

**CALIFORNIA HORSE RACING BOARD**

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## **REGULAR MEETING**

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, May 21, 2015**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### **AGENDA**

#### **Action Items:**

1. **Approval of the minutes of April 16, 2015.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.  
**Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding **delegating authority to the Executive Director to approve CHRB service contracts on behalf of the Board.**
5. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Los Alamitos Racing Association (T) at Los Alamitos**, commencing July 2, 2015 through July 12, 2015, inclusive.
6. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the California Exposition and State Fair (F) at Sacramento**, commencing July 10, 2015 through July 26, 2015, inclusive.
7. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar**, commencing July 16, 2015 through September 7, 2015, inclusive.
8. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Sonoma County Fair (F) at Santa Rosa**, commencing July 30, 2015 through August 16, 2015, inclusive.

9. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1489, Grounds for Denial or Refusal of License**, to amend the criteria to be used when considering the denial, suspension or revocation of an occupational license.
10. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1588, Horse Ineligible to Start in a Race**, to provide that a horse is ineligible to start in any race in California if it is on the Veterinarian's List in another racing jurisdiction, unless with prior approval of the stewards for good cause. (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented.)
11. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1632, Jockey's Riding Fee**, to adjust the non-winning jockey riding fee scale for losing mounts, pursuant to Business and Profession Code section 19501(b) (1), to reflect California's most recent minimum wage increase of 12.5 percent and to amend the non-winning jockey riding fee scale to reflect an increase of 12.5 percent for the 2<sup>nd</sup> and 3<sup>rd</sup> place mounts in races with a gross purse of \$9,999 or less. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
12. Discussion and action by the Board regarding the **request from the California Authority of Racing Fairs (CARF) and the Pacific Coast Quarter Horse Racing Association, to suspend the use of Clenbuterol for 12 months effective June 1, 2015, for quarter horses at all CARF tracks, pursuant to the provisions of CHRB Rule 1844.1, Suspension of Authorized Medication.**
13. Discussion and action by the Board regarding the **report on the status of the non-union California Race Track Pension Plan.**
14. Discussion and action by the Board regarding the **proposed adjustment of the 2015 Southern California racing calendar, to allocate September 26<sup>th</sup> and September 27<sup>th</sup> from Santa Anita to Fairplex, at Los Alamitos.**
15. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).

- C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman  
Richard Rosenberg, Vice Chairman  
Madeline Auerbach, Member  
Steve Beneto, Member  
Jesse H. Choper, Member  
George Krikorian, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director

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## PENDING LITIGATION MAY 2015

### COURT LITIGATION

- A. **Morteza Atashkar vs. CHRB**  
Superior Court of California, County of Sacramento, Case No. 34-2008-00024426  
Court of Appeal, Third Appellate District, C074852
- B. **Jeff Mullins vs. CHRB, et al**  
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
- C. **San Luis Rey Racing, Inc., vs. CHRB, et al**  
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- D. **Donald Lockwood vs. CHRB**  
Superior Court of California, County of Los Angeles, Case No. BS147701
- E. **Jose DeLaTorre vs. CHRB**  
Superior Court of California, County of Los Angeles, Case No. BS152795
- F. **Dean Frey vs. CHRB**  
Superior Court of California, County of Los Angeles, Case No. BS154219
- G. **Gustavo DeLaTorre vs. CHRB**  
Superior Court of California, County of Los Angeles, Case No. BS154412

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Golden Gate Fields, Bayview Lounge**, 1100 Eastshore Highway, Berkeley, California, on **April 16, 2015**.

Present: Chuck Winner, Chairman  
Bo Derek, 1<sup>st</sup> Vice-Chairman  
Richard Rosenberg, 2<sup>nd</sup> Vice-Chairman  
Madeline Auerbach, Member  
Steve Beneto, Member  
Jesse H. Choper, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director  
Robert Miller, General Counsel

#### APPROVAL OF THE MINUTES OF FEBRUARY 18, 2015

Chairman Winner asked for approval of the minutes of the Regular Meeting of February 18, 2015. 2<sup>nd</sup> Vice-Chairman Rosenberg **motioned** to approve the minutes. 1<sup>st</sup> Vice-Chairman Derek **seconded** the motion, which was **unanimously carried**.

#### EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker stated the microchip pilot program was scheduled to begin on May 5, 2015, at Golden Gate Fields and Pleasanton. The company that manufactures the microchips and scanners would have representatives present to demonstrate proper implant procedure and answer any questions from the veterinarians and trainers. He said Dr. Rick Arthur, CHRB Equine Medical Director, gave a demonstration of the microchip process at the Breeder's Cup. He stated the microchip process was simple and safe, with no complications. He said InCompass Solutions developed software that could read the chip and interface with various databases such as inventory, racing office applications, and accurate markings for the horse identifier. InCompass Solutions developed an app for tablets that would allow the scanner to

interface with the tablet in order for the information to be up-loaded. The California Department of Food and Agriculture contributed scanners and tablets to the microchip pilot program. He said the pilot program had experienced a cooperative effort due to the three trainers that volunteered to participate and supportive track management. Executive Director Baedeker reported on two CHRB regulatory actions that were approved and one that was rejected by the Office of Administrative Law (OAL). The approved amendments were: Rule 1688, Use of Whips, to prohibit a jockey from using the crop more than three times in succession without giving the horse a chance to respond; the amendment would become effective July 1, 2015; Rule 1866, Veterinarian's List, which provided that a horse placed on the veterinarian's list as sick or having received shockwave therapy could not work out for 72 hours after being placed on the veterinarian's list without the permission of the official veterinarian. The amendment would further require a horse to remain on the veterinarian's list for a specific number of days after being placed on the list as unsound or lame before the horse was eligible to be removed from the list. The amendment would become effective July 1, 2015. Executive Director Baedeker stated OAL rejected the proposed amendment to Rule 1689.1, Safety Vest Required, which required pony riders to wear a safety vest. He said OAL rejected the proposed amendment because the language failed to meet the State's Clarity Standard. He stated CHRB staff would revise the language to clarify the definition of a pony rider. Executive Director Baedeker stated a meeting comprised of CHRB investigators and safety stewards was scheduled for April 29, 2015. He said Dr. Arthur and Dr. Stanley would present on improper labeling of medication, and the identification of prohibited or restricted substances, such as thyroxine and cobalt. He stated investigators would discuss proper procedures for chain of custody and Staff Counsel Philip Laird would discuss best practices for investigative reporting and presentation at hearings.

Executive Director Baedeker introduced Richard Lewis, the new safety steward for Northern California. He said Mr. Lewis would be a valuable asset to the State of California and CHRB due to Mr. Lewis' extensive experience in the horse racing industry as a trainer and as a director of racing. Executive Director Baedeker stated the financials for the month were not great. He said the month of March had one less racing day for daytime racing, and business was down 8.1 percent. He stated there was the same number of days for the nighttime business which was up 5.91 percent. He said overall the month was down 6.7 percent. He said there were three fewer daytime racing days for the year with business down 2.3 percent. He stated nighttime business was up 9.6 percent with one additional day. He said business was down 1.12 percent year to date. Chairman Winner expressed his delight over OAL's approval of Rule 1866 and Rule 1688. He stated CHRB would work on the language for Rule 1689.1.

#### **PUBLIC COMMENT**

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Richard Castro, member of Local 280, asked about the non-union management pension plan. He stated he brought the issue up two years ago and believed it would be an agenda item; however, he failed to see it listed. He said he was concerned about how it was funded and how the assets were held. He asked for a staff report detailing how the plan was maintained and believed the report should come from CHRB. Executive Director Rick Baedeker stated the Board received a brief update at the last Pari-Mutuel, ADW and Simulcast Committee meeting, but it was not on the agenda. He asked Mr. Castro to send an email to staff detailing his concerns. Ian McLean stated he was not pleased with the reasons given as to why Golden Gate Fields was not making money. He said the reason he believed Golden Gate Fields was not making money was because it was not investing in advertising and marketing to inform the public on what Golden Gate

Fields had to offer and its location. He stated the ownership at Golden Gate Fields should be held accountable for its marketing plan by putting its plan into action and evaluating it over a period of time before considering the closure of it because of a lack in profits. He hoped the Board would put forth mandates to make it happen.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE WATCH AND WAGER LLC RACING ASSOCIATION IN THE AMOUNT OF \$4,600 TO SIX BENEFICIARIES.**

1<sup>st</sup> Vice-Chairman Derek asked Ben Kenney, Watch and Wager, if it was the first time Watch and Wager had made Race Day Charity contributions. Mr. Kenney, stated no, the contributions were the same as last year, with the exception of the Kirk Breed Memorial. Executive Director Rick Baedeker spoke about the Kirk Breed Memorial. He stated Mr. Breed introduced many innovative initiatives to the Board, especially the Safety Steward Program that was subsequently implemented around the country. He stated the memorial was halfway complete, as was the funding, if anyone wanted to make a donation. He said many donations were made and Mr. Breed's family appreciated all of the contributions. Chairman Winner **motioned** to approve the request by Watch and Wager to distribute its race day charity proceeds in the amount of \$4,600.

1<sup>st</sup> Vice-Chairman Derek **seconded** the motion, which was **unanimously carried**. Commissioner Beneto recused himself from the vote.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT THE ALAMEDA COUNTY FAIR (F), AT PLEASANTON, (RACE MEETING TO BE CALLED "OAK TREE AT PLEASANTON") COMMENCING JUNE 17, 2015 THROUGH JULY 7, 2015 INCLUSIVE.**

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Chairman Winner stated the race meeting dates of June 17 through July 6, 2015, were correct. Larry Swartzlander, Director of Racing at the Oak Tree Meet at Pleasanton, discussed purses and the recruitment and retention of horses. He stated the focus of the 2015 fair was to retain horses and bring in more horses from out-of-state. He said last year's out-of-state program was very successful; Pleasanton saw a 26 percent increase in out-of-state horses and expected the same success this year. He stated the Trainer Incentive Program was also very successful; it gave trainers with five starts at each fair \$500, and it gave the trainers with 10 or more starts \$1,000. He said the Trainer Incentive Program was a \$50,000 program with a 16 percent increase in trainers that ran horses at the fair. He stated the two programs resulted in trainers running more horses at the fair and more horses from out-of-state. He said Oak Tree set up an additional incentive program for in-state horses. If a trainer brought 10 horses to the Alameda County Fair (ACF), the trainer would be given a monetary reward based on the number of horses they would bring and how many horses they brought in the past. Mr. Swartzlander stated last year's meet was good; up four to five percent in Northern California, and only down two percent on-track. Mr. Swartzlander stated the overnight purse program was one to three-quarter million; the stakes program had six stakes races in 12 days, which was very aggressive. He said Ben Cambra was hired to help with the advertising of horses at the Alameda County Fair to solicit funds for racing sponsorships and Advance Deposit Wagering. Chairman Winner asked if the estimates were down from last year in all races other than stakes currently at \$1.3 million; the prior meet was \$1.365 million. Mr. Swartzlander stated yes, the handle was up but commissions were down.

**Proceedings of the Regular Meeting of April 16, 2015**

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Chairman Winner asked Assistant Executive Director Jacqueline Wagner if the outstanding items listed on the Staff Analysis had been received. Ms. Wagner stated yes. Sherwood Chillingworth (Oak Tree Racing Association) congratulated the ACF for getting its condition book out earlier than last year. He said the ACF had welcomed Oak Tree very graciously and it was a great collaboration. He said Oak Tree created new ways of getting people to the racing enclosure, and he believed that would increase racing revenues. He thanked the 26 directors of the ACF for their help. Jerome Hoban, ACF, stated the relationship with Oak Tree was wonderful and it planned to have an excellent race meet and fair this year. Executive Director Rick Baedeker said he attended a race at Pleasanton last year and the grandstand was full; he was shocked that very few seats were left. Mr. Chillingworth stated the use of the betting machines was below the usage in Southern California. He said one day he saw only three machines out of 50 were in use. He said Oak Tree would introduce a program this year that would show people how to use the machines. He stated using the machines would be faster than waiting in line for a clerk. 1<sup>st</sup> Vice-Chairman Derek asked how old the ACF racetrack was. Mr. Hoban stated it was 100 years old. Mr. Chillingworth stated it was the first racetrack in California with the longest history of continuous operation. Chris Korby, California Authority of Racing Fairs, said the ACF racetrack opened in 1854. Chairman Winner **motioned** to approve the application to conduct a horse racing meeting of the Oak Tree Racing Association at Pleasanton, commencing June 17, 2015 through July 7, 2015. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM CALIFORNIA EXPOSITION AND STATE FAIR (CAL EXPO) FOR A WAIVER TO CHRB RULE 1472, (B) RAIL CONSTRUCTION AND TRACK SPECIFICATIONS, TO FACILITATE THE INSTALLATION OF THE MAWSAFE RAIL SYSTEM.**

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Executive Director Rick Baedeker stated CHRB staff and Safety Stewards expressed concerns about the condition of the existing rail at Cal Expo; it was beyond repair. He said the Board met with the management at Cal Expo and informed Cal Expo the rail needed to be replaced; Cal Expo management agreed. Rick Pickering, CEO of the California Exposition and State Fair, stated the State Fair invested \$1 million over 18 months for its racing capital improvement, and the new rail would be installed prior to the start of the State Fair race meet. He said a unique requirement for the new rail was that it must be put up and then uninstalled to support harness racing that takes place September through May of each year. He stated Watch and Wager had contributed financially. He said the State Fair selected the Mawsafe Rail System that was currently in operation at 113 racing facilities around the world. He stated Cal Expo supported CHRB's recommendation to approve the Mawsafe Rail for installation at the State Fair, and appreciated the support of the Jockey's Guild, the thoroughbred owners and trainer organizations. Chairman Winner **motioned** to approve the request from Cal Expo for a waiver to CHRB Rule 1472(b), Rail Construction and Track Specifications, to facilitate the installation of the Mawsafe Rail System. 1<sup>st</sup> Vice-Chairman Derek **seconded** the motion, which was **unanimously carried**.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1891.1, PENALTY FOR POSSESSION OF ELECTRICAL DEVICE, TO ESTABLISH PENALTIES FOR THE USE OF A BUZZER ON A HORSE.**

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Chairman Winner **motioned** to adopt the proposed addition of Rule 1891.1, Penalty for Possession of Electrical Device, as presented. Chairman Winner **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1489.2, REHABILITATION CRITERIA FOR EVALUATION OF DENIED, SUSPENDED OR REVOKED OCCUPATIONAL LICENSE, TO ESTABLISH CRITERIA TO BE USED TO EVALUATE THE REHABILITATION OF AN APPLICANT WHEN CONSIDERING THE DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE.**

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Robert Miller, CHRB Counsel, stated the proposed addition of Rule 1489.2, Rehabilitation Criteria for the Evaluation of Denied, Suspended or Revoked Occupational License, would bring the Board in compliance with Business and Professions Code section 482 regarding the evaluation of rehabilitation criteria. He said the Board had previously relied on Board directives and case law. He stated the language in the proposed regulation reflected the language used by boards and commissions of the Department of Consumer Affairs. He said in cases where an individual seeking licensure disclosed a criminal act and evidence of rehabilitation; the criteria in the proposed regulation would assist investigative and executive staff in determining whether the rehabilitation evidence was sufficient to allow licensing of the individual. Chairman Winner stated the proposed addition was very important because it would assist the Board in making determinations based on criteria and not on subjectivity. Chairman Winner **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed addition of CHRB Rule 1489.2. 2<sup>nd</sup> Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1489.3, CRITERIA FOR DENIAL, SUSPENSION AND REVOCATION OF AN OCCUPATIONAL LICENSE, TO ESTABLISH CRITERIA TO BE USED WHEN CONSIDERING THE DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE.**

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Robert Miller, CHRB Counsel, stated the proposed addition of Rule 1489.3, Criteria for Denial, Suspension and Revocation of an Occupational License, would bring the Board in compliance with Business and Professions Code section 481; in the past the Board relied on directives from the Executive Director and Appellate Court case law. The rule would establish criteria that would be used by the Board when determining whether to deny, suspend, or revoke an occupational license if there was an act of moral turpitude or criminal behavior. He said the criteria would assist investigators in determining whether that act was substantially related to the functions for which a person was seeking a license. He stated the criteria would assist the Board of Stewards or hearing officer in a fitness hearing. 2<sup>nd</sup> Vice-Chairman Rosenberg stated the current language of Rule 1489 was very broad, while the proposed addition was very specific. He asked if the proposed addition established criteria since 1489 currently did not require any criteria. Mr. Miller stated Business and Professions Code section 481 required the criteria to be substantially related. 2<sup>nd</sup> Vice-Chairman Rosenberg asked if Rule 1489 should be amended to cross reference the criteria proposed in Rule 1489.1. Mr. Miller stated that was a valid point and the Board could put the issue over until the language was redrafted.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE, TO PROVIDE THAT A HORSE IS INELIGIBLE TO START IN ANY RACE IN CALIFORNIA IF IT IS ON THE VETERINARIAN'S LIST IN ANOTHER RACING JURISDICTION, UNLESS WITH PRIOR APPROVAL OF THE STEWARDS.**

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Executive Director Rick Baedeker stated the proposed amendment to Rule 1588 was denied by the Office of Administrative Law for lack of clarity; subsequent changes were made to define stewards allowing a horse to start with good cause. Dr. Rick Arthur, CHRB Equine Medical Director, said the original proposal stated a horse was ineligible to start if it was on a veterinarian's list in another state without the approval of the stewards; however, OAL required specific criteria. Chairman Winner asked if racing jurisdiction was defined. Dr. Arthur stated it would be a racing authority. Robert Miller, CHRB Staff Counsel, stated in honoring the reciprocity rule the Board used "competent racing jurisdiction" and the word competent should be included. Commissioner Auerback asked if the Board needed to include competent. 2<sup>nd</sup> Vice-Chairman Rosenberg stated if the word competent was included it would allow an individual to file an action against the Board asking the Board how it determined who was competent. Ms. Jacqueline Wager, Assistant Executive Director, stated if the word "competent" was added to the regulation, OAL would ask the Board to distinguish between competent and incompetent. She asked if the Board wanted staff to come up with a definition for competent. Dr. Arthur stated the proposed regulation was written to allow stewards to determine whether or not a jurisdiction was competent; if so, then the stewards could allow the entry to be taken. Commissioner Beneto asked if horse was placed on the veterinarian's list in California, and subsequently went to another state where it recovered and was removed from the veterinarian's list from that state, when the horse came back to California, was it required to be examined by a veterinarian? Dr. Arthur stated not all states recognized reciprocity; there were many unsound horses that leave

California to race in other states but remain on California's Veterinarian's list. He said horses on California's Veterinarian's List that went out-of-state could be removed from the California list if the CHRB received a letter from the out-of-state veterinarian stating the horse worked five furlongs, was examined by a veterinarian and passed a blood test. He said if a horse was allowed to race out-of-state, was tested and examined by a veterinarian, the Board would remove the horse from the veterinarian's list. He stated if the horse was not examined by a veterinarian while out-of-state, the horse would require an examination upon its return to California; this happens once or twice a year. Commissioner Beneto stated this situation happened to him; he had a horse in Arizona that got off the veterinarian's list and ran in Arizona, but upon its return could not race in California until it was examined by a veterinarian. Dr. Arthur said Commissioner Beneto's trainer was not paying attention; in California, horses on the veterinarian's list were identified by asterisks which indicate something needed to be done to get the horse removed from the veterinarian's list. He stated on a regular basis the Board cooperated with official veterinarians in many states to remove horses from the veterinarian's list. He said in Commissioner Beneto's case no one from the out-of-state veterinarian staff had communicated that his horse had met the California requirements; it was a logistical issue. Chairman Winner asked if other states had similar reciprocity. Dr. Arthur state no; it was an issue that was brought up before the Racing Commissioners International. He said there were states that tried to respect the veterinarian's list from other states and there was an effort to get reciprocity nationwide. He stated California did not have many horse coming into the state, but there were a lot of horses on the veterinarian's list in California that went to other states. Chairman Winner **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to

Rule 1588. 2<sup>nd</sup> Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1843.2, CLASSIFICATION OF DRUG SUBSTANCES, TO ADD COBALT TO THE CHRB PENALTY CATEGORIES LISTING BY CLASSIFICATION, THEREBY ESTABLISHING THE VIOLATION AND PENALTY GUIDELINES FOR THE PRESENCE OF COBALT IN AN OFFICIAL BLOOD SAMPLE.**

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Chairman Winner **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1843.2, Classification of Drug Substances. 2<sup>nd</sup> Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT FROM GOLDEN GATE FIELDS ON THE STATUS OF ITS MARKETING EFFORTS FOR REBRANDING RACING AT GOLDEN GATE FIELDS FOR THE FUTURE, AS NOTED IN ITS RACE MEET APPLICATION FOR LICENSE.**

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Dan Cirimili, Golden Gate Fields (GGF), stated the brand for GGF was unique, but its problems were similar to the problems at other race tracks across the country. He stated the brand image for GGF was weak among two consumer groups – newcomers and horseplayers. Horseplayers had issues with GGF as a simulcast track, while newcomers had issues with knowing when racing occurred and getting to the track. He said GGF would focus on the simulcast track issue by developing a new website, improving the simulcast presentation, researching the possible implementation of Trakus, and improving the paddock and winner's circle layout. He stated the new website would feature an all-on-one-page for all racing information, complimentary program, scratches and changes, pro picks and tips, current meet standings, and spotlight the stakes schedules, and carryovers. He said implementing an HD broadcast and improving the

simulcast signal would provide someone playing across the country a better presentation of the sport. He stated implementing Trakus would help horseplayers understand the events of each race. He said the improvement to the paddock and winner's circle would improve the sight lines and cameras for better viewing of the race. Commissioner Beneto stated the traffic going to GGF and the surrounding area was bad; he could see why that could prohibit people from going to GGF. He asked if GGF thought about bussing people in, similar to what was done in Lake Tahoe, California. Mr. Cirimili said there was a shuttle program from the BART station at North Berkeley. He stated a significant amount of its on-track attendance came from the shuttle, especially on Dollar Days. Commissioner Beneto suggested expanding the bussing program to Sacramento or San Jose. Chairman Winner asked where most of GGF's attendees came from. Mr. Cirimili stated 63 percent came from the East Bay, 18 percent from San Francisco and the peninsula, and 12 percent from the North Bay. Chairman Winner asked about GGF's budget for advertising and promotion. Mr. Cirimili stated \$400,000 was budgeted for advertising in the Hispanic market, the San Francisco Mile, and the Kentucky Derby; with \$1.4 million budgeted for the year. Commissioner Auerbach asked Mr. Cirimili if he had unlimited resources, what would he do to improve GGF? Mr. Cirimili stated he would bus and ferry people in, and make improvements to the actual product. Chairman Winner suggested making the view from GGF a part of the whole experience of horse racing at GGF. He said not enough people knew what GGF had to offer because not enough was being invested in the marketing or branding. Commissioner Choper asked if GGF knew how many people became regulars after attending Dollar Days. Mr. Cirimili stated it did not have data indicating specific individuals becoming regulars; however, eight to ten percent that attended Dollar Days participated three times a month and were 45 years of age and under. He said GGF did a great job in getting younger people to the track, with eight

percent turning into fans. Commissioner Choper asked if GGF worked with the San Francisco Tourism Bureau. Mr. Cirimili stated it did; it combined with many businesses throughout the East Bay, including the Chamber of Commerce. Commissioner Choper stated the North Berkeley Bart transportation system should be advertised in the Bay Area, not just the West Bay. Chairman Winner stated other race tracks began their marketing from the event location, and then moved outward to reach new people rather than just trying to get people to come back. Alan Balch, California Thoroughbred Trainers (CTT), thanked the Board for putting the issue on the Agenda. He stated the Thoroughbred Owners of California (TOC) and CTT met with GGF leadership in mid-February to discuss marketing. He said many of the issues being discussed at the current Board meeting were discussed at the mid-February meeting. He stated TOC and CTT expected GGF to immediately invest in marketing, and hire an onsite marketing director after the mid-February meeting. He said every attraction and every sporting event was impacted by traffic and it was an obstacle that must be overcome by investment. He stated if marketing was not seen as a necessary investment then horseracing would not grow. He said many livelihoods were at stake. He stated when he began working at Santa Anita, he was told Santa Anita could not increase attendance; however, due to Santa Anita investing in marketing over ten years it increased its attendance and handle. He said GGF should invest in marketing, and management that believed in the sport of horse racing and translated that belief and passion to the public. He stated GGF was the fifth biggest market in the United States; there was no excuse not to invest in it. He said race tracks attracted people by letting them know how easy it was to bet on races; you had to excite the people and you had to compete. He said he did not see the excitement or the willingness to compete. He stated the mass transit system in San Francisco was far better than in Southern California; people could get within walking distance of GGF from anyplace on the train

system. He said the track owners were not the only individuals with investments in horse racing; there were also the people with investments in horses. He stated they wanted to see an investment in the future of the sport, on-track and off-track. He recommended the Board convene a symposium to discuss in detail the future of GGF by looking at the market research, and benchmarks. Chairman Winner stated the Board would support a symposium. He said he agreed with the points Mr. Balch brought up; however, if management was not willing or able to increase the budget, it would not help marketing. Mr. Balch agreed. Commissioner Auerbach stated everyone had to recognize that while the Board could discuss the issues, it could not dictate GGF's advertising strategy. She said the publicity team working for GGF was only allowed to do so much, and its goals were determined by the leadership. She said the horse racing industry needed GGF to be strong and vital in order for the industry to survive. Commissioner Beneto stated the results and entries for racing used to be listed in the Chronicle, but not anymore. Mr. Cirimili stated the publicity team, Tom Farrell and Sam Spear, did a great job of getting the house handicaps and results in the San Francisco Chronicle each day. Chairman Winner asked if the information was on the San Francisco Chronicle's website. Mr. Cirimili said that was a good question. Chairman Winner said people did not buy newspapers anymore, especially the audience GGF was aiming for; they read their newspaper on the internet. He stated if it was not on the internet, then the information was not there for the people he was aiming at; GGF should try to encourage putting the house handicaps and results on the San Francisco Chronicle's website. Executive Director Rick Baedeker stated if people coming to a race track were polled one would find it was an overwhelmingly difficult experience; nobody would want to come back and very few did. If they did come back, they would bet the color, number, or name of the horse; that was good for once or twice a year. He said he had once

determined in a single race of 10 horses there were 12,000 variables in the past performance; who would buy that product? He stated the same young people that would not bet on horse racing played fantasy football because it was presented simply, and it was understandable. Chairman Winner stated it did not matter if people were not at the racetrack; GGF had to get them there, and once they were there, GGF had to make it easy for them to come back. He said before one could educate people one must inform them. Chairman Winner stated the Board would discuss the symposium concept and revisit the issue.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADJUSTMENT OF THE 2015 SOUTHERN CALIFORNIA RACING CALENDAR, TO ALLOCATE SEPTEMBER 26<sup>TH</sup> AND SEPTEMBER 27<sup>TH</sup> FROM SANTA ANITA TO FAIRPLEX, AT LOS ALAMITOS.**

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Upon request of the participants this item was removed from the agenda.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADJUSTMENT OF THE 2015 NORTHERN CALIFORNIA RACING CALENDAR TO MODIFY THE ALLOCATED FRESNO OCTOBER 1, 2015 STARTING DATE TO OCTOBER 8, 2015.**

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Executive Director Rick Baedeker stated the parties that testified before the Northern California Race Dates AD HOC Committee meeting on April 15, 2015, came to an agreement. He said Golden Gate Fields (GGF) would close on September 7, 2015, a week earlier than its original date of September 13, 2015. Stockton's race dates changed from September 8 to September 20, 2015, to September 11 to September 20, 2015. He stated GGF would run again from September 24, 2015 to October 4, 2015. Fresno's new date would be October 8, 2015 to October 18, 2015. He said GGF would begin after the conclusion of Fresno's run dates and run through December 2015. Scott Daruty, GGF, requested its race dates within the August to October timeframe be

considered one race meet for purposes of its application. He stated GGF had standalone audited financials and the documents would be submitted to the Board. Chairman Winner **motioned** to approve the amended race dates presented by Executive Director Baedeker, including the request by Mr. Daruty to make GGF multiple race dates one race meet. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

#### REPORT FROM THE PARI-MUTUEL, ADW AND SIMULCAST COMMITTEE.

2<sup>nd</sup> Vice-Chairman Rosenberg reported on the Pari-Mutuel, ADW and Simulcast Committee meeting on behalf of Commissioner Krikorian. He said Bernie Thurman of Southern California Off-Track Wagering, Incorporated (SCOTWINC), provided a report showing handle trends at all facilities, and expenses and revenue for mini-satellites, to identify the facilities that were helping the industry and those that were not. He said the committee asked the industry to consider merging SCOTWINC and Northern California Off-Track Wagering, Incorporated (NCOTWINC) to improve operations, cut costs, and avoid duplications. The committee asked Chris Korby, California Association of Racing Fairs, and Joe Morris, Thoroughbred Owners of California, to provide the committee with ideas for ways to open more mini-satellites and improve business and revenue at traditional satellites, including options for reducing the 20-mile radius to facilitate the opening of new mini-satellites. He stated the committee asked the parties in attendance at the committee meeting to help establish criteria for possible re-licensing of traditional brick-and-mortar facilities with five year terms. The committee agreed that mini-satellites offered growth for California racing, while some of the traditional satellites needed to either improve their facilities or close down to make room for other interested parties. He said a report from Sportech indicated the two approved minisatellites in Norco and downtown San

Diego were still several months away from opening due to delays in getting approval from the cities involved. He stated the committee heard from the Thoroughbred Racing Protective Bureau (TRPB), Sportech, AmTote, and Pegasus Communications regarding the accuracy of the clocks in the tote system and race videos; the committee determined the time mechanisms and procedures were adequate and reliable; however, staff would continue monitoring the systems for accuracy. 2<sup>nd</sup> Vice-Chairman Rosenberg stated the committee researched tracking customers that used cell phones to place bets through their ADW account while at a brick-and-mortar facility; this would result in lost revenue for racetracks and purses. He said tracking customers with GPS raised privacy issues and the industry would continue researching the issue and report back to the Board once they had found a solution. He stated J. Curtis Linnell, TRPB, provided an update on the tote security plan. TRPB removed the two most expensive parts from its proposal – a secondary independent stop-betting function, and updated odds, displays and decimals, due to push back from the racetrack organizations. TRPB received commitments from the tote companies to speed up their own odds cycles to every ten seconds near the start of the race. He said Aqueduct and Keeneland would work on reducing cycles just before the start of a race; from one minute down to 30 seconds. He stated the TRPB proposal still included the function of providing wager details for each ticket purchased in real time within a few minutes of the close of the pool; this would allow the system the ability to quickly post ticket details on major winners in the Pick 6 and other high-paying exotics. 2<sup>nd</sup> Vice-Chairman Rosenberg stated the committee heard from Sportech regarding major errors in its calculated payouts at Santa Anita and Los Alamitos which resulted in significant delays in cashing tickets for the Pick 5 and the Place Pick 7; each incident was a result of human error. He said Sportech and AmTote put revised procedures in place in an effort to prevent similar errors. He stated the committee

directed staff to work with the tracks and ADWs on ways to improve the dissemination of wagering information to customers, such as late scratches and surface changes. He said Xpressbet was authorized to provide customers at Santa Anita and Golden Gate with wagering tablets to make on-track wagering more convenient. While the project was not a great success, if the California industry signed a contract with AmTote for totalizator services it would give this project a high priority. 2<sup>nd</sup> Vice-Chairman Rosenberg stated that Commissioner Krikorian said he had serious concerns with approving a license agreement for AmTote because of the serious conflict of interest and potential violation of antitrust laws that could occur, and recommended the potential conflicts be thoroughly vetted before a final decision was made to license AmTote. 2<sup>nd</sup> Vice-Chairman Rosenberg stated while he agreed the process in choosing the tote company was flawed, the issue was vetted at a public Board meeting where stakeholders were in favor of using AmTote and agreed that SCOTWINC would change its procedures to remove any appearance of impropriety in the future. Greg Avioli, Game Play Network, provided a report on a game called B Spot that was in its testing phase. The game would introduce horse racing to millions of online game players, and Game Play Network recently received approval from Apple to place the game on iTunes.

**REPORT FROM THE NORTHERN CALIFORNIA RACE DATES AD HOC COMMITTEE.**

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Chairman Winner stated the issue was already discussed.

**MEETING ADJOURNED AT 11:48 A.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

**CALIFORNIA HORSE RACING BOARD**

**MAY 21, 2015**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 2**

**CALIFORNIA HORSE RACING BOARD**

**MAY 21, 2015**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 3**

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING DELEGATING AUTHORITY TO THE EXECUTIVE DIRECTOR  
TO APPROVE CHRB SERVICE CONTRACTS  
ON BEHALF OF THE BOARD

Regular Board Meeting  
May 21, 2015

ISSUE

Fiscal year 2014-15, which began July 1, 2014, was the first fiscal year the Board approved a resolution and order authorizing the Executive Director with the approval of the Chairman and/or Vice Chairman to enter and execute service contracts. This gave the Executive Director, the authority to enter into service contracts, rather than having the Board vote collectively on a list of fiscal year contracts.

The issue is the renewal of last year's action.

ANALYSIS

Pursuant to State Administrative Manual section 2.06, state boards and commissions with contracts in excess of \$5,000.00, must provide a copy of a board motion approving and authorizing execution of the contract.

CHRB staff and contractors benefit from the streamlined contract execution process. Service contracts such as stewards, postmortem testing, maintenance contracts, etc. are specific in scope, include a clear definition of deliverables, indicate a maximum contract dollar value, and require a contractor start and end date. When time is of the essence, an all contract inclusive Board order, which delegates authority to the Executive Director to authorize execution of service contracts, with approval of the Chairman's and/or Vice Chairman, allows staff to process services without delay. Compliance with statewide terms and conditions is still vested with the Office of Legal Services (OLS), and CHRB service contracts in excess of department delegation continue to be reviewed by OLS.

CHRB staff must have written file documentation indicating delegated authority to execute a service contract, which can be defined as an order, delegated by agreement of the full Board. As presented in the previous Board order (FY 2014-2015), the proposed Continuing Resolution and Order will remain in effect for one fiscal year to expire June 30, 2016, unless amended, suspended or vacated by the Board.

RECOMMENDATION

Staff recommends the Board approve the attached Continuing Resolution and Order, delegating full Board authority to the Executive Director, to execute and enter into contracts on behalf of the Board, with the approval of the Chairman and/or Vice Chairman.

**BEFORE THE CALIFORNIA HORSE RACING BOARD****STATE OF CALIFORNIA**

In the Matter of the Authorization to Execute  
Documents and Agreements on Behalf of the  
California Horse Racing Board

Case No. SAC 15-0025

**CONTINUING RESOLUTION AND ORDER**

Pursuant to the authority granted the California Horse Racing Board by virtue of Business and Professions Code section 19428, the California Horse Racing Board at its noticed Meeting thereof May 21, 2015, hereby authorizes the Executive Director, with the approval of the Chairman of the Board and/or the Vice Chairman of the Board, to execute and enter contracts and agreements on the behalf of the California Horse Racing Board, and it is so ordered.

The authority to execute and enter such contracts and agreements is ongoing and shall remain in full force and effect for a period of one (1) year, beginning July 1, 2015 through June 30, 2016, unless amended, superseded or vacated by further Order of the California Horse Racing Board.

CALIFORNIA HORSE RACING BOARD

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Chuck Winner, Chairman

Dated: May 21, 2015

## STAFF ANALYSIS

May 21, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T) AT LOS ALAMITOS RACE COURSE JULY 2, 2015 THROUGH JULY 12, 2015

LARA filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course:

- July 2, 2015, through July 12, 2015, or 8 days, the same as 2014. LARA proposes to race a total of 68 races, or 8.5 races per day. In 2014 LARA was allocated to race 8 days the (actual) average daily purse for the meet was \$339,883. The (estimated) average daily purse for this meet is \$326,892.

July - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- First live post 2:00 p.m., Thursday through Sunday.
- Wagering program will use CHRB and ARCI rules.
- Early wagering will start at 8:30 a.m. and end at 10:00 a.m. Thursday through Sunday.
- Advance Deposit Wagering (ADW) providers are XpressBet, Twinspires, and TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of B&P Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige is appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Bond – expires July 15, 2015.
2. 2014 Financials.
3. Description of facilities set aside for new fans.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of the outstanding item.

OSTATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Brad McKinzie Los Alamitos Racing Assn. 4961 Katella Ave. Los Alamitos CA 90720 714 820-2800 fax 714 820 2813 bradmckinzie@aol.com

B. Breed of horse: TB  QH  H

C. Racetrack name: Los Alamitos Race Track

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

Deposit on file with CHRB

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars. The bond is on file.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: July 2 – July 12

B. Actual dates racing will be held: July 2, 3,4,5,9,10,11,12

C. Total number of days or nights of racing: 8

<b>CHRB CERTIFICATION</b>	
Application received: 4/9/15	Hearing date: 5/21/15
Deposit received:	Approved date:
Reviewed:	License number:

D. Days or nights of the week races will be held:

Wed - Sun     Tues - Sat     Other (specify): Thursday - Sunday

E. Number of days or nights of racing per week: 4

**3. RACING PROGRAM**

A. Total number of races: 68

B. Number of races for each day or night.

8 on weekdays, 9 on weekends

C. Total number of stakes races: 4

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes     No    If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	Weekdays	Weekends
Race 1	2:00 p m	
Race 2	2:30	
Race 3	3:00	
Race 4	3:30	
Race 5	4:00	
Race 6	4:30	
Race 7	5:00	
Race 8	5:30	
Race 9		6:00

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

# Los Alamitos Racing Association

## 2015 Summer Stakes Schedule

Date	Race	Purse	Conditions
7/2	Bertrando Stakes	\$100,000 guar.	Cal-breds/ 3 & up/ One mile
7/4	Los Alamitos Derby GII	\$350,000 guar.	3-year-olds/ 1 1/8 <sup>th</sup> miles
7/11	Great Lady M GII	\$200,000 guar.	Fillies & mares/ 3 & up/ 6.5 fur.
7/12	Summer Juvenile Championship	\$75,000 guar.	2-year-olds/5.5 furlongs

## Attachment 3 D

## 2014 Los Alamitos Summer Thoroughbred Stakes Schedule

Thursday, July 3<sup>rd</sup> (Opening Day)

**\$100,000 guaranteed Betrando Stakes**

A Golden State Series Eligible Event

3-Year-Olds & Up / California Bred or California Sired / One Mile

Friday, July 4<sup>th</sup>

**\$75,000 guaranteed American Flag Stakes**

3-year-olds & up / 1 1/16<sup>th</sup> Miles

Saturday, July 5<sup>th</sup>

**\$500,000 guaranteed G2 Los Alamitos Derby**

3-Year-Olds / 1 1/8th Miles

Original Nominations Close May 1, 2014

Sunday, July 6

**\$75,000 guaranteed Cypress Stakes**

3-year-olds & up, fillies and mares/ 1 1/16<sup>th</sup> Miles

Saturday, July 12

**\$200,000 guaranteed G2 Great Lady M Stakes**

Fillies and Mares / 3 & Up / 6 ½ Furlongs

Sunday, July 13 (Closing Day)

**\$100,000 guaranteed Cinderella Stakes**

2-Year-Old Fillies / 5 ½ Furlongs

Sunday, July 13

**\$100,000 guaranteed Willard L. Proctor Memorial Stakes**

2-Year-Olds / 5 ½ Furlongs

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file. See Los Alamitos Quarter Horse Racing Assn.

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: C1650097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
 Dr. Edward C. Allred, President, Director 100%  
 Brad McKinzie 0% Vice-President, General Manager 0%  
 Rick English, chief financial Officer, Director 0%  
 Tom Siebly, director 0%  
 Don Valpredo 0%  
 Frank Sherren, Director 0%  
 Cathy Monji, Director 0%  
 Jack Liebau, Director 0%  
 Richard Shapiro, Director 0%  
 Mike Harrington, Director 0%
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: none
6. Number of outstanding shares in the corporation: none
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

Quarter Horse Racing Inc.

- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading?      Yes      No   
 If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12.      Yes       No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading?      Yes       No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **Please see previous submittal.**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

\*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C. Allred, President, CEO  
 Brad McKinzie, Vice President, General Manager  
 Rick English, Chief Financial Officer  
 Robert Moreno, Racing Secretary  
 Orlando Gutierrez, Publicity Director  
 Frank Sherren, Plant Superintendent  
 Rick Hughes, Track Superintendent  
 Dennis Moore, Track Consultant  
 Robert Digiovanni, Director of Mutuels  
 Michael Wrona, Track Announcer  
 Alex Soltera, Director of Security  
 Robert Hurd, Director of Operations  
 Mike Monji, Director of Food Services  
 Ken Walker, Director of Labor Relations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).  
 Brad McKinzie 4961 Katella Ave. Los Alamitos Ca 90720 [bradmckinzie@aol.com](mailto:bradmckinzie@aol.com)

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5, Daily  
Double

Proposed percentage: P5 14 %  
 DD - 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • [www.losalamitos.com](http://www.losalamitos.com)

April 20, 2015

Brad McKinzie  
Vice-President/General Manager  
Los Alamitos Racing Association  
Los Alamitos, CA

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
Sacramento, CA

Dear Mr. Baedeker,

Los Alamitos Racing Association would like to request two takeout modifications for our upcoming July thoroughbred meeting. We are requesting a 14% takeout on our Pick Five wager, as well as a 20% takeout rate for our Rolling Doubles.

These requests are being made to mirror the wagering format offered by Santa Anita during the meeting that precedes our LARA meeting.

Thank you for your consideration on this matter.

Sincerely,

Brad McKinzie  
Los Alamitos Racing Assn.



May 1, 2015

**OFFICERS**

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Los Alamitos 2015 Summer Thoroughbred Race Meet

**DIRECTORS**

BOB BAFFERT  
JIM CAHILL  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming race meet from July 2-12, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

**EXECUTIVE STAFF**

JOE MORRIS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

Sincerely,

Joe Morris  
President

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM



May 1, 2015

**OFFICERS**

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

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TERRY LOVINGIER  
WILLIAM E. MOREY  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

RE: Los Alamitos 2015 Summer Thoroughbred Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet from July 2-12, 2015. The TOC agrees to such a wager.

**EXECUTIVE STAFF**

JOE MORRIS  
PRESIDENT  
KELLEE BREEN  
CHIEF FINANCIAL OFFICER  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF  
OPERATIONS

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

**CHAIRS EMERITUS**

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cc: Brad McKinzie, Los Alamitos

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WWW.TOCONLINE.COM

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. n/a

Year	Handle	Attendance
2014	\$48,392,522	On-track - 29,243

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

**A. Purse distribution:**

1. All races other than stakes:  
 Current meet estimate: \$1,686,960  
 Prior meet actual: \$1,644,940  
  
 Average Daily Purse (7 A1 ÷ number of days):  
 Current meet estimate: \$210,870  
 Prior meet actual: \$205,617
2. Overnight stakes:  
 Current meet estimate: 0  
 Prior meet actual: 150,000  
  
 Average Daily Purse (7 A2 ÷ number of days):  
 Current meet estimate: 0  
 Prior meet actual: \$18,750
3. Non-overnight stakes:  
 Current meet estimate: \$565,000  
 Prior meet actual: \$855,750  
  
 Average Daily Purse (7 A3 ÷ number of days):  
 Current meet estimate: \$70,625  
 Prior meet actual: \$106,968
4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$2,251,960  
 Prior meet actual: \$2,650,690

**B. California-bred Stakes Races:**

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
 Current meet estimate: 15%  
 Prior meet actual: 10%

Average Daily Purse (7 B1 ÷ number of days):  
 Current meet estimate: \$9,375  
 Prior meet actual: \$8,000

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: \$319,807  
 Prior meet actual: n/a
- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

TOC - \$19,536

CTT Administration - \$9,768

CTT Pension - \$19,536

Workers Comp - \$19,536

Total \$68,376

Prior meet actual:

TOC - 19,536

CTT Admin, - 9,768

CTT Pension 19,536

Workers Comp - \$19,536

Total \$68,376

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):  
 Current meet estimate: \$2,615,143  
 Prior meet actual: \$3,038,873

Average Daily Purse (7 E ÷ number of days):  
 Current meet estimate: \$326,892  
 Prior meet actual: \$379,859

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):  
 Current meet estimate: \$1,794,947  
 Prior meet actual: \$1,832,922

Average Daily Purse (7 F ÷ number of days):  
 Current meet estimate: \$224,368  
 Prior meet actual: \$229,115

- G. Purse funds to be generated from interstate handle:  
 Current meet estimate: \$433,744  
 Prior meet actual: \$426,401

Average Daily Purse (7 G ÷ number of days):  
 Current meet estimate: \$54,218  
 Prior meet actual: \$53,300

- H. Bank and account number for the Paymaster of Purses' purse account:  
 Bank of the West 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # - On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Bowen, McBeth, Inc. 10722 Arrow route, Suite 110  
 Rancho Cucamonga, CA 91730 909-944-6465 Email - craigbmiller@verizon.net

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
820
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,545
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
Santa Anita – 1,900  
San Luis Rey Downs – 495  
Galway Downs - 150
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
SCOTWINC Off-site Stabling and Vanning Agreement thru 12-31-2015 on file with board.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$16.25
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:  
Santa Anita - \$180 RT San Luis Rey Downs - \$360 RT

9 PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race:	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 Superfecta	CHRB #1959,1979,1957,1977,1976.9,1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$0.50PNP4 Superfecta	CHRB 1957 #1959,1979,1977,1976.9,1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$0.50PNP6 Superfecta	CHRB #1957 #1959,1979,1977,1976.9,1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 \$0.50PNP6 Superfecta	CHRB#1957 #1959, 1979,1977,1976.9,1979.1
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$.50PNP4 Superfecta	CHRB #1957#1959,1979,1977,1976.9,1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 Superfecta	CHRB #1957 #1959,1979,1977,1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB#1957 #1959,1979,1977,1957,1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB #1957 #1959,1979,1977,1957,1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3\$2DD Super H5 Superfecta	CHRB #1957 #1959,1979,1977,1957,1979.1:ARCI #004-105 Subsection X Pick (n) Position (x) Pools)

\$2 Rolling Daily Double. 20% takeout . Placement of last DD will depend on # of races.

