



**racine associations and racing fairs conducting racing in the Central and Southern zones** for the period commencing January 1, 2020 through December 31, 2020 as permitted under Business and Professions Code section 19604(f)(S)(E).

9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club (T) at Santa Anita Park Race Track**, commencing December 18, 2019 through June 23, 2020, inclusive. (Note: Opening Day is December 26, 2019.)
10. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Los Angeles Turf Club (LATC) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by LATC its December 18, 2019 through June 23, 2020 race meeting at the Santa Anita Racetrack.**
11. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Racing Association (T) at Los Alamitos Race Course**, commencing December 4, 2019 through December 17, 2019, inclusive.
12. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Los Alamitos Racing Association (LARA) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by LARA at its December 4, 2019 through December 17, 2019 race meeting at the Los Alamitos Race Course.**
13. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H) at Cal Expo**, commencing December 26, 2019 through May 3, 2020.
14. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Watch and Wager LLC and the California Harness Horsemen Association (CHHA), regarding entry conditions and specified drug substances or medications to be implemented by Watch and Wager LLC at its December 26, 2019 through May 3, 2020 race meeting at the Cal Expo Racetrack.**
15. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1481, Occupational Licenses and Fees**, to require licensure of officers, directors, partners or any individual who holds 5% or more of the outstanding shares of an advance deposit wagering provider (ADW), mini-satellite wagering or exchange wagering provider; **CHRB Rules 2071, License to Conduct Advance Deposit Wagering by a California Applicant and Rule 2072, Approval to Conduct Advance Deposit Wagering by an Out-of-state Applicant**, to revise CHRB Forms 132, Application to Conduct Advance Deposit Wagering and 133,

Application for Approval to Conduct Advance Deposit Wagering, to capture CHRB license information for specified persons; clarify the term of license for ADW providers and collect financial information, including profit and loss statements, specific to entities' California operations. (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented.)

16. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1660.1, Claimed Horse Health Record**, to require pertinent medical information from a horse claimed in a claiming race be transferred from the horse's former attending veterinarian to the horse's new attending vet on the Claimed Horse Health Record (CHRB-245) form. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
17. Discussion and action by the Board regarding the **permanent adoption of the existing emergency regulation, CHRB Rule 1435, Suspension of License to Conduct a Race Meeting**, which allows the Board to suspend a race meeting license when necessary to protect the health and welfare of the horse or rider.
18. Discussion and action by the Board regarding the **proposed addition of CHRB Rule 1503.5, Continuing Education for Trainers and Assistant Trainers**, to require continuing education as a condition of renewal of license for Trainer or Assistant Trainer.
19. Discussion and action by the Board regarding the proposed addition of **CHRB Rule 1688, Use of Riding Crop**.
20. Report from the **Race Dates Committee**.
21. Discussion and action by the Board regarding allocation of **2020 Southern California Quarter Horse race dates**.
22. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Quarter Horse Racing Association (QH) at Los Alamitos Race Course**, commencing (dates to be determined).
23. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Los Alamitos Quarter Horse Racing Association (LAQHRA) and the Pacific Coast Quarter Horse Association (PCQHRA), regarding entry conditions and specified drug substances or medications to be implemented by LAQHRA at its (dates to be determined) race meeting at the Los Alamitos Racetrack**.
24. Discussion and action by the Board regarding allocation of **2020 Northern California race dates**.

25. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing (dates to be determined).
26. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Pacific Racing Association (PRA) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by PRA at its (dates to be determined) race meeting at the Golden Gate Fields Racetrack.**
27. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
28. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Amanda Drummond.

**CALIFORNIA HORSE RACING BOARD**

Madeline Auerbach, Vice Chairman  
Dennis Alfieri, Member  
Gregory L. Ferraro, DVM, Member  
Oscar Gonzales, Member  
Fredric Maas, Member  
Wendy Mitchell, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Los Alamitos Race Course, Finish Line Room, 4961 Katella Avenue, Los Alamitos, California, on September 19, 2019.**

Present: Madeline Auerbach, Vice-Chairman  
Alex Solis, Member  
Fredric Maas, Member  
Dennis Alfieri, Member  
Gregory Ferraro, Member  
Oscar Gonzales, Member  
Wendy Mitchell, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director  
John McDonough, General Counsel

**APPROVAL OF THE MINUTES OF AUGUST 22, 2019**

---

Vice-Chairman Auerbach asked for approval of the minutes of the Regular Meeting of August 22, 2019. Commissioner Solis **motioned** to approve the minutes. Commissioner Alfieri **seconded** the motion, which was **passed** five to zero with two abstentions. Roll call vote: Aye: Ferraro, Solis, Auerbach, Maas, Alfieri. Nay: None. Abstain: Mitchell, Gonzales. Motion carried.

**EXECUTIVE DIRECTOR'S REPORT.**

---

Executive Director Rick Baedeker reported the Del Mar Thoroughbred Club had the same number of race days for its summer 2019 race meet, but seven percent fewer races, as in summer 2018. The number of starters decreased from 2,821 to 2,372, or 16 percent. Average daily handle was down 10.35 percent. He stated total handle for the industry was down 4.62 percent in August 2019 compared to 2018, with daytime and nighttime handles down 4.5 and 5.6 percent, respectively. Year-to-date, total handle was down 10.57 percent, with daytime and nighttime handles down 10.8 and 8.0 percent, respectively.

Executive Director Baedeker reported that the election of a new Chairman would be placed on the October 2019 agenda. Executive Director Baedeker reported that the Governor's Panel, which had been in place since June 9, 2019, had reviewed every entrant for every day of racing. Some horses were scratched, and others were designated for increased veterinary examination. Commissioner Mitchell asked how the expansion of the program conducted by the Governor's Panel would impact the Board's budget. Executive Director Baedeker said the Board was exploring the issue with the Business, Consumer Services and Housing Agency (Agency). Commissioner Gonzales stated he suggested the Board share the details of the program with the Breeders' Cup in the hope that a similar one be implemented. Executive Director Baedeker said that for the Breeders' Cup, the Board relocated investigators to the Breeders' Cup track, had additional official veterinarians representing the Board, and reassigned safety stewards to the supervision of Darrel McHargue.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ALAMITOS RACING ASSOCIATION, FROM ITS 2018 RACE MEETINGS, IN THE AMOUNT OF \$7,717, TO FOUR BENEFICIARIES.**

---

Rick English, Los Alamitos Racing Association, requested approval to distribute \$7,717 in charity proceeds from its 2018 race meetings among four industry-related charitable organizations. Commissioner Ferraro **motioned** to approve the distribution of \$7,717 in race day charity proceeds of the Los Alamitos Racing Association from its 2018 race meetings to four beneficiaries. Commissioner Maas **seconded** motion, which **passed** six

to zero with one abstention. Roll call vote: Aye: Mitchell, Ferraro, Solis, Maas, Alfieri, Gonzales. Nay: None. Abstain: Auerbach. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19604(t)(5)(E), TO ALTER THE DISTRIBUTION OF MARKET ACCESS FEES FROM CALIFORNIA RESIDENTS ADVANCED DEPOSIT WAGERING (ADW) PLACED ON ALL LIVE AND IMPORTED RACES HOSTED BY THE SIGNATORY RACING ASSOCIATIONS, BY THE CREATION OF AN ADDITIONAL DEDUCTION TO BE USED TO FUND A CO-OP MARKETING PROGRAM.**

---

Mike Ernst, Del Mar Thoroughbred Club (DMTC), stated that at the May 2019 Regular Board meeting, the Board approved the request from the Thoroughbred Owners of California, DMTC, and other stakeholders to provide a deduction from advance deposit wagering (ADW) for certain programs, such as stakes and horse recruitment, significant player incentive rebates, and online and social media programs, including Cal Racing Cares. He said the original agreement provided funding for both DMTC's summer and fall race meetings. He stated that at the recently concluded fall meet, DMTC's horse recruitment efforts were successful, which allowed DMTC to run its full 36 days. Accordingly, its horse recruitment costs increased above its original projections. Therefore, DMTC was requesting to modify the ADW deduction for its fall meet only to support the increased costs. He said DMTC was requesting to change the ADW deduction from the previously approved 0.16 percent to 0.68 percent for its fall meet only to pay for the increased costs. Commissioner Maas **motioned** to approve the additional deduction. Commissioner Ferraro **seconded** the motion, which was **passed** six to one with one abstention. Roll call vote: Aye: Mitchell, Ferraro, Solis, Maas, Alfieri, Gonzales. Nay: None. Abstain: Auerbach. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING NOVEMBER 6, 2019 THROUGH DECEMBER 3, 2019, INCLUSIVE.**

---

Jacqueline Wagner, Assistant Executive Director, stated the Del Mar Thoroughbred Club (DMTC) submitted an application to operate a race meeting at Del Mar commencing November 6, 2019 through December 3, 2019, inclusive. She said DMTC had submitted its race meet agreements with the California Thoroughbred Trainers and the Thoroughbred Owners of California, which were listed as outstanding items in the staff analysis. Joe Harper, Del Mar Race Track, stated that although numbers were down in several categories for DMTC's summer 2019 meet, it was probably the best meet in terms of safety. Tom Robbins, DMTC, said because of the medication changes, additional veterinary scrutiny, and Governor's Panel, DMTC's summer 2019 meet was one of its safest ever. He stated there would be 15 days of racing for the fall 2019 meet, beginning November 8, 2019, with closing day on December 1, 2019. There would be approximately 350 to 400 horses, which would be able to come in during the Breeders' Cup weekend. DMTC was expected to run eight to nine races for each race day. David Jerkins, DMTC, said there would be 14 stakes races in 15 days, highlighted by the popular Turf Festival. The two Grade 1 races included the Grade 1 Hollywood Derby and the Grade 1 Matriarch. Overnight purse levels would be similar to those during the fall 2018 meet, which were among the highest nationwide at that time of year. DMTC was actively pursuing out-of-state interests for the fall 2019 meet via its Ship and Win Program. Craig Dato, DMTC, stated there would be promotions every weekend focused on occasional visitors and newcomers, including concerts, wine and beer festivals, charity events, and a holiday shopping event. DMTC aggressively marketed to its big betters and regulars, having

found they responded well to handicapping contests and food and beverage discounts, the latter of which would be offered to horsemen as well. He said DMTC met with every news outlet in San Diego prior to the summer meet to share the changes made to increase safety for the horses and riders. Commissioner Ferraro asked how DMTC's Ship and Win Program affected field size. Mr. Jerkins stated that for the summer 2019 meet, the average field size was roughly eight horses per race. Valerie Traina, peaceful protestor, said counter protesters at Del Mar tried to provoke protesters via aggressive confrontations. Martha Sullivan, San Diego County resident, stated that the food and beverage service profit at the San Diego County Fair was wiped out by the DMTC summer 2019 meet. Horse racing had a significant negative impact on revenues at the county fair district. Toni Falcone, animal rights activist, said five horses were known to have died at the DMTC summer 2019 meet. Executive Director Rick Baedeker stated there were no fatalities following the formation of the Governor's Panel. Ms. Falcone said she requested a license not be granted to DMTC for the fall 2019 season. Ellen Ericksen, San Diego animal rights activist, stated there were aggressive counter protesters at the DMTC summer 2019 meet. The three decades prior, there were no counter protesters. She welcomed counter protesters but asked that they remain non-threatening. Oscar de la Torre, counterdemonstrator, said people in the industry all cared about the horses, and the counterdemonstrators were fighting for workers' rights. Cliff Goodrich, California Thoroughbred Horsemen's Foundation (CTHF), stated CTHF provided healthcare for backstretch workers. He said animal rights groups did not acknowledge the progress the industry had made in terms of safety. Terri Bingham, San Diego resident, stated the people who cared most about horses were the owners and trainers. Vice-Chairman

Auerbach asked if the Local 280 contract that was expiring on October 31, 2019, had been renewed. Ms. Wagner said no. Jerry Jamgotchian, horse owner, asked that the Board seek independent analysis of the costs associated with the Ship and Win Program because it might not be economically feasible. Commissioner Mitchell asked how DMTC would ensure safety for protesters during its fall 2019 meet. Mr. Harper stated the San Diego County Sheriff's Department would be present, in addition to security at the track. There were also metal detectors in the concert area. He said DMTC would be glad to provide financial data regarding its Ship and Win Program. Commissioner Solis **motioned** to approve the DMTC application to conduct a horse racing meeting commencing November 6, 2019 through December 3, 2019, inclusive. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Mitchell, Ferraro, Solis, Auerbach, Mass, Alfieri, Gonzales. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE DEL MAR THOROUGHBRED CLUB (DMTC) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE DMTC AT ITS NOVEMBER 6, 2019 THROUGH DECEMBER 3, 2019 RACE MEETING AT THE DEL MAR RACETRACK.**

Robert Brodnik, CHRB Staff Counsel, stated that Rule 1581, Racing Secretary to Establish Conditions, allowed the racing secretary to establish conditions for any race, including instances where the racing secretary wished to condition the race based on the horse's use or non-use of a medication or substance. If the horsemen and the racing association wanted to reduce the usage of medication or substances, they needed approval from the Board to set a reduced level of allowable medication or substances,

and then they could direct the racing secretary to condition the race based on that level. Mr. Brodник said that, since March 2019, all racing associations had come to the Board with approvals from the Thoroughbred Owners of California (TOC) to ask for a reduction in the amount of Lasix (furosemide) allowed to be administered to horses. Current Board regulation allowed for the administration of a maximum 500 milligrams. The TOC and the racing associations agreed to reduce the maximum to 250 milligrams. The agreement between the TOC and the racing associations also required that any horse that received an intraarticular injection be placed on a mandatory 14-day stand-down, during which that horse was ineligible to race. This agreement between the TOC and the racing associations was more strict than existing Board regulations, and Rule 1581 allowed the racing secretary to condition races to accommodate the agreement. The Del Mar Thoroughbred Club (DMTC) sought approval from the Board to keep this agreement between the DMTC and the TOC in place. Vice-Chairman Auerbach said that the Board's regulations allowed a higher level of Lasix than the level allowed under the agreement between DMTC and TOC. To respond to an industry crisis that occurred in March 2019, the Board was allowing the agreement to continue to restrict the amount of Lasix administered to below current allowable levels. Commissioner Mitchell stated that the administration of Lasix was a significant issue to be resolved in the horse racing industry. She asked what could be done to address the problem in a more permanent way. Executive Director Rick Baedeker said that a rule change to reduce the maximum amount of allowable Lasix from 500 milligrams to 250 milligrams was going out for public comment. The proposed amendment would also prohibit the administration of any amount of Lasix to the foal crop of 2018. The rules were currently going through the rulemaking

process, and after a 45-day notice period, it would be brought before the Board for approval. Once the Office of Administrative Law approved the amendment, there would be no administration of Lasix in two-year-old horses, and all other Lasix administration would be restricted to 250 milligrams. Commissioner Maas **motioned** to approve the agreement, pursuant to Board Rule 1581, between the DMTC and the TOC, regarding entry conditions and specified drug substances or medications to be implemented by the DMTC at its November 6, 2019 through December 3, 2019 race meeting at the Del Mar Racetrack. Commissioner Alfieri **seconded** the motion, which **passed** six to zero with one abstention. Roll call vote: Aye: Alfieri, Maas, Auerbach, Solis, Ferraro, Mitchell. Nay: None. Abstain: Gonzales. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN WATCH AND WAGER LLC AND THE CALIFORNIA HARNESS HORSEMEN ASSOCIATION (CHHA), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY WATCH AND WAGER LLC AT THE CAL EXPO RACETRACK.**

Executive Director Rick Baedeker stated the item was discussed at previous Board meetings, and Dr. Rick Arthur, CHRB Equine Medical Director, testified that the component of the 14-day stand-down on corticosteroids was not applicable or necessary for harness racing. Christopher Schick, Watch and Wager (WAW), stated WAW reached an agreement with the California Harness Horsemen's Association (CHHA) pursuant to Rule 1581, Racing Secretary to Establish Conditions. He said WAW was requesting the Board approve a reduction in Lasix for its upcoming race season from ten ccs to five ccs. He stated WAW and CHHA were against the 14-day stand-down rule for Standardbred

racing, so they did not have an agreement to bring forward to the Board regarding that provision. Dr. Arthur said the difference between harness and running horses was that harness horses had two feet on the ground at all times, so the musculoskeletal racing fatality rate was miniscule compared to running horses. Commissioner Alfieri **motioned** to approve the agreement. Commissioner Maas **seconded** the motion, which was **passed** six to one with one abstention. Roll call vote: Aye: Alfieri, Maas, Auerbach, Solis, Ferraro, Mitchell. Nay: None. Abstain: Gonzales. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1467, PAYMASTER OF PURSES, TO DELETE THE OPTION ALLOWING THOROUGHBRED HORSE OWNERS TO OPT OUT OF THE 0.3 PERCENT DEDUCTION TO THE CALIFORNIA RETIREMENT MANAGEMENT ACCOUNT (CARMA), MAKING THE CARMA DEDUCTION MANDATORY.**

---

Jacqueline Wagner, Assistant Executive Director, said the proposed amendment to Rule 1467, Paymaster of Purses, would delete the option allowing thoroughbred horse owners to opt out of the 0.3 percent deduction to the California Retirement Management Account (CARMA), making the CARMA deduction mandatory. Lucinda Lovett, CARMA, stated CARMA was founded in 2007 as a nonprofit charitable organization created to raise money for retired California thoroughbred racehorses. She said the proposed amendment was important because CARMA was losing a significant portion of its funding, as matching contributions from certain associations were also lost when owners opted out of the deduction. Matching funds from Santa Anita Park exclusively funded the CARMA Placement Program, which took horses directly from California racetracks and provided retirement options for them. She said the deduction was small. For example, a \$35,000 purse in southern California would have a first-place contribution to CARMA of \$63.

Darrell Haire, Jockeys' Guild, stated that the jockeys were glad to contribute to CARMA. Jack Liebau, Los Alamitos Racing Association, said CARMA provided a needed service. He stated it would be a good idea for the Board to ask for the Attorney General's opinion as to whether the deduction was a tax. Greg Avioli, Thoroughbred Owners of California (TOC), said the TOC Board unanimously supported the mandatory 0.3 percent deduction. Commissioner Ferraro stated he fully supported CARMA, but he doubted the legality of a mandatory takeout to a single charity, and he had an ethical problem with requiring people to donate to a charity that they might not support. Jerry Jamgotchian, horse owner, said he wanted to make sure an out-of-state horse owner would not be subject to the deduction. Heather Detert, horse racing protester, stated donating to horse foundations was the decent thing to do. Dr. Andrew Lesser said the CARMA deduction should be mandatory. Commissioner Maas asked if CARMA would survive without a mandatory contribution. Ms. Lovett stated it would be difficult. Commissioner Maas asked how many organizations similar to CARMA were in California. Ms. Lovett said CARMA granted to 22 nonprofits in 2018. Commissioner Gonzales stated that other sources of revenue should be explored. He did not believe that the Board, as a regulatory body, should have the role of deciding where horsemen's hard-earned dollars go. Robert Brodnik, CHRB Staff Counsel, said there was no section found in the Business and Professions Code that precluded the mandatory deduction. Also, out-of-state owners would be treated the same as in-state owners. Ms. Lovett stated CARMA conducted a lot of outside fundraising and operated on a budget of under \$1 million. Commissioner Alfieri **motioned** to postpone a decision on the proposed amendment to Rule 1467 until the October 2019 Board meeting.

Commissioner Gonzales **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Maas, Solis, Ferraro, Mitchell. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1503, QUALIFICATIONS FOR LICENSE AS TRAINER OR ASSISTANT TRAINER, TO REQUIRE THAT INDIVIDUALS HOLD AN ASSISTANT TRAINER LICENSE IN GOOD STANDING, FOR AT LEAST ONE YEAR AS A PERQUISITE FOR A TRAINERS LICENSE.**

---

Robert Brodник, CHRB Staff Counsel, stated that the proposed amendment to Rule 1503, Qualifications for License as Trainer or Assistant Trainer, would create a requirement for assistant trainers to hold an assistant trainer license in good standing for one year as a prerequisite for obtaining a trainer's license. The amendment would require assistant trainers to complete an apprenticeship-like training period. Executive Director Rick Baedeker said that currently, trainers passed the trainer examination and could be immediately licensed. The proposed amendment required aspiring trainers to complete a one-year apprenticeship by working for a Board-licensed trainer. Commissioner Gonzales asked if the trainer license held by someone from another state would be recognized in California. He stated he was concerned about potential ways in which trainers could avoid California licensing requirements by applying for licensure out of state, where requirements were laxer. That person could then take advantage of California's reciprocity with regards to trainer licenses. He said he wanted to ensure there was an extra layer of scrutiny in place for those with out-of-state trainer licenses to verify that, if they had not served a one-year apprenticeship, they had some way to prove they had the necessary horsemanship skills to pass the trainer examination, and the stewards and other Board officials could readily intervene in the event that their skills were wanting. Dr.

Rick Arthur, CHRB Equine Medical Director, stated that California did have license reciprocity with other states. Trainers who failed the trainer examination in California and sought licensure in a state where requirements were lax was a realistic concern, though occurrences of that behavior were uncommon. He said he thought the more urgent issue was trying to make sure trainers had the experience and horsemanship appropriate to make them capable to train horses. Chief Steward Darrel McHargue stated there was a provision in Rule 1503 that provided that if a trainer had held a trainer license in another jurisdiction for a minimum of one year in good standing and sought a California trainer license, they were required to appear before the Board of Stewards, who could subject him or her to any portion of the trainer examination. A candidate who did not pass the examination would not be granted a California license. If the candidate had held a trainer license for at least a year in another jurisdiction and sought a California trainer license, the candidate would need to appear before the Board of Stewards and present documentation proving the out-of-state license was held for at least a year. Commissioner Mitchell **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1503. Commissioner Solis **seconded** the motion, which was **passed** five to zero with one Commissioner absent for vote. Roll call vote: Aye: Ferraro, Mitchell, Solis, Gonzales, Alfieri. Nay: None. Motion carried.

#### REPORT FROM THE **MEDICATION, SAFETY AND WELFARE COMMITTEE.**

Commissioner Ferraro reported on the Medication, Safety and Welfare Committee meeting held on September 18, 2019. Dennis Moore, Track Maintenance Supervisor, presented his methods and analysis of various tracks and how he intended to keep the

tracks in order in the coming year. The committee discussed how to determine when and how the Board would cease racing under times of stress, when the track was not at its best, regardless of whether a process had been developed. The committee agreed that the process of ceasing a race meet was unclear, and there should be a process in place so that decisions could be made fairly and quickly. The committee agreed to hold industry discussions to seek a clearer understanding of how the Board would implement track closures. The second item discussed was the annual fitness testing for jockeys. He said representatives for Post Time Workers' Compensation came forward with an interesting proposal about combining a fitness test with the annual physical. The committee agreed to pursue the item. The third item discussed was mandatory continued education for trainers and assistant trainers. He stated requiring 12 hours of continuing education over a three-year period for license renewals for trainers was approved by the committee. He said if the Board was going to make continuing education mandatory, the Board would have to be able to provide that education. He stated that with the assistance of Dr. Rick Arthur, CHRB Equine Medical Director, the Board would develop a continuing education program for trainers that would be presented in periodic teaching sessions held at the racetrack or venues where trainers could attend.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1503.5, CONTINUING EDUCATION FOR TRAINERS AND ASSISTANT TRAINERS, TO REQUIRE CONTINUING EDUCATION AS A CONDITION OF RENEWAL OF LICENSE FOR TRAINER AND ASSISTANT TRAINER.**

---

This item was removed from the agenda.

**REPORT FROM THE RACE DATES COMMITTEE.**

---

Executive Director Rick Baedeker stated that Los Alamitos Racing Association (LARA) asked that the allocation of the last week of December be reconsidered by the Board. Jack Liebau, LARA, said LARA had requested that the Board allocate it a third week of racing in December, which would end on December 20, 2020. He stated it was reported at the Race Dates Committee meeting that December 13 was the earliest that racing had stopped in California in the last ten years. Allocating LARA a third week of racing would allow it to card various stakes races, namely the Starlet, the Futurity, and two Cal-bred races. The Starlet was a Grade 1, and the Futurity was a Grade 2. With only two weeks of racing at Los Alamitos Race Course, there would not be enough purse generation to enable the races. He stated that the allocation of an extra week to LARA would allow for more pari-mutuel jobs. He said LARA thought all of the racing dates for 2020 should be reconsidered. He stated he felt there should have been a Race Dates Committee meeting prior to the allocation of all the 2020 dates, and the notice provided for the Races Dates Committee was insufficient because LARA had less than 48 hours' notice. Greg Avioli, Thoroughbred Owners of California (TOC), said the TOC's position was that the two weeks LARA was awarded were appropriately awarded, and there were no violations of any rule or statute during the date allocation process. At the committee meeting, there was a two-hour debate on the date allocation where all concerned parties had a chance to speak. He stated the racing industry had essentially the same calendar for 2016, 2017, 2018, and 2019. The reason for the similar yearly calendar was that the TOC, the Stronach Group, Del Mar, and Los Alamitos agreed on both stabling and race dates for that period. This was known as the four-party agreement. The current four-party

agreement would end in February 2020. In February 2019, the TOC and LARA negotiated a new two-year agreement for stabling and vanning for 2020 and 2021. This agreement did not obligate any parties to support any racing calendar. Mr. Avioli said the racing calendar agreed upon at the Race Dates Committee meeting had significantly less racing than during the last three decades and was supported by the industry and the Board.

**DISCUSSION AND ACTION BY THE BOARD REGARDING 2020 UNALLOCATED SOUTHERN CALIFORNIA RACE DATES, INCLUDING QUARTER HORSE DATES.**

Greg Avioli, Thoroughbred Owners of California (TOC), stated TOC supported accommodating the request of Los Alamitos Racing Association (LARA) that one of the dark weeks in December that had previously been allocated to Santa Anita be transferred to LARA as a fifth week of racing. Mr. Avioli clarified the two weeks in June allocated to LARA would be thoroughbred dates, and the three weeks in December would be defined as fair weeks to satisfy the statutory requirement. Dr. Ed Allred, LARA, said TOC's position was a step in the right direction, but that did not mean LARA was happy with the rest of the dates. He stated he would like to revisit the fair dates, which historically were in September. However, he did appreciate the extra week, as it would make it easier for the quarter horse meet to finish the year. John Valenzuela, Local 280, said Local 280 supported readdressing Dr. Allred's request to revisit the fair dates. Terri Bingham, horse trainer, said the Arabian breed kept the fairs alive. She asked where horses could be stabled from September to December if the fair dates were moved to December. She said it would be nice to have the three weeks in September because they were part of the fair circuit. Alan Balch, California Thoroughbred Trainers (CTT), stated CTT believed stabling

was linked to the dates. CTT had always taken the position that the racing dates should be looked at as a whole because of the horse population and the way racing had evolved in California, including fair racing, fair dates, and the compatibility and synergy of north and south. He said CTT strongly believed the three weeks after Labor Day should be fair dates as they had been historically. He stated he supported taking 30 days to really review and come to a consensus on the race dates. Greg Avioli, TOC, said he wanted to make it clear that the dates at LARA were not actually fair dates. He stated the Fairplex fair ended years ago, and LARA basically bought the rights to run the fair dates. Dr. Allred said LARA did not get a proper voice in the race dates discussions, and LARA wanted a proper voice. He asked for the Board to give LARA another month to review the proposals. Commissioner Gonzales said he advocated for taking another 30 days to look at the proposals. Commissioner Mitchell asked if the proposal resulted in less racing days. Vice-Chairman Auerbach said yes. There were fewer racing days because there was a smaller horse population. Michael Brown, Arabian Racing Association of California, stated he supported putting the item over. Commissioner Gonzales **motioned** to put over approval of the race dates for 30 days. Commissioner Mitchell **seconded** the motion, which **failed**. Roll call vote: Aye: Mitchell. Nay: Ferraro, Solis, Auerbach, Maas, Alfieri. Motion failed. Mr. Brown stated he was requesting the Board reallocate the race dates for the fair at Los Alamitos to September. He said splitting up the fair race dates would result in horses leaving and not returning for the final race in Fresno. Commissioner Ferraro **motioned** to approve the race dates to Los Alamitos, June 24, 2020 through July 5, 2020; and Fairplex at Los Alamitos, December 2, 2020 through December 22, 2020. Commissioner Alfieri

**seconded** the motion, which **carried** five to one with one abstention. Roll call vote: Aye: Ferraro, Solis, Auerbach, Maas, Alfieri. Nay: Mitchell. Abstain: Gonzales. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING ALLOCATION OF 2020 NORTHERN CALIFORNIA RACE DATES, INCLUDING HARNESS RACE DATES.**

Larry Swartzlander, California Authority of Racing Fairs (CARF), stated CARF had proposed a tentative program for stabling and race dates, and The Stronach Group tentatively accepted it. He said there was no agreement regarding the movement of race dates in June 2020. Vice-Chairman Auerbach asked if all of the relevant parties would be able to reach an agreement before the October 2019 Board. Mr. Swartzlander said there was agreement on stabling and on the Humboldt issue. The discussion was on moving the calendar forward one week. Eric Sindler, Golden Gate Fields (GGF), stated there was no agreement. GGF was looking at the proposal. Commissioner Maas **motioned** to move the agenda item to the October 2019 Board meeting so that an agreement could be reached between all relevant parties regarding the northern California race dates. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Mitchell, Ferraro, Solis, Auerbach, Maas, Alfieri, Gonzales. Nay: None. Motion carried. Christopher Schick, Watch and Wager Harness (WAW), said WAW's request for 2020 race dates was unopposed because there was no other operator. The proposed dates were consistent with those from past years. WAW would be conducting a split meet, from December 26, 2019 through May 3, 2020, and then October 30, 2020 through December 24, 2020. Rick Pickering, California Exposition and State Fair (Cal Expo), stated that the agreement between WAW and Cal Expo was set to expire in 2020, and

the two parties were currently negotiating a five-year extension. Commissioner Solis **motioned** to approve the 2020 northern California harness race dates calendar proposed by WAW. Commissioner Mass **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Mitchell, Ferraro, Solis, Auerbach, Maas, Alfieri, Gonzales. Nay: None. Motion carried.

## **PUBLIC COMMENT**

---

Michael Pirrung, horseplayer and handicapper, stated he was interested in the future of race-day medications. From the standpoint of a horseplayer, he cared more about field size than race days. He said that, given California's declining horse population, any action by the Board that might enable a large field size when dealing with issues like race-day medication would be much appreciated. Ellen Ericksen, activist from San Diego, stated she was concerned about the health and safety of horses in the horse racing industry. She said the Horse Racing Integrity Act that came before the Senate in 2019 was insufficient to deal with the problems she believed were plaguing the horse racing industry. Vivian Pulido-Prince, activist, stated she was concerned about horses being sent to slaughter outside of the United States after retirement from horse racing and about the cost of care after retirement. Jerry Jamgotchian, horse owner, said the mission of the Board was to ensure the integrity, viability, and safety of the California horse racing industry, and he urged the commissioners to embrace that mandate. He also asked Executive Director Rick Baedeker to disclose information related to a case of positive testing for scopolamine in California. Executive Director Baedeker said that would be in violation of California Law, specifically Business and Professions Code section 19577,

and the disclosure regarding Justify was made by a third party, not the CHRB. Martin Valenzuela, trainer and owner, stated that backstretch workers, trainers, and owners did everything they could to care for their horses with the utmost love and respect. Heather Detert, Arcadia resident, said the Association of Racing Commissioners International (RCI) cited violations of regulations on their website. Ms. Detert voiced her concern about the welfare of horses in light of these violations. She said the concern for a loss of jobs if the horse racing industry were to cease to exist were unfounded. She stated that when greyhound racing ceased operation, many lost jobs but ultimately moved on to do other things. Patty Shenker, Los Angeles resident, said she was concerned about the safety of race horses, and the Board should use Senate Bill 469 to protect the safety of race horses and prevent horse deaths. She stated she had concerns about secrecy and transparency regarding Board proceedings and horse deaths. Elvia Sedano, Los Angeles resident, said she was concerned about the treatment of positive tests for scopolamine in the Justify case. Casey Phillips, Eclipse Sportswire, stated she understood the Board's actions in response to the positive test for scopolamine in the Justify case and voiced opposition to shutting down the horse racing industry. Patricia Folgar, citizen, said she was concerned about gambling addiction in horseplayers and urged the Board to consider the families of those affected by gambling addiction. Martha Sullivan, citizen, stated she agreed with columnist Bryce Miller that the Board needed to be rethought and reshaped, and she urged the Board to keep this in mind when electing a new Chairman at the next Regular Board Meeting. She said she hoped to see further discussions about transparency. Kelly Brinkerhoff, horse owner, stated owners and backstretch workers cared deeply for the horses in their care, and to say otherwise was a mischaracterization. Toni Falcone, animal

rights activist, said she was concerned about horses being euthanized after a race-related injury and that more was not being done to protect the lives of race horses. John Valenzuela, Local 280, said Local 280 was there to support California Labor and the 17,000 union jobs in California. He said he supported the thoroughbred racing industry and its economic contributions. April Montgomery, animal rights activist, stated she advocated for the banning of horse racing in California. She stated she was concerned about racing industry horse deaths, and Senate Bill (SB) 469 did not do enough to protect horses. Scarlet Timphony, We Support Horse Racing, said California was the gold standard for drug testing and equine health research. She stated that owners and trainers did not gain from horse deaths, and the California racing industry supported anti-slaughter legislation. Efrain Cordero, exercise rider and horseshoer, said backstretch workers loved horses, and the horse racing industry provided needed jobs. Oscar de la Torre, backstretch worker, stated backstretch workers supported reform that protected horses, but he wanted to make sure workers were looked after. He said backstretch workers loved their jobs, which were often generational, creating a culture of horsemanship in families. He stated thousands of families would be negatively impacted by a ban on horseracing, not only from loss of income, but loss of housing, medical clinics, and scholarships. Dr. Andrew Lesser, Redondo Beach resident, stated he was disappointed the public comment section of the Regular Board Meeting was moved to the end of the meeting. He said he was a proud member of Horseracing Wrongs and agreed that even one horse death was an unacceptable loss. Valerie Traina, independent activist, stated she was concerned about horse deaths and the methods employed for counting horse deaths.

**MEETING ADJOURNED AT 2:57 P.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

---

Chairman

---

Executive Director

CALIFORNIA HORSE RACING BOARD

NOVEMBER 21, 2019  
REGULAR BOARD MEETING

**There is no board package material for Item 2**

CALIFORNIA HORSE RACING BOARD

NOVEMBER 21, 2019  
REGULAR BOARD MEETING

**There is no board package material for Item 3**

**STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF  
RACE DAY CHARITY PROCEEDS OF LOS ALAMITOS QUARTER HORSE RACING  
ASSOCIATION, FROM ITS 2018 RACE MEETING, IN THE AMOUNT OF \$23,812, TO  
FIVE BENEFICIARIES**

Regular Board Meeting  
November 21, 2019

**ISSUE**

Los Alamitos Quarter Horse Racing Association (LAQHRA) is requesting approval to distribute race day charity proceeds generated at its 2017-2018 racing meet from December 29, 2017 to December 16, 2018. From the net race day charity proceeds LAQHRA is proposing a total distribution of \$23,812. The charitable organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proceeds will be given to five horse racing related organizations.

**BACKGROUND**

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

**RECOMMENDATION**

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

CHRB ANALYSIS

Los Alamitos Quarter Horse Racing Association 2017-2018 Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Permanently Disabled Jockeys Fund *	\$ 6,000.00	25%	minimum of 20%	d
2	CARMA*	5,500.00	23%		
3	Quarter Horse Benevolent Charity Foundation*	1,500.00	6%	minimum of 5%	b
4	Winners Foundation*	8,500.00	36%	minimum of 5%	c
5	Race Track Chaplaincy (Los Alamitos)*	2,312.00	10%		
	<b>Total</b>	<b>\$ 23,812.00</b>	<b>100%</b>		<b>e</b>
	Notes				
	<b>* Associations related to horse racing industry</b>	*30% to charities associated with the horse racing industry (B&P 19556(b))			
	<b>b</b>	5% to welfare fund (19556 (b)) described in subdivision (b) of Section 19641			
	<b>c</b>	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(B&P 19556 (b))			
	<b>d</b>	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	<b>e</b>	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			



QUARTER HORSE RACING ASSOCIATION

July 5, 2019

Ms. Rick Baedeker  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

The statutory charitable contribution from our 2018 race meet was \$23,812. The meet ran from December 29, 2017 to December 16, 2018. Accordingly, we respectfully request CHRB approval at the September 26, 2019 regular meeting to make the following distributions:

Permanently Disabled Jockeys Fund	\$ 6,000
CARMA	5,500
Quarter Horse Benevolent Charity Foundation	1,500
Winners Foundation	8,500
Race Track Chaplaincy (Los Alamitos)	<u>2,312</u>
Total	<u>\$23,812</u>

The Permanently Disabled Jockey's Fund provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with industry and medical research groups to improve the safety of both the human and equine athlete.

The California Retirement Management Account ("CARMA") provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.

The Quarter Horse Benevolent Charity Foundation provides welfare, charitable, and educational services to persons who have been or intend to breed, raise, train, ride or care for horses.

The Winners Foundation is a support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.

The Race Track Chaplaincy of America (Los Alamitos Council, Region 1) ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.

All proposed beneficiaries are industry related charities. I would be pleased to discuss any questions or comments the Board may have.

---

Sincerely,

A handwritten signature in cursive script, appearing to read "Richard M. English". The signature is written in dark ink and is positioned above the printed name.

Richard M. English

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF  
RACE DAY CHARITY PROCEEDS OF THE LOS ANGELES TURF CLUB,  
INCORPORATED DBA AS SANTA ANITA PARK 2018-2019 WINTER/SPRING RACE  
MEETING, DECEMBER 26, 2018 TO JUNE 23, 2019, IN THE AMOUNT OF \$106,481  
TO TEN BENEFICIARIES

Regular Board Meeting  
November 21, 2019

ISSUE

Los Angeles Turf Club (LATC) is requesting approval to distribute race day charity proceeds generated at its 2018-2019 winter/spring race meet. LATC is proposing a total distribution of \$106,481. The organizations selected and amounts to be distributed are listed on the attachment. One hundred percent of the proposed charity distributions are made to horse racing industry related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

## CHRB ANALYSIS

### Los Angeles Turf Club, Inc. dba Santa Anita Park, 2018/19 Winter-Spring Meet Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (2/10 of 1% on-track, live, handle)	Notes
1	California Thoroughbred Foundation	1,405.56	1%		a
2	CARMA	8,944.48	8%		a
3	Edwin J. Gregson Foundation	15,780.61	15%		a
4	Holy Angels Church (Backside Permanent Deacon)	926.39	1%		
5	Racetrack Chaplaincy of American (Southern Ca Council)	2,076.40	2%		a
6	Southern California Equine Foundation	1,405.56	1%		a
7	United Pegasus Foundation*	1,405.56	1%		a
8	California Thoroughbred Horsemen's Foundation	12,149.58	11%	minimum of 5%	b
9	Winners Foundation	29,814.92	28%	minimum of 5%	c
10	Permanently Disabled Jockeys' Fund	32,572.80	31%	minimum of 20%	d
	<b>Total Horse Related Charities</b>	<b>\$ 106,481.86</b>	<b>100%</b>	<b>minimum of 60%</b>	<b>e</b>
	Notes:				
	<b>a</b>	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	<b>b</b>	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	<b>c</b>	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse (B&P 19556 (b))			
	<b>d</b>	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	<b>e</b>	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			



Frank De Marco, Jr., Esq.  
Vice President, Regulatory Affairs &  
Secretary and General Counsel

October 3, 2019

California Horse Racing Board  
Attention: Jackie Wagner  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Los Angeles Turf Club, Incorporated, Charity Day Proceeds

To Whom It May Concern:

Los Angeles Turf Club, Incorporated, doing business as "Santa Anita Park", has determined the beneficiaries of proceeds from Charity Days races conducted at Santa Anita Park during its 2018-2019 Winter/Sprint Meet, December 26, 2018 to June 23, 2019, in the amount of \$106,481.00.

Attached hereto is a list of the organizations selected to be the beneficiaries of the Charity Day proceeds as aforesaid, which shall be distributed to the charities hereinafter set forth, together with a statement about each recipient. Note that 100% of the distributions are to horse-related charities.

Attached hereto is the official CHRIMS Report of Handle for the said race meet period upon which this distribution is based.

Please calendar this matter for discussion and action by the California Horse Racing Board. Distributions will be made upon approval of the proposed amounts by the Board.

Should you have any questions regarding the distributions or the matter set forth herein, do not hesitate to contact the undersigned.

Very truly yours,

Frank De Marco, Jr.

FDM:ms

**Los Angeles Turf Club, Inc.**

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565



Los Angeles Turf Club, Incorporated

Distribution of Charity Days Proceeds

2019 Winter-Spring Meet (December 26, 2018 - June 23, 2019)

	Amounts	Subtotals
I. <u>Horse Related Charities - BP 19556(b) (30% minimum)</u>		
California Thoroughbred Foundation	\$ 1,405.56	
CARMA	\$ 8,944.48	
Edwin Gregson Foundation	\$15,780.61	
Holy Angeles Church (Backside Permanent Deacon)	\$ 926.39	
Race Track Chaplaincy of America (Southern California Council	\$ 2,076.40	
Southern California Equine Foundation, Inc.	\$ 1,405.56	
United Pegasus Foundation	\$ 1,405.56	\$ 31,944.56
II. <u>Welfare Fund for Backside Personnel BP 19641(b) (5% minimum)</u>		
California Thoroughbred Horsemen's Foundation	\$ 12,149.58	\$ 12,149.58
III. <u>Fund for Substance or Alcohol Abuse -BP 19556(b) (5% minimum)</u>		
Winners Foundation	\$ 29,814.92	\$ 29,814.92
IV. <u>Disabled Jockeys Fund-BP 19556(6) (20 % minimum)</u>		
Permanently Disables Jockeys' Fund	\$32,572.80	<u>\$32,572.80</u>
	<b>Total Distribution</b>	<b>\$106,481.86</b>

**Los Angeles Turf Club, Inc.**

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565

**LOS ANGELES TURF CLUB, INCORPORATED**  
**Santa Anita Park**

**I. Horse Related Charities - California B&P Code Section 19556(b); 30% Minimum distribution**

**California Thoroughbred Foundation-** CTF is a 503(c) (3) non-profit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at US Davis and maintenance of the Carleton F. Burke Memorial Library.

**CARMA** - The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

**Edwin Gregson Foundation** - A non-profit charitable foundation organized for the purpose of improving the lives of backstretch workers and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including "English as a second language," bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers and their children, including trips to Magic Mountain, Raging Waters, soccer games, baseball games and other excursions. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

**Holy Angeles Church (Backside Permanent Deacon)** - Holy Angeles church is a Catholic Church across the street from Santa Anita Park. A deacon from the church performs a weekly Mass in the recreation room at the track on Monday nights for the workers on the backside. He walks down the shed rows daily in the morning and assists the workers in an endless variety of ways. Examples of the assistance provided include counseling, organizing religious and recreational activities, the collection and distribution of clothing, help with Medicare, Medi-Cal and immigration forms, officiating at holiday gatherings at Santa Anita, etc.

**Racetrack Chaplaincy of America** - The stated mission of the organization is "The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry."

**Southern California Equine Foundation, Inc.** - SCEF is a nonprofit charitable organization providing hospital and equine ambulance services on the Southern California Thoroughbred racing circuit. Commonly known as the Equine Hospital, SCEF has a long history of service to the racing industry and the equine athlete. This is an organization "dedicated to the care of the injured horse."

**United Pegasus Foundation** ENI# 95-4497611 - UPF is a 501(c) (3) charitable organization that has become one of the largest Thoroughbred retirement, rehabilitation and adoption organizations, saving hundreds of former racehorses from an uncertain destiny by giving them a humane and deserved retirement home and providing for their care at its 27-acre ranch in San Jacinto, California. The retired Thoroughbreds are maintained in pleasant quarters and peaceful surroundings to live out the balance of their lives. This organization depends entirely upon donations for the purchase of food and other amenities required to maintain the Thoroughbreds.

**II. Welfare Fund for Backside Personnel - California B&P Code Section 1964J(b) (5% minimum)**

**California Thoroughbred Horsemen's Foundation** - CTHF is a 501(c) (3) nonprofit foundation that provides assistance to the low-income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and California Horse Racing Board recognized off-track training centers. The organization maintains three clinics throughout the state which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

**III. Fund for Substance or Alcohol Abuse - California B&P Code Section 19556(b) (5% minimum)**

**Winners Foundation** - The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race tracks who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch workers, as well as all employees of Del Mar, Golden Gate Fields, Santa Anita and the California Association of Racing Fairs.

**IV. Disabled Jockeys Fund - B&P Code Section 19556(c) (20% minimum)**

**Permanently Disabled Jockeys' Fund** - This is a statutorily mandated distribution per Business and Professions Code Section 19556 (c). It provides support to disabled jockeys, as its name implies.

**STAFF ANALYSIS**  
**DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF**  
**RACE DAY CHARITY PROCEEDS OF THE PACIFIC RACING ASSOCIATION DBA**  
**GOLDEN GATE FIELDS FOR ITS 2018-2019 WINTER/SPRING RACE MEET IN THE**  
**AMOUNT OF \$25,496 TO EIGHT BENEFICIARIES**

Regular Board Meeting  
November 21, 2019

**ISSUE**

The Pacific Racing Association, doing business as Golden Gate Fields (GGF), is requesting approval to distribute race day charity proceeds generated at its live race meetings for the period of December 26, 2018, to June 9, 2019. GGF is proposing a distribution of \$25,496. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

**BACKGROUND**

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

**RECOMMENDATION**

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

## CHRB ANALYSIS

Pacific Racing Association dba Golden Gate Fields, Charity Day Proceeds

December 26, 2018 -June 9, 2019

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (2/10 of 1% on-track, live, handle)	Notes
1	California Thoroughbred Foundation	474.23	2%		a
2	CARMA	2,279.35	9%		a
3	Edwin J. Gregson Foundation	3,916.21	15%		a
4	Neigh Sayers Foundation	359.50	1%		a
5	Racetrack Chaplaincy of American (Northern Ca Council)	619.56	2%		a
6	California Thoroughbred Horsemen's Foundation	2,909.11	11%	minimum of 5%	b
7	Winners Foundation	7,138.92	28%	minimum of 5%	c
8	Permanently Disabled Jockeys' Fund	7,799.27	31%	minimum of 20%	d
	<b>Total Horse Related Charities</b>	<b>\$ 25,496.15</b>	<b>100%</b>	<b>minimum of 60%</b>	<b>e</b>
	Notes:				
	<b>a</b>	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	<b>b</b>	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	<b>c</b>	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse (B&P 19556 (b))			
	<b>d</b>	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	<b>e</b>	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			

# Golden Gate Fields

**Frank De Marco, Jr., Esq.**  
Vice President, Regulatory Affairs &  
Secretary and General Counsel

August 29, 2019

California Horse Racing Board  
Attention: Jackie Wagner  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

---

RE: Pacific Racing Association, Charity Day Proceeds

To Whom It May Concern:

Pacific Racing Association, doing business as "Golden Gate Fields", has determined the Beneficiaries of proceeds from Charity Days races conducted at Golden Gate Fields during its 2018-2019 Winter/Sprint Meet, December 26, 2018 to June 9, 2019, in the amount of \$25,496.13.

Attached hereto is a list of the organizations selected to be the beneficiaries of the Charity Day proceeds as aforesaid, which shall be distributed to the charities hereinafter set forth, together with a statement about each recipient. Note that 100% of the distributions are to horse-related charities.

Attached hereto is the official CHRIMS Report of Handle for the said race meet period upon which this distribution is based.

Please calendar this matter for discussion and action by the California Horse Racing Board. Distributions will be made upon approval of the proposed amounts by the Board.

Should you have any questions regarding the distributions or the matter set forth herein, do not hesitate to contact the undersigned.

Very truly yours,



Frank De Marco, Jr.

FDM:st



**PACIFIC RACING ASSOCIATION**  
**Golden Gate Fields**

**I. Horse Related Charities – California B&P Code Section 19556(b); 20% minimum distribution**

**California Thoroughbred Foundation** – CTF is a 503(c) (3) non-profit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at US Davis and maintenance of the Carleton F. Burke Memorial Library.

**CARMA** – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMS also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

**Edwin Gregson Foundation** – A non-profit charitable foundation organized for the purpose of improving the lives of backstretch workers and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including “English as a second language,” bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers and their children, including trips to Magic Mountain, Raging Waters, soccer games, baseball games and other excursions. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

**Neigh Savers Foundation** – Neigh Savers creates new beginnings for retired racing Thoroughbreds by building relationships, both on and off the track, to help as many horses as possible. Through rescue, rehabilitation and retraining the organization provides the foundation needed for racing Thoroughbreds to successfully transition into new careers. Whether the horse is an Off-Track Thoroughbred (OTTB) ready to end its racing career or one that has been injured on the track or has found itself standing at an auction or on a feedlot with no other options, Neigh Savers strives to make a difference, one OTTN at a time.

**Racetrack Chaplaincy of America** – The stated mission of the organization is “The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry.”

**II. Welfare Fund for Backside Personnel – California B&P Code Section 19641(b) (5% minimum)**

**California Thoroughbred Horsemen's Foundation** - CTFG is a 501(c) (3) nonprofit foundation that provides assistance to the low income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and California Horse Racing Board recognized off-track training centers. The organization maintains three clinics throughout the state which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

**III. Fund for Substance or Alcohol Abuse – California B&P Code Section 19556(b) (5% minimum)**

**Winners Foundation** – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race tracks who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch workers, as well as all employees of Del Mar, Golden Gate Fields, Santa Anita and the California Association of Racing Fairs.

**IV. Disabled Jockeys Fund – B&P Code Section 19556(c) (20% minimum)**

**Permanently Disabled Jockeys' Fund** – This is a statutorily mandated distribution per Business and Professions Code Section 19556 (c). It provides support to disabled jockeys, as its name implies.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR  
APPROVAL FROM ADVANCE DEPOSIT WAGERING PROVIDER ODS  
TECHNOLOGIES, L.P. D/B/A TVG NETWORK (TVG), TO AMEND ITS OPERATING  
PROCEDURES TO ALLOW CUSTOMERS TO ESTABLISH A TVG ACCOUNT AT  
EITHER [WWW.TVG.COM](http://WWW.TVG.COM) OR A NEW WEBSITE, [WWW.RACING.FANDUEL.COM](http://WWW.RACING.FANDUEL.COM).

Regular Board Meeting  
November 21, 2019

ISSUE

ODS Technologies, L.P. d/b/a TVG Network (TVG), is seeking to amend its existing Advance Deposit Wagering (ADW) license to allow customers to establish a TVG account at either [www.TVG.com](http://www.TVG.com) or [www.Racing.Fanduel.com](http://www.Racing.Fanduel.com).

ANALYSIS

The Board granted TVG a two-year ADW license in February 2019. Pursuant to [CHRB Rule 2072\(l\)](#), TVG must request approval from the Board prior to allowing customers to establish a TVG account at [www.Racing.Fanduel.com](http://www.Racing.Fanduel.com). Specifically, Rule 2072(l) states:

“Subsequent to the issuance of an approval to conduct Advance Deposit Wagering under this article, changes or amendment to information or operating procedures contained in an Application will be permitted by order of the Board or by Board approval of a request submitted in writing by the Applicant.”

