

**CALIFORNIA HORSE RACING BOARD**

1010 Hurley Way, Suite 300

Sacramento, CA 95825

[www.chrb.ca.gov](http://www.chrb.ca.gov)

(916) 263-6000 Fax (916) 263-6042



## **REGULAR MEETING**

Of the **California Horse Racing Board (CHRB/Board)** will be held on **Wednesday, May 19, 2021**, commencing at **9:30 a.m. via teleconference**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under “Webcasts.”

Please be advised, in accordance with Executive Order N-29-20, the CHRB will provide a teleconference option for its upcoming public meeting, to be held on **May 19, 2021 at 9:30 a.m.** Board members and members of the public are strongly encouraged to participate using the teleconference phone number provided below so as to minimize the spread of COVID-19 and reduce the risk of infection during this current state of emergency. No physical location will be provided.

To participate in the teleconference, dial 888-392-4564 and enter 6896291# when prompted. To comment on an agenda item, press \*1 during the call to be added to the queue. If you are a member of the public and wish to listen to the meeting and not participate, we request that you please listen to the meeting via the webcast link on our website to avoid congestion and potential technical difficulties.

The agenda for the regular meeting will consist of the following matters:

### **AGENDA**

#### **Action Items:**

1. **Approval of the minutes of April 21, 2021.**
2. Discussion and action by the Board regarding **Fasig-Tipton’s request for authorization of its upcoming horse sale**, pursuant to CHRB Rule 1807, Authorized Horse Sales.
3. Discussion and action by the Board on the **Renewal Application for License to Operate a Minisatellite Wagering Facility for Santa Clarita Lanes.**
4. Discussion and action by the Board regarding **approval of an agreement, pursuant to Business and Professions Code section 19604(f)(5)(E)**, for distribution from Advance Deposit Wagering (ADW) Market Access fees for wagers placed on all live and imported races hosted by the signatory racing fairs for the period of June 1, 2021 through December 31, 2021, to be utilized to fund a California co-op marketing program.

5. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by CARF at the Alameda County Fair.
6. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Alameda County Fair (F)**, at Pleasanton, commencing June 16, 2021 through July 13, 2021, inclusive.
7. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Los Alamitos Horse Racing Association (LARA) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by the LARA at the Los Alamitos Racetrack.
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Horse Racing Association (T)** at Los Alamitos Race Course, commencing June 23, 2021 through July 6, 2021, inclusive.
9. Discussion and action by the Board regarding the **proposed amendments to CHRB Rule 1581.1, Entries, and CHRB Rule 1878, Workouts**, to prohibit a horse from workouts or entry to race unless a veterinarian has determined the horse is sound.
10. Reports
  - A. **Executive Director's Report.**
  - B. **Equine Medical Director's Report.**
11. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** During the public comment period, a total of thirty minutes will be allowed for public comments via the teleconference line. After thirty minutes, no further comments will be accepted. Each person will be limited to two minutes.
12. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).

- C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Amanda Drummond. Requests will be swiftly resolved by CHRB staff.

**CALIFORNIA HORSE RACING BOARD**

Gregory L. Ferraro, DVM, Chairman  
Oscar Gonzales, Vice Chair  
Dennis Alfieri, Member  
Damascus Castellanos, Member  
Brenda Davis, Member  
Wendy Mitchell, Member  
Alex Solis, Member  
Scott Chaney, Executive Director  
Cynthia Alameda, Assistant Executive Director

**CALIFORNIA HORSE RACING BOARD**

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SACRAMENTO, CA 95825  
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## **PENDING ADMINISTRATIVE ADJUDICATIONS MAY 2021**

The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e). This shall include any matters mentioned below and/or permitted by law.

### **CASE**

**A. Stipulated Settlement**

**Trainer Jeffrey Metz**

Case Number: 20SA0235

**B. Proposed Decision of Jockey Appeal**

**Jockey Eduard Rojas**

Case Number: SAC 20-0124

**C. Proposed Decision of Jockey Appeal**

**Jockey Umberto Rispoli**

Case Number: SAC 20-0136

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(916) 263-6000  
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## PENDING LITIGATION MAY 2021

### COURT LITIGATION

- A. Luster v. California Horse Racing Board**  
Superior Court of California, County of Sacramento
- B. Zvi Kriple v. California Horse Racing Board**  
Superior Court of California, County of San Diego
- C. Ruis Racing, LLC v. California Horse Racing Board**  
Superior Court of California, County of Los Angeles
- D. Hollendorfer v. California Horse Racing Board**  
Superior Court of California, County of San Diego
- E. Zvi Kriple v. California Horse Racing Board**  
Superior Court of California, County of Los Angeles

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(916) 263-6000 Fax (916) 263-6042

**REGULAR BOARD MEETING MINUTES**

**Date and Time:** April 21, 2021 at 9:30 a.m.

**Location:** Teleconference

**Board Members Present:** Gregory Ferraro, Chairman  
Oscar Gonzales, Vice-Chairman  
Dennis Alfieri, Member  
Wendy Mitchell, Member  
Demascus Castellanos, Member  
Brenda Washington Davis, Member

**Staff Members Present:** Scott Chaney, Executive Director  
Cynthia Alameda, Assistant Executive Director  
Robert Brodnik, General Counsel

**1. APPROVAL OF THE MINUTES OF MARCH 23, 2021.****Motion:** Approve.**Motioned/Seconded:** Washington Davis/Alfieri**Roll call vote: Aye:** Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Ferraro.**Nay:** None. **Motion carried.**

Page 3 in the Board transcript.

**2. REPORTS.****A. EXECUTIVE DIRECTOR'S REPORT**

Pages 3–8 in the Board transcript.

**B. EQUINE MEDICAL DIRECTOR'S REPORT.**

Pages 8–27 in the Board transcript.

**3. DISCUSSION AND ACTION BY THE BOARD ON THE RENEWAL APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY FOR RACE & SPROTS AUTHORITY LLC DBA 58 FLAT.****Motion:** Not approve minisatellite renewal application for 58 Flat.**Motioned/Seconded:** Ferraro/Castellanos**Roll call vote: Aye:** Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Ferraro.**Nay:** None. **Motion carried.**

Pages 27–37 in the Board transcript.

**Proceedings of the Regular Meeting of April 21, 2021**

- 4. DISCUSSION AND ACTION BY THE BOARD ON THE INITIAL APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY FOR GLEN ARDEN CLUB.**

**Motion:** Approve.

**Motioned/Seconded:** Ferraro/Alfieri

**Roll call vote: Aye:** Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Ferraro.

**Nay:** None. **Motion carried.**

Pages 37–41 in the Board transcript.

- 5. DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENTS TO CHRB RULE 1688, USE OF RIDING CROP, TO REGULATE PENALTIES FOR CROP VIOLATIONS TO CREATE CONSISTENCY THROUGHOUT THE STATE.**

**Motion:** Approve proposed amendment with additional modification to proposed subsection (d) that reads: “Factors in determining whether a violation is egregious or intentional, include but are not limited to”.

**Motioned/Seconded:** Castellanos/Alfieri

**Roll call vote: Aye:** Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Ferraro.

**Nay:** None. **Motion carried.**

Pages 41–59 in the Board transcript.

- 6. PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1891.1, PENALTY FOR POSSESSION OF ELECTRICAL DEVICE, TO REQUIRE THAT A COMPLAINT AGAINST A LICENSEE FOR VIOLATION OF, OR CONSPIRING TO VIOLATE, RULE 1890(c) SHALL BE REFERRED TO THE STEWARDS FOR HEARING AND ADJUDICATION.**

**Motion:** Approve.

**Motioned/Seconded:** Castellanos/ Alfieri

**Roll call vote: Aye:** Gonzales, Alfieri, Castellanos, Washington Davis, Mitchell, Ferraro.

**Nay:** None. **Motion carried.**

Pages 59–71 in the Board transcript.

- 7. PUBLIC COMMENT.**

Pages 71–93 in the Board transcript.

**MEETING ADJOURNED AT 11:38 A.M.**

**Proceedings of the Regular Meeting of April 21, 2021**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Executive Director

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING FASIG-TIPTON'S  
REQUEST FOR AUTHORIZATION OF ITS UPCOMING HORSE SALE  
PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES

Regular Board Meeting  
May 19, 2021

ISSUE

Pursuant to Board Rule 1807, Authorized Horse Sales, Fasig-Tipton Co., Inc. request Board approval of its upcoming horse sale scheduled for June 23, 2021, at Santa Anita Park, Arcadia, California.

BACKGROUND

Board Rule 1807, Authorized Horse Sales, provides that the Board may authorize a horse sale or horse auction sale for the sale of race horses or breeding stock that is used in the production of race horses, to be held on the premises of a racing association, and the authorization and approval of such horse sale or horse auction sale shall be upon such conditions as may be imposed by the Board.

RECOMMENDATION

This item is presented for Board discussion and action.



# Fasig-Tipton

May 4, 2021

California Horse Racing Board  
1010 Hurley Highway, Suite 300  
Sacramento CA 95825

To Whom This May Concern:

Please be advised that we kindly request a sale date of June 23, 2021 for a Thoroughbred auction to be conducted at Santa Anita Park, Arcadia, California. In addition, we plan to conduct an Under Tack Show at the same venue on June 21, 2021.

Please let me know if you have any questions or need any additional information.

Sincerely,

Bayne C. Welker, Jr.  
Executive Vice-President

**Fasig-Tipton Co., Inc.**  
2400 Newtown Pike  
Lexington, KY 40511  
Phone: 859.255.1555  
Fax: 859.254.0794  
[www.fasigtipton.com](http://www.fasigtipton.com)

STAFF ANALYSIS  
May 19, 2021

APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT SANTA CLARITA LANES, SANTA CLARITA, CALIFORNIA, FOR A PERIOD OF UP TO FIVE YEARS

300 Recreation, LLC dba Santa Clarita Lanes (SCL) filed an application for renewal of its license to operate a minisatellite wagering site in its bowling center in Santa Clarita, California. The SCL minisatellite application proposes to operate June 17, 2021 through June 16, 2026, for a period of up to five years. Southern California Off-Track Wagering, Inc. (SCOTWINC) has acted as the coordinator during the application process. SCL is currently licensed through June 16, 2021, as a minisatellite wagering facility.

- The SCL facility is a bowling center located in Santa Clarita, California. The wagering site is located in the Central zone.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the board.

- SCL has provided there is no racetrack(s), satellite wagering facility or tribal casino that has a satellite wagering facility located within 20 radius miles of the applicant.
- SCL has contracts/agreements with the following racing associations:

California Authority of Racing Fairs  
Del Mar Thoroughbred Club  
Los Angeles County Fair  
Los Alamitos Quarter Horse Racing Association  
Los Alamitos Racing Association  
Los Angeles Turf Club  
Pacific Racing Association  
Sonoma County Fair  
Watch and Wager.com, LLC

- The simulcast organization engaged by the contracted association(s) to conduct simulcast wagering is SCOTWINC.
- Applicant proposes to operate minisatellite wagering site Wednesday through Thursday 10:00 a.m. to 5:00 p.m.; Friday through Sunday 10:00 a.m. to 10:00 p.m.; closed on December 24-25.
- Estimated number of pari-mutuel terminal machines available is 14; Seating

Capacity is 135-150; the number of tables in the minisatellite wagering area is 25. Two 92-inch projection screens, nineteen 55-inch LCD televisions, six 46-inch LCD televisions, one 60-inch LCD television, and four 32-inch LCD televisions. Roberts Communication Network decoders, dish network satellite receiver.

- Staffing: Wednesday through Friday 1 Security Guard and 1 Teller. Saturday through Sunday 1 Security Guard and 2 Tellers.

Specific information which will need **updating** during the proposed approval period:

1. Workers' compensation [expires June 30, 2021].

#### RECOMMENDATION:

If the application is considered for approval, staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

**Part I:** To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

**Part II:** To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

**Part III:** To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

**PART I  
 MINISATELLITE WAGERING APPLICANT INFORMATION**

**APPLICANT INFORMATION**

Name of applicant: SANTA CLARITA LANES		<input type="checkbox"/> Card Room/Gambling Establishment	
The applicant is:		<input type="checkbox"/> Restaurant/Bar	
<input type="checkbox"/> Racing Association		<input checked="" type="checkbox"/> Other Business Entity - BOWLING CENTER	
<input type="checkbox"/> Fair			
<input type="checkbox"/> Federally Recognized Indian Tribe			
Facility street address: 21615 SOLEDAD CYN. ROAD			
Mailing address (if different from above):			
E-mail address: SCL4USC@CAL.COM			
City: SANTA CLARITA	State: CALIF.	Zip Code: 91350	
Phone: 661 2540540	Fax: 661 254 7562	Website: SANTA CLARITA LANES.COM	
County: LOS ANGELES		Zone Location:	
		North <input type="checkbox"/> South <input checked="" type="checkbox"/> Central <input type="checkbox"/>	
(To be completed by CHRB staff)			

**CONTACT PERSON  
 (Authorized Representative)**

Name and title of the contact person:		
TOM CRISTI - OWNER		
Business street address: 21615 SOLEDAD CYN. ROAD		
Mailing address (if different from above):		
City: SANTA CLARITA	State: CALIF.	Zip Code: 91350
County: LOS ANGELES	E-mail: SCL4USC@CAL.COM	Fax: 661 254 7562
Phone: 661 2540540		

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

WEDNESDAYS THRU SUNDAYS AND HOLIDAYS (MONDAYS)

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes  No

Do you currently operate a licensed gaming operation in California or another state? Yes  No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: 6-/2012 End Date: CURRENT  
 Facility name and address: SANTA CLARITA BOWLING CENTER  
 City: 21615 SOLEDAD CYN RD State: CALIF. Zip Code: 91350  
 County: LOS ANGELES

Zone Location:  
 North  South  Central   
 (To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes  No

If yes, provide the following if different from above:

Facility name and address:  
 City: State: Zip Code:  
 Racing Jurisdiction:

**BUSINESS STRUCTURE**

Corporation  
 Public  
 Private  
 Sub-S  
 Sub-C  
 Limited Partnership  
 Limited Liability Company  
 Partnership & Joint Venture  
 Sole Proprietorship  
 Other  
 If you have listed your company as other please identify your company structure:

Registered Business Name: 300 RECREATION LLC

Fictitious Business Name: SANTA CLARITA LANES

Address: 21615 SOLEDAD CYN. ROAD

E-mail Address: SCL4ULSC@aol.com

City: SANTA CLARITA State: CALIF. Zip Code: 91350

Phone: 661 2540540 Fax: 661 254 7562

State where registered or Articles of Organization are filed: CALIF. Registry or File number: 201533610301

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
CHARLES KINSTLER		90	
TOM CRISTI		10	

Are shares listed for public trade?  
 Yes  No  If yes on what stock exchange?

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.  
**All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.**

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**MANAGEMENT AND STAFF**  
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
TOM CRISTI	VP-GM

**ASSOCIATION CONTRACT/AGREEMENT**

Name(s) of racing association(s) with whom you intend to have a contract or agreement:  
 SCOTWINC

Addresses of racing association(s):  
 SANTA ANITA - ARCADIA, CALIF.

Racing association(s) phone numbers:  
 626 278 5215

Proposed contract dates: From: 4/21 To: 4/27 (inclusive).

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

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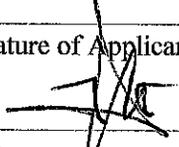
**CHRB CERTIFICATION**

Application filed on:	30-day Notice Letter:
Fee received by:	Approved on:
Reviewed by:	License number issued:

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**CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name <i>TOM CRIST</i>	Signature of Applicant Representative 
Title <i>OWNER</i>	Date: <i>FEB. 23, 2021</i>

**Santa Clarita Lanes**

**PART II**

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

California Authority of Racing Fairs  
1776 Tribute Road, Sacramento, CA 95815

Telephone:

(916) 927-7223

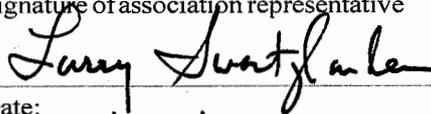
Fax number:

(916) 263-3341

Racetrack name:

Ferndale, Fresno, Pleasanton, and Sacramento

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Larry Swartzlander	Signature of association representative 
Title Executive Director	Date: 3/23/2021

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

Santa Clarita Lanes

**PART II**  
**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Pacific Racing Association  
1100 Eastshore Highway, Albany, CA 94706

Telephone:

(510) 559-7300

Fax number:

(510) 559-7465

Racetrack name:

Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Eric Sindler

Signature of association representative



Title

Assistant Secretary

Date: 3/30/2021

**PART II**

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Del Mar Thoroughbred Club  
2260 Jimmy Durante Blvd, Del Mar, CA 92014

Telephone: (858) 755-1141

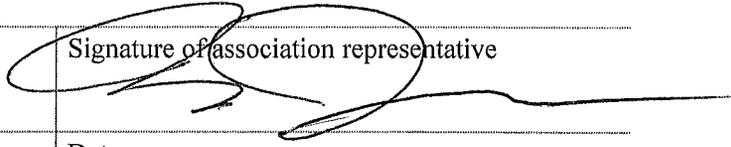
Fax number: (858) 794-1007

Racetrack name: Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
Josh Rubinstein

Signature of association representative



Title  
COO

Date:

3/22/21

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Alamitos Racing Association  
4961 Katella Avenue, Los Alamitos, CA 90720

Telephone: (714) 820-2800

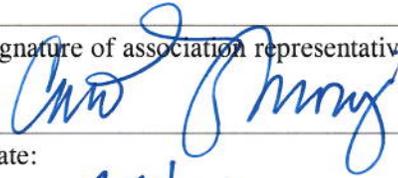
Fax number:

Racetrack name: Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
Cathy Monji

Signature of association representative



Title  
President

Date:

3-24-21

*Santa Anita Races*

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Alamitos Quarter Horse Racing Association  
4961 Katella Avenue, Los Alamitos, CA 90720

Telephone: (714) 820-2800

Fax number:

Racetrack name: Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
Cathy Monji

Signature of association representative



Title  
President

Date:

3-24-21

*Junta Clarita Hanes*

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility CHRB-  
88 (New 11/08)

Santa Clarita Lanes

**PART II**  
**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association Name

and mailing address of association:

Watch and Wager.com LLC  
20 California Street, 7<sup>th</sup> Floor, San Francisco, CA 94111

Telephone:  
(916) 800-1390

Fax number:  
(916) 800-1395

Racetrack name:  
California State Fair & Exposition - Cal Expo Harness

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Ben Kenney	Signature of association representative 
Title CFO	Date: 3/19/2021

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Angeles Turf Club, Inc.  
285 W. Huntington Drive, Arcadia, CA 91007

Telephone: (626) 574-7223

Fax number: (626) 821-1514

Racetrack name: Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
Eric Sindler

Signature of association representative



Title  
Assistant Secretary

Date: 3/30/2021

**PART II**

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

**Los Angeles County Fair Association  
1101 W. McKinley Avenue, Pomona, CA 91768**

Telephone: (929) 865-4203

Fax number: (909) 865-2481

Racetrack name: : Los Angeles County Fair, racing at Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility: (below)

Name  
Walter Marquez

Signature of association representative



Title

Date:

Interim CEO

March 30, 2021

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

Santa Clarita Lanes

**PART II**  
**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Sonoma County Fair & Exposition, Inc.  
1350 Bennett Valley Road, Santa Rosa, CA 95404

Telephone:

(707) 545-4200

Fax number:

(707) 573-9342

Racetrack name:

Santa Rosa Racetrack - Sonoma County Fair

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Rebecca Bartling

Signature of association representative



Title

CEO

Date:

5/3/2021

**PART III  
SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

\*

**I. OPERATION OF THE MINISATELLITE WAGERING FACILITY**

Simulcast organization engaged by the association to conduct simulcast wagering: *SCOTW INC*

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Submit a copy of each horsemen's written approvals.

Hours for operation of the facility: *9AM - MIDNIGHT DAILY*

Hours for operation of the minisatellite wagering site: *W-TH 10AM - 5PM  
F-SUN 10AM - 10PM*

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): *DEC. 24 + DEC. 25 FACILITY CLOSED*

\* If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

*ALL TRACKS LICENSED BY THE CHRB TO OPERATE LIVE RACING MEETINGS IN CALIFORNIA.*

\* List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": *SEE EXHIBIT A*

Estimated number of pari-mutuel terminals machines available: *14*

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). *WED-FRIDAY 1 SECURITY GUARD 1 TELLER*

*SAT-SUNDAY 1 SECURITY GUARD 2 TELLERS*

**II. SUPERVISION, SECURITY AND FIRE PREVENTION**

Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
<i>Satellite (Supervisor) Renee Jones Johnson AKA Renee Therese Jones</i>	<i>234446 9/21</i>

Date MARCH 22, 2021

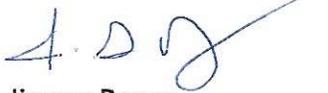
Amanda Drummond  
Manager of Policy and Regulations  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Santa Clarita Lanes Minisatellite Facility

Dear Ms. Drummond,

The California Harness Horsemen's Association approves the licensing by the California Horse Racing Board of the minisatellite referenced above.

Sincerely,

A handwritten signature in blue ink, appearing to read 'J. Perez', with a long horizontal stroke extending to the right.

Jimmy Perez  
CHHA Executive Director

xc: Scott Chaney, CHRB Executive Director  
George Haines, SCOTWINC General Manager



March 19, 2021

Amanda Drummond  
Manager of Policy and Regulations  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Santa Clarita Minisatellite Facility

The Thoroughbred Owners of California approves the licensing by the California Horse Racing Board of the above referenced minisatellite.

Sincerely,

A handwritten signature in black ink that reads 'Greg Avioli'. The signature is written in a cursive, flowing style.

Greg Avioli  
President & CEO

xc: Scott Chaney, CHRB Executive Director  
George Haines, SCOTWINC General Manager



## Pacific Coast Quarter Horse Racing Association

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March 24, 2021

Amanda Drummond  
Manager of Policy and Regulations  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Santa Clarita Lanes Minisatellite Facility

Dear Ms. Drummond,

The Pacific Coast Quarter Horse Racing Association approves the licensing by the California Horse Racing Board of the minisatellite referenced above.

Sincerely,

A handwritten signature in black ink, appearing to read 'Dino Perez', with a stylized flourish at the end.

Dino Perez  
PCQHRA

xc: Scott Chaney, CHRB Executive Director  
George Haines, SCOTWINC General Manager

## MINISATELLITE APPLICATION - SANTA CLARITA LANES

**CONFIRMED:** There is no racetrack, satellite wagering facility or tribal casino that has a satellite wagering facility within a 20-mile radius of Santa Clarita Lanes.

### **EXHIBIT A**

Minisatellite will offer wagering on the same imports from out of state and international tracks as the operating host track.

*See Winc TC*

\*. Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):  
Attached

TC Attach a fire clearance from the fire authority having jurisdiction.

— Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. Tom Cristi, managing partner, (661) 254-0540, is responsible for security controls. The security station will be staffed at all times during wagering hours. Additional security personnel will be added on busy days as needed. The Los Angeles Sherriff Department's Santa Clarita Station is responsible for providing criminal law enforcement services. (661) 255-1121

Is there a backup emergency plan for power failure? Yes  No   
If yes, describe.

### III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. Attached. The wagering area is located on the upper level of the sports bar with additional self-service terminals located at the lower entrance. A security guard will be present at all times at the entry point to 1) restrict entry to the entire upper level to persons 21 years of age and older, and 2) prevent persons under 21 from accessing the selfservice terminals on the lower level.

**NOTICE TO APPLICANT.** Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

### IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): Full breakfast, lunch and dinner menu and full bar services.

The seating capacity in the minisatellite wagering facility is: 135-150

The number of tables in the minisatellite wagering area is: 25

Overall square footage in the minisatellite wagering area is: 2379

Attach a photograph of the minisatellite wagering area. On file

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction: N/A

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): 180

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: N/A Bowling center is busiest at night and on Sunday mornings.

### V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: Two 92 inch projection screens, nineteen 55 inch LCD televisions, six 46 inch LCD televisions, one 60 inch LCD television, four 32 inch LCD televisions. RCN decoders, dish network satellite receiver.





COUNTY OF LOS ANGELES FIRE DEPARTMENT

Santa Clarita Fire Prevention Office
23757 Valencia Blvd Valencia CA 91355
Telephone (661) 286-8821
Fax (661) 286-1134

OFFICIAL INSPECTION REPORT

Unit #

LOCAL FIRE STATION: 104

ADDRESS: 21615 Soledad Cyn. Rd.

CITY: Santa Clarita

ZIP: 91350

BUSINESS NAME: Santa Clarita Lanes

RESPONSIBLE PARTY: Tom Cristi

PHONE: 661-254-0540

EXT.:

FAX: 61-254-7562

E-MAIL: Scl4usc@aol.com

OCCUPANCY CLASS: F1

SOFT: 36.000

HAZ MAT HANDLER: NO

FIRE SPRINKLERS: YES

SPRINKLER SYSTEM TYPE: Wet

DENSITY / PSI:

ESFR K-FACTOR:

5-YR DUE: 02/2026

DATE OF INSPECTION: 04/01/2021

COMPANY EMPLOYEE PRESENT DURING INSPECTION: Tom Cristi/ Stern

THE ITEMS LISTED BELOW ARE VIOLATIONS OF THE LOS ANGELES COUNTY FIRE CODE-TITLE 32. THE LOS ANGELES COUNTY BUILDING CODE-TITLE 26. AND/OR THE CALIFORNIA CODE OF REGULATIONS-TITLE 19. VIOLATIONS MUST BE CORRECTED FORTHWITH. FAILURE TO COMPLY WILL RESULT IN LEGAL ACTION; A CITATION WILL BE ISSUED. COURT APPEARANCE WILL BE REQUIRED AND A FINE MAY BE LEVIED BY THE COURT.

ALL VIOLATIONS MUST BE CORRECTED IMMEDIATELY.

There were no violations noted at time of inspection. All Fire and Life Safety items were working properly i.e.: Hood/Ansul Extinguishing System in kitchen area, Fire extinguishers, EXIT signage/lighting. All means of egress were properly identified and lighted.

If you have any further questions or concerns, please call the Santa Clarita Fire Prevention office.

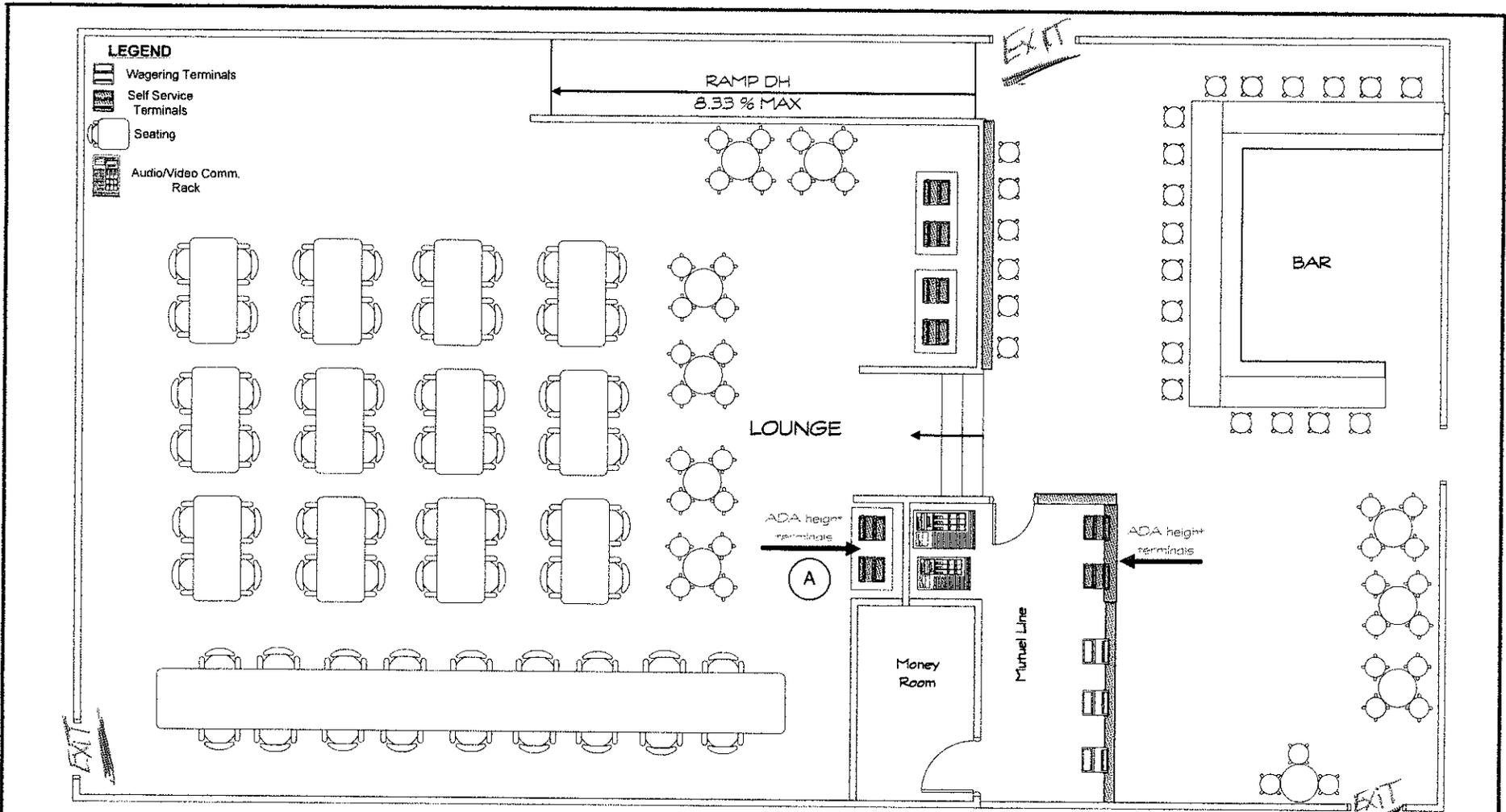
INSPECTOR'S SIGNATURE

Handwritten signature of Robert L. Chapin

Robert L. Chapin 51A

DATE 04/01/2021

For information please call (661) 286-8821 to speak with the inspector.



