

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the California Horse Racing Board (CHRB) will be held on **Thursday, June 16, 2016**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of May 26, 2016.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association II (T) at Golden Gate Fields**, commencing August 17, 2016 through September 20, 2016, inclusive.
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Humboldt County Fair (F) at Ferndale**, commencing August 17, 2016 through August 30, 2016, inclusive.
6. Discussion and action by the Board on the **Application for License to Operate a Minisatellite Wagering Facility at Santa Clarita Lanes, in Santa Clarita, California.**
7. Discussion and action by the Board regarding the **request for approval from the Del Mar Thoroughbred Club, to modify its Pick 6 wager to change the six of six carryover pool from 70% to 85%; and the consolation pool from 30% to 15% for its race meeting commencing July 13, 2016 through September 6, 2016, inclusive.**
8. Discussion and action by the Board regarding the **report from the Thoroughbred Racing Protective Bureau (TRPB) concerning its "Final Pools by Tote Source" timing report which provides information concerning the timing of final wagers reaching the host track, in an effort to identify locations that may be contributing to slowing down the process of the posting of final odds.**

9. Discussion and action by the Board regarding the **feasibility of developing a rule requiring Advance Deposit Wagering (ADW) providers to identify the location of wagers placed through an ADW account; and provide an accounting of all wagers placed at a California thoroughbred racing facility on days when live thoroughbred racing is being conducted at the facility.**
10. Discussion and action by the Board regarding the **proposal to modify the text of proposed CHRB Rule 1489.2, Criteria to Evaluate Rehabilitation of a Person When Considering Denial, Suspension or Revocation of an Occupational License**, to require that specified criteria shall be used when considering the denial, suspension or revocation of an occupational license.
11. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1632, Jockey's Riding Fee**, to adjust the jockey riding fee scale pursuant to Business and Profession Code section 19501.
12. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting**, to require that racing associations include a furosemide agreement when submitting an application for license and **proposed amendment to CHRB Rule 1845, Authorized Bleeder Medication**, to require that authorized bleeder medication be administered by independent, third party veterinarians. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
13. Discussion and action by the Board on the **approval of the Fiscal Year 2016/2017 Agreement providing funding support for the Board.**
14. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Richard Rosenberg, Vice Chairman
Madeline Auerbach, Member
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

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**PENDING ADMINISTRATIVE ADJUDICATIONS
JUNE 2016**

CASE

- A. Revised Proposed Decision**
Trainer Miguel Gonzalez
Case Number: 15GG0118
And
Revised Proposed Decision
Owner Candido Galeote
Case Number: 15GG0126

- B. Proposed Decision**
Owner Samuel Galeote
Case Number: 16GG0044

- C. Trainer Helen Shelley**
Case Number: 15SW0072

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track**, 285 West Huntington Drive, Arcadia, California, on **May 26, 2016**.

Present: Chuck Winner, Chairman
 Richard Rosenberg, Vice-Chairman
 Madeline Auerbach, Member
 Steve Beneto, Member
 George Krikorian, Member
 Jesse H. Choper, Member
 Alex Solis, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF APRIL 21, 2016

Chairman Winner asked for approval of the minutes of the Regular Meeting of April 21, 2016. Commissioner Auerbach **motioned** to approve the minutes. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Beneto, and Choper. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported that the Arcadia Historical Society Walk of Champions was a wonderful experience. Chairman Winner stated the Walk of Champions was a pleasure to attend. Executive Director Baedeker reported the Backstretch Integrity Task Force held its first meeting, and would meet again in June 2016 in efforts to determine how best to maximize effectiveness of integrity efforts with resources presently available to the CHRB. He said the CHRB was down from 21 investigator positions to sixteen investigator positions. He stated the CHRB had one official veterinarian performing the same work on a race day as four veterinarians did in other jurisdictions. Executive Director Baedeker reported staff of the

Proceedings of the Regular Meeting of May 26, 2016

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California Veterinary Medical Board (CVMB) toured Golden Gate Fields (GGF) in 2015, which helped them understand CHRB policies and how the veterinarians worked inside CHRB inclosures. He said additional CVMB staff were scheduled to take a similar tour of GGF in June 2016. Such tours would benefit the collaboration between the CVMB and CHRB. Executive Director Baedeker stated Business, Consumer Services and Housing Agency staff were scheduled to tour the Kenneth L. Maddy Equine Analytical Chemistry Laboratory (Maddy Lab). He encouraged any member of the Board that had not been to the Maddy Lab to schedule a tour. Executive Director Baedeker reported that the race dates exchanged between Los Angeles Turf Club and Los Alamitos Racing Association in 2016 affected handle negatively; however handle should be regained in July 2016 at Santa Anita Race Course. He said for April 2016 compared to April 2015 on-track daytime handle increased 1.34 percent and 14.5 percent for nighttime handle, for an overall increase of over 3 percent for on track handle. Out-of-state handle declined significantly, which caused a decline of 4.4 percent for the month of April 2016 compared to April 2015. Executive Director Baedeker reported that year-to-date daytime handle decreased by 2 percent and nighttime handle increased 2.6 percent, with an overall decrease of 1.56 percent. Executive Director Baedeker reported that Chairman Winner consolidated and revised the Board's committees which reduced the number of committees from ten to eight: the Legislative, Legal and Regulations Committee; Medication, Safety and Welfare Committee; Jockey and Driver Welfare Committee; Budget, Finance and Audit Committee; Racing Breeding and Stabling Initiatives Committee; Race Dates Committee and Stewards Committee.

PUBLIC COMMENT

Dr. Robert O'Neil, Director of Health and Safety for the Stronach Group, stated he practiced at a race track in Florida for over 40 years, and was Chairman of the Florida Board of Medical Examiners for 16 years, and was now in the newly created position of Director of Health and Safety for the Stronach Group covering California, Florida, Maryland, and Washington. He said the primary focus of the Stronach Group was integrity and the health and safety of the horse. He stated he would attend Racing Medication and Testing Consortium meetings, Racing Commissioners International meetings, and Organization of Racing Investigators meetings. John Hindman, TVG General Counsel, spoke about the incident TVG had with its website on Kentucky Derby day. He stated TVG made some configuration changes to its wagering system to include user performance. Whenever changes were made to the system load testing was done, which meant putting the system under extreme loads to ensure it performed well. He said a test was done which should have identified any issues with the firewall of the system. However, the load test did not expose the issue TVG had with its website on Kentucky Derby day. As soon as the issue occurred it was corrected by fixing the configuration of the firewall; unfortunately it resulted in a poor user experience for the customer. TVG had since done everything it could to address its customers' poor experience. He stated TVG was confident that the issue was repaired and was looking forward to moving past the experience. Executive Director Rick Baedeker asked if the load tests that were conducted were greater than what was anticipated on Kentucky Derby day. Mr. Hindman stated that was correct; the load test should have identified the problem that came up on Kentucky Derby day, but it did not. However, once the problem occurred, TVG was able to identify and fix the issue. Executive Director Baedeker asked how safe TVG's system was from hacking. Was TVG suspicious of any kind of manipulation of the

system since the system worked under heavy load during the test but failed during the Kentucky Derby? Mr. Hindman said there were two reasons why the load test did not identify the issue. The first reason was the speed at which the traffic came at TVG's system on Derby Day compared to what was experienced in load tests. The second reason was the load test was a script of past user behavior. Commissioner Auerbach asked if TVG knew how long the system was down and how much the outage cost. Mr. Hindman said the system was down between one hour and one hour fifteen minutes. He stated TVG did not have an exact cost, but the outage did impact customers' ability to interact with the site at the time. He said the apps and phone system were still available, so wagering still occurred; however, the incident did impact a significant number of customers. Executive Director Baedeker asked if TVG was able to project how much the outage lost. Mr. Hindman said it lost business but he did not know the dollar amount offhand. Executive Director Baedeker asked if TVG's Information Technology expertise was in-house or outside expertise? Mr. Hindman said it was in-house. Derek Lawson, jockey agent, asked if jockeys who rode in stakes' races would be able to get an increase when they won a race, currently when they won a stake they got ten percent. Chairman Winner stated he would refer the issue to the Jockey and Driver Welfare Committee meeting to be placed on the agenda for the next meeting.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ANGELES TURF CLUB, INC. DBA SANTA ANITA PARK IN THE AMOUNT OF \$108,891 TO TEN BENEFICIARIES .

Eric Sindler, Los Angeles Turf Club (LATC), said LATC requested approval to distribute race day charity proceeds in the amount of \$108,891 from its December 26, 2015 to April 10, 2016 race meeting to ten horse racing related organizations. Commissioner Choper **motioned** to approve the distribution of race day charity proceeds of the DMTC in the amount of \$108,891 to ten beneficiaries. Commissioner Beneto **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Beneto, Choper, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF WATCHANDWAGER.COM, LLC IN THE AMOUNT OF \$4,700 TO SIX BENEFICIARIES.

Ben Kenney, Watch and Wager (WAW), asked the Board if there were any questions regarding WAW's charities. Commissioner Auerbach asked if the Kirk Breed Memorial was a charity. Mr. Kenney said the track at Cal Expo was named after Mr. Breed. Cal Expo was still doing some work at the track on behalf of the Kirk Breed Memorial through the registered non-profit organization, Friends of the California State Fair. Executive Director Rick Baedeker said a bust of Mr. Breed was placed at the track. Mrs. Breed had asked for help to pay for it. He stated the industry in both the North and South stepped up and helped pay for the bust. Commissioner Solis **motioned** to approve the distribution of race day charity proceeds of the WAW in the amount of \$4,700 to six beneficiaries. Commissioner Krikorian **seconded** the motion, which was **passed** six to zero with one abstention vote. Roll Call Vote: Krikorian, Solis, Auerbach, Winner, Choper. Nay: None. Abstain: Beneto. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT THE ALAMEDA COUNTY FAIR (F), AT PLEASANTON, (RACE MEETING TO BE CALLED "OAK TREE AT PLEASANTON") COMMENCING JUNE 15, 2016 THROUGH JULY 5, 2016, INCLUSIVE.

