

CALIFORNIA HORSE RACING BOARD  
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## REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, June 22, 2017**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### AGENDA

#### Action Items:

1. **Approval of the minutes of May 25, 2017.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.  
**Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of WatchandWager.Com, LLC in the amount of \$5,400 to six beneficiaries.**
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Racing Association (T), at Los Alamitos**, commencing July 5, 2017 through July 18, 2017, inclusive.
6. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Sonoma County Fair (F) at Santa Rosa**, commencing August 2, 2017 through August 22, 2017, inclusive.
7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Humboldt County Fair (F) at Ferndale**, commencing August 23, 2017 through September 5, 2017, inclusive.
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association II (T) at Golden Gate Fields**, commencing August 23, 2017 through September 19, 2017, inclusive.

9. Discussion and action by the Board on the **Application for Approval to Conduct Advance Deposit Wagering (ADW) of NewCo Ventures North America, LLC., dba NYRAbets.com, for an out-of-state multi-jurisdictional wagering hub**, for a period of up to two years.
10. Discussion and action by the Board on the **Application for Approval to Conduct Advance Deposit Wagering (ADW) of Churchill Downs Technology Initiatives Company, dba Twinspires.com, and Bet America for an out-of-state multi-jurisdictional wagering hub**, for a period of up to two years.
11. Discussion and action by the Board on the **Application for Approval to Conduct Advance Deposit Wagering (ADW) of WatchandWager.Com, LLC, for an out-of-state multi-jurisdictional wagering hub**, for a period of up to two years.
12. Discussion and action by the Board on the **Application for License to Conduct Advance Deposit Wagering (ADW) of XpressBet, LLC, for a California multi-jurisdictional wagering hub**, for a period of up to two years.
13. Discussion and action by the Board on the **Application for Approval to Conduct Advance Deposit Wagering (ADW) of ODS Technologies, L.P., dba TVG, for an out-of-state multi-jurisdictional wagering hub**, for a period of up to two years.
14. Discussion and action by the Board on the **approval of the Fiscal Year 2017/2018 Agreement providing funding support for the Board**.
15. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).

- C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman  
George Krikorian, 1<sup>st</sup> Vice Chairman  
Madeline Auerbach, 2<sup>nd</sup> Vice Chairman  
Jesse H. Choper, Member  
Araceli Ruano, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director

Date of Notice: June 9, 2017

Item 1

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track**, 285 West Huntington Drive, Arcadia, California, on **May 25, 2017**.

Present: Chuck Winner, Chairman  
George Krikorian, First Vice-Chairman  
Madeline Auerbach, Second Vice-Chairman  
Jesse H. Choper, Member  
Araceli Ruano, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director  
John McDonough, General Counsel

#### APPROVAL OF THE MINUTES OF APRIL 27, 2017

Executive Director Rick Baedeker stated the April 27, 2017 minutes included in the Board package had a header on each page dated April 6, 2017 instead of April 27, 2017. The minutes were corrected after the Board packages were printed. Chairman Winner asked for approval of the minutes of the Regular Meeting of April 27, 2017. Commissioner Choper **motioned** to approve the minutes. Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Auerbach, Solis, Winner, Krikorian, Choper, Ruano. Nay: None. Motion carried.

#### EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported that the Necropsy Review Program was a voluntary program that would begin with the horses that were lost at Del Mar in 2016. He stated the program was intended to be a constructive process to better learn how to take care of racehorses and how to prevent fatalities moving forward. He said the handle looked good for April 2017. Daytime handle was up 12.3 percent; nighttime handle was down 2.05 percent; and up 10.45 percent for the

year. Year-to-date daytime handle was down 4.2 percent; nighttime handle was down 2.9 with an overall shortfall of 4.09 percent.

### **PUBLIC COMMENT**

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Gino Roncelli, Winners Foundation (Winners), stated Bob Fletcher, the Executive Director for Winners, resigned due to health problems. He said Winners provided services to the California horse racing industry for the past 35 years, and had helped many people in the industry. Mr. Roncelli said he was happy to introduce Darin Sharer, Winners' new Executive Director, to the Board. Darin Sharer, Winners, stated he wanted to pick up where Mr. Fletcher left off with Winners. Chairman Winner said he wanted to give his best wishes to Mr. Sharer and to Winners. Winners was a phenomenal organization. Commissioner Ruano congratulated Mr. Sharer. She said many executive directors had a short-term and long-term strategic plan when they started with a new company. She asked if Mr. Sharer had strategic plans prepared for Winners. Mr. Sharer said he was working on a strategic plan for Winners, and he would be happy to share it with the Board once it was established. Executive Director Rick Baedeker stated Winners was often taken for granted because its work was proficient. He said Winners' work included more than just help for substance abuse; Winners representatives were available to provide help for anyone in the industry with gaming problems as well.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM NORTHERN CALIFORNIA STABLING AND VANNING COMMITTEE TO MODIFY THE DISTRIBUTION OF MARKET ACCESS FEES FROM ADVANCE DEPOSIT WAGERING (ADW) AT A RATE OF 1.1 % AS PERMITTED UNDER BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(5)(E) FOR WAGERING CONDUCTED BY THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) IN THE NORTHERN ZONE DURING CALENDAR YEAR 2017.**

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Larry Swartzlander, California Authority of Racing Fairs (CARF), stated the request to modify the distribution of market access fees from advance deposit wagering (ADW) at a rate of 1.1% was part of the Northern California Off-Track Wagering, Inc. (NCOTWINC) stabling agreement and would apply for 2017 only. He said in conjunction with Santa Rosa, the increase would eliminate the \$1 million deficit from 2016. He stated next year's NCOTWINC budget would be balanced and the request would not be needed in 2018. Commissioner Ruano **motioned** to approve the distribution of market access fees from ADW at a rate of 1.1 percent. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Winner, Krikorian, Choper, Ruano. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM NORTHERN CALIFORNIA STABLING AND VANNING COMMITTEE TO MODIFY THE DISTRIBUTION OF MARKET ACCESS FEES FROM ADVANCE DEPOSIT WAGERING (ADW) AT A RATE OF 0.65% AS PERMITTED UNDER BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(5)(E) FOR WAGERING CONDUCTED BY THE SONOMA COUNTY FAIR IN THE NORTHERN ZONE DURING CALENDAR YEAR 2017.**

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Cal Rainey, Northern California Off-Track Wagering, Inc. (NCOTWINC), stated the Northern California Stabling and Vanning Committee was requesting a modification of the distribution of market access fees from Advance Deposit Wagering (ADW) at a rate of 0.65 percent for wagering conducted by the Sonoma County Fair (Sonoma) during its 2017 race meeting to help cover the Stabling and Vanning Fund deficit. Becky Bartling, Sonoma, said Sonoma supported the modified

rate. Commissioner Solis said he wanted to know why the proposed modified rate for Sonoma was different from the modified rate for California Authority of Racing Fairs (CARF). Bryan Wayte, NCOTWINC, said the difference in the modified rates was based on the difference in 2016 handle. Second Vice-Chairman Auerbach said the Board approved a rate change for the Stabling and Vanning Fund at its April 2017 regular meeting. Mr. Wayte said the approved rate was from brick and mortar satellite sites to cover the 2017 stabling and vanning at Golden Gate Fields and Pleasanton. He said the proposed rate would help retire the 2016 debt and was from ADW. Second-Vice Chairman Auerbach asked if the funds were sufficient for 2017. Mr. Wayte stated the Stabling and Vanning Fund should be balanced by the end of 2017. Second Vice-Chairman Auerbach asked why the requests for the rate changes were not presented collectively, as a financial plan. Mr. Wayte said some data needed to determine the best rate from ADW to erase the 2016 deficit was not available prior to the April 2017 Board meeting. Commissioner Solis **motioned** to approve the distribution of market access fees from ADW at a rate of 0.65 percent. Second Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Krikorian, Winner, Auerbach, Solis. Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) AT SACRAMENTO, COMMENCING JULY 12, 2017 THROUGH AUGUST 1, 2017, INCLUSIVE.**

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Larry Swartzlander, California Exposition and State Fair (Cal Expo), stated Cal Expo's race meet was an 11 day meet. Cal Expo's overnight purses were the same as Golden Gate Fields, and it would continue to offer out-of-state incentives, such as horses that ran for the first time would receive \$350, and horses that ran a second time would receive \$150. The major addition to Cal

Expo's race meet was the incentive program for starts. Trainers that started ten times at Cal Expo would receive \$2,000 versus the \$1,000 offered in 2016. Trainers that started five times would receive \$800 versus the \$500 offered in 2016. An additional incentive was added for trainers with two to four starts who would receive \$300, with the intent that trainers would move their horses to Cal Expo. Rick Pickering (Cal Expo), stated this year's race meet represented the 164<sup>th</sup> California State Fair and 50 years at the Cal Expo property. Cal Expo was the vision of Governor Pat Brown who had worked with Walt Disney. Cal Expo's 50<sup>th</sup> Anniversary poster was inspired by Disney. He said the entire fair marketing program was selected as the number one advertising marketing campaign in the world for fairs and expositions. He stated the website was recently translated into 10 languages allowing further reach into the Sacramento and California markets. Cal Expo was continuing confidential negotiations with a potential minisatellite location in downtown Sacramento, and was working with Northern California Off-Track Wagering, Inc., Thoroughbred Owners of California, and the private party owners. He stated with the closing of Pleasanton as a year-round training facility, Cal Expo was now the only fair in California with live racing year round, with harness racing operating from September to May, and then transiting into the summer race meet at the State Fair. Commissioner Choper said Cal Expo's average number of runners per race in 2016 last year was 6.2. He asked if the incentive program for starts would raise the number of horses per race in 2017. Mr. Swartzlander said the number of starts per race was 6.2 in 2015, and 6.21 in 2016. Underpayments were around \$80,000 and handle was up 2 percent on track and 4 percent in Northern California. He stated purses got trainers to run and purses had to be raised. First Vice-Chairman Krikorian said it would be helpful to have dedicated Cal-bred stake races at the State Fair. Mr. Swartzlander stated CARF had two \$100,000 Cal-bred stakes races, one at Pleasanton and one at Fresno. Chairman Winner said it would be a good idea to have a Cal-bred

stakes race at the State Fair. First Vice-Chairman Krikorian asked what other breeds were running. Mr. Swartzlander said Arabian, Mules, and Quarter horses were also running. Chairman Winner asked if there was a difference in the amount of wagering between Arabian, Thoroughbred, and Mules. Mr. Swartzlander said he would put wagering on each breed in the order of Thoroughbreds, Quarter horses, Arabians, and Mules. First Vice-Chairman Krikorian asked if Arabian horses were allowed to train at CARF facilities. Mr. Swartzlander said yes, Arabians could train at all CARF facilities. Commissioner Solis asked why Cal Expo was running 12 fewer races than it ran in 2016. Mr. Swartzlander said if there was no signal in the south the first weekend it was not beneficial; Cal Expo would lose purse money and commissions. Second Vice-Chairman Auerbach said she believed Cal Expo was taking aggressive steps to bring in more horses; the lack of horses was not a Sacramento problem, but a statewide problem, and she applauded its efforts to bring people in to race. Chairman Winner stated he agreed with 2<sup>nd</sup> Vice-Chairman Auerbach; the lack of horses racing was a statewide problem and he applauded Cal Expo's efforts to provide trainers with incentives to bring in more horses. First Vice-Chairman Krikorian said Cal Expo could attract more horses if it had a turf course. Mr. Swartzlander stated a turf course was on Cal Expo's list. Commissioner Ruano stated she liked Cal Expo's 50<sup>th</sup> Anniversary poster and commended Cal Expo on its outreach efforts to bring in different demographics. She asked Cal Expo to highlight some of its marketing plans. Mr. Pickering said Cal Expo was negotiating with Univision. The network was interested in doing Spanish language live remotes from the racetrack. He stated Cal Expo had a Cultural Advisory Council that met on a regular basis with representatives from a variety of communities throughout Sacramento and California. That was one of the reasons Cal Expo was looking at adding 20 more languages to its website. Cal Expo also integrated Folklorico and Gran Jaripeo events at the fair, and Melissa Etheridge was performing at the closing concert.

He stated in the past the Board always asked how Cal Expo would get more people to the track to wager, but in 2017 it sounded like the Board's question was more of how do you get horsemen to the track to run. He stated Cal Expo generated more money than it paid out, which meant funds were available for other fairs such as Humboldt and Pleasanton. Tom Martinez, Cal Expo, said Cal Expo incorporated new signage, programs, and activities to drive attention to the grandstand area. He stated Cal Expo was providing a new marketing focus towards its Spanish language communities via radio and television, and was excited about its new relationship with Univision. Commissioner Choper asked about the increase in parking fees. Mr. Pickering said the cost of doing business continued to increase and revenues continued to be stagnant in general. He stated admission and parking fees were last increased in 2008. After a complete analysis it was determined that instead of increasing the admission rate, which would significantly impact families, a parking fee increase would be less burdensome. The goal was to keep the admission and carnival prices the same and only increase parking. First Vice-Chairman Krikorian **motioned** to approve Cal Expo's application to conduct a horse racing meeting commencing July 12, 2017 through August 1, 2017. Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Krikorian, Winner, Auerbach, Solis. Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING JULY 19, 2017 THROUGH SEPTEMBER 5, 2017, INCLUSIVE.**

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Josh Rubenstein, Del Mar Thoroughbred Club (DMTC), stated the 2017 Breeders' Cup hosted by DMTC created excitement for the proposed DMTC race meet beginning July 19, 2017. He said media coverage was stronger than usual for a DMTC summer race meeting. Mr. Rubenstein said

the proposed race meet would have seven weeks, rather than 2016's eight week meeting. The shorter timeframe would provide more time for the racing surfaces to recover before the fall 2017 race meeting. Mr. Rubenstein stated DMTC had a new high definition video board, with the same technology used as at the Dallas Cowboys AT&T Stadium in Arlington, Texas. Mr. Rubenstein stated DMTC would offer a Pick 6 jackpot following the same rule as Los Angeles Turf Club (LATC): 15 percent of the Pick 6 pool would be placed in a carryover pot for a single-ticket winner.

Tom Robbins, DMTC, said Dennis Moore was the track superintendent at Santa Anita Park, and recently became the Director of Track Maintenance for DMTC. He said DMTC thanked LATC for recognizing the need to make Southern California tracks similar to each other. Mr. Robbins stated Mr. Moore made changes to the DMTC main track, including adjusting the bank turn from a four percent grade to five percent. The DMTC track would be maintained with the same program as Santa Anita Park, which would keep it more consistent day-to-day. He said Mr. Moore would work with Dr. Mick Peterson to determine the optimum track conditions before horses arrived for the race meet. Commissioner Solis said he walked the DMTC track with Mr. Moore and pointed out an issue near the quarter pole. He said Mr. Moore planned to correct the track. Mr. Robbins stated Mr. Moore found the source of the track problem through GPS, and fixed the issue. Commissioner Solis stated DMTC did a great job, and always looked for ways to keep horses and jockeys safe; the Board was appreciative. Mr. Robbins said DMTC planned to reduce the horse population from over 2,000 horses to approximately 1,850 for horse safety. Mr. Robbins said DMTC was working to identify horses that had not been seen by veterinarians at DMTC, such as un-started maidens or horses coming in from the ship and win program that were potentially at-risk of injury. This would give DMTC veterinarians advance notice to look closely at these horses upon examination. Mr. Robbins stated the ship and win program was successful and would

continue. DMTC worked closely with the Thoroughbred Owners of California (TOC) to increase the participation bonus to \$1,500 from \$1,000. Second Vice-Chairman Auerbach asked if DMTC had any indication of the ship and win program's popularity for the proposed race meeting. Mr. Robbins stated David Jerkins, the racing secretary for DMTC, just returned from trips to Arlington and Kentucky and was close to getting new stables to attend the proposed race meeting. There was additional interest from outside stables due to DMTC hosting the 2017 Breeders' Cup. David Jerkins, DMTC, said the proposed 2017 DMTC race meeting had 36-days with 41 stakes, which was highlighted by the TVG Pacific Classic. DMTC planned to host five Breeders' Cup Challenge Stakes. He stated DMTC modified the purse structure to allow for a minimum purse of \$20,000 after discussions with TOC. DMTC purses remained among the highest in the country. Craig Dato, DMTC, said as a result of its 2016 research project, DMTC made changes to its 2017 marketing plan. Key findings included differences between preferences for frequent visitors and the general San Diego market. Frequent visitors were motivated by their interest in horse racing. Marketing was catered to showcase racing, wagering and value through mail, email and social media for its loyalty club, the Diamond Club to entice these customers. Events included a handicapping contest with 125 players expected to enter. Mr. Dato stated the general San Diego market was more interested in non-racing events, such as concerts and food festivals. DMTC added events to draw in the general market attendees in hopes of turning them into repeat customers interested in racing. Most Saturdays during the meeting would include a concert or a food festival to draw in the general public, and seminars would be held to educate them and turn them into horse racing fans. DMTC made staff handicappers available throughout the facility to help people understand how to read the racing program and use wagering machines. Mr. Dato said another key finding from the 2016 research project was that regular customers were concerned

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about value for food and beverages. The general market was not as price-sensitive; this group was used to paying higher prices for food and beverage at other local events, such as San Diego Padres baseball games. Therefore, DMTC made an effort to target food and beverage discounts for horsemen and regular players, rather than raising or lowering standard food and beverage prices. Second Vice-Chairman Auerbach stated DMTC provided a quality race meet that was first-class, except for its food. Mr. Rubenstein said 2<sup>nd</sup> Vice-Chairman Auerbach brought up a concern about a lack of turkey in turkey sandwiches years ago. DMTC spoke with the concessionaire, and the concessionaire changed its sandwiches. He said DMTC food options had improved over the last few years, and DMTC was open to suggestions. Second Vice-Chairman Auerbach stated she recently overheard customers dissatisfied with the food at DMTC. Mr. Dato said nine DMTC events for the proposed race meeting involved food, such as the Barbeque State Championship, and Tacotopia. DMTC was trying to bring in outside entities who were known for their food. Commissioner Ruano said she wanted to commend DMTC on the upcoming race meeting's marketing plan. She said it was brilliant for DMTC to research, test and execute ideas for marketing. Chairman Winner stated the Board appreciated everything DMTC did to promote safe horse racing. First Vice-Chairman Krikorian asked if any events were held on the track during the race meeting. Mr. Rubenstein said the events would not be held on the track surface. Executive Director Rick Baedeker stated the staff analysis for the DMTC application to conduct a horse race meeting listed the fire clearance as outstanding; however, the fire inspection was scheduled and not expected to be problematic. Commissioner Choper **motioned** to approve the DMTC application to conduct a horse race meeting commencing July 19, 2017, contingent upon the submittal of the fire clearance. Commissioner Ruano **seconded** the motion, which was

**unanimously carried.** Roll Call Vote: Aye: Solis, Auerbach, Winner, Krikorian, Choper, Ruano.

Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR (F) AT SANTA ROSA, COMMENCING AUGUST 2, 2017 THROUGH AUGUST 22, 2017, INCLUSIVE.**

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This item was moved to the June 2017 Regular Board Meeting Agenda.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T), AT LOS ALAMITOS, COMMENCING JULY 5, 2017 THROUGH JULY 18, 2017, INCLUSIVE.**

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This item was moved to the June 2017 Regular Board Meeting Agenda.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE DEL MAR THOROUGHBRED CLUB FOR APPROVAL TO WRITE MEDICATION RACE CONDITIONS FOR THE BREEDERS CUP RACE WORLD CHAMPIONSHIPS (BCWC) RACES TO INCLUDE; ANY HORSE THAT TEST POSITIVE FOR ANY ANABOLIC STEROID OR OTHER PROHIBITED SUBSTANCE IN A BREEDERS CUP LIMITED (BCL) OUT-OF-COMPETITION TEST SAMPLE WITHIN SIX MONTHS PRIOR TO THE BCWC IS INELIGIBLE TO PARTICIPATE IN BCWC RACES.**

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Craig Favel, President and CEO of the Breeders' Cup, stated the Breeders' Cup would be held at the Del Mar Thoroughbred Club (DMTC) on November 3 and 4, 2017. He said after a positive test in the 2016 Breeders' Cup champions, he and others believed steroid administration had been eliminated from racing or training in general. It was discovered that the rules regarding steroid administration were written differently across many states and it became imperative that the issue be addressed, especially for a championship. He said the Breeders' Cup was an international championship that attracted horses from all over the world. To continue attracting international

horses it was important to ensure a level playing field. Second Vice-Chairman Auerbach **motioned** to approve DMTC's request for approval to write medication race conditions for the Breeders Cup Race World Championships races. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Auerbach, Solis, Winner, Krikorian, Choper, Ruano. Nay: None. Motion Carried.

**DISCUSSION BY THE BOARD REGARDING A PRESENTATION FROM MONARCH MANAGEMENT DESCRIBING HOW THEY PREPARE AND BUNDLE SIMULCAST AGREEMENT PACKAGES FOR ITS CLIENT RACETRACKS.**

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Executive Director Rick Baedeker stated the Board asked a number of questions when Advance Deposit Wagering (ADW) providers sought licensing in January 2017, and Monarch Content Management (Monarch) informed the Board some of the ADW licensees would not be granted access to California racetrack signals. Scott Daruty, Monarch, said Monarch was a nonprofit business with seven employees who worked full-time on simulcasting issues. Monarch represented 15 racetracks across the country, including California thoroughbred racetracks. Each racetrack member paid a fixed annual fee to help defer employee costs. Monarch was formed in 2010 after the California Legislature passed a bill that authorized an increase in the takeout on certain exotic wagers. The goal was to increase the amount of takeout paid into purses, which would help support California horse racing. However, any money from the takeout increase was accrued to the out-of-state wagering locations; not back to California purses. Racetracks joined with Monarch to negotiate rates for their signals, rather than negotiating individually. He said Monarch took the industry lead to ensure racetracks and horsemen received fair compensation. Mr. Daruty stated Monarch spent a significant amount of time attempting to distribute the Monarch racetrack signals worldwide, including to Australia and South America. Although South America

markets such as Panama were small, Monarch expected them to grow. Commissioner Solis stated many places in Panama aired signals illegally, which was not beneficial to the California horse racing industry. He asked if Monarch was working with government officials in Panama to attempt to eliminate the illegal signals. Chairman Winner said areas outside Panama also pirated the signal. Mr. Daruty said Monarch sold racetrack signals to the President Remon Racetrack in Panama and licensed off-track betting sites in the marketplace in as secure a manner as possible. Mr. Daruty stated Monarch had hired a law firm in Mexico to apply pressure to regulators to stop piracy at Mexico-licensed casinos. This successfully ended a lot of piracy in Mexico. He said it was more difficult to stop pirates when they were not licensed, regulated casinos. Monarch continued to work to find effective ways to stop piracy. Mr. Daruty said Monarch brought efficiency to the negotiation process for simulcast contracts. He said it was inefficient for a racetrack to negotiate new simulcast agreements on its own every time it held a race meeting. Having one representative, such as Monarch, that represented multiple racetracks was not unique for simulcast agreement negotiations. Nevada had its own consortium that represented all the casinos in the state to negotiate a simulcast agreement. Mr. Daruty stated Monarch acted as a sales agent only. The final decision on an agreement was up to the racetracks. Monarch occasionally decided not to sell signals to an entity. However, some racetracks that use Monarch for negotiations would make a deal directly with that same entity, which was fully within their right. Mr. Daruty stated Monarch's representation for the California racetracks was good for the racetracks and good for the industry. Second Vice-Chairman Auerbach said Mr. Daruty appeared to imply the Board did not want Monarch to continue representing California racetracks. Mr. Daruty stated he did not have a specific example, however some discussions with the Board left him believing the Board was dissatisfied with Monarch. Second Vice-Chairman Auerbach said the Board needed an

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explanation regarding how Monarch functioned and what it did for the California horse racing industry. The explanation today was appreciated. Sometimes Board members needed the various groups or entities within the industry to explain their function and operating procedures. She stated the Board asked questions for clarification or educational purposes. Perhaps Mr. Daruty misunderstood the reasoning behind the Board's questions and perceived them as Board disapproval. Second Vice-Chairman Auerbach said more organizations should make similar presentations to the Board to explain their roles in the California horse racing industry. Commissioner Ruano said, as a new Board member, she would appreciate other organizations explaining their functions to the Board, however, she did not want to burden experienced Board members with basic functions of organizations they already knew well. Instead, it would be helpful to keep the Board updated if the organizations had any changes to their functions. Chairman Winner stated Mr. Daruty's Monarch presentation was very thorough and informative.

### REPORT FROM THE MEDICATION, SAFETY AND WELFARE COMMITTEE.

Second Vice-Chairman Auerbach stated the Medication, Safety and Welfare Committee meeting was held on May 24, 2017. The first item discussed was a report on the Necropsy Program for fiscal year 2015-2016 presented by Dr. Uzal with UC Davis. The program performed postmortems on all of the 205 horses that died within CHRB regulated facilities. Dr. Uzal said 90 percent of the catastrophic injuries were preexisting, and there may have been a way to prevent the fatalities. Dr. Rick Arthur, CHRB Equine Medical Director, stated not all preexisting injuries, including some microfractures, would show up on a scan. Eighty percent of the equine fatalities at CHRB racing and training enclosures resulted from musculoskeletal injuries during racing and training, and a small percentage from illness and other mishaps. Dr. Uzal stated many of the injuries were

preventable. Second Vice-Chairman Auerbach said she asked what could be done to prevent fatal injuries. Dr. Arthur stated Dr. Stover was working on developing techniques for identifying some preexisting injuries with readily available ultrasound and provide information to alert trainers and veterinarians when a horse at greatest risk of injury needed to be examined and take steps beyond standard diagnosis. Second Vice-Chairman Auerbach stated Alan Balch had mentioned there were discussions on installing MRI equipment on the backside, which would assist in identifying preexisting conditions. The next item discussed was the Fatality Review Program that was proceeding on a voluntary basis in California, beginning with the equine fatalities that occurred during the 2016 summer meet at Del Mar. Similar successful programs were currently operating in New York and Kentucky on a voluntary basis. The purpose of the review program was to learn what could have been done differently to help the horse. The next item discussed was the recent amendment of the Association of Racing Commissioner's International (ARCI) model rule for the Veterinarian's List. CHRB Rule 1866, Veterinarian's List, may need to be amended to reflect the recent changes to the ARCI model rule. Dr. Arthur stated differences between CHRB Rule 1866 and the ARCI model rule would need to be addressed before the proposed amendment could come before the Board. The next item discussed was medication surveillance during training. Since the program began, data on all but one training fatality was collected through the participation of practicing veterinarians and trainers. The purpose of the program was to determine if the medications used during morning training were excessive. Under Dr. Arthur's guidance, a protocol was developed to immediately draw a blood sample from a horse that died during training. The protocol was completely voluntary, and the results demonstrated nine out of ten horses had no glaring medication overages, or anything out of the ordinary. The last item discussed was a rule to require continuing education for trainers as a condition of license renewal. Chairman Winner

said the issue of continuing education had been discussed for many years and really needed to be implemented. Executive Director Rick Baedeker said it had been a high priority for some time. He stated the training modules that were developed through Dr. Stover and Dr. Arthur resulted in very sophisticated training tools that would be invaluable to a certain percentage of trainers. However, in order to continue moving forward, information from the Jockey Club, Racing Officials Accreditation Program, and the University of Kentucky needed to be utilized. Each of the entities were contacted and agreed to work with the CHRB to provide a broad menu of educational items from which a trainer could choose. The only issue was the technology needed to host the material and the mechanism to certify that an individual had completed the training. Staff was also looking into hosting webinars and a live class environment similar to what was currently done in New York.

**REPORT FROM THE RACE DATES COMMITTEE.**

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Chairman Winner stated the Race Dates Committee (Committee) met on May 24, 2017. The Committee discussed the horse population and field sizes in California. The Committee also discussed the pros and cons of a single California race circuit. Currently, the California horse racing industry implemented race dates on a three-year schedule. Los Angeles Turf Club and Los Alamitos Racing Association supported continuing the three-year race date cycle. Chairman Winner stated the impact of field sizes, and wagering and purses was a circular problem that everyone in attendance appeared to recognize. Some participants had ideas to help alleviate the problem. The Committee planned another meeting for July 2017. In the meantime, attendees were asked to think of ideas to resolve field size and horse population problems. He said the Board and the California horse racing industry wanted to avoid situations where horses were forced to run

when they should not be running for the sake of bigger field sizes. First Vice-Chairman Krikorian said he hoped attendees would bring feedback from the racing community to the July 2017 Committee meeting. Chairman Winner said the Committee planned to discuss specific race dates for the upcoming racing season at the next Committee meeting as well.

**MEETING ADJOURNED AT 11:30 A.M.**

**1-18 Proceedings of the Regular Meeting of May 25, 2017**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

**California Horse Racing Board**

**JUNE 22, 2017**

**REGULAR BOARD MEETING**

**There is no board package material for Item 2**

**California Horse Racing Board**

**JUNE 22, 2017**

**REGULAR BOARD MEETING**

**There is no board package material for Item 3**

Item 4

STAFF ANALYSIS  
DISTRIBUTION OF THE RACE DAY CHARITY PROCEEDS OF THE WATCH AND  
WAGER LLC RACING ASSOCIATION IN THE AMOUNT OF \$5,400 TO SIX  
BENEFICIARIES

Regular Board Meeting  
June 22, 2017

#### ISSUE

Watch and Wager LLC (Watch and Wager) is requesting approval to distribute race day charity proceeds generated at its 2016 Race Meet (October 24, 2015 – May 8, 2016 & October 22, 2016 – December 30, 2016). Watch and Wager is proposing a total distribution of \$5,400. The organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

#### BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

#### RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Operating Harness Racing at Cal Expo

June 4, 2017

Ms. Jackie Wagner  
 Assistant Executive Director  
 California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Dear Ms. Wagner:

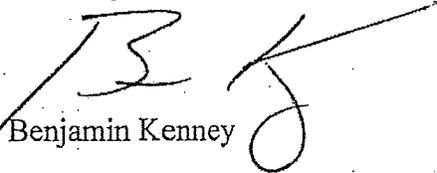
Watchandwager.com, LLC has allocated charity day proceeds from our 2016 Race Meet (October 24, 2015 – May 8, 2016 & October 22, 2016 – December 30, 2016) as set forth below for the California Horse Racing Board's consideration and approval:

Sacramento Horsemen's Association (a)	\$500.00
Standardbred Retirement Foundation (a)	\$2,000.00
Square Peg Foundation (a)	\$1,000.00
CA Harness Horsemen's Benevolent Foundation (b)	\$300.00
Winners Foundation (c)	\$500.00
Disabled Jockeys Fund (d)	\$1,100.00
<b>Total:</b>	<b>\$5,400.00</b>
Equine Related Charities	\$5,400.00
Non-Equine Related Charities	<u>\$ 0.00</u>

Percentage of Equine Related Charities 100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information, please contact Kate Phariss or me.

Sincerely,



Benjamin Kenney

Sacramento Horsemen's Association: non-profit regional equestrian club established in 1942, hosts horse shows and provides boarding. (contact phone: 916-470-1337; <http://www.sachorsemen.com>)

Standardbred Retirement Foundation: non-profit, tax exempt organization created to care for, rehabilitate, and secure lifetime adoption of non-competitive Standardbred racehorses, to ensure their proper care with follow-up, and combine the needs of youth at risk with these horses in therapeutic equine programs to benefit both. (contact phone: 732-446-4422; <http://www.adoptahorse.org>)

CA Harness Horsemen's Benevolent Foundation: non-profit established by the CA Harness Horsemen's Association to provide funding and support for backstretch employees in need. (contact phone: 916-263-7888)

Winners Foundation: established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through this group, which has aided and guided thousands of individuals. (Contact phone: 626-574-6498; <http://winnersfoundation.org>)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and others. It is a public charity that currently provides financial assistance to approximately 60 former jockeys who have suffered catastrophic on-track injuries. It recently was the winner of the National Turf Writers and Broadcasters prestigious Joe Palmer Award for its exceptional work. Nancy LaSala is the group's president. (Contact phone: 630-595-7660; [www.pdjf.org/](http://www.pdjf.org/))

The Square Peg Foundation – Founded in 2004, in the words of founder, Joell Dunlap, "We created a friendly space that is physically challenging but emotionally safe. A space where the student learns at his own pace and marks achievement because she is encouraged to. Where helping out, in whatever way you can, is being part of a community of caring. A space where the care of the animals reminds us that all life has value and deserves respect and another chance. Because these are the real lessons and this is the movement we set out to create". Accredited by the Thoroughbred Aftercare Alliance, the Square Peg Ranch Foundation provides an adaptive riding program that brings together rescued, re-trained horses with special needs & at-risk children and youth. Their mission is to teach children to rescue, retrain & re-home horses who need another chance at life. (Contact Phone: (650) 440-5064; [www.squarepegfoundation.org](http://www.squarepegfoundation.org))

CHRB ANALYSIS

Watch and Wager Charity Day Proceeds (10/24/2015- 12/30/2016)

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Disabled Jockeys Fund	1,100.00	20%	minimum of 20%	d
2	Standardbred Retirement Foundation	2,000.00	37%		a
3	Sacramento Horsemen's Association	500.00	9%		a
4	Winners Foundation	500.00	9%	minimum of 5%	c
5	CA Harness Horsemen's Benevolent Foundation	300.00	6%	minimum of 5%	b
6	Square Peg Foundation	1,000.00	19%		a
	Total Equine Related Charity	5,400.00	100%	minimum of 30%	a
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19556 (b))			
	c	5% to nonprofit organization to assist alcohol abuse victims (B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% should go to horse racing industry related nonprofit organizations.			
	Background information for each organization is attached.				

STAFF ANALYSIS  
June 22, 2017

Item 5

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE COURSE JULY 5, 2017 THROUGH JULY 18, 2017

At its May 25, 2017 regular meeting, the Board deferred the Los Alamitos Racing Association (LARA) race meet application until June 22, 2017, as the LARA application was incomplete. LARA had not provided its: bond renewal, horsemen approvals for takeout, horsemen approvals for advance deposit wagering (ADW) agreements, audiovisual agreement with Southern California Off-Track Wagering, Inc., or race meet agreements with Thoroughbred Owners of California and California Thoroughbred Trainers; therefore the Board asked the applicant to return to the June 2017 Board meeting.

CHRB staff contacted a LARA representative on May 30, 2017 and requested the submission of the outstanding application items by June 6, 2017. The bond renewal, horsemen approvals for takeout, horsemen approvals for ADW agreements, audiovisual agreement with Southern California Off-Track Wagering, Inc., and race meet agreements with Thoroughbred Owners of California and California Thoroughbred Trainers remain outstanding.

LARA was allocated two weeks of thoroughbred race days in July for the 2017 race meet calendar. The application is for a total of eight race days.

LARA filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course:

- July 5, 2017, through July 18, 2017, or 8 days. Live racing to commence July 6, 2017. LARA proposes to race a total of 68 races, or 8.5 races per day. The (estimated) average daily purse for this meet is \$272,773.

July - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- Racing concurrently with Oak Tree at Pleasanton and Cal Expo State Fair.
- First live post 2:00 p.m., Thursday through Sunday.
- Wagering program will use CHRB and ARCI rules.

