

9. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1489, Grounds for Denial or Refusal of License**, to amend the criteria to be used when considering the denial, suspension or revocation of an occupational license. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
10. Public hearing and action by the Board regarding **the proposed addition of CHRB Rule 1489.2, Rehabilitation Criteria for Evaluation of Denied, Suspended or Revoked Occupational License**, to establish criteria to be used to evaluate the rehabilitation of an applicant when considering the denial, suspension or revocation of an occupational license. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
11. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1658, Vesting of Title to Claimed Horse**, to provide that a claim shall be voided by the stewards if the racing or official veterinarian determines the horse will be placed on the Veterinarian's List as "bled". (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
12. Discussion and action by the Board regarding **an update from TVG and HRTV regarding the recent merger of the two broadcasting companies, the impact the merger has on California racing and TVG/HRTV plans for broadcasting California horse races in the future.**
13. Report from the **Stewards Committee.**
14. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Richard Rosenberg, Vice Chairman
Madeline Auerbach, Member
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

CALIFORNIA HORSE RACING BOARD
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**PENDING ADMINISTRATIVE ADJUDICATIONS
NOVEMBER 2015**

CASE

- A. **Proposed Decision**
Owner Raul Sosa
Case Number: 15LA0150

- B. **Proposed Decision**
Trainer Silverio Martinez
Case Number: 15LA0147

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track**, 285 West Huntington Drive, Arcadia, California, on **October 22, 2015**.

Present: Chuck Winner, Chairman
 Richard Rosenberg, Vice-Chairman
 Madeline Auerbach, Member
 Steve Beneto, Member
 George Krikorian, Member
 Jesse H. Choper, Member
 Alex Solis, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF AUGUST 20, 2015

Chairman Winner asked for approval of the minutes of the Regular Meeting of August 20, 2015. Commissioner Choper **motioned** to approve the minutes. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

APPROVAL OF THE MINUTES OF SEPTEMBER 16, 2015

Chairman Winner asked for approval of the minutes of the Regular Meeting of September 16, 2015. Commissioner Krikorian **motioned** to approve the minutes. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Baedeker reported that year-to-date daytime handle increased by 2.6 percent and nighttime handle increased 8.9 percent, with an overall gain of 3.2 percent over the same time in 2014. As Santa Anita hosted the Breeders Cup in 2014, but did not host it in 2015, a shortfall was expected for October 2015. Commissioner Choper said he appreciated the "Comments on the September Handle Comparison Report" image for handle in the packages given to Commissioners. It was a helpful tool and he wanted it included in the future. Executive Director Baedeker announced the Board appointed former jockey Darrel McHargue to the newly created position of Chief Steward. The position was created to work with the stewards to achieve a consistent interpretation of CHRB Rules and Regulations throughout the state. Chairman Winner thanked Executive Director Baedeker for recommending the position. Commissioner Auerbach asked if Mr. McHargue was ready for the challenge and thanked him for taking on the role. Mr. McHargue said after 35-plus years in the industry he was ready to take on the new challenge as Chief Steward.

PUBLIC COMMENT

Kim Lloyd, Barrets, wanted to remind everyone Barrets would have a sale at Del Mar October 27, 2015. The sale would have about 290 horses. Deborah Russell, Service Employees International Union-United Service Workers West (SEIU), stated she wanted to remind everyone the labor contract between the Stronach Group, Los Alamitos and SEIU expired months ago. Only one meeting was held with SEIU, the Stronach Group and Los Alamitos after the contract expired. SEIU had attempted to set up new meeting dates for bargaining agreements to no avail. Obligations had not been met from the 2014 agreement. She said SEIU members were

threatened with reprisal if they took any action. SEIU members wanted to keep the peace and continue working. Ms. Russell asked the Board to convince the Stronach Group and Los Alamitos to work with SEIU on a new labor agreement. Commissioner Auerbach asked for an update from AmTote for informational purposes, as the company started right before the Breeders' Cup, which had big handle. Jeff True, AmTote International, said deployment of the AmTote terminals started in April 2015. The company had spent tens of thousands of man hours preparing, manufacturing developing and testing software. The terminal wiring was installed throughout the state. The software deployment was completed and was up as of October 6, 2015. The company had 500 live terminals at Del Mar and the remaining terminals were set to be deployed at various remaining facilities in time for the Breeders' Cup. The system had gone through internal testing, which were reviewed by California stakeholders and state auditors. Mr. True said marketing had already commenced, including: a commercial run three times a day from the Santa Anita broadcast studio, handbills printed by the California Marketing Committee that were sent to off-track betting locations and vouchers that were part of an opening promotion for Del Mar. Mr. True thought it was fortunate the first week of AmTote concluded with the Breeders' Cup. The week began with dark days, flowed into a simulcast only day on Wednesday, added live racing Thursday, and finished with the Breeders' Cup. Commissioner Auerbach thanked Mr. True for his report.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION IN THE AMOUNT OF \$28,143 TO FIVE BENEFICIARIES.

Rick English, Los Alamitos Quarter Horse Racing Association (LAQHRA), said LAQHRA requested approval to distribute race day charity proceeds in the amount of \$28,143 from its

December 2013 to December 2014 race meeting to five horse racing related organizations. Commissioner Auerbach asked how actively LAQHRA researched organizations before it donated the charity proceeds. She said she had no problem with the organizations LAQHRA chose, but wanted to know if Los Alamitos was aware of changes that had occurred at some of the organizations chosen for the proceeds. Mr. English said he was aware of the human beneficiaries, but was not personally involved with the equine beneficiaries. Commissioner Auerbach thought it was beneficial for Los Alamitos to update itself on recent horse retirement issues. Commissioner Choper **motioned** to approve the distribution of race day charity proceeds of the Los Alamitos Quarter Horse Racing Association in the amount of \$28,143 to five beneficiaries. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ALAMITOS RACING ASSOCIATION IN THE AMOUNT OF \$12,358 TO FIVE BENEFICIARIES.

Rick English, Los Alamitos Racing Association (LARA), said LARA proposed to distribute race day charity proceeds in the amount of \$12,358 from the July 2014 and December 2014 Los Alamitos Racing Association race meetings to five beneficiaries. Commissioner Krikorian **motioned** to approve the distribution of race day charity proceeds of the Los Alamitos Racing Association in the amount of \$12,358 to five beneficiaries. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ANGELES TURF CLUB, INC. DBA SANTA ANITA PARK IN THE AMOUNT OF \$190,756 TO 12 BENEFICIARIES.

Eric Sindler, Los Angeles Turf Club (LATC), stated LATC proposed to distribute \$190,756 in race day charity proceeds to 12 beneficiaries. He said all the beneficiaries were related to horse racing. LATC researched the organizations before deciding which ones would receive funding, but LATC was open to recommendations from the Board. Commissioner Auerbach **motioned** to approve the distribution of race day charity proceeds of the Los Angeles Turf Club in the amount of \$190,756 to 12 beneficiaries. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE NOMINATION OF MEMBERS TO THE BOARD OF DIRECTORS OF THE CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.

Chairman Winner stated the California Thoroughbred Horsemen's Foundation, Inc. (THF) nominated the following individuals for a two-year term: Ken Smole, David Nafzger, Josh Rubinstein, Gerry Piccioni and Robert Lennox. Commissioner Krikorian **motioned** to approve the THF nomination of members to its Board of Directors. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION (T) AT GOLDEN GATE FIELDS, COMMENCING DECEMBER 26, 2015 THROUGH JUNE 14, 2016, INCLUSIVE.

This item was moved to the November 2015 Agenda.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB, (T) AT SANTA ANITA, COMMENCING DECEMBER 26, 2015 THROUGH JULY 12, 2016, INCLUSIVE.

This item was moved to the November 2015 Agenda.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS HORSE RACING ASSOCIATION (T) AT LOS ALAMITOS, COMMENCING DECEMBER 3, 2015 THROUGH DECEMBER 20, 2015, INCLUSIVE.

This item was moved to the November 2015 Agenda.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF THE 2016 QUARTER HORSE RACE DATES.

Executive Director Baedeker stated the Los Alamitos Quarter Horse Racing Association (LAQHRA) requested December 26, 2015 through December 18, 2016 for a quarter horse race meet at Los Alamitos Race Course. Commissioner Beneto **motioned** to approve the 2016 Quarter Horse race dates for the Los Alamitos Quarter Horse Racing Association. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote:

Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION (Q) AT LOS ALAMITOS, COMMENCING DECEMBER 26, 2015 THROUGH DECEMBER 18, 2016, INCLUSIVE.

Commissioner Choper asked if CHRB had a copy of the fire clearance for Los Alamitos. Rick English, Los Alamitos, stated the CHRB would receive the fire clearance before the race meeting commenced. Chairman Winner stated the fire clearance, as an outstanding item, was an exception, as it was due to local county laws, and therefore out of the control of Los Alamitos Quarter Horse Racing Association (LAQHRA). Vice-Chairman Rosenberg **motioned** to approve the LAQHRA application to conduct a horse racing meeting commencing December 26, 2015 through December 18, 2016, conditioned on the submission of outstanding items. Commissioner Beneto **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER LLC (H) AT CAL EXPO, COMMENCING DECEMBER 26, 2015 THROUGH MAY 8, 2016, INCLUSIVE.

Chris Schick, Watch and Wager LLC (WAW), said the WAW application proposed two more days than the 2014 WAW race meeting. He said recruiting horses for the race meeting had gone well. WAW proposed to run 50 stake races, 36 of which were California Sire stakes. WAW added another marketing employee, Derick Giwner. Ben Kenney, WAW, said the Board would

receive the financials by November 2015. Executive Director Baedeker said submitting financials on time was out of the control of WAW, so the item qualified as a contingency for the race meeting application. Vice-Chairman Rosenberg asked how WAW was able to accomplish such a low takeout percentage on a number of wagers. Mr. Schick stated WAW had not had a problem because only a few of the wagers are set with low takeout. The overall handle for WAW increased with reduced takeout. Commissioner Choper asked if Mr. Schick had any idea how the net outcome was for WAW with the reduced takeout. Mr. Schick said in the short-term WAW had reduced revenues and theoretically at some point it should break even and then have an increase; however, the reality was more complex. Commissioner Choper said the definition of optimum takeout included all the complexities and some specialists or statisticians were needed to analyze optimum takeout for all parties involved. Commissioner Beneto asked for clarification regarding the policy of not approving race dates unless the Board received a full package. Chairman Winner explained that in WAW's case the financials were an exception because WAW turned in an audited financial statement, which took longer to complete. Executive Director Baedeker said the policy was for items that could have been provided on time. Certain items, such as the financial statement, or a fire clearance for example, are outside the control of the association. He added WAW had probably just started its audits about the same time the policy was made. The Board recognized the association could not have included the item in the package, so the application could be heard without the item. The policy was made for other items, such as horsemen's agreements, which should have been completed in time. Ed Cummings, WAW, said the audit was conducted by KPMG and was to be released at the end of November 2015. Vice-Chairman Rosenberg **motioned** to approve the WAW application to conduct a horse racing meeting commencing December 26, 2015 through May 8, 2016, conditioned on the submission of the outstanding items. Commissioner Auerbach

seconded the motion, which **carried** six to zero with Commissioner Choper absent for the vote.

Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Beneto. Nay: None.

Motion carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE, TO ADJUST THE NON-WINNING JOCKEY RIDING FEE SCALE FOR LOSING MOUNTS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19501(B)(1), TO REFLECT CALIFORNIA'S MINIMUM WAGE INCREASE OF 11.1 PERCENT SCHEDULED FOR JANUARY 1, 2016 AND TO AMEND THE NON-WINNING JOCKEY RIDING FEE SCALE TO REFLECT AN INCREASE OF 11.1 PERCENT FOR THE 2ND AND 3RD PLACE MOUNTS IN RACES WITH A GROSS PURSE OF \$9,999 OR LESS.

Chairman Winner said the proposed amendment to Rule 1632, Jockey's Riding Fee, was initiated in accordance with the provisions of Business and Professions Code section 19501(b)(1). No comments were received during the 45-day comment period. Commissioner Krikorian **motioned** to adopt the proposed amendment to CHRB Rule 1632, Jockey's Riding Fee. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1689.1, SAFETY VEST REQUIRED, TO EXTEND THE REQUIREMENT TO WEAR A SAFETY VEST TO PONY RIDERS WHO PONY OR LEAD A HORSE OR WHO ARE MOUNTED ON ANY HORSE ON THE GROUNDS OF A FACILITY UNDER THE JURISDICTION OF THE BOARD.

Chairman Winner asked if it was the first time the Board was voting on the proposed amendment to Rule 1689.1, Safety Vest Required. Executive Director Rick Baedeker stated the proposed

amendment previously went out for the 45-day notice period and was subsequently rejected by the Office of Administrative Law (OAL) due to issues with the proposed text. When CHRB staff reviewed OAL's suggestions, it noted there was inconsistency in the proposed rule; it was requiring only those individuals licensed as pony riders to wear a safety vest. He said under proposed Text A if an assistant trainer was ponying a horse, he/she was not required to wear a safety vest which did not make sense; CHRB stewards said that assistant trainers frequently ponyed a horse. He stated under proposed Text B anybody licensed by the Board who ponies or leads a horse on the grounds would have to wear a safety vest. Chairman Winner asked if under the proposed Text B a trainer was required to wear a safety vest. Executive Director Baedeker said that was correct; whoever was ponying a horse. Jacqueline Wagner, Assistant Executive Director, stated the OAL disapproved the previous rulemaking file due to confusion at the prior hearing regarding whether or not a trainer would be required to wear a safety vest. Language requiring a trainer or assistant trainer to wear a safety vest was proposed in order to clarify any confusion as to who would be required to wear a safety vest. She said it was up to the Board to decide which direction it wanted to go; CHRB staff proposed Text A and Text B. She stated the process would start over again with whichever text the Board instructed staff to move forward. Commissioner Auerbach said she had a problem with proposed Text B. The proposed amendment would require any person licensed by the Board to wear a safety vest while ponying or leading a horse. She stated horses were led all over the place, such as in and out of their stalls, and around a walking area. She said the way she interpreted the proposed Text B was that anyone leading a horse anywhere would have to wear a safety vest, and she did not believe that was what the Board was looking for. Commissioner Beneto stated he thought the Board's intent was to require the wearing of a safety vest on the track; he did not recall requiring a safety vest

on the backside. Commissioner Auerbach said the proposed language was very broad, and was a concern to her. Chairman Winner stated he agreed with Commissioner Auerbach; the language in proposed Text B was vague and misleading. He also agreed with Commissioner Beneto that proposed Text B was not what the Board had in mind. The Board wanted safety vests to be worn on the track. Vice-Chairman Rosenberg said the word "lead" raised other problems; leading a horse involved holding a shank and walking in front of or next to a horse, and leading a horse happened after every race. He stated he did not believe leading should be a part of the proposed amendment. Commissioner Krikorian suggested amending the proposed language to include any person on a horse on the track. Brad McKinzie, Finish Line Self Insurance Group (Finish Line), stated the largest workers' compensation claim Finish Line had to date was from a pony person that fell off a horse while ponying a horse. He said assistant trainers were considered employees and were covered under workers' compensation, while trainers were employers and not covered by workers' compensation. He stated Finish Line had numerous cases of assistant trainers filing claims for injuries incurred while doing various activities, including ponying horses. Chairman Winner asked Mr. McKinzie about the claim history for individuals leading a horse. Mr. McKinzie stated the majority of Finish Line's claims were individuals falling off horses. He said Finish Line had numerous claims from grooms that could have been mitigated by a safety vest or helmet, but outfitting the entire groom population would not happen. He stated in his opinion any employee on the back of a horse should be required to wear a helmet and safety vest. Commissioner Beneto said the proposed amendment should require anybody on a horse on the race track to wear a safety vest. Chairman Winner stated the wording "while leading" should be removed from the proposed Text B. Philip Laird, CHRB Staff Counsel, suggested amending the language to state "No person licensed by the Board mounted on a horse on the track of a racing

association, racing fair, or authorized training facility, unless wearing a safety vest,” and the word “leading” would be removed. He stated he was looking for clarification from the Board as to whether or not the Board wanted to say “mounted on a horse” or “ponying a horse.” Commissioner Beneto said a horse would not be led to the track. Executive Director Baedeker stated to be clear, the Board was talking about deleting the reference to leading a horse, and then the question remained about ponying a horse versus mounted on a horse. Mr. Laird said that was correct. Executive Director Baedeker stated an individual sitting on a horse on the racetrack watching what was going on would be required to wear a safety vest. Vice-Chairman Rosenberg asked if the Board should focus on amending proposed Text A instead of proposed Text B since it would be a simpler change. Executive Director Baedeker said the difference between proposed Text A and Text B was that Text A talked about individuals that were licensed to pony horses. Commissioner Auerbach stated she thought the Board should consider proposed Text B instead. Vice-Chairman Rosenberg said the Board should start from scratch and come up with new language. Commissioner Krikorian suggested amending the proposed language to state any person on a horse while on the track should wear a safety vest; it would be better than referring to pony riders at all. Chairman Winner said the suggestion made by Mr. Laird to amend Text B seemed to capture all the points mentioned during the discussion. Commissioner Beneto **motioned** to amend proposed Text B. Vice-Chairman Rosenberg **seconded** the motion to amend proposed Text B to add subsection (a)(1) to state that, “Any person licensed by the Board mounted on a horse on a track of a racing association, racing fair or authorized training facility shall wear a safety vest,” which **passed** by a five to zero vote with two abstentions. Roll Call Vote: Aye: Krikorian, Solis, Winner, Rosenberg, Beneto. Nay: None. Abstain: Auerbach, Choper. Motion carried. Commissioner Beneto **motioned** to direct staff to initiate a 45-day

public comment period regarding the proposed amendment to Rule 1689.1, Safety Vest Required. Commissioner Krikorian **seconded** the motion which was **passed** six to zero with one abstention vote. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Beneto. Nay: None. Abstain: Choper. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS, TO ADJUST THE PENALTIES FOR MEDICATION VIOLATIONS.

Philip Laird, CHRB Staff Counsel, stated the proposed amendment to Rule 1843.3, Penalties for Medication Violations, was discussed at the August 2015 Medication and Track Safety Committee meeting. The Committee, after hearing and accepting recommendations made by the California Thoroughbred Trainers (CTT), approved the amendment to come before the Board. He said the proposed amendment would: 1) eliminate category D penalties phenylbutazone violations; 2) cause medication violations that occur within a specified time period to count as either a prior offense, or as an aggravating factor with regards to the determination of penalties for subsequent violations; and 3) prohibit licensees whose suspension was for more than 30 days from transferring their horses to any other licensee who had been an employee within the previous year. Alan Balch, CTT, thanked the Committee and the Board for considering and including CTT's suggestions into the proposed amendment. Commissioner Auerbach stated she had difficulties in locating the changes made to the rule in the chart. Jacqueline Wagner, Assistant Executive Director, said the changes made to the rule were not referenced in the chart; they were in the rule, and the rule incorporated the chart and the narrative. Robert Miller, CHRB Board Counsel, said the penalty involving 30 days was separate from the penalty guidelines; the penalties guidelines were for medication violations. He stated the Board of Stewards and the

Board would make their decision on a disciplinary matter and come to a resolution on the medication violation penalty, then in a separate matter, the penalty to be adopted, if greater than 30 days, would kick in an additional penalty which was in the rule. It was an add-on and not part of the penalty guidelines. Vice-Chairman Rosenberg asked if the specific language was drafted after the Committee meeting. Mr. Laird stated minor changes were suggestions by the CTT. The Committee directed staff to make the changes and move forward. The changes were a direct result of the direction requested by the Committee. Commissioner Auerbach said the changes were not minor; going from 45 days to 30 days was a major adjustment in terms of a trainer's life. Chairman Winner said the question was regarding the mechanics of making it clear; not the specifics of the changes. Ms. Wagner stated it was more of a formatting issue, and staff could look at it to see if there was a better way to lay it out, but the substance of the rule would not change. Commissioner Auerbach said the substance of the rule was fine and was adjusted correctly. She stated the rule should be formatted in a way that anyone in the industry could easily look up an issue. Commissioner Auerbach **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1843.3, Penalties for Medication Violations. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED ADDITION TO CHRB RULE 1846.6, POSTMORTEM EXAMINATION REVIEW, TO REQUIRE A POSTMORTEM EXAMINATION REVIEW OF EACH EQUINE FATALITY WITHIN A CHRB INCLOSURE.**

Philip Laird, CHRB Staff Counsel, stated at the September 2015 Regular Board meeting the Board expressed concerns regarding the proposed amendment to Rule 1846.6, Postmortem Examination Review. The Board's concerns included the effect the review panel would have on investigations, and potential conflicts in the timing between the two processes. He stated the Board indicated the regulation should expressly state that any documents provided by licensees would not be retained by the Board, and any reports generated by the Postmortem Examination Review Panel should be provided to both the trainer and owner. He said staff amended the language to address the Board's concerns. Chairman Winner stated he believed the proposed changes covered the items of concern. Commissioner Choper **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed addition to Rule 1846.6, Postmortem Examination Review. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

DISCUSSION BY THE BOARD REGARDING THE **REPORT FROM STAFF EXPLAINING THE PROCESS AND SCIENCE USED FOR MAINTAINING TRACK SURFACE CONDITIONS AT CALIFORNIA RACETRACKS.**

Jeff Salmon, CHRB Safety Steward, stated the development of the Track Safety Program (TSP) was in response to Business and Professions Code Section 19841, which required the Board to establish safety standards to govern the uniformity of the content of the track base and racing surface in order to improve the safety of horses, riders, and workers at the racetrack. He said the

TSP began in 2010 in collaboration with UC Davis, where staff learned that fatal injuries on racing surfaces were due to cumulative damage from repetitive training and racing. He stated fatal injuries were not a one-event problem; they were often a result of preexisting conditions in the musculoskeletal system, and depending on the surface hardness could result in a fatal breakdown. He said the TSP was focused on establishing test techniques and conducting testing to create objective surface operating ranges, which was difficult because every surface, maintenance routine, and equipment from track to track, was different. Currently, common testing techniques were used throughout the state and across the nation, and Dr. Mick Peterson from Biologically Applied Engineering was proposing a maintenance quality system. California had three surfaces - dirt, synthetic, and turf. The TSP tested all three surfaces and discovered dirt surfaces had the greatest variability in performance. He stated the TSP worked with the racing associations and focused on the cushion and the pad; the way the racing surfaces in California were maintained resulted in a harrowed cushioned top with a hardened pad three to four inches below the cushion with a base. He said by measuring the performance of the cushion the TSP focused on moisture content, the composition of the surface, depth, and the hardness of the pad. Mr. Salmon discussed reports generated by the Racing Surfaces Testing Laboratory in Maine under Dr. Peterson's direction. He stated the conclusions drawn from the reports and testing could be used to inform superintendents of the need for renovation, sand additions, and changes to racetracks. He said enough data had been collected to determine whether a particular track surface had changed significantly since the last time it was tested. He stated there was one remaining synthetic surface in California and it was located at Golden Gate Fields (GGF). He said the surface at GGF had been worked on since the TSP's inception. GGF's had since established a regular fiber renovation program. GGF found that the fiber in synthetic surfaces

tended to break down rapidly; three or four month cycles. Mr. Salmon said the TSP would test GGF's surface after it had completed its regular renovations. The test would be done to verify any improvement to the surface with the renovation changes GGF applied on a consistent basis. He stated GGF's racing record on its synthetic track had 1.2 fatalities per 1,000 racing starts over the last three years. Dr. Rick Arthur, CHRB Equine Medical Director, said GGF's synthetic track had over 3.5 fatalities per 1,000 racing starts prior to its fiber renovation program. Mr. Salmon said the TSP was taking a closer look at turf courses. Turf courses were complicated due to the hardness of the surface and the consistency of the turf which resulted in inconsistencies such as divots, uneven areas, and areas where grass was not growing as well as in other areas. He said the TSP focused on turf course hardness and consistency; hardness was affected by moisture content and mechanical aeration. The results of turf course tests were used to determine favorable hardness levels for turf courses in California. He said a database was developed that contained turf data for Santa Anita, Del Mar, and GGF. He said the TSP was evaluating Dr. Peterson's maintenance quality system that would allow associations and the CHRB to share data by utilizing a database system. Executive Director Rick Baedeker stated the work completed by the TSP was also helpful on the fair circuit where surfaces were used for a short period of time and then lay dormant for a period of time. Commissioner Beneto asked how the track at Pleasanton could be in poor condition when horses trained there year round. Executive Director Baedeker said the CHRB did not only test when there was a problem; testing was conducted on a regular basis, particularly at Pleasanton to ensure the problems did not occur again. He stated Pleasanton had subsequently changed its track maintenance routine.

DISCUSSION BY THE BOARD REGARDING THE REPORT FROM STAFF REGARDING THE STATUS OF THE MICROCHIP PILOT PROJECT.

Jeff Salmon, CHRB Safety Steward, said the objective of the microchip pilot project was to enhance racehorse identification, and to standardize inventory reporting to accurately track horse movement throughout the state. He stated the Jockey Club contributed money through its information technology arm, InCompass. InCompass developed a software module called the Microchip Module for its racetrack operations software. He said the CHRB was managing the pilot project in Northern California along with the California Department of Food and Agriculture (CDFA) which was responsible for disease control management in California's equine population. He stated CDFA had experience with Allflex; the company that made the microchips and scanners. In August 2015 the Jockey Club announced that microchips would be required for all registered foals beginning in 2017, with owners having the option to request free microchips starting in 2016. Foals that would receive microchips in 2016 would reach the California racetracks in 2018. He said the pilot program would like to have the system checked out, operating, and implemented at California's training and racing facilities by early 2018, in time for the arrival of the two-year olds with microchips. He stated the microchips came in a prepackaged, sterilized syringe. Rita Baker, CHRB Staff, said the veterinarian would inject the microchip into the nuchal ligament of the neck located between the poll and withers on the horse. The microchip could be injected quickly and easily. Dr. Rick Arthur, CHRB Equine Medical Director, said the ligamentum nuchae was a large ligament that held up the horse's head. Once inserted, a microchip would remain stable with very little migration. The insertion procedure was very simple, and could be done by technicians once trained. Microchipping was a routine procedure in other countries, and most of the horses from the Breeders' Cup were microchipped, not tattooed. He stated the common sense solution was to eliminate tattooing in

thoroughbreds or racehorses as it had been eliminated in other countries. Commissioner Beneto asked if the Jockey Club would have a number on file once the microchip was inserted. Mr. Salmon said an automatic operating system would upload the data within 30 seconds of the horse being scanned, and incorporated into the database in Kentucky. It was a paperless process that would upload via the internet. He stated the operating system demonstration was conducted at Golden Gate Fields and Pleasanton for three reasons; it was close to headquarters, there was a relatively small horse population, and there was significant back and forth shipping between Golden Gate and the Alameda County Fairgrounds to track horse movement. He said to date, the module for the software was complete, and the scanner and tablet hardware were in place. He stated the CHRB tested hardware and software and uploaded the microchip records for the horses chipped to date. One-thousand-seven-hundred microchips were purchased, 20 trainers were signed up, and 124 horses were microchipped. The program anticipated initiating the pilot demonstration of the software before the end of the year. He stated there were no reported problems of the 124 horses that were microchipped; a horse of any age could be microchipped, from a foal to a horse that was retired. Mr. Salmon said the microchip pilot program was on schedule to be completed in Northern California in 2016. He stated if a microchip regulation was in place by the beginning of 2017, all horses in California could be microchipped during 2017, along with implementing and training staff to seamlessly receive two-year olds in 2018. He said currently CHRB was two and half years away from the ability to implement the microchip system across California. Commissioner Beneto asked if the horses had to be microchipped before they came onto the track. Mr. Salmon said if the regulatory process was initiated now, and the process took a year to complete, that would give CHRB a year to implement the requirement by January 2017. Commissioner Beneto asked if horses would be

checked in at the gate as they came onto the track. Mr. Salmon said the key was to establish procedures at the racetrack where at a gate, or designated on-loading/off-loading point had been established. There had to be a regular process followed in order to scan every horse. The first thing to find out was whether or not the horse was already chipped. If the horse was not chipped, then CHRB would make arrangements with the owner and trainer to chip the horse, until every horse on the grounds was chipped by then end of 2017. Commissioner Beneto asked if, once that happened, the racetracks could pull up the data for every horse on the grounds. Mr. Salmon said either at that point, or an offload point. It was dependent on the pilot project. Scanning the horses would occur at some kind of common point that was part of a routine process, which needed to be established. Commissioner Beneto asked if the stewards would scan the horses at the paddock fields as well. Mr. Salmon stated that had not yet been established. The focus was to prove the idea feasible and test out the process. Commissioner Beneto asked if scanning horses would eliminate tattooing completely. Mr. Salmon said possibly, once the process was proven and people were comfortable with it. Commissioner Beneto asked if the goal was to eliminate tattooing. Mr. Salmon said the goal was to create an accurate inventory system in California and to be able to track horse movements. The goal was not to eliminate tattooing. Executive Director Baedeker added that with all the data, a racing secretary could know exactly who was on the backstretch and all the information relative to a racehorse. It was a system that could grow and make CHRB more efficient in the future. Commissioner Krikorian agreed, with horse records one could have reports on how many claimers you have on the grounds and other information, it automated the process of understanding what the inventory looked like. Chairman Winner said the system required regulatory changes and assigned the matter to the Legislative, Legal and Regulations Committee. Commissioner Krikorian wanted clarification on

if tracking horses meant they could be tracked anywhere, like cell phones. Mr. Salmon said that was not the case for now, but it was already being developed in Kentucky. The microchips were International Standards Organization compliant to an international standard and could be read by any handheld device. It was feasible the horses would be tracked to end of life, which was a help to issues associated with retired horses.

