

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the California Horse Racing Board will be held on **Thursday, June 20, 2019**, commencing at **10:30 a.m.**, at the **Alameda County Fairgrounds, in the Pleasanton Satellite Wagering Facility, 4501 Pleasanton Avenue, Pleasanton, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

The meeting will commence at **10:30 a.m.**, after which the Board will recess for Closed Session. Public Session to reconvene at **11:15 a.m.**, or as soon after that as the conclusion of Closed Session permits.

AGENDA

The agenda for the regular meeting will consist of the following matters:

1. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).
2. **Approval of the minutes of May 23, 2019.**
3. **Executive Director's Report.**
4. Discussion and action by the Board regarding the **proposed withdrawal of CHRB membership in the Association of Racing Commissioners International (RCI).**

5. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Watchandwager.com, LLC 2018/2019 race meeting in the amount of \$4,000 to five beneficiaries.**
6. Discussion and action by the Board **regarding the proposed changes by Xpressbet, LLC (Xpressbet), a licensed Advance Deposit Wagering (ADW) provider, to its current plan of operation.**
7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Sonoma County Fair (F) at Santa Rosa, commencing August 1, 2019 through August 11, 2019, inclusive.**
8. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Sonoma County Fair and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by the Sonoma County Fair during its 2019 race meeting.**
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association II (T) at Golden Gate Fields, commencing August 15, 2019 through September 29, 2019, inclusive.**
10. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Pacific Racing Association II (PRA II) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by the PRA II at the Golden Gate Fields Racetrack.**
11. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Humboldt County Fair (F) at Ferndale, commencing August 16, 2019 through August 25, 2019, inclusive.**
12. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Humboldt County Fair and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by the Humboldt County Fair during its 2019 race meeting.**
13. Report from the **Medication, Safety and Welfare Committee.**

14. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1842, Veterinarian Report**, to require such reports be submitted electronically.
15. Discussion and action by the Board regarding the **proposed amendments to CHRB Rule 1843.3, Penalties for Medication Violations; CHRB Rule 1843.5, Medication, Drugs and Other Substances Permitted After Entry in a Race and CHRB Rule 1844, Authorized Medication**, to codify the Board's prior temporary suspension of authorized medication for all horses participating in all licensed horse racing meetings.
16. Discussion and action by the Board regarding the **proposed addition of CHRB Rule 1846.1, Veterinary Records for Horses Shipping into an Inclosure to Race**, to require the trainer to make available to the official veterinarian or racing veterinarian, the previous 14 day veterinary treatment record.
17. Discussion and action regarding the **proposed addition of CHRB Rule 1866.2, Shockwave Therapy Restricted**, to provide procedures for the use of Extracorporeal Shock Wave Therapy (EWST) or Radial Pulse Wave Therapy within a CHRB inclosure.
18. Discussion and action by the Board regarding the **proposed addition of CHRB Rule 1867.1, Use of Bisphosphonates Prohibited**, to prohibit the administration of bisphosphonates to any horse within a CHRB inclosure.
19. Public hearing and action by the Board regarding the **proposed amendments to CHRB Rules 1581.1 Entries and Rule 1597.5, Microchips Required for all Horses on Grounds**, to revise and clarify microchip requirements for California racehorses. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
20. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1588, Horse Ineligible to Start in a Race, and CHRB Rule 1866 Veterinarian's List** to provide that 1) any horse that has not raced within 12 consecutive months since its previous start is ineligible to start in a race until such horse has performed satisfactorily in a workout or a standardbred qualifying race; 2) to provide that a horse that has never started in a race, and is four (4) years of age or older, is ineligible to start in a race until such horse has performed satisfactorily in a workout or qualifying race as described in CHRB Rule 1866(e) and (f); and 3) to modify CHRB Rule 1866 subsection (e) to make it clear that qualifying races are standardbred events. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
21. Discussion and action by the Board on the **approval of the Fiscal Year 2019/2020 Agreement providing funding support for the Board.**

22. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Madeline Auerbach, Vice Chairman
Dennis Alfieri, Member
Fredric Maas, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

CALIFORNIA HORSE RACING BOARD

JUNE 20, 2019
REGULAR BOARD MEETING

There is no board package material for Item 1

PROCEEDINGS of the Regular Meeting of the California Horse Racing Board held at the Baldwin Terrace Room at the Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California, on May 23, 2019.

Present: Chuck Winner, Chairman
Madeline Auerbach, Vice-Chairman
Araceli Ruano, Member
Alex Solis, Member
Fredric Maas, Member
Dennis Alfieri, Member
Rick Baedeker, Executive Director
John McDonough, General Counsel

APPROVAL OF THE MINUTES OF APRIL 18, 2019

Chairman Winner asked for approval of the minutes of the Regular Meeting of April 18, 2019. Commissioner Alfieri **motioned** to approve the minutes. Commission Solis **seconded** the motion, which was unanimously carried. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported on some of the comments he made at the joint legislative hearing of the Senate and Assembly Governmental Organization committees held in Sacramento on May 22, 2019. He said he provided a description of CHRB staff, which included 18 stewards who were responsible for the conduct of the race meets and included six safety stewards. He stated California was the only jurisdiction in the country to have safety stewards, whose responsibility was the safety and welfare of the racehorse. There were nine official veterinarians supervised by the CHRB Equine Medical Director. CHRB had 13 sworn officers under the supervision of the Chief of Enforcement. He said unless a medication was specifically permitted it was prohibited in California, and all performance-enhancing substances were

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prohibited. The CHRB's testing procedures, were among the most stringent in the world. The Maddy Laboratory analyzed samples from horses before and after racing and out of competition between races. Each sample was tested for more than 1,800 known substances. During the 2017-18 fiscal year 41,125 samples were tested. Of those, taken from more than 5,000 races, six were positive for performance-enhancing substances, and two of those substances were sodium bicarbonate and caffeine. He said there was always the possibility of new substances that may not as yet be detectable, so the Maddy Laboratory kept samples for two years for retroactive testing as new tests were developed. He stated while other sports were managed by league offices, horse racing was regulated under the laws of California and the CHRB rules and regulations. Every positive test was investigated by one of CHRB's investigators and a complaint filed against the licensee. The Board took each positive seriously. He stated that several years ago, a complaint was filed against a trainer for his third clenbuterol violation. At the hearing, the Board suspended that trainer's license through its term and fined him \$160,000. Executive Director Baedeker said the joint hearing was a good opportunity for many people in racing to answer questions that the legislators had. He stated several legislators had questions about the necropsy process, and he provided them an update. He stated of the 23 necropsy investigations that were going forward in the joint investigation with the Los Angeles County District Attorney's Office, 46 of the 67 interviews had been completed. That was following the return of documents required through nearly 70 subpoenas. The interviews were continuing, and the CHRB anticipated the investigations would be completed by mid-June. He said legislators asked questions regarding the necropsy investigations, medication, Lasix, testing procedures at the Maddy Laboratory, and dealing with the public perception of the use of the riding crop and the use of Lasix. He stated it was a good opportunity to answer their questions. Any time the industry had the ability to talk about the depth

of racing and some of things that people did not know, whether or not they agreed, was still productive. Executive Director Baedeker reported on the April 2019 financials. With 15 programs a year ago versus 14 this year, the numbers were down 13.4 percent. January through April 2019 had 116 programs versus 131 last year, so the numbers were down 11 percent.

PUBLIC COMMENT

James Leal, horse racing fan, stated that people who had never ridden a horse did not have the right to talk about the riding crop because they did not understand the dangers jockeys faced when on horseback. He said there could have been a major accident at the Kentucky Derby if jockeys did not have use of the crop. He asked that the Board not ban the riding crop. He stated he disagreed with people who had commented that horses could not speak for themselves. Horses communicated through their eyes, body language, and behavior. He said people thought horses did not have a choice to run, but horses loved to run. He stated that when horses were injured, they were thankful people took care of them. Zafir Molina, Freedom for All advocate, said the sport of horse racing was not in the horses' interest. The horses were being held captive and had no free will. He stated that the reason so many racetracks had closed was because horses kept dying. Elvia Sedano, private citizen, stated that anyone who cared about horses should not lose sight of the over 500 horses that had been euthanized at Santa Anita (SA) over the past decade and over 5,000 horses that had died at California racetracks. She said the deaths were cruel because of the injuries involved. She asked that there be a ballot measure to ban horse racing in California. Nick Cosato, horse owner, stated he was frustrated that people were uneducated about the sport of horse racing. He said the euthanasia rate at SA was approximately two percent, yet the People for the Ethical Treatment of Animals (PETA) euthanized about 1,700 to 2,200 animals per year. Commissioner

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Solis stated there was a retirement fund and many charities for the horses. Heather Wilson, private citizen, said that because the Board voted unanimously at its April 2019 Regular Meeting to keep SA open for the rest of the season, the Board was now responsible for the horse deaths. She stated that she confirmed with the Governor's Office that the California Horse Racing Board (CHRB) was budgeted by the State of California through the Department of Finance (DOF). Executive Director Rick Baedeker said the CHRB was special funded. The racing industry funded the CHRB, and no taxpayer dollars were involved. The CHRB's budget was run through the DOF, and the amount was assessed to the racing industry to return the money to the General Fund. All the money was paid by the racetracks and horsemen and taken out of wagers. Ms. Wilson asked how many more horses had to die to convince the Board that horse racing was wrong. She stated there was a conflict of interest because at least two commissioners had profited from the breeding and ownership of thoroughbreds, according to profile information in Equibase. Chairman Winner said he doubted that anyone on the Board had made money from horse racing because the expenses exceeded any earnings. Patty Shenker, private citizen, stated she opposed horse racing because of the deaths of numerous horses on and off the tracks. She said most Americans did not tolerate the mistreatment of animals for entertainment. She stated that 37 American horse racing tracks had closed since 2000. She said the United States Department of Agriculture (USDA) calculated that 92.3 percent of euthanized horses could have lived long, healthy lives if they had homes. She stated that according to Forbes, over 10,000 thoroughbreds were sold at auction in the United States (U.S.) and shipped to Mexico and Canada each year for consumption. Wendy Marcus Minovitz, animal rights activist, said that cruelty to animals was demonstrated every day at racetracks. She stated there had been 30 horse deaths at SA since December 26, 2018. She said horse racing was an industry supported by legal gambling. She stated that the horses were not companions, only

commodities that were exploited until their racing careers ended tragically. She said horse racing was cruel because the horses were often only two years of age, their bones were still growing, and their bodies were not ready for the pressure of running full speed on a hard track. She stated that horses were herd animals, but racehorses were in isolation up to 23 hours per day. She said horses were given drugs to mask the pain of existing injuries and were often abused by their jockeys. Amanda Lundberg, private citizen, stated that according to the Equine Welfare Alliance, using USDA data, from 2008 through 2016, over 1.2 million American horses were euthanized. The last equine slaughterhouse in the U.S. closed in 2007. Now, horses were being shipped to Canada and Mexico to be killed for consumption. She said that a Wild for Life Foundation study, using USDA data, found that from 2002 to 2010, 19 percent of euthanized American horses were thoroughbreds. She stated that euthanasia was the horse racing industry's primary means of disposing spent racehorses. Nick Hines, TVG Network analyst, said that 1.4 million acres of land in California was used for equine purposes, and 120,000 jobs were involved in the industry. He stated that thoroughbreds were bred for racing. He said the horses were well taken care of. If he had a horse that could not compete, it would no longer race. Jill Mulato, concerned citizen, stated she was troubled by how, in 2019, the horse racing industry was still exploiting animals for entertainment and money. She said people had relied on horses throughout history, and in return, the horses incurred serious injuries for their efforts. Wayne Johnson, animal rights activist, stated that his efforts at the Board meetings were not personal. He said he did not represent PETA, and the criticism against PETA for its euthanizing animals was justified. He stated it was obvious that the people involved in the horse racing industry loved horses, but the issue was that horses were still dying. April Montgomery, animal rights activist, said 25 horses had died at SA since December 30, 2018, and the Board still had no explanation for the public. On average, 50 horses had died

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annually over the past 10 years at SA, but the problem was not isolated; horse racing itself was the problem. Horses were forced to race for entertainment. She stated that the public was tired of all the efforts made in the industry in the name of safety to distract them from the fact that horses were suffering injuries and deaths. She said trainers were also responsible for the sudden deaths of racehorses, usually associated with heart attacks. Over a 16-month period beginning in 2011, seven horses died of cardiovascular pulmonary failure, two of which were linked to rat poison, according to toxicology reports. Some of those horses were given unprescribed thyroid medication that was not needed. The trainer involved was absolved, but Dr. Rick Arthur, CHRB Equine Medical Director, concluded in his report that the findings were unusual. She asked how many deaths or catastrophic injuries had to occur before the Board would deem that horse racing was unsafe. Jennifer Brown, private citizen, stated that the life of a racehorse was a miserable existence, as undercover investigations had revealed a brutal industry. Horses were viewed as commodities in the same way animals on factory farms were viewed. She said horses were abused by trainers and others to make them run as fast as possible, and the public was aware of and repulsed by the abuse. She stated she called for the abolition of horse racing. She stated that the horse racing industry was guilty of all the acts of cruelty to animals as defined in the Cruelty to Animals Act. She said veterinarians had said that working in the horse racing industry had made them question whether it was possible to do their job and still uphold their professional oath to protect animals and end suffering. She stated that she worked in horse rescue, and she had seen thoroughbreds auctioned off to meat buyers and sold to the Amish for use in labor. Cliff Goodrich, California Thoroughbred Horsemen's Foundation (CTHF), said that CTHF looked after the medical needs of the backstretch workers. He stated that the animal rights activists were ill-informed and would eventually leave when the cameras were gone, instead of staying and being involved in the stakeholder process.

Andrew Lesser, private citizen, said his dad owned Standardbred horses and was the president of Standardbred Owners Association, Inc. in New York, but the legacy of horse racing did not carry down to him. He stated he heard on the radio that Belinda Stronach said horse racing in California, including at SA, was now the safest in the nation. However, it was no consolation to the 25 horses that had died at SA since the start of the current racing season, including two that had died in the past few days, nor to those that would be killed through the end of the racing season. He said horse racing was unsafe for horses. Fifty horses died at SA each year on average. He stated that horse owners pampered, bathed, and groomed their horses. They claimed to love their horses and provided them with veterinary care and the best food available. However, ancient gladiators were treated the same way before they competed, with most dying. He asked if the Board members would enter their pet dogs into competitions knowing that a leg injury would mean their death. He said horse racing was not a sport; it was an industry that fed gambling. He stated that tracks were closing each year, no new tracks were being opened, and horse racing popularity was in steep decline because the public recognized that horse racing caused the deaths of majestic creatures and was a form of animal cruelty. Norberto Arroyo, Jr., jockey, said the notion that jockeys abused horses was wrong. He spoke to the horses and understood how they wanted to be treated. Jackie Munnerlyn, horse enthusiast, stated she believed the horses were treated like royalty. She fed them peppermints and apples. The people involved with the horses treated them with love. She said she disagreed with the idea that horse racing should end. Instead, changes were needed to make it better, and people had to cooperate to make it happen. Dr. Karen Valko, private veterinarian, stated she had seen a lot of both good and bad in the industry, but the recent efforts to improve it were a big step. She said the statistics cited by the people speaking against horse racing were in the past and did not reflect what was happening now with all of the recent changes. Aaron Gryder, jockey,

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stated that horses were taken care of as best as humanly possible. He said he risked his life by racing horses. If the horse fell, he fell with it. He stated that the horsemen did not make excuses for losing one animal; they tried to find solutions so that more were not lost. Dr. Karen Klawitter, Santa Anita Park Equine Hospital, said she thought the public was unaware of the preventative measures taken throughout the years in horse racing. Bloodwork let veterinarians know when horses were sick, and a nuclear medicine facility found injuries that were undetected in X-rays. Alan Balch, California Thoroughbred Trainers, stated that approximately 90 percent of the advancements in equine veterinary medicine had come from research generated by horse racing. Chairman Winner stated that, going forward, the public comment period would be held as the last item on the agenda. It would provide an opportunity for the public to hear what had happened during the course of the meeting so that their public comments could take the proceedings into consideration.

DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT AND STATUS UPDATE ON SB 469, WHICH AUTHORIZES THE BOARD, AT ANY TIME, UPON A VOTE OF AT LEAST FOUR MEMBERS OF THE BOARD, OR, IF FEWER THAN FOUR MEMBERS PRESENT, A UNANIMOUS VOTE OF THOSE MEMBERS PRESENT, TO IMMEDIATELY SUSPEND A LICENSE TO CONDUCT A HORSE RACING MEETING, WHEN NECESSARY TO PROTECT THE HEALTH AND SAFETY OF HORSES AND RIDERS PRESENT AT A HORSE RACING MEETING.

Chairman Winner stated SB 469 would give the Board more authority to move quickly to suspend racing if the Board determined it was necessary for the safety of horses and riders. Robert Brodник, CHRB Staff Counsel, said SB 469 passed the Senate and was currently on the desk of the Assembly. He stated the item was placed on the agenda to allow the Board, if it would so choose, to write a letter of support of the bill as the language was currently written. Chairman Winner said the bill was written at his and Executive Director Rick Baedeker's request. He stated the question

was whether the Board wanted to take a position and write a letter of support for the bill. Mr. Brodnik said typically the Board did not necessarily take positions on pending legislation. However, this bill would directly affect the authority of the Board to act in certain situations. So, this was an opportunity for the Board to voice its opinion and allow the author to know what the Board's position was moving forward. Vice-Chairman Auerbach asked what it meant in the bill that "because the violation of those prohibitions would be a crime, this bill would impose a state-mandated local program." Mr. Brodnik said in essence, it meant you could not run a horse racing meeting unless you were licensed by the CHRB. So, if the Board were to suspend a license and someone would continue to run a race meet while that license was suspended, that would be a crime. Vice-Chairman Auerbach asked if the bill would impose a state-mandated local program. Mr. Brodnik said he could clarify that, but he believed that meant wherever the violation occurred, it would then be prosecuted by that jurisdiction. Vice-Chairman Auerbach asked if there would be imposed modified notice requirements. Mr. Brodnik stated the bill would allow the Board to call an emergency meeting, which would require only one-hour notice to media outlets before being called in situations where the health and safety of the horse or rider necessitated calling a meeting. Chairman Winner said currently, the Board could only call an emergency meeting if the public safety was at risk. This bill would allow it if the horse and rider were at risk. Mr. Brodnik said that was correct. Commissioner Ruano **motioned** to approve the Board's support with a letter of support to the State Legislature. Commissioner Maas **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano. Nay: None. Motion carried.

DISCUSSION BY THE BOARD REGARDING REQUIRED CHANGES TO CHRB RULES WITH RESPECT TO THE LIMITATIONS OF FUROSEMIDE (LASIX) USE BEGINNING IN 2020, AS WELL AS THE NEED FOR RELATED REGULATORY LANGUAGE AS MAY BE NECESSARY TO PROTECT THE HEALTH AND WELFARE OF THE HORSE.

This item was removed from the agenda.

DISCUSSION AND ACTION BY THE BOARD REGARDING FASIG-TIPTON'S REQUEST FOR AUTHORIZATION OF ITS UPCOMING HORSE SALE, PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES.

Mike Machowsky, Fasig-Tipton, stated Fasig-Tipton would host two auctions at Santa Anita, a two-year-olds in training sale on June 5, 2019, and a Yearlings Sale on September 25, 2019. He said Santa Anita had done a fantastic job helping them set up and had been an accommodating host. Commissioner Maas **motioned** to approve the Fasig-Tipton horse sale. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19604(f)(5)(E), TO ALTER THE DISTRIBUTION OF MARKET ACCESS FEES FROM CALIFORNIA RESIDENTS ADVANCED DEPOSIT WAGERING (ADW) PLACED ON ALL LIVE AND IMPORTED RACES HOSTED BY THE SIGNATORY RACING ASSOCIATIONS, BY THE CREATION OF AN ADDITIONAL DEDUCTION TO BE USED TO FUND A CO-OP MARKETING PROGRAM.

Greg Avioli, Thoroughbred Owners of California (TOC), stated that the California Marketing Committee (CMC) had sunset by statute on December 31, 2018. The CMC was a program that, via simulcast handle, funded joint marketing programs agreed upon by the tracks and horsemen. The CMC was also an additional source of funds for activities the horsemen wanted to continue doing with the tracks. The first activity involved Ship and Win, a program whereby additional

purse money bonuses were paid to horses that came from outside of California. The second provided rebates to big players who placed on-track wagers. He said the horsemen and racetracks developed a plan that, under the Advance Deposit Wagering (ADW) laws, allowed for percentages of ADW wagers to be used for any programs agreed to by the track and horsemen, subject to CHRB approval. The Stronach Group, Del Mar Thoroughbred Club, and TOC had reached an agreement. A joint request was being presented to the Board for approval. The percentages, which were calculated by Bernie Thurman at the California Horse Racing Information Management System, involved projections. If ADW generated less than what was projected, a new request would be presented to the Board in the future. Josh Rubenstein, Del Mar Thoroughbred Club (DMTC), stated that the DMTC, working in conjunction with the TOC, had a significant communications plan to highlight the safety initiatives in place in California. Vice-Chairman Auerbach asked if the amounts to be taken from simulcast handle were the same as what was taken out under the CMC. Mr. Avioli said yes, although the dollar amounts were not exactly the same. He stated that some programs would no longer be funded, such as the Two-Year-Old Cal-Bred Series. Vice-Chairman Auerbach asked if Cal Racing Cares (CRC) would be funded under the new agreement. Mr. Avioli said that when the CMC sunset, there were unspent reserves in the amount of \$300,000. That amount was placed into a trust to fund CRC probably into 2020. If and when that fund ran out, it was expected that CRC would be funded via the ADW market access fee. Chairman Winner stated there had been discussions about research. He asked if funding for that research would come out of the market access fee. Mr. Avioli said the TOC had agreed with most of the racing associations, the horsemen, the breeders, and CARF to provide funding in 2019 for economic impact research on sports betting in California. He stated he was certain there would be another request in the future for additional money. Executive Director Rick Baedeker said he

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wanted to clarify that there was no change to takeout. The funds would come from what would ordinarily go to purses and commissions. Commissioner Alfieri **motioned** to approve the agreement to alter the distribution of market access fees from ADW wagers placed by California residents on all live and imported races hosted by the signatory racing association by the creation of an additional deduction to be used to fund a cooperative marketing program. Commissioner Maas **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS HORSE RACING ASSOCIATION (T) AT LOS ALAMITOS RACE COURSE, COMMENCING JUNE 26, 2019 THROUGH JULY 16, 2019, INCLUSIVE.

Chairman Winner stated there were several outstanding items. Robert Brodник, CHRB Staff Counsel, said the Thoroughbred Owners of California race meet agreement was submitted. However, the audited financials and a response to question number nine remained outstanding. Executive Director Rick Baedeker stated staff recommended the Board approve the race meet application contingent upon the submission of the financials. Chairman Winner asked about question number nine. Jack Liebau, Los Alamitos Racing Association (LARA), stated item number nine was submitted with names and telephone numbers of veterinarians. Executive Director Baedeker said it was an effort made by staff to pay more attention to this information in the race meet application. In the past, some applications stated the information was on file. After discussing the issue at the staff level, it was determined more specific information was needed in response to item number nine. He stated he could tell the Board that each of the applicants currently being heard at the Board meeting were working on it, if they had not already supplied it. Mr. Liebau

stated LARA was seeking approval of its license application. He said the financials were currently outstanding, but expected the final audit to be completed by July 1, 2019. Vice-Chairman Auerbach **motioned** to approve the LARA application to conduct a horse racing meeting commencing June 26, 2019 through July 16, 2019, contingent on the receipt of the audited financials by July 1, 2019. Vice-Chairman Auerbach **seconded** the motioned, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ALAMITOS HORSE RACING ASSOCIATION (LARA) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE LARA AT THE LOS ALAMITOS RACETRACK.

Greg Avioli, Thoroughbred Owners of California (TOC), stated that the agreement between TOC and the Los Alamitos Racing Association (LARA) was the same as the agreement TOC had reached with the Stronach Group, except that the former did not address Lasix. Commissioner Maas **motioned** to approve the agreement between the LARA and the TOC regarding entry conditions and drug substances or medications to be implemented by the LARA at the Los Alamitos Racetrack. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) AT SACRAMENTO, COMMENCING JULY 12, 2019 THROUGH JULY 28, 2019, INCLUSIVE.

Larry Swartzlander, California Exposition and State Fair (Cal Expo), stated Cal Expo's race meet included 11 days of racing commencing July 12, 2019 through July 28, 2019. Cal Expo raised its overnight purses to \$150,000, mainly in the mid-claiming areas and maiden special weights. He said Cal Expo also had a \$125,000 incentive program for trainers with \$500 for five starts and \$1,000 for ten starts. Cal Expo also had \$60,000 put aside to pay trainers who brought horses from out-of-state, with \$100 for first start, \$200 for second start, and \$300 for third start. He said last year's race meet was up over \$100,000 in commissions at Cal Expo and expected another great meet this year. Rick Pickering, Cal Expo, said the California Authority of Racing Fairs (CARF) maintained five dirt racing surfacing in Northern California, and the fairs have had zero fatalities in the last two years. Cal Expo alone, between the harness meet and the thoroughbred meet, had started more than 10,000 horses on dirt surfaces in the last two years with no breakdowns. Chairman Winner said to clarify for the record, no breakdowns meant no catastrophic breakdowns. Mr. Pickering stated no catastrophic fatalities. He said Cal Expo was up seven percent in overall handle last summer in spite of the heat. He stated the heat protocols were greatly appreciated and believed it further contributed to the health and safety of the horses and the jockeys that were involved. He stated for 2019, instead of doing fireworks in the center of its racetrack on Friday and Saturday nights, Cal Expo would offer a light drone show, the first of its kind at a state fair. The light show of drones would occur on Friday, Saturday, and Sunday nights. He said the horse population should be happier as they would be very quiet drones. He stated the second annual Youth Mariachi competition would take place on July 20-21, 2019. Cal Expo hoped to introduce the sport of racing to a younger audience by offering the first of its kind e-sports gaming

tournament known as the California Bear Cup, and would also have interactive selfie exhibits, some of which included information on horse racing. Additionally, two professional soccer matches would take place on Cal Expo's property during the State Fair. He said Cal Expo expanded its website to include nine different languages. He stated Cal Expo hoped to bring in 700,000 to 800,000 people to the State Fair, and more than 36,000 to the track. Vice-Chairman Auerbach asked about the outstanding Advance Deposit Wagering (ADW) agreements. Mr. Swartzlander said since he had been with CARF for 20 years, Monarch did the contracts. He stated Monarch chose not to offer the service to CARF for 2019, so it was a learning experience. He said two ADW agreements were signed, and the remaining four were in discussions. He stated there were no issues. Vice-Chairman Auerbach **motioned** to approve the Cal Expo application to conduct a horse racing meeting commencing July 12, 2019 through July 28, 2019. Commissioner Ruano **seconded** the motioned, which was **unanimously carried**. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE CALIFORNIA EXPOSITION AND STATE FAIR.

Commissioner Maas **motioned** to approve the agreement between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California regarding entry conditions and drug substances or medication to be implemented by CARF at the California Exposition and State Fair. Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE ALAMEDA COUNTY FAIR.

Commissioner Maas **motioned** to approve the agreement between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California regarding entry conditions and drug substances or medication to be implemented by CARF at the Alameda County Fair.

Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING JULY 17, 2019 THROUGH SEPTEMBER 3, 2019, INCLUSIVE.

Joe Harper, Del Mar Thoroughbred Club (DMTC), stated that the 2018 meet was terrific. Catastrophic injuries were down significantly due to racetrack renovations and the institution of rules and regulations regarding training. The DMTC had probably the lowest number of injuries in its history. Josh Rubenstein, DMTC, said the DMTC was requesting essentially the same calendar as in 2018. He stated that 2018 was a very strong year for the DMTC, which would allow it to raise overnights in its Ship and Win Program. He said the DMTC had been working with industry stakeholders on reforms that prioritize safety. He stated there would be new medication rules in place that were similar to the International Federation of Horseracing Authorities standards, with a 14-day stand-down on corticosteroids and 48 hours for anti-inflammatories. There were also new protocols for racing and stable security. He said the wagering menu would

be basically the same as in 2018, with a traditional \$2 Pick 6 with 15 percent going to a jackpot pool. The mandatory payout of that pool would be on August 17, 2019. Tom Robbins, DMTC, stated that the DMTC's medication reforms would match what was currently in place at Santa Anita. He said the DMTC would continue with its program of keeping the number of horses stabled at the DMTC down to a manageable level. He stated that since 2017, the DMTC had been working with Dr. Rick Arthur, CHRB Equine Medical Director, on a program whereby regulatory veterinarians were present seven days a week at the racetrack to examine horses before the morning of race day. For the summer 2019 racing season, the DMTC would be adding two regulatory veterinarians to the program, who would specifically monitor morning training activity and be in radio contact with morning outriders. He said that new for the summer 2019 season would be the banning of the riding crop for striking purposes by exercise riders during morning training activity. The riding crop could still be used but not to strike the horses in the morning. Also, there would be increased out-of-competition testing. Additional random testing would be conducted on horses training in the morning to ensure that trainers complied with the new 48-hour nonsteroidal anti-inflammatory drug rule. He stated that signs would be posted around the backstretch advising workers to call the phone number on the sign to report any suspicious activity, and security would respond immediately. He said that the DMTC would be creating a new Stakeholder Advisory Committee consisting of management, trainers, owners, and jockeys. The committee would meet periodically to discuss any matters of concern. He stated that the main track and the turf course would be managed by Dennis Moore and Leif Dickinson, and Dr. Mick Peterson would provide testing for both surfaces. The high-usage area of the turf course had been re-sodded since the fall 2018 meet, and the DMTC looked forward to having both surfaces in optimum condition for the summer 2019 meet. He said the DMTC may run fewer races on certain days compared to

2-18 Proceedings of the Regular Meeting of May 23, 2019

corresponding days in 2018. Chairman Winner asked if use of the riding crop in the afternoon would be consistent with the rules recently implemented by the Board. Mr. Robbins said yes. David Jerkins, DMTC, stated there would be 40 stakes races in 36 days for the summer 2019 meet. On Pacific Classic Day, the DMTC's premier day, five stakes races would be offered, including the Green Flash Stakes, which would be upgraded to Grade III. He said there would be a purse increase across the board on all levels, which would benefit trainers and owners. He stated that the DMTC would continue to offer the popular horsemen perks instituted in 2017 involving food and beverage. Finally, a Horsemen Welcome Back Party would be held on the second day of the meet. Craig Dato, DMTC, said the DMTC had hired multiple public relations firms to assist with its messaging and the strategic elements of the communication plan. The DMTC was also setting up meetings with news outlets in San Diego to share the DMTC's story regarding safety. He stated that social media would play a significant role in getting the DMTC's story out to the community. He said the DMTC would continue with its two-part strategy of providing value to its regular customers and attracting new visitors. Commissioner Ruano **motioned** to approve the DMTC application to conduct a horse racing meeting commencing July 17, 2019 through September 3, 2019. Commissioner Mass **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE DEL MAR THOROUGHBRED CLUB (DMTC) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE DMTC AT THE DEL MAR RACETRACK.

Commissioner Maas **motioned** to approve the agreement between the Del Mar Thoroughbred Club (DMTC) and the Thoroughbred Owners of California regarding entry conditions and drug substances or medication to be implemented by DMTC at the Del Mar Racetrack. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano. Nay: None. Motion carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1844, AUTHORIZED MEDICATION, AND PROPOSED AMENDMENT TO CHRB RULE 1866.1, PRESENCE OF CLENBUTEROL IN QUARTER HORSES, TO PROHIBIT THE PRESENCE OF CLENBUTROL IN A HORSE TEST SAMPLE AND TO CHANGE THE TITLE OF CHRB RULE 1866.1 TO PRESENCE OF CLENBUTROL IN HORSES.

