, as determined by the Board, are punishable in regulations adopted by the Board, and that the Board may classify violations based upon each class of prohibited drug substances, prior violations within the previous three years and prior violations within the violator's lifetime.

At the January 2007 Regular Board Meeting staff was instructed to initiate a 45-day public comment period regarding a proposed amendment to Rule 1843.2. The proposed amendment would delete Rule 1843.2's seven drug classifications and reference the document "CHRB Penalty Categories Listing by Classification." The CHRB drug classifications are based on the ARCI recommendations with modifications recommended by the Equine Medical Director. The proposed amendment to Rule 1843.2 is currently at the Office of Administrative Law where it is undergoing a 30-day review process.

At the June 2007 Medication Committee meeting an amendment to Rule 1843.2 - in addition to the amendment described above - was discussed. At the June 2007 Regular Board Meeting staff was instructed to initiate a 45-day public comment period regarding the proposed amendment.

ANALYSIS

The document CHRB Penalty Categories Listing by Classification is incorporated by reference into Rule 1843.2. This means every time a drug classification is changed, or a previously unclassified drug is added, the regulation must be amended. The Board's Equine Medical Director (EMD) has proposed a number of changes to the CHRB Penalty Categories Listing by Classification, as well as the addition of a new "1-P" classification. The proposed amendment to Rule 1843.2 incorporates the EMD's recommendations by adding drugs that had not previously been classified and

changing the classification of others. The new 1-P classification is for out of competition testing and contains drugs that are prohibited under all circumstances.

An additional change to Rule 1843.2 relates to Assembly Bill (AB) 1616, Chapter 417, Statutes of 2007, which amended B&P Code Section 19517.5. Under B&P Code Section 19517.5 enforcement proceedings that allege the use of a prohibited substance, as defined under class I, II or III of the Board's schedule of prohibited substances, are currently referred directly to the Office of Administrative Hearings for administrative adjudication. AB 1616 amended B&P Code Section 19517.5 to allow referral of such matters to a board of stewards or a hearing officer appointed by the Board, at the election of the respondent. This necessitates the amendment of Rule 1843.2 to add the administrative law judge and the hearing officer to the text.

RECOMMENDATION

No comments were received during the 45-day public comment period. Staff recommends the Board adopt the amendment to Rule 1843.2 as presented.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT
OF
RULE 1843.2. CLASSIFICATION OF DRUG SUBSTANCES

Regular Board Meeting
October 18, 2007

1843.2. Classification of Drug Substances.

The stewards, the administrative law judge or the hearing officer, when adjudicating a hearing for the finding of a drug substance(s) in a test sample taken from a horse participating in a race, shall consider the classification of the substance as referenced in the California Horse Racing Board (CHRB) Penalty Category Listing By Classification (74/07), hereby incorporated by reference, which is based on the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines for Foreign Substances (4/05).

Authority: Sections 19580, 19581 and 19582,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code.

California Horse Racing Board (CHRB)

Penalty Categories

Listing by Classification

Class 1: Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol. Drug substances, their metabolites and analogs prohibited in horses under all circumstances under Rule 1843(b) are designated by (P).

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Alfentanil	Alfenta	1	A	Metaraminol	Aramine	1	A
Amphetamine		1	A	Methadone	Dolophine	1	A
Anileridine	Leritine	1	A	Methamphetamine	Desoxyn	1	A
Apomorphine		1	A	Methaqualone	Quaalude	1	A
Benzylpiperazine (BZP)		1	A	Methylphenidate	Ritalin	1	A
Carfentanil		1	A	Metopon (methyldihydromorphinone)		1	A
Cocaine		1	B	Morphine		1	B
Dextromoramide	Palfium, Narcolo	1	A	Nikethamide	Coramine	1	A
Diamorphine		1	A	Oxycodone	Percodan	1	A
Endorphins		1	A	Oxymorphone	Numorphan	1	A
Enkephalins		1	A	Pemoline	Cylert	1	A
Ethylmorphine	Dionin	1	A	Pentylenetetrazol	Metrazol, Nioric	1	A
Etorphine HCl	M99	1	A	Phenazocine	Narphen	1	A
Fentanyl	Sublimaze	1	A	Phencyclidine (PCP)	Semylan	1	A
Hydromorphone	Dilaudid	1	A	Phendimetrazine	Bontril, etc.	1	A
Hydroxyamphetamine	Paradrine	1	A	Phenmetrazine	Preludin	1	A
Levorphanol	Levo-Dremoran	1	A	Picrotoxin		1	A
Lofentanil		1	A	Piritramide		1	A
Mazindol	Sanorex	1	A	Remifentanil	Ultiva	1	A
Meperidine	Demerol	1	A	Strychnine		1	B
Mephentermine		1	A	Sufentanil	Sufenta	1	A

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Class 1: Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances.

These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apmorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol. Drug substances, their metabolites and analogs prohibited in horses under all circumstances under Rule 1843(b) are designated by (P).

<u>Drug</u>	<u>Trade Name</u>	<u>RCI Class</u>	<u>Penalty Class</u>
<u>rh-erythropoetin</u>		<u>1P</u>	<u>A</u>
<u>darb-erythropoetin</u>		<u>1P</u>	<u>A</u>
<u>rh-Growth Hormone</u>		<u>1P</u>	<u>A</u>
<u>recombinant equine-Growth Hormone</u>		<u>1P</u>	<u>A</u>

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Acecarbromal		2	A	Benzazepam	Tiadipona	2	A
Acetophenazine	Tindal	2	A	Benzactizine	Deprol, Bronchodiljetten	2	A
Adinazolam		2	A	Benzocetamine		2	A
Alclofenac		2	B				
Alcuronium	Alloferin	2	A	Benzphetamine	Didrex	2	A
Alphaprodine	Nisentil	2	A	Benztropine	Cogentin	2	A
Alpidem	Anaxyl	2	A	Biriperone		2	A
Alprazolam	Xanax	2	A	Bromazepam	Lexotan, Lectopam	2	A
Althesin	Saffan	2	A	Bromisovalum	Diffucord, etc.	2	A
Amisulpride	Solian	2	A	Bromocriptine	Parlodel	2	A
Amitriptyline	Elavil, Amitril, Endep	2	A	Bromperidol	Bromidol	2	A
Amobarbital	Amytal	2	A	Brotizolam	Brotocol	2	A
Amoxapine	Asendin	2	A	Bupivacaine	Marcaine	2	A
Amperozide		2	A	Buprenorphine	Temgesic	2	A
Anilopam	Anisine	2	A	Buspirone	Buspar	2	A
Aprobarbital	Alurate	2	A	Buspropion	Wellbutrin	2	A
Azacylonol	Frenque	2	A	Butabarbital (Secbutobarbitone)	Butacaps, Butasol, etc.	2	A
Azaperone	Stresnil, Suicalm, Fentaz (with Fentanyl)	2	A	Butalbital (Talbutal)	Fiorinal	2	A
Barbital	Veronal	2	A	Butanillicaine	Hostacain	2	A
Bemegrade	Megimide, Mikedimide	2	A	Butaperazine	Repoise	2	A
Benoxaprofen		2	B				
Benperidol		2	A	Butoctamide	Listomin	2	A

Listing by Classification

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Caffeine		2	B	Clocapramine		2	A
Camazepam	Paxor	2	A	Clomethiazole		2	A
Captodiame	Covatine	2	A	Clomipramine	Anafranil	2	A
Carbidopa + levodopa	Sinemet	2	A	Clonazepam	Klonopin	2	A
Carbromol	Mifudorm	2	A	Clorazepate	Tranxene	2	A
Carphenazine	Proketazine	2	A	Clothiapine	Entermin	2	A
Carpipramine	Prazinil	2	A	Clotiazepam	Trecalmo, Rize	2	A
Chloralose (Alpha-Chloralose)		2	A	Cloxazolam	Enadel, Sepazon, Tolestan	2	A
Chloral betaine	Beta-Chlor	2	A	Clozapine	Clozaril, Leponex	2	A
Chloral hydrate	Nactec, Oidrate, etc.	2	A	Codeine		2	B
Chloraldehyde (chloral)		2	A	Conorphone		2	A
Chlordiazepoxide	Librium	2	A	Corticaine	Ultracain	2	A
Chlormezanone	Trancopal	2	A	Crotetamide		2	A
Chloroform		2	A	Cyamemazine	Tercian	2	A
Chlorhexidol		2	A	Cyclobarbitol	Phanodorm	2	A
Chloroprocaine	Nesacaine	2	A	Decamethonium	Syncurine	2	A
Chlorproethazine	Newiplege	2	A	Demoxepam		2	A
Chlorpromazine	Thorazine, Largactil	2	A	Desipramine	Norpromine, Pertofrane	2	A
Chlorprothixene	Taractan	2	A	Dezocine	Dalgan®	2	A
Citalopram	Celex	2	A	Diazepam	Valium	2	B
Clobazam	Urbanyl	2	A	Dichloralphenazone	Febenol, Isocom	2	A

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Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Diethylpropion	Tepanil, etc.	2	A	Ethylisobutrazine	Diquel	2	A
Diethylthiambutene	Themaion	2	A	Etidocaine	Duranest	2	A
Dihydrocodeine	Parcodin	2	A	Etifoxin	Stresam	2	A
Dilorazepam	Briantum	2	A	Etizolam	Depas, Pasaden	2	A
Diprenorphine	M50/50	2	A	Etodroxizine	Indunox	2	A
Dixyrazine	Esucos	2	A	Etomidate		2	A
Dopamine	Intropin	2	A	Fenarbamate	Tymium	2	A
				Fenclozic acid	Myalex	2	B
Doxapram	Dopram	2	A	Fenfluramine	Pondimin	2	A
Doxefazepam	Doxans	2	A	Fluanisone	Sedalande	2	A
Doxepin	Adapin, Sinequan	2	A	Fludiazepam	Erispam	2	A
Droperidol	Inapsine, Droleptan, Innovar-Vet (with Fentanyl)	2	A	Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2	A
Enciprazine		2	A	Fluopromazine	Psyquil, Siquil	2	A
Ephedrine		2	A	Fluoresone	Caducid	2	A
Epibatidine		2	A	Fluoxetine	Prozac	2	A
Epinephrine		2	A	Flupenthixol	Depixol, Fluanxol	2	A
Erythropoietin (EPO)	Epogen, Procrit, etc.	2	A	Fluphenazine	Prolifixin, Permitil, Anatensol	2	B
Estazolam	Domnamid, Eurodin, Nuctalon	2	A	Flurazepam	Dalmane	2	A
Ethamivan		2	A	Fluspirilene	Imap, Redeptin	2	A
Ethanol		2	B	Flutoprazepam	Restas	2	A
Ethchlorvynol	Placidyl	2	A	Fluvoxamine	Dumirox, Faverin, etc.	2	A
Ethinamate	Valmid	2	A	Gallamine	Flaxedil	2	A
Ethopropazine	Parsidol	2	A	Gepirone		2	A

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Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Glutethimide	Doriden	2	A	Lithium	Lithizine, Duralith, etc.	2	A
Halazepam	Paxipam	2	A	Lobeline		2	A
Haloperidol	Haldol	2	A	Loflazepate, Ethyl	Victan	2	A
				Loperamide	Imodium	2	B
Haloxazolam	Somelin	2	A	Loprazolam	Dormonort, Havlane	2	A
Hemoglobin glutamers	Oxyglobin, Hemopure	2	A	Lorazepam	Ativan	2	A
Hexafluorenum	Myalexen	2	A	Lormetazepam	Noctamid	2	A
Hexobarbital	Evipal	2	A	Loxapine	Laxitane	2	A
Homophenazine	Pelvichthol	2	A	Maprotiline	Ludiomil	2	A
Hydrocodone (dihydrocodeinone)	Hycodan	2	A	Mebutamate	Axiten, Dormate, Capla	2	A
Hydroxyzine	Atarax	2	B	Meclofenoxate	Lucidril, etc.	2	A
Ibomal	Noctal	2	A	Medazepam	Nobrium, etc.	2	A
Imipramine	Imavate, Presamine, Tofranil	2	A	Melperone	Eunerpan	2	A
Isapirone		2	A	Meparfynol	Oblivon	2	A
Isocarboxazid	Marplan	2	A	Mepazine	Pacatal	2	A
Isomethadone		2	A	Mephenoxalone	Control, etc.	2	A
Isoproterenol	Isoprel	2	A	Mephénytoin	Mesantoin	2	A
Isoxicam	Maxicam	2	B				
Ketamine	Ketalar, Ketaset, Vetalar	2	B	Mephobarbital (Methylphenobarbital)	Mebaral	2	A
Ketazolam	Anxon, Laftram, Solatran, Loftran	2	A	Mepivacaine	Carbocaine	2	B
Lenperone	Elanone-V	2	A	Meprobamate	Equanil, Miltown	2	B
Levomethorphan		2	A	Mesoridazine	Serentil	2	A
Lidocaine	Xylocaine	2	B	Metaciazepam	Talis	2	A

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Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Metazocine		2	A	Nortriptyline	Aventyl, Pamelor	2	A
Metharbital	Gemonil	2	A	Olanzapine	Zyprexa	2	A
Methohexital	Brevital	2	A	Oxazepam	Serax	2	A
Methotrimeprazine	Levoprome, Neurocil, etc.	2	A	Oxazolam	Serenal	2	A
Methyprylon	Noludar	2	A	Oxyperitine	Fortit, Integrin	2	A
Metocurine	Metubine	2	A	Pancuronium	Pavulon	2	A
Metomidate	Hypnodil	2	A	Paraldehyde	Paral	2	A
Mexazolam	Melex	2	A	Paroxetine	Paxil, Seroxat	2	A
Midazolam	Versed	2	A	Penfluridol	Cyperon	2	A
Mirtazepine	Remeron	2	A	Pentobarbital	Nembutal	2	A
Modafinil	Provigil	2	A	Perazine	Taxilan	2	A
Molindone	Moban	2	A	Periciazine	Alodept, etc.	2	A
Moperone	Luvatren	2	A	Perlapine	Hypnodin	2	A
Mosaprimine		2	A	Perphenazine	Trilafon	2	A
Nalbuphine	Nubain	2	A	Phenaglycodol	Acalo, Alcamid, etc.	2	A
Nalorphine	Nalline, Lethidrone	2	A	Phenelzine	Nardelzine, Nardil	2	A
Nefazodone	Serzone	2	A	Phenobarbital	Luminal	2	A
Nimetazepam	Erimin	2	A	Phentermine	Iomamin	2	A
Nitrazepam	Mogadon	2	A	Piminodine	Alvodine, Cimadon	2	A
Nordiazepam	Calmday, Nordaz, etc.	2	A	Pimozide	Orap	2	A
Norepinephrine		2	A	Pinazepam	Domar	2	A