\*\$1 Trifecta on all eligible races . (CHRB 1979)

\* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)

\* Incoming simulcasts will follow host track format.

\* \$2 PNP6 last six live races 70% carryover, 30% minor. Alternate runner provision (CHRB 1976.9)

\*\$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)

\* \$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover

\$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race.

100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:

Unlimited. Final distribution July 12, 2015

C. List any options requested with regard to exotic wagering: n/a

D. Will "advance" or "early bird" wagering be offered? Yes  No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

Each race day from 8:30 a.m. to 10:00 a.m.

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Sportech. Expires September 2015

10. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- XpressBet
- Twinspires
- TVG

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting..

CHRB has documents on file

- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval. Approval is expected prior to board meeting

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Southern California Off Track Wagering Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
SCOTWINC agreement is on file.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
See Attachment
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
See Attachment
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: See Attachment
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
See Attachment
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

April 9, 2015

Mr. Brad McKinzie  
 Los Alamitos Racecourse  
 4961 Katella  
 Los Alamitos, CA 90720

RE: 2015 Los Alamitos Summer Race Meeting ADW Approvals

Dear Brad,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Racecourse (LARC) race signals under the terms and conditions set forth below.

LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire (subject to arbitration), WatchandWager, Game Play Network Inc. and Bet America to accept wagers on LARC and other Thoroughbred races during the 2015 Los Alamitos Summer race meeting, July 2 - 12, 2015, as follows:

1. **California wagering on LARC races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
  - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
  - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on LARC races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager, Game Play Network and Bet America.

Very truly yours,



Joe Morris  
 President

cc: Tom Varela, Monarch Content Management

**OFFICERS**

MIKE PEGRAM  
 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.  
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**CORPORATE OFFICE**

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 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

## SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino  
1932 Wildcat Canyon Rd.  
Lakeside, CA 92040

Cabazon Fantasy Springs Casino  
84-245 Indio Springs Dr.  
Indio, CA 92201

Surfside Race Place at Del Mar  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

Hollywood Park  
3883 W. Century  
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair  
46-350 Arabia St.  
Indio, CA 92201

Sports Pavilion at The Farmer's Fair  
18700 Lake Perris Dr.  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
2551 West Ave. H  
Lancaster, CA 93536

Santa Anita Park  
285 W. Huntington Dr.  
Arcadia, CA 91007

Sports Center at National Orange Show  
930 S. Arrowhead Ave.  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
2201 W. White Ave.  
Pomona, CA 91768

Sycuan Gaming Center  
5469 Casino Way  
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)  
10 West Harbor Blvd.  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
14800 7th St.  
Victorville, CA 92392

Viejas Casino & Turf Club  
5000 Willows Rd.  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
6131 E. Telegraph Rd.  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
2369 S. El Camino Real  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
121 Brooks Street  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
1423 S. Bradley Rd.  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
23221 Lake Center Drive  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
21615 Soledad Canyon Road  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
1345 Thousand Oaks Blvd.  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
100 Harbor Drive  
San Diego, CA 92101

Striders Norco (mini-satellite)  
2895 Hamner Ave.  
Norco, CA 92860

Firehouse (mini-satellite)  
7701 White Ave.  
Bakersfield, CA 93313

## NORTHERN CALIFORNIA

Shasta District Fair  
1890 Briggs St.  
Anderson, CA 96007

Big Fresno Fair  
1121 Chance Ave.  
Fresno, CA 93702

Monterey County Fair  
2004 Fairgrounds Rd.  
Monterey, CA 93940

Fresno Club One  
1033 Van Ness Ave.  
Fresno, CA 93721

Alameda County Fair  
4501 Pleasanton Ave.  
Pleasanton, CA 94566

California State Fair & Exposition  
1600 Exposition Blvd.  
Sacramento, CA 95815

The Jockey Club at San Mateo  
2495 S. Delaware St.  
San Mateo, CA 94403

Santa Clara County Fair  
344 Tully Rd.  
San Jose, CA 95111

Sonoma County Fair  
1350 Bennett Valley Rd.  
Santa Rosa, CA 95402

San Joaquin County Fair  
1658 South Airport Way  
Stockton, CA 95206

Stanislaus County Fair  
900 N. Broadway  
Turlock, CA 95380

Solano County Fair  
900 Fairgrounds Dr.  
Vallejo, CA 94589

Bankers Casino (mini-satellite)  
111 Monterey St.  
Salinas, CA 93901

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets	Cedar Downs OTB	Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Oaklawn	Turway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Chester
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	<b>Separate Pool Locations</b>
Royal Beach Casino	Lone Star	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	Tattsbet (Australia)
Derby Jackpot	Meskaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

**2015 Los Alamitos (Thoroughbred)  
Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greenetrack	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	Northville	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	NYRA Account Wagering	Turfway Park
Churchill Downs	Harrington Raceway	Oaklawn	TVG Chester
Club Hípica InTurf	HarringtonBets (DE regional)	Ocean Downs	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	Panama	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	PARX Phone Bet	TwinSpires
Columbus Raceway	Hoosier Park	Penn National	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Penn National Telebet	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Peru	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Race Course	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Plainridge Telephone Wagering	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Player Management Group	Western OTB
Torrington, Waterbury	Keeneland	Pocono Account Wagering	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Pocono Downs and OTB	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Portland Meadows	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Potawatomi Casino/ OTB	Wyoming Downs
Connecticut OTB ADW	Lebanon	Prairie Meadows	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Premier Turf Club	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Presque Isle Downs	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Raceway Park	Yobet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day LLC	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Racing2Day Intl. (Stan James)	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington Park	
Tote Investment Racing	Skydancer Casino OTB	Remington OTB Network	
Randall James Racetrack	Lien Games ADW	Retama	
Millenium Racing	BetAmerica and Offtrackbetting	Racing & Gaming Services	<b>Separate Pool Locations</b>
Royal Beach Casino	Lone Star	Rillito Park	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Park	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Rockingham Account Wagering	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Ruidoso Downs	MIR Books (Nevada)
Delaware	Buffalo Thunder Resort	Running Aces Harness Park	NDS Books (Australia)
Delta Downs	Foxwoods Resort Casino	Saddle Brook Park	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Sam Houston	New Zealand Racing Board
		Valley Greyhound Park	

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carleton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

## 2015 Los Alamitos (Thoroughbred) Import Tracks

Track
Arlington
Assiniboia
Australia Racing
Beulah
Calder
Canterbury
Churchill Downs
Delaware Park
Delta Downs
Ellis Park
Emerald
Evangeline
Fort Erie
Gulfstream
Hastings Park
Indiana Downs
Keeneland
Lone Star
Louisiana Downs
Monmouth
Mountaineer Park
Northlands
NYRA - Aqueduct, Belmont, Saratoga
Penn National
Philadelphia Park (Parx)
Pimlico
Prairie Meadows
Presque Isle
River Downs
South America Racing
Suffolk Downs
Sunray Park
Tampa Bay
Thistledown
Turf Paradise
United Kingdom
Woodbine

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

N/A

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

N/A

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**12. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
 Board of Directors  
 Los Alamitos Racing Assn.  
 4961 Katella Ave.  
 Los Alamitos, CA 90720
  
- B. Names and addresses of the trustees or directors of the distributing agent:  
 Rick English , Los Alamitos Racing Assn. 4961 Katella Ave. Los Alamitos, CA 90720
  
- C. Dates the association will conduct races as charity racing days OR:
  
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:

Bob Moreno	Racing Secretary
Tora Yamaguchi	Asst. Racing Secretary
Charles McCaul	Clerk of Scales
Melanie Stubblefield	Clerk of the Course
Jennifer Paige	Horse Identifier
Victor Tovar	Horseshoe Inspector
Karen Denovel	Paddock Judge
Ruben Hernandez	Patrol Judge
Karen Deneval	Patrol Judge
Kevin Colosi	Placing Judge
Dawn Schmid	Placing Judge
Gary Brinson	Starter
Russ Hudak	Timer/Morning Line Maker
Dr. Dana Stead	Association Veterinarian

- B. Management officials in the racing department:  
 Director of Racing Bob Moreno  
 Racing Secretary Bob Moreno  
 Assistant Racing Secretary Tora Yamaguchi  
 Paymaster of Purses Dawn Jackson  
 Others (identify by name and title)

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Weinstein Court Reporters  
 P O Box 26634  
 Santa Ana, CA 92799  
 949-637-6071  
 Mderieg@weinsteincourtreporters.com

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp. USA – Bill O'Brien  
 Expires: Dec. 31, 2015

E... Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Jim Porep, President Pegasus Communications Inc.  
 16633 Ventura Blvd. Suite 1010  
 Encino, CA 91436  
 Contract Expiration – April 21, 2017

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Electronic timing devices maintained and operated by Los Alamitos Race course personnel. Systems backed up by hand timing.

**14. SECURITY CONTROLS**

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Alexander Soltero, Chief of Security  
 714-820-2660 frontside  
 Backside Security Office – 714-820-2832  
 Organization Chart – See Attachment

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	1 Stable Security Manager	5 Gatemen
3 Investigators	20 Security Guards	

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Unless directed otherwise by CHRB it is not the plan of Los Alamitos Racing Association to use a detention barn for stakes run during our 2015 summer meet. Written plan for stakes security is attached.

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

B. Number of security guards in the detention stall area during a 24-hour period.  
 We do not plan to utilize a detention barn

## Attachment 14.1 / 14.2

### Program for Enhanced Security for Stakes Races

During the thoroughbred racing season at Los Alamitos all stakes races with a purse of \$100,000 or more will be subject to enhanced security procedures conducted by Los Alamitos Race Course personnel.

For all stakes with purses of \$100,000 or more there will be security personnel assigned to each barn with a horse(s) entered in that race. This security guard will monitor all individuals entering the barn and they will all be equipped with a video recording device to record that activity.

For the upcoming summer racing season the following races will be subject to this increased security program –

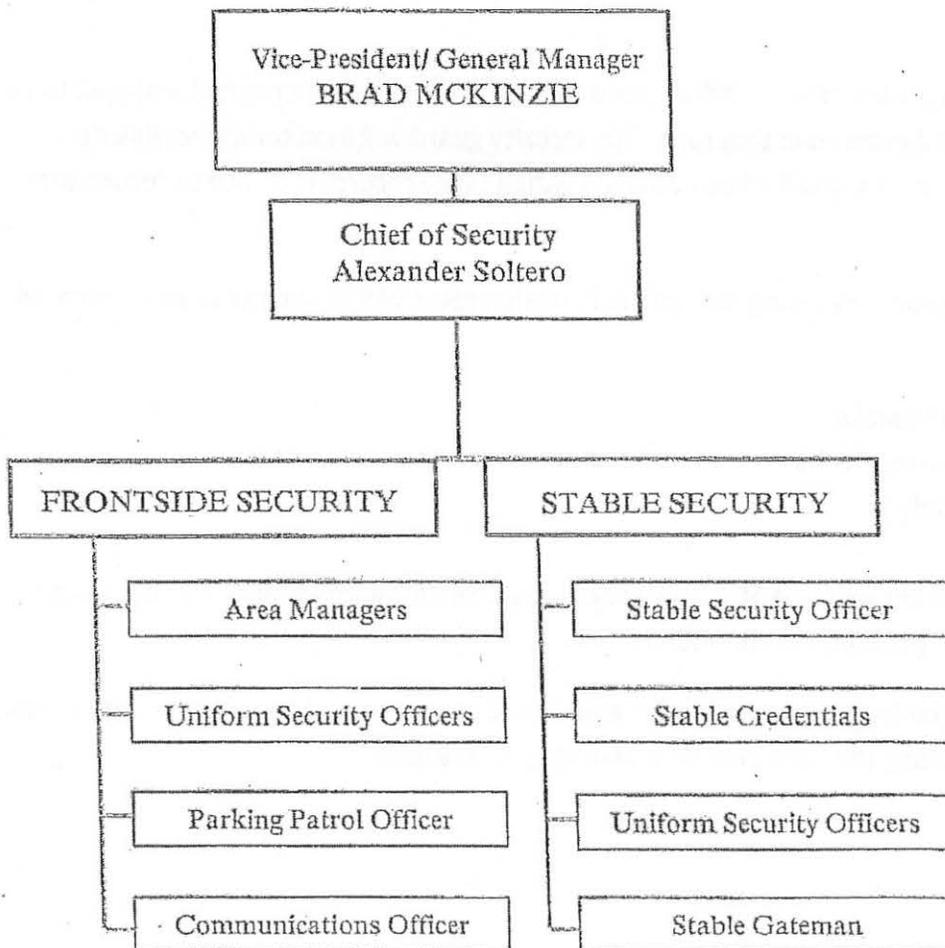
\$100,000 Bertrando Stakes  
\$350,000 Los Alamitos Derby  
\$200,000 Great Lady M

As per our agreement with the TOC, this added surveillance will commence no later than 6 hours prior to the post time of the subject race.

This enhanced security coverage will be in addition to a minimum of 2 armed security personnel who will be patrolling the barn area on a 24-hour per day basis.

# LOS ALAMITOS RACING ASSOCIATION

## Security Live Racing



- C. Describe number and location of surveillance cameras in detention stall area.  
We do not plan to utilize a detention barn

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.  
Will follow CHRB directives

- C. Plan for enhanced surveillance for trainers with high-test results.  
CHRB directives will be followed

- C. Plan for detention stalls for repeat offenders. CHRB directives to be followed

- D. Number of security personnel assigned to the TCO2 program.  
As per needed according to CHRB directives

- C. Describe the electronic security system: We do not have an  
electronic security system

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Los Alamitos does not have video surveillance cameras in these locations

- D. For night racing associations. Describe emergency lighting system:

We are not a night racing Association. All thoroughbred racing will be during daytime hours

## 15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

Huntington Ambulance Service  
P O Box 145  
Sunset Beach, CA 90742  
562-904-1550  
Track # 714-820-2845

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

San Luis Rey Downs  
North county fire Protection District  
31403 Old River Road  
Bonsall, CA 92003  
Phone - 911

Santa Anita  
AmbuServe  
15105 S. Broadway  
Gardena, CA 90248

## CHRB Item 15.1 – Explanation of lack of paramedic staff

Unlike Los Angeles County, which allows for the licensing of private paramedic services, all paramedic services in Orange County fall under the jurisdiction of the Orange County Health Care Agency, Department of Emergency Medical Services and that agency does not license private paramedic services within the county.

All paramedic services within Orange County are provided by the Orange County Fire Authority and there is no provision within current guidelines that allow for OCFA units to be staged for activities of private events such as horse racing.

Because of this guideline, all racing events at Los Alamitos are covered by two fully equipped ambulances staffed by state certified EMT's. These EMT's and our ambulance service also fall under the jurisdiction of the Orange County Emergency Medical Services and our operations at the track are reviewed on an annual basis. I have included our last review with the suggestions of OCEMS which have been implemented by our track.

It is important to note that while our track is not covered by paramedics, the protocol for having paramedics on the scene in case of an accident has been reviewed and approved by the OCEMS.

The nearest paramedic staffed fire station to Los Alamitos Race Course is literally across the street. This proximity is no accident. The OCEMS will tell you that the site was chosen for the specific reason of its proximity to the race track.

In case of an accident that requires paramedic response, our Huntington Ambulance EMT's will stabilize the patient and will meet the OCFA paramedic unit at the pre-designated location directly across the street from the fire station. This location is accessed through a gate on our backstretch that we have shown on the enclosed graphic.

Not only does the OCEMS approve of this system, as you can see from our latest review, they believe having experienced EMT's handle the initial care of the jockey before handing the patient off to paramedics has benefits.

# Huntington Ambulance, LLC

CHRB  
1010 Harley Way #500  
Sacramento, CA 95835

April 8, 2015

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,

Stacey O'Bryan - President  
Huntington Ambulance, LLC

RIVERSIDE COUNTY  
EMERGENCY  
MEDICAL SERVICES AGENCY

AMBULANCE OPERATOR  
PERMIT IS ISSUED TO:

SYMONS AMBULANCE  
18592 CAJON BLVD.  
SAN BERNARDINO, CA 92407

IS PERMITTED TO OPERATE BLS/CCT AMBULANCE  
SERVICE IN RIVERSIDE COUNTY

7/1/2014

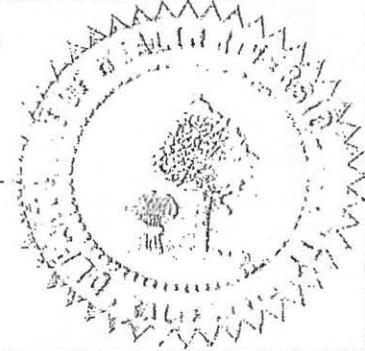
Issued Date

6/30/2015

Expiration Date



Bruce Barton, Director  
Riverside County Emergency Medical Services Agency





**AmbuServe**  
Ambulance



May 12, 2015

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc  
15105 S. Broadway  
Gardena, Ca 90248  
(310) 644-0500

Respectfully,

William Gonzales  
Paramedic Supervisor

Bryan Rusling  
310-644-0500

Galway Downs  
Symons Ambulance Service  
28078 Baxter Rd  
Muttietta, CA 92563

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Certifications are on file with CHRB

D. Describe the on-track first aid facility, including equipment and medical staffing:

Staffing – Contracted track physician, four certified EMT's, 2 licensed emergency ambulances

Equipment – First Aid supplies; Oxygen equipment; blood pressure monitoring; backboard, cervical collar; 3 patient beds.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Dr. Paul Anile 714-820-2710**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos General Hospital

3571 Katella Ave.

Los Alamitos, CA 90720

562-598-1311

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Los Alamitos Medical Center

3571 Katella Ave.

Los Alamitos, CA 90720

562-598-1311

Long Beach Memorial Hospital (Certified Trauma Center)

2801 Atlanta Ave.

Long Beach, CA 90806

562-933-2000

UC Irvine Medical Center (Certified Trauma Center)

101 The city Drive

Orange, CA 92868

714-456-7890

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: See Attachment

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Frank Sherren

# 15 F

## EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

### FIRST RESPONSE

- \*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified EMT's will respond immediately to the location of the accident.
- \*EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

### ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the EMT's.
- If EMT's request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- If the injuries are not deemed serious by either the EMT's or Paramedics, the rider will be directed either to a local emergency room or the Track Physician for evaluation. It will be the policy of the Track Doctor that with the exception of the most minor accidents the injured jockey be evaluated immediately by an emergency room doctor in a medical setting. Should a jockey refuse treatment recommendations from EMT's, Paramedics or the Track Doctor, they will be required to sign a Refusal of Medical Treatment form and their ability to continue riding on that day's program will be determined by the CHRB Stewards.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

# 15 F

**PROCEDIMIENTOS DE EMERGENCIA MÉDICA  
PARA LESIONES A JINETES EN EL HIPODROMO  
PRIMERA RESPUESTA**

\* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

\* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

**EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE**

- Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballos sueltos, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre - designado a las afueras de Los Alamitos en la valla al fondo de las pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinaran si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran grave por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisarios Deportivos de CHR.B.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre esta abierta.

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Self Insured through Finish Line Self Insurance Group Certificate # 4524-001
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**16. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions?    Yes        No   

Handicappers –

Bob's Card Inc.  
Tiffany Bohland  
6288 Highland Meadows Dr.  
Medina, OH 44256

CHRB 25-A and CHRB -87 on file

Winner's Card Corp.  
c/o Don Harris  
924 Knob Hill Ave.  
Redondo Beach, CA 90277

CHRB 25A and CHRB – 87 on file

Today's Racing Digest  
Jason Karches  
2080 Las Palmas Dr.  
Carlsbad, CA 92009

Exempt from CHRB 25A and 87

Triangle Turf Winners  
William Archer  
1 Scott St.  
Gardnerville, NY 89410

CHRB 25A and CHRB 87 on file

Number: 4524-001

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

## CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That  
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL  
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE  
(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.  
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

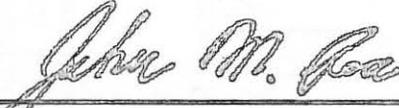
This certificate may be revoked at any time for good cause shown.\*

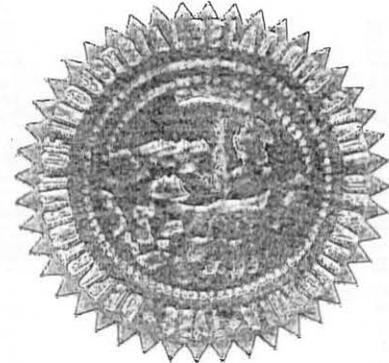
EFFECTIVE:

THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS  
OF THE STATE OF CALIFORNIA

  
\_\_\_\_\_  
MARK T. JOHNSON, MANAGER

  
\_\_\_\_\_  
JOHN M. REA, DIRECTOR



\*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.



# ORANGE COUNTY FIRE AUTHORITY

Community Risk Reduction – Safety and Environmental Services Section / Area 1

8081 Western Av, Buena Park CA 92620 – [www.ocfa.org](http://www.ocfa.org) – (714) 527-9475 / Fax (714) 229-8801

October 15, 2014

Los Alamitos Race Course  
4961 Katella Ave.  
Los Alamitos, CA 90720  
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to [doughartman@ocfa.org](mailto:doughartman@ocfa.org).

Respectfully,

Douglas A. Hartman,  
Senior Fire Prevention Specialist

**17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans: for the race meeting:

C. Promotional/ Marketing budget for this race meeting:

D. Promotional/Marketing budget for prior race meeting:

\$155,261

C. Number of hosts and hostesses employed for meeting: 6

D. Describe facilities set aside for new fans:

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: 1. Horsemen -2. Fans -3. Facilities in the restricted areas -

100 additional stalls constructed

New quarters for 40 grooms added including additional showers and restrooms

New diagnostic/treatment veterinary clinic added on track

**SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

Admission (general) - \$3

Admission (clubhouse) \$5

Turf club \$10

Reserved seating (general)

Free

Reserved seating (clubhouse)

Free -

Parking (general)

Free

\$6 -Preferred

Parking

\$10 Premium

Parking

Parking (valet) none

Programs (on-track)

\$3

(off-track) \$3

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club Season Tables - \$480 / Grandstand Season Tables - \$250

C. Describe any "package" plans such as combined parking, admission and program:

We will not be offering a "combined" package

# 2015

## Los Alamitos Summer Thoroughbred Season

### Marketing Plan

#### Overview

The marketing plan for the 2015 Los Alamitos summer thoroughbred season is a direct product of the lessons learned from our inaugural 2014 thoroughbred meetings. While the problems we face of short meets lodged between major meets still remains, Los Alamitos will attempt to tackle those problems in 2015 with a different marketing strategy mix.

The major problem facing Los Alamitos is the difficulty in building customer awareness and customer loyalty when you are open for business with such a sporadic schedule. When we open our 2015 summer season on July 2 it will have been six months since Los Alamitos offered live thoroughbred racing during our December meet.

Six months with no product or presence in front of thoroughbred racing fans and when we finally do open on July 2 we have a total of eight racing days to sell our product to on-track and off-track customers. Once those eight days are over, we are once again on the sidelines for another two months until our September fall meeting.

Racetracks with much longer schedules and more established traditions are experiencing great difficulty is just holding on to an existing fan base and trying to build a new fan base for Los Alamitos thoroughbred racing has proven difficult to say the least when faced with our current racing schedules.

Difficulties aside, this summer Los Alamitos will attempt to build on our 2014 season with a new marketing strategy. We will give exact marketing plans and budgets in following pages, but we would first like to offer an overview of our strategies.

#### Build From Your Strengths

It is just an absolute fact that the majority of our fan base at Los Alamitos comes from a 15-mile radius around our racetrack. We have learned this from studying the direct mail lists we develop over the year from our live thoroughbred and quarter horse meets as well as our daytime satellite meets.

Our marketing plans for our 2015 summer meet will focus much more on reaching the market that lives within that 15-mile circle by using a mix of directed cable television buys and direct mail campaigns to reach our most promising fan base.

## Use a Major Event as a Marketing Tool

While it does not directly show on our marketing budget, the \$350,000 Los Alamitos Derby is the cornerstone of our summer marketing plan. There have been questions raised on why we would devote so much money from our purse pool to one race and for us the answer is that we don't feel we have a choice.

For six months Los Alamitos thoroughbred racing has been off the radar of horseplayers sitting in satellite betting facilities from Santa Clara to Miami and we have been equally absent from the living rooms of the growing base of ADW players. These horseplayers, who make up roughly 85% of the handle generated at our meet and at just about every meet, have a daily all-you-can-eat buffet of racing signals to choose from. We feel that Los Alamitos needs an event that will not only catch their attention on race day, but in the days leading up to our meeting as well.

The power of a major event in generating interest and handle from horseplayers is easily seen when you look at the handle figures from last year's Los Alamitos Derby.

Race	WPS Pool	Exacta Pool	Trifecta Pool
Los Alamitos Derby/July 5	\$501,614	\$223,606	\$148,499
Next Biggest July 5 Race	\$249,048	\$130,663	\$83,307
\$200,000 Great Lady M (run Saturday, July 12)	\$299,336	\$150,561	\$102,244

In 2014 the return of Shared Belief to the races in the Los Alamitos Derby generated national and local media attention and fan interest for our summer meet well before our opening day. Once again in 2015 we hope to draw big name nominees to this race in order to get the racing world talking about our summer meet in the days and weeks leading up to the meet. With only eight days of racing, this pre-meet hype is absolutely essential to any success we might enjoy.

## Form Partnerships to Deliver Our Message

The ability to generate only eight days of total revenue from our summer thoroughbred season certainly impacts our marketing budget, however this summer Los Alamitos will be forming partnerships with local businesses and charities to try and build our on-track customer base with new racing fans.

This summer Los Alamitos has reached out to the business community in our neighboring cities to help us encourage new fans to give thoroughbred racing a try. Los Alamitos is offering employees of these local businesses numerous incentives to come to the races in July including free admission, free preferred parking as well as special seating sections to make sure new fans are sitting among fellow employees and friends.

Los Alamitos has also formed partnerships with several major local charity organizations to not only encourage new fans to come to the races, but to increase our visibility as an important part of our local community.

## Marketing Plans/Budgets

### **Local Cable Television Budget - \$30,000**

This cable television buy will be largely targeted within the 15-mile radius of our strongest fan base. The buy will be very heavy in the days and weeks leading up to the meet and will be targeted to shows that fit our demographics with a leaning to sport broadcasts. For a larger reach we will also be taking spots on the Angel and Dodger broadcasts. To reach the Hispanic market, a portion of this budget is earmarked for ESPN Deportes.

### **Local Newspaper Budget - \$20,000**

This media buy will be divided between the Orange County Register, the Long Beach Press Telegram and the local Cypress weekly newspaper. Part of this budget is committed to the OC Register as part of the package Los Alamitos negotiated with the paper to run not only our nighttime quarter horse results and entries, but the results and entries from all southern California thoroughbred meets as well.

### **Daily Racing Form/Trade Publications - \$29,000**

Advertising in the DRF is one of the only ways we can reach our satellite and out of state players. We will focus this advertising message on our major races as well as our low takeout wagers such as the Players Pick Five. We will also be promoting the sizeable Pick Four pools we reached during our 2014 thoroughbred meetings. This DRF program not only includes advertising buys, but subsidizing such player benefits as free downloads of Los Alamitos past performance lines. In addition to the DRF, this budget also includes buys with other trade publications such as The Paulick Report which we use to promote daily events such as a Pick Six carryover.

**TVG - \$25,600**

As with the DRF, this advertising buy is designed to reach our important off-track customer base. In addition to the usual media buy, this TVG budget includes the cost of an extra director and producer on our live telecast to increase the quality of our broadcast.

**Radio - \$11,000**

This radio buy is centered on local horseracing radio shows as well as local Spanish radio shows. In addition to the local radio buy, Los Alamitos also sponsors a New York-based horseracing show during our summer thoroughbred season in an effort to reach east coast satellite players.

**Direct Mail/Promotional Items - \$28,000**

Los Alamitos will produce and send two direct mail pieces to our current fan base mailing list during our summer season. This mailing list is updated and grown each year by using such tools as big screen television drawings on Kentucky Derby day. This year our direct mail campaign will include a giveaway item and Mystery Mutuel voucher on opening weekend.

**Television, Radio Spots Production Costs - \$6,500****Additional Summer Season Marketing Staff - \$18,340**

In addition to the usual seasonal marketing staff additions of in-house satellite broadcast host, replay show host and on-track fan hostesses, there are two key personnel additions for the 2015 summer thoroughbred meet.

Bob Mieszerski – A former Los Angeles Times handicapper and racing writer, Mieszerski's duties with Los Alamitos during our summer season include handling media-relations and press releases as well as producing handicapping selections for our Los Alamitos racing program.

Tony Alevato – A former Vice-President with TVG, Alevato will join the Los Alamitos marketing staff this summer with a focus on sharpening the look of our racing broadcast with the goal of making our telecast more appealing to off-track players. In addition, Alevato will work with TVG and other ADW providers to maximize the coverage of Los Alamitos thoroughbred races.

**Local Business/Charity Organization Outreach - \$7,000**

For local businesses, Los Alamitos will be producing individualized free passes that will designate a special racing day in honor of that company. On that day a race will be named in honor of the company and the employees will be given seating in a designated reserved seating area. When employees arrive at the track they will be given a free racing program, as well as free

handicapping selections. A track hostess will be assigned to the company's seating area to assist newcomers in enjoying the racing experience.

Los Alamitos has also formed a partnership with several local charities to promote our meet and raise funds for these local charities. The charity organizations are given individualized coupons produced by Los Alamitos which they will sell in their community. The charity will keep all of the money generated from these sales with Los Alamitos providing free admission, parking and seating to the buyers of the charity coupons.

The only cost of this program allocated to our marketing budget is the actual cost of producing the individualized passes and coupons.

### **Los Alamitos Racing Program**

While no set cost is assigned to the production of our Los Alamitos thoroughbred racing program we have strived to make this important marketing tool more fan friendly to both new and established players. A basic way we have tried to accomplish this goal is simply to increase the size of our racing program (displayed with attachment). Where other racing programs are 8.5 inches in height, the Los Alamitos program is a full 11 inches in height giving us more room to try and make our program more readable for our patrons (shown in attachment). In addition, Los Alamitos tries to make our race preview page more accessible to new fans by including easy to understand handicapping selections as well as including photos of trainers and jockeys on the page to personalize the sport to our fans. Los Alamitos is also the only track in California to go with a 72-hour entry schedule which allows us to produce our program one day earlier than other tracks. This earlier production schedule gives us the opportunity sell our next day program to fans leaving the track the day before which once again hopefully has a positive impact on handle.

**Total Summer Season Marketing Budget - \$175,440**



Pfel, two time Los Alamitos stakes winner and winner of the \$200,000 Los Alamitos Mile

# Winter Thoroughbred Meet

December 4 through December 21

First Post - 12:30 pm

Live Daytime Racing Thursday through Sunday



## LOS ALAMITOS RACING ASSOCIATION

Thoroughbred Racing at Los Alamitos Race Course



### - Santa Anita Park -

OFFICIAL DAILY  
RACING PROGRAM

Spring Meet  
April 25 through June 28, 2015

# 7th RACE

## A Closer Look...

Race Analysis  
by Bob Mieszerski



Craig Dollase sends out Toledo Eddie.

3-Year-Olds & Up, allowance/claiming, claiming price \$40,000, One Mile

↑ Durango Flier,  
American Act,  
Knock Em Flat,  
Compari

↓ Flat Gone,  
Wrightwood

Top Rated  
Speed Figure  
of this distance

Got Even  
Toledo Eddie

**Durango Flier** - Beat one at nearly 33-1 versus California bred on turf Oct. 11 at Santa Anita. Hasn't competed on dirt since finishing fifth of eight in sprint debut March, 2013.

**Flat Gone** - Failed to rally against \$50,000 claimers Oct. 16 at Santa Anita. Only win came against maidens Jan. 25 at the Fair Grounds.

**Toledo Eddie** - Hard-trying Idaho bred returns to best surface after finishing second behind upset winner Play Hard to Get Nov. 15 on the Del Mar turf. Gelding is 7-for-11 on dirt.

**Day of Fury** - Won, then ran last in two races since being gelded. Continues to train well for Hall of Fame conditioner, including a 46.20 bullet Nov. 30 at Santa Anita.

**American Act** - Has lost 20 in a row since winning the Harry Flenson Stakes April 26, 2012 at Hollywood Park.

**Wrightwood** - Idle since finishing fifth of 11 in the Los Alamitos Mile Sept. 6. Locally-based sophomore worked six furlongs in 1:12 six days ago.

**Timeless Indy** - Was eased in an optional claimer on Del Mar's Polytrack nearly one month ago. Sharp second behind Senor Rain going shorter in Los Alamitos debut July 12.

**Hello Frankie** - Vanned off the track when 44-1 on the Del Mar turf more than three months ago. Won vs. cheaper on dirt June 26 at Oak Tree/Pleasanton.

**Knock Em Flat** - No match for eventual repeater Yahoo Tahoe when second as the 13-10 choice Oct. 24 at Golden Gate Fields. Only win came in the sloop at Oaklawn Park.

**Got Even** - Close third after showing the way in same race Timeless Indy exits. Appears one to catch.

**Lolo El Canonero** - Finished fifth of six when returned to Polytrack and yet to factor in seven races in the U.S.

**Compari** - Has lost 12 in a row since winning back-to-back on the turf at Santa Anita in 2012. Second behind Regally Soul going shorter in local debut Sept. 5.

### Handicappers Selections

Bob Mieszerski  
Toledo Eddie  
Got Even  
Day of Fury

Mark Ratzky  
Toledo Eddie  
Flat Gone  
Got Even

Start of the Final Double on the card!



Mark Bet Slips South Track  
\$1 Exacta / \$1 Trifecta / \$1 Superfecta (10 Min.) / \$2 Double



7th

Approx. Post 3:30PM

Win	Place	Show

ALLOWANCE OPTIONAL CLAIMING \$40,000. PURSE \$46,000. (PLUS UP TO \$13,800 TO CAL-BREDS) FOR THREE YEAR OLDS AND UPWARD WHICH HAVE NEVER WON \$10,000 OTHER THAN MAIDEN, CLAIMING OR STARTER OR WHICH HAVE NEVER WON TWO RACES OR CLAIMING PRICE \$40,000. Three Year Olds, 122 Lbs; Older, 124 Lbs Non-winners Of A Race At A Mile Or Over Allowed 3 Lbs. Claiming Price \$40,000. One Mile.

Track Record: Soi Phet 125 lbs. 6 y.o. 9-6-14 1:33.95

	Gun Powder Farms, LLC <i>Burgundy, cream triangle, burgundy bars on cream sleeves, burgundy cap</i>	Peter Eurton	20
1	<b>Durango Flier</b> (L) 122 3y.o. Ch. g (CA) by Good Journey - Canli's Rose (Decarchy) Bred in California by B&B Zietz Stables, Inc.	Mario Gutierrez	
2	Don't Tell My Wife Stables (Lessee) <i>Dark green, gold star, gold stars on light green sleeves, dark green cap</i>	J. Keith Desormeaux	12
	<b>Flat Gone</b> (L) 122 3y.o. B. g (KY) by Flatter - Ma Gotla Go (Kayawan) Bred in Kentucky by Linn Davis	Fernando Perez	
3	Art Elbert <i>Navy blue, gold stripe and cuff on sleeves, gold and blue cap</i>	Craig Dollase	5/2
	<b>Toledo Eddie</b> (L) 119 <sup>s</sup> 5y.o. Dk B/ Br. g (ID) by Ministers Wild Cat - Cape Town Charmax (Cape Town) Bred in Idaho by Bilinosley Creek Ranch	Drayden Van Dyke	
4	Natalie J. Baffert <i>Dark blue and yellow diamonds, blue sleeves, yellow seams, blue cap</i>	Bob Baffert (J Barnes)	4
	<b>Day of Fury</b> (L) 122 3y.o. Dk B/ Br. g (KY) by Street Sense - Day of Obligation (Deputy Minister) Bred in Kentucky by Fred W. Herlich III & John D. Fielding	Gary Stevens	
	Howard & Janet Siegel Racing, LLC	Jack Carava	
5	<b>American Act</b>	SCRATCHED	
	Heinz H. Steinmann <i>Blue and green diagonal halves, blue sleeve, green sleeve, blue and green cap</i>	Mike Harrington (J Cisneros)	6
6	<b>Wrightwood</b> (L) 122 3y.o. B. c (KY) by Elusive Quality - Grace Anatomy (Aldebaran) Bred in Kentucky by Clearsky Farms	Rafael Bejarano	
	Zephyr Racing, LLC <i>Red, black Egyptian eye on back, red cap</i>	Richard Ballas	12
7	<b>Timeless Indy</b> (L) 124 4y.o. B. g (FL) by A.P. Indy - Border Dispute (Boundary) Bred in Florida by Besilio Stables, LLC	William Antongeorgi	\$40,000
	Greg Hopper <i>Royal blue, orange "G", blue bar orange sleeves, orange cap</i>	Edward Freeman	20
8	<b>Hello Frankie</b> (L) 124 5y.o. B. g (BC) by Second in Command - Mascarella (Regal Remari) Bred in British Columbia by White House Stables	Alonso Quinonez	
	Britt or House <i>Yellow, purple happy face on back, yellow "B" on navy front, yellow sleeves and cap</i>	Jeff Mullins	6
9	<b>Knock Em Flat</b> (L) 122 3y.o. Dk B/ Br. c (KY) by Flatter - Malamado (Broken Vow) Bred in Kentucky by Usosn Downs Farm	Josaph Talamo	
	Altamira Racing, Chandler, Fink, El Al <i>Silver and red halves, black sash, silver sleeve, red sleeve, black collar and cuffs, red cap</i>	Peter Miller	7/2
10	<b>Got Even</b> (L) 124 5y.o. Dk B/ Br. g (CA) by Stephen Got Even - Kathryn's Birthday (Blare of Trumpets) Bred in California by Madera Thoroughbreds LLC	Edwin Maldonado	
	Dona Licha <i>Gold, green panel, green pinstripes and cuffs on sleeves, green cap</i>	Peter Miller	12
11	<b>Lolo El Canonero</b> (L) 119 3y.o. B. g (KY) by Street Cry (IRE) - Vadahilla (FR) (Danahill) Bred in Kentucky by Ranjan Racing, Inc.	Elvis Trujillo	\$40,000
12	Nicoletti, Harris Farms, Inc. & Valpredo <i>Shocking pink, chartreuse sleeves, shocking pink cap</i>	Martin F. Jones	6
	<b>Compari</b> (L) 124 8y.o. B. g (CA) by Redattore (BRZ) - Southern Charm (Dixieland Band) Bred in California by Harris Farms Inc., Donald Valpredo & John Nicoletti	Tyler Baza	\$40,000

L - Lasix, LT - 1st Lasix, O - Off Lasix, B - Bute, BT - 1st Bute, A - Adjunct Med; \* - California Bred or California Sired  
Equipment Change: Timeless Indy, Lolo El Canonero will race with Blinkers Off  
Durango Flier, Flat Gone - have been gelded since last start.

SELECTIONS: 3-10-4

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# HANDICAPPER'S HELPER

## Race 6 -- Santa Anita

Lifetime record	Record last 5 starts	Horse, Comment	MAJORITY OF SITES
ST 18 W 5 P 2 S 4	W 2 P 0 S 1	1-1'M NOT GRAY—Should be considered a contender. He switches to dirt this afternoon after having won 2 of his last 3 on synthetic footing at Golden Gate. He has won 2 of 3 when racing on dirt here.	Bejarano,R (16,3,18.8) Mathis,A (1,1,100.0) CO..BO (1,1,100.0)
ST 10 W 2 P 1 S 1	W 1 P 0 S 1	2 COMO MI PADRE—Moves down in class to the \$22,500 level after he finished tenth (behind today's foe Oligarch) for \$32,000 on turf here April 3. He has run well on dirt here (7 starts. 2 wins, 1 second, 1 third).	Hicolas,G (15,1,05.7) Belvoir,V (12,1,05.3) CO..BO (1,0,00.0)
ST 17 W 1 P 5 S 3	W 0 P 1 S 1	3-GANGMAN GUY—Ran fourth when competing on turf here April 2, then ended up sixth on turf here April 25. He's switching to dirt this afternoon. He has not finished better than fourth in 3 starts on dirt.	Donzalez,S (17,3,17.6) Canani,J (3,0,00.0) CO..BO (0,0)
ST 22 W 5 P 6 S 6	W 1 P 2 S 0	4-PLANET SUNSHINE—Makes his first start since he finished sixth for \$50,000 on dirt here May 10, 2014. He moves down to the \$25,000 level today. He recorded good workouts here April 25 and May 1.	Valdez,F (14,4,28.6) Vest,T (1,0,00.0) CO..BO (0,0)
ST 18 W 1 P 0 S 2	W 0 P 0 S 1	5-FLAT GONE—Ended up fourth on dirt here March 5, then finished sixth on dirt here March 29. He's coming into this race having lost 11 in a row since he won on dirt at the Fair Grounds on Jan. 25, 2014.	Pena,B (13,0,00.0) Ballas,R (9,3,33.3) CO..BO (0,0)
ST 10 W 3 P 0 S 1	W 1 P 0 S 0	6-SHAME ON ALEX—Deserves consideration. He moves up in class this afternoon after he won by 2 1/4 lengths on dirt here April 2 when he deserved extra credit due to it being his first race since May 17, 2014.	Perer,F (19,2,10.5) Ellis,R (4,0,00.0) CO..BO (0,0)
ST 11 W 3 P 1 S 3	W 1 P 0 S 1	7-GRAZEN'S HOPE—Could prove a tough customer. He finished fourth on dirt here April 12. He's switching to dirt this afternoon.	Ferrel,T (10,1,10.0) Miyadi,S (4,0,00.0) CO..BO (0,0)
ST 54 W 8 P 12 S 11	W 0 P 1 S 1	8-CITY CHAPEL—Finished sixth after a troubled start for \$32,000 on dirt here April 16. This son of Chapel Royal comes into this race having lost 7 in a row since he won on dirt at Los Alamitos last Sept. 20.	Trujillo,E (13,0,15.8) Castaneda,K (0,0) CO..BO (0,0)
ST 41 W 9 P 9 S 7	W 4 P 0 S 1	9-NEXTDOORNEIGHBOR—Has the look of a major player. He's seeking his third straight win. He was victorious in a race on dirt here March 21, then also won on dirt here April 11. He's won 4 of his last 5.	Baze,T (23,4,17.4) Miller,P (8,1,12.5) CO..BO (1,0,00.0)
ST 14 W 5 P 0 S 3	W 1 P 0 S 2	10-RAMULF—Tumbles in class and switches to dirt this afternoon after he finished ninth and lost by 10 lengths on turf here April 17. He has had some success when competing on dirt here (4 starts, 2 wins).	Garcia,M (0,0) Agaric,R (1,0,00.0) CO..BO (0,0)
ST 31 W 5 P 5 S 3	W 1 P 2 S 2	11-OLIGARCH—Belongs on the list of contenders. He won by a small margin on dirt here Feb. 28 (today's foe Nextdoorneighbor was third), then ran third on turf here April 3. He switches back to dirt today.	Prat,F (21,3,14.3) Powell,L (2,0,00.0) CO..BO (0,0)
ST 21 W 4 P 1 S 1	W 0 P 0 S 0	12-REACHFORTHEBUCKS (GB)—Hasn't started since he sixth in a race on synthetic footing at Hollywood Park on May 2, 2013. He's 0 for 11 since he won on synthetic footing in England on Feb. 13, 2012.	Puglisi,I (6,0,00.0) Papaprodromou,G (0,0) CO..BO (0,0)

SELECTIONS: Joe Vitale 9-11-1-7 Michael Pantersey 9-5-11-1

**\$500,000 Guaranteed Late Pick 4**

**BEGINS HERE**

# SANTA ANITA RACE 6

Mark Bet Slips South Trac't  
\$1 Exacta / \$1 Trifecta / \$2 Rolling Double  
\$1 Rolling Pick Three (Races 6-7-8)  
\$0.50 Pick 4 (Races 6-7-8-2) / \$1 Superfecta (10 Min.)