The proposed amendment to Rule 1844, Authorized Medication, would deauthorize any detectable level of clenbuterol in an official urine test sample. The proposed amendment to Rule 1866.1, Presence of Clenbuterol in Quarter Horses, would strike the word “quarter” from the title and text, which would expand the regulation to include all horses. Robert Brodnik, CHRB Staff Counsel, stated that no public comments were received. Commissioner Alfieri **motioned** to approve the amendments to Rule 1844 and Rule 1866.1. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Solis, Maas, Alfieri, Ruano, Auerbach, Winner. Nay: None. Motion carried.

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MEETING ADJOURNED AT 12:48 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

JUNE 20, 2019
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE PROPOSED WITHDRAWAL
OF CHRB MEMBERSHIP IN THE
ASSOCIATION OF RACING COMMISSIONERS INTERNATIONAL (RCI)

Regular Board Meeting
June 20, 2019

BACKGROUND

The CHRB has been a member of The Association of Racing Commissioners International (RCI) since 1994. The current CHRB annual dues are \$27,500. RCI is composed of the governmental regulators of horse and greyhound racing, primarily in the United States. RCI is a not-for-profit non-governmental organization corporation with no direct regulatory authority. Its members individually possess regulatory authority within their jurisdictions and each jurisdiction solely determines at its discretion whether to adopt RCI recommendations on policies and rules. In California, RCI Model Rules regarding pari-mutuel wagering can be adopted "by reference" in statute or regulation. Other regulations must be approved through California administrative processes.

ANALYSIS

Heretofore as one of five of the largest jurisdictions within RCI California was automatically awarded a seat on the organization's Board of Directors. This year, however, the formula for determining those seats was changed from total dollars wagered to the number of racing events. Under the new formula, which placed an emphasis on dog racing, California lost its seat to West Virginia. Only by the nomination from a South Dakota commissioner was California elected to the RCI's board of directors.

As importantly, California is moving in a different direction as RCI in the area of medication regulation. California is moving to implement the more restrictive international standards of medication regulation which we believe is necessary for racing's long-term success. RCI leadership disagrees that racing has a medication problem and has consistently supported the continued use of Lasix in racing. Both are increasingly in conflict with the CHRB's recent actions and stated position on use of the medication. Any action taken by the Board relative to membership in RCI will not impact its ability to adopt model rules promulgated by that organization when the Board believes they are in the best interest of California and the California racing industry.

RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE WATCHANDWAGER.COM, LLC IN THE
AMOUNT OF \$4,000 TO FIVE BENEFICIARIES

Regular Board Meeting
June 20, 2019

ISSUE

WatchandWager.com, LLC (Watch and Wager) is requesting approval to distribute race day charity proceeds generated at its 2018/2019 Race Meet (November 9, 2018 – April 27, 2019). Watch and Wager is proposing a total distribution of \$4,000. The proposed distribution represents 56.4% more than the statute requires. The organizations selected, and amount to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Operating Harness Racing at Cal Expo

June 3, 2019

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Watchandwager.com, LLC has allocated charity day proceeds from our 2018/2019 Race Meet (11/9/2018-4/27/2019) as set forth below for the California Horse Racing Board's consideration and approval:

Sacramento Horsemen's Association (a)	\$500.00
Standardbred Retirement Foundation (a)	\$1,700.00
CA Harness Horsemen's Benevolent Foundation (b)	\$300.00
Winners Foundation (c)	\$500.00
Disabled Jockeys Fund (d)	\$1,000.00
Total:	\$4,000.00
Equine Related Charities	\$4,000.00
Non-Equine Related Charities	<u>\$ 0.00</u>
 Percentage of Equine Related Charities	 100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information, please contact Kate Phariss or me.

Sincerely,


Benjamin Kenney
Watchandwager.com, LLC

Sacramento Horsemen's Association: non-profit regional equestrian club established in 1942, hosts horse shows and provides boarding. (contact phone: 916-470-1337; <http://www.sachorsemen.com>)

Standardbred Retirement Foundation: non-profit, tax exempt organization created to care for, rehabilitate, and secure lifetime adoption of non-competitive Standardbred racehorses, to ensure their proper care with follow-up, and combine the needs of youth at risk with these horses in therapeutic equine programs to benefit both. (contact phone: 732-446-4422; <http://www.adoptahorse.org>)

CA Harness Horsemen's Benevolent Foundation: non-profit established by the CA Harness Horsemen's Association to provide funding and support for backstretch employees in need. (contact phone: 916-263-7888)

Winners Foundation: established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through this group, which has aided and guided thousands of individuals. (Contact phone: 626-574-6498; <http://winnersfoundation.org>)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and others. It is a public charity that currently provides financial assistance to approximately 60 former jockeys who have suffered catastrophic on-track injuries. It recently was the winner of the National Turf Writers and Broadcasters prestigious Joe Palmer Award for its exceptional work. Nancy LaSala is the group's president. (Contact phone: 630-595-7660; www.pdjf.org/)

CHRB ANALYSIS

Watch and Wager Charity Day Proceeds (11/09/2018 -- 04/27/2019)

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Disabled Jockeys Fund	1,000.00	25%	minimum of 20%	d
2	Standardbred Retirement Foundation	1,700.00	43%		a
3	Sacramento Horsemen's Association	500.00	13%		a
4	Winners Foundation	500.00	13%	minimum of 5%	c
5	CA Harness Horsemen's Benevolent Foundation	300.00	8%	minimum of 5%	b
	Total Equine Related Charity	4,000.00	100%	minimum of 30%	a
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19556 (b))			
	c	5% to nonprofit organization to assist alcohol abuse victims (B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% should go to horse racing industry related nonprofit organizations.			
	Background information for each organization is attached.				

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED CHANGES
BY XPRESSBET, LLC (XPRESSBET), A LICENSED ADVANCE DEPOSIT WAGERING
(ADW) PROVIDER, TO ITS CURRENT PLAN OF OPERATION

Regular Board Meeting
June 20, 2019

ISSUE

Xpressbet, LLC (Xpressbet) is requesting the California Horse Racing Board's (Board) approval of its change in plan of operation pursuant to Board Rule 2072, Approval to Conduct Advance Deposit Wagering by an Out-Of-State applicant. Subsection (l) of Rule 2072, requires an Advance Deposit Wagering (ADW) provider to submit a request in writing, to be approved by the Board, before adopting any changes to its plan of operation or information contained in its license application subsequent to approval of the application. Xpressbet is seeking to locate a Xpressbet Center within a restaurant, Smokin' Barrels Burgers and BBQ.

BACKGROUND

Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing pari-mutuel wagering. Business and Professions Code 19590 states the Board shall adopt rules governing, permitting and regulating pari-mutuel wagering on horse races under the system known as the pari-mutuel method of wagering. Pari-mutuel wagering shall be conducted only by a person or persons licensed under this chapter to conduct a horse racing meeting or authorized by the Board to conduct ADW. Business and Professions Code section 19460 provides that all licenses are subject to all rules, regulations, and conditions prescribed by the Board. Business and Professions Code section 19604 states the Board may authorize any racing association, racing fair, betting system, or multijurisdictional wagering hub to conduct ADW in accordance with this section.

ANALYSIS

Xpressbet and Smokin' Barrels Burgers and BBQ have entered into an agreement for Xpressbet to locate an Xpressbet Center within Smokin' Barrels and BBQ's restaurant. The Xpressbet Center will provide the following services: assist perspective customers with opening an Xpressbet account; facilitate depositing and withdrawing funds into and out of their Xpressbet account; provide printed past performance programs and other handicapping materials (for purchase); showcase horseracing from around the globe on several HD televisions with a focus on California; and provide training to new Xpressbet customers on how to wager on horseracing via a smart phone, laptop or wireless tablet.

To date, the Board has not received a similar request from any licensed Advance Deposit Wagering provider. Given the uniqueness of this request, additional information may be needed to adequately determine the legality of this change in plan of operation.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from an Xpressbet representative.

April 30, 2019

Via Electronic Mail

Ms. Jacqueline Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Plan of Operations to include a new Xpressbet Center

Dear Jackie,

Xpressbet, LLC ("Xpressbet") proposes to make the following change to its current Plan of Operation previously approved by the CHRB.

Xpressbet and Smokin' Barrels Burgers and BBQ, a restaurant located at 1095 South Fortuna Boulevard Fortuna, CA 95540, have entered into an agreement for Xpressbet to locate a Xpressbet Center within Smokin' Barrels Burgers and BBQ's restaurant.

Xpressbet will offer the following services:

- Assist perspective customers with opening an Xpressbet account
- Facilitate depositing and withdrawing funds into and out of their Xpressbet account
- Provide printed past performance programs and other handicapping materials (for purchase)
- Showcase horseracing from around the globe on several HD televisions - with a focus on California
- Provide training to new Xpressbet customers on how to wager on horseracing via a smart phone, Laptop or a wireless tablet.

Xpressbet is excited to open this new Xpressbet Center. Our goal is to grow interest and wagering in horseracing in the state of California.

Please contact me with any questions or concerns regarding this change to Xpressbet's Plan of Operation.

Sincerely,



Gene Chabrier
Vice President of Regulatory Affairs
& Business Development

Cc: Scott Daruty
Eric Sindler

STAFF ANALYSIS
June 20, 2019

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE
SONOMA COUNTY FAIR AT SANTA ROSA AUGUST 1, 2019 THROUGH AUGUST 11,
2019.

The Sonoma County Fair (SCF) filed its application to conduct a horse racing meeting at Santa Rosa as follows:

- August 1 through August 11, 2019, or eight days, the same as in 2018. Live racing will commence August 1, 2019, and continue through August 11, 2019. The fair proposes to race a total of 68 races. The SCF is not participating in the California Authority of Racing Fairs combined horse racing meeting.
- The proposed race dates are within the approved dates allocated to the fair.

August 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Thursday through Sunday. Sixteen races per day Thursdays and Fridays; 18 races per day Saturdays and Sundays.
- Racing concurrently with the Del Mar Thoroughbred Club.
- Number of horses available determines the number of daily races programmed by breed.
- 2018 Race Meeting: Average number of runners per race (TB): 6.75
- 2018 Race Meeting: Average number of runners per race (Arabian): 5.00
- 2018 Race Meeting: Average number of runners per race (Quarter horse): 6.00
- 2018 Race Meeting: Average number of runners per race (Mules): 4.83
- First post 1:15 p.m. Thursdays and weekends; 2:15 p.m. Fridays.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will not be offered.
 - \$1 Trifecta and \$0.10 Superfecta when applicable.
 - \$0.50 Pick 4 on first 4 races and last 4 races each day.

- \$0.50 Pick 5 on last 5 races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
- \$1 Pick 6 on last 6 races each day, with 70% major pool paid or a carryover and 30% minor pool paid.
- \$0.50 Rolling Pentafecta (Super High 5) will be offered on all races with six wagering interests when the pari-mutuel pools open. 100% payout of the net pool to the winners who select the top five finishers in exact order. No consolation or minor pool; 100% carryover if no ticket has five finishers in exact order. Mandatory payout on the final eligible race on Closing Day, August 11, 2019.
- In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to (allow that entry to run for purse only) rescind or cancel wagering on that pool.
- The Advance Deposit Wagering (ADW) providers are Xpressbet, TVG Network, Twinspires, Bet America, and NYRAbets.

Pursuant to Business and Professions Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California*, except the horsemen's approval.

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba Twinspires and BetAmerica
- NYRAbets, LLC

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602 and with authorized locations throughout California.
- Requests Gary Greiner be appointed horse identifier pursuant to CHRB Rule 1525, Racing Official Appointed by the Board.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- Inspection of backstretch worker housing has been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application includes the following:

1. Horsemen's agreement [TOC].
2. Workers' Compensation [expires July 1, 2019].
3. Concessionaire approval [Extreme Food and Beverage].

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.



June 10, 2019

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Dear Rick,

Currently outstanding from the Sonoma County Fair's 2019 Racing License Application are the following items:

Thoroughbred Owners of California Horsemen's Agreement
Extreme Foods Concessionaire License Renewal

We expect the TOC agreement to be finalized following their full board meeting on Thursday, June 13th, and Extreme Foods is in the process of renewing their expired license.

Additionally, it has been noted that our Worker's Compensation Certificate does expire on July 1, 2019. This policy will automatically renew through the County of Sonoma and the renewal certificate will be forwarded upon our receipt.

Sincerely,

Becky Bartling
Chief Executive Officer

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law; and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Sonoma County Fair
1350 Bennett Valley Drive
Santa Rosa, CA 95404
(707) 545-4200, (707) 545-9342 Fax

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Rebecca Bartling, CEO, 707-545-4200, bbartling@sonomacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **August 1 – 11, 2019; July 31 & August 7 will be simulcast only days**

B. Actual dates racing will be held: **Thursday, August 1 – Sunday, August 4 & Thursday, August 8 – Sunday, August 11**

C. Dates racing will NOT be held: **July 31 and August 5, 6, 7**

D. Total number of racing days: **8**

E. Days of the week races will be held:

Thurs - Sun Tues - Sat Other (specify)

3. RACING PROGRAM

A. Total number of races: **68**

Should the availability of racing participants exceed our expectations, we request approval to adjust the number of races scheduled.

B. Number of races by breed:

CHRB CERTIFICATION

Application received: **5/3/19**
Reviewed: **MB**

Hearing date: **6/20/19**
Approved date:
License number:

<input type="text" value="58"/>	Thoroughbreds	<input type="text" value="2"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="4"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="4"/>	Mules

C. Number of races daily:

	Wed	Thur 8/1 & 8/8	Fri 8/2 & 8/9	Sat, 8/3 & 8/10	Sun 8/4 & 8/11
Thoroughbred	0	6	6	8	8
Other Breeds	0	2	2	1	1
Total	0	16	16	18	18

D. Total number of stakes races by breed:

<input type="text" value="2"/>	Thoroughbreds	<input type="text" value="2"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. See attached **Thoroughbred and Quarter Horse Stakes Information**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. See Attached

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **The Joseph T. Grace Handicap has been dropped due to lack of field size, reduction in race days, and the need to balance purse overpayment status.**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

Race Number	Thursday	Fridays	Weekends
Race # 1	1:15p.m.	2:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	2:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	3:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	3:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	4:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	4:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	5:15 p.m.	4:15 p.m.
Race # 8	4:45p.m.	5:45 p.m.	4:45 p.m.
Race # 9	5:15 p.m.	6:15 p.m.	5:15 p.m.
Race # 10			5:45 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

Section 3 RACING PROGRAM, Item E - 2017-2019 Sonoma County Fair Stakes Information

**2019 Thoroughbred Stakes
“Wine Country Stakes Series”**

Wine Country Stakes
Dropped
Note: fillies can run in Luther Burbank

Luther Burbank Handicap – 49th Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Fillies and Mares Three-year olds and Upward
One and 1/16 Miles (Turf)
Saturday, Aug 3

Robert Dupret Derby – 8th Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-year olds – One & 1/16 Miles (Turf)
Saturday, Aug 10

Joseph T. Grace Handicap
Dropped

Wine Country Debutante
Dropped

Jess Jackson Owner’s Hdcp
Dropped

Jess Jackson Juvenile Stakes
Dropped

**2018 Thoroughbred Stakes
“Wine Country Stakes Series”**

Wine Country Stakes
Dropped
Note: fillies can run in Luther Burbank

Luther Burbank Handicap – 48th Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Fillies and Mares Three-year olds and Upward
One and 1/16 Miles (Turf)
Saturday, Aug 4

Robert Dupret Derby – 7th Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-year olds – One & 1/16 Miles (Turf)
Sunday, Aug 5

Joseph T. Grace Handicap – 47th Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-year olds and Upward - One and 1/16 Miles (Turf)
Saturday, Aug 11

Wine Country Debutante
Dropped

Jess Jackson Owner’s Hdcp
Dropped

Jess Jackson Juvenile Stakes
Dropped

2017 Thoroughbred Stakes

Wine Country Stakes
Dropped
Note: fillies can run in Luther Burbank

Luther Burbank Handicap – 47th Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Fillies and Mares Three-year olds and Upward
One and 1/16 Miles (Turf)
Saturday, Aug 5

Robert Dupret Derby – 6th Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-year olds – One & 1/16 Miles (Turf)
Sunday, Aug 6

Joseph T. Grace Handicap – 46th Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-year olds and Upward - One and 1/16 Miles (Turf)
Saturday, Aug 12

Wine Country Debutante
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Two-year old Fillies – Six Furlongs
Sunday, Aug 13

Jess Jackson Owner’s Hdcp
Dropped

Jess Jackson Juvenile Stakes – 50th Running
(formerly the Cavonnier)
\$50,000 Guaranteed
(Plus up to \$15,000 to Cal-Breds)
Two-year olds - Six Furlongs
Sunday, Aug 13

2019 Quarter Horse Stakes

PCQHRA Handicap
\$8,000 Guaranteed
Three Year Olds and Upward – 350 Yards
TBD

Wine Country Derby
\$8,000 Guaranteed
Three Year Olds & Upward – 440 Yards
TBD

2018 Quarter Horse Stakes

PCQHRA Handicap
\$8,000 Guaranteed
Three Year Olds and Upward – 350 Yards
Did not fill

Wine Country Derby
\$8,000 Guaranteed
Three Year Olds & Upward – 440 Yards
Friday, August 10, 2018

2017 Quarter Horse Stakes

PCQHRA Handicap
\$8,000 Guaranteed
Three Year Olds and Upward – 350 Yards
Thursday, Aug 10

Wine Country Derby
\$8,000 Guaranteed
Three Year Olds & Upward – 440 Yards
Friday, Aug 11

4. FAIR ASSOCIATION

A. Names of the fair directors:

Doug Beretta	Lisa Carreño	Cindy Crane	Tony Giraldi
Marilyn Herzog	Kevin Howe	Rob Muelrath	Ross Liscum
Teejay Lowe	Max Mickelsen	Marcia Mickelson	Annette O'Kelley
Bev Palm	Wanda Tapia	Lisa Wittke Schaffner	

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

Max Mickelsen	Teejay Lowe	Ross Liscum	Kevin Howe
Lisa Wittke Schaffner			

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

Rebecca Bartling – CEO
Robert Moreno - Racing Secretary
Stacey Lapham - Director of Racing
Doug Gooby - Mutuel Manager
Michelle Mustard - Satellite Supervisor
Juan Meza - Race Track Superintendent
Michael Wrona - Track Announcer

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Rebecca Bartling, CEO
1350 Bennett Valley Road
Santa Rosa, CA 95404
bbartling@sonomacountyfair.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be

deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2018	\$21,653,774	26,157	8 days
2017	\$28,822,331	32,779	11 days
2016	\$30,083,973	30,876	11 days
2015	\$32,942,658	30,670	11 days
2014	\$31,319,378	32,793	13 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution: 2019 (8 days) vs. 2018 (8 days)

1. All races other than stakes:

Current meet estimate: \$877,228
 Prior meet actual: \$923,398

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$109,653
 Prior meet actual: \$115,425

2. Overnight stakes:

Current meet estimate: \$114,000
 Prior meet actual: \$168,495

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: \$14,250
 Prior meet actual: \$21,062

3. Non-overnight stakes:

Current meet estimate: \$-0-
 Prior meet actual: \$-0-

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$-0-
 Prior meet actual: \$-0-

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 991,228
 Prior meet actual: \$1,091,893

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 95,180
 Prior meet actual: \$100,189

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 2,683	\$ 2,825
TOC	\$ 5,367	\$ 5,649
NTRA	\$ 1,076	\$ 1,663
PCQHRA	\$ 328	\$ 345
CWAR	\$ -0-	\$ -0-
ARAC	\$ 1,348	\$ 1,419
AMRA	\$ 1,582	\$ 1,665
CHBPAPEN	\$ 8,050	\$ 8,474
CTHF	<u>\$ 8,050</u>	<u>\$ 8,474</u>
Total	\$28,484	\$30,514

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,114,891
 Prior meet actual: \$1,222,594

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$ 139,361
 Prior meet actual: \$ 152,824

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 791,572
 Prior meet actual: \$ 868,042

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$ 98,947
 Prior meet actual: \$ 108,505

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 323,318
 Prior meet actual: \$ 354,552

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$ 40,415
 Prior meet actual: \$ 44,319

G. Bank and account number for the Paymaster of Purses' purse account:

Exchange Bank, Sonoma County Fair: Account number on file with CHRB

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Kevin Riley, CPA of Rossmann, MacDonald & Benetti, 3838 Watt Avenue, Suite E-500, Sacramento, CA 95821, kevin@rmb-cpa.com, (916) 488-8360

form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
800
- B. Minimum number of stalls believed necessary for the meeting:
760
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
1,500
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
Golden Gate Fields – 1,500
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
2019 Summer Northern California Stabling Agreement (On File).

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse:

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **See Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **See Attached**

SECTION 9A (1) ATTACHMENT

EQUINE EMERGENCY SERVICES

- A. Dr. Forest Franklin - 916-585-2050 (onsite during racing hours)
- Dr. Sara Sporer - 510-220-4860 (at GGF during training hours/at Santa Rosa during racing hours)
- Dr. Ashton Cloninger * – 415-264-1630 (onsite during training hours & Lasix hours)
- TBD* – Second Lasix veterinarian (onsite during Lasix hours)

* Race day Lasix veterinarian

<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
Dr. Ken Allison	650-642-4636
Dr. Steve Boyer	510-867-5872
Dr. Kim Khulman	510-867-5871
Dr. Jerry Parker	650-642-5610
Dr. Don Smith	510-812-9275
Dr. Kendall Cannon	415-218-3231
Dr. Steve Matuszak	415-860-3001

The second group of veterinarians listed above use a rotating schedule to cover Sonoma County Fair and Golden Gate Fields during training hours. TBD – Rotating schedule amongst above listing.

Horses wishing to work to become eligible to be removed from Veterinarian’s List must make appointment through the Test Barn secretary.

10. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Race #1	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 4) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (Pentafecta)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1979 CHRB 1979.1 ARCI 004-105 T (4) (b) Method 2 Provision (C)*	
Race #2	\$2DD \$1E \$1PK3 \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (Pentafecta)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1979 CHRB 1979.1 ARCI 004-105 T (4) (b) Method 2 Provision (C)*	
Race #3	\$2DD \$1E \$1PK3 \$1 PNP (Pick 6 when 8 races) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (Pentafecta)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1979 CHRB 1979.1 ARCI 004-105 T (4) (b) Method 2 Provision (C)*	
Race #4	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 5 when 8 races) \$1 PNP (Pick 6 when 9 races) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (Pentafecta)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1 ARCI 004-105 T (4) (b) Method 2 Provision (C)*	
Race #5	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 4 when 8 races)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9	

	\$.50 PNP (Pick 5 when 9 races)	CHRB 1976.9
	\$1 PNP (Pick 6 when 10 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (Pentafecta)	ARCI 004-105 T (4) (b) Method 2 Provision (C)*
Race #6	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 8 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 9 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 10 races)	CHRB 1976.9
	\$1 PNP (Pick 6 when 11 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (Pentafecta)	ARCI 004-105 T (4) (b) Method 2 Provision (C)*
Race #7	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 9 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 10 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 11 races)	CHRB 1976.9
	\$1 PNP (Pick 6 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (Pentafecta)	ARCI 004-105 T (4) (b) Method 2 Provision (C)*
Race #8	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 10 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 11 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (Pentafecta)	ARCI 004-105 T (4) (b) Method 2 Provision (C)*
Race #9	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 11 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (Pentafecta)	ARCI 004-105 T (4) (b) Method 2 Provision (C)*
Race #10	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (Pentafecta)	ARCI 004-105 T (4) (b) Method 2 Provision (C)*
Race #11	2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (Pentafecta)	ARCI 004-105 T (4) (b) Method 2 Provision (C)*
Race #12	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (Pentafecta)	ARCI 004-105 T (4) (b) Method 2 Provision (C)*

* For closing day final race (mandatory payout): ARCI 004-105 T (4) (g) Method (7) (C)

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. N/A

D Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 11, 2019 (Closing Day of Sonoma County Fair)**

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to (allow that entry to run for purse only) rescind or cancel wagering on that pool.

E List any options requested with regard to exotic wagering:

\$1 TRI and \$.10 SF when applicable

\$.50 PNP4 on the first four races and the last four races each day

\$.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$1 PNP6 on the last six races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.

\$.50 Rolling Pentafectas (Super High 5) will be offered on all races with six (6) wagering interests when the pari-mutuel pools open. 100% payout of the net pool to the winners who select the top five finishers in exact order. No consolation or minor pool; 100% carryover if no ticket has five finishers in exact order. Mandatory payout on the final eligible race on Closing Day, August 11, 2019.

F Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

G Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Amtote (Dave Calendine)

Expiration Date: October 30, 2020

Equipment description on file with Board

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

Race #1 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;

Pick 3, 4, Trifectas, Superfectas & Pentafectas – 25.02%

Race #2 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;

Pick 3, Trifectas, Superfectas & Pentafectas – 25.02%

Race #3 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;

Pick 3, 6, Trifectas, Superfectas & Pentafectas – 25.02%

Race #4 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;

Pick 3, 5, 6, Trifectas, Superfectas & Pentafectas – 25.02%

Race #5 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;

- Race #6 Pick 3, 4, 5, 6, Trifectas, Superfectas & Pentafectas – 25.02%
Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;
- Race #7 Pick 3, 4, 5, 6, Trifectas, Superfectas & Pentafectas – 25.02%
Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;
- Race #8 Pick 3, 4, 5, 6, Trifectas, Superfectas & Pentafectas – 25.02%
Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;
- Race #9 Pick 3, 4, 5, Trifectas, Superfectas & Pentafectas – 25.02%
Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;
- Race #10 Pick 3, 4, Trifectas, Superfectas & Pentafectas – 25.02%
Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;
- Race #11 Pick 3, Trifectas, Superfectas & Pentafectas – 25.02%
Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;
- Race #12 Trifectas, Superfectas & Pentafectas – 25.02%
Win, Place, Show – 16.77%; Exacta – 24.02%;

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Xpress Bet, T.V.G. (Television Games Network), Twin Spires, NYRA Bets, Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File**
- C. Have the contract/agreements been approved by the respective horsemen’s groups?
Yes No

If yes, attach a copy of the approval. – **Attached**
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NCOTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
The NCOTWINC agreement dated May 17, 2013 is still in effect and on file with Board.
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

<u>NORTHERN CALIFORNIA</u>	<u>SOUTHERN CALIFORNIA</u>
Alameda County Fair, Pleasanton	Cabazon Fantasy Springs Casino, Indio
Big Fresno Fair, Fresno	Derby Club, Seaside Park, Ventura



June 6, 2019

Ms. Becky Bartling, CEO
 Sonoma County Fair
 1350 Bennett Valley Road
 Santa Rosa, CA 95404

RE: 2019 Sonoma County Fair (Santa Rosa) Race Meet ADW Approvals

Dear Becky,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Sonoma County Fair (SCF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and SCF, SCF shall be permitted to transmit race signals to California-licensed ADW providers TVG, Xpressbet, TwinSpires, NYRA Bets, and Bet America to accept wagers on SCF and other Thoroughbred races during the 2019 SCF race meeting commencing August 1, 2019 and continuing through August 11, 2019, as follows:

1. **California wagering on SCF races:**
 - a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - c. TVG, XpressBet, TwinSpires, NYRA, and BetAmerica may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - d. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - c. TVG, XpressBet, TwinSpires, NYRA, and BetAmerica may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - d. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER

PRESIDENT & CEO: GREG AVIOLI

EXECUTIVE DIRECTOR: MARY FORNEY

DIRECTOR OF RACING & NO. CALIF. OPERATIONS: ELIZABETH MOREY

ADMINISTRATIVE ASSISTANT: ELSA PERON

3. **Out-of-state wagering on SCF races:**

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, and Bet America.

Very truly yours,

THOROUGHBRED OWNERS OF CALIFORNIA



Greg Avioli
President & CEO

cc: Stacey Lapham

June 6, 2019

Rebecca Bartling, CEO
Sonoma County Fair & Exposition, Inc.
1350 Bennett Valley Road
Santa Rosa, California 95404

RE: 2019 Sonoma County Fair & Exposition, Inc. ADW Approvals

Dear Becky,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the Sonoma County Fair & Exposition, Inc. commencing on August 1, 2019 and continuing through August 11, 2019, California-licensed ADW providers TVG, Xpressbet, TwinSpires, NYRA Bets, and Bet America may accept wagers from California residents on races conducted at Sonoma County Fair and races conducted at all tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated June 6, 2019.

Please feel free to contact me if you have any questions.

Sincerely,



Cory Soltad, Chairperson
Arabian Racing Association of California

June 7, 2019

Rebecca Bartling, CEO
Sonoma County Fair & Exposition, Inc.
1350 Bennett Valley Road
Santa Rosa, California 95404

RE: 2019 Sonoma County Fair & Exposition, Inc. Interstate Simulcast Approvals

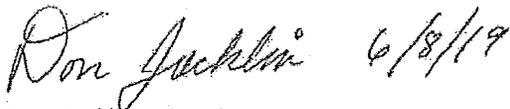
Dear Becky,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the Sonoma County Fair & Exposition, Inc. commencing on August 1, 2019 and continuing through August 11, 2019, California-licensed ADW providers TVG, Xpressbet, TwinSpires, NYRA Bets, and Bet America may accept wagers from California residents on races conducted at Sonoma County Fair and races conducted at all tracks located outside of California.

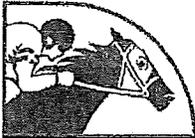
It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated June 6, 2019.

Please feel free to contact me if you have any questions.

Sincerely,



Don Jacklin, President
American Mule Racing Association



Pacific Coast Quarter Horse Racing Association

June 6, 2019

Rebecca Bartling, CEO
Sonoma County Fair & Exposition, Inc.
1350 Bennett Valley Road
Santa Rosa, California 95404

RE: 2019 Sonoma County Fair & Exposition, Inc. Interstate Simulcast Approvals

Dear Becky,

This is to confirm that Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the Sonoma County Fair & Exposition, Inc. commencing on August 1, 2019 and continuing through August 11, 2019, California-licensed ADW providers TVG, Xpressbet, TwinSpires, NYRA Bets, and Bet America may accept wagers from California residents on races conducted at Sonoma County Fair and races conducted at all tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated June 6, 2019.

Please feel free to contact me if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read 'Dino Perez'. The signature is fluid and cursive, with a large initial 'D' and 'P'.