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Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Pipamperone	Dipiperon	2	A	Quetiapine	Seroquel	2	A
Pipequaline		2	A	Racemethorphan		2	A
Piperacetazine	Psymod, Quide	2	A	Racemorphan		2	A
Piperocaine	Metycaine	2	A	Raclopride		2	A
Pipotiazine	Lonseren, Piportil	2	A	Remoxipride	Roxiam	2	A
Pipradrol	Dataril, Gerondyl, etc.	2	A	Reserpine	Serpasil	2	B
Piquindone		2	A	Rilmazafone		2	A
Prazepam	Verstran, Centrax	2	A	Risperidone		2	A
Prilocaine	Citanest	2	A	Ritanserlin		2	A
Prochlorperazine	Darbazine, Compazine	2	A	Rivastigmine	Exelon	2	A
				Rofecoxib	Vioxx	2	B
Propanidid		2	A	Romifidine	Sedivet	2	B
Propiomazine	Largon	2	A	Ropivacaine	Naropin	2	A
Propionylpromazine	Tranvet	2	B	Secobarbital (Quinalbarbitone)	Seconal	2	A
Propiram		2	A	Selegiline	Eldepryl, Jumex	2	A
Propofol	Diprivan, Disoprivan	2	A	Sertraline	Lustral, Zoloft	2	A
Propoxycaine	Ravocaine	2	A	Snake Venoms		2	A
Prothipendyl	Dominal	2	A	Spiclomazine		2	A
Protriptyline	Concordin, Triptil	2	A	Spiperone		2	A
Proxibarbitol	Axeen, Centralgol	2	A	Succinylcholine	Sucostrin, Quelin, etc.	2	A
Pyrithyldione	Hybersulfan, Sonodor	2	A	Sulfondiethylmethane		2	A
Quazipam	Doral	2	A	Sulfonmethane		2	A

Listing by Classification

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Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Sulfuridazine	Inofal	2	A	Tribromethanol		2	A
Sulpiride	Aiglonyl, Sulpitil	2	A	Tricaine methanesulfonate	Finquel	2	A
Sultopride	Barnetil	2	A	Trichloroethanol		2	A
Talbutal	Lotusate	2	A	Trichloroethylene	Trilene, Trimar	2	A
Tandospirone		2	A	Triclofos	Triclos	2	A
Temazepam	Restoril	2	A	Trifluomeprazine	Nortran	2	A
Tetrabenazine	Nitoman	2	A	Trifluoperazine	Stelazine	2	A
Tetracaine	Pontocaine	2	B	Trifluoperidol	Triperidol	2	A
Tetrazepam	Musaril, Myolastin	2	A	Triflupromazine	Vetame, Vesprin	2	A
Thebaine		2	A	Trimipramine	Surmontil	2	A
Thialbarbital	Kemithal	2	A	Tubocurarine (Curare)	Metubin	2	A
Thiamylal	Surital	2	A	Tybamate	Benvil, Nospan, etc.	2	A
Thiethylperazine	Torecan	2	A	Urethane		2	A
Thiopental	Pentothal	2	A	Valdecoxib		2	B
Thiopropazate	Dartal	2	A	Valnoctamide	Nirvanyl	2	A
Thiopropazine	Majeptil	2	A	Venlafaxine	Efflexor	2	A
Thioridazine	Mellaril	2	A	Veralipride	Accional, Veralipril	2	A
Thiothixene	Navane	2	A	Vercuronium	Norcuron	2	A
Tiaprude	Italprid, Luxoben, etc.	2	A	Viloxazine	Catatrol, Vivalan, etc.	2	A
Tiletamine	Component of Telazol	2	A	Vinbarbital	Delvinol	2	A
Timiperone	Tolopelon	2	A	Vinylbital	Optanox, Speda	2	A
Tofisopam	Grandaxain, Seriel	2	A	Yohimbine		2	A
Topiramate	Topamax	2	A	Zolazepam		2	A
Tramadol	Ultram	2	A	Zolpidem	Ambien, Stilnox	2	A
				Zomepirac	Zomax	2	B
Tranylcypromine	Parnate	2	A	Zopiclone	Imovan	2	A
Trazodone	Desyrel	2	A	Zotepine	Lodopin	2	A
Triazolam	Halcion	2	A	Zuclopenthixol	Ciatyl, Cesordinol	2	A

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
<u>19-Norandrostenediol</u>		3	B	Bumetanide	Bumex	3	B
<u>19-Norandrostenedione</u>		3	B	Butorphanol	Stadol, Torbugesic	3	B
<u>4-Hydroxytestosterone</u>		3	B	Candesartan	Atacand	3	B
Acebutolol	Sectral	3	B	Captopril	Capolen	3	B
Acepromazine	Atrovet, Notensil, PromAce®	3	B	Carazolol	Carbacel, Conductor	3	A
Albuterol (Salbutamol)	Proventil, Ventolin	3	B	Carbachol	Lentin, Doryl	3	B
Alprenolol		3	A	Carbamezapine	Tegretol	3	B
Ambenonium	Mytelase, Myeuran	3	B	Carbinoxamine	Clistin	3	B
Aminophylline	Aminophyllin, etc.	3	B	Carteolol	Cartrol	3	B
Amlodipine	Norvasc	3	A	Carvedilol	Coreg	3	B
				<u>Celecoxib</u>	<u>Celebrex</u>	3	B
				<u>Clausterone</u>	<u>Methosorb</u>	3	B
Amyl nitrite		3	A	<u>Clostebol</u>		3	B
Arecoline		3	A	Cimeterol		3	A
Atenolol	Tenormin	3	B	Clenbuterol	Ventipulmin	3	B
Atropine		3	B	Clonidine	Catapres	3	B
Betaxolol	Kerlone	3	B	Cyclandelate	Cyclospasmol	3	A
Bethanidine	Esbatal	3	A	Cycrimine	Pagitane	3	B
				<u>Danazol</u>	<u>Danocrine</u>	3	B
Biperiden	Akineton	3	A	<u>Dehydrochloromethyl- testosterone</u>		3	B
				<u>Deracoxib²</u>		3	C
Bisoprolol	Zebeta, Bisobloc, etc.	3	B	<u>Desoxymethyl- testosterone</u>		3	B
Bitolterol	Effectin	3	B	Detomidine	Dormosedan	3	B
<u>Bolasterone</u>		3	B	Dextropropoxyphene	Darvon	3	B
<u>Boldione</u>		3	B	Diazoxide	Proglycem	3	B
				<u>Diflunisal</u>		3	C
Bretylium	Bretylol	3	B	Dimeflin		3	A
Brimonidine	Alphagan	3	B	Diphenhydramine	Benadryl	3	B
Bromfenac	Duract	3	A	Dipyridamole	Persantine	3	B
Bromodiphenhydramine		3	B	Dobutamine	Dobutrex	3	B
Bufexamac		3	B				

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Doxylamine	Decapryn	3	B	Irbesarten	Avapro	3	A
Dyphylline		3	B	Isoetharine	Bronkosol	3	B
Edrophonium	Tensilon	3	B	Isosorbide dinitrate	Isordil	3	B
Enalapril (metabolite enalaprilat)	Vasotec	3	B	<u>Kebuzone</u>		3	B
<u>Ergoloid mesylates</u>		3	C	Ketorolac	Toradol	3	B
Erthryl tetranitrate	Cardilate	3	A	Labetalol	Normodyne	3	B
Esmolol	Brevibloc	3	B	Losartan	Hyzaar	3	B
<u>Ethylestrenol</u>	<u>Maxibolin, Organon</u>	3	B				
Etamiphylline		3	B	Mabuterol		3	A
Ethacrynic acid	Edecrin	3	B	Mecamylamine	Inversine	3	B
Ethylnorepinephrine	Bronkephrine	3	A	Medetomidine	Domitor	3	B
<u>Etodolac</u>	<u>Lodine</u>	3	C	<u>Mefenamic acid</u>	<u>Ponstel</u>	3	B
<u>Fenbufen</u>	<u>Gincopal</u>	3	B				
Fenoldopam	Corlopam	3	B	<u>Mestanolone</u>		3	B
<u>Fenoprofen</u>	<u>Nalfon</u>	3	B				
Fenoterol	Berotec	3	B	<u>Mesterolone</u>		3	B
Fenspiride	Respiride, Respan, etc	3	B	Metaproterenol	Alupent, Metaprel	3	B
<u>Fentiazac</u>		3	B	<u>Metenolone</u>		3	B
<u>Flufenamic acid</u>		3	B				
<u>Fluoxymesterone</u>	<u>Halotestin</u>	3	B				
Flupirtine	Katadolone	3	A	Methacholine		3	A
<u>Flurbiprofen</u>	<u>Froben</u>	3	B	Methandienone		3	B
<u>Formebolone</u>		3	B	<u>Methandriol</u>	<u>Probolis</u>	3	B
Formoterol	Altram	3	B	<u>Methasterone</u>		3	B
<u>Furazabol</u>		3	B	Methixene	Trest	3	A
Gabapentin	Neurontin	3	B	Methoxamine	Vasoxyl	3	A
<u>Gestrinone</u>		3	B	Methoxyphenamine	Orthoxide	3	A
Glycopyrrolate	Robinul	3	B	<u>Methyl-1-testosterone</u>		3	B
Guanadrel	Hylorel	3	A	Methylatropine		3	B
Guanethidine	Ismelin	3	A	<u>Methylidienolone</u>		3	B
Guanabenz	Wytensin	3	B	Methylidopa	Aldomet	3	A

Heptaminol	Corofundol	3	B	Methylnortestosterone		3	B
Homatropine	Homapin	3	B	Methyltestosterone	Metandren	3	B
Hydralazine	Apresoline	3	B	Metolazone		3	B
Indomethacin	Indocin	3	B	Metoprolol	Lopressor	3	B
Ipratropium		3	B	Mibefradil	Posicor	3	B

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
<u>Mibolerone</u>		3	B	Phenylpropanolamine	Propadrine	3	B
Midodrine	Pro-Amiline	3	B	Physostigmine	Eserine	3	A
Minoxidil	Loniten	3	B	Pindolol	Viskin	3	B
Moexipril (metabolite moexiprilat)	Uniretic	3	B	Pirbuterol	Maxair	3	B
Muscarine		3	A	Piretanide	Arelix, Tauliz	3	B
<u>Nabumetone</u>	<u>Anthraxan, Relafen, Relifex</u>	3	B	<u>Piroxicam</u>	<u>Feldene</u>	3	B
Nadolol	Corgard	3	B	Prazosin	Minipress	3	B
<u>Nandrolone</u>	<u>Nandrolin, Laurabolin, Durabolin</u>	3	D				
Naratriptan	Amerge	3	B	Primidone	Mysoline	3	B
Nefopam		3	A	Procaine		3	B
Neostigmine	Prostigmine	3	B	Procaterol	Pro Air	3	A
<u>Niflumic acid</u>	<u>Niflunil</u>	3	B				
<u>Nimesulide</u>		3	B				
Nitroglycerin		3	B	Procyclidine	Kemadrin	3	B
<u>Norbolethone</u>		3	B	Promazine	Sparine	3	B
<u>Norclostebol</u>		3	B	Promethazine	Phenergan	3	B
<u>Norethandrolone</u>		3	B	Propentophylline	Karsivan	3	B
<u>Oxabolone</u>		3	B	Propranolol	Inderal	3	B
<u>Oxandrolone</u>	Anavar	3	B				
Oxprenolol	Trasicor	3	B	<u>Prostanazol</u>		3	B
<u>Oxymesterone</u>		3	B	Protokylol	Ventaire	3	A
<u>Oxymetholone</u>	<u>Adroyd, Andarol</u>	3	B				
Papaverine	Pavagen, etc.	3	A	Pseudoephedrine	Cenafed, Novafed	3	B

Paramethadione	Paradione	3	A	Pyridostigmine	Mestinon, Regonol	3	B
Pargyline	Eutonyl	3	A	Pyrilamine	Neoantergan, Equihist	3	B
Penbutolol	Levatol	3	B	<u>Quinbolone</u>		3	B
Pentaerythritol tetranitrate	Duotrate	3	A	Ractopamine	Raylean	3	B
Pentazocine	Talwin	3	B	Ritodrine	Yutopar	3	B
Phenoxybenzamine	Dibenzyline	3	B	Rizatriptan	Maxalt	3	B
Phentolamine	Regitine	3	B	Salmeterol		3	B
Phenylephrine	Isophrin, Neo-Synephrine	3	B	Scopolamine (Hyoscine)	Triptone	3	B

Listing by Classification

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	RCI Class	Penalty Class
Sibutramine	Meridia	3	B
Sotalol	Betapace, Sotacor	3	B
<u>Stenbolone</u>		3	B
Sulindac	Clinoril	3	B
Sumatriptan	Imitrex	3	B
Telmisartan	Micardis	3	B
<u>Tenoxicam</u>	Alganex, etc.	3	B
<u>Tepoxalin</u>		3	C
Terbutaline	Brethine, Bricanyl	3	B
Testolactone	Teslac	3	B
<u>Tetrahydrogestrinone</u>		3	B
Theophylline	Aqualphyllin, etc.	3	B
<u>Tiaprofenic acid</u>	Surgam	3	B
Timolol	Blocardrin	3	B
Tolazoline	Priscoline	3	B
Tolmetin	Tolectin	3	B
Torsemide (Torasemide)	Demadex	3	B
Trandolapril (and metabolite, Trandolaprilat)	Tarka	3	B
<u>Trenbolone</u>	Finoplix	3	B
Trihexylphenidyl	Artane	3	A
Trimethadione	Tridione	3	B
Trimethaphan	Arfonad	3	A
Tripelennamine	PBZ	3	B
<u>Valerenic acid</u>		3	C
Valsartan	Diovan	3	B
Xylazine	Rompun, Bay Va 1470	3	B
Zolmitriptan	Zomig	3	B

