

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the California Horse Racing Board will be held on **Thursday, May 23, 2019**, commencing **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

The meeting will commence at 9:30 a.m., after which the Board will recess for Closed Session. Public Session to reconvene at **10:15 a.m.**, or as soon after that as the conclusion of Closed Session permits.

AGENDA

1. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Action Items:

2. **Approval of the minutes of April 18, 2019.**
3. **Executive Director's Report.**
4. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.

5. Discussion and action by the Board regarding a report and status update on SB 469, which authorizes the Board, at any time, upon a vote of at least four members of the Board, or, if fewer than four members present, a unanimous vote of those members present, to immediately suspend a license to conduct a horse racing meeting, when necessary to protect the health and safety of horses and riders present at a horse racing meeting.
6. Discussion by the Board regarding required changes to CHRB rules with respect to the limitations of furosemide (Lasix) use beginning in 2020, as well as the need for related regulatory language as may be necessary to protect the health and welfare of the horse.
7. Discussion and action by the Board regarding Fasig-Tipton's request for authorization of its upcoming horse sale, pursuant to CHRB Rule 1807, Authorized Horse Sales.
8. Discussion and action by the Board regarding approval of an agreement, pursuant to Business and Professions Code section 19604(f) (5) (E), to alter the distribution of market access fees from California residents advanced deposit wagering (ADW) placed on all live and imported races hosted by the signatory racing associations, by the creation of an additional deduction to be used to fund a co-op marketing program.
9. Discussion and action by the Board on the Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Horse Racing Association (T) at Los Alamitos Race Course, commencing June 26, 2019 through July 16, 2019, inclusive.
10. Discussion and action by the Board regarding approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Los Alamitos Horse Racing Association (LARA) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by the LARA at the Los Alamitos Racetrack.
11. Discussion and action by the Board on the Application for License to Conduct a Horse Racing Meeting of the California Exposition and State Fair (F) at Sacramento, commencing July 12, 2019 through July 28, 2019, inclusive.
12. Discussion and action by the Board regarding approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by CARF at the California Exposition and State Fair.

13. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by CARF at the Alameda County Fair.**
14. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar, commencing July 17, 2019 through September 3, 2019, inclusive.**
15. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Del Mar Thoroughbred Club (DMTC) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by the DMTC at the Del Mar Racetrack.**
16. Public hearing and action by the Board regarding the proposed amendment to **CHRB Rule 1844, Authorized Medication and proposed amendment to CHRB Rule 1866.1, Presence of Clenbuterol in Quarter Horses**, to prohibit the presence of clenbutrol in a horse test sample and to the change the title of CHRB Rule 1866.1 to Presence of Clenbutrol in Horses. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Madeline Auerbach, Vice Chairman
Dennis Alfieri, Member
Fredric Maas, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

CALIFORNIA HORSE RACING BOARD

MAY 23, 2019
REGULAR BOARD MEETING

There is no board package material for Item 1

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California, on April 18, 2019.**

Present: Chuck Winner, Chairman
Madeline Auerbach, Vice-Chairman
Araceli Ruano, Member
Alex Solis, Member
Fredric Maas, Member
Dennis Alfieri, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
John McDonough, General Counsel

APPROVAL OF THE MINUTES OF NOVEMBER 29, 2018

Chairman Winner asked for approval of the minutes of the Regular Meeting of November 29, 2018. Commissioner Solis **motioned** to approve the minutes. Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis. Nay: None. Motion carried.

APPROVAL OF THE MINUTES OF MARCH 28, 2019

Chairman Winner asked for approval of the minutes of the Regular Meeting of March 28, 2019. Commissioner Maas **motioned** to approve the minutes. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Role call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach. Nay: None. Abstain: Ruano. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported on the Task Force investigations into the horse losses at Santa Anita. He stated there were four investigators working full-time on the investigations. He

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believed all but one of the necropsies had been completed. He stated CHRB had issued more than 70 subpoenas for documents, and all responding parties were cooperating. He wanted to assure the Board and all interested parties that the investigations remained the highest priority for the CHRB. He stated he wanted to note there would be a Medication, Safety and Welfare Committee meeting on May 22, 2019. Executive Director Baedeker reported there was an announcement made about a joint effort by most of the racetracks and entities in the country to move forward on phasing out the use of Lasix. He said it was the first time in 20 years that owners, breeders, and racetracks across the country joined together and it was a very significant and important first step in continuing to work together. Chairman Winner stated he wanted to congratulate everyone who was involved in the joint effort. It was very good for horse racing that the industry was coming together to work for the betterment of the horses and the industry as a whole. He said the agreement between The Stronach Group and the Thoroughbred Owners of California that was voted on at the March 21, 2019 with respect to the use of Lasix in California during the current meets at both Golden Gate Fields and Santa Anita were not affected by the recent announcement. The CHRB rules with respect to same-day medication and the reduction of Lasix to 5 ccs would continue to be in effect.

PUBLIC COMMENT

Larry Lucero, concerned citizen, stated that horse racing was complex and involved many stakeholders. He said it was important that decisions regarding horse racing be made based on research and that the process be transparent. Dr. Andrew Lesser, concerned citizen, stated that his family did night racing at Hollywood Park, which was no longer a horse racing venue. He said on average, two thoroughbred horses per day in the United States were sustaining injuries that were

severe enough to require euthanasia. Horse racing was inherently dangerous, and he appreciated the Board's efforts in making it safer, including the elimination of Lasix. Despite the efforts, horse racing could face the same fate as greyhound racing in Florida, and he anticipated a ballot measure in California to ban horse racing. He urged Santa Anita (SA) to consider transitioning into a casino. Chairman Winner stated that, fortunately, there was also Standardbred racing in California. He asked if Executive Director Rick Baedeker wanted to comment on phenylbutazone. Executive Director Baedeker stated that at its March 2019 Regular Meeting, the Board suspended its authorized thresholds for all anti-inflammatory medications, as well as corticosteroids. There was no permissible level for any of these substances found in a post-race blood test. He said the horse racing industry in California achieved a 60 percent reduction in fatalities in 2018 compared to 2017. It was the lowest fatality rate since 2007. He thought there was no complacency on any industry stakeholder's part in continuing to do what was possible to reduce the loss of horses. Chairman Winner stated that the objective was to achieve the lowest fatality rate possible. John Valenzuela, Pari-Mutuel Employees Guild of California—Local 280 (Local 280), said Local 280's members supported the investigation into the issues at SA and efforts to improve safety for horses and jockeys. He said Local 280's main concern was the impact on the livelihoods of all the people in the industry. The continuation of live racing would benefit the industry and its fans. Rene Rowland, Paw PAC, stated she would read the letter she emailed to the Board the week before the April 18, 2019 Regular Meeting. She urged the Board to permanently close SA. Approximately 120,000 people signed online petitions to that effect. Her colleagues would speak about the ethical issues involved in horse racing. Horses were euthanized when they were no longer of value to the industry. There would come a time when keeping animals as slaves would no longer be acceptable. Forty-five states outlawed greyhound racing for similar exploitations. Authorizing the Board to

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take emergency measures was not strong enough to protect the horse. Vice-Chairman Auerbach said it was not true that horses were euthanized at the end of their racing days. There were rules and regulations against that practice, and any individual who was caught sending a horse to slaughter was banned from the sport. She stated that California had many organizations that cared for horses when they retired. Commissioner Solis stated that he urged people to watch a YouTube video called Calracingcares.com to see how well horses were treated. Amanda Lundberg, concerned citizen, said according to Horseracingwrongs.com, 500 horses had been killed at SA in the past decade, 5,000 had been killed in California since 1988, and 2,000 were killed annually in the United States. She said the recent deaths at SA did not represent a spike, as SA averaged 50 deaths per year. She stated she was calling for a complete ban on horse racing and was not interested in reform. She said the information Horseracingwrongs.com obtained via the Freedom of Information Act revealed that from July 1, 2007, through March 5, 2019, there were 584 horse deaths at SA. April Montgomery, private citizen, stated she wanted horse racing to end permanently. It was indefensible for horses to sacrifice their bodies to make money for people. Horses suffered various injuries and were given drugs. She said that what was happening at SA was not an anomaly, as SA averaged 50 horse deaths annually over 10 years. She stated it was estimated that 20 percent of euthanized horses in North America were thoroughbreds. Statistics on horse deaths were only for horses that died during races. There was a record number of horse deaths hidden from the public's view. Edward Barrera, on behalf of Senator Susan Rubio, stated that Senator Rubio was the principal co-author of California Senate Bill 469, which addressed horse and jockey safety. He said the first aspect to consider was that the cause of the horse deaths at SA was still unknown, and the bigger picture could show that the problem was not specific to SA. He stated that Senator Rubio wanted to review all the evidence before drawing conclusions.

He said that as the legislation moved forward, Senator Rubio asked the Board and her colleagues to join her in having a full and open dialogue with industry stakeholders. Chairman Winner thanked Senator Rubio for co-sponsoring the legislation. Howard Steniger, pari-mutuel employee at SA, stated he had been in the horse racing industry for more than 30 years. He said horses and humans had a symbiotic relationship. He stated that horses would die if released into the wild, as they no longer had survival instincts. He said 20,000 horses were born each year in the United States. Without horse racing, horses would be obsolete. Debbie Barkley, private citizen, stated she had been in the horse industry for many years and was new to racing and breeding. She said accidents happened all the time and were part of the sport of horse racing. People needed to appreciate the horses and support all the people involved with the horses. She stated that calling for an end to horse racing would be like eliminating baseball and other sports entirely. Damascus Castellanos, Teamsters Local 495 (Local 495), said Local 495 supported the actions SA had taken. Elvia Sedano, animal rights activist, stated she saw various serious injuries on Horseracingwrongs.com. She said horse racing was an unsafe sport and called for a ballot measure to end horse racing in California. The difference between horse racing and other sports was that horses did not have a voice. They could not say they did not want to participate. Celia Azevedo, concerned citizen, stated that the 23 horse deaths at SA, along with the use of whips and drugs, was very disconcerting to her. She said the horses were involuntary participants in the sport. She asked that the SA racetrack be shut down. She stated that California voters should decide if they wanted horse racing banned in California. Chairman Winner said that at the March 2019 Regular Board Meeting, a rule was passed that addressed the use of whips. The Board continued to pass strict medication rules. Kiersten Cluster, Elephant Guardians of Los Angeles (EGLA), stated that EGLA focused on elephants but also worked on behalf of all species that were exploited for human purposes. She

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said she had two issues. The first was safety. The mission stated on the Board website, as well as a statement made on the website on March 5, 2019, alluded to safety. However, there had been 28 horse deaths at SA from the end of December 2018 through the first week of April 2019. She asked how many more horses had to die under the Board's watch before the Board realized SA was not safe. The second issue was sportsmanship. The Cambridge Dictionary defined "sportsmanship" as behavior in sports that was fair and showed respect to all the players. However, the horses had no choice. How was that fair and respectful? She stated that racehorses suffered from abusive training, extreme confinement, drugging and doping, injury, and premature death. This was not sportsmanship; this was abuse and exploitation. She said the Board had the opportunity to end the suffering, and she asked that the Board shut down SA. Chairman Winner stated that regarding the 28 horse deaths, 23 were accidental, while five were due to natural causes. Pavla Nygaard, Thor-Bred Stables LLC, said better solutions would come from listening to all sides. She stated that horses would communicate how they were feeling and their state of mind. She said some accidents just happened. She stated that the issues regarding medication and whips were not black and white. All stakeholders needed to be involved. Zafir Molina, activist, said the horses were experiencing physical, emotional, and spiritual pain, which was unnatural for them. Running was natural, but horse racing was not. She stated that if people wanted to help the horses, they would create homes for them. She said racing killed horses, and SA needed to get closed down. Cliff Goodrich, California Thoroughbred Horsemen's Association, stated that the spike in injuries at SA was the catalyst for passionate people to get up and speak against horse racing, but the industry was making progress in response to the injuries. The industry needed to reduce or eliminate injuries, if possible, but the process needed to be done in an open forum with a fair process. The solution from animal lovers was to shut down the industry, which was too drastic and ridiculous. He said the backstretch

workers cared more about the horses than they cared about themselves. Because of SA having to shut down, there would be \$100,000 less funding for backstretch worker health care. Luis Giordano, private citizen, stated he had owned horses for over 30 years. He said that over the years, he had seen how the horses were cared for. They were taken care of better than people cared for themselves. He stated that losing a horse was like losing a part of the family. He said he was concerned with some of The Stronach Group's (TSG) recent decisions. He purchased a horse on January 1, 2019, that had a bleeding issue. TSG had lowered the allowed dosage for Lasix, so he went to Kentucky to race, as he did not want to take a chance on the horse bleeding. He stated it would not have been an issue if it were not for groups like People for the Ethical Treatment of Animals (PETA). Chairman Winner said animal rights organizations like PETA had the right to speak at the Board meeting. The Board was there to listen to everyone. Dr. Wayne Johnson, private citizen, stated that if horses were run at high speeds with unformed bones, they were going to break down. He said he could not work with the Board because it promoted the industry, and horses kept dying. Thousands of retired racehorses were euthanized. Ferdinand, winner of the 1986 Kentucky Derby, died in a slaughterhouse in Mexico City. He stated that Florida voted two-to-one against greyhound racing, SeaWorld was on its way out, Illinois and New York State had banned elephants, and cockfighting was banned in 49 states. People were sick and tired of the horses dying, so there was going to be a ballot measure to end horse racing in California. Ron McGill, concerned citizen, said he appeared on behalf of all the racehorses that had died. He asked that the Board end horse racing. Heather Wilson, concerned citizen, stated she was going to read the description of a horse death at a California track in 2018 obtained through a Freedom of Information Act request to the California Horse Racing Board. The horse suffered two broken legs. On the right leg, there was a closed, comminuted complete displaced articular transverse fracture of the distal metacarpal

3 below the transverse fraction line. There were innumerable small bone fragments. Two large bone fragments stayed in place. The left leg had similar injuries. There was an open, eight-centimeter skin tearing at the medial distal aspect of the metacarpal 3 with protrusion of a bone fragment. All articular surfaces of the fetlock had marked scoring of cartilage and were covered with innumerable small irregular bone fragments. She said horse racing broke legs, and it was time to end the blood sport.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1481, OCCUPATIONAL LICENSES AND FEES, TO REQUIRE LICENSURE OF OFFICERS, DIRECTORS, PARTNERS OR ANY INDIVIDUAL WHO HOLDS 5% OR MORE OF THE OUTSTANDING SHARES OF AN ADVANCE DEPOSIT WAGERING PROVIDER (ADW), MINI-SATELLITE WAGERING OR EXCHANGE WAGERING PROVIDER; CHRB RULE 2071, LICENSE TO CONDUCT ADVANCE DEPOSIT WAGERING BY A CALIFORNIA APPLICANT, AND RULE 2072, APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING BY AN OUT-OF STATE APPLICANT, TO REVISE CHRB FORMS 132, APPLICATION TO CONDUCT ADVANCE DEPOSIT WAGERING, AND 133, APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING, TO CAPTURE CHRB LICENSE INFORMATION FOR SPECIFIED PERSONS; CLARIFY THE TERM OF LICENSE FOR ADW PROVIDERS AND COLLECT FINANCIAL INFORMATION, INCLUDING PROFIT AND LOSS STATEMENTS, SPECIFIC TO ENTITIES' CALIFORNIA OPERATIONS.

Robert Brodnik, CHRB Staff Counsel, stated no comments were received during the 45-day public comment period. He stated the Board may adopt the proposed amendment to Rule 1481, Occupational Licenses and Fees, Rule 2071, License to Conduct Advance Deposit Wagering by a California Applicant, and Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant. Commissioner Maas **motioned** to approve the amendment to Rule 1481, Rule 2071, and Rule 2072. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR (F), AT PLEASANTON, COMMENCING JUNE 13, 2019 THROUGH JULY 7, 2019, INCLUSIVE.

Jacqueline Wager, Assistant Executive Director, stated the Alameda County Fair (ACF) submitted an application to operate a race meeting at Pleasanton from June 13, 2019 through July 7, 2019. Chairman Winner asked if there were outstanding items. Ms. Wagner stated that the outstanding items noted in the staff analysis had been received. Larry Swartzlander, California Authority of Racing Fairs (CARF), said there would be 15 days of racing at the ACF, from June 12 to July 7, 2019. He stated that CARF had raised the overnight purses across the board for all fairs, which added another \$150,000 to the overnight races. The two-year-old filly race was being eliminated at the ACF because there were not enough horses early in the season. The race might be reinstated during The Big Fresno Fair. He said CARF continued to offer the out-of-state program where horses that came in from outside California received \$150 for their first, second, and third starts. The trainer incentive program provided a \$500 bonus for any trainer that had five starts. For 10 or more starts, the trainer received a \$1,000 bonus. He stated that the ACF had a very successful meet in 2018, and he did not anticipate any change for 2019. Angel Moore, ACF, said the ACF had an integrated marketing communications plan that included traditional, social, and digital media. Events at the fair would include the Beer Fest, the Wine Fest, cocktail festivals, and an event called Out at the Fair. Additionally, there would be an Asian-Pacific heritage event, Fiesta at the Fair, and a Bollywood event. She stated that the ACF was taking proactive measures in creating a communications program that would report on the health of its thoroughbreds, the track, and the jockeys. Commissioner Ruano said she commended the ACF for reaching out to a number of minority communities. Vice-Chairman Auerbach **motioned** to approve the Alameda County Fair application to conduct a horse racing meeting at Pleasanton commencing June 13, 2019 through

July 17, 2019, inclusive. Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll call vote: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING POSSIBLE REALLOCATION OF RACE DATES GRANTED TO THE LOS ANGELES TURF CLUB (LATC) AT SANTA ANITA PARK RACE TRACK PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19530.

Chairman Winner stated since the reopening of Santa Anita (SA) racing had been good with no accidents. He said because of that, he did not see any reason to move SA's race dates. He stated he was not sure if a motion was required. Executive Director Rick Baedeker said no. The item was put on the agenda, consistent with the Bagley-Keene Act, to allow Commissioners the opportunity to speak on the issue. Chairman Winner said since there was no discussion, there was no action.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO SUSPEND ONE OR MORE MEDICATIONS AUTHORIZED IN CHRB RULE 1844, AUTHORIZED MEDICATION, FOR ALL HORSES PARTICIPATING IN ALL LICENSED HORSE RACE MEETINGS FOR 12 MONTHS PURSUANT TO CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION.

Robert Brodnik, CHRB Staff Counsel, stated that the proposal to suspend one or more medications authorized under CHRB Rule 1844, Authorized Medication, would allow the Board to extend the suspension on certain medications for 12 months, which could include all remaining race meets within the time period, regardless of breed. Dr. Rick Arthur, CHRB Equine Medical Director, said there had been no violations based on tests done through April 7, 2019. He stated that if the suspension was going to be projected forward, he would recommend ensuring Los Alamitos was agreeable and that it go forward as of May 3, 2019. He recommended the suspension of

authorization be limited to the same nonsteroidal and steroidal anti-inflammatories that the Board suspended authorization use for at Santa Anita (SA) and Golden Gate Fields (GGF). Jim Perez, California Harness Horsemen's Association (CHHA), said the CHHA had no problem with the proposal. He stated that the CHHA would like to revisit the issue, if necessary, before the next harness meet in November 2019. Executive Director Rick Baedeker asked if the CHHA had any objections to the suspension of authorized medication thresholds. Mr. Perez said no. It did not really pertain to harness racing, as there had been only one breakdown in 15 years. Mr. Brodnik asked if the May 3, 2019 start date at Los Alamitos would be included. Chairman Winner said yes. Chairman Winner **motioned** to suspend the authorized administration of 1844(c)(1), 1844(c)(2), 1844(c)(3), 1844(f)(1), 1844(f)(4), 1844(f)(5), 1844(f)(7), 1844(f)(10), 1844(f)(12), 1844(f)(13), and 1844(f)(16) for race meets occurring within the 12 months beginning April 18, 2019, at SA, Los Alamitos Race Course, Del Mar Thoroughbred Club, GGF, Alameda County Fair, Sonoma County Fair, Humboldt County Fair, Los Angeles County Fair, California Exposition and State Fair, The Big Fresno Fair, and the Watch and Wager race meet. Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

REPORT FROM THE **MEDICATION, SAFETY AND WELFARE COMMITTEE.**

Vice-Chairman Auerbach said the Medication, Safety and Welfare Committee met on April 17, 2019 at Santa Anita Park. She stated there was a fruitful discussion and presentation from the Jockeys' Guild regarding the riding crop, and its usage. She stated it was reported that the Jockeys' Guild was working with The Stronach Group and all interested parties to come up with proposed language for a rule change that would satisfy all parts of the industry. She said she anticipated

language would be presented at the next medication meeting. Vice-Chairman Auerbach provided an update on the weekly Track Safety Committee meeting held at Santa Anita. She stated Commissioner Alex Solis served on the ten-member committee that included jockeys, trainers, track management, exercise riders, an official veterinarian, and track superintendents. She said the Track Safety Committee addressed a wide range of safety-related issues, including track maintenance, designated time on track for workers only, the number of outriders, and how they were performing their duties during training, including traffic control. The Track Safety Committee also discussed track measurements, such as depth and moisture content, and considered what riders were saying about the surfaces. The point of the discussions was to identify ways to enhance the safety of riders and horses.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1842, VETERINARIAN REPORT, TO REQUIRE SUCH REPORTS BE SUBMITTED ELECTRONICALLY.

The proposed amendment to Rule 1842, Veterinarian Report, would require all veterinarian reports under Rule 1842 to be submitted electronically. Dr. Rick Arthur, CHRB Equine Medical Director, stated that the proposed amendment was discussed at the April 17, 2019 Medication, Safety and Welfare Committee meeting. The proposed amendment needed to be taken back so the language could be revised. Vice-Chairman Auerbach said the rule had to be changed because, as written, it required the information to be provided in writing and did not allow for electronic capture of the information. Commissioner Ruano asked if previous reports would be digitized. Dr. Arthur said no.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED ADDITION OF CHRB RULE 1842.5, TRAINERS TO MAINTAIN MEDICATION TREATMENT RECORDS**, OF ALL TREATMENTS GIVEN TO A HORSE, INCLUDING VETERINARY PROCEDURES PERFORMED AND ALL MEDICATIONS ADMINISTERED; SUCH RECORDS TO BE AVAILABLE FOR INSPECTION BY REPRESENTATIVES OF THE CHRB IN ITS OFFICIAL DUTIES.

Dr. Rick Arthur, CHRB Equine Medical Director, stated the proposed addition of Rule 1842.5, Trainers to Maintain Medication Treatment Records, was consistent with the International Federation of Horseracing Authorities code of medication practices for horses in training. The proposal would require the trainer to maintain a record of the medications being administered to the horses. Vice-Chairman Auerbach asked if action would be taken on the item, since there were previous discussions about the various formats to provide the information, and it was mentioned that some of the trainers already had ways to communicate the information. Chairman Winner said no. Dr. Arthur said it was on the agenda as a discussion and action item. He stated the regulation as proposed did not require a specific format. The regulation would require trainers to meet the requirements, but the trainers could do it in their own way. However, if the trainers came up with a standardized format, then that was fine. He said the proposed regulation was specific in terms of what it required the trainer to maintain. It was just the information that was specific; the format was not. Executive Director Rick Baedeker said if the proposal was moved today to go out for the 45-day notice period and was subsequently approved by the Office of Administrative Law, it did not preclude digital format or written format. Dr. Arthur stated that was correct. Vice-Chairman Auerbach said she would like to see something that clarified that the proposal wanted this information but was not restricting how it was provided. Dr. Arthur stated there was a requirement for specific information but not a specific format. Alan Balch, California Thoroughbred Trainers (CTT), said CTT said could assist in providing a format because CTT would like to see it become electronic sooner than later. Debbie Barkley, private citizen, stated she believed the rule was a bit

vague and ambiguous. The proposal would impact all trainers that intended to race in California. She asked how this proposed rule would impact a trainer in Florida who wanted to bring horses to California and race under CHRB rules. Executive Director Baedeker said it only applied to trainers in California, so a trainer who had a horse in his or her care in California needed to keep the records. He stated it had no application to a trainer outside the state. Ms. Barkley asked what would happen if she was a trainer bringing in a horse for the Breeders' Cup. Executive Director Baedeker said once the horse was on the grounds in California and the trainer was licensed in California, they would be required to keep a record of any medication treatments that the horse received within the CHRB enclosed stable area. Ms. Barkley asked if treatments such as acupuncture and hyperbaric chambers were included. Vice-Chairman Auerbach said it should include every service that was provided. There should be a record and there should be no underground treatments of horses of any sort. The Board needed to know every treatment a horse had received. Dr. Arthur stated acupuncture and chiropractic services were practices in California and were required to be reported on the veterinary report. Those would be exempt because they were subject to Rule 1842 reporting. If it was done by a veterinarian, it did not have to be reported by the trainer because the veterinarian was reporting it. He said if the trainer dispensed phenylbutazone or clenbuterol or prescribed some physical therapy treatment, it would have to be recorded. He stated if anyone had a question, they could contact the official veterinarian. He said the language was modeled after the International Federation of Horseracing Authorities and the British Horse Racing Authority. The language was almost identical. Pavla Nygaard, Thor-Bred Stables, LLC, stated as an owner and breeder, she welcomed an opportunity to have records of veterinary procedures. She said if you were aware of something that was done previously, you would not want to do it again, especially any of the joint injection situations. She stated she was in support of asking for records to be maintained because

it helped the horse owners and trainers take better care of their horses. Commissioner Ruano asked if the motion would include an amendment to include a standardized format. Vice-Chairman Auerbach said a standardized format was not necessary. Dr. Arthur stated he would advise against it. Some trainers already had very good records in different formats, with some already using an electronic format. Vice-Chairman Auerbach **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed addition of Rule 1842.5. Commissioner Solis **seconded** the motion, which was **passed** five to one. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach. Nay: Ruano. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1846.6, POSTMORTEM EXAMINATION REVIEW, TO REQUIRE A POSTMORTEM EXAMINATION REVIEW OF EACH EQUINE FATALITY WITHIN A CHRB INCLOSURE.

The proposed addition of Rule 1846.6, Postmortem Examination Review, would establish a review process for every equine fatality within a CHRB inclosure. Vice-Chairman Auerbach stated trainers and other licensees were currently being asked to voluntarily participate in a review of postmortem reports involving fatalities of horses in their care, and the proposed rule would make that review mandatory. She stated there was a lack of understanding of factors that led to catastrophic injuries, and the review of postmortem reports with the official veterinarian would help trainers understand how injuries developed and occurred. She said the Medication, Safety and Welfare Committee recommended that the Board approve the proposed rule for public notice. Debbie Barkley, private citizen, asked what the CHRB inclosure was. Executive Director Rick Baedeker stated it was the racetrack and stable area. Ms. Barkley asked if horses that died within a CHRB inclosure would automatically be necropsied. Executive Director Baedeker said the

necropsies were already required by law. Ms. Barkley asked where the necropsies took place. Dr. Arthur stated that all horses were necropsied at a California Animal Health and Food Safety laboratory facility operated by the School of Veterinary Medicine, University of California at Davis (UC Davis). In southern California, the necropsies were performed in San Bernardino. In northern California, they were completed at UC Davis. Ms. Barkley asked if Dr. Arthur was the Director of Veterinary Medicine at UC Davis. Dr. Arthur said he was the Equine Medical Director. Ms. Barkley asked if UC Davis had received \$10 million from Monsanto for research facilities. Dr. Arthur stated he had no idea. Executive Director Baedeker asked how Ms. Barkley's question was related to the agenda item. Ms. Barkley said there were almost 2,000 studies showing that food had an impact on health. Roundup, which contained glyphosate, was being sprayed on crops. Chairman Winner stated that Ms. Barkley's explanation was not relevant to the agenda item. Ms. Barkley said that as part of a necropsy, bone density should be examined, and the horse should be tested for glyphosate. There should also be testing for calcium fluoride, which was known to weaken bones. Faramarz Nabori, citizen, asked that the postmortem examination review include the identification of the cause of death, whether it was the accident itself or a decision was made to euthanize the horse. He stated it would be prudent to require owners of racehorses to post a bond to pay for medical care or purchase an insurance policy in the event of a catastrophic injury that prevented them from racing in the future, rather than having the horse euthanized. Chairman Winner said that Mr. Nabori's suggestion did not fit well with the agenda item, but it could be raised at the next Medication, Safety and Welfare Committee meeting. Vice-Chairman Auerbach asked if Mr. Nabori's concern was that medical necessities were not being examined that would preclude lifesaving measures. Mr. Nabori said partially, yes. Vice-Chairman Auerbach stated that money was no longer a factor in saving a horse's life. If it was possible to save a horse, then the

horse would undergo whatever was medically necessary to remain viable. Chairman Winner said there were two concerns with Mr. Nabori's suggestion. If a horse was insured, an argument could be made to put down the horse in order to receive the insurance payment. On the other hand, if a horse was not insured, an argument could be made to put down the horse because it would cost too much to operate on the horse and keep it alive. He stated that a horse should never be put down for economic reasons. Mr. Nabori said, to clarify, he was speaking about veterinary insurance so there would be money available for treatment of the horse. Vice Chairman Auerbach **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed addition of Rule 1846.6. Commissioner Maas **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1866.2, USE OF BISPHOSPHONATES RESTRICTED, TO SET RESTRICTIONS AND CONDITIONS ON THE USE OF BISPHOSPHONATES IN HORSES RACING AND TRAINING WITHIN CHRB INCLOSURES.

Dr. Rick Arthur, CHRB Equine Medical Director, stated the proposed addition of Rule 1866.2, Use of Bisphosphonates Restricted, was to match the International Federation of Horseracing Authorities and the British Horseracing Authority proposals for bisphosphonates. He said after discussions at the Medication, Safety and Welfare Committee meeting, the decision was to make the use of bisphosphonates a prohibited practice in California. He stated he had no objections and would work with Robert Brodnik, CHRB Staff Counsel, on language.

DISCUSSION AND ACTION REGARDING THE **PROPOSED ADDITION OF CHRB RULE 1868, AUTHORIZED MEDICATION DURING WORKOUTS**, TO ESTABLISH THRESHOLD LIMITS FOR THE PRESENCE OF CERTAIN DRUG SUBSTANCES AND MEDICATION IN OFFICIAL TEST SAMPLES TAKEN FROM HORSES AFTER THEY COMPLETE A TIMED WORKOUT.

The proposed addition of Rule 1868, Authorized Medication During Workouts, would place certain restrictions on the use of non-steroidal anti-inflammatory drugs, local anesthetics, and narcotic analgesics for horses completing time workouts. Dr. Rick Arthur, CHRB Equine Medical Director, stated that the proposal was put forth at the Medication, Safety and Welfare Committee meeting several years ago, and there was a lot of resistance. He said Santa Anita Park had implemented a house rule for a 48-hour stand-down that matched the current proposals for nonsteroidal anti-inflammatories. He stated the current proposal would set thresholds consistent with the Association of Racing Commissioners International 48-hour stand-down requirements. The thresholds for phenylbutazone, flunixin, and ketoprofen would be 300 nanograms, 3 nanograms, and 1 nanogram, respectively. He said that through April 7, 2019, there had been no violations in over 60 horses tested. Executive Director Rick Baedeker stated Dr. Arthur's threshold levels were different than what was in the proposed text. He asked if the proposal needed to be held over. Dr. Arthur said no. It just needed to be amended to what he presented verbally. Chairman Winner stated that the correct language needed to be included in the motion. Vice-Chairman Auerbach **motioned** to direct staff to initiate a 45-day public notice period regarding the proposed addition of Rule 1868, with threshold limits of 300 nanograms for phenylbutazone, 3 nanograms for flunixin, and 1 nanogram for ketoprofen in test samples. Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

MEETING ADJOURNED AT 12:36 P.M.

2-20 **Proceedings of the Regular Meeting of April 18, 2019**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

MAY 23, 2019
REGULAR BOARD MEETING

There is no board package material for Item 3

CALIFORNIA HORSE RACING BOARD

MAY 23, 2019
REGULAR BOARD MEETING

There is no board package material for Item 4

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT AND STATUS UPDATE ON SB 469, WHICH AUTHORIZES THE BOARD, AT ANY TIME, UPON A VOTE OF AT LEAST FOUR MEMBERS OF THE BOARD, OR, IF FEWER THAN FOUR MEMBERS PRESENT, A UNANIMOUS VOTE OF THOSE MEMBERS PRESENT, TO IMMEDIATELY SUSPEND A LICENSE TO CONDUCT A HORSE RACING MEETING, WHEN NECESSARY TO PROTECT THE HEALTH AND SAFETY OF HORSES AND RIDERS PRESENT AT A HORSE RACE MEETING.

Regular Board Meeting
May 23, 2019

ANALYSIS

Senate Bill 469 would authorize the board, at any time, upon a vote of at least four members of the board, or, if fewer than four of the members are present, a unanimous vote of those members present, to immediately suspend a license to conduct a horse racing meeting, as provided, when necessary to protect the health and safety of horses or riders present at the horse racing meeting. The bill would authorize the board, as a condition of lifting the suspension, to require a licensee to comply with additional safety standards or other requirements as it deems necessary or desirable for the best interests of horse racing and the purposes of the Horse Racing Law.

Additionally, this bill would authorize the board to call an emergency meeting to consider suspending a license pursuant to these provisions under specified circumstances. The bill would require, for purposes ordering a suspension, a vote of at least 4 members of the board or, if fewer than 4 members are present, a unanimous vote of these members present.

Finally, this bill would authorize the board, at any time, to change, limit, restrict, or reallocate racing weeks, days, or dates that are allocated pursuant to the above-described provisions regardless of whether a condition giving rise to that action is foreseen at the time of allocation or whether a license is issued to conduct a horse racing meeting during an allocated week, day, or date.

RECOMMENDATION

This item is presented to the Board for discussion and action.

AMENDED IN SENATE APRIL 10, 2019

SENATE BILL

No. 469

Introduced by Senator Dodd
(Principal coauthor: Senator Rubio)
(Principal coauthors: Assembly Members Chau and Gray)

February 21, 2019

An act to amend Section ~~19596.2~~ of 19530 of, and to add Section 19481.7 to, the Business and Professions Code, relating to horse racing, racing, and declaring the urgency thereof, to take effect immediately.

LEGISLATIVE COUNSEL'S DIGEST

SB 469, as amended, Dodd. Horse racing: ~~out-of-state thoroughbred races; Delaware Handicap.~~ *health and safety.*

(1) Existing law, the Horse Racing Law, gives the California Horse Racing Board jurisdiction and supervision over horse racing meetings in this state on which wagering is held or conducted, and over all persons or things having to do with the operation of those horse racing meetings. Existing law authorizes the board to issue to a license to conduct a horse racing meeting at the racetrack specified in the license, subject to certain conditions, including that the board has inspected and approved the racetrack as conforming to racetrack safety standards established by the board. Existing law requires all proceedings to revoke a license to be conducted in accordance with the procedures for a formal hearing set forth in the Administrative Procedure Act. Existing law generally makes a person who violates a provision of the Horse Racing Law guilty of a misdemeanor.

Existing law authorizes the board to allocate racing weeks to an applicant pursuant to specified provisions of the Horse Racing Law and to specify racing days, dates, and hours for horse racing meetings

as will be in the public interest, and will subserve the purposes of the Horse Racing Law. Existing law provides that the decision of the board as to those racing days, dates, and hours is subject to change, limitation or restriction only by the board. Existing regulations provide that, upon a finding by the board that the allocation of racing weeks and dates for any racing year is completed, the racing weeks and dates are only subject to reconsideration or amendment by the board for conditions unforeseen at the time of the allocations.

Existing law, the Bagley-Keene Open Meeting Act, generally requires that all meetings of a state body be open and public. Existing law requires notice, including a specific agenda for the meeting, to be given and made available on the internet at least 10 days in advance of the meeting, or, in the case of special meetings, as described, 48 hours in advance of the meeting, except as provided. Existing law prohibits an item from being added to the agenda subsequent to the provision of notice, except as provided.

This bill would authorize the board, at any time, upon a vote of at least 4 members of the board, or, if fewer than 4 of the members are present, a unanimous vote of those members present, to immediately suspend a license to conduct a horse racing meeting, as provided, when necessary to protect the health and safety of horses or riders present at the horse racing meeting. The bill would authorize the board, as a condition of lifting the suspension, to require a licensee to comply with additional safety standards or other requirements as it deems necessary or desirable for the best interests of horse racing and the purposes of the Horse Racing Law. The bill would make it unlawful to conduct a horse racing meeting under a license that is suspended pursuant to these provisions or, if the suspension is lifted, without meeting a condition imposed pursuant to these provisions. Because a violation of those prohibitions would be a crime, this bill would impose a state-mandated local program.

The bill would authorize the board to call an emergency meeting to consider suspending a license pursuant to these provisions under specified circumstances. The bill would require, for purposes ordering a suspension, a vote of at least 4 members of the board or, if fewer than 4 members are present, a unanimous vote of these members present. The bill would exempt the emergency meeting from the above-described notice requirements of the Bagley-Keene Open Meeting Act and would impose modified notice requirements.

The bill would authorize the board, at any time, to change, limit, restrict, or reallocate racing weeks, days, or dates that are allocated pursuant to the above-described provisions regardless of whether a condition giving rise to that action is foreseen at the time of allocation or whether a license is issued to conduct a horse racing meeting during an allocated week, day, or date.

(2) Existing constitutional provisions require that a statute that limits the right of access to the meetings of public bodies or the writings of public officials and agencies be adopted with findings demonstrating the interest protected by the limitation and the need for protecting that interest.

This bill would make legislative findings to that effect.

(3) The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

(4) This bill would declare that it is to take effect immediately as an urgency statute.

~~The Horse Racing Law authorizes a thoroughbred racing association or fair to distribute the audiovisual signal and accept wagers on the results of out-of-state thoroughbred races conducted in the United States during the calendar period the association or fair is conducting a race meeting, including days on which there is no live racing being conducted by the association or fair, without the consent of the organization that represents horsemen and horsewomen participating in the race meeting and without regard to the amount of purses. Under that law, the total number of thoroughbred races imported by associations or fairs on a statewide basis under these provisions are required to not exceed 50 per day on days when live thoroughbred or fair racing is being conducted in the state, with the exception of prescribed races, including races imported that are part of the race card of the Kentucky Derby, the Kentucky Oaks, the Preakness Stakes, the Belmont Stakes, the Jockey Club Gold Cup, the Travers Stakes, the Arlington Million, the Breeders' Cup, the Dubai World Cup, the Arkansas Derby, the Apple Blossom Handicap, or the Haskell Invitational.~~

~~This bill would exempt from the 50 imported race per day limitation, races imported that are part of the race card of the Delaware Handicap.~~

Vote: ~~majority~~^{2/3}. Appropriation: no. Fiscal committee: ~~no~~^{yes}.
State-mandated local program: ~~no~~^{yes}.

The people of the State of California do enact as follows:

1 SECTION 1. Section 19481.7 is added to the Business and
2 Professions Code, to read:
3 19481.7. (a) (1) The board may, at any time, immediately
4 suspend a license to conduct a horse racing meeting when
5 necessary to protect the health and safety of horses or riders
6 present at the horse racing meeting.
7 (2) A suspension ordered pursuant to this section shall require
8 a vote of at least four members of the board, or, if fewer than four
9 of the members are present, a unanimous vote of those members
10 present.
11 (3) A suspension ordered pursuant to this section shall remain
12 in effect until the board determines that the matters jeopardizing
13 the health and safety of horses or riders at the horse racing meeting
14 have been adequately addressed.
15 (4) As a condition of lifting a suspension ordered pursuant to
16 this section, the board may require a licensee to comply with
17 additional safety standards or other requirements as it deems
18 necessary or desirable for the best interests of horse racing and
19 the purposes of this chapter.
20 (5) A suspension ordered pursuant to this section is not a
21 revocation subject to the proceedings required by Section 19461,
22 regardless of any effect on a license issued under this chapter.
23 (b) (1) Notwithstanding the Bagley-Keene Open Meeting Act
24 (Article 9 (commencing with Section 11120) of Chapter 1 of Part
25 1 of Division 3 of Title 2 of the Government Code), an emergency
26 meeting may be called at any time by the chairperson of the board,
27 the executive director, or by a majority of the members of the board
28 to consider suspending a license to conduct a horse racing meeting
29 pursuant to this section when there exists an emergency situation
30 involving matters upon which prompt action is necessary to protect
31 the health and safety of horses or riders present at the horse racing
32 meeting. The board may hold an emergency meeting pursuant to
33 this section without complying with the 10-day notice requirement
34 of Section 11125 of the Government Code or the 48-hour notice
35 requirement of Section 11125.4 of the Government Code if the

1 *delay necessitated by those requirements would significantly and*
2 *adversely impact the board's ability to protect the health and safety*
3 *of the horses or riders present at the horse racing meeting.*

4 *(2) At the commencement of an emergency meeting called*
5 *pursuant to this section, the board shall make a finding in open*
6 *session that the delay necessitated by providing notice 10 days*
7 *before a meeting as required by Section 11125 of the Government*
8 *Code or 48 hours before a meeting as required by Section 11125.4*
9 *of the Government Code would significantly and adversely impact*
10 *the board's ability to protect the health and safety of the horses*
11 *or riders at a horse racing meeting. The finding shall be adopted*
12 *by a vote of at least four members of the board, or, if fewer than*
13 *four of the members are present, a unanimous vote of those*
14 *members present. Failure to adopt the finding shall terminate the*
15 *meeting.*

16 *(3) Newspapers of general circulation and radio or television*
17 *stations that have requested notice of meetings pursuant to Section*
18 *11125 of the Government Code shall be notified by the executive*
19 *director, or a designee thereof, at least one hour before the*
20 *emergency meeting by telephone. Notice shall also be made*
21 *available on the board's internet website as soon as is practicable*
22 *after the decision to call the emergency meeting has been made.*
23 *Notwithstanding Section 11125 of the Government Code, the board*
24 *may take action at the emergency meeting on an item of business*
25 *involving the emergency situation that is not included in an agenda,*
26 *if any, that accompanies any notice provided pursuant to this*
27 *paragraph.*

28 *(4) The minutes of an emergency meeting called pursuant to*
29 *this section, a copy of the rollcall vote, and any action taken at*
30 *the meeting shall be posted for a minimum of 10 days in a public*
31 *place as soon after the meeting as possible.*

32 *(c) (1) It is unlawful to conduct a horse racing meeting under*
33 *a license suspended pursuant to this section or, if the suspension*
34 *is lifted, without meeting a condition imposed pursuant to*
35 *paragraph (4) of subdivision (a).*

36 *(2) Nothing in this section supersedes or limits the board's*
37 *authority to suspend or deny a license under any other provision*
38 *of law.*

39 *SEC. 2. Section 19530 of the Business and Professions Code*
40 *is amended to read:*

1 19530. (a) The board shall have the authority to allocate racing
2 weeks to an applicant or applicants pursuant to the provisions of
3 this article and Article 6.5 (commencing with Section 19540) and
4 to specify such racing days, dates, and hours for horse racing
5 meetings as will be in the public interest, and will subserve the
6 purposes of this chapter. The decision of the board as to ~~such those~~
7 racing days, dates, and hours shall be subject to change, ~~limitation~~
8 ~~or restriction~~ *limitation, restriction, or reallocation* only by the
9 board. No municipality or county shall adopt or enforce any
10 ordinance or regulation ~~which that~~ has or may have the effect of
11 directly or indirectly regulating, ~~limiting or restricting~~ *limiting,*
12 *restricting, or reallocating* the racing days and dates of horse racing
13 meetings.