Dino Perez, Business Manager
Pacific Quarter Horse Racing Association

California State Fair & Exposition, Sacramento
 Club One, Fresno
 Golden Gate Fields, Albany
 Jockey Club at San Mateo, San Mateo
 Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa

Fairplex Park, Pomona
 Hollywood Park Casino, Inglewood
 Los Alamitos Race Course, Los Alamitos
 Pechanga Resort & Casino, Temecula
 Santa Anita Park, Arcadia
 Sports Center, Nat'l Orange Show, San Bernardino
 Sports Pavilion at The Farmers Fair, Lake Perris
 Sports Pavilion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

**2019 Sonoma County Fair Meet
 Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
AmWest Accounts	Dubuque Greyhound	Maryland Jockey Club	SaratogaBets
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	The Meadows	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	The Meadows (ADW)-PAReg	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd.	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets	Cedar Downs OTB	Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Madi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hipica in Turf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Connecticut OTB	Horsemen's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/EvansvilleOTB	Player Mgmt Group	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs & OTB	Will Rogers Downs

Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Willimantic, Sanford OTB	Kentucky Downs	Potawatomi Casino/ OTB	XpressBet
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Younkers Raceway
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers ADW
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Youbet Group 1
Paragon Casino	Lewiston OTB's	Raceway Park	Zia Park
Ho-Chunk Casino & Racebook	Lien Games	Racing2Day LLC	123Gaming
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl.(Stan James)	
Oneida Bingo & Casino	Howard Johnson's OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	
Millennium Racing	BetAmerica and Offtrackbetting	Rillito Park	Separate Pool Locations
Royal Beach Casino	Lone Star	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Acct Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Running Acres Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	Tallsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
See Listing Above

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
58 Flat Sports Bar & Casino, Bonita; Commerce Casino, Commerce; Firehouse Bar & Grill, Bakersfield; Lake Elsinore Hotel & Casino, Lake Elsinore; OC Tavern & Sports Bar, San Clemente; Ocean's 11, Oceanside; Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Mission Viejo; Santa Clarita Lanes, Santa Clarita; Striders, San Diego; Tilted Kilt, Thousand Oaks

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

<u>Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and/or Stakes Races</u>
Arizona Downs	8/1 – 8/11	Selected Feature and/or stakes races
Arlington Park	8/1 – 8/11	Selected Feature and/or stakes races
Canterbury Park	8/1 – 8/11	Selected Feature and/or stakes races
Charles Town	8/1 – 8/11	Selected Feature and/or stakes races
Delaware	8/1 – 8/11	Selected Feature and/or stakes races

Ellis Park	8/1 – 8/11	Selected Feature and/or stakes races
Emerald Downs	8/1 – 8/11	Selected Feature and/or stakes races
Evangeline Downs	8/1 – 8/11	Selected Feature and/or stakes races
Fingerlakes	8/1 – 8/11	Selected Feature and/or stakes races
Gulfstream	8/1 – 8/11	Selected Feature and/or stakes races
Indiana Downs	8/1 – 8/11	Selected Feature and/or stakes races
Louisiana Downs	8/1 – 8/11	Selected Feature and/or stakes races
Monmouth	8/1 – 8/11	Selected Feature and/or stakes races
Mountaineer	8/1 – 8/11	Selected Feature and/or stakes races
Penn National	8/1 – 8/11	Selected Feature and/or stakes races
Philadelphia Park/Parx	8/1 – 8/11	Selected Feature and/or stakes races
Prairie Meadows	8/1 – 8/11	Selected Feature and/or stakes races
Presque Isle Downs	8/1 – 8/11	Selected Feature and/or stakes races
Ruidoso	8/1 – 8/11	Selected Feature and/or stakes races
Saratoga	8/1 – 8/11	Selected Feature and/or stakes races
Suffolk Downs	8/1 – 8/11	Selected Feature and/or stakes races
Thistledown	8/1 – 8/11	Selected Feature and/or stakes races
Assiniboia	8/1 – 8/11	Selected Feature and/or stakes races
Australian Racing	8/1 – 8/11	Selected Feature and/or stakes races
Fort Erie	8/1 – 8/11	Selected Feature and/or stakes races
Hastings	8/1 – 8/11	Selected Feature and/or stakes races
South American Racing	8/1 – 8/11	Selected Feature and/or stakes races
United Kingdom	8/1 – 8/11	Selected Feature and/or stakes races
Woodbine	8/1 – 8/11	Selected Feature and/or stakes races

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:
N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

N/A

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s) – **Sarah Sporer, D.V.M.**
 - Clerk of Scales – **Kenneth Sjoldal**
 - Clerk of the Course – **Tina Walker-Bryant**
 - Assistant Clerk of Course – **Lisa Jones**
 - Film Specialist – **Danny Winick**
 - Horse Identifier – **Gary Greiner**
 - Horseshoe Inspector – **Agustin Rayas**
 - Paddock Judge – **Danny Winick**
 - Patrol Judges – **Myra Truitt & Morgann Schlessler**
 - Placing Judges – **Steve Martinelli & Jon Leon**
 - Starter – **Todd Stephens**
 - Timer – **Melody Truitt**
- B. Management officials in the racing department:
- Director of Racing – **Stacey Lapham**
 - Racing Secretary – **Robert Moreno**
 - Assistant Racing Secretary – **Linda Anderson**
 - Paymaster of Purses – **Victoria Layne**
 - Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Niccoli Reporting; 619 Pilgrim Drive, Foster City, CA 94404; pdqcsr@comcast.net; (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation USA – Bill O'Brien (Expires 8/30/19)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- Pegasus Communication, Inc. – Jim Porep (Expires 4/30/2020)**
Equipment description on file with Board: 5 Cameras – (3 Towers, 1 Pan (rooftop), 1-Hand Held used for Finish Line and Paddock)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
- Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack**

with infrared sensors at each 1/16th pole and both chutes. Pegasus Communication, Inc. – Jim Porep - Contract Expires: 4/30/2020

14. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Rebecca Bartling, CEO (707) 545-4218

Lt. Rick Kohut, Santa Rosa Police Department, currently in overall charge of sworn officers at the Fair.

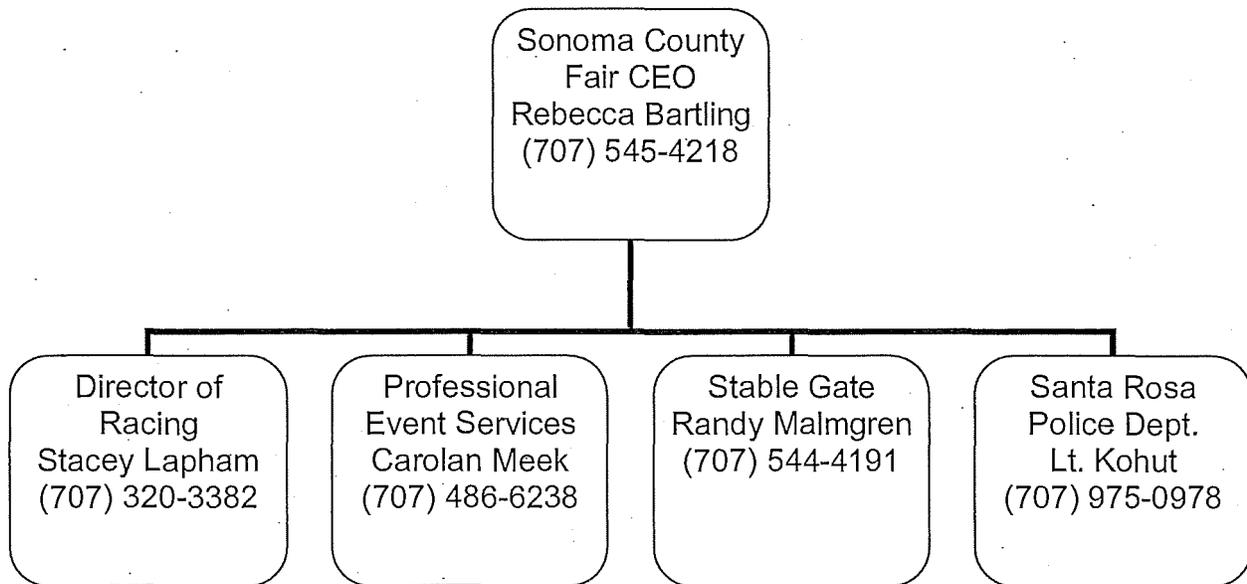
Police are dispatched from (707) 528-5222. Each day a different Sergeant will be on grounds for supervision of patrolmen. A list of these supervisors will be made available to CHRB Staff when supplied by SRPD. They are all dispatched from the same (707) 528-5222 number.

Private security supplied by Professional Events Services:

Carolan Meek: (707) 463-1733 office; (707) 486-6238 cell

Randy Malmgren, Stable Manager: (707) 544-4191

Stable Gate: (707) 544-4191



B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

7 uniform guards, 8 gatemen – 1 night patrolman – 2 uniform police.

Barn Area: 5 Security Officers, 2 Security Rovers, 3 Licensed Gatemen based on 8/hour shifts.

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. N/A

2. Detention Stalls:
No Graded Stakes

- A. Attach a plan for use of graded stakes or overnight races.
N/A
- B. Number of security guards in the detention stall area during a 24-hour period.
N/A
- C. Describe number and location of surveillance cameras in detention stall area.
N/A

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
Will comply with and follow CHRB directives.
- B. Plan for enhanced surveillance for trainers with high-test results.
Will comply with and follow CHRB directives.
- C. Plan for detention stalls for repeat offenders.
Will comply with and follow CHRB directives.
- D. Number of security personnel assigned to the TCO2 program.
As directed by CHRB

C. Describe the electronic security system.

- 1. Location and number of video surveillance cameras for the detention stall and stable gate.
Pegasus Communications provides and installs mobile surveillance equipment.
4 surveillance cameras monitoring this area

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:
American Medical Response/Sonoma Life Support; 930 South A Street, Santa Rosa, CA 95404;
(707) 576-1365

- 1. Attach a certification from the Ambulance Company(s) listed in 15 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
Golden Gate Fields
Turf Rescue LLC
4470 Hillsborough Drive
Castro Valley, CA 94546
(510) 581-8470

- 1. Attach a certification from the Ambulance Company(s) listed in 15 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached. The ambulance at GGF has 1 EMT and one Paramedic.

- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Joan Kazmar (707) 490-8918
- E. Name, address and emergency telephone number of the hospital to be used for admittance and

SECTION 15.A.1 ATTACHMENT

Certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See Letter dated April 25, 2019 from American Medical Response



Dean B. Anderson, AMR Regional Director
Sonoma County

April 25, 2019

Becky Bartling, CEO
Sonoma County Fairgrounds
1350 Bennett Valley Road
Santa Rosa, CA 95404

Ms. Bartling,

Pursuant to your request for information about certification and licensure of our personnel, this letter is submitted as my certification that each of our Emergency Medical Technicians and Paramedics maintain the licenses required by the State of California and the Coastal Valleys EMS Agency.

All of our paramedics maintain current licensure by the State of California EMS Authority and are accredited by the Coastal Valleys EMS Agency to practice as paramedics in Sonoma County. Emergency Medical Technicians are certified by the Coastal Valleys EMS Agency or another local EMS agency whose certification is recognized statewide as prescribed in California Health & Safety Code, Division 2.5.

A sample of the state license for paramedics is attached. Photocopies of each paramedic's license are maintained in our files.

Please don't hesitate to contact me if further information is needed.

Sincerely,

A handwritten signature in black ink, appearing to be 'D. Anderson', written over a horizontal line.

Dean B. Anderson
AMR Regional Director

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 7, 2018

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2019

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

SECTION 15.C ATTACHMENT

Describe the on-track first aid facility, including equipment and medical staffing:

Sonoma County Fair Emergency Care and Medical Facilities

Sonoma County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

On-Track Staffing

One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

Jockey Quarters Staffing

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and personnel requiring non-emergency medical care.

Grandstands First Aid Staffing

As a back-up to any medical emergencies that happen on the track or backstretch or to any fans; the Fair also has a fully equipped First Aid Station located close to the Racing Grandstand at the northwest corner of the Hall of Flowers building. This location is staffed daily from 10:30 a.m. to 11:00 p.m. The contractor must provide at a minimum one person certified in ALS (Advanced Life Support) and one EMT; and there is an ambulance also located near this First Aid Station that is equipped with the same equipment as listed on the Ambulance inventory included in this application for the track ambulance.

Location of nearest Emergency Room Facility (Hospital) and Fire Department

Both the Emergency Room at Santa Rosa Memorial Hospital and Santa Rosa Fire Department are located less than 3 miles away from the Fairgrounds.

Physical Resources

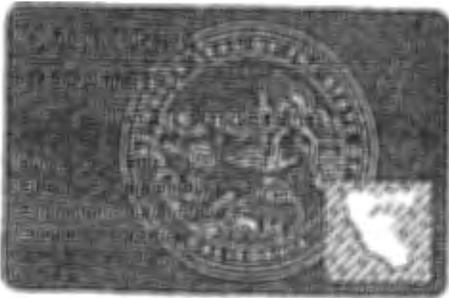
Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

Ambulance

See the following List of Supplies and Equipment

Equine First Aid

Sonoma County Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.



Signature

Certificate Holder: The law requires that you notify the EMS Authority of a name or address change within 30 days.

Employers: Always verify current license status through the California EMT Registry.



California Emergency Medical Services Authority
10901 Gold Center Drive, 4th Floor
Rancho Cordova, CA 95670-6073
California EMT Registry: www.ccentralregistry.ca.gov

Sonoma Life Support Vehicle Inventory ALS Ambulance-Sprinter (Net Type)

INVENTORY LIST READS FROM LEFT TO
RIGHT IN EACH CABINET

Cabinet 1

1 ea Box of Gloves; S, M, L
Hand Sanitizer

Cabinet 2

1 Stack Pillow Cases **
1 Stack Paper Sheets **
1 Pillow **

Cabinet 3

6 Macro (10 drop) Sets
6 Normal Saline 1000cc
1 Secondary Medication Set
1 Dial-A-Flo Regulator
1 Dopamine 400mg Premix
3 Micro (60 drop) Sets
1 Normal Saline 100cc
1 Intubation Kit

Cabinet 4

2 stacks Paper towels

Cabinet 5

Temperature monitor top shelf

Drug Box

1 Adenosine 6mg
1 Adenosine 12mg
4 Albuterol 2.5mg
2 Atrovent 0.5mg
1 Atropine 8mg
2 Benadryl 50mg
2 Epi. 1:1,000 1mg
1 Epi. 1:1,000 30mg
6 Zofran tablets
6 Zofran vials or 1 MDV

Top Shelf

1 Bottle Peroxide
1 Adult EZ IO
1 Pedi EZ IO
1 Lg Adult EZ IO
1 Glucagon 1mg
1 Oral Glucose 24Gm
10 Amiodarone 150mg
1 Aspirin Bottle 81mg
1 bottle Nitro. Tab 0.4mg
Temporal Thermometer

Middle Shelf

6 Epi. 1:10,000 1mg
2 Atropine 1mg
3 Narcan 2mg
1 Dextrose 50%
1 Calcium Chloride 1Gm
1 Sodium Bicarb Pre-load or
Vial w 60 cc syringe
2 D10% setups

Bottom Shelf

4 14g Angiocaths
8 20g Angiocaths
4 22g Angiocaths
4 24g Angiocaths
4 1cc Syringes
8 3cc Syringes with twinpak
4 5cc Syringes with twinpak
4 10cc Syringes with twinpak
8 IV Start Packs
8 18g Angiocaths
4 16g Angiocaths
25 Lancets
1 box Glucometer Strips
1 Sharp Shuttle
2 rolls Transpore tape
20 Alcohol Preps
5 Prep Razors
8 Twin Pak Tips
4 Needles, 21g
4 Filter Straws
4 MAD nasal spray tips
10 Saline Locks
10 Normal Saline 10cc

Cabinet 6

Top Shelf

4 ABT Masks, Adult
2 Filterline AD/NEO
6 Smart CAPNO AD/INT
nasal cannula
4 Non Rebreathers, Adult
4 Nasal Cannulas, Adult

Middle Shelf

4 Occlusive Dressings
2 Rolls 2" cloth tape **
1 Roll 1" paper tape
4 Nose Clips
1 pr. Trauma Shears
4 Triangular Bandages
4 Cold Packs
4 Hot Packs

Bottom Shelf

1 Pack 4"x4" non-sterile
1 Pack 2"x2" non-sterile
6 Roller Gauze 4" **
12 Abdominal pads
5"x9" or 8"x10" **
12 Sterile 4"x4" **
1 Box Band Aids

Cabinet 7

2 Sml adult Flow-Safe CPAP
4 Hand Held Nebulizers with 2
Albuterol/1 Atrovent
1 Cricothyrotomy Kit
1 Thoracentesis Kit
1 BVM Nebulizer with 2
Albuterol, 1 Atrovent
1 Adult BVM

Cabinet 8

1 Narcs box
1 Knox box key

Cabinet 9

Empty

Cabinet 10

2 Suction Tubing
2 Hard Suction Tips
2ea Suction Canisters & Lids
1 Disinfectant Spray
1 Glass Cleaner
1 tub Germicidal Wipes
4 Back Board Straps
4 Towels **

Cabinet 11

2 CHP Blankets
1 ECG Cable with 12 lead
attachments
2 Defibrillator Patches adult
2 Dispo PulseOx Ad/Neo
1 Reusable sensor & base cable
5 EKG Electrodes (quantity
equal to 50), Adult
2 EKG Paper
1 BP Cuff, Thigh
2 Urinals **
2 Bedpans **
2 Burn Sheets **
1 SAM Pelvic Binder Splint

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter (Net Type)

Cabinet 12

4 Head Beds **
 4 C-Collars Adult **
 2 C-Collars Pedi.
 3 Soft C-Collars

Cabinet 13

3 Personal Protection Kits
 3 ea N95 Masks S,M,L
 3 ea P100 Masks S,M/L, XL
 2 sets Eye Protection
 2 sets Ear protection

Cabinet 14

5 Surgical masks
 2 Spit socks
 2 sets Disposable Restraints **
 5 Large Bio Bags
 5 Yellow Hazard Bags
 5 Patient Belonging Bags

Action Area

1 Suction Canister with Lid, Tubing & Hard
 Suction Tip
 1 Garbage can

Main Oxygen storage

1 "H" cylinder
 1 spare "D" cylinder
 1 spare "D" cylinder with O2 Regulator
 attached

Bulkhead Wall (behind seat)

FASTER radio

Bulkhead area**On Top**

1 Portable Suction w/ Lid **
 1 Suction Tubing **
 1 Hard Suction Tip **

Bulkhead Cabinet**Top Shelf**

1 Blood Draw Kit
 2 DOJ Blood Alcohol Kits
 1 Kaiser Blood Tube Kit
 4 Sterile Water **
 1 OB Kit **
 2 Stuffed Animals
 1 Pedi-mate (car Seat)
 1 Pediatric Warmer

1 Pediatric Bag

Bottom Shelf

8 blankets
 1 Fine tip sharpie
 Cabinet Seals
 1 MCI Kit
 1 ream Paper
 2 Emergency Food rations

Stair Chair area

2ea Cardboard Splints,
 S, M, L **
 1 Stair-chair

Right Rear Door

2 Nasal Cannulas
 2 Non Rebreathers
 1 Hand Held Nebulizer (with 2
 Albuterol/1 Atrovent)
 1 Adult ABT mask

Left Rear Door

4 Sheets
 4 Pillow Cases

Left Rear Corner

1 Broom

Head of Bench Seat

Sharps Container

Behind Bench

1 Box Vionex wipes
 1 Trauma Sheers **
 1 BP Cuff Adult **
 1 Stethoscope **
 1 Clipboard with RAS/AMA and
 Signature forms

Foot Of Bench seat

1 Garbage can
 2 Back Boards

Under Bench Seat

2 Helmets
 2 prs. Leather Gloves
 1 KED **
 1 Sager Traction Splint **
 1 Carry-all tarp
 1 Triangle Reflector
 1 Pedi-Board

Gurney

3 Sets of seat belts including
 shoulder straps
 1 Monitor/Defibrillator
 1 Pillow and Pillow Case
 1 Blanket and Sheet
 1 Blue Bag
 1 D-Tank with Oxygen Bag
 1 D-Tank in Headrest (optional)
 1 Set Wrist restraints
 1 Set Ankle restraints

Left Gurney Pouch

2 Eye Protection
 2 ea N95 Mask, S, M, L,

Right Gurney Pouch

2 packages Disposable
 Restraints
 1 Spit Sock
 1 Slider
 5 Surgical Masks

Front Cab

1 CHP identification permit **
 1 DMV Registration **
 1 Insurance Certificate **
 1 Box of Gloves per
 crewmember
 1 Map Book
 1 Emergency Response
 Guidebook
 1 Phone & Charger
 1 Spotlight
 2 Flashlights
 1 Fire Extinguisher
 1 Gas Card
 1 Disaster Preparedness
 Guidelines Card
 1 EMD guidebook

1 Metal Clipboard containing:

5 Physician On Scene Forms
 5 Blood Draw forms
 1 ALS Ambulance Inventory

Sonoma Life Support Vehicle Inventory ALS Ambulance-Sprinter (Net Type)

Bags & Kits

Blue Bag

Clear pouch

3 RAS/AMA forms

Top Compartment

1 BP Cuff, Adult*

1 Stethoscope*

Left Outside Compartment

1 EZ IO Kit

Right Outside Compartment

1 BVM, Adult

Middle Outside Compartment

Glucometer

1 Sharp Shuttle

1 Bandage Kit

1 10"x30" trauma dressing

1 Soft Cervical collar

Top Inside Compartment

1 CPAP Size small adult

1 Cricothyrotomy Kit

1 Thoracentesis Kit

1 BVM Nebulizer with 2 Albuterol, 1 Atrovent

1 Defibrillator Magnet

Middle Inside Compartment

1 Intubation Kit

1 IV Kit

1 Atropine 1mg

3 Epi. 1:10,000 1mg

1 D10 Setup

1 Narcan 2mg

1 Normal Saline 100cc

1 Secondary Medication Set

1 Sodium Bicarb vial with 60cc syringe OR pre-load

1 Glucagon 1mg

Drug Box

1 Adenosine 6mg

1 Adenosine 12mg

3 Amiodarone 150mg

2 Albuterol 2.5mg

1 Atrovent 0.5mg

1 Benadryl 50mg

1 Epi. 1:1,000 1mg

1 Oral Glucose

2 Zofran tablets

1 Zofran vials

Syringe Baggie

3 ea Syringes, 1,3,5 & 10cc

Needle Baggie

3 ea. Needles, 21g

3 Filter Straws

3 Twin Pak Tips

3 MAD Nasal Spray tips

3 10cc Normal Saline Flush

Oxygen Bag

1 Oxygen, D Tank

1 Nasal Cannula, Adult

1 Non Rebreather, Adult

1 Handheld Nebulizer (with 2

Albuterol/1 Atrovent)

1 Smart CAPNO AD/NEO

nasal Cannula

1 Neb Mask, Adult

1 O2 Wrench

Pediatric Bag

Top Outer

1 Newborn Foil Bunting

2 Newborn Stocking Caps

1 OB Kit with Bulb Syringe

Left Outer

2 Nasal Cannula Pediatric

2 Non Rebreather, Pediatric

Right Outer

2 Hand Held Nebulizer

2 ABT Mask, Pediatric

Front Outer

10 ECG Electrodes, Pediatric

1 Defibrillator Patches, Pedi

1ea Child & Infant BP cuff

Clear Inside Flap

1 Broselow Tape

2 three way stopcocks

1 Thermometer

3 Thermometer Sheaths

3 KY Jellies

Orange Inside Pouch

1 Laryngoscope Handle,
Pediatric

1 ea Straight Blades, 0-2

1 ea Curved Blades, 1 & 2

2 Batteries, AA

Green Inside Pouch

1 ea NPAs, 20-24

1 ea OPAs, 50-70mm

3 KY Jellies

Yellow inside pouch

1 Mask Infant

1 Mask neo

1 IV armboard, short

Red Inside Pouch

2 H set Filterline INF/NEO

2 Smart CAPNO PEDI

Nasal cannula

2 Dispo pulseOx Infant

Blue Inside Pouch

1 ea ET Tubes, 2-4.5

1 Pediatric Stylette

1 ET Tube Holder, pediatric

1 Meconium Aspirator

1 Magill Forceps, Pediatric

Inside Loose

1 BVM Toddler

Narcs Box

300 mg Fentanyl

15 mg Versed OR Valium

1 3cc Syringes

1 MAD nasal spray tip

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter (Net Type)

Monitor/Defibrillator

Left Outside Compartment

1 Modem

Left Compartment

1 Defib/Pacing Cable
1 ECG 4 Lead Cable
1 BP Cuff (Adult) & Hose
1 Pulse Ox Sensor Base cable
1 Pulse Ox Sensor, Adult

Top Compartment

1 Aspirin Bottle 81mg
1 Nitro 0.4mg
1 ECG 12 Lead Cable with
Electrodes Attached
2 Prep Razors
2 Benzoin Tincture

Rear Compartment

1 Spare Mon. Batt.
1 Paper Roll, Spare
1 Disp. Pulse Ox, Adult/Neonate
1 Disp. Pulse Ox, Pedi

Right Compartment

2 Defibrillator Patches, Adult
1 Defibrillator Patches, Pedi
1 Blood Pressure Cuff, Adult Large
1 Blood Pressure Cuff, Pedi
1 Blood Pressure Cuff, Infant

Right Outside Compartment

30 ECG Electrodes, Adult
10 ECG Electrodes, Pediatric

Intubation Kit

1 Laryngoscope Handle,
Adult
1 ea Straight Blade # 2, 3
1 ea Curved Blades, 3, 4
1 ea cuffed ET Tubes, 5-9
1 ea King Tubes, 3, 4, 5
1 Adult Stylette
1 Syringe, 10cc
1 ET Tube Holder, Adult
1 FilterLine, AD/NEO
1 Flex-Guide Tube Introducer
1 Magill Forceps, Adult
1 ea NPAs, 24-36fr

1 ea OPAs, 50-100mm
6 KY Jellies
1 Tape, 1" Cloth
2 Batteries, C

EZ IO Kit

1 EZ-IO Driver
1 EZ-IO Adult needle set
1 EZ-IO Lg Adult needle set
1 EZ-IO Pedi needle set
2 EZ-Connect extension set
2 EZ-IO wristband
1 IV start kit
1 Quick Reference Card
1 Lidocaine preload
1 NS preload 10cc

IV Kit

2 ea Angiocaths, 14-16-18-20-22
2 IV Start Pack
1 10cc Normal Saline
1 Saline Lock
1 Normal Saline 1000cc
1 Macro (10 drop) Set
1 Sharp Shuttle
1 Pillow Case, Paper

Bandage Kit

2 Triangular Bandage
2 inch stack non-sterile 4"x4" in
ziplock
1 Occlusive Dressing
1 Abdominal Pad
1 Roller Gauze
1 Tape 1" Transpore
1 Tape 2" Cloth
1 Tourniquet
1 Ice Pack
1 Nose Clip
1 Trauma Shears

MCI Kit

15 Triage Tags
Permanent Markers
Pencil
Pens
Legal Note Pad
Medical Group Position Outline
CVEMSA Patient Destination
Tracking Forms

Glucometer kit

1 Glucometer
1 Glucometer canister strips
5 Lancets
5 Band Aids
5 2x2's non-sterile
5 Alcohol Preps

Blood Draw Kit

3 Vacutainer sleeves
3 Butterfly needle sets
3 Tourniquets
10 non-sterile 2x2s
5 bandaids
5 Iodine Preps
1 Sharp Shuttle

D10 Setup

*Tape items together with
Transpore tape*
1 250cc bag D10 solution
1 10-drop tubing

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter (Non-Net Type)

INVENTORY LIST READS FROM LEFT TO
RIGHT IN EACH CABINET

Cabinet 1

1 ea Box of Gloves; S, M, L
Hand Sanitizer

Cabinet 2

1 Stack Pillow Cases **
1 Stack Paper Sheets **
1 Pillow **

Cabinet 3

1 Blood Draw Kit
2 DOJ Blood Alcohol Kits
1 Kaiser Blood Tube Kit
2 ea Suction Catheter 6-18fr
2 Urinals **
2 Bedpans **
2 Suction Tubing
2 Hard Suction Tips
2ea Suction Canisters & Lids

Cabinet 4

2 stacks Paper towels

Cabinet 5

Temperature monitor top shelf

Drug Box

1 Adenosine 6mg
1 Adenosine 12mg
4 Albuterol 2.5mg
2 Atrovent 0.5mg
1 Atropine 8mg
2 Benadryl 50mg
2 Epi. 1:1,000 1mg
1 Epi. 1:1,000 30mg
6 Zofran tablets
6 Zofran vials or 1 MDV

Top Shelf

1 Bottle Peroxide
1 Adult EZ IO
1 Pedi EZ IO
1 Lg Adult EZ IO
1 Glucagon 1mg
1 Oral Glucose 24Gm
10 Amiodarone 150mg
1 Aspirin Bottle 81mg
1 bottle Nitro. Tab 0.4mg
Temporal Thermometer

Bottom Shelf

6 Epi. 1:10,000 1mg
2 Atropine 1mg
3 Narcan 2mg
1 Dextrose 50%
1 Calcium Chloride 1Gm
1 Sodium Bicarb Pre-load or
Vial w 60 cc syringe
2 D10% setups

Cabinet 6

Top Shelf

1 Intubation Kit
1 Chricothyrotomy Kit
1 Thoracentesis Kit
1 BVM Nebulizer with 2
Albuterol, 1 Atrovent
1 Adult BVM **

Bottom Shelf

2 Sml adult Flow-Safe CPAP
2 Filterline AD/NEO
6 Smart CAPNO AD/INT
nasal cannula
4 Hand Held Nebulizers with 2
Albuterol/1 Atrovent
4 ABT Masks, Adult
4 Non Rebreathers, Adult
4 Nasal Cannulas, Adult

Cabinet 7

6 Macro (10 drop) Sets
6 Normal Saline 1000cc
1 Secondary Medication Set
1 Dial-A-Flo Regulator
1 Dopamine 400mg Premix
3 Micro (60 drop) Sets
1 Normal Saline 100cc

Cabinet 8

1 Narcs box
1 Knox box key

Cabinet 9

8 18g Angiocaths
4 16g Angiocaths
4 14g Angiocaths
8 20g Angiocaths
4 22g Angiocaths
4 24g Angiocaths
4 1cc Syringes
8 3cc Syringes with twinpak
4 5cc Syringes with twinpak

4 10cc Syringes with twinpak
8 IV Start Packs
25 Lancets
1 box Glucometer Strips
1 Sharp Shuttle
2 rolls Transpore tape
20 Alcohol Preps
5 Prep Razors
8 Twin Pak Tips
4 Needles, 21g
4 Filter Straws
4 MAD nasal spray tips
10 Saline Locks
10 Normal Saline 10cc

Cabinet 10

Top Shelf

4 Cold Packs
4 Hot Packs
2 Burn Sheets
2 10"x30" Trauma Bandages **
3 Emesis Bags
3 Emesis Basins **

Bottom Shelf

1 Pack 4"x4" non-sterile
1 Pack 2"x2" non-sterile
6 Roller Gauze 4" **
12 Abdominal pads
5"x9" or 8"x10" **
12 Sterile 4"x4" **
1 Box Band Aids
4 Occlusive Dressings
2 Rolls 2" cloth tape **
1 Roll 1" paper tape
4 Nose Clips
1 pr. Trauma Shears
4 Triangular Bandages
1 SAM Pelvic Binder Splint

Cabinet 11

1 Disinfectant Spray
1 Glass Cleaner
1 tub Germicidal Wipes
4 Back Board Straps
4 Towels **

Cabinet 12

Top Shelf

2 CHP Blankets
1 ECG Cable with 12 lead
attachments
2 Defibrillator Patches adult

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter (Non-Net Type)

2 Dispo PulseOx Ad/Neo
 1 Reusable sensor & base cable
 5 packages EKG Electrodes (quantity equal to 50), Adult
 2 EKG Paper
 1 BP Cuff, Thigh

Cabinet 13

4 Head Beds **
 4 C-Collars Adult **
 2 C-Collars Pedi.
 3 Soft C-Collars

Cabinet 14

3 Personal Protection Kits
 3 ea N95 Masks S,M,L
 3 ea P100 Masks S,M/L, XL
 2 sets Eye Protection
 2 sets Ear protection

Cabinet 15

5 Surgical masks
 2 Spit socks
 2 sets Disposable Restraints **
 5 Large Bio Bags
 5 Yellow Hazard Bags
 5 Patient Belonging Bags

Action Area

1 Suction Canister with Lid, Tubing & Hard Suction Tip
 1 Garbage can

Main Oxygen storage

1 "H" cylinder
 1 spare "D" cylinder
 1 spare "D" cylinder with O2 Regulator attached

Bulkhead area

On Top

1 Portable Suction w/ Lid **
 1 Suction Tubing **
 1 Hard Suction Tip **

Bulkhead Cabinet

Top Shelf

4 Sterile Water **
 1 OB Kit **
 2 Stuffed Animals
 1 Pedi-mate (car Seat)
 1 Pediatric Warmer
 1 Pediatric Bag

Bottom Shelf

8 blankets
 1 Fine tip sharpie
 Cabinet Seals
 1 MCI Kit
 1 ream Paper
 2 Emergency Food rations