REPORT FROM THE PARI-MUTUEL/ADW, SATELLITE AND SIMULCAST COMMITTEE.

Commissioner Krikorian said a Pari-mutuel/ADW, Satellite and Simulcast Committee meeting was held October 21, 2015 and was well-attended by people from all of the California racetracks and most of the ADWs. Commissioner Krikorian said fantasy sports were discussed, and Scott Daruty, Stronach and Monarch, pointed out that he looked at fantasy sports as both a threat and an opportunity for horse racing. Counsel informed participants that sometimes the fantasy sports were authorized by federal law, although some might have overstepped what was allowed. Individual states were divided; some permitted fantasy sports while others banned them. He said some kind of legislation was expected to be introduced in California in 2016. CHR B had no authority to specifically license fantasy sports companies, but individual private tracks were not prevented from offering promotions by utilizing fantasy sports in some regard, as long as customers were not required to pay. The meeting held discussions on both fantasy sports in general and more specific fantasy horse racing which involved selecting a team of horses or jockeys and trainers with the winner determined by the most purse winnings during a specified period. He said Mr. Daruty saw a threat if the service was offered and did not contribute to commissions and purses. Commissioner Krikorian said fantasy sports were estimated to have

over 50 million participants, and more than \$20 billion a year was invested. He thought the horse racing industry should keep fantasy sports' potential in mind moving forward, and be part of the movement, as long as it was legal. Commissioner Krikorian said the committee discussed the ongoing problem caused by some domestic and international wagering outlets that were not instantly providing wagering details to California hosts via the Thoroughbred Racing Protective Bureau (TRPB). For instance, only about 60 percent of the transaction details for wagers on the Santa Anita race meeting held September to October 2015 was available. California racetracks, Monarch and TRPB were working together to persuade the errant wagering providers to submit their transaction files automatically. Commissioner Krikorian said staff reviewed three specific promotions offered by TVG and BetAmerica that offered guaranteed payoffs in excess of those actually paid out at the track. For example, TVG guaranteed a five-to-two return on win bets on Shared Belief on wagers up to \$20.00. The analysis determined the promotions did not affect the pari-mutuel pools; therefore the promotions were legal. He said case-by-case reviews would continue to check the legality of similar promotions. Commissioner Krikorian said GPS tracking of wagers placed on mobile devices through ADW outlets by patrons of brick-and-mortar locations in California was needed. Due to differences in takeout, the industry lost handle when customers opted to wager through another location while enjoying the social interaction and other benefits of the brick-and-mortar facility. Jeff True of AmTote reported the company was introducing new technology that partially resolved the issue. Commissioner Krikorian reported that in 2015, only nine mini-satellites operated in California, with a tenth that was about to open at the end of October 2015 in San Diego and an 11th expected to open early in 2016. That would make 11 of 45 authorized by statute. He said this implied something needed to change, whether it was rethinking a commission structure, reduced protective radius, more resources or some

other alternative. Commissioner Krikorian said patrons of brick-and-mortar California facilities named alternatives if one of their horses was scratched for Pick 4, Pick 5, Pick 6 and other wagers that involved four or more races. Customers of ADW companies, and most from out-of-state did not have the option to choose alternative horses. ADW licensees were asked to look into the cost of adding the option to their sites. The matter was set to be reviewed at the next Committee meeting. Commissioner Krikorian said CHRB did not have direct authority over takeout, however takeout and wagering went hand-in-hand. Alan Balch, California Thoroughbred Trainers (CTT), wanted to further investigate the matter by contacting universities to determine their level of interest in such a study and hoped for a favorable update at the next Committee meeting. Mr. Balch said he already reached out to Professor Caroline Betts at the University of Southern California, and she was interested in the proposition. She had worked with data provided by New York Racing Association. Professor Betts had made it clear, however, that as she was not being compensated, it was a lower priority than other projects she had. Mr. Balch said he also spoke with Mr. Dado who had contacts at Stanford. Mr. Balch also had contacts back east and the contacts could make a working group. He thought if there was a working group, CTT would make a contribution toward funding. He said business schools were generally interested in studies with horse racing because the industry generated a lot of data.

DISCUSSION BY THE BOARD REGARDING FANTASY SPORTS GAMES AND THE POTENTIAL IMPACT ON THE HORSE RACING INDUSTRY IN GENERAL.

Chairman Winner said there were multiple investigations by the U.S. Attorney and various attorney generals and states who tried to determine the legality of fantasy sport platforms. It was important for horse racing to participate and get a share from fantasy sports if it was deemed

legal. Commissioner Krikorian said he found it interesting that USA Today had a note in the newspaper which said American Pharoah was running in the Breeders' Cup as the only information regarding horse racing. In comparison, there was an entire page dedicated to fantasy sports. He suggested that was a good example of showing where racing stood as an industry compared to fantasy sports. Chairman Winner said fantasy sports were the number one television advertisers. Commissioner Choper wanted to discuss fantasy sports from a different perspective. He had read an article in Sports Illustrated about fantasy sports. The article described what fantasy sports players did, which was similar to handicapping. Players spent hours trying to figure out how to win the fantasy sport game, which was more work than handicapping a horse racing card. It seemed that fantasy sports presented the most promising opportunity for horse racing to attract new players, especially with people age 34 to 37 years old, which was a prime target for horse racing. The only challenge he saw was that fantasy sports games had maximum takeouts of ten percent. Chairman Winner said the big question was whether or not what the fantasy sports companies did was legal. Commissioner Choper said the companies were exempt from federal statute. Chairman Winner stated one of the reasons the companies attracted huge numbers of people was because they spent hundreds of millions of dollars on marketing. It was easier for people in their early 30s or 40s to follow fantasy sports games and be pulled into the market than it was for horse racing, where next to nothing was spent on marketing compared to fantasy sports. John Valenzuela, Local 280, said he thought the younger generation was attracted to fantasy sports because all the information needed was free and easy to find on the websites. The players do not need to pay for it, whereas with horse racing, participants have to pay for information such as past performance. Companies made fantasy sports exciting for players to be introduced to a new sporty where players signed up and

did not have to pay and got information for free. It gave them a chance to learn the basic concept of the game, which was important for horse racing as well.

MEETING ADJOURNED AT 12:36 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

NOVEMBER 19, 2015
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

NOVEMBER 19, 2015
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB IN THE
AMOUNT OF \$130,387 TO NINE BENEFICIARIES

Regular Board Meeting
November 19, 2015

ISSUE

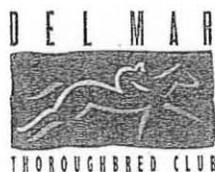
Del Mar Thoroughbred Club is requesting approval to distribute race day charity proceeds generated at its 2015 summer racing meet. Del Mar Thoroughbred Club is proposing a total distribution of \$130,387. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Josh Rubinstein
Executive Vice President &
Chief Operating Officer

November 5, 2015

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The Del Mar Thoroughbred Club Board of Directors has allocated charity day proceeds from our 2015 Summer Race Meet as set forth below for the California Horse Racing Board's consideration and approval:

California Retirement Management Account (CARMA)*	\$50,945
Permanently Disabled Jockeys Fund*	26,077
Racing & Medication Consortium*	10,817
Winners Foundation*	10,000
Edwin J. Gregson Foundation*	10,000
California Thoroughbred Horsemen's Foundation*	6,548
California Center for Equine Health and Performance*	6,500
University of Arizona Racetrack Industry Program*	6,000
Race Track Chaplaincy/Southern California*	3,500
TOTAL:	\$130,387
*Equine Related Charities	\$130,387
Non - Equine Related	-0-
Percentage of Equine Related Charities	100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information please contact me.

Very truly yours,

Josh Rubinstein
Chief Operating Officer

cc: Jacqueline Wagner

CARMA – CARMA is a charitable 501 (c) (3) organization that was created to raise money for retired California racehorses. It is dedicated to the goal of providing funding for their rehabilitation, retraining and/or retirement. CARMA collects funds from racehorse owners, racetracks and other racing groups and organizations, then redistributes the funds to those farms and facilities that prove themselves worthy and capable of fulfilling the group's goal. Two of Del Mar's previous charity recipients -- California Equine Retirement Foundation and Tranquility Farm -- now receive aid directly through the CARMA arrangement. Madeline Auerbach is CARMA's chairman. (Contact phone: 626-574-6622; www.carma4horses.org)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and others. It is a public charity that currently provides financial assistance to approximately 60 former jockeys who have suffered catastrophic on-track injuries. It recently was the winner of the National Turf Writers and Broadcasters prestigious Joe Palmer Award for its exceptional work. Nancy LaSala is the group's president. (Contact phone: 630-595-7660; www.pdjf.org/)

Racing Medication and Testing Consortium -- Founded in 2000 by a cross-section of racing leaders, the Racing Medication and Testing Consortium (RMTTC) has a primary goal of developing and promoting uniform rules, policies and testing standards at the national level for racehorses. The tax-deductible organization seeks to coordinate research and educational programs to ensure the integrity of racing and the health and welfare of racehorses and participants, as well as to protect the interests of the racing public. It is currently governed by a Board of Directors consisting of 25 racing industry stakeholders. Its executive assistant for administration is Rebecca Hills. (Contact phone: 859-224-2844; www.rmtcnet.com/)

Winners Foundation – The Winners Foundation was established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through the group, which has aided and guided thousands of individuals since its inception. It is currently headed by Bob Fletcher. (Contact phone: 626-574-6498; <http://winnersfoundation.org/>)

Edwin J. Gregson Foundation – The foundation has a primary goal of benefitting and enhancing the quality of life of California's backstretch workers and their families. It was originally founded by the California Thoroughbred Trainers (CTT) in 1998 and renamed in memory of veteran trainer and past CTT president Edwin J. Gregson, who was the driving force behind its start. Notable among its benefits are the college scholarships it provides annually to family members of backstretch workers. Jenine Sahadi currently heads the foundation. (Contact phone: 626-447-2145; www.gregsonfoundation.com)

California Thoroughbred Horsemen's Foundation – The California Thoroughbred Horsemen's Foundation (CTHF) is a non-profit charitable foundation dedicated to improving the quality of life in the community of stable workers at California's racetracks and training centers. It was founded in 1983 and, among its other projects, currently conducts Monday through Friday medical and dental clinics in both Northern and Southern California that are free for stable workers and their families. Cliff Goodrich is the interim executive director of CTHF. (Contact phone: 626-446-0196; www.cthf.info/)

California Center for Equine Health and Performance – The Center for Equine Health (CEH) was established at University of California Davis School of Veterinary Medicine in 1973. The primary purpose of CEH is to serve as an administrative and academic umbrella for research into means and methods of improving equine health and performance. In conjunction with this, it produces a series of publications that disseminate its findings and pertinent information. Additionally, it serves as the West Coast's quarantine and treatment station for the potentially devastating venereal disease contagious equine metritis. The center is headed by the renowned veterinarian Gregory L. Ferraro, current Director is Dr. Claudia Sonder, DVM, csonder@ucdavis.edu (Contact phone: 530-752-6433;

University of Arizona Racetrack Industry Program – The program, which was established as part of the University of Arizona's Animal Science Department in 1974, was the first of its kind to train young men and women to become future leaders in the racing industry. Since its inception it has produced more than 500 graduates for the pari-mutuel industry in areas of expertise ranging from the frontside to the backside. It also hosts the largest gathering of racetrack executives and leaders annually at its "RTIP Symposium" in Tucson each December. Doug Reed is the director of the program. (Contact phone: 520-621-5660; <http://ag.arizona.edu/rtip/>)

Race Track Chaplaincy/Southern California (RTCA/SC) – The RTCA/SC is a nonprofit organization that was established in 1971 and ministers to the spiritual, emotional, physical and social/educational needs of horse racing's workers. RTCA/SC is an affiliate of Race Track Chaplaincy of America, which has 77 chaplains serving over 117 race tracks throughout the United States and Canada. RTCA/SC provides services at Santa Anita, Los Alamitos and Del Mar. Nolton Patio, DVM, is its president. (Contact phone: 626-574-6438; <http://rtcasc.com/>)

1	1000	1000	1000
2	2000	2000	2000
3	3000	3000	3000
4	4000	4000	4000
5	5000	5000	5000
6	6000	6000	6000
7	7000	7000	7000
8	8000	8000	8000
9	9000	9000	9000
10	10000	10000	10000

CHRB Analysis

Del Mar Thoroughbred Club 2014 Fall Charity Day Proceeds

	Charity	Amount	Proposed Disbursement Percentage	By Horse Racing Law	Notes
1	Permanently Disabled Jockeys Fund	26,077	20.00%	20	c
2	Winners Foundation	10,000	7.67%	5	a
3	Edwin J. Gregson Foundation	10,000	7.67%		d
4	California Thoroughbred Horsemen's Foundation	6,548	5.02%	5	b
5	Racing Medication and Testing Consortium	10,817	8.30%		d
6	California Retirement Management Account (CARMA)	50,945	39.07%		d
7	California Center for Equine Health and Performance	6,500	4.99%		d
8	University of Arizona Racetrack Industry Program	6,000	4.60%		d
9	Race Track Chaplaincy/Southern California	3,500	2.68%		d
	Total to Equine Related Charities	130,387	100.00%		

Notes:

- a - Fund for substance or and alcohol abuse (BP 19556 (b))
- b - Welfare fund Backside Personnel (BP 19641(b))
- c - Disable Jockeys Fund (BP 19556 (C))
- d - Horse Related Charities (BP 19556 Disable Jockeys Fund (BP 19556 (C))

STAFF ANALYSIS
November 19, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS DECEMBER 26, 2015 THROUGH JUNE 12, 2016.

Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

At its October 22, 2015 regular meeting, the Board deferred the Pacific Racing Association race meet application until November 19, 2015, as the PRA application was incomplete. The marketing plan and advance deposit wagering sections of the application were unfinished; therefore, the Board asked the applicant to return to the November 2015 Board meeting.

CHRB staff contacted a PRA representative on October 22, 2015 and requested the submission of the outstanding application items by October 28, 2015. PRA submitted an amended race meet application on November 2, 2015. The PRA marketing plan and advance deposit wagering section of the application have been completed. However, the stable accommodations and auxiliary ambulance sections of the amended application are now incomplete.

- December 26, 2015 through June 12, 2016, or 96 days, two days less than 2015. The association proposes to race a total of 796 races, or 8.29 races per day. In 2015 PRA raced 8.24 races per day with an average of 6.69 runners per race during the 2015 winter/spring meet. The (actual) average daily purse for the 2015 winter/spring race meeting was \$158,158. The (estimated) average daily purse for this meet is \$156,410.
- The race dates proposed are the dates the Board allocated.

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

June - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

- Racing 4 days per week. Thursday through Sunday, with the exception of Monday's, January 18th, February 15th and May 30, 2016. 7 races on Thursdays except December 31, 2015 where 9 races will be run. 8 races on Fridays except January 1, 2016 where 9 races will be run and January 8th, 15th, February 19th, March 4th, 11th, 18th, 25th, April 8th, 15th, 22nd, and May 27, 2016 where 7 races will be run. 9 races on Saturdays except May 7th, 21st, and June 11, 2016 where 10 races will be run and 9 races on Sundays except June 12th where 10 races will be run. If horse population permits may run additional races not to exceed the 8.4 average of races per day for the meet. Administrative approval will be requested if plans develop to exceed this amount.
- First post 12:45 p.m. daily with the following exceptions:
 - Weekends beginning May 7, 2016 – 1:15p.m.
 - Saturday, December 26, 2015 (Opening Day) – 12:15p.m.
 - Sunday, February 7, 2016 (Super Bowl) – 11:15a.m.
 - Saturday, May 7, 2016 (Kentucky Derby) – 11:45 a.m.
 - Saturday, May 21, 2016 (Preakness) – 11:45 a.m.
 - Monday, May 30, 2016 (Memorial Day) – 12:45 p.m.
 - Saturday, June 11, 2016 (Belmont Stakes) – 11:45 a.m.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will be offered Friday, May 6, 2016, for the Kentucky Derby, Friday, May 16, 2016 for the Preakness, and on Friday, June 10, 2016, for the Belmont Stakes.
 - \$1 – Exacta on all eligible races.
 - \$2 – Rolling Daily Double on all races. 20% takeout.
 - Pick 3 on eligible races; \$1 Trifecta on eligible races.
 - ¢.10 Superfecta on all eligible races.
 - ¢.50 Pick 4 on first four races and last 4 races; No consolation; 100% carryover; Alternate runner provision.
 - ¢.50 pick 5 on the first five races - 100% payout on all tickets selecting five winners. No consolation 100% carryover if no ticket has five winners. 14% takeout.
 - \$1 Super High Five in the last race – 100% payout on all tickets selecting five winners. No consolation 100% carryover if no ticket has five winners.
 - \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 may be carded as last race of the day.

- \$.20 - Pick 6 on last 6 races – 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket then 70% minor pool that day is paid to tickets selecting the most winners and the 30% major pool will be added to the carryover.
- Specific changes from the 2015 license application:
 - Admission (club house) from \$8.00 to \$10.00
 - Admission (turf club) from \$10.00 to \$12.00
 - Club House Admission (1-10 visits) from \$6.00 to \$8.00
 - Club House Admission (11-20 visits) from \$5.00 to \$7.00
 - Club House Admission (21-30 visits) from \$4.00 to \$6.00
 - Club House Admission (31-40 visits) from \$3.00 to \$5.00
 - Club House Admission (over 40 visits) from \$2.00 to \$4.00
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpire, TVG, Bet America, and Watch and Wager.com.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. Thoroughbred Owners of California agreement.
2. California Thoroughbred Trainers agreement.
3. Pertinent 2016 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated Advance Deposit Wagering providers to accept wagers on races conducted in an outside of California for the applied license term.
4. Horsemen approval of Advance Deposit Wagering.
5. Stable accommodations.
6. Auxiliary ambulance service.
7. California Emergency Medical Services Authority certification for auxiliary site.

Specific information which will need **updating** during the proposed race dates:

1. Berkeley fire clearance (expires April 21, 2016).
2. Albany fire clearance (expires February 25, 2016).

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 559-7464
calvin.rainey@goldengatefields.com

B. Breed of horse: TB QH H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 26, 2015 through June 14, 2016**

B. Actual dates racing will be held: **December 26-27, 31; January 1-3, 7-10, 14-17, 18, 22-24, 28-31; February 4-7, 11-14, 15, 19-21, 25-28; March 3-6, 10-13, 17-20, 24-27, 31; April 1-3, 7-10, 14-17, 21-24, 30; May 1, 5-8, 12-15, 19-22, 26-29, 30; June 3-5, 9-12.**

CHRB CERTIFICATION

Application received: 11/2/15
Deposit received: on file

Hearing date: 11/19/15
Approved date:
License number:

- C. Total number of days or nights of racing: 96 days
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays

Exceptions: Racing on Monday, 1/18/16, Monday, 2/15/16, and Monday, 5/30/16.

Dark days Thursdays (1/21, 2/18, 4/28, and 6/2), Friday 4/29, Monday 6/13, and Tuesday 6/14

- E. Number of days or nights of racing per week: 4 days per week

3. RACING PROGRAM

- A. Total number of races: 796 (Estimate)
- B. Number of races for each day or night: 8.29 average per day throughout the meet. We will conduct seven (7) races on Thursdays except December 31st where nine (9) races will be run; eight (8) races on Fridays except January 1st where nine (9) races will be run and January 8th, January 15th, February 19th, March 4th, March 11th, March 18th, March 25th, April 8th, April 15th, April 22nd, and May 27th where seven (7) races will be run; nine (9) races on Saturdays except May 7th, May 21st, and June 11th where 10 races will be run; and nine (9) races on Sundays except June 12th where 10 races will be run. If conditions permit, we may run additional races as long as we do not exceed an average of 8.4 races per day for the meet. We will request administrative approval if we plan to exceed this amount.
- C. Total number of stakes races: 12 (7 Non-Overnight and 5 Overnight)
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**
 2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**
 3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

PRA/GGF WINTER-SPRING 2016
(December 26 – June 12, 2016)
STAKES SCHEDULE

CALIFORNIA DERBY
\$100,000 Guaranteed
3yo – 1 1/16 Miles
Saturday, January 16

CALIFORNIA OAKS
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo – 1 1/16 Miles
Saturday, February 6

EL CAMINO REAL DERBY GIII
\$200,000 Guaranteed
3yo—1 1/8 Miles
Saturday, February 13

LOST IN THE FOG
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 15

CAMILLA URSO
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 19

SF MILE GIII
\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 30

CAMPANILE (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo Fillies -1 Mile Turf
Sunday, May 1

PRA/GGF WINTER-SPRING 2015
(December 26 – June 14, 2015)
STAKES SCHEDULE

CALIFORNIA DERBY
\$100,000 Guaranteed
3yo – 1 1/16 Miles
Saturday, January 17

CALIFORNIA OAKS
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo – 1 1/16 Miles
Saturday, February 7

EL CAMINO REAL DERBY GIII
\$200,000 Guaranteed
3yo—1 1/8 Miles
Saturday, February 14

LOST IN THE FOG
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 16

CAMILLA URSO
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 14

SF MILE GIII
\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 25

CAMPANILE (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo Fillies -1 Mile Turf
Sunday, April 26

PRA/GGF WINTER-SPRING 2014
(December 26 – June 15, 2014)
STAKES SCHEDULE

CALIFORNIA DERBY
\$100,000 Guaranteed
3yo– 1 1/16 Miles
Saturday, January 18

CALIFORNIA OAKS
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo – 1 1/16 Miles
Saturday, February 8

EL CAMINO REAL DERBY GIII
\$200,000 Guaranteed
3yo—1 1/8 Miles
Saturday, February 15

LOST IN THE FOG
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 17

CAMILLA URSO
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 15

SF MILE GIII
\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 26

CAMPANILE (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo Fillies -1 Mile Turf
Sunday, June 8

PRA/GGF WINTER-SPRING 2016
(December 26 – June 12, 2016)
STAKES SCHEDULE

SILKY SULLIVAN (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo -1 Mile Turf
Sunday, May 1

GOLDEN POPPY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up -1 1/16 Turf
Saturday, May 14

ALCATRAZ
\$75,000 Guaranteed
3yo- 1 Mile Turf
Sunday, May 15

ALL AMERICAN GIII
\$100,000 Guaranteed
3yo & up – 1 Mile
Monday, May 30

ALBANY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo & up -5 Furlongs Turf
Saturday, June 11

PRA/GGF WINTER-SPRING 2015
(December 26 – June 14, 2015)
STAKES SCHEDULE

SILKY SULLIVAN (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo -1 Mile Turf
Sunday, April 26

GOLDEN POPPY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up -1 1/16 Turf
Saturday, May 9

ALCATRAZ
\$75,000 Guaranteed
3yo- 1 Mile Turf
Sunday, May 10

ALL AMERICAN GIII
\$100,000 Guaranteed
3yo & up – 1 Mile
Monday, May 25

ALBANY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo & up -5 Furlongs Turf
Saturday, June 13

PRA/GGF WINTER-SPRING 2014
(December 26 – June 15, 2014)
STAKES SCHEDULE

SILKY SULLIVAN (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo -1 Mile Turf
Sunday, June 8

GOLDEN POPPY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up -1 1/16 Turf
Saturday, May 10

ALCATRAZ
\$75,000 Guaranteed
3yo – 1 Mile Turf
Sunday, May 11

ALL AMERICAN GIII
\$100,000 Guaranteed
3yo and up – 1 Mile
Monday, May 26

ALBANY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo & up -5 Furlongs Turf
Saturday, June 14

F. List all post times for the daily racing program:

Race	All Weekdays during the meet, and all weekends between December 26, 2015 and May 6, 2016:	Race	All weekends Starting May 7, 2016
1st	12:45 p.m.	1st	1:15 p.m.
2nd	1:15 p.m.	2nd	1:45 p.m.
3rd	1:45 p.m.	3rd	2:15 p.m.
4th	2:15 p.m.	4th	2:45 p.m.
5th	2:45 p.m.	5th	3:15 p.m.
6th	3:15 p.m.	6th	3:45 p.m.
7th	3:45 p.m.	7th	4:15 p.m.
8th	4:15 p.m.	8th	4:45 p.m.
9th	4:45 p.m.	9th	5:15 p.m.
10 th (when applicable)	5:15 p.m.	10 th (when applicable)	5:45 p.m.