14 (b) *The board may, at any time, change, limit, restrict, or*
15 *reallocate racing weeks, days, or dates that are allocated pursuant*
16 *to this section regardless of whether a condition giving rise to that*
17 *action is foreseen at the time of allocation or whether a license is*
18 *issued to conduct a horse racing meeting during an allocated week,*
19 *day, or date. The board's exercise of authority pursuant to this*
20 *subdivision is not a revocation subject to the proceedings required*
21 *by Section 19461, regardless of any effect on a license issued under*
22 *this chapter.*

23 *SEC. 3. The Legislature finds and declares that Section 1 of*
24 *this act, which adds Section 19481.7 of the Business and*
25 *Professions Code, imposes a limitation on the public's right of*
26 *access to the meetings of public bodies or the writings of public*
27 *officials and agencies within the meaning of Section 3 of Article*
28 *I of the California Constitution. Pursuant to that constitutional*
29 *provision, the Legislature makes the following findings to*
30 *demonstrate the interest protected by this limitation and the need*
31 *for protecting that interest:*

32 *In order to protect the health and safety of horses or riders*
33 *present at horse racing meetings, it is necessary to exempt certain*
34 *meetings of the California Horse Racing Board from notice*
35 *requirements that would prevent the board from providing timely*
36 *protection to horses or riders.*

37 *SEC. 4. No reimbursement is required by this act pursuant to*
38 *Section 6 of Article XIII B of the California Constitution because*
39 *the only costs that may be incurred by a local agency or school*
40 *district will be incurred because this act creates a new crime or*

1 *infraction, eliminates a crime or infraction, or changes the penalty*
2 *for a crime or infraction, within the meaning of Section 17556 of*
3 *the Government Code, or changes the definition of a crime within*
4 *the meaning of Section 6 of Article XIII B of the California*
5 *Constitution.*

6 *SEC. 5. This act is an urgency statute necessary for the*
7 *immediate preservation of the public peace, health, or safety within*
8 *the meaning of Article IV of the California Constitution and shall*
9 *go into immediate effect. The facts constituting the necessity are:*

10 *In order to provide additional protections for horses and riders*
11 *present at horse racing meetings as soon as possible, it is necessary*
12 *that this act take effect immediately.*

13 **SECTION 1.** *Section 19596.2 of the Business and Professions*
14 *Code is amended to read:*

15 ~~19596.2. (a) Notwithstanding any other law and except as~~
16 ~~provided in Section 19596.4, a thoroughbred racing association~~
17 ~~or fair may distribute the audiovisual signal and accept wagers on~~
18 ~~the results of out-of-state thoroughbred races conducted in the~~
19 ~~United States during the calendar period the association or fair is~~
20 ~~conducting a race meeting, including days on which there is no~~
21 ~~live racing being conducted by the association or fair, without the~~
22 ~~consent of the organization that represents horsemen and~~
23 ~~horsewomen participating in the race meeting and without regard~~
24 ~~to the amount of purses. Further, the total number of thoroughbred~~
25 ~~races imported by associations or fairs on a statewide basis under~~
26 ~~this section shall not exceed 50 per day on days when live~~
27 ~~thoroughbred or fair racing is being conducted in the state. The~~
28 ~~limitation of 50 imported races per day does not apply to any of~~
29 ~~the following:~~

30 ~~(1) Races imported for wagering purposes pursuant to~~
31 ~~subdivision (c).~~

32 ~~(2) Races imported that are part of the race card of the Kentucky~~
33 ~~Derby, the Kentucky Oaks, the Preakness Stakes, the Belmont~~
34 ~~Stakes, the Jockey Club Gold Cup, the Travers Stakes, the~~
35 ~~Arlington Million, the Breeders' Cup, the Dubai World Cup, the~~
36 ~~Arkansas Derby, the Delaware Handicap, the Apple Blossom~~
37 ~~Handicap, or the Haskell Invitational.~~

38 ~~(3) Races imported into the northern zone when there is no live~~
39 ~~thoroughbred or fair racing being conducted in the northern zone.~~

- 1 ~~(4) Races imported into the combined central and southern zones~~
2 ~~when there is no live thoroughbred or fair racing being conducted~~
3 ~~in the combined central and southern zones.~~
- 4 ~~(b) Any thoroughbred association or fair accepting wagers~~
5 ~~pursuant to subdivision (a) shall conduct the wagering in~~
6 ~~accordance with the applicable provisions of Sections 19601,~~
7 ~~19616, 19616.1, and 19616.2.~~
- 8 ~~(c) No thoroughbred association or fair may accept wagers~~
9 ~~pursuant to this section on out-of-state races commencing after 7~~
10 ~~p.m., Pacific standard time, without the consent of the harness or~~
11 ~~quarter horse racing association that is then conducting a live racing~~
12 ~~meeting in the Counties of Orange or Sacramento.~~

STAFF ANALYSIS
DISCUSSION BY THE BOARD
REGARDING REQUIRED CHANGES TO CHRB RULES WITH RESPECT TO
THE LIMITATIONS OF FUROSEMIDE (LASIX) USE BEGINNING IN 2020,
AS WELL AS THE NEED FOR RELATED REGULATORY LANGUAGE
AS MAY BE NECESSARY TO PROTECT THE
HEALTH AND WELFARE OF THE HORSE

Regular Board Meeting
May 23, 2019

ISSUE

In March 2019 The Stronach Group and the Thoroughbred Owners of California (TOC) reached an agreement on several initiatives regarding racing and training at Santa Anita Park Race Track (SA) and Golden Gate Fields (GGF). One of the measures was limitations on the use of therapeutic furosemide (Lasix). All horses born in or after 2018 will race at SA and GGF with no race-day medication, including Lasix. This means that beginning in 2020 all two-year-old horses will race medication free. All horses born prior to 2018 will race at SA and GGF with the same guidelines, however, based on veterinary recommendations, Lasix will be permitted at a level of half the current Board-authorized maximum level. Also, in March 2019, the Board approved an agreement, pursuant to Rule 1581, Racing Secretary to Establish Conditions, between The Stronach Group and the TOC regarding entry conditions involving medication to be implemented by SA and GGF. Under the agreement, The Stronach Group would condition all remaining races run at SA and GGF to be run with reduced levels of Lasix (a maximum of 250 mg).

In April 2019, a group of racing entities, including Aqueduct, Belmont Park, Churchill Downs, Del Mar, Los Alamitos Race Course, Fair Grounds, Gulfstream, Keeneland, Oaklawn, Pimlico Race Course and Saratoga, formed a coalition and agreed to restrict the use of Lasix beginning next year. Starting in 2020, two-year-old horses will not be treated with Lasix within 24-hours of racing. In 2021, the prohibition on race-day Lasix will be extended to all horses running in any stakes race at participating tracks.

ANALYSIS

Furosemide, or Lasix, is a medication used for the treatment of Exercised-Induced Pulmonary Hemorrhage (EIPH) in race horses. EIPH refers to the presence of blood in the airways of the lung in association with exercise and is seen in race horses and other horses participating in equine sports. In March 2019, The Stronach Group and the TOC reached an agreement regarding the use of Lasix at SA and GGF. The agreement would reduce the maximum allowable level of Lasix for racing, which under Rule 1845, authorized Bleeder Medication, is 500 mg, to a level of no more than 250 mg.

Rule 1845, subsections (e) and (f) currently provide for a race-day level of Lasix of 250 mg of furosemide intravenously unless and alternative dose of not less than 150 mg and not more than 500 mg has been determined after consultation between the trainer, owner, or owner's veterinarian and the furosemide veterinarian. From 1991 to 2005, the maximum level of furosemide was 250 mg, but in 2005 the maximum level was changed to a level of not less than 150 mg to a maximum level of 500 mg in an effort to produce national uniformity. To accommodate the limitations of furosemide use beginning in 2020, the Board may wish to initiate an amendment to Rule 1845 to set the maximum level for race-day furosemide at 250 mg.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Business and Professions Code section 19582 provides that violations of section 19581, as determined by the Board, are punishable as set forth in regulations adopted by the Board.

RECOMMENDATION

This item is presented for Board discussion.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
RULE 1845. AUTHORIZED BLEEDER MEDICATION

Regular Board Meeting
May 23, 2019

1845. Authorized Bleeder Medication.

The only authorized bleeder medication for the control of exercise-induced pulmonary hemorrhage (EIPH) shall be furosemide, and it shall only be administered by a single intravenous injection, in a dosage of not less than 150 mg and not more than 500 mg, on the grounds of the racetrack where the horse will race, and no later than four hours prior to the post time of the race for which the horse is entered. It shall only be administered to a horse that is registered on the authorized bleeder medication list.

(a) A horse is registered on the authorized bleeder medication list as follows:

- (1) The trainer and the owner's veterinarian shall determine whether furosemide is medically necessary to control EIPH and is not otherwise contraindicated for that horse; and
- (2) Prior to entry for race, the official veterinarian approves form CHRB-194 (Rev. 01/16), Authorized Bleeder Medication and Medical History Request, which is hereby incorporated by reference, submitted to the official veterinarian by the trainer and owner's veterinarian.

(b) Once registered, any horse that will be administered furosemide shall:

- (1) Arrive on the grounds of the racetrack where the horse will race no later than five hours prior to the post time of the race for which the horse is entered; and
- (2) Be assigned to a pre-race security stall prior to the scheduled post time for the race in which it is entered, and shall remain there until it is taken to the receiving barn or the paddock to be saddled or harnessed for the race.

(A) The pre-race security stall shall be identified by the posting of a form CHRB-234 (New 09/15), Detention Stall Sign, which is hereby incorporated by reference. The trainer shall post the Detention Stall Sign no later than eight hours prior to the post time of the race for which the horse is entered or, for a horse arriving from off the grounds of the racetrack, when the horse is placed in the pre-race security stall.

(B) While in the pre-race security stall, the horse shall be in the care, custody, control, and constant view of the trainer, or a licensed employee assigned by the trainer. The trainer shall be responsible for the condition, care and handling of the horse while it remains in the pre-race security stall.

(C) The official veterinarian may permit a horse to leave the pre-race security stall to engage in track warm-up heats prior to a race.

(c) Furosemide shall be administered only after:

(1) The trainer, owner, or the owner's veterinarian has consulted with the furosemide veterinarian regarding the condition of the horse and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1; or

(2) The trainer, owner, or owner's veterinarian has consulted with the official veterinarian or racing veterinarian and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1, and that the consulting official veterinarian or racing veterinarian directly supervises the furosemide veterinarian, or California registered veterinary technician, who administers furosemide.

(d) The person who administers furosemide pursuant to subsection (e)(1) of this regulation shall notify the official veterinarian of the treatment of the horse. Such notification shall be made on

form CHRB-36 (New 08/04), Bleeder Treatment Report, which is hereby incorporated by reference, not later than two hours prior to post time of the race for which the horse is entered.

(1) The trainer or a licensed employee of the trainer shall be present and observe the furosemide administration.

(e) The horsemen's organization, trainers' organization, and racing association shall enter into an agreement to provide for race-day furosemide administration. The agreement to provide for race-day furosemide administration shall be submitted to the Board in accordance with Rule 1433 of this Division. The agreement shall describe the racing association's program for the administration of race-day furosemide, the minimum level of staffing necessary to carry out the program, and the projected costs to horsemen for such administration.

(1) Furosemide shall be administered by a furosemide veterinarian or California-registered veterinary technician under the direct supervision of the furosemide veterinarian. The furosemide veterinarian or California registered veterinary technician who provides race-day furosemide administration shall be employed by the racing association and shall not have a current business relationship, or prior veterinarian-client-patient relationship, with participating licensees within 30 days of the date he or she is employed to administer furosemide.

(2) All parties present during the administration of furosemide shall certify in writing that they have witnessed the furosemide administration by signing the form CHRB-36 (New 08/04), Bleeder Treatment Report. The furosemide veterinarian or California registered veterinary technician shall place the syringe used to administer furosemide in an evidence bag which will be sealed in front of the witnesses. The witnesses shall sign the sealed evidence bag. The syringe used to administer furosemide shall be provided to and securely stored by the Board in accordance with subsection (h) of this regulation.

(3) "Furosemide veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the racing association to administer race-day furosemide to horses registered on the authorized bleeder medication list.

(4) "Owner's veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the owner to provide veterinary care to horses.

(f) A horse registered to be administered furosemide shall receive 250 mg of furosemide intravenously unless an alternative dose of not less than 150 mg and not more than 500 mg has been determined after consultation between the trainer, owner, or owner's veterinarian, and the furosemide veterinarian pursuant to subsection (c).

(g) In the event of an adverse reaction or other emergency related to the administration of furosemide, the furosemide veterinarian, or California registered veterinary technician, who administered furosemide shall attend the horse until the arrival of the owner's veterinarian.

(h) The syringe used to administer furosemide shall be provided to and securely stored by the Board until all testing of the horse is completed. In the event of a positive test finding as defined in this article, the Board may order, or the owner or trainer may request, the retained syringe be tested for prohibited substances. The results of the test may be used in any action before the Board.

(i) A horse that has been administered furosemide must show a detectable concentration of the drug in post-race serum, plasma, or urine samples, as follows:

(1) The official laboratory shall measure the specific gravity of post-race urine samples to ensure samples are sufficiently concentrated for proper chemical analysis. The specific gravity of such samples shall not be below 1.010.

(2) If the specific gravity of the post-race urine sample is determined to be below 1.010, or if the urine sample is not available for testing, quantitation of furosemide in serum or plasma shall then

be performed. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.

(j) A horse registered on the official authorized bleeder medication list must remain on the list unless the trainer or owner's veterinarian requests the horse be removed. The request must be made using form CHRB-194 (Rev. 01/16), and must be submitted to the official veterinarian prior to the time of entry. A horse removed from the authorized bleeder medication list may not be placed back on the list for a period of 60 calendar days unless the official veterinarian determines it is medically necessary for the horse. If a horse is removed from the authorized bleeder medication list a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.

(k) If the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race or workout, and determines such bleeding is a direct result of EIPH, the horse shall be ineligible to race for the following periods:

- First incident -14 days;
- Second incident within 365-day period -30 days;
- Third incident within 365-day period -180 days;
- Fourth incident within 365-day period -barred from racing lifetime.

For the purposes of counting the number of days a horse is ineligible to run, the day after the horse bled externally is the first day of such period.

(l) The owner(s) of a registered horse shall:

(1) Pay all costs associated with the materials used in the administration of furosemide, including the syringe and medication, and reasonable administrative costs as set under the race-day furosemide agreement entered into by the horsemen's organization, trainers' organization, and the

racine association.

(2) Consent to the procedures in this section and agree that the pre-race examination conducted under the direction of the official veterinarian or racing veterinarian shall constitute a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
RULE 1845. AUTHORIZED BLEEDER MEDICATION
VERSION 2005

Regular Board Meeting
May 23, 2019

1845. Authorized Bleeder Medication.

Authorized bleeder medication for the control of exercised induced pulmonary hemorrhage (EIPH) may be administered to a horse on the authorized bleeder medication list.

(a) A horse is eligible to race with authorized bleeder medication if the licensed trainer and/or veterinarian determines it is in the horse's best interest. If a horse will race with authorized bleeder medication, form CHRB 194 (New 08/04), Authorized Bleeder Medication Request, which is hereby incorporated by reference, shall be used to notify the official veterinarian prior to entry.

(b) The official laboratory shall measure the specific gravity of post-race urine samples to ensure samples are sufficiently concentrated for proper chemical analysis. The specific gravity of such samples shall not be below 1.010.

(c) If the specific gravity of the post-race urine sample is determined to be below 1.010, or if a urine sample is not available for testing, quantitation of furosemide in serum or plasma shall then be performed. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.

(d) A horse qualified to race with authorized bleeder medication shall be assigned to a pre-race security stall prior to the scheduled post time for the race in which it is entered, and shall remain there until it is taken to the receiving barn or the paddock to be saddled or harnessed for the race. While in the security stall, the horse shall be in the care, custody, control and constant view of the trainer, or a licensed person assigned by the trainer. The trainer shall be responsible for the condition, care and handling of the horse while it remains in the security stall. The official veterinarian may permit a horse to leave the security stall to engage in track warm-up heats prior to a race.

(e) A horse qualified for administration of authorized bleeder medication must be treated on the grounds of the racetrack where the horse will race no later than four hours prior to post time of the race for which the horse is entered. The authorized bleeder medication, furosemide, shall be administered by a single intravenous injection only, in a dosage of not less than 150 mg. or not more than 500 mg. A horse racing with furosemide must show a detectable concentration of the drug in the post-race serum, plasma or urine sample. The veterinarian administering the bleeder medication shall notify the official veterinarian of the treatment of the horse. Such Notification shall be made using CHRB form-36 (New 08/04), Bleeder Treatment Report, which is hereby incorporated by reference, not later than two hours prior to post time

of the race for which the horse is entered. Upon the request of a Board representative, the veterinarian administering the authorized bleeder medication shall surrender the syringe used to administer such medication, which may then be submitted for testing.

(f) A horse placed on the official authorized bleeder medication list must remain on the list unless the licensed trainer and/or veterinarian requests that the horse be removed. The request must be made using CHRB form 194 (New 08/04), and must be submitted to the official veterinarian prior to the time of entry. A horse removed from the authorized bleeder medication list may not be placed back on the list for a period of 60 calendar days unless the official veterinarian determines it is detrimental to the welfare of the horse. If a horse is removed from the authorized bleeder medication list a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.

(g) If the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race or workout, and determines such bleeding is a direct result of EIPH, the horse shall be ineligible to race for the following periods:

- First incident—14 days;
- Second incident within 365-day period—30 days;
- Third incident within 365-day period—180 days;
- Fourth incident within 365-day period—barred for racing lifetime.

For the purposes of counting the number of days a horse is ineligible to run, the day after the horse bled externally is the first day of such period. The voluntary administration of authorized bleeder medication without an external bleeding incident shall not subject a horse to the initial period of ineligibility as defined under this subsection.

NOTE: Authority cited: Sections 19440 and 19562, Business and Professions Code. Reference: Sections 19580 and 19581, Business and Professions Code.

HISTORY:

1. Amendment filed 7-11-75; effective 8-10-75.
2. Repealer and new rule filed 10-29-81; effective 11-28-81.
3. Amendment filed 2-9-84; effective 2-9-84.
4. Amendment of subsections (e) and (f) filed 8-13-91; effective 9-12-91.
5. Amendment filed 4-27-05; effective 5-27-05.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
RULE 1845. AUTHORIZED BLEEDER MEDICATION
VERSION 1991

Regular Board Meeting
May 23, 2019

1845. Bleeder List.

Approved prophylactic medication for the control of bleeding may be administered to a horse on the Bleeder List.

(a) A horse which during or following exercise of the race is observed by the Official Veterinarian or Steward to be shedding blood from one or both nostrils or is found to have bled internally may be placed on the Bleeder List by the Official Veterinarian.

(b) The official Veterinarian may require an endoscopic examination of the horse in order to confirm inclusion on the Bleeder List, and such endoscopic examination shall be conducted by a veterinarian employed by the owner or his agent in the presence of or in consultation with the Official Veterinarian subsequent to the finish of the race or exercise in which the horse has participated and bled, and must reveal hemorrhage in the lumen of the respiratory tract.

(c) The confirmation of a bleeder horse must be certified in writing by the Official Veterinarian, entered by him on the Bleeder List and a copy of such certification issued to the owner of the horse or his agent. A horse shall be removed from the Bleeder List only by the Official Veterinarian who shall enter his reason for the removal.

(d) Once a horse is placed on the Bleeder List, it must be assigned to a pre-race security stall prior to scheduled post time for the race in which it is entered to start and remain there until it is taken to the receiving barn or to the paddock to be saddled or harnessed for the race. The Official Veterinarian may permit a horse to leave the security stall to engage in track warm-up heats prior to a race.

(e) Horse qualified for administration of bleeder medication in accordance with these rules must be treated no later than 4 hours prior to post time of the race for which the horse is entered. As a condition for approval of the administration of furosemide, immediately prior to treatment, a blood sample shall be taken for testing when so directed by the Official Veterinarian.

(f) Approved bleeder medication shall be administered by a veterinarian employed by the owner of the horse or by his agent at a maximum dose of 250 mg (5ml) to be given to any horse and at the time approved by the Official Veterinarian no later than four (4) hours prior to post time pursuant to the Board's instructions. This drug shall be given only by the intravenous (IV) route utilizing a single injection. The veterinarian administering the bleeder medication shall notify the Official Veterinarian of the treatment of the horse. Notification shall be made no later than 2 hours prior to post time of the race for which the horse is entered.

(g) While in the security stall, the horse shall be in the care, custody and control of the trainer or a licensed person assigned by him and the trainer shall be responsible for the condition, care and handling of the horse while it remains in the security stall.

(h) A bleeder horse shipped into this State from another jurisdiction may be automatically placed on the Bleeder List provided that the jurisdiction from which it was shipped qualified it as a bleeder using criteria satisfactory to this State and provided a current certificate setting forth its qualifications as a bleeder is presented to the Official Veterinarian.

NOTE: Authority cited: Sections 19440 and 19562, Business and Professions Code. Reference: Sections 19440 and 19562, Business and Professions Code.

HISTORY:

1. Amendment filed 7-11-75; effective 8-10-75.
2. Repealer and new section filed 10-29-81; effective 11-28-81.
3. Amendment filed 2-9-84; effective 2-9-84.
4. Amendment of subsections (e) and (f) filed 8-13-91; effective 9-12-91.

ACVIM Consensus Statement

J Vet Intern Med 2015;29:743-758

Consensus Statements of the American College of Veterinary Internal Medicine (ACVIM) provide the veterinary community with up-to-date information on the pathophysiology, diagnosis, and treatment of clinically important animal diseases. The ACVIM Board of Regents oversees selection of relevant topics, identification of panel members with the expertise to draft the statements, and other aspects of assuring the integrity of the process. The statements are derived from evidence-based medicine whenever possible and the panel offers interpretive comments when such evidence is inadequate or contradictory. A draft is prepared by the panel, followed by solicitation of input by the ACVIM membership which may be incorporated into the statement. It is then submitted to the *Journal of Veterinary Internal Medicine*, where it is edited prior to publication. The authors are solely responsible for the content of the statements.

Exercise Induced Pulmonary Hemorrhage in Horses: American College of Veterinary Internal Medicine Consensus Statement

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Background: Published studies of exercise-induced pulmonary hemorrhage (EIPH), when assessed individually, often provide equivocal or conflicting results. Systematic reviews aggregate evidence from individual studies to provide a global assessment of the quality of evidence and to inform recommendations.

Objectives: Evaluate evidence to determine: if EIPH adversely affects the health, welfare or both of horses; if EIPH affects the athletic capacity of horses; the efficacy of prophylactic interventions for EIPH; and if furosemide affects the athletic capacity of horses.

Animals: None.

Materials and Methods: *Systematic review.* A panel of 7 experts was formed to assess evidence in the peer reviewed literature addressing each of the 4 objectives. Methodology followed that of the Grading of Recommendations, Assessment, Development, and Evaluation (GRADE). Publications were assessed for quality of evidence by working groups of the panel, and a summary of findings was presented in tables. Recommendations were based on quality of evidence and were determined by a vote of the panel.

Results: Much of the evidence was of low to very low quality. Experimental studies frequently lacked adequate statistical power. There was moderate to high quality evidence that EIPH is progressive, is associated with lung lesions, that it adversely affects racing performance, that severe EIPH (Grade 4) is associated with a shorter career duration, that furosemide is efficacious in decreasing the incidence and severity of EIPH, and that administration of furosemide is associated with superior race performance.

Conclusions and clinical significance: Strong recommendation that EIPH be considered a disease and a weak recommendation for use of furosemide in management of racehorses with EIPH.

Key words: Bleeding; Lungs; Physiology; Respiratory.

Exercise-induced pulmonary hemorrhage (EIPH) is bleeding that occurs from the lungs of horses during exercise. It occurs in the majority of Thoroughbred

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Abbreviations:

ACVIM	American College of Veterinary Internal Medicine
EIPH	exercise induced pulmonary hemorrhage
EP	evidence profile
GRADE	Grading of Recommendations, Assessment, Development, and Evaluation
SoF	summary of findings

and Standardbred racehorses and in many other horses subjected to strenuous exercise.

The perceived importance of EIPH and use of furosemide is illustrated by the results of an internet search^a (May 26, 2014) that returned over 45,000 results on the term “bleeders in horses”, 113,000 on “EIPH”, 890,000 using “Lasix and horse”, and 905,000 results for “furosemide and horse”. Web of Science searches conducted on May 26, 2014 using the terms “exercise-induced pulmonary hemorrhage” or “exercise-induced pulmonary hemorrhage” AND “horse” yielded 368 results, “EIPH” AND “horse” 224 results, and “furosemide or frusemi-

de" AND "horse" 367 results. Further evidence of interest in these topics is the frequency of articles and opinion pieces in the nonscientific veterinary and lay literature.

For the purpose of this consensus statement, EIPH is defined as the presence of blood detected on tracheobronchoscopic examination after exercise, presence of red blood cells in bronchoalveolar lavage fluid, or both. There is no consensus about the concentration of red blood cells in bronchoalveolar lavage fluid that is diagnostic of EIPH, and the definition varies among reports. Interventions to prevent or decrease the severity of EIPH will be referred to as prophylaxis and not as "treatment" given that most interventions are applied before the horse exercises and that some clinicians consider treatment of EIPH to be the management of the consequences of EIPH after exercise.

This consensus statement addresses 4 topics related to EIPH and its prophylaxis in horses. It does not address regulatory issues such as detection of drug administration or the potential masking effect of furosemide on other medications or substances, the pathophysiology of EIPH, risk factors for the condition, or the effect of permitting use of furosemide on the health or racing career of horses.

The objective of this consensus statement is to review the evidence and provide findings and recommendations that address each of the following topics:

- 1 Does EIPH adversely affect the health, welfare or both of horses? This question is important because evidence of an adverse effect of EIPH on the health or well-being of horses has potential ramifications for use of horses for racing.
- 2 Does EIPH affect the athletic capacity of horses? An adverse effect of EIPH on athletic capacity might influence decisions on the use of interventions to decrease the severity or incidence of EIPH.
- 3 Are there effective prophylactic interventions for EIPH? The capacity to manage EIPH is dependent on the existence of medications or interventions that decrease the severity or incidence of EIPH.
- 4 Does furosemide affect the athletic capacity of horses? An association of furosemide administration with superior performance has been suspected since the drug was first used in race horses and continues to be contentious.¹

We performed a systematic review providing a series of findings and recommendations rather than a narrative review because of the relatively large number of experimental and observational trials relevant to these topics and the importance of systematically ranking the quality of the evidence. Although both types of review have the capacity to provide a critical evaluation of the literature, only the systematic review is widely recognized as rigorous and, being based on clearly defined methodology, is less likely to be biased.

Increasingly, the shortcomings of providing only assessments of the quality of evidence as the outcome

of a systematic review have been recognized, leading to the development of GRADE methodology (Grading of Recommendations Assessment, Development and Evaluation)] which provides a methodology for arriving at findings regarding the body of evidence and making recommendations based on these findings.^{2,3} In addition to considering the strength of evidence, the GRADE process considers a number of other factors when making a recommendation (see Supplementary material).⁴

Because randomized controlled trials only rarely have been used in investigations related to EIPH, we expanded our consideration to studies of other designs. We also adopted the GRADE approach to evaluating the quality of evidence of individual studies and then developed a concise statement of our overall confidence in the results of all studies combined. Assessments of evidence included an assessment of the quality of the evidence and the direction of the effect.

Methods

The topic for this consensus statement was developed using policies and procedures of the American College of Veterinary Internal Medicine. The topic was selected after nomination from the ACVIM membership, and confirmed by the ACVIM Board of Regents. Nominations for membership of the consensus panel were solicited from leadership of the ACVIM and ECEIM, and composition and chair of the consensus panel were approved by the Board of Regents of the ACVIM. All members of the panel completed a conflict of interest declaration, which was provided to a representative of the Board of Regents of the ACVIM and the Chair of the panel. Potential conflicts of interest for each panel member are listed separately.

The consensus panel invited input to the process in an email to all members of the Large Animal Specialty and ECEIM on January 7, 2014. Three responses were received.

This consensus statement was developed by a systematic review of the scientific literature related to the 4 topics listed above. Consistent with the GRADE approach,⁵ a series of subsidiary outcomes were defined for each of the 4 main topics and were defined as either "critical" or "important".⁶ Critical outcomes were those clearly directly related to the topic (e.g. race performance as a critical subsidiary outcome for the topic of "association of EIPH with performance") whereas important outcomes were those related to mechanisms (e.g. blood gas tensions during strenuous exercise as an indirect estimate of the relationship of furosemide with performance, pulmonary fibrosis as an indicator of lung health) or indirect measures of a critical outcome (e.g. run time to fatigue on a treadmill, VO_{2max} as an indicator of athletic capacity). The scientific literature relevant to each of the subsidiary questions was then evaluated for relevance and strength of evidence and each study summarized in an "Evidence Profile" (EP) table.⁵ Studies then were aggregated into a "Summary of Findings" (SoF) table that summarized the available literature.⁷ Further details are available in the Supplementary material.

Exercise-induced pulmonary hemorrhage was defined as the presence of blood in the airways of horses after exercise. Blood could be detected by tracheobronchoscopic examination, or by enumeration of red blood cells or hemosiderophages in tracheal aspirates or bronchoalveolar lavage fluid. Exercise-induced pulmonary hemorrhage included both occult hemorrhage (evident only on tracheobronchoscopic or cytologic examination of the airways)

and epistaxis. Throughout this document EIPH refers to either outcome (occult EIPH or epistaxis). Epistaxis refers specifically to the presence of blood at the nostrils after racing.

Responsibility for developing the initial search and evaluation of the literature was delegated to a working group for each topic. Each working group then provided Evidence Profile tables, Summary of Findings tables and a written summary for evaluation by the whole panel. Discussion among working group members occurred by email and teleconference. See Supplementary material for details.

Results

Consensus was achieved on all findings by a unanimous vote.

Topic 1. What is the Impact of EIPH on Welfare and Health of Horses?

Exercise-induced pulmonary hemorrhage often is cited as an important factor adversely affecting the health and well-being of athletic horses without provision of evidence supporting the contention. Evidence of systematic examination of affected horses for clinical abnormalities such as fever, cough, or abnormal lung sounds is sparse (Table 1).

Critical Outcome. Does EIPH produce clinical signs?: The clinical signs of EIPH often are considered to include: blood in the airways detected by either tracheo-bronchoscopy or examination of tracheal aspirates or bronchoalveolar lavage fluid, poor performance, epistaxis, abnormalities detected on ultrasonographic or radiographic examination of the thorax, coughing, increased respiratory rate, respiratory distress or changes in behavior. The diagnostic accuracy of these signs varies or has not been well-evaluated. Presence of blood in the airways of a horse after exercise is considered the gold standard for diagnosis of EIPH. Tracheobronchoscopic detection and grading of blood in the trachea or bronchi has been validated as a means of assessing the severity of EIPH (but not the severity of the underlying lesions) and has clinical utility in that it is associated with measures of performance.^{8,9} Athletic performance is likely a useful guide to the horse's health.

There is very low quality evidence that EIPH is not associated with coughing and coughing does not appear to be a reliable sign of the presence of EIPH detected by presence of hemosiderophages in tracheal lavage fluid.¹⁰ We located no reports of the frequency of coughing in horses with EIPH diagnosed by tracheo-bronchoscopy.

Epistaxis after exercise generally is considered an indication of EIPH although epistaxis can result from other causes (e.g. trauma to the head or upper airways, ethmoidal hematoma, guttural pouch mycosis). In the 3 reports of examination of horses with EIPH as evidenced by epistaxis, no evidence of causes other than pulmonary hemorrhage as the source of the blood was identified. There is moderate quality evidence that epistaxis during or soon after exercise is attributable to EIPH.

Radiographic examination of the thorax of horses can demonstrate the presence of densities in the caudo-

dorsal lung fields of some horses with EIPH. Many horses with EIPH have minimal to undetectable radiographic abnormalities and horses without a history of EIPH can have marked abnormalities. There is moderate quality evidence that radiographic examination has low sensitivity in detecting horses with EIPH.¹¹⁻¹⁴ There is very low quality evidence that ultrasonographic examination has high sensitivity (86%) and low specificity (26%) for detection of EIPH.¹⁵ We identified no evidence regarding increased respiratory rate, respiratory distress, or changes in behavior as clinical signs of EIPH in horses after exercise.

Finding: *There is very low quality evidence of consistent clinical abnormalities in horses with EIPH, with the exception of presence of epistaxis after exercise for which there is moderate quality evidence.*

Important Outcome. Does EIPH affect blood-gas exchange?: Arterial blood gas tensions and blood (or plasma) lactate concentrations theoretically could be affected by EIPH. Four observational treadmill studies provided very low quality evidence that EIPH impaired arterial blood gas tensions during intense exercise.¹⁶⁻¹⁹ Studies were marked by inconsistency and imprecision and serious risk of bias.

Three prospective observational studies provide only very low quality evidence that EIPH is associated with higher blood lactate concentrations during exercise.^{16,18,19} Studies were marked by low numbers of horses, bias and inconsistency.

Finding: *There is very low quality evidence of an adverse effect of EIPH on arterial oxygen tension during exercise. There is very low quality evidence of an association between higher blood lactate concentrations and EIPH during strenuous exercise.*

Critical Outcome. Is EIPH a cause of sudden death?: Quality of evidence regarding the occurrence of sudden death was assessed subjectively because the published data were not appropriate for an EP or SoF. There is low quality evidence of an association between EIPH and sudden death of Thoroughbred horses during racing. Exercise-induced pulmonary hemorrhage occurs in the majority of horses during racing whereas sudden death occurs in 0.08 to 0.29 horses per 1,000 starts.²⁰ Pulmonary hemorrhage was considered to have contributed to the sudden death during or shortly after racing or training of 50 of 143 horses for which there was confirmation of the cause of death.²¹ Other reports of association of pulmonary hemorrhage and death during racing are based on small numbers of cases. Although pulmonary hemorrhage can be present in horses that die suddenly, it is unclear if pulmonary hemorrhage is the primary cause of death or is secondary to another cause of death (e.g. acute heart failure resulting in sudden death and pulmonary hemorrhage). The risk of sudden death in horses with EIPH has not been determined in that an association between EIPH and subsequent sudden death during racing is unclear.

Finding: *There is low quality evidence that EIPH is causally associated with sudden death in race horses and we could locate no evidence of increased risk of sudden death in horses with EIPH.*

Table 1. Summary of Findings table for association of EIPH with health and welfare of horses.

Outcomes	Quality assessment					Number of horses		Treatment effect		Strength of evidence	Comments	
	Study design (n)*†	Bias (n)	Inconsistency	Indirectness	Imprecision	Control	EIPH	Absolute	Relative			
Does EIPH cause epistaxis?												
Presence of epistaxis after exercise	III (3)	None	None	Not serious (1)	None	1605 total examinations	736 EIPH cases identified	NA		Incidence of epistaxis in EIPH positive horses 0-6.2%	Moderate	EIPH is associated with epistaxis. Frequency of other causes of epistaxis after exercise is unclear but appears to be low
Does EIPH cause changes that can be detected using imaging techniques?												
Presence of lesions on plain radiographs	III (4)	Serious (3)	Serious (1)	Serious (4)	Serious (1)	10	51	Majority report increased density in caudodorsal lung fields		NA	low	No direct comparison possible among the 4 studies. No demonstration of utility of examination
Presence of changes detectable using ultrasonography	III (1)	Serious	NA	None	None	127	30	Diagnostic sensitivity of ultrasound 85.8% specificity = 25.7%.		NA	Low	Single study
Does EIPH cause coughing?												
Evidence of EIPH as a cause of coughing horses	III (1)	None	NA	Serious (indirect assessment of EIPH)	Serious - wide confidence intervals	148	100 coughing horses	OR 0.05-3.5		NA	Very low	No demonstration of coughing in horses with EIPH
Does EIPH affect blood gas exchange and blood lactate concentrations?												
Blood gas tensions during intense exercise	III (3)	Serious - no power estimate or confidence intervals around effect	Serious	None	Serious	22	126	NA		NA	Very low	No pooled estimates of effect available. Inconsistent results. Imprecise results
Blood lactate concentration during intense exercise	III (3)	Serious - no power estimate or confidence intervals around effect	Serious	None	Serious	34	38	NA		NA	Very low	No pooled estimates of effect available. Inconsistent results. Imprecise results
Does EIPH shorten the career of horses?												
Number of lifetime starts	III (1)	No	NA	No	No	744	Grade 4 horses had 15.2 fewer starts than Grade 0 horses	NA		Moderate	$P < .001$. No association between EIPH Grade and duration of career in months.	

Table 1. (Continued)

Outcomes	Quality assessment					Number of horses		Treatment effect		Strength of evidence	Comments
	Study design (n)*†	Bias (n)	Inconsistency	Indirectness	Imprecision	Control	EIPH	Absolute	Relative		
Is EIPH associated with inflammation of the lung?											
Does EIPH cause inflammation in the lungs?	II (4) III (4)	Not serious	None	Serious (4)	Not serious	29	66	Evidence of low-grade inflammation only in horses instilled with autologous blood. No active inflammation in EIPH-affected animals	NA	Moderate	The 4 studies that used inoculation of autologous blood might not reflect processes occurring in EIPH
Does EIPH cause structural changes in the lung?											
Does EIPH cause structural changes in lungs.	III (7) IV (1)	Not serious	None	Not serious	Not serious	10	101	All studies hemosiderin, fibrosis and vascular remodeling in caudodorsal lung fields, 3 showed venous remodeling and 2 changes in bronchioles	NA	High	
Is EIPH a progressive condition?											
Is increasing age associated with greater risk or prevalence of EIPH?	III (4)	Serious – no power estimate or confidence intervals around effect	Serious	Serious -	Serious	569	788	Majority do not detect age effect, including after correction for number of starts.	NA	Very low	No pooled estimates of effect available. Inconsistent results. Imprecise results
Is increasing age associated with greater risk of epistaxis	III (1), IV (2)	Serious – no power estimate or confidence intervals around effect	Serious – explained by confounding of number of starts.	None	Not serious	1,253,150 race starts	NA	Increased risk of epistaxis with increasing time spent racing or age.	NA	Low	No pooled estimates of effect available. Inconsistent results. Imprecise results
Is increasing volume of racing (starts, racing years) associated with increased risk of EIPH or epistaxis	III (2)	Not serious	Not serious	None	Serious	27347	588	OR Epistaxis ~2.8× for horses 2, 3, 4+ years versus 1 year racing OR for EIPH of 1.8 for ≥ 50 starts versus < 40 starts	1.2–5.3 1.1–2.8	Moderate	Thoroughbreds. Evidence of increase in OR with increasing racing volume
Does EIPH contribute to the pathogenesis of other diseases?											
No relevant publications identified											
Is epistaxis heritable?											
Calculated heritability of epistaxis	IV (2)	Moderate risk (2)	No	No	No	170,234 pedigrees analysed		Lifetime epistaxis risk $h^2 = 0.23-0.27$	NA	Low	Reporting of results in available studies impairs evaluation of the studies

†n = number of studies included.

*Study design (see Supplementary item 5) : Type I - Randomized, placebo controlled, blinded field or clinical trials (high quality RCTs) conducted under conditions of racing or competing. Initial level of evidence - High. Type II - Randomized controlled intervention trials (low quality RCTs) including treadmill studies. Initial level of evidence - Moderate. Type III - Non-randomized controlled trials and prospective observational studies. Initial level of evidence - Low. Type IV - Case series and retrospective observational studies. Initial level of evidence - Very low.