- Early wagering will start at 8:30 a.m. and end at 10:00 a.m.
- \$2 Rolling Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
- \$1 Trifecta on eligible races.
- \$0.10 Superfecta on all qualifying races.
- \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
- \$0.50 Pick n Pool 4 on second race and last 4 races. Alternate runner provision.
- \$0.50 Pick n Pool 5 will be offered starting on the first race. Minimum \$.50 wager, 14% takeout. One tier payoff. 100% carryover.
- \$1 Pentafecta (Super High Five) in the last race of the day. 100% payout on all tickets selective five winners. No consolation 100% carryover if no ticket has five winners.
- Specific changes from the 2016 license application:  
Usable stall increase from 820 to 1,020.
- The ADW providers are TVG, XpressBet, TwinSpire, Watch and Wager and NYRA.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Profession Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California*, except the horsemen's approval.

- ODS Technologies, L.P. dba TVG Network
- XpressBet LLC. dba XpressBet.com
- NYRAbets, LLC
- Churchill Downs Technology Initiatives Company, dba TwinSpire
- Watch and Wager.com LLC

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpire and in 2016 with Watch and Wager and NYRAbets are still in effect. Section 16 of

the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California Race Meet Agreement.
2. California Thoroughbred Trainers Race Meet Agreement.
3. Letter of explanation.
4. Bond.
5. Financials.
6. Horsemen's approval for Advance Deposit Wagering Agreements.
7. Horsemen's approval for 14% takeout for the Pick 5 and 20% takeout for the Daily Double.
8. Audiovisual agreement with Southern California Off-Track Wagering, Inc.
9. Incomplete handle history section.

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
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Specific information **still needed** to complete this application includes:

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3. Letter of explanation.
4. Bond.
5. Financials.
6. Horsemen's approval for Advance Deposit Wagering Agreements.
7. Horsemen's approval for 14% takeout for the Pick 5 and 20% takeout for the Daily Double.
8. Audiovisual agreement with Southern California Off-Track Wagering, Inc.
9. Incomplete handle history section.

RECOMMENDATION:

Staff recommends that the application not be heard at the May 25, 2017 Regular Board meeting.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
 CHR-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Brad McKinzie 4961 Katella Ave. Los Alamitos, CA 90720 714-820-2743**  
**Fax - 714-820-2813**
- B. Breed of horse: TB  QH  H
- C. Racetrack name: Los Alamitos Race Track
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. Deposit on file with CHRB.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
 Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.  
 Bond on file with CHRB

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: July 5 - July 18
- B. Actual dates racing will be held: July 6,7,8,9,13,14,15,16
- C. Total number of days or nights of racing: 8
- D. Days or nights of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify) Thursday - Sunday

CHRB CERTIFICATION

Application received: 4/12/17  
 Deposit received: on file  
 Reviewed: *UH*

Hearing date: 6/22/17  
 Approved date:  
 License number:

E. Number of days or nights of racing per week: 4 days

### 3. RACING PROGRAM

A. Total number of races: 68

B. Number of races for each day or night: 8 races on weekdays. 9 races on weekends

C. Total number of stakes races: 3

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **No July meet in 2016**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses..

**There was no July meet at Los Alamitos in 2016. This July meet replaces the April meet of 2016.**

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). 3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **New race meeting**

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

Weekdays / Weekends

Race 1 – 2:00 pm

Race 2 – 2:30 pm

Race 3 – 3:00 pm

Race 4 – 3:30 pm

Race 5 – 4:00 pm

Race 6 – 4:30 pm

Race 7 – 5:00 pm

Race 8 – 5:30 pm

Weekends Race 9 – 6:00 pm

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**LOS ALAMITOS RACE COURSE  
STAKES SCHEDULE**

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*Closing Saturday, July 1, 2017*

---

Saturday, July 8, 2017 \$100,000 Guaranteed



**BERTRANDO STAKES (Cal Bred)**

For Three Year Olds and Upward

*One Mile*

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*Closing Saturday, July 1, 2017*

---

Saturday, July 8, 2017 \$150,000 Guaranteed

**GREAT LADY M STAKES - Grade III**

For Fillies And Mares Three Year Olds and Upward

*Six And One Half Furlongs*

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*Closing Saturday, July 8, 2017*

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Saturday, July 15, 2017 \$200,000 Guaranteed

**LOS ALAMITOS DERBY - Grade III**

For Three Year Olds

*One Mile And One Eighth*

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4. RACING ASSOCIATION

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. See **Los Alamitos Quarter Horse Racing Assn.**

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: C16500097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
 Dr. Edward Allred – President, Director 100%  
 Brad McKinzie – 0% Vice-President, General Manager  
 Rick English – 0% CFO  
 Tom Siebly – 0% director  
 Don Valpredo 0%, director  
 Frank Sherren 0%, director  
 Cathy Monji 0% Director  
 Jack Liebau 0% Director  
 Richard Shapiro 0% Director  
 Mike Harrington 0% director
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **none**
6. Number of outstanding shares in the corporation: **none**
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: c n/a
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**Quarter Horse Racing Inc.**

- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:

16. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how the stock is listed
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.

- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

**G. MANAGEMENT AND STAFF**

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:  
 Edward C Allred, President, CEO  
 Brad McKinzie, Vice-President, General Manager  
 F. Jack Liebau, Vice-President  
 Rick English, CFO  
 Orlando Guterrez, Director of Publicity, Marketing  
 Frank Sherren, Plant Superintendent  
 Rick Hughes, Track Superintendent  
 Dennis Moore, Track Consultant  
 Robert Digiovanni, Director of Mutuels  
 Bobby Neuman, Track Announcer  
 Alex Soltera, Director of Security  
 Robert Hurd, Director of Operations  
 Ken Walker, Director of Labor Relations
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). **Brad McKinzie 4961 Katella Ave. Los Alamitos, CA 90720 bradmckinzie@aol.com**

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5, Daily Double Proposed percentage:  
 P5 – 14%, DD 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

# LOS ALAMITOS RACING ASSOCIATION

4961 Katella Ave. Los Alamitos, CA 90720 714-820-2800 fax - 714-820-2743

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April 11, 2017

Brad McKinzie  
Vice-President/General Manager  
Los Alamitos Racing Association  
Los Alamitos, CA 90720

Mr. Rick Baedeker  
Executive Secretary  
California Horse Racing Board  
Sacramento, CA

Dear Mr. Baedeker,

Los Alamitos Racing Association requests two takeout changes for our upcoming July summer Thoroughbred racing season.

Los Alamitos Racing Association requests that we be approved to run a 14% takeout Pick 5 and a 20% takeout Daily Double.

Both of these requests will allow LARA to offer wagers that are being offered at all other southern California meets.

Thank you for your attention to this matter.

Sincerely,

Brad McKinzie  
Los Alamitos Racing Association

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. **New race dates in 2017.**

Year	Handle	Attendance

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: \$1,663,521  
 Prior meet actual: n/a

Average Daily Purse (7 A1 ÷ number of days):  
 Current meet estimate: \$207,940  
 Prior meet actual: n/a

2. Overnight stakes:  
 Current meet estimate: 0  
 Prior meet actual: 0

Average Daily Purse (7 A2 ÷ number of days):  
 Current meet estimate: 0  
 Prior meet actual: 0

3. Non-overnight stakes:  
 Current meet estimate: \$400,000  
 Prior meet actual: n/a

Average Daily Purse (7 A3 ÷ number of days):  
 Current meet estimate: \$50,000  
 Prior meet actual: n/a

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$2,063,521  
 Prior meet actual: n/a

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 25%

Prior meet actual: n/a

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: \$12,500

Prior meet actual: n/a

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate \$53,201

Prior meet actual: n/a

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior Meet:

TOC - \$18,704

n/a

CTT Administration - \$9,352

n/a

CTT Pension - \$18,704

n/a

Workers Comp - \$18,704

n/a

Total - \$65,464

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$2,182,186

Prior meet actual: \$n/a

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$272,773

Prior meet actual: n/a

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,283,618

Prior meet actual: n/a

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$160,452

Prior meet actual: n/a

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$500,574

Prior meet actual: n/a

Average Daily Purse (7 G ÷ number of days):  
Current meet estimate: \$62,571  
Prior meet actual: n/a

H. Bank and account number for the Paymaster of Purses account.  
**On File**

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
**Bowwn,McBeth Inc. 10722 Arrow Route, Suite 110**  
**Rancho Cucamonga, CA 91730 909-944-6465 email – craigmiller@verizon.net**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1020
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,395
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
Santa Anita – 1,900  
San Luis Rey Downs – 495
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**SCOTWINC off-site stabling agreement through 12-31-17 on file with CHRB**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **\$16.25**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:  
**Santa Anita – round trip \$180 San Luis Rey Downs - \$360 round trip**

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:  
**There is a rotation of private veterinarians on site during all training hours.**
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.  
**There is a rotation of private veterinarians on site during all training hours.**

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 Superfecta	CHRB #1959,1979,1957,1977,1976.9,1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$0.50PNP4 Superfecta	CHRB 1957 #1959,1979,1977,1976.9,1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$0.50PNP6 Superfecta	CHRB #1957 #1959,1979,1977,1976.9,1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 \$0.50PNP6Superfecta	CHRB#1957 #1959, 1979,1977,1976.9,1979.1
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$.50PNP4Superfecta	CHRB #1957#1959,1979,1977,1976.9,1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 Superfecta	CHRB #1957 #1959,1979,1977,1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 Superfecta	CHRB#1957 #1959,1979,1977,1,1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 Superfecta	CHRB #1957 #1959,1979,1977,,1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3Super H5 Superfecta	CHRB #1957 #1959,1979,1977,,1979.1:ARCI #004-105 Subsection X Pick (n) Position (x) Pools)

\$2 Rolling Daily Double. 20% takeout . Placement of last DD will depend on # of races.  
 \*\$1 Trifecta on all eligible races . (CHRB 1979)  
 \* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)  
 \* Incoming simulcasts will follow host track format.  
 \* \$2 PNP6 last six live races 70% carryover, 30% minor. Alternate runner provision (CHRB 1976.9)  
 \*\$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)  
 \* \$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover  
 \$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race.  
 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **none**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Unlimited. Final distribution July 16, 2017**
- D. List any options requested with regard to exotic wagering:
- E. Will "advance" or "early bird" wagering be offered? Yes  No   
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**Each race day from 8:30 am to 10:00 am**
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
**AmTote. Contract expires August 2020**  
**Steve Keech 11200 Pepper Rd Hunt Valley MD 800-345-1566**
- G. List below the takeout percentage for each type of wager identified in 10A:

**TAKEOUT PERCENTAGE**  
(Example) PNP5-14%

- W/P/S – 15.43%
- DD – 20.00%
- E – 22.68%
- PNPP – 14%
- Tri/SF/PK3/PK4/PNP6/SuperH5 – 23.68%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
XpressBet  
TVG  
Twin Spires  
Watch and Wager  
NYRA Bets
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
**On file with CHRB**
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval on file with CHRB  
If no, explain the status of the approval. Awaiting approval of stakes/purse proposal

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub

agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**Southern California Off Track Wagering Inc. (SCOTWINC)**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**SCOTWINC agreement is on file with CHRB**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
See attachment
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
See attachment
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: see attachment
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
See attachment
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

## SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino  
84-245 Indio Springs Dr.  
Indio, CA 92201

Surfside Race Place at Del Mar  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

Hollywood Park  
3883 W. Century  
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair  
18700 Lake Perris Dr.  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
2551 West Ave. H  
Lancaster, CA 93536

Santa Anita Park  
285 W. Huntington Dr.  
Arcadia, CA 91007

Sports Center at National Orange Show  
930 S. Arrowhead Ave.  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
2201 W. White Ave.  
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)  
10 West Harbor Blvd.  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
14800 7th St.  
Victorville, CA 92392

Viejas Casino & Turf Club  
5000 Willows Rd.  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
6131 E. Telegraph Rd.  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
2369 S. El Camino Real  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
121 Brooks Street  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
1423 S. Bradley Rd.  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
23221 Lake Center Drive  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
21615 Soledad Canyon Road  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
1345 Thousand Oaks Blvd.  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
100 Harbor Drive  
San Diego, CA 92101

Striders Norco (mini-satellite)  
2895 Hamner Ave.  
Norco, CA 92860

Firehouse (mini-satellite)  
7701 White Ave.  
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)  
20930 Malaga Road  
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)  
4110 Bonita Road  
Bonita, CA 91902

Pechanga Casino (mini-satellite)  
4500 Pechanga Pkwy.  
Temecula, CA 92592

**NORTHERN CALIFORNIA**

Shasta District Fair  
1890 Briggs St.  
Anderson, CA 96007

Big Fresno Fair  
1121 Chance Ave.  
Fresno, CA 93702

Monterey County Fair  
2004 Fairgrounds Rd.  
Monterey, CA 93940

Fresno Club One  
1033 Van Ness Ave.  
Fresno, CA 93721

Alameda County Fair  
4501 Pleasanton Ave.  
Pleasanton, CA 94566

California State Fair & Exposition  
1600 Exposition Blvd.  
Sacramento, CA 95815

The Jockey Club at San Mateo  
2495 S. Delaware St.  
San Mateo, CA 94403

Santa Clara County Fair  
344 Tully Rd.  
San Jose, CA 95111

Sonoma County Fair  
1350 Bennett Valley Rd.  
Santa Rosa, CA 95402

San Joaquin County Fair  
1658 South Airport Way  
Stockton, CA 95206

Stanislaus County Fair  
900 N. Broadway  
Turlock, CA 95380

Solano County Fair  
900 Fairgrounds Dr.  
Vallejo, CA 94589

Bankers Casino (mini-satellite)  
111 Monterey St.  
Salinas, CA 93901

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**2017 Los Alamitos (TB) Import Tracks**

Track	Track
Arlington	Mountaineer Park
Assiniboia	Northlands
Australia Racing	NYRA - Aqueduct, Belmont, Saratoga
Canterbury	PARX
Churchill Downs	Penn National
Delaware Park	Pimlico
Delta Downs	Prairie Meadows
Ellis Park	Presque Isle
Emerald	River Downs
Evangeline	South America Racing
Fort Erie	Suffolk Downs
Gulfstream	Sunray Park
Hastings Park	Tampa Bay
Indiana Downs	Thistledown
Keeneland	Turf Paradise
Laurel	Turfway Park
Lone Star	United Kingdom
Louisiana Downs	Woodbine
Mahoning Valley	
Monmouth	

**2017 Los Alamitos  
Commingled Locations**

AmWest Entertainment	Evangeline Downs	Maywood	Sol Mutuel
Amwest Accounts	Fair Grounds	Meadowlands	Sol Mutuel - Odds Broker
Greenbrier (WV)	Fair Grounds ADW	Meadows The	Sol Mutuel - Otrobanda Casino
Riders Up (SD)	Fair Meadows	Meadows The (ADW) - PA regional	Southland Greyhound
Time Out Lounge (SD)	Favorites at Gloucester	Miami Valley Gaming & Racing	State Fair (Lincoln, NE)
Triple Crown (SD)	Favorites at Vineland	Mobile Greyhound	Suaposta ADW (Brazil)
Arapahoe-Mile High	Finger Lakes	Monmouth Park	Suffolk District OTB
Arima Race Club	Finger Lakes ADW	Montana OTB	Suffolk Downs
Arlington I	Fonner Park	Monticello	Sunland Park
Bangor Raceway	Freehold	Mountaineer Park	SunRay Park & Casino
Batavia	Gillespie County Fair	Nassau Regional OTB	Tampa Bay Downs
Belterra Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton ADW
Bettor Racing	Betsson, Planetwin, Big Bet World	Newport Jai Alai	Taunton Dog Track Inc.
Birmingham Greyhound	Racebets, Intl Betting Assoc	New Jersey ADW (4NJBets)	The Downs at Albuquerque
Borgata Casino	Magna Bet, Pferdewetten, Dantoto	Northfield Park	Thistledown
Buffalo Raceway	Stan James, Boylesports	Cedar Downs OTB	Tioga Downs
Canterbury Park	Intelligent Gaming; Ladbrokes Australia	Northville Downs	Tri-State GH (Mardi Gras)
Capital District OTB	Greenetrack	NYRA	Turf del Norte (Mexico)
Catskills OTB	Greyhound at Post Falls	NYRA ADW	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Oaklawn Park	Turf Paradise Bets (ADW)
Chester Downs (Harrah's Philly)	Gulfstream Park	Oaklawn Park ADW	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG
Codere (Brazil)	HarringtonBets ADW (DE regional)	Panama	TVG High Volume
Columbus Raceway	Hawthorne Race Course	PariBet ADW	Twin River Greyhound
Connecticut OTB	Hawthorne ADW	PARX	TwinSpires
Bradley Teletheater, Bristol	Hazel Park	PARX ADW	TwinSpires High Volume
New Britain, Norwalk, Milford	Hinsdale	Penn National	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	Hoosier Park	Penn National ADW	Venezuela OTB
Shoreline Star, Sports Haven	Horseman's Park	Peru	Vernon Downs
Torrington, Waterbury	Horseshoe Council Bluffs (William Hill)	Plainridge Race Course	Virginia Equine Alliance
Manchester, New London	Idabet	Plainridge ADW	WatchandWager
Williamantic, Sanford OTB	Indiana Downs	Player Management Group	Western OTB
John Martin's Manor Restaurant	Clarksville/Evansville OTB	PMU (Brazil)	Wheeling Downs
Connecticut OTB ADW	Intermountain Racing	Pocono Downs ADW	Will Rogers Downs
Coushatta Casino	Jockey Club Pernambuco (Brazil)	Pocono Downs/OTB	Wyoming OTB
Paragon Casino	Keeneland	Portland Meadows	Wyoming Downs
Ho-Chunk Casino	Keeneland Select ADW	Potawatomi Casino/ OTB	XpressBet
Mohegan Sun Casino	Kentucky Downs	Prairie Meadows	Yonkers Raceway
Oneida Bingo and Casino	Kentucky OTB	Premier Turf Club	Yonkers ADW (EmpireCityBets)
Pony Bar Simulcast Center	Les Bois (Treasure Valley)	Premier Gateway	Yobet Group 1
Tote Investment Racing	Lewiston OTB's	Presque Isle Downs	Zia Park
Randall James Racetrack	Lien Games	Remington Park	123Gaming
Millenium Racing	Chips Lounge/Rumors OTB	Remington OTB Network	
Royal Beach Casino	Howard Johnsons OTB	Retama Park	Separate Pool Locations
Divi Carina Bay Casino	Skydancer Casino OTB	Racing & Gaming Services	Camarero (Puerto Rico)
Winner's Circle	Lien Games ADW	Rillito Park	Caymanas (Jamaica)
Camouflage Gaming	BetAmerica/Offtrackbetting ADW	Ruidoso Downs	Codere (Mexico/Spain)
Corpus Christi Greyhound	Lone Star	Running Aces Harness Park	MIR Books (Caliente)
Dayton Raceway	Louisiana Downs	Sam Houston	NDS Books (Nevada)
Delaware Park	LVDC	Valley Greyhound Park	PMU (Brazil)
Delta Downs	Allantis Paradise Casino	Saratoga Harness Raceway	Tabcorp (Australia)
Dover Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)	Tattsbet (Australia)
Dubuque (Iowa Greyhound)	Foxwoods Resort Casino	Scarborough Downs	Turf TV
Elite Turf Club (1 to 18)	Meskwaki Bingo & Casino	Scioto Downs	New Zealand Racing Board
Ellis Park	Mahoning Valley	Seabrook Greyhound	
Emerald Downs	Maronas (Uruguay)	Seabrook Greyhound ADW	
	Maryland Jockey Club		
<b>Canadian Locations</b>			
Assiniboia, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs			
Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs			
Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres			
Western Fair, Woodbine, Xpressbet Southern Alberta			

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Breed of Horse      Race Dates      Number of Races to be Imported

K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

**Board of Directors  
Los Alamitos Racing Assn.  
4961 Katella Ave  
Los Alamitos, CA 90720**

B. Names and addresses of the trustees or directors of the distributing agent:

**Rick English  
4961 katella Ave  
Los Alamitos, CA 90720**

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes  No

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
  - Association Veterinarian(s) Dr. Dana Steed DVM
  - Clerk of Scales Charles McCaul
  - Clerk of the Course – Lisa Jones
  - Film Specialist – Board of Stewards
  - Horse Identifier – Jennifer Paige
  - Horseshoe Inspector – Victor Tovar
  - Paddock Judge – Karen Denovel
  - Patrol Judges – Randy Valdez, Karen Denovel
  - Placing Judges – Kevin Colosi, Dawn Schmid
  - Starter – Gary Brinson
  - Timer – Russ Hudak
  
- B. Management officials in the racing department:
  - Director of Racing – Robert Moreno
  - Racing Secretary – Robert Moreno
  - Assistant Racing Secretary – Chris Merz
  - Paymaster of Purses – Dawn Jackson
  - Others (identify by name and title)
  
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
  - Weinstein Court Reporters**
  - P O Box 26634 Santa Ana, CA 92799 949-637-6071**
  - Mderieg@weinsteincourtreporters.com**
  
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
  - Plusmic Corp, USA Bill O'Brien contract expires Dec. 31, 2017**
  
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
  - Pegasus Communications**
  - Jim Porep, President**
  - 16633 Ventura blvd Suite 1010 Encino, CA 91436 Contract thru April 21, 2017**
  - 1 camera end of homestretch**
  - 1 camera end of backstretch**
  - 1 camera coming out of final turn**
  - 4 pan cameras covering entire track**
  
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
  - Electronic timing devices owned and operated by Los Alamitos Race Course**

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Alex Soltero, Chief of Security**

**714-820-2660/frontside 714-820-2832/backside**

**Organization Plan/ attached**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**1 Chief of Security 1 Day Shift Sergeant 1 Night Shift Sergeant 4 Day Shift**

**Security Officers 4 Night Shift Security Officers 5 Stable Area security Officers 3**

**Off Hour Officers 6 Stable Gate Officers**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

**A guard with a video camera will be assigned to each horse in a race worth \$100,000 or more. Two security guards will be in barn area during this time.**

2. Detention Stalls:

**Los Alamitos does not use detention stalls for stakes.**

A. Attach a plan for use of graded stakes or overnight races.

B. Number of security guards in the detention stall area during a 24-hour period.

C. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**CHRB directives will be followed**

B. Plan for enhanced surveillance for trainers with high-test results.

**CHRB directives will be followed**

C. Plan for detention stalls for repeat offenders.

**CHRB directives will be followed**

D. Number of security personnel assigned to the TCO2 program.

**As per need following CHRB directives**

- C. Describe the electronic security system:

**Los Alamitos does not have an electronic security system**

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Los Alamitos does not have video surveillance in these locations**

- D. For night racing associations. Describe emergency lighting system: n/a



### Organizational Chart 2016

#### Los Alamitos Race Course Security Department

<b>Chief of Security</b>	Alex Soltero	Office (714) 820-2661 Cell (714) 926-0959
<b>Day Shift Sergeant</b>	Keith Hicks	Office (714) 820-2664 Cell (714) 770-1351
<b>Night Shift Sergeant</b>	Fernando Gomez	
<b>Day Shift Security Officers</b>	Guillermo Chavez Jose Murillo Lydia Llamas Bunthoeun Sos	Mainline Club House Desk/Dispatch Mezzanine
<b>Night Shift Officers</b>	Gerardo Castellanos Jackie Dixon Henry Chambers Peter Scimeca	Desk/Dispatch Mainline Paddock Club House
<b>Stable Area Officers</b>	Fernando Gomez Jorge Avina Jose Alvarez Kenny Vu Cesar Franco	Day stable Night stable Split Shift Night Receiving Barn Graveyard
<b>Off Hour Officers</b>	Kenneth Grant Luis Rivas Frank Wozniak	Grave Yard Split Shift Days
<b><u>Stable Gate Attendants</u></b>		
April Dudone	Swing Gate	
Ronnie White	Day West Gate	
Cheri Willett	Split Shift	
Arturo Sandoval	Night West Gate	
Jeff Syverud	Grave yard Gate	
Marco Avina	Day Gate	

**Total Employees: 24**

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Huntington Ambulance Service**  
**P O Box 145**  
**Sunset Beach, CA 90742**  
**Track # 714-820-2845**

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**San Luis Rey Downs**  
**Mercy Medical**  
**5081 Hwy, 104 Ste B**  
**Mariposa, CA 95338**  
**Doug Moriarty**  
**209-966-5762**

**Santa Anita**  
**AmbuServe**  
**15105 S Broadway**  
**Gardena, CA 90248**  
**Bryan Rusling**  
**310-644-0500**

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**See attachments**

- C. Describe the on-track first aid facility, including equipment and medical staffing:  
**Contracted track physician; Oxygen equipment; blood pressure monitoring; backboard; cervical collar; 3 patient beds**

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**(If quarter horse racing association sees D (1) :**  
**Dr. Michael Morris 714-820-2710**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

# Huntington Ambulance, LLC

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

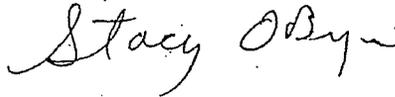
May 3, 2017

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

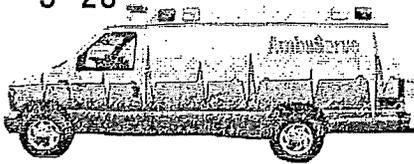
All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President  
Huntington Ambulance, LLC

5-28



**AmbuServe**  
Ambulance



May 8, 2017

Josh Rubinstein  
Del Mar Thoroughbred Club

RE: Ambulance Certification

To whom it may concern:

Please accept this letter as confirmation that AmbuServe, Inc. meets all the required certifications to operate as an approved paramedic (ALS) and Basic Life Support (BLS) provider in the State of California and within Los Angeles County.

All of AmbuServe, Inc. Paramedics and Emergency Medical Technicians have and maintain all the required certifications as mandated by the State of California Emergency Medical Services and the Los Angeles County Emergency Medical Services.

Thank you very much for your time.

Sincerely,

Scott Smith  
Vice President  
AmbuServe, Inc.

# MERCY

*Medical  
Transportation  
Inc.*

ADMINISTRTION  
5081 Hwy. 104, Ste B  
Mariposa, CA  
95338  
PO Box 5004  
Mariposa, CA  
95333-5004  
(209) 966-5762  
Fax (209) 966-4901

April 13, 2017

Brad McKinzie  
Los Alamitos Racing Assn.

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty  
Vice President of Operations

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Los Alamitos Medical Center**  
**3571 Katella Ave.**  
**Los Alamitos, CA 90720**  
**562-598-1311**
- Long Beach Memorial Hospital (Certified Trauma Center)**  
**2801 Atlanta Ave**  
**Long Beach, CA 90806**  
**562-933-2000**
- UC Irvine Medical Center (certified trauma center)**  
**101 The City Drive**  
**Orange, CA 92868**  
**714-456-7890**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See attachments**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Frank Sherren**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
See attachment
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
**Self Insured through Finish Line Self Insurance Group**  
**GBroup Certificate # 4524-001**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
See attachment

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.



FINISH

## Finish Line Self Insurance Group, Inc.

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4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### **Steps Required in Reporting a Workers Compensation Accident**

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**  
**Healthpointe Medical Center**  
**7052 Oranewood Ave, Suite 6**  
**Garden Grove (714) 903-1100**



## Finish Line Self Insurance Group, Inc.

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4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### **Pasos Requeridos en Caso de un Accidente**

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada**  
**Healthpointe Medical Center**  
**7052 Oranewood Ave, Suite 6**  
**Garden Grove (714) 903-1100**

**ORANGE COUNTY FIRE AUTHORITY**

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal

(714) 573-6000

[www.ocfa.org](http://www.ocfa.org)

September 28, 2016

Los Alamitos Race Course  
4961 Katella Ave.  
Los Alamitos, CA 90720  
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to [doughartman@ocfa.org](mailto:doughartman@ocfa.org).

Respectfully,

A handwritten signature in black ink, appearing to read "Doughartman".

Douglas A. Hartman,  
Senior Fire Prevention Specialist

Number: 4524-001

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

**CERTIFICATE OF CONSENT TO SELF-INSURE**

THIS IS TO CERTIFY, That

LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC., A GENERAL  
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE

(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.

(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.\*

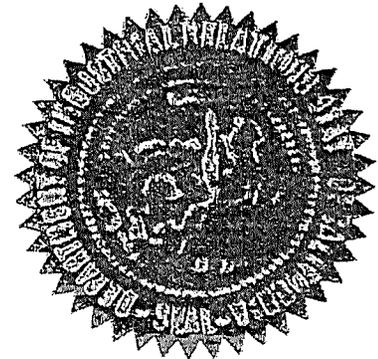
EFFECTIVE:

THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS  
OF THE STATE OF CALIFORNIA

  
\_\_\_\_\_  
MARK T. JOHNSON, MANAGER

  
\_\_\_\_\_  
JOHN M. REA, DIRECTOR



\*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.



17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes  No

Handicappers

Winner's Card Corp CHRB 25-A and CHRB - 87 on file
Don Harris
924 Knob Hill Ave.
Redondo Beach, CA 90277

Todays Racing Digest CHRB 25-A and CHRB - 87 on file
Jason Karches
2080 Las Plamas
Carlsbad, CA 92009

Triangle Turf Winners CHRB 25-A and CHRB - 87 on file
William Archer
1 Scott St
Gardnerville, NY 89410

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
B. Promotional/ Marketing budget for this race meeting: \$137,000
Promotional/Marketing budget for prior race meeting: \$137,000
C. Number of hosts and hostesses employed for meeting: 8
D. Describe facilities set aside for new fans: none
E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
1. Horsemen
2. Fans
4. Facilities in the restricted areas

This past February working in partnership with the Thoroughbred Owners of California, Los Alamitos Racing Association completed construction of 200 additional stalls and the supporting employee housing for thoroughbred training and racing. These additional 200 stalls will not only be a major benefit to the other southern California racing associations, it should prove a boost to any Los Alamitos live thoroughbred meeting.

# Marketing Plan

## 2017 Los Alamitos Summer Thoroughbred Meeting

This year the Los Alamitos summer thoroughbred season will consist of just eight days of racing opening on Thursday, July 6 with racing being conducted through the Sunday, July 16 closing

Highlighting the summer season will be the \$200,000 Grade 3 Los Alamitos Derby and the \$150,000 Grade 3 Great Lady M Stakes. These two races will be the focal point of the 2017 Los Alamitos summer marketing program.

The majority of the summer meet marketing budget will be aimed at driving attendance and handle on this opening Saturday. With just two weeks of racing it is imperative that we front load our marketing budget to create immediate awareness of the meet.

## 2017 Los Alamitos Summer Meet Marketing Plan / Budget

### Daily Racing Form - \$20,000

Heavy front loading of the DRF marketing program will be used to drive attention to our meet to our off-track market, which constitutes roughly 85% of our overall handle. The DRF marketing budget will be used for –

- National print advertising
- Digital advertising
- Target marketing to users of DRF PP's within a 15-mile radius of Los Alamitos

### TVG, Twin Spires, XpressBet, NYRA Bets - \$18,500

Los Alamitos will partner with ADW providers to reach the important group of home bettors. Among the partnership promotions planned are –

- TVG Hit and Split Bet
- Twin Spires \$10,000 Pick Five Los Alamitos Bonus
- NYRA Bets Cross country Daily Double
- National promotion television spots on TVG and NYRA Bets

### **Local Television Advertising - \$20,500**

Los Alamitos will promote our opening weekend with a campaign that will include approximately 1,500 local spots. In an effort to maximize our marketing dollar we will concentrate on such local cable programming as –

- Dodgers and Angels coverage
- The Golf Channel
- ESPN
- Fox Sports West

### **Local/National Radio - \$8,000**

In addition to supporting the local racing radio shows, Los Alamitos will reach out to proven horseplayers in other areas by purchasing advertising on such national shows as –

- Race Day Las Vegas
- Steve Byk At The Races
- Down The Stretch on Sirius XM
- ESPN Desportes

### **On-Line Marketing - \$8,000**

Partnering with Los Angeles News Corp, Los Alamitos will conduct an on-line marketing campaign that will include –

- Web Banners
- On-Line Videos
- On – Line Ticket Sales
- Geo Fencing Mobile Marketing

### **Pre Meet Direct Mail - \$20,000**

Prior to the start of our summer meet Los Alamitos will send out a direct mail piece to our list of more than 17,000 active fans. Included within this direct mail piece will be –

- Mystery Mutuel Voucher worth up to \$5,000
- Free Admission Passes for all Thursday and Fridays at the Meet
- Entry Forms for Los Alamitos Derby Handicapping Contest

### **Los Alamitos Handicapping Summer Championship - \$15,000**

Los Alamitos will guarantee four seats to the popular DRF/NTRA National Handicapping Contest to the top four finishers in this on-track Handicapping Contest. In addition there will prize money for the top 10 finishers in the contest.

**On Track Promotional Giveaway - \$12,000**

On the opening Saturday of the meet Los Alamitos will give all fans in attendance a giveaway item to be determined prior to opening.

**Summer Meet Support Staff - \$15,000**

This additional meet support staff will include hiring race day television producers, additional marketing and publicity staff as well as additional hostesses.

**Total Projected Summer Meet Marketing budget - \$137,000**

**19. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from the previous year:
  - Admission (general) - \$3
  - Admission (clubhouse) - \$5
  - Vessels Club (Turf Club) - \$10
  - Reserved seating (general) - free
  - Reserved seating (clubhouse) - free
  - Parking (general) - free
  - Parking (preferred) - \$6 / \$10 premium
  - Parking (valet) - not offered
  - Programs (on-track) - \$3
  - (off-track) - \$3
- B. Describe any "Season Boxes" and "Turf Club Membership" fees:  
**Turf Club Season Table - \$480 Grandstand Season Table - \$250**
- C. Describe any "package" plans such as combined parking, admission and program:  
**We do not offer combined packages**

**20. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
 

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	60
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers:  
**Separate quarters with own showers, lockers, sauna. Shared common area**

**21. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **195**
- C. Number of restrooms available on the backstretch of the racetrack: **11 men/ 8 ladies**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 - 20**

**22. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5,100 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**81% sand, 16% silt and clay, 3% organic**

- C. The percent of cross slope in the straightaways is: - 3%  
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A Height of 40 inches in maintained on both rails.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
**Rick Hughes track superintendent / Dennis Moore – track consultant**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

### 23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Not applicable
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):  
No exceptions

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

BRAD MCKENZIE

Print Name

V.P. - General Manager

Print Title

BMK

Signature

4-10-17

Date

STAFF ANALYSIS  
June 22, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR AT SANTA ROSA AUGUST 2, 2017 THROUGH AUGUST 22, 2017

Sonoma County Fair (Sonoma) filed its application to conduct a horse racing meeting at Santa Rosa:

- At its May 25, 2017 regular meeting, the Board deferred the Sonoma race meet application until June 22, 2017, as the Sonoma application was incomplete. Sonoma had not provided its: race meet agreements with Thoroughbred Owners of California, California Thoroughbred Trainers, Arabians, horsemen approvals for advance deposit wagering (ADW), or Worker’s Compensation Insurance [expires July 1, 2017]; therefore, the Board asked the applicant to return to the June 2017 Board meeting.
- CHRFB staff contacted a Sonoma County Fair representative on May 30, 2017 and requested the submission of the outstanding application items by June 6, 2017. The horsemen approvals for advance deposit wagering agreements, race meet agreement with Thoroughbred Owners of California, and Worker’s Compensation Insurance [expires July 1, 2017] remain outstanding.
- August 2 through August 22, 2017, or 11 days, the same as 2016. Live racing will commence August 3, 2017 through August 20, 2017. Sonoma will be simulcasting only August 2, 9, 16, and 17, 2017. The fair proposes to race a total of 100 races. The Sonoma County Fair is not participating in the California Authority of Racing Fairs combined horse racing meeting.
- The proposed race dates are the approved dates allocated to the fair.

August - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing Thursday through Sunday with the exception of Thursday, August 17<sup>th</sup>. 8 races per day on Thursdays and Fridays; 10 races per day on Saturdays and Sundays.
  - Racing concurrently with Del Mar Thoroughbred Club.
  - Number of horses available determines the number of daily races programmed by breed.
  - 2016 Race Meeting: Average number of runners per race (TB): 7.32
  - 2016 Race Meeting: Average number of runners per race (Arabian): 6.71
  - 2016 Race Meeting: Average number of runners per race (Quarter horse): 5.67
  - 2016 Race Meeting: Average number of runners per race (Mules): 6.38

- First post 1:15 p.m. Thursdays and Weekends; 2:15 p.m. Fridays.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpire, Watch and Wager, Bet America and NYRA.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of B&P Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California*, except the horsemen's approval.

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- NYRAbets, LLC
- Churchill Downs Technology Initiatives Company, dba TwinSpire
- Watch and Wager.com LLC

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpire and in 2016 with NYRAbets and Watch and Wager is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted outside of California from a resident of California*:

- Bet America.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.

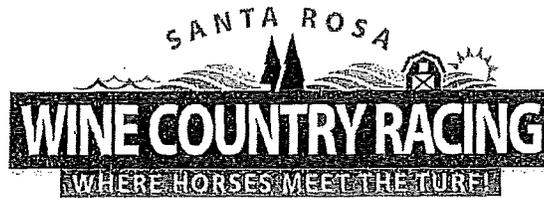
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will not be offered.
  - \$1 Trifecta and \$0.10 Superfecta when applicable.
  - \$0.50 Pick 4 on first 4 races and last 4 thoroughbred races each day.
  - \$0.50 Pick 5 on last 5 thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
  - \$2 Pick 6 on last 6 thoroughbred races each day, with 70% major pool paid or a carryover and 30% minor pool paid.
  - \$0.50 Pentafecta selecting first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners.
  - Super High Five on the last race.
  
- In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow an entry to run for purse only.
  
- Specific changes from the 2016 license application:
  - Fair Admission/Adults (13 & Older) from \$12 to \$13
  - Advanced Sale Tickets from \$9 to \$10
  - Fair Admission/Children (6-12) from \$6 to \$7
  - Fair Admission/Seniors from \$12 to \$13; Free on Fridays
  - Fair Admission/Presale Discount from \$9 to \$10
  - New Package Plans:
    - Season Admission Pass – Pre-sale price \$40 for all 11 days of fair admission (\$143 value).
    - Family Pack – Pre-sale price \$45, includes 3 general admissions, 2 kids admissions, 1 parking.
    - San Francisco Bay Area Goldstar Ticket offer - \$10 includes fair admission and reserved grandstand seat.
  
- Request Gary Greiner be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
  
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
  
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to complete this application includes:

1. Horsemen's approval of ADW agreements [TOC, Arabian, Mules, and Quarter].
2. Horsemen's agreements [TOC].
3. Worker's Compensation [expires July 1, 2017].

**RECOMMENDATION:**

Staff recommends that the application not be heard at the June 22, 2017 Regular Board meeting.



June 9, 2017

Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way  
Sacramento, CA 95825

Dear Rick,

Currently outstanding from the Sonoma County Fair's 2017 Racing License Application are the following items:

Thoroughbred Owners of California Horsemen's Agreement  
Horsemen's approval of ADW agreements (TOC, Arabian, Quarter Horse, Mule)

We expect the TOC agreement and ADW approval letters to be finalized and signed by the end of next week.

Additionally, it has been noted that our Worker's Compensation Certificate does expire on July 1, 2017. This policy will automatically renew through the County of Sonoma and the renewal certificate will be forwarded upon our receipt.

Sincerely,

A handwritten signature in black ink, appearing to read "Becky Bartling", with a long horizontal flourish extending to the right.

Becky Bartling  
Chief Executive Officer

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
 CHRB-18 (Rev. 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone, and fax numbers of fair:

**Sonoma County Fair**  
**1350 Bennett Valley Drive**  
**Santa Rosa, CA 95404**  
**(707) 545-4200, (707) 545-9342 Fax**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**Rebecca Bartling, CEO, 707-545-4200, bartling@sonomacountyfair.com**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for race meeting: **Wednesday, August 2 – Tuesday, August 22, 2017**
- B. Actual dates racing will be held: **Thursday, August 3 – Sunday, August 6; Thursday, August 10 – Sunday, August 13; Friday, August 18 – Sunday, August 20**
- C. Dates racing will NOT be held: **August 2, 7, 8, 9, 14, 15, 16, 17 and August 2, 9, 16, 17 will be simulcast only days.**
- D. Total number of racing days: **11**
- E. Days of the week races will be held:  
 Thurs - Sun  Tues - Sat  Other (specify) **Friday – Sunday (third week only)**

**3. RACING PROGRAM**

A. Total number of races: **100**

**Should the availability of racing participants exceed our expectations, we request approval to adjust the number of races scheduled.**

**CHRB CERTIFICATION**

Application received: **5/2/17**  
 Reviewed: **ns/s**

Hearing date: **6/22/17**  
 Approved date:  
 License number:

B. Number of races by breed:

<input type="text" value="80"/>	Thoroughbreds	<input type="text" value="5"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="8"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="7"/>	Mules

C. Number of races daily:

	Wed	Thur 8/3 & 8/10	Fri 8/4, 8/11 & 8/18	Sat, 8/5, 8/12 & 8/19	Sun 8/6, 8/13 & 8/20
Thoroughbred	0	6	6	8	8
Other Breeds	0	2	2	2	2
Total	0	16	24	30	30

D. Total number of stakes races by breed:

<input type="text" value="4"/>	Thoroughbreds	<input type="text" value="2"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. **See attached Thoroughbred and Quarter Horse Stakes Information**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **See Attached**

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **The Cavonnier Juvenile Stakes has been renamed to the Jess Jackson Juvenile Stakes. Additionally, if won by a filly a \$2,500 bonus will be offered. New branding for 2017 "Wine Country Stakes Series".**

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **Wine Country Stakes, Wine Country Debutante, and Jess Jackson Owners' Stakes have been dropped due to lack of field size and the need to balance purse overpayment status.**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

Race Number	Thursday	Fridays	Weekends
Race # 1	1:15p.m.	2:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	2:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	3:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	3:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	4:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	4:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	5:15 p.m.	4:15 p.m.
Race # 8	4:45p.m.	5:45 p.m.	4:45 p.m.
Race # 9	5:15 p.m.	6:15 p.m.	5:15 p.m.
Race # 10			5:45 p.m.

**Section 3 RACING PROGRAM, Item E - 2015-2017 Sonoma County Fair Stakes Information**

**2017 Thoroughbred Stakes**  
**"Wine Country Stakes Series"**

Wine Country Stakes  
Dropped  
Note: fillies can run in Luther Burbank

Luther Burbank Handicap – 46<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Fillies and Mares Three-year olds and Upward  
One and 1/16 Miles (Turf)  
Saturday, Aug 5

Robert Dupret Derby – 5<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds – One & 1/16 Miles (Turf)  
Sunday, Aug 6

Joseph T. Grace Handicap – 45<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward - One and 1/16 Miles (Turf)  
Saturday, Aug 12

Wine Country Debutante  
Dropped  
Note: fillies can run in the Jess Jackson with a \$2,500  
bonus if filly wins the race

Jess Jackson Owner's Hdcp  
Dropped

Jess Jackson Juvenile Stakes – 50<sup>th</sup> Running  
(formerly the Cavonnier)  
\$50,000 Guaranteed  
(Plus up to \$15,000 to Cal-Breds)  
Two-year olds - Six Furlongs  
Sunday, Aug 13

**2016 Thoroughbred Stakes**

Wine Country Stakes  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year old Fillies – One and 1/16 Miles (Turf)  
Saturday, Jul 30

Luther Burbank Handicap – 46<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Fillies and Mares Three-year olds and Upward  
One and 1/16 Miles (Turf)  
Sunday, Jul 31

Robert Dupret Derby – 5<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds – One & 1/16 Miles (Turf)  
Saturday, Aug 6

Joseph T. Grace Handicap – 45<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward - One and 1/16 Miles (Turf)  
Sunday, Aug 7

Wine Country Debutante  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two-year old Fillies – Six Furlongs  
Sunday, Aug 14 (moved from final Friday of meet)

Jess Jackson Owner's Hdcp – 5<sup>th</sup> Running  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward – 5 Furlongs (Turf)  
Saturday, Aug 13

Cavonnier Juvenile Overnight Stakes – 49<sup>th</sup> Running  
\$50,000 Guaranteed  
(Plus up to \$15,000 to Cal-Breds)  
Two-year olds - Six Furlongs  
Sunday, Aug 14

**2015 Thoroughbred Stakes**

Wine Country Stakes  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year old Fillies – One and 1/16 Miles (Turf)  
Saturday, Aug 1

Luther Burbank Handicap – 45<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Fillies and Mares Three-year olds and Upward  
One and 1/16 Miles (Turf)  
Sunday, Aug 2

Robert Dupret Derby – 4<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds – One & 1/16 Miles (Turf)  
Saturday, Aug 8

Joseph T. Grace Handicap – 44<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward - One and 1/16 Miles (Turf)  
Sunday, Aug 9

Wine Country Debutante  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two-year old Fillies – Six Furlongs  
Friday, Aug 14

Jess Jackson Owner's Hdcp – 4<sup>th</sup> Running  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward – 5 Furlongs (Turf)  
Saturday, Aug 15

Cavonnier Juvenile Overnight Stakes – 48<sup>th</sup> Running  
\$50,000 Guaranteed  
(Plus up to \$15,000 to Cal-Breds)  
Two-year olds - Six Furlongs  
Sunday, Aug 16

**2017 Quarter Horse Stakes**

PCQHRA Handicap  
\$8,000 Guaranteed  
Three Year Olds and Upward – 350 Yards  
TBD

Wine Country Derby  
\$8,000 Guaranteed  
Three Year Olds & Upward – 440 Yards  
TBD

**2016 Quarter Horse Stakes**

PCQHRA Handicap  
\$8,000 Guaranteed  
Three Year Olds and Upward – 350 Yards  
Thursday, Aug 4

Wine Country Derby  
\$8,000 Guaranteed  
Three Year Olds & Upward – 440 Yards  
Friday, August 12

**2015 Quarter Horse Stakes**

PCQHRA Handicap  
\$8,000 Guaranteed  
Three Year Olds and Upward – 350 Yards  
Thursday, Aug 6

Wine Country Derby  
\$8,000 Guaranteed  
Three Year Olds & Upward – 440 Yards  
Friday, Aug 14

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

A. Names of the fair directors:

Doug Beretta	Lisa Carreño	Cindy Crane	Tony Giraldi
Marilyn Herzog	Bob Higham	Rob Muelrath	Ross Liscum
Teejay Lowe	Max Mickelsen	Marcia Mickelson	Annette O'Kelley
Bev Palm	Lisa Wittke Schaffner	Wanda Tapia	

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

Max Mickelsen	Rob Muelrath	Tony Giraldi	Ross Liscum
Teejay Lowe			

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

**Rebecca Bartling – CEO**  
**Robert Moreno - Racing Secretary**  
**Stacey Lapham - Director of Racing**  
**Doug Gooby - Mutuel Manager**  
**Michelle Mustard - Satellite Supervisor**  
**Juan Meza - Race Track Superintendent**  
**Michael Wrona - Track Announcer**

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Rebecca Bartling, CEO**  
**1350 Bennett Valley Road**  
**Santa Rosa, CA 95404**  
**bbartling@sonomacountyfair.com**

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

## 6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2016	\$30,083,973	30,876	11 days
2015	\$32,942,658	30,670	11 days
2014	\$31,319,378	32,793	13 days
2013	\$33,734,505	36,830	13 days
2012	\$34,182,905	40,030	15 days

## 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

### A. Purse distribution: 2017 (11 days) vs. 2016 (11 days)

1. All races other than stakes:

Current meet estimate: \$1,112,510

Prior meet actual: \$1,183,521

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$101,137

Prior meet actual: \$107,593

2. Overnight stakes:

Current meet estimate: \$216,000

Prior meet actual: \$365,250

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: \$ 19,636

Prior meet actual: \$ 33,205

3. Non-overnight stakes:

Current meet estimate: \$-0-

Prior meet actual: \$-0-

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$-0-

Prior meet actual: \$-0-

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$1,328,510  
 Prior meet actual: \$1,548,771
- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: \$128,981  
 Prior meet actual: \$137,214
- C. Payment to each recognized horsemen's organization contracting with the fair:
- | Current meet estimate: |                 | Prior meet actual: |
|------------------------|-----------------|--------------------|
| CTT                    | \$ 3,857        | \$ 4,104           |
| TOC                    | \$ 7,715        | \$ 8,207           |
| NTRA                   | \$ 1,663        | \$ 1,754           |
| PCQHRA                 | \$ 504          | \$ 537             |
| CWAR                   | \$ -0-          | \$ -0-             |
| ARAC                   | \$ 3,496        | \$ 3,719           |
| AMRA                   | \$ 2,157        | \$ 2,294           |
| CHBPAPEN               | \$11,572        | \$12,311           |
| CTHF                   | <u>\$11,572</u> | <u>\$12,311</u>    |
| Total                  | \$42,536        | \$45,237           |
- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):  
 Current meet estimate: \$1,500,026  
 Prior meet actual: \$1,731,220
- Average Daily Purse (7D ÷ number of days):  
 Current meet estimate: \$ 136,366  
 Prior meet actual: \$ 157,384
- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):  
 Current meet estimate: \$1,065,019  
 Prior meet actual: \$1,229,166
- Average Daily Purse (7E ÷ number of days):  
 Current meet estimate: \$ 96,820  
 Prior meet actual: \$ 111,742
- F. Purse funds to be generated from interstate handle:  
 Current meet estimate: \$ 435,008  
 Prior meet actual: \$ 502,054
- Average Daily Purse (7F ÷ number of days):  
 Current meet estimate: \$ 39,546  
 Prior meet actual: \$ 45,641

- G. Bank and account number for the Paymaster of Purses' purse account:  
**Exchange Bank, Sonoma County Fair: Account number on file with CHRB**
- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
**Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
**800**
- B. Minimum number of stalls believed necessary for the meeting:  
**760**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:  
**1,500**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
**Golden Gate Fields – 1,500**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**2017 Northern California Stabling and Vanning agreement (On File).**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

**9. EQUINE EMERGENCY SERVICES**

A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:

**Dr. Forest Franklin - 916-585-2050**

**Dr. Sara Sporer - Examining / Track Veterinarian - 510-220-4860**

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **See Attached**

**10. PARI-MUTUEL WAGERING PROGRAM**

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT	
			Yes	No
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE		
Race #1	\$2DD	CHRB 1957		
	\$1E	CHRB 1959		
	\$1PK3	CHRB 1977		
	\$.50 PNP (Pick 4)	CHRB 1976.9		
	\$1 Trifecta	CHRB 1979		
	\$.10 Superfecta	CHRB 1979.1		
Race #2	\$2DD	CHRB 1957		
	\$1E	CHRB 1959		
	\$1PK3	CHRB 1977		
	\$1 Trifecta	CHRB 1979		
	\$.10 Superfecta	CHRB 1979.1		
Race #3	\$2DD	CHRB 1957		
	\$1E	CHRB 1959		
	\$1PK3	CHRB 1977		
	\$2 PNP (Pick 6 when 8 races)	CHRB 1976.9		
	\$1 Trifecta	CHRB 1979		
	\$.10 Superfecta	CHRB 1979.1		

**Section 9, EQUINE EMERGENCY SERVICES**

1.

San Francisco Equine

Dr. Ken Allison - 650-642-4636

Dr. Steve Boyer - 510-867-5872

Dr. Kim Khulman - 510-867-5871

Bay Area Equine

Dr. Bill Grantham - 415-860-8113

Dr. Steve Matuszak - 415-860-3001

Equine Sports Medicine & Surgery

Dr. Jerry Parker - 650-642-5610

Dr. Raina Petrov - 650-642-5609

The three practices listed above have a rotation they use to cover Sonoma County Fair and Golden Gate Fields during training hours and races.

Horses wishing to work to become eligible to be removed from Veterinarian's List must make appointment through the Test Barn secretary.

To Work "Off List" by Appointment

Dr. Diane Isbell - 925-980-1801

Dr. Forest Franklin - 916-585-2050

Race #4	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 5 when 8 races) \$2 PNP (Pick 6 when 9 races) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1
Race #5	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 4 when 8 races) \$.50 PNP (Pick 5 when 9 races) \$2 PNP (Pick 6 when 10 races) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1
Race #6	\$2DD \$1E \$1PK3 (when 8 races) \$.50 PNP (Pick 4 when 9 races) \$.50 PNP (Pick 5 when 10 races) \$2 PNP (Pick 6 when 11 races) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1
Race #7	\$2DD \$1E \$1PK3 (when 9 races) \$.50 PNP (Pick 4 when 10 races) \$.50 PNP (Pick 5 when 11 races) \$2 PNP (Pick 6 when 12 races) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1
Race #8	\$2DD \$1E \$1PK3 (when 10 races) \$.50 PNP (Pick 4 when 11 races) \$.50 PNP (Pick 5 when 12 races) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (when 8 races)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1 ARCI 004-105 (Pick (n) position (x) pools)
Race #9	\$2DD \$1E \$1PK3 (when 11 races) \$.50 PNP (Pick 4 when 12 races) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (when 9 races)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1979 CHRB 1979.1 ARCI 004-105 (Pick (n) position (x) pools)
Race #10	\$2DD \$1E \$1PK3 (when 12 races) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (when 10 races)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1979 CHRB 1979.1 ARCI 004-105 (Pick (n) position (x) pools)

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Race #11	2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 11 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #12	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 12 races)	ARCI 004-105 (Pick (n) position (x) pools)

- C. Identify any wagers noted in 10A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **No Change**
- D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 20, 2017 (Closing Day of Sonoma County Fair)**

**In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow that entry to run for purse only.**

- E. List any options requested with regard to exotic wagering:
- \$1 TRI and \$.10 SF when applicable**
  - \$.50 PNP4 on the first four races and the last four thoroughbred races each day**
  - \$.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**
  - \$.2 PNP6 on the last six thoroughbred races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.**
  - \$.50 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners.**
- Note: Superfecta will be offered in this race. Super High-5 will be carded on the last race of the day.**

- F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:
- Amtote (Dave Calendine)**
  - Expiration Date: October 30, 2020**
  - Equipment description on file with Board**

H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE**

(Example) PNP5-14%

- Race #1 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, Trifectas & Superfectas – 25.02%
- Race #2 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, Trifectas & Superfectas – 25.02%
- Race #3 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 6, Trifectas & Superfectas – 25.02%
- Race #4 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 5, 6, Trifectas & Superfectas – 25.02%
- Race #5 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, 5, 6, Trifectas & Superfectas – 25.02%
- Race #6 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, 5, 6, Trifectas & Superfectas – 25.02%
- Race #7 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, 5, 6, Trifectas & Superfectas – 25.02%
- Race #8 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, 5, Trifectas & Superfectas – 25.02%; Super High Five – 25.02%
- Race #9 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, Trifectas & Superfectas – 25.02%; Super High Five – 25.02%
- Race #10 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, Trifectas & Superfectas – 25.02%; Super High Five – 25.02%
- Race #11 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Trifectas & Superfectas – 25.02%; Super High Five – 25.02%
- Race #12 Win, Place, Show – 16.77%; Exacta – 24.02%  
Trifectas & Superfectas – 25.02%; Super High Five – 25.02%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Express Bet, T.V.G. (Television Games Network), Twin Spires, WatchandWager,  
Bet America (Lien Games), and NYRA Bets**
  - B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File**
  - C. Have the contract/agreements been approved by the respective horsemen’s groups?  
Yes  No
- If yes, attach a copy of the approval. – **Attached**  
If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wager are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the

breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NCOTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**The NCOTWINC agreement dated May 17, 2013 is still in effect and on file with Board.**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- | <u>NORTHERN CALIFORNIA</u>                     | <u>SOUTHERN CALIFORNIA</u>                             |
|--|--|
| Alameda County Fair, Pleasanton                | Barona Valley Ranch Resort & Casino, Lakeside          |
| Big Fresno Fair, Fresno                        | Cabazon Fantasy Springs Casino, Indio                  |
| California State Fair & Exposition, Sacramento | Derby Club, Seaside Park, Ventura                      |
| Club One, Fresno                               | Fairplex Park, Pomona                                  |
| Golden Gate Fields, Albany                     | Los Alamitos Race Course, Los Alamitos                 |
| Jockey Club at San Mateo, San Mateo            | Santa Anita Park, Arcadia                              |
| Monterey County Fair, Monterey                 | Shalimar Sports Center, Indio                          |
| San Joaquin County Fair, Stockton              | Sports Center, Nat'l Orange Show, San Bernardino       |
| Santa Clara County Fair, San Jose              | Sports Pavilion at The Farmers Fair, Lake Perris       |
| Shasta District Fair, Anderson                 | Sports Pavilion, San Bernardino Cty. Fair, Victorville |
| Solano County Fair, Vallejo                    | Surfside Race Place at Del Mar, Del Mar                |
| Sonoma County Fair, Santa Rosa                 | Sycuan Gaming Center, El Cajon                         |
| Stanislaus County Fair, Turlock                | Viejas Casino & Turf Club, Alpine                      |
|  | Watch & Wager, Antelope Valley Fgds, Lancaster         |
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

### 2017 Sonoma County Fair Meet Commingled Locations

123bet.com	Gulfstream Park	Penn Account Wagering	Taunton Dog Track Inc.
AmWest Accounts	GWS German Tote	Penn National	TBC Teletheaters AA
Arapahoe-Mile High	Hastings Park	Philadelphia Park	Thistledown
Arlington Park	Hawthorne ADW	Philly Park ADW	Turf Paradise
Assiniboia Downs	Hippodromo Monterrico	Player Management Group B	TVG/Prairie Meadows ADW
Belterra Park	Horsemen's Park	Player Management Group C	TVG - Harrahs Chester Downs
Bet America	Idabet.com/eBet	Player Management Group J	TVG - Arizona
Bettor Racing HV		Pojoaque	TVG - Massachusetts
Canterbury Downs	Indiana Downs	Portland Meadows	Twinspires - Keeneland Select
Capital District OTB	Internet wagering - Capital	Potawatomi Casino/ OTB	Twinspires Mountain View
Casino Association	Kentucky OTB	Premier Turf Club	Vineland OTB
Catskills OTB		Presque Isle Downs	Watch and Wager
Connecticut OTB	Lien Games Internet Wage 3	Racebets	Woodbine
	Lincoln Race Course	Retama Park	WY Downs Evanston OTB
Connecticut OTB Acct Wagering	Louisiana Downs	RGS	Wyoming Downs
Delaware Park	Magna Bet	RGS Group 1	Xpressbet Illinois
EBet Online	Mahoning Valley RC	RGS Group 3	Yonkers OTB
Elite Turf Club 10	Maronas Race Track	RGS Group 5 & 6	
Elite Turf Club 11	Maryland Jockey		
Elite Turf Club 12 & 13	Meadows The	Rockingham Park	
Elite Turf Club 1 & 2	Mohegun Sun Casino	Sam Houston	

Elite Turf Club 3	Monmouth Park	SaratogaBets	
Elite Turf Club 4	Nassau Regional OTB	Scarborough	
Elite Turf Club 5	Nevada	Scioto Downs	
Elite Turf Club 6	New Mexico - Albq	Seabrook Greyhound	
Emerald Downs	NJ Mobile	Shoreline Star Greyhound	<b>Separate Pool Locations</b>
EmpireCityBets Yonkers	Northfield	Sol Mutuel / HTB Otrabanda	Camarero (Puerto Rico)
Evansville OTB	Northlands Park IVR	Suffolk District OTB	Caymanas (Jamaica)
Fairgrounds ADW	Northlands Park	Suffolk Downs	Codere (Mexico/Spain)
Favorites at Gloucester	NYRA	Sunland Park	MIR Books (Caliente)
Foxwoods	NYRA ADW	SunRay Park & Casino	NDS Books (Nevada)
Fraser Downs	Oaklawn Park ADW		Tabcorp (Australia)
Freehold	OffTrackbetting.com		Tattsbet (Australia)
Gillespie County Downs	Panama		New Zealand Racing Board

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:  
**See Listing Above**
  
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
**Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern & Sports Bar, San Clemente; 58 Flat Sports Bar & Casino, Bonita; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Mission Viejo; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield; Mermaid Tavern, Thousand Oaks; Striders, San Diego; Tilted Kilt, Thousand Oaks**
  
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

<u>Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and/or Stakes Races</u>
Arlington Park	8/3 - 8/20	Selected Feature and/or stakes races
Canterbury Park	8/3 - 8/20	Selected Feature and/or stakes races
Charles Town	8/3 - 8/20	Selected Feature and/or stakes races
Delaware	8/3 - 8/20	Selected Feature and/or stakes races
Ellis Park	8/3 - 8/20	Selected Feature and/or stakes races
Emerald Downs	8/3 - 8/20	Selected Feature and/or stakes races
Evangeline Downs	8/3 - 8/20	Selected Feature and/or stakes races
Fingerlakes	8/3 - 8/20	Selected Feature and/or stakes races
Gulfstream	8/3 - 8/20	Selected Feature and/or stakes races
Indiana Downs	8/3 - 8/20	Selected Feature and/or stakes races
Louisiana Downs	8/3 - 8/20	Selected Feature and/or stakes races
Monmouth	8/3 - 8/20	Selected Feature and/or stakes races
Mountaineer	8/3 - 8/20	Selected Feature and/or stakes races

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<b>Penn National</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Philadelphia Park/Parx</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Prairie Meadows</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Presque Isle Downs</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Ruidoso</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Saratoga</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Suffolk Downs</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Thistledown</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Assiniboia</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Australian Racing</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Fort Erie</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Hastings</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Northlands Park</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>South American Racing</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>United Kingdom</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>
<b>Woodbine</b>	<b>8/3 – 8/20</b>	<b>Selected Feature and/or stakes races</b>

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:  
N/A

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

N/A

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
- Association Veterinarian(s) – **Sarah Sporer, D.V.M.**
  - Clerk of Scales – **Kenneth Sjordal**
  - Clerk of the Course – **Dolores Collins (E-Breeds) & Tina Walker-Bryant (Thoroughbreds)**
  - Film Specialist – **Cheryl White**
  - Horse Identifier – **Gary Greiner**
  - Horseshoe Inspector – **Jackie Payton**
  - Paddock Judge – **Danny Winick**
  - Patrol Judges – **Myra Truitt & Cheryl White**
  - Placing Judges – **Steve Martinelli & Jon Leon**
  - Starter – **Todd Stephens**
  - Timer – **Melody Truitt**
- B. Management officials in the racing department:
- Director of Racing – **Stacey Lapham**
  - Racing Secretary – **Robert Moreno**
  - Assistant Racing Secretary – **Linda Anderson**
  - Paymaster of Purses – **Victoria Layne**
  - Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Niccoli Reporting; 619 Pilgrim Drive, Foster City, CA 94404; [pdqcsr@comcast.net](mailto:pdqcsr@comcast.net); (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation USA – Bill O'Brien (Expires 8/30/19)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- Pegasus Communication, Inc. – Jim Porep (Expires 4/30/2018)**  
**Equipment description on file with Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
- Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16<sup>th</sup> pole and both chutes. Pegasus Communication, Inc. – Jim Porep - Contract Expires: 4/30/2018**

**14. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- Rebecca Bartling, CEO (707) 545-4218**

**Lt. John Stetsinger, Santa Rosa Police Department, currently in overall charge of sworn officers at the Fair.**

**Police are dispatched from (707) 528-5222. Each day a different Lt. will be on grounds for supervision of patrolmen. A list of these supervisors will be made available to CHRB Staff when supplied by SRPD. They are all dispatched from the same (707) 528-5222 number.**

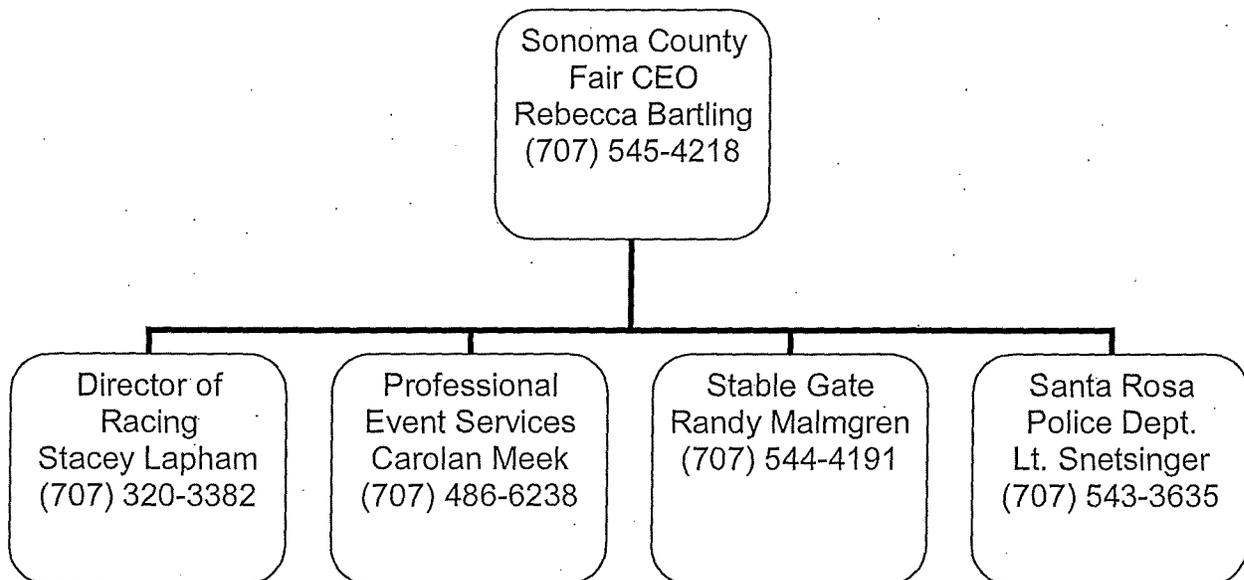
**Private security supplied by Professional Events Services:**

**Carolann Meek: (707) 463-1733 office; (707) 486-6238 cell**

**CC: (415) 336-4462**

**Randy Malmgren, Stable Manager: (707) 544-4191**

**Stable Gate: (707) 544-4191**



B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**7 uniform guards, 8 gatemen – 1 night patrolman – 2 uniform police.**

**Barn Area: 5 Security Officers, 2 Security Rovers, 3 Licensed Gatemen based on 8/hour shifts.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. N/A

2. Detention Stalls:

**No Graded Stakes**

A. Attach a plan for use of graded stakes or overnight races.

N/A

B. Number of security guards in the detention stall area during a 24-hour period.

N/A

C. Describe number and location of surveillance cameras in detention stall area.

N/A

## 3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**Will comply with and follow CHRB directives.**

B. Plan for enhanced surveillance for trainers with high-test results.

**Will comply with and follow CHRB directives.**

C. Plan for detention stalls for repeat offenders.

**Will comply with and follow CHRB directives.**

D. Number of security personnel assigned to the TCO2 program.

**As directed by CHRB**

C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Pegasus Communications provides and installs mobile surveillance equipment.**

**4 surveillance cameras monitoring this area**

## 15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**American Medical Response/Sonoma Life Support; 930 South A Street, Santa Rosa, CA 95404;  
(707) 576-1365**

1. Attach a certification from the Ambulance Company(s) listed in 15 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**See Attachment #1**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Golden Gate Fields  
Turf Rescue LLC  
4470 Hillsborough Drive  
Castro Valley, CA 94546  
(510) 581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 15 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Attached. The ambulance at GGF has 1 EMT and one Paramedic.**

C. Describe the on-track first aid facility, including equipment and medical staffing:

**See Attachment #2**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

**David Fichman, M.D. (707) 829-1811**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Santa Rosa Memorial Hospital – 1165 Montgomery Drive, Santa Rosa, CA 95405  
Emergency Room (707) 525-5207**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

**See Attachment #3 (English); Attachment #4 (Spanish)**

**ATTACHMENT #1**

**15.A.1. Certification from the Ambulance Company(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.**

See Letter dated April 10, 2017 from American Medical Response



Dean B. Anderson, AMR Regional Director  
Sonoma County

April 10, 2017

Becky Bartling, CEO  
Sonoma County Fairgrounds  
1350 Bennett Valley Road  
Santa Rosa, CA 95404

Ms. Bartling,

Pursuant to your request for information about certification and licensure of our personnel, this letter is submitted as my certification that each of our Emergency Medical Technicians and Paramedics maintain the licenses required by the State of California and the Coastal Valleys EMS Agency.

All of our paramedics maintain current licensure by the State of California EMS Authority and are accredited by the Coastal Valleys EMS Agency to practice as paramedics in Sonoma County. Emergency Medical Technicians are certified by the Coastal Valleys EMS Agency or another local EMS agency whose certification is recognized statewide as prescribed in California Health & Safety Code, Division 2.5.

A sample of the state license for paramedics is attached. Photocopies of each paramedic's license are maintained in our files.

Please don't hesitate to contact me if further information is needed.

Sincerely,

A handwritten signature in black ink, appearing to be 'D. Anderson', with a horizontal line extending to the right.

Dean B. Anderson  
General Manager

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 18, 2016

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2017

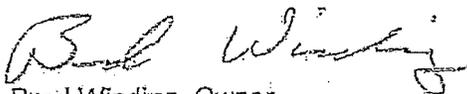
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

**TURF RESCUE, LLC**  
4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 18, 2016

Calvin Rainey  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2017 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

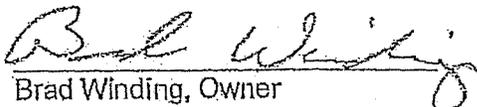
ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS

 9/18

Calvin Rainey, Vice President & General Manager

TURF RESCUE, LLC

  
Brad Winding, Owner

**ATTACHMENT #2****15.C. Describe the on-track first aid facility, including equipment and medical staffing:****Sonoma County Fair Emergency Care and Medical Facilities**

Sonoma County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

**On-Track Staffing**

One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

**Jockey Quarters Staffing**

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and personnel requiring non-emergency medical care.

**Grandstands First Aid Staffing**

As a back-up to any medical emergencies that happen on the track or backstretch or to any fans; the Fair also has a fully equipped First Aid Station located on the lower level of the Racing Grandstands. This location is staffed daily from 10:30 a.m. to 11:00 p.m. The contractor must provide at a minimum one person certified in ALS (Advanced Life Support) and one EMT; and there is an ambulance also located near this First Aid Station that is equipped with the same equipment as listed on the Ambulance inventory included in this application for the track ambulance.

**Location of nearest Emergency Room Facility (Hospital) and Fire Department**

Both the Emergency Room at Santa Rosa Memorial Hospital and Santa Rosa Fire Department are located less than 3 miles away from the Fairgrounds.

**Physical Resources**

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

**Ambulance**

See the following List of Supplies and Equipment

**Equine First Aid**

Sonoma County Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

**ATTACHMENT #3****15.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:****(English) Sonoma County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Ambulance Gate to secure the area to allow swift and secure exit by the ambulance and prevent visitation from bystanders to the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

**Racing Staff / Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The Track Veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person and/or horse from the track

**Fair Association Staff**

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the Fair Association staff who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

**Announcer**

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.) enabling them to take the necessary steps to mitigate additional problems.

