

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300

Sacramento, CA 95825

www.chrb.ca.gov

(916) 263-6000 Fax (916) 263-6042



REGULAR MEETING

Of the **California Horse Racing Board (CHRB/Board)** on **Thursday, June 11, 2020**, commencing at **9:30 a.m. via teleconference**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under “Webcasts.”

Please be advised, in accordance with Executive Order N-29-20, the CHRB will provide a teleconference option for its upcoming public meeting, to be held on **June 11, 2020 at 9:30 a.m.** Board members and members of the public are strongly encouraged to participate using the teleconference phone number provided below so as to minimize the spread of COVID-19 and reduce the risk of infection during this current state of emergency. No physical location will be provided.

To participate in the teleconference, dial 888-392-4564 and enter 6896291# when prompted.

Due to potential technical difficulties, please consider submitting written comments by **June 3, 2020**, to amdrummond@chrb.ca.gov for consideration.

The agenda for the regular meeting will consist of the following matters:

AGENDA

Action Items:

1. **Approval of the minutes of April 22, 2020.**

2. Reports

a. **Executive Director Report**

b. **Equine Medical Director Report**

3. Race Dates Committee

The Board will hear a report of the Committee’s work at its May 25, 2020 meeting for discussion and action as necessary.

a. Discussion and consideration of the **Committee report**.

b. Discussion and Action regarding **reallocation of 2020 Race Dates**.

Pursuant to Gov. Code § 11125.7(a), public comment will not be permitted on an agenda item when an opportunity has been given at a committee hearing for testimony on the item.

4. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Alameda County Fair (F)**, at Pleasanton, commencing June 17, 2020 through July 14, 2020, inclusive.
5. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by CARF at the California Exposition and State Fair.
6. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the California Exposition and State Fair (F) at Sacramento**, commencing July 15, 2020 through August 4, 2020, inclusive.
7. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Del Mar Thoroughbred Club (DMTC) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by the DMTC at the Del Mar Racetrack.
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T)** at Del Mar, commencing July 8, 2020 through September 8, 2020, inclusive.
9. Discussion and action by the Board regarding **the proposed amendments to CHRB Rule 1685, Equipment Requirement**, to modify riding crop specifications.
10. Public hearing and action by the Board regarding the **proposed amendment of CHRB Rule 1688, Use of Riding Crop**, to regulate the use of the riding crop to protect the safety of the horse and rider.
11. Discussion and action by the Board regarding the **proposal to partially suspend CHRB Rule 1845, Authorized Bleeder Medication, pursuant to CHRB Rule 1406, Suspension of Rule**.
12. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1503, Qualifications for License as Trainer or Assistant Trainer**, to require that individuals hold an Assistant Trainer license in good standing for at least one year as a prerequisite for a Trainer license (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented).
13. Public hearing and action by the Board regarding the **proposed addition of CHRB Rule 1866.2, Shockwave Therapy Restricted**, to provide procedures for the use of Extracorporeal Shock Wave Therapy (ESWT) or Radial Pulse Wave Therapy within a CHRB inclosure (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented).

14. Public hearing and action by the Board regarding the **permanent adoption of the existing emergency regulation, CHRB Rule 1435, Suspension of License to Conduct a Race Meeting**, which allows the Board to suspend a race meeting license when necessary to protect the health and welfare of the horse or rider.
15. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** During the public comment period, a total of thirty minutes will be allowed for public comments via the teleconference line. After thirty minutes, no further comments will be accepted. Each person will be limited to two minutes.
16. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Amanda Drummond. Requests will be swiftly resolved by CHRB staff.

CALIFORNIA HORSE RACING BOARD

Gregory L. Ferraro, DVM, Chairman
Oscar Gonzales, Vice Chair
Dennis Alfieri, Member
Damascus Castellanos, Member
Wendy Mitchell, Member
Alex Solis, Member
Scott Chaney, Executive Director
Cynthia Alameda, Assistant Executive Director

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** (Board) held on **April 22, 2020**, commencing at **9:30 a.m. via teleconference**.

Present: Gregory Ferraro, Chairman
Oscar Gonzales, Vice-Chairman
Alex Solis, Member
Dennis Alfieri, Member
Wendy Mitchell, Member
Demascus Castellanos, Member
Scott Chaney, Executive Director
Cynthia Alameda, Assistant Executive Director
Robert Brodnik, General Counsel

APPROVAL OF THE MINUTES OF MARCH 26, 2020

Commissioner Wendy Mitchell **motioned** to approve the minutes of the March 26, 2020 regular Board meeting. Commissioner Dennis Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Solis, Castellanos, Gonzales, Alfieri, Mitchell, Ferraro. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Scott Chaney stated that the Los Alamitos Race Course (LARC) was the only track currently racing, but a safety steward was assigned to each of the three tracks open for training. Executive Director Chaney reported that daytime handle for March 2020 was down 9.65 percent, on 11 fewer programs, compared to March 2019. Nighttime handle was down 4.71 percent on eight fewer programs, leading to a decrease of 8.93 percent in overall handle. The decrease reflected the cessation of racing due to COVID-19. Further comments regarding this agenda item can be found in the Board transcript, pages 3–9.

EQUINE MEDICAL DIRECTOR'S REPORT.

Dr. Rick Arthur, Board Equine Medical Director, stated that in March 2020, no racing occurred, but training continued at Santa Anita, Golden Gate Fields (GGF), LARC, Cal Expo, and San Louis Rey Downs. The Board was maintaining a safety steward and official veterinarian at all Board inclosures during the COVID-19 pandemic but with an abbreviated schedule. Dr. Arthur said there was a 25 percent reduction in fatalities during the first three quarters of the 2019–20 fiscal year compared to the same time period the previous fiscal year. Dr. Arthur stated that the Board served five positive test notices in March 2020. Further comments regarding this agenda item can be found in the Board transcript, pages 9–23.

DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM WATCH AND WAGER LLC (H) AT CAL EXPO TO APPROVE THE CARRYOVER OF FUNDS INTO A MANDATORY PAYOUT UPON REOPENING.

Mr. Christopher Schick, Watch and Wager (WAW), stated that when WAW's racing was suspended after its March 31, 2020 card, WAW had two carryover pools that were ongoing, and the money was currently being held in Northern California Off-Track Wagering Incorporated (NCOTWINC). WAW was requesting that the money be placed in an interest-bearing account and be carried over to its next meet running sometime in November 2020. He said he anticipated the day of the mandatory payout to be Saturday, December 19, 2020. Commissioner Alfieri **motioned** to approve WAW's request to carryover funds into a mandatory payout upon reopening. Chairman Gregory Ferraro **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Mitchell, Solis, Ferraro. Nay: None. Motion carried. Further comments

regarding this agenda item can be found in the Board transcript, pages 23–25 and 87–92.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR (F), AT PLEASANTON, COMMENCING JUNE 17, 2020 THROUGH JULY 14, 2020, INCLUSIVE.

Mr. Larry Swartzlander, California Authority of Racing Fairs (CARF), stated that the race meet agreements with the Thoroughbred Owners of California (TOC) and the California Thoroughbred Trainers (CTT) were unsigned because of a disagreement regarding stabling. The workers' compensation insurance coverage would be renewed prior to expiration and would cover the entire race meet. He requested that the approved race dates be moved. Mr. Robert Brodnik, Board General Counsel, said the agenda item concerned only the race meet application, and a change in race dates would need to be noticed as a separate agenda item. Mr. Swartzlander stated that CARF would implement the health protocols being employed at GGF. Vice-Chairman Oscar Gonzales **motioned** to move the agenda item to the May 2020 regular Board meeting. Commissioner Demascus Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Mitchell, Solis, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 25–48.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE CALIFORNIA EXPOSITION AND STATE FAIR.

Mr. Brodnik stated that Alameda County Fair requested approval to condition races, per Board Rule 1581, Racing Secretary to Establish Conditions, at its 2020 race meet to be run with reduced levels of furosemide for horses three years old and older, no furosemide in two-year-olds, a 14-day stand-down for intra-articular injections in any joint, and a 30-day stand-down for injections in the metacarpophalangeal joint. The TOC agreed to the set of conditions. Commissioner Alex Solis **motioned** to approve the agreement. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Mitchell, Solis, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 48–54.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS HORSE RACING ASSOCIATION (T) AT LOS ALAMITOS RACE COURSE, COMMENCING JUNE 24, 2020 THROUGH JULY 7, 2020, INCLUSIVE.

Mr. Orlando Gutierrez, Los Alamitos Racing Association (LARA), stated that LARA had very thorough guidelines in place for COVID-19 containment, including limited gathering and a closed track to new out-of-state personnel, and only essential personnel who were running in the day were allowed on the track for racing that night. Commissioner Castellanos **motioned** to approve the LARA application to conduct a horse racing

meeting commencing June 24, 2020 through July 7, 2020, inclusive. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Mitchell, Solis, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 62–86.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ALAMITOS HORSE RACING ASSOCIATION (LARA) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE LARA AT THE LOS ALAMITOS RACETRACK.

Mr. Brodник stated that the agreement would ban the use of Lasix for two-year-old horses, limit the amount of Lasix used for three-year-olds to half the permitted amount, and require 14-day and 30-day stand-downs for injections in intra-articular joints and the metacarpophalangeal joint, respectively. Commissioner Castellanos **motioned** to approve the agreement between LARA and the TOC regarding entry conditions and specified drug substances or medications to be implemented by LARA at LARC. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Mitchell, Solis, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 54–62.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSAL TO PARTIALLY SUSPEND THE CURRENT 45-DAY REQUIREMENT UNDER CHRB RULE 1488, TEMPORARY OCCUPATIONAL LICENSE**, FOR FINGERPRINTS SUBMITTED TO THE BOARD AND EXTEND THE REQUIREMENT TO 120 DAYS, PURSUANT TO CHRB RULE 1406, SUSPENSION OF RULE.

Commissioner Solis **motioned** to approve the proposal to partially suspend the current 45-day requirement under Board Rule 1488, Temporary Occupational License, for fingerprints submitted to the Board and extend the requirement to 120 days, pursuant to Board Rule 1406, Suspension of Rule. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Mitchell, Solis, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 92–95.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1560, DUTIES OF THE OFFICIAL VETERINARIAN, AND RULE 1561, DUTIES OF THE RACING VETERINARIAN**, TO STIPULATE THAT THE RACING VETERINARIAN IS UNDER THE DIRECT SUPERVISION OF THE OFFICIAL VETERINARIAN.

Commissioner Castellanos **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Board Rule 1560, Duties of the Official Veterinarian, and Board Rule 1561, Duties of the Racing Veterinarian. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Mitchell, Solis, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 95–99.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED ADDITION OF CHRB RULE 1846.1, VETERINARY RECORDS FOR HORSES SHIPPING INTO AN INCLOSURE RACE**, TO REQUIRE TRAINERS TO MAKE AVAILABLE TO THE OFFICIAL VETERINARIAN, THE PREVIOUS 14-DAY VETERINARY TREATMENT RECORD.

Dr. Arthur stated that the original proposal required the trainer of a ship-in horse to provide a 14-day medical history. The current proposal expanded the scope of the medical history to 30 days. Chairman Ferraro **motioned** to approve the addition of Board Rule 1846.1, Veterinary Records for Horses Shipping into an Inclosure to Race. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Castellanos, Mitchell, Solis, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 99–101.

PUBLIC COMMENT

Substantial public comment was received and can be reviewed in depth in the Board transcript, pages 101–123.

MEETING ADJOURNED AT 12:19 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

Drummond, Amanda@CHRB

From: Martha Sullivan [REDACTED]
Sent: Tuesday, June 2, 2020 2:31 PM
To: Drummond, Amanda@CHRB
Subject: Public Comment on CHRB Agenda Item 2 (6/11/20)

The Board needs to set a clear direction of transparency and PUBLIC NOTIFICATION for the Executive Director and Equine Medical Director, which they in turn reinforce with the CHRB staff and contractors (I.e. Stewards and Veterinarians) under their management/supervision.

CHRB is a State Agency with a central mission to serve all Californians, not just the horse racing industry.

Following the failure last month of the Los Alamitos Racetrack in Orange County and the CA Horse Racing Board (CHRB) to disclose the euthanization of a horse injured racing on that track (Tap the Wire in May 9th) and the recent LA Times reporting of the failures by the Del Mar Racetrack and the CHRB to do required necropsies of 2 racehorses killed last summer, this is a very IMPORTANT call out by SD U-T Sports Columnist Bryce Miller:

“Was it the responsibility of the CHRB or Del Mar to make this announcement?”

“The information vacuum lingers.”

“Column: Del Mar racetrack’s transparency, openness facing critical test The death of two horses during a training accident July 18 at Del Mar raised questions about transparency and accountability at the track.

By BRYCE MILLER
COLUMNIST
MAY 30, 2020 8 AM

“A Los Angeles Times story about the disposal of two horses involved in a fatal collision July 18 at Del Mar racetrack was disturbing, without a doubt.

“For one, it pulled back the veil on the unsettling endings many horses in racing face. It was neither unusual nor ran counter to outlined protocols [it DID], but it was understandably discomfoting.

“It was alarming that the horses did not reach a UC Davis lab for necropsies, as mandated. The error — the horses instead were transported to a rendering plant — was blamed on a contractor, which the track fired. Del Mar, to its credit, has no known history of a mistake of that kind and worked with the vendor for more than 20 years without a similar issue.

"Underneath it all was something more subtle, but distressing: A Del Mar representative had vowed, according to the Times story, to make the misstep public after an investigation was complete. Since the investigation wrapped in August? Crickets.

“Why? What changed? Del Mar President Josh Rubinstein, in a phone conversation, wrestled with whether to weigh in before politely declining comment.

"Why does it matter? Horse racing is facing an unprecedented industry crisis related to animal welfare that has been newly and immeasurably compounded by an unprecedented health pandemic. Public trust and patience has been strained, nearly beyond repair.

“Del Mar, though, always has been the credibility-flexing exception. It tackled its bruises and blemishes head on. It opened books and mouths to show and explain and discuss. It became the “right way to do it” kind of place.

“When the track suffered through a horrible run of horse deaths in 2016, I sat with CEO Joe Harper for nearly two hours in his Del Mar office. No question off limits. No uncomfortable details shrouded. The people who run the place rolled up their sleeves and began attacking issues with remarkable results, making Del Mar the safest big track in America.

“Horse racing needs that Del Mar, not hints of the stonewalling and unresponsiveness of Santa Anita. The industry and public are starved for the accountability and transparency Del Mar thrived on, not another track building information moats and side-stepping difficult conversations.

“When Charge a Bunch and Carson Valley collided in that rare and horrifying training accident, the collision was likened to a ‘car crash’ that was audible all the way to the backside barns.

“Del Mar hustled to host a news conference, which is something Santa Anita never did as fatalities soared at its facility. The conference was brief and only a handful of questions were answered, however, before officials cut it off and tried to usher officials out a side door.

“When reporters approached Dr. Arthur to gather important and relevant details, an outside public-relations representative stepped in to quash interviews. I protested and Arthur agreed to talk more.

“Around the same time, messages left for Rubinstein on that story and others by two representatives of the Union-Tribune and other media too often vanished into the ether. The situation improved, but concerns persisted.

“When a Times reporter left a message a couple weeks ago with another Del Mar executive, the call was returned by an out-of-state PR person. In follow up conversations with Del Mar, specific questions were not answered and only a statement was released.

“Among the questions:

“When the horses died, you were extremely transparent with a news conference. But when the horses were mistakenly sent to the rendering plant, why did you not make an announcement or issue some kind of statement at that time?

“Did (a Del Mar representative) tell (CHRB spokesperson) Mike Marten that a statement would be issued and if so, who decided that a statement would not be issued?

“In retrospect, do you wish you had made this public either immediately after it happened or after the CHRB finished its investigation?

“Was it the responsibility of the CHRB or Del Mar to make this announcement?

“The information vacuum lingers.

“All those breadcrumbs reveal an erosion of transparency and openness. How much is unclear. The trendline, though, remains a growing concern. The public already has the sport on the shortest of leashes.

“Del Mar became the industry gold standard. The accountability and accessibility showed real and rare leadership among peers. In a stained sport, the place where the turf meets the surf was a comforting asterisk.

“Duck-and-cover thinking rarely works. Hoping things go away sounds like the path of least resistance in the moment, but routinely exacerbates and inflames in the end. Just ask the CHRB about its many stumbles, ranging from conflicts of interest to the ham-handed handling of its drug investigation of Triple Crown winner Justify.

“Del Mar taught us that a different path builds trust. The track became a model of thoughtful stewardship. Now, it too often shields itself behind statements and crisis coordinators when the going gets tough. The whiff of change, disquieting.

“Far too much remains at stake, for the track and industry. Del Mar was a national leader.

“It can and should be again.”

<https://www.sandiegouniontribune.com/sports/sports-columnists/story/2020-05-30/del-mar-horse-racing-deaths-transparency-disposal-necropsies-harper-rubinstein>

Martha Sullivan
Imperial Beach

[REDACTED]
Tuesday, June 2, 2020 4:37 PM
Drummond, Amanda@CHRB

To:

CHRB Members:

Thank you for working diligently to regulate and reform horse racing. I am submitting comments today (6/2/20) relating to the CHRB meeting scheduled for 6/11/20. In case of technical difficulties which preclude the use of teleconferencing, herein is a summary of my concerns.

Agenda Item #2b Equine Medical Director Report

Concern: Gate Injuries

There are an alarming number of gate loading related injuries, i.e., 5/30/20 at Santa Anita, Race 8. Horses Lucky Daughter, Rational and others were visibly stressed during the loading process. Lucky Daughter fell to the ground onto her side within the tight confines of the gate. Other horses backed out of the gate in fear. Track cameras panned away for several minutes. The gate was reloaded after 4 horses were listed as scratched. Lucky Daughter and Rational are listed in your vet notations under Gate Injuries, but details are not available to the public as of this afternoon.

Santa Anita, Race 7, 5/31/20. Horse #1, who announcers said has only one eye became extremely stressed as she neared the starting gate. She broke away from the pony horse rider and had to be chased by staff members. Other horses had to walk in circles while she was being captured. Why is a horse with one eye still racing? Her vision is impaired and distorted. Her depth perception must be critically effected. It is no wonder she is fearful of the starting gate.

I would like to request that the CHRB contemplates engaging 3rd party Humane Officers to position themselves at critical points on race track grounds, so that they can observe procedures and practices which often cause injuries to the horses and staff members. The starting gate is one such critical point. These humane officers should submit reports of problems for public viewing. It is in the Horse Racing Industry's best interests to be upfront and forthcoming in reporting injuries, malfunctions and misdeeds by some because such things are always eventually uncovered.

Thank You For Your Continued Work and Dedication,
Bonnie C.
Concerned Citizen

CALIFORNIA HORSE RACING BOARD

June 11, 2020
REGULAR BOARD MEETING

There is no board package material for Item 2A

CALIFORNIA HORSE RACING BOARD

June 11, 2020
REGULAR BOARD MEETING

There is no board package material for Item 2B

CALIFORNIA HORSE RACING BOARD
**PROPOSED 2020 ALLOCATED RACE DATES
CALENDAR**

*Note: The allocation begins on the Wednesday prior to opening day
and concludes on the Tuesday following closing day.*

THOROUGHBRED MEETINGS - CENTRAL & SOUTHERN ZONES

<u>Location</u>	<u>Allocated Dates *</u>
Santa Anita	12/18/19 – 06/23/20
Los Alamitos	06/24/20 - 07/07/20
Del Mar	07/08/20 - 09/08/20
Santa Anita	09/09/20 - 10/27/20
Del Mar	10/28/20 - 12/01/20

THOROUGHBRED MEETINGS - NORTHERN ZONE

Golden Gate Fields	12/18/19- 06/16/20
Golden Gate Fields	08/05/20 -10/06/20
Golden Gate Fields	10/21/20 -12/22/20

QUARTER HORSE MEETINGS - STATEWIDE

Los Alamitos	12/27/19 - 12/22/20
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HARNESS MEETINGS – STATEWIDE

Cal Expo	12/26/19 - 05/03/20
Cal Expo	10/30/20 - 12/22/20

FAIR MEETINGS - STATEWIDE

Pleasanton	06/17/20 - 08/04/20
Fresno	10/07/20 - 10/20/20
L.A. County Fair / Los Alamitos	12/02/20 - 12/22/20

* Date Block Allocation. Actual days of live racing are determined at the time applications are approved by the Board.

The Southern California Thoroughbred and Statewide Harness Meeting Dates were allocated at 09/19/19 regular Board meeting. The Statewide Quarter Horse Meeting Dates were allocated at the 11/21/19 regular Board meeting. The Northern California Thoroughbred and Statewide Fair Meetings were allocated at the 12/12/19 regular Board meeting. The race dates calendar was amended due to the COVID-19 pandemic at the 06/11/20 regular Board meeting.

Updated June 2020

CALIFORNIA HORSE RACING BOARD
2020 ALLOCATED RACE DATES
CALENDAR

*Note: The allocation begins on the Wednesday prior to opening day
and concludes on the Tuesday following closing day.*

THOROUGHBRED MEETINGS - CENTRAL & SOUTHERN ZONES

<u>Location</u>	<u>Allocated Dates *</u>
Santa Anita	12/18/19 – 06/23/20
Los Alamitos	06/24/20 - 07/07/20
Del Mar	07/08/20 - 09/08/20
Santa Anita	09/09/20 - 10/27/20
Del Mar	10/28/20 - 12/01/20

THOROUGHBRED MEETINGS - NORTHERN ZONE

Golden Gate Fields	12/18/19- 06/16/20
Golden Gate Fields	08/26/20 -10/06/20
Golden Gate Fields	10/21/20 -12/22/20

QUARTER HORSE MEETINGS - STATEWIDE

Los Alamitos	12/27/19 - 12/22/20
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HARNESS MEETINGS – STATEWIDE

Cal Expo	12/26/19 - 05/03/20
Cal Expo	10/30/20 - 12/22/20

FAIR MEETINGS - STATEWIDE

Pleasanton	06/17/20 - 07/14/20
State Fair / Cal Expo	07/15/20 - 08/04/20
Santa Rosa	08/05/20 - 08/18/20
Ferndale	08/19/20 - 09/01/20
Fresno	10/07/20 - 10/20/20
L.A. County Fair / Los Alamitos	12/02/20 - 12/22/20

* Date Block Allocation. Actual days of live racing are determined at the time applications are approved by the Board.

The Southern California Thoroughbred and Statewide Harness Meeting Dates were allocated at 09/19/19 Regular Meeting of the Board. The Statewide Quarter Horse Meeting Dates were allocated at the 11/21/2019 regular Meeting of the Board. The Northern California Thoroughbred and Statewide Fair Meetings were allocated at the 12/12/19 Regular Meeting of the Board.

Updated January 2020

STAFF ANALYSIS
June 11, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR AT PLEASANTON, COMMENCING JUNE 17, 2020, AND CONTINUING THROUGH JULY 14, 2020.

At its April 2020 regular meeting, the Board tabled the Alameda County Fair (ACF) race meet application for the May 2020 regular meeting (which was cancelled), as the ACF application was incomplete. Agreements and other documents had not been submitted, and no letter of explanation was provided to address the outstanding items.

The ACF has since provided various documents and a letter of explanation that addresses the remaining outstanding items listed below.

The ACF has filed an application for license to conduct a horse racing meeting at Pleasanton as part of the 2020 combined fair horse racing meeting as follows:

- June 17 through July 14, 2020, with 15 race days, the same as in 2019. The ACF proposes to race a total of 163 races, the same as in 2019. Simulcasting will be conducted on June 12, 13, 19, and 26 and July 3, 2020. Live racing will begin on June 19, 2020.
- At its March 2020 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs to designate the 2020 allocated race dates of the ACF (6/17/20–7/14/20), California Exposition and State Fair (7/15/20–8/4/20), Humboldt County Fair (8/19/20–9/1/20), and The Big Fresno Fair (10/7/20–10/20/20) as a combined fair horse racing meeting. The 2020 combined fair race meeting allows for the extended distribution time of the carryover pool.

June 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing Friday through Sunday for opening week, Thursday through Sunday for three weeks. Nine races on Thursdays, 10 races on Fridays, and 12 races on Saturdays and Sundays.

- Average number of runners per race, by breed, for 2019 Race Meeting:
 - Thoroughbred: 6.67
 - Quarter horse: 6.20
 - Arabian: 5.33
 - Mule: 5.11
- First post 1:45 p.m. on weekdays and weekends.
- Wagering program will use CHRB and Association of Racing Commissioners International rules.
 - Early wagering will not be offered.
 - \$1 TRI and \$0.10 SF when applicable.
 - \$.50 PNP4 on first four races and last 4 thoroughbred races each day.
 - \$.50 PNP5 on last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 - \$.20 PNP6 on last 6 thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners, then 40% minor pool that day is paid to tickets selecting the most winners, and 60% major pool will be added to the carryover.
 - \$.20 Pentafecta (Super High 5) selecting first five finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers, then 40% minor pool paid to tickets selecting the first five finishers, and 60% of major pool will be added to the carryover. If no ticket selects first five finishers, 100% of the pool will be added to carryover. Super High 5 will be carded on last race of the day. The sixth race of each card will offer a player's exacta wager with a 14% takeout.
- Specific changes from the 2019 license application:
 - Programs, on-track, \$2.75 versus \$2.50 for 2019
 - Programs, off-track, \$2.75 versus \$2.50 for 2019
- The advance deposit wagering (ADW) providers are Churchill Downs Technology Initiatives Company dba BetAmerica; Churchill Downs Technology Initiatives Company dba TwinSpires; ODS Technologies, L.P. dba TVG Network; Watchandwager.com, LLC (Watch and Wager); and XpressBet, LLC (Xpressbet).

Pursuant to Business and Professions Code (BPC) section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of BPC Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.

- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
 - There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- Documents received in compliance with BPC section 19604:
 - ADW agreement with TVG Network that allows it to accept wagers from California residents on races conducted at ACF for the term of the proposed race meet.
 - All required horsemen's organization approvals of the ADW agreements.
 - Simulcasting conducted with other out-of-state racing jurisdictions, pursuant to BPC section 19602, and with authorized locations throughout California.
 - Requests that Darrel Sparks be appointed horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
 - Inspection of backstretch worker housing has been requested and will be completed before the race meet begins.
 - Track safety inspection has been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application:

1. 2020 ADW agreements with BetAmerica, TwinSpires, Watch and Wager, and XpressBet that allow said providers to accept wagers from California residents on races conducted at ACF for the term of the proposed race meet.
2. 2020 race meet agreements with California Thoroughbred Trainers and Thoroughbred Owners of California.

Specific information that will **need to be updated** during the race meet:

1. Worker's compensation coverage [expires July 1, 2020].

RECOMMENDATION:

If the Board approves the application, staff recommends that it be approved contingent upon the receipt of the missing items prior to the beginning of the race meet.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Alameda County Fair
Alameda County Fair Agricultural Association
4501 Pleasanton Avenue, Pleasanton, CA 94566
Phone: 925-426-7600 Fax: 925-426-7599

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:
Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **June 17 – July 14, 2020**

B. Actual dates racing will be held: **June 19, 20, 21, 25, 26, 27, 28, July 2, 3, 4, 5, 9, 10, 11 & 12**

C. Dates racing will NOT be held: **Dark days will be June 17, 18, 22, 23, 24, 29, 30 July 1, 6, 7, 8, 13, 14**

D. Total number of racing days: **15**

E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) **Fri-Sun 1st Week; Thurs-Sun, 2nd, 3rd & 4th weeks 2020**

3. RACING PROGRAM

A. Total number of races: 163

B. Number of races by breed:

<input type="text" value="131"/>	Thoroughbreds	<input type="text" value="10"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="12"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="10"/>	Mules

CHRB CERTIFICATION		
Application received: 3/16/2020		Hearing date: 4/22/2020
Reviewed: RP		Approved date:
		License number:

C. Number of races daily:

	Thurs 6/25&, Jul 2,9	Fri 6/19, 6/26, 7/3 & 7/10	Sat 6/20, 6/27, 7/4 & 7/11	Sun 6/21, 6/28, 7/5 & 7/12
Thoroughbred	7	8	9	10
Other Breeds	2	2	3	2
Total	9	10	12	12

D. Total number of stakes races by breed:

<input type="text" value="2"/> Thoroughbreds	<input type="text" value="0"/> Quarter Horses	<input type="text" value="0"/> Appaloosas
<input type="text" value="0"/> Arabians	<input type="text" value="0"/> Paints	<input type="text" value="0"/> Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stake’s races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **See Attached.**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

Race Number	Weekdays	Weekends
Race # 1	1:45p.m.	1:45 p.m.
Race # 2	2:15 p.m.	2:15 p.m.
Race # 3	2:45 p.m.	2:45 p.m.
Race # 4	3:15 p.m.	3:15 p.m.
Race # 5	3:45 p.m.	3:45 p.m.
Race # 6	4:15 p.m.	4:15 p.m.
Race # 7	4:45 p.m.	4:45 p.m.
Race # 8	5:15 p.m.	5:15 p.m.
Race # 9	5:45 p.m.	5:45 p.m.
Race # 10		6:15 p.m.
Race # 11		6:45 p.m.
Race # 12		7:15 p.m.

** As much as possible, we intend to align our post times to complement the Santa Anita Meet and Los Alamitos.*

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors: **Jack Balch, Dawna Dowdell-Dos Santos, Gordon Galvan, Bill Harrison, Ed Hernandez, Frank Imhof, Rose Johnson, Clayton Koopmann, Michael**

2020 THOROUGHBRED STAKES

Alameda County Fair 2020

(June 19 – July 12, 2020)

STAKES SCHEDULE

JUAN GONZALEZ MEMORIAL

Postponed

EVERETT NEVIN FUTURITY (CAL-BREDS)

\$100,000 Guaranteed (\$40,000 CTBA)

Golden State Series

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, June 27

OAK TREE SPRINT

\$50,000 Added Overnight Stakes

(Plus up to \$15,000 to Cal-Breds)

Three Year Olds & Upward – Six Furlongs

Saturday, July 4

OTP 2018

(June 12 – July 19, 2019)

STAKES SCHEDULE

JUAN GONZALEZ MEMORIAL

Postponed

EVERETT NEVIN FUTURITY (CAL-BREDS)

\$100,000 Guaranteed (\$40,000 CTBA)

Golden State Series

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, July 6

OAK TREE SPRINT

\$50,000 Added Overnight Stakes

(Plus up to \$15,000 to Cal-Breds)

Three Year Olds & Upward – Six Furlongs

Thursday, July 4

OTP 2018

(June 23 – July 17, 2018)

STAKES SCHEDULE

JUAN GONZALEZ MEMORIAL

\$50,000 Added Overnight Stakes

(Plus, up to \$15,000 to Cal-Breds)

Two-Year-Old Fillies – Five & ½ Furlongs

Saturday, June 30

EVERETT NEVIN FUTURITY (CAL-BREDS)

\$100,000 Guaranteed (\$40,000 CTBA)

Golden State Series

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, Jul 7

OAK TREE SPRINT

\$50,000 Added Overnight Stakes

(Plus up to \$15,000 to Cal-Breds)

Three Year Olds & Upward – Six Furlongs

Wednesday, July 4

Mahoney, Chuck Moore, George Pacheco, Don Sherratt, Robert Silva, Craig Smith, John Smith, Christopher C. Walvoord, Tim Weaver, Eric Wente, Robin Wilma

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Chair, Gordon Galvan, Vice Chair, Frank Imhof, Directors, Chuck Moore, Don Sherratt, Bob Silva, Craig Smith and John Smith.**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and CARF other than those listed in 12B, who will be listed in the official program: **Alameda County Fair: Jerome Hoban, C.E.O., Jeanne Wasserman, Racing Manager, Richard Sims, Maintenance Operations Manager, Steve Wood, Track Superintendent, TBD, Track Announcer and Kevin Ingram, Stable Superintendent.**
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Jerome Hoban, C.E.O.
4501 Pleasanton Avenue
Pleasanton, CA 94566
jhoban@alamedacountyfair.com

Jeanne Wasserman, Racing Manager
4501 Pleasanton Avenue
Pleasanton, CA 94566
jeanne@alamedacountyfair.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2019	\$36,768,682	75,325	15 days
2018	\$33,979,631	81994	15 days
2017	\$29,086,735	71,641	11 Days
2016	\$29,513,427	71,822	12 Days
2015	\$31,461,781	75,049	12 Days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: **\$1,879,442**
 Prior meet actual: **\$1,879,442**

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: **\$125,296**

Prior meet actual: **\$125,296**

2. Overnight stakes:
 Current meet estimate: **\$100,000**
 Prior meet actual: **\$100,000**

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: **\$6,667**

Prior meet actual: **\$6,667**

3. Non-overnight stakes:
 Current meet estimate: **\$50,000**
 Prior meet actual: **\$50,000**

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: **\$3,333**

Prior meet actual: **\$3,333**

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **\$2,029,442**
 Prior meet actual: **\$2,029,442**

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$164,342**

Prior meet actual: **\$164,342**

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

CTT **\$ 5,284**

TOC **\$ 10,408**

Prior meet actual:

\$ 5,284

\$ 10,408

NTRA	\$ 835	\$ 835
PCQHRA	\$ 1,402	\$ 1,402
CWAR	\$ -0-	\$ -0-
ARAC	\$ 2,648	\$ 2,648
AMRA	\$ 2,574	\$ 2,574
CHBPAPEN	\$ 15,612	\$ 15,612
CTHF	<u>\$ 15,612</u>	<u>\$ 15,612</u>
Total	\$ 54,375	Total \$54,375

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: **\$2,248,159**

Prior meet actual: **\$2,248,159**

Average Daily Purse (7D ÷ number of days):

Current meet estimate: **\$149,877**

Prior meet actual: **\$149,877**

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: **\$1,884,051**

Prior meet actual: **\$1,884,051**

Average Daily Purse (7E ÷ number of days):

Current meet estimate: **\$125,603**

Prior meet actual: **\$125,603**

- F. Purse funds to be generated from interstate handle:

Current meet estimate: **\$196,063**

Prior meet actual: **\$196,063**

Average Daily Purse (7F ÷ number of days):

Current meet estimate: **\$13,071**

Prior meet actual: **\$13,071**

- G. Bank and account number for the Paymaster of Purses' purse account:

On file

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Rossman, MacDonald & Benetti, 3838 Watt Ave. Suite E500, Sacramento, CA. 95821, (916) 488-8360

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the

account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
684 stalls
- B. Minimum number of stalls believed necessary for the meeting:
1200
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **800**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
California State Fair
1600 Exposition Blvd., Sacramento, CA 95825
916-263-3000/Fax- 916-263-3230
800 Stalls.
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
California State Fair is a CARF member, no agreement is necessary.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
884
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$13.18
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
Dr. Forrest Franklin 916-585-2050
Dr. Sara Sporer 510-220-4860
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
Pleasanton – June 4 – July 7; 6:00 am till 11:00 am.
Golden Gate Fields - June 4 – July 7; 6:00 am till 11:00 am.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No **(On File with CHRB)**



March 17, 2020

California Horse Racing Board
 Attn: Jackie Wagner
 Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2020 Race Meet Applications for the Alameda County Fair, California State Fair, Humboldt County Fair and The Big Fresno Fair:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander
Executive Director

\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 18, 2020, which is the Closing Day of The Big Fresno Fair.

- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type		Rule Number
Exacta	(1E)	1959
Trifecta	(1TRI)	1979
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.20 PF)	ARCI 004-105
PK 3	(1PK3)	1977
PK 4	(.50PNP4)	1976.9
PK 5	(.50PNP5)	1976.9

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT	
			<input checked="" type="checkbox"/> Yes X	No
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	<input checked="" type="checkbox"/>	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	<input type="checkbox"/>	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB # 1976.9 ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	<input type="checkbox"/>	

Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. **None.**

D. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **10/18/2020.**

E. List any options requested with regard to exotic wagering:
\$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day
\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
\$.020 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day. The sixth race of each card will offer a player’s exacta wager with a 14% takeout.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for “early bird” wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:
Amtote (Tony Furtado) Expiration: October 30, 2020.
Equipment description on file with Board.

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%. Exacta in the 6th race 14%. Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Watch & Wager; Twin Spires; Express Bet, T.V.G. (Television Games Network) and Bet America.
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen’s groups?
Yes No

If yes, attach a copy of the approval. **On file with CHRB.**
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made;3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wager are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA
Alameda County Fair, Pleasanton
Bankers Casino, Salinas
Big Fresno Fair, Fresno
California State Fair & Exposition, Sacramento
Club One, Fresno
Firehouse Restaurant, Bakersfield

SOUTHERN CALIFORNIA
Barona Valley Ranch Resort & Casino, Lakeside
Cabazon Fantasy Springs Casino, Indio
Commerce Casino Racebook, Commerce
Derby Club, Seaside Park, Ventura



April 13, 2020

Mr. Larry Swartzlander
Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, CA 95815

RE: 2020 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpines, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on CARF and other Thoroughbred races during the 2020 race meetings, as follows:

1. California wagering on CARF races:

- a. TVG, XpressBet, TwinSpines, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN’s Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN’s Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpines, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

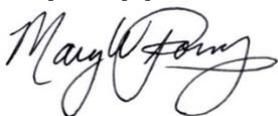
- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on CARF races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director



Pacific Coast Quarter Horse Racing Association

April 14, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2020 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020, and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires, and NYRA may accept wagers from California residents on races conducted at CARF and races held at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 13, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Dino Perez
Business Manager

April 21, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2020 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020 and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 13, 2020.

Please feel free to contact me if you have any questions.