6 FURLONGS **6th**  
Approx. Post 3:07PM  
Berlin Packaging

CLAIMING \$25,000-\$22,500. PURSE \$32,000. FOR THREE YEAR OLDS AND UPWARD. Three Year Olds. 117 Lbs; Older, 124 Lbs Non-winners of a race since March 25 Allowed 2 Lbs. Claiming Price \$25,000. if for \$22,500, allowed 2 lbs. (Maiden and Claiming races for \$20,000 or less not considered). Six Furlongs.

Track Record The Factor 118 lbs 2 y.o. 12-26-10 1:06.90

Lamonie H. Isom Andy Mathis

Red	1-1'M Not Gray	SCRATCHED
P.P.1	Mike Sanchez Lime green, blue "S" on white panel, white bar on lime sleeves, lime cap Como Mi Padre	Vann Belvoir 12 Gonzalo Nicolas \$22,500
White	4 y.o. B. c (KY) by Borrego - Evasive (Evasive Quality) Bred in Kentucky by Gatewood Bell, Kieran McLambin & John A. Manilli	
P.P.2	Lery Lynn Arca Logan Pink, blue sash, pink and blue cap Gangman Guy	J. C. Canani (J. Delgado) 8 Santiago Gonzalez \$25,000
Blue	4 y.o. B. g (CA) by Rol Chamant - The Toast of Troy (Unusual Heat) Bred in California by RAB Zietz Stables, Inc.	
P.P.3	Gulliver Racing, LLC Red, red "G" on black diamond on back, black bar on sleeves, red cap Planet Sunshine	Ted H. West 6 Fajoa Valdez \$25,000
Yellow	7 y.o. B. g (CA) by Benchmark - Sunshine Dreamer (Lucky North) Bred in California by Thomas Shapiro	
P.P.4	Smoke and Mirrors Stables, LLC Brown, yellow circle "SMS" and BROWN 3m stripes on yellow hoop on back, brown bar on yellow sleeves, brown "SD" on yellow cap Flat Gone	Richard Ballas 9 Bryan Parra \$22,500
Green	4 y.o. B. g (KY) by Flatter - Me Gotta Go (Kayrowin) Bred in Kentucky by Linn Davis	
P.P.5	Jay Em Ess Stable Blue, lime "MS", blue bar on lime sleeves, lime cap Shame On Alex	Ronald Ellis 5 Farranco Paraz \$25,000
Black	6 y.o. Ch. g (KY) by Affet Alex - It's a Shame (Forest Wildcat) Bred in Kentucky by Marc Siegal & Samantha Siegal	
	Nick Alexander	Steven Miyadi
Orange	7-Grazen's Hope	SCRATCHED
P.P.6	Tachycardia Stables White, white moon on red ball, red cuffs on sleeves, red cap City Chapel	Kelly H. Castaneda (Martinez, R.) 20 Elvis Trujillo \$25,000
Pink	6 y.o. DK Br. g (KY) by Chapel Royal - Lady Lust (Lord Carson) Bred in Kentucky by David E. Haper, II	
P.P.7	Rockingham Ranch Turquoise, black Rockingham Ranch and horse emblem on back, turquoise "RR" on black diamond, on front, black diamonds on turquoise sleeves, turquoise cap Nextdoorneighbor	Peter Miller 8/5 Tyler Bazz \$22,500
Turquoise	8 y.o. B. g (FL) by Lido Palace (CHI) - Fencelneighbor (Wild Rust) Bred in Florida by John Amerman & Jerry Amerman	
P.P.8	KM Racing Enterprise, Inc. Blue, lime emblem, blue "KM" on back, black and lime green infinity emblem on front, lime green sleeves and cap Ramulf	Ricky Agaric 20 Martin Garcia \$25,000
Purple	5 y.o. Ch. h (KY) by Malibu Moon - Sindy Jacobson (More Than Ready) Bred in Kentucky by Affirmed Investment Corp	
P.P.9	Convergence Stable, LLC Navy blue, yellow emblem "C" on back, yellow diamond stripe on sleeves, yellow cap Oligarch	Leonard Powell 5/2 Flavian Prat \$25,000
Gray	7 y.o. B. g (FL) by Congrats - For All Who Dream (Etish) Bred in Florida by Rosebrook Farms LLC	
P.P.10	Debbie Gibbons Forest green, orange stars, orange bar on sleeves, forest green cap Reachforthebucks (GB)	George Papaprodromou 30 leggy Puglisi \$25,000
Lime	7 y.o. Ch. g (GB) by Phoenix Resch (IRE) - Miles (GB) (Sokris) Bred in Great Britain by Miss D. Gibbins	

L denotes Lasix. G denotes horses using lasix that did not in their last start. CA denotes California bred. KY - Denotes Golden State Series eligible.  
\* Equipment Change: Planet Sunshine Flat Gone will race with Blinkers On.  
Morning Line Odds are prepared prior to scratch time.  
05/09/2015 Race 6

**19. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |                                     |                                |                                     |                                     |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many                            | <input type="text" value="60"/>     |
| <input checked="" type="checkbox"/> | Showers                        | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur                        | <input checked="" type="checkbox"/> | Food/beverage service               |
|                                     |                                | <input checked="" type="checkbox"/> | Lounge area                         |
|                                     |                                | <input checked="" type="checkbox"/> | Certified platform scale            |

B. Describe the quarters to be used for female jockeys/drivers: Separate quarters with own showers and sauna and lockers. Shared common area

**20. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack  
195

C. Number of restrooms available on the backstretch of the racetrack:  
11 mens / 8 ladies

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1-20

**21. TRACK SAFETY**

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:  
Dirt track. 81% sand, 16% silt and clay, 3% organic

C. The percent of cross slope in the straight-aways is: 3%  
The percent of cross slope in the center of the turns is: 6%

E. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3' 16GA galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.

E. Name of the person responsible for supervision of the maintenance of the racetrack safety

standards pursuant to CHRB Rule 1474: Rick Hughes

- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Not applicable
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): no exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Brad McKinzie

Print Name

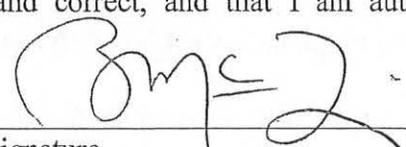
Vice President, General Manager

Print Title

Signature

~~9-15-14~~

Date



4-30-15

## STAFF ANALYSIS

May 21, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) JULY 10, 2015 THROUGH JULY 26, 2015

California Exposition and State Fair (Cal Expo) filed its application to conduct a horse racing meeting at Cal Expo in Sacramento:

- July 10, 2015 through July 26, 2015, or 11 days, four day more than 2014. The fair proposes to race a total of approximately 130 races, 48 more than 2014.
- The proposed race dates are the approved dates allocated to Cal Expo.

July - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4 H
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing Friday through Sunday the first week and Thursday through Sunday the second and third week, with 8 races on Thursday, 12 races per day Friday, and 13 races per day on Saturdays and Sundays.
- Racing concurrently with Del Mar Thoroughbred Club July 16 through 16, 2015.
  - Number of horses available determines the number of daily races programmed by breed.
  - 2014 Race Meeting: Average number of runners per race (TB): 6.45
  - 2014 Race Meeting: Average number of runners per race (Arabian): 7.0
  - 2014 Race Meeting: Average number of runners per race (Quarterhorse): 5.33
  - 2014 Race Meeting: Average number of runners per race (Mules): 6.67
- First post 1:15 p.m. daily and 4:15 p.m. on Fridays
  - Cal Expo respectfully requests to adjust the post time schedule submitted, excepting the first post time, to maximize handle on the overall live and simulcasting program which includes Del Mar, Los Alamitos, and out of state import tracks.
- Wagering program will use CHRB and ARCI rules.
  - The distribution of the carryover pool will be July 26, 2015 (Closing Day).
  - Early wagering will not be offered.
  - \$1 Place Pick, \$1 Trifecta, and \$0.10 Superfecta when applicable.
  - \$0.50 Pick 4 on first 4 races and last 4 thoroughbred races – The last Pick 4 races of the day

- on Saturdays and Sundays will be a guaranteed \$30,000 pool.
- \$0.50 Pick 5 on last thoroughbred 5 races, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
- \$0.20 Pick 6 on last 6 thoroughbred races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
- \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first 5 finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers. 60% of major pool will be added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, Bet America, Watch and Wager and TwinSpires.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Professions Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all document required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all document required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all document required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted a hub agreement between BAM Software and Services LLC (BAM) and California Authority of Racing Fairs.

- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- At the April 2015 Regular Board Meeting Cal Expo was granted a waiver of Rule 1472, Rail Construction and Track Specifications, subsections (b), (c) and (e). The waiver allows for the installation of a Mawsafe rail system on the **main track inside rail** at the Cal Expo.

Specific information **still needed** to complete this application includes:

1. Fire clearance

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone, and fax numbers of fair:

**California Exposition & State Fair**  
**1600 Exposition Blvd., Sacramento, CA 95825**  
**916-263-3000/Fax- 916-263-3230**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 **California Exposition and State Fair**  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**Rick Pickering, 916-263-3000, rpickering@calexpo.com**

**Larry Swartzlander, 916-263-3347, larry@calfairs.net**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for race meeting: **July 9 – July 26, 2015**

B. Actual dates (live) racing will be held: **July 10, 11, 12, 16, 17, 18, 19, 23, 24, 25 & 26**

C. Dates (live) racing will NOT be held: **July 8, 9, 13, 14, 15, 20, 21, 22, 27 & 28**

D. Total number of (live) racing days: **11**

E. Days of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) **First Week Friday – Sunday; Second & Third Week Thursday thru Sunday**

**3. RACING PROGRAM**

A. Total number of races: **130**

**Respectfully request approval to adjust the number of races scheduled dependent upon availability of horses and mules.**

B. Number of races by breed:

Thoroughbreds  Quarter Horses   
 Arabians   Mules

**CHRB CERTIFICATION**

Application received: **4/10/15**  
Reviewed:

Hearing date: **5/21/15**  
Approved date:  
License number:

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	11/11/11				7/7	10/10/10	11/11/11
Other Breeds	2/2/2				1/1	2/2/2	2/2/2
Total	13/13/13				8/8	12/12/12	13/13/13

D. Total number of stakes races by breed:

Thoroughbreds    
  Quarter Horses    
  Appaloosas  
 Arabians    
  Paints    
  Mules

E. List of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Governor's Cup Handicap \$50,000 Added Overnight Stakes Sat, Jul 11  
 F&M, Three Year Olds & Upward - One & 1/16 Miles (Plus Up to \$15,000 to Cal-Breds)

Sacramento Golden Bear Sprint \$50,000 Added Overnight Stakes Sat, Jul 18  
 Three-Year-Olds & Upward - Six Furlongs (Plus Up to \$15,000 to Cal-Breds)

HH Sheika Fatima Bink Murarak Apprentice World Championship \$27,000 Added Sun, Jul 19  
 Three Year Olds & Upward, Six Furlongs

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes    
  No    
 If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	<u>Daily</u>	<u>Fridays</u>
Race 1	1:15 PM	4:15 PM
Race 2	1:45 PM	4:45 PM
Race 3	2:15 PM	5:15 PM
Race 4	2:45 PM	5:45 PM
Race 5	3:15 PM	6:15 PM
Race 6	3:45 PM	6:45 PM
Race 7	4:15 PM	7:15 PM
Race 8	4:45 PM	7:45 PM
Race 9	5:15 PM	8:15 PM
Race 10	5:45 PM	8:45 PM
Race 11	6:15 PM	9:15 PM
Race 12	6:45 PM	9:45 PM
Race 13	7:15 PM	

We respectfully request approval to adjust submitted post time schedule, excepting first race post time, to maximize all wagering opportunities for our live program and all simulcast import programs including Los Alamitos, Del Mar, and out of state programs.

**California Exposition & State Fair**  
 Inclusive dates allocated for race meeting: July 9 – July 20, 2014

Stakes Races - Excerpt below taken from 2014 Cal Expo race meet application:

D. Total number of stakes races by breed:

<input style="width: 40px; height: 20px;" type="text" value="1"/>	Thoroughbreds	<input style="width: 40px; height: 20px;" type="text"/>	Quarter Horses	<input style="width: 40px; height: 20px;" type="text"/>	Appaloosas
<input style="width: 40px; height: 20px;" type="text"/>	Arabians	<input style="width: 40px; height: 20px;" type="text"/>	Paints	<input style="width: 40px; height: 20px;" type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Governor's Handicap	\$75,000 Guaranteed
F&M, Three Year Olds & Upward – One & 1/16 Miles	

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

A. Names of the fair directors:

- David Mallel- Chair**
- Patrick O'Brien - Vice Chair**
- Rima Barkett - Director**
- Sonney Chong - Director**
- Corny Gallagher - Director**
- Rex Hime - Director**
- Mark Nelson - Director**
- Hallie Ochoa - Director**
- Willie Pelote - Director**

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

- Mark Nelson - Chair**
- Sonney Chong - Director**
- Corny Gallagher**

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

- Rick Pickering- Chief Executive Officer**
- John McGary - Public Address Announcer**
- Kevin Ingram- Stable Superintendent**
- Steve Wood- Track Foreman**

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

- Rick Pickering- Chief Executive Officer- rpickering@calexpo.com**
- Larry A. Swartzlander- Director of Racing- larry@calfairs.net**
- 1600 Exposition Blvd., Sacramento, CA 95825**

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed

percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager. N/A

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
*2014 (7 days)	10,420,235	44,337
2013 (8 days)	17,867,121	50,067
2012 (8 days)	18,130,984	51,626
2011 (9 days)	19,202,520	58,126
2010 (10 days)	19,122,174	59,655

\*Ran one less day, Ran with Los Alamitos vs Hollywood Park and had one less day with Del Mar.

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: \$1,165,784  
 Prior meet actual: \$780,908

Average Daily Purse (7A1 ÷ number of days):  
 Current meet estimate: \$105,980  
 Prior meet actual: \$111,558

2. Overnight stakes:  
 Current meet estimate: \$127,000  
 Prior meet actual: \$27,000

Average Daily Purse (7A2 ÷ number of days):  
 Current meet estimate: \$11,545  
 Prior meet actual: \$3,857

3. Non-overnight stakes:  
 Current meet estimate: \$0  
 Prior meet actual: \$75,000

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$0

Prior meet actual: \$10,714

- 4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$1,292,784  
 Prior meet actual: 882,908

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$110,045

Prior meet actual: \$73,715

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

Prior meet actual:

CTT	3,164	2,120
TOC	6,329	4,240
NTRA	1,767	1,184
PCQHRA	773	518
ARAC	4,460	2,988
AMRA	4,234	2,836
CHBPAPEN	9,496	6,361
<u>CTHF</u>	<u>9,496</u>	<u>6,361</u>
Total-	\$39,719	Total- \$26,608

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,442,548

Prior meet actual: \$983,231

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$131,140

Prior meet actual: \$140,461

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,046,243

Prior meet actual: \$712,076

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$95,113

Prior meet actual: \$101,725

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$186,970





April 9, 2015

California Horse Racing Board  
Attn: Jackie Wagner  
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2015 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.10PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement is still current for the 2015 racing season.

Respectfully Yours,

Larry A. Swartzlander  
Chief Operating Officer

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

and Professions Code section 19535(c).  
 960

H. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
 \$7.91

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

**9. PARI-MUTUEL WAGERING PROGRAM**

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN% \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB # 1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	

CHRB-18 (Rev. 07/11)

Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **July 26, 2015**

D. List any options requested with regard to exotic wagering:

**\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable**

**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day. The last PNP4 of the day on Saturdays and Sundays will be a guaranteed \$30,000 pool.**

**\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

E. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service

contract: **Sport Tech (Anthony Lovell) Expiration October 30, 2015**  
**Equipment description on file with CHRB.**

**10. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**BetFair, (TVG), XPressBet & TwinSpire.com & Bet America, Watch & Wager**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting: **CARF agreement on file with the CHRB**
- C. Have the contract/agreements been approved by the respective horsemen's groups?  
 Yes  No   
 If yes, attach a copy of the approval.  
 If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**11. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering: **NCOTWINC**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

**NORTHERN CALIFORNIA**

Alameda County Fair, Pleasanton  
 Bankers Casino, Salinas  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno  
 Firehouse Restaurant, Bakersfield  
 Golden Gate Fields, Albany

\*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey  
 San Joaquin County Fair, Stockton

**SOUTHERN CALIFORNIA**

Barona Valley Ranch Resort & Casino, Lakeside  
 Cabazon Fantasy Springs Casino, Indio  
 Commerce Casino Racebook, Commerce  
 Derby Club, Seaside Park, Ventura

Fairplex Park, Pomona  
 Fantasy Springs Casino, Indio  
 Los Alamitos Race Course, Los Alamitos  
 Mermaid Tavern, Thousand Oaks  
 OC Tavern & Sports Bar, San Clemente  
 Roadhouse Grill, Santa Maria  
 Sammy's Restaurant & Bar, Lake Forrest  
 Santa Anita Park, Arcadia  
 Santa Clarita Lanes, Santa Clarita  
 Shalimar Sports Center, Indio  
 Sports Center, San Bernardino

April 10, 2015

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2015 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2014 racing season, commencing June 18, 2015 and continuing through October 18, 2015, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 9, 2015.

Please feel free to contact me if you have any questions.

Sincerely,  
Don Jacklin  
President

*Don Jacklin*  
4/13/15



# Pacific Coast Quarter Horse Racing Association

April 10, 2015

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2015 California Authority of Racing Fairs ADW Approvals

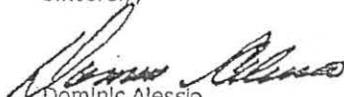
Dear Larry,

This is to confirm that the Pacific Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2015 racing season, commencing June 18, 2015 and continuing through October 18, 2015, California-licensed ADW providers Bet America, TVG, Xpress Bet, TwinSPIRES and Watch and Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC letter Agreement dated April 9, 2015,

Please feel free to contact me if you have any questions.

Sincerely,

  
Dominic Alessio  
President

[Type text]

[Type text]

April 10, 2015

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2015 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2015 racing season, commencing June 18, 2015 and continuing through October 18, 2015, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpress Bet, Twinpires and Watch and Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC letter Agreement dated April 9, 2015.

Please feel free to contact me if you have any questions.

Sincerely,



Sam Vasquez

Chairperson

April 9, 2015

6-19



Mr. Larry Swartzlander  
Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, CA 95815

RE: 2015 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire (subject to arbitration), WatchandWager and Bet America to accept wagers on CARF and other Thoroughbred races during the 2015 CARF race meeting commencing June 17, 2015 and continuing through October 19, 2015, as follows:

1. **California wagering on CARF races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager and Bet America.

Very truly yours,

Joe Morris  
President

**OFFICERS**

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

**DIRECTORS**

BOB BAFFERT  
JIM CAHILL  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

**EXECUTIVE STAFF**

JOE MORRIS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF  
OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM



<u>2015 IMPORT TRACKS</u>	
ARLINGTON	
BELMONT	
ASSINIBOIA	7/8-7/26
AUSTRALIAN RACING	7/8-7/26
BEULAH	7/8-7/26
CALDER RACECOURSE	7/8-7/26
CANTERBURY DOWNS	7/8-7/26
CHARLES TOWN	7/8-7/26
CHURCHILL DOWNS	7/8-7/26
DELAWARE	7/8-7/26
DOWNS AT ALBUQUERQUE	7/8-7/26
ELLIS PARK	7/8-7/26
EMERALD DOWNS	7/8-7/26
EVANGELINE DOWNS	7/8-7/26
FORT ERIE	7/8-7/26
GULFSTREAM	7/8-7/26
HASTINGS PARK	7/8-7/26
HAWTHORNE	7/8-7/26
INDIANA DOWNS	7/8-7/26
KEENLAND	7/8-7/26
KENTUCKY DOWNS	7/8-7/26
LAUREL	7/8-7/26
LONE STAR	7/8-7/26
LOUISIAN DOWNS	7/8-7/26
MONMOTH	7/8-7/26
MOUNTAINEER PARK	7/8-7/26
NYRA-AQUEDUCT	7/8-7/26
NYRA-BELMONT	7/8-7/26
NYRA-SARATOGA	7/8-7/26
PENN NATIONAL	7/8-7/26
PHILADELPHIA PARK (PARX)	7/8-7/26
PIMLICO	7/8-7/26
PRAIRIE MEADOWS	7/8-7/26
PRESQUE ISLE	7/8-7/26
RIVER DOWNS	7/8-7/26
SOUTH AMERICAN RACING	7/8-7/26
SUFFOLK DOWNS	7/8-7/26
SUNLAND PARK	7/8-7/26
SUNRAY PARK	7/8-7/26
TAMPA BAY	7/8-7/26
THISTLEDOWN	7/8-7/26
TURFWAY PARK	7/8-7/26
TURF PARADISE	7/8-7/26
UNITED KINGDOM	7/8-7/26
WOODBINE	7/8-7/26

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**ARF 2015 Meets**  
**ommungled Locations**

West Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Par
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Apahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Ma Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
ington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
antic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
skad	Fair Grounds ADW	Maywood	Sol Mutuel
Imoral	Fair Meadows	Meadowlands	Southland Greyhound
Imoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
ngor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
tavia	Freehold	Monmouth Park	Suffolk District OTB
ttor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
ulah Park	Global Wagering Solutions	Monticello	Pat's Pizz
mingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
uffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	Sun Ray Park & Casino
ffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
ilder Racecourse	Race bets	New Jersey Casino Assoc.	Taunton Acct Wagering
interbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
ospital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
tskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
arles Town Race Course	Gulfstream	Northfield	Tioga Downs
ester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
urchill Downs	Hawthorne Race Course	Northville	Turf Paradise
ub Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
neur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
lonial Downs	Hoosier Park	Oaklawn	TVG Network
lonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
olumbus Raceway	Indiana Downs	Panama	Twin River Greyhound
onnecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	Watch and Wager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	Xpress Bet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB		

Tote Investment Racing  
 Randall James Racetrack  
 Millenium Racing  
 Royal Beach Casino  
 Divi Carina Bay Casino  
 Fair Chance  
 Winner's Circle

Lien Games ADW  
 Bet America  
 Best Fast Now  
 OnlineRacing.TV  
 BetTheRacesOnline.com  
 BigJackpotBetting.com  
 Hometownbets.com  
 RaceplayWin.com  
 VIPHorsePlayer.com  
 TrackInfo.com  
 Offtrackbetting.com

Presque Isle Downs  
 Raceway Park  
 Racing2Day LLC  
 Racing2Day Intl. (Stan James)  
 Remington Park  
 Remington OTB Network  
 Retama  
 Racing & Gaming Services  
 River Downs  
 Rockingham Park  
 Rockingham Account Wagering  
 Ruidoso Downs

**Separate Pool Locations**  
 Camarero (Puerto Rico)  
 Caymanas (Jamaica)  
 MIR Books (Caliente)  
 NDS Books (Nevada)

opus Christi Greyhound  
 laware

**Canadian Locations**

siniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, aser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway wartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, icky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters jro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card
Cal Expo	Harness Horses	Per CHRB Calendar Full Card

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in

Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

## 12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

### A. Racing officials nominated:

Association Veterinarian(s)-	Forest Franklin, DVM
Clerk of Scales-	Cheryl White
Clerk of the Course-	Tina Walker (TB) / Dolores Collins (other breeds)
Film Specialist-	Kenneth Sjoldal
Horse Identifier-	Darrel Sparks
Horseshoe Inspector-	Larry Goshman
Paddock Judge-	Danny Winick
Patrol Judges-	Kenneth Sjoldal/Danny Winick
Placing Judges-	Steve Marinelli/Myra Troske
Starter-	Robert Mooneyhan
Timer-	Melody Truitt

### B. Management officials in the racing department:

Director of Racing-	Larry A. Swartzlander
Racing Supervisor-	Juliana Gomes
Racing Secretary-	Tom Doutrich
Assistant Racing Secretary-	Linda Anderson
Paymaster of Purses-	Victoria Layne
Others (identify by name and title)	

### C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Wendy Frazier, 13136 Ivey Rd., Herald, CA 95638 916-956-3914

### D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, USA- Bill O'Brien, Contract Expires December 31, 2015

### D. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communications, Inc (Jim Porep) Contract Expires: December 31, 2018****9- Cameras**

- 1- Upper Pan, 1- Lower Pan, 2- Roving (Infield, Starting Gate, Post Parade Infield, Paddock, Winners Circle, 6 Furlong Chute), 3- Tower Cameras, 1- Fixed Tote Board Camera, 1- Remote at 5/16 Pole outer rail.**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16<sup>th</sup> pole and both chutes. Pegasus Communications, Inc. (Jim Porep) Contract Expires 4/30/2018.**

**13. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Chief Robert Craft – 916-263-3050; Organizational chart attached**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **Gate Persons- 9 Police Officers – 5 supported by roving patrol and 30-110 member Cal Expo Police Department.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races. **No Graded Stakes**

B. Number of security guards in the detention stall area during a 24-hour period.  
**N/A**

C. Describe number and location of surveillance cameras in detention stall area.  
**N/A**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**Will comply with and follow CHRB directives**

B. Plan for enhanced surveillance for trainers with high-test results.

**Will comply with and follow CHRB directives**

C. Plan for detention stalls for repeat offenders.

**Will comply with and follow CHRB directives**

D. Number of security personnel assigned to the TCO2 program.

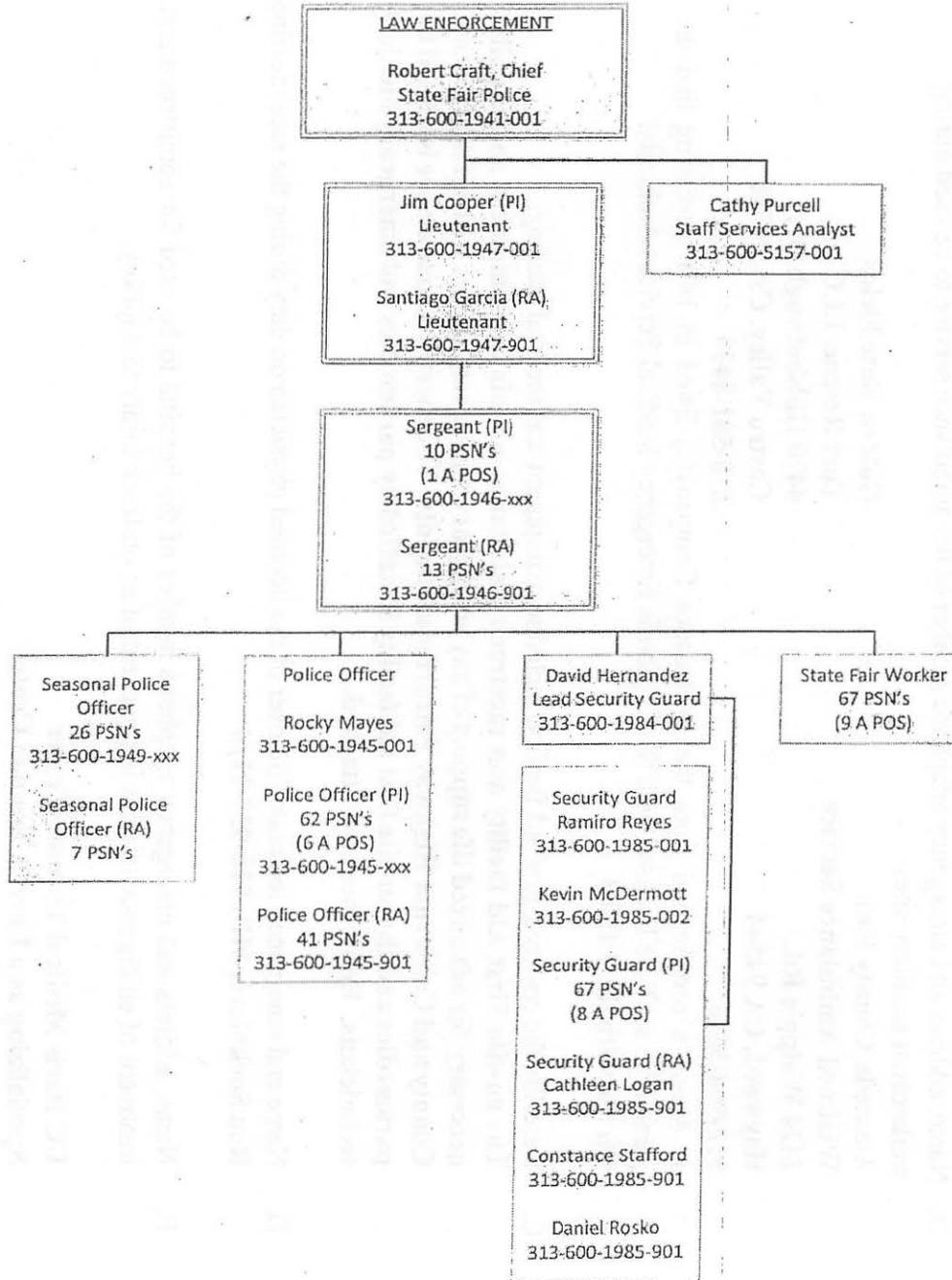
**As directed by CHRB**

- C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**Mobile surveillance system and gear provided and installed by CARE**

2015

# California Exposition & State Fair Law Enforcement



**14. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**American Medical Response, 1101 Fee Dr., Sacramento, CA 95815 916-563-0838**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Attached**

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair**

**Westmed Ambulance Service**

**2424 Whipple Rd.**

**Hayward, CA 94544**

**510-504-3616**

**Golden Gate Fields**

**Turf Rescue, LLC**

**4470 Hillsborough Dr.**

**Castro Valley, CA 94546**

**510-581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**On file with the CHRB**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

**The on-site First Aid facility and racetrack ambulance contain all equipment and supplies necessary for advanced life support of any emergency and in compliance with all Sacramento County and California Highway Patrol requirements. The racetrack ambulance is staffed by paramedics and the on-site first aid facility is staffed by paramedics and emergency medical technicians. Equipment list attached.**

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

**Ron Sockolove, MD, 916-927-1114**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**UC Davis Medical Trauma Center**

**Specializing as a Level 1 Trauma Center**

**2315 Stockton Blvd., Sacramento, CA 95817**

**916-734-2011 3 Miles**

**Sutter Memorial Hospital**

**Specializing in cardiac treatment**

**5151 F. St., Sacramento, CA 95819**

**916-454-3333 1 ½ Miles**

**Kaiser Permanente Hospital**

**Specializing in orthopedic and cardiac treatment**

**2016 Morse Ave.**



April 17, 2015

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

A handwritten signature in black ink that reads 'Jennifer L. Bales'. The signature is fluid and cursive, with the first name 'Jennifer' being the most prominent.

Jennifer L. Bales, MICP  
(916) 563-0835  
Regional Safety & Risk Manager  
Operations Manager  
AMR – Sacramento County



March 22, 2015

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is Certified provider of Basic Life Support Non Emergency Service in the County of Alameda certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, Background checked and state certified in order to fulfill their job duties as authorized by The State of California Emergency Medical Services Authority.

Should you need any additional information please feel free to contact me.

Christopher A Seymour

Director of Advanced Life Support Services

2424 Whipple Rd. Hayward CA.

EMT-P, BA

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 25, 2014

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2015

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

ALS Vehicle Inventory

Completed By: \_\_\_\_\_

STATE FAIR AID STATION

DESCRIPTION	UNIT	Par Level	On Hand	Need	Restocked
AIRWAY, GUEDEL # 5.5 OPA (OLD #0) 50MM	EA	8			
AIRWAY, GUEDEL # 6 OPA (OLD #1) 60MM	EA	8			
AIRWAY, GUEDEL # 7 OPA (OLD #2) 70MM	EA	8			
AIRWAY, GUEDEL # 8 OPA (OLD #3) 80MM	EA	8			
AIRWAY, GUEDEL #10 OPA (OLD #4) 90MM	EA	8			
AIRWAY, GUEDEL #11 OPA (OLD #5) 100MM	EA	8			
AIRWAY, NASOPHARYNGEAL, 20 FR RUSCH	EA	8			
AIRWAY, NASOPHARYNGEAL, 22 FR RUSCH	EA	8			
AIRWAY, NASOPHARYNGEAL, 24 FR RUSCH	EA	8			
AIRWAY, NASOPHARYNGEAL, 26 FR RUSCH	EA	8			
AIRWAY, NASOPHARYNGEAL, 28 FR RUSCH	EA	8			
AIRWAY, NASOPHARYNGEAL, 30 FR RUSCH	EA	8			
AIRWAY, NASOPHARYNGEAL, 32 FR RUSCH	EA	8			
AIRWAY, NASOPHARYNGEAL, 34 FR RUSCH	EA	8			
AIRWAY, NASOPHARYNGEAL, 36 FR RUSCH	EA	8			
ALCOHOL PREP PADS	BX	6			
ATOMIZATION DEVICE	EA	10			
BAND-AID 1 X 3"	CS	1			
BASIN WASH, 6QT	CS	1			
BASIN, EMESIS (KIDNEY)	EA	50			
BATTERY, ALKALINE, SIZE "C" (LARYNGOSCOPE & SPARE)	EA	4			
BATTERY, ALKALINE, SIZE "AA" (LARYNGOSCOPE & SPARE)	BX	2			
BEDPAN, FRACTURE	EA	10			
BIOHAZARD BAGS, RED (Small and Large)	EA	25			
BLANKET, YELLOW PLASTIC (DISPOSABLE)	EA	10			
BLANKET, GREY	EA	10			
BLOOD PRESSURE CUFF, ADULT	EA	8			
BLOOD PRESSURE CUFF, CHILD	EA	8			
BLOOD PRESSURE CUFF, INFANT	EA	8			

# ALS Vehicle Inventory

Completed By: \_\_\_\_\_

DESCRIPTION	UNIT	Par Level	On Hand	Need	Restocked
BLOOD PRESSURE CUFF, THIGH	EA	8			
BROSELOW PEDIATRIC TAPE	EA	2			
BVM, DISPOSABLE ADULT, AMBU SPUR	EA	9			
BVM, DISPOSABLE CHILD, AMBU SPUR (child and/or infant)	EA	6			
C-COLLAR, ADULT ADJUSTABLE	EA	25			
C-COLLAR, BABY NO-NECK SIZE	EA	10			
C-COLLAR, PEDIATRIC SIZE	EA	10			
CLIP, NOSE	EA	25			
CHUX 29.5 X 29.5 (UNDERPADS)	EA	45			
COLD PAK 6" X 6"	CS	15			
CLOSURE, SKIN	BX	1			
KING TUBES SIZE 3, 4, 5	EA	5			
EKG RECORDNG PAPER -LIFE PAK 12	EA	6			
ELECTRODES, ADULT	BX	10			
ELECTRODES, QUICK COMBO - ADULT	EA	8			
ELECTRODES, QUICK COMBO - PEDI	EA	6			
ELECTRODES, PEDIATRIC	PK	15			
ET STYLETTE, ADULT	EA	9			
ET STYLETTE, INFANT	EA	9			
ET STYLETTE, PEDI	EA	6			
ET TUBE HOLDER, ADULT	EA	10			
ET TUBE HOLDER, CHILD	EA	9			
ET TUBE CUFFED 6.0MM	EA	9			
ET TUBE CUFFED 6.5MM	EA	9			
ET TUBE CUFFED 7.0MM	EA	9			
ET TUBE CUFFED 7.5MM	EA	9			
ET TUBE CUFFED 8.0MM	EA	9			
ET TUBE CUFFED 8.5MM	EA	9			
ET TUBE UNCUFFED 2.5MM	EA	6			

ALS Vehicle Inventory

Completed By: \_\_\_\_\_

DESCRIPTION	UNIT	Par Level	On Hand	Need	Restocked
ET TUBE UNCUFFED 3.0MM	EA	6			
ET TUBE UNCUFFED 3.5MM	EA	6			
ET TUBE UNCUFFED 4.0MM	EA	6			
ET TUBE UNCUFFED 4.5MM	EA	6			
ET TUBE UNCUFFED 5.0MM	EA	6			
ET TUBE UNCUFFED 5.5MM	EA	6			
GAUZE, CONFORMING BANDAGE	BX	6			
GAUZE, PETROLEUM, 3X9	EA	10			
GAUZE SPONGE 2X2X4 PLY, N.S.	PK	8			
GAUZE SPONGE 4X4X4 PLY, S. 2's	EA	25			
GAUZE SPONGE 4X4X8 PLY, N.S.	PK	8			
GAUZE, SURGIPAD	PK	4			
BURN JEL	BX	6			
GLASSES, SAFETY SMITH & WESSON	EA	10			
GLOVES, NS, SM	CS	2			
GLOVES, NS, MED	CS	3			
GLOVES, NS, LRG	CS	3			
GLOVES, NS, XL	CS	2			
GLUCOMETER, TRUE RESULT	EA	10			
GLUCOMETER, TEST STRIPS, TRUE TEST	BX	10			
HEAD IMMOBILIZATION, STA-BLOCK, S.T.I.	EA	25			
HEAT PAK	EA	10			
HYDROGEN PEROXIDE 16 OZ HYDROX LAB	CS	2			
IRRIGATION SOLUTION 500 CC SALINE	CS	2			
IRRIGATION SOLUTION 500 CC WATER	CS	2			
ISOLATION KIT, UNI-SIZE	EA	20			
ISOLATION BIO HOOP	EA	25			
IV ARM BOARDS - SMALL	EA	2			
IV CATH, PROTECTACATH, 14 GA X1.25"	EA	25			

ALS Vehicle Inventory

Completed By: \_\_\_\_\_

DESCRIPTION	UNIT	Par Level	On Hand	Need	Restocked
IV CATH, PROTECTACATH, 16 GA X1.25"	EA	25			
IV CATH, PROTECTACATH, 18 GA X1.25"	EA	40			
IV CATH, PROTECTACATH, 20 GA X1.25"	EA	40			
IV CATH, PROTECTACATH, 22 GA X1.25"	EA	25			
IV CATH, PROTECTACATH, 24 GA X 3/4"	EA	25			
IV START KIT	EA	50			
IV TUBING, 10 GTTS	EA	25			
IV TUBING, 60 GTTS	EA	20			
IV TUBING , LOCK STYLE	EA	25			
LAMP, LG (Laryngoscope Bulb)	EA	6			
LAMP, SM (Laryngoscope Bulb)	EA	6			
LANCET, SAFE-T-STIX ADVANTAGE MONITOR	EA	40			
LARYNGOSCOPE BLADE CURVED 'MAC' #3	EA	5			
LARYNGOSCOPE BLADE CURVED 'MAC' #4	EA	5			
LARYNGOSCOPE BLADE STRAIGHT 'MILLER' #0	EA	5			
LARYNGOSCOPE BLADE STRAIGHT 'MILLER' #1	EA	5			
LARYNGOSCOPE BLADE STRAIGHT 'MILLER' #2	EA	5			
LARYNGOSCOPE BLADE STRAIGHT 'MILLER' #3	EA	5			
LARYNGOSCOPE BLADE STRAIGHT 'MILLER' #4	EA	5			
LARYNGOSCOPE HANDLE, ADULT	EA	5			
LARYNGOSCOPE HANDLE, PEDIATRIC	EA	5			
LUBRICATING JELLY 3G FOIL PACKET (KY)	EA	25			
MAGILL FORCEPS (ADULT)	EA	5			
MAGILL FORCEPS (PEDIATRIC)	EA	5			
MASK, N/95, LARGE, MOLDEX	EA	15			
MASK, N/95, MEDIUM, MOLDEX	EA	15			
MASK, N/95, SMALL, MOLDEX	EA	15			
MASK, P100 , LARGE, MOLDEX	EA	5			
MASK, P100, MEDIUM, MOLDEX	EA	5			

ALS Vehicle Inventory

Completed By: \_\_\_\_\_

DESCRIPTION	UNIT	Par Level	On Hand	Need	Restocked
MASK, P100, SMALL, MOLDEX	EA	5			
MECONIUM ASPIRATOR	EA	5			
MCI SUPERVISOR BOX	EA	1			
MULTI-TRAUMA DRESSING STERILE 10" x 30"	EA	20			
NEEDLE, SAFETY GLIDE (21GA X 1 1/2" or 25GA), INTERLINK	EA	15			
OB KIT	EA	4			
OXYGEN MASK, ADULT NON-REBREATHER	EA	40			
OXYGEN MASK, PEDIATRIC	EA	10			
OXYGEN NASAL CANNULA, ADULT W/ TUBING	EA	50			
OXYGEN NEBULIZER CIRCUIT (Hand Held Nebulizer)	EA	15			
OXYGEN NEBULIZER MASK, ADULT	EA	15			
OXYGEN NEBULIZER MASK, PEDI.	EA	15			
OXYGEN WRENCH, SMALL (PORTABLE 02)	EA	6			
OXYGEN WRENCH, LARGE	EA	3			
PENLIGHTS, DISPOSABLE	EA	10			
PILLOW COVER POLY-BACKED TISSUE	CS	2			
PILLOW, DISPOSABLE, 18X24	EA	8			
RAZOR PREP, DISPOSABLE	EA	15			
RESTRAINTS	EA	8			
SCISSORS, BANDAGE	EA	15			
SHARPS (BIO SAFETY) CONT., SHARP SHUTTLE	EA	10			
SHARPS (SAGE) CONTAINER, 5 QUART	EA	6			
SHEET, STRETCHER 40X90	CS	2			
SPLINT, ARM, CARDBOARD 18"	EA	10			
SPLINT, LEG, CARDBOARD 34"	EA	10			
SPILL, LIQUID SUPER-SORB	EA	6			
STETHOSCOPE, NURSE SCOPE ADC	EA	10			
STING SOOTH	BX	15			
SUCTION CANNISTER 1200CC, SSCOR UNIT	EA	5			

- ALS Vehicle Inventory

Completed By: \_\_\_\_\_

DESCRIPTION	UNIT	Par Level	On Hand	Need	Restocked
SUCTION CATHETER	EA	8			
SUCTION CATHETER, BIG STICK, SSCOR	EA	8			
SUCTION TUBING, 9/32"X72"	EA	8			
SYRINGE ONLY, 3CC WITH SYRINGE CANNULA, INTERLINK	EA	15			
SYRINGE ONLY, 5CC WITH SYRINGE CANNULA, INTERLINK	EA	15			
SYRINGE ONLY, 10CC WITH SYRINGE CANNULA, INTERLINK	EA	15			
SYRINGE ONLY, 20CC, L.L.	EA	15			
SYRINGE W/NEEDLE, 1CC TB, 25G, INTERLINK	EA	15			
TAPE, CLOTH 2"	BX	5			
TAPE, CLOTH 3"	BX	5			
TAPE, COBAN	CS	2			
TAPE, TRANSPORE 1'	BX	4			
THORACENTESIS KIT	EA	4			
TRANSTRACHEAL CATHETER - 13 G	EA	4			
TRANSTRACHEAL CATHETER - 14 G	EA	4			
TRIAGE TAGS	EA	400			
TRIANGULAR BANDAGE	EA	20			
TRIPLE ANTIBIOTIC OINT	BX	6			
TUMBS	BX	2			
TYLENOL MELTAWAY	BT	4			
TYLENOL SUSP CHILD GRAPE	EA	4			
URINAL - MALE W/COVER	EA	10			
VIONEX HAND WIPES	CS	2			
Cavacide - CLEANER/DISINFECTANT	EA	8			
A & D OINTMENT	BX	4			
ALBUTEROL	EA	40			
AMIODERONE	EA	30			
ASPRIN, 81MG, CHILDRENS CHEWABLE	BT	4			
ACETAMINOPHEN, SUP CHILD	BT	4			

ALS Vehicle Inventory

Completed By: \_\_\_\_\_

DESCRIPTION	UNIT	Par Level	On Hand	Need	Restocked
ATROPINE SULFATE 1MG SYR, LIFESHIELD	EA	30			
BENADRYL (DIPHENHYDRAMINE) 50MG/1ML VIAL	EA	30			
DEXTROSE 25%/10ML SYR, PEDI, NON SAFETY	EA	10			
DEXTROSE 50%/50ML SYR, LIFESHIELD	EA	15			
DOPAMINE PREMIX BAG	EA	4			
EPINEPHRINE 1:10,000 10ML SYR, LIFESHIELD	EA	30			
EPINEPRINE 1:1,000, 30MG HIGH DOSE VIAL	EA	15			
EPINEPRINE 1:1,000, 1MG AMPULE	EA	15			
GLUCAGON 1MG SYR KIT	EA	4			
GLUCOSE PASTE	EA	8			
LASIX, 40MG SINGLE DOSE VIAL	EA	15			
LIDOCAINE 2% PRELOAD, 5ML/100MG SYR, LIFESHIELD	EA	30			
LIDOCAINE GEL - 5 CC - PLS	EA	8			
MORPHINE	EA	4			
NARCAN	EA	15			
NEOSYNEPHRINE .5%, 15ML, NASAL SPRAY	EA	5			
NITROLINGUAL SPRAY, 200 DOSE	EA	6			
NS 10CC - PLS	EA	35			
SODIUM BICARBONATE 8.4%/50ML SYR, LIFESHIELD	EA	10			
VERSED	EA	4			
ZOFRAN	BX	3			
IV SOLUTION, NS, 1000 CC	CS	4			
<b>DURABLE SUPPLIES</b>					
AMBULANCE GURNEY - STRYKER MX PRO	EA	4			
AMBULANCE GURNEY RESTRAINT STRAPS	EA	4			
AMBULANCE GURNEY SHOULDER RESTRAINT	EA	4			
C-COLLAR BAG	EA	6			
BACKBOARD	EA	20			
BACKBOARD STRAPS (can be on backboard)	EA	30			

ALS Vehicle Inventory

Completed By: \_\_\_\_\_

DESCRIPTION	UNIT	Par Level	On Hand	Need	Restocked
PEDIMATE	EA	4			
SAGAR TRACTION SPLINT	EA	4			
SSCORT III PORTABLE SUCTION UNIT	EA	6			
KED	EA	4			
MED BAG - PACIFIC MEDICAL A300D	EA	4			
PORTABLE OXYGEN BAG	EA	4			
OXYGEN TANK - M SIZE (LEASED)	EA	3			
OXYGEN TANK - E SIZE (LEASED)	EA	12			
PORTABLE OXYGEN REGULATOR (2-DISS)	EA	8			
OXYGEN REGULATOR - ON BOARD	EA	3			
LP 15 MONITOR (12 LEAD / PACING)	EA	5			
LP 15 MONITOR BATTERIES (2 on monitor and 2 in cabinet)	EA	15			
LP 15 SP02 EXTENSION CABLE (MASIMO)	EA	5			
LP 15 SP02 FINGER SENSOR (MASIMO)	EA	5			
LP 15 - 12 LEAD EKG CABLE	EA	5			
PORTABLE 800 MHz RADIO	EA	6			
PORTABLE VHF RADIO	EA	15			
MOBILE VHF RADIO	EA	1			
MOBILE UHF RADIO	EA	0			
YELLOW HI VISIBILITY SAFETY VEST SM, MED, XLRG	EA	6			
JET INSUFFLATION DEVICE	EA	4			
NEXTEL PHONE	EA	2			
FLASHLIGHT	EA	2			

Sacramento, CA 95825  
916-817-5660 2 Miles

**Mercy General Hospital**  
**Specializing in cardiac treatment**  
4001 J. St., Sacramento, CA 95819  
916-453-4553 1 1/2 Miles

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Larry A. Swartzlander, Director of Racing**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Clearance will be submitted upon receipt.**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **California Fair Services Authority, Self Insured**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**Attached.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:  
**Food Service- Ovations-FanFare, 4501 Pleasanton Drive, Pleasanton, CA 94566**

- B. Does the fair plan to provide its own concessions? Yes  No

## **Item #14 F Spanish:**

### **Procedimiento en caso de Accidente en California State Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

#### **El personal de la Ambulancia**

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victima(s).