Critical Outcome. *Does EIPH shorten the career of horses?* The association of EIPH with duration or quality of racing career can be assessed using either EIPH grading or epistaxis as a marker of EIPH severity. The 1 study addressing the association of severity of EIPH and duration of racing career used a single endoscopic examination and provided moderate quality evidence that EIPH Grade 4 is associated with a shortened racing career of Thoroughbred race horses in Australia.²² Epistaxis is associated with retirement of horses from racing in Australia but whether this is attributable to biologic (i.e., disease) factors or is a consequence of the management of affected horses is unclear.²³ There is moderate quality evidence that EIPH of Grades 1-3 is not associated with a shortened racing career.²²

Finding: *There is moderate quality evidence that EIPH Grade 1-3 is not associated with a shorter racing career of Thoroughbred horses. There is moderate quality evidence that Thoroughbred horses with epistaxis or Grade 4 EIPH have shorter careers.*

Important Outcome. *Is EIPH associated with inflammation in the lung?* Early descriptions of airway inflammation (bronchiolitis) in EIPH lungs²⁴ are not supported by more recent investigations.³⁵ Experimentally, a single infusion of autologous blood into the airways is followed by increased numbers of alveolar macrophages and hemosiderophages and disappearance of blood with no residual inflammation at 14 days.²⁵⁻²⁶ Blood instilled repeatedly also is cleared rapidly and does not result in lesions characteristic of EIPH.^{27,28}

The evidence supporting airway inflammation as a cause of EIPH is very weak. During intense exercise, horses are more likely to bleed into regions of lung with local experimentally induced airway inflammation but the role this inflammation plays in the naturally occurring syndrome is unknown.²⁹ In a large investigation of Thoroughbred racehorses examined monthly, airway inflammation was associated with EIPH as defined both by visible bleeding and hemosiderophages in tracheal wash fluid but the relationship of these observations to recent exercise or racing was not considered.³⁰ Other large field investigations found no associations between EIPH score and airway inflammation,³¹ between cough (a sign of airway inflammation) and number of hemosiderophages,¹⁰ or between tracheal mucus score (a sign of lower airway inflammation) and EIPH score.³¹

Finding: *There is low quality evidence that EIPH leads to inflammation in either the pulmonary parenchyma or airways. There is very low quality evidence that inflammation causes EIPH.*

Critical Outcome. *Does EIPH cause lesions in the lungs?* Worldwide, lesions are present in the lungs of EIPH-affected horses retired from racing because of repeated exercise-associated epistaxis or EIPH.^{33,35,65,97} Similar but less severe lesions described in young horses in training need confirmation.³² Both gross and microscopic EIPH lesions are bilateral and most prevalent in the caudodorsal region of the lung. Lesions extend to varying degrees along the dorsal border, but never occur in the cranioventral regions. Gross lesions include

discoloration of the pleural surface with underlying firm parenchyma that does not fully deflate in excised lungs. Pleural discoloration is a consequence of hemosiderin accumulation that is accompanied by pleural and septal fibrosis and angiogenesis.^{24,35} Vascular lesions include extensive remodeling of small pulmonary veins (100-200 μ m outer diameter) characterized mainly by accumulation of adventitial collagen and, in some vessels, smooth muscle hyperplasia.³⁵ In the most severely affected vessels, the vascular lumen is markedly decreased. The distribution of venous remodeling, hemosiderin, and fibrosis is similar to the distribution of pulmonary blood flow in the equine lung.³³ Electron microscopy of lungs from recently exercised horses shows breaks in the capillary endothelium and basement membrane, interstitial and intra-alveolar accumulations of erythrocytes, and interstitial edema that are compatible with capillary stress failure consequent to high intravascular pressure.³⁴

Finding: *There is high quality evidence that some horses with EIPH have extensive and characteristic pulmonary lesions.*

Critical Outcome. *Is EIPH a progressive condition?* There are no studies that report on the incidence of EIPH in a group of horses followed over the course of their career. There is low quality evidence that EIPH detected by endoscopic examination is associated with age when confounding factors, including the number of starts, are not accounted for in the statistical analysis.^{9,36,37,46} However, when the number of starts is included, age is not a risk factor for EIPH.³⁸

Similarly, there is moderate quality evidence that age is a risk factor for epistaxis when confounding factors are not taken into account.³⁹⁻⁴¹ When career duration was included in analyses, years spent racing was a significant risk factor (although with considerable imprecision), whereas age was not.

Finding: *There is moderate quality evidence that EIPH is progressive and related to load of racing.*

Critical Outcome. *Does EIPH contribute to the pathogenesis of other diseases?* We could identify no reports of studies investigating the relationship between EIPH and subsequent infectious or noninfectious lung disease.

Finding: *We did not locate evidence that EIPH is associated with development of other lung diseases.*

Critical Outcome. *Is EIPH heritable?* Because EIPH of some form occurs in almost all racehorses, there is no phenotypic variance at the level of present/not present, rendering the question of heritability of EIPH likely irrelevant. There is low quality evidence that epistaxis is a heritable trait in racing Thoroughbreds.^{42,43} The quality of the evidence is considered to be very low because of difficulties with case identification, inability to exclude non-EIPH related epistaxis, inability to completely characterize pedigrees, and because the heritability measured might be for factors that facilitate the passage of blood from lungs to nostrils rather for those than influence the severity of EIPH.

Finding: *There is no published evidence regarding the heritability of EIPH. There is very low quality evidence of an association of pedigree with occurrence of epistaxis.*

Topic 2. Does EIPH Affect Performance?

The high incidence of EIPH has prompted speculation that EIPH is an important cause of impaired performance in Thoroughbred racehorses. Although this belief is strongly held by many horsemen and veterinarians involved in the care of racehorses, others have suggested that EIPH might be associated with superior performance, being reflective of greater racing effort. Evaluating the association of EIPH with performance requires establishing outcomes or measurements of performance during racing or on the treadmill (Table 2).

Critical Outcome. Is EIPH associated with the finishing position in a race?: Seven studies reported on the association of EIPH with finishing position in the race (1 with moderate level of evidence and 6 with low and very low level of evidence). Two studies determined that EIPH detected by tracheobronchoscopic examination was associated with the likelihood of having inferior finishing position races.^{44,45} One study examined 744 Thoroughbreds racing in Australia where race-day use of furosemide and nasal strips are prohibited.⁴⁵ The other examined 1,003 individual Thoroughbred racehorses (2,118 tracheobronchoscopic examinations) that all received furosemide and had been diagnosed previously with EIPH.⁴⁶ The study with the strongest evidence showed that horses that were EIPH negative or had EIPH grade 1 were more likely to win or finish in the first 3 positions.⁴⁵ In the 5 studies showing no effect of EIPH on finishing position, pre-race furosemide prophylaxis status for horses was unknown in 4 and unreported in the fifth.^{9,37,47-49}

Finding: *There is moderate quality evidence that moderate to severe EIPH in Thoroughbred race horses is associated with increased likelihood of inferior finishing position in a race.*

Critical Outcome. Is EIPH associated with the finishing time in a race?: A single study examined 29 EIPH positive Standardbred horses that had at least 1 EIPH negative race.⁴⁸ Their average racing times were compared between EIPH positive and EIPH negative horses and no statistical significant difference was detected. The report was of very low quality because of apparent low statistical power, nonrandom selection of horses, and racing time was recorded only in winners.

Finding: *There is very low quality of evidence that EIPH in Standardbred racehorses is not associated with finishing time in a race.*

Critical Outcome. Is EIPH associated with the distance a horse finishes behind the winning horse in a race?: A single study evaluated the effects of EIPH on the distance a horse finishes behind the winning horse in a race.⁴⁵ Horses with EIPH severity Grade ≥ 1 finished significantly further behind the winner than did horses with no evidence of EIPH. For horses with EIPH distance finished behind the winner was associated with grade of EIPH with higher grades finishing further behind the winner. Post hoc testing indicated significant difference in distance finished behind the winner with horses with grade 2 EIPH compared with no evidence of EIPH.

Finding: *There is moderate quality evidence that Thoroughbred racehorses with more severe EIPH finish farther behind the winning horse in a race.*

Critical Outcome. Is EIPH associated with race earnings?: A single study evaluated the effects of EIPH on a horse's race earnings. Horses with EIPH severity grade ≤ 1 were about 3 times as likely to be in the highest decile for race earnings when compared to horses with EIPH severity Grade ≥ 2 .⁴⁵

Finding: *There is moderate evidence that severity of EIPH in Thoroughbred racehorses is negatively associated with a horse's race earnings.*

Critical Outcome. Is there a dose response relationship between the severity of EIPH and performance?: Three studies of horses racing on a racetrack reported evaluation of the effect of the severity of EIPH on performance.⁴⁵⁻⁴⁷ The 2 studies providing moderate quality evidence indicated a negative association of the severity of EIPH and performance.^{46,47} The strongest study found an apparent dose-response for distance finished behind the winning horse, but not for finishing position as measured categorically (i.e. winning or finishing in the top 3 positions).⁴⁵

Finding: *There is low quality evidence of a dose-response relationship between severity of EIPH in Thoroughbred racehorses and severity of impaired performance.*

Topic 3. Are There Effective Prophylactic Interventions for EIPH?

All investigations of the effect of drugs and nonpharmacological management of EIPH have focused on prevention (i.e. prophylaxis). There are no reports of the efficacy of treatments to decrease severity or progression of lung lesions of EIPH-affected horses nor are there reports of treatment of horses with EIPH (i.e. management of the short term clinical consequences of an episode of EIPH). Likewise, there are no reports of efficacy of interventions applied during training to prevent EIPH during racing.

Critical Outcome. Is furosemide effective prophylaxis for EIPH?: A number of low quality investigations conducted both on the treadmill and on the racetrack judged furosemide ineffective as a treatment for EIPH (Table 3).⁵⁰⁻⁵² These studies simply judged the presence or absence of visible hemorrhage postexercise by endoscopy with no attempt to judge the severity of bleeding. Low quality studies demonstrated a decrease in the number of red blood cells in bronchoalveolar lavage fluid in horses performing standardized exercise tests on a treadmill.^{53,54} There was a decrease in severity of EIPH identified in 2 high quality investigations that endoscopically graded bleeding in large numbers of horses running on the racetrack.^{55,56}

Finding: *There is high quality evidence that furosemide (0.5-1 mg/kg administered IV 4 hours before strenuous exercise) decreases the severity and incidence of EIPH.*

Important Outcome. Does furosemide affect pulmonary vascular pressure?: Pertinent to EIPH, several moderate

Table 2. Summary of findings – Does EIPH affect performance?

Outcomes	Quality Assessment						Summary of findings				
	Study Design	Bias (n)	Limitations	Inconsistency	Indirectness	Imprecision	Summary of results	Results:	Dose response (graded EIPH)	Strength of Evidence	Comments
								YES/NO		High, moderate, low, very low	
Finishing position – Normal racing conditions	III (7)	Low risk (1) Moderate to High risk (6)	Very different approaches to data analysis. Most did not control for potential confounding	Inconsistencies in reported outcomes (performance) and methods of analysis	Nil	Minimal to moderate for pairwise comparisons in the high quality study. Serious for lower quality studies	High quality study for EIPH ≤ 1 : OR for winning = 4.0 (1.5–14.3). OR for finishing in top 3 = 1.8 (1.1–3.1). No difference found in lower quality studies	2 studies found effects (1 high quality, 1 low quality)	Not detected	Mod (n = 1) Very low (n = 6)	Low power and confounding bias could have profound affected low-quality study
Finishing time – Normal racing conditions	III (1)	High risk	Low power, no control of potential confounding	N/A	Nil	Serious	n = 49 horses: EIPH Mean = 2:04.1 (SD = 2.1 second), Non-EIPH Mean = 2:03.3 (SD = 2.1 seconds)	n.s.	Not evaluated	Very low	Very low power
Distance finished behind winning – normal racing conditions	III (1)	Low risk	Low numbers of severely affected horses	N/A	Nil	Moderate for pairwise comparisons related to severe EIPH	n = 744 horses: EIPH ≥ 1 mean = 4.4 m (SE 1.2 m); EIPH Grade 0 mean = 2.6 m (SE 1.1 m)	Significant difference	Yes	Mod	Low numbers of severely affected horses
Race earnings (90th percentile or greater)	III (1)	Low risk	Low numbers of severely affected horses	N/A	Nil	Moderate for pairwise comparisons related to severe EIPH	n = 744 horses: OR for EIPH ≤ 1 = 3.0 (1.3–8.0) compared to EIPH ≥ 2	Significant difference	Not reported	Mod	Low numbers of severely affected horses
Dose Response Relationship between EIPH and Performance – Normal Racing Conditions	III (3)	Low risk (1) Moderate to High risk (2)	Very different approaches to data analysis. Most did not control for potential confounding. Low numbers of severely affected horses	Inconsistencies in reported outcomes (performance) and methods of analysis	Nil	Minimal to moderate for pairwise comparisons in the high quality study. Serious for lower quality studies.	Dose response relationship was identified in the high quality study for distance finished behind the winner, and was not identified for finishing position. Lower quality studies did not identify an effect.	1 study found an effect in 1 outcome.		Mod (n = 1) Very low (n = 2)	Confounding bias could have profoundly affected low-quality studies

Table 3. Summary of findings of efficacy of furosemide and other interventions for prophylaxis of EIPH.

Outcomes	Study design (n)	Quality Assessment				Number of horses		Treatment effect		Strength of evidence	Comments
		Bias (n)	Inconsistency	Indirectness	Imprecision	Control	FUR	Absolute	Relative		
Is furosemide is an effective prophylactic treatment for EIPH?											
EIPH quantified by scoring tracheal blood postexercise	I (2)	Low	No	No	No	211	211	65% of horses have decreased EIPH score when racing after furosemide	Horses are more likely to bleed after saline than after furosemide treatment	High	“High” evidence rating results from large n, randomized crossover trials, racing conditions, and scoring of tracheal blood
EIPH quantified by BALF RBC count after exercise	Type II (3)	Moderate	No	No	No	23	23	Furosemide reduced BAL RBC count.		Low	The correlation between BALF RBC count and EIPH score is unknown.
EIPH quantified by blood in trachea post exercise (Yes/No)	II (4) IV (1)	High	No	No	No	19 61	19 24	No effect of furosemide	NA	Very Low	None of these studies graded the severity of bleeding
Does furosemide affect pulmonary capillary blood pressure?											
Direct measurement of pulmonary vascular pressures	II (4)	Low	No	No	No	30	30	Furosemide (1 mg/kg, IV) reduced Pcap to 79% of control		Moderate	In treadmill studies, furosemide consistently reduces pulmonary capillary pressure in exercising horses

EIPH in Horses

Table 3. (Continued)

Outcomes	Study design (n)	Quality Assessment					Number of horses			Treatment effect		Strength of evidence	Comments
		Bias (n)	Inconsistency	Indirectness	Imprecision	Control	FUR	Absolute	Relative				
Are nasal strips an effective prophylaxis for EIPH?	II (1)	High	NA	No	Serious - Amount of blood not quantified	7	7	No effect of nasal strips			Low	Single study	
quantified by blood in trachea post exercise (Yes/No)	II (3) III (1)	Low	No	No	No	43	24	Nasal strips reduced BAL RBC count.			Low	Small sample size and low power	

quality treadmill investigations have consistently demonstrated that furosemide decreases pulmonary arterial and pulmonary wedge (left atrial) pressures and hence (calculated) pulmonary capillary and transmural pressure during intense exercise.^{50,51,57-64} Such decreases in pressure might decrease the likelihood of capillary stress failure.³⁴

Finding: There is moderate quality evidence that furosemide reduces pulmonary vascular pressure during strenuous exercise.

Critical Outcome. Is aminocaproic acid an effective prophylaxis for EIPH?: Two randomized, placebo-controlled treadmill studies found that aminocaproic acid (2-7 g, IV) given 2-4 hours before strenuous exercise test to fatigue did not decrease BALF red blood cells compared to saline placebo.^{66,67} However, both studies provided very low quality evidence because of outcome measure imprecision and indirectness (risk of bias), and small sample size (6-8 horses).

Finding: There is very low quality evidence that aminocaproic acid affects EIPH severity.

Critical Outcome. Are bronchodilators effective prophylaxis for EIPH?: Clenbuterol administered IV alone or in combination with furosemide (10 minutes before exercise) does not affect pulmonary hemodynamics.^{50,68} but drug effect on EIPH severity was not assessed. Nine days of clenbuterol treatment in resting horses after intrabronchial instillation of autologous blood did not result in significant change in numbers of red blood cells or hemosiderophages in BALF compared to control.⁶⁹ Another study with few horses showed no effect of atropine on EIPH and inconclusive results with ipratropium nebulization.⁷⁰ All studies provided very low to low quality evidence because of the low number of horses and lack of blinding.

Finding: There is very low quality evidence that bronchodilators affect EIPH.

Critical Outcome. Are corticosteroids effective prophylaxis for EIPH?: One treadmill study reported that 3 days of dexamethasone did not prevent EIPH but EIPH severity was not assessed.⁷¹ Neither 9-10 days of inhaled beclomethasone nor oral prednisolone treatment changed either red blood cell number or hemosiderophages in BALF of resting horses after intrabronchial instillation of autologous blood.⁶⁹

Finding: There is very low quality evidence that corticosteroids affect EIPH severity.

Critical Outcome. Are nonsteroidal anti-inflammatory drugs effective prophylaxis for EIPH?: Very low quality treadmill studies failed to detect an effect of either phenylbutazone (with furosemide) or flunixin meglumine on EIPH (evaluated as presence or absence of blood on endoscopic examination).^{61,72}

Finding: There is very low quality evidence that nonsteroidal anti-inflammatory drug treatment affect EIPH.

Critical Outcome. Is pentoxifylline an effective prophylaxis for EIPH?: Two treadmill studies found that pentoxifylline had no effect on pulmonary hemodynamics when used alone or in combination with furosemide. An effect of pentoxifylline on EIPH (evaluated as pres-

ence or absence of blood on endoscopic examination) was not detected although EIPH severity was not assessed.^{58,73}

Finding: *There is very low quality evidence that pentoxifylline affects EIPH.*

Critical Outcome. *Are there other medications that are effective for prophylaxis of EIPH?:* Carbazochrome (with furosemide),⁷⁴ equine serum concentrate,⁷⁵ conjugated estrogens,⁶⁷ endothelin 1-A antagonist⁷⁶, nedocromil,⁷⁷ nitric oxide,⁷⁸ and sildenafil⁷⁹ have been investigated as prophylaxis of EIPH in single studies for each drug. The studies are all of very low quality because they were conducted on a treadmill, used low numbers of horses, and the severity of EIPH was not assessed.

Although reportedly used in practice, we could locate no scientific evidence of the efficacy of aspirin or etamsylate.

Finding: *The studies provided very low quality evidence that these drugs affect EIPH severity.*

Critical Outcome. *Do nasal strips prevent EIPH?:* A low quality treadmill investigation assessing presence or absence of postexercise blood in the airways stated nasal strips were ineffective in preventing EIPH, however, the severity of bleeding was not graded.⁸⁰ 4 other studies, undertaken in a limited number of horses, showed that horses had a significant decrease in post-exercise BALF RBCs when exercised with nasal strips.^{53,54,81,82}

Finding: *There is low quality evidence that nasal strips decrease severity of EIPH.*

Important Outcome. *Are there other miscellaneous nonpharmacological treatments to prevent EIPH?:* Neither herbal formulations⁸³ nor inhaled water vapor⁸⁴ showed evidence of efficacy in preventing EIPH. The studies were of very low quality.

Rest and water restriction before strenuous exercise have been recommended, however there is no scientific evidence that those practices decrease the incidence or severity of EIPH. Nonetheless, several racing jurisdictions have ruled to enforce rest periods ranging from 2 to 3 months for horses with epistaxis.

Finding: *The studies provided very low quality evidence that herbal preparations or inhaled water vapor affect EIPH severity.*

Topic 4. Does Furosemide Affect Performance?

A variety of outcome measures have been used in an attempt to assess performance in horses racing on a track (Table 4). However, standardization of measurements is difficult because numerous intrinsic factors (e.g., sex, age, horse quality, fitness level) and extrinsic factors (e.g., jockey, distance, track conditions, environmental conditions) vary among races and can create confounding bias in results. Control of extrinsic and intrinsic factors is more feasible when horses model race experiences by running on high-speed treadmill, but this model inherently limits the generalizability of results. Additionally, most treadmill studies suffer from small sample size and consequently have low statistical power. The ability to extrapolate performance data obtained

during treadmill studies to actual performance during racing has not been established.

Most studies conducted on racetracks have used adjusted race time to cover a standardized distance as an outcome measure of performance⁸⁵⁻⁹⁰ whereas others have used finish position,^{85,89} racing speed or earnings.⁸⁵ Treadmill studies have evaluated performance as distance covered or time that horses run until the onset of fatigue.^{74,91-93} Alternatively, or in addition, some treadmill studies have reported the effect of furosemide on the energetic cost of locomotion.^{91,94,95}

Critical Outcome. *Does furosemide affect performance of horses running on a racetrack?:* Studies in Thoroughbred and Standardbred racehorses have been performed under natural racing conditions^{85,88-90} and under simulated racing conditions on a track.^{86,87} The study with the highest sample size (n = 22,589) found that mean estimated mile-equivalent race times were 0.56 to 1.09 second faster for horses receiving furosemide prophylaxis compared to horses not receiving furosemide.⁸⁵ All 4 studies conducted during normal racing conditions were rated as providing moderate quality of evidence because design and analyses helped to minimize risk of bias, used relevant outcome measures (e.g., racing time adjusted for distance), were adequately powered, and showed consistent results. Two studies performed under simulated race conditions did not detect an effect of furosemide on performance as compared to placebo.^{86,87} However, both studies provided very low quality evidence because of outcome measure imprecision (risk of bias), small sample size (6-10 horses), and slow running speed achieved during race simulation.

Studies that investigated other performance measures, such as finish position, average racing speed, and race earnings also identified a consistent benefit for horses receiving furosemide before racing compared to untreated horses.^{85,89} The largest study evaluated sex differences, and found that the benefits of furosemide administration on performance were more marked in males and in horses ≤ 6 years old.⁸⁵ These studies were considered to have moderate quality of evidence for these outcomes. No studies investigated the mechanism for superior performance.

Finding: *There is moderate quality evidence that furosemide administered IV 4 hours prior to racing is associated with improved racing outcomes in Thoroughbred and Standardbred racehorses.*

Important Outcome. *Does furosemide affect performance of horses running on a treadmill?:* Five studies examined the effect of furosemide administered to horses performing a standardized test on a high-speed treadmill. Two studies found statistically longer time to fatigue in horses treated with furosemide.^{92,93} Furosemide administration before a treadmill test improved the energetic cost of locomotion in 3 studies.^{91,94,95}

Quality of evidence for all of these studies was rated down because relevance of performance on a treadmill compared to that on a racetrack is not known, considering that the effect of jockey or sulky and of other horses in the race cannot be replicated in the laboratory (indirectness). Also, performance on treadmill is typically

Table 4. Summary of findings concerning the effect of furosemide on performance.

Outcomes	Quality Assessment					Number of horses		Treatment effect		Strength of evidence	Comments
	Study design (n)	Bias (n)	Inconsistency	Indirectness	Imprecision	Control	FUR	Absolute	Relative		
Performance on the racetrack – Normal racing conditions	III (4)	Low risk (4)	No	No	No	6,001	17,260	-0.12 to -1.09 s*	-0.68 to -0.88%	High	Time to cover a given distance in furosemide treated horses relative to controls.
Performance on the racetrack – Simulated racing conditions	I (1) II (1)	Moderate risk (2)	No	Serious	Very serious	16	16	n.s.		Very low	One study recorded racing time with a stopwatch and in the other, horses raced maximally only during last ¼ mile. Small sample size and very low power
Finish position in race	III (2)	Low risk (1) Moderate risk (1)	No	No	No	5,854	16,804	OR win = 1.4 -1.5	N/A -26%	High	Odds of winning or Improvement in finishing position with furosemide
Treadmill performance	I (5)	Low risk (1) Moderate risk (4)	No	No	No	33	33	13.9 s	N/A	Moderate	Performance measured as extra time run before fatigue with furosemide

n.s., not statistically significant. * $P < 0.05$

judged based on the onset of fatigue which is a subjective assessment that can be influenced by lack of treatment concealment (imprecision).

Finding: *There is low quality evidence that furosemide administered IV 4 hours before treadmill exercise results in delayed onset of fatigue and improved energetic cost of locomotion.*

Discussion and Recommendations

The consensus panel found that there is good quality evidence that the presence of pulmonary lesions in racehorses is associated with epistaxis or repeated diagnosis of EIPH and low quality evidence of no effect of EIPH, excluding epistaxis, on well-being or health of horses. The presence of lesions in lungs of horses with EIPH substantiates our strong recommendation that EIPH be considered a disease and not a variably manifested normal result of strenuous activity in horses. There is only low quality evidence that the disease is progressive but the evidence allows the panel to make a weak recommendation that EIPH be considered a progressive disease, recognizing that further research is needed.

The panel found that there is high quality evidence that furosemide is effective in the prophylaxis of EIPH and makes a weak recommendation for its use in management of racehorses with this disease. The recommendation is weak because the panel recognizes that conditions for use of furosemide in some horses, such as racehorses, is regulated by racing jurisdictions that must consider a broad range of factors (not just efficacy) and that there continues to be extensive discussion among these stakeholders regarding policies and perceived need for furosemide prophylaxis.⁹⁶

The panel makes no recommendation regarding other pharmacological interventions for the prophylaxis of EIPH because of the absence of studies or the very low to low quality of evidence.

The panel notes that many studies intended to test the efficacy of an intervention for prophylaxis of EIPH do not include adequate reporting of the details of the study to permit full evaluation of the quality of evidence, were likely to have a high frequency of Type 2 error rates because of small sample sizes, were conducted on a treadmill (with unknown relevance to actual competition), and did not assess dose-response relationships. Of particular concern to the panel was the large number of reports that had negative results (i.e., the study did not detect an effect of the intervention) but did not make an *a priori* attempt to establish adequate study size or to consider statistical power in interpretation of their results. Failure to detect an effect of the intervention in a study with inadequate statistical power is not the same as demonstration of no effect.

The panel found that there is moderate quality evidence that moderate to severe EIPH is associated with decreased athletic capacity by Thoroughbred racehorses.

The panel found that there is high quality evidence that furosemide administration is associated with improved performance by Thoroughbred and Standardbred racehorses.

Footnote

^a www.google.com

Acknowledgments

Funded by ACVIM.

Conflict of Interest Declaration: Couetil: None disclosed. Hinchcliff: Travel and accommodation costs only paid to workshop in January 2013 hosted by California Thoroughbred Owners. No consulting or other contracts related to this consensus statement. No current research funding. Previous receipt of funds for EIPH research from the Grayson Jockey Club Research Foundation and the Rural Industries Research Corporation (Australia). Knight: Official Veterinarian Racing New South Wales, Australia; Official Veterinarian, Australian Turf Club. Morley: Dr. Morley has been compensated for speaking on topics related to EIPH in conferences and meetings conducted by the ACVIM, the American Association of Equine Practitioners, the Horseman's Benevolent Protection Association, California Thoroughbred Owners, and the Jockey Club. He has received funding for research related to EIPH from the Grayson-Jockey Club Research Foundation and the Racing Medication and Testing Consortium, and support-in-kind for research from the Daily Racing Form. He has no other interests in assets, products, or services related to this consensus statement, financial, or otherwise. Robinson: Travel and accommodation costs paid to speak at "International Summit on Race Day Medication: EIPH and the Racehorse (Belmont September 2011) and at workshop in January 2013 hosted by California Thoroughbred Owners. He has been a coinvestigator on an EIPH-related grant from Grayson Jockey Club Foundation. Sweeney: Pennsylvania State Horse Racing Commission (Chair 2008-2013, Member 2013 – present). van Erck: None disclosed.

Off-label Antimicrobial Declaration: Authors declare no off-label use of antimicrobials.

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Supporting Information

Additional Supporting Information can be found online in Supporting Information:

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING FASIG-TIPTON CO., INC
REQUEST FOR AUTHORIZATION OF ITS UPCOMING HORSE SALE
PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES

Regular Board Meeting
May 23, 2019

ISSUE

Pursuant to Board Rule 1807, Authorized Horse Sales, Fasig-Tipton Co., Inc. has presented its sales dates June 5 and September 25, 2019; and has requested the Board's authorization for the sales. The Fasig-Tipton Co., Inc. sales schedule is as follows:

- **Two-Year-Old In Training Sale**, Wednesday, June 5, at Santa Anita Park, Arcadia, with the training preview (breeze show) conducted on Monday, June 3;
- **Yearling Sales**, Wednesday, September 25, at Santa Anita Park, Arcadia.

BACKGROUND

Board Rule 1807, Authorized Horse Sales, provides that the Board may authorize a horse sale or horse auction sale for the sale of race horses or breeding stock that is used in the production of race horses, to be held on the premises of a racing association, and the authorization and approval of such horse sale or horse auction sale shall be upon such conditions as may be imposed by the Board.

RECOMMENDATION

This item is presented for Board discussion and action.



Fasig-Tipton

May 1, 2019

California Horse Racing Board
1010 Hurley Highway, Suite 300
Sacramento, CA 95825

Please be advised of the following requested sales dates for Thoroughbred auctions to be conducted at Santa Anita Park in Arcadia, CA during 2019:

Two-Year-Old In Training Sale: The sale will be conducted on Wednesday, June 5, with the training preview (breeze show) conducted on Monday, June 3;

Yearling Sale: The sale will be conducted on Wednesday, September 25.

Please let me know if you have any questions or need any additional information.

Regards,

A handwritten signature in black ink, appearing to read 'BTB', with a large, stylized flourish extending from the end.

Boyd T. Browning, Jr.
President

BTB/ld

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DISCUSSION AND ACTION BY THE BOARD REGARDING
APPROVAL OF AN AGREEMENT, PURSUANT TO BUSINESS AND PROFESSIONS
CODE SECTION 19604(F)(5)(E), TO ALTER THE DISTRIBUTION OF MARKET ACCESS
FEES FROM CALIFORNIA RESIDENTS ADVANCED DEPOSIT WAGERING (ADW)
PLACED ON ALL LIVE AND IMPORTED RACES HOSTED BY THE SIGNATORY
RACING ASSOCIATIONS, BY THE CREATION OF AN ADDITIONAL DEDUCTION TO
BE USED TO FUND A CO-OP MARKETING PROGRAM

Regular Board Meeting
May 23, 2019

ISSUE

The Thoroughbred Owners of California (TOC), Del Mar Thoroughbred Club, California Thoroughbred Breeders Association, Pacific Racing Association and the Los Angeles Turf Club is seeking an approval of an agreement under Business and Professions Code section 19604(f)(5)(E) for the period April 1, 2019 through December 31, 2019, which will modify market access fee distributions for advance deposit wagering (ADW) wagers placed in the applicable zone on races hosted by the signatory host tracks. The Co-op Marketing Agreement allows the TOC and the tracks to continue programs that were previously supported by California Marketing Committee (CMC). CMC is no longer operating as Business and Professions Code section 19605.73 allowing its existence has expired.

ANALYSIS

The TOC and the tracks (Del Mar, Santa Anita, and Golden Gate Fields) wish to continue certain CMC programs following the January 1, 2019 sunset of Business and Professions Code section 19605.73, which authorized the CMC and its programs. The continuing programs include:

- Stakes Recruitment,
- Ship & Win,
- Significant Player Rebates,
- Calracing.com and Calracingcares.com Websites, and a
- TOC Administrative Fee

The cost of these programs will be shared between the TOC and the signatory parties on the Co-op Marketing Agreement.

The share of costs attributed to each of the tracks was based on the tracks' total product enhancement budget in 2018, and the amount needed for distribution from ADW market access fees was calculated by Bernie Thurman based on the 2018 budget for those programs.

BACKGROUND

Business and Professions Code section 19604(f)(5)(E) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph

may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distributions would be affected.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from the Co-op Marketing Agreement representatives.

Coop Marketing Funding Agreement

This Agreement is entered into as of this 28th day of February, 2019 by and between the undersigned racing associations ("the Signatory Racing Associations") the Thoroughbred Owners of California ("TOC") and the California Thoroughbred Breeders Association ("CTBA").

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from California resident Advanced Deposit Wagering, ("ADW") placed on all live and imported races hosted by the Signatory Racing Associations shall be altered by the creation of an additional deduction for a distribution therefrom, based upon a percentage of handle in the applicable California zones that would otherwise be payable as thoroughbred purses and track commissions. The deduction from ADW market access fees shall be utilized to fund a coop marketing program. The deduction percentage of ADW handle in the applicable zones for each host shall be:

Del Mar Thoroughbred Club race meets	0.160%
Los Angeles Turf Club race meets	0.240%
Pacific Racing Association race meets	0.200%

2. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deductions contemplated from market access fees placed on races hosted by the Signatory Racing Associations which is permitted pursuant to Business and Professions Code Section 19604 (f)(5)(E).

3. Subject to the requisite approval of the CHRB, the deduction from ADW set forth in #1 above shall be effective April 1, 2019 through December 31, 2019.

4. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the Incentive fund administered by the CTBA shall be calculated at the rate of 0.427% of ADW handle in California on races hosted by Pacific Racing Association and 0.463% for races hosted by the Del Mar Thoroughbred Club and Los Angeles Turf Club. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.

Thoroughbred Owners of California

Pacific Racing Association

By: *Greg Abadie*

By: *Frank De Marco*

Del Mar Thoroughbred Club

Los Angeles Turf Club

By: *[Signature]*

By: *Frank De Marco*

California Thoroughbred Breeders Association

By: *A. [Signature]*

STAFF ANALYSIS
May 23, 2019

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE COURSE
JUNE 26, 2019 THROUGH JULY 16, 2019

The Los Alamitos Racing Association (LARA) filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course:

- June 26, 2019 through July 16, 2019, or 12 days. Live racing to commence June 27, 2019. LARA proposes to race a total of 103 races, or 8.5 races per day. The actual (average) daily purse for the meet was \$238,569. The (estimated) average daily purse for this meet is \$265,560.

June - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

July - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends and July 4, 2019.
- Racing concurrently with the Alameda County Fair at Pleasanton and Cal Expo State Fair.
- First live post 1:00 p.m. daily.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will start at 8:30 a.m. and end at 10:00 a.m.
 - \$2 Rolling Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
 - \$1 Trifecta on eligible races.
 - \$0.10 Superfecta on all qualifying races.
 - \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
 - \$1 Pick n Pool 4 starting on second race and last 4 races. Alternate runner provision.
 - \$0.50 Pick n Pool 5 will be offered starting on the first race. 14% takeout. One tier payoff, 100% carryover.
 - \$1 Pentafecta (Super High Five) in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selective five winners. No consolation, 100% carryover if no ticket has five winners.

- The ADW providers are XpressBet, TVG, TwinSpires and NYRABets.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires has submitted all documents required in compliance with Business and Professions Code section 19604.
- NYRABets, LLC has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California Race Meet Agreement.
2. Audited Financials.
3. Response to question 9 is incomplete. Need dates and times veterinarians are available at the racing association and auxiliary sites.

Specific information which will **need updating** during the proposed race dates:

1. Bond [expires July 1, 2019].

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 1/16)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: F. Jack Liebau, c/o Los Alamitos Racing Association, 4961 Katella Avenue, Los Alamitos, CA 90720 (714) 820-2800, Fax (714) 820-2743. jackliebau@yahoo.com

B. Breed of horse: TB QH H

C. Racetrack name: Los Alamitos Racing Association

C. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. Deposit on file with the CHRB

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars. Bond on file with CHRB

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: June 26, 2019 through July 16, 2019

B. Actual dates racing will be held: 6/27, 6/28, 6/29, 6/30, 7/4, 7/5, 7/6, 7/7, 7/11, 7/12, 7/13, 7/14

C. Total number of days or nights of racing: 12

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify) Thursday through Sunday

CHRB CERTIFICATION

Application received: 3/27/19
Deposit received: on file
Reviewed: 1/8

Hearing date: 5/23/19
Approved date:
License number:

E. Number of days or nights of racing per week: 4

3. RACING PROGRAM

A. Total number of races: 103

B. Number of races for each day or night: 8 races on weekdays, 9 on weekends and the 4th of July

C. Total number of stakes races: 3

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. Attached

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). Attached

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. None

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

List all post times for the daily racing program:

- 1st race – 1:00 pm
- 2nd race – 1:30 pm
- 3rd race – 2:00 pm
- 4th race – 2:30 pm
- 5th race – 3:00 pm
- 6th race – 3:30 pm
- 7th race – 4:00 pm
- 8th race – 4:30 pm
- 9th race – 5:00 pm (Saturday, Sunday, 4th of July)

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C) LLC (complete subsection D) Other (specify, and complete subsection E)

LOS ALAMITOS RACE COURSE STAKES SCHEDULE

Closing Saturday, June 22, 2019

Saturday, June 29, 2019 \$100,000 Guaranteed



BERTRANDO STAKES (Cal Bred)

For Three Year Olds and Upward

One Mile

Closing Saturday, June 29, 2019

Saturday, July 6, 2019 \$200,000 Guaranteed

GREAT LADY M STAKES - Grade II

For Fillies And Mares Three Year Olds and Upward

Six And One Half Furlongs

Closing Saturday, July 6, 2019

Saturday, July 13, 2019 \$150,000 Guaranteed

LOS ALAMITOS DERBY - Grade III

For Three Year Olds

One Mile And One Eighth

2019

2018

2017

\$100,000 Bertrando Stakes Cal Bred \$100,000 Bertrando Stakes Cal Bred \$100,000 Bertrando Stakes Cal Bred

\$200,000 Great Lady M Stakes Grll \$200,000 Great Lady M Stkes Grll \$200,000 Great Lady M Stakes Grll

\$150,000 Los Alamitos Derby Grlll \$150,000 Los Alamitos Derby Grlll \$200,000 Los Alamitos Derby Grlll

Los Alamitos Purses Summer 2019

TYPE	PURSE	X	TYPE 1	PURSE 1
ON CLM 20,000	\$15,000.00			
ON CLM 30,000	\$17,000.00		CLM 32000 NWY	\$25,000.00
ON CLM 40,000	\$18,000.00		CLM 25,000 NW2 LIFETIME	\$22,000.00
ON CLM 50,000	\$21,000.00		CLM 25,000	\$24,000.00
ON CLM 75,000	\$26,000.00		SA 25,000	\$25,000.00
ON ALW	\$40,000.00		CLM 32,000	\$30,000.00
			SA 40,000 NW2 LIFETIME	\$26,000.00
VI 6,250 NW2 CLAUSE OR YEAR	\$12,000.00		CLM 40,000	\$32,000.00
VI 6,250	\$13,000.00		CLM 50,000	\$35,000.00
VI 8,000 NW2 CLAUSE OR YEAR	\$14,000.00		SA 50000 NW2 LIFETIME	\$28,000.00
8000	\$15,000.00		CLM 62,500	\$38,000.00
VI 8,000	\$15,000.00			
VI 10,000 NW2 CLAUSE OR YEAR	\$15,000.00			
VI 10,000	\$16,000.00		ALW 1X OPT/CLM 40-80	\$45,000.00
VI 12,500 NW2 CLAUSE OR YEAR	\$16,000.00		ALW 2X OPT/CLM 62,500	\$48,000.00
VI 12,500	\$17,000.00		ALW 3X OPT/CLM 80,000	\$55,000.00
VI 12,500	\$18,000.00		OVERNIGHT STAKE	\$75,000.00
VI 16,000 NW2 LIFETIME	\$17,000.00		STAKE	\$100,000.00
VI 16,000	\$18,000.00			
VI 16,000	\$20,000.00			
VI 20,000	\$21,000.00			

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. See Los Alamitos Quarter Horse Racing Association

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: : C16500097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
 - Dr. Edward Allred – President, Director 100%
 - Rick English 0%, CFO
 - Tom Siebly 0%, Director
 - Don Valpredo 0%, Director
 - Frank Sherren 0%, Director
 - Cathy Monji 0%, Director
 - Jack Liebau 0%, Director
 - Richard Shapiro 0%, Director
 - Mike Harrington 0%, Director
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: None
6. Number of outstanding shares in the corporation: None
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: n/a
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - Quarter Horse Racing, Inc.
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Current statement on file with CHRB
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from

disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C Allred, President, CEO
 F. Jack Liebau, Vice-President
 Rick English, CFO
 Orlando Guterrez, Director of Publicity & Marketing
 Frank Sherren, Plant Superintendent
 Rick Hughes, Track Superintendent
 Dennis Moore, Track Consultant
 John Ferrero, Director of Mutuels
 Robert Neuman, Track Announcer
 Alex Soltera, Director of Security
 Robert Hurd, Director of Operations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). F. Jack Liebau, 4961 Katella Ave. Los Alamitos, CA 90720. jackliebau@yahoo.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily Double
 Proposed percentage: Pick 5 – 14%, Daily Double 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • www.losalamitos.com

March 20, 2019

Ms. Jackie Wagner
Assistant Executive Director
CALIFORNIA HORSE RACING BOARD
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.1, Los Alamitos Racing Association, after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during the Los Alamitos Racing Association's 2019 Summer Meet, June 26, 2019 through July 16, 2019.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Super Hi-5 - \$1.00 minimum wager offered on the last race on each day's card subject to a 14% takeout rate.
- Players Pick 5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

Very truly yours,

A handwritten signature in cursive script that reads "F. Jack Liebau".

F. Jack Liebau
Vice President
LOS ALAMITOS RACING ASSOCIATION



March 21, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: 2019 Los Alamitos Summer Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming Summer race meet from June 25 through July 16, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in cursive script that reads 'Greg Avioli'.

Greg Avioli
President & CEO

cc: Jack Liebau, Los Alamitos



March 21, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: 2019 Los Alamitos Summer Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming Summer race meet from June 25 through July 16, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in cursive script that reads 'Greg Avioli'.

Greg Avioli
President & CEO

cc: Jack Liebau, Los Alamitos

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
'18-12 summer race days*	72,091,294	75,215
'17- 8 summer race days	45,954,114	51,273
'16-12 spring race days	81,474,295	88,205
'15- 8 summer race days	57,868,885	66,829
'14- 8 summer race days	51,560,725	74,887

*The last eight races on 7/6/18 were cancelled due to excessive heat.

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: 2,443,186
 Prior meet actual: 2,125,394

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: 203,599
 Prior meet actual: 177,116

2. Overnight stakes:

Current meet estimate:
 Prior meet actual:

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:
 Prior meet actual:

3. Non-overnight stakes:

Current meet estimate: 382,000
 Prior meet actual: 381,150

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: 31,833
 Prior meet actual: 31,763

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: 2,825,186
 Prior meet actual: 2,506,544

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 16.0%

Prior meet actual: 15.8%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 1.33%

Prior meet actual: 1.32%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: 296,728

Prior meet actual: 290,910

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:
------------------------	--	--------------------

TOC	24,830	24,953
CTT	37,246	37,430
NTRA	2,725	2,995
Total	64,801	Total 65,378

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: 3,186,715

Prior meet actual: 2,862,832

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: 265,560

Prior meet actual: 238,569

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: 2,012,859

Prior meet actual: 2,009,441

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: 167,738

Prior meet actual: 167,453

G. Purse funds to be generated from interstate handle:

Current meet estimate: 804,708

Prior meet actual: 816,124

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: 67,059

Prior meet actual: 68,010

H. Bank and account number for the Paymaster of Purses' purse account: Bank of the West
4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # On file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the

meeting:

Bowen & McBeth Inc. 10722 Arrow Route, Suite 110

Rancho Cucamonga, CA 91730 (909) 944-6465 email – craigmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 850
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,300
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita--1,900; San Luis Rey Downs -- 400
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Southern California off-site Stabling Agreement through 12-31-2019 is on file with CHRB

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$15.18
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita – \$100 each way San Luis Rey Downs - \$170 each way

9. EQUINE EMERGENCY SERVICES

A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site during all training hours. The private veterinarians are retained by the owners and trainers of horses stabled at Los Alamitos.