RECOMMENDATION

This item is presented for Board discussion and action.



August 2, 2019

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825  
Attn: Rick Baedeker

***Via Email – [RMBaedeker@chr.ca.gov](mailto:RMBaedeker@chr.ca.gov)***

Dear Mr. Baedeker,

The purpose of this letter is to request an amendment to the out-of-state advance deposit wagering provider approval granted to ODS Technologies, L.P. d/b/a TVG Network ("TVG") by the California Horse Racing Board ("CHRB") on February 21, 2019.

TVG would like to allow customers to establish a TVG account on the internet at either [www.tvg.com](http://www.tvg.com) or a new website, [www.racing.fanduel.com](http://www.racing.fanduel.com). TVG accounts created at [www.racing.fanduel.com](http://www.racing.fanduel.com) will be subject to the same procedures to establish an account as those currently created at [www.tvg.com](http://www.tvg.com). The operation of TVG accounts will remain the same regardless of which website the customer uses to create his or her account.

Please let me know if you need any further information from us prior to amending TVG's approval. Thank you for your consideration.

Sincerely,

A handwritten signature in blue ink, appearing to read "John Hindman", written over a horizontal line.

John Hindman  
EVP and General Counsel

## STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR APPROVAL OF THE CONTINUATION OF THE 0.50% DISTRIBUTION TO THE SOUTHERN CALIFORNIA STABLING AND VANNING FUND FROM ADVANCE DEPOSIT WAGERING (ADW) HOSTED BY THOROUGHBRED RACING ASSOCIATIONS AND RACING FAIRS CONDUCTING RACING IN THE CENTRAL AND SOUTHERN ZONES FOR THE PERIOD COMMENCING JANUARY 1, 2020 THROUGH DECEMBER 31, 2020 AS PERMITTED UNDER BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(S)(E)

Regular Board Meeting  
November 21, 2019

### ISSUE:

The Thoroughbred Owners of California (TOC), Del Mar Thoroughbred Club, Los Angeles Turf Club, Los Alamitos Racing Association, California Thoroughbred Breeders Association (CTBA), and the Los County Fair are requesting the continuation of the 0.50 percent distribution to the Southern California Stabling and Vanning Fund (Fund) from the advance deposit wagering (ADW) hosted by thoroughbred racing associations and racing fairs.

### BACKGROUND:

At the January 24, 2019 Regular Board meeting, the Board retroactively approved an agreement between the TOC, Del Mar Thoroughbred Club, Fairplex, Los Alamitos, Santa Anita, and the California Thoroughbred Breeders Association, to modify the ADW market access fees distribution by 0.50 percent on races hosted by Central and Southern Zones, thoroughbred associations and fairs for the period of January 1, 2019 through December 31, 2019. The parties stated that the funds generated would be used to offset stabling and vanning expenses incurred by the Fund, which is administered by the Southern California Off-Track Stabling and Vanning Committee.

The TOC, the Southern California thoroughbred racing associations, and the CTBA are requesting approval from the Board to continue with the agreement under Business and Professions Code section 19604(f)(5)(E) for the period of January 1, 2020 through December 31, 2020. In conjunction with the request for the continuance of the agreement the parties have submitted a proposed Southern California Stabling and Vanning ADW agreement for 2020, which is attached for reference. While the agreement is currently unsigned, the committee has indicated that they anticipate collecting all necessary signatures prior to the November Board meeting.

### ANALYSIS:

Business and Professions Code section 19604(f)(5)(E) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to

this subparagraph may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distribution would be affected.

RECOMMENDATION:

Staff recommends the Board hear from the interested parties pursuing the continuance of the ADW distribution modification.

**Southern California Stabling and Vanning Funding Agreement**

This Agreement is entered into as of this \_\_\_\_ day of \_\_\_\_\_, 2019 by and between the undersigned racing associations ("the Signatory Racing Associations") the Thoroughbred Owners of California ("TOC") and the California Thoroughbred Breeders Association ("CTBA").

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from Advanced Deposit Wagering, ("ADW") placed on all live and imported races hosted by the Signatory Racing Associations shall be altered by the creation of an additional deduction for a distribution therefrom based upon 0.40% of handle in the Central and Southern Zones that would otherwise be payable as thoroughbred purses and commissions, and such deduction from ADW in the Central and Southern Zones shall be payable to the Fund administered by Southern California Stabling and Vanning Committee.
2. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deduction contemplated from market access fees placed on races hosted by the Signatory Racing Associations.
3. Subject to the requisite approval of the CHRB, the deduction from ADW set forth in #1 above shall be effective January 1, 2020 through December 31, 2020.
4. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the Incentive fund administered by the CTBA shall be calculated at the rate of 0.463% of ADW handle in California on races hosted by the Signatory Racing Associations. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.
5. The Signatory Racing Associations and TOC agree to jointly continue to work toward improving the productivity and efficiency of auxiliary stabling in the Central and Southern zones.

Thoroughbred Owners of California

Los Alamitos Racing Association

By: \_\_\_\_\_

By: \_\_\_\_\_

Del Mar Thoroughbred Club

Los Angeles Turf Club

By: \_\_\_\_\_

By: \_\_\_\_\_

Los Angeles County Fair

California Thoroughbred Breeders Association

By: \_\_\_\_\_

By: \_\_\_\_\_

STAFF ANALYSIS  
November 21, 2019

Issue: DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB (T) AT SANTA ANITA, COMMENCING DECEMBER 18, 2019 THROUGH JUNE 23, 2020, INCLUSIVE

Los Angeles Turf Club (LATC) has filed its application to conduct a thoroughbred horse racing meeting at Santa Anita as follows:

- December 18, 2019 through June 23, 2020, racing 104 days, one day more than proposed for 2019. Live racing will commence December 26, 2019, and continue through June 21, 2020. LATC proposes to race a total of 844 to 1,127 races, or 8.12 to 10.84 races per day. In 2019, LATC averaged 9.06 races per day with an average of 7.36 runners per race. The (actual) average daily purse for the 2019 race meeting was \$575,675. The (estimated) average daily purse for this meet is \$495,870.
- The proposed race dates are within the dates the Board allocated.

December 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

March 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

- Racing four days per week, Thursday through Sunday, with the following exceptions:
  - Racing Wednesday, January 1, 2020; and Mondays, January 20, February 17, and May 25, 2020.
  - No racing Thursdays, January 2 and 23, February 20, and May 28, 2020.
- Racing concurrently with the dates allocated to Pacific Racing Association.
- First post 1:00 p.m. weekdays, 12:30 p.m. weekends and holiday, and 1:00 p.m. daily between Sunday, March 8, and Thursday, April 30, 2020, with the following exceptions:
  - Thursday, December 26, 2019      Opening Day      12:00 p.m.
  - Wednesday, January 1, 2020      New Year's Day      12:30 p.m.
  - Saturday, January 25, 2020      Cal Cup      12:00 p.m.
  - Sunday, February 2, 2020      Super Bowl      11:00 a.m.
  - Saturday, March 7, 2020      Santa Anita Handicap      12:00 p.m.
  - Saturday, April 4, 2020      Santa Anita Derby      12:00 p.m.
  - Saturday, May 2, 2020      Kentucky Derby      11:00 a.m.\*
  - Saturday, May 16, 2020      Preakness      11:00 a.m.\*
  - Saturday, June 6, 2020      Belmont Stakes      11:00 a.m.\*

*\*Subject to change with reasonable advance notice to Board.*
- Wagering program will use CHRB and Association of Racing Commissioners International (ARCI) rules.

LATC requests approval to use a modified version of ARCI rule for its Pick 6 contest. LATC also requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched.

#### Alternate Wagering Interest

LATC requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

## Pick 6

LATC requests approval to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(g), LATC is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI Model Rules, there are two possible definitions for “unique.” LATC is requesting approval to use an alternative definition that is not referenced in the ARCI Model Rules or in the CHRB’s rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed LATC definition.

LATC also requests approval of a change to the ARCI Model Rules during instances of late surface changes. LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the races are declared a “Win All,” not a “no contest.” Also, LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI-005-105(G)(1), the Board has the authority to approve these requested changes.

- Additional wagering information:
  - Early wagering will be 8:30 a.m. to 10:00 a.m. each race day.
  - \$2 Group Bet (Horse Racing Roulette) available on every eligible race.
  - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
  - \$1 Exacta on all eligible races.
  - \$0.50 Trifecta on all eligible races.
  - \$0.10 Superfecta on all eligible races.
  - \$2 Daily Double. Requests 20% takeout.
  - \$0.50 Pick 3.
  - \$0.50 Pick 4 on races 2–5 and last four races. No consolation. 100% payout. Alternate runner provision.
  - \$0.50 Early Pick 5 on first five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day’s Early Pick 5 if no ticket has five winners. Alternate runner provision. Requests 14% takeout.
  - \$0.50 Late Pick 5 on last five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day’s Late Pick 5 if no ticket has five winners. Alternate runner provision.
  - \$0.20 Pick 6 on last six races each day. If no unique ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.
  - \$1 Super High 5 on all races that have 7 or more declared starters. 100% payout on all tickets selecting five winners. No consolation. 100% carryover to next race (including next race day, if applicable).
- Specific changes from the 2019 license application:
  - No longer offering Reserved Seating (General)—formerly free.

- No longer offering Reserved Seating (Clubhouse)—formerly \$10, premium days only.
- Club House (CH) Box Seat: from \$30 to \$40, premium days.
- From CH Box Seat: \$10 non-premium days, \$30 premium days to CH Loge Box Seat (online sales: includes admission, seat, and program): \$12 non-premium days, \$40 premium days.
- Advance Deposit Wagering (ADW) providers are Churchill Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpire; NYRABets, LLC; ODS Technologies, L.P. dba TVG Network; and XpressBet, LLC.

Pursuant to [Business and Professions Code section 19604](#), specific provisions must be met before an ADW provider can accept wagers.

#### Summary of Business and Professions Code section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Account wagering agreement between TwinSpire and Monarch Content Management, LLC has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to [Business and Professions Code section 19602](#), and with authorized locations throughout California.
- Requests that Jennifer Paige be appointed horse identifier pursuant to [CHRB Rule 1525](#), Racing Officials Appointed by the Board.

- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Agreements allowing each ADW provider [BetAmerica; NYRABets, LLC; TVG Network; XpressBet, LLC] to accept wagers on races conducted at Santa Anita for the applied license term.
2. Horsemen's approval of the ADW agreements.
3. Thoroughbred Owners of California race meet agreement.
4. California Thoroughbred Trainers race meet agreement.

Specific information that **will need to be updated** during the proposed race dates:

1. Stabling and vanning agreement (expires January 1, 2020).

#### RECOMMENDATION:

If the application is considered for approval, staff recommends that it be approved contingent upon receipt of the outstanding items.



September 19, 2019

Rick Baedeker  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Rick,

This letter is in regards to Los Angeles Turf Club, Incorporated's ("LATC") "Application for License to Conduct a Horse Racing Meeting" for the time period of December 18, 2019 through June 23, 2020 (the "Race Meet Application").

As specified in the Race Meet Application, LATC wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

**Definition of Unique**

Additionally, pursuant to ARCI 004-105(G)(2)(g), LATC is required to identify which definition under ARCI 004-105(G)(16)(b) LATC will use to identify a unique ticket. LATC requests that a combination of the two definitions to define unique be used. Specifically, LATC wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above.

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

### Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

LATC also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, LATC is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

LATC requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Jackie Wagner  
John McDonough  
Tim Ritvo  
Scott Daruty

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

## 1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

**Los Angeles Turf Club, Incorporated**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007**  
**Phone (626) 574-7223**                      **Fax (626) 446-9565**  
**frank.demarco@santaanita.com**

- B. Breed of horse: TB  QH  H

- C. Racetrack name: **Santa Anita Park**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

**On file**

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

## 2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **December 18, 2019 through June 23, 2020**

- B. Actual dates racing will be held:

**2019**            **December 26, 27, 28, and 29 (4 days)**

**2020**

**January 1, 2, 3, 4, 5, 10, 11, 12, 16, 17, 18, 19, 20, 24, 25, 26, 30, and 31 (18 days)**

**February 1, 2, 6, 7, 8, 9, 13, 14, 15, 16, 17, 21, 22, 23, 27, 28 and 29 (17 days)**

**March 1, 5, 6, 7, 8, 12, 13, 14, 15, 19, 20, 21, 22, 26, 27, 28, and 29 (17 days)**

**April 2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 23, 24, 25, 26, and 30 (17 days)**

### CHRB CERTIFICATION

Application received: *09/27/19*

Deposit received: *On File*

Reviewed: *RP*

Hearing date: *11/21/19*

Approved date:

License number:

May 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 21, 22, 23, 24, 25, 29, 30, and 31 (19 days)  
June 4, 5, 6, 7, 11, 12, 13, 14, 18, 19, 20, and 21 (12 days)

- C. Total number of days or nights of racing: **104**
- D. Days or nights of the week races will be held:  
 Wed - Sun     Tues - Sat     Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: **Racing**    Wednesday, January 1, 2020  
Monday, January 20, 2020  
Monday, February 17, 2020  
Monday, May 25, 2020

---

**Dark**    Thursday, January 9, 2020  
Thursday, January 23, 2020  
Thursday, February 20, 2020  
Thursday, May 28, 2020

- E. Number of days or nights of racing per week:    **4 days per week**

### 3. RACING PROGRAM

- A. Total number of races: **Estimated between 844 and 1,127**
- B. Number of races for each day or night:
- **7 – 9 races weekdays**
  - **9 – 12 races opening day, weekends and holidays**
  - **Exceptions:**
    - **March 7, 2020 – between 9 and 14 races**
    - **April 4, 2020 – between 9 and 14 races**
    - **May 1, 2020 – between 7 and 14 races**
    - **May 2, 2020 – between 9 and 14 races**
    - **May 15, 2020 – between 9 and 14 races**
    - **May 16, 2020 – between 9 and 14 races**
    - **June 6, 2020 – between 9 and 14 races**
- C. Total number of stakes races:    **93**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.  
**Attached Pending Stakes Schedule Exhibit 3D.1.2.3**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

**Attached Pending Stakes Schedule Exhibit 3D.1.2.3**

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

**Attached Pending Stakes Schedule Exhibit 3D.1.2.3**

## 2019-20 Winter-Spring Stakes

Exhibit 3D1.2.3. 1/2

Run date	Race	Age	Distance	2017-18 Purses	2018-19 Purses	2019-20 Purses
Thurs, Dec. 26	Malibu Stakes (Gr I)	3 YO	7 F	\$300,000	\$300,000	\$300,000
Thurs, Dec. 26	La Brea Stakes (Gr I)	F, 3 YO	7 F	\$300,000	\$300,000	\$300,000
Thurs, Dec. 26	San Antonio Stakes (Gr II)	3 & up	1 1/16 M	\$200,000	\$200,000	\$200,000
Thurs, Dec. 26	Mathis Brothers Mile (Gr II)	3 YO	1 M (T)	\$200,000	\$200,000	\$200,000
Thurs, Dec. 26	Lady of Shamrock Stakes	F, 3 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Fri, Dec. 27	Eddie Logan Stakes	2 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Sat, Dec. 28	American Oaks (Gr I)	F, 3 YO	1 1/4 M (T)	\$300,000	\$300,000	\$300,000
Sat, Dec. 28	Robert J. Frankel Stakes (Gr III)	F/M, 3 & up	1 1/8 M (T)	\$100,000	\$100,000	\$100,000
Sun, Dec. 29	Blue Norther Stakes	F, 2 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Wed, Jan 1	Joe Hernandez Stakes	4 & up	abt 6 1/2 (T)	\$75,000	\$75,000	\$75,000
Sat, Jan 4	San Gabriel Stakes (Gr II)	4 & up	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat, Jan 4	Sham Stakes (Gr III)	3 YO	1 M	\$100,000	\$100,000	\$100,000
Sun, Jan 5	Santa Ynez Stakes (Gr II)	F, 3 YO	7 F	\$200,000	\$200,000	\$200,000
Sat, Jan 11	La Canada Stakes (Gr II)	F/M, 4 & up	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat, Jan 11	Las Cienegas Stakes (Gr III)	F/M, 4 & up	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sun, Jan 12	Kalookan Queen Stakes	F/M, 4 & up	6 1/2 F	\$75,000	\$75,000	\$75,000
Sat, Jan 18	Palos Verdes Stakes (Gr II)	4 & up	6 F	\$200,000	\$200,000	\$200,000
Sun, Jan 19	Astra Stakes	F/M, 4 & up	1 1/2 M (T)	\$75,000	\$75,000	\$75,000
Mon, Jan 20	Megahertz Stakes (Gr III)	F/M, 4 & up	1 M (T)	\$100,000	\$100,000	\$100,000
Sat, Jan 25	Unusual Heat Turf Classic	4 & up (CA)	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat, Jan 25	Sunshine Millions f/M Turf Sprint	F/M, 4 & up (CA)	6 1/2 F (T)	\$150,000	\$150,000	\$150,000
Sat, Jan 25	California Cup Sprint	4 & up (CA)	6 F	\$150,000	\$150,000	\$150,000
Sat, Jan 25	Cal Cup Turf Sprint Stakes	3 YO (CA)	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sun, Jan 26	Clockers' Corner Stakes	4 & up	abt 6 1/2 (T)	\$70,000	\$70,000	\$70,000
Sat, Feb 1	San Pasqual Stakes (Gr II)	4 & up	1 1/8 M	\$200,000	\$200,000	\$200,000
Sat, Feb 1	San Marcos Stakes (Gr II)	4 & up	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sat, Feb 1	Robert B. Lewis Stakes (Gr III)	3 YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sun, Feb 2	Baffle Stakes	3 YO	abt 6 1/2 (T)	\$75,000	\$75,000	\$75,000
Sat, Feb 8	Las Virgenes Stakes (Gr II)	F, 3 YO	1 M	\$200,000	\$200,000	\$200,000
Sat, Feb 8	Thunder Road Stakes (Gr III)	4 & up	1 M (T)	\$100,000	\$100,000	\$100,000
Sun, Feb 9	San Vicente Stakes (Gr II)	3 YO	7 F	\$200,000	\$200,000	\$200,000
Sun, Feb 9	Sweet Life Stakes	F, 3 YO	abt 6 1/2 (T)	\$75,000	\$75,000	\$75,000
Sat, Feb 15	Santa Monica Stakes (Gr II)	F/M, 4 & up	7 F	\$200,000	\$200,000	\$200,000
Sat, Feb 15	Wishing Well	F/M, 4 & up	abt 6 1/2 (T)	\$75,000	\$75,000	\$75,000
Mon, Feb 17	California Cup Derby	3 YO (CA)	1 1/16 M	\$200,000	\$200,000	\$200,000
Mon, Feb 17	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000	\$200,000	\$200,000
Sat, Feb 22	Buena Vista Stakes (Gr II)	F/M, 4 & up	1 M (T)	\$200,000	\$200,000	\$200,000
Sun, Feb 23	Spring Fever Stakes	F/M, 4 & up (CA)	6 F	\$100,000	\$100,000	\$100,000
Sat, Feb 29	Pasadena Stakes	3 YO	1 M (T)	\$200,000	\$200,000	\$200,000
Sun, Mar 1	Tiznow Stakes	4 & up (CA)	1M	\$100,000	\$100,000	\$100,000
Sat, Mar 7	Santa Anita Handicap (Gr I)	4 & up	1 1/4 M	\$500,000	\$500,000	\$500,000
Sat, Mar 7	San Felipe Stakes (Gr II)	3 YO	1 1/16 M	\$500,000	\$500,000	\$500,000
Sat, Mar 7	Frank E. Kilroe Mile (Gr I)	4 & up	1 M (T)	\$400,000	\$400,000	\$400,000
Sat, Mar 7	China Doll Stakes	F, 3 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Sun, Mar 8	Santa Ysabel Stakes (G III)	F, 3 YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sat, Mar 14	Beholder Mile (Gr I)	F/M, 4 & up	1 M	\$400,000	\$400,000	\$400,000
Sat, Mar 14	San Simeon Stakes (Gr III)	3 & up	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sun, Mar 15	Irish O'Brien Stakes	F/M, 4 & up	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sat, Mar 21	San Luis Rey Stakes (Gr II)	4 & up	1 1/2 M (T)	\$200,000	\$200,000	\$200,000
Sun, Mar 22	Sensational Star Stakes	4 & up (CA)	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sat, Mar 28	Santa Ana Stakes (Gr II)	F/M 4 & up	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sun, Mar 29	Santana Mile	4 & up	1 M	\$75,000	\$75,000	\$75,000
Sat, Apr 4	Santa Anita Derby (Gr I)	3 YO	1 1/8 M	\$1,000,000	\$1,000,000	\$1,000,000
Sat, Apr 4	Santa Anita Oaks (Gr I)	F, 3 YO	1 1/16 M	\$400,000	\$400,000	\$400,000

## 2019-20 Winter-Spring Stakes

Exhibit 3D1.2.3. 2/2

Sat, Apr 4	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000	\$200,000	\$200,000
Sat, Apr 4	Evening Jewel	F, 3 YO (CA)	6 1/2 F	\$200,000	\$200,000	\$200,000
Sat, Apr 4	Royal Heroine Stakes (Gr II)	F/M 4 & up	1 M (T)	\$200,000	\$200,000	\$200,000
Sat, Apr 4	Providencia Stakes (Gr III)	F, 3 YO	1 1/8 M (T)	\$100,000	\$100,000	\$100,000
Sun, Apr 5	Las Flores Stakes (Gr III)	F/M, 4 & up	6 F	\$100,000	\$100,000	\$100,000
Sat, Apr 11	Mizdirection Stakes Listed)	4 & up	abt 6 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sun, Apr 12	Tokyo City Cup (Gr III)	4 & up	1 1/2 M	\$100,000	\$100,000	\$100,000
Sat, Apr 18	Californian Stakes (Gr II)	3 & up	1 1/8 M	\$200,000	\$200,000	\$200,000
Sun, Apr 19	Kona Gold Stakes (Gr II)	3 & up	6 1/2 F	\$200,000	\$200,000	\$200,000
Sat, Apr 25	Santa Margarita Stakes (Gr II)	F/M, 4 & up	1 1/8 M	\$200,000	\$200,000	\$200,000
Sat, Apr 25	Crystal Water Stakes	3 & up (CA)	1 M (T)	\$100,000	\$100,000	\$100,000
Sun, Apr 26	Singletary Stakes	3 YO	1 M (T)	\$100,000	\$100,000	\$100,000
Sat, May 2	Senorita Stakes (Gr III)	F, 3 YO	1 M (T)	\$100,000	\$100,000	\$100,000
Sat, May 2	Angel's Flight Stakes	F, 3 YO	7 F	\$75,000	\$75,000	\$75,000
Sat, May 9	Santa Barbara Stakes (Gr III)	F/M, 3 & up	1 1/2 M (T)	\$100,000	\$100,000	\$100,000
Sun, May 10	Fran's Valentine Stakes	F/M, 3 & up (CA)	1 M (T)	\$100,000	\$100,000	\$100,000
Sat, May 16	Lazaro Barrera Stakes (Gr III)	3 YO	7 F	\$100,000	\$100,000	\$100,000
Sun, May 17	Desert Stormer Stakes (Gr III)	F/M, 3 & up	6 F	\$100,000	\$100,000	\$100,000
Sat, May 23	Triple Bend Stakes (Gr II)	4 & up	7 F	\$200,000	\$200,000	\$200,000
Sat, May 23	Charles Whittingham Stakes (Gr II)	3 & up	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sat, May 23	Daytona Stakes (Gr III)	4 & up	abt 6 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sun, May 24	Monrovia Stakes (Gr II)	F/M, 3 & up	abt 6 1/2 F (T)	\$200,000	\$200,000	\$200,000
Sun, May 24	Summertime Oaks (Gr II)	F, 3 YO	1 1/16 M	\$200,000	\$200,000	\$200,000
Mon, May 25	The Gold Cup at Santa Anita (Gr I)	3 & up	1 1/4 M	\$500,000	\$500,000	\$500,000
Mon, May 25	Shoemaker Mile (Gr I)	3 & up	1 M (T)	\$500,000	\$500,000	\$500,000
Mon, May 25	Gamely Stakes (Gr I)	F/M, 3 & up	1 1/8 M (T)	\$500,000	\$500,000	\$500,000
Sat, May 30	Santa Maria Stakes (Gr II)	F/M, 4 & up	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat, May 30	Honeymoon Stakes (Gr III)	F 3 YO	1 1/8 M (T)	\$150,000	\$150,000	\$150,000
Sun, May 31	Cinema Stakes	3 YO	1 1/8 M (T)	\$150,000	\$150,000	\$150,000
Sat, June 6	Desert Code Stakes	3 YO	abt 6 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sat, June 13	Thor's Echo Stakes	3 & up (CA)	6 F	\$100,000	\$100,000	\$100,000
Sun, June 14	Affirmed Stakes (Gr III)	3 YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sun, June 14	Possibly Perfect Stakes (Listed)	F/M, 3 & up	1 1/4 M (T)	\$75,000	\$75,000	\$75,000
Sat, June 20	Snow Chief Stakes	3 YO (CA)	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat, June 20	Dream of Summer Stakes	F/M, 4 & up (CA)	1 M	\$100,000	\$100,000	\$100,000
Sat, June 20	Wilshire Stakes (Gr. III)	F/M, 3 & up	1 M (T)	\$100,000	\$100,000	\$100,000
Sun, June 21	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$200,000	\$200,000	\$200,000
Sun, June 21	San Juan Capistrano Stakes (Gr III)	3 & up	abt 1 3/4 M (T)	\$100,000	\$100,000	\$100,000
Sun, June 21	Siren Lure Stakes	3 & up	abt 6 1/2 F (T)	\$75,000	\$75,000	\$75,000

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

**Attached Pending Stakes Schedule Exhibit 3D.1.2.3**

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	Weekdays	Weekends & Holidays	Daily**
Race 1	1:00 PM	12:30 PM	1:00 PM
Race 2	1:30 PM	1:00 PM	1:30 PM
Race 3	2:00 PM	1:30 PM	2:00 PM
Race 4	2:30 PM	2:00 PM	2:30 PM
Race 5	3:00 PM	2:30 PM	3:00 PM
Race 6	3:30 PM	3:00 PM	3:30 PM
Race 7	4:00 PM	3:30 PM	4:00 PM
Race 8	4:30 PM	4:00 PM	4:30 PM
Race 9	*5:00 PM	4:30 PM	*5:00 PM
Race 10	*5:30 PM	*5:00 PM	*5:30 PM
Race 11	*6:00 PM	*5:30 PM	*6:00 PM
Race 12	*6:30 PM	*6:00 PM	*6:30 PM
Race 13	*7:00 PM	*6:30 PM	*7:00 PM
Race 14	*7:30 PM	*7:00 PM	*7:30 PM

(\*) When applicable

Exceptions:

12:00 PM	Opening Day	Thursday, December 26, 2019
12:30 PM	New Year's Day	Wednesday, January 1, 2020
12:00 PM	Cal Cup	Saturday, January 25, 2020
11:00 AM	Super Bowl Sunday	February 2, 2020
12:00 PM	Santa Anita Handicap	Saturday, March 7, 2020
12:00 PM	Santa Anita Derby	Saturday, April 4, 2020
11:00 AM	Kentucky Derby Day	Saturday, May 2, 2020***
11:00 AM	Preakness Day	Saturday, May 16, 2020***
11:00 AM	Belmont Stakes Day	Saturday, June 6, 2020***

\*\* Beginning between Sunday, March 8, 2020 and Thursday, April 30, 2020.

\*\*\* Post time subject to change with reasonable advance notice to the board.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

**Addendum A on file**

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club, Incorporated**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **481360**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

**Tim Ritvo – President (Officer), 0 Shares**  
**Mike Rogers – Vice President, Operations (Officer & Director), 0 Shares**  
**Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**  
**Rebecca Neimark – CFO (Officer)**  
**Greg Harnish – Assistant Secretary (Officer & Director)**  
**Scott Daruty – Senior Vice President (Officer), 0 Shares**

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Laura Proniuk, Share Register in Toronto, Canada**  
**Email: [laura.proniuk@stronachgroup.com](mailto:laura.proniuk@stronachgroup.com)**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Developments Investments Inc.**

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No

10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **Not applicable**  Yes  No  
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how the stock is listed

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

**E. OTHER Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

**F. FINANCIAL INFORMATION \***

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**Financials will be submitted directly to the Board.**

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

**G. MANAGEMENT AND STAFF**

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

**Tim Ritvo – President**  
**Aidan Butler – Acting Executive Director of California Racing Operations**  
**Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**  
**Rebecca Neimark – Chief Financial Officer**  
**Scott Daruty – Senior Vice-President**

**Steve Lym – Vice President, Racing and Racing Secretary**  
**Nate Newby – Vice President, Marketing**  
**Jason Spetnagel – Vice President of Operations**  
**Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting**

**Candace Coder-Chew – Director of Print and Graphics**  
**Dan Cirimele – Senior Director of Marketing**  
**Randy Hartzell – Director of Pari-Mutuels**  
**Leonardo Marcos – Director of Food and Beverage**  
**Lou Scalera – Chief of Security**  
**Pete Siberell – Director of Community Service and Special Projects**  
**Pamela Walden – Director of Groups**  
**Mike Willman – Director of Publicity and Public Relations**  
**Rebecca Young – Director of Catering**  
**Jay Cohen – Hornblower**  
**Frank Mirahmadi – Public Address Commentator/Announcer**

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**  
**Los Angeles Turf Club, Incorporated**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565**  
**Email: [frank.demarco@santaanita.com](mailto:frank.demarco@santaanita.com)**

**5. TAKE OUT PERCENTAGE**

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No   
Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**  
**DD** Percentage: **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

**Attached**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.



August 22, 2019

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

Pursuant to California Business and Professions Code Section 19601.1, Los Angeles Turf Club, Incorporated ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2019 Winter Meet, December 18, 2019 through June 23, 2020.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%

For reference, the Players Pick-5 is noted on the license application in Section 5A.

Very truly yours,

Frank DeMarco, Jr.  
Vice President Regulatory Affairs  
& Secretary

FDM:sm

cc: Tim Ritvo



October 12, 2019

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Santa Anita (LATC) 2019-20 Winter-Spring Race Meet – Rolling Doubles Wager

Dear Ms. Wagner,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its winter-spring race meet, December 26, 2019 through June 21, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Eric Sindler



October 12, 2019

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Santa Anita (LATC) 2019-20 Winter-Spring Race Meet – Players Pick 5

Dear Ms. Wagner,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the “Players Pick-5” on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2019 through June 21, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Eric Sindler

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

<b>Year</b>	<b>Handle</b>	<b>Attendance</b>
2018-2019	<b>\$938,557,639</b>	<b>598,531</b>
2017-2018	<b>\$1,131,127,243</b>	<b>796,598</b>
2016-2017	<b>\$1,080,109,113</b>	<b>827,068</b>
2015-2016	<b>\$1,039,922,803</b>	<b>782,611</b>
2014-2015	<b>\$1,129,468,228</b>	<b>799,228</b>

**7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):****A. Purse distribution:**

1. All races other than stakes:

Current meet estimate: **\$31,054,174**  
 Prior meet actual: **\$27,330,560**

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **\$298,598**  
 Prior meet actual: **\$337,414**

2. Overnight stakes:

Current meet estimate: **\$1,270,000**  
 Prior meet actual: **\$815,000**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **\$12,212**  
 Prior meet actual: **\$10,062**

3. Non-overnight stakes:

Current meet estimate: **\$13,301,000**  
 Prior meet actual: **\$13,249,650**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$127,894**  
 Prior meet actual: **\$163,576**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$45,625,174**  
 Prior meet actual: **\$41,395,210**

**B. California-bred Stakes Races:**

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **17.7%**  
 Prior meet actual: **17.7%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.17%**

Prior meet actual: **.22%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$4,876,135**

Prior meet actual: **\$4,293,119**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

**NTRA** **65,953**

**58,067**

**TOC** **401,285**

**353,305**

**CTT Pension** **401,285**

**353,305**

**CTT Admin.** **200,642**

**176,652**

**Total** **\$1,069,165**

**Total** **\$941,329**

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$51,570,473**

Prior meet actual: **\$46,629,658**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$495,870**

Prior meet actual: **\$575,675**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$18,623,488**

Prior meet actual: **\$16,075,261**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$179,072**

Prior meet actual: **\$198,460**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$27,706,550**

Prior meet actual: **\$24,718,141**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$266,409**

Prior meet actual: **\$305,162**

- H. Bank and account number for the Paymaster of Purses' purse account:

**On file**

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730**

**Phone: (909) 944-6465**

**Email: [craigbmiller@verizon.net](mailto:craigbmiller@verizon.net)**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,345**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
  - **Los Alamitos – 850 – ONLY THROUGH FEBRUARY 5, 2020.**
  - **SLR Training Center – 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**Will be forwarded to the board upon receipt.**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:  
**Estimated \$15.53 per day, per stall**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
  - **Los Alamitos               \$180 RT**
  - **SLR Training Center   \$360 RT**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:  
**Exhibit 9A**

**Veterinarian's Available for Emergency Services at Santa Anita 2019-2020 Winter-Spring**

VETRINARIAN	PHONE	PRACTICE
Anderson, Elizabeth	(626) 808-2508	Sheilds
Araujo, John	(626) 893-7612	Self
Arthur, Rick	(626) 665-8130	UCD-SVM/CHRB
Baker, Vince	(714) 269-1301	Baker
Birch, Sarah	(614) 783-1237	Simpson/Dowd
Blea, Jeff	(626) 862-6149	Blea/Von Bluecher
Blue, Melinda	(626) 233-9922	Blue
Bohannon, Laura	(626) 710-7281	Sheilds
Bradley, Sam	(310) 339-7666	Baker
Brokken, Todd	(626) 862-6198	Blea/Von Bluecher
Buerchler, Sabina	(626) 536-8080	
Byrd, Wade	(310) 245-7000	Baker
Canfield, Cathy	(626) 372-4571	Baker
Carpenter, Ryan	(805) 320-4811	Baker
Dowd, Joe	(818) 400-7498	Simpson/Dowd
Finley, Jenn	(626) 422-6412	Blea/Von Bluecher
Grande, Tim	(626) 476-8743	LATC / CHRB
Hunkin, Dawn (Bloomer)	(626) 862-6150	Blea/Von Bluecher
McCaughan, Mark	(562) 400-3298	
Shields, Don	(626) 485-0374	
Simpson, Ted	(818) 970-1536	
Stead, Dana	(970) 310-4449	LATC
Steppe, Mike	(951) 318-7335	
Valko, Karen	(951) 317-7935	
Von Bluecher, Helmuth	(626) 862-6147	
Warren, Herb	(714) 397-4206	
Wharton, Heather	(805) 218-8489	Blue

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

**Private veterinarians are on the grounds at Santa Anita for their normal practice responsibilities before 6:00AM or earlier every morning. Accordingly, there is not an official set schedule or specific veterinary assignment. In addition, a number of veterinarians live in close proximity to Santa Anita and can respond to emergencies within minutes if necessary. During the ship to Del Mar at the end of the meet, Santa Anita engages a private CHRB licensed veterinarian to be on the grounds in case of emergencies.**

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

**See Attached for wagering menu, bet menu, bet minimum, rules, and additional wagering information.**

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program.
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:  
**Unlimited; final distribution June 21, 2019**
- D. List any options requested with regard to exotic wagering: **Not applicable**  

X	
---	--
- E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**Each race day from 8:30am to 10:00am**
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
**Keith Johnson**  
**AmTote International Inc.** Expires **August 21, 2020**  
**11200 Pepper Road**  
**Hunt Valley, MD** Phone: **(800) 345-1566**
- G. List below the takeout percentage for each type of wager identified in 10.A.:

**TAKEOUT PERCENTAGE**  
 (Example) PNP5-14%

**See attached wagering menu**

Santa Anita Park Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Group Bet
1	X	X	X	X	X	X	X		X			X	*
2	X	X	X	X	X	X	X	X			*	X	*
3	X	X	X	X	X	X	X			*	*	X	*
4	X	X	X	X	X	X	X	*		*	*	X	*
5	X	X	X	X	X	X	X	*		*	*	X	*
6	X	X	X	X	X	X	*	*		*	*	X	*
7	X	*	X	X	X	*	*	*		*	*	X	*
8	X	*	X	X	X	*	*	*		*	*	X	*
9	X	*	X	X	X	*	*	*		*	*	X	*
10	X	*	X	X	X	*	*	*		*		X	*
11	X	*	X	X	X	*	*	*				X	*
12	X	*	X	X	X	*	*					X	*
13	X	*	X	X	X	*						X	*
14	X		X	X	X							X	*

\*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) <sup>1</sup> ; ARCI 004-105(G)(16)(b)(ii) <sup>2</sup>	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Group Bet (Horse Racing Roulette)	ARCI-004-105 Part II(D) Group Bet Method 2	15.43%	\$2 Horse Racing Roulette bet is available on every eligible race.

<sup>1</sup> We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

<sup>2</sup> Only if the request made in the letter dated September 19, 2019 is denied.

## 11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

**Xpressbet**  
**Twinspires\* (Does not include BetAmerica)**  
**TVG**  
**NYRABets**  
**Bet America\***

**\*Contract negotiation on-going.**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
**On file with the board, except as noted above.**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **To be provided to the board upon receipt.**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**Southern California Off-Track Wagering, Inc.**

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.**

C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
**Exhibit 12C/F**

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
**Exhibit 12D/E**

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:  
**Exhibit 12D/E**

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
**Exhibit 12C/F**

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**SOUTHERN CALIFORNIA**

Cabazon Fantasy Springs Casino  
84-245 Indio Springs Dr.  
Indio, CA 92201

Surfside Race Place at Del Mar  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

Hollywood Park  
3883 W. Century  
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair  
18700 Lake Perris Dr.  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
2551 West Ave. H  
Lancaster, CA 93536

Santa Anita Park  
285 W. Huntington Dr.  
Arcadia, CA 91007

Sports Center at National Orange Show  
930 S. Arrowhead Ave.  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
2201 W. White Ave.  
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)  
10 West Harbor Blvd.  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
14800 7th St.  
Victorville, CA 92392

Viejas Casino & Turf Club  
5000 Willows Rd.  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
6131 E. Telegraph Rd.  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
2369 S. El Camino Real  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
121 Brooks Street  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
1423 S. Bradley Rd.  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
23221 Lake Center Drive  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
21615 Soledad Canyon Road  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
1345 Thousand Oaks Blvd.  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
100 Harbor Drive  
San Diego, CA 92101

Firehouse (mini-satellite)  
7701 White Ave.  
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)  
20930 Malaga Road  
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)  
4110 Bonita Road  
Bonita, CA 91902

Pechanga Casino (mini-satellite)  
4500 Pechanga Pkwy.  
Temecula, CA 92592

Sports Grill & Turf Club (mini-satellite)  
3230 Hammer Ave. Suite 401  
Norco, CA 92570

**NORTHERN CALIFORNIA**

Big Fresno Fair  
1121 Chance Ave.  
Fresno, CA 93702

Solano County Fair  
900 Fairgrounds Dr.  
Vallejo, CA 94589

Monterey County Fair  
2004 Fairgrounds Rd.  
Monterey, CA 93940

Golden Gate Fields  
1101 Eastshore Highway  
Berkley, CA 94710

Fresno Club One  
1033 Van Ness Ave.  
Fresno, CA 93721

Alameda County Fair  
4501 Pleasanton Ave.  
Pleasanton, CA 94566

California State Fair & Exposition  
1600 Exposition Blvd.  
Sacramento, CA 95815

The Jockey Club at San Mateo  
2495 S. Delaware St.  
San Mateo, CA 94403

Santa Clara County Fair  
344 Tully Rd.  
San Jose, CA 95111

Sonoma County Fair  
1350 Bennett Valley Rd.  
Santa Rosa, CA 95402

San Joaquin County Fair  
1658 South Airport Way  
Stockton, CA 95206

**2019 Santa Anita  
Commingled Locations**

**Exhibit 12D/E**

AmWest Entertainment	Ellis Park	Maronas (Uruguay)	Scarborough Downs
Amwest Accounts	Emerald Downs	Maryland Jockey Club	Scarlet Pearl Casino (MS)
Greenbrier (WV)	Evangeline Downs	Meadowlands (NJ)	Scioto Downs
Couer D'Alene Casino (ID)	Fair Grounds	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Fair Grounds ADW	Meadows The (ADW) - PA regional	Seabrook Greyhound ADW
Arapahoe-Mile High	Fair Meadows	Miami Valley Gaming & Racing	Southland Greyhound
Arma Race Club	Favorites at Gloucester (NJ)	Mobile Greyhound	State Fair (Lincoln, NE)
Arizona Downs	Favorites at Vineland (NJ)	Monmouth Park (NJ)	Suaposta (Brazil) ADW
Arlington I	Finger Lakes	Montana OTB	Suffolk District OTB
Bangor Raceway	Finger Lakes ADW	Monticello	Suffolk Downs
Batavia	Fonner Park	Mountaineer Park	Sunland Park
Belterra Park	Freehold (NJ)	Nassau Regional OTB	SunRay Park & Casino
Birmingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Borgata Casino (NJ)	Global Wagering Group	Newport Jai Alai	Taunton ADW
Buffalo Raceway	Global Wagering Solutions	New Jersey ADW (4NJIBets)	Taunton Dog Track Inc.
Canterbury Park	Betsson, OneXTwo, German Tote	New Palace Casino (MS)	The Downs at Albuquerque
Capital District OTB	Racebets, Sportech Netherlands, SBO	Northfield Park	Thisledown
Catskills OTB	Magna Bet, Pferdewetten, Dantoto		Tioga Downs
Charles Town Race Course	AHDI,Boylesports, Ladbrokes Australia	Northville Downs	Tri-State GH (Mardi Gras)
Churchill Downs	Greenetrack	NYRA	Turf del Norte (Mexico)
Colonial Downs	Greyhound at Post Falls	NYRA ADW	Turf del Norte - Tribeca (D. R.)
Columbus Raceway	Gulf Greyhound	Oaklawn Park	Turf Paradise
Connecticut OTB	Gulfstream Park	Oaklawn Park ADW	Turfway Park
Bradley Teletheater, Bristol	Harrah's Philadelphia (Chester)	Ocean Downs	TVG
New Britain, Norwalk, Milford	Harrington Raceway	Panama	TVG High Volume
East Haven, Hartford, Putnam	HarringtonBets ADW (DE regional)	PariBet ADW	Twin River Greyhound
Shoreline Star, Sports Haven	Hawthorne Race Course	PariBet OTB (North Dakota)	TwinSpires
Torrington, Waterbury	Hawthorne ADW	PARX	TwinSpires High Volume
Manchester, New London	Hoosier Park	PARX ADW	Velocity Wagering Ltd.
Williamatic, Sanford OTB	Horseman's Park	Penn National	Venezuela OTB
John Martin's Manor Restaurant	Horseshoe Council Bluffs (William Hill)	Penn National ADW	Vernon Downs
Connecticut OTB ADW	Idabet	Peru	Western OTB
Coushatta Casino	Indiana Downs	Phumeleta	Wheeling Downs
Paragon Casino	Clarksville/Evansville OTB	Plainridge Race Course	Will Rogers Downs
Ho-Chunk Casino	Intermountain Racing	Plainridge ADW	Wyoming OTB
Mohegan Sun Casino	Keeneland	Player Management Group	Wyoming Downs
Onelda Bingo and Casino	Keeneland Select ADW	PMU (Brazil)	XpressBet
Pony Bar Simulcast Center	Kentucky Downs	Pocono Downs ADW	Yonkers Raceway
Tote Investment Racing	Kentucky OTB	Pocono Downs/OTB	Yonkers ADW (EmpireCityBets)
Randall James Racetrack	Lewiston OTB's	Potawatomi Casino/ OTB	Youbet Group 1
Millenium Racing	Lien Games	Prairie Meadows	Zia Park
Royal Beach Casino	Chips Lounge/Rumors OTB	Premier Gateway International	123Gaming
Divi Carina Bay Casino	Howard Johnsons OTB	Premier Turf Club	
Winner's Circle	Skydancer Casino OTB	Remington Park	Separate Pool Locations
Camouflage Gaming	X the Spot (Virgin Islands)	Remington OTB Network	Camarero (Puerto Rico)
Corpus Christi Greyhound	Offtrackbetting ADW	Retama Park	Caymanas (Jamaica)
Dayton Raceway	Lone Star	Racing & Gaming Services	Chile
Delaware Park	Louisiana Downs	Rillito Park	Codere (Mexico/Spain)
Delta Downs	LVDC	Ruldoso Downs	MIR Books (Callente)
Dover Downs	Atlanta's Paradise Casino	Running Aces Harness Park	NDS Books (Nevada)
Dubuque (Iowa Greyhound)	Buffalo Thunder Resort	Sam Houston	Tabcorp (Australia)
Wild Rose (Clinton)	Foxwoods Resort Casino	Valley Greyhound Park	Tattsbet (Australia)
Egg Harbor ITW (NJ)	Moskwaki Bingo & Casino	Saratoga Harness Raceway	XB (GWS)
Elite Turf Club	Mahoning Valley	Saratoga Bets (ADW)	New Zealand Racing Board

**Canadian Locations**

Assiniboia, Century Downs, Century Mile, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs  
 Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs  
 Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres  
 Track On 2, Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

<b>Arlington</b>	<b>Mahoning Valley</b>
<b>Assiniboia</b>	<b>Monmouth Park</b>
<b>Australia Racing</b>	<b>Mountaineer Park</b>
<b>Belterra</b>	<b>Northlands</b>
<b>Canterbury</b>	<b>NYRA - Aqueduct, Belmont, Saratoga</b>
<b>Century Downs</b>	<b>Oaklawn Park</b>
<b>Churchill Downs</b>	<b>Parx (Philly Park)</b>
<b>Delaware Park</b>	<b>Penn National</b>
<b>Delta Downs</b>	<b>Pimlico</b>
<b>Ellis Park</b>	<b>Portland Meadows</b>
<b>Emerald Downs</b>	<b>Prairie Meadows</b>
<b>Evangeline Downs</b>	<b>Presque Isle</b>
<b>Fair Grounds</b>	<b>Retama Park</b>
<b>Fort Erie</b>	<b>South America Racing</b>
<b>Gulfstream Park</b>	<b>Suffolk Downs</b>
<b>Hastings Park</b>	<b>Sunland Park</b>
<b>Hawthorne</b>	<b>Sunray Park</b>
<b>Indiana Grand</b>	<b>Tampa Bay Downs</b>
<b>Keeneland</b>	<b>Thistledown</b>
<b>Kentucky Downs</b>	<b>Turf Paradise</b>
<b>Laurel Park</b>	<b>Turfway Park</b>
<b>Lone Star</b>	<b>United Kingdom</b>
<b>Louisiana Downs</b>	<b>Woodbine</b>

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

**Los Alamitos                      Per CHRB calendar      Full Card**

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting.

Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
**Board of Directors**  
**Los Angeles Turf Club, Incorporated**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007**
- B. Names and addresses of the trustees or directors of the distributing agent:  
**Frank DeMarco, Jr., Secretary**  
**Los Angeles Turf Club, Incorporated**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Angel Lomeli
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Ed Reese
Patrol Judges	Karen Denovel, Randy Valdez
Placing Judges	Michael Burns, Bob Moreno, Karen Denovel
Starter	Jay Slender
Timer	Dane Nelson

B. Management officials in the racing department:

VP-Racing	Steve Lym
Racing Secretary	Steve Lym
Assistant Racing Secretary	Zac Soto
Paymaster of Purses	Bridget Crawford
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Ms. Barbara Weinstein**  
**9582 Hamilton Ave., Suite 265**  
**Huntington Beach, CA 92626 Phone: (714) 964-7102**

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation USA Expires: December 31, 2020**  
**Bill O'Brien**

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Jim Porep, Jr., President Contract term: through July 31, 2020**  
**Pegasus Communications, Inc.**  
**16633 Ventura Blvd., Suite 1010**  
**Encino, CA 91436 Phone: 818/907-1900**  
**Major video and camera equipment listing attached, Exhibit 14E**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.**

## 15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Lou Scalera, Chief of Security**  
**Front Side Security Office (626) 574-6406**  
**Stable Security Office (626) 574-6469**  
**Organizational Chart: (Exhibit 15A)**

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

Pegasus Communications Inc. August 23, 2019

Cam #	Location	Camera	Type	Lens Type
1	Pan camera 1	Sony HXC-100k	Hard	Fuji 50x
2	Pan camera 2	Sony HXC-100k	Hard	Fuji 50x
3	Dirt 7/8 tower	Sony HXC-100k	Hard	Canon 50x
4	Turf 7/8 tower	Sony HXC-100k	Hard	Canon 50x
5	Dirt 3/8 tower	Sony HXC-100k	Hard	Canon 50x
5	Hill head-on	Sony HXC-100k	Hard	
6	Turf 3/8 tower	Sony HXC-100k	Hard	Canon 50x
7	1/4 pole tower	Sony HXC-100k	Hard	Canon 33x
8	Turf Hill tower	Sony HXC-100k	Hard	Canon 20x
9	Tote board finish line	Sony HXC-100k	Hard	Canon 20x
10	Walking ring	Sony HXC-100k	25ft Jib	Canon 20x
11	Walking ring tower	Sony HXC-100k	Hard	Canon 50x
12	Walking ring handheld	Sony HXC-100k	Hand Held	Canon 20x
13	Winner's Circle	Sony HXC-100k	25ft Jib	Canon 20x
14	Ground level / Winner's Circle	Sony HXC-100k	Hand Held	Canon 20x
15	Paddock Set - Remote Slide	Sony HXC-100k	Pan tilt zoom focus slide	Canon 20x
16	Tunnel	Sony	Remote	
17	SB Robo East	Sony	Remote	
18	SB Robo West	Sony	Remote	
19	SB Cable Cam West	Canon	Remote	
20	SB Cable Cam East	Canon	Remote	
21	Photo Finish	Plusmic		
22	5/16		Remote	
23	SB Box 1		Fixed	
24	SB Box 2		Fixed	
25	Super Slow Motion (Shutter)	Sony	Fixed	
26	Workout		Fixed	
27	RF Overhead Gate Camera		Remote	
28	RF LiveU		Hand Held	
29	RF Gate Camera	Panasonic	Hand Held	16x
30	Announcer		Fixed	

**1 Chief of Security**  
**1 Secretary**  
**1 Stable Security Manager**  
**1 Supervisor Investigator**

**4 Investigators**  
**36 Security Guards**  
**13 Gatemens**  
**1 Stable Credentials Secretary**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

**Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races**

B. Number of security guards in the detention stall area during a 24-hour period.

**Four Security Guards**

C. Describe number and location of surveillance cameras in detention stall area.

**Total of Sixty-Three (63) Cameras (Barns #: 117, 118, 119, 120 and 121)**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**Will follow CHRB staff directives.**

B. Plan for enhanced surveillance for trainers with high-test results.

**Contractual obligations with TOC, CTT and CHRB directives will be followed.**

C. Plan for detention stalls for repeat offenders.

**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.**

D. Number of security personnel assigned to the TCO2 program.

**As needed per the advice of CHRB staff.**

- C. Describe the electronic security system:

**The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.**

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and providing access to the barn area, are also under surveillance 24 hours per day. In addition, Santa Anita Park has installed approximately 1,100 additional surveillance cameras to the backside and "gap".**

- D. For night racing associations. Describe emergency lighting system: **Not applicable**

## **16. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**SANTA ANITA PARK  
SECURITY LIVE RACING**

**Chief of Security**  
Lou Scalera

**Office Assistant**  
Tencha Diaz

**FRONTSIDE SECURITY**

**STABLE SECURITY**

**Area Manager Supervisor**  
Michael Pytel

**Stable Security Supervisors**  
Sgt. Mike Veladez  
Sgt. Paul Nalbandian

**Area Managers**  
Sam Capuano  
Mitzuh Carrillo  
Hector Feliciano  
Rex Fisher  
Mike Flanagan  
Ray Larriva  
George Mendoza  
Dan Pfau  
Mike Pytel  
Chris Thiffault

**Stable Credentials**  
Corina Carter

**Uniform Security Officers**  
Jose Alvarez  
Daniel Aragon  
Ruben Arias  
John Butler  
Richard Cantu  
Berenise Cardoso  
Nelson Cuellar  
Ben Denovel  
Stephen Flaherty  
Cesar Franco  
David Gonzales  
Carroll Gray Jr  
Robert Hadley  
Ollis Hartley  
Abel Iniguez  
David Jimenez  
Sergio Lozano  
Sgt Mark Mattick  
Anthony Mora  
Michael Phillips  
Allen Redding  
Louis Rodriguez  
Vladimir Roy  
Axel Sandoval  
Kenneth Shiba  
John Vergo  
Aaron White

**UNIFORM SECURITY OFFICERS**  
Byron Carter  
William Griffin  
Brandon Islas  
John Lo  
James White

**Stable Gateman**  
Eduardo Barsallo  
Al Castaneda  
Mari Comsa  
Manny Diaz  
Raymundo Franco  
Frank Garcia  
Yolidaved Garcia  
Cesar Hernandez  
Clyde Higgins  
Luis Holguin  
Shandra Hudson  
Joann Lopez  
Jo Linda Palmer  
Ignacio Ramirez

**Parking Patrol Officers**  
Fernando Valenzuela





Saturday August 24, 2019

To: Paige Richard

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Ryan Merchant  
Director of Operations  
(951) 880-6263  
rmerchant@symonsambulance.com

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

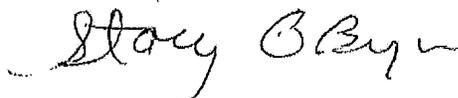
August 23, 2019

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President  
Huntington Ambulance, LLC

# MERCY

*Medical  
Transportation  
Inc.*

ADMINISTRTION  
5081 Hwy. 104, Ste B  
Mariposa, CA  
95338  
PO Box 5004  
Mariposa, CA  
95333-5004  
(209) 966-5762  
Fax (209) 966-4901

August 23, 2019

Paige Rickerd  
Los Angeles Turf Club, Inc.  
Santa Anita Park  
285 W Huntington Drive

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics and Emergency Medical Technicians (EMTs) that are licensed and/or certified in the State of California and the County of San Diego. All our employees endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation prior to hire.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,

*Douglas Moriarty*

Douglas Moriarty  
Vice President of Operations

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):  
**Arcadia Methodist Hospital** Phone: **(626) 445-4441**  
**300 W. Huntington Drive**  
**Arcadia, CA 91007**
  
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Arcadia Methodist Hospital** Phone: **(626) 445-4441**  
**300 W. Huntington Drive**  
**Arcadia, CA 91007**
  
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**Exhibit 16F**
  
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Dave Taylor – Environmental Health and Safety Manager; Lou Scalera – Chief of Security**
  
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Exhibit 16H**
  
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
**Liberty Mutual Fire Insurance Company**  
**PO Box 989000**  
**West Sacramento CA 95798**  
**Policy # WA2-B7D-170912-019 Expires 6/30/2020**
  
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
**Exhibit 16J**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## **17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:



EMERGENCY MEDICAL PROCEDURES  
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
  - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
  - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
  - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
  - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS  
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hispital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
  - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
  - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jintete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
  - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
  - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



# City of Arcadia

## Fire Department

Michael Lang  
*Fire Chief*

September 9, 2019

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2019-2020 Main Meet)

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2019-2020 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2019-2020 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian  
Fire Marshal

cc: Tim Ritvo, President, L.A.T.C.  
Eric Sindler, L.A.T.C.  
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.  
Ed Martinez, Asst. Facility Manager, L.A.T.C.  
Sherrie Thayer, Executive Assistant, L.A.T.C.  
Joshua Funk, Fire Marshal, L.A.T.C.

No.: 2019-3-ANITA

Dated: June 28, 2019

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

<b>Certificate Holder:</b> California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	<b>Named Insured and Address:</b> Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
---	--

**This certificate is issued regarding:**

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-17	Jun 30, 2019 to Jun 30, 2020	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-019	Jun 30, 2019 to Jun 30, 2020	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

**Additional Information:**

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

**Notice of cancellation:**

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

<b>Marsh Canada Limited</b> 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited   By: _____ Matthew Warnholtz
---	---

Does the association provide its own concessions? Yes  No

**HANDICAPPERS**

**Bob's Card Incorporated** (CHRB-25A and CHRB-87 on file with CHRB.)  
**Jeff Bohland**  
**5090 Montville Trail**  
**Medina, OH 44256**

**Winners Card Corp.** (CHRB-25A and CHRB-87 on file with CHRB.)  
**% Toby Turrell**  
**532 1/2 D Via De La Valle**  
**Solana Beach, CA 90275**

**Duke Racing Selections** (CHRB-25A and CHRB-87 on file with CHRB.)  
**c/o John Acquarelli**  
**4615 Trieste Drive**  
**Carlsbad, CA 92008**

**Today's Racing Digest, Inc.** (Exempt from CHRB-25A and CHRB-87)  
**Jason Karches**  
**5790 Miramar Road, Suite 203**  
**San Diego, CA 92121**

**18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the board**

B. Promotional/ Marketing budget for this race meeting: **On file with the board**

Promotional/Marketing budget for prior race meeting: **On file with the board**

C. Number of hosts and hostesses employed for meeting:

**10\* - Group Sales handicapping aides to assist newcomers**

**11\* – Food and Beverage hostess's (11 weekends, 4 weekdays)**

**16\* – SST's Mutuel staff (14 on weekdays and 16 on weekends)**

**\*Estimates**

D. Describe facilities set aside for new fans:

**Customer Service** – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

**THOROUGHBREDS Centers** – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

**Information Windows** – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

**XpressBet** – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans

**New Daktronics Video Board**

**Replaces existing board with new 15 mm HD video display**

**Players' Lounge**

**Located just above Clockers' Corner at the top of the stretch, this area will play host to the Breeders' Cup Betting Challenge, and affords players ready access to over 30 big screen television monitors, Wi-Fi, betting windows and food and beverage options.**

**Sirona's**

**Put in new amplifiers and redid the speakers**

3. Facilities in the restricted areas
  - a) **Extensive repairs to all tack rooms including the painting of every room we had access to, patching walls and repairing floors.**
  - b) **Repairing the roof of over 50% of barn.**
  - c) **Overhauling of the gutters and wash rack on the backstretch including re-cementing and extensive cleaning.**
  - d) **Restrooms were renovated, including plumbing, new paint and new fixtures in the showers.**
  - e) **Painting of the Equine Hospital**
  - f) **Planting trees and laying of over 13,000 square feet of sod.**

**19. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from previous year:

Admission (general)	<b>\$5.00</b>	<b>Weekends &amp; Holidays</b>
	<b>Free</b>	<b>Weekdays (Thursday/Friday)</b>
Club House Admission	<b>\$10.00</b>	<b>Weekends &amp; Holidays</b>
	<b>Free</b>	<b>Weekdays (Thursday/Friday)</b>
<del>Reserved seating (general)</del>	<del><b>Free</b></del>	
<del>Reserved seating (clubhouse)</del>	<del><b>\$10.00</b></del>	<del><b>Sold on premium days only.</b></del>
Annual Admission Passes (general)	<b>\$100.00</b>	<b>Calendar Year</b>
Annual Admission Passes (Club House)	<b>\$150.00</b>	<b>Calendar Year</b>
CH Box Seat	<b>\$10.00</b>	<b>Non-Premium Days</b>
	<b>\$40.00</b>	<b>Premium Days</b>
CH Loge Box Seat (online sales: includes admission, seat and program)	<b>\$12.00</b>	<b>Non-Premium Days</b>
	<b>\$40.00</b>	<b>Premium Days</b>
Parking (valet)	<b>\$10.00</b>	
Parking (general)	<b>\$4.00</b>	<b>Weekends &amp; Holidays</b>
	<b>Free</b>	<b>Weekdays (Thursday/Friday)</b>
Parking (preferred)*	<b>\$5.00</b>	
Programs (on-track) – Big	<b>\$3.00</b>	
Programs (off-track) – Big	<b>\$3.50</b>	

\* Will vary depending upon Group packages sold

B. Describe any "Season Boxes" fees:

**Annual Season Box 2020:**

**Seating for Winter/Spring & Autumn**

**Meets: Price includes CH admission**

<b>Finish Line Box:</b>	<b>4 Seats</b>	<b>\$4,390</b>
	<b>6 Seats</b>	<b>\$5,850</b>
	<b>8 Seats</b>	<b>\$7,560</b>
<b>Premium Box:</b>	<b>4 Seats</b>	<b>\$3,000</b>
	<b>6 Seats</b>	<b>\$3,750</b>
	<b>8 Seats</b>	<b>\$4,800</b>

C. Describe any "package" plans such as combined parking, admission and program: **Not applicable**

**20. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input style="width: 50px;" type="text" value="40+"/>        |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area              |
| <input checked="" type="checkbox"/> Masseur                        | <input checked="" type="checkbox"/> Food/beverage service               | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers:  
**Same amenities as above, but in separate quarters. Shared food and beverage area.**

**21. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing was completed by (name) **Lan Cao/County of Los Angeles Public Health** on (date) **8/30/18**. **Returning to complete on 9/21/18.**

B. Number of rooms used for housing on the backstretch of the racetrack: **445**

C. Number of restrooms available on the backstretch of the racetrack: **39 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)**

D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

**22. TRACK SAFETY**

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

B. Describe the type of track surface at the facility, including the specific track surface composition: **Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**

C. The percent of cross slope in the straight-aways is: **3%**  
 The percent of cross slope in the center of the turns is: **5%**

D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal**

**gooseneck with a 4 inch round rail with safety netting at zero. Duralock portable rail used at other settings. The outside turf rail is a 2 inch metal rail.**

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
**Dennis Moore, Consultant**  
**Andy LaRocco, Track Superintendent**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.  
**On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.  
**Not applicable**

## **23. DECLARATIONS**

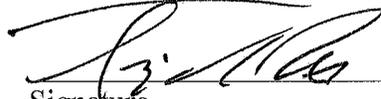
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**Will be forwarded to the board upon completion**
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board.**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).  
**N/A**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):  
**No exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Tim Ritvo  
Print Name

  
Signature

President  
Print Title

9/16/19  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF  
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO  
ESTABLISH CONDITIONS, BETWEEN THE LOS ANGELES TURF CLUB (LATC) AND  
THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC) REGARDING ENTRY  
CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE  
IMPLEMENTED BY THE LATC AT ITS DECEMBER 18, 2019 THROUGH JUNE 23,  
2020 RACE MEETING AT THE SANTA ANITA RACETRACK

Regular Board Meeting  
November 21, 2019

## ISSUE

[CHRB Rule 1581](#), Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California (TOC) and the Board. Since March of 2019, Los Angeles Turf Club has conditioned all races run at Santa Anita Racetrack to be run with reduced levels of furosemide (Lasix) as well as a required 14-day stand down for all intra-articular injections. Presently, the parties are discussing whether an agreement will be reached to similarly condition races run at Santa Anita Racetrack to be run with reduced levels of Lasix and a required 14-day stand down for all intra-articular injections.

## BACKGROUND

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [Business and Professions Code section 19562](#) provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

## ANALYSIS

A request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to

provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Should LATC desire to continue to condition races run at Santa Anita Racetrack with reduced levels of Lasix and a required 14-day stand down for all intra-articular injections an agreement with the horseman's organization, here the TOC, must be reached and submitted to the Board for approval.

#### RECOMMENDATION

Staff recommends the Board hear from representatives of LATC and the TOC.

STAFF ANALYSIS  
November 21, 2019

Issue: DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T) AT LOS ALAMITOS RACE COURSE, COMMENCING DECEMBER 4, 2019 THROUGH DECEMBER 17, 2019, INCLUSIVE.

Los Alamitos Racing Association (LARA) filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course December 4, 2019 through December 17, 2019, or 8 days; same as in 2018. Live racing will commence December 5, 2019 through December 15, 2019. LARA proposes to race a total of 68 races, or 8.5 races per day. In 2018, LARA ran 8.75 races per day with an average of 7.44 runners per race. The (actual) average daily purse for the 2018 LARA meet during the same time period was \$300,054. The (estimated) average daily purse for this meet is \$298,370.

- The race dates proposed are the dates allocated:

December - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- Racing concurrently with Pacific Racing Association October 17, 2019 through December 11, 2019.
- First live post 1:00 p.m. weekdays; 12:30 p.m. weekends.
- Wagering program will use CHRB and Association of Racing Commissioners International (ARCI) rules.
  - Early wagering will start at 8:30 a.m. and end at 10:00 a.m.
  - \$2 Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
  - \$1 Trifecta on eligible races.
  - \$0.10 Superfecta on all qualifying races.
  - Incoming simulcasts will follow host track format.
  - \$2 Pick n Pool (PNP) 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
  - \$1 PNP 4 on second race and last four races. Alternate runner provision.

- \$0.50 PNP 5 will be offered starting on the first race. 14% takeout. One tier payoff: 100% carryover.
- \$1 Pentafecta (Super High Five) in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LARA's initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

- Pursuant to Business and Profession Code 19464(b) LARA is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LARA \$100,000 surety bond on file is current.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpires, and NYRAbets, LLC.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five

weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Xpressbet has submitted all documents required in compliance with Business and Professions Code section 19604.
- TVG has submitted all documents required in compliance with Business and Professions Code section 19604.
- Twinspires has submitted all documents required in compliance with Business and Professions Code section 19604.
  
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
  
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
  
- Track safety inspection has been requested and will be completed before the race meeting begins.
  
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. Thoroughbred Owners of California race meet agreement.
2. Pertinent 2019 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows LARA designated ADW providers [Nyrabets, LLC] to accept wagers on races conducted in and outside of California for the applied license term (expires 11/30/2019).

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
CHRB-17 (Rev 1/16)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: F. Jack Liebau, c/o Los Alamitos Racing Association, 4961 Katella Avenue, Los Alamitos, CA 90720 (714) 820-2800, Fax (714) 820-2743. jackliebau@yahoo.com

B. Breed of horse: TB  QH  H

C. Racetrack name: Los Alamitos Racing Association

C. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. Deposit on file with the CHRB

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars. Bond on file with CHRB

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: December 4, 2019 through December 17, 2019

B. Actual dates racing will be held: 12/5, 12/6, 12/7, 12/8, 12/12, 12/13, 12/14, 12/15

C. Total number of days or nights of racing: 8

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) Thursday through Sunday

CHRB CERTIFICATION

Application received: 9/10/19  
Deposit received: on file  
Reviewed: [Signature]

Hearing date: 11/21/19  
Approved date:  
License number:

E. Number of days or nights of racing per week: 4

**3. RACING PROGRAM**

A. Total number of races: 68

B. Number of races for each day or night: 8 races on weekdays, 9 on weekends

C. Total number of stakes races: 4

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. Attached

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). Attached

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. None

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

List all post times for the daily racing program:

Weekends	Weekdays
Race 1 – 12:30 pm	1:00 pm
Race 2 – 1:00 pm	1:30 pm
Race 3 – 1:30 pm	2:00 pm
Race 4 – 2:00 pm	2:30 pm
Race 5 – 2:30 pm	3:00 pm
Race 6 – 3:00 pm	3:30 pm
Race 7 – 3:30 pm	4:00 pm
Race 8 – 4:00 pm	4:30 pm
Race 9 – 4:30 pm	

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)

2019	2018	2017
The Starlet Gr I	The Starlet Gr I	The Starlet Gr I
\$300,000 Guaranteed	\$300,000 Guaranteed	\$300,000 Guaranteed
2 yr Fillies 1 1/16	2 yr Fillies 1 1/16	2 yr old Fillies 1 1/16
Los Alamitos Futurity Gr II	Los Alamitos Cash Call Futurity Gr I	Los Alamitos Cash Call Futurity Gr I
\$200,000 Guaranteed	\$300,000 Guaranteed	\$300,000 Guaranteed
2 yr olds 1 1/16	2 yr olds 1 1/16	2 yr olds 1 1/16
Soviet Problem (Cal Bred)	Soviet Problem (Cal Bred)	Soviet Problem Stakes (Cal Bred)
\$100,000 Guaranteed	\$100,000 Guaranteed	\$100,000 Guaranteed
2 yr old Fillies 1 1/16	2 yr old Fillies 1 1/16	2 yr old Fillies 1 1/16
King Glorious (Cal Bred)	King Glorious (Cal Bred)	Bayakoa Stakes Gr II
\$100,000 Guaranteed	\$100,000 Guaranteed	\$200,000 Guaranteed
2 yr olds 1 mile	2 yr olds 1 mile	F&M 3yr & Up 1 1/16
		King Glorious Stakes (Cal Bred)
		\$100,000 Guaranteed
		2 yr olds 1 mile

LA Fall Purses 2019

TYPE	PURSE	X	TYPE 1	PURSE 1
MDN CLM 20,000	\$15,000.00			
MDN CLM 30,000	\$17,000.00		CLM 32000 NWY	\$25,000.00
MDN CLM 40,000	\$18,000.00		CLM 25,000 NW2 LIFETIME	\$22,000.00
MDN CLM 50,000	\$21,000.00		CLM 25,000	\$24,000.00
MDN CLM 75,000	\$26,000.00		SA 25,000	\$25,000.00
MDN ALW	\$40,000.00		CLM 32,000	\$30,000.00
			SA 40,000 NW2 LIFETIME	\$26,000.00
CLM 6,250 NW2 CLAUSE OR YEAR	\$12,000.00		CLM 40,000	\$32,000.00
CLM 6,250	\$13,000.00		CLM 50,000	\$35,000.00
CLM 8,000 NW2 CLAUSE OR YEAR	\$14,000.00		SA 50000 NW2 LIFETIME	\$28,000.00
SA 8000	\$15,000.00		CLM 62,500	\$38,000.00
CLM 8,000	\$15,000.00			
CLM 10,000 NW2 CLAUSE OR YEAR	\$15,000.00			
CLM 10,000	\$16,000.00		ALW 1X OPT/CLM 40-80	\$45,000.00
CLM 12,500 NW2 CLAUSE OR YEAR	\$16,000.00		ALW 2X OPT/CLM 62,500	\$48,000.00
CLM 12,500	\$17,000.00		ALW 3X OPT/CLM 80,000	\$55,000.00
SA 12,500	\$18,000.00		OVERNIGHT STAKE	\$75,000.00
CLM 16,000 NW2 LIFETIME	\$17,000.00		STAKE	\$100,000.00
CLM 16,000	\$18,000.00			
SA 16,000	\$20,000.00			
CL20,000	\$21,000.00			

Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file with CHRB

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: : C1650097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
 Dr. Edward Allred – President, Director 100%  
 Rick English 0%, CFO  
 Tom Siebly 0%, Director  
 Don Valpredo 0%, Director  
 Frank Sherren 0%, Director  
 Cathy Monji 0%, Director and Secretary  
 Jack Liebau 0%, Director  
 Richard Shapiro 0%, Director  
 Mike Harrington 0%, Director
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: None
6. Number of outstanding shares in the corporation: 2,000
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: n/a
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
 n/a  
 A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Most current statement on file with CHRB
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

**G. MANAGEMENT AND STAFF**

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C. Allred, President, CEO  
 F. Jack Liebau, Vice-President  
 Rick English, CFO  
 Orlando Guitierrez, Director of Publicity & Marketing  
 Frank Sherren, Plant Superintendent  
 Rick Hughes, Track Superintendent  
 Dennis Moore, Track Consultant  
 John Ferrero, Director of Mutuels  
 Michael Wrona, Track Announcer  
 Cathy Monji, Director of Security  
 Robert Hurd, Director of Operations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). F. Jack Liebau, 4961 Katella Ave., Los Alamitos, CA 90720. jackliebau@yahoo.com

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5, Daily Double  
 Proposed percentage: Pick 5 – 14%, Daily Double 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. Attached

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • [www.losalamitos.com](http://www.losalamitos.com)

September 7, 2019

Ms. Jackie Wagner  
Assistant Executive Director  
CALIFORNIA HORSE RACING BOARD  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.1, the Los Alamitos Racing Association, after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during the Los Alamitos Winter Race Meet, December 4, 2019 through December 17, 2019.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick 5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

Very truly yours,

  
F. Jack Liebau



September 10, 2019

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: 2019 Los Alamitos Winter Race Meet – Players Pick 5

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of the “Players Pick 5” on the first five (5) races of the day subject to a 14% takeout at its upcoming Winter race meet from December 5 through December 22, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Jack Liebau, Los Alamitos



September 10, 2019

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: 2019 Los Alamitos Winter Race Meet – Rolling Doubles

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its upcoming Winter race meet from December 5 through December 22, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Jack Liebau, Los Alamitos

association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2018 8 race days	50,082,474	45,444
2017 11 race days	73,786,346	74,380
2016 8 race days	55,189,054	50,836
2015 12 race days	70,976,636	77,845
2014 12 race days	69,536,671	84,616

**7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):**

**A. Purse distribution:**

1. All races other than stakes:

Current meet estimate: 1,603,954  
 Prior meet actual: 1,612,651

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: 200,494  
 Prior meet actual: 201,581

2. Overnight stakes:

Current meet estimate:  
 Prior meet actual:

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:  
 Prior meet actual:

3. Non-overnight stakes:

Current meet estimate: 558,000  
 Prior meet actual: 558,100

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: 69,750  
 Prior meet actual: 69,763

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: 2,161,954  
 Prior meet actual: 2,170,751

**B. California-bred Stakes Races:**

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 21.3%  
 Prior meet actual: 21.3%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 2.67%

Prior meet actual: 2.67%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: 179,907

Prior meet actual: 183,579

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

TOC 16,948

17,243

CTT 25,423

25,865

NTRA 2,725

2,995

Total 45,096

Total 46,103

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: 2,386,957

Prior meet actual: 2,400,433

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: 298,370

Prior meet actual: 300,054

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: 1,280,263

Prior meet actual: 1,283,735

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: 160,033

Prior meet actual: 160,467

- G. Purse funds to be generated from interstate handle:

Current meet estimate: 692,133

Prior meet actual: 729,950

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: 86,517

Prior meet actual: 91,244

H. Bank and account number for the Paymaster of Purses' purse account: Bank of the West  
4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen & McBeth Inc. 10722 Arrow Route, Suite 110

Rancho Cucamonga, CA 91730 (909) 944-6465 email - craigmiller@verizon.net

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 850
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,395
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita--1,900; San Luis Rey Downs -- 495
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

The 2019 Southern California off-site Stabling Agreement is on file with CHRB

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$14.50
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse: Santa Anita - \$115 round trip San Luis Rey Downs - \$215 round trip

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: See attachment.
- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. See attachment.

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 0.10 SF	CHRB #1959, 1979, 1957, 1977, 1976.9, 1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$2PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 \$2PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3\$2DD 0.10 SF \$1 Super Hi5	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1, ARCI #004-105Subsection X Pick (n) Position (x) Pools

- \*\$2 Rolling Daily Double. 20% takeout. Placement of last DD will depend on # of races.
- \*\$1 Trifecta on all eligible races. (CHRB 1979)
- \* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)
- \* Incoming simulcasts will follow host track format.
- \* \$2 PNP6 last six live races 70% carryover, 30% minor, alternate runner provision (CHRB 1976.9)
- \*\$1 PNP4 starting second race and last four races, alternate runner provision (CHRB 1976.9)
- \*\$0.50 PNP5 starting first race. 14% takeout. One tier payoff: 100% carryover
- \*\$1 Super High 5 in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None
- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: Unlimited, final distribution 12/15/2019
- D. List any options requested with regard to exotic wagering:

Supplemental Answer to Question 9 of Los Alamitos Racing Association's License Application

The Track Veterinarian is Dana Steed (970)310-4449. In the morning, the track veterinarian pre-checks fifty percent of the horses entered on a particular day. Thereafter, he observes horses when they are in the paddock, views them in the post parade, accompanies them to the Starting Gate and then follows them during the race. When they return to be unsaddled, he will again observe them for "soundness". *In short*, the Track Veterinarian is on site in the morning inspecting the horses entered on a particular day as well as during the races. Dr. Steed will be at Los Alamitos Racecourse from 12/5/2019 to 12/17/2019 checking horses in the morning from 6:00am to 10:00am and during the races from 1:00pm to 6:00pm.

The other fifty percent of the horses entered on a particular day will be inspected by Dr. Grande (626) 476-8743. In the morning, Dr. Grande would spend the same time checking horses as Dr. Steed. In the afternoon, Dr. Grande's hours are prescribed by his employer, the CHRB.

Between 12/5/2019 and 12/17/2019, there will be a rotation of private veterinarians on site (Los Alamitos Racecourse) and at the auxiliary sites (Santa Anita and San Luis Rey Downs) during training and work outs, customarily between 5:00am and Noon. On site, the private veterinarians are also present during the races, usually between 1:00pm and 6:00pm. The specific hours of the private veterinarians are not controlled by Los Alamitos because they are retained by the owners/trainers of the horses. At night, the private veterinarians may be called should a horse experience colic, become cast in a stall or develop some other ailment. Also, the day to day operation of the auxiliary sites are maintained/controlled by their ownership, the Stronach Group, not Los Alamitos Racing Association. The Stronach Group is compensated for the maintenance of the auxiliary sites by the Stabling and Vanning Committee, not Los Alamitos Racing Association.

Attached is a list of the private veterinarians who are understood to care for horses on site as well as at the auxiliary sites and the cell numbers of each such private veterinarian. We understand that these cell numbers are the business numbers of the private veterinarians and therefore are not private or confidential numbers. Stable offices at Los Alamitos as well as offsite facilities at Santa Anita and San Luis Rey Downs have the cell phone numbers of the private veterinarians available in case of emergency.

**Veterinarians Available for Emergency Services****Attachment for 9A****Los Alamitos Summer Thoroughbred Meet**

Vet	Phone	Name of Practice
<u>Los Alamitos:</u>		
Arthur, Rick	(626) 665-8130	UCD-SVM/CHRB
Baker, Vince	(714) 269-1301	Baker
Bergstrom, Thomas*	(951) 522-0722	Equine Sports Medicine
Birch, Sarah	(614) 783-1237	Simpson/Dowd
Blea, Jeff	(626) 862-6149	Blea/Von Bluecher
Bradley, Sam	(310) 339-7666	Baker
Brokken, Todd	(626) 862-6198	Blea/Von Bluecher
Canfield, Cathy	(626) 372-4571	Baker
Equine Sports Medicine Pager	(714) 212-0200	Equine Sports Medicine
Grande, Tim	(626) 476-8743	LATC/CHRB
Hargrove, Nicola	(310) 617-6727	
Hunkin, Dawn	(626) 862-6150	Blea/Von Bluecher
Lorbiecki, Jocelyn	(949) 939-3547	Equine Sports Medicine
McCaughan, Mark	(562) 400-3298	
Overly, Rick	(714) 270-9425	Equine Sports Medicine
Schmidt, Stephanie	(561) 425-5401	Equine Sports Medicine
Stead, Dana	(970) 310-4449	Los Alamitos
Troncatty, Ashley	(818) 414-2040	Equine Sports Medicine
Von Bluecher, Helmut	(626) 862-6147	
Warren, Herb	(714) 397-4206	
Wharton, Heather	(805) 218-8489	Blue
Yacoub, Anita	(951) 206-7496	Equine Sports Medicine

San Luis Rey Downs (off-track training)

Jenkins, Chuck	(970) 217-8132	Magrini
Manno, Mike	(619) 871-4541	San Dieguito Equine Group
Magrini, Ron	(760) 880-1925	Magrini
Porubovich, Lindsay	(602) 290-0623	Magrini
Robinson, Brett	(818) 679-1114	San Dieguito Equine Group

Santa Anita (off-track training)

Anderson, Elizabeth	(626) 808-2508	Shields
Araujo, John	(626) 893-7612	Self
Blue, Melinda	(626) 233-9922	Blue
Bohannon, Laura	(626) 710-7281	Shields
Buerchler, Sabina	(626) 536-8080	
Byrd, Wade	(210) 245-7000	Baker
Carpenter, Ryan	(805) 320-4811	Baker
Dowd, Joe	(818) 400-7498	Simpson/Dowd
Finley, Jenn	(626) 422-6412	Blea/Von Bluecher
Shields, Don	(626) 485-0374	
Simpson, Ted	(818) 970-1536	
Steppe, Mike	(951) 318-7335	

\* Dr. Bergstrom will start towards 2nd half of July

Dr. Karen Valko at 951-317-7935 - not available during Los Alamitos meets

E. Will "advance" or "early bird" wagering be offered? Yes  No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
Each race day from 8:30 am to 10:00 am

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

AmTote-Keith Johnson, 11200 Pepper Rd, Hunt Valley MD 800 345-1566, expires August, 2020

G. List below the takeout percentage for each type of wager identified in 10.A.:

**TAKEOUT PERCENTAGE**  
(Example) PNP5-14%

Win/Place/Show -15.43%

DD - 20.00%

E - 22.68%

PNP5 - 14%

Tri/SF/PK3/PK4/PNP6/SuperHi5 - 23.68%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

XpressBet

TVG

Twin Spires

NYRABets

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. Attached

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Southern California Off-Track Wagering Inc. (SCOTWINC)

B. Attach the agreement between the association and simulcast organization permitting the



September 10, 2019

Mr. Jack Liebau  
Los Alamitos Racecourse  
4961 Katella  
Los Alamitos, CA 90720

RE: Los Alamitos Race Course (LARC) 2019 Winter Race Meeting ADW Approvals

Dear Jack,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Race Course (LARC) race signals under the terms and conditions set forth below.

Pursuant to Section 30, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and LARC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on LARC and other Thoroughbred races during the 2019 race meeting, December 5 through December 22, 2019, as follows:

**1. California wagering on LARC races:**

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**2. California wagering on out-of-state Thoroughbred races:**

---

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER  
PRESIDENT & CEO: GREG AVIOLI  
EXECUTIVE DIRECTOR: MARY FORNEY  
ADMINISTRATIVE ASSISTANT: ELSA PERON

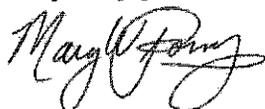
- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**3. Out-of-state wagering on LARC races:**

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. SCOTWINC agreement is on file with CHRB

- C. California simulcast facilities the association proposes to offer its live audiovisual signal: Attached
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: Attached
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": Attached

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

## Exhibit 12C

### Satellites

Alameda County Fair, Pleasanton  
Antelope Valley Fair, Lancaster  
Big Fresno Fair, Fresno  
Cabazon Fantasy Springs Casino, Indio  
California State Fair & Expo, Sacramento  
Fairplex Park @ Barretts, Pomona  
Fresno Club One, Fresno  
Golden Gate Fields, Albany  
Hollywood Park Casino, Inglewood  
Humboldt County Fair, Ferndale  
Los Alamitos Racecourse, Los Alamitos  
Monterey County Fair, Monterey  
National Orange Show, San Bernardino  
Pechanga Resort Casino, Temecula  
San Bernardino County Fair, Victorville  
San Joaquin County Fair, Stockton  
San Mateo Event Center, San Mateo  
Santa Anita Park, Arcadia  
Santa Clara County Fair, San Jose  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Sports Pavilion, the Farmers Fair, Lake Perris  
Ventura County Fair, Ventura  
Viejas Casino & Turf Club, Alpine

## Exhibit 12D

AmWest Entertainment, KY  
Arapahoe Park, Colorado  
Arima Race Course, Trinidad  
Arlington International Race Course, Illinois  
Assiniboia Downs, Canada  
Atlantic City Casino Assn., New Jersey  
Atlantis Resort and Casino, Bahamas  
Bangor Raceway, Maine  
Barrie Raceway, Ontario  
Batavia Downs, New York  
Belterra Race Course, OH  
Bet America, LLC, North Dakota  
Bet Fair Games Limited, UK  
Bettor Racing OTB, South Dakota  
Birmingham Race Course, Alabama  
Bordertown OTB, Oklahoma  
Buffalo Trotting Association, New York  
Bwin International, Ltd.  
Caliente (MIR), Mexico  
Camarero, Puerto Rico  
Canterbury Park, Minnesota  
Capital District OTB, New York  
Catskill Regional OTB, New York  
Caymanas Race Track, Jamaica  
Century Downs, Alberta, Canada  
Charles Town Races, West Virginia  
Chester Downs - Pennsylvania  
Churchill Downs, Kentucky  
Clinton Teletheatre, Canada  
Codere, Mexico  
Codere, Spain  
Columbus Raceway, Nebraska  
Connecticut OTB, Connecticut  
Corpus Christi Greyhound, Texas  
Coushatta Casino Resort, Louisiana  
Dayton Park, OH  
Divi Carina Bay Casino, Louisiana  
Delaware Park, Delaware  
Delta Downs, Louisiana  
Dover Downs, Delaware  
Downs at Albuquerque, New Mexico  
Ebet Online, Oregon  
Elite Turf Club, Curacao  
Ellis Park, Kentucky  
Elmira Raceway, Ontario  
Equus St. Thomas, St. Thomas  
Emerald Downs, Washington  
Euro Offtrack, Isle of Man  
Evangeline Downs, Louisiana  
Evansville/Clarksville OTB, Indiana  
Fairgrounds ADW, Louisiana  
Fairgrounds Racecourse, Louisiana  
Fair Meadows, Texas  
Favorites at Gloucester, New Jersey  
Finger Lakes Bets, NY  
Finger Lakes Race Track, New York  
Flamboro Downs, Ontario  
Fonner Park, Nebraska  
Fort Erie Racetrack, Canada  
Foxwoods Casino, Connecticut  
Fraser Downs, British Columbia  
Freehold Raceway, New Jersey  
Gillespie County Downs, Texas  
Grand River, Canada  
Greentrack, Alabama  
GWS German Tote, Germany  
Gulf Greyhound, Texas  
Gulfstream Park, Florida  
Harrington Bets, Delaware  
Harrington Raceway, Delaware  
Hastings Park, Canada  
Hawthorne Race Course, Illinois  
Hiawatha Downs, Ontario  
Hipica de Panama, Panama  
Hipodromo Camarero, Puerto Rico  
Hipodromo Montericco, Peru  
Ho-Chuck Casino, Connecticut  
Hodge OTB, Connecticut  
Hoosier Park, Indiana  
Horsemen's Park, Nebraska  
Idaho Fall OTB, Idaho  
Indiana Downs, Indiana  
Inverness Raceway, Canada  
Iowa Greyhounds, Iowa  
Intermountain Racing, Idaho  
John Martins Manor, Maine  
Kawartha Downs, Ontario  
Keeneland, Kentucky  
Kentucky Downs, Kentucky  
Kentucky OTB, Kentucky

## Exhibit 12D

Las Vegas Dissemination Company, Nevada  
Les Bois (Treasure Valley), Idaho  
Lewiston OTB, Maine  
Lien Games, North Dakota  
Lincoln Greyhound Park, Rhode Island  
Lone Star Race Park, Texas  
Louisiana Downs, Louisiana  
Magna Bet, Austria  
Mahoning Valley Race Course, OH  
Maronas Race Track, Uruguay  
Marquis Downs, Saskatchewan  
Maryland Jockey Club, Maryland  
Maywood Park, Illinois  
Meskwaki Casino, Oklahoma  
Miami Valley Gaming, Ohio  
Millennium Racing, Trinidad  
Mobile Greyhound Park, Alabama  
Mohegan Sun, Pennsylvania  
Monmouth Park, New Jersey  
Montana OTB, Montana  
Montericco Simulcasting, Peru  
Monticello Raceway, NY  
Mountaineer Park, West Virginia  
Nassau Regional OTB, New York  
Nebraska State Fair Park, Nebraska  
Nevada Dissemination Services, Nevada  
Nevada Pari-Mutuel Association, Nevada  
NJSEA, New Jersey  
New Jersey Mobile, New Jersey  
NYRA, New York  
NYRA Bets, New York  
Newport Jai-Alai, Rhode Island  
Northfield Park, Ohio  
Northlands Park, Canada  
Northville Downs, Michigan  
Oaklawn Park, Arkansas  
Ocean Downs, Maryland  
Offtrackbetting.com, Oregon  
Oneida Bingo and Casino, Oklahoma  
Ontario Teletheatre, Ontario  
Paragon Casino, Louisiana  
Penn National Race Course, Pennsylvania  
Philadelphia Park, Pennsylvania  
Picov Downs, Canada  
Pimlico Race Course, Maryland  
Plainridge Race Course, Massachusetts  
Plainridge Telephones, Massachusetts  
Player Management Group, CA  
Pocono Downs, Pennsylvania  
Portland Meadows, Oregon  
Pojoaque Casino, Oklahoma  
Potawatomi Casino, Wisconsin  
Prairie Meadows, Iowa  
Premier Gateway International, S.A.  
Premier Turf Club, North Dakota  
Presque Isle Downs, Pennsylvania  
Racing and Gaming Services, St Kitts  
Remington Park, Oklahoma  
Retama Park, Texas  
Rideau Carleton Raceway, Canada  
Riders Up OTB, Oklahoma  
Rockingham Park, New Hampshire  
Rocky Mountain Turf Club, Canada  
Rosecroft Raceway, Maryland  
Royal Beach Casino, St Kitts  
Ruidoso Downs, New Mexico  
Running Aces Harness Park, Minnesota  
Sam Houston Park, Texas  
Saratoga Harness, New York  
Scioto Downs, Ohio  
Seabrook Greyhound Park, NH  
Shoreline Star Greyhounds, Connecticut  
Skydancer Casino, Oklahoma  
Sol Mutuel, St Kitts  
Sol Mutuel Otrobanda, St Kitts  
Southland Greyhound Park, Arkansas  
Sports Center, Kentucky  
Suaposta, Brazil  
Suffolk District OTB, New York  
Suffolk Downs, Massachusetts  
Sunland Park Racetrack, New Mexico  
Sun Ray Park, New Mexico  
TabCorp, Australia  
Tampa Bay Downs, Florida  
TattsBet, Australia  
Taunton Dog Track, New Hampshire  
TBC Teletheatre, British Columbia  
The Greenbrier Resort, West Virginia  
The Meadows, Pennsylvania  
Thistledown, Ohio

## Exhibit 12D

Tioga Downs, New York  
Tote Investment Services, Trinidad  
Tri-State Greyhound, West Virginia  
Truro Raceway, Canada  
TVG, Arizona  
TVG Chester, Pennsylvania  
TVG, Los Angeles  
TVG, Massachusetts  
TVG Prairie Meadows, Iowa  
Turf del Norte, Mexico  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
TwinSpires, Kentucky  
Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Victoryland Greyhounds, Alabama  
Virginia Equine Alliance, Virginia  
Watch and Wager, LLC, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Arizona  
XpressBet, Illinois  
XpressBet, Pennsylvania  
Xpressbet, Southern Alberta  
Yonkers Raceway, New York  
Yonkers Empire City Bets, New York  
Zia Park, New Mexico

## Exhibit 12E

AmWest Entertainment, KY  
Arapahoe Park, Colorado  
Arima Race Course, Trinidad  
Arlington International Race Course, Illinois  
Assiniboia Downs, Canada  
Atlantic City Casino Assn., New Jersey  
Atlantis Resort and Casino, Bahamas  
Bangor Raceway, Maine  
Barrie Raceway, Ontario  
Batavia Downs, New York  
Belterra Race Course, OH  
Bet America, LLC, North Dakota  
Bet Fair Games Limited, UK  
Bettor Racing OTB, South Dakota  
Birmingham Race Course, Alabama  
Bordertown OTB, Oklahoma  
Buffalo Trotting Association, New York  
Bwin International, Ltd.  
Canterbury Park, Minnesota  
Capital District OTB, New York  
Catskill Regional OTB, New York  
Century Downs, Alberta, Canada  
Charles Town Races, West Virginia  
Chester Downs - Pennsylvania  
Churchill Downs, Kentucky  
Clinton Teletheatre, Canada  
Columbus Raceway, Nebraska  
Connecticut OTB, Connecticut  
Corpus Christi Greyhound, Texas  
Coushatta Casino Resort, Louisiana  
Dayton Park, OH  
Divi Carina Bay Casino, Louisiana  
Delaware Park, Delaware  
Delta Downs, Louisiana  
Dover Downs, Delaware  
Downs at Albuquerque, New Mexico  
Ebet Online, Oregon  
Elite Turf Club, Curacao  
Ellis Park, Kentucky  
Elmira Raceway, Ontario  
Equus St. Thomas, St. Thomas  
Emerald Downs, Washington  
Euro Offtrack, Isle of Man  
Evangeline Downs, Louisiana  
Evansville/Clarksville OTB, Indiana  
Fairgrounds ADW, Louisiana  
Fairgrounds Racecourse, Louisiana  
Fair Meadows, Texas  
Fairmont Park, IL  
Favorites at Gloucester, New Jersey  
Finger Lakes Bets, NY  
Finger Lakes Race Track, New York  
Flamboro Downs, Ontario  
Fonner Park, Nebraska  
Fort Erie Racetrack, Canada  
Foxwoods Casino, Connecticut  
Fraser Downs, British Columbia  
Freehold Raceway, New Jersey  
Gillespie County Downs, Texas  
Grand River, Canada  
Greentrack, Alabama  
GWS German Tote, Germany  
Gulf Greyhound, Texas  
Gulfstream Park, Florida  
Harrington Bets, Delaware  
Harrington Raceway, Delaware  
Hastings Park, Canada  
Hawthorne Race Course, Illinois  
Hiawatha Downs, Ontario  
Hipica de Panama, Panama  
Hipodromo Camarero, Puerto Rico  
Hipodromo Montericco, Peru  
Ho-Chuck Casino, Connecticut  
Hodge OTB, Connecticut  
Hoosier Park, Indiana  
Horsemen's Park, Nebraska  
Idaho Fall OTB, Idaho  
Indiana Downs, Indiana  
Inverness Raceway, Canada  
Iowa Greyhounds, Iowa  
Intermountain Racing, Idaho  
John Martins Manor, Maine  
Kawartha Downs, Ontario  
Keeneland, Kentucky  
Kentucky Downs, Kentucky  
Kentucky OTB, Kentucky  
Las Vegas Dissemination Company, Nevada  
Les Bois (Treasure Valley), Idaho  
Lewiston OTB, Maine  
Lien Games, North Dakota

## Exhibit 12E

Lincoln Greyhound Park, Rhode Island  
Lone Star Race Park, Texas  
Louisiana Downs, Louisiana  
Magna Bet, Austria  
Mahoning Valley Race Course, OH  
Maronas Race Track, Uruguay  
Marquis Downs, Saskatchewan  
Maryland Jockey Club, Maryland  
Maywood Park, Illinois  
Meskwaki Casino, Oklahoma  
Miami Valley Gaming, Ohio  
Millennium Racing, Trinidad  
Mobile Greyhound Park, Alabama  
Mohegan Sun, Pennsylvania  
Monmouth Park, New Jersey  
Montana OTB, Montana  
Montericco Simulcasting, Peru  
Monticello Raceway, NY  
Mountaineer Park, West Virginia  
Nassau Regional OTB, New York  
Nebraska State Fair Park, Nebraska  
Nevada Pari-Mutuel Association, Nevada  
NJSEA, New Jersey  
New Jersey Mobile, New Jersey  
NYRA, New York  
NYRA Bets, New York  
Newport Jai-Alai, Rhode Island  
Northfield Park, Ohio  
Northlands Park, Canada  
Northville Downs, Michigan  
Oaklawn Park, Arkansas  
Ocean Downs, Maryland  
Offtrackbetting.com, Oregon  
Oneida Bingo and Casino, Oklahoma  
Ontario Teletheatre, Ontario  
Paragon Casino, Louisiana  
Penn National Race Course, Pennsylvania  
Philadelphia Park, Pennsylvania  
Picov Downs, Canada  
Pimlico Race Course, Maryland  
Plainridge Race Course, Massachusetts  
Plainridge Telephones, Massachusetts  
Player Management Group, CA  
Pocono Downs, Pennsylvania  
Pojoaque Casino, Oklahoma  
Portland Meadows, Oregon  
Potawatomi Casino, Wisconsin  
Prairie Meadows, Iowa  
Premier Gateway International, S.A.  
Premier Turf Club, North Dakota  
Presque Isle Downs, Pennsylvania  
Racing and Gaming Services, St Kitts  
Remington Park, Oklahoma  
Retama Park, Texas  
Rideau Carleton Raceway, Canada  
Riders Up OTB, Oklahoma  
Rockingham Park, New Hampshire  
Rocky Mountain Turf Club, Canada  
Rosecroft Raceway, Maryland  
Royal Beach Casino, St Kitts  
Ruidoso Downs, New Mexico  
Running Aces Harness Park, Minnesota  
Sam Houston Park, Texas  
Saratoga Harness, New York  
Scioto Downs, Ohio  
Seabrook Greyhound Park, NH  
Shoreline Star Greyhounds, Connecticut  
Skydancer Casino, Oklahoma  
Sol Mutuel, St Kitts  
Sol Mutuel Otrobanda, St Kitts  
Southland Greyhound Park, Arkansas  
Sports Center, Kentucky  
Suaposta, Brazil  
Suffolk District OTB, New York  
Suffolk Downs, Massachusetts  
Sunland Park Racetrack, New Mexico  
Sun Ray Park, New Mexico  
Tampa Bay Downs, Florida  
Taunton ADW, New Hampshire  
Taunton Dog Track, New Hampshire  
TBC Teletheatre, British Columbia  
The Greenbrier Resort, West Virginia  
The Meadows, Pennsylvania  
Thistledown, Ohio  
Tioga Downs, New York  
Tote Investment Services, Trinidad  
Tri-State Greyhound, West Virginia  
Truro Raceway, Canada  
TVG, Arizona  
TVG Chester, Pennsylvania

## Exhibit 12E

TVG, Los Angeles  
TVG, Massachusetts  
TVG Prairie Meadows, Iowa  
Turf del Norte, Mexico  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
TwinSpires, Kentucky  
Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Victoryland Greyhounds, Alabama  
Virginia Equine Alliance, Virginia  
Watch and Wager, LLC, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Arizona  
XpressBet, Illinois  
XpressBet, Pennsylvania  
Xpressbet, Southern Alberta  
Yonkers Raceway, New York  
Yonkers Empire City Bets, New York  
Zia Park, New Mexico

## Exhibit 12F

### Mini-Satellites

**M1. Commerce Casino**  
6131 East Telegraph Road  
Commerce, CA 90040  
Phone: (323) 803-3693  
Contact: Edgar Wong

**M2. OC Tavern**  
2369 South El Camino Real  
San Clemente, CA 92672  
Phone (949) 542-8877  
Contact: Micheal Merrigan

**M3. Santa Maria**  
Original Roadhouse Grill  
1423 S. Bradley Rd.  
Santa Maria, CA 93454  
Phone: (805) 614-0586  
Contact John Tintle

**M4. Santa Clarita Lanes**  
21615 W. Soledad Canyon Rd.  
Saugus, CA 93454  
Phone: (661) 254-0540  
Contact: Tom Cristi

**M5. Sammy's Original**  
23221 Lake Center Drive  
Lake Forest, CA 92630  
Phone: (949) 716-2367  
Contact: Brad McKinzie

**M6. Tiled Kilt**  
1345 E. Thousand Oaks Blvd.  
Thousand Oaks CA. 91362  
Phone: (805) 777-7418  
Contact: Robert Haupt

**M7. Ocean's 11 Casino**  
121 Brooks Street  
Oceanside, CA 92054  
Phone: (888) 439-6988  
Contact: Steve Gallagher

## Exhibit 12F

**M8. Striders SD Bar & Grill**  
100 Harbor Drive  
San Diego, CA 92101  
PH: 619-363-7166  
Contact: Tony Martineau

**M9. Firehouse Restaurant**  
7701 White Avenue  
Bakersfield, CA 93313  
PH: 661-831-4688  
Contact: Russ Johnson

**M10. Lake Elsinore Casino**  
20930 Malaga Road  
Lake Elsinore, CA 92530  
PH: 951-674-5160  
Contact: Pat Wilmes

**M11. 58 Flat**  
4110 Bonita Road  
Bonita, CA 91902  
PH: 619-884-1291  
Contact: Denny Kanakaris

**M12. The Derby Club**  
3230 Hamner Avenue  
Norco, CA 92570  
PH: 925-566-2973  
Contact: Jim Baerwald

**Other tracks conducting racing during the Los Alamitos Winter Meet  
(December 5, 2019 through December 15, 2019)**

Aqueduct  
Camarero  
Charlestown  
Evangeline  
Fairgrounds  
Laurel  
Golden Gate  
Gulfstream  
Hawthorne  
Mahoning Valley  
Parx  
Penn National  
Remington Park  
Tampa Bay  
Turf Paradise  
Turfway Park  
Woodbine  
Zia Park

Name of Host Track      Breed of Horse      Race Dates      Number of Races to be Imported

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Board of Directors  
Los Alamitos Racing Assn.  
4961 Katella Ave  
Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:  
Board of Directors  
Los Alamitos Racing Assn.  
4961 Katella Ave  
Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:  
Association Veterinarian(s) Dr. Dana Steed, DVM  
Clerk of Scales Charles McCaul  
Clerk of the Course – Dawn Schmid  
Film Specialist – Board of Stewards  
Horse Identifier – Jennifer Paige

Horseshoe Inspector – Victor Tovar  
Paddock Judge – Karen Denovel  
Patrol Judges – Heather Correra, Karen Denovel  
Placing Judges – Don August, Randy Valdez  
Starter – Gary Brinson  
Timer – Russ Hudak

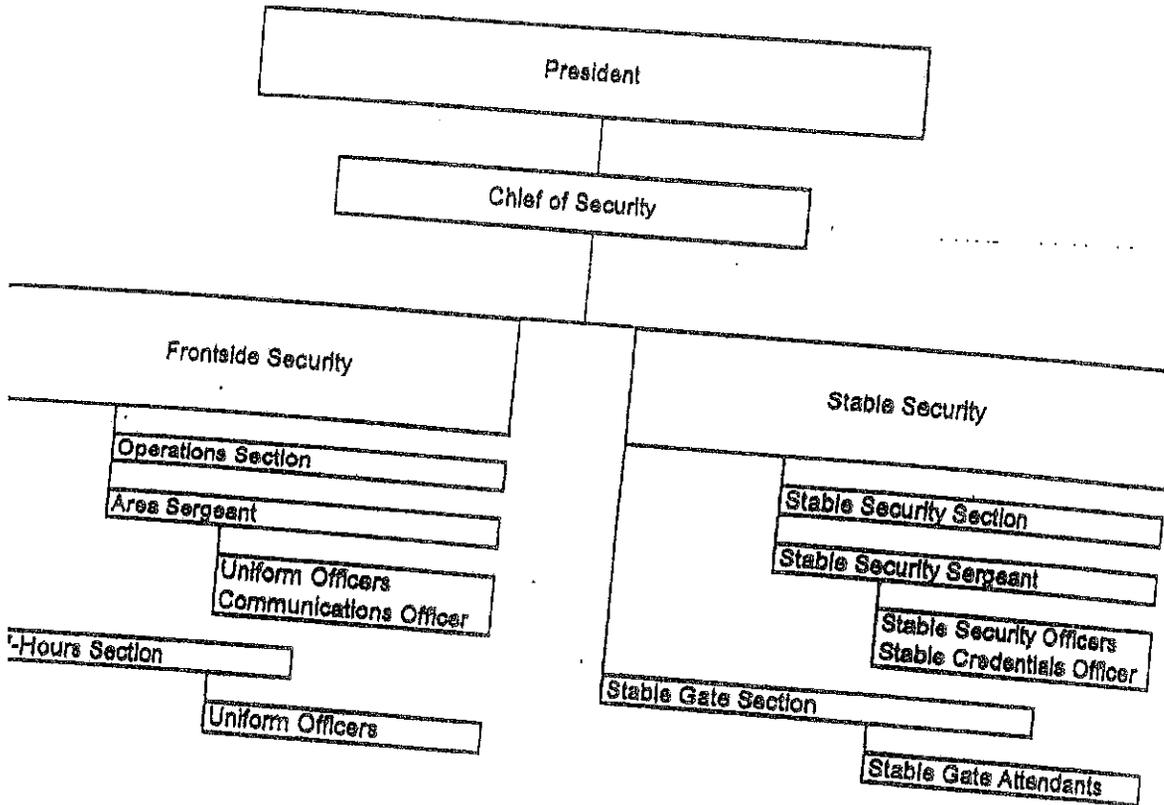
- B. Management officials in the racing department:  
Director of Racing – Robert Moreno  
Racing Secretary – Robert Moreno  
Assistant Racing Secretary – Kevin Colosi  
Paymaster of Purses – Dawn Jackson  
Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
Weinstein Court Reporters  
P O Box 26634  
Santa Ana, CA 92799  
(949) 637-6071  
Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
Plusmic Corp, USA Bill O'Brien  
Service contract expires 12/31/19
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
Pegasus Communications, Jim Porep, President  
16633 Ventura Blvd Suite 1010 Encino, CA 91436  
Service contract expires 5/31/2022
- 1 camera end of homestretch  
1 camera end of backstretch  
1 camera coming out of final turn  
4 pan cameras covering entire track
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Electronic timing devices are owned and operated by Los Alamitos Race Course

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Cathy Monji, Chief of Security (714) 820-2660/frontside (714) 820-2832/backside. Organization plan attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security



LOS ALAMITOS RACING ASSOCIATION  
Security Live Racing  
2018



## Organizational Chart

### LOS ALAMITOS RACE COURSE SECURITY DEPARTMENT

DIRECTOR OF SECURITY	CATHY MONJI	OFFICE (714) 820-2810 CELL (949) 355-2203
SERGEANT-DAY SHIFT	FRANK WOZNIAK	OFFICE (714) 820-2661 CELL (562) 275-5373
SERGEANT-NIGHT SHIFT	LUIS RIVAS	OFFICE (714) 820-2663 CELL (626) 675-3663
SERGEANT-DAY STABLE	FERNANDO GOMEZ	OFFICE (714) 820-2830 CELL (951) 768-7094
SERGEANT-NIGHT STABLE	KEITH HICKS	OFFICE (714) 820-2664 CELL (714) 770-1351
DAY SHIFT SECURITY OFFICERS	LUIS RIVAS, JR. GUILLERMO CHAVEZ JOSE MURILLO BUNTHOEUN SOS	DESK/DISPATCH MAINLINE CLUBHOUSE MEZZ
NIGHT SHIFT OFFICERS	JACKIE DIXON HENRY CHAMBERS GABRIEL CASTELLANOS	MAINLINE PADDOCK
STABLE AREA OFFICERS	JORGE AVINA CESAR FRANCO JOSE ALVAREZ KENNETH GRANT	NIGHT STABLE GRAVEYARD SPLIT SHIFT GRAVEYARD
OFF HOUR OFFICERS STABLE GATE	APRIL DUDONE RONNIE WHITE CHERI WILLETT ARTURO SANDOVAL SHARRIL JANISKY MARCO AVINA BENJAMIN GARCIA	SWING GATE DAY WEST GATE SPLIT SHIFT NIGHT WEST GATE GRAVEYARD GATE DAY GATE MAIN GATE

tasks on a regular full-time basis:

1 Chief of Security, 1 Stable Security Manager, 5 Gatemens, 3 Investigators, 20 Security Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Attached

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races. Attached

B. Number of security guards in the detention stall area during a 24-hour period.  
No detention stall area

C. Describe number and location of surveillance cameras in detention stall area. N/A

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.  
CHRB directives will be followed

B. Plan for enhanced surveillance for trainers with high-test results.  
CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.  
CHRB directives will be followed

D. Number of security personnel assigned to the TCO2 program.  
CHRB directives will be followed

C. Describe the electronic security system:

Los Alamitos does not have an electronic security system

1. Location and number of video surveillance cameras for the detention stall and stable gate.  
Los Alamitos does not have video surveillance in these locations

D. For night racing associations. Describe emergency lighting system: n/a

## 16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Huntington Ambulance Service  
P O Box 145  
Sunset Beach, CA 90742  
Track (714) 820-2845

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
Attached

# LOS ALAMITOS RACING ASSOCIATION STAKES SECURITY PROGRAM

For stakes races with purses of \$100,000 or more Los Alamitos Racing Association will follow the guidelines set forth in our Race Meet Agreement with the Thoroughbred Owners of California.