Revision: A  
Date: Feb 4 2012  
Scale: 3/16" = 1'-0"

S. CLARITA LANES - CONCEPT 1  
PARTIAL FLOOR PLAN  
ADDED HORSE RACING SECTION

PROJECT  
**Santa Clarita Lanes**  
21615 W. Soledad Canyon Road  
Saugus, CA 91350

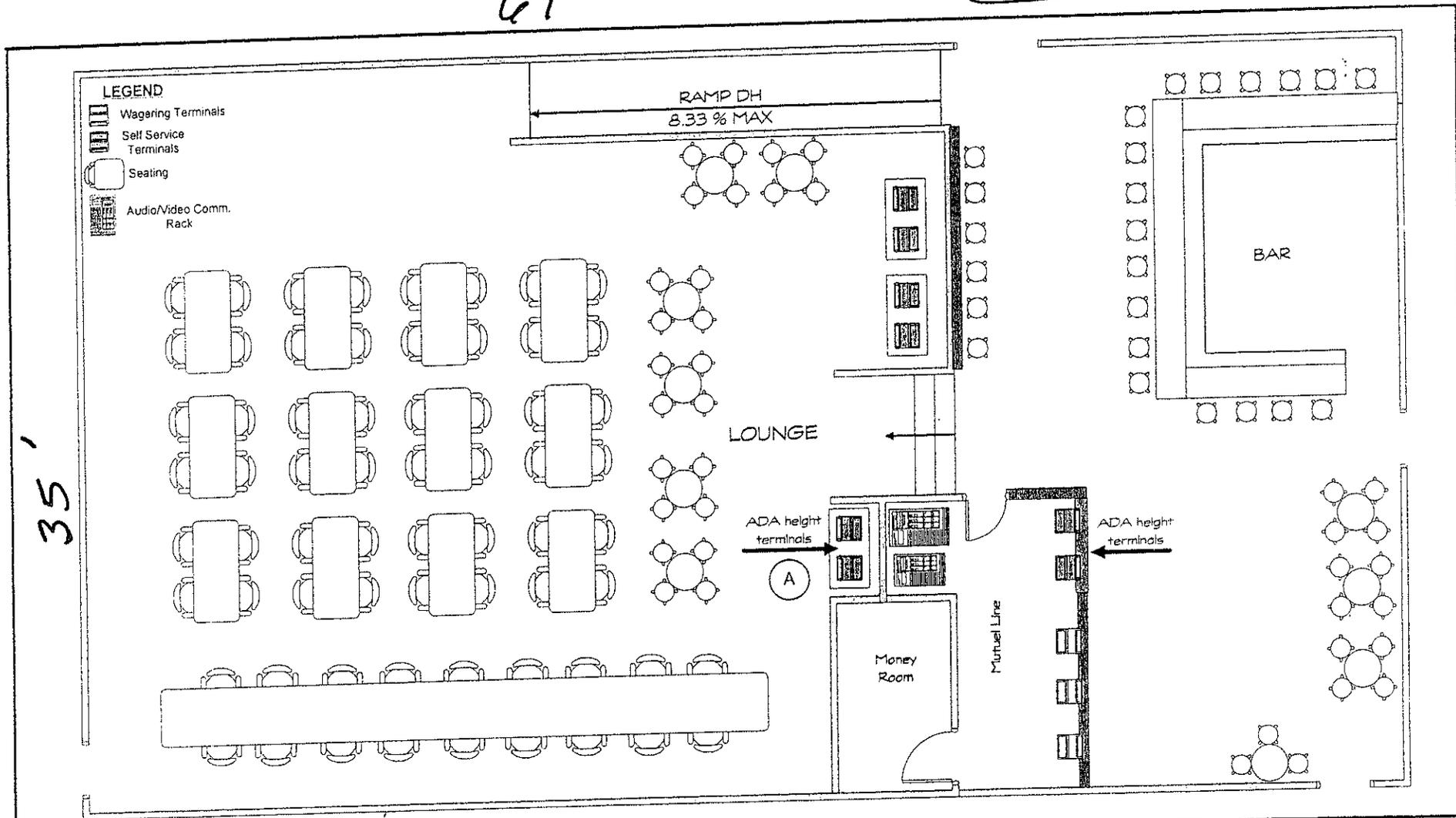
**Sportech**  
4961 Katella Ave.  
Los Alamitos, CA 90270

Designed by  
Lance Ku


Sheet:  
1 of 9

67'

2345 SQ FEET



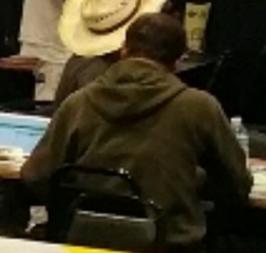
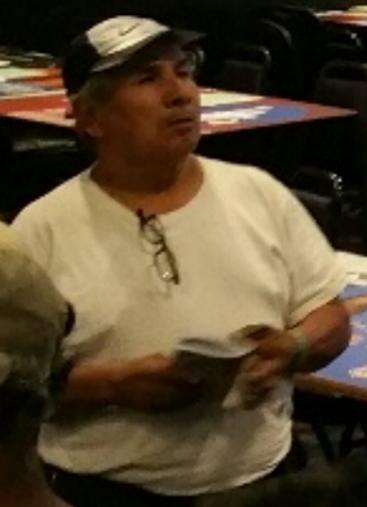
35'

NO. 1	A	S. CLARITA LANES - CONCEPT_1	PROJECT	Sportech	Drawn by	Lance KU	Sheet:
DATE	Feb 4 2012	PARTIAL FLOOR PLAN	Santa Clarita Lanes	4961 Katella Ave.			1 of 9
SCALE	3/16" = 1'-0"	ADDED HORSE RACING SECTION	21615 W. Soledad Canyon Road	Los Alamitos, CA 90270			
			Saugus, CA 91350				

SEATING CAPACITY 136  
 30 TABLES OF 4  
 16 BAR SEATS



EXIT



CH







5:00 PM

POST	NO.	ORIG	WGT	TRAINER	JOCKEY	TIME	ODDS
1	1	1	115	1	1	1:10	1.50
2	2	2	115	2	2	1:10	2.00
3	3	3	115	3	3	1:10	3.00
4	4	4	115	4	4	1:10	4.00
5	5	5	115	5	5	1:10	5.00
6	6	6	115	6	6	1:10	6.00
7	7	7	115	7	7	1:10	7.00
8	8	8	115	8	8	1:10	8.00
9	9	9	115	9	9	1:10	9.00
10	10	10	115	10	10	1:10	10.00

PK & Total Pool: \$28,545

CHANGES: 1-2 2-1 3-1 4-1 5-1 6-1 7-1 8-1 9-1 10-1

WMLTK: 1-2 2-1 3-1 4-1 5-1 6-1 7-1 8-1 9-1 10-1



POST	NO.	ORIG	WGT	TRAINER	JOCKEY	TIME	ODDS
1	1	1	115	1	1	1:10	1.50
2	2	2	115	2	2	1:10	2.00
3	3	3	115	3	3	1:10	3.00
4	4	4	115	4	4	1:10	4.00
5	5	5	115	5	5	1:10	5.00
6	6	6	115	6	6	1:10	6.00
7	7	7	115	7	7	1:10	7.00
8	8	8	115	8	8	1:10	8.00
9	9	9	115	9	9	1:10	9.00
10	10	10	115	10	10	1:10	10.00



PLEASE  
DO NOT  
SMOKE  
OR  
DRINK  
ALCOHOL  
HERE

**VI. ADVERTISING AND PATRON DEVELOPMENT**

Describe any advertising or promotional plans: N/A

Describe any improvements to the facility that will directly benefit minisatellite wagering:

NOTHING PLANNED

**NOTICE TO APPLICANT:** Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

**VII. ADMISSIONS, CHARGES AND SERVICE FEES**

Complete if applicable and note N/A if not

Admissions charges, if any, are:

N/A

Parking charges, if any, are:

N/A

Program charges, if any, are:

CHRB TELLER HANDLES

Seating charges, if any, are:

N/A

**VIII. RENEWAL**

Complete this section only if renewing your license.

Is this a renewal application: Yes  No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes  No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes  No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility? 9 YEARS.

**AGREEMENTS**

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility.

**NOTICES TO APPLICANT**

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

**DECLARATIONS**

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRFB-228 (New 11/08)

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state): *NO EXCEPTIONS*

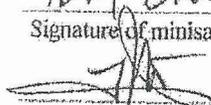
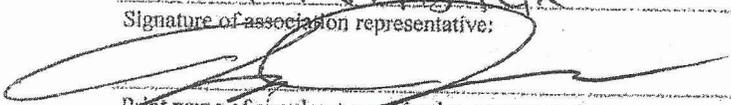
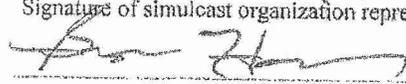
All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state): *NO EXCEPTIONS*

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state): *NO EXCEPTIONS*

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

**CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative: <i>TOM CRIST</i>	Date: <i>FEB 23, 2021</i>
Signature of minisatellite facility representative: 	Date: <i>FEB 23, 2021</i>
Print name of association representative: <i>Josh Rubenstein</i>	Date:
Signature of association representative: 	Date: <i>4/1/31</i>
Print name of simulcast organization representative: <i>GEORGE HAINES</i>	Date: <i>3/31/21</i>
Signature of simulcast organization representative: 	Date:

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(5)(E), FOR DISTRIBUTION FROM ADVANCE DEPOSIT WAGERING (ADW) MARKET ACCESS FEES FOR WAGERS PLACED ON ALL LIVE AND IMPORTED RACES HOSTED BY THE SIGNATORY RACING FAIRS FOR THE PERIOD OF JUNE 1, 2021 THROUGH DECEMBER 31, 2021, TO BE UTILIZED TO FUND A CALIFORNIA CO-OP MARKETING PROGRAM

Regular Board Meeting  
May 19, 2021

ISSUE:

The Thoroughbred Owners of California (TOC) and the California Authority of Racing Fairs (CARF) are seeking approval of a Co-op Marketing Funding Agreement (Agreement) under Business and Professions Code (BPC) [section 19604\(f\)\(5\)\(E\)](#) for the period June 1 through December 31, 2021. The Agreement would modify the distribution of market access fees from California resident advance deposit wagering (ADW) wagers placed on all live and imported races hosted by CARF in order to fund a California co-op marketing program. The Co-op Marketing Funding Agreement allows the TOC and the signatory tracks to continue programs that were previously supported by the California Marketing Committee (CMC).

ANALYSIS:

The TOC and CARF wish to continue certain CMC programs following the January 1, 2019 sunset of BPC section 19605.73, which authorized the CMC and its programs.

For 2021, the co-op marketing program would include:

- Horse Recruitment
- Players Rewards
- Cal Racing Cares
- TOC Administrative Fee

The cost of the programs will be shared between the TOC and the signatory parties on the Agreement. The percentage needed for the distribution from ADW market access fees to fund the marketing program was based on ADW handle estimates for the 2021 CARF meets.

BACKGROUND:

From 1999 until 2019, the CMC was responsible for promoting horse racing in the state by developing and implementing a marketing plan to increase on-track and off-track

attendance throughout the state. The CMC ceased operating on January 1, 2019 upon the sunset of its authorizing statute, BPC section 19605.73.

BPC section 19604(f)(5)(E) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distributions would be affected.

At the February 2021 California Horse Racing Board (Board) meeting, the Board approved a similar Co-op Marketing Funding Agreement between Del Mar, Santa Anita and the TOC for the period of April 1, 2021 through December 31, 2021.

RECOMMENDATION:

This item is presented for Board discussion and action. Staff recommends the Board hear from the Co-op Marketing Funding Agreement representatives.

**Coop Marketing Funding Agreement**

This Agreement is entered into as of this 30th day of April, 2021 by and between the undersigned racing associations ("the Signatory Racing Associations") the Thoroughbred Owners of California ("TOC").

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from California resident Advanced Deposit Wagering, ("ADW") placed on all live and imported races hosted by the Signatory Racing Associations shall be altered by the creation of an additional deduction for a distribution therefrom, based upon a percentage of handle in the Northern California Zone that would otherwise be payable as thoroughbred purses and track commissions. The deduction from ADW market access fees shall be utilized to fund a coop marketing program, and any excess shall be returned to purses/commissions. The deduction percentage of ADW handle in the applicable zones for each host shall be:

CARF race meets	0.23%
-----------------	-------

2. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deductions contemplated from market access fees placed on races hosted by the Signatory Racing Associations which is permitted pursuant to Business and Professions Code Section 19604 (f)(5)(E).

Subject to the requisite approval of the CHRB, the deduction from ADW set forth in #1 above shall be effective June 1, 2021 through December 31, 2021

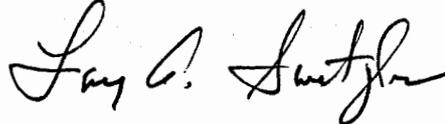
3. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.

Thoroughbred Owners of California



By: \_\_\_\_\_

CARF



By: \_\_\_\_\_

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE ALAMEDA COUNTY FAIR

Regular Board Meeting  
May 19, 2021

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the

acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the Thoroughbred Owners of California (TOC). Additionally, any agreement must be approved by the Board before entries are taken. Here, the California Authority of Racing Fairs (CARF) has entered into an agreement with the TOC to prohibit a horse from entering a stakes race conducted with any race-day medication, including furosemide, prohibits a horse from racing for 14 days if it has received a joint injection, prohibits a horse from performing a timed workout within 10 days of a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in an metacarpophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.



*a California joint powers agency*

1776 Tribute Road, Suite 150  
Sacramento, CA 95815  
Office: 916.263.3346 Fax: 916.263.3341  
www.calfairs.com

## **CHRB Rule 1581 Agreement**

Effective Date: April 22, 2021

### **PARTIES:**

Thoroughbred Owners of California (“TOC”)  
285 W. Huntington Drive  
Arcadia, CA 91007

AND

California Authority of Racing Fairs (“CARF”)  
1776 Tribute Road, Suite 150  
Sacramento, CA 95815

### **RECITALS:**

- A. CARF is a racing association licensed to operate Thoroughbred horse racing at the following locations: Alameda County Fair (Pleasanton, CA), California State Fair (Sacramento, CA), Humboldt County Fair (Ferndale, CA), The Big Fresno Fair (Fresno, CA). TOC represents the collective interests of all California Thoroughbred owners.
- B. CARF and TOC desire to enter into an agreement in which new rules and regulations are approved in order for CARF to be consistent with International Federation of Horse Racing Authorities (“IFHA”), and this agreement is intended to satisfy the requirements set forth in Rule 1581 of the California Horse Racing Board Rules.
- C. The purpose of this agreement is to protect the safety and welfare of horses and riders and to enhance public confidence in the integrity of the sport of Thoroughbred racing.

### **AGREEMENT:**

1. No horse, of any age, shall be permitted to race in a “Stakes Race” conducted by any of the Racing Associations from January 1, 2021 through December 31, 2021 with any race-day medication, which includes, without limitation, the use of furosemide (e.g. Lasix). A “Stakes Race” shall include all graded stakes races, listed stakes races, overnight stakes races, state-bred stakes races, and restricted stakes races.

2. Every horse within the enclosure and every horse at a CARF auxiliary training facility is subject to out-of-competition testing (“OOCT”) by CARF veterinary professionals and no owner, trainer or other person having the care of a horse shall refuse to submit such for testing.
3. All parties agree to strict limitations on the use of any analgesic and anti-inflammatory medications during racing and training.
4. Joint Injections. No horse, of any age, shall be permitted to race at a CARF facility if it has received a corticosteroid intra-articular joint injection as follows:
  - a. Within fourteen (14) days of race day if such injection is for any joint other than any of the metacarpophalangeal joints.
  - b. Within thirty (30) days of race day if such injection is performed on any metacarpophalangeal joint. Additionally, no horse shall be permitted to have a timed work for ten (10) days after receiving a corticosteroid intra-articular joint injection in any of the metacarpophalangeal joints.
5. No horse which has been administered a non-steroidal anti-inflammatory medication within the previous 48 hours shall participate in a race at a CARF facility or a recorded work at a CARF facility or any of its auxiliary training facilities. All parties agree OOCT may be conducted to verify compliance.
6. A commitment to continuing collaboration for improving the safety and welfare of horses and riders.
7. The parties will file this agreement with the California Horse Racing Board (“CHRB”) and support approval.
8. This Agreement covers CARF’s 2021 summer race meet (the “Meet”) and shall expire on the last day that a Thoroughbred racehorse is permitted to be in a CARF facility enclosure or at a CARF auxiliary training facility as part of the Meet.

**California Authority of Racing Fairs      Thoroughbred Owners of California**

By: Larry G. Swartzlander

Larry Swartzlander  
Executive Director

Dated: 4/22/21

By: Mary Forney

Mary Forney  
Executive Director

Dated: 4-22-21

STAFF ANALYSIS  
May 19, 2021

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR AT PLEASANTON, COMMENCING JUNE 16, 2021, AND CONTINUING THROUGH JULY 13, 2021

The Alameda County Fair (ACF) has filed an application for license to conduct a horse racing meeting at Pleasanton as part of the 2021 combined fair horse racing meeting as follows:

- June 16 through July 13, 2021, with 12 race days, three less than proposed in 2020. The ACF proposes to race a total of 136 races, 27 less than proposed in 2020. Live racing begins June 18, 2021.
- At its March 2021 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to designate the 2021 allocated race dates of the ACF (6/16/21–7/13/21), California Exposition and State Fair (CalExpo) (7/14/21–8/3/21), Humboldt County Fair (8/18/21–8/31/21), and The Big Fresno Fair (10/6/21–10/19/21) as a combined fair horse racing meeting. The 2021 combined fair race meeting allows for the extended distribution time of the carryover pool.

June 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

July 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Friday through Sunday. Ten races on Fridays and 12 races on Saturdays and Sundays.
- Average number of runners per race, by breed, for 2020 race meeting:
  - Thoroughbred: 6.24
  - Quarter horse: 4.33
  - Arabian: 5.20
  - Mule: N/A
- First post 2:45 p.m. on weekdays and weekends.

- Wagering program will use CHRB and Association of Racing Commissioners International rules.
  - Early wagering will not be offered.
  - \$1 TRI and \$0.10 SF when applicable.
  - \$.50 PNP4 on first four races and last four thoroughbred races each day.
  - \$.50 PNP5 on last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
  - \$.20 PNP6 on last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners, then 40% minor pool that day is paid to tickets selecting the most winners, and 60% major pool will be added to the carryover.
  - \$.20 Pentafecta (Super High 5) selecting first five finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers, then 40% minor pool paid to tickets selecting the first five finishers, and 60% of major pool will be added to the carryover. If no ticket selects first five finishers, 100% of the pool will be added to carryover. Super High 5 will be carded on last race of the day. The sixth race of each card will offer a player's exacta wager with a 14% takeout.
- Specific changes from the 2020 license application:
  - Admission Adult, Fair—from \$15 to \$18
  - Admission Adult, Advanced—from \$12 to \$15
  - Admission, Seniors 62+—from \$10 to \$15 (Senior Free day on Fridays)
  - Admission, Seniors 62+ Advanced—from \$8 to \$12
  - Fair Admission/Children (6-12)—from \$10 to \$15 (Free on Fridays)
  - Fair Admission/Children Advanced (6-12)—from \$8 to \$12
  - Parking, WP Lot—from \$20 to \$25
- The advance deposit wagering (ADW) providers are Churchill Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpire; ODS Technologies, L.P. dba TVG Network; Watchandwager.com, LLC (Watch and Wager); and XpressBet, LLC (Xpressbet).

Pursuant to Business and Professions Code (BPC) section 19604, specific provisions must be met before an ADW provider can accept wagers.

#### Summary of BPC Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races **conducted outside of California** from a resident of California:

- The ADW provider must be licensed by the Board.
  - There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- Documents received in compliance with BPC section 19604:
    - Horsemen's ADW approval from Thoroughbred Owners of California and Pacific Coast Quarter Horse Racing Association.
  - Simulcasting conducted with other out-of-state racing jurisdictions, pursuant to BPC section 19602, and with authorized locations throughout California.
  - Requests that Darrel Sparks be appointed horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
  - Inspection of backstretch worker housing was completed on March 30, 2021.
  - Track safety inspection has been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application:

1. Signed 2021 ADW agreements with BetAmerica, TVG Network, TwinSpire, Watch and Wager, and Xpressbet. (11B)
2. Approval of ADW agreements from horsemen's organizations—Arabian Racing Association of California and American Mule Racing Association (AMRA). (11C)
3. Signed 2021 race meet agreements with California Thoroughbred Trainers and AMRA. (22B)

RECOMMENDATION:

This agenda item is presented for Board discussion and action.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone, and fax numbers of fair:

**Alameda County Fair**  
**Alameda County Fair Agricultural Association**  
**4501 Pleasanton Avenue, Pleasanton, CA 94566**  
**Phone: 925-426-7600 Fax: 925-426-7599**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:  
**Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for race meeting: **June 16 – July 13, 2021**
- B. Actual dates racing will be held: **June 18, 19, 20, 25, 26, 27, July 2, 3, 4, 9, 10 & 11**
- C. Dates racing will NOT be held: **Dark days will be June 16, 17, 21,22, 23, 24, 28, 29, 30 & July 1, 5, 6, 7, 8, 12, 13**
- D. Total number of racing days: **12**
- E. Days of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify) Fri-Sun

**3. RACING PROGRAM**

- A. Total number of races: 136
- B. Number of races by breed:  

<input type="text" value="108"/>	Thoroughbreds	<input type="text" value="10"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="10"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="8"/>	Mules

<b>CHRB CERTIFICATION</b>		
Application received: 3/18/2021		Hearing date: 5/19/2021
Reviewed: RP		Approved date:
		License number:

C. Number of races daily:

	<u>Fri 6/18, 6/25, 7/2 &amp; 7/9</u>	<u>Sat 6/19, 6/26, 7/3 &amp; 7/10</u>	<u>Sun 6/20, 6/27, 7/4 &amp; 7/11</u>
Thoroughbred	8	9	10
Other Breeds	2	3	2
<b>Total</b>	<b>10</b>	<b>12</b>	<b>12</b>

D. Total number of stakes races by breed:

<input type="text" value="3"/> Thoroughbreds	<input type="text" value="0"/> Quarter Horses	<input type="text" value="0"/> Appaloosas
<input type="text" value="0"/> Arabians	<input type="text" value="0"/> Paints	<input type="text" value="0"/> Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stake’s races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

**See Attached.**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<i>Race Number</i>	<i>Weekdays</i>	<i>Weekends</i>
<b>Race # 1</b>	<b>2:45 p.m.</b>	<b>2:45 p.m.</b>
<b>Race # 2</b>	<b>3:15 p.m.</b>	<b>3:15 p.m.</b>
<b>Race # 3</b>	<b>3:45 p.m.</b>	<b>3:45 p.m.</b>
<b>Race # 4</b>	<b>4:15 p.m.</b>	<b>4:15 p.m.</b>
<b>Race # 5</b>	<b>4:45 p.m.</b>	<b>4:45 p.m.</b>
<b>Race # 6</b>	<b>5:15 p.m.</b>	<b>5:15 p.m.</b>
<b>Race # 7</b>	<b>5:45 p.m.</b>	<b>5:45 p.m.</b>
<b>Race # 8</b>	<b>6:15 p.m.</b>	<b>6:15 p.m.</b>
<b>Race # 9</b>	<b>6:45 p.m.</b>	<b>6:45 p.m.</b>
<b>Race # 10</b>	<b>7:15 p.m.</b>	<b>7:15 p.m.</b>
<b>Race #11</b>	<b>7:45 p.m.</b>	<b>7:45 p.m.</b>
<b>Race #12</b>	<b>8:15 p.m.</b>	<b>8:15 p.m.</b>

*\* As much as possible, we intend to align our post times to complement the Santa Anita Meet and Los Alamitos.*

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**2021 THOROUGHBRED STAKES**

***Alameda County Fair 2021***

(June 18 – July 11, 2021)

**STAKES SCHEDULE**

**MISS AMERICAN STAKES**

\$75,000 Guaranteed

Three Year Olds & Upward F/M – Six Furlongs

Saturday, June 16

**EVERETT NEVIN FUTURITY (CAL-BREDS)**

\$75,000 Guaranteed

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, July 3

**OAK TREE SPRINT**

\$75,000 Guaranteed

Three Year Olds & Upward – Six Furlongs

Saturday, July 10

***OTP 2020***

(June 19 – July 12, 2020)

**STAKES SCHEDULE**

**JUAN GONZALEZ MEMORIAL**

Postponed

**EVERETT NEVIN FUTURITY (CAL-BREDS)**

\$100,000 Guaranteed (\$40,000 CTBA)

*Golden State Series*

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, June 27

**OAK TREE SPRINT**

\$50,000 Added Overnight Stakes

*(Plus up to \$15,000 to Cal-Breds)*

Three Year Olds & Upward – Six Furlongs

Saturday, July 4

***OTP 2019***

(June 12 – July 19, 2019)

**STAKES SCHEDULE**

**JUAN GONZALEZ MEMORIAL**

Postponed

**EVERETT NEVIN FUTURITY (CAL-BREDS)**

\$100,000 Guaranteed (\$40,000 CTBA)

*Golden State Series*

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, Jul 6

**OAK TREE SPRINT**

\$50,000 Added Overnight Stakes

*(Plus up to \$15,000 to Cal-Breds)*

Three Year Olds & Upward – Six Furlongs

Thursday, July 4

**4. FAIR ASSOCIATION**

- A. Names of the fair directors: Allen Aldrich, Jack Balch, Dawna Dowdell-Dos Santos, Gordon Galvan, Bill Harrison, Ed Hernandez, Frank Imhof, Rose Johnson, Clayton Koopmann, Michael Mahoney, Chuck Moore, George Pacheco, Don Sherratt, Robert Silva, Craig Smith, John Smith, Christopher C. Walvoord, Tim Weaver, Eric Wente, Robin Wilma
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: Chair, Gordon Galvan, Vice Chair, Frank Imhof, Directors, Allen Aldrich, Chuck Moore, Don Sherratt, Bob Silva, Craig Smith, John Smith and Robin Wilma.
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and CARF other than those listed in 12B, who will be listed in the official program:

Alameda County Fair: **Jerome Hoban, C.E.O., Jeanne Wasserman, Racing Manager, Richard Sims, Maintenance Operations Manager, Steve Wood, Track Superintendent, Chris Griffin, Track Announcer and Kevin Ingram, Stable Superintendent.**

- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Jerome Hoban, C.E.O.**  
**4501 Pleasanton Avenue**  
**Pleasanton, CA 94566**  
[jhoban@alamedacountyfair.com](mailto:jhoban@alamedacountyfair.com)

**Jeanne Wasserman, Racing Manager**  
**4501 Pleasanton Avenue**  
**Pleasanton, CA 94566**  
[jeanne@alamedacountyfair.com](mailto:jeanne@alamedacountyfair.com)

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

## 6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2020	\$41,430,608	6,490	12 days
2019	\$36,768,682	75,325	15 days
2018	\$33,979,631	81994	15 days
2017	\$29,086,735	71,641	11 Days
2016	\$29,513,427	71,822	12 Days

## 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

### A. Purse distribution:

- All races other than stakes:

Current meet estimate: \$1,879,442

Prior meet actual: \$1,879,442

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$156,620

Prior meet actual: \$125,296

- Overnight stakes:

Current meet estimate: \$100,000

Prior meet actual: \$100,000

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: \$8,333

Prior meet actual: \$6,667

- Non-overnight stakes:

Current meet estimate: \$50,000

Prior meet actual: \$50,000

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$4,167

Prior meet actual: \$3,333

- Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$2,029,442

Prior meet actual \$2,029,442

- ### B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$164,342

Prior meet actual: \$164,342

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 5,284	\$ 5,284
TOC	\$ 10,408	\$ 10,408
NTRA	\$ 835	\$ 835
PCQHRA	\$ 1,402	\$ 1,402
CWAR	\$ -0-	\$ -0-
ARAC	\$ 2,648	\$ 2,648
AMRA	\$ 2,574	\$ 2,574
CHBPAPEN	\$ 15,612	\$ 15,612
CTHF	<u>\$ 15,612</u>	<u>\$ 15,612</u>
Total	\$ 54,375	Total \$54,375

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$2,248,159  
 Prior meet actual: \$2,248,159

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$187,347  
 Prior meet actual: \$149,877

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: \$1,884,051  
 Prior meet actual: \$1,884,051

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$157,004  
 Prior meet actual: 125,603

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$196,063  
 Prior meet actual: \$196,063

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$16,339  
 Prior meet actual: \$13,071

G. Bank and account number for the Paymaster of Purses' purse account:

On file

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Rossmann, MacDonald & Benetti, 3838 Watt Ave. Suite E500, Sacramento, CA. 95821, (916) 488-8360

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and

shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
**684 stalls**
- B. Minimum number of stalls believed necessary for the meeting:  
**1200**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1100**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
**California State Fair**  
**1600 Exposition Blvd**  
**Sacramento, CA 95825**  
**916-263-3000**  
**1100 Stalls.**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**N/A. Member of CARF.**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).  
**884**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**\$8.90**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
  - Dr. Forrest Franklin 916-585-2050
  - Dr. Bill Grantham 415-860-8113

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

**Pleasanton – June 8 – July 11; 6:00 am till 11:00 am.**

**Sacramento - June 15 – Jul 27; 6:00 am till 11:00 am.**

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No **(On File with CHRB)**
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

<b>Type</b>		<b>Rule Number</b>
Exacta	(1E)	1959
Trifecta	(1TRI)	1979
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.20 PF)	ARCI 004-105
PK 3	(1PK3)	1977
PK 4	(.50PNP4)	1976.9
PK 5	(.50PNP5)	1976.9

<b>FORMAT</b>	<b>TYPE OF WAGERS</b>	<b>APPLICABLE RULES</b>	<b>CARF</b>	<b>WAGERING</b>
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/>	<b>Yes X</b>
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9		
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1		
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7 (16)(b)(i)		
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB # 1976.9 ARCI 004-105 G. (g) Method 7 (16)(b)(i)		



March 25, 2021

California Horse Racing Board  
 Attn: Cynthia Alameda  
 Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2021 Race Meet Applications for the Alameda County Fair, California State Fair, Humboldt County Fair and The Big Fresno Fair:

The following is the CARF wagering format:

	<b>TYPE OF WAGERS</b>	<b>APPLICABLE RULES</b>
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
<b>Race #1</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9</b>
<b>Race #2</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1</b>
<b>Race #3</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7 (16)(b)(i)</b>
<b>Race #4</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7 (16)(b)(i)</b>
<b>Race #5</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)</b>
<b>Race #6</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)</b>
<b>Race #7</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)</b>
<b>Race #8</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4</b>
<b>Race #9</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4</b>



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander  
Executive Director

**\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**

**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

**The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 17, 2021, which is the Closing Day of The Big Fresno Fair.**

Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **10/17/2021**.