**Senior Management**

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

**All Department Heads**

All Department Heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

## ATTACHMENT #4

### 15.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey (Spanish):

#### Procedimiento en caso de Accidente en Sonoma County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

#### El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que sea necesario para tratar a la(s) victima(s).

#### Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara a la puerta designada para ambulancia easguarar el area para que la ambulancia salga a la escenda del accidente y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

#### Personal de Carreras / Veterinario del Hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El Veterinario del Hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

#### Personal de la Feria / Fair Association Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.

2. Los miembros del departamento de la feria que esten cerca del accidente deberan ayudar a tapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

### **Locutor**

El Locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

### **Gerencia**

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerente sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realiza unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

### **Todos los Jefes de Departamento**

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Stacey Lapham – Director of Racing**  
**Clifford Sanders, Superintendent, Sonoma County Fairgrounds**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Attached**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **Self Insured – See Attachment #6**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**See Attachment #6**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

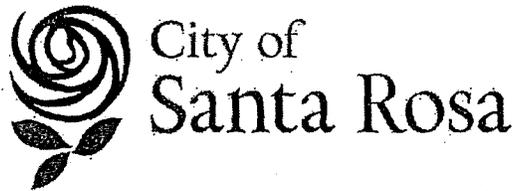
- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

CONCESSION/SERVICE	COMPANY	OWNER	ADDRESS
Alcoholic Beverages	Spectra (formerly Ovations)	Nick Nicora	4501 Pleasanton Avenue Pleasanton, CA 94566
Food & Non-Alcoholic Beverages	Big Boys BBQ (3 locations)	Gus Lopez	2933 Montgomery Dr., Santa Rosa, CA 95405
	Extreme Foods	Phillip Delahoyde	585 North State Street Ukiah, CA 95482
	Five Star Catering	Don Delahoyde	585 North State Street Ukiah, CA 95482
Programs	Delmar Graphics	Del Scott	7806 Honors Court Pleasanton, CA 95466
Winners Circle Photographs	Vassar Photography	Bill Vassar	5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service	Bailey Mobile	Lorene Dutton	3263 Vineyard Venue, #35, Pleasanton, CA 94566
Starting Gate	United/Puett Start Gate	Chris Costello	1 Soundview Loop, S. Salem, N.Y. 10590
Sound System	Speedasound	Mike/Larry King	4740 N. Sonora Fresno, CA 93722

**ATTACHMENT #5**

**15.H. Fire clearance from the fire authority having jurisdiction over the premises.**

See letter from City of Santa Rosa Fire Department dated May 2, 2017



May 2, 2017

Becky Bartling, CEO  
Sonoma County Fairgrounds  
1350 Bennett Valley Road  
Santa Rosa, CA 95404

**FIRE DEPARTMENT CLEARANCE - 1350 BENNETT VALLEY ROAD**

As required by California Code of Regulations, Title 4, California Horse Racing Board (CHRB) Regulation #1927, the Santa Rosa Fire Department grants fire safety clearance for the Grandstand and Stable areas.

In accordance with the June 23, 1993, letter from CHRB Assistant Secretary Harold L. Diaz, the automatic fire alarms are not required to be installed as specified in CHRB Regulation #1927.

This clearance letter addresses the requirements of the CHRB "Reasonable Standard of Fire Safety" with exception to the automatic fire alarms. Our follow-up to the pre-inspections will continue as a part of our pre-Fair activities. Please complete any items identified in your quarterly and annual inspections prior to the commencement of the CHRB sponsored events.

If you should have any questions, please contact me at (707)543-3542 or by e-mail to [plowenthal@srcity.org](mailto:plowenthal@srcity.org)

PAUL LOWENTHAL  
Assistant Fire Marshal

c: Cliff Sanders, So. Co. Fair

CHRB2016.LTR

Fire Department  
2373 Circadian Way • Santa Rosa, CA 95407  
Phone: (707) 543-3500 • Fax: (707) 543-3520  
[www.srcity.org](http://www.srcity.org)

**ATTACHMENT #6**

**15.J. Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.**

Certificate will be mailed directly from County of Sonoma (see attached letter dated April 26, 2017)

Fiscal Year 16/17	<b>CERTIFICATE OF SELF-INSURANCE</b>	Issue Date 04/26/2017
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<b>COVERED ENTITIES:</b> County of Sonoma Sonoma County Agriculture Preservation and Open Space District Sonoma County Community Development Commission Sonoma County Fair and Exposition, Inc. Sonoma County Water Agency  575 ADMINISTRATION DR., 116-C SANTA ROSA, CA 5403-2881	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BELOW. THIS CERTIFICATE OF COVERAGE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE COVERED ENTITIES AND THE CERTIFICATE HOLDER.
--	--

COVERAGE	EFFECTIVE DATE	EXPIRATION DATE	LIMITS
General Liability	July 1, 2016	July 1, 2017	\$1,000,000 per occurrence, no aggregate; self-insured
Automobile Liability	July 1, 2016	July 1, 2017	\$1,000,000 per occurrence; self-insured
Workers' Compensation	July 1, 2016	July 1, 2017	Statutory Limits: \$300,000 permissibly self-insured; excess coverage through California State Association of Counties Excess Insurance Authority.
Public Officials Errors and Omissions Liability	July 1, 2016	July 1, 2017	\$1,000,000 per wrongful act; no aggregate; self-insured

Description of Operations/Locations/Vehicles/Special Items:  
 As Respects 2017 Sonoma County Fair Horse Racing August 3 – August 20, 2017

The Certificate Holder is an additional covered party to the extent required by the indemnification provisions of the above referenced contract. This shall apply to claims, costs, injuries or damages but only in proportion to and to the extent such claims, costs, injuries or damages are caused by or result from the negligent acts or omissions of the Covered Entities shown on this certificate.

The Covered Entities agree to waive recovery rights against the Certificate Holder with respect to the above referenced contract if required in writing in the contract.

Certificate Holder:  California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300 Sacramento, CA 95825	AUTHORIZED REPRESENTATIVE    County of Sonoma Risk Manager 575 Administration Drive, Suite 116C Santa Rosa, Ca 95403
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Armored Car Service	NCOTWINC	11875 Dublin Blvd., #D275, Dublin, CA 94568
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B. Does the fair plan to provide its own concessions? Yes  No

**17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting:  
**See Attachment #7**
- B. Promotional/ Marketing budget for this race meeting: **Exceeds \$330,000**  
  
Promotional/Marketing budget for prior race meeting: **Exceeded \$330,000**
- C. Number of hosts and hostesses employed for meeting: **N/A**  
**Upstairs Grandstand Upper Level Guest Information Booth; wait service in Trackside Dining areas.**  
**In addition: 25 Ushers, 6 Guest Service Attendants and 5 Self-Service Betting Machine Attendants.**
- D. Describe facilities set aside for new fans:  
**See Attachment #8**
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits: **See Attachment #9**
  - 1. Horsemen
  - 2. Fans
  - 3. Facilities in the restricted areas

**18. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from previous year:

General Admission/Grand Stand	Free with Fair Admission
Fair Admission/Adults (13 & older)	\$ 13.00
Advanced Sale Tickets (before 8/2)	\$ 10.00
Fair Admission/Children (6-12)	\$ 7.00
Fair Admission/Seniors	\$ 13.00 *Free on Fridays*
Fair Admission/Presale Discount	\$ 10.00
Admission (clubhouse)	\$ N/A
Reserved Seating (general)	\$ 3.00
Reserved Seating (clubhouse)	\$ N/A
Upper Reserved Box Seats	\$ 5.00
Parking (valet)	\$ N/A
Programs/on track	\$ 2.50
Programs/off track	\$ 2.50

B. Describe any "Season Boxes" or other special accommodation fees:  
**Lower box seats \$135/seat season, Upper box seats \$105/seat season.**  
**Daily sales of box seats: Upper seats \$3.00 per seat and Lower seats \$5.00 per seat.**

**ATTACHMENT #7****17. A Promotional and marketing plans for the race meeting:**

The total advertising, publicity and promotions budget for the 2017 Fair and Race Meet exceeds \$330,000. Although these funds are used to promote all aspects of the two week Fair via traditional advertising, digital advertising, social media marketing, grassroots marketing, publicity, sponsorships/partnerships, box seat sales, educational programs, non-profit outreach, and promotions; 90% of these efforts involve promotion of the three week live racing product.

**Specific Wine County Racing Promotions:**

- Emailing campaign (Constant Contact) focused on impulse messages to get people to the races. We currently have over 60,000 constant contact subscribers and send several targeted eblasts promoting our live race meet.
- On-line discounted group ticket sales.
- Our Racing Grandstand Promotion Team improves the quality of our product and keeps race fans entertained during our meet by holding interactive drawings and contests between races to keep race fans at the races.
- On track souvenir giveaways during the meet.
- Promotion associates in the Grandstands assisting fans and first time bettors, including incentives/training for use of betting machines.
- Free daily racing seminars, including giveaways, geared at educating the new race fan.
- Signage promoting reserved seats, free daily racing seminars, daily contests/giveaways, and third week of racing.
- Specific Facebook, Twitter and Instagram posts that promote Wine Country Racing at the Sonoma County Fair. Interactive social media campaigns.
- "Company Picnic" VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. Trackside dining may be purchased in tables of four and is located on the North side of the grandstand box seat area. An elegant buffet meal is included with the trackside dining package.  
There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each. Both venues have been very popular and continue to bring new racing fans to Wine Country Racing.
- Wine Country Hat Day at the Races – To be held on opening day this year, the Wine Country Hat Day ticket includes gate admission, grandstand seating, race program, hat competition, and refreshments with a named race and group photo taken in the Paddock. Proceeds benefit a non-profit.
- Back by popular demand, our Derby Dog Dash will be offered on all three Sundays. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- Giveaways of box seat tickets & Fair admission throughout the year to increase fair base.

- Be An Owner-for-a-Day program where fans get randomly selected to be in the paddock for their assigned race and get their picture taken with the winning horse.
- TVG Coverage of early and late Pick 4's.
- Goldstar promotion offering a special ticket price of \$10 for admission to the Fair and a reserved grandstand seat.
- Sliders Gastro Pub & Sports Bar is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu featuring local products, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. We also offer live music at the conclusion of each race day.
- This year the opening day of the Fair is the same as opening day at the Races! This is a big day for both, with Wine Country Hat Day at the racetrack and the Marshall Tucker Band as a free concert!

### **Third Week of Racing at the Sonoma County Fair's Wine Country Racing**

**Activities to promote On-Track Attendance and Fan Development** - Complimentary activities that will be beneficial to our racing program. The three-day event we are planning for our third week of racing (Friday, August 18<sup>th</sup> through Sunday, August 20<sup>th</sup>) may help generate new fans and create a unique event.

- Derby Dog Dash on Sunday
- \$1 beer and \$1 hot dogs on Sunday
- Free parking and fairgrounds admission will be in place during our third week of racing.
- This third week of racing will be marketed heavily during the two previous weeks of racing including:
  - ❖ "bounce back" offers to fairgoers and giveaway promotions.
  - ❖ Advertising specifically budgeted - print, online, social media, outdoor signage & eblasts.

**ATTACHMENT #8****17.D. Describe facilities set aside for new fans:**

- Admission gate promotion of race coupons, vouchers, and other Wine Country Racing souvenirs to encourage fairgoer to go to the races during their fair visit.
- Promotion associates in the Grandstands assisting fans and first time bettors, including incentives/training for use of betting machines.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Free daily racing seminar each fair day (more than 20 years continuously running). The Seminar is hosted by industry professionals and features daily high profile guests. Prizes and tips are given throughout the one hour seminar.
- Returning promotions and information services area providing novice fan information and wagering assistance.
- Wine Country Hat Day at the Races – To be held on opening day this year, the Wine Country Hat Day ticket includes gate admission, grandstand seating, race program, hat competition, and refreshments with a named race and group photo taken in the Paddock. New this year, we are adding a hat competition for all racing fans and not just those attending the luncheon. Proceeds benefit a non-profit.
- Derby Dog Dash Derby will be offered on all three Sundays. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- Sliders Gastro Pub & Sports Bar is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. This year we are offering Comedy Night on Friday evenings after the races.
- New for 2017, there will be a Honky Tonk Bar located outside of the Slider’s Gastro Pub & Sports Bar on the fair side of the southern entrance to the grandstand. This large bar and country music area will link the fair patrons to the grandstand area.
- Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. Trackside dining may be purchased in tables of four and is located on the North side of the grandstand box seat area. An elegant buffet meal is included with the trackside dining package. There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each. Both venues have been very popular and continue to bring new racing fans to Wine Country Racing.

**ATTACHMENT #9****17.E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:****1. Horsemen**

- Continued improvements have been added to the horsemen's trailer park.

**2. Fans**

- Ongoing enhancements to the Winners' Circle and finish line will continue to be implemented.
- Additional ADA compliant wagering areas were added for self service terminals and teller counters.
- All grandstand restrooms have been upgraded to meet ADA standards.
- Enhancements to grandstand designated box areas for trackside and paddock dining experiences.
- Added new HD/flatscreen television monitors to all bar areas in grandstand.
- New seating area on apron in front of grandstand.
- New seating areas in downstairs bar areas.

**3. Facilities in the restricted areas**

- Continue to extend the fairgrounds recycling program into the restricted racing areas.
- Ongoing maintenance and improvements in the barn/restricted area including new electrical wiring and repairs to roadways, barns, and gutters.

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- C. Describe any "package" plans such as combined parking, admission and program:  
**Season Admission Pass – Pre-sale Price \$40 for all 11 days of fair admission (\$143 value)**  
**Family Pack–Pre-sale Price \$45, Includes: 3 General Admissions, 2 Kids Admissions, 1 Parking**  
**San Francisco Bay Area Goldstar Ticket offer - \$10 includes Fair Admission & Reserved Grandstand Seat**

## 19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="40"/>		
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/>	Lounge area
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service	<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys:

**Separate area containing a dressing room, lounge area, sauna, showers, restroom, lockers, & bunks.**

## 20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **100**
- C. Number of restrooms available on the backstretch of the racetrack:  
**Total of 14 urinals, 20 toilets, 20 sinks and 27 showers.**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 25**

## 21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Dirt Track: 6% organic; 16% silt & clay – Turf Course: Tall Fescue**
- C. The percent of cross slope in the straight-aways is: **3% Main Track; 2% Turf Course**  
 The percent of cross slope in the center of the turns is: **5 ½ % Main Track; 6% Turf Course**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

**Inner rail is aluminum wrap gooseneck posts covered by rubber and thermoplastic; Outer rail is steel posts and steel rail. Approximate height is 40 inches. Turf Track – Inner rail is aluminum wrap, moveable rail on gooseneck posts. Outer rail is aluminum rail on gooseneck posts permanently mounted.**

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- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Stacey Lapham**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

## 22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**AMRA, ARAC, PCQHRA, TOC & CTT - in progress**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):  
**No Exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Rebecca Bartling  
Print Name



\_\_\_\_\_  
Signature

Sonoma County Fair CEO  
Print Title

May 2, 2017  
Date

## STAFF ANALYSIS

June 22, 2017

Item 7

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR AT FERNDALE AUGUST 23, 2017 THROUGH SEPTEMBER 5, 2017

Humboldt County Fair (HCF) filed its application to conduct a horse racing meeting at Ferndale as part of the 2017 combined fair horse racing meeting:

- August 23, 2017 through September 5, 2017, or 7 days, one day more than 2016. The fair proposes to race a total of approximately 84 races, 12 more than 2016. Live racing will commence August 25, 2017 through September 4, 2017. HCF is a participant in the 2017 combined fair horse racing meeting.
- At the April 27, 2017 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to designate the 2017 allocated race dates of the Alameda County Fair (6/21/17 - 7/11/17), Cal-Expo State Fair (7/12/17 - 8/1/17), Humboldt County Fair (8/23/17 - 9/5/17), CARF at Pleasanton (9/20/17 - 10/3/17), and the Big Fresno Fair (10/4/17 - 10/17/17) as a combined fair horse racing meeting.
- The proposed race dates are the approved dates allocated to HCF which are now part of the 2017 combined fair racing meeting.

August - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

September - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

- Racing Fridays, Saturdays, Sundays, and Monday. Twelve races daily.
- Racing concurrently with the Del Mar Thoroughbred Club and Golden Gate Fields.
  - 2016 Race Meeting: Average number of runners per race (TB): 6.22
  - 2016 Race Meeting: Average number of runners per race (Arabian): 6.25
  - 2016 Race Meeting: Average number of runners per race (Mules): 5.0
- First post 3:07 p.m. on Fridays and 2:07 p.m. Saturdays, Sundays and Monday.
  - HCF respectfully requests to adjust the post time schedule submitted, to complement the Del Mar Thoroughbred Club post times.

Wagering program will use CHRB and ARCI rules.

- The distribution of the carryover pool will be October 15, 2017 (Closing Day of the Big Fresno Fair).
  - \$1 Place Pick when applicable; \$1 Trifecta and \$0.10 Superfecta when applicable.
  - \$0.50 Pick (n) Pool 4 on first 4 races and last 4 thoroughbred races each day.
  - \$0.50 Pick (n) Pool 5 on last 5 thoroughbred races each day with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
  - \$0.20 Pick (n) Pool 6 on the last 6 thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting 6 winners. If no unique ticket selects 6 winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
  - \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers and 60% of major pool added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race day.
  - Early wagering will not be offered.
- Specific changes from the 2016 license application:
    - Admission (general) from \$8.00 to \$10.00
    - Admission (racing) from \$3.00 to No Charge
  - The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, Bet America, Watch and Wager, and TwinSpires.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Professions Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California.*

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- NYRAbets, LLC
- Churchill Downs Technology Initiatives Company, dba TwinSpires
- Watch and Wager.com LLC

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires and in 2016 with NYRAbets and Watch and Wager is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted outside of California from a resident of California:*

- Bet America.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Gary Greiner be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to complete this application includes:

1. Fire Clearance

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of the fire clearance.

7 STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
CHRB-18 (Rev.02/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Humboldt County Fair, 1250 5<sup>th</sup> Street, Ferndale, CA 95536 (707)786-9511

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Richard Conway, General Manager; 707-786-5515, humcofair@frontiernet.net

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: August 23 – September 5, 2017

B. Actual dates racing will be held: August 25, 26, 27 & September 1, 2, 3 & 4

C. Dates racing will NOT be held: August 28 & 29 will be dark days; August 23, 24, 30 & 31 will be simulcast only days.

D. Total number of racing days: 7

E. Days of the week races will be held:

Fri - Sun  Tues - Sat  Other Monday, Sep 4

CHRB CERTIFICATION

Application received: 5/23/17

Reviewed: *ndw*

Hearing date: 6/22/17

Approved date:

License number:

**3. RACING PROGRAM**

A. Total number of races: 84

B. Number of races by breed:

<input type="text" value="56"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="10"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="18"/>	Mules

C. Number of races daily:

	Fri, Aug 25 & Sep 1	Sat, Aug 26 & Sep 2	Sun, Aug 27 & Sep 3	Mon, Sep 4
Thoroughbred	8	8	8	8
Other Breeds	4	4	4	4
Total	12	12	12	12

D. Total number of stakes races by breed:

<input type="text" value="1"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). N/A

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. N/A

2017	2016	2015
C.J. Hindley Humboldt County Marathon Hdcp \$15,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 Furlong Mon, Sep 4	C.J. Hindley Humboldt County Marathon Hdcp \$20,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 Furlongs Sun, Aug 28	C.J. Hindley Humboldt County Marathon Hdcp \$20,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 furlongs Sun, Aug 23

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

*\* As much as possible, we intend to align our post times to compliment the Del Mar post times*

<u>Race Number</u>	<u>Fri</u>	<u>Sat, Sun &amp; Monday</u>
Race #1	3:07	2:07
Race #2	3:37	2:37
Race #3	4:07	3:07
Race #4	4:37	3:37
Race #5	5:07	4:07
Race #6	5:37	4:37
Race #7	6:07	5:07
Race #8	6:37	5:37
Race #9	7:07	6:07
Race #10	7:37	6:37
Race #11	8:07	7:07
Race #12	8:37	7:37

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

#### 4. FAIR ASSOCIATION

- A. Names of the fair directors: **Mel Berti, Clarence Bugenig, Al Cooper, Lawrence Dwight, Sandy Hanks, Darren Hansen, Travis Low, Jack Macdonald, Mandy Marquez, Duane Martin, Dave Mogni, Cindy Olsen, Robert Prior, Tim Renner, Johanna Rodoni, Steve Ross, Fred VanVleck and Wayne Wilson.**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Mel Berti, Jack MacDonald, Cindy Olsen, Dave Mogni and Wayne Wilson**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program: **Richard Conway – General Manager, Sandra Rios – Stall Manager**
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).  
**Richard Conway**  
**General Manager**  
**1250 5<sup>th</sup> Street**  
**Ferndale, Ca 95536**  
**humcofair@frontiernet.net**

#### 5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair

association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2016	\$4,705,809	28,263	6 days
2015	\$6,546,701	35,539	6 days
2014	\$6,131,632	34,136	6 days
2013	\$5,355,525	34,289	8 days
2012	\$7,268,797	41,304	8 days

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: \$374,727  
 Prior meet actual: \$346,970

Average Daily Purse (7A1 ÷ number of days):  
 Current meet estimate: \$53,532  
 Prior meet actual: \$57,828

2. Overnight stakes:  
 Current meet estimate: \$15,000  
 Prior meet actual: \$20,000

Average Daily Purse (7A2 ÷ number of days):  
 Current meet estimate: \$2,142  
 Prior meet actual: \$3,333

3. Non-overnight stakes:  
 Current meet estimate: 0  
 Prior meet actual: 0

Average Daily Purse (7A3 ÷ number of days):  
 Current meet estimate: 0

Prior meet actual: 0

4. Total Purses: (7A1+-7A2+ 7A3)  
 Current meet estimate: \$389,727  
 Prior meet actual \$366,970

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: \$20,324  
 Prior meet actual: \$18,819

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 696	\$ 645
TOC	\$ 1,394	\$ 1,291
NTRA	\$ 402	\$ 373
PCQHRA	\$ -0-	\$ -0-
CWAR	\$ -0-	\$ -0-
ARAC	\$ 474	\$ 439
AMRA	\$ 579	\$ 537
CHBPAPEN	\$ 2,091	\$ 1,937
CTHF	<u>\$ 2,091</u>	<u>\$ 1,937</u>
Total	\$7,727	\$7,159

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):  
 Current meet estimate: \$417,778  
 Prior meet actual: \$392,948

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$59,682

Prior meet actual: \$65,491

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):  
 Current meet estimate: \$266,202  
 Prior meet actual: \$246,484

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$38,028

Prior meet actual: \$41,080

- F. Purse funds to be generated from interstate handle:  
 Current meet estimate: \$6,012  
 Prior meet actual: \$6,400

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$859

Prior meet actual: \$1,066

G. Bank and account number for the Paymaster of Purses' purse account:

On file

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

A. Number of usable stalls available for racehorses at the track where the meeting is held:

**250 permanent 200 portables**

B. Minimum number of stalls believed necessary for the meeting:

**350-370**

C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:

**None**

D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

**n/a**

E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

**n/a**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).

**458**

G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:

**n/a**

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for

Vanning per-horse: -0-

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:  
Dr. Connelly – 925-323-9681. No Auxiliary sites are available. Dr. Connelly will be available onsite during training hours, workouts and during racing for the association and no auxiliary sites will be used.
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. 24/7

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/>
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB#1976.9, ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	

Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None.**

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 15, 2017 (Closing Day of The Big Fresno Fair).**

E. List any options requested with regard to exotic wagering: .  
**\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable**  
**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day**  
**\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**  
**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**  
**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

F. Will "advance" or "early bird" wagering be offered?  Yes  No



March 28, 2017

California Horse Racing Board  
Attn: Jackie Wagner  
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2017 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,



	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander  
Chief Operating Officer

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Amtote (David Calendine) Expiration: September 30, 2020. 443-895-8417**

**Equipment description on file with Board.**

- H. List below the takeout percentage for each type of wager identified in 10B.

**TAKEOUT PERCENTAGE**

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

**11. ADVANCE DEPOIST WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America, Watch & Wager & NYRA**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen's groups?  
Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NOCTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson



# Pacific Coast Quarter Horse Racing Association

April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

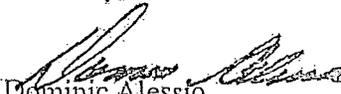
Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers TVG, Xpressbet, TwinSpires, and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

  
Dominic Alessio  
President

April 15, 2017

7-17



Mr. Larry Swartzlander  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, CA 95815

RE: 2017 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire and NYRA, to accept wagers on CARF and other Thoroughbred races during the 2017 CARF Racing meeting, June 22, 2017 through October 15, 2017, as follows:

**OFFICERS**

NICK ALEXANDER  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA. &  
SECRETARY/TREASURER  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
MIKE PEGRAM  
AT LARGE  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
JOE CIAGLIA  
MARK DEDOMENICO  
RON ELLIS  
GARY FENTON  
TERRY LOVINGIER  
SAMANTHA SIEGEL  
KATHY WALSH

**EXECUTIVE STAFF**

GREG AVIOLI  
PRESIDENT & CEO  
MARY FORNEY  
EXECUTIVE DIRECTOR  
LIZ MOREY  
NORTHERN CALIFORNIA LIAISON  
ELSA PERON  
ADMINISTRATIVE ASSISTANT

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

1. **California wagering on CARF races:**
  - a. TVG, XpressBet, TwinSpire and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
  - b. TVG, XpressBet, TwinSpire and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
  - c. For all wagers identified in sections 1.a. and 1.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, TwinSpire and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
  - b. TVG, XpressBet, TwinSpire and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
  - c. For all wagers identified in sections 2.a. and 2.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
  - a. TVG, XpressBet, TwinSpire and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, and NYRA.

Very truly yours,

Greg Avioli, President & CEO

April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers: Fan Games Racing LLC, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



\*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey  
San Joaquin County Fair, Stockton  
Santa Clara County Fair, San Jose  
Shasta District Fair, Anderson  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Stanislaus County Fair, Turlock

Fantasy Springs Casino, Indio  
Los Alamitos Race Course, Los Alamitos  
Mermaid Tavern, Thousand Oaks  
OC Tavern & Sports Bar, San Clemente  
Roadhouse Grill, Santa Maria  
Sammy's Restaurant & Bar, Lake Forrest  
Santa Anita Park, Arcadia  
Santa Clarita Lanes, Santa Clarita  
Shalimar Sports Center, Indio  
Sports Center, San Bernardino  
Sports Pavillion at The Farmers Fair, Lake Perris  
Sports Pavillion, San Bernardino Cty. Fair, Victorville  
Surfside Race Place at Del Mar, Del Mar  
  
Viejas Casino & Turf Club, Alpine  
Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

2017 IMPORT TRACKS	
ARLINGTON	8/23-9/5
BELMONT	8/23-9/5
ASSINIBOIA	8/23-9/5
AUSTRALIAN RACING	8/23-9/5
BEULAH	8/23-9/5
CALDER RACECOURSE	8/23-9/5
CANTERBURY DOWNS	8/23-9/5
CHARLES TOWN	8/23-9/5
CHURCHILL DOWNS	8/23-9/5
9/DELAWARE	8/23-9/5
DOWNS AT ALBUQUERQUE	8/23-9/5
ELLIS PARK	8/23-9/5
EMERALD DOWNS	8/23-9/5
EVANGELINE DOWNS	8/23-9/5
FORT ERIE	8/23-9/5
GULFSTREAM	8/23-9/5
HASTINGS PARK	8/23-9/5
HAWTHORNE	8/23-9/5
INDIANA DOWNS	8/23-9/5
KEENLAND	8/23-9/5
KENTUCKY DOWNS	8/23-9/5
LAUREL	8/23-9/5
LONE STAR	8/23-9/5
LOUISIAN DOWNS	8/23-9/5
MONMOTH	8/23-9/5
MOUNTAINEER PARK	8/23-9/5
NYRA-AQUEDUCT	8/23-9/5
NYRA-BELMONT	8/23-9/5
NYRA-SARATOGA	8/23-9/5
PENN NATIONAL	8/23-9/5
PHILADELPHIA PARK (PARX)	8/23-9/5
PIMLICO	8/23-9/5
PRAIRIE MEADOWS	8/23-9/5
PRESQUE ISLE	8/23-9/5
RIVER DOWNS	8/23-9/5
SOUTH AMERICAN RACING	8/23-9/5
SUFFOLK DOWNS	8/23-9/5
SUNLAND PARK	8/23-9/5
SUNRAY PARK	8/23-9/5
TAMPA BAY	8/23-9/5
THISTLEDOWN	8/23-9/5
TURFWAY PARK	8/23-9/5
TURF PARADISE	8/23-9/5
UNITED KINGDOM	8/23-9/5
WOODBINE	8/23-9/5

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**ARF 2017 Meets**  
**Commingled Locations**

mWest Entertainment	Fair Meadows	Monmouth Park	Tampa Bay Downs
Amwest Accounts	Favorites at Gloucester	Montana OTB	Taunton ADW
Greenbrier (WV)	Favorites at Vineland	Monticello	Taunton Dog Track Inc.
Cover D' Alene Casino (ID)	Finger Lakes	Mountaineer Park	The Downs at Albuquerque
Triple Crown (SD)	Finger Lakes ADW	Nassau Regional OTB	Thistledown
rapahoe-Mile High	Fonner Park	Nevada Pari-Mutuel Assoc.	Tioga Downs
rima Race Club	Freehold	Newport Jai Alai	Tri-State GH (Mardi Gras)
rlington I	Gillespie County Fair	New Jersey ADW (4NJBets)	Turf del Norte (Mexico)
angor Raceway	Global Wagering Solutions	Northfield	Turf Paradise
atavia	Betsson, OneXTwo, German Tote	Cedar Downs OTB	Turfway Park
elterra Park	Racebets, Sportech Netherlands	Northville Downs	TVG
ettor Racing	SBO, Magna Bet, Pferdewetten	NYRA	TVG High Volume
irmingham Greyhound	Dantoto	NYRA ADW	Twin River Greyhound
orgata Casino	Greenetrack	Oaklawn Park	TwinSpires
uffalo Raceway	Greyhound at Post Falls	Oaklawn Park ADW	TwinSpires High Volume
anterbury Park	Gulf Greyhound	Ocean Downs	Velocity Wagering Ltd.
apital District OTB	Gulfstream Park	Panama	Venezuela OTB
atskill OTB	Harrington Raceway	PariBet ADW	Vernon Downs
harles Town Race Course	HarringtonBets ADW (DE regional)	PARX	Virginia Equine Alliance
hester Downs (Harrah's Philly)	Hawthorne Race Course	PARX ADW	WatchandWager
hurchill Downs	Hawthorne ADW	Penn National	Western OTB
odere (Brazil)	Hazel Park	Penn National ADW	Wheeling Downs
olumbus Raceway	Hinsdale	Peru	Will Rogers Downs
onnecticut OTB	Hoosier Park	Plainridge Race Course	Wyoming OTB
Bradley Teletheater, Bristol	Horseman's Park	Plainridge ADW	Wyoming Downs
amouflage Gaming	Horsehow Council Bluff (William Hill)	Player Management Group	XpressBet
Connecticut OTB ADW	Idabet	PMU (Brazil)	Yonkers Raceway
Coushatta Casino	Indiana Downs	Pocono Downs ADW	Yonkers ADW (Empire City Bets)
Divi Carina Bay Casino	Evansville OTB	Pocono Downs/OTB	Youbet Group 1
East Haven, Hartford, Putnam	Clarksville OTB	Portland Meadows	Zia Park
Winner's Circle	Intermountain Racing	Potawatomi Casino/ OTB	123Gaming
Ho-Chunk Casino and Racebook	Jockey Club Pernambuco (Brazil)	Prairie Meadows	
John Martin's Manor Restaurant	Keeneland	Premier Turf Club	
Manchester, New London	Keeneland Select ADW	Presque Isle Downs	
Millenium Racing	Kentucky Downs	Remington Park	
Mohegan Sun Casino	Kentucky OTB	Remington OTB Network	
New Britain, Norwalk, Milford	Les Bois (Treasure Valley)	Retama Park	
Oneida Bingo & Casino	Lewiston OTB's	Racing & Gaming Services	
Paragon Casino	Lien Games	Rillito Park	
Pony Bar Simulcast Center	Chips Lounge/Rumors OTB	Ruidoso Downs	
Randall James Racetrack	Howard Johnsons OTB	Running Aces Harness Park	
Royal Beach Casino	Skydancer Casino OTB	Sam Houston	
Shoreline Star, Sports Haven	Offtrackbetting ADW	Valley Greyhound Park	
Torrington, Waterbury	X the Spot (Virgin Island)	Saratoga Harness Raceway	
Tote Investment Racing	Lone Star	Saratoga Bets (ADW)	
Willimantic, Stanford OTB	Louisiana Downs	Scarborough Downs	
Winner's Circle	LVDC	Scioto Downs	
opus Christi Greyhound	Atlantis Paradise Casino	Seabrook Greyhound	

ayton Raceway	Buffalo Thunder Resort	Seabrook Greyhound ADW	Separate Pool Locations
elaware Park	Foxwoods Resort Casino	Sol Mutuel	Camarero (Puerto Rico)
elta Downs	Meskwaki Bingo & Casino	Sol Mutuel – Odds Broker	Caymanas (Jamaica)
over Downs	Mahoning Valley	Sol Mutuel – OTB	Chile
ubuque (Iowa Greyhound)	Maronas (Uruguay)	Barbados/Otrabanda	Codere (Mexico/Spain)
lite Turf Club (1-19)	Maryland Jockey Club	Southland Greyhound	MIR Books (Caliente)
llis Park	Meadowlands	State Fair (Lincoln, NE)	NDS Books (Nevada)
merald Downs	Meadows The Meadows The (ADW) - PA regional	Suffolk District OTB	PMU (Brazil)
vangeline Downs	Miami Valley Gaming & Racing	Suffolk Downs	Tabcorp (Australia)
air Grounds	Mobile Greyhound	Sunland Park	Tattsbet (Australia)
air Grounds ADW		SunRay Park & Casino	Turf TV
			New Zealand Racing Board

**Canadian Locations**

ssiniboia Down, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, raser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, awartha Downs, Marquis Downs, Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, ocky Mountain Turf Club, Royal Britiana Hub, Sudbury Downs, Summerside, TBC Teletheaters /estern Fair, Woodbine, Xpressbet Southern Alberta

**F.** California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Thousand Oaks, Sammy’s Restaurant & Bar, Lake Forest

**G.** List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 12D above.	TBD	

**H.** List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

**I.** If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes,

which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s) – **Dr. Laurie Bohannen, D.V.M.**
  - Clerk of Scales – **Kenny Fowler**
  - Clerk of the Course – **Myra Truitt**
  - Film Specialist – **Myra Truitt**
  - Horse Identifier – **Gary Greiner**
  - Horseshoe Inspector – **Jackie Payton**
  - Paddock Judge – **Gary Greiner**
  - Patrol Judges – **Lisa Jones & Myra Truitt**
  - Placing Judges – **Stewards**
  - Starter – **Bob Mooneyhan**
  - Timer – **Melody Truitt**
- B. Management officials in the racing department:
- Director of Racing – **Richard Conway**
  - Racing Secretary – **Tom Doutrich**
  - Assistant Racing Secretary – **Lisa Jones**
  - Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
  - Others (identify by name and title)
  - Mutual Manager – **Doug Gooby**
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **KCW Court Reporters, 1018 2<sup>nd</sup> Street, Eureka, Ca, 707-443-7067.**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**Plusmic Corporation USA – Bill O'Brien (Expires 12/7/18)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
**Pegasus Communication, Inc. – Jim Porep) Contract Expires: April 30, 2021**  
**Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2021**