For stakes races of more than \$100,000, LARA will provide security personnel equipped with video cameras to be stationed in the barn of each trainer with a horse in that stakes. The security personnel will be stationed in the designated barn no less than 6 hours prior to post time and will accompany the horse to the receiving barn.

These additional personnel will be under the direction and supervision of the Los Alamitos Chief Security Officer.

In addition, 24 hours prior to the running of any graded stakes race with a purse of \$200,000 or more Los Alamitos Racing Association will assign two additional security guards to the barn area with the following instructions to monitor the barns of trainers with horses entered in that stakes.

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

March 20, 2019

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,



Stacey O'Bryan - President  
Huntington Ambulance, LLC

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
San Luis Rey Training Center:  
Mercy Medical Transportation Inc.  
2538 Old San Pasqual Road  
Escondido, CA 92027  
Richard Roesch - (760) 525-2688

Santa Anita:  
Symons Ambulance  
18592 Cajon Blvd.  
San Bernardino, CA 92407  
Juan Espinoza (626) 222-4236

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached

- C. Describe the on-track first aid facility, including equipment and medical staffing: Contracted track physician; Oxygen equipment; blood pressure monitoring; backboard; cervical collar; 3 patient beds

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1.:** Dr. Michael Morris ( 714) 820-2710

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
Los Alamitos Medical Center  
3571 Katella Ave.  
Los Alamitos, CA 90720  
(562) 598-1311

Long Beach Memorial Hospital (Certified Trauma Center)  
2801 Atlanta Ave  
Long Beach, CA 90806  
(562) 933-2000

UC Irvine Medical Center (certified trauma center)  
101 The City Drive  
Orange, CA 92868  
(714) 456-7890

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached

- G. Name of health and safety manager and assistant manager responsible for compliance of health

# MERCY

*Medical  
Transportation  
Inc.*

Valley Center Offices  
27350 Valley Center Road  
Valley Center, CA 92092  
(760) 751-9797 - Office  
(760) 751-8880 - Fax

March 20, 2019

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Ambulance Certification Letter

To Whom It May Concern:

Mercy Medical Transportation, Inc., employs only Paramedics and Emergency Medical Technicians (EMT) that are licensed in the State of California and the County of San Diego. All our Paramedics and EMTs endure a comprehensive interview and skills assessment, drug and hearing tests and all our employees are subjected to a thorough Department of Justice (DOJ) background investigation through AVESTA, Office of Inspector general (OIG), System for Award Management (SAM), and Medi-Cal as required by the San Diego County Emergency Medical Services.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty  
Vice President of Operations  
Mercy Medical Transportation, Inc.



March 21, 2019

To: Jack Liebau

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Juan Espinoza  
Operations Manager  
(626) 222-4236  
jespinoza@symonsambulance.com

# EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

## FIRST RESPONSE

\*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified Paramedics and EMT's will respond immediately to the location of the accident.

\*Paramedic and EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Fire Department Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

## ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the responders.
- If responders request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- It is the current policy of the Orange County Emergency Services that all injuries sustained from falling from a horse at a speed of a gallop or more be treated as a trauma event. Fire Department paramedics will be instructed to transport the rider to a trauma hospital for examination. If the rider refuses transport to the trauma hospital they must sign a Refusal of Medical Treatment form. It will then be up to the Board of stewards to determine if that rider can continue with his riding assignments.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

## PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPÓDROMO PRIMERA RESPUESTA

\* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

\* EMT's harán una evaluación de las lesiones y si es considerado necesario, pedirán al Departamento de Seguridad de Jarrar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

### EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETES

- Si está involucrada en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que se asegure y que estén libres de cualquier caballo suelto, que deben permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos, el jinete herido será estabilizado y llevado a retiro con los paramédicos en el lugar pre-diseñado a las afueras de Los Alamitos en la Valla al fondo de las pistas. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinarán si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran graves por el EMT o paramédico, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la política del doctor del hipódromo que, con excepción de las lesiones más leves, se evaluará al jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa en ese día, será determinado por las Comisiones Deportivas de CHRB.

• En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre está abierta.





ORANGE COUNTY FIRE AUTHORITY  
P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602  
Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000 [www.ocfa.org](http://www.ocfa.org)

## FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

January 25, 2019

Los Alamitos Race Course  
4961 Katella ave.  
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspection. Please accept this letter as your fire clearance with an action plan from our agency. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne".

Tony Verdenne  
Fire Prevention Specialist  
Prevention Field Services Section  
714 527-4584 [tonyverdenne@ocfa.org](mailto:tonyverdenne@ocfa.org)

Cc: file

Serving the Cities of: Aliso Viejo • Buena Park • Cypress • Dana Point • Irvine • Laguna Hills • Laguna Niguel • Laguna Woods • Lake Forest • La Palma  
Alamitos • Mission Viejo • Placentia • Rancho Santa Margarita • San Clemente • San Juan Capistrano • Santa Ana • Seal Beach • Stanton • Tustin • Villa Park  
Westminster • Yorba Linda • and Unincorporated Areas of Orange County

RESIDENTIAL SPRINKLERS AND SMOKE ALARMS SAVE LIVES



FINILIN-03

PRZEMIELEWSKIS

## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

6/21/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	<b>CONTACT NAME:</b> Sara Przemielewski	
	<b>PHONE (A/C, No, Ext):</b> (925) 660-3531	<b>FAX (A/C, No):</b>
<b>E-MAIL ADDRESS:</b> Sara.Przemielewski@ioausa.com		
<b>INSURER(S) AFFORDING COVERAGE</b>		<b>NAIC #</b>
<b>INSURER A:</b> Safety National Casualty Corp		<b>15105</b>
<b>INSURED</b>  Los Alamitos Racing Assoc and Quarter Horse Racing, Inc. 4961 Katella Avenue Los Alamitos, CA 90720		
<b>INSURER B:</b>		
<b>INSURER C:</b>		
<b>INSURER D:</b>		
<b>INSURER E:</b>		
<b>INSURER F:</b>		

## COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<b>UMBRELLA LIAB</b> <b>EXCESS LIAB</b> OCCUR CLAIMS-MADE DED RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
A	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N	N/A	SP4060745	7/1/2019	1/1/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
 Evidence of Coverage

## CERTIFICATE HOLDER

## CANCELLATION

California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 
--	---



# INSURANCE BINDER

PRZEMIELEWSKIS

DATE (MM/DD/YYYY)  
08/06/2019

THIS BINDER IS A TEMPORARY INSURANCE CONTRACT, SUBJECT TO THE CONDITIONS SHOWN ON PAGE 2 OF THIS FORM.

AGENCY <b>IOA Insurance Services</b> 3875 Hopyard Road Suite 200 Pleasanton, CA 94588		COMPANY New York Marine & General Insurance Company		BINDER # <b>38828</b>	
PHONE (A/C, No, Ext): <b>(925) 416-7862</b>		FAX (A/C, No): <b>(925) 416-7869</b>		DATE EFFECTIVE TIME <b>08/01/2019 12:01</b>	
CODE: AGENCY CUSTOMER ID: <b>LOSALAM-04</b> License # <b>0E67768</b>		SUB CODE:		DATE EXPIRATION TIME <b>09/30/2019 X 12:01 AM</b> <b>X NOON</b>	
INSURED AND MAILING ADDRESS  <b>Los Alamitos Racing Association, Inc.</b> <b>4961 Katella Ave.</b> <b>Los Alamitos, CA 90720</b>		<input checked="" type="checkbox"/> THIS BINDER IS ISSUED TO EXTEND COVERAGE IN THE ABOVE NAMED COMPANY PER EXPIRING POLICY #: <b>GL201800003135TBD</b>			
DESCRIPTION OF OPERATIONS / VEHICLES / PROPERTY (Including Location)					

COVERAGES		LIMITS			
TYPE OF INSURANCE	COVERAGE / FORMS	DEDUCTIBLE	COINS %	AMOUNT	
PROPERTY CAUSES OF LOSS <input type="checkbox"/> BASIC <input type="checkbox"/> BROAD <input type="checkbox"/> SPEC					
<input checked="" type="checkbox"/> GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR	<b>98751 - Security and Patrol</b> <b>46604 - Parking Public</b> <b>13506 - Gift Shops</b> <b>16819 - Restaurants</b> <b>58161 - Liquor Liability</b>  RETRO DATE FOR CLAIMS MADE:	EACH OCCURRENCE \$ <b>1,000,000</b> DAMAGE TO RENTED PREMISES \$ <b>1,000,000</b> MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ <b>1,000,000</b> GENERAL AGGREGATE \$ <b>5,000,000</b> PRODUCTS - COMP/OP AGG \$ <b>2,000,000</b>			
<input type="checkbox"/> VEHICLE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS				COMBINED SINGLE LIMIT \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE \$ MEDICAL PAYMENTS \$ PERSONAL INJURY PROT \$ UNINSURED MOTORIST \$	
<input type="checkbox"/> VEHICLE PHYSICAL DAMAGE DED <input type="checkbox"/> COLLISION: <input type="checkbox"/> OTHER THAN COL:	<input type="checkbox"/> ALL VEHICLES <input type="checkbox"/> SCHEDULED VEHICLES			ACTUAL CASH VALUE STATED AMOUNT \$	
<input type="checkbox"/> GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT \$ OTHER THAN AUTO ONLY: EACH ACCIDENT \$ AGGREGATE \$	
<input type="checkbox"/> EXCESS LIABILITY <input type="checkbox"/> UMBRELLA FORM <input type="checkbox"/> OTHER THAN UMBRELLA FORM	RETRO DATE FOR CLAIMS MADE:			EACH OCCURRENCE \$ AGGREGATE \$ SELF-INSURED RETENTION \$	
<input type="checkbox"/> WORKER'S COMPENSATION AND EMPLOYER'S LIABILITY				PER STATUTE E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$	
<input type="checkbox"/> SPECIAL CONDITIONS / OTHER COVERAGES				FEES \$ TAXES \$ ESTIMATED TOTAL PREMIUM \$	

NAME & ADDRESS		MORTGAGEE		ADDITIONAL INSURED	
		LOSS PAYEE			
		LOAN #:			
		AUTHORIZED REPRESENTATIVE <i>John Patrick Umland</i>			

## CONDITIONS

This Company binds the kind(s) of insurance stipulated on page 1 of this form. The Insurance is subject to the terms, conditions and limitations of the policy(ies) in current use by the Company.

This binder may be cancelled by the Insured by surrender of this binder or by written notice to the Company stating when cancellation will be effective. This binder may be cancelled by the Company by notice to the Insured in accordance with the policy conditions. This binder is cancelled when replaced by a policy. If this binder is not replaced by a policy, the Company is entitled to charge a premium for the binder according to the Rules and Rates in use by the Company.

### Applicable in Arizona

Binders are effective for no more than ninety (90) days.

### Applicable in California

When this form is used to provide insurance in the amount of one million dollars (\$1,000,000) or more, the title of the form is changed from "Insurance Binder" to "Cover Note".

### Applicable in Colorado

With respect to binders issued to renters of residential premises, home owners, condo unit owners and mobile home owners, the insurer has thirty (30) business days, commencing from the effective date of coverage, to evaluate the issuance of the insurance policy.

### Applicable in Delaware

The mortgagee or Obligee of any mortgage or other instrument given for the purpose of creating a lien on real property shall accept as evidence of insurance a written binder issued by an authorized insurer or its agent if the binder includes or is accompanied by: the name and address of the borrower; the name and address of the lender as loss payee; a description of the insured real property; a provision that the binder may not be canceled within the term of the binder unless the lender and the insured borrower receive written notice of the cancellation at least ten (10) days prior to the cancellation; except in the case of a renewal of a policy subsequent to the closing of the loan, a paid receipt of the full amount of the applicable premium, and the amount of insurance coverage.

Chapter 21 Title 25 Paragraph 2119

### Applicable in Florida

Except for Auto Insurance coverage, no notice of cancellation or nonrenewal of a binder is required unless the duration of the binder exceeds 60 days. For auto insurance, the insurer must give 5 days prior notice, unless the binder is replaced by a policy or another binder in the same company.

### Applicable in Maryland

The insurer has 45 business days, commencing from the effective date of coverage to confirm eligibility for coverage under the insurance policy.

### Applicable in Michigan

The policy may be cancelled at any time at the request of the insured.

### Applicable in Nevada

Any person who refuses to accept a binder which provides coverage of less than \$1,000,000.00 when proof is required: (A) Shall be fined not more than \$500.00, and (B) is liable to the party presenting the binder as proof of insurance for actual damages sustained therefrom.

### Applicable in Oklahoma

All policies shall expire at 12:01 a.m. standard time on the expiration date stated in the policy.

### Applicable in Oregon

Binders are effective for no more than ninety (90) days. A binder extension or renewal beyond such 90 days would require the written approval by the Director of the Department of Consumer and Business Services.

### Applicable in the Virgin Islands

This binder is effective for only ninety (90) days. Within thirty (30) days of receipt of this binder, you should request an insurance policy or certificate (if applicable) from your agent and/or insurance company.



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers  
Compensation Accident

1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.
2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.
3. Go to the designated clinic for treatment.  
Healthpointe Medical Center  
7052 Orangewood Ave, Suite 6  
Garden Grove (714) 903-1100



RS

## Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### Pasos Requeridos en Caso de un Accidente

1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.
2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad
3. Vayan a la clínica designada  
Healthpointe Medical Center  
7052 Orangewood Ave, Suite 6  
Garden Grove (714) 903-1100

Jason Karches  
2080 Las Plamas  
Carlsbad, CA 92009

**18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting: Attached
- B. Promotional/ Marketing budget for this race meeting: Attached  
Promotional/Marketing budget for prior race meeting: Attached
- C. Number of hosts and hostesses employed for meeting: 8
- E. Describe facilities set aside for new fans:  
Special mutuel windows designated for newcomers
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
  - 1. Horsemen
  - 2. Fans – New Sports Bar-completed 6/15/19
  - 3. Facilities in the restricted areas

**19. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from the previous year:
  - Admission (general) - \$3
  - Admission (clubhouse) - \$5
  - Vessels Club (Turf Club) - \$10
  - Reserved seating (general) - free
  - Reserved seating (clubhouse) - free
  - Parking (general) – free
  - Parking (preferred) - \$6 / \$10 premium
  - Parking (valet) – not offered
  - Programs (on-track) - \$3
  - (off-track) - \$3
- B. Describe any "Season Boxes" and "Turf Club Membership" fees:  
Turf Club Season Table - \$480 Grandstand Season Table - \$250
- C. Describe any "package" plans such as combined parking, admission and program:  
n/a

**20. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="60"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

# Marketing Plan 2019 Los Alamitos

## Winter Thoroughbred Meet

**Overview:** Eight days of racing will be held as part of the Los Alamitos Winter Meet in 2019. The meet will welcome a new voice in the form of very popular track announcer Michael Wrona, while also being the final meet of Los Alamitos Race Course legend Ed Burgart, who is retiring at the end of the meet. The marketing plan will be geared towards driving the national attention towards the track's two marquee races of the year, the Grade 1 Starlet and Grade 2 Los Alamitos Futurity. These two outstanding futurities have resulted in many outstanding winners in recent years, led by Kentucky Oaks winner Abel Tasman and the undefeated and four-time stakes winner Dream Tree. The 2018 race was won by Chasing Yesterday, the sister of Triple Crown winner American Pharoah. The 2018 Los Alamitos Futurity went to standout Improbable, winner of the Shared Belief Stakes at Del Mar on August 25. The Starlet and Los Alamitos Futurity promise to garner national attention once again in 2019.

With the 2019 Winter Meet scheduled for two weeks of racing, the first Saturday (December 7) will be heavily emphasized in the promotion of the meet. Marketing resources will be mainly targeted to increase the engagement of the existing fan base for the two key Saturdays. Detailed below is the continued cross- marketing efforts and cooperative promotions with Los Alamitos partners at TVG, NYRA Racing and Twin Spires and the NTRA National Horse Players Championship as well as continued increased exposure with the Daily Racing Form.

## **2019 Los Alamitos Winter Meet Marketing Promotions & Budget TVG, Twin Spires, NYRA – Up to \$50,000**

Los Alamitos Race Course will continue to work closely with our leading ADW partners to build awareness and excitement for the LA County Fair Meet at Los Alamitos. - headed by TVG through television spots and popular promotions.

- Partnering with key ADW provider TVG, Los Alamitos will offer popular promotions like TVG's Pick 4 Insurance and Money Back Special, which have proven to be successful during past meet. Partnering with key ADW provider Twin Spires, Los Alamitos will offer popular promotions like Bet Club Bonus Days. These promotions could change based on market response and promotion availability.
  - The activation of TVG promotions consistently serve as handle drivers during the Los Alamitos Thoroughbred Meets. We are continuously fine-tuning the offers to maximize appeal to day-to-day horseplayers.
  - Los Alamitos Race Course is in discussions with a major ADW partner to offer an online handicapping contest for cash prizes during a key racing date during the Los Alamitos Winter Meet.
  - TVG will continue to have a live on-track presence during all 8 of our scheduled racing days, both on set and as special hosts in between races during our broadcast signal.

### **Daily Racing Form –Up to \$20,000**

Our partnership with Daily Racing Form continues to focus on player acquisition and exposure and remains flexible in trying new initiatives and actions. Here are some of the key elements for the Winter Meet at Los Alamitos.

- Homepage Header placement on DRF.com
- Expanded nationwide distribution and coverage in the print editions of the DRF, plus key race activations during the meet. Front cover bursts and strip advertisements will promote

key wagers, while past performances will be offered in a highlighted form.

- Improved Database Marketing Elements and better visibility of our Bonus Card PPs.

Email Blast to DRF Digital PP Buyer database of 65,000 active core players across the country promoting our top racing cards. Promotion to include direct link to free Digital PPs for Los Alamitos.

### **Local Television Advertising – Up to \$12,000**

- Working with Spectrum and DirecTV, Los Alamitos Race Course will execute a media buy consisting of TV spots airing during live broadcasts of the popular LA Lakers, LA Clippers, NHL games plus in live events on ESPN, Golf Channel, Prime Ticket, Fox Sports, Time Warner Cable Sports and more. The TV run is estimated to deliver 500 total spots in the OC and South LA County areas. The ad run will start on November 28.
- TVG & TVG2 – A consistent schedule of television ads will be featured daily on this popular horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run will start on November 20 with *Los Alamitos Handicapping Contest* spots and will be followed by the teaser spot *Starlet & Futurity*.

### **Local/National Radio - \$10,000**

In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas and Down The Stretch on SiriusXM. The national shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and track announcer Michael Wrona are regular guests on these shows. Fresh weekly radio ads tailored for each radio market will be produced.

**Los Alamitos Winter Handicapping Championship – Saturday, December 7  
- Up to  
\$18,000.**

With up to three National Handicapping Championship berths up for grabs and other prizes, the Los Alamitos Winter Handicapping Championship should continue to build on the popularity of our handicapping formats in 2019. The contests will be conducted during our marquee day of the meet, the day of the Grade 1 Starlet Futurity and Grade 1 Los Alamitos Futurity on December 7. The amount of seats offered is in line with previous contests offered and the formats will once again feature live-money contests. The contests will be promoted by direct contact of prior participants, on track advertisement, direct mail, and by running TV spots on TVG.

**Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday,  
December 7 & December 14 . Up to \$16,000**

Historically, one of our most successful drivers of on-track handle has been the Mystery Mutuel Voucher (MMV) promotion. One lucky fan from Long Beach, California, a loyal horse player for nearly 30 years at Los Alamitos Race Course, cashed in the \$2,500 “big-prize” voucher during the 2018 Los Alamitos Summer meet and another longtime fan from San Bernardino also cashed in the big prize during the 2019 Los Alamitos Summer Meet. A “second chance” MMV promotion will be offered on Saturday, December 14. The direct mail piece will also offer daily track coupons and incentives to visit the track.

**California Satellite Promotions - Up to \$2,500**

Promotional visits will be made at key daytime simulcast locations like Sammy’s in Lake Forest, Santa Clarita Bowling Lanes, Surfside, and Hollywood Park. Special handicapping seminars and cash contests will be hosted by a well-known racing personality. This program will also be used to expand the Los Alamitos mailing list with active players.

**Additional Promotional Marketing – Up to \$8,500**

Two promotional giveaways will be held including the annual Wall Calendar Giveaway plus winter themed premium item. An on-track mailing list driver as part of the closing holiday on Sunday, December 15 may also take place.

**Winter Meet Support Staff – Up to \$23,000**

Includes additional in-house race day television producers, additional marketing staff and social media personnel.

**TOTAL: \$160,000**

# Marketing Plan

## 2018 Los Alamitos

### Winter Thoroughbred Meet

**Overview:** After a 12-day Los Alamitos Winter Meet in 2017, eight days of racing will be held as part of the Los Alamitos Winter Meet in 2018. The marketing plan will be geared towards driving the national attention towards the track's two marquee races of the year, the Grade 1 Los Alamitos CashCall Futurity and Grade 1 Starlet. These two outstanding futurities have resulted in many outstanding winners in recent years, led by Kentucky Oaks winner Abel Tasman and the undefeated and four-time stakes winner Dream Tree. Certainly these two races will stand out nationally as the 2018 racing scene comes to a close and will once again draw attention to the top California juveniles. The hyping of these two races will be part of a continuing effort to increase on a national basis the visibility and acceptability of Los Alamitos as a Thoroughbred racing venue.

With the 2018 Winter Meet scheduled for two weeks of racing, the first Saturday (December 8) will be heavily emphasized in the promotion of the meet. Marketing resources will be mainly targeted to increase the engagement of the existing fan base for the two key Saturdays. Detailed below is the continued cross-marketing efforts and cooperative promotions with Los Alamitos partners at TVG, Twin Spires, NYRA Bets and the NTRA National Horse Players Championship as well as some innovative ideas that will be undertaken with the Daily Racing Form.

#### **2018 Los Alamitos Winter Meet Marketing Promotions & Budget** TVG, Twin Spires, NYRA – Up to \$50,000

Los Alamitos Race Course will continue to make a robust effort to enhance and expand its presence with our leading partners headed by TVG via television spots and popular promotions.

Partnering with key ADW providers, Los Alamitos will be part of popular promotions like TVG's Pick 4 Insurance and Money Back Special and Twin Spires' Bet Club Bonus Days, plus NYRABets' 20% Winner's Bonus on winning tickets. Los Alamitos saw an increase in handle in our races across all of the aforementioned platforms during the Summer of 2018 meet.

The partnership's marketing efforts including e-mail alerts, web banners, digital newsletters, TV spots, and more.

### **Daily Racing Form –Up to \$25,000**

Our partnership with the DRF continues to focus on player acquisition. Los Alamitos will secure past performance placement on key target markets, plus full access of pps to core players nationally on DRF's digital platforms.

Other elements will include Front Cover Bursts/Strip Ads on December 8 and 15, DRF.com homepage headers, Race of the Day preview videos, and Morning Line eNewsletter sponsorship.

Special Pick 4 sections on Saturday, December 8 for the Starlet and CashCall Los Alamitos Futurities are also planned.

### **Local Television Advertising – Up to \$12,000**

Spectrum Cable / Orange County cable provider. Approximately 200 total spots concentrated during LA Lakers, LA Clippers, NHL Hockey games. The buy also includes ESPN, Spike, Pac12 Network, and Time Warner Cable Sports Channel. The ad run will start on December 1.

TVG & TVG2 – An increased schedule of television ads will be featured daily on this major horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run will start on November 10 with Los Alamitos Handicapping Contest spots and Late Nomination spots to the Starlet & CashCall Futurity.

o In partnership with TVG, an awareness campaign will be created to make a strong push for late nominations to the Starlet and Los Alamitos CashCall Futurity. This will include TV ads, billboards and live on-air mentions. DRF ads – print and online- will also run to support this initiative.

The tagline used will be: **From Los Alamitos to Louisville** to emphasize the Kentucky Oaks and Kentucky Derby points awarded in the Starlet and Los Alamitos CashCall Futurity.

### **Local/National Radio - \$10,000**

In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas and Down The Stretch on SiriusXM. The national shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and track announcer Bobby Neuman are regular guests on these shows. Fresh weekly radio ads tailored for each radio market will be produced.

**Los Alamitos Winter Handicapping Championship – Saturday, December 8 - Up to \$16,000.**

With up to four National Handicapping Championship berths up for grabs and other prizes, the Los Alamitos Winter Handicapping Championship will be the biggest contest to be held at the Orange County oval in 2018. The contests will be conducted during our marquee day of the meet, the day of the Grade I Los Alamitos CashCall Futurity and Grade I Starlet Futurity on December 8. The amount of seats offered is in line with previous contests offered and the formats will once again feature live-money contests. The contests will be promoted by direct contact of prior participants, on track advertisement, direct mail, and by running TV spots on TVG.

**Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, December 8 & December 15. Up to \$20,000**

A popular and consistent driver of on-track handle is our Mystery Mutuel voucher promotion. Pending review of our September voucher promotion, we are planning to hold two promotions in December. The December 15 promo will be billed as a second chance voucher promo and this would be the second time that we will offer this promotion. Up to 12,000 racing fans on the Los Alamitos mailing list will be targeted with this pre-meet direct mail campaign. The direct mail piece will also offer daily track coupons and incentives to visit the track in addition to the mystery mutuel voucher promos. The goal is to have a redemption rate of 12% or higher for the December 8 promotion and a 7% redemption for the December 15 promo.

**California Satellite Promotions - Up to \$5,000**

Promotional visits will be at key daytime simulcast locations like Sammy's in Lake Forest, Santa Clarita Bowling Lanes, Surfside, Hollywood Park, and more. Special handicapping seminars and cash contests will be hosted by a well-known racing personality. This program will also be used to expand the Los Alamitos mailing list with active players.

**Additional Promotional Marketing - \$7,000**

Promotional giveaways will include the annual Wall Calendar Giveaway on Saturday, December 15, and an on-Track mailing list driver as part of the closing holiday on Sunday, December 16.

**Winter Meet Support Staff - \$23,000**

Includes additional in-house race day television producers, additional marketing staff and social media personnel.

**TOTAL: \$160,000**

- B. Describe the quarters to be used for female jockeys/drivers: Separate quarters with showers, lockers, sauna.

## 21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet
- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 men, 8 women's
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 20

## 22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5,100
-------

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: 3%  
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A Height of 40 inches is maintained on both rails.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes track superintendent / Dennis Moore - track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): The Collective Bargaining agreement with Local 280 expires 9/24/19 and negotiations are underway.
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). n/a
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

F. Jack Liebau  
Print Name  
Vice President  
Print Title

F. Jack Liebau  
Signature  
9/27/2019  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING  
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ALAMITOS  
RACING ASSOCIATION (LARA) AND THE THOROUGHBRED OWNERS OF  
CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG  
SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY LARA AT ITS  
DECEMBER 4, 2019 THROUGH DECEMBER 17, 2019 RACE MEETING, AT THE  
LOS ALAMITOS RACE COURSE.

Regular Board Meeting  
November 21, 2019

## ISSUE

[CHRB Rule 1581](#), Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The Los Alamitos Racing Association (LARA) desires to condition the races run at the Los Alamitos Racetrack to be run with reduced levels of furosemide (Lasix) as well as requiring a 14-day stand down for all intra-articular injections.

## BACKGROUND

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Profession Code section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [Business and Professions Code section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

## ANALYSIS

The LARA's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the

acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

LARA's proposed condition will reduce the maximum amount of furosemide to half of the current authorized level. [CHRB Rule 1845](#), Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce that amount to 250 mg. Additionally, LARA will impose a 14-day stand down for all intra-articular injections.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

#### RECOMMENDATION

This item is presented to the Board for discussion and action.

**STAFF ANALYSIS**  
November 21, 2019

**Issue:** DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER LLC (H) AT CAL EXPO, COMMENCING DECEMBER 26, 2019 THROUGH MAY 3, 2020

Watchandwager.com, LLC (WAW) filed its application to conduct a harness race meeting at Cal Expo as follows:

- December 26, 2019 through May 3, 2020, with 36 racing days, the same amount of days as its 2019 winter race meet. Live racing will commence December 27, 2019 and continue through April 25, 2020.
- WAW proposes to race a total of approximately 504 races. The (actual) average daily purse for the WAW 2019 meet during the same time period was \$51,261. The (estimated) average daily purse for this meet is \$53,484.
- The race dates proposed are the dates allocated:

December - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January - 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February - 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

March - 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April - 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

- Racing 2 nights per week, Friday and Saturday.
- First post 6:10 p.m. Friday and Saturday.
- WAW will coordinate its post times with Los Alamitos Racing Association (LARA) and any other twilight or nighttime thoroughbred signal.

- [Business and Professions Code section 19464\(b\)](#) specifies that no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees' wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, that has conducted a race meeting in each of the immediate three previous consecutive calendar years. WAW's initial race meeting was conducted after 2001. Therefore, WAW is subject to the provisions of the [Business and Professions Code section 19464\(b\)](#). WAW has a bond on file with the Board effective through September 1, 2020.

- Wagering program will use CHRB and Association of Racing Commissioners International (ARCI) rules.
  - Early wagering will begin at 10:00 a.m. each live race day.
  - \$0.20 Pick n Pool (PNP) 4 on races eight through eleven. Reduced 16% takeout; 90% carryover if no correct tickets with four winners; 10% minor pool payout.
  - \$0.20 PNP5 starting on the first race. The PNP5 is now base \$0.20, down from base \$0.50 during December 26, 2018 through May 7, 2019 race meet. The October 27, 2019 through December 23, 2019 race meet was \$0.20 for PNP5. Reduced 16% takeout; no consolation; 100% carryover if no ticket correctly selects five winners. [CHRB Rule 1976.9](#).
  - \$0.10 PNP6 on races six through eleven, or last six races if less than eleven. 100% major pool payout plus any carryover for a unique serial ticket number wager selecting all six winners. If no unique ticket selects six winners, then 50% minor pool is paid to tickets selecting the most winners, and 50% major pool will be applied to the carryover.
  - \$.10 Pentafecta selecting the first five finishers in the same race. The Pentafecta is now base \$0.10, down from base \$0.20 during December 26, 2018 through May 7, 2019 race meet. The October 27, 2019 through December 23, 2019 race meet was \$0.10 for Pentafecta. 100% payout to all tickets selecting five winners; no consolation; 100% carryover if no winning ticket. ARCI-004-105-X-Option 3.
  - \$30,000 guaranteed Pick-4 pool Fridays.
  - \$40,000 guaranteed Pick-4 pool Saturdays.
- WAW is requesting a takeout reduction for two wagers on its nightly harness racing program. The proposed reduction is being sought for the \$0.20 Pick-5 and the \$0.20 Pick-4. The harness takeout for exotic wagers is 24.18% and WAW is seeking a reduced 16% takeout for the two wagers listed. WAW has cited Business and Professions Code section 19610.8 on which they base their reduction request.

[Business and Profession Code section 19610.8](#) states upon the joint request of the association or fair accepting the wager, and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the Board may set the total percentage deducted from the pari-mutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager.

- Advance Deposit Wagering (ADW) providers are ODS Technologies, L.P. dba TVG, TwinSpires, and WatchAndWager.com.

Pursuant to [Business and Profession Code section 19604](#), specific provisions must be met before an ADW provider can accept wagers.

*Summary of B&P code 19604*

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code section 19604:

- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires has submitted all documents required in compliance with Business and Professions Code section 19604.
- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to [Business and Professions Code section 19602](#); and with authorized locations throughout California.

- Track safety inspection has been requested and will be completed before the race meeting begins..
- Backstretch inspection has been requested and will be completed before the race meeting begins.
- Request Carl Sobey be appointed horse identifier pursuant to [CHRB Rule 1525](#), Racing Officials Appointed by the Board.
- Proposed charges for programs have increased: on-track programs have increased \$0.25 to \$4.25, and off-track joint Los Alamitos/Cal Expo night programs have increased \$0.25 to \$4.75.

RECOMMENDATION:

Staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

## 1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 700 Larkspur Landing Circle, Suite #199, Larkspur, CA, 94939 Ed Comins, [ecomins@watchandwager.com](mailto:ecomins@watchandwager.com) 925-336-4499

B. Breed of horse: TB  QH  H

C. Racetrack name: California Exposition & State Fair (Cal Expo)

C. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

License fee on deposit with Racing Board.

D. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

Surety bond is on file with the Board

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

## 2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 26, 2019 through May 3<sup>rd</sup>, 2020**

B. Actual dates racing will be held: **December 27, 28. January 3, 4 10, 11 17, 18 24, 25 31. February 1, 7, 8 14, 15 21, 22 28, 29. March 6, 7 13, 14 20, 21 27, 28. April 3, 4 10, 11 17, 18 24,25.**

C. Total number of days or nights of racing: **Thirty Six (36). Calendar Attached**

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  other (specify): **Friday and Saturday evenings**

### CHRB CERTIFICATION

Application received: 09/27/19  
Deposit received: On File  
Reviewed: ZV

Hearing date: 11/21/19  
Approved date:  
License number:



# CALEXPO Racing CALENDAR

December 27, 2019  
through  
April 25, 2020



**WINTER/SPRING  
Harness Racing  
Meet**

### December 2019

Su	M	T	W	Th	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	<b>27</b>	<b>28</b>
29	30	31				

**Friday & Saturday Harness Racing**  
Post time 6:10 pm/pst

### January 2020

Su	M	T	W	Th	Fri	Sat
			1	2	<b>3</b>	<b>4</b>
5	6	7	8	9	<b>10</b>	<b>11</b>
12	13	14	15	16	<b>17</b>	<b>18</b>
19	20	21	22	23	<b>24</b>	<b>25</b>
26	27	28	29	30	<b>31</b>	

### February 2020

Su	M	T	W	Th	Fri	Sat
						<b>1</b>
2	3	4	5	6	<b>7</b>	<b>8</b>
9	10	11	12	13	<b>14</b>	<b>15</b>
16	17	18	19	20	<b>21</b>	<b>22</b>
23	24	25	26	27	<b>28</b>	<b>29</b>

### March 2020

Su	M	T	W	Th	Fri	Sat
1	2	3	4	5	<b>6</b>	<b>7</b>
8	9	10	11	12	<b>13</b>	<b>14</b>
15	16	17	18	19	<b>20</b>	<b>21</b>
22	23	24	25	26	<b>27</b>	<b>28</b>
29	30	31				

### April 2020

Su	M	T	W	Th	Fri	Sat
			1	2	<b>3</b>	<b>4</b>
5	6	7	8	9	<b>10</b>	<b>11</b>
12	13	14	15	16	<b>17</b>	<b>18</b>
19	20	21	22	23	<b>24</b>	<b>25</b>
26	27	28	29	30		



Watch and Wager LLC, Operator Cal Expo Harness Racing  
25 Cadillac Drive, Suite 201 • Sacramento, CA 95825

Website: [CalXHarness.com](http://CalXHarness.com) • Email: [info@goldenbearracing.com](mailto:info@goldenbearracing.com) • Phone: 916-800-1395

Design & Production by  
TullyTrot

**watch wager**

## **Cal Expo Harness Racing**

### **2020 Winter Spring Meet**

	<b>Friday</b>	<b>Saturday</b>
Race 1	6:10	6:10
Race 2	6:38	6:38
Race 3	7:06	7:06
Race 4	7:34	7:34
Race 5	8:02	8:02
Race 6	8:30	8:30
Race 7	8:57	8:58
Race 8	9:24	9:25
Race 9	9:51	9:47
Race 10	10:13	10:09
Race 11	10:35	10:31
Race 12	10:56	10:52
Race 13*	11:17	11:13
Race 14*	11:38	11:34

\* If applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.



**Watch and Wager LLC @ Cal  
Expo Harness 2019-20  
Overnight Stake Schedule**

1/3 Gordon Final	9,000A
2/7 4 YO Trot	6,500
2/7 4 YO Filly Pace	6,500
2/7 4 YO Colt Pace	6,500
2 /8 Shapiro	7,500A
2/21 3 YO Trot	6,500
2/21 3 YO Filly Pace	6,500
2/21 3 YO Colt Pace	6,500
2/22 Goudreau	7,500A
2/28 4 YO Trot	6,500
2/28 4 YO Filly Pace	6,500
2/28 4 YO Colt Pace	6,500
3/6 3 YO Trot	6,500
3/6 3 YO Filly Pace	6,500
3/6 3 YO Colt Pace	6,500
3/7 Budahn	10,000
3/13 3 YO Trot	6,500
3/13 3 YO Filly Pace	6,500
3/13 3 YO Colt Pace	6,500
3/20 Kirshenbaum Final	10,000
3/20 3 YO Trot	6,500
3/20 3 YO Filly Pace	6,500
3/20 3 YO Colt Pace	6,500
3/21 Beck Final	10,000
3/27 4 YO Trot	6,500
3/27 4 YO Filly Trot	6,500
3/27 4 YO Colt Trot	6,500
4/3 3 YO Trot	6,500
4/3 3 YO Filly Pace	6,500
4/3 3 YO Colt Pace	6,500
4/17 Bergstein	10,000
4/17 3 YO Trot	6,500
4/17 3 YO Filly Pace	6,500
4/17 3 YO Colt Pace	6,500
4/18 Arnold Final	10,000
4/25 Breed Final	10,000

**Watch and Wager LLC @ Cal  
Expo Harness 2018-19  
Overnight Stake Schedule**

1/2 Gordon Final	9,000A
1/11 Budahn Final	7,500A
1/18 Goudreau Final	7,500A
1/19 Shapiro Final	7,500A
1/26 Beck Final	7,500A
2/1 Kirschenbaum Final	7,500A
2/15 Grundy Final	7,500A
3/1 4 YO Trot	6,500
3/1 Filly 4 YO Pace	6,500
3/1 Colt 4 YO Pace	6,500
3/8 3 YO Trot	6,500
3/8 Filly 3 YO Pace	6,500
3/8 Colt 3 YO Pace	6,500
3/15 3 YO Trot	6,500
3/15 Filly 3 YO Pace	6,500
3/22 3 YO Trot	6,500
3/22 Filly 3 YO Pace	6,500
3/22 Colt 3 YO Pace	6,500
3/29 4 YO Trot	6500
3/29 Filly 4 YO Pace	6,500
4/5 3 YO Trot	6,500
4/5 Filly 3 YO Pace	6,500
4/5 Colt 3 YO Pace	6,500
4/5 Bergstein Final	10,000A
4/19 3 YO Trot	6,500
4/19 Filly 3 YO Pace	6,500
4/19 Colt 3 YO Pace	10,000A
4/20 Arnold Final	10,000A
4/26 Breed Final	10,000A
4/27 3 YO Trot	6,500
4/27 4 YO Trot	6,500
4/27 Filly 3 YO Pace	6,500
4/27 Filly 4 YO Pace	6,500
4/27 Colt 3 YO Pace	6,500

**Watch and Wager LLC  
Cal Expo Harness 2017-18  
Overnight Stake  
Schedule**

1/13 Goudreau Final	6,000A
1/20 Budahn Final	6,000A
1/26 Beck Final	5,000A
1/27Kirschenbaum Final	5,000A
3/2 4 YO Trot	6500
3/3 Filly 4 YO Pace	6500
3/3 Colt 4 YO Pace	6500
3/10 3 YO Trot	6500
3/10 Filly 3 YO Pace	6500
3/10 Colt 3 YO Pace	6500
3/16 Shapiro	8,000A
3/23 3 YO Trot	6500
3/24 Filly 3 YO Pace	6500
3/24 Colt 3 YO Pace	6500
4/6 4 YO Trot	6500
4/7 Filly 4 YO Pace	6500
4/7 Colt 4 YO Pace	6500
4/13 3 YO Trot	6500
4/14 Filly 3 YO Pace	6500
4/14 Colt 3 YO Pace	6500
4/15 Shapiro Final	10,000A
4/20 3 YO Trot	6500
4/21 Filly 3 YO Pace	6500
4/21 Colt 3 YO Pace	6500
4/21 4 YO Trot	6500
4/27 Filly 4 YO Pace	6500
5/4 Colt 4 YO Pace	6500
5/4 3 YO Trot	6500
5/5 Filly 3 YO Pace	6500
5/5 Filly 4 YO Pace	6500
5/5 Colt 3 YO Pace	6500
5/5 Colt 4 YO Pace	6500
4/21 3 YO Trot	10,000
4/22 Filly 3 YO Pace	10,000
4/22 Colt 3 YO Pace	10,000
4/22 Arnold Final	10,000A
4/28 3 YO Trot	10,000
4/29 Filly 3 YO Pace	10,000
4/29 Colt 3 YO Pace	10,000
5/5 3 YO Trot	10,000
5/5 4 YO Trot	10,000
5/6 Filly 3 YO Pace	10,000
5/6 Filly 4 YO Pace	10,000
5/6 Colt 3 YO Pace	10,000

## Watch and Wager Winter/Spring 2020 Stake Schedule

January 3 <sup>rd</sup>	Robert Gordon	\$9,000 A
February 7 <sup>th</sup>	CASS 4 Yo Trot	\$6,500
February 7 <sup>th</sup>	CASS 4Yo Mare Pace	\$6,500
February 7 <sup>th</sup>	CASS 4Yo Colt Pace	\$6,500
February 8 <sup>th</sup>	Marvin Shapiro	\$7,500 A
February 21 <sup>st</sup>	CASS 3Yo Trot	\$6,500
February 21 <sup>st</sup>	CASS 3Yo Filly Pace	\$6,500
February 21 <sup>st</sup>	CASS 3Yo Colt Pace	\$6,500
February 22 <sup>nd</sup>	Shelly Goudreau	\$7,500 A
February 28 <sup>th</sup>	CASS 4Yo Trot	\$6,500
February 28 <sup>th</sup>	CASS 4Yo Mare Pace	\$6,500
February 28 <sup>th</sup>	CASS 4Yo Colt Pace	\$6,500
March 6 <sup>th</sup>	CASS 3Yo Trot	\$6,500
March 6 <sup>th</sup>	CASS 3Yo Filly Pace	\$6,500
March 6 <sup>th</sup>	CASS 3yo Colt Pace	\$6,500
March 7 <sup>th</sup>	Gary Budahn	\$10,000
March 13 <sup>th</sup>	CASS 3Yo Trot	\$6,500

<b>March 13<sup>th</sup></b>	<b>CASS 3Yo Filly Pace</b>	<b>\$6,500</b>
<b>March 13<sup>th</sup></b>	<b>CASS 3Yo Colt Pace</b>	<b>\$6,500</b>
<b>March 20<sup>th</sup></b>	<b>Alan Kirshenbaum</b>	<b>\$10,000</b>
<b>March 20<sup>th</sup></b>	<b>CASS 3Yo Trot</b>	<b>\$6,500</b>
<b>March 20<sup>th</sup></b>	<b>CASS 3Yo Filly Pace</b>	<b>\$6,500</b>
<b>March 20<sup>th</sup></b>	<b>CASS 3Yo Colt Pace</b>	<b>\$10,000</b>
<b>March 21<sup>st</sup></b>	<b>Lonnie Beck</b>	<b>\$10,000</b>
<b>March 27<sup>th</sup></b>	<b>CASS 4Yo Trot</b>	<b>\$6,500</b>
<b>March 27<sup>th</sup></b>	<b>CASS 4Yo Mare</b>	<b>\$6,500</b>
<b>March 27<sup>th</sup></b>	<b>CASS 4Yo Colt</b>	<b>\$6,500</b>
<b>April 3<sup>rd</sup></b>	<b>CASS 3Yo Trot</b>	<b>\$6,500</b>
<b>April 3<sup>rd</sup></b>	<b>CASS 3yo Filly Pace</b>	<b>\$6,500</b>
<b>April 3<sup>rd</sup></b>	<b>CASS 3Yo Colt Pace</b>	<b>\$6,500</b>
<b>April 17<sup>th</sup></b>	<b>Stan Bergstein</b>	<b>\$10,000</b>
<b>April 17<sup>th</sup></b>	<b>CASS 3Yo Trot</b>	<b>\$6,500</b>
<b>April 17<sup>th</sup></b>	<b>CASS 3Yo Filly Pace</b>	<b>\$6,500</b>
<b>April 17<sup>th</sup></b>	<b>CASS 3Yo Colt Pace</b>	<b>\$6,500</b>
<b>April 18<sup>th</sup></b>	<b>Lloyd Arnold</b>	<b>\$10,000</b>
<b>April 25<sup>th</sup></b>	<b>Kirk Breed</b>	<b>\$10,000</b>

E. Number of days or nights of racing per week: **2 (Two)**

**3. RACING PROGRAM**

A. Total number of races: **504**

B. Number of races for each day or night: **Up to 14 (Fourteen) races nightly.**

C. Total number of stakes races: **35**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. None

E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes  No If no, what racing colors are to be used: Drivers and Trainers will use their own registered colors.

F. List all post times for the daily racing program: **6:10 pm every Friday and Saturday.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

**C. CORPORATION**

- 1. Registered name of the corporation:
- 2. State where incorporated:

3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
If yes, answer questions 10-17. Yes  No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC: Watch and Wager.com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:  
Ed Comins, President, and Managing Member
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
European Wagering Services 100 % Shareholder
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.

8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, President, 0 Shares. Watch and Wager.com LTD is a wholly owned subsidiary of Webis Holdings PLC, a public company listed on AIM (London) United Kingdom.
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Attached
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- President & Managing Member, Watchandwager.com LLC.