The track veterinarian is Dana Steed. In the morning, the track veterinarian pre-checks fifty percent of the horses entered on a particular day. Thereafter, he observes horses when they are in the paddock, views them in the post parade, accompanies them to the starting gate and follows them during the race. The other fifty percent of horses entered on a particular day are inspected by Dr. Grande. In the afternoon, Dr. Grande is employed by the CHRB, and she serves as the CHRB veterinarian.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private veterinarians on site during all training hours. Private veterinarians are not on any rotation specified by Los Alamitos because they are not employed by Los Alamitos; they instead come and go as needed by their clients (owners and trainers).

10. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 0.10 SF	CHRB #1959, 1979, 1957, 1977, 1976.9, 1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$2PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 21PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3\$2DD 0.10 SF \$1 Super Hi5	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1, ARCI #004-105Subsection X Pick (n) Position (x) Pools)

- *\$2 Rolling Daily Double. 20% takeout. Placement of last DD will depend on # of races.
- *\$1 Trifecta on all eligible races. (CHRB 1979)
- * \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)
- * Incoming simulcasts will follow host track format.
- * \$2 PNP6 last six live races 70% carryover, 30% minor, alternate runner provision (CHRB 1976.9)
- *\$1 PNP4 starting second race and last four races, alternate runner provision (CHRB 1976.9)
- *\$0.50 PNP5 starting first race. 14% takeout. One tier payoff: 100% carryover

Vet	Phone	Name of Practice
<u>Los Alamitos:</u>		
Arthur, Rick	(626) On File	UCD-SVM/CHRB
Baker, Vince	(714) ***-****	Baker
Bergstrom, Thomas	(951) ***-****	Equine Sports Medicine
Birch, Sarah	(614) ***-****	Simpson/Dowd
Blea, Jeff	(626) ***-****	Blea/Von Bluecher
Bradley, Sam	(310) ***-****	Baker
Brokken, Todd	(626) ***-****	Blea/Von Bluecher
Canfield, Cathy	(626) ***-****	Baker
Equine Sports Medicine Pager	(714) ***-****	Equine Sports Medicine
Grande, Tim	(626) ***-****	LATC/CHRB
Hargrove, Nicola	(310) ***-****	
Hunkin, Dawn	(626) ***-****	Blea/Von Bluecher
Lorbiecki, Jocelyn	(949) ***-****	Equine Sports Medicine
McCaughan, Mark	(562) ***-****	
Overly, Rick	(714) ***-****	Equine Sports Medicine
Schmidt, Stephanie	(561) ***-****	Equine Sports Medicine
Stead, Dana	(970) ***-****	Los Alamitos
Troncatty, Ashley	(818) ***-****	Equine Sports Medicine
Von Bluecher, Helmuth	(626) ***-****	
Warren, Herb	(714) ***-****	
Wharton, Heather	(805) ***-****	Blue
Yacoub, Anita	(951) ***-****	Equine Sports Medicine
<u>San Luis Rey Downs (off-track training)</u>		
Jenkins, Chuck	(970) ***-****	Magrini
Manno, Mike	(619) ***-****	San Dieguito Equine Group
Magrini, Ron	(760) ***-****	Magrini
Porubovich, Lindsay	(602) ***-****	Magrini
Robinson, Brett	(818) ***-****	San Dieguito Equine Group
<u>Santa Anita (off-track training)</u>		
Anderson, Elizabeth	(626) ***-****	Shields
Araujo, John	(626) ***-****	Self
Blue, Melinda	(626) ***-****	Blue
Bohannon, Laura	(626) ***-****	Shields
Buerchler, Sabina	(626) ***-****	
Byrd, Wade	(210) ***-****	Baker
Carpenter, Ryan	(805) ***-****	Baker
Dowd, Joe	(818) ***-****	Simpson/Dowd
Finley, Jenn	(626) ***-****	Blea/Von Bluecher
Shields, Don	(626) ***-****	
Simpson, Ted	(818) ***-****	
Steppe, Mike	(951) ***-****	
Walke, Karen	(951) ***-****	

9. EQUINE EMERGENCY SERVICES

A1.

Private veterinarians have access to the grounds at Los Alamitos Race Course, Santa Anita and San Luis Rey Downs for their day-to-day practice and responsibilities starting at 5 a.m. or earlier every morning. A number of veterinarians live in close proximity and can promptly respond to emergencies. Los Alamitos is open for morning training seven days a week and live evening racing takes place on Friday through Sunday nights following the conclusion of the final race on the daytime Thoroughbred card. In addition to the list of veterinarians practicing during the live racing meet at Los Alamitos, a number of veterinarians practicing during the year-round Los Alamitos Quarter Horse Racing Association meet can respond within minutes in case of emergencies.

Dr. Stead and Dr. Grande are the contacts for activities at Los Alamitos, Santa Anita and San Luis Rey Downs.

*\$1 Super High 5 in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: Unlimited, final distribution 7/14/2019
- D. List any options requested with regard to exotic wagering:
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
 Each race day from 8:30 am to 10:00 am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
 AmTote-Keith Johnson, 11200 Pepper Rd, Hunt Valley MD 800 345-1566, expires August, 2020
- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

Win/Place/Show -15.43%

DD - 20.00%

E - 22.68%

PNP5 - 14%

Tri/SF/PK3/PK4/PNP6/SuperHi5 - 23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
 XpressBet
 TVG
 Twin Spires
 NYRABets
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. Attached
 If no, explain the status of the approval.



March 21, 2019

Mr. Jack Liebau
 Los Alamitos Racecourse
 4961 Katella
 Los Alamitos, CA 90720

RE: Los Alamitos Race Course (LARC) 2019 Summer Race Meeting ADW Approvals

Dear Jack,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Race Course (LARC) race signals under the terms and conditions set forth below.

Pursuant to Section 30, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and LARC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpines, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on LARC and other Thoroughbred races during the 2019 race meeting, June 25 through July 16, 2019, as follows:

1. California wagering on LARC races:

- a. TVG, XpressBet, TwinSpines, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpines, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER

PRESIDENT & CEO: GREG AVIOLI

EXECUTIVE DIRECTOR: MARY FORNEY

DIRECTOR OF RACING & NO. CALIF. OPERATIONS: ELIZABETH MOREY

ADMINISTRATIVE ASSISTANT: ELSA PERON

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on LARC races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering Inc. (SCOTWINC)
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
SCOTWINC agreement is on file with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Attached
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Attached
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": Attached

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

EXHIBIT 12C

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park @ Barretts, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park Casino, Inglewood
Humboldt County Fair, Ferndale
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
Pechanga Resort Casino, Temecula
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
San Mateo Event Center, San Mateo
Santa Anita Park, Arcadia
Santa Clara County Fair, San Jose
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion, The Farmers Fair, Lake Perris
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

EXHIBIT 12D

AmWest Entertainment, KY
 Arapahoe Park, Colorado
 Arima Race Course, Trinidad
 Arlington International Race Course, Illinois
 Assiniboia Downs, Canada
 Atlantic City Casino Assn., New Jersey
 Atlantis Resort and Casino, Bahamas
 Bangor Raceway, Maine
 Barrie Raceway, Ontario
 Batavia Downs, New York
 Belterra Race Course, OH
 Bet America, LLC, North Dakota
 Bet Fair Games Limited, UK
 Bettor Racing OTB, South Dakota
 Birmingham Race Course, Alabama
 Bordertown OTB, Oklahoma
 Buffalo Trotting Association, New York
 Bwin International, Ltd.
 Callente (MIR), Mexico
 Camarero, Puerto Rico
 Canterbury Park, Minnesota
 Capital District OTB, New York
 Catskill Regional OTB, New York
 Caymanas Race Track, Jamaica
 Century Downs, Alberta, Canada
 Charles Town Races, West Virginia
 Chester Downs - Pennsylvania
 Churchill Downs, Kentucky
 Clinton Teletheatre, Canada
 Codere, Mexico
 Codere, Spain
 Columbus Raceway, Nebraska
 Connecticut OTB, Connecticut
 Corpus Christi Greyhound, Texas
 Coshatta Casino Resort, Louisiana
 Dayton Park, OH
 Divi Carina Bay Casino, Louisiana
 Delaware Park, Delaware
 Delta Downs, Louisiana
 Dover Downs, Delaware
 Downs at Albuquerque, New Mexico
 Ebet Online, Oregon
 Elite Turf Club, Curacao
 Ellis Park, Kentucky
 Elmira Raceway, Ontario
 Equus St. Thomas, St. Thomas
 Emerald Downs, Washington
 Euro Offtrack, Isle of Man
 Evangeline Downs, Louisiana
 Evansville/Clarksville OTB, Indiana
 Fairgrounds ADW, Louisiana
 Fairgrounds Racecourse, Louisiana
 Fair Meadows, Texas
 Favorites at Gloucester, New Jersey
 Finger Lakes Bets, NY
 Finger Lakes Race Track, New York
 Flamboro Downs, Ontario
 Fonner Park, Nebraska
 Port Erie Racetrack, Canada
 Foxwoods Casino, Connecticut
 Fraser Downs, British Columbia
 Freehold Raceway, New Jersey
 Gillespie County Downs, Texas
 Grand River, Canada
 Greentrack, Alabama
 GWS German Tote, Germany
 Gulf Greyhound, Texas
 Gulfstream Park, Florida
 Harrington Bets, Delaware
 Harrington Raceway, Delaware
 Hastings Park, Canada
 Hawthorne Race Course, Illinois
 Hiawatha Downs, Ontario
 Hipica de Panama, Panama
 Hipodromo Camarero, Puerto Rico
 Hipodromo Monterrico, Peru
 Ho-Chuck Casino, Connecticut
 Hodge OTB, Connecticut
 Hoosier Park, Indiana
 Horsemen's Park, Nebraska
 Idaho Fall OTB, Idaho
 Indiana Downs, Indiana
 Inverness Raceway, Canada
 Iowa Greyhounds, Iowa
 Intermountain Racing, Idaho
 John Martins Manor, Maine
 Kawartha Downs, Ontario
 Keeneland, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky

EXHIBIT 12D

Las Vegas Dissemination Company, Nevada
 Les Bois (Treasure Valley), Idaho
 Lewiston OTB, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Mahoning Valley Race Course, OH
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwaki Casino, Oklahoma
 Miami Valley Gaming, Ohio
 Millennium Racing, Trinidad
 Mobile Greyhound Park, Alabama
 Mohagan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monterrico Simulcasting, Peru
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Dissemination Services, Nevada
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 NYRA, New York
 NYRA Bets, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Plainridge Race Course, Massachusetts
 Plainridge Telephones, Massachusetts
 Player Management Group, CA
 Pocono Downs, Pennsylvania
 Pojoaque Casino, Oklahoma
 Portland Meadows, Oregon
 Potawatomi Casino, Wisconsin
 Prairie Meadows, Iowa
 Premier Gateway International, S.A.
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Racing and Gaming Services, St Kitts
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 Rockingham Park, New Hampshire
 Rooky Mountain Turf Club, Canada
 Rosecroft Raceway, Maryland
 Royal Beach Casino, St Kitts
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, Minnesota
 Sam Houston Park, Texas
 Saratoga Harness, New York
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Skydancer Casino, Oklahoma
 Sol Mutuel, St Kitts
 Sol Mutuel Otrobanda, St Kitts
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Suaposta, Brazil
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 TabCorp, Australia
 Tampa Bay Downs, Florida
 TattsBet, Australia
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Greenbrier Resort, West Virginia
 The Meadows, Pennsylvania
 Thistledown, Ohio

EXHIBIT 12D

Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-Stats Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico

EXHIBIT 12E

Am West Entertainment, KY	Fairgrounds ADW, Louisiana
Arapahoe Park, Colorado	Fairgrounds Racecourse, Louisiana
Arima Race Course, Trinidad	Fair Meadows, Texas
Arlington International Race Course, Illinois	Favorites at Gloucester, New Jersey
Assiniboia Downs, Canada	Finger Lakes Bets, NY
Atlantic City Casino Assn., New Jersey	Finger Lakes Race Track, New York
Atlantis Resort and Casino, Bahamas	Flamboro Downs, Ontario
Bangor Raceway, Maine	Fonner Park, Nebraska
Barrie Raceway, Ontario	Fort Erie Racetrack, Canada
Batavia Downs, New York	Foxwoods Casino, Connecticut
Belterra Race Course, OH	Fraser Downs, British Columbia
Bet America, LLC, North Dakota	Freehold Raceway, New Jersey
Bet Fair Games Limited, UK	Gillespie County Downs, Texas
Bettor Racing OTB, South Dakota	Grand River, Canada
Birmingham Race Course, Alabama	Greentrack, Alabama
Bordertown OTB, Oklahoma	GWS German Tots, Germany
Buffalo Trotting Association, New York	Gulf Greyhound, Texas
Bwin International, Ltd.	Gulfstream Park, Florida
Canterbury Park, Minnesota	Harrington Bets, Delaware
Capital District OTB, New York	Harrington Raceway, Delaware
Catskill Regional OTB, New York	Hastings Park, Canada
Century Downs, Alberta, Canada	Hawthorne Race Course, Illinois
Charles Town Races, West Virginia	Hiawatha Downs, Ontario
Chester Downs - Pennsylvania	Hipica de Panama, Panama
Churchill Downs, Kentucky	Hipodromo Camarero, Puerto Rico
Clinton Teletheatre, Canada	Hipodromo Monterrico, Peru
Columbus Raceway, Nebraska	Ho-Chuck Casino, Connecticut
Connecticut OTB, Connecticut	Hodge OTB, Connecticut
Corpus Christi Greyhound, Texas	Hoosier Park, Indiana
Coushatta Casino Resort, Louisiana	Horsemen's Park, Nebraska
Dayton Park, OH	Idaho Fall OTB, Idaho
Divi Carina Bay Casino, Louisiana	Indiana Downs, Indiana
Delaware Park, Delaware	Inverness Raceway, Canada
Delta Downs, Louisiana	Iowa Greyhounds, Iowa
Dover Downs, Delaware	Intermountain Racing, Idaho
Downs at Albuquerque, New Mexico	John Martins Manor, Maine
Ebet Online, Oregon	Kawartha Downs, Ontario
Elite Turf Club, Curacao	Keeneland, Kentucky
Ellis Park, Kentucky	Kentucky Downs, Kentucky
Elmira Raceway, Ontario	Kentucky OTB, Kentucky
Equus St. Thomas, St. Thomas	Las Vegas Dissemination Company, Nevada
Emerald Downs, Washington	Les Bois (Treasure Valley), Idaho
Euro Offtrack, Isle of Man	Lewiston OTB, Maine
Evangeline Downs, Louisiana	Lien Games, North Dakota
Evansville/Clarksville OTB, Indiana	Lincoln Greyhound Park, Rhode Island

EXHIBIT 12E

Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Mahoning Valley Race Course, OH
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwald Casino, Oklahoma
 Miami Valley Gaming, Ohio
 Millennium Racing, Trinidad
 Mobile Greyhound Park, Alabama
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monterlecco Simulcasting, Peru
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 NYRA, New York
 NYRA Bets, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Plainridge Race Course, Massachusetts
 Plainridge Telephones, Massachusetts
 Player Management Group, CA
 Pocono Downs, Pennsylvania
 Pojoaque Casino, Oklahoma
 Portland Meadows, Oregon
 Potawatomi Casino, Wisconsin
 Prairie Meadows, Iowa
 Premier Gateway International, S.A.
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Racing and Gaming Services, St Kitts
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Rosecroft Raceway, Maryland
 Royal Beach Casino, St Kitts
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, Minnesota
 Sam Houston Park, Texas
 Saratoga Harness, New York
 Soloto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Skydancer Casino, Oklahoma
 Sol Mutuel, St Kitts
 Sol Mutuel Otrobanda, St Kitts
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Suaposta, Brazil
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Race Track, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida
 Taunton ADW, New Hampshire
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Greenbrier Resort, West Virginia
 The Meadows, Pennsylvania
 Thistledown, Ohio
 Tloga Downs, New York
 Tote Investment Services, Trinidad
 Tri-State Greyhound, West Virginia
 Truro Raceway, Canada
 TVG, Arizona
 TVG Chester, Pennsylvania
 TVG, Los Angeles

EXHIBIT 12E

TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpites, Kentucky
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico

EXHIBIT 12F

Mini-Satellites

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 721-2100
Contact: Ralph Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Maria
Original Roadhouse Grill
1423 S. Bradley Rd.
Santa Maria, CA 93454
Phone: (805) 614-0586
Contact John Tintle

M4. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact: Tom Cristi

M5. Sammy's of Lake Forest
23221 Lake Center Drive
Lake Forest, CA 92630
Phone: (949) 716-2367
Contact: Brad McKinzie

M6. Tiled Kilt
1345 E. Thousand Oaks Blvd.
Thousand Oaks CA. 91362
Phone: (805) 777-7418
Contact: Robert Haupt

M7. Ocean's 11 Casino
121 Brooks Street
Oceanside, CA 92054
Phone: (888) 439-6988
Contact: Steve Gallagher

EXHIBIT 12F

M8. Striders SD Bar & Grill
100 Harbor Drive
San Diego, CA 92101
PH: 619-363-7166
Contact: Tony Martineau

M9. Firehouse Restaurant
7701 White Avenue
Bakersfield, CA 93313
PH: 661-831-4688
Contact: Russ Johnson

M10. Lake Elsinore Casino
20930 Malaga Road
Lake Elsinore, CA 92530
PH: 951-674-5160
Contact: Pat Wilmes

M11. 58 Flat
4110 Bonita Road
Bonita, CA 91902
PH: 619-884-1291
Contact: Denny Kanakaris

**Other Thoroughbred tracks conducting racing
during the Los Alamitos Summer Meet**

(June 27, 2019 through July 14, 2019)

Arlington	Assiniboia
Belmont	Belterra
Canterbury	Churchill Downs
Delaware Park	Ellis Park
Emerald Downs	Finger Lakes
Gulfstream Park	Hastings
Hollywood Casino at Charles Town	Indiana Grand
Laurel Park	Lethbridge
Lone Star	Louisiana Downs
Marquis Downs	Monmouth Park
Northlands Park	Oak Tree at Pleasanton
Parx Racing	Penn National
Prairie Meadows	Sacramento
Suffolk Downs	Tampa Bay Downs
Thistledowns	Woodbine

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Board of Directors
 Los Alamitos Racing Assn.
 4961 Katella Ave
 Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
 Board of Directors
 Los Alamitos Racing Assn.
 4961 Katella Ave
 Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code sections 19596.2 and 19596.3.

Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) Dr. Dana Steed DVM
 Clerk of Scales Charles McCaul
 Clerk of the Course – Dawn Schmid
 Film Specialist – Board of Stewards
 Horse Identifier – Jennifer Paige
 Horseshoe Inspector – Victor Tovar
 Paddock Judge – Karen Denovel
 Patrol Judges – Heather Correra, Karen Denovel
 Placing Judges – Kevin Colosi, Randy Valdez
 Starter – Gary Brinson
 Timer – Russ Hudak
- B. Management officials in the racing department:
 Director of Racing – Robert Moreno
 Racing Secretary – Robert Moreno
 Assistant Racing Secretary – Chris Merz
 Paymaster of Purses – Dawn Jackson
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
 Weinstein Court Reporters
 P O Box 26634 Santa Ana, CA 92799 949-637-6071
 Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
 Plusmic Corp, USA Bill O'Brien - service contract expires 12/31/19
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
 Pegasus Communications
 Jim Porep, President
 Service contract expires 5/31/2022
 16633 Ventura blvd Suite 1010 Encino, CA 91436
 1 camera end of homestretch
 1 camera end of backstretch
 1 camera coming out of final turn
 4 pan cameras covering entire track
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Electronic timing devices are

owned and operated by Los Alamitos Race Course

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Alex Soltero, Chief of Security (714) 820-2660/frontside (714) 820-2832/backside. Organization plan attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1 Chief of Security, 1 Stable Security Manager, 5 Gatemen, 3 Investigators, 20 Security Guards
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Attached
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races. Attached
 - B. Number of security guards in the detention stall area during a 24-hour period.
No detention stall area
 - C. Describe number and location of surveillance cameras in detention stall area.
Not applicable
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
CHRB directives will be followed
 - B. Plan for enhanced surveillance for trainers with high-test results.
CHRB directives will be followed
 - C. Plan for detention stalls for repeat offenders.
CHRB directives will be followed
 - D. Number of security personnel assigned to the TCO2 program.
CHRB directives will be followed
 - C. Describe the electronic security system:
Los Alamitos does not have an electronic security system
 1. Location and number of video surveillance cameras for the detention stall and stable gate.
Los Alamitos does not have video surveillance in these locations
 - D. For night racing associations. Describe emergency lighting system: n/a

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during

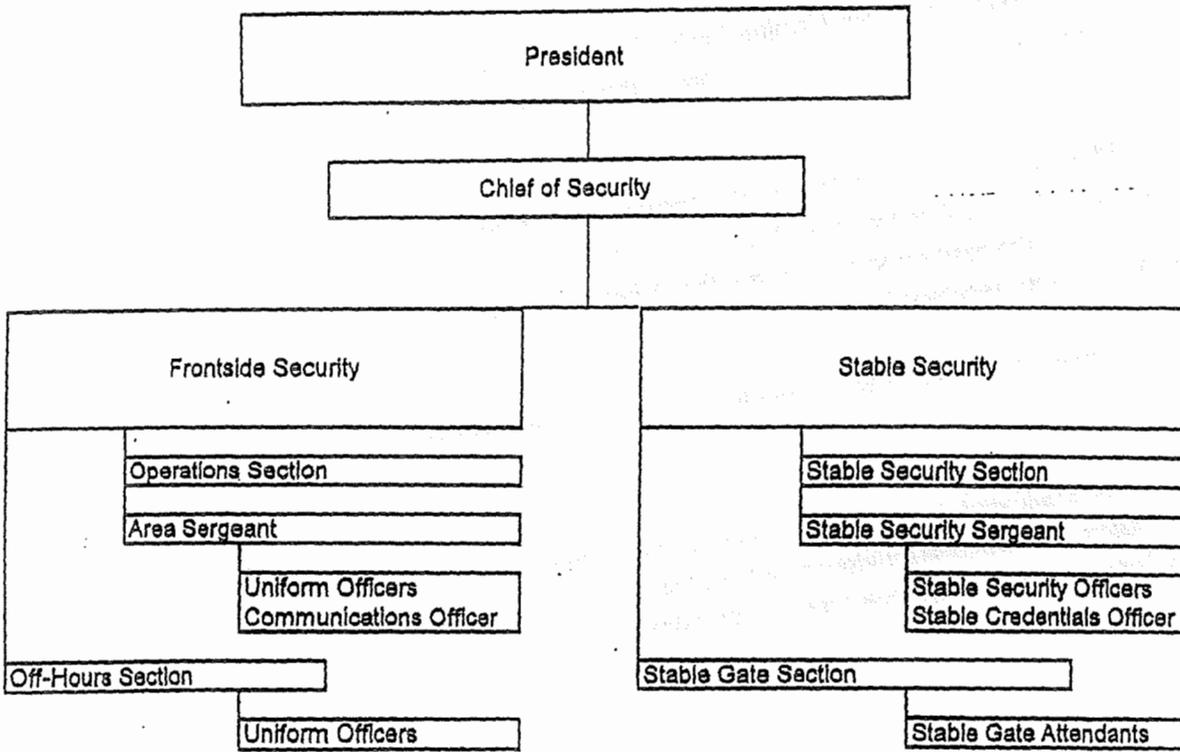
Organizational Chart

LOS ALAMITOS RACE COURSE
SECURITY DEPARTMENT

DIRECTOR OF SECURITY	CATHY MONJI	OFFICE (714) 820-2810 CELL (949) 355-2203
SERGEANT-DAY SHIFT	FRANK WOZNIAK	OFFICE (714) 820-2661 CELL (562) 275-5373
SERGEANT-NIGHT SHIFT	LUIS RIVAS	OFFICE CELL (626) 675-3663
SERGEANT-DAY STABLE	FERNANDO GOMEZ	OFFICE CELL (951) 768-7094
SERGEANT-NIGHT STABLE	KEITH HICKS	OFFICE (714) 820-2664 CELL (714) 770-1351
DAY SHIFT SECURITY OFFICERS	LUIS RIVAS, JR. GUILLERMO CHAVEZ JOSE MURILLO BUNTHOEUN SOS	DESK/DISPATCH MAINLINE CLUBHOUSE MEZZ
NIGHT SHIFT OFFICERS	JACKIE DIXON HENRY CHAMBERS	MAINLINE PADDOCK
STABLE AREA OFFICERS	JORGE AVINA CESAR FRANCO JOSE ALVAREZ	NIGHT STABLE GRAVEYARD SPLIT SHIFT
OFF HOUR OFFICERS	KENNETH GRANT	GRAVEYARD
STABLE GATE	APRIL DUDONE RONNIE WHITE CHERI WILLETT ARTURO SANDOVAL SHARRIL JANISKY MARCO AVINA	SWING GATE DAY WEST GATE SPLIT SHIFT NIGHT WEST GATE GRAVEYARD GATE DAY GATE



LOS ALAMITOS RACING ASSOCIATION
Security Live Racing
2018



LOS ALAMITOS RACING ASSOCIATION STAKES SECURITY PROGRAM

For stakes races with purses of \$100,000 or more Los Alamitos Racing Association will follow the guidelines set forth in our Race Meet Agreement with the Thoroughbred Owners of California.

For stakes races of more than \$100,000, LARA will provide security personnel equipped with video cameras to be stationed in the barn of each trainer with a horse in that stakes. The security personnel will be stationed in the designated barn no less than 6 hours prior to post time and will accompany the horse to the receiving barn.

These additional personnel will be under the direction and supervision of the Los Alamitos Chief of Security.

In addition, 24 hours prior to the running of any graded stakes race with a purse of \$200,000 or more Los Alamitos Racing Association will assign two additional security guards to the barn area with the specific instructions to monitor the barns of trainers with horses entered in that stakes.

workouts and during racing:
Huntington Ambulance Service
P O Box 145
Sunset Beach, CA 90742
Track (714) 820-2845

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
- San Luis Rey Training Center:
Mercy Medical Transportation Inc.
2538 Old San Pasqual Road
Escondido, CA 92027
Richard Roesch - (760) 525-2688

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

March 20, 2019

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President
Huntington Ambulance, LLC

MERCY

*Medical
Transportation
Inc.*

Valley Center Offices
27350 Valley Center Road
Valley Center, CA 92092
(760) 751-9797 – Office
(760) 751-8880 – Fax

March 20, 2019

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Ambulance Certification Letter

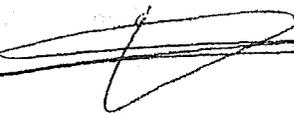
To Whom It May Concern:

Mercy Medical Transportation, Inc., employs only Paramedics and Emergency Medical Technicians (EMT) that are licensed in the State of California and the County of San Diego. All our Paramedics and EMTs endure a comprehensive interview and skills assessment, drug and hearing tests and all our employees are subjected to a thorough Department of Justice (DOJ) background investigation through AVESTA, Office of Inspector general (OIG), System for Award Management (SAM), and Medi-Cal as required by the San Diego County Emergency Medical Services.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty
Vice President of Operations
Mercy Medical Transportation, Inc.

Santa Anita:
Symons Ambulance
18592 Cajon Blvd.
San Bernardino, CA 92407
Juan Espinoza (626) 222-4236

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached
- C. Describe the on-track first aid facility, including equipment and medical staffing: Contracted track physician; Oxygen equipment; blood pressure monitoring; backboard; cervical collar; 3 patient beds
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1.:** Dr. Michael Morris (714) 820-2710
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- Los Alamitos Medical Center
3571 Katella Ave.
Los Alamitos, CA 90720
(562) 598-1311
- Long Beach Memorial Hospital (Certified Trauma Center)
2801 Atlanta Ave
Long Beach, CA 90806
(562) 933-2000
- UC Irvine Medical Center (certified trauma center)
101 The City Drive
Orange, CA 92868
(714) 456-7890
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Frank Sherren, manager, Juan Ramos, assistant manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Self insured through Finish Line Self Insurance Group
Group Certificate # 4524-001



March 21, 2019

To: Jack Liebau

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Juan Espinoza
Operations Manager
(626) 222-4236
jespinoza@symonsambulance.com

EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

FIRST RESPONSE

*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified Paramedics and EMT's will respond immediately to the location of the accident.

*Paramedic and EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Fire Department Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the Jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the responders.
- If responders request that the Jockey be stabilized and placed on a backboard, Jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured Jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- It is the current policy of the Orange County Emergency Services that all injuries sustained from falling from a horse at a speed of a gallop or more be treated as a trauma event. Fire Department paramedics will be instructed to transport the rider to a trauma hospital for examination. If the rider refuses transport to the trauma hospital they must sign a Refusal of Medical Treatment form. It will then be up to the Board of Stewards to determine if that rider can continue with his riding assignments.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPÓDROMO PRIMERA RESPUESTA

* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Atamillos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETES

- Si está involucrada en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que se asegure y que estén libres de cualquier caballo suelto, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la asistencia del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la policía o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos, el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre-diseñado a las afueras de los Alamitos en la valla al fondo de las pistas. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinarán si el jinete lesionado debe ser transportado a un hospital de triaje.
- Si las lesiones no se consideran graves por el EMT o paramédico, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la política del doctor del hipódromo que, con excepción de los accidentes más graves, se evaluará al jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisionados Deportivos de CHRB.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llamo a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre está abierta.



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

**FIRE AND LIFE SAFETY INSPECTION
CLEARANCE LETTER**

January 25, 2019

Los Alamitos Race Course
4961 Katella ave.
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspection. Please accept this letter as your fire clearance with an action plan from our agency. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne".

Tony Verdenne
Fire Prevention Specialist
Prevention Field Services Section
714 527-4584 tonyverdenne@ocfa.org

Cc: file

POLICY NUMBER: GL201800003135

COMMERCIAL GENERAL LIABILITY
CG DS 01 10 01

COMMERCIAL GENERAL LIABILITY DECLARATIONS

<p>COMPANY NAME New York Marine and General Insurance Company 59 Maiden Lane, 27th Floor New York, NY 10038-4647</p>	<p>PRODUCER NAME 00029 Midlands Management Corporation 3817 Northwest Expressway #1000 Oklahoma City, OK 73112</p>
<p>NAMED INSURED Los Alamitos Racing Association, Inc & Quarter Horse Racing, Inc., A California General Partnership As Per Named Insured Extension Schedule DBA: Los Alamitos Race Course</p>	
<p>MAILING ADDRESS Attn: Bob Snyder, Los Alamitos Race Course 4961 Katella Avenue Los Alamitos, CA 90720</p>	
<p>POLICY PERIOD: FROM 08/01/2018 TO 08/01/2019 AT 12:01 A.M. TIME AT YOUR MAILING ADDRESS SHOWN ABOVE</p>	

IN RETURN FOR THE PAYMENT OF THE PREMIUM, AND SUBJECT TO ALL THE TERMS OF THIS POLICY, WE AGREE WITH YOU TO PROVIDE THE INSURANCE AS STATED IN THIS POLICY.

LIMITS OF INSURANCE	
EACH OCCURRENCE LIMIT	\$1,000,000
DAMAGE TO PREMISES RENTED TO YOU LIMIT	\$1,000,000 Any one premises
MEDICAL EXPENSE LIMIT	Excluded Any one person
PERSONAL & ADVERTISING INJURY LIMIT	\$1,000,000 Any one person or organization
GENERAL AGGREGATE LIMIT	\$5,000,000
PRODUCTS/COMPLETED OPERATIONS AGGREGATE LIMIT	\$2,000,000

RETROACTIVE DATE (CG 00 02 ONLY)
THIS INSURANCE DOES NOT APPLY TO "BODILY INJURY", "PROPERTY DAMAGE" OR "PERSONAL AND ADVERTISING INJURY" WHICH OCCURS BEFORE THE RETROACTIVE DATE, IF ANY, SHOWN BELOW.
RETROACTIVE DATE: _____ (ENTER DATE OR "NONE" IF NO RETROACTIVE DATE APPLIES)

DESCRIPTION OF BUSINESS
FORM OF BUSINESS:
<input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> JOINT VENTURE <input type="checkbox"/> TRUST <input type="checkbox"/> LIMITED LIABILITY COMPANY <input checked="" type="checkbox"/> ORGANIZATION, INCLUDING A CORPORATION (BUT NOT INCLUDING A PARTNERSHIP, JOINT VENTURE OR LIMITED LIABILITY COMPANY)
BUSINESS DESCRIPTION: <u>Pro Sports Clubs and Promoters</u>

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE
(Name of Employer)

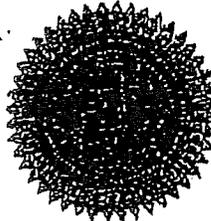
FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.*

EFFECTIVE
THE 1st DAY OF JULY 1985

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA



Mark T. Johnson
MARK T. JOHNSON, MANAGER

John M. Lee
JOHN M. LEE, DIRECTOR

*Revocation of Certificate - "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the nonpayment of the salary of such employer; the inability of the employer to fulfill his obligations; or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and, as a matter of practice and custom, failing to make contributions for compensation to some less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in such a manner as to cause injury to the public or that a dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for non-compliance with Title 8, California Administrative Code, Group 2 - Administration of Self-Insurance.



HUSKINS

Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.

2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.

3. Go to the designated clinic for treatment.
Healthpointe Medical Center
7052 Orangewood Ave, Suite 6.
Garden Grove (714) 903-1100

FINISH



Finish Line Self-Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Pasos Requeridos en Caso de un Accidente

1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.
2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad
3. Vayan a la clínica designada
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100

Marketing Plan

2019 Los Alamitos

Summer Thoroughbred Meet

Overview: The Southern California Thoroughbred racing circuit returns to Los Alamitos Race Course with a 12-day Summer Meet beginning on Thursday, June 28 and continuing through closing day on Sunday, July 14. The marketing plan will be geared towards building fan friendly events and promotions; showcasing the meet to a national audience; and promoting a stakes schedule highlighted by major races on each of the meet's three Saturdays.

Los Alamitos continues to grow in reputation as a top place to race and train. Already this year, stars like Eclipse Award recipient **Game Winner**, Grade I Los Alamitos CashCall Futurity winner **Improbable**, Grade I Starlet and Sunland Park Oaks winner **Chasing Yesterday**, Grade II Rebel Stakes winner **Omaha Beach**, Grade II Great Lady M Stakes winner **Marley's Freedom**, and major local stakes winners **McKinzie** and **Dabster** have trained and posted key workouts at Los Alamitos.

More exciting stars should be in action here in the months to come, as the Summer Meet's stakes schedule will feature the running of the Bertrando Stakes on Saturday, June 29; the (GII) Great Lady M Stakes on Saturday, July 6; and the Grade III Los Alamitos Derby on Saturday, July 13. Los Alamitos Race Course should once again draw national attention as many of the top stars of Thoroughbred racing figure to compete over our one-mile oval. As mentioned earlier, Saturdays will be heavily emphasized on the marketing plan due to the presence of these three well-known stakes races.

In terms of new marketing initiatives, Los Alamitos will be the host venue of the Honoring Our Fallen Car Show for the first time on Saturday afternoon, July 13. This event will provide an opportunity to showcase the sport and facility to a large group of new visitors. In addition, Los Alamitos is also excited to announce for the first time the new Vessels Sports Bar located on-track on the ground level of the Clubhouse building – formerly the Post & Paddock Room. The Vessels Sports Bar is scheduled to be open in time for opening day of the Summer Thoroughbred meet and it will offer sports fans a fun, new and lively atmosphere to enjoy all the major sports action from around the world. The 2019 Summer Meet will also include a racing program on Thursday, July 4, which we'll be heavily promoted and should kick-off an exciting second weekend of racing at Los Alamitos.

Detailed below is the continued cross-marketing efforts and cooperative promotions with Los Alamitos established partners at TVG, Twin Spires, NYRA Bets and the NTRA

National Horse Players Championship as well as some innovative ideas in partnership with the Daily Racing Form with the purpose of bringing Los Alamitos racing information to more racing fans than ever before. As in previous years, the Los Alamitos Summer Meet will also have a well-planned local television and national radio advertising presence.

2019 Los Alamitos Summer Meet Marketing Promotions & Budget TVG, Twin Spires, NYRA – Up to \$65,000

Los Alamitos Race Course will continue to make a robust effort to enhance and expand its presence with our leading partners - headed by TVG through television spots and popular promotions.

- Partnering with key ADW providers, Los Alamitos will offer popular promotions like TVG's Pick 4 Insurance and Money Back Special and Twin Spires' Bet Club Bonus Days.
- The activation of TVG promotions consistently served as handle drivers during the 2018 Summer Meet. We are continuously fine-tuning the offers to maximize appeal to day-to-day horseplayers.
- *NEW* for the 2019 Summer Meet, Los Alamitos Race Course and TVG will partner to offer a \$5,000 Handicapping Contest on the meet's opening Saturday of June 29.
- In addition, TVG will continue to have a live on-track presence during all 12 of our scheduled racing days, both on set and as special hosts in between races during our broadcast signal.

Daily Racing Form – Up to \$30,000

Our partnership with the DRF continues to focus on player acquisition. Here are some of the key elements that we are working on for the upcoming meet.

- NEW Homepage Header placement on DRF.com
- Improved Database Marketing Elements and better visibility of our Bonus Card PPs.
 - Email Blast to DRF Digital PP Buyer database of 65,000 active core players across the country promoting our top racing cards. Promotion to include direct link to free Digital PPs for Los Alamitos.

Local Television Advertising – New buy of up to \$12,000 to complement our year-round advertising schedule with TVG.

- Working with Spectrum and DirecTV, Los Alamitos Race Course will execute a media buy consisting of TV spots airing during live broadcasts of the popular LA Angels and LA Dodgers plus in live events on ESPN, Golf Channel, Prime Ticket, Fox Sports, Time Warner Cable Sports and more. The ad run will start on June 20.
- TVG & TVG2 – A consistent schedule of television ads will be featured daily on this popular horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run will start on June 1 with *Los Alamitos Handicapping Contest* spots and followed by *Stars of Racing at Los Alamitos* Spot. In previous years, nationally recognized trainers Bob Baffert and Art Sherman have been featured as spokespersons in our commercials.

Local/National Radio - \$14,000

In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas and Down The Stretch on SiriusXM. The shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and Ed Burgart are regular guests on these shows. Fresh weekly radio ads tailored for each radio market will continue to be produced.

Los Alamitos Summer Handicapping Championship – Saturday, December 6 & 13 - Up to \$32,000.

Based upon past successes of the handicapping contests held Los Alamitos Race Course, two handicapping contests will be offered during our Summer Meet, each offering a minimum of three seats to the NTRA National Horseplayers Contest. The contests will be conducted during our marquee days – the first on Great Lady M Stakes Day on July 6th and the second to be held in conjunction with the Los Alamitos Derby on July 13. The amount of seats offered is in line with previous contests offered and the formats will once again feature live-money contests. The contests will be promoted by direct contact of prior participants, on track advertisement, direct mail, and by running TV spots on TVG. We are working on enhancing these events even further in the months ahead.

Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, June 29 & July 4. Up to \$17,500

Our Mystery Mutuel voucher promotions continue to be popular and consistent drivers of on-track handle. We'll continue with our popular "Second Chance Voucher" Promo during this Summer Meet. The promo gives racing fans two separate chances to cash-in on a mystery

voucher, first on Saturday, June 29 and then when they return to the track on Thursday, July 4. Up to 12,000 racing fans on the Los Alamitos mailing list will be targeted with this pre-meet direct mail campaign. The direct mail piece will also offer daily track coupons and an overview of the upcoming meet.

California Satellite Promotions - Up to \$7,000

Promotional visits will be at key daytime simulcast locations like Sammy's in Lake Forest, Santa Clarita Bowling Lanes, Surfside, Hollywood Park, and more. Special handicapping seminars and cash contests will be hosted by a well-known racing personality. This program has been used successfully to expand the Los Alamitos mailing list with active players.

Honoring Our Fallen Car Show on Saturday, July 13

Honoring Our Fallen provides support for Families of Our Fallen specifically during the transfer of dignified remains. The Honoring Our Fallen Car Show is a fundraiser to help the families of these military heroes. Los Alamitos will have an event presence at the car show and will promote the live racing action via free racetrack admission passes, raffles for prizes and more as part of the afternoon's activities at the Car Show.

Additional Promotional Marketing - \$12,000

Promotional giveaways will include a 4th of July Giveaway and an on-track mailing list driver on Los Alamitos Derby Day on Sunday, July 14.

On-Line Industry Advertising - \$2,000

Promoting key days on national website like Equibase and The Paulick Report.

Summer Meet Support Staff - \$32,000

Includes additional in-house race day television producers, additional marketing staff and social media personnel.

TOTAL: \$225,000

Marketing Plan

2018 Los Alamitos Summer Thoroughbred Meet

Overview: In previous years, Los Alamitos has used to its advantage the recent exploits by Eclipse Award winners California Chrome, Abel Tasman, Finest City, and West Coast to build media and marketing plans in order to increase visibility, brand recognition, and acceptability of Los Alamitos as an important Thoroughbred racing venue. This program has included building television, online, print, and radio advertisement around major stars like West Coast and his victory in the 2017 Los Alamitos Derby in July followed a month later by success in the Travers Stakes at Saratoga. West Coast will once again be a key part of this program during the Los Alamitos Summer Meet opening on June 28, particularly in promoting the 2018 Los Alamitos Derby. Hopefully, the possible success of (G1) Cash Call Futurity winner McKinzie and (Gr.1) Starlet winner, the unbeaten Dream Tree, in major upcoming stakes race will provide new and more avenues to continue to build on this program.

The 2018 Summer Meet will include a racing program on Wednesday, July 4, which we'll be heavily promoted and will include an on-track handicapping contest. Los Alamitos will also conduct a local television and radio advertising presence, and marketing resources will be mainly targeted to increase the engagement of the existing fan base both on-track and at several California satellites.

In addition, continued cross-marketing efforts and cooperative promotions with Los Alamitos partners at TVG, Twin Spires, NYRA Bets and the NTRA National Horse Players Championship are detailed below. Los Alamitos will also continue to test new ideas to reach more customers by using various platforms available with the Daily Racing Form.