Stair Chair area

2ea Cardboard Splints, S, M, L **
 1 Stair-chair

Right Rear Door

2 Nasal Cannulas
 2 Non Rebreathers
 1 Hand Held Nebulizer with 2 Albuterol, 1 Atrovent
 1 Adult ABT mask

Left Rear Door

4 Sheets
 4 Pillow Cases

Left Rear Corner

1 Broom

Wall of Bench Seat

FASTER radio

Head of Bench Seat

Sharps Container

Behind Bench

1 Box Vionex wipes
 1 Trauma Sheers **
 1 BP Cuff Adult **
 1 Stethoscope **
 1 Clipboard with RAS/AMA and Signature forms

Foot Of Bench seat

1 Garbage can
 2 Back Boards

Under Bench Seat

2 Helmets
 2 prs. Leather Gloves
 1 KED **
 1 Sager Traction Splint **
 1 Carry-all tarp
 1 Triangle Reflector
 1 Pedi-Board

Gurney

3 Sets of seat belts including shoulder straps
 1 Monitor/Defibrillator
 1 Pillow and Pillow Case
 1 Blanket and Sheet
 1 Blue Bag
 1 D-Tank with Oxygen Bag
 1 D-Tank in Headrest (optional)
 1 Set Wrist restraints
 1 Set Ankle restraints

Left Gurney Pouch

2 Eye Protection
 2 ea N95 Mask, S, M, L,

Right Gurney Pouch

2 packages Disposable Restraints
 1 Spit Sock
 1 Slider
 5 Surgical Masks

Front Cab

1 CHP identification permit **
 1 DMV Registration **
 1 Insurance Certificate **
 1 Box of Gloves per crewmember
 1 Map Book
 1 Emergency Response Guidebook
 1 Phone & Charger
 1 Spotlight
 2 Flashlights
 1 Fire Extinguisher
 1 Gas Card
 1 Disaster Preparedness Guidelines Card
 1 EMD guidebook

1 Metal Clipboard containing:

5 Physician On Scene Forms
 5 Blood Draw forms
 1 ALS Ambulance Inventory

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter (Non-Net Type)

Bags & Kits

Blue Bag

Clear pouch

3 RAS/AMA forms

Top Compartment

1 BP Cuff, Adult*
1 Stethoscope*

Left Outside Compartment

1 EZ IO Kit

Right Outside Compartment

1 BVM, Adult

Middle Outside Compartment

Glucometer
1 Sharp Shuttle
1 Bandage Kit
1 10"x30" trauma dressing
1 Soft Cervical collar

Top Inside Compartment

1 CPAP Size small adult
1 Chricothyrotomy Kit
1 Thoracentesis Kit
1 BVM Nebulizer with 2 Albuterol, 1 Atrovent
1 Defibrillator Magnet

Middle Inside Compartment

1 Intubation Kit
1 IV Kit
1 Atropine 1mg
3 Epi. 1:10,000 1mg
1 D10 Setup
1 Narcan 2mg
1 Normal Saline 100cc
1 Secondary Medication Set
1 Sodium Bicarb vial with 60cc syringe OR pre-load
1 Glucagon 1mg

Drug Box

1 Adenosine 6mg
1 Adenosine 12mg
3 Amiodarone 150mg
2 Albuterol 2.5mg
1 Atrovent 0.5mg
1 Benadryl 50mg

1 Epi. 1:1,000 1mg
1 Oral Glucose
2 Zofran tablets
1 Zofran vials

Syringe Baggie

3 ea Syringes, 1,3,5 & 10cc

Needle Baggie

3 ea. Needles, 21g
3 Filter Straws
3 Twin Pak Tips
3 MAD Nasal Spray tips
3 10cc Normal Saline Flush

Oxygen Bag

1 Oxygen, D Tank
1. Nasal Cannula, Adult
1 Non Rebreather, Adult
1 Handheld Nebulizer with 2 Albuterol, 1 Atrovent
1 Smart CAPNO AD/NEO nasal Cannula
1 Neb Mask, Adult
1 O2 Wrench

Pediatric Bag

Top Outer

1 Newborn Foil Bunting
2 Newborn Stocking Caps
1 OB Kit with Bulb Syringe

Left Outer

2 Nasal Cannula Pediatric
2 Non Rebreather, Pediatric

Right Outer

2 Hand Held Nebulizer
2 ABT Mask, Pediatric

Front Outer

10 ECG Electrodes, Pediatric
1 Defibrillator Patches, Pedi
1ea Child & Infant BP cuff

Clear Inside Flap

1 Broselow Tape
2 three way stopcocks
1 Thermometer
3 Thermometer Sheaths
3 KY Jellies

Orange Inside Pouch

1 Laryngoscope Handle, Pediatric
1 ea Straight Blades, 0-2
1 ea Curved Blades, 1 & 2
2 Batteries, AA

Green Inside Pouch

1 ea NPAs, 20-24
1 ea OPAs, 50-70mm
3 KY Jellies

Yellow inside pouch

1 Mask Infant
1 Mask neo
1 IV armboard, short

Red Inside Pouch

2 H set Filterline INF/NEO
2 Smart CAPNO PEDI Nasal cannula
2 Dispo pulseOx Infant

Blue Inside Pouch

1 ea ET Tubes, 2-4.5
1 Pediatric Stylette
1 ET Tube Holder, pediatric
1 Meconium Aspirator
1 Magill Forceps, Pediatric

Inside Loose

1 BVM Toddler

Narcs Box

300 mg Fentanyl
15 mg Versed OR Valium
1 3cc Syringes
1 MAD nasal spray tip

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter (Non-Net Type)

Monitor/Defibrillator

Left Outside Compartment

1 Modem

Left Compartment

1 Defib/Pacing Cable
 1 ECG 4 Lead Cable
 1 BP Cuff (Adult) & Hose
 1 Pulse Ox Sensor Base cable
 1 Pulse Ox Sensor, Adult

Top Compartment

1 Aspirin Bottle 81mg
 1 Nitro 0.4mg
 1 ECG 12 Lead Cable with
 Electrodes Attached
 2 Prep Razors
 2 Benzoin Tincture

Rear Compartment

1 Spare Mon. Batt.
 1 Paper Roll, Spare
 1 Disp. Pulse Ox, Adult/Neonate
 1 Disp. Pulse Ox, Pedi

Right Compartment

2 Defibrillator Patches, Adult
 1 Defibrillator Patches, Pedi
 1 Blood Pressure Cuff, Adult Large
 1 Blood Pressure Cuff, Pedi
 1 Blood Pressure Cuff, Infant

Right Outside Compartment

30 ECG Electrodes, Adult
 10 ECG Electrodes, Pediatric

Intubation Kit

1 Laryngoscope Handle,
 Adult
 1 ea Straight Blade # 2, 3
 1 ea Curved Blades, 3, 4
 1 ea cuffed ET Tubes, 5-9
 1 ea King Tubes, 3, 4, 5
 1 Adult Stylette
 1 Syringe, 10cc
 1 ET Tube Holder, Adult
 1 FilterLine, AD/NEO
 1 Flex-Guide Tube Introducer
 1 Magill Forceps, Adult

1 ea NPAs, 24-36fr
 1 ea OPAs, 50-100mm
 6 KY Jellies
 1 Tape, 1" Cloth
 2 Batteries, C

EZ IO Kit

1 EZ-IO Driver
 1 EZ-IO Adult needle set
 1 EZ-IO Lg Adult needle set
 1 EZ-IO Pedi needle set
 2 EZ-Connect extension set
 2 EZ-IO wristband
 1 IV start kit
 1 Quick Reference Card
 1 Lidocaine preload
 1 NS preload 10cc

IV Kit

2 ea Angiocaths, 14-16-18-20-22
 2 IV Start Pack
 1 10cc Normal Saline
 1 Saline Lock
 1 Normal Saline 1000cc
 1 Macro (10 drop) Set
 1 Sharp Shuttle
 1 Pillow Case, Paper

Bandage Kit

2 Triangular Bandage
 2 inch stack non-sterile 4"x4" in
 ziplock
 1 Occlusive Dressing
 1 Abdominal Pad
 1 Roller Gauze
 1 Tape 1" Transpore
 1 Tape 2" Cloth
 1 Tourniquet
 1 Ice Pack
 1 Nose Clip
 1 Trauma Shears

MCI Kit

15 Triage Tags
 Permanent Markers
 Pencil
 Pens
 Legal Note Pad
 Medical Group Position Outline
 CVEMSA Patient Destination
 Tracking Forms

Glucometer kit

1 Glucometer
 1 Glucometer canister strips
 5 Lancets
 5 Band Aids
 5 2x2's non-sterile
 5 Alcohol Preps

Blood Draw Kit

3 Vacutainer sleeves
 3 Butterfly needle sets
 3 Tourniquets
 10 non-sterile 2x2s
 5 bandaids
 5 Iodine Preps
 1 Sharp Shuttle

D10 Setup

*Tape items together with
 Transpore tape*
 1 250cc bag D10 solution
 1 10-drop tubing

treatment of emergency injuries in the event of an on-track injury to a jockey:
Santa Rosa Memorial Hospital – 1165 Montgomery Drive, Santa Rosa, CA 95405
Emergency Room (707) 525-5207

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey’s room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Stacey Lapham – Director of Racing
Clifford Sanders, Superintendent, Sonoma County Fairgrounds
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
See Attached
- I. Name of the workers’ compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **Self Insured – See Attached**
- J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers’ compensation.
See Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

CONCESSION/SERVICE	COMPANY	OWNER	ADDRESS
Alcoholic Beverages	Spectra (formerly Ovations)	Nick Nicora	4501 Pleasanton Avenue Pleasanton, CA 94566
Food& Non-Alcoholic Beverages	Extreme Foods	Phillip Delahoyde	585 North State Street Ukiah, CA 95482
Programs	Wesco Graphics	Betty Estes	410 East Grant Line Rd, B Tracy, CA 95376
Winners Circle Photographs	Vassar Photography	Bill Vassar	5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service	Winner’s Circle Laundry	Don Fowler	539 Woodside Oaks, #3 Sacramento, CA 95825
Starting Gate	United/Puett Start Gate	Chris Costello	1 Soundview Loop, S. Salem,

SECTION 15.F ATTACHMENT

Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

(English) Sonoma County Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Ambulance Gate to secure the area to allow swift and secure exit by the ambulance and prevent visitation from bystanders to the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff / Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The Track Veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person and/or horse from the track

Fair Association Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the Fair Association staff who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.) enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department Heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

SECTION 15.F ATTACHMENT

Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey (Spanish):

Procedimiento en caso de Accidente en Sonoma County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que sea necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara a la puerta designada para ambulancia e asgurar el area para que la ambulancia salga a la escenda del accidente y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras / Veterinario del Hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El Veterinario del Hipodromo debera decider si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de la Feria / Fair Association Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.

2. Los miembros del departamento de la feria que esten cerca del accidente deberan ayudar a tapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El Locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerente sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiares de los heridos. Se debe hacer lo necesario para acompañar a los familiares a los hospitales, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realiza unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

SECTION 15.H ATTACHMENT

Fire clearance from the fire authority having jurisdiction over the premises.

See City of Santa Rosa Fire Department inspection letter dated May 9, 2019.



May 9, 2019

Cliff Sanders
Sonoma County Fairgrounds
P.O. Box 1536
Santa Rosa, CA 95402-1536

FIRE DEPARTMENT CLEARANCE - 1350 BENNETT VALLEY ROAD

As required by California Code of Regulations, Title 4, California Horse Racing Board (CHRB) Regulation #1927, the Santa Rosa Fire Department grants fire safety clearance for the Grandstand and Stable areas.

This clearance is based upon site inspections and compliance with fire protection requirements.

In accordance with the June 23, 1993, letter from CHRB Assistant Secretary Harold L. Diaz, the automatic fire alarms are not required to be installed as specified in CHRB Regulation #1927.

This clearance letter addresses the requirements of the CHRB "Reasonable Standard of Fire Safety" with exception to the automatic fire alarms.

If you should have any questions, please contact me at 707.543.3542 or by e-mail to plowenthal@srcity.org

A handwritten signature in black ink, appearing to read "Paul Lowenthal", with a stylized flourish at the end.

PAUL LOWENTHAL
Assistant Fire Marshal

c: Scott Moon, Fire Marshal

CHRB.LTR

SECTION 15.J ATTACHMENT

Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

See attached certificate of self-insurance from County of Sonoma

Fiscal Year 18/19	CERTIFICATE OF SELF-INSURANCE	Issue Date 04/24/2019
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COVERED ENTITIES: County of Sonoma Sonoma County Agriculture Preservation and Open Space District Sonoma County Community Development Commission Sonoma County Fair and Exposition, Inc. Sonoma County Water Agency 575 ADMINISTRATION DR., 116-C SANTA ROSA, CA 95403-2881	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BELOW. THIS CERTIFICATE OF COVERAGE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE COVERED ENTITIES AND THE CERTIFICATE HOLDER.
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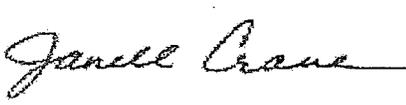
COVERAGE	EFFECTIVE DATE	EXPIRATION DATE	LIMITS
General Liability	July 1, 2018	July 1, 2019	\$1,000,000 per occurrence, no aggregate; self-insured
Automobile Liability	July 1, 2018	July 1, 2019	\$1,000,000 per occurrence; self-insured
Workers' Compensation	July 1, 2018	July 1, 2019	Statutory Limits: \$300,000 permissibly self-insured; excess coverage through California State Association of Counties Excess Insurance Authority
Public Officials Errors and Omissions Liability	July 1, 2018	July 1, 2019	\$1,000,000 per wrongful act; no aggregate; self-insured
Property	March 31, 2019	March 31, 2020	Replacement cost value

Description of Operations/Locations/Vehicles/Special Items:

As Respects 2019 Sonoma County Fair Horse Racing August 1 - August 11, 2019

The Certificate Holder is an additional covered party to the extent required by the indemnification provisions of the above referenced contract. This shall apply to claims, costs, injuries or damages but only in proportion to and to the extent such claims, costs, injuries or damages are caused by or result from the negligent acts or omissions of the Covered Entities shown on this certificate.

The Covered Entities agree to waive recovery rights against the Certificate Holder with respect to the above referenced contract if required in writing in the contract.

Certificate Holder California Horse Racing Board (CHRB) 1100 Hurley Way, Suite 300 Sacramento, CA 95825	AUTHORIZED REPRESENTATIVE   County of Sonoma Risk Manager 575 Administration Drive, Suite 116C Santa Rosa, CA 95403
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			N.Y. 10590
Sound System	Speedasound	Mike/Larry King	4740 N. Sonora Fresno, CA 93722
Armored Car Service	NCOTWINC		11875 Dublin Blvd., #D275, Dublin, CA 94568

B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

See Attached

B. Promotional/ Marketing budget for this race meeting: **Exceeds \$330,000**

Promotional/Marketing budget for prior race meeting: **Exceeded \$330,000**

C. Number of hosts and hostesses employed for meeting: N/A

Upstairs Grandstand Upper Level Guest Information Booth; wait service in Trackside Dining areas.

In addition: 25 Ushers, 6 Guest Service Attendants and 5 Self-Service Betting Machine Attendants.

D. Describe facilities set aside for new fans:

See Attached

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits: **See Attached**

1. Horsemen
2. Fans
3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

General Admission/Grand Stand	Free with Fair Admission
Fair Admission/Adults (13 & older)	\$ 15.00
Advanced Sale Tickets (before 8/1)	\$ 12.00
Fair Admission/Children (7-12)	\$ 7.00
Fair Admission/Seniors	\$ 15.00 *Free on Fridays*
Fair Admission/Presale Discount	\$ 12.00
Admission (clubhouse)	\$ N/A
Reserved Seating (general)	\$ 3.00
Reserved Seating (clubhouse)	\$ N/A
Upper Reserved Box Seats	\$ 5.00
Parking (General)	\$ 9.00
Parking (Preferred)	\$ 15.00
Parking (valet)	\$ N/A
Programs/on track	\$ 2.50
Programs/off track	\$ 2.50

B. Describe any "Season Boxes" or other special accommodation fees:

SECTION 17.A ATTACHMENT

Promotional and marketing plans for the race meeting:

The total advertising, publicity and promotions budget for the 2019 Fair and Race Meet exceeds \$330,000. Although these funds are used to promote all aspects of the Fair via traditional advertising, digital advertising, social media marketing, grassroots marketing, publicity, sponsorships/partnerships, box seat sales, educational programs, non-profit outreach, and promotions; 90% of these efforts involve promotion of the two week live racing product.

Specific Wine County Racing Promotions:

- Emailing campaign (Constant Contact) focused on impulse messages to get people to the races. We currently have over 60,000 constant contact subscribers and send several targeted eblasts promoting our live race meet.
 - On-line discounted group ticket sales.
 - Our Racing Grandstand Promotion Team improves the quality of our product and keeps race fans entertained during our meet by holding interactive drawings and contests between races to keep race fans at the races.
 - On track souvenir giveaways during the meet.
 - Promotion associates in the Grandstands assisting fans and first time bettors, including incentives/training for use of betting machines.
 - Free daily racing seminars, including giveaways, geared at educating the new race fan.
 - Signage promoting reserved seats, free daily racing seminars, daily contests/giveaways, and wagering information to assist new bettors.
 - Specific Facebook, Twitter and Instagram posts that promote Wine Country Racing at the Sonoma County Fair. Interactive social media campaigns.
 - VIP experience offered to businesses or companies with over 50 guests. Includes hospitality area, meal and beverage service.
 - Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. The Paddock View experience can include table side beverage service. The Trackside area includes tables of four with table side beverage service.
- There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each. Both venues have been very popular and continue to bring new racing fans to Wine Country Racing.
- Wine Country Hat Day at the Races – To be held on the final Saturday this year, the Wine Country Hat Day ticket includes gate admission, grandstand seating, race program, hat competition, and refreshments with a named race and group photo taken in the Paddock. Proceeds benefit a non-profit.
 - Due to the continued success, our Derby Dog Dash will be offered on Sundays. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
 - Giveaways of box seat tickets & Fair admission throughout the year to increase fair base.

- Paddock Tour program where fans sign up for a private paddock tour and get their picture taken with the winning horse.
- TVG Coverage of early and late Pick 4's.
- Be sure to stop by the Sierra Nevada Taproom, located in Showcase near the Wine Country Racing grandstands. Take a break at the pub featuring local craft beer and Live Sporting Action and Wagering. Pull up a stool at our bar or relax in our Sonoma Chic Lounge & Sports Theater, featuring live music and major sporting events. Stop by and enjoy indoor and outdoor dining areas, large screen TV's and specialty beers. Also, enjoy food from a local food purveyor!
- Opening day of the Fair is the same as opening day at the Races!

SECTION 17.D ATTACHMENT

Describe facilities set aside for new fans:

- Promotion of race coupons, vouchers, and other Wine Country Racing souvenirs to encourage fairgoer to go to the races during their fair visit.
- Promotion associates in the Grandstands assisting fans and first time bettors, including incentives/training for use of betting machines.
- VIP Experience offered to businesses or companies with over 50 guests. Includes hospitality area, meal and beverage service.
- Free daily racing seminar each fair day (more than 20 years continuously running). The Seminar is hosted by industry professionals and features daily high profile guests. Prizes and tips are given throughout the one hour seminar.
- Returning promotions and information services area providing novice fan information and wagering assistance. A \$2 betting voucher is offered to first time bettors making their bets in this area.
- Wine Country Hat Day at the Races – To be held on the final Saturday, August 10th. The Wine Country Hat Day ticket includes gate admission, grandstand seating, race program, hat competition, and refreshments with a named race and group photo taken in the Paddock. Proceeds benefit a non-profit.
- Derby Dog Dash Derby will be offered on Sundays. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- Be sure to stop by the Sierra Nevada Taproom, located in Showcase near the Wine Country Racing grandstands. Take a break at the pub featuring local craft beer and Live Sporting Action and Wagering. Pull up a stool at our bar or relax in our Sonoma Chic Lounge & Sports Theater, featuring live music and major sporting events. Stop by and enjoy indoor and outdoor dining areas, large screen TV's and specialty beers. Also, enjoy food from a local food purveyor!
- Our Honky Tonk Bar is located outside of the Sierra Nevada Taproom on the fair side of the southern entrance to the grandstand. This large bar and country music area links the fair patrons to the grandstand area.
- Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. Trackside dining may be purchased in tables of four and is located on the North side of the grandstand box seat area. There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each. Both venues have been very popular and continue to bring new racing fans to Wine Country Racing.
- On August 3rd, the first Saturday of the Sonoma County Fair and Wine Country Racing, the 3rd annual NorCal Brew Fest will be taking place next to the north end of the grandstand. Last year we had a new fan education booth close to the entrance to assist new fans with betting education and assistance. Staff also was able to direct the flow of interested patrons to the grandstand as they left the Brew Fest. This was very successful and we will continue to cross promote this year.

SECTION 17.D ATTACHMENT

Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen

- Continued improvements have been added to the horsemen's trailer park.
- Ongoing annual maintenance of all barns.
- Trees in all racing areas of fairgrounds have been trimmed and manicured for safety purposes.

2. Fans

- Ongoing enhancements to the Winners' Circle and finish line will continue to be implemented.
- ADA compliant wagering areas are offered throughout the grandstand for self service terminals and teller counters.
- All grandstand restrooms have been upgraded to meet ADA standards.
- Enhancements to grandstand designated box areas for trackside and paddock dining experiences.

3. Facilities in the restricted areas

- Continue to extend the fairgrounds recycling program into the restricted racing areas.
- Ongoing maintenance and improvements in the barn/restricted area including new electrical wiring and repairs to roadways, barns, and gutters.

Lower box seats \$135/seat season, Upper box seats \$105/seat season.
Daily sales of box seats: Upper seats \$3.00 per seat and Lower seats \$5.00 per seat
Preferred Grandstand Seat: \$5.00
Finish Line Seating (apron tables): \$10.00
Trackside Dining: \$60 per table of 4, \$15/seat. Reserved table for 4.
Paddock View Dining: \$600 – Reserved seating section for up to 26. Includes fair admissions and racing programs for the entire group.

- C. Describe any "package" plans such as combined parking, admission and program:
Season Admission Pass – Pre-sale Price \$45 for all 11 days of fair admission (\$165 value)
Family Pack–Pre-sale Price \$44, Includes: 3 General Admissions, 2 Kids Admissions, 1 Parking

19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:
Separate area containing a dressing room, lounge area, sauna, showers, restroom, lockers, & bunks.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **114**
- C. Number of restrooms available on the backstretch of the racetrack:
Total of 14 urinals, 20 toilets, 20 sinks and 27 showers.
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 25**

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Dirt Track: 6% organic; 16% silt & clay – Turf Course: Tall Fescue
- C. The percent of cross slope in the straight-aways is: **3% Main Track; 2% Turf Course**
The percent of cross slope in the center of the turns is: **5 ½ % Main Track; 6% Turf Course**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inner rail is aluminum wrap gooseneck posts covered by rubber and thermoplastic; Outer rail is steel posts and steel rail. Approximate height is 40 inches. Turf Track – Inner rail is aluminum wrap, moveable rail on gooseneck posts. Outer rail is aluminum rail on gooseneck posts permanently mounted.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Stacey Lapham**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

22. DECLARATIONS

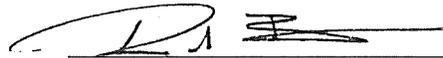
- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
AMRA, ARAC, PCQHRA, CTT; TOC In process
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **Attached**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No Exceptions**
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No Exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Rebecca Bartling
Print Name


Signature

Sonoma County Fair CEO
Print Title

May 2, 2019
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE SONOMA COUNTY
FAIR AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING
ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO
BE IMPLEMENTED BY THE SONOMA COUNTY FAIR DURING ITS 2019 RACE MEET.

Regular Board Meeting
June 20, 2019

ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The Sonoma County Fair desires to condition the races run during its 2019 race meet to be run with reduced levels of furosemide (Lasix).

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

The Sonoma County Fair's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse,

shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

RECOMMENDATION

This item is presented to the Board for discussion and action.

STAFF ANALYSIS
June 20, 2019

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II AT GOLDEN GATE FIELDS AUGUST 15, 2019 THROUGH SEPTEMBER 29, 2019

Pacific Racing Association II (PRA II) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF) August 15, 2019 through September 29, 2019 or 28 days; four more days than 2018. PRA II proposes to race a total of 226 to 297 races, or 8.1 to 10.6 races per day. Live racing will commence August 15, 2019 through September 29, 2019. In 2018, PRA II ran 8.54 races per day with an average of 6.97 runners per race. The average daily purse for the 2018 race meeting was \$163,173. The (estimated) average daily purse for this meet is \$166,847.

August - 2019							September - 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3	1	2	3	4	5	6	7
4	5	6	7	8	9	10	8	9	10	11	12	13	14
11	12	13	14	15	16	17	15	16	17	18	19	20	21
18	19	20	21	22	23	24	22	23	24	25	26	27	28
25	26	27	28	29	30	31	29	30					

- Racing Thursday through Sunday with the exception of Monday, September 2, 2019 and Thursday, September 5, 2019.
- 7 to 9 races on weekdays, 9 to 12 races on weekends and Monday, September 2, 2019.
- First post 1:45 p.m. daily
 - Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.

PRA II requests to use a modified version of ARCI rule for the Pick 6 contest. PRA II also requests to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

Alternate Wagering Interest

PRA II requests that the Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

PRA II requests to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ACRI 004-105(G)(2)(g), PRA II is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) they will use to govern the Pick 6. Under the

ARCI model rule there are only two possible definitions for “unique”. PRA II is requesting an alternative definition which is not referenced in the ARCI model rule or in the CHRB’s rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed PRA II definition.

PRA II also requests a change to the ARCI model rules during instances of late surface changes. PRA II requests that on any day in which a surface change after the Pick 6 pool has closed, the race(s) are declared a “Win All,” not a “no contest.” Also, PRA II requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI 004-105(G)(1), the Board has the authority to approve these requested changes.

- Additional Wagering Information
 - Early wagering will not be offered.
 - \$2 Group Bet (Horse Racing Roulette) available on every eligible race [New].
 - \$2 Win, Place, and Show parlay available on every eligible race. The wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.
 - \$2 Daily Double.
 - \$.50 Pick 3.
 - \$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
 - \$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day’s Early Pick 5 if no ticket has five winners; Alternate runner provision.
 - \$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day’s Late Pick 5 if no ticket has five winners; Alternate runner provision.
 - \$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
 - \$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
- Payout distribution will be in accordance with ARCI 004-105(G)(2)(g):
- The net pool and any carryover will be paid to the unique winning ticket holder who selected all the first-place finishers.
 - If there is no unique winning ticket, the minor share of the pool will be distributed to those who selected the greatest number of first-place finishers, and the major share will be added to the carryover.
 - If there is no correct selection of at least one first-place finisher, the day’s net pool will be refunded, and any previous carryover pool will be carried over to the next scheduled corresponding pool.

- Specific changes from the 2018 license application:
 - Parking (general) from \$5 to \$6
 - Parking (preferred) from \$10 to \$12
 - Parking (valet) from \$10 to \$12
 - Programs (on-track and off-track) from \$2.50 to \$2.75
 - Designated Group Sales packages include parking, admission, program, and buffet (new).
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

PRA II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) PRA II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The PRA II \$100,000 surety bond currently on file is valid through August 17, 2020.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TVG, TwinSpire, Bet America and NYRABets.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
 - ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
 - Churchill Downs Technology Initiatives Company, dba Twinpires has submitted all documents required in compliance with Business and Professions Code section 19604.
 - NYRAbets, LLC has submitted all documents required in compliance with Business and Professions Code section 19604.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
 - Track safety inspection has been requested and will be completed before the race meeting begins.
 - Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
 - Simulcasting in Southern California only.

Pacific Racing Association II (PRA II) application indicates that it will be conducting simulcast wagering with southern California satellites only. In the application submitted by PRA II for same time period in 2017, all licensed satellite wagering facilities were listed. The present application, as submitted, envisions the elimination of satellite wagering opportunities at all northern locations. Each of these locations are in northern California, meaning that the PRA II application intends for there to be no satellite wagering in northern California during their race meet.

Staff views this portion of the application to be impermissible based on applicable laws and regulations.

Specifically, California Business and Professions Code section 19608 requires an association which makes more than 1.5 million in daily average handle, to make its audiovisual signal available to authorized satellite wagering facilities. Section 19619.6 requires that every association that provides its live audiovisual signal also accept wagers from the satellite wagering facilities. Moreover, CHRB Rule 2058(e) which addresses the duties of a racing association offering simulcast wagering, states that all wagers made available by a host association shall be made available to all simulcast facilities.

As stated, Staff views PRA II's application requesting to offer simulcast wagering to southern California satellites only, to be in conflict with the provisions mentioned above.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California agreement.
2. Workers' Compensation Insurance Policy [expires June 30, 2019].
3. Pertinent 2019 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association II designated Advance Deposit Wagering providers [Churchill Downs Technology Initiatives Company, dba BetAmerica] to accept wagers on races conducted in and outside of California for the applied license term.
4. Agreements with all licensed northern California satellites.

RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the submission of the outstanding items.

GOLDEN GATE FIELDS

May 16, 2019

Rick Baedeker
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Rick,

This letter is in regards to Pacific Racing Association II's ("PRA") "Application for License to Conduct a Horse Racing Meeting" for the time period of August 14, 2019 through October 1, 2019 (the "Race Meet Application").

As specified in the Race Meet Application, PRA wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition under ARCI 004-105(G)(16)(b) PRA will use to identify a unique ticket. PRA requests that a combination of the two definitions to define unique be used. Specifically, PRA wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above."

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

PRA also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, PRA is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

PRA requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Rob Brodник
John McDonough
Tim Ritvo

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association II**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
david.duggan@goldengatefields.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
N/A
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars. **On file with Board.**
Will forward renewal to Board upon receipt.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **August 14, 2019 through October 1, 2019**
- B. Actual dates racing will be held: **August 15-18, 22-25, 29-31; September 1-2, 6-8, 12-15, 19-22, and 26-29.**
- C. Total number of days or nights of racing: **28 days**

CHRB CERTIFICATION

Application received: 5/24/19
Deposit received: *on file*
Reviewed: *JD*

Hearing date: 6/20/19
Approved date:
License number:

- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)
- E. Number of days or nights of racing per week: **4 days per week**
Racing Thursdays through Sundays
Exceptions:
Racing on Monday 9/2/19
Dark day: Thursday 9/5/19

3. RACING PROGRAM

- A. Total number of races: **226 - 297**
- B. Number of races for each day or night:
- **7 - 9 races on weekdays**
 - **9 - 12 races on weekends/holiday**
- C. Total number of stakes races: **1**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Attached
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**
 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**
 3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

PRA II /GGF SUMMER 2019
(August 15 – September 29, 2019)
STAKES SCHEDULE
ATTACHMENT 3D

ROLLING GREEN
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Overnight Stakes
Three Years Old and Upward
1 1/16 Turf
Monday, September 2, 2019

PRA II /GGF SUMMER 2018
(August 22 – October 2, 2018)
STAKES SCHEDULE
ATTACHMENT 3D

ROLLING GREEN
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Overnight Stakes
Three Years Old and Upward
1 1/16 Turf
Monday, September 3, 2018

PRA II /GGF SUMMER 2017
(August 23 – September 19, 2017)
STAKES SCHEDULE
ATTACHMENT 3D

ROLLING GREEN
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Overnight Stakes
Three Years Old and Upward
1 1/16 Turf
Sunday, September 17, 2017

D. List all post times for the daily racing program:

Race Number	Post Time Daily
1st	1:45 p.m.
2nd	2:15 p.m.
3rd	2:45 p.m.
4th	3:15 p.m.
5th	3:45 p.m.
6th	4:15 p.m.
7th	4:45 p.m.
8th	5:15 p.m.
9th	5:45 p.m.
10 th when applicable	6:15 p.m.
11 th when applicable	6:45 p.m.
12 th when applicable	7:15 p.m.