Larry Swartzlander, Oak Tree Racing Association (OTRA), requested approval for the application to conduct a horse race meeting of OTRA at the Alameda County Fair. He stated handle increased by \$1.5 million in 2015 compared to 2014. Mr. Swartzlander said OTRA was prepared to offer \$1.7 million in overnight purses, which was the same as in 2015. He stated OTRA offered \$439,500 in stakes. Vice-Chairman Rosenberg asked if the Arabians had a stakes race during the 2016 OTRA race meeting. Mr. Swartzlander said OTRA had a total of \$83,000 in purse money for two Arabian stakes races. Commissioner Choper asked if the Arabian racing groups were planning on attending the race meeting. Mr. Swartzlander stated OTRA expected to have full fields for both Arabian races. Commissioner Solis asked if the Juan Gonzalez Memorial and the Everett Nevin Futurity were both for two-year old fillies; rather than one being for colts. Mr. Swartzlander said the Everett Nevin Futurity was a colt race; the stakes schedule mistakenly had it noted as a filly race. He stated the horse recruitment expected at least 100 new horses would be brought in for the 2016 OTRA fair race meeting. Commissioner Auerbach asked if trainers or owners received the ship and win money. Mr. Swartzlander stated the trainers received the ship and win money. Vice-Chairman Rosenberg asked if the recruitment summary program was for the 2016 California Authority of Racing Fairs (CARF) Combined fair meeting, and how it was funded. Mr. Swartzlander said 40 percent was from OTRA, and 60 percent was from the California Marketing Committee. Vice-Chairman Rosenberg asked if the money in the program was for all the fairs, since OTRA was part of the CARF group. Mr. Swartzlander said the money in the program was for all the fairs. Mr. Swartzlander stated OTRA

had a marketing plan that included over \$2 million in cash equivalents. He said OTRA had a contract with TVG to cover Friday through Sunday Pick Four wagering races. The Pick 4 would also be highlighted with advance deposit wagering sites accepting wagering for the race meeting. Mr. Swartzlander stated the infield parties were successful in 2015 and would be increased for 2016. A Red, White and Blue Brew Fest, which was a new promotion, was scheduled for July 2, 2016. OTRA would also have promotions including Spin to Win, the Budweiser Clydesdales and handicapping workshops. Sherwood Chillingworth, OTRA, said there was an annual giveaway of \$25,000 to charities. In addition, OTRA decided an extra \$5,000 would be donated to a charity selected by the San Joaquin County Fair in recognition of the fair giving its dates to OTRA for 2016. Commissioner Bento **motioned** to approve the OTRA application to conduct a horse race meeting commencing June 15, 2016 through July 5, 2016. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Choper, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) AT SACRAMENTO COMMENCING JULY 6, 2016 THROUGH JULY 26, 2016, INCLUSIVE.

Larry Swartzlander, Director of Racing at the California Exposition and State Fair (Cal Expo), stated the one outstanding item for the fair was the fire clearance. He said the harness meet had until May 16, 2016 to vacate the backside. The fire inspection was conducted while the harness horsemen were still on the backside, so there were several issues with electrical connections and items that should not have been in the tack rooms. He said the deficiencies would be resolved. Vice-Chairman Rosenberg stated 2015 was the first year the fair held three weeks of racing. He

asked how much the fair benefited due to three weeks of racing compared to prior years. Mr. Swartzlander said attendance at the fair was up five percent and the fair's ADW handle was up \$300,000 more than Pleasanton. He stated Cal Expo raced 12 days in 2015, versus 8 days in 2014, which resulted in the handle being up significantly compared to prior years. Cal Expo distributed over \$1.2 million in purses, and the handle was \$25 million. He said Cal Expo would have the six furlong Governor's handicap stakes race for three-year-olds and up; the Sacramento Golden Bear Spirit stakes race was eliminated for 2016. Mr. Swartzlander said the fair's recruitment program included \$150 for shipping every horse that came from Golden Gate or Pleasanton. He stated the initial amount of \$30,000 would come from the Stabling and Vanning fund and anything that exceeded that amount would be covered by the fair; out-of-state horses were included in the program. He said the fair's specific promotions included win, place, and show. When people entered through the two main gates they would see an information booth where they would be given a win, place and show ticket that they would take to the grandstands where the spinning wheel was located. He stated the spinning wheel was very popular. Participants could win \$2.00 to \$20.00 vouchers, gifts, and programs; no one would walk away empty handed. He said there would be handicapping workshops and special guests. He stated there would be the Miller Lite Race of the Day which was generally the seventh race, where as the Director of Racing he would tell the fans if any odd horse won, dollar beers would be offered for the next 30 minutes before the next race; this would result in long lines of people getting dollar beers. He said the fair would have a BrewFest which would be used to attract new fans and would be held in a grassy area in front of the grandstand. A tent would be up with terminals and there would be someone manning the booth to help explain to interested individuals how to wager. He said this year's fair would have the California State Corn Hole Beanbag Toss

Championships on Saturday July 23, 2016. Commissioner Auerbach **motioned** to approve Cal Expo's application to conduct a horse racing meeting commencing July 6, 2016 through July 26, 2016. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, and Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR COMMENCING JULY 13, 2016 THROUGH SEPTEMBER 6, 2016, INCLUSIVE.

Joe Harper, Del Mar Thoroughbred Club (DMTC), requested approval for the application to conduct a horse race meeting of the DMTC at Del Mar commencing July 13, 2016 through September 6, 2016. He stated pre-season ticket sales were already up 10 percent for the summer 2016 race meet compared to 2015. He said opening day sold out in a matter of hours, compared to two or three days in the past. He said this was in part from having opening day on a Friday, which was strategic. Tom Robbins, DMTC, said the San Diego County Fair was scheduled to close on Monday, July 11, 2016, and DMTC needed sufficient time to prepare between the fair conclusion and the race meeting opening day, so DMTC determined the Friday opening date would be beneficial. Commissioner Auerbach asked about the weather, which negatively impacted the DMTC race meeting in 2015. Craig Dato, DMTC, stated the summer 2015 race meeting opening weekend had been rained out, which was a detriment to the entire race meeting. Mr. Robbins stated the horse population was on par with 2015, and DMTC continued to offer the ship and win program, as well as other incentives for local horsemen. He said the Barrett's paddock sale would be held July 23, 2016 after the races, which would be another way to attract horses from outside California. David Jerkens, DMTC, said 43 stakes races were scheduled

totaling over \$7.5 million in purses. He said with help from the Thoroughbred Owners of California, DMTC was able to hold the same number of stakes as the last few years. He stated DMTC collaborated with Los Angeles Turf Club (LATC) and Los Alamitos Racing Association to work around their racing schedules as well. In particular DMTC accommodated the LATC and horsemen by moving some of its turf stakes races to earlier in its meet than usual while LATC installed a new turf course. The TVG Pacific Classic was the feature race held August 20, 2016, and DMTC added the Santa Anita Oaks race to the same day to strengthen the day's card. He said DMTC was also going to hold five Breeder's Cup Classic races during the 2016 summer race meeting. Josh Rubenstein, DMTC, said DMTC added a brand new restaurant, new LCD televisions and most boxes were refurbished. Commissioner Auerbach asked if DMTC was ready to hold the Breeders' Cup in 2017. Mr. Harper said DMTC was well-prepared. The aforementioned improvements including the new restaurant were partially in preparation for the Breeders' Cup. Mr. Dato stated DMTC, assisted by Chairman Winner, conducted extensive research during the off-season. The research surveyed current fans and the general market in San Diego County and DMTC responded by changing its marketing plan for the 2016 summer race meeting. The results made it clear customers wanted discounts on food and beverage. DMTC has 12 different food and beverage-related discounts available for the 2016 race meeting, including \$30 for \$20, where people who come for a third visit, and horsemen, pay \$20 to get \$30 worth of food coupons. Vice-Chairman Rosenberg asked if the coupons had to be used on the same day as they were purchased. Mr. Dato said the coupons could only be purchased on the third day for fans, but could be used anytime through the 2016 summer race meet closing day. Mr. Dato stated the DMTC also learned that customers preferred events to giveaways or well-known horses as means of attracting them to attend race meetings. He said DMTC added an

event to every weekend day to coincide with the race meeting. Mr. Dato stated the next step with newcomers at the events was to convert them to become steady racing fans, which included educating them. Chairman Winner commended DMTC for conducting the research project. He said the results could be used for other horse racing associations as well. Commissioner Choper asked if there was a measurement to track how many event-goers transitioned to regular racing fans. Mr. Dato said the Diamond Club was the only measurable way, however, through speaking with regular fans, many said they attended a concert at the track initially. He said the fan demographics included many females and younger demographic, even on non-event days, which suggested new fans were introduced to horse racing through some of the non-racing related events and continued attending the races afterwards. Commissioner Choper asked if DMTC tried giving away tickets to use at a later date to track the number of returning customers. Mr. Dato stated DMTC tried bounce-backs and the redemption rate showed they were not a good way to get new racing fans to return. Mr. Harper stated mutuels by the concert area had increased, which suggested DMTC had new wagering fans from the concerts. Mr. Dato said the last two races on Fridays with concerts were the two highest on-track handles of the week, aside from the Saturday feature race and the race preceding it. Mr. Dato stated the full grandstand of newcomers was a positive impact to attract horse owners as well; it made it more fun to win. Vice-Chairman Winner asked how successful the Diamond Club was and how it was marketed. Mr. Dato said it was easy to sign up, and joining was a requirement to participate in several promotional offers. He said the Diamond Club had over 220,000 members; however, sometimes the date a customer signed up was the last time the customer attended a race. He stated the Diamond Club added 20,000 to 30,000 new members annually, and once DMTC had the contact information, it marketed members directly. Chairman Winner asked if DMTC purged the

members list through email kickbacks. Mr. Dato said yes. Commissioner Auerbach **motioned** to approve the Del Mar Thoroughbred Club application to conduct a horse race meeting commencing July 16, 2016 through September 6, 2016. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Choper, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR (F) AT SANTA ROSA COMMENCING JULY 27, 2016 THROUGH AUGUST 16, 2016, INCLUSIVE.