E. List any options requested with regard to exotic wagering:

**\$1 TRI and \$0.10 SF when applicable**

**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day**

**\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-**

**5 will be carded on the last race of the day. The sixth race of each card will offer a player’s exacta wager with a 14% takeout.**

F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin? Specify days and time for “early bird” wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Amtote (Tony Furtado) Expiration: October 17, 2025.  
Equipment description on file with Board.**

H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE**

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%. Exacta in the 6<sup>th</sup> race 14%.  
Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

**11. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Watch & Wager; Twin Spires; Express Bet, T.V.G. (Television Games Network) and Bet America.**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File with CHRB.**

C. Have the contract/agreements been approved by the respective horsemen’s groups?  
Yes  No

If yes, attach a copy of the approval. –On file with CHRB.  
If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made;3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wager are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub



March 27, 2021

Mr. Larry Swartzlander  
Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, CA 95815

RE: 2021 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of CARF race signals under the terms and conditions set forth below.

Pursuant to Section 29, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on CARF and other Thoroughbred races during the 2021 race meetings, as follows:

**1. California wagering on CARF races:**

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager and Game Play Network may deduct a Hub Fee of 4.1% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

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285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: GARY FENTON  
PRESIDENT & CEO: GREG AVIOLI  
EXECUTIVE DIRECTOR: MARY FORNEY  
NORTHERN CA LIAISON: WAYNE ATWELL  
ADMINISTRATIVE ASSISTANT: ELSA PERON

**2. California wagering on out-of-state Thoroughbred races:**

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager and Game Play Network may deduct a Hub Fee of 4.1% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**3. Out-of-state wagering on PRA races:**

- a. TVG, XpressBet, TwinSpire and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Greg Avioli, President & CEO

cc: Tom Varela, Heather Haviland



## Pacific Coast Quarter Horse Racing Association

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April 1, 2021

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2021 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2021 racing season, commencing June 18, 2021 and continuing through October 17, 2021, California-licensed ADW providers Watch and Wager, Bet America, TVG, Xpressbet,

TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated March 27, 2021.  
Please feel free to contact me if you have any questions.

Sincerely,

Oino Perez  
General Manager

agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NOCTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

**NORTHERN CALIFORNIA**

Alameda County Fair, Pleasanton

Big Fresno Fair, Fresno

California State Fair & Exposition, Sacramento

Firehouse Restaurant, Bakersfield

Golden Gate Fields, Albany

\*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey

San Joaquin County Fair, Stockton

Santa Clara County Fair, San Jose

Shasta District Fair, Anderson

Solano County Fair, Vallejo

Sonoma County Fair, Santa Rosa

**SOUTHERN CALIFORNIA**

Barona Valley Ranch Resort & Casino, Lakeside

Cabazon Fantasy Springs Casino, Indio

Commerce Casino Racebook, Commerce

Derby Club, Seaside Park, Ventura

Fairplex Park, Pomona

Fantasy Springs Casino, Indio

Los Alamitos Race Course, Los Alamitos

Mermaid Tavern, Thousand Oaks

OC Tavern & Sports Bar, San Clemente

Sammy's Restaurant & Bar, Lake Forrest

Santa Anita Park, Arcadia

Santa Clarita Lanes, Santa Clarita

Shalimar Sports Center, Indio

Sports Center, San Bernardino

Sports Pavillion at The Farmers Fair, Lake Perris

Sports Pavillion, San Bernardino Cty. Fair, Victorville

Surfside Race Place at Del Mar, Del Mar

Sycuan Gaming Center, El Cajon

Tilted Kilt, Thousand Oaks

Viejas Casino & Turf Club, Alpine

Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open only during Humboldt/Ferndale Fair Racing

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

**Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)**

<b><u>2021 IMPORT TRACKS</u></b>	
ARLINGTON	6/16/21
BELMONT	6/16/21
ASSINIBOIA	6/16/21
AUSTRALIAN RACING	6/16/21
BEULAH	6/16/21
CALDER RACECOURSE	6/16/21
CANTERBURY DOWNS	6/16/21
CHARLES TOWN	6/16/21
CHURCHILL DOWNS	6/16/21
DELAWARE	6/16/21
DOWNS AT ALBUQUERQUE	6/16/21
ELLIS PARK	6/16/21
EMERALD DOWNS	6/16/21

EVANGELINE DOWNS	6/16/21
FORT ERIE	6/16/21
GULFSTREAM	6/16/21
HASTINGS PARK	6/16/21
HAWTHORNE	6/16/21
INDIANA DOWNS	6/16/21
KEENLAND	6/16/21
KENTUCKY DOWNS	6/16/21
LAUREL	6/16/21
LONE STAR	6/16/21
LOUISIAN DOWNS	6/16/21
MONMOTH	6/16/21
MOUNTAINEER PARK	6/16/21
NYRA-AQUEDUCT	6/16/21
NYRA-BELMONT	6/16/21
NYRA-SARATOGA	6/16/21
PENN NATIONAL	6/16/21
PHILADELPHIA PARK (PARX)	6/16/21
PIMLICO	6/16/21
PRAIRIE MEADOWS	6/16/21
PRESQUE ISLE	6/16/21
RIVER DOWNS	6/16/21
SOUTH AMERICAN RACING	6/16/21
SUFFOLK DOWNS	6/16/21
SUNLAND PARK	6/16/21
SUNRAY PARK	6/16/21
TAMPA BAY	6/16/21
THISTLEDOWN	6/16/21
TURFWAY PARK	6/16/21
TURF PARADISE	6/16/21
UNITED KINGDOM	6/16/21
WOODBINE	6/16/21

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**2021 CARF Meets  
Commingled  
Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Millers OTB	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Mobile Greyhound	Sol Mutuel
Arima Race Club	Fair Grounds	Monmouth Park	Southland Greyhound
Arlington I	Fair Grounds ADW	Montana OTB	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Monticello	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Mountaineer Park	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mt. Pleasant Meadows	Suffolk Downs
Bangor Raceway	Fonner	Nassau Regional OTB	Sunland Park
Batavia	Freehold	New Jersey Casino Assoc.	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions		Taunton Acct Wagering

Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Bets (ADW)	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Race bets	Cedar Downs OTB	Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Oaklawn	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Chester
Club Hipica InTurf	Harrington Bets (DE regional)	Panama	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	
Millenium Racing	Bet America and Offtrackbetting	Rillito Park	<b>Separate Pool Locations</b>
Royal Beach Casino	Lone Star	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters

Truro Raceway, Western Fair,  
Woodbine,

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
**OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce, Firehouse Restaurant, Bakersfield, Santa Clarita Lanes, Santa Clarita, Tilted Kilt, Thousand Oaks, Sammy’s Restaurant & Bar, Mission Viejo, Glen Arden Club, Glendale.**
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: **N/A**

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>See 11D above.</b>	<b>TBD</b>	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: **N/A**

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
<b>Los Alamitos</b>	<b>Quarter Horses</b>	<b>Per CHRB Calendar Full Card</b>	
<b>Cal Expo</b>	<b>Harness Horses</b>	<b>Per CHRB Calendar Full Card</b>	

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).  
**N/A**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders’ awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:  
Association Veterinarian(s) – **Sarah Sporer, D.V.M.**  
Clerk of Scales – **Kenneth Sjoldal**

Clerk of the Course – **Tina Walker**  
 Assistant Clerk of Scales – **Kenny Fowler**  
 Film Specialist – **Kenny Fowler**  
 Horse Identifier – **Darrel Sparks**  
 Horseshoe Inspector – **Augustine Rayas**  
 Paddock Judge – **Danny Winnick**  
 Patrol Judges – **Danny Winnick**  
 Placing Judges – **Steve Martinelli & Morgann Schlessner**  
 Starter – **Todd Stephens**  
 Timer – **Melody Truitt**

- B. Management officials in the racing department:  
 Director of Racing – **Larry A. Swartzlander**  
 Racing Secretary – **Tom Doutrich**  
 Assistant Racing Secretary – **Linda Anderson**  
 Paymaster of Purses – **Lisa Wilkerson (C.A.R.F.)**  
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
**Niccoli Reporting**  
**619 Pilgrim Dr.**  
**Foster City, CA 94404**  
**Telephone: 650-573-9339**  
[pdqcsr@comcast.net](mailto:pdqcsr@comcast.net)
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**Plusmic Corporation USA – Bill O'Brien (Expires 12/7/21)**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
**Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/2024)**  
**Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2024)**

#### 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See Attached**  
**Racetrack/Grandstand: Alameda County Sheriff Chief in Charge 925-426-7525**  
**Liaison Officer: Commander Colby Staysa 510-529-5451 and Sergeant Kevin Estep 925-321-1796.**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:  
**Racetrack/Grandstand: 8 Sheriff's Deputies**  
**Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.**

**Item #14 A:**

**Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

**Alameda County Agricultural Fair Association**

Jerome Hoban, CEO	925-567-6032
Richard Sims, V.P. of Maintenance Operations	925-596-5744
Tiffany Burrow-Loushin, Fair Operations Manager	925-567-6053
Randy Magee, CFO Risk Manager	925-567-6034

**Security Eye**

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Office, various personnel	925-426-7519

**Alameda County Sheriff Department**

Fairgrounds Command Center	925-426-7525
Commander Colby Staysa	510-529-5451
Sergeant Kevin Estep	925-321-1796

***Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.***

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

**One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.**

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

**N/A. Addressed in B1 above.**

- B. Number of security guards in the detention stall area during a 24-hour period.

**One**

- C. Describe number and location of surveillance cameras in detention stall area.

**Surveillance cameras at each end of the detention shed row and two in between.**

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

**All horses in thoroughbred races where the number is determined by a random algorithm generator.**

- B. Plan for enhanced surveillance for trainers with high-test results.

**Trainer with high test results will be moved to the detention area.**

- C. Plan for detention stalls for repeat offenders.

**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**

- D. Number of security personnel assigned to the TCO2 program.

**One (1) 24-hour security guard when detention stalls are occupied.**

- C. Describe the electronic security system.

**C.A.R.F. surveillance equipment and program that travels between racing Fairs.**

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**4 surveillance cameras monitoring this area**

## **15. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Royal Ambulance Service, Steve Grau, President, 14472 Wicks Blvd, San Leandro, CA 94577. 877-995-6161.**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**See Attached**

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **American Medical Response, 1101 Fee Dr., Sacramento, CA 95815 916-563-0838**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**See Attached**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

**See Attached**



Compassion. Commitment. Caring

February 17, 2021

Jeanne Wasserman  
Alameda County Fairground  
4501 Pleasanton Ave  
Pleasanton, Ca 94566

Royal Ambulance Inc. is compliant with all Federal, State and local requirements to operate as an Ambulance Company in Alameda County and other jurisdictions where we provide service. Our EMT's are certified by the California Emergency Medical Services Authority and copies of the certification will be provided upon request.

Sean Young

*Sean Young*

Operations Manager



March 16, 2021

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

Daniel Iniguez

(916) 563-0835

Operations Manager AMR Sacramento County



DAVID A. ROCHA  
Fire Chief

## Alameda County Fire Department

6363 Clark Avenue · Dublin, CA 94568  
Tel (925) 833-3473 · (510) 632-3473 · Fax (925) 875-9387  
[www.acgov.org/fire](http://www.acgov.org/fire)

Date: March 31, 2021

To: Jeanne Wasserman, Director of Racing

From: Ryan Nishimoto, Division Chief

Re: 2021 Alameda County Fair Event Action Plan

Attached is the 2021 Alameda County Fair Event Action Plan for the Alameda County Fire Department (ACFD). ACFD will have units staged and response ready for all fire, rescue and medical related emergencies that may occur on the fairgrounds during fair business hours. Our staffing will include a minimum of five personnel daily with no less than two being California Licensed Paramedics. All other personnel will be certified Emergency Medical Technicians (EMTs). Our apparatus will maintain the medical equipment and supply amounts specified by the Alameda County Emergency Medical Services (EMS) Agency. ACFD personnel will medically operate under the guidelines found in the Alameda County EMS Protocol Manual for medical emergencies. Within the first aid station at the fairgrounds there will be multiple advance and basic life support equipment and supplies in two separate treatment rooms and one ACFD Paramedic at all times. Please feel free to contact me with any questions or concerns.

Sincerely,

Ryan Nishimoto, Division Chief  
Alameda County Fire Department  
(510) 693-3404

**SERVING:**  
City of Dublin  
City of Emeryville  
City of Newark  
City of San Leandro  
City of Union City  
Lawrence Berkeley  
National Laboratory  
Lawrence Livermore  
National Laboratory  
Unincorporated Areas  
of Alameda County  
Alameda County  
Regional Emergency  
Communications Center  
"Accredited Center  
of Excellence"

# ALAMEDA COUNTY FIRE DEPARTMENT

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ALAMEDA  
COUNTY  
FAIRGROUNDS  
PLEASANTON



**2021**

## **Alameda County Fair Event Action Plan**



<b>INCIDENT OBJECTIVES</b>	1. Incident Name Alameda County Fair	2. Date 03/31/2021	3. Time 09:00 until closing						
4. Operational Period June 18 – July 11, 2021									
5. General Control Objectives for the Incident (include alternatives) <b>During normal hours of operations:</b> Provide basic and advanced life support to the patrons and employees of the Alameda County Fair. Provided ALS service during racing hours. Respond to fires, reported fires, and alarms sounding anywhere on the fairgrounds. Respond to hazardous materials incidents or investigations; provide fires responder operations level service and determine the need for additional resources. Respond to any reports of suspicious odors, powders, or packages and coordinate mitigation efforts with the appropriate public safety agency and AC Fair management staff. Document all responses appropriately and make necessary notifications to the AC Fair management staff in a timely fashion.									
6. Weather Forecast for Period Normal summer weather patterns are expected during the Fair.									
7. General Safety Message Stay hydrated and wear sunscreen. Be aware when operating any motorized apparatus at the fairgrounds. DO NOT back-up without a spotter in place. Ensure safe work practices around energized electrical equipment. Observe lock out/tag out procedures if performing rescue in or around machinery or carnival rides. Ride technicians should be summoned through AC Fair management staff on any ride failure. Watch out for and mitigate any obvious trip hazards on the fairgrounds. Always use the lights and siren when responding on the first aid cart, with the exception to the racetrack area. Follow all COVID-19 protocols.									
8. Attachments (mark if attached)									
<table border="0" style="width: 100%;"> <tr> <td style="width: 33%;"><input checked="" type="checkbox"/> Div. Assignment Lists - ICS 204</td> <td style="width: 33%; text-align: center;"><input checked="" type="checkbox"/> Medical Plan - ICS 206</td> <td style="width: 33%; text-align: right;"><input checked="" type="checkbox"/> Safety message</td> </tr> <tr> <td><input checked="" type="checkbox"/> Communications Plan - ICS 205</td> <td></td> <td></td> </tr> </table>				<input checked="" type="checkbox"/> Div. Assignment Lists - ICS 204	<input checked="" type="checkbox"/> Medical Plan - ICS 206	<input checked="" type="checkbox"/> Safety message	<input checked="" type="checkbox"/> Communications Plan - ICS 205		
<input checked="" type="checkbox"/> Div. Assignment Lists - ICS 204	<input checked="" type="checkbox"/> Medical Plan - ICS 206	<input checked="" type="checkbox"/> Safety message							
<input checked="" type="checkbox"/> Communications Plan - ICS 205									
9. Prepared by Division Chief Ryan Nishimoto		10. Approved by (Safety Officer) Deputy Chief Eric Moore							

DIVISION ASSIGNMENT LIST		1. Branch		2. Division/Group EMS Division			
3. Incident Name Alameda County Fair		4. Operational Period Date: June 18 – July 11, 2021 Time: 09:00 am-closing					
5. Operations Personnel							
Operations Chief	AC Fair Chief Officer	Division/Group Supervisor		Fair Engine Captain			
Branch Director		Air Attack Supervisor No.					
6. Resources Assigned this Period							
Strike Team/Task Force/ Resource Designator	Leader	Number Persons	Trans. Needed	Drop Off PT./Time	Pick Up PT./Time		
EMS Cart 36	Fair Chief Officer	2			First Aid Station		
EMS Cart 37	Fair Chief Officer	2			First Aid Station		
7. Control Operations Dispatch from Sheriff's command and regular operations on CMD 17; additional fair grounds tactical channel is TAC 37 If ambulance transport is indicated, notify the Fair Division Chief and bring the patient to the first aid station to rendezvous with ALS ambulance. Division Chief will call ACRECC on low traffic days. If the Communication Van is staffed with a dispatcher, then the Division Chief will make the requests for units through the communication van utilizing CMD 17. One medic at all times in the first aid station Sheriff's office responds on all calls to take information for reporting purpose Secondary Mobile Transport available for concurrent incidents							
8. Special Instructions Request mutual aid or other resources on XAL DSP 2 Notify Fair Division Chief and AC Fair operations on all ride related injuries or injuries to any AC Fair staff or volunteer. See phone list in first aid station Log all patient contacts in logbook at first aid station All reports must be done prior to the conclusion of the shift Refer to MCI plan if appropriate DO NOT take E36 onto the horse racing track for any reason <b>If a significant incident is in progress, or the IC requires additional resources, operations should move to an assigned ACRECC CMD channel. The address of the fairgrounds is 4501 Pleasanton Avenue.</b> Release incident information in conjunction with AC Fair management staff							
9. Division/Group Communication Summary							
Function	Frequency	System	Channel	Function	Frequency	System	Channel
Command	TRS	XAL CMD17	A13				
Tactical	TRS	XAL TAC11	A11	Air to Ground	VHF 156.075	King NIFC	CalCord
Prepared by Division Chief Ryan Nishimoto		Approved by: (Safety officer) Deputy Chief Eric Moore		Date 03/31/2021		Time 3:55 pm	

DIVISION ASSIGNMENT LIST		1. Branch		2. Division/Group HAZMAT			
3. Incident Name Alameda County Fair		4. Operational Period Date: June 18 – July 11, 2021 Time: 09:00 am - closing					
5. Operations Personnel							
Operations Chief	AC Fair Chief Officer	Division/Group Supervisor		Fair Engine Captain			
Branch Director		Air Attack Supervisor No.					
6. Resources Assigned this Period							
Strike Team/Task Force/ Resource Designator	Leader	Number Persons	Trans. Needed	Drop Off PT./Time	Pick Up PT./Time		
Fair Engine (E36)		4			On scene		
HM 20		3			1 <sup>st</sup> alarm		
Engine 17		3			1 <sup>st</sup> alarm		
Battalion 3		1			1 <sup>st</sup> alarm		
7. Control Operations Isolate and deny entry Provide for life safety of responders and civilians Request additional resources as needed Mitigate the hazard(s) as necessary Provide emergency, technical, and mass casualty decontamination as required Notify appropriate agencies Complete a site safety plan for all incidents requiring a level A or B entry							
8. Special Instructions Be prepared for mass casualty events Request mutual aid or other resources on ACRECC XAL DSP2. For additional resources, request a MASR 1 and/or specific hazmat related equipment and personnel. <b>If a significant incident is in progress, or the IC requires additional resources, operations should move to an assigned ACRECC CMD channel. The address of the fairgrounds is 4501 Pleasanton Avenue.</b> Release incident information in conjunction with AC Fair management staff There are no fixed facilities for mass casualty decontamination							
9. Division/Group Communication Summary							
Function	Frequency	System	Channel	Function	Frequency	System	Channel
Command	TRS	XAL CMD17	A13				
Tactical	TRS	XAL TAC11	A11	Air to Ground	VHF 156.075	King NIFC	CalCord
Prepared by Division Chief Ryan Nishimoto		Approved by (Safety officer) Deputy Chief Eric Moore		Date 03/31/2021		Time 3:50 pm	

DIVISION ASSIGNMENT LIST		1. Branch		2. Division/Group MCI			
3. Incident Name Alameda County Fair		4. Operational Period Date: June 18 - July 11, 2021 Time: 09:00 am - closing					
5. Operations Personnel							
Operations Chief	AC Fair Chief Officer	Division/Group Supervisor		Fair Engine Captain			
Branch Director		Air Attack Supervisor No.					
6. Resources Assigned this Period							
Strike Team/Task Force/ Resource Designator	Leader	Number Persons	Trans. Needed	Drop Off PT./Time	Pick Up PT./Time		
Fair Engine (E36)		4			On scene		
E 17		3			1 <sup>st</sup> alarm response		
LFPD Engine		3			1 <sup>st</sup> alarm response		
T17		3			1 <sup>st</sup> alarm response		
Battalion 3		1			Battalion Chief		
ALS transport units		2			staging		
Falck Ambulance Supervisor		1			ICP		
7. Control Operations Aggressive crowd control and/or rescue may be required. Utilize ACSO when necessary Establish staging areas early START triage Initiate MCI Alert at the appropriate Level: MCI Level I, Level II or Level III Transport patients in accordance with ALCO EMS MCI Policy							
8. Special Instructions Request mutual aid or other resources on ACRECC XAL DSP2. For additional resources, request a MASR 1 and/or specific medical related equipment and personnel. <b>If a significant incident is in progress, or the IC requires additional resources, operations should move to an assigned ACRECC CMD channel. The address of the fairgrounds is 4501 Pleasanton Avenue.</b> Release incident information in conjunction with AC Fair management staff <b>Establish LZ for air ambulances when appropriate</b>  Primary LZ: center of horse racing track - GPS coordinates latitude N 37° 39' 40" Longitude W 121° 52' 59" Secondary LZ: driving range near the RED GATE – GPS coordinates latitude N 37° 39' 38" longitude W 121° 53' 39"							
9. Division/Group Communication Summary							
Function	Frequency	System	Channel	Function	Frequency	System	Channel
Command	TRS	XAL CMD17	A13				
Tactical	TRS	XAL TAC11	A11	Air to Ground	VHF 156.075	King NIFC	CalCord
Prepared by Division Chief Ryan Nishimoto		Approved by ((Safety Officer) Deputy Chief Eric Moore		Date 03/31/2021		Time 3:45 pm	

### ICS Form 205

<b>INCIDENT RADIO COMMUNICATIONS PLAN</b>		1. Incident Name		2. Date/Time Prepared		3. Operational Period Date/Time	
<b>DUAL BAND APX 7000 XE PORTABLES</b>		<b>Alameda County Fair</b>		<b>March 31, 2021 at 3:40 pm</b>		<b>June 18, 2019 – July 11, 2021</b>	
<b>4. Basic Radio Channel Utilization</b>							
System/Cache	Channel	Function	Frequency/Tone	Assignment		Remarks	
XAL DSP2	A2	Dispatch	TRS	ACF and PMP		ACRECC Resource Ordering Non-High traffic days	
XAL CMD17	A13	Command	TRS	ACF, Sherriff's CMD, Comm 1, PMP, WestMed,		ACRECC Resource Ordering Fairground response coordination	
XAL TAC11	A11	Tactical	TRS	Tactical		Batt 2, 3, 4, 9	
XAL CMD18	A14	Command	TRS	Tactical		Batt 2, 3, 4, 9	
XAL TAC10	A10	Tactical	TRS	Tactical		Batt 2, 3, 4, 9	
LAP CMD9	D10	Command	TRS	Tactical		Batt 2, 3, 4, 9	
XAL VTAC 4	VV2	Mutual Aid	154.0700 N	Mutual Aid Tactical		Multijurisdictional incident	
XAL VTAC 5	VV3	Mutual Aid	154.2600 N	Mutual Aid Tactical		Multijurisdictional incident	
VFIRE 22	WW9	Mutual Aid	154.2650	Mutual Aid Tactical		Multijurisdictional incident	
VFIRE 23	WW10	Mutual Aid	154.2950	Mutual Aid Tactical		Multijurisdictional incident	
CALCORD	WW14	Mutual Aid	156.0750	Mutual Aid Tactical		Helicopter Operations	
XAL FIR1D	A15	Direct	Conventional	Line of site		Line of site	
XAL EMRG	A16	800 Emergency	TRS	Emergency Activation		Emergency Activation	
<b>5. Prepared by (Communications Unit)</b> Division Chief Ryan Nishimoto – ACF ACRECC Dispatch – 925.447.6880 / ACSO Command Post – 925.426.7525							

<b>MEDICAL PLAN</b>	1. Incident Name	2. Date Prepared	3. Time Prepared	4. Operational Period				
	Alameda County Fair	March 31, 2021	03:30 pm	June 18 – July 11, 2021				
<b>5. Incident Medical Aid Station</b>								
Medical Aid Stations		Location			Paramedics Yes No			
Alameda County Fair First Aid Station		Adjacent to Security Eye Command Post			X			
ACFD mobile response vehicle/s		Staged at the Alameda County Fair aid station			X			
ACFD Fair Engine (E36)		Staged at the Alameda County Fair aid station			X			
ACFD Mobile Response Vehicle		Near horse track during race hours only			X			
<b>6. Transportation</b>								
<b>A. Ambulance Services</b>								
Name		Address		Phone		Paramedics Yes No		
Falck Ambulance		Normal posting assignment				X		
ACFD		Livermore Lab property – ACFD station 20				X		
Royal Ambulance		Near horse track during race hours only				X		
<b>B. Air Ambulances</b>								
Name		Location			Paramedics Yes No			
CALSTAR		Concord			X			
Life Flight		Stanford			X			
REACH		Vacaville			X			
EBRP		Hayward			X			
<b>7. Hospitals</b>								
Name	Address	Travel Time Air Ground		Phone	Helipad Yes No		Trauma Center Yes No	
Valley Care	5555 W Los Positas Pleasanton		10 min	925-416-6585		X		X
San Ramon Hospital	6001 Norris Canyon Road San Ramon		20 min	925-275-8280		X		X
Eden Medical Center	20103 Lake Chabot Road Castro Valley	10 min	25 min	510-889-5015	X		X	
Children's Hospital	747 52 <sup>nd</sup> Avenue Oakland	20 min	60 min	510-428-3240	X		X	
Alameda County Medical Center	1411 31st Ave. Oakland	15 min	50 min	510-437-5158		X	X	

## 8. Medical Emergency Procedures

Emergency Medical calls may be initiated by the ACSO command post or by ACRECC

**Air Ambulance primary LZ is the center of the horse racing track \*See MCI division assignment for lat and long coordinates. Secondary LZ is the driving range adjacent to the RED GATE**

Notify Fair management staff (Human resources rep) in the event of any injury to an AC Fair employee – ACFD Chief Officer at the Fair is the primary point of contact for these notifications. \*See phone list at medic station for contact numbers\*

Staging areas for MCI's are Gates 2 and/or 12

Notify Paramedics Plus of the most appropriate place to pick up the patient. When possible, the patient will be transported back to the aid station prior to transport.

Weather to be taken at 1200, 1500, and 1800 hours

Prepared by

Division Chief Ryan Nishimoto

10. Reviewed by (Safety Officer)

Deputy Chief Eric Moore

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**N. P. Donald Lang, 408-396-3757.**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Randy Magee, Health & Safety Manager, Patti Coonce, Assistant Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Attached.**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **California Fair Services Authority**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**See Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

**Food & Beverage:**

**Yogurt-a-Fair: William Heher, 809 Riordan Road, Suite #202, Flagstaff, AZ 86001**

**Food Service: Spectre, LP, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566**

**Jetter Golf: Dana and Greg Jetter, 4501 Pleasanton Ave., Pleasanton, CA 94566**

**Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7<sup>th</sup> Floor, New York City, N.Y. 1005**

**Program: Daily Racing, Wicks Sports, 100 Broadway, 7<sup>th</sup> Floor, New York City, N.Y. 1005**

**Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514**

**Jockey Laundry Service Winner's Circle Laundry, Donald Fowler Jr., 539 Woodside Oaks #3, Sacramento, CA 95825**

**Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y.10590**

## **Item #15F English:**

### **Alameda County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

#### **Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

#### **Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

#### **Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

## **Plant Staff**

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

## **Announcer**

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

## **Senior Management**

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

## **All Department Heads**

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

## **Item #15 F Spanish:**

### **Procedimiento en caso de Accidente en Alameda County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

#### **El personal de la Ambulancia**

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

#### **Seguridad**

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia..
4. Los miembros de seguridad serán responsables de controlar a la multitud.

#### **Personal de Carreras/Veterinario del hipodromo**

1. Una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona o caballo accidentado haya sido trasladado del lugar.

## **Personal de Planta/Plant Staff**

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

## **Locutor**

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

## **Gerencia**

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

## **Todo los Jefes de Departamento**

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



*a California joint powers agency*

1776 Tribute Road, Suite 150  
Sacramento, CA 95815  
Office: 916.263.3346 Fax: 916.263.3341  
www.calfairs.com

April 22, 2021

California Horse Racing Board  
Attn: Scott Chaney  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Mr. Chaney:

The Alameda County Fire Department Fire Inspection Letter stated the Alameda County Fair Stable area was inspected without violations as of 3/18/21. Your letter, dated July 3, 2020 stated that the Alameda County Fairgrounds fire clearance on file did not comply with 4 CCR 1927 for the 2020 racing season. Our response to your letter is attached dated July 13, 2020. Due to time constraints a decision was never rendered as to the exemption. Our position remains the same. The stable area consists of two major structures, shed row stalls and tack rooms which are in compliance with local code and the intent of 4 CCR 1927Section. Section 903.2.1.3 of the Alameda County Fire Code addresses the requirement for automatic sprinklers and states that they are not required unless the living area exceeds 5,000 square feet or houses at least 300 people. In addition, all structures are made of concrete block, stucco and composition roof tiles. Based on this structural configuration and County Code our fire marshal does not require the need for automatic sprinklers.