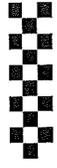
Sincerely,

Cory Soltau

Chairperson

[Type text]

[Type text]



March 25, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020 and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

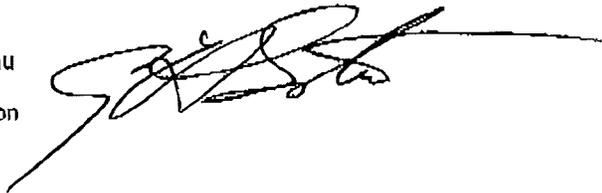
It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated March 25, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Cory Soltau

Chairperson



[Type text]

[Type text]

April 14, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2020 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020 and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 13, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



for
Don Jacklin

[Type text]

[Type text]

Golden Gate Fields, Albany

***Humboldt County Fair, Ferndale**

Jockey Club at San Mateo, San Mateo

**Monterey County Fair, Monterey
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa**

Stanislaus County Fair, Turlock

**Fairplex Park, Pomona
Fantasy Springs Casino, Indio
Los Alamitos Race Course, Los Alamitos
Mermaid Tavern, Thousand Oaks
OC Tavern & Sports Bar, San Clemente
Roadhouse Grill, Santa Maria
Sammy's Restaurant & Bar, Lake Forrest
Santa Anita Park, Arcadia
Santa Clarita Lanes, Santa Clarita
Shalimar Sports Center, Indio
Sports Center, San Bernardino
Sports Pavillion at The Farmers Fair, Lake Perris
Sports Pavillion, San Bernardino Cty. Fair, Victorville
Surfside Race Place at Del Mar, Del Mar
Sycuan Gaming Center, El Cajon
Tilted Kilt, Thousand Oaks
Viejas Casino & Turf Club, Alpine
Watch & Wager, Antelope Valley Fgds, Lancaster**

***Open only during Humboldt/Ferndale Fair Racing**

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

2020 IMPORT TRACKS	
ARLINGTON	6/17/20
BELMONT	6/17/20
ASSINIBOIA	6/17/20
AUSTRALIAN RACING	6/17/20
BEULAH	6/17/20
CALDER RACECOURSE	6/17/20
CANTERBURY DOWNS	6/17/20
CHARLES TOWN	6/17/20
CHURCHILL DOWNS	6/17/20
DELAWARE	6/17/20
DOWNS AT ALBUQUERQUE	6/17/20
ELLIS PARK	6/17/20
EMERALD DOWNS	6/17/20
EVANGELINE DOWNS	6/17/20
FORT ERIE	6/17/20
GULFSTREAM	6/17/20
HASTINGS PARK	6/17/20
HAWTHORNE	6/17/20
INDIANA DOWNS	6/17/20
KEENLAND	6/17/20
KENTUCKY DOWNS	6/17/20
LAUREL	6/17/20
LONE STAR	6/17/20
LOUISIAN DOWNS	6/17/20
MONMOTH	6/17/20
MOUNTAINEER PARK	6/17/20
NYRA-AQUEDUCT	6/17/20
NYRA-BELMONT	6/17/20
NYRA-SARATOGA	6/17/20
PENN NATIONAL	6/17/20
PHILADELPHIA PARK (PARX)	6/17/20
PIMLICO	6/17/20
PRAIRIE MEADOWS	6/17/20
PRESQUE ISLE	6/17/20

RIVER DOWNS	6/17/20
SOUTH AMERICAN RACING	6/17/20
SUFFOLK DOWNS	6/17/20
SUNLAND PARK	6/17/20
SUNRAY PARK	6/17/20
TAMPA BAY	6/17/20
THISTLEDOWN	6/17/20
TURFWAY PARK	6/17/20
TURF PARADISE	6/17/20
UNITED KINGDOM	6/17/20
WOODBINE	6/17/20

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**2020 CARF Meets
Commingled
Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Millers OTB	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Mobile Greyhound	Sol Mutuel
Arima Race Club	Fair Grounds	Monmouth Park	Southland Greyhound
Arlington I	Fair Grounds ADW	Montana OTB	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Monticello	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Mountaineer Park	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mt. Pleasant Meadows	Suffolk Downs
Bangor Raceway	Fonner	Nassau Regional OTB	Sunland Park
Batavia	Freehold	New Jersey Casino Assoc.	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Newport Jai Alai	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	NJ Bets (ADW)	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Northfield	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Cedar Downs OTB	Thistledown
Canterbury	Race bets	Northville	Tioga Downs
Capital District OTB	Greenetrack	NYRA	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA Account Wagering	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Oaklawn	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Ocean Downs	Turfway Park
Churchill Downs	Harrington Raceway	Panama	TVG Chester
Club Hipica InTurf	Harrington Bets (DE regional)	PARX	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX Phone Bet	TVG Prairie
Colonial Downs	Hawthorne ADW	Penn National	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National Telebet	TwinSpires
Columbus Raceway	Hoosier Park	Peru	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Plainridge Race Course	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Telephone Wagering	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Player Management Group	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Pocono Account Wagering	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing		Western OTB

Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomini Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW Bet America and Offtrackbetting	Racing & Gaming Services	Separate Pool Locations
Millenium Racing	Lone Star	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	LVDC	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	Atlantis Paradise Casino	Rockingham Account Wagering	MIR Books (Caliente)
Camouflage Gaming	Avatar Ventures	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Buffalo Thunder Resort	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Foxwoods Resort Casino	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Meskwaki Bingo & Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot		Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant, Bakersfield, 58 Flat Sports Bar & Casino, Bonita, Ocean's 11, Oceanside, Original Road House Grill, Santa Maria, Santa Clarita Lanes, Santa Clarita, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Mission Viejo.
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: **N/A**

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card	
Cal Expo	Harness Horses	Per CHRB Calendar Full Card	

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).
N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) – **Sarah Sporer, D.V.M.**
 Clerk of Scales – **Kenneth Sjoldal**
 Clerk of the Course – **Tina Walker**
 Assistant Clerk of Scales – **Kenny Fowler**
 Film Specialist – **Kenny Fowler**
 Horse Identifier – **Darrel Sparks**
 Horseshoe Inspector – **Augustine Rayas**
 Paddock Judge – **Danny Winnick**
 Patrol Judges – **Danny Winnick**
 Placing Judges – **Steve Martinelli & Morgann Schlessner**
 Starter – **Todd Stephens**
 Timer – **Melody Truitt**
- B. Management officials in the racing department:
 Director of Racing – **Larry A. Swartzlander**
 Racing Secretary – **Tom Doutrich**
 Assistant Racing Secretary – **Linda Anderson**
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Niccoli Reporting
619 Pilgrim Dr.
Foster City, CA 94404
Telephone: 650-573-9339
pdqcsr@comcast.net

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O’Brien (Expires 12/7/21)
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/21)
Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2021)

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See Attached**
Racetrack/Grandstand: Alameda County Sheriff Chief in Charge 925-426-7525
Liaison Officer: Commander Colby Staysa 510-529-5451 and Sergeant Kevin Estep 925-321-1796.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
Racetrack/Grandstand: 8 Sheriff’s Deputies
Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
N/A. Addressed in B1 above.
 - B. Number of security guards in the detention stall area during a 24-hour period.
One
 - C. Describe number and location of surveillance cameras in detention stall area.
Surveillance cameras at each end of the detention shed row and two in between.
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
All horses in thoroughbred races where the number is determined by a random algorithm generator.
 - B. Plan for enhanced surveillance for trainers with high-test results.
Trainer with high test results will be moved to the detention area.

Item #14 A:

Security Organizational Chart

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

Alameda County Agricultural Fair Association

Jerome Hoban, CEO	925-567-6032
Richard Sims, V.P. of Maintenance Operations	925-596-5744
Judy Carrico, Senior Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

Security Eye

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Office, various personnel	925-426-7519

Alameda County Sheriff Department

Fairgrounds Command Center	925-426-7525
Commander Colby Staysa	510-529-5451
Sergeant Kevin Estep	925-321-1796

Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.

- C. Plan for detention stalls for repeat offenders.
Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance
- D. Number of security personnel assigned to the TCO2 program.
One (1) 24-hour security guard when detention stalls are occupied.

- C. Describe the electronic security system.
C.A.R.F. surveillance equipment and program that travels between racing Fairs.
 - 1. Location and number of video surveillance cameras for the detention stall and stable gate.
4 surveillance cameras monitoring this area

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Royal Ambulance Service, Steve Grau, President, 14472 Wicks Blvd, San Leandro, CA 94577. 877-995-6161.**
 - 1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
American Medical Response, 1101 Fee Dr., Sacramento, CA 95815, (916) 563-0838
 - 1. Attach a certification from the Ambulance Company(s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
N. P. Breena Silzinos, 559-977-8477.
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Randy Magee, Health & Safety Manager, Patti Coonce, Assistant Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Attached.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
California Fair Services Authority
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
See Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

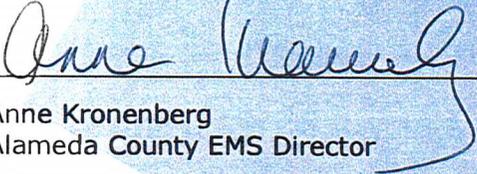
This is to certify that:

Royal Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from to

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**


Anne Kronenberg
Alameda County EMS Director


Karl Sporer, MD.
Alameda County EMS Medical Director

An embossed seal should appear in a grey box



Compassion. Commitment. Caring

March 2, 2020

Jeanne Wasserman
Alameda County Fairgrounds
4501 Pleasanton Ave
Pleasanton, CA 94566

Royal Ambulance Inc. is compliant with all Federal, State and local requirements to operate as an Ambulance Company in Alameda County and other jurisdictions where we provide service. Our EMT's are Certified by the California Emergency Medical Services Authority and copies of the Certifications will be provided upon request

Sean Young

Sean Young

Operations Manager
14472 Wicks Blvd
Sant Leandro, CA 94577
510-568-6161



March 16, 2020

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

Daniel Iniguez

(916) 563-0835

Operations Manager AMR Sacramento County

March 7, 2020

Paramedic for Live Race Meet:

The Alameda County Fair contracts with Royal Ambulance for training a live racing. Royal is a BLS, as required for training.

To meet the requirement for a Paramedic during the live races two Alameda County Fire Department Paramedics will be on a full medically equipped modified golf cart with a stretcher. This vehicle is equipped to handle medical emergencies just as an ambulance would be. The paramedic will be staged at the entrance to the race track, ready to go should an emergency occur.

Item #15F English:

Alameda County Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #15 F Spanish:

Procedimiento en caso de Accidente en Alameda County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia..
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



Alameda County Fire Department
FIRE PREVENTION

www.acgov.org/fire

March 24, 2020

DAVID A. ROCHA
Fire Chief

COUNTY
FIRE PREVENTION
399 Elmhurst, Room 120
Hayward, CA 94544
tel (510) 670-5853
fax (510) 887-5836

DUBLIN
FIRE PREVENTION
100 Civic Plaza
Dublin, CA 94568
Tel (925) 833-6606
Fax (925) 829-9248

EMERYVILLE
FIRE PREVENTION
1333 Park Avenue
Emeryville, CA 94608
Tel (510) 596-3759
Fax (510) 450-7812

NEWARK
FIRE PREVENTION
37101 Newark Blvd
Newark, CA 94560
Tel (510) 578-4218
Fax (510) 578-4281

SAN LEANDRO
FIRE PREVENTION
835 E 14th Street
San Leandro, CA 94577
Tel (510) 577-3317
Fax (510) 618-3445

UNION CITY
FIRE PREVENTION
34009 Alvarado-Niles Road
Union City, CA 94587
Tel (510) 675-5470
Fax (510) 487-2117

Jerome Hoban, CEO
Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

RE: Annual Stable Area Inspections

Dear Mr. Hoban:

ACFD conducted inspections of the stable area on 2/27/2020 and 3/2/20. The facility was found to be empty and without any violations as of the 3/2/20 inspection.

Sincerely,

Bonnie S. Terra
Division Chief



June 20, 2019

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$100,000 self-insured retention California Fair Services Authority (includes liquor liability)
Coverage continuous until cancelled
- B. Excess Coverage \$24,900,000 in excess of \$100,000 (includes Liquor Liability)
Coverage provided by CSAC Excess Insurance Authority
Term: 7/1/19 to 7/1/20

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 7/01/2019 to 7/01/2020

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Mario Castagnola

Mario Castagnola
Risk Analyst

**1776 TRIBUTE ROAD, SUITE 100
SACRAMENTO, CA 95815
PHONE: (916) 921-2213 | FAX: (916) 646-1238**

WWW.CFSA.ORG

available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Food & Beverage:

Yogurt-a-Fair: William Heher, 809 Riordan Road, Suite #202, Flagstaff, AZ 86001

Food Service: Ovations Fanfare, LP, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566

Jetter Golf: Dana and Greg Jetter, 4501 Pleasanton Ave., Pleasanton, CA 94566

Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005

Program: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005

Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514

Jockey Laundry Service Winner's Circle Laundry, Donald Fowler, 539 Woodside Oaks #3, Sacramento, CA 95825

Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y.10590

Sound System: Precision Sound Craft, Steve Hendrickson, 203 Cockrobin Ave., Windsor, CA 95492

Armor Car: NOTWINC, 6801 Koll Center Parkway, Suite 140, Pleasanton, CA 94566

- B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:

See Attached

- B. Promotional/ Marketing budget for this race meeting:

Fair Marketing budget of \$2 million in cash and in-kind advertising spent to promote the fair which includes the race meet. \$95,000 is dedicated to race-meet specific marketing, promotions and events.

Promotional/Marketing budget for prior race meeting:

More than \$2 million in cash and cash equivalents (in-kind advertising) was spent to promote the fair which includes the race meet. \$87,000 was dedicated to race-meet specific marketing, promotions and events.

- C. Number of hosts and hostesses employed for meeting:

4: 2 at Trackside Terrace and 2 in the Sky Lounge

- D. Describe facilities set aside for new fans:

Daily Racing Seminars, located on the Grandstand Stage

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. **Horsemen and Fans: Fan Cave for sport in the upper level.**

2. **Fans: Dedicated wagering lounge; no one under 18 admitted, no charge to enter.**

Sky Lounge. This room was formally our Directors VIP Lounge. We have opened it up to the public and renamed it. Horsemen and the public will be able to make reservations.

The cost is \$50 and includes a buffet lunch.

The racing signal is broadcast in HD to both our grandstand and Satellite Wagering Facility.

- 3. Facilities in the restricted areas: **Tack rooms, shed rows, hot walker areas, stalls and common areas have had a thorough cleaning. Last year the odd side of the barns had stall doors repaired and painted. This year we have worked on the even side of barns.**

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

Admission rates are held at the same prices from last year.

Admission, Grandstand (general)	Free With Fair Admission
Admission Adult, Fair	\$15
Admission Adult, <u>Advanced</u>	\$12
Admission, Seniors 62+	\$10 (Senior Free day on Fridays)
Admission, Seniors 62+ <u>Advanced</u>	\$8
Fair Admission/Children (6-12)	\$10 (Free on Fridays)
Fair Admission/Children <u>Advanced</u> (6-12)	\$8
Admission (clubhouse)	N/A
Admission Trackside Terrace	\$40 pre-fair, includes fair admission and buffet
Admission Trackside Terrace	\$40 after fair opens, includes buffet
Admission Sky Lounge	\$50 pre-fair, includes, fair admission and buffet
Admission Sky Lounge	\$50 after fair opens, includes buffet
Reserved seating, 1 box seat	\$6
Reserved seating general	\$5
Reserved seating (clubhouse)	N/A
Parking (general)	\$10
Parking (preferred)	\$15
Parking, WP Lot	\$20
Parking (valet)	N/A
Programs (on-track)	\$2.75
(Off-track)	\$2.75

- B. Describe any "Season Boxes" or other special accommodation fees:

Box seats sold by the box for the seasonal race meet. We have 4-seat, 6-seat and 8-seat boxes. There are no price increases for seasonal boxes. Prices are held at the 2017 rates.

- C. Describe and "package" plans such as combined parking, admission and program:

Family Fun Pack: (\$50 4-General Admission, 1 General Parking

Season Pass: (\$40.00) Fair Admission for all 18 days. Premium Season Pass \$80, includes parking.

19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:
**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks.
 Jockeys & Jockettes share the scale.**

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **107**
- C. Number of restrooms available on the backstretch of the racetrack: **9 (Total commodes =17)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 10.**

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
 The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner Rail: Fontana Safety Rail
Outer Rail: Aluminum gooseneck, supports with aluminum top rail
Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **No.**

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to

conduct the entire meeting have been finalized except as follows (if no exceptions, so state):

No Exceptions

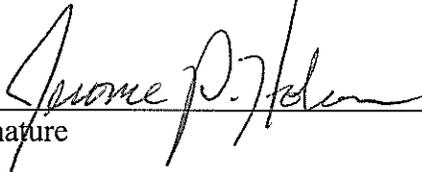
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
All Horsemen's agreements will be forwarded to CHRB NLT 5 April, 2019.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Jerome Hoban
Alameda County Fair CEO



Signature

3-27-20

Date

Drummond, Amanda@CHRB

From: Sandlot Racing Stables [REDACTED]
Sent: Tuesday, June 2, 2020 11:29 AM
To: Drummond, Amanda@CHRB
Subject: June 11 Meeting-Agenda Items 3, 4, 6

Per the Notice of Meeting for the June 11, 2020 meeting I would like to submit the following for the public comment on Agenda Items 2, 4 and 6.

I am an owner/breeder with about 15 horses in the state, primarily running in Northern California. I want to express my request that the dates for racing at the cancelled Northern California Fairs be reallocated to Golden Gate Fields.

As the board knows, we are still in the midst of a global pandemic. As a result for six weeks racing was shut down in Northern California. As a further result the Alameda County Fair and the State Fair have been cancelled. Globally the pandemic has caused the Olympics to be postponed along with just about every professional sporting event.

Despite this Golden Gate Fields worked with the governmental entities to establish protocols to get spectatorless racing up and going again. These efforts staved off what would have been an economic apocalypse in the horse community.

Given the current circumstances, I ask why would the board even consider requiring the horses, trainers and backstretch workers to change environments? Why would we van horses and people 40 minutes to a cancelled fair? I understand and appreciate there are other forces in effect here, but this is not a business as usual kind of year.

From the 10,000 foot level it simply makes no sense whatsoever to race anywhere other than Golden Gate Fields this summer and as such I would ask you to consider the same.

In a world that is different from what it was a year ago, I would urge the board to accept this fact and keep racing for this year at Golden Gate Fields for the summer. Doing otherwise is putting the horses, trainers, backstretch workers in harms way. And is putting in the owners in economic harms way.

Thank you in advance for your consideration of the above.

--

Tom Lininger
President
Sandlot Racing Stables
[REDACTED]
Citrus Heights, CA 95610
[REDACTED]

Drummond, Amanda@CHRB

From: [REDACTED]
Sent: Tuesday, June 2, 2020 4:37 PM
To: Drummond, Amanda@CHRB

CHRB Members:

Thank you for working diligently to regulate and reform horse racing. I am submitting comments today (6/2/20) relating to the CHRB meeting scheduled for 6/11/20. In case of technical difficulties which preclude the use of teleconferencing, herein is a summary of my concerns.

Agenda Item #s 4, 6, 8 Granting licenses to conduct horse racing meetings

Concern: I don't believe racing should be taking place at all during this Covid19 Pandemic. It is impossible to maintain social distancing during racing activities. Jockeys and track workers come and go from the tracks despite claims by some that a racing facility can be a closed ecosystem. I have witnessed the presence of many unessential participants at race tracks recently. For example media reporters, a bugle player, trophy presenters, horse carriage drivers and more. Owners and guests have been invited to watch their horses train while having coffee at a club house. These activities can promote the spread of highly infectious disease during this critical time period.

Thank You For Your Continued Work and Dedication,
Bonnie C.
Concerned Citizen

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING
FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC),
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR
MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE CALIFORNIA
EXPOSITION AND STATE FAIR.

Regular Board Meeting
June 11, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a mandatory stand down for intra articular injections. Here, the California Association of Racing Fairs (CARF) has obtained an agreement with the Thoroughbred Owners of California (TOC).

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken. Here, CARF has entered into an agreement with the TOC which reduces the permitted amount of Lasix to 250 mg, prohibits Lasix in two year-olds, prohibits a horse from racing for 14 days if it has received a joint injection, prohibits a horse from performing a timed workout within 10 days of a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in an metacarpophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.

Drummond, Amanda@CHRB

From: Sarah Segal [REDACTED]
Sent: Wednesday, June 3, 2020 4:25 PM
To: Drummond, Amanda@CHRB
Subject: CHRB June 11th teleconference meeting ---Public Comment

Agenda Item #5 -----the horses are too young to be racing. Horses don't carry their babies on their backs in the wild. Horses would not need drugs if you just stopped racing them.

Sarah Segal--L.A. County Resident & Taxpayer

arah & Boomer Jr.
[REDACTED]

SAVE 100 PLUS ANIMALS A YEAR
SAVE YOUR PLANET
SAVE YOUR HEALTH
GO VEGAN
[START HERE](#)



STAFF ANALYSIS
June 11, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR, COMMENCING JULY 15, 2020, AND CONTINUING THROUGH AUGUST 4, 2020.

The California Exposition and State Fair (Cal Expo) filed its application to conduct a horse racing meeting at Cal Expo in Sacramento as part of the 2020 combined fair horse racing meeting as follows:

- July 15, 2020, through August 4, 2020, with 11 racing days, the same as in 2019. Cal Expo proposes to race a total of 110 races, the same as in 2019. Live racing will commence July 17, 2020, and continue through August 2, 2020. Cal Expo is a participant in the 2020 combined fair horse racing meeting.
- At its March 2020 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs to designate the 2020 allocated race dates of the Alameda County Fair (6/17/20–7/14/20), Cal Expo (7/15/20–8/4/20), Humboldt County Fair (8/19/20–9/1/20), and The Big Fresno Fair (10/7/20–10/20/20) as a combined fair horse racing meeting. The 2020 combined fair race meeting allows for the extended distribution time of the carryover pool.
- The proposed race dates are within the approved dates allocated to Cal Expo, which are now part of the 2020 combined fair horse racing meeting.

July 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing Friday through Sunday the first week, Thursday through Sunday the second and third weeks; seven races on Thursdays, 10 races on Fridays, and 11 races on Saturdays and Sundays.
- Requests approval to adjust number of races scheduled dependent on availability of horses and mules.

- Racing concurrently with the Los Alamitos Quarter Horse Racing Association and the Del Mar Thoroughbred Club.
- Average number of runners per race, 2019 race meeting:
 - Thoroughbred: 5.77
 - Quarter horse: 5.33
 - Arabian: 5.17
 - Mule: 4.71
- First post 3:15 p.m. Thursday and Fridays and 2:15 p.m. Saturday and Sundays.
- Requests approval to adjust the post time schedule submitted, except for the first race post time, to maximize wagering opportunities on its live program and all simulcast import programs, which include Santa Anita, Los Alamitos, Del Mar, and out-of-state programs.
- Wagering program will use CHRB and ARCI rules.
 - Distribution of carryover pool will be October 18, 2020 (closing day of The Big Fresno Fair).
 - Early wagering will not be offered.
 - \$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable.
 - \$0.50 PNP4 on first four races and last four thoroughbred races each day.
 - \$0.50 PNP5 on last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 - \$0.20 PNP6 on last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners, then 40% minor pool that day is paid to the tickets selecting the most winners, and 60% major pool will be added to carryover.
 - \$0.20 Pentafecta (Super High 5) selecting first five finishers in same race. 100% pool paid and any prior carryovers paid for unique ticket selecting first five finishers. If no unique ticket selects first five finishers, then 40% minor pool paid to tickets selecting first five finishers, and 60% of major pool will be added to carryover. If no ticket selects first five finishers, 100% of pool will be added to carryover. Super High 5 will be carded on last race of the day. Sixth race of each day will be player's exacta with 14% takeout. No guaranteed pic four wager. If pic six does not have six thoroughbred races, emerging breed races will fill out the wager.
- The advance deposit wagering (ADW) providers are Churchill Downs Technology Initiatives Company dba BetAmerica; Churchill Downs Technology Initiatives Company dba TwinSpires; ODS Technologies, L.P. dba TVG Network; Watchandwager.com, LLC (Watch and Wager); and XpressBet, LLC (Xpressbet).

Pursuant to Business and Professions Code (BPC) section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of BPC Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
 - There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- Documents received in compliance with BPC section 19604:
 - ADW agreement with TVG Network that allows it to accept wagers from California residents on races conducted at Cal Expo for the term of the proposed race meet.
 - All required horsemen's organization approvals of the ADW agreements.
 - Simulcasting conducted with other out-of-state racing jurisdictions, pursuant to BPC section 19602, and with authorized locations throughout California.
 - Requests that Darrell Sparks be appointed horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
 - Inspection of backstretch worker housing has been requested and will be completed before the race meet begins.
 - Track safety inspection has been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application includes:

1. 2020 ADW agreements with BetAmerica, TwinSpires, Watch and Wager, and XpressBet that allow said providers to accept wagers from California residents on races conducted at Cal Expo for the term of the proposed race meet.
2. 2020 race meet agreements with California Thoroughbred Trainers and Thoroughbred Owners of California.
3. Fire clearance [scheduled for June 2020].

Specific information that will **need to be updated** during the race meet:

1. Workers' compensation certification [expires 7/1/2020].

RECOMMENDATION:

If the Board approves the application, staff recommends that it be approved contingent upon the receipt of the missing items prior to the beginning of the race meet.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

California Exposition & State Fair
1600 Exposition Blvd., Sacramento, CA 95825
916-263-3000/Fax- 916-263-3230

B. Fair association is a: District Fair County Fair Citrus Fruit Fair

California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Rick Pickering, 916-263-3000, [rpickering @ calexpo . com](mailto:rpickering@calexpo.com)
Larry Swartzlander, 916-263-3347, [larry @ calfairs . net](mailto:larry@calfairs.net)

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **July 15 – August 4, 2020**

B. Actual dates (live) racing will be held: **July 17, 18, 19, 23, 24, 25, 26, 30, 31 & Aug 1 & 2.**

C. Dates (live) racing will NOT be held: **July 14, 15, 16, 20, 21, 22, 27, 28, 29 & Aug 3 & 4.**

D. Total number of (live) racing days: **11**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) **First Week Friday – Sunday; Second & Third Week Thursday thru Sunday**

3. RACING PROGRAM

A. Total number of races: **110**

Respectfully request approval to adjust the number of races scheduled dependent upon availability of horses and mules.

B. Number of races by breed:

Thoroughbreds Quarter Horses
 Arabians Mules

CHRB CERTIFICATION

Application received: 4/15/20
Reviewed: RP

Hearing date: 6/11/20
Approved date:
License number:

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9/9/9				6/6	8/8/8	9/9/9
Other Breeds	2/2/2				1/1	2/2/2	2/2/2
Total	11/11/11				7/7	10/10/10	11/11/11

D. Total number of stakes races by breed:

<input type="text" value="1"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). N/A

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. N/A

2020
 Governor's Handicap
 \$50,000 Added Overnight Hdcp
 (Plus up to \$15,000 to Cal-Breds)
 Three-year-olds & Upward – Six Furlongs
 Sat, Jul 25

2019
 Governor's Handicap
 \$50,000 Added Overnight Handicap
 (Plus up to \$15,000 to Cal-Breds)
 Three-year-olds & Upward – Six Furlongs
 Sat, Jul 20

2018
 Governor's Handicap
 \$50,000 Added Overnight Handicap
 (Plus up to \$15,000 to Cal-Breds)
 Three-year-olds & Upward – Six Furlongs
 Sat, Jul 21

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<u>Sat & Sun</u>		<u>Thu & Fri</u>	
Race 1	2:15 PM	Race 1	3:15 PM
Race 2	2:45 PM	Race 2	3:45 PM
Race 3	3:15 PM	Race 3	4:15 PM
Race 4	3:45 PM	Race 4	4:45 PM
Race 5	4:15 PM	Race 5	5:15 PM
Race 6	4:45 PM	Race 2	5:45 PM
Race 7	5:15 PM	Race 2	6:15 PM
Race 8	5:45 PM	Race 2	6:45 PM
Race 9	6:15 PM	Race 2	7:15 PM

Race 10 6:45 PM
Race 11 7:15 PM

We respectfully request approval to adjust submitted post time schedule, excepting first race post time, to maximize all wagering opportunities for our live program and all simulcast import programs including Santa Anita, Los Alamitos, Del Mar, and out of state programs.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

- Jess Durfee – Chair*
- Rex Hime – Vice Chair*
- Rima Barkett - Director*
- Sonney Chong – Director*
- Rina DiMare– Director*
- David Mallel – Director*
- Brian May - Director*

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

- Rina DiMare – Director*
- David Mallel – Director*

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

- Rick Pickering- Chief Executive Officer**
- Tom Martinez – Chief Deputy General Manager**
- Vic Stauffer - Public Address Announcer**
- Kevin Ingram - Stable Superintendent**
- Steve Wood- Track Foreman**

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Rick Pickering- Chief Executive Officer- rpickering@calexpo.com
Larry A. Swartzlander- Director of Racing- larry@calfairs.net
1600 Exposition Blvd., Sacramento, CA 95825

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager. **N/A**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2019 (11 days)	23,275,504	50,762
2018 (11 days)	24,553,318	57,539
2017 (11 days)	23,464,949	58,274
2016 (10 days)	22,312,284	58,532
2015 (11 days)	24,949,818	63,496

*Ran one less day, Ran with Los Alamitos vs Hollywood Park and had one less day with Del Mar

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate: **\$1,192,198**
 Prior meet actual: **\$1,192,198**

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: **\$108,382**
 Prior meet actual: **\$108,382**

- 2. Overnight stakes:
 Current meet estimate: **\$50,000**
 Prior meet actual: **\$50,000**

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: **\$4,545**
 Prior meet actual: **\$4,545**

- 3. Non-overnight stakes:
 Current meet estimate: **\$0**
 Prior meet actual: **\$0**

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: **\$0**

Prior meet actual: **\$0**

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **\$1,242,198**
 Prior meet actual: **\$1,242,198**

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$111,581**

Prior meet actual: **\$111,581**

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

Prior meet actual:

CTT	3,128	3,128
TOC	6,252	6,252
NTRA	1,212	1,212
PCQHRA	1,402	1,402
ARAC	2,497	2,497
AMRA	2,150	2,150
CHBPAPEN	8,252	8,252
<u>CTHF</u>	<u>8,252</u>	<u>8,252</u>
Total-	\$33,145	Total- \$33,145

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: **\$1,386,924**

Prior meet actual: **\$1,386,924**

Average Daily Purse (7D ÷ number of days):

Current meet estimate: **\$126,084**

Prior meet actual: **\$126,084**

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$1,045,083**

Prior meet actual: **\$1,045,083**

Average Daily Purse (7E ÷ number of days):

Current meet estimate: **\$95,008**

Prior meet actual: **\$95,008**

- F. Purse funds to be generated from interstate handle:

Current meet estimate: **\$148,895**

Prior meet actual: **\$148,895**

Average Daily Purse (7F ÷ number of days):

Current meet estimate: **\$13,536**

Prior meet actual: **\$13,536**

G. Bank and account number for the Paymaster of Purses' purse account:

On file

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Rossmann, MacDonald & Benetti, 3838 Watt Ave. Suite E500, Sacramento, CA. 95821, (916) 488-8360.

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

A. Number of usable stalls available for racehorses at the track where the meeting is held: **800**

B. Minimum number of stalls believed necessary for the meeting: **1200**

C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **684**

D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

Alameda County Fair.

E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

No stabling agreement is required. Pleasanton is a consolidate Fair member.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).

960

G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:

\$9.45

- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
- Dr. Sarah Sporer 510-220-4860
 - Dr. Frosty Franklin 916-585-2050
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
 Dr. Frosty Franklin – 0500 – 1700 on racing days. Jul 10 – July 31 – On site
 Dr. Sarah Sporer – 0500 – 10:00 training and workout hours at auxiliary Jul 10 – Jul 31.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB# 1976.9 ARCI 004-105 G. (g) Method 7	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	



March 17, 2020

California Horse Racing Board
 Attn: Jackie Wagner
 Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2020 Race Meet Applications for the Alameda County Fair, California State Fair, Humboldt County Fair and The Big Fresno Fair:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander
Executive Director

\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 18, 2020, which is the Closing Day of The Big Fresno Fair.

Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. **None.**

D. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **18 October, the last day of the Fresno Fair. Carryovers would include the Gold Rush Pic six, the Gold Strike Pentafecta and the pic five or four.**

E. List any options requested with regard to exotic wagering:
\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.
\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day. The sixth race of each day will be the player’s exacta with a 14% takeout. There will be no guaranteed pic four wager. If the pic six does not have six thoroughbred races emerging breed races will fill out the wager.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for “early bird” wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Amtote (Dave Calendine) Expiration October 30, 2020**
Equipment description on file with CHRB.

H. List below the takeout percentage for each type of wager identified in 10B.

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

Players exacta 14% takeout.

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the fair for this race meeting:
BetFair, (TVG), Express Bet and Bet America, Watch and Wager & Twin Spires.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting: **CARF agreement on file with the CHRB.**

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the fair to conduct simulcast wagering: **NCOTWINC**

B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair’s live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File**

C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

- Alameda County Fair, Pleasanton**
- Bankers Casino, Salinas**
- Big Fresno Fair, Fresno**
- California State Fair & Exposition, Sacramento**
- Club One, Fresno**
- Firehouse Restaurant, Bakersfield**
- Golden Gate Fields, Albany**

***Humboldt County Fair, Ferndale**

SOUTHERN CALIFORNIA

- Barona Valley Ranch Resort & Casino, Lakeside**
- Cabazon Fantasy Springs Casino, Indio**
- Commerce Casino Racebook, Commerce**
- Derby Club, Seaside Park, Ventura**
- Fairplex Park, Pomona**
- Fantasy Springs Casino, Indio**
- Los Alamitos Race Course, Los Alamitos**



April 13, 2020

Mr. Larry Swartzlander
Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, CA 95815

RE: 2020 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpines, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on CARF and other Thoroughbred races during the 2020 race meetings, as follows:

1. California wagering on CARF races:

- a. TVG, XpressBet, TwinSpines, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN’s Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN’s Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpines, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

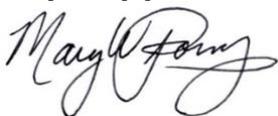
- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on CARF races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director



Pacific Coast Quarter Horse Racing Association

April 14, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2020 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020, and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires, and NYRA may accept wagers from California residents on races conducted at CARF and races held at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 13, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Dino Perez", is written over a light blue horizontal line.

Dino Perez
Business Manager



March 25, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020 and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

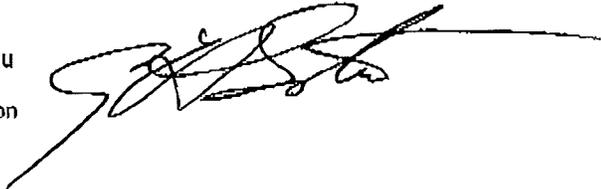
It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated March 25, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Cory Soltau

Chairperson



[Type text]

[Type text]

April 14, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2020 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020 and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 13, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



for
Don Jacklin

[Type text]

[Type text]

Jockey Club at San Mateo, San Mateo

**Monterey County Fair, Monterey
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa**

Stanislaus County Fair, Turlock

**Mermaid Tavern, Thousand Oaks
OC Tavern & Sports Bar, San Clemente
Roadhouse Grill, Santa Maria
Sammy's Restaurant & Bar, Lake Forrest
Santa Anita Park, Arcadia
Santa Clarita Lanes, Santa Clarita
Shalimar Sports Center, Indio
Sports Center, San Bernardino
Sports Pavillion at The Farmers Fair, Lake Perris
Sports Pavillion, San Bernardino Cty. Fair, Victorville
Surfside Race Place at Del Mar, Del Mar
Sycuan Gaming Center, El Cajon
Tilted Kilt, Thousand Oaks
Viejas Casino & Turf Club, Alpine
Watch & Wager, Antelope Valley Fgds, Lancaster**

***Open only during Humboldt/Ferndale Fair Racing**

D. Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

2020 IMPORT TRACKS	
ARLINGTON	
BELMONT	
ASSINIBOIA	7/15-8/4
AUSTRALIAN RACING	7/15-8/4
BEULAH	7/15-8/4
30CALDER RACECOURSE	7/15-8/4
ANTERBURY DOWNS	7/15-8/4
CHARLES TOWN	7/15-8/4
CHURCHILL DOWNS	7/15-8/4
DELAWARE	7/15-8/4
DOWNS AT ALBUQUERQUE	7/15-8/4
ELLIS PARK	7/15-8/4
EMERALD DOWNS	7/15-8/4
EVANGELINE DOWNS	7/15-8/4
FORT ERIE	7/15-8/4
GULFSTREAM	7/15-8/4
HASTINGS PARK	7/15-8/4
HAWTHORNE	7/15-8/4
INDIANA DOWNS	7/15-8/4
KEENLAND	7/15-8/4
KENTUCKY DOWNS	7/15-8/4
LAUREL	7/15-8/4
LONE STAR	7/15-8/4
LOUISIAN DOWNS	7/15-8/4
MONMOTH	7/15-8/4
MOUNTAINEER PARK	7/15-8/4
NYRA-AQUEDUCT	7/15-8/4
NYRA-BELMONT	7/15-8/4
NYRA-SARATOGA	7/15-8/4
PENN NATIONAL	7/15-8/4
PHILADELPHIA PARK (PARX)	7/15-8/4
PIMLICO	7/15-8/4
PRAIRIE MEADOWS	7/15-8/4
PRESQUE ISLE	7/15-8/4
RIVER DOWNS	7/15-8/4
SOUTH AMERICAN RACING	7/15-8/5
SUFFOLK DOWNS	7/15-8/4
SUNLAND PARK	7/15-8/4
SUNRAY PARK	7/15-8/4
TAMPA BAY	7/15-8/4
THISTLEDOWN	7/15-8/4
TURFWAY PARK	7/15-8/4
TURF PARADISE	7/15-8/4
UNITED KINGDOM	7/15-8/4
WOODBINE	7/15-8/4

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

CARF 2020 Meets

Commingled Locations

AmWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Arapahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Arima Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Arlington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Atokad	Fair Grounds ADW	Maywood	Sol Mutuel
Balmoral	Fair Meadows	Meadowlands	Southland Greyhound
Balmoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Bangor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Batavia	Freehold	Monmouth Park	Suffolk District OTB
Bettor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
Beulah Park	Global Wagering Solutions	Monticello	Pat's Pizza
Birmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	Sun Ray Park & Casino
Buffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Calder Racecourse	Race bets	New Jersey Casino Assoc.	Taunton Acct Wagering
Canterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
Catskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
Charles Town Race Course	Gulfstream	Northfield	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Northville	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
Colonial Downs	Hoosier Park	Oaklawn	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
Columbus Raceway	Indiana Downs	Panama	Twin River Greyhound
Connecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	Watch and Wager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	Xpress Bet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB	Presque Isle Downs	
Tote Investment Racing	Lien Games ADW	Raceway Park	
Randall James Racetrack	Bet America		

Millenium Racing
 Royal Beach Casino
 Divi Carina Bay Casino
 Fair Chance
 Winner's Circle

Best Fast Now
 OnlineRacing.TV
 BetTheRacesOnline.com
 BigJackpotBetting.com
 Hometownbets.com
 RaceplayWin.com
 VIPHorsePlayer.com
 TrackInfo.com
 Offtrackbetting.com

Racing2Day LLC
 Racing2Day Intl. (Stan James)
 Remington Park
 Remington OTB Network
 Retama
 Racing & Gaming Services
 River Downs
 Rockingham Park
 Rockingham Account Wagering
 Ruidoso Downs

Separate Pool Locations

Camarero (Puerto Rico)
 Caymanas (Jamaica)
 MIR Books (Caliente)
 NDS Books (Nevada)

Corpus Christi Greyhound
 Delaware

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Firehouse Restaurant, Bakersfield, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Tilted Kilt, Sammy's Restaurant & Bar, Lake Forest & Nor Co, Santa Barbara.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card
Cal Expo	Harness Horses	Per CHRB Calendar Full Card

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)-	Audrey Clifton, DVM
Clerk of Scales-	Kenneth Sjordal
Clerk of the Course-	Tina Walker
Film Specialist-	Danny Winnick
Horse Identifier-	Darrel Sparks
Horseshoe Inspector-	Larry Goshman
Paddock Judge-	Danny Winick
Patrol Judges-	Morgan Schlessler/Danny Winick
Placing Judges-	Steve Martinelli/Myra Troske
Starter-	Todd Stephens
Timer-	Melody Truitt

B. Management officials in the racing department:

Director of Racing-	Larry A. Swartzlander
Racing Supervisor-	Juliana Gomes
Concierge-	Lisa Wilkerson
Racing Secretary-	Tom Doutrich
Assistant Racing Secretary-	Linda Anderson
Paymaster of Purses-	Victoria Layne
Track Announcer	Vic Stauffer

Others (identify by name and title)

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Wendy Frazier, 13136 Ivey Rd., Herald, CA 95638 916-956-3914

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, USA- Bill O'Brien, Contract Expires December 31, 2021

D. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communications, Inc (Jim Porep) Contract Expires: December 31, 2023
Equipment listed in attachment.**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16th pole and both chutes. Pegasus Communications, Inc. (Jim Porep) Contract Expires 4/30/2023.