Becky Bartling, Sonoma County Fair (Santa Rosa), stated she was the new CEO with the Sonoma County Fair and Event Center. She introduced Stacy Lapham who was the new Director of Racing, previously the Assistant Director of Racing. She stated Santa Rosa was returning concerts to the fair, and would add additional events in the future to draw more people to the fair, and attract a younger demographic to expose them to the racing experience. She said Santa Rosa would offer the traditional giveaways such as t-shirt tosses and Spin and Win. She stated Santa Rosa would have seven stakes races, with five on turf, and two on the dirt. She said 1.6 million in purses were paid last year. Santa Rosa generated 1.7 million, with total handle up 5.7 percent with on-track handle up 14.3 percent. She stated the turf course was over seeded six month earlier than normal which resulted in the root system looking stronger and deeper than it was last year. She said Santa Rosa entered 2015 with a \$240,000 overpayment. Santa Rosa would work with the Thoroughbred Owners of California to recoup the funds over a three-year period. Santa Rosa added a gourmet buffet meal to its track side dining. Santa Rosa's popular Hat Day would be held on July 30, 2016. Commissioner Beneto asked if the fair would be running the last week of racing. Ms. Bartling said the fair would not be running during the last

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week of racing, also known as the bonus week. She stated Santa Rosa was looking at adding special promotions, such as a beer festival, concerts, and food trucks to boost attendance.

Commissioner Beneto asked if Santa Rosa considered moving its fair one week in order to coincide with racing. Ms. Bartling said Santa Rosa was looking into it as a possibility in the future, but the main challenge of moving the fair was the loss of employees due to the school schedule. She stated Santa Rosa wanted to do whatever it could to increase attendance. She said Santa Rosa did well last year considering the fair did not run during the last week of racing.

Commissioner Choper asked how the third week of racing compared to the other two weeks.

Ms. Bartling said the third week did well mostly due to simulcast, but on-track attendance was down. She stated Santa Rosa would offer stronger promotions this year to encourage more attendance. Commissioner Choper asked if Santa Rosa ever tried giving out \$2.00 wagers the first two weeks of racing that could only be used during the third week of racing. Ms. Bartling said it had recently tried that and it was only somewhat successful, with \$10,000 worth of vouchers put out and only around \$5,000 or \$6,000 redeemed. Commissioner Beneto **motioned**

to approve Santa Rosa's application to conduct a horse racing meeting commencing July 27, 2016 through August 16, 2016. Commissioner Auerbach **seconded** the motion, which was

unanimously carried. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper, and Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT THE RACE & SPORTS AUTHORITY LLC, IN BONITA, CALIFORNIA.

George Haines, Southern California Off-Track Wagering, Inc. (SCOTWINC), requested the Board approve the application to operate a minisatellite wagering facility at the Race & Sports Authority, LLC (RSA) in Bonita. Jacqueline Wagner, Assistant Executive Director, stated the Board received the final outstanding item listed on the staff analysis, so the application was complete. Mr. Haines stated the San Diego area recently lost Barona Casino and Sycuan Casino Simulcast Facility as satellite locations, and the location for RSA was viable. Chairman Winner asked how many satellites remained in Southern California. Mr. Haines said two locations remained open: Fantasy Springs in Indio and Viejas in Alpine, which was expanding. Mr. Haines stated the RSA owner, Denny Kanakaris was enthusiastic. Denny Kanakaris, RSA, said as a horse owner and horse player, he knew parking, admission and marketing were key factors for a successful minisatellite location. He stated he was confident RSA had adequate parking at the Bonita location. He said RSA offered free admission. He stated RSA planned to hold a few promotions such as \$1 beers to attract customers. Commissioner Auerbach asked how big the Bonita location was. Mr. Kanakaris stated the space was about 4,000 square feet, and was going through a remodel. Commissioner Auerbach asked how many people the building could accommodate. Mr. Kanakaris stated the capacity was 116 inside. Adding the outdoor patio, the location could accommodate about 150 to 160 people total. He said the Bonita site landlord owned a vacant adjacent building, which was 2400 square feet. He said adding the adjacent building was a possibility in the future. Commissioner Beneto **motioned** to approve the application to operate a minisatellite wagering facility at the RSA in Bonita through May 26, 2021. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call

Vote: Aye: Beneto, Choper, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None.

Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE**, TO ADJUST THE JOCKEY RIDING FEE SCALE PURSUANT TO BUSINESS AND PROFESSION CODE SECTION 19501.

This item was postponed.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1688, USE OF RIDING CROP**, TO ALLOW A JOCKEY TO USE THE RIDING CROP FOUR TIMES IN SUCCESSION, ALLOWING THE HORSE TO RESPOND BEFORE USING THE RIDING CROP AGAIN, DURING THE LAST 16TH OF A MILE IN A THOROUGHBRED RACE.

Executive Director Rick Baedeker stated the proposed amendment to Rule 1688, Use of Riding Crop, would allow a jockey in a Thoroughbred race to use the crop four times in succession during the final 16th of a mile in a Thoroughbred race. He stated staff was approached after the November 2015 Stewards Committee meeting by members of the Jockeys' Guild (Guild) with a request to amend Rule 1688. He said the proposed amendment to the regulation was developed by staff, stewards and jockeys during a series of conferences. Chairman Winner stated the current version of Rule 1688 was established in cooperation with the Guild, and at the time it was amended the industry was made aware that Rule 1688 was open to change if it was warranted. He said the Guild's recommendation was cause to look at amending Rule 1688. Chairman Winner stated the Pacific Coast Quarter Horse Racing Association (PCQHRA) submitted a written request to include Quarter Horse racing in the amendment. Commissioner Auerbach said she wanted to know why Quarter Horses were not included in the proposed

language. Executive Director Baedeker said Quarter Horses were excluded because the majority of their races were between 300 and 350 yards and the final 16th was 110 yards, or one-third of the race. The proposed text would make a dramatic change to a Quarter Horse race, which was not the intent of the amendment. Vice-Chairman Rosenberg asked Executive Director Baedeker what he concluded from his research on Quarter Horse racing in respect to the amendment to Rule 1688. Executive Director Baedeker stated if the amendment was applied to Quarter Horses, as PCQHRA requested, it would change the original intent of Rule 1688. Darrel McHargue, CHRB Chief Steward, stated Rule 1688 was beneficial to horse racing. He said the proposed amendment to Rule 1688 only added about two more strikes with a riding crop during the final 16th of a mile. He stated he understood the Guild was in favor of the amendment; however, the Guild had input on Rule 1688 as it currently was written. The Guild was instrumental when the Board amended Rule 1688 to include three strikes. Mr. McHargue stated Rule 1688 was more appealing to the public as written. Executive Director Baedeker said the Guild originally wanted to achieve a modified rule in California, which could be used as a model throughout the country. Since then, the Guild decided not to pursue a model rule based on Rule 1688. Vice-Chairman Rosenberg asked if the Guild was in favor of the proposed amendment to Rule 1688. Chairman Winner stated the Guild was in favor of the proposed amendment to Rule 1688; the Guild was no longer in favor of a universal rule based on limiting the number of times a crop was used during a race. Commissioner Solis said he was in favor of the proposed amendment to Rule 1688. He stated the last 16th of a mile was when the jockey needed the most freedom to use aids with the horse. Commissioner Beneto asked Commissioner Solis if he meant that when a horse got tired, the jockey needed to use the crop four times in succession instead of three. Commissioner Solis said in April 2016 a horse he rode was tired in the final 16th of a race. He used the crop three

times in succession and then one final time at the wire and won by a nose; had he not, he would have lost. Commissioner Beneto said he would rather have a horse lose a race than have somebody abuse a horse by striking it when the horse was tired. When a horse was tired and the jockey used a crop, a breakdown was bound to occur. Commissioner Solis said he disagreed; use of the crop was not going to cause a horse to breakdown. He said if a horse was not sound, it should be scratched before the race. Commissioner Solis said he did not think the wagering public saw an additional use of the crop as abusive. Commissioner Beneto stated horse care was more important than a wager. Commissioner Solis stated use of the crop helped prevent horses from bouncing and swerving into each other, which was dangerous. Commissioner Solis stated he would cease encouraging a horse forward with a crop if it was tired by the eighth pole because it was out of contention. Commissioner Solis stated he praised California for Rule 1688 and was proud to be a jockey in California. He said he had complaints from jockeys outside of California saying the rules were too strict and replied that jockeys had a responsibility to their horses. He said horses that bounced around during the race arrived back at the barn cut up, so he was proud to say the rules were in place because the California racing industry cared about the horses. Commissioner Auerbach said everyone involved knew there would be a learning curve when Rule 1688 was last amended. She stated she was impressed Rule 1688 had worked as well as it had over the past year. However, it was wise to recognize a slight amendment was needed. She said the regulation was not meant to cause abuse to a horse in any way. It was important for jockeys to know they had to be careful and judicious when they used a crop. However, the proposed amendment to Rule 1688 would allow an accommodation for race riding which would allow the wagering customers to feel the jockey was giving an effort, while protecting the horse, which was always the most important factor of a regulation. Chairman Winner stated once the