* Post times may change in order to coordinate North/South signals.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association II**
- State where incorporated: **California**
- Registry or file number for the corporation: **3481225**
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Tim Ritvo, President (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Frank DeMarco, Jr., VP Regulatory Affairs and Secretary (Officer), 0 Shares
Rebecca Neimark, CFO (Officer), 0 Shares
David Duggan, Vice President & General Manager (Officer), 0 Shares

Scott Daruty, Senior Vice-President (Officer/Director), 0 Shares

Greg Harnish, Assistant Secretary (Officer), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Laura Proniuk in corporate office (Canada); Email: Laura.Proniuk@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Development Investments Inc.
A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the Board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
Not applicable
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Not applicable
A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**

10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed: **Not applicable**

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with Board.**
- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Tim Ritvo, President
Scott Daruty, Senior Vice President
Mike Rogers, Vice President, Operations
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Greg Harnish, Assistant Secretary
Rebecca Neimark, Chief Financial Officer
David Duggan, Vice President & General Manager
Arous Mouradian, Controller
Merry Scalzo, Director of Administration
Mark Ventresca, Director of Hospitality and Sales

- Doug Gooby, Mutuel Manager**
- Bill Rizzuto, Facility Manager**
- Dan Cirimele, Senior Director of Marketing**
- Ryan Hilton, Marketing/Publicity Manager**
- William Rossi, Public Safety Director**
- Matt Dinerman, Announcer**
- Juan Meza, Track Superintendent**
- Steve Martinelli, Price Maker**
- William Vassar, Track Photographer**
- David Seftel, M.D., Track Physician**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

David Duggan
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
 david.duggan@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
Daily Double **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Written notice regarding proposed takeout attached; will forward TOC letter upon receipt.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Golden Gate Fields

May 2, 2019

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

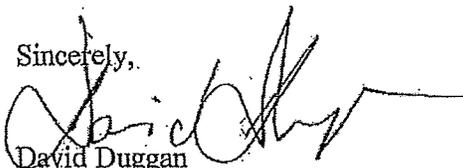
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association II (PRA II), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA II summer race meet, August 15, 2019 through September 29, 2019 all inclusive.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a takeout rate of 20%.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRAII's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



David Duggan
Vice President & General Manager



May 16, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA II) 2019 Summer Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Summer race meet, August 14 – October 1, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Merry Scalzo



May 16, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA II) 2019 Summer Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Summer meet from August 14 – October 1, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Merry Scalzo, GGF

Year	Handle	Attendance
2018 (8/23 – 9/30/18)	\$ 7,683,445.12	41,235 (24 live race days)
2017 (8/24 – 9/17/17)	\$ 5,167,568.00	28,433 (16 live race days)
2016 (8/19 – 9/18/16)	\$ 6,000,287.10	33,827 (19 live race days)
2015 (8/21 – 10/4/15) split	\$ 6,757,788.70	36,786 (19 live race days)
2014 (8/15 – 9/14/14)	\$ 6,468,446.20	36,407 (19 live race days)

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution: *Prior meet represents August 22, 2018 through October 2, 2018 (24 race days):*

1. All races other than stakes:
 Current meet estimate: **\$ 4,175,939.00**
 Prior meet actual: **\$ 3,481,300.00**

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: **\$ 149,140.68**
 Prior meet actual: **\$ 145,054.17**

2. Overnight stakes:
 Current meet estimate: **\$ 50,000.00**
 Prior meet actual: **\$ 50,000.00**

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: **\$ 1,785.71**
 Prior meet actual: **\$ 2,083.33**

3. Non-overnight stakes: **\$ 0.00**
 Prior meet actual: **\$ 0.00**

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: **\$ 0.00**
 Prior meet actual: **\$ 0.00**

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **\$ 4,225,939.00**
 Prior meet actual: **\$ 3,531,300.00**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: **0%**
 Prior meet actual: **0%**

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: **0%**

Prior meet actual: **0%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: **\$ 308,381.00**
 Prior meet actual: **\$ 264,921.00**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

TOC (NTRA contribution) \$ 56,577.00
TOC \$ 32,330.00
CTT (Administration) \$ 32,330.00
CTT (Pension) \$ 16,165.00
Total \$ 137,402.00

TOC (NTRA contribution) \$ 49,392.00
TOC \$ 28,224.00
CTT (Administration) \$ 28,224.00
CTT (Pension) \$ 14,112.00
Total \$ 119,952.00

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$ 4,671,722.00**
 Prior meet actual: **\$ 3,916,173.00**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$ 166,847.21**
 Prior meet actual: **\$ 163,173.88**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$ 2,877,882.00**
 Prior meet actual: **\$ 2,449,719.00**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$ 102,781.50**
 Prior meet actual: **\$ 102,072.00**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$ 1,244,266.00**
 Prior meet actual: **\$ 1,027,063.00**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$ 44,438.07**
 Prior meet actual: **\$ 42,794.29**

- H. Bank and account number for the Paymaster of Purses' purse account: **Wells Fargo PRA Account # On file**

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
See Attached for wagering menu, bet minimum, rules, and additional wagering information		

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **Group Bet; See attached wagering menu**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **September 29, 2019 for the period of August 15, 2019 through September 29, 2019**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split**
- E. Will "advance" or "early bird" wagering be offered? Yes No **X**
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025
- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

***PRA /PRA II/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES***

**Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	510-559-7300
	Dr. Steve Boyer	510-559-7300
	Dr. William Grantham *	510-559-7300
	Dr. Kim Kuhlmann	510-559-7300
	Dr. Steve Matuszak	510-559-7300
	Dr. Jerry Parker	510-559-7300
	Dr. Raina Petrov	510-559-7300
	Dr. Don Smith	510-559-7300
	Dr. Sara Sporer	510-559-7300
	Dr. Ashton Cloninger *	510-559-7300

* Race day Lasix veterinarian

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Fridays	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Saturdays:	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Sunday:	AM – Dr. Sara Sporer PM – Dr. William Grantham

TBD – Rotating schedule amongst list from exhibit A

Golden Gate Fields Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Group Bet
1	X	X	X	X	X	X	X		X			X	*
2	X	X	X	X	X	X	X	X			*	X	*
3	X	X	X	X	X	X	X			*	*	X	*
4	X	X	X	X	X	X	X	*		*	*	X	*
5	X	X	X	X	X	X	X	*		*	*	X	*
6	X	X	X	X	X	X	*	*		*	*	X	*
7	X	*	X	X	X	*	*	*		*		X	*
8	X	*	X	X	X	*	*	*		*		X	*
9	X	*	X	X	X	*	*	*				X	*
10	X	*	X	X	X	*	*					X	*
11	X	*	X	X	X	*						X	*
12	X		X	X	X							X	*

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4).	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(ii) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Group Bet (Horse Racing Roulette)	ARCI-004-105 Part II(D) Group Bet Method 2	15.43%	\$2 Horse Racing Roulette bet is available on every eligible race.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated May 16, 2019 is denied.

See attached wagering menu

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- TwinSpires (BetAmerica is not included)
- TVG
- NYRABets
- BetAmerica (Pending)

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

On file with the Board, except as noted above

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **On file with the Board.**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering: N/A

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
N/A

C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Attached (Southern California only)

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Attached

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Attached

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Pari-Mutuel Satellite Supervisor Contact List - Day Meets

9-25

Southern California Locations:

<p>Location Bakersfield-Firehouse Address 7701 White Lane City Bakersfield, CA 93307</p>	<p>Location OC Tavern Sports Bar and Grill Address 2369 S. El Camino Real City San Clemente, CA 92672</p>
<p>Location Bonita-58 Flat Address 4110 Bonita Road City Bonita, CA 91902</p>	<p>Location Original Roadhouse Grill / Santa Maria Address 1423 S. Bradley Road City Santa Maria, CA 93454</p>
<p>Location Cabazon-Fantasy Springs Casino Address 84-245 Indio Springs Drive City Indio, CA 92201</p>	<p>Location Sammy's Original Address 23221 Lake Center Drive City Lake Forest, CA 92630</p>
<p>Location Commerce Casino Racebook Address 6131 East Telegraph Road City Commerce, CA 90040</p>	<p>Location Santa Anita Address 285 W. Huntington Drive City Arcadia, CA 91007</p>
<p>Location Fairplex Park Address 2201 North White Avenue City Pomona, CA 91768</p>	<p>Location Santa Clarita Address 21615 W. Soledad Canyon Road City Saugus, CA 91350</p>
<p>Location Hollywood Park Address 3383 W. Century Blvd. City Inglewood, CA 90303</p>	<p>Location Striders Address 100 Harbor Drive, Suite 100 City San Diego, CA 92101</p>
<p>Location Lake Elsinore Hotel & Casino Address 20930 Malaga Road City Lake Elsinore, CA 92530</p>	<p>Location Surfside Race Place at Del Mar Address 2260 Jimmy Durante Blvd City Del Mar, CA 92014</p>
<p>Location Lake Perris-Sports Pavillion at The Farmer's Fair Address 18700 Lake Perris Drive City Perris, CA 92571</p>	<p>Location Thousand Oaks -Tilted Kilt Address 1345 E. Thousand Oaks Blvd. City Thousand Oaks, CA 91360</p>
<p>Location Lancaster-Watch and Wager, Antelope Valley Fair Address 2551 West Avenue - H City Lancaster, CA 93536</p>	<p>Location Ventura-The Derby Club Address 10 West Harbor Blvd. City Ventura, CA 93001</p>
<p>Location Los Alamitos Address 4961 Katella Avenue City Los Alamitos, CA 90720</p>	<p>Location Victorville-San Bernardino Fair Sports Pavilion Address 14800 7th Street City Victorville, CA 92395</p>
<p>Location National Orange Show-Sports Show Address 930 South Arrowhead Avenue City San Bernardino, CA 92408</p>	<p>Location Viejas Casino & Turf Club Address 5000 Willows Road City Alpine, CA 91901</p>
<p>Location Ocean's 11 Address 121 Brooks Street City Oceanside, CA 92054</p>	<p>Location Pechanga Resort and Casino Address 45000 Pechanga Pkwy City Temecula, CA 92592</p>

**2019 Golden Gate (PRA & PRA II)
Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrter (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thisledown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greeneltrack	Northville	Tri-State GH (Mardi Gras)
Calskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hípica InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabel	Plainridge Race Course	Vemon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	XpressBet
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Paragon Casino	Lewiston OTB's	Raceway Park	Youbet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Relama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (Callente)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christ Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tallsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assinibola, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carleton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

Attached

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **Attached**.

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

Los Alamitos Per CHRB calendar Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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Cal Expo Harness Per CHRB calendar Full Card

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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None

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

2019 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Sara Sporer, D.V.M (Track Veterinarian)
	Forrest Franklin, D.V.M. (CHRB Veterinarian)
	William Grantham, D.V.M. (Examining Veterinarian)
Clerk of Scales	Ken Sjordal
Assistant Clerk of Scales	Ross Allardyce
Clerk of the Course	Tina Walker-Bryant
Placing Judge/Stakes Coordinator	Lisa Jones
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Agustin Rayas
Paddock Judge	Linda Anderson
Patrol Judge/Steward's Aide	Tammy McDuffie-Morris
Placing Judge/Film Analyst	Morgann Schlessler
Patrol Judge/Placing Judge	John Leon
Starter	Todd Stephens
Timer	Jelina Pike

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B. Management officials in the racing department:

Director of Racing	Patrick Mackey
Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Austin Rainey

Others (identify by name and title)

Main Track Superintendent	Juan Meza
Turf Track Superintendent	Hugo Sandoval
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett
Steward's Secretary/Horsemen Liaison	Devon Dunham

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2019.**

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **GPS timing system; Axcis Information Network, Inc., dba TrackMaster, an Equibase Company (effective 3-1-18). Contract expires 12/31/19.**

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Bill Rossi, Public Safety Director is responsible for security on-site
Security Department organizational attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
Bill Rossi cell phone number (510) 773-7873**

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Public Safety Director	7 – Security Corporals/Guards
2 – Security Lieutenant	8 – Stable Security Officers
4 – Security Sergeants	5 – Stable Gate Personnel
1 – Stable Security Sergeant	5 – Fire Guards
1 – Fire Guard Lieutenant	

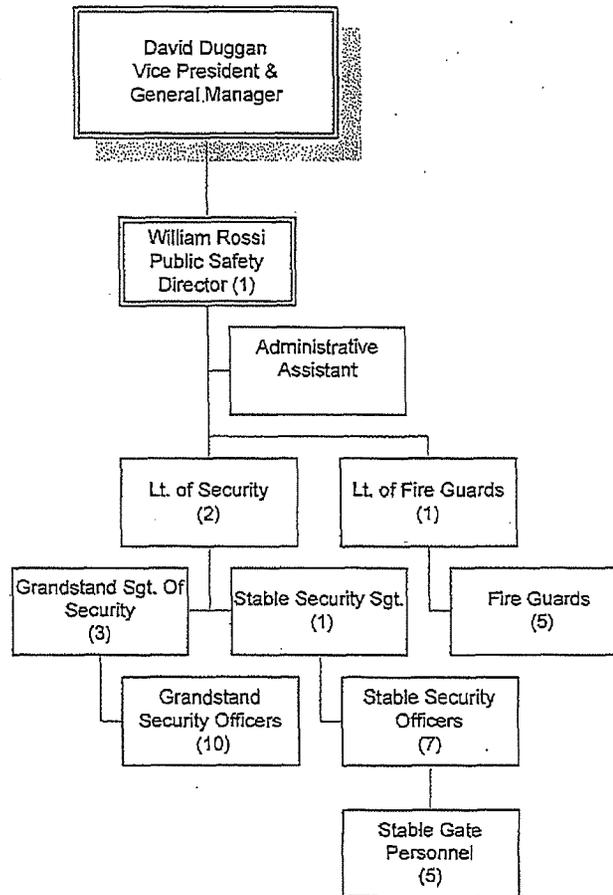
**Attachment to PRA II / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole
3/8 Pole
1/4 Pole
Paddock
Paddock (Gliding)
(2) Pan Cameras on Roof
Jib camera in Paddock
Starting Gate (Robo)
Parking Lot (Robo-scenic)

PACIFIC RACING ASSN / GOLDEN GATE FIELDS SECURITY DEPARTMENT ORGANIZATIONAL CHART ATTACHMENT 15A



1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

 - B. Number of security guards in the detention stall area during a 24-hour period.
Four Security Guards

 - C. Describe number and location of surveillance cameras in detention stall area.
Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB staff directives

 - B. Plan for enhanced surveillance for trainers with high-test results.
Contractual obligations with TOC, CTT, and CHRB directives will be followed

 - C. Plan for detention stalls for repeat offenders.
In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

 - D. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff

- C. Describe the electronic security system:
 1. Location and number of video surveillance cameras for the detention stall and stable gate.
The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate

9-34

entrance, one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, one (1) 360° fish-eye in the Receiving Barn, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**

1. Attach a certification from the ambulance service (s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

N/A

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1.:**

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444
or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st
Street, Oakland, CA 94602 (510) 437-4865**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Roy Roenbeck, Director of Environmental, Health & Safety

Bill Rizzuto, Facility Manager serves as Assistant Safety Manager

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 7, 2018

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2019

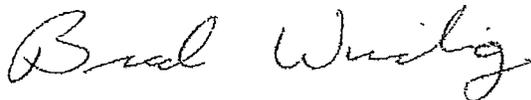
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



**DESCRIPTION OF GGF MEDICAL CLINIC
(LIVE RACING)**

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon Request from track management or CHRB.



EMERGENCY MEDICAL PROCEDURES
-LIVE RACING-
FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS- PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING- FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel;



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond).*



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures;

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

April 21, 2018

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS RACE TRACK EMERGENCY MEDICAL PROTOCOL

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 16 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

TURF RESCUE AMBULANCE SERVICE

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening – Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

SHIFT PROCEDURES

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

TRAINING HOURS (A.M. SHIFT)

PLACEMENT OF AMBULANCE: Horsemen's Café in barn area (close proximity to race track and Gap gate).

FALLEN JOCKEY/RIDER

If there is a loose horse on the track, that indicates that the jockey/rider has fallen off his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.

LIVE RACING (P.M. SHIFT)

PLACEMENT OF AMBULANCE: Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

FALLEN JOCKEY: It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

CAUTION: Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

NOTE: The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)

The track physician at Golden Gate Fields provides a comprehensive set of services pre, intra and post daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

Pre-racing period

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.
5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that include blood testing and drug testing as proscribed by the California Horse Racing Board.

6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

Intra-racing period

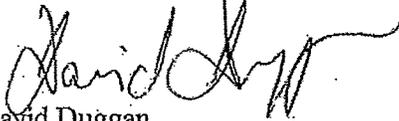
1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital – Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.
14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

Post-racing period

1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
- 3: In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



David Duggan
Vice President and General Manager
Golden Gate Fields

9-46

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Albany fire clearance letter attached valid through December 31, 2019
Berkeley fire clearance letter attached valid through May 28, 2019; Berkeley Fire Dept. annual inspection scheduled 5-13-19; will forward the fire clearance to Board upon receipt

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-018 (policy no.)**

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI is valid through June 30, 2019; will forward new COI to Board upon issuance.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Armored Car** **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**

- Track Physician** **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**

- Official Program** **Wesco Graphics Inc., 410 East Grant Line Rd, Tracy, CA 95376**

- Starting Gate** **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**

- Track Photographer** **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with Board**

- B. Promotional/ Marketing budget for this race meeting: **On file with Board**

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT
1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Albany California' 94706

December 17, 2018

Mr. Roenbeck,

Fire Inspectors from the Albany Fire Department together with Leonard Townsend of Golden Gate Fields (Fire Watch) conducted a Fire and Life Safety inspection on December 11, 2018. Public Areas within the Grandstand and the associated facilities were evaluated for preparation of the 2019 Racing Season. Clearance for the facility is granted for all aspects of your operations.

It is important to note that operations beyond the scope of normal racetrack activities may require additional inspections as needed, such as temporary tent structures in the North Parking Lot, etc.

Please contact our office with any questions.

James S Jorgensen
Fire Inspector
Albany Fire Department
(510) 528-5775



Department of Fire and Emergency Services
Division of Fire Prevention

June 3, 2019

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 (main office)
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, May 15, 2019, the City of Berkeley Fire Prevention Division conducted an annual inspection of the barns and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Monday, June 3, 2019. Upon re-inspection of the facilities, it was found that there were nine outstanding fire code corrections needed. It is our understanding that these shall be abated by the end of the week.

Continual improvements that Golden Gate Fields has agreed to complete include:

- Replacing all existing standalone smoke alarms with combination carbon monoxide and smoke alarms with a 10-year battery.
- Painting a red line in the barns to indicate the maximum height of hay storage.
- Educating boarders on the use of extension cords by posting flyers in each boarding room stating that extension cords are not allowed to be used, surge protectors are allowed, and that large appliances shall be plugged directly to a wall receptacle.
- Maintaining the sprinklers to be clear of dust and cobweb build-up.
- Installing hand grips to the exterior escape ladders on barns with boarding rooms on the second level to assist in an emergency escape using the ladders.

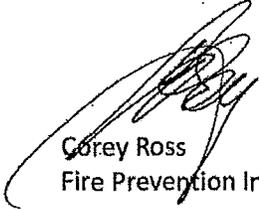
These facilities are considered to be compliant with the fire code until the next scheduled inspection unless and until we receive information to the contrary. Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thank YOU and the ENTIRE Golden Gate Fields family in working diligently to improve the fire & life safety of the barns and stables.

Sincerely,



Dori Tieu
Fire Prevention Inspector, City of Berkeley Fire Department



Corey Ross
Fire Prevention Inspector, City of Berkeley Fire Department



Ryan Adams
Fire Prevention Inspector, City of Berkeley Fire Department



Certificate of Insurance

No.: 2018-6-PACIF

Dated: June 28, 2018

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY * Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-16	Jun 30, 2018 to Jun 30, 2019	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-018	Jun 30, 2018 to Jun 30, 2019	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

120 Bremner Boulevard
 Suite 800
 Toronto, ON M5J 0A8
 Telephone: 1-844-990-2378
 Fax: -
 Certs.Stronach@marsh.com

Marsh Canada Limited

By:

Matthew Warnholtz

Promotional/Marketing budget for prior race meeting: **On file with Board**

C. Number of hosts and hostesses employed for meeting:

- 1 Customer Service Representative**
- 1 XpressBet Service Representative**
- 5 Group Sales Representatives**

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Xpressbet Customer Service – An Xpressbet ambassador is open year round with a trained service representative to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Remodeled Racing Office and balcony viewing area
- Re-designed Winners Circle to improve winning experience
- Built new office for the TOC (Horse Wizard in Club House) in close proximity to Turf Club/Club House entrance
- Added horse scale in the Receiving Barn
- Added 10 televisions in horsemen Club House box seat area
- Capital barn improvements in the barn area (Barns 41, 51, 72, & 92)
- Upgraded entire fire alarm system in the barn area
- Installed new gate on turf gate to allow easy accessibility for 5/8 races
- Added AED unit to backside Security office
- Replaced old tubes on turf course to allow easier movability
- Ordered new barn area parking lot signage
- Installed new light pole/lights in Groom’s parking lot
- Continuation of laundry service for the Jockey’s Room by GGF
- Added new televisions with upgraded digital signal to the Steward’s booth for viewing the races
- Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December
- Continual roadway, horse paths, and barn repairs

2. Fans

- Created new family area on A Deck (Golden Gate Green) complete with fencing, artificial turf, seating, BBQ’s, etc.

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
- | | |
|--|--------------------|
| Turf Club – Full Season Single Membership | \$ 1,000.00 |
| Turf Club – Full Season Dual Membership | \$ 2,000.00 |
| Top of the Stretch – Full Season Membership | \$ 500.00 |
| Club House Box (4 seats, no admission) | \$ 450.00 |
|
 | |
| Club House Season Pass (Admission only) | \$ 400.00 |
| Grandstand Season Pass (Admission only) | \$ 200.00 |

- C. Describe any "package" plans such as combined parking, admission and program:
Designated Group Sales packages include parking, admission, program, and buffet

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

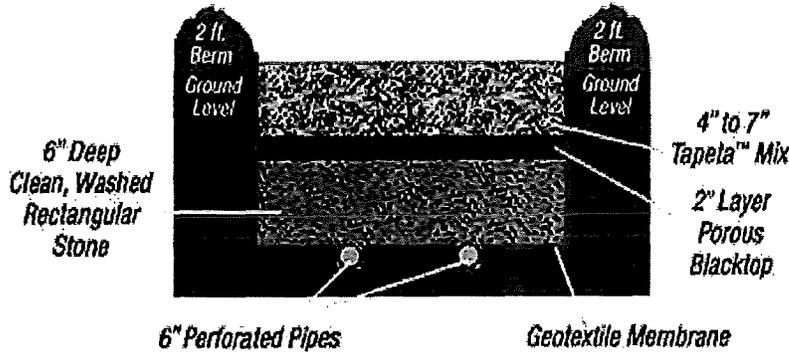
- B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **140**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Tapeta ® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: 1.5%
The percent of cross slope in the center of the turns is: 4%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement forwarded to Board upon receipt
CTT agreement on file
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the board**

- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file with the Board.**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

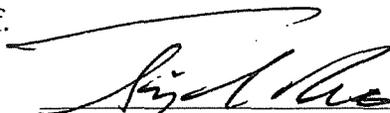
I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Tim Ritvo

 Print Name

President

 Print Title



 Signature

5/16/19

 Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE PACIFIC RACING ASSOCIATION II (PRA II)
AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC) REGARDING ENTRY
CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE
IMPLEMENTED BY THE PRA II AT THE GOLDEN GATE FIELDS RACETRACK

Regular Board Meeting
June 20, 2019

ISSUE

CHRB Rule 1581, Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. Pacific Racing Association II desires to condition all races run at Golden Gate Fields to be run with reduced levels of furosemide (Lasix).

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

Pacific Racing Association II's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a

biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, biological test sample” refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

PRA II’s proposed condition will reduce the maximum amount of furosemide to half of the current authorized level. CHRB Rule 1845, Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce that amount to 250 mg.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horseman’s organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC). On March 16, 2019, an agreement was reached between the TOC and the Stronach Group regarding Lasix administration at Santa Anita Race Track and Golden Gate Fields.

RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS
June 20, 2019

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING
MEETING OF THE HUMBOLDT COUNTY FAIR AT FERNDALE
AUGUST 16, 2019 THROUGH AUGUST 25, 2019

The Humboldt County Fair (HCF) filed its application to conduct a horse racing meeting at Ferndale as part of the 2019 combined fair horse racing meeting as follows:

- August 16, 2019 through August 25, 2019, or 6 days, one less than in 2018. The fair proposes to race a total of approximately 72 races, 8 less than in 2018. Live racing will commence August 16, 2018 and continue through August 25, 2019. The HCF is a participant in the 2019 combined fair horse racing meeting.
- At its March 28, 2019 Regular Meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to designate the 2019 allocated race dates of the Alameda County Fair (6/13/19–7/7/19), California Exposition and State Fair (7/12/19–7/28/19), Humboldt County Fair (8/16/19–8/25/19), and The Big Fresno Fair (10/4/19–10/14/19) as a combined fair horse racing meeting.
- The proposed race dates are within the approved dates allocated to the HCF, which are now part of the 2019 combined fair horse racing meeting.

August 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Friday through Sunday. Twelve races on Fridays, Saturdays, and Sundays.
- Racing concurrently with the Del Mar Thoroughbred Club and Golden Gate Fields.
 - 2018 Race Meeting: Average number of runners per race (TB): 5.45
 - 2018 Race Meeting: Average number of runners per race (Arabian): 6.33
 - 2018 Race Meeting: Average number of runners per race (Mule): 5.00
- First post 3:07 p.m. on Fridays and 2:07 p.m. Saturdays and Sundays.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will not be offered.
 - \$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable.

- \$0.50 PNP4 on first 4 races and last 4 thoroughbred races each day.
- \$0.50 PNP5 on last 5 thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
- \$0.20 PNP6 on last 6 thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting 6 winners. If no unique ticket selects 6 winners, then 40% minor pool that day is paid to tickets selecting most winners, and 60% major pool will be added to carryover.
- \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for unique ticket selecting first 5 finishers. If no unique ticket selects first 5 finishers, then 40% minor pool paid to tickets selecting first 5 finishers, and 60% of major pool will be added to carryover. If no ticket selects first 5 finishers, 100% of pool will be added to carryover. Super High 5 will be carded on last race of the day.
- Distribution of carryover pool will be October 14, 2019 (Closing Day of The Big Fresno Fair).
- Specific changes from the 2018 application:
 - Admission (general) from \$10.00 to \$8.00.
- The Advance Deposit Wagering (ADW) providers are BetAmerica, Game Play Network, NYRA Bets, TVG Network, TwinSpire, Watch and Wager, and Xpressbet.

Pursuant to Business and Professions Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code Section 19604:

- Churchill Downs Technology Initiatives Company dba BetAmerica and TwinSpire has submitted all documents required in compliance with Business and Professions Code Section 19604.
- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code Section 19604.

- Watchandwager.com, LLC has submitted all documents required in compliance with Business and Professions Code Section 19604.
- Xpressbet, LLC has submitted all documents required in compliance with Business and Professions Code Section 19604.

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602 and with authorized locations throughout California.

- Requests that Gary Greiner be appointed horse identifier, pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.

- A track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to complete this application:

1. 2019 agreements between CARF and each of the ADW providers [Game Play Network and NYRA Bets] that allow the providers to accept ADW wagers on races conducted at the HCF for the entire period of the proposed race meet.
2. Workers' compensation [expires July 1, 2019] and policy number.

RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the submission of the outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Humboldt County Fair, 1250 5th Street, Ferndale, CA 95536 (707)786-9511

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Richard Conway, General Manager, 707-786-5515, humcofair@frontiernet.net

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **August 14 – August 27, 2019**

B. Actual dates racing will be held: **August 16, 17, 18 & August 23, 24 & 25**

C. Dates racing will NOT be held: **August 14, 15, 19, 20, 21, 22, 26 & 27.**

D. Total number of racing days: **6**

E. Days of the week races will be held:

Fri - Sun Tues - Sat Other (Fri – Sun 1st week & Fri – Sun 2nd week)

3. RACING PROGRAM

A. Total number of races: **72**

B. Number of races by breed:

<input type="text" value="48"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="8"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="16"/>	Mules

CHRB CERTIFICATION

Application received: **5/20/19**
Reviewed: **RP**

Hearing date: **6/20/19**
Approved date:
License number:

C. Number of races daily:

	Fri, Aug 16 & 23	Sat, Aug 17 & 24	Sun, Aug 18 & 25
Thoroughbred	8	8	8
Other Breed	4	4	4
Total	12	12	12

D. Total number of stakes races by breed:

<input type="text" value="1"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). N/A

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. N/A

2019	2018	2017
C.J. Hindley Humboldt County Marathon Hdcp \$20,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 Furlong Sun, Aug 25	C.J. Hindley Humboldt County Marathon Hdcp \$20,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 Furlongs Sun, Aug 26	C.J. Hindley Humboldt County Marathon Hdcp \$20,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 furlongs Mon, Sep 4

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

** As much as possible, we intend to align our post times to compliment the Del Mar GGFs post times*

<u>Race Number</u>	<u>Fri</u>	<u>Sat & Sun</u>
Race #1	3:07	2:07
Race #2	3:37	2:37
Race #3	4:07	3:07
Race #4	4:37	3:37
Race #5	5:07	4:07
Race #6	5:37	4:37
Race #7	6:07	5:07
Race #8	6:37	5:37
Race #9	7:07	6:07
Race #10	7:37	6:37

Race #11	8:07	7:07
Race #12	8:37	7:37

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors: **Mel Berti, Clarence Bugenig, Lawrence Dwight, Jeff Farley, Sandy Hanks, Darren Hansen, Travis Low, Jack Macdonald, Duane Martin, Mandy Marquez, Dave Mogni, Cindy Olsen, Robert Prior, Tim Renner, Johanna Rodoni, Andy Titus, Fred VanVleck and Wayne Wilson.**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Mel Berti, Travis Low, Jack MacDonald, Cindy Olsen, Dave Mogni and Wayne Wilson**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program: **Richard Conway – General Manager, Sandra Rios – Stall Manager**
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
Richard Conway
General Manager
1250 5th Street
Ferndale, Ca 95536
humcofair@frontiernet.net

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the 53board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2018	\$10,269,967	32,365	7 days
2017	\$4,528,957	31,421	7 days
2016	\$4,705,809	28,263	6 days
2015	\$6,546,701	35,539	6 days
2014	\$6,131,632	34,136	6 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**A. Purse distribution:**

1. All races other than stakes:
Current meet estimate: \$346,970
Prior meet actual: \$364,759

Average Daily Purse (7A1 ÷ number of days):
Current meet estimate: \$57,828
Prior meet actual: \$52,108

2. Overnight stakes:
Current meet estimate: \$20,000
Prior meet actual: \$20,000

Average Daily Purse (7A2 ÷ number of days):
Current meet estimate: \$3,333
Prior meet actual: \$2,857

3. Non-overnight stakes:
Current meet estimate: 0
Prior meet actual: 0

Average Daily Purse (7A3 ÷ number of days):
Current meet estimate: 0
Prior meet actual: 0

4. Total Purses: (7A1+7A2+ 7A3)
Current meet estimate: \$366,970
Prior meet actual \$384,759

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$18,819
Prior meet actual: \$41,446

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 645	\$ 1,341
TOC \$ 1,291	\$ 2,682
NTRA \$ 272	\$ 292
PCQHRA \$ -0-	\$ -0-
CWAR \$ -0-	\$ -0-
ARAC \$ 439	\$ 1,849
AMRA \$ 537	\$ 1,676
CHBPAPEN \$ 1,937	\$ 13,553
CTHF <u>\$ 1,937</u>	<u>\$ 13,553</u>
Total \$7,058	\$ 34,946

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$392,847

Prior meet actual: \$461,151

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$65,475

Prior meet actual: \$65,879

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$246,484

Prior meet actual: \$490,339

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$41,081

Prior meet actual: \$70,048

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$6,400

Prior meet actual: \$50,498

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$1,067

Prior meet actual: \$7,214

G. Bank and account number for the Paymaster of Purses' purse account:

On file

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and

breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
250 permanent 200 portables
- B. Minimum number of stalls believed necessary for the meeting:
350-370
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
None
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
458
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
n/a
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **-0-**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
Dr. Audrey Clifton – 530-400-4721. No Auxiliary sites are available. Dr. Clifton will be available onsite during training hours, workouts and during racing for the association and no auxiliary sites will be used.
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. 24/7

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB#1976.9, ARCI 004-105 G. (g) Method 7	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4	



March 14, 2019

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2018 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The Alameda County Fair will have a \$30,000 Guaranteed pool on Saturdays only. No guarantees will be used at any other CARF fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander
Executive Director

\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 13, 2019, which is the Closing Day of The Big Fresno Fair.

Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

- C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None.**
- D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 14, 2018 (Closing Day of The Big Fresno Fair).**
- E. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day
\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

- F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Amtote (David Calendine) Expiration: September 30, 2020. 443-895-8417
Equipment description on file with Board.

- H. List below the takeout percentage for each type of wager identified in 10B.

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%
 Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Bet America, Watch & Wager, NYRA, Twin Spires & Game Play Network(GPN).
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **Will be proved NLT 5 June.**
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No

If yes, attach a copy of the approval.
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

Golden Gate Fields, Albany

*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock

Fairplex Park, Pomona
 Fantasy Springs Casino, Indio
 Los Alamitos Race Course, Los Alamitos
 Mermaid Tavern, Thousand Oaks
 OC Tavern & Sports Bar, San Clemente
 Roadhouse Grill, Santa Maria
 Sammy's Restaurant & Bar, Lake Forrest
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar

 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing



April 12, 2019

Mr. Larry Swartzlander
 Executive Director, CARF
 1776 Tribute Road, Suite
 205 Sacramento, CA 95815

RE: 2019 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on CARF and other Thoroughbred races during the 2019 race meetings, as follows:

1. California wagering on CARF races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on CARF races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director



April 4, 2019

Executive Director
CARF
1776 Tribute Road, Suite 150
Sacramento, Ca 95815

RE: 2019 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2019 racing season, commencing June 12, 2019 and continuing through October 15, 2019, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires, Watch and Wager (WAW), Game Play Network (GPN) and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 4, 2019.

Please feel free to contact me if you have any questions.

Sincerely,

Cory Soltau

Chairperson

April 4, 2019

Executive Director
CARF
1776 Tribute Road, Suite 150
Sacramento, Ca 95815

RE: 2019 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2019 racing season, commencing June 12, 2019 and continuing through October 15, 2019, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires, Watch and Wager (WAW), Game Play Network (GPN) and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 4, 2019.

Please feel free to contact me if you have any questions.

Sincerely,



4/6/19

Don Jacklin

President AMRA

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

<u>2019</u>	
IMPORT TRACKS	
ARLINGTON	8/14-8/25
BELMONT	8/14-8/25
ASSINIBOIA	8/14-8/25
AUSTRALIAN RACING	8/14-8/25
BEULAH	8/14-8/25
CALDER RACECOURSE	8/14-8/25
CANTERBURY DOWNS	8/14-8/25
CHARLES TOWN	8/14-8/25
CHURCHILL DOWNS	8/14-8/25
DELAWARE	8/14-8/25
DOWNS AT ALBUQUERQUE	8/14-8/25
ELLIS PARK	8/14-8/25
EMERALD DOWNS	8/14-8/25
EVANGELINE DOWNS	8/14-8/25
FORT ERIE	8/14-8/25
GULFSTREAM	8/14-8/25
HASTINGS PARK	8/14-8/25
HAWTHORNE	8/14-8/25
INDIANA DOWNS	8/14-8/25
KEENLAND	8/14-8/25
KENTUCKY DOWNS	8/14-8/25
LAUREL	8/14-8/25
LONE STAR	8/14-8/25
LOUISIAN DOWNS	8/14-8/25
MONMOTH	8/14-8/25
MOUNTAINEER PARK	8/14-8/25
NYRA-AQUEDUCT	8/14-8/25
NYRA-BELMONT	8/14-8/25
NYRA-SARATOGA	8/14-8/25
PENN NATIONAL	8/14-8/25
PHILADELPHIA PARK (PARX)	8/14-8/25
PIMLICO	8/14-8/25
PRAIRIE MEADOWS	8/14-8/25
PRESQUE ISLE	8/14-8/25
RIVER DOWNS	8/14-8/25
SOUTH AMERICAN RACING	8/14-8/25
SUFFOLK DOWNS	8/14-8/25
SUNLAND PARK	8/14-8/25
SUNRAY PARK	8/14-8/25
TAMPA BAY	8/14-8/25
THISTLEDOWN	8/14-8/25
TURFWAY PARK	8/14-8/25
TURF PARADISE	8/14-8/25
UNITED KINGDOM	8/14-8/25
WOODBINE	8/14-8/25

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**CARF 2019 Meets
Commingled Locations**

AmWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Arapahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Arima Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Arlington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Atokad	Fair Grounds ADW	Maywood	Sol Mutuel
Balmoral	Fair Meadows	Meadowlands	Southland Greyhound
Balmoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Bangor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Batavia	Freehold	Monmouth Park	Suffolk District OTB
Bettor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
Beulah Park	Global Wagering Solutions	Monticello	Pat's Pizza
Birmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	SunRay Park & Casino
Buffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Calder Racecourse	Racebets	New Jersey Casino Assoc.	Taunton Acct Wagering
Canterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
Catskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
Charles Town Race Course	Gulfstream	Northfield	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Northville	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
Colonial Downs	Hoosier Park	Oaklawn	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
Columbus Raceway	Indiana Downs	Panama	Twin River Greyhound
Connecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	WatchandWager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	XpressBet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB		

Tote Investment Racing	Lien Games ADW	Presque Isle Downs	
Randall James Racetrack	BetAmerica	Raceway Park	
Millenium Racing	BestFastNow	Racing2Day LLC	
Royal Beach Casino	OnlineRacing.TV	Racing2Day Intl. (Stan James)	
Divi Carina Bay Casino	BetTheRacesOnline.com	Remington Park	
Fair Chance	BigJackpotBetting.com	Remington OTB Network	
Winner's Circle	Hometownbets.com	Retama	Separate Pool Locations
Corpus Christi Greyhound	RaceplayWin.com	Racing & Gaming Services	Camarero (Puerto Rico)
Delaware	VIPHorsePlayer.com	River Downs	Caymanas (Jamaica)
	TrackInfo.com	Rockingham Park	MIR Books (Caliente)
	Offtrackbetting.com	Rockingham Account Wagering	NDS Books (Nevada)
		Ruidoso Downs	

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway

Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton,

Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters

Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal: **OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Fresno, Firehouse Restaurant, Bakersfield, Ocean's Eleven, Oceanside, Original Road House Grill, Santa Maria, Santa Clarita Lanes, Santa Clarita, Sammy's Restaurant & Bar, Mission Viejo, Nor Co, Tilted Kilt, Thousand Oak, Striders, San Diego**
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 12D above.	TBD	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) – **Dr. Audrey Clifton, D.V.M.**
 Clerk of Scales – **Kenny Fowler**
 Clerk of the Course – **Myra Truitt**
 Film Specialist – **Myra Truitt**
 Horse Identifier – **Gary Greiner**
 Horseshoe Inspector – **Jackie Payton**
 Paddock Judge – **Gary Greiner**
 Patrol Judges – **Lisa Jones & Myra Truitt**
 Placing Judges – **Stewards**
 Starter – **Randy Cope**
 Timer – **Melody Truitt**
- B. Management officials in the racing department:
 Director of Racing – **Richard Conway**
 Racing Secretary – **Tom Doutrich**
 Assistant Racing Secretary – **Lisa Jones**
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
 Mutual Manager – **Doug Gooby**
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **KCW Court Reporters, 1018 2nd Street, Eureka, Ca, 707-443-7067.**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien (Expires 12/7/21)
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communication, Inc. – Jim Porep) Contract Expires: April 30, 2023
Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2023

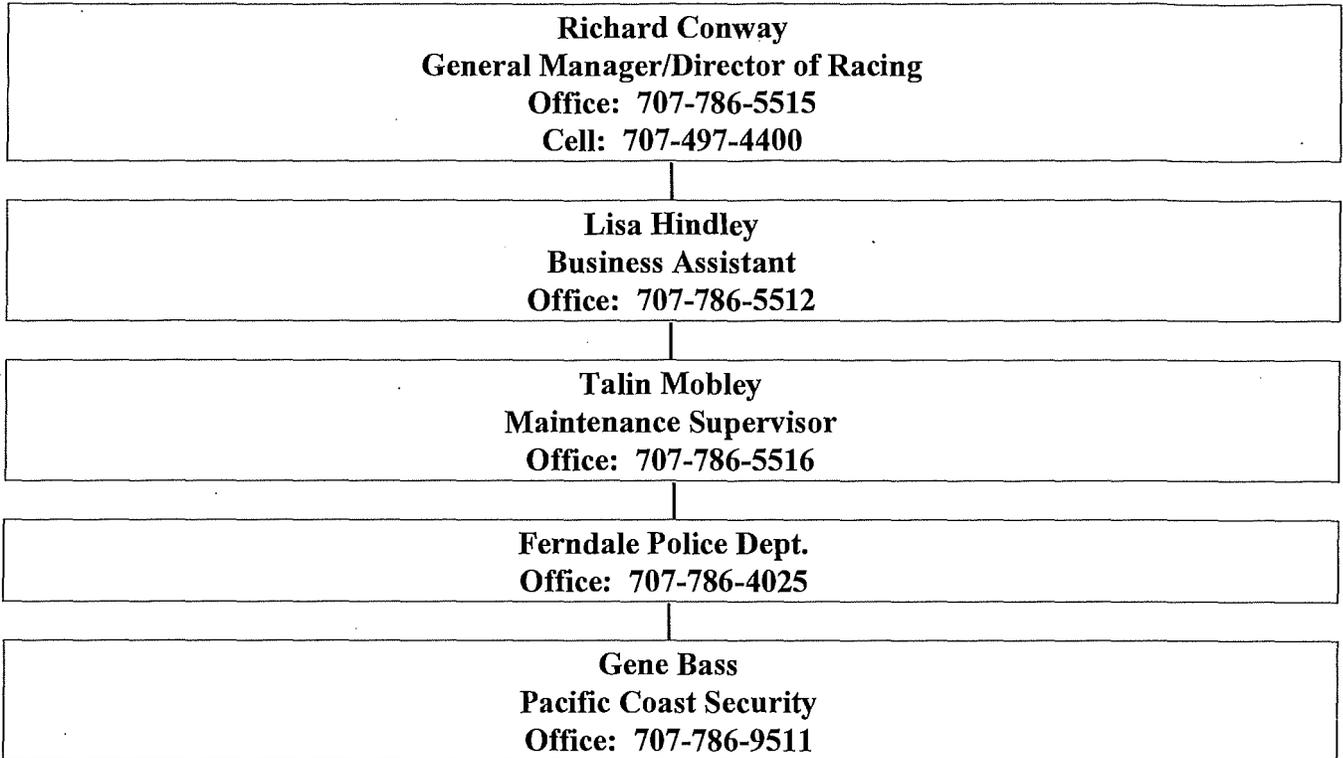
14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See attached organizational chart.**
Pacific Coast Security, Gene Bass, Owner (707)-786-9511
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1-2 Guards in grandstands
2-3 Rovers
3 Licensed gatemen on 8-hour shifts
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
N/A
 2. Detention Stalls:
(The Fair is not running graded stakes)
 - A. Attach a plan for use of graded stakes or overnight races.
N/A
 - B. Number of security guards in the detention stall area during a 24-hour period.
N/A
 - C. Describe number and location of surveillance cameras in detention stall area.
N/A
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
All horses in thoroughbred races where the number is determined by a random algorithm generator.
 - B. Plan for enhanced surveillance for trainers with high-test results.
Trainer with high test results will be moved to the detention area.
 - C. Plan for detention stalls for repeat offenders.
Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance
 - D. Number of security personnel assigned to the TCO2 program.
One (1) 24-hour security guard when detention stalls are occupied.
- C. Describe the electronic security system.
C.A.R.F. surveillance equipment and program that travels between racing Fairs.
1. Location and number of video surveillance cameras for the detention stall and stable gate.
4 surveillance cameras monitoring this area.

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **City Ambulance of Eureka, 135 7th Street, Eureka, Ca 95501 (707) 445-4907**
1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached.

14A. Security Controls



- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
N/A
1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
N/A
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Physicians rotate on a daily basis from Redwood Memorial Hospital. 707-725-7328
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Redwood Memorial Hospital, 3300 Renner Drive, Fortuna, Ca (707) 725-7328
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Richard Conway, General Manager and Lisa Hindley, Assistant General Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Attached.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Sent via separate mail.
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
Sent via separate mail.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
Food & Non-Alcoholic Beverages

City
Ambulance of
Eureka · Fortuna · Garberville
135 West. Seventh St. Eureka, CA 95501 707.445.4907

May 16, 2019

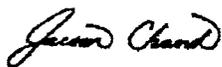
Richard Conway
Humboldt County Fair

Mr. Conway,

City Ambulance of Eureka, Inc. of Eureka, California operates emergency and non-emergency ambulance transportation in Humboldt County. All of our paramedic staff are licensed with the California Emergency Medical Services Authority, in addition to being accredited by the LEMSA (local emergency medical services agency) in the area in which they are practicing. The current status of any paramedic license can be verified by CA EMSA on their web site: www.centralregistry.ca.gov.

Please feel free to contact me if you require further information.

Sincerely,



Jaison Chand, EMT-P, RN, BSN
Chief Operating Officer

15C First aid and Medical Staffing

The Humboldt County Fair provides emergency care which supports comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Two Emergency Medical Technicians from City Ambulance of Eureka staff are located in an on-track ambulance, which is located at a location with ease of access to the track during each day of training and racing. This ambulance and crew are present whenever horses are on the track (during both racing and training hours, and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

Licensed Physicians are on-duty at Redwood Memorial Hospital and are responsible for ongoing care for jockeys, track staff and allied personnel requiring emergency medical care.

The Humboldt County Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communication services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations, as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The fair also has an emergency response cell which responds to all emergencies, both medical as well as non-medical.

15F Medical Protocols and Procedures: English

In case of an accident on the racetrack, the following procedures shall be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

15F Medical Protocols and Procedures: Spanish

Procedimiento en caso de Accidente en Humboldt County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victim(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia.
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. En una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona a caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos deberá trasladarse inmediatamente al lugar del accidente siempre que un caballo esté severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que estén cerca del accidente deberán ayudar a asegurar el lugar para que el público no pueda ver lo que sucede, además deberán recibir instrucciones del Paramédico responsable del lugar del accidente.

Locutor

El locutor deberá informar a los jinetes acerca de los detalles de la situación (como la ubicación del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representatante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro respesentante de la gerencia degera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locator.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tenter un registro de la persona a quin se debe comunicar en caso de que un jockey sufra un accidente.
5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

FERNDALE VOLUNTEER FIRE DEPARTMENT
P.O. BOX 485 - FERNDALE, CA 95536

THE MOST WESTERLY FIRE DEPARTMENT IN THE UNITED STATES

May 16, 2019

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

To Whom It May Concern:

Please accept this letter as confirming my inspection of the horse barn facilities at the Humboldt County Fair Association, 1250 5th Street, in Ferndale.

The permanent stalls in Ferndale contain fire sprinklers, all of which are in working order.

Our department conducts annual training sessions on the property of the Humboldt County Fairgrounds. We are familiar with the general character of the facilities, as it relates to our Responsibility to respond to any emergency that may arise during the annual event.

In addition to the permanent barns, the portable barns utilized by the Association are located in close to fire hydrants, so that an appropriate response is possible if needed.

Sincerely,



Daniel DelBiaggio
Fire Chief
Ferndale Volunteer Fire Department
P.O. Box 485
Ferndale, CA 95536
(707) 786-9909
chief@ferndalefire.org

Winner's Circle Photo

Vassar Photography, 5075 Double Point Way, Discovery Bay, CA 94514

Racing Grandstand Sound System

Universal Balance, 2163 Park Avenue, McKinleyville, CA 95519

Armored Car Services

NOTWINC, 11875 Dublin Blvd. Dublin, CA 94568

Portable Stalls

Stall West, Inc. 4149 Burnett Road, Lincoln, Ca 95684. 916-645-5475, fax 916-645-6095.

B. Does the fair plan to provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
 Ticket give-away already underway, using HCF Facebook; discounted ticket sales, beginning July 1; placement of old Starting Gate in surrounding communities 60 days prior to Fair and near entrance to town during fair; Participation in local parades and events prior to fair; Pre-fair media dinner; golf tournament on dark Wednesday; Horsemen's Fish Fry on dark Tuesday. Appearances on local ESPN radio station; Live remote, local ESPN radio, opening day of racing; Television and radio spots.
- B. Promotional/ Marketing budget for this race meeting:
\$60,000
 Promotional/Marketing budget for prior race meeting:
\$60,000
- C. Number of hosts and hostesses employed for meeting:
6
- D. Describe facilities set aside for new fans:
First come, first served
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
 - 1. Horsemen: We are remodeling our paddock and will continue to upgrade the tack rooms and stalls.
 - 2. Fans: We are moving our simulcast area to expand the capacity and include more tote machines. We are also expanding the viewing area on the west side of the paddock to accommodate additional fans.
 - 3. Facilities in the restricted areas: N/A

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

Admission (general)	\$8.00
Admission (racing)	
Reserved seating (general)	
Reserved seating (clubhouse)	\$50.00 per day
Parking (general)	\$5.00 per day
Parking (preferred)	\$10.00 per day
Parking (valet)	

2019 HUMBOLDT COUNTY FAIR AND RACE MEET MARKETING PLAN

17A. The Board of Directors and Staff are enthusiastic and ready for the 123rd edition of the Humboldt County Fair in Ferndale, home to California's smallest, yet most unique racing venue. Here's a summary of some of the things what we plan to do to meet the challenge:

- RACE DAY SCHEDULE: In order to provide for the greatest success for everyone involved, Humboldt will continue to modify its schedule when live racing will occur. Those modifications include racing on a Friday-Saturday-Sunday schedule the first week and Friday – Saturday – Sunday the second week. Dark days will include Monday, August, 19th through Thursday, August, 22 of the Humboldt County Fair. This change is designed to benefit the myriad of participants from the racing industry, along with the thousands of loyal fans who travel to Ferndale to support us.
- ADVERTISING BUDGET: Our 2019 advertising budget is \$60,000.
- Sponsorships: We are continuing to expand our sponsorship program in 2012 \$80,000, 2013 \$160,000, 2014 is \$200,000, 2015 is \$203,000, 2016 \$217,000, 2017 \$250,000 and in 2018 was \$300,000.
- Fundraiser: We are planning a fundraiser for August 25th where we hope to raise \$50,000
- PROMOTIONS: In addition to reaching out to more businesses to sponsor our racing program, we will again be joining the list of racing entities that have used Lady's Hat Day at the Races promotion. A huge part of Del Mar's traditional opening day festivities, we will be conducting the promotion on Saturday, August 24th, sponsored by the Ferndale Jockey Club. The HCFA will continue to provide the daily losing ticket "Second Chance" drawing for each race held that day.
- POST RACE PROMOTIONS: Unique to Ferndale is the nightly recap of racing activities, with a live broadcast of our local ESPN radio station, KEKA 1340. Owners, trainers, jockeys and fans are among the many guests to appear on the program, which takes place live on Main Street Ferndale, in front of one of the many local bars, taverns and restaurants that embrace horse racing each August. Post-race promotions extend to the local NBC affiliate, New Channel 3 in Eureka, an important outlet which is provided footage for the races each day to broadcast on its 6:00 and 11:00 sports programs.

Programs (on-track) **\$2.50**
 (off-track) **\$2.50**

- B. Describe any "Season Boxes" or other special accommodation fees: **\$225 for box seats.**
- C. Describe any "package" plans such as combined parking, admission and program: **None.**

19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="18"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input type="checkbox"/>	Food/beverage service
<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys:
**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks.
 Jockeys & Jockettes share the scale.**

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack:
Six
- C. Number of restrooms available on the backstretch of the racetrack: **Six (6)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **10 to 1.**

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Organic dirt, silt, clay, sand, and Fir bark
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
 The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Outer rail comprised of 3" aluminum railing on top of posts, 40" in height. Fontana inner rail, made of offset galvanized posts, with extended aluminum railing on top of gooseneck posts, 42" in height, with average overhang of 24".
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Horsemen's Agreement on file with CHRB.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Richard Conway
Print Name

Richard Conway
Signature

General Manager
Print Title

5/15/19
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE HUMBOLDT COUNTY
FAIR AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING
ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO
BE IMPLEMENTED BY THE HUMBOLDT COUNTY FAIR DURING ITS 2019 RACE
MEETING.

Regular Board Meeting
June 20, 2019

ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The Humboldt County Fair desires to condition the races run during its 2019 race meeting to be run with reduced levels of furosemide (Lasix).

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

The Humboldt County Fair request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a

drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD

JUNE 20, 2019
REGULAR BOARD MEETING

There is no board package material for Item 13

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE PROPOSED AMENDMENT TO
RULE 1842. VETERINARIAN REPORT.
TO REQUIRE SUCH REPORTS BE SUBMITTED ELECTRONICALLY

Regular Board Meeting
June 20, 2019

ISSUE

Board Rule 1842, Veterinarian Report, requires every veterinarian who treats a horse within the inclosure to report to the official veterinarian in writing in a manner prescribed by him the name of the horse treated, the name of the trainer of the horse, the time of treatment and any other information requested. The proposed amendment to Rule 1842 will remove the words "in writing" from the text and instead will require the treating veterinarian to use an electronic on-line form prescribed by the Board.

ANALYSIS

Board Rule 1842, Veterinarian Report, requires veterinarians to complete a confidential veterinarian report when treating a horse within the inclosure. The report asks for information regarding the name of the horse treated, the name of the trainer of the horse, the time and date of the treatment and any other information requested by the official veterinarian. The report is confidential, and its content may not be disclosed except in a proceeding before the stewards, or in an exercise of the Board's jurisdiction. The required form is the CHRB-24, veterinarian Report. Under the current paper-based veterinary reporting procedure, the official veterinarians can easily become inundated with a high volume of CHRB-24s. The reports are hand-written, which does not guarantee legibility. In addition, there is no uniformity in the abbreviations used for different treatments. As an example, Lasix may appear as LXA, LXAM, LXW, BLAM or any number of acronyms. The CHRB-24 presents a problem with regards to drug violations or other issues, as it is cumbersome and time consuming to sort through them. While the official veterinarians usually understand the various abbreviations used, and can read the handwriting, Board investigators may have more difficulty. In addition, the lack of a database prevents a thorough analysis of the relationship between veterinary procedures and horses' health and safety. The proposed amendment to Rule 1842 will require that veterinarians report treatments using an electronic on-line form prescribed by the Board. The electronic format is software developed by the Jockey Club. The CHRB Equine Medical Director has reported that the Jockey Club will provide the software, which provides reporting consistent with the CHRB-24, at no cost to the Board. Electronic reporting of veterinary procedures will have the advantage of being easier to manage, legibility, and will provide a data base that is easier to search for purposes of enforcement and analysis.

BACKGROUND

Business and Professions Code Section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the

public and the control of horse racing and pari-mutuel wagering. Business and Professions Code Section 19580 provides that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code Section 19583 provides every veterinarian who treats a horse within the inclosure shall, in writing, on a form prescribed by the Board, report to the official veterinarian in a manner prescribed by him, the name of the horse treated, the name of the trainer of the horse, the item of treatment, any medication administered to the horse, and any other medication requested by the official veterinarian.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1842. VETERINARIAN REPORT

Regular Board Meeting
June 20, 2019

1842. Veterinarian Report.

Every veterinarian who treats a horse within the inclosure shall, ~~in writing on a~~ using an electronic on-line form prescribed by the Board, report to the official veterinarian in a manner prescribed by him, the name of the horse treated, the name of the trainer of the horse, the time of treatment, and any other information requested by the official veterinarian. Any such report is confidential, and its content shall not be disclosed except in a proceeding before the stewards or the Board, or in exercise of the Board's jurisdiction.

Authority: Sections 19440, 19580 and 19583,
Business and Professions Code.

Reference: Sections 19440, 19580 and 19583,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE PROPOSED AMENDMENTS OF
CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS;
CHRB RULE 1843.5, MEDICATION, DRUGS AND OTHER SUBSTANCES PERMITTED
AFTER ENTRY IN A RACE AND
CHRB RULE 1844, AUTHORIZED MEDICATION,
TO CODIFY THE BOARD'S PRIOR TEMPORARY SUSPENSION OF AUTHORIZED
MEDICINE FOR ALL HORSES PARTICIPATING IN ALL LICENSED
HORSE RACING MEETINGS

Regular Board Meeting
June 20, 2019

ISSUE

In March 2019, pursuant to its authority under Rule 1844.1, Suspension of Authorized Medication, the Board suspended the authorized administration of eleven medications for all thoroughbred horses participating at Santa Anita Park (SA) and Golden Gate Fields (GGF). The presence of the suspended medications in a post-race test will be considered a violation of Board regulations. The proposed amendments of Board Rule 1843.2, Penalties for Medication Violations; Rule 1843.5, Medication, Drugs and Other Substances Permitted After Entry in a Race and Rule 1844, Authorized Medication, will codify the Board's prior temporary suspension of authorized medication for all horses participating in all licensed horse racing meetings in California.

ANALYSIS

In March 2019, The Stronach Group called for zero tolerance on the use of race day medications at SA and GGF. At its March 2019 Regular Meeting, the Board authorized a suspension of the administration of eleven medications authorized under Rule 1844 for administration to horses entered to race. The suspension was for all thoroughbred horses participating at SA and GGF but would allow the Board to similarly extend the prohibition of authorized medication for any other breed, race or race meeting for 12 months pursuant to Rule 1844.1. The suspension began March 29, 2019 and will extend for the remainder of those race meetings. The suspended medications are: Phenylbutazone; Flunixin; Ketoprofen; Betamethasone; Dexamethasone; Diclofenac; Firocoxib; Methylprednisolone; Prednisolone; Triamcinolone Acetonide; and Isoflupredone. The proposed amendments of Board Rule 1843.3, Penalties for Medication Violations; Rule 1843.5, Medication, Drugs and Other Substances Permitted After Entry in a Race and Rule 1844, Authorized Medication, will codify the Board's prior temporary suspension of authorized medication for all horses participating in all licensed horse racing meetings in California.

The proposed amendment to Rule 1843.3 will modify subsection (d) to add penalties for a fourth violation involving Category "C" substances. A fourth violation will require a minimum 15-day suspension and a fine of \$2,500. Any subsequent violations will require a greater suspension and fine than the previous violation. In addition, the proposed amendment will remove Category "C" penalties for Rule 1844(c) NSAID violations as such substances will no longer be authorized for horses entered to race.

The proposed amendment to Rule 1843.5 will modify subsection (a) to change the rule's definition of "entered." Rule 1843.5 currently states a horse is deemed "entered" in a race 48 hours before post time of the running of the race. The proposed amendment provides that a horse is deemed "entered" at midnight the day entries close for the race. Subsection 1843.5(b) has been changed to state that only hay, and grain may be provided to the horse up until post time. Subsection (e) has been modified to delete electrolyte solutions and amino acid solutions as substances that may be administered by injection until 24 hours before the post time of the race. Subsection 1843.5(g), which allowed for the administration of phenylbutazone, flunixin and ketoprofen until 24 hours before the post time of the race, has been deleted. A new subsection (g) states that only water may be used to wash the horse's mouth on race day.

The proposed amendment to Rule 1844 deletes subsections (c) and (d), which allowed for the administration of not more than one NSAID to a horse that is entered to race. This means that phenylbutazone, flunixin, ketoprofen or metabolites or analogues of the NSAIDs may no longer be present in post-race test samples. A new subsection 1844(c) states that not more than one glucocorticoid including adrenocorticotrophic hormone (ACTH) may be administered to a horse entered to race. Glucocorticoids are a class of steroid hormones used to treat inflammation. Subsection (e) has been amended to remove eight drug substances that with the NSAIDs under subsection 1844(c), constituted the eleven substances previously suspended by the Board. The substances are: Betamethasone; Dexamethasone; Diclofenac; Firocoxib; Methylprednisolone; Prednisolone; Triamcinolone Acetonide; and Isoflupredone.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Business and Professions Code section 19582 provides that violations of section 19581, as determined by the Board, are punishable as set forth in regulations adopted by the Board.

RECOMMENDATION

This item is presented for Board discussion and action

CALIFORNIA HORSE RACING BOARD
TITLE 4., CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843.3. PENALTIES FOR MEDICATION VIOLATIONS

Regular Board Meeting
June 20, 2019

1843.3. Penalties for Medication Violations.

(a) In reaching a decision on a penalty for a violation of Business and Professions Code section 19581, the Board, the board of stewards, the hearing officer or the administrative law judge shall consider the penalties set forth in subsections (d) and (e) of this Rule and any aggravating and mitigating circumstances. Deviation from these penalties is appropriate where the facts of the particular case warrant such a deviation, for example: there may be mitigating circumstances for which a lesser or no penalty is appropriate, and aggravating factors for which a greater penalty is appropriate.

(b) Mitigating circumstances and aggravating factors, which must be considered, include but are not limited to:

(1) The past record of the licensee regarding violations of Business and Professions Code section 19581;

(2) The potential of the drug(s) to influence a horse's racing performance and the amount of the drug present;

(3) The legal availability of the drug and whether the drug was prescribed to the horse by a California Horse Racing Board (CHRB) licensed veterinarian;

(4) Whether there is reason to believe the responsible party knew of the administration of the drug or intentionally administered the drug;

(5) The steps taken by the trainer to safeguard the horse;

(6) The steps taken by an owner to safeguard against subsequent medication violations including, but not limited to, the transfer of the horse(s) to an unaffiliated trainer;

(A) "Unaffiliated trainer" means a trainer or an assistant trainer who is not related by blood, marriage or domestic partnership, or who is not or was never employed by the trainer from whose care such horse(s) were transferred.

(7) The probability of environmental contamination or inadvertent exposure due to human drug use or other factors;

(8) The purse of the race;

(9) Whether the drug found to be present in the official test sample was one for which the horse was receiving treatment as determined and documented through the process described in Rule 1842 of this division;

15-4 (10) Whether there was any suspicious wagering pattern on the race;

(11) Whether the licensed trainer was acting under the advice of a CHRB licensed veterinarian.

(c) The Board shall consider the classification of a drug substance as referred to in Rule 1843.2 of this division and the California Horse Racing Board (CHRB) Penalty Categories Listing by Classification, (Revised 4/15), which is hereby incorporated by reference, if a determination is made that an official test sample from a horse contained:

(1) Any drug substance, medication, metabolites or analogues thereof foreign to the horse, whose use is not expressly authorized in this division, or

(2) Any drug substance, medication or chemical authorized by this article in excess of the authorized level or other restrictions as set forth in the article.