2018 Los Alamitos Summer Meet Marketing Promotions & Budget

TVG, Twin Spires, NYRA – Up to \$65,000

- National commercial spots on TVG leading up to meet and prior to major races
- Partnering with key ADW providers to activate popular promotions on TVG, Twin Spires, and NYRABets that can serve as handle drivers for the Los Alamitos Summer Meet.

- Partnership with marketing efforts including e-mail alerts, web banners and digital newsletters.
- We are continuously fine-tuning the offers to maximize appeal to day-to-day horseplayers.

Daily Racing Form –Up to \$30,000

Our partnership with the DRF focuses on continued player acquisition. Similarly to the 2017 Winter Meet, Los Alamitos will be featured in weekend national editions front cover strip advertisements promoting key wagers and running past performances in a highlighted form.

- Digital advertising campaign
- National print advertising campaign
- Bonus digital Past Performances for holiday and selected weekend days of meet.
- Inclusion in DRF Bets Morning Line eNewsletter & PP offer to PP purchasers
- Special Pick 4 sections on July 4 and on key race dates.

Local Television Advertising – Up to \$20,000

- Spectrum Cable / Orange County cable provider. Approximately 500 total spots concentrated during Angels, Dodgers, Golf Network, FS1, ESPN, and Prime Ticket sports programming. The buy also includes A&E, Bravo, Spike, and DirecTV baseball buy. The ad run will start on June 21.
- TVG & TVG2 – An increased schedule of television ads will be featured daily on this major horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run will start on June 9 with Los Alamitos Handicapping Contest spots and opening day teasers for the Summer Meet.

Local/National Radio - \$15,000

- In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas, plus Steve Byk At The Races, and Down The Stretch on SiriusXM. The national shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and track announcer Bobby Neuman are regular guests on these shows. Los Alamitos Race Course is also currently has inventory on La Mejor Apuesta Radio Show on ESPN 1330, the first dedicated horse racing radio show in Spanish.

- Fresh weekly radio ads tailored for each radio market will be produced.
- In addition to La Mejor Apuesta, Los Alamitos will continue its solid partnership with ESPN Deportes with on-air interviews and segments promoting the racing weekend.

Los Alamitos Winter Handicapping Championship – Wednesday, July 4 and a second date to be determined – Up to \$32,000.

Based upon past successes of the handicapping contests held Los Alamitos Race Course, two handicapping contests will be offered during our Summer Meet, each offering a minimum of three seats to the NTRA National Horseplayers Contest. The contests will be conducted during our marquee days – the first on July 4th and the second to be held in conjunction with our main weekend stakes race. The amount of seats offered is in line with previous contests offered and the formats will once again feature live-money contests. The two contests at the Winter Meet featured a combined 170 entries. The contests will be promoted by direct contact of prior participants, on track advertisement, direct mail, and by running TV spots on TVG.

Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, June 30 – Up to \$17,000

Historically, one of our most successful drivers of on-track handle has been a Mystery Mutuel voucher promotion, which once again will be held on Saturday, June 30. Twelve-thousand active fans on the Los Alamitos mailing list will be targeted with this promotion as part of a pre-meet direct mail campaign. The direct mail piece will also offer daily track coupons and incentives to visit the track in addition to the mystery mutuel voucher. The goal is to have a redemption rate of 12% or higher for the promotion.

Continuing New Initiatives - \$7,500

CALIFORNIA SATELLITE PROMOTIONS

- During the 2017 Los Alamitos Winter Meet, Los Alamitos conducted promotional visits at daytime simulcast locations (Sammy's in Lake Forest, Finish Line Grill at Fairplex Park in Pomona, Santa Clarita Bowling Lanes Off-Track Facility, NOS in San Bernardino, Hollywood Park Casino, and Commerce Casino). We considered the program a success, as staff engaged with over 1,500 racing fans at these locations and conducted handicapping seminars, cash contests, and promotional giveaways. As an added plus, the program helped expand the Los Alamitos mailing list with active players by more than 900 new names.

NEW ON-TRACK PROMOTIONS AT LOS ALAMITOS

- Currently working with partners to co-op a special day at the races at Los Alamitos for a groups of ADW VIP players.

On-Line Industry Advertising - \$2,000

- Concentrated on web sites such as Equibase.com, and The Paulick Report.

Additional Promotional Marketing - \$3,000

- On-Track mailing list driver as part of the closing holiday fan appreciation giveaway on Saturday, July 14.

Winter Meet Support Staff - \$32,000

- Includes additional in-house race day television producers, additional marketing staff and social media personnel.

TOTAL: \$223,500

Promotional/Marketing budget for prior race meeting: Attached

C. Number of hosts and hostesses employed for meeting: 8

D. Describe facilities set aside for new fans:
Special mutuel windows designated for newcomers

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans – New Sports Bar-completion date 6/15/19
3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general) - \$3
- Admission (clubhouse) - \$5
- Vessels Club (Turf Club) - \$10
- Reserved seating (general) - free
- Reserved seating (clubhouse) - free
- Parking (general) – free
- Parking (preferred) - \$6 / \$10 premium
- Parking (valet) – not offered
- Programs (on-track) - \$3
- (off-track) - \$3

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club Season Table - \$480 Grandstand Season Table - \$250

C. Describe any "package" plans such as combined parking, admission and program:

n/a

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | | |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many | <input type="text" value="60"/> |
| <input checked="" type="checkbox"/> | Showers | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur | <input checked="" type="checkbox"/> | Food/beverage service |
| | | <input checked="" type="checkbox"/> | Lounge area |
| | | <input checked="" type="checkbox"/> | Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: Separate quarters with showers, lockers, sauna.

21. BACKSTRETCH EMPLOYEE HOUSING

A.

Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet

- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 men, 8 women's
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 20

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,100

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A Height of 40 inches in maintained on both rails.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes track superintendent / Dennis Moore - track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.

- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). n/a
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

F. JACK LIEBAU
Print Name

Vice-President
Print Title

F. Jack Liebau
Signature

May 10, 2019
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ALAMITOS HORSE
RACING ASSOCIATION (LARA) AND THE THOROUGHBRED OWNERS OF
CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG
SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE LARA AT THE
LOS ALAMITOS RACETRACK

Regular Board Meeting
May 23, 2019

ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The Los Alamitos Racing Association (LARA) desires to condition the races run at the Los Alamitos Racetrack to be run with reduced levels of furosemide (Lasix).

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

The Los Alamitos Racing Association's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of

presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

RECOMMENDATION

This item is presented to the Board for discussion and action.

STAFF ANALYSIS
May 23, 2019

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
OF THE CALIFORNIA EXPOSITION AND STATE FAIR
JULY 12, 2019 THROUGH JULY 28, 2019

The California Exposition and State Fair (Cal Expo) filed its application to conduct a horse racing meeting at Cal Expo in Sacramento as part of the 2019 combined fair horse racing meeting as follows:

- July 12, 2019 through July 28, 2019, or 11 racing days, the same as in 2018. Cal Expo proposes to race a total of 110 races, the same as in 2018. Live racing will commence July 12, 2019, and continue through July 28, 2019. Cal Expo is a participant in the 2019 combined fair horse racing meeting.
- At its March 28, 2019 Regular Meeting, the Board heard and approved a request from the California Authority of Racing Fairs to designate the 2019 allocated race dates of the Alameda County Fair (6/13/19–7/7/19), Cal Expo (7/12/19–7/28/19), Humboldt County Fair (8/16/19–8/25/19), and The Big Fresno Fair (10/4/19–10/14/19) as a combined fair horse racing meeting.
- The proposed race dates are within the approved dates allocated to Cal Expo, which are now part of the 2019 combined fair racing meeting.

July 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing Friday through Sunday the first week, Thursday through Sunday the second and third weeks; seven races on Thursdays, 10 races on Fridays, and 11 races on Saturdays and Sundays.
- Requests approval to adjust number of races scheduled dependent upon availability of horses and mules.
- Racing concurrently with the Los Alamitos Racing Association and the Del Mar Thoroughbred Club.
- 2018 Race Meeting: Average number of runners per race (TB): 6.03
- 2018 Race Meeting: Average number of runners per race (Arabian): 6.75
- 2018 Race Meeting: Average number of runners per race (Quarter horse): 5.50
- 2018 Race Meeting: Average number of runners per race (Mule): 5.88

- First post 2:15 p.m. daily.
- Requests approval to adjust the post time schedule submitted, except for the first race post time, to maximize wagering opportunities on its live program and all simulcast import programs, which include Santa Anita, Los Alamitos, Del Mar, and out-of-state programs.
- Wagering program will use CHRB and ARCI rules.
 - Distribution of carryover pool will be October 14, 2019 (Closing Day of The Big Fresno Fair).
 - Early wagering will not be offered.
 - \$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable.
 - \$0.50 PNP4 on first four races and last four thoroughbred races each day.
 - \$0.50 PNP5 on last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 - \$0.20 PNP6 on last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners, then 40% minor pool that day is paid to the tickets selecting the most winners, and 60% major pool will be added to carryover.
 - \$0.20 Pentafecta (Super High 5) selecting first five finishers in same race. 100% pool paid and any prior carryovers paid for unique ticket selecting first five finishers. If no unique ticket selects first five finishers, then 40% minor pool paid to tickets selecting first five finishers, and 60% of major pool will be added to carryover. If no ticket selects first five finishers, 100% of pool will be added to carryover. Super High 5 will be carded on last race of the day. Sixth race of each day will be player's exacta with 14% takeout. No guaranteed pic four wager. If pic six does not have six thoroughbred races, emerging breed races will fill out the wager.
- Specific changes from the 2018 license application:
 - Admission (general) from \$14.00 to \$12.00-14.00 w/ Fair Admission
 - New Turf Club Buffet \$20.00 No Fair Admission
- The Advance Deposit Wagering (ADW) providers are BetAmerica, TVG Network, TwinSpires, Watch and Wager, and Xpressbet.

Pursuant to Business and Professions Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

To accept wagers on races conducted in California from a resident of California:

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California:

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- Documents received in compliance with Business and Professions Code Section 19604:
 - Horsemen's approval of ADW [Thoroughbred Owners of California, Arabian Racing Association of California, Pacific Coast Quarter Horse Racing Association, and American Mule Racing Association].
- Simulcasting conducted with other out-of-state racing jurisdiction pursuant to Business and Professions Code Section 19602 and with authorized locations throughout California.
- Requests Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- Track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to complete this application includes:

1. Signed 2019 agreements between CARF and each of the ADW providers designated in the race meet application [BetAmerica, TVG Network, TwinSpires, Watch and Wager, and Xpressbet LLC] allowing the latter to accept ADW wagers on races conducted at Cal Expo for the entire period of the proposed race meet.
2. Fire clearance.

RECOMMENDATION:

If the application is considered for approval, staff recommends an approval contingent upon receipt of all outstanding 2019 ADW agreements.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

California Exposition & State Fair
1600 Exposition Blvd., Sacramento, CA 95825
916-263-3000/Fax- 916-263-3230

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Rick Pickering, 916-263-3000, rpickering@calexpo.com
Larry Swartzlander, 916-263-3347, larry@calfairs.net

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **July 10 – July 30, 2019**

B. Actual dates (live) racing will be held: **July 12, 13, 14, 18, 19, 20, 21, 25, 26, 27, & 28.**

C. Dates (live) racing will NOT be held: **July 10, 11, 15, 16, 17, 22, 23, 24, 29 & 30.**

D. Total number of (live) racing days: **11**

E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) **First Week Friday – Sunday; Second & Third Week Thursday thru Sunday**

3. RACING PROGRAM

A. Total number of races: **110**
Respectfully request approval to adjust the number of races scheduled dependent upon availability of horses and mules.

B. Number of races by breed:
 90 Thoroughbreds 7 Quarter Horses
 6 Arabians 7 Mules

CHRB CERTIFICATION		
Application received: 4/15/19		Hearing date: 5/23/19
Reviewed: RP		Approved date:
		License number:

C. Number of races daily:

	Sunday 9/9/9	Monday	Tuesday	Wednesday	Thursday 6/6	Friday 8/8/8	Saturday 9/9/9
Thoroughbred							
Other Breeds	2/2/2				1/1	2/2/2	2/2/2
Total	11/11/11				7/7	10/10/10	11/11/11

D. Total number of stakes races by breed:

<input type="text" value="1"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). N/A

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. N/A

2019
Governor's Handicap
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-year-olds & Upward – Six Furlongs
Sat, Jul 20

2018
Governor's Handicap
\$50,000 Added Overnight Handicap
(Plus up to \$15,000 to Cal-Breds)
Three-year-olds & Upward – Six Furlongs
Sat, Jul 21

2017
Governor's Handicap
\$50,000 Added Overnight Handicap
(Plus up to \$15,000 to Cal-Breds)
Three-year-olds & Upward – Six Furlongs
Sat, Jul 22

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

All Days

- Race 1 2:15 PM
- Race 2 2:45 PM
- Race 3 3:15 PM
- Race 4 3:45 PM
- Race 5 4:15 PM
- Race 6 4:45 PM
- Race 7 5:15 PM
- Race 8 5:45 PM
- Race 9 6:15 PM
- Race 10 6:45 PM
- Race 11 7:15 PM

We respectfully request approval to adjust submitted post time schedule, excepting first race post time, to maximize all wagering opportunities for our live program and all simulcast import programs including Santa Anita, Los Alamitos, Del Mar, and out of state programs.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors:
Rina DiMare – Chair
Jess Durfee – Vice Chair
Rima Barkett - Director
Sonney Chong – Director
Rex S. Hime – Director
David Mallel – Director
Tony Ortiz - Director

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:
Rina DiMare – Director
David Mallel – Director

- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:
Rick Pickering- Chief Executive Officer
Tom Martinez – Chief Deputy General Manager
Chris Griffin - Public Address Announcer
Kevin Ingram - Stable Superintendent
Steve Wood- Track Foreman

- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Rick Pickering- Chief Executive Officer- rpickering@calexpo.com
Larry A. Swartzlander- Director of Racing- larry@calfairs.net
1600 Exposition Blvd., Sacramento, CA 95825

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager. N/A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be

deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2018 (11 days)	24,553,318	57,539
2017 (11 days)	23,464,949	58,274
2016 (10 days)	22,312,284	58,532
2015 (11 days)	24,949,818	63,496
*2014 (7 days)	18,033,326	44,337

*Ran one less day, Ran with Los Alamitos vs Hollywood Park and had one less day with Del Mar

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: **\$1,061,315**
 Prior meet actual: **\$1,019,515**

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: **\$96,483**
 Prior meet actual: **\$93,683**

2. Overnight stakes:
 Current meet estimate: **\$50,000**
 Prior meet actual: **\$50,000**

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: **\$4,545**
 Prior meet actual: **\$4,545**

3. Non-overnight stakes:
 Current meet estimate: **\$0**
 Prior meet actual: **\$0**

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: **\$0**
 Prior meet actual: **\$0**

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **\$1,111,315**
 Prior meet actual: **\$1,069,515**

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$124,678**
Prior meet actual: **\$119,883**

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	4,519	4,518
TOC	10,228	9,835
NTRA	1,737	1,670
PCQHRA	981	943
ARAC	1,965	1,889
AMRA	3,795	3,649
CHBPAPEN	14,095	13,553
<u>CTHF</u>	<u>14,095</u>	<u>13,553</u>
Total-	\$51,415	Total- \$49,610

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: **\$1,287,408**
Prior meet actual: **\$1,239,008**

Average Daily Purse (7D ÷ number of days):

Current meet estimate: **\$117,037**
Prior meet actual: **\$112,637**

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$1,081,284**
Prior meet actual: **\$1,081,284**

Average Daily Purse (7E ÷ number of days):

Current meet estimate: **\$98,299**
Prior meet actual: **\$98,299**

F. Purse funds to be generated from interstate handle:

Current meet estimate: **\$150,667**
Prior meet actual: **\$150,667**

Average Daily Purse (7F ÷ number of days):

Current meet estimate: **\$13,696**
Prior meet actual: **\$13,696**

G. Bank and account number for the Paymaster of Purses' purse account:

On file.

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corp, 1816 Maryal Drive, Sacramento, CA 95864 916-482-4224
disher_accountancy@msn.com

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition

of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **760**
- B. Minimum number of stalls believed necessary for the meeting: **700**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,500**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
Golden Gate Fields – 1500 stalls
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Northern California Summer Stabling and Vanning agreement on file

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
960
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$9.45
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - Dr. Sarah Sporer 510-***-**** ON FILE
 - Dr. Frosty Franklin 916-***-**** ON FILE
- B. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
Dr. Frosty Franklin – 0500 – 1700 on racing days, Jul 10 – July 31 – On site
Dr. Sarah Sporer – 0500 – 10:00 training and workout hours at auxiliary Jul 10 – Jul 31.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB# 1976.9 ARCI 004-105 G. (g) Method 7	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	



March 14, 2019

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2018 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The Alameda County Fair will have a \$30,000 Guaranteed pool on Saturdays only. No guarantees will be used at any other CARF fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander
Executive Director

\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 13, 2019, which is the Closing Day of The Big Fresno Fair.

Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
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- C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None.**
- D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **14 October, the last day of the Fresno Fair. Carryovers would include the Gold Rush Pic six, the Gold Strike Pentafecta and the pic five or four.**
- E. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.
\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
\$.020 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day. The sixth race of each day will be the player's exacta with a 14% takeout. There will be no guaranteed pic four wager. If the pic six does not have six thoroughbred races emerging breed races will fill out the wager.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Amtote (Dave Calendine) Expiration October 30, 2020**
Equipment description on file with CHRB.

H. List below the takeout percentage for each type of wager identified in 10B.

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%
 Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%
 Players exacta 14% takeout.

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the fair for this race meeting: **BetFair, (TVG), Express Bet and Bet America, Watch and Wager & Twin Spires.**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting: **CARF agreement on file with the CHRB.**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the fair to conduct simulcast wagering: **NCOTWINC**

B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File**

C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

- Alameda County Fair, Pleasanton
- Bankers Casino, Salinas
- Big Fresno Fair, Fresno
- California State Fair & Exposition, Sacramento
- Club One, Fresno
- Firehouse Restaurant, Bakersfield
- Golden Gate Fields, Albany
- *Humboldt County Fair, Ferndale
- Jockey Club at San Mateo, San Mateo
- Monterey County Fair, Monterey
- San Joaquin County Fair, Stockton
- Santa Clara County Fair, San Jose
- Shasta District Fair, Anderson
- Solano County Fair, Vallejo
- Sonoma County Fair, Santa Rosa
- Stanislaus County Fair, Turlock

SOUTHERN CALIFORNIA

- Barona Valley Ranch Resort & Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Commerce Casino Racebook, Commerce
- Derby Club, Seaside Park, Ventura
- Fairplex Park, Pomona
- Fantasy Springs Casino, Indio
- Los Alamitos Race Course, Los Alamitos
- Mermaid Tavern, Thousand Oaks
- OC Tavern & Sports Bar, San Clemente
- Roadhouse Grill, Santa Maria
- Sammy's Restaurant & Bar, Lake Forrest
- Santa Anita Park, Arcadia
- Santa Clarita Lanes, Santa Clarita
- Shalimar Sports Center, Indio
- Sports Center, San Bernardino
- Sports Pavillion at The Farmers Fair, Lake Perris
- Sports Pavillion, San Bernardino Cty. Fair, Victorville
- Surfside Race Place at Del Mar, Del Mar
- Sycuan Gaming Center, El Cajon
- Tilted Kilt, Thousand Oaks
- Viejas Casino & Turf Club, Alpine
- Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

2019 IMPORT TRACKS	
ARLINGTON	
BELMONT	



April 12, 2019

Mr. Larry Swartzlander
 Executive Director, CARF
 1776 Tribute Road, Suite
 205 Sacramento, CA 95815

RE: 2019 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on CARF and other Thoroughbred races during the 2019 race meetings, as follows:

1. California wagering on CARF races:

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER

PRESIDENT & CEO: GREG AVIOLI

EXECUTIVE DIRECTOR: MARY FORNEY

DIRECTOR OF RACING & NO. CALIF. OPERATIONS: ELIZABETH MOREY

ADMINISTRATIVE ASSISTANT: ELSA PERON

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on CARF races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

April 4, 2019

Executive Director
CARF
1776 Tribute Road, Suite 150
Sacramento, Ca 95815

RE: 2019 California Authority of Racing Fairs ADW Approvals

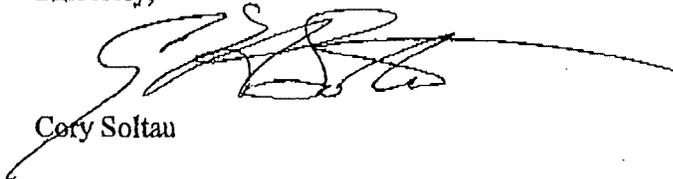
Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2019 racing season, commencing June 12, 2019 and continuing through October 15, 2019, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 4, 2019.

Please feel free to contact me if you have any questions.

Sincerely,



Cory Softau

Chairperson



Pacific Coast Quarter Horse Racing Association

April 4, 2019

Executive Director
CARF
1776 Tribute Road, Suite 150
Sacramento, Ca 95815

RE: 2019 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2019 racing season, commencing June 12, 2019 and continuing through October 15, 2019, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 4, 2019.

Please feel free to contact me if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read 'Dino Perez', with a stylized flourish at the end.

Dino Perez

General Manager

[Type text]

[Type text]

April 4, 2019

Executive Director
CARF
1776 Tribute Road, Suite 150
Sacramento, Ca 95815

RE: 2019 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2019 racing season, commencing June 12, 2019 and continuing through October 15, 2019, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 4, 2019.

Please feel free to contact me if you have any questions.

Sincerely,

 4/6/19

Don Jacklin

President AMRA

ASSINIBOIA	7/10-7/30
AUSTRALIAN RACING	7/10-7/30
BEULAH	7/10-7/30
30CALDER RACECOURSE	7/10-7/30
ANTERBURY DOWNS	7/10-7/30
CHARLES TOWN	7/10-7/30
CHURCHILL DOWNS	7/10-7/30
DELAWARE	7/10-7/30
DOWNS AT ALBUQUERQUE	7/10-7/30
ELLIS PARK	7/10-7/30
EMERALD DOWNS	7/10-7/30
EVANGELINE DOWNS	7/10-7/30
FORT ERIE	7/10-7/30
GULFSTREAM	7/10-7/30
HASTINGS PARK	7/10-7/30
HAWTHORNE	7/10-7/30
INDIANA DOWNS	7/10-7/30
KEENLAND	7/10-7/30
KENTUCKY DOWNS	7/10-7/30
LAUREL	7/10-7/30
LONE STAR	7/10-7/30
LOUISIAN DOWNS	7/10-7/30
MONMOTH	7/10-7/30
MOUNTAINEER PARK	7/10-7/30
NYRA-AQUEDUCT	7/10-7/30
NYRA-BELMONT	7/10-7/30
NYRA-SARATOGA	7/10-7/30
PENN NATIONAL	7/10-7/30
PHILADELPHIA PARK (PARX)	7/10-7/30
PIMLICO	7/10-7/30
PRAIRIE MEADOWS	7/10-7/30
PRESQUE ISLE	7/10-7/30
RIVER DOWNS	7/10-7/30
SOUTH AMERICAN RACING	7/10-7/30
SUFFOLK DOWNS	7/10-7/30
SUNLAND PARK	7/10-7/30
SUNRAY PARK	7/10-7/30
TAMPA BAY	7/10-7/30
THISTLEDOWN	7/10-7/30
TURFWAY PARK	7/10-7/30
TURF PARADISE	7/10-7/30
UNITED KINGDOM	7/10-7/30
WOODBINE	7/10-7/30

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**CARF 2019 Meets
Commingled Locations**

AmWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Arapahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Arma Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Arlington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Atokad	Fair Grounds ADW	Maywood	Sol Mutuel

1 CHART 1 (Rev.1/16)

Balmoral	Fair Meadows	Meadowlands	Southland Greyhound
Balmoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Bangor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Batavia	Freehold	Monmouth Park	Suffolk District OTB
Bettor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
Beulah Park	Global Wagering Solutions	Monticello	Pat's Pizza
Birmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	Sun Ray Park & Casino
Buffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Calder Racecourse	Race bets	New Jersey Casino Assoc.	Taunton Acct Wagering
Canterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
Catskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
Charles Town Race Course	Gulfstream	Northfield	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Northville	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
Colonial Downs	Hoosier Park	Oaklawn	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
Columbus Raceway	Indiana Downs	Panama	Twin River Greyhound
Connecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarksville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	Watch and Wager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	Xpress Bet
Paragon Casino	Lien Games	Potawatomie Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB	Presque Isle Downs	
Tote Investment Racing	Lien Games ADW	Raceway Park	
Randall James Racetrack	Bet America	Racing2Day LLC	
Millenium Racing	Best Fast Now	Racing2Day Intl. (Stan James)	
Royal Beach Casino	OnlineRacing.TV	Remington Park	
Divi Carina Bay Casino	BetTheRacesOnline.com	Remington OTB Network	
Fair Chance	BigJackpotBetting.com	Retama	Separate Pool Locations
Winner's Circle	Hometownbets.com	Racing & Gaming Services	Camarero (Puerto Rico)
Corpus Christi Greyhound	RaceplayWin.com	River Downs	Caymanas (Jamaica)
Delaware	VIPHorsePlayer.com	Rockingham Park	MIR Books (Caliente)
	TrackInfo.com	Rockingham Account Wagering	NDS Books (Nevada)
	Offtrackbetting.com	Ruidoso Downs	

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway

Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant, Bakersfield, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Tilted Kilt, Striders Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest & Striders, San Diego. Nor Co, Santa Barbara.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card
Cal Expo	Harness Horses	Per CHRB Calendar Full Card

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:	
Association Veterinarian(s)-	Audrey Clifton, DVM
Clerk of Scales-	Kenneth Sjoldal
Clerk of the Course-	Tina Walker

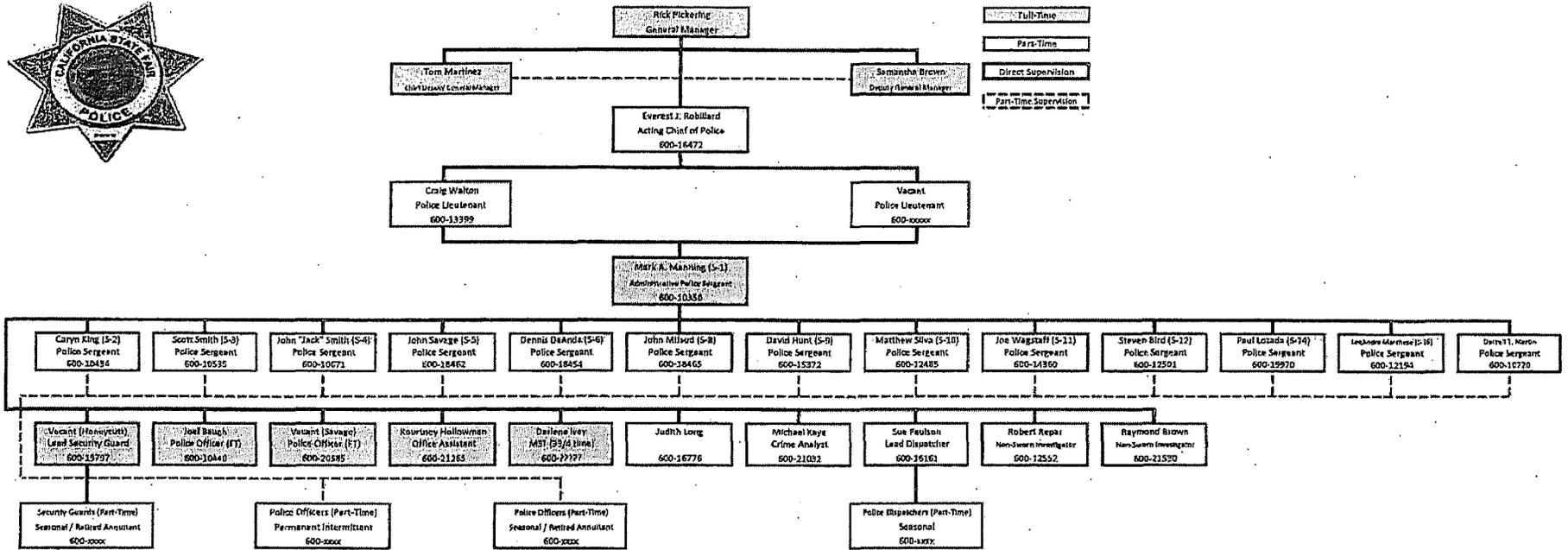
Film Specialist-	Danny Winnick
Horse Identifier-	Darrel Sparks
Horseshoe Inspector-	Larry Goshman
Paddock Judge-	Danny Winick
Patrol Judges-	Morgan Schlessler/Danny Winick
Placing Judges-	Steve Martinelli/Myra Troske
Starter-	Todd Stephens
Timer-	Melody Truitt

- B. Management officials in the racing department:
- | | |
|-----------------------------|-----------------------|
| Director of Racing- | Larry A. Swartzlander |
| Racing Supervisor- | Juliana Gomes |
| Concierge- | Lisa Wilkerson |
| Racing Secretary- | Tom Doutrich |
| Assistant Racing Secretary- | Linda Anderson |
| Paymaster of Purses- | Victoria Layne |
- Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Wendy Frazier, 13136 Ivey Rd., Herald, CA 95638 916-956-3914
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation, USA- Bill O'Brien, Contract Expires December 31, 2021
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
**Pegasus Communications, Inc (Jim Porep) Contract Expires: December 31, 2023
Equipment listed in attachment.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16th pole and both chutes. Pegasus Communications, Inc. (Jim Porep) Contract Expires 4/30/2023.

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Acting Chief Everest Robillard – 916-263-3050; Organizational chart attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **Gate Persons 9 Police Officers – 5 supported by roving patrol and 30-110 member Cal Expo Police Department.**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

California Exposition and State Fair Police Department



2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races. No Graded Stakes
- B. Number of security guards in the detention stall area during a 24-hour period.
N/A
- C. Describe number and location of surveillance cameras in detention stall area.
N/A

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
Will comply with and follow CHRB directives
- B. Plan for enhanced surveillance for trainers with high-test results.
Will comply with and follow CHRB directives
- C. Plan for detention stalls for repeat offenders.
Will comply with and follow CHRB directives
- D. Number of security personnel assigned to the TCO2 program.
As directed by CHRB

C. Describe the electronic security system.

- 1. Location and number of video surveillance cameras for the detention stall and stable gate.
Mobile surveillance system and gear provided and installed by CARF

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response, 1101 Fee Dr., Sacramento, CA 95815 916-563-0838

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

A1. The State of California uses a web based data base for licensed with in the State. That site is <http://www.centralregistry.ca.gov/Verification/Search.aspx> Sacramento County EMS as well as AMR regular confirm all employees are current with their licenses .

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Golden Gate Fields
Turf Rescue LLC
4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

On file with the CHRB

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site First Aid facility and racetrack ambulance contain all equipment and supplies necessary for advanced life support of any emergency and in compliance with all Sacramento County and California Highway Patrol requirements. The racetrack ambulance is staffed by 1 paramedics and emergency medical technician and the on-site first aid facility is staffed by paramedics and emergency medical technicians. The equipment list attached can be found one the



April 14, 2019

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

A handwritten signature in black ink, appearing to read 'Daniel Iniguez', is written above the printed name.

Daniel Iniguez
(916) 563-0835
Operations Manager AMR Sacramento County

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 7, 2018

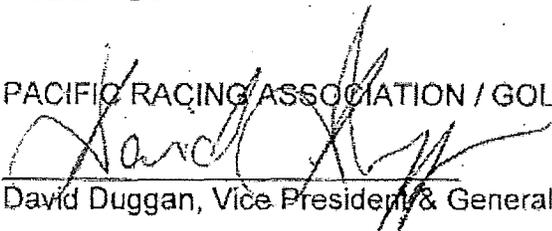
David Duggan
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2019 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS


David Duggan, Vice President & General Manager

TURF RESCUE, LLC


Brad Winding, Owner

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 7, 2018

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2019

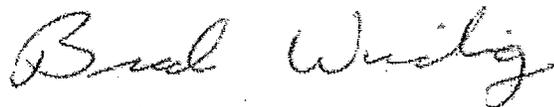
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

Sacramento County EMS web site and here is that link to the PDF.

<http://www.dhhs.saccounty.net/PRI/EMS/Documents/PoliciesProceduresProtocols/2000/PP-2030%20Advanced%20Life%20Support%20Inventories.pdf>

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Dr. Streeter 209-608-8009
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

UC Davis Medical Trauma Center
Specializing as a Level 1 Trauma Center
2315 Stockton Blvd., Sacramento, CA 95817
916-734-2011; 3 Miles

Sutter Medical Center
2801 L Street
Sacramento, CA 95816
916-887-0000; 3.4 Miles

Kaiser Permanente Hospital
Specializing in orthopedic and cardiac treatment
2025 Morse Ave.
Sacramento, CA 95825
916-817-5660 2 Miles

Mercy General Hospital
Specializing in cardiac treatment
4001 J. St., Sacramento, CA 95819
916-453-4553 1 ½ Miles

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Larry A. Swartzlander, Director of Racing
Juliana Gomes, Asst. Director of Racing
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
The Fire Marshall inspection has been scheduled for early June, 2019.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **California Fair Services Authority.**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
Attached.

NOTICE TO APPLICANT: (a) Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5

	COUNTY OF SACRAMENTO EMERGENCY MEDICAL SERVICES AGENCY	Document #	2030.18
	PROGRAM DOCUMENT: Advanced Life Support Inventories	Initial Date:	06/09/94
		Last Approved Date:	03/14/19
		Effective Date:	05/01/19
		Next Review Date:	01/01/21

Signature on File

Signature on File

EMS Medical Director

EMS Administrator

Purpose:

- A. It is the sole responsibility of the provider agency to make available to their Paramedics sufficient inventory to offer Advanced Life Support (ALS) services, at all times while in service, as defined by both the state Emergency Medical Services Authority (EMSA) scope of practice and the Sacramento County Emergency Medical Services Agency (SCEMSA) optional scope of practice.

Authority:

- A. California Health and Safety Code, Division 2.5
- B. California Code of Regulations, Title 22, Division 9

Policy:

- A. All Paramedics, who at the time of a medical incident are in service and employed in the capacity of a Paramedic by their provider agency, shall have all the necessary medications, equipment, and materials to render an ALS level of service
- B. It is the sole responsibility of the provider agency to have all the necessary medications, equipment, and materials available for the Paramedic, who at the time of a medical incident is in service and employed in the capacity of a Paramedic
- C. Provider agencies may choose to NOT supply non-transporting ALS units with those items indicated by an asterisk (*) on the SCEMSA Inspection Guide
- D. Any deficiencies in the quantity of sufficient materials to offer ALS service involving patient care, whether or not the deficiency affected patient care, as defined by both the EMSA scope of practice and the SCEMSA optional scope of practice, shall be reported, in writing, to SCEMSA within seven (7) days of the occurrence
- E. SCEMSA shall conduct periodic ALS unit inspections to validate compliance
- F. The SCEMSA Inspection Guide is not an all-encompassing list nor indicates quantities based on the Providers' geographic response areas and responsibility for identifying sufficient quantities to deliver the necessary care specified in the SCEMSA Policies

AIRWAY

Bag valve mask resuscitator, adult and pediatric

Cric Kit and Jet Insufflation device* /or equivalent

ETT nebulizer adapter

Laryngoscope handle

Magill forceps – adult and child

Nasal cannula

Minimum Thoracostomy Needle- Minimum 14 gauge 3.25"

NPA, sizes 24-36 Fr

Portable suction unit

Spare Laryngoscope batteries

Stylet malleable, adult and pediatric

Yankauer or tonsil tip suction catheter

Supraglottic intubation device

Capnometer

Continuous waveform Capnography

Endotracheal tubes sizes:

Uncuffed and/or cuffed: 5.0-5.5

Cuffed: 6.0, 7.0, 7.5, 8.0

Handheld nebulizer

Laryngoscope blades, Macintosh sizes 1, 2, 3 and 4,

Miller sizes 0, 1, 2, 3 and 4

Material/device to secure endotracheal tube

Non-rebreather bag mask, adult and pediatric

OPA, sizes 80-110 mm

Portable oxygen cylinder with regulator

Spare laryngoscope bulbs

Suction catheter kit, adult and pediatric

Continuous Positive Air Pressure (CPAP) device with Sm, Med, and Lg masks. *

ELECTROCARDIOGRAM

Cardiac/Defib Monitor w/ Pacing Capabilities

Spare paper for monitor

12-lead* and 3-lead ECG cables

Equipped and capable to transmit 12-Lead ECG

Spare batteries for cardiac monitor

Defibrillation/Pacing pads, adult and pediatric

Electrode pads

INTRAVENOUS ACCESS SUPPLIES

Antiseptic solution

IO needles* 45mm, 25mm and 15mm

Pediatric solution administration kit (60 droplet set or equivalent) *

Intravenous solution

Sharps needle disposal container

Tourniquet

Intravenous catheters (over the needle) 14, 16, 18, 20, 22 and 24

EZ-IO

Intravenous solution administration device

Medication administration needles

Medication administration syringes

MEDICATIONS

Adenosine*

Amiodarone

Atropine

Diazepam* (only during SCEMSA recognized shortages or under PD#8027)

Epinephrine 1:10,000

Glucagon hydrochloride

Albuterol .05% solution

Aspirin, chewable

Dextrose:

D50 OR

D10% OR both

Diphenhydramine

Epinephrine 1:1,000

Fentanyl*

Lidocaine HCL 2%*

Midazolam*
 Naloxone
 Ketamine*
 Nitroglycerine paste with tape applicator*
 Ondansetron*
 Sodium bicarbonate*
 Tranexamic Acid (TXA)*

Morphine Sulfate* (optional in place of Fentanyl)
 Nitroglycerine spray or equivalent
 Normal saline
 Oral glucose
 Water soluble lubricant

TRAUMA SUPPLIES

Backboard with straps*
 KED or short board device*
 Traction splint, adult and pediatric*
 Hemorrhage control supplies
 Vaseline Gauze

Cervical collars (rigid): of appropriate sizes / adjustable
 Rigid splints, arm and leg
 Head immobilizer
 Triangular Bandages

Sterile Dressings (burns)
 Cold/Hot Packs*

COMFORT SUPPLIES

Blanket*
 Pillow*

MISCELLANEOUS

Glucometer with testing supplies
 Shears
 Emesis Containers/Fx Pan*
 Stethoscope
 Thermometer

Obstetrical kit with hat and blanket
 Personal Protective Equipment (PPE)
 Triage tags with ties
 Penlight
 Blood pressure cuff; adult, pediatric

**Optional for ALS Non-Transporting Units*

- G. The following list of inventory items is intended to list the minimum allowable supplies to be carried on a non-motorized, non-transporting specialty unit. These units include but are not limited to: Bike Patrol, Foot Patrol.
- H. The provider responsible for providing this service shall be required to carry the appropriate quantity of each item as deemed appropriate for their specific event.
- I. While these items represent the minimum allowable, it is appropriate and encouraged to carry any item from this entire policy as deemed necessary and appropriate for the specific event or assignment. The carrying of any equipment from the items listed in Section F do not need to be approved by SCEMSA if carried on these specialty units, provided the requirements of that specific item can be met (e.g. Narcotics)

Non-Motorized Specialty Unit Minimum Inventory:

Medications:

Epinephrine: 1:10,000
 Atropine
 Nitroglycerine spray or equivalent

Epinephrine: 1:1,000
 Aspirin
 Glucagon

Oral Glucose
Diphenhydramine
Albuterol

Naloxone
Dextrose 50%
Dextrose 10% (optional)
Sodium Chloride Vial

Airway:

Portable oxygen cylinder with regulator
Nasal Cannula
ETT nebulizer adapter

Non-rebreather bag mask, adult and pediatric
Handheld nebulizer
Bag valve mask resuscitator, adult and pediatric

NPA, sizes 24-36 Fr

OPA, sizes 80-110 mm
Endotracheal tubes cuffed, 5.0, 5.5, 6.0, 7.0, 7.5, 8.0

Laryngoscope handle/blades
Mac 1-4, Miller 0-4
Spare laryngoscope bulbs
Capnometer (Adult/Child)

Spare Laryngoscope batteries
Magill Forceps (Adult/Child)
Material/device to secure endotracheal tube (Adult/Child)

Portable Suction
Water soluble lubricant

Suction Catheter Kit
Thoracotomy Needle- 14 gauge 3.25"

ECG:

AED w/ Spare Battery (Adult/Child capabilities)
Waveform display preferred

IV Supplies:

Antiseptic solution

Intravenous solution administration device
Intravenous solution
Sharps needle disposal container
Tourniquet

Intravenous catheters (over the needle)
14, 16, 18, 20, 22, 24

Saline Lock
Medication administration needles
Medication administration syringes

Dressing/Bandages:

Hemorrhage control supplies
Cold Packs

Vaseline Gauze
Triangular Bandages

Misc.:

Stethoscope
Pulse-oximeter
Glucometer with testing supplies
Penlight

Blood Pressure Cuff (Adult/Child)
Obstetrical kit with hat and blanket
Personal Protective Equipment (PPE)

Item #15F English:**California State Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #15 F Spanish:**Procedimiento en caso de Accidente en California State Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para aguardar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompañar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



May 14, 2019

Rick Baedeker
Executive Director, California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: **A) Update on Timing to Obtain Fire Clearance**
B) Request for License Approval Subject to Fire Clearance

Annually, the State Fire Marshal's Office conducts an inspection of the Racing Barn Area at Cal Expo, following the move out of the Harness Race Meet operated by Watch & Wager, LLC. Since Watch & Wager operates Harness Racing at Cal Expo from September to May, once Harness Racing moves out, related repairs to the Barn Area are identified. Upon completion of such repairs, the State Fire Marshal conducts the official inspection of the Barn Area.

The State Fair's Licenses Application is reviewed by the CHRB at its May Meeting, in advance of the State Fire Marshal issuing fire clearance for Cal Expo's Barn Area. Given the timing of Watch & Wager's move out in May, and as has been the case for many years, it is respectfully requested that the Board again approve the State Fair's License, subject to the condition that the State Fair obtain the appropriate fire clearance.