horses were in the final 16th mile of a race, a jockey used the crop in rhythm with the horse and the horse responded. On occasion, using the crop one more time in succession meant the difference between placing first or second, so it was reasonable to ask for the proposed amendment to Rule 1688. He stated most parties agreed Rule 1688 was helpful in terms of safety and encouraging better riding. He said the proposed amendment to Rule 1688 was not about disregarding Rule 1688 as written, it was merely for the final 16th mile of a race. Mr. Haire stated the Guild was in favor of the proposed amendment to Rule 1688 because there were times when a jockey had to get after a horse just a little more during the final 16th of a race. Commissioner Beneto said if all the jockeys were allowed to use the crop four times in succession instead of three, then they would all still be on equal ground, so he did not see how a jockey could say he won a race because of the option to use the crop a fourth time. He asked what was gained by increasing the number of times a jockey could strike a horse to four in succession. Commissioner Solis said a jockey could get a little bit more from his mount. Commissioner Beneto stated everything was equal either way, so it was best to stay with three times in succession. Executive Director Baedeker said he had reviewed many races online and counted the number of times in succession the crop was used during the final 16th of a mile on a horse. He said there were not many times that the crop was used three times in succession. Most jockeys used the crop twice, rode and then used the crop two more times in succession. Scott Chaney, CHRB steward, said he was not in favor of the proposed amendment to Rule 1688. He stated the penalty structure was actually decreased in November 2015. He said Rule 1688 was successful insofar as it made riding safer. He stated the final 16th mile was the most critical and dangerous part of the race. He stated the final quarter was the slowest in American horse racing, and it was abusive to use the crop more often when the horse was tired. Commissioner Auerbach

stated the California horse racing industry did not abuse its horses. She said the industry was careful with horses. Any characterization that there was any kind of abuse going on was not fair. She stated she did not like using a crop, however the reality was sometimes it was necessary. She said if there were two jockeys coming down the stretch and both gave the horses a fourth pop with the crop, it was a great equalizer, however, sometimes one horse was stronger than the other, or one jockey was not going to do the same thing as the other. The Board should not adopt any regulations that interfered with the outcome of the race, or which hurt the horse. No matter the vote, no member of the Board had any intent of anything resembling animal abuse. Chairman Winner stated if the stewards believed a jockey was abusing a horse, there was a separate rule in place to handle the situation. He said it was the stewards' duty to determine if a horse was being abused, and everybody present would want the stewards to penalize the jockey strongly if he was abusing the horse. Mr. Chaney said he attended two separate lectures in February 2016. He said the first one involved stewards mainly from southwestern states who did not understand why a jockey could not use a crop as much as he saw fit. The second lecture was with a group of students that were part of the Race Track Industry Program in Arizona. This group thought using the crop three times in succession was excessive and wanted to know why the penalties were so small. He said the first group embodied past horse racing, while the second group was the horse racing industry's future. Gail Matthews, Winning Hands Equine Massage, stated she was against the proposed amendment to Rule 1688. She said she would not recommend removing the use of a crop altogether because it was a tool for the safety of the horse and rider. However, over the past two years, the Board had consistently moved forward for the safety and integrity of the horse. She said the amendment to Rule 1688 was perceived by the public as a step in the wrong direction. Commissioner Krikorian stated that Rule 1688, whether

the language said three times in succession or four, kept the playing field equal for the jockeys. He said the intent of Rule 1688 was to ensure the safety of the horses. Vice-Chairman Rosenberg **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1688, Use of Crop. Commissioner Solis **seconded** the motion, which was **passed** four to three. Roll Call Vote: Aye: Solis, Auerbach, Winner, Rosenberg. Nay: Krikorian, Choper, Beneto. Motion carried.

REPORT FROM THE PARI-MUTUEL/ADW, SATELLITE AND SIMULCAST COMMITTEE.

Commissioner Krikorian said the Pari-Mutuel/ADW, Satellite and Simulcast Committee meeting met on May 11, 2016. He said the first item discussed was the comprehensive report covering the statutes and distribution of funds generated by pari-mutuel wagering in California developed by Bernie Thurman for Comprehensive Horse Racing Information Management Systems and the California Horse Racing Board (CHRB) auditing team led by Francisco Gonzalez. He stated that Executive Director Rick Baedeker expanded on the reports to show that because multiple distributions required by law were based on a percentage of total handle rather than takeout, a reduction in takeout would have a significant impact on purses and track commissions. The next item discussed was reviewing the players' pools which involved two or more people pooling their money to wager on Pick 6 and other major pools. Pools were usually formed through advance deposit wagering (ADW) accounts. He stated CHRB counsel reviewed California laws and determined that while statutes prohibited California players from participating in player pools, they did not apply to the wagering public in other states. Therefore, players located elsewhere could legally pool their money on California races if the wager was placed and

accepted in a jurisdiction where such pooling was lawful. The next step being contemplated by the racing industry was to get California law changed so that players could legally participate in players' pools. He said the next item discussed involved players physically located at a race track or simulcast facility that used their phones, laptops or other mobile device to place a wager through ADW accounts instead of at the window. He stated wagers placed through ADW accounts resulted in less revenue for purses and commissions than wagers made through the window. Therefore, the racing industry wanted its fair share of ADW wagers placed at a brick-and-mortar facility which could be accomplished through geolocating but would require a new CHRB rule. He said an alternate proposal was for horsemen, tracks, and ADW companies to take their best guess at how much purses and commissions might increase if a regulation was implemented. The ADW companies could simply pay more into purses and commissions without implementing the geolocating process. The next issue discussed was tracks taking races off the turf due to inclement weather. He said Rick Hammerle, Racing Secretary at Santa Anita, addressed this issue because Santa Anita recently decided on three separate occasions to move a race from turf to dirt after the first race had been run. The Pick 5 and the other multiple race wagers were already in play when those decisions were announced. Commissioner Krikorian stated to protect the wagering public, the Pick (n) rule was amended five years ago so that when there was a surface change after the pool was closed, all selections in that race were considered winners. While that helped, there were other wagers, and wagering strategies, that could be adversely affected by a change in the surface; any decision to change surfaces after the first race had been run could have serious consequences. He said that Mr. Hammerle explained that Santa Anita utilized multiple weather reporting systems and would take races off the turf whenever rain was expected. However, weather predictions were not always reliable, with rain coming

unexpectedly, so the decision could not always be made before the first race. Mr. Hammerle said that Santa Anita had an obligation to run races as they were written in the condition book, so Santa Anita would need strong evidence that it was going to rain before taking a race off the turf. Once it did rain, safety would become a major concern, so races would be moved off the turf. Commissioner Krikorian said Mr. Hammerle's explanation was appreciated, and the committee had a better understanding of the complexity of the issue. The last item discussed was the Association of Racing Commissioners International (ARCI) pentafecta model rule, also known as the Super High 5, which required the selection of the first five finishes. He stated on the East Coast there was a race in which only four horses finished due to an accident, and since no wagers had the first five finishes, management carried over the pool as permitted by one of the options in the ARCI Model Rule. The committee pointed out that the ARCI Model Rule also provided an option to pay part of the pool to those tickets with the first four finishes if no wagers had five finishes. The committee said it was an option that tracks might want to consider when they filed their license applications, as California tracks were required to designate which option they choose in their license applications.

MEETING ADJOURNED AT 11:35 A.M.

Proceedings of the Regular Meeting of May 26, 2016

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

JUNE 16, 2016
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

JUNE 16, 2016
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS

June 16, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II AT GOLDEN GATE FIELDS AUGUST 17, 2016 THROUGH SEPTEMBER 20, 2016

Pacific Racing Association II (PRA II) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields August 17, 2016, through September 20, 2016 or 19 days; the same number of days as 2015. PRA II proposes to race a total of 161 races, or 8.5 races per day. Live racing will commence August 19, 2016 through September 18, 2016. In 2015, PRA II ran 8.42 races per day with an average of 7.42 runners per race. The average daily purse for the 2015 race meeting was \$144,580. The (estimated) average daily purse for this meet is \$147,716.

August - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

September - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

- Racing Thursday through Sunday with the exception of Thursday, August 18th and September 8th, and Monday, September 5th.
- 7 races on Thursdays, 8 races on Fridays, 9 races on Saturdays and Sundays and Monday, September 5, 2016, and 10 races on Sunday, September 18, 2016. If horse population permits may run additional races.
- First post 1:45 p.m. daily
 - Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will not be offered.
 - \$2 - Rolling Daily Double on all eligible races - 20% takeout.
 - \$1 - Pick 3 on all eligible races; \$1 Trifecta on eligible races; \$.10 Superfecta on all eligible races; \$1 Exacta on all eligible races.
 - \$.50 - Pick 4 on races 2-5 and last 4 races. No consolation; 100% carryover; Alternate runner provision.
 - \$.50 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners; Alternate runner provision. 14% takeout.
 - \$1- Pentafecta (Super High 5) in the last race of the day selecting the first five finishers in the race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.