#### **Seguridad**

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para aguardar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

#### **Personal de Carreras/Veterinario del hipodromo**

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

### **Personal de Planta/Plant Staff**

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

### **Locutor**

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

### **Gerencia**

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

### **Todo los Jefes de Departamento**

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

**Item #14F English:****California State Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

**Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

### Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

### Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

### All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.



CALIFORNIA  
 FAIR SERVICES  
 AUTHORITY

April 21, 2015

To: California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Re: California Exposition & State Fair  
 1600 Exposition Blvd.  
 Sacramento, CA 95815

Please be advised that the California Exposition & State Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

## II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
 Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
 (b) Employers' Liability: \$4,500,000 in excess of \$500,000  
 Coverage provided by CSAC Excess Insurance Authority  
 Term: 07/01/2015 to 07/01/2016

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the California Exposition & State Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

*Lianne Lewellen*

Lianne Lewellen  
 Risk Analyst

## 16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **Attached**

B. Promotional/Marketing budget for this race meeting:

The total media buy for the 2015 California State Fair is \$820,000 with an estimated \$400,000 in trade promotions. Horseracing advertising, promotions, and marketing are part of this overall State Fair budget. While the marketing dollars are similar to the 2014 race meet, additional emphasis will be placed on horse racing in the 2015 marketing campaign.

C. Number of hosts and hostesses employed for meeting:

**8**

D. Describe facilities set aside for new fans:

**New information booth at grandstand main entrance, how to bet kiosk, wagering "rookies" window, photo op staging area, grandstand blue ribbon season pass box seat, horse racing 101 workshop for race track events.**

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit horsemen, fans, and facilities in the restricted areas:

F.

- \$100,000 New MawSafe inside racing rail

- More than \$750,000 in back stretch maintenance and improvements, including: full renovation of 22 tack rooms to become approved human habitable dwellings; storm water run off treatment system; fire alarm system and upgrades in sections of the horse barns; security surveillance and controlled entry system; safety improvements to stall footings and stalls; and other ongoing projects.

- \$80,000 in tote board reface with Sportech LED indicators, and paint to aid in patron readability

- New signage throughout the Racetrack, including on ex monument signage on exterior, bar and sports wagering areas throughout the interior, winners circle, and tote board

- \$100,000 in grandstand ADA improvements

- Interior improvement to Turf Club, including terrace seating and themed art

- More than \$300,000 in improvements to the Clubhouse, with a complete remodel including: complete refresh of interior paint, refurbished bar, new flat screen television sets, and more

- Fairgrounds wide refresh to direct patrons and visitors to the racetrack, including: refreshed signage, tree pruning or relocation, widening walkways, horseracing specific markers on the ground to encourage easy path of travel, expansion of mobile sponsor area to provide additional attractions as the entrance if the track, kiosks at each of the Fair entrances to distribute racing materials.

- Installation of self-service wagering machines located in key areas throughout the racetrack area.

- Additional and/or reconfigured shaded seating areas.

- Currently under review and exploration phase of possible/new bio waste diversion program; would use horse byproducts, shavings, etc. to create renewable energy and reduce carbon footprint using anaerobic digestion.

## 17. SCHEDULE OF CHARGES

### A. Proposed charges, note any changes from previous year:

Admission (general) -	\$12.00
Admission (clubhouse)	Free
Reserved seating (general)	N/A
Reserved seating (clubhouse)	Free
Parking (general)	\$10.00
Parking (preferred)	\$ 4.00
Parking (valet)	N/A
Programs (on-track)	\$2.50
(Off-track)	\$2.50

### B. Describe any "Season Boxes" or other special accommodation fees:

11-Day Season Box Seat Package-

\$600 for premium boxes surrounding finish line

\$500 for all other boxes

#### Package Includes:

- One private box (6 seats total)
- One sponsored race
- 6 official racing programs (per day)
- 66 general admission passes to the California State Fair
- One general lot parking pass (good for racing days only)
- Preferred lot parking pass (good for racing days only)

Bonus! 6 complimentary general admission passes to use any other day of the California State Fair.

## 18. JOCKEYS' QUARTERS

### A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="43"/>		
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/>	Lounge area
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service	<input checked="" type="checkbox"/>	Certified platform scale

### B. Describe the quarters to be used for female jockeys: Same type in segregated area

## 19. BACKSTRETCH EMPLOYEE HOUSING

### A. Inspection of backstretch housing has been requested and will be completed prior to the beginning

of the race meet.

- B. Number of rooms used for housing on the backstretch of the racetrack: 21
- D. Number of restrooms available on the backstretch of the racetrack: 8
- E. Estimated ratio of restrooms to the number of backstretch personnel: 25 to 1

## 20. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5.280
-------

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **The racetrack is made up of sand of various types (Very Coarse, Coarse, and Medium to Very Fine), silt and clay. The Medium to Very Fine sand makes up approximately 75% of the sand content. Organic material will be added to the racetrack to assist in water retention.**
- C. The percent of cross slope in the straight-aways is: **2%**  
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inside Rail- Fontana Safety Rail      Outside Rail- Steriline Aluminum Racing Rail**  
**Both rails will be set at 38" to 42" from the level of the race course**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Trackmaster Inc.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

## 21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**On file with the CHRB**

- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

## 22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Larry A. Swartzlander

*Larry A. Swartzlander*

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

Director of Racing  
Print Title

April 10, 2015  
Date

STAFF ANALYSIS  
May 21, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR JULY 15, 2015 THROUGH SEPTEMBER 7, 2015.

Del Mar Thoroughbred Club filed its application to conduct a thoroughbred horse racing meeting at Del Mar:

- July 15 through September 7, 2015, or 40 days, 4 days more than 2014. The association proposes to race a total of 324 races, or 9 races per day. In 2014 Del Mar raced 9.04 races per day with an average of 8.78 runners per race. In 2014 Del Mar was allocated to race 36 days the (actual) average daily purse for the meet was \$677,700. The (estimated) average daily purse for this meet is \$625,638.
- The race dates proposed are the dates allocated by the Board.

July - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	29	29	30			

- Racing 5 days per week, Wednesday through Sunday, with 7 races per day Friday, August 21, 28 and September 4<sup>th</sup>; 8 races per day Wednesdays, Thursdays and Friday July 17, 24, 31, August 7 and 14<sup>th</sup>; 9 races per day Sunday, July 26, August 2 and 9<sup>th</sup>; 10 races per day Saturday, July 18, 25, August 1, 8, 15, 29 and September 5, Opening Day, Labor Day/Closing Day; 11 races per day Saturday, August 22.
- Racing concurrently with the dates allocated to Santa Rosa, Ferndale and Pacific Racing Association.
- First post will be 2:00 p.m. daily.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will start at 9:00 a.m.
  - \$.50 Pick 4 will be offered on the last four races daily.
  - \$2 Daily Double will be offered on all daily races – with the exception of the last race.
  - \$.50 Pick (n) Pool (Pick 5) wager will begin with the first race each day and offer a 14% takeout.
- Del Mar Thoroughbred Club, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, XpressBet.com and Twin Spires.com, Watch and Wager and Bet America for Internet and telephone wagering (live

operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar’s season).

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Request that Dianne Piper is appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.

- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- At the April 2014 Regular Board Meeting Del Mar Thoroughbred Club was granted a waiver of Rule 1472, Rail Construction and Track Specifications, subsections (b), and (g). The waiver allows for the installation of a Mawsafe rail system on the **outside** rail at the Del Mar turf course. At the April 2013 Regular Board Meeting Del Mar Thoroughbred Club was granted a waiver of Rule 1472, subsections (b), (c) and (e). The 2013 waiver allows for the installation of a Mawsafe rail system on the **inside** rail at the Del Mar turf course. The Mawsafe rail system is composed of high impact strength, high UV resistant poly vinyl chloride post and rail components.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California agreement.
2. California Thoroughbred Trainers agreement.
3. Fire clearance: Inspection scheduled for July 15, 2015.

#### RECOMMENDATION

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:**

**Del Mar Thoroughbred Club**  
**P.O. Box 700, Del Mar, CA 92014**  
**Phone: 858-755-1141**  
**Fax: 858-794-1007**  
**Josh Rubinstein: josh@dmtc.com**

- B. Breed of horse:** TB  QH  H

- C. Racetrack name: Del Mar Thoroughbred Club (DMTC)**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.**

**On File**

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?**

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for the entire meeting: July 15 through September 07, 2015**

- B. Actual dates racing will be held: July 16 through September 07, 2015, everyday except Mondays (other than Labor Day) and Tuesdays. Dark 7/20-21, 7/27-28, 8/3-4, 8/10-11, 8/17-18, 8/24-25, 8/31-9/01.**

**CHRB CERTIFICATION**

Application received: 4/15/15  
 Deposit received: on file  
 Reviewed:

Hearing date: 5/21/15  
 Approved date:  
 License number:

- C. Total number of days or nights of racing: 40 days
- D. Days or nights of the week races will be held:  
 Wed - Sun     Tues - Sat     Other (specify)
- E. Number of days or nights of racing per week:  
 5 (except Week 1 with 4 days and Week 7 with 6 days)

3. RACING PROGRAM

- A. Total number of races: 351
- B. Number of races for each day or night:\*

DAY	DATES	NUMBER OF RACES
WED-8 Races	7/22, 7/29, 8/5, 8/12, 8/19, 8/26, 9/2	56
THURS-8 Races	7/23, 7/30, 8/6, 8/13, 8/20, 8/27, 9/3	56
FRI-7 Races	8/21, 8/28, 9/4	21
FRI- 8 Races	7/17, 7/24, 7/31, 8/7, 8/14	40
SAT-10 Races	7/18, 7/25, 8/1, 8/8, 8/15, 8/29, 9/5	70
SAT-11 Races	8/22 (PC)	11
SUN-9 Races	7/26, 8/2, 8/9	27
SUN-10 Races	7/19, 8/16, 8/23, 8/30, 9/6	50
OPENING DAY	7/16	10
CLOSING DAY/ LABOR DAY	9/7/2015	10

TOTAL	351
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\*DMTC reserves the right to change number of races based on horse availability

- C. Total number of stakes races: 43 (33 stakes and 10 overnight stakes)
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.  
**Exhibit 1**
- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes     No    If no, what racing colors are to be used:
- F. List all post times for the daily racing program: **Exhibit 2**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

Exhibit 1 - 2015 Del Mar Stakes Schedule

Closing Date	Running Date	#	Event	Distance	2015 Value
Thu. Jul 09, 2015	Thu. Jul 16, 2015	1	OCEANSIDE STAKES	Three-year-olds, N/W S/S of \$50,000 at 1 M o/o in 2015	One Mile (Turf) \$100,000 Added
Thu. Jul 09, 2015	Sat. Jul 18, 2015	2	EDDIE READ STAKES (Gr. I)	Three-year-olds and upward	1 1/8 Miles (Turf) \$400,000 Guaranteed
Thu. Jul 09, 2015	Sun. Jul 19, 2015	3	SAN CLEMENTE HANDICAP (Gr. II)	Fillies, three years old	One Mile (Turf) \$200,000 Guaranteed
Thu. Jul 16, 2015	Fri. Jul 24, 2015	4	COUGAR II HANDICAP (Gr. III)	Three-year-olds and upward	1 1/2 Miles \$100,000 Guaranteed
Thu. Jul 16, 2015	Sat. Jul 25, 2015	5	FLEET TREAT STAKES	Fillies, three years old, bred in CA	Seven Furlongs \$200,000 Guaranteed
Thu. Jul 16, 2015	Sat. Jul 25, 2015	6	SAN DIEGO HANDICAP (Gr. II)	Three-year-olds and upward	1 1/16 Miles \$200,000 Guaranteed
Thu. Jul 16, 2015	Sun. Jul 26, 2015	7	CALIFORNIA DREAMIN' HANDICAP	Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf) \$150,000 Guaranteed
Thu. Jul 16, 2015	Sun. Jul 26, 2015	8	BING CROSBY STAKES (Gr. I)	Three-year-olds and upward	Six Furlongs \$300,000 Guaranteed
Thu. Jul 23, 2015	Fri. Jul 31, 2015	9	CTBA STAKES	Fillies, two years old, bred in CA	5 1/2 Furlongs \$100,000 Guaranteed
Thu. Jul 23, 2015	Sat. Aug 01, 2015	10	CLEMENT L. HIRSCH STAKES (Gr. I)	Fillies and mares, three years old and upward	1 1/16 Miles \$300,000 Guaranteed
Thu. Jul 23, 2015	Sun. Aug 02, 2015	11	REAL GOOD DEAL STAKES	Three-year-olds, bred in CA	Seven Furlongs \$200,000 Guaranteed
Thu. Jul 30, 2015	Wed. Aug 05, 2015	12	GRADUATION STAKES	Two-year-olds, bred in CA	5 1/2 Furlongs \$100,000 Guaranteed
Thu. Jul 30, 2015	Sat. Aug 08, 2015	13	JOHN C. MABEE STAKES (Gr. II)	Fillies and mares, three years old and upward	1 1/8 Miles (Turf) \$250,000 Guaranteed
Thu. Jul 30, 2015	Sat. Aug 08, 2015	14	BEST PAL STAKES (Gr. II)	Two-year-olds	6 1/2 Furlongs \$200,000 Guaranteed
Thu. Jul 30, 2015	Sun. Aug 09, 2015	15	LA JOLLA HANDICAP (Gr. III)	Three-year-olds	1 1/16 Miles (Turf) \$150,000 Guaranteed
Thu. Aug 06, 2015	Wed. Aug 12, 2015	16	SORRENTO STAKES (Gr. II)	Fillies, two years old	6 1/2 Furlongs \$200,000 Guaranteed
Thu. Aug 06, 2015	Sat. Aug 15, 2015	17	DEL MAR OAKS (Gr. I)	Fillies, three years old	1 1/8 Miles (Turf) \$300,000 Guaranteed
Thu. Aug 06, 2015	Sun. Aug 16, 2015	18	RANCHO BERNARDO HANDICAP (Gr. III)	Fillies and mares, three years old and upward	6 1/2 Furlongs \$100,000 Guaranteed
Thu. Aug 06, 2015	Sun. Aug 16, 2015	19	SOLANA BEACH HANDICAP	Fillies and mares, three years old and upward, bred in CA	One Mile (Turf) \$150,000 Guaranteed
Thu. Aug 13, 2015	Sat. Aug 22, 2015	20	PAT O'BRIEN STAKES (Gr. II)	Three-year-olds and upward	Seven Furlongs \$250,000 Guaranteed
Thu. Aug 13, 2015	Sat. Aug 22, 2015	21	DEL MAR HANDICAP (Gr. II)	Three-year-olds and upward	1 3/8 Miles (Turf) \$250,000 Guaranteed
Thu. Aug 13, 2015	Sat. Aug 22, 2015	22	TVG PACIFIC CLASSIC (Gr. I)	Three-year-olds and upward	1 1/4 Miles \$1,000,000 Guaranteed
Thu. Aug 13, 2015	Sun. Aug 23, 2015	23	DEL MAR MILE (Gr. II)	Three-year-olds and upward	One Mile (Turf) \$200,000 Guaranteed
Thu. Aug 20, 2015	Fri. Aug 28, 2015	24	GENEROUS PORTION STAKES	Fillies, two years old, bred in CA	Six Furlongs \$150,000 Guaranteed
Thu. Aug 20, 2015	Sat. Aug 29, 2015	25	EL CAJON STAKES	Three-year-olds	One Mile \$100,000 Guaranteed
Thu. Aug 20, 2015	Sun. Aug 30, 2015	26	TORREY PINES STAKES (Gr. III)	Fillies, three years old	One Mile \$100,000 Guaranteed
Thu. Aug 27, 2015	Fri. Sep 04, 2015	27	I'M SMOKIN STAKES	Two-year-olds, bred in CA	Six Furlongs \$150,000 Guaranteed
Fri. Jun 05, 2015	Sat. Sep 05, 2015	28	DEL MAR DEBUTANTE (Gr. I)	Fillies, two years old	Seven Furlongs \$300,000 Guaranteed
Thu. Aug 27, 2015	Sat. Sep 05, 2015	29	DEL MAR JUVENILE TURF	Two-year-olds	One Mile (Turf) \$100,000 Guaranteed
Thu. Aug 27, 2015	Sun. Sep 06, 2015	30	YELLOW RIBBON HANDICAP (Gr. II)	Fillies and mares, three years old and upward	1 1/16 Miles (Turf) \$200,000 Guaranteed
Thu. Aug 27, 2015	Sun. Sep 06, 2015	31	DEL MAR DERBY (Gr. II)	Three-year-olds	1 1/8 Miles (Turf) \$250,000 Guaranteed
Thu. Aug 27, 2015	Mon. Sep 07, 2015	32	DEL MAR JUVENILE FILLIES TURF	Fillies, two years old	One Mile (Turf) \$100,000 Guaranteed
Fri. Jun 05, 2015	Mon. Sep 07, 2015	33	DEL MAR FUTURITY (Gr. I)	Two-year-olds	Seven Furlongs \$300,000 Guaranteed

EXHIBIT 1

Exhibit 1 Continued - 2015 Del Mar Stakes Schedule

Running Date	#	Event	2015 Value
Sat. Jul 18, 2015	Overnight Stakes	Osunitas Stakes	\$80,000 Added
Wed. Jul 22, 2015	Overnight Stakes	Wickerr Stakes	\$80,000 Added
Fri. Aug 07, 2015	Overnight Stakes	Daisycutter Handicap	\$80,000 Added
Fri. Aug 14, 2015	Overnight Stakes	Sandy Blue Handicap	\$80,000 Added
Wed. Aug 19, 2015	Overnight Stakes	Green Flash Handicap	\$80,000 Added
Fri. Aug 21, 2015	Overnight Stakes	CTT and Thoroughbred Owners of California Handicap	\$80,000 Added
Wed. Aug 26, 2015	Overnight Stakes	Harry F. Brubaker Stakes	\$80,000 Added
Wed. Sep 02, 2015	Overnight Stakes	Tranquility Lake Stakes	\$80,000 Added
Sun. Sep 06, 2015	Overnight Stakes	C.E.R.F. Stakes	\$80,000 Added
Mon. Sep 07, 2015	Overnight Stakes	Pirate's Bounty Stakes	\$80,000 Added

\$800,000

EXHIBIT 1 (CONTINUED)

## Exhibit 1 Continued - 2014 Del Mar Stakes Schedule

Running Date	#	Event	2014 Value
Thu. Jul 10, 2014	Overnight Stakes	Osunitas Stakes	\$90,000 Added
Thu. Jul 17, 2014	Overnight Stakes	Wickerr Stakes	\$90,000 Added
Thu. Jul 31, 2014	Overnight Stakes	Daisycutter Handicap	\$90,000 Added
Thu. Aug 07, 2014	Overnight Stakes	Green Flash Handicap	\$90,000 Added
Thu. Aug 07, 2014	Overnight Stakes	Sandy Blue Handicap	\$90,000 Added
Thu. Aug 14, 2014	Overnight Stakes	CTT and Thoroughbred Owners of California Handicap	\$90,000 Added
Thu. Aug 21, 2014	Overnight Stakes	Harry F. Brubaker Stakes	\$90,000 Added
Thu. Aug 21, 2014	Overnight Stakes	Tranquility Lake Stakes	\$90,000 Added
Thu. Aug 28, 2014	Overnight Stakes	C.E.R.F. Stakes	\$90,000 Added
Thu. Aug 28, 2014	Overnight Stakes	Pirate's Bounty Stakes	\$90,000 Added

\$900,000

Exhibit 1 - 2014 Del Mar Stakes Schedule

Closing Date	Running Date	#	Event	Distance	2014 Value
Thu. Jul 10, 2014	Thu. Jul 17, 2014	1	OCEANSIDE STAKES Three-year-olds, N/W S/S of \$50,000 at 1 M o/o in 2014	One Mile (Turf)	\$100,000 Added
Thu. Jul 10, 2014	Fri. Jul 18, 2014	2	CTBA STAKES Fillies, two years old, bred in CA	5 1/2 Furlongs	\$100,000 Guaranteed
Thu. Jul 10, 2014	Sat. Jul 19, 2014	3	SAN CLEMENTE HANDICAP (Gr. II) Fillies, three years old	One Mile (Turf)	\$200,000 Guaranteed
Thu. Jul 10, 2014	Sun. Jul 20, 2014	4	EDDIE READ STAKES (Gr. I) Three-year-olds and upward	1 1/8 Miles (Turf)	\$300,000 Guaranteed
Thu. Jul 17, 2014	Fri. Jul 25, 2014	5	COUGAR II HANDICAP (Gr. III) Three-year-olds and upward	1 1/2 Miles	\$100,000 Guaranteed
Thu. Jul 17, 2014	Sat. Jul 26, 2014	6	FLEET TREAT STAKES Fillies, three years old, bred in CA	Seven Furlongs	\$200,000 Guaranteed
Thu. Jul 17, 2014	Sat. Jul 26, 2014	7	SAN DIEGO HANDICAP (Gr. II) Three-year-olds and upward	1 1/16 Miles	\$200,000 Guaranteed
Thu. Jul 17, 2014	Sun. Jul 27, 2014	8	CALIFORNIA DREAMIN' HANDICAP Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Thu. Jul 17, 2014	Sun. Jul 27, 2014	9	BING CROSBY STAKES (Gr. I) Three-year-olds and upward	Six Furlongs	\$300,000 Guaranteed
Thu. Jul 24, 2014	Wed. Jul 30, 2014	10	GRADUATION STAKES Two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000 Guaranteed
Thu. Jul 24, 2014	Fri. Aug 01, 2014	11	REAL GOOD DEAL STAKES Three-year-olds, bred in CA	Seven Furlongs	\$200,000 Guaranteed
Thu. Jul 24, 2014	Sat. Aug 02, 2014	12	CLEMENT L. HIRSCH STAKES (Gr. I) Fillies and mares, three years old and upward	1 1/16 Miles	\$300,000 Guaranteed
Thu. Jul 24, 2014	Sun. Aug 03, 2014	13	BEST PAL STAKES (Gr. II) Two-year-olds	6 1/2 Furlongs	\$200,000 Guaranteed
Thu. Jul 31, 2014	Wed. Aug 06, 2014	14	SORRENTO STAKES (Gr. II) Fillies, two years old	6 1/2 Furlongs	\$200,000 Guaranteed
Thu. Jul 31, 2014	Sat. Aug 09, 2014	15	LA JOLLA HANDICAP (Gr. III) Three-year-olds	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Thu. Jul 31, 2014	Sun. Aug 10, 2014	16	JOHN C. MABEE STAKES (Gr. II) Fillies and mares, three years old and upward	1 1/8 Miles (Turf)	\$250,000 Guaranteed
Thu. Aug 07, 2014	Sat. Aug 16, 2014	17	DEL MAR OAKS (Gr. I) Fillies, three years old	1 1/8 Miles (Turf)	\$300,000 Guaranteed
Thu. Aug 07, 2014	Sun. Aug 17, 2014	18	RANCHO BERNARDO HANDICAP (Gr. III) Fillies and mares, three years old and upward	6 1/2 Furlongs	\$150,000 Guaranteed
Thu. Aug 07, 2014	Sun. Aug 17, 2014	19	SOLANA BEACH HANDICAP Fillies and mares, three years old and upward, bred in CA	One Mile (Turf)	\$150,000 Guaranteed
Thu. Aug 14, 2014	Sat. Aug 23, 2014	20	DEL MAR HANDICAP (Gr. II) Three-year-olds and upward	1 3/8 Miles (Turf)	\$200,000 Guaranteed
Thu. Aug 14, 2014	Sun. Aug 24, 2014	21	PAT O'BRIEN STAKES (Gr. II) Three-year-olds and upward	Seven Furlongs	\$250,000 Guaranteed
Thu. Aug 14, 2014	Sun. Aug 24, 2014	22	DEL MAR MILE (Gr. II) Three-year-olds and upward	One Mile (Turf)	\$250,000 Guaranteed
Thu. Aug 14, 2014	Sun. Aug 24, 2014	23	TVG PACIFIC CLASSIC (Gr. I) Three-year-olds and upward	1 1/4 Miles	\$1,000,000 Guaranteed
Thu. Aug 21, 2014	Wed. Aug 27, 2014	24	GENEROUS PORTION STAKES Fillies, two years old, bred in CA	Six Furlongs	\$150,000 Guaranteed
Thu. Aug 21, 2014	Fri. Aug 29, 2014	25	EL CAJON STAKES Three-year-olds	One Mile	\$100,000 Guaranteed
Fri. Jun 06, 2014	Sat. Aug 30, 2014	26	DEL MAR DEBUTANTE (Gr. I) Fillies, two years old	Seven Furlongs	\$300,000 Guaranteed
Thu. Aug 21, 2014	Sun. Aug 31, 2014	27	TORREY PINES STAKES (Gr. III) Fillies, three years old	One Mile	\$100,000 Guaranteed
Thu. Aug 21, 2014	Sun. Aug 31, 2014	28	DEL MAR DERBY (Gr. II) Three-year-olds	1 1/8 Miles (Turf)	\$300,000 Guaranteed
Thu. Aug 21, 2014	Mon. Sep 01, 2014	29	I'M SMOKIN STAKES Two-year-olds, bred in CA	Six Furlongs	\$150,000 Guaranteed
Thu. Aug 21, 2014	Mon. Sep 01, 2014	30	OAK TREE JUVENILE FILLIES TURF Fillies, two years old	One Mile (Turf)	\$100,000 Guaranteed
Thu. Aug 21, 2014	Mon. Sep 01, 2014	31	YELLOW RIBBON HANDICAP (Gr. II) Fillies and mares, three years old and upward	1 1/16 Miles (Turf)	\$200,000 Guaranteed
Thu. Aug 28, 2014	Wed. Sep 03, 2014	32	OAK TREE JUVENILE TURF Two-year-olds	One Mile (Turf)	\$100,000 Guaranteed
Fri. Jun 06, 2014	Wed. Sep 03, 2014	33	DEL MAR FUTURITY (Gr. I) Two-year-olds	Seven Furlongs	\$300,000 Guaranteed

## EXHIBIT 2

## POST TIMES FOR PROPOSED DAILY RACING PROGRAM

2015

RACE	REGULAR (Wed, Thurs, Sat & Sun)	FRIDAYS
1 <sup>st</sup>	2:00 p.m.	4:00 p.m.
2 <sup>nd</sup>	2:30 p.m.	4:30 p.m.
3 <sup>rd</sup>	3:00 p.m.	5:00 p.m.
4 <sup>th</sup>	3:30 p.m.	5:30 p.m.
5 <sup>th</sup>	4:00 p.m.	6:00 p.m.
6 <sup>th</sup>	4:30 p.m.	6:30 p.m.
7 <sup>th</sup>	5:00 p.m.	7:00 p.m.
8 <sup>th</sup>	5:30 p.m.	7:30 p.m.
9 <sup>th</sup> *	6:00 p.m.	
10 <sup>th</sup> *	6:30 p.m.	
11 <sup>th</sup> *	7:00 p.m.	

\*On certain days to be determined

4. RACING ASSOCIATION

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.  
**Full Disclosure Statement already on file with CHRB**

C. CORPORATION

1. Registered name of the corporation: **Del Mar Thoroughbred Club**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **559096**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

<b>David H. Batchelder</b>	<b>Chairman of the Board</b>	<b>1 Share</b>
<b>Joseph W. Harper</b>	<b>Director, President, General Manager &amp; CEO</b>	<b>1 "</b>
<b>Rollin W. Baugh</b>	<b>Director</b>	<b>1 "</b>
<b>James R. Buell</b>	<b>Director</b>	<b>1 "</b>
<b>Jon S. Kelly</b>	<b>Director</b>	<b>1 "</b>
<b>Warner Lusardi</b>	<b>Director</b>	<b>1 "</b>
<b>Marie G. Moretti</b>	<b>Director</b>	<b>1 "</b>
<b>Martin J. Wygod</b>	<b>Director</b>	<b>1 "</b>
<b>Michael R. Ernst</b>	<b>Executive VP, Finance and Admin./CFO</b>	<b>0</b>
<b>Thomas S. Robbins</b>	<b>Executive VP, Racing &amp; Industry Relations</b>	<b>0</b>
<b>Craig A. Dado</b>	<b>Executive VP, Chief Marketing Officer</b>	<b>0</b>
<b>Josh Rubinstein</b>	<b>Executive VP, Chief Operating Officer</b>	<b>0</b>
<b>Lynn Wright</b>	<b>VP and Controller</b>	<b>0</b>
<b>Robert Sanchez</b>	<b>VP, Plant</b>	<b>0</b>
<b>Tim Read</b>	<b>VP, Operations and Collective Bargaining</b>	<b>0</b>
<b>Ann Hall</b>	<b>VP, Human Resources / Administration</b>	<b>0</b>

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **None**
6. Number of outstanding shares in the corporation: **8**
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**  
 A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to Section F.  
 If yes, answer questions 10-17. NA Yes  No
10. Registered name of the corporation: **NA**
11. State where incorporated: **NA**

12. Registry or file number for the corporation: NA
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: NA
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: NA
15. Number of outstanding shares in the corporation: NA
16. Are the shares listed for public trading? NA Yes  No   
If yes, on what exchange and how is the stock listed: NA
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: NA

#### D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

#### E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

#### F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file.**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and

profits.

- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

## G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

<b>Michael R. Ernst</b>	<b>Executive VP, Finance and Administration/CFO</b>
<b>Thomas S. Robbins</b>	<b>Executive VP, Racing &amp; Industry Relations</b>
<b>Craig A. Dado</b>	<b>Executive VP, Chief Marketing Officer</b>
<b>Josh Rubinstein</b>	<b>Executive VP, Chief Operating Officer</b>
<b>Timothy A. Read</b>	<b>Vice President, Operations &amp; Collective Bargaining</b>
<b>Ann Hall</b>	<b>Vice President, Human Resources &amp; Administration</b>
<b>David Jerkens</b>	<b>Racing Secretary</b>
<b>Mac McBride</b>	<b>Director of Media</b>
<b>Daniel G. Smith</b>	<b>Senior Media Supervisor</b>
<b>Lynn Wright</b>	<b>Vice President, Controller</b>
<b>Jacquelyn King</b>	<b>Director of Group Sales</b>
<b>Paul Porter</b>	<b>Director of Simulcasting and Racetrack Services</b>
<b>Walker McBride</b>	<b>Director of Sponsorship and Digital Media</b>
<b>William D. Navarro</b>	<b>Director of Parimutuels</b>
<b>Nancy Bonforte</b>	<b>Director, Turf Club</b>
<b>Robert Sanchez</b>	<b>Vice President, Plant</b>
<b>Kim Jacobson</b>	<b>Director of Risk Management</b>
<b>Trevor Denman</b>	<b>Public Address Announcer</b>
<b>Rich Tedesco</b>	<b>Track Superintendent</b>
<b>Leif Dickinson</b>	<b>Turf Course / Grounds Superintendent</b>
<b>Chris Bahr</b>	<b>Director of Events and Promotions</b>
<b>Greg Baugh</b>	<b>Customer Service Manager</b>

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**Joseph W. Harper – President, General Manager & Chief Executive Officer**  
**PO Box 700, Del Mar, CA 92014 / [joeh@dmtd.com](mailto:joeh@dmtd.com)**

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: **.50cPNP/Players Pick Five wager**  
 Proposed percentage: **14% takeout**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Exhibit 3**

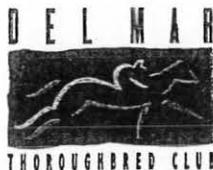
**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	On-Track Handle	Off-Track Handle	On-Track Attendance	Off-Track Attendance
2014	78,285,174	362,768,570	595,249	236,778
2013	90,726,963	391,513,876	653,259	257,763
2012	87,474,905	371,209,337	652,034	270,265
2011	77,224,199	353,054,386	660,245	283,426
2010	78,362,626	370,569,534	662,521	305,778

## EXHIBIT 3



Josh Rubinstein  
Executive Vice President &  
Chief Operating Officer

April 1, 2015

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2015 Summer Season, July 16<sup>th</sup> – September 7<sup>th</sup>.

- Players Pick 5 - .50¢ minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick Five Wager is noted on DMTC's license application in Section 5(1).

Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "JR", is written over the typed name "Josh Rubinstein".

Josh Rubinstein  
Executive Vice President and  
Chief Operating Officer

cc: Joe Harper  
Mike Ernst  
Joe Morris, TOC



## EXHIBIT 3

April 2, 2015

### OFFICERS

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BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
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ED MOGER  
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NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

### CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

### CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2015 Summer Race Meet

Dear Ms. Wagner,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" wager on the first five (5) races of the day, subject to a 14% takeout, on each racing day of its 2015 Summer meet, July 16 - September 7, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

cc: Josh Rubenstein

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):****A. Purse distribution:**

1. All races other than stakes:
 

Current meet estimate:	16,109,450
Prior meet actual:	15,674,124

  
 Average Daily Purse (7 A1 ÷ number of days):
 

Current meet estimate:	402,736
Prior meet actual:	435,392
  
2. Overnight stakes:
 

Current meet estimate:	800,000
Prior meet actual:	900,000

  
 Average Daily Purse (7 A2 ÷ number of days):
 

Current meet estimate:	20,000
Prior meet actual:	25,000
  
3. Non-overnight stakes:
 

Current meet estimate:	5,600,000
Prior meet actual:	5,541,700

  
 Average Daily Purse (7 A3 ÷ number of days):
 

Current meet estimate:	140,000
Prior meet actual:	153,936
  
4. Total Purses: (7A1+7A2+ 7A3)
 

Current meet estimate:	22,509,450
Prior meet actual:	22,115,824

**B. California-bred Stakes Races:**

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 

Current meet estimate:	18.75%
Prior meet actual:	18.63%

  
 Average Daily Purse (7 B1 ÷ number of days):
 

Current meet estimate:	0.47%
Prior meet actual:	0.52%

**C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):**

- |                        |           |
|------------------------|-----------|
| Current meet estimate: | 1,811,583 |
| Prior meet actual:     | 1,633,732 |

**D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):**

	Current meet estimate:	Prior meet actual:
TOC Administration	193,471	175,527
CTT Administration	96,736	87,763
CTBL Workers' Comp	193,471	175,527
CTT Backstretch Pension	193,471	175,527
NTRA Dues	<u>27,346</u>	<u>33,315</u>
Total	704,494	647,659

**E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen**

(7 A+7 C+7 D):

Current meet estimate:	25,025,527
Prior meet actual:	24,397,215

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:	625,638
Prior meet actual:	677,700

**F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):**

Current meet estimate:	15,986,395
Prior meet actual:	13,998,562

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:	399,660
Prior meet actual:	388,849

**G. Purse funds to be generated from interstate handle:**

Current meet estimate:	8,232,360
Prior meet actual:	7,009,172

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:	205,809
Prior meet actual:	194,699

**H. Bank and account number for the Paymaster of Purses' purse account:**

Bank of America, 450 B Street Suite 1500, San Diego CA 92101, Account # 1459935424

**I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:**

Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days

following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **2,000**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,695**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- |                      |     |
|----------------------|-----|
| ◦ Los Alamitos       | 700 |
| ◦ San Luis Rey Downs | 495 |
| ◦ Galway Downs       | 500 |
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**Los Alamitos / San Luis Rey Downs – In Negotiations**  
**Galway Downs – In Negotiations**  
 Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.
- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **2,100**  
 (Note: as of 2015 total number 2,000)
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:  
**\$746,943**  
**\$9.92 cost per day per stall**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:  
**Estimated vanning cost: \$136,440**
- |                              |       |
|------------------------------|-------|
| Vanning per horse (one way): |       |
| Santa Anita                  | \$160 |
| Los Alamitos                 | \$160 |
| San Luis Rey Downs           | \$ 90 |
| Galway Downs                 | \$ 90 |

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	Type of Wager(s):	Applicable Rule(s):
Example Race:	\$5E, \$2DD	CHRB#1959; RCI #VE
Race #1	\$2Q, \$1E, \$1PK3, \$2DD <sup>2</sup> , \$1 PPN, \$1TRI, .10¢SF, .50¢P(n)P (Pick 5) <sup>3</sup>	CHRB#1958, 1959, 1977, 1957, 1976.8, 1979, 1979.1, 1976.9
Race #2	\$2Q, \$1E, \$1PK3, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1976.9
Race #3	\$2Q, \$1E, \$1PK3, \$1TRI, .10¢SF, *\$2P(n)P (Pick 6), \$2DD <sup>2</sup>	CHRB#1958, 1959, 1977, 1979, 1979.1, 1976.9, 1957
Race #4	\$2Q, \$1E, \$1PK3, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1976.9
Race #5	\$2Q, \$1E, \$1PK3, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup> , P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1978, 1976.9
Race #6	\$2Q, \$1E, *\$1PK3, .10¢SF, \$1TRI, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup>	CHRB#1958, 1959, 1977, 1979.1, 1979, 1957, 1978
Race #7	\$2Q, \$1E, *\$1PK3, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, 1977
Race #8	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup> , *\$1 SUPER HIGH FIVE	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, ARCI 004-015 Pick(n) Position(x) Pool(s)
Race #9*	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD <sup>2</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957
Race #10*	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD <sup>2</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957
Race #11*	\$2Q, \$1E, \$1TRI, .10¢SF	CHRB#1958, 1959, 1979, 1979.1

<sup>1</sup> .50¢SPK4 offered in the last four races daily.

<sup>2</sup> \$2DD offered every race daily, except the last race.

<sup>3</sup> .50¢PNP (Pick 5) This Players Pick Five wager will begin with the first race each day and offer a 14% takeout.

\* Days with 9, 10 or 11 races, the types of wagers offered based on this schedule will be rolled forward or backward accordingly.

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: None
- C. List any options requested with regard to exotic wagering: None
- D. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
 July 15 through September 7, 2015  
 9:00 a.m. every other day except Tuesdays.
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(2) supplying equipment, and expiration date of the service contract:

Totalisator Central System

Sportech Racing, LLC Quantum Data Center located in Mt. Laurel, New Jersey  
 Sportech Racing representative: Tom Kelso  
 Contract expiration date: September 2015

Terminals:

BetJet RT2s (teller operated/self service conversion) BetJet SLs (dedicated self service)

IBM PC account wagering terminals

HandStan personal account wagering terminals WalkaBout teller operated portable wagering terminals

**10. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
 DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, Xpress-Bet.com and Twin Spires.com, Watch and Wager and Bet America for Internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar's season).
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. TVG, Watch and Wager, Bet America -On file  
 Xpressbet, TwinSpires – In Negotiations-copy provided when finalized
- C. Have the contract agreements been approved by the respective horsemen's groups?  
 Yes  No

If yes, attach a copy of the approval. **Exhibit 4**  
 If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may

April 3, 2015

**EXHIBIT 4**

Mr. Josh Rubenstein  
 Del Mar Thoroughbred Club  
 P.O. Box 700  
 Del Mar, CA 92014

RE: 2015 Del Mar Summer Race Meeting ADW Approvals

Dear Josh,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Del Mar Thoroughbred Club (DMTC) race signals under the terms and conditions set forth below.

DMTC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire (subject to arbitration), WatchandWager, Game Play Network Inc. and Bet America to accept wagers on DMTC and other Thoroughbred races during the 2015 DMTC Summer race meeting, July 16 – September 7, 2015, as follows:

1. **California wagering on DMTC races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
  - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
  - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on DMTC races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager and Bet America.