Thank you and your team for your ongoing support and assistance. We hope you will be able to join us at the California State Fair this summer, July 12-28.

Respectfully,

A handwritten signature in black ink, appearing to read "Rick K. Pickering".

Rick K. Pickering
CEO

cc: Larry Swartzlander, CARF



April 2, 2019

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95815

Re: California Exposition & State Fair
1600 Exposition Blvd.
Sacramento, CA 95815

Please be advised that the California Exposition & State Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 7/01/2019 to 7/01/2020

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the California Exposition & State Fair as set forth in California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

A handwritten signature in cursive script that reads "Mario Castagnola".

Mario Castagnola
Risk Analyst

miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:
Food Service- Ovations Fanfare, LP, 4501 Pleasanton Drive, Pleasanton, CA 94566

B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting. To be provided.

- B. Promotional/Marketing Budget for this race meeting:

The total media buy for the 2019 California State Fair is \$800,000 with an estimated \$400,000 in trade and promotions. Horseracing advertising, promotions and marketing will be included in the overall messaging.

Promotional/Marketing Budget for the prior race meeting:

The total media buy for the 2018 California State Fair is \$800,000 with an estimated \$400,000 in trade and promotions. Horseracing advertising, promotions and marketing will be included in the overall messaging.

- C. Number of hosts and hostesses employed for meeting:

8

- D. Describe facilities set aside for new fans:

Reserved grandstand seating will be made available to blue ribbon season pass holders. We will also offer horse racing 101 workshops, and are working on securing new group events that will be held on the grass area to the left of the apron. New tote board signage and new entryway signs will also enhance the customer experience.

New events that will be taking place National Wiener dog races, California State Fair Corn hole competition, California State Fair Brew Fest and the California State Fair Car Show.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit horsemen, fans, and facilities in the restricted areas.

- A \$100,000 inside racing rail was installed.
- Enhanced Security System throughout Miller Lite Grandstand.
- A new betting system was installed.
- The Miller Lite Racetrack Grandstand was re-wired for better data transfer.

- Replaced 102 T.V.'s with high def. flat screen T.V.'s.
- Improvements were also made to the backstretch laundry facilities.
- New water-efficient toilets were installed throughout Cal Expo, including the Miller Lite Racetrack Grandstand.
- Cal Expo opened the new employee break room in the backstretch.
- An emergency safety horn was implemented for thoroughbred training.
- \$1 million roof replacement on the Satellite wagering and racing facilities.
- Updated security on back stretch.

Marketing Plan:

Nearly \$1.9 million in cash and approximately \$400,000 in in-kind advertising will be spent on marketing efforts to draw the Sacramento region and San Joaquin Valley to the 2019 California State Fair and Thoroughbred Horse Racing Meet. Additional marketing through online discount offers will take place.

The blue ribbon will continue to brand the State Fair as a celebration of living in the moment in all of the marketing elements.

Marketing tactics:

Broadcast TV/Cable advertising

- 5 weeks of ads leading up to and during the Fair
- English and Spanish ads
- Horse racing is a featured attraction

Radio advertising

- 8 weeks of recorded radio ads
- 2-week flight for advance ticket sales in April and 6 weeks leading up to and during the Fair
- English and Spanish ads in the Sacramento and Stockton Markets
- On-site and on-air promotions

Outdoor advertising

- 23 high traffic billboards
- Thoroughbred Horse Racing will be featured on Digital and Static Billboards
- Nearly 45 million estimated impressions
- Transit shelters in midtown Sacramento and high traffic commuter areas

Print advertising

- Sacramento Bee
- Sactown Magazine
- Sacramento Magazine
- Sacramento News & Review
- Sacramento Observer
- Outward Magazine
- Dozens of Community Newspapers
- 20,000 flyers and postcards delivered to potential competitive exhibitors and community events

Digital Marketing

- Banners (Desktop & Mobile)
- Automated box seat marketing campaigns to targeted lists (previous purchasers and area businesses)
- Online video
- Social advertising via Facebook and Twitter
- Daily emails/newsletters highlighting events and activities as the Fair

Social Media Outreach

- Daily posts on Facebook, Twitter and Instagram
- Live streaming via Facebook and Instagram

Awards and Recognition

- International Association of Fairs and Expositions (IAFE) Best of Show, Best Marketing Campaign and 13 other First Place Categories
- 16 Western Fair Association media and marketing awards
- American Advertising Federation – Sacramento ADDY Gold Award for Overall Marketing Program
- Facebook Likes: 183,864
- Twitter Followers: 9,549
- Instagram Followers: 8,825

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission (general) -	\$12.00 - 14.00/w Fair Admission
Admission (clubhouse)	Free
Reserved seating (general)	Box Seats \$3.00 Seat only NO Fair Admission
Reserved seating (clubhouse)	Free
Turf Club Buffet	\$20.00 NO Fair Admission
Parking (general)	\$15.00
Parking (preferred)	\$ 5.00
Parking (valet)	N/A
Programs (on-track)	\$2.50
(Off-track)	\$2.50

B. Describe any "Season Boxes" or other special accommodation fees:

11-Day Season Box Seat Package-
 \$650 for premium boxes surrounding finish line
 \$550 for all other boxes

C. Describe any "package" plans such as combined parking, admission and program:

Package Includes:

- One private box (6 seats total)
- One sponsored race
- 6 official racing programs (per day)
- 66 general admission passes to the California State Fair
- One general lot parking pass (good for racing days only)
- Preferred lot parking pass (good for racing days only)

19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:
- | | | | |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many | <input type="text" value="433"/> |
| <input checked="" type="checkbox"/> | Showers | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur | <input checked="" type="checkbox"/> | Food/beverage service |
| | | <input checked="" type="checkbox"/> | Lounge area |
| | | <input checked="" type="checkbox"/> | Certified platform scale |
- B. Describe the quarters to be used for female jockeys: Same type in segregated area

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing is scheduled for: the week of May 14, 2018
- B. Number of rooms used for housing on the backstretch of the racetrack: 21
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restrooms to the number of backstretch personnel: 25 to 1

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
The racetrack is made up of sand of various types (Very Coarse, Coarse, and Medium to Very Fine), silt and clay. The Medium to Very Fine sand makes up approximately 75% of the sand content. Organic material will be added to the racetrack to assist in water retention.
- C. The percent of cross slope in the straight-aways is: 2%
 The percent of cross slope in the center of the turns is: 4%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside Rail- Maw Safe Rail Outside Rail- Steriline Aluminum Racing Rail
Both rails will be set at 38" to 42" from the level of the race course
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Trackmaster Inc.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file.
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

any racing. N/A

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement will be received NLT April 18, 2019.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
Attached.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Larry A. Swartzlander

Print Name

Director of Racing

Print Title



Signature

April 15, 2019

Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA
AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF
CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG
SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE
CALIFORNIA EXPOSITION AND STATE FAIR

Regular Board Meeting
May 23, 2019

ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The California Authority of Racing Fairs (CARF) desires to condition the races run at the California Exposition and State Fair to be run with reduced levels of furosemide (Lasix).

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

The California Authority of Racing Fairs (CARF) request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of

presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

RECOMMENDATION

This item is presented to the Board for discussion and action.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA
AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF
CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG
SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE
ALAMEDA COUNTY FAIR

Regular Board Meeting
May 23, 2019

ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The California Authority of Racing Fairs (CARF) desires to condition the races run at the Alameda County Fair to be run with reduced levels of furosemide (Lasix).

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

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presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

RECOMMENDATION

This item is presented to the Board for discussion and action.

STAFF ANALYSIS
May 23, 2019

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR
JULY 17, 2019 THROUGH SEPTEMBER 3, 2019

The Del Mar Thoroughbred Club (DMTC) filed its application to conduct a thoroughbred horse racing meeting at Del Mar as follows:

- July 17 through September 3, 2019, or 36 days, the same as in 2018. The association proposes to race a total of 318 races, or 8.83 races per day. In 2018, the DMTC raced 8.83 races per day with an average of 8.87 runners per race. The (actual) average daily purse for the meet was \$566,504. The (estimated) average daily purse for this meet is \$577,343.
- The race dates proposed are within the dates allocated by the Board.

July 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

August 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

September 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

- Racing five days per week (except week 7 racing six days), Wednesday through Sunday, and Monday, September 2; eight races per day Wednesday through Friday (except 10 races Wednesday, July 17); 10 races per day Saturdays, July 20, 27 and August 3, 10, 24, Sundays, August 18, 25 and September 1, and Monday, September 2; 11 races per day Saturdays, August 17, 31; nine races per day Sundays, July 21, 28 and August 4, 11.
- Racing concurrently with the dates allocated to the California Exposition and State Fair, Sonoma County Fair, Humboldt County Fair, and Pacific Racing Association II.
- First post 2:00 p.m. Wednesday, Thursdays, Saturdays, and Sundays; 4:00 p.m. Fridays, July 19, 26, August 2, 9, 16; 3:30 p.m. Fridays, August 23, 30.
- Requests that Pick 6 wager be altered to replicate modifications instituted during summer and fall 2018 race meets.
 - Pursuant to ARCI 004-105(G)(12), requests payout method specified in ARCI 004-105(G)(2)(i); if no unique winning ticket, unique share and carryover paid to ticket holders correctly selecting winner in each race included in Pick 6; if no ticket correctly selects winner in each race included in Pick 6, the day's third share and carryover paid to ticket holders correctly selecting winners in greatest number of races included in Pick 6.
 - Request that on any day during which there is surface change after Pick 6 pool has closed, races with surface change are declared "Win All," not "no contest;" payout distribution method provided in ARCI 004-105(G)(2)(i); if no unique winning ticket that day, the day's unique share added to the day's first share.

- Wagering program will use CHRB and ARCI rules.
 - Early wagering will start at 9:00 a.m. every day except Mondays and Tuesdays.
 - Requests that minimum bet be lowered from \$1 to \$0.50 for Pick 3 wager.
 - \$2 Win, Place, Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$2 Quinella on all eligible races.
 - \$1 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.
 - \$2 Rolling Daily Double; requests 20% takeout rate.
 - \$0.50 Rolling Pick 3 on all eligible races.
 - \$1 Place Pick All.
 - \$0.50 Pick 4 on races 2-5; no consolation; 100% payout; alternate runner provision.
 - \$0.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; alternate runner provision; requests 14% takeout rate.
 - \$0.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; alternate runner provision.
 - \$2 Pick 6 on last six live races each day: First share—70%; Second share—15%; Third share—15%; request that Commission allow patrons to select alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.
 - \$1 Super High 5 on last race of day; 100% payout of all tickets selecting five winners; no consolation; 100% carryover to next race day Super High 5, if applicable.
 - Mandatory Pick 6 Jackpot Payout on August 17, 2019 (Pacific Classic Day) and on September 2, 2019 (Closing Day).

- The Advance Deposit Wagering (ADW) providers are BetAmerica, NYRA Bets, TVG Network, TwinSpire, Watch and Wager, and Xpressbet.

Pursuant to Business and Professions Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

To accept wagers on races conducted in California from a resident of California:

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California:

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and

(ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code Section 19604:

- Churchill Downs Technology Initiatives Company (CDTIC) dba TwinSpires and BetAmerica has submitted all documents required in compliance with Business and Professions Code Section 19604.
 - NYRABets, LLC has submitted all documents required in compliance with Business and Professions Code Section 19604.
 - ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code Section 19604.
 - Watchandwager.com, LLC has submitted all documents required in compliance with Business and Professions Code Section 19604.
 - Xpressbet, LLC has submitted all documents in compliance with Business and Professions Code Section 19604.
-
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602 and with authorized locations throughout California.
 - Requests that Jennifer Paige be appointed horse identifier, pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
 - A track safety inspection has been requested and will be completed before the beginning of the race meet.
 - Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to be completed this application includes:

1. Response to question 9.A.1. is incomplete. Need times of availability for listed veterinarians.
2. Fire clearance.

RECOMMENDATION:

If the application is considered for approval, staff recommends an approval contingent upon receipt of all outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Del Mar Thoroughbred Club
P.O. Box 700, Del Mar, CA 92014
Phone: 858-755-1141
Fax: 858-792-1477
Josh Rubinstein: josh@dmtc.com

B. Breed of horse: TB QH H

C. Racetrack name: **Del Mar Thoroughbred Club (DMTC)**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **ON FILE**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **July 17 through September 03, 2019**

B. Actual dates racing will be held: **July 17 through September 02, 2019, everyday except Mondays (other than Labor Day) and Tuesdays. Dark 7/22-23, 7/29-30, 8/5-6, 8/12-13, 8/19-20, 8/26-27.**

C. Total number of days or nights of racing: **36 days**

CHRB CERTIFICATION

Application received: 4/18/19
Deposit received: On file
Reviewed: RP

Hearing date: 5/23/19
Approved date:
License number:

14-5

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

E. Number of days or nights of racing per week: **5 days per week (except Week 7 with 6 days)**

3. RACING PROGRAM

A. Total number of races: **318**

B. Number of races for each day or night: *

DAY	DATES	NUMBER OF RACES
WED-8 Races	7/24, 7/31, 8/7, 8/14, 8/21, 8/28	48
THURS-8 Races	7/18, 7/25, 8/1, 8/8, 8/15, 8/22, 8/29	56
FRI- 8 Races	7/19, 7/26, 8/2, 8/9, 8/16, 8/23, 8/30	56
SAT-10 Races	7/20, 7/27, 8/3, 8/10, 8/24	50
SAT-11 Races	8/17 (PC), 8/31	22
SUN-9 Races	7/21, 7/28, 8/4, 8/11	36
SUN-10 Races	8/18, 8/25, 9/1	30
OPENING DAY	7/17	10
CLOSING DAY/ LABOR DAY	9/2	10

TOTAL	318
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***DMTC reserves the right to change number of races based on horse availability**

C. Total number of stakes races: **40 (34 stakes and 6 overnight stakes)**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **EXHIBIT 1**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **EXHIBIT 1 (A)**

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **The Green Flash Handicap has been elevated from Listed to Grade III status. The Generous Portion and I'm Smokin Stakes will now be run with Cal-Bred restrictions only.**

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **The CERF Stakes (Overnight stakes) will not be run in 2019. It conflicted with the Rancho Bernardo Handicap and Beverly Lewis Stakes (Los Alamitos).**

2019 DEL MAR STAKES SCHEDULE - EXHIBIT 1

Running Date	#	Event	Distance	2019 Value
Wed. Jul 17, 2019	1	OCEANSIDE STAKES Three-year-olds, N/W S/S of \$50,000 at 1 M o/o in 2019	One Mile (Turf)	\$100,000 Added
Thu. Jul 18, 2019	2	FLEET TREAT STAKES Fillies, three years old, bred in CA	Seven Furlongs	\$150,000 Guaranteed
Fri. Jul 19, 2019	Overnight Stakes	Osunitas Stakes Fillies and mares, three years and upward, N/W S/S \$50,000 at 1 M o/o since Sept. 1	1 1/16 Miles (Turf)	\$85,000 Added
Sat. Jul 20, 2019	3	SAN DIEGO HANDICAP (Gr. II) Three-year-olds and upward	1 1/16 Miles	\$200,000 Guaranteed
Sat. Jul 20, 2019	4	SAN CLEMENTE STAKES (Gr. II) Fillies, three years old	One Mile (Turf)	\$200,000 Guaranteed
Sat. Jul 20, 2019	Overnight Stakes	Daisycutter Handicap Fillies and mares, three years old and upward	Five Furlongs (Turf)	\$85,000 Added
Sun. Jul 21, 2019	5	EDDIE READ STAKES (Gr. II) Three-year-olds and upward	1 1/8 Miles (Turf)	\$250,000 Guaranteed
Sun. Jul 21, 2019	Overnight Stakes	Wickerr Stakes Three-year-old and upward, N/W S/S \$50,000 at 1 M o/o since September 1	One Mile (Turf)	\$85,000 Added
Wed. Jul 24, 2019	6	COUGAR II HANDICAP (Gr. III) Three-year-olds and upward	1 1/2 Miles	\$100,000 Guaranteed
Fri. Jul 26, 2019	7	CALIFORNIA DREAMIN' STAKES Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Sat. Jul 27, 2019	8	REAL GOOD DEAL STAKES Three-year-olds, bred in CA	Seven Furlongs	\$150,000 Guaranteed
Sat. Jul 27, 2019	9	BING CROSBY STAKES (Gr. I) Three-year-olds and upward	Six Furlongs	\$300,000 Guaranteed
Sun. Jul 28, 2019	10	CLEMENT L. HIRSCH STAKES (Gr. I) Fillies and mares, three years old and upward	1 1/16 Miles	\$300,000 Guaranteed
Wed. Jul 31, 2019	11	CTBA STAKES Fillies, two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000 Guaranteed
Fri. Aug 02, 2019	12	GRADUATION STAKES Two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000 Guaranteed
Sat. Aug 03, 2019	13	YELLOW RIBBON HANDICAP (Gr. II) Fillies and mares, three years old and upward	1 1/16 Miles (Turf)	\$200,000 Guaranteed
Sat. Aug 03, 2019	14	SORRENTO STAKES (Gr. II) Fillies, two-year-olds	Six Furlongs	\$200,000 Guaranteed
Sun. Aug 04, 2019	15	LA JOLLA HANDICAP (Gr. III) Three-year-olds	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Fri. Aug 09, 2019	16	SOLANA BEACH STAKES Fillies and mares, three years old and upward, bred in CA	One Mile (Turf)	\$150,000 Guaranteed
Sat. Aug 10, 2019	17	BEST PAL STAKES (Gr. II) Two-year-olds	Six Furlongs	\$200,000 Guaranteed
Sun. Aug 11, 2019	18	RANCHO BERNARDO HANDICAP (Gr. III) Fillies and mares, three years old and upward	6 1/2 Furlongs	\$100,000 Guaranteed
Fri. Aug 16, 2019	Overnight Stakes	CTT and TOC Stakes Fillies and mares, three years old and upward	1 3/8 Miles (Turf)	\$85,000 Added
Sat. Aug 17, 2019	19	TVG PACIFIC CLASSIC (Gr. I) Three-year-olds and upward	1 1/4 Miles	\$1,000,000 Guaranteed
Sat. Aug 17, 2019	20	GREEN FLASH HANDICAP (Gr. III) Three-year-olds and upward	Five Furlongs (Turf)	\$100,000 Guaranteed
Sat. Aug 17, 2019	21	DEL MAR HANDICAP (Gr. II) Three-year-olds and upward	1 3/8 Miles (Turf)	\$250,000 Guaranteed
Sat. Aug 17, 2019	22	DEL MAR OAKS (Gr. I) Fillies, three years old	1 1/8 Miles (Turf)	\$300,000 Guaranteed
Sat. Aug 17, 2019	23	TORREY PINES STAKES (Gr. III) Fillies, three years old	One Mile	\$100,000 Guaranteed
Sun. Aug 18, 2019	24	DEL MAR MILE (Gr. II) Three-year-olds and upward	One Mile (Turf)	\$200,000 Guaranteed
Wed. Aug 21, 2019	Overnight Stakes	Harry F. Brubaker Stakes Three-year-olds and upward	One Mile	\$85,000 Added
Fri. Aug 23, 2019	Overnight Stakes	Tranquility Lake Stakes Fillies and mares, three years old and upward N/W S/S \$50,000 at 1 M o/o since Feb 1	One Mile	\$85,000 Added
Sat. Aug 24, 2019	25	PAT O'BRIEN STAKES (Gr. II) Three-year-olds and upward	Seven Furlongs	\$200,000 Guaranteed
Sun. Aug 25, 2019	26	SHARED BELIEF STAKES Three-year-olds	One Mile	\$100,000 Guaranteed
Wed. Aug 28, 2019	27	GENEROUS PORTION STAKES Fillies, two years old, bred in CA	Six Furlongs	\$100,000 Guaranteed
Fri. Aug 30, 2019	28	I'M SMOKIN STAKES Two-year-olds, bred in CA	Six Furlongs	\$100,000 Guaranteed
Sat. Aug 31, 2019	29	DEL MAR DEBUTANTE (Gr. I) Fillies, two years old	Seven Furlongs	\$300,000 Guaranteed
Sat. Aug 31, 2019	30	JOHN C. MABEE STAKES (Gr. II) Fillies and mares, three years old and upward	1 1/8 Miles (Turf)	\$200,000 Guaranteed
Sun. Sep 01, 2019	31	DEL MAR DERBY (Gr. II) Three-year-olds	1 1/8 Miles (Turf)	\$250,000 Guaranteed
Sun. Sep 01, 2019	32	DEL MAR JUVENILE TURF Two-year-olds	One Mile (Turf)	\$100,000 Guaranteed
Mon. Sep 02, 2019	33	DEL MAR JUVENILE FILLIES TURF Fillies, two years old	One Mile (Turf)	\$100,000 Guaranteed
Mon. Sep 02, 2019	34	DEL MAR FUTURITY (Gr. I) Two-year-olds	Seven Furlongs	\$300,000 Guaranteed

2019					2018	2017	
Running Date	2019 Value		Event	Distance	VALUE	VALUE	
17-Jul-19	\$100,000	Added	OCEANSIDE STAKES	Three-year-olds, NW S/S of \$50,000 at 1 M o/o in 2019	One Mile (Turf)	\$100,000	\$100,000
18-Jul-19	\$150,000	Guar	FLEET TREAT STAKES	Fillies, three-year-olds, bred in CA	Seven Furlongs	\$150,000	\$150,000
20-Jul-19	\$200,000	Guar	SAN DIEGO HANDICAP (Gr. II)	Three-year-olds and upward	1 1/16 Miles	\$200,000	\$200,000
20-Jul-19	\$200,000	Guar	SAN CLEMENTE STAKES (Gr. II)	Fillies, three years old	One Mile (Turf)	\$200,000	\$200,000
21-Jul-19	\$250,000	Guar	EDDIE READ STAKES (Gr. II)	Three-year-olds and upward	1 1/8 Miles (Turf)	\$250,000	\$250,000
24-Jul-19	\$100,000	Guar	COUGAR II HANDICAP (Gr. III)	Three-year-olds and upward	1 1/2 Miles	\$100,000	\$100,000
26-Jul-19	\$150,000	Guar	CALIFORNIA DREAMIN' STAKES	Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf)	\$150,000	\$150,000
27-Jul-19	\$150,000	Guar	REAL GOOD DEAL STAKES	Three-year-olds, bred in CA	Seven Furlongs	\$150,000	\$150,000
27-Jul-19	\$300,000	Guar	BING CROSBY STAKES (Gr. I)	Three-year-olds and upward	Six Furlongs	\$300,000	\$300,000
28-Jul-19	\$300,000	Guar	CLEMENT L. HIRSCH STAKES (Gr. I)	Fillies and mares, three years old and upward	1 1/16 Miles	\$300,000	\$300,000
31-Jul-19	\$100,000	Guar	CTBA STAKES	Fillies, two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000	\$100,000
2-Aug-19	\$100,000	Guar	GRADUATION STAKES	Two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000	\$100,000
3-Aug-19	\$200,000	Guar	YELLOW RIBBON HANDICAP (Gr. II)	Fillies and mares, three years old and upward	1 1/16 Miles (Turf)	\$200,000	\$200,000
3-Aug-19	\$200,000	Guar	SORRENTO STAKES (Gr. II)	Fillies, two-year-olds	Six Furlongs	\$200,000	\$200,000
4-Aug-19	\$150,000	Guar	LA JOLLA HANDICAP (Gr. III)	Three-year-olds	1 1/16 Miles (Turf)	\$150,000	\$150,000
9-Aug-19	\$150,000	Guar	SOLANA BEACH STAKES	Fillies and mares, three years old and upward, bred in CA	One Mile (Turf)	\$150,000	\$150,000
10-Aug-19	\$200,000	Guar	BEST PAL STAKES (Gr. II)	Two-year-olds	Six Furlongs	\$200,000	\$200,000
11-Aug-19	\$100,000	Guar	RANCHO BERNARDO HANDICAP (Gr. III)	Fillies and mares, three years old and upward	6 1/2 Furlongs	\$100,000	\$100,000
17-Aug-19	\$1,000,000	Guar	TVG PACIFIC CLASSIC (Gr. I)	Three-year-olds and upward	1 1/4 Miles	\$1,000,000	\$1,000,000
17-Aug-19	\$250,000	Guar	DEL MAR HANDICAP (Gr. II)	Three-year-olds and upward	1 3/8 Miles (Turf)	\$250,000	\$250,000
17-Aug-19	\$300,000	Guar	DEL MAR OAKS (Gr. I)	Fillies, three years old	1 1/8 Miles (Turf)	\$300,000	\$300,000
17-Aug-19	\$100,000	Guar	GREEN FLASH HANDICAP (Gr. III)	Three-year-olds and upward	Five Furlongs (Turf)	\$75,000	\$75,000
17-Aug-19	\$100,000	Guar	TORREY PINES STAKES (Gr. III)	Fillies, three years old	One Mile	\$100,000	\$100,000
18-Aug-19	\$200,000	Guar	DEL MAR MILE (Gr. II)	Three-year-olds and upward	One Mile (Turf)	\$200,000	\$200,000
24-Aug-19	\$200,000	Guar	PAT O'BRIEN STAKES (Gr. II)	Three-year-olds and upward	Seven Furlongs	\$200,000	\$200,000
25-Aug-19	\$100,000	Guar	SHARED BELIEF STAKES	Three-year-olds	One Mile	\$100,000	\$100,000
28-Aug-19	\$100,000	Guar	GENEROUS PORTION STAKES	Fillies, two years old, bred in CA, NW S/S \$50,000	Six Furlongs	\$100,000	\$150,000
30-Aug-19	\$100,000	Guar	I'M SMOKIN STAKES	Two years old, bred in CA, NW S/S \$50,000	Six Furlongs	\$100,000	\$100,000
31-Aug-19	\$300,000	Guar	DEL MAR DEBUTANTE (Gr. I)	Fillies, two years old	Seven Furlongs	\$300,000	\$300,000
31-Aug-19	\$200,000	Guar	JOHN C. MABEE STAKES (Gr. II)	Fillies and mares, three years old and upward	1 1/8 Miles (Turf)	\$200,000	\$200,000
1-Sep-19	\$250,000	Guar	DEL MAR DERBY (Gr. II)	Three-year-olds	1 1/8 Miles (Turf)	\$250,000	\$250,000
1-Sep-19	\$100,000	Guar	DEL MAR JUVENILE TURF	Two-year-olds	1 Mile (Turf)	\$100,000	\$100,000
2-Sep-19	\$100,000	Guar	DEL MAR JUVENILE FILLIES TURF	Fillies, two-year-olds	1 Mile (Turf)	\$100,000	\$100,000
2-Sep-19	\$300,000	Guar	DEL MAR FUTURITY (Gr. I)	Two-year-olds	Seven Furlongs	\$300,000	\$300,000

E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program: **EXHIBIT 2**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Full Disclosure Statement On-File with CHRB**

C. CORPORATION

1. Registered name of the corporation: **Del Mar Thoroughbred Club**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **559096**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

David H. Batchelder	Chairman of the Board	1 Share
Joseph W. Harper	Director, & CEO	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Bo Derek	Director	1 "
Kosta Hronis	Director	1 "
Jon S. Kelly	Director	1 "
Warner Lusardi	Director	1 "
Marie G. Moretti	Director	1 "
Jim Rome	Director	1 "
Martin J. Wygod	Director	1 "
Josh Rubinstein	President & Chief Operating Officer	0
Michael R. Ernst	Executive VP, Finance CFO	0
Thomas S. Robbins	Executive VP, Racing	0
Craig A. Dado	Executive VP, Chief Marketing Officer	0
Lynn Wright	Senior VP and Controller	0
Ann Hall	Senior VP, Operations & Administration	0
Sue Walls	VP, Facilities	0

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **None**

6. Number of outstanding shares in the corporation: **11**

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

EXHIBIT 2

POST TIMES FOR PROPOSED DAILY RACING PROGRAM

2019

RACE	REGULAR (Wed, Thurs, Sat & Sun and Opening Day 7/17)	FRIDAYS (7/19, 7/26, 8/2, 8/9, 8/16)	FRIDAYS (8/23, 8/30)
1 st	2:00 p.m.	4:00 p.m.	3:30 p.m.
2 nd	2:30 p.m.	4:30 p.m.	4:00 p.m.
3 rd	3:00 p.m.	5:00 p.m.	4:30 p.m.
4 th	3:30 p.m.	5:30 p.m.	5:00 p.m.
5 th	4:00 p.m.	6:00 p.m.	5:30 p.m.
6 th	4:30 p.m.	6:30 p.m.	6:00 p.m.
7 th	5:00 p.m.	7:00 p.m.	6:30 p.m.
8 th	5:30 p.m.	7:30 p.m.	7:00 p.m.
9 th *	6:00 p.m.		
10 th *	6:30 p.m.		
11 th *	7:00 p.m.		

*On certain days to be determined

- 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**
- 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. N/A Yes No

- 10. Registered name of the corporation:
- 11. State where incorporated: **N/A**
- 12. Registry or file number for the corporation: **N/A**
- 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **N/A**
- 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **N/A**
- 15. Number of outstanding shares in the corporation: **N/A**
- 16. Are the shares listed for public trading? **N/A** Yes No
 If yes, on what exchange and how is the stock listed:
- 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **N/A**

D. LLC **N/A**

- 1. Registered name of the LLC:
- 2. State where articles of organization are filed:
- 3. Registry or file number for the LLC:
- 4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
- 5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
- 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
- 7. Registered name of the LLC/Corporation:
- 8. State where articles of organization are filed:
- 9. Registry or file number for the LLC:
- 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
- 11. Are the shares listed for public trading? Yes No
- 12. If yes, on what exchange and how the stock is listed:

E. OTHER **N/A**

- 1. Name(s) of partners/sole proprietor:
- 2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **ON FILE**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Josh Rubinstein	President, COO
Michael R. Ernst	Executive VP, Finance and Administration/CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Executive VP, Chief Marketing Officer
Ann Hall	Sr. Vice President, Operations & Administration
Lynn Wright	Sr. Vice President and Controller
Sue Walls	Vice President, Facilities
David Jerkens	Racing Secretary
Mac McBride	Director of Media
Daniel G. Smith	Senior Media Supervisor
Cathy Arneel	Director of Group Sales
Paul Porter	Director of Simulcasting and Racetrack Services
Walker McBride	Director of Sponsorship and Digital Media
William D. Navarro	Director of Mutuels
Nancy Bonforte	Director of Turf Club
Kim Jacobson	Director of Risk Management
Trevor Denman	Public Address Announcer
Dennis Moore	Director of Track Maintenance
Leif Dickinson	Turf Course / Grounds Superintendent
Chris Bahr	Director of Events and Promotions
Ken West	Director of Plant and Purchasing
Sheryl Kerstiens	Customer Service Manager

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Josh Rubinstein – President
PO Box 700, Del Mar, CA 92014
josh@dmtc.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **.50¢PNP / Players Pick Five Wager – 14%**
 Proposed percentage: **\$2 Rolling Daily Double – 20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **EXHIBIT 3**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year and # Race Days	On-Track Handle	Off-Track Handle	On-Track Attendance	Off-Track Attendance
2018 - 36	59,439,657	425,727,650	470,529	208,125
2017 - 36	58,899,859	394,123,379	476,628	218,927
2016 - 39	63,927,411	401,528,464	524,439	236,584
2015 - 40	74,259,863	408,753,153	578,770	256,219
2014 - 36	78,285,174	364,341,133	595,249	236,778

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

- A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: 36 Race Days **12,946,840**
 Prior meet actual: 36 Race Days **12,490,996**



Josh Rubinstein
President & COO

March 27, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2019 Summer Season, July 17th – September 2nd.

- \$2 Rolling Daily Double - subject to a takeout rate of 20%.

For reference, the \$2 Rolling Daily Double is noted on DMTC's license application in Section 5(1).

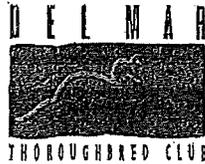
Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to be "JR", with a long horizontal line extending to the right.

Josh Rubinstein,
President and COO

cc: Joe Harper
Mike Ernst
Greg Avioli, TOC



Josh Rubinstein
President & COO

March 27, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2019 Summer Season, July 17th – September 2nd.

- Players Pick 5 - .50¢ minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick Five Wager is noted on DMTC's license application in Section 5(1).

Please contact me directly if you have any questions.

Regards,

A large, stylized handwritten signature in black ink, appearing to be "JR", with a long horizontal line extending to the right.

Josh Rubinstein,
President and COO

cc: Joe Harper
Mike Ernst
Greg Avioli, TOC



Josh Rubinstein
President & COO

March 27, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting to lower the minimum bet for our Pick-3 wager from \$1 to \$0.50. This is consistent with the minimum bet in our Pick-4 and Pick-5 wagers and also matches Santa Anita's Pick-3 minimum bet (along with their Pick-4 and Pick-5).

Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "Josh Rubinstein", is written over the word "Regards,".

Josh Rubinstein,
President and COO

cc: Joe Harper
Mike Ernst
Greg Avioli, TOC



Josh Rubinstein
President & COO

March 27, 2019

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Pick-6 Payout Modifications on Mandatory Payout Days and on Days with a Late Surface Change

Rick,

As you are aware, during Del Mar Thoroughbred Club's (DMTC) 2016 fall meet the Pick-6 wager was modified to include a single ticket Jackpot payout. As such, the pool distribution was changed from the previous payout – 70% to 6-of-6 winners (or a carryover if no 6-of-6 tickets) and 30% as a consolation distribution (usually tickets with 5-of-6 correct) to:

- 70% to 6-of-6 winners (or a carryover if no 6-of-6 tickets)
- 15% as a consolation distribution (usually tickets with 5-of-6 correct)
- 15% to a single winning Pick-5 ticket (known as the Jackpot pool)

In order to maintain consistency within Southern California's wagering menu, DMTC is requesting that our Pick-6 wager for the current 2019 Summer Season (July 17th – September 2nd) be slightly altered to replicate the modifications instituted and used during the Del Mar's 2018 Summer/Fall Seasons.

Mandatory Payout Days

Pursuant to ARCI 004-105(G)(12), DMTC is requesting that on any mandatory distribution payout date which is approved by the California Horse Racing Board ("CHRB") during this license period, the following payout distribution method be used:

1. The payout distribution method, with all three shares being paid, as specified in ARCI 004-05(G)(2)(i); provided, however,
2. In the event that on such a mandatory distribution payout date there is no unique winning ticket, that day's unique share (i.e. third share), as well as an applicable carryover, be paid to ticket holders who correctly selected the winner in each of the races included in the Pick 6; provided further that,
3. In the event that on such a mandatory distribution payout date there is no ticket which correctly selected the winner in each of the Pick-6 races, that day's first share, and any carryover, and that day's third share, and any applicable carryover, be paid to the ticket holders who correctly selected the winner of the greatest number of races included in the Pick 6.

In order to avoid any doubt, on any approved mandatory distribution payout date, the second share (i.e. 5-of-6) will be paid out like it is on all other days of the meet.

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick-6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

DMTC requests that on any day in which there is a surface change after the Pick-6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All", not a "no contest."

DMTC also requests that on any day in which there is a surface change after the Pick-6 pool has closed, the following payout distribution method be used:

1. The payout distribution method as provided for in ARCI 004-105(G)(2)(i); provided, however,
2. If there is no unique winning ticket that day, that day's unique share (i.e. third share) will be added to the day's first share.

In other words, DMTC is requesting that on any day in which there is a surface change after the Pick-6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's unique share (i.e. third share) will be added to the first share and either will be paid to the ticketholders who correctly selected the winner in each of the races included in the Pick-6 or if there is no such ticket, it would then be added to the first share's carryover. On days in which there is a surface change after the Pick-6 pool has closed, the unique Jackpot carryover (i.e. third share carryover) can be paid out, though, it cannot grow.

In order to avoid any doubt, on any day in which there is a surface change after the Pick 6 pool closes, the second share (i.e. 5-of-6) will be paid out like it is on all other days of the meet.

If there is a surface change after the Pick-6 pool closes on a mandatory payout date, the mandatory payout date rules would prevail.

Feel free to contact me if you have any questions or would like to discuss the matter.

Sincerely,



Josh Rubinstein, President / COO

cc: Jackie Wagner

**EXHIBIT 3 (CONTINUED)**

April 25, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2019 Summer Race Meet – Rolling Doubles Wager

Dear Ms. Wagner,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its 2019 summer meet, July 17 – September 2, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers



EXHIBIT 3 (CONTINUED)

April 25, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2019 Summer Race Meet – Players Pick 5 Wager

Dear Ms. Wagner,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of the “Players Pick-5” wager on the first five (5) races of the day, subject to a 14% takeout, on each racing day of its 2019 Summer meet, July 17 – September 2, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: **359,634**
 Prior meet actual: **346,972**

2. Overnight stakes:
 Current meet estimate: **510,000**
 Prior meet actual: **600,000**

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: **14,167**
 Prior meet actual: **16,667**

3. Non-overnight stakes:
 Current meet estimate: **5,330,000**
 Prior meet actual: **5,231,600**

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: **148,056**
 Prior meet actual: **145,322**

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **18,786,840**
 Prior meet actual: **18,322,596**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: **17.12%**
 Prior meet actual: **17.15%**

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: **0.48%**
 Prior meet actual: **0.48%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: **1,546,170**
 Prior meet actual: **1,619,681**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

	Current meet estimate:	Prior meet actual:
TOC Administration	170,865	171,073
CTT Administration	85,432	85,536
CTT Backstretch Pension	170,865	171,073
NTRA Dues	<u>24,172</u>	<u>24,172</u>
Total	451,334	451,854

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:	20,784,344
Prior meet actual:	20,394,131

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:	577,343
Prior meet actual:	566,504

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	11,169,745
Prior meet actual:	11,885,891

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:	310,271
Prior meet actual:	330,164

G. Purse funds to be generated from interstate handle:

Current meet estimate:	7,986,216
Prior meet actual:	8,653,685

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:	221,839
Prior meet actual:	240,380

H. Bank and account number for the Paymaster of Purses' purse account:
On file.

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

A. Number of usable stalls available for racehorses at the track where the meeting is held:
1,850 (Reduced capacity for horse safety)

- B. Minimum number of stalls believed necessary for the meeting:
1,850

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
 - 1,345 Los Alamitos and San Luis Rey Downs**
 - 1,900 Santa Anita Open July 8th to July 17th and August 31st to September 2nd)**

 - 3,245 Total (when Santa Anita Open)**

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 - **Los Alamitos 850**
 - **San Luis Rey Downs 495**
 - **Santa Anita 1,900**

- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **ON FILE**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **2,100 (Note: as of 2015 total number 2,000)**

- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$1,136,000
\$ 15.03 cost per day per stall

- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Estimated vanning cost: \$58,688
 - Vanning per horse (round trip):**
 - Santa Anita \$235**
 - Los Alamitos \$170**
 - San Luis Rey Downs \$170**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
There is a rotation of private veterinarians' onsite during all training and racing house. Names and emergency telephone numbers place ON FILE with the CHRB.
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

2019 CURRENT
DEL MAR VET LIST

14-23

	A	B	C	D
1	VETRINARIAN	PHONE	EMAIL	PRACTICE
2	Anderson, Elizabeth	(626) All on file		Sheilds
3	Araujo, John	(626) ***_****		Self
4	Arthur, Rick	(626) ***_****		State
5	Baker, Vince	(714) ***_****		Baker
6	Beck, Gary	(310) ***_****		
7	Birch, Sarah	(614) ***_****		Simpson/Dowd
8	Blea, Jeff	(626) ***_****		Blea/Von Bluecher
9	Blue, Melinda	(626) ***_****		Blue
10	Bohannon, Laura	(626) ***_****		Sheilds
11	Bradley, Sam	(310) ***_****		Baker
12	Brokken, Todd	(626) ***_****		Blea/Von Bluecher
13	Buerchler, Sabina	(626) ***_****		
14	Byrd, Wade	(310) ***_****		Baker
15	Canfield, Cathy	(626) ***_****		Baker
16	Carpenter, Ryan	(805) ***_****		Baker
17	Cervantes, Carlos	(626) ***_****		
18	De Haan, Kat	(208) ***_****		
19	Dowd, Joe	(818) ***_****		Simpson/Dowd
20	Farmer, William	(260) ***_****		State
21	Finley, Jenn	(626) ***_****		Blea/Von Bluecher
22	Fitzgerald, Becky	(562) ***_****		Los Al /State
23	Grande, Tim	(626) ***_****		SA / State
24	Grant, Barry	(760) ***_****		Self
25	Griffin, Michelle	(310) ***_****		Lasix
26	Grimm, Dr.	(760) ***_****		SLR
27	Hardgrove, Nicola	(310) ***_****		
28	Hunkin, Dawn	(626) ***_****		Blea/Von Bluecher
29	Jenkins, Chuck	(970) ***_****		Self

2019 CURRENT
DEL MAR VET LIST

	A	B	C	D
1	VETRINARIAN	PHONE	EMAIL	PRACTICE
30	Lamparter, Debbie	(856) All on file		Self
31	Lorbiecki, Jocelyn	(949) ***_****		
32	Magrini, Ron	(760) ***_****		
33	Manno, Mike	(619) ***_****		
34	Mauro, April	(310) ***_****		
35	McCaughan, Mark	(562) ***_****		
36	Overly, Ricky	(714) ***_****		
37	Pattio, Nolton	(626) ***_****		Lasix / State
38	Porubovich, Lindsey	(602) ***_****		
39	Shields, Don	(626) ***_****		
40	Simpson, Ted	(818) ***_****		
41	Stead, Dana	(970) ***_****		State
42	Steppe, Mike	(951) ***_****		
43	Troncatty, Ashley	(818) ***_****		
44	Valko, Karen	(951) ***_****		
45	Von Bluecher, Helmuth	(626) ***_****		
46	Warren, Herb	(714) ***_****		Self
47	Wharton, Heather	(805) ***_****		Blue
48	Witten, Sam	(949) ***_****		
49	Wolfrum, Ann Marie	(781) ***_****		

7.13.19 – 9.05.19 **Del Mar Race Track** **Training and Racing**
 Del Mar (DMTC) will provide a veterinarian during training hours (first few days) prior to the arrival of the trainers' private veterinary practitioners. We will have a list of all on-site trainers with their private practitioners and provide that list for our stable office, main stable gate, clockers and outriders. In addition to the private practitioners who will be present onsite during training hours, the State Veterinarian and Track Veterinarian will be present on race days for much of the morning training period while conducting their soundness exams and will be present during each afternoon racing program. Veterinarians' contact numbers ON FILE with the CHRB and DMTC.