Previously CARF had requested the rule be revisited and address exceptions to the requirements listed in 4 CCR 1927. Our position remains the same and would ask in the interim, the Alameda County Fair be granted the allowable exemption by the CHRB to this rule for the 2021 Racing Season.

CARF is currently in negotiations with Golden Gate Fields (GGF) to provide auxiliary stabling. In the event an agreement cannot be reached CARF is in discussion with the Thoroughbred Owners of California to either open

Sacramento as an auxiliary stable or bring in porta-stalls to the Alameda County Fair backside and stable all horses on site that plan to race at Pleasanton. In either case fire inspection documents will be provided for either GGF or Sacramento as an auxiliary stable.

Regards,

A handwritten signature in black ink, reading "Larry A. Swartzlander". The signature is written in a cursive style with a large, stylized initial "L".

Larry A. Swartzlander  
Executive Director, CARF



July 13, 2020

California Horse Racing Board  
Attn: Scott Chaney  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Chaney,

The Alameda County Fire Department Fire Inspection letter stated the Alameda County Fair Stable area was inspected without violations as of 3/2/20. Your letter, date July 3, 2020 states that the Alameda County Fairgrounds fire clearance on file does not comply with 4 CCR 1927. In review the stable area consists of two major structures, shed row stalls and tack rooms which are in compliance with local code and the intent of 4 CCR 1927. The one disparity is the requirement for automatic sprinkler systems. Section 903.2.1.3 of the Alameda County Fire code addresses the requirement for automatic sprinklers as states that they are not required unless the living area exceeds 5000 square feet and houses at least 300 people. Our fire marshal has concluded in his inspection that the barn area does not meet this criterion.

The intent here is not to contentious in compliance but point out that 4 CCR 1927 was written at least 30 years ago with no updates. Each county has different requirements and has fire marshals who can be sensitive to be compliant with third party fire guidelines.

I would respectfully ask for an exemption to the current 4 CCR 1927 rule for the 2020 Alameda County Fair meet. In addition, I would ask that the rule be reviewed by the CHRFB staff in conjunction with stakeholders within the racing industry and be rewritten be put on a future agenda for the CHRFB to review and approve.

In regards to our Auxiliary Stable, GGF Eric Sindler forwarded to me the following information on July 3 in regards to their non compliance to 4 CCR 1927:

“Due to COVID-19 crisis protocol restrictions, we do not have a current date for the inspection. We are speaking to the Berkeley Fire Department to schedule the inspection so that they can issue a revised fire clearance letter. We will update the board when we have a date for the inspection. All of the barns (and the equine hospital) at Golden Gate Fields are equipped with an automatic sprinkler system which is inspected and tested both quarterly and annually. Additionally, the barn area has an automatic fire alarm system that was upgraded in 2018. The barn area has two watchman after dark. The barn area also contains 20 standalone fire hydrants which are pressure tested annually (with 17 fire hose cabinets containing 51 hoses). Also, there are over 220 fire extinguishers serviced annually.”

Regards,

Larry A. Swartzlander  
Executive Director, CARF

Cc: Jerome Hoban, CEO ACF  
Chairman Dr. Gregory Ferraro  
Vice-Chairman Oscar Gonzales  
Commissioner Alex Solis  
Commissioner Dennis Alfieri  
Commissioner Wendy Mitchell  
Commissioner Damascus Castellanos



**Alameda County Fire Department**  
**FIRE PREVENTION**

[www.acgov.org/fire](http://www.acgov.org/fire)

**WILLIAM L. McDONALD**  
Fire Chief

**COUNTY**  
**FIRE PREVENTION**  
6363 Clark Street  
Dublin, CA 94568  
Tel (510) 632-3473  
Fax (925) 875-9387

**DUBLIN**  
**FIRE PREVENTION**  
100 Civic Plaza  
Dublin, CA 94568  
Tel (925) 833-6606  
Fax (925) 829-9248

**EMERYVILLE**  
**FIRE PREVENTION**  
1333 Park Avenue  
Emeryville, CA 94608  
Tel (510) 596-3759  
Fax (510) 450-7812

**NEWARK**  
**FIRE PREVENTION**  
37101 Newark Blvd.  
Newark, CA 94560  
Tel (510) 578-4218  
Fax (510) 578-4281

**SAN LEANDRO**  
**FIRE PREVENTION**  
835 E. 14th Street  
San Leandro, CA 94577  
Tel (510) 577-3317  
Fax (510) 618-3445

**UNION CITY**  
**FIRE PREVENTION**  
34009 Alvarado-Niles Road  
Union City, CA 94587  
Tel (510) 675-5470  
Fax (510) 487-2117

March 18, 2021

Jerome Hoban, CEO  
Alameda County Fair  
4501 Pleasanton Avenue  
Pleasanton, CA 94566

RE: Annual Stable Area Inspections

Dear Mr. Hoban:

ACFD conducted inspections of the stable area on 3/4/2021 and 3/16/2021. The facility was found to be empty and without any violations as of the 3/16/2021 inspection.

Sincerely,

Bonnie S. Terra  
Division Chief



# Alameda County Fire Department Fire Prevention Bureau Inspection and Review Comments

Dublin Bureau  
108 Civic Plaza  
Dublin, CA 94568  
(925) 833-6606  
Fax (925) 829-9248

Emeryville Bureau  
1333 Park Avenue  
Emeryville, CA 94608  
(510) 596-3759  
Fax (510) 450-7812

Newark Bureau  
37101 Newark Blvd.  
Newark, CA 94560  
(510) 578-4218  
Fax (510) 578-4281

San Leandro Bureau  
835 East 14th Street  
San Leandro, CA 94577  
(510) 577-3317  
Fax (510) 577-3419

Unincorporated County Bureau  
399 Elmhurst Street, Room 120  
Hayward, CA 94544  
(510) 670-5853  
Fax (510) 887-5836

Union City Bureau  
34009 Alvarado-Niles Road  
Union City, CA 94587  
(510) 675-5470  
Fax (510) 441-2943

Inspector: T. Willis Date: 3/16/21 FD Permit # \_\_\_\_\_

Business Name: Alameda County Fire Department Address: 410 Alameda St

OK'd By/Date	ITEM #	COMMENTS
OK 3/16/21		Inspection on 3/16/2021
OK 3/16/21		Inspection OK & REPORT 3/16/21
OK 3/16/21	1)	Examine 2 items in kitchen area w/o by Belle
OK 3/16/21	2)	Examine fire extinguisher: Must service every 6 months
OK 3/16/21	3)	Examine fire extinguisher: Must service every 6 months
OK 3/16/21	4)	Examine fire extinguisher: Must service every 6 months
OK 3/16/21	5)	Examine fire extinguisher: Must service every 6 months
		- No VIOLATIONS FOUND AT TIME OF INSPECTION -
		- T. WILLIS -
		3/16/2021

You are hereby notified to address the comments and provide immediate correction of the violation(s) noted herein.

A re-inspection will be conducted on or after 4/15/21

Bus. Owner-Manager: \_\_\_\_\_ Phone: \_\_\_\_\_

Received By: \_\_\_\_\_ Date: 3/16/21 Page 1 of 1

**Marcia D Shell**

---

**From:** Rickerd, Joshua@CALFIRE <Joshua.Rickerd@fire.ca.gov>  
**Sent:** Friday, October 16, 2020 4:54 PM  
**To:** Marcia D Shell; Kate Phariss  
**Cc:** Dugranrut, Cliff@CALFIRE  
**Subject:** Backstretch

Hi Marcia and Kate,

In accordance with CHRB Rule 1927: Fire Prevention, Each barn or structure which is used by the association for the stabling of horses or human habitation, is equipped with an automatic sprinkler system and an automatic fire alarm system. Inspection of all associated buildings has been performed. Approval to occupy has been granted.

Hope this will suffice. If not let me know.

Thank you!

Josh Rickerd  
Deputy State Fire Marshal III  
***CAL FIRE***  
Office of the State Fire Marshal  
Fire and Life Safety Division  
(916) 204-4140  
[joshua.rickerd@fire.ca.gov](mailto:joshua.rickerd@fire.ca.gov)



DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
OFFICE OF THE STATE FIRE MARSHAL  
Fire and Life Safety Division - North  
2251 Harvard Street, Suite 130, Sacramento, CA 95815  
(916) 568-3826

## PRIORITY INSPECTION REPORT

### BUILDING INFORMATION

**SFM FILE NUMBER:** 04-34-91-0001-00024

**JURISDICTION:** Life Safety North

**BUILDING OWNER:**

HORSE / BACKSTRETCH

**BUILDING NAME:** BARNs A-Z

**BUILDING ADDRESS:** 1600 Exposition Blvd, Sacramento, CA 95815

### INSPECTION INFORMATION

**Inspection Number :** 92345

**Inspection Type:** Annual

**Inspection Date :** 10/16/2020

**Inspection Status :** Passed

**Inspector:** Joshua Rickerd

**Inspection Hours :** 1

**Inspector Signature:** *Joshua Rickerd*

**Travel Hours :** 0.5

**Inspection Comments:** Re-inspection.

In accordance with CHRB Rule 1927; Fire Prevention, Each barn or structure which is used by the association for the stabling of horses or human habitation, is equipped with an automatic sprinkler system and an automatic fire alarm system. Approval to occupy has been granted.

**Accompanied By:**

**Signed:**

**COMMENTS OR QUESTIONS ABOUT INSPECTION SERVICES**  
Please contact CAL FIRE Life Safety North at (916) 568-3826 for assistance.



DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
OFFICE OF THE STATE FIRE MARSHAL  
Fire and Life Safety Division - North  
2251 Harvard Street, Suite 130, Sacramento, CA 95815  
(916) 568-3826

## PRIORITY INSPECTION REPORT

### BUILDING INFORMATION

**SFM FILE NUMBER:** 04-34-11-0001-00031

**JURISDICTION:** Life Safety North

**BUILDING OWNER:**

**BUILDING NAME:** VET BARN

**BUILDING ADDRESS:** 1800 Exposition Blvd, Sacramento, CA 95815

### INSPECTION INFORMATION

**Inspection Number :** 92341

**Inspection Type:** Annual

**Inspection Date :** 10/07/2020

**Inspection Status :** Passed

**Inspector:** Joshua Rickard

**Inspection Hours :** 0

**Inspector Signature:** *Josh Rickard*

**Travel Hours :** 0

**Inspection Comments:** Re-inspection. All deficiencies cleared.

**Accompanied By:**

**Signed:**

**COMMENTS OR QUESTIONS ABOUT INSPECTION SERVICES**  
Please contact CAL FIRE Life Safety North at (916) 568-3826 for assistance.



DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
OFFICE OF THE STATE FIRE MARSHAL  
Fire and Life Safety Division - North  
2251 Harvard Street, Suite 130, Sacramento, CA 95815  
(916) 568-3826

## PRIORITY INSPECTION REPORT

### BUILDING INFORMATION

**SFM FILE NUMBER:** 04-34-11-0001-00004

**JURISDICTION:** Life Safety North

**BUILDING OWNER:**

**BUILDING NAME:** BACKSTRETCH OFFICES

**BUILDING ADDRESS:** 1600 Exposition Blvd, Sacramento, CA 95815

### INSPECTION INFORMATION

**Inspection Number :** 92342

**Inspection Type:** Annual

**Inspection Date :** 10/07/2020

**Inspection Status :** Passed

**Inspector:** Joshua Rickerd

**Inspection Hours :** 0

**Inspector Signature:** *Joshua Rickerd*

**Travel Hours :** 0

**Inspection Comments:** Re-inspection. All deficiencies cleared.

**Accompanied By:**

**Signed:**

**COMMENTS OR QUESTIONS ABOUT INSPECTION SERVICES**  
Please contact CAL FIRE Life Safety North at (916) 568-3826 for assistance.



July 6, 2020

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, Ca 95825

Re: Alameda County Fair  
4501 Pleasanton Ave  
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

**I. GENERAL LIABILITY PROGRAM**

- A. Primary Coverage \$100,000 self-insured retention California Fair Services Authority (includes liquor liability)  
Coverage continuous until cancelled
- B. Excess Coverage \$24,900,000 in excess of \$100,000 (includes Liquor Liability)  
Coverage provided by CSAC Excess Insurance Authority  
Term: 7/1/20 to 7/1/21

**II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM**

- A. Primary Coverage \$250,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$250,000  
(b) Employers' Liability: \$4,750,000 in excess of \$250,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 7/01/2020 to 7/01/2021

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in California Horse Racing Board's "Insurance Requirements".

CFSA coverage also includes the Fresno at Pleasanton Race meet in October.

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

*Mario Castagnola*  
Sincerely,  
Mario Castagnola  
Risk Analyst

1776 TRIBUTE ROAD, SUITE 100  
SACRAMENTO, CA 95815  
PHONE: (916) 921-2213 | FAX: (916) 646-1238

WWW.CFSA.ORG



March 31, 2021

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, Ca 95825

Re: Alameda County Fair  
4501 Pleasanton Ave  
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

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Coverage provided by CSAC Excess Insurance Authority  
Term: 7/1/21 to 7/1/22

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Coverage provided by CSAC Excess Insurance Authority  
Term: 7/01/2021 to 7/01/2022

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in California Horse Racing Board's "Insurance Requirements".

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You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

*Mario Castagnola*  
Sincerely,  
Mario Castagnola  
Risk Analyst

1776 TRIBUTE ROAD, SUITE 100  
SACRAMENTO, CA 95815  
PHONE: (916) 921-2213 | FAX: (916) 646-1238

WWW.CFSA.ORG

**Sound System: Precision Sound Craft, Steve Hendrickson, 203 Cockrobin Ave., Windsor, CA 95492**

**Armor Car: NOTWINC, 6801 Koll Center Parkway, Suite 140, Pleasanton, CA 94566**

B. Does the fair plan to provide its own concessions? Yes  No

**17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans for the race meeting:  
**See Attached**

B. Promotional/ Marketing budget for this race meeting:  
**\$65,000 is dedicated to race-meet specific marketing, promotions and events.**

Promotional/Marketing budget for prior race meeting:  
**\$95,000 was dedicated to race-meet specific marketing, promotions and events.**

C. Number of hosts and hostesses employed for meeting:  
**4: 2 at Trackside Terrace and 2 in the Sky Lounge**

D. Describe facilities set aside for new fans:  
**Daily Racing Seminars, located on the Grandstand Stage**

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen

**Sky Lounge. This room was formally our Directors VIP Lounge. We have opened it up to the public and renamed it. Horsemen and the public will be able to make reservations. The cost is \$50 and includes a buffet lunch.**

**The racing signal is broadcast in HD to both our grandstand and Satellite Wagering Facility.**

2. Fans

**Trackside Terrace is open for reserved outdoor dining, social distanced box seats are available for reserved outdoor dining. Additional sanitization and social distancing measures are being implemented.**

3. Facilities in the restricted areas

**Tack rooms, shed rows, hot walker areas, stalls and common areas have had a thorough cleaning. Last year the odd side of the barns had stall doors repaired and painted. This year we have worked on the even side of barns.**

**18. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from previous year:

<b>Admission, Grandstand (general)</b>	<b>Free With Fair Admission</b>
<b>Admission Adult, Fair</b>	<b>\$18</b>
<b>Admission Adult, <u>Advanced</u></b>	<b>\$15</b>
<b>Admission, Seniors 62+</b>	<b>\$15 (Senior Free day on Fridays)</b>
<b>Admission, Seniors 62+ <u>Advanced</u></b>	<b>\$12</b>
<b>Fair Admission/Children (6-12)</b>	<b>\$15 (Free on Fridays)</b>
<b>Fair Admission/Children <u>Advanced</u> (6-12)</b>	<b>\$12</b>
<b>Admission (clubhouse)</b>	<b>N/A</b>
<b>Admission Trackside Terrace</b>	<b>\$40 pre-fair, includes fair admission and buffet</b>
<b>Admission Trackside Terrace</b>	<b>\$40 after fair opens, includes buffet</b>
<b>Admission Sky Lounge</b>	<b>\$50 pre-fair, includes, fair admission and buffet</b>
<b>Admission Sky Lounge</b>	<b>\$50 after fair opens, includes buffet</b>
<b>Reserved seating, 1 box seat</b>	<b>\$6</b>

# Racing Promotions

## Promotions:

- Boomer Free Fridays
- Odd/Even \$2 Beers
- Hippity Hop Races
- Opening Ceremonies
- Win, Place and Show Contests
- Horse Shirt Giveaway
- PSA
- Dog Derby
- Guess How Many
- Jockey Photo Signing Day
- Fan Cave
- VIP Directors Lounge
- Party in the Paddock
- Chamber Days at the Races
- City Day at the Races
- The Gastro Pub
- Naming of Races
- Advertising of Local Businesses

<b>Reserved seating general</b>		<b>\$5</b>
<b>Reserved seating (clubhouse)</b>	<b>N/A</b>	
<b>Parking (general)</b>		<b>\$10</b>
<b>Parking (preferred)</b>	<b>\$15</b>	
<b>Parking, WP Lot</b>	<b>\$25</b>	
<b>Parking (valet)</b>	<b>N/A</b>	
<b>Programs (on-track)</b>	<b>\$2.75</b>	
<b>(Off-track)</b>	<b>\$2.75</b>	

- B. Describe any "Season Boxes" or other special accommodation fees:  
**Box seats sold by the box for the seasonal race meet. We have 4-seat, 6-seat and 8-seat boxes. There are no price increases for seasonal boxes. Prices are held at the 2017 rates.**
- C. Describe any "package" plans such as combined parking, admission and program:  
**Family Fun Pack: \$50 4-General Admission, 1 General Parking**

**19. JOCKEYS' QUARTERS**

- A. Check the applicable amenities available in the jockeys' quarters:
 

<input checked="" type="checkbox"/> <b>Corners (lockers and cubicles)</b>	<b>How many</b>	<input style="width: 50px;" type="text" value="40"/>
<input checked="" type="checkbox"/> <b>Showers</b>	<input checked="" type="checkbox"/> <b>Steam room, sauna or steam cabinets</b>	<input checked="" type="checkbox"/> <b>Lounge area</b>
<input checked="" type="checkbox"/> <b>Masseur</b>	<input checked="" type="checkbox"/> <b>Food/beverage service</b>	<input checked="" type="checkbox"/> <b>Certified platform scale</b>
- B. Describe the quarters to be used for female jockeys:  
**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks. Jockeys & Jockettes share the scale.**

**20. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by Noe Longoria on March 30, 2021.
- B. Number of rooms used for housing on the backstretch of the racetrack: **107**
- C. Number of restrooms available on the backstretch of the racetrack: **9 (Total commodes =17)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 10.**

**21. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**  
 The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4"

supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

**Inner Rail: Fontana Safety Rail**

**Outer Rail: Aluminum gooseneck, supports with aluminum top rail**

**Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)**

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Steve Wood.
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **See Attached**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **No.**

## 22. DECLARATIONS

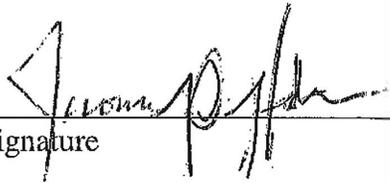
- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**All horsemen's agreements have been provided.**
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):  
**No Exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Jerome Hoban  
Alameda County Fair CEO

  
\_\_\_\_\_  
Signature  
3-17-21  
\_\_\_\_\_  
Date

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ALAMITOS HORSE RACING ASSOCIATION (LARA) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE LARA AT THE LOS ALAMITOS RACETRACK.

Regular Board Meeting  
May 19, 2021

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the Thoroughbred Owners of California (TOC). Additionally, any agreement must be approved by the Board before entries are taken. Here, the Los Alamitos Horse Racing Association (LARA) has entered into an agreement with the TOC to prohibit a horse from entering a stakes race conducted with any race-day medication, including furosemide, prohibits a horse from racing for 14 days if it has received a joint injection, prohibits a horse from performing a timed workout within 10 days of a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in an metacarpophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.

## AGREEMENT

**EFFECTIVE DATE: April 23, 2021**

**PARTIES:**

Thoroughbred Owners of California ("TOC")  
285 W. Huntington Drive  
Arcadia, CA 91007

and

Los Alamitos Racing Association ("LARA")  
4961 Katella Avenue  
Los Alamitos, CA 90720

**RECITALS:**

- A. LARA is a racing association licensed to operate Thoroughbred horse racing at the Los Alamitos Racetrack located in Los Alamitos, California. TOC represents the collective interests of all California Thoroughbred owners.
- B. LARA and TOC desire to enter into an agreement in which new rules and regulations are approved in order for LARA to be consistent with International Federation of Horse Racing Authorities ("IFHA"), and this agreement is intended to satisfy the requirements set forth in Rule 1581 of the California Horse Racing Board Rules.
- C. The purpose of this agreement is to protect the safety and welfare of horses and riders and to enhance public confidence in the integrity of the sport of Thoroughbred racing.

**AGREEMENT:**

1. No horse, of any age, shall be permitted to race in a "Stakes Race" conducted by LARA from January 1, 2021 through December 31, 2021 with any race-day medication, which includes, without limitation, the use of furosemide (e.g. Lasix). A "Stakes Race" shall include all graded stakes races, listed stakes races, overnight stakes races, state-bred stakes races, and restricted stakes races. However, notwithstanding the foregoing, if a Cal-bred stakes race (a) is for four-year olds and up and (b) is agreed to in writing by the TOC and the Racing Association which conducts the relevant Cal-bred stakes race, then the horses that compete in those races shall be permitted to race with furosemide (e.g. Lasix). Attached as Attachment A is a list of such races.
2. Every horse within the enclosure and every horse at a LARA auxiliary training facility is subject to out-of-competition testing (OOCT) by LARA veterinary professionals and no

owner, trainer or other person having the care of a horse shall refuse to submit such for testing.

3. All parties agree to strict limitations on the use of any analgesic and anti-inflammatory medications during racing and training.
4. Joint Injections. No horse, of any age, shall be permitted to race at Los Alamitos if it has received a corticosteroid intra-articular joint injection as follows:
  - a. Within fourteen (14) days of race day if such injection is for any joint other than any of the metacarpophalangeal joints.
  - b. Within thirty (30) days of race day if such injection is performed on any metacarpophalangeal joint. Additionally, no horse shall be permitted to have a timed work for ten (10) days after receiving a corticosteroid intra-articular joint injection in any of the metacarpophalangeal joints.
5. No horse which has been administered a non-steroidal anti-inflammatory medication within the previous 48 hours shall participate in a race at Los Alamitos or a recorded work at Los Alamitos or any of its auxiliary training facilities. All parties agree OOCT may be conducted to verify compliance.
6. A commitment to continuing collaboration for improving the safety and welfare of horses and riders.
7. The parties will file this agreement with the California Horse Racing Board ("CHRB") and support approval.
8. This Agreement covers the Los Alamitos 2021 race meets (the "Meets") and shall expire on the last day that a Thoroughbred racehorse is permitted to be in the Los Alamitos enclosure or at a LARA auxiliary training facility as part of the Meets.

**Los Alamitos Racing Association**

**Thoroughbred Owners of California**

By: Jack Liebau  
Jack Liebau  
General Manager

By: Mary Forney  
Mary Forney  
Executive Director

Dated: 4/23/2021

Dated: 4/23/2021

Exhibit A

Saturday June 26, 2021

For Three Year Olds and Upward

One Mile (Cal Bred)

STAFF ANALYSIS  
May 19, 2021

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE  
COURSE JUNE 23, 2021 THROUGH JULY 6, 2021

Los Alamitos Racing Association (LARA) filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course June 23, 2021 through July 6, 2021, or 7 days; same as in 2020. Live racing will commence June 25, 2021 through July 5, 2021. LARA proposes to race a total of 61 races, or 8.7 races per day. In 2020, LARA ran 8.14 races per day with an average of 7.09 runners per race. The (actual) average daily purse for the 2020 LARA meet during the same time period was \$290,337. The (estimated) average daily purse for this meet is \$352,461.

June - 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

July - 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing 3 nights per week, Friday through Sunday, and Monday, July 5, 2021. Eight live races on weekdays, nine live races on weekends and closing Monday (holiday observed).
- Racing concurrently with the Alameda County Fair at Pleasanton.
- First live post 1:00 p.m. daily.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will start at 8:30 a.m. and end at 10:00 a.m. each race day.
  - \$2 Rolling Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
  - \$1 Trifecta on eligible races.
  - \$0.10 Superfecta wagering on all qualifying races.
  - Incoming simulcasts will follow host track format.
  - \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
  - \$1 Pick n Pool 4 starting on second race and last 4 races. Alternate runner provision.
  - \$0.50 Pick n Pool 5 will be offered starting on the first race. 14% takeout. One tier payoff, 100% carryover.

- \$1 Pentafecta (Super High Five) in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation, 100% carryover if no ticket has five winners.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpires and NYRABets.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Profession Code section 19604*

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires has submitted all documents required in compliance with Business and Professions Code section 19604 [provision in simulcast agreement allows terms to remain in effect].
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.

- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. 2020 Audited Financials.
2. ADW agreement with NYRAbets [current agreement expires June 8, 2021].
3. Santa Anita (auxiliary stabling site) fire clearance in compliance with Board Rule 1927, and any exemption requests [current fire clearance expires June 20, 2021].

Specific information which will **need updating** during the proposed race dates:

1. Bond [expires July 1, 2021]

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: F. Jack Liebau, c/o Los Alamitos Racing Association, 4961 Katella Avenue, Los Alamitos, CA 90720 (714) 820-2800, Fax (714) 820-2743. jackliebau@yahoo.com
- B. Breed of horse: TB  QH  H
- C. Racetrack name: Los Alamitos Racing Association
- C. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. Deposit on file with the CHRB
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
Yes  No
- If no, attach a surety bond in the amount of one hundred thousand dollars. Bond on file with CHRB

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for the entire meeting: June 23, 2021 through July 6, 2021
- B. Actual dates racing will be held: 6/25, 6/26, 6/27, 7/2, 7/3, 7/4, 7/5
- C. Total number of days or nights of racing: 7
- D. Days or nights of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify) Fri – Sun, plus Monday, 7/5/21
- E. Number of days or nights of racing per week: 3

**CHRB CERTIFICATION**

Application received:  
Deposit received:  
Reviewed:

Hearing date:  
Approved date:  
License number:

**3. RACING PROGRAM**

- A. Total number of races: 61
- B. Number of races for each day or night: 8 races on weekdays, 9 on weekends & closing Monday (holiday observed)
- C. Total number of stakes races: 3
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. Attached

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). Attached

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. None

- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes  No      If no, what racing colors are to be used:

List all post times for the daily racing program:

- Race 1 – 1:00 pm
- Race 2 – 1:30 pm
- Race 3 – 2:00 pm
- Race 4 – 2:30 pm
- Race 5 – 3:00 pm
- Race 6 – 3:30 pm
- Race 7 – 4:00 pm
- Race 8 – 4:30 pm
- Race 9 – 5:00 pm

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

- B. Complete the applicable subsection and attached Addendum, Background Information and

2021	2020	2019
\$100,000 Soi Phet Stakes Cal Bred	\$100,000 Bertrando Stakes Cal Bred	\$100,000 Bertrando Stakes Cal Bred
\$200,000 Great Lady M Stakes Gr II	\$200,000 Great Lady M Stakes Gr II	\$200,000 Great Lady M Stakes Gr II
\$150,000 Los Alamitos Derby Gr III	\$150,000 Los Alamitos Derby Gr III	\$150,000 Los Alamitos Derby Gr III

# **LOS ALAMITOS RACE COURSE STAKES SCHEDULE**

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*Closing Saturday, June 19, 2021*

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Saturday, June 26, 2021 \$100,000 Guaranteed



**SOI PHET STAKES (Cal Bred)**  
For Three Year Olds and Upward  
*One Mile*

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*Closing Saturday, June 26, 2021*

---

Saturday, July 3, 2021 \$150,000 Guaranteed

**LOS ALAMITOS DERBY - Grade III**  
For Three Year Olds  
*One Mile And One Eighth*

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*Closing Saturday, June 26, 2021*

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Monday, July 5, 2021 \$200,000 Guaranteed

**GREAT LADY M STAKES - Grade II**  
For Fillies And Mares Three Year Olds and Upward  
*Six And One Half Furlongs*

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LA Purses Summer 2021

TYPE	PURSE	X	TYPE 1	PURSE 1
		X		
MDN CLM 20,000	\$20,000.00			
MDN CLM 30,000	\$23,000.00		CLM 32000 NWY *	\$33,000.00
MDN CLM 40,000	\$28,000.00		CLM 25,000 NW2 LIFETIME *	\$25,000.00
MDN CLM 50,000	\$32,000.00		CLM 25,000 *	\$29,000.00
MDN CLM 75,000	\$36,000.00		SA 25,000 *	\$29,000.00
MDN ALW *	\$45,000.00		CLM 32,000 *	\$35,000.00
			SA 40,000 NW2 LIFETIME *	\$30,000.00
CLM 6,250 NW2 CLAUSE OR YEAR	\$12,000.00		CLM 40,000 *	\$36,000.00
CLM 6,250	\$13,000.00		CLM 50,000 *	\$39,000.00
CLM 8,000 NW2 CLAUSE OR YEAR	\$15,000.00		SA 50000 NW2 LIFETIME *	\$33,000.00
SA 8000	\$16,000.00		CLM 62,500 *	\$42,000.00
CLM 8,000	\$16,000.00			
CLM 10,000 NW2 CLAUSE OR YEAR	\$16,000.00			
CLM 10,000	\$17,000.00		ALW 1X OPT/CLM 40-80	\$48,000.00
CLM 12,500 NW2 CLAUSE OR YEAR	\$18,000.00		ALW 2X OPT/CLM 62,500	\$50,000.00
CLM 12,500 *	\$21,000.00		ALW 3X OPT/CLM 80,000	\$55,000.00
SA 12,500 *	\$21,000.00		OVERNIGHT STAKE	\$75,000.00
CLM 16,000 NW2 LIFETIME *	\$20,000.00		STAKE	\$100,000.00
CLM 16,000 *	\$22,000.00			
SA 16,000 *	\$22,000.00			
CL20,000 *	\$24,000.00			

Ownership. On file with CHRB

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: : C1650097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
 Dr. Edward Allred – President, Director 100%  
 Rick English 0%, CFO  
 Tom Siebly 0%, Director  
 Don Valpredo 0%, Director  
 Frank Sherren 0%, Director  
 Cathy Monji 0%, Director and Secretary  
 Jack Liebau 0%, Director  
 Richard Shapiro 0%, Director  
 Mike Harrington 0%, Director
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: None
6. Number of outstanding shares in the corporation: 2,000
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: n/a
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
 n/a  
 A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations,

- other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading?      Yes                  No  
If yes, on what exchange and how the stock is listed:
  6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
    - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12.      Yes       No
  7. Registered name of the LLC/Corporation:
  8. State where articles of organization are filed:
  9. Registry or file number for the LLC:
  10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
  11. Are the shares listed for public trading?                                  Yes                   No
  12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Most current statement on file with CHRB
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

**G. MANAGEMENT AND STAFF**

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C. Allred, President, CEO  
 F. Jack Liebau, Vice-President  
 Rick English, CFO  
 Orlando Guterrez, Director of Publicity & Marketing  
 Frank Sherren, Plant Superintendent  
 Rick Hughes, Track Superintendent  
 Dennis Moore, Track Consultant  
 John Ferrero, Director of Mutuels  
 Michael Wrona, Track Announcer  
 Cathy Monji, Director of Security  
 Robert Hurd, Director of Operations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). F. Jack Liebau, 4961 Katella Ave., Los Alamitos, CA 90720. jackliebau@yahoo.com

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5, Daily Double  
 Proposed percentage: Pick 5 – 14%, Daily Double 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. Attached

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.