(d) Penalties for violation of each classification level are as follows:

CATEGORY "A" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category A penalty are as follows:

LICENSED TRAINER:		
1st offense	2nd LIFETIME offense	3rd LIFETIME offense
<ul style="list-style-type: none"> ◦ Minimum one - year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension. AND ◦ Minimum fine of \$10,000 or 10% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000 or 25% of purse (greater of the two). AND ◦ May be referred to the Board for any further action deemed necessary by the Board. 	<ul style="list-style-type: none"> ◦ Minimum two-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension. AND ◦ Minimum fine of \$20,000 or 25% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 50% of purse (greater of the two). AND ◦ May be referred to the Board for any further action deemed necessary by the Board. 	<ul style="list-style-type: none"> ◦ Minimum three-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of permanent license revocation. AND ◦ Minimum fine of \$25,000 or 50% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$100,000 or 100% of purse (greater of the two). AND ◦ May be referred to the Board for any further action deemed necessary by the Board.
LICENSED OWNER:		
1st offense	2nd LIFETIME offense in owner's stable	3rd LIFETIME offense in owner's stable
<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. AND Horse may be placed on the veterinarian's list for up to 90 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered. AND ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. AND ◦ Horse shall be placed on the veterinarian's list for up to 120 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered. AND ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse, loss of purse and absent mitigating circumstances, minimum fine of \$10,000. The presence of aggravating factors could be used to impose a maximum fine of \$50,000. AND ◦ Horse shall be placed on the veterinarian's list for up to 180 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. AND ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. AND ◦ Referral to the Board with a recommendation of a suspension of owners license for a minimum of 90 days.

156 CATEGORY "B" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRBR drug classification is categorized as warranting a Category B penalty are as follows:

LICENSED TRAINER:		
1st offense	2nd offense (within two year time period)	3rd offense (within five year time period)
<ul style="list-style-type: none"> ◦ Minimum 30 -day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> ◦ Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$1,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. 	<ul style="list-style-type: none"> ◦ Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a one-year suspension. <p>AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 10% of purse (greater of the two). <p>AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board.
LICENSED OWNER:		
1st offense	2nd offense in stable (within two year time period)	3rd offense in stable (within five year time period)
<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse, loss of purse and absent mitigating circumstances minimum fine of \$5,000. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. <p>AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 45 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p>AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.

CATEGORY "B" PENALTIES FOR RULE 1843.6 TOTAL CARBON DIOXIDE (TCO₂) TESTING

Penalties for violations due to exceeding permitted levels of TCO₂ as defined in Rule 1843.6 are as set forth below. All concentrations are for measurements in serum or plasma.

LICENSED TRAINER:		
1st offense TCO₂ (> 37.0 mmol/l- <39 mmol/l)	2nd offense TCO₂ (> 37.0 mmol/l-<39 mmol/l)	3rd offense TCO₂ (> 37.0 mmol/l-<39 mmol/l)
<ul style="list-style-type: none"> Up to a 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$1,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$5,000. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 120-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000.
LICENSED OWNER:		
1st offense TCO₂ (> 37.0 mmol/l- <39mmol/l)	2nd offense TCO₂ (> 37.0 mmol/l-<39 mmol/l)	3rd offense TCO₂ (> 37.0 mmol/l-<39 mmol/l)
<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse, loss of purse and in the absence of mitigating circumstances, \$2,500 fine.
LICENSED TRAINER:		
1st offense TCO₂ (≥ 39.0 mmol/l)	2nd offense TCO₂ (≥ 39.0 mmol/l)	3rd offense TCO₂ (≥ 39.0 mmol/l)
<ul style="list-style-type: none"> Minimum 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000. 	<ul style="list-style-type: none"> Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 365-day suspension. <p>AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$10,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000.
LICENSED OWNER:		
1st offense TCO₂ (≥ 39.0 mmol/l)	2nd offense TCO₂ (≥ 39.0 mmol/l)	3rd offense TCO₂ (≥ 39.0 mmol/l)
<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse, loss of purse and a fine ranging from a minimum of \$5,000, up to a maximum of \$20,000.

158 **CATEGORY "C" PENALTIES**

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category C penalty and for the presence of more than one non-steroidal anti-inflammatory (NSAID) in a plasma/serum sample, as defined in Rule 1844 of this division, and furosemide as defined in Rule 1845 of this division in an official test sample are as set forth below. All concentrations are for measurements in serum or plasma.

LICENSED TRAINER:		
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
<ul style="list-style-type: none"> ◦ Minimum fine of \$500 to a maximum fine of \$1,000 absent mitigating circumstances. 	<ul style="list-style-type: none"> ◦ Minimum fine of \$1,000 to a maximum fine of \$2,500, and up to a 15 - day suspension absent mitigating circumstances. 	<ul style="list-style-type: none"> ◦ Minimum fine of \$2,500 and up to a 30 - day suspension absent mitigating circumstances

Penalties for a fourth violation within a 365-day period shall require a minimum 15-day suspension and \$2500 fine. Penalties for any subsequent violation within a 365-day period shall require a greater suspension and fine than the penalty imposed for the previous violation.

CATEGORY "C" PENALTIES FOR RULE 1844, AUTHORIZED MEDICATION (C) (1), (2), (3)

~~Penalties for violations due to overages for permitted non-steroidal anti-inflammatory drug substances (NSAIDs) as defined in Rule 1844 (e) (1), (2) and (3) of this division. All concentrations are for measurements in serum or plasma.~~

~~The official veterinarian shall consult with the treating veterinarian in all violations of 1844 (e). If the trainer has not had an 1844 (e) violation within the previous three years, the board of stewards may issue a warning in lieu of a fine for violations of 1844 (e)(1), phenylbutazone, provided the reported level is below 5.1 meg/ml.~~

LICENSED TRAINER:	Phenylbutazone (2.1 < 5.0 mcg/ml) Flunixin (20 < 100 ng/ml) Ketoprofen (2 < 50 ng/ml)	Phenylbutazone (2.1 < 5.0 mcg/ml) Flunixin (20 < 100 ng/ml) Ketoprofen (2 < 50 ng/ml)
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
◦ Minimum fine of \$500 to a maximum fine of \$1,000.	◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.
LICENSED OWNER:	Phenylbutazone (2.1 < 5.0 mcg/ml) Flunixin (20 < 100 ng/ml) Ketoprofen (2 < 50 ng/ml)	Phenylbutazone (2.1 < 5.0 mcg/ml) Flunixin (20 < 100 ng/ml) Ketoprofen (2 < 50 ng/ml)
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
No penalty administered.	No penalty administered.	No penalty administered.
LICENSED TRAINER:	Phenylbutazone (≥ 5.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 5.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.	◦ Minimum fine of \$5,000 to a maximum fine of \$10,000.
LICENSED OWNER:	Phenylbutazone (≥ 5.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 5.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
◦ Horse must pass Board-approved examination pursuant to Rule 1846 before being eligible to run	◦ Disqualification of horse and loss of purse. If same horse, placed on veterinarian's list for up to 45 days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.	◦ Disqualification of horse and loss of purse. Minimum \$5,000 fine. If same horse, placed on veterinarian's list for 60 days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.

(e) Violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category "D" penalty, may result in a written warning to the licensed trainer and owner.

CATEGORY "D" PENALTIES

1 st offense (<u>within 365-day period</u>)	2 nd offense (<u>within 365-day period</u>)	3 rd offense (<u>within 365-day period</u>)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

(f) If a licensee has received a penalty for a Class A, B or C medication violation, and within a 365-day period has a subsequent lesser violation (e.g. an A violation followed by a B violation), the earlier violation shall count as a "prior violation" for the purposes of determining the penalty for the subsequent lesser violation.

(g) If a licensee has received a penalty for a Class B, C or D medication violation, and within a 365-day period has a subsequent greater violation (e.g. a D violation followed by a C violation), the earlier violation shall count as an aggravating factor for the purposes of determining the penalty for the subsequent greater violation.

(h) Any drug or its metabolite or analogue thereof found to be present in an official test sample that is not classified in Rule 1843.2 of this division shall be classified as a Class 1 substance and a Category "A" penalty until classified by the Board.

(i) The administration of a drug substance to a race horse must be documented by the treating veterinarian through the process described in Rule 1842 of this division.

(j) Any licensee found to be responsible for the administration of any drug substance resulting in a positive test may be subject to the same penalties set forth for the licensed trainer and his presence may be required at any and all hearings relative to the case.

(1) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "A" shall be referred to the California Veterinary Medical Board (CVMB) for consideration of further disciplinary action.

(2) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "B" or "C" may be referred to the CVMB for consideration of further disciplinary action upon the recommendation of the Equine Medical Director, the board of stewards or hearing officers.

(k) A licensee who is suspended because of a medication violation is not able to benefit financially during the period of suspension. This includes, but is not limited to, ensuring that horses are not transferred to licensed family members or, for any licensee whose suspension is for more than 30 days, to any other licensee who has been an employee of the suspended licensee within the previous year.

(1) A licensee whose license is revoked because of a medication violation is not able to benefit financially following the revocation of his or her license. This includes, but is not limited to, ensuring that horses are not transferred to licensed family members or to any other licensee who has been an employee of the licensee whose license is revoked within the previous year.

(l) "Licensed family members" means any person who holds an occupational license issued by the CHRFB and who is related to the suspended licensee, or the licensee whose license is revoked, by blood, or

by marriage or domestic partnership, or who is related by blood to the spouse or domestic partner of such licensee.

(1) Licensed trainers suspended 60 days or more shall be banned from all inclosures under the jurisdiction of the CHRB. In addition, during the period of suspension, such trainer shall forfeit all assigned stall space and shall remove from the inclosures all signage, colors, advertisements, training-related equipment, tack, office equipment, and any other property.

(2) A trainer whose license is revoked shall be banned from all inclosures under the jurisdiction of the CHRB. In addition, such trainer shall forfeit all assigned stall space and shall remove from the inclosures all signage, colors, advertisements, training-related equipment, tack, office equipment, and any other property.

Authority: Sections 19440, 19461 and 19580,
Business and Professions Code.

Reference: Sections 19461, 19580, 19581 and 19582,
Business and Professions Code; and
Section 11425.50, Government Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4., CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843.5. MEDICATION, DRUGS AND OTHER SUBSTANCES PERMITTED AFTER
ENTRY IN A RACE

Regular Board Meeting
June 20, 2019

1843.5. Medication, Drugs and Other Substances Permitted After Entry in a Race.

(a) In this rule a horse is deemed "entered" in a race ~~48 hours before post time of the running of the race~~ at midnight the day entries close for the race.

(b) ~~Water, and feed, including hay, and grain, and feed supplements that do not contain prohibited drugs~~ may be provided to the horse up until post time.

(c) Drugs, medications or any other substances shall not be administered by any means to a horse after it is deemed enter to race within 48 hours of the post time of the race in which the horse is entered except:

(1) Topical medications, (such as antiseptics, ointments, salves, leg rubs, leg paints, hoof dressings, liniments and antiphlogistics) which do not contain anesthetics or other prohibited drugs.

(d) Any drug, medication or any other substance found in a test sample taken from a horse which is not authorized under this rule shall be deemed a prohibited drug substance.

(e) Any of the following substances may be administered by injection until 24 hours before the post time of the race in which the horse is entered:

(1) Injectable Vitamins;

~~(2) Electrolyte Solutions;~~

~~(3) Amino Acid Solutions;~~

~~(4)~~(2) Tetanus Antitoxin or Tetanus Toxoid, if the horse has sustained a wound.

(f) Approved anti-ulcer medications may be administered until 24 hours before the post time of the race in which the horse is entered. A list of approved anti-ulcer medications, and route of administration, shall be posted at each racetrack in the office of the official veterinarian.

~~(g) One of the following non-steroidal anti-inflammatory medications may be administered until 24 hours before the post time of the race in which the horse is entered under Rule 1844 of this division:~~

~~(1) Phenylbutazone;~~

~~(2) Flunixin;~~

~~(3) Ketoprofen.~~

~~(h)~~(g) In addition to the substances named in subsection (c)(1), any of the following substances may be administered under Rule 1845 of this division within 24 hours of the post time of the race in which the horse is entered:

(1) Furosemide;

~~(2) Other Authorized Bleeder Medication.~~

(2) Only water may be used to wash the horse's mouth on race day.

(i) Drugs, medications or any other substances may not be administered to a horse by injection, via nasogastric tube (stomach tubing) or any other means after the horse is entered to race, except under these regulations.

Authority: Sections 19580, 19581 and 19582,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code;
Section 337 f, g and h, Penal Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4., CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1844. AUTHORIZED MEDICATION

Regular Board Meeting
June 20, 2019

1844. Authorized Medication.

Consistent with the intent of these rules, drug substances and medications authorized by the Board for use may be administered to safeguard the health of the horse entered to race provided that:

(a) No person shall administer a drug substance to any horse entered to race except upon authorization of the official veterinarian in conformance with these rules.

(b) No drug substance, other than authorized bleeder medication, shall be administered to a horse entered to race within 24 hours of the race in which entered.

~~(c) Not more than one approved non-steroidal anti-inflammatory drug substance (NSAID) may be administered to a horse that is entered to race and shall be only one of the following authorized drug substances:~~

~~(1) Phenylbutazone in a dosage amount that the test sample shall contain not more than 2 micrograms of the drug substance per milliliter of blood plasma or serum.~~

~~(2) Flunixin in a dosage amount that the test sample shall contain not more than 20 nanograms of the drug substance per milliliter of blood plasma or serum.~~

~~(3) Ketoprofen in a dosage amount that the test sample shall contain not more than 2 nanograms of the drug substance per milliliter of blood plasma or serum.~~

~~(4) Metabolites or analogues of approved NSAIDs may be present in post race test samples.~~

~~(d) If the official chemist reports that a blood test sample contains an authorized NSAID in excess of the limit for that drug substance under this rule, the official veterinarian shall, in conjunction with the veterinarian who administered or prescribed the authorized drug substance, establish a dosage amount or time of administration of the drug substance that will comply with the limits under this rule; or the official veterinarian may, if in his/her judgment no such reduced dosage amount or amendment to time of administration will result in a test sample level within the limits of this rule, withdraw authorization for the use of any one NSAID.~~

(c) Not more than one glucocorticoid including adrenocorticotrophic hormone (ACTH) may be administered to a horse that is entered to race.

~~(e)~~(d) Official urine test samples may contain one of the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels:

(1) Acepromazine; 10 nanograms per milliliter

(2) Mepivacaine; 10 nanograms per milliliter

(3) Albuterol; 1 nanograms per milliliter

(4) Procaine; 25 nanograms per milliliter

(5) Salicylates; 750 micrograms per milliliter

(6) Clenbuterol; 140 picograms per milliliter, except for any horse participating in a quarter horse race for which no level of clenbuterol is authorized.

(67) Detomidine; 2 nanograms per milliliter

(78) Nandrolone; 1 nanograms per milliliter for geldings, fillies and mares; 45 nanograms for males other than geldings.

(89) Boldenone; 15 nanograms per milliliter in males other than geldings.

(910) Testosterone; 20 nanograms per milliliter in geldings.

(A) Testosterone at any level in males other than geldings is not a violation of this regulation.

~~(1011)~~ Testosterone; 55 nanograms per milliliter in fillies or mares (unless in foal)

~~(1112)~~ Butorphanol 300 nanograms per milliliter

~~(f)~~ Official blood test samples may contain the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels in serum or plasma:

~~(1)~~ Bethamethasone; 10 picograms per milliliter

~~(12)~~ Dantrolene; 100 picograms per milliliter

~~(23)~~ Detomidine; 1 nanogram per milliliter

~~(4)~~ Dexamethasone; 5 picograms per milliliter

~~(5)~~ Diclofenac; 5 nanograms per milliliter

~~(36)~~ Dimethylsulfoxide (DMSO); 10 micrograms per milliliter

~~(7)~~ Firocoxib; 20 nanograms per milliliter

~~(48)~~ Lidocaine; 20 picograms per milliliter

~~(59)~~ Methocarbamol; 1 nanogram per milliliter

~~(10)~~ Methylprednisolone; 100 picograms per milliliter

~~(611)~~ Glycopyrrolate; 3 picograms per milliliter

~~(12)~~ Prednisolone; 1 nanogram per milliliter

~~(13)~~ Triamcinolone Acetonide; 100 picograms per milliliter

~~(714)~~ Xylazine; 200 picograms per milliliter

~~(815)~~ Butorphanol; 2 nanograms per milliliter

~~(16)~~ Isoflupredone; 100 picograms per milliliter

~~(917)~~ Cetirizine; 6 nanograms per milliliter

~~(1018)~~ Cimetidine; 400 nanograms per milliliter

(~~1119~~) Guaifenesin; 12 nanograms per milliliter

(~~1220~~) Omeprazole; 10 nanograms per milliliter

(~~1321~~) Ranitidine; 40 nanograms per milliliter

(~~fg~~) Official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)(1) to (6) and (e)(8) to (11).

(~~gh~~) Procaine, following administration of procaine penicillin, is an authorized medication provided:

(1) Official blood test samples shall not contain any procaine, or its metabolites or analogs in excess of 25 nanograms per milliliter.

(2) all procaine penicillin administrations have been reported pursuant to Rule 1842 of this division,

(3) procaine penicillin was not administered after entry to race,

(4) the horse was under surveillance for a minimum of six hours prior to racing.

(~~ji~~) All expenses related to surveillance and testing for procaine under subsection (h) of this regulation shall be paid by the owner of the horse.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580 and 19581,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING
THE PROPOSED ADDITION OF
CHRB RULE 1846.1. VETERINARY RECORDS
FOR HORSES SHIPPING INTO AN INCLOSURE TO RACE
TO REQUIRE A RECORD BE AVAILABLE OF THE
PREVIOUS 14 DAY VETERINARY TREATMENT RECORD

Regular Board Meeting
June 20, 2019

ISSUE

Access to medical records for a horse can be important in determining whether it is sound for racing. When horses ship into a track within days of a race, such information is not often available to the official veterinarian or racing veterinarian making decisions on race day. Insuring that regulatory veterinarians have access to health records for horses shipping into the inclosure will result in them being better equipped to determine the soundness of horses for racing and training.

ANALYSIS

The proposed addition of Rule 1846.1, Veterinary Records for Horses Shipping in to Race, will require that the trainer submit to the official veterinarian or racing veterinarian the previous 14-day veterinary treatment record of a horse if the horse has not been stabled at a facility under the jurisdiction of the Board for 14 days prior to a race, or for 14 days prior to working off the veterinarian's List. The veterinary record shall include the name of the horse, any medication, drug, substance or procedure administered or prescribed during the previous 14 days and the name of the prescribing veterinarian. The veterinary record shall be considered confidential and cannot be disclosed except in a proceeding before the stewards or the Board. Failure to provide accurate and complete veterinary records shall result in disciplinary action. The proposed addition of Rule 1846.1 is meant to help ensure the health and welfare of the race horse, and by extension the health and safety of those who must exercise, train or ride the horse in a race. Ensuring that regulatory veterinarians have access to veterinary records of horses shipping into the inclosure means that such veterinarians are better equipped to determine the soundness of the horses for racing or training. The proposed regulation will also facilitate dialogue between the private practice veterinarian and the official or racing veterinarian. In cases where a horse has been trained off the grounds of a CHRB licensed facility under the care or direction of the owner, and then shipped in a day or two before a race and placed with trainers who have little or no involvement in the prior care of the horse, access to the veterinary records provides a greater picture of the overall horse health.

BACKGROUND

Business and Professions Code section 19440 provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out the purposes of this Chapter. Business and Professions Code section 19562 states the Board may prescribe rules, regulations

and conditions under which all horse races with wagering on their results shall be conducted in California.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED ADDITION OF
RULE 1846.1. VETERINARY RECORDS FOR HORSES SHIPPING INTO RACE

Regular Board Meeting
June 20, 2019

1846.1 Veterinary Records for Horses Shipping in to Race.

(a) If a horse has not been stabled at a facility under the jurisdiction of the Board for 14 days prior to a race, or for 14 days prior to working off the Veterinarian's List, the trainer shall submit to the official veterinarian or racing veterinarian the previous 14-day veterinary treatment record. The veterinary treatment record shall include:

(1) the name of the horse treated,

(2) any medication, drug, substance, or procedure administered or prescribed by a veterinarian during the previous 14 days, and

(3) the name of the prescribing veterinarian.

(b) Any such veterinary treatment record is confidential, and its content shall not be disclosed except in a proceeding before the stewards or the Board, or in exercise of the Board's jurisdiction.

(c) Failure by the trainer to provide accurate and complete veterinary treatment records shall result in disciplinary action.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING
THE PROPOSED ADDITION OF
CHRB RULE 1866.2, SHOCKWAVE THERAPY RESTRICTED,
TO PROVIDE PROCEDURES FOR THE USE OF
EXTRACORPOREAL SHOCK WAVE THERAPY (ESWT) OR
RADIAL PULSE WAVE THERAPY WITHIN A CHRB INCLOSURE

Regular Board Meeting
June 20, 2019

ISSUE

Extracorporeal shock wave therapy (ESWT) is a technology used for treating musculoskeletal problems, soft-tissue injuries and bone injuries in horses. The Board currently does not have a regulation governing the use of ESWT technology within the inclosure. The proposed addition of Rule 1866.2, Shock Wave Therapy Restricted, will put in place a regulation to provide guidelines and procedures for the use of ESWT within a CHRB inclosure.

ANALYSIS

ESWT is noninvasive. The Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy machines transmit short, high energy pressure pulses to a select area of the body through a hand-held probe. The operator may choose different levels of energy depending upon the purposes of the therapy. In horses, the therapy is often used to address orthopedic problems, especially tendon or ligament issues. A horse will usually receive a mild sedative to keep them still during the treatment. Shock wave treatments can act as on-site analgesia in the area where energy waves are targeted. It is not known how long such pain relief lasts, with estimates ranging from two to three days. Rule 1866.2 will provide guidelines and procedures for the use of ESWT within a CHRB inclosure. The proposed regulation provides that:

- ESWT machines must be registered with and approved by the official veterinarian.
- ESWT machines may only be used in designated locations within the inclosure.
- Treating veterinarians must keep a log of all shock wave therapy treatments that shall be available for inspection. The logs must include the horse's identifying information, the area treated and the number of pulses.
- Horses receiving shock wave therapy treatment shall be placed on the Veterinarian's List and cannot participate in a recorded workout for a number of days after treatment.
- Unless it has received prior approval, no horse may be brought on to a CHRB racing or training facility if it has received shock wave therapy in the last 30 days.
- The use and/or possession of an ESWT machine in violation of Rule 1866.2 is a prohibited practice and is subject to a Class "A" Penalty.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter.

Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California.

RECOMMENDATION

This item is presented for Committee discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED ADDITION OF
RULE 1866.2. SHOCKWAVE THERAPY RESTRICTED

Regular Board Meeting
June 20, 2019

1866.2 Shockwave Therapy Restricted

(a) All Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy machines, hereinafter referred to as ESWT, must be registered with and approved by the official veterinarian before such machine is brought onto any CHRB racing or training inclosure.

(b) Only CHRB licensed veterinarians are allowed to use EWST machines within a CHRB racing or training inclosure.

(c) ESWT machines are not allowed in the stable area. All treatments are to be conducted in a designated area approved by the official veterinarian responsible for that CHRB racing or training facility.

(d) The treating veterinarian shall keep a log of all ESWT treatments. The log shall be available for inspection by the official veterinarian, Stewards or CHRB investigators. The log shall include the horse's tattoo, microchip number, or other identifying information if the horse is not tattooed or microchipped, the anatomical area treated, and the number of pulses administered.

(e) All ESWT treatments are to be reported on a separate Veterinary Confidential form (CHRB-24) to the official veterinarian by 10:00AM the day following treatment.

(f) Horses treated with ESWT will be placed on the Veterinarian's List for ESWT treatment for XX days. The day after treatment is the first day on the Veterinarian's List. The horse will be automatically removed from the Veterinarian's List for ESWT on the (XX+1) day. A horse on the

Veterinarian's List for multiple reasons must meet whatever criteria is required for removal for those other reasons.

(g) Horses treated with ESWT may not participate in a recorded workout for XX days after treatment.

(h) No owner, trainer or licensee shall bring on to a CHRB racing or training facility a horse known to have received EWST in the last 30 days without prior approval of the official veterinarian.

(i) Any person participating in the use of ESWT and/or the possession of ESWT machines in violation of this rule shall be considered to have committed a Prohibited Practice and is subject to a Class A Penalty.

Authority: Sections 19440, 19562, and 19580,
Business and Professions Code.

Reference: Sections 19440, 19562, and 19580,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE PROPOSED ADDITION OF
RULE 1867.1. USE OF BISPHOSPHONATES PROHIBITED
TO PROHIBIT THE ADMINISTRATION OF
BISPHOSPHONATES TO ANY HORSE WITHIN A CHRB INCLOSURE

Regular Board Meeting
June 20, 2019

ISSUE

Bisphosphonates are a class of drugs that prevent the loss of bone density and are used in people to treat osteoporosis and similar diseases. In horses, bisphosphonates are used to treat similar problems, like navicular disease. However, bisphosphonates inhibit the normal bone repair process, so their use has been restricted to horses that are four or older. In younger horses, bisphosphonates could cause bones to become more brittle. Since 2015 the British Horse Racing Authority has restricted the use of bisphosphonates to horses over three-and-a-half years old, and the use of bisphosphonates in horses has been an issue of discussion internationally. The proposed addition of Rule 1867.1, Use of Bisphosphonates Prohibited, would prohibit the use of bisphosphonates in race horses within a CHRB inclosure.

ANALYSIS

The proposed addition of Rule 1867.1 will prohibit the administration of bisphosphonates within a CHRB inclosure. Under the proposed regulation, bisphosphonates may not be administered to any horse within the inclosure. The proposed regulation would also prohibit any licensee from bringing a horse that is known to have been administered a bisphosphonate within the previous six months into a CHRB inclosure. A proposed Racing Medication and Testing Consortium bisphosphonate regulation will have a similar six month stand down time, as six months is the best-informed estimate of inhibition of bone repair. The purpose is to prevent someone from taking a horse off-site, administering bisphosphonates, and bringing the horse back onto the grounds. Subsection 1867.1(c) allows for the use of methylene diphosphonate for nuclear imaging purposes. Methylene diphosphonate is used in combination with technetium 99m for the evaluation of benign and malignant skeletal conditions.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and parimutuel wagering. Business and Professions Code section 19562 states the Board shall adopt rules, regulations and conditions consistent with the provisions of this chapter under which horse races with wagering on the results shall be conducted in this State. Business and Professions Code section 19580 provides that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the

integrity of horse racing in the state. Business and Professions Code section 19581 states no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1843, Medication, Drugs and Other Substances, provides that no horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided. No drug substance shall be administered to a horse which is entered to compete in a race to be run in this state except for approved and authorized drug substances as provided in these rules.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED ADDITION OF
RULE 1867.1. USE OF BISPHOSPHONATES PROHIBITED

Regular Board Meeting
June 20, 2019

1867.1. Use of Bisphosphonates Prohibited.

(a) Bisphosphonates may not be administered to any horse within a CHRB inclosure.

(b) No licensee shall bring into a CHRB inclosure a horse that has been administered a bisphosphonate within the previous six months.

(c) For nuclear imaging purposes, methylene diphosphonate may be administered when used in combination with the radionuclide technetium 99m.

Authority: Sections 19440, 19562, 19580 and 19581,
Business and Professions Code.

Reference: 19440, 19580, and 19581,
Business and Professions Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
PROPOSED AMENDMENTS TO
RULES 1581.1 ENTRIES
AND
RULE 1597.5, MICROCHIPS REQUIRED FOR ALL HORSES ON GROUNDS,
TO REVISE AND CLARIFY MICROCHIP REQUIREMENTS
FOR CALIFORNIA RACEHORSES

Regular Board Meeting
June 20, 2019

ISSUE

In December 2018, Rule 1597.5, Microchips Required for All Racehorses on Grounds and Rule 1581.1, Entries, went into effect; however, the Board delayed the regulations' effective date to allow time for implementation of the microchipping program. Subsection 1581.1(a)(4) states no horse that does not carry a microchip shall be entered for a race. Subsection 1597.5(a)(4) precludes horses from entering to race unless the horse has been implanted with a microchip or has received a waiver from the stewards. Under subsection 1597.5(a)(3), however, a waiver from the stewards is permissible only if the horse is shipped in from another jurisdiction to participate in a single stakes race. Based on the strict reading of Rule 1597.5, horses that do not carry a microchip would be prevented from entering to race despite the numerous days between entry and the actual race whereby a microchip could be implanted.

BACKGROUND

In 2014, the CHRB initiated a microchip pilot project in northern California at the Golden Gate Fields and Alameda County Fairgrounds racetracks. The purpose of the pilot project was to test all operational aspects of a horse identification system that utilized state-of-the-art microchip technology to implement an accurate process for regular inventory reporting and horse movement tracking at all California racetrack and training facilities. At the April 21, 2016 Regular Board Meeting, staff was directed to initiate a 45-day public comment period for the proposed microchipping regulations. At the September 28, 2017 Regular Board Meeting, the microchipping regulations were approved by the Board. The Office of Administrative Law approved the microchipping regulations with an effective date of December 26, 2018. However, the regulations' effective date has been delayed to allow time for implementation of the microchipping program.

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS

The proposed amendment to Rule 1581.1 will strike subsection (a)(4) which prevents horses from entering a race that do not carry a microchip or receive a waiver from the stewards. The amendment is necessary to address problems surrounding the prohibition on allowing a horse to enter a race when the horse does not carry a microchip. Race entries are often taken days in advance of the race. If a horse was entered to race, and it was discovered that the horse did not have a microchip, there is ample time to have that procedure completed prior to the start of the race. Given the wording of the rule, however, the horse is precluded from even entering. Furthermore, subsection (a)(12) of Rule 1588, Horse Ineligible to Start in a Race, prohibits a horse that does not carry a microchip, or that has not received a waiver from the stewards, from starting in a race. The proposed deletion of subsection 1581.1(a)(4) will delete a redundant and unnecessary provision.

Rule 1597.5 is currently entitled "Microchips Required for all Horses on Grounds." The proposed amendment will change the title to: "Microchips Required for all Race Horses on Grounds." The change is being made for purposes of clarity, as not all horses found at a racing or training facility are race horses.

Subsection 1597.5(a)(1) has been re-worded for purposes of clarity. The intended meaning of the sentence, however, will not change.

Throughout Rule 1597.5, the horse identifier has been designated as the person who shall be informed of the horse's microchipping (replacing the stewards and stable superintendent). The horse identifier is named as the individual who oversees the microchipping procedure. This will provide one point of contact for microchipping and eliminate possible confusion that may result when multiple officials have responsibility for different aspects of the program. (See subsections (a)(1)(A), (a)(1)(B), (a)(5)(A), (a)(5)(D))

Subsection 1597.5(a)(1)(C), which requires that signs be posted on the stalls of horses that do not carry a microchip, has been deleted. Such signs are not necessary and may be a cause of confusion. The horse identifier will be informed if a horse does not carry a microchip, rendering signs unnecessary. In addition, a horse may be moved from stall to stall within a barn, requiring that the signage be moved each time serves no legitimate purpose and can cause confusion if moved incorrectly.

Subsection 1597.5(a)(1)(D), which requires a horse be implanted with a microchip within 72 hours of arriving on the grounds, has been deleted. The provision is not necessary, as all horses that intend to race must be microchipped prior to racing. Under subsection 1597.5(a)(2), the proposed amendment extends the microchipping deadline to 7-days (168 hours), which will allow for microchipping even after entry to race.

Subsection 1597.5(a)(2) has been amended to change the requirement that horses be removed from the premises if not microchipped within 72 hours of coming onto the grounds. The 72-hour

deadline has been extended to 7 days, which ensures adequate time for the microchipping procedure to be completed. The extended deadline will also provide adequate time for the trainer or owner to seek a waiver from the stewards.

The proposed amendment to subsection 1597.5(a)(3) expands the stewards' authority to grant a waiver, by allowing waivers to be granted in any single race instead of only stakes races. The stewards oversee all races and should have authority over microchip waivers for all races. The proposed language also expands the time waivers will remain in effect from 72 hours to 7 days. The expanded period will allow sufficient time for a trainer or owner to have his horse microchipped before the waiver expires. Under the proposed amendment, waivers are limited to one per horse, which will ensure trainers and owners do not routinely request microchip waivers for the same horse.