Year Round **Los Alamitos Race Course** **Training**
 Los Alamitos Race Course has private practitioners present during its training hours. Veterinarians' contact numbers ON FILE.

Year Round **San Luis Rey Downs** **Training**
 San Luis Rey Downs has veterinarians from its nearby San Dieguito Equine Group that are present during training hours. Contact numbers ON FILE.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:
 Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race: **TYPE OF WAGERS** \$1 E; \$1 Double **APPLICABLE RULES** CHRB #1959; RCI #VE

Del Mar Thoroughbred Club Wagering Menu and Information

	W/P/S	Parlay	Quinella	Exacta	Trifecta	Superfecta	DD	Pick 3	PPN	Early PNP4	Late PNP4	Early PNP 5	Late PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X	X	X	X			X			
2	X	X	X	X	X	X	X	X	X	X					
3	X	X	X	X	X	X	X	X	X					*	
4	X	X	X	X	X	X	X	X	X				*	*	
5	X	X	X	X	X	X	X	X	X		*		*	*	
6	X	X	X	X	X	X	X	X	X		*		*	*	
7	X	X	X	X	X	X	*	*	X		*		*		
8	X	X	X	X	X	X	*	*	X		*				X
9	X	*	X	X	X	X	*	*	*						*
10	X	*	X	X	X	X	*		*						*
11	X	*	X	X	X	X			*						*

* When applicable

Wager	Rule	Takeout	Minimum Wager Amount and Other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Quinella	CHRB 1958	22.68%	\$2 Quinella on all eligible races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Rolling Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Rolling Pick 3 on all eligible races.

PPN (Place Pick All)	CHRB 1976.8	23.68%	\$1 Place Pick All.
PNP4 (Early Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5; No consolation; 100% payout; Alternate runner provision.
PNP4 (Late Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(i) ¹ ; ARCI 004-105(G)(16)(b)(i)	23.68%	\$2 Pick 6 on the last six live races each day: First share – 70%; Second share – 15%; Third share – 15%.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on last race of the day; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race day Super High 5, if applicable.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Mandatory Pick 6 Jackpot Payout on August 17, 2019, Pacific Classic Day and on Closing Day September 2, 2019.**
- D. List any options requested with regard to exotic wagering: **None**
- E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
July 17th through September 2nd, 2019
9:00 a.m. every day except Mondays and Tuesdays

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System
American Totalisator, Inc. (AmTote)
Primary system at ViaWest Data Center located in Portland Oregon
AmTote Representative:
Bob Sloan (1-443-798-0878) bob.sloan@amtote.com
Contract expiration date: October 28, 2020
Terminals
F3000e – Convertible teller and self-service unit; IP based, touch screen and keyboard operated.

V3000e – Self Service terminals, touch screen, bill accepting, ticket in/out.
AWSST – Account wagering self-service unit. Touch screen account based terminal.

G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

TAKE OUT PERCENTAGE

14.00 %	Early PNP5
15.43 %	Parlay
15.43 %	W/P/S
20.00 %	DD
22.68 %	E; Q
23.68 %	TRI; SF; PK3; PNP6; SuperH5; PPN; PNP4, Late PNP5

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:
DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following Advance Deposit Wagering (ADW) companies to conduct Internet and telephone wagering from California residents: TVG, Xpress-Bet, Watch & Wager, Twin Spires, Bet America, NYRA Bets CA. In addition, several other ADW companies (complete list on file) have access to Del Mar’s content for Internet wagering in states for which ADW is approved by law.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
ON FILE

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes No

If yes, attach a copy of the approval. **EXHIBIT 4**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering, Inc. “SCOTWINC”

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association’s live audiovisual signal for wagering purposes and providing



April 19, 2019

Mr. Josh Rubinstein
Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

RE: 2019 DMTC Summer Race Meeting ADW Approvals

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Del Mar Thoroughbred Club (DMTC) race signals under the terms and conditions set forth below.

Pursuant to Section XXIX, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and DMTC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, DMTC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on DMTC and other Thoroughbred races during the 2019 summer race meeting, July 17 through September 3, 2019, as follows:

1. California wagering on DMTC races:

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER

PRESIDENT & CEO: GREG AVIOLI

EXECUTIVE DIRECTOR: MARY FORNEY

DIRECTOR OF RACING & NO. CALIF. OPERATIONS: ELIZABETH MOREY

ADMINISTRATIVE ASSISTANT: ELSA PERON

Mr. Josh Rubinstein
April 19, 2019
Page 2 of 2

ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.

- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on DMTC races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

SCOTWINC Agreement is ON FILE with CHRB

- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
EXHIBIT 5
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
EXHIBIT 6
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
EXHIBIT 7
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
EXHIBIT 8
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **EXHIBIT 9**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

HARNESS SIMULCAST RACES TO BE IMPORTED

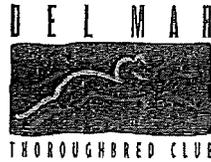
Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

9/1/19	Ruidoso	All American Derby	I	\$1,000,000	440 Yards
9/2/19	Ruidoso	All American Futurity	I	\$3,000,000	440 Yards



Josh Rubinstein
President & COO

April 17, 2019

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Extension of DMTC/SCOTWINC Agreement

Rick,

According to the Agreement entered into as of April 26, 2016, by and between Southern California Off-Track Wagering Incorporated and the Del Mar Thoroughbred Club, this Agreement was automatically extended for one year through April 26, 2020, as neither SCOTWINC or Del Mar Thoroughbred Club delivered notice for non-renewal in accordance with the terms of the Agreement.

If you have any questions, or need additional information, please feel free to contact me.

Very truly yours,

A handwritten signature in black ink, appearing to be "Josh Rubinstein". The signature is written in a cursive style and is enclosed within a hand-drawn oval. A long horizontal line extends from the bottom of the oval to the right.

Josh Rubinstein,
President and Chief Operating Officer

cc: Mr. George Haines, SCOTWINC
Jackie Wagner, CHRB

Exhibit 5**Satellites**

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park @ Barretts, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park Casino, Inglewood
Humboldt County Fair, Ferndale
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
Pechanga Resort Casino, Temecula
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
San Mateo Event Center, San Mateo
Santa Anita Park, Arcadia
Santa Clara County Fair, San Jose
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion, the Farmers Fair, Lake Perris
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

EXHIBIT 6

AmWest Entertainment, KY	Equus St. Thomas, St. Thomas
Arapahoe Park, Colorado	Emerald Downs, Washington
Arima Race Course, Trinidad	Euro Offtrack, Isle of Man
Arlington International Race Course, Illinois	Evangeline Downs, Louisiana
Assiniboia Downs, Canada	Evansville/Clarksville OTB, Indiana
Atlantic City Casino Assn., New Jersey	Fairgrounds ADW, Louisiana
Atlantis Resort and Casino, Bahamas	Fairgrounds Racecourse, Louisiana
Bangor Raceway, Maine	Fair Meadows, Texas
Barrie Raceway, Ontario	Favorites at Gloucester, New Jersey
Batavia Downs, New York	Finger Lakes Bets, NY
Belterra Race Course, OH	Finger Lakes Race Track, New York
Bet America, LLC, North Dakota	Flamboro Downs, Ontario
Bet Fair Games Limited, UK	Fonner Park, Nebraska
Bettor Racing OTB, South Dakota	Fort Erie Racetrack, Canada
Birmingham Race Course, Alabama	Foxwoods Casino, Connecticut
Bordertown OTB, Oklahoma	Fraser Downs, British Columbia
Buffalo Trotting Association, New York	Freehold Raceway, New Jersey
Bwin International, Ltd.	Gillespie County Downs, Texas
Caliente (MIR), Mexico	Grand River, Canada
Camarero, Puerto Rico	Greentrack, Alabama
Canterbury Park, Minnesota	GWS German Tote, Germany
Capital District OTB, New York	Gulf Greyhound, Texas
Catskill Regional OTB, New York	Gulfstream Park, Florida
Caymanas Race Track, Jamaica	Harrington Bets, Delaware
Century Downs, Alberta, Canada	Harrington Raceway, Delaware
Charles Town Races, West Virginia	Hastings Park, Canada
Chester Downs - Pennsylvania	Hawthorne Race Course, Illinois
Churchill Downs, Kentucky	Hiawatha Downs, Ontario
Clinton Teletheatre, Canada	Hipica de Panama, Panama
Codere, Mexico	Hipodromo Camarero, Puerto Rico
Codere, Spain	Hipodromo Montericco, Peru
Columbus Raceway, Nebraska	Ho-Chuck Casino, Connecticut
Connecticut OTB, Connecticut	Hodge OTB, Connecticut
Corpus Christi Greyhound, Texas	Hoosier Park, Indiana
Coushatta Casino Resort, Louisiana	Horsemen's Park, Nebraska
Dayton Park, OH	Idaho Fall OTB, Idaho
Divi Carina Bay Casino, Louisiana	Indiana Downs, Indiana
Delaware Park, Delaware	Inverness Raceway, Canada
Delta Downs, Louisiana	Iowa Greyhounds, Iowa
Dover Downs, Delaware	Intermountain Racing, Idaho
Downs at Albuquerque, New Mexico	John Martins Manor, Maine
Ebet Online, Oregon	Kawartha Downs, Ontario
Elite Turf Club, Curacao	Keeneland, Kentucky
Ellis Park, Kentucky	Kentucky Downs, Kentucky
Elmira Raceway, Ontario	Kentucky OTB, Kentucky

EXHIBIT 6

Las Vegas Dissemination Company, Nevada	Plainridge Race Course, Massachusetts
Les Bois (Treasure Valley), Idaho	Plainridge Telephones, Massachusetts
Lewiston OTB, Maine	Player Management Group, CA
Lien Games, North Dakota	Pocono Downs, Pennsylvania
Lincoln Greyhound Park, Rhode Island	Pojoaque Casino, Oklahoma
Lone Star Race Park, Texas	Portland Meadows, Oregon
Louisiana Downs, Louisiana	Potawatomi Casino, Wisconsin
Magna Bet, Austria	Prairie Meadows, Iowa
Mahoning Valley Race Course, OH	Premier Gateway International, S.A.
Maronas Race Track, Uruguay	Premier Turf Club, North Dakota
Marquis Downs, Saskatchewan	Presque Isle Downs, Pennsylvania
Maryland Jockey Club, Maryland	Racing and Gaming Services, St Kitts
Maywood Park, Illinois	Remington Park, Oklahoma
Meskwaki Casino, Oklahoma	Retama Park, Texas
Miami Valley Gaming, Ohio	Rideau Carleton Raceway, Canada
Millennium Racing, Trinidad	Riders Up OTB, Oklahoma
Mobile Greyhound Park, Alabama	Rockingham Park, New Hampshire
Mohegan Sun, Pennsylvania	Rocky Mountain Turf Club, Canada
Monmouth Park, New Jersey	Rosecroft Raceway, Maryland
Montana OTB, Montana	Royal Beach Casino, St Kitts
Montericco Simulcasting, Peru	Ruidoso Downs, New Mexico
Monticello Raceway, NY	Running Aces Harness Park, Minnesota
Mountaineer Park, West Virginia	Sam Houston Park, Texas
Nassau Regional OTB, New York	Saratoga Harness, New York
Nebraska State Fair Park, Nebraska	Scioto Downs, Ohio
Nevada Dissemination Services, Nevada	Seabrook Greyhound Park, NH
Nevada Pari-Mutuel Association, Nevada	Shoreline Star Greyhounds, Connecticut
NJSEA, New Jersey	Skydancer Casino, Oklahoma
New Jersey Mobile, New Jersey	Sol Mutuel, St Kitts
NYRA, New York	Sol Mutuel Otrobanda, St Kitts
NYRA Bets, New York	Southland Greyhound Park, Arkansas
Newport Jai-Alai, Rhode Island	Sports Center, Kentucky
Northfield Park, Ohio	Suaposta, Brazil
Northlands Park, Canada	Suffolk District OTB, New York
Northville Downs, Michigan	Suffolk Downs, Massachusetts
Oaklawn Park, Arkansas	Sunland Park Racetrack, New Mexico
Ocean Downs, Maryland	Sun Ray Park, New Mexico
Offtrackbetting.com, Oregon	TabCorp, Australia
Oneida Bingo and Casino, Oklahoma	Tampa Bay Downs, Florida
Ontario Teletheatre, Ontario	TattsBet, Australia
Paragon Casino, Louisiana	Taunton Dog Track, New Hampshire
Penn National Race Course, Pennsylvania	TBC Teletheatre, British Columbia
Philadelphia Park, Pennsylvania	The Greenbrier Resort, West Virginia
Picov Downs, Canada	The Meadows, Pennsylvania
Pimlico Race Course, Maryland	Thistledown, Ohio

EXHIBIT 6

Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Virginia Equine Alliance, Virginia
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico

EXHIBIT 7

AmWest Entertainment, KY
 Arapahoe Park, Colorado
 Arima Race Course, Trinidad
 Arlington International Race Course, Illinois
 Assiniboia Downs, Canada
 Atlantic City Casino Assn., New Jersey
 Atlantis Resort and Casino, Bahamas
 Bangor Raceway, Maine
 Barrie Raceway, Ontario
 Batavia Downs, New York
 Belterra Race Course, OH
 Bet America, LLC, North Dakota
 Bet Fair Games Limited, UK
 Bettor Racing OTB, South Dakota
 Birmingham Race Course, Alabama
 Bordertown OTB, Oklahoma
 Buffalo Trotting Association, New York
 Bwin International, Ltd.
 Canterbury Park, Minnesota
 Capital District OTB, New York
 Catskill Regional OTB, New York
 Century Downs, Alberta, Canada
 Charles Town Races, West Virginia
 Chester Downs - Pennsylvania
 Churchill Downs, Kentucky
 Clinton Teletheatre, Canada
 Columbus Raceway, Nebraska
 Connecticut OTB, Connecticut
 Corpus Christi Greyhound, Texas
 Coushatta Casino Resort, Louisiana
 Dayton Park, OH
 Divi Carina Bay Casino, Louisiana
 Delaware Park, Delaware
 Delta Downs, Louisiana
 Dover Downs, Delaware
 Downs at Albuquerque, New Mexico
 Ebet Online, Oregon
 Elite Turf Club, Curacao
 Ellis Park, Kentucky
 Elmira Raceway, Ontario
 Equus St. Thomas, St. Thomas
 Emerald Downs, Washington
 Euro Offtrack, Isle of Man
 Evangeline Downs, Louisiana
 Evansville/Clarksville OTB, Indiana
 Fairgrounds ADW, Louisiana
 Fairgrounds Racecourse, Louisiana
 Fair Meadows, Texas
 Fairmont Park, IL
 Favorites at Gloucester, New Jersey
 Finger Lakes Bets, NY
 Finger Lakes Race Track, New York
 Flamboro Downs, Ontario
 Fonner Park, Nebraska
 Fort Erie Racetrack, Canada
 Foxwoods Casino, Connecticut
 Fraser Downs, British Columbia
 Freehold Raceway, New Jersey
 Gillespie County Downs, Texas
 Grand River, Canada
 Greentrack, Alabama
 GWS German Tote, Germany
 Gulf Greyhound, Texas
 Gulfstream Park, Florida
 Harrington Bets, Delaware
 Harrington Raceway, Delaware
 Hastings Park, Canada
 Hawthorne Race Course, Illinois
 Hiawatha Downs, Ontario
 Hipica de Panama, Panama
 Hipodromo Camarero, Puerto Rico
 Hipodromo Montericco, Peru
 Ho-Chuck Casino, Connecticut
 Hodge OTB, Connecticut
 Hoosier Park, Indiana
 Horsemen's Park, Nebraska
 Idaho Fall OTB, Idaho
 Indiana Downs, Indiana
 Inverness Raceway, Canada
 Iowa Greyhounds, Iowa
 Intermountain Racing, Idaho
 John Martins Manor, Maine
 Kawartha Downs, Ontario
 Keeneland, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky
 Las Vegas Dissemination Company, Nevada
 Les Bois (Treasure Valley), Idaho
 Lewiston OTB, Maine
 Lien Games, North Dakota

EXHIBIT 7

Lincoln Greyhound Park, Rhode Island	Portland Meadows, Oregon
Lone Star Race Park, Texas	Potawatomi Casino, Wisconsin
Louisiana Downs, Louisiana	Prairie Meadows, Iowa
Magna Bet, Austria	Premier Gateway International, S.A.
Mahoning Valley Race Course, OH	Premier Turf Club, North Dakota
Maronas Race Track, Uruguay	Presque Isle Downs, Pennsylvania
Marquis Downs, Saskatchewan	Racing and Gaming Services, St Kitts
Maryland Jockey Club, Maryland	Remington Park, Oklahoma
Maywood Park, Illinois	Retama Park, Texas
Meskwaki Casino, Oklahoma	Rideau Carleton Raceway, Canada
Miami Valley Gaming, Ohio	Riders Up OTB, Oklahoma
Millennium Racing, Trinidad	Rockingham Park, New Hampshire
Mobile Greyhound Park, Alabama	Rocky Mountain Turf Club, Canada
Mohegan Sun, Pennsylvania	Rosecroft Raceway, Maryland
Monmouth Park, New Jersey	Royal Beach Casino, St Kitts
Montana OTB, Montana	Ruidoso Downs, New Mexico
Montericco Simulcasting, Peru	Running Aces Harness Park, Minnesota
Monticello Raceway, NY	Sam Houston Park, Texas
Mountaineer Park, West Virginia	Saratoga Harness, New York
Nassau Regional OTB, New York	Scioto Downs, Ohio
Nebraska State Fair Park, Nebraska	Seabrook Greyhound Park, NH
Nevada Pari-Mutuel Association, Nevada	Shoreline Star Greyhounds, Connecticut
NJSEA, New Jersey	Skydancer Casino, Oklahoma
New Jersey Mobile, New Jersey	Sol Mutuel, St Kitts
NYRA, New York	Sol Mutuel Otobanda, St Kitts
NYRA Bets, New York	Southland Greyhound Park, Arkansas
Newport Jai-Alai, Rhode Island	Sports Center, Kentucky
Northfield Park, Ohio	Suaposta, Brazil
Northlands Park, Canada	Suffolk District OTB, New York
Northville Downs, Michigan	Suffolk Downs, Massachusetts
Oaklawn Park, Arkansas	Sunland Park Racetrack, New Mexico
Ocean Downs, Maryland	Sun Ray Park, New Mexico
Offtrackbetting.com, Oregon	Tampa Bay Downs, Florida
Oneida Bingo and Casino, Oklahoma	Taunton ADW, New Hampshire
Ontario Teletheatre, Ontario	Taunton Dog Track, New Hampshire
Paragon Casino, Louisiana	TBC Teletheatre, British Columbia
Penn National Race Course, Pennsylvania	The Greenbrier Resort, West Virginia
Philadelphia Park, Pennsylvania	The Meadows, Pennsylvania
Picov Downs, Canada	Thistledown, Ohio
Pimlico Race Course, Maryland	Tioga Downs, New York
Plainridge Race Course, Massachusetts	Tote Investment Services, Trinidad
Plainridge Telephones, Massachusetts	Tri-State Greyhound, West Virginia
Player Management Group, CA	Truro Raceway, Canada
Pocono Downs, Pennsylvania	TVG, Arizona
Pojoaque Casino, Oklahoma	TVG Chester, Pennsylvania

EXHIBIT 7

TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Virginia Equine Alliance, Virginia
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico

EXHIBIT 8

Mini-Satellites

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 803-3693
Contact: Edgar Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Maria
Original Roadhouse Grill
1423 S. Bradley Rd.
Santa Maria, CA 93454
Phone: (805) 614-0586
Contact John Tintle

M4. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact: Tom Cristi

M5. Sammy's Original
23221 Lake Center Drive
Lake Forest, CA 92630
Phone: (949) 716-2367
Contact: Brad McKinzie

M6. Tiled Kilt
1345 E. Thousand Oaks Blvd.
Thousand Oaks CA. 91362
Phone: (805) 777-7418
Contact: Robert Haupt

M7. Ocean's 11 Casino
121 Brooks Street
Oceanside, CA 92054
Phone: (888) 439-6988
Contact: Steve Gallagher

EXHIBIT 8

M8. Striders SD Bar & Grill
100 Harbor Drive
San Diego, CA 92101
PH: 619-363-7166
Contact: Tony Martineau

M9. Firehouse Restaurant
7701 White Avenue
Bakersfield, CA 93313
PH: 661-831-4688
Contact: Russ Johnson

M10. Lake Elsinore Casino
20930 Malaga Road
Lake Elsinore, CA 92530
PH: 951-674-5160
Contact: Pat Wilmes

M11. 58 Flat
4110 Bonita Road
Bonita, CA 91902
PH: 619-884-1291
Contact: Denny Kanakaris

M12. The Derby Club
3230 Hamner Avenue
Norco, CA 92570
PH: 925-566-2973
Contact: Jim Baerwald

EXHIBIT 9

Full & Partial Cards

Arapahoe Park: July 17, 2019 – August 11, 2019
Arlington Park: July 17, 2019 - September 2, 2019
Belterra Park: July 17, 2019 - September 2, 2019
Delaware Park: July 17, 2019 - September 2, 2019
Ellis Park: July 17, 2019 - September 2, 2019
Emerald Downs: July 17, 2019 - September 2, 2019
Evangeline Downs: July 17, 2019 - September 2, 2019
Fairmount Park: July 17, 2019 - September 2, 2019
Finger Lakes: July 17, 2019 - September 2, 2019
Gulfstream Park: July 17, 2019 - September 2, 2019
Indiana Grand: July 17, 2019 - September 2, 2019
Kentucky Downs: August 31, 2019
Laurel Park: July 17, 2019 - September 2, 2019
Louisiana Downs: July 17, 2019 - September 2, 2019
Monmouth Park: July 17, 2019 - September 2, 2019
Mountaineer Park: July 17, 2019 - September 2, 2019
PARX Racing: July 17, 2019 - September 2, 2019
Penn National: July 17, 2019 - September 2, 2019
Presque Isle Downs: July 17, 2019 - September 2, 2019
Saratoga Race Course: July 17, 2019 - September 2, 2019
Thistledown: July 17, 2019 - September 2, 2019

Woodbine: July 17, 2019 - September 2, 2019
Assiniboia Downs: July 17, 2019 - September 2, 2019
Fort Erie: July 17, 2019 - September 2, 2019
Hastings Park July 17, 2019 - September 2, 2019
LARC (South America) via Maryland Hub: July 17, 2019 - September 2, 2019
LARC (South America) via Gulfstream Hub: July 17, 2019 - September 2, 2019

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014
- B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder PO Box 700 Del Mar, CA 92014	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Bo Derek PO Box 700 Del Mar, CA 92014
Joseph W. Harper P.O. Box 700 Del Mar, CA 92014	Kosta Hronis PO Box 700 Del Mar, CA 92014
Jon S. Kelly Tres Palomas P.O. Box 2574 Rancho Santa Fe, CA 92067	Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078
Marie G. Moretti 6705 De Chardin Lane Reno, NV 89511	Jim Rome PO Box 700 Del Mar, CA 92014
Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067	

- C. Dates the association will conduct races as charity racing days OR:
D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	Dana Stead, VMD
Clerk of Scales	Matt Nichols
Assistant Clerk of Scales	Charles McCaul
Clerk of the Course	Dawn Schmid
Film Specialist	Heather Correa
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	George Michael Burns Karen Denovel Heather Correa
Placing Judges	Robert Moreno Ed Reese Sue Brent
Starter	Jay Slender
Timer	Russell Hudak

B. Management officials in the racing department:

Executive Vice President, Racing	Thomas S. Robbins
Racing Secretary	David Jerkens
Assistant Racing Secretary	Zach Soto
Paymaster of Purses	Bridget Crawford
Others (identify by name and title)	N/A

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Michelle Derieg
Weinstein Court Reporters
PO Box 26634
Santa Ana, CA 92799
(949) 637-6071
mderieg@weinsteincourtreporters.com

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, William O'Brien, Digital Photo Finish Only
Contract Expires: 12/31/2020

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

TVG

Expiration: 12/31/2020

Equipment list on file with CHRB

For each race TVG will provide:

- **Two manned pan cameras located near the judge's room in the press box providing a wide and a tight view of each race, turf and dirt, plus coverage for in-house video board presentation.**
- **Four manned tower cameras:**
 - Backstretch head-on (Training Track Camera Tower)**
 - Far turn and Stretch run back view (Quarter Chute Camera Tower)**
 - Stretch-run head-on (Livestock Barn Camera Tower) - turf**
 - Stretch-run head-on (Livestock Barn Camera Tower) - dirt**
- **2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners**
- **A hand-held camera in winner circle**
- **A pan camera located on the 7th floor providing race coverage for the in-house video board presentation**
- **All races will be recorded and archived**
- **TVG will provide talent for this event**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

TRAKUS

Electronic timing device: 2.4 CHz spread spectrum transceiver

**Name of person: Michael Ciacciarelli (Trakus LLC)
Ken Davis, Mike Schneider (Jockey Club Racetrack Services)**

Expiration date: December 31, 2019

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **EXHIBIT 10 (A) / 2019 GATE SCHEDULE EXHIBIT 10 (B)**

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **75**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **The security for stakes races will be deployed in accordance with our agreement with TOC, with a security guard positioned outside each stall for the entire 6-hour period for each race of \$100,000 or more. Details of the detention stalls are described in 15.B - 2 (C).**

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.
Detention barn is used as required by the CHRB.
- B. Number of security guards in the detention stall area during a 24-hour period.
As needed at the direction of the CHRB.

Del Mar Simulcast Video Production Inventory

Video Switcher with 4 Mixed Effects capability (Simulcast)

Video Switcher with 1 Mixed Effect capability (Big Board)

Deko Graphics System

14 - HD Cameras

- 3 Manned Pan Cameras located near the judge's room in the press box providing a wide and a tight view of each race, turf and dirt.
- 4 Manned Tower Cameras:
 - Backstretch head-on (Training Track Camera Tower)
 - Far turn and Stretch run back view (Quarter Chute Camera Tower)
 - Stretch-run head-on (Livestock Barn Camera Tower) - turf
 - Stretch-run head-on (Livestock Barn Camera Tower) - dirt
- 3 Cameras in the paddock area provided coverage of horses, jockeys, trainers and owners
- 1 Winners Circle Camera for post-race, interviews and contests.
- 1 Gate Load Camera
- 2 Shutter Cameras (Turf and Dirt)
- 1 Finish Line Camera

Audio Mixer

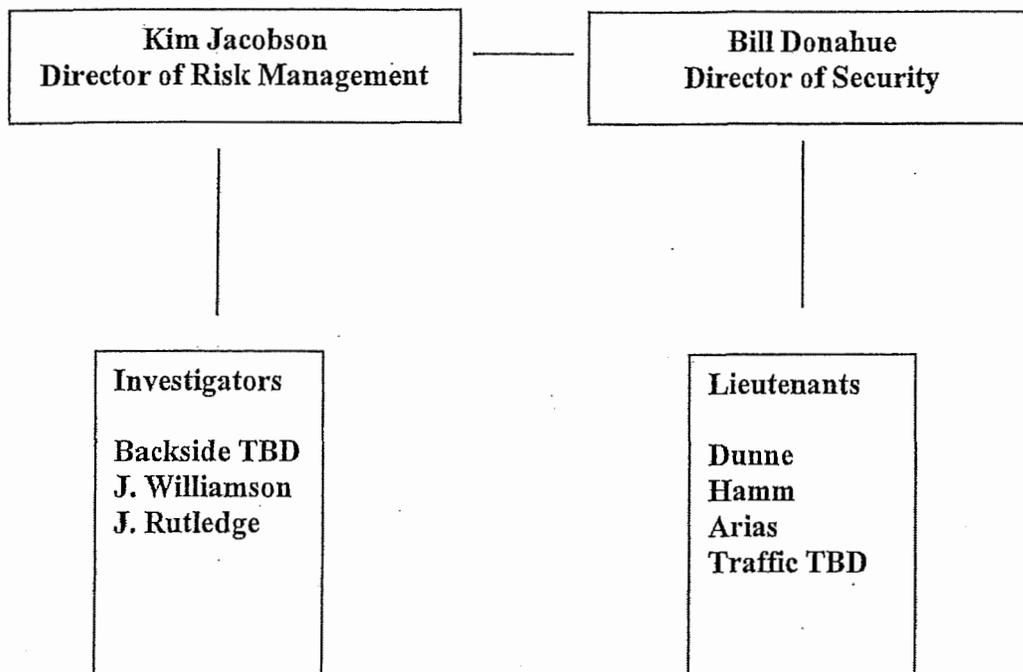
Wireless Mics and IFBs

2 - Z-Play Video Playback systems - 4 channels each for replays and stewards inquiries.

TVG also provides Reporters

EXHIBIT 10A**Security Personnel**

Person responsible for security controls on the premises:

**Contact Information:**

Director of Risk Management	Work 858-792-4233
Director of Security	Work 858-755-1141 ext. 3652
All others	Work 858-755-1141 ext. 3650

*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

- C. Describe number and location of surveillance cameras in detention stall area.
For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shed-row from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' Office on the Frontside.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
All
- B. Plan for enhanced surveillance for trainers with high-test results.
Will follow CHRB directives
- C. Plan for detention stalls for repeat offenders.
Will follow CHRB directives
- D. Number of security personnel assigned to the TCO2 program.
Assigned as needed

C. Describe the electronic security system:

Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity Cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Del Mar Thoroughbred Club utilizes pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.

- D. For night racing associations. Describe emergency lighting system: **N/A**

16. **EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Mercy Ambulance
 2537 Old San Pasqual Road
 Escondido, CA 92027
 (760) 525-9676**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

EXHIBIT 11

On-track staff: Advanced Life Support Ambulances (ALS) – Paramedics and certified Emergency Medical Technicians are on-site at all time that either training or live racing is taking place.



COUNTY OF SAN DIEGO

EMERGENCY MEDICAL SERVICES

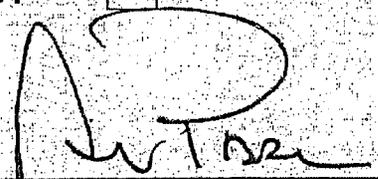
EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: MERCY MEDICAL TRANSPORTATION, INC.

Effective Date: December 1, 2018

Expiration Date: November 30, 2019

Approved as: ALS
BLS
CCT

Authorized by: 
Permit Officer, Emergency Medical Services

Permit Number: 18-130

EXHIBIT 11



STATE OF CALIFORNIA
DEPARTMENT OF CALIFORNIA HIGHWAY PATROL

**EMERGENCY AMBULANCE
NON-TRANSFERABLE LICENSE**

CHP 360A (REV. 01-00) OPI 062

1521	A195	2/27/2019	3/20/2019	3/14/2020
CHP CARRIER NUMBER CA	LOCATION 455	<input type="checkbox"/> Duplicate <input type="checkbox"/> Initial	<input type="checkbox"/> Replacement	<input checked="" type="checkbox"/> Renewal

PROPERTY OF THE CALIFORNIA HIGHWAY PATROL (CHP)

This license is NON-TRANSFERABLE and must be surrendered to the CHP upon demand or as required by law. A majority change in ownership or control of the licensed activity shall require a new license. This license may be renewed within the 30-day period prior to the expiration date indicated above.

Ambulance operations must cease immediately upon expiration of this license. THERE IS NO GRACE PERIOD FOR A LICENSED ACTIVITY. The Department will accept an application for renewal during the 30-day period following the license expiration date provided all required documentation is complete and accompanied by the initial license fee of \$200.00. For license information contact CHP, Research and Planning Section at (916) 843-3440.

SERVICE NAME AND PHYSICAL ADDRESS *(only if different from below)*

MERCY MEDICAL TRANSPORTATION, INC. A195
MERCY

SERVICE NAME AND MAILING ADDRESS

MERCY MEDICAL TRANSPORTATION, INC. A195
MERCY
27350 VALLEY CENTER ROAD
VALLEY CENTER, CA 92082
Attention: JOHN TEMPLAR, VP ADMIN.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Auxiliary Site: Los Alamitos
Huntington Ambulance
Contact: Stacy O'Bryan
PO Box 145
Sunset Beach, CA 90742
(562) 904-1550

Auxiliary Site: San Luis Rey Downs
Mercy Ambulance
Contact: Doug Moriarty
2537 Old San Pasqual Road
Escondido, CA 92027
(760) 525-9676

Auxiliary Site: Santa Anita
Symons Ambulance
Contact: Juan Espinoza
18592 Cajon Blvd.
San Bernardino, CA 92407
(626) 222-4236

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- Mercy Ambulance Certificate: ON FILE
- Huntington Ambulance Certificate: ON FILE
- Symons Ambulance Certificate: ON FILE

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day and Pacific Classic Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the first aid clinic includes:

- Oxygen at each station
- Cardiac monitor
- AED
- Otoscope
- Ophthalmoscope
- Pulse and O₂ Oximeter
- Surgical equipment (used for laceration repair and suture removal)
- B/P Cuff
- Thermometer
- Bag Valve Mask
- Surgery Light
- Stethoscope
- Glucose Monitor and Supplies

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

If quarter horse racing association sees D.1.:

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Scripps Coastal Medical Center - and rotate on site for the racing days. The doctors include:

**Ariel Alexander Cortes, MD
Athanasios J. Foster, MD
Melinda E. Nevins, DO
Philip A. Sanderson, MD**

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

April 25, 2019

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC



April 25, 2019

To: Lucy Myers

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Juan Espinoza
Operations Manager
(626) 222-4236
jespinoza@symonsambulance.com

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.
 - **Scripps La Jolla**
988 Genesee Ave., La Jolla, CA 92037 / 858-626-4123
 - **Scripps Encinitas**
354 Santa Fe Drive, Encinitas, CA 92024 / 760-753-4123

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **EXHIBIT 12**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
 - **Health and Safety Manager (Risk Manager): Kimberly Jacobson**
 - **Assistant Manager (Risk Management Assistant): Patrick O'Neal**
 - **On-Site Medical Coordinator – Rotates between different Fire Fighter Paramedics**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Inspection scheduled for July 11, 2019

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Safety National Insurance Services
1832 Schuetz Road
St. Louis, MO 63146
Policy #FCL4060097

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
EXHIBIT 13

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

EXHIBIT 12

Overview: The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

Program Elements:

I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Scripps Coastal Medical Clinics to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. At minimum each ambulance is staffed by a Paramedic and a certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

II. Jockey Information Health System

DMTC participates in the Jockey Information Health System through Encompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.
- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary they will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
- d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

IV. On-site First Aid Facilities and Staffing

- a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will a licensed physician and medical assistant on duty.
- b. Advanced Life Support Ambulances (ALS) – A Paramedic and Certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one, at a minimum (1) Paramedic and one (1) Certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times. (Depending on staffing for the day there may be times when two paramedics are on a rig).

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with a licensed Emergency Medical Services provider who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

General Conditions

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital emergency care; they will direct the medical transport team as to which hospital to respond to.)

Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

Response During Training Periods

- During training periods, Paramedic and EMT will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist Rural/Metro with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet Rural/Metro unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program, will be treated on-track or at the DMTC medical clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.
- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed -circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- In the event of a multiple rider down situation Team 1 will call for immediate backup from Team 2 at which time Team 2 will enter the track to assist. If the situation is going to require additional response from outside Team 1 will request outside units through Security Dispatch.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.
- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,
- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

Please note: Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

IX. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

Visión General: El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

Elementos del Programa:

I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Scripps Coastal Medical Clinics" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado del Programa Bridge (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

II. Sistema de Salud para la Información del Jinete

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de Encompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fax directamente a la sala de emergencia del hospital.

III. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.

Club de Caballos Purasangre Hipódromo Del Mar.
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se trasladará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el accidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

IV. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

V. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo se proporcionará un médico licenciado y ayudante médico en servicio.

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá un (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado en el sitio. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento.
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

VI. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata a Ambulancias que proporciona ALS para responder ante las emergencias médicas que puedan surgir en la pista y proporcionará servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.
- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

VII. Plan Médico de Emergencia

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

Condiciones Generales

- Los Paramédicos y EMT en el sitio responderán a cualquier accidente que observen de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripp atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

Club de Caballos Purasangre Hipódromo Del Mar
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Comunicaciones

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

Respuesta Durante los Periodos de Entrenamiento

- Durante los periodos de entrenamiento, el Paramédico y EMT determinarán la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica si fuera necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a "San Diego Medical Enterprises Services" a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.
- Las unidades municipales se reunirán en la unidad de "San Diego Medical Enterprises" en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista en el centro médico de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

Respuesta Durante la Pre-carrera (Caballeriza)

- El Equipo 2 de EMT estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el area de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite;
- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

Accidentes Durante la Carrera en Directo

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión según lo aconsejado por el Personal de Seguridad de DMTC,
- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o reemplazar a la unidad de la pista si fuera necesario por el Equipo 1 abandonar la pista por cualquier razón.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.
- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para atención médica exhaustiva y tratamiento según se necesite,

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS se requiere que abandone los campos la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica hasta que regrese la unidad al terreno.
- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

Por favor tenga presente: Bajo ninguna circunstancia la información con respecto al estado médico del jinete se proporcionará a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

VIII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista se expondrá en Inglés y Español en las siguientes localizaciones:

La Sala del Jinete está situada en la primera planta de las Gradas del Clubhouse

- a. Las instalaciones médicas en el sitio de DMTC, y
- b. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC, los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
03/13/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

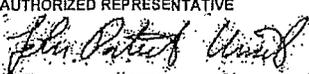
PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	CONTACT NAME: Teresa Rulon	
	PHONE (A/C, No, Ext): (916) 692-7004 53004 FAX (A/C, No): (916) 473-1797 E-MAIL ADDRESS: Teresa.Rulon@ioausa.com	
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURED	INSURER A: Philadelphia Indemnity Insurance Company	18058
Del Mar Thoroughbred Club PO Box 700 Del Mar, CA 92014	INSURER B: Safety National Casualty Corp	15105
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:		PHPK1951865	03/15/2019	03/15/2020	EACH OCCURRENCE	\$ 1,000,000
						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 100,000
						MED EXP (Any one person)	\$ 5,000
						PERSONAL & ADV INJURY	\$ 1,000,000
						GENERAL AGGREGATE	\$ 2,000,000
						PRODUCTS - COMP/OP AGG	\$ 2,000,000
						SEXUAL PHYSICAL	\$ 1,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY		PHPK1951865	03/15/2019	03/15/2020	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
						BODILY INJURY (Per person)	\$
						BODILY INJURY (Per accident)	\$
						PROPERTY DAMAGE (Per accident)	\$
							\$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000		PHUB667330	03/15/2019	03/15/2020	EACH OCCURRENCE	\$ 5,000,000
						AGGREGATE	\$ 5,000,000
							\$
B	<input checked="" type="checkbox"/> WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory In NH) Y/N <input type="checkbox"/> N/A If yes, describe under DESCRIPTION OF OPERATIONS below		FCL4060097	03/31/2019	03/31/2020	PER STATUTE OTH-ER	
						E.L. EACH ACCIDENT	\$ 1,000,000
						E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
						E.L. DISEASE - POLICY LIMIT	\$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
RE: Evidence of Coverage

CERTIFICATE HOLDER California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
--	---

FI-AM-002 (12/08)

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED – CERTIFICATE HOLDERS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SECTION II – WHO IS AN INSURED is amended to include any Certificate Holder, identified as an additional insured, on a Certificate of Insurance issued by Philadelphia Indemnity Insurance Company or our authorized representative, but only for liability arising out of the negligence of the named insured.

The limits of insurance applicable to these additional insureds are the lesser of the policy limits or those limits specified in a contract or agreement. These limits are inclusive of and not in addition to the limits of insurance shown in the declarations.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: **EXHIBIT 14**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **EXHIBIT 15**

B. Promotional/ Marketing budget for this race meeting and prior race meeting:

<u>Category</u>	<u>2019</u>	<u>2018</u>
Advertising	\$1,673,750	\$1,523,000
Promotions	\$1,644,950	\$1,743,100
Communications	\$ 181,500	\$ 165,500
Corporate Sponsorship Fulfillment	\$ 57,500	\$ 55,000
Other	\$ 23,000	\$ 23,500
Total	\$3,580,700	\$3,510,100

C. Number of hosts and hostesses employed for meeting:

We employ approximately 30 customer service representatives on weekdays (Wednesday and Thursday) and 40 on weekends (Friday, Saturday and Sunday.)

D. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. HORSEMEN

- The turf course from near the finish line and extending into the east turn received new sod, an amended growing profile and improved drainage to achieve more consistent footing throughout the running lanes.
- Upgrade Paddock with rubber pavers and synthetic turf – completed in 2018. Continue rubber pavers horsewalk-to-track – on-going possibility.
- Stable Kitchen renovation and upgrade - on-going.
- Replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area) – on-going.
- Replacement of flooring, doors, locks, and window screens in living quarters (restricted area) – on-going.
- Upgrade of morning workout lighting (restricted area) – on-going.
- Repair of all stable area smoke detectors (restricted area) – on-going.