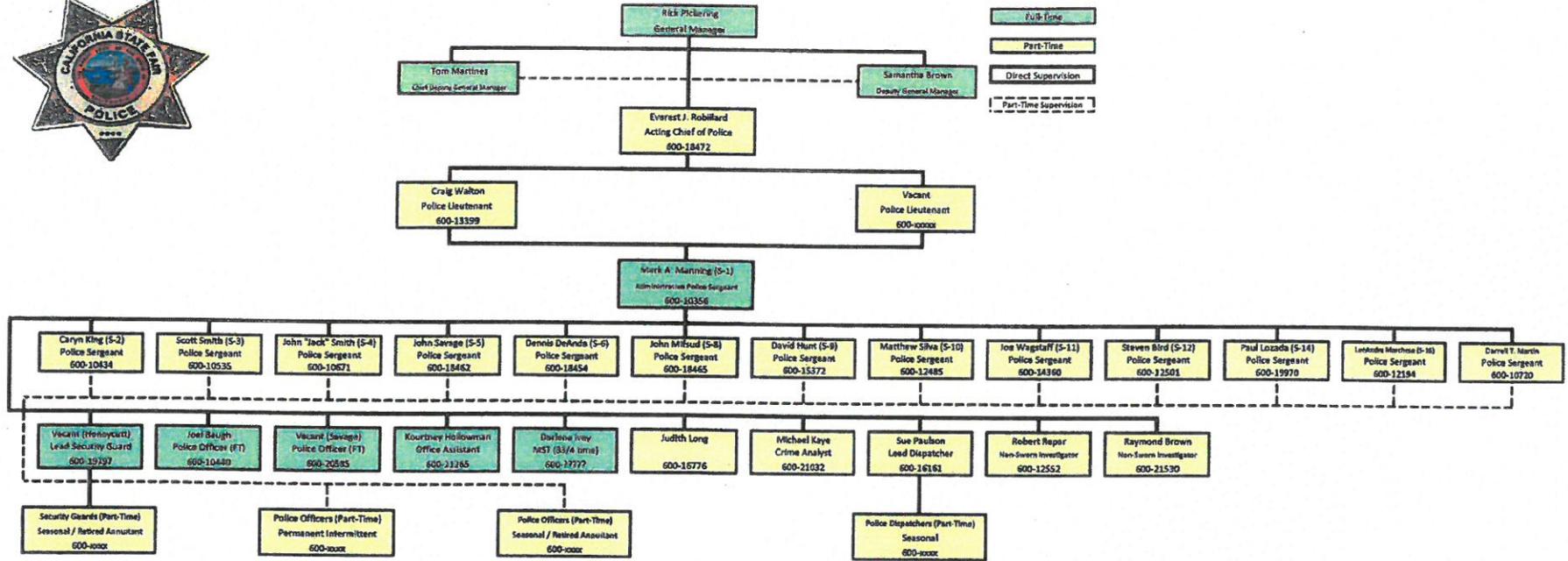
14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Acting Chief Everest Robillard – 916-263-3050; Organizational chart attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis. : **Gate Persons 9 Police Officers – 5 supported by roving patrol and 30-110 member Cal Expo Police Department.**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races. **No Graded Stakes**
 - B. Number of security guards in the detention stall area during a 24-hour period.
N/A
 - C. Describe number and location of surveillance cameras in detention stall area.
N/A
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
Will comply with and follow CHRB directives
 - B. Plan for enhanced surveillance for trainers with high-test results.
Will comply with and follow CHRB directives
 - C. Plan for detention stalls for repeat offenders.
Will comply with and follow CHRB directives
 - D. Number of security personnel assigned to the TCO2 program.
As directed by CHRB
- C. Describe the electronic security system.
1. Location and number of video surveillance cameras for the detention stall and stable gate.
Mobile surveillance system and gear provided and installed by CARF

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
American Medical Response, 1101 Fee Dr., Sacramento, CA 95815 916-563-0838
1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
A1. The State of California uses a web based data base for licensed with in the State. Sacramento County EMS as well as AMR regular confirm all employees are current with their licenses .
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

California Exposition and State Fair Police Department





March 16, 2020

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

Daniel Iniguez

(916) 563-0835

Operations Manager AMR Sacramento County

Royal Ambulance Service, Steve Grau, President, 14472 Wicks Blvd, San Leandro, CA 94577. 877-995-6161.

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

On file with the CHRB

C. Describe the on-track first aid facility, including equipment and medical staffing:
The on-site First Aid facility and racetrack ambulance contain all equipment and supplies necessary for advanced life support of any emergency and in compliance with all Sacramento County and California Highway Patrol requirements. The racetrack ambulance is staffed by 1 paramedics and emergency medical technician and the on-site first aid facility is staffed by paramedics and emergency medical technicians. [Equipment list attached](#)

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Dr. Streeter 209-608-8009

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**UC Davis Medical Trauma Center
Specializing as a Level 1 Trauma Center
2315 Stockton Blvd., Sacramento, CA 95817
916-734-2011; 3 Miles**

**Sutter Medical Center
2801 L Street
Sacramento, CA 95816
916-887-0000; 3.4 Miles**

**Kaiser Permanente Hospital
Specializing in orthopedic and cardiac treatment
2025 Morse Ave.
Sacramento, CA 95825
916-817-5660 2 Miles**

**Mercy General Hospital
Specializing in cardiac treatment
4001 J. St., Sacramento, CA 95819
916-453-4553 1 ½ Miles**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Attached

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Larry A. Swartzlander, Director of Racing

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

The Fire Marshall inspection has been scheduled for early June



Compassion. Commitment. Caring

March 2, 2020

Jeanne Wasserman
Alameda County Fairgrounds
4501 Pleasanton Ave
Pleasanton, CA 94566

Royal Ambulance Inc. is compliant with all Federal, State and local requirements to operate as an Ambulance Company in Alameda County and other jurisdictions where we provide service. Our EMT's are Certified by the California Emergency Medical Services Authority and copies of the Certifications will be provided upon request

Sean Young

Sean Young

Operations Manager
14472 Wicks Blvd
Sant Leandro, CA 94577
510-568-6161



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

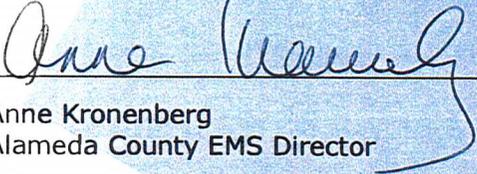
This is to certify that:

Royal Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 7, 2018** to **December 6, 2020**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**


Anne Kronenberg
Alameda County EMS Director


Karl Sporer, MD.
Alameda County EMS Medical Director

An embossed seal should appear in a grey box

	COUNTY OF SACRAMENTO EMERGENCY MEDICAL SERVICES AGENCY	Document #	2030.18
	<u>PROGRAM DOCUMENT:</u> Advanced Life Support Inventories	Initial Date:	06/09/94
		Last Approved Date:	03/14/19
		Effective Date:	05/01/19
		Next Review Date:	01/01/21

Signature on File

Signature on File

 EMS Medical Director

 EMS Administrator

Purpose:

- A. It is the sole responsibility of the provider agency to make available to their Paramedics sufficient inventory to offer Advanced Life Support (ALS) services, at all times while in service, as defined by both the state Emergency Medical Services Authority (EMSA) scope of practice and the Sacramento County Emergency Medical Services Agency (SCEMSA) optional scope of practice.

Authority:

- A. California Health and Safety Code, Division 2.5
- B. California Code of Regulations, Title 22, Division 9

Policy:

- A. All Paramedics, who at the time of a medical incident are in service and employed in the capacity of a Paramedic by their provider agency, shall have all the necessary medications, equipment, and materials to render an ALS level of service
- B. It is the sole responsibility of the provider agency to have all the necessary medications, equipment, and materials available for the Paramedic, who at the time of a medical incident is in service and employed in the capacity of a Paramedic
- C. Provider agencies may choose to NOT supply non-transporting ALS units with those items indicated by an asterisk (*) on the SCEMSA Inspection Guide
- D. Any deficiencies in the quantity of sufficient materials to offer ALS service involving patient care, whether or not the deficiency affected patient care, as defined by both the EMSA scope of practice and the SCEMSA optional scope of practice, shall be reported, in writing, to SCEMSA within seven (7) days of the occurrence
- E. SCEMSA shall conduct periodic ALS unit inspections to validate compliance
- F. The SCEMSA Inspection Guide is not an all-encompassing list nor indicates quantities based on the Providers' geographic response areas and responsibility for identifying sufficient quantities to deliver the necessary care specified in the SCEMSA Policies

AIRWAY

Bag valve mask resuscitator, adult and pediatric
Cric Kit and Jet Insufflation device* /or equivalent

ETT nebulizer adapter
Laryngoscope handle

Magill forceps – adult and child
Nasal cannula
Minimum Thoracostomy Needle- Minimum 14 gauge 3.25”
NPA, sizes 24-36 Fr
Portable suction unit
Spare Laryngoscope batteries
Stylet malleable, adult and pediatric
Yankauer or tonsil tip suction catheter

Supraglottic intubation device

Capnometer
Continuous waveform Capnography
Endotracheal tubes sizes:
Uncuffed and/or cuffed: 5.0-5.5
Cuffed: 6.0, 7.0, 7.5, 8.0
Handheld nebulizer
Laryngoscope blades, Macintosh sizes 1, 2, 3 and 4,
Miller sizes 0, 1, 2, 3 and 4
Material/device to secure endotracheal tube
Non-rebreather bag mask, adult and pediatric
OPA, sizes 80-110 mm

Portable oxygen cylinder with regulator
Spare laryngoscope bulbs
Suction catheter kit, adult and pediatric
Continuous Positive Air Pressure (CPAP) device with
Sm, Med, and Lg masks. *

ELECTROCARDIOGRAM

Cardiac/Defib Monitor w/ Pacing Capabilities
Spare paper for monitor
12-lead* and 3-lead ECG cables
Equipped and capable to transmit 12-Lead ECG

Spare batteries for cardiac monitor
Defibrillation/Pacing pads, adult and pediatric
Electrode pads

INTRAVENOUS ACCESS SUPPLIES

Antiseptic solution

IO needles* 45mm, 25mm and 15mm
Pediatric solution administration kit (60 droplet set or equivalent) *
Intravenous solution
Sharps needle disposal container
Tourniquet

Intravenous catheters (over the needle) 14, 16, 18, 20, 22 and 24

EZ-IO
Intravenous solution administration device

Medication administration needles
Medication administration syringes

MEDICATIONS

Adenosine*
Amiodarone
Atropine

Diazepam* (only during SCEMSA recognized shortages or under PD#8027)

Epinephrine 1:10,000
Glucagon hydrochloride

Albuterol .05% solution
Aspirin, chewable
Dextrose:
D50 OR
D10% OR both
Diphenhydramine

Epinephrine 1:1,000
Fentanyl*
Lidocaine HCL 2%*

Midazolam*
Naloxone
Ketamine*
Nitroglycerine paste with tape applicator*
Ondansetron*
Sodium bicarbonate*
Tranexamic Acid (TXA)*

Morphine Sulfate* (optional in place of Fentanyl)
Nitroglycerine spray or equivalent
Normal saline
Oral glucose
Water soluble lubricant

TRAUMA SUPPLIES

Backboard with straps*
KED or short board device*
Traction splint, adult and pediatric*
Hemorrhage control supplies
Vaseline Gauze

Cervical collars (rigid): of appropriate sizes / adjustable
Rigid splints, arm and leg
Head immobilizer
Triangular Bandages

Sterile Dressings (burns)
Cold/Hot Packs*

COMFORT SUPPLIES

Blanket*
Pillow*

MISCELLANEOUS

Glucometer with testing supplies
Shears
Emesis Containers/Fx Pan*
Stethoscope
Thermometer

Obstetrical kit with hat and blanket
Personal Protective Equipment (PPE)
Triage tags with ties
Penlight
Blood pressure cuff; adult, pediatric

**Optional for ALS Non-Transporting Units*

- G. The following list of inventory items is intended to list the minimum allowable supplies to be carried on a non-motorized, non-transporting specialty unit. These units include but are not limited to: Bike Patrol, Foot Patrol.
- H. The provider responsible for providing this service shall be required to carry the appropriate quantity of each item as deemed appropriate for their specific event.
- I. While these items represent the minimum allowable, it is appropriate and encouraged to carry any item from this entire policy as deemed necessary and appropriate for the specific event or assignment. The carrying of any equipment from the items listed in Section F do not need to be approved by SCEMSA if carried on these specialty units, provided the requirements of that specific item can be met (e.g. Narcotics)

Non-Motorized Specialty Unit Minimum Inventory:

Medications:

Epinephrine: 1:10,000
Atropine
Nitroglycerine spray or equivalent

Epinephrine: 1:1,000
Aspirin
Glucagon

Oral Glucose
Diphenhydramine
Albuterol

Naloxone
Dextrose 50%
Dextrose 10% (optional)
Sodium Chloride Vial

Airway:

Portable oxygen cylinder with regulator
Nasal Cannula
ETT nebulizer adapter

Non-rebreather bag mask, adult and pediatric
Handheld nebulizer
Bag valve mask resuscitator, adult and
pediatric

NPA, sizes 24-36 Fr

OPA, sizes 80-110 mm
Endotracheal tubes cuffed, 5.0, 5.5, 6.0, 7.0, 7.5,
8.0

Laryngoscope handle/blades
Mac 1-4, Miller 0-4
Spare laryngoscope bulbs
Capnometer (Adult/Child)

Spare Laryngoscope batteries
Magill Forceps (Adult/Child)
Material/device to secure endotracheal tube
(Adult/Child)

Portable Suction
Water soluble lubricant

Suction Catheter Kit
Thoracotomy Needle- 14 gauge 3.25"

ECG:

AED w/ Spare Battery (Adult/Child capabilities)
Waveform display preferred

IV Supplies:

Antiseptic solution

Intravenous catheters (over the needle)
14, 16, 18, 20, 22, 24

Intravenous solution administration device
Intravenous solution
Sharps needle disposal container
Tourniquet

Saline Lock
Medication administration needles
Medication administration syringes

Dressing/Bandages:

Hemorrhage control supplies
Cold Packs

Vaseline Gauze
Triangular Bandages

Misc.:

Stethoscope
Pulse-oximeter
Glucometer with testing supplies
Penlight

Blood Pressure Cuff (Adult/Child)
Obstetrical kit with hat and blanket
Personal Protective Equipment (PPE)

Item #15F English:

California State Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #15 F Spanish:

Procedimiento en caso de Accidente en California State Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia..
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **California Fair Services Authority.**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
Attached.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:
Food Service- Ovations Fanfare, LP, 4501 Pleasanton Drive, Pleasanton, CA 94566
- B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting. To be provided.
- B. Promotional/Marketing Budget for this race meeting:
The total media buy for the 2020 California State Fair is \$800,000 with an estimated \$400,000 in trade and promotions. Horseracing advertising, promotions and marketing will be included in the overall messaging.
Promotional/Marketing Budget for the prior race meeting:
The total media buy for the 2019 California State Fair is \$800,000 with an estimated \$400,000 in trade and promotions. Horseracing advertising, promotions and marketing will be included in the overall messaging.
- C. Number of hosts and hostesses employed for meeting:



June 1, 2020

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95815

Re: California Exposition & State Fair
1600 Exposition Blvd.
Sacramento, CA 95815

Please be advised that the California Exposition & State Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled

- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
 (b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 7/01/2019 to 7/01/2020

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the California Exposition & State Fair as set forth in California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

A handwritten signature in cursive script that reads "Mario Castagnola".

Mario Castagnola
Risk Analyst

**1776 TRIBUTE ROAD, SUITE 100
SACRAMENTO, CA 95815
PHONE: (916) 921-2213 | FAX: (916) 646-1238**

WWW.CFSA.ORG

D. Describe facilities set aside for new fans:

Reserved grandstand seating will be made available to blue ribbon season pass holders. We will also offer horse racing 101 workshops, and are working on securing new group events that will be held on the grass area to the left of the apron. New tote board signage and new entryway signs will also enhance the customer experience.

New events that will be taking place National Wiener dog races, California State Fair Corn hole competition, California State Fair Brew Fest and the California State Fair Car Show.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit horsemen, fans, and facilities in the restricted areas.

- A \$100,000 inside racing rail was installed.
- Enhanced Security System throughout Miller Lite Grandstand.
- A new betting system was installed.
- The Miller Lite Racetrack Grandstand was re-wired for better data transfer.
- Replaced 102 T.V.'s with high def. flat screen T.V.'s.
- Improvements were also made to the backstretch laundry facilities.
- New water-efficient toilets were installed throughout Cal Expo, including the Miller Lite Racetrack Grandstand.
- Cal Expo opened the new employee break room in the backstretch.
- An emergency safety horn was implemented for thoroughbred training.
- \$1 million roof replacement on the Satellite wagering and racing facilities.
- Updated security on back stretch.

Marketing Plan:

Nearly \$1.9 million in cash and approximately \$400,000 in in-kind advertising will be spent on marketing efforts to draw the Sacramento region and San Joaquin Valley to the 2020 California State Fair and Thoroughbred Horse Racing Meet. Additional marketing through online discount offers will take place.

The blue ribbon will continue to brand the State Fair as a celebration of living in the moment in all of the marketing elements.

Marketing tactics:

Broadcast TV/Cable advertising

- 5 weeks of ads leading up to and during the Fair
- English and Spanish ads
- Horse racing is a featured attraction

Radio advertising

- 8 weeks of recorded radio ads
- 2-week flight for advance ticket sales in April and 6 weeks leading up to and during the Fair
- English and Spanish ads in the Sacramento and Stockton Markets
- On-site and on-air promotions

Outdoor advertising

- 23 high traffic billboards
- Thoroughbred Horse Racing will be featured on Digital and Static Billboards
- Nearly 45 million estimated impressions
- Transit shelters in midtown Sacramento and high traffic commuter areas

Print advertising

- Sacramento Bee
- Sactown Magazine
- Sacramento Magazine
- Sacramento News & Review
- Sacramento Observer
- Outward Magazine
- Dozens of Community Newspapers
- 20,000 flyers and postcards delivered to potential competitive exhibitors and community events

Digital Marketing

- Banners (Desktop & Mobile)
- Automated box seat marketing campaigns to targeted lists (previous purchasers and area businesses)
- Online video
- Social advertising via Facebook and Twitter
- Daily emails/newsletters highlighting events and activities as the Fair

Social Media Outreach

- Daily posts on Facebook, Twitter and Instagram
- Live streaming via Facebook and Instagram

Awards and Recognition

- International Association of Fairs and Expositions (IAFE) Best of Show, Best Marketing Campaign and 13 other First Place Categories
- 16 Western Fair Association media and marketing awards
- American Advertising Federation – Sacramento ADDY Gold Award for Overall Marketing Program
- Facebook Likes: 183,864
- Twitter Followers: 9,549
- Instagram Followers: 8,825

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission (general) -	\$12.00 - 14.00/w Fair Admission
Admission (clubhouse)	Free
Reserved seating (general)	Box Seats \$3.00 Seat only NO Fair Admission

Reserved seating (clubhouse)	Free
Turf Club Buffet	\$20.00 NO Fair Admission
Parking (general)	\$15.00
Parking (preferred)	\$ 5.00
Parking (valet)	N/A
Programs (on-track)	\$2.50
(Off-track)	\$2.50

C. Describe any "Season Boxes" or other special accommodation fees:

11-Day Season Box Seat Package-
 \$660 for premium boxes surrounding finish line
 \$570 for all other boxes

Package Includes:

- One private box (6 seats total)
- One sponsored race
- 6 official racing programs (per day)
- 66 general admission passes to the California State Fair
- One general lot parking pass (good for racing days only)
- Preferred lot parking pass (good for racing days only)

19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="43"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys: Same type in segregated area

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing is scheduled for: the week of June, 2020
- B. Number of rooms used for housing on the backstretch of the racetrack: 21
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restrooms to the number of backstretch personnel: 25 to 1

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **The racetrack is made up of sand of various types (Very Coarse, Coarse, and Medium to Very Fine), silt and clay. The Medium to Very Fine sand makes up approximately 75% of the sand content. Organic material will be added to the racetrack to assist in water retention.**
- C. The percent of cross slope in the straight-aways is: **2%**
 The percent of cross slope in the center of the turns is: **4%**

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside Rail- Maw Safe Rail Outside Rail- Steriline Aluminum Racing Rail
Both rails will be set at 38" to 42" from the level of the race course
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Trackmaster Inc.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

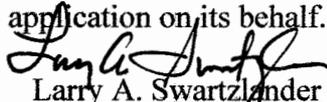
22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement will be received NLT April 18, 2020.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
Attached.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.


Larry A. Swartzlander

Print Name
Director of Racing
Print Title

Signature
April 15, 2020
Date

Drummond, Amanda@CHRB

From: Sandlot Racing Stables [REDACTED]
Sent: Tuesday, June 2, 2020 11:29 AM
To: Drummond, Amanda@CHRB
Subject: June 11 Meeting-Agenda Items 3, 4, 6

Per the Notice of Meeting for the June 11, 2020 meeting I would like to submit the following for the public comment on Agenda Items 2, 4 and 6.

I am an owner/breeder with about 15 horses in the state, primarily running in Northern California. I want to express my request that the dates for racing at the cancelled Northern California Fairs be reallocated to Golden Gate Fields.

As the board knows, we are still in the midst of a global pandemic. As a result for six weeks racing was shut down in Northern California. As a further result the Alameda County Fair and the State Fair have been cancelled. Globally the pandemic has caused the Olympics to be postponed along with just about every professional sporting event.

Despite this Golden Gate Fields worked with the governmental entities to establish protocols to get spectatorless racing up and going again. These efforts staved off what would have been an economic apocalypse in the horse community.

Given the current circumstances, I ask why would the board even consider requiring the horses, trainers and backstretch workers to change environments? Why would we van horses and people 40 minutes to a cancelled fair? I understand and appreciate there are other forces in effect here, but this is not a business as usual kind of year.

From the 10,000 foot level it simply makes no sense whatsoever to race anywhere other than Golden Gate Fields this summer and as such I would ask you to consider the same.

In a world that is different from what it was a year ago, I would urge the board to accept this fact and keep racing for this year at Golden Gate Fields for the summer. Doing otherwise is putting the horses, trainers, backstretch workers in harms way. And is putting in the owners in economic harms way.

Thank you in advance for your consideration of the above.

--

Tom Lininger
President
Sandlot Racing Stables
[REDACTED]
Citrus Heights, CA 95610
[REDACTED]

Drummond, Amanda@CHRB

From: [REDACTED]
Sent: Tuesday, June 2, 2020 4:37 PM
To: Drummond, Amanda@CHRB

CHRB Members:

Thank you for working diligently to regulate and reform horse racing. I am submitting comments today (6/2/20) relating to the CHRB meeting scheduled for 6/11/20. In case of technical difficulties which preclude the use of teleconferencing, herein is a summary of my concerns.

Agenda Item #s 4, 6, 8 Granting licenses to conduct horse racing meetings

Concern: I don't believe racing should be taking place at all during this Covid19 Pandemic. It is impossible to maintain social distancing during racing activities. Jockeys and track workers come and go from the tracks despite claims by some that a racing facility can be a closed ecosystem. I have witnessed the presence of many unessential participants at race tracks recently. For example media reporters, a bugle player, trophy presenters, horse carriage drivers and more. Owners and guests have been invited to watch their horses train while having coffee at a club house. These activities can promote the spread of highly infectious disease during this critical time period.

Thank You For Your Continued Work and Dedication,
Bonnie C.
Concerned Citizen

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE DEL MAR THOROUGHBRED CLUB
(DMTC) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC),
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR
MEDICATIONS TO BE IMPLEMENTED BY THE DMTC AT THE DEL MAR
RACETRACK.

Regular Board Meeting
June 11, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a mandatory stand down for intra articular injections. Here, the Del Mar Thoroughbred Club (DMTC) has obtained an agreement with the Thoroughbred Owners of California (TOC).

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken. Here, DMTC has entered into an agreement with the TOC which reduces the permitted amount of Lasix to 250 mg, prohibits Lasix in two year-olds, prohibits a horse from racing for 14 days if it has received a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in the fetlock joint.

RECOMMENDATION:

This item is presented for Board discussion and action.

STAFF ANALYSIS
June 11, 2020

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR
JULY 8, 2020 THROUGH SEPTEMBER 8, 2020

The Del Mar Thoroughbred Club (DMTC) filed its application to conduct a thoroughbred horse racing meeting at Del Mar as follows:

- July 8 through September 8, 2020, or 28 days, 8 less than in 2019. The association proposes to race a total of 290 races, or 10.35 races per day. In 2019, the DMTC raced 8.25 races per day with an average of 7.99 runners per race. The (actual) average daily purse for the meet was \$553,909. The (estimated) average daily purse for this meet is \$565,526.
- The race dates proposed are within the dates allocated by the Board.

July 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing three days per week (except week 9 racing four days), Friday through Sunday, and Monday, September 7; 10 races per day Fridays and Sundays (except 11 races Friday, July 10), and Monday, September 7; 11 races per day Saturdays.
- Racing concurrently with the dates allocated to the Alameda County Fair at Pleasanton, California Exposition and State Fair, Sonoma County Fair, Humboldt County Fair, and Pacific Racing Association II.
- First post 2:00 p.m. daily.
- Wagering program will use CHRB and ARCI rules.
 - \$2 Win, Place, Show parlay available on every eligible race. Wager must combine at least two but not more than six races.

- \$2 Quinella on all eligible races.
 - \$1 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.
 - \$2 Rolling Daily Double; requests 20% takeout rate.
 - \$0.50 Rolling Pick 3 on all eligible races.
 - \$1 Place Pick All.
 - \$0.50 Pick 4 on races 2–5; no consolation; 100% payout; alternate runner provision.
 - \$0.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; alternate runner provision; requests 14% takeout rate.
 - \$0.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; alternate runner provision.
 - \$2 Pick 6 on last six live races each day: First share—70%; Second share—15%; Third share—15%; request that Commission allow patrons to select alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.
 - \$1 Super High 5 on last race of day; 100% payout of all tickets selecting five winners; no consolation; 100% carryover to next race day Super High 5, if applicable.
 - Mandatory Pick 6 Jackpot Payout on August 22, 2020 (Pacific Classic Day) and on September 7, 2020 (Closing Day).
- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet, Watch and Wager, TwinSPIRES, Bet America, and NYRAbets.

Pursuant to Business and Professions Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code Section 19604:

- Churchill Downs Technology Initiatives Company (CDTIC) dba TwinSpires and BetAmerica has submitted all documents required in compliance with Business and Professions Code Section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602 and with authorized locations throughout California.
- Requests that Jennifer Paige be appointed horse identifier, pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to be completed this application includes:

1. Advance Deposit Wagering agreement [NYRAbets].
2. California Thoroughbred Trainers agreement.

RECOMMENDATION:

If the application is considered for approval, staff recommends an approval contingent upon receipt of all outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Del Mar Thoroughbred Club
P.O. Box 700, Del Mar, CA 92014
Phone: 858-755-1141
Fax: 858-792-1477
Josh Rubinstein: josh@dmtd.com

B. Breed of horse: TB QH H

C. Racetrack name: **Del Mar Thoroughbred Club (DMTC)**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **ON FILE**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **July 08 through September 08, 2020**

B. Actual dates racing will be held: **July 10 through September 07, 2020, racing every Friday through Sunday, as well as Monday (Labor Day, 9/7). Dark 7/13-16, 7/20-23, 7/27-30, 8/3-6, 8/10-13, 8/17-20, 8/24-27, 8/31-9/3.**

C. Total number of days or nights of racing: **28 days**

D. Days or nights of the week races will be held:

Fri, Sat, Sun Other (specify) **Monday (Labor Day) 9/7**

CHRB CERTIFICATION

Application received: 5/18/2020
Deposit received: On File
Reviewed: NLG

Hearing date: 6/11/2020
Approved date:
License number:

E. Number of days or nights of racing per week: **3 days per week (except Week 9, 4 days)**

3. RACING PROGRAM

A. Total number of races: **290**

B. Number of races for each day or night: *

DAY	DATES	NUMBER OF RACES
FRI- 10 Races	7/17, 7/24, 7/31, 8/7, 8/14, 8/21, 8/28, 9/4	80
SAT-11 Races	7/11, 7/18, 7/25, 8/1, 8/8, 8/15, 8/29, 9/5	88
SAT-11 Races	8/22 (Pacific Classic)	11
SUN-10 Races	7/12, 7/19, 7/26, 8/2, 8/9, 8/16, 8/23, 8/30, 9/6	90
OPENING DAY	7/10 (FRIDAY)	11
CLOSING DAY/ LABOR DAY	9/7 (MONDAY)	10

TOTAL

290

***DMTC reserves the right to change number of races based on horse availability**

C. Total number of stakes races: **38 (32 stakes and 6 overnight stakes)**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **EXHIBIT 1**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **EXHIBIT 1 (A)**

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **The addition of the Smiling Tiger Stakes. Purse reductions on all overnight stakes, as well as races valued at over \$100,000, because of lower purses generated due to operating without spectators and the resulting loss of purses generated from on-track wagering (notation of races color-coded on Exhibit 1A).**

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **(3) stakes were dropped because of the projected reduction of purses generated due to operating without spectators, the COUGAR II, DEL MAR JUVENILE FILLIES TURF and the overnight stakes, the HARRY F. BRUBAKER.**

2020 DEL MAR STAKES SCHEDULE - EXHIBIT 1

Running Date	#	Event	Distance	2020 Value	
Fri. Jul 10, 2020	1	OCEANSIDE STAKES	Three-year-olds, N/W S/S of \$50,000 at 1 M o/o in 2020		
Sat. Jul 11, 2020	Overnight Stakes	Wickerr Stakes	Three-year-old and upward, N/W S/S \$50,000 at 1 M o/o since September 1	One Mile (Turf)	\$100,000 Guaranteed
Sun. Jul 12, 2020	Overnight Stakes	Osunitas Stakes	Fillies and mares, three years and upward, N/W S/S \$50,000 at 1 M o/o since Sept. 1	One Mile (Turf)	\$65,000 Added
Sat. Jul 18, 2020	2	SAN DIEGO HANDICAP (Gr. II)	Three-year-olds and upward	One Mile (Turf)	\$65,000 Added
Sat. Jul 18, 2020	Overnight Stakes	Daisycutter Handicap	Fillies and mares, three years old and upward	1 1/16 Miles	\$150,000 Guaranteed
Sun. Jul 19, 2020	3	EDDIE READ STAKES (Gr. II)	Three-year-olds and upward	Five Furlongs (Turf)	\$65,000 Added
Sun. Jul 19, 2020	Overnight Stakes	Smiling Tiger Stakes	Three-year-olds N/W S/S of \$60,000 in 2020	1 1/8 Miles (Turf)	\$200,000 Guaranteed
Fri. Jul 24, 2020	4	FLEET TREAT STAKES	Fillies, three years old, bred in CA	Six Furlongs	\$65,000 Added
Sat. Jul 25, 2020	5	SAN CLEMENTE STAKES (Gr. II)	Fillies, three years old	Seven Furlongs	\$100,000 Guaranteed
Sun. Jul 26, 2020	6	CALIFORNIA DREAMIN' STAKES	Three-year-olds and upward, bred in CA	One Mile (Turf)	\$150,000 Guaranteed
Fri. Jul 31, 2020	7	REAL GOOD DEAL STAKES	Three-year-olds, bred in CA	1 1/16 Miles (Turf)	\$125,000 Guaranteed
Sat. Aug 01, 2020	8	BING CROSSBY STAKES (Gr. I)	Three-year-olds and upward	Seven Furlongs	\$100,000 Guaranteed
Sat. Aug 01, 2020	9	CTBA STAKES	Fillies, two-year-olds, bred in CA	Six Furlongs	\$250,000 Guaranteed
Sat. Aug 01, 2020	10	SHARED BELIEF STAKES	Three-year-olds	5 1/2 Furlongs	\$100,000 Guaranteed
Sun. Aug 02, 2020	11	CLEMENT L. HIRSCH STAKES (Gr. I)	Fillies and mares, three years old and upward	1 1/16 Miles	\$100,000 Guaranteed
Sun. Aug 02, 2020	12	GRADUATION STAKES	Two-year-olds, bred in CA	1 1/16 Miles	\$250,000 Guaranteed
Fri. Aug 07, 2020	13	SORRENTO STAKES (Gr. II)	Fillies, two-year-olds	5 1/2 Furlongs	\$100,000 Guaranteed
Sat. Aug 08, 2020	14	YELLOW RIBBON HANDICAP (Gr. II)	Fillies and mares, three years old and upward	Six Furlongs	\$150,000 Guaranteed
Sat. Aug 08, 2020	15	BEST PAL STAKES (Gr. II)	Two-year-olds	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Sun. Aug 09, 2020	16	LA JOLLA HANDICAP (Gr. III)	Three-year-olds	Six Furlongs	\$150,000 Guaranteed
Sat. Aug 15, 2020	17	SOLANA BEACH STAKES	Fillies and mares, three years old and upward, bred in CA	11/16 Miles (Turf)	\$125,000 Guaranteed
Sun. Aug 16, 2020	Overnight Stakes	CTT and TOC Stakes	Fillies and mares, three years old and upward	One Mile (Turf)	\$125,000 Guaranteed
Fri. Aug 21, 2020	18	RANCHO BERNARDO HANDICAP (Gr. III)	Fillies and mares, three years old and upward	1 3/8 Miles (Turf)	\$65,000 Added
Sat. Aug 22, 2020	19	TVG PACIFIC CLASSIC (Gr. I)	Three-year-olds and upward	6 1/2 Furlongs	\$100,000 Guaranteed
Sat. Aug 22, 2020	20	GREEN FLASH HANDICAP (Gr. III)	Three-year-olds and upward	1 1/4 Miles	\$500,000 Guaranteed
Sat. Aug 22, 2020	21	DEL MAR HANDICAP (Gr. II)	Three-year-olds and upward	Five Furlongs (Turf)	\$100,000 Guaranteed
Sat. Aug 22, 2020	22	DEL MAR OAKS (Gr. I)	Fillies, three years old	1 3/8 Miles (Turf)	\$200,000 Guaranteed
Sat. Aug 22, 2020	23	TORREY PINES STAKES (Gr. III)	Fillies, three years old	1 1/8 Miles (Turf)	\$250,000 Guaranteed
Sun. Aug 23, 2020	24	DEL MAR MILE (Gr. II)	Three-year-olds and upward	One Mile	\$100,000 Guaranteed
Fri. Aug 28, 2020	Overnight Stakes	Tranquility Lake Stakes	Three-year-olds and upward	One Mile (Turf)	\$150,000 Guaranteed
Sat. Aug 29, 2020	25	PAT O'BRIEN STAKES (Gr. II)	Three-year-olds and upward	One Mile	\$65,000 Added
Sun. Aug 30, 2020	26	GENEROUS PORTION STAKES	Fillies, two years old, bred in CA	Seven Furlongs	\$150,000 Guaranteed
Fri. Sep 04, 2020	27	I'M SMOKIN STAKES	Three-year-olds, bred in CA	Six Furlongs	\$100,000 Guaranteed
Sat. Sep 05, 2020	28	JOHN C. MABEE STAKES (Gr. II)	Fillies and mares, three years old and upward	Six Furlongs	\$100,000 Guaranteed
Sun. Sep 06, 2020	29	DEL MAR DEBUTANTE (Gr. I)	Fillies, two years old	1 1/8 Miles (Turf)	\$150,000 Guaranteed
Sun. Sep 06, 2020	30	DEL MAR DERBY (Gr. II)	Three-year-olds	Seven Furlongs	\$250,000 Guaranteed
Mon. Sep 07, 2020	31	DEL MAR JUVENILE TURF	Two-year-olds	1 1/8 Miles (Turf)	\$200,000 Guaranteed
Mon. Sep 07, 2020	32	DEL MAR FUTURITY (Gr. I)	Two-year-olds	One Mile (Turf)	\$100,000 Guaranteed
				Seven Furlongs	\$250,000 Guaranteed