<u>Δ-1-androstene-3,17-diol</u>		3	B
<u>Δ-1-androstene-3,17-dione</u>		3	B
<u>Δ-1-dihydrotestosterone</u>		3	B
Zonisamide	Zonegran	3	B

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4	C	Baclofen	Lioresal	4	B
Acetanilid		4	B	Beclomethasone	Propaderm	4	C
Acetazolamide	Diamox, Vetamox	4	B	Benazepril	Lotrel	4	B
Acetophenetidin (Phenacetin)		4	B	Bendroflumethiazide	Naturetin	4	B
Acetylsalicylic acid (Aspirin)		4	C	Benoxaprofen		4	B
Alclofenac		4	B	Benoxinate	Dorsacaine	4	C
Aclomethasone	Aclovate	4	C	Benzocaine		4	C
Aldosterone	Aldocortin, Electro cortin	4	B	Benzthiazide		4	B
Ambroxol	Ambril, etc.	4	B	Bepidil	Bepadin	4	B
Amcinonide	Cyclocort	4	C	Betamethasone	Betasone, etc.	4	C
Aminocaproic acid	Amicar, Caprocid	4	C	Bethanechol	Urecholine, Duvoid	4	C
Aminodarone		4	B	Boldenone	Equipoise	4	D
2-Aminoheptaine	Tuamine	4	B	Bromhexine	Oletor, etc.	4	C
Aminopyrine		4	B	Brompheniramine	Dimetane, Disomer	4	B
Amisometradine	Rolictron	4	B	Budesonide	Pulmacort, Rhinocort	4	C
Amlodipine	Norvasc, Ammivin	4	B	Butacaine	Butyn	4	B
Amrinone		4	B	Butamben (butyl aminobenzoate)	Butesin	4	C
Anisotropine	Valpin	4	B	Butoxycaine	Stadacain	4	B
Antipyrine		4	B	Galusterone	Methosorb	4	B
Apazone (Azapropazone)	Rheumox	4	B	Camphor		4	C
Aprindine		4	B	Carisoprodol	Relo, Soma	2	B

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Carprofen		4	C	Cyproheptadine	Periactin	4	C
Celecoxib	Celebrex	4	B	Danazol	Danocrine	4	B
Chlormerodrin	Neohydrin	4	B	Dantrolene	Dantrium	4	C
Chlorophenesin	Maolate	4	C	Dembroxol (Dembrexine)	Sputolysin	4	C
Chloroquine	Avloclor	4	C	Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4	C
Chlorothiazide	Diuril	4	B	Deracoxib ²		4	E
Chlorpheniramine	Chlortrimeton, etc.	4	B	Desonite	Des Owen	4	C
Chlorthalidone	Hydroton	4	B	Desoximetasone	Topicort	4	C
Chlorzoxazone	Paraflex	4	B	Dexamethasone	Azium, etc.	4	C
Cinchocaine	Nupercaine	4	C	Dextromethorphan		4	C
Clanobutin		4	C				
Clibucaine	Batrax	4	C	Dibucaine	Nupercainal, Cinchocaine	4	C
Clidinium	Quarezan, Clindex, etc.	4	B	Dichlorphenamide	Daramide	4	C
Clobetasol	Temovate	4	C	Diclofenac	Voltaren, Voltarol	4	C
Clocortolone	Cloderm	4	C	Diflorasone	Florone, Maxiflor	4	C
Clofenamide		4	B	Diflucortolone	Flu-Cortinest, etc.	4	C
Clormecaine	Placacid	4	C	Diflunisal		4	E
Colchicine		4	B	Digitoxin	Crystodigin	4	B
Cortisone	Cortone, etc.	4	C	Digoxin	Lanoxin	4	B
Cyclizine	Merazine	4	B	Dihydroergotamine		4	C
Cyclobenzaprine	Flexeril	4	B	Diltiazem	Cardizem	4	B
Cyclomethylcaine	Surfacaine	4	C	Dimethisoquin	Quotane	4	B
Cyclothiazide	Anhydron, Renazide	4	B	Diphenoxylate	Difenoxin, Lomotil	4	B

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Dipyron	Novin, Methampyrone	4	C	Floctafenine	Idalon, Idarac	4	B
Disopyramide	Norpace	4	B	Flucinolone	Synalar, etc.	4	C
Dromostanolone	Drolban	4	C	Fludrocortisone	Alforone, etc.	4	C
Dyclonine	Dyclone	4	C	Flufenamic acid		4	B
Eltenac		4	C	Flumethasone	Flucort, etc.	4	C
Ergonovine	Ergotrate	4	C	Flumethiazide	Ademol	4	B
Ergotamine	Gynergen, Cafergot, etc.	4	C	Flunarizine	Sibelium	4	B
Etanercept	Enbrel	4	B	Flunisolide	Bronilide, etc.	4	C
Ethoheptazine	Zactane	4	B	Flunixin	Banamine	4	C
Ethosuximide	Zarontin	4	B	Fluocinolone	Synalar	4	C
Ethotoin	Peganone	4	B	Fluocinonide	Licon, Lidex	4	C
Ethoxzolamide	Cardrase, Ethamide	4	C	Fluoroprednisolone	Predef-2X	4	C
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4	C	Fluoxymesterone	Halotestin	4	B
Ethylestrenol	Maxibelin, Organon	4	B	Fluprednisolone	Alphadrol	4	C
Etodolac	Ledine	4	C	Flurandrenolide	Cordran	4	C
Felodipine	Plendil	4	B	Flurbiprofen	Freben	4	B
Fenbufen	Cincopal	4	B	Fluticasone	Flixonase, Flutide	4	C
Fenclozic acid	Myalex	4	B	Guaifenesin (glycerol guaiacolate)	Gecolate	4	C
Fenopropfen	Nalfen	4	B	Halcinonide	Halog	4	C
Fexofenadine	Allegra	4	C	Halobetasol	Ultravate	4	C
Firocoxib		4	C	Hexocyclium	Tral	4	B
Flecainide	Idalon	4	B				

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Hexylcaine	Cyclaine	4	C	Meloxicam	Mobic	4	C
Hydrochlorothiazide	Hydrodiuril	4	C	Mepenzolate	Cantil	4	B
Hydrocortisone (Cortisol)	Cortef, etc.	4	C	Mephenesin	Tolserol	4	B
Hydroflumethiazide	Saluron	4	B	Meralluride	Mercuhydrin	4	B
Ibuprofen	Motrin, Advil, Nurpin, etc.	4	B or C	Merbaphen	Novasural	4	B
Indomethacin	Indocin	4	B	Mercaptomerin	Thiomerin	4	B
Infliximab	Remicade	4	B	Mercumalilin	Cumertilin	4	B
Isoflupredone	Predef	4	C	Mersalyl	Salyrgan	4	B
Isometheptene	Octin, Octon	4	B	Metaxalone	Skelaxin	4	B
Isopropamide	Darbid	4	B	Methandriol	Probolie	4	B
Isoxicam	Maxicam	4	B	Methandrostenolone	Dianabol	4	C
Isoxsuprine	Vasodilan	4	C	Methantheline	Banthine	4	B
Isradipine	DynaCirc	4	B	Methapyrilene	Histadyl, etc.	4	B
Ketoprofen	Orudis	4	C	Methazolamide	Naptazane	4	C
Letosteine	Viscotiol, Visiotal	4	C	Methdilazine	Tacaryl	4	B
Loperamide	Imodium	4	B	Methocarbamol	Robaxin	4	C
Loratidine	Claritin	4	B	Methotrexate	Folex, Nexate, etc.	4	B
Meclizine	Antivert, Bonine	4	B	Methscopolamine	Pamine	4	B
Meclofenamic acid	Arquel	4	C	Methsuximide	Celontin	4	B
Medrysone	Medriusar, etc.	4	C	Methyichlorothiazide	Enduron	4	B
Mefenamic acid	Penstel	4	B	Methandrostenolone	Dianabol	4	C

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Methylergonovine	Methergine	4	C	Orphenadrine	Norfex	4	B
Methylprednisolone	Medrol	4	C	Oxandrolone	Anavar	4	B
Methyltestosterone	Metandren	4	B	Oxaprozin	Daypro, Deflam	4	C
Methysergide	Sansert	4	B	Oxymetazoline	Afrin	4	B
Metiamide		4	B	Oxymetholone	Adroyd, Anadrol	4	B
Metoclopramide	Reglan	4	C	Oxyphenbutazone	Tandearil	4	C
Mexilitine	Mexilil	4	B	Oxyphenacyclimine	Daricon	4	B
Milrinone		4	B	Oxyphenonium	Antrenyl	4	B
Mometasone	Elocon	4	C	Paramethasone	Haldrone	4	C
Montelukast	Singulair	4	C	Pentoxifylline	Trental, Vazofirin	4	C
Nabumetone	Anthraxan, Relafen, Reliflex	4	B	Phenacemide	Phenurone	4	B
Naepaine	Amylsine	4	C	Phensuximide	Milontin	4	B
Nandrolone	Nandrolin, Laurabolin, Durabolin	4	D	Phenylbutazone		4	C
Naphazoline	Privine	4	B	Phenytoin	Dilantin	4	B
Naproxen	Equiproxen, Naprosyn	4	C	Piroxicam	Feldene	4	B
Nicardipine	Cardine	4	B	Polythiazide	Renese	4	B
Nifedipine	Procardia	4	B	Pramoxine	Tronothaine	4	C
Niflumic acid	Nifluril	4	B	Prednisolone	Delta-Cortef, etc.	4	C
Nimesulide		4	B	Prednisone	Meticorten, etc.	4	C
Nimodipine	Nemotop	4	B	Probenecid		4	C
Norethandrone		4	C	Procainamide	Pronestyl	4	B
Nortestosterone		4	C				

Listing by Classification

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Propafenone	Rythmol	4	B	Tocainide	Tonocard	4	B
Propantheline	Pro-Banthine	4	B	Tolmetin	Tolectin	4	B
Proparacaine	Ophthaine	4	C	Tranexamic acid		4	C
Propylhexedrine	Benzedrex	4	B	Trenbolone	Finoplix	4	B
Quinidine	Quinidex, Quinicardine	4	B	Triamcinolone	Vetalog, etc.	4	C
Rofecoxib	Viexx	4	B	Triamterene	Dyrenium	4	B
Salicylamide		4	C	Trichlormethiazide	Naqua, Naquasone	4	C
Salicylate		4	C	Tolmetin	Tolectin	4	B
Spirolactone	Aldactone	4	B	Tridihexethyl	Pathilon	4	B
Stanozolol	Winstrol-V	4	D	Trimeprazine	Temaril	4	B
Sulfasalazine	Azulfidine, Azaline	4	C	Triprolidine	Actidil	4	B
Sulindac	Clinoil	4	B	Tuaminoheptane	Tuamine	4	C
Tenoxicam	Alganex, etc.	4	B	Vedaprofen		4	C
Terfenadine	Seldane, Triludan	4	B	Verapamil	Calan, Isoptin	4	B
Testosterone		4	D	Xylometazoline	Otrivin	4	B
Tetrahydrozoline	Tyzine	4	B	Zafirlukast	Accolate	4	C
Theobromine		4	C	Zeranol	Ralgro	4	C
Thiosalicylate		4	C	Zileuton	Zyflo	4	C
Thiphenamil	Trocinate	4	B	Zomepirac	Zemax	4	B
Tiaprofenic acid	Surgam	4	B				

Listing by Classification

Class 5: This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents such as DMSO and other medications as determined by the regulatory bodies.

Drug	Trade Name	RCI Class	Penalty Class
Anisindione		5	D
Cilostazol	Pletal	5	D
Cimetidine	Tagamet	5	D
Cromolyn	Intel	5	D
Dicumarol	Dicumarol	5	D
Dimethylsulfoxide (DMSO)	Domoso	5	D
Dimethylsulphone (MSM)		5	D
Diphenadione		5	D
Famotidine	Gaster, etc.	5	D
Lansoprazole		5	D
Misoprostel	Cytotec	5	D
Nedocromil	Tilade	5	D
Nizatidine	Axid	5	D
Omeprazole	Prilosec, Losec	5	D
Phenindione	Hedulin	5	D
Phenprocoumon	Liquamar	5	D
Pirenzapine	Gastrozepin	5	D
Ranitidine	Zantac	5	D
Warfarin	Coumadin, Coufarin	5	D

STAFF ANALYSIS
FEASIBILITY OF ALLOWING A HORSE
ENTERED IN A CLAIMING RACE TO BE
DECLARED INELIGIBLE TO BE CLAIMED

Regular Board Meeting
October 18, 2007

BACKGROUND

Business and Professions (B&P) Code Section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. B&P Code Section 19562 states the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in this State. B&P Code Section 19408.2 defines a claiming race as a race in which any horse entered therein may be claimed in conformity with the rules established by the Board. The Thoroughbred Owners of California (TOC) has requested that the Board consider amending its claiming rules to allow an owner to enter a horse in a claiming race with a declaration that such horse is ineligible to be claimed if the horse is returning from a lay-off of at least 180 days, and is entered for a claiming price equal to or greater than the price at which it last started.

ANALYSIS

The Thoroughbred Owners of California (TOC) has requested the Board consider adding a rule that would allow a horse owner to enter a horse in a claiming race, but declare such horse "ineligible" to be claimed if the horse: 1) had not started for a minimum of 180 days since its last race, and 2) if the horse was entered for a claiming price equal to or greater than the price at which it last started. The TOC stated many horse owners wish to give their horses time to rest, but they run the risk of such horses running for them only once after coming back. The TOC believes that horse owners who make an investment in their horses' health deserve a limited, optional protection from being claimed. One problem with this approach is that under California law, and the Board's Regulations, a claiming race is defined as a race in which any horse entered in such race is subject to being claimed. If the Board decides to pursue the adoption of a rule to allow TOC's proposal it will necessitate, at a minimum, the amendment of Rule 1420(s)(3), Definitions; Rule 1651, Eligibility to Claim; and Rule 1652, Prohibited Actions with Respect to Claims.