- \$.20 – Pick n Pool 6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.
- Specific changes from the 2015 license application:
 - Admission (club house) from \$8.00 to \$10.00. (Bundled price includes free program).
 - Admission (turf club) Weekdays from \$10.00 to \$12.00 (Bundled price includes free program).
 - Thoroughbred Club Members – Club House Admission:
 - 1-10 visits from \$6.00 to \$8.00
 - 11-20 visits from \$5.00 to \$7.00
 - 21-30 visits from \$4.00 to \$6.00
 - 31-40 visits from \$3.00 to \$5.00
 - Over 40 visits from \$2.00 to \$4.00
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

PRA II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) PRA II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The PRA II \$100,000 surety bond currently on file will expire August 17, 2016; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpines, TVG, Bet America (Lien Games) and Watch and Wager.com, LLC.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.

- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Bond (expires August 17, 2016)
2. Workers' Compensation Insurance Policy (expires June 30, 2016)

Specific information which will need updating during the proposed race dates:

1. Albany Fire Clearance (expires August 23, 2016)

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

Golden Gate Fields

May 31, 2016

Nicole Lopes-Gravely
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Golden Gate Fields Summer Application

Dear Ms. Lopes-Gravely,

Below is a list of items that will either expire prior to the beginning of the 2016 Golden Gate Fields Summer meet or during the course of the meet.

- Section 1(E): The bond will expire on August 17, 2016. An updated bond will be provided prior to expiration.
- Sections 4(C), 4(G), 14(A), & 14(B): The following individuals' licenses will expire prior to the start of the meet. All will be updated prior to expiration.
 - Calvin Rainey
 - Publicity Manager – TBD
 - Forrest Franklin
 - Patrick Mackey
- Section 16(H): The Albany Fire clearance is valid through August 23, 2016. An updated fire clearance letter will be provided once available.
- Section 16(J): The Workers Compensation Certificate of Insurance is valid through June 30, 2016. An updated certificate will be provided prior to expiration.

Please let me know if you have any questions.

Sincerely,



Eric Sindler

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association II**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 559-7464
calvin.rainey@goldengatefields.com
 - B. Breed of horse: TB QH H
 - C. Racetrack name: **Golden Gate Fields**
 - D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
N/A
 - E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars. **Bond on file will be renewed before August 17, 2016**

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **August 17, 2016 through September 20, 2016**
- B. Actual dates racing will be held: **August 19-21, 25-28, September 1-4; 5, 9-11, 15-18.**

CHRB CERTIFICATION	
Application received: 5/17/16	Hearing date: 6/16/16
Deposit received: on file	Approved date:
Reviewed: [Signature]	License number:

C. Total number of days or nights of racing: **19 days**

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing Thursday through Sundays

Exceptions: No racing Thursday 8/18 and Thursday 9/8; Racing Monday 9/5

Dark days: Monday 9/19 and Tuesday 9/20/16

E. Number of days or nights of racing per week: **4 days per week except as set forth in Item D above.**

3. RACING PROGRAM

A. Total number of races: **161 (Estimate)**

B. Number of races for each day or night: **8.5 will be the average throughout the meet. We propose to conduct seven (7) races on Thursdays; eight (8) races on Fridays; nine (9) races on Saturdays, Sundays, Monday September 5th and race 10 races on September 18th. If the horse population permits, we may run additional races.**

C. Total number of stakes races: **1**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

PRA II /GGF SUMMER 2016
(August 19 – September 18, 2016)
STAKES SCHEDULE
ATTACHMENT 3D

ROLLING GREEN
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Overnight Stakes
Three Years Old and Upward
1 1/16 Turf
Monday, September 5, 2016

PRA II /GGF SUMMER 2015
(August 21 – October 4, 2015)
STAKES SCHEDULE
ATTACHMENT 3D

ROLLING GREEN
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Overnight Stakes
Three Years Old and Upward
1 1/16 Turf
Monday, September 7, 2015

PRA II /GGF SUMMER 2014
(August 15 – September 14, 2014)
STAKES SCHEDULE
ATTACHMENT 3D

ROLLING GREEN
\$50,000 Added (Plus \$15,000 to Cal-Breds)
Overnight Stakes
Three Years Old and Upward
1 1/16 Turf
Monday, September 1, 2014

F. List all post times for the daily racing program*:

Race Number	Post Time Daily
1st	1:45 p.m.
2nd	2:15 p.m.
3rd	2:45 p.m.
4th	3:15 p.m.
5th	3:45 p.m.
6th	4:15 p.m.
7th	4:45 p.m.
8th	5:15 p.m.
9th	5:45 p.m.
10 th when applicable	6:15 p.m.

*Post times may change in order to coordinate North/South signals.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

1. Registered name of the corporation: **Pacific Racing Association II**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **3481225**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
 - Keith Brackpool, Chairman (Officer), 0 Shares**
 - Scott Daruty, (Director), 0 Shares**
 - Joe Morris, Senior Vice President West Coast Operations (Officer), 0 Shares**
 - Mike Rogers, Vice President, Operations (Officer), 0 Shares**
 - Sheila Jane Lynn, Assistant Secretary (Officer), 0 Shares**
 - Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**
 - Gina Lavo, Vice President Finance (Officer), 0 Shares**
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**

6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc.**
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with the board.**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool, Chairman

Joe Morris, Senior Vice President West Coast Operations

Calvin J. Rainey, Vice President and General Manager

Mike Rogers, Vice President, Operations

Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary

Gina Lavo, Vice President, Finance

Sheila Jane Lynn, Assistant Secretary

Merry Scalzo, Director of Administration

Ferdinand Rebusi, Director of Hospitality and Sales

Doug Gooby, Mutuel Manager

TBD, Publicity Manager

Bob Hemmer, Director of Operations

Dan Cirimele, Senior Director of Marketing

Marciano "Rocky" Alado, Public Safety Director

Angela Hermann, Announcer

Juan Meza, Track Superintendent

Steve Martinelli, Price Maker

William Vassar, Track Photographer

David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin J. Rainey
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**
Daily Double **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **TOC approval letters attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015 (8/21 – 10/4/15) split	\$ 6,757,788.70	36,786 (19 live race days)
2014 (8/15 – 9/14/14)	\$ 6,468,446.20	36,407 (19 live race days)
2013 (8/16 – 9/15/13)	\$ 6,720,615.20	48,770 (19 live race days)
2012 (8/17 – 9/16/12)	\$ 7,086,461.80	48,491 (17 live race days)
2011 (8/19 – 10/2/11) LATC @ PRA	\$ 10,322,620.20	65,727 (22 live race days)

Golden Gate Fields

May 6, 2016

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

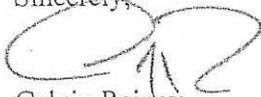
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association II (PRA II), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA II summer race meet, August 19 through September 18, 2016.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA II's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey
Vice President & General Manager



April 28, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRAII) 2016 Summer Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Summer race meet, August 17, 2016 - September 20, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

cc: Cal Rainey
Mary Scalzo

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



April 28, 2016

OFFICERS

MIKE PEGRAM
CHAIR

BILL STRAUSS
VICE CHAIR, SOUTHERN CA.

JACK OWENS
VICE CHAIR, NORTHERN CA.

NICK ALEXANDER
SECRETARY/TREASURER

MIKE HARRINGTON
AT LARGE

ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRAII) 2016 Summer Race Meet

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Summer meet from August 17, 2016 through September 20, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT

MARY FORNEY
DIRECTOR OF OPERATIONS

RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS

JENNIFER SAAVEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
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2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution: *Prior meet represents August 21 through September 7, 2015 AND September 23 through October 4, 2015 (split race meet considered as one (1) meet) 19 days*

1. All races other than stakes:

Current meet estimate: **\$2,462,400.00**

Prior meet actual: **\$2,390,878.47**

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **\$129,600.00**

Prior meet actual: **\$125,835.71**

2. Overnight stakes:

Current meet estimate: **\$50,000.00**

Prior meet actual: **\$50,000.00**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **\$2,631.58**

Prior meet actual: **\$2,631.58**

3. Non-overnight stakes:

Current meet estimate: **\$0.00**

Prior meet actual: **\$0.00**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$0.00**

Prior meet actual: **\$0.00**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$2,512,400.00**

Prior meet actual: **\$2,440,878.47**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **0%**

Prior meet actual: **0%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **0%**

Prior meet actual: **0%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$206,540.00**

Prior meet actual: **\$215,796.43**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
TOC (NTRA contribution)	\$ 36,101.68	TOC (NTRA contribution)	\$ 37,201.40
TOC	\$ 20,629.53	TOC	\$ 21,257.94
CTT (Administration)	\$ 10,314.77	CTT (Administration)	\$ 10,628.97
CTT (Pension)	\$ 20,629.53	CTT (Pension)	\$ 21,257.94
Total	\$ 87,675.51	Total	\$ 90,346.25

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$2,806,615.51**
 Prior meet actual: **\$2,747,021.15**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$147,716.61**
 Prior meet actual: **\$144,580.06**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$1,798,472.00**
 Prior meet actual: **\$1,879,393.86**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$94,656.42**
 Prior meet actual: **\$98,915.47**

G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$615,749.00**
 Prior meet actual: **\$606,527.06**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$32,407.84**
 Prior meet actual: **\$31,922.48**

H. Bank and account number for the Paymaster of Purses' purse account: **On File**

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds

**PRA II/GOLDEN GATE FIELDS - PLEASANTON (AUXILIARY SITE)
EQUINE EMERGENCY SERVICES**

**Attachment to PRA II/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1. **GOLDEN GATE FIELDS VETERINARIAN SCHEDULE**

Mondays:	Closed
Tuesdays:	AM Only – TBD
Wednesdays:	AM Only – TBD
Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Fridays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