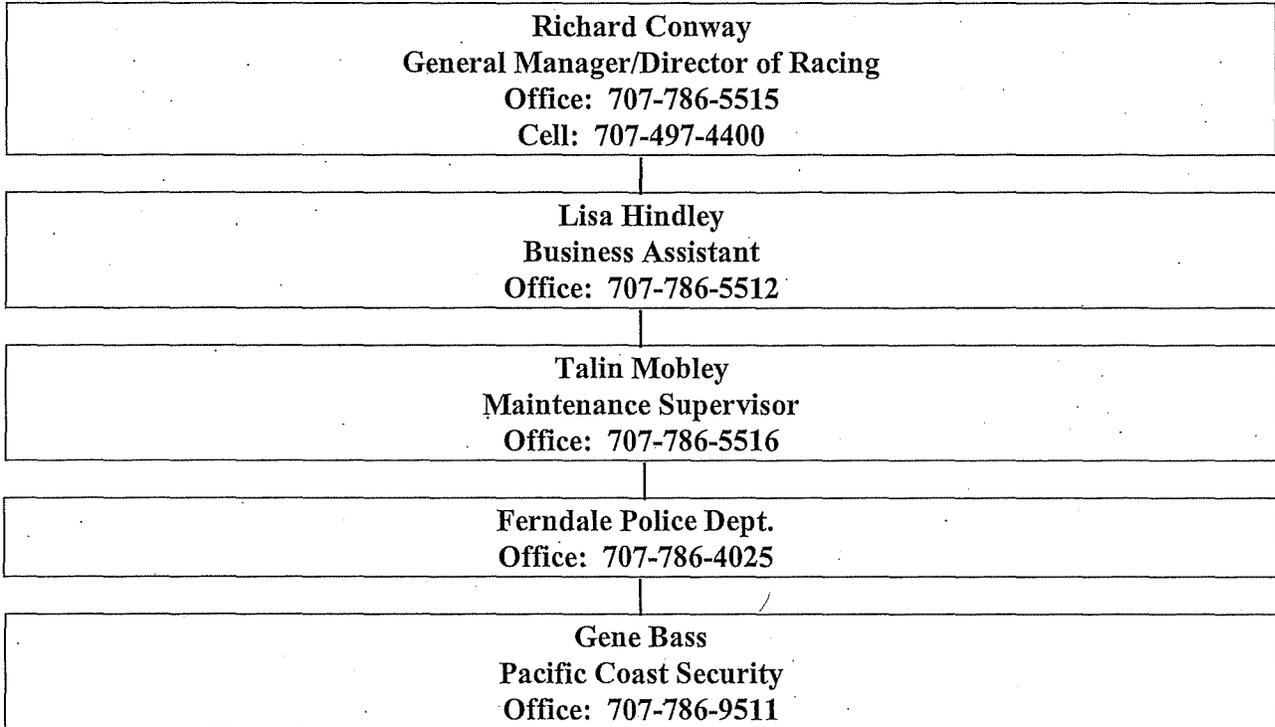
#### 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See attached organizational chart.**  
**Pacific Coast Security, Gene Bass, Owner (707)-786-9511**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:  
**1-2 Guards in grandstands**  
**2-3 Rovers**  
**3 Licensed gatemen on 8-hour shifts**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.  
**N/A**
  2. Detention Stalls:  
**(The Fair is not running graded stakes)**
    - A. Attach a plan for use of graded stakes or overnight races.  
**N/A**
    - B. Number of security guards in the detention stall area during a 24-hour period.  
**N/A**
    - C. Describe number and location of surveillance cameras in detention stall area.  
**N/A**
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All horses in thoroughbred races where the number is determined by a random algorithm generator.**
    - B. Plan for enhanced surveillance for trainers with high-test results.  
**Trainer with high test results will be moved to the detention area.**
    - C. Plan for detention stalls for repeat offenders.  
**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**
    - D. Number of security personnel assigned to the TCO2 program.  
**One (1) 24-hour security guard when detention stalls are occupied.**
- C. Describe the electronic security system.  
**C.A.R.F. surveillance equipment and program that travels between racing Fairs.**
1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**4 surveillance cameras monitoring this area.**

#### 15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **City Ambulance of Eureka, 135 7<sup>th</sup> Street, Eureka, Ca 95501 (707) 445-4907**

Security Controls



1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**Will be provided nlt than June 6.**
  
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
**N/A**
  1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**N/A**
  
- C. Describe the on-track first aid facility, including equipment and medical staffing:  
**See Attached.**
  
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**Physicians rotate on a daily basis from Redwood Memorial Hospital. 707-725-7328**
  
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Redwood Memorial Hospital, 3300 Renner Drive, Fortuna, Ca (707) 725-7328**
  
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See Attached**
  
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Richard Conway, General Manager and Lisa Hindley, Assistant General Manager.**
  
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Scheduled for July 1, 2017.**
  
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):  
**Sent via separate mail.**
  
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**Will be provided nlt June 6.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt

**City**  
**Ambulance of**  
Eureka · Fortuna · Garberville  
135 West. Seventh St. Eureka, CA 95501 707.445.4907

June 7, 2017

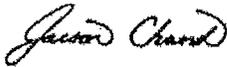
Richard Conway  
Humboldt County Fair

Mr. Conway,

City Ambulance of Eureka, Inc. of Eureka, California operates emergency and non-emergency ambulance transportation in Humboldt County. All of our paramedic staff are licensed with the California Emergency Medical Services Authority, in addition to being accredited by the LEMSA (local emergency medical services agency) in the area in which they are practicing. The current status of any paramedic license can be verified by CA EMSA on their web site: [www.centralregistry.ca.gov](http://www.centralregistry.ca.gov).

Please feel free to contact me if you require further information.

Sincerely,



Jaison Chand, EMT-P, RN, BSN  
Chief Operating Officer

**12C First aid and Medical Staffing**

The Humboldt County Fair provides emergency care which supports comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Two Emergency Medical Technicians from City Ambulance of Eureka staff are located in an on-track ambulance, which is located at a location with ease of access to the track during each day of training and racing. This ambulance and crew are present whenever horses are on the track (during both racing and training hours, and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

Licensed Physicians are on-duty at Redwood Memorial Hospital and are responsible for ongoing care for jockeys, track staff and allied personnel requiring emergency medical care.

The Humboldt County Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communication services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations, as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The fair also has an emergency response cell which responds to all emergencies, both medical as well as non-medical.

**12F Medical Protocols and Procedures: English**

In case of an accident on the racetrack, the following procedures shall be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

### Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

### Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

### Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

### All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

## **12F Medical Protocols and Procedures: Spanish**

Procedimientos la feria del condado Humboldt en los accidentes de carreras de caballo.

### **Ambulancia de Pista**

La ambulancia de pista llegara in mediatamente al escena del accidente y asumira triage y las responsabilidades de cuidado del paciente y evacuar.

### **La Seguridad**

- 1.) Tan pronto como seaposible, un miembro de personal de seguridad de pista in formará a la escena del accidente y posterior mente tomará la dirección de la EMT responsable del manejo de la escena.
- 2.) Un miembro del personal de seguridad de pista procederá a la sala de jockey para a segurar el área de traslado de ambulancia y evitar visitas de personas de la zona del accidente.
- 3.) Un miembro del personal de seguridad de pista se encargará de escoltar vehiculos de emergencia.
- 4.) Personal de seguridad sera responsable para toda las actividades de control de la gente.

### **Carreras Pista de Personal/Veterinario**

- 1.) A su llegada a la escena el outrider debe tener el caballo lesionado con el fin devitar más daños a personas, caballos y propiedad.
- 2.) Caballos con lesiones graves deben ser transportados fuera de la pista a través de la ambulancia de caballos siempre que sea práctico hacerlo.
- 3.) El veterinario de la pista debrerá tomar la decisión acerca de la necesidad de la eutanasia en la pista.
- 4.) La pantalla de bloqueo del publico del caballo lesionado se establecerá antes del procedimiento del eutanasia.
- 5.) Outriders son responsables de la eliminación de cualquier residuo de la pista después de la extirpación de la persona lesionada o caballo de la pista.

### **Personal de Planta**

- 1.) La ambulancia del caballo deberá via jar in mediatamente a la escena del accidente siempre y cuando parece que un caballo requieve transporte.
- 2.) Miembros del personal de planta que se encuentran cerca del accidente se prestarán asistencia en el bloquero de la accidente de la vista publica y deberá tomar la dirección de la EMT responsable del manejo de la escena de accidente.

### **Anunciador**

El anunciador hará jinetes tanto de la situación como la (ubicación de un caballo suelto o la necesidad de parar el caballo etc.) que les permita tomar las medidas necesarias para mitiqar los problemas adicionales.

### **Administatira Superior**

- 1.) Un representate senior de pista debe procede rápidamente a la ubicacion de la pista de carreras donde el accidente sucedio. El administrador debe informar a otros miembros del equipo directivoel condición del accidente.
  - 2.) Un miembro adicional del equipo administrativo debe reportar al departamento de video para controlar la escena y determinar el grado de cobertura en rideo transmitido al publico.
  - 3.) Un miembro de equipo administrativodebe aportar en cuanto a anuncios a realizar por el anunciador de la pista.
-

- 4.) Un miembro del equipo administrativo debe ser responsable para ver que información se comunicá a los familiares de los heridos. Debe de ser el major es fuerza para escoltar a miembros de la familia si es necesario. En este sentido una recopilación actual a quien debe ser notificado en caso de un jinete heido se mantiene en el archivo.
  - 5.) Todos los anuncios publicos y respuestas a consultas de la prensa están dentro de la competencia exclusiva del miembro administrativo del equipo luego disponibles.
- 

**Todos los Jefes del Departamento**

Todos los jefes del departamento deberán comunicar a sus empleados que aunque hay buenas intenciones, el tratamiento del jinete lesionado debe ser dado por personal entrenado y todos los demas empleados deben permanecer legos de la escena de un accidente.



June 2, 2017

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Humboldt County Fair  
1250 5<sup>th</sup> Street  
Ferndale, CA 95536

Please be advised that the Humboldt County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

**I. GENERAL LIABILITY PROGRAM**

A. Coverage Limits \$10,000,000 California Fair Services Authority (includes Liquor Liability)  
Coverage continuous until cancelled

**II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM**

A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled

B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2017 to 07/01/2018

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Humboldt County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen

Lianne Lewellen  
Risk Analyst

Digitally signed by Lianne Lewellen  
DN: cn=Lianne Lewellen, o=ca,  
email=llewellen@cfca.org, c=US  
Date: 2017.06.02 16:01:12 -07'00'

1776 TRIBUTE ROAD, SUITE 100  
SACRAMENTO, CA 95815  
PHONE (916) 921-2218 | FAX (916) 646-1238



and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

**Food & Non-Alcoholic Beverages**

**Winner's Circle Photo**

**Vassar Photography, 5075 Double Point Way, Discovery Bay, CA 94514**

**Racing Grandstand Sound System**

**Universal Balance, 2163 Park Avenue, McKinleyville, CA 95519**

**Armored Car Services**

**NOTWINC, 11875 Dublin Blvd. Dublin, CA 94568**

**Portable Stalls**

**Stall West, Inc. 4149 Burnett Road, Lincoln, Ca 95684. 916-645-5475, fax 916-645-6095.**

- B. Does the fair plan to provide its own concessions? Yes  No

## 17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:  
Ticket give-away already underway, using HCF Facebook; discounted ticket sales, beginning July 1; placement of old Starting Gate in surrounding communities 60 days prior to Fair and near entrance to town during fair; Participation in local parades and events prior to fair; Pre-fair media dinner; golf tournament on dark Wednesday; Horsemen's Fish Fry on dark Tuesday. Appearances on local ESPN radio station; Live remote, local ESPN radio, opening day of racing; Television and radio spots.

- B. Promotional/ Marketing budget for this race meeting:

**\$60,000**

Promotional/Marketing budget for prior race meeting:

**\$60,000**

- C. Number of hosts and hostesses employed for meeting:

**10**

- D. Describe facilities set aside for new fans:

**First come, first served**

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen: We are installing new roofs on Arlington barns and tack room renovations will continue. We are installing a new Duralock inner rail.

2017 HUMBOLDT COUNTY FAIR AND RACE MEET  
MARKETING PLAN

16A. The Board of Directors and Staff are enthusiastic and ready for the 121st edition of the Humboldt County Fair in Ferndale, home to California's smallest, yet most unique racing venue. Here's a summary of some of the things what we plan to do to meet the challenge:

- RACE DAY SCHEDULE: In order to provide for the greatest success for everyone involved, Humboldt will continue to modify its schedule when live racing will occur. Those modifications include racing on a -Friday-Saturday-Sunday format and during the second week will also run Monday, September 4th Labor Day. Dark days will include Thursday August, 24th, along with Monday August, 28 through Thursday August, 31st of the Humboldt County Fair. This change is designed to benefit the myriad of participants from the racing industry, along with the thousands of loyal fans who travel to Ferndale to support the meet.
- ADVERTISING BUDGET: Our 2017 advertising budget is \$60,000. This year we hired a Marketing/Development Director that will work in house to develop marketing campaigns.
- Sponsorships: We are continuing to expand our sponsorship program in 2012 \$80,000, 2013 \$160,000, 2014 is \$200,000, 2015 is \$203,000, 2016 is \$217,500 and our projection for 2017 is \$250,000.
- Fundraiser: We are planning a fundraiser for September 4th where we hope to raise \$50,000. Last year's fundraiser provided funding for improvements in the barn area and racing enclosure.
- PROMOTIONS: In addition to reaching out to more businesses to sponsor our racing program we will again be joining the list of racing entities that have used Lady's Hat Day at the Races promotion. A huge part of Del Mar's traditional opening day festivities, we will be conducting the promotion on Saturday, September 2nd, sponsored by the Ferndale Jockey Club. The HCFA will be continuing our daily losing ticket "Second Chance" drawing for each race held that day. All losing tickets can be deposited
- POST RACE PROMOTIONS: Unique to Ferndale is the nightly recap of racing activities, with a live broadcast of our local ESPN radio station, KEKA 1340. Owners, trainers, jockeys and fans are among the many guests to appear on the program, which takes place live on Main Street Ferndale, in front of one of the many local bars, taverns and restaurants that embrace horse racing each August. Post-race promotions extend to the local NBC affiliate, New Channel 3 in Eureka, an important outlet which is provided footage for the races each day to broadcast on its 6:00 and 11:00 sports programs.

- 2. Fans: We are expanding our Turf Room to increase the capacity and accommodate more of our VIP patrons. Expanding our patio area adjacent to the paddock and adding a host in the area to help new patrons with their wagering.
- 3. Facilities in the restricted areas: N/A

**18. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from previous year:

Proposed charges, note any changes from previous year:

Admission (general)	<b>\$10.00</b>
Admission (racing)	<b>\$00.00</b>
Reserved seating (general)	
Reserved seating (clubhouse)	
Parking (general)	<b>\$5.00 per day</b>
Parking (preferred)	<b>\$10.00 per day</b>
Parking (valet)	
Programs (on-track)	<b>\$2.50</b>
(off-track)	<b>\$2.50</b>

- B. Describe any "Season Boxes" or other special accommodation fees: **\$225 for box seats.**
- C. Describe any "package" plans such as combined parking, admission and program: **None.**

**19. JOCKEYS' QUARTERS**

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	18
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:  
**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks.  
 Jockeys & Jockettes share the scale.**

**20. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meeting.
- B. Number of rooms used for housing on the backstretch of the racetrack:  
**Six**
- C. Number of restrooms available on the backstretch of the racetrack: **Six (6)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **10 to 1.**

## 21. TRACK SAFETY

- A. Total distance of the racecourse - ~~measured from~~ the finish line counterclockwise (3' from the inner railing) back to the finish line: 

2,640
-------

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Organic dirt, silt, clay, sand, and Fir bark**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**  
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Outer rail comprised of 3" aluminum railing on top of posts, 40" in height. Fontana inner rail, made of offset galvanized posts, with extended aluminum railing on top of gooseneck posts, 42" in height, with average overhang of 24".**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**Horsemen's Agreement on file with CHRB.**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except

as follows (if no exceptions, so state):

**No Exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Richard Conway  
Print Name

Richard Conway  
Signature

General Manager  
Print Title

5/22/17  
Date

STAFF ANALYSIS  
June 22, 2017

Item 8

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II AT GOLDEN GATE FIELDS AUGUST 23, 2017 THROUGH SEPTEMBER 19, 2017

Pacific Racing Association II (PRA II) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF) August 23, 2017, through September 19, 2017 or 16 days; three fewer days than 2016. PRA II proposes to race a total of 130 to 162 races, or 8.1 to 10.1 races per day. Live racing will commence August 24, 2017 through September 17, 2017. In 2016, PRA II ran 8.26 races per day with an average of 6.96 runners per race. The average daily purse for the 2016 race meeting was \$148,577. The (estimated) average daily purse for this meet is \$147,587.

August - 2017							September - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5							2
6	7	8	9	10	11	12	3	4	5	6	7	8	9
13	14	15	16	17	18	19	10	11	12	13	14	15	16
20	21	22	23	24	25	26	17	18	19	20	21	22	23
27	28	29	30	31			24	25	26	27	28	29	30

- Racing Thursday through Sunday with the exception of Monday, September 4, 2017 and Thursday, September 7, 2017.
- 7 to 9 races on weekdays, 9 to 11 races on weekends and Monday, September 4, 2017.
- First post 1:45 p.m. daily
  - Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will not be offered.
  - \$2 - Rolling Daily Double on all eligible races - 20% takeout.
  - \$1 - Pick 3 on all eligible races; \$1 Trifecta on eligible races; \$.10 Superfecta on all eligible races; \$1 Exacta on all eligible races.
  - \$.50 - Pick 4 on races 2-5 and last 4 races. No consolation; 100% carryover; Alternate runner provision.
  - \$.50 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners; Alternate runner provision. 14% takeout.
  - \$1- Pentafecta (Super High 5) in the last race of the day selecting the first five finishers in the race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
  - \$.20 - Pick n Pool 6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six

winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.

- Specific changes from the 2016 license application:
  - Number of rooms used for housing from 138 to 140
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

PRA II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) PRA II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The PRA II \$100,000 surety bond currently on file will expire August 17, 2017; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires, TVG, and NYRA.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Profession Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the

wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinspires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- NYRAbets, LLC has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires and in 2016 with NYRAbets are still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Bond (expires August 17, 2017)
2. Workers' Compensation Insurance Policy (expires June 30, 2017)

**RECOMMENDATION:**

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
 CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

## 1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association II**

**1100 Eastshore Highway**

**Berkeley, CA 94710**

**Phone (510) 559-7300 Fax (510) 559-7464**

**calvin.rainey@goldengatefields.com**

- B. Breed of horse: TB  QH  H

- C. Racetrack name: **Golden Gate Fields**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **N/A**

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars. **Bond on file valid through 8/17/17. Will forward to Board upon receipt of renewal before August 23, 2017**

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

## 2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **August 23, 2017 through September 19, 2017**

- B. Actual dates racing will be held: **August 24-27, 31; September 1-3; 4, 8-10, 14-17.**

- C. Total number of days or nights of racing: **16 days**

### CHRB CERTIFICATION

Application received: 5/24/17

Deposit received: on file

Reviewed: LH

Hearing date: 6/22/17

Approved date:

License number:

- D. Days or nights of the week races will be held:  
 Wed - Sun     Tues - Sat     Other (specify)

**Racing Thursday through Sundays**

**Exceptions: No racing Thursday 9/7; Racing Monday 9/4**

**Dark days: Monday 9/18 and Tuesday 9/19/17**

- E. Number of days or nights of racing per week: **4 days per week except as set forth in Item D above.**

**3. RACING PROGRAM**

- A. Total number of races: **130 – 162**

- B. Number of races for each day or night:

- **7 – 9 races on weekdays**
- **9 – 11 races on weekends/holiday**

- C. Total number of stakes races: **1**

- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**

- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes     No        If no, what racing colors are to be used:

**PRA II /GGF SUMMER 2017**  
(August 23 – September 19, 2017)  
**STAKES SCHEDULE**  
**ATTACHMENT 3D**

**PRA II /GGF SUMMER 2016**  
(August 19 – September 18, 2016)  
**STAKES SCHEDULE**  
**ATTACHMENT 3D**

**PRA II /GGF SUMMER 2015**  
(August 21 – October 4, 2015)  
**STAKES SCHEDULE**  
**ATTACHMENT 3D**

**ROLLING GREEN**  
\$50,000 Added (Plus \$15,000 to Cal-Breds)  
*Overnight Stakes*  
Three Years Old and Upward  
1 1/16 Turf  
Sunday, September 17, 2017

**ROLLING GREEN**  
\$50,000 Added (Plus \$15,000 to Cal-Breds)  
*Overnight Stakes*  
Three Years Old and Upward  
1 1/16 Turf  
Monday, September 5, 2016

**ROLLING GREEN**  
\$50,000 Added (Plus \$15,000 to Cal-Breds)  
*Overnight Stakes*  
Three Years Old and Upward  
1 1/16 Turf  
Monday, September 7, 2015

F. List all post times for the daily racing program\*:

Race Number	Post Time Daily
1st	1:45 p.m.
2nd	2:15 p.m.
3rd	2:45 p.m.
4th	3:15 p.m.
5th	3:45 p.m.
6th	4:15 p.m.
7th	4:45 p.m.
8th	5:15 p.m.
9th	5:45 p.m.
10 <sup>th</sup> when applicable	6:15 p.m.
11 <sup>th</sup> when applicable	6:45 p.m.

\*Post times may change in order to coordinate North/South signals.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association II**
- State where incorporated: **California**
- Registry or file number for the corporation: **3481225**
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
**Keith Brackpool, Chairman (Officer), 0 Shares**  
**Scott Daruty, (Director), 0 Shares**  
**Joe Morris, Senior Vice President West Coast Operations (Officer), 0 Shares**  
**Mike Rogers, Vice President, Operations (Director/Officer), 0 Shares**  
**Sheila Jane Lynn, Assistant Secretary (Officer), 0 Shares**  
**Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**  
**Gina Lavo, Vice President Finance (Officer), 0 Shares**
- Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**

6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading?  Yes  No.  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc.**
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

## D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

## E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

## F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with the board**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

## G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
  - Keith Brackpool, Chairman**
  - Joe Morris, Senior Vice President West Coast Operations**
  - Calvin J. Rainey, Vice President and General Manager**
  - Mike Rogers, Vice President, Operations**
  - Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary**
  - Gina Lavo, Vice President, Finance**
  - Sheila Jane Lynn, Assistant Secretary**
  - Merry Scalzo, Director of Administration**
  - Mark Ventresca, Director of Hospitality and Sales**
  - Doug Gooby, Mutuel Manager**
  - Joseph Rakowski, Marketing/Publicity Manager**
  - Bob Hemmer, Director of Operations**
  - Marciano "Rocky" Alado, Public Safety Director**
  - Michael Wrona, Announcer**
  - Juan Meza, Track Superintendent**
  - Steve Martinelli, Price Maker**
  - William Vassar, Track Photographer**
  - David Seftel, M.D., Track Physician**

- Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**Calvin J. Rainey**  
**Vice President and General Manager**  
**1100 Eastshore Hwy**  
**Berkeley, CA 94710**  
[calvin.rainey@goldengatefields.com](mailto:calvin.rainey@goldengatefields.com)

**5. TAKE OUT PERCENTAGE**

- If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**  
**Daily Double** **20%**

- Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **TOC approval letters attached**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2016 (8/19 – 9/18/16)	\$ 6,000,287.10	33,827 (19 live race days)
2015 (8/21 – 10/4/15) split	\$ 6,757,788.70	36,786 (19 live race days)
2014 (8/15 – 9/14/14)	\$ 6,468,446.20	36,407 (19 live race days)
2013 (8/16 – 9/15/13)	\$ 6,720,615.20	48,770 (19 live race days)
2012 (8/17 – 9/16/12)	\$ 7,086,461.80	48,491 (17 live race days)

# Golden Gate Fields

May 6, 2017

Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 200  
Sacramento, CA 95825

Dear Rick:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association II (PRA II), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA II summer race meet, August 23, 2017 through September 19, 2017.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA II's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey  
Vice President & General Manager



May 12, 2017

**OFFICERS**

NICK ALEXANDER  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA. &  
SECRETARY/TREASURER  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
MIKE PEGRAM  
AT LARGE  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA II) 2017 Summer Race Meet

Dear Ms. Wagner,

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
JOE CIAGLIA  
MARK DEDOMENICO  
RON ELLIS  
GARY FENTON  
TERRY LOVINGIER  
SAMANTHA SIEGEL  
KATHY WALSH

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Summer race meet, August 23 – September 19, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

**EXECUTIVE STAFF**

GREG AVIOLI  
PRESIDENT & CEO  
MARY FORNEY  
EXECUTIVE DIRECTOR  
LIZ MOREY  
NORTHERN CALIFORNIA LIAISON  
ELSA PERON  
ADMINISTRATIVE ASSISTANT

Sincerely,

Greg Avioli  
President & CEO

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey  
Mary Scalzo

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM



May 12, 2017

**OFFICERS**

NICK ALEXANDER  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA. &  
SECRETARY/TREASURER  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
MIKE PEGRAM  
AT LARGE  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA II) 2017 Summer Race Meet

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
JOE CIAGLIA  
MARK DEDOMENICO  
RON ELLIS  
GARY FENTON  
TERRY LOVINGIER  
SAMANTHA SIEGEL  
KATHY WALSH

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Summer meet from August 23 - September 19, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

**EXECUTIVE STAFF**

GREG AVIOLI  
PRESIDENT & CEO  
MARY FORNEY  
EXECUTIVE DIRECTOR  
LIZ MOREY  
NORTHERN CALIFORNIA LIAISON  
ELSA PERON  
ADMINISTRATIVE ASSISTANT

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
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2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF  
Merry Scalzo, GGF

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution: *Prior meet represents August 17 through September 20, 2016 (19 days)*

1. All races other than stakes:  
Current meet estimate: \$ 2,058,321.28  
Prior meet actual: \$ 2,469,303.37

Average Daily Purse (7 A1 ÷ number of days):  
Current meet estimate: \$ 128,645.08  
Prior meet actual: \$ 129,963.35

2. Overnight stakes:  
Current meet estimate: \$ 50,000.00  
Prior meet actual: \$ 50,000.00

Average Daily Purse (7 A2 ÷ number of days):  
Current meet estimate: \$ 3,125.00  
Prior meet actual: \$ 2,631.58

3. Non-overnight stakes:  
Current meet estimate: \$ 0.00  
Prior meet actual: \$ 0.00

Average Daily Purse (7 A3 ÷ number of days):  
Current meet estimate: \$ 0.00  
Prior meet actual: \$ 0.00

4. Total Purses: (7A1+7A2+ 7A3)  
Current meet estimate: \$ 2,108,321.28  
Prior meet actual: \$ 2,519,303.37

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
Current meet estimate: 0%  
Prior meet actual: 0%

Average Daily Purse (7 B1 ÷ number of days):  
Current meet estimate: 0%  
Prior meet actual: 0%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 176,667.00  
Prior meet actual: \$ 214,485.14

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

<b>TOC (NTRA contribution)</b>	<b>\$31,462.40</b>
<b>TOC</b>	<b>\$17,978.52</b>
<b>CTT (Administration)</b>	<b>\$ 8,989.26</b>
<b>CTT (Pension)</b>	<b><u>\$17,978.52</u></b>
<b>Total</b>	<b>\$76,408.70</b>

Prior meet actual:

<b>TOC (NTRA contribution)</b>	<b>\$36,720.49</b>
<b>TOC</b>	<b>\$20,983.14</b>
<b>CTT (Administration)</b>	<b>\$10,491.57</b>
<b>CTT (Pension)</b>	<b><u>\$20,983.14</u></b>
<b>Total</b>	<b>\$89,178.34</b>

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 2,361,396.98

Prior meet actual: \$ 2,822,966.85

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 147,587.31

Prior meet actual: \$ 148,577.20

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: \$ 1,533,660.00

Prior meet actual: \$ 1,819,739.70

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 95,853.75

Prior meet actual: \$ 95,775.77

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 527,001.00

Prior meet actual: \$ 598,566.81

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 32,937.56

Prior meet actual: \$ 31,503.52

- H. Bank and account number for the Paymaster of Purses' purse account: **Wells Fargo PRA Account #On File**

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track

simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**N/A**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:  
**N/A**
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse: **N/A**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

***PRA II/GOLDEN GATE FIELDS  
EQUINE EMERGENCY SERVICES***

**Attachment to PRA II/Golden Gate Fields License Application  
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Fridays	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

**TBD – Rotating schedule amongst list from exhibit A**

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

**See Attached for wagering menu, bet minimum, rules, and additional wagering information.**

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **September 17, 2017 for the period of August 24, 2017 through September 17, 2017**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split**
- E. Will "advance" or "early bird" wagering be offered? Yes  No   
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
**AmTote International expiration date of October 2020 with option to extend contract to October 2025**
- G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE  
(Example) PNP5-14%

**WPS-15.43%; DD-20.00%; E-22.68%; PK3-23.68%; TRI-23.68%; SF-23.68%; PNP4-23.68%; PNP5-14.00%; PN6-23.68%; Super H5-23.68%**

## Golden Gate Fields Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		
2	X	X	X	X	X	X	X		*	
3	X	X	X	X	X	X			*	
4	X	X	X	X	X	X	*		*	
5	X	X	X	X	X	X	*		*	
6	X	X	X	X	X	*	*		*	
7	X	X	X	X	*	*	*		*	*
8	X	X	X	X	*	*	*			*
9	X	X	X	X	*	*	*			*
10	X	X	X	X	*	*				*
11	X	X	X	X	*					*

\*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$1 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races each day; No consolation; 100% payout; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	ARCI 004-105(G)(2)(g) <sup>1</sup> ; ARCI 004-105(G)(16)(b)(i)	\$.20 Pick 6 on the last six races each day; If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 in the last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners.

11. **ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- TwinSpires (BetAmerica is not included)
- TVG
- NYRABets

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
**On file with the Board**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **On file with the board**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. **SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc.**

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**On file with the Board**

C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
**See Attached**

## Pari-Mutuel Satellite List - Day Meets

## Southern California Locations:

Location	<b>Bakersfield-Firehouse</b>	Location	<b>OC Tavern Sports Bar and Grill</b>
Address	7701 White Lane	Address	2369 S. El Camino Real
City	Bakersfield, CA 93307	City	San Clemente, CA 92672
Location	<b>Bonita-58 Flat</b>	Location	<b>Original Roadhouse Grill / Santa Maria</b>
Address	4110 Bonita Road	Address	1423 S. Bradley Road
City	Bonita, CA 91902	City	Santa Maria, CA 93454
Location	<b>Cabazon-Fantasy Springs Casino</b>	Location	<b>Sammy's Original</b>
Address	84-245 Indio Springs Drive	Address	23221 Lake Center Drive
City	Indio, CA 92201	City	Lake Forest, CA 92630
Location	<b>Commerce Casino Racebook</b>	Location	<b>Santa Anita</b>
Address	6131 East Telegraph Road	Address	285 W. Huntington Drive
City	Commerce, CA 90040	City	Arcadia, CA 91007
Location	<b>Fairplex Park</b>	Location	<b>Santa Clarita</b>
Address	2201 North White Avenue	Address	21615 W. Soledad Canyon Road
City	Pomona, CA 91768	City	Saugus, CA 91350
Location	<b>Hollywood Park</b>	Location	<b>Striders</b>
Address	3383 W. Century Blvd.	Address	100 Harbor Drive, Suite 100
City	Inglewood, CA 90303	City	San Diego, CA 92101
Location	<b>Lake Elsinore Hotel &amp; Casino</b>	Location	<b>Surfside Race Place at Del Mar</b>
Address	20930 Malaga Road	Address	2260 Jimmy Durante Blvd
City	Lake Elsinore, CA 92530	City	Del Mar, CA 92014
Location	<b>Lake Perris-Sports Pavillion at The Farmer's Fair</b>	Location	<b>Thousand Oaks -Tilted Kilt</b>
Address	18700 Lake Perris Drive	Address	1345 E. Thousand Oaks Blvd.
City	Perris, CA 92571	City	Thousand Oaks, CA 91360
Location	<b>Lancaster-Watch and Wager, Antelope Valley Fair</b>	Location	<b>Ventura-The Derby Club</b>
Address	2551 West Avenue - H	Address	10 West Harbor Blvd.
City	Lancaster, CA 93536	City	Ventura, CA 93001
Location	<b>Los Alamitos</b>	Location	<b>Victorville-San Bernardino Fair Sports Pavilion</b>
Address	4961 Katella Avenue	Address	14800 7th Street
City	Los Alamitos, CA 90720	City	Victorville, CA 92395
Location	<b>National Orange Show-Sports Show</b>	Location	<b>Viejas Casino &amp; Turf Club</b>
Address	930 South Arrowhead Avenue	Address	5000 Willows Road
City	San Bernardino, CA 92408	City	Alpine, CA 91901
Location	<b>Ocean's 11</b>	Location	<b>Pechanga Resort and Casino</b>
Address	121 Brooks Street	Address	45000 Pechanga Pkwy
City	Oceanside, CA 92054	City	Temecula, CA 92592

## Northern California Locations

Location	<b>Anderson-Shasta County Fair</b>	Location	<b>Sacramento-California State Fair</b>
Address	1890 Briggs Street	Address	1600 Exposition Blvd
City	Anderson, CA 96007	City	Sacramento, CA 95815
Location	<b>Club One-Fresno</b>	Location	<b>San Jose-Santa Clara Fair</b>
Address	1033 Van Ness Avenue	Address	344 Tully Road
City	Fresno, CA 93721	City	San Jose, CA 95111

## Pari-Mutuel Satellite List - Day Meets

Location **Fresno-The Big Fresno Fair**  
Address 1121 Chance Avenue  
City Fresno, CA 93702

Location **Golden Gate Fields**  
Address 1100 Eastshore Highway  
City Albany, CA 94710

Location **Monterey-Monterey County Fair**  
Address 2004 Fairgrounds Road  
City Monterey, CA 93940

Location **Pleasanton-Alameda County Fair**  
Address 4501 Pleasanton Avenue  
City Pleasanton, CA 94566

Location **San Mateo-The Jockey Club**  
Address 2495 S. Delaware Street  
City San Mateo, CA 94403

Location **Santa Rosa-Sonoma County Fair**  
Address 1350 Bennett Valley Road  
City Santa Rosa, CA 95404

Location **Stockton-San Joaquin County Fair**  
Address 1658 South Airport Way  
City Stockton, CA 95206

Location **Vallejo-Solano County Fair**  
Address 900 Fairgrounds Drive  
City Vallejo, CA 94589

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

<b>2017 IMPORT TRACKS</b>	
<b>Track</b>	<b>Full or Partial Cards and Stakes</b>
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **See Attached**

**2017 Golden Gate  
Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets	Cedar Downs OTB	Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	XpressBet
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Paragon Casino	Lewiston OTB's	Raceway Park	Yubet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (Caliente)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>Los Alamitos</b>	<b>Per CHRB calendar</b>	<b>Full Card</b>

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>Cal Expo Harness</b>	<b>Per CHRB calendar</b>	<b>Full Card</b>