Staff surveyed 11 racing jurisdictions regarding their claiming regulations (Arizona, Illinois, Indiana, Kentucky, Louisiana, Maryland, New Mexico, New York, Ohio, Oregon and Texas). None of the states surveyed provided an exemption to being claimed for a horse entered in a claiming race.

RECOMMENDATION

This item is presented for discussion and action by the Board.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 2. DEFINITIONS
RULE 1420. DEFINITIONS

Regular Board Meeting
October 18, 2007

1420. Definitions.

As used in these rules:

(a) "Chairman" means the member elected by the Board to be Chairman of the Board and its presiding member.

(b) "Commissioner" means a member of the Board.

(c) "Age of Horse" means the age as reckoned beginning on the first day of January of the year in which the horse was foaled.

(d) "Authorized Agent" means an agent appointed by a written document which is signed by the owner and filed with the Board.

(e) "Breeder" means the owner of the dam at the time of foaling.

(f) "Conviction" includes a plea of guilty, forfeiture of bail, a judgment or verdict of guilty, or a conviction following a plea of nolo contendere, whether or not the conviction is later set aside pursuant to the provisions of Section 1203.4 of the Penal Code.

(g) "Driver" means one who drives and controls the horse from a seated position on a two-wheel vehicle.

(h) "Horse" means an equine and includes a stallion, gelding, mare, colt, filly or ridgling and includes mule, jack, jenny, ginnet, and hinney.

(i) "Jockey" means a race rider.

(j) "Licensee" means a licensee of the California Horse Racing Board.

(k) "Maiden" means a horse which has never won a race on the flat in a state or country where the races are covered by the Daily Racing Form or other similar authorized publication. A maiden which has been disqualified after finishing first is still a maiden. Conditions referring to maidens apply to the status at the time of starting.

(l) "Nominator" means a person in whose name a horse is entered to race.

(m) "Objection" means a formal complaint filed before a race with the stewards or the Board objecting to the eligibility of any horse to compete in the race or the right of any person to participate in the race.

(n) "Owner" includes the owner, part owner and lessee of any horse. An interest only in the earnings of a horse does not constitute ownership. If husband and wife, it is presumed that joint ownership exists.

(o) "Post" means the place on the race course from which a start is made.

(p) "Post Time" means the definite time for the start of a race, and is indicated by a clock device set up as directed by the Board.

(q) "Premises" means the inclosure and all other areas collectively utilized by an association in connection with its conduct of a licensed race meeting, including parking lots, auxiliary stabling areas, public inclosure and restricted areas, whether or not the areas are adjacent to the inclosure.

(r) "Protest" means a formal complaint filed after a race with the stewards or the Board protesting the right of any horse to a place, purse or award in the race, or protesting any

decision of the stewards relating to the eligibility, participation or placing of any horse in a race.

(s) "Race" means a contest among horses for a purse, stake or reward, contested at an authorized race meeting. "Race" includes but is not limited to:

(1) Purse Race. A race for money or any other prize to which the owners of the horses engaged do not contribute.

(2) Stake Race. A race for which owners of horses entered or engaged for the race contribute to a purse for which money or any other prize may be added, nominations to which close 72 hours or more before starting.

(3) Claiming Race. A race in which any horse entered therein may be claimed in conformity with the rules established by the Board.

(4) Handicap Race. A race in which the weights to be carried by the entered horses are adjusted by a handicapper, board of handicappers or the racing secretary, to equalize their respective chances of winning.

(5) Overnight Race. A race in which entries close 72 hours or less, excluding Sundays, in advance of the first race of the day on which the race is to be run.

(6) Walkover. A stake race in which only one horse starts or in which all the starters are owned by the same interest.

(7) Invitational Stake Race. An invitational stake race or an invitational handicap race for which owners do not contribute to the purse, but which is advertised in the regular stakes program, shall also be considered a stake race.

(8) Non-wagering Race. A race contested without pari-mutuel wagering on its results including a race upon which pari-mutuel wagering is canceled.

(9) Match Race. A race contested between two horses under conditions of the contest agreed to by their owners.

(10) "Special Racing Event". A race of unique interest, magnitude or fame. "Special racing event" shall also mean an exhibition race when approved by the Board.

(11) "Exhibition Race". A race contested under conditions established by the association as a promotional event or to provide a special racing opportunity to a particular horse or class of horse or class of participants and to which the association contributes the purse or awards for the contest. No pari-mutuel wagering may be conducted on the results of an exhibition race.

(t) "Race on the Flat" means a race run over a course on which no jumps or other obstacles are placed.

(u) "Recognized Meeting," "Race Meeting," or "Authorized Meeting" means the entire period under the conduct of an association within the inclosure of the designated grounds, and for which a license has been granted by the Board. When the context in the rules applies, it may include a meeting conducted by an association in some other jurisdiction recognized by the Board.

(v) "Restricted Area" means those areas within the inclosure where admission can be obtained only upon presentation of authorized credentials, proper license or visitor's pass, including those areas designated as the stable area, receiving or detention barn, jockey room, saddling paddock, race course and pari-mutuel department.

(w) "Rules" means the Rules and Regulations of the California Horse Racing Board and the orders of the Board.

(x) "Starter" means a horse when it is in the starting gate stall, and, when the field is dispatched by the starter, the stall gate in front of the horse is opened.

(y) "Sulky" means a dual wheel racing vehicle with dual shafts not exceeding the height of the horses withers. Shafts must be hooked separately on each side.

(z) "Time of Race Meeting" means that period of time commencing at 12:01 A.M. on the first day of racing at a recognized meeting and concluding at 12:00 midnight after the final race of the last day of racing as allocated and licensed by the Board.

(aa) "Weight for Age" means the standard weight to be carried by a horse according to the scale established by the rules, and remains such though there be penalties or allowances.

Authority: Sections 19440, 19562 and 19563,
Business and Professions Code.

Reference: Sections 19401(e) and 19420,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES
RULE 1651. ELIGIBILITY TO CLAIM

Regular Board Meeting
October 18, 2007

1651. Eligibility to Claim.

In claiming races any horse is subject to being claimed for its entered price by any racing interest, by any licensed horse owner, or by any person who has established his qualifications to claim by filing an application for license as horse owner and having been granted a certificate authorizing the claim. Such claim certificate shall not be issued until all conditions and qualifications for a horse owner's license have been met or completed.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES
RULE 1652. PROHIBITED ACTIONS WITH RESPECT TO CLAIMS

Regular Board Meeting
October 18, 2007

1652. Prohibited Actions with Respect to Claims.

No person shall:

(a) Enter into or offer to enter into any agreement to claim any horse unless all parties to the agreement are eligible to claim.

(b) Claim his own horse or cause his own horse to be claimed directly or indirectly for his own account.

(c) Attempt to prevent or prevent any racing interest from running a horse in any race for which it is entered or from claiming any horse in any claiming race.

(d) Make any agreement with any other person or racing interest for the protection of each other's horses in any claiming race.

(e) Make any loan agreement for the purpose of obtaining funds for the claim of any horse when a condition of such agreement is a lien on the horse to be claimed.

(f) Except with permission of the stewards, remove any horse which has been entered in a claiming race from the grounds of the association where it is entered to race, or fail or refuse to comply with any rule or any condition of the meeting for the purpose of avoiding or preventing a claim for such horse.

(g) Claim more than one horse for the account of any one racing interest from any one claiming race, nor, if an authorized agent representing more than one racing interest, file more than two separate claims on behalf of any of the racing interests he may represent.

(h) Offer any monetary or other reward to any pony boy, exercise boy, groom, stable employee or other licensee for information relative to the physical condition or merit of a horse, or provide information relative to the physical condition or merit of a horse, from the time such horse is entered in a claiming race until the expiration of time to make a claim on such horse in that claiming race.

STAFF ANALYSIS
October 18, 2007

ISSUE: APPLICATION FOR APPROVAL TO CONDUCT ADW OF CHURCHILL DOWNS TECHNOLOGY INITIATIVES COMPANY DBA TWINSPIRES.COM OCTOBER 19, 2007 THROUGH DECEMBER 31, 2007 AS AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB.

Churchill Downs Technology Initiatives Company dba Twinspires.com filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering:

- October 19, 2007 through December 31, 2007, 7 days a week, twenty-four hours a day with the exception of December 25th.
- Churchill Downs has a pending agreement with Bay Meadows and Golden Gate Fields. If they obtain an ADW license approval, they will begin to serve as one of their ADW providers.

Items **still needed** to complete this application:

1. Horsemen's agreement.
2. Racing Association contract.

RECOMMENDATION:

Staff recommends that the application for license not be heard unless the missing information is provided.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD (CHRB)
 APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING
 CHRB-133 (New 9/01)

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

NOTICE – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)

A. Name, mailing address, telephone and fax numbers:

Churchill Downs Technology Initiatives Company
800 W. El Camino Real
Mountain View, CA 94040
Phone: 650-429-2900
Fax: 650-967-3201

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

Vernon Niven, President
Mike Cody, Vice President
Brad Blackwell, Vice President, licensed in Kentucky (4641)
Rohit Thukral, Vice President
Richard Broadbent, IV, Vice President
Jeremy Clemons, Director of Marketing
Sisir Kudva, Director of Engineering
Ketan Shah, Director of Operations

C. Name, title and mailing address of the California agent for receipt of service of process:

CT Corporation System
818 West Seventh St.
Los Angeles, CA 90017

D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes. **Contract(s) and approval will be submitted once complete and inserted as Tab 1. Letters from Bay Meadows and Golden Gate are currently inserted under Tab 1.**

2. DATES OF OPERATION

A. Dates Advance Deposit Wagering will be conducted:
Every day except December 25.

Application received: 9/17/07 Reviewed: <i>apv</i>	CHRB CERTIFICATION	Hearing date: 10/18/07 Approval date:
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- B. Hours Advance Deposit Wagering will be conducted:
24 hours a day

3. BUSINESS STRUCTURE

- A. Corporation (complete subsection B)
- LLC (complete subsection C)
- Other (specify, and complete subsection D)

Complete the applicable subsection

B. CORPORATION

1. Registered name of the corporation:
Churchill Downs Technology Initiatives Company
2. State where incorporated:
Delaware
3. Registry or file number for the corporation: **4284783**
4. Name of all officers and directors, titles, and number of shares of the corporation held by each:
Vernon Niven, President
Mike Cody, Vice President
Brad Blackwell, Vice President

Robert L. Evans, Director
William Carstanjen, Director
Vernon Niven, Director
Rebecca Reed, Director

No officer or Director holds any shares of Churchill Downs Technology Initiatives Company.
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **N/A**
6. Number of outstanding shares in the corporation: **100**
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Bradley K. Blackwell**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Churchill Downs Incorporated holds 100% of shares.

10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation. **Attached at TAB 2 is the most recent Annual Report on Form 10-K for Churchill Downs Incorporated.**
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval. **Attached at TAB 3.**

C. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
6. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

4. **ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS**—must comply with Rule 2074.

- A. List the procedures to establish an Account: **See Section 2.1 of the Plan of Operations (TAB 5) which complies with Rule 2074.**
- B. If an application form is used to establish an Account attach a copy of the form.

Applicant's potential customers complete an application form provided on Applicant's secure websites. A copy of such application form is attached at Tab 4.

- C. Name and address of the third party you will use to verify identity, residence and age verification:
Equifax Secure, Inc., 1550 Peachtree St. NW, Atlanta, GA 30309

5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2073.

- A. Submit a copy of your plan for operation. **Attached at Tab 5.**
- B. List the type of deposits you will accept: **ACH, credit card, debit card, cash at specified locations, check and money order by mail. For more details see the attached Plan of Operations.**
- C. Identify any fees or transaction-related charges and the amount that will be assessed:
4.5% on credit cards charged by service provider; \$2/month charge for accounts inactive for nine (9) months. \$25 fee for returned deposits.

6. SECURITY ACCESS

- A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:
1. Description of the technology to ensure identity, residence, and age verification when an Account is established: **Applicant utilizes Equifax, an independent third party, to provide comprehensive verification of the account information provided by customers. For a more detailed description on verification see Section 2.1.1.1 of the attached Plan of Operations (Tab 5).**
 2. Description of the technology to ensure confidentiality of the Means of Personal Identification: **See Section 2.1.5 of the attached Plan of Operations (Tab 5).**
 3. Methods and locations available for Account Holders to withdraw funds from their Account: **Requests by phone will result in a check mailed to the address on record within five (5) business days. Cash withdrawals at designated locations, currently limited to the US Bank location at Churchill Downs Racetrack in Louisville, KY. For more details see the attached Plan of Operations (Tab 5).**
 4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:
US Off-Track, 6130 NE 78th Court, Suite C4, Portland, Oregon
Telephone: 503-253-0234
Facsimile: 503-253-0140
Hours of Operation: 8:30 a.m. to 10:30 p.m. – 12:00 a.m. PST depending on the time of the last race.

7. PARI-MUTUEL

- A. Name, address and telephone number of the pari-mutuel audit firm:
PricewaterhouseCoopers LLP
500 W. Main Street, Ste. 1800
Louisville, KY 40202
- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:
Totalizator services will be provided by AmTote International, Inc. The AmTote contract originally

expired on May 31, 2005, but automatically renews for one (1) year periods until either party provides written notice of its intention not to renew the contract within sixty (60) days prior to the subject term. Applicant will provide the CHRB notice of any change in its totalizator provider.

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:
Please see the list of tracks attached at Tab 6.

NOTICE – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

8. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:
Amtote International, Inc., 11200 Pepper Road, Hunt Valley, MD 21031
US Off-Track, 10490 Gandy Blvd., St. Petersburg, FL 33702
- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed: N/A.

9. ADVERTISING

Name and address of the advertising agency you will use:

Hoffman York
142 East Ontario, Suite 13
Chicago, IL 60611

NOTICE – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.