Exceptions:

Saturday, December 26, 2015	Opening Day	12:15 p.m.
Sunday, February 7, 2016	Super Bowl	11:15 a.m.
Saturday, May 7, 2016	Kentucky Derby	11:45 a.m.
Saturday, May 21, 2016	Preakness	11:45 a.m.
Monday, May 30, 2016	Memorial	12:45 p.m.
Saturday, June 11, 2016	Belmont Stakes	11:45 a.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool, Chairman (Officer), 0 Shares
Joe Morris, Senior Vice President West Coast Operations (Officer), 0 Shares (Effective 11/9/15)
Peter W. Tunney, Executive Vice President (Officer), 0 Shares
Calvin Rainey, Vice President & General Manager (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Gina Lavo, Vice President, Finance (Officer), 0 Shares
Sheila Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc.**
 A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F., FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with the board**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool, Chairman

Joe Morris, Senior Vice President West Coast Operations (Effective 11/9/15)

Peter W. Tunney, Executive Vice President

Calvin J. Rainey, Vice President and General Manager

Mike Rogers, Vice President, Operations

Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary

Gina Lavo, Vice President, Finance

Sheila Jane Lynn, Assistant Secretary

Merry Scalzo, Director of Administration

Ferdinand Rebusi, Director of Hospitality and Sales

Doug Gooby, Mutuel Manager

Jon Forbes, Publicity Manager

Bob Hemmer, Director of Operations

Dan Cirimele, Senior Director of Marketing

T.W. Johnson, Security Manager

Michael Wrona, Announcer

Juan Meza, Track Superintendent

Steve Martinelli, Price Maker

William Vassar, Track Photographer

David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin J. Rainey

Vice President and General Manager

1100 Eastshore Hwy

Berkeley, CA 94710

calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5**

Daily Double

Proposed percentages: **14%**

20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **TOC approval letters attached**

Golden Gate Fields

September 20, 2015

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA Winter/Spring race meet, December 26, 2015 through June 12, 2016.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a takeout rate of 20%.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey
Vice President & General Manager

cc: Joe Morris



August 31, 2015

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2015-16 Winter-Spring Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2015 – June 14, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Cal Rainey
Mary Scalzo

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINCHER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



August 31, 2015

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2015-16 Winter-Spring Meet

DIRECTORS

ALLEN ALDRICH
BOB BAFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 26, 2015 through June 14, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03. 04-05.
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015 (12/26/14-6/14/15)	\$ 38,306,734.90	213,319 (98 live race days)
2014 (12/26/13-6/15/14)	\$ 40,229,270.40	218,081 (99 live race days)
2013 (12/26/12-6/16/13)	\$ 42,525,802.30	244,267 (101 live race days)
2012 (12/26/11-6/17/12)	\$ 43,676,090.90	252,968 (101 live race days)
2011 (12/26/10-6/12/11)	\$ 46,078,355.50	264,771 (99 live race days)

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: *Prior meet represents December 26, 2014 through June 14, 2015 (98 days)*

- All races other than stakes:

Current meet estimate: \$12,427,872.00

Prior meet actual: \$12,932,734.50

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$129,457.00

Prior meet actual: \$131,966.68

- Overnight stakes:

Current meet estimate: \$250,000.00

Prior meet actual: \$250,000.00

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$2,604.17

Prior meet actual: \$2,551.02

- Non-overnight stakes:

Current meet estimate: \$675,000.00 (Doesn't include \$100,000.00 CMC/CBRF supplement)

Prior meet actual: \$605,050.00

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$7,031.25

Prior meet actual: \$6,173.98

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$13,352,872.00
 Prior meet actual: \$13,787,784.50

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: 10%
 Prior meet actual: 9.77%

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: 0.104%
 Prior meet actual: 0.100%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$1,170,719.00
 Prior meet actual: \$1,200,476.57

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
TOC (NTRA contribution)	\$ 202,516.66	TOC (NTRA contribution)	\$ 210,536.17
TOC	\$ 115,723.81	TOC	\$ 120,306.38
CTT (Administration)	\$ 57,861.90	CTT (Administration)	\$ 60,153.19
CTT (Pension)	\$ 115,723.81	CTT (Pension)	\$ 120,306.39
Total	\$ 491,826.18	Total	\$ 511,302.13

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: \$15,015,417.18
 Prior meet actual: \$15,499,563.20

Average Daily Purse (7 E ÷ number of days):
 Current meet estimate: \$156,410.60
 Prior meet actual: \$158,158.81

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: \$10,177,230.00
 Prior meet actual: \$10,498,609.81

Average Daily Purse (7 F ÷ number of days):
 Current meet estimate: \$106,012.81
 Prior meet actual: \$107,128.67

- G. Purse funds to be generated from interstate handle:
 Current meet estimate: **\$3,450,713.00**
 Prior meet actual: **\$3,514,363.09**
- Average Daily Purse (7 G ÷ number of days):
 Current meet estimate: **\$35,944.93**
 Prior meet actual: **\$35,860.85**
- H. Bank and account number for the Paymaster of Purses' purse account: **Wells Fargo PRA Account #On File**
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**
- B. Minimum number of stalls believed necessary for the meeting: **TBD**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **TBD**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **TBD**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **TBD**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **TBD**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **TBD**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #2	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; *.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1, 1976.9 *ARCI 004-105 G. (g)
Race #3	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; *.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; *ARCI 004-105 G. (g)
Race #4	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; *.50 PNP4; *.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; *1976.9; *ARCI 004-105 G. (g)
Race #5	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; *.50 PNP4; *.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; *1976.9; *ARCI 004-105 G. (g)
Race #6	\$2 DD; \$1 E; *\$1 PK3; \$1 TRI; \$.10 SF; *.50 PNP4	CHRB#1957; 1959; *1977; 1979; 1979.1; *1976.9
Race #7	*\$2 DD; \$1 E; *\$1 PK3; \$1 TRI; \$.10 SF; *.50 PNP4; * Super H5	CHRB#*1957; 1959; *1977; 1979; 1979.1; *1976.9; *ARCI #004-105 Subsection X Pick (n) Position (x) Pool
*Race #8	*\$2 DD; \$1 E; *\$1 PK3; \$1 TRI; \$.10 SF * Super H5	CHRB#*1957; 1959; *1977; 1979; 1979.1; *ARCI #004-105 Subsection X Pick (n) Position (x) Pool
*Race #9	*\$2 DD; \$1 E; \$1 TRI; \$.10 SF; *Super H5	CHRB#*1957; 1959; 1979; 1979.1; *ARCI #004-105 Subsection X Pick (n) Position (x) Pool
*Race #10	\$1 E; \$1 TRI; \$.10 SF; *Super H5	CHRB#1959; 1979; 1979.1; *ARCI #004-105 Subsection X Pick (n) Position (x) Pool

(*) When applicable

***PRA/GOLDEN GATE FIELDS - PLEASANTON (AUXILIARY SITE)
EQUINE EMERGENCY SERVICES***

Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860
1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Fridays	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer
2.	<u>PLEASANTON (auxiliary site) VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM Only – TBD
	Fridays:	AM Only – TBD
	Saturdays:	AM Only – TBD
	Sundays:	AM Only - TBD

TBD – Rotating schedule amongst list from exhibit A

\$1 E – Exacta on all eligible races
 \$2 DD – Rolling Daily Double on all races
 \$1 PK3 – Pick 3 on all eligible races
 \$1 TRI – Trifecta on all eligible races
 \$.10 cent SF – Superfecta on all eligible races
 \$.50 PNP4 – Pick 4 on first four and last four races; No consolation; 100% carryover; Alternate runner provision. (CHRB 1976.9)
 \$.50 PNP5 – Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
 \$1 Pentafecta – (Super High 5) in the last race if the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
 \$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **June 12, 2016 for the period of December 26, 2015 through June 12, 2016**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Advance wagering will be offered Friday, May 6, 2016 for the Kentucky Derby, Friday, May 16, 2016 for the Preakness, and on Friday, June 10, 2016 for the Belmont Stakes
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025
- G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

WPS-15.43%; DD-20.00%; E-22.68%; PK3-23.68%; TRI-23.68%; SF-23.68%; PNP4-23.68%; PNP5-14.00%; PN6-23.68%; Super H5-23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet
TwinSpires
TVG
Bet America (Lien Games)
WatchandWager.com, LLC

TOC approval pending

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

ADW Agreements Pending

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Pending**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604; ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On file with the board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

Alameda County Fair, Pleasanton
 Big Fresno Fair, Fresno
 CA State Fair & Exposition, Sacramento
 Club One, Fresno
 Humboldt County Fair, Ferndale
 Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo

Southern California

Antelope Valley Fair, Lancaster
 Barona Resort & Casino, Lakeside
 Cabazon Fantasy Springs Casino, Indio
 Del Mar Thoroughbred Club, Del Mar
 Derby Club at Seaside Park, Ventura
 Fairplex Park, Pomona
 Los Alamitos Racecourse, Los Alamitos
 National Orange Show, San Bernardino
 San Bernardino County Fair, Victorville
 Santa Anita Park, Arcadia

Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock
 The Jockey Club, San Mateo

Shalimar Sports Center, Indio
 Sports Pavilion, Farmers Fair, Lake Perris
 Sycuan Resort & Casino, El Cajon
 Viejas Casino & Turf Club, Alpine

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

2016 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**

015/16 Golden Gate Commingled Locations

nWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Apahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutual
Anna Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Almoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Almoral ADW (BETZOTIC)	Finger Lakes	Mountaindeer Park	Suffolk Downs
Angor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Atavla	Freehold	Nassau Regional OTB	SunRay Park & Casino
Attor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Bulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acot Wagering
Birmingham	Bwin International Ltd.	Newport Jal Alai	Taunton Dog Track Inc.
Buffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets	Cedar Downs OTB	Toga Downs
Capital District OTB	Greentrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d'Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Western OTB
Foreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Williamatic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Pralite Meadows	XpressBet
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Paragon Casino	Lewiston OTB's	Raceway Park	Yubel Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	Separate Pool Locations
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Camarero (Puerto Rico)
Millennium Racing	BelAmerica and Offtrackbetting	Rillito Park	Caymanas (Jamaica)
Royal Beach Casino	Lone Star	River Downs	Codere (Mexico/Spain)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	MIR Books (Cellente)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	NDS Books (Nevada)
Camouflage Gaming	Atlantis Paradise Casino	Ruldoso Downs	Tabcorp (Australia)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tattsbet (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	New Zealand Racing Board
Delta Downs	Foxwoods Resort Casino	Sam Houston	
Derby Jackpot	Meskaki Bingo & Casino	Valley Greyhound Park	

Canadian Locations

Alberta Downs, Asshobola, Barle, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Haslings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Royal Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Lake Forest; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield, and The Mermaid Tavern, Thousand Oaks
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Cal Expo Harness		Per CHRB calendar	Full Card

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and

Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|--------------------------------------|--|
| Association Veterinarian(s) | Sara Sporer, D.V.M (Track Veterinarian)
Forrest Franklin, D.V.M. (CHRB Veterinarian)
Diane Isbell, D.V.M. (Examining Veterinarian) |
| Clerk of Scales | Ken Sjoldal |
| Assistant Clerk of Scales | Ross Allardyce |
| Clerk of the Course | Tina Walker-Bryant |
| Film Specialist/Patrol/Placing Judge | Ella Robinson |
| Horse Identifier | Darrell Sparks |
| Horseshoe Inspector | Butch Fyllingness |
| Paddock Judge | Linda Anderson |
| Patrol Judge/Steward's Aide | Tammy McDuffie-Morris |
| Placing Judge/Patrol Judge | Randy Olson |
| Starter | Todd Stephens |
| Timer | Mark Martinelli |

B. Management officials in the racing department:

Director of Racing	Patrick Mackey
Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Patricia Prospero

Others (identify by name and title)

Main Track Superintendent	Juan Meza
Turf Track Superintendent	Bob Hemmer
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett
Steward's Secretary/Horsemen Liaison	Marci Knight

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2016**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2016**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
T.W. Johnson, Security Manager is responsible for security on-site
Security Department organizational chart is attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
T.W. Johnson cell phone number (510) 418-3161
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 34
- | | |
|------------------------------|-----------------------------------|
| 1 – Security Manager | 14 – Grandstand Security Officers |
| 1 – Security Lieutenant | 5 – Stable Security Officers |
| 1 – Security Sergeant | 5 – Stable Gate Personnel |
| 1 – Stable Security Sergeant | 5 – Fire Guards |
| 1 – Fire Guard Lieutenant | |

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over

24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

- B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

- C. Describe number and location of surveillance cameras in detention stall area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

- B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

- C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

- D. Number of security personnel assigned to the TCO2 program.

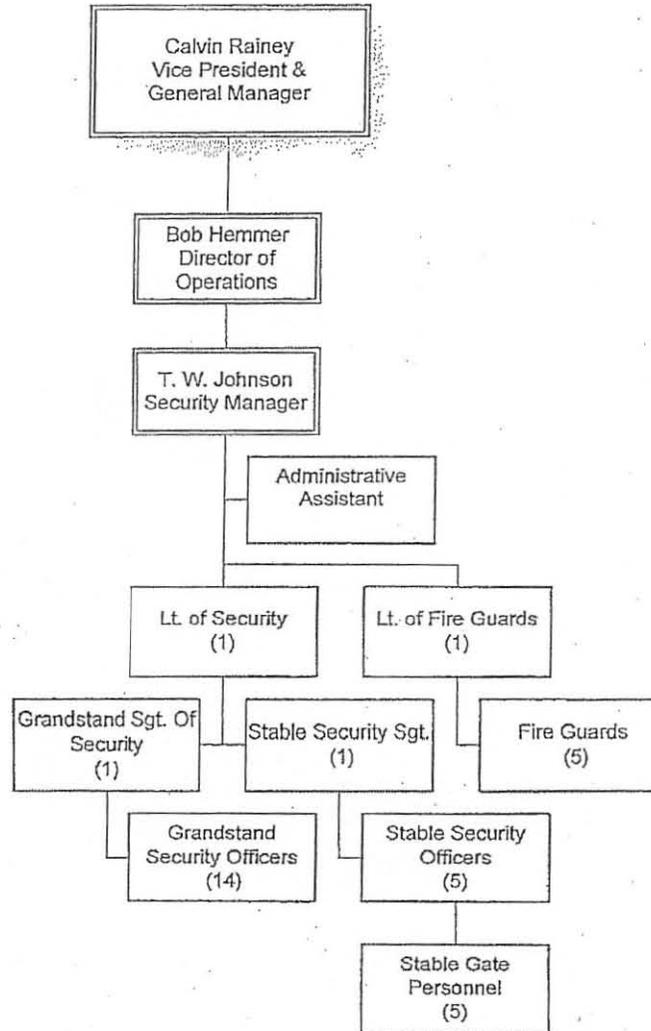
As needed per the advice of CHRB staff

- C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

PACIFIC RACING ASSN / GOLDEN GATE FIELDS SECURITY DEPARTMENT ORGANIZATIONAL CHART ATTACHMENT 15A



Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to T. W. Johnson, Director of Security which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRFB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRFB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

TBD

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

TBD

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444
or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st
Street, Oakland, CA 94602 (510) 437-4865**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Roy Roenbeck, Director of Environmental, Health & Safety

Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Berkeley fire clearance letter attached valid through April 21, 2016

Albany fire clearance letter attached valid through February 25, 2016

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

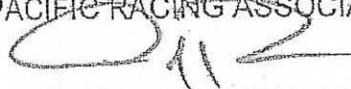
Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be \$ _____ per hour subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC



Brad Winding, Owner

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

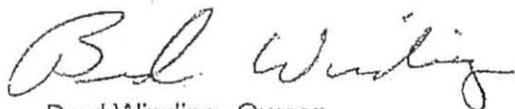
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County
Public Health Department



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2014** to **December 16, 2016**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Fred [Signature]
EMS Director

Karl [Signature], MD
Alameda County EMS Medical Director

An embossed seal should appear in a grey box.

September 20, 2015

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS RACE TRACK EMERGENCY MEDICAL PROTOCOL

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 14 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

TURF RESCUE AMBULANCE SERVICE

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening – Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

SHIFT PROCEDURES

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

TRAINING HOURS (A.M. SHIFT)

PLACEMENT OF AMBULANCE: Horsemen's Café in barn area (close proximity to race track and Gap gate).

FALLEN JOCKEY/RIDER

If there is a loose horse on the track, that indicates that the jockey/rider has fallen of his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.

LIVE RACING (P.M. SHIFT)

PLACEMENT OF AMBULANCE: Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

FALLEN JOCKEY: It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

CAUTION: Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

NOTE: The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)

The track physician at Golden Gate Fields provides a comprehensive set of services pre, intra and post daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

Pre-racing period

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.

5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that include blood testing and drug testing as proscribed by the California Horse Racing Board.
6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

Intra-racing period

1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital – Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.

14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

Post-racing period

1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
3. In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

Dr. Seftel's Recommendations for Optimal Jockey Trackside Care

1. With regard to optimal accident responsiveness and care the best approach features trained professionals working in close collaboration with track security.
2. Ambulance crews should have at least one paramedic trained and skilled in advanced life support measures.
3. A portable kit containing all the required medications and equipment should be available on the ambulance and similar supplies should be available in the clinic.
4. Track medical and security personnel should perform drills on at least a 6 month basis to ensure that all skills are kept current and that all allied personnel are familiar with optimal policies and protocols.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



Calvin J. Rainey
Vice President and General Manager
Golden Gate Fields



GOLDEN GATE FIELDS

Description of GGF Medical Clinic (Live Racing)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.



EMERGENCY MEDICAL PROCEDURES -LIVE RACING-

FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital:
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



**PROCEDIMIENTOS MÉDICOS DE EMERGENCIA
-DURANTE LAS CARRERAS-
PARA HERIDAS A JINETES EN LA PISTA**

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (acompañado por el médico de la pista) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);

Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe detener la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT
1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 526-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 East shore Highway
Berkeley, CA 94710

August 25, 2015

Mr. Rosenbeck,

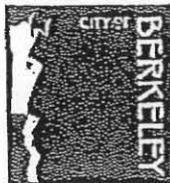
The Albany Fire Department conducted a Fire and Life safety inspection on August 25, 2015. All areas of Golden Gate Fields Race Track within the Jurisdiction of the City of Albany Fire Department have been inspected. During a pre-inspection meeting past corrected items were reviewed and have been acceptably corrected or mitigated. Compliance was confirmed during this inspection.

The Facility is old. However, the buildings and infrastructure are well maintained. Overall the site is in good condition. The inspections of the facility for fire and life safety systems are currently maintained, and operational. Correction Notices are readily complied with and communication between Golden Gate Fields and the Albany Fire Department is excellent.

Fire Clearance is granted to Golden Gate Fields/Pacific Horse Racing Association. This Fire Clearance Will expires February 25, 2016. At which time a new clearance will need to be applied for. Should you have any further need of service, please do not hesitate to contact me.

Sincerely yours,

Charles Rivers
Fire Marshal
City of Albany Fire Department



Department of Fire and Emergency Services
Division of Fire Prevention

April 24, 2015

Merry Scalzo
Director of Admissions
Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706
Phone (510) 559-7325
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection – Golden Gate Fields - Stables

On April 21, 2015 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through April 21, 2016. Our fire life safety report indicates that there are no outstanding violations noted or corrections needed to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in black ink, appearing to read "Stan Fernandez".

Stan Fernandez
Fire Inspector / Investigator

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-015 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI attached valid through June 30, 2016

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Tip Sheets	West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510
Armored Car	Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
Track Physician	Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
Official Program	Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706
Starting Gate	Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590
Track Photographer	Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **Attached**

B. Promotional/ Marketing budget for this race meeting: **Attached**

Promotional/Marketing budget for prior race meeting: **Approximately \$860,000**

C. Number of hosts and hostesses employed for meeting:

1 Customer Service Representative

5 Group Sales Representatives



Certificate of Insurance

No.: PACII-2015-336

Dated: June 29, 2015

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:
 Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-13	Jun 30, 2015 to Jun 30, 2016	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-015	Jun 30, 2015 to Jun 30, 2016	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

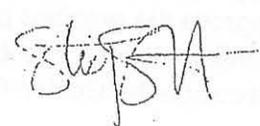
Additional Information:

The Commercial General Liability Policy, GLO9302183-12, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-014, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 416-868-2123 Fax: - stephanie.leite@marsh.com	Marsh Canada Limited  By: _____ Stephanie Wong
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MARKETING BUDGET

2015 Winter/Spring Meet Marketing Budget: \$860,000 to be spread across all of the initiatives described below.

SIMULCAST MARKETING

Roughly 93% of Golden Gate Fields' handle was wagered at locations other than Golden Gate Fields during the 2015 winter/spring meet. A similar percentage was wagered at locations other than Golden Gate Fields during the 2014 calendar year. While on-track attendance and handle remain important to our core business, we have determined that we can make a more significant positive impact on purses and track commissions by increasing the reach and desirability of the Golden Gate Fields simulcast signal.

An example of marketing which yielded positive results last winter/spring was the arrangement made between TVG and HRTV in February 2015. The arrangement guaranteed Golden Gate Fields that all of their races would be televised live on one of the two networks. From the date the arrangement was entered into until the end of the winter/spring meet, ADW handle was 25% higher than it was for the same time period the year before.

In contrast to the success we saw from simulcast marketing, last year's on-track marketing initiatives, while important to keep Golden Gate Fields relevant as an entertainment option in Bay Area, were much less successful at generating additional wagering. On our two big Latin Music Festival days, April 26th and June 7th, the on-track handle was significantly below expectation. The median attendance on a Sunday during the 2015 winter/spring meet was 3,906. During our first Latin Music Festival day, April 26, 2015, attendance was 10,835. This represented a 177% increase above the median. However, on-track handle was only \$127,979.20 above the Sunday median on-track handle. This translates into approximately \$20,000 of additional *revenue* for the track and horsemen to share. On June 7, 2015, attendance was 5,487, which represents a 40% increase compared to the median. Handle only increased by \$29,841.20 as compared to the Sunday median on-track handle. Again, the *revenue* generated by this additional handle was very small – approximately \$5,000 for the track and horsemen to share.

Despite the significant increase in attendance, there was not a corresponding increase in handle. These two examples help illustrate why Golden Gate Fields will continue to spend a material portion of its marketing efforts on the simulcast marketplace.

ACTION PLAN

Golden Gate Fields plans to implement the following improvements which it believes will help increase our exposure, and therefore handle, in the simulcast marketplace:

1. Upgrade the broadcast to high definition
2. Improve the graphics package
3. Continue to leverage its relationship with TVG
4. Redesign the Golden Gate Fields website

PROMOTIONS/EVENTS

In addition to improving the simulcast presentation of the races at Golden Gate Fields, the track will continue to offer its popular Senior Fridays, Dollar Day Sundays, and the spring Latin Music Festival. While experience tells us these promotions will not be significant drivers of new handle, we will continue these promotions because we believe it is important to continue to try to build new on-track customers.

By offering consistent promotions, fans will be able to better understand what to expect from Golden Gate Fields. A consistent message will be advertised during each media flight, which tells fans that Golden Gate Fields is a fun and affordable entertainment option.

MEDIA PLAN

Golden Gate Fields will advertise on the radio with KNBR680AM and through print with the San Francisco Chronicle.

In addition to paid flights on the radio and in print, Sam Spear will appear on the local sports television and radio networks to discuss horse racing.

Radio:

KNBR680AM

The number one rated radio sports program in the Bay Area

Every Sunday during the winter/spring meet, Sam Spear will host his one hour radio program *At the Track* on KNBR680AM. The program highlights not only Northern California racing, but it also discusses the racing action in Southern California. Sam will host racing industry insiders who discuss racing news and handicap the California cards.

Print:

San Francisco Chronicle

The newspaper with the largest distribution in the Bay Area.

The results and house handicap for every race day at Golden Gate Fields will appear every week in the San Francisco Chronicle. In addition to stats, Golden Gate Fields will receive extensive racing coverage by freelance sports writer, Larry Stumes. It is common for Golden Gate Fields to receive almost a full page of coverage in the Chronicle following a stakes race.

Television:

KTSF

The leading independent Asian television station in the San Francisco Bay Area.

The screenshot shows a website header with navigation links: SFGATE, NEWS, SPORTS, BUSINESS, AZE, FOOD, LIVING, TRAVEL, REAL ESTATE, CARS. Below the header is an article preview for 'This week at Golden Gate Fields' by Larry Stumes, published on Wednesday, April 11, 2012. The article title is 'This week at Golden Gate Fields' and the sub-headline is 'Schedule: Thursday through Sunday'. The post time is 12:22 p.m. The article features a simulcast race: Grade 2, \$1,500,000 Charles Town Classic on Saturday. An update mentions a service for trainer Bill Murray Jr., who died in a Friday at the age of 74. The article also mentions a great horse trainer in the past, and a new trainer Jerry Hollister of Maryland. The article is free and does not require a subscription. The article is part of a series of articles about Golden Gate Fields, including a feature on the 1990s and a feature on the 2000s. The article is part of a series of articles about Golden Gate Fields, including a feature on the 1990s and a feature on the 2000s. The article is part of a series of articles about Golden Gate Fields, including a feature on the 1990s and a feature on the 2000s.

During the winter/spring meet Golden Gate Fields will continue to broadcast *The Golden Gate Fields Report*, a weekly television replay show that has run for more than 35 years in the San Francisco Bay Area. The weekly program airs every Saturday and Sunday at 5 p.m., immediately following the races. The program has proven to effectively reach Bay Area horseplayers.

Comcast SportsNet Bay Area & California

A regional sports network that is primarily owned by NBCUniversal and focuses on the sports highlights of all of the Bay Area sports franchises and venues.

Golden Gate Fields will continue its strong relationship with Comcast SportsNet Bay Area and Comcast SportsNet California, two of the leading sports channels in the Bay Area. These channels have helped promote racing at Golden Gate Fields through weekly race day highlights, human interest stories, and in-depth coverage of the El Camino Real Derby.

Daily Racing Form

In the event of a large jackpot for the .20¢ Golden Pick 6, Golden Gate Fields may use strip ads to appear in the Past Performances in the Daily Racing Form. The publication is also an effective tool to promote the mandatory payouts at the end of the meet.

SOCIAL MEDIA

Golden Gate Fields has a large number of fans through its social media channels. Most of the marketing effort during the winter/spring meet will focus on making Golden Gate's channels fun, engaging, and educational.

The social media goal of the winter/spring meet is to see a 10% increase in the total number of fans that follow Golden Gate Fields on Twitter and Facebook.

Facebook

The most followed social media channel for Golden Gate is its Facebook page. As of October 7, 2015, the page had 59,607 likes. Since January 1, 2015, the total number of page likes has increased by 7%.

This channel has proven to be an effective way to reach many users.

For instance, on July 16, 2015, Golden Gate Fields posted an image from 1989 of a buffalo racing on the main track. The post reached 1.2 million Facebook users, generated over 7,300 likes and was shared across 10,300 pages.

Through posts like this, Golden Gate Fields is able to reach a large audience and encourage page likes.



Twitter

In addition to Facebook, Golden Gate also uses Twitter to focus on horseplayer information. As of October 7, 2015, Golden Gate had 3,451 followers on Twitter. This channel has proven to be an effective way to promote mandatory payouts, large payoffs, and growing jackpots at Golden Gate.

Demographics of Golden Gate's Facebook Audience

Your Fans People Reached People Engaged

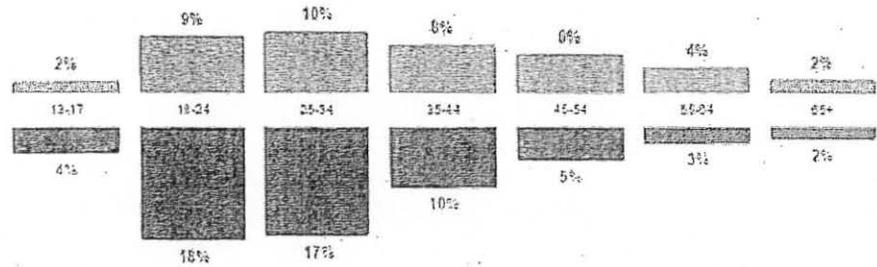
The people who like your Page

Women

41%
Your Fans

Men

59%
Your Fans



Country	Your Fans	City	Your Fans	Language	Your Fans
United States of America	42,407	San Francisco, CA	1,637	English (US)	37,071
Mexico	11,025	Los Angeles, CA	1,142	Spanish	15,063
Argentina	602	Oakland, CA	1,081	Spanish (Spain)	4,043
Brazil	586	San Jose, CA	911	English (UK)	1,197
Canada	519	Mexico City, Distrito Fed	653	Portuguese (Brazil)	603
Venezuela	341	Sacramento, CA	725	Arabic	324
Puerto Rico	174	Dallas, TX	674	French (France)	203
Chile	163	Houston, TX	585	Italian	159
Italy	149	Odessa, TX	441	German	74
India	141	Richmond, CA	405	Spanish (Mexico)	62

See More

THE GOLDEN GATE PODCAST

First introduced during the spring of 2015, *GGF Trackside* is Golden Gate Fields' official podcast during the racing season. Hosted by Sam Spear, this weekly podcast highlights the current and past events at Golden Gate Fields.

The program offers the track access to content that it can post across its social media channels. This is another way for Golden Gate Fields to help engage fans across the country, while promoting Northern California racing.

EMAIL MARKETING

During the winter/spring meet, Golden Gate Fields will use the effective marketing efforts of Cal Racing's database to promote carryovers, jackpots, and mandatory payouts to horseplayers across the country. The current database has about 130,000 emails of people that are interested in horse racing.

During the winter-spring meet, Cal Racing proved to be an excellent tool to promote the large .20¢ Golden Pick 6 jackpots at Golden Gate Fields.



Notification for Sunday, June 14

Golden Gate Fields
\$117,228 Carryover for Golden Pick 6

For post times and program entries, please click the track links above.
 For free live video and race replays, visit www.calracing.com

Subscription Information: The following message was sent because you have subscribed, provided an E-mail address, or given permission to receive information from the Cal Racing Club, Cal Racing.com or Surfside Race Place. To view our Privacy Policy click here.

Feedback, Questions, or Comments: If you have questions or comments please direct them to calracing@calracing.com, or write to us at: Cal Racing, 2950 Dulles Blvd, Ste 218, Dublin, CA 94568.

Unsubscribe: Please do not reply to this e-mail. To unsubscribe from this email program please click here.

LEVERAGING THE STRONACH GROUP UMBRELLA

As a benefit of being a Stronach Group track, Golden Gate Fields can be promoted across the many other Stronach owned companies: Santa Anita Park, Gulfstream Park, Pimlico Race Course, Laurel Park, Portland Meadows, and Xpressbet.

This proves to be very useful in getting the attention of horseplayers from across the country to focus on Northern California racing.

THE .20¢ GOLDEN PICK 6

Returning for the winter/spring meet at Golden Gate Fields will be the .20¢ Golden Pick 6. This jackpot style bet was first introduced at the start of the 2014 summer meet and it has shown to be a great value wager.

During the 2015 winter/spring meet, the jackpot was hit seven different times, resulting in some large .20¢ payoffs:

2015 DATE	Feb. 20	Apr. 4	Apr. 17	Apr. 25	May 9	May 14	Jun. 14
.20¢ PAYOFF	\$363,425	\$280,459	\$40,964	\$20,969	\$54,746	\$13,356	\$43,893

The total handle for the Golden Pick 6 during the 2015 winter-spring meet was \$3.78 million. When compared with the original, non-jackpot style Pick 6 that was offered for the 2014 winter/spring meet, this total handle was up 234%.