EXHIBIT 1

EXHIBIT 1 (A)

Date	2020 Value		2020		Distance	2019	2018
			Event			VALUE	VALUE
10-Jul-20	\$100,000	Guar	1 - OCEANSIDE STAKES	Three-year-olds, N/W S/S of \$50,000 at 1 M o/o in 2020	One Mile (Turf)	\$100,000 Added	\$100,000
11-Jul-20	\$85,000	Added	Wickerr Stakes	Three-year-olds and upward, N/W S/S \$50,000 at 1 M o/o since Sept 1	One Mile (Turf)	\$85,000	\$75,000
12-Jul-20	\$85,000	Added	Oceunitas Stakes	Fillies and mares, three-years-old and upward, N/W S/S \$50,000 at 1M o/o since Sept 1	One Mile (Turf)	\$85,000	\$75,000
18-Jul-20	\$150,000	Guar	2 - SAN DIEGO HANDICAP (Gr. II)	Three-year-olds and upward	1 1/16 Miles	\$200,000	\$200,000
18-Jul-20	\$85,000	Added	Dalyscutter Handicap	Fillies and mares, three-years-old and upward	Five Furlongs (Turf)	\$85,000	\$75,000
19-Jul-20	\$200,000	Guar	3 - EDDIE READ STAKES (Gr.II)	Three-years-old and upward	1 1/8 Miles (Turf)	\$250,000	\$250,000
19-Jul-20	\$85,000	Added	Smiling Tiger Stakes	Three-year-olds, N/W S/S of \$60,000 in 2020	Six Furlongs		
24-Jul-20	\$100,000	Guar	4 - FLEET TREAT STAKES	Fillies, three-year-olds, bred in CA	Seven Furlongs	\$150,000	\$150,000
25-Jul-20	\$150,000	Guar	5 - SAN CLEMENTE STAKES (Gr. II)	Fillies, three years old	One Mile (Turf)	\$200,000	\$200,000
26-Jul-20	\$125,000	Guar	6 - CALIFORNIA DREAMIN' STAKES	Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf)	\$150,000	\$150,000
31-Jul-20	\$100,000	Guar	7 - REAL GOOD DEAL STAKES	Three-year-olds, bred in CA	Seven Furlongs	\$150,000	\$150,000
1-Aug-20	\$250,000	Guar	8 - BING CROSBY STAKES (Gr. I)	Three-year-olds and upward	Six Furlongs	\$300,000	\$300,000
1-Aug-20	\$100,000	Guar	9 - CTBA STAKES	Fillies, two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000	\$100,000
1-Aug-20	\$100,000	Guar	10 - SHARED BELIEF STAKES	Three-year-olds	1 1/16 Miles	\$100,000	\$100,000
2-Aug-20	\$250,000	Guar	11 - CLEMENT L. HIRSCH STAKES (Gr. I)	Fillies and mares, three years old and upward	1 1/16 Miles	\$300,000	\$300,000
2-Aug-20	\$100,000	Guar	12 - GRADUATION STAKES	Two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000	\$100,000
7-Aug-20	\$150,000	Guar	13 - SORRENTO STAKES (Gr.II)	Fillies, two-year-olds	Six Furlongs	\$200,000	\$200,000
8-Aug-20	\$150,000	Guar	14 - YELLOW RIBBON HANDICAP (Gr. II)	Fillies and mares, three years old and upward	1 1/16 Miles (Turf)	\$200,000	\$200,000
8-Aug-20	\$150,000	Guar	15 - BEST PAL STAKES (Gr. II)	Two-year-olds	Six Furlongs	\$200,000	\$200,000
9-Aug-20	\$125,000	Guar	16 - LA JOLLA HANDICAP (Gr.III)	Three-year-olds	1 1/16 Miles (Turf)	\$150,000	\$150,000
15-Aug-20	\$125,000	Guar	17 - SOLANA BEACH STAKES	Fillies and mares, three years old and upward, bred in CA	One Mile (Turf)	\$150,000	\$150,000
16-Aug-20	\$85,000	Added	CTT & TOC Stakes	Fillies and mares, three-years-old and upward	1 3/8 Miles (Turf)	\$85,000	\$75,000
21-Aug-20	\$100,000	Guar	18 - RANCHO BERNARDO HANDICAP (Gr.III)	Fillies and mares, three years old and upward	6 1/2 Furlongs	\$100,000	\$100,000
22-Aug-20	\$500,000	Guar	19 - TVG PACIFIC CLASSIC (Gr. I)	Three-year-olds and upward	1 1/4 Miles	\$1,000,000	\$1,000,000
22-Aug-20	\$100,000	Guar	20 - GREEN FLASH HANDICAP (Gr.III)	Three-year-olds and upward	Five Furlongs (Turf)	\$100,000	\$75,000
22-Aug-20	\$200,000	Guar	21 - DEL MAR HANDICAP (Gr.II)	Three-year-olds and upward	1 3/8 Miles (Turf)	\$250,000	\$250,000
22-Aug-20	\$250,000	Guar	22 - DEL MAR OAKS (Gr.I)	Fillies, three years old	1 1/8 Miles (Turf)	\$300,000	\$300,000
22-Aug-20	\$100,000	Guar	23 - TORREY PINES STAKES (Gr. III)	Fillies, three years old	One Mile	\$100,000	\$100,000
23-Aug-20	\$150,000	Guar	24 - DEL MAR MILE (Gr.II)	Three-year-olds and upward	One Mile (Turf)	\$200,000	\$200,000
28-Aug-20	\$85,000	Added	Tranquility Lake Stakes	Fillies and mares, three-year-old and upward, N/W S/S \$50,00 at 1 M o/o since Feb.1	One Mile	\$85,000	\$75,000
29-Aug-20	\$150,000	Guar	25 - PAT O'BRIEN STAKES (Gr.II)	Three year-olds and upward	Seven Furlongs	\$200,000	\$200,000
30-Aug-20	\$100,000	Guar	26 - GENEROUS PORTION STAKES	Fillies, two years old, bred in CA.	Six Furlongs	\$100,000	\$100,000
4-Sep-20	\$100,000	Guar	27 - I'M SMOKIN STAKES	Two years old, bred in CA.	Six Furlongs	\$100,000	\$100,000
5-Sep-20	\$150,000	Guar	28 - JOHN C. MABEE STAKES (Gr.II)	Fillies and mares, three years old and upward	1 1/8 Miles (Turf)	\$200,000	\$200,000
6-Sep-20	\$250,000	Guar	29 - DEL MAR DEBUTANTE (Gr. I)	Fillies, two years old	Seven Furlongs	\$300,000	\$300,000
6-Sep-20	\$200,000	Guar	30 - DEL MAR DERBY (Gr.II)	Three-year-olds	1 1/8 Miles (Turf)	\$250,000	\$250,000
7-Sep-20	\$100,000	Guar	31 - DEL MAR JUVENILE TURF	Two-year-olds	1 Mile (Turf)	\$100,000	\$100,000
7-Sep-20	\$250,000	Guar	32 - DEL MAR FUTURITY (Gr. I)	Two-year-olds	Seven Furlongs	\$300,000	\$300,000

NOTE: PURSE VALUES REDUCED IN 2020

EXHIBIT 1A

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program: **EXHIBIT 2**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Full Disclosure Statement On-File with CHRB**

C. CORPORATION

1. Registered name of the corporation: **Del Mar Thoroughbred Club**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **559096**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

David H. Batchelder	Chairman of the Board	1 Share
Joseph W. Harper	Director, & CEO	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Bo Derek	Director	1 "
Kosta Hronis	Director	1 "
Jon S. Kelly	Director	1 "
Warner Lusardi	Director	1 "
Marie G. Moretti	Director	1 "
Jim Rome	Director	1 "
Martin J. Wygod	Director	1 "
Josh Rubinstein	President & Chief Operating Officer	0
Michael R. Ernst	Executive VP, Finance CFO	0
Thomas S. Robbins	Executive VP, Racing	0
Craig A. Dado	Executive VP, Chief Marketing Officer	0
Lynn Wright	Senior VP and Controller	0
Ann Hall	Senior VP, Operations & Administration	0
Sue Walls	VP, Facilities	0

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **None**

EXHIBIT 2

POST TIMES FOR PROPOSED DAILY RACING PROGRAM

2020

RACE	POST TIMES
1 st	2:00 p.m.
2 nd	2:30 p.m.
3 rd	3:00 p.m.
4 th	3:30 p.m.
5 th	4:00 p.m.
6 th	4:30 p.m.
7 th	5:00 p.m.
8 th	5:30 p.m.
9 th *	6:00 p.m.
10 th *	6:30 p.m.
11 th *	7:00 p.m.

*On certain days to be determined

- 6. Number of outstanding shares in the corporation: **11**
- 7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:
- 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**
- 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. N/A Yes No
- 10. Registered name of the corporation: **N/A**
- 11. State where incorporated: **N/A**
- 12. Registry or file number for the corporation: **N/A**
- 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **N/A**
- 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **N/A**
- 15. Number of outstanding shares in the corporation: **N/A**
- 16. Are the shares listed for public trading? **N/A** Yes No

If yes, on what exchange and how is the stock listed:
- 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **N/A**

D. LLC **N/A**

- 1. Registered name of the LLC:
- 2. State where articles of organization are filed:
- 3. Registry or file number for the LLC:
- 4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
- 5. Are the shares listed for public trading? Yes No

If yes, on what exchange and how the stock is listed:
- 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
- 7. Registered name of the LLC/Corporation:
- 8. State where articles of organization are filed:
- 9. Registry or file number for the LLC:
- 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
- 11. Are the shares listed for public trading? Yes No
- 12. If yes, on what exchange and how the stock is listed:

E. OTHER **N/A**

- 1. Name(s) of partners/sole proprietor:
- 2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **ON FILE**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Josh Rubinstein	President, COO
Michael R. Ernst	Executive VP, Finance and Administration/CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Executive VP, Chief Marketing Officer
Ann Hall	Sr. Vice President, Operations & Administration
Lynn Wright	Sr. Vice President and Controller
Sue Walls	Vice President, Facilities
David Jerkens	Racing Secretary
Mac McBride	Director of Media
Cathy Arneel	Director of Group Sales
Paul Porter	Director of Simulcasting
Walker McBride	Director of Sponsorship and Digital Media
William D. Navarro	Director of Mutuels
Nancy Bonforte	Director of Turf Club
Kim Jacobson	Director of Risk Management
Trevor Denman	Public Address Announcer
Dennis Moore	Director of Track Maintenance
Leif Dickinson	Turf Course / Grounds Superintendent
Chris Bahr	Director of Events and Promotions
Ken West	Director of Plant and Purchasing
Sheryl Kerstiens	Customer Service Manager

- Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Josh Rubinstein – President
PO Box 700, Del Mar, CA 92014
josh@dmtc.com

5. TAKE OUT PERCENTAGE

- If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **.50¢PNP / Players Pick Five Wager – 14%**
 Proposed percentage: **\$2 Rolling Daily Double – 20%**

- Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **EXHIBIT 3**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year and # Race Days	On-Track Handle	Off-Track Handle	On-Track Attendance	Off-Track Attendance
2019 - 36	51,524,399	380,451,585	405,504	200,731
2018 - 36	59,439,657	425,727,650	470,529	208,125
2017 - 36	58,899,859	394,123,379	476,628	218,927
2016 - 39	63,927,411	401,528,464	524,439	236,584
2015 - 40	74,259,863	408,753,153	578,770	256,219
2014 - 36	78,285,174	364,341,133	595,249	236,778



Josh Rubinstein
President & COO

May 11, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Scott,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2020 Summer Season, July 10th – September 7th.

- **Players Pick 5 - .50¢ minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.**

For reference, the Players Pick Five Wager is noted on DMTC's license application in Section 5(1).

Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "Josh Rubinstein", is written over a white background.

Josh Rubinstein,
President and COO

cc: Joe Harper, DMTC
Mike Ernst, DMTC
Greg Avioli, TOC
Cynthia Alameda, CHRB



May 14, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2020 Summer Race Meet – Players Pick 5 Wager

Dear Scott,

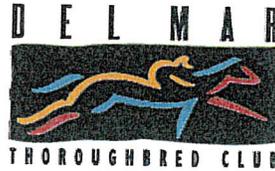
Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of the “Players Pick-5” wager on the first five (5) races of the day, subject to a 14% takeout, on each racing day of its 2020 Summer meet, July 10 – September 7, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers



Josh Rubinstein
President & COO

May 11, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Scott,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2020 Summer Season, July 10th - September 7th.

- **\$2 Rolling Daily Double - subject to a takeout rate of 20%.**

For reference, the \$2 Rolling Daily Double is noted on DMTC's license application in Section 5(1).

Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "Josh Rubinstein", is written over the typed name.

Josh Rubinstein,
President and COO

cc: Joe Harper, DMTC
Mike Ernst, DMTC
Greg Avioli, TOC
Cynthia Alameda, CHRB



May 14, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2020 Summer Race Meet – Rolling Doubles Wager

Dear Scott,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its 2020 Summer meet, July 10 – September 7, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Josh Rubenstein
Lucy Myers

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):**A. Purse distribution:****1. All races other than stakes:**

<u>Current meet estimate: 28 Race Days</u>	9,973,000
<u>Prior meet actual: 36 Race Days</u>	12,200,726

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate:	356,179
Prior meet actual:	338,909

2. Overnight stakes:

Current meet estimate:	390,000
Prior meet actual:	510,000

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:	13,929
Prior meet actual:	14,167

3. Non-overnight stakes:

Current meet estimate:	3,935,000
Prior meet actual:	5,352,300

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate:	140,536
Prior meet actual:	148,675

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:	14,298,000
Prior meet actual:	18,063,026

B. California-bred Stakes Races:**1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:**

Current meet estimate:	19.65%
Prior meet actual:	17.06%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:	0.70%
Prior meet actual:	0.47%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:	1,263,766
Prior meet actual:	1,474,078

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

	Current meet estimate:	Prior meet actual:
TOC Administration	99,959	151,779
CTT Administration	49,980	75,889
CTT Backstretch Pension	99,959	151,779
NTRA Dues	<u>23,058</u>	<u>24,172</u>
Total	272,956	403,619

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:	15,834,722
Prior meet actual:	19,940,723

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:	565,526
Prior meet actual:	553,909

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	5,740,001
Prior meet actual:	10,681,904

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:	205,000
Prior meet actual:	296,720

- G. Purse funds to be generated from interstate handle:

Current meet estimate:	7,746,089
Prior meet actual:	7,395,655

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:	276,646
Prior meet actual:	205,435

- H. Bank and account number for the Paymaster of Purses' purse account:

Bank of America, 450 B Street, Suite 1500, San Diego, CA 92101, Account # On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled

thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
1,850 (Reduced capacity for horse safety)
- B. Minimum number of stalls believed necessary for the meeting:
1,850
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
1,345 Los Alamitos and San Luis Rey Downs
1,900 Santa Anita Open September 4th to September 7th

3,245 Total (when Santa Anita Open)
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- **Los Alamitos** **850**
 - **San Luis Rey Downs** **495**
 - **Santa Anita** **1,900**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **ON FILE**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **2,100 (Note: as of 2015 total number 2,000)**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$1,369,500
\$ 15.14 cost per day per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Estimated vanning cost: \$60,096
- Vanning per horse (round trip):**
- | | |
|---------------------------|--------------|
| Santa Anita | \$235 |
| Los Alamitos | \$170 |
| San Luis Rey Downs | \$170 |

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:

There is a rotation of private veterinarians’ onsite during all training and racing hours. Names and emergency telephone numbers placed ON FILE with the CHRB. Tom Robbins, Executive VP Racing, (858) 755-1141 ext. 3804, is responsible for contacting veterinarian.

- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

**6.28.20–9.09.20 Del Mar Race Track (Association) Training and Racing
4:30am – 10:30am**

Prior to Opening Day and once the main track opens for training (6/28/20), DMTC will have a veterinarian (Dr. Helmut Von Bluecher, 626-836-1688) present during training hours to respond to any needs. As more horses arrive after the 7/10/20 opening date, private practitioners for each trainer will be present. These veterinarians are present during training hours and available to treat their patients should the need arise. We have submitted a more detailed Horse/Rider Down On-Track/Emergency Response Protocol, which is to be placed ON FILE as part of Section 16 Emergency Services.

DMTC will provide a list of all on-site trainers, along with their private practitioners and contact numbers, to the Stable Office, Main Stable Gate, Clockers and Outriders. ON-FILE is a list of veterinarians at DMTC’s two authorized training facilities, Los Alamitos and San Luis Rey Downs. In addition, the list of DMTC regulatory veterinarians, along with their contact information, has been submitted to be placed ON-FILE. The regulatory veterinarians are present for much of the morning training hours as they perform the soundness exams in the morning hours, as well as being present to monitor the afternoon racing programs.

**Year Round Los Alamitos Race Course (Auxiliary) Training
5:30 am – 10:30 am**

Los Alamitos Race Course has private practitioners present during its training hours. Veterinarians’ contact numbers ON FILE.

**Year Round San Luis Rey Downs (Auxiliary) Training
5:30 am – 10:30 am**

San Luis Rey Downs has veterinarians from its nearby San Dieguito Equine Group that are present during training hours. Contact numbers ON FILE.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race: TYPE OF WAGERS
\$1 E; \$1 Double

APPLICABLE RULES
CHRB #1959; RCI #VE

Del Mar Thoroughbred Club Wagering Menu and Information

	W/P/S	Parlay	Quinella	Exacta	Trifecta	Superfecta	DD	Pick 3	PPN	Early PNP4	Late PNP4	Early PNP 5	Late PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X	X	X	X			X			
2	X	X	X	X	X	X	X	X	X	X					
3	X	X	X	X	X	X	X	X	X					*	
4	X	X	X	X	X	X	X	X	X				*	*	
5	X	X	X	X	X	X	X	X	X		*		*	*	
6	X	X	X	X	X	X	X	X	X		*		*	*	
7	X	X	X	X	X	X	*	*	X		*		*		
8	X	X	X	X	X	X	*	*	X		*				X
9	X	*	X	X	X	X	*	*	*						*
10	X	*	X	X	X	X	*		*						*
11	X	*	X	X	X	X			*						*

* When applicable

Wager	Rule	Takeout	Minimum Wager Amount and Other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Quinella	CHRB 1958	22.68%	\$2 Quinella on all eligible races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Rolling Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Rolling Pick 3 on all eligible races.
PPN (Place Pick All)	CHRB 1976.8	23.68%	\$1 Place Pick All.
PNP4 (Early Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5; No consolation; 100% payout; Alternate runner provision.
PNP4 (Late Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(i) ¹ ; ARCI 004-105(G)(16)(b)(i)	23.68%	\$2 Pick 6 on the last six live races each day: First share – 70%; Second share – 15%; Third share – 15%.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on last race of the day; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race day Super High 5, if applicable.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Mandatory Pick 6 Jackpot Payout on August 22, 2020-Pacific Classic Day, and on Closing Day-September 7, 2020.**
- D. List any options requested with regard to exotic wagering: **None**
- E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for “early bird” wagering:

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System

American Totalisator, Inc. (AmTote)

Primary system at ViaWest Data Center located in Portland Oregon

AmTote Representative:

Bob Sloan (1-443-798-0878) bob.sloan@amtote.com

Contract expiration date: October 28, 2020

Terminals

F3000e – Convertible teller and self-service unit; IP based, touch screen and keyboard operated.

V3000e – Self Service terminals, touch screen, bill accepting, ticket in/out.

AWSST – Account wagering self-service unit. Touch screen account based terminal.

- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

TAKE OUT PERCENTAGE

14.00 %	Early PNP5
15.43 %	Parlay
15.43 %	W/P/S
20.00 %	DD
22.68 %	E; Q
23.68 %	TRI; SF; PK3; PNP6; SuperH5; PPN; PNP4, Late PNP5

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following Advance Deposit Wagering (ADW) companies to conduct Internet and telephone wagering from California residents: TVG, Xpress-Bet, Watch & Wager, Twin Spires, Bet America, NYRA Bets CA. In addition, several other ADW companies (complete list on file) have access to Del Mar's content for Internet wagering in states for which ADW is approved by law.
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
ON FILE / EXCEPTION NYRABets, which is Pending and will to be provided soon by Monarch Content Management, LLC.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **EXHIBIT 4**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering, Inc. "SCOTWINC"
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
SCOTWINC Agreement is ON FILE with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
EXHIBIT 5
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
EXHIBIT 6
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
EXHIBIT 7
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
EXHIBIT 8
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **EXHIBIT 9**



May 15, 2020

Mr. Josh Rubinstein
Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

RE: 2020 DMTC Summer Race Meeting ADW Approvals

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Del Mar Thoroughbred Club (DMTC) race signals under the terms and conditions set forth below.

Pursuant to Section XXIX, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and DMTC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, DMTC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on DMTC and other Thoroughbred races during the 2020 summer race meeting, July 10 through September 7, 2020, as follows:

1. California wagering on DMTC races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
ADMINISTRATIVE ASSISTANT: ELSA PERON

within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.

- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on DMTC races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director



Josh Rubinstein
President & COO

April 14, 2020

Mr. Scott Chaney, Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Extension of DMTC/SCOTWINC Agreement

Scott,

According to the Agreement entered into as of April 26, 2016, by and between Southern California Off-Track Wagering Incorporated and the Del Mar Thoroughbred Club, this Agreement was automatically extended for one year through April 26, 2021, as neither SCOTWINC or Del Mar Thoroughbred Club delivered notice for non-renewal in accordance with the terms of the Agreement.

If you have any questions, or need additional information, please feel free to contact me.

Very truly yours,

A handwritten signature in black ink, appearing to read "Josh Rubinstein".

Josh Rubinstein,
President and Chief Operating Officer

cc: Mr. George Haines, SCOTWINC
Cynthia Alameda, CHRB

EXHIBIT 5
(if applicable)

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park @ Barretts, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park Casino, Inglewood
Humboldt County Fair, Ferndale
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
Pechanga Resort Casino, Temecula
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
San Mateo Event Center, San Mateo
Santa Anita Park, Arcadia
Santa Clara County Fair, San Jose
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion, the Farmers Fair, Lake Perris
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

EXHIBIT 6
(if applicable)

AmWest Entertainment, KY
Arapahoe Park, Colorado
Arima Race Course, Trinidad
Arizona Downs, Arizona
Arlington International Race Course, Illinois
Assiniboia Downs, Canada
Atlantis Resort and Casino, Bahamas
Bangor Raceway, Maine
Barrie Raceway, Ontario
Batavia Downs, New York
Belterra Race Course, OH
Bet America, LLC, North Dakota
Bet Fair Games Limited, UK
Bettor Racing OTB, South Dakota
Birmingham Race Course, Alabama
Bordertown OTB, Oklahoma
Borgata Casino, New Jersey
Buffalo Trotting Association, New York
Bwin International, Ltd.
Caliente (MIR), Mexico
Camarero, Puerto Rico
Canterbury Park, Minnesota
Capital District OTB, New York
Catskill Regional OTB, New York
Caymanas Race Track, Jamaica
Century Downs, Alberta, Canada
Century Miles, Alberta, Canada
Charles Town Races, West Virginia
Chester Downs - Pennsylvania
Churchill Downs, Kentucky
Clinton Teletheatre, Canada
Codere, Mexico
Codere, Spain
Columbus Raceway, Nebraska
Connecticut OTB, Connecticut
Corpus Christi Greyhound, Texas
Coushatta Casino Resort, Louisiana
Dayton Raceway, OH
Divi Carina Bay Casino, Louisiana
Delaware Park, Delaware
Delta Downs, Louisiana
Dover Downs, Delaware
Downs at Albuquerque, New Mexico
Ebet Online, Oregon
Egg Harbor Turf Club, New Jersey
Elite Turf Club, Curacao
Ellis Park, Kentucky
Elmira Raceway, Ontario
Equilottery, Kentucky
Equus St. Thomas, St. Thomas
Emerald Downs, Washington
Euro Offtrack, Isle of Man
Evangeline Downs, Louisiana
Evansville/Clarksville OTB, Indiana
Fairgrounds ADW, Louisiana
Fairgrounds Racecourse, Louisiana
Fair Meadows, Texas
Favorites at Gloucester, New Jersey
Favorites at Vineland, New Jersey
Finger Lakes Bets, NY
Finger Lakes Race Track, New York
Flamboro Downs, Ontario
Fonner Park, Nebraska
Fort Erie Racetrack, Canada
Foxwoods Casino, Connecticut
Fraser Downs, British Columbia
Freehold Raceway, New Jersey
Gillespie County Downs, Texas
Global Wagering Group, Germany
Global Wagering Solutions, Germany
Grand River, Canada
Grants Pass Downs, Oregon
Greentrack, Alabama
Greyhounds at Post Falls, Idaho
Gulf Greyhound, Texas
Gulfstream Park, Florida
Harrington Bets, Delaware
Harrington Raceway, Delaware
Hastings Park, Canada
Hawthorne ADW, Illinois
Hawthorne Race Course, Illinois
Hiawatha Downs, Ontario
Hipica de Panama, Panama
Hipodromo Camarero, Puerto Rico
Hipodromo Montericco, Peru
Ho-Chuck Casino, Connecticut
Hodge OTB, Connecticut
Hoosier Park, Indiana
Horsemen's Park, Nebraska
Horseshoe Council Bluffs, Iowa
Idaho Fall OTB, Idaho
Idabet, Iowa

EXHIBIT 6
(if applicable)

Indiana Downs, Indiana
Inverness Raceway, Canada
Iowa Greyhounds, Iowa
Intermountain Racing, Idaho
John Martins Manor, Maine
Kawartha Downs, Ontario
Keeneland, Kentucky
Keeneland Select ADW, Kentucky
Kentucky Downs, Kentucky
Kentucky OTB, Kentucky
Las Vegas Dissemination Company, Nevada
Lewiston OTB, Maine
Lien Games, North Dakota
Lincoln Greyhound Park, Rhode Island
Lone Star Race Park, Texas
Louisiana Downs, Louisiana
Magna Bet, Austria
Mahoning Valley Race Course, OH
Maronas Race Track, Uruguay
Marquis Downs, Saskatchewan
Maryland Jockey Club, Maryland
Maywood Park, Illinois
Meadowlands, New Jersey
Meskwaki Casino, Oklahoma
Miami Valley Gaming, Ohio
Millennium Racing, Trinidad
Mobile Greyhound Park, Alabama
Mohegan Sun, Pennsylvania
Mohawk Harness, Ontario
Monmouth Park, New Jersey
Montana OTB, Montana
Montericco Simulcasting, Peru
Monticello Raceway, NY
Mountaineer Park, West Virginia
Nassau Regional OTB, New York
Nebraska State Fair Park, Nebraska
Nevada Dissemination Services, Nevada
Nevada Pari-Mutuel Association, Nevada
New Jersey Mobile, New Jersey
New Palace Casino, Mississippi
New Zealand Racing Board, NZ
NYRA, New York
NYRA Bets, New York
Newport Jai-Alai, Rhode Island
Northfield Park, Ohio
Northlands Park, Canada

Northville Downs, Michigan
Nugget Casino, Mississippi
Oaklawn Park, Arkansas
Oaklawn Park ADW, Arkansas
Ocean Downs, Maryland
Offtrackbetting.com, Oregon
Oneida Bingo and Casino, Oklahoma
Ontario Teletheatre, Ontario
Panama OTB Network, Panama
PariBet ADW, North Dakota
PariBet OTB, North Dakota
Paragon Casino, Louisiana
Penn National, Pennsylvania
Penn National ADW, Pennsylvania
PARX, Pennsylvania
Peru OTB Network, Peru
Phumelela, South Africa
PARX ADW, Pennsylvania
Picov Downs, Canada
Pimlico Race Course, Maryland
Plainridge Race Course, Massachusetts
Plainridge ADW, Massachusetts
Player Management Group, CA
PMU Brazil, Brazil
Pocono Downs, Pennsylvania
Pocono Downs ADW, Pennsylvania
Pojoaque Casino, Oklahoma
Portland Meadows, Oregon
Potawatomi Casino, Wisconsin
Prairie Meadows, Iowa
Premier Gateway International, S.A.
Premier Turf Club, North Dakota
Presque Isle Downs, Pennsylvania
Racing and Gaming Services, St Kitts
Remington Park, Oklahoma
Retama Park, Texas
Rideau Carleton Raceway, Canada
Riders Up OTB, Oklahoma
Rillito Park, Arizona
Rockingham Park, New Hampshire
Rocky Mountain Turf Club, Canada
Rosecroft Raceway, Maryland
Royal Beach Casino, St Kitts
Ruidoso Downs, New Mexico
Running Aces Harness Park, Minnesota
Sam Houston Park, Texas

**EXHIBIT 6
(if applicable)**

Saratoga Harness, New York
Scarborough Downs, Maine
Scarlet Pearl, Mississippi
Scioto Downs, Ohio
Seabrook Greyhound Park, NH
Seabrook Greyhound Park ADW, NH
Shoreline Star Greyhounds, Connecticut
Skydancer Casino, Oklahoma
Sol Mutuel, St Kitts
Sol Mutuel Otrobanda, St Kitts
Southland Greyhound Park, Arkansas
Sports Center, Kentucky
State Fair, Nebraska
Suaposta ADW, Brazil
Suffolk District OTB, New York
Suffolk Downs, Massachusetts
Sunland Park Racetrack, New Mexico
Sun Ray Park, New Mexico
TabCorp, Australia
Tampa Bay Downs, Florida
TattsBet, Australia
Taunton Dog Track, New Hampshire
Taunton Dog Track ADW, New Hampshire
TBC Teletheatre, British Columbia
The Greenbrier Resort, West Virginia
The Meadows, Pennsylvania
The Meadows ADW, Pennsylvania
Thistledown, Ohio
Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG High Volume, Los Angeles
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf del Norte, Tribecta D.R.
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
TwinSpires High Volume, Kentucky
US Offtrack, Florida

Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Virginia Equine Alliance, Virginia
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, (GWS)
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico
123Gaming, Oregon

EXHIBIT 7
(if applicable)

AmWest Entertainment, KY
Arapahoe Park, Colorado
Arima Race Course, Trinidad
Arizona Downs, Arizona
Arlington International Race Course, Illinois
Assiniboia Downs, Canada
Atlantis Resort and Casino, Bahamas
Bangor Raceway, Maine
Barrie Raceway, Ontario
Batavia Downs, New York
Belterra Race Course, OH
Bet America, LLC, North Dakota
Bet Fair Games Limited, UK
Bettor Racing OTB, South Dakota
Birmingham Race Course, Alabama
Bordertown OTB, Oklahoma
Borgata Casino, New Jersey
Buffalo Trotting Association, New York
Bwin International, Ltd.
Canterbury Park, Minnesota
Capital District OTB, New York
Catskill Regional OTB, New York
Century Downs, Alberta, Canada
Century Miles, Alberta, Canada
Charles Town Races, West Virginia
Chester Downs - Pennsylvania
Churchill Downs, Kentucky
Clinton Teletheatre, Canada
Columbus Raceway, Nebraska
Connecticut OTB, Connecticut
Corpus Christi Greyhound, Texas
Coushatta Casino Resort, Louisiana
Dayton Raceway, OH
Divi Carina Bay Casino, Louisiana
Delaware Park, Delaware
Delta Downs, Louisiana
Dover Downs, Delaware
Downs at Albuquerque, New Mexico
Ebet Online, Oregon
Egg Harbor Turf Club, New Jersey
Elite Turf Club, Curacao
Ellis Park, Kentucky
Elmira Raceway, Ontario
Equus St. Thomas, St. Thomas
Emerald Downs, Washington
Euro Offtrack, Isle of Man
Evangeline Downs, Louisiana
Evansville/Clarksville OTB, Indiana
Fairgrounds ADW, Louisiana
Fairgrounds Racecourse, Louisiana
Fair Meadows, Texas
Favorites at Gloucester, New Jersey
Favorites at Vineland, New Jersey
Finger Lakes Bets, NY
Finger Lakes Race Track, New York
Flamboro Downs, Ontario
Fonner Park, Nebraska
Fort Erie Racetrack, Canada
Foxwoods Casino, Connecticut
Fraser Downs, British Columbia
Freehold Raceway, New Jersey
Gillespie County Downs, Texas
Global Wagering Group, Germany
Global Wagering Solutions, Germany
Grand River, Canada
Grants Pass Downs, Oregon
Greentrack, Alabama
Greyhounds at Post Falls, Idaho
Gulf Greyhound, Texas
Gulfstream Park, Florida
Harrington Bets, Delaware
Harrington Raceway, Delaware
Hastings Park, Canada
Hawthorne ADW, Illinois
Hawthorne Race Course, Illinois
Hiawatha Downs, Ontario
Hipica de Panama, Panama
Hipodromo Camarero, Puerto Rico
Hipodromo Montericco, Peru
Ho-Chuck Casino, Connecticut
Hodge OTB, Connecticut
Hoosier Park, Indiana
Horsemen's Park, Nebraska
Horseshoe Council Bluffs, Iowa
Idaho Fall OTB, Idaho
Idabet, Iowa
Indiana Downs, Indiana
Inverness Raceway, Canada
Iowa Greyhounds, Iowa
Intermountain Racing, Idaho
John Martins Manor, Maine
Kawartha Downs, Ontario

EXHIBIT 7
(if applicable)

Keeneland, Kentucky
 Keeneland Select ADW, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky
 Las Vegas Dissemination Company, Nevada
 Lewiston OTB, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Mahoning Valley Race Course, OH
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meadowlands, New Jersey
 Meskwaki Casino, Oklahoma
 Miami Valley Gaming, Ohio
 Millennium Racing, Trinidad
 Mobile Greyhound Park, Alabama
 Mohegan Sun, Pennsylvania
 Mohawk Harness, Ontario
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Montericco Simulcasting, Peru
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Dissemination Services, Nevada
 Nevada Pari-Mutuel Association, Nevada
 New Jersey Mobile, New Jersey
 New Palace Casino, Mississippi
 NYRA, New York
 NYRA Bets, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Nugget Casino, Mississippi
 Oaklawn Park, Arkansas
 Oaklawn Park ADW, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Panama OTB Network, Panama
 PariBet ADW, North Dakota
 PariBet OTB, North Dakota
 Paragon Casino, Louisiana
 Penn National, Pennsylvania
 Penn National ADW, Pennsylvania
 PARX, Pennsylvania
 Peru OTB Network, Peru
 Phumelela, South Africa
 PARX ADW, Pennsylvania
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Plainridge Race Course, Massachusetts
 Plainridge ADW, Massachusetts
 Player Management Group, CA
 PMU Brazil, Brazil
 Pocono Downs, Pennsylvania
 Pocono Downs ADW, Pennsylvania
 Pojoaque Casino, Oklahoma
 Portland Meadows, Oregon
 Potawatomi Casino, Wisconsin
 Prairie Meadows, Iowa
 Premier Gateway International, S.A.
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Racing and Gaming Services, St Kitts
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 Rillito Park, Arizona
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Rosecroft Raceway, Maryland
 Royal Beach Casino, St Kitts
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, Minnesota
 Sam Houston Park, Texas
 Saratoga Harness, New York
 Scarborough Downs, Maine
 Scarlet Pearl, Mississippi
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Seabrook Greyhound Park ADW, NH
 Shoreline Star Greyhounds, Connecticut

**EXHIBIT 7
(if applicable)**

Skydancer Casino, Oklahoma
Sol Mutuel, St Kitts
Sol Mutuel Otrobanda, St Kitts
Southland Greyhound Park, Arkansas
Sports Center, Kentucky
State Fair, Nebraska
Suaposta ADW, Brazil
Suffolk District OTB, New York
Suffolk Downs, Massachusetts
Sunland Park Racetrack, New Mexico
Sun Ray Park, New Mexico
Tampa Bay Downs, Florida
Taunton Dog Track, New Hampshire
Taunton Dog Track ADW, New Hampshire
TBC Teletheatre, British Columbia
The Greenbrier Resort, West Virginia
The Meadows, Pennsylvania
The Meadows ADW, Pennsylvania
Thistledown, Ohio
Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Truro Raceway, Canada
TVG, Arizona
TVG Chester, Pennsylvania
TVG High Volume, Los Angeles
TVG, Los Angeles
TVG, Massachusetts
TVG Prairie Meadows, Iowa
Turf del Norte, Mexico
Turf del Norte, Tribecta D.R.
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
TwinSpires, Kentucky
TwinSpires High Volume, Kentucky
US Offtrack, Florida
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Victoryland Greyhounds, Alabama
Virginia Equine Alliance, Virginia
Watch and Wager, LLC, San Francisco
Western Fair, Ontario
Western Regional OTB, New York

Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Arizona
XpressBet, Illinois
XpressBet, Pennsylvania
Xpressbet, Southern Alberta
Yonkers Raceway, New York
Yonkers Empire City Bets, New York
Zia Park, New Mexico
123Gaming, Oregon

EXHIBIT 8
(if applicable)

Mini-Satellites

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 803-3693
Contact: Edgar Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Maria
Original Roadhouse Grill
1423 S. Bradley Rd.
Santa Maria, CA 93454
Phone: (805) 614-0586
Contact John Tintle

M4. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact: Tom Cristi

M5. Sammy's Original
23221 Lake Center Drive
Lake Forest, CA 92630
Phone: (949) 716-2367
Contact: Pete Genovese

M6. Tiled Kilt
1345 E. Thousand Oaks Blvd.
Thousand Oaks CA. 91362
Phone: (805) 777-7418
Contact: Robert Haupt

M7. Ocean's 11 Casino
121 Brooks Street
Oceanside, CA 92054
Phone: (888) 439-6988
Contact: Steve Gallagher

EXHIBIT 8
(if applicable)

M8. Firehouse Restaurant
7701 White Avenue
Bakersfield, CA 93313
PH: 661-831-4688
Contact: Russ Johnson

M9. Lake Elsinore Casino
20930 Malaga Road
Lake Elsinore, CA 92530
PH: 951-674-5160
Contact: Pat Wilmes

M10. 58 Flat
4110 Bonita Road
Bonita, CA 91902
PH: 619-884-1291
Contact: Denny Kanakaris

M11. The Derby Room
3230 Hamner Avenue
Norco, CA 92860
PH: 951-692-3572
Contact: Jim Baerwald

EXHIBIT 9
(if applicable)

Full & Partial Cards

Arapahoe Park: July 8, 2020 – August 16, 2020
Arlington Park: July 8, 2020 - September 8, 2020
Belterra Park: July 8, 2020 - September 8, 2020
Churchill Downs: September 1, 2020 – September 5, 2020 (Kentucky Oaks and Kentucky Derby)
Delaware Park: July 8, 2020 - September 8, 2020
Ellis Park: July 8, 2020 - September 8, 2020
Emerald Downs: July 8, 2020 - September 8, 2020
Evangeline Downs: July 8, 2020 - September 8, 2020
Fairmount Park: July 8, 2020 - September 8, 2020
Finger Lakes: July 8, 2020 - September 8, 2020
Gulfstream Park: July 8, 2020 - September 8, 2020
Indiana Grand: July 8, 2020 - September 8, 2020
Keeneland: July 10, 2020 - July 12, 2020
Kentucky Downs: September 7, 2020
Laurel Park: July 8, 2020 - September 8, 2020
Louisiana Downs: July 8, 2020 - September 8, 2020
Monmouth Park: July 8, 2020 - September 8, 2020
Mountaineer Park: July 8, 2020 - September 8, 2020
PARX Racing: July 8, 2020 - September 8, 2020
Penn National: July 8, 2020 - September 8, 2020
Presque Isle Downs: July 8, 2020 - September 8, 2020
Saratoga Race Course: July 8, 2020 - September 8, 2020
Thistledown: July 8, 2020 - September 8, 2020

Woodbine: July 8, 2020 - September 8, 2020
Assiniboia Downs: July 8, 2020 - September 8, 2020
Fort Erie: July 8, 2020 - September 8, 2020
Hastings Park: July 8, 2020 - September 8, 2020
LARC (South America) via Maryland Hub: July 8, 2020 - September 8, 2020
LARC (South America) via Gulfstream Hub: July 8, 2020 - September 8, 2020

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: **N/A**

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: **N/A**

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

9/6/20	Ruidoso	All American Derby	I	\$ 700,000	440 Yards
9/7/20	Ruidoso	All American Futurity	I	\$3,000,000	440 Yards

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014

B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder PO Box 700 Del Mar, CA 92014	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Bo Derek PO Box 700 Del Mar, CA 92014
Joseph W. Harper P.O. Box 700 Del Mar, CA 92014	Kosta Hronis PO Box 700 Del Mar, CA 92014
Jon S. Kelly Tres Palomas P.O. Box 2574 Rancho Santa Fe, CA 92067	Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078
Marie G. Moretti 6705 De Chardin Lane Reno, NV 89511	Jim Rome PO Box 700 Del Mar, CA 92014
Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067	

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	Dana Stead, DVM
Clerk of Scales	Matt Nichols
Assistant Clerk of Scales	Charles McCaul
Clerk of the Course	Dawn Schmid
Film Specialist	Heather Correa
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Karen Denovel
	Heather Correa
Placing Judges	Robert Moreno
	Ed Reese
	Sue Brent
Starter	Jay Slender
Timer	Russell Hudak

B. Management officials in the racing department:

Executive Vice President, Racing	Thomas S. Robbins
Racing Secretary	David Jerkens
Assistant Racing Secretary	Zach Soto
Paymaster of Purses	Bridget Crawford
Others (identify by name and title)	N/A

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Michelle Derieg
Weinstein Court Reporters
PO Box 26634
Santa Ana, CA 92799
(949) 637-6071
mderieg@weinsteincourtreporters.com

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, William O'Brien, Digital Photo Finish Only
Contract Expires: 12/31/2020

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

TVG
Expiration: 12/31/2020
Equipment list on file with CHRB

For each race TVG will provide:

- **Two manned pan cameras located near the judge's room in the press box providing a wide and a tight view of each race, turf and dirt, plus coverage for in-house video board presentation.**
- **Four manned tower cameras:**
 - Backstretch head-on (Training Track Camera Tower)**
 - Far turn and Stretch run back view (Quarter Chute Camera Tower)**
 - Stretch-run head-on (Livestock Barn Camera Tower) - turf**
 - Stretch-run head-on (Livestock Barn Camera Tower) - dirt**
- **2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners**
- **A hand-held camera in winner circle**
- **A pan camera located on the 7th floor providing race coverage for the in-house video board presentation**
- **All races will be recorded and archived**
- **TVG will provide talent for this event**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

AXCIS INFORMATION NETWORK, INC.