2. **PLEASANTON (auxiliary site) VETERINARIAN SCHEDULE**

Mondays:	Closed
Tuesdays:	AM Only – TBD
Wednesdays:	AM Only – TBD
Thursdays:	AM Only – TBD
Fridays:	AM Only – TBD
Saturdays:	AM Only – TBD
Sundays:	AM Only – TBD

TBD – Rotating schedule amongst list from exhibit A

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **September 18, 2016 for the period of August 19, 2016 through September 18, 2016**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No **X**
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote International expiration date of October 2020 with option to extend contract to October 2025
- G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

WPS-15.43%; DD-20.00%; E-22.68%; PK3-23.68%; TRI-23.68%; SF-23.68%; PNP4-23.68%; PNP5-14.00%; PN6-23.68%; Super H5-23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
 - Xpressbet
 - TwinSpires
 - TVG
 - Bet America (Lien Games)

Golden Gate Fields Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		
2	X	X	X	X	X	X	X		*	
3	X	X	X	X	X	X			*	
4	X	X	X	X	X	X	*		*	
5	X	X	X	X	X	X	*		*	
6	X	X	X	X	X	*	*			
7	X	X	X	X	*	*	*			*
8	X	X	X	X	*	*				*
9	X	X	X	X	*					*
10	X	X	X	X						*

*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$1 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% carryover; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	ARCI 004-105(G)(g)	\$.20 Pick 6 on the last six races each day; 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 in the last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners.

WatchandWager.com, LLC

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

On file with the board.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **Attached**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On file with the Board

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

- Alameda County Fair, Pleasanton
- Big Fresno Fair, Fresno
- CA State Fair & Exposition, Sacramento
- Club One, Fresno
- Humboldt County Fair, Ferndale
- Monterey County Fair, Monterey
- San Joaquin County Fair, Stockton
- Santa Clara County Fair, San Jose
- Shasta District Fair, Anderson
- Solano County Fair, Vallejo
- Sonoma County Fair, Santa Rosa
- Stanislaus County Fair, Turlock
- The Jockey Club, San Mateo

Southern California

- Antelope Valley Fair, Lancaster
- Barona Resort & Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Del Mar Thoroughbred Club, Del Mar
- Derby Club at Seaside Park, Ventura
- Fairplex Park, Pomona
- Los Alamitos Racecourse, Los Alamitos
- National Orange Show, San Bernardino
- San Bernardino County Fair, Victorville
- Santa Anita Park, Arcadia
- Shalimar Sports Center, Indio
- Sports Pavilion, Farmers Fair, Lake Perris
- Sycuan Resort & Casino, El Cajon
- Viejas Casino & Turf Club, Alpine

April 28, 2016

Mr. Calvin Rainey
Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710



RE: 2016 PRAII (Golden Gate) Summer Race Meeting ADW Approvals

Dear Cal,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Pacific Racing Association II (PRA II) race signals under the terms and conditions set forth below.

Pursuant to Section XXIX, Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and PRA II, PRA II shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire, WatchandWager, and Bet America to accept wagers on PRA II and other Thoroughbred races during the 2016 PRA II Summer race meeting, August 17, 2016 – September 20, 2016, as follows:

1. **California wagering on PRA II races:**
 - a. TVG, XpressBet, and TwinSpire may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.5% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpire may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.5% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on PRA II races:**
 - a. TVG, XpressBet, and TwinSpire shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 55% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager and Bet America.

Very truly yours,

Nick Coukos, President

cc: Tom Varela, Eric Sindler, Merry Scalzo

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
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DIRECTORS

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BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



May 7, 2016

Mr. Rick Baedeker, Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95828

Dear Mr. Baedeker,

This "Letter of Agreement" serves to confirm the understanding that the NCOTWINC contract dated April 24, 2008, will cover the race dates August 19, 2016 through September 18, 2016 being run by Pacific Racing Association II at Golden Gate Fields.

It is further understood and agreed that all terms and conditions of the aforementioned contract of April 2008, shall be in force and effect during the race meet being run August 19, 2016 through September 18, 2016.

Sincerely,

Bryan Wayte, General Manager NCOTWINC

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

2016 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Lake Forest; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield, and The Mermaid Tavern, Thousand Oaks**

G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported,

2016 Golden Gate Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutual Assoc.	Taunton Acct Wagering
Birmingham		Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Bwin International Ltd.	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Intl Betting Assoc. Ltd	Northfield	Thistledown
Canterbury	Magna Bet		Tioga Downs
Capital District OTB	Racebets		Tri-State GH (Mardi Gras)
Catskills OTB	Greenetrack	Northville	Turf Paradise
Charles Town Race Course	Greyhound @ Post Falls	NYRA	Turfway Park
Chester Downs & Marina LLC	Gulf Greyhound	NYRA Account Wagering	TVG Chester
Churchill Downs	Gulfstream	Oaklawn	TVG Network
Club Hipica InTurf	Harrington Raceway	Ocean Downs	TVG Prairie
Coeur d' Alene Casino	HarringtonBets (DE regional)	Panama	Twin River Greyhound
Colonial Downs	Hawthorne Race Course	PARX	TwinSpires
Colonial Downs Phone Bet	Hawthorne ADW	PARX Phone Bet	TwinSpires High Volume
Columbus Raceway	Hazel Park	Penn National	Velocity Wagering Ltd.
Connecticut OTB	Hoosier Park	Penn National Telebet	Venezuela OTB
	Horseman's Park	Peru	Vernon Downs
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	WatchandWager
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Western OTB
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Wheeling Downs
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Will Rogers Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wyoming OTB
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	XpressBet
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Yonkers Raceway
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers ADW
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Youbet Group 1
Paragon Casino	Lewiston OTB's	Raceway Park	Zia Park
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	123Gaming
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB		
Tote Investment Racing	Skydancer Casino OTB	Remington OTB Network	
Randall James Racetrack	Lien Games ADW	Retama	
Millenium Racing	BetAmerica and Offtrackbetting	Racing & Gaming Services	Separate Pool Locations
Royal Beach Casino	Lone Star	Rillito Park	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Park	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Rockingham Account Wagering	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Ruidoso Downs	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Running Aces Harness Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Saddle Brook Park	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Sam Houston	New Zealand Racing Board
		Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quirte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

state "selected feature and/or stakes races": See 12D above. **Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not Applicable.**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-

of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|-------------------------------|--|
| Association Veterinarian(s) | Sara Sporer, D.V.M (Track Veterinarian)
Forrest Franklin, D.V.M. (CHRB Veterinarian)
Diane Isbell, D.V.M. (Examining Veterinarian) |
| Clerk of Scales | Ken Sjoldal |
| Assistant Clerk of Scales | Ross Allardyce |
| Clerk of the Course | Tina Walker-Bryant |
| Patrol/Placing Judge | Lisa Jones |
| Horse Identifier | Darrell Sparks |
| Horseshoe Inspector | Butch Fyellingness |
| Paddock Judge/Film Specialist | Linda Anderson |
| Patrol Judge/Steward's Aide | Tammy McDuffie-Morris |
| Placing Judge/Patrol Judge | Makenzi Talbot |
| Patrol Judge/Placing Judge | John Leon |
| Starter | Todd Stephens |
| Timer | Jelina Pike |
- B. Management officials in the racing department:
- | | |
|----------------------------|---------------------------------------|
| Director of Racing | Patrick Mackey |
| Racing Secretary | Patrick Mackey |
| Assistant Racing Secretary | Steve Martinelli & Linda Anderson |
| Paymaster of Purses | Claudia Dominguez & Patricia Prospero |

Others (identify by name and title)

Main Track Superintendent	Juan Meza
Turf Track Superintendent	Bob Hemmer
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett
Steward's Secretary/Horsemen Liaison	Marci Knight

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2016**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires: June 2021**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
**Marciano "Rocky" Alado, Public Safety Director is responsible for security on-site
Security Department organizational chart is attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
Marciano "Rocky" Alado cell phone number (510) 499-9364**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**
- | | |
|-------------------------------------|---------------------------------------|
| 1 – Public Safety Director | 5 – Security Corporals/Guards |
| 1 – Security Lieutenant | 7 – Stable Security Officers |
| 3 – Security Sergeants | 5 – Stable Gate Personnel |
| 1 – Stable Security Sergeant | 5 – Fire Guards |
| 1 – Fire Guard Lieutenant | 5 – Contracted Security Guards |
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Not Applicable**