Very truly yours,

Joe Morris  
 President

cc: Tom Varela, Monarch Content Management

**OFFICERS**

MIKE PEGRAM  
 CHAIR  
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 VICE CHAIR, SOUTHERN CA.  
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 WWW.TOCONLINE.COM

accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**11. SIMULCAST WAGERING PROGRAM**

- A. **Simulcast organization engaged by the association to conduct simulcast wagering:**  
Southern California Off Track Wagering, Inc. "SCOTWINC"
- B. **Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.**  
SCOTWINC Agreement is on file with CHRB.
- C. **California simulcast facilities the association proposes to offer its live audiovisual signal:**  
Exhibit 5
- D. **Out-of-state wagering systems the association proposes to offer its live audiovisual signal:**  
Exhibit 6
- E. **Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:**  
Exhibit 7
- F. **California mini-simulcast facilities the association proposes to offer its live audiovisual signal:**  
Exhibit 8
- G. **For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":**  
Exhibit 9

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. **For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":** N/A

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

## Exhibit 5

## Satellites

Alameda County Fair, Pleasanton  
Antelope Valley Fair, Lancaster  
Barona Resort & Casino, Lakeside  
Big Fresno Fair, Fresno  
Cabazon Fantasy Springs Casino, Indio  
California State Fair & Expo, Sacramento  
Fairplex Park @ Barretts, Pomona  
Fresno Club One, Fresno  
Golden Gate Fields, Albany  
Hollywood Park Casino, Inglewood  
Humboldt County Fair, Ferndale  
Los Alamitos Racecourse, Los Alamitos  
Monterey County Fair, Monterey  
National Orange Show, San Bernardino  
San Bernardino County Fair, Victorville  
San Joaquin County Fair, Stockton  
Santa Clara County Fair, San Jose  
Santa Anita Park, Arcadia  
Shalimar Sports Center, Indio  
Shasta District Fair, Anderson  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Sports Pavilion, The Farmers Fair, Lake Perris  
Stanislaus County Fair, Turlock  
Sycuan Resort & Casino, El Cajon  
The Jockey Club, San Mateo  
Ventura County Fair, Ventura  
Viejas Casino & Turf Club, Alpine

## Exhibit 6

Alberta Downs, Alberta, Canada	Elite Turf Club, Curacao
AmWest Entertainment, KY	Ellis Park, Kentucky
Arapahoe Park, Colorado	Elmira Raceway, Ontario
Arima Race Course, Trinidad	Equus St.Thomas, St. Thomas
Arlington International Race Course, Illinois	Emerald Downs, Washington
Assiniboia Downs, Canada	Euro Offtrack, Isle of Man
Atlantic City Casino Assn., New Jersey	Evangeline Downs, Louisiana
Atlantis Resort and Casino, Bahamas	Evansville/Clarksville OTB, Indiana
Balmoral Park, Illinois	Fair Chance Racing Services, Trinidad
Bangor Raceway, Maine	Fairgrounds Racecourse, Louisiana
Barrie Raceway, Ontario	Fair Meadows, Texas
Batavia Downs, New York	Fairmount Park, Illinois
Belterra Race Course, OH	Finger Lakes Race Track, New York
Bet America, LLC, North Dakota	Flamboro Downs, Ontario
Bet Fair Games Limited, UK	Fonner Park, Nebraska
Bettor Racing OTB, South Dakota	Fort Erie Racetrack, Canada
Birmingham Race Course, Alabama	Foxwoods Casino, Connecticut
Bluff Runs Greyhound, Idaho	Fraser Downs, British Columbia
Bordertown OTB, Oklahoma	Freehold Raceway, New Jersey
Buffalo Trotting Association, New York	Gillespie County Downs, Texas
Bwin International, Ltd.	Grand River, Canada
Calder Race Course, Florida	Greentrack, Alabama
Caliente, Mexico	GWS German Tote, Germany
Canterbury Park, Minnesota	Gulf Greyhound, Texas
Capital District OTB, New York	Gulfstream Park, Florida
Catskill Regional OTB, New York	Hanover Raceway, Canada
Caymanas Race Track, Jamaica	Harrington Raceway, Delaware
Charles Town Races, West Virginia	Hastings Park, Canada
Chester Downs - Pennsylvania	Hawthorne Race Course, Illinois
Churchill Downs, Kentucky	Hazel Park, Michigan
Clinton Teletheatre, Canada	Hiawatha Downs, Ontario
Club Hipico Inturf, Venezuela	Hipica de Panama, Panama
Coeur d' Alene Casino, Idaho	Hipodromo Camarero, Puerto Rico
Colonial Downs Accounts, Virginia	Hipodromo Montericco, Peru
Columbus Races, Nebraska	Ho-Chuck Casino, Connecticut
Connecticut OTB, Connecticut	Hoosier Park, Indiana
Corpus Cristi Greyhound, Texas	Horsemen's Park, Nebraska
Cypress Bayou, Louisiana	Indiana Downs, Indiana
Dayton Park, OH	Inverness Raceway, Canada
Divi Carina Bay Casino, Louisiana	John Martins Manor, Maine
Delaware Park, Delaware	Kawartha Downs, Ontario
Delta Downs, Louisiana	Keeneland, Kentucky
Dover Downs, Delaware	Kentucky Downs, Kentucky
Downs at Albuquerque, New Mexico	Kentucky OTB, Kentucky
Ebet Online, Oregon	Las Vegas Dissemination Company, Nevada

## Exhibit 6

Les Bois Park, Idaho  
 Lewiston Raceway, Maine  
 Lien Games, North Dakota  
 Lincoln Greyhound Park, Rhode Island  
 Lone Star Race Park, Texas  
 Louisiana Downs, Louisiana  
 Magna Bet, Austria  
 Mahoning Valley Race Course, OH  
 Maronas Race Track, Uruguay  
 Marquis Downs, Saskatchewan  
 Maryland Jockey Club, Maryland  
 Maywood Park, Illinois  
 Meskwaki Casino, Oklahoma  
 Miami Valley Gaming, Ohio  
 Millennium Racing, Trinidad  
 Millers OTB, Maine  
 Mobile Greyhound Park, Alabama  
 Mohegan Sun, Pennsylvania  
 Monmouth Park, New Jersey  
 Montana OTB, Montana  
 Monticello Raceway, NY  
 Mountaineer Park, West Virginia  
 Nassau Regional OTB, New York  
 Nebraska State Fair Park, Nebraska  
 Nevada Pari-Mutuel Association, Nevada  
 NJSEA, New Jersey  
 New Jersey Mobile, New Jersey  
 New York Racing Association, New York  
 Newport Jai-Alai, Rhode Island  
 Northfield Park, Ohio  
 Northlands Park, Canada  
 Northville Downs, Michigan  
 Oaklawn Park, Arkansas  
 Ocean Downs, Maryland  
 Offtrackbetting.com, Oregon  
 Oneida Bingo and Casino, Oklahoma  
 Ontario Teletheatre, Ontario  
 Paragon Casino, Louisiana  
 Penn National Race Course, Pennsylvania  
 Philadelphia Park, Pennsylvania  
 Phumelela (Premier Gateway), South Africa  
 Pojoaque Casino, Oklahoma  
 Potawatomi Casino, Wisconsin  
 Picov Downs, Canada  
 Pimlico Race Course, Maryland  
 Plainridge Race Course, Massachusetts  
 Plainridge Telephones, Massachusetts  
 Player Management Group, CA  
 Pocono Downs, Pennsylvania  
 Portland Meadows, Oregon  
 Prairie Meadows, Iowa  
 Premier Turf Club, North Dakota  
 Presque Isle Downs, Pennsylvania  
 Racing2Day, North Dakota  
 Racing and Gaming Services, St Kitts  
 Remington Park, Oklahoma  
 Retama Park, Texas  
 Rideau Carleton Raceway, Canada  
 Riders Up OTB, Oklahoma  
 Rockingham Park, New Hampshire  
 Rocky Mountain Turf Club, Canada  
 Royal Beach Casino, St Kitts  
 Ruidoso Downs, New Mexico  
 Saddle Brook Jockey Club, TX  
 Sam Houston Park, Texas  
 Sandy Downs, Idaho  
 Saratoga Harness, New York  
 Scarborough Downs, Maine  
 Scioto Downs, Ohio  
 Seabrook Greyhound Park, NH  
 Shoreline Star Greyhounds, Connecticut  
 Skydancer Casino, Oklahoma  
 Social Gaming Technologies, North Dakota  
 Sol Mutuel, St. George's, Grenada  
 Southland Greyhound Park, Arkansas  
 Sports Center, Kentucky  
 Suffolk District OTB, New York  
 Suffolk Downs, Massachusetts  
 Sunland Park Racetrack, New Mexico  
 Sun Ray Park, New Mexico  
 TabCorp, Australia  
 Tampa Bay Downs, Florida  
 TattsBet, Australia  
 Taunton Dog Track, New Hampshire  
 TBC Teletheatre, British Columbia  
 The Meadows, Pennsylvania  
 Thistledown, Ohio  
 Tioga Downs, New York  
 Tote Investment Services, Trinidad  
 Tri-State Greyhound, West Virginia

## Exhibit 6

Truro Raceway, Canada  
TVG, Los Angeles  
TVG Chester, Pennsylvania  
TVG Prairie Meadows, Iowa  
TVG, Arizona  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
Twin Spires, Kentucky  
Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Watch and Wager, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Pennsylvania  
Yonkers Raceway, New York  
Zia Park, New Mexico

## Exhibit 7

Alberta Downs, Alberta, Canada  
 AmWest Entertainment, KY  
 Arapahoe Park, Colorado  
 Arima Race Course, Trinidad  
 Arlington International Race Course, Illinois  
 Assiniboia Downs, Canada  
 Atlantic City Casino Assn., New Jersey  
 Atlantis Resort and Casino, Bahamas  
 Balmoral Park, Illinois  
 Bangor Raceway, Maine  
 Barrie Raceway, Ontario  
 Batavia Downs, New York  
 Belterra Race Course, OH  
 Bet America, LLC, North Dakota  
 Bet Fair Games Limited, UK  
 Bettor Racing OTB, South Dakota  
 Birmingham Race Course, Alabama  
 Bluff Runs Greyhound, Idaho  
 Bordertown OTB, Oklahoma  
 Buffalo Trotting Association, New York  
 Bwin International, Ltd.  
 Calder Race Course, Florida  
 Canterbury Park, Minnesota  
 Capital District OTB, New York  
 Catskill Regional OTB, New York  
 Charles Town Races, West Virginia  
 Chester Downs - Pennsylvania  
 Churchill Downs, Kentucky  
 Clinton Teletheatre, Canada  
 Club Hipico Inturf, Venezuela  
 Coeur d' Alene Casino, Idaho  
 Colonial Downs Accounts, Virginia  
 Columbus Races, Nebraska  
 Connecticut OTB, Connecticut  
 Corpus Cristi Greyhound, Texas  
 Cypress Bayou, Louisiana  
 Dayton Park, OH  
 Divi Carina Bay Casino, Louisiana  
 Delaware Park, Delaware  
 Delta Downs, Louisiana  
 Dover Downs, Delaware  
 Downs at Albuquerque, New Mexico  
 Ebet Online, Oregon  
 Elite Turf Club, Curacao  
 Ellis Park, Kentucky  
 Elmira Raceway, Ontario  
 Equus St. Thomas, St. Thomas  
 Emerald Downs, Washington  
 Euro Offtrack, Isle of Man  
 Evangeline Downs, Louisiana  
 Evansville/Clarksville OTB, Indiana  
 Fair Chance Racing Services, Trinidad  
 Fairgrounds Racecourse, Louisiana  
 Fair Meadows, Texas  
 Fairmount Park, Illinois  
 Finger Lakes Race Track, New York  
 Flamboro Downs, Ontario  
 Fonner Park, Nebraska  
 Fort Erie Racetrack, Canada  
 Foxwoods Casino, Connecticut  
 Fraser Downs, British Columbia  
 Freehold Raceway, New Jersey  
 Gillespie County Downs, Texas  
 Grand River, Canada  
 Greentrack, Alabama  
 GWS German Tote, Germany  
 Gulf Greyhound, Texas  
 Gulfstream Park, Florida  
 Hanover Raceway, Canada  
 Harrington Raceway, Delaware  
 Hastings Park, Canada  
 Hawthorne Race Course, Illinois  
 Hazel Park, Michigan  
 Hiawatha Downs, Ontario  
 Hipica de Panama, Panama  
 Hipodromo Camarero, Puerto Rico  
 Hipodromo Montericco, Peru  
 Ho-Chuck Casino, Connecticut  
 Hoosier Park, Indiana  
 Horsemen's Park, Nebraska  
 Indiana Downs, Indiana  
 Inverness Raceway, Canada  
 John Martins Manor, Maine  
 Kawartha Downs, Ontario  
 Keeneland, Kentucky  
 Kentucky Downs, Kentucky  
 Kentucky OTB, Kentucky  
 Las Vegas Dissemination Company, Nevada  
 Les Bois Park, Idaho  
 Lewiston Raceway, Maine

## Exhibit 7

Lien Games, North Dakota  
 Lincoln Greyhound Park, Rhode Island  
 Lone Star Race Park, Texas  
 Louisiana Downs, Louisiana  
 Magna Bet, Austria  
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 Maronas Race Track, Uruguay  
 Marquis Downs, Saskatchewan  
 Maryland Jockey Club, Maryland  
 Maywood Park, Illinois  
 Meskwaki Casino, Oklahoma  
 Miami Valley Gaming, Ohio  
 Millennium Racing, Trinidad  
 Millers OTB, Maine  
 Mobile Greyhound Park, Alabama  
 Mohegan Sun, Pennsylvania  
 Monmouth Park, New Jersey  
 Montana OTB, Montana  
 Monticello Raceway, NY  
 Mountaineer Park, West Virginia  
 Nassau Regional OTB, New York  
 Nebraska State Fair Park, Nebraska  
 Nevada Pari-Mutuel Association, Nevada  
 NJSEA, New Jersey  
 New Jersey Mobile, New Jersey  
 New York Racing Association, New York  
 Newport Jai-Alai, Rhode Island  
 Northfield Park, Ohio  
 Northlands Park, Canada  
 Northville Downs, Michigan  
 Oaklawn Park, Arkansas  
 Ocean Downs, Maryland  
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 Oneida Bingo and Casino, Oklahoma  
 Ontario Teletheatre, Ontario  
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 Phumelela (Premier Gateway), South Africa  
 Pojoaque Casino, Oklahoma  
 Potawatomi Casino, Wisconsin  
 Picov Downs, Canada  
 Pimlico Race Course, Maryland  
 Plainridge Race Course, Massachusetts  
 Plainridge Telephones, Massachusetts  
 Player Management Group, CA  
 Pocono Downs, Pennsylvania  
 Portland Meadows, Oregon  
 Prairie Meadows, Iowa  
 Premier Turf Club, North Dakota  
 Presque Isle Downs, Pennsylvania  
 Racing2Day, North Dakota  
 Racing and Gaming Services, St Kitts  
 Remington Park, Oklahoma  
 Retama Park, Texas  
 Rideau Carleton Raceway, Canada  
 Riders Up OTB, Oklahoma  
 Rockingham Park, New Hampshire  
 Rocky Mountain Turf Club, Canada  
 Royal Beach Casino, St Kitts  
 Ruidoso Downs, New Mexico  
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 Sam Houston Park, Texas  
 Sandy Downs, Idaho  
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 Scarborough Downs, Maine  
 Scioto Downs, Ohio  
 Seabrook Greyhound Park, NH  
 Shoreline Star Greyhounds, Connecticut  
 Skydancer Casino, Oklahoma  
 Social Gaming Technologies, North Dakota  
 Sol Mutuel, St. George's, Grenada  
 Southland Greyhound Park, Arkansas  
 Sports Center, Kentucky  
 Suffolk District OTB, New York  
 Suffolk Downs, Massachusetts  
 Sunland Park Racetrack, New Mexico  
 Sun Ray Park, New Mexico  
 Tampa Bay Downs, Florida  
 Taunton Dog Track, New Hampshire  
 TBC Teletheatre, British Columbia  
 The Meadows, Pennsylvania  
 Thistledown, Ohio  
 Tioga Downs, New York  
 Tote Investment Services, Trinidad  
 Tri-State Greyhound, West Virginia  
 Truro Raceway, Canada  
 TVG, Los Angeles  
 TVG Chester, Pennsylvania  
 TVG Prairie Meadows, Iowa

Exhibit 7

- TVG, Arizona
- Turf Paradise, Arizona
- Turfway Park, Kentucky
- Twin River Greyhounds, RI
- Twin Spires, Kentucky
- Valley Greyhound Park, Texas
- Velocity Wagering Ltd., KY
- Venezuela OTB, Venezuela
- Vernon Downs, New York
- Watch and Wager, San Francisco
- Western Fair, Ontario
- Western Regional OTB, New York
- Wheeling Downs, Canada
- Will Rogers Downs, Oklahoma
- Woodbine Entertainment Corp, Ontario
- Wyoming Downs, Wyoming
- Wyoming Horse Racing LLC, Wyoming
- XpressBet, Pennsylvania
- Yonkers Raceway, New York
- Zia Park, New Mexico

## Exhibit 8

Mini-Satellites - South

M1. Commerce Casino  
6131 East Telegraph Road  
Commerce, CA 90040  
Phone: (323) 721-2100  
Contact: Ralph Wong

M2. OC Tavern  
2369 South El Camino Real  
San Clemente, CA 92672  
Phone (949) 542-8877  
Contact: Micheal Merrigan

M3. Santa Maria  
Original Roadhouse Grill  
1423 S. Bradley Rd.  
Santa Maria, CA 93454  
Phone: (805) 614-0586  
Contact John Tintle

M4. Santa Clarita Lanes  
21615 W. Soledad Canyon Rd.  
Saugus, CA 93454  
Phone: (661) 254-0540  
Contact Craig Goodman

M5. Sammy's of Lake Forest  
23221 Lake Center Drive  
Lake Forest, CA 92630  
Phone: (949) 716-2367  
Contact: Brad McKinzie

M6. Tilted Kilt  
1345 E. Thousand Oaks Blvd.  
Thousand Oaks CA. 91362  
Phone: (805) 777-7418  
Contact: Robert Haupt

M7. Ocean's 11 Casino  
121 Brooks Street  
Oceanside, CA 92054  
Phone: (888) 439-6988  
Contact: Steve Gallagher

**Exhibit 8****M8. Striders Bar & Grill (Opening Pending)**

2895 Hamner Avenue

Norco, CA 92860

PH: 310-200-9666

Contact: Lance Ku

**M9. Striders SD Bar & Grill (Opening Pending)**

100 Harbor Drive

San Diego, CA 92101

PH: 310-200-9666

Contact: Lance Ku

**M10. Firehouse Restaurant**

7701 White Avenue

Bakersfield, CA 93313

PH: 310-200-9666

Contact: Russ Johnson

**Mini-Satellites – North****M9. Bankers Casino**

111 Monterey Street

Salinas, CA 93901

Phone: 831-372-8106

Contact: Dustin Stafford

## EXHIBIT 9

**Full & Partial Cards**

Arlington Park: July 15, 2015 - September 9, 2015  
Belmont Park July 15, 2015 – July 19, 2015  
Delaware Park: July 15, 2015 - September 9, 2015  
Delta Downs: July 15, 2015 – September 9, 2015  
Ellis Park: July 15, 2015 - September 9, 2015  
Emerald Downs: July 15, 2015 - September 9, 2015  
Evangeline Downs: July 15, 2015 - September 9, 2015  
Fairmount Park: July 15, 2015 - September 9, 2015  
Finger Lakes: July 15, 2015 - September 9, 2015  
Gulfstream Park: July 15, 2015 - September 9, 2015  
Indiana Grand: July 15, 2015 - September 9, 2015  
Louisiana Downs: July 15, 2015 - September 9, 2015  
Monmouth Park: July 15, 2015 - September 9, 2015  
Mountaineer Park: July 15, 2015 - September 9, 2015  
PARX Racing: July 15, 2015 - September 9, 2015  
Penn National: July 15, 2015 - September 9, 2015  
Presque Isle Downs: July 15, 2015 - September 9, 2015  
Saratoga Race Course: July 24, 2015 - September 9, 2015  
Suffolk Downs: July 15, 2015 - September 9, 2015  
Thistledown: July 15, 2015 - September 9, 2015

Woodbine: July 15, 2015 - September 9, 2015  
Assiniboia Downs: July 15, 2015 - September 9, 2015  
Fort Erie: July 15, 2015 – September 9, 2015  
Hastings Park: July 15, 2015 - September 9, 2015  
Northlands Park: July 15, 2015 - September 9, 2015  
LARC (South America) via Maryland Hub: July 15, 2015 - September 9, 2015  
LARC (South America) via Gulfstream Hub: July 15, 2015 - September 9, 2015

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Breed of Horse      Race Dates      Number of Races to be Imported

9/6/15	Ruidoso	All American Derby	I	\$2,300,000	440 Yards
9/7/15	Ruidoso	All American Futurity	I	\$3,000,000	440 Yards

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**12. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
**Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014**
  
- B. Names and addresses of the trustees or directors of the distributing agent:

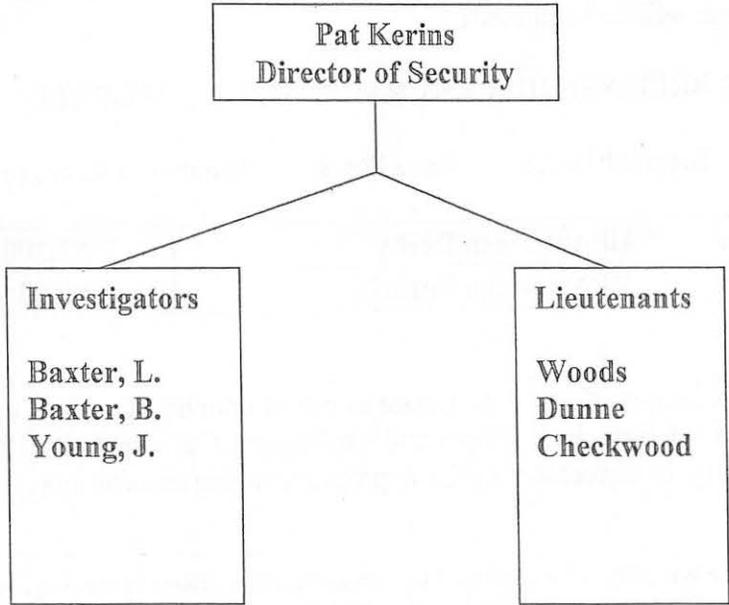
**EXHIBIT 10**

**Security Personnel**

Person responsible for security controls on the premises:

Pat Kerins, Director of Security.

Security Department:\*



Contact Information

Director of Security	Work 858-755-1141 ext. 3652
James Young	Work 858-755-1141 ext. 3698
All others	Work 858-755-1141 ext. 3650

\*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

EXHIBIT 10 (Continued)

2015 GATE SCHEDULE

Gate	Shifts																								
	12am	1am	2am	3am	4am	5am	6am	7am	8am	9am	10am	11am	12pm	1pm	2pm	3pm	4pm	5pm	6pm	7pm	8pm	9pm	10pm	11pm	12pm
24 hr Main Gate																									
18 hr Solana Gate																									
19 hr Official Gate																									
8 hr Stable Gate																									

David H. Batchelder 12400 High Bluff Drive, Ste. 600 San Diego, CA 92130	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Joseph W. Harper P.O. Box 700 Del Mar, CA 92014
Jon S. Kelly Tres Palomas P.O. Box 2574 Rancho Santa Fe, CA 92067	Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078
Marie G. Moretti 1916 Via di Salerno Pleasanton, CA 94566	Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
  - Association Veterinarian(s) Dana Stead, VMD
  - Clerk of Scales Charles McCaul
  - Assistant Clerk of Scales Chris Merz
  - Clerk of the Course Melanie Stubblefield
  - Film Specialist Ruben Hernandez
  - Horse Identifier Dianne Piper
  - Horseshoe Inspector Victor Tovar
  - Paddock Judge Karen Denovel
  - Patrol Judges Sue Brent
  - Karen Denovel
  - Heather Correa
  - Robert Moreno
  - Tora Yamaguchi
  - Merry Young
  - Jay Slender
  - Russell Hudak
- Placing Judges
- Starter
- Timer



## EXHIBIT 11

March 13, 2015

Joe Harper  
President & CEO  
Del Mar Thoroughbred Club  
P.O. Box 700  
Del Mar, CA 92014

Dear Joe,

I, Mike Murphy, General Manager of American Medical Response, hereby affirm, under the penalty for perjury, that all American Medical Response Ambulance Service, Inc., d/b/a American Medical Response ("AMR") Emergency Medical Technicians and paramedics assigned to Del Mar Thoroughbred Club activities are fully licensed and certified. Please note that this statement certifies all AMR personnel assigned to the Del Mar Thoroughbred Club are fully credentialed in San Diego County.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael Murphy", is written over a faint, circular stamp. The signature is written in a cursive style with a long horizontal stroke at the end.

Michael Murphy  
General Manager  
American Medical Response

Michael Murphy  
General Manager  
American Medical Response  
8808 Balboa Avenue, Suite 150, San Diego, CA 92123  
(t): 858.492.3514; (f) 858.492.3515; Michael.murphy@amr.net



# COUNTY OF SAN DIEGO

## EMERGENCY MEDICAL SERVICES

### EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: AMERICAN MEDICAL RESPONSE

Effective Date: August 1, 2014

Expiration Date: July 31, 2015

Approved as: ALS   
BLS   
CCT

Authorized by:

*Mercedes Mith*  
Permit Officer, Emergency Medical Services

Permit Number: 14-119



STATE OF CALIFORNIA  
DEPARTMENT OF CALIFORNIA HIGHWAY PATROL

**EMERGENCY AMBULANCE  
NON-TRANSFERABLE LICENSE**  
CHP 380A (REV. 01-00) OPI 062

CONTROL NUMBER 1786	LICENSE NUMBER 1786	ISSUE DATE 3/21/2014	EFFECTIVE DATE 5/20/2014	EXPIRATION DATE 5/19/2015
CHP CARRIER NUMBER CA	LOCATION 081	<input checked="" type="checkbox"/> Duplicate <input type="checkbox"/> Initial	<input type="checkbox"/> Replacement <input checked="" type="checkbox"/> Renewal	

**PROPERTY OF THE CALIFORNIA HIGHWAY PATROL (CHP)**

This license is **NON-TRANSFERABLE** and must be surrendered to the CHP upon demand or as required by law. A majority change in ownership or control of the licensed activity shall require a new license. This license may be renewed within the 30-day period prior to the expiration date indicated above.

Ambulance operations must cease immediately upon expiration of this license. **THERE IS NO GRACE PERIOD FOR A LICENSED ACTIVITY.** The Department will accept an application for renewal during the 30-day period following the license expiration date provided all required documentation is complete and accompanied by the initial license fee of \$200.00. For license information contact CHP, Research and Planning Section at (916) 843-3440.

<b>SERVICE NAME AND PHYSICAL ADDRESS</b> <i>(only if different from below)</i>
AMERICAN MEDICAL RESPONSE, INC. Sonoma Life Support; Professional Amb.; S. F. Ambulance; Mobile Life
<b>SERVICE NAME AND MAILING ADDRESS</b>
AMERICAN MEDICAL RESPONSE, INC. Sonoma Life Support; Professional Amb.; S. F. Ambulance; Mobile Life 4846 STRATOS WAY MODESTO, CA 95356 Attention: WILLIAM SANGER, CEO

RIVERSIDE COUNTY  
EMERGENCY  
MEDICAL SERVICES AGENCY

AMBULANCE OPERATOR  
PERMIT IS ISSUED TO:

SYMONS AMBULANCE  
18592 CAJON BLVD.  
SAN BERNARDINO, CA 92407

IS PERMITTED TO OPERATE BLS/CCT AMBULANCE  
SERVICE IN RIVERSIDE COUNTY

7/1/2014

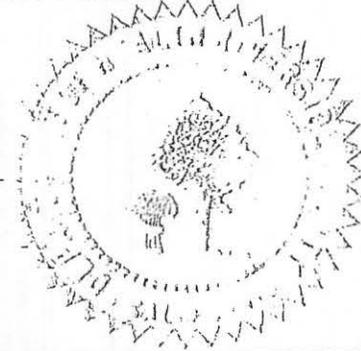
Issued Date

6/30/2015

Expiration Date



Bruce Barton, Director  
Riverside County Emergency Medical Services Agency



**MERCY**  
*Medical  
Transportation  
Inc.*

ADMINISTRATION  
P.O. Box 5004  
Mariposa, CA  
95338-5004  
(760) 751-9797  
FAX (760) 751-8880

May 11, 2015

California Horse Racing Board

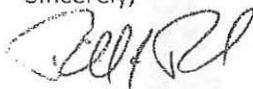
Re: Ambulance Certification Letter

Dear Sirs,

Mercy Medical Transportation, Inc. employs only Paramedics that are State Certified and Licensed and San Diego County Certified and Licensed. All of our Paramedics have background checks, drug tests and have been sent through the Department of Justice. Mercy also participates in the DMV pull notice program.

Mercy has a continuous quality improvement plan and high standards for continuous training of our personnel.

Sincerely,



Richard F. Roesch  
President





# COUNTY OF SAN DIEGO

## EMERGENCY MEDICAL SERVICES

### EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: MERCY MEDICAL TRANSPORTATION, INC.

Effective Date: December 1, 2014

Expiration Date: November 30, 2015

Approved as: ALS   
BLS   
CCT

Authorized by:

*Marilyn Mitz*  
Permit Officer, Emergency Medical Services

Permit Number: 14-130

**B. Management officials in the racing department:**

<b>Executive Vice President, Racing</b>	<b>Thomas S. Robbins</b>
<b>Racing Secretary</b>	<b>David Jerkens</b>
<b>Assistant Racing Secretary</b>	<b>Zach Soto, Tora Yamaguchi</b>
<b>Paymaster of Purses</b>	<b>Bridget Crawford</b>

Others (identify by name and title) N/A

**C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:**

**Michelle Derieg**  
**Weinstein Court Reporters**  
**PO Box 26634**  
**Santa Ana, CA 92799**  
**(714) 964-7102**

**D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:**

**Plusmic Corporation, William O'Brien, Digital Photo Finish Only**  
**Contract Expires 12/31/2017**

**E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.**

**TVG**  
**Expiration: 6/30/2017**  
**Equipment list on file with CHRB**

**For each race TVG will provide:**

- Two manned pan cameras located near the judge's room in the press box providing a wide and a tight view of each race, turf and dirt.
- Four manned tower cameras:
  - Backstretch head-on (Training Track Camera Tower)
  - Far turn and Stretch run back view (Quarter Chute Camera Tower)
  - Stretch-run head-on (Livestock Barn Camera Tower) - turf
  - Stretch-run head-on (Livestock Barn Camera Tower) - dirt
- 2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners
- A camera in winner circle
- A 16 x 9 aspect ratio pan camera located on the 7th floor providing race coverage for the in house video board presentation
- TVG will provide talent for this event

**F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:**

**TRAKUS**

**Electronic timing device: 2.4 CHz spread spectrum transceiver**

**Name of person: Michael Ciacciarelli (Trakus LLC)**  
**Ken Davis, Mike Schneider (Jockey Club Racetrack Services)**

**Expiration date: July 2016**

Del Mar Thoroughbred Club  
Jockey On-Track Accident Response Program

**Overview:** The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

**Program Elements:**

**I. Medical Professionals On-Site During Racing - Summary**

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Scripps Coastal Medical Clinics to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. At minimum each ambulance is staffed by a Paramedic and a certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

**II. Jockey Information Health System**

DMTC participates in the Jockey Information Health System through Encompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

**II. Area Hospitals and Rapid Admittance**

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.
- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary they will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.

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Jockey On-Track Accident Response Program

- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
- d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
  - a. Scripps La Jolla  
9888 Genesee Avenue  
La Jolla, CA 92037  
Tel: 858.626.4123
  - b. Scripps Encinitas  
354 Santa Fe Drive  
Encinitas, CA 92024  
Tel: 760.753.4123

### III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

### IV. On-site First Aid Facilities and Staffing

- a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will be a licensed physician and medical assistant on duty.
- b. Advanced Life Support Ambulances (ALS) – A Paramedic and Certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one, at a minimum (1) Paramedic and one (1) Certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times. (Depending on staffing for the day there may be times when two paramedics are on a rig).

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Jockey On-Track Accident Response Program

c. **Municipal Response Capabilities-** DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

**V. Ambulance and Medical Transport Protocols**

- a. Contracted ambulance service – DMTC contracts with a licensed Emergency Medical Services provider who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

**VI. The Emergency Medical Plan**

These steps will be followed in the event of an on-track accident:

**General Conditions**

- o On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- o If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital emergency care; they will direct the medical transport team as to which hospital to respond to.)

**Communications**

- o Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- o Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- o DMTC security dispatch will monitor the official channel at all times.
- o Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

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**Response During Training Periods**

- During training periods, Paramedic and EMT will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist Rural/Metro with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet Rural/Metro unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program, will be treated on-track or at the DMTC medical clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

**Response During Pre-Race (Paddock)**

- Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.
- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

**Accidents During Live Racing**

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed -circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.

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- In the event of a multiple rider down situation Team 1 will call for immediate backup from Team 2 at which time Team 2 will enter the track to assist. If the situation is going to require additional response from outside Team 1 will request outside units through Security Dispatch.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.
- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,
- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

**Please note:** Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

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**VII. Posting This Plan**

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

**IIIX. Updates**

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

## Club de Caballos Purasangre Hipódromo Del Mar Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

**Visión General:** El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

### Elementos del Programa:

#### I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Scripps Coastal Medical Clinics" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado del Programa Bridge (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

#### II. Sistema de Salud para la Información del Jinete

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de Encompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fax directamente a la sala de emergencia del hospital.

#### III. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.

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- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se trasladará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el accidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
  - a. Scripps La Jolla  
9888 Genesee Avenue  
La Jolla, CA 92037  
Tel: 858.626.4123
  - b. Scripps Encinitas  
354 Santa Fe Drive  
Encinitas, CA 92024  
Tel: 760.753.4123

#### IV. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

#### V. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo se proporcionará un médico licenciado y ayudante médico en servicio.

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- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá un (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado en el sitio. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento.
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

#### VI. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata a Ambulancias que proporciona ALS para responder ante las emergencias médicas que puedan surgir en la pista y proporcionará servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.
- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

#### VII. Plan Médico de Emergencia

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

##### Condiciones Generales

- o Los Paramédicos y EMT en el sitio responderán a cualquier accidente que observen de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- o Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripp atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

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**Comunicaciones**

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

**Respuesta Durante los Periodos de Entrenamiento**

- Durante los periodos de entrenamiento, el Paramédico y EMT determinarán la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica si fuera necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a "San Diego Medical Enterprises Services" a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.
- Las unidades municipales se reunirán en la unidad de "San Diego Medical Enterprises" en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista en el centro médico de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

**Respuesta Durante la Pre-carrera (Caballeriza)**

- El Equipo 2 de EMT estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el area de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.

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- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite,
- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

**Accidentes Durante la Carrera en Directo**

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión según lo aconsejado por el Personal de Seguridad de DMTC,
- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o reemplazar a la unidad de la pista si fuera necesario por el Equipo 1 abandonar la pista por cualquier razón.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.
- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para atención médica exhaustiva y tratamiento según se necesite,

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- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS se requiere que abandone los campos la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica hasta que regrese la unidad al terreno.
- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

**Por favor tenga presente:** Bajo ninguna circunstancia la información con respecto al estado médico del jinete se proporcionará a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

### VIII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista se expondrá en Inglés y Español en las siguientes localizaciones:

La Sala del Jinete está situada en la primera planta de las Gradas del Clubhouse

- a. Las instalaciones médicas en el sitio de DMTC, y
- b. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

### IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC, los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.

## 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Exhibit 10**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **75**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **The security for stakes races will be deployed in accordance with our agreement with TOC.**
  2. Detention Stalls:
    - A. Attach a plan for use of graded stakes or overnight races.  
**Detention barn is used as required by the CHRB.**
    - B. Number of security guards in the detention stall area during a 24-hour period.  
**As needed at the direction of the CHRB.**
    - C. Describe number and location of surveillance cameras in detention stall area.  
**For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shed-row from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' office.**
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All**
    - B. Plan for enhanced surveillance for trainers with high-test results.  
**Will follow CHRB directives.**
    - C. Plan for detention stalls for repeat offenders.  
**Will follow CHRB directives.**
    - D. Number of security personnel assigned to the TCO2 program.  
**Assigned as needed**
- C. Describe the electronic security system:  
**Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.**
1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**Del Mar Thoroughbred Club utilizes seven pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.**
- D. For night racing associations. Describe emergency lighting system: **N/A**

**15. EMERGENCY SERVICES**

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**American Medical Response**  
**8808 Balboa Avenue, Suite 150, San Diego, CA 92123**  
**(858) 492.3514**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Exhibit 11**

**On-track staff: Advanced Life Support Ambulances (ALS) – Paramedics and certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place.**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Los Alamitos**

**Huntington Ambulance**  
**Contact: Stacy O'Bryan**  
**PO Box 145**  
**Sunset Beach, CA 90742**  
**(562) 904-1550**

**San Luis Rey Downs**

**Mercy Ambulance**  
**Contact: Doug Moriarty**  
**2537 Old San Pasqual Rd**  
**Escondido, CA 92027**  
**(760) 525-9676**

**Galway Downs**

**Symons Emergency Specialties**  
**Contact: Jeff Grange**  
**18592 Cajon Rd**  
**San Bernardino, CA 92407**  
**(909) 880-2979**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Huntington Ambulance Certificate: On File**
- **Mercy Ambulance: On File**
- **Symons Emergency Specialties: On File**

C. Describe the on-track first aid facility, including equipment and medical staffing:

**The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day and Pacific Classic Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.**

**The equipment contained in the first aid clinic includes:**

- **Oxygen at each station**
- **Cardiac monitor**
- **AED**
- **Otoscope**

- Ophthalmoscope
- Pulse Oximeter
- B/P Cuff
- Thermometer
- Bag Valve mask
- Surgical equipment (used for laceration repair and suture removal)

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
(If quarter horse racing association sees D (1) :

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Scripps Coastal Medical Center - and rotate on site for the racing days. The doctors include:

Ariel Alexander Cortes, MD  
John K. Agostino, MD  
Athanasios J. Foster, MD  
Melinda E. Nevins, DO  
Philip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):  
N/A

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.

Scripps La Jolla  
988 Genesee Ave., La Jolla, CA 92037 858-626-4123

Scripps Encinitas  
354 Santa Fe Drive, Encinitas, CA 92024 760-753-4123

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 12

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Health and Safety Manager (Director of Risk Manager): Kimberly Jacobson  
Assistant Manager (Risk Management/Conservation Supervisor): Jeremiah Mendoza

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Inspection scheduled for July 15, 2015**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
**Berkshire Hathaway Homestate  
Cypress Insurance Company  
DEWC601389**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

**Exhibit 13**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**16. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

**Exhibit 14**

Does the association provide its own concessions?    Yes        No   

**17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans: for the race meeting:

**Exhibit 15**



EXHIBIT 14CONCESSIONS:

Wes Westley, CEO  
Premier Food Services, Inc./SMG  
300 Conshohocken State Road  
Conshohocken, PA 19428

TIP SHEETS:

Bruce M. Andrews, Jr.  
Deuce Bruce  
5910 Rancho Mission Rd. #33  
San Diego, CA 92108

Bob Baedeker  
Baedeker, Inc.  
130 West El Portal  
San Clemente, CA 92672

John Acquarelli  
Duke Racing Selections  
4615 Triesta  
Carlsbad, CA 92010

Toby Turrell  
Winners  
14112 Enfield Circle  
Westminster, CA 92683

Tiffany Bohland  
Bob's Card, Inc.  
166 Halifax Lane  
Medina, OH 44256

SADDLERY SERVICES:

Frank Wipfli  
Western Saddlery, Inc.  
7038 Commerce Circle  
Pleasanton, CA 94588

RACING COLORS:

June Gee  
West Coast Racing Colors  
11920 Goldring Rd. Ste G  
Arcadia, CA 91006

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez  
**Garda Cash Logistitics**  
8807 Complex Drive  
San Diego, CA 92123

Rayetta Burr  
**Benoit & Associates, Inc.**  
Track Photographers  
PO Box 60014  
Arcadia, CA 91066-6014

Michael Costello  
**United/Puett Electrical Starting Gates**  
224 Tater Hill Rd.  
East Haddam, CT 06423

**Scripps Coastal Medical Center**  
Track Physicians  
380 Stevens Ave., Suite 100  
Solana Beach, CA 92075

Steve Stiles  
**Stiles Animal Removal Inc.**  
P.O. Box 1103  
Guasti, CA 91743-1103

Deven Owen  
**West Coast Feed**  
PO Box 893007  
Temecula, CA 92589

Gary S. Bell  
**Citrus Feed**  
PO Box 249  
La Verne, CA 91750

Angel P. Unamuno  
**Angel's Feed**  
4518 Rowland Ave  
El Monte, CA 91731

Michael Brunner  
**Westwalk Corp.**  
Hotwalkers  
2711 N. Sepulvada Blvd. # 312  
Manhattan Beach, CA 90266

EXHIBIT 15

2015 MARKETING OVERVIEW



## Marketing Overview

All the marketing efforts at Del Mar support a two-part strategy:

1. Provide value to our regular customers
2. Attract new and occasional customers by promoting the “Cool As Ever” brand and diversifying our entertainment offerings

The first of the two strategies relies heavily on database marketing tactics. The focus of these tactics is the Diamond Club, which is a free loyalty club that offers members half-priced admission each time they attend as well as occasional free admissions and seats, discounted food and beverage, free bets and contests as well as wagering rebates to our biggest bettors. The Diamond Club was launched in 2006 and now has over 200,000 members. Each time members take advantage of Diamond Club benefits, they must scan their membership card, which in turn feeds the information to our state-of-the-art data warehouse. As the number of Diamond Club members expands, and as the amount of information we gather about our members grows, it allows us to market to our regular patrons in a more effective and efficient manner. For example, the data warehouse can tell us which customers tend to come to the races on weekends, weekdays, pick six carryover days, giveaway days, etc. With this information, we can use database marketing and social media techniques to send the appropriate messages to the appropriate customers in a very cost-effective manner. As the data warehouse continues to grow, we will utilize the data findings even more aggressively to promote Del Mar to our current race fans.

One of the benefits of using database marketing as the principal tactic for attracting current customers is that it allows us to use traditional media (television, radio, print, online, outdoor, etc.) to accomplish our second strategy – attracting new and occasional customers. We sell Del Mar to this target market as a cool and sexy entertainment alternative. Our advertising campaigns include the slogan, “Del Mar, Cool as Ever”, and feature beautiful women in locations such as the beach, high-fashion runways and the racetrack. The focus of our ad campaigns is fashion and style. Admittedly, this tactic is not common in horse racing, and one that would no doubt make little sense in other markets. However, Del Mar is in a unique situation. With only an eight-week meet in the middle of summer, with a location right on the beach and with our attractive and youthful clientele, we pay it off.

Beyond advertising, virtually everything we do from a marketing perspective supports the Cool As Ever brand and positions Del Mar as an entertainment option that is more than simply horse racing. These tactics include:

- Publicity efforts focused on non-sports coverage in magazines such as *Playboy*, *Vanity Fair*, *Maxim*, *Riviera* and *San Diego Magazine*
- Events such as Hats Contests, Craft Beer Festivals, Concerts, Food Truck Festivals, Chef Competitions, Fun Runs, Wiener Dog Races and Reggae Festivals
- An alternative web site catering to a new, young fan base
- Fun specialty drinks such as the Del Margarita and the Del Martini
- Entertainment Between Races such as Sing with Bing, hippity hop races and weekly contests
- An emphasis on attracting cool celebrities to Del Mar

Obviously these marketing tactics fly in the face of traditional racetrack marketing. However, we feel traditional racetrack marketing has little chance of attracting a new, younger fan base, and that we need to be perceived as more than just horseracing in order to grow our business in the competitive San Diego entertainment market. So far this dual marketing strategy has paid off. In addition to our on-track attendance numbers growing steadily since 2000, Del Mar was named the "Best Dressed Brand" in San Diego by the San Diego Ad Club.

## Promotions

Most promotions and events at Del Mar adhere to the “Cool as Ever” brand. Therefore, in addition to traditional racetrack promotions, such as giveaways and mystery mutuel tickets, Del Mar features cool events that appeal to a younger demographic.

- **Opening Day** – This day has grown to be one of the largest parties in San Diego and one of the biggest days on the national racing calendar. It is supported with very aggressive publicity and media campaigns. The “Cool as Ever” advertising campaign fits very well with the party atmosphere generated on Opening Day. The festivities include the popular “Opening Day Hats Contest”. Attendance on Opening Day in 2014 was 42,021, an increase of 33% since 2000.



- **Four O'clock Fridays** – Fridays feature a late post time and top-name bands performing after the races. This formula offers a popular weekend kick-off event that is consistently one of Del Mar's most successful and profitable promotions. The event attracts both men and women in the desirable 18- to 34-year-old demographic. The bands in past years have included Jack Johnson, The Offspring, The Violent Femmes, The B-52's and Cake. The concert series and the late post time have completely changed the "culture" of Fridays at Del Mar – from the concert area to the Turf Club – by adding a sense of excitement and energy, which supports our brand better than any other promotion.



- **Craft Beer Festivals** – The Festivals are held during the races on the far west end of the facility. They were created to expand on the success of the popular Four O'clock Friday series and target a slightly older 21-40 year-old crowd. The Beer Festivals feature a wide array of specialty craft beers with well over 100 selections, including many breweries from the San Diego market, which has recently exploded into one of the top areas in the country. Following the races, performers such as Billy Idol, Sammy Hagar, Counting Crows, ZZ top and Weezer perform on the Seaside Stage with the Pacific Ocean as the backdrop. The events are advertised aggressively via television, radio, print, online, direct mail and social media and historically draw some of the biggest crowds of the season.

Many Beer Fest attendees are new to Del Mar. By offering complimentary programs, newcomer seminars and free *Daily Racing Form* Easy Forms, we hope to get them to the betting windows. While the transaction amounts are not exceedingly large at the Beer Fest, the attendees do wager, as evidenced by the healthy lines at the mutuel windows each race.



- **Gourmet Food Truck Festival** – Gourmet food trucks have become a phenomenon in Southern California and routinely draw thousands of people for festivals. The inaugural Del Mar Food Truck Festival contributed significantly to a total crowd of 28,909 and featured trucks from San Diego as well as Orange and Los Angeles Counties. Patrons sample a wide array of foods from Cajun favorites to seafood to good old-fashioned grilled cheese sandwiches. Although the event will be advertised via television, radio, online and print, we will rely heavily on social media to promote the event. The Food Truck Festival serves as another vehicle to expose new fans to the fun and excitement of thoroughbred racing.



- **Reggae Fest** – The Reggae Fest has proven to be a natural fit in the San Diego market and overwhelming success resulting in some of the all-time top racetrack attendance days. In fact, in the 10-year history of the Del Mar Reggae Fest, total daily on-track attendance has averaged more than 36,000. The event features reggae bands, DJ's and activities during the race day to entice fans to attend during racing. The headlining bands, which have included Jimmy Cliff, Matisyahu and Ziggy Marley, perform following the races. The Reggae Fest has proven to be a wonderful extension of our "Cool As Ever" brand.