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track; the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
<b>None</b>			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not Applicable.**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2; 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering,

interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
 

Association Veterinarian(s)	<b>Sara Sporer, D.V.M (Track Veterinarian)</b>
	<b>Forrest Franklin, D.V.M. (CHRB Veterinarian)</b>
	<b>Diane Isbell, D.V.M. (Examining Veterinarian)</b>
Clerk of Scales	<b>Ken Sjoldal</b>
Assistant Clerk of Scales	<b>Ross Allardyce</b>
Clerk of the Course	<b>Tina Walker-Bryant</b>
Patrol/Placing Judge	<b>Lisa Jones</b>
Horse Identifier	<b>Darrell Sparks</b>
Horseshoe Inspector	<b>Butch Fyellingness</b>
Paddock Judge/Film Specialist	<b>Linda Anderson</b>
Patrol Judge/Steward's Aide	<b>Tammy McDuffie-Morris</b>
Placing Judge/Patrol Judge	<b>Chad Schvaneveldt</b>
Patrol Judge/Placing Judge	<b>John Leon</b>
Starter	<b>Todd Stephens</b>
Timer	<b>Jelina Pike</b>
- B. Management officials in the racing department:
 

Director of Racing	<b>Patrick Mackey</b>
Racing Secretary	<b>Patrick Mackey</b>
Assistant Racing Secretary	<b>Steve Martinelli &amp; Linda Anderson</b>
Paymaster of Purses	<b>Claudia Dominguez &amp; Patricia Prospero</b>

Others (identify by name and title)

Main Track Superintendent	<b>Juan Meza</b>
Turf Track Superintendent	<b>Bob Hemmer</b>
Price Maker	<b>Steve Martinelli</b>
Stable Superintendent	<b>Carrie Fawcett</b>
Steward's Secretary/Horsemen Liaison	<b>Marci Knight</b>

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**  
**Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2019**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires: June 2021**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

**15. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.  
**Marciano "Rocky" Alado, Public Safety Director is responsible for security on-site**  
**Security Department organizational chart is attached**  
**Security Department phone number (510) 559-7370**  
**Stable Security phone number (510) 542-4235 or (510) 559-7525**  
**Marciano "Rocky" Alado cell phone number (510) 499-9364**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

<b>1 – Public Safety Director</b>	<b>5 – Security Corporals/Guards</b>
<b>1 – Security Lieutenant</b>	<b>7 – Stable Security Officers</b>
<b>3 – Security Sergeants</b>	<b>5 – Stable Gate Personnel</b>
<b>1 – Stable Security Sergeant</b>	<b>5 – Fire Guards</b>
<b>1 – Fire Guard Lieutenant</b>	<b>5 – Contracted Security Guards</b>

  - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Not Applicable**

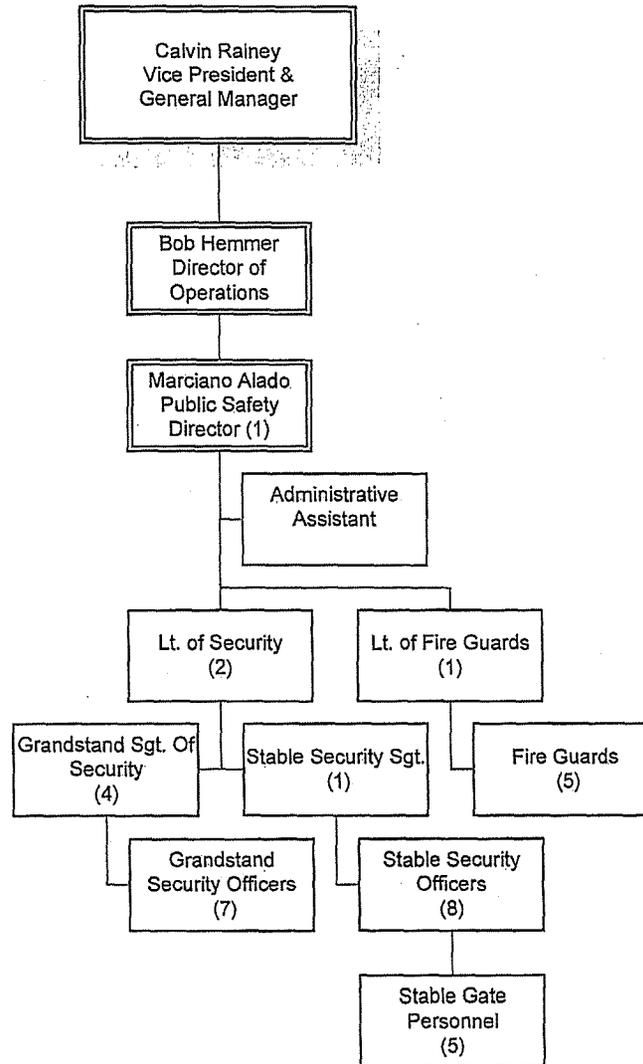
**Attachment to PRA II / Golden Gate Fields License Application  
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole  
3/8 Pole  
1/4 Pole  
Paddock  
Paddock (Gliding)  
(2) Pan Cameras on Roof  
Jib camera in Paddock  
Starting Gate (Robo)  
Parking Lot (Robo-scenic)

**PACIFIC RACING ASSN / GOLDEN GATE FIELDS  
SECURITY DEPARTMENT ORGANIZATIONAL CHART  
ATTACHMENT 15A**



2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over  
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

B. Number of security guards in the detention stall area during a 24-hour period.

**Four Security Guards**

C. Describe number and location of surveillance cameras in detention stall area.

**Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**Will follow CHRB staff directives**

B. Plan for enhanced surveillance for trainers with high-test results.

**Contractual obligations with TOC, CTT, and CHRB directives will be followed**

C. Plan for detention stalls for repeat offenders.

**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB**

D. Number of security personnel assigned to the TCO2 program.

**As needed per the advice of CHRB staff**

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.**

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

**16. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:  
**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,  
(510) 581-8470**
1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;**
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
**N/A**
1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**N/A**
- C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**(If quarter horse racing association sees D (1) :**  
**Dr. David Seftel**  
**Office Number: 510-559-7375, Cell Number: 650-520-6204**
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444  
or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31<sup>st</sup>  
Street, Oakland, CA 94602 (510) 437-4865**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Roy Roenbeck, Director of Environmental, Health & Safety**  
**Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Berkeley Fire Department clearance attached.**  
**Albany fire clearance letter attached valid through December 31, 2017**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-015 (policy no.)**

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 18, 2016

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2017

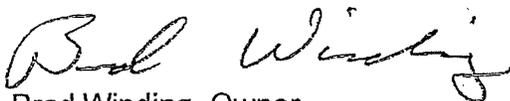
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 18, 2016

Calvin Rainey  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2017 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



9/2/16

Calvin Rainey, Vice President & General Manager

TURF RESCUE, LLC



Brad Winding, Owner



# GOLDEN GATE FIELDS

## DESCRIPTION OF GGF MEDICAL CLINIC (LIVE RACING)

### Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

### Staffing

- Physician Medical Director ("Track Physician").

### Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

### Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon Request from track management or CHRB.



**EMERGENCY MEDICAL PROCEDURES**  
**-LIVE RACING-**  
**FOR ON-TRACK INJURY TO JOCKEYS**

**"FIRST RESPONSE"**

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



**"ON-SITE EVALUATION"**

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



**"INJURY TREATMENT"**

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



**"POST INJURY ACTIVITIES"**

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*



## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS-

### PARA HERIDAS A JINETES EN LA PISTA

#### "PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



#### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



#### "TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



#### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

*Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.*



## EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

### FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

#### "FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");  
*(or the accident may very well be witnessed directly by the Ambulance Crew);*
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



#### "ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



#### "INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
  - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



#### "POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*



## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

### PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

#### "PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



#### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



#### "TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
  - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local:
  - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



#### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.



Department of Fire and Emergency Services  
Division of Fire Prevention

May 31, 2017

Roy Roenbeck  
Environmental Health & Safety Director  
Pacific Racing Association/Golden Gate Fields  
1100 Eastshore Highway  
Berkeley, CA 94710  
Phone (510) 559-7300 (main office)  
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, April 10, 2017, the City of Berkeley Fire Prevention Division conducted an annual inspection of the horse stables and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Thursday, May 25, 2017. Upon re-inspection of the violations, it was found that there were no outstanding violations or corrections needed.

Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or [dtieu@cityofberkeley.info](mailto:dtieu@cityofberkeley.info).

Thank you for all the hard work you and Camillo Lopez's security team put into correcting the fire code violations and making the horse stables and associated buildings fire code compliant.

Sincerely,

Dori Tieu  
Fire Prevention Inspector, City of Berkeley Fire Department



# City of Albany

1000 SAN PABLO AVE. • ALBANY, CALIF. 94706 • TELEPHONE (510) 528-5770 • FAX (510) 528-5774

## FIRE DEPARTMENT

Roy Roenbeck  
 Environmental Health & Safety Director  
 Pacific Racing Association/Golden Gate Fields  
 1100 Eastshore Highway  
 Berkeley, CA 94710

January 12, 2017

Mr. Roenbeck,

Inspectors from the Fire Prevention Office of the Albany Fire Department conducted a Fire and Life Safety Inspection on January 3, 2017. The purpose of this inspection was for determination the facility is prepared for public attendance in the upcoming racing season as well as ensuring a safe working environment for GGF employees. Most areas of the facility within the jurisdiction of the City of Albany were inspected with the following exceptions: the GGF Maintenance/Corp Yard; Track Equipment Operators break shack; track Steward's observation towers; AND several locked rooms within the Grand Stand and Office structure in which the GGF Fire Watch has no keyed access (these were rooms with unapproved lock changes and/or offices requiring high level security) **RECOMMENDATION:** Do not allow anyone to change locks or add padlocks without permission from Safety Director; ensure Fire Watch and Security Personnel are equipped with keyed access to every space on the premises. AFD Fire Inspectors will be returning for follow up inspection when the facility is in operation to observe patron behaviors during business hours. Entry to locked areas will be checked at this time utilizing Fire Watch or Security personnel.

During the inspection, it became evident there is confusion with the inventory and locations of exit and egress lighting. Accountability issues and non-operational exit signs/emergency lighting were judged excessive during the January 3<sup>rd</sup> inspection, especially since this inspection was planned (not a surprise visit.)

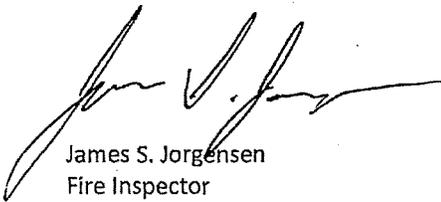
Additionally, it was noted that there are approximately two dozen exit signs that are not battery backed up. These units are 110-115v ac only. It is important to note that GGF employs three backup generators. These generators are utilized for the uninterrupted operation of the facility characterized as entertainment with food and beverage service together with the various gambling services associated with horse racing activities, all of which rely on electricity. In the event of a fire, the circuits that supply energy to exit signs and/or egress lighting units have the potential for failure due to heat and/or direct flame impingement, backup generators cannot ensure their operation. Only battery backed-up units, by design, will guarantee uninterrupted service.

**RECOMMENDATION:** We suggest employing an identification system where each device is labeled with a unique number together with a listed location. During servicing and testing, it would be easy to affix an adhesive tag to the unit and by providing different colors to the tags for each level of service or date of service, immediate recognition of which devices lack needed attention would be evident. Additionally, begin a program of replacing exit signs that do not contain battery backup with styles that do include batteries and perhaps consider standardizing with styles that are combination exit and egress lights.

Golden Gate Fields is over 75 years old and occupies approximately 140 acres. The grandstand and associated decks can easily accommodate upwards of 15 thousand patrons on a daily basis. That said, the facility is well maintained and has a history of safe operation. The administrative staff runs efficiently and has outstanding rapport with the various City of Albany Departments. Communication between GGF and the Albany Fire Department has a long history of excellent cooperation.

Attached you will find a partial list of Inspectors findings from the January 3<sup>rd</sup> inspection. It is incomplete due to several areas our crew could not gain access to during our tour of the facility. Fire Clearance is hereby granted to The Pacific Racing Association/Golden Gate Fields for a term ending on December 31, 2017. This Clearance is conditional based on the following items: It is expected that maintenance staff with GGF will be actively involved with testing and upgrading the deficiencies identified with exiting and egress lighting systems; correction of the lack of access to several spaces noted in the attached report and vigilance with prevention of future access issues; Albany Fire Department Inspectors will continue to periodically inspect areas of the facility not yet observed for this period of operation with any findings reported to the Safety Director for correction.

Feel free to contact the Fire Prevention Office for any questions.



James S. Jorgensen  
Fire Inspector  
Albany Fire Department

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

**Current Workers' Compensation COI is valid through June 30, 2017; will forward new COI to Board upon issuance.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Tip Sheets                      West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510
- Armored Car                    Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
- Track Physician                Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
- Official Program               Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706
- Starting Gate                   Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590
- Track Photographer          Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions?    Yes        No   

**18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the board**
- B. Promotional/ Marketing budget for this race meeting: **On file with the board.**

Promotional/Marketing budget for prior race meeting: **On file with the board.**

- C. Number of hosts and hostesses employed for meeting:  
     **1 Customer Service Representative**  
     **5 Group Sales Representatives**

D. Describe facilities set aside for new fans:

**Customer Service** – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

**Mutuel Newcomer Window** - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

**Group Sales Contest Area** – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Added 69 stalls (5 new barns) complete with sprinklers and alarms, 12 tack rooms to barn area, and nine (9) new hay storage sheds
- Upgrading entire fire alarm system in the barn area
- Purchased new BLS (basic life support) ambulance for racing and morning training
- Purchased new turf course vacuum and mower to enhance yearly renovations
- Renovated Barn 63 and Barn 53 in their entirety including carpentry, new roofs, etc.
- Added storage room to the Recreation Hall for CTT usage
- Added AED unit to backside Security office
- Replaced old tubes on turf course to allow easier movability
- Ordering new barn area parking lot signage
- Installed new light pole/lights in Groom's parking lot
- Continuation of laundry service for the Jockey's Room by GGF when contractors retired
- Added new televisions with upgraded digital signal to the Steward's booth for viewing the races
- Electrical repair work completed in barn area
- Winners Foundation trailer relocated in barn area with new electrical
- Completed the winter/spring maintenance to the Tapeta® surface in January and April 2017
- Continual roadway and barn repairs

2. Fans

- Pegasus Communication high definition (HD) conversion; on-site and off-site HD broadcast
- Jib camera in Paddock will be used for Winners' Circle, Paddock, crowd, and beauty shots
- Implemented new GGF television graphics with the rollout of HD broadcast to improve our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
- Replacement of old highway sign with new LED components for clearer display

- Replaced all Top of the Stretch table top televisions (~150) with flat screen LED TV's
- Replaced all Turf Club table top televisions (~282) with LED TVs
- Supplemented Club House television inventory by adding 12-55" LED TVs
- Adding nine (9) 42" LED televisions to the Club House Season Membership box seat area
- Checked all emergency exit lights throughout facility and replaced lights/batteries for the inoperable ones
- Upgraded the Club House by adding 28 flat screen televisions to enhance fan's viewing pleasure
- Added four (4) surveillance cameras in Club House 1 and Club House 2

3. Facilities in the restricted areas **Equine Hospital**

**19. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$10.00 – Bundled price includes free program
Admission (turf club)	\$12.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 5.00
Parking (preferred)	\$10.00
Parking (valet)	\$10.00
Programs (on-track)	\$ 2.50
(off-track)	\$ 2.50

**Thoroughbred Club Members**

**Bundled Pricing includes free program**

<b>General Admission:</b>		<b>Club House Admission:</b>	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00
21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

**Thoroughbred Club Prepaid Admission/Program Packages**

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each  
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each  
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

<b>Turf Club – Full Season Single Membership</b>	<b>\$ 1,000.00</b>
<b>Turf Club – Full Season Dual Membership</b>	<b>\$ 2,000.00</b>
<b>Top of the Stretch – Full Season Membership</b>	<b>\$ 500.00</b>
<b>Club House Box (4 seats, no admission)</b>	<b>\$ 450.00</b>
<b>Club House Season Pass (Admission only)</b>	<b>\$ 400.00</b>
<b>Grandstand Season Pass (Admission only)</b>	<b>\$ 200.00</b>

C. Describe any "package" plans such as combined parking, admission and program:  
**Bundled pricing, except on \$1 Sundays, includes admission and program (see above)**

**20. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="text" value="23"/>                              |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area              |
| <input checked="" type="checkbox"/> Masseur                        | <input checked="" type="checkbox"/> Food/beverage service               | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

**21. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.  
**CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett**

B. Number of rooms used for housing on the backstretch of the racetrack: **140**

C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**

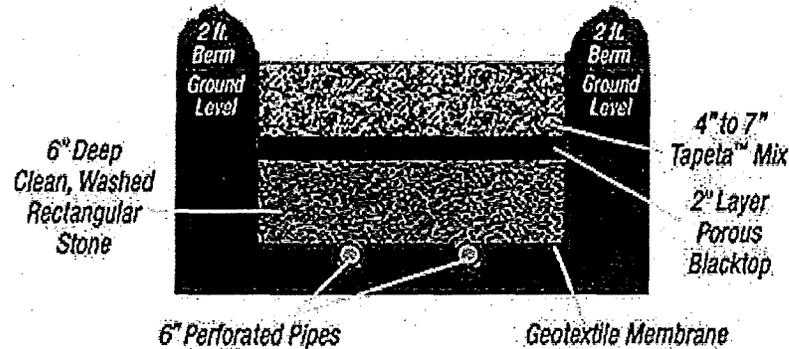
D. Estimated ratio of restroom facilities to the number of backstretch personnel:  
**1 restroom for every 12 people**

**22. TRACK SAFETY**

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

**Tapeta ® Footings Synthetic Track Surface**



- C. The percent of cross slope in the straight-aways is: **1.5%**  
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Main Track:** Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.  
**Turf Course:** Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not Applicable**

**23. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**TOC agreement – on file with the board**  
**CTT agreement – will be forwarded to board upon receipt**

- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file with the board.**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joseph P. Morris, Jr  
Print Name

*J. P. Morris, Jr.*  
Signature

Sr. V.P. West Coast Ops  
Print Title

5-24-17  
Date

Item 9

STAFF ANALYSIS  
June 22, 2017

APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF NEWCO VENTURES NORTH AMERICA, LLC, DBA NYRABETS.COM, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

NYRAbets filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering (ADW).

At its January 2017 Regular Meeting, the Board granted NYRAbets a conditional approval as an (out-of-state) ADW provider for February 1, 2017 through July 31, 2017. Under the conditional approval, NYRAbets was to submit its outstanding items, as well as an annual profit and loss statement for its California operations and any additional information the Board deemed appropriate within 90 days of the conclusion of its business cycle. The business cycle for NYRAbets concludes December 31<sup>st</sup> annually. In February 2017, a NYRAbets representative informed the Board of its name change from NewCo Ventures North America, LLC d/b/a NYRAbets.com to NYRAbets, LLC.

CHRB staff contacted a NYRAbets representative on May 24, 2017 and requested the submission of the outstanding application items by June 7, 2017. The labor agreement with Local 280 and the California Harness Horsemen's Association Agreement [expired May 2017] remain outstanding.

At its November 2016 Regular Meeting, the Board in lieu of approving any ADW applications for the applicable requested term extended the existing ADW licenses until January 31, 2017, to provide time for the parties to resolve any outstanding issues in time for the January 26, 2017 Regular Meeting of the Board where the issue of licensing ADW providers would be revisited.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. NYRAbets, as a current ADW provider, has a \$500,000 Indemnity Bond on file that became effective June 10, 2016 and is continuous until cancelled.

This application provides for:

Dates of operation will be 365 days a year, 24 hours a day. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

- Business and Profession Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)

No ADW provider may accept wagers on **racess conducted in California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to ADW on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **racess conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

19604 (a)(7)

"Hub agreement" as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California."

19604 (d)(1)(B)

"The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than

and out-of-state simulcast venues beginning October 22, 2016 through the final day of racing in May 2017.

- Approval from the Pacific Coast Quarter Horse Racing Association for Los Alamitos Race Course to export the satellite signal for the 2017 LAQHRA Quarter Horse race meeting to (Out of State Satellite Location) - NYRAbets, NY through conclusion of the 2017 LAQHRA meet December 17, 2017.
- Agreement between NYRA and Monarch Content Management, LLC beginning July 1, 2016 through June 30, 2018.
- Simulcast Wagering Agreement between Watch and Wager and NYRAbets effective October 22, 2016 through May 31, 2018.
- Agreement between Thoroughbred Owners of California and Pacific Racing Association II on the use of the race signal; providing PRA II shall be permitted to transmit race signal to NYRAbets during the 2017 PRA II race meeting, August 23, 2017 through September 19, 2017.

Monarch Content Management, LLC (MCM), has previously been the negotiating party for the simulcast wagering agreements between NYRAbets, the California thoroughbred racing associations, California Authority of Racing Fairs, Los Angeles County Fair at Los Alamitos, and the Sonoma County Fair. To date, MCM has provided the 2017 contracts for TVG and Xpressbet. MCM has shared that "The contracts signed in 2014 with TwinSpires and the contracts signed in 2016 with NYRAbets and Watch and Wager.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

NYRAbets is listed as an ADW provider on the July 5, 2017 through July 18, 2017 Los Alamitos Racing Association; the August 2, 2017 through August 22, 2017 Sonoma County Fair; the August 23, 2017 through September 19, 2017 Pacific Racing Association; and the August 23, 2017 through September 5, 2017 Humboldt County Fair race meeting application.

The following **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. Labor Agreement with Local 280.
2. California Harness Horsemen's Association Agreement [CHHA expired May 2017].
3. Horsemen Approval of ADW Agreement [TOC and LATC expires July 4, 2017].

#### RECOMMENDATION:

If the application is considered for approval, staff recommends approval of a two year license contingent upon the submission of outstanding items.

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD (CHRB)  
APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING  
CHRB-133 (New 9/01)

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

NOTICE – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)

A. Name, mailing address, telephone and fax numbers:

NewCo Ventures North America, LLC d/b/a NYRAbets.com  
110-00 Rockaway Boulevard  
Jamaica, New York 11420  
Tel: (718) 659-2237  
Fax: (718) 659-3574

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

Name	Title	Racing Jurisdiction	License #
Tony Allevato	President	Oregon	2815728721
		New York	1491757

C. Name, title and mailing address of the California agent for receipt of service of process:

National Registered Agents, Inc.  
Registered Agent  
2875 Michelle Drive, Suite 100  
Irvine, California 92606-1024

D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

[SEE EXHIBIT 1]

Application received: 7/8/16	CHRB CERTIFICATION	Hearing date: 6/22/17
Reviewed: [Signature]		Approval date:

**2. DATES OF OPERATION**

- A. Dates Advance Deposit Wagering will be conducted: **365 days per year**
- B. Hours Advance Deposit Wagering will be conducted: **24 hours (subject to available content)**

**3. BUSINESS STRUCTURE**

- A.  Corporation (complete subsection B)
- LLC (complete subsection C)
- Other (specify, and complete subsection D)

Complete the applicable subsection

**B. CORPORATION**

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Name of all officers and directors, titles, and number of shares of the corporation held by each:
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

C. LLC

1. Registered name of the LLC: **NewCo Ventures North America, LLC**
2. State where articles of organization are filed: **Delaware**
3. Registry or file number for the LLC: **5972318**
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:

**Tony Allevato, President – ownership interest n/a (0%)**

5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:

**[SEE EXHIBIT 2]**

6. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:

7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

**[SEE EXHIBIT 2]**

8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.

**[SEE EXHIBIT 3]**

9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

**[SEE EXHIBIT 4]**

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

**4. ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2074.

A. List the procedures to establish an Account:

**ESTABLISHING AN ACCOUNT**

To establish an account with NewCo Ventures North America, LLC or on behalf of its affiliates or wagering partners the applicant (player) must:

- Be a natural person who is at least eighteen (18) years of age unless otherwise required by the sending jurisdiction.
- Must reside in a jurisdiction where pari-mutuel wagering is lawful and where it is lawful to wager on the same type of live racing as currently allowed under Oregon law.
- Information is verified through legal means to include electronic verification of the identity, age and residence of the account holder applicant prior to accounts being activated (via the use of software provided by Lexis Nexis and/or TransUnion software solutions).
- Each applicant must provide:
  1. Full legal name
  2. Date of birth, with proper identification or certification demonstrating that the applicant is at least 18 years old
  3. Principal residence address (no P.O. Box)
  4. Telephone number
  5. Social Security number
  6. Any additional information as required by NewCo Ventures North America, LLC, its affiliates or their wagering partners to verify the identity of the applicant

**[SEE ALSO EXHIBIT 5, p. 1]**

B. If an application form is used to establish an Account attach a copy of the form.

**[SEE EXHIBIT 6]**

C. Name and address of the third party you will use to verify identity, residence and age verification:

LexisNexis  
230 Park Avenue  
Viaduct #7  
New York, NY 10017  
[www.lexisnexis.com](http://www.lexisnexis.com)

5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2073.

A. Submit a copy of your plan for operation.

[SEE EXHIBIT 5]

B. List the type of deposits you will accept:

Funding Method	Online	In-Person / Point-of-Sale	Phone	By Mail
ACH	X			
Wire Transfer	X		X	
Credit Card	X		X	
Personal Check		X		X
Cashier's Check		X		X
Money Order				X
Cash		X		
PayNearMe		X		
MoneyGram		X		

C. Identify any fees or transaction-related charges and the amount that will be assessed:

**Transaction Fees:** NewCo Ventures North America, LLC will pass banking fees levied by the payment processors onto the end user. NewCo Ventures North America, LLC will not add additional fees above and beyond those transaction fees levied by the contracted third party payment processors.

6. SECURITY ACCESS

A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:

1. Description of the technology to ensure identity, residence, and age verification when an Account is established:

Lexis Nexis is used by ADW for identity verification purposes. Specifically, ADW utilizes Lexis Nexis' Business InstantID Solution to verify the identity of the customer submitting an application with ADW to open a new account. Various services within their Business InstantID solution verifies the Name, Address, Date of Birth, and SSN of the applicant across multiple databases using a proprietary search and comparison process as well as ensures the applicant is not deceased nor is part of any federal and/or state lists (such as OFAC).

[SEE ALSO EXHIBIT 5, p. 1 ¶2 & p. 8 ¶7]

2. Description of the technology to ensure confidentiality of the Means of Personal Identification:

Security/Confidentiality of Accounts

NewCo Ventures N.A., its employees, and agents will not divulge any account information without the express written permission of the account holder, except to the Commission, by Commission order, or as may otherwise be prescribed by law.

All account numbers, PINs, passwords, passcodes, wagers, deposits, withdrawals, credits, debits and personal information relating to account holders are kept confidential. NewCo Ventures N.A. utilizes a combination of security precautions to ensure that the confidentiality of such information is maintained. Physical security considerations include housing the computers in a facility with limited and secure access, Software consideration includes utilizing strong administrative passwords for person having access to the databases where NewCo Ventures N.A. maintains such confidential information. The number of persons with such administrative passwords is restricted, and such passwords are frequently changed. All secured databases log the events to ensure a record of the time and identification of the person modifying the database.

**[SEE ALSO EXHIBIT 5, p. 8]**

3. Methods and locations available for Account Holders to withdraw funds from their Account:

Withdrawal Requests

Verbal requests over the telephone for withdrawals will not be honored or processed. Withdrawals must be made in writing, either via a signed withdrawal slip, a signed letter, or via specific path post account login. Written requests signed, scanned, and emailed to the Company will be accepted and processed. Withdrawals can be for all or any portion of the account holder's withdrawable balance.

NewCo Ventures N.A. will process any properly completed and executed request for account withdrawal within five (5) business days after receiving the withdraw request.

Electronic Withdrawal Requests

NewCo Ventures N.A. will process any properly completed and executed request for account withdrawal, and send a check, EFT (when applicable) or wire to the account holder within five (5) business days after receiving the withdraw request.

Fund transfers (e.g., internet and mobile) may be made via Electronic means in accordance with NewCo Ventures N.A. requirements set forth herein and/or as otherwise approved by the Commission.

With regard to electronic withdrawals:

1. A successfully processed Deposit must occur prior to allowing an Electronic Withdrawal.

2. An account holder will not be permitted to withdraw Instant Deposits until successful settlement of such Instant Deposit from the Company's ACH Processor. Until that time, the Instant Deposit will be marked as non-withdrawable. (Noteworthy: Instant Deposits are allowed to be wagered but not withdrawn until settlement of that Instant Deposit amount occurs).
3. Withdrawals can be for all or any portion of the account holder's withdrawable balance.

Withdrawal Request by Mail

NewCo Ventures N.A. will process all requests for withdrawals made via mail within five (5) business days of receiving the request. If the request is incomplete or required information is missing, NewCo Ventures N.A. will advise the account holder that information is missing. Withdrawals can be for all or any portion of the account holder's withdrawable balance. If the account does not contain sufficient funds to cover the requested withdrawal, the Company will release the remaining funds and notify the Account Holder accordingly.

**[SEE ALSO EXHIBIT 5, pp. 3-4]**

4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:

USOT  
6130 NE 78<sup>th</sup> Court, Ste. C4  
Portland, OR. 97218  
Tel: (503)253-0234  
Hours of operation will coincide with Customer Service & Technical Support hours

XAND  
11 Skyline Dr.  
Hawthorne, NY 10532  
Tel: (914)592-8282  
Open 24 hours

**7. PARI-MUTUEL**

- A. Name, address and telephone number of the pari-mutuel audit firm:

KPMG LLP  
Frank Albarella  
1305 Walt Whitman Road  
Melville, NY 11747  
Tel: (631) 425-6510

- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:

Totalizator System

AmTote's "tote" hub system (a/k/a the Spectrum System) is regarded in the pari-mutuel industry as the most advanced and reliable Totalizator hub system available. It is noted that AmTote has been licensed and approved by the Oregon Racing Commission.

Systems, System Capacity & Redundancy

The master totalizator system for NewCo Ventures North America wagering sources reside at one of the two AmTote Hubs in Oregon and Maryland (Maryland being the backup). A third system, architected by GBET, resides at TierPoint Data Center and is responsible for processing NewCo Ventures North America account transactions. This third system (with a fully redundant backup system) is fully encrypted and secured with access granted to authorize personnel only. All sensitive equipment is secured in locked cabinets.

Connectivity, between totalisator systems and GBET systems for the purpose of transmission of wagering data of simulcast pools is conducted via secure point to point networks with VPN backups. Wagering communications are transferred over private communications networks with proper security controls in place. Each connection for the transfer of pools to different ITSP hubs is dedicated point to point. NewCo Ventures North America's totalizator contractor, TierPoint, GBET, and dedicated NewCo Ventures North America personnel monitor the networks and links continuously throughout each business day. In the event of a network problem, applicable parties will rectify the problem by following carefully scripted escalation procedures. NewCo Ventures North America's data center provider TierPoint features secured access with Closed Circuit video surveillance, fire protection, redundant UPS-protected power system and a diesel generator.

**[SEE ALSO EXHIBIT 5, p. 7]**

AmTote International Inc.  
11200 Pepper Road  
Hunt Valley, MD 21031  
(410) 771-8700  
Contract expires: September 2, 2018

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

**[SEE EXHIBIT 7]**

**NOTICE** – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

## 8. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:

Person / Business	Address
Axcis / Trackmaster	2083 Old Middlefield Way Suite 206 Mountain View, CA 94043
Equibase	821 Corporate Drive Lexington, KY 40503
Evergreen / Tierpoint	11 Skyline Drive Hawthorne, NY 10532
Global Betting Exchange	4th Floor, IFSC House Customs House Quay Dublin 1 IRELAND
Global Cash Access (Everi)	7250 S Tenaya Way Suite 100 Las Vegas, NV 89113
GoToBilling	216 East Bearss Ave Suite 368 Tampa, FL 33613
KnuPro	40 Vogell Road Richmond Hill, Ontario CANADA
Money Gram	2828 N. Harwood Street Dallas, TX 75201
The New York Racing Association, Inc.	110-00 Rockaway Blvd. S. Ozone Park, NY11420
Pay Near Me	292 Gibraltar Drive Suite 104 Sunnyvale, CA 94089
MCSO Software, Inc. (Plivo)	340 Pine Street Suite 503 San Francisco, CA 94104
Roberts Communications	4175 Comeron Street Suite 10 Las Vegas, NV 89103
Solid Cloud	10635 East Topaz Drive Scottsdale, AZ 85258
The Jockey Club Technology Services, Inc.	821 Corporate Drive Lexington, KY 40503
Vantiv	8500 Governors Hill Drive Symmes Township, OH 45249

CHRB-133 (New 9/01)

B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:

- 1. Global Cash Access
- 2. The New York Racing Association, Inc.
- 3. Roberts Communications

9. ADVERTISING

Name and address of the advertising agency you will use:

Ed Lewi Associates  
 6 Chelsea Place  
 Clifton Park, NY 12065

Harmelin Media  
 525 Righters Ferry Road  
 Bala Cynwyd, PA 19004

NOTICE – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.

10. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

Tony Allevato  
 \_\_\_\_\_  
 Print Name

  
 \_\_\_\_\_  
 Signature

President  
 \_\_\_\_\_  
 Print Title

July 5, 2016  
 \_\_\_\_\_  
 Date

STAFF ANALYSIS  
June 22, 2017

Item 10

APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF CHURCHILL DOWNS TECHNOLOGY INITIATIVES COMPANY DBA TWINSPIRES, AND BET AMERICA FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Churchill Downs Technology Initiatives Company (CDTIC) announced the finalization of their acquisition of BetAmerica.com which closed on April 24, 2017. Rule 2072 (l) provides that changes or amendments to information or operating procedures contained in an advance deposit wagering (ADW) application are required to be submitted to the Board in writing by the applicant for approval. Subsequent to this change in ownership, CDTIC has filed an amended ADW application to reflect Churchill Downs Incorporated as the current parent company of BetAmerica.com. Churchill Downs Incorporated also owns TwinSpires, a current ADW licensed provider with the California Horse Racing Board.

Twinspires filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering.

At its January 2017 Regular Meeting, the Board granted Twinspires a conditional approval as an (out-of-state) ADW provider for February 1, 2017 through July 31, 2017. Under the conditional approval, Twinspires was to submit its outstanding items, as well as an annual profit and loss statement for its California operations and any additional information the Board deemed appropriate within 90 days of the conclusion of its business cycle. The business cycle for Twinspires concludes December 31<sup>st</sup> annually.

CHRB staff contacted a Twinspires representative on May 3, 2017 and requested the submission of the outstanding application items by May 24, 2017. The labor agreement with Local 280 remains outstanding.

At its November 2016 Regular Meeting, the Board in lieu of approving any ADW applications for the applicable requested term extended the existing ADW licenses until January 31, 2017, to provide time for the parties to resolve any outstanding issues in time for the January 26, 2017 Regular Meeting of the Board where the issue of licensing ADW providers would be revisited.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. Twinspires, as a current ADW provider, has a \$500,000 bond continuation certificate on file that will expire August 1, 2018.

This application provides for:

Dates of operation will be 365 days a year, 24 hours a day. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

- Business and Profession Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

**19604 (b)(1) and (2)**

No ADW provider may accept wagers on **races conducted in California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to advance deposit wagering on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **races conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**19604 (a)(7)**

"Hub agreement" as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then

an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California."

**19604 (d)(1)(B)**

"The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization . . ."

(i) The labor organization has historically represented employees who accept or process any form of wagering at the nearest horse racing meeting located in California.

(ii) The agreement establishes the method by which the ADW provider will agree to recognize and bargain in good faith with a labor organization that has demonstrated majority status by submitting authorization cards signed by those employees who accept or process any form of wagering for which a California ADW license is required.