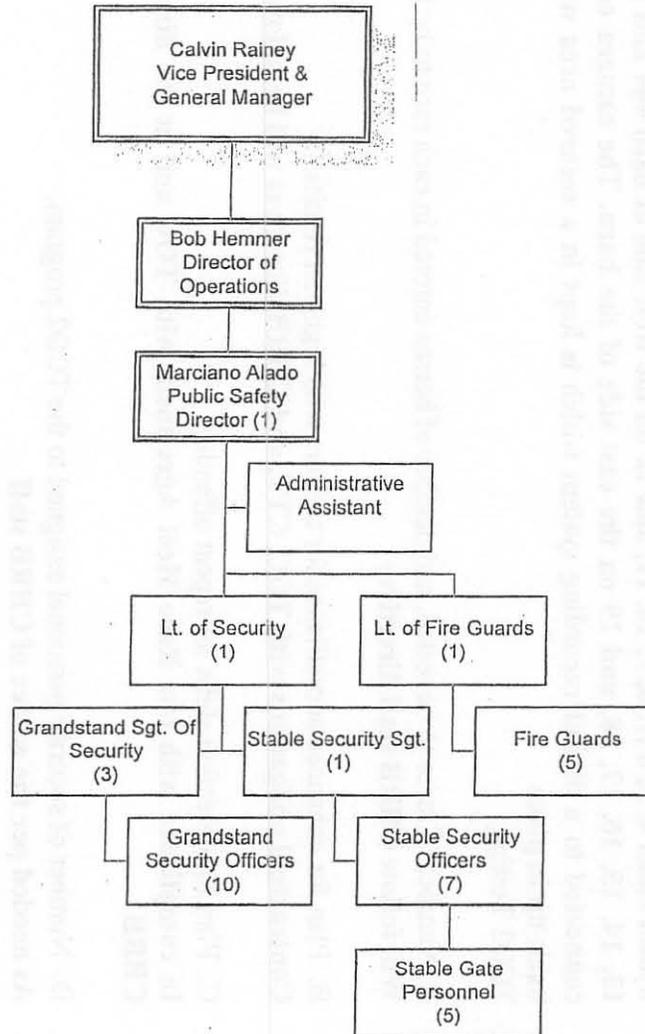
**Attachment to PRA II / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of nine (9) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole
3/8 Pole
1/4 Pole
Paddock
(2) Pan Cameras on Roof
Jib camera in Paddock
Starting Gate (Robo)
Parking Lot (Robo-scenic)

**PACIFIC RACING II ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 15A**



2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:
**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**
1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616
1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 2 EMT's ambulance staff; letter on file with the Board referencing current practices at our auxiliary training facility at Pleasanton
- C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**
Dr. David Seftel
Office Number: 510-559-7375, Cell Number: 650-520-6204
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444
or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st Street, Oakland, CA 94602 (510) 437-4865**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Environmental, Health & Safety
Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Berkeley fire clearance letter attached valid through April 21, 2017
Albany fire clearance letter attached valid through August 23, 2016
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-**

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

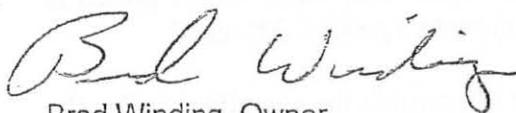
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

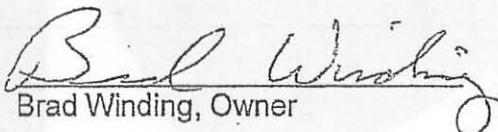
ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC


Brad Winding, Owner



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2014** to **December 16, 2016**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Fred Clardge
EMS Director

Karl Sporer, MD
Alameda County EMS Medical Director

An embossed seal should appear in a grey box



EMERGENCY MEDICAL PROCEDURES -LIVE RACING-

FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS-

PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") *(o tal vez el equipo de la ambulancia presencia el accidente directamente)*;
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany *(también podrá actuar un camión del Departamento de Bomberos)*.



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se deben demorar todos los entrenamientos matutinos hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.

(REV 2.0, OCTUBRE 2011)



Department of Fire and Emergency Services
Division of Fire Prevention

April 21, 2016

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 (main office)
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, April 4, 2016, the City of Berkeley Fire Prevention Division conducted an annual inspection of the horse stables and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Thursday, April 20, 2016. Upon re-inspection of the violations, it was found that there were no outstanding violations or corrections needed.

Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thanks to you and Camillo Lopez for your time, and I look forward to working with you in the future.

Sincerely,

Dori Tieu
Fire Prevention Inspector, City of Berkeley Fire Department

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 East shore Highway
Berkeley, CA 94710

February 23, 2016

Mr. Rosenbeck,

The Albany Fire Department conducted a Fire and Life safety inspection on February 23, 2016. All areas of Golden Gate Fields Race Track within the Jurisdiction of the City of Albany Fire Department have been inspected. During a pre-inspection meeting past corrected items were reviewed and have been acceptably corrected or mitigated. Compliance was confirmed during this inspection.

The Facility is old. However, the buildings and infrastructure are well maintained. Overall the site is in good condition. The inspections of the facility for fire and life safety systems are currently maintained, and operational. Correction Notices are readily complied with and communication between Golden Gate Fields and the Albany Fire Department is excellent.

Fire Clearance is granted to Golden Gate Fields/Pacific Horse Racing Association. This Fire Clearance Will expires August 23, 2016. At which time a new clearance will need to be applied for. Should you have any further need of service, please do not hesitate to contact me.

Sincerely yours,

Charles Rivers
Fire Marshal
City of Albany Fire Department

B7D-170912-015 (policy no.)

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

Workers' Compensation COI attached valid through June 30, 2016; will forward new COI to Board upon issuance

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Tip Sheets	West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510
Armored Car	Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
Track Physician Official Program	Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546 Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706
Starting Gate	Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590
Track Photographer	Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting: **Attached**

B. Promotional/ Marketing budget for this race meeting: **\$140,000**

Promotional/Marketing budget for prior race meeting: **\$60,000**

- C. Number of hosts and hostesses employed for meeting:
1 Customer Service Representative
5 Group Sales Representatives

D. Describe facilities set aside for new fans:



Certificate of Insurance

No.: PACII-2015-336

Dated: June 29, 2015

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-13	Jun 30, 2015 to Jun 30, 2016	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-015	Jun 30, 2015 to Jun 30, 2016	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, GLO9302183-12, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-014, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

120 Bremner Boulevard
 Suite 800
 Toronto, ON M5J 0A8
 Telephone: 416-868-2123
 Fax: -
 stephanie.leite@marsh.com

Marsh Canada Limited

By:

Stephanie Wong

MARKETING

For the 2016 summer meet Golden Gate Fields will continue to focus marketing efforts that will drive all source handle.

The summer marketing initiatives will include:

NEW GOLDEN GATE FIELDS WEBSITE

A newly redesigned goldengatefields.com will focus on serving the needs of three customers: visitors, horseplayers, and horsemen.

Visitors are defined as customers that have either not been to Golden Gate Fields before, or someone that attends the races less than 5 times a year. The website will provide a glimpse into the track with beautiful video and pictures depicting a day at the races. The website will allow this customer base a chance to see the stunning views from Golden Gate Fields before they step foot within the track.

In addition to improving the user experience for visitors, the site will also focus on better serving horseplayers by providing handicapping information on one, all-inclusive page. Since the majority of Golden Gate Fields' wagering revenue comes from off-track entities, the website will help provide horseplayers by providing information that they can use to wager on Golden Gate Fields' races.

Lastly, the horsemen page will provide Golden Gate Fields' horsemen with an all-inclusive page that provides pertinent information for their business, as well as easily accessible links to Thoroughbred aftercare programs.

HD BROADCAST ON TVG

Golden Gate Fields has doubled the number of on-track cameras for its HD simulcast signal in order to provide off-track horseplayers with a better viewing experience. The track has also assured that at least 75% of its races will air on TVG, which greatly enhances Golden Gate's distribution.

ON – AIR TALENT FOR SIMULCAST SIGNAL

Angela Hermann, the first full time female track announcer since 1962, will call the races and provide on-air paddock commentary from her booth during the summer meet. Angela's popularity has helped bolster Golden Gate Fields' social media presence.

EVENTS & PROMOTIONS

Golden Gate Fields will continue to provide the popular Dollar Day Sundays and Senior Fridays every weekend during the summer meet.

HIGHWAY SIGN & TOTEBOARD UPGRADES

The Golden Gate Fields freeway sign and Toteboard have received upgrades with new LED displays. The freeway sign is perched just above two of the busiest freeways in the Bay Area, I-580 and I-80, and is viewed by thousands of vehicles every day.

ADVERTISING CHANNELS

Golden Gate Fields will be featured on radio (KNBR680AM), television (KTSF), print (San Francisco Chronicle), and online (SFGate.com).

2016 SUMMER MARKETING BUDGET

Description	Budget
Advertising*	\$50,000
Creative/Production	\$10,000
Events	\$10,000
Website	\$25,000
HD Broadcast/On-Air Talent	\$45,000
Total Marketing Budget	\$140,000

**Includes television, online, print, and radio. Exact allocations per media category are TBD.*

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Renovated Barn 63 and Barn 53 in their entirety including carpentry, new roofs, etc.
- Replaced old tubes on turf course to allow easier movability
- Bridle path renovation in the barn area
- Continuation of laundry service for the Jockey's Room by GGF when contractors retired
- Added new televisions with upgraded digital signal to the Steward's booth for viewing the races
- Electrical repair work completed in barn area
- Completed the winter/spring maintenance to the Tapeta surface in February and March 2016
- Working with Bay Alarm to update alarm system by including digital monitoring panels in the barn area
- Continual roadway and barn repairs

2. Fans

- Pegasus Communication high definition (HD) conversion on March 17, 2016; on-site and off-site HD broadcast
- Jib camera in Paddock will be used for Winners' Circle, Paddock, crowd, and beauty shots
- Implemented new GGF television graphics initiated on March 17th with the rollout of HD broadcast to improve our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
- Replacement of old highway sign with new LED components for clearer display
- Replaced all Top of the Stretch table top televisions (~150) with flat screen LED TV's
- Replaced all Turf Club table top televisions (~282) with LED TVs
- Supplemented Club House television inventory by adding 12-55" LED TVs
- Adding nine (9) 42" LED televisions to the Club House Season Membership box seat area
- Continuation of the first of its kind, "GGF Trackside" podcast on the GGF website. The weekly podcast focuses on a variety of topics including racing news, race analyses, GGF promotions and special events, interviews, racing history and more.

