



9. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
- A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman  
Richard Rosenberg, Vice Chairman  
Madeline Auerbach, Member  
Steve Beneto, Member  
Jesse H. Choper, Member  
George Krikorian, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director

CALIFORNIA HORSE RACING BOARD  
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**PENDING LITIGATION**  
**MARCH 2016**

**COURT LITIGATION**

- A. Jose DeLaTorre vs. CHRB**  
District Court of Appeal
- B. San Luis Rey Racing, Inc. (SLRR) vs. CHRB, et al**  
District Court of Appeal
- C. Jeff Mullins vs. CHRB, et al**  
Superior Court of California, County of San Diego
- D. Gustavo DeLaTorre vs. CHRB**  
Superior Court of California, County of Los Angeles
- E. Julio Canani vs. CHRB**  
Superior Court of California, County of Los Angeles



**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track**, 285 West Huntington Drive, Arcadia, California, on **February 25, 2016**.

Present:       Chuck Winner, Chairman  
                  Richard Rosenberg, Vice-Chairman  
                  Madeline Auerbach, Member  
                  Steve Beneto, Member  
                  George Krikorian, Member  
                  Jesse H. Choper, Member  
                  Alex Solis, Member  
                  Rick Baedeker, Executive Director  
                  Jacqueline Wagner, Assistant Executive Director  
                  Robert Miller, General Counsel

#### **APPROVAL OF THE MINUTES OF DECEMBER 17, 2015**

Chairman Winner asked for approval of the minutes of the Regular Meeting of December 17, 2015. Commissioner Krikorian **motioned** to approve the minutes. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

#### **EXECUTIVE DIRECTOR'S REPORT.**

Executive Director Rick Baedeker reported that action taken by the Board of Stewards at Cal Expo regarding trainer Marissa Tyler for six positive tests for cobalt within several racing days had caused confusion with the public. He said an immediate suspension was not normal procedure, however considering that due process could take months, the Board felt allowing Ms. Tyler to continue to train during the investigation posed a risk to both the public and the horses. Due to the extent of risk, the Stewards issued an interim suspension to Ms. Tyler. He stated the interim suspension was not a penalty. The investigation was still ongoing and the results had to be filed and then heard before the Board prior to determining a penalty. Executive Director

**Proceedings of the Regular Meeting of February 25, 2016**

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Baedeker stated a letter was received from California Governor Jerry Brown which honored and congratulated George Slender, a steward who retired at the end of 2015, for his work with the California racing industry and his retirement. Executive Director Baedeker said the letter was an acknowledgment of the outstanding work of all the stewards in California. Executive Director Baedeker stated on-track year-to-date handle decreased by 1.9 percent in January 2016 compared to January 2015, despite an additional day of racing. On-track daytime handle was down 2.2 percent, but nighttime handle increased 2.0 percent. For the month of January 2016, overall daytime handle increased 2.09 percent and nighttime handle increased 1.05 percent, with an overall increase of nearly 2.0 percent over the same time in 2015 due to an increase in out-of-state wagering.

**PUBLIC COMMENT**

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There were no public comments.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB IN THE AMOUNT OF \$24,261, TO FOUR BENEFICIARIES.**

Tom Robbins, Del Mar Thoroughbred Club (DMTC), said DMTC requested approval to distribute race day charity proceeds in the amount of \$24,261 from its October 29, 2015 to November 29, 2015 race meeting to four horse racing related organizations. Commissioner Auerbach **motioned** to approve the distribution of race day charity proceeds of the DMTC in the amount of \$24,261 to four beneficiaries. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Choper, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ANGELES TURF CLUB II IN THE AMOUNT OF \$26,800, TO TEN BENEFICIARIES.**

Frank De Marco, Los Angeles Turf Club II (LATC), said LATC requested approval to distribute race day charity proceeds in the amount of \$26,800 from its September 26, 2015 to October 25, 2015 race meeting to ten horse racing related organizations. Commissioner Beneto **motioned** to approve the distribution of race day charity proceeds of the LATC in the amount of \$26,800 to ten beneficiaries. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT FROM THE CALIFORNIA MARKETING COMMITTEE (CMC) REGARDING ITS 2016 MARKETING AND PROMOTION PLANS PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19605.73 (B).**

Craig Dado, California Marketing Committee (CMC), stated the 2016 annual budget for the CMC was \$1.7 million. CMC had an annual budget in 2004 of nearly \$6 million, but a shift in handle from satellites to advance deposit wagering companies reduced the CMC's income. Mr. Dado said the CMC, with its limited budget, focused on product enhancement and handle generation from significant players. He said the product enhancement included funding for the Golden State Series; two-year-old stakes; "Ship and Win" at the Del Mar Racetrack; and horse recruitment. He stated the CMC focused on handle generation by funding the Significant Players Program and the website: "www.calracing.com." The website attracted 1.8 million visitors in 2015. Commissioner Auerbach asked what kind of marketing was included to keep satellites vibrant. Mr. Dado said handicapping contests were easy for satellites to participate in and

popular among the players. In addition, CMC participated in two giveaways at live racetracks. Commissioner Auerbach asked if handicapping contests were specific to satellites and minisatellites. Mr. Dado stated on-track sites in California made the handicapping contests available for players as well. Shannon McDonald, CMC, said the CMC promoted six handicapping contests in 2015, as well as a final handicapping contest. Commissioner Auerbach asked if the satellites came up with their own additional prizes for handicapping contests. Ms. McDonald stated the sites had their own promotions that ran alongside the handicapping contests. Vice-Chairman Rosenberg asked how the funds were handled. Ms. McDonald stated funds were managed through CMC in cooperation with the California Authority of Racing Fairs, who represented the majority of satellites in California. Commissioner Choper asked how the CMC determined the rebate given to the significant players. Did the players have to be at the track? Mr. Dado said a player had to play in California at a satellite facility or racetrack. He said CMC provided the first 1 percent of the wager as a basis for the rebate and tracked the players. Santa Anita and Del Mar, for instance, gave up to a maximum rebate of 3 percent, depending on the amount players wagered in a calendar month. He said the participants were aware they could benefit more if they bet off-shore, but chose the Significant Players Program because it was a gesture of good faith to stay in California. He stated big players were given other perks, like meals and accommodations, but the Significant Players Programs was more beneficial to California because it tracked the players.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS THOROUGHBRED RACING ASSOCIATION (T) AT LOS ALAMITOS RACE COURSE, COMMENCING APRIL 13, 2016 THROUGH MAY 3, 2016, INCLUSIVE.**

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This item was moved to the March 2016 Regular Board Meeting Agenda.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE LOS ANGELES TURF CLUB AT SANTA ANITA PARK FOR APPROVAL TO CONDUCT (DURING ITS CURRENT THOROUGHBRED RACE MEETING) AN ARABIAN RACE ON APRIL 2, 2016, CONDITIONED UPON THE PASSAGE OF AB 558 (LOW), WHICH WOULD ALLOW NON-THOROUGHBRED RACES TO BE CONDUCTED AT THOROUGHBRED RACE MEETINGS.**

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Scott Daruty, Los Angeles Turf Club (LATC), stated LATC was working on a marketing and promotional relationship with a group of Arabian racing supporters in Qatar that requested LATC run Arabian races as a way to promote the breed and show Arabian racing to Thoroughbred racing fans in the United States. The group was set to put in \$175,000; \$100,000 for the purse of the proposed race on April 2, 2016 and \$75,000 as a donation to the Permanently Disabled Jockeys Fund. He said LATC requested approval of the race. Assembly Bill (AB) 558 was pending in Sacramento and would authorize up to six Arabian races per year, subject to approval from the Thoroughbred Owners of California (TOC) and the Board. AB 558 also had a condition stating an association could not run one of these races while a fair was running in Northern California, unless it had consent from that fair. Chairman Winner asked if LATC would get enough horses to fill a card for a single race. Mr. Daruty stated the idea was to schedule one race, but have it on a day that would be attractive, such as the Handicap Day or Santa Anita Derby Day and draw attention from people at the track and simulcast wagers. He said an Arabian race ran during the Breeders' Cup event in 2013. Commissioner Choper stated LATC had to provide the same information for the proposed Arabian race as California fairs

made available for their Arabian races; otherwise large wagers would not be made on the proposed Arabian race. Chairman Winner asked Mr. Daruty if he thought AB 558 would pass. Mr. Daruty said he was not aware of any opposition and AB 558 was slated for an effective date at the end of March 2016; just in time for the proposed race to be run on April 2, 2016. Commissioner Auerbach stated if LATC could run the Arabian race in a way that guaranteed no lost opportunities for the Thoroughbred horsemen, she would be in favor of approving the proposed race. Mr. Daruty said the funds for the race were from sources outside the Thoroughbred purse account and any purses generated from wagering on the Arabian race were considered Thoroughbred purses that would go into its purse account. Commissioner Krikorian said if the day of the race was supposed to have nine races, then the Arabian race should be the tenth race. Commissioner Auerbach said that was the commitment to the Thoroughbred horsemen that she sought. Mr. Daruty said if a Thoroughbred race was moved to earlier in the week, the horsemen would have the same number of opportunities. The intention was not to run fewer Thoroughbred races. Currently, the card for April 2, 2016 was unknown, regardless of the Arabian race. Darrell Haire, Jockey's Guild, said about half of the jockeys he had spoken to regarding the proposed Arabian race said they would be willing to ride in the race. The jockeys had concerns, such as Commissioner Auerbach's concern of whether or not there would be the same number of Thoroughbred races. Cliff Goodrich, California Thoroughbred Horsemen's Foundation, said the horsemen were in favor of adding the proposed race. He said 75 percent of the horsemen's income was dependent upon live races and to a lesser extent from Advance Deposit Wagering. He said AB 558 clearly indicated any wager on the Arabian races would be counted as if it were on a Thoroughbred race. He said the bill proposed to allow a maximum of six Arabian races per calendar year, which would have an insignificant financial effect on the

Thoroughbred horsemen. However, a bill such as AB 558 could set precedence, which was why the horsemen wanted to ensure they were not forgotten. Nick Coukos, TOC, said it was clear from the TOC's perspective that the proposed Arabian race would not replace a Thoroughbred race. TOC had a contractual agreement with LATC that did not allow the replacement. He said if April 2, 2016 was expected to have nine Thoroughbred races, then the proposed Arabian race would be a tenth race; otherwise the TOC objected. Commissioner Krikorian asked if the TOC would object if the race was substituted on April 2, 2016 with the Arabian race, but added later in the same week. Mr. Coukos stated TOC would object because the purse contribution varied greatly between weekdays and weekends. He said TOC preferred the proposed race to be the very first or final race on April 2, to make sure the Thoroughbred races did not run into sunseting issues. Chairman Winner asked if the Board could make a motion with conditions. Robert Miller, CHRB Board Counsel, said the Board could make a motion contingent upon the passing of legislation. Commissioner Auerbach said the motion also had to include the condition that no thoroughbred races would be lost during the day of the Arabian race. Commissioner Krikorian asked if the contingencies could include that the Arabian race had to be either the first or last race of the day, per Mr. Coukos' recommendation. Mr. Miller stated he would advise the Board against adding that final contingency as it would be micromanagement of the race meeting. Mr. Coukos said he did not think LATC would consider running the proposed race between Thoroughbred races because it would not flow for any Pick 'n Pool wagers. He said, as Mr. Miller mentioned, it was between TOC and LATC, to determine the race order. Chairman Winner asked if the contingencies placed on the motion would affect AB 558 as it went through legislation. Mr. Miller said adding the contingencies would not affect AB 558. Commissioner Auerbach **motioned** to approve Los Angeles Turf Club to conduct an Arabian race on April 2,

2016, conditioned upon the passage of AB 558, and that no Thoroughbred races would be lost on April 2, 2016. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR APPROVAL OF THE CONTINUATION OF THE 0.50% DISTRIBUTION TO THE SOUTHERN CALIFORNIA STABLING AND VANNING FUND FROM ADVANCE DEPOSIT WAGERING (ADW) HOSTED BY THOROUGHBRED RACING ASSOCIATIONS AND RACING FAIRS CONDUCTING RACING IN THE CENTRAL AND SOUTHERN ZONES FOR THE PERIOD COMMENCING DECEMBER 16, 2015 THROUGH DECEMBER 25, 2016 AS PERMITTED UNDER BUSINESS AND PROFESSIONS CODE SECTION 19604(F) (5) (E).**

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Commissioner Krikorian asked if the request for the continuation of the .50 percent distribution to the Southern California Stabling and Vanning Fund from Advance Deposit Wagering hosted by Thoroughbred racing associations and racing fairs conducting racing in the central and southern zones was for the calendar year. Chairman Winner stated that the request was for one year of racing; a little more than one calendar year-from December 16, 2015 to December 25, 2016. Commissioner Beneto **motioned** to approve the continuation of the .50 percent distribution to the Southern California Stabling and Vanning fund. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1699, RIDING RULES, AS IT PERTAINS TO THE CRITERIA FOR DISQUALIFICATION IN A HORSE RACE.**

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Executive Director Rick Baedeker stated the proposed amendment to Rule 1699, Riding Rules, was an 18-month working effort and was before the Board after a 45-day comment period. The proposed amendment to Rule 1699 would make the rule more specific and the steward's decisions more consistent throughout the state of California. He said the Board received a letter during the comment period from the stewards at Golden Gate Fields. He stated that staff thought the proposed change discussed in the letter had merit and recommended the Board consider the change suggested by the stewards. The proposed change would eliminate the text from subsection (b): "according to the distribution of the purse or the order of the finish in a trial heat." He stated the stewards said the text could place an unnecessary restriction in certain circumstances. The stewards did not want to get caught up surmising whether or not a horse that fell, for example could have finished in one of the purse positions. Executive Director Baedeker said Chief Steward Darrell McHargue suggested changing the text to the amendment to Rule 1699 in subsection (f)(1): "more than infraction of this rule by the jockey within any contiguous 90-day calendar period" by reducing the timeframe to a 60-calendar day period. Other Stewards agreed with the reduction in time as well. Chairman Winner asked what would happen to the amendment to Rule 1699 if the changes were made as suggested. Robert Miller, CHRB Board Counsel, said the amendment to Rule 1699 would have to go out for a new 45-day comment period. Executive Director Baedeker stated the amendment to Rule 1699 could only have an additional 15-day comment period. Jacqueline Wagner, Assistant Executive Director, said staff had to speak with the Office of Administrative Law to see if the changes to the text were considered non-substantive changes. If so, the proposed amendment would have a 15-day

comment period. Ms. Wagner said if the changes were deemed substantive, the proposal would need a 45-day comment period. Chairman Winner asked Commissioner Solis and Darrell Haire from the Jockey's Guild if the removal of the word "willfully" from subsection (d) was something they approved because if a jockey accidentally happened to strike another horse, he would be disqualified if he finished ahead of the horse. Commissioner Solis said he was comfortable with removing the word willfully. He said if a jockey hit another horse, even if it was accidentally, the jockey should be disqualified. Mr. Haire said the change causing an accidental hit to disqualify a jockey made the proposed amendment to Rule 1699 similar to other jurisdictions' regulations. Commissioner Krikorian asked if subsection (d) superseded subsection (e), or vice versa, in the proposed amendment to Rule 1699. Executive Director Baedeker stated subsection (e) was a way to link one action to another. For instance, if a horse bolted, causing the inadvertent strike to another horse, the stewards could determine the strike was out of the jockey's control, and decide a penalty was not necessary. Commissioner Auerbach stated she had a concern with adding "floating" as part of the definition of interference in proposed subsection (a). Executive Director Baedeker said floating referred to when a horse floated and cost another horse the opportunity for a better placing. Commissioner Solis stated floating was a way of intimidating. He said race riding was getting a horse on the inside and keeping him there. If a horse was pushed to the outside, the horse could get cuts and that was careless. Rather than running a horse straight, floating changed the stride because it added sideways movement and horses were more likely to get hurt. Vice-Chairman Rosenberg stated under the proposed amendment, floating would not automatically cause a penalty; the determining factor was whether or not floating caused "any other horse to lose stride, ground, momentum or position." Commissioner Krikorian **motioned** to amend the proposed text of Rule

1699, Riding Rules, subsection (f) to replace “90” with “60.” Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion Carried. Commissioner Choper **motioned** to amend the language of Rule 1699, Riding Rules, to remove “according to the distribution of the purse or the order of finish in a trial heat” from the proposed subsection (b). Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion Carried. Commissioner Choper **motioned** to approve the amendment to Rule 1699, Riding Rules, as amended. Commissioner Beneto **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1866.1, PRESENCE OF CLENBUTEROL IN QUARTER HORSES, AND PROPOSED AMENDMENT TO CHRB RULE 1844, AUTHORIZED MEDICATION, TO PROHIBIT THE PRESENCE OF CLENBUTEROL IN A QUARTER HORSE TEST SAMPLE.**

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Dr. Rick Arthur, CHRB Equine Medical Director, stated two letters were received during the comment period for the proposed addition of CHRB Rule 1866.1, Presence of Clenbuterol in Quarter Horses, and the proposed amendment to CHRB Rule 1844, Authorized Medication. He said both letters came from legal counsel representing trainers, and he did not believe their comments were impartial. Chairman Winner stated neither one claimed disinterest. Vice-Chairman Rosenberg said the letter from Darrell Vienna stated “the temporary suspension of the authorized administration of a medication may be for a breed provided that all horses in the same race compete under the same conditions.” He asked if this was in reference to the mixed racing

between Quarter Horses and Thoroughbreds held at Los Alamitos Race Track. Dr. Arthur stated the Los Alamitos Race Course did not hold a mixed race in 2015. Also, it was an administrative issue, but all horses that ran in each individual race followed the same regulations. He stated the horsemen could request the suspension of the authorization of clenbuterol for any mixed-breed races under CHRB Rule 1844.1, Suspension of Authorized Medication. He said Los Alamitos Race Course and the Pacific Coast Quarter Horse Racing Association (PCQHRA) were happy with the proposed regulation which had been used as an emergency regulation, and was found to be effective. Dan Schiffer, PCQHRA, stated any mixed races were run according to the Quarter Horse rules. He said PCQHRA supported the proposed amendment to Rule 1844 and the addition of Rule 1866.1. Commissioner Auerbach **motioned** to approve the addition of Rule 1866.1, Presence of Clenbuterol in Quarter Horses and the proposed amendment to Rule 1844, Authorized Medication. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

**DISCUSSION BY THE BOARD REGARDING THE TIMELY REPORTING OF TICKET DETAILS ASSOCIATED WITH LARGE WINNING TICKETS.**

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Executive Director Rick Baedeker stated the discussion by the Board regarding the timely reporting of ticket details associated with large winning tickets was an ongoing problem that came into focus because of a single Pick 6 ticket win on Santa Anita Race Course races. The lack of information regarding the winning ticket in a timely fashion created uncertainty amongst players. Chairman Winner said the concern expressed by players was legitimate. Executive Director Baedeker said certain entities did not want to disclose detailed information on a timely

basis, which was cause for concern. He said the recommendation to the Board was to place a provision in the application to conduct a horse race meeting that required the racing association to provide timely information. Then it would be up to the association to work with the totalizator companies and simulcast partners to receive the information. Commissioner Choper asked if the issue was receiving data from independent simulcast companies. Would the simulcast companies be paying for this, and was it an undue burden for them? Executive Director Baedeker said it was really a totalizator issue. The simulcast locations were dealing with totalizator companies outside of California that eventually turned the data over to the California approved totalizator company, AmTote International, but with delays. Curtis Linnell, Thoroughbred Racing Protective Bureau (TRPB), stated the TRPB had a multi-year project called Transaction Capture. He said the project development was nearly completed. Santa Anita Race Course, for example, received 90 percent of all ticket detail from all simulcast locations reporting within seven minutes after the race. The information was added to a database; not to AmTote, International. The goal of Transaction Capture was not only to capture ticket details, but to capture the time of every transaction so the host track and its regulators were fully informed regarding what happened in and out of its jurisdiction immediately after the race. There was a full record that could be queried on a pool-by-pool basis. Cancelled tickets were not available on a timely basis, which caused big changes in odds. Recently the project began receiving cancelled ticket information as well. Every totalizator hub that took wagers on a race at Santa Anita Race Course submitted a transaction file, which was sent to the TRPB database. TRPB could query the added information within five to six minutes after a race, including non-winning tickets. Santa Anita had 49 totalizator locations, 157 retailers and 505 physical locations wagering on its races. By the end of February 2016, the TRPB expected upwards of 98

percent of all ticket details, and 100 percent by the end of March 2016. TRPB did not yet have 100 percent because places such as Arima Race Club in Trinidad and Tobago needed an upgrade in order to provide timely ticket details. He stated every totalizator company in the United States, except one, had upgraded its system to comply with TRPB's project needs. He said the cost was not to the simulcast location, but to the host track. Commissioner Choper asked how quickly TRPB expected to have all the wagering information ready to be queried after a race in the next 18 months. Mr. Linnell said TRPB was working on improving the architecture, and getting all the locations upgraded. He said it took a matter of time to take millions of dollars of ticket detail and add it to the database, and have the ability to query all the information. The goal was to have it ready within four minutes of pool closure by the end of 2016 for single-race pools; the pick'n pools were less complicated and ticket detail was available prior to the end of the last race involved. Commissioner Choper asked who had access to the information at that time. Mr. Linnell said the information was available to the track host and the regulator, such as the CHRB auditor for California tracks, and TRPB. He stated the TRPB had a nominal fee based on race day and handle to offset the cost of creating its database. Mike Marten, CHRB staff, said the fee was an amount that should be acceptable to the racing associations. He said the question to Mr. Linnell was: did he anticipate 100 percent participation without the Board changing the rules or the application process? Mr. Linnell said the choice was up to the Board, but TRPB's concern was that not all the racing associations were members that contracted with TRPB. Executive Director Baedeker said the percentages given appeared contradictory to the situation with the Pick 6 at Santa Anita Race Course where it took a day and a half to get the results. Mr. Linnell stated the delay was caused by the host association not having the ticket detail. The host had enough information to price the wager, and knew what totalizator the winning wager came from,

but not what the ticket composition was. When asked for the ticket composition information, the guest totalizator had to retrieve information from the pari-mutuel retailer it was contracted with and request to release the information. Executive Director Baedeker stated staff sought direction from the Board. The CHRB, host and guest host, were disconnected because the guest host lived outside the parameters delegated to CHRB. Vice-Chairman Rosenberg asked if CHRB licensed the guest host. Executive Director Baedeker said CHRB did not have a direct connection with guest hosts. Vice-Chairman Rosenberg asked if staff suggested the Board demand the originator of the signal, or host, be held responsible by adding it into the application to conduct a horse race meeting. Executive Director Baedeker said staff suggested including a requirement that ticket details had to be provided on a timely basis, which would give the Board the tool to take action against the host track in order to fix the issue with untimely ticket detail. Vice-Chairman Rosenberg said it appeared the issue was beyond the host track's control. Commissioner Choper said the question seemed to be where to place responsibility to ensure timely ticket detail. Commissioner Krikorian asked what was needed by TRPB to get the ticket information from six minutes to four minutes. Mr. Linnell said to reduce the time; TRPB was editing the software architecture internally to get the time down to four minutes before it was available for query. Commissioner Krikorian asked what cooperation was needed from outside locations to reach 100 percent. Mr. Linnell said locations unable to participate were due to totalizator updates that were needed, but the locations were in the process of receiving the updates. Chairman Winner asked how an association not having a contract with TRPB, such as Los Alamitos Race Course, affected the ticket detail participation. Mr. Linnell said Los Alamitos Race Course participated in terms of sending information for wagers placed on Santa Anita Race Course races; it did not contract with TRPB to provide the same service for its own races. Mr. Linnell stated in 2015

TRPB made progress in cutting the amount of time it took to update the odds by working with the totalizator companies and racetracks. He said they were decreasing the time between cycles of gathering wagering information. Mr. Linnell said AmTote International developed a plan to gather data regarding which locations were sending ticket information in more than ten seconds after the wagers closed. He said TRPB would gather that information, and was hoping to present it to Santa Anita Race Course and the Board in March 2016. Commissioner Choper asked what would happen if wagering was halted prior to the gate opening for however many seconds it took to update the odds with the final cycle of data. Mr. Marten said closing wagering was proposed in the past due to negative public perception. However, the current progress made in displaying odds more frequently has caused fewer last-minute changes in odds. The difference in odds display times on TVG Network was also significantly reduced, which has improved public perception. Commissioner Choper said players had learned to accept the odds changing after the race began. Mr. Linnell stated that in the past, there was 55 seconds worth of uncounted money that equaled about 40 percent of the win pool on a race, causing the odds to jump after the start. He said by increasing the number of increments and getting the time down to 10 seconds, there would be smaller amounts of data to calculate at the end. Commissioner Choper suggested arbitrarily cutting of placing wagers 15 seconds before the race began. Commissioner Auerbach stated that with horse racing, there were too many unknown factors that caused start-delays to determine what would actually be 15 seconds before a start. She said if wagering was supposed to close 15 seconds before a race began, and there was a delay, then handle would be arbitrarily cut off. Commissioner Krikorian said a horse could come out of the gate. Executive Director Baedeker said a horse could be scratched in that 15 second period. Chairman Winner stated these examples would have a negative impact on handle. He said the work done by Mr. Linnell

and the TRPB to manage the situation was appreciated and appeared to be the best solution to the problem. Mr. Marten said Mr. Linnell would return with a report in the near future.

**DISCUSSION BY THE BOARD REGARDING THE POSTING OF FINAL ODDS WITHIN A REASONABLE TIMEFRAME AFTER THE START OF A RACE.**

This item was combined and discussed with agenda item number 12.