TrackMaster c/o Equibase

821 Corporate Drive, Lexington, KY 40503

GPS System: Global Positioning Systems Technology

**Name of person: David Siegel (Axcis Information Network, Inc.)
(TrackMaster/Equibase)**

Expiration date: December 31, 2022

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **EXHIBIT 10 (A) / 2020 GATE SCHEDULE EXHIBIT 10 (B)**

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **Based on "no spectators" summer 2020 estimated security personnel - 24**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **The security for stakes races will be deployed in accordance with our agreement with TOC, with a security guard positioned outside each stall for the entire 6-hour period for each race of \$100,000 or more. Details of the detention stalls are described in 15.B - 2 (C).**

2. Detention Stalls:

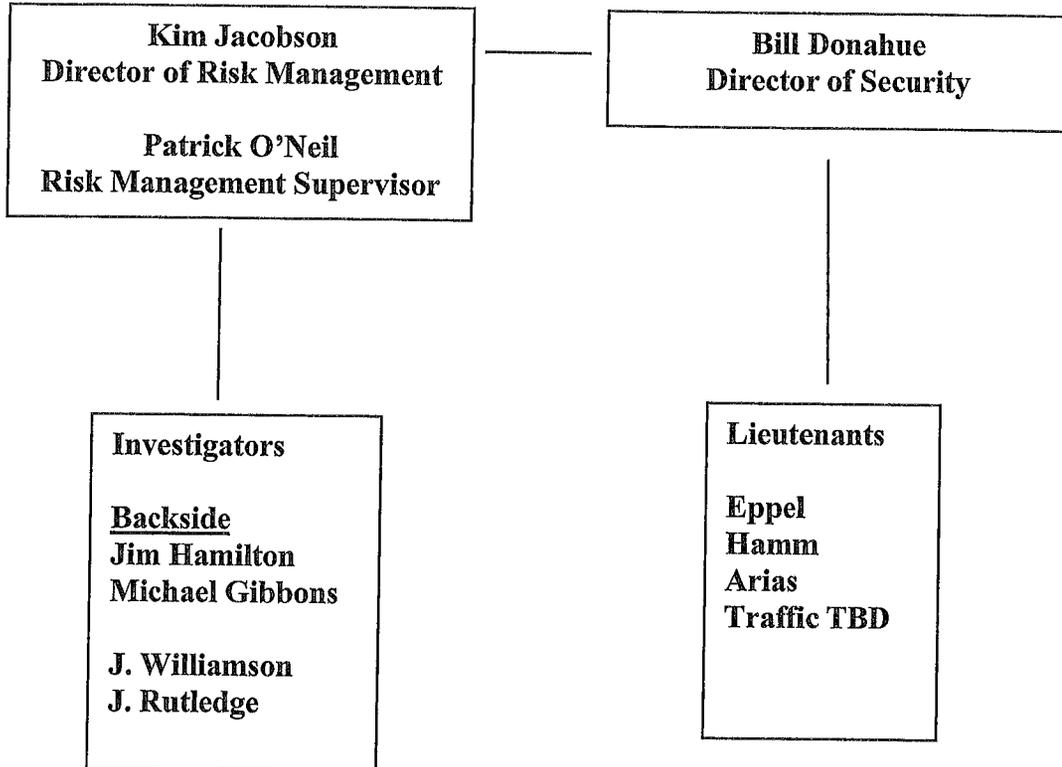
A. Attach a plan for use of graded stakes or overnight races.
Detention barn is used as required by the CHRB.

B. Number of security guards in the detention stall area during a 24-hour period.
As needed at the direction of the CHRB.

EXHIBIT 10A

Security Personnel

Person responsible for security controls on the premises:



Contact Information:

Director of Risk Management	Work 858-792-4233
Risk Management Supervisor	Work 619-840-7881
Director of Security	Work 858-755-1141 ext. 3652
Backside Investigator/Hamilton	Work 818-307-4483
Backside Investigator/Gibbons	Work 848-888-2754
All others	Work 858-755-1141 ext. 3650

*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

- C. Describe number and location of surveillance cameras in detention stall area.
For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shed-row from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' Office on the Frontside.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
All
- B. Plan for enhanced surveillance for trainers with high-test results.
Will follow CHRB directives
- C. Plan for detention stalls for repeat offenders.
Will follow CHRB directives
- D. Number of security personnel assigned to the TCO2 program.
Assigned as needed

- C. Describe the electronic security system:

Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity Cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Del Mar Thoroughbred Club utilizes pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to one week before being rerecorded. Control of these cameras is located in DMTC's security office.

- D. For night racing associations. Describe emergency lighting system: **N/A**

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Mercy Ambulance
 2537 Old San Pasqual Road
 Escondido, CA 92027
 (760) 525-9676**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

EXHIBIT 11

On-track staff: Advanced Life Support Ambulances (ALS) – Paramedics and certified Emergency Medical Technicians are on-site at all time that either training or live racing is taking place.



COUNTY OF SAN DIEGO

EMERGENCY MEDICAL SERVICES

EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: MERCY MEDICAL TRANSPORTATION, INC.

Effective Date: December 1, 2019

Expiration Date: November 30, 2020

Approved as: ALS

BLS

CCT

Authorized by:

Permit Officer, Emergency Medical Services

Permit Number: 19-130

EXHIBIT 11

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Auxiliary Site: Los Alamitos

Huntington Ambulance
Contact: Stacy O'Bryan
PO Box 145
Sunset Beach, CA 90742
(562) 904-1550

Auxiliary Site: San Luis Rey Downs

Mercy Ambulance
Contact: Doug Moriarty
2537 Old San Pasqual Road
Escondido, CA 92027
(760) 525-9676

Auxiliary Site: Santa Anita

Symons Ambulance
Contact: Ryan Merchant
18592 Cajon Blvd.
San Bernardino, CA 92407
(951) 880-6263

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- **Mercy Ambulance Certificate: ON FILE**
 - **Huntington Ambulance Certificate: ON FILE**
 - **Symons Ambulance Certificate: ON FILE**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day and Pacific Classic Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the first aid clinic includes:

- **Oxygen at each station**
- **Cardiac monitor**
- **AED**
- **Otoscope**
- **Ophthalmoscope**
- **Pulse and O2 Oximeter**
- **Surgical equipment (used for laceration repair and suture removal)**
- **B/P Cuff**
- **Thermometer**
- **Bag Valve Mask**
- **Surgery Light**
- **Stethoscope**
- **Glucose Monitor and Supplies**

- C. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1.:**

D.

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Del Mar Doxx's - and rotate on site for the racing days. The doctors include:

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

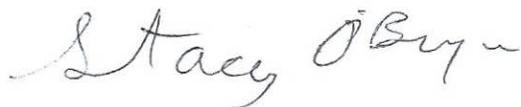
May 22, 2020

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President
Huntington Ambulance, LLC



May 23, 2020

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Ryan Merchant
General Manager
(951) 880-6263
rmerchant@symonsambulance.com

Ariel Alexander Cortes, MD
Athanasios J. Foster, MD
Melinda E. Nevins, DO
Philip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.
 - **Scripps La Jolla**
988 Genesee Ave., La Jolla, CA 92037 / 858-626-4123
 - **Scripps Encinitas**
354 Santa Fe Drive, Encinitas, CA 92024 / 760-753-4123

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **ATTACHED**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
 - **Health and Safety Manager (Risk Manager): Kimberly Jacobson**
 - **Assistant Manager (Risk Management Assistant): Patrick O'Neal**
 - **On-Site Medical Coordinator – Rotates between different Fire Fighter Paramedics**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
TO BE PROVIDED AFTER JUNE 1, 2020

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
State Compensation Insurance Fund of CA
IOA Insurance Services
3875 Hopyard Road, Suite 200
Pleasanton, CA 94588
Policy #927286820

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
EXHIBIT 12

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

Overview: The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

Program Elements:

I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Del Mar Doxx's to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. At minimum each ambulance is staffed by a Paramedic and a certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

II. Jockey Information Health System

DMTC participates in the Jockey Information Health System through Incompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.

- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary, hospital will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.
- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
- d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

IV. On-site First Aid Facilities and Staffing

- a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will be a licensed physician and medical assistant on duty.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

b. Advanced Life Support Ambulances (ALS) – A Paramedic and Certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one, at a minimum (1) Paramedic and one (1) Certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times. (Depending on staffing for the day there may be times when two paramedics are on a rig).

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with a licensed Emergency Medical Services provider who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

General Conditions

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

emergency care; they will direct the medical transport team as to which hospital to respond to.)

Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Response During Training Periods

- During training periods, Advanced Life Support Unit will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist EMS provider with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet on-track unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program will be treated on-track or at the DMTC fist aid clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed –circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.
- In the event of a multiple rider down situation Team 1 will call for immediate backup from Team 2 at which time Team 2 will enter the track to assist. If the situation is going to require additional response from outside Team 1 will request outside units through Security Dispatch.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,
- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

Please note: Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

IIIX. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

Visión General: El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

Elementos del Programa:

I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Del Mar Doxx's" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Como mínimo, cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

II. Sistema de Salud para la Información del Jinete

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de Incompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fax directamente a la sala de emergencia del hospital.

II. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.
- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se transportará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el incidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

IV. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo habrá un médico licenciado y ayudante médico en servicio.
- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá uno, como mínimo (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado en el sitio en todo momento. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento. (Dependiendo del personal para el día puede haber ocasiones cuando dos paramédicos se encuentren en un camión).
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

V. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata al proveedor de Servicios Médicos de Emergencia licenciados que proporciona ALS para responder ante las emergencias médicas y puede proporcionar servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de

jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.

- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

VI. Plan Médico de Emergencia

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

Condiciones Generales

- Los Paramédicos y EMT en el sitio responderán a cualquier accidente que se observe de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripp atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

Comunicaciones

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el Ayudante controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

Respuesta Durante los Periodos de Entrenamiento

- Durante los periodos de entrenamiento, la Unidad de Apoyo Vital Avanzado determinará la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica es necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a EMS a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.
- Las unidades municipales se reunirán en la unidad en la pista en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista o en el centro de primeros auxilios de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

Respuesta Durante la Pre-carrera (Caballeriza)

- El Equipo 2 estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el área de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.
- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite,

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

Accidentes Durante la Carrera en Directo

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión o según lo aconsejado por el Personal de Seguridad de DMTC,
- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o reemplazar a la unidad de la pista si fuera necesario por el Equipo 1 para abandonar la pista por cualquier razón.
- En caso de que jinetes múltiples se encuentren en situación de lesión, el Equipo 1 llamará para apoyo inmediato del Equipo 2 en el que el Equipo 2 entrará en la pista para ayudar. Si la situación va a requerir una respuesta adicional desde fuera el Equipo 1 solicitará fuera unidades a través del Despacho de Seguridad.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará de inmediato a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para otra atención médica y tratamiento según se necesite,
- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS requiere que abandone los terrenos, la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica de clientes hasta que regrese la unidad al terreno.
- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

Por favor tenga presente: Bajo ninguna circunstancia informaremos con respecto al estado médico del jinete a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

VII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista de DMTC se exhibirá en Inglés y Español en las siguientes localizaciones:

- a. La Sala del Jinete situada en la primera planta de las Gradass del Clubhouse
- b. Las instalaciones médicas en el sitio de DMTC, y
- c. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC y los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
Fire and Life Safety Division
24680 Jefferson Avenue, Suite D
Murrieta, CA 92562
(951) 304-7865
Website: www.fire.ca.gov



May 26, 2020

Sue Walls, Vice President - Facilities
Del Mar Thoroughbred Club
P.O. Box 700
Del Mar, California 92014-070

Re: Del Mar Thoroughbred Racing Facility
CSFM File #04-37-041-0001

Dear Ms. Walls:

An inspection of the referenced facility was conducted on May 5, 2020, in accordance with Section 13108(c) of the California Health and Safety Code. The purpose was to determine compliance with minimum fire and life safety standards required by Titles 19 and 24 of the California Code of Regulations.

No deficiencies were noted at the time of inspection. Fire Clearance is hereby granted for the 2020 racing season.

If we can be of further assistance, or you desire additional information or clarification, please feel free to contact me at 951-304-7865.

Sincerely,

Jeffrey Bailey
DSFM III Specialist

A handwritten signature in black ink, appearing to read "Jeffrey Bailey".



EXHIBIT 12

DELMART-01

PRZEMIELEWSKIS

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
3/30/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	CONTACT NAME: PHONE (A/C, No, Ext): (925) 416-7862		FAX (A/C, No): (925) 416-7869	
	E-MAIL ADDRESS:			
INSURED Del Mar Thoroughbred Club PO Box 700 Del Mar, CA 92014	INSURER(S) AFFORDING COVERAGE		NAIC #	
	INSURER A: Arch Insurance Company		11150	
	INSURER B: State Compensation Insurance Fund of CA		35076	
	INSURER C:			
	INSURER D:			
	INSURER E:			

COVERAGES**CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			SNCGL1800900	3/15/2020	3/15/2021	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 5,000,000 PRODUCTS - COMP/OP AGG \$ 5,000,000 SEXUAL ABUSE MO \$ 1,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY			SNAUTO83100	3/15/2020	3/15/2021	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$			SNFX0087700	3/15/2020	3/15/2021	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 \$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	927286820	3/31/2020	3/31/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 RE: Evidence of Coverage

CERTIFICATE HOLDER**CANCELLATION**

California Horse Racing Board (CHRB)
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

AUTHORIZED REPRESENTATIVE

John P. ...

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED – CERTIFICATE HOLDERS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SECTION II – WHO IS AN INSURED is amended to include any Certificate Holder, identified as an additional insured, on a Certificate of Insurance issued by Philadelphia Indemnity Insurance Company or our authorized representative, but only for liability arising out of the negligence of the named insured.

The limits of insurance applicable to these additional insureds are the lesser of the policy limits or those limits specified in a contract or agreement. These limits are inclusive of and not in addition to the limits of insurance shown in the declarations.

medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: **EXHIBIT 13**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

EXHIBIT 14

B. Promotional/ Marketing budget for this race meeting and prior race meeting:

<u>Category</u>	<u>2019</u>	<u>2020</u>
Advertising	\$1,673,750	\$ 132,000
Promotions	\$1,644,950	\$ 68,000
Communications	\$ 181,500	\$ 115,120
Corporate Sponsorship Fulfillment	\$ 57,500	\$ 20,000
Other	\$ 23,000	\$ 10,000
Total	\$3,580,700	\$ 345,120

C. Number of hosts and hostesses employed for meeting: **N/A – DMTC is requesting to operate without spectators in accordance with public health and government guidelines. If restrictions are lifted and limited spectators are allowed, DMTC will adjust staffing based on allowable attendance levels.**

D. Describe facilities set aside for new fans:

N/A

EXHIBIT 13

CONCESSIONS:

Wes Westley, CEO
Premier Food Service, Inc./SMG
300 Conshohocken State Road
Conshohocken, PA 19428

SADDLERY SERVICES:

Frank Wipfli
Western Saddlery, Inc.
7038 Commerce Circle
Pleasanton, CA 94588

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez
Garda Cash Logistics
8807 Complex Drive
San Diego, CA 92123

Rayetta Burr
Benoit & Associates, Inc.
Track Photographers
PO Box 60014
Arcadia, CA 91066-6014

Del Mar Doxx-A.J. Foster
Track Physicians
380 Stevens Ave., Ste 100
Solana Beach, CA 92075

Michael Costello
United/Puett Electrical
Starting Gates
224 Tater Hill Rd.
East Haddam, CT 06423

Brent Gulley
Gulley's Livestock Disposal
10272 Juniper Rd.
Oak Hills, CA 92344-0304

Deven Owen
West Coast Feed
PO Box 893007
Temecula, CA 92589

Gary S. Bell
Citrus Feed
PO Box 249
La Verne, CA 91750

Angel P. Unamuno
Angel's Feed
4518 Rowland Ave.
El Monte, CA 91731



Marketing Overview

The COVID-19 pandemic has wreaked havoc on many levels in our society and economy. Events where people gather in large numbers are no longer permitted and as a result, with just a few exceptions, the sports world has been shut down. Based on feedback from federal, state and local officials, DMTC is preparing to operate the 2020 summer race meet under strict COVID-19 safety protocols with a steadfast commitment to ensure the safety and welfare of our workforce and our equine athletes without spectators at our facility. If the “stay at home” mandate and gathering rules are loosened by state officials and the San Diego County Health Department, we will scale-up our operations, marketing efforts and staffing based on allowable attendance levels.

Clearly, the typical Del Mar marketing strategies for attracting large crowds via racing promotions, concerts and events are not relevant for the 2020 season. Instead, marketing efforts will be focused primarily on promoting wagering – not only locally in San Diego, but throughout our simulcast markets. In addition to promoting racing at Del Mar, we will take advantage of some revised racing schedules throughout the country that will provide DMTC with new simulcast wagering opportunities. Specifically, the Kentucky Oaks and Kentucky Derby are currently scheduled to run on September 4th and 5th, 2020. Together these are the industry’s two largest wagering days of each year. Also, Keeneland is scheduled to operate a three-day stakes-intensive racing festival July 10th through the 12th.

DMTC will receive revenue and purses from all Southern California ADW customers who wager on these race cards.

The key marketing tactics this year will include

- Expanded Broadcast Exposure
- Database marketing
- Social media
- Del Mar Website
- Advertising
- Virtual Events

Expanded Broadcast Exposure

One of the few bright spots for racing during the pandemic is the possibility of expanded broadcast exposure. Competing sporting events are a rarity in these uncertain times and it allows us the opportunity to put our product in front of sports fans who would usually not tune in to horse racing. Therefore, we are working closely with TVG to get the races at Del Mar broadcast on the NBC Sports Network, which is available to over 85 million homes. Also, given that there are currently very few sports on which fans can wager, we believe this is an excellent opportunity to acquire new bettors for our sport. Consequently, TVG has purposely changed the tone of the show to be more “beginner friendly” and to focus a bit more on customer acquisition – i.e. here’s how to open an account, etc.

On a local level, for the first time ever, San Diego’s The CW network (channel 6 on the dial) will broadcast all the races from Del Mar this summer. The CW will take the TVG broadcast and supplement it with local content to give the show a unique San Diego feel. This show will be ideal for virtual Del Mar parties and new fan acquisition.

Database Marketing

Database marketing, which has always been a mainstay in the marketing mix, will be even more important this season as it allows us to communicate effectively with our current fan base of regular attendees and bettors. Over the years Del Mar has devoted significant time and money to identify our regular players by building a data warehouse from various lists of information contained at the racetrack (marketing, racing, group sales, turf club, ticketing, etc.) and then tracking the behavior of those individuals through our loyalty club, *The Diamond Club*. *The Diamond Club* has enjoyed phenomenal growth since its inception in 2006 with membership numbers now topping over 310,000. These members will receive emails alerting them to race dates, post times, carryovers, big races and general interest stories about jockeys, trainers and owners. Over 6 million targeted emails will be sent to members of our database this year with a typical open rate of 15% (900,000 emails opened!).

Social Media

Much like our marketing in general, the content of our social media communications will now stress racing, wagering and virtual Del Mar events. Over the years our social media community has grown as we continue to emphasize the big three: Facebook (88,000 followers), Twitter (35,300) and Instagram (34,300).

Given the importance of social media this year, we have hired an outside firm, Grand Slam Social (Breeders' Cup is also a client), to assist with our efforts. Videos, photos and news related to races as well as wagering opportunities, horsemen profiles and fun tidbits will be sent to our loyal followers on a regular basis.

Del Mar Website

With many race fans at home this summer, we believe the Del Mar Website will be more important than ever. From entries, results and handicapping information to real-time odds, pools and videos, the website will provide racetrack regulars with the valuable information and tools they need. The Beginner's Corner and the Online Handicapping Challenge are popular landing spots on the site as well. Last year the site generated over 15,400,000 page views from 3,000,000 users. We expect those numbers to increase this year.

Advertising

An aggressive advertising campaign throughout San Diego is generally a staple of the marketing budget. However, without fans in the stands this year, we will target racing fans and bettors with a scaled-down budget. Spending will be increased for publications such as the Daily Racing Form and the Paulick Report as we promote big races and wagering. In addition, we will continue to support racing radio shows throughout the country.

Virtual Events

If fans cannot come to the races this summer, we will bring the races to them by offering Virtual Del Mar Parties. The Party Packs will include Del Margaritas, our famous Cardiff Crack Tri-tip from Seaside Market, tip sheets, wagering offers from TVG, gift shop swag and more. The Party Packs will be available for pickup each racing day prior to post time. These events will add to the handle and ensure that Del Mar stays on top of minds in these strange times.

If local bars and restaurants are allowed to open by mid-July they will be great locations for the virtual Del Mar events. We will work the bars and restaurants to provide Del Mar-themed décor, drinks, contests and more. Our friends at TVG

will be on the scene to sign up newcomers so that bars and restaurants become a new source of handle for us.

The Del Mar Handicapping Challenge has traditionally been one of the biggest on-site tournaments in the country. Live “live money” requires a \$6,000 bankroll as players compete for over \$300,000 in prizes. This year we intend to move the tournament on-line to TVG. With a national audience and aggressive promotion from TVG, we expect to attract more players than ever.

Budget

	<u>2020</u>	<u>2019</u>
Advertising	\$ 132,000	\$1,673,750
Promotions	\$ 68,000	\$1,644,950
Communications	\$ 115,120	\$ 181,500
Sponsorship fulfillment	\$ 20,000	\$ 57,500
Other	\$ 10,000	\$ 23,000
Total	\$ 345,120	\$3,580,700

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. HORSEMEN

- **Installation of wash basins/sanitary stations throughout the backside stable area.**
- **Replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area) – on-going.**
- **Replacement of flooring, doors, locks, and window screens in living quarters (restricted area) – on-going.**
- **Upgrade of morning workout lighting (restricted area) – on-going.**
- **Repair of all stable area smoke detectors (restricted area) – on-going.**
- **Replacement of water service to miscellaneous barns in stable area (restricted area) – on-going.**
- **Backfill of all stable area stalls with decomposed granite (restricted area) – on-going.**
- **Renovation of miscellaneous restrooms, tack rooms and living quarters in stable area (restricted area) – on-going.**
- **Replacement of exterior electrical outlets and wall lights in stable area (restricted area) – on-going.**
- **Clean and screen all stable area shed-drains and roadways due to rocks and debris (restricted area) – on-going.**
- **Clean and dig-out all stable area wash racks and storm drain boxes (restricted area) – on-going.**
- **Re-grading of main dirt track (restricted area).**

- **Replace two viewing stands in stable area.**

2. FANS

- **Installation of washing and sanitation stations throughout the facility.**
- **Replacement of television monitors with flat screen monitors throughout facility – on-going.**
- **Installation of wireless technology throughout the facility to enhance the mobile app experience - on-going.**

3. Facilities in the restricted areas: SEE 18-E-1

19. SCHEDULE OF CHARGES

DMTC is requesting to operate without spectators in accordance with public health and government guidelines. If and when restrictions are lifted, and limited spectators are allowed, DMTC will consult with the CHRB on ticketing and pricing.

- A. Proposed charges, note any changes from the previous year: N/A
- B. Describe any "Season Boxes" and "Turf Club Membership" fees: N/A
- C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

Jockeys quarters modified to adhere to social distancing and COVID-19 protocols. See Del Mar Thoroughbred Club COVID-19 Operational Plan Section (4) – On-File.

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="73"/>
<input checked="" type="checkbox"/>	Showers	<input type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys/drivers:
Modifications made to adhere to social distancing and COVID-19 protocols (see above).

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **665 permanent**
- C. Number of restrooms available on the backstretch of the racetrack: **83**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **17.5 workers to 1 restroom facility.**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
The main track surface is a Dirt Running Surface. The surface consists of a 10" sandy loam on top of a layer of decomposed granite and 6" round perforated drain pipe on top of the existing dirt sub-base.
The turf course consists of GN-1 Bermuda grass sod with a growing medium consisting of 9" of silica sand mixed with peat and turf grids blended into the top 6".

- C. The percent of cross slope in the straight-aways is: **2.5%**
The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Main Track:

Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".

Turf Track:

Inside running rail: Mawsafe pvc injection molded

Inside rail supports: Mawsafe pvc with 16" offset

Approx. height: 47"

Outside running rail of the turf course is the same as the inside rail.

Outside rail supports are the same as the inside rail supports.

Approximate height 47"

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **TOM ROBBINS, EXECUTIVE VP RACING**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **ON FILE**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **ON FILE**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **EXHIBIT 15**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Summer Meet Agreements with: CTT - PENDING
TOC – ON-FILE
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **ON FILE**

EXHIBIT 15

DEL MAR THOROUGHBRED CLUB - UNION CONTRACTS CURRENTLY IN NEGOTIATION

UNION	SIGNATORY ASSOCIATIONS	JOB CLASSIFICATION	CONTRACT DATES
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Auto Park	July 2016 through July 2019 Day-to-Day Extension signed until renewal
Teamsters Local 495 Clockers/ Outriders	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Clockers / Outriders	July 2016 through July 2019 Day-to-Day Extension signed until renewal
Teamsters Starters Local 495	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Starters	July 2016 through July 2019 Day-to-Day Extension signed until renewal
Teamsters 495 Racing	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing Officials	July 2016 through July 2019 Day-to-Day Extension signed until renewal
SEIU Local #280	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex SCOTWINC	Mutuels	September 2019 through June 2020 Signed Extension through 12/31/20
SEIU #1877	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing	May 1, 2017 - April 30, 2020 Day-to-Day Extension signed until renewal
Local #89	Del Mar Thoroughbred Club	Laborers	January, 2020 Day-to-Day Extension signed until renewal
Local #542	Del Mar Thoroughbred Club	Tractor/Truck Drivers	December 17, 2019 Signed Extension options
SEIU #1877	Del Mar Thoroughbred Club	Admissions / Public Events / Security / Janitorial	June 30, 2020 Day-to-Day Extension options until renewal

- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **NO EXCEPTIONS**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **NO EXCEPTIONS**

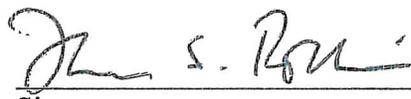
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

THOMAS S. ROBBINS

 Print Name



 Signature

EXECUTIVE VICE PRESIDENT, RACING

 Print Title

MAY 18, 2020

 Date

Drummond, Amanda@CHRB

From: [REDACTED]
Sent: Tuesday, June 2, 2020 4:37 PM
To: Drummond, Amanda@CHRB

CHRB Members:

Thank you for working diligently to regulate and reform horse racing. I am submitting comments today (6/2/20) relating to the CHRB meeting scheduled for 6/11/20. In case of technical difficulties which preclude the use of teleconferencing, herein is a summary of my concerns.

Agenda Item #s 4, 6, 8 Granting licenses to conduct horse racing meetings

Concern: I don't believe racing should be taking place at all during this Covid19 Pandemic. It is impossible to maintain social distancing during racing activities. Jockeys and track workers come and go from the tracks despite claims by some that a racing facility can be a closed ecosystem. I have witnessed the presence of many unessential participants at race tracks recently. For example media reporters, a bugle player, trophy presenters, horse carriage drivers and more. Owners and guests have been invited to watch their horses train while having coffee at a club house. These activities can promote the spread of highly infectious disease during this critical time period.

Thank You For Your Continued Work and Dedication,
Bonnie C.
Concerned Citizen

Drummond, Amanda@CHRB

From: Martha Sullivan [REDACTED]
Sent: Tuesday, June 2, 2020 5:53 PM
To: Drummond, Amanda@CHRB
Subject: Public Comment for CHRB Agenda Item 8 (6/11/20)

Do not approve a Horse Racing License for the Del Mar Thoroughbred Club in 2020.

At the end of the Del Mar Fairgrounds Board Meeting last month, the organizer of counter-protests at SoCal horse racing tracks to promote #CruelAsEverHorseRacing called us Racist for warning against bringing 750+ Migrant workers from the Santa Anita racetrack to the Del Mar Racetrack this summer, due to COVID-19.

The following analysis by former Assemblymember Lori Saldaña, longtime San Diego Community College Professor, is why WE aren't the Racists. It's the Horse Racing Industry which continues to exploit low-paid, often undocumented workers who follow the racehorses from track to track and live in substandard conditions that don't even qualify to be called housing under CA law. These substandard living conditions are CONGREGATE LIVING FACILITIES, just like homeless shelters which have been emptied, with residents moved into hotel rooms or to much larger spaces to provide for greater Distancing.

Lori Saldaña Analysis (as of 6/1/20):

Here's the thing people need to understand about San Diego County:

Latinos are **33% of the population**- but **over 60% of the COVID cases**: **3,955 out of 7554**

Latinos in San Diego County now make up **over 40% of the DEATHS** from COVID: **110 out of 269**

Also: Latino residents are **THREE TIMES** more likely to get COVID than white people in San Diego County, with illness rates of 343/100K vs. 88/100K.

Now, we are seeing privileged white people insisting on re-opening work places where Latinos are essential workers.

Many of them are refusing to wear their masks- simply because they are privileged, and accustomed to doing whatever they please without consequence, and their COVID rates show that: they are only 1/3 as likely to get sick as those Latinos working nearby.

But when non-mask wearing white people clamor for restaurants or race tracks to open: guess who is most often prepping, cleaning and serving their food and training their horses?

Guess who is doing essential work to keep hospitals clean and functioning despite the risks?

Answer: Latinos- who are THREE TIMES more likely to get COVID as white people.

I'm not sure what to say about those who refuse to wear masks at work, or when going out to eat or shop. But believing you are not going to get COVID is simply about the privilege of not having to do the work you expect others to do- often under dangerous and low paid conditions.

When will ALL the people working at these locations feel safe, supported and protected?

County of San Diego
 Daily 2019 Novel Coronavirus (COVID-19)
 Race/Ethnicity Summary



Data are preliminary and subject to change

Data through 5/31/2020, updated 6/1/2020 8:00 AM

Summary of COVID-19 Cases by Race/Ethnicity

COVID-19 Case Summary	San Diego County Residents		
Total Positives	7,554		
Race and Ethnicity	Count	% of Total with Known Race/Ethnicity (N=6,077)	Rate per 100,000*
Hispanic or Latino	3,955	65.1%	343.7
White	1,353	22.3%	88.7
Black or African American	229	3.8%	155.0
Asian	428	7.0%	117.5
Pacific Islander	47	0.8%	319.5
American Indian	14	0.2%	
Multiple Race	51	0.8%	45.5
Race/Ethnicity Unknown	1,477		

*Rates are calculated using 2018 population estimates from the San Diego Association of Governments. Rates are not calculated for counts under 20 cases.

Data are preliminary and subject to change.

Sources: San Diego County Communicable Disease Registry, SANDAG 2018 Population Estimates (Prepared July 2019)

Prepared by County of San Diego, Health and Human Services Agency, Public Health Services, Epidemiology and Immunization Services Branch

County of San Diego
 Daily 2019 Novel Coronavirus (COVID-19)
 Summary of Deaths by Demographics



Data are preliminary and subject to change

Data through 5/31/2020, updated 6/1/2020 8:00 AM

Summary of COVID-19-associated Deaths by Demographics

San Diego County Residents		
Total Deaths	269	
Selected Characteristics	Count	% of Deaths with Known Demographics
Age Groups		
0-9 years	0	0.0%
10-19 years	0	0.0%
20-29 years	2	0.7%
30-39 years	3	1.1%
40-49 years	7	2.6%
50-59 years	22	8.2%
60-69 years	37	13.8%
70-79 years	73	27.1%
80+ years	125	46.5%
Age Unknown	0	
Gender		
Female	115	42.8%
Male	154	57.2%
Gender Unknown	0	
Race/Ethnicity		
Hispanic or Latino	110	41.4%
White	120	45.1%
Black or African American	7	2.6%
Asian	25	9.4%
Pacific Islander	2	0.8%
American Indian	1	0.4%
Multiple Race	1	0.4%
Race/Ethnicity Unknown	3	

Data are preliminary and subject to change.

Source: San Diego County Communicable Disease Registry

Prepared by County of San Diego, Health and Human Services Agency, Public Health Services, Epidemiology and Immunization Services Branch

Sincerely,

Martha Sullivan
 Imperial Beach

Drummond, Amanda@CHRB

From: Patty Shenker [REDACTED]
Sent: Wednesday, June 3, 2020 3:21 PM
To: Drummond, Amanda@CHRB
Subject: Del Mar Licence

Dear Commissioners,

In regards to Agenda #8- Do not approve a Horse Racing License for the Del Mar Thoroughbred Club in 2020.

The horrific accident of two horses colliding in training again points to the truth that horse racing kills horses, not just in races but training too.. But what adds corruption to this criminal activity is the fact that these horses did not reach a UC Davis lab for necropsies, AS MANDATED. Instead, you blamed and fired a contractor instead of taking the blame for this calculated mistake. I don't buy for one moment that this was an error. You were afraid to find out what the necropsies would reveal and how it would disgust the public even further and give fuel to those of us who want to end horse racing.

It is again another corrupt cover-up from the CHRB and all involved in this criminal activity. Why would you care that the poor horses were sent to a rendering plant? You have thousands of horses born in the hopes that they will race but most of them go to slaughter anyway so this was just two more. To top it off, to add insult to injury, a Del Mar representative had vowed to make the misstep public after an investigation was complete and that never happened, proving again that you are trying to hide the truth from the already dissenting public. This doesn't help your cause. I AM AS ALWAYS DISGUSTED BY YOUR CRUELTY, CORRUPTION AND DECEPTION!