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Renovated Barn 63 and Barn 53 in their entirety including carpentry, new roofs, etc.
- Contracting with Geovision, surveillance company, to upgrade current barn area surveillance system including new DVRs, high-tech 360° fish-eye camera in Receiving Barn, and additional camera around the periphery
- Replaced old turf steel rail with Fontana aluminum turf safety rail
- Bridle path renovation in the barn area
- Continuation of laundry service for the Jockey's Room by GGF when contractors retired
- Added new televisions with upgraded digital signal to the Steward's booth for viewing the races
- Building a second turf course gap entrance for 5 furlong races, building a secondary gap gate for the mile turf course entrance, and installing a 3' safety gate on the main track
- Enacted new procedure for Steward's to access the track emergency lights during racing hours
- Hooked up Wi-Fi antennae on new Stable Walk-In Gate
- Replaced and repaired smoke alarms in the barn area
- Cement work completed to repair concrete around drains in stable area
- Repaired draining section on the turf course near the 1/16 pole
- Rebuilt Walk-In Gate and area where Horsemen watch morning workouts
- Added two (2) surveillance cameras to the Main Stable Gate to monitor the traffic going in and out of the barn area
- Added three (3) surveillance cameras into the barn area at the Walk-In gate
- Completed the Fall maintenance to the Tapeta surface in September 2015
- Working with Bay Alarm to update alarm system by including digital monitoring panels in the barn area
- Continual roadway and barn repairs

2. Fans

- Replacing all Turf Club table top televisions (~282) with LED TVs
- Supplementing Club House television inventory by adding 12-55" LED TVs
- Adding nine (9) 42" LED televisions to the Club House Season Membership box seat area

- Initiated the first of its kind, "GGF Trackside" podcast on the GGF website. The weekly podcast focuses on a variety of topics including racing news, race analyses, GGF promotions and special events, interviews, racing history and more.
- Upgraded the Club House by adding 28 flat screen televisions to enhance fan's viewing pleasure
- Added four (4) surveillance cameras in Club House 1 and Club House 2
- Replenishing chair inventory by adding 300 chairs in the Club House and Grandstand
- Improved our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
- Analyzing new admission policies including current THOROUGHBREDS frequent player program
- Continuing with new wagering format during the Fall meet; changed our Daily Doubles to Rolling Daily Doubles offered in each race, Golden Pick 6 with a \$.20 minimum, and reduced take-outs on Daily Doubles and Pick 5

3. Facilities in the restricted areas Equine Hospital

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$10.00 – Bundled price includes free program (previously \$8.00)
Admission (turf club)	\$12.00 Weekdays – Bundled price includes free program (previously \$10.00)
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 5.00
Parking (preferred)	\$10.00
Parking (valet)	\$10.00
Programs (on-track)	\$ 2.50
(off-track)	\$ 2.50

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00 (previously \$6.00)
11-20 Visits	\$4.00	11-20 Visits	\$7.00 (previously \$5.00)
21-30 Visits	\$3.00	21-30 Visits	\$6.00 (previously \$4.00)
31-40 Visits	\$2.00	31-40 Visits	\$5.00 (previously \$3.00)
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00 (previously \$2.00)
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:

Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett

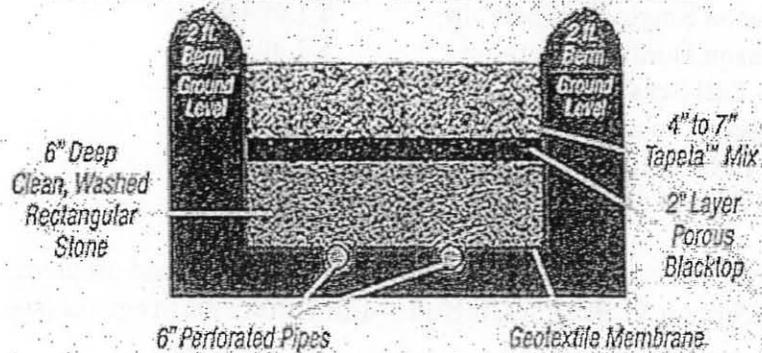
B. Number of rooms used for housing on the backstretch of the racetrack: **128**C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: 1.5%
The percent of cross slope in the center of the turns is: 4%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC and CTT agreements are pending
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing

each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**

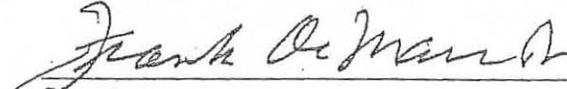
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Frank DeMarco, Jr.
Print Name


Signature

VP Regulatory Affairs & Secretary
Print Title

11-2-15
Date

STAFF ANALYSIS
November 19, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB AT SANTA ANITA DECEMBER 26, 2015 THROUGH JULY 10, 2016.

Los Angeles Turf Club (LATC) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

At its October 22, 2015 regular meeting, the Board deferred the Los Angeles Turf Club race meet application until November 19, 2015, as the LATC application was incomplete. The stake schedule, purse program, stabling accommodations, schedule of charges, emergency services and promotional marketing section(s) of the application were unfinished; therefore, the Board asked that applicant to return to the November 2015 Board meeting.

CHRB staff contacted a LATC representative on October 22, 2015 and requested the submission of the outstanding application items by October 28, 2015. LATC submitted an amended race meet application on November 2, 2015. The LATC emergency services and promotional marketing section of the application remain incomplete.

- December 26, 2015 through July 10, 2016, or 104 days, 3 days less than 2015. The association proposes to race a total of 850 races, or 8.17 per day. In 2014 LATC raced 928 races with an average of 8.18 runners per race. LATC provides that July 7th through July 10th will be used as “optional days”, noting the days may be run upon reasonable advance notice to CHRB.
- The response provided to the new equine emergency services section of the application is inadequate. The new section seeks contact information for the racing veterinarian onsite and a schedule listing dates and times that a veterinarian will be available at the association and auxiliary sites. LATC has not provided contact information or a schedule for the veterinarian(s); they have reported that “There is a rotation of private veterinarians’ onsite during training hours and during racing hours.”
- The race dates proposed are the dates the Board allocated.

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

March - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

April - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

May - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

June - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

July - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Racing 4 days per week, Thursday through Sunday with the exception of December 26 through 27, 2015. Racing 5 days Wednesday, December 30, 2015 – Sunday, January 3, 2016. Racing 5 days Monday, July 4, 2015 – Sunday, July 10, 2016. No less than 7 races weekdays; 9 races Opening Day, weekends and January 1, 2016, January 18th, February 15th, May 30th, and July 4, 2016, with the option to program 10 races on such days.
 - First post 1:00 p.m. all weekdays; 12:30 p.m. weekends and holidays with the following exceptions*:
 - Saturday, December 26, 2015 (Opening Day) 12:00 p.m.
 - Wednesday, December 30 – Sunday, January 3, 2016 (Opening Week) 12:30 p.m.
 - Saturday, January 30 2016 (Cal Cup/Sunshine Millions Day) 12:00 p.m.
 - Sunday, February 7, 2016 (Super Bowl) 11:00 a.m.
 - Saturday, March 12 (Santa Anita Handicap) 12:00 p.m.
 - Saturday, April 9 (Santa Anita Derby) 12:00 p.m.
 - Saturday, May 7 (Kentucky Derby Day) 11:00 a.m.
 - Saturday, May 21 (Preakness Day) 11:00 a.m.
 - Saturday, June 11 (Belmont Stakes Day) 11:00 a.m.
- *Post times subject to change. LATC will give the Board advanced notice.
- Wagering program will use CHRB rules and ARCI rules.
 - Early wagering will be 8:30 a.m. to 10:00 a.m. each day.
 - \$1 Exacta on all eligible races.
 - \$2 – Rolling Daily Double beginning with the first race– 20% takeout.
 - \$1 Trifecta on all eligible races.
 - \$.10 Superfecta on all qualifying races.
 - Incoming simulcasts will follow host track format.
 - \$ 2 - Pick 6 on last 6 races – 70% carryover, 30% minor – Alternate runner provision.
 - \$.50 Pick 4 starting the second race and the last four live races. No consolation; 100% carryover; Alternate runner provision.

- \$.50 Pick 5 starting first race with a 14% takeout; One tier payoff; 100% carryover.
- \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 may be carded as last race of the day.
- The Advance Deposit Wagering (ADW) providers are Xpressbet, Watch and Wager, Twinspires, Bet America and TVG.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Pertinent 2016 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated Advance Deposit Wagering providers to accept wagers on races conducted in and outside of California for the applied license term.
2. Horsemen approval of Advance Deposit Wagering.

3. Side-by-side stakes schedule of current and prior two years.
4. 2016 Vanning and Stabling Agreement.
5. Promotional marketing plans.
6. Promotional marketing budget [current and prior].
7. Schedule of Charges: General Admission and Parking for Thursday/Friday listed as TBD
8. Thoroughbred Owners of California agreement.
9. California Thoroughbred Trainers agreement.
10. California Emergency Medical Services Authority certification for Huntington Ambulance, LLC.
11. California Emergency Medical Services Authority certification for Mercy Medical Transportation Inc.

Specific information which will need updating during the proposed race dates:

1. Workers' compensation insurance policy (expires June 30, 2016).

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club, Incorporated**
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 Fax (626) 446-9565
frank.demarco@santaanita.com

B. Breed of horse: TB QH H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
Submitted

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

CHRB CERTIFICATION

Application received: 11/2/15
 Deposit received: 10/15
 Reviewed: WA

Hearing date: 11/19/15
 Approved date:
 License number:

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: December 26, 2015 through July 12, 2016

B. Actual dates racing will be held:

2015 December 26, 27, 30 and 31 (4 days)

2016

January 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 18, 22, 23, 24, 28, 29, 30, and 31 (19 days)

February 4, 5, 6, 7, 11, 12, 13, 14, 15, 19, 20, 21, 25, 26, 27, and 28 (16 days)

March 3, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 25, 26, 27, and 31 (17 days)

April 1, 2, 3, 7, 8, 9, and 10 (7 days)

May 5, 6, 7, 8, 12, 13, 14, 15, 19, 20, 21, 22, 26, 27, 28, 29, and 30 (17 days)

June 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 23, 24, 25, 26, and 30 (16 days)

July 1, 2, 3, and 4 (4 days)

Optional at determination of the licensee, but upon reasonable advance notice to the board: July 7, 8, 9 and 10 (4 days)

C. Total number of days or nights of racing: 100 (104 days if optional days are run)

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: Racing Wednesday, December 30, 2015

Monday, January 18, 2016

Monday, February 15, 2016

Monday, May 30, 2016

Monday, July 4, 2016

Dark Thursday, January 21, 2016

Thursday, February 18, 2016

Thursday June 2, 2016

No Racing: Thursday, April 14 through Sunday, May 1, 2016

E. Number of days or nights of racing per week: 4 days per week

Exceptions: 2 days, Saturday, December 26 – Sunday, December 27, 2015

5 days, Wednesday, December 30, 2015 – Sunday, January 3, 2016

1 day, Monday, July 4, 2016. However, if the optional days are run, 5 days,

Monday July 5 and Thursday, July 7 – Sunday, July 10, 2016

3. RACING PROGRAM

- A. Total number of races: **850 (Estimated)**
- B. Number of races for each day or night: **No less than 7 races weekdays; Nine races Opening Day, Weekends, Holidays, January 1, January 18, and February 15, May 30 and July 4, 2016; with the option to program ten races on such days.**

C. Total number of stakes races: **77**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed pure for each. Note the races that are designated for California-bred horses.

Attached Schedule 3D

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached Schedule 3D(1)

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

For the 2015-16 Winter/Spring Meet the following changes were made to the Stake Schedule:

- The La Canada Stakes was opened up to F/M 4 & UP, the grade was reduced to a Grade III and the purse was reduced \$100,000 to \$100,000. The money from here will go to help boost the purse for the Santa Margarita Stakes (Grade I).
- The purse for the San Carlos Stakes (Grade II) was increased by \$50,000 to \$300,000. The purse was increased to attract better horses.
- The purse for the Santa Margarita Stakes (Grade I) was increased by \$150,000 to \$500,000. This increase will help this race retain Grade I status and attract horses from across the country.
- The Kona Gold Stakes (Grade II) was moved from early April to late May to serve as a prep for the Triple Bend Stakes (Grade I). The conditions will remain the same.
- The Vanity Stakes (Grade I) has been changed to One Mile in distance and the purse has been increased by \$100,000 to \$400,000. This was done to offer a new distance and hopefully attract a different type of horse to help keep it's Grade I status.
- The Charles Whittingham Stakes (Grade II) distance has been changed to 1 ¼ Miles (T) from 1 ½ Miles (T). This was done to increase field size.
- Two California Bred Stakes, the Lennyfromalibu and the Cambiocorsa have been added to the final weekend to fill out the schedule. They will run for the first time. However, these two races will not be rescheduled if the optional days (see Section 2B) are not run.

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

- The Santa Barbara Stakes (Grade III), Los Angeles Stakes (Grade III), Last Tycoon Stakes (Grade III), and the American Oaks (Grade I) will not run in 2016 because of the loss of April dates.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

Exhibit 3D

2015-16 Santa Anita Stakes 12/26/2015 - 4/10/2016				
Run Date	Race	Age	Distance	Purse
Sat Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000
Sat Dec 26	Mathis Brothers Mile (Grade II)	3 YO	1 M (T)	\$200,000
Sat Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000
Sat Dec 26	Daytona Stakes (Grade III)	3 & UP	abt 6 1/2 F (T)	\$100,000
Sun Dec 27	Robert J. Frankel Stakes (Grade III)	F/M, 3 & UP	1 1/8 M (T)	\$100,000
Sat Jan 2	Midnight Lute (Grade III)	4 & UP	6 F	\$100,000
Sat Jan 2	Santa Ynez Stakes (Grade II)	F, 3 YO	6 1/2 F	\$200,000
Sat Jan 2	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$200,000
Sun Jan 3	Monrovia Stakes (Grade II)	F/M, 4 & UP	abt 6 1/2 F (T)	\$200,000
Sat Jan 9	San Pasqual Stakes (Grade II)	4 & UP	1 1/16 M	\$200,000
Sat Jan 9	Sham Stakes (Grade III)	3 YO	1 M	\$100,000
Sat Jan 16	La Canada Stakes (Grade III)	F/M, 4 & UP	1 1/16 M	\$100,000
Sat Jan 23	Santa Monica Stakes (Grade II)	F/M, 4 & UP	7 F	\$200,000
Sat Jan 30	California Cup Derby	3 YO (CA)	1 1/16 M	\$250,000
Sat Jan 30	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000
Sat Jan 30	Sunshine Millions F/M Turf Sprint	F & M, 4 & UP (CA)	abt 6 1/2 F (T)	\$150,000
Sat Jan 30	California Cup Sprint	4 & UP (CA)	6 F	\$150,000
Sat Jan 30	California Cup Turf Classic	4 & UP (CA)	1 1/8 M (T)	\$250,000
Sat Feb 6	Las Virgenes Stakes (Grade I)	F, 3 YO	1 M	\$300,000
Sat Feb 6	San Antonio Stakes (Grade II)	4 & UP	1 1/8 M	\$500,000
Sat Feb 6	Robert B. Lewis Stakes (Grade II)	3 YO	1 1/16 M	\$200,000
Sat Feb 6	San Marcos Stakes (Grade II)	4 & UP	1 1/4 M (T)	\$200,000
Sun Feb 7	Palos Verdes Stakes (Grade II)	4 & UP	6 F	\$200,000
Sat Feb 13	Arcadia Stakes (Grade II)	4 & UP	1 M (T)	\$200,000
Sat Feb 13	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000
Mon Feb 15	San Vicente Stakes (Grade II)	3 YO	7 F	\$200,000
Mon Feb 15	Buena Vista Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$200,000
Sat Feb 20	Valentine Dancer Stakes	F/M, 4 & UP (CA)	1 M (T)	\$100,000
Sat Feb 27	Sensational Star Stakes	4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sat Mar 5	Santa Ysabel Stakes (Grade III)	F, 3 YO	1 1/16 M	\$100,000
Sat Mar 12	San Carlos Stakes (Grade II)	4 & UP	7 F	\$300,000
Sat Mar 12	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$1,000,000
Sat Mar 12	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$400,000
Sat Mar 12	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$400,000
Sun Mar 13	San Luis Rey Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$200,000
Sat Mar 19	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$500,000
Sat Mar 19	Irish O'Brien Stakes	F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sun Mar 20	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 F	\$100,000
Sat Mar 26	Santa Ana Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$200,000
Sun Mar 27	Dream of Summer Stakes	F/M, 4 & UP (CA)	1 M	\$100,000
Sat Apr 2	San Simeon Handicap (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000
Sun Apr 3	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000
Sat Apr 9	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 9	Evening Jewel Stakes	F, 3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 9	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$400,000
Sat Apr 9	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$1,000,000
Sat Apr 9	Providencia Stakes (Grade III)	F, 3 YO	1 1/8 M (T)	\$150,000
Sun Apr 10	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000
Sun Apr 10	San Juan Capistrano Stakes (Grade III)	3 & UP	abt 1 3/4 M (T)	\$100,000
A minimum of 11 Overnight Stakes will be run				
*** ALL RACES ARE GUARANTEED unless indicated				

Exhibit 3D

2015-16 Santa Anita Stakes 5/7/2016 - 7/10/2016				
Run Date	Race	Age	Distance	2015-16 Purse
Thu May 5	Wilshire Stakes (Grade III)	F/M, 3 & UP	1 M (T)	\$100,000
Sat May 7	Precisionist Stakes (Grade III)	3 & UP	1 1/16 M	\$100,000
Sat May 14	American Stakes (Grade III)	3 & UP	1 M (T)	\$100,000
Sun May 15	Lazaro Barrera Stakes (Grade III)	3 YO	7 F	\$100,000
Sat May 28	Spring Fever Stakes	F/M, 4 & UP (CA)	6 F	\$150,000
Sat May 28	Fran's Valentine Stakes	F/M, 4 & UP (CA)	1 M (T)	\$125,000
Sat May 28	Snow Chief Stakes	3 YO (CA)	1 1/8 (T)	\$200,000
Sat May 28	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$200,000
Sat May 28	Tiznow Stakes	4 & UP (CA)	1 M	\$150,000
Sun May 29	Californian Stakes (Grade II)	3 & UP	1 1/8 M	\$200,000
Mon May 30	Gamely Stakes (Grade I)	F/M, 3 & UP	1 1/8 M (T)	\$300,000
Mon May 30	Kona Gold Stakes (Grade II)	3 & UP	6 1/2 F	\$200,000
Sat Jun 4	Honeymoon Stakes (Grade II)	F, 3 YO	1 1/8 M (T)	\$200,000
Sat Jun 4	Vanity Stakes (Grade I)	F/M, 3 & UP	1 M	\$400,000
Sat Jun 11	Crystal Water Stakes	4 & UP (CA)	1 M (T)	\$100,000
Sun Jun 12	Affirmed Stakes (Grade III)	3 YO	1 1/16 M	\$100,000
Sat Jun 18	Shoemaker Mile (Grade I)	3 & UP	1 M (T)	\$400,000
Sat Jun 25	Summertime Oaks (Grade II)	F, 3 YO	1 1/16 M	\$200,000
Sun Jun 26	Charles Whittingham Stakes (Grade II)	3 & UP	1 1/4 (T)	\$200,000
Sat Jul 2	Senorita Stakes (Grade III)	F, 3 YO	1 M (T)	\$100,000
Sat Jul 2	Royal Heroine Stakes (Grade II)	F/M, 3 & UP	1 M (T)	\$200,000
Sat Jul 2	Triple Bend Stakes (Grade I)	3 & UP	7 F	\$300,000
Sat Jul 2	The Gold Cup at Santa Anita (Grade I)	3 & UP	1 1/4 M	\$500,000
Sun Jul 3	Santa Anita Juvenile	2 YO	5 1/2 F	\$125,000
Sun Jul 3	Landaluce Stakes	F, 2 YO	5 1/2 F	\$125,000
Mon Jul 4	Adoration Stakes (Grade III)	F/M, 3 & UP	1 1/16 M	\$100,000
Sat Jul 9	Lennyfromalibu Stakes	3 & UP (CB)	abt 6 1/2 F (T)	\$100,000
Sun Jul 10	Cambiocorsa Stakes	F/M, 3 & UP (CB)	abt 6 1/2 F (T)	\$100,000

2013-14 Winter Stakes Schedule

Exhibit 3D (1)

Run Date	Race	Age	Distance	2013-14
Thu Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000
Thu Dec 26	Sir Beaufort Stakes (Grade II)	3 YO	1 M (T)	\$200,000
Thu Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000
Sat Dec 28	Daytona Stakes (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000
Sun Dec 29	Robert J. Frankel Stakes (Grade III)	F/M, 4 & UP	1 1/8 M (T)	\$100,000
Sat Jan 4	San Pasqual Stakes (Grade III)*	4 & UP	1 1/16 M	\$100,000
Sat Jan 4	Santa Ynez Stakes (Grade III)*	F, 3 YO	6 1/2 F	\$100,000
Sun Jan 5	Monrovia Stakes (Grade III)*	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000
Sat Jan 11	Sham Stakes (Grade III)	3 YO	1 M	\$100,000
Sat Jan 11	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$200,000
Sat Jan 18	San Fernando Stakes (Grade III)*	4 YO	1 1/16 M	\$100,000
Sun Jan 19	La Canada Stakes (Grade III)*	F, 4 YO	1 1/16 M	\$150,000
Sat Jan 25	California Cup Derby	3 YO (CA)	1 1/16 M	\$250,000
Sat Jan 25	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000
Sat Jan 25	Sunshine Millions Distaff	F & M, 4 & UP (CA)	abt 6 1/2 F (T)	\$125,000
Sat Jan 25	California Cup Sprint	4 & UP (CA)	6 F	\$125,000
Sat Jan 25	California Cup Classic/Mile	4 & UP (CA)	1 1/8 M (T)	\$250,000
Sat Jan 25	Santa Monica Stakes (Grade II)	F/M, 4 & UP	7 F	\$200,000
Sat Feb 1	Palos Verdes Stakes (Grade III)*	4 & UP	6 F	\$150,000
Sat Feb 1	Arcadia Stakes (Grade II)	4 & UP	1 M (T)	\$200,000
Sat Feb 1	Las Virgenes Stakes (Grade I)	F, 3 YO	1 M	\$300,000
Sat Feb 8	Strub Stakes (Grade II)	4 & UP	1 1/8 M	\$300,000
Sat Feb 8	Robert B. Lewis Stakes (Grade II)	3 YO	1 1/16 M	\$200,000
Sat Feb 8	San Marcos Stakes (Grade III)*	4 & UP	1 1/4 M (T)	\$150,000
Sat Feb 15	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000
Sun Feb 16	San Vicente Stakes (Grade III)*	3 YO	7 F	\$100,000
Mon Feb 17	Buena Vista Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$200,000
Sat Feb 22	Sensational Star Stakes	4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sat Mar 1	Santa Ysabel Stakes (Grade III)	F, 3 YO	1 1/16 M	\$100,000
Sat Mar 8	San Carlos Stakes (Grade II)	4 & UP	7 F	\$300,000
Sat Mar 8	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$750,000
Sat Mar 8	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$300,000
Sat Mar 8	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$300,000
Sun Mar 9	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 F	\$100,000
Sat Mar 15	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$300,000
Sat Mar 15	Irish O'Brien Stakes	F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sun Mar 16	Santa Ana Stakes (Grade III)*	F/M, 4 & UP	1 1/8 M (T)	\$150,000
Sat Mar 22	San Luis Obispo Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$200,000
Sun Mar 23	Dream of Summer Stakes	F/M, 4 & UP (CA)	1 M	\$100,000
Sat Mar 29	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000
Sat Apr 5	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 5	Evening Jewel Stakes	F, 3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 5	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$400,000
Sat Apr 5	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$1,000,000
Sat Apr 5	Providencia Stakes (Grade III)*	F, 3 YO	1 1/8 M (T)	\$100,000
Sat Apr 12	Potrero Grande Stakes (Grade II)	4 & UP	6 1/2 F	\$200,000
Sat Apr 12	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000
Sun Apr 13	Santa Barbara Handicap (Grade II)	F/M, 4 & UP	1 1/4 M (T)	\$200,000
Sun Apr 13	San Simeon Handicap (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000
	San Juan Capistrano Handicap (Grade II)	4 & UP	abt 1 3/4 M (T)	\$0
	San Antonio Stakes (Grade II)	4 & UP	1 1/8 M	\$0
				\$10,200,000
***	ALL RACES ARE GUARANTEED unless indicated			

Exhibit 3D (1)

2013-14 Santa Anita Stakes 4/25/2014 - 6/29/2014				
Run Date	Race	Age	Distance	
Sat Apr 26	B Thoughtful Stakes	F/M, 3 & UP (CA)	6 F	\$125,000
Sat Apr 26	Fran's Valentine Stakes	F/M, 3 & UP (CA)	1 M (T)	\$100,000
Sat Apr 26	Snow Chief Stakes	3 YO (CA)	1 1/8 (T)	\$250,000
Sat Apr 26	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$250,000
Sat Apr 26	Tiznow Stakes	3 & UP (CA)	1 M	\$125,000
Sun Apr 27	Wilshire Handicap (Grade III)	F/M, 3 & UP	1 M (T)	\$100,000
Sat May 3	Mervyn LeRoy Stakes (Grade III)	3 & UP	1 1/16 M	\$100,000
Sun May 4	Honeymoon Stakes (Grade II)	F, 3 YO	1 1/8 M (T)	\$200,000
Sat May 17	American Handicap (Grade III)	3 & UP	1 M (T)	\$100,000
Sun May 18	Marge Everett Stakes (Grade III)	F/M, 3 & UP	1 1/16 M	\$100,000
Sat May 24	Charles Whittingham (Grade II)	3 & UP	1 1/4 M (T)	\$200,000
Mon May 26	Los Angeles Handicap (Grade III)	3 & UP	6 F	\$100,000
Mon May 26	Gamely Stakes (Grade I)	F/M, 3 & UP	1 1/8 M (T)	\$300,000
Sat May 31	Californian Stakes (Grade II)	3 & UP	1 1/8 M	\$200,000
Sat May 31	American Oaks (Grade I)	F, 3 YO	1 1/4 M (T)	\$350,000
Sat Jun 7	Affirmed Stakes (Grade III)	3 YO	1 1/16 M	\$100,000
Sun Jun 8	Crystal Water Stakes	3 & UP (CA)	1 M (T)	\$100,000
Sat Jun 14	Vanity Stakes (Grade I)	F/M, 3 & UP	1 1/8 M	\$300,000
Sat Jun 14	Shoemaker Mile (Grade I)	3 & UP	1 M (T)	\$350,000
Sat Jun 21	Hollywood Oaks (Grade II)	F, 3 YO	1 1/16 M	\$200,000
Sat Jun 21	Senorita Stakes (Grade III)	F, 3 YO	1 M (T)	\$100,000
Sat Jun 28	Royal Heroine Stakes (Grade II)	F/M, 3 & UP	1 M (T)	\$200,000
Sat Jun 28	Triple Bend Handicap (Grade I)	3 & UP	7 F	\$300,000
Sat Jun 28	Hollywood Gold Cup (Grade I)	3 & UP	1 1/4 M (T)	\$500,000
Sat Jun 28	Hollywood Juvenile Championship	2 YO	6 F	\$150,000
Sat Jun 28	Landafuce Stakes	F, 2 YO	6 F	\$150,000
Sun Jun 29	San Juan Capistrano Stakes Grade II)	4 & UP	abt 1M 6 1/2 F (T)	\$200,000

2014-15 Santa Anita Stakes 12/26/14 - 4/19/15				
Run Date	Race	Age	Distance	Purse
Fri Dec 26	Malibu Stakes (Grade I)	3 YO	7 F	\$300,000
Fri Dec 26	Mathis Brothers Mile (Grade II)	3 YO	1 M (T)	\$200,000
Fri Dec 26	La Brea Stakes (Grade I)	F, 3 YO	7 F	\$300,000
Sat Dec 27	Robert J. Frankel Stakes (Grade III)	F/M, 3 & UP	1 1/8 M (T)	\$100,000
Sat Dec 27	Midnight Lute Stakes (Grade III)	3 & UP	6 F	\$100,000
Sun Dec 28	Daytona Stakes (Grade III)	3 & UP	abt 6 1/2 F (T)	\$100,000
Sat Jan 3	Santa Ynez Stakes (Grade II)	F, 3 YO	6 1/2 F	\$200,000
Sat Jan 3	San Gabriel Stakes (Grade II)	4 & UP	1 1/8 M (T)	\$200,000
Sun Jan 4	Monrovia Stakes (Grade II)	F/M, 4 & UP	abt 6 1/2 F (T)	\$200,000
Sat Jan 10	San Pásqual Stakes (Grade II)	4 & UP	1 1/16 M	\$200,000
Sat Jan 10	Sham Stakes (Grade III)	3 YO	1 M	\$100,000
Sat Jan 17	La Canada Stakes (Grade II)	F, 4 YO	1 1/16 M	\$200,000
Mon Jan 19	Santa Monica Stakes (Grade II)	F/M, 4 & UP	7 F	\$200,000
Sat Jan 24	California Cup Derby	3 YO (CA)	1 1/16 M	\$250,000
Sat Jan 24	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000
Sat Jan 24	Sunshine Millions F/M Turf Sprint	F & M, 4 & UP (CA)	abt 6 1/2 F (T)	\$125,000
Sat Jan 24	California Cup Sprint	4 & UP (CA)	6 F	\$125,000
Sat Jan 24	California Cup Turf Classic	4 & UP (CA)	1 1/8 M (T)	\$250,000
Sat Jan 31	Arcadia Stakes (Grade II)	4 & UP	1 M (T)	\$200,000
Sat Jan 31	Palos Verdes Stakes (Grade II)	4 & UP	6 F	\$200,000
Sat Jan 31	Las Virgenes Stakes (Grade I)	F, 3 YO	1 M	\$300,000
Sun Feb 1	San Vicente Stakes (Grade II)	3 YO	7 F	\$200,000
Sat Feb 7	San Antonio Stakes (Grade II)	4 & UP	1 1/8 M	\$500,000
Sat Feb 7	Robert B. Lewis Stakes (Grade II)	3 YO	1 1/16 M	\$200,000
Sat Feb 7	San Marcos Stakes (Grade II)	4 & UP	1 1/4 M (T)	\$200,000
Sat Feb 14	Santa Maria Stakes (Grade II)	F/M, 4 & UP	1 1/16 M	\$200,000
Mon Feb 16	Buena Vista Stakes (Grade II)	F/M, 4 & UP	1 M (T)	\$200,000
Sat Feb 21	Sensational Star Stakes	4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sat Feb 28	Santa Ysabel Stakes (Grade III)	F, 3 YO	1 1/16 M	\$100,000
Sat Mar 7	San Carlos Stakes (Grade II)	4 & UP	7 F	\$250,000
Sat Mar 7	Santa Anita Handicap (Grade I)	4 & UP	1 1/4 M	\$1,000,000
Sat Mar 7	Frank E. Kilroe Mile (Grade I)	4 & UP	1 M (T)	\$400,000
Sat Mar 7	San Felipe Stakes (Grade II)	3 YO	1/1/16 M	\$400,000
Sun Mar 8	Las Flores Stakes (Grade III)	F/M, 4 & UP	6 F	\$100,000
Sat Mar 14	Santa Margarita Stakes (Grade I)	F/M, 4 & UP	1 1/8 M	\$350,000
Sat Mar 14	Irish O'Brien Stakes	F/M, 4 & UP (CA)	abt 6 1/2 F (T)	\$100,000
Sun Mar 15	Santa Ana Stakes (Grade II)	F/M, 4 & UP	1 1/8 M (T)	\$200,000
Sat Mar 21	San Luis Rey Stakes (Grade II)	4 & UP	1 1/2 M (T)	\$200,000
Sun Mar 22	Dream of Summer Stakes	F/M, 4 & UP (CA)	1 M	\$100,000
Sat Mar 28	Tokyo City Cup (Grade III)	4 & UP	1 1/2 M	\$100,000
Sat Apr 4	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 4	Evening Jewel Stakes	F, 3 YO (CA)	6 1/2 F	\$200,000
Sat Apr 4	Santa Anita Oaks (Grade I)	F, 3 YO	1 1/16 M	\$400,000
Sat Apr 4	Santa Anita Derby (Grade I)	3 YO	1 1/8 M	\$1,000,000
Sat Apr 4	Providencia Stakes (Grade III)	F, 3 YO	1 1/8 M (T)	\$150,000
Sat Apr 11	Kona Gold Stakes (Grade II)	4 & UP	6 1/2 F	\$200,000
Sat Apr 11	Las Cienegas Handicap (Grade III)	F/M, 4 & UP	abt 6 1/2 F (T)	\$100,000
Sat Apr 18	Santa Barbara Handicap (Grade III)	F/M, 4 & UP	1 1/4 M (T)	\$150,000
Sun Apr 19	San Simeon Handicap (Grade III)	4 & UP	abt 6 1/2 F (T)	\$100,000