September 22, 2021

Mr. Scott Chaney  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, California 95825

Dear Mr. Chaney:

Pursuant to California Business and Professions Code Section 19601.1, Los Alamitos Racing Association, after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during the Los Alamitos 2021 Summer Meet June 23, 2021 through July 6, 2021.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Super Hi-5 - \$1.00 minimum wager offered on the last race on each card subject to a 14% takeout rate.
- Players Pick 5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%

Very truly yours,

A handwritten signature in blue ink that reads "F. Jack Liebau". The signature is fluid and cursive, written over the typed name.

F. Jack Liebau  
Vice President  
(650) 222-8150  
jackliebau@yahoo.com



March 21, 2021

Ms. Carmen Luera  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: 2021 Los Alamitos Summer Race Meet – Rolling Doubles

Dear Ms. Luera,

Los Alamitos has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its upcoming Summer race meet from June 23 through July 6, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Mike Marten, CHRB  
Jack Liebau, Los Alamitos



March 21, 2021

Ms. Carmen Luera  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: 2021 Los Alamitos Summer Race Meet – Players Pick 5

Dear Ms. Luera,

Los Alamitos has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of the “Players Pick 5” on the first five (5) races of the day subject to a 14% takeout at its upcoming Summer race meet from June 23 through July 6, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads "Greg Avioli". The signature is written in a cursive, flowing style.

Greg Avioli  
President & CEO

cc: Jack Liebau, Los Alamitos  
Mike Marten, CHRB

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285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER  
PRESIDENT & CEO: GREG AVIOLI  
EXECUTIVE DIRECTOR: MARY FORNEY  
ADMINISTRATIVE ASSISTANT: ELSA PERON

Year	Handle	Attendance
'20 - 7 summer race days	57,935,552	7,368
'19 - 9 summer race days	67,587,735	71,130
'18 -12 summer race days	72,091,294	75,215
'17 - 8 summer race days	45,954,114	51,273
'16 -12 spring race days	88,474,295	88,205

**7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):**

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: 1,829,185  
 Prior meet actual: 1,392,692

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: 261,312  
 Prior meet actual: 198,956

2. Overnight stakes:

Current meet estimate:  
 Prior meet actual:

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:  
 Prior meet actual:

3. Non-overnight stakes:

Current meet estimate: 383,000  
 Prior meet actual: 377,700

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: 54,714  
 Prior meet actual: 53,957

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: 2,212,185  
 Prior meet actual: 1,770,392

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 17.0%  
 Prior meet actual: 16.4%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 2.42%  
 Prior meet actual: 2.34%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
- |                        |         |  |
|------------------------|---------|--|
| Current meet estimate: | 208,000 |  |
| Prior meet actual:     | 207,780 |  |
- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):
- |                                    |        |                    |
|------------------------------------|--------|--------------------|
| Recognized Horsemen's Organization |        |                    |
| Current meet estimate:             |        | Prior meet actual: |
| TOC                                | 18,156 | 19,455             |
| CTT                                | 27,235 | 29,183             |
| NTRA                               | 1,649  | 5,552              |
| Total                              | 47,040 | Total 54,190       |
- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
- |                        |           |
|------------------------|-----------|
| Current meet estimate: | 2,467,225 |
| Prior meet actual:     | 2,032,362 |
- Average Daily Purse (7 E ÷ number of days):
- |                        |         |
|------------------------|---------|
| Current meet estimate: | 352,461 |
| Prior meet actual:     | 290,337 |
- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
- |                        |           |
|------------------------|-----------|
| Current meet estimate: | 1,437,959 |
| Prior meet actual:     | 1,440,887 |
- Average Daily Purse (7 F ÷ number of days):
- |                        |         |
|------------------------|---------|
| Current meet estimate: | 205,423 |
| Prior meet actual:     | 205,841 |
- G. Purse funds to be generated from interstate handle:
- |                        |         |
|------------------------|---------|
| Current meet estimate: | 566,532 |
| Prior meet actual:     | 716,780 |
- Average Daily Purse (7 G ÷ number of days):
- |                        |         |
|------------------------|---------|
| Current meet estimate: | 80,933  |
| Prior meet actual:     | 102,397 |
- H. Bank and account number for the Paymaster of Purses' purse account: Bank of the West 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # On file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Bowen & McBeth Inc. 10722 Arrow Route, Suite 110 Rancho Cucamonga, CA 91730 (909) 944-6465 email – craigbmiller@verizon.net

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 850
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,300
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita--1,900; San Luis Rey Downs--400
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. The 2021 Southern California off-site Stabling Agreement is on file with CHRB

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$13.10
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita – \$180 round trip San Luis Rey Downs - \$320 round trip

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site at Los Alamitos & at all auxiliary training sites during all training hours. The private veterinarians are retained by the owners & trainers of horses stabled at Los Alamitos. The track veterinarian is Dr. Dana Steed (970) 310-1449. In the morning, the track veterinarian prechecks fifty percent of the horses entered on a particular day.

Veterinarians Available for Emergency Services  
Attachment for 9A

Vet	Phone	Name of Practice
<u>Los Alamitos:</u>		
Arthur, Rick	(626) 665-8130	UCD-SVM/CHRB
Baker, Vince	(714) 269-1301	Baker
Bergstrom, Thomas	(951) 522-0722	Equine Sports Medicine
Birch, Sarah	(614) 783-1237	Simpson/Dowd
Blea, Jeff	(626) 862-6149	Blea/Von Bluecher
Bradley, Sam	(310) 339-7666	Baker
Brokken, Todd	(626) 862-6198	Blea/Von Bluecher
Canfield, Cathy	(626) 372-4571	Baker
Equine Sports Medicine Pager	(714) 212-0200	Equine Sports Medicine
Grande, Tim	(626) 476-8743	LATC/CHRB
Hargrove, Nicola	(310) 617-6727	
Hunkin, Dawn	(626) 862-6150	Blea/Von Bluecher
Jorbiecki, Jocelyn	(949) 939-3547	Equine Sports Medicine
McCaughan, Mark	(562) 400-3298	
Overy, Rick	(714) 270-9425	Equine Sports Medicine
Schmidt, Stephanie	(561) 425-5401	Equine Sports Medicine
Stead, Dana	(970) 310-4449	Los Alamitos
Troncatty, Ashley	(818) 414-2040	Equine Sports Medicine
Von Bluecher, Helmuth	(626) 862-6147	
Warren, Herb	(714) 397-4206	
Wharton, Heather	(805) 218-8489	Blue
Wacoub, Anita	(951) 206-7496	Equine Sports Medicine
<u>San Luis Rey Downs (off-track training)</u>		
Benkins, Chuck	(970) 217-8132	Magrini
Bianco, Mike	(619) 871-4541	San Dieguito Equine Group
Magrini, Ron	(760) 880-1925	Magrini
Porubovich, Lindsay	(602) 290-0623	Magrini
Robinson, Brett	(818) 679-1114	San Dieguito Equine Group
<u>Santa Anita (off-track training)</u>		
Anderson, Elizabeth	(626) 808-2508	Shields
Barraujo, John	(626) 893-7612	Self
Blue, Melinda	(626) 233-9922	Blue
Channon, Laura	(626) 710-7281	Shields
Cherchler, Sabina	(626) 536-8080	
Coyne, Wade	(210) 245-7000	Baker
Carpenter, Ryan	(805) 320-4811	Baker
Dowd, Joe	(818) 400-7498	Simpson/Dowd
Finley, Jenn	(626) 422-6412	Blea/Von Bluecher
Shields, Don	(626) 485-0374	
Simpson, Ted	(818) 970-1536	
Steppe, Mike	(951) 318-7335	
Walsh, Karen	(951) 317-7935	

Thereafter, he observes horses when they are in the paddock, views them during the post parade, accompanies them to the starting gate and follows them during the race. Dr. Grande inspects the other fifty percent of the horses entered on a particular day. During the afternoon, Dr. Grande serves as the CHRB veterinarian and he is employed by the CHRB.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private veterinarians at Los Alamitos and all auxiliary training sites during all training, workout and racing hours. Note that the private veterinarians are not on any rotation specified by Los Alamitos because they are not employed by Los Alamitos. They instead come and go as needed by their clients (owners and trainers). However, offices at Los Alamitos as well as the offsite facilities at Santa Anita and San Luis Rey Downs have the telephone numbers of veterinarians who can be called in case of emergency.

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race:	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 0.10 SF	CHRB #1959, 1979, 1957, 1977, 1976.9, 1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$2PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 \$2PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3\$2DD 0.10 SF \$1 Super Hi5	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1, ARCI #004-105Subsection X Pick (n) Position (x) Pools)

- \*\$2 Rolling Daily Double. 20% takeout. Placement of last DD will depend on # of races.
- \*\$1 Trifecta on all eligible races. (CHRB 1979)
- \* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)
- \* Incoming simulcasts will follow host track format.
- \* \$2 PNP6 last six live races 70% carryover, 30% minor, alternate runner provision (CHRB 1976.9)
- \*\$1 PNP4 starting second race and last four races, alternate runner provision (CHRB 1976.9)
- \*\$0.50 PNP5 starting first race. 14% takeout. One tier payoff: 100% carryover
- \*\$1 Super High 5 in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. None
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: Unlimited, final distribution 7/5/2021
- D. List any options requested with regard to exotic wagering: None
- E. Will "advance" or "early bird" wagering be offered? Yes  No

If yes, when will such wagering begin? Specify days and time for “early bird” wagering:  
Each race day from 8:30 am to 10:00 am

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: AmTote-Keith Johnson, 11200 Pepper Rd, Hunt Valley MD 800 345-1566, expires August, 2025
- G. List below the takeout percentage for each type of wager identified in 10.A.:

**TAKEOUT PERCENTAGE**  
(Example) PNP5-14%

Win/Place/Show –15.43%, DD – 20.00%, E – 22.68%  
PNP5 – 14%, Tri/SF/PK3/PK4/PNP6/SuperHi5 – 23.68%

## 11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
XpressBet, TVG, Twin Spires, NYRABets
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. Attached  
If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Southern California Off-Track Wagering Inc. (SCOTWINC)
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
The SCOTWINC agreement is on file with CHR B
- B. California simulcast facilities the association proposes to offer its live audiovisual signal: Attached
- C. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
Attached
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
Attached



March 30, 2021

Mr. Jack Liebau  
Los Alamitos Racecourse  
4961 Katella  
Los Alamitos, CA 90720

RE: Los Alamitos Race Course (LARC) 2021 Summer Race Meeting ADW Approvals

Dear Jack,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Race Course (LARC) race signals under the terms and conditions set forth below.

Pursuant to Section 30, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and LARC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on LARC and other Thoroughbred races during the 2021 Summer race meeting, June 23 through July 6, 2021, as follows:

**1. California wagering on LARC races:**

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager and Game Play Network may deduct a Hub Fee of 4.1% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**2. California wagering on out-of-state Thoroughbred races:**

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the

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285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: GARY FENTON  
PRESIDENT & CEO: GREG AVIOLI  
EXECUTIVE DIRECTOR: MARY FORNEY  
ADMINISTRATIVE ASSISTANT: ELSA PERON

enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.

- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Watch and Wager and Game Play Network may deduct a Hub Fee of 4.1% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**3. Out-of-state wagering on LARC races:**

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

cc: Cathy Monji

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: Attached
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: Attached

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Satellites

Alameda County Fair, Pleasanton  
Antelope Valley Fair, Lancaster  
Big Fresno Fair, Fresno  
Cabazon Fantasy Springs Casino, Indio  
California State Fair & Expo, Sacramento  
Fairplex Park @ Barretts, Pomona  
Fresno Club One, Fresno  
Golden Gate Fields, Albany  
Hollywood Park Casino, Inglewood  
Humboldt County Fair, Ferndale  
Los Alamitos Racecourse, Los Alamitos  
Monterey County Fair, Monterey  
National Orange Show, San Bernardino  
Pechanga Resort Casino, Temecula  
San Bernardino County Fair, Victorville  
San Joaquin County Fair, Stockton  
San Mateo Event Center, San Mateo  
Santa Anita Park, Arcadia  
Santa Clara County Fair, San Jose  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Sports Pavilion, the Farmers Fair, Lake Perris  
Ventura County Fair, Ventura  
Viejas Casino & Turf Club, Alpine

Attachment 12.D.

AmWest Entertainment, KY  
Arapahoe Park, Colorado  
Arima Race Course, Trinidad  
Arizona Downs, Arizona  
Arlington International Race Course, Illinois  
Assiniboia Downs, Canada  
Atlantis Resort and Casino, Bahamas  
Bangor Raceway, Maine  
Barrie Raceway, Ontario  
Batavia Downs, New York  
Belterra Race Course, OH  
Bet America, LLC, North Dakota  
Bet Fair Games Limited, UK  
Bettor Racing OTB, South Dakota  
Birmingham Race Course, Alabama  
Bordertown OTB, Oklahoma  
Borgata Casino, New Jersey  
Buffalo Trotting Association, New York  
Bwin International, Ltd.  
Caliente (MIR), Mexico  
Camarero, Puerto Rico  
Canterbury Park, Minnesota  
Capital District OTB, New York  
Catskill Regional OTB, New York  
Caymanas Race Track, Jamaica  
Century Downs, Alberta, Canada  
Century Miles, Alberta, Canada  
Charles Town Races, West Virginia  
Chester Downs - Pennsylvania  
Churchill Downs, Kentucky  
Clinton Teletheatre, Canada  
Codere, Mexico  
Codere, Spain  
Columbus Raceway, Nebraska  
Connecticut OTB, Connecticut  
Corpus Christi Greyhound, Texas  
Coushatta Casino Resort, Louisiana  
Dayton Raceway, OH  
Divi Carina Bay Casino, Louisiana  
Delaware Park, Delaware  
Delta Downs, Louisiana  
Dover Downs, Delaware  
Downs at Albuquerque, New Mexico  
Ebet Online, Oregon  
Egg Harbor Turf Club, New Jersey  
Elite Turf Club, Curacao

Ellis Park, Kentucky  
Elmira Raceway, Ontario  
Equilottery, Kentucky  
Equus St. Thomas, St. Thomas  
Emerald Downs, Washington  
Euro Offtrack, Isle of Man  
Evangeline Downs, Louisiana  
Evansville/Clarksville OTB, Indiana  
Fairgrounds ADW, Louisiana  
Fairgrounds Racecourse, Louisiana  
Fair Meadows, Texas  
Favorites at Gloucester, New Jersey  
Favorites at Vineland, New Jersey  
Finger Lakes Bets, NY  
Finger Lakes Race Track, New York  
Flamboro Downs, Ontario  
Fonner Park, Nebraska  
Fort Erie Racetrack, Canada  
Foxwoods Casino, Connecticut  
Fraser Downs, British Columbia  
Freehold Raceway, New Jersey  
Gillespie County Downs, Texas  
Global Wagering Group, Germany  
Global Wagering Solutions, Germany  
Grand River, Canada  
Grants Pass Downs, Oregon  
Greentrack, Alabama  
Greyhounds at Post Falls, Idaho  
Gulf Greyhound, Texas  
Gulfstream Park, Florida  
Harrington Bets, Delaware  
Harrington Raceway, Delaware  
Hastings Park, Canada  
Hawthorne ADW, Illinois  
Hawthorne Race Course, Illinois  
Hiawatha Downs, Ontario  
Hipica de Panama, Panama  
Hipodromo Camarero, Puerto Rico  
Hipodromo Montericco, Peru  
Ho-Chuck Casino, Connecticut  
Hodge OTB, Connecticut  
Hoosier Park, Indiana  
Horsemen's Park, Nebraska  
Horseshoe Council Bluffs, Iowa  
Idaho Fall OTB, Idaho  
Idabet, Iowa

Attachment 12.D.

Indiana Downs, Indiana  
Inverness Raceway, Canada  
Iowa Greyhounds, Iowa  
Intermountain Racing, Idaho  
John Martins Manor, Maine  
Kawartha Downs, Ontario  
Keeneland, Kentucky  
Keeneland Select ADW, Kentucky  
Kentucky Downs, Kentucky  
Kentucky OTB, Kentucky  
Las Vegas Dissemination Company, Nevada  
Lewiston OTB, Maine  
Lien Games, North Dakota  
Lincoln Greyhound Park, Rhode Island  
Lone Star Race Park, Texas  
Louisiana Downs, Louisiana  
Magna Bet, Austria  
Mahoning Valley Race Course, OH  
Maronas Race Track, Uruguay  
Marquis Downs, Saskatchewan  
Maryland Jockey Club, Maryland  
Maywood Park, Illinois  
Meadowlands, New Jersey  
Meskwaki Casino, Oklahoma  
Miami Valley Gaming, Ohio  
Millennium Racing, Trinidad  
Mobile Greyhound Park, Alabama  
Mohegan Sun, Pennsylvania  
Mohawk Harness, Ontario  
Monmouth Park, New Jersey  
Montana OTB, Montana  
Montericco Simulcasting, Peru  
Monticello Raceway, NY  
Mountaineer Park, West Virginia  
Nassau Regional OTB, New York  
Nebraska State Fair Park, Nebraska  
Nevada Dissemination Services, Nevada  
Nevada Pari-Mutuel Association, Nevada  
New Jersey Mobile, New Jersey  
New Palace Casino, Mississippi  
New Zealand Racing Board, NZ  
NYRA, New York  
NYRA Bets, New York  
Newport Jai-Alai, Rhode Island  
Northfield Park, Ohio  
Northlands Park, Canada

Northville Downs, Michigan  
Nugget Casino, Mississippi  
Oaklawn Park, Arkansas  
Oaklawn Park ADW, Arkansas  
Ocean Downs, Maryland  
Offtrackbetting.com, Oregon  
Oneida Bingo and Casino, Oklahoma  
Ontario Teletheatre, Ontario  
Panama OTB Network, Panama  
PariBet ADW, North Dakota  
PariBet OTB, North Dakota  
Paragon Casino, Louisiana  
Penn National, Pennsylvania  
Penn National ADW, Pennsylvania  
PARX, Pennsylvania  
Peru OTB Network, Peru  
Phumelela, South Africa  
PARX ADW, Pennsylvania  
Picov Downs, Canada  
Pimlico Race Course, Maryland  
Plainridge Race Course, Massachusetts  
Plainridge ADW, Massachusetts  
Player Management Group, CA  
PMU Brazil, Brazil  
Pocono Downs, Pennsylvania  
Pocono Downs ADW, Pennsylvania  
Pojoaque Casino, Oklahoma  
Portland Meadows, Oregon  
Potawatomi Casino, Wisconsin  
Prairie Meadows, Iowa  
Premier Gateway International, S.A.  
Premier Turf Club, North Dakota  
Presque Isle Downs, Pennsylvania  
Racing and Gaming Services, St Kitts  
Remington Park, Oklahoma  
Retama Park, Texas  
Rideau Carleton Raceway, Canada  
Riders Up OTB, Oklahoma  
Rillito Park, Arizona  
Rockingham Park, New Hampshire  
Rocky Mountain Turf Club, Canada  
Rosecroft Raceway, Maryland  
Royal Beach Casino, St Kitts  
Ruidoso Downs, New Mexico  
Running Aces Harness Park, Minnesota  
Sam Houston Park, Texas

Attachment 12.D.

Saratoga Harness, New York  
Scarborough Downs, Maine  
Scarlet Pearl, Mississippi  
Scioto Downs, Ohio  
Seabrook Greyhound Park, NH  
Seabrook Greyhound Park ADW, NH  
Shoreline Star Greyhounds, Connecticut  
Skydancer Casino, Oklahoma  
Sol Mutuel, St Kitts  
Sol Mutuel Otrobanda, St Kitts  
Southland Greyhound Park, Arkansas  
Sports Center, Kentucky  
State Fair, Nebraska  
Suaposta ADW, Brazil  
Suffolk District OTB, New York  
Suffolk Downs, Massachusetts  
Sunland Park Racetrack, New Mexico  
Sun Ray Park, New Mexico  
TabCorp, Australia  
Tampa Bay Downs, Florida  
TattsBet, Australia  
Taunton Dog Track, New Hampshire  
Taunton Dog Track ADW, New Hampshire  
TBC Teletheatre, British Columbia  
The Greenbrier Resort, West Virginia  
The Meadows, Pennsylvania  
The Meadows ADW, Pennsylvania  
Thistledown, Ohio  
Tioga Downs, New York  
Tote Investment Services, Trinidad  
Tri-State Greyhound, West Virginia  
Truro Raceway, Canada  
TVG, Arizona  
TVG Chester, Pennsylvania  
TVG High Volume, Los Angeles  
TVG, Los Angeles  
TVG, Massachusetts  
TVG Prairie Meadows, Iowa  
Turf del Norte, Mexico  
Turf del Norte, Tribecta D.R.  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
TwinSpires, Kentucky  
TwinSpires High Volume, Kentucky  
US Offtrack, Florida

Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Victoryland Greyhounds, Alabama  
Virginia Equine Alliance, Virginia  
Watch and Wager, LLC, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Arizona  
XpressBet, Illinois  
XpressBet, (GWS)  
XpressBet, Pennsylvania  
Xpressbet, Southern Alberta  
Yonkers Raceway, New York  
Yonkers Empire City Bets, New York  
Zia Park, New Mexico  
123Gaming, Oregon

## Attachment 12.E.

AmWest Entertainment, KY  
Arapahoe Park, Colorado  
Arima Race Course, Trinidad  
Arizona Downs, Arizona  
Arlington International Race Course, Illinois  
Assiniboia Downs, Canada  
Atlantis Resort and Casino, Bahamas  
Bangor Raceway, Maine  
Barrie Raceway, Ontario  
Batavia Downs, New York  
Belterra Race Course, OH  
Bet America, LLC, North Dakota  
Bet Fair Games Limited, UK  
Bettor Racing OTB, South Dakota  
Birmingham Race Course, Alabama  
Bordertown OTB, Oklahoma  
Borgata Casino, New Jersey  
Buffalo Trotting Association, New York  
Bwin International, Ltd.  
Canterbury Park, Minnesota  
Capital District OTB, New York  
Catskill Regional OTB, New York  
Century Downs, Alberta, Canada  
Century Miles, Alberta, Canada  
Charles Town Races, West Virginia  
Chester Downs - Pennsylvania  
Churchill Downs, Kentucky  
Clinton Teletheatre, Canada  
Columbus Raceway, Nebraska  
Connecticut OTB, Connecticut  
Corpus Christi Greyhound, Texas  
Coushatta Casino Resort, Louisiana  
Dayton Raceway, OH  
Divi Carina Bay Casino, Louisiana  
Delaware Park, Delaware  
Delta Downs, Louisiana  
Dover Downs, Delaware  
Downs at Albuquerque, New Mexico  
Ebet Online, Oregon  
Egg Harbor Turf Club, New Jersey  
Elite Turf Club, Curacao  
Ellis Park, Kentucky  
Elmira Raceway, Ontario  
Equus St. Thomas, St. Thomas  
Emerald Downs, Washington  
Euro Offtrack, Isle of Man  
Evangeline Downs, Louisiana  
Evansville/Clarksville OTB, Indiana  
Fairgrounds ADW, Louisiana  
Fairgrounds Racecourse, Louisiana  
Fair Meadows, Texas  
Favorites at Gloucester, New Jersey  
Favorites at Vineland, New Jersey  
Finger Lakes Bets, NY  
Finger Lakes Race Track, New York  
Flamboro Downs, Ontario  
Fonner Park, Nebraska  
Fort Erie Racetrack, Canada  
Foxwoods Casino, Connecticut  
Fraser Downs, British Columbia  
Freehold Raceway, New Jersey  
Gillespie County Downs, Texas  
Global Wagering Group, Germany  
Global Wagering Solutions, Germany  
Grand River, Canada  
Grants Pass Downs, Oregon  
Greentrack, Alabama  
Greyhounds at Post Falls, Idaho  
Gulf Greyhound, Texas  
Gulfstream Park, Florida  
Harrington Bets, Delaware  
Harrington Raceway, Delaware  
Hastings Park, Canada  
Hawthorne ADW, Illinois  
Hawthorne Race Course, Illinois  
Hiawatha Downs, Ontario  
Hipica de Panama, Panama  
Hipodromo Camarero, Puerto Rico  
Hipodromo Montericco, Peru  
Ho-Chuck Casino, Connecticut  
Hodge OTB, Connecticut  
Hoosier Park, Indiana  
Horsemen's Park, Nebraska  
Horseshoe Council Bluffs, Iowa  
Idaho Fall OTB, Idaho  
Idabet, Iowa  
Indiana Downs, Indiana  
Inverness Raceway, Canada  
Iowa Greyhounds, Iowa  
Intermountain Racing, Idaho  
John Martins Manor, Maine  
Kawartha Downs, Ontario

Attachment 12.E.

Keeneland, Kentucky  
Keeneland Select ADW, Kentucky  
Kentucky Downs, Kentucky  
Kentucky OTB, Kentucky  
Las Vegas Dissemination Company, Nevada  
Lewiston OTB, Maine  
Lien Games, North Dakota  
Lincoln Greyhound Park, Rhode Island  
Lone Star Race Park, Texas  
Louisiana Downs, Louisiana  
Magna Bet, Austria  
Mahoning Valley Race Course, OH  
Maronas Race Track, Uruguay  
Marquis Downs, Saskatchewan  
Maryland Jockey Club, Maryland  
Maywood Park, Illinois  
Meadowlands, New Jersey  
Meskwaki Casino, Oklahoma  
Miami Valley Gaming, Ohio  
Millennium Racing, Trinidad  
Mobile Greyhound Park, Alabama  
Mohegan Sun, Pennsylvania  
Mohawk Harness, Ontario  
Monmouth Park, New Jersey  
Montana OTB, Montana  
Montericco Simulcasting, Peru  
Monticello Raceway, NY  
Mountaineer Park, West Virginia  
Nassau Regional OTB, New York  
Nebraska State Fair Park, Nebraska  
Nevada Dissemination Services, Nevada  
Nevada Pari-Mutuel Association, Nevada  
New Jersey Mobile, New Jersey  
New Palace Casino, Mississippi  
NYRA, New York  
NYRA Bets, New York  
Newport Jai-Alai, Rhode Island  
Northfield Park, Ohio  
Northlands Park, Canada  
Northville Downs, Michigan  
Nugget Casino, Mississippi  
Oaklawn Park, Arkansas  
Oaklawn Park ADW, Arkansas  
Ocean Downs, Maryland  
Offtrackbetting.com, Oregon  
Oneida Bingo and Casino, Oklahoma

Ontario Teletheatre, Ontario  
Panama OTB Network, Panama  
PariBet ADW, North Dakota  
PariBet OTB, North Dakota  
Paragon Casino, Louisiana  
Penn National, Pennsylvania  
Penn National ADW, Pennsylvania  
PARX, Pennsylvania  
Peru OTB Network, Peru  
Phumelela, South Africa  
PARX ADW, Pennsylvania  
Picov Downs, Canada  
Pimlico Race Course, Maryland  
Plainridge Race Course, Massachusetts  
Plainridge ADW, Massachusetts  
Player Management Group, CA  
PMU Brazil, Brazil  
Pocono Downs, Pennsylvania  
Pocono Downs ADW, Pennsylvania  
Pojoaque Casino, Oklahoma  
Portland Meadows, Oregon  
Potawatomi Casino, Wisconsin  
Prairie Meadows, Iowa  
Premier Gateway International, S.A.  
Premier Turf Club, North Dakota  
Presque Isle Downs, Pennsylvania  
Racing and Gaming Services, St Kitts  
Remington Park, Oklahoma  
Retama Park, Texas  
Rideau Carleton Raceway, Canada  
Riders Up OTB, Oklahoma  
Rillito Park, Arizona  
Rockingham Park, New Hampshire  
Rocky Mountain Turf Club, Canada  
Rosecroft Raceway, Maryland  
Royal Beach Casino, St Kitts  
Ruidoso Downs, New Mexico  
Running Aces Harness Park, Minnesota  
Sam Houston Park, Texas  
Saratoga Harness, New York  
Scarborough Downs, Maine  
Scarlet Pearl, Mississippi  
Scioto Downs, Ohio  
Seabrook Greyhound Park, NH  
Seabrook Greyhound Park ADW, NH  
Shoreline Star Greyhounds, Connecticut

Attachment 12.E.