**REPORT FROM THE LEGISLATIVE, LEGAL AND REGULATIONS COMMITTEE.**

Commissioner Choper said the Legislative, Legal and Regulations (LLR) Committee held a meeting on February 24, 2016. He said the first item discussed was the pilot microchip program. As soon as a horse arrived at the grounds of a track, it was subject to identification. The pilot program's microchipping process was already going smoothly and on-target to meet its goal of full implementation by 2018, with the expectation that the entire United States would be participating in microchipping Thoroughbred race horses. Commissioner Choper said the second issue discussed was match racing in California. He said the LLR Committee saw photographs of miniature racetracks on various farms in California. Match racing was illegal if any wagering was involved. Match racing was difficult to stop because the people involved would cover up the wagering. Local enforcement did not view match racing as a big enough problem to get involved in on a regular basis because it was only misdemeanor. He said the horses used in match racing were not tested for drugs at the match races. Chairman Winner stated the racing industry could focus on drug testing horses at recognized horse racing tracks in California to prevent horses used in match racing from running in legitimate races. He said the Board could hand out the strictest penalties for these violations. Commissioner Choper said the industry was

already doing as much as possible to identify unlawful medications in race horses. He stated the participants in illegal match races were always a step ahead of enforcement. Chairman Winner said it would be helpful if the trainer's organizations were involved in attempting to dissuade match race participation. Commissioner Choper said match races were suspected to be primarily between Quarter Horses. Vice-Chairman Rosenberg stated there was a recent increase in the number of Thoroughbreds deemed to be involved in match races. He said there were many people involved in organizations that watched the horse racing industry who were concerned about animal welfare. He said these organizations should also work to encourage local law enforcement to shut down the unregulated match racing. Commissioner Choper said the final item discussed at the LLR Committee meeting was a summary of all the pending legislation involving horse racing. He said the LLR Committee should look at pending bills each time it met because the Board and its constituents could then identify its position in a timely manner during the legislative process. Chairman Winner stated various stakeholders within the racing industry were involved in an ongoing basis with various bills, and the more the industry could be involved, the better. He said a lot of people in the industry were following bills such as Assembly Bill 2863, Gambling: Internet Poker, which was critical because horse racing had exclusivity to internet wagering in California. As other gambling became legal, it would impact the horse racing industry. He said the trainers associations should also keep themselves informed throughout the legislative process.

**MEETING ADJOURNED AT 11:37 A.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

**CALIFORNIA HORSE RACING BOARD**

**MARCH 24, 2016**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 2**

**CALIFORNIA HORSE RACING BOARD**

**MARCH 24, 2016**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 3**

## STAFF ANALYSIS

March 24, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE COURSE APRIL 13, 2016 THROUGH MAY 3, 2016

The Los Alamitos Racing Association (LARA) is applying to run its first spring thoroughbred race meeting at Los Alamitos Race Course. LARA was allocated three weeks of thoroughbred race days in April and May for the 2016 race meet calendar. The application is for a total of twelve race days.

- At its February 25, 2016 regular meeting, the Board deferred the LARA race meet application until March 24, 2016, as the LARA application was incomplete. The marketing plan, request for proposed takeout adjustment and horsemen approvals for the advance deposit wagering (ADW) agreements were incomplete; therefore the Board asked the applicant to return to the March 2016 Board meeting.
- CHRB staff contacted a LARA representative on February 26, 2016 and requested the submission of the outstanding application items by March 8, 2016. The horsemen approvals for the advance deposit wagering (ADW) agreements remain outstanding. LARA has indicated the horsemen's organization is in negotiations with the ADW companies.

LARA filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course:

- April 14, 2016, through May 1, 2016, or 12 days. LARA proposes to race a total of 102 races, or 8.5 races per day. The (estimated) average daily purse for this meet is \$293,162.

| April - 2016 |     |     |     |     |     |     |
|--------------|-----|-----|-----|-----|-----|-----|
| Sun          | Mon | Tue | Wed | Thu | Fri | Sat |
|              |     |     |     |     | 1   | 2   |
| 3            | 4   | 5   | 6   | 7   | 8   | 9   |
| 10           | 11  | 12  | 13  | 14  | 15  | 16  |
| 17           | 18  | 19  | 20  | 21  | 22  | 23  |
| 24           | 25  | 26  | 27  | 28  | 29  | 30  |
|              |     |     |     |     |     |     |

| May - 2016 |     |     |     |     |     |     |
|------------|-----|-----|-----|-----|-----|-----|
| Sun        | Mon | Tue | Wed | Thu | Fri | Sat |
|            | 2   | 3   | 4   | 5   | 6   | 7   |
| 8          | 9   | 10  | 11  | 12  | 13  | 14  |
| 15         | 16  | 17  | 18  | 19  | 20  | 21  |
| 22         | 23  | 24  | 25  | 26  | 27  | 28  |
| 29         | 30  | 31  |     |     |     |     |
|            |     |     |     |     |     |     |

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- Racing concurrently with Pacific Racing Association April 14, 2016 through May 1, 2016.
- First live post 2:00 p.m., Thursday through Sunday.

- Wagering program will use CHRB and ARCI rules.
  - Early wagering will start at 8:30 a.m. and end at 10:00 a.m.
  - \$2 Rolling Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
  - \$1 Trifecta on eligible races.
  - \$0.10 Superfecta on all qualifying races.
  - \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
  - \$0.50 Pick n Pool 4 on second race and last 4 races. Alternate runner provision.
  - \$0.50 Pick n Pool 5 will be offered starting on the first race. Minimum \$.50 wager, 14% takeout. One tier payoff. 100% carryover.
  - \$1 Pentafecta (Super High Five) in the last race of the day. 100% payout on all tickets selective five winners. No consolation 100% carryover if no ticket has five winners.
- The ADW providers are TVG, XpressBet, TwinSpires, Lien Games and Watch and Wager.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Profession Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604, *except* the horsemen's approval:

- ODS Technologies, L.P. d/b/a TVG Network.
- XpressBet LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba TwinSpires.
- Lien Games Racing LLC, dba Bet America.
- Watch and Wager.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of

the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Horsemen's approval for Advance Deposit Wagering Agreements.

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of the horsemen's approval for Advance Deposit Wagering Agreements.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Brad McKinzie 714 820-2800 fax 714 820 2813 bradmckinzie@aol.com  
Los Alamitos Racing Assn.  
4961 Katella Ave.  
Los Alamitos CA 90720

B. Breed of horse: TB  QH  H

C. Racetrack name: Los Alamitos Race Track

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. Deposit on file with CHRB.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.  
Bond on file with CHRB

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for the entire meeting: April 13 – May 3

B. Actual dates racing will be held: April 14,15,16,17,21,22,23,24,28,29,30, May 1

C. Total number of days or nights of racing: 12

|                               |                       |
|-------------------------------|-----------------------|
| <b>CHRB CERTIFICATION</b>     |                       |
| Application received: 1/22/16 | Hearing date: 3/24/16 |
| Deposit received: on file     | Approved date:        |
| Reviewed: LH                  | License number:       |

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) Thursday - Sunday

E. Number of days or nights of racing per week: 4 days

### 3. RACING PROGRAM

A. Total number of races: 102

B. Number of races for each day or night: 8 races on weekdays. 9 races on weekends

C. Total number of stakes races: 5

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. This is the first April thoroughbred meeting for Los Alamitos and so there are no comparisons to previous meets.

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). n/a

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. n/a

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

| Weekdays         | Weekend |
|------------------|---------|
| Race 1 - 2:00 pm | 2:00 pm |
| Race 2 - 2:30 pm | 2:30 pm |
| Race 3 - 3:00 pm | 3:00 pm |
| Race 4 - 3:30 pm | 3:30 pm |
| Race 5 - 4:00 pm | 4:00 pm |
| Race 6 - 4:30 pm | 4:00 pm |
| Race 7 - 5:00 pm | 5:00 pm |
| Race 8 - 5:30 pm | 5:30 pm |
| Race 9 -         | 6:00 pm |

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

## 2016 Los Alamitos Spring Thoroughbred Meet Stakes Schedule

| Date          | Race                    | Purse       | Conditions                        |
|---------------|-------------------------|-------------|-----------------------------------|
| Sat. April 16 | Bertrando Stakes        | \$100,000 g | Cal-breds/3 & up/1 mile           |
| Sat. April 16 | Los Angeles Stakes GII  | \$100,000 g | 3 & up / 5.5 furlongs             |
| Sat. April 23 | Great Lady M Stakes GII | \$200,000 g | Fillies & mares/3 & up/ 6.5 fur.  |
| Sun. April 24 | Surfside Stakes         | \$75,000 g  | Fillies/3 y o / 6 furlongs        |
| Sat. April 30 | Shared Belief Stakes    | \$150,000 g | 3 y o / 1 1/16 <sup>th</sup> mile |

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**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. See Los Alamitos Quarter Horse Racing Assn.

**C. CORPORATION**

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: C16500097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
 Dr. Edward Allred – President, Director 100%  
 Brad McKinzie – 0% Vice-President, General Manager  
 Rick English – 0% CFO  
 Tom Siebly – 0% director  
 Don Valpredo 0%, director  
 Frank Sherren 0%, director  
 Cathy Monji 0% Director  
 Jack Liebau 0% Director  
 Richard Shapiro 0% Director  
 Mike Harrington 0% director

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: none

6. Number of outstanding shares in the corporation: none

7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: c n/a

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
 Quarter Racing Inc.

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:

15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

## D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

## E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

## F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Current statement on file with CHRB
- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.

- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

**G. MANAGEMENT AND STAFF**

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:  
 Edward C Allred, President, CEO  
 Brad McKinzie, Vice-President, General Manager  
 F. Jack Liebau, Vice-President  
 Rick English, CFO  
 Orlando Guterrez, Director of Publicity, Marketing  
 Frank Sherren, Plant Superintendent  
 Rick Hughes, Track Superintendent  
 Dennis Moore, Track Consultant  
 Robert Digiovanni, Director of Mutuels  
 Frank Mirahmadi, Track Announcer  
 Alex Soltera, Director of Security  
 Robert Hurd, Director of Operations  
 Ken Walker, Director of Labor Relations
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Brad McKinzie 4961 Katella Ave. Los Alamitos, CA 90720 bradmckinzie@aol.com

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5, Daily Double Proposed percentage:  
 P5 - 14%, DD 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the

# LOS ALAMITOS RACING ASSOCIATION

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4961 Katella Ave. Los Alamitos, CA 90720 714-820-2743

February 10, 2016

Brad McKinzie  
Vice-President, General Manager  
Los Alamitos Racing Association  
Los Alamitos, CA

Mr. Rick Baedeker  
Executive Secretary  
California Horse Racing Board  
Sacramento, CA

Dear Mr. Baedeker,

Los Alamitos Racing Association is seeking approval from the California Horse Racing Board to offer a 14% takeout on Pick Five wagering and a 20% takeout on Daily Double wagering at our upcoming spring thoroughbred racing season.

We are making this request in order to offer our fans continuity in the wagering formats from other southern California thoroughbred meetings.

We have requested approval from the Thoroughbred Owners of California on this matter and we expect that approval prior to our license application review at your next CHRB meeting.

Thank you for your consideration on this matter.



Brad McKinzie  
Los Alamitos Racing Association



February 16, 2016

**OFFICERS**

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
SAMANTHA SIEGEL  
KATHY WALSH  
MICHAEL WELLMAN

RE: Los Alamitos 2016 Spring Thoroughbred Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet from April 14 – May 1, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

**EXECUTIVE STAFF**

NICK COUKOS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos  
President

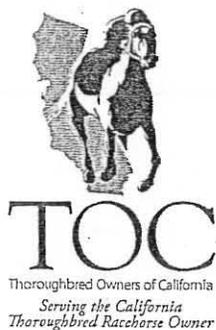
**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM



February 16, 2016

**OFFICERS**

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Los Alamitos 2016 Spring Thoroughbred Race Meet

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
SAMANTHA SIEGEL  
KATHY WALSH  
MICHAEL WELLMAN

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming race meet from April 14 – May 1, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

**EXECUTIVE STAFF**

NICK COUKOS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

Sincerely,

Nick Coukos  
President

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

## 6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. This April meeting is a new thoroughbred meet for Los Alamitos and so there are no comparisons.

| Year | Handle | Attendance |
|------|--------|------------|
|      |        |            |
|      |        |            |
|      |        |            |
|      |        |            |

## 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

### A. Purse distribution:

- All races other than stakes:  
Current meet estimate: \$2,460,000  
Prior meet actual:n/a

Average Daily Purse (7 A1 ÷ number of days):  
Current meet estimate: \$205,000  
Prior meet actual: n/a

- Overnight stakes:  
Current meet estimate: \$75,000  
Prior meet actual:n/a

Average Daily Purse (7 A2 ÷ number of days):  
Current meet estimate: \$6,250  
Prior meet actual:n/a

- Non-overnight stakes:  
Current meet estimate: \$550,000  
Prior meet actual:n/a

Average Daily Purse (7 A3 ÷ number of days):  
Current meet estimate: \$45,833  
Prior meet actual:n/a

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$3,085,000  
 Prior meet actual:n/a

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
 Current meet estimate: 16%  
 Prior meet actual:n/a

Average Daily Purse (7 B1 ÷ number of days):  
 Current meet estimate: \$8,333  
 Prior meet actual:n/a

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate:\$327,401  
 Prior meet actual:n/a

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:                      Prior Meet: n/a

TOC - \$30,156

CTT Administration - \$15,083

CTT Pension - \$30,156

Workers Comp - \$30,156

Total - \$105,551

Total

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):  
 Current meet estimate: \$3,517,952  
 Prior meet actual:n/a

Average Daily Purse (7 E ÷ number of days):  
 Current meet estimate: \$293,162  
 Prior meet actual:n/a

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):  
 Current meet estimate: \$2,491,816  
 Prior meet actual:n/a

Average Daily Purse (7 F ÷ number of days):  
 Current meet estimate: \$207,861  
 Prior meet actual:n/a

- G. Purse funds to be generated from interstate handle:  
 Current meet estimate: \$991,533  
 Prior meet actual:n/a
- Average Daily Purse (7 G ÷ number of days):  
 Current meet estimate: \$82,627  
 Prior meet actual:n/a
- H. Bank and account number for the Paymaster of Purses account.  
 Bank of the West 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # On File
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Bowen,McBeth Inc. 10722 Arrow Route, Suite 110  
 Rancho Cucamonga, CA 91730 909-944-6465 email – craigmiller@verizon.net

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 820
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,545
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
 Santa Anita – 1,900  
 San Luis Rey Downs – 495  
 Galway Downs – 150
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. SCOTWINC off-site stabling agreement through 12-31-2016 on file with CHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$16.25
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:  
 Santa Anita – round trip \$180 San Luis Rey Downs - \$360 round trip  
 Galway Downs - \$360 RT

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site during all training hours.
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private veterinarians on site during all training hours.

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

|               | TYPE OF WAGERS                                     | APPLICABLE RULES  |
|---------------|--|---|
| Example Race: | \$1 E; \$1 Double                                  | CHRB #1959; RCI #VE   |
| Race #1       | \$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 Superfecta    | CHRB #1959,19791957,1977,1976.9,1979.1,   |
| Race #2       | \$2DD \$1E \$1Tri \$1PK3 \$0.50PNP4 Superfecta     | CHRB 1957 #1959,1979,1977,1976.9,1979.1   |
| Race #3       | \$2DD\$1E \$1 Tri \$1PK3 \$0.50PNP6 Superfecta     | CHRB #1957 #1959,1979,1977,1976.9,1979.1  |
| Race #4       | \$2DD \$1E \$1 Tri \$1PK3 \$0.50PNP6Superfecta     | CHRB#1957 #1959, 1979,1977,1976.9,1979.1  |
| Race #5       | \$2DD \$1E \$1 Tri \$1PK3 \$.50PNP4Superfecta      | CHRB #1957#1959,1979,1977,1976.9,1979.1   |
| Race #6       | \$2DD \$1E \$1 Tri \$1PK3 Superfecta               | CHRB #1957 #1959,1979,1977,1979.1   |
| Race #7       | \$2DD \$1E \$1 Tri \$1PK3 \$2DD Superfecta         | CHRB#1957 #1959,1979,1977,1957,1979.1   |
| Race #8       | \$2DD \$1E \$1 Tri \$1PK3 \$2DD Superfecta         | CHRB #1957 #1959,1979,1977,1957,1979.1  |
| Race #9       | \$2DD \$1E \$1 Tri \$1PK3\$2DD Super H5 Superfecta | CHRB #1957 #1959,1979,1977,1957,1979.1:ARCI #004-105<br>Subsection X Pick (n) Position (x) Pools) |

- \$2 Rolling Daily Double. 20% takeout . Placement of last DD will depend on # of races.
- \*\$1 Trifecta on all eligible races . (CHRB 1979)
- \* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)
- \* Incoming simulcasts will follow host track format.
- \* \$2 PNP6 last six live races 70% carryover, 30% minor. Alternate runner provision (CHRB 1976.9)
- \* \$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)
- \* \$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover
- \$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race.
- 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. none
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: Unlimited. Final distribution May 1, 2016
- D. List any options requested with regard to exotic wagering:
- E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
 Each race day from 8:30 am to 10:00 am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: AmTote. Contract expires August 2020  
 Steve Keech 11200 Pepper Rd Hunt Valley MD 800-345-1566
- G. List below the takeout percentage for each type of wager identified in 10A:

**TAKEOUT PERCENTAGE**

(Example) PNP5-14%

W/P/S – 15.43%

DD – 20.00%

E – 22.68%

PNPP – 14%

Tri/SF/PK3/PK4/PNP6/SuperH5 – 23.68%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

XpressBet

TVG

Twin Spires

Watch and Wager

Lien Games – Bet America

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file with CHRB

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval on file with CHRB

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Southern California Off Track Wagering Inc. (SCOTWINC)
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
SCOTWINC agreement is on file with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
See attachment
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
See attachment
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: see attachment
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
See attachment
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

## NORTHERN CALIFORNIA

Shasta District Fair  
1890 Briggs St.  
Anderson, CA 96007

Stanislaus County Fair  
900 N. Broadway  
Turlock, CA 95380

Big Fresno Fair  
1121 Chance Ave.  
Fresno, CA 93702

Solano County Fair  
900 Fairgrounds Dr.  
Vallejo, CA 94589

Monterey County Fair  
2004 Fairgrounds Rd.  
Monterey, CA 93940

Bankers Casino (mini-satellite)  
111 Monterey St.  
Salinas, CA 93901

Fresno Club One  
1033 Van Ness Ave.  
Fresno, CA 93721

Alameda County Fair  
4501 Pleasanton Ave.  
Pleasanton, CA 94566

California State Fair & Exposition  
1600 Exposition Blvd.  
Sacramento, CA 95815

The Jockey Club at San Mateo  
2495 S. Delaware St.  
San Mateo, CA 94403

Santa Clara County Fair  
344 Tully Rd.  
San Jose, CA 95111

Sonoma County Fair  
1350 Bennett Valley Rd.  
Santa Rosa, CA 95402

San Joaquin County Fair  
1658 South Airport Way  
Stockton, CA 95208

## SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino  
1932 Wildcat Canyon Rd.  
Lakeside, CA 92040

Cabazon Fantasy Springs Casino  
84-245 Indio Springs Dr.  
Indio, CA 92201

Surfside Race Place at Del Mar  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

Hollywood Park  
3883 W. Century  
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair  
46-350 Arabia St.  
Indio, CA 92201

Sports Pavilion at The Farmer's Fair  
18700 Lake Perris Dr.  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
2551 West Ave. H  
Lancaster, CA 93536

Santa Anita Park  
285 W. Huntington Dr.  
Arcadia, CA 91007

Sports Center at National Orange Show  
930 S. Arrowhead Ave.  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
2201 W. White Ave.  
Pomona, CA 91768

Sycuan Gaming Center  
5469 Casino Way  
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)  
10 West Harbor Blvd.  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
14800 7th St.  
Victorville, CA 92392

Viejas Casino & Turf Club  
5000 Willows Rd.  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
6131 E. Telegraph Rd.  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
2369 S. El Camino Real  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
121 Brooks Street  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
1423 S. Bradley Rd.  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
23221 Lake Center Drive  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
21615 Soledad Canyon Road  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
1345 Thousand Oaks Blvd.  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
100 Harbor Drive  
San Diego, CA 92101

Striders Norco (mini-satellite)  
2895 Hamner Ave.  
Norco, CA 92860

Firehouse (mini-satellite)  
7701 White Ave.  
Bakersfield, CA 93313

## 2015 Los Alamitos (Thoroughbred) Import Tracks

| Track                              |
|------------------------------------|
| Arlington                          |
| Assiniboia                         |
| Australia Racing                   |
| Canterbury                         |
| Churchill Downs                    |
| Delaware Park                      |
| Delta Downs                        |
| Ellis Park                         |
| Emerald                            |
| Evangelina                         |
| Fort Erie                          |
| Gulfstream                         |
| Hastings Park                      |
| Indiana Downs                      |
| Keeneland                          |
| Laurel                             |
| Lone Star                          |
| Louisiana Downs                    |
| Mahoning Valley                    |
| Monmouth                           |
| Mountaineer Park                   |
| Northlands                         |
| NYRA - Aqueduct, Belmont, Saratoga |
| Penn National                      |
| Philadelphia Park (Park)           |
| Pimlico                            |
| Prairie Meadows                    |
| Presque Isle                       |
| River Downs                        |
| South America Racing               |
| Suffolk Downs                      |
| Sunray Park                        |
| Tampa Bay                          |
| Thistledown                        |
| Turf Paradise                      |
| Turfway Park                       |
| United Kingdom                     |
| Woodbine                           |

## 2016 Los Alamitos Commingled Locations

|                                 |                                   |                                 |                              |
|---------------------------------|-----------------------------------|---------------------------------|------------------------------|
| AmWest Entertainment            | Dover Downs                       | Mahoning Valley                 | Scarborough Downs            |
| AmWest Accounts                 | Dubuque (Iowa Greyhound)          | Maronias (South America)        | Scioto Downs                 |
| Greenbrier (WV)                 | Ellie Turf Club (1 to 17)         | Maryland Jockey Club            | Seabrook Greyhound           |
| Riders Up (SD)                  | Ellis Park                        | Maywood                         | Social Gaming                |
| Time Out Lounge (SD)            | Emerald Downs                     | Meadowlands                     | Sol Mutuel                   |
| Triple Crown (SD)               | Evangelina Downs                  | Meadows The                     | Sol Mutuel - Odds Broker     |
| Arapahoe-Mile High              | Fair Grounds                      | Meadows The (ADW) - PA regional | Sol Mutuel - Drobanda Casino |
| Arling Race Club                | Fair Grounds ADW                  | Miami Valley Gaming & Racing    | Southland Greyhound          |
| Arlington I                     | Fair Meadows                      | Mobile Greyhound                | Sports Creek Raceway         |
| Baltimore                       | Favorites at Gloucester           | Monmouth Park                   | State Fair (Lincoln, NE)     |
| Baltimore ADW (XB Illinois)     | Favorites at Vineland             | Montana OTB                     | Suffolk District OTB         |
| Bangor Raceway                  | Finger Lakes                      | Monticello                      | Suffolk Downs                |
| Batavia                         | Finger Lakes ADW                  | Mountaineer Park                | Sunland Park                 |
| Belterra Park                   | Fornier Park                      | Mt. Pleasant Meadows            | SunRay Park & Casino         |
| Bettor Racing                   | Freshold                          | Nassau Regional OTB             | Tampa Bay Downs              |
| Birmingham Greyhound            | Gillespie County Fair             | Nevada Pari-Mutuel Assoc.       | Taunton ADW                  |
| Bluffs Run Greyhound            | Global Wagering Solutions         | Newport Jal Alai                | Taunton Dog Track Inc.       |
| Borgata Casino                  | Betsen, Planetwin, Big Bet World  | New Jersey ADW (4NJ Bets)       | The Downs at Albuquerque     |
| Buffalo Raceway                 | Racabats, Infill Betting Assoc    | Northfield Park                 | ThistleDown                  |
| Canterbury Park                 | Magna Bet, Pfordewellen, Dantoto  |                                 | Tioga Downs                  |
| Capital District OTB            | Stan James, BoyleSports           | Cedar Downs OTB                 | Tri-State GH (Mardi Gras)    |
| Catskills OTB                   | Intelligent Gaming                | NYRA                            | Turf Paradise                |
| Charles Town Race Course        | Greenetrack                       | NYRA ADW                        | Turf Paradise Bets (ADW)     |
| Chesler Downs (Harrah's Philly) | Greyhound @ Post Falls            | Oaklawn Park                    | Turfway Park                 |
| Churchill Downs                 | Gulf Greyhound                    | Oaklawn Park ADW                | TVG                          |
| Coeur d'Alene Casino            | Gulfstream Park                   | Ocean Downs                     | TVG Chester                  |
| Colonial Downs                  | Harrington Raceway                | Panama                          | TVG High Volume              |
| Colonial Downs ADW              | Harrington Bets ADW (DE regional) | PARX                            | TVG Prairie                  |
| Columbus Raceway                | Hawthorne Race Course             | PARX ADW                        | Twin River Greyhound         |
| Connecticut OTB                 | Hawthorne ADW                     | Penn National                   | Twin Spires                  |
| Bradley Teletheater, Bristol    | Hazel Park                        | Penn National ADW               | Twin Spires High Volume      |
| New Britain, Norwalk, Milford   | Hinsdale                          | Peri                            | Velocity Wagering Ltd.       |
| East Haven, Hartford, Putnam    | Hoosier Park                      | Plainridge Race Course          | Venezuela OTB                |
| Shoreline Star, Sports Haven    | Hoosier Park                      | Plainridge ADW                  | Vernon Downs                 |
| Torrington, Waterbury           | Idabet                            | Player Management Group         | Walchand Wager               |
| Manchester, New London          | Indiana Downs                     | Pocono Downs ADW                | Western OTB                  |
| Williamantic, Sanford OTB       | Clarksville/Evansville OTB        | Pocono Downs/OTB                | Wheeling Downs               |
| John Martin's Manor Restaurant  | Intermountain Racing              | Portland Meadows                | Will Rogers Downs            |
| Connecticut OTB ADW             | Keeneland                         | Potawatomi Casino/OTB           | Wyoming OTB                  |
| Coushatta Casino                | Keeneland Select ADW              | Prairie Meadows                 | Wyoming Downs                |
| Paragon Casino                  | Kentucky Downs                    | Premier Turf Club               | XpressBet                    |
| Ho-Chunk Casino and Racebook    | Kentucky OTB                      | Presque Isle Downs              | Yonkers Raceway              |
| Mohegan Sun Casino              | Los Bols (Treasure Valley)        | Racing2Day ADW                  | Yonkers ADW                  |
| Onida Bingo and Casino          | Lewiston OTB's                    | Racing2Day Intl. (Stan James)   | Yobet Group 1                |
| Pony Bar Simulcast Center       | Leri Games                        | Remington Park                  | Zia Park                     |
| Tole Investment Racing          | Chips Lounge and Casino           | Remington OTB Network           | 123Gaming                    |
| Randall James Racetrack         | Howard Johnsons OTB               | Retama Park                     | Separate Pool Locations      |
| Millenium Racing                | Runners OTB                       | Racing & Gaming Services        | Camarero (Puerto Rico)       |
| Royal Beach Casino              | Skydancer Casino OTB              | Rillito Park                    | Caymanas (Jamaica)           |
| Divi Carina Day Casino          | Lien Games ADW                    | Rockingham Park                 | Codere (Mexico/Spain)        |
| Winner's Circle                 | BelAmerica/Offtrackbetting ADW    | Rockingham Park ADW             | MIR Books (Caliente)         |
| Camouflage Gaming               | Lone Star                         | Ruidosa Downs                   | NDS Books (Nevada)           |
| Corpus Christi Greyhound        | Louisiana Downs                   | Running Aces Harness Park       | Tabcorp (Australia)          |
| Dayton Raceway                  | LVDG                              | Sam Houston                     | Taipei (Australia)           |
| Delaware Park                   | Atlantis Paradise Casino          | Valley Greyhound Park           | New Zealand Racing Board     |
| Delta Downs                     | Buffalo Thunder Resort            | Saratoga Harness Raceway        |                              |
| Derby Jackpot                   | Foxwoods Resort Casino            | Saratoga Bets (ADW)             |                              |
|                                 | Meskaki Bingo & Casino            |                                 |                              |