Exhibit 3D (1)

2014-15 Santa Anita Stakes 4/25/15 - 6/28/2015				
Run Date	Race	Age	Distance	Purse
Sat Apr 25	Last Tycoon Stakes (Grade III)	3 & UP	1 1/4 M (T)	\$100,000
Sun Apr 26	Wilshire Stakes (Grade III)	F/M, 3 & UP	1 M (T)	\$100,000
Sat May 2	Precisionist Stakes (Grade III)	3 & UP	1 1/16 M	\$100,000
Sun May 3	Honeymoon Stakes (Grade II)	F, 3 YO	1 1/8 M (T)	\$200,000
Sat May 9	Vanity Stakes (Grade I)	F/M, 3 & UP	1 1/8 M	\$300,000
Sat May 9	American Stakes (Grade III)	3 & UP	1 M (T)	\$100,000
Sun May 10	Lazaro Barrera Stakes (Grade III)	3 YO	7 F	\$100,000
Sat May 23	Spring Fever Stakes	F/M, 4 & UP (CA)	6 F	\$150,000
Sat May 23	Fran's Valentine Stakes	F/M, 4 & UP (CA)	1 M (T)	\$125,000
Sat May 23	Snow Chief Stakes	3 YO (CA)	1 1/8 (T)	\$200,000
Sat May 23	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$200,000
Sat May 23	Tiznow Stakes	4 & UP (CA)	1 M	\$150,000
Sun May 24	Charles Whittingham Stakes (Grade II)	3 & UP	1 1/2 (T)	\$200,000
Mon May 25	Los Angeles Stakes (Grade III)	3 & UP	6 F	\$100,000
Mon May 25	Gamely Stakes (Grade I)	F/M, 3 & UP	1 1/8 M (T)	\$300,000
Sat May 30	Californian Stakes (Grade II)	3 & UP	1 1/8 M	\$200,000
Sat May 30	American Oaks (Grade I)	F, 3 YO	1 1/4 M (T)	\$350,000
Sat Jun 6	Crystal Water Stakes	4 & UP (CA)	1 M (T)	\$100,000
Sun Jun 7	Affirmed Stakes (Grade III)	3 YO	1 1/16 M	\$100,000
Sat Jun 13	Adoration Stakes (Grade III)	F/M, 3 & UP	1 1/16 M	\$100,000
Sat Jun 13	Shoemaker Mile (Grade I)	3 & UP	1 M (T)	\$400,000
Sat Jun 20	Summertime Oaks (Grade II)	F, 3 YO	1 1/16 M	\$200,000
Sun Jun 21	Santa Anita Juvenile	2 YO	5 1/2 F	\$125,000
Sun Jun 21	Landaluce Stakes	F, 2 YO	5 1/2 F	\$125,000
Sat Jun 27	Senorita Stakes (Grade III)	F, 3 YO	1 M (T)	\$100,000
Sat Jun 27	Royal Heroine Stakes (Grade II)	F/M, 3 & UP	1 M (T)	\$200,000
Sat Jun 27	Triple Bend Stakes (Grade I)	3 & UP	7 F	\$300,000
Sat Jun 27	The Gold Cup at Santa Anita (Grade I)	3 & UP	1 1/4 M	\$500,000
Sun Jun 28	San Juan Capistrano Stakes (Grade II)	3 & UP	abt 1 3/4 M (T)	\$200,000

F. List all post times for the daily racing program:

	All Weekdays	Weekends & Holidays	Daily**
Race 1	1:00 PM	12:30 PM	2:00 PM
Race 2	1:30 PM	1:00 PM	2:30 PM
Race 3	2:00 PM	1:30 PM	3:00 PM
Race 4	2:30 PM	2:00 PM	3:30 PM
Race 5	3:00 PM	2:30 PM	4:00 PM
Race 6	3:30 PM	3:00 PM	4:30 PM
Race 7	4:00 PM	3:30 PM	5:00 PM
Race 8	4:30 PM	4:00 PM	5:30 PM
Race 9	5:00 PM	4:30 PM	6:00 PM
Race 10		*5:00 PM	*6:30 PM
Race 11		*5:30 PM	*7:00 PM

(*) When Applicable

Exceptions:

12:00 PM	Opening Day	Saturday, December 26, 2015
12:30 PM	Opening Week	Wednesday, December 30 – Sunday, January 3, 2016
12:00 PM	Cal Cup/Sunshine Millions Day	Saturday, January 30
11:00 AM	Super Bowl Sunday	February 7
12:00 PM	Santa Anita Handicap	Saturday, March 12
12:00 PM	Santa Anita Derby	Saturday, April 9
11:00 AM	Kentucky Derby Day	Saturday, May 7***
11:00 AM	Preakness Day	Saturday, May 21***
11:00 AM	Belmont Stakes Day	Saturday, June 11***

** Beginning between Sunday, March 13, 2016 and Thursday, May 5, 2016. Licensee will give reasonable advance notice to the board regarding which date the new daily post times will commence.

*** Post time subject to change with reasonable advance notice to the board.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file.**

C. CORPORATION

- Registered name of the corporation: **Los Angeles Turf Club, Incorporated**
- State where incorporated: **California**
- Registry or file number for the corporation: **481360**
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool – Chairman (Officer), 0 Shares

Joe Morris – Senior Vice President West Coast Operations (Officer), 0 Shares (Effective 11/9/15)

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares

Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares

Scott Daruty – Vice President (Officer), 0 Shares

Gina Lavo – Controller (Officer), 0 Shares

Jane Lynn – Assistant Secretary (Officer)

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc. 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada.**
Email: jane.lynn@stronachgroup.com
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **N/A** Yes No
If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Financials on file with the Board.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool – Chairman

Joe Morris – Senior Vice President West Coast Operations (Effective, 11/9/15)

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary

Gina Lavo – Vice President, Finance & Controller

Rick Hammerle – Vice President, Racing and Racing Secretary

Nate Newby – Vice President, Marketing

Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting

Candace Coder-Chew – Director of Print and Graphics
Dan Cirimele – Senior Director of Marketing
Randy Fowler – Director of Food and Beverage
Randy Hartzell – Director of Pari-Mutuels
Mike Lakow – Racing Director
Lou Scalera – Chief of Security
Pete Siberell – Director of Community Service and Special Projects
Jason Spetnegal – Director of Facilities & Grounds
Mike Willman – Director of Publicity and Public Relations
Nina Zukin – Director of Information Services
Jay Cohen – Hornblower
Trevor Denman – Public Address Commentator/Announcer

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary

Los Angeles Turf Club, Incorporated

285 W. Huntington Drive

Arcadia, CA 91007

Phone (626) 574-7223

Fax (626) 446-9565

Email: frank.demarco@santaanita.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes

No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%

DD Percentage: 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

TOC and LATC Letters attached Exhibit 5A.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.



September 26, 2015

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita Winter-Spring Meet 2015-16

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2015 through July 10, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Eric Sindler
Tom Varela
Mary Saenz

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-374-6620
F: 626-821-1515
WWW.TOCONLINE.COM



September 29, 2015

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2016 Winter/Spring Meet, December 26, 2015 through July 10, 2016.

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on LATC's license application in Section 5A.

Please contact me directly if you have any questions.

Very truly yours,

Frank De Marco, Jr.
Vice President Regulatory Affairs & Secretary

cc: Joe Morris



September 25, 2015

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2015-16 Winter-Spring Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, December 26, 2015 through July 10, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Eric Sindler
Tom Varela
Mary Saenz

OFFICERS

MIKE PEGRAM
CHAIR

BILL STRAUSS
VICE CHAIR, SOUTHERN CA.

JACK OWENS
VICE CHAIR, NORTHERN CA.

NICK ALEXANDER
SECRETARY/TREASURER

MIKE HARRINGTON
ATLARGE

ED MOGER
ATLARGE

DIRECTORS

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RON ELLIS

TERRY LOVINGIER

WILLIAM E. MOREY

GEORGE TODARO

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NO. CA. DIRECTOR OF OPERATIONS

JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,
2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCOONLINE.COM



September 29, 2015

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 1957, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2016 Winter/Spring Meet, December 26, 2015 through July 10, 2016.

Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout.

Please contact me directly if you have any questions.

Very truly yours,

Frank De Marco, Jr.
Vice President Regulatory Affairs & Secretary

cc: Joe Morris

Year	Handle	Attendance
2014-2015	\$1,129,468,228	799,228
2013-2014	\$1,099,222,508	750,548
2012-2013	\$ 675,876,049	535,801
2011-2012	\$ 655,817,799	581,311
2010-2011	\$ 590,056,282	553,311

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: \$30,928,259
Prior meet actual: \$32,522,880

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$297,387
Prior meet actual: \$303,952

2. Overnight stakes:

Current meet estimate: \$1,500,000
Prior meet actual: \$1,875,000

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$14,423
Prior meet actual: \$17,523

3. Non-overnight stakes:

Current meet estimate: \$14,860,000
Prior meet actual: \$14,820,575

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$142,885
Prior meet actual: \$138,510

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$47,288,259
Prior meet actual: \$49,218,455

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 16.4%
Prior meet actual: 15.3%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: \$0.16
Prior meet actual: \$0.14

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$5,323,522
Prior meet actual: \$5,154,475

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization		
Current meet estimate:		Prior meet actual:
NTRA	64,900	66,747
TOC	401,978	427,290
CTBA	401,978	427,290
CTT Pension	401,978	427,290
CTT Admin.	200,989	213,645
Total	\$1,471,823	\$1,562,262

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$54,083,604
 Prior meet actual: \$55,935,192

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$520,035
 Prior meet actual: \$522,759

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$23,315,000
 Prior meet actual: \$24,243,682

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$224,183
 Prior meet actual: \$226,576

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$25,188,760
 Prior meet actual: \$27,272,579

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$242,200
 Prior meet actual: \$254,884

- H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo Regional Commercial Bank, West Covina Office, paid through Paymaster of Purses
 Account # On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
 Phone: (909) 944-6465 Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following

receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,495 (subject to the completion of a mutually satisfactory agreement with respect to Los Alamitos)**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- **Los Alamitos – 700 (subject to the completion of a mutually satisfactory agreement)**
 - **SLR Training Center – 495**
 - **Galway Downs – 300**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Industry participants are in the process of negotiating the 2016 Stabling and Vanning agreement. The agreement will be filed with the board upon execution.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.95 per day, per stall (subject to the completion of a mutually satisfactory agreement).
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- **Los Alamitos \$180 RT (subject to the completion of a mutually satisfactory agreement)**
 - **SLR Training Center \$360 RT**
 - **Galway Downs \$300 RT**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
There is a rotation of private veterinarian's onsite during training hours and during racing hours.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

There is a rotation of private veterinarian's onsite during training hours and during racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS	APPLICABLE RULES
Example Race: \$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; \$0.50PNP5	CHRB #1959, 1979, 1979.1, 1957, 1977, 1976.9
Race #2: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; \$0.50PNP4	CHRB #1959, 1979, 1979.1, 1957, 1977, 1976.9
Race #3: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; *\$2PNP6	CHRB #1959, 1979, 1979.1, 1957, 1977, *1976.9
Race #4: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; *\$2PNP6	CHRB #1959, 1979, 1979.1, 1957, 1977, *1976.9
Race #5: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; *\$2PNP6; *\$0.50PNP4	CHRB #1959, 1979, 1979.1, 1957, 1977, *1976.9, *1976.9
Race #6: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; *\$2PNP6; *\$0.50PNP4	CHRB #1959, 1979, 1979.1, 1957, 1977, *1976.9, *1976.9
Race #7: \$1E; \$1 TRI; \$.10SF; \$2DD; *\$1PK3; *\$0.50PNP4	CHRB #1959, 1979, 1979.1, 1957, *1977, *1976.9
Race #8: \$1E; \$1 TRI; \$.10SF; *\$2DD; *\$1PK3; *\$0.50PNP4; *SuperH5	CHRB #1959, 1979, 1979.1, *1957, *1977, *1976.9, *ARCI-004-105-X
*Race #9: \$1E; \$1 TRI; \$.10SF; *\$2DD; *\$1PK3; *SuperH5	CHRB #1959, 1979, 1979.1, *1957, *1977, *ARCI-004-105-X
*Race #10: \$1E; \$1 TRI; \$.10SF; *\$2DD; *SuperH5	CHRB #1959, 1979, 1979.1, *1957, *ARCI-004-105-X
*Race #11: \$1E; \$1 TRI; \$.10SF; *SuperH5	CHRB #1959, 1979, 1979.1, *ARCI-004-105-X

(*) When Applicable

- \$1 Exacta on all eligible races. (CHRB 1959)
- Rolling \$2 Daily Doubles beginning with Race #1. (CHRB 1957)
- \$1 Trifecta on all eligible races. (CHRB 1979)
- \$0.10 Superfecta wagering on all qualifying races. (CHRB 1979.1)
- Incoming simulcasts will follow host track format.
- \$2PNP6 (*) Last six live races 70% Carryover, 30% Minor. Alternate runner provision. (CHRB 1976.9)
- \$0.50PNP4 Starting the second race and the (*) last four live races. No consolation; 100% carryover; Alternate runner provision. (CHRB 1976.9)
- \$0.50PNP5 starting the first race; minimum wager fifty cents; One tier payoff; 100% carryover.
- \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 (*) may be carded as last race of the day.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **No changes. Exception: Subject to adoption of ARCI Rule, \$2PNP6; Last six live races, 70% Carryover, 15% Minor, 15% Jack Pot Single Ticket would replace existing \$2PNP6; Last six live races, 70% Carryover, 30% Minor.**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
All carryover pools from December 26, 2015 to April 10, 2016, inclusive, will be distributed April 10, 2016. All carryover pools from May 5 through July 10, 2016, inclusive, will be distributed on the last live race day of the meet.

- D. List any options requested with regard to exotic wagering: N/A
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30 a.m. to 10:00 a.m.

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Steve Keech
 AmTote International Inc. Expires August 21, 2020
 11200 Pepper Road
 Hunt Valley, MD Phone: (800) 345-1566

- G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE	(EXAMPLE) PNP5-14%
15.43%	W/P/S
20.00%	DD
22.68%	E
14.00%	PNP5
23.68%	TRI; SF; PK3; PK4; PNP6, SuperH5

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet	Watch and Wager.com
Twinspires	Lien Games - Bet America
TVG	

TOC approval pending.

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **ADW Agreements Pending**

- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Pending**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.

- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.

- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F

- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 12D/E

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 12D/E

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Arlington	Fairgrounds	Monmouth	Suffolk Downs
Assiniboia Downs	Finger Lakes	Mountaineer Park	Sunland Park
Australia	Fort Erie	Northlands	Sunray Park
Belterra Park	Gulfstream Park	NYRA – Aqueduct, Belmont, Saratoga	
Canterbury	Gulfstream Park West	Penn National	Tampa Bay
Charles Town	Hastings Park	Philadelphia Park (Parx)	Thistledown
Churchill Downs	Hawthorne	Pimlico	Turf Paradise
Delaware Park	Indiana Downs	Portland Meadows	Turfway Park
Delta Downs	Keeneland	Prairie Meadows	United Kingdom
Ellis Park	Laurel Park	Presque Isle	Woodbine
Emerald Downs	Lone Star	Sam Houston	Zia Park
Evangeline Downs	Louisiana Downs	South American Racing	

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races
Los Alamitos Per CHRB calendar Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
Lakeside, CA 92040

Cabazon Fantasy Springs Casino
Indio, CA 92201

Surfside Race Place at Del Mar
Del Mar, CA 92014

Hollywood Park
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair
Indio, CA 92201

Sports Pavilion at The Farmer's Fair
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
Lancaster, CA 93536

Santa Anita Park
Arcadia, CA 91007

Sports Center at National Orange Show
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
Pomona, CA 91768

Sycuan Gaming Center
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
Victorville, CA 92392

Viejas Casino & Turf Club
Alpine, CA 91901

Commerce Casino (mini-satellite)
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
Santa Maria, CA 93454

Sammy's (mini-satellite)
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
Saugus, CA 91350

Tilted Kilt (mini-satellite)
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
San Diego, CA 92101

Striders Norco (mini-satellite)
Norco, CA 92860

Firehouse (mini-satellite)
Bakersfield, CA 93313

NORTHERN CALIFORNIA

Shasta District Fair
Anderson, CA 96007

Big Fresno Fair
Fresno, CA 93702

Monterey County Fair
Monterey, CA 93940

Fresno Club One
Fresno, CA 93721

Alameda County Fair
Pleasanton, CA 94566

California State Fair & Exposition
Sacramento, CA 95815

The Jockey Club at San Mateo
San Mateo, CA 94403

Santa Clara County Fair
San Jose, CA 95111

Sonoma County Fair
Santa Rosa, CA 95402

San Joaquin County Fair
Stockton, CA 95206

Stanislaus County Fair
Turlock, CA 95380

Solano County Fair
Vallejo, CA 94589

Bankers Casino (mini-satellite)
Salinas, CA 93901

Exhibit 12 C/F

16 LATC Exhibit 12 D/E

mmingled Locations

Vest Entertainment	Dover Downs	Mahoning Valley	Scarborough Downs
Amwest Accounts	Dubuque (Iowa Greyhound)	Maronas (South America)	Sciolo Downs
Greenbrier (WV)	Ellis Turf Club (1 to 15)	Maryland Jockey Club	Seabrook Greyhound
Riders Up (SD)	Ellis Park	Maywood	Social Gaming
Time Out Lounge (SD)	Emerald Downs	Meadowlands	Sol Mutuel
Triple Crown (SD)	Evangelina Downs	Meadows The	Sol Mutuel - Odds Broker
ahoe-Mille High	Fair Grounds	Meadows The (ADW) - PA regional	Southland Greyhound
a Race Club	Fair Grounds ADW	Miami Valley Gaming & Racing	Sports Creek Raceway
glon I	Fair Meadows	Mobile Greyhound	State Fair (Lincoln, NE)
oral	Favorites at Gloucester	Monmouth Park	Suffolk District OTB
oral ADW (XB Illinois)	Favorites at Vineland	Montana OTB	Suffolk Downs
or Raceway	Finger Lakes	Monticello	Sunland Park
via	Finger Lakes ADW	Mountaineer Park	SunRay Park & Casino
rra Park	Fonner Park	ML Pleasant Meadows	Tampa Bay Downs
r Racing	Freehold	Nassau Regional OTB	Taunton ADW
ingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taurion Dog Track Inc.
s Run Greyhound	Global Wagering Solutions	Newport Jai Alai	The Downs at Albuquerque
ata Casino	Bwin International Ltd.	New Jersey ADW (4NJBets)	Thisledown
ilo Raceway	Intl Betting Assoc. Ltd	Northfield Park	Tloga Downs
erbury Park	Magna Bet	Northville Downs	Tri-State GH (Mardi Gras)
al District OTB	Racebets	NYRA	Turf Paradise
kills OTB	Greenetrack	NYRA ADW	Turf Paradise Bets (ADW)
les Town Race Course	Greyhound @ Post Falls	Oaklawn Park	Turfway Park
ler Downs (Harrah's Philly)	Gulf Greyhound	Oaklawn Park ADW	TVG
hill Downs	Gulfstream Park	Ocean Downs	TVG Chester
ir d' Alene Casino	Harrington Raceway	Panama	TVG High Volume
ial Downs	HarringtonBets ADW (DE regional)	PARX	TVG Prairie
ial Downs ADW	Hawthorne Race Course	PARX ADW	Twin River Greyhound
mbus Raceway	Hawthorne ADW	Penn National	TwinSpires
lecticut OTB	Hazel Park	Penn National ADW	TwinSpires High Volume
Bradley Teletheater, Bristol	Hinsdale	Peru	Velocity Wagering Ltd.
New Britain, Norwalk, Milford	Hoosier Park	Plainridge Race Course	Venezuela OTB
East Haven, Hartford, Putnam	Horseman's Park	Plainridge ADW	Vernon Downs
Shoreline Star, Sports Haven	Idabet	Player Management Group	WatchandWager
Torrington, Waterbury	Indiana Downs	Pocono Downs ADW	Western OTB
Manchester, New London	Clarksville/Evansville OTB	Pocono Downs/OTB	Wheeling Downs
Williamantic, Sanford OTB	Intermountain Racing	Portland Meadows	Will Rogers Downs
John Martin's Manor Restaurant	Keeneland	Potawatomi Casino/ OTB	Wyoming OTB
Connecticut OTB ADW	Keeneland Select ADW	Prairie Meadows	Wyoming Downs
Coushatta Casino	Kentucky Downs	Premier Turf Club	XpressBet
Paragon Casino	Kentucky OTB	Presque Isle Downs	Yonkers Raceway
Ho-Chunk Casino and Racebook	Les Bois (Treasure Valley)	Racing2Day ADW	Yonkers ADW
Mohegan Sun Casino	Lewislon OTB's	Racing2Day Intl. (Stan James)	Youbet Group 1
Oneida Bingo and Casino	Lien Games	Remington Park	Zia Park
Pony Bar Simulcast Center	Chips Lounge and Casino	Remington OTB Network	123Gaming
Tole Investment Racing	Howard Johnsons OTB	Relama Park	Separate Pool Locations
Randall James Racetrack	Rumors OTB	Racing & Gaming Services	Camarero (Puerto Rico)
Millenium Racing	Skydancer Casino OTB	Rillito Park	Caymanas (Jamaica)
Royal Beach Casino	Lien Games ADW	Rockingham Park	Codere (Mexico/Spain)
Divi Carina Bay Casino	BetAmerica/Offtrackbetting ADW	Rockingham Park ADW	MIR Books (Caliente)
Winner's Circle	Lone Star	Ruidoso Downs	NDS Books (Nevada)
Camouflage Gaming	Louisiana Downs	Running Aces Harness Park	Tabcorp (Australia)
is Christi Greyhound	LVDC	Sam Houston	Tattsbet (Australia)
in Raceway	Atlantis Paradise Casino	Valley Greyhound Park	New Zealand Racing Board
are Park	Buffalo Thunder Resort	Saratoga Harness Raceway	
Downs	Foxwoods Resort Casino	Saratoga Bets (ADW)	
r Jackpot	Meskwakl Bingo & Casino		

dian Locations

iboia, Barrie, Century Downs, Charlotletown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, r Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, ness Raceway, Kawatha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, u Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

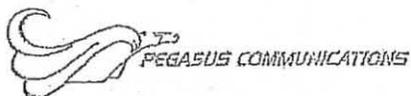
NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Board of Directors
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
- B. Names and addresses of the trustees or directors of the distributing agent:
Frank DeMarco, Jr., Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Owner/Trainer parking lot (E Lot)
 (2) Avid HD graphics systems with Pegasus DataLinks interface software
 (1) GVG Karrera 4ME Switcher
 (1) Router: Blackmagic Design Universal Video Hub
 (1) Summit clip store
 (2) ZPlay HD video recorders
 (1) 3play 820 HD video recorder
 (1) Sony DigiBeta deck
 (1) Audio Calrec Board: 120 input
 (1) Trakus computer (owned by Trakus)

Camera locations and equipment description

1. Pan camera 1: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
2. Pan camera 2: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
3. Dirt 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
4. Turf 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
5. Dirt 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
6. Turf 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
7. 1/4 pole tower: HD Sony HXC 100 triax chain, CANON 33X LENS
8. Turf Hill tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
9. Tote board camera: HD Sony HXC 100 triax chain, HD CANON 20X LENS
10. Walking Ring (WR) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
11. Walking Ring Tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
12. Make & break (WR/WC): HD Sony HXC 100 triax chain, HD CANON 20X LENS (HRTV use)
13. Winner's Circle (WC) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS LENS
14. Make & break (WR/WC): HD Sony HXC 100 triax chain, CANON HD 20X LENS
15. RF Gate camera: Wireless HD JVC Camera System, 16X LENS
16. Tunnel camera: HD Pan Tilt Zoom (PTZ)
17. Saddling Barn West camera: HD PTZ
18. Saddling Barn East camera: HD PTZ
19. Saddling Barn: HD Fixed
20. Saddling Barn: HD Fixed

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	36 Security Guards
1 Secretary	1 Fire Marshall
1 Stable Security Manager	13 Gatemen
4 Investigators	1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

Attached (Exhibit 15B)

2. Detention Barns:

a. Attach a plan for use of graded stakes or overnight races.

Attached (Exhibit 15B)

b. Number of security guards in the detention barn area during a 24-hour period.

Four Security Guards

c. Describe number and location of surveillance cameras in detention barn area.