- **Bar, Restaurant and Hotel Employee Appreciation Day** – This event offers Del Mar an excellent opportunity to generate positive word-of-mouth publicity among local bar, restaurant and hotel employees. Tickets are distributed via our beer and liquor distributors. The event is held on the second Wednesday of the season, and attendance generally totals over 3,000 for this private party in the infield Pacific Pavilion. The guests enjoy an exciting day at the races and free food, live music, prizes and drink specials.
- **Party in the Paddock** – The Closing Day party serves as an appropriate bookend to Opening Day and its party atmosphere, and is always well attended. It all takes place in the Del Mar paddock (which is spectacular at night under the lights) and goes until late into the night. It is difficult for many fans to leave the Party in the Paddock, because when they do, the season is over.

In addition to the events that support our “Cool as Ever” branding efforts, we also offer promotions that appeal to a wider range of our fan base.

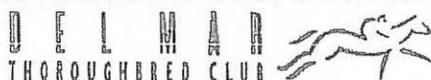
**For the Value Minded:**

- **Free & Easy Wednesdays** – We believe it is appropriate to offer a value day with significant discounts for our fans. Every Wednesday during the meet, racing fans receive free Stretch Run admission and a free reserved seat. In addition, draft beer, sodas and hot dogs are half price at designated concession stands. All patrons have to do to take part in the promotion is join the Diamond Club. This promotion, which was introduced during the 2009 season, has increased attendance numbers on Wednesdays by over 20%. On most Wednesdays, all grandstand seats are sold out due to this promotion.
- **Seniors Days** – Seniors make up a significant portion of our fan base, and they tend to be price sensitive. Therefore, on two Thursdays during the meet all seniors 62 and over will be admitted free and given a free reserved seat. In addition, seniors who are members of the Diamond Club will be admitted free every Thursday.
- **Direct Mail Offers** – Various offers will be mailed to fans on our mailing list. Offers will include free passes on a number of days as well as a special “Day on Us” which includes free parking, free admission, free program and a free *Daily Racing Form*.

- **Bounceback Days** – Historically, the slowest days of the meet come the day after our biggest days. As a way of combating that, on two of our biggest days – Opening Day and Pacific Classic Day – all fans in attendance will receive a free admission pass for the next day. This is a simple promotion that bounces back thousands of fans for an extra visit.

#### For The More Serious Racing Fans:

- **Mystery Mutuel Tickets** – Fans in our database will be sent a Mystery Ticket that ranges in value from \$5 to \$10,000. The only way they can find out how much their ticket is worth is to bring it on track and cash it. This is an old-school racetrack promotion that stills brings out the more seasoned racing fans.



A-982C-664B-E5B1

**FREE \$5 WIN BET**

**BRING TO DEL MAR ONLY  
ON SATURDAY, JULY 23  
ONE TICKET IS WORTH  
\$10,000  
GOOD FOR \$5 WIN BET ON  
ANY DEL MAR RACE  
NOT VALID AT EARLYBIRD**

9-JUN-11

A-982C-664B-E5B1



- **Giveaway Days** – Premium items will be offered to our racing fans two times this season. The items featured this year are a Del Mar Beach Bag and a Del Mar T-shirt. When promoted correctly, the right giveaway items continue to draw big crowds to the track. In addition, there is a value to having Del Mar-logoed apparel around town for the entire year.

- **The Del Mar Handicapping Challenge** – In an effort to attract the top handicappers from across the country, we host the Del Mar Handicapping Challenge. One hundred and twenty players will compete for \$180,000 in prizes plus an additional ten entries into the NTRA National Handicapping Championship in this two-day competition. Because this is a “live money” tournament that requires a \$5,000 bankroll from each player, the tournament benefits handle significantly over the two days. In addition, well over half the field for this high-end contest travel in from out of state.
- **Guaranteed Pick Four Pools** – Del Mar guarantees a \$250,000 pool on weekdays and a \$500,000 pool on weekends. In 2014, the weekday Pick Four pools averaged \$584,000 and the weekend pools averaged \$875,000.
- **Jockey Photo Day** – Get an autograph or a photo with your favorite jockey on Opening Sunday. This event has always been extremely popular with fans and their children and serves as a great way to build the star power of our jockey colony.

#### **For Families:**

- **Family Fun Day** – This event, which takes place on the opening Sunday of the meet, features free children’s activities including a giant slide and a variety of inflatables, pony rides, face painting and a free plush toy for all kids 12 and under. Nearly 6,000 kids attended the event last year. The promotion was designed to appeal to families and increase awareness of the family-friendly nature of Del Mar.
- **Family Weekends** – Every Saturday and Sunday during the meet, a smaller version of Family Fun Day takes place on the infield. These events reinforce the concept that Del Mar is a family-friendly entertainment option in San Diego.
- **Wiener Dog Nationals** – On Labor Day the top dogs in town will be back as Del Mar hosts the San Diego finals for the 2015 Wiener Dog Nationals. Two heats and a final will determine who the top dog in San Diego. This inexpensive event, done in conjunction with the Holiday Bowl, serves as a nice between-race diversion for our more casual fans.
- **Donuts Days** – A Del Mar tradition! On two Saturdays during the meet, all fans who come out to watch morning workouts are treated to free donuts, coffee and juice. Track announcer Trevor Denman hosts a behind-the-scenes look at thoroughbred racing as he interviews Del Mar jockeys and trainers for the crowd.

- **Camp Del Mar** – For those horsemen and fans who want to come to the races but are not sure what to do with the kids, Camp Del Mar is the answer. Camp Del Mar is a day camp for kids 5-12 that offers supervised activities such as arts & crafts, sports, barn tours and more. Last year nearly 1,400 kids participated in the camp.



## Fan Education

Given that many Del Mar attendees are newcomers or occasional racetrack visitors, it is imperative that we stress fan education. Some of the initiatives include:

- **Free Programs** – As they say, “you can’t tell the players without a program,” so everyone who enters the admission gate receives a complimentary program. Although most racetracks charge an additional fee for programs, we believe many racetrack newcomers would not know to buy one and would, therefore, be very unlikely to wager and learn the game of horse racing.
- **Free Newcomers’ Seminars** – Located just inside the Stretch Run admission gates in the Plaza de Mexico, these daily 30-minute seminars emphasize the basics of horse racing and are extremely popular with our newcomers.
- **Free Handicapping Seminars** – Every Saturday and Sunday patrons can listen in as handicapping experts analyze the day’s racing card and give out their selections in every race.
- **Website** – The Del Mar website features a “Beginner’s Corner” which provides helpful tips on how to prepare for a visit to the races including pre-planning, handicapping resources, wagering, track terms and more. Fans may also participate in “Night School”, the racing industry’s national, interactive online fan education forum featuring lessons from expert hosts.
- **Betting Guides** – *Today's Racing Digest* produces pocket-sized guides for Del Mar that explain racing terms and how to place a bet. The betting guides are distributed through Group Sales, Mutuel Tellers and Customer Service representatives throughout the season.
- **DRF Easy Form** – On large concert or festival days, the *Daily Racing Form* produces a simplified racing guide that is distributed to newcomers free of charge. The Easy Form covers the day’s races in a simple format that is easy to understand for newcomers. It serves as a stepping stone to the traditional *Daily Racing Form*.
- **Staff Handicappers** – Del Mar staff handicappers regularly assist Group Sales parties. The handicappers teach the basics of handicapping and betting, recommend picks and arrange paddock tours.

## VIP Program

In addition to new and casual race fans, Del Mar also has a significant number of high-end customers. For those patrons we have the Del Mar VIP recruitment and retention program. The VIP program constitutes an aggressive effort to identify significant bettors and to meet the tailored needs of those patrons through personalized attention from all segments of Del Mar management and staff. The program accommodates over 200 high-per-capita patrons with services such as arranging summer housing, local transportation, complimentary dinners at local restaurants, hotel and golf reservations, and VIP seating for the races. In addition, players that wager over \$20,000 in a calendar month are eligible for rebates via a program sponsored by the California Marketing Committee.

## Customer Service

Given the number of new and casual race fans at Del Mar, Customer Service plays a vital role in the experience of our customers. Therefore, we staff the facility with Customer Service Representatives and Self-service Wagering Assistants very liberally. We also believe it is very important to train our Customer Service employees very thoroughly.

### Weekdays (Wednesday and Thursday)

- 18 Customer Service Representatives
- 20 Self-service Wagering Assistants
- 38 Total Staffing

### Weekends (Friday, Saturday, Sunday)

- 26 Customer Service Representatives
- 30 Self-service Wagering Assistants
- 56 Total Staffing

## Database Marketing and Mobile App

Database marketing continues to be one of the key components of the media mix each season. Given that the primary advertising strategy is to target new fans that are potentially younger, it is vital that we communicate effectively with our current fan base. With that strategy in mind, Del Mar has devoted significant time and money to identify our regular players. First, a state-of-the-art data warehouse was created that pulled together all the various lists of names and information contained at the racetrack (marketing, racing, group sales, turf club, ticketing, etc.) and combined them into a single database that can be segmented into various categories. Also, in May of 2006 a new loyalty club, known as the Diamond Club, was launched. The Diamond Club, which gives members half-priced admission each time they attend the races at Del Mar, allows us to track the visitation patterns of Club members. The Diamond Club has enjoyed phenomenal growth since its inception in 2006 with membership numbers now topping over 200,000.

In 2013, Del Mar launched a mobile app. The Del Mar “app” allows on-track patrons to wager from their phone. Over \$500,000 was wagered on-track via the app last year – a number we expect to easily surpass this season. The app also contains convenient guest services such as ticketless admissions and event calendars as well as horse racing content including live video streaming of the races, live odds, race results and scratches/changes. The swiftness, flexibility and economic opportunities of social media and mobile marketing will continue to allow Del Mar the ability to evolve with emerging trends, harness a new revenue stream and directly engage with our passionate audience.

In 2015, Del Mar will merge the Diamond Club and the Del Mar app into one with the introduction of the Digital Diamond Club, which is intended to improve the member experience for Del Mar attendees and better connect DMTC with its fans. Fans have the option to use their digital devices instead of member cards for effortless registration and admission gate scanning for discounted entry. Digital members will benefit from additional marketing communications and promotions.



Approximately 230,000 pieces of direct mail will be sent out this year to racing fans in the Del Mar database. In addition to traditional mail, more than 125,000 fans will receive targeted e-mail updates from Del Mar on a year-round basis, ranging from coupons to instant notification of Pick Six carryovers to upcoming promotions and big races as well as general interest stories about various horses and horsemen.

## Internet Sites

Given that Del Mar targets two distinct audiences – racetrack regulars and newcomers – we believe it is appropriate to offer two different internet sites: [www.delmarracing.com](http://www.delmarracing.com) and [www.delmarscene.com](http://www.delmarscene.com).

### [www.delmarracing.com](http://www.delmarracing.com)

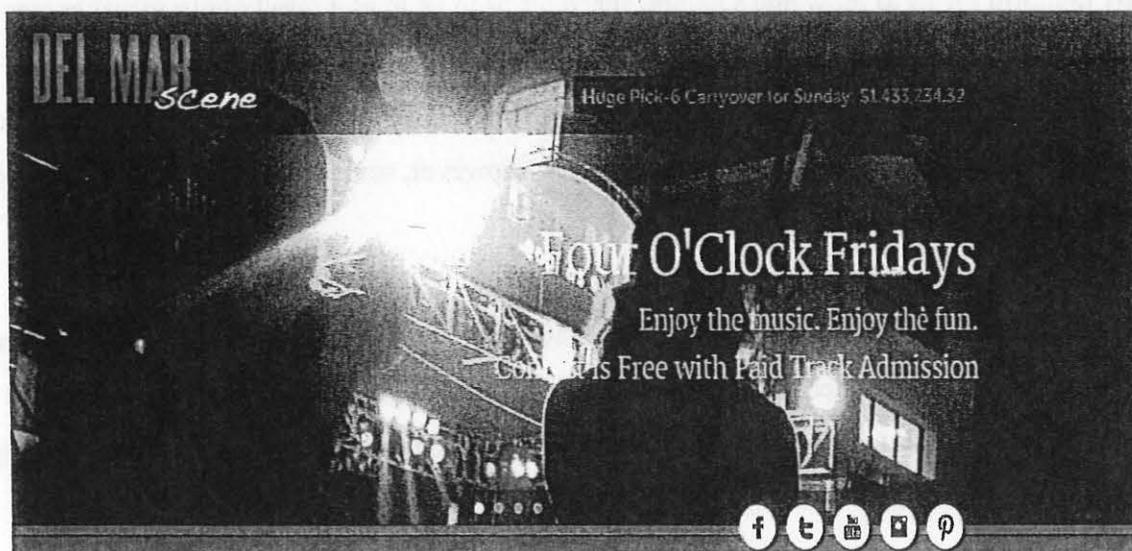
[Delmarracing.com](http://www.delmarracing.com) was completely freshened for the 2014 season with a new look and new capabilities, but the old features remained. Entries, charts, replays, real-time odds, pools and other valuable handicapping tools are offered. Over 6,000 patrons each year use the site to purchase admissions, make table reservations and reserve seats online. Additionally, a lottery-type drawing for table reservations for Opening Day and Pacific Classic Day is conducted exclusively online in advance of the regular opening of ticket sales. A new online ticketing system was implemented for the 2007 season that allowed patrons to receive immediate confirmation of their seat and table locations.

Out-of-town visitors to Del Mar are provided helpful travel aids including information about area restaurants, hotels, transportation and other local activities. They can also preview their visit by watching live shots from the Del Mar "Paddock Cam" and the nearby Del Mar "Beach Cam". They can even check out horses in their stalls with the Del Mar "Barn Cam".

Del Mar is a popular destination on the electronic superhighway as the site averages over 500,000 page requests per day during the season. The Online Handicapping Challenge, designed to encourage horseplayers to handicap and play the Del Mar card, draws nearly 7,000 players each season. The site also continues to be popular during the off-season with archived information about the past season, previews of the coming season, interactive forum and chat pages, a play-for-fun handicapping contest during other Southern California race meets and our online gift shop.

**www.delmarscene.com**

Delmarscene.com is Del Mar's "lifestyle & music" website that also serves as the hub for all our social media efforts with Facebook, Twitter, Instagram and You Tube. Launched in June of 2008, the site allows fans to access information on upcoming concerts and promotions. It also allows fans to share their personal experiences at the track with other fans. The site is a "Del Mar-centric" mix of concert schedules, photo galleries, YouTube videos and social networking. Highlights include user-generated content (blogs, photos and videos), music and band pages, a photo gallery of celebrities at Del Mar, blogs from jockeys and beginner-oriented racing information.



## Social Media

The social media goals for the 2015 season are threefold:

1. Increase awareness
2. Increase brand loyalty
3. Increase on-track attendance

The emphasis is not only on growing the size of our community, but the quality of the people and interactions. The feedback from our social media community is overwhelmingly positive, with people posting on both Facebook and Twitter how much they love Del Mar and how much they appreciate our efforts in the social space.

Facebook, which now has over 65,000 Del Mar fans within our community has proven to be an easy platform for us to grow and a natural space for us to be in as a result of our visual content. Our fans respond very favorably to the videos we share about concerts and big races, and regularly view and comment on our many photo albums. The content most often responded to involves celebrities, events, concerts and contests. However, some racing content also receives a significant response.

Twitter still has some room for growth, but despite being significantly smaller than our Facebook network, our 20,000 Twitter members are quite a bit more engaged. Twitter is best used as an engagement platform to provide customer service assistance and increase brand loyalty through one-on-one interactions.

## Media Summary

The Del Mar Media Plan features a diversified media mix consisting of broadcast television, cable television, radio, print, online, outdoor and direct mail. Although the media buy for the upcoming season is not yet complete, it is budgeted to breakdown as follows:

Broadcast Television	\$ 326,500
Cable Television	\$ 61,500
Radio	\$ 304,200
Print	\$ 96,000
Online	\$ 78,500
Outdoor	\$ 57,900
Direct Mail	\$ 115,500
 Total	 \$1,040,100

In addition to the cash media budget, DMTC negotiates barter deals with select media providers. In exchange for tickets, seating and parking privileges on days when inventory is available, DMTC receives free media. The Barter Program contributes an additional \$300,000 of media each season. This brings our total paid and non-paid advertising to over \$1.3 million for the eight-week season.

## Marketing Budget

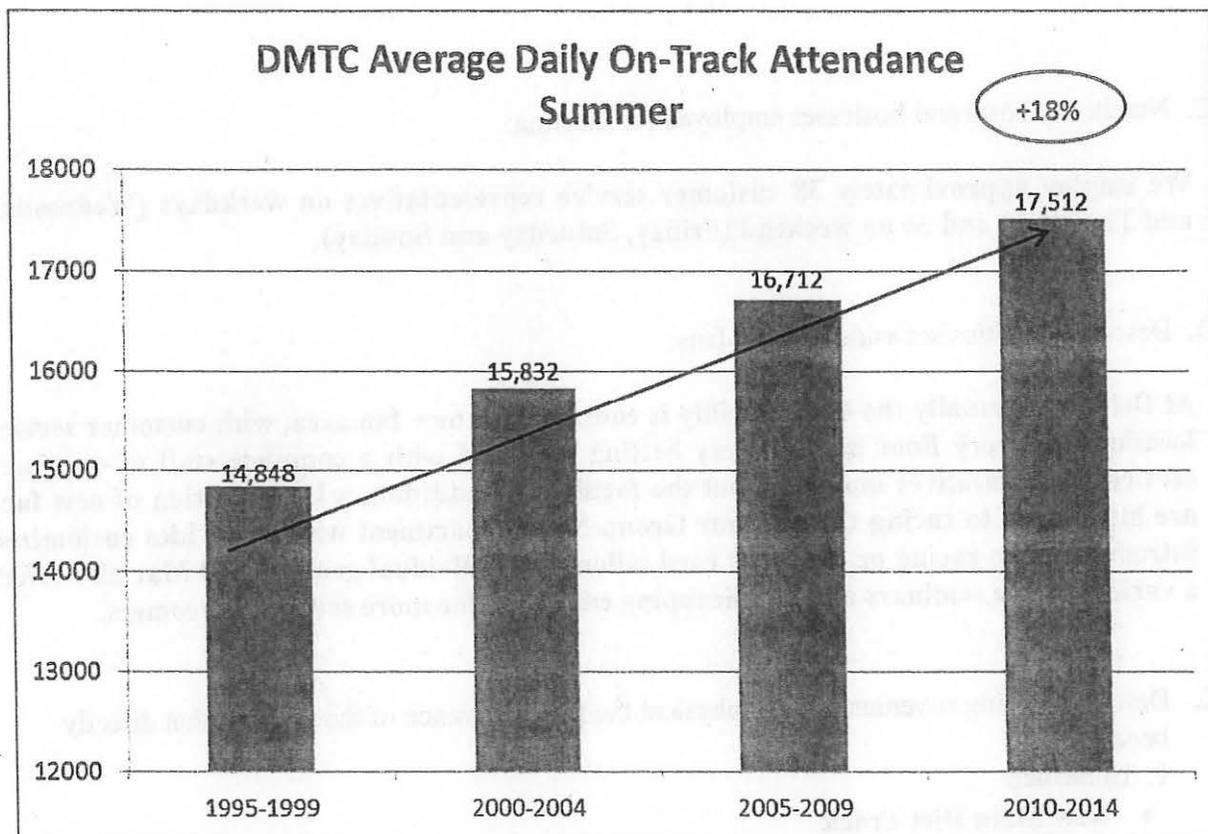
The Marketing Budget for the upcoming 2015 season is over \$3.5 million. This amount includes direct expenses attributed to Advertising, Promotions and Publicity but does not include payroll, administrative supplies and other overhead items.

The 2015 and 2014 Marketing Budgets are categorized as follows:

	2015	2014
Advertising	\$1,654,300	\$1,514,300
Promotions	\$1,645,900	\$1,373,400
Publicity	\$ 160,000	\$ 156,000
Corporate Sponsorship Fulfillment	\$ 70,000	\$ 78,000
Other	\$ 12,500	\$ 25,700
<b>Total</b>	<b>\$3,542,700</b>	<b>\$3,147,400</b>
	<b>+12.6%</b>	

### On-track Attendance

As the following charts indicate, Del Mar has enjoyed significant on-track attendance growth in the past 20 years. Despite industry trends to the contrary, on-track attendance is up approximately 18% from the 5-year average from 1995-1999 to the average from the last five years, 2010-2014.



- B. Promotional/ Marketing budget for this race meeting:  
 Promotional/Marketing budget for prior race meeting:

<u>Category</u>	<u>2015</u>	<u>2014</u>
Advertising	1,654,300	1,514,300
Promotions	1,645,900	1,373,400
Publicity	160,000	156,000
Corporate Sponsorship Fulfillment	70,000	78,000
Other	<u>12,500</u>	<u>25,700</u>
Total	3,542,700	3,147,400

- C. Number of hosts and hostesses employed for meeting:

We employ approximately 38 customer service representatives on weekdays (Wednesday and Thursday) and 56 on weekend (Friday, Saturday and Sunday).

- D. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- o New Main Dirt Track
- o Installation of stable area rain gutters and storm basins (restricted area)
- o Ongoing replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area)
- o Ongoing replacement of doors, locks, window screens in living quarters (restricted area)
- o Ongoing replacement of electrical outlets in stable area restrooms to GFCI protected (restricted area)
- o Repair all stable area smoke detectors (restricted area)

- Ongoing replacement of water service to miscellaneous barns in stable area (restricted area)
- Backfill all stable area stalls with decomposed granite (restricted area)
- Renovation of miscellaneous restrooms, tack rooms and living quarters in stable area (restricted area)
- Ongoing replacement of exterior electrical outlets and wall lights in stable area (restricted area)

2. Fans

- ADA handrail improvements – On-going project.
- Ongoing replacement of television monitors with flat screen monitors throughout facility.
- Ongoing installation of wireless technology throughout facility – On-going project.
- Grandstand Seating Renovation.

3. Facilities in the restricted areas

See 17-E-1

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year: **No Changes from previous year:**

Stretch Run Admission (includes official program)	\$3.00 (Diamond Club), \$6.00 (regular), \$10.00 (Opening Day), \$25.00 (Season Pass, sold pre-season only)
Clubhouse Admission (includes official program)	\$5.00 (Diamond Club), \$10.00 (regular), \$20 (Opening Day), \$50.00 (Season Pass, sold pre-season only)
Reserved Seating (Stretch Run)	\$5.00 (\$25 Opening Day - \$15 Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Reserved Seating (Clubhouse)	\$5.00 (\$25 Opening Day - \$15 Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Parking (general, single day)	\$10.00
Parking (discount book)	\$50.00 (general, advance discount book of 10)
Parking (preferred)	\$15.00
Parking (valet)	\$25.00 (\$40 Opening Day)
Programs (on-track)	Included with paid admission
Programs (off-track)	\$2.25

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Season Turf Club Membership (2 persons):	\$1,500.00
Season Turf Club Membership (1 person):	\$1,100.00
Daily Turf Club Admission (member guests):	\$40.00 (\$100 on Opening Day)
Daily Turf Club Admission (non-member):	\$60.00 (\$200 on Opening Day)
Stretch Run & Clubhouse Season Box Seats:	\$285.00 to \$310.00 per seat
Season Reserved Seat:	\$270.00

- C. Describe any "package" plans such as combined parking, admission and program:  
**Turf Club memberships include valet parking. All paid admissions include official programs. All summer season box seats will include six free admission passes in 2015.**

**19. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
 

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 40px;" type="text" value="73"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers:  
**Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.**

**20. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack:  
**666 permanent and 66 rental trailers**
- C. Number of restrooms available on the backstretch of the racetrack: **83**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:  
**17.5 workers to 1 restroom facility**

**21. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**The main track surface is a Dirt Running Surface. The surface consists of a 10" sandy loam on top of a layer of decomposed granite and 6" round perforated drain pipe on top of the existing dirt sub-base.**  
  
**The turf course consists of GN-1 Bermuda grass sod with a growing medium consisting of 9" of silica sand mixed with peat and turf grids blended into the top 6".**
- C. The percent of cross slope in the straight-aways is: **2%**  
 The percent of cross slope in the center of the turns is: **4%**

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Main Track:

Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".

Turf Track:

Inside running rail: Mawsafe pvc injection molded

Inside rail supports: Mawsafe pvc with 16" offset

Approx. height: 47"

Outside running rail of the turf course is the same as the inside rail.

Outside rail supports are the same as the inside rail supports.

Approximate height 47"

The percent of cross slope in the straight-aways is 1.5%

The percent of cross slope in the center of the turns is 5%

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Robert Sanchez, Vice President, Plant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Exhibit 16**

## 22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **Exhibit 17**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. **Agreement with TOC is in negotiations. Agreement with CTT is in negotiations.**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file**

## EXHIBIT 16

STATE OF CALIFORNIA  
 HOLD HARMLESS AGREEMENT  
 CHRB 120 - (New 2/96)

CALIFORNIA HORSE RACING BOARD

CALIFORNIA HORSE RACING BOARD  
 HOLD HARMLESS AGREEMENT

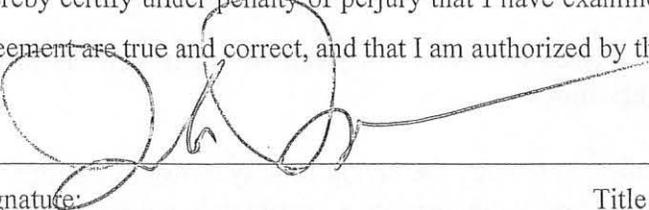
On April 14, 2014, the Del Mar Thoroughbred Club (DMTC) requested approval to implement alternate methodologies to the Track Safety Standards pursuant to Board Rule 1471, General Provisions and Conditions. The California Horse Racing Board approved the proposed alternative methods proposed by DMTC on April 25, 2014 conditioned upon DMTC signing the following Hold Harmless Agreement:

DMTC hereby waives all claims and recourse against the State including the right to contribution for loss or damage to persons or property arising from, growing out of, or in any way connected with or incident to this Agreement and regardless of whether such claim resulted from incidents on the premises.

DMTC shall indemnify and save harmless the State of California, California Horse Racing Board, and all officers and employees thereof from all claims, suits or actions of every name, kind and description, brought forth from, or on account of injuries to or death of any person including but not limited to workmen and the public, or damage to property resulting from the performance of this agreement based upon or arising out of alternative methods of compliance approved by the Board, except as otherwise provided by statute. Any interpretation of this agreement shall be pursuant to Section 2778 of the Civil Code. DMTC waives any and all rights to any type of express or implied indemnity against the State, its officers or employees.

It is the intent of the parties that DMTC will indemnify and hold harmless the State, its officers and employees from any and all claims, suits or actions as set forth above regardless of the existence or degree of fault or negligence whether active or passive, primary or secondary on the part of the State, DMTC the subcontractor or employee of any of these. In the event the State is named as co-defendant, DMTC shall notify State of such fact and shall represent State in such legal action unless State undertakes to represent itself as co-defendant in such legal action, in which event DMTC shall bear State's litigation costs, expenses, and attorney's fees.

I hereby certify under penalty of perjury that I have examined this agreement, that all of the foregoing statements in this agreement are true and correct, and that I am authorized by the association to attest to this agreement on its behalf.

  
 \_\_\_\_\_  
 Signature: Title

April 16, 2015  
 \_\_\_\_\_  
 Date

Josh Rubinstein – Executive Vice President & Chief Operating Officer



April 14, 2014

Mr. Rick Baedeker  
 Executive Director  
 California Horse Racing Board  
 1010 Hurley Way  
 Sacramento, California 95825

Dear Rick,

As you are aware the Del Mar Thoroughbred Club (DMTC) has been working on the enlargement of its turf course. This project is advancing and will be ready for racing for our 2014 summer meet.

As you also know, DMTC installed a new inner turf rail (Mawsafe) which we used for racing in 2013. While the rail provided optimum safety features, its unique design required a waiver from the CHRB as it didn't comply with all existing demands of CHRB Rule 1472—Rail Construction and Track Specifications.

Again, the key features of the Mawsafe Rail System:

- The ability of the rail posts to kick out and release from a fallen horse or rider
- The rail, which is positioned several inches higher than our existing rails, when brushed by a horse adds support to aid in keeping such horse on course—jumping, or horses attempting to jump, has been virtually eliminated.
- Rail pieces are 40 feet long reducing the number of connections.
- Quick and easier rail moves will allow for more turf recovery time which will allow horses to run on more consistent ground.

The Jockeys' Guild gave its full support for the new inner rail as did the CHRB. Because of its unique safety features, DMTC would like to install the Mawsafe rail for use as its permanent outside turf rail. Again, DMTC is requesting a waiver from the CHRB addressing certain requirements of current CHRB Rule 1472 as they pertain to the specifications of an outside rail:

**Rule 1472 - (b)** All rail posts, except portable, auxiliary, or chute rail posts, must be set in concrete at least 6 inches below the racetrack surface and shall be at least 24 inches deep. Permanent rails shall be designed not to collapse or break away when a horse that is running parallel to the rail bumps, lugs or falls into the rail or posts during normal training or racing. Notwithstanding the above, no permanent or portable turf post or rail shall be constructed of fiberglass, poly vinyl chloride (P.V.C.), or wood, and hedges shall not be used as a post or rail.

The Mawsafe outside turf rail will be installed as it is for the CHRB approved inside turf rail. DMTC believes the unique breakaway rail post system is safer than the requirement that the posts be set in concrete. We covered the P.V.C. materials explanation in our 2013 waiver request – CHRB accepted.

Rule 1472- (c) The height of all outside and inside rails shall be between 38 and 42 inches from the top of the racing surface to the top of the rail.

The height issue was covered in our 2013 waiver request – CHRB accepted.

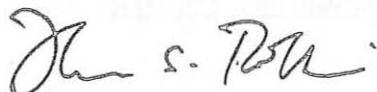
Rule 1472 - (g) No objects shall be placed within 10 feet from the face of the inside rail.

There are some areas where the face of the inside main track rail are less than 10 feet from the posts of the outside turf rail, which has always been the case. As covered in the response to Rule 1472 (b), should a horse make contact with the posts of the Mawsafe outside turf rail, DMTC believes the special release safety design will mitigate the severity of the contact more so than the current regulation of an immovable post set in concrete.

The Mawsafe rail is manufactured by Global Barrier Systems. If you haven't already, we encourage you to visit its website – [www.globalbarriersystems.com](http://www.globalbarriersystems.com) to learn more about its product and see the extensive list of tracks in Australia that have installed the rail system. You will also find video of race accidents and how the rail performed.

Thank you for your consideration.

Sincerely,



Thomas S. Robbins  
Executive Vice President, Racing & Industry Relations



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
4/13/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107  www.boltonco.com                      0008309	CONTACT NAME:	
	PHONE (A/C, No, Ext): (626) 799-7000	FAX (A/C, No): (626) 583-2117
E-MAIL ADDRESS:		
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A : Philadelphia Indemnity Insurance Company		
INSURER B : Berkshire Hathaway Homestate Ins Cos		
INSURER C :		
INSURER D :		
INSURER E :		
INSURER F :		

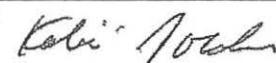
COVERAGES                      CERTIFICATE NUMBER: 24241363                      REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC OTHER:	<input checked="" type="checkbox"/>		PHPK1306116	3/15/2015	3/15/2016	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COM/OP AGG \$ 2,000,000 \$
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS			PHPK1306116	3/15/2015	3/15/2016	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$10,000			PHPK1306116	3/15/2015	3/15/2016	EACH OCCURRENCE \$ 15,000,000 AGGREGATE \$ 15,000,000 \$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A	DEWC601389	3/31/2015	3/31/2016	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

GL Additional Insured applies per PI-AM-002 12-08 attached, only if required by written contract/agreement.  
 Job: Operations of the Named Insured. Additional Insured(s): California Horse Racing Board (CHRB).

<b>CERTIFICATE HOLDER</b> Operations of the Named Insured  California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300 Sacramento, CA 95825	<b>CANCELLATION</b>  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE  Kobie Jacobs
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PHPK1306116

PI-AM-002 (12/08)

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.****ADDITIONAL INSURED – CERTIFICATE HOLDERS**

This endorsement modifies insurance provided under the following:

**COMMERCIAL GENERAL LIABILITY COVERAGE PART**

**SECTION II – WHO IS AN INSURED** is amended to include any Certificate Holder, identified as an additional insured, on a Certificate of Insurance issued by Philadelphia Indemnity Insurance Company or our authorized representative, but only for liability arising out of the negligence of the named insured.

The limits of insurance applicable to these additional insureds are the lesser of the policy limits or those limits specified in a contract or agreement. These limits are inclusive of and not in addition to the limits of insurance shown in the declarations.

## DEL MAR THOROUGHBRED CLUB - UNION CONTRACTS

UNION	SIGNATORY ASSOCIATIONS	JOB CLASSIFICATION	CONTRACT DATES
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Auto Park	July 1, 2014 through June 30, 2016
Teamsters Local 495 Clockers/ Outriders	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Clockers / Outriders	July 1, 2014 through June 30, 2016
Teamsters Starters Local 495	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Starters	July 21, 2013 through July 2014 (Negotiations in Progress)
Teamsters 495 Racing	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing Officials	July 1, 2014 through June 30, 2016
SEIU Local #280	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex SCOTWINC	Mutuels	July 16, 2014 through Opening Day Del Mar 2017
SEIU #1877	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing	Currently and through April 30, 2017

- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire terms of the meeting except as follows (if no exceptions, so state): **No exceptions**
  
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Thomas S. Robbins  
 Print Name  
Executive Vice President, Racing  
 Print Title

*Thomas S. Robbins*  
 Signature  
4/15/15  
 Date

STAFF ANALYSIS  
May 21, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR AT SANTA ROSA JULY 30, 2015 THROUGH AUGUST 16, 2015.

Sonoma County Fair filed its application to conduct a horse racing meeting at Santa Rosa:

- July 30 through August 16, 2015, or 11 days, two less days than 2014. July 29, August 5, 12 and 13 will be simulcasting only and live racing will begin July 30, 2015. The fair proposes to race a total of 100 races.
- The proposed race dates are the approved dates allocated to the fair.

July - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4 H
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing Thursday through Sunday with the exception of Thursday, August 13<sup>th</sup>. 8 races per day on Thursdays and Fridays; 10 races per day on Saturdays and Sundays.
  - Racing concurrently with Del Mar July 30, 2014 through August 16, 2015.
  - Number of horses available determines the number of daily races programmed by breed.
    - 2014 Race Meeting: Average number of runners per race (TB): 7.08
    - 2014 Race Meeting: Average number of runners per race (Arabian): 6.71
    - 2014 Race Meeting: Average number of runners per race (Quarterhorse): 6.20
    - 2014 Race Meeting: Average number of runners per race (Mules): 6.00
- First post 1:15 p.m. daily - Friday's post time is 3:15 p.m.
- Specific changes from the 2014 license application:
  - Lower box seats from \$215 to \$135
  - Upper box seats from \$175 to \$105
- Request Daryl Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpire, Watch and Wager and Bet America.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of B&P Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will not be offered.
  - \$1 Trifecta and \$0.10 Superfecta when applicable.
  - \$0.50 Pick 4 on first 4 races and last 4 races
  - \$0.50 Pick 5 on last 5 races with 100% major pool paid – 75% carryover and 25% minor pool to most winners.

- \$2 Pick 6 on last 6 races – 70% major pool paid or a carryover and 30% minor pool paid.
- \$0.50 Pentafecta selecting first five finishers - 100% carryover if no winners.
- Super High Five on the last race.
  
- Specific changes from the 2014 license application:
  - Lower box seat (season) decreased – from \$215 to \$135
  - Upper box seats (season) decreased – from \$175 to \$105
  
- In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow an entry to run for purse only.
  
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
  
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

#### ITEMS STILL NEEDED:

1. Thoroughbred Owners of California Agreement.
2. California Thoroughbred Trainers Agreement.
3. Fire clearance.
4. Horseshoe inspector.

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone, and fax numbers of fair:

**Sonoma County Fair**  
**1350 Bennett Valley Drive**  
**Santa Rosa, Ca 95404**  
**(707) 545-4200, (707) 545-9342 Fax**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**Tawny Tesconi, Fair Manager, 707-545-4200, tawny@sonomacountyfair.com**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for race meeting: **Thursday, July 30 – Sunday, August 16, 2015**
- B. Actual dates racing will be held: **Thursday - Sunday, July 30 – August 2, Thursday - Sunday, August 6 – August 9 & Friday – Sunday, August 14 - 16**
- C. Dates racing will NOT be held: **July 29 & August 3, 4, 5, 10, 11, 12, 13. July 29 & August 5, 12, 13 will be simulcast only days.**
- D. Total number of racing days: **11**
- E. Days of the week races will be held:  
 Thurs - Sun  Tues - Sat  Other (specify) Friday – Sunday (third week only)

**3. RACING PROGRAM**

- A. Total number of races: **100**  
**Should the availability of racing participants exceed our expectations, we request approval to adjust the number of races scheduled.**

Application received: <i>4/22/15</i>	CHRB CERTIFICATION	Hearing date: <i>5/21/15</i>
Reviewed:		Approved date:
		License number:

## B. Number of races by breed:

<input type="text" value="80"/>	Thoroughbreds	<input type="text" value="5"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="8"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="7"/>	Mules

## C. Number of races daily:

	Wed	Thur 7/30 & 8/6	Fri 7/30, 8/7 & 8/14	Sat 8/1, 8/8 & 8/15	Sun 8/2, 8/9 & 8/16
Thoroughbred	0	6	6	8	8
Other Breeds	0	2	2	2	2
Total	0	16	24	30	30

## D. Total number of stakes races by breed:

<input type="text" value="7"/>	Thoroughbreds	<input type="text" value="2"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

## E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

**Thoroughbred Stakes**

Wine Country Stakes (formerly Horsemen of the Year) Three-year old Fillies – One and 1/16 Miles (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 1
Luther Burbank Handicap – 4 <sup>th</sup> Running Fillies and Mares Three-year olds and Upward One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 2
Robert Dupret Derby – 4 <sup>th</sup> Running Three-year olds – One & 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 8
Joseph T. Grace Handicap – 44 <sup>th</sup> Running Three-year olds and Upward - One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 9
Wine Country Debutante Two-year old Fillies	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Fri., Aug 14
Jess Jackson Owner's Hdcp – 4 <sup>th</sup> Running Three-year olds and Upward – 5 Furlongs (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 15
Cavonnier Juvenile Overnight Stakes – 48 <sup>th</sup> Running Two-year olds - Six Furlongs	\$50,000 Guaranteed (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 16

**Quarter Horse Stakes**

PCQHRA Handicap Three Year Olds and Upward – 350 Yards	\$8,000 Guaranteed	TBD
Wine Country Derby Three Year Olds & Upward – 440 Yards	\$8,000 Guaranteed	TBD

## F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

**SONOMA COUNTY FAIR  
JULY 23 - SUNDAY, AUGUST 10, 2014  
STAKES RACES**

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

**Thoroughbred Stakes**

Wine Country Stakes (formerly Horsemen of the Year) Three-year old Fillies - One and 1/16 Miles (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Jul 26
Luther Burbank Handicap - 43 <sup>rd</sup> Running Fillies and Mares Three-year olds and Upward One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Jul 27
Robert Dupret Derby Three-year olds - One & 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 2
Joseph T. Grace Handicap - 42 <sup>nd</sup> Running Three-year olds and Upward - One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 3
Jess Jackson Owner's Hdcp Three-year olds and Upward - 5 Furlongs (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 9
Cavonnier Juvenile Overnight Stakes - 46 <sup>th</sup> Running Two-year olds - Six Furlongs	\$50,000 Guaranteed (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 10
<b>Quarter Horse Stakes</b>		
PCQHRA Handicap Three Year Olds and Upward - 350 Yards	\$8,000 Guaranteed	TBD
Wine Country Derby Three Year Olds & Upward - 440 Yards	\$8,000 Guaranteed	TBD

G. List all post times for the daily racing program:

Race Number	Thursday	Fridays	Weekends
Race # 1	1:15p.m.	3:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	3:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	4:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	4:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	5:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	5:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	6:15 p.m.	4:15 p.m.
Race # 8	4:45p.m.	6:45 p.m.	4:45 p.m.
Race # 9	5:15 p.m.	7:15 p.m.	5:15 p.m.
Race # 10	5:45 p.m.		5:45 p.m.
Race # 11			6:15 p.m.
Race # 12			6:45 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

Doug Beretta	Lisa Carreño	Cindy Crane	Marilyn Herzog
Bob Higham	Rob Muelrath	Dave Lewers	Ross Liscum
Teejay Lowe	Max Mickelsen	Marcia Mickelson	Annette O’Kelley
Bev Palm	Lisa Wittke Schaffner	Wanda Tapia	

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

Max Mickelsen	Lisa Carreño	Doug Beretta	Rob Muelrath
Ross Liscum	Teejay Lowe	Lisa Wittke Schaffner	

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

Tawny Tesconi – Fair Manager  
 Robert Moreno - Racing Secretary  
 Richard Lewis - Director of Racing  
 Stacey Lapham – Assistant Director of Racing  
 Doug Gooby - Mutuel Manager  
 Michelle Mustard - Satellite Supervisor  
 Juan Meza - Race Track Superintendent  
 Michael Wrona - Track Announcer

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Tawny Tesconi, Fair Manager**  
 1350 Bennett Valley Road  
 Santa Rosa, CA 95404  
 tawny@sonomacountyfair.com

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2014	\$31,319,378	32,793	13 days
2013	\$33,734,505	36,830	13 days
2012	\$34,182,905	40,030	15 days
2011	\$34,738,586	41,926	15 days
2010	\$37,086,356	41,026	15 days

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

- A. Purse distribution: 2015 (11 days) vs. 2014 (13 days)

1. All races other than stakes:

Current meet estimate: \$1,169,253

Prior meet actual: \$1,485,854

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$106,296

Prior meet actual: \$114,296

2. Overnight stakes:  
 Current meet estimate: \$368,000  
 Prior meet actual: \$368,000

Average Daily Purse (7A2 ÷ number of days):

- Current meet estimate: \$33,455  
 Prior meet actual: \$28,308

3. Non-overnight stakes:  
 Current meet estimate: \$-0-  
 Prior meet actual: \$-0-

Average Daily Purse (7A3 ÷ number of days):

- Current meet estimate: \$-0-  
 Prior meet estimate: \$-0-

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$1,537,253  
 Prior meet actual \$1,853,854

Average Daily Purse (7A4 ÷ number of days):

- Current meet estimate: \$139,750  
 Prior meet actual: \$142,604

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

- Current meet estimate: \$108,605  
 Prior meet actual: \$138,012

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 3,347	\$ 4,254
TOC	\$ 6,796	\$ 8,636
NTRA	\$ 1,918	\$ 2,248
PCQHRA	\$ 738	\$ 937
CWAR	\$ -0-	\$ -0-
ARAC	\$ 2,632	\$ 3,344
AMRA	\$ 2,556	\$ 3,248
CHBPAPEN	\$10,143	\$12,890
CTHF	<u>\$10,143</u>	<u>\$12,890</u>
Total	\$38,274	\$48,448

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

- Current meet estimate: \$1,684,131  
 Prior meet actual: \$2,040,314

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$153,103

Prior meet actual: \$156,947

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,195,733

Prior meet actual: \$1,448,623

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$108,703

Prior meet actual: \$111,433

- F. Purse funds to be generated from interstate handle:

Current meet estimate: \$488,398

Prior meet actual: \$591,691

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$44,400

Prior meet actual: \$45,515

- G. Bank and account number for the Paymaster of Purses' purse account:

**Exchange Bank, Sonoma County Fair: Account number on file with CHRB**

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:

**800**

- B. Minimum number of stalls believed necessary for the meeting:

**760**

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:

**2,124**

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
**Alameda County Fair, Pleasanton – 684 stalls; Golden Gate Fields – 1,440 stalls**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**Northern California Stabling and Vanning Fund (On File).**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c).
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse:

## 9. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach copy of the CARF recommended wagering format. Yes  No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input type="checkbox"/>
Race #1	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 4) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1979 CHRB 1979.1	
Race #2	\$2DD \$1E \$1PK3 \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1979 CHRB 1979.1	
Race #3	\$2DD \$1E \$1PK3	CHRB 1957 CHRB 1959 CHRB 1977	

	\$2 PNP (Pick 6 when 8 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #4	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$.50 PNP (Pick 5 when 8 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 9 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #5	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$.50 PNP (Pick 4 when 8 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 9 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 10 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #6	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 8 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 9 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 10 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 11 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #7	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 9 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 10 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 11 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #8	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 10 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 11 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 8 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #9	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 11 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 9 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #10	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 12 races)	CHRB 1977
	\$1 Trifecta	CHRB 1979

	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 10 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #11	2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 11 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #12	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 12 races)	ARCI 004-105 (Pick (n) position (x) pools)

- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 16, 2015 (Closing Day of Sonoma County Fair)**

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow that entry to run for purse only.