10. CERTIFICATION

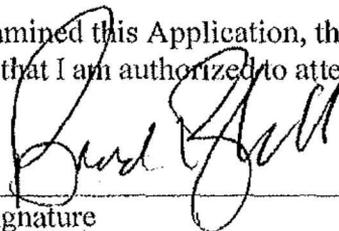
I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

Bradley K. Blackwell

Print Name

Vice President, Legal

Print Title



Signature

9/17/07

Date

CALIFORNIA HORSE RACING BOARD

October 18, 2007
REGULAR BOARD MEETING

There is no board package material for item 4

STAFF ANALYSIS
October 18, 2007

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HOLLYWOOD PARK FALL RACING ASSOCIATION, LLC AT HOLLYWOOD PARK NOVEMBER 7, 2007 THROUGH DECEMBER 22, 2007.

Hollywood Park Fall Racing Association, LLC filed its application to conduct a thoroughbred horse racing meeting at Hollywood Park:

- November 7 through December 22, 2007, or 32 days, 4 less than 2006. The association proposes to race a total of 275 races, or 8.59 per day. In 2006 they raced 8.75 races per day with an average of 8.44 runners per race. The (actual) average daily purse for the fall 2006 meet was \$409,485. The (estimated) average daily purse for this meet is \$390,027.
- The race dates proposed are the dates the Board allocated.

November - 2007						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22 H	23	24
25	26	27	28	29	30	

December - 2007						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23/30	24/31	25 H	26	27	28	29

- Racing 5 days per week, Wednesdays through Sunday, with 8 races on Wednesday, Thursdays, Fridays and 9 or 10 races on a selected basis on Saturdays and Sundays, with 10 races on November 23, 24 and 25.
- First post 12:30 p.m. daily.
 - 7:05 p.m. post November 9 and 16.
 - 11:00 a.m. post November 22, Thanksgiving Day.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety requirements have been fulfilled.
- Wagering program will use CHRB rules.
 - Early wagering 8:30 a.m. on the day of and advance-day wagering.
 - \$1 trifecta in any race that complies with Rule 1979 and a \$.10 superfecta in any race that complies with Rule 1979.1.
 - Pick 6 on last 6 races - 70% of net pool to be retained for the jackpot and no cap be set.
 - Pick 4 on first 4 races and last 4 races - designate the major share as 0%.
 - Offering option of an alternate selection in the place pick all, pick 6 and pick 4.
- The Advance Deposit Wagering (ADW) providers are to be determined.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.

- Inspection of backstretch worker housing completed.

Specific information **still needed** to complete this application includes

1. Horsemen's agreement.
2. CTT agreement.
3. Levy Concessionaire license renewal.

RECOMMENDATION:

Staff recommends that the application for license not be heard unless the missing information is provided.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev. 12/06)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. **Hollywood Park Fall Racing Association, LLC**

**1050 South Prairie Avenue
 Inglewood, California 90301
 (310) 419-1500 phone
 (310) 671-4460 fax**

B. Breed of horse: TB QH H

C. Racetrack name: **Hollywood Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490. **On File**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF MEETING

A. Inclusive dates for the entire meeting: **November 7 through December 22, 2007.**

B. Actual dates racing will be held: **November 7, 8, 9, 10, 11, 14, 15, 16, 17, 18, 21, 22, 23, 24, 25, 28, 29, 30, December 1, 2, 5, 6, 7, 8, 9, 12, 13, 14, 15, 16, 21, 22**

C. Total number of days or nights of racing: **32**

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Dark Wednesday, December 19 and Thursday, December 20

E. Number of days or nights of racing per week: **5**

3. RACING PROGRAM

A. Total number of races: **275**

B. Number of races for each day or night: **8 races on Wednesdays, Thursdays and Fridays; 9 or 10 races on a selected basis on Saturdays and Sundays, with 10 races on Friday, November 23, Saturday, November 24, Sunday, November 25.**

CHRB CERTIFICATION

Application received: *8/7/07*
 Deposit received:
 Reviewed: *[Signature]*

Hearing date: *10/18/07*
 Approved date:
 License number:

CHRB-17 (Rev. 12/06)

- C. Total number of stakes races: **23 (17 Stakes and 6 overnight stakes)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Enclosed.**
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

<i>Race</i>	All days except Fridays November 9 & 16 and Thursday, November 22	Friday Nights (November 9 & 16)	Thanksgiving Day, Thursday November 22
1 st	12:30 p.m.	7:05 p.m.	11:00 am
2 nd	1:00 p.m.	7:35p.m.	11:30 am
3 rd	1:30 p.m.	8:05 p.m.	12:00 noon
4 th	2:00 p.m.	8:35 p.m.	12:30 pm
5 th	2:30 p.m.	9:05 p.m.	1:00 pm
6 th	3:00 p.m.	9:35 p.m.	1:30 pm
7 th	3:30 p.m.	10:05 p.m.	2:00 pm
8 th	4:00 p.m.	10:35p.m.	2:30 pm
9 ^{th*}	4:30 p.m.		
10 ^{th*}	5:00 p.m.		

* When applicable

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to B&P Code Section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **On file**
- C. CORPORATION – N/A
- D. LLC
- Registered name of the LLC: **Hollywood Park Fall Racing Association, LLC**
 - State where articles of organization are filed: **Delaware**
 - Registry or file number for the LLC: **3993459**

HOLLYWOOD PARK FALL MEETING 2007 PROPOSED STAKES SCHEDULE

<u>DATE</u>	<u>STAKE</u>	<u>PURSE/DISTANCE</u>
Sun. Nov. 11	MOCCASIN STAKES Fillies, Two Years Old	\$100,000 Added Seven Furlongs
Sat. Nov. 17	SHARP CAT STAKES Fillies, Two Years Old	\$100,000 Added One Mile & One Sixteenth
Sun. Nov. 18	REAL QUIET STAKES Two Year Olds	\$100,000 Added One Mile & One Sixteenth
Thur. Nov. 22	HOLLYWOOD PREVUE STAKES (GRADE III) Two Year Olds	\$100,000 Added Seven Furlongs

TURF FESTIVAL

Fri. Nov. 23	CITATION HANDICAP (GRADE I) Three Year Olds & Upward	\$400,000 One Mile and One Sixteenth (Turf)
	GENEROUS STAKES (GRADE III) Two Year Olds	\$100,000 Added One Mile (Turf)

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Sat. Nov. 24	HOLLYWOOD TURF EXPRESS (GRADE III) Three Year Olds & Upward	\$150,000 Six Furlongs (Turf)
	MIESQUE STAKES (GRADE III) Fillies, Two Years Old	\$100,000 Added One Mile (Turf)
Sun. Nov. 25	THE MATRIARCH (GRADE I) Fillies & Mares, Three Year Olds & Upward	\$500,000 One Mile (Turf)
	HOLLYWOOD DERBY (GR. I) Three Year Olds	\$500,000 One Mile and One Quarter (Turf)

Sat. Dec. 1	BAYAKOA HANDICAP (GRADE II) Fillies & Mares, Three Year Olds & Upward	\$150,000 One Mile and One Sixteenth
Sun. Dec. 2	VERNON O. UNDERWOOD (GRADE III) Three Year Olds & Upward	\$100,000 Added Six Furlongs
Sat. Dec. 8	HOLLYWOOD TURF CUP (GRADE I) Three Year Olds & Upward	\$250,000 One Mile and One Half (Turf)

2007 FALL STAKES SCHEDULE B (Cont.)

Sat. Dec. 8	NATIVE DIVER HANDICAP (GRADE III) Three Year Olds & Upward	\$100,000 Added One Mile and One Eighth
Sat. Dec. 15	HOLLYWOOD STARLET (GRADE I) Fillies, Two Years Old Nominations Friday, May 11, 2007	\$250,000 Guaranteed (\$200,000 Added) One Mile and One Sixteenth
Sun. Dec. 16	DAHLIA HANDICAP (GRADE II) Fillies & Mares, Three Year Olds & Upward	\$150,000 One Mile and One Sixteenth (Turf)
Sat. Dec. 22	CASHCALL FUTURITY (GRADE I) Two Year Olds Nominations Friday, May 11, 2007	\$750,000 Guaranteed One Mile and One Sixteenth

HOLLYWOOD PARK FALL MEETING
2007 PROPOSED OVERNIGHT STAKES SCHEDULE

<u>DATE</u>	<u>STAKE</u>	<u>PURSE/DISTANCE</u>
Wed. Nov. 7	WAR CHANT STAKES Three Year	\$65,000 Added One Mile (Turf)
Sat. Nov. 10	AUDREY S. KENIS STAKES Fillies, Three Years Old	\$65,000 Added One Mile & One Eighth (Turf)
Thur. Nov. 22	HERMOSA BEACH HANDICAP Fillies & Mares, Three Years Old & Upward	\$65,000 Added One Mile and One Half (Turf)
Sun. Dec. 9	PLAYA DEL REY STAKES Fillies & Mares, Three Years Old & Up	\$65,000 Added Six Furlongs
Fri. Dec. 21	CAT'S CRADLE HANDICAP Fillies & Mares, Three Year Olds & Upward, Cal-Bred	\$100,000 * Seven and One Half Furlongs
Saturday Dec. 22	ON TRUST HANDICAP Three Year Olds & Upward, Bred in California	\$100,000 * Seven and One Half Furlongs

* \$25,000 From Cal-Bred Stakes Fund.

4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
- | | |
|---|----------|
| Terrence Fancher, Chairman & Director | 0 |
| Jack Liebau, President | 0 |
| Kristin Renaudin, Secretary | 0 |
| Charlene Kiley, Vice President & Chief Financial Officer | 0 |
| Eual Wyatt, Vice President | 0 |
| Barbara Helm, Vice President, Finance | 0 |
| Martin Panza, Vice President | 0 |
| Bernie Thurman, Vice President | 0 |
| Michael Ziegler, Vice President | 0 |
| Dyan Grealish, Vice President | 0 |
5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each: **N/A**
6. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Stockbridge Real Estate Partners II, LLC is the general partner of the Fund which owns the Applicant
8. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission. **Balance sheet on file with the CHRB.**

E. OTHER – N/A

F. Management and Staff

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed in the official program:
- F. Jack Liebau, President**
 - Eual G. Wyatt, Jr., Vice President & General Manager**
 - Michael Ziegler, Vice President and Assistant General Manager**
 - Charlene Kiley, Vice President & Chief Financial Officer**
 - Dyan Grealish, Vice President**
 - Barbara Helm, Vice President, Finance**
 - Martin Panza, Vice President-Racing**
 - Bernie Thurman, Vice President**
 - Don Barney, Director of Security & Safety**
 - Cleon Bounds, Property Manager**
 - Bernie Eastridge, Turf Course Superintendent**
 - Diane Hudak, Horsemen's Liaison**
 - Russell Hudak, Timer, Morning Line Maker**
 - Michael Mooney, Director of Media Relations**
 - Robert Poole, Director of Pari-Mutuels**
 - Roger Roman, Director of Broadcasting**
 - Vic Stauffer, Track Announcer**
 - Mark Tomes, Plant Superintendent**

F. Management and Staff (continued)

Cole Younger, Operations Manager
Kerlan-Jobe Orthopedic, Jockeys' Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:

F. Jack Liebau, President, 2600 South Delaware Street, San Mateo, CA 94403

5. PURSE PROGRAM Please note that there were 36 race days during the prior meeting compared to 32 race days for the current meeting.

A. Purse distribution:

1. All races other than stakes

Current meet estimate: **\$8,486,000 (includes an under-payment carryover of \$400,000)**

Prior meet actual: **\$9,495,000**

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate: **\$265,000**

Prior meet actual: **\$264,000**

2. Overnight stakes:

Current meet estimate: **\$410,000**

Prior meet actual: **\$330,000**

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate: **\$12,800**

Prior meet actual: **\$9,200**

3. Non-overnight stakes:

Current meet estimate: **\$2,900,000 (does not include sponsor's supplement to stakes)**

Prior meet actual: **\$2,765,400**

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate: **\$90,600**

Prior meet actual: **\$76,800**

B. Stakes races:

1. Purse distribution for all stakes races:

Current meet estimate: **\$3,310,000**

Prior meet actual: **\$3,095,400**

Average Daily Purse (5B1 ÷ number of days):

Current meet estimate: **\$103,400**

Prior meet actual: **\$86,000**

2. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **4.5%**

Prior meet actual: **4.9%**

Average Daily Purse (5B2 ÷ number of days):

Current meet estimate: **0.14**

Prior meet actual: **0.13**

C. Funds to be generated for all California-bred incentive awards:

Current meet estimate: **\$943,000.**
 Prior meet actual: **\$1,066,000**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization	Current meet estimate:	Prior meet actual:
TOC	\$117,618	\$133,800
CTT - Pension	117,619	133,800
CTT - Admin	58,809	68,899
NTRA	70,499	50,100
Total	\$364,545	\$384,599

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (5A + 5C + 5D):

Current meet estimate: **\$13,103,545**
 Prior meet actual: **\$14,040,999**

Average Daily Purse (5E ÷ number of days):

Current meet estimate: **\$409,485**
 Prior meet actual: **\$390,028**

F. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: **\$8,650,376**
 Prior meet actual: **\$9,944,899**

Average Daily Purse (5F ÷ number of days):

Current meet estimate: **\$270,324**
 Prior meet actual: **\$276,247**

G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$3,101,449**
 Prior meet actual: **\$3,422,940**

Average Daily Purse (5G ÷ number of days):

Current meet estimate: **\$96,920**
 Prior meet actual: **\$95,082**

H. Bank and account number for the Paymaster of Purses' purse account: **Cal National #On file**

I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meet:

**Bowen & Mc Beth Inc. 10722 Arrow Highway, Suite 110 , Rancho Cucamonga, Ca. 91730
 909/944-6465**

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NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,950**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **2,500**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- | | |
|---------------------------|--------------|
| Santa Anita | 1,500 |
| Pomona | 500 |
| San Luis Rey Downs | 500 |
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **On file**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the **1986** meeting: **2,000**
- G. Estimated cost to provide off-site stalls for this meeting: **\$1,100,000**. Show cost per day per stall:
Average cost: \$10.00
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **\$225,000**
- | | | |
|------------------------|---------------------|--------------|
| Roundtrip from: | Santa Anita | \$180 |
| | Pomona | \$200 |
| | San Luis Rey | \$320 |
| | Los Alamitos | \$180 |