EXHIBIT 14

CONCESSIONS:

Wes Westley, CEO
Premier Food Service, Inc./SMG
 300 Conshohocken State Road
 Conshohocken, PA 19428

TIP SHEETS:

John Acquarelli
Duke's Racing Selections
 2317 Hogan Way
 Oceanside, CA 92056

Bruce M. Andrews, Jr
Deuce Bruce
 5910 Rancho Mission Rd
 San Diego, CA 92108

Toby Turrell
Winners
 532 ½ #D Via de la Valle
 Solana Beach, CA 92075

Jeff Bohland
Bob's Card, Inc.
 16803 Ernest Avenue
 Cleveland, OH 44256

SADDLERY SERVICES:

Frank Wipfli
Western Saddlery, Inc.
 7038 Commerce Circle
 Pleasanton, CA 94588

RACING COLORS:

June Gee
West Coast Racing Colors
 11920 Goldring Rd. Ste G
 Arcadia, CA 91006

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez
Garda Cash Logistics
 8807 Complex Drive
 San Diego, CA 92123

Rayetta Burr
Benoit & Associates, Inc.
 Track Photographers
 PO Box 60014
 Arcadia, CA 91066-6014

Del Mar Doxx-A.J. Foster
 Track Physicians
 380 Stevens Ave., Ste 100
 Solana Beach, CA 92075

Michael Costello
United/Puett Electrical
Starting Gates
 224 Tater Hill Rd.
 East Haddam, CT 06423

Steve Stiles
Stiles Animal Removal, Inc.
 PO Box 1103
 Guasti, CA 91743-1103

Deven Owen
West Coast Feed
 PO Box 893007
 Temecula, CA 92589

Gary S. Bell
Citrus Feed
 PO Box 249
 La Verne, CA 91750

Angel P. Unamuno
Angel's Feed
 4518 Rowland Ave.
 El Monte, CA 91731

Cristobal Virgen
Cristobal Virgen Shoe Shine
 1057 Gai Drive
 San Diego, CA 92130

Chris Aplin
Chris Aplin Barbershop Services
 285 W. Huntington Drive
 Arcadia, CA 91007



MARKETING OVERVIEW

Communications Plan

Del Mar has implemented, in conjunction with industry stakeholders and the CHRB, enhanced policies and procedures to create the safest possible racing and training environment. These policies include:

- Fewer racing dates to allow for improved preparation of our racing surfaces
- Reduced amount of horses on the grounds
- Adjustment to morning workouts
- Additional veterinarians and observation points
- Enhanced scrutiny of pre-entries
- New medication reforms

Given the importance of public perception, we believe these elements must be the focus of our Communications Plan for the 2019 season.

As always, we have a communications playbook that dictates who speaks to the media on behalf of Del Mar (management, trainers, vets, etc.) and the appropriate messaging. We also engage communications specialists including, but not limited to, our PR Agency, Raindrop, as well as Conover Tuttle Pace.

The Communications Plan will rely heavily on social media, the Del Mar website, on-track messaging and appropriate media outlets. The content will emphasize Del Mar's commitment to safety, research and after-care initiatives. We will also enhance Cal Racing Cares – the joint industry digital media platform.

Marketing Strategies

For many years now, the marketing efforts at Del Mar have supported a two-part strategy:

1. Provide value to our regular customers
2. Attract new and occasional customers by promoting the "Cool As Ever" brand while diversifying our entertainment offerings

The first of the two strategies relies heavily on database marketing tactics. The focus of these tactics is the Diamond Club, which is a free loyalty club that offers members half-priced admission each time they attend. Members also receive occasional free admissions and seats, discounted food and beverages as well as wagering rebates to our biggest bettors. The Diamond Club now has over 303,000 members. Each time members take advantage of Diamond Club benefits, they must scan their membership card, which in turn feeds the information to our data warehouse. As the number of Diamond Club members expands (28,000 new signups in 2018), and as the amount of information we gather about our members grows, it allows us to market to our regular patrons in a more effective and efficient manner. For example, the data warehouse can tell us which customers tend to come to the races on weekends, weekdays, pick six carryover days, giveaway days, etc. With this information, we can use database marketing and social media techniques to send the appropriate messages to the appropriate customers in a very cost-effective manner. As the data warehouse continues to grow, we will utilize the data findings even more aggressively to promote Del Mar to our current race fans.

One of the benefits of using database marketing as the principal tactic for attracting current customers is that it allows us to use traditional media (television, radio, print, digital, outdoor, etc.) to accomplish our second strategy – attracting new and occasional customers. We sell Del Mar to this target market as a cool and sexy entertainment alternative. Admittedly, this tactic is not common in horse racing, and one that would no doubt make little sense in other markets. However, Del Mar is a unique racing product. With only a seven-week meet in the middle of summer, with a location right on the beach and with our attractive and youthful clientele, we pay it off.

In 2016 we conducted a research study to better understand the likes and dislikes of both current customers and the San Diego market in general. Key findings from the study included the following:

- Participants enjoy their experience at Del Mar (92% responded excellent or good)
- Event-based promotions unrelated to horse racing and discounts are the most likely tactics to increase visitation (90% responded excellent or good to more events in addition to horse racing)
- Frequent visitors are more likely to be motivated by their interest in horse racing.
- The general market has a greater interest in concerts and other non-racing events
- The strongest customer concerns relate to food & beverage value
- Concerns about the treatment of horses is more relevant for the general market than for frequent customers (41% of the general market are concerned about the treatment of horses at Del Mar vs. 22% for customers – both numbers are shockingly high).

Although this study was completed in 2016, we believe the results are still extremely relevant. Therefore, we have implemented a number of new tactics for the upcoming summer meet that address these findings:

1. We significantly increased the number of events (40 events for a 36-day meet)

- Fun events
 - The Opening Day Hats Contest
 - Opening Day Party
 - Jockey Photo Day
 - Restaurant & Bar Day
 - Family Day
 - Donuts Days (2)
 - Ladies (and gents) Day
 - Rolls Royce Club Car Show
- Concerts
 - Seven Friday shows
 - Six Weekend shows

- Food & Beverage Events
 - Craft Beer Festival
 - Food Truck Festival
 - Del Mar Taco Festival
 - Turf & Surf BBQ Championship
 - Burgers 'n Brew
 - Uncorked Wine Festival
 - Cajun Food Festival
 - Free & Easy Wednesdays (six Wednesdays)
 - Taste of the Turf Club

- Events for Serious Racegoers
 - Del Mar Handicapping Challenge
 - Pacific Classic Betting Challenge
 - Pacific Classic Beach Blanket Giveaway
 - New Owner Seminar

2. We have implemented a number of admission and food & beverage discounts

- Diamond Club Pricing – half-priced admission everyday
- Free & Easy Wednesdays – free admission and seat plus \$3 Brigantine tacos and discounted beer
- \$30 for \$20 – give us \$20, we'll give you \$30 in food vouchers
- Happy Hour Fridays – half off signature drinks until 6pm
- Wine Sundays – half off bottle of wine in all restaurants.
- Day on Us – free admission, parking and *Daily Racing Form*

Most promotions and events at Del Mar adhere to the “Cool as Ever” brand. Therefore, instead of traditional racetrack promotions, such as giveaways, discount days and mystery mutuel tickets, Del Mar features cool events that appeal to a younger demographic.

- **Opening Day** – This day has grown to be one of the largest parties in San Diego and one of the biggest days on the national racing calendar. It is supported with very aggressive publicity and media campaigns. The “Cool as Ever” advertising campaign fits very well with the party atmosphere generated on Opening Day. The festivities include the Opening Day Party in the concert arena and the popular “One and Only Truly Fabulous Hats Contest”, which sets the fashion tone for the entire season.

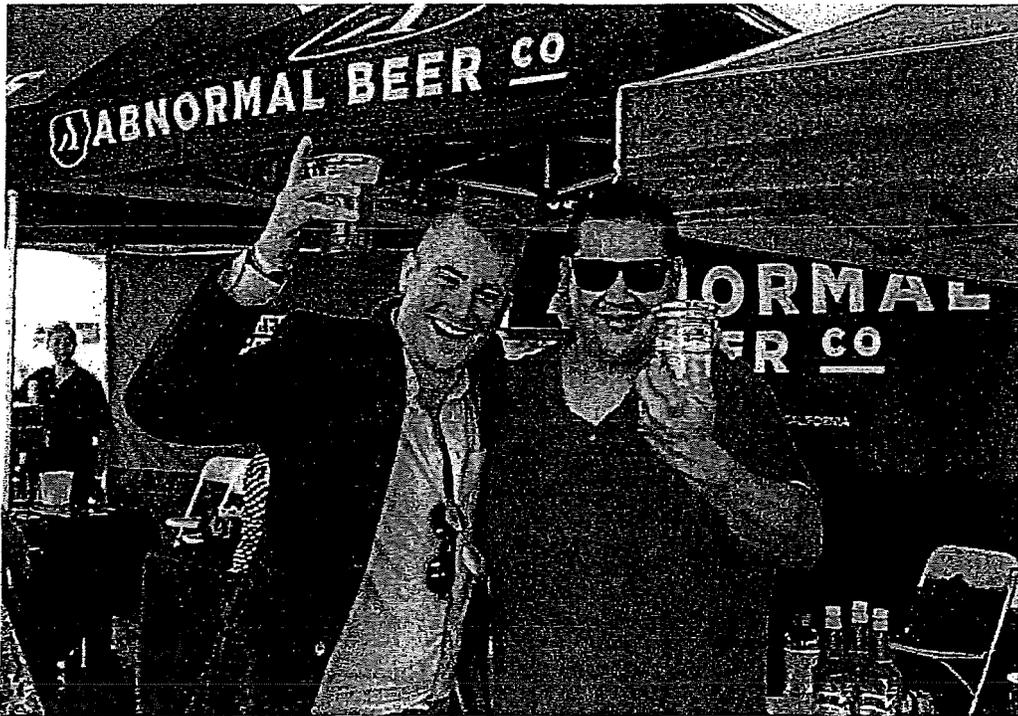


- **Four O'clock Fridays** – Fridays feature a late post time and top-name bands performing after the races. This formula offers a popular weekend kick-off event that is consistently one of Del Mar's most successful and profitable promotions. The event attracts both men and women in the desirable 18- to 34-year-old demographic. The bands in past years have included Jack Johnson, The Offspring, The B-52's and Cake. The concert series and the late post time have completely changed the "culture" of Fridays at Del Mar – from the concert area to the Turf Club – by adding a sense of excitement and energy, which supports our brand better than any other promotion.



- **Saturday Concerts** – Given the success of the Friday concerts, we now offer shows on select Saturdays after the races as well. Much like the Friday concerts, the Saturday shows attract a youthful clientele which fits well with our brand. One of the challenges of these events is to convince attendees to come early and enjoy a full day of racing. Therefore, we host various food and beverage-related events during the day to lure our fans out earlier.

- **Craft Beer Festivals** – The Festivals are held during the races on the far west end of the facility. They were created to expand on the success of the popular Four O'clock Friday series and target a slightly older 21-40 year-old crowd. The Beer Festivals feature a wide array of specialty craft beers with well over 100 selections, including many breweries from the San Diego market, which has recently exploded into one of the top areas in the country. Many Beer Fest attendees are new to Del Mar. By offering complimentary programs, newcomer seminars and free *Daily Racing Form* Easy Forms, we hope to get them to the betting windows. While the transaction amounts are not exceedingly large at the Beer Fest, the attendees do wager, as evidenced by the healthy lines at the mutuel windows each race.



- **Gourmet Food Truck Festival** – Gourmet food trucks have become a staple in the Southern California culinary world and routinely draw thousands of people for festivals. The Del Mar Food Truck Festival features trucks from San Diego as well as Orange and Los Angeles Counties. Patrons sample a wide array of foods from Cajun favorites to seafood to good old-fashioned grilled cheese sandwiches. The Food Truck Festival serves as another vehicle to expose new fans to the fun and excitement of thoroughbred racing.

- **Del Mar Taco Festival** – Taco festivals have become very popular throughout Southern California. The Del Mar version will feature 40+ taco vendors from all over San Diego. Activities include Mexican wrestling and music.



- **Burgers 'n Brew** – This third-year event drew a huge crowd the first two years as hamburger aficionados enjoyed the best burgers San Diego has to offer as well as a huge selection of local craft beers.

- **Reggae Fest** – The Reggae Fest has proven to be a natural fit in the San Diego market and overwhelming success resulting in some of the all-time top racetrack attendance days. In fact, in the 13-year history of the Del Mar Reggae Fest, total daily on-track attendance has averaged more than 32,000. The event features reggae bands, DJ's and activities during the race day to entice fans to attend during racing. The headlining bands, which have included Jimmy Cliff, Matisyahu and Ziggy Marley, perform following the races. The Reggae Fest has proven to be a wonderful extension of our "Cool As Ever" brand.



- **Turf & Surf BBQ Championship** – The BBQ State Championship will feature over 70 teams from across the country battling it out for cash prizes and to win a spot in the National Championships. Patrons will be able to sample the various delicacies, which include ribs, brisket, chicken, steak and seafood.



- **Bar, Restaurant and Hotel Employee Appreciation Day** – This event offers Del Mar an excellent opportunity to generate positive word-of-mouth publicity among local bar, restaurant and hotel employees. Tickets are distributed via our beer and liquor distributors. The event is held on the Wednesday of the second week of the season, and attendance generally totals over 3,000 for this private party in the infield Pacific Pavilion. The guests enjoy an exciting day at the races and free food, live music, prizes and drink specials.
- **Uncorked Wine Festival** – The second Uncorked Wine Festival will feature wines from California and beyond and will include gourmet food and entertainment as well. The inaugural wine festival was a great success and we believe this event will grow as the word gets out.



In addition to the events that support our “Cool as Ever” branding efforts, we also offer promotions that appeal to a wider range of our fan base.

For the Value Minded:

As mentioned earlier, a recent research study indicated that our regular fans are sensitive to food and beverage prices as well as admission prices. Therefore, we will be offering the following discounts this season:

- **Free & Easy Wednesdays** – For the last eleven years, every Wednesday during the meet, Diamond Club members have received free Stretch Run admission and a free reserved seat. In addition, draft beer, sodas and hot dogs were half-priced at designated concession stands. All patrons have to do to take part in the promotion is join the Diamond Club. This promotion has helped us significantly increase the attendance numbers on Wednesdays. However, the numbers have waned in recent years. We felt the offer needed to be updated. Therefore, this year we will re-introduce Free & Easy Wednesdays with help from our friends at the Brigantine restaurant. The “free” aspects of the offer will remain the same, but the food elements will now Brigantine fish and carnitas tacos for only \$3 as well as discounted beers.



- **Friday Happy Hour** – Each Friday of the meet all signature drinks, which include Del Margaritas, Del Martinis, and Del Mary's, will be discounted to half price until 6pm. This discount will be communicated in conjunction with our advertising for our Friday Concert Series.
- **Diamond Club Admission Discount** – As always, Diamond Club members get in for half price every racing day.
- **Seniors Days** – On two Thursdays during the meet all seniors 62 and over will be admitted free and given a free reserved seat. In addition, seniors who are members of the Diamond Club will be admitted free every Thursday.
- **30 for 20** – Beginning with their third visit, Diamond Club members and horsemen will be able to purchase \$30 worth of food and beverage anywhere in the plant for only \$20. The 30 for 20 offer is good every racing day. The goal here is to take care of our regulars as well as the horsemen who make the sport go every day.



- **Email Offers** – Various offers will be emailed to fans in our database. Offers will include free passes on a number of days as well as a special “Day on Us” which includes free parking, free admission, free program and a free *Daily Racing Form*.
- **Bounceback Days** – Historically, the slowest days of the meet come the day after our biggest days. As a way of combating that, on two of our biggest days – Opening Day and Pacific Classic Day – all fans in attendance will receive a free admission pass for the next day. This is a simple promotion that bounces back thousands of fans for an extra visit.

For The More Serious Racing Fans:

- **The Del Mar Handicapping Challenge** – In an effort to attract the top handicappers from across the country, we host the Del Mar Handicapping Challenge. One hundred and fifty players will compete for \$300,000 in prizes plus an additional eight entries into the NTRA National Handicapping Championship in this two-day competition. Because this is a “live money” tournament that requires a \$6,000 bankroll from each player, the tournament benefits handle significantly over the two days. In addition, well over half the field for this high-end contest travel in from out of state.
- **The Pacific Classic Betting Challenge** – Del Mar will introduce a “live money” contest on Pacific Classic Day, this year featuring five stakes races, in an attempt to attract core horseplayer’s on-track. Two hundred and fifty players are expected to participate with a \$300 starting bankroll and compete for \$50,000 in total prizes.
- **Pick 4, Pick 5 and Pick 6 Pools** – Del Mar added an early Pick 4 and a late Pick 5 in 2018. The combined Pick 4, Pick 5 and Pick 6 pools averaged more than \$2.5 million wagered daily. Compared to 2017, this amounted to an additional daily handle of over \$550,000 or 29%. Initiated in 2017, the Pick 6 Single Ticket Jackpot Pool introduced a new jackpot element. The wager resonated with serious bettors with the Pick 6 average non-carryover Pools rising 27% the last two years compared to 2016. Del Mar will continue to heavily promote these wagers with help from Advance Deposit Wagering partner TVG.
- **Jockey Photo Day** – Get an autograph or a photo with your favorite jockey on Opening Sunday. This event has always been extremely popular with fans and their children and serves as a great way to build the star power of our jockey colony.



For Families:

- **Family Fun Day** – This event features free children’s activities including a giant slide and a variety of inflatables, pony rides, face painting and a free giveaway for all kids 12 and under. The promotion is designed to appeal to families and increase awareness of the family-friendly nature of Del Mar. Over 4,000 kids attended are expected to attend.
- **Family Weekends** – Every Saturday and Sunday during the meet, a smaller version of Family Fun Day takes place on the infield. These events reinforce the concept that Del Mar is a family-friendly entertainment option in San Diego.
- **Donuts Days** – A Del Mar tradition! On two Saturdays during the meet, all fans who come out to watch morning workouts are treated to free donuts, coffee and juice. Track announcer Trevor Denman hosts a behind-the-scenes look at thoroughbred racing as he interviews Del Mar jockeys and trainers for the crowd.

Fan Education

Given that many Del Mar attendees are newcomers or occasional racetrack visitors, it is imperative that we stress fan education. Some of the initiatives include:

- **Free Programs** – As they say, “you can’t tell the players without a program,” so everyone who enters the admission gate receives a complimentary program. Although most racetracks charge an additional fee for programs, we believe many racetrack newcomers would not know to buy one and would, therefore, be very unlikely to wager and learn the game of horse racing.
- **Free Newcomers’ Seminars** – Located just inside the Stretch Run admission gates in the Plaza de Mexico, these daily 30-minute seminars emphasize the basics of horse racing and are extremely popular with our newcomers.
- **Free Handicapping Seminars** – Every Saturday and Sunday patrons can listen in as handicapping experts analyze the day’s racing card and give out their selections in every race.
- **Website** – The Del Mar website features a “Beginner’s Corner” which provides helpful tips on how to prepare for a visit to the races including pre-planning, handicapping resources, wagering, track terms and more. Fans may also participate in “Night School”,

the racing industry's national, interactive online fan education forum featuring lessons from expert hosts.

- **Betting Guides** – *Today's Racing Digest* produces pocket-sized guides for Del Mar that explain racing terms and how to place a bet. The betting guides are distributed through Group Sales, Mutuel Tellers and Customer Service representatives throughout the season.
- **DRF Easy Form** – On large concert or festival days, the *Daily Racing Form* produces a simplified racing guide that is distributed to newcomers free of charge. The Easy Form covers the day's races in a simple format that is easy to understand for newcomers. It serves as a stepping stone to the traditional *Daily Racing Form*.
- **Staff Handicappers** – Del Mar staff handicappers regularly assist Group Sales parties. The handicappers teach the basics of handicapping and betting, recommend picks and arrange paddock tours.

VIP Program

In addition to new and casual race fans, Del Mar also has a significant number of high-end customers. For those patrons we have the Del Mar VIP recruitment and retention program. The VIP program constitutes an aggressive effort to identify significant bettors and to meet the tailored needs of those patrons through personalized attention from all segments of Del Mar management and staff. The program accommodates over 100 high-per-capita patrons with services such as arranging summer housing, local transportation, complimentary dinners at local restaurants, hotel and golf reservations, and VIP seating for the races. In addition, players that wager over \$20,000 in a calendar month are eligible for rebates via a program sponsored by the California Marketing Committee.

Customer Service

Given the number of new and casual race fans at Del Mar, Customer Service plays a vital role in the experience of our customers. Therefore, we staff the facility with Customer Service Representatives and Self-service Wagering Assistants very liberally. We also believe it is very important to train our Customer Service employees very thoroughly.

Weekdays (Wednesday and Thursday)

- 15 Customer Service Representatives
- 15 Self-service Wagering Assistants
- 30 Total Staffing

Weekends (Friday, Saturday, Sunday)

- 20 Customer Service Representatives
- 20 Self-service Wagering Assistants
- 40 Total Staffing

Database Marketing

Database marketing continues to be one of the key components of the media mix each season. Given that the primary advertising strategy is to target new fans that are potentially younger, it is vital that we communicate effectively with our current fan base. With that strategy in mind, Del Mar has devoted significant time and money to identify our regular players. First, a data warehouse was created that pulled together all the various lists of names and information contained at the racetrack (marketing, racing, group sales, turf club, ticketing, etc.) and combined them into a single database that can be segmented into various categories. Also, the Del Mar loyalty club, The Diamond Club, which gives members half-priced admission each time they attend the races at Del Mar, allows us to track the visitation patterns of Club members. The Diamond Club has enjoyed phenomenal growth since its inception in 2006 with membership numbers now topping over 303,000.

Over 6 million targeted emails will be sent to members of our database this year with a healthy open rate of 15% (900,000 emails opened!). The content will range from coupons to instant notification of Pick Six carryovers to upcoming promotions and big races as well as general interest stories about various horses and horsemen.

Digital Marketing

Del Mar utilizes an array of platforms – DelMarRacing.com, the Del Mar Mobile App, social media platforms and email database – to customize content and target two distinct audiences of racetrack regulars and newcomers to the track.

- Racetrack Regulars - From entries and results to real-time odds and pools, the racetrack regulars are provided valuable information and tools from the Del Mar site and mobile app. Notably this past year, carryover information is being efficiently pulled from Amtote's result feeds to display across the site efficiently. The Online Handicapping Challenge, designed to encourage horseplayers to handicap and play the Del Mar card, draws nearly 5,000 players each season. The play-for-fun handicapping contest is always popular during the offseason for many.
- Newcomers and Occasionals – Non-racing events drive many newcomers to their first-time experiences at the racetrack. First-time patrons are encouraged to sign up with their email for the Diamond Club loyalty program to unlock discounts, thereby allowing Del Mar to re-engage through email and target digital advertising to convert first timers into racegoers. Out of town visitors are also provided helpful travel aids including local hotels, restaurants and activities to help plan their visit to the track.

DelMarRacing.com

The site is optimized for both desktop and mobile and provides easy navigation for patrons. Over 22,000 orders were processed for tickets, reserved tables and seats online last year through the mobile responsive site. The site generated over 15.4 million page views from 3 million users.

Social Media

The social media goals for the 2019 season are threefold:

1. Increase awareness
2. Increase brand loyalty
3. Increase on-track attendance

The emphasis is not only on growing the size of our community, but the quality of the people and interactions. The feedback from our social media community is overwhelmingly positive, with people posting on both Facebook and Twitter how much they love Del Mar and how much they appreciate our efforts in the social space.

Facebook, which now has over 87,800 Del Mar fans within our community has proven to be an easy platform for us to grow and a natural space for us to be in as a result of our visual content. Our fans respond very favorably to the videos we share about concerts and big races, and regularly view and comment on our many photo albums. The content most often responded to involves celebrities, events, concerts and contests. However, some racing content also receives a significant response.

Twitter still has some room for growth, but despite being significantly smaller than our Facebook network, our 33,500 Twitter members and 29,800 Instagram followers are quite a bit more engaged. Twitter is best used to provide real-time updates and as an engagement platform for our industry as well as our on-track patrons. Instagram followers are also actively engaged, sharing their personal experiences at the track.

Media Summary

The Del Mar Media Plan features a diversified media mix consisting of broadcast television, cable television, radio, print, online, and outdoor. Although the media buy for the upcoming season is not yet complete, it is budgeted to breakdown as follows:

Television	\$ 320,000
Radio	\$ 300,000
Print	\$ 65,000
Digital	\$ 349,000
Outdoor	\$ 58,000
Total	\$1,089,000

In addition to the cash media budget, DMTC negotiates barter deals with select media providers. In exchange for tickets, seating and parking privileges on days when inventory is available, DMTC receives free media. The Barter Program contributes an additional \$200,000 of media each season. This brings our total paid and non-paid advertising to over \$1.289 million for the seven-week season.

Marketing Budget

The Marketing Budget for the upcoming 2019 season is over \$3.5 million. This amount includes direct expenses attributed to Advertising, Promotions and Publicity but does not include payroll, administrative supplies and other overhead items.

The 2019 Marketing Budget is categorized as follows:

Advertising	\$1,673,750
Promotions	\$1,644,950
Publicity	\$ 181,500
Corporate Sponsorship Fulfillment	\$ 57,500
Other	\$ 23,000
Total	\$3,580,700

- Replacement of water service to miscellaneous barns in stable area (restricted area) – on-going.
- Backfill of all stable area stalls with decomposed granite (restricted area) – on-going.
- Renovation of miscellaneous restrooms, tack rooms and living quarters in stable area (restricted area) – on-going.
- Replacement of exterior electrical outlets and wall lights in stable area (restricted area) – on-going.
- Clean and screen all stable area shed-drains and roadways due to rocks and debris (restricted area) – on-going.
- Clean and dig-out all stable area wash racks and storm drain boxes (restricted area) – on-going.
- Modification of fence, installation of new data, video and power conduit and new hedge at quarter chute of main dirt track (restricted area).
- Renovate stable gate offices (restricted area).
- Re-grading of main dirt track (restricted area).

2. FANS

- Boxes, seats and turf club refurbishment – on-going.
- Replacement of television monitors with flat screen monitors throughout facility – on-going.
- Installation of wireless technology throughout the facility to enhance the mobile app experience - on-going.
- Paint, flooring and decorative panels throughout various areas of the grandstand – on-going.
- Lighting replacement throughout grandstand – on-going.
- Replacement of toilets and faucets throughout grandstand – on-going.
- Enhancement of Hacienda Room to create new lounge area to attract younger patrons.
- Creation of a new early bird and “bet all day” wagering area to cater to serious wagering patrons.
- Creation of a new VIP area for use on large attendance days.

3. Facilities in the restricted areas: SEE 18-E-1

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Stretch Run Admission (includes official program)	\$3.00 (Diamond Club), \$6.00 (regular), \$15.00 (Opening Day), \$25.00 (Season Pass, sold pre-season only)
Clubhouse Admission (includes official program)	\$5.00 (Diamond Club), \$10.00 (regular), \$30.00 Opening Day), \$50.00 (Season Pass, sold pre-season only)

Reserved Seating (Stretch Run)	\$5.00 (\$30 Opening Day - \$15 Pacific Classic, \$10 Sat&Sun, \$8 Fri)
Reserved Seating (Clubhouse)	\$5.00 (\$30 Opening Day - \$15 Pacific Classic, \$10 Sat&Sun, \$8 Fri)
Parking (general, single day)	\$10.00
Parking (discount book)	\$50.00 (general, advance discount book of 10)
Parking (preferred)	\$15.00
Parking (valet)	\$25.00 (\$40 Opening Day)
Programs (on-track)	Included with paid admission
Programs (off-track)	\$2.25

No Price Changes from 2018

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
- | | |
|--|---------------------------------------|
| Season Turf Club Membership (2 persons): | \$1,500.00 |
| Season Turf Club Membership (1 person): | \$1,100.00 |
| Daily Turf Club Admission (member guests): | \$40.00 (\$100 on Opening Day) |
| Daily Turf Club Admission (non-member): | \$60.00 (\$200 on Opening Day) |
| Stretch Run & Clubhouse Season Box Seats: | \$285.00 to \$310.00 per seat |
| Season Reserved Seat: | \$270.00 |
- C. Describe any "package" plans such as combined parking, admission and program:
Turf Club memberships include valet parking. All paid admissions include official programs. All summer season box seats will include six free admission passes in 2019.

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="73"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |
- B. Describe the quarters to be used for female jockeys/drivers:
Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **665 permanent**
- C. Number of restrooms available on the backstretch of the racetrack: **83**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **17.5 workers to 1 restroom facility.**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5.280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
The main track surface is a Dirt Running Surface. The surface consists of a 10" sandy loam on top of a layer of decomposed granite and 6" round perforated drain pipe on top of the existing dirt sub-base.
The turf course consists of GN-1 Bermuda grass sod with a growing medium consisting of 9" of silica sand mixed with peat and turf grids blended into the top 6". The most heavily used and worn sections of the turf course were renovated following the 2018 fall race meet. The turf course from near the finish line and extending into the east turn received new sod, an amended growing profile and improved drainage to achieve more consistent footing throughout the running lanes.
- C. The percent of cross slope in the straight-aways is: **2.5%**
 The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track:
Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".

Turf Track:
Inside running rail: Mawsafe pvc injection molded
Inside rail supports: Mawsafe pvc with 16" offset
Approx. height: 47"
Outside running rail of the turf course is the same as the inside rail.
Outside rail supports are the same as the inside rail supports.
Approximate height 47"
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **TOM ROBBINS, EXECUTIVE VP RACING**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **ON FILE**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **ON FILE**

EXHIBIT 16

DEL MAR THOROUGHBRED CLUB - UNION CONTRACTS CURRENTLY IN NEGOTIATION

UNION	SIGNATORY ASSOCIATIONS	JOB CLASSIFICATION	CONTRACT DATES
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Auto Park	July 2016 through July 2019 In Negotiations
Teamsters Local 495 Clockers/ Outriders	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Clockers / Outriders	July 2016 through July 2019 In Negotiations
Teamsters Starters Local 495	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Starters	July 2016 through July 2019 In Negotiations
Teamsters 495 Racing	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing Officials	July 2016 through July 2019 In Negotiations
SEIU Local #280	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex SCOTWINC	Mutuels	July 2018 through October 2019
SEIU #1877	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing	May 1, 2017 - April 30, 2020



22ND DISTRICT AGRICULTURAL ASSOCIATION
State of California

March 29, 2019

Joe Harper
CEO
Del Mar Thoroughbred Club
P.O. Box 700
Del Mar, CA 92014

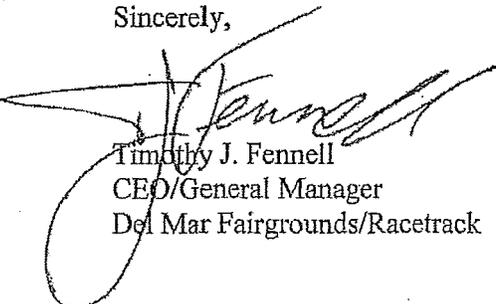
Re: Operating Agreement for the Del Mar Race Track
Written Notice of Decision to Exercise Option to Extend Agreement Term

Dear Mr. Harper:

On March 29, 2019, the California State Race Track Leasing Commission ("Commission") voted unanimously to extend the Operating Agreement for the Del Mar Race Track ("Operating Agreement") for an additional five-year period, as provided in Paragraph 4.1 of the Operating Agreement.

As required by Paragraph 4.1 of the Operating Agreement, this letter constitutes the formal written notice of the Commission's decision to exercise the option to extend the term of the Operating Agreement.

Sincerely,



Timothy J. Fennell
CEO/General Manager
Del Mar Fairgrounds/Racetrack

cc: Josh Rubinstein, President/COO
Richard Valdez, RTA President
Rita Walz, RTA Treasurer
Michael Ceragioli, 22nd DAA Contracts Manager

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **EXHIBIT 16**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
**Summer Meet Agreements with: CTT - IN NEGOTIATIONS
TOC - IN NEGOTIATIONS**
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **ON FILE - IN NEGOTIATIONS**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **NO EXCEPTIONS**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **NO EXCEPTIONS**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

THOMAS S. ROBBINS
Print Name

EXECUTIVE VICE PRESIDENT, RACING
Print Title

Thomas S. Robbins
Signature

4/18/19
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE DEL MAR
THOROUGHBRED CLUB (DMTC) AND THE THOROUGHBRED OWNERS OF
CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG
SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE DMTC AT THE
DEL MAR RACETRACK

Regular Board Meeting
May 23, 2019

ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The Del Mar Thoroughbred Club (DMTC) desires to condition the races run at the Del Mar Track to be run with reduced levels of furosemide (Lasix).

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

The Del Mar Thoroughbred Club's (DMTC) request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a

drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

The DMTC's proposed condition will reduce the maximum amount of furosemide (Lasix) to half of the current authorized level. CHRB Rule 1845, Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce the amount to 250 mg.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

RECOMMENDATION

This item is presented to the Board for discussion and action.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD
REGARDING THE PROPOSED AMENDMENT TO
CHRB RULE 1844, AUTHORIZED MEDICATION,
AND PROPOSED AMENDMENT TO
CHRB RULE 1866.1, PRESENCE OF CLENBUTEROL IN QUARTER HORSES,
TO PROHIBIT THE PRESENCE OF CLENBUTEROL IN A HORSE TEST SAMPLE AND
TO CHANGE THE TITLE OF CHRB RULE 1866.1 TO PRESENCE OF CLENBUTEROL IN
HORSES

Regular Board Meeting
May 23, 2019

ISSUE

The CHRB regularly conducts out-of-competition testing on thoroughbred horses. Within the last year, the CHRB has observed a significant increase in the presence of clenbuterol in out-of-competition test samples of thoroughbred horses. The samples that were analyzed do not show a random distribution among horses which would be consistent with a therapeutic administration of clenbuterol. Rather, the out-of-competition test samples show the use of clenbuterol in multiple horses in the same barns which is more consistent with a training management regiment instead of therapeutic administration.

BACKGROUND

Clenbuterol is a beta-2 agonist approved for use as a bronchodilator in horses. Beta-2 agonists also have an anabolic-type effect through their muscle partitioning side effects. Clenbuterol is banned in human sport by the World Anti-doping Association for this reason. The drug is also abused in horses because of its anabolic effects. Clenbuterol became a problem in horse racing, especially quarter horse racing, when the CHRB and other racing authorities in the United States restricted the use of anabolic steroids. Furthermore, side-effects associated with clenbuterol administration have been scientifically documented to include a repartitioning effect and major alterations in cardiac and skeletal muscle function, as well as effects on bone, immune, endocrine, and reproductive systems.¹ To address the abuse of clenbuterol in Quarter Horses, the Board in 2015, amended Rule 1844 Authorized Medication, prohibiting the presence of clenbuterol in Quarter Horse post-race test samples. In 2015, the Board also adopted Rule 1866.1 Presence of Clenbuterol in Quarter Horses, which requires Quarter Horses prescribed clenbuterol to be placed on the Veterinarian's list until an official test sample fails to detect the presence of clenbuterol in the blood or urine of the horse. Recently, the CHRB has observed a rise in out-of-competition test samples of thoroughbreds that contain the presence of clenbuterol.

¹ Charles F. Kearns & Kenneth H. McKeever, *Clenbuterol and the horse revisited*, 182 THE VETERINARY JOURNAL 384-391(2009).

ANALYSIS

The proposed amendment to Rule 1866.1, Presence of clenbuterol in Quarter Horses, changes the title of Rule 1866.1 to Presence of Clenbuterol in Horses. The amendment provides that a horse prescribed clenbuterol will be placed on the Veterinarian's List until an official test sample shows there is no clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness. A horse placed on the Veterinarian's List for clenbuterol will not be allowed to start in a race until the horse is removed from the Veterinarian's List. Veterinarians prescribing clenbuterol must fill out and submit form CHRB-24 (Rev. 01/16) (Veterinarian Report) and dispensed clenbuterol prescriptions must comply with Rule 1864, Labeling of Medication. Administration of clenbuterol must also be reported by the trainer using form CHRB-60 (Rev. 7/15) (Trainer Medication Report).

The proposed amendment to Rule 1844(e)(6) will deauthorize any detectable level of clenbuterol in an official urine test sample. Deauthorizing any detectable level of clenbuterol in official horse samples is necessary to protect horses from the unregulated and potentially harmful administration of clenbuterol. The broad objective of the proposed amendment to Rule 1844 and Rule 1866.1 is to protect all horses from the negative impacts of clenbuterol, as well as to protect the wagering public from unfair advantages gained by trainers and owners who illegitimately enhance the performance of their horses using clenbuterol.

RECOMMENDATION

This item is presented to the Board for discussion and action. No comments were received during the 45-day public comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARIAN PRACTICES
PROPOSED AMENDMENT OF
RULE 1844. AUTHORIZED MEDICATION

Regular Board Meeting
May 23, 2019

1844. Authorized Medication.

Consistent with the intent of these rules, drug substances and medications authorized by the Board for use may be administered to safeguard the health of the horse entered to race provided that:

(a) No person shall administer a drug substance to any horse entered to race except upon authorization of the official veterinarian in conformance with these rules.

(b) No drug substance, other than authorized bleeder medication, shall be administered to a horse entered to race within 24 hours of the race in which entered.

(c) Not more than one approved non-steroidal anti-inflammatory drug substance (NSAID) may be administered to a horse that is entered to race and shall be only one of the following authorized drug substances:

(1) Phenylbutazone in a dosage amount that the test sample shall contain not more than 2 micrograms of the drug substance per milliliter of blood plasma or serum.

(2) Flunixin in a dosage amount that the test sample shall contain not more than 20 nanograms of the drug substance per milliliter of blood plasma or serum.

(3) Ketoprofen in a dosage amount that the test sample shall contain not more than 2 nanograms of the drug substance per milliliter of blood plasma or serum.

(4) Metabolites or analogues of approved NSAIDs may be present in post race test samples.

(d) If the official chemist reports that a blood test sample contains an authorized NSAID in excess of the limit for that drug substance under this rule, the official veterinarian shall, in conjunction with the veterinarian who administered or prescribed the authorized drug substance, establish a dosage amount or time of administration of the drug substance that will comply with the limits under this rule; or the official veterinarian may, if in his/her judgment no such reduced dosage amount or amendment to time of administration will result in a test sample level within the limits of this rule, withdraw authorization for the use of any one NSAID.

(e) Official urine test samples may contain one of the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels:

- (1) Acepromazine; 10 nanograms per milliliter
- (2) Mepivacaine; 10 nanograms per milliliter
- (3) Albuterol; 1 nanograms per milliliter
- (4) Procaine; 25 nanograms per milliliter
- (5) Salicylates; 750 micrograms per milliliter
- ~~(6) Clenbuterol; 140 picograms per milliliter, except for any horse participating in a quarter horse race for which no level of clenbuterol is authorized.~~
- ~~(67) Detomidine; 2 nanograms per milliliter~~
- ~~(78) Nandrolone; 1 nanograms per milliliter for geldings, fillies and mares; 45 nanograms for males other than geldings.~~
- ~~(89) Boldenone; 15 nanograms per milliliter in males other than geldings.~~
- ~~(940) Testosterone; 20 nanograms per milliliter in geldings.~~
- (A) Testosterone at any level in males other than geldings is not a violation of this regulation.
- ~~(1044) Testosterone; 55 nanograms per milliliter in fillies or mares (unless in foal)~~

(1112) Butorphanol 300 nanograms per milliliter

(f) Official blood test samples may contain the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels in serum or plasma:

- (1) Bethamethasone; 10 picograms per milliliter
- (2) Dantrolene; 100 picograms per milliliter
- (3) Detomidine; 1 nanogram per milliliter
- (4) Dexamethasone; 5 picograms per milliliter
- (5) Diclofenac; 5 nanograms per milliliter
- (6) Dimethylsulfoxide (DMSO); 10 micrograms per milliliter
- (7) Firocoxib; 20 nanograms per milliliter
- (8) Lidocaine; 20 picograms per milliliter
- (9) Methocarbamol; 1 nanogram per milliliter
- (10) Methylprednisolone; 100 picograms per milliliter
- (11) Glycopyrrolate; 3 picograms per milliliter
- (12) Prednisolone; 1 nanogram per milliliter
- (13) Triamcinolone Acetonide; 100 picograms per milliliter
- (14) Xylazine; 200 picograms per milliliter
- (15) Butorphanol; 2 nanograms per milliliter
- (16) Isoflupredone; 100 picograms per milliliter
- (17) Cetirizine; 6 nanograms per milliliter
- (18) Cimetidine; 400 nanograms per milliliter
- (19) Guaifenesin; 12 nanograms per milliliter
- (20) Omeprazole; 10 nanograms per milliliter

(21) Ranitidine; 40 nanograms per milliliter

(g) Official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)(1) to (6) and (e)(8) to (11).

(h) Procaine, following administration of procaine penicillin, is an authorized medication provided:

(1) Official blood test samples shall not contain any procaine, or its metabolites or analogs in excess of 25 nanograms per milliliter.

(2) all procaine penicillin administrations have been reported pursuant to Rule 1842 of this division,

(3) procaine penicillin was not administered after entry to race,

(4) the horse was under surveillance for a minimum of six hours prior to racing.

(i) All expenses related to surveillance and testing for procaine under subsection (h) of this regulation shall be paid by the owner of the horse.

Authority: Sections 19440 and 19562
Business and Professions Code

Reference: Sections 19580 and 19581
Business and Professions Code

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1866.1. PRESENCE OF CLENBUTEROL IN QUARTER HORSES

Regular Board Meeting
May 23, 2019

1866.1. Presence of Clenbuterol in Quarter Horses

(a) A ~~quarter~~-horse prescribed clenbuterol will be placed on the Veterinarian's List for veterinary treatment until an official test sample shows that there is no clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness, pursuant to Rule 1866. ~~Quarter~~-~~H~~orses on the Veterinarian's List for clenbuterol administration will not be allowed to start in a race until the horse is removed from the Veterinarian's List.

(1) Clenbuterol shall only be prescribed to an individual ~~quarter~~-horse for a specific diagnosis to last for a period of not more than 30 days for each prescription. The ~~quarter~~-horse's name, the specific diagnosis, dosage and duration of treatment most recent date of clenbuterol administration must be reported by the prescribing veterinarian to the Official Veterinarian on form CHRB-24 (Rev. 01/16) (Veterinarian Report), which is hereby incorporated by reference.

(2) Clenbuterol prescribed to an individual ~~quarter~~-horse for a specific diagnosis shall not last for more than 30 days for any prescription. Dispensed clenbuterol prescriptions must be labeled in compliance with Rule 1864, Labeling of Medication, and all other laws, including California Veterinary Medical Board regulations.

(3) Administration of clenbuterol to a ~~quarter~~-horse must be reported by the trainer of the horse to the Official Veterinarian on form CHRB-60 (Rev. 07/15) (Trainer Medication Report), which is here by incorporated by reference.

(b) A ~~quarter~~-horse that, pursuant to Rules 1858 or 1859, is reported to have clenbuterol detected in blood, urine, or any other official test sample by the CHRB official laboratory will be placed on the Veterinarian's List until an investigation has been conducted to determine the circumstances of the presence of clenbuterol in the official test sample, and until a subsequent official test sample fails to detect clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness, pursuant to Rule 1866.

(c) A ~~quarter~~-horse shall not be removed from the Veterinarian's List and allowed to start in a race until an official test sample fails to detect clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness pursuant to Rule 1866.

Authority: Sections 19440, 19562, and 19580
Business and Professions Code

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