(iii) The agreement requires the ADW provider to maintain its neutrality concerning the choice of those employees who accept or process any form of wagering for which a California ADW license is required whether or not to authorize the labor organization to represent them with regard to wages, hours, and other terms and conditions of employment.

(iv) The agreement applies to those classifications of employees who accept or process wagers for which a California ADW license is required whether the facility is located within or outside of California.

**19604 (d)(1)(C)**

(i) The agreement required by subparagraph (B) shall not be conditioned by either party upon the other party agreeing to matters outside the requirements of subparagraph (B).

(ii) The requirement in subparagraph (B) shall not apply to an ADW provider that has entered into a collective bargaining agreement with a bona fide labor organization that is the exclusive bargaining representative of employees who accept or process parimutuel wagers on races for which an ADW license is required whether the facility is located within or outside of California."

**Labor Correspondence**

On February 10, 2016 the Pari-mutuel Employees Guild Local 280 (Local 280) submitted a letter to the California ADW providers: Watch and Wager.com, LLC; Game Play Network, Inc. Twinpires, Bet America, ODS Technologies, L.P. d.b.a. TVG and Xpressbet. The letter provides "notice that Local 280 does hereby demand that your company enter into 'a contractual agreement' with Local 280 as required by California Business and Professions Code section 19604(d)(1)(B). The Local 280 letter includes that it will object to the approval of an ADW application unless an agreement has been reached between Local 280 and the ADW provider(s). Additionally, the letter provides "Local 280 believes that it is entitled to jobs under the terms of this statute and that those jobs have not been provided by ADW providers".

On March 16, 2016 Local 280 submitted a letter to the Board that included a copy of the February 10, 2016 letter it had submitted to the California ADW providers. The March 2016 letter asked the Board to enforce California Business and Professions Code section 19604(d)(1)(B) and requested that no licenses be granted to ADW providers until the statute compliance is met.

On October 4, 2016 TwinSpires sent an email to CHRB staff which indicated TwinSpires reached out to Local 280 several times to discuss entering into a new labor agreement. TwinSpires stated Local 280 indicated it did not want to enter into a new agreement with TwinSpires. However, TwinSpires subsequently received a letter dated February 9, 2016 from Local 280 indicating Local 280 did want to enter into a new agreement with TwinSpires. TwinSpires stated the letter dated February 9, 2016 was after the 90-day notice period. TwinSpires reached out to Local 280 to provide them with an opportunity to provide TwinSpires with proper notice but received no response.

On October 10, 2016 Local 280 submitted a third letter to the California ADW providers and the Board proposing a meeting between Local 280 and representatives of all the ADW companies to discuss thoughts "that could possibly assist the industry and benefit Local 280 members."

TwinSpires has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Hub agreement between TwinSpires and Los Angeles Turf Club dated as of January 1, 2016 through December 31, 2017.
- Agreement between Thoroughbred Owners of California and Los Angeles Turf Club (LATC) on the use of the race signal; providing LATC shall be permitted to transmit race signal to TwinSpires during the 2016-2017 LATC race meeting, December 26, 2016 through July 4, 2017.
- Agreement between Thoroughbred Owners of California and Pacific Racing Association (PRA) on the use of the race signal; providing PRA shall be permitted to transmit race signal to TwinSpires during the 2016-2017 PRA race meeting, December 26, 2016 through June 20, 2017.
- Agreement between Thoroughbred Owners of California and Pacific Racing Association II (PRA II) on the use of the race signal; providing PRA II shall be permitted to transmit race signal to TwinSpires during the 2017 PRA II race meeting, August 23, 2017 through September 19, 2017.

The hub agreement provided by TwinSpires has not been signed by the horsemen's organization. Pursuant to Business and Professions Code section 19604(b)(2)(D), if only the ADW provider and racing association(s) are signatories to the hub agreement, then the signatories to the hub agreement shall, within five days of execution of the hub agreement provide a copy of the hub agreement to the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted. When CHRB staff requested verification that the horsemen's organization had been notified, TwinSpires provided that it had shared a copy of the hub agreement with the horsemen's organization [TOC]. TwinSpires additionally noted that in a letter dated December 1, 2015, the TOC requested arbitration of the hub agreement executed between Los Angeles Turf Club and TwinSpires. TwinSpires provides that it agreed to arbitrate

however TOC then failed to set up arbitration within the statutory time period and requested a continuance. Twinspires shared it did not agree to a continuance and has not had any additional communication with TOC. Twinspires notes it "believes we have satisfied this (hub) requirement."

Monarch Content Management, LLC (MCM), has previously been the negotiating party for the simulcast wagering agreements between Twinspires, the California thoroughbred racing associations, California Authority of Racing Fairs, Los Angeles County Fair at Los Alamitos, and the Sonoma County Fair. To date, MCM has provided the 2017 contracts for TVG and Xpressbet. MCM has shared that "The contracts signed in 2014 with TwinSpires and the contracts signed in 2016 with NYRABets and Watch and Wager.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

Twinspires is listed as an ADW provider on the July 5, 2017 through July 18, 2017 Los Alamitos Racing Association; the July 19, 2017 through September 5, 2017 Del Mar Thoroughbred Club; and the August 23, 2017 through September 19, 2017 Pacific Racing Association race meeting application.

Twinspires and BetAmerica are listed as ADW providers on the August 2, 2017 through August 22, 2017 Sonoma County Fair; and the August 23, 2017 through September 5, 2017 Humboldt County Fair race meet application.

The following application **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. Labor Agreement with Local 280

RECOMMENDATION:

If the application is considered for approval, staff recommends approval of a two year license contingent upon the submission of outstanding items.

STATE OF CALIFORNIA  
10 TAB 6 CALIFORNIA HORSE RACING BOARD (CHRB)  
APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING  
CHRB-133 (New 9/01)

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

**NOTICE** – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000. See Tab 8

**1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)**

A. Name, mailing address, telephone and fax numbers:

**Churchill Downs Technology Initiatives Company (dba TwinSpires and dba BetAmerica),  
600 North Hurstbourne Parkway, Suite 400, Louisville, Kentucky 40222, Phone:(502) 636-4400, Fax: (502) 394-1170**

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

**Ted Gay, President, California License (317961)  
Justin Hurt, Senior Director of Finance for Churchill Downs Incorporated, Kentucky License (1941342)**

**Carter Vance, Secretary; Vice President, Associate General Counsel for Churchill Downs Incorporated, New York License (1488982- Thoroughbred, 1488983-Harness)**

C. Name, title and mailing address of the California agent for receipt of service of process:

**C T Corporation System  
818 West Seventh Street - Suite 930  
Los Angeles, California 90017**

D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

**Attached at Tab 2**

**2. DATES OF OPERATION**

A. Dates Advance Deposit Wagering will be conducted:

**Every day of the year**

B. Hours Advance Deposit Wagering will be conducted:

**24 hours a day**

**3. BUSINESS STRUCTURE**

A.  Corporation (complete subsection B)

**CHRB CERTIFICATION**

Application received: 5/24/17  
Reviewed: *[Signature]*

Hearing date: 6/22/17  
Approval date:

- LLC (complete subsection C)  
 Other (specify, and complete subsection D)

Complete the applicable subsection

B. CORPORATION

1. Registered name of the corporation: **Churchill Downs Technology Initiatives Company**
2. State where incorporated: **Delaware**
3. Registry or file number for the corporation: **4284783**
4. Name of all officers and directors, titles, and number of shares of the corporation held by each:  
**James E. (Ted) Gay, President**  
**Carter H. Vance, Secretary**  
**Marcia A. Dall, Treasurer**  
  
**William Carstanjen, Director**  
**William Mudd, Director**  
**Marcia Dall, Director**

**No officer or director directly holds any shares of Applicant as Applicant is wholly-owned by its ultimate parent company, Churchill Downs Incorporated**

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **N/A as Applicant is the wholly owned subsidiary of a corporate entity**
6. Number of outstanding shares in the corporation: **100**
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:  
**Applicant is wholly-owned by Churchill Downs Incorporated (traded as CHDN on Nasdaq)**
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Carter H. Vance**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**Churchill Downs Incorporated holds 100% of the shares of the Applicant**
10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.  
**Attached at Tab 3 is the most recent Annual Report on Form 10-K for Churchill Downs Incorporated.**

11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.  
**Attached at Tab 4**

C. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
6. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

- 
8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
  9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

4. **ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2074.

- A. List the procedures to establish an Account:  
**See Section 2.1 on page 1 of the Plan of Operations attached at Tab 6 which complies with Rule 2074**
- B. If an application form is used to establish an Account attach a copy of the form. **Attached at Tab 5 is the form of applications available online at each of [www.twinspires.com](http://www.twinspires.com) and [www.betamerica.com](http://www.betamerica.com).**

- C. Name and address of the third party you will use to verify identity, residence and age verification:

**For Twinspires:**

Equifax Secure, Inc., 1550 Peachtree St., NW, Atlanta, GA 30309  
IDology, 280 Interstate North Circle SE, Suite 160, Atlanta, GA 30339

**For BetAmerica:**

Equifax Secure, Inc., 1550 Peachtree St., NW, Atlanta, GA 30309  
TransUnion LLC, 555 West Adams St., Chicago, IL, 60661

**5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2073.**

- A. Submit a copy of your plan for operation. Attached at Tab 6
- B. List the type of deposits you will accept:

**For Twinspires:** ACH, PayPal, credit card, debit card, Green Dot, MoneyGram, online bill pay, bank wire transfer, cash at specified locations, check and money order by mail.

**For BetAmerica:** Secure Trading – PayLucky through First Data (pre-paid card); Carflex (credit card); Telecheck (ACH); GreenDot MoneyPak; personal check; money order, cashier's check; BankWire; PayNearMe; NetTeller.

- C. Identify any fees or transaction-related charges and the amount that will be assessed: \$5 per credit/debit card transactions to offset charges. \$25 fee for returned transaction items.

**6. SECURITY ACCESS**

- A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:

1. Description of the technology to ensure identity, residence, and age verification when an Account is established:

Twinspires uses Equifax and IDology, and BetAmerica uses Equifax and TransUnion, independent third parties, to provide comprehensive verification of the account information provided by customers. For a more detailed description of verification see Section 2.1.1.1 of the Plan of Operations for each of Twinspires and BetAmerica, attached at Tab 6.

2. Description of the technology to ensure confidentiality of the Means of Personal Identification: See Section 2.1.5 on page 5 of the Plan of Operations attached at Tab 6.
3. Methods and locations available for Account Holders to withdraw funds from their Account: Requests for withdrawals may be made online or by phone. Checks are mailed to the address on file within five business days.
4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state

Hub provide the name, address, telephone and fax numbers and the hours of operation:  
**801 Corporate Drive, Lexington, KY (phone: (877) 774-7371) with hours of operation of 9:00 am to 1:00 am EST.**

**7. PARI-MUTUEL**

- A. Name, address and telephone number of the pari-mutuel audit firm: **PricewaterhouseCoopers LLP, 500 W. Main St., Suite 1800, Louisville, KY 40202; (502) 589-6100**
- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract: **Totalizator services a provided by United Tote. The contract expires on December 31, 2017, however it auto-renews.**
- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted: **See the tracks listed at Tab 7 which are subject to annual simulcast agreements.**

**NOTICE** – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

**8. CONTRACTS AND AGREEMENTS**

---

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:  
**Global Cash Access, 3525 E. Post Rd., Suite 120, Las Vegas, NV 89120**  
**Roberts Communications Network, Inc., 4175 Cameron St., Suite B-10, Las Vegas, NV 89103**  
**NeuLion, Inc., 1600 Old Country Road, Suite 101, Plainview, New York 11803**
- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed: **N/A**

**9. ADVERTISING**

Name and address of the advertising agency you will use: **None.**

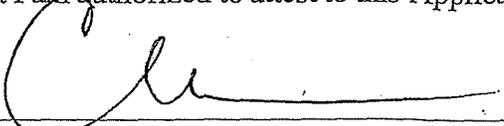
**NOTICE** – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.

10. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

Carter Vance  
\_\_\_\_\_  
Print Name

Secretary  
\_\_\_\_\_  
Print Title

  
\_\_\_\_\_  
Signature

6/9/17  
\_\_\_\_\_  
Date

## STAFF ANALYSIS

June 22, 2017

## APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF WATCH AND WAGER.COM LLC, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Watch and Wager.com, LLC (Watch and Wager) filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering (ADW).

At its January 2017 Regular Meeting, the Board granted Watch and Wager a conditional approval as an (out-of-state) ADW provider for February 1, 2017 through July 31, 2017. Under the conditional approval, Watch and Wager was to submit its outstanding items, as well as an annual profit and loss statement for its California operations and any additional information the Board deemed appropriate within 90 days of the conclusion of its business cycle. The business cycle for Watch and Wager concludes May 31<sup>st</sup> annually.

CHRB staff contacted a Watch and Wager representative on April 14, 2017 and requested the submission of the outstanding application items by May 24, 2017. Watch and Wager submitted a renewal bond on May 25, 2017. The labor agreement with Local 280 remains outstanding.

At its November 2016 Regular Meeting, the Board in lieu of approving any ADW applications for the applicable requested term extended the existing ADW licenses until January 31, 2017, to provide time for the parties to resolve any outstanding issues in time for the January 26, 2017 Regular Meeting of the Board where the issue of licensing ADW providers would be revisited.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. Watch and Wager.com, LLC, as a current ADW provider, has a \$500,000 bond on file that will expire July 18, 2018.

This application provides for:

Dates of operation will be 365 days a year, 24 hours a day, with exception of Christmas day. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

- Business and Profession Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)

No ADW provider may accept wagers on **races conducted in California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.

2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to ADW on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **races conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

19604 (a)(7)

“Hub agreement” as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California.”

19604 (d)(1)(B)

“The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization that provides all of the following:

- (i) The labor organization has historically represented employees who accept or process any form of wagering at the nearest horse racing meeting located in California.

(ii) The agreement establishes the method by which the ADW provider will agree to recognize and bargain in good faith with a labor organization that has demonstrated majority status by submitting authorization cards signed by those employees who accept or process any form of wagering for which a California ADW license is required.

(iii) The agreement requires the ADW provider to maintain its neutrality concerning the choice of those employees who accept or process any form of wagering for which a California ADW license is required whether or not to authorize the labor organization to represent them with regard to wages, hours, and other terms and conditions of employment.

(iv) The agreement applies to those classifications of employees who accept or process wagers for which a California ADW license is required whether the facility is located within or outside of California.

19604 (d)(1)(C)

(i) The agreement required by subparagraph (B) shall not be conditioned by either party upon the other party agreeing to matters outside the requirements of subparagraph (B).

(ii) The requirement in subparagraph (B) shall not apply to an ADW provider that has entered into a collective bargaining agreement with a bona fide labor organization that is the exclusive bargaining representative of employees who accept or process pari-mutuel wagers on races for which an ADW license is required whether the facility is located within or outside of California.”

Labor Correspondence

On February 10, 2016 the Local 280 submitted a letter to the California ADW providers: Watch and Wager.com, LLC, Game Play Network, Inc. Twinpires, BetAmerica, ODS Technologies, L.P. d.b.a. TVG and Xpressbet, LLC. The letter provides “notice that Local 280 does hereby demand that your company enter into ‘a contractual agreement’ with Local 280 as required by California Business and Professions Code section 19604(d)(1)(B). The Local 280 letter includes that it will object to the approval of an ADW application unless an agreement has been reached between Local 280 and the ADW provider(s). Additionally, the letter provides “Local 280 believes that it is entitled to jobs under the terms of this statute and that those jobs have not been provided by ADW providers.”

On March 16, 2016 Local 280 submitted a letter to the Board that included a copy of the February 10, 2016 letter it had submitted to the California ADW providers. The March 2016 letter asked the Board to enforce California Business and Professions Code section 19604(d)(1)(B) and requested that no licenses be granted to ADW providers until the statute compliance is met.

On October 10, 2016 Local 280 submitted a third letter to the California ADW providers and the Board proposing a meeting between Local 280 and representatives of all the ADW companies to discuss thoughts “that could possibly assist the industry and benefit Local 280 members.”

Watch and Wager has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Hub agreement between Watch and Wager.com LLC (ADW Provider) and Watch and Wager.com LLC (Harness Racing Association) also signed by the California Harness Horsemen's Association dated as of August 29, 2016 ending December 31, 2018.
- Hub agreement between Watch and Wager.com LLC and Thoroughbred Owners of California (TOC) dated as of December 23, 2016 ending December 24, 2017.
- Verification that notice of host and hub fees had been provided to all racing associations conducting live racing of the same breed covered by the Thoroughbred Owners of California hub agreement.

Monarch Content Management, LLC (MCM), has previously been the negotiating party for the simulcast wagering agreements between Watch and Wager, the California thoroughbred racing associations, California Authority of Racing Fairs, Los Angeles County Fair at Los Alamitos, and the Sonoma County Fair. To date, MCM has provided the 2017 contracts for TVG and Xpressbet. MCM has shared that "The contracts signed in 2014 with TwinSpire and the contracts signed in 2016 with NYRAbets and Watch and Wager.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

Watch and Wager.com, LLC is listed as an ADW provider on the August 2, 2017 through August 22, 2017 Sonoma County Fair and the August 23, 2017 through September 5, 2017 Humboldt County Fair race meeting application.

The following **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. Horsemen Approval of ADW Agreement [TOC and LATC expires July 4, 2017].
2. Labor Agreement with Local 280.

The following information will need **updating** during the proposed licensing period:

1. Agreement with AmTote International [expires June 30, 2017].
2. Bond [expires July 18, 2018].

#### RECOMMENDATION:

If the application is considered for approval, staff recommends approval of a two year license contingent upon the submission of outstanding items.

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

**NOTICE** – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

**1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)**

A. Name, mailing address, telephone and fax numbers:

**Edward Comins**  
**President**  
**WatchandWager.com LLC**  
**700 Larkspur Landing Circle, Suite 199**  
**Larkspur, CA 94939**

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

**Edward Comins**  
**President**  
**WatchandWager.com LLC**

**WatchandWager.com is licensed by the California Horse Racing Board**

**WatchandWager.com is licensed by the Washington Racing Commission**

**WatchandWager.com is licensed by the North Dakota Racing Commission**

C. Name, title and mailing address of the California agent for receipt of service of process:

**Edward Comins**  
**President**  
**WatchandWager.com LLC**  
**700 Larkspur Landing Circle, Suite 199**  
**Larkspur, CA 94939**

D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

**CHRB CERTIFICATION**

Application received: 9/2/16  
Reviewed: LH

Hearing date: 6/22/17  
Approval date:

## Appendix 2- Hub Contracts and Horsemen Agreements

### 2. DATES OF OPERATION

A. Dates Advance Deposit Wagering will be conducted:

Advance Deposit Wagering will be conducted every day except Christmas Day.

B. Hours Advance Deposit Wagering will be conducted:

Advance Deposit Wagering will be conducted on a 24/7 day a week basis except Christmas Day.

### 3. BUSINESS STRUCTURE

- A.  Corporation (complete subsection B)  
 LLC (complete subsection C)  
 Other (specify, and complete subsection D)

Complete the applicable subsection

#### B. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Name of all officers and directors, titles, and number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

C. LLC

1. Registered name of the LLC:

**WatchandWager.com LLC**  
**See Attached Corporate Documents: Appendix 3**

2. State where articles of organization are filed:

**Articles- Nevada, Certificate No: C20100804-2888**  
**North Dakota Certificate of Authority ID#: 2,855,000**  
**California Certificate of Registration, May 19<sup>th</sup> 2012**

3. Registry or file number for the LLC:

**Articles- Nevada, Certificate No: C20100804-2888**  
**North Dakota Certificate of Authority ID#: 2,855,000**  
**California Certificate of Registration, May 19<sup>th</sup> 2012**

4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:

WatchandWager.com Ltd. (UK Company) is the 100% owner of WatchandWager.com LLC

**Directors of WatchandWager.com Ltd:**  
**Edward Comins-President**  
**Denham Eke-Chairman**

5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:

**WatchandWager.com Ltd owns 100% of WatchandWager.com LLC. It is a corporate ownership. As a result, no individuals own shares in either WatchandWager.com Ltd or WatchandWager.com LLC.**

6. Are the shares listed for public trading?  Yes  No

Neither WatchandWager.com Ltd nor WatchandWager.com LLC is listed for public trading.

If yes, on what exchange and how is the stock listed:

**Note: the parent company and ultimate beneficiary owner is Webis Holdings PLC, a listed company of the London Stock Exchange (AIM).**

**Further details on Webis Holdings is available at [www.webisholdingsplc.com](http://www.webisholdingsplc.com)**

7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

The parent company and ultimate beneficiary owner is Webis Holdings PLC, a listed company of the London Stock Exchange (AIM).

8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.

Appendix 5 – Financial Statements

Attached:

**WatchandWager.com LLC Financials '15**  
**Webis Holdings PLC Annual Report '15**

9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

**Appendix 6- Business Plan**

**Appendix 7- Marketing Plan**

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

4. **ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2074.

- A. List the procedures to establish an Account:

**Applicant must provide the following information to WatchandWager.com in order to create a new account:**

**Personal Information including:**

**First name**  
**Last name**  
**Email address**  
**Country**  
**State/Province**  
**Date of birth**

**Home phone number**  
**Security question and answer**  
**Home address**  
**City/Town**  
**Zip Code**  
**Login Information**  
**Social Security Number**  
**Bank Account Details**

- B. If an application form is used to establish an Account attach a copy of the form.

**Appendix 8- Registration Form**

- C. Name and address of the third party you will use to verify identity, residence and age verification:

**ID3 Global Verification**  
**Veratad Technologies, LLC**  
**500 Frank W. Burr Blvd**  
**Teaneck, NJ 07666**

**Equifax Information Services**  
**1550 Peachtree NW**  
**Atlanta, GA 30348**

**5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2073.**

- A. Submit a copy of your plan for operation.

**Appendix 9- Plan of Operation**

- B. List the type of deposits you will accept:

**Credit Card**  
**Debit Card**  
**Bank Wire**  
**ACH**

**Check  
Payment Wallets**

Identify any fees or transaction-related charges and the amount that will be assessed:

All processing fees are covered by the Advanced Deposit Wagering company, that is, WatchandWager.com

**6. SECURITY ACCESS**

A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:

1. Description of the technology to ensure identity, residence, and age verification when an Account is established:

**Appendix 10- Security Procedures**

2. Description of the technology to ensure confidentiality of the Means of Personal Identification:

**Appendix 10- Security Procedures**

3. Methods and locations available for Account Holders to withdraw funds from their Account:

**ACH withdrawals  
Check withdrawals**

**Account holders request these withdrawals online. These are then preceded by customer services, by either ACH payment or Check withdrawals to the account holder.**

4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:

**Amtote International  
Maryland Mid-Atlantic Hub  
1200 Pepper Rd  
Hunt Valley, MD 21031**

**Phone (410) 785-5134**

**7. PARI-MUTUEL**

A. Name, address and telephone number of the pari-mutuel audit firm:

**UK Auditors:  
KMPG, London, UK**

**US Auditors:**  
**KMPG**  
**55 Second St**  
**San Francisco, CA 94105**  
**Phone (415) 951-0100**

**US Settlements:**  
**CHRIMS**  
**7950 Dublin Blvd, Suite 216**  
**Dublin, CA 94568**  
**Phone (925) 307-7020**

- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:

**Amtote Spectrum Hub Hunt Valley, MD. Amtote International.**  
**Contract expires on 6/30/17 (with automatic annual renewal)**

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

**Appendix -11 Track List**

**NOTICE** – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

**8. CONTRACTS AND AGREEMENTS**

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:

**Settlements: CHRIMS, 7950 Dublin Blvd, Suite 216, Dublin, CA 94568**  
**Data: Equibase 821 Corporate Dr, Lexington, KY 40503**  
**Streaming: Roberts Communication Network, LLC 4175 Cameron St, Suite B-10**  
**Las Vegas, NV 89103**  
**Software: i-neda Ltd The Hub, IQ Farnborough, Hampshire GU14 7JP, UK**

- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:

None

**9. ADVERTISING**

Name and address of the advertising agency you will use:

**WatchandWager.com LLC**  
**700 Larkspur Landing Circle, Suite 199**  
**Larkspur, CA 94939**

**Appendix 7-Marketing Plan**

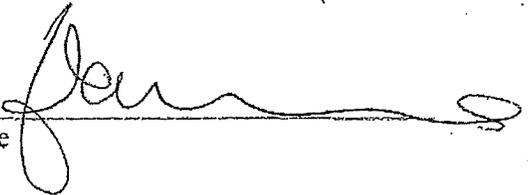
**NOTICE** – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3), advertisements shall not be deceptive to the public.

**Appendix 12- Labor Agreement**

**10. CERTIFICATION**

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

EDWARD COWINS  
Print Name

  
Signature

PRESIDENT  
Print Title

18<sup>th</sup> September, 2016.  
Date

## STAFF ANALYSIS

June 22, 2017

## APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF XPRESSBET, LLC, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Xpressbet, LLC filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering (ADW).

At its January 2017 Regular Meeting, the Board granted Xpressbet a conditional approval as an (out-of-state) ADW provider for February 1, 2017 through July 31, 2017. Under the conditional approval, Xpressbet was to submit its outstanding items, as well as an annual profit and loss statement for its California operations and any additional information the Board deemed appropriate within 90 days of the conclusion of its business cycle. The business cycle for Xpressbet concludes December 31<sup>st</sup> annually.

CHRB staff contacted an Xpressbet representative on April 14, 2017 and requested the submission of the outstanding application items by May 24, 2017. Xpressbet submitted a 2017 Account Wagering Agreement with Monarch Content Management, LLC (MCM) and the Thoroughbred Owners of California (TOC) Approval of its ADW agreement on May 24, 2017. The labor agreement and California Harness Horsemen's Association Approval of its ADW agreement remain outstanding.

At its November 2016 Regular Meeting, the Board in lieu of approving any ADW applications for the applicable requested term extended the existing ADW licenses until January 31, 2017, to provide time for the parties to resolve any outstanding issues in time for the January 26, 2017 Regular Meeting of the Board where the issue of licensing ADW providers would be revisited.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. Xpressbet, LLC, as a current ADW provider, has a \$500,000 bond on file that is continuous until cancelled.

This application provides for:

Dates of operation will be while races are run, up to twenty-four hours per day. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

- Business and Profession Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)

No ADW provider may accept wagers on **races conducted in California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to ADW on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **races conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

19604 (a)(7)

““Hub agreement” as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California.”

19604 (d)(1)(B)

“The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than

ninety days prior to licensing, has entered into a contractual agreement with that labor organization that provides all of the following:

- (i) The labor organization has historically represented employees who accept or process any form of wagering at the nearest horse racing meeting located in California.
- (ii) The agreement establishes the method by which the ADW provider will agree to recognize and bargain in good faith with a labor organization that has demonstrated majority status by submitting authorization cards signed by those employees who accept or process any form of wagering for which a California ADW license is required.
- (iii) The agreement requires the ADW provider to maintain its neutrality concerning the choice of those employees who accept or process any form of wagering for which a California ADW license is required whether or not to authorize the labor organization to represent them with regard to wages, hours, and other terms and conditions of employment.
- (iv) The agreement applies to those classifications of employees who accept or process wagers for which a California ADW license is required whether the facility is located within or outside of California.

19604 (d)(1)(C)

- (i) The agreement required by subparagraph (B) shall not be conditioned by either party upon the other party agreeing to matters outside the requirements of subparagraph (B).
- (ii) The requirement in subparagraph (B) shall not apply to an ADW provider that has entered into a collective bargaining agreement with a bona fide labor organization that is the exclusive bargaining representative of employees who accept or process pari-mutuel wagers on races for which an ADW license is required whether the facility is located within or outside of California.”

Labor Correspondence

On February 10, 2016 the Local 280 submitted a letter to the California ADW providers: Watch and Wager.com, LLC, Game Play Network, Inc. Twinpires, BetAmerica, ODS Technologies, L.P. d.b.a. TVG and Xpressbet, LLC. The letter provides “notice that Local 280 does hereby demand that your company enter into ‘a contractual agreement’ with Local 280 as required by California Business and Professions Code section 19604(d)(1)(B). The Local 280 letter includes that it will object to the approval of an ADW application unless an agreement has been reached between Local 280 and the ADW provider(s). Additionally, the letter provides “Local 280 believes that it is entitled to jobs under the terms of this statute and that those jobs have not been provided by ADW providers.”

On March 16, 2016 Local 280 submitted a letter to the Board that included a copy of the February 10, 2016 letter it had submitted to the California ADW providers. The March 2016 letter asked the Board to enforce California Business and Professions Code section 19604(d)(1)(B) and requested that no licenses be granted to ADW providers until the statute compliance is met.

On October 10, 2016 Local 280 submitted a third letter to the California ADW providers and the Board proposing a meeting between Local 280 and representatives of all the ADW companies to discuss thoughts “that could possibly assist the industry and benefit Local 280 members.”

Xpressbet has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Account Wagering Agreement by and Between Xpressbet, LLC and Monarch Content Management, LLC, dated as of November 1, 2016 through all races commencing November 15, 2017.
- Hub agreement between Xpressbet, LLC and Los Angeles Turf Club dated as of November 24, 2015 ending December 31, 2017.
- Agreement between Thoroughbred Owners of California and Los Angeles Turf Club (LATC) on the use of the race signal; providing LATC shall be permitted to transmit race signal to Xpressbet during the 2016-2017 LATC race meeting, December 26, 2016 through July 4, 2017.
- Agreement between Thoroughbred Owners of California and Pacific Racing Association II (PRA II) on the use of the race signal; providing PRA II shall be permitted to transmit race signal to Xpressbet during the 2017 PRA II race meeting, August 23, 2017 through September 19, 2017.

MCM, has previously been the negotiating party for the simulcast wagering agreements between Xpressbet, the California thoroughbred racing associations, California Authority of Racing Fairs, Los Angeles County Fair at Los Alamitos, and the Sonoma County Fair. To date, MCM has provided the 2017 contracts for TVG and Xpressbet. MCM has shared that “The contracts signed in 2014 with TwinSpires and the contracts signed in 2016 with NYRAbets and Watch and Wager.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

Xpressbet, LLC is listed as an ADW provider on the July 5, 2017 through July 18, 2017 Los Alamitos Racing Association, August 2, 2017 through August 22, 2017 Sonoma County Fair, August 23, 2017 through September 5, 2017 Humboldt County Fair and August 23, 2017 through September 19, 2017 Pacific Racing Association II race meeting application.

The following **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. Labor Agreement with Local 280.
2. California Harness Horsemen’s Association Approval of ADW Agreement [expired May 2017].
3. Horsemen Approval of ADW Agreement [TOC and LATC expires July 4, 2017].

The following information will need **updating** during the proposed licensing period:

1. Horsemen Approval of ADW Agreement [TOC and PRA II expires September 19, 2017].
2. Agreement with AmTote International [expires October 31, 2017].

**RECOMMENDATION:**

If the application is considered for approval, staff recommends approval of a two year license contingent upon the submission of outstanding items.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD (CHRB)  
 APPLICATION FOR LICENSE TO CONDUCT ADVANCE DEPOSIT WAGERING  
 CHRB-133 (New 9/01)

Application is made to the CHRB for a license to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and comply with the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

**NOTICE** – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct advance deposit wagering and must be accompanied by a bond or other form of financial security in the amount of \$500,000.

1. **OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)**

A. Name, mailing address, telephone and fax numbers:

Xpressbet, LLC  
 C/O Gene Chabrier  
 200 Racetrack Road, Bldg # 26  
 Washington, PA 15301  
 Telephone: (724) 229-6223  
 Facsimile: (724) 229-6239

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

*Please note that under Oregon law, the following individuals are not required to hold a license issued by the Oregon Racing Commission, but each individual does hold a license issued by the CHRB.*

<u>Name</u>	<u>Title</u>	<u>CHRB License No.</u>
Ronald W. Luniewski	President	275875
Dan Newman	Vice President	305020
Jane Lynn	Secretary	302888
Michael M. Rogers	Vice President	306749

C. Name, title and mailing address of the California agent for receipt of service of process:

CT Corporation System  
 818 West Seventh Street  
 Los Angeles, CA 90017

CHRB CERTIFICATION

Application received: 8/19/14  
 Reviewed: LH

Hearing date: 6/22/17  
 Approval date:

- D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

Below is a list of the Race Track licensees with which Xpressbet either has contracted or is in the process of contracting for the purpose of offering such licensees' content through Xpressbet's advance deposit wagering service. Please find attached as Exhibit 1 under TAB #2 a copy of various Race Track licensee agreement, along with a copy of Xpressbet's agreement with the Thoroughbred Owners of California.

<u>Race Track</u>	<u>Licensee</u>
Santa Anita Park	Los Angeles Turf Club, Incorporated
Golden Gate Fields	Pacific Racing Association
California Expo	Sacramento Harness Association
Sacramento	California State Fair
Stockton	San Joaquin Fair
Fresno	Fresno County Fair
Pleasanton	Alameda County Fair
Vallejo	Solano County Fair
Santa Rosa	Sonoma County Fair
Del Mar	Del Mar Thoroughbred Club
Fairplex Park	Los Angeles County Fair Association
Humboldt	Humboldt County Fair
Los Alamitos Race Course	Los Alamitos
Pleasanton	Oak Tree Racing Association

## 2. DATES OF OPERATION

- A. Dates Advance Deposit Wagering will be conducted:  
Xpressbet, LLC will conduct advance deposit wagering January 1, 2017 up to and including December 31, 2018.
- B. Hours Advance Deposit Wagering will be conducted:  
While races are run, up to twenty-four (24) hours per day.

## 3. BUSINESS STRUCTURE

- A. Corporation (complete subsection B)  
 LLC (complete subsection C)  
 Other (specify, and complete subsection D)

Complete the applicable subsection

### B. CORPORATION

- Registered name of the corporation:
- State where incorporated:

3. Registry or file number for the corporation:
4. Name of all officers and directors, titles, and number of shares of the corporation held by each;
5. Names (true names) of all entities and persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?      Yes    No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the most recent annual audited financial statements for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the license.

## C. LLC

1. Registered name of the LLC:  
**Xpressbet, LLC**
2. State where articles of organization are filed:  
**Delaware**
3. Registry or file number for the LLC:  
**3373240**
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:

<u>Name</u>	<u>Title</u>	<u>Number of Shares Owned</u>
Ronald W. Luniewski	President	None
Dan Newman	Vice President	None
Jane Lynn	Executive Vice President	None
Michael M. Rogers	Vice President	None

5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:

**TSG Developments Investments Inc., (The Stronach Group) a Delaware Corporation, holds 100% of the membership interests in the LLC and owns 100 shares.**

6. Are the shares listed for public trading?  Yes  No

If yes, on what exchange and how is the stock listed:

7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

**TSG Developments Investments Inc., a Delaware Corporation that is ultimately controlled by the Stronach Trust, based in Ontario Canada. There are several holding companies between Xpressbet, LLC the Stronach Trust established for tax purposes.**

8. Attach the most recent annual audited financial statements for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**Enclosed is a copy of the Xpressbet, LLC Audited Financial Statement as of and for the Year Ended December 31, 2015, and an Independent Auditor's Report.**

9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the license.