7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Race #1 *	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PPN	CHRB 1976.8
	\$1PK3	CHRB 1977
	\$2Q	CHRB 1958
	\$1 PNP (Pick 4)	CHRB 1976.9
Race #2 *	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$2Q	CHRB 1958
Race #3 *	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$2Q	CHRB 1958
	\$2 PNP (Pick 6 when 8 races)	CHRB 1976.9
Race #4 *	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$2Q	CHRB 1958
	\$2 PNP (Pick 6 when 9 races)	CHRB 1976.9
Race #5 *	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$2Q	CHRB 1958
	\$2PNP (Pick 6 when 10 races)	CHRB 1976.9
	\$1PNP (Pick 4 when 8 races)	CHRB 1976.9
Race #6 *	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$2Q	CHRB 1958
	\$1PNP (Pick 4 when 9 races)	CHRB 1976.9
Race #7 *	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 9 or more races)	CHRB 1977
	\$2Q	CHRB 1958
	\$1PNP (Pick 4 when 10 races)	CHRB 1976.9

7. PARI-MUTUEL WAGERING PROGRAM (continued)

Race #8 *	\$2 DD (when 9 or more races)	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 10 races)	CHRB 1977
	\$2Q	CHRB 1958
Race #9 *	\$1E	CHRB 1959
	\$2Q	CHRB 1958
	\$2 DD (when 10 races)	CHRB 1957
Race #10*	\$1E	CHRB 1959
	\$2Q	CHRB 1958

* \$1 Trifecta in any race when we can comply with CHRB Rule 1979. 10 cent Superfecta in any race when we can comply with CHRB Rule 1979.1. The Pick 6 [P(n)P] will be offered on the last 6 races of the card. In accordance with CHRB Rule 1976.9 we designate that seventy percent (70%) of the net Pick 6 pool be retained for the jackpot and that no cap be set on the jackpot. A Pick 4 (PnP) will be offered on the first 4 and last 4 races of the card. In accordance with CHRB Rule 1976.9 we designate that major share of the Pick 4 pool be designated as 0%. Additionally, we will offer our patrons the option of an alternate selection pick in the place Pick All and Pick 6 and Pick 4. Additionally we will offer Win, Place or Show parlay wagering in accordance with CHRB 1954.1.

NOTE: Applicant reserves the right to offer or substitute additional wagers approved prior to or during its meeting.

- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Closing day, December 22, 2007**
- C. List any options requested with regard to exotic wagering: **B & P Code Section 19611.5**
- D. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin: **8:30 am on the day of and advance-day wagering.**
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Scientific Games, September 2012

8. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting: **To be determined**

9. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering: **Southern California Off-Track Wagering, Inc.**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File with CHRB**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

National Orange Show (San Bernardino)
Del Mar Fair (Del Mar)

Barona Indian Reservation
Sycuan Tribe

- | | |
|--|--|
| Ventura County Fair | Cabazon Indian Reservation |
| Riverside County Nat'l Date Fest. (Indio) | Viejas Indian Reservation |
| Lake Perris Fairgrounds | Santa Anita Racetrack |
| Santa Barbara Horse & Flower Show (S.B.) | L.A. County Fair (Pomona) |
| Santa Barbara County Fair (Santa Maria) | Los Alamitos Race Course |
| Antelope Valley Fair & Alfalfa Fest. (Lancaster) | San Bernardino County Fair (Victorville) |
| All Northern California locations authorized to conduct pari-mutuel wagering | |

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: **Attachment "A"**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attachment "A"**
- F. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See Attachment "B"**

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- G. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: **Wagering will be offered on all races conducted or imported by Los Alamitos (Quarter Horse) and Cal Expo (Harness)**

Attachment A – Section 9D and 9E

Out of State Wagering Systems the association proposes to offer its live audiovisual signal. Locations that will not combine their pools with those of the association are marked with an asterisk (*)

Acoma Pueblo Casino	*MIR/Caliente (Mexico, Latin America, South America)
Ajax Downs (Canada)	Mobile Greyhound Park
AmWest Entertainment	Mohegan Sun Casino
Arapahoe Park	Mohegan Sun at Pocono Downs
Arima Race Club (Trinidad and Tobago)	Monmouth Park
Arlington Park	Montana Simulcast Partners
Assiniboia Downs (Canada)	Monticello Raceway
Atlantic City Race Course	Mountaineer Park
Atlantis Paradise Casino (Bahamas)	Mt. Pleasant Meadows
Atokad Downs	Nassau Regional OTB
Balmoral Park	Nebraska State Fair Park
Bangor Historic Track	*Nevada Disseminator Service (NDS)
Batavia Downs	Nevada Pari-Mutuel Association
Beulah Park	New Jersey Casinos
Birmingham Race Course	New York City OTB
Blue Ribbon Downs	NYRA
Bluffs Run Greyhound Park	Newport Jai-Alai
Buffalo Raceway	Northfield Park
Calder Race Course	Northlands Park (Canada)
Canterbury Park	Northville Downs
Capital District OTB	Oaklawn Park
Catskill District OTB	Ocean Downs Racetrack
Charles Town Race Course	Oneida Casino
Charlottetown Raceway (Canada)	Penn National
Choctaw Racing Services	Philadelphia Park
Churchill Downs	Plainridge Racecourse
Churchill Downs Technology Initiatives	Pojoaque Casino (aka Cities of Gold Casino)
Company (dba.twinspires.com, winticket.com, brisbet.com, tsnbet.com, Icanbet.com, Betharness.com, Thoroughbets.com, Myracebet.com, Watchandwager.com, Hipodromoenlinea.com, Racelinebet.com, Betpad.com)	Pony Bar Simulcast Center (St. Thomas)
Clinton Raceway (Canada)	Portland Meadows
Coeur D'Alene Casino	Prairie Meadows
Colonial Downs	Presque Isle Downs
Columbus Races	Quinte Raceway (Canada)
Comanche Nation Games	Raceway Park
Connecticut OTB	Racing World (UK & IRE)
Corpus Christi Greyhound Park	Randall James Racetrack (St. Croix)
Crystal Palace Casino (Bahamas)	Raynham Taunton Greyhound
Dairyland Greyhound	Remington Park
Delaware Park	Retama Park
Delta Downs	RGS (St. Kitts)
Divi Carina Bay Casino (St. Croix)	Rideau Carlton Raceway (Canada)
Dover Downs	River Downs
Downs at Albuquerque	Rockingham Park
Dubuque Greyhound Park	Rocky Mountain Turf Club (Canada)
Elite Turf Club (Curacao)	Rosecroft Raceway
Ellis Park	Royal Beach Casino (St. Kitts)
Emerald Downs	Royal River Racing
Eureka Downs	Ruidoso Downs
	Sam Houston Race Park
	Saratoga Gaming and Raceway
	Scarborough Downs
	Scioto Downs

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Evangeline Downs

Attachment A (continued)

Fair Grounds

Fair Meadows

Fairmount Park

Finger Lakes

Flamboro Downs (Canada)

Fonner Park

Foxwoods Casino

Fraser Downs (Canada)

Freehold Raceway

Ft. Erie Race Track (Canada)

Georgian Downs (Canada)

Gillespie County Fair

Grand River Raceway (Canada)

Great Lakes Downs

Greenetrack

Greyhound Track at Post Falls

Gulf Greyhound Park

Gulfstream Park

Hanover Raceway (Canada)

Harrāh's Chester Casino and Racetrack

Harrāh's Louisiana Downs

Harrington Raceway

Hastings Park (Canada)

Hawthorne

Hazel Park

Hiawatha Horse Park (Canada)

Hinsdale Greyhound Park

Hippodrome de Montreal (Canada)

*Hippodromo Presidente Remon

Ho-Chunk Casino

Hoosier Park

Horsemen's Park

Indiana Downs

International Racing Group (Curacao)

Jackson Harness

John Martin's Manor Restaurant

Kawartha Downs Raceway (Canada)

Keeneland

*LVDC

Lebanon Raceway

Les Bois Park

Lewiston Raceway

Lien Games

Lincoln Greyhound Park (aka Twin Rivers)

Lodge at Belmont Greyhound

Lone Star Park

Manor Downs

Marquis Downs (Canada)

Maryland Jockey Club

Maywood Park

Meadowlands

MEC International (aka Global Wagering Solutions) (Austria, Germany, UK, IRE)

Meskwaki Casino

Seabrook Greyhound

Shoreline Star Greyhound

Southland Greyhound Park

Sports Creek

Stables Casino

Sudbury Downs (Canada)

Suffolk Downs

Suffolk Regional OTB

Sunland Park

Sun Ray Park

Tampa Bay Downs

Tartan Downs (Canada)

The Meadows

The Stables Casino

Thistledown

Tioga Downs

Tote Investment Racing Service (Barbados)

Tri-State Greyhound Park

Truro Raceway (Canada)

Turf Paradise

Turfway Park

TVG

Valley Greyhound

Vernon Downs

Victoryland Greyhound Park

Western Fair Raceway (Canada)

Western Regional OTB

Wheeling Downs

Wichita Greyhound Park

Will Rogers Downs

Winners OTB

Windsor Raceway (Canada)

Wonderland Greyhound Park

Woodbine Entertainment Group (Canada)

Woodlands

Wyoming OTB

Xpressbet

Yavapai Downs

Yonkers Raceway

Youbet

Zia Park

Attachment B – Section 9F**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Aqueduct	11/07 - 12/22/07	Full Card or Partial Cards
Beulah Park	11/07 - 12/22/07	Full Card or Partial Cards
Calder	11/07 - 12/22/07	Full Card or Partial Cards
Churchill Downs	11/07 - 11/24/07	Full Card or Partial Cards
Delaware Park	11/07 - 11/18/07	Full Card or Partial Cards
Delta Downs	11/07 - 12/22/07	Full Card or Partial Cards
Fair Grounds	11/22 - 12/22/07	Full Card or Partial Cards
Hawthorne Park	11/07 - 12/22/07	Full Card or Partial Cards
Hoosier Park	11/07 - 11/24/07	Full Card or Partial Cards
Japan Racing	11/23 and 11/24/07	Partial Cards
Laurel Park	11/07 - 12/22/07	Full Card or Partial Cards
Meadowlands	11/07 - 11/10/07	Full Card or Partial Cards
Phildelphia Park	11/07 - 12/22/07	Full Card or Partial Cards
Portland Meadows	11/07 - 12/22/07	Full Card or Partial Cards
Remington Park	11/07 - 12/22/07	Full Card or Partial Cards
Sam Houston	11/07 - 12/22/07	Full Card or Partial Cards
South America	11/07 - 12/22/07	Full Card or Partial Cards
Suffolk Downs	11/07 - 11/10/07	Full Card or Partial Cards
Sunland Park	12/14 - 12/22/07	Full Card or Partial Cards
Tampa Bay	12/08 - 12/22/07	Full Card or Partial Cards
Thistledown	11/07 - 11/26/07	Full Card or Partial Cards
Turf Paradise	11/07 - 12/22/07	Full Card or Partial Cards
Turfway Park	11/25 - 12/22/07	Full Card or Partial Cards
United Kingdom	11.07 - 12/22/07	Full Card or Partial Cards
Woodbine	11/07 - 12/9/07	Full Card or Partial Cards
Zia Park	11/07 - 12/11/07	Full Card or Partial Cards

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

10. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Hollywood Park Racing Charities, 1050 South Prairie Avenue Inglewood, California 90301 310-419-1518**

B. Names and addresses of the trustees or directors of the distributing agent:

Tirso del Junco, M.D.
Chairman & President
4924 Sunset Boulevard
Los Angeles, CA 90027

Miss Angie Dickinson
Treasurer
P. O. Box 369
Inglewood, CA 90306

Mr. Willie D. Davis
Vice-President
P. O. Box 369
Inglewood, CA 90306

Mrs. Barbara Knight
Secretary
P. O. Box 369
Inglewood, CA 90306

Rick Baedeker
c/o Hollywood Park
1050 South Prairie Ave.
Inglewood, CA 90301

Alvin Segel, Esq.
Irell & Manella
1800 Avenue of the Stars, #900
Los Angeles, CA 90067

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).

11. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s)	Jill Bailey, D.V.M.
Clerk of Scales/Film Specialist	Ruben Hernandez
Clerk of the Course	Lisa Jones
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Louis Damore
Paddock Judge/Patrol Judge	Ken Goldberg
Patrol Judge	Heather Correa
Placing Judges	Zachary Soto Kevin Colosi
Starter	Gary Brinson
Timer	Russell Hudak

B. Management officials in the racing department:

Director of Racing	N/A
Racing Secretary	Martin Panza
Assistant Racing Secretary	Richard Wheeler and Daniel Eidson
Paymaster of Purses	Susan Winters
Others (Asst. Clerk of Scales)	Charles McCaul

C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Weinstein Court Reporters, 9582 Hamilton Avenue, Suite 265 Huntington Beach, California 92646 (714) 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic, USA 12/31/08

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Association provides its own service and equipment. Equipment utilized is described in Attachment "C"

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: American Teletimer, 12/31/07

12. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Don Barney, Director of Security 310/419-1395 – organizational chart attached.