Patty Shenker
Los Angeles, Ca.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENTS TO CHRB RULE 1685, EQUIPMENT REQUIREMENT, TO MODIFY
RIDING CROP SPECIFICATIONS

Regular Board Meeting
June 11, 2020

ISSUE:

The current California Horse Racing Board (Board) [Rule 1685, Equipment Requirement](#), is designed to prevent abuse through use and construction of the riding crop. The current language is the result of a 2010 amendment to transition to the “cushion crop”, a crop design that implements a soft padded material on the tip and has a rounded tip without hard edges. Starting in 2007, the Jockey’s Guild (Guild) began advocating for the cushion crop design as a “kinder” alternative that was gentler on horses and better protected the horses’ health and safety compared to the prior crop design. However, Ramón Dominguez, a retired jockey, has since developed a newer riding crop design called the 360 Gentle Touch (360 GT) that is more “equine friendly” than the current cushion crop. The 360 GT has no stitching and the popper is made of soft but durable foam.

On May 8, 2019, Mr. Dominguez proposed new language to the Board to amend Board Rule 1685 to incorporate the specifications of the 360 GT and to require jockeys and riders to use crops with cylindrical soft foam poppers that lack exterior stitching. Under existing language, the 360 GT is authorized only when approved by Stewards, pursuant to subsection (d) of Board Rule 1685.

At the January 22, 2020 regular Board meeting, the Board heard testimony from Mr. Dominguez and from representatives of the Guild regarding amendments to Board Rule 1685. The Board heard further testimony at the February 12, 2020 Medication, Safety, and Welfare Committee meeting, but the Board decided to table the issue before further action to allow time to hear the results from an ongoing study by the Thoroughbred Safety Coalition regarding a national model rule for riding crop specifications. The Thoroughbred Safety Coalition has since published its results and recommendations, which are attached.

ANALYSIS:

The text of the proposed amendment to Board Rule 1685 modifies the specifications for riding crops such that the newer padded safety crop models, such as the 360 GT, are required. The proposed text specifies weight, length, diameter, and other material properties of the shaft, and also specifies the length, material properties and construction parameters for the smooth foam cylinder attached to the end of the shaft that contacts the horse. These updated specifications reflect the recommendations from the Thoroughbred Safety Coalition’s recent study on safety padded crop parameters.

Additionally, the proposed amendment provides that riding crops are allowed for flat racing and training, subject to the parameters of Board Rule 1688, Use of Riding Crop, but no riding crop shall be used in races for two-year-old horses before April 1 of each year. This new provision is a further recommendation of the Thoroughbred Safety Coalition.

The Thoroughbred Safety Coalition's intent in conducting the study on and publishing recommendations for the safety padded crop parameters is to develop a model rule for riding crop specifications that can be used as a basis for uniform regulation nationwide. The proposed language takes these recommendations as its basis and amends Board Rule 1685 to reflect the updated recommendations.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19420](#) provides that the Board shall have jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. [BPC section 19440](#) states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4 of the BPC. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19481](#) provides that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider.

Originally discussed at the January 22, 2020 regular Board meeting, the proposed amendment was tabled at the February 12, 2020 Medication, Safety, and Welfare Committee meeting. The proposed amendment was then modified after the Thoroughbred Safety Coalition released recommendations for safety padded crop parameters. If the Board approves the proposed language, the rulemaking would be noticed for 45-day public comment with the Office of Administrative Law.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
PROPOSED AMENDMENT OF
RULE 1685 EQUIPMENT REQUIREMENT

1685. Equipment Requirement

- (a) No bridle shall weigh more than two (2) pounds.
- (b) Riding crops allowed for use in flat racing and training shall be unaltered from the original manufacturer; ~~shall have shaft and flap (popper); and shall not be used in races for two-year-old horses before April 1 of each year shall weigh no more than 8 ounces and shall not be more than 30 inches in length.~~
- ~~(1) The minimum diameter of the shaft shall be 0.5 inches, with a smooth, padded contact area that has no protrusions or raised surface.~~
- (c) All riding crops shall meet the following requirements:
- (1) Have a cylindrical shaft and a smooth foam cylinder at the contact end;
- (2) Have a maximum weight of 8 ounces;
- (3) Have a maximum length, including the smooth foam cylinder, of 30 inches;
- (4) Have a minimum shaft diameter of three-eighths inches; and
- (5) The shaft shall be smooth, with no protrusions or raised surfaces, and covered by shock-absorbing material that gives a compression factor of at least one millimeter throughout its circumference.
- ~~(6) The only allowed attachment to the shaft is the flap (popper) smooth foam cylinder which shall not extend more than 1 inch beyond the end of the shaft. shall be the only attachment to the shaft and shall meet the following specifications:~~
- (A) Crafted entirely of waterproof and chemical-resistant shock-absorbing foam that gives a compression factor of at least five millimeters throughout its circumference and that will not harden over time;
- ~~(B1) The flap (popper) smooth foam cylinder shall have a width minimum diameter of not less than 40.9 inches and a maximum diameter of 1.6 inches, or more than 1.5 inches;~~
- (C) The smooth foam cylinder shall have a minimum length of 7 inches;
- ~~and a minimum circumference of 3 inches measured around the width. The flap (popper)~~
- (D) The smooth foam cylinder shall have no reinforcements or additions beyond the end of the shaft, and no binding within 7 inches of the end of the shaft anywhere on the exterior of the soft tube at the end of the shaft.
- ~~(2) The flap (popper) shall be folded over and sewn down each side. It shall have an inner layer consisting of memory foam, closed cell foam, or a similar shock-absorbing material, and an outer layer that is dark in color and made of material that does not harden over time.~~
- (ed) All riding crops are subject to inspection and approval by the stewards.

DRAFT 2/28~~1~~/20

PROPOSED THOROUGHBRED CROP RULES – February ~~2028~~, 2020

1. In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact. The crop can be used by any jockey at any time without fine or penalty for a dangerous situation or safety issue if pulling out of the race. Jockey shall not persevere in this instance and the horse must be pulled up.
2. Although the use of the riding crop is not required, any jockey who uses a riding crop during a race is prohibited from using a riding crop on a horse, except:
 - a. The crop may be used in a backhanded / underhanded fashion for the start of the race, if needed;
 - b. Showing or waving the crop without touching the horse;
 - c. Tapping the horse on the shoulder with the crop in the down position while having both hands;
 - i. Holding onto the reins and
 - ii. Touching the neck of the horse.
 - d. Using the riding crop to make contact with any part of the horse to maintain a horse's focus and concentration or to control the horse for the safety of the horse and rider, provided that the following actions are prohibited:
 - i. Using the crop in any manner beginning with any upward or forward motion of the hand resulting in contact more than 5 times;
 - ii. Using the crop -and making contact in any fashion more than three successive times without giving the horse the chance to respond;
(Definition of chance to respond):
 1. Pausing the use of the riding crop on their horse before resuming again; or
 2. Pushing on their horse with a rein in each hand and, keeping the riding crop in the up or down position; or
 3. Showing the horse the riding crop without making contact; or
 4. Moving the riding crop from one hand to the other.
 - iii. Using the crop to the extent of causing injury; [the track veterinarian or official veterinarian will inspect each runner for visible injury as a result of crop usage after each race.](#)
 - iv. Using the crop with the wrist above helmet height; (a violation of this rule will result in a 5 **rac**ing day suspension);
 - v. Using the crop on a horse which is showing no response;
 - vi. The unnecessary use of the crop on a horse that has clearly won its race or has already obtained its maximum placing;
 - vii. Using the crop on a horse which is past the finish line;
 - viii. Using the crop on the flank of the horse;
 - ix. Using the crop on any part of the horse's head or in the vicinity of the head
3. If a jockey uses a riding crop contrary to this rule:
 - a. The 6th use of the crop shall result in a fine.
 - b. The 7th use of the crop shall result in increased fine.
 - c. The 8th through 11th use of the crop shall result in suspensions and fines.
 - d. 12 or more uses of the crops will result in long term progressive suspensions.

A jockey cannot receive multiple infractions/violations on the same race card without verbal confirmation of the infraction from racing officials after the running of each individual race that said infraction/violation occurred on that race card. Failure of notification will result in only one infraction/violation for the entire race card.

4. The use of a riding crop to touch a horse during morning workouts is strictly prohibited except when necessary to control the horse for the safety of the horse and rider, in which case the provisions of Section 2(c), above, shall apply. Any jockey or exercise rider found in violation of this rule may be suspended or fined by the Stewards.
5. All jockeys must use the new padded safety crop.
6. Riding crops shall not be used in races for two-year-old horses before April 1 of each year.
- ~~6.7. The usage of an electrical device is strictly prohibited.~~

SAFETY PADDED CROP PARAMETERS – (An agreed upon amount of time will be allowed for the development of the safety crop).

1. All riding crops are subject to inspection and approval by the stewards and the clerk of scales.
2. Riding crops shall have a shaft and a smooth foam cylinder at the contact end and will be allowed in flat racing including training, only as follows:
 - a) Maximum weight of eight ounces
 - b) Maximum length, including smooth foam cylinder of 30 inches
 - c) Minimum diameter of the shaft of three-eighths inch; and
 - d) Shaft contact area must be smooth, with no protrusions or raised surface, and covered by shock absorbing material that gives a compression factor of at least one-millimeter throughout its circumference.
 - e) The smooth foam cylinder is the only allowable attachment to the shaft and must meet these specifications:
 - 1) Crafted entirely of waterproof and chemical-resistant shock-absorbing foam that gives a compression factor of at least five-millimeters throughout its circumference and that will not harden over time
 - 2) Total length of smooth foam cylinder a minimum of seven inches
 - 3) Diameter a minimum of 0.9 inch and a maximum of 1.6 inches
 - 4) No reinforcements or additions beyond the end of the shaft

PENALTY SCHEDULE – In all grades stakes there shall be no warning phase, therefore all infractions in graded stake will begin with the second time offense. (Riders who incur a penalty infraction may reset the penalty schedule back to zero with 6 consecutive months of non-infraction riding).

DRAFT 2/28~~1~~/20

	First Time Overnight races and non-graded stakes			Second Time Overnight races, non-graded stakes and graded stakes		
PENALTY	6 th - verbal warning	7 th - verbal warning	8th - 50% of earnings	6 th - 30% of earnings minimum \$200	7 th - 60% of earnings minimum \$400	8th - 100% of earnings plus 1 racing day suspension

	Third Time Overnight races, non-graded stakes and graded stakes			Fourth Time Overnight races, non-graded stakes and graded stakes		
PENALTY	6 th - 40% of earnings minimum \$300	7 th - 70% of earnings minimum \$500	8th - 100% of earnings plus 3 racing day suspension	6 th - 50% of earnings minimum \$500	7 th - 80% of earnings minimum \$750	8th - 100% of earnings plus 5 racing day suspension

	Fifth Time Overnight races, non-graded stakes and graded stakes					
PENALTY	6 th - 60% of earnings minimum \$1,000	7 th - 100% of earnings minimum 1,500	8th - 100% of earnings plus 10 racing day suspension			

The coalition in unison with the Jockey Guild agree to propose and support the following Thoroughbred Crop Rule for implementation. The coalition understands that the proposal on fines levied will have to adhere to current State regulations until said changes are adopted by ARCI members.

SIX OR MORE INFRACTIONS (FIVE OR MORE IN GRADED STAKES) WILL RESULT IN A 45 CALENDAR DAY SUSPENSION FOR EACH INFRACTION.

ANY RIDER STRIKING A HORSE MORE THAN 11 TIMES IN A RACE WHILE STILL PERSEVERING (NON-SAFETY REASON) IN TRYING FOR A BETER PLACEMENT WILL RECEIVE AN AUTOMATIC 45 CALENDAR DAY SUSPENSION, 2nd OFFENSE 60 CALENDAR DAY SUSPENSION, 3rd OFFENSE 120 CALENDAR SUSPENSION, 4th OFFENSE 1 YEAR SUSPENSION.

The coalition along with the Guild supports that 50% of the crop infraction fines go to the Permanently Disabled Jockey Fund (PDJF) and that 50% of the fines go to the Thoroughbred Aftercare association (TAA).



01 May 2020

Los Angeles Turf Club, Santa Anita

Attention: Dr. Dionne Benson

Subject: Racing Crop Study

Dr. Benson,

Please find enclosed a report documenting the subject test series conducted by NTS-Chesapeake Testing on 30 April 2020. This report includes a detailed record for all samples that were tested.

If you have any questions related to this test, please call Mr. Michael Hinder at 410-297-8154 or contact him via e-mail at Mike.Hinder@nts.com.

Sincerely,

A handwritten signature in black ink, appearing to read "CS", with a long horizontal flourish extending to the right.

Chris Schueler
General Manager, NTS-Chesapeake Testing

This report shall not be used to claim product certification, approval or endorsement. The results of the testing relate only to the samples submitted for testing. This test report shall not be interpreted as an endorsement by NTS-Chesapeake Testing as to the continued quality or performance of any items of the same or similar design.

The information contained in this report may be subject to the provisions of the Export Administration Act (50 USC 2401 et seq.), the Export Administration Regulations (15 CFR 768-799), or the U.S. Arms Export Control Act (22 USC 2778 et seq.) and the International Traffic in Arms Regulations (22 CFR 120-130). These statutes and regulations impose restrictions on import, export and transfer to foreign entities and persons, whether within the U.S. or abroad, of certain data and articles without approved licenses from the U.S. Department of State and/or the U.S. Department of Commerce.

NTS-Chesapeake Testing is an independent testing facility and has no affiliation with the Stronach Group

THE STRONACH GROUP PROPRIETARY INFORMATION

The Stronach Group

Racing Crop Study

Prepared by:

Mike Hinder

NTS-Chesapeake Testing

*4603B Compass Point Road
Belcamp, MD 21017*

01 May 2020

*Further dissemination only as directed by
The Stronach Group, May 2020*

This report shall not be used to claim product certification, approval or endorsement. The results of the testing relate only to the sample(s) submitted for testing and are not representative of a complete model or lot. This test report shall not be interpreted as an endorsement by Chesapeake Testing as to the continued quality or performance of any items of the same or similar design.

The information contained in this report may be subject to the provisions of the Export Administration Act (50 USC 2401 et seq.), the Export Administration Regulations (15 CFR 768-799), or the U.S. Arms Export Control Act (22USC 2778 et seq.) and the International Traffic in Arms Regulations (22 CFR 120-130). These statutes and regulations impose restrictions on import, export and transfer to foreign entities and persons, whether within the U.S. or abroad, of certain data and articles without approved licenses from the U.S. Department of State and/or the U.S. Department of Commerce.

1 Introduction

Los Angeles Turf Club, Santa Anita (The Stronach Group) contracted NTS-Chesapeake (NTS) to conduct a test series on the performance of commercially available thoroughbred racing crops. The Stronach group submitted six (6) racing crops, of which four (4) were GT 360 design and two (2) were a standard racing crop design. The six (6) crops varied in weight and length.

NTS designed and fabricated a custom racing crop testing fixture to support the on-going evaluation of racing crops. The goal of the test series was to compare each racing crop with respect to force transmitted to the equine athlete.

Testing was conducted on 30 April 2020. All testing was conducted in accordance with (IAW) the customer's request.

2 Test Articles and Instrumentation

Table 1, below, shows detailed information on the racing crops which were received by NTS.

Table 1 – Test Samples

Crop ID	Crop MFR	Weight (oz)	Length (in.)
1	Standard Crop -1	5.35	27.45
2	Standard Crop -2	5.35	28.43
3	GT360 -1	4.95	26.99
4	GT360 -2	5.40	28.76
5	GT360 -3	5.10	27.00
6	GT360 -4	5.15	27.06



Figure 1 – All Crops



Figure 2 – Length Measurement

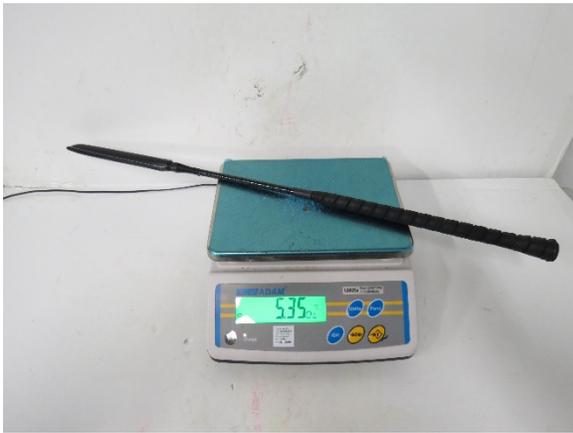


Figure 3 – Standard Crop-1



Figure 6 – GT 360-2



Figure 4 – Standard Crop-2



Figure 7 – GT 360-3



Figure 5 – GT 360-1



Figure 8 – GT 360-4

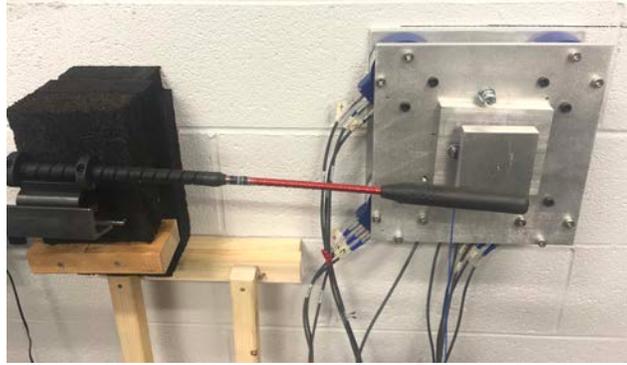


Figure 9 – Overall Test Setup

3 Detailed Test Procedure & Test Data

3.1 Racing Crop Test Procedure

NTS designed and manufactured a custom test fixture for thoroughbred racing crop testing. The fixture allowed the crops to be tested at several different impact velocities. For purposes of the initial data set, each of the six (6) crops were tested five (5) times at two (2) different speeds. The two (2) targeted test speeds were: twenty-five (25) and thirty-five (35) miles per hour. For each impact, the crop struck the center of an aluminum load plate which was backed by a load cell.

The fixture was designed to hold the crop grip between two clamps which were spaced four (4) inches apart, approximating the width of an average hand. Furthermore, when each crop was inserted the bottom two inches of the crop extended past the bottom-most clamp. This was intended to simulate the most common, comfortable holding position of each crop. This fixturing technique was maintained for each of the crops, regardless of length or width.

High speed video (HSV) was captured of each impact against the load cell, and was used to capture impact velocity and characterize the physical impact. A load cell was placed behind the impacted aluminum plate. From the load cell the peak impact force was captured and recorded.

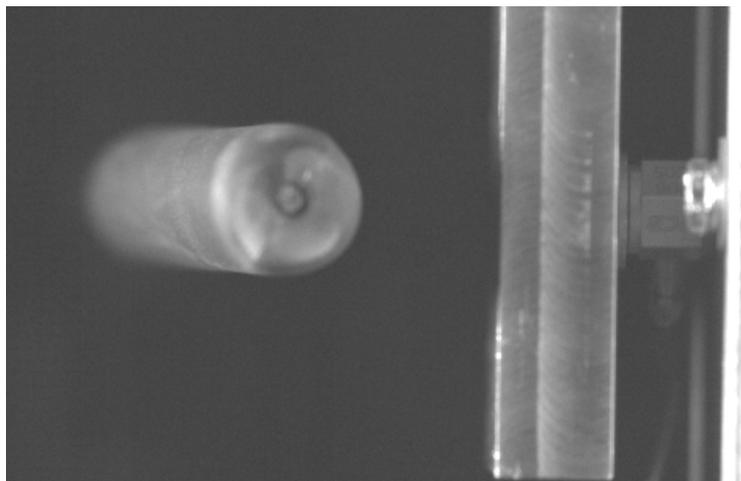
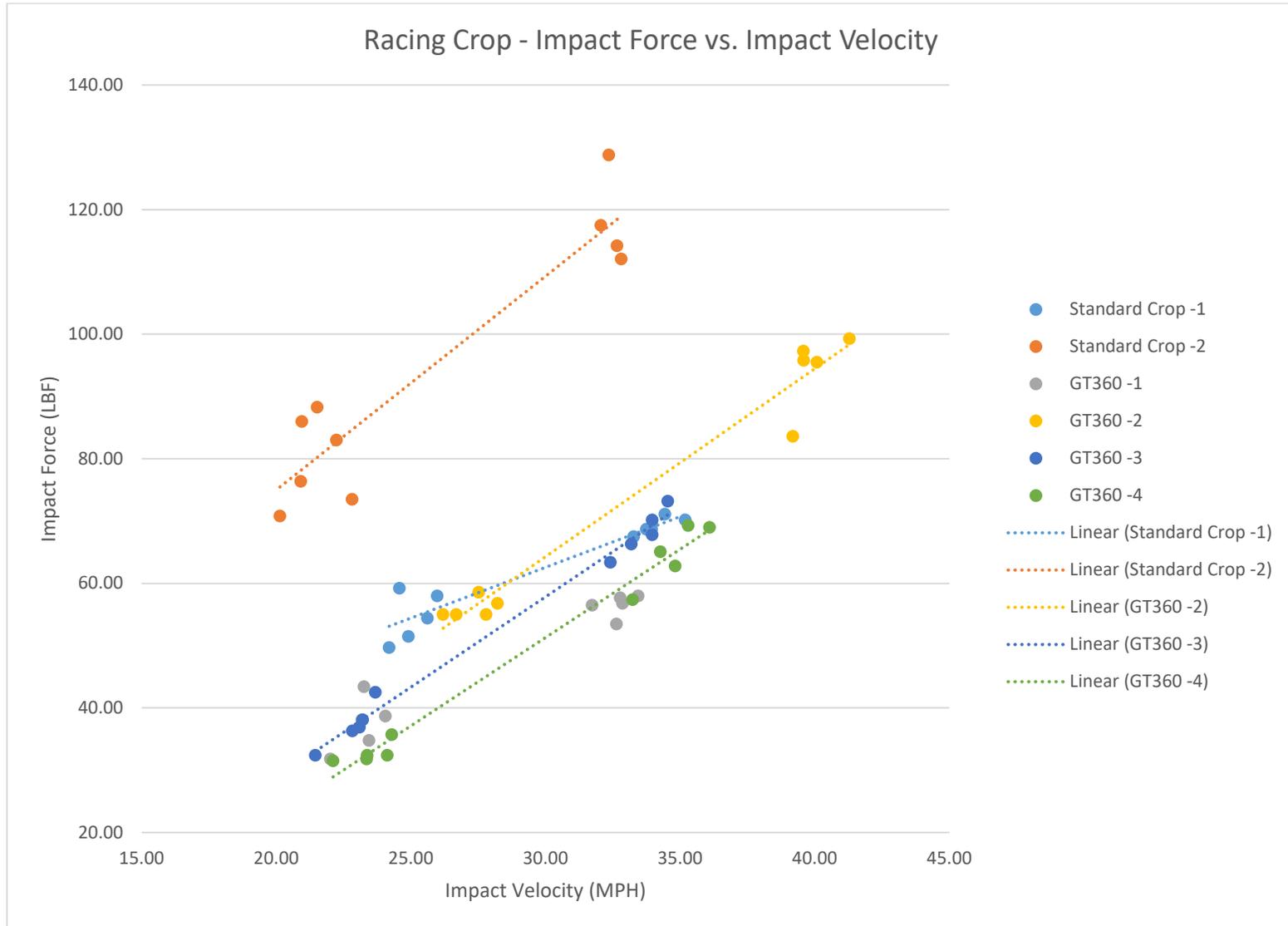


Figure 10 – High Speed Video Snapshot

3.2 Summary Data



Appendix A: Raw Data

Crop ID	Impact ID	Impact Speed (mph)	Peak Force (LBF)
#1	#1-1	25.98	58.00
#1	#1-2	24.57	59.20
#1	#1-3	24.91	51.50
#1	#1-4	25.62	54.40
#1	#1-5	24.19	49.70
#1	#1-6	33.75	68.70
#1	#1-7	33.94	68.70
#1	#1-8	35.19	70.20
#1	#1-9	34.43	71.10
#1	#1-10	33.28	67.50
#2	#2-1	22.82	73.50
#2	#2-2	22.24	83.00
#2	#2-3	20.13	70.80
#2	#2-4	20.95	86.00
#2	#2-5	21.52	88.30
#2	#2-6	20.91	76.40
#2	#2-7	32.35	128.80
#2	#2-8	32.81	112.10
#2	#2-9	32.06	117.50
#2	#2-10	32.66	114.20
#3	#3-1	22.02	31.80
#3	#3-2	24.06	38.70
#3	#3-3	23.26	43.40
#3	#3-4	23.18	38.10
#3	#3-5	23.45	34.80
#3	#3-6	33.44	58.00
#3	#3-7	31.74	56.50
#3	#3-8	32.64	53.50
#3	#3-9	32.78	57.70
#3	#3-10	32.87	56.80
#4	#4-1	28.22	56.80
#4	#4-2	26.69	55.00
#4	#4-3	27.80	55.00
#4	#4-4	27.52	58.60
#4	#4-5	26.20	55.00
#4	#4-6	39.58	97.30
#4	#4-7	41.29	99.30
#4	#4-8	39.18	83.60
#4	#4-9	39.59	95.80
#4	#4-10	40.08	95.50

Crop ID	Impact ID	Impact Speed (mph)	Peak Force (LBF)
#5	#5-1	23.21	38.10
#5	#5-2	23.09	36.90
#5	#5-3	22.83	36.30
#5	#5-4	21.45	32.40
#5	#5-5	23.68	42.50
#5	#5-6	33.18	66.30
#5	#5-7	32.41	63.40
#5	#5-8	33.96	67.80
#5	#5-9	33.97	70.20
#5	#5-10	34.54	73.20
#6	#6-1	24.13	32.40
#6	#6-2	22.11	31.50
#6	#6-3	23.36	31.80
#6	#6-4	23.37	32.40
#6	#6-5	24.29	35.70
#6	#6-6	33.23	57.40
#6	#6-7	34.27	65.10
#6	#6-8	34.82	62.80
#6	#6-9	35.30	69.30
#6	#6-10	36.09	69.00



Thoroughbred Racing Crop Study

Executive Summary

Project Summary

The Stronach Group partnered with National Technical Systems (NTS) to evaluate commercially available thoroughbred racing crops. Each crop was evaluated on the force delivered to the equine athlete based on the impact speed. The test series evaluated a standard design racing crop vs. the Gentle Touch GT360 crop. The Stronach Group delivered six (6) crops to NTS of varying lengths and weights

Table 1 – Test Samples

Crop ID	Crop MFR	Weight (oz)	Length (in.)
1	Standard Crop -1	5.35	27.45
2	Standard Crop -2	5.35	28.43
3	GT360 -1	4.95	26.99
4	GT360 -2	5.40	28.76
5	GT360 -3	5.10	27.00
6	GT360 -4	5.15	27.06

For each impact, the crop struck the center of an aluminum load plate which was backed by a load cell. From the load cell the peak impact force was captured and recorded.

Testing Results

For purposes of comparison NTS found it most appropriate to compare the following groups:

- Crop ID # 2 vs. Crop ID #4
 - These two crops represented the highest weight and length combination for the Standard and GT360 Crop. Also both crops were nominally 28.5 inches in length and 5.35 ounces in weight
 - Based on an Impact Velocity of 35 MPH Crop ID # 4 (GT360) demonstrated a **reduction** in delivered Impact Force of **43.0 LBF** or **47%**
 - Based on an Impact Velocity of 25 MPH Crop ID # 4 (GT360) demonstrated a **reduction** in delivered Impact Force of **47.1 LBF** or **37%**
- Crop ID #1 vs. Crop ID # 5 and 6
 - This group represents the shortest standard crop submitted (27.45-in) vs. the shortest GT360 crops (27-inch)
 - Based on an Impact Velocity of 35 MPH Crop ID # 5/6 (GT360) demonstrated a **reduction** in delivered Impact Force of **14.2 LBF** or **26%**
 - Based on an Impact Velocity of 25 MPH Crop ID # 5/6 (GT360) demonstrated a **reduction** in delivered Impact Force of **1.8 LBF** or **3%**

NTS Recommendations/Takeaways

Based on the initial test series NTS believes that the GT360 crop design demonstrates measureable impact force reduction as compared to a standard crop design of equivalent length and weight. This is demonstrated best in the comparison of Crop ID #2 vs. Crop ID #4

Based on Crop ID #1's data NTS would recommend either further evaluation of this crop, or additional comparison between the standard crop and GT360 for a crop length of 27-inches. Crop ID #1 did not produce the trend (Impact Force vs. Impact Velocity) that the other standard crop (Crop ID#2) demonstrated. Furthermore, we believe there would be more value in comparing a standard crop vs GT360 crop that are closer in both weight and length (See crop ID #2 vs #4), as these factors have proved to influence impact force.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT OF CHRB RULE 1688, USE OF RIDING CROP

Regular Board Meeting
June 11, 2020

ISSUE:

The use of the riding crop has been a part of horse racing since its inception. In recent times, however, the use of the riding crop has been perceived as cruel by some members of the public. Thus, at its March 2019 regular meeting, the California Horse Racing Board (Board) voted to send out for public comment a proposed amendment to the current Board Rule 1688 to further restrict the use of the riding crop. During the regulatory process, the Office of Administrative Law (OAL) deemed the proposed language insufficiently clear. In response, Board staff presented two proposed amendments, which were eventually heard at the December 2019 regular Board meeting. At the meeting, the Board Chairman presented a third proposed amendment to the rule. The Board voted to send out for public comment a version of that third proposed amendment with changes made in response to comments received at the meeting from stakeholders.

The 45-day public comment period has ended. Written comments were received from the Jockeys' Guild, California Thoroughbred Trainers (CTT), Thoroughbred Owners of California (TOC), and Thoroughbred Safety Coalition (see attached).

ANALYSIS:

The proposed amendment to Board Rule 1688 will, with certain exceptions, prohibit the use of the riding crop during training; after the finish; more than two times in succession without giving the horse a chance to respond before using the riding crop again; and more than six times during a race. The proposed amendment will revise the correct uses of the riding crop, which include showing or waiving the crop without touching the horse; in an underhanded position; and tapping the horse on the shoulder with the crop in the down position, with specific conditions. The proposed amendment will also establish a maximum fine of \$1,000 and minimum suspension of three days for riding in a manner contrary to the rule. There will be no penalty if the stewards determine that the use of the riding crop was necessary for the safety of the horse or rider.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19420](#) provides that the Board shall have jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted and over all persons or things having to do with the operation of such meetings. [BPC section 19440](#) states that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4, BPC. Responsibilities of the Board shall include, but not be limited to,

adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19481](#) provides that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider.

RECOMMENDATION:

This agenda item is presented for discussion and action by the Board.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
PROPOSED AMENDMENT OF
RULE 1688. USE OF RIDING CROP

1688. Use of Riding Crop

(a) In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact.

(b) Although the use of a riding crop is not required, any jockey or exercise rider who uses a riding crop during a race or training is prohibited from using a riding crop on a horse:

(1) during training, except when necessary for the safety of horse or rider;

(24) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters;

(32) during the post parade or after the finish, except when necessary to control the horse;

(43) excessively or brutally causing welts or breaks in the skin;

(54) when the horse is clearly out of the race or has obtained its maximum placing;

(65) persistently even though the horse is showing no response under the riding crop; ~~or~~

(76) more than two ~~three~~ times in succession without giving the horse a chance to respond before using the riding crop again; or

(8) more than six times during a race, excluding showing or waiving the crop or tapping the horse on the shoulder as defined in subsection (d).

~~(c) Subsections (b)(2) and (4) shall not apply to jockeys and exercise riders during training.~~

~~(c)~~ Correct uses of the riding crop are:

~~(1) showing horses the riding crop before hitting them; showing or waiving the crop without touching the horse, or~~

~~(2) using the riding crop in rhythm with the horse's stride; and in an underhanded position with the crop always at or below the shoulder level of the jockey;~~

~~(3) tapping the horse on the shoulder with the crop in the down position while having both hands; using the riding crop as an aid to maintain a horse running straight.~~

~~(A) holding onto the reins, and~~

~~(B) touching the neck of the horse.~~

~~(d) Absent mitigating circumstances, which includes but is not limited to use of the riding crop for the safety of horse and rider, if a jockey or exercise rider rides in a manner contrary to this rule, the stewards shall impose a maximum fine of \$1,000 and a minimum suspension of three days. In trial heats, the suspension shall include the subsequent related stakes race.~~

~~(e) the jockey or exercise rider shall not be penalized if, in the opinion of the stewards, the use of the crop was necessary for the safety of the horse or rider.~~

Authority: Sections 19420, 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440, 19481 and 19562,
Business and Professions Code.

Pimentel, Rick

From: Terry Meyocks
Sent: Monday, May 18, 2020 11:26 AM
To: Pimentel, Rick
Cc: Mindy Coleman; gusman; Darrell Haire; Greg Avioli; Alan Balch
Subject: Jockeys' Guild Written Comment Re CHRB 1688 Use of the Riding Crop
Attachments: Public Comment Re CHRB 1688 Use of the Riding Crop 05182020.pdf

Mr. Pimentel,

Please see the attached written comment on behalf of the Jockeys' Guild with regards to the proposed changes to CHRB 1688, Use of the Riding Crop.

Thank you,
Terry

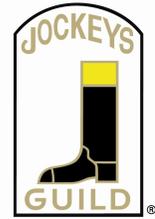


Terry Meyocks
President/CEO
Jockeys' Guild, Inc.

2365 Harrodsburg Road | Suite B375 | Lexington, KY 40504
Phone 859-523-JOCK (5625) | Cell 859-825-8210 | Fax 859-219-9892

May 18, 2020

Mr. Rick Pimentel
Regulation Analyst
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA



Sent via email repimentel

RE: Public Comment Regarding
Title 4. Division 4. California Code of Regulations
Rule 1688 Use of Riding Crop

Dear Mr. Pimentel,

Please accept this letter on behalf of the Jockeys' Guild and our members who regularly ride in California in opposition to the proposed changes to CHRB Rule 1688 Use of the Riding Crop.

The Jockeys' Guild represents professional jockeys in American Thoroughbred and Quarter Horse racing and has approximately 1,270 members including active, retired and permanently disabled jockeys. Since 1940, the Guild has been recognized as the voice of the jockeys concerning the racing industry, and our Board is comprised of highly regarded jockeys, many of whom are members of the Horse Racing Hall of Fame. The Jockeys' Guild and our members are adamantly opposed to any animal abuse and believe that any person who abuses a horse should be fully punished for such occurrences. The safety of both our equine and human athletes is of utmost importance to the Guild and all of the jockeys. We must remember that it is the jockeys' lives and welfare which are at risk when there are risks to the equine athletes.

Any decision that is made with regards to the use of the riding crop must take into consideration not only the safety of the horse and riders, but also the impact on the industry itself, including the owners, breeders, betting public, as well as the millions of individuals whose livelihoods depend on horseracing. While the Guild and its members are supportive of any changes that improve the well-being of the horse, we do not believe that the current proposal is in the best interest of horse racing in general. We do believe that it is important to recognize that the use of the riding crop is necessary, not only for safety, but also for communication, control of the horse, and assurance of maximum placing. Riding crops allow the jockey a measure of control over the horse that can be critical in certain racing situations.

Unlike other equestrians, jockeys are limited in the aids that they have to maintain control of and communicate with the horse. Most equestrians have the natural aids of the leg, hand, seat, and voice, along with the artificial aids of the spurs and/or riding crops. Jockeys are limited in that they have their hands, voice, and to a limited extent, their legs. As a result of the riding position

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of jockeys, they do not have their seat. To restrict the use of the riding crop to the extent as proposed would further reduce their ability to communicate with their mount. While jockeys are not required to use the riding crop, some horses actually respond in a positive fashion when it is used to keep their focus. Others may shy away and not respond at all. As such, the jockeys should be afforded their discretion based on their mount, so long as it is being used in a professional and regulated manner. It must be recognized that horses are animals and every one of them is different. Furthermore, they are herd animals and often will not pass other horses without some form of encouragement.

Additionally, the Guild believes that the use of the current cushioned riding crop is not abusive to the horse and is humane. We were actively involved in the refinements and the adoption of the current riding crop to reduce the impact to the equine athlete. Today's cushioned riding crop is considered an acceptable aid to horsemanship, to be used as a mechanism for communication, encouragement to the horse, and to ensure horse and rider safety without causing harm. The riders believe that today's riding crop is safer for the equine athlete and does not compromise the horse's welfare. When the current cushioned riding crop was introduced, it was strongly encouraged that the horses be subject to inspection by a veterinarian, either regulatory or official, looking for cuts, welts, or bruises on the skin, with any adverse finding being reported to the Stewards. ***Over the past ten years, when the approved cushioned riding crops were used in the appropriate manner, the welts and cuts have almost been completely eliminated.***

We believe the current CHRB rule as written provides for adequate regulation of the Use of the Riding Crop, provided that it be fully enforced and done so in a fair and reasonable manner. The Guild was actively involved in the adoption of the current regulation, which is one of the most stringent in the country. Furthermore, the current language prohibits excessive use or continuing to use the crop if the horse is not responding or is out of contention. The Stewards have the authority to penalize these inappropriate uses as they see fit. Instead of making the extreme changes that are being proposed, we can work together to identify any perceived misuse of the riding crop to decrease the instances that have given rise to concern. Through communication between the Stewards and the experienced jockeys in the colony, we can work to have open lines of communication with the younger riders and allow for some self-monitoring within the room. As stated before, in the event of an obviously abusive situation, the individual should be fully punished.

However, in light of the gravity of the situation in California and concerns with regards to the public perception of the riding crop, we are willing to work with the industry to establish a uniform standard that can have the interest of the horse, while still allowing for the rider to use the crop as necessary for the integrity and perception of the sport.

Unfortunately, the proposed rule, as written is not a reasonable solution. In general, there are many factors that are not being considered, or in the alternative, are misstated. We strongly disagree with the Board's position that the proposed amendment will not have a significant statewide adverse economic impact directly affecting businesses. This change will very likely have a serious economic impact on Thoroughbred and Quarter Horse racing in California. By so stringently reducing the ability of the jockey to encourage the horse to achieve its maximum

placing, there will very likely be a reduction in wagers placed on California races. This means that there is going to be a significant reduction in handle which in turn will greatly impact the monies available for purses. Gamblers will bet on races in other states where responsible crop use is allowed because they are better able to handicap a race where jockeys are still allowed to give their best effort. Additionally, as the proposed restrictions will have a definite impact on the order of finish, there will be an economic impact on breeding and sales. If other jurisdictions throughout the country continue to allow responsible and regulated Use of the Riding Crop, owners and trainers may choose to relocate horses or leave the industry entirely. Potentially smaller fields in California will lead to decreased purses and quality of racing.