Skydancer Casino, Oklahoma  
Sol Mutuel, St Kitts  
Sol Mutuel Otrobanda, St Kitts  
Southland Greyhound Park, Arkansas  
Sports Center, Kentucky  
State Fair, Nebraska  
Suaposta ADW, Brazil  
Suffolk District OTB, New York  
Suffolk Downs, Massachusetts  
Sunland Park Racetrack, New Mexico  
Sun Ray Park, New Mexico  
Tampa Bay Downs, Florida  
Taunton Dog Track, New Hampshire  
Taunton Dog Track ADW, New Hampshire  
TBC Teletheatre, British Columbia  
The Greenbrier Resort, West Virginia  
The Meadows, Pennsylvania  
The Meadows ADW, Pennsylvania  
Thistledown, Ohio  
Tioga Downs, New York  
Tote Investment Services, Trinidad  
Tri-State Greyhound, West Virginia  
Truro Raceway, Canada  
TVG, Arizona  
TVG Chester, Pennsylvania  
TVG High Volume, Los Angeles  
TVG, Los Angeles  
TVG, Massachusetts  
TVG Prairie Meadows, Iowa  
Turf del Norte, Mexico  
Turf del Norte, Tribecta D.R.  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
TwinSpires, Kentucky  
TwinSpires High Volume, Kentucky  
US Offtrack, Florida  
Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Victoryland Greyhounds, Alabama  
Virginia Equine Alliance, Virginia  
Watch and Wager, LLC, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Arizona  
XpressBet, Illinois  
XpressBet, Pennsylvania  
Xpressbet, Southern Alberta  
Yonkers Raceway, New York  
Yonkers Empire City Bets, New York  
Zia Park, New Mexico  
123Gaming, Oregon

Mini-Satellites

M1. Commerce Casino  
6131 East Telegraph Road  
Commerce, CA 90040  
Phone: (323) 803-3693  
Contact: Edgar Wong

M2. OC Tavern  
2369 South El Camino Real  
San Clemente, CA 92672  
Phone (949) 542-8877  
Contact: Micheal Merrigan

M3. Santa Maria  
Original Roadhouse Grill  
1423 S. Bradley Rd.  
Santa Maria, CA 93454  
Phone: (805) 614-0586  
Contact John Tintle

M4. Santa Clarita Lanes  
21615 W. Soledad Canyon Rd.  
Saugus, CA 93454  
Phone: (661) 254-0540  
Contact: Tom Cristi

M5. Sammy's Original  
23221 Lake Center Drive  
Lake Forest, CA 92630  
Phone: (949) 716-2367  
Contact: Pete Genovese

M6. Tiled Kilt  
1345 E. Thousand Oaks Blvd.  
Thousand Oaks CA. 91362  
Phone: (805) 777-7418  
Contact: Robert Haupt

M7. Ocean's 11 Casino  
121 Brooks Street  
Oceanside, CA 92054  
Phone: (888) 439-6988  
Contact: Steve Gallagher

*Glen Arden Club  
357 Arden Ave.  
Glendale, Calif  
Phone (619) 241-3689  
Kiko Zennedjian*

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**M8. Firehouse Restaurant**  
7701 White Avenue  
Bakersfield, CA 93313  
PH: 661-831-4688  
Contact: Russ Johnson

**M9. Lake Elsinore Casino**  
20930 Malaga Road  
Lake Elsinore, CA 92530  
PH: 951-674-5160  
Contact: Pat Wilmes

**M10. 58 Flat**  
4110 Bonita Road  
Bonita, CA 91902  
PH: 619-884-1291  
Contact: Denny Kanakaris

**M11. The Derby Room**  
3230 Hamner Avenue  
Norco, CA 92860  
PH: 951-692-3572  
Contact: Jim Baerwald

**Thoroughbred tracks conducting racing  
during the Los Alamitos Summer Meet**

(June 23 through July 6, 2021)

**Please note track listings on this page reflect available information through March 16, 2021**

Arlington	Hastings (June 26,27, July 1,4)
Ajax Downs	Hollywood Charles Town
Arapahoe	Indiana Grand
Assiniboia	Jerome Fair on June 27
Belmont	Laurel Park
Belterra	Lethridge
Canterbury	Lone Star
Century Mile	Louisiana Downs
Churchill Downs thru June 26	Mountaineer – 4 p.m. 1 <sup>st</sup> Post
Delaware Park	Monmouth Park
Ellis Park	Penn National
Emerald Downs	Pleasanton
Energy Downs thru June 27	Parx Racing
Evangeline	Pocatello on June 30
Fair Meadows	Prairie Meadows
FanDuel (AKA Fairmount Park)	Ruidoso Downs – (Mixed-Breeds)
Finger Lakes	Tampa Bay Downs thru June 30
Fort Erie (June 28,29, July 5,6)	Thistledowns
Gillespie (Mixed-Breeds July 3-4)	Woodbine
Grants Pass (Mon. & Tues.)	Wyoming (Mixed Breeds)
Gulfstream Park	

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Board of Directors  
 Los Alamitos Racing Assn.  
 4961 Katella Ave  
 Los Alamitos, CA 90720
  
- B. Names and addresses of the trustees or directors of the distributing agent:  
 Board of Directors  
 Los Alamitos Racing Assn.  
 4961 Katella Ave  
 Los Alamitos, CA 90720
  
- C. Dates the association will conduct races as charity racing days OR:
  
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:  
 Association Veterinarian(s) Dr. Dana Steed, DVM  
 Clerk of Scales Charles McCaul  
 Clerk of the Course – Dawn Schmid  
 Film Specialist – Board of Stewards  
 Horse Identifier – Jennifer Paige  
 Horseshoe Inspector – Victor Tovar  
 Paddock Judge – Karen Denovel  
 Patrol Judges – Heather Correra, Karen Denovel  
 Placing Judges – Don August, Randy Valdez  
 Starter – Gary Brinson  
 Timer – Russ Hudak

- B. Management officials in the racing department:  
 Director of Racing – Robert Moreno  
 Racing Secretary – Robert Moreno  
 Assistant Racing Secretary – Kevin Colosi  
 Paymaster of Purses – Dawn Jackson  
 Others (identify by name and title)
  
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
 Weinstein Court Reporters  
 P O Box 26634  
 Santa Ana, CA 92799  
 (949) 637-6071  
 Mderieg@weinsteincourtreporters.com
  
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
 Plusmic Corp, USA Bill O’Brien  
 Service contract expires 12/31/2021
  
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
 Pegasus Communications, Jim Porep, President  
 16633 Ventura Blvd Suite 1010 Encino, CA 91436  
 Service contract expires 5/31/2022  
  
 1 camera end of homestretch  
 1 camera end of backstretch  
 1 camera coming out of final turn  
 4 pan cameras covering entire track
  
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Electronic timing devices are owned and operated by Los Alamitos Race Course

**15. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Cathy Monji, Chief of Security (714) 820-2660/frontside (714) 820-2832/backside. The Organization Plan is attached.
  
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:  
  
 1 Chief of Security, 1 Stable Security Manager, 5 Gatemen, 3 Investigators, 20 Security Guards  
  
 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Attached

## Organizational Chart

### LOS ALAMITOS RACE COURSE SECURITY DEPARTMENT

DIRECTOR OF SECURITY	CATHY MONJI	OFFICE (714) 820-2810 CELL (949) 355-2203
LIEUTENANT	LUIS RIVAS	OFFICE (714) 820-2663 CELL (626) 675-3663
SERGEANT-DAY SHIFT	FRANK WOZNAK	OFFICE (714) 820-2661 CELL (562) 275-5373
SERGEANT-DAY STABLE	FERNANDO GOMEZ	OFFICE (714) 820-2830 CELL (951) 768-7094
SERGEANT-NIGHT STABLE	JOSE ALVAREZ	OFFICE (714) 820-2830 CELL (714) 495-0954
DAY SHIFT SECURITY OFFICERS	LUIS RIVAS, JR. GUILLERMO CHAVEZ JOSE MURILLO BUNTHOEUN SOS	DESK/DISPATCH MAINLINE CLUBHOUSE MEZZ
NIGHT SHIFT OFFICERS	JACKIE DIXON HENRY CHAMBERS GABRIEL CASTELLANOS	MAINLINE PADDOCK
STABLE AREA OFFICERS	JORGE AVINA CESAR FRANCO JOSE ALVAREZ	NIGHT STABLE GRAVEYARD SPLIT SHIFT
OFF HOUR OFFICERS STABLE GATE	KENNETH GRANT APRIL DUDONE RONNIE WHITE CHERI WILLETT ARTURO SANDOVAL SHARRIL JANISKY MARCO AVINA BENJAMIN GARCIA	GRAVEYARD SWING GATE DAY WEST GATE SPLIT SHIFT NIGHT WEST GATE GRAVEYARD GATE DAY GATE MAIN GATE

Los Alamitos Racing Association  
STAKES SECURITY PROGRAM

For stakes races with purses of \$100,000 or more Los Angeles County Fair will follow the guidelines set forth in our Race Meet Agreement with the Thoroughbred Owners of California.

For stakes race of more than \$100,000, the Fair will provide security personnel equipped with video cameras to be stationed in the barn of each trainer with a horse in that stakes. The security personnel will be stationed in the designated barn no less than 6 hours prior to post time and will accompany the horse to the receiving barn.

These additional personnel will be under the direction and supervision of the Los Alamitos Chief of Security.

In addition, 24 hours prior to the running of any graded stakes race with a purse of \$200,000 or more Los Angeles County Fair will assign two additional security guards to the barn area with the specific instructions to monitor the barns of trainers with horses entered in that stakes.

- 2. Detention Stalls:
  - A. Attach a plan for use of graded stakes or overnight races. Attached
  - B. Number of security guards in the detention stall area during a 24-hour period.  
No detention stall area
  - C. Describe number and location of surveillance cameras in detention stall area. N/A

- 3. TCO2 Testing:
  - A. Number of races to be tested, and number of horses entered in each race to be tested.  
CHRB directives will be followed
  - B. Plan for enhanced surveillance for trainers with high-test results.  
CHRB directives will be followed
  - C. Plan for detention stalls for repeat offenders.  
CHRB directives will be followed
  - D. Number of security personnel assigned to the TCO2 program.  
CHRB directives will be followed

- C. Describe the electronic security system:  
Los Alamitos does not have an electronic security system
  - 1. Location and number of video surveillance cameras for the detention stall and stable gate.  
Los Alamitos does not have video surveillance in these locations
- D. For night racing associations. Describe emergency lighting system: n/a

**16. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:  
Huntington Ambulance Service  
P O Box 145  
Sunset Beach, CA 90742  
Track (714) 820-2845
  - 1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
Attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
San Luis Rey Training Center:  
Mercy Medical Transportation Inc.  
2538 Old San Pasqual Road  
Escondido, CA 92027  
Richard Roesch - (760) 525-2688

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

March 24, 2021

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President  
Huntington Ambulance, LLC

# MERCY

*Medical  
Transportation  
Inc.*

Valley Center Office  
27350 Valley Center Road  
Valley Center, CA 92082  
(760) 751-9797 – Office  
(760) 751-8880 – Fax

March 29, 2021

California Horse Racing Board  
1010 Hurley Way  
Sacramento, CA 95825

RE: Ambulance Certification Letter

Mercy Medical Transportation, Inc. only employs Paramedics and Emergency Medical Technicians (EMTs) that are licensed in the State of California and the County of San Diego. All our Paramedics and EMTs undergo a comprehensive interview and skills assessment, drug assessment, and all employees are run through a Department of Justice (DOJ) background investigation, along with OIG, System for Award Management (SAM) and Medi-Cal as required by San Diego County Emergency Medical Services.

Mercy Medical Transportation, Inc., also participates in the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., has created a thorough and dynamic Quality Assurance / Quality Improvement Program, which places high standards on emergency services best practices in the areas of personnel training, equipment familiarization, and quality patient care and customer service.

Should you require any additional information, please do not hesitate to contact me.

Sincerely Yours,



Richard F Roesch  
President  
Mercy Medical Transportation, Inc.  
Phone: 760-525-2688  
Email: rick@mercymedtrans.com

Santa Anita:  
 Symons Ambulance  
 18592 Cajon Blvd.  
 San Bernardino, CA 92407  
 Juan Espinoza (626) 222-4236

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached
- C. Describe the on-track first aid facility, including equipment and medical staffing: Contracted track physician; Oxygen equipment; blood pressure monitoring; backboard; cervical collar; 3 patient beds
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**If quarter horse racing association sees D.1.:** Dr. Michael Morris ( 714) 820-2710
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- Los Alamitos Medical Center  
 3571 Katella Ave.  
 Los Alamitos, CA 90720  
 (562) 598-1311
- Long Beach Memorial Hospital (Certified Trauma Center)  
 2801 Atlanta Ave  
 Long Beach, CA 90806  
 (562) 933-2000
- UC Irvine Medical Center (certified trauma center)  
 101 The City Drive  
 Orange, CA 92868  
 (714) 456-7890
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
 Frank Sherren, manager, Juan Ramos, assistant manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
 Self-insured through Finish Line Self Insurance Group  
 Group Certificate # 4524-001



Friday March 29, 2021

To: Paige Richard

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Ryan Merchant  
Director of Operations  
(951) 880-6263  
rmerchant@symonsambulance.com

# Los Alamitos Race Course

## Medical Emergency

### Response Procedures

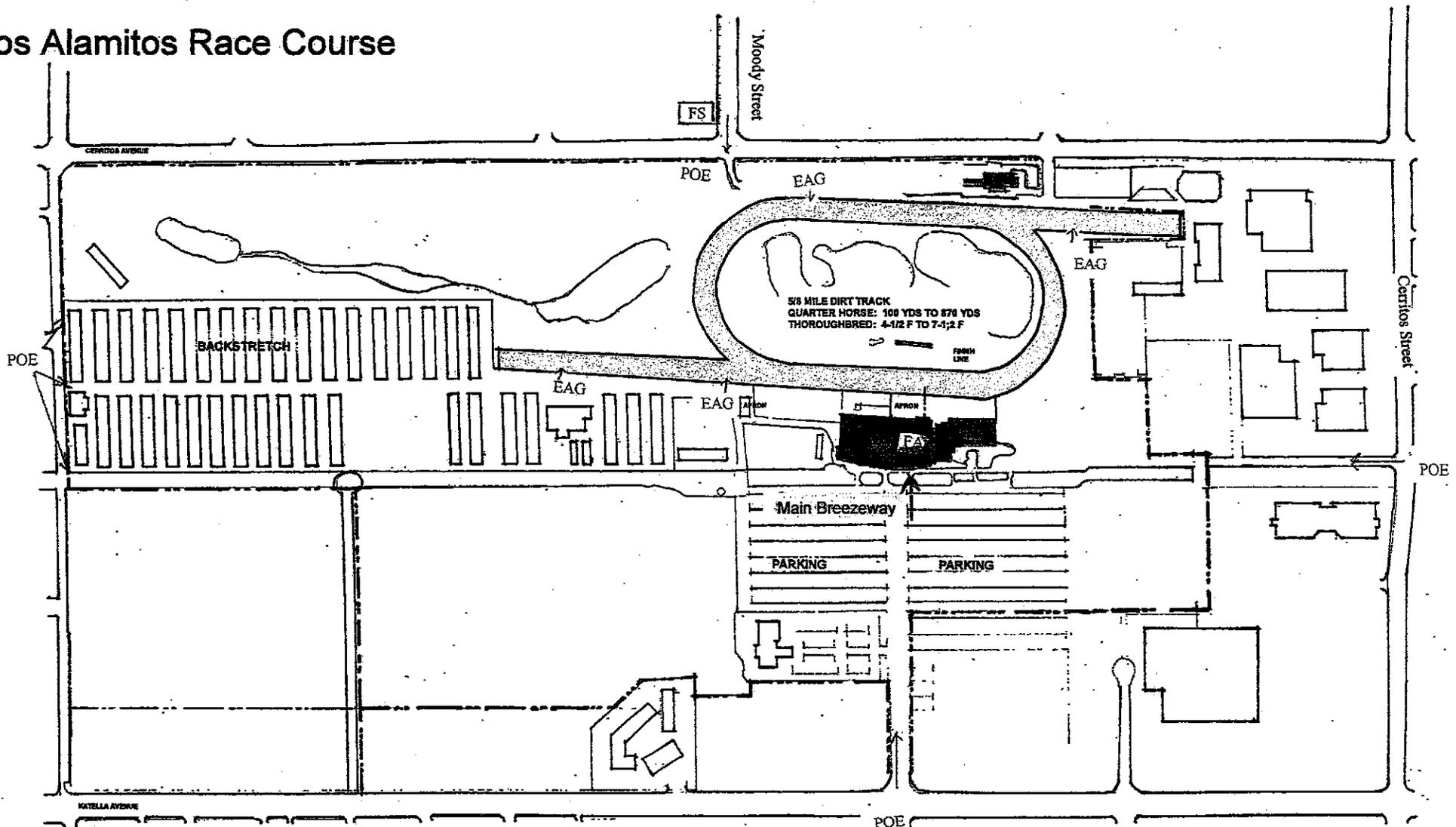
Los Alamitos Race Course

4961 Katella Ave.

Los Alamitos, CA 90720

714-820-2800

# Los Alamitos Race Course



- Legend:**
- Emergency Access Gate EAG
  - Fire Station FS
  - First Aid Location FA
  - Race Track of Entry POE

- Emergency Phone Numbers**
- Security Dispatch Desk (714) 820-2660
  - Security Captain Desk (714) 820-2663 cell (714) 713-7728
  - LARC Main Number (714) 820-2800
  - Emergency Response 911

# Los Alamitos Race Course

## Medical Support Overview

### Morning Workouts

- One BLS unit staffed with two licensed EMT's on site during all morning training hours

### Race Nights

- Two BLS units staffed with four licensed EMT's on site during all race hours
- Paramedic backup provided by Orange County Fire Station 17 located 100 yards from back gate access to racetrack
- Los Alamitos Medical Center with 24-hour Emergency Room located 1.1 miles from Los Alamitos Race Course
- Long Beach Memorial Hospital – Level One Trauma Facility (10.3 miles)
- University of California Irvine Hospital – Level One Trauma Facility (18.2 miles)

**Los Alamitos Race Course**  
**Medical Emergency Procedures**  
**Medical Support Information**

**Huntington Ambulance, LLC**

Contact Information – Stacey O’Bryan 714-325-0363 or Todd Jones 714-325-0382

**Healthpoint Industrial Clinic**

7052 Orangewood Ave. #6  
Garden Grove, CA 92841  
714-903-1100

**Finish Line Self Insurance Group (Employee Workers’ Comp provider)**

714-820-2743

**Security Communications Desk**

Ext. 2660

**Los Alamitos Medical Center**

3751 Katella Ave.  
Los Alamitos, CA 90720  
562-598-1311

**University of California Irvine Medical Center**

101 City Dr. south  
Orange, CA 92868  
714-456-7890

**Long Beach Memorial Medical Center**

2801 Atlantic Ave.  
Long Beach, CA 90806  
562-933-2000

## **Los Alamitos Race Course**

### **Horse/Rider Down On-Track Morning Workout**

#### **Emergency Response Protocol**

##### **Radio Communications**

- Ambulance Crew / Outriders / Starting Gate / Clocker / Horse Ambulance – Channel 2
- Security for Paramedic response – Channel 1

##### **Initial Procedures Taken for Horse/Rider Down**

- Outrider notifies track personnel via radio – Channel 2
- Outrider and/or track adjacent security gate activate track warning lights. Both outriders and security gate have warning light switches
- Outriders respond to location of downed or loose horse to control accident scene
- Ambulance crew responds to accident scene after observing incident or being notified by outrider call
- Clocker makes announcements over track PA system warning of incident on track
- Assistant starters and outriders assist in deterring horse traffic away from incident scene
- Assistant starters stop loading horses into gate and stop horses from accessing track
- Security personnel at track adjacent gate halt access to track
- If necessary outrider notifies security to contact main stable to request veterinarian response to incident scene

##### **EMT Procedures**

- Respond to incident scene
- EMT's make initial assessment of rider injuries
- If transport is required EMT's notify outrider and security communications desk via radio
- If transfer to Paramedic unit is required EMT's notify security communications desk. Communications contacts Emergency Services Dispatch that ambulance is moving to pre-designated transfer location at the back racetrack gate located directly adjacent to City of Cypress Fire Station 17
- If ambulance crew makes direct transport to closest hospital, track is shut down until ambulance returns

## Los Alamitos Race Course

### Race Night Accident Protocol

#### On Track Jockey Accident

##### Human Ambulance Crew

- If a jockey goes down during a race the ambulance Crew will immediately assess the situation with the first priority to keep the injured jockey down and still.
- Following EMT training procedure, the ambulance crew will make an initial assessment of the injured jockey's airway, breathing and circulation.
- If Paramedic assistance is not required, but transport to a hospital is required, EMT crew will stabilize any fractures, take a full set of vitals, notify security communications via radio that transport is required.
- Security communications desk will notify hospital of upcoming transport and report back to ambulance crew via radio.
- EMT crew will stabilize injured jockey on backboard and transport via the north gate onto Cerritos Avenue.
- Ambulance crew will have the ability to contact nearest hospital via cell phone.
- When first ambulance crew departs track, on site backup ambulance crew will assume priority duties until return of first ambulance crew.
- If after making initial evaluation of injured jockey it is determined by EMT crew that Paramedic assistance is required they will notify Security communications desk. Security communications desk will immediately notify dispatch that Paramedic assistance is needed.
- After notifying Security communications desk, EMT crew will complete evaluation of airway, breathing and circulation; stabilize any fractures; check all vital signs; stabilize injured jockey on backboard and transport to pre-designated transfer location at the north gate directly adjacent to Fire Station 17.
- The following criteria will be followed to request Paramedic assistance.
  - Respiration less than 12 or greater than 30
  - Pulse less than 50 or greater than 130
  - Systolic blood pressure less than 90
  - Any open injury to skull
  - Penetrating injury to the neck, chest, abdomen, back or groin
  - Closed head injury involving sustained GCS less than 12, unequal pupils, weakness in one or more extremities
  - Suspected spinal cord injury
  - Flail chest
  - Bilateral femur fractures
  - Unstable pelvic fractures
  - Unmanageable airway or full arrest

### **Outriders / Assistant Starters**

- Outrider will be notified of jockey related accident either by observing accident or being notified by Stewards of accident
- Outrider will respond to accident scene with first priority being to make sure the area is safe from any loose or injured horses
- If Horse Ambulance is required Outrider will notify Stewards. Stewards will call Horse Ambulance via radio or at ext. 2836
- Assistant starters will respond to accident scene to assist in securing the area from any loose or injured horses
- Assistant starters will assist track veterinarian in handling any injured horses
- Should the injury to the horse be severe, Assistant Starters will make their best effort to shield the view of the public by using the screen attached to the Horse Ambulance
- Assistant Starters will assist in loading any injured horse into the Horse Ambulance under the supervision of the track veterinarian

### **Horse Ambulance**

- Horse Ambulance driver will be stationed on site during all racing hours and can be contacted via radio or at ext. 2828
- The Horse Ambulance is staged directly adjacent to main racetrack entry gate during all racing hours
- Ambulance driver is stationed at Horse Ambulance during each race.
- Once notified of an accident requiring Horse Ambulance, driver will immediately enter the track and proceed to accident scene staying as close to the outside rail as possible
- Once on the accident scene, Horse Ambulance driver will follow the instructions of the track veterinarian

### **Accidents Involving Multiple Jockeys / Horses**

- As Los Alamitos always has two fully staffed BLS Ambulances on duty during all racing hours, should there be an incident involving two or more horses/jockeys the first ambulance crew which follows the races will assess the situation and call for the backup ambulance to respond to the accident scene if necessary.
- Both of the BLS Ambulance units can transport two patients, but if additional transport support is required the ambulance crew will notify the Security Communications desk and request the necessary Paramedic support.
- The injury protocol followed in single injury incidents will be followed in any multiple injury accident.
- The Horse Ambulance procedures will be followed as in a single horse injury incident. The Los Alamitos Horse Ambulance can transport two injured horses at a time. Should additional transportation be needed, a specially equipped backup Horse Ambulance is on site at all times and will be used at the direction of the track Veterinarian.

## **Los Alamitos Race Course**

### **Emergency Protocol**

#### **Human / Horse Ambulance in Paddock (Race Night)**

##### **EMT Coverage of Paddock Area**

- During the saddling of horses in the paddock on race nights one of the two ambulance crews will be stationed directly adjacent to the paddock / walking ring to respond to any incident
- If there is an incident in the paddock it will be the responsibility of the Paddock Judge to direct all horses and spectators safely away from the incident scene
- Security guard assigned to paddock area will notify stewards and security communications desk of incident
- If there are horses already on the track for an upcoming race, the Stewards will notify the Starter to hold horses behind the gate until situation is resolved
- EMT's will assess any injury and will make the decision if transport is necessary
- If transport is necessary the on site backup ambulance unit and EMT crew will remain on scene to provide ambulance coverage for upcoming race

##### **Horse Ambulance Coverage of Paddock Area**

- If there is an incident in the paddock requiring the Horse Ambulance, the security officer assigned to the paddock area will notify the Horse Ambulance driver via radio or at ext. 2836 and the Stewards at ext. 2783
- It will be the duty of the paddock Judge to direct the other horses and spectators away from the injured horse
- The Stewards will notify the Starting Gate of the incident. Assistant starters will be dispatched to the paddock to assist loading the injured into the Horse Ambulance
- If there are horses on the track for an upcoming race they will be held behind the Starting Gate until the Horse Ambulance exits the track

**Los Alamitos Race Course  
Accident/ Injury Procedures  
Patron Injury**

**Patron Injury / Non Live Racing Hours**

- If a patron is injured the Security Communications desk is notified immediately at ext. 2660. The specific location of the incident is reported and the Security Communications directs on site security personnel to the location.
- Security personnel confirm with patron that they request medical assistance. If patron is unable to respond, security personnel request medical assistance.
- If patron requests medical assistance or if patron is unable to respond, Security Communications desk calls for Paramedic assistance.
- Paramedics responding to patron assistance are pre instructed to enter Los Alamitos Race course through main breezeway adjacent to Security Office. If injured patron is mobile Paramedics will meet patron at Security Office. If patron is not mobile, Security personnel will escort Paramedics to patron location.
- Paramedics will make determination to transport patron to a hospital for treatment.

**Patron Injury / Live Racing Hours**

- The backup EMT Ambulance Crew is stationed at the Los Alamitos First Aid Station during live racing hours
- Patrons with minor medical complaints are directed to First Aid Station where EMT crew can check vital signs and advise on additional treatment such as seeing personal doctor, going to emergency room or medical clinic
- Patrons in need of immediate additional care are kept in First Aid Station while Paramedic assistance is requested through the Security Communications Desk.
- Patrons who are not mobile are assisted by EMT crew at location of incident while Paramedic assistance is requested through Security Communications desk.

## **Los Alamitos Race Course**

### **Medical Emergency Procedures**

#### **Employee Injury**

- All employee injuries should immediately be reported to Security Communications Desk
- If available, employee's department supervisor will be notified and will respond to injured employee along with Security Department personnel
- If employee's department supervisor is not available, available members of racetrack administration staff will respond to location
- If injury appears life threatening, the Security Communications desk is directed to call for Paramedic assistance. Paramedics are directed to respond to the Main Breezeway located adjacent to the Security Office.
- If the injury is not serious and it is deemed safe to do so, employee will be transported to the Healthpoint Industrial Clinic for treatment.