- D. List any options requested with regard to exotic wagering:  
**\$1 TRI and \$.10 SF when applicable**  
**\$.50 PNP4 on the first four races and the last four thoroughbred races each day**  
**\$.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**  
**\$2 PNP6 on the last six thoroughbred races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.**  
**\$.50 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in this race. Super High-5 will be carded on the last race of the day.**

- E. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Sportech Racing, LLC (Anthony Lovell) – Contract Renewal In Process**

**Expiration date will be 8/17/2015**

**Equipment description on file with Board.**

#### 10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Express Bet, T.V.G. (Television Games Network), Twin Spires, WatchandWager, Bet America**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. – **On File**

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**11. SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the fair to conduct simulcast wagering:

**Northern California Off-Track Wagering, Inc. (NCOTWINC)**

B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

**On File**

C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

**NORTHERN CALIFORNIA**

- Alameda County Fair, Pleasanton
- Big Fresno Fair, Fresno
- California State Fair & Exposition, Sacramento
- Club One, Fresno
- Golden Gate Fields, Albany
- Jockey Club at San Mateo, San Mateo
- Monterey County Fair, Monterey
- San Joaquin County Fair, Stockton
- Santa Clara County Fair, San Jose
- Shasta District Fair, Anderson
- Solano County Fair, Vallejo
- Sonoma County Fair, Santa Rosa
- Stanislaus County Fair, Turlock

**SOUTHERN CALIFORNIA**

- Barona Valley Ranch Resort & Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Derby Club, Seaside Park, Ventura
- Fairplex Park, Pomona
- Hollywood Park Casino, Inglewood
- Los Alamitos Race Course, Los Alamitos
- Santa Anita Park, Arcadia
- Shalimar Sports Center, Indio
- Sports Center, Nat'l Orange Show, San Bernardino
- Sports Pavilion at The Farmers Fair, Lake Perris
- Sports Pavilion, San Bernardino Cty. Fair, Victorville
- Surfside Race Place at Del Mar, Del Mar
- Sycuan Gaming Center, El Cajon
- Viejas Casino & Turf Club, Alpine
- Watch & Wager, Antelope Valley Fgds, Lancaster

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

**2015 Sonoma County Fair Meet  
Commingled Locations**

AmWest Accounts	Grand River Raceway	Ocean Downs	SunRay Park & Casino
Arapahoe-Mile High	Grand River Raceway Teletheatr	OffTrackbetting.com	Taunton Acct Wagering
Arlington I	Gulfstream Park	Panama	Taunton Dog Track Inc.
Assiniboia Downs	GWS German Tote	Penn Account Wagering	TBC Teletheaters AA
Atlantic City	HarringtonBets.com	Penn National	Thistledown
Avatar	Hastings Park	Philadelphia Park	Tote Investment Racing Service
Balmoral	Hawthorne Account Wagering	Philly Park Phone Bet	Turf Paradise
Beltterra Park	Hippodromo Monterrico	Phumelela Gold International	TVG/Prairie Meadows Account Wa
Bet America	Hodge OTB	Plainridge	Twinspires - Keeneland Select .



# Pacific Coast Quarter Horse Racing Association

April 28, 2015

Tawny Tesconi Fair Manager Advisor  
Sonoma County Fair & Exposition, Inc.  
1350 Bennett Valley Road  
Santa Rosa, California 95404

RE: 2015 Sonoma County Fair & Exposition, Inc. Interstate Simulcast Approvals

Dear Tawny,

This letter shall serve as PCQHRA's authorization for the Sonoma County Fair & Exposition, Inc. to transmit the audiovisual signal of Sonoma County Fair Quarter Horse races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to PCQHRA by Monarch Content Management LLC ("Monarch") on behalf of Sonoma County Fair, during the Sonoma County Fair racing season commencing July 30, 2015, continuing through August 16, 2015.

As a condition of PCQHRA's authorization of the acceptance of wagers on Sonoma County Fair races by Guests which engage in phone and/or internet wagering, herein called "ADW", Sonoma County Fair shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both Sonoma County Fair and Guests to facilitate the separation of ADW and non-ADW data in reports used by Sonoma County Fair and/or CHRIMS to account for and settle balances between Sonoma County Fair and Guests. PCQHRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. PCQHRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Dominic Alessio, President  
Pacific Coast Quarter Horse Racing  
Association

March 31, 2015

Katie Young, Interim Fair Manager  
Sonoma County Fair & Exposition, Inc.  
1350 Bennett Valley Road  
Santa Rosa, California 95404

RE: 2015 Sonoma County Fair & Exposition, Inc. Interstate Simulcast Approvals

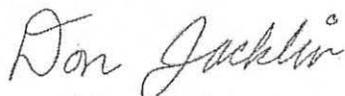
Dear Katie,

This letter shall serve as AMRA's authorization for the Sonoma County Fair & Exposition, Inc. to transmit the audiovisual signal of Sonoma County Fair Mule races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to AMRA by Monarch Content Management LLC ("Monarch") on behalf of Sonoma County Fair, during the Sonoma County Fair racing season commencing July 30, 2015, continuing through August 16, 2015.

As a condition of AMRA's authorization of the acceptance of wagers on Sonoma County Fair races by Guests which engage in phone and/or internet wagering, herein called "ADW", Sonoma County Fair shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both Sonoma County Fair and Guests to facilitate the separation of ADW and non-ADW data in reports used by Sonoma County Fair and/or CHRIMS to account for and settle balances between Sonoma County Fair and Guests. AMRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. AMRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,



Don Jacklin, President  
American Mule Racing Association

March 31, 2015

Katie Young, Interim Fair Manager  
Sonoma County Fair & Exposition, Inc.  
1350 Bennett Valley Road  
Santa Rosa, California 95404

RE: 2015 Sonoma County Fair & Exposition, Inc. Interstate Simulcast Approvals

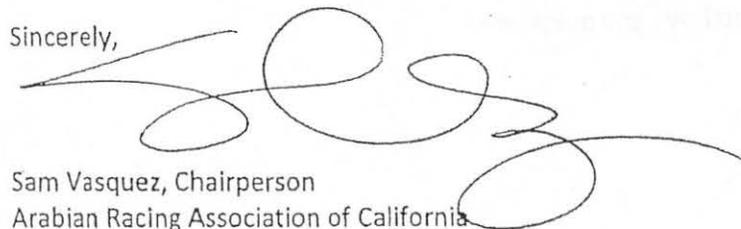
Dear Katie,

This letter shall serve as ARAC's authorization for the Sonoma County Fair & Exposition, Inc. to transmit the audiovisual signal of Sonoma County Fair Arabian races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to ARAC by Monarch Content Management LLC ("Monarch") on behalf of Sonoma County Fair, during the Sonoma County Fair racing season commencing July 30, 2015, continuing through August 16, 2015.

As a condition of ARAC's authorization of the acceptance of wagers on Sonoma County Fair races by Guests which engage in phone and/or internet wagering, herein called "ADW", Sonoma County Fair shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both Sonoma County Fair and Guests to facilitate the separation of ADW and non-ADW data in reports used by Sonoma County Fair and/or CHRIMS to account for and settle balances between Sonoma County Fair and Guests. ARAC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. ARAC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,



Sam Vasquez, Chairperson  
Arabian Racing Association of California

April 9, 2015

Ms. Tawny Tesconi  
 Fair Manager  
 Sonoma County Fair  
 1330 Bennett Valley Drive  
 Santa Rosa, CA 95404



RE: 2015 Sonoma County Fair (Santa Rosa) Race Meet ADW Approvals

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Sonoma County Fair (SCF) race signals under the terms and conditions set forth below.

SCF shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire (subject to arbitration), WatchandWager and Bet America to accept wagers on SCF and other Thoroughbred races during the 2015 SCF race meeting, July 30, 2015 through August 16, 2015, as follows:

**OFFICERS**

MIKE PEGRAM  
 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.  
 JACK OWENS  
 VICE CHAIR, NORTHERN CA.  
 NICK ALEXANDER  
 SECRETARY/TREASURER  
 MIKE HARRINGTON  
 AT LARGE  
 ED MOGER  
 AT LARGE

**DIRECTORS**

BOB BAFFERT  
 JIM CAHILL  
 MARK DEDOMENICO  
 RON ELLIS  
 TERRY LOVINGIER  
 WILLIAM E. MOREY  
 GEORGE TODARO  
 KATHY WALSH  
 MICHAEL WELLMAN

**EXECUTIVE STAFF**

JOE MORRIS  
 PRESIDENT  
 MARY FORNEY  
 DIRECTOR OF OPERATIONS  
 RICHARD SCHEIDT  
 NO. CA. DIRECTOR OF  
 OPERATIONS  
 JENNIFER SAAVEDRA  
 BOOKKEEPER

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

**1. California wagering on SCF races:**

- a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
- b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
- c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**2. California wagering on out-of-state Thoroughbred races:**

- a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
- b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
- c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**3. Out-of-state wagering on SCF races:**

- a. TVG, XpressBet, and TwinSpire (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
- b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager and Bet America.

Very truly yours,

Joe Morris  
 President

Bettor Racing HV	Hoosier Park	Plainridge Telephone Wagering	Twinspires Mountain View
Bettor Racing OTB	Horseman s Park	Player Management Group C	Venezuela OTB
Birmingham	Idabet.com/eBet	Pojoaque	Watch and Wager
Bwin International Ltd.	Idaho Falls OTB	Pony Bar St.Thomas	Wheeling Downs
Canterbury Day	Indiana Downs	Portland Meadows	Woodbine
Capital District OTB	Internet wagering - Capital	Potawatomi Casino/ OTB	WY Downs Evanston OTB
Casino Association	Isle of Man	Premier Turf Club	Wyoming Downs
Catskills OTB	John Martin s Manor Restaurant	Presque Isle Downs	Yonkers
Clinton Teletheatre	Kentucky OTB	Racebets	
Coeur d Alene Casino	Les Bois/Idaho	Racing2Day LLC	
Colonial Downs Phone Bet	Lien Games	Randall James	
Connecticut OTB	Lincoln Race Course	Retama	
Connecticut OTB Acct Wagering	Louisiana Downs	RGS	
Delaware	Magna Bet	RGS Group 1	
EBet Online	Maronas Race Track	RGS Group 2	
Elite Turf Club 10	Maryland Jockey	RGS Group 6	
Elite Turf Club 2	Maywood	River Downs	
Elite Turf Club 3	Meadowlands	Rockingham Park	
Elite Turf Club 4	Meadows The	Rockingham Park Acct Wagering	
Elite Turf Club 5	Mohegun Sun	Royal Beach Casino	
Elite Turf Club 6	Monmouth Park	Sam Houston	
Elite Turf Club 8	Monterrico Simulcasting	Sanford OTB	
Emerald Downs	Nassau Regional OTB	Saratoga Harness Raceway	
EmpireCityBets Yonkers	Nevada	SaratogaBets	
Evangeline Downs	New Mexico	Scarborough	<b>Separate Pool Locations</b>
Evangeline Internet	NJ Mobile	Scioto Downs	Camarero (Puerto Rico)
Evansville OTB	Northfield	Seabrook Greyhound	Caymanas (Jamaica)
Fairgrounds Account Wagering	Northlands IVR	Shoreline Star Greyhound Park	Codere (Mexico/Spain)
Favorites at Gloucester	Northlands Park	SocialGaming Technologies Inc	MIR Books (Caliente)
Fort Erie	NYRA	Sudbury Downs	NDS Books (Nevada)
Foxwoods	NYRA Account Wagering	Suffolk District OTB	Tabcorp (Australia)
Fraser Downs	Oaklawn	Suffolk Downs	Tattsbet (Australia)
Freehold	Oaklawn Park ADW	Sunland Park	New Zealand Racing Board

#### Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton,

Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:  
**See Listing Above**
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
**Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern & Sports Bar, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Mission Viejo; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield; Tilted Kilt, Thousand Oaks**
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

<u>Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and/or Stakes Races</u>
Arlington Park	7/30 – 8/16	Selected Feature and/or stakes races
Canterbury Park	7/30 – 8/16	Selected Feature and/or stakes races
Charles Town	7/30 – 8/16	Selected Feature and/or stakes races
Delaware	7/30 – 8/16	Selected Feature and/or stakes races
Ellis Park	7/30 – 8/16	Selected Feature and/or stakes races
Emerald Downs	7/30 – 8/16	Selected Feature and/or stakes races
Evangeline Downs	7/30 – 8/16	Selected Feature and/or stakes races
Fingerlakes	7/30 – 8/16	Selected Feature and/or stakes races
Gulfstream	7/30 – 8/16	Selected Feature and/or stakes races
Indiana Downs	7/30 – 8/16	Selected Feature and/or stakes races
Louisiana Downs	7/30 – 8/16	Selected Feature and/or stakes races
Monmouth	7/30 – 8/16	Selected Feature and/or stakes races
Mountaineer	7/30 – 8/16	Selected Feature and/or stakes races
Penn National	7/30 – 8/16	Selected Feature and/or stakes races
Philadelphia Park/Parx	7/30 – 8/16	Selected Feature and/or stakes races
Prairie Meadows	7/30 – 8/16	Selected Feature and/or stakes races
Presque Isle Downs	7/30 – 8/16	Selected Feature and/or stakes races
Ruidoso	7/30 – 8/16	Selected Feature and/or stakes races
Saratoga	7/30 – 8/16	Selected Feature and/or stakes races
Suffolk Downs	7/30 – 8/16	Selected Feature and/or stakes races
Thistledown	7/30 – 8/16	Selected Feature and/or stakes races
Assiniboia	7/30 – 8/16	Selected Feature and/or stakes races
Australian Racing	7/30 – 8/16	Selected Feature and/or stakes races
Fort Erie	7/30 – 8/16	Selected Feature and/or stakes races
Hastings	7/30 – 8/16	Selected Feature and/or stakes races
Northlands Park	7/30 – 8/16	Selected Feature and/or stakes races
South American Racing	7/30 – 8/16	Selected Feature and/or stakes races
United Kingdom	7/30 – 8/16	Selected Feature and/or stakes races
Woodbine	7/30 – 8/16	Selected Feature and/or stakes races

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

## 12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s) – **Sarah Sporer, D.V.M.**
  - Clerk of Scales – **Kenneth Sjoldal**
  - Clerk of the Course – **Dolores Collins (E-Breeds) & Tina Walker-Bryant (Thoroughbreds)**
  - Film Specialist – **Cheryl White**
  - Horse Identifier – **Daryl Sparks**
  - Horseshoe Inspector – **To Be Determined**
  - Paddock Judge – **Danny Winick**
  - Patrol Judges – **Myra Truitt & Cheryl White**
  - Placing Judges – **Steve Martinelli & Ella Robinson**
  - Starter – **Todd Stephens**
  - Timer – **Melody Truitt**
- B. Management officials in the racing department:
- Director of Racing – **Richard Lewis**
  - Racing Secretary – **Robert Moreno**
  - Assistant Racing Secretary – **Linda Anderson**
  - Paymaster of Purses – **Dawn Jackson**
  - Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Niccoli Reporting; 619 Pilgrim Drive, Foster City, CA 94404; [pdqcsr@comcast.net](mailto:pdqcsr@comcast.net); (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation USA – Bill O'Brien (Expires 8/16/16)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- Pegasus Communication, Inc. – Jim Porep (Expires 4/30/2016)**  
**Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16<sup>th</sup> pole and both chutes. Pegasus Communication, Inc. – Jim Porep - Contract Expires: Contract Renewal in Process; new expiration will be 4/30/2016**

### 13. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Tawny Tesconi, Fair Manager (707) 545-4218**

**Lt. Navarro, Santa Rosa Police Department, currently in overall charge of sworn officers at the Fair. Police are dispatched from (707) 528-5222. Each day a different Lt. will be on grounds for supervision of patrolmen. A list of these supervisors will be made available to CHRB Staff when supplied by SRPD. They are all dispatched from the same (707) 528-5222 number.**

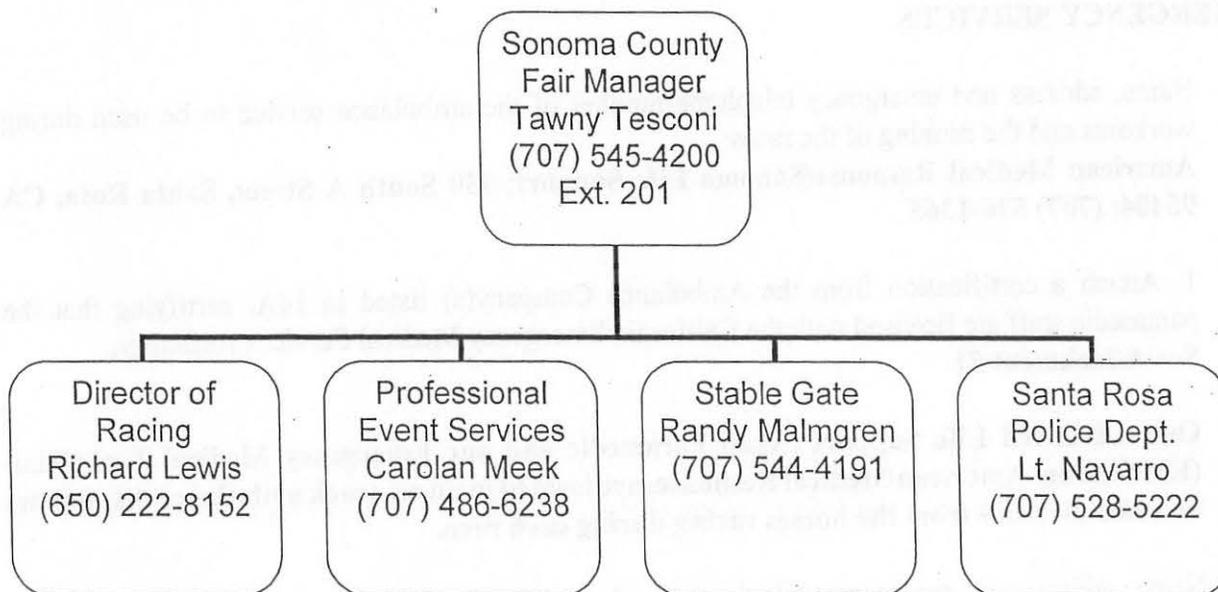
Private security supplied by Professional Events Services:

**Carolán Meek: (707) 463-1733 office; (707) 486-6238 cell**

**CC: (415) 336-4462**

**Randy Malmgren, Stable Manager: (707) 544-4191**

**Stable Gate: (707) 544-4191**



B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**7 uniform guards, 8 gatemen – 1 night patrolman – 2 uniform police.**

**Barn Area: 5 Security Officers, 2 Security Rovers, 3 Licensed Gatemen based on 8/hour shifts.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. N/A

2. Detention Stalls:
  - No Graded Stakes**
  - A. Attach a plan for use of graded stakes or overnight races.  
N/A
  - B. Number of security guards in the detention stall area during a 24-hour period.  
N/A
  - C. Describe number and location of surveillance cameras in detention stall area.  
N/A
3. TCO2 Testing:
  - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**Will comply with and follow CHRB directives.**
  - B. Plan for enhanced surveillance for trainers with high-test results.  
**Will comply with and follow CHRB directives.**
  - C. Plan for detention stalls for repeat offenders.  
**Will comply with and follow CHRB directives.**
  - D. Number of security personnel assigned to the TCO2 program.  
**As directed by CHRB**

- C. Describe the electronic security system.
  1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**Pegasus Communications provides and installs mobile surveillance equipment.  
4 surveillance cameras monitoring this area**

#### 14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:  
**American Medical Response/Sonoma Life Support; 930 South A Street, Santa Rosa, CA 95404; (707) 576-1365**
  1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**See Attachment #1**

**One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race.**
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
 

<b>Alameda County Fair Westmed Ambulance Service 2424 Whipple Road Hayward, CA 94544 (510) 504-3616</b>	<b>Golden Gate Fields Turf Rescue LLC 4470 Hillsborough Drive Castro Valley, CA 94546 (510) 581-8470</b>
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Dean B. Anderson, General Manager  
Sonoma County

March 4, 2015

Katie Fonsen Young, Interim Manager  
Sonoma County Fairgrounds  
1350 Bennett Valley Road  
Santa Rosa, CA 95404

Ms. Young,

Pursuant to your request for information about certification and licensure of our personnel, this letter is submitted as my certification that each of our Emergency Medical Technicians and Paramedics maintain the licenses required by the State of California and the Coastal Valleys EMS Agency.

All of our paramedics maintain current licensure by the State of California EMS Authority and are accredited by the Coastal Valleys EMS Agency to practice as paramedics in Sonoma County. Emergency Medical Technicians are certified by the Coastal Valleys EMS Agency or another local EMS agency whose certification is recognized statewide as prescribed in California Health & Safety Code, Division 2.5.

A sample of the state license for paramedics is attached. Photocopies of each paramedic's license are maintained in our files.

Please don't hesitate to contact me if further information is needed.

Sincerely,

A handwritten signature in black ink, appearing to be 'D. Anderson', written over a horizontal line.

Dean B. Anderson  
General Manager

# Sonoma Life Support Vehicle Inventory

## ALS Ambulance-Sprinter

### August 2014

INVENTORY LIST READS FROM LEFT TO  
RIGHT IN EACH CABINET

#### Cabinet 1

1 ea Box of Gloves; S, M, L  
Hand Sanitizer

#### Cabinet 2

1 Stack Pillow Cases \*\*  
1 Stack Paper Sheets \*\*  
1 Pillow \*\*  
6 Fitted Sheets

#### Cabinet 3

1 Blood Draw Kit  
2 DOJ Blood Alcohol Kits  
2 ea Suction Catheter 6-18fr  
2 Urinals \*\*  
2 Bedpans \*\*  
2 Suction Tubing  
2 Hard Suction Tips  
2ea Suction Canisters & Lids

#### Cabinet 4

2 stacks Paper towels

#### Cabinet 5

*Temperature monitor top shelf*

#### Drug Box

1 Adenosine 6mg  
1 Adenosine 12mg  
4 Albuterol 2.5mg  
2 Atrovent 0.5mg  
1 Atropine 8mg  
2 Benadryl 50mg  
2 Epi. 1:1,000 1mg  
1 Epi. 1:1,000 30mg  
6 Zofran tablets  
6 Zofran vials or 1 MDV

#### Top Shelf

1 Bottle Peroxide  
1 Adult EZ IO  
1 Pedi EZ IO  
1 Glucagon 1mg  
1 Oral Glucose 24Gm  
10 Amiodarone 150mg  
1 Aspirin Bottle 81mg  
1 bottle Nitro. Tab 0.4mg

#### Bottom Shelf

6 Epi. 1:10,000 1mg  
2 Atropine 1mg  
2 Narcan 2mg

1 Dextrose 50%  
1 Calcium Chloride 1Gm  
1 Sodium Bicarb Pre-load or  
Vial w 60 cc syringe  
2 D10% setups

#### Cabinet 6

#### Top Shelf

1 Intubation Kit  
1 Chricothyrotomy Kit  
1 Thoracentesis Kit  
1 BVM Nebulizer with 2  
Albuterol, 1 Atrovent  
1 Adult BVM \*\*

#### Bottom Shelf

1 Medium CPAP  
1 Large CPAP or  
2 Sml adult SafeFlow  
2 Filterline AD/NEO  
4 Smart CAPNO AD/INT  
nasal cannula  
4 Hand Held Nebulizers with 2  
Albuterol/1 Atrovent  
4 ABT Masks, Adult  
6 Non Rebreathers, Adult  
8 Nasal Cannulas, Adult

#### Cabinet 7

6 Macro (10 drop) Sets  
6 Normal Saline 1000cc  
1 Secondary Medication Set  
1 Dial-A-Flo Regulator  
1 Dopamine 400mg Premix  
3 Micro (60 drop) Sets  
1 Normal Saline 100cc

#### Cabinet 8

1 Narcs box  
1 Knox box key

#### Cabinet 9

8 18g Angiocaths  
4 16g Angiocaths  
4 14g Angiocaths  
8 20g Angiocaths  
4 22g Angiocaths  
4 24g Angiocaths  
4 1cc Syringes  
8 3cc Syringes with twinpak  
4 5cc Syringes with twinpak  
4 10cc Syringes with twinpak  
8 IV Start Packs

\*\* ITEMS ARE  
CHP REQUIREMENT

25 Lancets  
1 box Glucometer Strips  
1 Sharp Shuttle  
2 rolls Transpore tape  
20 Alcohol Preps  
5 Prep Razors  
8 Twin Pak Tips  
4 Needles, 21g  
4 Filter Straws  
4 Lever Locks  
4 MAD nasal spray tips  
10 Saline Locks  
10 Normal Saline 10cc

#### Cabinet 10

#### Top Shelf

4 Cold Packs  
4 Hot Packs  
2 Burn Sheets  
2 10"x30" Trauma Bandages \*\*  
3 Emesis Bags  
3 Emesis Basins \*\*

#### Bottom Shelf

1 Pack 4"x4" non-sterile  
1 Pack 2"x2" non-sterile  
6 Roller Gauze 4" \*\*  
12 Abdominal pads  
5"x9" or 8"x10" \*\*  
12 Sterile 4"x4" \*\*  
1 Box Band Aids  
4 Occlusive Dressings \*\*  
2 Rolls 2" cloth tape \*\*  
1 Roll 1" paper tape  
4 Nose Clips  
1 pr. Trauma Shears  
4 Triangular Bandages  
1 SAM Pelvic Binder Splint

#### Cabinet 11

1 Disinfectant Spray  
1 Glass Cleaner  
1 tub Germicidal Wipes  
4 Back Board Straps  
4 Towels \*\*

# Sonoma Life Support Vehicle Inventory

## ALS Ambulance-Sprinter

### August 2014

**Cabinet 12**Top Shelf

2 CHP Blankets  
 1 ECG Cable with 12 lead attachments  
 2 Defibrillator Patches adult  
 2 Dispo PulseOx Ad/Neo  
 1 Reusable sensor & base cable  
 2 packages EKG Electrodes, Adult  
 2 EKG Paper  
 1 BP Cuff, Thigh

**Cabinet 13**

4 Head Beds \*\*  
 4 C-Collars Adult \*\*  
 2 C-Collars Pedi.

**Cabinet 14**

3 Personal Protection Kits  
 3 ea N95 Masks S,M,L  
 3 ea P100 Masks S,M/L, XL  
 2 sets Eye Protection  
 2 sets Ear protection

**Cabinet 15**

5 Surgical masks  
 2 Spit socks  
 2 sets Disposable Restraints \*\*  
 5 Large Bio Bags  
 5 Yellow Hazard Bags  
 5 Patient Belonging Bags

**Action Area**

1 Suction Canister with Lid, Tubing & Hard Suction Tip  
 1 Garbage can

**Main Oxygen storage**

1 "H" cylinder  
 1 spare "D" cylinder  
 1 spare "D" cylinder with O2 Regulator attached

**Bulkhead area**On Top

1 Portable Suction w/ Lid \*\*  
 1 Suction Tubing \*\*  
 1 Hard Suction Tip \*\*

**Bulkhead Cabinet**Top Shelf

4 Sterile Water \*\*  
 1 OB Kit \*\*  
 2 Teddy Bears  
 1 Pedi-mate (car Seat)  
 1 Pediatric Warmer  
 1 Pediatric Bag

Bottom Shelf

8 blankets  
 1 Fine tip sharpie  
 Cabinet Seals  
 1 MCI Kit  
 1 ream Paper  
 2 Emergency Food rations

**Stair Chair area**

2ea Cardboard Splints, S, M, L \*\*  
 1 Stair-chair

**Right Rear Door**

2 Nasal Cannulas  
 2 Non Rebreathers  
 1 Hand Held Nebulizer with 2 Albuterol, 1 Atrovent  
 1 Adult ABT mask

**Left Rear Door**

2 Fitted Sheets  
 4 Sheets  
 4 Pillow Cases

**Left Rear Corner**

1 Broom  
 1 Pediatric Board

**Head of Bench Seat**

Sharps Container  
 2 D Tanks (08 sprinters)

**Behind Bench**

1 Box Vionex wipes  
 1 Trauma Sheers \*\*  
 1 BP Cuff Adult \*\*  
 1 Stethoscope \*\*  
 1 Clipboard with RAS/AMA and Signature forms

**Foot Of Bench seat**

1 Garbage can  
 2 Back Boards  
 1 Breakaway Flat

**Under Bench Seat**

2 Helmets  
 2 prs. Leather Gloves  
 1 KED \*\*  
 1 Sager Traction Splint \*\*  
 1 Carry-all tarp  
 1 Triangle Reflector

**Gurney**

3 Sets of seat belts including shoulder straps  
 1 Monitor/Defibrillator  
 1 Pillow and Pillow Case  
 1 Blanket, Sheet and Fitted Sheet  
 1 Blue Bag  
 1 D-Tank with Oxygen Bag  
 1 D-Tank in Headrest (optional)  
 1 Set Wrist restraints  
 1 Set Ankle restraints

**Left Gurney Pouch**

2 Eye Protection  
 2 ea N95 Mask, S, M, L,

**Right Gurney Pouch**

2 packages Disposable Restraints  
 1 Spit Sock  
 1 Slider  
 5 Surgical Masks

**Front Cab**

1 CHP identification permit \*\*  
 1 DMV Registration \*\*  
 1 Insurance Certificate \*\*  
 1 Box of Gloves per crewmember  
 1 Map Book  
 1 Emergency Response Guidebook  
 1 Fastrak Bridge pass  
 1 Phone & Charger  
 1 Spotlight  
 2 Flashlights  
 1 Fire Extinguisher  
 1 Gas Card  
 1 Disaster Preparedness Guidelines Card  
 1 EMD guidebook

# Sonoma Life Support Vehicle Inventory

## ALS Ambulance-Sprinter

### August 2014

**1 Metal Clipboard containing:**

- 5 Physician On Scene Forms
- 5 Blood Draw forms
- 1 ALS Ambulance Inventory
- 1 Temperature Monitor Policy

## Bags & Kits

### Blue Bag

#### Clear pouch

- 3 RAS/AMA forms

#### Top Compartment

- 1 BP Cuff, Adult\*
- 1 Stethoscope\*

#### Left Outside Compartment

- 1 EZ IO Kit

#### Right Outside Compartment

- 1 BVM, Adult

#### Middle Outside Compartment

- 1 Glucometer
- 1 Sharp Shuttle
- 1 Bandage Kit
- 1 10"x30" trauma dressing

#### Top Inside Compartment

- 1 CPAP Size M
- 1 Chricothyrotomy Kit
- 1 Thoracentesis Kit
- 1 BVM Nebulizer with 2 Albuterol, 1 Atrovent
- 1 Defibrillator Magnet

#### Middle Inside Compartment

- 1 Intubation Kit
- 1 IV Kit
- 1 Atropine 1mg
- 3 Epi. 1:10,000 1mg
- 1 D10 Setup
- 1 Narcan 2mg
- 1 Normal Saline 100cc
- 1 Secondary Medication Set
- 1 Sodium Bicarb vial with 60cc syringe OR pre-load
- 1 Glucagon 1mg

### Drug Box

- 1 Adenosine 6mg
- 1 Adenosine 12mg
- 3 Amiodarone 150mg
- 2 Albuterol 2.5mg
- 1 Atrovent 0.5mg
- 1 Benadryl 50mg
- 1 Epi. 1:1,000 1mg
- 1 Oral Glucose
- 2 Zofran tablets
- 1 Zofran vials

### Syringe Baggie

- 3 ea Syringes, 1,3,5 & 10cc

### Needle Baggie

- 3 ea. Needles, 21g
- 3 Filter Straws
- 3 Twin Pak Tips
- 3 Lever Locks
- 3 MAD Nasal Spray tips
- 3 10cc Normal Saline Flush

### Oxygen Bag

- 1 Oxygen, D Tank
- 1. Nasal Cannula, Adult
- 1 Non Rebreather, Adult
- 1 Handheld Nebulizer with 2 Albuterol, 1 Atrovent
- 1 Smart CAPNO AD/NEO nasal Cannula
- 1 CPAP Size L
- 1 Neb Mask, Adult
- 1 O2 Wrench

### Pediatric Bag

#### Top Outer

- 1 Newborn Foil Bunting
- 2 Newborn Stocking Caps
- 1 OB Kit with Bulb Syringe

### Left Outer

- 2 Nasal Cannula Pediatric
- 2 Non Rebreather, Pediatric

### Right Outer

- 2 Hand Held Nebulizer
- 2 ABT Mask, Pediatric

### Front Outer

- 10 ECG Electrodes, Pediatric
- 1 Defibrillator Patches, Pedi
- 1ea Child & Infant BP cuff

### Clear Inside Flap

- 1 Broselow Tape
- 2 three way stopcocks
- 1 Thermometer
- 3 Thermometer Sheaths
- 3 KY Jellies

### Orange Inside Pouch

- 1 Laryngoscope Handle, Pediatric
- 1 ea Straight Blades, 0-2
- 1 ea Curved Blades, 1 & 2
- 2 Batteries, AA

### Green Inside Pouch

- 1 ea NPAs, 20-24
- 1 ea OPAs, 50-70mm
- 3 KY Jellies

### Yellow inside pouch

- 1 Mask Infant
- 1 Mask neo
- 1 IV armboard, short

### Red Inside Pouch

- 2 H set Filterline INF/NEO
- 2 Smart CAPNO PEDI Nasal cannula
- 2 Dispo pulseOx Infant

### Blue Inside Pouch

- 1 ea ET Tubes, 2-4.5
- 1 Pediatric Stylette
- 1 ET Tube Holder, pediatric
- 1 Meconium Aspirator
- 1 Magill Forceps, Pediatric

### Inside Loose

- 1 BVM Toddler

# Sonoma Life Support Vehicle Inventory

## ALS Ambulance-Sprinter

### August 2014

#### Narcs Box

300 mg Fentanyl  
15 mg Versed OR Valium  
2 3cc Syringes  
2 Twinpaks  
1 MAD nasal spray tip

#### Monitor/Defibrillator

##### Left Outside Compartment

1 Modem

##### Left Compartment

1 ECG 4 Lead Cable  
1 BP Cuff (Adult) & Hose  
1 Pulse Ox Sensor Base cable  
1 Pulse Ox Sensor, Adult

##### Top Compartment

1 Aspirin Bottle 81mg  
1 Nitro 0.4mg  
1 Thermometer  
1 ECG 12 Lead Cable with  
Electrodes Attached  
2 Prep Razors  
2 Benzoin Tincture

##### Monitor continued:

##### Rear Compartment

1 Spare Mon. Batt.  
1 Paper Roll, Spare  
1 Disp. Pulse Ox, Adult/Neonate  
1 Disp. Pulse Ox, Pedi

##### Right Compartment

1 Defibrillator/Pacing Cable  
2 Defibrillator Patches, Adult  
1 Defibrillator Patches, Pedi  
1 Blood Pressure Cuff, Adult Large  
1 Blood Pressure Cuff, Pedi  
1 Blood Pressure Cuff, Infant

##### Right Outside Compartment

30 ECG Electrodes, Adult  
10 ECG Electrodes, Pediatric

#### Intubation Kit

1 Laryngoscope Handle,  
Adult  
1 ea Straight Blade # 2, 3  
1 ea Curved Blades, 3, 4  
1 ea cuffed ET Tubes, 5-9  
1 ea King Tubes, 3, 4, 5  
1 Adult Stylette  
1 Syringe, 10cc  
1 Syringe, 60cc  
1 ET Tube Holder, Adult  
1 FilterLine, AD/NEO  
1 Flex-Guide Tube Introducer  
1 Magill Forceps, Adult  
1 ea NPAs, 24-36fr  
1 ea OPAs, 50-100mm  
6 KY Jellies  
1 Tape, 1" Cloth  
2 Batteries, C

#### EZ IO Kit

1 EZ-IO Driver  
1 EZ-IO Adult needle set  
1 EZ-IO Pedi needle set  
2 EZ-Connect extension set  
2 EZ-IO wristband  
1 IV start kit  
1 Quick Reference Card  
1 Lidocaine preload  
1 NS preload 10cc

#### IV Kit

2 ea Angiocaths, 14-16-18-20-22  
2 IV Start Pack  
1 10cc Normal Saline  
1 Saline Lock  
1 Normal Saline 1000cc  
1 Macro (10 drop) Set  
1 Sharp Shuttle  
1 Pillow Case, Paper

#### Bandage Kit

2 Triangular Bandage  
2 inch stack non-sterile 4"x4" in  
ziplock  
1 Occlusive Dressing  
1 Abdominal Pad  
1 Roller Gauze  
1 Tape 1" Transpore  
1 Tape 2" Cloth  
1 Tourniquet  
1 Ice Pack  
1 Nose Clip  
1 Trauma Shears

#### MCI Kit

15 Triage Tags  
Permanent Markers  
Pencil  
Pens  
Legal Note Pad  
Medical Group Position Outline  
CVEMSA Patient Destination  
Tracking Forms

#### Glucometer kit

1 Glucometer  
1 Glucometer canister strips  
5 Lancets  
5 Band Aids  
5 2x2's non-sterile  
5 Alcohol Preps

#### Blood Draw Kit

3 Vacutainer sleeves  
3 Butterfly needle sets  
3 Tourniquets  
10 non-sterile 2x2s  
5 bandaids  
5 Iodine Preps  
1 Sharp Shuttle

#### D10 Setup

*Tape items together with  
Transpore tape*  
1 250cc bag D10 solution  
1 10-drop tubing

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**Attached. The ambulance at Pleasanton has 1 EMT and 1 Paramedic, the ambulance at GGF also has 1 EMT and one Paramedic.**
- C. Describe the on-track first aid facility, including equipment and medical staffing:  
**See Attachment #2**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**David Fichman, M.D. (707) 829-1811**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Santa Rosa Memorial Hospital – 1165 Montgomery Drive, Santa Rosa, CA 95405  
Emergency Room (707) 525-5207**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See Attachment #3 (English); Attachment #4 (Spanish)**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Richard Lewis – Director of Racing  
Clifford Sanders, Superintendent, Sonoma County Fairgrounds**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**In Progress**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **Self Insured – See Attachment #6**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**See Attachment #6**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**ATTACHMENT #2****14.C. Describe the on-track first aid facility, including equipment and medical staffing:****Sonoma County Fair Emergency Care and Medical Facilities**

Sonoma County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

**On-Track Staffing**

One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

**Jockey Quarters Staffing**

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and personnel requiring non-emergency medical care.

**Grandstands First Aid Staffing**

As a back-up to any medical emergencies that happen on the track or backstretch or to any fans; the Fair also has a fully equipped First Aid Station located on the lower level of the Racing Grandstands. This location is staffed daily from 10:30 a.m. to 11:00 p.m. The contractor must provide at a minimum one person certified in ALS (Advanced Life Support) and one EMT; and there is an ambulance also located near this First Aid Station that is equipped with the same equipment as listed on the Ambulance inventory included in this application for the track ambulance.

**Location of nearest Emergency Room Facility (Hospital) and Fire Department**

Both the Emergency Room at Santa Rosa Memorial Hospital and Santa Rosa Fire Department are located less than 3 miles away from the Fairgrounds.

**Physical Resources**

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

**Ambulance**

See the following List of Supplies and Equipment

**Equine First Aid**

Sonoma County Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

**ATTACHMENT #3****14.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:****(English) Sonoma County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Ambulance Gate to secure the area to allow swift and secure exit by the ambulance and prevent visitation from bystanders to the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

**Racing Staff / Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The Track Veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person and/or horse from the track

**Fair Association Staff**

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the Fair Association staff who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

**Announcer**

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.) enabling them to take the necessary steps to mitigate additional problems.

**Senior Management**

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

**All Department Heads**

All Department Heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

**ATTACHMENT #4****14.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey (Spanish):****Procedimiento en caso de Accidente en Sonoma County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

**El personal de la Ambulancia**

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que sea necesario para tratar a la(s) victima(s).

**Seguridad**

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara a la puerta designada para ambulancia easguarar el area para que la ambulancia salga a la escenda del accidente y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

**Personal de Carreras / Veterinario del Hipodromo**

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El Veterinario del Hipodromo debera decider si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

**Personal de la Feria / Fair Association Staff**

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.

2. Los miembros del departamento de la feria que esten cerca del accidente deberan ayudar a tapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

### **Locutor**

El Locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

### **Gerencia**

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerente sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompañar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realiza unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

### **Todos los Jefes de Departamento**

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 25, 2014

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2015

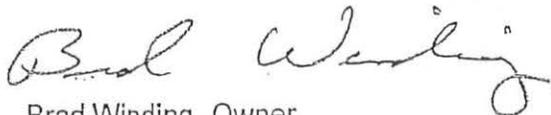
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC



February 17, 2015

Christopher A. Seymour  
Westmed Ambulance Service  
14275 Wicks Blvd.  
San Leandro, Ca. 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda, certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician (EMT) employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions, comments or concerns please feel free to contact me at [cseymour@westmedambulance.com](mailto:cseymour@westmedambulance.com).

Best regards,

A handwritten signature in cursive script that reads "Christopher A. Seymour".

Christopher A. Seymour EMT-P, BA

Opportunity. Diversity. Service.



COUNTY OF SONOMA

HUMAN RESOURCES DEPARTMENT

Christina Cramer, Interim HR Director

Employment • Classification • Employee Relations • EEO Training • Risk Management

County of Sonoma  
 Human Resources - Risk Management Division  
 575 Administration Dr Ste 116C  
 Santa Rosa, CA 95403  
 (707) 565-2942

**Subject:** County of Sonoma Evidence of Self-Insurance Coverage Year 2015  
**Covered Entities:** County of Sonoma, Sonoma County Agriculture Preservation and Open Space District, Sonoma County Community Development Commission, Sonoma County Fair and Exposition, Inc., Sonoma County Harvest Fair, Sonoma County Water Agency  
**Policy Periods:** GL and WC - July 1, 2014 through July 1, 2015  
 Property - March 31, 2014 through March 31, 2015  
 Med Mal - October 1, 2014 through October 1, 2015

To Whom It May Concern:

The County of Sonoma is self-insured for general and automobile liability covering bodily injury and property damage, and maintains a self-insured retention of \$1,000,000 per occurrence. Excess liability coverage is obtained through participation in the California State Association of Counties Excess Insurance Authority (CSAC-EIA) with limits in excess of \$1,000,000. Additionally, this coverage includes Errors & Omissions.

The County of Sonoma is insured for property loss or damage with a deductible of \$50,000 provided through the CSAC-EIA Property Insurance Program.

The County of Sonoma is permissibly self-insured for workers' compensation for its employees and volunteers. Excess workers' compensation coverage is obtained through participation in the CSAC-EIA.

Medical malpractice coverage is maintained through participation in the CSAC-EIA Medical Malpractice Program II, with limits in excess of \$1,000,000 and a \$5,000 deductible per occurrence.

Sincerely,

Marcia Chadbourne  
 Risk Manager

Letter Requested By

Name: Stacey Lapham (Prepared by Nadine Jaillet)

Department: Sonoma County Fair & Exposition, Inc.

Date: March 6, 2015

Certificate Holder/Contract Name: California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300, Sacramento, CA 95825 / 2015 Sonoma County Fair Horse Racing July 30 - August 16

### 15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

CONCESSION/SERVICE	COMPANY	OWNER	ADDRESS
Alcoholic Beverages	Ovations	Nick Nicora	Banner Island Park – 404 W., Fremont, CA 95203
Food & Non-Alcoholic Beverages	Big Boys BBQ (4 locations)	Gus Lopez	2933 Montgomery Dr., Santa Rosa, CA 95405
	Extreme Foods	Phillip Delahoyde	585 North State Street Ukiah, CA 95482
	Five Star Catering	Don Delahoyde	585 North State Street Ukiah, CA 95482
Programs	Delmar Graphics	Del Scott	7806 Honors Court Pleasanton, CA 95466
Daily Racing Forms	Daily Racing Form	Wicks Sports Information Grp	100 Broadway, 7 <sup>th</sup> Fl. New York City, N.Y. 10005
Winners Circle Photographs	Vassar Photography	Bill Vassar	5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service	Bailey Mobile	Lorene Dutton	3263 Vineyard Venue, #35, Pleasanton, CA 94566
Starting Gate	United/Puett Start Gate	Michael Costello	1 Soundview Loop, S. Salem, N.Y. 10590
Sound System	Speeda Sound	Mike/Larry King	4740 N. Sonora Fresno, CA 93722
Armored Car Service	NCOTWINC		11875 Dublin Blvd., #D275, Dublin, CA 94568

- B. Does the fair plan to provide its own concessions? Yes  No

### 16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:  
**See Attachment #7**
- B. Promotional/ Marketing budget for this race meeting: **Exceeds \$330,000**  
Promotional/Marketing budget for prior race meeting: **Exceeded \$330,000**
- C. Number of hosts and hostesses employed for meeting: **N/A**  
**Upstairs Grandstand Upper Level Guest Information Booth; wait service in Box Seats and Trackside Dining areas.**  
**In addition: 25 Ushers, 6 Guest Service Attendants and 5 Self-Service Betting Machine Attendants.**
- D. Describe facilities set aside for new fans:  
**See Attachment #8**
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits: **See Attachment #9**
1. Horsemen
  2. Fans
  3. Facilities in the restricted areas

**ATTACHMENT #7****16. A Promotional and marketing plans for the race meeting:**

The total advertising, publicity and promotions budget for the 2015 Fair and Race Meet exceeds \$330,000. Although these funds are used to promote all aspects of the three week Fair via traditional advertising, digital advertising, social media marketing, grassroots marketing, publicity, sponsorships/partnerships, box seat sales, educational programs, non-profit outreach, and promotions; 90% of these efforts involve promotion of live racing.