Subsection 1597.5(a)(4) has been amended to delete the language that prevents a horse without a microchip from entering to race. A horse will be allowed to enter but cannot race if it does not have a microchip. The provision is consistent with Rule 1588, Horse Ineligible to Start in a Race.

The proposed amendment deletes subsection 1597.5(a)(5). The subsection currently states the racing secretary will not accept entries from horses whose microchipping cannot be verified, or that have not received a waiver. Under the proposed amendment, however, the subsection is not necessary, as Rule 1597.5 will allow horses without microchips to be entered, provided they are microchipped prior to the actual race.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1581.1. ENTRIES

Regular Board Meeting
June 20, 2019

1581.1. Entries.

(a) No person shall enter or attempt to enter a horse for a race unless such entry is a bonafide entry made with the intention that such horse is to compete in the race for which entry is made.

(1) No horse having been drawn for a post position for any race to be run shall be entered for any subsequent race, other than for a stakes race, until or unless excused by the stewards from the race in which drawn for valid reason or until the race in which it has drawn has been run.

(2) No person shall attempt to enter or enter any horse for a race unless such person is authorized to do so in accordance with the rules.

(3) Every horse drawn in for a post position in a race shall have a jockey or driver named to ride or to drive such horse before the draw is finalized and no jockey or driver shall be named to ride or to drive more than one such drawn horse in each race.

~~(4) No horse shall be entered for a race that does not carry a microchip, or has not received a waiver from the stewards, in compliance with Rule 1597.5.~~

Authority: Section 19440 and 19590,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 ARTICLE 6. ENTRIES AND DECLARATIONS
 PROPOSED AMENDMENT OF
 RULE 1597.5. MICROCHIPS REQUIRED FOR ALL RACE HORSES ON GROUNDS

Regular Board Meeting
 June 20, 2019

1597.5. Microchips Required for All Race Horses on Grounds

(a) All race horses (horse/horses) present within the inclosure of a licensed racing association, racing fair, or authorized training facility shall carry a microchip unless it is exempt under the provisions of this Rule. For purposes of this Division, a "microchip" is any International Organization for Standardization compliant (ISO 11784/11785) fifteen digit, 134.2-kHz, FDX-B passive transponder microchip. Microchips shall be ICAR (International Committee of Animal Recording) approved (9xx series) with an unshared manufacturer code.

(1) ~~The trainer and/or owner of a horse that comes into the inclosure of a licensed racing association, racing fair, or training facility without a microchip shall:~~ If a horse without a microchip comes into the inclosure of a licensed racing association, racing fair, or authorized training facility, the trainer or owner of the horse shall:

(A) Immediately notify the ~~stable superintendent~~ horse identifier that the horse does not carry a microchip;

(B) Complete CHRB Form 236, Microchip Request (New 04/16), which is hereby incorporated by reference, and submit it to the horse identifier. ~~Board of Stewards;~~

(C) ~~Post CHRB Form 235, Horse Not Microchipped (New 04/16), which is hereby incorporated by reference, on the individual stall that the horse is stabled in until the horse has successfully been~~

~~implanted with a microchip;~~

~~(D) Have the horse implanted with a microchip within 72 hours of arriving on the racing association, racing fair, or training facility grounds in accordance with subsection (a)(6);~~

(2) If a horse has not received a microchip after ~~72 hours~~ 7 days from the time it arrives at the inclosure, it shall be removed from the grounds of the racing association, racing fair, or authorized training facility unless a request for an extension is made in writing to the Board of Stewards by the horse's owner or trainer and the request is granted.

(3) A trainer or owner may apply to the Board of Stewards for a waiver from the microchip requirements in this Rule ~~when shipping a horse in from another racing jurisdiction~~ for the purpose of the horse's participation in a single stakes race. The request for a waiver shall be made on CHRB Form 237, Microchip Waiver Request (Renew 04/1904/16), which is hereby incorporated by reference, and shall be submitted to the stewards when the horse is entered to race least 24 hours before the horse is to arrive on the grounds. If a waiver is granted, the trainer shall post CHRB Form 235, Horse Not Microchipped (new 04/16), on the individual stall that the horse is stabled in until the horse is removed from the grounds of the racing association, racing fair, or training facility. All waivers will expire 72 hours 7 days after the running of the race for which the waiver is granted. No horse shall be granted more than one waiver per race meeting.

(4) A horse that has not been implanted with a microchip, or has not been granted a waiver in accordance with subsection (a)(3), shall not be eligible to ~~enter a race or participate~~ start in a race.

~~(5) The racing secretary shall not accept an entry to any race if it cannot be verified that the horse carries a microchip or has otherwise been granted a waiver in accordance with subsection (a)(3).~~

(6) Anytime a horse is implanted with an identifying microchip while in the inclosure of a

licensed racing association, racing fair, or training facility, the following procedures shall be followed:

(A) The horse identifier ~~Board of Stewards~~ will assign the microchip number for each horse to be implanted.

(B) The microchip shall be implanted by a Board-licensed veterinarian, registered veterinary technician, or other licensee who has been authorized by the official veterinarian and while under the supervision of the horse identifier ~~and a steward~~.

(C) The licensed owner of the horse shall be responsible for any veterinary service fees for implantation of the microchip.

D) The horse identifier, ~~in collaboration with the Board of Stewards~~, shall verify the individual microchip identification number assigned to the horse. The horse identifier ~~Board of Stewards~~ will record the individual horse microchip identification number with the racing secretary in a searchable electronic format that is subject to Board approval. Records of the microchip identification numbers shall be maintained by the racing secretary and in accordance with Rule 1597.

(7) A horse that comes into the inclosure of a licensed racing association, racing fair, or authorized training facility, and carries a microchip but has not had its individual microchip identification number recorded by the racing secretary, shall have its microchip verified and recorded in accordance with subsection (a)(~~65~~)(D). No person shall prevent the Board of Stewards, the horse identifier, or the racing secretary from assigning, verifying, or recording a horse's microchip number.

Authority Section 19420, 19440, and 19562,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
MICROCHIP WAIVER REQUEST
CHRB-237 (New-04/16Rev. 04/19)

MICROCHIP WAIVER REQUEST

REQUESTER NAME: _____

HORSE NAME: _____ TATTOO #: _____

HORSE AGE: _____ SEX: _____ COLOR: _____

RACING JURISDICTION HORSE IS COMING FROM: _____

STAKES-RACE HORSE WILL PARTICIPATE IN: _____

NAME OF RACETRACK: _____ DATE OF RACE: _____

TRAINER: _____

OWNER: _____

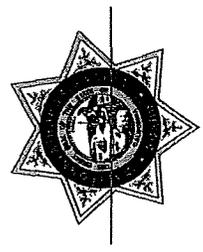
DATE OF REQUEST: _____ TIME: _____

WAIVER GRANTED? Yes _____ NO _____

(CHRB Steward Name Printed)

(CHRB Steward Signature)

CALIFORNIA HORSE RACING BOARD



HORSE NOT MICROCHIPPED

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
HORSE NOT MICROCHIPPED
CHRB-235 (New 04/16)

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD
REGARDING THE PROPOSED AMENDMENT TO
CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE, AND
CHRB RULE 1866 VETERINARIAN'S LIST

TO PROVIDE THAT 1) ANY HORSE THAT HAS NOT RACED WITHIN 12 CONSECUTIVE MONTHS SINCE ITS PREVIOUS START IS INELIGIBLE TO START IN A RACE UNTIL SUCH HORSE HAS PERFORMED SATISFACTORILY IN A WORKOUT OR STANDARD BRED QUALIFYING RACE; 2) TO PROVIDE THAT A HORSE THAT HAS NEVER STARTED IN A RACE, AND IS FOUR (4) YEARS OF AGE OR OLDER, IS INELIGIBLE TO START IN A RACE UNTIL SUCH HORSE HAS PERFORMED SATISFACTORILY IN A WORKOUT OR QUALIFYING RACE AS DESCRIBED IN CHRB RULE 1866(E) AND (F) AND 3) TO MODIFY CHRB RULE 1866 SUBSECTION (E) TO MAKE IT CLEAR THAT QUALIFYING RACES ARE STANDARD BRED EVENTS

Regular Board Meeting
June 20, 2019

ISSUE

Following an increase in horse fatalities during fiscal year 2015-2016, the Board initiated a series of informal reviews of equine fatalities to determine if there might be a common trait or link to the fatalities. Findings for the reviews, which covered approximately three calendar years between 2013 and 2016, concluded that a significant number of racing related equine fatalities were of horses that had not raced for a period of two months or longer. In addition, half of the horses with a sizeable gap in activity had been on the Veterinarian's List prior to returning to racing. Horses four years or older that had never started in a race were at greater risk of catastrophic injury.

ANALYSIS

The CHRB tracks horse fatalities that occur at any facility under its jurisdiction. Horses may suffer fatalities while racing, training, or due to other non-exercise related causes, including stable area accidents or medical maladies such as gastro-intestinal, respiratory, or neurological diseases. One of the primary purposes of tracking such fatalities is to identify health and safety risks for horse and rider, and to implement preventive or corrective actions. At the February 2017 CHRB Medication, Safety and Welfare Committee meeting, staff reported that a survey of California racehorse fatalities occurring 2013 through 2016 revealed that twenty percent of the losses were horses that raced after an extended lay-off. At the recommendation of the Board's Equine Medical Director, the Committee endorsed a proposal to amend Rule 1588, Horse Ineligible to Start in a Race, to require that horses returning from an extended layoff demonstrate their physical fitness prior to being entered to race. The proposed amendment will aid in the reduction of race horse injuries and fatalities by providing that a horse will not be allowed to start in a race after a 12-month layoff, unless it is found to be raceably sound and in fit physical condition to exercise its best efforts in a race. The proposed amendment to Rule 1588 will add a new subsection 1588(a)(14) to provide that any horse that has not raced within 12 consecutive months since its previous start is ineligible to start in a race until such horse has performed satisfactorily in a

workout or a standardbred qualifying race as provided in subsections (e) and (f) of Rule 1866, Veterinarian's List. In addition, the proposed amendment of Rule 1588 will add a new subsection 1588(a)(15) to provide that a horse that has never started in a race, and that is four years of age or older, is ineligible to start in a race until such horse has performed satisfactorily in a workout or a standardbred qualifying race as provided in Rule 1866(e) and (f). The proposed amendment to Rule 1866 will modify subsection 1866(e) to provide clarity regarding the term "qualifying race." As used in Rules 1588 and 1866, the term refers to standardbred qualifying races. Qualifying races are purse-less races that are required for horses that break stride too often, behave badly before or during races, that fail to produce speed up to the local standard or that have been sidelined by illness or injury. Essentially, qualifying races assure the public that a horse permitted to start in an event with pari-mutuel wagering is fit for competition.

BACKGROUND

Business and Professions Code section 19440 provides the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 provides that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in the state.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1588, HORSE INELIGIBLE TO START IN A RACE

Regular Board Meeting
June 20, 2019

1588. Horse Ineligible to Start in a Race.

(a) In addition to any other valid ground or reason, a horse is ineligible to start in any race:

- (1) if such horse is not registered by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;
- (2) if the parentage verification to both the sire and the dam of all horses foaled in 1992 and thereafter has not been certified by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;
- (3) if, unless the stewards permit otherwise, the certificate of foal registration, eligibility papers, or other registration issued by the official registry for such horse is not on file with the racing secretary at the time of entry;
- (4) if such horse has been entered or raced at any recognized race meeting under any name or designation other than the name or designation duly assigned by and registered with the official registry;

- (5) if the certificate of foal registration, eligibility papers or other registration issued by the official registry has been altered, erased, or forged;
- (6) if the identification markings of the horse do not agree with the identification markings as set forth in the registration of such horse;
- (7) unless he is eligible to enter said race and is duly entered for such race;
- (8) when such horse is owned in whole or in part by an unlicensed person or is in the care of an unlicensed trainer;
- (9) when such horse is on the Steward's List, the Starter's List or the Veterinarian's List;
- (10) when, except with prior approval of the stewards for good cause, such horse is on the Veterinarian's List in another racing jurisdiction. Good cause includes:
 - (A) unforeseen administrative issues in removing the horse from the Veterinarian's List of another racing jurisdiction;
 - (B) the location of the horse prevents it from being evaluated by the official veterinarian of another racing jurisdiction and cleared from that jurisdiction's Veterinarian's List, and the horse is approved to race by a California official veterinarian; or
 - (C) any other unforeseen event or reason that would prevent a horse that would otherwise not be on a Veterinarian's List from being cleared from the Veterinarian's List of another racing jurisdiction.
- (11) when, except with prior approval of the stewards, such horse has not been on the grounds of the association or its approved auxiliary stable area for at least 24 hours prior to the time the race is to be run.
- (12) when such horse does not carry a microchip or has not received a waiver from the stewards in accordance with Rule 1597.5.

(13) when such horse has received an intra-articular injection within the previous five days (120 hours) prior to the scheduled post-time for the race in which it is entered.

(14) when such horse has not raced at a recognized race meeting within 12 consecutive months since its previous start, until the horse has performed satisfactorily in a workout or standardbred qualifying race as provided in Rule 1866(e) and (f).

(15) when such horse is a first-time starter four (4) years of age or older, until the horse has performed satisfactorily in a workout or standardbred qualifying race as provided in provided in Rule 1866(e) and (f).

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1866. VETERINARIAN'S LIST

Regular Board Meeting
June 20, 2019

1866. Veterinarian's List.

(a) The official veterinarian shall maintain a Veterinarian's List of those horses determined to be unfit to compete in a race due to veterinary treatment, physical distress, injury, lameness, unsoundness or infirmity.

(1) When a horse is placed on the Veterinarian's List, the trainer of such horse shall be notified within 72 hours.

(b) A horse placed on the Veterinarian's List as:

(1) sick;

(2) having received veterinary treatment-shockwave therapy;

(3) injured;

(4) unsound; or

(5) lame,

may not workout for 72 hours after being placed on the list without the permission of the official veterinarian.

(c) The official veterinarian may require any horse placed on the Veterinarian's List to undergo a veterinary examination prior to resuming training at any facility under the jurisdiction of the Board.

(d) A horse placed on the Veterinarian's List shall be removed from the list only after having established or demonstrated to the satisfaction of the official veterinarian or the racing

veterinarian that the horse is then raceably sound and in fit physical condition to exert its best effort in a race.

(1) A horse placed on the Veterinarian's List as lame or unsound for the first time within a 365 day period must stay on the Veterinarian's List for a minimum of 10 days before the horse is eligible to be removed from the list.

(2) A horse placed on the Veterinarian's List as lame or unsound for the second time in 365 days must stay on the Veterinarian's List for a minimum of 30 days before the horse is eligible to be removed from the list.

(3) A horse placed on the Veterinarian's List as lame or unsound for the third time in 365 days must stay on the Veterinarian's List for a minimum of 60 days before the horse is eligible to be removed from the list.

(4) A horse placed on the Veterinarian's List as lame or unsound for the fourth time in 365 days must stay on the Veterinarian's List for a minimum of 180 days before the horse is eligible to be removed from the list.

(e) A horse may be required to perform satisfactorily in a workout or standardbred qualifying race to demonstrate its physical fitness, and if so a blood and/or urine post-work test sample shall be taken from the horse and the provisions of this article shall apply to such official workout in the same manner as to a scheduled race.

(f) For the purpose of this regulation, "workout" means an exercise session near full speed, or close to full speed.

Authority: Sections 19440 and 19562,
Business and Professions Code.
Reference: Sections 19440 and 19562,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL
OF THE FISCAL YEAR 2019-20 AGREEMENT PROVIDING
FUNDING SUPPORT FOR THE BOARD

Regular Board Meeting
June 20, 2019

ISSUE: CHRB SUPPORT FORMULA FOR FISCAL YEAR 2019-2020

The CHRB support formula is developed in consultation with the horse racing industry. Historically, the formula is based upon the license fees that would have been paid to the State of California had Senate Bill 16, Statutes of 2009 (SB16) not been enacted. The following mechanism is used to develop the formula:

- The projected commingled handle was multiplied by the pre SB16 license fee rate for each host track to arrive at the estimated pre SB16 license fees for the fiscal year 2019-20.
- The CHRB support formula percentages were calculated by dividing the CHRB approved budget by the estimated pre SB16 license fees. The formula calculated rate for the 2019-20 fiscal year will be 71.93 percent.

CHRB Support Rate Fee calculated pursuant to the formula is 71.93 percent; however, the horse racing industry recommends increasing the rate to 72.00 percent. This will allow industry to pay the CHRB approved budget before the end of fiscal year 2019-20.

Fiscal year 2019-20 is the eleventh year the CHRB support formula has been in effect. Table 1 below shows the CHRB approved budgets and the CHRB support fee rates used to fund CHRB operations.

Table 1. Historical Percent of formula fee rates to support the CHRB budget

Fiscal Year	CHRB Approved Budget	CHRB Support Fee Rate
2009-10	\$11,833,000	42.60%
2010-11	\$11,734,000	48.80%
2011-12	\$11,716,000	57.80%
2012-13	\$11,590,000	52.65%
2013-14	\$11,639,000	52.65%
2014-15	\$11,912,000	52.65%
2015-16	\$13,263,000	59.25%
2016-17	\$13,469,000	61.00%
2017-18	\$13,799,000	68.00%
2018-19	\$14,245,000	68.00%

2019-20	\$14,533,000	72.00%
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The CHRB support fee rate is an estimate, and depending on handle activity there will be a variance at the end of the fiscal year. The industry agreement provides for a reconciliation at the end of the fiscal year to ensure the industry obligations under the industry agreement are met.

The following attachments show how the formula was developed as well as the industry agreement to provide for the CHRB support.

- Attachment I- Board Support Formula Model for 7/1/2019- 6/30/2020
- Attachment II- Industry Agreement
- Attachment III- Industry Projection

As of June 13, 2019, industry representatives agreed to the CHRB support formula and most industry representatives have signed the agreement except for representatives from Santa Anita, Golden Gate Fields, and the Thoroughbred Owners of California.

BACKGROUND

Business and Professions Code section 19616.51 (a) states that notwithstanding any other provision of law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 1949.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay a license fee to the state to fund the Board and the equine drug testing program as follows:

- (1) All racing associations and fairs including all breeds of racing shall participate in the funding of the Board in accordance with the formula devised by the Board in consultation with the industry.
- (2) The baseline funding for the Board and equine drug testing program in the first fiscal year after the enactment of this section shall be the amount approved in the 2008-09 Budget Act.
- (3) Adjustment to the funding in subsequent budget years may only be made by an act of the Legislature.

For the 2019-20 fiscal year, the CHRB's operating budget as determined by the Governor's Budget, is \$14,533,000 and such amount shall be subject to change in future years.

RECOMMENDATION

Staff recommends that the Board approve the funding formula for fiscal year 2019-20.

Board Support Formula Model for 7/1/2019- 6/30/2020

Method assumes that board support and F & E recapture are calculated on the basis of old style commingled license fees. No license fees would be paid on non-merged host fees

Host	Prior Year time frame (5/29/18 through 5/27/19)					Projected July 1, 2019 - June 30, 2020 based on projected live race days 96.000%				
	Notes	Live Days	Commingled Handle	Commingled License	Commingled License %	Notes	Live Days	Projected Commingled Handle	Projected Pre SB 16 License %	Projected Pre SB 16 License
	Alameda County Fair		15	33,979,732	187,474	0.55%		11	23,921,731	0.55%
California State Fair		11	24,593,319	131,306	0.53%		11	23,609,586	0.53%	126,054
Del Mar		52	611,650,720	4,972,766	0.81%		52	587,184,691	0.81%	4,773,856
LA County Fair		12	63,773,582	334,332	0.52%		12	61,222,638	0.52%	320,959
Fresno District Fair		9	18,381,799	105,143	0.57%		9	17,646,527	0.57%	100,937
Golden Gate Fields		149	525,931,564	2,686,762	0.51%		158	524,683,460	0.51%	2,680,386
Humboldt County Fair		7	10,269,671	52,805	0.51%		6	3,726,685	0.36%	13,416
Los Alamitos Quarters		146	247,637,999	806,636	0.33%		146	237,732,479	0.33%	774,370
Los Alamitos TBs		20	115,396,375	937,143	0.81%		20	110,780,520	0.81%	899,657
Santa Anita		106	1,217,679,493	9,702,675	0.80%	Host BC	122	1,363,163,532	0.75%	10,159,530
Sonoma County Fair		8	21,112,568	115,181	0.55%		8	20,268,065	0.55%	110,574
Watch & Wager		45	54,267,794	111,336	0.21%		47	54,412,509	0.21%	111,633
Total:		580	2,944,674,616	20,143,558	0.68%		602	3,028,352,424	0.67%	20,203,354

Estimated pre SB 16 lic. 1 7/1/19 - 6/30/2020 on commingled handle, excluding F & E section 19614: 20,203,354

Board Support:	% of Pre SB 16 Lic. Fees:
From Outs	-
From old style License	<u>14,533,000</u> 71.93%
Total to Board	14,533,000

Estimated Board Support by Meet	Notes	2019-20 Days	Projected Old Style License Generated	To CHRB	To F & E	Distributable SB 16 Savings (Old Style License less BS/F& E)	To Purses	To Breeders	To Tracks
Alameda County Fair		11	131,981	94,939	-	37,042	17,966	1,111	17,966
California State Fair		11	126,054	90,675	-	35,379	17,159	1,061	17,159
Del Mar		52	4,773,856	3,434,006	-	1,339,849	649,827	40,195	649,827
LA County Fair		12	320,959	230,877	-	90,082	43,690	2,702	43,690
Fresno District Fair		9	100,937	72,608	-	28,329	13,740	850	13,740
Golden Gate Fields		158	2,680,386	1,928,098	-	752,288	364,860	22,569	364,860
Humboldt County Fair		6	13,416	9,651	-	3,765	1,826	113	1,826
Los Alamitos Quarters		146	774,370	557,032	-	217,338	105,409	6,520	105,409
Los Alamitos TBs		20	899,657	647,156	-	252,501	122,463	7,575	122,463
Santa Anita		122	10,159,530	7,308,116	-	2,851,414	1,382,936	85,542	1,382,936
Sonoma County Fair		8	110,574	79,540	-	31,034	15,052	931	15,052
Watch & Wager		47	111,633	80,302	-	31,331	15,196	940	15,196
Total:		602	20,203,354	14,533,000	-	5,670,354	2,750,122	170,111	2,750,122

Agreement for CHRB Support Fiscal Year 2019-2020

This will serve to memorialize agreement among the undersigned with respect to funding of the California Horse Racing Board, ("CHRB"). The undersigned hereby agree among themselves that each will make the following payments:

1. Section 19616.51 of the Business and Professions Code was amended by ABX4 12, and now stipulates:

(a) Notwithstanding any other law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 19491.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay its proportional amount, as determined by the formula devised pursuant to paragraph (1), as a license fee to the state, to be deposited into the Horse Racing Fund, which is hereby established, to fund the board and the equine drug testing program as follows:

(1) All racing associations and fairs including all breeds of racing shall participate in the funding of the board in accordance with a formula devised by the board in consultation with the industry.

For the 2019-2020 fiscal year, the CHRB's operating budget has been determined by the enactment of Section 19616.51 to be \$14,533,000 and such amount shall be subject to change in future fiscal years. The undersigned are responsible for the funding of the CHRB's annual operating budget by depositing the necessary funds in the California Horse Racing Fund. The amount to be so deposited by each of the undersigned shall be a percentage of the License Fee that would have otherwise been payable by the undersigned in the absence of the enactment of SB 16XX and for each fiscal year a determination shall be made as to the percentage necessary to generate the amount for the funding of the CHRB operating Budget. For the 2019-2020 fiscal year such percentage shall be 72%. Thus, during the 2019-2020 fiscal year, the undersigned shall each pay 72% of the License Fee that would have otherwise been payable by each if SB 16 XX had not been enacted.

The undersigned shall remit the respective amounts they owe no later than Friday for amounts generated during the prior week (Monday through Sunday) for wagers placed at brick and mortar sites within California. For amounts generated by wagering outside the State of California on races conducted in California ("export wagering") including wagers placed through ADW providers, the undersigned will remit the respective amounts they owe within ten business days of the receipt of host fees generated from export wagering.

It is recognized that a determination of true-up amounts will be necessary at the end of each fiscal year. Excesses and shortfalls in funding shall be determined after the end of the fiscal year. Should a shortfall occur, the payments required by the undersigned shall be determined by calculating the percentage of each entity's contribution to the total funding generated in the current fiscal year and then applying such percentage to the shortfall. The CHRB will notify the undersigned of their shortfall amounts, and the undersigned will remit payments within ten business days of such notification. Should an excess occur, an amount equaling the percentage of each association's contribution to the total funding generated in the current fiscal year shall be applied to the total excess generated in the current fiscal year, and that amount shall be returned to each association in the following fiscal year.

2. The CHRB is a third party beneficiary of the payments to be made by the undersigned pursuant to paragraph 1 hereof, therefore, the CHRB has a right to bring an action against any of the undersigned who fail to make the prescribed payments in paragraph 1 hereof. Payments to be made by the undersigned are the sole responsibility of that entity; therefore, there is no joint and several liability among the undersigned for the failure of anyone to make the prescribed payments.

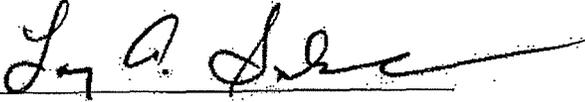
3. This Agreement may be signed in counterparts.

4. California Horse Racing Industry Organizations which have agreed to participate in the funding described above include: California Authority of Racing Fairs, Del Mar Thoroughbred Club, Los Angeles County Fair Association, Los Alamitos Racing Association, Los Alamitos Quarter Horse Racing Association, Los Angeles Turf Club, Pacific Racing Association, Sonoma County Fair, Watchandwager.com LLC, California Harness Horsemen's Association, California Thoroughbred Breeders Association, Pacific Coast Quarter Horse Racing Association, and Thoroughbred Owners of California.

Agreement for CHRB Support -Fiscal Year 2019-2020

21-5

California Authority of Racing Fairs

By 

Del Mar Thoroughbred Club

By _____

Los Alamitos Racing Association

By _____

Los Angeles County Fair Association

By _____

Los Alamitos Quarter Horse Racing Association

By _____

Los Angeles Turf Club

By _____

Pacific Racing Association

By _____

Sonoma County Fair

By _____

Watchandwager.com LLC

By _____

Thoroughbred Owners of California

By _____

California Harness Horsemen's Association

By _____

California Thoroughbred Breeders Association

By _____

Pacific Coast Quarter Horse Racing Association

By _____

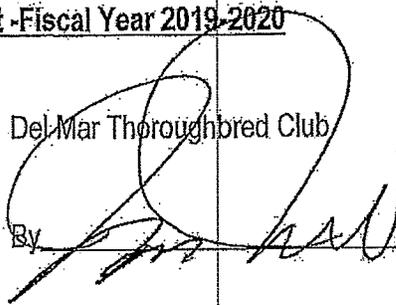
Agreed and Accepted:

By _____
California Horse Racing Board

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By  _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By _____

By _____

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By _____

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By _____

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2019-2020

21-7

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By Paul M. Egan

By Paul M. Egan

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By Paul M. Egan

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By _____

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2019-2020

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By _____

By _____

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By _____

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By 

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

21-9

California Authority of Racing Fairs

By _____

Los Alamitos Racing Association

By _____

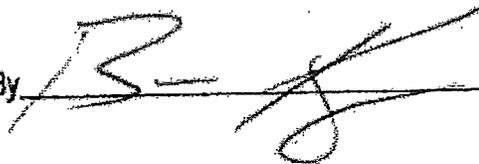
Los Alamitos Quarter Horse Racing Association

By _____

Pacific Racing Association

By _____

Watchandwager.com LLC

By  _____

California Harness Horsemen's Association

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Del Mar Thoroughbred Club

By _____

Los Angeles County Fair Association

By _____

Los Angeles Turf Club

By _____

Sonoma County Fair

By _____

Thoroughbred Owners of California

By _____

California Thoroughbred Breeders Association

By _____

Agreement for CHRB Support -Fiscal Year 2019-2020

21-10

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By _____

By _____

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By _____

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By _____

Watchandwager.com LLC

Thoroughbred Owners of California

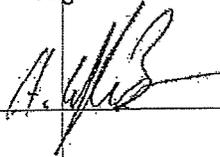
By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By 

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

21-11

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By _____

By _____

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By _____

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By _____

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By _____

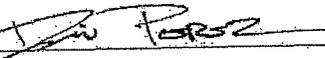
California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By 

Agreed and Accepted:

By _____
California Horse Racing Board

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By _____

By _____

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By _____

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By _____

Watchandwager.com LLC

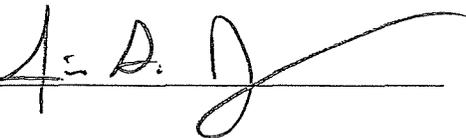
Thoroughbred Owners of California

By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By  _____

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Board Support Model for 7/1/2019 - 6/30/2020

CHRB Funding Requirement

14,533,000

Board Support calculations based upon Pre SB 16 comingled license fees.

Meet:	Prior 12 month time frame for comparison: Tuesday following Memorial Day through Memorial Day Tuesday, 5/29/18 - Monday, 5/27/19						Projected 7/1/19 - 6/30/20 Based on anticipated live race days and prior period averages; Santa Anita adjusted for BC host days. For hosts with similar days in both years, model incorporates an average daily handle decline of -4.00%					
	Notes	Live Cards	Comingled Hosted Handle	Comingled Pre SB 16 License Fees	Comingled Pre SB 16 License Fee %	Board Support	Projection Notes	Live Cards	Projected Comingled Handle	Assumed Pre SB 16 License Fee %	Projected Pre SB 16 License Fees	Projected Board Support at Break Even Rate
Alameda County Fair		15	33,979,732	187,473	0.55%	127,482		11	23,921,731	0.55%	131,981	94,939
California State Fair		11	24,593,319	131,307	0.53%	89,289		11	23,609,586	0.53%	126,055	90,676
Del Mar		52	611,650,720	4,972,761	0.81%	3,381,478		52	587,184,691	0.81%	4,773,851	3,434,011
Fresno District Fair		9	18,381,799	105,143	0.57%	71,498		9	17,646,527	0.57%	100,938	72,608
Golden Gate		149	525,931,577	2,686,753	0.51%	1,826,992		158	524,683,460	0.51%	2,680,377	1,928,096
Humboldt	2018 incl 4 solo, 3 overlap	7	10,269,671	52,805	0.51%	35,907	6 overlap, no solo	6	3,726,685	0.36%	13,382	9,626
LACF		12	63,773,582	334,331	0.52%	227,345		12	61,222,638	0.52%	320,958	230,877
Los Alamitos Quarter Horse		146	247,640,651	806,634	0.33%	548,511		146	237,735,025	0.33%	774,369	557,033
Los Alamitos Thoroughbred		20	115,396,375	937,142	0.81%	637,257		20	110,780,520	0.81%	899,657	647,157
Santa Anita	Canc 16 cards in March, 3 day weeks April-May 2019	106	1,217,679,943	9,702,664	0.80%	6,597,811	Host for BC fall 2019	122	1,363,163,532	0.75%	10,159,530	7,308,134
Sonoma County Fair		8	21,112,568	115,182	0.55%	78,323		8	20,268,065	0.55%	110,574	79,540
Watch and Wager		45	54,267,656	111,336	0.21%	75,709		47	54,412,369	0.21%	111,633	80,302
Total:		580	2,944,677,592	20,143,532	0.68%	13,697,602		602	3,028,354,831	0.67%	20,203,305	14,533,000
Estimated percentages of Pre SB 16 License Fees needed to generate Board Support												71.93%
Suggested Percentage												72.00%