### Canadian Locations

Assiniboia, Barris, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hlawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picox Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Weslem Fair, Woodbine, Xpressbet Southern Alberta

## THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
|--------------------|------------|---|

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

## QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
|--------------------|------------|---|

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

## HARNESS SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|
|--------------------|------------|---|

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

## OTHER BREED SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Breed of Horse | Race Dates | Number of Races to be Imported |
|--------------------|----------------|------------|--------------------------------|
|--------------------|----------------|------------|--------------------------------|

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

## 13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
 Board of Directors  
 Los Alamitos Racing Assn.  
 4961 Katella Ave  
 Los Alamitos, CA 90720

- B. Names and addresses of the trustees or directors of the distributing agent:  
Rick English  
4961 katella Ave  
Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

#### 14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:  
Association Veterinarian(s) Dr. Dana Steed DVM  
Clerk of Scales Charles McCaul  
Clerk of the Course – Lisa Jones  
Film Specialist – Board of Stewards  
Horse Identifier – Jennifer Paige  
Horseshoe Inspector – Victor Tovar  
Paddock Judge – Karen Denovel  
Patrol Judges – Randy Valdez, Karen Denovel  
Placing Judges – Kevin Colosi, Dawn Schmid  
Starter – Gary Brinson  
Timer – Russ Hudak
- B. Management officials in the racing department:  
Director of Racing – Robert Moreno  
Racing Secretary – Robert Moreno  
Assistant Racing Secretary – Chris Merz  
Paymaster of Purses – Dawn Jackson  
Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
Weinstein Court Reporters  
P O Box 26634 Santa Ana, CA 92799 949-637-6071  
Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
Plusmic Corp, USA Bill O'Brien contract expires Dec. 31, 2017

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communications

Jim Porep, President

16633 Ventura blvd Suite 1010 Encino, CA 91436 Contract thru April 21, 2017

1 camera end of homestretch

1 camera end of backstretch

1 camera coming out of final turn

4 pan cameras covering entire track

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing devices owned and operated by Los Alamitos Race Course

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Alex Soltero, Chief of Security

714-820-2660/frontside 714-820-2832/backside

Organization Plan/ attached

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security 1 Stable Security Manager 5 Gatemen 3 Investigators  
20 Security Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

2. Detention Stalls: Los Alamitos does not use detention stalls for stakes.

A. Attach a plan for use of graded stakes or overnight races.

B. Number of security guards in the detention stall area during a 24-hour period.

C. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

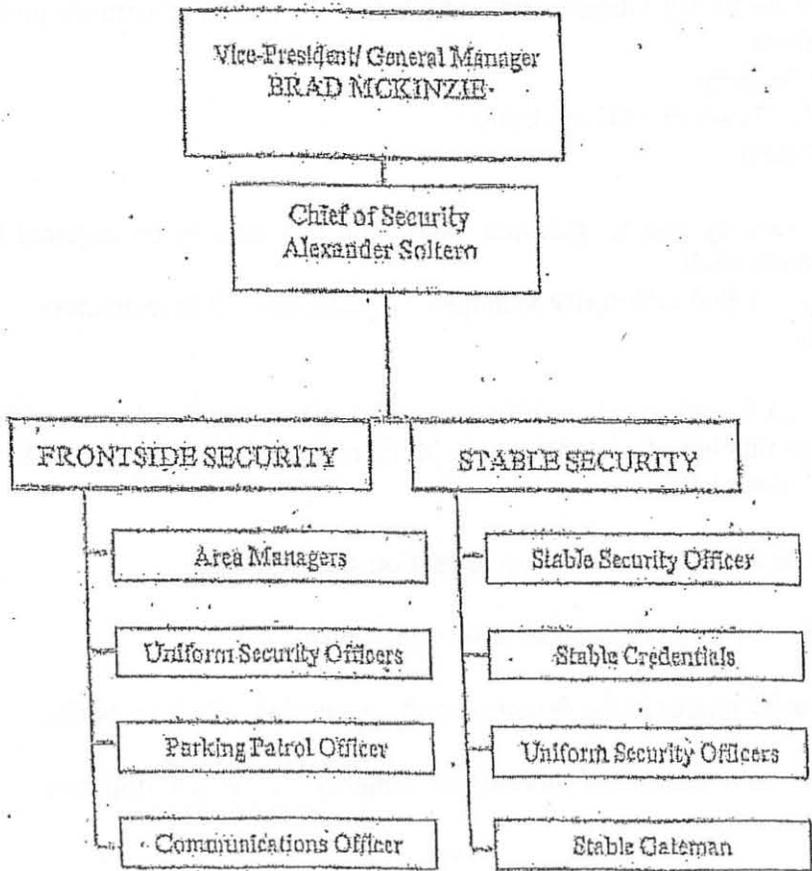
A. Number of races to be tested, and number of horses entered in each race to be tested.  
CHRB directives will be followed

B. Plan for enhanced surveillance for trainers with high-test results.  
CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.  
CHRB directives will be followed

# LOS ALAMITOS RACING ASSOCIATION

## Security Live Racing



## LOS ALAMITOS RACING ASSOCIATION STAKES SECURITY PROGRAM

For stakes races with purses of \$100,000 or more Los Alamitos Racing Association will follow the guidelines set forth in our Race Meet Agreement with the Thoroughbred Owners of California.

For stakes races of more than \$100,000, LARA will provide security personnel equipped with video cameras to be stationed in the barn of each trainer with a horse in that stakes. The security personnel will be stationed in the designated barn no less than 6 hours prior to post time and will accompany the horse to the receiving barn.

These additional personnel will be under the direction and supervision of the Los Alamitos Chief of Security.

In addition, 24 hours prior to the running of any graded stakes race with a purse of \$200,000 or more Los Alamitos Racing Association will assign two additional security guards to the barn area with the specific instructions to monitor the barns of trainers with horses entered in that stakes.

D. Number of security personnel assigned to the TCO2 program.  
As per need following CHRB directives

C. Describe the electronic security system:  
Los Alamitos does not have an electronic security system

1. Location and number of video surveillance cameras for the detention stall and stable gate.  
Los Alamitos does not have video surveillance in these locations

D. For night racing associations. Describe emergency lighting system: n/a

## 16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:  
Huntington Ambulance Service  
P O Box 145  
Sunset Beach, CA 90742  
Track # 714-820-2845

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
San Luis Rey downs  
North county fire Protection District  
31403 Old River Rd  
Bonsall, CA 92003  
Phone - 911

Santa Anita  
AmbuServe  
15105 S Broadway  
Gardena, CA 90248  
Bryan Rusling  
310-644-0500

Galway Downs  
Symons Ambulance Service  
28078 Baxter Rd  
Murrieta, CA 92563

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
See attachments

C. Describe the on-track first aid facility, including equipment and medical staffing:  
Contracted track physician; Oxygen equipment; blood pressure monitoring; backboard; cervical collar; 3 patient beds

# Huntington Ambulance, LLC

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

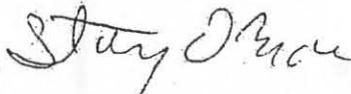
February 16, 2016

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President  
Huntington Ambulance, LLC



# COUNTY OF SAN DIEGO

## EMERGENCY MEDICAL SERVICES

### EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: AMERICAN MEDICAL RESPONSE

Effective Date: August 1, 2015

Expiration Date: July 31, 2016

Approved as: ALS   
BLS   
CCT

Authorized by:

*Marilyn G. [Signature]*  
Permit Officer, Emergency Medical Services

Permit Number: 15-119



# AmbuServe Ambulance



October 6, 2015

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc  
15105 S. Broadway  
Gardena, Ca 90248  
(310) 644-0500

Respectfully,

William Gonzales  
Paramedic Supervisor



Symons Ambulance  
18592 Cajon Blvd.  
San Bernardino, CA 92407  
(909) 880-2979

June 16, 2015

To Whom It May Concern:

Symons Ambulance would like to confirm all of our field staff are state certified through 2015. All field staff are required to keep their state certifications current with no lapse. Symons Ambulance audits our personnel's required state certifications on a monthly basis.

If you have any additional questions please feel free to contact me.

Thank you,

Kurt Dye  
Operations Manager  
909-454-5093 Cell Phone  
909-880-2979

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**  
Dr. Michael Morris 714-820-2710
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
Los Alamitos Medical Center  
3571 Katella Ave.  
Los Alamitos, CA 90720  
562-598-1311
- Long Beach Memorial Hospital (Certified Trauma Center)  
2801 Atlanta Ave  
Long Beach, CA 90806  
562-933-2000
- UC Irvine Medical Center (certified trauma center)  
101 The City Drive  
Orange, CA 92868  
714-456-7890
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
See attachments
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
Frank Sherren
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
See attachment
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
Self Insured through Finish Line Self Insurance Group  
Group Certificate # 4524-001
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
See attachment

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide

## **EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS**

### **FIRST RESPONSE**

\*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified Paramedics and EMT's will respond immediately to the location of the accident.

\*Paramedic and EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Fire Department Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

### **ON SITE EVALUATION AND JOCKEY PROTOCOL**

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the responders.
- If responders request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- It is the current policy of the Orange County Emergency Services that all injuries sustained from falling from a horse at a speed of a gallop or more be treated as a trauma event. Fire Department paramedics will be instructed to transport the rider to a trauma hospital for examination. If the rider refuses transport to the trauma hospital they must sign a Refusal of Medical Treatment form. It will then be up to the Board of Stewards to determine if that rider can continue with his riding assignments.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

## PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPODROMO PRIMERA RESPUESTA

\* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

\* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

### EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE

- Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballos sueltos, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos, el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre- designado a las afueras de Los Alamitos en la valla al fondo de las pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinaran si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran grave por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisarios Deportivos de CHRB.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre esta abierta.

H

**ORANGE COUNTY FIRE AUTHORITY**

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal

(714) 573-6000

[www.ocfa.org](http://www.ocfa.org)

November 3, 2015

Los Alamitos Race Course  
4961 Katella Ave.  
Los Alamitos, CA 90720  
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to [doughartman@ocfa.org](mailto:doughartman@ocfa.org).

Respectfully,

A handwritten signature in cursive script, appearing to read "Douglas A. Hartman".

Douglas A. Hartman,  
Senior Fire Prevention Specialist

Number: 4524-001

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That  
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL  
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE  
(Name of Affiliate)

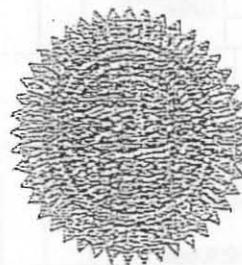
FINISH LINE SELF INSURANCE GROUP, INC.  
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.\*

EFFECTIVE  
THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS  
OF THE STATE OF CALIFORNIA



*Mark T. Johnson*  
MARK T. JOHNSON, MANAGER

*John M. Rea*  
JOHN M. REA, DIRECTOR

\*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2--Administration of Self-Insurance.



**CERTIFICATE OF LIABILITY INSURANCE**

FINILIN-C1 SPRZEMIELEWSKI

DATE (MM/DD/YYYY)

9/24/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

|   |  |
|---|--|
| <b>PRODUCER</b><br>Bolton & Company<br>3475 E. Foothill Blvd., Suite 100<br>Pasadena, CA 91107                | <b>CONTACT NAME:</b> Sara Przemielewski  |
|   | <b>PHONE (A/C, No, Ext):</b> (626) 799-7000 <b>FAX (A/C, No):</b> (626) 441-3233<br><b>E-MAIL ADDRESS:</b> info@boltonco.com |
| <b>INSURED</b><br><br>Finish Line Self Insurance Group, Inc.<br>4961 Katella Avenue<br>Los Alamitos, CA 90720 | INSURER(S) AFFORDING COVERAGE      NAIC #  |
|   | INSURER A: New York Marine & General Ins. Co.      16608   |
|   | INSURER B:   |
|   | INSURER C:   |
|   | INSURER D:   |
|   | INSURER E:<br>INSURER F:   |

**COVERAGES      CERTIFICATE NUMBER:      REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR LTR | TYPE OF INSURANCE   | ADDL INSD | SUBR WVD | POLICY NUMBER  | POLICY EFF (MM/DD/YYYY) | POLICY EXP (MM/DD/YYYY) | LIMITS  |  |
|----------|---|-----------|----------|----------------|-------------------------|-------------------------|---|--|
|          | COMMERCIAL GENERAL LIABILITY<br><input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR<br><br>GEN'L AGGREGATE LIMIT APPLIES PER:<br><input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC<br>OTHER: |           |          |                |                         |                         | EACH OCCURRENCE \$<br>DAMAGE TO RENTED PREMISES (Ea occurrence) \$<br>MED EXP (Any one person) \$<br>PERSONAL & ADV INJURY \$<br>GENERAL AGGREGATE \$<br>PRODUCTS - COMP/OP AGG \$<br>\$                  |  |
|          | AUTOMOBILE LIABILITY<br><input type="checkbox"/> ANY AUTO<br><input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS<br><input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS                           |           |          |                |                         |                         | COMBINED SINGLE LIMIT (Ea accident) \$<br>BODILY INJURY (Per person) \$<br>BODILY INJURY (Per accident) \$<br>PROPERTY DAMAGE (Per accident) \$<br>\$   |  |
|          | UMBRELLA LIAB <input type="checkbox"/> OCCUR<br>EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE<br>DED    RETENTIONS \$  |           |          |                |                         |                         | EACH OCCURRENCE \$<br>AGGREGATE \$<br>\$  |  |
| A        | WORKERS COMPENSATION AND EMPLOYERS' LIABILITY<br>ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)<br>If yes, describe under DESCRIPTION OF OPERATIONS below  | Y/N       | N/A      | WC2016EPP00329 | 01/01/2016              | 01/01/2017              | <input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER<br>E.L. EACH ACCIDENT \$ 1,000,000<br>E.L. DISEASE - EA EMPLOYEE \$ 1,000,000<br>E.L. DISEASE - POLICY LIMIT \$ 1,000,000 |  |

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
 Los Alamitos Race Course Eff. 1/1/2016

**CERTIFICATE HOLDER**

**CANCELLATION**

|   |   |
|---|---|
| California Horse Racing Board<br>1010 Hurley Way, Suite 300<br>Sacramento, CA 95825 | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.<br><br>AUTHORIZED REPRESENTATIVE<br> |
|---|---|



# Marketing Plan

## 2016 Los Alamitos Spring Thoroughbred Meet

**Overview:** The 2016 Los Alamitos Spring Thoroughbred meet will be the first time our track has conducted a spring meeting as this 12-day season replaces the July summer meeting that Los Alamitos has hosted for the past two years. While the dates are different, the marketing challenges remain the same with the major goal trying to establish a national brand and presence with a short meet when sandwiched between established race meets.

The past two years the challenge was how to establish an identity when our summer meet fell between the end of the Santa Anita meeting and the start of the Del Mar summer meet. This year we are faced with the unique task of trying to make our presence felt with a meet that falls between two Santa Anita meetings.

Los Alamitos realizes that we have no time to waste in this effort as there is no real time to build momentum during a 12-day meet. As we have in the past we will blend our stakes program with our marketing program to try and get this meet off to a solid start and hopefully build from there.

In an effort to ensure a solid opening we will open our meet with two \$100,000 stakes races, the Bertrando Stakes for Cal-Breds and the Grade III Los Angeles Stakes for sprinters. In addition we have created a new race, the \$150,000 California Chrome Stakes, a race designed for 3-year-olds who may have missed the Santa Anita Derby but are still looking for a prep before such races as The Preakness or The Belmont Stakes.

As detailed on the following pages, this 2016 Spring Meet marketing effort will be supported by a variety of programs including increased budgets for local television and radio and continuing cooperative efforts with TVG and The Daily Racing Form. In addition, Los Alamitos will host what we believe is the biggest handicapping tournament in the state in an effort to drive on-track handle and awareness of our first spring meet.

### Los Alamitos Handicapping Championship – Saturday, April 23

This handicapping contest will offer five seats to the DRF/NTRA National Handicapping Contest, an unprecedented number of guaranteed seats to this year-end national event. In addition to the coveted seats in the DRF/NTRA NHC, Los Alamitos will guarantee a purse of \$30,000 for the event. The goal of this event will be to drive on-track handle and attendance from southern California's major players.

### Welcome Home Chrome Day – Saturday, April 30

California Chrome has made Los Alamitos his home base since early in his 3-year-old season and he has earned a legion of local fans who have come to adore this great Champion. Los Alamitos will honor this Kentucky Derby winner on April 30 by running a \$150,000 stakes named in his honor and it is hoped that this former Horse of the Year will be available to make an on-track appearance on this day after his return to Los Alamitos from Dubai. All fans in attendance on this day will receive a free California Chrome tee shirt.

### Promotional Giveaway Days

The top on-track attendance days of the past five meets run at Los Alamitos have all occurred on days when we offered a promotional giveaway. In an effort to try and continue our on-track awareness among fans in our immediate area, Los Alamitos will offer four promotional giveaway days during our 12-day meet.

### Mystery Mutuel Vouchers

While promotional giveaways drive attendance, it has been our experience that the most successful drive of on-track handle has been our Mystery Mutuel voucher promotion, which targets 10,000 active fans on the Los Alamitos mailing list. This promotion will be a part of a pre-meet direct mail campaign that will also offer our customer base such incentives as free admission passes, free preferred parking passes and free Vessels Club admission for 4 guests.

## 2016 Los Alamitos Spring Meet Marketing Budget

### Daily Racing Form - \$34,600

- National print advertising campaign
- Digital advertising campaign
- Special Pick 4 sections for major races during the meet
- Promotional support for Los Alamitos Handicapping Championship including a Webinar and e-mail blasts
- 15 second videos to play on DRF.com to promote major pools, stakes during meet

### TVG, Twin Spires, XpressBet - \$56,000

- National commercial spots on TVG leading up to meet and prior to major races
- Partnering with ADW providers on the popular TVG Hit and Split, the XpressBet 0% Takeout Late Daily Double, Twin Spires \$10,000 Pick 5 Los Alamitos Bonus
- Partnership with marketing efforts including e-mail alerts, web banners and digital newsletters

### Local Television Advertising - \$38,700

- Time Warner Cable / Orange County cable provider. 1,908 total spots concentrating on Angels, Ducks, Clippers and Lakers games. This buy also includes 138 spots on The Golf Channel and run of schedule spots on the AMC, Bravo, ESPN and TBS networks.
- Time Warner Long Beach. This is a new 327 spot buy concentrating on the nearby Long Beach market with special emphasis on Dodger games and the NCAA Basketball Tournament.
- Direct TV. 72 units purchased during Angel, Ducks and Clippers games.

### Local/National Radio - \$21,500

- In addition to supporting the local racing radio shows, the Los Alamitos radio buy will also include national racing radio shows such as Steve Byk At The Races and Down The Stretch on SiriusXM. The national shows are a part of our effort to reach our growing out of state market.
- ESPN Deportes. Los Alamitos will have an increased partnership with ESPN Deportes which will include ticket giveaways, on-site remote broadcasts and on-air interviews with Hispanic trainers and jockeys.

#### Local Newspaper - \$21,000

- The newspaper campaign will utilize our longtime partner the Orange County Register with print and online advertisements as well as an e-mail promotion to the OC Register Rewards Members, an active group of readers who have been strong supporters of our past meets. Los Alamitos will provide 10,000 free admission tickets and a special OC Register section to Rewards Members during our 12-day meet. In addition to the OC Register, Los Alamitos will partner with the Long Beach Press Telegram in both print advertising and e-mail fan incentive marketing.

#### Pre-Meet Direct Mail Campaign - \$33,000

- Our 10,000 active fans will receive a Mystery Mutuel voucher and other on-track attendance incentives with the mailing targeting our opening weekend. Past redemption rates for the Mystery Mutuel promotion have reached between the 17% to 21% level.

#### Los Alamitos Handicapping Championship - \$21,000

- Los Alamitos is guaranteeing the five seats in the DRF/NTRA National Handicapping Contest as well as guaranteeing \$30,000 in prize money for the top 5 finishers in this event.

#### On-Track Promotional Giveaway Items - \$72,000

- Four special giveaway days are scheduled during the 12-day Spring Meeting. Among the giveaway items scheduled are a California Chrome Tee Shirt Day, Stars of Racing Magnet and a Los Alamitos Beach Towel Day.

#### On-Line Advertising - \$7,000

- Concentrated on web sites such as The Paulick Report and The Blood Horse as well as local sports team web sites.

#### Spring Meet Support Staff - \$32,000

- Includes additional in-house race day television producers, additional marketing staff and hostesses.

Total Projected Spring Meet Marketing Budget - \$336,800

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E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: none

1. Horsemen
2. Fans
3. Facilities in the restricted areas

## 19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general) - \$3
- Admission (clubhouse) - \$5
- Vessels Club (Turf Club) - \$10
- Reserved seating (general) - free
- Reserved seating (clubhouse) - free
- Parking (general) - free
- Parking (preferred) - \$6 / \$10 premium
- Parking (valet) - not offered
- Programs (on-track) - \$3
- (off-track) - \$3

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club Season Table - \$480 Grandstand Season Table - \$250

C. Describe any "package" plans such as combined parking, admission and program:

We do not offer combined packages

## 20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |                                     |                                |                                     |                                     |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many                            | <input type="text" value="60"/>     |
| <input checked="" type="checkbox"/> | Showers                        | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur                        | <input checked="" type="checkbox"/> | Food/beverage service               |
|                                     |                                | <input checked="" type="checkbox"/> | Lounge area                         |
|                                     |                                | <input checked="" type="checkbox"/> | Certified platform scale            |

B. Describe the quarters to be used for female jockeys/drivers:

Separate quarters with own showers, lockers, sauna. Shared common area

## 21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by Tom Blake on February 4, 2016.
- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 men/ 8 ladies
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 - 20

**22. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

|       |
|-------|
| 5.100 |
|-------|

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: - 3%  
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A Height of 40 inches in maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
Rick Hughes track superintendent / Dennis Moore – track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

**23. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Not applicable
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions

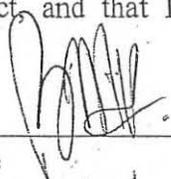
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):  
No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

BRAD MCKENZIE  
 Print Name  
VP GENERAL MANAGER  
 Print Title

  
 Signature  
2/5/16  
 Date

## STAFF ANALYSIS

March 24, 2016

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT THE SAHARA DUNES CASINO DBA LAKE ELSINORE HOTEL AND CASINO IN LAKE ELSINORE, CALIFORNIA, FOR A PERIOD OF UP TO FIVE YEARS

Sahara Dunes Casino DBA Lake Elsinore Hotel and Casino (Sahara), in collaboration with Los Angeles Turf Club and Southern California Off Track Wagering, Inc. (SCOTWINC), filed an application for a license to operate a minisatellite wagering site. The Sahara minisatellite application proposes to begin operations April 25, 2016 through April 25, 2021, for a period of up to five years.

- Sahara is a bar located in Lake Elsinore, California. The proposed wagering site will be located in the southern zone.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the board.

- SCOTWINC has provided that only Lake Perris Sports Pavilion is located within the 20 mile radius of Sahara, and that it has waived the 20 mile radius provision. Consent from Lake Perris Sports Pavilion has been received.
- Sahara has contracts/agreements with the following racing associations:

California Authority of Racing Fairs  
 Del Mar Thoroughbred Club  
 Los Angeles County Fair Association  
 Los Alamitos Racing Association  
 Los Angeles Turf Club  
 Los Alamitos Quarter Horse Racing Association  
 Pacific Racing Association  
 Sonoma County Fair  
 Watch and Wager.com LLC

- Applicant proposes to operate minisatellite wagering site during racing hours, seven days a week.
- Estimated number of pari-mutuel terminal machines available is 12; Seating Capacity is 115 inside with an additional 100 seats in the outdoor patio; the number of tables in the minisatellite wagering area is 33 tables in the wagering area. Forty-eight television monitors in the restricted area, additional monitors in the Casino and 8 monitors in the patio area. Roberts Communication Network will provide the satellite receivers and decoders.

- Staffing: Mutuel Supervisor or clerks to be determined by attendance.

Specific information **stii needed** to complete this application include:

1. Fire clearance

RECOMMENDATION:

If the application is considered for approval, staff recommends an approval contingent upon the submission of the fire clearance.