# **Los Alamitos Race Course Emergencia Médica Procedimientos de Respuesta**

Los Alamitos Race Course  
4961 Katella Ave.  
Los Alamitos, CA 90720  
(714) 820-2800

**Los Alamitos Race Course**  
**Descripción General de Soporte Médico**

**Entrenamientos Matutinos**

- Una unidad de BLS con dos EMTS con licencia en el sitio durante todas las horas de capacitación de la mañana

**Noches de Carrera**

- Dos unidades BLS con cuatro EMTS con licencia en el lugar durante todas las horas de carrera
- Respaldo paramédico proporcionado por la estación de bomberos 17 de Orange County ubicada a 100 yardas del acceso de la puerta trasera al hipódromo
- Los Alamitos Medical Center con sala de emergencias las 24 horas ubicado a 1.1 millas de Los Alamitos Race Course
- Long Beach Memorial Hospital – Level One Trauma Facility (10.3 millas)
- University of California Irvine Hospital – Level One Trauma Facility (18.2 millas)

**Los Alamitos Race Courses  
Procedimientos de Emergencia Médica  
Información de Asistencia Médica**

**Huntington Ambulance, LLC**

Información del contacto – Stacey O’Bryan (714) 325-0363 o Todd Jones (714) 325-0382

**Healthpoint Industrial Clinic**

7052 Oranewood Ave. #6  
Garden Grove, CA 92841  
(714) 903-1100

**Finish Line Self Insurance Group (proveedor de compensación laboral para empleados)**

(714) 820-2743

**Escritorio de Comunicaciones de Seguridad**

Ext: 2660

**Los Alamitos Medical Center**

3751 Katella Ave.  
Los Alamitos, CA 90720  
(562) 598-1311

**University of California Irvine Medical Center**

101 City Dr. South  
Orange, CA 92868  
(714) 456-7890

**Long Beach Memorial Medical Center**

2801 Atlantic Ave.  
Long Beach, CA 90806  
(562) 933-2000

**Los Alamitos Race Course**  
**Entrenamiento Matutino a Caballo/Jinete en la Pista**

**Comunicaciones por Radio**

- Equipo de Ambulancia / Outriders / Starting Gate/ Clocker / Ambulancia de Caballos– Channel 2
- Seguridad para la Respuesta de los Paramédicos – Channel 1

**Procedimientos Iniciales Tomados para Caballos o Jinetes**

- Outrider notifica al personal de la pista por radio – Channel 2
- Outrider / Puerta de Seguridad activar luces de advertencia de pista. Tanto los Outriders / Puerta de Seguridad tienen interruptores de luz de advertencia de riel
- Outriders responden a la ubicación del caballo caído o suelto para controlar la escena del accidente
- El personal de la ambulancia responde a la escena del accidente después de observar el incidente o de ser notificado por la llamada de un acompañante
- Clocker hace anuncios a través del sistema de megafonía de la pista advirtiendo de un incidente en la pista
- Los asistentes de arranque dejan de cargar caballos en la puerta y evitan que los caballos accedan a la pista
- El personal de seguridad en la vía adyacente a la puerta detenga el acceso a la vía.
- Si es necesario, el outrider notifica al personal de seguridad para que se comunique con el establo principal para solicitar la respuesta del veterinario a la escena del incidente

**EMT Procedimientos**

- Responder a la escena del incidente
- Hacer una evaluación inicial de las lesiones del ciclista
- Si se requiere transporte, EMT's notifique al escolta y al mostrador de comunicaciones de seguridad por radio
- Si se requiere transferencia a la unidad de Paramédicos, EMTs notifique al mostrador de comunicaciones de seguridad.
- Contactos de comunicaciones servicios de emergencia dsipatch que una ambulancia se está moviendo a la ubicación de transferencia pre-designada en la puerta trasera del hipódromo, ubicada directamente junto a la Estación de Bomberos de la Ciudad de Cypress 17.
- Si el equipo de la ambulancia realiza transporte directo al hospital más cercano, la vía se cierra hasta que regrese la ambulancia

**Los Alamitos Race Course**  
**Protocolo de Accidentes de la Noche de Carrera**

**Accidente de Jinete en Pista**

**Equipo de Ambulancia Humana**

- Si un jinete cae durante una carrera, el equipo de ambulancia evaluará inmediatamente la situación con la primera prioridad para mantener al jinete lesionado abajo y quieto.
- Siguiendo el procedimiento de entrenamiento EMT, el equipo de ambulancia hará una evaluación inicial de las vías respiratorias, respiración y circulación de los jinetes lesionados
- Si no se requiere la asistencia de un paramédico, pero se requiere transporte a un hospital, el personal de EMT estabilizará cualquier fractura, tomará un conjunto completo de signos vitales, notificará a las comunicaciones de seguridad por radio que se requiere transporte
- El mostrador de comunicaciones de seguridad notificará al hospital sobre el próximo transporte e informará al personal de la ambulancia por radio
- El equipo de EMT estabilizará al jinete lesionado en el tablero y el transporte a través de la puerta norte hacia Cerritos Avenue
- El personal de la ambulancia podrá comunicarse con el hospital más cercano a través de un teléfono celular.
- Cuando el primer equipo de ambulancia sale de la pista, el equipo de ambulancia de respaldo en el sitio asumirá las tareas prioritarias hasta el regreso del primer equipo de ambulancia
- Si después de hacer la evaluación inicial del jinete lesionado, el equipo de EMT determina que se requiere la asistencia de un paramédico, lo notificarán al Mostrador de Comunicaciones de Seguridad.
- Después de notificar a la mesa de comunicaciones de seguridad, el personal de EMT completará la evaluación de la respiración y la circulación de las vías respiratorias; estabilizar cualquier fractura; comprobar todos los signos vitales; estabilizar al jinete lesionado en el tablero y transportarlo a la ubicación de transferencia previamente designada en la puerta norte directamente adyacente a la estación de bomberos 17
- Se seguirán los siguientes criterios para solicitar la asistencia de un paramédico:
  - Respiración menor de 12 o mayor de 30
  - Pulso menor de 50 o mayor de 130
  - Presión arterial sistólica inferior a 90
  - Cualquier herida abierta en el cráneo
  - Lesión penetrante en el cuello, el pecho, el abdomen, la espalda o la ingle
  - Traumatismo craneoencefálico cerrado que involucra GCS sostenido menor de 12, pupilas desiguales, debilidad en una o más extremidades
  - Sospecha de lesión de la médula espinal
  - Cofre inestable

- Fracturas bilaterales de femur
- Fracturas pélvicas inestables
- Vía aérea inmanejable o paro total

### **Outriders / Asistentes de Arranque**

- El Outrider será notificado del accidente relacionado con el jinete, ya sea observando el accidente o siendo notificado por los Stewards del accidente.
- El Outrider responderá a la escena del accidente siendo la primera prioridad asegurarse de que el área esté a salvo de caballos sueltos o heridos.
- Si se requiere una ambulancia para caballos, Outrider notificará a los comisarios. Los comisarios llamarán a Horse Ambulance por radio o al ext. 2836
- Los asistentes de arranque responderán a la escena del accidente para ayudar a proteger el área de cualquier caballo suelto o herido
- Los asistentes de arranque ayudarán al veterinario de pista a manejar cualquier caballo lesionado
- En caso de que la lesión del caballo sea grave, los asistentes de arranque harán su mejor esfuerzo para proteger la vista del público mediante el uso de la pantalla adjunta a la ambulancia para caballos
- Los asistentes de arranque ayudarán a cargar cualquier caballo lesionado en la ambulancia para caballos bajo la supervisión del veterinario de la pista

### **Ambulancia para Caballos**

- El conductor de la ambulancia para caballos estará estacionado en el lugar durante todas las horas de carrera y se puede contactar por radio o en la ext. 2828
- La ambulancia para caballos está ubicada directamente junto a la puerta de entrada del hipódromo principal durante todas las horas de Carrera
- El conductor de la Ambulancia está estacionado en la Ambulancia para Caballos durante cada Carrera
- Una vez notificado de un accidente que requiere Ambulancia a Caballo, el conductor ingresará inmediatamente a la vía y procederá al lugar del accidente permaneciendo lo más cerca posible del riel exterior
- Una vez en la escena del accidente, el conductor de la Ambulancia a Caballo seguirá las instrucciones del veterinario de la pista

### **Accidentes Que Involucran a Varios Jinetes / Caballos**

- Como Los Alamitos siempre tiene dos Ambulancias BLS con personal completo en servicio durante todas las horas de carrera, en caso de que haya un incidente que involucre a dos o más caballos / jinetes, el primer equipo de ambulancia que sigue a las carreras evaluará la situación y llamará a la ambulancia de respaldo para que responda a la escena del accidente si es necesario
- Ambas unidades de ambulancia de BLS pueden transportar a dos pacientes, pero si se requiere apoyo de transporte adicional, el equipo de ambulancia notificará al mostrador de comunicaciones de seguridad y solicitará el apoyo paramédico necesario
- El protocolo de lesiones seguido en incidentes de lesiones individuales se seguirá en cualquier accidente de lesiones múltiples

- Los procedimientos de ambulancia para caballos se seguirán como en un incidente de lesión de un solo caballo. La ambulancia de caballos de Los Alamitos puede transportar dos caballos heridos a la vez. Si se necesita transporte adicional, una ambulancia de caballos de respaldo especialmente equipada está en el lugar en todo momento y se utilizará en la dirección de la pista Veterinario

## **Los Alamitos Race Course**

### **Protocolo de Emergencia**

#### **Ambulancia para Humanos / Caballos en el Paddock (Noche de Carrera)**

##### **Cobertura de EMT del área de paddock**

- Durante el ensillado de caballos en el paddock en las noches de carrera, uno de los dos equipos de ambulancia estará estacionado directamente al lado del paddock / ring para caminar para responder a cualquier incidente
- Si hay un incidente en el paddock, será responsabilidad del Juez de Paddock dirigir a todos los caballos y espectadores lejos de la escena del incidente de manera segura
- El guardia de seguridad asignado al área del paddock notificará a los comisarios y al mostrador de comunicaciones de seguridad del incidente
- Si ya hay caballos en la pista para una próxima carrera, los Comisarios notificarán al Starter para mantener a los caballos detrás de la puerta hasta que se resuelva la situación
- Los técnicos de emergencias médicas evaluarán cualquier lesión y tomarán la decisión si el transporte es necesario
- Si el transporte es necesario, la unidad de ambulancia de respaldo en el lugar y el equipo de EMT permanecerán en el lugar para brindar cobertura de ambulancia para la próxima carrera

##### **Cobertura de Ambulancia para Caballos en el área de Paddock**

- Si hay un incidente en el paddock que requiera la ambulancia para caballos, el oficial de seguridad asignado al área del paddock notificará al conductor de la ambulancia para caballos por radio o en la ext. 2836 y los Stewards en ext. 2783
- Será deber del juez de paddock alejar a los demás caballos y espectadores del caballo lesionado
- Los Comisarios notificarán a la Puerta de Salida del incidente. Los asistentes de arranque serán enviado al paddock para ayudar a cargar a los heridos en la ambulancia a caballo
- Si hay caballos en la pista para una próxima carrera, se mantendrán detrás de la salida puerta hasta que la ambulancia a caballo salga de la pista

**Los Alamitos Race Course**  
**Procedimientos de Accidentes / Lesiones**  
**Lesión del Patrón**

**Lesión del Patrón/ No Horas de Carreras en Vivo**

- Si un usuario se lesiona, se notificará inmediatamente al mostrador de comunicaciones de seguridad a la ext. 2660. Se informa la ubicación específica del incidente y las Comunicaciones de Seguridad dirigen al personal de seguridad del sitio al lugar.
- El personal de seguridad confirma con el cliente que solicita asistencia médica. Si el patrón es sin poder responder, el personal de seguridad solicita asistencia médica
- Si el cliente solicita asistencia médica o si el cliente no puede responder, el mostrador de comunicaciones de seguridad solicita la asistencia de un paramédico
- Los paramédicos que responden a la asistencia de los clientes reciben instrucciones previas para ingresar al hipódromo de Los Alamitos a través del corredor principal adyacente a la oficina de seguridad. Si el cliente lesionado es móvil, los paramédicos se reunirán con el cliente en la oficina de seguridad. Si el cliente no es móvil, el personal de seguridad acompañará a los paramédicos a la ubicación del cliente
- Los paramédicos tomarán la determinación de transportar al cliente a un hospital para recibir tratamiento

**Lesión del Patrón/ Horas de Carreras en Vivo**

- La tripulación de ambulancia EMT de respaldo está estacionada en la estación de primeros auxilios de Los Alamitos durante las horas de carrera en vivo
- Los usuarios con quejas médicas menores son dirigidos a la estación de primeros auxilios donde el personal de EMT puede verificar los signos vitales y asesorar sobre tratamientos adicionales, como ver a un médico personal, ir a la sala de emergencias o una clínica médica
- Los usuarios que necesitan atención adicional inmediata se mantienen en la estación de primeros auxilios mientras se solicita la asistencia de un paramédico a través del mostrador de comunicaciones de seguridad
- Los usuarios que no son móviles son asistidos por el personal de EMT en el lugar del incidente mientras la asistencia paramédica se solicita a través del mostrador de comunicaciones de seguridad

## **Los Alamitos Race Course**

### **Procedimientos de Emergencia Médica**

#### **Lesión del Empleado**

- Todas las lesiones de los empleados deben informarse inmediatamente al escritorio de comunicaciones de seguridad
- Si está disponible, el supervisor del departamento del empleado será notificado y responderá a empleado lesionado junto con el personal del Departamento de Seguridad
- Si el supervisor del departamento del empleado no está disponible, los miembros disponibles del personal de administración del hipódromo responderán a la ubicación
- Si la lesión parece ser una amenaza para la vida, se indica al mostrador de comunicaciones de seguridad que llame para solicitar asistencia paramédico. Se indica a los paramédicos que respondan al pasillo principal ubicado junto a la oficina de seguridad
- Si la lesión no es grave y se considera seguro hacerlo, el empleado será transportado a la Clínica Industrial Healthpoint para recibir tratamiento



# ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

[www.ocfa.org](http://www.ocfa.org)

## FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

April 27, 2021

Frank Sherren  
Los Alamitos Race Course  
4691 Katella Avenue  
Cypress, CA 90630

**Subject:** Fire and Life Safety Inspection

An inspection was conducted by the Orange County Fire Authority (OCFA) for the Los Alamitos Race Course at 4691 Katella Avenue, Los Alamitos, CA 90630. This inspection was performed on April 27, 2021, by the OCFA Fire Inspector, Kenneth Wiggins and Assistant Fire Marshal, Antonio Solares. We have accepted a plan of action to correct existing violations at this time. The inspection report will be turned in for data entry to OCFA records. This letter will serve as your Fire Clearance from the OCFA.

Please contact me directly if you have any questions or concerns.

Respectfully,

Kenneth Wiggins  
Fire Inspector  
Prevention Field Services Section  
(714) 229-8801  
[kennethwiggins@ocfa.org](mailto:kennethwiggins@ocfa.org)



Date July 5, 2020

California Horse Racing Board  
Attn: Scott Chaney  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Board Rule 1927 Compliance

Dear Mr. Chaney,

Los Alamitos Race Course (LARC) has a Fire and Life Safety Inspection Clearance Letter on file with the California Horse Racing Board. LARC facility and grounds, grandstands, the stable area and maintenance areas are inspected annually for Fire and Life Safety by the Orange County Fire Authority (OCFA) and by the City of Cypress building and Safety department. These inspections are conducted with the regulatory agency, LARC staff and CHRB oversight. Each year LARC receives a Fire and Life safety Inspection Clearance Letter that establishes LARC has a reasonable degree of Fire and Life Safety that is submitted to the CHRB as part of our Racing License Application. Please find a current orange County Fire authority Fire and Life Safety Clearance Letter attached.

LARC has on file with the Orange County Fire Authority a current Five-Year Fire Sprinkler Inspection Report, see attached cover letter. The 5-Year fire sprinkler inspection is an NFPA mandated inspection examining the insides of your fire sprinklers' piping. The examination must be carried out a minimum of every 5 years.

LARC has a Fire Safety Inspection program in place that conducts quarterly inspections on the Grandstands, Restaurants, Maintenance Buildings, Fire Hose Cabinets, Fire Hydrants and Fire Pump House. These inspections are conducted by a third party licensed and certified C-16 inspection company, Fire Sprinkler Inspections, Inc. Please find attached the cover letters for these inspections.

All Fire Inspection Reports and OCFA Permits are on file in the office of the LARC Facility Manager, Frank Sherren, and are available for review upon request.

Board Rule 1927 states:

"a reasonable standard of fire safety shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped with an automatic sprinkler system and an automatic fire alarm system, and that the stable area grounds, including any additional location where any excess number of horses will be stabled, be patrolled by a watchman during the hours of darkness."

LARC has the following existing condition in the stable area:

The original barn buildings, barns number 1 thru 36, per-date current existing Fire Authority building codes. At the time of construction, these barn buildings complied with all with all Building and Safety standards and all State and Local Fire Authority rules and regulations. Therefore, these barn buildings are considered legal non-conforming structures.

" Legal non conforming structures "

A "legal nonconforming use" is a use of land or **structure** which was **legally** established according to the applicable zoning and building laws of the time of construction, but which does not meet current zoning and building regulations."

As such, these buildings are currently in compliance with current local authority safety standards.

Barn buildings numbers 1 – 36 are not equipped with "an automatic sprinkler system" as stated in Board Rule 1927, however the building do have a Fire Alarm System.

LARC original barns 1 thru 36 are constructed out of non-combustible materials. The buildings are constructed out of concrete cinder block with corrugated metal roofs. Each barn is equipped with Fire Alarm, Fire Alarm pull stations located at the end of each barn, and heat sensors in the stalls and tack rooms. Fire Hose Cabinets are located at each barn. In the unlikely event of a fire the alarm will sound, and the Local Fire Department will be summoned. Cypress Fire Station 12 is located directly across the Street from LARC grounds at 4991 Cerritos Ave. Please see attached photographs.

Five new barn buildings were constructed as part of our Thoroughbred expansion program. This section of LARC barns, barns A thru E, are compliant with all current Building and Fire Authority Codes. This section of barns is sprinklered and monitored by SCN Security Communication a certified Fire Monitoring Company per the current fire code requirements.

All barn tack rooms and living quarters are equipped with smoke detectors and heat sensors, see attached photos.

LARC has security staff on site 24/7 365 patrolling the stable area and grounds.

LARC is recognized by all State and Local agencies including the National Fire Protection Association (NFPA) to have a reasonable degree of Fire and Life safety. LARC is in compliance with all regulatory agencies including the Orange County Fire Authority and the City of Cypress Building and Safety Division.

Board Rule 1927 states that any association:

“may petition the Board for an extension of time within which to comply with this regulation or exemption from such requirements.”

Los Alamitos Race Course is requesting an exemption from the requirement “shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped an automatic sprinkler system” in this section of Board Rule 1927 as it pertains to our existing legal non-conforming barn buildings numbered 1 - 36.

Respectfully,

A handwritten signature in black ink, appearing to read 'Frank Sherren', written over a horizontal line.

Frank Sherren  
Facility Manager



# ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

[www.ocfa.org](http://www.ocfa.org)

## FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

July 1, 2020

Los Alamitos Race Course  
4961 Katella ave.  
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspections. Please accept this letter as your fire clearance through the end of 2020, with the understanding that the annual fire department inspection will be conducted within the next six months. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne", is written over a light blue horizontal line.

Tony Verdenne  
Fire Prevention Specialist  
Prevention Field Services Section  
714 527-4584 [tonyverdenne@ocfa.org](mailto:tonyverdenne@ocfa.org)

Cc: file

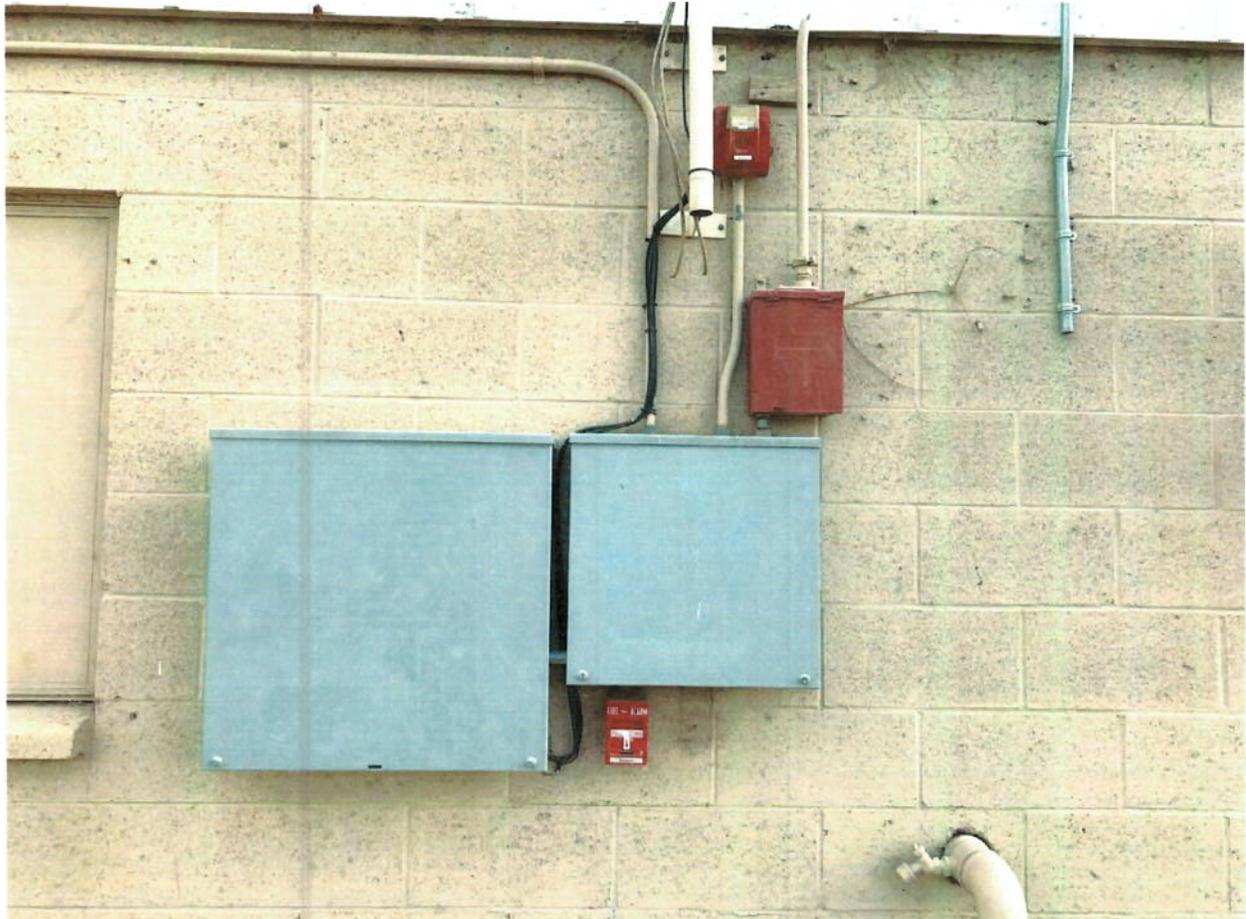
Serving the Cities of: Aliso Viejo • Buena Park • Cypress • Dana Point • Irvine • Laguna Hills • Laguna Niguel • Laguna Woods • Lake Forest • La Palma  
Los Alamitos • Mission Viejo • Placentia • Rancho Santa Margarita • San Clemente • San Juan Capistrano • Santa Ana • Seal Beach • Stanton • Tustin • Villa Park  
Westminster • Yorba Linda • and Unincorporated Areas of Orange County

**RESIDENTIAL SPRINKLERS AND SMOKE ALARMS SAVE LIVES**

Los Alamitos Race Course

Fire Alarm System in Barn Area, Barns 1 – 36 Barn Buildings are constructed with non-combustible materials, concrete cinder block with corrugated metal roofs.

Figure 1: Fire Alarm System Located at the end of each barn. Components are Fire Alarm Notifier Panel in a Weather Proof Box, Fire Alarm Pull Station and Fire Alarm Strobe Light / Siren.



Figures 2: Notifer Panel



Figure 3: Heat Sensor located in stalls



Figure 4:Heat Sensor located in each tack room



Figure 5: Smoke Detector with Alarm Siren in each tack room

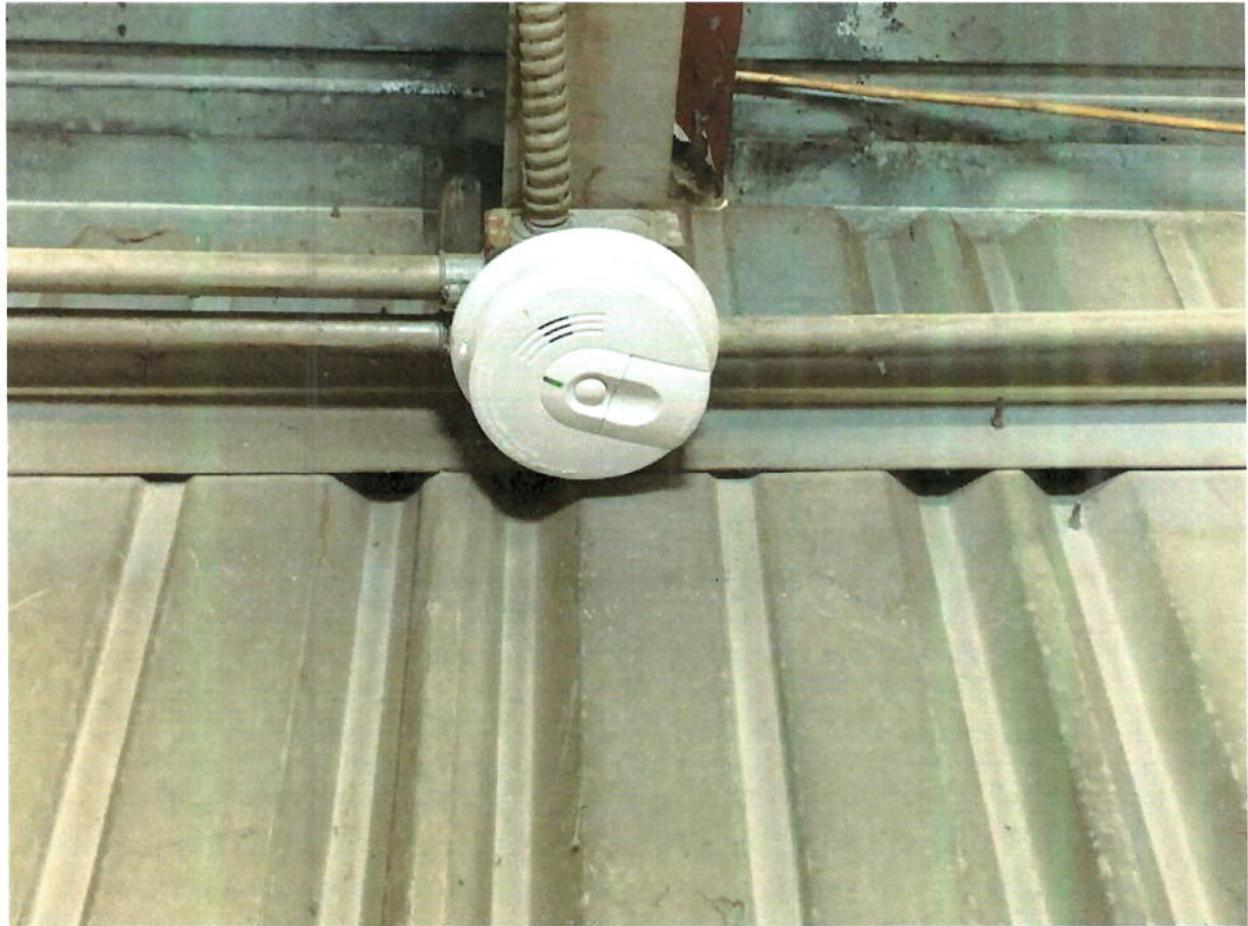


Figure 6: Fire Hose located at the end of each barn

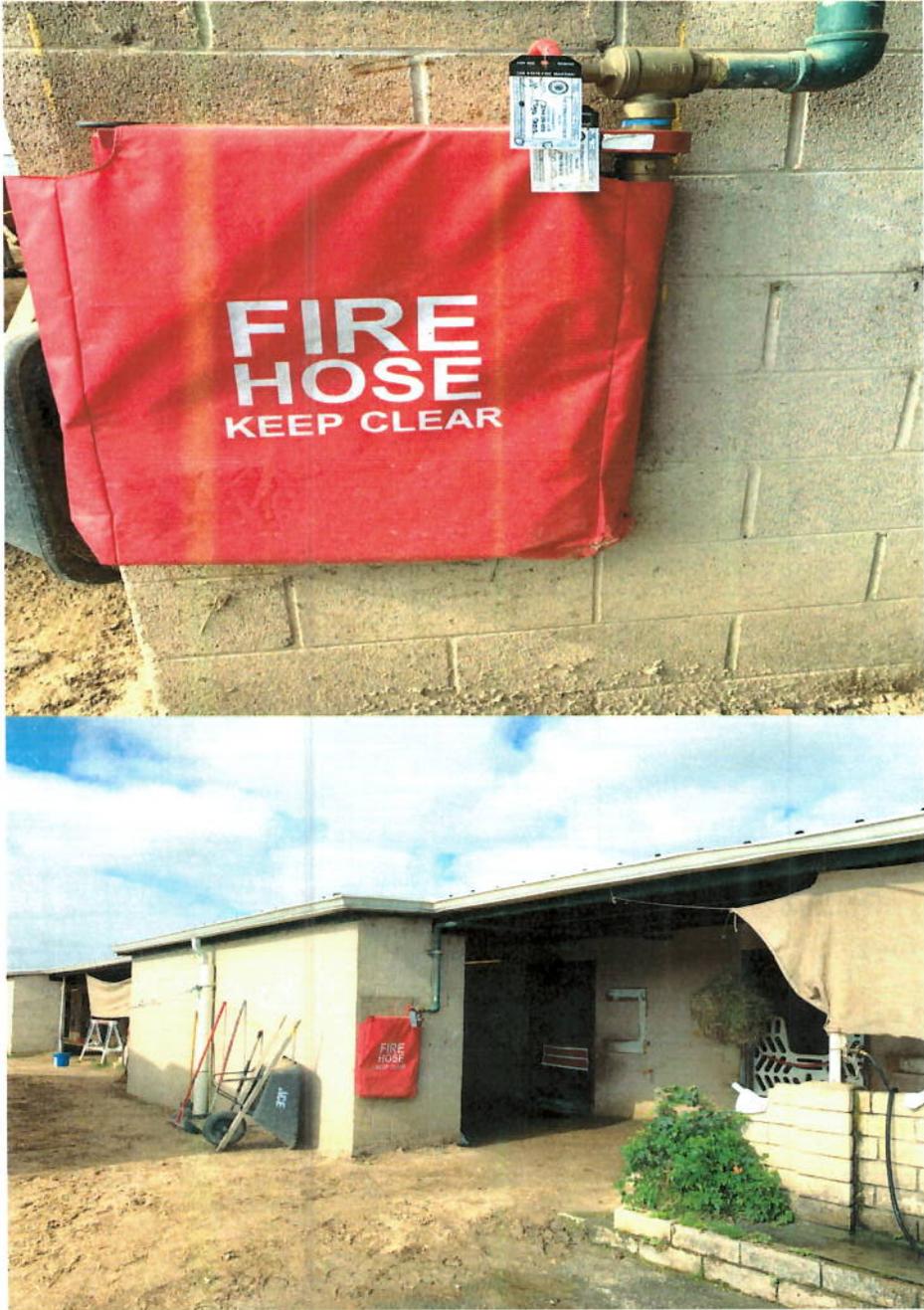
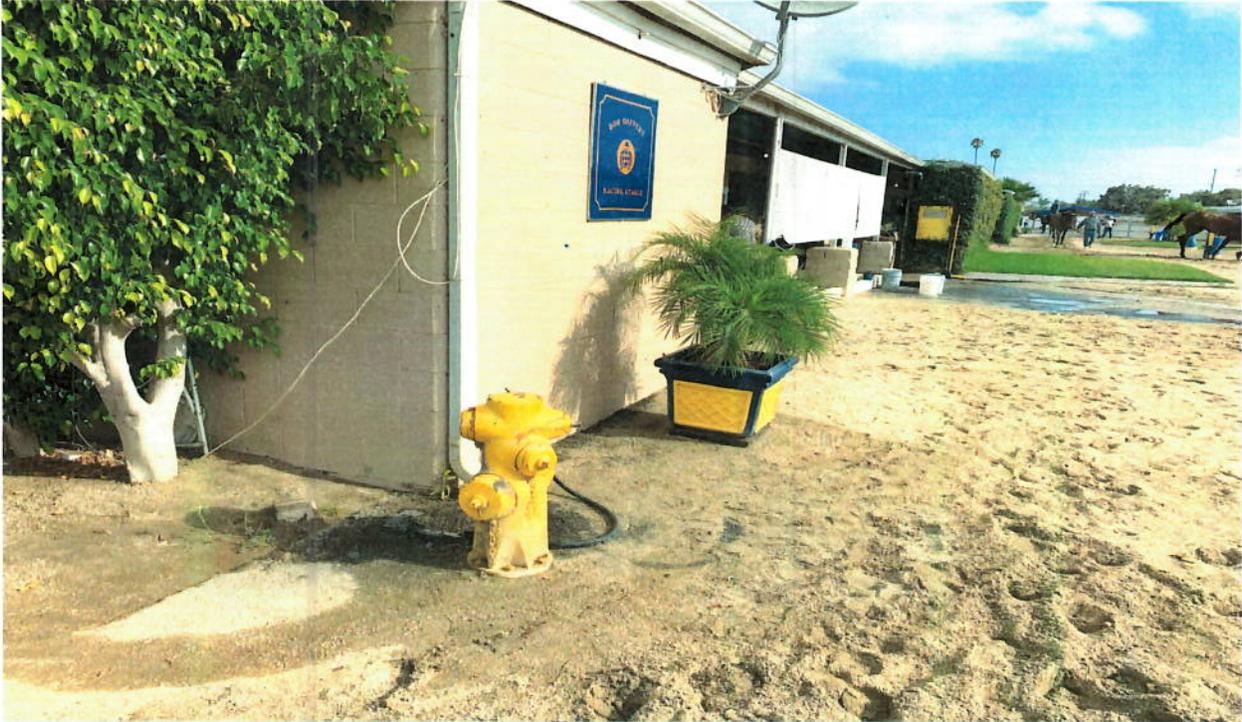


Figure 7: Fire Hydrant located throughout the barn area per OC Fire Code





# FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

## Los Alamitos Race Course 4961 Katella Cypress, CA 90720

### 22 Fire Hose Cabinets Inspection Folder Grandstand & Clubhouse

# 2020

March <sup>ANNUAL</sup> ..... June ..... Sept ..... Dec .....