Attached (Exhibit 15B)

3. TCO2 Testing:

a. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

b. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

d. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

Attached (Exhibit 15B)

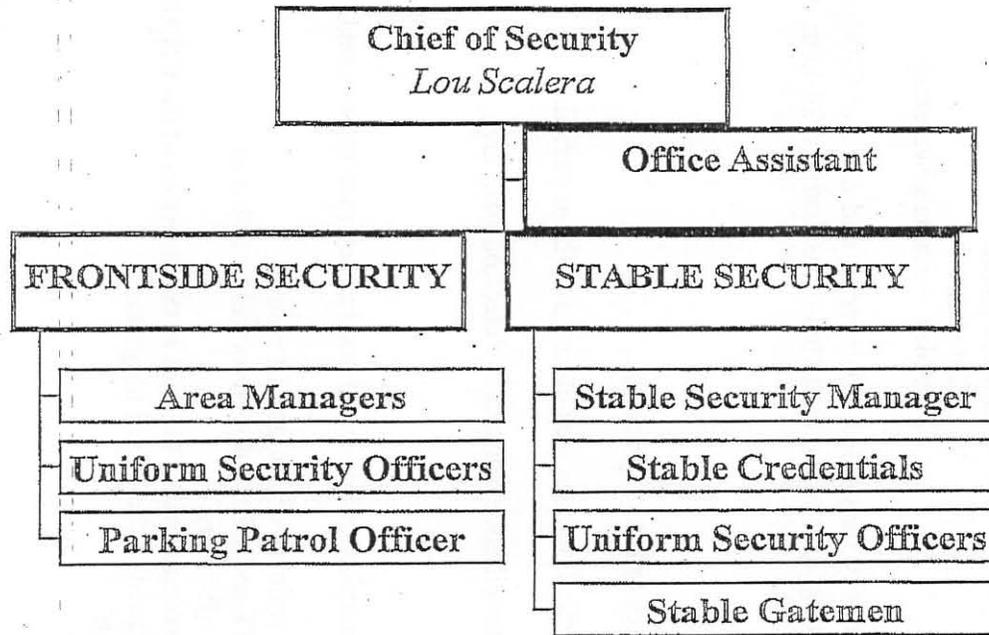
1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 15B). **In addition to Exhibit 15B, Santa Anita Park plans to install additional surveillance cameras to the backside and "gap" as discussed at the September 2015 CHRB meeting.**

- D. For night racing associations. Describe emergency lighting system: N/A

SANTA ANITA PARK

Security Live Racing



FF
ELS
LUDE
&
HT
TS

SECURITY

Exhibit 15 A

Exhibit 15BDETENTION BARN PLAN

(September, 2015)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

AmbuServe, Inc.
Wendal Smith

15105 S. Broadway Ave., Gardena, CA 90248 Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 16A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit on file.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos: Huntington Ambulance, LLC
Stacey O'Bryan, President
PO Box 145
Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center: Richard F. Roesch, President
Mercy Medical Transportation Inc.
2537 Old San Pasqual Rd.
Escondido, CA 92027

Phone: (760) 525-2688

Galway Downs: Symons Emergency Specialties, Inc., 2001
Jeff Grange, CEO – Ryan Merchant, General Mgr.
18592 Cajon Blvd.
San Bernardino, CA 92407

Phone: (909) 880-2979

1. Attach a certification from the ambulance service(s) listed in 16B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- Los Alamitos: Staff by EMT's with support from Orange Cty. Fire-paramedics. Letter attached.
- SLR Training Center: On track ambulance includes two paramedics. Letter attached.
- Galway Downs: (Exhibit 16B 1)

C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

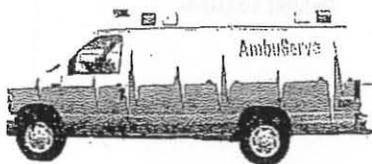
- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race



AmbuServe Ambulance

Exhibit 16 A



October 6, 2015

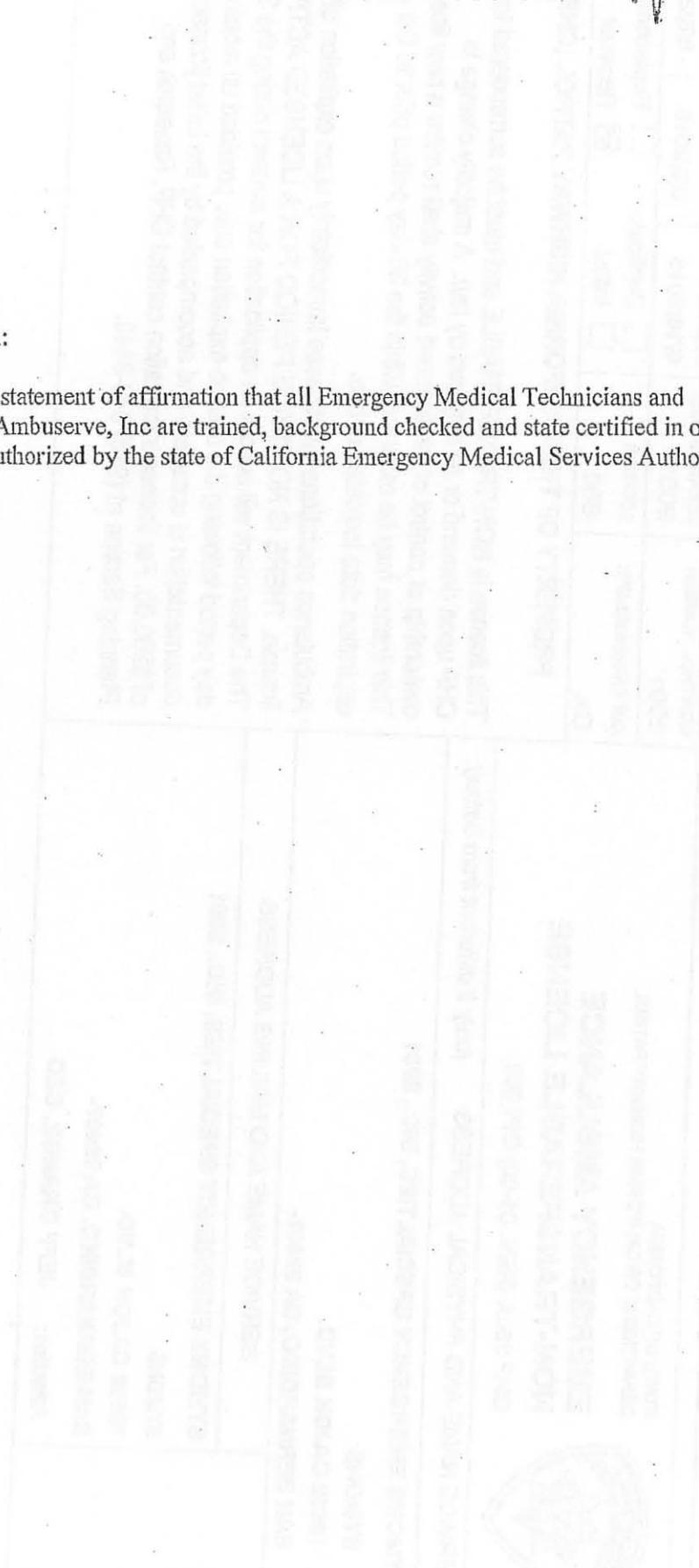
To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales
Paramedic Supervisor





STATE OF CALIFORNIA
DEPARTMENT OF CALIFORNIA HIGHWAY PATROL

**EMERGENCY AMBULANCE
NON-TRANSFERABLE LICENSE**

CHP 360A (REV. 01-00) OPI 062

CONTROL NUMBER 2001	LICENSE NUMBER 2001	ISSUE DATE 6/16/2015	EFFECTIVE DATE 8/9/2015	EXPIRATION DATE 8/8/2016
CHP CARRIER NUMBER CA	LOCATION 860	<input type="checkbox"/> Duplicate <input type="checkbox"/> Initial	<input type="checkbox"/> Replacement <input checked="" type="checkbox"/> Renewal	

PROPERTY OF THE CALIFORNIA HIGHWAY PATROL (CHP)

SERVICE NAME AND PHYSICAL ADDRESS (only if different from below)

SYMONS EMERGENCY SPECIALTIES, INC., 2001

SYMONS
18592 CAJON BLVD.
SAN BERNARDINO, CA 92407-

This license is NON-TRANSFERABLE and must be surrendered to the CHP upon demand or as required by law. A majority change in ownership or control of the licensed activity shall require a new license. This license may be renewed within the 30-day period prior to the expiration date indicated above.

SERVICE NAME AND MAILING ADDRESS

SYMONS EMERGENCY SPECIALTIES, INC., 2001

SYMONS
18592 CAJON BLVD.
SAN BERNARDINO, CA 92407-

Attention: JEFF GRANGE, CEO

Ambulance operations must cease immediately upon expiration of this license. THERE IS NO GRACE PERIOD FOR A LICENSED ACTIVITY. The Department will accept an application for renewal during the 30-day period following the license expiration date provided all required documentation is complete and accompanied by the initial license fee of \$200.00. For license information contact CHP, Research and Planning Section at (916) 843-3440.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :
- Dr. Salvador Sotomayor** Phone: (888) 349-8944
15771 Del Prado Drive
Hacienda Heights, CA 91740
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- Arcadia Methodist Hospital**
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- Arcadia Methodist Hospital**
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- Exhibit 16F**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
- Greg Daniel, Environmental, Health & Safety Manager**
Lou Scalera, Chief of Security
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- Exhibit 16H**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
- Liberty Mutual Insurance Company**
PO Box 989000
West Sacramento CA 95798
Policy # WC2 B71-170912-015 Expires 6/30/2016
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
- Exhibit 16J**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile; and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trackage Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries , a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
 - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
 - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contratados por la LATC; seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hispital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jintete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



City of Arcadia

September 17, 2015

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2015-2016 Main Meet)

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2015-2016 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2015-2016 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.

Kurt Norwood
Fire Chief



Certificate of Insurance

No.: ANITA-2015-38

Dated: June 26, 2015

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
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This certificate is issued regarding:
Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/ Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-13	Jun 30, 2015 to Jun 30, 2016	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-015	Jun 30, 2015 to Jun 30, 2016	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

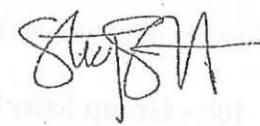
The Commercial General Liability Policy, GLO9302183-13, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-015, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 416-868-2123 Fax: - stephanie.leite@marsh.com	Marsh Canada Limited  By: _____ Stephanie Wong
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meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
Tiffany Bohland
6288 Highland Meadows Drive
Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)
% Don Harris
924 Knob Hill Avenue
Redondo Beach, CA 90277-4538

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)
c/o Harry Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)
Jason Karches
2080 Las Palmas Drive
Carlsbad, CA 92009

Triangle Turf Winners (CHRB-25A and CHRB-87 on file with CHRB.)
William Archer
1 Scott Street
Gardnerville, NY 89410

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

Marketing program pending.

- A. Attach a copy of the promotional and marketing plans-for the race meeting:
- B. Promotional/ Marketing budget for this race meeting: **To be provided at a later date**
Promotional/Marketing budget for prior race meeting: **On file with the board**
- C. Number of hosts and hostesses employed for meeting:

10* - Group Sales handicapping aides to assist newcomers

11* - Food and Beverage hostess's (11 weekends, 4 weekdays)

16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

*Estimates

- D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans
3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$ 5.00	Weekends & Holidays
	TBD	Weekdays (Thursday/Friday)
Club House Admission	\$ 10.00	
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$ 5.00	Sold on premium days only.
CH Box Seat	\$ 10.00	Saturdays and Sundays
Parking (general)	\$ 4.00	Weekends & Holidays
	TBD	Weekdays (Thursday/Friday)
Parking (valet)	\$ 10.00	
Programs (on-track)	\$ 2.50	
(off-track)	\$ 2.50	

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Annual Season Box 2016:

Seating for Winter/Spring & Autumn Meets: Price includes CH admission

Finish Line Box:	4 Seats	\$5,250
	5 Seats	\$6,500
	6 Seats	\$8,000
Premium Box:	4 Seats	\$3,000
	5 Seats	\$3,750
	6 Seats	\$4,800

(*). Annual Box seat pricing reduced from 2015.

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="40+"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

Note: Jockeys Room has been painted and refurbished throughout entire facility.

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. **CHRB inspection pending; Health Dept. inspection completed and approved.**

B. Number of rooms used for housing on the backstretch of the racetrack: **445**

C. Number of restrooms available on the backstretch of the racetrack:

29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)

D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition: **Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**

C. The percent of cross slope in the straight-aways is: **2%**
The percent of cross slope in the center of the turns is: **5.75%**

D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.

E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:

Andy LaRocco, Track Superintendent

F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**

G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days'

notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

23. DECLARATIONS

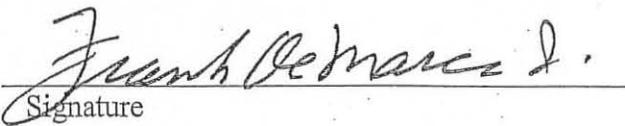
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions.**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.
TOC and CTT are agreements pending.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Frank DeMarco, Jr.
Print Name


Signature

VP Regulatory Affairs & Secretary
Print Title

11-2-15
Date

STAFF ANALYSIS

November 19, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE COURSE DECEMBER 3, 2015 THROUGH DECEMBER 20, 2015

At its October 22, 2015 regular meeting, the Board deferred the Los Alamitos Racing Association (LARA) race meet application until November 19, 2015, as the LARA application was incomplete. The stakes schedule, equine emergency services, emergency services and declarations sections of the application were unfinished; therefore, the Board asked the applicant to return to the November 2015 Board meeting.

CHRB staff contacted a LARA representative on October 22, 2015 and requested the submission of the outstanding application items by October 28, 2015. The stakes schedule, equine emergency services and declarations sections of the application remains incomplete.

- LARA filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course December 3, 2015, through December 20, 2015, or 12 days; the same number of days as 2014. LARA proposes to race a total of 102 races, or 8.5 races per day. In 2014, LARA ran 8.5 races per day with an average of 7.46 runners per race. The average daily purse for the 2014 race meeting was \$305,331. The (estimated) average daily purse for this meet is \$244,476.
- Quarter Horse Racing, Inc. (QHRI) is the sole owner and parent Company of LARA and Horsemen's Quarter Horse Racing Association (HQHRA) d.b.a. Los Alamitos Quarter Horse Racing Association. The sole shareholder of QHRI has elected to combine the financial reporting for LARA along with QHRI, HQHRA and its subsidiaries. The financial statements submitted include accounts of QHRI, HQHRA, LARA and their subsidiaries.
- The response provided to the new equine emergency services section of the application is inadequate." The new section seeks contact information for the racing veterinarian onsite and a schedule listing dates and times that a veterinarian will be available at the association and auxiliary sites. LARA has not provided contact information or a schedule for the veterinarian(s); the association has reported that *"training hour veterinary emergency services at all locations are handled by a rotation of private practice veterinarians with one or more of these veterinarians on the grounds at the opening of training hours."*
- The race dates proposed are the dates allocated:

December - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- Racing concurrently with Pacific Racing Association December 3, 2015 through December 13, 2015.
- First live post 12:30 p.m., Thursday through Sunday.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will start at 9:00 a.m. and end at 10:00 a.m.
 - \$2 Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
 - \$1 Trifecta on eligible races.
 - \$0.10 Superfecta on all qualifying races.
 - \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
 - \$0.50 Pick n Pool 4 on second race and last 4 races. Alternate runner provision.
 - \$0.50 Pick n Pool 5 will be offered starting on the first race. Minimum \$.50 wager, 14% takeout. One tier payoff. 100% carryover.
 - \$1 Pentafecta (Super High Five) in the last race. 100% payout on all tickets selective five winners. No consolation 100% carryover if no ticket has five winners.
- Specific changes from the 2014 license application:
 - Decrease from \$3.00 to \$2.50 charge for programs purchased on-track and off-track.
 - Increase from 700 to 820 usable stalls.
 - Increase from 175 to 195 rooms used for housing on the backstretch.
 - Increase from 9 men's restrooms and 7 ladies restrooms to 11 men's and 8 ladies available on the backstretch.
 - Change in early wagering start from 8:30 a.m. to 9:00 a.m.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TwinSpire, TVG, Bet America (Lien Games) and Watch and Wager.com, LLC.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the

wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Thoroughbred Owners of California Race Meet Agreement
2. California Thoroughbred Trainers Race Meet Agreement
3. Equine Emergency Services listing of schedule not provided
4. Stakes Schedule Responses

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Brad McKinzie 4961 Katella Ave. Los Alamitos, CA 90720 714-820-2800 / fax 714-820-2813 / bradmckinzie@aol.com
 - B. Breed of horse: TB QH H
 - C. Racetrack name: Los Alamitos Race Track
 - D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
 - E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: December 2 – December 22 / these dates reflect the CHRB's position that the next meet begins on the Wednesday (Dec. 23) of the week they open.
- B. Actual dates racing will be held: December 3,4,5,6,10,11,12,13,17,18,19,20
- C. Total number of days or nights of racing: 12
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Thurs. - Sunday

CHRB CERTIFICATION	
Application received: 9/23/15	Hearing date: 11/19/15
Deposit received: \$10K	Approved date:
Reviewed: HA	License number:

E. Number of days or nights of racing per week: 4

3. RACING PROGRAM

A. Total number of races: 102

B. Number of races for each day or night: Thurs. 8 Fri. 8 Sat. 9 Sun.9

C. Total number of stakes races: 5

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

- 1st race – 12:30p.m.
- 2nd race – 1:00 pm
- 3rd race – 1:30 pm
- 4th race- 2:00 pm
- 5th race – 2:30 pm
- 6th race – 3:00 pm
- 7th race – 3:30 p.m.
- 8th race – 4:00 pm
- 9th race – 4:30 pm

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

2015 Los Alamitos Winter Proposed Stakes Schedule

Date	Day	Type	Name	Cal Bred	Conditions	Distance	Golden State	Nom/Entry Starter Fees	2015 Gross Value	Cost Liability
12/5/2015	Sat		Soviet Problem	x	2 y-o fillies	1 mile	30,000	5,000	100,000	65,000
12/12/2015	Sat	Grade I	Starlet		2 y-o fillies	1 1/16	-	101,250	300,000	198,750
12/12/2015	Sat		King Glorious	x	2 y-o colts & geldings	1 mile	30,000	5,000	100,000	65,000
12/19/2015	Sat	Grade I	Los Alamitos Futurity		2 y-o	1 1/16		127,500	350,000	222,500
Total							60,000	238,750	850,000	551,250
Gross Guaranteed Stakes Purses Offered						850,000				
Less Nomination, Entry, Starter Fees						238,750				
Less Sponsorship						60,000				
Projected Guaranteed Stakes Liability						551,250				
<hr/> Gross for Added Stakes Offered						-				
<hr/> Gross For Overnight Stakes Offered						-				
Cal Bred							24%	130,000		

2014 Winter Meet Stakes

Date	Day	Type	Name	Cal Bred	Conditions	Distance	Golden State	Nom/Entry Starter Fees	2014 Gross Value	Cost Liability
12/6/2014	Sat	Grade II	Bayakoa		2 y-o fillies	1 1/16		16,200	200,000	183,800
12/7/2014	Sun		Soviet Problem	x	2 y-o fillies	1 mile	60,000	16,400	200,000	123,600
12/13/2014	Sat	Grade I	Starlet		2 y-o fillies	1 1/16		168,500	350,000	181,500
12/14/2014	Sun		King Glorious	x	2 y-o colts & geldings	1 mile	60,000	19,600	200,000	120,400
12/20/2014	Sat	Grade I	Los Alamitos Futurity		2 y-o	1 1/16		168,750	500,000	331,250
Total							120,000	389,450	1,450,000	940,550
Gross Guaranteed Stakes Purses Offered						1,450,000				
Less Nomination, Entry, Starter Fees						389,450				
Less Sponsorship						120,000				
Guaranteed Stakes Liability						940,550				
<hr/> Gross for Added Stakes Offered						-				
<hr/> Gross For Overnight Stakes Offered						-				
Cal Bred							26%	244,000		

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. See Los Alamitos Quarter Horse Assn.

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: C1650097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Dr. Edward C Allred, President, Director 100%
 Brad McKinzie Vice-President, General Manager 0%
 Rick English, Chief Financial Officer 0%
 Tom Siebly, Director 0%
 Don Valpredo, Director 0%
 Frank Sherren , Director 0%
 Cathy Monji, director 0%
 Jack Liebau, Director 0%
 Richard Shapiro, 0%
 Mike Harrington, 0%

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: None
6. Number of outstanding shares in the corporation: None
7. Are the shares listed for public trading? No Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 Quarter Horse Racing, Inc.

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
 Edward C Allred, President, CEO
 Jack Liebau, Vice-President
 Brad McKinzie, Vice-President, General Manager
 Rick English, Chief Financial Officer
 Robert Moreno, Racing Secretary
 Orlando Gutierrez, Publicity Director
 Frank Sherren, Plant Superintendent
 Rick Hughes, Track Superintendent
 Dennis Moore, Track Consultant
 Robert Digiovanni, Director of Mutuels
 Frank Miramhadi, Announcer
 Alex Soltera, Director of Security
 Robert Hurd, Director of Operations
 Mike Monji, Director of Food Services
 Ken Walker, Director of labor Relations,
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).
 Brad McKinzie, 4961 Katella Ave. Los Alamitos, CA 90720 bradmckinzie@aol.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily Double

Proposed percentage:
P5 14%, Daily Double 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • www.losalamitos.com

September 21, 2015

Brad McKinzie
V.P./General Manager
Los Alamitos Racing Association
Los Alamitos, CA 90720

Mr. Rick Baedeker
Executive Secretary
California Horse Racing Board
Sacramento, CA

Dear Mr. Baedeker,

Los Alamitos Racing Association is requesting two takeout modifications for our upcoming winter Thoroughbred meeting. We are requesting a 14% takeout on our Pick Five wager, as well as a 20% takeout rate on our Rolling Doubles.

Thank you for your consideration on this matter.

Brad McKinzie
Los Alamitos Racing Assn.



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

September 29, 2015

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Alamitos 2015 Winter Thoroughbred Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming race meet from December 3-20, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Brad McKinzie, Los Alamitos

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHÉIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



September 29, 2015

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
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ED MOGER
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WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

RE: Los Alamitos 2015 Winter Thoroughbred Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet from December 3-20, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

CHAIRS EMERITUS

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ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
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cc: Brad McKinzie, Los Alamitos

CORPORATE OFFICE

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WWW.TOCONLINE.COM

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014	\$69,536,671	84,616

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: \$2,280,000
 Prior meet actual: \$2,551,620

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: \$190,000
 Prior meet actual: \$212,635

2. Overnight stakes:
 Current meet estimate: 0
 Prior meet actual: \$62,750

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate - \$0
 Prior meet actual: \$5,229

3. Non-overnight stakes:
 Current meet estimate: \$551,250
 Prior meet actual: \$940,550

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: \$45,938
 Prior meet actual: \$78,379

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$2,831,250
 Prior meet actual: \$3,554,920

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 24%
Prior meet actual: 26%

Average Daily Purse (7 B1 ÷ number of days):
Current meet estimate: \$10,833
Prior meet actual: \$20,333

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$61,650
Prior meet actual: \$64,997

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization
Current meet estimate: TOC -\$24,935
CTT - \$12,467
NTRA - \$3,411

Prior meet actual: TOC - \$25,279
CTT - \$12,639
NTRA - \$6,142

Total \$40,813

Total \$44,060

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$2,933,713
Prior meet actual: \$3,663,997

Average Daily Purse (7 E ÷ number of days):
Current meet estimate: \$244,476
Prior meet actual: \$305,331

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,265,399
Prior meet actual: \$1,303,072

Average Daily Purse (7 F ÷ number of days):
Current meet estimate: \$105,450
Prior meet actual: \$108,559

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$535,180
Prior meet actual: \$525,723

Average Daily Purse (7 G ÷ number of days):
Current meet estimate: \$44,598
Prior meet actual: \$43,810

- H. Bank and account number for the Paymaster of Purses' purse account: Bank of the West
4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # on file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting; Bowen, McBeth inc. 10722 Arrow route, suite 110 Rancho Cucamonga, CA 91730
909-944-6465 – craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 820
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,545
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
Santa Anita – 1,900
San Luis Rey Downs – 495
Galway Downs – 150
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Agreements on file with cHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$16.25
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita - \$180, San Luis Rey Downs \$360, Galway Downs \$360

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 Training hour veterinary emergency services at all locations are handled by a rotation of private practice veterinarians with one or more of these veterinarians on the grounds at the opening of training hours.
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$.0.50 PNP5 Superfecta	CHRB #1959,1979,1957, 1977, 1976.9,1979.1
Race #2	\$2DD \$1E \$1Tri \$1 PK3 \$.50 NP4 Superfecta	CHRB 1957,1959, 1979, 1977, 1976.9, 1979.1
Race #3	\$2DD \$1E \$1 Tri \$1 PK3 \$.50 PNP6 Superfecta	CHRB 1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #4	\$2DD \$1E \$1 Tri \$1 PK3 \$.50 PNP6 Superfecta	CHRB 1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #5	\$2DD \$1E \$1Tri \$1 PK3 \$.50 NP4 Superfecta	CHRB 1957,1959, 1979, 1977, 1976.9, 1979.1
Race #6	\$2DD \$1E \$1 Tri \$1 PK3 superfecta	CHRB 1957, 1959, 1979, 1977,1979.1
Race #7	\$2DD \$1E \$1 Tri \$1 PK3 superfecta	CHRB 1957, 1959, 1979, 1977,1979.1
Race #8	\$2DD \$1E \$1 Tri \$1 PK3 superfecta	CHRB 1957, 1959, 1979, 1977,1979.1
Race #9	\$2DD \$1E \$1Tri \$1PK3 Super H 5 Superfecta	CHRB 1957,1959,1979,1977,,1979.1,ARCI #004-105 Subsection X Pick (n) Position (x) Pools

\$2 Rolling Daily Double 20% takeout Placement of last DD will depend on # of races
 \$1Trifecta on all eligible races (CHRB 1979)
 \$0.10 Superfercta on all qualifying races (CHRB 1979.1)
 Incoming simulcasts will follow host track format
 \$2 PNP6 on last six live races. 70% carryover, 30% minor. Alternative runner provision (CHRB 1976.9)
 \$0.50 PNP4 starting second race and last four races. Alternative runner provision (CHRB 1976.9)
 \$0.50 PNP5 starting first race. Minimum wager fifty cents. 14% takeout. One tier payoff: 100% carryover
 \$1 Pentafecta (Super High5) in last race of the day selecting the first five fiishers in a same race. 100% payout on all tickets selecting 5 winners.
 No consolation. 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. No changes from previous year
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: Unlimited. Final distribution Dec. 20, 2015

D. List any options requested with regard to exotic wagering: None

E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
9:00 am through 10:00 am December 2 through December 22, every racing day

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System
American Totalisator Inc.
Primary system at Via West Data Center, Portland, Oregon
Jeff True 818-307-0679
Contract expiration – 10-28-2020

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

- Race #1 Exacta 22.68%, Trifecta 23.68%, DD 20%, PK3 23.68%, Superfecta 23.68%, PNP5 14%
- Race #2 Exacta 22.68%, Trifecta 23.68%, DD 20%, Superfecta 23.68%, PNP4 23.68%, PK3 23.68%
- Race #3 Exacta 22.68%, Trifecta 23.68%, DD 20%, Superfecta 23.68%, PNP6 23.68%, PK3 23.68%
- Race #4 Exacta 22.68%, Trifecta 23.68%, DD 20%, Superfecta 23.68%, PNP6 23.68%, PK3 23.68%
- Race #5 Exacta 22.68%, Trifecta 23.68%, DD 20%, Superfecta 23.68%, PK3NP3 23.68%, PNP4 23.68%
- Race #6 Exacta 22.68%, Trifecta 23.68%, DD 20%, PK3 23.68%, Superfecta 23.68%
- Race #7 Exacta 22.68%, Trifecta 23.68%, DD 20%, PK3 23.68%, Superfecta 23.68%
- Race #8 Exacta 22.68%, Trifecta 23.68%, DD 20%, PK3 23.68%, Superfecta 23.68%
- Race #9 Exacta 22.68%, Trifecta 23.68%, PK3 23.68%, DD20%, Superfecta 23.68%, Super Hi 5 23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting: TVG, XpressBet, Twin Spires, Watch and Wager, Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

September 29, 2015



Mr. Brad McKinzie
 Los Alamitos Racecourse
 4961 Katella
 Los Alamitos, CA 90720

RE: 2015 Los Alamitos Winter Race Meeting ADW Approvals

Dear Brad,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Racecourse (LARC) race signals under the terms and conditions set forth below.

LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire (subject to arbitration), WatchandWager, Game Play Network Inc. and Bet America to accept wagers on LARC and other Thoroughbred races during the 2015 Los Alamitos Winter race meeting, December 3 - 20, 2015, as follows:

1. **California wagering on LARC races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on LARC races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager, Game Play Network and Bet America.