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-228 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

**Part I:** To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

**Part II:** To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

**Part III:** To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

**PART I**  
**MINISATELLITE WAGERING APPLICANT INFORMATION**

**APPLICANT INFORMATION**

|  |                     |   |
|--|---------------------|---|
| Name of applicant: Lake Elsinore Hotel & Casino            |                     |   |
| The applicant is:  |                     | <input checked="" type="checkbox"/> Card Room/Gambling Establishment  |
| <input type="checkbox"/> Racing Association                |                     | <input type="checkbox"/> Restaurant/Bar   |
| <input type="checkbox"/> Fair                              |                     | <input type="checkbox"/> Other Business Entity  |
| <input type="checkbox"/> Federally Recognized Indian Tribe |                     |   |
| Facility street address: 20930 Malaga Road                 |                     |   |
| Mailing address (if different from above):                 |                     |   |
| E-mail address: <u>TedK@LerCasino.com</u>                  |                     |   |
| City: Lake Elsinore  | State: CA           | Zip Code: 92530   |
| Phone: (951) 674-3101                                      | Fax: (951) 674-4071 | Website: LerCasino.com  |
| County: Riverside  |                     | Zone Location:<br>North <input type="checkbox"/> South <input checked="" type="checkbox"/> Central <input type="checkbox"/> |
| (To be completed by CHRB staff)                            |                     |   |

**CONTACT PERSON**

(Authorized Representative)

Name and title of the contact person: Ted Kingston, General Manager

Business street address: 20930 Malaga Road

Mailing address (if different from above):

|                       |                            |                     |
|-----------------------|----------------------------|---------------------|
| City: Lake Elsinore   | State: CA                  | Zip Code: 92530     |
| County: Riverside     |                            |                     |
| Phone: (951) 674-3101 | E-mail: TedK@LerCasino.com | Fax: (951) 674-4071 |

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 CHRB-228 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

Through expiration of its license

**NOTICE TO APPLICANT:** If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes  No

Do you currently operate a licensed gaming operation in California or another state? Yes  No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: 1/1/2016 End Date: 12/31/2016

Facility name and address: Lake Elsinore Hotel and Casino

City: Lake Elsinore

State: CA

Zip Code: 92530

County: Riverside

Zone Location:

North  South  Central

(To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes  No

If yes, provide the following if different from above:

Facility name and address:

City:

State:

Zip Code:

Racing Jurisdiction:

### BUSINESS STRUCTURE

|  |  |  |
|--|--|--|
| <input type="checkbox"/> Corporation<br><input type="checkbox"/> Public<br><input type="checkbox"/> Private<br><input type="checkbox"/> Sub-S<br><input type="checkbox"/> Sub-C<br><input checked="" type="checkbox"/> Limited Partnership<br><input type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Partnership & Joint Venture<br><input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Other<br>If you have listed your company as other please identify your company structure: |
|--|--|--|

Registered Business Name:

Sahara Dunes Management, Inc.

Fictitious Business Name: Lake Elsinore Hotel and Casino

Address:

20930 Malaga Road

E-mail Address: TedK@LerCasino.com

City: Lake Elsinore

State: CA

Zip Code: 92530

Phone: (951) 674-3101

Fax: (951) 674-4071

State where registered or  
 Articles of Organization are filed: California

Registry or File number: 855363

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 CHRB-88 (New 11/08)

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

| Entity/Individual Name and Title | Entity's Business Address/<br>Individual's Address of Record | Ownership%<br>(if any) | Compensation<br>Agreement |
|----------------------------------|--|------------------------|---------------------------|
| Sahara Dunes Management Inc      | 20930 Malaga Rd, Lake Elsinore, CA                           | 5%                     |                           |
| Ted Kingston, President          | 20930 Malaga Rd  |                        |                           |
| Sahara Dunes Casino LP           | 20930 Malaga Rd  |                        |                           |
| Ted Kingston                     | 20930 Malaga Rd  | 47.5%                  |                           |
| Joseph Kingston                  | 3212 S. State St., SLC, Utah 84115                           | 47.5%                  |                           |

Are shares listed for public trade?  
 Yes  No

If yes on what stock exchange?

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.

**All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.**

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**MANAGEMENT AND STAFF**  
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

| Name         | Title                  |
|--------------|------------------------|
| Ted Kingston | Owner, General Manager |
| Pat Wilmes   | Casino Manager         |
|              |                        |
|              |                        |

**ASSOCIATION CONTRACT/AGREEMENT**

Name(s) of racing association(s) with whom you intend to have a contract or agreement:  
 All California racing associations-See Exhibit A

Addresses of racing association(s):  
 See Exhibit A

Racing association(s) phone numbers:  
 See Exhibit A

Proposed contract dates: From: To: (inclusive).  
 Through expiration of license

State of California  
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CHRB-88

Full Disclosure Statement  
Lake Elsinore Casino

Rule 2066, subsection (b)(1) provides that upon the written request of the applicant, the Board may waive the requirement for submission of a Full Disclosure Statement and CHRB-25A for persons who have a current valid state gambling license and/or finding of suitability issued by the California Gambling Control Commission.

We hereby request waiver of the requirement for submission of a Full Disclosure Statement and CHRB-25A as all persons owning and managing Lake Elsinore Casino have a current valid state gambling license issued by the California Gambling Control Commission.

  
\_\_\_\_\_  
Ted Kingston  
President

12-8-15  
\_\_\_\_\_  
Date

**KAMALA D. HARRIS**  
Attorney General

State of California  
**DEPARTMENT OF JUSTICE**



BUREAU OF GAMBLING CONTROL  
P. O. Box 168024  
Sacramento, CA 95816-8024

## CERTIFICATE TO OPERATE

### SAHARA DUNES CASINO, LP, dba LAKE ELSINORE HOTEL AND CASINO

Club Address: 20930 Malaga Road  
Lake Elsinore, CA 92530

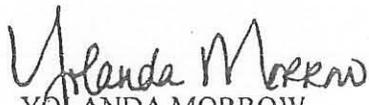
Authorized Number of Tables: 22

Issue Date: January 1, 2016

Expiration Date: December 31, 2016

This Certificate serves as your authorization to continue operating 22 tables at the Lake Elsinore Hotel and Casino. This Certificate is valid through December 31, 2016, or until your State Gambling License is either approved or denied.

The Certificate to Operate shall be posted at all times in a conspicuous place in the area where gambling is conducted at the Lake Elsinore Hotel and Casino. The issuance of this Certificate does not create a vested right toward the issuance of a State Gambling License.

  
YOLANDA MORROW  
Assistant Bureau Chief  
Bureau of Gambling Control

For KAMALA D. HARRIS  
Attorney General

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

**CHRB CERTIFICATION**

Application filed on: 2/17/16

30-day Notice Letter: 2/24/16

Fee received by: LH

Approved on:

Reviewed by: LH

License number issued:

**CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

|                                     |   |
|-------------------------------------|---|
| Name<br><i>Test Kingston</i>        | Signature of Applicant Representative<br><i>Test Kingston</i> |
| Title<br><i>Owner / Gen Partner</i> | Date:<br><i>2-6-16</i>  |

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 CHRB-88 (New 11/08)

## PART II

### CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

California Authority of Racing Fairs  
 1776 Tribute Road, Sacramento, CA 95815

Telephone:

(916) 927-7223

Fax number:

(916) 263-3341

Racetrack name:

Ferndale, Fresno, Pleasanton, Sacramento and Stockton

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Christopher Korby

Signature of association representative

*Christopher Korby*

Title

Executive Director

Date:

2/29/2016

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PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Del Mar Thoroughbred Club  
2260 Jimmy Durante Blvd. Del Mar, CA 92014

Telephone:

(858) 755-1141

Fax number:

(858) 794-1007

Racetrack name:

Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Josh Rubinstein

Title

COO

Signature of association representative

*Josh Rubinstein* COO

Date:

2/8/16

State of California  
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CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Angeles County Fair Association  
1101 W. McKinley Avenue, Pomona, CA 91768

Telephone:

(909) 865-4203

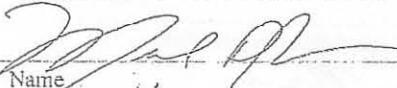
Fax number:

(909) 865-2481

Racetrack name:

Los Angeles County Fair, racing at Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:



Name

Michael D. Seeger

Signature of association representative

Title

CFO

Date:

2/9/16

State of California  
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PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Angeles Turf Club, Inc., 285 W. Huntington Dr., Arcadia, CA 91007

Telephone:  
(626) 574-7223

Fax number: (626) 821-1514

Racetrack name:

Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Joe Morris

Signature of association representative



Title

Senior Vice President

Date:

2-6-16

State of California  
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**PART II**

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Alamitos Racing Association  
4961 Katella Avenue, Los Alamitos, CA 90720

Telephone:  
(714) 820-2800

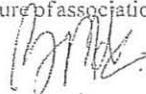
Fax number:

Racetrack name:  
Los Alamitos

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
Brad McKinzie  
  
Title  
General Manager

Signature of association representative



Date:

2/10/16

State of California  
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**PART II**

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Alamitos Quarter Horse Racing Association  
4961 Katella Avenue, Los Alamitos, CA 90720

Telephone:

(714) 820-2800

Fax number:

Racetrack name:

Los Alamitos

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Brad McKinzie

Title

General Manager

Signature of association representative

Date:

3/1/16

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PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Pacific Racing Association, 1100 Eastshore Highway, Albany, CA 94706

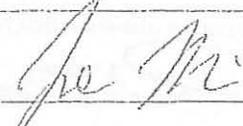
Telephone:  
(510) 559-7300

Fax number: (510) 559-7465

Race track name:

Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

|   |  |
|---|--|
| Name<br>Joe Morris                                    | Signature of association representative<br> |
| Title<br>Senior Vice President, West Coast Operations | Date:<br>2-10-66   |

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**PART II**

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Sonoma County Fair & Exposition, Inc.  
1350 Bennett Valley Road, Santa Rosa, CA 95404

Telephone:

(707) 545-4200

Fax number:

(707) 573-9342

Racetrack name:

Santa Rosa Racetrack - Sonoma County Fair

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Rebecca Bartling

Signature of association representative



Title

CEO

Date:

2/29/16

For Lake Elsinore Hotel & Casino

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
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**PART II**

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Watch and Wager.com LLC  
20 California Street, 7<sup>th</sup> Floor, San Francisco, CA 94111

Telephone:

(916) 800-1395

Fax number:

(916) 800-1395

Racetrack name:

California State Fair & Exposition - Cal Expo Harness

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Ben Kenney

Title

CFO

Signature of association representative



Date:

2/10/2016

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

**PART III  
 SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

**I. OPERATION OF THE MINISATELLITE WAGERING FACILITY**

Simulcast organization engaged by the association to conduct simulcast wagering: SCOTWINC

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools. Attached

Submit a copy of each horsemen's written approvals.

Hours for operation of the facility: Open 7 days a week, 24 hours a day

Hours for operation of the minisatellite wagering site: During racing hours, 7 days a week

Time periods during the calendar year the facility will not be utilized as a minisatellite wagering facility (explain why): None expected

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

|               |  |  |
|---------------|--|--|
| See Exhibit A |  |  |
|---------------|--|--|

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": See Exhibit A

Estimated number of pari-mutuel terminals machines available: 12

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). See Exhibit A

**II. SUPERVISION, SECURITY AND FIRE PREVENTION**

Changes to management personnel and minisatellite manager(s)  
 must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

| Name and Title  | CHRB License No. and Expiration Date |
|---|--------------------------------------|
| Randy Hartzell, Director of Mutuels, Santa Anita<br>(representing SCOTWINC) | #306778, expires 2/2017              |



February 9, 2016

**OFFICERS**

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Laurel Houle  
Regulations Analyst  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Lake Elsinore Minisatellite Facility

Dear Ms. Houle,

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
SAMANTHA SIEGEL  
KATHY WALSH  
MICHAEL WELLMAN

The Thoroughbred Owners of California approves the licensing by the California Horse Racing Board of the Lake Elsinore Hotel and Casino as a minisatellite.

Sincerely,

Nick Coukos  
President

**EXECUTIVE STAFF**

NICK COUKOS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

xc: Rick Baedeker, CHRB Executive Director  
George Haines, SCOTWINC General Manager

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05, 2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

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## Pacific Coast Quarter Horse Racing Association

February 5, 2016

Ms. Laurel Houle  
Regulations Analyst  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Lake Elsinore Minisatellite Facility

Dear Ms. Houle,

The Pacific Coast Quarter Horse Racing Association approves the licensing by the California Horse Racing Board of the Lake Elsinore Hotel and Casino as a minisatellite.

Sincerely,

A handwritten signature in dark ink, appearing to read "Dominic Alessio". The signature is fluid and cursive, with a large initial "D" and "A".

Dominic Alessio  
President

xc: Rick Baedeker, CHRB Executive Director  
George Haines, SCOTWINC General Manager



## CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

February 5, 2016

Ms. Laurel Houle  
Regulations Analyst  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Lake Elsinore Minisatellite Facility

Dear Ms. Houle,

The California Harness Horsemen's Association approves the licensing by the California Horse Racing Board of the Lake Elsinore Hotel and Casino as a minisatellite.

Sincerely,

A handwritten signature in black ink, appearing to read "Jim A. Perez", with a long, sweeping flourish extending to the right.

Jim A. Perez  
CHHA Executive Director

xc: Rick Baedeker, CHRB Executive Director  
George Haines, SCOTWINC General Manager

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):

Attached

Attach a fire clearance from the fire authority having jurisdiction. To be submitted under separate cover

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility.

See Exhibit A and the Emergency Evacuation Plan

Is there a backup emergency plan for power failure? Yes  No

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. See Exhibit B Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. See Exhibit A. Attach photos of the minisatellite wagering site.

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): See attached food & beverage menus

The seating capacity in the minisatellite wagering facility is: See Exhibit A

The number of tables in the minisatellite wagering area is: See Exhibit A

Overall square footage in the minisatellite wagering area See Exhibit A

is: Attach a photograph of the minisatellite wagering area.

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction:

157 in the indoor area

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): 400-incl 10 handicap spaces

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: None

V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: See Exhibit A

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:

See Exhibit A



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# LAKE ELSINORE CASINO

## EMERGENCY EVACUATION PLAN

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## CASINO EMERGENCY EVACUATION PLAN

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## AS REQUIRED BY TITLE 4, CALIFORNIA CODE OF REGULATIONS, DIVISION 18

### Section 12370: Emergency Preparedness and Evacuation Plan

- (c) For the purpose of ensuring the physical safety of guests and employees, and any other person while in the gambling establishment, each owner licensee shall promptly develop and implement a Plan for the gambling establishment, to include, but not limited to, the following:
- (1) Fires
  - (2) Earthquakes, Floods and other Natural Disasters
  - (3) Bomb Threats
  - (4) Hazardous Spills or Toxic Exposure
  - (5) Criminal Incidents
  - (6) Other Critical Incidents
  - (7) Provisions for first aid and for obtaining emergency medical assistance for guests, employees, and other persons while in the gambling establishment.
- (d) Each Plan shall be consistent with occupational safety and health standards and orders, adopted by the Occupational Safety and Health Standards Board pursuant to Labor Code section 142.3 and local requirements, including but not limited to local ordinances referred to in Business and Professional Code section 19860, and shall include the following:
- (1) Clear, written policies listing the job titles of the personnel who are responsible for making decisions, monitoring emergency response actions, and securing or protecting the gambling establishment's cash or equivalent assets and records.
  - (2) Procedures addressing each of the items in subsection (c) (1-7) inclusive.
  - (3) Specific instructions for stopping business activities.
  - (4) Facility evacuation procedures, including a designated meeting site.

---

**SECTION 1.0 - FORWARD**

---

The plan and organization incorporated in this manual are first and foremost designed to provide a state of readiness for any evacuation emergency that may occur.

This manual has been organized for the prime purpose of evacuating Lake Elsinore Casino due to natural and/or man-made disasters, including fire, flood, earthquake, riot, explosion and chemical accidents. It provides a plan and an organizational structure that is simple and direct and lends itself to being implemented in the shortest time possible by casino personnel.

The policies and procedures described in this manual are expected to be known and followed by all personnel whose responsibilities and authority directly relate to the outlined procedures contained herein. Specific training and practice schedules will be held quarterly to keep all casino personnel informed of any changes or improvements. Emergency operations at Lake Elsinore Casino will be conducted within the framework of this manual; exceptions to these procedures will be conducted only by, or with the approval of the Casino Manager (or the equivalent thereof).

It is the managements desire to prepare and plan for any contingency that affects the safety and well-being of our employees and guests. Being informed and prepared is in everyone's best interest.

---

**SECTION 1.1 – OBJECTIVES / SECTION 1.2 - PROCEDURES**

---

The main purpose of the Emergency Evacuation Plan is to reduce the possibility of injury and/or death to Lake Elsinore Casino employees and guests through an organized evacuation procedure.

**1.1 Objectives:**

- o Alert Casino employees and guests that a hazard exists. – Reference Section 1.2 (#1)
- o Security will alert the Floor Supervisor and Casino Manager (or the equivalent thereof).
- o Conduct orderly and safe evacuation from dangerous areas by designated routes.
- o Promptly notify the Floor Supervisor and Casino Manager (or the equivalent thereof) that your individual assignment has been executed to ensure that the evacuation is coordinated and conducted safely.
- o Warn all Casino employees and guests by utilizing the measures outlined in section 1.2

**1.2 Procedures:**

When evacuation is deemed necessary by Security and approved by the Casino Manager (or the equivalent thereof), employees and guests will leave the building immediately, exiting quickly and quietly by the nearest plan-designated route exit, or as advised.

1. An announcement over the public address system will be made by Security, a Floor Supervisor and/or the Casino Manager (or the equivalent thereof) explaining that an evacuation order to 'exit the building' has been given.
2. The evacuation order will also be announced by word of mouth by casino employees.
3. The Floor Supervisor will call and/or notify all departments apart from the casino floor area. That an evacuation order has been give and to begin the evacuation plan.
4. Security Officers, Floor Supervisors and/or Casino Management personnel will be available to direct employees and guests to ensure that evacuation instructions are carried out in accordance with the building evacuation plan.
5. Evacuation of disabled persons will be given a 'high priority' in all emergencies. They will be evacuated in accordance with the building evacuation plan.
6. Despite the establishment of this specific Emergency Evacuation Plan, certain emergency situations may arise which will necessitate additional instruction to ensure a safe and efficient evacuation. Security Officers, Floor Supervisors and/or Casino Management personnel will coordinate and issue these additional instructions.

---

**SECTION 1.3 FLOOR SUPERVISOR/MANAGEMENT PERSONNEL RESPONSIBILITIES**

---

**1.3.1**

1. Floor Supervisors are to ensure that each employee on their shift is familiar with the emergency evacuation procedures of the casino and specific instructions that apply to that employee's position. – Example; Dealers, Chip Runners, Porters...etc.
2. Management personnel will display a diagram of the evacuation route so employees will be familiar with the primary and alternate emergency exits from the work place area. This diagram must be placed and maintained in a conspicuous location.
3. Management personnel must ensure that an adequate number of employees are trained and available at all times during working hours to act as evacuation assistants, so that employees and guests can be quickly and safely moved from the dangerous location to a designated safe area.
4. Management personnel must ensure that personnel under their direct supervision are aware of the fire exits and the location and proper use of fire extinguishers in their specific work area.
5. Management personnel must ensure that all employees on their shift are aware of the special needs of disabled employees and guests who may require extra assistance. – Example; each employee should know how to use the 'buddy system' to remove casualties or persons who may need to be physically assisted from the building.
6. Management personnel must ensure that employees are aware of the location of hazardous areas in order to avoid them during emergencies.
7. Management personnel should be the last ones to depart the work place area. Before leaving visually check work areas and other enclosed spaces for employees and guests who may be trapped or otherwise unable to evacuate the area.
8. After the evacuation is completed, Management personnel is responsible for accounting and verifying that all employees are in a safe area.
9. It is the responsibility of each department management personnel to follow their approved policies and procedures for the securing of assets and property (if time permits) before evacuation of the building.
10. Management personnel will review the Emergency Evacuation Plan:
  - a. Minimally as an annual review of the requirements of the Plan and how it directly relates to each individual employee.
  - b. Review the requirements of the Plan with each new employee; at the time the new employee begins work.

SECTION - 1.4 EMPLOYEE STAGING AREA

1.4

All Lake Elsinore Casino employees will meet in the center of the front upper-level parking lot located directly in front of the main casino entrance. (See diagram 1.4a below)

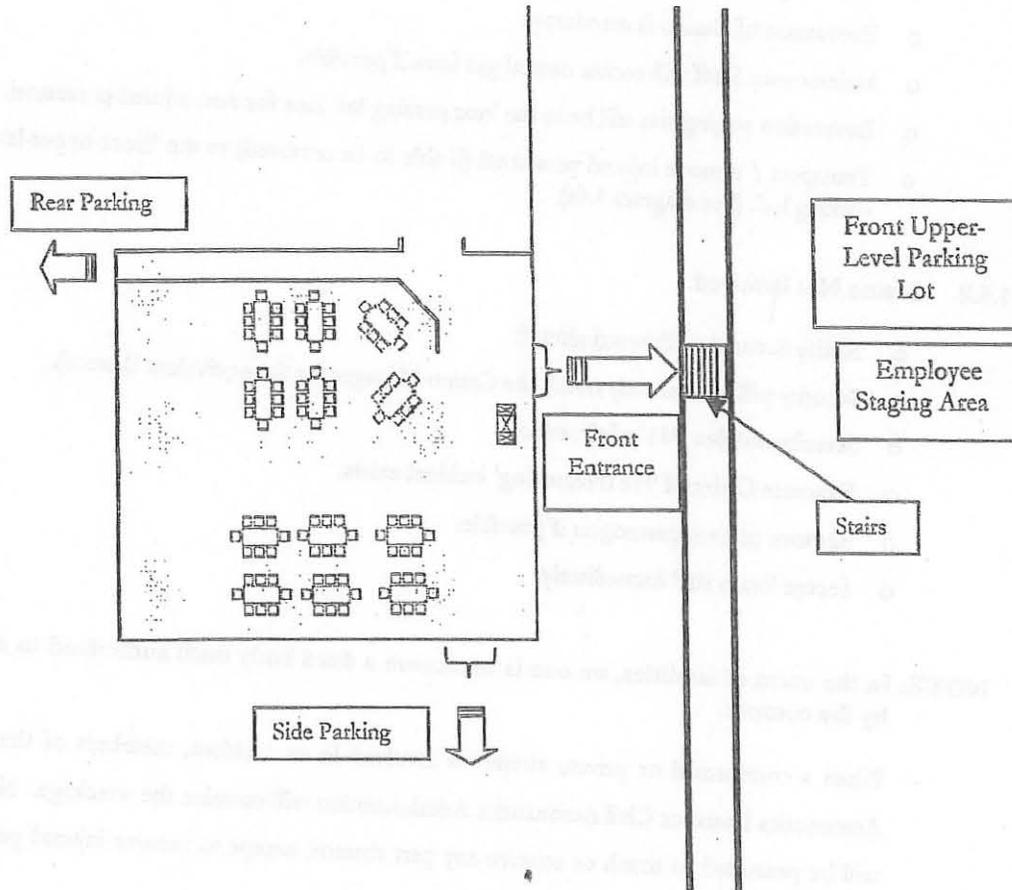


Diagram 1.4a

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**SECTION 1.5 - AIRCRAFT ACCIDENT**

---

**1.5.1 Casino Involved**

- Notify Security of downed aircraft
- Security will immediately notify the Casino Manager (or the equivalent thereof).
- Security notifies '911' of situation.
- Remove guests, employees and aircraft victims from immediate area if possible.
- Evacuation of Casino is mandatory.
- Maintenance Staff will secure natural gas lines if possible.
- Evacuation staging site will be in the 'rear parking lot' area for non-injured personnel.
- Transport / remove injured personnel (if able to be removed) to the 'front upper-level parking lot'. (See diagram 1.4a)

**1.5.2 Casino Not Involved**

- Notify Security of downed aircraft
- Security will immediately notify the Casino Manager (or the equivalent thereof).
- Security notifies '911' of situation.
- Evacuate Casino if 'life threatening' incident exists.
- Remove or treat passengers if possible.
- Secure 'crash site' immediately.

**NOTE:** In the event of fatalities, no one is to remove a dead body until authorized to do so by the coroner.

When a commercial or private aircraft is involved in an accident, members of the Civil Aeronautics Board or Civil Aeronautics Administration will examine the wreckage. No one will be permitted to touch or remove any part thereof, except to remove injured persons, until authorized officials arrive at the scene.

When a military aircraft has an accident, the service involved will handle the investigation. Therefore, no one will be permitted to touch or remove any part thereof, except to remove injured persons, until authorized officials arrive at the scene.

Once the safety of casino personnel is ensured and the danger of property and equipment is reduced, recovery and repair operations will receive primary consideration.

---

**SECTION 1.6 - BOMB / INCENDIARY THREATS**

---

The majority of persons calling in a 'crank' bomb or incendiary threat are not sadistic to the extent that they want to see persons injured. As a result, a bomb is not usually planted. Instead, the person is perverted to the degree where the satisfaction is achieved by observing a building being evacuated or the increased activity caused by their telephone threat. Experience also shows that at facilities where evacuation is ordered, threats are more frequent than at facilities where overt activities in response to the threat, are kept to a minimum.

**1.6.1 Evacuation**

1. The decision to evacuate will be the first concern in dealing with this type of emergency. To assist in this decision, the following general guidelines have been established:
  - a. Immediate evacuation will be accomplished when the location of the bomb is given and the time given is within minutes of detonation. The on-duty Security lead officer may make this decision if there is not a reasonable time remaining to consult with the Casino Manager (or the equivalent thereof).
  - b. Immediate evacuation will be accomplished when a suspected bomb is found and all facts point to the item actually being an explosive device.
  - c. Immediate evacuation will be accomplished when a 'bomb threat' is received and a suspected bomb or unidentifiable item is located in the threat area.
  - d. Immediate evacuation will be accomplished when an explosive device is detonated within the building or in the immediate perimeter area.
  - e. In the event that an emergency evacuation is ordered, each employee will follow his/her individual procedural policy for securing assets and equipment.
2. Evacuation may be recommended if the location and time are given and there is sufficient time to discuss the situation with the Casino Manager (or the equivalent thereof) and /or Director of Security. In this instance, the Casino Manager or the Director of Security will make the decision to evacuate the building.
3. Evacuation will not be recommended if the specific building or exact time is not identified. However, the final decision to evacuate will be made by the Casino Manager (or the equivalent thereof) or the Director of Security.
4. Any evacuation shall be done in compliance with the standard emergency evacuation orders and plans. The area to stage at will be the front upper-level parking lot. (See diagram 1.4a)

---

**SECTION 1.6 - BOMB / INCENDIARY THREATS (CONTINUED)**

---

**1.6.2 Actual Detonation With or Without Injuries**

- If a detonation occurs, immediately evacuate the building.
- There is a real possibility of a secondary explosion from gas lines or other power sources.
- If there are injuries, attempt to move all injured persons to the same safe location.