- Street level & Tunnels (5)
- First floor (2)
- Second floor (7)
- Third floor (5)
- Clubhouse (3)

Respectfully Submitted

Fred Ortiz  
Fire Sprinkler Inspections Inc.

Per NFPA 25, and California State Fire Marshal title 19  
Quarterly inspection complete



# FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

## Los Alamitos Race Course

### 4961 Katella

### Cypress, CA 90720

### 36 Fire Hose Cabinets Inspection Folder

# 2020

## 36 Horse Stables

### March.....June.....Sept.....Dec.....

#### Hose nozzle cabinet

- |              |          |          |
|--------------|----------|----------|
| 1. OK _____  | OK _____ | OK _____ |
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| 20. OK _____ | OK _____ | OK _____ |
| 21. OK _____ | OK _____ | OK _____ |



# FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

## Los Alamitos Race Course 4961 Katella Cypress, CA 90720

### Quarterly and Annual Inspection Folder **2020**

**Grandstand 1,2,3,4**

**Schwanees**

**Stables A B C D E**

**Fire Hydrants Grandstands (3)**

**Electric Fire Pump**

March \_\_\_\_\_  
June \_\_\_\_\_  
Sept \_\_\_\_\_  
Dec \_\_\_\_\_

Fred Ortiz

Fire Sprinkler Inspections, Inc. (www.fireinspections.net) Fax 714-369-2832



# **FIRE SPRINKLER INSPECTIONS, INC.**

*C-16 license # 467165*

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

## **Los Alamitos Race Course**

### **4961 Katella**

### **Cypress, CA 90720**

## **January 2020**

# **Five Year**

## **Fire Sprinkler Inspection Folder**

### **Grandstand #1 #2 #3 # 4**

### **Schwanees**

### **Stables A B C D E**

### **(2) Maintenance buildings**

**Fred Ortiz**

**Fire Sprinkler Inspections Inc.**

**Jan. 20 , 2020**



November 12, 2020

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Re: Board Rule 1927

To: Executive Director Scott Chaney,

Los Alamitos Quarter Horse Racing Association is requesting an exemption from the 45-day provision in Board Rule 1927, Fire Prevention.

Los Alamitos Race Course (LARC) has a current fire clearance letter from the Orange County Fire Authority (OCFA) dated July 1, 2020. This clearance letter was included in the August 2020 Board item regarding Los Alamitos Race Course's request for exemption to obtain a fire clearance pursuant to Rule 1927, which the Board approved.

The Orange County Fire Authority conducts their fire inspections on an annual basis. As stated in the Orange County Fire Authority "Fire and Life Safety Clearance Letter" dated July 1, 2020 and again updated on November 1, 2020 provides LARC fire and life safety clearance through 2020 / 2021.

The Orange County Fire authority has updated the fire clearance letter we have currently on file to November 1, 2020. However, due to OCFA scheduling limitations as a result of Covid-19 protocols they will not reschedule an additional onsite fire inspection within the calendar year. For this reason, a fire inspection and new fire clearance letter cannot be obtained within 45 days of the start of our proposed race meet.

The OCFA will conduct their next inspection of Los Alamitos Race Course in 2021. Los Alamitos Race Course will provide a new fire clearance in compliance with Rule 1927 at that time.

Understanding that it is beyond the control of LARC to comply with this section of Board Rule 1927, Los Alamitos Race Course is requesting an exemption from the 45-day provision in Rule 1927.

Respectfully,

Frank Sherren  
Facility Manager  
Los Alamitos Race Course



July 31, 2020

Mr. Scott Chaney  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: San Luis Rey Training Center – Rule 1927 Exemption Request

Dear Mr. Chaney,

San Luis Rey Training Center (“SLR”) is unique in that its barn area has two different types and ages of barns – the “historic” barns and the new barns.

The new barns constructed in the last few years can house approximately 200 horses. These barns have an automatic sprinkler system and a fire bell. Due to the proximity of the barns to the guard house (and the on-duty guard being able to call 911 in event of a fire), both the local building inspector and fire department approved these barns having a fire bell rather than an automatic fire alarm system. There is 24/7 coverage in the guard house. The sprinkler system and alarm will be tested annually.

We have previously noted that the historic barns at SLR do not have a sprinkler system or fire alarm/bell. The process to add a sprinkler system and fire alarm system to already existing structures is a lengthy process. SLR has begun the process of obtaining estimates for adding a sprinkler system and fire alarm system to the historic barns. Due to the size of the project, it will take some time for companies to develop preliminary plans so they can submit their estimate. The installation company will also need to develop final engineering plans to retrofit the existing structures with a sprinkler system and fire alarm system. Additionally, the plans need to be approved by both the county building inspector and the county fire department. The engineering plans will need to be revised to incorporate and desired changes requested by the county building inspector and/or the county fire department. In addition to the county approvals, local permits will need to be requested and approved. And obviously, the system needs to be installed and then tested.

We are hopeful that this lengthy and involved process can be completed by the end of July, 2021. We will periodically update CHRB staff on the progress of the project and notify CHRB staff if we encounter any issues that would cause the project to not be finished by the target date.

Under CHRB Rule 1927, we request an extension, through the end of July, 2021, to complete the installation of the sprinkler system and fire alarm system to our historic barns.

The local fire department having jurisdiction over SLR performed an inspection on July 24, 2020. The clearance letter will be forwarded to board upon receipt. Since the fire inspection was recently performed, we also request an exemption from the requirement in Rule 1927 that the fire inspection be completed within 45 days of the start of the meet.

Please let me know if you have any questions.

Sincerely,

A handwritten signature in blue ink, appearing to read 'A. Butler', with a long horizontal flourish extending to the right.

Aidan Butler

**North County Fire Protection District**

**330 S. Main Ave.  
Fallbrook, CA 92028  
(760) 723-2010**



Friday, 31 July, 2020

Attn: Kevin Habell

5772 CAMINO DEL REY

BONSALL, CA 92003

Re: Initial - Fire & Life Safety on July 31 2020

Property Address:

San Luis Rey Training Center

5772 CAMINO DEL REY

BONSALL, CA 92003

# NOTICE OF INSPECTION - COMPLETED

**CONGRATULATIONS**, your Fire and Life Safety Inspection has satisfactorily been completed and no violations were noted at this time. The North County Fire Protection District would like to thank you for making fire and life safety a priority.

If you have any questions regarding our Fire and Life Safety Inspection program, please contact the Fire Prevention Bureau at: (760) 723-2010.

Inspector:

A handwritten signature in black ink, appearing to read 'D. Moore, Jr.', written over a horizontal line.

FFP David Moore, Jr.

bmoore@ncfire.org

Property Representative:

A handwritten signature in black ink, appearing to read 'Kevin Habell', written over a horizontal line.

Kevin Habell



**POST TIME**  
SELF INSURANCE GROUP

## **Steps Required in Reporting a Workers Compensation Accident**

- 1. Immediately Report an accident to Post Time Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Post Time Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**  
**Healthpointe Medical Center**  
**7052 Oranewood Ave, Suite 6**  
**Garden Grove (714) 903-1100**





# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

9/2/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

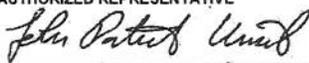
<b>PRODUCER</b> License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	<b>CONTACT NAME:</b> Sara Przemielewski	
	<b>PHONE (A/C, No, Ext):</b> (925) 660-3531	<b>FAX (A/C, No):</b>
<b>E-MAIL ADDRESS:</b> Sara.Przemielewski@ioausa.com		
<b>INSURER(S) AFFORDING COVERAGE</b>		<b>NAIC #</b>
<b>INSURER A:</b> Safety National Casualty Corp		<b>15105</b>
<b>INSURED</b>  Los Alamitos Racing Assoc and Quarter Horse Racing, Inc. 4961 Katella Avenue Los Alamitos, CA 90720	<b>INSURER B:</b>	
	<b>INSURER C:</b>	
	<b>INSURER D:</b>	
	<b>INSURER E:</b>	
	<b>INSURER F:</b>	

**COVERAGES**                      **CERTIFICATE NUMBER:**                      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED    RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
<b>A</b>	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input checked="" type="checkbox"/> N	N/A	<b>SP4063735</b>	<b>1/1/2021</b>	<b>1/1/2022</b>	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ <b>1,000,000</b> E.L. DISEASE - EA EMPLOYEE \$ <b>1,000,000</b> E.L. DISEASE - POLICY LIMIT \$ <b>1,000,000</b>

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
Evidence of Coverage

<b>CERTIFICATE HOLDER</b>  California Horse Racing Board 1010 Hurlley Way, Suite 300 Sacramento, CA 95825	<b>CANCELLATION</b>  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE 

Number: 4524-001

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

**CERTIFICATE OF CONSENT TO SELF-INSURE**

THIS IS TO CERTIFY, That  
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC., A GENERAL PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE  
(Name of Assuree)

FINISH LINE SELF INSURANCE GROUP, INC.  
(Name of Certificateholder)

is complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.  
This certificate may be revoked at any time for good cause shown.\*

CITY: \_\_\_\_\_  
1st DAY OF JULY 1953

DEPARTMENT OF INDUSTRIAL RELATIONS  
OF THE STATE OF CALIFORNIA



T. JOHNSON, MANAGER

JOHN M. REA, DIRECTOR

Section of Certificate.— "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause shown after a hearing. Good cause includes, but is not limited to, the impairment of the interests of such employees, the inability of the employer to fulfill his obligations, or the practice by such employer or its agent in charge of the business of evading or attempting to evade the payment of any of the following: (a) Workmen's compensation, (b) Unemployment insurance, (c) Disability benefits, (d) Pension benefits, (e) Retirement benefits, (f) Any other benefits provided for employees by the employer or its agent in charge of the business, (g) Discharging his non-provisional obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked at any time for good cause shown.

Promotional/Marketing budget for prior race meeting: Attached

C. Number of hosts and hostesses employed for meeting: 8

D. Describe facilities set aside for new fans:  
Special mutuel windows designated for newcomers

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

- 1. Horsemen
- 2. Fans-Ed Burgart Sports Bar, a facility having table seating for 200, plus seating at the bar, 60 HD TVs, pool tables, juke box and wagering ability via mutuel clerks and self service terminals.
- 3. Facilities in the restricted areas

**19. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

- Admission (general) - \$3
- Admission (clubhouse) - \$5
- Vessels Club (Turf Club) - \$10
- Reserved seating (general) - free
- Reserved seating (clubhouse) - free
- Parking (general) - free
- Parking (preferred) - \$6 / \$10 premium
- Parking (valet) - not offered
- Programs (on-track) - \$3
- (off-track) - \$3

B. Describe any "Season Boxes" and "Turf Club Membership" fees:  
Turf Club Season Table - \$480 Grandstand Season Table - \$250

C. Describe any "package" plans such as combined parking, admission and program:  
n/a

**20. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |                                     |                                |                                     |                                     |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many                            | <input type="text" value="60"/>     |
| <input checked="" type="checkbox"/> | Showers                        | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur                        | <input checked="" type="checkbox"/> | Food/beverage service               |
|                                     |                                | <input checked="" type="checkbox"/> | Lounge area                         |
|                                     |                                | <input checked="" type="checkbox"/> | Certified platform scale            |

B. Describe the quarters to be used for female jockeys/drivers: Separate quarters with showers, lockers, sauna.

**21. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing was completed by (name) \_\_\_\_\_ on (date) \_\_\_\_\_. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet

B. Number of rooms used for housing on the backstretch of the racetrack: 195

# Marketing Plan 2021 Los Alamitos

## Summer Thoroughbred Meet

**Overview:** Seven days of racing featuring nationally recognized stakes races like the Grade 2 Great Lady M Stakes and the Grade 3 Los Alamitos Derby will highlight the 7<sup>th</sup> Los Alamitos Summer Thoroughbred Meet. Throughout 2020, spectators were not allowed at Los Alamitos Race Meets because of the pandemic. While it appears that a limited number of spectators may be permitted in 2021, this Marketing Plan will be revised accordingly if and when attendance restrictions applicable in Orange County become known.

The meet will be supported by a Marketing Plan spearhead by our continued strong partnerships with national industry leaders like TVG and DRF plus prospective involvement as a host site for a qualifying tournament to the NTRA National Handicapping Championship. Strong TV, radio and online presence will also be leveraged to maximize handle and fan engagement on a national level.

With DRF, Los Alamitos will invest on DRF En Español with the goal of providing first rate content for the Hispanic racing audience, and with NYRABets.com, Los Alamitos will invest in increasing handle on this growing ADW online platform.

The second weekend of the season will include the Independence Day 4<sup>th</sup> of July program on Sunday and the special Monday card on July 5. This three-day holiday weekend will be heavily emphasized and marketed during the meet with the NHC qualifier serving as one of the special events centerpieces.

Detailed below are our current key marketing initiatives for the 2021 Los Alamitos Summer Meet. This Marketing Plan remains a fluid document in anticipation of changing restrictions. All elements will be planned and conducted under the proper safety protocols and state and county guidelines.

## **2021 Los Alamitos Marketing Promotions & Budget**

### **TVG, NYRA – Up to \$32,000**

Los Alamitos Race Course will work with ADW partners to offer customers with offers that engage, rewards and promote racing at the Orange County track. Examples may include TVG's popular Pick 4 Insurance and Money Back Special, which have proven to be strong handle drivers.

- TVG will continue to have a live on-track presence during each of our scheduled racing days, both on set and as special hosts during our satellite broadcast.

### **Daily Racing Form –Up to \$11,000**

Our partnership with Daily Racing Form continues to focus on strengthening our national exposure. With that goal in mind, we are currently lining up the key elements of our partnership to take advantage of DRF's newest marketing initiatives. The partnership will continue to include the offers that have proven most successful historically. Examples include:

- \* Free Los Alamitos Digital PPs to all DRF.com customers who purchased PPs to another track on selected dates.
- \* Full print coverage with past performance placement in Midwest, Eastern and South main AM editions each race day.
- \* Front print cover print strip & database e-mail blast linking to past performances.
- \* Key wagers in past performances shown in highlighted form.

### **DRF En Español –Up to \$4,000**

Landing Page and promotional elements will deliver the Los Alamitos Race Course message to a new and growing audience of horseplayers and racing fans:

#### **Race Day coverage in Spanish will include:**

- News items
- Digital banners and logo placements

- Handicapping/Analysis videos
- Exclusive handicapping products specific to the meet
- Twitter coverage @DRFenEspanol
- Day of event broadcasts

**Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, June 26. Up to \$9,000**

With the hope that indoor wagering is available by late June, a return of our popular Mystery Mutuel Voucher (MMV) promotion is planned for the opening Saturday of the meet. The promotion can be adjusted accordingly based on the local guidelines. ***This on-track marketing element is tentatively scheduled.***

**Los Alamitos Summer Handicapping Tournament – Sunday, July 4  
Up to \$13,500.**

With the NTRA's National Handicapping Championship set for the end of August, a July 4th live-money Los Alamitos Summer Handicapping Tournament should be well received by West Coast horseplayers. The contest will feature two NHC berths plus other prizes. The event will be conducted during one of the meet's marquee events, the Independence Day program on Sunday, July 4. This live-money contest will be promoted to our list of VIP daytime players, on track advertisements and radio and TVG ads.

**Television Advertising – Up to \$5,000**

- TVG & TVG2 – Our television ad buy will include daily spots to promote opening day, key stakes races and the Los Alamitos Summer Handicapping Tournament. The ad run will start on June 14.

### **Local/National Radio – Up to \$9,000**

In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows and podcasts like Race Day Las Vegas, Steve Byk's Radio Show and Down The Stretch on SiriusXM. The national shows are a key element in our continued efforts to establish the Los Alamitos brand with the out-of-state horse players. Popular Los Alamitos racing personalities like Bob Mieszerski and track announcer Michael Wrona are among the guests on the show. Weekly radio ads are tailored for each market. We will also continue to branch out and reach the growing audience of selected national podcasts.

### **Social Media**

Contests, handicapping information, up to the minute racing info, videos and more will be part of the track's social media content @losalracing on both Twitter and Instagram.

### **Burgart's – Up to \$1,500**

Special on-track promotions are being planned for our newest sports bar and wagering room. Promotions will be developed and implemented based on the current guidelines.

### **Summer Meet Staff & Support – Up to \$18,000**

Includes additional in-house race day television producers, additional marketing staff and social media personnel.

**TOTAL: \$103,000**

# Marketing Plan 2020 Los Alamitos

## Summer Thoroughbred Meet

**Overview:** Eight days of racing will be held as part of the Los Alamitos Summer Thoroughbred Meet in 2020. The following is a streamlined but fluid marketing plan geared towards maximizing the national horse racing consumer's enjoyment of the California horse racing action, particularly through our partner advanced deposit wagering platforms. The meet will be highlighted by Grade 3 Los Alamitos Derby, a race with a rich history now positioned to possibly serve as a steppingstone for 3-year-old Thoroughbreds being pointed to the 146<sup>th</sup> running of the Kentucky Derby on Saturday, September 5.

In addition, the Los Alamitos Summer Meet will also feature a holiday racing card on Independence Day on Saturday, July 4. Marketing resources will be used to increase the engagement of the Los Alamitos existing daytime fan base for this special day, as well for the opening Saturday of the meet on Saturday, June 27.

Detailed below is the cross- marketing efforts and cooperative promotions with Los Alamitos partners at TVG, NYRA Racing and Twin Spires and the NTRA National Horse Players Championship as well as continued increased exposure on DRF.com, the online platform for the Daily Racing Form.

*Please note that the 2019 Los Alamitos Summer Meet consistent of three racing weeks, as opposed to the current 2020 schedule which will have two weeks of racing.*

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## **2020 Los Alamitos Summer Meet Marketing Promotions & Budget TVG, Twin Spires, NYRA – Up to \$40,000**

Los Alamitos Race Course will continue to work closely with our leading ADW partners to build awareness and general player excitement for the Los Alamitos Summer meeting.

- Los Alamitos will work closely with key ADW partner TVG to build promotions that are surefire to generate interest. Examples include the popular Pick 4 Insurance and Money Back Special, which have proven to be successful during past meet. Los Alamitos will also partner with key ADW provider Twin Spires with the goal of building popular promotions like Bet Club Bonus Days. These promotions could change based on market response and promotion availability. Los Alamitos will also work with NYRA Bets to build a promotion that can be enjoyed by customers using this popular platform.
  - The activation of TVG promotions consistently serve as handle drivers during the Los Alamitos Thoroughbred Meets. We are continuously fine-tuning the offers to maximize appeal to day-to-day horseplayers.
  - TVG will continue to have a live on-track presence during all 8 of our scheduled racing days, both on set and as special hosts in between races during our broadcast signal. Los Alamitos will build television spots to run during prime time hours on TVG to promote opening and key races of the meet.

## **Daily Racing Form –Up to \$18,000**

Our partnership with Daily Racing Form will continue to focus on key player engagement and exposure. Our partnership with DRF has always been flexible and consistently introduces new and fresh initiatives. For March of 2020, the Los Alamitos Quarter Horse Racing Association tested a robust marketing program with a strong online presence to take advantage of increase online traffic on DRF.com with encouraging results. At this time, we are expecting to continue this program including during the two-week Los Alamitos Summer Meet. Here are some of the key elements that we are expecting to test during the Los Alamitos Summer Meet.

- Improved Database Marketing Elements and better visibility of our Bonus Card PPs offered on DRF.com.

- Email Blast to DRF Digital PP Buyer database of 65,000 active core players across the country promoting our top racing cards. Blast will include a direct link to free digital Past Performances offer. Promo will increase digital exposure by 50%.
- Digital Past Performance banner ads to run Thursday through Sunday on the pages of the top East Coast track racing in mid-June through July 5.
- Front cover bursts and strip advertisements to promote key wagers, while Past Performances will be offered in a highlighted form.
- Promotion of Los Alamitos morning workout reports.

### **Television Advertising – Up to \$5,000**

- TVG & TVG2 – A consistent schedule of television ads will be featured daily on this popular horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run would start on June 20.

### **Local/National Radio - \$6,500**

In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas, Ron Flatter Podcast on VSN and Down The Stretch on SiriusXM. The national shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and track announcer Michael Wrona are regular guests on these shows. Fresh weekly radio ads tailored for each radio market will be produced.

**Los Alamitos Summer Handicapping Championship – Saturday, July 4 - Up to \$12,500.**

With up to two National Handicapping Championship berths up for grabs and other prizes, the Los Alamitos Summer Handicapping Championship should continue to build on the popularity of our handicapping format. The contests will be conducted during our marquee day of the meet, the Independence Day program on Saturday, July 4. This live-money contest will be promoted to our list of VIP daytime players, on track advertisements and radio and TVG ads. ***This on-track marketing element is tentatively scheduled.***

**Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, June 27 & July 5. Up to \$15,000**

Historically, one of our most successful drivers of on-track handle has been the Mystery Mutuel Voucher (MMV) promotion. Since the summer of 2018, two separate loyal Los Alamitos daytime fans have cashed in the \$2,500 “big-prize” mystery voucher on-track. A “second chance” MMV promotion could also be offered on Sunday, July 5. ***This on-track marketing element is tentatively scheduled.***

**California Satellite Promotions - Up to \$2,500**

Promotional visits to be made at key daytime simulcast locations if permitted. Locations to be visited include Sammy’s in Lake Forest, Santa Clarita Bowling Lanes, Surfside, and Hollywood Park. Special handicapping seminars and cash contests will be hosted by a well-known racing personality. This program will also be used to expand the Los Alamitos mailing list with active players. ***This marketing element is tentatively scheduled.***

**Summer Meet Support Staff –\$15,000 est.**

Includes additional in-house race day television producers, additional marketing staff and social media personnel.

**TOTAL: \$80,500**

**(Est. \$30,000 could additionally be invested as part of on-track and satellite promotions. Marketing program could be revised pending various developments.)**

- C. Number of restrooms available on the backstretch of the racetrack: 11 men, 8 women’s
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 20

**22. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5.100
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 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: 3%  
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A Height of 40 inches in maintained on both rails.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes track superintendent / Dennis Moore – track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

**23. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.

- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen’s agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). n/a
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days’ notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

F. JACK LIEBAU  
 Print Name  
Vice - President  
 Print Title

F. Jack Liebau  
 Signature  
3/28/2021  
 Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENTS TO CHRB RULE 1581.1, ENTRIES, AND CHRB RULE 1878,  
WORKOUTS, TO PROHIBIT A HORSE FROM WORKOUT OR ENTRY TO RACE  
UNLESS A VETERINARIAN HAS DETERMINED THE HORSE IS SOUND

Regular Board Meeting  
May 19, 2021

ISSUE:

Several racetracks, including Santa Anita and Del Mar, have developed rules and conditions for racing and training at the tracks, to better protect horses prior to working out or being entered to race. These rules include requiring a horse to be examined by a veterinarian prior to entry into a race or workout and to be evaluated and determined to be fit to workout or race. However, as they currently stand these rules are not currently in regulation and would need to be adopted by the California Horse Racing Board (Board) to be enforceable.

ANALYSIS:

Board Rule 1581.1, Entries, defines the parameters in which a horse can be entered to race. The Board is proposing to modify Board Rule 1581.1 and add new subsection (b) to include that no horse shall be entered to race unless a horse has been examined by the trainer's attending veterinarian 72 hours preceding entry to race and an evaluation has been conducted by the attending veterinarian and a determination made that the horse is fit to race. Additionally, the revisions to this Rule clarify that the evaluation by the attending veterinarian shall include examination of the horse with a close inspection of the eyes, examination of the legs, and observation of the horse at rest and while in motion. Further revisions to this rule require the attending veterinarian and trainer to inform the Official Veterinarian of any changes in the horse's fitness after entry and before race day and that the evaluation of the horse will be recorded in the Veterinarian Report, in accordance with Rule 1842.

Board Rule 1878, Workouts, defines the parameters in which a horse can complete a workout. The Board is proposing to modify Board Rule 1858 and add new subsection (b) to include that no horse shall workout unless the horse has been examined by the trainer's attending veterinarian 72 hours preceding the workout and an evaluation has been conducted by the attending veterinarian and a determination made that the horse is fit to workout. Additionally, the revisions to this Rule clarify that the evaluation by the attending veterinarian shall include examination of the horse with a close inspection of the eyes, examination of the legs, and observation of the horse at rest and while in motion. Further revisions to this rule require the attending veterinarian and trainer to inform the Official Veterinarian of any changes in the horse's fitness after entry and

before race day and that the evaluation of the horse will be recorded in the Veterinarian Report, in accordance with Rule 1842. By adding this subsection, the Board will be protecting horses by requiring that no horse shall be entered to race unless it has been examined and evaluated by a veterinarian, and that evaluation is documented in accordance with Board rules.

By revising these rules, the Board will be protecting horses by requiring that no horse shall be completing entered to race or completing a workout unless it has been examined and evaluated by a veterinarian, the evaluation meets specific criteria, and that evaluation is documented in accordance with Board rules.

#### BACKGROUND:

Business and Professions Code (BPC) [section 19440](#) states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4BPC. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. BPC [section 19562](#) provides that the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in the state of California.

#### RECOMMENDATION:

This item is presented for Committee discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 6. ENTRIES AND DECLARATIONS  
PROPOSED AMENDMENT OF RULE 1581.1, ENTRIES

1581.1. Entries.

(a) No person shall enter or attempt to enter a horse for a race unless such entry is a bonafide entry made with the intention that such horse is to compete in the race for which entry is made.

(1) No horse having been drawn for a post position for any race to be run shall be entered for any subsequent race, other than for a stakes race, until or unless excused by the stewards from the race in which drawn for valid reason or until the race in which it has drawn has been run.

(2) No person shall attempt to enter or enter any horse for a race unless such person is authorized to do so in accordance with the rules.

(3) Every horse drawn in for a post position in a race shall have a jockey or driver named to ride or to drive such horse before the draw is finalized and no jockey or driver shall be named to ride or to drive more than one such drawn horse in each race.

(b) A horse will not be entered in any race unless:

(1) The horse has been examined by the trainer's attending veterinarian during the seventy-two (72) hours immediately preceding entry to race for the express purpose of evaluating the horse's fitness to race; and

(2) An evaluation has been conducted by the attending veterinarian and a determination has been made that the horse is fit to race.

(A) The examination shall include but not be limited to close inspection of the eyes, examination of the legs, and observation of the horse at rest and while in motion.

(B) The attending veterinarian and trainer are obligated to inform the Official Veterinarian of any changes in the horse's fitness after entry and before race day.

(C) The evaluation will be recorded in the Veterinarian Report, in accordance with Rule 1842.

Authority: Sections 19440 and 19590,  
Business and Professions Code.

Reference: Sections 19440 and 19562,  
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 6. ENTRIES AND DECLARATIONS  
PROPOSED AMENDMENT OF RULE 1878, WORKOUTS

1878. Workouts

(a) No trainer shall permit a horse in his charge to be taken onto the track for training or a workout except during hours designated by the association, and a trainer desiring to engage a horse in a workout shall prior to such workout identify the horse by name when requested to do so by the stewards or their authorized representative.

(b) A horse will not be permitted to workout unless:

(1) The horse has been examined by the trainer's attending veterinarian during the seventy-two (72) hours immediately preceding the workout for the express purpose of evaluating the horse's fitness to workout; and

(2) An evaluation has been conducted by the attending veterinarian and a determination has been made that the horse is fit to workout.

(A) The examination shall include but not be limited to close inspection of the eyes, examination of the legs, and observation of the horse at rest and while in motion.

(B) The attending veterinarian and trainer are obligated to inform the Official Veterinarian of any changes in the horse's fitness after examination and before workout.

(C) The evaluation will be recorded in the Veterinarian Report, in accordance with Rule 1842.

(3) For the purpose of this regulation, "workout" means an exercise session near full speed, or close to full speed.

Authority: Sections 19440 and 19562,  
Business and Professions Code.

Reference: Sections 19440 and 19562,  
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

May 19, 2021  
REGULAR BOARD MEETING

**There is no board package material for Item 10A**

CALIFORNIA HORSE RACING BOARD

May 19, 2021  
REGULAR BOARD MEETING

**There is no board package material for Item 10B**

CALIFORNIA HORSE RACING BOARD

May 19, 2021  
REGULAR BOARD MEETING

**There is no board package material for Item 11**

CALIFORNIA HORSE RACING BOARD

May 19, 2021  
REGULAR BOARD MEETING

**There is no board package material for Item 12**