Very truly yours,

Joe Morris
 President

cc: Tom Varela, Monarch Content Management

OFFICERS

MIKE PEGRAM
 CHAIR
 BILL STRAUSS
 VICE CHAIR, SOUTHERN CA.
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
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 NO. CA. DIRECTOR OF
 OPERATIONS
 JENNIFER SAAVEDRA
 BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05,
 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering: SCOTWINC
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
Agreement on file with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Attached
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Attached
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": attached

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":N/A

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":N/A

2015 Los Alamitos (Thoroughbred) Import Tracks

Track
Arlington
Assiniboia
Australia Racing
Beulah
Calder
Canterbury
Churchill Downs
Delaware Park
Delta Downs
Ellis Park
Emerald
Evangelina
Fort Erie
Gulfstream
Hastings Park
Indiana Downs
Keeneland
Lone Star
Louisiana Downs
Monmouth
Mountaineer Park
Northlands
NYRA - Aqueduct, Belmont, Saratoga
Penn National
Philadelphia Park (Park)
Pimlico
Prairie Meadows
Presque Isle
River Downs
South America Racing
Suffolk Downs
Sunray Park
Tampa Bay
Thistledown
Turf Paradise
United Kingdom
Woodbine

2015 Los Alamitos (Thoroughbred) Import Tracks**Track**

Arlington
Assiniboia
Australia Racing
Beulah
Calder
Canterbury
Churchill Downs
Delaware Park
Delta Downs
Ellis Park
Emerald
Evangeline
Fort Erie
Gulfstream
Hastings Park
Indiana Downs
Keeneland
Lone Star
Louisiana Downs
Monmouth
Mountaineer Park
Northlands
NYRA - Aqueduct, Belmont, Saratoga
Penn National
Philadelphia Park (Parx)
Pimlico
Prairie Meadows
Presque Isle
River Downs
South America Racing
Suffolk Downs
Sunray Park
Tampa Bay
Thistledown
Turf Paradise
United Kingdom
Woodbine

**2015 Los Alamitos (Thoroughbred)
Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Ewin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thisledown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Oaklawn	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Chester
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomie Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB		
Tote Investment Racing	Skydancer Casino OTB	Remington OTB Network	
Randall James Racetrack	Lien Games ADW	Retama	
Millenium Racing	BetAmerica and Offtrackbetting	Racing & Gaming Services	Separate Pool Locations
Royal Beach Casino	Lone Star	Rillito Park	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Park	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Rockingham Account Wagering	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Ruidoso Downs	ND\$ Books (Nevada)
Delaware	Buffalo Thunder Resort	Running Aces Harness Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Saddle Brook Park	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Sam Houston	New Zealand Racing Board
		Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

2015 Los Alamitos (Thoroughbred)

Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	ML Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Accd Wagering
Birmingham	Bwin International Ltd.	Newport Jal Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Inil Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thisledown
Canterbury	Racebets	Cedar Downs OTB	Tioga Downs
Capital District OTB	Greentrack	Northville	Tri-State GH (Mardi Gras)
Calskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Oaklawn	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Chester
Club Hípica InTurf	HarringtonBets (DE regional)	Panama	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Whaeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Williamantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Yobet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Onalda Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tota Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Separate Pool Locations
Royal Beach Casino	Lona Star	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

Canadian Locations

Alberta Downs, Assinibola, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinle Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
1932 Wildcat Canyon Rd.
Lakeside, CA 92040

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair
46-350 Arabia St.
Indio, CA 92201

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

Sycuan Gaming Center
5469 Casino Way
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Striders Norco (mini-satellite)
2895 Hamner Ave.
Norco, CA 92860

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
 Board of Directors
 Los Alamitos Racing Assn.
 4961 Katella Ave
 Los Alamitos CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
 Rick English, Los Alamitos Racing Assn. 4961 Katella Ave Los Alamitos CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
Association Veterinarian(s) – Dr. Dana Stead, Dr Dana Stead
Clerk of Scales – Charles McCaul
Clerk of the Course – Melanie Stubblefield
Film Specialist - Stewards
Horse Identifier – Jennifer Paige
Horseshoe Inspector – Victor Tovar
Paddock Judge – Karen Deneval
Patrol Judges – Karen Deneval
Placing Judges Kevin Colosi, Dawn Schmid
Starter – Gary Brinson
Timer – Russ Hudak
- B. Management officials in the racing department:
Director of Racing – Robert Moreno
Racing Secretary – Robert Moreno
Assistant Racing Secretary – Zachary Soto
Paymaster of Purses – Dawn Jackson
Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Weinstein Court Reporters
P O Box 26634
Santa Ana, CA 92799
949-637-6071
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corp
Bill O'Brien
Expires – Dec. 31, 2015
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Jim Porep
Pegasus Communications
16633 Ventura Blvd Suite 1010
Encino, CA 91436
Expires – April 21, 2017
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Timing devices maintained and operated by Los Alamitos Racing Assn. personnel.

15. SECURITY CONTROLS

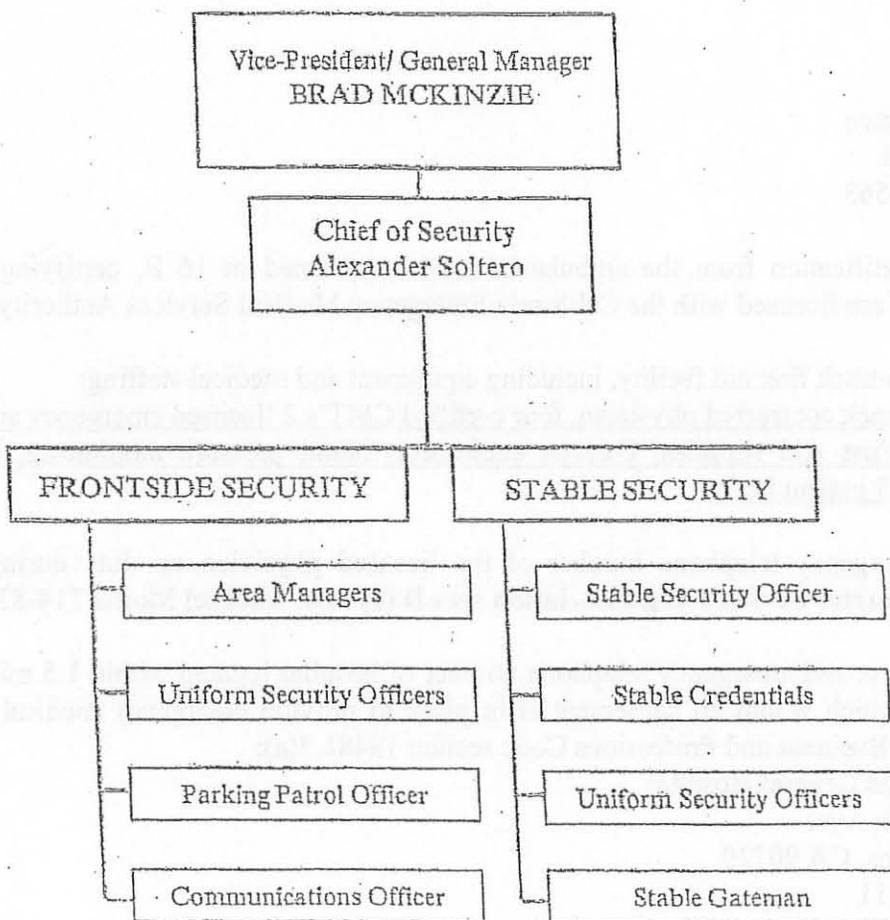
- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- Alexander Soltero, Chief of Security
714-820-2660 frontside / Backside 714-820-2832
Organization Chart – see attachment
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
- 1 Chief of Security, 1 Stable Security Manager, 5 Gatemen, 3 Investigators, 20 Security Guards
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Unless directed by the CHRB, LARA does no plan to utilize a detention barn.
2. Detention Stalls:
- A. Attach a plan for use of graded stakes or overnight races. n/a
- B. Number of security guards in the detention stall area during a 24-hour period. n/a
- C. Describe number and location of surveillance cameras in detention stall area. There are no surveillance cameras in barn area n/a
3. TCO2 Testing:
- A. Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB directives
- B. Plan for enhanced surveillance for trainers with high-test results.
CHRB directives will be followed
- C. Plan for detention stalls for repeat offenders. CHRB directives will be followed
- D. Number of security personnel assigned to the TCO2 program. As per needed by CHRB directive
- C. Describe the electronic security system: We do not have an electronic security system
1. Location and number of video surveillance cameras for the detention stall and stable gate.
- D. For night racing associations. Describe emergency lighting system:

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:
- Huntington Ambulance
P O Box 45
Sunset Beach, CA 90742
562-904-1550
Track on site # - 714-820-2845

LOS ALAMITOS RACING ASSOCIATION

Security Live Racing



1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

San Luis Rey Downs
North county fire Protection
31403 Old River road
Bonsall, CA 92003
Phone - 911

Santa Anita
AmbuServe
15105 S. Broadway
Gardena, CA 90248
310-644-0500

Galway Downs
Symons Ambulance
28078 Baxter Rd
Murrieta, CA 92563

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- C. Describe the on-track first aid facility, including equipment and medical staffing:
Staffing – On-track contracted physician, four certified CMT's 2 licensed emergency ambulances
Equipment – First Aid supplies, Oxygen equipment, blood pressure monitoring, backboard, cervical collar, 3 patient beds.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Dr. Michael Morris 714-820-2810**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos General Hospital
3571 Katella Ave.
Los Alamitos, CA 90720
562-598-1311

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Los Alamitos General Hospital
3571 Katella Ave
Los Alamitos, CA 90720
562-598-1311

Huntington Ambulance, LLC

CHRB
1010 Hurley Way # 300
Sacramento, CA 95825

April 6, 2015

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's / Emergency Medical Technicians working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,

Stacey O'Bryan - President
Huntington Ambulance, LLC



COUNTY OF SAN DIEGO

EMERGENCY MEDICAL SERVICES

EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: AMERICAN MEDICAL RESPONSE

Effective Date: August 1, 2015

Expiration Date: July 31, 2016

Approved as: ALS
BLS
CCT

Authorized by: *Monica M. Gray*
Permit Officer, Emergency Medical Services

Permit Number: 15-119

EXHIBIT 11

CHRB Item 15.1 – Explanation of lack of paramedic staff

Unlike Los Angeles County, which allows for the licensing of private paramedic services, all paramedic services in Orange County fall under the jurisdiction of the Orange County Health Care Agency, Department of Emergency Medical Services and that agency does not license private paramedic services within the county.

All paramedic services within Orange County are provided by the Orange County Fire Authority and there is no provision within current guidelines that allow for OCFA units to be staged for activities of private events such as horse racing.

Because of this guideline, all racing events at Los Alamitos are covered by two fully equipped ambulances staffed by state certified EMT's. These EMT's and our ambulance service also fall under the jurisdiction of the Orange County Emergency Medical Services and our operations at the track are reviewed on an annual basis. I have included our last review with the suggestions of OCEMS which have been implemented by our track.

It is important to note that while our track is not covered by paramedics, the protocol for having paramedics on the scene in case of an accident has been reviewed and approved by the OCEMS.

The nearest paramedic staffed fire station to Los Alamitos Race Course is literally across the street. This proximity is no accident. The OCEMS will tell you that the site was chosen for the specific reason of its proximity to the race track.

In case of an accident that requires paramedic response, our Huntington Ambulance EMT's will stabilize the patient and will meet the OCFA paramedic unit at the pre-designated location directly across the street from the fire station. This location is accessed through a gate on our backstretch that we have shown on the enclosed graphic.

Not only does the OCEMS approve of this system, as you can see from our latest review, they believe having experienced EMT's handle the initial care of the jockey before handing the patient off to paramedics has benefits.



Symons Ambulance
18592 Cajon Blvd.
San Bernardino, CA 92407
(909) 880-2979

June 16, 2015

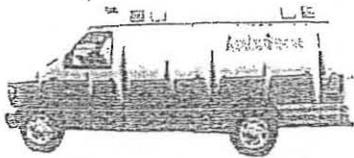
To Whom It May Concern:

Symons Ambulance would like to confirm all of our field staff are state certified through 2015. All field staff are required to keep their state certifications current with no lapse. Symons Ambulance audits our personnel's required state certifications on a monthly basis.

If you have any additional questions please feel free to contact me.

Thank you,

Kurt Dye
Operations Manager
909-454-5093 Cell Phone
909-880-2979



AmbuServe
Ambulance



May 12, 2015

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales
Paramedic Supervisor

Long Beach Memorial Hospital (Certified Trauma Center)
2801 Atlanta Ave.
Long Beach, CA 90806
562-933-2000

UC Irvine Medical Center (Certified Trauma Center)
101 The City Drive
Orange, CA 92868
714-456-7890

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Frank Sherrén
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Annual fire inspection to be completed in October. Will forward clearance at that time.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Finish Line Self Insurance Group
714-820-2743
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

FIRST RESPONSE

*In the event of an accident, the LARA Trakside Human Ambulance which follows each race and is staffed by state certified EMT's will respond immediately to the location of the accident.

*EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the EMT's.
- If EMT's request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- If the injuries are not deemed serious by either the EMT's or Paramedics, the rider will be directed either to a local emergency room or the Track Physician for evaluation. It will be the policy of the Track Doctor that with the exception of the most minor accidents the injured jockey be evaluated immediately by an emergency room doctor in a medical setting. Should a jockey refuse treatment recommendations from EMT's, Paramedics or the Track Doctor, they will be required to sign a Refusal of Medical Treatment form and their ability to continue riding on that day's program will be determined by the CHRB Stewards.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPODROMO PRIMERA RESPUESTA

* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE

- Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballos sueltos, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre - designado a las afueras de Los Alamitos en la valla al fondo de las pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinaran si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran grave por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisarios Deportivos de CHR.B.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre esta abierta.



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000 www.ocfa.org

November 3, 2015

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to doughartman@ocfa.org.

Respectfully,

Douglas A. Hartman,
Senior Fire Prevention Specialist

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
**LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE**
(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

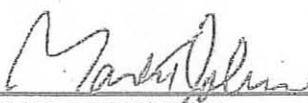
has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.*

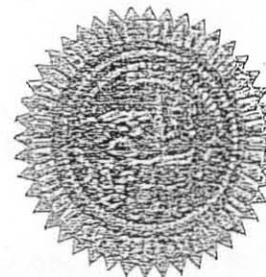
EFFECTIVE:

THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA


MARK T. JOHNSON, MANAGER


JOHN M. REA, DIRECTOR



*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 - Administration of Self-Insurance.

Handicappers

Bob's Card Inc.
Tiffany Bohland
6288 Highland Meadows Dr.
Medina, OH 44256

CHRB 25-A and CHRB 87 on file

Winner's Card Corp.
c/o Don Harris
924 Knob Hill Ave.
Redondo Beach, CA 90277

CHRB 25-A and CHRB 87 on file

Today's Racing Digest
Jason Karches
2080 Las Palmas Dr.
Carlsbad, CA 92009

Exempt from CHRB 25A and 87

Triangle Turf Winners
William Archer
1 Scott St.
Gardnerville, NY 89410

CHRB 25-A and CHRB 87 on file

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting:
- B. Promotional/ Marketing budget for this race meeting: \$197,500
Promotional/Marketing budget for prior race meeting: \$185,000
- C. Number of hosts and hostesses employed for meeting: 8
- D. Describe facilities set aside for new fans: no facilities for new fans
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: no changes since September meeting
 - 1. Horsemen
 - 2. Fans
 - 3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
 - Admission (general) \$3
 - Admission (clubhouse) \$5
 - Turf club - \$10
 - Reserved seating (general) Free
 - Reserved seating (clubhouse) Free
 - Parking (general) Free
 - Parking (preferred) \$6 \$10, Premium
 - Parking (valet) n/a
 - Programs (on-track) \$2.50 (off-track) \$2.50

2015 Winter Meet Marketing/Promotion Ideas

Advertising

DRF - \$30,000 that will include:

- **Full print advertising program,**
- On-line advertising
- **Los Alamitos Past Performances in all national DRF editions,**
 - special Pick 4 sections for major races during the meet
 - complimentary DRFs to guests in Vessels Club on special racing days.

OC Register - \$15,000

- Print advertisements utilizing the paper's Register Rewards program to provide ticket specials during the Winter meet.
 - Will include a fresh variety of print ads including front page strips in the sports section
 - Video ad placement on the newspaper's website.

Long Beach Press Telegram - \$8,000

With Los Alamitos Race Course being located in North Orange County, neighboring Long Beach is a strong and always growing market for Los Alamitos Race Course. Includes:

- e-mail marketing, (which in September 2015 generated an 11% click rate), an AdTaxi online advertising component
- Variety of main and sports section front page advertising.

Radio – \$10,000

Los Alamitos partners with a variety of traditional Southern California horse racing radio shows, but has also established a strong presence in some of the most popular national radio shows. This contributed to increased out of state handle of over 35 percent. Ad buy and segments on:

- **Race Day Las Vegas**
- **Steve Byk's At The Races**, which airs on **SiriusXM**
- **ESPN Deportes 1330 AM**, which promotes horse racing via ticket giveaways to the track during all of its remotes and during special on-air promotions
- **The Jim Rome Show** (New for the upcoming season)
- Pursuing new radio partners East Coast

Television - \$22,000 (500 total spots)

- **Time Warner** (main cable provider in OC)
 - Time Warner's **Lakers games, Prime Ticket's Ducks games, Golf Channel** and more.
 - Run of schedule advertising on **Bravo, TBS, ESPN, NFL Network, Fox,** and more.
- **Direct TV**
- **TVG**

Online advertising – \$3,000

Los Alamitos will purchased online banners on the popular The Paulick Report website with a strategic purchase of takeover banners and a month long banner buy, which can be changed on the fly to promote carryovers and more.

Promotional**Saturday Handicapping Contests – \$18,000**

We will conduct a series of handicapping contest to target all types of horse players. The goals of the contest is to enhance the on-track experience. Contests will include:

- DRF/NTRA NHC Qualifiers
- “Low Rollers Handicapping Contest” modeled after popular handicapping event held in Saratoga.
- FREE Christmas Prize Bonanza handicapping contest on final Saturday with prizes that will vary from HD TVs to other popular electronics like iPads, etc.

On-Track Promotional Giveaways – \$50,000

The top attendance days of the five previous meets at Los Alamitos have all occurred on giveaway days. Giveaways planned are:

- **California Chrome Blanket;**
- **Los Alamitos Futurity Sweatshirt**
- **Stars of Racing magnet**
- **2016 Calendar**

Voucher Promo and Direct Mail Piece – \$14,000

- 10,000 Mystery Mutuel vouchers will be mailed for Starlet Day. Redemption rates for mystery piece are expected to be 17% to 19%.

Fan incentive program –

- Dollars Day
- Free Friday
- Food Trucks
- Craft Beer Court

Win a trip to the 2016 Kentucky Derby – \$6,500

Los Alamitos brings back this successful promotion and we will use last year's winners in promoting the trip. In 2014, nearly 3,000 people entered the contest and more than 1,500 were added to the track's database for future promotions.

Community Outreach –

Los Alamitos will provide local business owners with an attractive package to the race that will include complimentary Vessels Club passes and more.

SOCIAL MEDIA AND DAILY DEALS

Track specials on daily deal sites including:

- **Living Social**
- **Travelzoo**
- Los Alamitos and the track's Vessels Club will explore promotion with Los Angeles **BlackboardEats**, a free e-mail and website delivering exclusive deals at the best restaurants. Selections on Blackboard Eats are made by food editors and are written by food writers who enjoy the restaurants before writing the reviews. The goal is to promote the Vessels Club and the on-track experience to a new audience.

ADW Promotional

\$21,000 Los Alamitos has partnered with **TVG, Twin Spires, and Xpressbet** to offer various exciting promotions from TVG's popular Hit It And Split to Xpressbet's 0% Takeout Late Daily Double promotion and Twin Spires' \$10,000 Pick 5 Bonus at Los Alamitos. ADW partners promotes the Los Alamitos races with e-mail alerts, web banners, Site News and Digital Newsletters, ADW's social media outreaches and more.

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
Turf club season table - \$480 / Grandstand Season Table - \$250
- C. Describe any "package" plans such as combined parking, admission and program: None

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
- | | | | |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many | <input type="text" value="60"/> |
| <input checked="" type="checkbox"/> | Showers | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur | <input checked="" type="checkbox"/> | Food/beverage service - yes |
| | | <input checked="" type="checkbox"/> | Lounge area |
| | | <input checked="" type="checkbox"/> | Certified platform scale |
- B. Describe the quarters to be used for female jockeys/drivers: Female jockey quarters are segregated from the male jockey quarters and contain 5 lockers as well as its own restroom and shower facilities and its own sauna.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 mens / 8 ladies
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1- 20

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3' 16GA galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file

- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

23. DECLARATIONS

- A. - All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Not applicable
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): no exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

BRAO MCKENZIE
Print Name

[Signature]
Signature

VP Gen Manager
Print Title

9-22-15
Date

STAFF ANALYSIS
November 19, 2015

APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF
GAME PLAY NETWORK, INC. FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL
WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Game Play Network, Inc. (GPN) filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering (ADW). It is currently licensed through December 31, 2015 as an out-of-state multi-jurisdictional wagering hub, accepting ADW wagers on races conducted outside of California. GPN has not provided documentation to allow it to accept wagers on races conducted in California from a resident of California. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. GPN has submitted a \$500,000 irrevocable standby letter of credit from East West Bank, which expires February 7, 2016.

This application provides for:

- Dates of operation will be conducted January 1, 2016 through December 31, 2017. Schedule varies based on availability of racing content. Call center hours 9:00 a.m. to 6:00 p.m.
- Business and Professions Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)

No ADW provider may accept wagers on **races conducted in California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For

purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to advance deposit wagering on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **races conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

19604 (a)(7)

““Hub agreement” as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California.”

19604 (d)(1) (B)

“The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization . . .”

Game Play has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Hub agreement between Game Play Network, Inc. and Thoroughbred Owners of California dated as of August 31, 2015 ending February 28, 2017.
- Hub agreement between Game Play Network, Inc. and Watch and Wager, Inc. dated as of August 25, 2015 ending December 31, 2017.
- Hub agreement between Game Play Network, Inc. and Los Alamitos Quarter Horse Racing Association, Inc. dated as of July 1, 2014 ending June 30, 2016.

The following application **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. Labor Agreement with SEIU 280.
2. Letter of Credit expires February 7, 2016.

Outstanding items required before wagers can be accepted on **races conducted outside of California** from a resident of California:

1. Verification that the signatory parties of the hub agreement with the horsemen's organization Thoroughbred Owners of California have provided notice to *Sonoma County Fair* pursuant to Business and Professions Code section 19604(b)(2)(E).

In addition, outstanding items required before wagers can be accepted on **races conducted in California** from a resident of California:

1. Written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
2. Horsemen approval of ADW agreement.

Specific items that will need **updating** during the proposed term of the license:

1. Hub agreement between Game Play Network, Inc. and Los Alamitos Quarter Horse Racing Association, Inc. dated as of July 1, 2014 ending June 30, 2016.
2. Hub agreement between Game Play Network, Inc. and Thoroughbred Owners of California dated as of August 31, 2015 ending February 28, 2017.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD (CHRB)
 APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING
 CHRB-133 (New 9/01)

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

NOTICE – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)

A. Name, mailing address, telephone and fax numbers:

Name: Game Play Network, Inc.
Address: 12121 Wilshire Blvd., Suite 100, Los Angeles CA 90025
Phone: (310) 307-5500
Fax: (310) 622-1028

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

Please refer to Exhibit 1B – Attached for license schedules by individual and jurisdiction.

David Marshall – Chief Executive Officer
 Russell Fine – President
 Joseph Hasson – Chief Operating Officer
 Greg Avioli – Executive Vice President Racing
 Gary Jacobs – Director
 Gary McAdams – Director
 Kurt Brendlinger – Director

Melissa Riahei - General Counsel

C. Name, title and mailing address of the California agent for receipt of service of process:

Melissa Riahei – General Counsel
 Legal Department
 Game Play Network, Inc.
 12121 Wilshire Blvd., Suite 100, Los Angeles CA 90025

D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

CHRB CERTIFICATION

Application received: 9/3/15
 Reviewed: LH

Hearing date: 11/19/15
 Approval date:

Included refer to Exhibit 1D – Hub Agreements - Attached

2. DATES OF OPERATION

- A. Dates Advance Deposit Wagering will be conducted: **January 1, 2016 through December 31, 2017.**
- B. Hours Advance Deposit Wagering will be conducted: **Schedule varies based on availability of racing content. Call center hours are 9:00 AM to 6:00 PM.**

3. BUSINESS STRUCTURE

- A. Corporation (complete subsection B)
- LLC (complete subsection C)
- Other (specify, and complete subsection D)

Complete the applicable subsection

B. CORPORATION

- 1. Registered name of the corporation: **Game Play Network, Inc.**
- 2. State where incorporated: **Delaware**
- 3. Registry or file number for the corporation: **0001560325**
- 4. Name of all officers and directors, titles, and number of shares of the corporation held by each: **Please refer to Exhibit 3B4 – Attached**
- 5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
Only Officers and Directors as reported in Exhibit 3B4 hold 5% or more of the outstanding shares.
- 6. Number of outstanding shares in the corporation:
25,694,258 Common Shares
287 Series A Preferred (each preferred has 13,514 common voting rights)
- 7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
- 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
Melissa Riahei –General Counsel and Corporate Secretary
- 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **N/A**

10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.

Please refer to Exhibit 3B10 - Attached

11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

Please refer to Exhibit 3B11 - Attached

C. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
6. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

4. ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2074.

- A. List the procedures to establish an Account: **Please refer to Exhibit 5A, Supplement 1, Sections 1.10 & 1.11 – Attached.**

- B. If an application form is used to establish an Account attach a copy of the form.
Please refer to Exhibit 5A, Supplement 1a from the Operating Plan, "GPN Application" – Attached.
- C. Name and address of the third party you will use to verify identity, residence and age verification:
Transunion – 555 W Adams, Chicago, Illinois 60661
CAMS – 8391 Beverly Blvd., #310, Los Angeles, CA 90048
5. **OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2073.
- A. Submit a copy of your plan for operation.
Please refer to Exhibit 5A "Operating Plan" - Attached
- B. List the type of deposits you will accept:
Please refer to Exhibit 5A, Supplement 2, Section 1.2.1 for our list of Accepted Deposit Types
- C. Identify any fees or transaction-related charges and the amount that will be assessed:
Currently no fees are charged.
6. **SECURITY ACCESS**
- A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:
1. Description of the technology to ensure identity, residence, and age verification when an Account is established:
Please refer to Exhibit 5A, Section 2.3; &
Please refer to Exhibit 5A, Supplement 1, Section 1.11
 2. Description of the technology to ensure confidentiality of the Means of Personal Identification:
Please refer to Exhibit 5A, Section 2.3
 3. Methods and locations available for Account Holders to withdraw funds from their Account:
Please refer to Exhibit 5A, Supplement 2, Section 1.4.1 for our list of Accepted Withdrawal Types
 4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:
All records will be maintained at our Oregon Operations Office located at, 14725 SW Millikan Way, Beaverton, OR 97006. The customer service toll free number is 844-462-7768. The customer service fax number is (503) 350-0232. The call center office number is (503) 350-0231. Call Center hours are Monday through Sunday 9:00 AM PT to 6:00 PM PT. Holiday hours will apply based on available racing content.

7. PARI-MUTUEL

- A. Name, address and telephone number of the pari-mutuel audit firm:

BDO

**1888 Century Park East 4th Floor, Los Angeles, CA 90067
(310) 557-0300**

- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:

Totalizator Equipment: Amtote International, Inc, Initial term expires on February 28, 2021.

ADW Website: Amtote International, Inc, Initial term expires on February 28, 2021.

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:
Please refer to Exhibit 7B

NOTICE – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

8. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:

Please refer to Exhibit 8A – Attached

- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:

Please refer to Exhibit 8B – Attached

9. ADVERTISING

Name and address of the advertising agency you will use:

Game Play Network, Inc. staff is responsible for marketing & advertising.

NOTICE – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.

10. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

Joe Hasson

Print Name

Joe Hasson

Signature

Chief Operating Officer

Print Title

10/20/2015

Date

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1489, GROUNDS FOR DENIAL OR REFUSAL OF
LICENSE, TO AMEND THE CRITERIA TO BE USED WHEN CONSIDERING THE
DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE

Regular Board Meeting
November 19, 2015

ISSUE

Business and Professions Code section 481 states that every board that falls under the provisions of the Business and Professions Code shall develop criteria to aid it, when considering the denial, suspension or revocation of a license, to determine whether a crime or act is substantially related to the qualifications, functions, or duties of the business or profession it regulates. Presently, the Board does not have such criteria in place. Adoption of the proposed amendment to CHRB Rule 1489 will bring the Board in line with the requirements of section 481, and help provide consistent determinations about which acts, offenses, or crimes are so substantially related to horse racing that they necessitate the denial, suspension, or revocation of a license.

BACKGROUND

Business and Professions Code section 481 provides as follows:

Each board under the provisions of this code shall develop criteria to aid it, when considering the denial, suspension or revocation of a license, to determine whether a crime or act is substantially related to the qualifications, functions, or duties of the business or profession it regulates.

To date, the Board has been without substantial relationship criteria to aid it in determining which acts, offenses, or crimes are so substantially related to horse racing that they necessitate the denial, suspension, or revocation of a license. Adoption of the proposed criteria would address this issue, and bring the Board in to conformity with section 481.

ANALYSIS

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purpose of this chapter. Responsibilities of the Board shall include, but are not limited to, licensing of each racing association and all persons, other than the public at large, who participate in a horse racing meeting with pari-mutuel wagering. Business and Professions Code section 19461 states that every license granted under this chapter is subject to suspension or revocation by the board in any case where the board has reason to believe that any condition regarding it has not been complied with, or that any law, rule, or regulation of the board affecting it has been broken or violated. Rule 1489, Grounds for Denial or Refusal of License, explains that, in addition to any other valid reason, the Board may refuse to issue a license or deny a license to any person who

has been convicted of a crime punishable by imprisonment in a California state prison or a federal prison, or who has been convicted of a crime involving moral turpitude; who has been convicted of a crime in another jurisdiction which if committed in this state would be a felony; who has made any material misrepresentations or false statements to the Board or its agents in his or her application for a license or otherwise, or who fails to answer any material question on an application for a license; who is unqualified to engage in the activities for which a license is required; who fails to disclose the true ownership or interest in any or all horses as required by an application; who is subject to exclusion or ejection from the racing inclosure or is within the classes of persons prohibited from participating in pari-mutuel wagering; who has committed an act involving moral turpitude, or intemperate acts which have exposed others to danger, or acts in connection with horse racing and/or a legalized gaming business which were fraudulent or in violation of a trust or duty; who has unlawfully engaged in or who has been convicted of possession, use or sale of any narcotic, dangerous drug, or marijuana; who is not permitted by any law to engage in the occupation for which the license is sought; or who has violated, or who aids, abets or conspires with any person to violate any provision of the rules or the Horse Racing Law. Finally, Rule 1900, Grounds for Suspension or Revocation, provides that any rule which is a ground for denial of a license is also ground for suspension or revocation of a license.