Watchandwager.com LLC has entered into a management operating agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in state of California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of

Watchandwager.com LLC the day-to-day operations of the proposed harness meeting. A copy of that management agreement is on file with the Board.

Christopher Schick	General Manager
Benjamin Kenney	Assistant General Manager
Kate Phariss	Director of Backstretch Operations
Glen Harris	Mutuel Manager
John Robert Williams	Track Superintendent
Gary Seibel	Announcer/ Simulcast Host
Martin Bridges	Program Director

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Christopher Schick and/or Benjamin Kenney, 25 Cadillac Drive #201, Sacramento, CA 95825. E-Mail: [chris@goldenbearracing.com](mailto:chris@goldenbearracing.com); [ben@goldenbearracing.com](mailto:ben@goldenbearracing.com)

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.
2. The handle information for the previous racing association.

Year	Handle	Attendance
2018 ( 47 Days)	52,681,876	121,562
2017 ( 54 Days)	58,411,829	142,945
2016 ( 54 Days)	57,780,966	144,224
2015 ( 57 Days)	61,174, 025	146,084
2014 ( 60 Days)	58,899,325	145,065
2013 ( 68 Days)	62,552,634	134,245

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution: December 27, 2019 through April 25, 2020 36 Race Nights  
 Prior meet comparison: December 28, 2018 through April 26, 2019 36 Race Nights

1. All races other than stakes:  
 Current meet estimate: \$ 1,571,724  
 Prior meet actual: \$ 1,496,880

Average Daily Purse (7 A1 ÷ number of days):  
 Current meet estimate: \$ 43,659  
 Prior meet actual: \$ 41,500

2. Overnight stakes:  
 Current meet estimate: \$ 69,000  
 Prior meet actual: \$ 69,000

Average Daily Purse (7 A2 ÷ number of days):  
 Current meet estimate: \$ 1,917  
 Prior meet actual: \$ 1,917

3. Non-overnight stakes:  
 Current meet estimate: \$ 175,500  
 Prior meet actual: \$ 175,500

Average Daily Purse (7 A3 ÷ number of days):  
 Current meet estimate: \$ 4,875  
 Prior meet actual: \$ 4,875

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$ 1,816,224  
 Prior meet actual: \$ 1,741,380

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 71%  
 Prior meet actual: 71%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 0

Prior meet actual: 0

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 30,450

Prior meet actual: \$ 29,000

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate:

Prior meet actual:

Total \$ 78,750

Total \$ 75,000

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 1,925,424

Prior meet actual: \$ 1,845,380

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 53,484

Prior meet actual: \$ 51,261

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 1,058,400

Prior meet actual: \$ 1,008,000

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 29,400

Prior meet actual: \$ 28,000

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 242,100

Prior meet actual: \$ 230,400

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 6,725

Prior meet actual: \$ 6,400

- H. Bank and account number for the Paymaster of Purses' purse account: ... Account number is on file with the Board.

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
Kevin Riley CPA, 3838 Watt Ave Suite E-500, Sacramento, Ca 95821 916 488 8360,  
Kevin@rmb-cpa.com

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 800
- B. Minimum number of stalls believed necessary for the meeting: 625
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: N/A
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): 1020
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: See Attached

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	<b>TYPE OF WAGERS</b>	<b>APPLICABLE RULES</b>
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

Race #1

**Proposed Wagering Format**

**The following CHRB and ARCI wagering rules are requested.**

<b>Type</b>	<b>Rule Number</b>
<b>Exacta (1E)</b>	<b>1959</b>
<b>Daily Double (1DD)</b>	<b>1957</b>
<b>Trifecta (0.20 Tri)</b>	<b>1979</b>
<b>Superfecta (.10SF)</b>	<b>1979.1</b>
<b>Pick-3 (1 PK3)</b>	<b>1977</b>
<b>Pick-4 (0.20 PNP4)</b>	<b>1976.9</b>
<b>Pick-5 (0.20 PNP5)</b>	<b>1976.9</b>
<b>Pentafecta (.20 Super H5)</b>	<b>ARCI 004-105-X- Option 3</b>
<b>PN6 (0.10)</b>	<b>ARCI 004-105-G- Method 7</b>

**Race 1: 1E, 1DD, 0.20 Tri, 0.20 PNP5**

**Race 2: 1E, 0.20 Tri, .10 SF**

**Race 3: 1E, 0.20 Tri, .10SF**

**Race 4: 1E, 0.20 Tri, .10SF, 1 P3**

**Race 5: 1E, 0.20 Tri, .10SF**

**Race 6: 1E, 0.20 Tri, .10 PNP6**

**Race 7: 1E, 0.20 Tri, .10SF, 1 P3**

**Race 8: 1E, 0.20 Tri, .10SF**

**Race 9: 1E, 0.20 Tri, .10SF**

**Race 10: 1E, 0.20 Tri, .20 PNP4**

**Race 11: 1E, 0.20 Tri, .10 Super H5**

**Race 12: 1E, 0.20 Tri, .10 Super, 1P3**

**Race 13: 1E, 0.20 Tri, .10SF, 1DD**

**Watch and Wager LLC  
Equine Emergency Services**

Attachment to Watch and Wager License Application  
Section 9A

VETERINARIAN	EMERGENCY CONTACT NUMBER
Eduardo DeLaCruz, D.V.M.	706-254-0924
Kathy Jones, D.V.M.	530-666-4019
Noel Muller, D.V.M.	209-334-1660
David Valcheck, D.V.M.	916-606-1616

Schedule

Sunday	Closed
Monday	AM only/TBD
Tuesday	AM only/TBD
Wednesday	AM only/Eduardo DeLaCruz, D.V.M.
Thursday	AM only/Eduardo DeLaCruz, D.V.M.
Friday	AM/TBD
	PM/Eduardo DeLaCruz, D.V.M.
Saturday	AM/TBD
	PM/Eduardo DeLaCruz, D.V.M.

TBD=rotate from list above

**Race 14: 1E, 0.20 Tri, .10 Super H5**

- **\$0.20 PNP4 on races 8 thru 11, reduced 16% takeout, 90% carryover if no correct tickets with four winners. 10% minor pool payout.**
- **\$0.10 PNP6 Races 6 through 11, or last six races if less than eleven. 100% Major pool paid plus any carryover for a unique serial ticket number wager selecting all six winners. If no unique ticket selects six winners, then 50% minor pool is paid to tickets selecting the most winners, and 50% major pool will be applied to the carryover.**
- **\$0.20 PNP5 starting on the first race. Reduced 16% takeout. No consolation. 100% carryover if no ticket correctly selects five winners. CHRB 1976.9**
- **\$0.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all ticket selecting five winners. No consolation. 100% carryover if no winning ticket. ARCI-004-105-X-Option3.**

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. **Note: The Pick-5 is now a base \$0.20 wager versus \$0.50 prior.**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: April 25,2020
- D. List any options requested with regard to exotic wagering: We request to continue our promotional **16% takeout** on both our PN4 and PN5 wagers. These reduced takeout promotional rates have proven very popular.

Request for Friday \$30,000 Guaranteed Pick-4 Pool  
 Request for Saturday \$40,000 Guaranteed Pick-4 Pool

Attached is a letter requesting the reduced takeout promotional wagers.

- E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? 10 am Specify days and time for “early bird” wagering:  
 All live race days.
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
 Amtote International...Statewide contract, Contract expires Sept 2021.
- G. List below the takeout percentage for each type of wager identified in 10.A.:

**TAKEOUT PERCENTAGE**  
 (Example) PNP5-14%

Race #1 WPS 17.43% E24.18% Pick-5 16% Tri 24.18% DD 24.18%

## **Promotional Wager Takeout Reduction Request**

### **Cal Expo 2020 Winter Spring Meeting**

Watch and Wager.com LLC, and the California Horseman's Association jointly request a reduction in takeout for two nightly wagers on the program. The wagers requested for reduction are the \$0.20 Pick-5, and the \$0.20 Pick-4 wager. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on the above nightly wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

For the past seven years the requested promotional wagers at 16% proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.

**California Harness Horsemen's Association**  
**P.O. Box 254767**  
**Sacramento, CA 95865**  
**(916) 263-7888; fax (916) 263-7887**

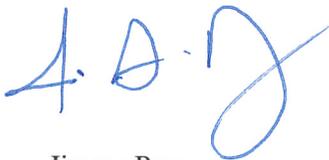
August 1, 2019

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning November 9, 2019 and concluding in April of 2020.

Sincerely,  
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez,  
Executive Director

- Race #2 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #3 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #4 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #5 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #6 WPS 17.43% E 24.18% Tri 24.18% Pick-6 24.18%
- Race #7 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #8 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #9 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #10 WPS 17.43% E 24.18% Tri 24.18% P4 16.00 %
- Race #11 WPS 17.43% E 24.18% Tri 24.18% Super Hi 5 24.18%
- Race #12 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #13 WPS 17.43% E 24.18% Tri 24.18% Super 24.18% DD 24.18%
- Race #14 WPS 17.43% E 24.18% Tri 24.18% Super Hi Five 24.18%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

TVG  
Watch and Wager.com  
Twin Spires

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

Attached and on file.

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes  No

If yes, attach a copy of the approval. Attached

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off Track Wagering Inc (SCOTWINC).

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

**California Harness Horsemen's Association**

**P.O. Box 254767**

**Sacramento, CA 95865**

**(916) 263-7888**

August 1, 2019

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

This letter is to acknowledge our support for and approval of the out-of-state simulcasting of the nightly California harness races from Watch & Wager at Cal Expo in Sacramento, CA to Board approved in-state-and out-of-state simulcast venues during the period of time that Watch & Wager is conducting its harness race meet at Cal Expo. This also approves the use of live harness racing for wagering by ADW providers that have been approved by the Board and have an agreement with Watch & Wager as required by California B&P Code, Section 19604.

These approvals shall commence with the scheduled harness meet on November 9, 2019 and extend through the final day of racing in April of 2020. It should be noted that the CHHA does reserve the right to withdraw its approval upon notification to the Board by a 15 day written notice.

We are please to work with Watch & Wager to send the audio and visual signals of our nightly live races to satellite wagering venues and ADW providers that are licensed by the California Horse Racing board.

Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

**The agreement between SCOTWINC and Watch and Wager is on file with the Board.**

- C. California simulcast facilities the association proposes to offer its live audiovisual signal: All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: See Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: See Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: All licensed California mini-satellite sites.
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and Stakes Races
Hawthorne	TBD	Selected Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands	TBD	Selected Feature

**Watch and Wager LLC @ Cal Expo 2020 Winter Spring Meet**

**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR  
POOLS WITH CAL EXPO.**

American Tab, Oregon  
Atlantic City Race Course, New Jersey  
Atlantis Paradise Island Casino, Fla  
Batavia Downs, New York  
Borgata Casino, Atlantic City, NJ  
Buffalo Thunder Resort, New Mexico  
Capitol District OTB, New York  
Catskill Region OTB, New York  
Connecticut OTB, Conn.  
Downs at Albuquerque, New Mexico  
Elite Turf Club  
Empire City Bets, New York  
Fire Lake Casino, Oklahoma  
Foxwoods Casino, Connecticut  
Fraser Downs, BC, CAN  
Freehold Raceway, New Jersey  
Game Play Network, Ca  
Global Wagering Inc, ND  
Harrington Raceway, Delaware  
Harrah's Philly, Pa  
Hastings Park, BC, CAN  
Hawthorne Racecourse, Illinois  
Hazel Park, Mich  
Hippodrome de Montreal, QUE, CAN  
Hoosier Park, Indiana  
Illinois OTB, Illinois  
Indianapolis Downs, Indiana  
Las Vegas Dissemination, Nevada  
Les Bois Park, Idaho  
Lien Games, North Dakota  
Lone Star Park, Texas  
Maywood Park, Illinois  
Maryland Jockey Club, Maryland  
Meadowlands Race Track, New Jersey  
Megatote LLC, WI  
Mesquaki Casino, Iowa  
Mohawk Racetrack, ONT, CAN  
Nassau Region OTB, New York  
Nevada Pari Mutuel Association

Newco Ventures... NYRA bets.com  
Northfield Park, Ohio  
Northlands Park, BC, CAN  
Northville Downs, Michigan  
Ocean Downs, Maryland  
Penn National Gaming, Pennsylvania  
Philadelphia Park, Pennsylvania  
Plainridge Racecourse, Massachusetts  
Pompano Park, Florida  
Potawatomi Bingo Casino, Wisconsin  
Premiere Gateway  
Premier Turf Club, Florida  
Racing Channel, Oregon  
Racing & Gaming Services, St. Kitts  
Running Aces, Minnesota  
Sam Houston Park, Texas  
Saratoga Harness, New York  
Scioto Downs, Ohio  
Southland Greyhound, Arkansas  
Sports Creek Raceway, Michigan  
Suffolk Downs, MA  
Suffolk Regional OTB, New York  
Sunland Park, New Mexico  
Television Games Network (TVG)  
The Meadows, Pa  
Thistledown, Ohio  
The Downs at Albuquerque, New Mexico  
The Red Mile, Kentucky  
Tri-State Greyhound, WV  
TwinSpires.com  
Watch and Wager.com LLC  
Western Fair, ONT, CAN  
Western Region OTB, New York  
Windsor Raceway, ONT, CAN  
Woodbine Entertainment. Group, ONT, CAN  
XpressBet Com  
Yonkers Raceway, New York

## Watch & Wager LLC @ Cal Expo Harness

### OUT OF STATE SIMULCAST WAGERING LOCATIONS

#### 2020 Winter Spring Meet

American Tab, Oregon  
Atlantic City Race Course, New Jersey  
Capitol District OTB, New York  
Catskill Region OTB, New York  
Connecticut OTB, Connect  
Downs at Albuquerque, New Mexico  
Elite Turf Club,  
Fire Lake Casino, Oklahoma  
Foxwoods Casino, Connecticut  
Fraser Downs, BC, CAN  
Freehold Raceway, New Jersey  
Game Play Network  
Harrah's Philly, PA  
Harrington Raceway, Delaware  
Hastings Park, BC, CAN  
Hawthorne Racecourse, Illinois  
Hazel Park, Mi  
Hippodrome de Montreal, QUE, CAN  
Hoosier Park, Indiana  
Illinois OTB, Illinois  
Indianapolis Downs, Indiana  
Las Vegas Dissemination, Nevada  
Les Bois Park, Idaho  
Lien Games LLC  
Lone Star Park, Texas  
Maywood Park, Illinois  
Meadowlands Race Track, New Jersey  
Mesquaki Casino, Iowa  
Mohawk Racetrack, ONT, CAN  
Nassau Region OTB, New York  
Nevada Pari-mutuel Association, Nevada  
Northfield Park, Ohio  
Northlands Park, BC, CAN  
Northville Downs, Michigan  
Ocean Downs, Maryland  
Penn National Gaming, Pennsylvania  
Philadelphia Park, Pennsylvania  
Plainridge Racecourse, Massachusetts  
Pompano Park, Florida  
Premiere Gateway  
Racing Channel, Oregon  
Racing & Gaming Services, St. Kitts  
Rosecroft Raceway, Maryland  
Sam Houston Park, Texas  
Saratoga Harness, New York  
Scioto Downs, Ohio  
Southland Greyhound, Arkansas  
Sports Creek Raceway, Michigan  
Suffolk Downs, MA  
Suffolk Regional OTB, New York  
Sunland Park, New Mexico  
Television Games Network (TVG)  
Thistledown, Ohio  
The Downs at Albuquerque, New Mexico  
The Meadows, PA  
The Red Mile, Kentucky  
Tri-State Greyhound, WV  
TwinSpires.com  
Watch and Wager.com LLC, ND  
Western Fair, ONT, CAN  
Western Region OTB, New York  
Windsor Raceway, ONT, CAN  
Woodbine Entertainment. Group, ONT, CAN  
Yonkers Raceway, New York  
XpressBet.com

Mohawk	TBD	Selected Feature
Hoosier	TBD	Selected Feature

Under current statute, the association proposes to import 10 (ten) domestic out of state races nightly During live racing nights overlapped with Los Alamitos. We also plan to import under statute one full International card of Harness racing per live race night. We generally expect those races to be from Woodbine Mohawk Park.

On any live racing night when not overlapped with Los Alamitos, consistent with the statute, association will import 20 harness or quarter horse out of state races.

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, CA. 95821
- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, CA 95821 501 C4 – 68-0031464

**California Harness Horsemen's Association**

**P.O. Box 254767**

**Sacramento, CA 95865**

**(916) 263-7888**

August 1, 2019

Mr. Rick Baedeker

Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Dear Mr. Baedeker:

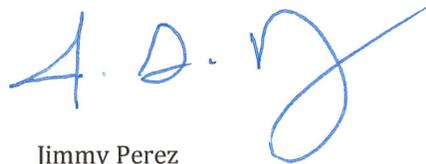
This letter is to acknowledge the approval of the California Harness Horsemen's Association for the simulcast of the nightly harness and quarter horse races from out-of-state or out-of-country racing venues to the Watch & Wager meet conducted at Cal Expo in Sacramento.

This approval begins with the scheduled harness meet on November 9, 2019 and extends through the final night of racing in April of 2020. It should be noted that CHHA does reserve the right to withdraw its approval upon notification to the board by a 15 day written notice.

We are pleased to participate in Watch & Wager's simulcasting program. Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

#### 14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- |                              |                         |
|------------------------------|-------------------------|
| Association Veterinarian(s): | Dr. Leticia O'Hara, DVM |
| Clerk of Scales              | NA                      |
| Clerk of the Course          | Patricia Petersen       |
| Film Specialist              | Plusmic Inc.            |
| Horse Identifier             | Carl Sobey              |
| Horseshoe Inspector          | Larry Goshman           |
| Paddock Judge                | Walter Petersen         |
| Patrol Judges                | Kenneth Fowler          |
| Placing Judges               | Tina Waters             |
| Starter                      | Kenneth Fowler          |
| Timer                        | Tina Waters             |
- B. Management officials in the racing department:
- |                             |               |
|-----------------------------|---------------|
| Director of Racing:         | Robin Schadt  |
| Racing Secretary:           | Robin Schadt  |
| Assistant Racing Secretary: | Brent Bridges |
| Paymaster of Purses:        | Glen Harris   |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd., Herald, CA, 95638 916-956-3914 breezecsr@att.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 10, 2020.
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Pegasus Communications: Jim Porep, President. Contract expires May 2020. Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and

owned by Pegasus Communications. Contract Expires May 1, 2020.

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached 2019 security organizational chart.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
  1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Harness has no graded stake races or races for \$100,000 or more.

### 2. Detention Stalls:

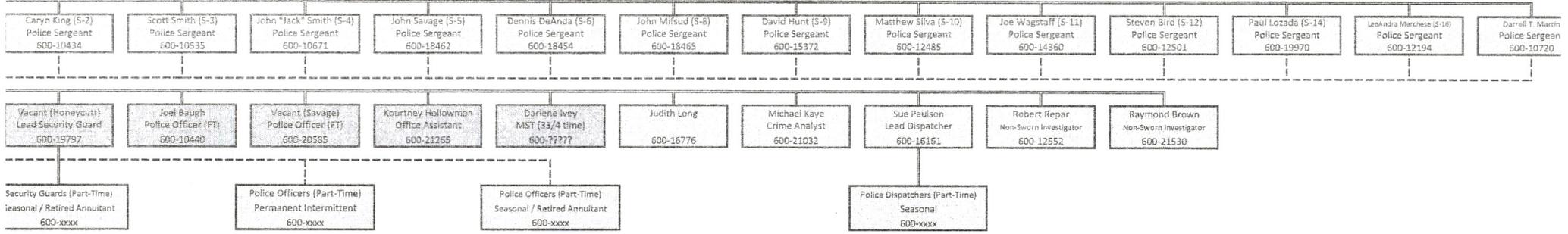
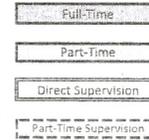
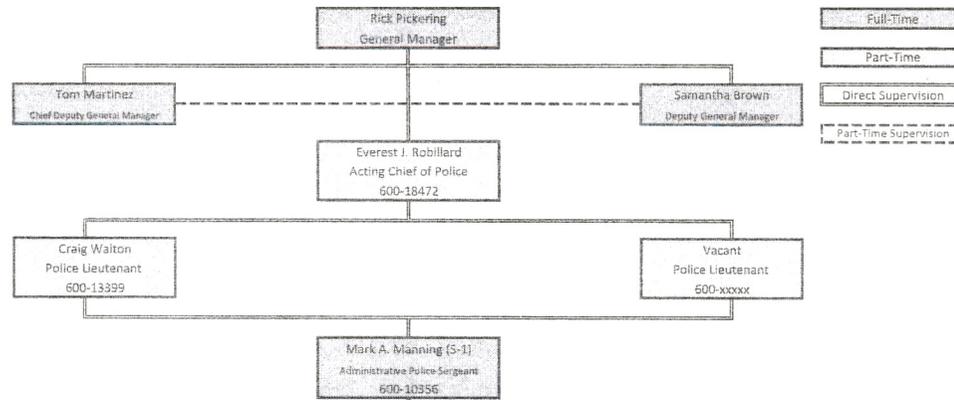
- B. Attach a plan for use of graded stakes or overnight races.
- C. Number of security guards in the detention stall area during a 24-hour period. Three (3)
- D. Describe number and location of surveillance cameras in detention stall area. The detention barn is monitored by one surveillance camera. The recording location is the main backstretch entrance Gate 12.

Cal Expo Detention Barn Information: The Cal Expo Detention Barn is a stand alone fully secure 20-stall barn at the south end of the Cal Expo barn area. All California Sire Stake races along with designated overnight stakes will be detention barn races. All detention barn horses must be checked in with the security guard by 12 noon. A detailed log of all people entering the detention barn is always on file. Veterinarians are allowed for Salix administration or emergencies only. All ingress or egress is documented in the log book.

### 3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested. Currently seven races nightly, two horses per race, randomly drawn by the stewards. Association will evaluate for potential increase.
- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.
- C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.
- D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no

California Exposition and State Fair Police Department



more than 2 at any given time.

- C. Describe the electronic security system:
1. Location and number of video surveillance cameras for the detention stall and stable gate. One surveillance camera and monitor will be located at both the detention barn and Gate 12 main gate.
- D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

## 16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing: American Medical Response, 1779 Tribute Rd, Sacramento, CA, 95815 916-563-0600
1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. See Attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A
1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A
- C. Describe the on-track first aid facility, including equipment and medical staffing: The Cal Expo first aid station is located just past the main entrance. The facility is staffed by the Cal Expo police staff. A minimum of two Cal Expo police officers are on duty during the live racing program. Basic first aid supplies include antibiotic ointment, cotton balls/swabs, gauze pads/roller, sterile eyewash, thermometer, turkey baster for cuts. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. Basic first aid services are offered at the station. In addition the on duty ambulance staff provides basic first aid services. The ambulance service is staffed by one EMT, and one Paramedic. The ambulance staff also has a wide range of basic and advanced life support equipment on hand.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**If quarter horse racing association sees D.1 N/A**
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)
- E. Name, address and emergency telephone number of the hospital to be used for admittance and



July 25, 2019

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. All of our paramedic staff are licensed with the California Emergency Medical Services Authority (EMSA) in addition to being accredited by the LEMSA (local emergency medical services) in the area(s) in which they are practicing. The current status of any Paramedic License can be verified by CA EMSA on their website: <http://www.centralregistry.ca.gov/Search.aspx>. In addition to annual inspections by the LEMSA, our vehicles are inspected and permitted annually by the CHP. Specific personnel licenses or vehicle permits are available for viewing on request at the local AMR Sacramento office.

Please feel free to contact me if you require further information.

Sincerely,

A handwritten signature in black ink, appearing to read 'Jennifer L. Bales', is written over a faint, larger version of the same signature.

Jennifer L. Bales  
Regional Safety & Risk Director  
AMR – Northern California & Hawaii

Cc: Daniel Iniguez, Operations Manager

1101 Fee Drive, Sacramento, CA 95815  
Phone: 916-563-0835 Fax: 916-649-2031

treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey’s room to be used in the event of an on-track injury to a jockey: See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Ben Kenney, Safety Manager, Kate Pharris, Asst Safety Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers’ compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Oak River (Berkshire Hathaway) Policy# WAWC011195
- J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers’ compensation. See Attached

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

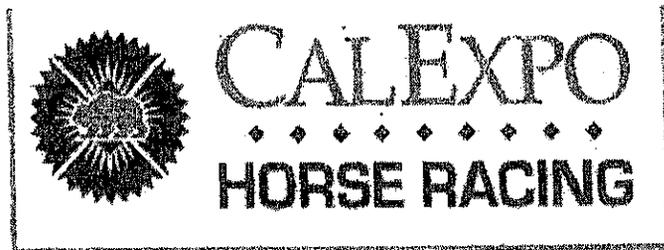
**17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions?    Yes        No   

**18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting: See Attached
- B. Promotional/ Marketing budget for this race meeting: \$ \$ 42,000



## ***Watch & Wager,com LLC @ Cal Expo***

### **FOR ON TRACK INJURY TO DRIVERS**

#### **"FIRST RESPONSE"**

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

#### **"ON SITE EVALUATION"**

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

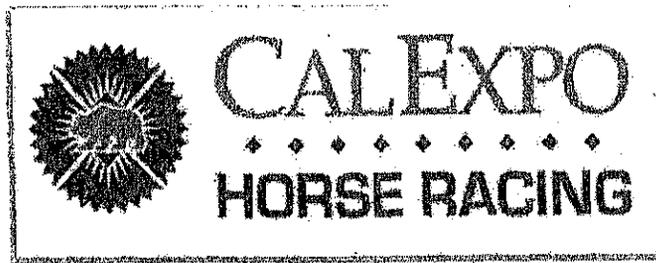
#### **"INJURY TREATMENT"**

- If the Injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room

CALIFORNIA EXPOSITION & STATE FAIR

P.O. Box 15540 \* Sacramento, CA 95842-1540 State of California \* Jerry Brown, Governor



## Watch & Wager.com LLC @ Cal Expo

### "PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

### "EVALUACION AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

### "ATENCION AL ACSIDENTADO"

- El accidentado sera tratado de inmediato por (EMT).  
Sies demaciado grave el accidente sera transportado por ambulancia



# DEPARTMENT OF FORESTRY AND FIRE PROTECTION

OFFICE OF THE STATE FIRE MARSHAL  
Fire and Life Safety Division - North  
2251 Harvard Street, Suite 130, Sacramento, CA 95815  
(916) 568-2962

## SPOT INSPECTION REPORT

### LOCATION INFORMATION

**Street Address:** 1600 Exposition Blvd. **County:** Sacramento  
**City, State, Zip code:** Sacramento, CA, 95815  
**Lot Number:** **Suite Number:**  
**Floor Number:**  
**Agency:** DAA California Exposition & State Fair (60050)  
**SFM File No.:**

### INSPECTION INFORMATION

**Inspection Number :** 76273 **Inspection Type:** Spot Inspection  
**Inspection Date :** 07/08/2019 **Inspection Status :** Passed  
**Inspector:** Chad Wilkins **Inspection Hours :** 1.50  
**Inspector Signature:**  **Travel Hours :** 0.50  
**Inspection Comments:** All efficiency dwellings within the backstretch area have been inspected and are compliant.  
**Discussed With:** Robert Stroud **Title:** Chief, Plant Operations  
**Accompanied By:**  **Title:** Chief, Plant Operations  
**Signed:** Robert Stroud  
Signed: 07/09/2019

COMMENTS OR QUESTIONS ABOUT INSPECTION SERVICES  
Please contact CAL FIRE Life Safety North at (916) 568-2962 for assistance.





## Watch and Wager.com LLC @ Cal Expo

### Marketing and Promotional Plan

#### 2020 Winter Spring Meeting

**New Stable Recruitment:** Watch and Wager and the California Harness Horseman's Association advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$275,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product. A copy of our new and returning horse incentive plan is attached.

**Improved Simulcast Production.** Our nationally recognized on air team which includes Gary Siebel, Jenn Bongiorno, and Wendy Ross will provide some of the best insight in the industry. Watch and Wager has nationally known Derrick Giwner of DRF Harness doing nightly Pick-5 analysis. We are also pursuing other National analyst talent as contributors. Our goal is to give the betting public as much insight in to our product as possible. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Three percent of harness handle comes from off-track sources. Our signal has been upgraded from Analog to SDI. We are exploring the cost/benefit analysis of going HD.

**Guaranteed Pools.** We guarantee a \$30,000 Pick-4 on Friday, and a \$40,000 Pick-4 guarantee on Saturday. This is the highest guarantee of any harness track in the country.

**Website:** We have a new and improved website that went live on July 1<sup>st</sup> of 2019. We continue to offer free live video streaming and free archived replays.

**TVG:** We are an exclusive partner of the Television Games Network.

Social Media: We have become very active on Facebook and Twitter. We will continue to invest in a continued presence on Social Media platforms.

On Track Handle: We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

Parking/ Admission: We will continue the policy of **free** parking and admission every live racing night.

Concessions: We will continue to work with the Cal Expo master caterer Spectra to provide live fans with food and beverage nightly specials. We have agreed to a \$2 night the final Saturday of each month. We plan to promote this final Saturday of each month as the " Best Entertainment Value" in Sacramento.



# CALEXPO Incentive Plan 2019 - 2020



## New Horse Incentive Program\*

Two-tiered structure includes **higher** incentive for horses starting early:

(a) If first start on or **before** November 30, 2019

**\$ 800** • 1<sup>st</sup> start

+

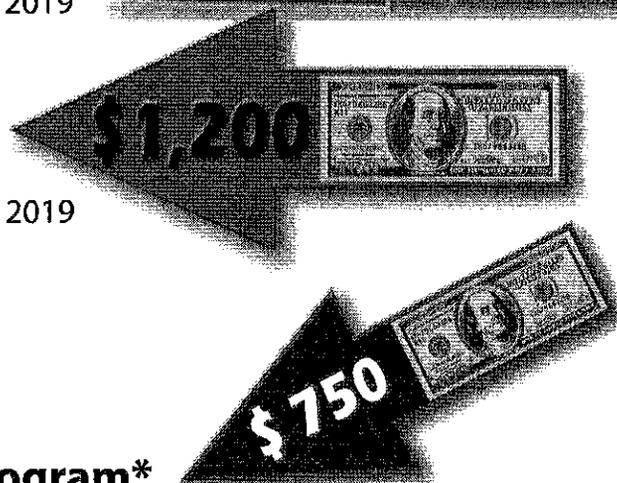
**\$ 400** • 8<sup>th</sup> start

(b) If first start on or **before** December 28, 2019

**\$ 500** • 1<sup>st</sup> start

+

**\$ 250** • 8<sup>th</sup> start



## Returning Horse Incentive Program\*

(a) **\$ 750** per horse, paid at first start if start is **on or before** November 30, 2019

(b) **\$ 400** per horse, if starts **on or before** December 28, 2019

## Conditions:

- (a) **"New horses"** must not have started at Cal-Expo since June 1, 2018.
- (b) Must have at least one (1) race in 2019 for a purse greater than or equal to \$1,500 at a track other than Cal-Expo (pari-mutuel or non pari-mutuel).
- (c) Must not have raced for a purse greater than or equal to \$15,000 in each of its last five (5) overnight starts.
- (d) All payments will be made to the owner of record as of the date the new horse records its first Cal-Expo start.
- (e) The 8<sup>th</sup> start must be made prior to the end of the meet on April 25, 2020.
- (f) All 8<sup>th</sup> start new horse earned incentive money will be payable April 1, 2020.
- (g) An individual trainer and/or owner is entitled to a maximum of 20 **"new horse"** incentives.

**\*CHHA Membership:** All owners are **required** to be a current member of the CHHA to receive new or returning horse payment.

For more information please contact us at:

Email: [info@goldenbearracing.com](mailto:info@goldenbearracing.com) • Phone: 916-800-1395

Check out our website: [CalXHarness.com](http://CalXHarness.com)

- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/40

## 22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5280
------

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%  
The percent of cross slope in the center of the turns is: 5-6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J.R. Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. See Attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

## 23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. See Attached
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.). **Agreement Attached**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a

request for an extension pursuant to CHRB Rule 1407 shall be made. **Lease agreement between Cal Expo and Watch and Wager which is through May of 2022 is on file with the board.**

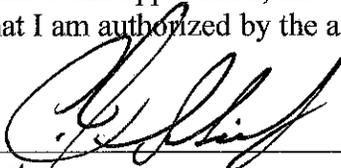
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exceptions

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SCARCK  
Print Name

  
Signature

General Manager  
Print Title

9/26/2019  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING  
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN WATCH AND WAGER LLC  
AND THE CALIFORNIA HARNESS HORSEMEN ASSOCIATION (CHHA),  
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR  
MEDICATIONS TO BE IMPLEMENTED BY WATCH AND WAGER AT ITS  
DECEMBER 26, 2019 THROUGH MAY 3, 2020 RACE MEETING AT THE CAL EXPO  
RACETRACK.

Regular Board Meeting  
November 21, 2019

## ISSUE

[CHRB Rule 1581](#), Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the California Harness Horsemen Association (CHHA) and the Board. Watch and Wager LLC desires to condition all races run at the Cal Expo Racetrack to be run with reduced levels of furosemide (Lasix).

## BACKGROUND

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Profession Code section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [Business and Professions Code section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

## ANALYSIS

Watch and Wager's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions

that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Watch and Wager's proposed condition will reduce the maximum amount of Lasix to half of the current authorized level. [CHRB Rule 1845](#), Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce the amount to 250 mg.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of standardbreds, is the CHHA. Watch and Wager and the CHHA have reached an agreement under CHRB Rule 1581.

#### RECOMMENDATION

This item is presented to the Board for discussion and action.

**watch wager**

Operating Harness Racing at Cal Expo  
Managed By Golden Bear Racing, LLC

Rick Baedeker  
Executive Director  
California Horse Racing Board

September 7, 2019

Dear Rick,

Watch and Wager and the California Harness Horseman's Association have reached an agreement under CHRB rule #1581. With approval of the California Horse Racing Board, we would like to cut in half the permissible dose of Lasix from 10cc's to a maximum limit of 5cc's. If approved, this agreement would cover both meetings with an end date of April 25<sup>th</sup>, 2020.

The agreement executed by the CHHA is also attached.

We thank the Board for consideration of our joint request.

Sincerely,



Christopher J. Schick



## **CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION**

**David Neumeister, President  
Jimmy Perez, Executive Director**

**Chris,**

**The California Harness Horsemen's Association approve the change requested by Watch N Wager under CHRB rule #1581. We agree the amount of Lasix a horse may receive will be lowered from 10cc's to a maximum of 5cc's per race. This agreement is approved for the season beginning November 9<sup>th</sup>, 2019 and runs thru April 25<sup>th</sup>, 2020.**

**We respectfully request that the CHRB approve this agreement between the California Harness Horsemen's Association and Watch N Wager.**

**California Harness Horsemen's Association**

A handwritten signature in black ink, appearing to read 'A. D.', is written over a horizontal line. The signature is stylized and cursive.

**Jimmy Perez, Executive Director**

## STAFF ANALYSIS

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO **CHRB RULE 1481**, OCCUPATIONAL LICENSES AND FEES, TO REQUIRE LICENSURE OF OFFICERS, DIRECTORS, PARTNERS OR ANY INDIVIDUAL WHO HOLDS 5% OR MORE OF THE OUTSTANDING SHARES OF AN ADVANCE DEPOSIT WAGERING PROVIDER (ADW), MINI-SATELLITE WAGERING OR EXCHANGE WAGERING PROVIDER; **CHRB RULE 2071**, LICENSE TO CONDUCT ADVANCE DEPOSIT WAGERING BY A CALIFORNIA APPLICANT AND **CHRB RULE 2072**, APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING BY AN OUT-OF-STATE APPLICANT, TO REVISE CHRB FORMS 132, APPLICATION TO CONDUCT ADVANCE DEPOSIT WAGERING AND 133, APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING, TO CAPTURE CHRB LICENSE INFORMATION FOR SPECIFIED PERSONS; CLARIFY THE TERM OF LICENSE FOR ADW PROVIDERS AND COLLECT FINANCIAL INFORMATION, INCLUDING PROFIT AND LOSS STATEMENTS, SPECIFIC TO ENTITIES' CALIFORNIA OPERATIONS

Regular Board Meeting  
November 21, 2019

### ISSUE:

In April 2019, the proposed amendments to [CHRB Rule 1481](#), Occupational Licenses and Fees, [CHRB Rule 2071](#), License to Conduct Advance Deposit Wagering by a California Applicant and [CHRB Rule 2072](#), Approval to Conduct Advance Deposit Wagering by an Out-Of-State Applicant were submitted to the Office of Administrative Law (OAL) for review. During its review, OAL stated it had concerns regarding the Economic Impact Statement (STD 399), so the file was withdrawn in June of 2019. A revised STD 399 was submitted to the State of California Business, Consumer Services and Housing Agency (Agency) for signature in June of 2019, and subsequently submitted to the Department of Finance (DOF) for review in July of 2019. The DOF approved the STD 399 in September of 2019.

After the file was withdrawn, several changes were made to the texts of CHRB Rules 1481 and 2071, as well as the Application for License to Conduct Advance Deposit Wagering, CHRB-132 (CHRB-132), and Application for Approval to Conduct Advance Deposit Wagering. The changes were made in response to OAL's comments regarding the documents, and they required a 15-day public notice period, which ended in August of 2019. After the DOF approved the STD 399, the entire package was resubmitted to OAL for review. In October of 2019, OAL determined that the rulemaking package would require another hearing for adoption by the Board before it could approve the amended regulations.

### ANALYSIS:

The 15-day modifications to the text of CHRB Rules 1481 and 2071, and the forms CHRB-132 and CHRB-133 appear as double underlined (==) or double strikethrough text (==). The changes included:

Rule 1481:

- A comma was added to subsection (b)(6).

Rule 2071:

- Subsection (b) was changed to remove the words “up to,” which had the effect of leaving the term of license for a California ADW at two years.

Form CHRB-132 (Incorporated by reference in Rule 2071):

- Removed references to “California Corporations Commission,” which no longer exists and replace with “Department of Business Oversight.”
- Modify subsections 3.C.9., 3.D.3., and 3.D.4. to require the prior two year’s annual profit and loss statements for California ADW operations only be submitted.

Form CHRB-133 (Incorporated by reference in Rule 2072):

- In subsection 3.B.10, the period of time required for a corporation’s annual financial statements submitted with the application was change from the preceding 12 months to the preceding 24 months.
- Subsections 3.B.11., 3.C.8., 3.C.9, 3.D.3. and 3.D.4. were changed to require two year’s annual profit and loss statements.
- Subsection 3.C.4. was changed to require submission of CHRB occupational license number for the ADW provider’s officers and directors.

BACKGROUND:

[Business and Professions Code section 19440](#) states the Board shall have all powers necessary and proper to enable it to carry out the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing pari-mutuel wagering. [Business and Professions Code section 19510](#) provides every steward and racing official not required to be licensed under Article 4 (commencing with [section 19480](#)) shall be licensed by the Board pursuant to this article. [Business and Professions Code section 19520](#) provides that every person not required to be licensed under Article 4 who participates in the racing of horses and every employee of a pari-mutuel department shall be licensed by the Board pursuant to rules and regulations that the Board may adopt, and upon the payment of a license fee fixed and determined by the Board. [Business and Professions Code section 19590](#) states the Board shall adopt rules governing, permitting and regulating pari-mutuel wagering on horse races under the system known as the pari-mutuel method of wagering. Parimutuel wagering shall be conducted only by a person or persons licensed under this chapter to conduct a horse racing meeting or authorized by the Board to conduct advance deposit wagering. [Business and Professions Code section 19460](#) provides that all licenses are subject to all rules, regulations, and conditions prescribed by the Board. [Business and Professions Code section 19604](#) states the Board may authorize any racing association, racing fair, betting system, or multijurisdictional wagering hub to conduct advance deposit wagering (ADW) in accordance with this section. [Business and Professions Code section 19704](#) provides the Board shall issue a license for owners, trainers, jockeys and other participants in mule racing.

**RECOMMENDATION:**

This item is presented for Board discussion and action. No comments were received during the 15-day public comment period.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 4. OCCUPATIONAL LICENSES  
PROPOSED AMENDMENT OF  
RULE 1481. OCCUPATIONAL LICENSES AND FEES

Regular Board Meeting  
November 21, 2019

1481. Occupational Licenses and Fees.

No person required to be licensed shall participate or attempt to participate in a race meeting without holding a valid license authorizing that participation.

(a) A person acting in any capacity within the restricted area of an inclosure, simulcast facility or auxiliary stabling area shall procure the appropriate license(s) and pay the fee required.

(b) A person acting in any of the following capacities shall procure the appropriate license(s) and pay the fee required:

- (1) Horse Owner by Open Claim ..... \$250
- (2) Officer, Director, Partner, or any individual or person who holds 5% or more of the outstanding shares of an advance deposit wagering, mini-satellite wagering or exchange wagering provider, racing association, simulcast service supplier, or totalizator company ..... \$200
- (3) Horse Owner ..... \$150
- (4) Trainer, Assistant Trainer, Driver, Jockey, Apprentice Jockey, Jockey Agent ..... \$150

- (5) Veterinarian ..... \$150
  
- (6) Steward, Associate Steward, Steward (Veterinary Service), Simulcast Facility Supervisor, Assistant Simulcast Facility Supervisor, Racing Official, Administrative or Managerial personnel including General Manager of a racing association, advance deposit wagering, mini-satellite wagering or exchange wagering provider, simulcast organization or an intrastate guest association, who exercise control over other licensees, horse racing, pari-mutuel wagering, or simulcast operations, or whose duties routinely require access to restricted areas of the inclosure ..... \$150
  
- (7) Bloodstock Agent ..... \$150
  
- (8) Valet, Jockey Room or Drivers' Room Attendant or Custodian or Service Person, Colors Attendant, Paddock Attendant ..... \$75
  
- (9) Assistant to a Racing Official or Official, Assistant General Manager of a racing association, simulcast organization or an intrastate guest association, Assistant Starter, Assistant to the Veterinarian, Assistant Manager, Announcer, Paymaster of Purses, Superintendent, Starting Gate Driver, Flagman, Marshal, Stewards' Aide ..... \$75
  
- (10) Exercise Rider, Pony Rider, Outrider ..... \$75
  
- (11) Horseshoer, Stable Agent, Vendor or Vendor's Employee when duties require access to the restricted area, Stable Foreman ..... \$75
  
- (12) Pari-mutuel Employee, Totalizator Technician, Video Operator, Photofinish Operator ..... \$75

(13) Security Officer, Security Guard, Stable Gateman, Fire Guard, Security Investigator \$75

(14) Clerical Employee or Uncategorized Employee of a racing association, intrastate guest association, simulcast organization, simulcast service supplier, totalizator company, horsemen's organization or concessionaire when employed in a restricted area..... \$75

(c) A person acting in the capacity of Backstretch Event Personnel, Groom, Provisional Exercise\_Rider, Stable Employee or Stable Assistant shall procure the appropriate annual license. The fee for an original license is \$35, and the annual renewal of license is \$20.

(d) A person acting in the capacity of Authorized Agent shall register an authorized agent agreement and registration of authorized agent and pay a fee of \$25 for each registration.

(e) A person or persons electing to conduct racing operations by use of a Stable Name, or stable name group, shall register the Stable Name, or stable name group, and pay a fee of \$300.

(f) A person or persons conducting racing operations as a syndicate, an entity running under a stable name group or as a partnership having more than ten general partners or having one or more limited partners shall register the syndicate, entity running under a stable name group or partnership as a multiple ownership and pay a fee of \$300.

(g) A person participating in any capacity required to be licensed under this rule who participates or attempts to participate at a mule racing meeting shall procure an annual

Mule Racing Participant license and pay an annual fee of \$25. A license for participating in a mule racing meeting is valid only at mule racing meetings and any license otherwise valid for horse racing meetings is not valid for mule racing meetings.

(h) A person whose license-identification card is lost, destroyed or mutilated shall procure a replacement license-identification card and pay a fee of \$15.

(i) A person who elects to participate in the Association of Racing Commissioners International (ARCI) Licensing Reciprocity Program shall pay the associated costs charged by the ARCI and the Federal Bureau of Investigation.

(j) The date the payment of the required fee is received and recorded by the Board is the effective date of issuance of a continuous occupational license for the capacity in which licensed. The fees required herein are for the entire period for which the issued license is to be valid.

Authority: Sections 19440, 19510, 19520 and 19704,  
Business and Professions Code.

Reference: Sections 19510, 19520 and 19704,  
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 26. ADVANCE DEPOSIT WAGERING  
PROPOSED AMENDMENT OF  
RULE 2071. LICENSE TO CONDUCT ADVANCE DEPOSIT WAGERING  
BY A CALIFORNIA APPLICANT

Regular Board Meeting  
November 21, 2019

2071. License to Conduct Advance Deposit Wagering by a California Applicant.

(a) Prior to an Account being established or wagering being conducted the Applicant located in California must be licensed by the Board. All licenses granted shall be subject to the provisions of Business and Professions (~~B&P~~) Code ~~Section~~section 19460 et. seq.

(b) An Applicant for license shall complete an Application for License to Conduct Advance Deposit Wagering, CHRB-132 (~~New Rev. 029/019~~), hereby incorporated by reference, which is available at the Board's administrative office. The Application must be filed not later than 90 days in advance of the scheduled start date of operation. A bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000 must accompany the Application. The term of the license shall be ~~up to~~ two years from the date the license is issued.

(c) Applicants shall establish security access policies and safeguards pursuant to ~~B&P~~ Business and Professions Code Section 19604.

(d) Applicants that accept wagers from California residents shall provide a full accounting and verification of the source of the wagers, and a detailed wagering information file that includes, but is not limited to, dollar amount wagered, pool on which the wager was placed, race number and racing venue, zone, breed, zip code of the Account Holder, time wagering stopped, and time of the wager in the form of a daily download of pari-mutuel

data to the Board designated database, ~~California Horse Racing Information Management System~~ CHRIMS, Inc., that is compatible with a Comma Delimited Text File.

(e) Applicants shall provide financial information that demonstrates the financial resources to operate Advance Deposit Wagering and provide a detailed budget that shows anticipated revenue, expenditures and cash flows by month projected for the term of the license.

(f) The Board may conduct investigations, inspections or request additional information from the Applicant as it deems appropriate in determining whether to approve the license.

(g) The Board, or its designee, shall be given access for review and audit of all records. The Applicant shall, at their location during hours of operation, make such information available. The Board may require the Applicant to annually submit audited financial statements.

(h) All advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization.

(i) Applicants shall enter into a written contractual agreement with the bona fide labor organization that has historically represented the same or similar classifications of employees at the nearest horse racing meeting.

(j) The Board shall notify the Applicant in writing within 30 calendar days from the receipt date by the Board's administrative office if the Application is complete or deficient. If the Application is deficient, the notice shall include:

(1) Instructions as to what is required of the Applicant to complete the Application.

(2) Instructions for requesting additional time to satisfy the requirements listed in the

notification, if needed.

(k) The Board shall approve or deny an Application within 90 calendar days from the receipt date by the Board unless the Applicant requests and is granted additional time to supply information.

(l) If the Board denies an Application, the Applicant has 30 calendar days, from the receipt date of the Board's denial notification, to request a reconsideration of the Board's decision. The request must be in writing and sent to the Board's administrative office. The Board shall respond in writing to the reconsideration request within 30 working days from the receipt date of the request. If reconsideration is denied, the Applicant may file for judicial review in accordance with ~~Section~~section 11523 of the Government Code.

(m) Subsequent to the issuance of a license to conduct Advance Deposit Wagering under this article, changes or amendments to information or operating procedures contained in an Application will be permitted by order of the Board or by Board approval of a request submitted in writing by the Applicant.

Authority: Sections 19440 and 19590,  
Business and Professions Code.

Reference: Sections 19460 and 19604,  
Business and Professions Code.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD (CHRB)  
**APPLICATION FOR LICENSE TO CONDUCT ADVANCE DEPOSIT WAGERING**  
 CHRB-132 (New 9/04 Rev. 02/19)

Application is made to the CHRB for a license to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

**1. APPLICANT**

- A.  Racing Association (Licensee)       Racing Fair (Licensee)  
 Betting System       CA Multi-jurisdictional Wagering Hub (CA Hub)
- B. Name, mailing address, telephone and fax numbers:
- C. Names, and titles and CHRB license number of all management personnel directly involved in the management of advance deposit wagering operations:

<u>Name and title</u>	<u>License Number</u>

**NOTICE** – All management personnel must be CHRB licensed.

- D. Racing Fairs are not required to complete Section 3, Business Structure.
- E. Betting Systems and CA Hubs - attach the contract with the Licensee and the required horsemen’s approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the Licensee for access to the California market for wagering purposes.

**2. DATES OF OPERATION**

- A. Dates Advance Deposit Wagering will be conducted:
- B. Hours Advance Deposit Wagering will be conducted:

**3. BUSINESS STRUCTURE**

<b>CHRB CERTIFICATION</b>	
Application received: Reviewed: Hearing date:	Approval date: License number:

- A.  Corporation (complete subsection B)  
 LLC (complete subsection C)  
 Other (specify, and complete subsection D)

Complete the applicable subsection

B. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Name and CHRB license number of all officers and directors, Include titles, and number of shares of the corporation held by each:
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each. Include each person's CHRB license number (Notice: per Rule 1481, all persons that hold 5% or more of outstanding shares must hold a CHRB license.):
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the prior two years' most recent annual financial statements for the corporation, including balance sheets and profit and loss statements, and a copy of all reports made issued during the preceding 1224 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the ~~California Corporations Commission~~ Department of Business Oversight.
11. Applicants not previously licensed by the CHRB to conduct ADW, proceed to subsection 12. Applicants previously licensed by the CHRB to conduct ADW: Attach the prior two year-s' annual profit and loss statements for the California ADW operations only. Include the methodology used to determine the cost allocation for the profit and loss statements.
1214. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the license.

## C. LLC

1. Registered name of the LLC:
  2. State where articles of organization are filed:
  3. Registry or file number for the LLC:
  4. Name and CHRB license number of all officers and directors, Include titles, and the number of shares of the LLC held by each:
  5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each. Include each person's CHRB license number (Notice: per Rule 1481, all persons that hold 5% or more of outstanding shares must hold a CHRB license.):
  6. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
  7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  8. Attach the prior two years' most recent annual financial statements for the LLC, including balance sheets and profit and loss statements, and a copy of ~~all~~ reports ~~made~~issued during the preceding ~~12~~24 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the ~~California Corporations Commission~~Department of Business Oversight.
  9. Applicants not previously licensed by the CHRB to conduct ADW, proceed to subsection 10. Applicants previously licensed by the CHRB to conduct ADW: Attach the prior two year-s' annual profit and loss statements for the California ADW operations only. Include the methodology used to determine the cost allocation for the profit and loss statements.
109. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the license.

## D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach the prior two year-s' annual financial statements for the partnership/sole proprietor. Include balance sheets and profit and loss statements, and a copy of all reports issued during the preceding ~~12~~24 months to shareholders and/or the Securities and Exchange Commission, and/or the ~~California Corporations Commission~~Department of Business Oversight.