**Xpressbet's business plan for 2016-2020 is being provided under separate confidential cover and it is requested that such business plan remain confidential at all times to the CHRB.**

**D. OTHER - Not Applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the license.
4. ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2075

**A. List the procedures to establish an Account:**

**Included in the attached Exhibit 2 Under TAB #3, Plan of Operation, is the Applicant's Account Opening Procedures. (Pages 3 & 4)**

- B. If an application form is used to establish an Account, attach a copy of the form.  
Attached as Exhibit 3 Under TAB #4 is a copy of the application form, similar forms are used for on-site or in-person applications.
- C. Name and address of the third party you will use to confirm identity, residence and age verification:  
In respect of wagers placed through the Applicant's internet and telephone account wagering products, the Applicant will make use of the services of TransUnion, LLC to provide identity, residence and age verification services. The address of TransUnion, LLC is set forth below:

TransUnion, LLC  
555 W. Adams Street  
Chicago, IL 60661

5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2073.

- A. Submit a copy of your plan for operation.  
Please see attached as Exhibit 2 Under TAB #3 is Applicant's *Plan of Operation*.
- B. List the type of deposits you will accept:  
In respect of internet and telephone account wagering, the Applicant will accept the following types of deposits: cash deposits made directly, personal checks, cashier's checks, money orders made directly or mailed, debits to an Account Holder's credit card or debit card, PayPal PayNearMe, bank wire and other electronic transfers from a monetary account controlled by Account Holder.
- C. Identify any fees or transaction-related charges and the amount that will be assessed:  
For purposes of internet and telephone account wagering, customers will not be charged a monthly membership or wagering fee or a per-call or wager transaction fee. However, customers may be charged a value added transaction fee of \$0.25 per telephone call when dealing with a live teller. Processing fees may be charged in respect of deposits made pursuant to Item 5B above.

To the extent credit cards are used/permitted in connection with internet and telephone account wagering, processing fees for credit card cash advances will be no greater than 6%. High value customers may not be subject to credit card cash advance processing fees.

A wire transfer of less than \$250.00 is subject to a \$5 processing fee. A wire transfer of greater than \$250.00 is not subject to any charge.

Customers requesting special delivery (e.g. courier) may be charged the cost of those services.

As the distribution platforms evolve and future enhancements deliver increased functionality to customers, monthly fees or surcharges may be implemented for added value services.

## 6. SECURITY ACCESS

- A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c)  
 (2). Policy must include the following:

Please refer to Exhibit 4 Under TAB #5 for Items 1 – 4.

1. Description of the technology to ensure identity, residence, and age verification when an Account is established. Page 1
2. Description of the technology to ensure confidentiality of the Means of Personal Identification. Page 1
3. Methods and locations available for Account Holders to withdraw funds from their Account. Page 3
4. If the Account Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation. Page 3

## 7. PARI-MUTUEL

- A. Name, address and telephone number of the pari-mutuel audit firm:

**Bowen & McBeth**  
 10722 Arrow Route, Suite 110  
 Rancho Cucamonga, California 91730  
 Telephone: 909-944-6465  
 Facsimile: 909-980-4788

- B. Type(s) of pari-mutuel or totalizator equipment to be used, and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:

- (i) Types of pari-mutuel or totalizator equipment to be used.  
 Totalizator equipment and services will be provided to Applicant by AmTote International, Inc. In addition to standard totalizator equipment and services, Applicant will be utilizing (a) IVR – “interactive voice response technology” (an automated touch-tone betting system), provided by AmTote International, Inc. (b) on-line computer wagering technology (c) IVR – natural language voice recognition system provided by AmTote International, Inc. and Applicant is currently operating under an agreement with AmTote International, Inc. which expires on October 31, 2017. Xpressbet fully expects to renew a long term agreement with AmTote. The CHRB will be notified upon execution.
- (ii) Simulcast Organization  
 Roberts Communications Network, Inc., and AmTote International, Inc.
- (iii) Name of entity supplying equipment, and expiration date of the service contract.  
 Equipment to be supplied by:  
 AmTote International, Inc.  
 11200 Pepper Road  
 Hunt Valley, MD 21031-1324

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

Attached as Exhibit 5 Under TAB #6 is the list of racing venues on which the Applicant currently intends to accept Advance Deposit Wagering. The applicant will update the website as racing venues are confirmed or added.

**NOTICE** – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 21.

## 8. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you contracted with to facilitate Advance Deposit Wagering:

AmTote International, Inc.  
11200 Pepper Road  
Hunt Valley, MD 21031-1324

- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:

N/A

## 9. ADVERTISING

Name and address of the advertising agency you will use:

Crush & Lovely  
150 Spring St  
Floor 3  
New York, NY 10012  
646-863-4688

Agency 360  
C/O Hawthorne Race Course  
3501 S. Laramie Street  
Stickney/Cicero, IL 60804

**NOTICE** – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3), advertisements shall not be deceptive to the public.

See Exhibit 6 Under TAB #7, Example of current print advertisement.

10. **CERTIFICATION**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized to attest to this application.

Ron Luniewski

Print Name:

President

Print Title:

  
Signature:

August 18, 2016  
Date:

## STAFF ANALYSIS

June 22, 2017

## APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF ODS TECHNOLOGIES, L.P., DBA TVG, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

TVG filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering (ADW).

At its January 2017 Regular Meeting, the Board granted TVG a conditional approval as an (out-of-state) ADW provider for February 1, 2017 through July 31, 2017. Under the conditional approval, TVG was to submit its outstanding items, as well as an annual profit and loss statement for its California operations and any additional information the Board deemed appropriate within 90 days of the conclusion of its business cycle. The business cycle for TVG concludes December 31<sup>st</sup> annually.

CHRB staff contacted an Xpressbet representative on April 14, 2017 and requested the submission of the outstanding application items by May 24, 2017. TVG submitted a 2017 Account Wagering Agreement with Monarch Content Management, LLC (MCM) and the Thoroughbred Owners of California (TOC) Approval of its ADW agreement on May 24, 2017. The labor agreement and California Harness Horsemen's Association Approval of its ADW agreement remain outstanding.

At its November 2016 Regular Meeting, the Board in lieu of approving any ADW applications for the applicable requested term extended the existing ADW licenses until January 31, 2017, to provide time for the parties to resolve any outstanding issues in time for the January 26, 2017 Regular Meeting of the Board where the issue of licensing ADW providers would be revisited.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. TVG, as a current ADW provider, has a \$500,000 bond on file that will expire December 31, 2017.

This application provides for:

Dates of operation will be 365 days a year, 24 hours a day. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

- Business and Profession Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)

No ADW provider may accept wagers on races conducted in California from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to ADW on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **races conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**19604 (a)(7)**

"Hub agreement" as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California."

**19604 (d)(1)(B)**

"The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization that provides all of the following:

(i) The labor organization has historically represented employees who accept or process any form of wagering at the nearest horse racing meeting located in California.

(ii) The agreement establishes the method by which the ADW provider will agree to recognize and bargain in good faith with a labor organization that has demonstrated majority status by submitting authorization cards signed by those employees who accept or process any form of wagering for which a California ADW license is required.

(iii) The agreement requires the ADW provider to maintain its neutrality concerning the choice of those employees who accept or process any form of wagering for which a California ADW license is required whether or not to authorize the labor organization to represent them with regard to wages, hours, and other terms and conditions of employment.

(iv) The agreement applies to those classifications of employees who accept or process wagers for which a California ADW license is required whether the facility is located within or outside of California.

19604 (d)(1)(C)

(i) The agreement required by subparagraph (B) shall not be conditioned by either party upon the other party agreeing to matters outside the requirements of subparagraph (B).

(ii) The requirement in subparagraph (B) shall not apply to an ADW provider that has entered into a collective bargaining agreement with a bona fide labor organization that is the exclusive bargaining representative of employees who accept or process pari-mutuel wagers on races for which an ADW license is required whether the facility is located within or outside of California.”

Labor Correspondence

On February 10, 2016 the Local 280 submitted a letter to the California ADW providers: Watch and Wager.com, LLC, Game Play Network, Inc. Twinspires, BetAmerica, ODS Technologies, L.P. d.b.a. TVG and Xpressbet, LLC. The letter provides “notice that Local 280 does hereby demand that your company enter into ‘a contractual agreement’ with Local 280 as required by California Business and Professions Code section 19604(d)(1)(B). The Local 280 letter includes that it will object to the approval of an ADW application unless an agreement has been reached between Local 280 and the ADW provider(s). Additionally, the letter provides “Local 280 believes that it is entitled to jobs under the terms of this statute and that those jobs have not been provided by ADW providers.”

On March 16, 2016 Local 280 submitted a letter to the Board that included a copy of the February 10, 2016 letter it had submitted to the California ADW providers. The March 2016 letter asked the Board to enforce California Business and Professions Code section 19604(d)(1)(B) and requested that no licenses be granted to ADW providers until the statute compliance is met.

On October 10, 2016 Local 280 submitted a third letter to the California ADW providers and the Board proposing a meeting between Local 280 and representatives of all the ADW companies to discuss thoughts “that could possibly assist the industry and benefit Local 280 members.”

TVG has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Account Wagering Agreement by and Between ODS Technologies, L.P. d/b/a TVG Network and Monarch Content Management, LLC, dated as of November 1, 2016 through all races commencing November 15, 2017.
- License Agreement by and Between ODS Technologies, L.P. d/b/a TVG Network and Los Alamitos Quarter Horse Racing Association, Los Alamitos Harness Racing Association, dated as of December 12, 2008 agreement ending December 31, 2018.
- License Agreement by and Between ODS Technologies, L.P. and the California Authority of Racing Fairs, dated as of August 18, 2016 agreement ending August 18, 2017.
- License Agreement by and Between ODS Technologies, L.P. d/b/a/ TVG Network and Watch and Wager.com, LLC, dated as of October 19, 2016 ending October 19, 2018.
- Agreement between Thoroughbred Owners of California and Los Angeles Turf Club (LATC) on the use of the race signal; providing LATC shall be permitted to transmit race signal to TVG during the 2016-2017 LATC race meeting, December 26, 2016 through July 4, 2017.
- Agreement between Thoroughbred Owners of California and Pacific Racing Association II (PRA II) on the use of the race signal; providing PRA II shall be permitted to transmit race signal to TVG during the 2017 PRA II race meeting, August 23, 2017 through September 19, 2017.

MCM, has previously been the negotiating party for the simulcast wagering agreements between TVG, the California thoroughbred racing associations, California Authority of Racing Fairs, Los Angeles County Fair at Los Alamitos, and the Sonoma County Fair. To date, MCM has provided the 2017 contracts for TVG and Xpressbet. MCM has shared that "The contracts signed in 2014 with TwinSpires and the contracts signed in 2016 with NYRAbets and Watch and Wager.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

TVG is listed as an ADW provider on the July 5, 2017 through July 18, 2017 Los Alamitos Racing Association, August 2, 2017 through August 22, 2017 Sonoma County Fair, August 23, 2017 through September 5, 2017 Humboldt County Fair and August 23, 2017 through September 19, 2017 Pacific Racing Association II race meeting application.

The following **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. Labor agreement with Local 280.
2. California Harness Horsemen's Association Approval of ADW Agreement [expired May 2017].
3. Horsemen Approval of ADW Agreement [TOC and LATC expires July 4, 2017].

The following information will need **updating** during the proposed licensing period:

1. Horsemen Approval of ADW Agreement [TOC and PRA II expires September 19, 2017].
2. Bond [expires December 31, 2017].

**RECOMMENDATION:**

If the application is considered for approval, staff recommends approval of a two year license contingent upon the submission of outstanding items.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD (CHRB)  
 APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING  
 CHRB-133 (New 9/01)

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

NOTICE – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)

A. Name, mailing address, telephone and fax numbers:

ODS Technologies, L.P. d/b/a TVG Network  
 19545 N.W. Von Neumann Drive, Suite 210  
 Beaverton, OR 97006  
 Tel: (503) 748-3800 Fax: (503) 748-3838

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

BJ Cosson, Sr. Vice President, Operations & Customer Relations, Oregon License # 20730  
 Larry Trichel, Manager, Wager Operations, Oregon License # 20410  
 Trent Striplin, Director of Customer Finance, Oregon License # 28073  
 Grace Kenworthy, Manager, Customer Relations, Oregon License # 14605

C. Name, title and mailing address of the California agent for receipt of service of process:

John Hindman  
 SVP & General Counsel  
 ODS Technologies, L.P. d/b/a TVG Network  
 6701 Center Drive West, Suite 800  
 Los Angeles, CA 90045

D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

Behind TAB B please find the following documents: 1) an abstract of valid and binding contracts with the following licensed California racing associations: Los Alamitos, and Santa Anita Park 2) copies of the current License Agreements and amendments between i) Los Alamitos; ii) Santa Anita Park.

Application received: 9/2/14	CHRB CERTIFICATION	Hearing date: 6/22/17.
Reviewed: LH		Approval date:

CHRB-133 (New 9/01)

**2. DATES OF OPERATION**

- A. Dates Advance Deposit Wagering will be conducted: 365 days/year
- B. Hours Advance Deposit Wagering will be conducted: 24 hours/day

**3. BUSINESS STRUCTURE**

- A.  Corporation (complete subsection B)
- LLC (complete subsection C)
- Other (specify, and complete subsection D)

Complete the applicable subsection

**B. CORPORATION**

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Name of all officers and directors, titles, and number of shares of the corporation held by each:
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

## C. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
6. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

## D. OTHER

1. Name(s) of partners/sole proprietor:

General Partner:  
 ODS Holding LLC  
 6701 Center Drive West, Suite 800  
 Los Angeles, CA 90045

Limited Partner:  
 TSE US LLC  
 6701 Center Drive West, Suite 800  
 Los Angeles, CA 90045

2. If a partnership, attach partnership agreement.

Behind TAB C, please find a copy of the Limited Partnership Agreement and amendments for ODS Technologies, L.P.

Pursuant to California Horse Racing Board Rule 1497 and the California Public Records Act, and under TAB C, personal financial data used to establish TVG's qualifications for licensure/approval is not subject to public disclosure.

3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

The TVG Advance Deposit Wagering Business Plan for the projected term of approval is attached hereto behind **TAB D**.

4. **ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2074.

A. List the procedures to establish an Account:

1. Customers must be at least 21 years of age to establish an advance deposit wagering account.
2. Customers can establish an account by telephone by calling 1-888-PLAY TVG, on the Internet at [www.tvg.com](http://www.tvg.com), by mail, or in person at a TVG retail outlet or racetrack partner by providing the following information:

- Name
- Social Security Number
- Date of Birth
- Principal Residence Address Including Postal ZIP Code
- Telephone Number

3. Each application submitted to TVG is subject to electronic verification by a nationally recognized third party information services provider with respect to name, principal residence address including postal zip code, date of birth and Social Security Number. If there is a discrepancy between the application information submitted to TVG and the information provided by the electronic verification described above, or if no information on the applicant is available from such electronic verification, the applicant will be required to provide identification issued by a recognized government agency (driver's license or government ID) showing his or her date of birth and residence address to complete the application process.
4. Provided that the account applicant's information has been positively identified, each applicant is assigned his or her TVG Personal Wagering Account Number and is asked to establish a Personal Identification Number consisting of four (4) numeric characters.

B. If an application form is used to establish an Account attach a copy of the form.

A copy of the application form available on [www.tvg.com](http://www.tvg.com) is provided behind **TAB E** to this document.

C. Name and address of the third party you will use to verify identity, residence and age verification:

Equifax Credit Information Services  
P.O. Box 4472  
Atlanta, GA 30302

CHRB-133 (New 9/01)

5. **OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2073.

A. Submit a copy of your plan for operation.

The Plan of Operation is attached hereto behind **TAB F**.

B. List the type of deposits you will accept:

Deposits will be accepted in the form of:

1. Check, money order or negotiable order of withdrawal;
2. Cash (at designated financial/retail outlets);
3. Charges made to an account holder's credit card or debit card upon the direct and personal instruction of the account holder, which may be given by telephone or other secure electronic means;
4. Transfer by means of an electronic funds or ACH (Automated Clearing House) transfer from a monetary account controlled by an account holder to his/her account, said account holder may be liable for any charges imposed by the transmitting or receiving entity with such charges to be deducted from the account;
5. Stored value cards; and
6. Wire transfers.

C. Identify any fees or transaction-related charges and the amount that will be assessed:

TVG account holders have a monthly subscription fee of \$19.95.

6. **SECURITY ACCESS**

A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:

1. Description of the technology to ensure identity, residence, and age verification when an Account is established:

Please refer to Section 4.3.1 of the Plan of Operation attached hereto behind **TAB F**.

2. Description of the technology to ensure confidentiality of the Means of Personal Identification:

Please refer to Section 4.5.6 of the Plan of Operation attached hereto behind **TAB F**.

3. Methods and locations available for Account Holders to withdraw funds from their Account:

Please refer to Section 4.3.5 of the Plan of Operation attached hereto behind **TAB F**.

CHRB-133 (New 9/01)

4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:

Please refer to Section 4.5.6 of the Plan of Operation attached hereto behind **TAB F**.

## 7. PARI-MUTUEL

- A. Name, address and telephone number of the pari-mutuel audit firm:

Oregon Racing Commission  
Suite 310  
800 N.E. Oregon Street, #11  
Portland, OR 97232  
(503) 731-4052

- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:

Totalizator services are provided by contract with Amtote International Inc.. The contract expires December 31, 2022. Please refer to Section 2.4.2 of the Plan of Operation behind **TAB F** for more details.

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

SEE TAB G

**NOTICE** – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

## 8. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:

Amtote International Inc.  
11200 Pepper Road  
Hunt Valley, MD 21031  
(410) 771-8700  
Equibase Company LLC  
821 Corporate Drive  
Lexington KY 40503-2794  
(859) 296-3079

Trackmaster, an Equibase Company  
851 Fremont Ave. #109  
Los Altos, CA 94024  
(650) 947-9020

CHRB-133 (New 9/01)

- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:

9. ADVERTISING

Name and address of the advertising agency you will use:

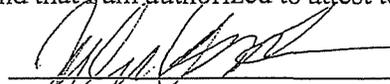
Piston  
 1111 6th Ave, 6th Floor  
 San Diego, California 92101  
 (T) 619.308.5266 (F) 619.238.8923

NOTICE—Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.

10. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

John Hindman  
 Print Name

  
 Signature

SVP & General Counsel  
 Print Title

8-29-2006  
 Date

Item 14

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL  
OF THE FISCAL YEAR 2017-18 AGREEMENT PROVIDING  
FUNDING SUPPORT FOR THE BOARD

Regular Board Meeting  
June 22, 2017

ISSUE: CHRB SUPPORT FORMULA FOR FISCAL YEAR 2017-2018

The CHRB support formula was developed in consultation with the horse racing industry. Historically, the formula is based upon the license fees that would have been paid to the State of California had Senate Bill 16, Statutes of 2009 (SB16) not been enacted. The following mechanism was used to develop the formula:

- The projected commingled handle was multiplied by the pre SB16 license fee rate for each host track to arrive at the estimated pre SB16 license fees for the fiscal year 2017-18.
- The CHRB support formula percentages were calculated by dividing the CHRB approved budget by the estimated pre SB16 license fees. The formula calculated rate for the 2017-18 fiscal year will be 68.00 percent.

CHRB Support Rate Fee calculated pursuant to the formula is 67.85 percent, however, the horse racing industry recommends increasing the rate to 68.00 percent. This will allow industry to pay the CHRB approved budget before the end of fiscal year 2017-18.

Fiscal year 2017-18 is the ninth year the CHRB support formula has been in effect. Table 1 below shows the CHRB approved budgets and the CHRB support fee rates used to fund CHRB operations.

Table 1. Historical Percent of formula fee rates to support the CHRB budget

Fiscal Year	CHRB Approved Budget	CHRB Support Fee Rate
2009-10	\$11,833,000	42.60%
2010-11	\$11,734,000	48.80%
2011-12	\$11,716,000	57.80%
2012-13	\$11,590,000	52.65%
2013-14	\$11,639,000	52.65%
2014-15	\$11,912,000	52.65%
2015-16	\$13,263,000	59.25%
2016-17	\$13,469,000	61.00%
2017-18	\$13,799,000	68.00%

The CHRB support fee rate is an estimate, and depending on handle activity there may be a variance at the end of the fiscal year. The industry agreement provides for a true up in the event obligations are not met due to the variance.

The following attachments show how the formula was developed as well as the industry agreement to provide for the CHRB support.

- Attachment I- Board Support/ F & E Recapture- Model for 7/1/2017- 6/30/2018
- Attachment II- Industry Agreement
- Attachment III- Industry Projection

As of June 12, 2017 industry representatives agreed to the CHRB support formula and all the parties have signed the agreement.

## BACKGROUND

Business and Professions Code section 19615 (a) states the notwithstanding any other provision of law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 1949.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay a license fee to the state to fund the Board and the equine drug testing program as follows:

- (1) All racing associations and fairs including all breeds of racing shall participate in the funding of the Board in accordance with the formula devised by the Board in consultation with the industry.
- (2) The baseline funding for the Board and equine drug testing program in the first fiscal year after the enactment of this section shall be the amount approved in the 2008-09 Budget Act.
- (3) Adjustment to the funding in subsequent budget years may only be made by an act of the Legislature.

For the 2017-18 fiscal year, the CHRB's operating budget as determined by the Governor's Budget, is \$13,799,000 and such amount shall be subject to change in future years.

## RECOMMENDATION

Staff recommends that the Board approve the funding formula for fiscal year 2017-18.

Board Support / F & E Recapture- Model for 7/1/17- 6/30/18

Attachment I

Method assumes that board support and F & E recapture are calculated on the basis of old style commingled license fees. No license fees would be paid on non-merged host fees

Event	Prior Year time frame (5/31/16 through 5/29/17)					Projected July 1, 2017 - June 30, 2018 based on projected live race days				
	Notes	Live Days	Commingled Handle	Commingled License	Commingled License %	Notes	Live Days	Projected Commingled Handle	98.000%	
									Projected Pre SB 16 License %	Projected Pre SB 16 License
Meda County Fair		12	29,513,428	172,343	0.58%		13	31,333,423	0.58%	181,734
ornia State Fair		10	22,312,284	128,969	0.58%		11	23,316,337	0.58%	134,773
Mar		54	584,373,840	5,053,934	0.86%	* Will host BC	52	708,565,083	0.71%	5,037,426
County Fair		12	60,385,392	331,804	0.55%		12	59,177,685	0.55%	325,168
ino District Fair		9	18,097,901	107,454	0.59%		9	17,735,943	0.59%	104,642
en Gate Fields		146	433,123,272	2,403,321	0.55%		145	421,553,540	0.55%	2,318,544
iboldt County Fair		6	4,705,810	16,552	0.35%		7	5,215,606	0.35%	18,255
Alamitos Quarters		146	238,208,695	751,464	0.32%		149	238,241,326	0.32%	751,566
Alamitos TBs		8	51,411,247	409,818	0.80%		23	144,851,189	0.80%	1,154,662
ta Anita	Hosted BC	131	1,437,423,137	11,340,597	0.79%	* No BC handle, rate adjusted to normal %	122	1,183,329,985	0.84%	9,939,972
Tree at Pleasanton		6	15,567,049	75,633	0.49%		7	17,253,480	0.49%	83,827
oma County Fair		11	29,786,466	170,715	0.57%		11	29,190,737	0.57%	166,387
ch & Wager		54	58,761,895	122,920	0.21%		54	57,586,657	0.21%	120,462
Total:		605	2,983,670,416	21,085,524	0.71%		615	2,937,350,990	0.69%	20,337,418

Estimated pre SB 16 lic. f 7/1/15 - 6/30/2016 commingled handle, excluding E section 19614: 20,337,418	Board Support: % of Pre SB 16 Lic. Fees: From Outs - From old style License <u>13,799,000</u> 67.85% Total to Board <u>13,799,000</u>	F/E Recapture Payments Per year for six years - % of Pre SB 16 License 0.00% 0.00%
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Event	Notes	2016-17 Days	Projected Old Style License Generated	To CHRB	To F & E	Distributable SB 16 Savings (Old Style License less BS/F& E)	To Purses	To Breeders	To Tracks
Meda County Fair		13	181,734	123,307	-	58,427	28,337	1,753	28,337
ornia State Fair		11	134,773	91,444	-	43,329	21,015	1,300	21,015
Mar		52	5,037,426	3,417,909	-	1,619,517	785,466	48,586	785,466
County Fair		12	325,168	220,628	-	104,541	50,702	3,136	50,702
ino District Fair		9	104,642	71,000	-	33,642	16,316	1,009	16,316
en Gate Fields		145	2,318,544	1,573,139	-	745,405	361,521	22,362	361,521
iboldt County Fair		7	18,255	12,386	-	5,869	2,846	176	2,846
Alamitos Quarters		149	751,566	509,940	-	241,626	117,189	7,249	117,189
Alamitos TBs		23	1,154,662	783,442	-	371,220	180,042	11,137	180,042
ta Anita		122	9,939,972	6,744,301	-	3,195,671	1,549,900	95,870	1,549,900
Tree at Pleasanton		7	83,827	56,877	-	26,950	13,071	809	13,071
oma County Fair		11	166,387	112,894	-	53,493	25,944	1,605	25,944
ch & Wager		54	120,462	81,734	-	38,728	18,783	1,162	18,783
Total:		615	20,337,418	13,799,000	-	6,538,418	3,171,133	196,153	3,171,133

The projected handle for Del Mar and Santa Anita has been adjusted to reflect the breeder's cup host days.

This will serve to memorialize agreement among the undersigned with respect to funding of the California Horse Racing Board, ("CHRB"). The undersigned hereby agree among themselves that each will make the following payments:

1. Section 19616.51 of the Business and Professions Code was amended by ABX4 12, and now stipulates:

*(a) Notwithstanding any other law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 19491.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay its proportional amount, as determined by the formula devised pursuant to paragraph (1), as a license fee to the state, to be deposited into the Horse Racing Fund, which is hereby established, to fund the board and the equine drug testing program as follows:*

*(1) All racing associations and fairs including all breeds of racing shall participate in the funding of the board in accordance with a formula devised by the board in consultation with the industry.*

For the 2017-2018 fiscal year, the CHRB's operating budget has been determined by the enactment of Section 19616.51 to be \$13,799,000 and such amount shall be subject to change in future fiscal years. The undersigned are responsible for the funding of the CHRB's annual operating budget by depositing the necessary funds in the California Horse Racing Fund. The amount to be so deposited by each of the undersigned shall be a percentage of the License Fee that would have otherwise been payable by the undersigned in the absence of the enactment of SB 16XX and for each fiscal year a determination shall be made as to the percentage necessary to generate the amount for the funding of the CHRB operating Budget. For the 2017-2018 fiscal year such percentage shall be 68%. Thus, during the 2017-2018 fiscal year, the undersigned shall each pay 68% of the License Fee that would have otherwise been payable by each if SB 16 XX had not been enacted.

The undersigned shall remit the respective amounts they owe no later than Friday for amounts generated during the prior week (Monday through Sunday) for wagers placed at brick and mortar sites within California. For amounts generated by wagering outside the State of California on races conducted in California ("export wagering") including wagers placed through ADW providers, the undersigned will remit the respective amounts they owe within ten business days of the receipt of host fees generated from export wagering.

It is recognized that a determination of true-up amounts will be necessary at the end of each fiscal year. Excesses and shortfalls in funding shall be determined after the end of the fiscal year. Should a shortfall occur, the payments required by the undersigned shall be determined by calculating the percentage of each entity's contribution to the total funding generated in the current fiscal year and then applying such percentage to the shortfall. The CHRB will notify the undersigned of their shortfall amounts, and the undersigned will remit payments within ten business days of such notification. Should an excess occur, an amount equaling the percentage of each association's contribution to the total funding generated in the current fiscal year shall be applied to the total excess generated in the current fiscal year, and that amount shall be returned to each association in the following fiscal year.

2. The CHRB is a third party beneficiary of the payments to be made by the undersigned pursuant to paragraph 1 hereof, therefore, the CHRB has a right to bring an action against any of the undersigned who fail to make the prescribed payments in paragraph 1 hereof. Payments to be made by the undersigned are the sole responsibility of that entity; therefore, there is no joint and several liability among the undersigned for the failure of anyone to make the prescribed payments.

3. This Agreement may be signed in counterparts.

4. California Horse Racing Industry Organizations which have agreed to participate in the funding described above include: California Authority of Racing Fairs, Del Mar Thoroughbred Club, Los Angeles County Fair Association, Los Alamitos Racing Association, Los Alamitos Quarter Horse Racing Association, Los Angeles Turf Club, Pacific Racing Association, Sonoma County Fair, Watchandwager.com LLC, California Harness Horsemen's Association, California Thoroughbred Breeders Association, Pacific Coast Quarter Horse Racing Association, and Thoroughbred Owners of California.

Agreement for CHRB Support -Fiscal Year 2017-2018

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By \_\_\_\_\_

By \_\_\_\_\_

Los Alamitos Racing Association

Los Angeles County Fair Association

By \_\_\_\_\_

By \_\_\_\_\_

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

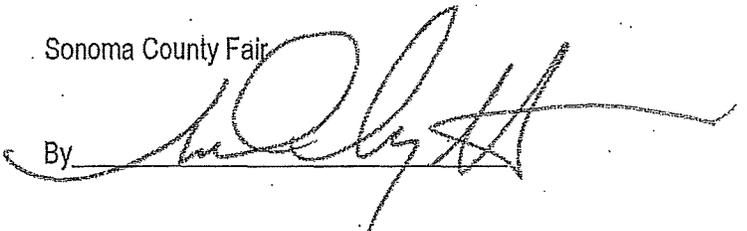
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By \_\_\_\_\_

Pacific Racing Association

Sonoma County Fair

By \_\_\_\_\_

By 

Watchandwager.com LLC

Thoroughbred Owners of California

By \_\_\_\_\_

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California Harness Horsemen's Association

California Thoroughbred Breeders Association

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Pacific Coast Quarter Horse Racing Association

By \_\_\_\_\_

Agreed and Accepted:

By \_\_\_\_\_  
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2017-2018

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By Lang A. Swartz

By \_\_\_\_\_

Los Alamitos Racing Association

Los Angeles County Fair Association

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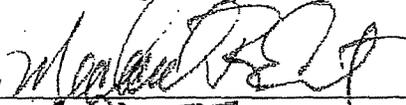
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California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2017-2018

California Authority of Racing Fairs

Del Mar Thoroughbred Club

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Los Alamitos Racing Association

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Pacific Coast Quarter Horse Racing Association

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California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2017-2018

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Los Angeles County Fair Association

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By \_\_\_\_\_

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

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Pacific Racing Association

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Agreement for CHRB Support -Fiscal Year 2017-2018

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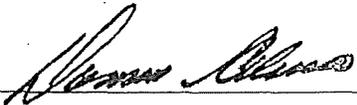
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Agreement for CHRB Support -Fiscal Year 2017-2018

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California Authority of Racing Fairs

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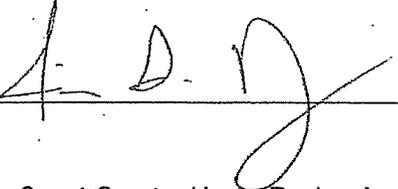
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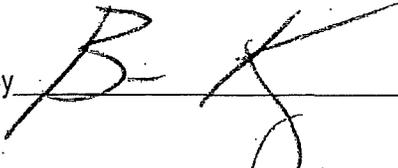
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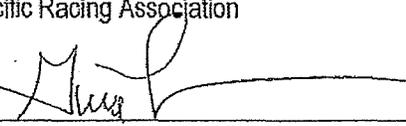
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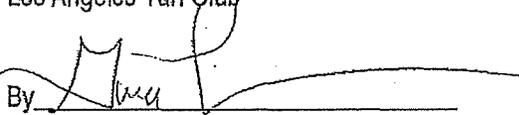
Del Mar Thoroughbred Club

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Agreement for CHRB Support -Fiscal Year 2017-2018

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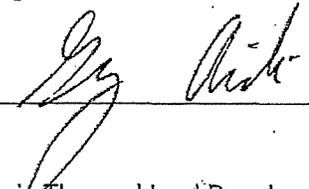
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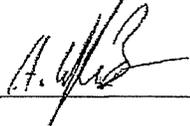
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Pacific Coast Quarter Horse Racing Association

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Agreed and Accepted:

By \_\_\_\_\_

California Horse Racing Board

**Board Support Model for 7/1/2017 - 6/30/2018**

**CHRB Funding Requirement**

**13,799,000**

Board Support calculations based upon Pre SB 16 comingled license fees.

Meet:	Prior 12 month time frame for comparison: Tuesday after Memorial Day in 2016 through Memorial Day in 2017 During this time frame there were 3.4% less cards than prior year						Projected 7/1/17 - 6/30/18 Based on anticipated live race days and prior period averages; Santa Anita & Del Mar adjusted for BC host d For hosts running similar days in both years, model incorporates an average daily handle decline of -2.00%					
	Notes	Live Cards	Commingle Hosted Handle	Commingle Pre SB 16 License Fees	Commingle Pre SB 16 License Fee %	Board Support	Projection Notes	Live Cards	Projected Commingle Handle	Assumed Pre SB 16 License Fee %	Projected Pre SB 16 License Fees	Projec Board Su Break Eve
Alameda County Fair		12	29,513,428	172,343	0.58%	103,276		13	31,333,423	0.58%	181,734	1
California State Fair	One 4-day wk plus two 3-day wks	10	22,312,284	128,969	0.58%	78,672	Two 4-day wks plus one 3-day wk	11	23,316,337	0.58%	134,773	
Del Mar		54	584,373,840	5,053,934	0.86%	3,082,901	Est handle includes BC Host-no Pre SB 16 on BC exports	52	708,565,083	0.71%	5,037,426	3.4
Fresno District Fair		9	18,097,901	107,454	0.59%	65,547		9	17,735,943	0.59%	104,642	
Golden Gate	Incl 6 overlap days w/Ferndale	146	433,123,272	2,403,321	0.55%	1,463,029	Incl 7 overlap days w/Ferndale	145	421,553,540	0.55%	2,318,544	1.5
Humboldt		6	4,705,810	16,552	0.35%	10,097	Special Monday-Labor day card	7	5,215,606	0.35%	18,255	
LACF		12	60,385,392	331,804	0.55%	202,401		12	59,177,685	0.55%	325,168	2
Los Alamitos Quarter Horse		146	238,208,695	751,464	0.32%	457,249		149	238,241,326	0.32%	751,566	5
Los Alamitos Thoroughbred	Winter Meet-8 days	8	51,411,247	409,818	0.80%	249,989	Summer '17-8 days, Winter '17-12 days, Summer '18-3 days in June	23	144,851,189	0.80%	1,154,662	7
Oak Tree at Pleasanton	Two 3-day weeks	6	15,567,049	75,633	0.49%	46,136	One 4-day wk plus one 3-day wk	7	17,253,480	0.49%	83,827	
Santa Anita	Est handle incl. BC Host (no license fees on BC Exports)	131	1,437,423,137	11,340,597	0.79%	6,895,827	No BC handle, pre SB 16 rate adjusted to normal %	122	1,183,329,985	0.84%	9,939,972	6.7
Sonoma County Fair		11	29,786,466	170,715	0.57%	104,136		11	29,190,737	0.57%	166,387	1
Watch and Wager		54	58,761,895	122,920	0.21%	74,981		54	57,586,657	0.21%	120,462	
<b>Total:</b>		<b>605</b>	<b>2,983,670,416</b>	<b>21,085,524</b>	<b>0.71%</b>	<b>12,834,242</b>		<b>615</b>	<b>2,937,350,990</b>	<b>0.69%</b>	<b>20,337,418</b>	<b>13.7</b>

Estimated percentages of Pre SB 16 License Fees needed to generate Board Support

**Suggested Percentage**