**1.6.3 Evacuation Site**

- When choosing an evacuation site for 'injured employees and/or guests', give consideration to the availability of required space for accessibility. If surveillance is operational, Security will have the clearest and quickest view for selecting an appropriate evacuation site if the front upper-level parking lot is attainable. (See diagram 1.4a)

---

**SECTION 1.7 - CIVIL DISTURBANCE**

---

**1.7.1 Security Alerted Via 2-Way Radio Communication (Nextel)**

- Dispatch officer to the scene.
- Complete 'first-responder' assessment.
- Alert off-duty responders, as needed.
- Alert appropriate Casino management personnel in accordance with Security Department procedures.
- Handle traffic/crowd control.
- Restore order.

**1.7.2 Maintenance Department Personnel**

- Provide access keys
- Secure utilities as necessary.
- Provide heating, ventilation, and air conditioning support, as necessary.
- Reset alarms.
- Post signage at emergency site to inform staff / guests.
- Restore utilities to 'normal' operating status.

**1.7.3 News and Public Information**

- Alert appropriate management personnel; consult with the Casino Manager (or the equivalent thereof).
- Alert appropriate casino department supervisors / managers.
- Provide information to news media; serve as a centralized information hub (upon approval by the Casino Manager (or the equivalent thereof)).
- Assist in aiding Casino management with telephone response messages.
- Disseminate administrative decisions regarding the emergency.
- Coordinate initial meeting of Casino Management Disaster Planning Team

---

**SECTION 1.7 – CIVIL DISTURBANCE (CONTINUED)**

---

**1.7.4 Casino Management Disaster Planning Team**

- Appointment of a spokesperson for the incident.
  - 1. Issue immediate statement acknowledging that the management is aware of the incident and is investigating (upon approval of the Casino Manager (or the equivalent thereof). More information will be released to the news media and the public as it becomes available.
- Review situation assessments and updates as they become available.
- Authorize relocation or cancellation of events, if necessary.
- Authorize relocation or closing of Casino, if necessary.

**1.7.5 Building Occupants**

- If directed to do so by the Department of Public Safety or Management, evacuate the building and move to the Emergency Meeting Area.

**NOTE:** No information shall be given to any news media without prior approval and direct consent from the Casino Manager (or the equivalent thereof).

---

**SECTION 1.8 - EARTHQUAKE PROCEDURES**

---

**1.8.1 Earthquake Procedures for Employees**

- Keep calm; do not panic.
- If inside the casino, stand against a wall away from any windows or seek shelter under a desk or table; avoid large panes of glass and stay out from under suspended light fixtures.
- If outside in the open, move at least 500 feet away from any building if possible.
- If in a vehicle, pull to the side of the road, set the hand brake, turn off the ignition and wait until the earthquake is over.
- Instruct guests and employees to stay inside of the building and to take cover under desks, tables' chairs, etc., and to cover their heads in anyway possible. Head injuries from falling objects are the most prevalent injury during an earthquake.
- All guests and employees will be evacuated from the damaged areas and from all areas within 150 feet of structural damage after an earthquake.
- Secure all doors and windows in the evacuated area to protect Casino property and personal belongings.
- Each department shall, without delay, account for all employees.
- Each department is responsible for the proper securing of all assets and property for their departments in accordance with their department's policy.

**NOTE:** After an earthquake, explosion or building collapse, sprinkle control valves should be examined carefully for damage. Great care should be taken to close only those valves necessary in order to isolate broken piping. In all cases, this decision should be made only after checking with the E.R.T. leader.

**1.8.2 Handling Injuries**

- Immediately after the earthquake is over, check for injuries.
- Render first aid where possible.
- Security will call for emergency medical services if necessary, i.e. - Fire Department, Paramedics, etc.
- If possible, move the injured to a secure outside evacuation site; the danger of a secondary quake is possible.

---

**SECTION 1.8 - EARTHQUAKE PROCEDURES (CONTINUED)**

---

**1.8.3 Electricity**

- o Do not touch or attempt to move any loose or dangling power lines.
- o Do not touch or attempt to move any loose or exposed wiring either inside or outside of the building.
- o Maintenance will secure the area with yellow tape and barricades.
- o Contact proper electric company (Southern California Edison) and gas company (The Gas Company).

---

**SECTION 1.9 – FIRE EVACUATION**

---

**1.9.1 Procedures for Employees**

- Know where the nearest fire extinguisher, the nearest fire alarm station, and the nearest two (2) exits are located.
- Warn people nearby.
- Sound the nearest alarm (Pull Station or Telephone 911)
- Know the location of your locations nearest two (2) exits (one (1) alternative).
- Evacuate the building in an orderly manner. Do not panic.
- Move away from the building to the employee staging area – front upper-level parking lot. (See diagram 1.4a)
- Fight the fire only if it is small.
- Never fight a fire between you and an exit.
- If you are on fire – Stop, drop and roll.
- If you have time, shut off equipment, secure assets, close windows and doors that do not lead to exits.

**1.9.2 If You Hear a Fire Alarm**

- If possible secure equipment, cash, cash drawers, cash registers, valuable documents, personal belongings...etc. and close windows and doors. Do not lock doors.
- Follow the evacuation route established by your department.
- Obey instructions given by Emergency Services Personnel and Security.
- Keep all exits / entrances clear at all times.
- Assist individuals with disabilities to designated evacuation area. – front upper-level parking lot. (See diagram 1.4a)
- Provide information about the fire and disabled individuals to the Fire Department.
- Meet at the Emergency Evacuation Site – front upper-level parking lot. (See diagram 1.4a)
- Do not re-enter the building until permission is given by the Fire Department.

---

**SECTION 1.9 - FIRE EVACUATION (CONTINUED)**

---

**1.9.3 If You Cannot Evacuate**

- Close the doors between you and the fire.
- If possible, call 911 and advise the Fire Department of your situation and location.
- Hang clothing or sheet from a window to alert Emergency Response Personnel.

**1.9.4 Persons Refusing to Comply with Security's Directions**

- Ensure the person has been clearly advised that they are required to evacuate the building.
- Notify Security who advises Fire Protection Officer.
- The Officer in Charge of the Emergency, at their discretion may take the appropriate action, under law, to forcibly remove said person.

---

**SECTION 2.0 - FLOODING / SECTION 2.1 - SEVERE FLOOD WARNING ISSUED**

---

**2.0.1 Procedures For Security**

1. The first consideration will be the safety of employees and guest. Move guests to a non-flooded area.
2. Turn off all electrical power in the affected area.
3. Remove moveable equipment to a non-flooded area.
4. Security will make emergency notification to the local Fire Department and other emergency response services, if necessary.
5. Security will have maintenance rope-off the flooded area and post caution signs.
6. Security will contact Maintenance to provide appropriate methods to restrict the water from spreading.
7. If the flooding is caused by a broken water line, Maintenance will turn off the water at the nearest source.

**2.1 Severe Flood Warning Issued**

Due to the casino location, a natural occurrence involving flooding from the nearby lake would provide a minimal timeline window of forty-eight hours. In the event that a 'severe flood warning' is issued the following evacuation determination will be as follows:

1. General Manager will provide an exact determination as to when and if the facility will be closed and evacuated.
2. Casino Manager will determine what vital equipment and/or property will be relocated to a safe area.
3. Maintenance will secure all utilities upon evacuation.

---

**SECTION 2.2 – FLOOD RECOVERY PROCEDURES**

---

**2.2.1 Recovery Plan Procedures**

1. Maintenance will check the fire protection system once the waters recede. The following actions should be completed:
  - a. Test all sprinkler control valves for the open position and for physical damage. If valves are found closed, check for broken or disconnected piping before reopening.
  - b. Remove mud and water from valve pits.
  - c. Check all fire protection system circuits for integrity.
2. Maintenance will assess main electrical status and contact our primary electrical service contractor.
3. Casino Manager (or the equivalent thereof) shall make the determination for additional recovery and clean-up resources as needed.
4. Once floodwaters recede evaluation of damages will be the first priority.

---

**SECTION 2.3 – HAZARDOUS MATERIALS EVENTS**

---

**2.3.1 Procedures for Security**

1. Evacuate all persons from the 'spill area' without delay. Security will then make emergency notification telephone calls to the local Fire Department and other emergency response services. The amount of time and distance away from the 'spill area' will be determined by the information and instructions given to you by the Fire Departments '911' telephone call.
2. Stay upwind and uphill from 'spill area'.
3. Isolate the 'spill area' and do not permit entry into the cordoned off area except for emergency personnel.
4. Based on the type and amount of the spill, it may be necessary to fully evacuate all casino property. This decision will be made by Security and the Casino Manager (or the equivalent thereof).
5. Keep in mind that the order to totally evacuate the property may come from an 'outside' source such as the Police and/or Sheriff's Department, Fire Department, in the event a toxic spill occurs nearby 'off' casino property.

**2.3.2 Evacuation**

1. It is highly unlikely that a full evacuation from the casino property would become necessary. Most likely, an evacuation would involve moving employees and guests from one section of the casino to another.
2. If a full evacuation becomes necessary, the Emergency Evaluation Plan will be followed.
3. If a partial evacuation is necessary, Security and the Casino Manager (or the equivalent thereof) will make the relocation decisions.

---

**SECTION 2.4 - MOBILITY IMPAIRED PERSONS**

---

**2.4.1 Mobility Impaired Persons**

1. Employees suffering from mobility impairment or other impairment that would impact on the safe and speedy evacuation have an obligation to communicate the nature of the impairment to their manager/supervisor prior to any event that may require the impaired employee's evacuation.
2. On the sounding of the ALERT ALARM, impaired employees should be advised of the alarm by co-workers who have been selected to assist impaired employees when an evacuation is ordered.
3. The procedures for helping employees should be discussed by the manager and supervisor with the individual concerned prior to any event requiring the impaired person's evacuation.
4. The Impaired employee should be guided to a pre-arranged evacuation point designated by the manager/supervisor of that department.
5. Evacuation of guests that have impaired mobility will have priority.

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**SECTION 2.5 – MEDICAL AIDS**

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**2.5.1 Medical Aids**

1. Notify Security immediately.
2. Try and assist employee/guest if possible.
3. Security will notify '911' for Fire Department / Ambulance service, if requested.
4. If person is not breathing or is pulse-less, begin 'CPR' / Basic Life Support (qualified personnel only).
5. Administer Automatic External Defibrillator 'AED' if necessary (qualified personnel only).
6. Apply 'Basic First Aid' measures if necessary.
7. Turn medical scene over to qualified medical personnel if / when asked to do so.
8. Fill out medical sheet / incident report
9. For employee medical aids, Security must notify casino Human Resources Department.

---

## SECTION 2.6 - POWER OUTAGE

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A major power outage can occur at any time. However, the most hazardous time is in the evening hours, due to the complete lack of natural lighting. A major power outage significantly affects the casino's ability to function. A major power outage may not, in itself, be destructive but a possible resulting panic and/or fire could endanger life and property.

This type of emergency can be caused by any manmade or natural disaster. In dealing with a major power outage emergency, there are varying degrees of severity. Therefore, the immediate evaluation of the situation must be accurate and based on the most responsible information available.

### 2.6.1 General Responsibilities

1. Security Officers and all casino employees at the scene will take immediate steps to safeguard the lives of guests, employees and casino assets. Each department has specific procedures for responsibilities during a power outage, containing procedures for employees.
2. As equipment and/or manpower are moving to the scene, an accurate appraisal of the situation is necessary to determine where the equipment and/or manpower are most needed.
3. Factors to consider:
  - a. How extensive is the outage?
  - b. What specific areas are affected?
  - c. Employees and guests must remain calm and follow issued instructions.
  - d. Guests should be asked to remain at their location to prevent unnecessary injuries
  - e. In all cases where employee and guests are permitted to remain in the building, Security will make periodic situation checks and inform casino personnel of any unusual incidents.
  - f. Once the safety and welfare of employees/guests are ensured and the danger to property and equipment is reduced, recovery and repair operations will receive primary consideration.

---

**SECTION 2.7 - ROBBERY / SHOOTING**

---

**2.7.1 Robbery**

- Cooperate with subject (DO NOT IRRITATE PERSON)
- Give the robber(s) anything that they request. If they get what they came for, they are more likely to walk away without harming anyone.
- Remove guests / employees to a safe area.
- Brief clothing description of suspect(s) and direction of travel.
- Notify Security immediately.
- Security notifies '911'
- Pay close attention to any request that was made to you.
- Stay calm and professional. If you panic, chances are they will panic too.
- Do not make any sudden movements that may startle the robber(s).
- Remember; do not try to stop the robber in anyway.
- If it can be done safely, attempt to get a good look at the suspect(s).
- Notice any characteristics that may be helpful later in identifying the robber(s) (eyes, hair or skin color, any scars or tattoos, clothing, etc.)

**2.7.2 For Cash handling Employees (Cash Cages, Chip Runners, etc.)**

- Do not be afraid to give the money to the robber(s). Remember - give them anything they request.
- When the robber(s) have moved safely away from your area, immediately write down details about the person to the best of your memory.
- Remember not to jeopardize your safety or the safety of those around you by attempting to do this at a time that would panic the robber(s).
- It is best not to ask others for assistance in describing him/her. Each employee witnessing the robbery should write a description.
- As soon as the robber(s) walk away, call security on the phone and advise them of what direction the suspect(s) headed. Do not pick up the phone until the individuals have left the area. Again, this may cause them to panic and cause harm to someone.

---

**SECTION 2.7 - ROBBERY / SHOOTING (CONTINUED)**

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**2.7.3 Shooting**

- Safeguarding lives is paramount.
- Contact Security immediately.
- Security notifies '911'.
- Security contacts the Casino Manager (or the equivalent thereof).
- Remove guests / employees to a safe area.
- Evacuation of the casino is probable.
- Location of the incident and is suspect still shooting.
- Condition of victim(s) and/or number of victim(s).
- Brief clothing description of suspect(s), vehicle description and direction of shots fire, etc.
- Type of weapons (handgun, rifle, etc.).
- Direction of travel or location of suspect.

---

**SECTION 2.8 - CHEMICAL / BIOLOGICAL INCIDENTS**

---

**2.8.1 First Primary Concern****1. Scene Safety and Security**

When dealing with any potential terrorist attack, past experience has taught that the first necessary task is to secure the area and ascertain the nature and severity of the threat. Be prepared for offenders who may have perpetrated a secondary device that will be targeted at emergency responders or an armed secondary assault, in an attempt to harm or kill rescuers and disrupt emergency operations.

In most cases, both a primary and a secondary secured perimeter must be established. A thorough search of these perimeters must be a priority at the onset of the incident. In the event of a biological or chemical release, a large downwind area (to be determined at the time of incident) may also need to be rapidly secured and evacuated in order to minimize casualties.

**2.8.2 Second Primary Concern****1. Identification of Agent**

As in the case of most common industrial hazardous-materials accidents, the first priority in the management of the incident involves ascertaining the identity and physical properties of the substance that has been released. It is only after the product identity can be ascertained that an effective outer perimeter can be established, treatment plans made and environmental preservation precautions taken.

**NOTE:** Refer to Section 1.6 - Bomb / Incendiary Threats for additional guidelines.

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**SECTION 2.9 – WEAPONS OF MASS DESTRUCTION**

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**2.9.1 Anonymous Caller Indicating a WMD Threat (including Anthrax)**

1. Contact Security immediately.
2. Security will contact the Casino Manager (or the equivalent thereof).
3. Evacuate if requested to do so by Management personnel.
4. Evacuation site will be announced.
5. Attention should be focused on items foreign to the surroundings.

**2.9.2 Potential WMD Device Located**

1. Contact Security immediately.
2. Security will contact the Casino Manager (or the equivalent thereof).
3. Evacuate area immediately.
4. Evacuation site will be announced.
5. Security will notify local authorities ('911', Law Enforcement, Fire Department, etc.).

**2.9.3 Specific Situations**

1. Contact Security immediately.
2. Security will contact the Casino Manager (or the equivalent thereof).
3. Evacuate area immediately.
4. Evacuation site will be announced.
5. Security will notify local authorities ('911', Law Enforcement, Fire Department, etc.) and local hospital / medical clinic services.

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**SECTION 3.0 - UTILITY SHUTOFFS**

---

**3.0.1 Main Casino**

- Electrical – location: Interior kitchen area, separate room labeled 'Kitchen Electrical Room'. Main breaker switch for casino, kitchen and hotel areas.
- Natural Gas – location: Exterior kitchen area, North wall, approximately 10' – 15' West of kitchen rear entrance, adjacent to dining room patio area.
- Water – location: Exterior, above ground steel-protected. Open cage on the Malaga Road main entrance, approximately 10' from driveway entrance. Dual main valve shutoffs, left – casino/kitchen areas, right – hotel area.

**3.0.2 Restaurant Area**

- Electrical – location: same as above
- Natural Gas – location: : same as above
- Water – location: : same as above

**3.0.3 Hotel Area**

- Electrical – location: : same as above
- Natural Gas – location: : same as above
- Water – location: : same as above

Lake Elsinore Casino Evacuation Plan  
ACKNOWLEDGEMENT

I \_\_\_\_\_ have participated and completed the Lake Elsinore Casino Emergency Evacuation Plan Training.

I have received a LER Evacuation Plan Handbook and went thru the PowerPoint presented to me. For the purpose of ensuring the physical safety of guests and employees, and any other person while in the gambling establishment, I have received training in the following areas (below) relating to Evacuating Procedures

- (1) Fires
- (2) Earthquakes, Floods and other Natural Disasters
- (3) Bomb Threats
- (4) Hazardous Spills or Toxic Exposure
- (5) Criminal Incidents
- (6) Other Critical Incidents
- (7) Provisions for first aid and for obtaining emergency medical assistance for guests, employees, and other persons while in the gambling establishment.

\_\_\_\_\_  
Date

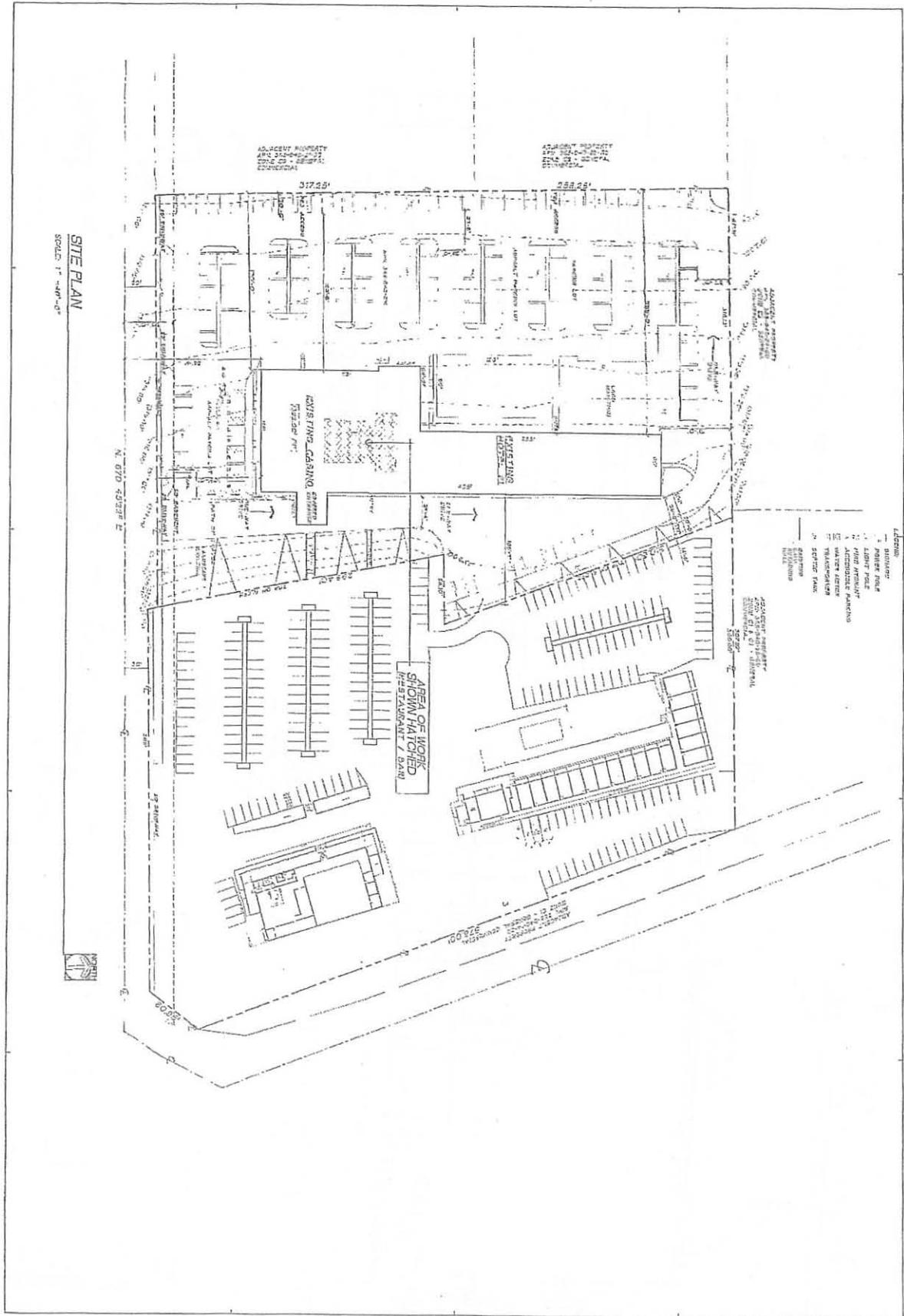
\_\_\_\_\_  
Employee

Training Coordinated and Completed By: David Pachon / Human Resources Director

Signed: \_\_\_\_\_

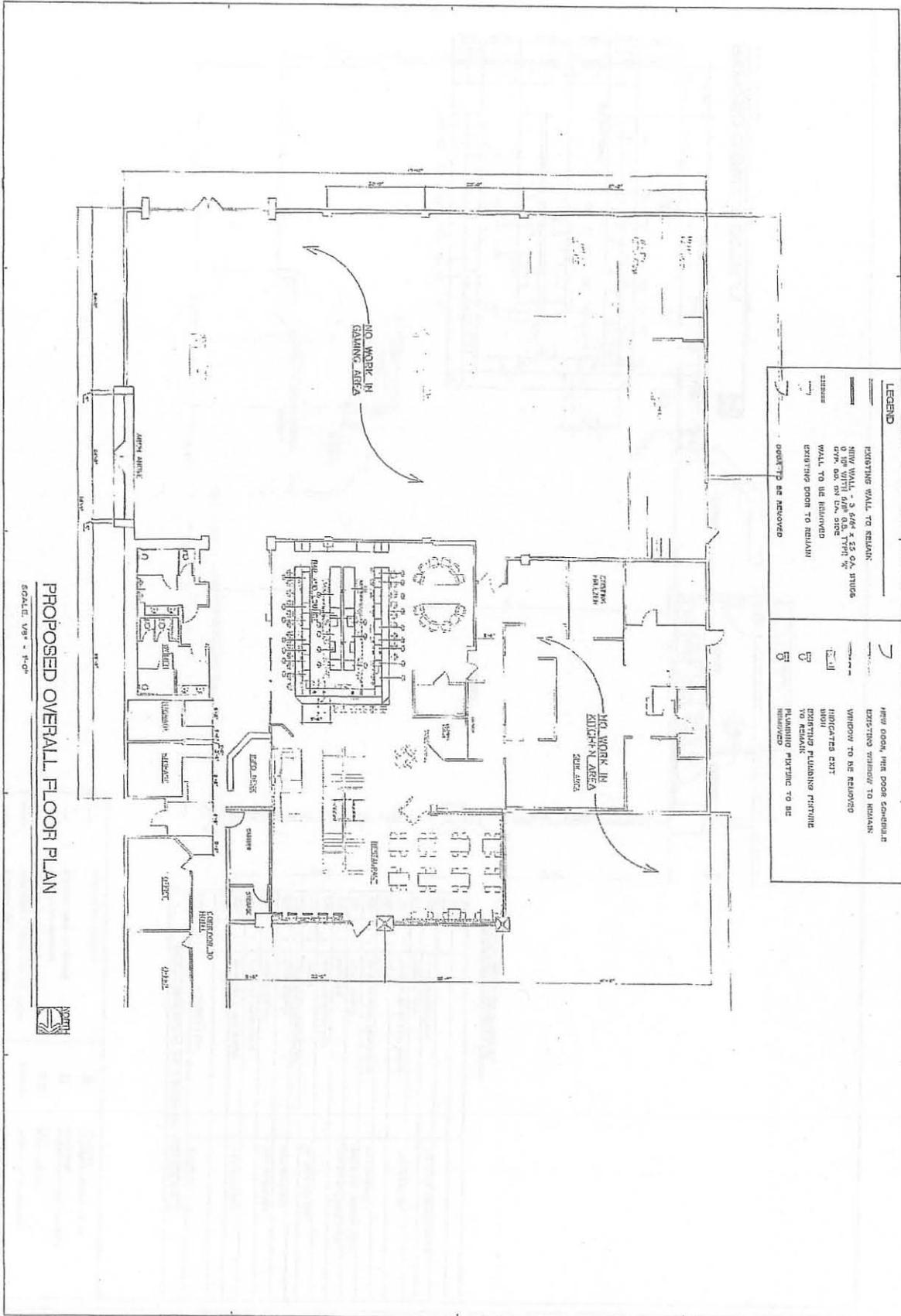
Date: \_\_\_\_\_





**SITE PLAN**  
SCALE: 1" = 40'-0"

|                 |   |  |  |
|-----------------|---|--|--|
| <p><b>A</b></p> | <p>DATE: 03/16/07</p> <p>SCALE: 1" = 40'-0"</p> <p>PROJECT: INTERIOR REMODEL LAKE EL SINORE CASINO</p> <p>CLIENT: JWDA</p> <p>DESIGNER: [Name]</p>  | <p><b>JWDA</b></p> <p>ARCHITECTS</p> <p>4000 THE GREAT LAKES</p> | <p>PROJECT NAME: INTERIOR REMODEL LAKE EL SINORE CASINO</p> <p>DATE: 03/16/07</p> <p>SCALE: 1" = 40'-0"</p> <p>PROJECT: INTERIOR REMODEL LAKE EL SINORE CASINO</p> <p>CLIENT: JWDA</p> <p>DESIGNER: [Name]</p> |
|                 | <p>ADDITIONAL NOTES:</p> <p>1. ALL DIMENSIONS ARE IN FEET AND INCHES.</p> <p>2. ALL DIMENSIONS ARE TO FACE UNLESS OTHERWISE NOTED.</p> <p>3. ALL DIMENSIONS ARE TO CENTERLINE UNLESS OTHERWISE NOTED.</p> <p>4. ALL DIMENSIONS ARE TO CENTERLINE UNLESS OTHERWISE NOTED.</p> <p>5. ALL DIMENSIONS ARE TO CENTERLINE UNLESS OTHERWISE NOTED.</p> <p>6. ALL DIMENSIONS ARE TO CENTERLINE UNLESS OTHERWISE NOTED.</p> <p>7. ALL DIMENSIONS ARE TO CENTERLINE UNLESS OTHERWISE NOTED.</p> <p>8. ALL DIMENSIONS ARE TO CENTERLINE UNLESS OTHERWISE NOTED.</p> <p>9. ALL DIMENSIONS ARE TO CENTERLINE UNLESS OTHERWISE NOTED.</p> <p>10. ALL DIMENSIONS ARE TO CENTERLINE UNLESS OTHERWISE NOTED.</p> |  |  |