4. Applicants not previously licensed by the CHRB to conduct ADW, proceed to subsection 5. Applicants previously licensed by the CHRB to conduct ADW: Attach the prior two year's annual profit and loss statements for the California ADW operations only. Include the methodology used to determine the cost allocation for the profit and loss statements.

5. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the license.

**4. ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2074.

- A. List the procedures to establish an Account:
- B. If an application form is used to establish an Account attach a copy of the form.
- C. Name and address of the third party you will use to verify identity, residence and age verification:

**5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2073.

- A. Submit a copy of your plan for operation.
- B. List the type of deposits you will accept:
- C. Identify any fees or transaction-related charges and the amount that will be assessed:

**6. SECURITY ACCESS**

- A. Attach your security access policy and safeguards pursuant to ~~B&P~~ Business and Professions Code section 19604(c)(2). Policy must include the following:
  - 1. Description of the technology to ensure identity, residence, and age verification when an Account is established:
  - 2. Description of the technology to ensure confidentiality of the Means of Personal Identification:
  - 3. Methods and locations available for Account Holders to withdraw funds from their Account:
  - 4. If the Advance Deposit Wagering records will be maintained at a site other than the applicants provide the name, address, telephone and fax numbers and the hours of operation:

**7. PARI-MUTUEL**

- A. Name, address and telephone number of the pari-mutuel audit firm:

- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:
- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

**NOTICE** – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

**8. CONTRACTS AND AGREEMENTS**

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:
- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:

**NOTICE** – Pursuant to ~~B&P~~ Business and Professions Code section 19604-(c)-(1) you must contract with the bona fide labor organization that has historically represented the same or similar classifications of employees at the nearest horse racing meeting.

**9. ADVERTISING**

Name and address of the advertising agency you will use:

**NOTICE** – Pursuant to Rule 2071-(h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to ~~B&P~~ Business and Professions Code section 19604-(d)-(3) advertisements shall not be deceptive to the public.

**10. CERTIFICATION**

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

Print Name	Signature
Print Title	Date

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD (CHRB)  
**APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING**  
**CHRB-133 (New 9/04 Rev. 02/19)**

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

**NOTICE** – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

**1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)**

- A. Name, mailing address, telephone and fax numbers:
- B. Name, title, license number and racing jurisdiction where licensed for all management personnel. Identify which management staff is responsible for California operations:

**NOTICE:** Rule 1481 provides that all management personnel responsible for California operations must hold a CHRB license.

<u>Name and title</u>	<u>Racing Jurisdiction Where Licensed</u>	<u>License Number</u>	<u>Responsible for California Operations</u>
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

- C. Name, title and mailing address of the California agent for receipt of service of process:
- D. Attach the contract with the California racing association or fair and the required horsemen’s approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

**2. DATES OF OPERATION**

- A. Dates Advance Deposit Wagering will be conducted:

<b>CHRB CERTIFICATION</b>	
Application received: Reviewed:	Hearing date: Approval date:

B. Hours Advance Deposit Wagering will be conducted:

**3. BUSINESS STRUCTURE**

- A.  Corporation (complete subsection B)
- LLC (complete subsection C)
- Other (specify, and complete subsection D)

Complete the applicable subsection

**B. CORPORATION**

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Name and CHRB license number of all officers and directors. Include titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each. Include each person's CHRB license number (Notice: per Rule 1481, all persons that hold 5% or more of outstanding shares must hold a CHRB license):
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the prior two years' most recent annual financial statements for the corporation, including balance sheets and profit and loss statements, and a copy of all reports made issued during the preceding ~~42~~ 24 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
11. Applicants not previously licensed by the CHRB to conduct ADW, proceed to subsection 12. Applicants previously licensed by the CHRB to conduct ADW: Attach the prior two year's' annual profit and loss statements for the California ADW

operations only. Include the methodology used to determine the cost allocation for the profit and loss statements.

1244. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

C. LLC

1. Registered name of the LLC:
  2. State where articles of organization are filed:
  3. Registry or file number for the LLC:
  4. Names and CHRB license number of all officers and directors, Include titles, and the number of shares of the LLC held by each:
  5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each. Include each person's CHRB license number (Notice: per Rule 1481, all persons that hold 5% or more of outstanding share must hold a CHRB license.):
  6. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
  7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  8. Attach the prior two years' most recent annual financial statements for the LLC, including balance sheet and profit and loss statement, and a copy of all reports made issued during the preceding 1224 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
  9. Applicants not previously licensed by the CHRB to conduct ADW, proceed to subsection 10. Applicants previously licensed by the CHRB to conduct ADW: Attach the prior two year's' annual profit and loss statements for the California ADW operations only. Include the methodology used to determine the cost allocation for the profit and loss statements.
109. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

3. Attach the prior two year's' annual financial statements for the partnership/sole proprietor. Include balance sheets and profit and loss statements, and a copy of all reports issued during the preceding 4224 months to shareholders and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
4. Applicants not previously licensed by the CHRB to conduct ADW, proceed to subsection 5. Applicants previously licensed by the CHRB to conduct ADW: Attach the prior two year's' annual profit and loss statements for the California ADW operations only. Include the methodology used to determine the cost allocation for the profit and loss statements.
53. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
6. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

**4. ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2074.**

- A. List the procedures to establish an Account:
- B. If an application form is used to establish an Account attach a copy of the form.
- C. Name and address of the third party you will use to verify identity, residence and age verification:

**5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2073.**

- A. Submit a copy of your plan for operation.
- B. List the type of deposits you will accept:
- C. Identify any fees or transaction-related charges and the amount that will be assessed:

**6. SECURITY ACCESS**

- A. Attach your security access policy and safeguards pursuant to B&P Business and Professions Code section Section 19604-(c)=(2). Policy must include the following:
  1. Description of the technology to ensure identity, residence, and age verification when an Account is established:
  2. Description of the technology to ensure confidentiality of the Means of Personal Identification:
  3. Methods and locations available for Account Holders to withdraw funds from their Account:

- 4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:

**7. PARI-MUTUEL**

- A. Name, address and telephone number of the pari-mutuel audit firm:
- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:
- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

**NOTICE** – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

**8. CONTRACTS AND AGREEMENTS**

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:
- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:

**9. ADVERTISING**

Name and address of the advertising agency you will use:

**NOTICE** – Pursuant to Rule 2072-(h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Business and Professions Code section 19604-(D)(d)-(3) advertisements shall not be deceptive to the public.

**10. CERTIFICATION**

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Title

\_\_\_\_\_  
Date

STAFF ANALYSIS  
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENT TO CHRB RULE 1660.1, CLAIMED HORSE HEALTH RECORD, TO  
REQUIRE PERTINENT MEDICAL INFORMATION FROM A HORSE CLAIMED IN A  
CLAIMING RACE BE TRANSFERRED FROM THE HORSE'S FORMER ATTENDING  
VETERINARIAN TO THE HORSE'S NEW ATTENDING VET ON THE CLAIMED  
HORSE HEALTH RECORD (CHRB-245) FORM.

Regular Board Meeting  
November 21, 2019

ISSUE:

Upon purchase of a horse in a claiming race, the medical record of the horse is not routinely transferred to the new owner. As a result, the horse's pre-existing medical conditions and treatments are not known to the new owner. Consequently, a horse may be treated with an injection a week before it races, be claimed, and transferred to a new owner, and the new veterinarian will have no way of knowing the horse's history, leaving open the possibility of the immediate injection of the same joint. The proposed addition of CHRB Rule 1660.1, Claimed Horse Health Record, seeks to remedy this issue by requiring a health record listing pertinent medical information from a horse claimed in a claiming race to be transferred from the horse's former attending veterinarian(s) to the horse's new attending veterinarian prior to any treatments being performed.

ANALYSIS:

Claiming Races are an important part of horse racing in California. In a claiming race, all horses participating are for sale and can be "claimed" or purchased prior to the beginning of the race. Claiming races account for 60% of all races run at California racetracks and represent a common practice in which horses are bought and sold among owners. When a horse is purchased in a claiming race, the medical history of the horse is rarely provided to the new owner. The lack of relevant medical records creates a risk for the health and safety of both horse and rider. Without access to the horse's medical history veterinarians and trainers may be impaired in accurately assessing the horse's soundness.

On February 21, 2018, the Executive Director of the California Horse Racing Board brought this matter before the California Veterinary Medical Board during its regularly scheduled meeting. After discussion, the California Veterinary Medical Board approved and endorsed the CHRB's proposal of requiring the transfer of all existing veterinary medical records for a horse claimed in a claiming race to the horse's new owner. The vote by the California Veterinary Medical Board was unanimous.

Rule 1660.1 was presented to the Board during the April 19, 2018 meeting. The Board heard comments from shareholders and the matter was referred to staff for amendments.

On July 20, 2018, CHRB Rule 1660.1 was present to the Medication, Safety and Welfare Committee. At the Committee meeting, further amendments were made and CHRB Rule 1660.1 was referred to the August 23, 2018 regular Board meeting. This proposed addition of CHRB Rule 1660.1, Claimed Horse Health Record, provides an additional safeguard for horses claimed in a claiming race by ensuring the horse's significant medical treatments are transferred to the new attending veterinarian.

#### BACKGROUND:

[Business and Professions Code section 4857](#) prohibits veterinarians from releasing medical records relating to veterinarian services performed on an animal unless certain exceptions are met. One exception recognized under Business and Professions Code section 4857(a)(4) provides that the release of information is required in order to comply with "any federal, state, county or city law or regulation."

[Business and Professions Code section 19440](#) provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19562](#) states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in this State. [Business and Professions Code section 19580](#) provides that authority is vested with the Board to adopt regulations to establish policies, guidelines and penalties related to equine medication in order to preserve and enhance the integrity of horse racing in the state.

#### RECOMMENDATION:

This item is presented for Board discussion and action. One comment was received from the Stronach Group opposing the adoption of CHRB Rule 1660.1 on the basis that the rule should also require information regarding immunizations, deworming, exertional rhabdomyolysis, prior surgeries, EPM diagnosis/ treatment, a history of colic, etc., and that the omission of this information could detrimentally affect the management of a horse as it moves from one barn to another.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 7. CLAIMING RACES  
PROPOSED ADDITION OF  
RULE 1660.1 CLAIMED HORSE HEALTH RECORD

1660.1. Claimed Horse Health Record.

- (a) Upon a horse being claimed in a claiming race, the Claimed Horse Health Record form CHRB – 245 (New 08/19), which is hereby incorporated by reference, shall be provided by the Official Veterinarian, or his or her designee, to the horse’s previous CHRB-licensed attending veterinarian. The Official Veterinarian, or his or her designees, shall complete the top section of the form.
- (b) The horse’s previous CHRB – licensed attending veterinarian shall complete all required sections of the Claimed Horse Health Record form CHRB-245 (New 08/19) and submit the form electronically to the horse’s new CHRB-licensed attending veterinarian, as identified by the horse’s owner or trainer, with five days of the claim.
  - (1) All intra-articular injections given to the horse by the previous CHRB-licensed attending veterinarian within sixty (60) calendar days prior to the race in which the horse was claimed shall be reported on the Claimed Horse Health Record form CHRB-245 (New 08/19).
- (c) CHRB licensed veterinarians attending a horse for the first time after it is claimed shall review the Claimed Horse Health Record CHRB-245 (New 08/19) prior to performing any intra-articular injections, any other intra-lesional musculoskeletal corticosteroid treatments, or extracorporeal shock wave therapy to the horse.
  - (1) In the event of a medical emergency or medical necessity, corticosteroid treatment may be initiated prior to review of the Claimed Horse Health Record, after which the horse shall be placed on the Veterinarian’s List for a minimum of 14 days.
- (d) The Claimed Horse Health Record Form CHRB-245 (New 08/19) shall be confidential except as provided by any federal or state law or regulation.

NOTE: Authority cited: Sections 19440, 19562, and 19580, Business and Professions Code. Reference: Sections 19440, 19562, and 19580, Business and Professions Code.



— THE —  
**STRONACH**  
GROUP

October 11, 2019

California Horse Racing Board  
Attn: John McDonough, Chief Counsel  
1010 Hurley Way  
Suite 300  
Sacramento, CA 95825

To whom it may concern:

The Stronach Group and the Los Angeles Turf Club submit this letter in opposition to proposed Rule 1660.1 – Claimed Horse Health Record. While we wholeheartedly agree that a horse health record should follow all horses throughout their racing career and into retirement, the proposed rule fails to capture all the relevant information.

Rule 1660.1 was originally introduced to the Medication Committee in August 2018. At that time, the proposed rule not only required disclosure of information regarding intra-articular injections, shockwave therapy, and previous placement on the Official Veterinarian's List but also information regarding:

- Immunizations;
- Deworming;
- Whether the horse has a history of tying up (exertional rhabdomyolysis);
- Previous surgeries;
- EPM diagnosis/treatment;
- History of colic;
- Administration of bisphosphonates; and
- Other pertinent medical history that may affect the care of the horse.

The omission of this information could detrimentally affect the management of a horse as it moves from one barn to another. For example, researchers have shown that too-frequent vaccination for Equine Herpes Virus can be detrimental to horse health if exposed to certain strains of the virus. Also, horses with a history of tying up are often treated differently coming up to and after a race.

Transferring this information from one trainer/owner to the next trainer/owner combination is important to protect the health and welfare of horses in California. The Stronach Group and the

Los Angeles Turf Club urge the CHRB to consider the expansion of this rule to encompass all pertinent information regarding the health history of our horses.

Thank you in advance for your consideration.

Sincerely,

A handwritten signature in black ink, appearing to read "Dionne Benson". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Dr. Dionne Benson  
Chief Veterinary Officer

# CLAIMED HORSE HEALTH RECORD

## Required

Horse Name: \_\_\_\_\_ Previous CHRB Trainer: \_\_\_\_\_

Claiming Race Date: \_\_\_\_\_ Track: \_\_\_\_\_ Vet's List History: Yes No

Joint Therapy (previous 60 days): Yes No Vet's List as Unsound or Bled in last 12 months: Yes No

<u>Date</u>	<u>Location</u>	<u>Structure/Joint</u>	<u>Medication (Combos)</u>	<u>Medication (Single)</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

## Optional:

Immunizations (use date to confirm)

Influenza/EHV \_\_\_\_\_ TT \_\_\_\_\_ WNV \_\_\_\_\_

De-worming: Yes No Date: \_\_\_\_\_

Tie Up History: Yes No Medication: \_\_\_\_\_

Surgery History: Yes No Details: \_\_\_\_\_

EPM History: Yes No Treatment: \_\_\_\_\_

EIPH: Yes No Furosemide dose: \_\_\_\_\_

Colic History: Yes No Details: \_\_\_\_\_

Bisphosphonates Yes No Date: \_\_\_\_\_

Other Pertinent Medical History: \_\_\_\_\_

Veterinarian: \_\_\_\_\_

Patient History Dates from: \_\_\_\_\_

By: \_\_\_\_\_

(Veterinarian Signature)

Date: \_\_\_\_\_

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PERMANENT  
ADOPTION OF THE EXISTING EMERGENCY REGULATION, CHRB RULE 1435,  
SUSPENSION OF LICENSE TO CONDUCT A RACE MEETING, WHICH ALLOWS  
THE BOARD TO SUSPEND A RACE MEETING LICENSE WHEN NECESSARY TO  
PROTECT THE HEALTH AND WELFARE OF THE HORSE OR RIDER

Regular Board Meeting  
November 21, 2019

ISSUE

In response to the recent equine fatalities at Santa Anita Park, the California Legislature passed [Senate Bill \(SB\) 469](#) which expands the Board's ability suspend a license to conduct a horse race meeting when necessary to protect the health and safety of either the horse or rider. SB 469 was signed into law by California Governor Gavin Newsom on June 24, 2019. SB 469 required the Board to adopt emergency regulations to implement the authority provided by the new law. At the Board's regularly scheduled meeting in August, the Board directed staff to file the proposed emergency regulation with the Office of Administrative Law (OAL). The emergency regulation was approved and filed with the Secretary of State on August 29, 2019. The Board now has 180 days to codify the emergency regulation as a permanent regulation.

ANALYSIS

The proposed addition of [CHRB Rule 1435](#) will establish that the Board may, upon petition, issue an order suspending a license to conduct a race meeting or imposing restrictions. The petition shall include documents in support of the petition. Prior to the hearing on the petition, the Board shall notify the licensee in writing at least 24 hours before the hearing. At the hearing, the licensee will have the opportunity to be represented by counsel, present written evidence and make oral argument. A recording of the proceedings will be made. The Board will have five days following the hearing to issue a decision. Any decision to suspend a license or impose restrictions shall be reviewed by the Board within 10 calendar days. During the review, the licensee will be afforded the same rights as the initial hearing. Finally, any suspension issued by the Board shall remain in effect until the Board determines that the matters jeopardizing the health and safety of the horse or rider at the race meeting have been adequately addressed.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 2. RACING ASSOCIATION  
PROPOSED ADDITION OF  
RULE 1435 SUSPENSION OF LICENSE TO CONDUCT A RACE MEETING

1435. Suspension of License to Conduct a Race Meeting.

(a) When taking any action against a licensed racing association to suspend racing or impose conditions pursuant to Business and Professions Code section 19481.7, the following procedures shall be followed:

(b)(1) The Board may, upon the filing of a written petition, issue an order suspending a license to conduct a racing meeting or imposing license restrictions. The petition shall include a declaration or declarations that demonstrate, to the satisfaction of the Board, that permitting the licensee to continue to engage in the licensed activity, or permitting the licensee to continue in the licensed activity without restrictions, would endanger the health and safety of the horses or riders that are present at the racing meeting.

(2) For purposes of this section, the health and safety of horses or riders may be endangered when:

- (A) An above-average number of injuries to horses and/or riders participating in the race meeting have occurred. The Board shall have discretion to determine the period of time used to measure whether an above-average number of injuries have occurred when compared with historic data.
- (B) A condition exists within the inclosure that is likely to endanger the health and safety of the horses or riders that are present at the racing meeting.
- (C) For any other reason the Board finds the health and safety of horses or riders is endangered.

(c)(1) The licensed racing association shall be given at least 24 hours' notice of the hearing on the petition for a suspension or license restriction order. The notice shall include the petition and declarations submitted to the board in support of the petition.

(2) Notice shall be given in writing, and may be provided by personal service, mail, facsimile transmission or electronic mail.

(3) Notice shall be given to either the licensee, its designee, or any officer or director associated with the licensee.

(d)(1) At the hearing on the petition for a suspension order or license restriction order, the licensee may:

(A) Be represented by counsel.

(B) Have a record made of the proceedings, copies of which may be obtained by the licensee upon payment of any reasonable charges associated with the record.

(C) Present written evidence in the form of relevant declarations, affidavits, and documents.

(D) Present oral argument.

(e) The Board shall issue a decision on the petition for suspension order or license restriction order within five business days following submission of the matter.

(f) The Board shall review any decision to suspend a racing license or impose license restrictions within 10 calendar days of that decision.

(g)(1) At the hearing to review a decision by the Board under this section, the licensee may:

(A) Be represented by counsel.

(B) Have a record made of the proceedings, copies of which may be obtained by the licensee upon payment of any reasonable charges associated with the record.

(C) Present written evidence in the form of relevant declarations, affidavits, and documents.

(D) Present oral argument.

(h) Any suspension order or license restriction order shall remain in effect until the Board, at a meeting, determines that the matters jeopardizing the health and safety of the horses or riders that are present at the racing meeting have been adequately addressed.

(i) As a condition of lifting a suspension order or license restriction order, the Board may require a licensee to comply with additional safety standards or other requirements as it deems necessary or desirable for the best interests of horse racing and the purposes of this division.

(j) Failure to comply with a suspension order or license restriction order issued pursuant to subdivision (b)(1) shall constitute a separate cause for disciplinary action against any licensee.

(k) The orders provided for by this section shall be in addition to, and not a limitation on, the authority to seek injunctive or other relief provided in any other provision of law.

(l) A petition for an order suspending a license to conduct a racing meeting or imposing license restrictions may be filed by the Executive Director or their designee, or by the Equine Medical Director of the Board.

Authority Cited: Sections 19481.7, 19440, and 19460, Business and Professions Code.  
Reference: Sections 19481.7, 19440, and 19460, Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING THE PROPOSED ADDITION OF  
CHRB RULE 1503.5, CONTINUING EDUCATION FOR  
TRAINERS AND ASSISTANT TRAINERS,  
TO REQUIRE CONTINUING EDUCATION AS A CONDITION OF  
RENEWAL OF LICENSE FOR TRAINER AND ASSISTANT TRAINER

Regular Board Meeting  
November 21, 2019

ISSUE:

The Board requires first time applicants for license as trainer or assistant trainer to pass written, oral and practical examinations prior to issuance of license. Upon renewal of license, however, the applicant is only required to complete an application and pay a fee. The Board currently does not require trainers or assistant trainers to demonstrate that they have taken any actions to improve their level of horsemanship, or to keep abreast of equine medication, or health and safety issues. The proposed addition of CHRB Rule 1503.5, Continuing Education for Trainers and Assistant Trainers, will address the issue by requiring that applicants for renewal of a CHRB license as trainer or assistant trainer certify that they have completed a total of 12 hours of approved continuing education (ACE) coursework over the previous 36 month period.

ANALYSIS:

Trainers' and assistant trainers' duties involve considerable responsibility, complexity and variety. They decide the day-to-day preparations needed to train a horse to run in a race. They manage the care, feeding and grooming regimen of the horse, as well as the horse's exercise and rest. In addition, trainers in large part make decisions regarding the medications and physical therapy treatments their horses receive. Ideally, trainers and assistant trainers work to run stables that are efficient, cost effective and successful, and they hire and train employees in the best practices. All of this requires that trainers and assistant trainers must be informed about changing theories in training methods, or discoveries regarding the physiological effects of exercise, or research regarding medications and its effects on the horses in their care. They must also be aware of issues that involve stable management and their employees. To ensure California's trainers and assistant trainers are keeping abreast of changes in their profession, the proposed addition of CHRB Rule 1503.5 requires that applicants for renewal of a CHRB license as trainer or assistant trainer certify that they have completed a total of 12 hours of ACE coursework over the previous 36-month period. The rule defines such coursework as instruction intended to foster competence in horsemanship, and which specifically promotes compliance with horse racing law and regulations, equine health, safety and welfare in racing, or promotes human safety and welfare. Under Rule 1503.5, the Board is required to keep a current posting of approved ACE courses on its website and at all

CHRB offices. Proposed ACE curriculum shall be presented to the Board for approval no later than November 1 of the year prior to it being added to the list of Board approved ACE courses. If a trainer or assistant trainer fails to keep adequate ACE coursework records, he or she may be ineligible to renew a license and must complete the required education prior to renewal. If, however, the trainer or assistant trainer can demonstrate good cause for not having met the ACE requirements, the stewards may waive the requirements and authorize the renewal of license. The trainer or assistant trainer must then complete the ACE requirements within 12 months of renewal of license. Falsification of ACE coursework records will result in the issuance of fines and suspension or revocation of license. Applicants for renewal of a trainer or assistant trainer license who are not domiciled in California and who have had 12 or fewer starts in races other than stakes races in California may obtain an exemption from the ACE coursework requirements.

#### BACKGROUND:

[Business and Professions Code section 19420](#) provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the CHRB. [Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19460](#) provides that all licenses granted under this chapter are subject to all rules, regulations, and conditions prescribed by the Board. [Business and Professions Code section 19520](#) provides that every person who participates in, or has anything to do with, the racing of horses, shall be licensed by the Board pursuant to rules and regulations that the Board may adopt.

#### RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 4. OCCUPATIONAL LICENSES  
PROPOSED ADDITION OF  
1503.5. CONTINUING EDUCATION FOR TRAINERS AND ASSISTANT TRAINERS

Regular Board Meeting  
November 21, 2019

1503.5. Continuing Education for Trainers and Assistant Trainers

(a) Commencing January 1, 2021, an applicant for renewal of license as trainer or assistant trainer shall certify that during the preceding 36-month period, he or she has completed a total of 12 hours of approved continuing education (ACE) coursework.

(1) Certification of completion of ACE coursework shall be provided on the form CHRB-59a Certification of Approved Continuing Education (ACE) Coursework (New 09/19), which is hereby incorporated by reference. The CHRB-59a shall be submitted with the application for renewal of license.

(b) Licensees shall maintain records of completed ACE coursework for a period of four years from the date the course was completed and shall provide such records to the Board upon request. Records of ACE coursework may be in a form provided by an ACE course, meeting or conference, or shall be recorded on the form CHRB-242 Continuing Education Certificate of Attendance (New 9/19), which is hereby incorporated by reference. The CHRB-242 shall be available at all CHRB offices and on the Board's website. Any record of ACE coursework shall state at least:

(1) The title of the ACE course, meeting or conference;

(2) The date, location and provider of the ACE course, meeting or conference;

(3) The number of continuing education hours earned.

(4) Failure to maintain or produce records of completed ACE coursework may, upon a determination by the stewards, result in the applicant's inability to renew a license as trainer or assistant trainer.

(c) The stewards shall fine and/or suspend or revoke the license of any applicant for renewal of license as trainer or assistant trainer who is found to have provided false certification of completed ACE coursework.

(d) The stewards may waive the ACE requirements for applicants for renewal of license as trainer or assistant trainer if:

(1) the trainer or assistant trainer is not domiciled in California, and has had twelve or fewer starts in races other than stakes races in California during the previous 36-month period, or

(2) for good cause conditioned upon the completion of the ACE requirements within a timeframe not to exceed 12 months from the date of renewal of license.

(3) Requests for ACE waivers shall be submitted to the Board of Stewards at the time of application for renewal of license on the form CHRB-59b Request for Waiver of Approved Continuing Education (ACE) Requirements (New 09/19), which is hereby incorporated by reference.

(4) Upon the stewards' verification of the CHRB Form 59b, waiver may be granted.

(5) Denial of a waiver will result in the applicant being ineligible for renewal of license until he or she has completed the requirements of subsection (a) of this regulation.

(e) Proposed ACE curriculum shall be presented to the Board for approval by the Equine Medical Director, in consultation with the California Thoroughbred Trainers. The presentation for approval shall occur no later than November 1 of the year prior to the

ACE curriculum being added to the list of Board approved ACE courses.

(1) A current listing of Board approved ACE courses shall be available at all CHRB offices and on the Board's website.

(2) If a licensee has completed ACE coursework, and the course is subsequently removed from the listing of Board approved ACE courses, the ACE course completed by the licensee will satisfy the requirements under subsection (a) of this regulation.

(f) For the purposes of this regulation, ACE coursework means instruction intended to foster competence and knowledge in horsemanship, and which specifically promotes: compliance with California's horse racing law and regulations; equine health, safety, and welfare in racing; or ethics, human resource training, and the promotion of human safety and welfare.

Authority: Sections 19420, 19440, 19460 and 19520, Business and Professions Code.

Reference: Sections 19420 and 19440, Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENT OF CHRB RULE 1688, USE OF THE RIDING CROP.

Regular Board Meeting  
November 21, 2019

ISSUE

The use of the riding crop has been a part of horse racing since its inception. However, in today's culture, the use of the crop is perceived as cruel to some members of the public. At the March 2019 meeting of the Board, the Board voted to send out for public comment a proposed amendment to the current regulation to further restrict the use of the riding crop in training and competition. During the regulatory process, it was determined that the proposed language, calling for the Jockey's purse to be disqualified, was not sufficiently clear to pass Office of Administrative Law scrutiny. In response to this fatal legal flaw in the proposed language, staff is offering the Board two proposed amendments to address the use of the riding crop in training and competition.

ANALYSIS

The first proposed amendment to [CHRB Rule 1688](#) will eliminate subsections 1688(a) through (d)(3) of the current regulation. In its place, the new proposed subsection (a) will only allow a jockey or exercise rider to use the crop when necessary to control the horse for the safety of the horse or rider. Subsection (b)(1) provides that the jockey may be suspended or fined by the stewards. This penalty will be applied to jockeys who strike the horse with the crop when such action is not necessary to control the horse for the safety of the horse or rider. Jockeys or exercise riders who use the crop only when necessary to control the horse will not be suspended or fined. Subsection (b)(2) provides that the jockey's mount shall be disqualified. This penalty will be imposed regardless of whether the jockey or exercise rider uses the crop for safety. This penalty is necessary to ensure an unfair advantage in a race is not gained by a jockey who uses the crop for safety.

The second proposed amendment to CHRB Rule 1688 will similarly restrict the use of the riding crop. The proposed amendment will similarly eliminate subsections 1688(b)(1) through (d)(3) of the current regulation. In its place, the new proposed subsection (b) will prohibit the use of the riding crop except when showing or waving the crop without touching the horse or when tapping the horse on the shoulder with the crop in the down position while having both hands physically on the reins and neck of the horse. A new subsection 1688(c) provides that if a jockey rides contrary to the rule, the mount may be disqualified, and the jockey may be suspended or fined by the stewards.

## BACKGROUND

[Business and Professions Code section 19420](#) provides that the Board shall have jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. [Business and Professions Code section 19440](#) states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4, Business and Professions Code. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19481](#) provides that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider.

## RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF  
RULE 1688 USE OF RIDING CROP

Regular Board Meeting  
November 21, 2019

1688. Use of Riding Crop

- ~~(a) In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact.~~
- ~~(ba) Although the use of a riding crop is not required, any A jockey or exercise rider may who uses a riding crop during a race or training is prohibited from using a riding crop when necessary to control the horse for the safety of the horse or rider on a horse:~~
- ~~(1) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters;~~
- ~~(2) during the post parade except when necessary to control the horse;~~
- ~~(3) excessively or brutally causing welts or breaks in the skin;~~
- ~~(4) when the horse is clearly out of the race or has obtained its maximum placing;~~
- ~~(5) persistently even though the horse is showing no response under the riding crop; or~~
- ~~(6) more than three times in succession without giving the horse a chance to respond before using the riding crop again.~~
- ~~(c) Subsections (b)(2) and (4) shall not apply to jockeys and exercise riders during training.~~
- ~~(d) Correct uses of the riding crop are:~~
- ~~(1) showing horses the riding crop before hitting them;~~
- ~~(2) using the riding crop in rhythm with the horse's stride; and~~
- ~~(3) using the riding crop as an aid to maintain a horse running straight.~~
- (b) If a jockey or exercise rider uses a riding crop on a horse during a race or training:
- (1) The jockey or exercise rider may be suspended or fined by the Stewards.
- (2) The jockey's mount shall be disqualified.

NOTE: Authority cited: Sections 19420, 19440 and 19562, Business and Professions Code. Reference: Sections 19440, 19481 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF  
RULE 1688 USE OF RIDING CROP

Regular Board Meeting  
November 21, 2019

1688. Use of Riding Crop

(a) In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact.

(b) Although the use of a riding crop is not required, any jockey or exercise rider who uses a riding crop during a race or training is prohibited from using a riding crop on a horse except:

~~(1) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters~~ Showing or waving the crop without touching the horse, or;

~~(2) during the post parade except when necessary to control the horse;~~ Tapping the horse on the shoulder with the crop in the down position while having both hands:

(A) holding onto the reins and,

(B) touching the neck of the horse.

~~(3) excessively or brutally causing welts or breaks in the skin;~~

~~(4) when the horse is clearly out of the race or has obtained its maximum placing;~~

~~(5) persistently even though the horse is showing no response under the riding crop; or~~

~~(6) more than three times in succession without giving the horse a chance to respond before using the riding crop again.~~

~~(c) Subsections (b)(2) and (4) shall not apply to jockeys and exercise riders during training.~~

~~(d) Correct uses of the riding crop are:~~

~~(1) showing horses the riding crop before hitting them;~~

~~(2) using the riding crop in rhythm with the horse's stride; and~~

~~(3) using the riding crop as an aid to maintain a horse running straight.~~

(c) If a jockey or exercise rider rides in a manner contrary to this rule:

(1) The jockey or exercise rider may be suspended or fined by the Stewards.

(2) The jockey's mount may be disqualified

NOTE: Authority cited: Sections 19420, 19440 and 19562, Business and Professions Code. Reference: Sections 19440, 19481 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

NOVEMBER 21, 2019  
REGULAR BOARD MEETING

**There is no board package material for Item 20**

## STAFF ANALYSIS

### DISCUSSION AND ACTION BY THE BOARD REGARDING UNALLOCATED 2020 SOUTHERN CALIFORNIA QUARTER HORSE RACE DATES

Regular Board Meeting  
November 21, 2019

#### ISSUE:

At the September 19, 2019 Regular Board Meeting, the Board approved the following calendar for daytime racing in southern California:

Santa Anita – December 25, 2019 through June 23, 2020  
Los Angeles County Fair – June 24, 2020 through July 7, 2020  
Los Alamitos – July 1, 2020 through July 7, 2020  
Del Mar – July 8, 2020 through September 8, 2020  
Santa Anita – September 9, 2020 through October 27, 2020  
Del Mar – October 28, 2020 through December 1, 2020  
Los Angeles County Fair – December 2, 2020 through December 22, 2020

The Board has not yet allocated any dates for nighttime quarter horse racing in the South.

At the October 16, 2019 Race Dates Committee Meeting, LARA requested the following traditional Quarter Horse Race dates:

December 27, 2019 through December 20, 2020, racing three nights a week.

The Race Dates Committee recommended approval of these dates.

#### RECOMMENDATION:

This item is presented for discussion and action.  
Staff recommends the Board hear from the Race Dates Committee.

STAFF ANALYSIS  
November 21, 2019

Issue: DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION (QH) AT LOS ALAMITOS RACE COURSE, COMMENCING (DATES TO BE DETERMINED).

Los Alamitos Quarter Horse Racing Association (LAQHRA) filed its application to conduct a quarter horse racing meeting at Los Alamitos December 27, 2019 through December 20, 2020, or 151 days; the same as proposed for 2019. LAQHRA proposes to race a total of 1,300 races. The (estimated) average daily purse for the 2019 meet is \$102,213. The (estimated) average daily purse for this meet is \$100,215. The 2019 meet has not been completed.

- The Board has not allocated the 2020 Quarter Horse race dates, consequently the race dates listed are proposed dates.

December 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

March 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing 3 nights per week, Friday through Sunday, except January 31, 2020, February 1-2, 2020, April 12, 2020, and July 4, 2020. Seven to 15 live races per night and 10 to 20 simulcast races.
- First live post 7:00 p.m. Friday, 6:00 p.m. Saturday, 5:00 p.m. Sunday.
  - First live post 6:30 p.m. Sunday when racing concurrently with Del Mar or Santa Anita.

- Wagering program will use CHRB rules.
  - Early wagering will start at 9:30 a.m. all live race days.
  - Late Daily Double will occur in last two races of race card.
  - If not enough horses carded in a race to offer Trifecta, will offer \$1 Quinella.
  - Late Pick 4 offered on last four races.
  - Rolling Pick 3 begins in second race of each card and continues through third to last race of card.
  - If field should only have five betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.
  - If running 12 or more races, LAQHRA requests administrative authority to add an additional Pick 4.
  - Pick 4 - 100% major pool, daily payout.
  - Pick 6 - 70% major pool, mandatory payout closing day.
- Advance Deposit Wagering (ADW) providers are TVG, Game Play Network, Lien Games, NYRA Bets, Twinspires, Watch and Wager, and Xpressbet.
- [Pursuant to Business and Professions Code Section 19604](#), specific provisions must be met before an ADW provider can accept wagers.

#### Summary of Business and Professions Code Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code 19604:

- Agreement between ODS Technologies, L.P. dba TVG Network and LAQHRA has been provided to CHRB.

- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to [Business and Professions Code Section 19602](#), and with authorized locations throughout California.
- Requests Jason Hart be appointed horse identifier pursuant to [CHRB Rule 1525](#), Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing was completed September 2019.

Specific information **still needed** to complete this application includes:

1. Pertinent 2019 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows LAQHRA designated ADW providers [Game Play and NYRA Bets] to accept wagers on races conducted in and outside of California for the applied license term.
2. Horsemen approval of ADW [Game Play, Twinpires, NYRA Bets, Watch and Wager, Xpressbet, and Lien Games].

Specific information that will need **updating** before during the proposed race dates:

1. Watch and Wager ADW agreement [expires 12/31/19].
2. Xpressbet ADW agreement [expires 1/1/20].
3. Lien Games ADW agreement [expires 12/31/19].

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
**APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING**  
CHRB-17 (Rev 1/16)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Horsemen's Quarter Horse Racing Association dba Los Alamitos Quarter Horse Racing Association  
4961 Katella Ave.  
Los Alamitos, CA 90720      714-820-2800 main      714-820-2820 fax  
cmonji@losalamitos.com

B. Breed of horse:      TB      QH-X      H

C. Racetrack name: Los Alamitos Race Course

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. On File

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes-X      No

If no, attach a surety bond in the amount of one hundred thousand dollars.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**notice to applicant:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

<b>CHRB CERTIFICATION</b>	
<b>Application received:</b> 09/26/19	<b>Hearing date:</b> 11/21/19
<b>Deposit received:</b> <i>On file</i>	<b>Approved date:</b>
<b>Reviewed:</b> <i>NLG</i>	<b>License number:</b>

## 2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting:  
December 27, 2019-December 20, 2020
- B. Actual dates racing will be held:
- |                                    |  |
|------------------------------------|--|
| December 27-29                     |  |
| January 3-5, 10-12, 17-19, 24-26   | July 3,5, 10-12, 17-19, 24-26, 31      |
| February 7-9, 14-16, 21-23, 28-29  | August 1-2, 7-9, 14-16, 21-23, 28-30   |
| March 1, 6-8, 13-15, 20-22,27-29   | September 4-6, 11-13, 18-20, 25-27     |
| April 3-5, 10-11, 17-19, 24-26     | October 2-4, 9-11, 16-18, 23-25, 30-31 |
| May 1-3, 8-10, 15-17, 22-24, 29-31 | November 1, 6-8, 13-15, 20-22, 27-29   |
| June 5-7, 12-14, 19-21, 26-28      | December 4-6, 11-13, 18-20             |
- C. Total number of days or nights of racing: 151
- D. Days or nights of the week races will be held:
- | Wed - Sun                    | Tues - Sat                        | Other (specify)X            |
|------------------------------|-----------------------------------|-----------------------------|
| Friday through Sunday except | Super Bowl Weekend                | 01/31/20, 2/1/20, 02/02/20, |
| Easter Sunday                | 04/12/20 and July 4 <sup>th</sup> | of 2020.                    |
- E. Number of days or nights of racing per week: 3 nights

## 3. RACING PROGRAM

- A. Total number of races: 1300 live, 1800 simulcast = 3,100
- B. Number of races for each day or night: 7-15 live, 10-20 simulcast
- C. Total number of stakes races: 70
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. 2020 Stakes schedule attached.
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.  
2018 & 2019 Stakes schedules attached.
  2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Minor date changes are due to calendar variations.

**LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION  
2020 STAKES SCHEDULE**

To Be Run	EVENT	Purse & Distance
SATURDAY DECEMBER 28, 2019	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 5, 2020	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 12, 2020	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 17, 2020	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 8, 2020	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 18, 2020	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 9, 2020	(6) BRAD MCKINZIE WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 19, 2020	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 15, 2020	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 350 YARDS
SUNDAY FEBRUARY 16, 2020	(8) LOS ALAMITOS MAIDEN STAKES THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 26, 2020	\$125,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 22, 2020	(9) MOONIST HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SATURDAY MARCH 28, 2020	(10) LOS ALAMITOS OAKS FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 7, 2020	\$400,000 Projected \$125,000 Added 400 YARDS
SUNDAY MARCH 29, 2020	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 8, 2020	\$200,000 \$35,000 Added 400 YARDS
SUNDAY APRIL 5, 2020	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS

<b>To Be Run</b>		<b>EVENT</b>	<b>Purse &amp; Distance</b>
SATURDAY APRIL 18, 2020	(13)	WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 2, 2020	(14)	KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 350 YARDS
SATURDAY MAY 9, 2020	(15)	LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$30,000 350 YARDS
SUNDAY MAY 10, 2020	(16)	MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 350 YARDS
SATURDAY MAY 16, 2020	(17)	DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 17, 2020	(18)	ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 3, 2020	\$300,000 Projected 300 YARDS
SUNDAY MAY 24, 2020	(19)	ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRAILS TO BE RUN, FRIDAY MAY 8, 2020	\$30,000 Estimated \$10,000 Added +\$15,000 B of A 400 YARDS
SATURDAY JUNE 20, 2020	(20)	IVAN ASHMENT HANDICAP FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 400 YARDS
SUNDAY JUNE 21, 2020	(21)	ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, JUNE 7, 2020	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 21, 2020	(22)	ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 28, 2020	(23)	VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
FRIDAY JULY 3, 2020	(24)	INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 5, 2020	(25)	VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JUNE 14, 2020	\$150,000 Projected \$100,000 Added 400 YARDS

<b>To Be Run</b>		<b>EVENT</b>	<b>Purse &amp; Distance</b>
SATURDAY JULY 25, 2020	(26)	GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN, SATURDAY, JULY 11, 2020	\$400,000 Projected \$100,000 Added 350 YARDS
SATURDAY JULY 25, 2020	(27)	GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN, SUNDAY, JULY 12, 2020	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 25, 2020	(28)	SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 25, 2020	(29)	CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 25, 2020	(30)	CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2020	(31)	CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2020	(32)	CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2020	(33)	JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 25, 2020	(34)	CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 25, 2020	(35)	\$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS

<b>To Be Run</b>		<b>EVENT</b>	<b>Purse &amp; Distance</b>
SATURDAY JULY 25, 2020	(36)	<b>\$10,000 SOPHOMORE CLAIMING STAKES</b> THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 25, 2020	(37)	<b>\$5,000 SENIOR CLAIMING STAKES</b> THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 25, 2020	(38)	<b>\$10,000 SENIOR CLAIMING STAKES</b> THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 25, 2020	(39)	<b>CALIFORNIA BREEDERS SPRINT STAKES</b> THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2020	(40)	<b>SIGN OF LANTY HANDICAP</b> THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SATURDAY AUGUST 8, 2020	(41)	<b>BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE</b> THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SATURDAY, JULY 18, 2020	\$40,000 Estimated \$15,000 Added + \$20,000 B of A Racing Challenge 440 YARDS
SUNDAY AUGUST 9, 2020	(42)	<b>ARC CALIFORNIA REGIONAL DISTAFF CHALLENGE</b> FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JULY 26, 2020	\$30,000 Estimated \$7,500 Added + \$7,500 B of A Racing Challenge 400 YARDS
SATURDAY AUGUST 15, 2020	(43)	<b>JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE</b> TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 1, 2020	\$50,000 Estimated \$10,000 Added + \$15,000 B of A Racing Challenge 350 YARDS
SUNDAY AUGUST 16, 2020	(44)	<b>GOLDEN STATE DERBY</b> THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 2, 2020	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 23, 2020	(45)	<b>PAT HYLAND MEMORIAL HANDICAP</b> TWO YEAR OLDS	\$25,000 350 YARDS

<b>To Be Run</b>		<b>EVENT</b>	<b>Purse &amp; Distance</b>
FRIDAY AUGUST 28, 2020	(46)	TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY AUGUST 29, 2020	(47)	SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY AUGUST 30, 2020	(48)	GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SATURDAY SEPTEMBER 5, 2019		THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SUNDAY SEPTEMBER 6, 2020		HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 20, 2020	(49)	MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
FRIDAY OCTOBER 2, 2020	(50)	PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 12, 2020	\$175,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 2, 2020	(51)	BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SATURDAY OCTOBER 3, 2020	(52)	PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, SEPTEMBER 13, 2020	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 10, 2020	(53)	AUTUMN HANDICAP TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY OCTOBER 11, 2020	(54)	ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 24, 2020		JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 25, 2020	(55)	LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 4, 2020	\$125,000 Projected \$20,000 Added 350 YARDS

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 1, 2020	(56) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 18, 2020	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 1, 2020	(57) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 7, 2020	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 8, 2020	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 17, 2020	\$900,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 14, 2020	(60) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 15, 2020	(61) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 21, 2020	(62) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 400 YARDS
SUNDAY DECEMBER 6, 2020	(63) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
FRIDAY DECEMBER 11, 2020	(64) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
SATURDAY DECEMBER 12, 2020	(65) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, NOVEMBER 15, 2020	\$600,000 440 YARDS
SUNDAY DECEMBER 13, 2020	(66) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, NOVEMBER 22, 2020	\$2,000,000 Projected \$400,000 Added 400 YARDS
SUNDAY DECEMBER 13, 2020	(67) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
FRIDAY DECEMBER 18, 2020	(68) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS

To Be Run		EVENT	Purse & Distance
SATURDAY DECEMBER 19, 2020	(69)	FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 20, 2020	(70)	SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, NOVEMBER 29, 2020	\$200,000 Projected \$35,000 Added 400 YARDS

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
FRIDAY DECEMBER 29, 2017	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 7, 2018	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 14, 2018	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 19, 2018	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 10, 2018	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 20, 2018	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 11, 2018	(6) LOS ALAMITOS WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 21, 2018	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 17, 2018	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 18, 2018	(8) LOS ALAMITOS MAIDEN STAKES\$ THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 28, 2018	120,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 25, 2018	(9) MOONIST HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
FRIDAY MARCH 30, 2018	(10) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 10, 2018	\$225,000 Projected \$35,000 Added 400 YARDS
SATURDAY MARCH 31, 2018	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 11, 2018	\$200,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 8, 2018	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SATURDAY APRIL 14, 2018	(13) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 5, 2018	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 12, 2018	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY MAY 13, 2018	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$35,000 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY DECEMBER 29, 2018	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 6, 2019	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 13, 2019	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 18, 2019	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 9, 2019	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 19, 2019	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 10, 2019	(6) BRAD MCKINZIE WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 20, 2019	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 16, 2019	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 17, 2019	(8) LOS ALAMITOS MAIDEN STAKES\$ THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 27, 2019	120,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 24, 2019	(9) MOONIST HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SATURDAY MARCH 30, 2019	(10) LA PRIMERA DEL ANO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 9, 2019	\$225,000 Projected \$35,000 Added 400 YARDS
SUNDAY MARCH 31, 2019	(11) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 10, 2019	\$200,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 7, 2019	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SATURDAY APRIL 13, 2019	(13) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 4, 2019	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 11, 2019	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$30,000 350 YARDS
SUNDAY MAY 12, 2019	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 350 YARDS

## 2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 19, 2018	(17) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 20, 2018	(18) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 6, 2018	\$475,000 - \$500,000 Projected 300 YARDS
SUNDAY MAY 27, 2018	(19) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, FRIDAY, MAY 11, 2018	\$30,000 Added \$7,500 Added+\$22,500 BofA Racing Challenge 400 YARDS
SATURDAY JUNE 23, 2018	(20) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$30,000 400 YARDS
SUNDAY JUNE 24, 2018	(21) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 10, 2018	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 24, 2018	(22) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JULY 1, 2018	(23) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 7, 2018	(24) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 8, 2018	(25) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 17, 2018	\$150,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 28, 2018	(26) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 14, 2018	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 28, 2018	(27) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 15, 2018	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 28, 2018	(28) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 28, 2018	(29) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 28, 2018	(30) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 28, 2018	(31) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 28, 2018	(32) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

## 2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 18, 2019	(17) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 19, 2019	(18) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 5, 2019	\$300,000 Projected 300 YARDS
SUNDAY MAY 26, 2019	(19) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, FRIDAY, MAY 10, 2019	\$30,000 Estimated \$10000 Added+\$15,500 B of A 400 YARDS
SATURDAY JUNE 22, 2019	(20) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 400 YARDS
SUNDAY JUNE 23, 2019	(21) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 9, 2019	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 23, 2019	(22) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 30, 2019	(23) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 6, 2019	(24) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 7, 2019	(25) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 16, 2019	\$150,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 27, 2019	(26) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 13, 2019	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 27, 2019	(27) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 14, 2019	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 27, 2019	(28) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 27, 2019	(29) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 27, 2019	(30) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(31) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(32) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

## 2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 28, 2018	(33) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 28, 2018	(34) CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 28, 2018	(35) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 28, 2018	(36) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 28, 2018	(37) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 28, 2018	(38) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 28, 2018	(39) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 28, 2018	(40) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SUNDAY AUGUST 12, 2018	(41) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE  FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JULY 29, 2018	\$20,000 Added \$7,500 Added+\$12,500 BoFA Racing Challenge 400 YARDS
SATURDAY AUGUST 11, 2018	(42) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE  THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 21, 2018	\$70,000 Added \$15,000 added+\$55,000 BoFA Racing Challenge 440 YARDS
SATURDAY AUGUST 18, 2018	(43) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE  TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, JULY 21, 2018	\$25,000 Added \$7,500 Added+\$17,500 BoFA Racing Challenge 350 YARDS

## 2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 27, 2019	(33) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 27, 2019	(34) CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 27, 2019	(35) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 27, 2019	(36) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 29, 2019	(37) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 27, 2019	(38) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 27, 2019	(39) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(40) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SUNDAY AUGUST 11, 2019	(42) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE  FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JULY 28, 2019	\$30,000 Estimated \$7,500 Added+\$7,500 BoFA Racing Challenge 400 YARDS
SATURDAY AUGUST 10, 2019	(41) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE  THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 20, 2019	\$40,000 Estimated \$15,000 added+\$20,000 BoFA Racing Challenge 440 YARDS
SATURDAY AUGUST 17, 2019	(43) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE  TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 3, 2019	\$50,000 Estimated \$10,000 Added+\$15,000 BoFA Racing Challenge 350 YARDS

## 2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 19, 2018	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 5, 2018	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 26, 2018	(45) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY AUGUST 31, 2018	(46) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SUNDAY SEPTEMBER 2, 2018	(47) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 2, 2018	(48) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
FRIDAY SEPTEMBER 7, 2018	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
FRIDAY SEPTEMBER 7, 2018	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 23, 2018	(49) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
FRIDAY OCTOBER 5, 2018	(50) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 15, 2018	\$200,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 5, 2018	(51) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 400 YARDS
FRIDAY OCTOBER 5, 2018	(52) KIP DIDERICKSEN OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SATURDAY OCTOBER 6, 2018	(53) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 16, 2018	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 13, 2018	(54) AUTUMN HANDICAP TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY OCTOBER 14, 2018	(55) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 27, 2018	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 28, 2018	(56) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 7, 2018	\$125,000 Projected \$25,000 Added 350 YARDS

## 2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 18, 2019	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 5, 2018	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 25, 2019	(45) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY AUGUST 30, 2019	(46) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY AUGUST 31, 2019	(47) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 1, 2019	(48) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SATURDAY SEPTEMBER 7, 2019	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SUNDAY SEPTEMBER 8, 2019	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 22, 2019	(49) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
FRIDAY OCTOBER 4, 2019	(50) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 15, 2018	\$175,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 4, 2019	(51) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SATURDAY OCTOBER 5, 2019	(52) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 15, 2019	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 12, 2019	(53) AUTUMN HANDICAP TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY OCTOBER 13, 2019	(54) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 26, 2019	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 27, 2019	(55) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 6, 2019	\$125,000 Projected \$20,000 Added 350 YARDS

## 2018 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 4, 2018	(57) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 21, 2018	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 4, 2018	(58) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 10, 2018	(59) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 11, 2018	(60) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 20, 2018	\$900,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 17, 2018	(61) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 18, 2018	(62) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
FRIDAY NOVEMBER 23, 2018	MOUNT RUSHMORE OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SATURDAY NOVEMBER 24, 2018	(63) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$5,000 400 YARDS
SUNDAY DECEMBER 2, 2018	(64) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY DECEMBER 7, 2018	(65) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 8, 2018	(66) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 9, 2018	(67) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$16,000 870 YARDS
FRIDAY DECEMBER 14, 2018	(68) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN FRIDAY, NOVEMBER 30, 2018	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY DECEMBER 15, 2018	(69) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 18, 2018	\$750,000 440 YARDS
SUNDAY DECEMBER 16, 2018	(70) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
SUNDAY DECEMBER 16, 2018	(71) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 25, 2018	\$2,000,000 Projected \$400,000 Added

## 2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 3, 2019	(56) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 20, 2019	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 3, 2019	(57) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 9, 2019	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 10, 2019	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 20, 2018	\$900,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 16, 2019	(60) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 17, 2019	(61) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 23, 2019	(62) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$5,000 400 YARDS
SUNDAY DECEMBER 1, 2019	(63) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY DECEMBER 6, 2019	(64) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 7, 2019	(65) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 8, 2019	(66) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
FRIDAY DECEMBER 13, 2019	(67) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN FRIDAY, NOVEMBER 29, 2019	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY DECEMBER 14, 2019	(68) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 17, 2019	\$600,000 440 YARDS
SUNDAY DECEMBER 15, 2019	(69) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
SUNDAY DECEMBER 16, 2019	(70) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 24, 2019	\$2,000,000 Projected \$400,000 Added 400 YARDS

**LOS ALAMITOS  
 QUARTER HORSE RACING ASSOCIATION  
 PURSE SCHEDULE  
 09/24/2019**

10 Entries	2 yo Maiden	<u>2 YEAR OLDS</u> Claiming Price	2 yo Claiming	10 Entries
	<u>PURSE</u>		<u>PURSE</u>	
7165	5500	2500	7300	8920
7260	5600	4000	7400	9015
7360	5700	5000	7500	9115
7455	5800	6250	7600	9210
7555	5900	8000	7700	9310
7650	6000	10000	8000	9600
7750	6100	12500	8500	10090
7845	6200	16000	10500	12040
7945	6300	20000	11200	12720
8040	6400	25000	11300	12820
8140	6500	30000	11400	12915
8625	7000	Allowance	12000	13500
		Overnight	15000	
		Trials	4000	

**LOS ALAMITOS  
 QUARTER HORSE RACING ASSOCIATION  
 PURSE SCHEDULE  
 09/24/2019**

3 YEAR OLDS & UPWARD

Maiden  
 Claiming  
 Price

10  
 Entries

PURSE

2500	6000	7650
4000	6100	7750
5000	6200	7845
6250	6300	7945
8000	6400	8040
10000	6500	8140
12500	6600	8235
16000	6700	8335
20000	6800	8430
25000	6900	8530
30000	7000	8625
Allowance	7000	8625

3 YEAR OLDS & UPWARD

Claiming  
 Price

10  
 Entries

PURSE

2500	7000	8625
3200	7200	8820
4000	7400	9015
5000	7600	9210
6250	8000	9600
7500	8500	10090
8000	8800	10380
10000	9200	10770
12500	9500	11065
16000	9700	11260
20000	9800	11355
25000	10000	11550
30000	10500	12040
40000	11000	12525
50000	12000	13500
Allowance	12000	13500
Allowance	13000	14500
	<u>Overnight</u>	15000
	<u>Trials</u>	6000
	<u>Vessels Trials</u>	8000

Los Alamitos Maiden Stakes is now \$125,000 Projected, \$25,000 added.  
 Los Alamitos Oaks is the new name for what was previously called "La Primera Del Ano Derby". Los Alamitos Oaks is now \$400,000 projected and \$125,000 added.  
 Kaweah Bar Handicap is now \$35,000, previously \$25,000.  
 Governor's Cup Futurity is now \$400,000 projected and \$100,000 added.  
 ARC California Regional Distaff Challenge is the new name for what was previously called "Merial California Regional Distaff Challenge".  
 The Plan Overnight Handicap", He Flies Overnight Handicap and John Ward Overnight Handicap are purposely not numbered and are not to be counted in the total number of stakes races.  
 Calendar variations have necessitated changes to days on which a number of races are run, and therefore, the corresponding Event number assigned to some races.