Attachment C - Section 11EVIDEO EQUIPMENTCAMERAS

Eight DXC-35 Sony Cameras
 Six DXC-637 Sony Cameras
 One BRC-H700 Sony remote control camera
 Pan (Wide) w/44X1 Fuji Lens
 Pan (Close up) w/55X1 Fuji Lens
 7/8 Dirt Tower w/45X1 Canon Lens
 7/8 Turf Tower w/22X1 Fuji Lens
 1/4 Tower w/26X1 Angenieux Lens
 3/16 Sony robo cam 16x1 Lens
 3/8 Dirt Tower w/45X1 Canon Lens
 3/8 Turf Tower w/33X1 Canon Lens
 One Autodome PTZ Day/Night Color Camera 23X 1 lens for Turf Chute Backside
 Winner's Circle w/18X1 Canon Lens
 Paddock view Camera w/18X1
 Ground Level Finish w/18X1 Canon Lens
 Gate Start Camera w/15X1 Fuji Lens
 Horse Tunnel Camera w/15X1 Canon Lens
 Studio Camera w/15X1 Canon Lens
 Paddock view Camera w/15X1

VIDEO TAPE RECORDING EQUIPMENT

12 - Channels Leitch 300 Video Servers
 2 - BVW - 75 Beta SP (slo-mo)
 4 - PVW - 2800 Beta Sp
 1- DPS Velocity video edit system

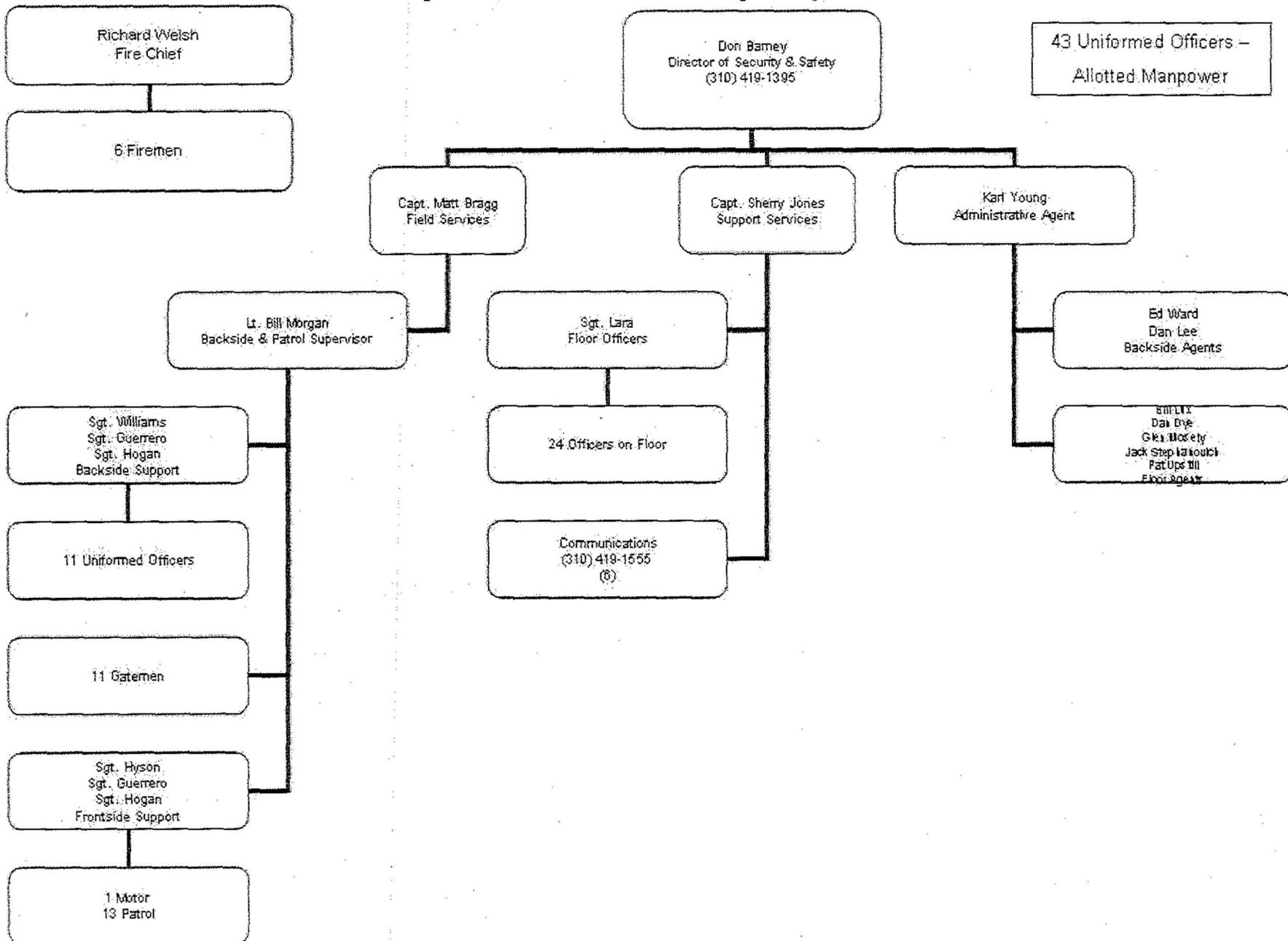
DISTRIBUTION/SWITCHING EQUIPMENT

Utah 132X132 A/V Router
 Ross Synergy 3 Production Switcher
 Ross "Squeeze & Tease" four Channel Digital Video Effects Unit

OTHER

Four "Autotote" VGS Units - Betting Screens
 Data links wagering system
 2 - Chyron Graphics Systems - Max and Maxine
 Charlson O'Hare Computer Graphics System
 Clear-com "Matrix" Intercom System
 Approximately 1,100 Color Televisions

Hollywood Park Security Department



- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

43 Uniformed Officers
8 Agents
5 Firemen
11 Gatemen
5 Communication Operators

1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **Six hours prior to post time, officers or Backstretch Events Personnel will be assigned to each horse scheduled to run in all stakes races with a purse value of \$100,000 or more. The officers and Backstretch Event Personnel will all be equipped with a video surveillance camera and a security radio. In addition, there will be an Agent to monitor the security enforcement and 5 additional uniformed officers throughout the backside during racing. During non-racing, nighttime hours there will be 4 officers per shift patrolling the backside. In the event that horse is assigned to the detention barn, uniformed officers will be assigned to that area for twenty-four hour surveillance. The horses will also be under video surveillance.**

2. Detention Barns:

- A. Attach a plan for use of graded stakes or overnight races.
Detention barn is used as required by the CHRB.
- B. Number of security guards in the detention barn area during a 24-hour period.
As needed per horse, also one additional officer to cover relief/breaks.
- C. Describe number and location of surveillance cameras in detention barn area.
There are currently 10 cameras over the stalls and 2 covering the shedrow. We have additional cameras on site ready for installation.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB staff directives to insure compliance with CHRB Rule 1843.6..
- B. Plan for enhanced surveillance for trainers with high-test results **Will follow CHRB staff directives to insure compliance with CHRB Rule 1843.6..**
- C. Plan for detention barns for repeat offenders. **Will follow CHRB staff directives to insure compliance with CHRB Rule 1843.6..**
- D. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention barn and stable gate.
 Camera at each of our two stable gates, also see 2C above

- D. For night racing associations: Describe emergency lighting system: **The track lighting system, which is used primarily for Friday night racing is on a preferred electrical current provided by Southern California Edison. Additionally we have an emergency lighting system powered by two karolite 125 k.w. 277/480 volt generators which are activated and placed on line during the running of every race run after dark.**

13. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Huntington Ambulance Service, Box 145 Sunset Beach, California 562/904-1550**
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **Santa Anita- Huntington Ambulance Service, Box 145 Sunset Beach, California 562/904-1550; Pomona-Cole Schafer Ambulance Service, 324 N. Town Ave., Pomona California 909/966-4727-800/966-4727; San Luis Rey Downs- North County Fire Protection District, Fallbrook, California-760/723-2006**
- C. Describe the on-track first aid facility, including equipment and medical staffing: **Our first aid facility is located on the ground level in the hallway between the paymaster of purses and the track photographer offices. The rear entrance to the first aid facility opens to tunnel 1A and is approximately 12ft from the Jocks' room entrance. During training hours two certified EMTs are stationed in an ambulance located on the backstretch just off the main track. They have access to the first aid facility should the need arise. During racing hours two certified EMTs staff and maintain the first aid facility with an addition team of two EMTs stationed in an ambulance on the race track. Also during racing hours there is a medical doctor on duty who provided through Kerlan-Jobe clinic.**
The facility is equipped with the following: beds, stethoscope, otoscope, flash light, forceps, clamps, scissors, scalpel, tourniquet, sterile syringes, blood pressure kit, oxygen, eye flushes, splints, bandages, trauma dressing, hydrogen peroxide etc., nasal packing, topical anesthetic, thermometer, Silver nitrate sticks (AgNO₃), ice packs, backboards for spinal precautions, cervical collars, immobilization straps hare traction splints for closed femur fractures, KED splints, bag valve mask for CPR, Automated external defibrillator., oxygen masks, cannula tubing and a variety of addition supplies and over the counter drugs (aspirin etc.)
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **Dr. John Goff 310/419 1562 There will be other doctors who will be pre scheduled to relieve Dr. Goff from time to time during the race meeting . That schedule will be provided to the CHRB when it becomes available.**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: **Centinela Hospital, 555 East Hardy Street, Inglewood, Ca- Dr Max Lebow- Chief of staff 310/419 8636**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Refer to Attachment "D"**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d): **Don Barney Safety Manager- Karl Young Assistant Safety Manager**

Attachment D - Section 13

MEMORANDUM

From: Don Barney, Director of Security & Safety

Subject: Emergency Medical Action Plan for Injured Jockeys

Since May 1, 2003 we at Hollywood Park have had in place an emergency medical action-plan for injured jockeys. There is now a new law requiring such an action plan. Pursuant to the Business and Professions Code section 19481.3 (b) each racing association shall adopt and maintain an emergency medical action plan for injured jockeys and shall be posted in English and Spanish within the jockeys room.

The system we have in effect follows the exact guidelines required by the above statute. The emergency action plan we deploy goes as follows:

“Upon the observed or reported injury of a jockey, medical assistance will be immediate. The responding medical technician, track doctor or security will contact communications (via hand held radio or calling 310 4191555). Security will then activate the emergency contact procedure. This procedure will be the responsibility of the Safety Director or his designee. The Safety Director will contact the Chief of Medical Staff at Centinela Hospital and prepare for the injured jockeys arrival. The phone number of Centinela Hospital is 310- 4198636 and is located at 555 East Hardy Street, Inglewood.

The Chief of Staff will ensure that his personnel are standing by to receive the injured jockey. The Chief of Staff will also contact any specialists who are contracted for the care of an injured jockey. This will lay the foundation for a rapid admittance and treatment of the injured Jockey. A Security Agent will also be dispatched to respond to the emergency room to assist family members of the injured jockey and to also maintain the integrity of the injured jockeys needs. It will also be the responsibility of the Security Agent to keep the Director of Safety informed as to the condition and progress of the injured jockey. It will be the responsibility of the Security Agent to obtain a copy of the accident from the T.V. department. The Security Agent will prepare a report as to the accident and treatment. The agent will also ensure that all workers compensation information pertaining to the jockey is accurate and current.”

From: Don Barney, Director of Security & Safety

Subjecto: Emergencia Medica Accion de plan para el Jinete Lastimado

Desde Mayo 1, 2003 Hollywood Park a tenido un plan de Emergencia Medica para los jinetes lastimados. Hay nueva ley que requiere accion de plan. Siguiendo las leyes del negocio y Profesion Codigo seccion 19481.3(b) cada asociado de carrera tiene que adaptar y mantener el plan de emergencia en el cuarto de los jinetes.

El sistema en efectivo que tenemos sigue exactamente todas las reglas y guias. El plan de emergencia se dirige asi:

“Asistencia medica sera inmediata al observar o reportar un jinete lastimado. El medico tecnico, el doctor de hipodromo o seguridad se comunicara via radio o llamara (310)419-1555. Seguridad activara el proceso de el contacto de emergencia. Este proceso sera la responsabilidad de el Director de Seguridad o su designado. El Director de Seguridad contactara al Jefe Medico de Centinela Hospital localizado en la 555 East Hardy

CHRB-17 (Rev. 12/06)
Street, Inglewood.

El Jefe de Estafa se asegurara que su personal se encargue de recibir al jinete herido y contactar a otros especialistas en el contrato y cuidado de el jinete. Este fundamento sera rapido para admitir y tartar al jinete herido. Un agente de seguridad sera despachado al cuarto de Emergencia para asistir a la familia y mantener la integridad y necesidades de el jinete herido. Sera la responsabilidad del agente, reportar e informar al Director de Seguridad de la condicion y el progreso de el jinete. Es la responsabilidad del agente de obtener copia del accidente de el Departamento de Television. El agente se asegurara que toda la informacion de compensacion de trabajo que pertenezca a el jinete sea fija y corriente.”

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **American Home Assurance Company #On file Certificate expires September 2007 a renewal certificate will be provided when available.**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health And Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

14. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: **See Attachment "E"**

15. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Describe any promotional plans:

For the Fall Meet of 2007, Hollywood Park Racing Association ("HPRA") intends to make every effort possible to continue the momentum from the Spring Summer Meet. During the Spring Summer Meet, average daily on-track attendance increased nearly 5% and average daily on-track handle increased nearly 2%. It is hoped that following the Fall Meet, similar claims can be made.

For the Fall, HPRA intends to duplicate the successful aspects from the Spring Summer that are applicable. For example, an advertising plan very similar to the one implemented in the Spring Summer Meet will be followed. And, each of the two Friday nights upon which there is racing will be promoted in exactly the same manner as all of the Friday nights from the past Meet.

The following is the proposed Promotional Schedule for the Meet:

Week #1

- Wednesday, November 7 – Day 1 – Opening Day – For Opening Day, coupons for free General Admission for two will be sent to members of the Hollywood Park Mailing list in the first Direct Mail piece. Also, an additional coupon for a free box seat will be offered to members of the Hollywood Park Gold Club.



County of Los Angeles
Fire Department

Date: 9-12-07

To: Clen Bounds, Property Mgr.
Hollywood Park Land Co, LLC.
1050 S. Prairie Ave.
Inglewood, Ca 90301

From: Los Angeles County Fire Department

Subject: Fire Safety Inspection

On Aug. 27, 2007 a fire safety inspection of the racetrack facilities was conducted. The overall condition of the facility was found to be good, and appears to conform with applicable fire and safety standards at this time.

We therefore approve the use of the facility for the Fall 2007 Thoroughbred Meeting and until our next fire safety inspection in the spring of 2008. Thank your staff for their cooperation and if I may be of further assistance, please feel free to contact me at the phone number below.