PROPOSED OVERALL FLOOR PLAN  
SCALE: 1/8" = 1'-0"

**LEGEND**

EXISTING WALL TO REMAIN  
 NEW WALL - 3/4" x 2" x 8" G.C. STUDS  
 0" UP WITH 5/8" G.O. TYPE "X"  
 0" UP, 0.0, OR 0.5, 0.025  
 WALL TO BE RELINDED  
 EXISTING PRIOR TO REINLAY  
 DOOR TO BE REMOVED

NEW DOOR, FIRE DOOR SCHEDULE  
 EXISTING WINDOW TO REMAIN  
 WINDOW TO BE REMOVED  
 INDICATES EXIT  
 SHOW  
 EXISTING PLUMBING FIXTURE  
 TO REMAIN  
 REMOVED PLUMBING TO BE  
 REMOVED

A-2

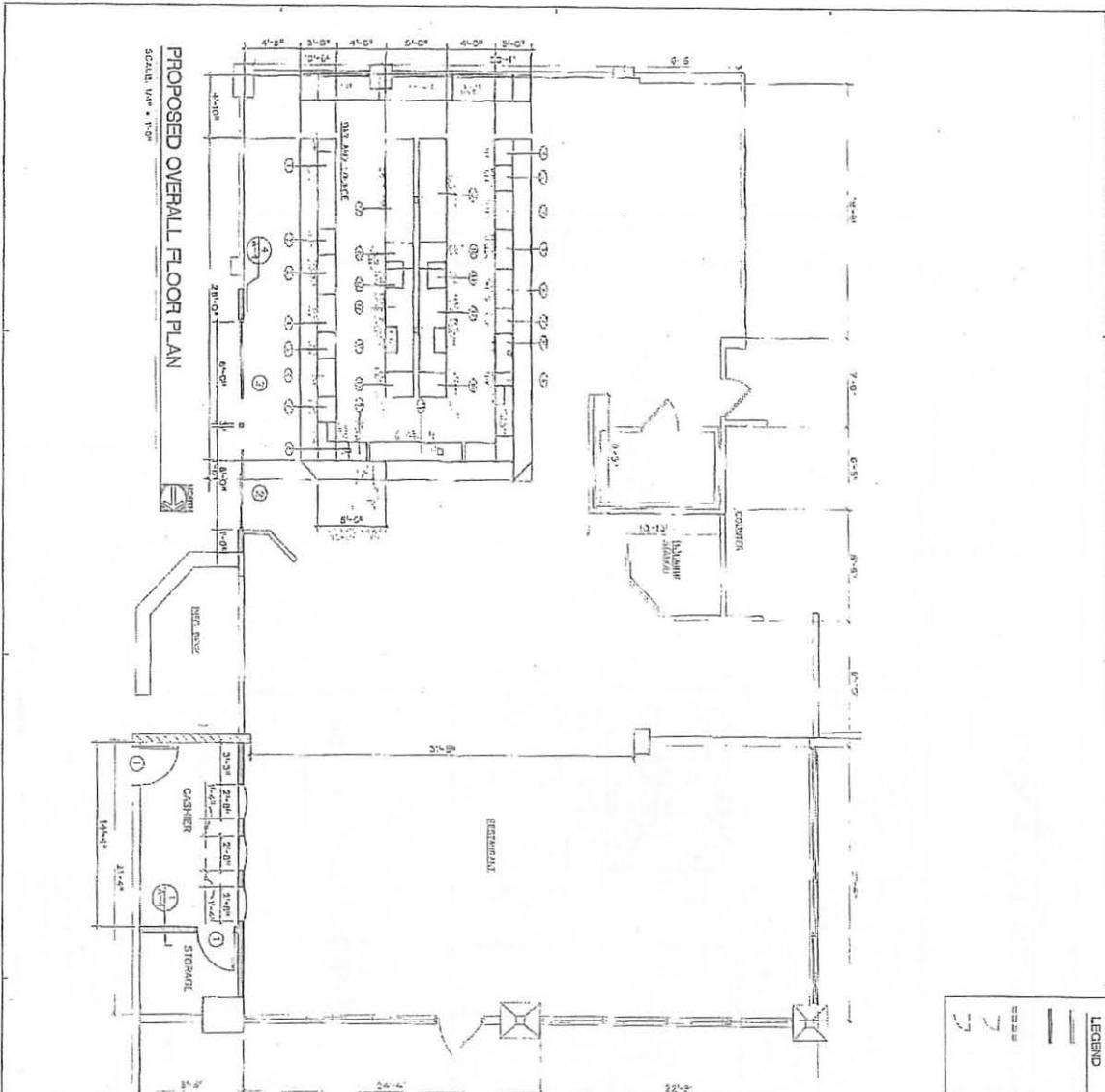
PROPOSED OVERALL FLOOR

JWDA  
 PROJECT: INTERIOR REMODEL LAKE ELSINORE CASINO

POWER WALLS

INTERIOR REMODEL  
 LAKE ELSINORE  
 CASINO

DATE



PROPOSED OVERALL FLOOR PLAN  
SCALE 1/4" = 1'-0"

**LEGEND**

|  |   |  |                                      |
|--|---|--|--------------------------------------|
|  | EXISTING WALL TO REMAIN   |  | NEW WINDOW PER DOOR SCHEDULE         |
|  | NEW WALL - 3/4" GIBBON G.I. STUDS<br>9" W/ 1/2" G.I. TYPICAL JOINT<br>G.I. JOINTS, 100% G.I. JOINTS |  | EXISTING WINDOW TO REMAIN            |
|  | WALL TO BE REMOVED  |  | EXISTING RETAINING FIXTURE TO REMAIN |
|  | EXISTING DOOR TO REMAIN   |  | RETAINING FIXTURE TO BE REMOVED      |
|  | DOOR TO BE REMOVED  |  |                                      |

**FOODSERVICE EQUIPMENT SCHEDULE**

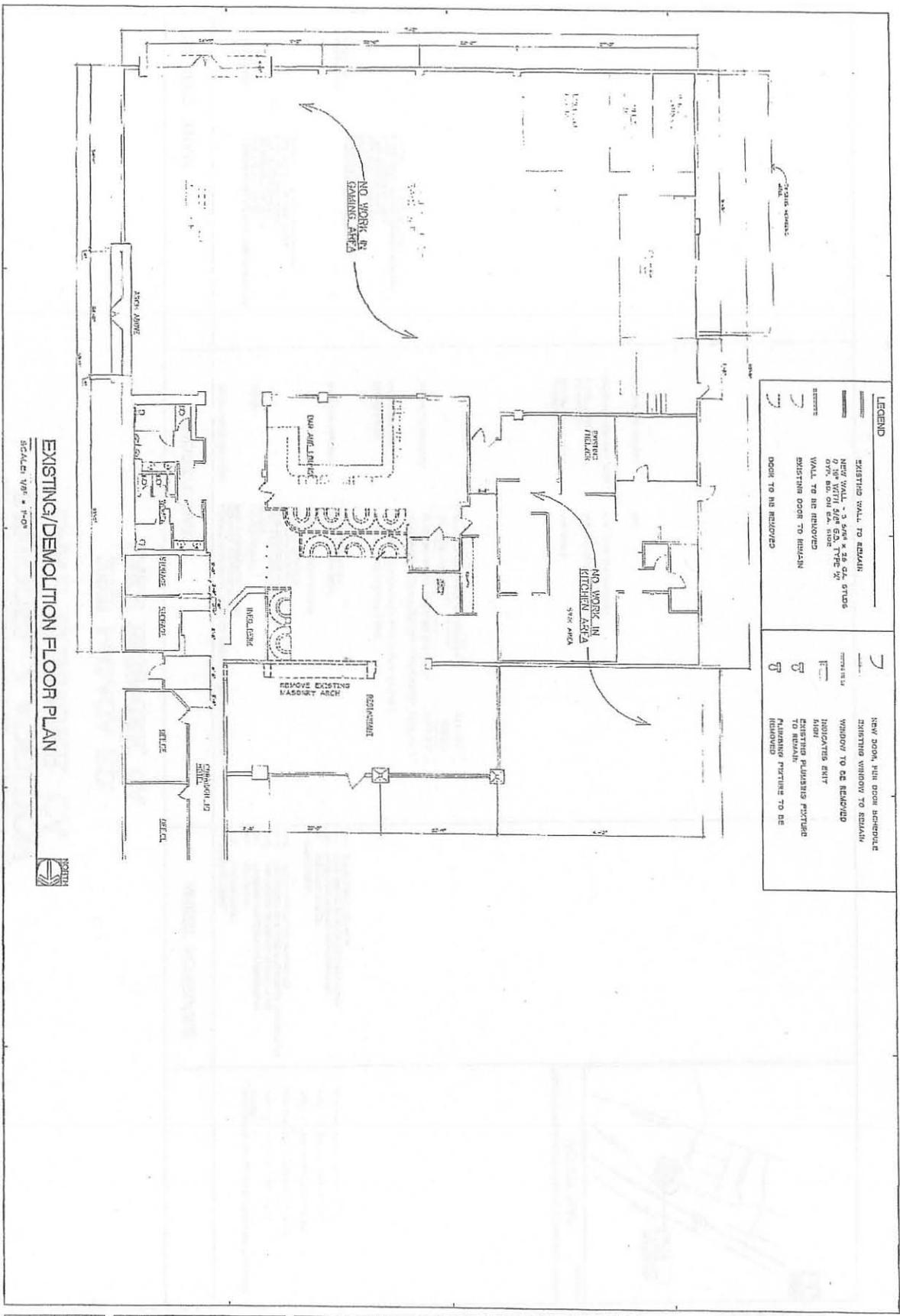
| MARK | QTY | DESCRIPTION                 | REMARKS      |
|------|-----|-----------------------------|--------------|
| 1    | 1   | STAINLESS 3 BURN GAS COOKER | SEE COMMENTS |
| 2    | 1   | STAINLESS STEEL TABLE       |              |
| 3    | 1   | REFRIGERATOR                | SEE COMMENTS |
| 4    | 1   | WARMER                      | SEE COMMENTS |
| 5    | 1   | UNDER SINK DRAIN PUMP       | SEE COMMENTS |
| 6    | 1   | UNDER SINK DRAIN PUMP       | SEE COMMENTS |
| 7    | 1   | UNDER SINK DRAIN PUMP       | SEE COMMENTS |
| 8    | 1   | UNDER SINK DRAIN PUMP       | SEE COMMENTS |
| 9    | 1   | UNDER SINK DRAIN PUMP       | SEE COMMENTS |
| 10   | 1   | UNDER SINK DRAIN PUMP       | SEE COMMENTS |

**JWDA**  
ARCHITECTS

**INTERIOR REMODEL  
LAKE ELSNORE  
CASINO**

**PROPOSED FLOOR PLAN**

**A-3**



EXISTING/DEMOLITION FLOOR PLAN  
SCALE: 1/8" = 1'-0"

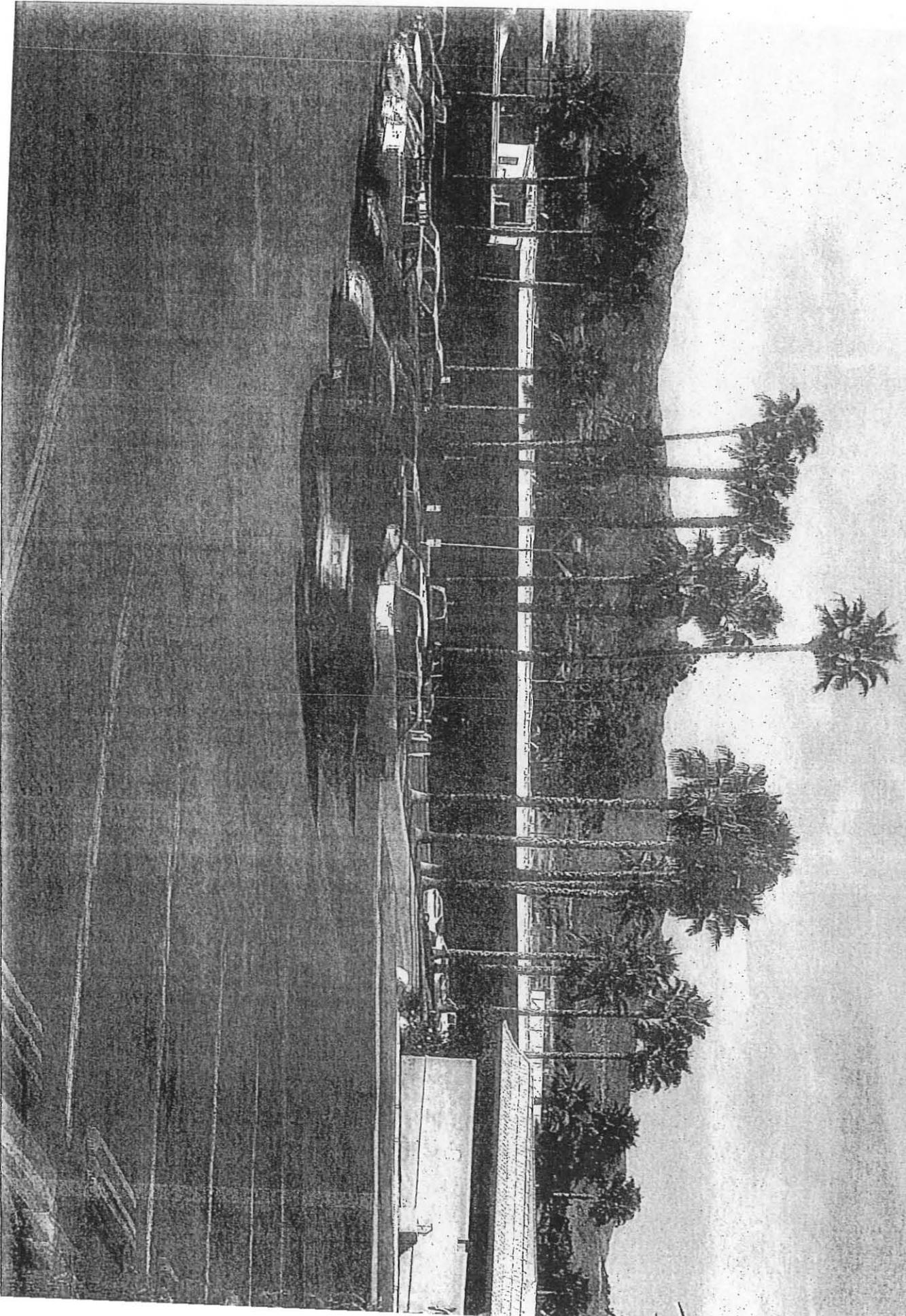
|                   |  |  |   |
|-------------------|--|--|---|
| <p><b>D-1</b></p> | <p>DATE: 2016-01-14</p> <p>DRAWN BY: JAS. NOTER</p> <p>CHECKED BY: JAS. NOTER</p> <p>SCALE: 1/8" = 1'-0"</p> | <p><b>JWDA</b></p> <p>ARCHITECTURAL DESIGN</p> <p>1010 Fourth Street</p> <p>San Diego, California 92101-2500</p> | <p><b>INTERIOR REMODEL</b></p> <p><b>LAKE ELSINORE CASINO</b></p> <p><b>LAKE ELSINORE</b></p> |
|                   | <p>EXISTING/DEMOLITION FLOOR PLAN</p>  | <p>PROJECT NO. 16-001</p>  | <p>DATE: 2016-01-14</p>   |

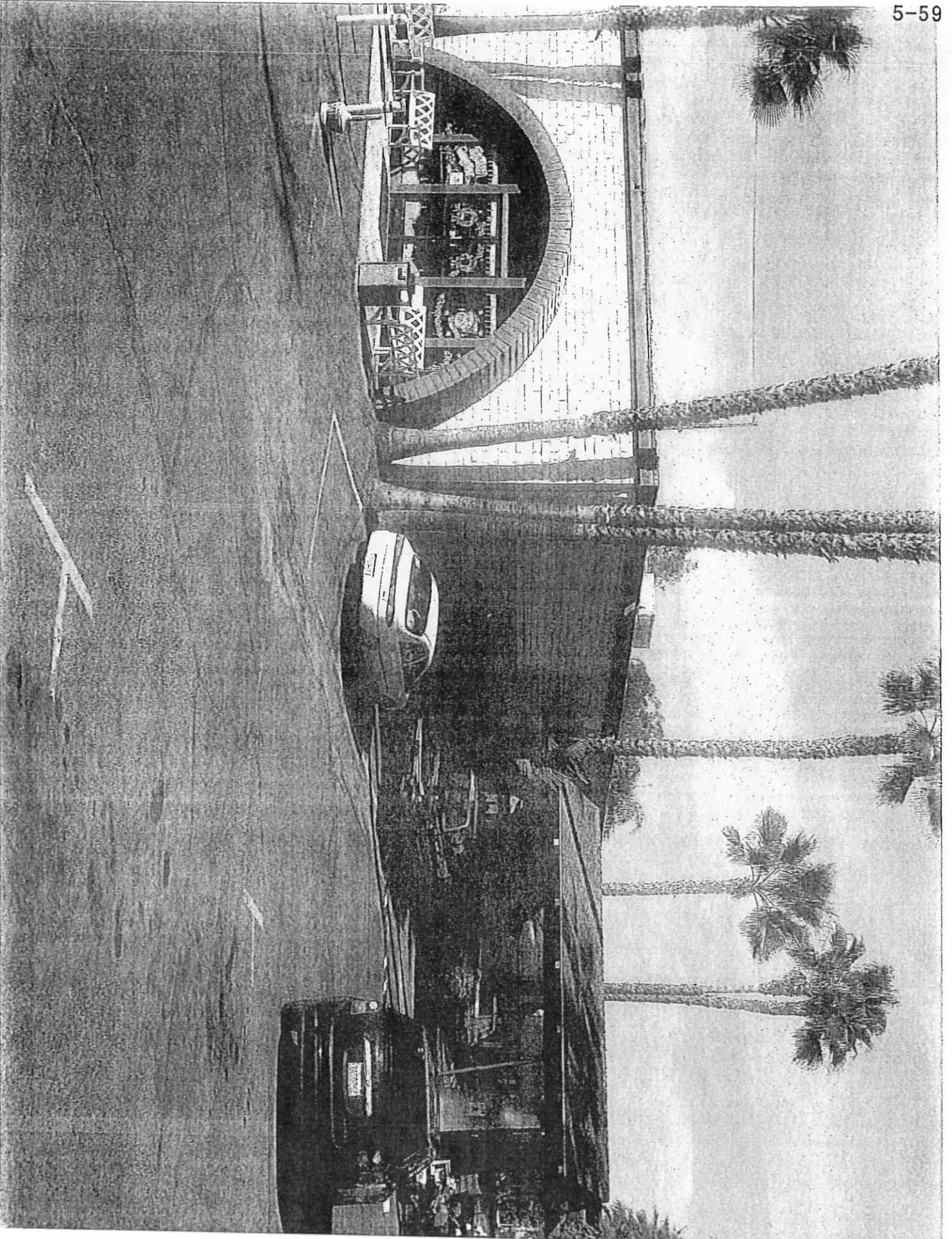
# LAKE ELSINORE CASINO REMODEL / ADDITION LAKE ELSINORE, CA

20930 MALAGA RD.  
LAKE ELSINORE, CA

| PROJECT TEAM  | PROJECT DATA   | SHEET SCHEDULE   | VICINITY MAP  |
|---|--|--|---|
| <p><b>ARCHITECT:</b><br/>LARRY VERA DESIGN ASSOCIATES, INC.<br/>2500 11th Avenue<br/>San Diego, CA 92101<br/>TEL: (619) 591-9241<br/>FAX: (619) 591-9241<br/>CONTRACT: TORRES VERA<br/>Contract: lvs@lvsdesign.com</p> <p><b>STRUCTURAL ENGINEER:</b><br/>LLOYD &amp; BARNHART<br/>10000 Camino del Rio North<br/>Suite 100<br/>San Diego, CA 92108<br/>TEL: (619) 591-9241<br/>FAX: (619) 591-9241<br/>CONTRACT: lvs@lvsdesign.com</p> | <p><b>DESCRIPTION OF WORK:</b><br/>REMOVE PORTION OF WALL TO CREATE A HIDDEN OPENING AND CASINIER (FIGURE 3 (A))</p> <p><b>OWNER:</b><br/>LAKE ELSINORE CASINO<br/>20930 MALAGA ROAD<br/>LAKE ELSINORE, CA 92526<br/>TEL: (951) 674-3160<br/>FAX: (951) 674-4011<br/>CONTRACT: GREEN BARTON</p> <p><b>PROJECT ADDRESS:</b><br/>20930 MALAGA ROAD<br/>LAKE ELSINORE, CA</p> <p><b>PHOTIC USE:</b><br/>CASINO / RESTAURANT / BAR<br/>(NO CHANGES)</p> <p><b>PROPOSED USE:</b><br/>RESTAURANT / BAR / EXISTING FLOOR AREA - 3914 S.F.<br/>BAR/RESTAURANT AREA ON REMODEL - 2144 S.F.<br/>CASINIER AREA - 163 S.F.<br/>TOTAL FLOOR AREA, OR REMODEL - 2144 S.F.</p> <p><b>AREAS OF REMODEL:</b><br/>CASINO / RESTAURANT / BAR (NO CHANGES)<br/>RESTAURANT / BAR / EXISTING FLOOR AREA - 3914 S.F.<br/>BAR/RESTAURANT AREA ON REMODEL - 2144 S.F.<br/>CASINIER AREA - 163 S.F.<br/>TOTAL FLOOR AREA, OR REMODEL - 2144 S.F.</p> | <p><b>STRUCTURAL COVER SHEET</b></p> <p>05 SITE PLAN<br/>06 PROPOSED GENERAL FLOOR PLAN<br/>07 EXISTING BAR AND RESTAURANT FLOOR PLAN<br/>08 DOOR AND FINISH SCHEDULES</p> <p><b>STRUCTURAL NOTES:</b><br/>1. MAIN BUILDING'S FOUNDATION PLAN<br/>2. MAIN BUILDING'S ROOF FRAMING PLAN<br/>3. STRUCTURAL DETAILS</p> | <p style="text-align: center;">VICINITY MAP</p>  <p style="text-align: center;">1/2" SCALE</p> |