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. N/A

E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes X No If no, what racing colors are to be used:

List all post times for the daily racing program:

Race	Friday	Saturday	Sunday	Sunday-Del Mar/Santa Anita
1	7:00	6:00	5:00	6:30
2	7:28	6:28	5:28	6:58
3	7:56	6:56	5:56	7:26
4	8:24	7:24	6:24	7:54
5	8:52	7:52	6:52	8:22
6	9:20	8:20	7:20	8:50
7	9:48	8:48	7:48	9:18
8	10:16	9:16	8:16	9:46
9	10:44	9:44	8:44	10:14
10	11:12	10:12	9:12	10:42
11	11:40	10:40	9:40	11:10
12		11:08	10:08	11:38
13			10:36	
14			11:04	
15			11:32	

Note: First post may be delayed until 30 minutes after Santa Anita/Del Mar/Golden Gate last race or 45 minutes after Los Alamitos Thoroughbred last race.

**notice to applicant:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).





1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

#### G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
 

Edward C. Allred	Chairman
Cathy Monji	President/Director
Richard M, English	Chief Financial Officer
Robert Snyder	Controller
Frank Sherren	Plant Superintendent
Cathy Monji	Director of Security
Bob Hurd	Director of Operations
John Ferrero	Director of Mutuels
Michael Wrona	Track Announcer
John Ferrero	Director Simulcasting/Nominations
Patricia Secrist	Director of Nominations
Dawn Jackson	Paymaster of Purses
Ken Walker	Director of Labor Relations
  
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).  
 Cathy Monji, 4961 Katella Ave., Los Alamitos, CA 90720

**5. TAKE OUT PERCENTAGE**

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage. N/A

Yes                      No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. N/A

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen’s organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2018	258,670,181	381,903
2017	238,700,510	379,922
2016	243,784,709	424,734
2015	239,639,329	435,733
2014	225,526,240	413,174

**7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):** 2020 Days 151

2019 Days 145 (151 on 2019 application, six nights cancelled due to lights, repairs and maintenance).

- Purse distribution:

- All races other than stakes:  
 Current meet estimate: 2020 10,500,000  
 Prior meet actual: 2019 10,293,170

Average Daily Purse (7 A1 number of days):

Current meet estimate: 2020 69,536  
 Prior meet actual: 2019 70,987

- Overnight stakes:  
 Current meet estimate: 2020 N/A  
 Prior meet actual: 2019 N/A

Average Daily Purse (7 A2 number of days):

Current meet estimate: 2020 N/A  
 Prior meet actual: 2019 N/A

3. Non-overnight stakes:  
 Current meet estimate: 2020 3,652,500  
 Prior meet actual: 2019 3,606,225

Average Daily Non-overnight Purse (7 A3 number of days):

Current meet estimate: 2020 24,189  
 Prior meet actual: 2019 24,871

- Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: 2020 14,152,500  
 Prior meet actual: 2019 13,899,395

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 2020 10%  
 Prior meet actual: 2019 10%

Average Daily Purse (7 B1 number of days):

Current meet estimate: 2020 7%  
 Prior meet actual: 2019 7%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: 2020 550,000  
 Prior meet actual: 2019 539,217

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:	2020	Prior meet actual:	2019
Total	430,000	Total	382,261

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen

(7 A+7 C+7 D):

Current meet estimate:	2020	15,132,500
------------------------	------	------------

Prior meet actual:	2019	14,820,873
--------------------	------	------------

Average Daily Purse (7 E number of days):

Current meet estimate:	2020	100,215
------------------------	------	---------

Prior meet actual:	2019	102,213
--------------------	------	---------

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	2020	10,500,000
------------------------	------	------------

Prior meet actual:	2019	10,472,974
--------------------	------	------------

Average Daily Purse (7 F number of days):

Current meet estimate:	2020	69,536
------------------------	------	--------

Prior meet actual:	2019	72,227
--------------------	------	--------

- G. Purse funds to be generated from interstate handle:

Current meet estimate:	2020	1,800,000
------------------------	------	-----------

Prior meet actual:	2019	1,757,204
--------------------	------	-----------

Average Daily Purse (7 G number of days):

Current meet estimate:	2020	11,921
------------------------	------	--------

Prior meet actual:	2019	12,119
--------------------	------	--------

- H. Bank and account number for the Paymaster of Purses' purse account: On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Robert Passero, 230 Old Ranch Rd., Seal Beach, CA 90740

**notice to applicant:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is

obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## **8. STABLE ACCOMMODATIONS**

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1100
- B. Minimum number of stalls believed necessary for the meeting: 1100
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: N/A
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

## **9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:

Rick Overly, CEO of Equine Sports Medicine (714-527-2341), and his staff of veterinarians are on call 24/7 to handle any issues during training hours, workouts and racing.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

There is a rotation of private veterinarians on site during all training hours.

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	<b>type of wagers</b>	<b>applicable rules</b>
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1DD, \$1PNP4, \$1 TRI, \$1SF,\$1PPN	CHRB 1959, 1957, 1976.9, 1979, 1979.1,1976.8
Race #2	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #4	\$1E, \$1PK3, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1977, 1979, 1979.1,1957
Race #5	\$1E, \$1PK3, \$1TRI, \$1SF, \$1PNP6,\$1DD	CHRB1959,1977,1979,1979.1,1976.9, 1957
Race #6	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #7	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #8	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #9	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #10	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #11	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #12	\$1E, \$1PK3, \$1TRI, \$1SF,\$1PNP4	CHRB 1959, 1977, 1979, 1979.1,1976.9
Race #13	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #14	\$1E, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1979, 1979.1, 1957
Race #15	\$1E, \$1TRI, \$1SF	CHRB 1959, 1979, 1979.1,

1. Late daily double will always occur in the last two races of the race card.
2. If there are not enough horses carded in a race to offer a trifecta, we will offer a \$1 quinella, CHRB 1958.
3. Late pick four will always be offered on the last four races of the race card, CHRB 1976.9.
4. Rolling pick three begins in the second race of each card and continues through the 3<sup>rd</sup> to last race of the card.
5. If a field should only have 5 betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.

6. If running 12 or more races LAQHRA requests administrative authority to add an additional pick four.
7. Pick four rule-1976.9-100% Major pool, Daily payout.
8. Pick Six rule-1976.9-70% Major, Mandatory payout closing day.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. none
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 20, 2020
- D. List any options requested with regard to exotic wagering: N/A
- E. Will "advance" or "early bird" wagering be offered? Yes    X    No  
If yes, when will such wagering begin? Specify days and time for "early bird" wagering: Friday, Saturday, Sunday at 9:30am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Amtote Internantional, contact person:Dave Payton, contract expires 10/25/20 (with our option to extend for 5 years).
- G. List below the takeout percentage for each type of wager identified in 10.A.:

**Takeout percentage**  
(Example) PNP5-14%

Win, Place, Show-17.63%  
All others- 22.88%

These takeout rates apply to both on-track and off-track wagers.

## 11. **ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
TVG, Game Play Network, Lien Games, NYRA Bets, Twin Spires, Watch and Wager, Xpressbet.
- A. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
TVG contract is attached. Remaining contracts are pending and will be provided to the CHRB once they are executed.
- B. Have the contract/agreements been approved by the respective horsemen's groups?

Yes

No

If yes, attach a copy of the approval.

Approval of TVG is attached. Remaining approvals are pending and will be provided to the CHRB when completed.

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. On file
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
- | <u>Northern California</u>               | <u>Southern California</u>              |
|--|---|
| Alameda County Fair, Pleasanton          | Barona Casino, Lakeside                 |
| Anderson                                 | Ventura County Fair, Ventura            |
| The Jockey Club At San Mateo             | San Bernardino County Fair, Victorville |
| Big Fresno Fair, Fresno                  | Viejas Casino & Turf Club, Alpine       |
| California State Fair & Expo, Sacramento | Del Mar Thoroughbred Club, Del Mar      |
| Club One, Fresno                         | Hollywood Park Casino, Inglewood        |
| Golden Gate Fields, Albany               | Cabazon Fantasy Springs Resort, Indio   |
| Tulare County Fair, Tulare               | The Farmers Fair, Perris                |
| Monterey County Fair, Monterey           | Antelope Valley Fair, Lancaster         |
| San Joaquin County Fair, Stockton        | Sycuan Gaming Center, El Cajon          |
| Santa Clara County Fair, San Jose        | National Orange Show, San Bernardino    |
| Solano County Fair, Vallejo              | Fairplex Park, Pomona                   |
| Sonoma County Fair, Santa Rosa           | Santa Anita, Arcadia                    |
| Stanislaus County Fair, Turlock          | Surfside Race Place, Del Mar            |
| Humboldt County Fair, Ferndale           |   |
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
Mexico (including Agua Caliente and related books, Juarez and related books, and Hipodromo de las Americas and related books); and those included in E.
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:



## Pacific Coast Quarter Horse Racing Association

---

John Hindman  
TVG  
6701 Center Drive West  
Suite 160  
Los Angeles, CA 90045

September 25, 2019

Dear Mr. Hindman,

Pacific Coast Quarter Horse Racing Association approves the full card simulcasting of races from Los Alamitos Race Course under the Federal Interstate Horse Racing Act to the hub in Oregon operated by TVG pursuant to the terms of the agreement between O.D.S. Technologies, L.P. d/b/a TVG and Los Alamitos Race Course.

Sincerely,

  
Dino Perez  
Business Manager

The Caribbean Basin (Las Caymanas Park and various books on St. Marten, Jamaica, Barbados, and Trinidad); Dominican Republic (Hipodromo V. Centenario); Canada (Assiniboia Downs, Barrie Downs, Dresdan, Fraser Downs, Dawartja Downs. Elmira Raceway, Flamboro Downs, Fraser, Kawartha Downs, Marquis Downs, Queensbury Downs, Red Shores, Rideau Carlton, Hipodromo l'Hippodrome de Montreal, Calgary Stampede, Hastings Park, Northland Park, Ontario Jockey Club, Picov, Rocky Mountain Turf, Stampede Park, Western Fair, Windsor Raceway, Woodbine, Woodstock, and others); Argentina (La Plata, San Ysidro, Pallermo, and related books); Austria, Belarus, Belgium, Brazil (Jockey Club and related books); Columbia, (LosComuneros); Germany, Ireland, Peru(Hippodromo Monterrico); Panama (Codere-PresidenteRemon);Russia. Grenada, United Kingdom, Uruguay (Maronas Racetrack);Venezuela (La Rinconada and related books); U.S. Virgin Islands (books); Puerto Rico (El Commandante), South Korea, South Africa.

America Tab-OR  
AmWest Entertainment-KY  
Arapahoe Park-CO  
Arima Race Club,Trinidad  
Arizona Downs  
Arlington International Race Course-IL  
Atlantic City Casino Assn.-NJ  
Atlantis Paradise, LVDC  
Avatar Ventures, LVDC  
Balmoral Park-IL  
BetPad, OR  
Belterra Park  
Beulah Park-OH  
Birmingham Race Course-AL  
Blue Ribbon Downs-OK  
Bordertown  
Buffalo Trotting Association-NY  
Calder Race Course-FL  
Capital Sports Pty-Australia  
Canterbury Park-MN  
Capital District OTB-NY  
Casino Association  
Catskill Off-Track, NY  
Century Downs  
Cheyenne Plaza  
Choctaw Racing Services, OK  
Churchill Downs-KY  
Churchill Downs Sports Spectrum-KY  
Cities of Gold, LVDC  
Citizen Band Potawatomi Indian Tribe (LVDC)  
Colonial Downs-VA  
Columbus Races-NE  
Connecticut OTB-CT  
Corpus Christi Greyhound Track-TX  
Cypress Bayou Casino  
Dairyland Greyhound Park-WI  
Day at the Track  
Dayton  
Delaware Park-DE  
Delta Downs-LA

DGS Systems Corp, LVDC  
Dover Downs-DE  
Downs at Albuquerque-NM  
Downs at Santa Fe  
Ebet  
Egg Harbor Turf Club  
Ellis Park-KY  
Elite Turf Club, LVDC  
Emerald Downs, WA  
EmpireCityBets Yonkers  
Eureka Downs, KS  
Euro Off-Track, Isle of Man  
European Wagering Services  
Evangeline Downs-LA  
Fair Grounds Racecourse-LA  
Fair Meadows, IA  
Fairmount Park-IL  
Favorites at Gloucester  
Favorites at Toms River  
Favorites at Woodbridge  
Finger Lakes Race Track-NY  
Firelake Entertainment(LVDC) OK  
Fonner Park-NE  
Fort Erie  
Foxwoods Casino (LVDC)-CT  
Freehold Raceway-NJ  
Garden State Park-NJ  
Geneva Lakes Kennel Club-WI  
Georgian Downs  
Grand River Raceway  
Great Lakes Downs-MI  
Greentrack-AL  
Gulf Greyhound Park  
Gulfstream Park-FL  
GWS German Tote  
Hanover Raceway  
Harrington Raceway & Simulcast Facility-DE  
Hawthorne Race Course-IL  
Hazel Park-MI  
Hialeah Park-FL  
Hiawatha Horse Park  
Hinsdale Greyhound Park, NH  
Hipica De Panama-MIR  
Holiday Beach Hotel (LVDC)-NV  
Hoosier Park-IN  
Horse Races Now  
IBN-US Accounts  
Ida Racing, ID  
Illinois  
Indiana Downs, IN  
International All Sports, Australia  
International Racing Group, (IRG)  
Jackson Harness Raceway-MI  
Keeneland-KY  
Kentucky Downs  
Ladbroke at the Meadows-PA

Lebanon Trotting Club-OH  
Lewiston OTB-ME  
Les Bois Park-ID  
Lien Games, ND  
Lodge At Belmont  
Lone Star Race Park-TX  
Louisiana Downs-LA  
Luckity  
Magna Bet  
Manor Downs-TX  
Maryland Jockey Club-MD  
Maywood Park-IL  
Meadows the  
Meadowlands, NJ  
Megatote, LVDC  
Meskwaki Casino (LVDC)-NV  
Mobile Greyhound Park-AL  
Mohegun Sun  
Monmouth Park-NJ  
Montana Simulcast, MT  
Monticello Raceway-NY  
Mountaineer Park-WV  
Mt. Pleasant Meadows-MI  
Multnomah Greyhound Park-OR  
Nassau Downs OTB-NY  
Nebraska State Fair Park-NE  
Nevada  
Nevada Indian Casinos  
NJ ADW-Mobile  
New Jersey Sports & Exposition  
New Mexico State Fair-NM  
New York City Off-Track Betting-NY  
New York Racing Association-NY  
Northfield Park-OH  
Northville Downs-MI  
Northville Racing Corp.-MI  
Northwest Racing Associates Ltd.(Emerald)  
NYRAbets  
Oaklawn Park-AR  
Ocean Downs-MD  
Offtrackbetting.com  
Palace Casino  
Pari-Bet, LLC  
Penn National Race Course-PA  
Philadelphia Park-PA  
Phumelela Gold, South Africa  
Plainridge Racecourse-MA  
Player Management Group  
Playfair Race Course-WA  
Poconos  
Pojoaque Pueblo Gaming, Inc. (LVDC)-OK  
Pompano Park, FL  
Portland Meadows-OR  
Potawatomi Indian Tribe, WI  
Prairie Meadows-IA  
Premier Gateway Int.

Premier Turf Club-ND  
Racebets  
Raceway Park-OH  
Racing & Gaming Services, St Kitts  
Racing2Day-FL  
Remington Park-OK  
Retama Park-TX  
Rillito Park-AZ  
River Downs-OH  
Rockingham Park-NH  
Ruidoso Downs-NM  
Running Aces Harness Park, MN  
Saddlebrook, TX  
Sam Houston Park-TX  
Sandy Downs, ID  
Saratoga Raceway-NY  
Schenectady OTB-NY  
Scioto Downs-OH  
Silks Corporation, N. Dakota Horse Park, ND  
Sol Mutuel Ltd, S. America  
Southland Greyhound Park-AR  
Spirit Mountain Casino (LVDC)-OR  
Social Gaming  
Southland  
Sports Creek Raceway-MI  
Sportsman's Park-IL  
Sudbury Downs  
Suffolk Downs-MA  
Suffolk OTB, NY  
Sunland Park Racetrack-NM  
SunRay Park and Casino-NM  
Tampa Bay Downs-FL  
TBC Teletheaters  
Television Games Network-CA  
The Downs @ Albuquerque  
The Lodge at Belmont, NH  
The Racing Channel,  
The Red Mile, KY  
The Royal Turf Club, Antigua  
The Stables (LVDC)-OK  
Thistledown Racing Club-OH  
Tioga Downs, NY  
TP Racing, LLLP (Turf Paradise)-AZ  
Tote Investment Racing Service  
TRN International, PA  
Tucson Greyhound Park-AZ  
Turf Paradise  
Turning Stone Casino-NY  
TVG  
Twinspires  
US Off-Track-OR  
Valley Race Park, TX  
Velocity Wagering  
Vernon Downs-NY  
Vineland OTB  
Western Regional OTB-NY

Wichita Greyhound Park-KS  
 Will Rogers Downs-OK  
 WinnaVegas Casino  
 Wonderland Greyhound Park  
 Woodlands-KS  
 Wyoming OTB-WY  
 Xpressbet  
 Yavapai Downs  
 Yonkers Raceway-NY  
 Youbet-Tote Tasmania, Australia  
 Zia Park, NM

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Bankers, Salinas	Santa Clarita
Commerce Casino, City of Commerce	Santa Maria Roadhouse
Tilted Kilt, Thousand Oaks	Sammy's –Lake Forest
OC Tavern, San Clemente	FireHouse Restaurant-Bakersfield
Lake Elsinore Hotel and Casino	Striders, San Diego
Striders, Norco	Pechanga Casino-Temecula
Ocean's 11 Casino	Bonita 58 Flat

G. For **thoroughbred** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: N/A

**notice to applicant:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

H. For **quarter horse** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Delta Downs	April –July	Selected races
Sam Houston	March-May	Selected races
Prairie Meadows	August-October	Selected races

Remington Park	March-June	Selected races
Ruidoso Downs	June-September	Selected races
September 6, 7	All American Gold Cup, Derby and Futurity	
Fair Grounds	August-September	Selected races
Fair Meadows/Tulsa	May-July	Selected races
Hoosier Park	September	Selected races
Evangeline Downs	October-December	Selected races
Lone Star Park	September-November	Selected races
Canterbury Park	May-September	Selected races
Indiana Downs	May-July	Selected races
Emerald Downs	August	Selected races
Albuquerque	June-September	Selected races
Portland Meadows	TBA	Selected races
Zia Park	TBA	Selected races
Retama Park	July-September	Selected races

- I. For **standardbred** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: N/A

#### HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- J. For **all** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Meadowlands	Standardbred	May-November	Selected races
Tioga	Standardbred	May	Selected races
Hawthorne	Standardbred	January-September	Selected races
Red Mile	Standardbred	August/September	Selected races
Western Fair	Standardbred	May	Selected races
Fraser Downs	Standardbred	February-March	Selected races
Poconos	Standardbred	March-November	Selected races
Charlottetown	Standardbred	August	Selected races
Dayton Raceway	Standardbred	September	Selected races
Miami Valley Gaming	Standardbred	January-March	Selected races
Pompano Downs	Standardbred	January-December	Selected races
Dover Downs	Standardbred	TBA	Selected races
The Meadows	Standardbred	TBA	Selected races
Northfield Park	Standardbred	January-December	Selected races

Vernon Downs	Standardbred	August	Selected races
Flamboro	Standardbred	January-December	Selected races
Hoosier Park	Standardbred	August/September	Selected races
Mohawk Raceway	Standardbred	June-December	Selected races
Woodbine	Standardbred	TBA	Selected races
Penn National	Standardbred	TBA	Selected races
Rosecroft	Standardbred	September	Selected Races
Running Aces	Standardbred	May-September	Selected races
Rideau Carleton	Standardbred	January-December	Selected races
Mohegan Sun @Pocono	Standardbred	March-November	Selected races
Remington Park	Paint	March-June	Selected races
Emerald Downs	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Hastings	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Delta Downs	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Portland Meadows	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races

- K. For **all** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). Agreements forwarded as needed.

**notice to applicant:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### 13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
Los Alamitos Quarter Horse Charity Foundation, 4961 Katella Ave., Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:  
Robert Main 4961 Katella Ave., Los Alamitos, CA 90720  
Althea Miller 4961 Katella Ave., Los Alamitos, CA 90720  
Charles Brain 4961 Katella Ave., Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:

- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes X

**notice to applicant:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

#### 14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

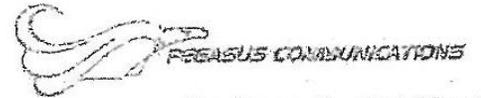
- A. Racing officials nominated:

Association Veterinarian(s)	Becky Fitzgerald, DVM
Clerk of Scales	Natividad Estrada
Film Specialist	Stewards
Horse Identifier	Jason Hart
Horseshoe Inspector	Brian Griffin
Paddock Judge	George Yniguez
Patrol Judges	George Yniguez
Placing Judges	Tyler Hart, Jordan Castaneda
Starter	Gary Brinson
Timer	Jordan Castaneda

- B. Management officials in the racing department:

Director of Racing	Jay Conklin
Racing Secretary	Jay Conklin
Paymaster of Purses	Dawn Jackson
Others (identify by name and title)	

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
Barbara Weinstein, 9582 Hamilton Ave, STE 265, Huntington Beach, CA 92646  
714 964-7102
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
Plusmic Corporation USA – Bill O’Brien 12/31/23
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
Pegasus Communications - Jim Porep 05/06/22
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
Pegasus Communications - Jim Porep 05/06/22



Jim Porep, Jr., President  
Pegasus Communications, Inc.  
16633 Ventura Blvd, Suite 1010  
Encino, CA 91436  
Office 818-907-1900  
Cell 951-314-6348

### **Major Video Equipment Listing**

Broadcast Video Production Control Room, Grandstand lower level

HD graphics systems with Pegasus DataLinks interface software

32/16 to Sony 2 ME Switcher

Recording systems:

1- ZPlay HD video recorder, slow motion playback

1- 3play HD video recorder, slow motion playback

1-Sony DigiBeta

Audio Mixing Board

144x Router

### **Camera locations**

1- HD Pan camera 1

1- HD Pan camera 2

1- HD 7/8 tower

1- HD 3/8 tower

1- HD Wireless gate camera system

1- HD Shutter cam at finish line

1- HD Jib mount winner's circle/finish line

2- HD TVG Set

1- HD Pan camera 3 / Isolation, cover shot

1- HD 3/8 quarter horse tower

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.  
Cathy Monji – Director of Security      714-820-2810
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 23
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Not used at this time.
  2. Detention Stalls:
    - A. Attach a plan for use of graded stakes or overnight races. Not used at this time.
    - B. Number of security guards in the detention stall area during a 24-hour period. N/A
    - C. Describe number and location of surveillance cameras in detention stall area. N/A
  3. TCO2 Testing: N/A
    - A. Number of races to be tested, and number of horses entered in each race to be tested. N/A
    - B. Plan for enhanced surveillance for trainers with high-test results. N/A
    - C. Plan for detention stalls for repeat offenders. N/A
    - D. Number of security personnel assigned to the TCO2 program. N/A
- C. Describe the electronic security system:
  1. Location and number of video surveillance cameras for the detention stall and stable gate. N/A
- D. For night racing associations. Describe emergency lighting system: Attached

## Organizational Chart

### LOS ALAMITOS RACE COURSE SECURITY DEPARTMENT

DIRECTOR OF SECURITY	CATHY MONJI	OFFICE (714) 820-2810 CELL (949) 355-2203
SERGEANT-DAY SHIFT	FRANK WOZNIAK	OFFICE (714) 820-2661 CELL (562) 275-5373
SERGEANT-NIGHT SHIFT	LUIS RIVAS	OFFICE (714) 820-2663 CELL (626) 675-3663
SERGEANT-DAY STABLE	FERNANDO GOMEZ	OFFICE (714) 820-2830 CELL (951) 768-7094
SERGEANT-NIGHT STABLE	KEITH HICKS	OFFICE (714) 820-2664 CELL (714) 770-1351
DAY SHIFT SECURITY OFFICERS	LUIS RIVAS, JR. GUILLERMO CHAVEZ JOSE MURILLO BUNTHOEUN SOS	DESK/DISPATCH MAINLINE CLUBHOUSE MEZZ
NIGHT SHIFT OFFICERS	JACKIE DIXON HENRY CHAMBERS GABRIEL CASTELLANOS	MAINLINE PADDOCK
STABLE AREA OFFICERS	JORGE AVINA CESAR FRANCO JOSE ALVAREZ	NIGHT STABLE GRAVEYARD SPLIT SHIFT
OFF HOUR OFFICERS STABLE GATE	KENNETH GRANT APRIL DUDONE RONNIE WHITE CHERI WILLETT ARTURO SANDOVAL SHARRIL JANISKY MARCO AVINA BENJAMIN GARCIA	GRAVEYARD SWING GATE DAY WEST GATE SPLIT SHIFT NIGHT WEST GATE GRAVEYARD GATE DAY GATE MAIN GATE

Emergency Track Lighting System  
Los Alamitos Race Course

The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minutes to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes on. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

## 16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Huntington Ambulance, PO Box 145, Sunset Beach, CA 90742  
562-904-1550, 714-325-0363 cell -Stacy O'Brien

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. attached

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A

- C. Describe the on-track first aid facility, including equipment and medical staffing:

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1.:**

- 1 Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos Medical Center	West Anaheim Medical Center
3751 Katella Ave	3033 West Orange Ave
Los Alamitos, CA	Anaheim, CA 92804
562-799-3212	714-827-3000

In case of a major accident/head injury they are routed to:

Long Beach Memorial	or	UCI
2801 Atlantic Ave		101 The City Dr
Long Beach, CA 90802		Orange, CA 92868
562-933-2000		714-456-7890

This will be determined by the Paramedics, not the race track or EMT's

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Same as D (1).

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: attached

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

September 16, 2019

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President  
Huntington Ambulance, LLC

# Los Alamitos Race Course

## EMT / Paramedic Protocols

The emergency medical services at Los Alamitos Race Course operate under the jurisdiction of the County of Orange Emergency Medical Services Dept. (OCEMS). The OCEMS supervises all paramedic services within the county as well as the licensing of all private ambulance companies.

Orange County regulations stipulate that all paramedic services within the county are provided through the Orange County Fire Authority with no licensing of any private paramedic ambulance services.

The OCEMS has reviewed and approved the protocol for handling on track accidents at Los Alamitos Race Course. This protocol calls for Huntington Ambulance EMT's to respond to any on track accident and stabilize any injured rider. At this point paramedics are called and they respond from the Orange County Fire Station #14 which is located directly adjacent to Los Alamitos Race Course.

Huntington Ambulance crews transport the injured rider to a designated area located just outside a special emergency gate built on the backstretch of the racetrack where they are met by Fire Authority paramedics. This designated area is less than 100 yards from the paramedic station.

The Orange County Fire Authority paramedics evaluate the injured rider and make the determination of whether to transport the rider to a trauma center.

This emergency protocol is in place for both morning training and evening racing accidents.



## Finish Line Self Insurance Group, Inc.

---

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### **Steps Required in Reporting a Workers Compensation Accident**

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**  
**Healthpointe Medical Center**  
7052 Oranewood Ave, Suite 6  
Garden Grove (714) 903-1100



## Finish Line Self Insurance Group, Inc.

---

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### **Pasos Requeridos en Caso de un Accidente**

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada  
Healthpointe Medical Center  
7052 Oranewood Ave, Suite 6  
Garden Grove (714) 903-1100**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
 Allie Rodriguez/FLSIG-safety manager  
 Frank Sherren-safety program director LARC
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
 attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
 Self Insured- Finish Line Self Insurance Group, certificate # On File  
 Administrator: Sig Solutions  
 PO Box 905  
 Seal Beach, Ca 90740
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. attached

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions?    Yes    X    No



# ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

[www.ocfa.org](http://www.ocfa.org)

## FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

January 25, 2019

Los Alamitos Race Course  
4961 Katella ave.  
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspection. Please accept this letter as your fire clearance with an action plan from our agency. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne", is written over a horizontal line.

Tony Verdenne  
Fire Prevention Specialist  
Prevention Field Services Section  
714 527-4584 [tonyverdenne@ocfa.org](mailto:tonyverdenne@ocfa.org)

Cc: file



FINILIN-03

PRZEMIELEWSKIS

## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

6/21/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 <b>IOA Insurance Services</b> 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	CONTACT NAME: <b>Sara Przemielewski</b>	
	PHONE (A/C, No, Ext): <b>(925) 660-3531</b>	FAX (A/C, No):
E-MAIL ADDRESS: <b>Sara.Przemielewski@ioausa.com</b>		
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A : <b>Safety National Casualty Corp</b>		<b>15105</b>
INSURER B :		
INSURER C :		
INSURER D :		
INSURER E :		
INSURER F :		

INSURED  
**Los Alamitos Racing Assoc and Quarter Horse Racing, Inc.**  
 4961 Katella Avenue  
 Los Alamitos, CA 90720

## COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

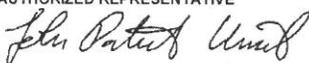
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
<b>A</b>	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <b>N</b>	N/A	<b>SP4060745</b>	<b>7/1/2019</b>	<b>1/1/2021</b>	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ <b>1,000,000</b> E.L. DISEASE - EA EMPLOYEE \$ <b>1,000,000</b> E.L. DISEASE - POLICY LIMIT \$ <b>1,000,000</b>

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
 Evidence of Coverage

## CERTIFICATE HOLDER

## CANCELLATION

California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 
--	---

ACORD 25 (2016/03)

© 1988-2015 ACORD CORPORATION. All rights reserved.

The ACORD name and logo are registered marks of ACORD

**18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

- Attach a copy of the promotional and marketing plans for the race meeting:  
attached
- B. Promotional/ Marketing budget for this race meeting:  
attached  
Promotional/Marketing budget for prior race meeting:  
attached
- C. Number of hosts and hostesses employed for meeting:  
attached
- D. Describe facilities set aside for new fans:  
attached

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: attached

- Horsemen
- Fans
- Facilities in the restricted areas

**19. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

Admission (general)	3.00
Admission (clubhouse)	5.00/10.00 (Vessels club)
Reserved seating (general)	N/A
Reserved seating (clubhouse)	N/A
Parking (general)	Free
Parking (preferred)	5.00
Programs (on-track)	4.50
(off-track)	4.75

B. Describe any "Season Boxes" and "Turf Club Membership" fees: attached

C. Describe any "package" plans such as combined parking, admission and program:  
N/A

## **LOS ALAMITOS QUARTER HORSE MEET 2020 MARKETING PLAN**

### **ON TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Describe any promotional plans:

1. Los Alamitos will conduct mystery mutuel voucher mailers to be held in July, September and December. The vouchers are sent to off-track players as well as on-track players. Each voucher is worth at least \$5. Vouchers in various other denominations ranging from \$10 to \$2,500 are also mailed. The big money voucher has been cashed twice since July of 2018.

2. Premium item giveaway nights will be held in June, July, November and December in 2020. Planned giveaways include the popular Los Alamitos wall calendar on Champion of Champions night in December and especially themed seasonal giveaways.

3. Community events in 2020 at Los Alamitos will be held in June, July, October and November at Los Alamitos. The events are:

1. A Salute To First Responders - A free community event that include fire truck rides, pony rides, games, face painter and more will all be part of Salute to First Responders night at Los Alamitos Race Course. The event features free grandstand admission and a giveaway in conjunction with the live racing card. Community First Responders are also recognized in the track's winner's circle throughout the night. Police officers, firefighters, sheriffs and more were recognized. The Orange County Police Officer K9 Association conducted a fun live demonstration. All the events were free for all.
2. Wienerschnitzel Wiener Nationals – The first event of its kind dating back to 1996 will once again feature 100 dachshunds competing for prize money and trophies. The annual event receives great pre-and-post event publicity on television newscasts for the event and the racetrack on the top-rated Channel 5 Morning News. The event annually attracts between 8,000 to 11,000 people, most of which get exposed to the excitement of horseracing for the first time. This event has been adopted by nearly all of the fairs conducting live racing as well as Santa Anita, Del Mar and Golden Gate Fields and by various tracks around the nation. All patrons receive a free event program that includes horse racing information targeted to newcomers. Handicapping selections for the night's racing action are included in the program.
3. The Los Alamitos annual Halloween Carnival will be conducted in late fall. This event benefits the Cypress Boys & Girls Club, as well as the Los Alamitos Race Track Chaplaincy. A costume contest for kids and adults as well as a ton of carnival games are held in a safe, fun, and family oriented environment. Cash prizes are awarded to costume contest participants. The event provides first-time exposure of horseracing to hundreds of local families each year. The Boys & Girls Club provides volunteers to run the carnival games and helps promote the event with Los Alamitos providing marketing materials.
4. Honoring Our Veterans – A community event featuring live music, pony rides, games and more with the aim of providing a fun night at the track for our Veterans, while also hosting a service and resource fair. Event will be held for the first time at Los Alamitos in November of 2019.

5. Los Alamitos offers on-track Night at the Races packages available at LosAlamitos.com. The packages provide a variety of amenities including Vessels Club admission, food vouchers, programs, wagering voucher and more. Guests receive VIP treatment, a brochure designed for first-time guests. Track personnel have been trained to answer frequently asked question.

6. Los Alamitos Quarter Horse Racing Association will host one handicapping contest to provide its night patrons with an opportunity to win seats to the 2020 National Handicapping Championship in Las Vegas. Two seats will be up for grabs on California Breeders Champions Night in late July.

## **FAN AMMENITIES**

1. Los Alamitos continues to add new TV screens throughout the facility. The new TVs will be added on the Mezz level and Grandstand floor.

2. Los Alamitos night meet is also the only meet that continues to offer race results on the telephone. The line includes Michael Wrona's full race call. The number to call is 714-995-2222.

3. Los Alamitos continues to operate its Racing Rewards program, which serves as the track's loyalty program. This program rewards its members with cash vouchers for playing the nighttime Los Alamitos racing program with a 1 percent return on their money wagered. The program has been revamped to add more perks than ever based on fan's on-track attendance. Here's a quick overview of the new Rewards that will be given to players in 2020:

- Premium "Key Player" item giveaway after 50<sup>th</sup> on-track visit.
- Exclusive "Key Player" jacket after 90<sup>th</sup> on-track visit.
- New Rewards Birthday Club – Racing Rewards member will receive one \$10 Food Voucher to be used at Los Alamitos during birthday month.
- Plus, discounts and coupon to Los Alamitos Gift Shop, exclusive Racing Rewards handicapping contests and double point nights.

4. Los Alamitos' senior citizen admission prices are \$2 every single day. More than 60% of the Los Alamitos racetrack parking lot is now available at no charge as part of its free general parking area.

5. Los Alamitos Race Course also maintains TVG's "The Quarters" set on-track, which is located by the track's walking ring. The live show includes special interviews with the headliners and personalities of the night racing program. In additions, Los Alamitos works with TVG in promoting the final weekend of the Quarter Horse racing season – featuring the Champion of Champions and Los Alamitos Two Million – with a special 30 minute nationally televised draw.

6. Los Alamitos has made available online video of morning workouts of 2-year-olds. Horseplayers find this a useful tool, as they're able to dissect workouts of horses that have yet to make an official start. In addition to the video footage, the site provides breeding information on each horse.

6. Los Alamitos' Night Lines program now features a nightly handicapping columns in Spanish featuring "La Apuesta de la Noche" ("Play of the Night"). The program also features expanded coverage of racing from Australia and Japan. Past performances of international races are available for download at losalamitos.com.

7. Weekly appetizer specials will continue to be featured in 2020.
8. The Vessels Lounge is scheduled to open in time for the 2020 meet and will feature a new bar, new TVs, a Players seating area, a juke box for music, pool tables, new furniture, wagering areas, and outdoor covered patio, and more.

### **ONLINE**

1. Los Alamitos updates its online presence on a daily basis, as it provides a full array of handicapping tools including exclusive racing info provided by Quarter Horse experts Terry Turrell, Chris Wade and Jose Contreras.
2. Los Alamitos offers a free nighttime pocket program and wagering sheet at losalamitos.com.
3. Los Alamitos offers free LIVE streaming and replay library of its night racing program at losalamitos.com.
4. Los Alamitos has expanded its racing coverage on both Instagram and Twitter. Race recaps, interviews and photos are updated nightly on these social media outlets. Los Alamitos also manages a Youtube Channel @ losalqh which has received over 1.5 million total views.
5. Los Alamitos creates video pieces on racing personalities, major races, and over 20 new promotional spots per year. These air online as well as on TVG.
6. Video interviews featuring human interest pieces with local horsemen and stakes previews are posted online weekly at losalamitos.com. Links to the show are also made available on Twitter.

### **ADVERTISING & TV**

1. Los Alamitos will continue to market the night program on TVG and TVG2 with an expanded year-round television advertising campaign. Los Alamitos works closely with TVG to promote and encourage viewers to visit the track for live racing. The Vessels Club and the Racing Rewards loyalty program are often promoted on TVG to encourage on-track visits.
2. Los Alamitos will continue to partner with its ADW partners to provide special promotions during strategic times of the year. Promotions will include special bonuses and member points rewards on the various ADW platforms like TVG and Twin Spires. Targeted times of the year will include the winter months and early spring season.
3. Los Alamitos buys airtime on Spectrum in Orange County and DirecTV in Southern California with spots airing during Angels, Ducks, Dodgers, and Lakers games and additional spots also airing on the Golf Channel, TBS, Bravo, and Fox Sports' NYRA racing show.
4. Los Alamitos airs radio spots on a variety of shows including Thoroughbred LA and The Morning Line Show.
5. Los Alamitos will continue to sponsor past performance lines for its nighttime races on the West Coast edition of the Daily Racing. Digital ads are also part of this buy on drf.com.

## HORSEMEN

In commemoration of graded stakes races and its participants, Los Alamitos provides a personalized gift to the connections of each horse. Gifts will include individually embroidered polo shirts, wine glasses, horse bridles, wine bottles, full-color qualifiers posters, etc.

### B. Promotional/Marketing budget for **2020** race meeting:

- |  |           |
|--|-----------|
| 1. On-Track Marketing budget:                      | \$375,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

### Promotional/Marketing budget for **2019** race meeting:

- |  |           |
|--|-----------|
| 1. On-Track Marketing budget:                      | \$375,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

### C. Number of hosts and hostesses employed for meeting:

One satellite host and racing analyst on the Los Alamitos racing broadcast. Host provides expert handicapping information prior to each race, while providing racing fans with his Pick 4 suggestions, plus tips and hints on how to play the races at Los Alamitos.

Group event hosts are assigned to each group party to ensure a fun night at the races. Group parties have race named in their honor and the opportunity to visit the winner's circle for their race. Handicapping seminars are available to group parties upon requests. The seminars include a session on how to play the races, what to look in a Quarter Horse race, how to read the toteboard and what the odds mean, basic handicapping tips for the night.

One reward kiosk is located on the grandstand building and allows fans to check, track, and cash their loyalty program points. Points can be traded for prizes. Rewards representative is available to any answer any question regarding the evening's racing program, the racetrack, the horsemen, etc.

One information window is open day and night at Los Alamitos Race Course to answer any questions or inquiries about the track or horseracing in general. Fans can also pick up complimentary general information pamphlets in both English and Spanish at the Racing Rewards Center and Vessels Club.

Los Alamitos also employs and provides to TVG one of the racing analyst featured on the channel's "The Quarters" show.

Los Alamitos retains a media relations and publicity agent, who assists on special events strategic planning, etc.

Los Alamitos also employs a daytime announcer on simulcast racing days. The announcer's role is to provide up to the minute changes and updates on the simulcast races offered daily at Los Alamitos.

D. Describe facilities set aside for new fans:

1. As part of the Night At The Races promotion, new guests enjoy premium outdoor seating in the Vessels Club patio, which overlooks the finish line.
2. The Mezzanine Terrace is also a perfect place for a group event or gathering. This private seating area overlooks the walking ring and winner's circle and offers a relaxed dress code; perfect for new racing fans.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits horsemen and fans:

1. The 2020 Los Alamitos Quarter Horse meet will continue to be offered as a high definition broadcast.
2. The track's infield features a full color 8-foot-tall by 20 feet wide Daktronics board broadcasting the Los Alamitos races, prices, pools, and more. The main entrance to the track also features an overhead two-color board showcasing the latest messages and track news to the more than half-million motorists per year that make their way up and down Katella Avenue.
3. Vessels Lounge – as described in detail under Fan Amenities point number 8.



## 20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

Corners (lockers and cubicles) YES                      How many 70

Showers YES    Steam room YES, sauna or steam cabinets YES Lounge    area

YES

Masseur NO    Food/beverage service YES                      Certified

platform scale YES

- B. Describe the quarters to be used for female jockeys/drivers:

Separate quarters with cubicles, showers, steam box, sleeping quarters

## 21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. See Attached.

- B. Number of rooms used for housing on the backstretch of the racetrack:  
220 out of 344

- C. Number of restrooms available on the backstretch of the racetrack:  
13

- D. Estimated ratio of restroom facilities to the number of backstretch personnel:  
18/1

## 22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:    5,100 feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition: Dirt track consisting of sand, clay, silt, organic and gravel.

- C. The percent of cross slope in the straight-aways is: 3%  
The percent of cross slope in the center of the turns is: 6%

D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a metal slant rail with metal safety cover, 40" in height from track to top of rail. Outer rail is a metal gooseneck with 6" X 4" metal tubing.



September 26, 2019

RE: 21. Backstretch Employee Housing (A)

In September of 2019 an inspection of backstretch housing at Los Alamitos Race Course (LARC) was conducted per CHRB license application requirement.

Present on the inspections were members of LARC Security Staff, LARC Maintenance personal, LARC Janitorial Staff and CHRB Investigators.

No major violations were noted and the facility was found to be in compliance with all City Building and Safety Codes and current CHRB rules.

Respectful,

A handwritten signature in black ink, appearing to read "Frank Sherren", is written over the word "Respectful,".

Frank Sherren  
Facility Manager  
Los Alamitos Race Course

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
Rick Hughes/Dennis Moore
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.  
attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

### **23. DECLARATIONS**

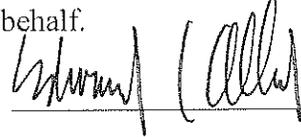
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
PCQHRA agreement is pending. This agreement will be provided to the CHRB when completed.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. Attached.
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): no exceptions

notice to applicant: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

EDWARD C. ALLED



Print Name

Signature

CHAIRMAN/DIRECTOR

9/26/19

Print Title

Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING  
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN LOS ALAMITOS QUARTER  
HORSE RACING ASSOCIATION (LAQHRA) AND THE PACIFIC COAST QUARTER  
HORSE ASSOCIATION (PCQHRA), REGARDING ENTRY CONDITIONS AND  
SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY  
LAQHRA AT ITS (DATES TO BE DETERMINED) RACE MEETING AT THE LOS  
ALAMITOS RACETRACK.

Regular Board Meeting  
November 21, 2019

ISSUE

[CHRB Rule 1581](#), Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Pacific Coast Quarter Horse Racing Association (PCQHRA) and the Board. Los Alamitos desires to condition all races run at the Los Alamitos Race Track to be run with reduced levels of furosemide (Lasix).

BACKGROUND

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Profession Code section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [Business and Professions Code section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

Los Alamitos' request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions

that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Los Alamitos' proposed condition will reduce the maximum amount of Lasix to half of the current authorized level. [CHRB Rule 1845](#), Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce the amount to 250 mg.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of quarter horses, is the PCQHRA. Los Alamitos and the PCQHRA have reached an agreement under CHRB Rule 1581.

#### RECOMMENDATION

This item is presented to the Board for discussion and action.

## STAFF ANALYSIS

### DISCUSSION AND ACTION BY THE BOARD REGARDING ALLOCATION OF 2020 NORTHERN CALIFORNIA RACE DATES

Regular Board Meeting  
November 21, 2019

#### ISSUE:

At the October 16, 2019 Race Dates Committee Meeting, the committee reviewed stakeholder proposals for the 2020 Northern California race dates calendar. The stakeholder proposals are as follows:

The California Authority of Racing Fairs (CARF) proposed the following 2020 Northern California race dates:

Alameda County Fair/Pleasanton – June 19, 2020 through July 12, 2020  
State Fair/Cal Expo – July 17, 2020 through August 2, 2020  
Humboldt County Fair/Ferndale – August 20, 2020 through August 30, 2020  
    Note: August 20, 2020 through August 23, 2020 (un-overlapped)  
            August 28, 2020 through August 30, 2020 (overlapped)  
Big Fresno Fair/Fresno – October 8, 2020 through October 18, 2020

Note: the proposed calendar is contingent upon an agreement between CARF and Golden Gate Fields for stabling during the fair season.

The Sonoma County Fair strongly opposed CARF's proposed 2020 calendar and requested three weeks of racing for 2020:

The first two weeks would be with the Sonoma County Fair and the last week would be without a Fair. Dates of racing with the Fair would be from July 29 – August 11, 2020 and then August 12 – August 18, 2020 for the non-Fair racing dates. Live racing would run on July 30 and 31, August 1 and 2, August 6 through August 9, and then August 14 through August 16, 2020.

The stakeholders were unable to reach an agreement at the Race Dates Committee Meeting and the Committee instructed the stakeholders to continue negotiations amongst themselves and present a proposed agreement at the November 21, 2019 Regular Board Meeting.

The Board approved the 2020 calendar for Watch & Wager LLC harness at Cal Expo from December 26, 2019 through May 3, 2020, and October 30 through December 24, 2020 at the September 19, 2019 Regular Board Meeting. The Board has not allocated any dates for the remainder of the 2020 Northern California calendar, including daytime thoroughbred, racing fairs, and nighttime standardbred racing.

RECOMMENDATION:

This item is presented for discussion and action.  
Staff recommends the Board hear from the Race Dates Committee.

STAFF ANALYSIS  
November 21, 2019

Issue: DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION (T) AT GOLDEN GATE FIELDS, COMMENCING (DATES TO BE DETERMINED).

Pacific Racing Association (PRA) has filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF) as follows:

- December 18, 2019 through June 9, 2020, racing 94 days, one day less than proposed for 2019. Live racing will commence December 26, 2019, and continue through June 7, 2020. PRA proposes to race a total of 758 to 951 races, or 8.06 to 10.12 races per day. During its winter-spring 2019 race meeting, PRA averaged 8.73 races per day and 7.01 runners per race. The (actual) average daily purse for the winter/spring 2019 race meeting was \$187,352. The (estimated) average daily purse for this meet is \$189,718.
- The Board has not yet allocated the PRA winter-spring 2020 race dates.