Sincerely,

Inspector William Boyd
Central Region Hawthorne Prevention Bureau
310-263-2732

ACORD™ CERTIFICATE OF LIABILITY INSURANCE Page 1 of 2		DATE 09/26/2007												
PRODUCER Willis North America, Inc. 26 Century Blvd. P. O. Box 305191 Nashville, TN 372305191	877-945-7378	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.												
INSURED Stockbridge Capital Group, LLC dba Hollywood Park 4 Embarcadero Center, Suite 3300 San Francisco, CA 94111	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width: 80%;">INSURERS AFFORDING COVERAGE</th> <th style="width: 20%;">NAIC#</th> </tr> <tr> <td>INSURER A: American Home Assurance Company</td> <td>19380-100</td> </tr> <tr> <td>INSURER B:</td> <td></td> </tr> <tr> <td>INSURER C:</td> <td></td> </tr> <tr> <td>INSURER D:</td> <td></td> </tr> <tr> <td>INSURER E:</td> <td></td> </tr> </table>		INSURERS AFFORDING COVERAGE	NAIC#	INSURER A: American Home Assurance Company	19380-100	INSURER B:		INSURER C:		INSURER D:		INSURER E:	
INSURERS AFFORDING COVERAGE	NAIC#													
INSURER A: American Home Assurance Company	19380-100													
INSURER B:														
INSURER C:														
INSURER D:														
INSURER E:														

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR	ADD'L	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
		GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC				EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COM/PROP AGG \$
		AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS				COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
		GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT \$ OTHER THAN AUTO ONLY: EAACC \$ AGG \$
		EXCESS/UMBRELLA LIABILITY <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE DEDUCTIBLE \$ RETENTION \$				EACH OCCURRENCE \$ AGGREGATE \$ \$ \$
A		WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? If yes, describe under SPECIAL PROVISIONS below		9/23/2007	9/23/2008	<input checked="" type="checkbox"/> WC STATU-TORY LIMITS <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
		OTHER				

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS

CERTIFICATE HOLDER CHR B 1010 Hurley Way Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL <u>30</u> DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES. AUTHORIZED REPRESENTATIVE
--	--

Attachment E - Section 14Concessionaires and Service Contractors

Levy Premium FoodService Limited Partnership Food, Beverage, Liquor
 980 North Michigan Avenue, Suite 400
 Chicago, IL 60611

Robert J. Baedeker Tip Sheet
 Baedeker's Guide
 130 West El Portal
 San Clemente, California 92672

Harry Aqurarelli Tip Sheet
 Duke Racing Selections
 6632 West 87th Street
 Los Angeles, California 90045

Winners Tip Sheet
 Toby Turrell
 14112 Enfield Circle
 Westminster, California 92683

Bob's Card, Inc. Tip Sheet
 Tiffany Boland
 6288 Highland Meadows
 Medina, Ohio 44256

Today's Racing Digest
 P. O. Box 85007
 San Diego, California 92138

Thorograph
 541 Hudson Street
 New York City, New York 10014

New World Service, Inc. Janitorial
 1050 South Prairie
 Inglewood, California 90301

Miscellaneous

Ann Roper Silks
 c/o Ann Roper
 P. O. Box 3547
 Lennox, CA 90304

Western Saddlery
 c/o A. Liederman
 206 E. Hillsdale Blvd.
 San Mateo, CA 94403

Every Wednesday is also Senior Day, where all Seniors, aged 62 and over, receive a \$3.00 discount on the regular admission price, resulting in a final price of \$4.00, including parking and a program.

The fact that live racing has returned to Hollywood Park will be advertised on Television.

- Thursday, November 8 – Day 2 – Every Thursday, members of the Hollywood Park Gold Club receive free general admission, including parking and a program. Additionally, Senior Citizens receive a \$3.00 discount on the regular admission price, resulting in a final admission fee of \$4.00, including parking and a program.
- Friday, November 9 – Day 3 – Friday Night Fever – In the Fall Meet, night racing will be conducted only on the first two Fridays. Each of these events will be highlighted by live music following the races in the Ascot Terrace. Throughout the evening, there will be \$1 Beers, sodas, and Hot Dogs. The band tentatively scheduled for the first Friday night is The English Beat. Television Advertising will be utilized to promote this event.

Friday, November 9 – Sunday, November 11 – Round 1 Fall Handicapping Championship

- Saturday, November 10 – Day 4 – On four weekends during the Meet, there will be giveaway promotions. The promotional item for the first Saturday will be a canvas Messenger Bag. Past experience has shown that items like tote bags, briefcases and duffel bags are very popular with customers at Hollywood Park.

Television advertising will be utilized to promote this giveaway.

- Sunday, November 11 – Day 5 – In the Spring Summer Meet, a database matching program, featuring a very tempting offer to new fans, was implemented and proved to be very successful. A list of names was purchased based on matching the demographic characteristics of the people whose names were on the Hollywood Park mailing list. A mailing which offered a turf club package for four people was sent to each of these names. The program was very successful – the Turf Club was sold out on consecutive Sundays during the Meet – and will be duplicated identically every Sunday of the Fall Meet (except the Sunday of Thanksgiving Weekend, which is the Turf Festival).

Week #2

- Wednesday, November 14 – Day 6 – Senior Day
- Thursday, November 15 – Day 7 – Free Admission for Gold Club members and Senior Day.
- Friday, November 16 – Day 8 – Friday Night Fever – The band tentatively scheduled for the second Friday night is Super Diamond. Television Advertising will be utilized to promote this event.
- Saturday, November 17 – Day 9 – Free Day On Us! – One of the most popular promotions with regular customers is the Free Day on us. On these days, a select number of fans will receive an offer which includes free parking, free Clubhouse admission, a free program and a free Daily Racing Form. The Free Day on us will take place twice during the Fall Meet.
- Sunday, November 18 – Day 10 – Sunday Database Matching Offer #2

Week #3

- Wednesday, November 21 – Day 11 – Senior Day
- Thursday, November 22 – Day 12 – Thanksgiving Day, featuring a brunch in the Turf Club and a special early post time.

Free Admission for Gold Club members and Senior Day.

Television Advertising will be utilized to promote the Turf Festival

- Friday, November 23 – Day 13 – Turf Festival – Grade I Citation Handicap and Grade III Generous Stakes – Giveaway #2 (Proposed to be a Golf Style Umbrella).
- Saturday, November 24 – Day 14 – Turf Festival – Grade III Miesque Stakes and Grade III Hollywood Turf Express – Bounceback coupon for free general admission from Breeders' Cup will be good for redemption this day.

Round 2 – Fall Handicapping Championship

- Sunday, November 25 – Day 15 – Turf Festival – Grade I Matriarch and Grade I Hollywood Derby - \$1 Million Guaranteed Pick Six – Mystery Mutuel Voucher Promotion – a Mystery Mutuel Voucher will be sent to all members of the Hollywood Park mailing list.

Week #4

- Wednesday, November 28 – Day 16 – Senior Day
- Thursday, November 29 – Day 17 – Free Admission for Gold Club members and Senior Day.
- Friday, November 30 – Day 18
- Saturday, December 1 – Day 19 – Free Day On Us #2.
- Sunday, December 2 – Day 20 – Sunday Database Matching Offer #3

Week #5

- Wednesday, December 5 – Day 21 – Senior Day
- Thursday, December 6 – Day 22 – Free Admission for Gold Club members and Senior Day.
- Friday, December 7 – Day 23
- Saturday, December 8 – Day 24 – Round 3 – Fall Handicapping Championship– Native Diver Handicap Day – Giveaway #3 – Lava Man Commemorative DVD.

Snow Day – Family Day

Television Advertising will be utilized to promote this event.

- Sunday, December 9 – Day 25 – Sunday Database Matching Offer #4

Week #6

- Wednesday, December 12 – Day 26 – Senior Day
- Thursday, December 13 – Day 27 – Free Admission for Gold Club members and Senior Day.
- Friday, December 14 – Day 28
- Saturday, December 15 – Day 29 – Grade I Hollywood Starlet – Mystery Mutuel Voucher #2
- Sunday, December 16 – Day 30 – Sunday Database Matching Offer #5

Week #7

- Friday, December 21 – Day 31
- Saturday, December 22 – Day 32 – Grade 1 \$750,000 CashCall Futurity – Giveaway #4 (Fleece Sweatshirt). A drawing will be held following each race to give Shopping Sprees to two lucky fans.

Television Advertising will be utilized for this event.

Advertising Plan**Print Advertising:**

- Los Angeles Times: Quarter Page ads will be purchased three times weekly promoting the following events:
 - Opening Week/Messenger Bag Giveaway
 - Turf Festival/Umbrella Giveaway
 - Native Diver Day – Lava Man DVD Giveaway
 - CashCall Futurity – Sweatshirt Giveaway
- Beach Reporter: Full Page, full color ads will be purchased each week of the meet highlighting weekly upcoming events.
- The Argonaut: Full Page, full color ads will be purchased each week of the meet highlighting weekly upcoming events.
- The Palos Verdes News: Full Page, full color ads will be purchased each week of the meet highlighting weekly upcoming events.
- Inglewood Today Weekly: Half Page ads will be purchased each week of the meet highlighting weekly upcoming events.
- Our Weekly: Half Page ads will be purchased each week of the meet highlighting weekly upcoming events.

College Ads: To promote the two Friday night race events, advertising will be placed in the following publications for three weeks (one week leading up to the events and each week they actually take place):

- USC Daily Trojan
- UCLA Daily Bruin
- Los Angeles Daily Loyolan
- SMCC Corsair
- Long Beach Union
- Saturday Night Magazine
- LA Weekly

Radio Advertising:

- JACK-FM: Hollywood Park will partner with JACK-FM again to promote Friday nights. This was the same station used in the Spring Summer Meet.
- Racing Radio – weekly spots will be placed on each of the following racing radio shows:
 - Inside Racing with Jason Levin
 - Thoroughbred Los Angeles with Jay Privman on Saturdays and Mike Willman on Sundays
 - Race & Sports Radio with Felix Taverna
 - The Roger Stein Show

Television Advertising:

- A television advertising campaign very similar to the one conducted in the Spring Summer Meet will be implemented in the Fall. Television media will again be purchased using MediaDex as the buying agency. For Friday night events, the “Stays in Vegas” ad campaign will be utilized. For racing events, in-house creative will be utilized. Individual campaigns will be built for each of the following events:
 - Opening Week – Messenger Bag Giveaway on Saturday, November 10
 - Turf Festival
 - Native Diver Day – Lava Man DVD Giveaway
 - CashCall Futurity/Sweatshirt Giveaway
 - Opening Friday Night featuring the English Beat
 - Second Friday Night featuring Super Diamond

Online Advertising:

- A campaign tied into the KNX radio web-site will be implemented. The major components of this campaign include the following:
 - Daily archived video highlights of racing action
 - Hyperlink to Hollywood Park web-site from daily news section
 - A Custom Contest on the KNX Sports landing page
 - Podcasts featuring general racing information and daily tips
 - Streaming Advertisements
 - Banner and Skyscraper Advertisements
 - E-Mail blasts
 - Radio station tie-in with sports anchor Randy Kerdoon
- B. Number of hosts and hostesses employed for meeting: **During the fall meet, we will employ up to 14 hosts and hostesses to handle group business and between 12 and 15 guest service personnel. We add another 15-20 people on promotional days for giveaways and sign-ups for the Hollywood Park Gold Club.**
- C. Describe facilities set aside for new fans:
- **Jockey Club/Ascot Terrace – On two Friday nights, the Jockey Club Bar area and the Ascot Terrace will be the venue for a post race concert. The areas both overlook the track and during the races will be staffed with customer service representatives to answer racing related questions.**
 - **Saturday Handicapping Seminar with Kurt Hoover**
 - **Sunday Handicapping Seminar with George Ortuzar**
 - **Customer Service Booths – Throughout the facility there are Customer Service booths staffed to assist patrons with any requested information**
 - **Group Events – The track hires handicappers each day there are groups attending the races to educate new fans regarding handicapping.**
- D. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
1. Horsemen
 2. Fans
 3. Facilities in the restricted areas **Improvements to be determined**

16. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:

1) General Admission	\$ 7.00
a. 17 yrs. old and under	Free
b. Wed & Thu Senior Citizens	\$ 4.00
(2) Clubhouse Admission	\$ 10.00
(3) Turf Club	\$ 20.00
(4) Reserved seating	\$ 2.00
(5) General Parking	Free
(6) Preferred (Premium) Parking	\$ 3.00
(7) Valet Parking	\$ 8.00
(8) Programs	\$2.25

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Box Seats		*Turf Club Membership	
4 seat Box	\$1,600	Single Turf Club	\$ 800
6 seat Box	\$2,000	Double Turf Club	\$ 1,250
8 seat Box	\$2,600	Corporate Membership	\$ 2,400
8 seat SuperBox	\$3,000	Guest	\$ 12

*Includes Spring/Summer, Fall Meets and Simulcast

C. Describe any "package" plans such as combined parking, admission and program:
Admission includes parking and program.

17. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="80"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: **Separate quarters including restroom facilities, showers, cubicles, scale, televisions, couch, food services, etc.**

18. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by **Bill Westerman on March 27, 2007.**

B. Number of rooms used for housing on the backstretch of the racetrack: **Approximately 450**

C. Number of restrooms available on the backstretch of the racetrack: **77 including: 155 toilets, 81 urinals and 167 sinks.**

D. Estimated ratio of restroom facilities to the number of backstretch personnel: **Minimum of 1 to 10 including non-resident personnel.**

19. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: **Main Track - 1 mile & 1/8 - 5,940 feet, Turf Track - 1 mile 165 feet - 5,445 feet**

B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. **Main Track - aluminum gooseneck post, aluminum railing, as well as aluminum safety panels covering the gooseneck post. Turf Course - inner rail - Fontana turf rail 40" in height, outer rail - metal uprights.**

C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Dennis Moore**

- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **See below:**
It is anticipated that we will have one break during morning training when the track will be harrowed with the Track Master harrows to a depth of 2 ½ inches. After training the track will again be harrowed to a depth of 2 ½ inches in preparation for racing. The track will be harrowed only when necessary between races. At the conclusion of the racing program the same procedures that are used after training are employed to prepare the track for the following morning. Additionally when necessary the track maybe power harrowed, rolled and/or watered.
- E. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

20. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. **To be submitted under separate cover.**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On File.**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

21. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

EWAL WYATT
Print Name

[Signature]
Signature

Gen Mgr
Print Title

8/7/07
Date