With regards to the actual language that is being proposed, it is our opinion and belief, as the jockeys who are on the backs of the equine athletes, that current proposed addition of 1688(a)(1) “prohibiting the use of the riding crop during training, except when necessary” creates a significant risk to the riders during the races. It is unreasonable and unsafe for the riding crop to be used for the first time on a horse during the middle of the race. Due to the instinctive nature of horses, they can be easily startled if they are not familiar with something. As such, there is a significant risk that tapping the horse or making contact with the riding crop during the race could cause it to react or bolt, posing significant risks, not only to that particular horse and jockey, but others in the race. Training is when a horse should be made familiar with the use of the riding crop.

Currently, Section 1688(b)(6) allows the rider to utilize the riding crop three times in succession and then require them to give the horse a chance to respond. This rule has been adopted in a few other jurisdictions throughout the country. However, working with regulators and others in the industry, this is an area that we have finally reached an agreement on and we are in the process of attempting to create a model rule which has the same requirement. For California to now make this proposed change, it is impacting the ability to establish a uniform rule with regards to the Use of the Riding Crop that can be used throughout the country.

The new proposal is also now adding restrictions to the riding style and method in which the jockey may use the riding crop, requiring that the crop may only be used in the “underhand position, at or below the shoulder level of the jockey.” The policy statement overview states that “holding the crop in this manner is consistent with the Association of Racing Commissioners International Model Rule of Racing.” That is not a true statement. This has been proposed to be included in the Model Rules, but it was rejected. Furthermore, the only racing jurisdiction in North America who prohibits the jockey from raising the riding crop above the shoulder level is at Woodbine Race Course in Ontario Canada. Additionally, working with the industry and regulators, there are currently discussions to include language to prohibit the jockey from “lifting his or her wrist above helmet level.” If the rule were to be adopted as written, this would cause yet another inconsistency.

In reference to the underhand position, the only jurisdiction who has adopted such extreme restrictions has been Woodbine. It conducted a trial experiment in the later months of 2019 to only use the riding crop in the down position. Similar to what is being proposed here in California, the jockeys were limited to only using the riding crop in the underhand position.

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However, unlike the Woodbine trial, under this proposal jockeys will be limited to the use of the Crop of only 6 times throughout the ENTIRE race, whereas Woodbine allowed for the riders to use the crop fifteen (15) times in the underhand fashion. Based on the information that we have received from well-respected jockeys, this led to less competitive racing and, in fact, the jockeys strongly believed that this created more dangerous situation for the horses and jockeys. For your review and consideration, I have attached statements from multiple jockeys who were riding at Woodbine during this time. Please see Exhibit A. As the professional athletes who are the individuals who are using the riding crop, it is essential that their experience and input be considered.

Additionally, another area that the existing CHRB Regulation lacks in comparison to the Model Rule and other jurisdictions is with regards to after races, in which horses shall be subject to inspection by a racing or official veterinarian, looking for cuts, welts, or bruises on the skin. Any adverse finding shall be reported to the Stewards. We have presented this concern to the CHRB in the past, and have been advised that it is happening, however, we do not have any way to confirm or deny if that is the case. Furthermore, by adopting this requirement in the regulation, it not only affords additional protections for the horse, but Stewards would also have records, or lack thereof, to reassure the public that the horses are being looked after and cared for, as well as providing further evidence that the current riding crops are humane and not causing injuries.

In reference to reasonable alternatives to the proposed regulatory action, the Guild on behalf of our members did submit an alternative proposal to Rick Baedeker via email for the December 12, 2019 meeting. Please see the email and attached proposals that were sent to Mr. Baedeker attached in Exhibit B. Additionally, please see the attached article, "*View from the Eighth Pole: Making Jockeys Part of the Solution*" by Ray Paulick, referring the jockeys as the last line of defense for the horses and emphasizing the need for the jockeys' input in any changes made to many areas, including the use of the Riding Crop, in Exhibit C. Furthermore, I, along with our lobbyist Shane Gusman, our Regional Manager, Darrell Haire, and jockeys Aaron Gryder and Cody Jensen, appeared at that Board Meeting. In addition to the letter and the proposed drafts, we testified on the need to consider our input in any discussion of a rule that has such a significant impact on us as the professionals who are being impacted by this proposed rule. We also pointed out inconsistencies in the draft that was presented at the meeting for the Board's consideration. However, the Board rejected our arguments, without even reviewing or considering our proposed draft, and voted unanimously to send the rule out for 45 day comment. We were told that we could provide comment for consideration at that time.

Since that time, there have been multiple meetings with industry representatives, including representatives of many of the racetracks in California, in the attempt to create a uniform rule. Additionally, there have been discussions with other regulators throughout the country. Unfortunately due to the current circumstances, there were delays in moving forward with scheduled meetings, which have since been rescheduled, including one this week. As such, there is not a finalized draft that can be submitted at this time. However, we are hoping to have something in the very near future and as soon as there is consensus on the proposed changes, we will provide the information to the CHRB.

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In closing, it is of our opinion and belief that while the use of the riding crop can be regulated to an extent, the current proposal is not in the best interest of the safety and welfare of both the horse and jockey, as well as racing in general. Jockeys must still be allowed to use the riding crop as a form of encouragement, especially in light of the fact that horses are herd animals. Furthermore, the extensive restriction of the use of the riding crop for encouragement will be detrimental to our industry stakeholders as a whole, including the owners, betting public, horsemen, breeders, and the millions of people who rely on the industry for their livelihood.

As the jockeys are the individuals who are risking their lives every day, and who are an integral part of racing, the concern of the riders must be heard and included before changes are made which we believe will have detrimental impacts on racing in California, and potentially other areas. The ultimate goal is to establish a standard that is in the best interest of the welfare of the horse, as well as the industry as a whole, including the betting public. We would respectfully request that any changes to the existing regulation be made after consideration and input from those who are actually in contact with the horses. The Guild believes that, we as the industry, including our members, the horsemen, racetracks, owners, and the regulators, must reach a mutually agreed upon regulation with regards to the use of the riding crop, that will be safe and humane to the horse, while still allowing the riders to use it in a way that is necessary for encouragement and correction, when needed. It is absolutely essential to create a standard of uniformity for the Use of the Riding Crop that all jurisdictions can adopt. It would be our hope that establishing such rule, would lead to universal riding crop usage. Such uniformity would be in the best interest of the horses, enhance the perception of our industry, and still provide for fairness to the owners, betting public, horsemen, and the jockeys.

The Guild sincerely appreciates the Commission's consideration regarding the comments we have submitted with regards to the proposed changes to CHRB Rule 1688 regarding the Use of the Riding Crop. Please feel free to contact me via email with any comments regarding the proposed changes.

Sincerely,



Terence J. Meyocks
President & CEO

CC: Jockeys' Guild Board of Directors
Shane Gusman, Jockeys' Guild, Lobbyist
Mindy L. Coleman, Jockeys' Guild, Counsel
Darrell Haire, Jockeys' Guild, Regional Manager
Greg Avioli, TOC, President & CEO
Alan Balch, CTT, Executive Director

EXHIBIT

A

ALAN D. GARCIA
Professional Jockey

Port Saint Lucie, FL

January 9, 2020

RE: Use of the Riding Crop Rules

To Whom it may Concern:

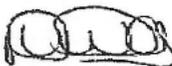
As a professional jockey who has ridden at Woodbine Race Course several years and most recently participated in the trial experiment during the later months of 2019 to only use the riding crop in the down position, I would like it to be known my personal thoughts regarding the quality of racing and safety of participants. To provide you with my background in racing, I have been a professional jockey in North America since 2003, riding over 11,400 mounts and won over 1,700 races, with over \$90,000,000.00 in purse earning. My wins include many Graded Stakes races, as well as the Belmont Stakes (2008) and Breeders Cup Filly Mare and Turf (2007). As such, I have witnessed first-hand the changes that have been made over the years to the riding crop and the use of the riding crop.

I believe that since the development of the existing riding crops with the soft poppers, regardless of the position, it does not hurt the horses. With the new poppers, there is an increase in noise, which works to help keep a horse's attention and focus, without harming the horse. They give us a tool to show the horse that he should do what we ask while continuing to be humane for the horse.

Using the riding crop in the "down" position, as done in the experiment at Woodbine, is leading to less competitive racing and dangerous situations for horses and jockeys. Using the crop in this manner does not have the same effect on the horses. Furthermore, using the crop in that position is an ineffective way to properly control a horse, which is a major safety concern. Additionally, not being able to use the riding crop in the "up" position has caused reckless riding during the race because jockeys are unable to effectively switch the riding crop from one hand to the other. Many horses are reluctant to switch leads in the stretch and a tap on the rear left quarter can instruct them to do so. As the jockeys are not able to turn the riding crop to the "up" position, they are having problems moving the riding crop from their right to left hand while continuing to keep proper control of the reins. Loose reins lead to horses veering in and out, which puts other horses and jockeys at risk.

I strongly believe that if Woodbine, or other racing jurisdictions, put such restrictions on the use of the riding crop, limiting it to only be used in the "down" position, it will be detrimental for horse racing.

Sincerely,



Alan D. Garcia

JESSE CAMPBELL
Professional Jockey

Barrington, IL

January 13, 2020

RE: Use of the Riding Crop Rules

To Whom It May Concern:

My name is Jesse Campbell. I've been a professional jockey for over twenty seven years. I have ridden in over eighteen thousand races, with earnings of over \$72 million dollars. I have had 2,337 wins and have won multiple graded stakes, including the most notable Grade I, Queen's Plate.

Last year at Woodbine, located in Toronto, Canada, the government implemented new whipping rules for the riding colony. This sudden, and in my opinion un-thought out change, was met with little fan fair. While I do agree that change is needed, I believe that drastic change is very dangerous for ALL involved in horse racing.

By changing the way a jockey can use the riding crop, forcing them to use it an underhand and abbreviated motion, will certainly cause harm to horse's during races. The reason behind the change in how the crop can be used is because of the "welfare of the horse" and perception. However, I can tell you for a fact that urging a horse in an underhand fashion will increase the possibility hitting the side, belly, or flank of the horse as jockey cannot reach back and make contact on the rump. If hit, regardless of the crop used, horse's flank or belly can swell causing a welt or even worse will cut a horse. Since jockeys have been using soft touch whips, I personally have never seen or heard of a rider cutting or swelling a horse. Riding crops are not just for urging a horse they are a safety measure for riders. Horses are broke as babies with the assistance of a crop, I see no reason why professional athletes would not be trusted with the same safety measure.

I fear there are much bigger issues involved that have not been properly vetted. I fear horse owners will leave this wonderful sport because of these drastic changes. I have seen this happen at Woodbine with my own eyes. Horses are a very large investment and with that comes a lot of money, risk, and time. If owners fear they cannot have a fair chance with their large investments, they will leave our sport.

I also fear these drastic changes of how a rider can encourage a horse during a race will affect our breeders and their breeding programs. We saw a similar pattern when tracks switched track surface from dirt to synthetic. Changes like this can turn our breeding programs upside down. Can we imagine how many lives this could impact?

I can't help but worry if our industry gets this wrong it will drastically effect racetrack wagering. In comparison, one could argue if the NFL made some big changes to the product overnight of how the players could play, that this would affect the bottom line for its owners and teams. This is no different.

After riding for over twenty seven years I can't stress enough how these changes have affected my riding. I can no longer give my owners and trainers a world class experience, assuring the best effort out of their horses, that was the cornerstone of my business.

Moreover, I want to stress that my thoughts reflect my concerns for all involved in this wonderful sport. I am a fourth generation jockey and my father, Mike Campbell, has been training horses for over fifty years. My family, like many countless others, is affected by these very important changes. As an industry we have only one chance at this, we had better make sure we get this right.

Thank you for your time.

Best Regards,

Jesse Campbell

RAFAEL M. HERNANDEZ
Professional Jockey

Granite City IL

January 20, 2020

To Whom It May Concern:

Re: Changes to the riding crop rule

I am a jockey who has ridden professionally since 2004 with more than 13,000 mounts, 2,575 wins and over \$42 million in purses. I have won multiple stakes races including graded stakes.

I have ridden at Woodbine racetrack for the last four years and participated in the trial experiment last fall requiring jockeys to use the riding crop only in the down position.

I would like to take this opportunity to relate my experience during this experimental period. Using the riding crop in the down position leads to a dangerous lack of control over the horse. If a horse is lugging in, a jockey can't keep his balance when changing the crop from hand to hand and cannot reach back and make contact on the rump and instead may hit the belly or flank which can cause welts or cuts. This lack of control can lead to extremely dangerous situations for all horses and riders in the race. It is never the intention of a jockey to inflict any kind of pain or abuse on a horse. Anyone who does should be severely punished. We make our living riding these magnificent animals and we have a great deal of concern for their wellbeing. Furthermore, the newest riding crop has refined the poppers making use of noise to get a horse's attention without inflicting any cuts or welts.

While the welfare of the horse is of utmost concern, surely the safety and wellbeing of riders should be as high a priority. We understand that change is inevitable and want to work with the industry to make sure any changes are in the best interest of everyone.

I appreciate this opportunity to have my voice heard on this very important issue.

Sincerely,

Rafael M. Hernandez

A handwritten signature in black ink, appearing to read 'R. Hernandez', with a stylized flourish at the end.

EXHIBIT

B

Mindy Coleman

From: Terry Meyocks
Sent: Friday, December 6, 2019 2:35 PM
To: Baedeker, Rick M
Cc: Mike Smith; John R. Velazquez ; Darrell Haire; Mindy Coleman; gusman@
Subject: Jockeys Guild Proposal Regarding Riding Crop
Attachments: CHRB Use of Riding Crop Proposal from JG 12052019.docx; ARCI MODEL RULE PROPOSED CHANGE RE Use of Riding Crop 12062019.docx

Rick,

Please see the attached proposal to the CHRB for your review and consideration.

The Guild's Board, after much discussion, has proposed significant changes to the current CHRB rule, as well as some to the existing ARCI Rule.

While it seems like there are a considerable amount of changes in our CHRB Proposal, is it so that a more universal rule can be implemented. Essentially, there has been an elimination of the "three strike rule" and instead jockeys would be limited to 7 times total during the course of the race in the forehand ("up") position and would be able to use the crop in the down position only as necessary. Additionally, jockeys would be limited to only raising the crop to their helmet. The new rule would also provide areas of consideration for the Stewards in the event there was a review of the use of the riding crop. It would also require that all horses are subject to inspection by the regulatory or official veterinarian after the race, with all welts and cuts being reported to the Stewards.

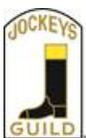
While we recognize you are no longer affiliated with the ARCI, we have attached the Proposal for the ARCI as well for your review and comparison as again we are hoping to have a more universal rule. You will notice there are only three significant proposed changes to the existing ARCI model rule. That is because much of the existing ARCI is similar to BHA and other international standards. There were three areas (the underline and stricken areas indicate the changes) where we will be proposing amendments. Most significantly would be with regards to the number of times the riding crop is to be used in the forehand ("up") position and being able to lift the crop only to the helmet level.

Please forward this information to your Board for their consideration. With that being said, we recognize the CHRB meeting is scheduled for Thursday. However, in order to seek input from many other industry participants and get approval from our Board, this is the quickest that we could get it to you for consideration.

Additionally, you had told me there was a Medication and Safety Committee meeting on the Wednesday. However, Darrell has since advised me that there is not. Can you please confirm?

Thank you,

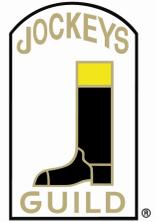
Terry



Terry Meyocks
President/CEO
Jockeys' Guild, Inc.

448 Lewis Hargett Circle | Suite 220 | Lexington, KY 40503
Phone 859-523-JOCK (5625) | Cell 859-825-8210 | Fax 859-219-9892

Jockey' Guild Proposal
December 6, 2019



CHRB Rule No.
1688 Use of Riding Crop.

(a) In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact.

(b) Although the use of a riding crop is not required, any jockey or exercise rider who uses a riding crop during a race or training shall do so only in a manner consistent with exerting his/her best efforts.

(c) Riding Crops shall not be used on two-year-old horses before April 1 of each year.

(d) The riding crop shall only be used for safety, correction, control, and to maximize placing. It shall be appropriate, proportionate, and professional, taking into account the rules of racing herein. However, stimulus provided by the use of the riding crop shall be monitored so as not to compromise the welfare of the horse.

~~(e)~~ Except for extreme safety reasons, all riders should comply with the following when using the riding crop: Correct uses of the riding crop are:

(1) Initially showing horses the riding crop, and/or tapping the horse with the riding crop down, giving it time to respond before using it; ~~before tapping them;~~

(2) Having used the riding crop, giving the horse a chance to respond before using it again:

A. "Chance to respond" is defined as one of the following actions by a jockey

i. Pausing the use of the riding crop on their horse before resuming again

ii. Pushing on their horse with a rein in each hand, keeping the riding crop in the up or down position

iii. Showing the horse the riding crop without making contact; or

iv. Moving the riding crop from one hand to the other.

(3) using the riding crop in rhythm with the horse's stride; ~~and~~

(34) using the riding crop as an aid to maintain a horse running straight-;

(5) limiting the use of the riding crop in the forehand (up) position to 7 times throughout the race on the shoulder and/or hind quarters; and

(6) The rider may tap the horse on the shoulder or hind quarters, as long as the crop is utilized on the backhand (down) position, as necessary for the integrity of the race.

~~(f) is prohibited from using~~ of a riding crop on a horse includes but not limited to striking a horse:

(1) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters;

(2) during the post parade except when necessary to control the horse;

(3) excessively or brutally causing welts or breaks in the skin;

- (4) when the horse is clearly out of the race or has obtained its maximum placing;
- (5) persistently even though the horse is showing no response under the riding crop; or
- ~~(6) more than three times in succession without giving the horse a chance to respond before using the riding crop again.~~ More than 7 times, with the crop in the forehand position, on the shoulder and/or hind quarters during the race;
- (7) no more from an arm position above the helmet; or
- (8) Striking another rider or horse.

(eg) Subsections (b)(2) and (4) shall not apply to jockeys and exercise riders during training.

(h) When deciding whether or not to review the jockey's use of the riding crop, Stewards will consider how the jockey has used the riding crop during the course of the entire race, with particular attention to its use in the closing stages, and relevant factors such as:

- (1) The manner in which the riding crop was used
- (2) The purpose for which the riding crop was used
- (3) The distance over which the riding crop was used and whether the number of times it was used was reasonable and necessary; and
- (4) Whether the horse was continuing to respond.

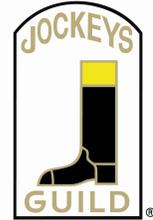
(i) In the event there is a review by the Stewards, use of the riding crop may be deemed appropriate in the following circumstances:

- (1) To keep a horse in contention or to maintain a challenging position prior to what would be considered the closing stages of a race,
- (2) To maintain a horse's focus and concentration,
- (3) To correct a horse that is noticeably hanging,
- (4) To assure the horse maintains a straight course, or
- (5) Where there is only light contact with the horse.

(j) After the race, horses will be subject to inspection by a racing or official veterinarian looking for any signs of distress from the riding crop, including cuts, welts, or bruises in the skin. Any adverse finding shall be reported to the Stewards.

(k) The giving of instructions by any licensee, that if obeyed would lead to a violation of this rule, may result in disciplinary action being taken against the licensee who gave such instruction.

ARCI MODEL RULE
JOCKEYS' PROPOSAL
Date: 12/06/2019



ARCI-010-035 Running of the Race

E. POST TO FINISH

(7) Use of Riding Crop

- (a) Although the use of a riding crop is not required, any jockey who uses a riding crop during a race shall do so only in a manner consistent with exerting his/her best efforts to win.
- (b) In all races where a jockey will ride without a riding crop, an announcement of such fact shall be made over the public-address system.
- (c) No electrical or mechanical device or other expedient designed to increase or retard the speed of a horse, other than the riding crop approved by the stewards, shall be possessed by anyone, or applied by anyone to the horse at any time on the grounds of the association during the meeting, whether in a race or otherwise.
- (d) Riding crops shall not be used on two-year-old horses before April 1 of each year.
- (e) The riding crop shall only be used for safety, correction ~~and encouragement~~ control, and to maximize placing. ~~and~~ It shall be appropriate, proportionate, professional, taking into account the rules of racing herein. However, stimulus provided by the use of the riding crop shall be monitored so as not to compromise the welfare of the horse.
- (f) Use of the riding crop varies with each particular horse and the circumstances of the race.
- (g) Except for extreme safety reasons all riders should comply with the following when using a riding crop:
- (A) Initially showing the horse the riding crop, and/or tapping the horse with the riding crop down, giving it time to respond before using it;
 - (B) Having used the riding crop, giving the horse a chance to respond before using it again; i. "Chance to respond" is defined as one of the following actions by a jockey:
 - 1. Pausing the use of the riding crop on their horse before resuming again; or
 - 2. Pushing on their horse with a rein in each hand, keeping the riding crop in the up or down position; or
 - 3. Showing the horse the riding crop without making contact; or
 - 4. Moving the riding crop from one hand to the other.
 - (C) Using the riding crop in rhythm with the horse's stride;
 - (D) Limiting the use of the riding crop in the forehand (up) position to 7 times throughout the race on the shoulder and/or hind quarters; and
 - (E) The rider may tap the horse on the shoulder or hind quarters, as long as the crop is utilized in the backhand (down) position, as necessary.
- (h) When deciding whether or not to review the jockey's use of the riding crop, Stewards will consider how the jockey has used the riding crop during the course of the entire race, with particular attention to its use in the closing stages, and relevant factors such as:

- (A) The manner in which the riding crop was used
 - (B) The purpose for which the riding crop was used
 - (C) The distance over which the riding crop was used and whether the number of times it was used was reasonable and necessary
 - (D) Whether the horse was continuing to respond.
- (i) In the event there is a review by the Stewards, use of the riding crop may be deemed appropriate in the following circumstances:
- (A) To keep a horse in contention or to maintain a challenging position prior to what would be considered the closing stages of a race,
 - (B) To maintain a horse's focus and concentration,
 - (C) To correct a horse that is noticeably hanging,
 - (D) To assure the horse maintains a straight course, or
 - (E) Where there is only light contact with the horse.
- (j) Prohibited use of the riding crop includes but are not limited to striking a horse:
- (A) On the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse;
 - (B) During the post parade or after the finish of the race except when necessary to control the horse;
 - (C) Excessively or brutally causing welts or breaks in the skin;
 - (D) When the horse is clearly out of the race or has obtained its maximum placing;
 - (E) Persistently even though the horse is showing no response under the riding crop; ~~or~~
 - (F) More than 7 times, with the riding crop in the forehand position, on the shoulder and/or hind quarters, during a race.
 - (G) From an arm position above the helmet; or
 - (~~FH~~) Striking another rider or horse.
- (k) After the race, horses will be subject to inspection by a racing or official veterinarian looking for cuts, welts or bruises in the skin. Any adverse findings shall be reported to the Stewards.
- (l) The giving of instructions by any licensee that if obeyed would lead to a violation of this rule may result in disciplinary action also being taken against the licensee who gave such instructions.

EXHIBIT

C

View From The Eighth Pole: Making Jockeys Part Of The Solution

by [Ray Paulick](#) | 12.10.2019 | 7:15pm



Hall of Fame jockey Javier Castellano

Javier Castellano was mad. Not fighting with Calvin Borel mad, but the Hall of Fame jockey was mad enough as he recalled a story from a few years ago that bothers him to this day.

Castellano had been sitting quietly while a panel of jockeys and racetrack executives exchanged ideas about how the two groups could work together for the betterment of the industry. It was during a scheduled two-hour discussion Monday afternoon at the Jockeys' Guild Annual Assembly held in Las Vegas, Nev.

Eventually, after ideas were floated about media training for riders, putting a greater spotlight on these athletes as the human stars of the sport and taking advantage of prominent Hispanic jockeys to market to an expanding demographic, the subject of safety came up.

Terry Meyocks, the Guild's president and CEO, expressed disappointment that jockeys were not part of the conversation when the formation of the Thoroughbred Safety Coalition was recently announced and have not been included in other initiatives related to equine safety and welfare. Meyocks was assured by Robert Elliston, vice president of racing and sales at Keeneland – one of the Coalition's six founding members – that no slight was intended and that the Coalition will be more inclusive going forward.

It was when one of the panelists reminded the riders they were a “last line of defense” in horse safety – imploring them to say something to the track veterinarian if they feel a horse isn't warming up properly during the post parade – that Castellano decided to speak up. It sounded to him like lip service.

Castellano told the story from a few years ago at Gulfstream Park when a horse he was riding “didn't feel right” during the post parade and brought it to the attention of the veterinarian at the starting gate. The veterinarian, Castellano recalled, said she didn't see anything wrong with the horse.

“I argued with her for five minutes,” said Castellano, adding that he didn't want to put the horse, his fellow riders or himself at risk of injury.

The episode, which delayed the start of the race, played out on TVG. Meyocks, who is Castellano's father-in-law, was watching the racing network at home and said he couldn't believe what he was seeing.

“She (the veterinarian) called the stewards and said I REFUSED to ride the horse,” Castellano said.

The native of Venezuela said the implication was that he had done something wrong, when in fact, he said, he was trying to do the right thing for the horse, the other jockeys, and fans who were betting on the race.

The veterinarian, Dr. Patricia Marquis, an employee of Gulfstream Park for 15 years, told the Paulick Report she does not remember the incident. She did say Castellano “has brought a few horses to me over the years.”

The current policy in place at Gulfstream Park, Marquis said, is that “if a horse is brought to the regulatory veterinarian for a soundness concern, it is scratched. We do ask the rider to jog the horse for us so we can speak to the trainer (about what they may have seen). But the horse is always scratched.”

It goes without saying it should be done without an argument.

Riding Crop Reform

If jockeys indeed are a last line of defense for a horse's safety, they have to be involved in some capacity in many of the issues now facing this industry, issues that should have been addressed years ago.

On Thursday, the California Horse Racing Board is scheduled to vote on whether riding crops can be used for anything other than safety during a race. Last month, under pressure from California Gov. Gavin Newsom and a group of animal rights extremists whose sole mission is to end the sport, the CHRB voted that racing should not be conducted on a surface that isn't safe. But they didn't set any type of parameters or definitions for what constitutes a safe track. Are the regulators going to do the same thing regarding the riding crop?

The riding crop proposal was delayed by one month after CHRB vice chairman Oscar Gonzalez, a recent appointment to the board, made a very good suggestion: that the board wait to see what type of national recommendation is made by the aforementioned Thoroughbred Safety Coalition, which lists riding crop reform among issues it intends to address on a national basis.

The packet of information for the CHRB discussion on riding crop reform does not include materials or proposals sent to the board by the Jockeys' Guild, according to the Guild's counsel, Mindy Coleman. If true, that is insulting to the jockeys who risk their lives every time they get on a horse.

Jockeys are more than a last line of defense for horses. They are part of the solution to racing problems. They deserve to have a seat at the table on industry initiatives and their input is vital on the kinds of proposals the CHRB is now considering.

That's my view from the eighth pole.

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Pimentel, Rick

From: Alan Balch
Sent: Monday, May 18, 2020 3:15 PM
To: Pimentel, Rick
Cc: Eoin Harty
Subject: CTT Comment on Rule 1688 -- Use of Riding Crop
Attachments: AB CHRBPimentel_CommentRule1688_051820.pdf

Mr. Pimentel --

CTT comment attached.

Thank you for your consideration,

Alan



May 18, 2020

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EOIN G. HARTY

NO CAL VICE PRESIDENT

GLORIA HALEY

SO CAL VICE PRESIDENT

TIM YAKTEEN

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(510) 524-3081 (Office)
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Mr. Rick Pimentel
Regulation Analyst
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95828

Dear Mr. Pimentel,

On behalf of California Thoroughbred Trainers, we are submitting the following comment as to proposed changes to Rule 1688.

Proper use of the riding crop in Thoroughbred racing is a subject of widespread national and even international interest, as are attempts to define and describe that proper use by rule. There currently is some disparity in rules and applications of rules within the United States, which may well become even greater were the current proposal adopted. Given the public health threats which have overtaken the sport in America since March, there has been little opportunity for continued dialogue among regulators nationwide, let alone organizations representing stakeholders.

Under these circumstances, we urge the California Racing Board to extend the comment period on this pending rule once more, in order to provide ample opportunity for all jurisdictions and stakeholders to reach a national consensus, which is critical to the consistency among regulators nationwide and to the future of the sport.

Thank you for your consideration,

Sincerely,

Alan F. Balch
CTT Executive Director

AB:ac

Subject: Riding Crop Rule

From: Toconline

Sent: Monday, May 18, 2020 4:43 PM

To: Chaney, Scott@CHRB

Subject: Riding Crop Rule

Mary W. Forney

Executive Director

Thoroughbred Owners of California

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May 18, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way
Sacramento, CA

RE: Submission of Public Comment on Proposed Rule 1688, Use of Riding Crop

Dear Scott,

TOC has testified at previous CHRB meetings on the proposed riding crop rule, and we are writing to formally submit our public comment on Rule 1688. We think it is critical that any rule be drafted in cooperation with the Jockeys Guild. We also believe it should be in line with a national rule, otherwise it puts California at a disadvantage, including jockeys coming in from out of state and the wagering public.

When this was last discussed at a CHRB board meeting in Sacramento in February, we had hoped that within 90 days we would have a unified national industry rule. Given the fact that the COVID-19 situation has set things back considerably, we respectfully request that the CHRB allow the industry 90 days to work on a uniform rule prior to the CHRB taking any action.

Sincerely,

Nick Alexander
Chairman

cc: CHRB Commissioners

Subject: Safety Coalition Riiding Crop Rule5.12.20.pdf

From: Fravel, Craig
Sent: Monday, May 18, 2020 2:46 PM
To: Greg Ferraro; Chaney, Scott
Cc: Josh Rubinstein; Butler, Aidan; Tom Robbins; Greg Avioli
Subject: Safety Coalition Riiding Crop Rule5.12.20.pdf

Dear Chairman Ferraro and Executive Director Chaney:

I am writing on behalf of Santa Anita, Golden Gate and Del Mar in response to the request for public comment on Rule 1688:Use of the Riding Crop noticed by the Office of Administrative Law on April 3, 2020.

As you are aware, we have been supportive of efforts to limit the use of the riding crop during races and have instituted house rules prohibiting the use of the crop during training hours. We believe, however, that a national rule is preferable to state by state variations and have been working over the last several months to reach agreement with other major racing organizations on a uniform crop rule. Those efforts have resulted in agreement among Churchill Downs, New York Racing Association, Breeders' Cup Limited, Del Mar, Keeneland and The Stronach Group on a proposed rule limiting the use of the crop in any forward or overhanded manner to five strikes. This proposed rule will be among the most stringent in the world and we submit the attached proposal for your consideration at the upcoming meeting of the CHRB.

Thank you for your attention to this suggested rule change.

Craig R. Fravel

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D. Riding Crop

1. In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact. The crop can be used by any jockey at any time without fine or penalty for a dangerous situation or safety issue if pulling out of the race. Jockey shall not persevere in this instance and the horse must be pulled up.
2. Although the use of the riding crop is not required, any jockey who uses a riding crop during a race is prohibited from using a riding crop on a horse, except:

The crop may be used in a backhanded / underhanded fashion for the start of the race, if needed;

- 1) Showing or waving the crop without touching the horse;
- 2) Tapping the horse on the shoulder with the crop in the down position while having both hands;
 - i. Holding onto the reins and
 - ii. Touching the neck of the horse.
- 3) Using the riding crop to make contact with any part of the horse to maintain a horse's focus and concentration or to control the horse for the safety of the horse and rider, provided that the following actions are prohibited:
 - i. Using the crop in any manner beginning with any upward or forward motion of the hand resulting in contact more than 5 times;
 - ii. Using the crop and making contact in any fashion more than three successive times without giving the horse the chance to respond;
(Definition of chance to respond):
 - a. Pausing the use of the riding crop on their horse before resuming again; or
 - b. Pushing on their horse with a rein in each hand and, keeping the riding crop in the up or down position; or
 - c. Showing the horse the riding crop without making contact; or
 - d. Moving the riding crop from one hand to the other.
 - iii. Using the crop to the extent of causing injury; the track veterinarian or official veterinarian will inspect each runner for visible injury as a result of crop usage after each race.
 - iv. Using the crop with the wrist above helmet height; (a violation of this rule will result in a 5- racing day suspension);
 - v. Using the crop on a horse which is showing no response;
 - vi. The unnecessary use of the crop on a horse that has clearly won its race or has already obtained its maximum placing;
 - vii. Using the crop on a horse which is past the finish line;
 - viii. Using the crop on the flank of the horse;
 - ix. Using the crop on any part of the horse's head or in the vicinity of the head
- 4) If a jockey uses a riding crop contrary to this rule:
 - i. The 6th use of the crop shall result in a fine.
 - ii. The 7th use of the crop shall result in increased fine.
 - iii. The 8th through 11th use of the crop shall result in suspensions and fines.
 - iv. 12 or more uses of the crops will result in long term progressive suspensions.

A jockey cannot receive multiple infractions/violations on the same race card without verbal confirmation of the infraction from racing officials after the running of each individual race that said infraction/violation occurred on that race card. Failure of notification will result in only one infraction/violation for the entire race card.

- 5) The use of a riding crop to touch a horse during morning workouts is strictly prohibited except when necessary to control the horse for the safety of the horse and rider, in which case the provisions of Section 2(c), above, shall apply. Any jockey or exercise rider found in violation of this rule may be suspended or fined by the Stewards.
- 6) All jockeys must use the new padded safety crop.
- 7) Riding crops shall not be used in races for two-year-old horses before April 1 of each year.
- 8) The usage of an electrical device is strictly prohibited.

SAFETY PADDED CROP PARAMETERS – (An agreed upon amount of time will be allowed for the development of the safety crop).

1. All riding crops are subject to inspection and approval by the stewards and the clerk of scales.
2. Riding crops shall have a shaft and a smooth foam cylinder at the contact end and will be allowed in flat racing including training, only as follows:
 - a. Maximum weight of eight ounces
 - b. Maximum length, including smooth foam cylinder of 30 inches
 - c. Minimum diameter of the shaft of three-eighths inch; and
 - d. Shaft contact area must be smooth, with no protrusions or raised surface, and covered by shock absorbing material that gives a compression factor of at least one millimeter throughout its circumference.
 - e. The smooth foam cylinder is the only allowable attachment to the shaft and must meet these specifications:
 - i. Crafted entirely of waterproof and chemical-resistant shock-absorbing foam that gives a compression factor of at least five-millimeters throughout its circumference and that will not harden over time
 - ii. Total length of smooth foam cylinder a minimum of seven inches
 - iii. Diameter a minimum of 0.9 inch and a maximum of 1.6 inches
 - iv. No reinforcements or additions beyond the end of the shaft

PENALTY SCHEDULE – In all grades stakes there shall be no warning phase, therefore all infractions in graded stake will begin with the second time offense. (Riders who incur a penalty infraction may reset the penalty schedule back to zero with 6 consecutive months of non-infraction riding).

	First Time Overnight races and non-graded stakes			Second Time Overnight races, non-graded stakes and graded stakes		
PENALTY	6 th - verbal warning	7 th - verbal warning	8th - 50% of earnings	6 th - 30% of earnings minimum \$200	7 th - 60% of earnings minimum \$400	8th - 100% of earnings plus 1 racing day suspension

	Third Time Overnight races, non-graded stakes and graded stakes			Fourth Time Overnight races, non-graded stakes and graded stakes		
PENALTY	6 th - 40% of earnings minimum \$300	7 th - 70% of earnings minimum \$500	8th - 100% of earnings plus 3 racing day suspension	6 th - 50% of earnings minimum \$500	7 th - 80% of earnings minimum \$750	8th - 100% of earnings plus 5 racing day suspension

	Fifth Time Overnight races, non-graded stakes and graded stakes					
PENALTY	6 th - 60% of earnings minimum \$1,000	7 th - 100% of earnings minimum 1,500	8th - 100% of earnings plus 10 racing day suspension			

SIX OR MORE INFRACTIONS (FIVE OR MORE IN GRADED STAKES) WILL RESULT IN A 45 CALENDAR DAY SUSPENSION FOR EACH INFRACTION.

ANY RIDER STRIKING A HORSE MORE THAN 11 TIMES IN A RACE WHILE STILL PERSEVERING (NON-SAFETY REASON) IN TRYING FOR A BETTER PLACEMENT WILL RECEIVE AN AUTOMATIC 45 CALENDAR DAY SUSPENSION, 2nd OFFENSE 60 CALENDAR DAY SUSPENSION, 3rd OFFENSE 120 CALENDAR SUSPENSION, 4th OFFENSE 1 YEAR SUSPENSION.

IN ANY INSTANCE WHERE A REGULATION EXISTS THAT CAPS THE MAXIMUM AMOUNT OF A MONETARY FINE BELOW THE AMOUNT SPECIFIED PER THE SCHEDULE ABOVE, THE RACETRACK SHALL IMPOSE THE AMOUNT ABOVE SAID LIMIT BY USE OF HOUSE RULES, AND THAT AMOUNT SHALL BE DISTRIBUTED IN EQUAL SHARES TO THE PERMANENTLY DISABLED JOCKEY'S FOUNDATION AND THE THOROUGHBRED AFTERCARE ALLIANCE.

Drummond, Amanda@CHRB

From: protonmail [REDACTED]
Sent: Tuesday, June 2, 2020 10:36 PM
To: Drummond, Amanda@CHRB
Subject: Public comment, agenda item #10

By now we have all heard Rick Arthur's infamous quote re: whips and how much they hurt. "Run fast or I'll hit you again."

Using noxious stimuli to control, dominate, and subjugate docile and sentient animals is simply unacceptable.

May-June 2020, we see thousands of people taking to the streets, fighting against brutality, violence, and abuse of power. This has implications across species. We must be kind to one another if we ever want to see peace in America. Horse racing is anything but kind. Watching these terrified animals get whipped REPEATEDLY during racing is nothing short of horrifying. As I have heard from CHRB and pro-racing folks, "the optics are bad." And if it hurts to see, then imagine how it must feel.

The horses are not "allowed" to be whipped more than 3 times in succession, yet the total number of blows is still unlimited. This just shows how much you do not care for these animals. You care about WINNING at all costs, even if it means whipping and yes hurting these animals.