December 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

March 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

- Racing four days per week, Thursday through Sunday, with the following exceptions:
  - No racing Thursday, December 19, 2019 – Sunday, December 22, 2019
  - Racing Mondays, January 20, February 17, and May 25, 2020
  - Racing Wednesday, January 1, 2020
  - No racing Thursdays, January 9 and 23, 2020; February 20, 2020; April 9 and 16, 2020; and May 28, 2020
- Racing concurrently with the dates allocated to Los Angeles Turf Club.
- First post 12:45 p.m. on weekdays; 12:45 on weekends through April 26, 2019, then 1:15 p.m. on weekends beginning May 3, 2020; with the following exceptions:
 

• Thursday, December 26, 2019	Opening Day	12:15 p.m.
• Sunday, February 9, 2020	Super Bowl	11:15 a.m.
• Saturday, May 2, 2020	Kentucky Derby	11:45 a.m.
• Saturday, May 16, 2020	Preakness	11:45 a.m.
• Monday, May 25, 2020	Memorial Day	12:45 a.m.
• Saturday, June 6, 2020	Belmont Stakes	11:45 a.m.
- Wagering program will use CHRB and Association of Racing Commissioners International (ARCI) rules.

PRA requests approval to use a modified version of ARCI rule for its Pick 6 contest. PRA also requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched.

#### Alternate Wagering Interest

PRA requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

## Pick 6

PRA requests approval to use an alternative definition of "unique" to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition of "unique" under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI Model Rules, there are two possible definitions for "unique." PRA is requesting approval to use an alternative definition that is not referenced in the ARCI Model Rules or in the CHRB's rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed PRA definition.

PRA also requests approval of a change to the ARCI Model Rules during instances of late surface changes. PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the races are declared a "Win All," not a "no contest." Also, PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day's net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI-005-105(G)(1), the Board has the authority to approve these requested changes.

- Additional wagering information:
  - Advance wagering will be offered Friday, May 1, 2020 for the Kentucky Derby; Friday, May 15, 2020 for the Preakness; and Friday, June 5, 2020 for the Belmont Stakes.
  - \$2 Group Bet (Horse Racing Roulette) available on every eligible race.
  - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but no more than six races.
  - \$1 Exacta on all eligible races.
  - \$.50 Trifecta on all eligible races.
  - \$.10 Superfecta on all eligible races.
  - \$2 Rolling Daily Double. Requests 20% takeout.
  - \$.50 Pick 3.
  - \$.50 Pick 4 on races 2–5 and last four races each day. No consolation. 100% payout. Alternate runner provision.
  - \$.50 Pick 5 on first five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Early Pick 5 if no ticket has five winners. Alternate runner provision. Requests 14% takeout.
  - \$.50 Pick 5 on last five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Late Pick 5 if no ticket has five winners. Alternate runner provision.
  - \$1 Super High Five on all races that have 7 or more declared starters. 100% payout on all tickets selecting five winners. No consolation. 100% carryover to next race (including next race day, if applicable).
  - \$.20 Pick 6 on last six races each day. If no unique ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.

- Advance Deposit Wagering (ADW) providers are Churchill Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpires; NYRABets, LLC; ODS Technologies, L.P. dba TVG Network; and XpressBet, LLC.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Account wagering agreement between TwinSpires and Monarch Content Management, LLC has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to Business and Professions Code section 19602, and with authorized locations in southern California.
- Requests Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the racing meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Pursuant to CHRB Rule 1871, the Operating Engineers Local Union No. 3 has provided a 15-day notice of possible intent to discontinue activities at GGF.

- Simulcasting in southern California only.

The PRA application indicates that it will be conducting simulcast wagering with southern California satellites only. In the application submitted by PRA for the same time period in 2017, all licensed satellite wagering facilities were listed. The present application, as submitted, envisions the elimination of satellite wagering opportunities at all northern locations. Each of these locations is in northern California, meaning that the PRA application intends for there to be no satellite wagering in northern California during its race meet.

Staff views this portion of the application to be impermissible based on applicable laws and regulations.

Specifically, California Business and Professions Code section 19608 requires an association that makes more than \$1.5 million in average daily handle to make its audiovisual signal available to authorized satellite wagering facilities. Section 19619.6 requires that every association that provides its live audiovisual signal to also accept wagers from the satellite wagering facilities. Moreover, subsection (e) of CHRB Rule 2058, Duties of a Racing Association or Fair Offering Simulcast Wagering, states that all wagers made available by a host association shall be made available to all simulcast facilities.

As stated, staff views the PRA application requesting that simulcast wagering be offered to only southern California satellites to be in conflict with the provisions mentioned above.

Specific information **still needed** to complete this application:

1. Agreements allowing each ADW provider [BetAmerica; NYRABets, LLC; TVG Network; XpressBet, LLC] to accept wagers on races conducted at GGF for the applied license term.
2. Horsemen's approval of the ADW agreements.
3. Agreements with all licensed northern California satellites.
4. Thoroughbred Owners of California race meet agreement.
5. California Thoroughbred Trainers race meet agreement.

Specific information that **will need to be updated** during the proposed race dates:

1. Agreement with photo finish contractor (item 14D) [expires December 31, 2019].
2. Agreement with electronic timing device contractor (item 14F) [expires December 31, 2019]
3. Fire clearance [valid through June 3, 2020].

#### RECOMMENDATION:

If the application is considered for approval, staff recommends that it be approved contingent upon receipt of the outstanding items.

# GOLDEN GATE FIELDS

September 19, 2019

Rick Baedeker  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Rick,

This letter is in regards to Pacific Racing Association's ("PRA") "Application for License to Conduct a Horse Racing Meeting" for the time period of December 18, 2019 through June 9, 2020 (the "Race Meet Application").

As specified in the Race Meet Application, PRA wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

## Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition under ARCI 004-105(G)(16)(b) PRA will use to identify a unique ticket. PRA requests that a combination of the two definitions to define unique be used. Specifically, PRA wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above."

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

### Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

PRA also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, PRA is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

PRA requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Rob Brodnik  
John McDonough  
Tim Ritvo

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**  
**1100 Eastshore Highway**  
**Berkeley, CA 94710**  
**Phone (510) 559-7300 Fax (510) 527-5622**  
**david.duggan@goldengatefields.com**
- B. Breed of horse: TB  QH  H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
Yes  No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for the entire meeting: **December 18, 2019 through June 9, 2020**
- B. Actual dates racing will be held: **December 26-29; January 1-5, 10-12, 16-19, 20, 24-26, 30-31; February 1-2, 6-9, 13-16, 17, 21-23, 27-29; March 1, 5-8, 12-15, 19-22, 26-29; April 2-5, 10-12, 17-19, 23-26, 30; May 1-3, 7-10, 14-17, 21-24, 25, 29-31; June 4-7.**
- C. Total number of days or nights of racing: **94 days**

<b>CHRB CERTIFICATION</b>	
Application received: <i>9/27/19</i>	Hearing date: <i>11/21/19</i>
Deposit received: <i>On file</i>	Approved date:
Reviewed: <i>RP</i>	License number:

- D. Days or nights of the week races will be held:  
 Wed - Sun     Tues - Sat     Other (specify)
- E. Number of days or nights of racing per week: **4 days per week with the exception of two 3-day race weeks in April 2020**  
**Racing Thursdays through Sundays**  
**Exceptions: No racing 12/19 through 12/22/19**  
**Racing on Mondays: 1/20/20, 2/17/20, and 5/25/20**  
**Racing on Wednesday: 1/1/20**  
**Dark days: Thursdays: 1/9/20, 1/23/20, 2/20/20, 4/9/20, 4/16/20, and 5/28/20**

### 3. RACING PROGRAM

- A. Total number of races: **758 - 951**
- B. Number of races for each day or night:
- 7 – 9 races on weekdays
  - 9 – 11 races on weekends
  - 8 – 11 races on holidays
  - Exceptions:
    - Thursday, December 26<sup>th</sup> will have 7 – 10 races
    - Friday, May 1<sup>st</sup> will have 8 – 10 races
    - Saturday, May 2<sup>nd</sup> will have 9– 12 races
    - Friday, May 15<sup>th</sup> will have 8 – 10 races
    - Saturday, May 16<sup>th</sup> will have 9 – 12 races
    - Saturday, June 5<sup>th</sup> will have 9 – 12 races
    - Sunday, June 6<sup>th</sup> will have 9 - 12 races
- C. Total number of stakes races: **12 (11 Non-Overnight and 1 Overnight)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
  2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**
  3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**
- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes     No    If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

**PRA/GGF WINTER - SPRING 2020**  
**December 26, 2019 - June 7, 2020**  
**STAKES SCHEDULE**

**EL CAMINO REAL DERBY**  
 \$100,000 Guaranteed  
 3 yo - 1 1/8 Miles  
 Saturday, February 15, 2020

**CALIFORNIA DERBY**  
 \$100,000 Guaranteed  
 3 yo - 1 1/16 Miles  
 Saturday, April 25, 2020

**CALIFORNIA OAKS**  
 \$75,000 Guaranteed  
 Fillies 3 yo- 1 1/16 Miles  
 Saturday, April 25, 2020

**LOST IN THE FOG**  
 \$75,000 Guaranteed  
 3 yo & up - 6 Furlongs  
 Saturday, April 25, 2020

**CAMILLA URSO**  
 \$75,000 Guaranteed  
 Fillies & Mares 3 yo & up - 5 Furlongs (Turf)  
 Saturday, April 25, 2020

**GOLDEN POPPY**  
 \$75,000 Guaranteed  
 Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)  
 Saturday, April 25, 2020

**PRA/GGF WINTER - SPRING 2019**  
**December 26, 2018 - June 9, 2019**  
**STAKES SCHEDULE**

**EL CAMINO REAL DERBY**  
 \$100,000 Guaranteed  
 3 yo - 1 1/8 Miles  
 Saturday, February 16, 2019

**CALIFORNIA DERBY**  
 \$100,000 Guaranteed  
 3 yo - 1 1/16 Miles  
 Saturday, April 27, 2019

**CALIFORNIA OAKS**  
 \$75,000 Guaranteed  
 Fillies 3 yo- 1 1/16 Miles  
 Saturday, April 27, 2019

**LOST IN THE FOG**  
 \$75,000 Guaranteed  
 4 yo & up - 6 Furlongs  
 Saturday, April 27, 2019

**CAMILLA URSO**  
 \$75,000 Guaranteed  
 Fillies & Mares 4 yo & up - 5 Furlongs (Turf)  
 Saturday, April 27, 2019

**GOLDEN POPPY**  
 \$75,000 Guaranteed  
 Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)  
 Saturday, April 27, 2019

**PRA/GGF WINTER - SPRING 2018**  
**December 26, 2017 - June 10, 2018**  
**STAKES SCHEDULE**

**CALIFORNIA DERBY**  
 \$100,000 Guaranteed  
 3 yo - 1 1/16 Miles  
 Saturday, January 20, 2018

**CALIFORNIA OAKS (Overnight Stakes)**  
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
 Fillies 3 yo- 1 1/16 Miles  
 Saturday, February 10, 2018

**EL CAMINO REAL DERBY**  
 \$100,000 Guaranteed  
 3 yo - 1 1/8 Miles  
 Saturday, February 17, 2018

**LOST IN THE FOG (Overnight Stakes)**  
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
 4 yo & up - 6 Furlongs  
 Monday, February 19, 2018

**CAMILLA URSO (Overnight Stakes)**  
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
 Fillies & Mares 4 yo & up - 6 Furlongs  
 Saturday, March 17, 2018

**PRA/GGF WINTER - SPRING 2020**  
December 26, 2019 - June 7, 2020  
**STAKES SCHEDULE**

**SF MILE - GIII**  
\$250,000 Guaranteed  
3 yo & up - 1 Mile (Turf)  
Saturday, April 25, 2020

**CAMPANILE (Golden State Series Stakes)**  
\$100,000 Guaranteed  
*(Includes \$37,500 CBRF and \$12,500 CMC)*  
Fillies 3 yo (Cal-Breds) - 1 Mile (Turf)  
Sunday, April 26, 2020

**SILKY SULLIVAN (Golden State Series Stakes)**  
\$100,000 Guaranteed  
*(Includes \$37,500 CBRF and \$12,500 CMC)*  
3 yo (Cal-Breds) - 1 Mile (Turf)  
Sunday, April 26, 2020

**ALCATRAZ**  
\$75,000 Guaranteed  
3 yo - 1 Mile (Turf)  
Sunday, May 17, 2020

**ALL AMERICAN - GIII**  
\$100,000 Guaranteed  
3 yo & up - 1 Mile  
Monday, May 25, 2020

**ALBANY**  
*Overnight Stakes*  
\$50,000 Added (Plus \$15,000 to Cal-Breds)  
3 yo & up - 5 Furlongs (Turf)  
Saturday, June 6, 2020

**PRA/GGF WINTER - SPRING 2019**  
December 26, 2018 - June 9, 2019  
**STAKES SCHEDULE**

**SF MILE - GIII**  
\$250,000 Guaranteed  
3 yo & up - 1 Mile (Turf)  
Saturday, April 27, 2019

**CAMPANILE (Golden State Series Stakes)**  
\$100,000 Guaranteed  
*(Includes \$37,500 CBRF and \$12,500 CMC)*  
Fillies 3 yo (Cal-Breds) - 1 Mile (Turf)  
Sunday, April 28, 2019

**SILKY SULLIVAN (Golden State Series Stakes)**  
\$100,000 Guaranteed  
*(Includes \$37,500 CBRF and \$12,500 CMC)*  
3 yo (Cal-Breds) - 1 Mile (Turf)  
Sunday, April 28, 2019

**ALCATRAZ**  
\$75,000 Guaranteed  
3 yo - 1 Mile (Turf)  
Sunday, May 19, 2019

**ALL AMERICAN - GIII**  
\$100,000 Guaranteed  
3 yo & up - 1 Mile  
Monday, May 27, 2019

**ALBANY**  
*Overnight Stakes*  
\$50,000 Added (Plus \$15,000 to Cal-Breds)  
3 yo & up - 5 Furlongs (Turf)  
Saturday, June 8, 2019

**PRA/GGF WINTER - SPRING 2018**  
December 26, 2017 - June 10, 2018  
**STAKES SCHEDULE**

**SF MILE - GIII**  
\$250,000 Guaranteed  
3 yo & up - 1 Mile (Turf)  
Saturday, April 28, 2018

**CAMPANILE (Golden State Series Stakes)**  
\$100,000 Guaranteed  
*(Includes \$37,500 CBRF and \$12,500 CMC)*  
Fillies 3 yo (Cal-Breds) - 1 Mile (Turf)  
Sunday, April 29, 2018

**SILKY SULLIVAN (Golden State Series Stakes)**  
\$100,000 Guaranteed  
*(Includes \$37,500 CBRF and \$12,500 CMC)*  
3 yo (Cal-Breds) - 1 Mile (Turf)  
Sunday, April 29, 2018

**ALCATRAZ**  
\$75,000 Guaranteed  
3 yo - 1 Mile (Turf)  
Sunday, May 20, 2018

**ALL AMERICAN - GIII**  
\$100,000 Guaranteed  
3 yo & up - 1 Mile  
Monday, May 28, 2018

**ALBANY**  
*Overnight Stakes*  
\$50,000 Added (Plus \$15,000 to Cal-Breds)  
3 yo & up - 5 Furlongs (Turf)  
Saturday, June 9, 2018

Race No.	All weekdays during the meet, and all weekends between December 26, 2019 – April 26, 2020	Race No.	All weekends beginning May 3, 2020
1st	12:45 p.m.	1st	1:15 p.m.
2nd	1:15 p.m.	2nd	1:45 p.m.
3rd	1:45 p.m.	3rd	2:15 p.m.
4th	2:15 p.m.	4th	2:45 p.m.
5th	2:45 p.m.	5th	3:15 p.m.
6th	3:15 p.m.	6th	3:45 p.m.
7th	3:45 p.m.	7th	4:15 p.m.
8th	4:15 p.m.	8th	4:45 p.m.
9th	4:45 p.m.	9th	5:15 p.m.
10 <sup>th</sup> (when applicable)	5:15 p.m.	10 <sup>th</sup> (when applicable)	5:45 p.m.
11 <sup>th</sup> (when applicable)	5:45 p.m.	11 <sup>th</sup> (when applicable)	6:15 p.m.
12 <sup>th</sup> (when applicable)	6:15 p.m.	12 <sup>th</sup> (when applicable)	6:45 p.m.

**Exceptions:**

Thursday, December 26, 2019	Opening Day	12:15 p.m.
Sunday, February 9, 2020	Super Bowl	11:15 a.m.
Saturday, May 2, 2020	Kentucky Derby	11:45 a.m.
Saturday, May 16, 2020	Preakness	11:45 a.m.
Monday, May 25, 2020	Memorial	12:45 p.m.
Saturday, June 6, 2020	Belmont Stakes	11:45 a.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**
- C. CORPORATION
- Registered name of the corporation: **Pacific Racing Association**
  - State where incorporated: **California**
  - Registry or file number for the corporation: **0480316**  
**Federal ID #94-1585367 California ID #173-1919-5**
  - Names of all officers and directors, titles, and the number of shares of the corporation held by each:

**Tim Ritvo, President (Officer), 0 Shares**  
**Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**  
**Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares**  
**Greg Harnish, Assistant Secretary (Officer/Director), 0 Shares**  
**Rebecca Neimark, Chief Financial Officer (Officer), 0 Shares**  
**Scott Daruty, Senior Vice President (Officer), 0 Shares**  
**David Duggan, Vice President & General Manager (Officer), 0 Shares**

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Laura Proniuk in corporate office (Canada); Email: Laura.Proniuk@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**TSG Development Investments Inc.**
  - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the Board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

**D. LLC**

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:  
**Not applicable**
5. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**Not applicable**
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No

7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed: **Not applicable**

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with Board**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

**Tim Ritvo, President**  
**Mike Rogers, Vice President, Operations**  
**Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary**  
**Greg Harnish, Assistant Secretary**  
**Scott Daruty, Senior Vice President**  
**David Duggan, Vice President & General Manager**  
**Rebecca Neimark, Chief Financial Officer**

**Cindy Medina, Assistant Controller**  
**Merry Scalzo, Director of Administration**  
**Doug Gooby, Mutuel Manager**  
**William Rizzuto, Facility Manager**  
**Dan Cirimele, Senior Director of Marketing**  
**Ryan Hilton, Marketing/Publicity Manager**  
**William Rossi, Public Safety Director**  
**Matt Dinerman, Announcer**  
**Juan Meza, Track Superintendent**  
**Steve Martinelli, Price Maker**  
**William Vassar, Track Photographer**  
**David Seftel, M.D., Track Physician**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**David Duggan**  
**Vice President and General Manager**  
**1100 Eastshore Hwy**  
**Berkeley, CA 94710**  
**david.duggan@goldengatefields.com**

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: **Pick 5**  
**Daily Double**

Proposed percentage: **14%**  
**20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Attached**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

# Golden Gate Fields

September 6, 2019

Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 200  
Sacramento, CA 95825

Dear Rick:

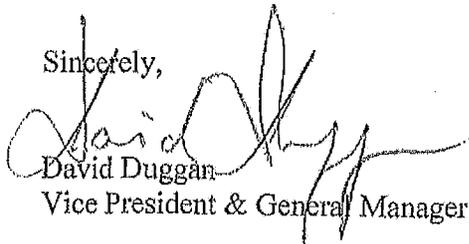
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), in consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA winter/spring race meet, December 26, 2019 through June 7, 2020 all inclusive.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,

  
David Duggan  
Vice President & General Manager

Pacific Racing Association  
1100 Eastshore Highway, Berkeley, California 94710



August 18, 2019

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2019-20 Winter-Spring Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2019 – June 9, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Merry Scalzo



August 18, 2019

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2019-20 Winter-Spring Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 26, 2019 – June 9, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Merry Scalzo, GGF

Year	Handle	Attendance
2019 (12/26/18-6/9/19)	\$ 31,385,502.70	146,380 (94 live race days)
2018 (12/26/17-6/10/18)	\$ 34,006,914.29	172,462 (95 live race days)
2017 (12/26/16-6/18/17)	\$ 37,682,905.50	187,631 (99 live race days)
2016 (12/26/15-6/12/16)	\$ 36,428,245.30	177,602 (97 live race days)
2015 (12/26/14-6/14/15)	\$ 38,306,734.90	213,319 (98 live race days)

**7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):**

**A. Purse distribution: *Prior meet represents December 26, 2018 through June 9, 2019 (94 race days)***

1. All races other than stakes:

Current meet estimate: \$ 14,468,161.00

Prior meet actual: \$ 14,231,068.00

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$ 153,916.61

Prior meet actual: \$ 151,394.34

2. Overnight stakes:

Current meet estimate: \$ 50,000.00

Prior meet actual: \$ 50,000.00

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$ 531.91

Prior meet actual: \$ 531.91

3. Non-overnight stakes:

Current meet estimate: \$ 950,000.00 (Doesn't include \$100,000.00 CMC/CBRF supplement)

Prior meet actual: \$ 1,009,650.00

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$ 10,106.38

Prior meet actual: \$ 10,740.96

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 15,468,161.00

Prior meet actual: \$ 15,290,718.00

**B. California-bred Stakes Races:**

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 19.05%

Prior meet actual: 19.81%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: .20%

Prior meet actual: .21%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: **\$ 1,754,885.00**  
 Prior meet actual: **\$ 1,737,734.00**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

<b>TOC (NTRA contribution)</b>	<b>\$ 251,378.00</b>	<b>TOC (NTRA contribution)</b>	<b>\$ 239,891.00</b>
<b>TOC</b>	<b>\$ 143,644.00</b>	<b>TOC</b>	<b>\$ 137,080.00</b>
<b>CTT (Administration)</b>	<b>\$ 143,644.00</b>	<b>CTT (Administration)</b>	<b>\$ 137,080.00</b>
<b>CTT (Pension)</b>	<b><u>\$ 71,822.00</u></b>	<b>CTT (Pension)</b>	<b><u>\$ 68,540.00</u></b>
<b>Total</b>	<b>\$ 610,488.00</b>	<b>Total</b>	<b>\$ 582,591.00</b>

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):  
 Current meet estimate: **\$ 17,833,534.00**  
 Prior meet actual: **\$ 17,611,043.00**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$ 189,718.44**

Prior meet actual: **\$ 187,351.52**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):  
 Current meet estimate: **\$ 6,689,903.00**  
 Prior meet actual: **\$ 6,623,667.00**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$ 71,169.18**

Prior meet actual: **\$ 70,464.54**

- G. Purse funds to be generated from interstate handle:  
 Current meet estimate: **\$ 9,227,468.00**  
 Prior meet actual: **\$ 9,239,144.00**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$ 98,164.55**

Prior meet actual: **\$ 98,288.77**

- H. Bank and account number for the Paymaster of Purses' purse account: **On file**

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
**Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigbmiller@verizon.net**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt,

be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

**PRA /PRA II/GOLDEN GATE FIELDS  
EQUINE EMERGENCY SERVICES**

**Attachment to PRA/Golden Gate Fields License Application  
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	510-559-7300
	Dr. Steve Boyer	510-559-7300
	Dr. William Grantham *	510-559-7300
	Dr. Kim Kuhlmann	510-559-7300
	Dr. Steve Matuszak	510-559-7300
	Dr. Jerry Parker	510-559-7300
	Dr. Raina Petrov	510-559-7300
	Dr. Don Smith	510-559-7300
	Dr. Sara Sporer	510-559-7300
	Dr. Ashton Cloninger *	510-559-7300

\* Race day Lasix veterinarian

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>
	Mondays: Closed
	Tuesdays: AM Only – TBD
	Wednesdays: AM Only – TBD
	Thursdays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Fridays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Saturdays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Sunday: AM – Dr. Sara Sporer PM – Dr. William Grantham

**TBD – Rotating schedule amongst list from exhibit A**

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

**See Attached for wagering menu, bet minimum, rules, and additional wagering information**

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **N/A**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **June 7, 2020 for the period of December 26, 2019 through June 6, 2020**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**Advance wagering will be offered Friday, May 1, 2020 for the Kentucky Derby, Friday, May 15, 2020 for the Preakness, and on Friday, June 5, 2020 for the Belmont Stakes**
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
**AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025**
- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE  
 (Example) PNP5-14%

**See attached wagering menu.**

**11. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
**Xpressbet**  
**TwinSpire\* (BetAmerica is not included)**  
**TVG**

Golden Gate Fields Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Group Bet
1	X	X	X	X	X	X	X		X			X	*
2	X	X	X	X	X	X	X	X			*	X	*
3	X	X	X	X	X	X	X			*	*	X	*
4	X	X	X	X	X	X	X	*		*	*	X	*
5	X	X	X	X	X	X	X	*		*	*	X	*
6	X	X	X	X	X	X	*	*		*	*	X	*
7	X	*	X	X	X	*	*	*		*		X	*
8	X	*	X	X	X	*	*	*		*		X	*
9	X	*	X	X	X	*	*	*				X	*
10	X	*	X	X	X	*	*					X	*
11	X	*	X	X	X	*						X	*
12	X		X	X	X							X	*

\*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) <sup>1</sup> ; ARCI 004-105(G)(16)(b)(ii) <sup>2</sup>	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Group Bet (Horse Racing Roulette)	ARCI-004-105 Part II(D) Group Bet Method 2	15.43%	\$2 Horse Racing Roulette bet is available on every eligible race.

<sup>1</sup> We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

<sup>2</sup> Only if the request made in the letter dated September 19, 2019 is denied.

**NYRABets  
BetAmerica\***

**\*Contract negotiation on going.**

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
**On file with the Board, except as noted above**
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Forwarded to Board upon receipt**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**N/A**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**N/A**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
**Attached  
(Southern California only)**
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
**See Attached**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:  
**Attached**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
**Attached**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":  
**See attached.**

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

## Pari-Mutuel Satellite Supervisor Contact List - Day Meets

### Southern California Locations:

<p>Location      <b>Bakersfield-Firehouse</b>                      Address      7701 White Lane                      City          Bakersfield, CA 93307</p>	<p>Location      <b>OC Tavern Sports Bar and Grill</b>                      Address      2369 S. El Camino Real                      City          San Clemente, CA 92672</p>
<p>Location      <b>Bonita-58 Flat</b>                      Address      4110 Bonita Road                      City          Bonita, CA 91902</p>	<p>Location      <b>Original Roadhouse Grill / Santa Maria</b>                      Address      1423 S. Bradley Road                      City          Santa Maria, CA 93454</p>
<p>Location      <b>Cabazon-Fantasy Springs Casino</b>                      Address      84-245 Indio Springs Drive                      City          Indio, CA 92201</p>	<p>Location      <b>Sammy's Original</b>                      Address      23221 Lake Center Drive                      City          Lake Forest, CA 92630</p>
<p>Location      <b>Commerce Casino Racebook</b>                      Address      6131 East Telegraph Road                      City          Commerce, CA 90040</p>	<p>Location      <b>Santa Anita</b>                      Address      285 W. Huntington Drive                      City          Arcadia, CA 91007</p>
<p>Location      <b>Fairplex Park</b>                      Address      2201 North White Avenue                      City          Pomona, CA 91768</p>	<p>Location      <b>Santa Clarita</b>                      Address      21615 W. Soledad Canyon Road                      City          Saugus, CA 91350</p>
<p>Location      <b>Hollywood Park</b>                      Address      3383 W. Century Blvd.                      City          Inglewood, CA 90303</p>	<p>Location      <b>Striders</b>                      Address      100 Harbor Drive, Suite 100                      City          San Diego, CA 92101</p>
<p>Location      <b>Lake Elsinore Hotel &amp; Casino</b>                      Address      20930 Malaga Road                      City          Lake Elsinore, CA 92530</p>	<p>Location      <b>Surfside Race Place at Del Mar</b>                      Address      2260 Jimmy Durante Blvd                      City          Del Mar, CA 92014</p>
<p>Location      <b>Lake Perris-Sports Pavillion at The Farmer's Fair</b>                      Address      18700 Lake Perris Drive                      City          Perris, CA 92571</p>	<p>Location      <b>Thousand Oaks -Tilted Kilt</b>                      Address      1345 E. Thousand Oaks Blvd.                      City          Thousand Oaks, CA 91360</p>
<p>Location      <b>Lancaster-Watch and Wager, Antelope Valley Fair</b>                      Address      2551 West Avenue - H                      City          Lancaster, CA 93536</p>	<p>Location      <b>Ventura-The Derby Club</b>                      Address      10 West Harbor Blvd.                      City          Ventura, CA 93001</p>
<p>Location      <b>Los Alamitos</b>                      Address      4961 Katella Avenue                      City          Los Alamitos, CA 90720</p>	<p>Location      <b>Victorville-San Bernardino Fair Sports Pavilion</b>                      Address      14800 7th Street                      City          Victorville, CA 92395</p>
<p>Location      <b>National Orange Show-Sports Show</b>                      Address      930 South Arrowhead Avenue                      City          San Bernardino, CA 92408</p>	<p>Location      <b>Viejas Casino &amp; Turf Club</b>                      Address      5000 Willows Road                      City          Alpine, CA 91901</p>
<p>Location      <b>Ocean's 11</b>                      Address      121 Brooks Street                      City          Oceanside, CA 92054</p>	<p>Location      <b>Pechanga Resort and Casino</b>                      Address      45000 Pechanga Pkwy                      City          Temecula, CA 92592</p>

## 2018 Golden Gate (PRA & PRA II) Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Marywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutual
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	ML Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Accf Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thisledown
Canterbury	Racebets		Toga Downs
Capital District OTB	Greenetrack	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	Northville	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA	Turfway Park
Chester Downs & Marina LLC	Gulfstream	NYRA Account Wagering	TVG Chester
Churchill Downs	Harrington Raceway	Oaklawn	TVG Network
Club Hiplca InTurf	HarringtonBets (DE regional)	Ocean Downs	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	Panama	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX	TwinSpires
Colonial Downs Phone Bet	Hazel Park	PARX Phone Bet	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Penn National Telebet	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Peru	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Race Course	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Plainridge Telephone Wagering	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Player Management Group	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Account Wagering	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Pocono Downs and OTB	Wyoming OTB
Williamantic, Sanford OTB	Kentuck Downs	Portland Meadows	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Potawatomi Casino/ OTB	XpressBet
Connecticut OTB ADW	Lebanon	Prairie Meadows	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Premier Turf Club	Yonkers ADW
Paragon Casino	Lewiston OTB's	Presque Isle Downs	Youbet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Raceway Park	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day LLC	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Racing2Day Intl. (Stan James)	
Pony Bar Simulcast Center	Rumors OTB	Remington Park	
Tote Investment Racing	Skydancer Casino OTB		Remington OTB Network
Randall James Racetrack	Lien Games ADW	Retama	
Millenium Racing	BetAmerica and Offtrackbetting	Racing & Gaming Services	Separate Pool Locations
Royal Beach Casino	Lone Star	Rillito Park	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Park	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Rockingham Account Wagering	MIR Books (Callente)
Corpus Christi Greyhound	Avatar Ventures	Ruidoso Downs	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Running Aces Harness Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Saddle Brook Park	Tallsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Sam Houston	New Zealand Racing Board
		Valley Greyhound Park	

### Canadian Locations

Alberta Downs, Assiniboia, Barrre, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hlawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Plover Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

## 2020 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>None.</b>		

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>None.</b>		

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
<b>None</b>			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).  
**Forwarded to the Board upon receipt.**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**

- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
 

Association Veterinarian(s)	<b>Sara Sporer, D.V.M (Examining Veterinarian)</b>
	<b>Forrest Franklin, D.V.M. (CHRB Veterinarian)</b>
	<b>William Grantham, D.V.M. (Track Veterinarian)</b>
Clerk of Scales	<b>Ken Sjoldal</b>
Assistant Clerk of Scales	<b>Ross Allardyce</b>
Clerk of the Course	<b>Tina Walker-Bryant</b>
Placing Judge/Stakes Coordinator	<b>Lisa Jones</b>
Horse Identifier	<b>Darrell Sparks</b>
Horseshoe Inspector	<b>Agustin Rayas</b>
Paddock Judge	<b>Linda Anderson</b>
Patrol Judge/Steward's Aide	<b>Tammy McDuffie-Morris</b>
Placing Judge/Film Analyst	<b>Morgann Schlessler</b>
Patrol Judge/Placing Judge	<b>John Leon</b>
Starter	<b>Todd Stephens</b>
Timer	<b>Jelina Pike</b>
  
- B. Management officials in the racing department:
 

Director of Racing	<b>Patrick Mackey</b>
Racing Secretary	<b>Patrick Mackey</b>
Assistant Racing Secretary	<b>Steve Martinelli &amp; Linda Anderson</b>
Paymaster of Purses	<b>Claudia Dominguez</b>
Others (identify by name and title)	
Main Track Superintendent	<b>Juan Meza</b>
Turf Track Superintendent	<b>Hugo Sandoval</b>
Price Maker	<b>Steve Martinelli</b>
Stable Superintendent	<b>Carrie Fawcett</b>
Steward's Secretary/Horsemen Liaison	<b>Devon Dunham</b>
  
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**  
**Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2019.**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **GPS timing system; Axcis Information Network, Inc., dba TrackMaster, an Equibase Company (effective 3-1-18). Contract expires 12/31/19.**

**15. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**William Rossi, Public Safety Director is responsible for security on-site**  
**Security Department organizational attached**  
**Security Department phone number (510) 559-7370**  
**Stable Security phone number (510) 542-4235 or (510) 559-7525**  
**William Rossi cell phone number (510) 773-7873**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

<b>1 – Public Safety Director</b>	<b>7 – Security Corporals/Guards</b>
<b>2 – Security Lieutenant</b>	<b>8 – Stable Security Officers</b>
<b>4 – Security Sergeants</b>	<b>5 – Stable Gate Personnel</b>
<b>1 – Stable Security Sergeant</b>	<b>5 – Fire Guards</b>
<b>1 – Fire Guard Lieutenant</b>	

- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

- 2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

- 6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over**
    - 24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

- B. Number of security guards in the detention stall area during a 24-hour period.  
**Four Security Guards**

- C. Describe number and location of surveillance cameras in detention stall area.  
**Entire surveillance camera system in the barn area has been upgraded by Geovision in June 2019 to include extended memory of 90 days. The camera equipment is connected**

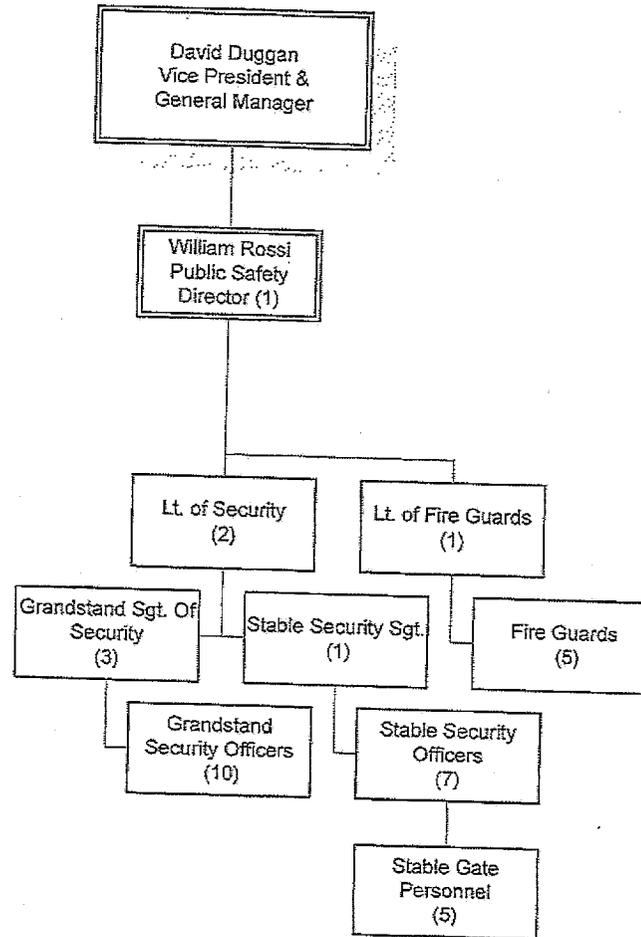
**Attachment to PRA / Golden Gate Fields License Application  
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole  
3/8 Pole  
1/4 Pole  
Paddock  
Paddock (Gliding)  
(2) Pan Cameras on Roof  
Jib camera in Paddock  
Starting Gate (Robo)  
Parking Lot (Robo-scenic)

PACIFIC RACING ASSN / GOLDEN GATE FIELDS  
SECURITY DEPARTMENT ORGANIZATIONAL CHART  
ATTACHMENT 15A



**Attachment to PRA / Golden Gate Fields License Application**  
15B (1) and 15B (2):

**SECURITY CONTROLS**

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to William Rossi, , Public Safety Director which includes the following information:
  1. Name of horse
  2. Name of trainer
  3. Barn number
  4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRFB, are called in the morning of the race to meet with the Public Safety Director for the following instruction:
  - A. An overview of the race track operating procedures
  - B. A briefing of their duties throughout the day
  - C. All guards are asked to sign out for their specific video camera
  - D. A form is provided by the CHRFB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
  - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
  - F. Instruction is given to monitor any and all suspicious activity and to contact Public Safety Director if and when suspicious activity occurs.
  - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
  - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
  - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Public Safety Director. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

to a digital recording system which is kept in a secured area with a power back-up in place. There are a total of 25+ cameras strategically placed throughout the barn area. Receiving Barn includes four (4) fixed cameras placed over designated stalls, one (1) 360° fish-eye camera in center of walking ring, and (2) fixed cameras outside on east side of Receiving Barn.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.  
**Will follow CHRB staff directives**

B. Plan for enhanced surveillance for trainers with high-test results.  
**Contractual obligations with TOC, CTT, and CHRB directives will be followed**

C. Plan for detention stalls for repeat offenders.  
**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB**

D. Number of security personnel assigned to the TCO2 program.  
**As needed per the advice of CHRB staff**

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**Entire surveillance camera equipment in the barn area upgraded by Geovision in June 2019. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot surveillance include the following: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate (2 cameras facing incoming and 1 camera facing outgoing), one (1) camera located on roof of Stable Security Office looking out into barn area, two (2) cameras in O/T parking lot parking lot, three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance, and numerous cameras surveilling roadways, Racing Office, CHRB office, gap gate/chute, etc.**

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,  
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**

1. Attach a certification from the ambulance service (s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**N/A**

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 6, 2019

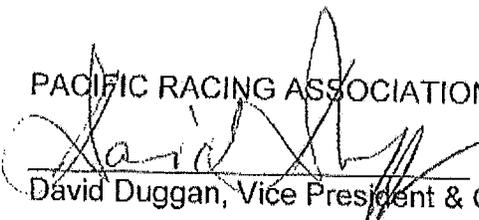
David Duggan  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2020 race meetings.

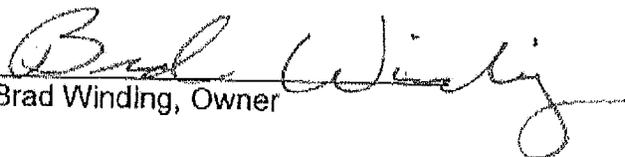
It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS

  
David Duggan, Vice President & General Manager

TURF RESCUE, LLC

  
Brad Winding, Owner

**TURF RESCUE, LLC**

---

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

---

September 6, 2019

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2020

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC



# GOLDEN GATE FIELDS

## DESCRIPTION OF GGF MEDICAL CLINIC (LIVE RACING)

### Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

### Staffing

- Physician Medical Director ("Track Physician").

### Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

### Availability

- One-hour before first posted race until one-to-two hours  
Following last posted race;
- Extended hours for necessary follow-up care and/or upon  
Request from track management or CHRB.

- C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**If quarter horse racing association sees D.1.:**  
**Dr. David Seftel**  
**Office Number: 510-559-7375, Cell Number: 650-520-6204**
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31<sup>st</sup> Street, Oakland, CA 94602 (510) 437-4865**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Roy Roenbeck, Director of Environmental, Health & Safety**  
**William Rizzuto, Facility Manager serves as Assistant Safety Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Albany fire clearance letter attached valid through December 31, 2019**  
**Berkeley fire clearance letter attached valid through June 3, 2020**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-019 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
**Workers' Compensation COI attached valid through June 30, 2020 – Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**EMERGENCY MEDICAL PROCEDURES  
-LIVE RACING-  
FOR ON-TRACK INJURY TO JOCKEYS**

**"FIRST RESPONSE"**

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 (*or the accident may very well be witnessed directly by the Track Physician*);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



**"ON-SITE EVALUATION"**

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance (*a Fire Dept. truck shall also respond*).



**"INJURY TREATMENT"**

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance (*accompanied by the Track Physician*) at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



**"POST INJURY ACTIVITIES"**

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*

## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS- PARA HERIDAS A JINETES EN LA PISTA

### "PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



### "TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (acompañado por el médico de la pista) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de Jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

*Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.*

**EMERGENCY MEDICAL PROCEDURES  
-MORNING TRAINING-  
FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS**

**"FIRST RESPONSE"**

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");  
*(or the accident may very well be witnessed directly by the Ambulance Crew);*
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



**"ON-SITE EVALUATION"**

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



**"INJURY TREATMENT"**

- If an injured jockey(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
  - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



**"POST INJURY ACTIVITIES"**

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*

## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

### "PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") *(o tal vez el equipo de la ambulancia presencia el accidente directamente)*;
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany *(también podrá actuar un camión del Departamento de Bomberos)*.



### "TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
  - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

# ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE

ALBANY, CA 94706

510 528-5770

[www.AlbanyCA.org/fire](http://www.AlbanyCA.org/fire)

Roy Roenbeck  
Environmental Health & Safety Director  
Pacific Racing Association/Golden Gate Fields  
1100 Eastshore Highway  
Albany California' 94706

December 17, 2018

Mr. Roenbeck,

Fire Inspectors from the Albany Fire Department together with Leonard Townsend of Golden Gate Fields (Fire Watch) conducted a Fire and Life Safety inspection on December 11, 2018. Public Areas within the Grandstand and the associated facilities were evaluated for preparation of the 2019 Racing Season. Clearance for the facility is granted for all aspects of your operations.

It is important to note that operations beyond the scope of normal racetrack activities may require additional inspections as needed, such as temporary tent structures in the North Parking Lot, etc.

Please contact our office with any questions.

James S Jorgensen  
Fire Inspector  
Albany Fire Department  
(510) 528-5775



Department of Fire and Emergency Services  
Division of Fire Prevention

June 3, 2019

Roy Roenbeck  
Environmental Health & Safety Director  
Pacific Racing Association/Golden Gate Fields  
1100 Eastshore Highway  
Berkeley, CA 94710  
Phone (510) 559-7300 (main office)  
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, May 15, 2019, the City of Berkeley Fire Prevention Division conducted an annual inspection of the barns and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Monday, June 3, 2019. Upon re-inspection of the facilities, it was found that there were nine outstanding fire code corrections needed. It is our understanding that these shall be abated by the end of the week.

Continual improvements that Golden Gate Fields has agreed to complete include:

- Replacing all existing standalone smoke alarms with combination carbon monoxide and smoke alarms with a 10-year battery.
- Painting a red line in the barns to indicate the maximum height of hay storage.
- Educating boarders on the use of extension cords by posting flyers in each boarding room stating that extension cords are not allowed to be used, surge protectors are allowed, and that large appliances shall be plugged directly to a wall receptacle.
- Maintaining the sprinklers to be clear of dust and cobweb build-up.
- Installing hand grips to the exterior escape ladders on barns with boarding rooms on the second level to assist in an emergency escape using the ladders.

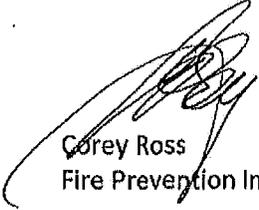
These facilities are considered to be compliant with the fire code until the next scheduled inspection unless and until we receive information to the contrary. Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or [dtieu@cityofberkeley.info](mailto:dtieu@cityofberkeley.info).

Thank YOU and the ENTIRE Golden Gate Fields family in working diligently to improve the fire & life safety of the barns and stables.

Sincerely,



Dori Tieu  
Fire Prevention Inspector, City of Berkeley Fire Department



Corey Ross  
Fire Prevention Inspector, City of Berkeley Fire Department



Ryan Adams  
Fire Prevention Inspector, City of Berkeley Fire Department



# Certificate of Insurance

No.: 2019-1-PACIF

Dated: June 28, 2019

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

<b>Certificate Holder:</b> California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	<b>Named Insured and Address:</b> 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9  <b>Subsidiary/Divisions:</b> Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706  and  Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
---	--

**This certificate is issued regarding:**

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-17	Jun 30, 2019 to Jun 30, 2020	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-019	Jun 30, 2019 to Jun 30, 2020	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

**Additional Information:**

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

**Notice of cancellation:**

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

<b>Marsh Canada Limited</b> 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  <div style="text-align: right;">             By: _____            Matthew Warnholtz         </div>
---	---

**17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Armored Car**                    **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**
- Track Physician**            **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
- Official Program**            **Wesco Graphics Inc., 410 East Grant Line Rd, Tracy, CA 95376**
- Starting Gate**                **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**
- Track Photographer**   **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions?    Yes        No   

**18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with Board**
- B. Promotional/ Marketing budget for this race meeting: **On file with Board**

Promotional/Marketing budget for prior race meeting: **On file with Board**

- C. Number of hosts and hostesses employed for meeting:
  - 1 Customer Service Representative**
  - 1 XpressBet Ambassador**
  - 5 Group Sales Representatives**

D. Describe facilities set aside for new fans:

**Customer Service** – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

**Xpressbet Customer Service** – An Xpressbet ambassador is open year round with a trained service representative to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards

**Mutuel Newcomer Window** - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

**Group Sales Contest Area** – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

- 1. Horsemen
  - **Replaced turf course rail (inside and outside) with PVC by Duralock**
  - **Upgraded entire surveillance system in the barn area**
  - **Remodeled Jockey’s Room**

- Replaced Tapeta® in Paddock area
  - Remodeled Racing Office and balcony viewing area
  - Built new office for the TOC (Horse Wizard in Club House) in close proximity to Turf Club/Club House entrance
  - Capital barn improvements in the barn area
  - Commissioning artist to provide mural for Paddock wall
  - Continuation of laundry service for the Jockey's Room by GGF
  - Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December
  - Continual roadway, horse paths, and barn repairs
2. Fans
- Upgraded entire surveillance system; total of 106 cameras installed throughout the frontside facility
  - Created new family area on A Deck complete with fencing, artificial turf, BBQ's, etc. (Golden Gate Green) which includes games to play in-between races
  - Hired Wagering Ambassadors to assist fans with questions regarding racing and wagering
  - Remodeling Paddock Pub with projection screen TV, furniture, and flooring
  - Upgraded televisions in Grandstand to enhance viewing pleasure
  - Providing tours of the front side facility to fans upon request
  - Creating a portable selfie station for picture taking
  - Created a red top area on Mezzanine to add additional customer service
  - Installing new BBQ on Grandstand Apron
  - Renovated the Turf Club buffet stand
  - Continual parking lot and roadway repairs
3. Facilities in the restricted areas Equine Hospital

**19. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00
Admission (club house)	\$10.00
Admission (turf club)	\$12.00 Weekdays
Admission (turf club)	\$15.00 Weekends/Holidays
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 6.00
Parking (preferred)	\$12.00
Parking (valet)	\$12.00
Programs (on-track)	\$ 2.75
(off-track)	\$ 2.75

**Thoroughbred Club Members**

**Bundled Pricing includes free program**

<b>General Admission:</b>		<b>Club House Admission:</b>	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00
21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

**Thoroughbred Club Prepaid Admission/Program Packages**

**TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each  
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each  
 Horsemen Free**

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

<b>Turf Club – Full Season Single Membership</b>	<b>\$ 1,000.00</b>
<b>Turf Club – Full Season Dual Membership</b>	<b>\$ 2,000.00</b>
<b>Top of the Stretch – Full Season Membership</b>	<b>\$ 500.00</b>
<b>Club House Box (4 seats, no admission)</b>	<b>\$ 450.00</b>
<b>Club House Season Pass (Admission only)</b>	<b>\$ 400.00</b>
<b>Grandstand Season Pass (Admission only)</b>	<b>\$ 200.00</b>

C. Describe any "package" plans such as combined parking, admission and program:  
**None**

**20. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

**21. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

**CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett**

B. Number of rooms used for housing on the backstretch of the racetrack: **140**

C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**

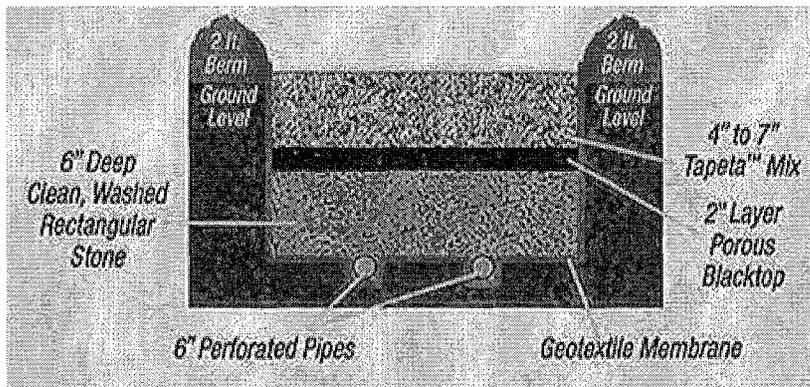
D. Estimated ratio of restroom facilities to the number of backstretch personnel:  
**1 restroom for every 12 people**

**22. TRACK SAFETY**

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:

**Tapeta® Footings Synthetic Track Surface**



- C. The percent of cross slope in the straight-aways is: **1.5%**  
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Main Track:** Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.  
**Turf Course:** Inner and outer railings are PVC by Duralock. Approximate height of the top of the inner railing is 50 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

**23. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**TOC agreement forwarded to Board upon receipt**  
**CTT agreement forwarded to Board upon receipt**

- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

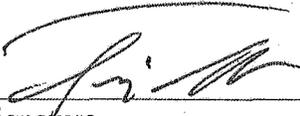
**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Tim Ritvo  
Print Name

President  
Print Title

  
Signature

9/16/19  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF  
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO  
ESTABLISH CONDITIONS, BETWEEN THE PACIFIC RACING ASSOCIATION (PRA)  
AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC) REGARDING  
ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO  
BE IMPLEMENTED BY THE PRA AT ITS (DATES TO BE DETERMINED) RACE  
MEETING AT THE GOLDEN GATE FIELDS RACETRACK

Regular Board Meeting  
November 21, 2019

## ISSUE

[CHRB Rule 1581](#), Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California (TOC) and the Board. Since March of 2019, Pacific Racing Association has conditioned all races run at Golden Gate Fields to be run with reduced levels of furosemide (Lasix) as well as a required 14-day stand down for all intra-articular injections. Presently, the parties are discussing whether an agreement will be reached to similarly condition races run a Golden Gate Fields to be run with reduced levels of Lasix and a required 14-day stand down for all intra-articular injections

## BACKGROUND

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [Business and Professions Code section 19562](#) provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

## ANALYSIS

A request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to

provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Should PRA desire to continue to condition races run at Golden Gate Fields with reduced levels of Lasix and a required 14-day stand down for all intra-articular injections an agreement with the horseman's organization, here the TOC, must be reached and submitted to the Board for approval.

#### RECOMMENDATION

Staff recommends the Board hear from representatives of PRA and the TOC.

CALIFORNIA HORSE RACING BOARD

NOVEMBER 21, 2019  
REGULAR BOARD MEETING

**There is no board package material for Item 27**