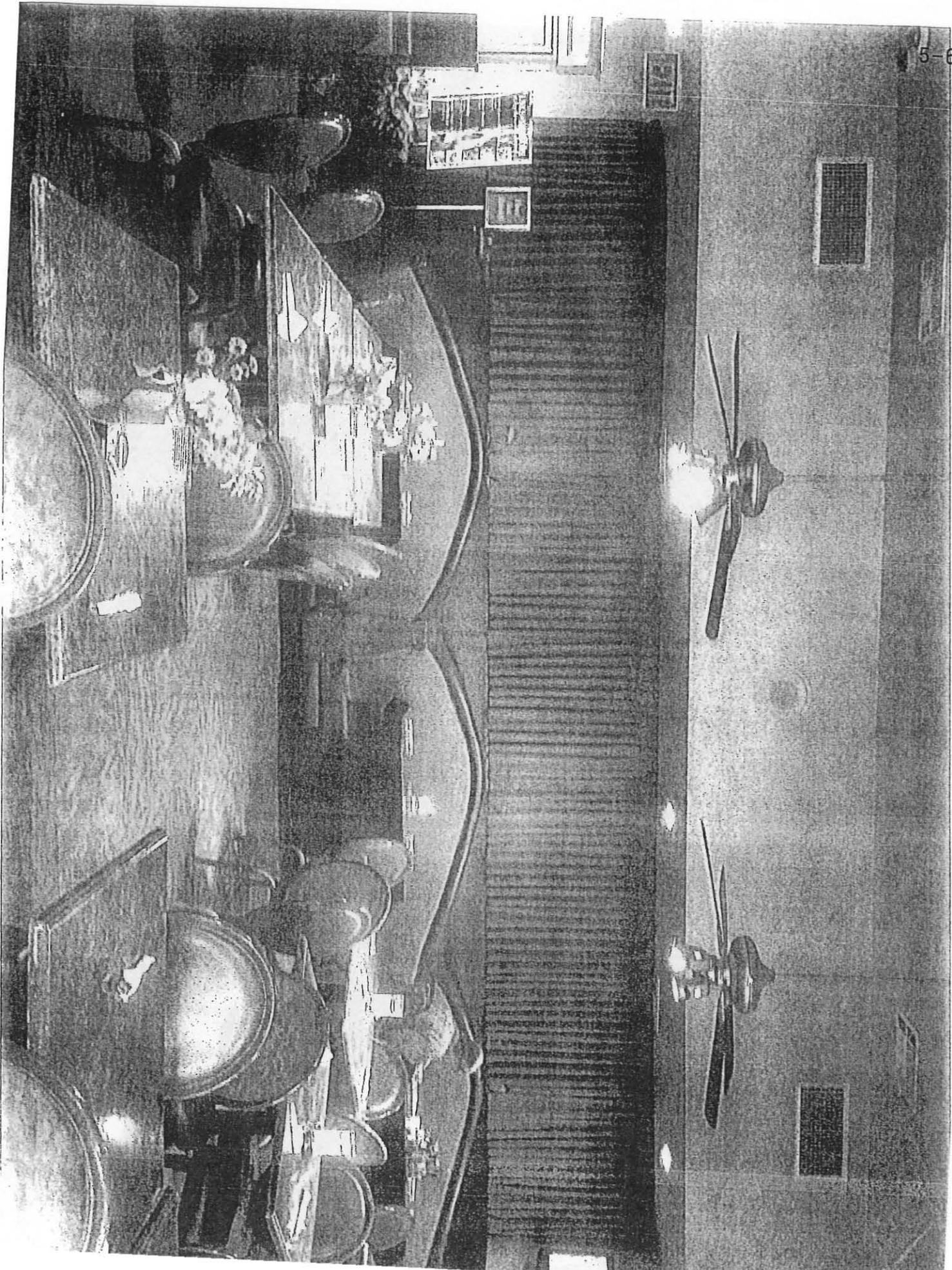
|  |   |   |
|--|---|---|
| <p><b>JWDA</b></p> <p style="font-size: small;">JWDA - The Survey Authority<br/>1001 North Street<br/>San Diego, California 92101-1022</p> | <p><b>INTERIOR REMODEL<br/>LAKE ELSINORE<br/>CASINO</b></p> | <p><b>COVER SHEET<br/>PROJECT DATA,<br/>VICINITY MAP</b></p> <p>DATE: 2018-01-14<br/>DRAWN BY: [Name]<br/>CHECKED BY: [Name]<br/>SCALE: AS SHOWN</p> <p style="font-size: x-large; font-weight: bold;">C8</p> |
|--|---|---|

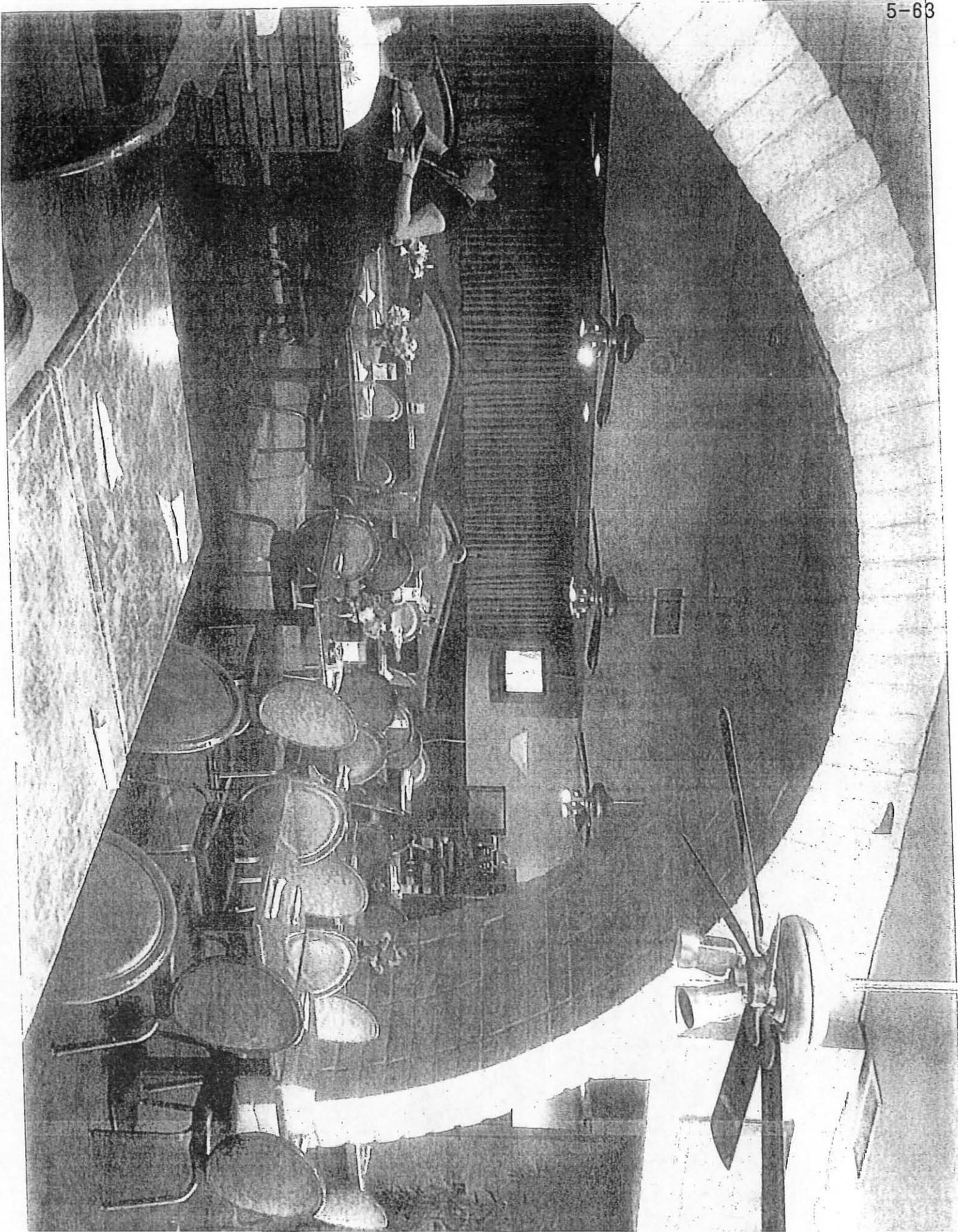














# 4JAXX

## RESTAURANT

### BLUE PLATE SPECIALS

11AM - 6PM \$8 each

**Mon - FRIED CHICKEN DINNER**

*Fried chicken served with mashed potatoes, country gravy, vegetables and a roll*

**Tue - TACO PLATTER**

*Three beef or chicken tacos with salsa, rice and beans*

**Wed - GARLIC CRUSTED PORK STEAK**

*Garlic crusted pork steak served with mashed potatoes, country gravy, vegetables and roll*

**Thur - CHICKEN AND DUMPLINGS**

*Good ol' chicken and dumplings*

**Fri - BAKED WHITE FISH**

*Baked white fish served with rice pilaf, vegetables and roll*

**Sat - "THE PILE UP" BEEF SIRLOIN CHOPPED STEAK**

*Chopped steak piled high with sautéed onions and mushrooms served with mashed potatoes, vegetables and a roll*

**Sun - MEATBALL SUBMARINE SANDWICH**

*Hoagie roll stuffed with beef meatballs, marinara sauce, mozzarella cheese, roasted garlic and served with steak fries*

*NEW-Every Sunday in November starting November 8th*

### BOTTOMLESS SUNDAY CHAMPAGNE BRUNCH - 8AM TO 1PM

*New items include: roasted turkey, baked ham, chicken pot pie, meatloaf, lobster mac-n-cheese, fresh desserts, and more. Classic items include: prime rib, crab legs, and a full omelet and salad bar.*

*Includes bottomless champagne, mimosas or coffee or iced tea*

*\$15.95 adults - \$9.00 kids ages 5 and under FREE*

### 4JAXX LOUNGE

*Ask your server for a cocktail, glass of wine or ice cold draft beer.*

**LOUNGE HOURS**  
8AM TO 2AM

**\$1.50 TACOS**  
Mon & Tue 1pm-6pm  
Chicken, Pork or Beef  
add rice & beans - \$2.00

**HAPPY HOUR**  
3PM TO 6PM  
\$3.75 Wells  
\$3.25 Domestic Bottles  
\$3 Drafts

4 JAXX BBQ Served lunch & dinner

## BREAKFAST

Served anytime

### CASINO STEAK & EGGS

Choice cut 6oz. choice Top Sirloin steak prepared to perfection with two eggs, toast or biscuit and gravy and one (1) breakfast side. Our most popular breakfast! \$10.95

## 4 JAXX FAVORITES

### HOT SHOT \$7

Three (3) eggs, potatoes, toast or biscuit & gravy and coffee

### THE SKILLET \$8

Eggs, ham, bell pepper, and onion with home fries and toast

### ROYAL FLUSH

Scrambled eggs on top of a grilled english muffin, with avocado, tomato, bacon, and hollandaise sauce, served with one (1) breakfast side \$8

### 2x2x2

Two eggs and two sausage links or bacon slices with pancakes, Belgian waffle or French toast \$6  
Sausage Patty \$7

### EGGS BENEDICT

Grilled ham and poached eggs on top of a grilled english muffin and topped with hollandaise, served with (1) breakfast side \$8

### COUNTRY FRIED STEAK & EGGS

Topped with country gravy and served with two eggs, one (1) breakfast side, and toast or biscuit and gravy \$8

### SUNRISE BREAKFAST BAGEL

Bagel with cream cheese, tomato, red onion, avocado and lemon pepper \$6

### 2 EGGS & MEAT

Two eggs with choice of two sausage links or bacon slices, one breakfast side, toast or biscuit and gravy \$6  
Sausage Patty or Ham Steak \$7  
Louisiana Sausage \$8

### BREAKFAST BURRITO

Egg, sausage, bacon, potatoes and 3-cheese blend, sour cream, salsa and served dry or wet with our famous baja sauce \$8

### CORNEBEEF & EGGS

Served with breakfast potatoes and toast or biscuit and gravy \$8

### AVOCADO CRAB SCRAMBLE

Scrambled eggs with avocado, crab, and hollandaise sauce, served with one (1) breakfast side and toast or biscuit and gravy \$8

## OMELETS \$9

Served with toast or biscuit and gravy, and one (1) breakfast side.

**VEGGIE** - Fresh pepper blend, tomato, mushrooms and broccoli

**3 CHEESE** - Cheddar, monterey jack & mozzarella, for true cheese lovers

**HAM 'N CHEESE** - A traditional favorite

**DENVER** - Diced bell pepper, ham & onion

**COUNTRY** - Filled with bacon, sausage, onion, tomato, & cheese

**BACON & AVOCADO** - Simply Delicious

## TRADITIONAL FAVORITES

FRENCH TOAST, PANCAKES OR BELGIAN WAFFLE - \$6 - add two sausage links \$2

OATMEAL OR CREAM OF WHEAT - Served with toast \$5

SEASONAL FRUIT PLATE - Seasonal fresh fruit, served with yogurt and granola \$8

FRESH FRUIT PARFAIT - Yogurt, granola and fresh berries \$5

## BREAKFAST SIDES

Hash Browns, Homes Fries, Tater Tots, Steamed Rice, Sliced Tomatoes, Fruit Cup, Cottage Cheese

## ADDITIONAL SIDES

One Egg, Banana \$1

Sliced Toast (2) pieces, Whole Fried Jalapeños (2) \$2

Three Slices Bacon or Two Sausage Patties, Hot Louisiana Sausage, Hash Browns, Cottage Fries, Seasonal Fresh Fruit \$3

Apple Juice \$2.25 / \$3

Orange Juice \$2.25 / \$3

Cranberry Juice \$2.25 / \$3

## BEVERAGES

Coffee or Tea \$2 Free Refills

Hot Chocolate \$2

Milk \$2 / \$3

## STARTERS

Served anytime

### BLACK & BLEU SLIDERS

Two beef patties with bacon, bleu cheese and american cheese on a hawaiian roll with Maui onions \$8

### SOUTHWEST EGG ROLLS

Chicken, black beans, peppers, three different cheeses and ranchero sauce, made fresh daily \$9

### MAC 'N' CHEESE AU GRATIN

Ziti pasta simmered in our four-cheese sauce, with au gratin topping and baked to perfection \$8

### TEMPURA SHRIMP

Ten tempura shrimp lightly battered and delicately fried \$8

### TRI-TIP FLATBREAD

Fresh tri-tip, pepper jack cheese, and garlic grilled onions in a grilled flatbread with chipotle sauce \$9

### 4 JAXX SAMPLER

Two southwest egg rolls, four wasabi wild wings, two chicken tenders and garlic fries \$10

### GARLIC PARMESAN FRIES

Topped with roasted garlic sauce and parmesan cheese \$8

### NACHOS

Tri-colored tortilla chips, nacho cheese sauce, our cheese blend, tomatoes, olives, salsa and sour cream. \$7  
add chicken or beef \$9

### BREADED ZUCCHINI

Hand-breaded zucchini strips, fried golden brown, and served with ranch dressing \$8

### JUMBO SHRIMP COCKTAIL

Six fresh jumbo shrimp with cocktail sauce and lemons \$10

### ELSINORE WINGS

Choice of dry, buffalo, wasabi wild or habanero hot. Served with bleu cheese or ranch with celery & carrot sticks \$10

### CHICKEN TENDERS

Served with french fries \$8

### BEER-BATTERED ONION RINGS

Served with BBQ ranch sauce \$8

## SPECIALTY SALADS

All salads, except house and taco salads, are served with garlic bread

### THE LAKE CHOPPED SALAD

Turkey, avocado, bacon, bleu cheese, tomatoes, and eggs with our house dressing \$9

### HONEY CHICKEN CHOPPED SALAD

Chopped chicken tenders, eggs, tomatoes, cucumber, bacon & almonds with honey mustard dressing \$9

### GRILLED CHICKEN CAESAR SALAD

with chicken \$9/without \$6

### CHEF SALAD

Smoked turkey, honey ham, american cheese, eggs, and tomato wedges. \$9

### CHICKEN PASTA SALAD

Bow tie pasta tossed with chicken breast, bacon, red onion, bell pepper, tomatoes, spinach, roasted garlic slivers, parmesan cheese and herb dressing \$9

### SALAD BAR

One tip with entree \$4. All You Can Eat \$9

## EARLY BIRD SPECIALS \$9

Served from 2pm-6pm. Includes one side, a cup of soup, a dinner roll or cornbread and Chef's dessert

Roast Turkey and Stuffing

Liver and Onions

Open-faced Turkey Sandwich

Beef Stroganoff

## 4JAXX TEXAS BBQ

Served lunch and dinner

Served daily from 11am - 9pm. Comes with your choice of the following sides as indicated: Hickory Baked Beans, Texas Tofers, Homemade Cream Corn, Coleslaw, Potato Salad, Macaroni and Cheese Gratin. Extra Side add \$3

**BBQ CHICKEN BREAST SANDWICH** - Served with one (1) BBQ side \$9

**SMOKED "JUMBO" LOADED TEXAS POTATO** - Pulled pork or chopped beef brisket, sour cream, butter, two cheese blend and BBQ sauce \$9

**SMOKED PULLED PORK** - Served with two (2) BBQ sides, a dinner roll or corn pone \$10

**CHOPPED BEEF BRISKET** - Served with two (2) BBQ sides, a dinner roll or corn pone \$10

**SMOKED POLISH SAUSAGE OR HOT LINK** - Served with one (1) BBQ side \$10

**3 SLOW COOKED PORK RIBS** - Served with two (2) BBQ sides, a dinner roll or corn pone \$11

**SMOKED SAUSAGE & PORK RIB** - Served with two (2) BBQ sides, a dinner roll or corn pone \$10

### SPECIAL DESSERT

Sous Chef Michael's Peach Cobbler or Custard Bread Pudding \$3

## DINNER

SERVED WITH SOUP OR SALAD daily from 4pm to close



### 8 OZ. FILET MIGNON

Broiled filet mignon prepared to order, served with two (2) dinner sides and a dinner roll \$15



### 8 OZ. TOP SIRLOIN STEAK

Includes 2 sides, a dinner roll or corn bread \$13

### 12 OZ. NEW YORK STEAK

Includes 2 sides, a dinner roll or corn bread \$13

### 12 OZ. PRIME RIB OF BEEF - Thurs, Fri & Sat - while it lasts!

Includes 2 sides, a dinner roll or corn bread \$13

## 4 JAXX ENTRÉES

Served with following sides as indicated: Baked Potato, Homemade Mashed Potatoes, Sliced Tomatoes, Steamed Veggies, Corn, Sliced Sautéed Mushrooms, Rice Pilaf, Cottage Cheese, Coleslaw, Steamed Rice or a Fruit Cup

### GARLIC CRUSTED PORK LOIN

Garlic crusted pork loin served with two (2) dinner sides and a dinner roll or cornbread \$12

### CHICKEN MARSALA

Chicken breasts sautéed with garlic, mushrooms, marsala wine, butter, and penne pasta. Served with chef's vegetables, a dinner roll or cornbread \$11

### CHICKEN FETTUCCINI ALFREDO

As good as it gets! Made fresh to order with real parmesan and cream \$11.  
Fettuccini Alfredo \$9

### SPAGHETTI & FOUR MEATBALLS

A generous portion of this classic Italian favorite \$10

### SMOTHERED CHICKEN BREASTS

Topped with sautéed mushrooms, onions, garlic, and provolone cheese. Served with two (2) dinner sides, a dinner roll or cornbread \$11

### FISH & CHIPS

Beer battered Atlantic cod filets served with fries and coleslaw \$11

### SALMON OR COD FILET

8 oz. seasoned and grilled salmon filet, served with choice of two (2) dinner sides, a dinner roll or cornbread \$12

## ASIAN MENU

Served Daily from 11am-11pm

### ASIAN STARTERS

Pan Fried Pot Stickers 61 \$7 - Egg Drop Soup \$4 - Hot & Sour Soup \$4

#### FIRECRACKER LO MEIN

Vegetables \$8 Chicken \$9 Shrimp \$11

#### VEGETABLE FRIED RICE

Prepared with peas, carrots, bean sprouts, eggs, green onions and Chinese spices \$7  
add chicken \$1 add pork \$1 add shrimp \$3

#### HOUSE FRIED RICE

Steamed rice, scrambled eggs, green peas, carrots, chopped green onions, chicken, pork and shrimp \$9

#### WAR WON TON

BBQ pork, chicken and shrimp, served in our sesame chicken broth with crisp vegetables and a dash of Chinese white pepper \$10

#### HONEY WALNUT SHRIMP

Deep fried shrimp in a creamy sauce with walnuts \$11

#### PORK PHO BOWL

Tender pork simmered in broth with bean sprouts, cilantro, red onions, carrots, Sriracha chili hoisin sauce, jalapeno peppers and lime wedges \$10

#### VEGETABLES DELIGHT

A stir fry medley of tofu, broccoli, carrots, celery, zucchini, onions, bell pepper, snow peas & bean sprouts \$8

#### ORANGE CHICKEN or SWEET & SOUR CHICKEN

Prepared with lightly battered boneless chicken and tossed in the wok with our sweet & spicy or orange sauce \$9

#### CASHEW CHICKEN

Chicken marinated in sesame oil then fried with mixed vegetables and cashews \$9

#### MONGOLIAN BEEF

Marinated beef scallions, yellow onion, fresh carrots and garlic served in spicy Mongolian sauce \$9

#### CHICKEN OR PORK LO MEIN NOODLES

Prepared with whole grain noodles tossed in the wok with your choice of meat, onions & bean sprouts \$9

#### BEEF CHOW FUN

Prepared in the wok with scrambled eggs, green peas, carrots and green onions \$9

#### SIZZLING SEAFOOD PLATTER

Scallops, shrimp, cod and Chinese vegetables \$14

## SWEET TOOTH SELECTIONS

Made Fresh!

FRESH FRUIT PARFAIT - Yogurt, granola & fresh berries \$5

FRUIT PIE OR CAKE \$3

TWO HOMEMADE CHOCOLATE CARAMEL CHIP COOKIES \$3

CHEESECAKE \$4

TWO-SCOOP ICE CREAM SUNDAE \$4

BANANA SPLIT \$5

PUDDING OR JELLO \$3

HAND-SCOOPED 16 OZ MILKSHAKE \$4

ICE CREAM FLAVORS: Vanilla, Chocolate, Strawberry, and Spumoni

Add one ice cream scoop to any dessert for \$1

## LUNCH

Served anytime.

Ask your server for a cocktail, glass of wine or ice cold draft beer from 4JAXX's lounge open 8am to 2am - 7 days a week

### THE GRILL

**HAMBURGER** - \$7 / add cheese \$8 / add bacon \$9

**PATTY MELT**  
grilled burger patty and onions on rye bread with american cheese \$9

**SWISS MUSHROOM BURGER** - sauteed mushrooms and swiss cheese \$9

**BLACK AND BLEU BURGER** - bleu cheese, bacon, and provolone cheese \$9

**THE GRINDER**  
beef patty with grated onions, cheddar cheese and two fried eggs on our pretzel \$9

**SONORA BURGER** - Ortega chiles, jalapenos, roasted garlic, bell peppers, onions and pepper jack cheese \$9

### SOUPS

**CHEF PJ'S SOUP OF THE DAY**  
Cup \$3/Bowl \$4 (not including Posole)

**CASINO CHILI & CHEESE**  
Cup \$4/Bowl \$5

**THE BIG POSOLE BOWL**  
Served with condiments \$8

**CUP OF SOUP & SANDWICH**  
Turkey, ham or tuna grilled cheese \$7

**CUP OF SOUP & HOUSE SALAD** \$6

**HOUSE SALAD** \$4

## SPECIALTY SANDWICHES

Served with one lunch side from the following: French Fries, Tater Tots, Steamed Veggies, Coleslaw, cottage Cheese, Sliced Tomatoes, Steamed Rice, Fruit Cup or Rice Pilaf

**ELSINORE PASTRAMI MELT**  
Deli pastrami on grilled sourdough with swiss cheese and mustard \$9

**CORN BEEF MELT**  
Deli corned beef on grilled rye bread with provolone cheese, sauerkraut thousand island dressing \$9

**LAKE ELSINORE CLASSIC FRENCH DIP**  
served with one lunch side \$10

**PHILLY CHEESE STEAK**  
served with one lunch side \$10

**LAKESIDE CLUB**  
Ham, turkey, bacon, lettuce, tomato, and mayonaise on a croissant \$9

**ELSINORE AVOCADO BLT**  
Bacon, lettuce, tomato, and avocado on toasted bread with mayonaise \$9

**CHICKEN PESTO SANDWICH**  
Grilled Chicken Pesto Sandwich served with lettuce, tomato, onion, and pickle \$9

**ITALIAN SUB SANDWICH**  
Parmesan grilled panini roll, stuffed with ham, salami, pepperoni, lettuce, tomatoes, bell peppers, onions, and provolone cheese \$9

## PIZZA

**7" CHEESE PIZZA** \$4  
additional toppings: \$.50 each  
add chicken for \$1

**12" CHEESE PIZZA** \$7  
additional toppings: \$1 each  
add chicken \$2

Toppings: Sausage, Pepperoni, Ham, Beef, Onion, Mushroom, Olive, Bell Pepper, Pineapple

### ADDITIONAL SIDES

Extra Dressing or Corn Bread - \$1

Coleslaw, Potato Salad, Guacamole or 2 Fried Jalapeños - \$2

Fries or Fresh Fruit Cup - \$3

Chicken Breast - \$4

4JAXX TEXAS BBQ Served lunch and dinner

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

#### VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: See Exhibit A

Describe any improvements to the facility that will directly benefit minisatellite wagering:  
 See Exhibit A

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

#### VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

|                                  |                                   |
|----------------------------------|-----------------------------------|
| Admissions charges, if any, are: | None                              |
| Parking charges, if any, are:    | None                              |
| Program charges, if any, are:    | Price is determined by host track |
| Seating charges, if any, are:    | None                              |

#### VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes  No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes  No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes  No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

#### AGREEMENTS

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility.