To operate in conjunction with the above rules and regulations, Business and Professions Code section 481 further states that the Board shall develop criteria to aid it in determining whether a crime or act is substantially related to the qualifications, functions, or duties of a CHRB licensee when considering the denial, suspension or revocation of a person's license.

The proposed criteria were developed by CHRB counsel to bring the Board into conformity with Business and Professions Code section 481, as well as to assist investigators and licensing staff in making consistent determinations about which acts, offenses, or crimes are so substantially related to horse racing that they necessitate the denial, suspension, or revocation of a license. The proposed amendment to Rule 1489 was heard at the May 21, 2015 Regular Board Meeting, where the Board instructed staff to initiate the 45-day public comment period.

During the public comment period staff received one written comment, which has been included as an attachment in this packet. CHRB counsel reviewed the substance of the comment, and has determined that it is largely irrelevant because it is not directed at the specific amendments the Board is considering. For those portions that are directed at the specific proposed action, counsel has determined that they lack merit, and therefore recommends that the Board adopt the proposed amendment to Rule 1489 as presented.

RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED AMENDMENT OF
RULE 1489. GROUNDS FOR DENIAL OR REFUSAL OF LICENSE

1489. Grounds for Denial or Refusal of License.

(a) The Board, in addition to any other valid reason, may refuse to issue a license or deny a license to any person:

(a)(1) Who has been convicted of a crime punishable by imprisonment in a California state prison or a federal prison, or who has been convicted of a crime involving moral turpitude.

(b)(2) Who has been convicted of a crime in another jurisdiction which if committed in this state would be a felony.

(c)(3) Who has made any material misrepresentation or false statement to the Board or its agents in his or her application for license or otherwise, or who fails to answer any material question on an application for a license.

(d)(4) Who is unqualified to engage in the activities for which a license is required.

(e)(5) Who fails to disclose the true ownership or interest in any or all horses as required by any application.

(f)(6) Who is subject to exclusion or ejection from the racing inclosure or is within the classes of persons prohibited from participating in pari-mutuel wagering.

(g)(7) Who has committed an act involving moral turpitude, or intemperate acts which have exposed others to danger, or acts in connection with horse racing and/or a legalized gaming business which were fraudulent or in violation of a trust or duty.

(h)(8) Who has unlawfully engaged in or who has been convicted of possession, use or sale of any narcotic, dangerous drug, or marijuana.

(i)(9) Who is not permitted by any law to engage in the occupation for which the license is sought.

(j)(10) Who has violated, or who aids, abets or conspires with any person to violate any provision of the rules or the Horse Racing Law.

(b) When considering the denial, suspension or revocation of a license under subparagraphs (a)(1), (a)(2), (a)(7), and (a)(8) of this section, pursuant to section 481 of the Business and Professions Code, a crime or act shall be considered to be substantially related to the qualifications, functions or duties of a person applying for or holding a license under the Horse Racing Law, if to a substantial degree the crime or act evidences a present or potential unfitness to perform the functions authorized by his or her license or in a manner consistent with the public health, safety, or welfare.

Authority: Sections 19440 and 481,
Business and Professions Code.

Reference: Sections 19460, 19510 and 19572
Business and Professions Code.

CARLO FISCO
ATTORNEY AT LAW

October 28, 2015

VIA FACSIMILE AND EMAIL

(916)263-6022

pjlaird@chrb.ca.gov

Philip Laird, Staff Counsel
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

**Re: Public Comment re Rule 1489
Criteria for "Substantial Relationship"**

Dear Mr. Laird:

This letter is submitted as public comment with regard to proposed Rule 1489 (Grounds for Denial or Refusal of License: Criteria of Substantial Relationship) which is slated for possible adoption at the November Board meeting. Accordingly, please note the following:

1. Subsection (a)(1) includes as a ground for denial any person "*who has been convicted of a crime involving moral turpitude.*" This language is contrary to California law under Business and Professions Code Section 480 and decisional law under, among others, *Robbins v. Davi* (2009) 175 Cal.App.4th 118. The *Robbins* Court made it very clear when it stated that "legislative changes have clarified that the question of moral turpitude is irrelevant, and consequently the only question in this case is whether Robbins's crimes were substantially related to the qualifications, functions or duties of a real estate license...conviction of a crime involving moral turpitude alone is insufficient for revocation of a license; in *Arneson v. Fox* (1980) 28 Cal.3d 440, the California Supreme Court observed that a statute can constitutionally bar a person from practicing a profession only for reasons related to his fitness or competence to practice that profession. (*Id.* at p. 448, [due process principles are satisfied so long as the requisite substantial relationship mandated by section 490 exists].)"

This proposed regulation attempts to implement Business and Professions Code sections 480 and 490, which set forth the grounds for denial, refusal or revocation of a license. In these statutes, there is no mention of "moral turpitude." There is, on the other hand, specific reference to the requirement of 'substantial relationship' which is not present in this proposed regulation. It appears only in subsection (b) without any previous mention of it in the regulation and unlike the statement found, for example, in Section 480(a)(3)(B). Thus, this proposed regulation fails to comply with APA standards for Clarity and Consistency and must be modified or amended;

2. Subsection (a)(6) is impermissibly vague and illegal. It purports to allow for a license denial or revocation if a licensee is excluded or ejected from a racing enclosure. And all of this presumably is done without a hearing. This is entirely illegal. Recently, a licensee was excluded

Public Comment Letter re: 1489
October 28, 2015
Page two

without cause or justification from the grounds at Los Alamitos Racetrack by its owner. The licensee has requested a hearing to determine the propriety of his eviction. There has never been any inkling that the CHRFB would or could use this "private" ejection as the basis for denial of his CHRFB license. In fact, he was in good standing with the CHRFB at the time of his unwarranted ejection.

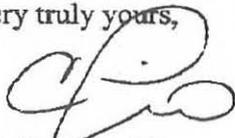
As written, therefore, a licensee could be denied a license for no good reason, on a private whim and without a hearing. Clearly, this is an improper consequence of this proposed language. This subsection is not clear or consistent under the APA to those persons who would be affected by it and therefore must be rejected unless modified or amended;

3. Subsection (a)(7) again includes the phrase "*moral turpitude*." As stated above, this is irrelevant for purposes of licensing. Only criteria for "substantial relationship" and rehabilitation are mandated. Accordingly, this subsection lacks clarity and consistency;

4. Subsection (a)(8) is impermissibly vague and ambiguous. It states that anyone "*unlawfully engaged in...possession of...marijuana*" is subject to licensing discipline. "Unlawfully engaged" is unclear to those who would come under the purview of this regulation. While many licensees legally possess marijuana in California, it could still be considered as an unlawful engagement under Federal law. Moreover, simple possession is not the kind of violation, in any event, that has typically caused a license denial, refusal or revocation. Usually, fines are levied. For all these reasons, this subsection lacks clarity and consistency, as well as necessity since there are already regulations available to deal with this situation; and

5. Subsection (b) is inconsistent with the Business and Professions Code section 480 in that it does not establish that a finding of substantial relationship between a conviction and the license is a condition precedent to licensing discipline. Language identical to Section 480(a)(3)(B) should be inserted in this proposed regulation. Without it, the regulation lacks clarity and consistency and should be rejected unless modified or amended.

Very truly yours,



CARLO FISCO

CF/sh

STAFF ANALYSIS

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
ADDITION OF CHRB RULE 1489.2, REHABILITATION CRITERIA FOR EVALUATION
OF DENIED, SUSPENDED OR REVOKED OCCUPATIONAL LICENSE, TO ESTABLISH
CRITERIA TO BE USED TO EVALUATE THE REHABILITATION OF AN APPLICANT
WHEN CONSIDERING THE DENIAL, SUSPENSION OR REVOCATION OF AN
OCCUPATIONAL LICENSERegular Board Meeting
November 19, 2015

ISSUE

Business and Professions Code section 482 states that every board that falls under the provisions of the Business and Professions Code shall adopt criteria to evaluate the rehabilitation of a person who has committed an act, offense, or crime when considering the denial of a license under section 480, or the suspension or revocation of a license under section 490. Presently, the Board does not have such criteria in place. Adoption of the proposed rehabilitation criteria will bring the Board in line with the requirements of section 482, and help provide consistent evaluation of applicants and licensees who have committed acts, offenses, or crimes that permit the denial, suspension, or revocation of a license.

BACKGROUND

Business and Professions Code section 482 provides as follows:

Each board under the provisions of this code shall develop criteria to evaluate the rehabilitation of a person when: (a) Considering the denial of a license by the board under Section 480; or (b) Considering suspension or revocation of a license under Section 490. Each board shall take into account all competent evidence of rehabilitation furnished by the applicant or licensee.

To date, the Board has been without rehabilitative criteria for evaluating applicants and licensees who have committed certain acts, offenses, or crimes. Adoption of the proposed criteria would address this issue, and bring the Board in conformity with section 482.

ANALYSIS

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purpose of this chapter. Responsibilities of the Board shall include, but are not limited to, licensing of each racing association and all persons, other than the public at large, who participate in a horse racing meeting with parimutuel wagering. Business and Professions Code section 19461 states that every license granted under this chapter is subject to suspension or revocation by the board in any case where the board has reason to believe that any condition regarding it has not been complied with, or that any law, rule, or regulation of the board affecting it has been broken or violated. Rule 1489, Grounds for Denial or Refusal of License, explains that, in addition to any

other valid reason, the Board may refuse to issue a license or deny a license to any person who has been convicted of a crime punishable by imprisonment in a California state prison or a federal prison, or who has been convicted of a crime involving moral turpitude; who has been convicted of a crime in another jurisdiction which if committed in this state would be a felony; who has made any material misrepresentations or false statements to the Board or its agents in his or her application for a license or otherwise, or who fails to answer any material question on an application for a license; who is unqualified to engage in the activities for which a license is required; who fails to disclose the true ownership or interest in any or all horses as required by an application; who is subject to exclusion or ejection from the racing inclosure or is within the classes of persons prohibited from participating in pari-mutuel wagering; who has committed an act involving moral turpitude, or intemperate acts which have exposed others to danger, or acts in connection with horse racing and/or a legalized gaming business which were fraudulent or in violation of a trust or duty; who has unlawfully engaged in or who has been convicted of possession, use or sale of any narcotic, dangerous drug, or marijuana; who is not permitted by any law to engage in the occupation for which the license is sought; or who has violated, or who aids, abets or conspires with any person to violate any provision of the rules or the Horse Racing Law. Finally, Rule 1900, Grounds for Suspension or Revocation, provides that any rule which is a ground for denial of a license is also ground for suspension or revocation of a license.

According to Business and Professions Code section 482, the Board shall adopt criteria to evaluate the rehabilitation of a person who has committed an act, offense, or crime when considering the denial of a license pursuant to section 480, or the suspension or revocation of a license pursuant to section 490.

The proposed criteria were developed by CHRB counsel to bring the Board into conformity with Business and Professions Code section 482, as well as to assist investigators and licensing staff in conducting consistent evaluations of applicants and licensees who have committed acts, offenses, or crimes that permit the denial, suspension, or revocation of their license.

Pursuant to the proposed criteria, when evaluating the rehabilitation of a licensee or applicant whose license has been denied, suspended, or revoked, the Board may consider the following:

- (a) The nature and severity of the act(s) or offense(s), including its relation to horse racing or pari-mutuel wagering and the protection of the public.
- (b) The total criminal record, including evidence of any act(s) or offense(s) committed subsequent to the act(s) or offense(s) under consideration as grounds for denial, suspension or revocation which also could be considered grounds for denial, suspension, or revocation under Business and Professions Code sections 480 or 490.
- (c) The time that has elapsed since commission of the act(s) or offense(s).
- (d) The extent to which the person seeking licensure or the licensee has complied with any terms of parole, probation, restitution or any other sanctions lawfully imposed against the person or licensee.
- (e) The credibility of the person seeking licensure or the licensee, and his or her acceptance of responsibility and remorse for the conduct.

- (f) Evidence, if any, of rehabilitation submitted by the person seeking licensure or the licensee. If the evidence of rehabilitation consists of written statements by third parties in support of the person seeking licensure or the licensee, the written statements should include a description of their relationship to the person or licensee, a description of the length of time their relationship has existed, a description of the rehabilitative efforts of the person seeking licensure or the licensee and should contain the following sentence at the end: "I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct." The written statement should be signed by the third party making the statement and dated.

The proposed addition of Rule 1489.2 was heard at the April 16, 2015 Regular Board Meeting, where the Board instructed staff to initiate the 45-day public comment period.

During the public comment period staff received one comment, which has been included as an attachment in this packet. Specifically, that comment alleges that aspects of the proposed regulation fail to meet the Administrative Procedures Act (APA) standards for "clarity" and "consistency." CHRB counsel has reviewed these assertions, and has determined that they lack merit, and therefore recommends that the Board adopt the proposed addition of Rule 1489.2 as presented.

RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED ADDITION OF
RULE 1489.2. REHABILITATION CRITERIA FOR EVALUATION OF DENIED,
SUSPENDED OR REVOKED OCCUPATIONAL LICENSE

1489.2. Rehabilitation Criteria for Evaluation of Denied, Suspended or Revoked Occupational License

When considering the denial of a license under Business and Professions Code section 480, or the suspension or revocation of licensure under Business and Professions Code section 490, on the grounds that the person has committed an act or been convicted of a crime, the California Horse Racing Board will evaluate the rehabilitation of such person and his or her eligibility for licensure, and may consider the following criteria:

(a) The nature and severity of the act(s) and/or offense(s), including its relation to horse racing or pari-mutuel wagering and the protection of the public.

(b) The total criminal record, including evidence of any act(s) and/or offense(s) committed subsequent to the act(s) or offense(s) under consideration as grounds for denial, suspension or revocation which also could be considered grounds for denial, suspension, or revocation under Business and Professions Code sections 480 or 490.

(c) The time that has elapsed since commission of the act(s) or offense(s).

(d) The extent to which the person seeking licensure or the licensee has complied with any terms of parole, probation, restitution or any other sanctions lawfully imposed against the person or licensee.

(e) The credibility of the person seeking licensure or the licensee, and his or her acceptance of responsibility and remorse for the conduct.

(f) Evidence, if any, of rehabilitation submitted by the person seeking licensure or by the licensee. If the evidence of rehabilitation consists of written statements by third parties in support of the person seeking licensure or the licensee, the written statements should include a description of their relationship to the person or licensee, a description of the length of time their relationship has existed, a description of the rehabilitative efforts of the person seeking licensure or the licensee and should contain the following sentence at the end: "I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct." The written statement should be signed by the third party making the statement and dated.

Authority: Sections 482 and 19440,
Business and Professions Code.

Reference: Sections 480, 490, 19460 and 19461,
Business and Professions Code.

CARLO FISCO
ATTORNEY AT LAW

October 28, 2015

VIA FACSIMILE AND EMAIL

(916)263-6022

pjlaird@chrh.ca.gov

Philip Laird, Staff Counsel
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: *Public Comment re Rule 1489.2*
Criteria for Rehabilitation

Dear Mr. Laird:

This letter is submitted as public comment with regard to proposed Rule 1489.2 (Criteria of Rehabilitation) which is slated for possible adoption at the November Board meeting. Accordingly, please note the following:

1. In the introductory paragraph of the proposed Rule 1489.2, it states, "...*the California Horse Racing Board will evaluate the rehabilitation of such person and his or her eligibility for licensure, and may consider the following criteria...*"

The use of the word "*may*" makes the proposed language inconsistent with the statute it seeks to implement (Business and Professions Code section 482) in that the regulation refers to a discretionary act ("*may*") while the statute sets forth a mandatory duty by using the word "*shall*." Business and Professions Code Section 19 states that for provisions of that Code, "*shall*" is mandatory, and "*may*" is discretionary. California decisional law has also upheld the notion that "*shall*" is mandatory in these contexts. [*People v. McGee* (1977) 19 Cal.3d 948; *Singh v. Davi* (2012) 211 Cal.App.4th 141]

As such, the proposed Rule 1489.2 breaches the APA standard for Consistency (Govt. Code §11349(d)) in that it does not accurately and properly mirror or implement the authorizing statute, to wit, Business and Professions Code section 482;

2. Subsection (a) is unclear because it speaks of "*acts and/or offenses including its relation to horse racing or pari mutuel wagering...*" This language is not clear because it runs contrary to California law. The concept of "*relation*" cannot be determined on a random, case-by-case basis. [See, e.g., *Donaldson v. Dept. of Real Estate* (2005) 134 Cal.App.4th 948] Instead, the CHRHB must have formally adopted criteria for "*substantial relationship*" pursuant to Business and Professions Code section 481. It is noted that CHRHB is attempting to contemporaneously adopt Section 481 criteria with Section 482 criteria at the November meeting. Nonetheless, the

Public Comment Letter re: 1489.2
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proposed language for proposed Rule 1849.2 runs afoul of the APA standard for Clarity since it is not easily understood by those who are affected by it (Govt. Code §11349(c)). Criteria for "relation" must mean "substantial relationship." These are mandatory and must be listed in a separate regulation which implements Section 481. As presently written, not only is the language illegal in the context of Section 481 criteria, but it is hopelessly vague, ambiguous and uncertain. The CHRB may attempt to find "relation to horse racing" in a criminal conviction where none exists under the law and without reference to Section 481 criteria. As mandatorily required by California law, the only way to safeguard against any random or arbitrary action is to formally adopt Section 481 criteria. Once that is accomplished, then Subsection (a) can be modified to make reference to the substantial relationship criteria adopted by the CHRB;

3. Subsection (b) is flawed for the same reason. A crime or act, regardless of its nature, cannot be the basis for license discipline unless it is "substantially related" to the qualifications of the license. The only way to determine substantial relationship is to use formally promulgated criteria pursuant to Section 481. Although planning to do so, the CHRB has no such criteria and thus cannot make the required finding, thus nullifying proposed subsection (b). In addition, Section 480(c) was amended on January 1, 2015, to strike from consideration those convictions which were expunged pursuant to Penal Code sections 1203.4, 1203.4a or 1203.41. In sum, the proposed subsection (b) does not meet the APA standards for Consistency or Clarity;

4. Subsection (e) is flawed by its use of the concept of "credibility." This runs afoul of Business and Professions Code section 475 which states that, "A license shall not be denied, suspended or revoked on the grounds of a lack of good moral character or any similar ground relating to an applicant's character, reputation, personality, or habits." On the other hand, a hearing officer always maintains the discretion to make independent findings regarding the testimony of a licensee. Thus, it should not appear in the regulation because it is cumulative to the authority already reserved in the hearing officer. As it appears in the regulation, the word "credibility" is illegal, impermissibly vague and ambiguous and thus lacks clarity and consistency; and

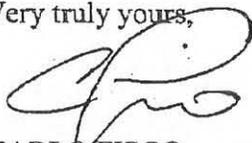
5. Subsection (f) is flawed because it improperly limits Business and Professions Code Section 482 which states, unconditionally, that, "Each board shall take into account all competent evidence of rehabilitation furnished by the applicant or licensee." In an administrative context, "competent evidence" is generally defined as any relevant evidence if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs. (Govt. Code §11513(c)). Moreover, there is no requirement in Government Code section 11514 or the Code of Civil Procedure that affidavits be structured in the specific way set forth in this proposed regulation. The proposed regulation is an improper implementation of Code of Civil Procedure sections 2012 through 2015.6, and an impermissible restriction on the admissibility of "all competent evidence of rehabilitation furnished by the applicant or licensee." If the CHRB wanted to make reference to Code of Civil Procedure section 2012, *et seq.*, then it should have so stated. But, as now proposed, it misconstrues and misrepresents California law

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and the APA standards for Clarity and Consistency. As such, it should be modified and once again sent out for public comment prior to submission to the OAL.

Please forward your responses to the above points directly to my office or via email to my email address which you already have in your file. Thank you in advance for your anticipated consideration in this matter.

Very truly yours,



CARLO FISCO

CF/sh

STAFF ANALYSIS

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1658, VESTING OF TITLE TO CLAIMED HORSE, TO PROVIDE THAT A CLAIM SHALL BE VOIDED BY THE STEWARDS IF THE RACING OR OFFICIAL VETERINARIAN DETERMINES THE HORSE WILL BE PLACED ON THE VETERINARIAN'S LIST AS BLED

Regular Board Meeting
November 19, 2015

ISSUE

A claiming race provides an opportunity to unload a horse that has been found to have exercise induced pulmonary hemorrhage (EIPH), but has not yet developed epistaxis (bleeding at the nostrils). Such an action does not address the health of the horse; rather, it passes the issue to the new owner. Potential claimants cannot examine the horse before putting in a claim, so it may not be easy to find out whether a horse has previously bled. Claiming a horse that displays epistaxis penalizes the new owner, as the horse would be ineligible to race as provided under Rule 1845, Authorized Bleeder Medicaiton. Rule 1845 provides that if the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race, and determines such bleeding is a direct result of EIPH, the horse shall be ineligible to race for periods of time ranging from 14 days if a first incident, to being barred from racing for lifetime if the bleeding is the fourth observed incident within a 365 day period. The claimant has no way of knowing if the horse has previously been placed on the Veterinarian's List due to external bleeding as a result of EIPH. To protect the interests of the claimant and horse, the Board has proposed to amend Rule 1658 to add "bled" as a condition that will cause a claim to be voided.

ANALYSIS

The proposed amendment to Rule 1658 provide that a claim will be voided by the stewards if the racing veterinarian or the official veterinarian determines that the horse will be placed on the Veterinarian's List as "bled." Subsection 1658(b)(2) has been amended to add "bled" as a condition that will cause a claim to be voided. A new subsection 1658(b)(2)(A) defines "bled" as the racing or official veterinarian observing a horse bleeding from one or both nostrils during or after the race, and determining that the bleeding is a direct result of EIPH. This regulation will help protect the health and welfare of the horse and jockey by reducing the number of unsound horses that run in claiming races. In addition the regulation will protect the interests of claimants by ensuring that owners and trainers of horses with severe EIPH, as evidenced by bleeding from one or both nostrils during or after a race, are not able to pass such horses to unsuspecting claimants by entering them in claiming races.

BACKGROUND

Business and Professions Code section 19420 provides that the Board has jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include adjudication of controversies arising from the enforcement of those laws and regulations dealing with horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California. Rule 1658, Vesting of Title to Claimed Horse, provides the conditions under which a claim will be voided. The proposal to amend Rule 1658 adds bleeding due to EIPH to this list.

The 45-day public comment period for the proposed amendment to Rule 1658 ended on November 16, 2015. No comments were received.

RECOMMENDATION

Staff recommends the Board adopt the amendment to Rule 1658 as presented.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES.
PROPOSED AMENDMENT OF
RULE 1658. VESTING OF TITLE TO CLAIMED HORSE.

Regular Board meeting
November 19, 2015

1658. Vesting of Title to Claimed Horse.

(a) Title to a horse which is claimed shall be vested in the successful claimant from the time the field has been dispatched from the starting gate and the horse becomes a starter; and said successful claimant becomes the owner of the horse unless voided by the stewards under the provisions of this article. Only a horse which is officially a starter in the race may be claimed. A subsequent disqualification of the horse by order of the stewards or the Board shall have no effect upon the claim.

(b) The stewards shall void the claim and return the horse to the original owner if:

(1) The horse suffers a fatality during the running of the race or dies or is euthanized before leaving the track, or

(2) The racing or official veterinarian determines the horse will be placed on the Veterinarian's List as bled, unsound or lame before the horse is released to the successful claimant.

(A) "Bled" is defined as the racing or official veterinarian observing a horse bleeding from one or both nostrils during or after the race, and determining that such bleeding is a direct result of exercise induced pulmonary hemorrhage.

(c) The stewards shall not void the claim if, prior to the race in which the horse is claimed, the claimant elects to claim the horse regardless of whether the racing or official veterinarian determines the horse will be placed on the Veterinarian's List as unsound or lame.

(1) An election made under subsection (c) of this rule shall be entered on the form CHRB-11(Rev. 8/13) Agreement to Claim, in accordance with section 1656 of this article.

(d) The claim shall be void if the race is called off, canceled, or declared no contest in accordance with Rule 1544 of this division.

Authority: Sections 19420 and 19440,
Business and Professions Code.

Reference: Section 19562,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE
FROM TVG AND HRTV REGARDING THE RECENT MERGER OF THE TWO
BROADCASTING COMPANIES, THE IMPACT THE MERGER HAS ON CALIFORNIA
RACING AND TVG/HRTV PLANS FOR BROADCASTING CALIFORNIA HORSE RACES
IN THE FUTURE

Regular Board Meeting
November 19, 2015

BACKGROUND

On February 18, 2015, Betfair and The Stronach Group announced that their respective broadcasting companies—TVG and HRTV—would be merging operations. TVG and HRTV have historically served as the two most prominent providers of national televised horse racing, with programming largely dedicated to showing races occurring within the United States.

The merger was an acquisition of HRTV by TVG, earning Betfair 100% of the equity in the unified television operation. Under the deal, Betfair made an initial payment of \$25 million, and is to pay an estimated \$47.8 million in additional consideration over the next seven years. The merger alleviated TVG's obligation to pay fees to HRTV for certain content—including races at The Stronach Group racetracks—which last year totaled \$4.3 million. Furthermore, the merger allowed for more coordinated programming, which TVG officials estimated would enable the two networks to broadcast live approximately 40,000 races per year—over 5,000 more races than are shown today.

At the end of October 2015, TVG announced that HRTV would no longer broadcast under that brand name, but instead be relabeled as "TVG2." Kip Levin, TVG's CEO, stated the following in a news release:

"We've made good progress in integrating the two television productions, and integrating the brands was the logical next step in the process to optimize television coverage for horse racing. Our goals have always been to provide a better fan experience, increase our appeal to distributors, and drive revenue to the industry. Importantly, having TVG and TVG2 allows us to better utilize TVG's state-of-the-art television facilities to deliver a premium product for both networks. We look forward to continuing to work with our important track and distribution partners to deliver more races and higher quality broadcasts to racing fans like never before."

Per the TVG release, the two stations are on pace to televise more than 40,000 live races after the merger, up from 30,000 unique events beforehand, when they sometimes both aired the same tracks.

RECOMMENDATION

This item is presented for Board discussion and action.

TVG Acquiring HRTV; Operations to Merge

by Ron Mitchell

Date Posted: 2/18/2015 8:51:07 AM

Last Updated: 2/20/2015 1:34:32 PM



Betfair and The Stronach Group announced Feb. 18 that TVG will assume operations of HRTV, bringing together the two competitors that televise North American and some international horse races.

Under the deal, TVG will assume the operations of HRTV, which will be operated from the TVG Studio complex in Los Angeles, according to a release. The unified television operations will feature races from Santa Anita Park, Gulfstream Park, Pimlico Race Course, Laurel Park, and Golden Gate Fields over a seven-year period, as well as additional premium domestic and international racetracks.

According to the release, Betfair will make an initial payment of \$25 million and estimates that it will pay further consideration totaling \$47.8 million over the seven-year period, although the total is dependent upon TVG's future handle. Based on projected future cash flows, the present fair market value of these payments is estimated to be \$56.3 million.

In the past 12 months, under previous agreements, TVG paid \$4.3 million in television fees to HRTV related to television content, including The Stronach Group racetracks, for which HRTV has held exclusive rights. This transaction eliminates the need for TVG to pay those television fees.

Following the transaction, Betfair will own 100% of the equity in the unified television operation. The transaction does not include Xpressbet, the advance deposit wagering company owned by The Stronach Group.

The agreement paves the way for more than 5,000 additional races from U.S. tracks to be broadcast over the two networks. Via cable, satellite and telco distribution, TVG is currently available in 36.5 million U.S. homes and HRTV is available in 19.5 million U.S. homes.

"This is a very exciting step for TVG and HRTV as it enhances our ability to deliver premier horseracing content to our viewers, to show more races and to promote racing in the U.S.," said TVG's CEO Kip Levin in a statement. "With our recent investment in our new, state-of-the-art HD studios now complete, we're pleased to expand our coverage of The Stronach Group tracks as part of a unified TV platform. This agreement is part of TVG's commitment to deliver the very best in U.S. racing, to generate incremental wagering on our advanced deposit wagering platform and, to drive additional advertising and distribution opportunities."

"Combining the significant investments we have made in our facilities and racing content with TVG's significant investments in television technology and distribution is the best way forward for ensuring a world class experience for all of our important customers and our fellow stakeholders in racing," said Alon Ossip, CEO of The Stronach Group.

The two networks will be able to show more live horse racing, the release said, adding that TVG telecasts approximately 27,000 races per year, and HRTV shows approximately 16,000, with significant overlap and many races on tape delay due to scheduling conflicts. TVG officials estimate that the two

networks will be able to broadcast live approximately 40,000 races per year—over 5,000 additional new races than are shown today. The networks will merge operations over the next several weeks.

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CALIFORNIA HORSE RACING BOARD

NOVEMBER 19, 2015
REGULAR BOARD MEETING

There is no board package material for Item 13