Stop saying that you love these horses like family. Do you whip your spouses, children, family pets too? These animals are obviously nothing more than OBJECTS to you.

Heather Wilson
Los Angeles

Drummond, Amanda@CHRB

From: Sarah Segal [REDACTED]
Sent: Wednesday, June 3, 2020 4:31 PM
To: Drummond, Amanda@CHRB
Subject: PUBLIC COMMENT for JUNE 11th Teleconference CHRB Meeting--Agenda Item #10

Agenda Item #10 Why are the jockeys using whips, referred to as crops in this industry? Why are grown men riding on the backs of horses? Horses do not carry their babies on their backs ever. Horse racing is cruel, unnatural and kills horses.

Sarah Segal--L.A. County Resident & Taxpayer

Sarah & Boomer Jr.
[REDACTED]

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SAVE YOUR HEALTH
GO VEGAN
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May 28, 2020

Mr. Scott Chaney
Executive Director
CALIFORNIA HORSE RACING BOARD
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Scott:

With regard to the issue of the use of the crop particularly in quarter horse racing, Los Alamitos Race Course is opposed to any further change in the regulation. Our horsemen and riders are of the opinion that the present rules are as far as we can go from the standpoint of safety to horses and riders. We are hopeful careful consideration will be given to this by the CHRB.

Truly yours,

A handwritten signature in black ink, appearing to read "Ed Allred", is written below the "Truly yours," text.

Edward C. Allred
Owner/CEO
Los Alamitos Race Course

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO
PARTIALLY SUSPEND CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION,
PURSUANT TO CHRB RULE 1406, SUSPENSION OF RULE.

Regular Board Meeting
June 11, 2020

ISSUE:

Del Mar Thoroughbred Club (DMTC), in agreement with the Thoroughbred Owners of California (TOC) and the California Thoroughbred Trainers (CTT), is requesting the temporary suspension of California Horse Racing Board (Board) [Rule 1845\(h\)](#) for the duration of the DMTC's upcoming race meet (July 8, 2020 – September 8, 2020). This desired change is a cost savings effort by the tracks and horsemen. Any action to temporarily suspend a rule requires consideration and approval by the Board.

ANALYSIS:

Board Rule 1845, Authorized Bleeder Medication, governs the requirements for the administration of furosemide (aka Lasix). Subsection (h) of Rule 1845 states the following:

The syringe used to administer furosemide shall be provided to and securely stored by the Board until all testing of the horse is completed. In the event of a positive test finding as defined in this article, the Board may order, or the owner or trainer may request, the retained syringe be tested for prohibited substances. The results of the test may be used in any action before the Board.

[Board Rule 1406](#), Suspension of Rule, allows the Board, for good cause, with or without a hearing, to temporarily suspend the application of any of its rules upon any conditions it may impose. Every application for such action and any such action by the Board shall, insofar as possible, be in writing. If not in writing, it shall be confirmed in writing as soon thereafter as possible.

Should the Board desire to utilize Board Rule 1406, the Board can suspend subsection (h) of Rule 1845 upon any condition it wishes to impose. Therefore, staff concurs that the Board could set the conditions that this partial suspension only extends to the DMTC and only applies to the DMTC's upcoming race meet (July 8, 2020 – September 8, 2020). Further, utilizing Board Rule 1406 to partially suspend subsection (h) of Board Rule 1845 for the DMTC's upcoming meet may serve as an effective pilot to observe whether the Board should take action to permanently remove this portion of the rule via the formal rulemaking process.

BACKGROUND:

Subsection (h) of Board Rule 1845 went into effect on December 26, 2017. Before its implementation, Rule 1845 provided that a representative of the Board could request that the veterinarian administering the bleeder medication surrender the syringe used in the administration of furosemide for possible testing. The addition of subsection (h), which requires that the syringe used in the administration of furosemide be provided to, and retained by, the Board until all testing of the horse is completed was thought necessary because of an underlying concern that the Lasix injection could be the source of a drug positive.

Since subsection (h) went into effect, the retention of syringes has proved to be cumbersome, costly, and ineffective in achieving its original goal. It is estimated that only three Lasix syringes have been tested out of approximately 150,000 injections. None of the tested syringes altered the outcome of any violation.

RECOMMENDATION:

This item is presented for Board discussion and action.

Drummond, Amanda@CHRB

From: protonmail [REDACTED]
Sent: Tuesday, June 2, 2020 10:37 PM
To: Drummond, Amanda@CHRB
Subject: Public comment, agenda item #11

The following information was obtained from scientific, peer-reviewed, veterinary medical journals.

Exercise induced pulmonary hemorrhage, or EIPH is a condition that affects virtually every single horse used in training and racing.

EIPH is defined as: bleeding from the lungs as a consequence of exertion.

While a variety of drugs have been used to treat EIPH, furosemide or lasix is considered the gold standard.

NORMAL MAMMALIAN VENTILATION

Horses, like humans, have millions of alveoli. Each alveolus is wrapped with a pulmonary capillary. The alveolar-capillary membrane is normally ONLY permeable to gasses. Oxygen extracted from environment, picked up by alveolar capillaries, enters circulation, delivered to organs. CO₂, metabolic by-product, delivered to alveolus via capillaries so that it can be exhaled.

ABNORMAL

There are a few different etiologies for EIPH. The two most relevant involve a combination of:

1. Excessive pulmonary artery pressure, pulmonary venous pressure and stress failure at the pulmonary capillary level (membrane rupture).
2. Simultaneously, negative airway pressure = -40 to -60 cmH₂O. Simply put, intense inhalation via narrow passages creates a "vacuum" and fluid is sucked into the lungs. This is why nasal dilators are used.

A repeated theme in the literature is the inconsistencies.

Different types of bleeding and diagnosis.

Researchers have **not yet determined** what constitutes a clinically significant degree of hemorrhage. Varying severity of EIPH is graded on a scale of 0-4:

1. Frank epistaxis, which is surprisingly described as an **insensitive** indicator.
2. Tracheobronchoscopic evaluation done with a fiberoptic scope. However, this may **lose** lower grades of EIPH depending on time elapsed.

Treatment and prophylaxis is lasix, which works by blocking the re-absorption of sodium, thereby inducing diuresis with increased urinary output. Its precise mechanism of action is **NOT** to stop bleeding. **It is NOT an anticoagulant, therefore calling lasix an "anti-bleeding" drug is terribly inaccurate.**

Direct quotations from scientific articles:

"Although furosemide administration has been found to be associated with superior performance in racehorses, its effect on the occurrence of EIPH has **NOT** been objectively demonstrated."

“Furosemide has been used for over 30 years to prevent EIPH or reduce the severity of EIPH in racehorses. Studies evaluating the clinical efficacy of furosemide in both laboratory and field conditions have demonstrated **CONFLICTING** results.”

A 2018 publication states, “Lasix has been used extensively to minimize EIPH but it is believed to be **effective in 50% of cases**. In addition, there are **undesirable side effects** associated with chronic use of lasix, including hypokalemia.

There are many more inconsistencies.

BOTTOM LINE: virtually every single racehorse is suffering from a **human-created pathophysiologic phenomenon**, EIPH.

The problem is **NOT** the lasix or lack thereof; it is the **INDUSTRY**. The **ONLY** way to prevent EIPH is to stop running and training these horses to death.

Heather Wilson
Los Angeles

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT TO
CHRB RULE 1503. QUALIFICATIONS FOR LICENSE AS TRAINER OR ASSISTANT
TRAINER, TO REQUIRE THAT INDIVIDUALS WHO HOLD AN ASSISTANT TRAINER
LICENSE IN GOOD STANDING, FOR AT LEAST ONE YEAR AS A
PERQUISITE FOR A TRAINERS LICENSE

Regular Board Meeting
June 11, 2020

ISSUE:

Applicants for a California Horse Racing Board (Board) license as trainer are not currently required to have on-track experience. Any person who can pass the trainer examination required under [Board Rule 1503, Qualifications for License as Trainer or Assistant Trainer](#), pay the fee, and in the case of trainers, provide evidence that liability for worker's compensation has been secured in accordance with California's Labor Code, may obtain a Board trainer license. The proposed amendment to Board Rule 1503 is intended to ensure that all candidates for license as trainer have on-track experience by requiring applicants who have never held a trainer license to first be licensed as an assistant trainer, and to work as an assistant trainer under a Board-licensed trainer for at least one year.

ANALYSIS:

The proposed amendment to Board Rule 1503 changes the title of the regulation from "Qualifications for License as Trainer or Assistant Trainer" to "Qualifications for License as Assistant Trainer and Trainer." The change more accurately reflects the steps an applicant who has never held a trainer license must take to ultimately be licensed as trainer.

Currently, Board Rule 1503 requires applicants for license as trainer and assistant trainer to take the same Board trainer examination. The examination consists of written, oral, and practical portions. The candidate for license as trainer or assistant trainer must pass each portion of the examination with a score of at least 80 percent. An applicant who fails any portion of the examination must wait one month, and then re-apply to take the failed portion of the exam. The applicant does not have to re-test for those portions of the exam he or she passed.

The proposed amendment to Board Rule 1503 will change the requirements for taking and for passing the trainer examination. A "first time" applicant who passes the test may no longer receive a trainer license. Instead, the applicant will receive a license as an assistant trainer. Failure to achieve 80 percent on any portion of the trainer test will mean the entire test must be re-taken. The proposed amendment to Board Rule 1503 also extends the timeframes for re-taking a test. The proposed amendment requires the applicant to wait at least 90 days from the date of the failed exam to apply for re-

examination, and the applicant must re-take the entire examination. An applicant who fails the trainer examination three times in a calendar year, may not re-apply for at least one year from the date of the third failed exam.

Passing the trainer examination will not result in an applicant's ability to become a trainer. Instead, the proposed amendment provides that a person who has never held a trainer license must first pass the entire trainer examination with a minimum score of 80 percent, at which point they will be granted an assistant trainer license. Next, they must hold the assistant trainer license in good standing for a minimum of one year and must work for a Board-licensed trainer for a minimum of one year before becoming eligible for a Board trainer license. The person who fulfills these requirements must then be recommended for license as a trainer by the stewards and by the trainer(s) who employed him or her as an assistant trainer. Accordingly, the applicant must submit a signed California Horse Racing Board Trainer Recommendation Card, Form CHRB-59C. The CHRB-59C is signed by a Board steward to certify that the applicant has held an assistant trainer license in good standing for at least one year after passing the trainer examination, and signed by a Board-licensed trainer(s) who the applicant has worked under for a minimum of one year, certifying that that employment took place.

Applicants for trainer's license who currently hold a Board assistant trainer or trainer license but are switching from harness to flat racing, or flat racing to harness, will be subject to the appropriate trainer examination. Additionally, persons who are already licensed in another racing jurisdiction as trainers or assistant trainers but who seek licensure in California may be subject to any portion of the trainer examination as determined by the stewards. Current licensees will have the same constraints on re-taking any failed examination. The candidate must wait 90 days to re-take a failed exam, and one year if he or she fails the exam three times in a calendar year.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19420](#) provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. [BPC section 19440](#) states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC Section 19460](#) provides that all licenses granted under this chapter are subject to all rules, regulations, and conditions from time to time prescribed by the Board. Board Rule 1503, Qualifications for License as Trainer or Assistant Trainer, describes the requirements and license examination process for license as trainer or assistant trainer.

The amendment to Board Rule 1503 was proposed to the Board at the September 19, 2019, regular Board meeting where the Board approved the proposed language. The 45-day public comment period began on January 31, 2020, when the proposed amendment was published in the California Notice Register. The public comment period closed on

March 16, 2020. No comments were received. The rulemaking file was submitted to the Office of Administrative Law (OAL) on April 6, 2020 for review. OAL determined that the rulemaking file could not be approved without changes to the text and the addition of an addendum to the Initial Statement of Reasons. The amended text and addendum to the Initial Statement of Reasons were noticed for a 15-day public comment period on May 19, 2020. The 15-day public comment period ran from May 19, 2020 through June 3, 2020. No comments were received.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED AMENDMENT OF
RULE 1503. QUALIFICATIONS FOR LICENSE AS TRAINER OR ASSISTANT
TRAINER

1503. Qualifications for License as Assistant Trainer ~~and or Assistant Trainer~~.

(a) Except as otherwise provided under this article, an applicant for an original license as ~~trainer or assistant trainer~~ shall, prior to pass a trainers examination before issuance of a license, ~~An applicant shall:~~

~~———~~ (1) ~~P~~pass the written, oral and practical portions of the trainer examination as prescribed by the Board and administered by its agents.

(~~1b~~) A score of 80% percent for each portion constitutes a passing grade for the trainer examination.

(~~2c~~) An applicant who fails any ~~the written, practical or oral~~ portion of the trainer examination may not apply for reexamination for at least 90 days from the date of the failed examination, and the examination shall be retaken in its entirety. ~~and take the failed portion after a period of at least one month, but not more than six months, from the date of the failed examination.~~

(3) An applicant who fails the trainer examination three (3) times in a calendar year may not apply for reexamination for at least one year from the date of the third failed examination.

(1) ~~An applicant who fails to apply for reexamination under subsection (c) must reapply for license as trainer or assistant trainer, and reexamine under subsections (a) and (b).~~

(42) The trainer examination for license as trainer or assistant trainer shall be scheduled not less than once a month at a time and location designated by the Board.

(b) Except as otherwise provided under this article, an applicant for an original license as trainer shall:

(1) Hold a CHRB assistant trainer license in good standing for a minimum of one year prior to application for license as trainer.

(2) Have worked in this state as a ~~an~~ licensed assistant trainer in the employment of a CHRB licensed trainer for at least one year.

(3) Submit a California Horse Racing Board Trainer Recommendation Card, Form CHRB-59C (New 09/19) (CHRB-59C), which is hereby incorporated by reference. The CHRB-59C shall be signed by a steward and a CHRB-licensed trainer who is in good standing, and who has employed the applicant as a licensed assistant trainer. An applicant who has been employed by more than one CHRB licensed trainer may submit multiple recommendation cards if he or she worked as a ~~an~~ licensed assistant trainer in this state for a total of at least one year. The CHRB-59C is available at CHRB licensing offices, at live race meetings, and CHRB headquarters offices.

(iA) By signing the CHRB-59C, the trainer certifies that the applicant worked for him or her as a ~~an~~ licensed assistant trainer, and satisfactorily performed the duties of a ~~an~~ licensed assistant trainer while under his or her employ. The trainer shall also include the length of time he or she employed the applicant as a ~~an~~ licensed assistant trainer on the recommendation card.

(iB) By signing the CHRB-59C, the steward certifies that the applicant has passed the written, practical, and oral portions of the trainer examination, and has maintained an

assistant trainer license for a minimum of one year in good standing.

(~~c~~d) Notwithstanding the above, an applicant who is currently licensed by another racing jurisdiction as a trainer or assistant trainer, and has held the license for a minimum of one year in good standing, shall make an application for license as trainer or assistant trainer under Rules 1481 and 1483 of this division. The applicant shall appear before the Board of Stewards and they may subject him/her to any portion of the trainer examination described in subsection (a)(1).

(1) An applicant who fails ~~either the written, practical or oral portion to achieve a passing score of 80 percent on the portion(s) of the trainer examination administered under subsection (d) this subsection shall be subject to the provisions of subsections (a)(2) and (a)(3) of this regulation~~ may reapply for reexamination and take the failed portion after a period of at least one month, but not more than six months, from the date of the failed examination.

~~(2) An applicant who fails to apply for reexamination under subsection (d)(1) must reapply for license as trainer or assistant trainer, and reexamine under subsections (a) and (b).~~

(~~d~~e) An individual ~~who is~~ currently licensed as a trainer or assistant trainer who wishes to change his or her license from harness to other types of flat racing, or other types of flat racing to harness, is subject to the requirements examination under subsections (a) ~~through (a)(3) of this regulation~~ and (b).

~~(1) An applicant who fails either the written, practical, or oral portion of the trainer examination administered under subsection (e) may reapply for reexamination and take the failed portion after a period of at least one month, but not more than six months, from~~

~~the date of the failed examination.~~

~~(2) An applicant who fails to reapply for reexamination under subsection (e)(1) must reapply for change of license and reexamine under subsections (a) and (b).~~

Authority: Sections 19420, 19440 and 19460,
Business and Professions Code.

Reference: Sections 19420, 19440, and 19460 ~~and 19510~~,
Business and Professions Code.

Drummond, Amanda@CHRB

From: Sarah Segal [REDACTED]
Sent: Wednesday, June 3, 2020 4:33 PM
To: Drummond, Amanda@CHRB
Subject: PUBLIC COMMENT for JUNE 11th Teleconference CHRB Meeting--Agenda Item #12

Agenda Item #12 You do not need any trainers. You need caregivers. Why are grown men riding on the backs of horses? Horses do not carry their babies on their backs ever. Horse racing is cruel, unnatural and kills horses.

Sarah Segal--L.A. County Resident & Taxpayer

Sarah & Boomer Jr.
[REDACTED]

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STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING
THE PROPOSED ADDITION OF
CHRB RULE 1866.2, SHOCKWAVE THERAPY RESTRICTED,
TO PROVIDE PROCEDURES FOR THE USE OF
EXTRACORPOREAL SHOCK WAVE THERAPY (ESWT) OR
RADIAL PULSE WAVE THERAPY WITHIN A CHRB INCLOSURE

Regular Board Meeting
June 11, 2020

ISSUE:

Extracorporeal shock wave therapy (ESWT) is a technology used for treating musculoskeletal problems, soft-tissue injuries, and bone injuries in horses. The Board currently does not have a regulation governing the use of ESWT technology within the inclosure. The proposed addition of California Horse Racing Board (Board) Rule 1866.2, Shock Wave Therapy Restricted, will put in place a regulation to provide guidelines and procedures for the use of ESWT within a Board inclosure.

ANALYSIS:

ESWT (also known as Radial Pulse Wave Therapy) is a noninvasive procedure wherein short, high energy pressure pulses are transmitted to a select area of the body through a hand-held probe. The operator may choose different levels of energy depending upon the purpose of the therapy. In horses, the therapy is often used to address orthopedic problems, especially tendon or ligament issues. A horse will usually receive a mild sedative to keep it still during the treatment. Shock wave treatments can act as on-site analgesia in the area where energy waves are targeted. It is not known how long such pain relief lasts, with estimates ranging from two to three days. Board Rule 1866.2 will provide guidelines and procedures for the use of ESWT within a Board inclosure. The proposed regulation provides that:

- ESWT machines brought into the inclosure must be registered by the official veterinarian.
- A listing of listing of ESWT machines must be maintained that shall contain registration information for all ESWT machines that is available for inspection by the official veterinarian, stewards, or Board investigators.
- ESWT machines may only be used in designated locations within the inclosure. The official veterinarian will designate the area, which will be safe, secure, and accessible for monitoring.
- Treating veterinarians must keep a log of all shock wave therapy treatments that shall be available for inspection. The logs must include the treatment date, the horse's identifying information, the area treated and the number of pulses.
- Horses receiving shock wave therapy treatment shall be placed on the Veterinarian's List and cannot participate in a workout for 30 days after treatment.

- Unless it has received prior approval, no horse may be brought on to a Board racing or training facility if it is known to have received shock wave therapy within the last 30 days.
- The use and/or possession of an ESWT machine in violation of Board Rule 1866.2 is a prohibited practice and is subject to a Class “A” Penalty.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19562](#) states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California.

The addition of Board Rule 1866.2 was proposed at the June 2019 regular Board meeting when the Board approved the proposed language. The addition of Board Rule 1866.2 was noticed on January 3, 2020, and the 45-day public comment period was from January 3, 2020 through February 17, 2020. While no comments were received during the 45-day public comment period, one comment was received prior to the opening of the public comment period. The rulemaking package was submitted to the Office of Administrative Law (OAL) for review on March 24, 2020. After reviewing the rulemaking file, OAL alerted the Board that it would not be able to approve the rulemaking file without the Board first amending the text following guidance from OAL. The amended text and an addendum to the Initial Statement of Reasons was noticed for a 15-day public comment period on May 11, 2020, and the comment period ran from May 11, 2020 through May 26, 2020. No comments were received.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED ADDITION OF
RULE 1866.2. SHOCKWAVE THERAPY RESTRICTED.

Text of Modified Proposed Regulations

Changes are illustrated with double underline for proposed additions and by ~~double strikethrough~~ for proposed deletions.

1866.2 Shockwave Therapy Restricted

(a) Prior to being brought into any CHRB racing or training inclosure, all Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy (ESWT) machines shall be registered with by the official veterinarian who shall keep a listing of all ESWT machines so registered.

(1) The listing shall be available for inspection by the official veterinarian, stewards, or CHRB investigators. The listing shall include the manufacturer, model, serial number, and the name of the CHRB licensed veterinarian responsible for the ESWT machine.

(b) Only CHRB licensed veterinarians ~~are allowed~~ may to use ESWT machines within a CHRB racing or training inclosure.

(c) ESWT machines are not allowed in the stable area. All treatments ~~are to~~ shall be conducted in a designated area ~~approved~~ chosen by the official veterinarian responsible for that CHRB racing or training ~~facility~~ inclosure.

(1) The designated area shall be secure, safe for the horse receiving treatment, and accessible for monitoring either by security personnel or by security cameras.

(d) The treating veterinarian shall keep a log of all ESWT treatments. The log shall be available for inspection by the official veterinarian, stewards or CHRB investigators. The log shall include the date of treatment; the horse's tattoo, microchip number, or other identifying information if the horse is not tattooed or microchipped; the anatomical area treated; and the number of pulses administered.

(e) All ESWT treatments are to shall be reported to the official veterinarian on a separate form Veterinary Veterinarian Report Confidential CHRB-24 (Rev. 01/18) (CHRB-24), which is incorporated by reference. Such report shall be submitted by 10:00 AM the day following treatment.

(f) Horses treated with ESWT will shall be placed on the Veterinarian's List for ESWT treatment for 30 days. The day after treatment is the first day on the Veterinarian's List. Unless otherwise provided under Rule 1866, the horse will be automatically removed from the Veterinarian's List for ESWT on the day following the 30th day (30 + 1 days) The horse shall be removed from the Veterinarian's List for ESWT on the day following the 30th day (30 + 1 days) provided that the horse satisfied Rule 1866(d). A horse on the Veterinarian's List for multiple reasons must meet whatever the criteria is required for removal for those other reasons.

(g) Horses treated with ESWT may not participate in a recorded workout for 30 days after treatment.

(1) For the purpose of this regulation, "workout" means an exercise session near full speed, or close to full speed.

(h) No owner, trainer, or licensee shall bring on to a CHRB racing or training facility, inclosure a horse known to have received ESWT within the last 30 days without prior approval of the official veterinarian.

(i) Any person participating in the use of ESWT and/or the possession of ESWT machines in violation of this rule shall be considered to have committed a prohibited veterinary practice and is subject to a Category A penalty pursuant to Rule 1843.3 of this Division.

Authority: Sections 19440, and 19562, and ~~19580~~,
Business and Professions Code.

Reference: Sections 19440, 19562, and ~~19580~~19583,
Business and Professions Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PERMANENT
ADOPTION OF THE EXISTING EMERGENCY REGULATION, CHRB RULE 1435,
SUSPENSION OF LICENSE TO CONDUCT A RACE MEETING, WHICH ALLOWS
THE BOARD TO SUSPEND A RACE MEETING LICENSE WHEN NECESSARY TO
PROTECT THE HEALTH AND WELFARE OF THE HORSE OR RIDER.

Regular Board Meeting
June 11, 2020

ISSUE:

In response to the recent equine fatalities at Santa Anita Park, the California Legislature passed [Senate Bill \(SB\) 469](#) which expands the California Horse Racing Board's (Board) ability suspend a license to conduct a horse race meeting when necessary to protect the health and safety of either the horse or rider. SB 469 was signed into law by California Governor Gavin Newsom on June 24, 2019. SB 469 required the Board to adopt emergency regulations to implement the authority provided by the new law. At the Board's regularly scheduled meeting in August, the Board directed staff to file the proposed emergency regulation with the Office of Administrative Law (OAL). The emergency regulation was approved and filed with the Secretary of State on August 29, 2019. In November 2019, the Board directed staff to notice the rule for permanent adoption.

ANALYSIS:

The proposed addition of [Board Rule 1435](#), Suspension of License to Conduct a Race Meeting, will establish that the Board may, upon petition, issue an order suspending a license to conduct a race meeting or imposing restrictions. The petition shall include documents in support of the petition. Prior to the hearing on the petition, the Board shall notify the licensee in writing at least 24 hours before the hearing. At the hearing, the licensee will have the opportunity to be represented by counsel, present written evidence and make oral argument. A recording of the proceedings will be made. The Board will have five days following the hearing to issue a decision. Any decision to suspend a license or impose restrictions shall be reviewed by the Board within 10 calendar days. During the review, the licensee will be afforded the same rights as the initial hearing. Finally, any suspension issued by the Board shall remain in effect until the Board determines that the matters jeopardizing the health and safety of the horse or rider at the race meeting have been adequately addressed.

RECOMMENDATION:

This item is presented for Board discussion and action. No comments were received during the 45-day comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 2. RACING ASSOCIATION
PROPOSED ADDITION OF
RULE 1435 SUSPENSION OF LICENSE TO CONDUCT A RACE MEETING

1435. Suspension of License to Conduct a Race Meeting.

(a) When taking any action against a licensed racing association to suspend racing or impose conditions pursuant to Business and Professions Code section 19481.7, the following procedures shall be followed:

(b)(1) The Board may, upon the filing of a written petition, issue an order suspending a license to conduct a racing meeting or imposing license restrictions. The petition shall include a declaration or declarations that demonstrate, to the satisfaction of the Board, that permitting the licensee to continue to engage in the licensed activity, or permitting the licensee to continue in the licensed activity without restrictions, would endanger the health and safety of the horses or riders that are present at the racing meeting.

(2) For purposes of this section, the health and safety of horses or riders may be endangered when:

- (A) An above-average number of injuries to horses and/or riders participating in the race meeting have occurred. The Board shall have discretion to determine the period of time used to measure whether an above-average number of injuries have occurred when compared with historic data.
- (B) A condition exists within the inclosure that is likely to endanger the health and safety of the horses or riders that are present at the racing meeting.
- (C) For any other reason the Board finds the health and safety of horses or riders is endangered.

(c)(1) The licensed racing association shall be given at least 24 hours' notice of the hearing on the petition for a suspension or license restriction order. The notice shall include the petition and declarations submitted to the board in support of the petition.

(2) Notice shall be given in writing, and may be provided by personal service, mail, facsimile transmission or electronic mail.

(3) Notice shall be given to either the licensee, its designee, or any officer or director associated with the licensee.

(d)(1) At the hearing on the petition for a suspension order or license restriction order, the licensee may:

(A) Be represented by counsel.

(B) Have a record made of the proceedings, copies of which may be obtained by the licensee upon payment of any reasonable charges associated with the record.

(C) Present written evidence in the form of relevant declarations, affidavits, and documents.

(D) Present oral argument.

(e) The Board shall issue a decision on the petition for suspension order or license restriction order within five business days following submission of the matter.

(f) The Board shall review any decision to suspend a racing license or impose license restrictions within 10 calendar days of that decision.

(g)(1) At the hearing to review a decision by the Board under this section, the licensee may:

(A) Be represented by counsel.

(B) Have a record made of the proceedings, copies of which may be obtained by the licensee upon payment of any reasonable charges associated with the record.

(C) Present written evidence in the form of relevant declarations, affidavits, and documents.

(D) Present oral argument.

(h) Any suspension order or license restriction order shall remain in effect until the Board, at a meeting, determines that the matters jeopardizing the health and safety of the horses or riders that are present at the racing meeting have been adequately addressed.

(i) As a condition of lifting a suspension order or license restriction order, the Board may require a licensee to comply with additional safety standards or other requirements as it deems necessary or desirable for the best interests of horse racing and the purposes of this division.

(j) Failure to comply with a suspension order or license restriction order issued pursuant to subdivision (b)(1) shall constitute a separate cause for disciplinary action against any licensee.

(k) The orders provided for by this section shall be in addition to, and not a limitation on, the authority to seek injunctive or other relief provided in any other provision of law.

(l) A petition for an order suspending a license to conduct a racing meeting or imposing license restrictions may be filed by the Executive Director or their designee, or by the Equine Medical Director of the Board.

Authority Cited:
Sections 19481.7, 19440, and 19460,
Business and Professions Code.

Reference: Sections 19481.7, 19440, and 19460,
Business and Professions Code.

Drummond, Amanda@CHRB

From: [REDACTED]
Sent: Tuesday, June 2, 2020 4:37 PM
To: Drummond, Amanda@CHRB

CHRB Members:

Thank you for working diligently to regulate and reform horse racing. I am submitting comments today (6/2/20) relating to the CHRB meeting scheduled for 6/11/20. In case of technical difficulties which preclude the use of teleconferencing, herein is a summary of my concerns.

Agenda Item #14 CHRB Rule 1435 (Emergency Regulation)

Concern: This rule must be permanently adopted.

The CHRB must have, maintain and utilize it's ability to suspend or revoke the racing license of any entity that is not fulfilling horse and human safety related standards. Substantial regulatory success and reformation of practices can not be achieved without strict protocols and consequences for breaching of the same.

Thank You For Your Continued Work and Dedication,
Bonnie C.
Concerned Citizen

Drummond, Amanda@CHRB

From: Sarah Segal [REDACTED]
Sent: Wednesday, June 3, 2020 4:36 PM
To: Drummond, Amanda@CHRB
Subject: PUBLIC COMMENT for JUNE 11th Teleconference CHRB Meeting--Agenda Item #14

Agenda Item #14 All racing should be immediately suspended. Why are grown men riding on the backs of horses? Horses do not carry their babies on their backs ever. Horse racing is cruel, unnatural and kills horses.

Sarah Segal--L.A. County Resident & Taxpayer

Sarah & Boomer Jr.
[REDACTED]

SAVE 100 PLUS ANIMALS A YEAR
SAVE YOUR PLANET
SAVE YOUR HEALTH
GO VEGAN
[START HERE](#)



CALIFORNIA HORSE RACING BOARD

June 11, 2020
REGULAR BOARD MEETING

**Public comments received not related to other agenda
items attached**

Drummond, Amanda@CHRB

From: Martha Sullivan [REDACTED]
Sent: Tuesday, June 2, 2020 5:57 PM
To: Drummond, Amanda@CHRB
Subject: Public Comment on CHRB Agenda Item 15

Thank you to the CA Horse Racing Board for setting the example for what NOT to do, as reported recently by the NY Times: "Charlatan & Gamine test positive for numbing agent lidocaine. Louis Cella, owner of Oaklawn, says investigation won't be an 'embarrassment to industry' like California's slow walk and disappear look of Justify's failed drug test."

Justify was the horse trained by CA-based Bob Baffert who won the KY Derby last year while a failed drug test was known to the CA Horse Racing Board. These 2 horses are trained by Baffert as well. Charlatan is favored in the June 20 Belmont Stakes, now the first race in the Triple Crown.

<https://mobile.twitter.com/joedrape/status/1265406882761576448?s=09>

With this very public and widely published credit, hopefully our CA Commissioners will take a more diligent approach to its mission as a CA State regulatory body which serves the public as opposed to the racing industry it is chartered to regulate.

Along this line, I urge the Commissioners to direct the Executive Director and the staff and contractors (i.e., Stewards) under his management/supervision to CHRB to proactively assist local and State Health Authorities to monitor and ensure that the Public Health and Safety conditions for restarting and conducting Live horse racing in the midst of a global COVID-19 pandemic are met and maintained.

Martha Sullivan
Imperial Beach

Drummond, Amanda@CHRB

From: Sarah Segal [REDACTED]
Sent: Wednesday, June 3, 2020 4:39 PM
To: Drummond, Amanda@CHRB
Subject: PUBLIC COMMENT for JUNE 11th Teleconference CHRB Meeting--Agenda Item #15

Agenda Item #15 Public comment should be 1 hour. We as citizens and taxpayers have the right to speak up for the horses who are killed here. This is an industry that profits off of innocent animals used as slaves.

Sarah Segal--L.A. County Resident & Taxpayer

Sarah & Boomer Jr.
[REDACTED]

SAVE 100 PLUS ANIMALS A YEAR

SAVE YOUR PLANET

SAVE YOUR HEALTH

GO VEGAN

[START HERE](#)



Drummond, Amanda@CHRB

From: Heather Hyde [REDACTED]
Sent: Wednesday, June 3, 2020 4:02 PM
To: Drummond, Amanda@CHRB
Cc: Heather Hyde
Subject: CHRB June 11th Meeting Public Comment

CHRB is So corrupt! Wake up, serve us right, the public should be allowed to see the real harm that is being done and to what horses are dying in your hands, no exceptions. WOW, why have you not been responsible Stonach group, horse racing, forcing them into the gates, whipping and drugging the horses to run for wager, for few dollars off a bet. You need to do your obligated duty, do something right for a change, stop beating and running these horses to death. Take some of the millions that you have made by horse racing for these many many years and give those workers some pension and education so they are not left with nothing, you built this industry, so be responsible. What crockery & rampant malarky, why is Santa Anita, Del Mar & Golden Gate Fields still allowed to race? First and for mostly, you are not an ESSENTIAL BUSINESS, you are not doing anything for the public, other than lining your pockets with dirty money and the potential to spread the corona virus, by allowing outsiders... licensed or not to enter and exit these tracks, not adhering the governor Newsoms protocols that were updated mandates from the week of the LOCK DOWN, somehow you managed to sneak your way in. Why is it that nearly every other event, sport, party, social gathering, businesses are shut down, wither by the owners and business managers yet you are being so very irresponsable to your own employees at the track, their families, your families and the outside public. Horse racing is most definatly not an essential business. The Stronach Group is a corrupt and greedy tyrant, that owns most of the California race tracks, you need to shut down. I have seen with my own two eyes on the simulcasted races that there is no social distancing when the track people in groups walk the horse to its starting gate, as the same close groups are gathering at the end of the race, you are irresponsible

The spectaculars, the addicts, the jockeys, the compulsive gamblers, the trainers, the owners, the billionaire supporters, the investors, the corporate sponsors and the such, you should be ashamed of yourselves.

Fox Sports, NBC Sports, TVG, Xpressbet, 1stbet, wagering and betting on the lives of defenseless animals, to be whipped and raced to their death is a absolutely disgusting way to make a living and support the lack of animal welfare to these so called animals that you love, they are not prizes in your eyes, they their just running trophies and line your filthy pant pockets.

These track vets have a lack of a moral compass to turn a blind eye on the brutal so called sport, to allow a healthy born animal to be knowingly drugged and to run with pre existing conditions, this is an ongoing daily issue and concern. The breaking of these horses limbs and rampant drug use is enough to have your veterinary licenses revoked and you should be

banned from having anything to do with animals... in real world I believe you took an oath for the animals welfare and wellbeing but obviously the animals are not your priority, as your interest is cued. My friend is the main dr for all major athletes for NBA, NFL he would never, I repeat never to against his oath for his athlete, over the sake of money. You should be ashamed of yourself and your are too irresponsible to have an animals lives in your hands, or any life in your hands, as they are dirty with corruption and cover up, as we have seen with these most recent

Now why do you never discuss the drug use and abuse of your onsite living staff, that a fact... I know about this from people that had worked at the track.

Heather Hyde

ELEPHANT
IN THE ROOM

Drummond, Amanda@CHRB

From: protonmail [REDACTED]
Sent: Wednesday, June 3, 2020 7:33 AM
To: Drummond, Amanda@CHRB
Subject: Public comment, agenda item #15

Here is the last one. Three of three.

Statements submitted for agenda items
10, 11, 15.

Sent from ProtonMail Mobile

On Tue, Jun 2, 2020 at 22:36, protonmail [REDACTED] wrote:

Where have all the horses gone?

In the 4th race at Los Alamitos on 4 May 2020, Tap the Wire, a 4-year old gelding places first, thereby earning his captors \$11,800. While watching the race, it is plain for anyone to see, even me with my relatively untrained eye- that this horse "takes a bad step" (a ridiculous euphemism by the industry to describe a catastrophic breakdown)- JUST AS he is about to cross the finish line. You can see him hobble down on his right front leg as he places first. The video is devastating to watch. I cannot even imagine what this poor animal endured. What makes it even sadder is that this happens all the time.

The Equibase racing chart says that Tap the Wire was "injured, vanned" which is more ominous industry speak.

<https://www.equibase.com/premium/eqbPDFChartPlus.cfm?RACE=4&BorP=P&TID=LA&CTRY=USA&DT=05/09/2020&DAY=D&STYLE=EQB>

An inquiry to the CHRB yields a simple response: "I have not received a fatality report on this horse." We all know that fetlock and distal limb fractures are particularly deadly, therefore I had a very strong suspicion that this poor horse was killed.

It is later confirmed by an alternate, non-CHRB source that indeed, Tap the Wire was euthanized.

This animal suffered a mortal injury on a CHRB-sanctioned enclosure, Los Alamitos race course. Therefore it is incumbent upon the CHRB to report what happened to him. This was not some random renegade, illegal race track. This was a CHRB facility. Why bother having "oversight" if you cannot be honest? If the public cannot trust you?

Is that how the CHRB skirts the reporting of fatalities, by shipping the mortally wounded racehorses to "non-CHRB" treatment facilities? Or by simply saying, "I have not received a fatality report"?

Then we have Charge a Bunch and Carson Valley, two racehorses who suffered a head-on collision on 17 July 2019 at Del Mar, apparently "dying instantly of broken necks" but we will never know for sure because they did not get necropsies. Instead their bodies were turned into fertilizer and bone meal at a rendering plant in Corona. This is a very clear example of how much you love these horses.

I have a growing list of horses for 2020 that have been

injured
vanned
took a bad step
bled
DNF or walked/ eased off
etc.

NEVER TO BE HEARD FROM AGAIN.

Have these horses also been turned into fertilizer? Or were they shipped to a non-CHRB facility that is not obligated to communicate with the CHRB? Have they slipped through your ridiculous loopholes so that you would not have to report on them, thereby making your safety numbers look more favorable?

Where are all these horses now?

Heather Wilson
Los Angeles

CALIFORNIA HORSE RACING BOARD

June 11, 2020
REGULAR BOARD MEETING

There is no board package material for Item 16