#### NOTICES TO APPLICANT

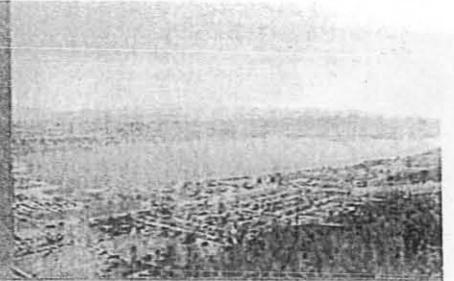
Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

# CITY OF LAKE ELSINORE, CALIFORNIA

## "Fastest Growing City in California!"



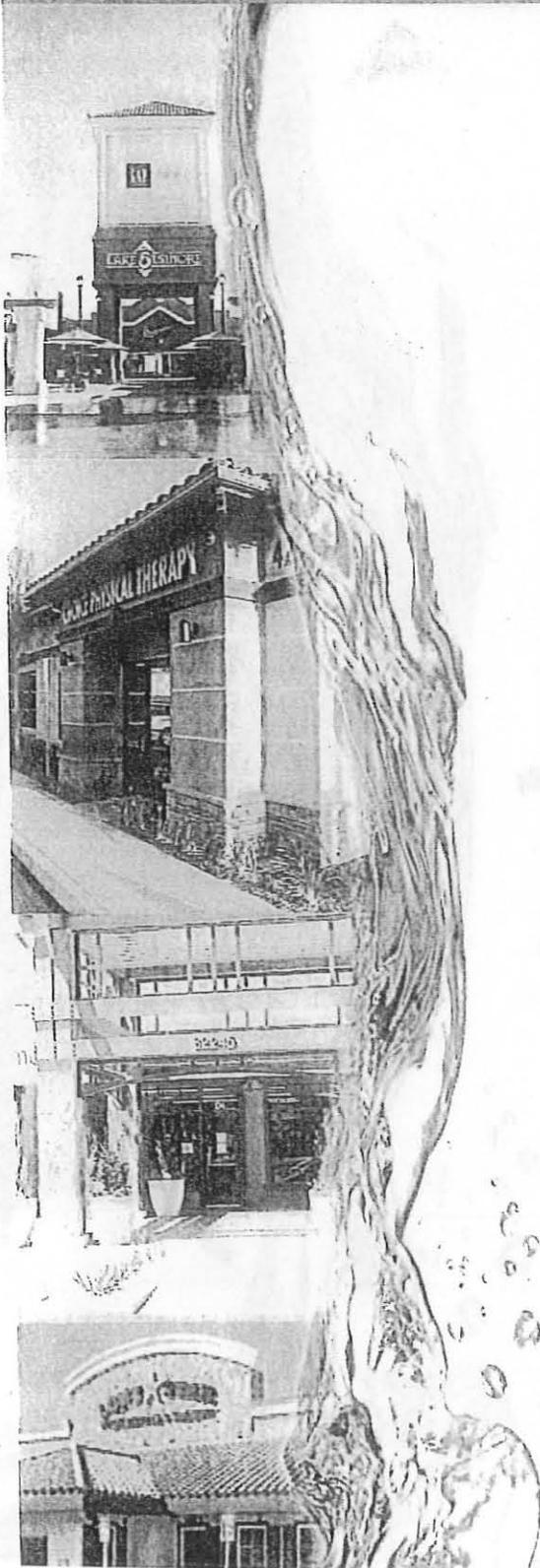
### Retail Market Opportunities

The City of Lake Elsinore is located on Interstate 15 in Southwestern Riverside County with convenient access to Los Angeles, San Diego, Orange County and Ontario.

| Category                             | City Limits | 0-5 miles* | 0-10 miles* |
|--------------------------------------|-------------|------------|-------------|
| <b>Population</b>                    |             |            |             |
| 2014 Estimated Population            | 54,955      | 78,255     | 251,428     |
| 2019 Estimated Population            | 58,856      | 83,009     | 268,752     |
| 2014 -19 Increase Population (Est.)  | 3,931       | 4,754      | 17,324      |
| <b>Ethnicity**</b>                   |             |            |             |
| White Only Ethnicity                 | 58.22%      | 61.14%     | 63.35%      |
| Black or African American            | 4.77%       | 4.26%      | 5.05%       |
| Hispanic or Latino                   | 52.15%      | 50.11%     | 45.07%      |
| Asian                                | 5.24%       | 4.35%      | 4.49%       |
| <b>Age Distribution &amp; Family</b> |             |            |             |
| Ages 17 & Under                      | 30.62%      | 29.21%     | 27.98%      |
| Ages 18-34                           | 25.27%      | 24.72%     | 22.96%      |
| Ages 35-54                           | 27.53%      | 27.18%     | 26.15%      |
| Ages 55 and Over                     | 16.58%      | 18.87%     | 22.92%      |
| Median Age                           | 30.9        | 32.2       | 34.3        |
| Number of Households                 | 15,724      | 23,124     | 76,757      |
| <b>Education (Adults 25+)</b>        |             |            |             |
| Less than High School                | 21.52%      | 21.45%     | 19.75%      |
| High School or GED                   | 30.60%      | 30.92%     | 28.53%      |
| Associates                           | 5.82%       | 5.92%      | 7.11%       |
| Bachelor's Master's, Prof. or Ph     | 15.54%      | 15.23%     | 16.56%      |
| <b>Income</b>                        |             |            |             |
| HH < \$35,000                        | 33.44%      | 34.85%     | 33.54%      |
| HH \$35,000-\$50,000                 | 11.71%      | 12.32%     | 13.49%      |
| HH \$50,000-\$75,000                 | 20.18%      | 19.44%     | 19.90%      |
| HH > \$75,000                        | 34.65%      | 33.40%     | 33.07%      |
| Median Household Income              | \$55,996    | \$53,628   | \$53,724    |
| Average Household Income             | \$66,688    | \$65,779   | \$67,409    |

\*Radius is from intersection of Interstate 15 and Highway 74 (Central Avenue)

\*\*Note: Ethnicity calculations do not equal 100% due to multi-ethnic classification by Census Bureau



# CITY OF LAKE ELSINORE, CALIFORNIA

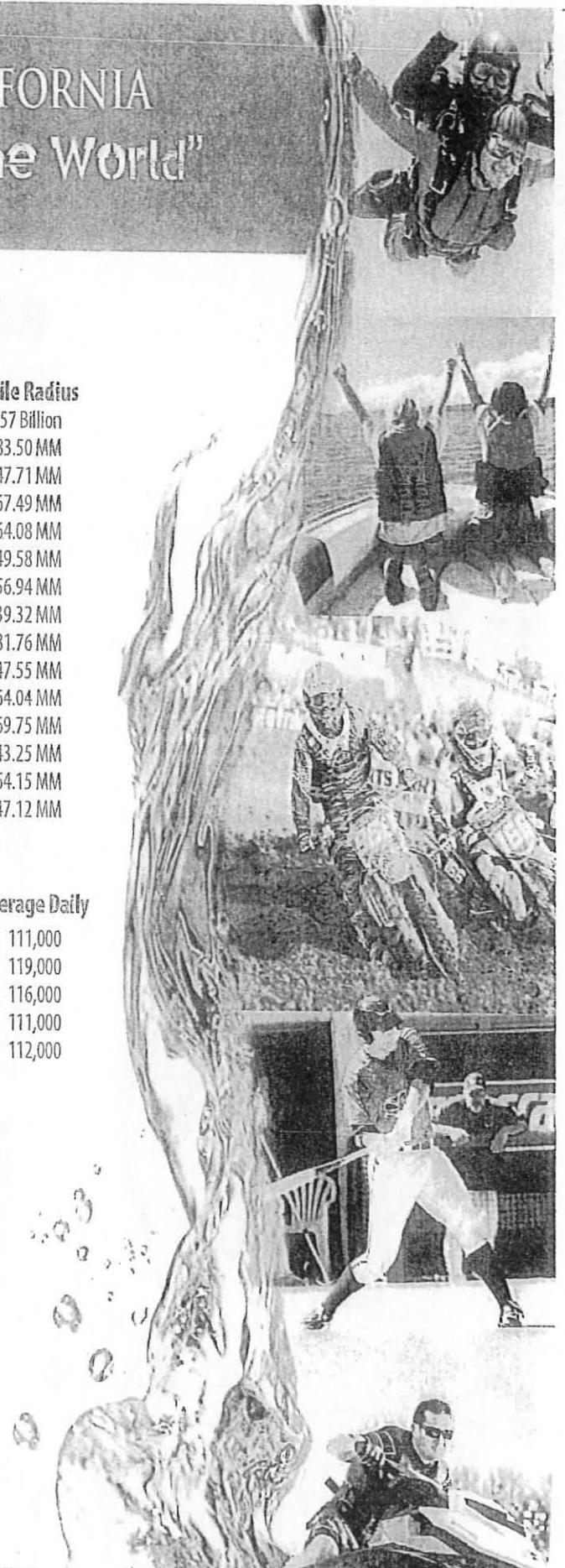
## "Action Sports Capital of the World"

### RETAIL OPPORTUNITIES (Estimated 2014 Consumer Expenditure) (Centroid: 1-15 & Highway 74 (Central Avenue))

| Retail Category             | w/in City   | 5-Mile Radius  | 10-Mile Radius |
|-----------------------------|-------------|----------------|----------------|
| Total Retail Demand         | \$739.33 MM | \$1.08 Billion | \$3.57 Billion |
| Motor Vehicle Sales         | \$122.40 MM | \$177.23 MM    | \$583.50 MM    |
| Automotive Parts            | \$9.54 MM   | \$14.03 MM     | \$47.71 MM     |
| Furniture/Home Furnishings  | \$13.58 MM  | \$19.83 MM     | \$67.49 MM     |
| Electronics                 | \$13.53 MM  | \$19.49 MM     | \$64.08 MM     |
| Building Materials          | \$69.82 MM  | \$102.432 MM   | \$349.58 MM    |
| Grocery Stores              | \$94.70 MM  | \$138.16 MM    | \$456.94 MM    |
| Specialty Foods             | \$8.23 MM   | \$11.96 MM     | \$39.32 MM     |
| Pharmacies & Drug (w/HABA)  | \$33.81 MM  | \$50.69 MM     | \$181.76 MM    |
| Gasoline Stations           | \$73.20 MM  | \$106.82 MM    | \$347.55 MM    |
| Clothing                    | \$35.24 MM  | \$50.47 MM     | \$164.04 MM    |
| Sporting Goods              | \$15.03 MM  | \$21.46 MM     | \$69.75 MM     |
| Office Supplies             | \$8.93 MM   | \$12.95 MM     | \$43.25 MM     |
| Full-Service Restaurants    | \$34.69 MM  | \$111.20 MM    | \$164.15 MM    |
| Limited Service Restaurants | \$31.16 MM  | \$44.97 MM     | \$147.12 MM    |

### Traffic Counts along Interstate 15 (Measured)

| Location                         | Peak Hour | Peak Month (Daily) | Average Daily |
|----------------------------------|-----------|--------------------|---------------|
| I-15 & Railroad Canyon Road      | 8,600     | 114,000            | 111,000       |
| I-15 & Main Street               | 9,200     | 123,000            | 119,000       |
| I-15 & Highway 74 (Central Ave.) | 8,500     | 119,000            | 116,000       |
| I-15 & Nichols                   | 8,200     | 114,000            | 111,000       |
| I-15 & Lake Street               | 7,900     | 115,000            | 112,000       |





46<sup>th</sup> District Agricultural Association  
State of California  
Southern California Fair  
18700 Lake Perris Drive, Perris, CA 92571  
Telephone 951-657-4221 Fax 951-657-5412  
[www.socalfair.com](http://www.socalfair.com) email: [horseplace@socalfair.com](mailto:horseplace@socalfair.com)

BOARD AMMENDMENT 1-20-2016

Lake Elsinore Casino

46<sup>th</sup> District Agricultural Association

Excerpt from Board of Directors Meeting held on January 20, 2016

Upon motion of Director Magnuson, Seconded by Director ALMIRON and carried that the following action is approved by the Board:

BE IT RESOLVED that the 46<sup>th</sup> District Agricultural Association Board of Directors authorizes the transfer and/or a new contract for the Mini Satellite from Sports Tech to Lake Elsinore Casino.

This is certified to be a true copy.

Cynthia A Caruso  
Cynthia Caruso, CEO/Secretary-Manager

1/20/16  
Date

Jaime F. Hurtado  
Jaime Hurtado, Board President

1/20/16  
Date



June 29, 2015

Lake Elsinore Hotel & Casino  
Attn: Ted Kingston  
20930 Malaga Road  
Lake Elsinore, CA 92530

Dear Mr. Kingston,

Thank you for contacting the City of Lake Elsinore regarding your efforts to bring off-track horse-race betting to the Lake Elsinore Casino.

As the City of Lake Elsinore is the "Action Sports Capital of the World," these efforts will align with our goals to attract greater tourism and economic development.

While the City is not required to provide any formal approval related to off-track horse-race betting, we support and appreciate your efforts to bring new opportunities to Lake Elsinore.

The City is honored to have your growing business here and we look forward to doing what we can to support your future success.

Sincerely,

A handwritten signature in black ink, appearing to read "Grant Yates", with a long horizontal flourish extending to the right.

Grant Yates  
City Manager

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHR8-88 (New 11/08)

**DECLARATIONS**

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

**CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

|   |                        |
|---|------------------------|
| Print name of minisatellite facility applicant representative:<br><i>Lake Elsinore Casino</i> | Date:<br><i>2-6-14</i> |
| Signature of minisatellite facility representative:<br><i>[Signature]</i>                     | Date:<br><i>2-6-16</i> |
| Print name of association representative:<br><i>Joe Morris</i>                                | Date:<br><i>2-6-16</i> |
| Signature of association representative:<br><i>JOE MORRIS</i>                                 | Date:<br><i>2-6-16</i> |
| Print name of simulcast organization representative:<br><i>GEORGE HAINES</i>                  | Date:<br><i>2-6-14</i> |
| Signature of simulcast organization representative:<br><i>[Signature]</i>                     | Date:<br><i>2-6-16</i> |

**CONSENT TO ESTABLISHMENT OF MINI-SATELLITE WAGERING  
FACILITY**

**WHEREAS**, Southern California off Track Wagering, Inc., a California Corporation ("Scotwinc") has entered, or is about to enter, into an agreement with Lake Elsinore Hotel and Casino with respect to the establishment of a Minisatellite Wagering facility at 20930 Malaga Rd., Lake Elsinore, California (the "Lake Elsinore Minisatellite"), and

**WHEREAS**, such facility will be located within twenty (20) statute miles of the Lake Perris Fairgrounds where the Lake Perris -Sports Pavilion at the Farmer's Fair operates as a satellite wagering facility, and

**WHEREAS**, Section 19605.25(a)(1) of the California Business and Professions Code prohibits, absent the consent of the operator of the Satellite Wagering Facility, the location of a Minisatellite within the twenty (20) statute miles of an existing Satellite Wagering Facility.

**NOW THEREFORE**, Lake Perris Fairgrounds gives it consent to the establishment and operation of the Lake Elsinore Minisatellite for a period of ten (10) years commencing on Feb. 23, 2016.

By 

## Lake Elsinore Hotel and Casino Minisatellite Wagering Application - Exhibit A

### Part 1 – Minisatellite Wagering Applicant Information

Association Contract/Agreement:

Name(s) of racing associations(s) with a contract or agreement:

California Authority of Racing Fairs  
1776 Tribute Road, Suite 205  
Sacramento, CA 95812

Phone: (916) 927-7223

Fax: (916) 263-3341

Del Mar Thoroughbred Club  
2260 Jimmy Durante Blvd  
Del Mar, CA 92014

Phone: (858) 755-1141

Fax: (858) 794-1007

Los Angeles County Fair  
1101 W. McKinley Avenue  
Pomona, CA 91768

Phone: (909) 865-4093

Fax: (909) 622-5652

Los Angeles Turf Club  
285 W. Huntington Drive  
Arcadia, CA 91007

Phone: (626) 574-7223

Fax: (626) 821-1514

Los Alamitos Racing Association (T) & Los Alamitos Quarter Horse Racing Association  
4961 Katella Avenue  
Los Alamitos, CA 90720

Phone: (714) 820-2760

Fax: (714) 820-2813

Pacific Racing Association  
1100 Eastshore Highway  
Berkeley, CA 94710

Phone: (510) 559-7300

Fax: (510) 559-7464

**Lake Elsinore Hotel and Casino Minisatellite Wagering Application - Exhibit A**  
**Page 2**

Association Contract/Agreement:

Name(s) of racing associations(s) intended to have a contract or agreement:

Sonoma County Fair  
1350 Bennett Valley Drive  
Santa Rosa, CA 95404

Phone: (707) 545-4200

Fax: (707) 545-9342

Watch & Wager.com, LLC  
1600 Exposition Road  
Sacramento, CA 95815

Phone: (916) 263-3000

Fax: (916) 800-1395

**Part III – Simulcast Organization Information**

I Operation of the Minisatellite Wagering Facility:

Lake Elsinore will offer parimutuel wagering during racing hours, 7 days a week.

Wagering will be offered on all California cards plus races imported by all California racing associations from out of state and out of country tracks.

The host track pari-mutuel manager will determine the number of mutuel tellers based upon the projected handle and attendance for the day or night card. On most weekdays, one or two tellers will be assigned, with up to three on weekends and special events as needed. At least one security officer will be present at all times.

II Supervision, Security and Fire Prevention

Captain Hansel Loria (Lake Elsinore Casino Public Safety) is responsible for security operations. His land is line number is (951) 674-3101 and cell number is (951) 334-9867. Criminal law enforcement agencies include the Lake Elsinore Police Department and Riverside County Sheriff's Department.

The facility is staffed according to needs of the property with nine fulltime public safety officers. At least one officer is present 24 hours a day, seven days a week and there are two or more present on weeknights. On weekends, three to five officers are present, depending on occupancy and special events.

## Lake Elsinore Hotel and Casino Minisatellite Wagering Application - Exhibit A

### Page 3

#### III Minisatellite Wagering Site

Members of the security staff, present 24 hours a day, seven days a week, will insure that the minisatellite wagering area is restricted to those 21 years of age or older.

#### IV Facility Description

Jaxx restaurant offers full food and beverage services including breakfast, lunch and dinner. Special offerings include a Champagne Sunday brunch, happy hour drinks and, early bird food specials. Restaurant hours are 6 am to 9 pm Monday through Thursday & Sunday, and 6 am to midnight on Fridays and Saturdays. The Lounge will be open 6 am to 2 am, seven days a week.

The facility will seat 115 people inside with an additional 100 seats in the outdoor patio. Seating will also be available in the card room area. There will be 33 tables in the wagering area.

The overall square footage in the minisatellite area will be 2,870 sq. feet in the indoor area plus an additional 3,278 in the outdoor patio area.

#### V Equipment Provided by the Minisatellite Wagering Facility

A total of 48 high definition monitors will be installed in the restricted area and there will be additional monitors in other areas of the Casino, including 8 in the outdoor patio area.

Roberts Communication Network will provide the satellite receivers and decoders.

Sound Image will install a public address system during the remodeling process.

#### VI Advertising and Patron Development

A new digital sign was installed in December of 2015. This sign is viewable from the freeway, and advertises the Casino 24 hours a day, 7 days a week. Direct mail and email will be utilized to contact both existing and potential new customers in the targeted areas of Corona, Norco, Temecula and surrounding areas within a 60 to 80 mile radius. Social media (Facebook, Twitter, Pinterest, Instagram, etc. will also be utilized.

A full interior renovation, including furniture and fixtures, is scheduled to begin shortly. Pending CHRB approval, the facility is expected to open by April 1, 2016.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD TO SUSPEND THE AUTHORIZED  
ADMINISTRATION OF CLENBUTEROL FOR ALL HORSES PARTICIPATING IN  
QUARTER HORSE RACES FOR 12 MONTHS PURSUANT TO CHRb RULE 1844.1,  
SUSPENSION OF AUTHORIZED MEDICATION, TO ENSURE THAT  
THOROUGHBREDS AND APPALOOSAS COMPETING IN SUCH RACES ARE  
SUBJECT TO THE SAME CONDITIONS AS QUARTER HORSES

Regular Board Meeting  
March 24, 2016

## BACKGROUND

In July 2015, the California Horse Racing Board (CHRB or Board) passed emergency regulations that:

- a) deauthorized any detectable level of clenbuterol in a quarter horse's post-race urine sample (via amendment to Rule 1844); and
- b) placed special restrictions on clenbuterol prescriptions and administrations for quarter horses, requiring generally that a quarter horse with clenbuterol in its system may not race until it tests clear of clenbuterol (via addition of Rule 1866.1).

Since then, the Board has taken steps to make these provisions permanent, and at its February 25, 2016 regular meeting, the Board voted to adopt these regulatory changes. During the general discussion of this agenda item, however, some concerns were expressed about how the new amendment would affect quarter horse races in which thoroughbreds and/or appaloosas also compete.

## ANALYSIS

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1844, Authorized Medication, names drug substances and medications authorized by the Board that may be administered to safeguard the health of the horse entered to race. The rule lists the drug

substances that may be found in official test samples and the level at which such drugs may occur.

The amendment to Rule 1844, Authorized Medication, which was adopted by the Board at its February 25, 2016 meeting, states that a post-race urine sample may carry clenbuterol in an amount not to exceed 140 picograms per milliliter, "except in quarter horses for which no level of clenbuterol is authorized." This change was adopted by the Board in response to the heightened abuse of clenbuterol in quarter horses, and therefore intentionally targeted stricter restrictions on this single breed of horse. Nevertheless, the amendment has triggered a scenario in which different breeds of horses may race against each other under differing medication restrictions.

CHRB Rule 1743, Thoroughbred and Appaloosa Horses Competing in Quarter Horse Races, states that thoroughbred and appaloosa horses may be entered into Quarter Horse Races under specific conditions. One of those conditions is that a race with thoroughbreds and/or appaloosas participating shall be designated as a "Quarter Horse Race."

Although that condition defines the type of race, it does not alter how Rule 1844, Authorized Medication, applies to horses racing in California. Accordingly, this means that in a Quarter Horse Race, a thoroughbred or appaloosa horse may carry up to 140 picograms per milliliter of clenbuterol in a post-race urine sample, while in the same race, the quarter horses participating are not allowed to have any detectable level of clenbuterol in a post-race urine sample.

Nothing in the Business and Professions Code or existing CHRB Regulations prohibits the Board from adopting different medication authorizations and thresholds for different breeds of horses. Indeed, as stated above, the Board's recent amendment to Rule 1844 purposefully deauthorized use of clenbuterol in only quarter horses because abuse of the medication was exclusive to the quarter horse industry. Nevertheless, there is concern from quarter horse owners and trainers about the fact that thoroughbreds and appaloosas participating in these mixed breed races are permitted to race with threshold levels of clenbuterol when their quarter horses are not. Regardless of whether or not clenbuterol actually gives these thoroughbreds and appaloosas an advantage, the perception is that they may not be racing on a level playing field with the quarter horses.

Given these perception concerns, staff has determined that the Board may suspend authorized use of clenbuterol in all "Quarter Horse Races" pursuant to Rule 1844.1, Suspension of Authorized Medication. That rule permits the Board to suspend an authorized medication for any reason—by race, breed, or race meeting—for up to twelve months. By suspending authorization of clenbuterol in all "Quarter Horse Races," the suspension would apply, by virtue of Rule 1743, to all thoroughbreds and appaloosas participating in such mixed breed races.

#### RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENT TO CHRB RULE 1734, WHIPPING, TO CURTAIL AND PREVENT  
EXCESSIVE USE OF THE WHIP IN HARNESS RACING

Regular Board Meeting  
March 24, 2016

## BACKGROUND

At its August 2015 regular meeting, the California Horse Racing Board (CHRB or Board) considered an amendment to Rule 1734, Whipping, to clarify what is acceptable use of a whip by a harness driver, and to update the rule so that it would be more consistent with other jurisdictions. At that meeting, the Board recommended that the proposed amendment be sent out for the 45-day public comment period; however, the Board also requested that staff engage specifically with the drivers that were to be affected by the rule in order to obtain their input.

Prior to initiating the 45-day public comment period, staff met with representatives from Watch & Wager LLC, the California Harness Horsemen's Association (CHHA), as well as several drivers. Those meetings culminated in newly recommended language that adds new subsection (c) to restrict whipping while holding two lines in one hand to only the last one-eighth of a mile of a harness race, and also adds new subsection (d), which prohibits drivers from whipping a horse more than three times in succession without giving the horse a chance to respond. Concurrent to these engagement efforts, Watch & Wager instituted a house rule that mirrored several key aspects of these proposed revisions to Rule 1734—including the three strikes provision—and the Cal Expo Board of Stewards reports that there has been substantial compliance with these house restrictions.

## ANALYSIS

Business and Professions Code section 19420 gives the Board jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to adopt rules and regulations for the protection of the public and the control of horse racing.

It is a priority of the Board to ensure humane treatment of the horse. The purpose of the amendment to Rule 1734, Whipping, is to curtail and prevent any excessive use of the whip. The proposed amendment to Rule 1734 is necessary to clarify what is acceptable use of a whip by a harness driver and update the rule to be consistent with other racing jurisdictions, such as Michigan, Pennsylvania and Indiana that have adopted similar rules. Such an amendment will also make the whipping rules imposed on harness drivers more consistent with those presently imposed on jockeys.

The proposed change to Rule 1734 subsection (a) clarifies what sorts of visible injuries amount to a violation of the rule. The proposed amendment to subsection (b) clarifies which actions shall be considered indiscriminate use of the whip, which is to be restricted generally to elbow and wrist movement only. Subsection (b)(1) prohibits a driver from raising the elbow above the driver's shoulder height, and subsection (b)(2) prohibits the driver's hand holding the whip to reach behind the driver during use of the whip. Subsections (b)(3) and (b)(4) describe the areas on the horse that drivers may not whip, including above the level of the shafts of the sulky and between the sulky shafts, as well as under the arch or shafts of the sulky. Subsection (b)(5) further prohibits using the whip as a goading or poking device or placing the whip between the legs of the horse, and proposed subsection (b)(6) provides that use of the whip is indiscriminate when the horse does not appear to be advancing through the field of horses or is no longer in contention.

Subsection (c) of the proposed amendment requires that drivers keep a line in each hand from the start of the race until the final one-eighth of a mile, at which time they are permitted to hold both lines in one hand for the purpose of whipping with the free hand in accordance with subsection (b) described above.

Finally, subsection (d) prohibits drivers from using the whip more than three times in succession without giving the horse a chance to respond. The Cal Expo Board of Stewards has reported that this particular practice has become standard during the present harness race meet, and drivers have been quick to adapt to the three-strike requirement.

Staff has circulated the most recent proposed amendment to Rule 1734, which has been included in this packet, to Watch & Wager, the CHHA, and several individuals representing drivers. In general, all of these groups and entities appear to support the proposed changes.

#### RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
 TITLE 4. CALIFORNIA CODE OF REGULATIONS  
 ARTICLE 9. HARNESS RACING RULES  
 PROPOSED AMENDMENT OF  
 SECTION 1734. WHIPPING

Regular Meeting  
 March 24, 2016

1734. Whipping

(a) No driver shall use unreasonable or unnecessary force in the whipping of a horse, nor whip any horse causing welts or breaks in the skin-visible injury, nor whip any horse about the head, nor whip any horse after the finish line has been crossed except when necessary to control the horse.

(b) Whipping shall be restricted to elbow and wrist action only, and the following actions regarding the use of the whip are prohibited:

- (1) Raising the elbow above the driver's shoulder height.
- (2) Allowing the hand holding the whip to reach behind the driver during the use of the whip.
- (3) Use of the whip other than on the area inside and above the level of the shafts of the sulky and between the sulky shafts.
- (4) Whipping under the arch of the shafts of the sulky.
- (5) Use of the whip as a goading or poking device between the legs of the horse.
- (6) Use of the whip when the horse does not appear to be advancing through the field of horses or is no longer in contention.

(c) For the purpose of whipping, all drivers must keep a line in each hand beginning when the horse is behind the starting gate and until the one-eighth of a mile prior to the finish line, and may not whip while holding two lines in one hand until then. The lines must remain

reasonably taut during the entire race.

(d) Drivers may not use the whip more than three times in succession without giving the horse a chance to respond.

Authority: Sections 19420 and 19440,  
Business and Professions Code.

Reference: Section 19563,  
Business and Professions Code.

**CALIFORNIA HORSE RACING BOARD**

**MARCH 24, 2016**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 8**