**Specific Wine County Racing Promotions:**

- Emailing campaign (Constant Contact) focused on impulse messages to get people to the races. We currently have over 33,000 constant contact fans.
- On-line discounted group ticket sales.
- Improved signage at the entrance to the Race Grandstands emphasizing “free” admission to the horse races and welcoming patrons to the venue.
- Our Racing Grandstands Promotion Coordinator improves the quality of our product and keeps race fans entertained and at the races during our meet.
- Interactive drawings and contests between races to keep race fans at the races.
- The 4<sup>th</sup> Annual Jockey Foot Race will be run.
- Promotion associates in the Grandstands assisting fans and first time betterers.
- Free daily racing seminars, including giveaways, each fair-day geared at educating the new race fan.
- Signage promoting reserved seats and free daily racing seminars.
- On track souvenir giveaways during the meet.
- Specific Facebook & Twitter pages that promote Wine Country Racing at the Sonoma County Fair.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. Trackside dining may be purchased in tables of four and are located on the North side of the grandstand box seat area. There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each. Both venues have been very popular and definitely have brought new racing fans to Wine Country Racing.
- Wine Country Hat Day – includes gate admission, grandstand seating, race program, hat competition, and refreshments with a group photo taken in the Winner’s Circle. Proceeds benefit a non-profit.
- Derby Dog Dash will be offered again as an event on our race track during races. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- \$1 betting voucher coupons given away at Fair Gate to encourage Fair patrons to bet.
- Giveaways of box seat tickets & Fair admission throughout the year to increase fair base.

- Be An Owner-for-a-Day program where fans get randomly selected to be in the paddock for their assigned race and get their picture taken with the winning horse.
- TVG Coverage of early and late Pick 4's.
- Sliders Gastro Pub & Sports Bar is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. We also offer live music at the conclusion of the races each day.

### **Third Week of Racing at the Sonoma County Fair's Wine Country Racing**

**Fair Like Activities to promote On-Track Attendance and Fan Development** - Complimentary activities that will be beneficial to our racing program. The three-day event we are planning for our third week of racing (Friday, August 14<sup>th</sup> through Sunday, August 16<sup>th</sup>) may help generate new fans and create a unique event.

- We will be working with Lagunitas Brewing Company to be our anchor participant in a Beerfest-type activity that promotes the 16 local breweries in Sonoma County that do not get to "play" very effectively at County Fair. Their establishment in Sonoma County has a unique attraction program and they have a cult-like following. We are anticipating that this partnership will bring new faces to racing.
- With the craft brew activities, we are also planning some strong regional band performances as well as an array of food and beverage specials.
- Connecting with Sonoma County "Go Local" program to incorporate local food and beverage products/companies into the event services and activities.
- Connecting with a Sonoma County casino to joint market the weekend with party buses moving between the two venues. It is definitely more of a young adult event.
- Free parking and fairgrounds admission will be in place during our third week of racing.
- This third week of racing will be marketed heavily during the two previous weeks of racing including "bounce back" offers to fairgoers and giveaway promotions.

**ATTACHMENT #8****16.D. Describe facilities set aside for new fans:**

- Admission gate distribution of race coupons or vouchers to encourage fairgoer to go to the races during their fair visit.
- Promotion Associates in the Grandstands assisting fans and first time betters.
- Signage promoting reserved seats and free daily racing seminars.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Fair representatives will be visiting more than 10 service organizations to speak about Horse Racing at the Fair.
- Free daily racing seminar each fair day (more than 20 years continuously running). The Seminar is hosted by industry professionals and features daily high profile guests. Prizes and tips are given throughout the one hour seminar.
- Returning promotions and information services include novice fan information booth, staffed featuring wagering information, terms and interactive items.
- Wine Country Hat Day – includes gate admission, grandstand seating, race program, hat competition, and refreshments with a group photo taken in the Winner’s Circle. Proceeds benefit a non-profit.
- Derby Dog Dash will be offered again as an event on our race track during races. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- Sliders Gastro Pub & Sports Bar is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. There is also live music at the conclusion of the races each day.
- Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. Trackside dining may be purchases in tables of four and are located on the North side of the grandstand box seat area. There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each. Both venues have been very popular and definitely have brought new racing fans to Wine Country Racing.

**ATTACHMENT #9****1.6.E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:****1. Horsemen**

- Added shade trees to VIP Horsemen's Parking Lot inside the horsemen's gate and will continue to offer shuttle service from lot to racing office and grandstand areas.
- Additional rock and improvement have been added to the horsemen's trailer park.
- Leveled and top dressed dirt track.

**2. Fans**

- Ongoing enhancements to the Winners' Circle and finish line will continue to be implemented.
- ADA compliant wagering stands were added for self service terminals.
- ADA compliancy areas were added to designated areas in grandstand.
- All grandstand restrooms have been upgraded to meet ADA standards.
- Enhancements to grandstand designated box areas for trackside and paddock dining experiences.
- Additional sunlight protection in upstairs mezzanine for patrons and mutuel clerks.
- Added more television monitors to upstairs patron areas in grandstand.

**3. Facilities in the restricted areas**

- Continue to extend the fairgrounds recycling program into the restricted racing areas.
- Ongoing maintenance and improvements in the barn/restricted area including new electrical wiring and repairs to roadways, barns, and gutters.

## 17. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

General Admission/Grand Stand	Free with Fair Admission
Fair Admission/Adults (13 & older)	\$ 11.00
Advanced Sale Tickets (On-line)	\$ 8.00
Fair Admission/Children (6-12)	\$ 5.00
Fair Admission/Seniors	\$ 11.00
Fair Admission/Presale Discount	\$ 8.00
Admission (clubhouse)	\$ N/A
Reserved Seating (general)	\$ 3.00
Reserved Seating (clubhouse)	\$ N/A
Upper Reserved Box Seats	\$ 5.00
Parking (valet)	\$ N/A
Programs/on track	\$ 2.50
Programs/off track	\$ 2.50

- B. Describe any "Season Boxes" or other special accommodation fees:  
**Lower box seats \$135/seat season, Upper box seats \$105/seat season.**  
**Daily sales of box seats: Upper seats \$3.00 per seat and Lower seats \$5.00 per seat.**
- C. Describe any "package" plans such as combined parking, admission and program:  
**Box Seat Program; Named Race Program; VIP Tent offers; \$2 seats. Fans are able to purchase fair admission and box seat ticket for \$11 (a \$13 value) with no minimum package purchase requirement.**

## 18. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:  
**Separate area containing a dressing room, lounge area, sauna, showers, restroom, lockers, & bunks.**

## 19. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **100**
- C. Number of restrooms available on the backstretch of the racetrack:  
**Total of 14 urinals, 20 toilets, 20 sinks and 27 showers.**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 25**

**20. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5,143.9
---------

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Dirt Track: 6% organic; 16% silt & clay – Turf Course: Tall Fescue**
- C. The percent of cross slope in the straight-aways is: **3% Main Track; 2% Turf Course**  
The percent of cross slope in the center of the turns is: **5 ½% Main Track; 6% Turf Course**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner rail is aluminum wrap gooseneck posts covered by rubber and thermoplastic; Outer rail is steel posts and steel rail. Approximate height is 40 inches. Turf Track – Inner rail is aluminum wrap, moveable rail on gooseneck posts. Outer rail is aluminum rail on gooseneck posts permanently mounted.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Richard Lewis**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.: **See Below**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any

cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

**21. DECLARATIONS**

A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):

**No Exceptions**

B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.

**AMRA, PQHRA, ARAC, TOC & CTT - in progress**

C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

**No Exceptions**

D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

**No Exceptions**

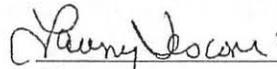
**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**22. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Tawny Tesconi

Print Name



Signature

Sonoma County Fair Manager

Print Title

April 16, 2015

Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENT TO CHRB RULE 1489, GROUNDS FOR DENIAL OR REFUSAL OF  
LICENSE.

Regular Board Meeting  
May 21, 2015

ISSUE

Business and Professions Code section 481 states that every board that falls under the provisions of the Business and Professions Code shall develop criteria to aid it, when considering the denial, suspension or revocation of a license, to determine whether a crime or act is substantially related to the qualifications, functions, or duties of the business or profession it regulates. Presently, the Board does not have such criteria in place. Adoption of the proposed amendments to CHRB Rule 1489 will bring the Board in line with the requirements of section 481, and help provide consistent determinations about which acts, offenses, or crimes are so substantially related to horse racing that they necessitate the denial, suspension, or revocation of a license.

ANALYSIS

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purpose of this chapter. Responsibilities of the Board shall include, but are not limited to, licensing of each racing association and all persons, other than the public at large, who participate in a horse racing meeting with parimutuel wagering. Business and Professions Code section 19461 states that every license granted under this chapter is subject to suspension or revocation by the board in any case where the board has reason to believe that any condition regarding it has not been complied with, or that any law, rule, or regulation of the board affecting it has been broken or violated. As presently written, Rule 1489, Grounds for Denial or Refusal of License, explains that, in addition to any other valid reason, the Board may refuse to issue a license or deny a license to any person who has been convicted of a crime punishable by imprisonment in a California state prison or a federal prison, or who has been convicted of a crime involving moral turpitude; who has been convicted of a crime in another jurisdiction which if committed in this state would be a felony; who has made any material misrepresentations or false statements to the Board or its agents in his or her application for a license or otherwise, or who fails to answer any material question on an application for a license; who is unqualified to engage in the activities for which a license is required; who fails to disclose the true ownership or interest in any or all horses as required by an application; who is subject to exclusion or ejection from the racing inclosure or is within the classes of persons prohibited from participating in pari-mutuel wagering; who has committed an act involving moral turpitude, or intemperate acts which have exposed others to danger, or acts in connection with horse racing and/or a legalized gaming business which were fraudulent or in violation of a trust or duty; who has unlawfully engaged in or who has been convicted of possession, use or sale of any narcotic, dangerous drug, or marijuana; who is not permitted by any law to engage in the occupation for which the license is sought; or who has violated, or who aids, abets or conspires with any person to violate any

provision of the rules or the Horse Racing Law. Finally, Rule 1900, Grounds for Suspension or Revocation, provides that any rule which is a ground for denial of a license is also a ground for suspension or revocation of a license.

To operate in conjunction with the above rules and regulations, Business and Professions Code section 481 further states that the Board shall develop criteria to aid it in determining whether a crime or act is substantially related to the qualifications, functions, or duties of a CHRBR licensee when considering the denial, suspension or revocation of a person's license.

The proposed amendment to CHRBR Rule 1489 was drafted by CHRBR counsel to bring the Board into conformity with Business and Professions Code section 481, as well as to assist investigators and licensing staff in making consistent determinations about which acts, offenses, or crimes are so substantially related to horse racing that they necessitate the denial, suspension, or revocation of a license.

## BACKGROUND

Business and Professions Code section 481 provides as follows:

Each board under the provisions of this code shall develop criteria to aid it, when considering the denial, suspension or revocation of a license, to determine whether a crime or act is substantially related to the qualifications, functions, or duties of the business or profession it regulates.

To date, the Board has been without relatedness criteria to aid it in determining which acts, offenses, or crimes are so substantially related to horse racing that they necessitate the denial, suspension, or revocation of a license. At the previous CHRBR Board meeting, held on April 16, 2015, the Board considered proposed regulation 1489.3, Criteria for Denial, Suspension and Revocation of an Occupational License, which was meant to satisfy the requirements of Business and Professions Code section 481. The Board determined that the proposed regulation did not operate in perfect harmony with CHRBR Rule 1489, however, and so the proposed regulation was sent back for revisions.

These amendments to Rule 1489 address the concerns the Board had regarding proposed regulation 1489.3, and specifically define which grounds for the denial, suspension, or revocation of a license require application of the relatedness criteria. Adoption of these amendments will consequently bring the Board in to conformity with Business and Professions Code section 481 while maintaining consistency with the rest of Rule 1489.

## RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 4. OCCUPATIONAL LICENSES  
PROPOSED AMENDMENT TO  
RULE 1489. GROUNDS FOR DENIAL OR REFUSAL OF LICENSE

1489. Grounds for Denial or Refusal of License.

(a) The Board, in addition to any other valid reason, may refuse to issue a license or deny a license to any person:

(a)(1) Who has been convicted of a crime punishable by imprisonment in a California state prison or a federal prison, or who has been convicted of a crime involving moral turpitude.

(b)(2) Who has been convicted of a crime in another jurisdiction which if committed in this state would be a felony.

(c)(3) Who has made any material misrepresentation or false statement to the Board or its agents in his or her application for license or otherwise, or who fails to answer any material question on an application for a license.

(d)(4) Who is unqualified to engage in the activities for which a license is required.

(e)(5) Who fails to disclose the true ownership or interest in any or all horses as required by any application.

(f)(6) Who is subject to exclusion or ejection from the racing inclosure or is within the classes of persons prohibited from participating in pari-mutuel wagering.

(g)(7) Who has committed an act involving moral turpitude, or intemperate acts which have exposed others to danger, or acts in connection with horse racing and/or a legalized gaming business which were fraudulent or in violation of a trust or duty.

(h)(8) Who has unlawfully engaged in or who has been convicted of possession, use or sale of any narcotic, dangerous drug, or marijuana.

(+) (9) Who is not permitted by any law to engage in the occupation for which the license is sought.

(+) (10) Who has violated, or who aids, abets or conspires with any person to violate any provision of the rules or the Horse Racing Law.

(b) When considering the denial, suspension or revocation of a license under subparagraphs (a)(1), (a)(2), (a)(7), and (a)(8) of this section, pursuant to section 481 of the Business and Professions Code, a crime or act shall be considered to be substantially related to the qualifications, functions or duties of a person applying for or holding a license under the Horse Racing Law, if to a substantial degree the crime or act evidences a present or potential unfitness to perform the functions authorized by his or her license or in a manner consistent with the public health, safety, or welfare.

Authority: Sections 19440 and 481,  
Business and Professions Code.

Reference: Sections 19460, 19510 and 19572  
Business and Professions Code.

STAFF ANALYSIS  
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE,  
TO PROVIDE THAT A HORSE IS INELIGIBLE TO START IN ANY RACE IN  
CALIFORNIA IF IT IS ON THE VETERINARIAN'S LIST IN ANOTHER RACING  
JURISDICTION, UNLESS WITH PRIOR APPROVAL OF THE STEWARDS  
FOR GOOD CAUSE

Regular Board Meeting  
May 21, 2015

ISSUE

In December 2014 the proposed amendment to Board Rule 1588, Horse Ineligible to Start in a Race, was submitted to the Office of Administrative Law (OAL) for review. The proposed amendment provided that a horse would be ineligible to start in any race in California if it was on the Veterinarian's List in another racing jurisdiction, unless with prior approval of the stewards. The OAL disapproved the rulemaking action based on the Clarity standard of Government Code section 11349.1. The OAL disapproval stated the rulemaking record implied that the stewards would be responsible for conducting an investigation to determine whether adequate cause existed to allow a horse to race, and the steward approval clause (subsection "j" of the proposed text) was to be used as a mechanism to correct racing eligibility errors that were identified. However, the proposed subsection 1588(j), which delegated unlimited approval power to the stewards, failed to align with the concept. To address OAL's concerns, the text of the regulation has been modified. New subsections (j)(1) through (j)(3) have been added to define what may constitute "good cause" when the stewards make a determination regarding a horse's eligibility to race. The 15-day public comment period ended May 6, 2015. The Board must readopt the proposed amendment before the file can be resubmitted to OAL.

ANALYSIS

California does not have an official Veterinarian's List reciprocity policy for horses on the Veterinarian's List in other states. This potentially allows compromised horses to avoid official veterinary scrutiny by moving to other racing jurisdictions which do not always monitor or recognize out-of-state Veterinarian's Lists. The proposed amendment to Board Rule 1588 prohibits any horse on the Veterinarian's List in another jurisdiction from entering a race in California without the prior approval of the stewards. The 1588 rulemaking file was submitted to OAL for review in December 2014. The file was disapproved based on the Clarity standard, as OAL did not believe the text of the proposed subsection 1588(j), which delegated unlimited approval power to the stewards, aligned with the concept. OAL stated it appeared the Board intended for the stewards to take specific steps to determine whether a horse should be allowed to race, including consultation with the official veterinarian and with racing officials in other racing jurisdictions. OAL declared that those standards of general application should be promulgated in Rule 1588 and adequately explained in the rulemaking record. To address the OAL's concerns, the proposed text of subsection 1588(j) has been modified to provide that the stewards must have "good cause" to approve the entry of a horse that is on another racing jurisdiction's Veterinarian's List. Subsections 1588(j)(1) through (j)(3) have been added to

define what such "good cause" may include: 1) unforeseen administrative issues in removing the horse from the other racing jurisdiction's Veterinarian's List; 2) the location of the horse preventing it from being evaluated by the official veterinarian of another jurisdiction and cleared from that jurisdiction's Veterinarian's List; and 3) any other unforeseen event or reason that would prevent the horse from being cleared from another racing jurisdiction's Veterinarian's List.

## BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper for it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in this State.

Board Rule 1866, Veterinarians List, states the official veterinarian shall maintain a Veterinarian's List of those horses determined to be unfit to compete in a race due to physical distress, unsoundness, or infirmity. Subsection 1866(c) provides that a horse placed on the Veterinarian's List shall be removed from the list only after having established or demonstrated to the satisfaction of the official veterinarian or the racing veterinarian that the horse is then sound and in fit physical condition to exert its best effort in a race. The initial proposed amendment to Rule 1588 specified that a horse is ineligible to start in any race in California if it is on the Veterinarian's List in another racing jurisdiction, unless with prior approval of the stewards. The proposed amendment to Rule 1588 was submitted to the OAL for review in December 2014. In January 2015, the OAL disapproved the regulatory action based on the Clarity standard of Government Code section 11349.1. The text of the proposed amendment was modified to address the OAL's concerns, and at the April 2015 Regular Board meeting staff was directed to initiate a 15-day public comment period. The 15-day public comment period ended May 6, 2015. No comments were received.

## RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board adopt the proposed amendment as presented.

CALIFORNIA HORSE RACING BOARD  
 TITLE 4. CALIFORNIA CODE OF REGULATIONS  
 ARTICLE 6. ENTRIES AND DECLARATIONS  
 1588. HORSE INELIGIBLE TO START IN A RACE.

Regular Board Meeting  
 May 21, 2015

Single underlined text represents the original language noticed to the public from September 5, 2014 to October 20, 2014.

Deletions to the original noticed text appear as ~~bold double strikethrough~~ and new and revised text appears as **bold double underlined**.

1588. Horse Ineligible to Start in a Race.

In addition to any other valid ground or reason, a horse is ineligible to start in any race if:

(a) if §such horse is not registered by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse.;

(b) if ¶the parentage verification to both the sire and the dam of all horses foaled in 1992 and thereafter has not been certified by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse.;

(c) if Unless the stewards permit otherwise, the certificate of foal registration, eligibility papers, or other registration issued by the official registry for such horse is not on file with the racing secretary at the time of entry;

(d) if §such horse has been entered or raced at any recognized race meeting under any name or designation other than the name or designation duly assigned by and registered with the official registry;

(e) ~~if~~ the certificate of foal registration, eligibility papers or other registration issued by the official registry has been altered, erased, or forged;

(f) ~~if~~ the identification markings of the horse do not agree with the identification markings as set forth in the registration of such horse;

(g) ~~Unless~~ he is eligible to enter said race and is duly entered for such race;

(h) ~~When~~ such horse is owned in whole or in part by an unlicensed person or is in the care of an unlicensed trainer;

(i) ~~When~~ such horse is on the Steward's List, the Starter's List or the Veterinarian's List;

(j) when, except with prior approval of the stewards for good cause, such horse is on the Veterinarian's List in another racing jurisdiction; or. Good cause includes:

(1) unforeseen administrative issues in removing the horse from the Veterinarian's List of another racing jurisdiction;

(2) the location of the horse prevents it from being evaluated by the official veterinarian of another racing jurisdiction and cleared from that jurisdiction's Veterinarian's List, and the horse is approved to race by a California official veterinarian; or

(3) any other unforeseen event or reason that would prevent a horse that would otherwise not be on a Veterinarian's List from being cleared from the Veterinarian's List of another racing jurisdiction.

~~(j)~~ ~~W~~ ~~(k)~~ when, except with prior approval of the stewards, such horse has not been on the grounds of the association or its approved auxiliary stable area for at least 24 hours prior to the time the race is to be run.

Authority: Sections 19440 and 19562,  
Business and Professions Code.

Reference: Sections 19440 and 19562,  
Business and Professions Code.

## STAFF ANALYSIS

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE RULE 1632, JOCKEY'S RIDING FEE, TO ADJUST THE NON-WINNING JOCKEY RIDING FEE SCALE FOR LOSING MOUNTS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19501(B)(1), TO REFLECT CALIFORNIA'S MOST RECENT MINIMUM WAGE INCREASE OF 12.5 PERCENT AND TO AMEND THE NON-WINNING JOCKEY RIDING FEE SCALE TO REFLECT AN INCREASE OF 12.5 PERCENT FOR THE 2<sup>ND</sup> AND 3<sup>RD</sup> PLACE MOUNTS IN RACES WITH A GROSS PURSE OF \$9,999 OR LESS. (NOTE: THIS CONCLUDES THE 45-DAY PUBLIC COMMENT PERIOD. THE BOARD MAY ADOPT THE PROPOSAL AS PRESENTED.)

Regular Board Meeting  
May 21, 2015

## ISSUE

Assembly Bill 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Subsection 19501(b)(1) states that the scale of minimum jockey riding fees for losing mounts shall be increased whenever the state minimum wage is increased by the percentage of that increase. As of July 1, 2014, the California minimum wage rate was increased 12.5 percent per hour for all hours worked. The July 2014 minimum wage increase necessitates an amendment to Board Rule 1632, Jockey's Riding Fee, which currently provides a scale of jockey riding fees to be used in the absence of a contract or special agreement between the trainer/owner and jockey. The 12.5 percent increase to the losing mount fees causes the losing mount to earn more than the third place mount finishing with a gross purse of less than 9,999, which could remove any incentive for a jockey to put forth his best effort. Therefore, it is necessary to amend not only the losing mount fees pursuant to Business and Professions Code section 19501; but also the 2<sup>nd</sup> and 3<sup>rd</sup> place mount fees in order to balance the Non-Winning Jockey Riding Fee scale.

## ANALYSIS

Business and Professions Code subsection 19501(b)(1) provides for an increase for losing mounts on the scale of minimum jockey riding fees whenever the state minimum wage is increased by the percentage of that increase; however, it does not provide for an increase for the 2<sup>nd</sup> and 3<sup>rd</sup> place mounts. The 12.5 percent increase to the losing place mount produces a disparity for the 2<sup>nd</sup> and 3<sup>rd</sup> place mounts with a gross purse of \$9,999 or less. It is necessary to make an adjustment to the scale, otherwise jockey riding fees for 2<sup>nd</sup> and 3<sup>rd</sup> place finishers in races with purses of \$9,999 would be lower than the fee paid for a losing mount.

The proposed amendment to Rule 1632 will adjust the scale of jockey riding fees for losing mounts by 12.5 percent, as directed by Business and Professions Code section 19501. The proposal also applies the 12.5 percent adjustment to the scale of the jockey riding fees for all mounts not sharing in purse monies, to ensure that riders with 2<sup>nd</sup> and 3<sup>rd</sup> place mounts in races with a gross purse of \$9,999 or less receive more than a losing mount.

### Non-Winning Jockey Riding Fees

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>\$135.00</del> <u>\$151.88</u>
50,000-99,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>110.00</del> <u>123.75</u>
25,000-49,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>95.00</del> <u>106.88</u>
15,000-24,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>85.00</del> <u>95.63</u>
10,000-14,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>80.00</del> <u>90.00</u>
5,000-9,999: . . . . .	<del>\$90.00</del> <u>\$101.25</u>	<del>\$77.00</del> <u>\$86.63</u>	<del>75.00</del> <u>84.38</u>
3,500-4,999: . . . . .	<del>80.00</del> <u>90.00</u>	<del>70.00</del> <u>78.75</u>	<del>65.00</del> <u>73.13</u>
2,000-3,499: . . . . .	<del>70.00</del> <u>78.75</u>	<del>65.00</del> <u>73.13</u>	<del>63.00</del> <u>70.88</u>
1,500-1,999: . . . . .	<del>60.00</del> <u>67.50</u>	<del>58.00</del> <u>65.25</u>	<del>58.00</del> <u>65.25</u>

### BACKGROUND

Business and Professions Code section 19401(a) and (d) provides that the intent of Chapter 4 is to allow pari-mutuel wagering on horse races, while assuring protection of the public and providing uniformity of regulation for each type of horse racing. Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California. Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Subsection 19501(b)(1) states that the scale of minimum jockey riding fees for losing mounts shall be increased whenever the State minimum wage is increased by the percentage of that increase.

Board Rule 1632, Jockey's Riding Fee, provides a scale of jockey riding fees to be used in the absence of a contract or special agreement.

Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Section 19501(b)(1) provides that effective January 1, 2012, the scale of minimum jockey riding fees for losing mounts shall be increased by \$10 per mount over the rate in effect on December 31, 2011, except the lowest fees on the scale shall be increased by \$5.

Section 19501(b)(2) provides that effective January 1, 2012, the minimum amount awarded to the jockey who finishes second or third in a race shall be increased by \$5 over the amount

required to be paid on December 31, 2011. This subdivision shall apply to races in which the purse is nine thousand nine hundred ninety-nine dollars (\$9,999) or less.

Rule 1632, Jockey's Riding Fee was amended November 28, 2011, to comply with Business and Professions Code section 19501(b)(1) and (b)(2).

#### RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day comment period.

CALIFORNIA HORSE RACING BOARD  
 TITLE 4. CALIFORNIA CODE OF REGULATIONS  
 ARTICLE 6. ENTRIES AND DECLARATIONS  
 PROPOSED AMENDMENT OF  
 RULE 1632. JOCKEY'S RIDING FEE

Regular Board Meeting  
 May 21, 2015

1632. Jockey's Riding Fee.

(a) Winning jockey riding fees in the absence of a contract or special agreement are:

GROSS PURSE	Winning Mount
\$100,000 and up: . . . .	10% of Win Purse
50,000-99,999: . . . .	10% of Win Purse
25,000-49,999: . . . .	10% of Win Purse
15,000-24,999: . . . .	10% of Win Purse
10,000-14,999: . . . .	10% of Win Purse
5,000-9,999: . . . . .	10% of Win Purse
3,500-4,999: . . . . .	10% of Win Purse
2,000-3,499: . . . . .	10% of Win Purse
1,500-1,999: . . . . .	10% of Win Purse

(1) The purpose of subsection (a) is not to set a minimum or maximum fee. It provides a fee if the parties have not made a written agreement to the contrary.

(2) All fees pursuant to subsection (a) are payable in the lower purse range until the next higher purse range is reached, unless there is a written agreement to the contrary.

(b) Non-winning jockey riding fees are:

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>\$135.00</del> <u>\$151.88</u>
50,000-99,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>110.00</del> <u>123.75</u>
25,000-49,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>95.00</del> <u>106.88</u>
15,000-24,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>85.00</del> <u>95.63</u>
10,000-14,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>80.00</del> <u>90.00</u>
5,000-9,999: . . . . .	<del>\$90.00</del> <u>\$101.25</u>	<del>\$77.00</del> <u>\$86.63</u>	<del>75.00</del> <u>84.38</u>
3,500-4,999: . . . . .	<del>-80.00</del> <u>90.00</u>	<del>70.00</del> <u>78.75</u>	<del>65.00</del> <u>73.13</u>

2,000-3,499: . . . . .	<del>70.00</del> <u>78.75</u>	<del>65.00</del> <u>73.13</u>	<del>63.00</del> <u>70.88</u>
1,500-1,999: . . . . .	<del>60.00</del> <u>67.50</u>	<del>58.00</del> <u>65.25</u>	<del>58.00</del> <u>65.25</u>

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(1) The purpose of subsection (b) is to set a minimum, but not a maximum riding fee. No non-winning jockey shall be paid less than the riding fee set forth in subsection (b).

(2) All fees pursuant to subsection (b) are payable in the lower purse range until the next higher purse range is reached unless there is a written agreement to the contrary. However, no such written agreement shall reduce the minimum required by subsection (b).

(c) A jockey's fee is considered earned when the jockey is weighed out by the clerk of scales. The fee shall not be considered earned if the jockey elects to take himself off of his mount. If there is a substitution of jockeys, no additional jockey fee or double jockey fee need be paid except when ordered by the stewards.

(d) In this rule "Win Purse" means the amount paid the winning horse less the fees paid exclusively by the owner to enter the horse in the race;

(1) Entry, nomination or other fees paid shall not be deducted from a jockey riding fee if such fees are paid or reimbursed by any person or entity other than the owner.

(e) If the parties agree on the fee to be paid the jockey, a contract or agreement in writing signed by the jockey or his agent and the owner or his authorized agent specifying the agreed upon fee if a winning mount, second place mount, third place mount and losing mount shall be delivered to the paymaster of purses before the running of the race in question. The paymaster of purses shall debit the owner's purse account under the contract or written agreement. If no contract or written agreement is submitted before the running of the race in question, the paymaster of purses shall debit the owner's purse account under the fee scale set forth in this rule.

(f) A jockey may not share in the fees of another jockey.

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Authority: Sections 19440, 19501 and 19562,  
Business and Professions Code.

Reference: Sections 19401 (a), 19401(d), 19420, 19440, 19501, and 19502,  
Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE  
CALIFORNIA AUTHORITY OF RACING FAIRS (CARF)  
AND THE PACIFIC COAST QUARTER HORSE RACING ASSOCIATION  
TO SUSPEND THE USE OF CLENBUTEROL FOR 12 MONTHS EFFECTIVE  
JUNE 1, 2015, FOR QUARTER HORSES AT ALL CARF TRACKS,  
PURSUANT TO THE PROVISIONS OF  
CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION

Regular Board Meeting  
May 21, 2015

ISSUE

In June 2014, pursuant to the provisions of Rule 1844.1, Suspension of Authorized Medication, the Board extended its 2013 decision to suspend the use of Clenbuterol by all breeds at all California Racetracks. The extension was to last until such time as the amendment to Rule 1844, Authorized Medication, became effective. The amendment to Rule 1844, which became effective September 15, 2014, established an authorized level for Clenbuterol in official urine test samples of 140 picograms per milliliter. In April 2015, the Pacific Coast Quarter Horse Racing Association (PCQHRA) and California Authority of Racing Fairs (CARF) requested that the Board, at its May 2015 Regular Meeting, suspend for 12 months the use of Clenbuterol for quarter horse racing at CARF tracks, effective June 1, 2015.

ANALYSIS

Board regulations prohibit the administration of any drug, substance or medication after entry time with a few specific exceptions. The exceptions were established after due consideration by the Board based on information available at the time the regulations were adopted. Scientific information and practical experience in managing the threshold levels for the exceptions change over time as unintended consequences become apparent. Clenbuterol in horse racing is an example. Clenbuterol is a beta-2 agonist approved by the United States Food and Drug Administration (FDA) in equines as a bronchodilator. The Board established threshold levels for Clenbuterol consistent with its therapeutic use to treat small airway disease. Clenbuterol, like all beta-2 agonists, has an adrenergic effect on muscle somewhat similar to anabolic steroids. Only the Ventipulmin brand of Clenbuterol is approved by the FDA. Under Rule 1844, Authorized Medication, the authorized level of Clenbuterol in official urine test samples is 140 picograms per milliliter.

At its February 17, 2011 Regular Meeting, the Board discussed the proposed addition of Rule 1844.1, Suspension of Authorized Medication. The Board heard from the Equine Medical Director that non-FDA approved Clenbuterol was becoming a problem in quarter horse racing, and that the American Quarter Horse Association, the Los Alamitos Racing Association and the Pacific Coast Quarter Horse Racing Association were considering asking racing jurisdictions to prohibit Clenbuterol in quarter horse racing. The Board proposed the addition of Rule 1844.1 to allow it to act quickly to suspend authorization for drug substances such as Clenbuterol, or other

permitted drugs, substances or medications under specific circumstances and after a public hearing. The addition of Rule 1844.1 was approved and became effective in July 2011.

In August 2011 the Los Alamitos Race Course and the Pacific Coast Quarter Horse Racing Association requested that the CHRB consider enacting Rule 1844.1 to suspend the authorized administration of Clenbuterol to horses entered to race at the Los Alamitos Race Course for a period of 12 months effective October 14, 2011. The Board agreed and suspended the administration of Clenbuterol at Los Alamitos from October 14, 2011 through October 14, 2012. The Board has subsequently suspended the authorized administration of Clenbuterol in quarter horse racing, and in all breeds, multiple times. The latest suspension occurred in June 2014 when the Board extended its 2013 decision to suspend the use of Clenbuterol by all breeds at all California Racetracks. The extension was to last until such time as the amendment to Rule 1844, Authorized Medication, became effective. The amendment to Rule 1844, which became effective September 15, 2014, established an authorized level for Clenbuterol in official urine test samples of 140 picograms per milliliter.

## BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in this state. Business and Professions Code section 19580 states the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1406, Suspension of Rule, provides that the Board may for good cause, with or without a hearing, temporarily suspend the application of any of its rules upon any conditions it may impose. Any such action by the Board shall be in writing. Board Rule 1844.1, Suspension of Authorized Medication, provides that the Board may temporarily suspend the authorized administration of a permitted drug, substance or medication for any time up to one year maximum after a public hearing has been noticed in accordance with Government Code section 11125(a). The temporary suspension may be for a race, breed, or race meeting, provided all horses in the same race compete under the same conditions. The Board shall notify in writing the racing association and the trainers' organization of any temporary suspension, as specified.

## RECOMMENDATION

This item is presented for Board discussion and action.



# Pacific Coast Quarter Horse Racing Association

April 20, 2015

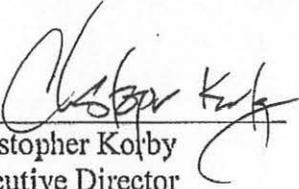
Dear Chairman Winner,

This organization along with California Authority of Racing Fairs (CARF) requests that the California Horse Racing Board, at its May 21, 2015 meeting, suspend the use of Clenbuterol for 12 months effective June 1, 2015. This suspension is requested under Rule 1844.1 for Quarter Horses racing at CARF tracks.

If you have any questions feel free to contact either of us.

California Authority of Racing of Fairs

Pacific Coast Quarter Horse Racing Assoc.

  
\_\_\_\_\_  
Christopher Kolby  
Executive Director

  
\_\_\_\_\_  
Dominic Alessio  
President

cc: Rick Baedeker

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARY PRACTICES  
RULE 1844.1. SUSPENSION OF AUTHORIZED MEDICATION

Regular Board Meeting  
May 21, 2015

1844.1 Suspension of Authorized Medication

(a) After a public meeting that has been noticed in accordance with Government Code section 11125(a), the Board may for any cause temporarily suspend the authorized administration to a horse entered to race of any drug, substance or medication that is otherwise permitted under Rule 1844, Authorized Medication.

(b) The temporary suspension of the authorized administration of a drug, substance or medication may be for a race, breed, or race meeting, provided all horses in the same race compete under the same conditions.

(c) The Board shall notify in writing the racing association and the trainer's organization of any temporary suspension of authorization to administer a drug, substance or medication to a horse entered to race. The written notification shall at minimum:

(1) State the authorized medication whose use is temporarily suspended,

(2) The period of time for which the use of the authorized medication is temporarily suspended, and

(3) Whether the temporary suspension is for a specific breed or a race meeting.

(d) A suspension of authorization to administer a drug, substance or medication to a horse entered to race shall not exceed 12 months.

Authority: Sections 19440, 19562, 19580 and 19581, Business and Professions Code.

Reference: Sections 19440, 19580 and 19581, Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
REPORT ON THE STATUS OF THE  
NON-UNION CALIFORNIA RACE TRACK PENSION PLAN

Regular Board meeting  
May 21, 2015

ISSUE

At the April 2015 Regular Board Meeting, Richard Castro, a member of the Pari-Mutuel Employees Guild and a former president of Local 280, raised the issue of the non-union pension plan for employees at California racetracks and simulcast facilities. Mr. Castro asked whether the pension plan was adequately funded and how it is being maintained.

BACKGROUND

Business and Professions Code section 19420 states jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from racetrack management regarding the status of the non-union California race track pension plan.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED  
ADJUSTMENT OF THE 2015 SOUTHERN CALIFORNIA RACING CALENDAR, TO  
ALLOCATE SEPTEMBER 26TH AND SEPTEMBER 27TH FROM SANTA ANITA TO  
FAIRPLEX, AT LOS ALAMITOS

Regular Board Meeting  
May 21, 2015

ISSUE

Los Angeles County Fair Association (Fairplex) at Los Alamitos has asked the Board to reconsider and revise the race dates allocated to Fairplex for 2015. The Board approved a start date of Thursday, September 10, 2015 for Fairplex and a closing day of Friday, September 25, 2015. The Board allocated Los Angeles Turf Club (LATC) the weekend of September 26 and 27 in anticipation that the 2015 Breeders' Cup would be held at LATC. The transcript from the September 2013 meeting clearly shows that the Board "built in some flexibility in the Fairplex dates" ... "subject to when the Breeders' Cup happens." Given that the 2015 Breeders' Cup will not be run at LATC, Fairplex at Los Alamitos is seeking a restoration of its traditional race dates, i.e., opening Thursday, September 10, 2015 and concluding Sunday, September 27, 2015. The adjustment would also necessitate a change to the LATC Autumn calendar as well, with a revised start date of Wednesday, September 30 or Thursday, October 1, as requested at licensure. The closing date of October 25 would remain unchanged.

BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

#### RECOMMENDATION

This item is presented to the Board for discussion and action.

# ALLOCATED - 2015 SOUTHERN CALIFORNIA THOROUGHBRED RACE DATES

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	22	23	24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

<b>LATC @ Santa Anita (12/26/14 - 7/1/15)</b>	<b>LATC @ Santa Anita (9/26/15 - 10/25/15)</b>
<b>Los Alamitos TB @ Los Alamitos (7/2/15 - 7/12/15)</b>	<b>DMTC @ Del Mar (10/28/15 - 12/2/15) Fall</b>
<b>DMTC @ Del Mar (7/15/15 - 9/7/15) Summer</b>	<b>Los Alamitos TB @ Los Alamitos (12/3/15 - 12/20/15)</b>
<b>LATC @ Los Alamitos (9/10/15 - 9/25/15)</b>	

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

MEETING  
STATE OF CALIFORNIA  
HORSE RACING BOARD

In the Matter of )  
 )  
Regular Meeting )

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SHERATON FAIRPLEX CONFERENCE CENTER  
SONOMA BALLROOM  
601 WEST MCKINLEY AVENUE  
POMONA, CALIFORNIA

THURSDAY, SEPTEMBER 19, 2013

9:30 A.M.

Reported by:  
Martha L. Nelson

1 2014 will be Santa Anita Park, 12/26/13 through 7/1 -- or  
2 7/5/14; is that right?

3 VICE CHAIR WINNER: No.

4 CHAIR ISRAEL: To 7/2? All right. Well, so,  
5 okay. Okay. All right, 7/2; you're right. Okay.

6 Then -- then Del Mar will be as it exists.

7 VICE CHAIR WINNER: As it exists in our document?

8 CHAIR ISRAEL: In our -- in our document.

9 Fairplex will be 9/14 to 9/23. Santa Anita will be 9/25  
10 through 11/2. Okay.

11 Now, so now you have to add between Santa Anita  
12 and Del Mar at the beginning Los Alamitos, 7/20 -- 7/3  
13 through 7/13. Okay. Now, you need to adjust Del Mar's fall  
14 meet so that it ends on December 3rd; is that correct?

15 MS. WAGNER: Uh-huh.

16 CHAIR ISRAEL: Is that right, December 3rd, Josh?  
17 Joe? Somebody -- okay. Okay. So Del Mar will run 11/5  
18 through 12/3. And from 12/4 through 12/21 will be Los  
19 Alamitos in 2014. Okay.

20 Now, in 2015 Santa Anita's meet will end on July  
21 1, the first meet. Del Mar will run 7/15 through 9/7.  
22 Fairplex will run 9/10 through 9/25. And is 2015 the  
23 year -- or it 2014, 2015 --

24 MR. SEDER: Yes. Mike Seder.

25 CHAIR ISRAEL: 2015 is the year we're -- where

1 we've built in some flexibility on the Fairplex dates.

2 MR. SEDER: Subject to when Breeders' Cup happens.

3 CHAIR ISRAEL: Subject to when Breeders' Cup --  
4 okay. And Santa Anita will run 9/26 through 10/15. Los  
5 Alamitos then -- so between Del Mar and Santa Anita the  
6 first time Los Alamitos will run 7/2 through 7/12. Then Del  
7 Mar will end on 12/2/15. And Los Alamitos will run 12/3 to  
8 12/20/15..

9 MR. HAINES: Excuse me, Commissioner.

10 CHAIR ISRAEL: Yes. Did I get something wrong?

11 MR. HAINES: We're looking -- we heard you to say  
12 that Santa Anita would run 9/26 through 10/15.

13 CHAIR ISRAEL: That's what's written here. That's  
14 wrong. That's completely wrong. It's through -- it's  
15 through 10/27.

16 There's two weeks -- you've got two weeks where  
17 nobody is running, Jackie.

18 COMMISSIONER CHOPER: Yeah.

19 CHAIR ISRAEL: That's -- that's completely wrong.  
20 And Del Mar runs 10/28 --

21 MS. WAGNER: That must be a typo.

22 CHAIR ISRAEL: -- through 12/2

23 MR. HAINES: I believe it's 10/25.

24 MS. WAGNER: Hold on.

25 CHAIR ISRAEL: Okay. Yes, it must be 25.

1 VICE CHAIR WINNER: It's a typo.

2 CHAIR ISRAEL: It's 25.

3 MS. WAGNER: Uh-huh. Yeah.

4 CHAIR ISRAEL: Okay. It's wrong in the packet.

5 VICE CHAIR WINNER: Is this to or through?

6 CHAIR ISRAEL: They say too.

7 VICE CHAIR WINNER: Yeah. It should say through,  
8 just as you said it, because it includes those dates.

9 (Colloquy Between Commissioners and Ms. Wagner.)

10 CHAIR ISRAEL: Well, again, two tracks aren't  
11 running at the same time. We're just going to say that.

12 MS. WAGNER: Yes.

13 CHAIR ISRAEL: Okay. So, now I'll review what we  
14 just did in the form of -- since I don't think we need any  
15 more discussion because we already discussed all the  
16 permutations here --

17 MR. SEDER: Mr. Chair --

18 CHAIR ISRAEL: Yes, Mike?

19 MR. SEDER: May I interrupt for a second?

20 CHAIR ISRAEL: Sure.

21 MR. SEDER: This is Mike Seder, Barretts Sales and  
22 Racing. I want to just clarify on the 2015 dates --

23 CHAIR ISRAEL: Right..

24 MR. SEDER: Fairplex's traditional -- I said  
25 Fairplex. Barretts Sales and Racing's traditional dates --

1 CHAIR ISRAEL: It should go back to Fairplex by  
2 then..

3 MR. SEDER: Thank you.

4 CHAIR ISRAEL: The handle would improve.

5 MR. SEDER: It would take us through September the  
6 29th.

7 CHAIR ISRAEL: Right.

8 MR. SEDER: And in the -- in the event that  
9 Breeders' Cup happens at the end of October we're looking at  
10 these dates through the 25th. But there would be an  
11 economic consideration to make us whole for giving up those  
12 days.

13 CHAIR ISRAEL: Yes.

14 MR. SEDER: And in the event the Breeders' Cup is  
15 run the first week of November, then we would --

16 CHAIR ISRAEL: You would revert to your old  
17 tradition.

18 MR. SEDER: -- revert back to the 29th of  
19 September.

20 VICE CHAIR WINNER: That's a part -- that's part  
21 of the understanding.

22 CHAIR ISRAEL: That was part of -- that's a part  
23 of the understanding we reached back in May initially. And  
24 it obviously is something to be dealt with, you know, two  
25 years from now when licensing -- or a little bit less than