- Upgraded the Club House by adding 28 flat screen televisions to enhance fan's viewing pleasure
- Added four (4) surveillance cameras in Club House 1 and Club House 2
- Replenished chair inventory by adding 300 chairs in the Club House and Grandstand
- Analyzing new admission policies including current THOROUGHBREDS frequent player program

3. Facilities in the restricted areas **Equine Hospital**

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$10.00 – Bundled price includes free program (previously \$8.00)
Admission (turf club)	\$12.00 Weekdays – Bundled price includes free program (previously \$10.00)
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 5.00
Parking (preferred)	\$10.00
Parking (valet)	\$10.00
Programs (on-track)	\$ 2.50
(off-track)	\$ 2.50

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00 (previously \$6.00)
11-20 Visits	\$4.00	11-20 Visits	\$7.00 (previously \$5.00)
21-30 Visits	\$3.00	21-30 Visits	\$6.00 (previously \$4.00)
31-40 Visits	\$2.00	31-40 Visits	\$5.00 (previously \$3.00)
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00 (previously \$2.00)
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

- C. Describe any "package" plans such as combined parking, admission and program:
Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

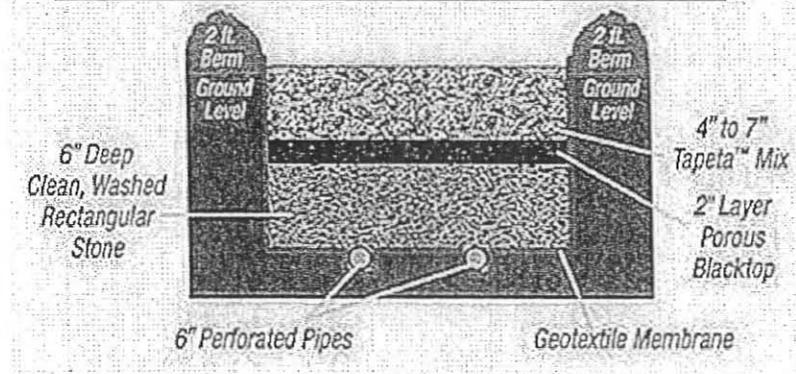
- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **128**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not Applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
On file with the board.
On file with the board.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file with the board.**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joseph Morris
Print Name


Signature

SR. V.P. West Coast Ops.
Print Title

5-18-16
Date

STAFF ANALYSIS

June 16, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR AT FERNDALE AUGUST 17 THROUGH AUGUST 30, 2016

Humboldt County Fair (HCF) filed its application to conduct a horse racing meeting at Ferndale as part of the 2016 combined fair horse racing meeting:

- August 17, 2016 through August 30, 2016, or 6 days, the same as 2015. The fair proposes to race a total of approximately 72 races, the same as 2015. Live racing will commence August 19, 2016 through August 28, 2016. HCF is a participant in the 2016 combined fair horse racing meeting.
- At the April 21, 2016 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to designate the 2016 allocated race dates of the Alameda County Fair (6/15/16 - 7/5/16), Cal-Expo State Fair (7/6/16 - 7/26/16), Humboldt County Fair (8/17/16 - 8/30/16), Los Angeles County Fair (9/7/16 - 9/27/16), CARF at Alameda County Fairgrounds (9/21/16 - 10/4/16), and the Big Fresno Fair (10/5/16 - 10/18/16) as a combined fair horse racing meeting.
- The proposed race dates are the approved dates allocated to HCF which are now part of the 2016 combined fair racing meeting.

August - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing Friday, Saturday and Sunday. Twelve races daily.
- Racing concurrently with the Del Mar Thoroughbred Club.
 - 2015 Race Meeting: Average number of runners per race (TB): 6.03
 - 2015 Race Meeting: Average number of runners per race (Arabian): 6.50
 - 2015 Race Meeting: Average number of runners per race (Mules): 5.83
- First post 3:07 p.m. on Fridays and 2:07 p.m. Saturdays and Sundays.
 - HCF respectfully requests to adjust the post time schedule submitted, to complement the Del Mar Thoroughbred Club post times.

Wagering program will use CHRB and ARCI rules.

- The distribution of the carryover pool will be October 16, 2016 (Closing Day of the Big Fresno Fair), and would include the Gold Rush Pic six, the Gold Strike Pentafecta, and the pick five or four.
 - \$1 Place Pick when applicable; \$1 Trifecta and \$0.10 Superfecta when applicable.
 - \$0.50 Pick (n) Pool 4 on first 4 races and last 4 thoroughbred races each day.
 - \$0.50 Pick (n) Pool 5 on last 5 thoroughbred races each day with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 - \$0.20 Pick (n) Pool 6 on the last 6 thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting 6 winners. If no unique ticket selects 6 winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
 - \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers and 60% of major pool added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race day.
 - Early wagering will not be offered.
- Specific changes from the 2015 license application:
 - Increase in portable stalls from 120 to 200.
 - The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, Bet America, Watch and Wager, and TwinSpires.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Gary Greiner be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to complete this application includes:

1. Fire Clearance

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of the fire clearance.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev.02/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:
Humboldt County Fair, 1250 5th Street, Ferndale, CA 95536 (707)786-9511

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:
Richard Conway, General Manager, 707-786-5515, humcofair@frontiernet.net

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: **August 17 – August 30, 2016**
- B. Actual dates racing will be held: **August 19, 20, 21 & August 26, 27 & 28**
- C. Dates racing will NOT be held: **August 17, 18, 22, 23, 24 & 25 will be simulcast only days.**
- D. Total number of racing days: **6**
- E. Days of the week races will be held:
 Fri - Sun Tues - Sat Other (specify)

3. RACING PROGRAM

- A. Total number of races: **72**
- B. Number of races by breed:

<input type="text" value="48"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="8"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="16"/>	Mules

CHRB CERTIFICATION	
Application received: <i>5/20/16</i>	Hearing date: <i>6/16/16</i>
Reviewed: <i>UH</i>	Approved date:
	License number:

C. Number of races daily:

	Fri, Aug 19 & 26	Sat, Aug 20 & 27	Sun, Aug 21 & 28
Thoroughbred	8	8	8
Other Breeds	4	4	4
Total	12	12	12

D. Total number of stakes races by breed:

<input type="text" value="1"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). N/A

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. N/A

2016	2015	2014
C.J. Hindley Humboldt County Marathon Hdep \$20,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 Furlong Sun, Aug 28	C.J. Hindley Humboldt County Marathon Hdep \$20,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 Furlongs Sun, Aug 23	C.J. Hindley Humboldt County Marathon Hdep \$20,000 Guaranteed Three-year-olds & Upward, 1 Mile & 5 furlongs Sun, Aug 24

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

* As much as possible, we intend to align our post times to compliment the Del Mar post times

<u>Race Number</u>	<u>Fri</u>	<u>Sat & Sun</u>
Race #1	3:07	2:07
Race #2	3:37	2:37
Race #3	4:07	3:07
Race #4	4:37	3:37
Race #5	5:07	4:07
Race #6	5:37	4:37
Race #7	6:07	5:07
Race #8	6:37	5:37
Race #9	7:07	6:07
Race #10	7:37	6:37
Race #11	8:07	7:07
Race #12	8:37	7:37

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors: **Mel Berti, Clarence Bugenig, Al Cooper, Lawrence Dwight, Jeff Farley, Sandy Hanks, Darren Hansen, Jay Hight, Travis Low, Jack Macdonald, Duane Martin, Dave Mogni, Cindy Olsen, Robert Prior, Tim Renner, Johanna Rodoni, Steve Ross and Wayne Wilson.**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Mel Berti, Jeff Farley, Travis Low Jack MacDonald, Cindy Olsen, Dave Mogni and Wayne Wilson**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program: **Richard Conway – General Manager, Sandra Rios – Stall Manager**
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
**Richard Conway
 General Manager
 1250 5th Street
 Ferndale, Ca 95536
 humcofair@frontiernet.net**

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2015	\$6,546,701	35,539	6 days
2014	\$6,131,632	34,136	6 days
2013	\$5,355,525	34,289	8 days
2012	\$7,268,797	41,304	8 days
2011	\$5,512,827	41,905	8 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**A. Purse distribution:**

1. All races other than stakes:
 Current meet estimate: \$361,087
 Prior meet actual: \$361,087

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$60,181
 Prior meet actual: \$60,181

2. Overnight stakes:
 Current meet estimate: \$20,000
 Prior meet actual: \$20,000

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$3,333
 Prior meet actual: \$3,333

3. Non-overnight stakes:
 Current meet estimate: 0
 Prior meet actual: 0

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: 0
 Prior meet actual: 0

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$381,087
 Prior meet actual \$381,087

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$28,329
 Prior meet actual: \$28,329

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 887	\$ 887
TOC \$ 1,775	\$ 1775
NTRA \$ 414	\$ 414
PCQHRA \$ -0-	\$ -0-
CWAR \$ -0-	\$ -0-
ARAC \$ 1,974	\$ 1,974
AMRA \$ 3,907	\$ 3,907
CHBPAPEN \$ 2,664	\$ 2,664
CTHF \$ 2,664	\$ 2,664
Total \$ 14,285	\$ 14,285

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$423,701

Prior meet actual: \$423,701

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$70,617

Prior meet actual: \$70,617

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$317,348

Prior meet actual: \$317,348

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$52,891

Prior meet actual: \$52,891

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$42,676

Prior meet actual: \$42,676

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$7,113

Prior meet actual: \$7,113

G. Bank and account number for the Paymaster of Purses' purse account:

West America Bank (C.A.R.F.) TOC Account No. On file. Emerging Breeds Account No. On file.

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-

track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
250 permanent 200 portables
- B. Minimum number of stalls believed necessary for the meeting:
350-370
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
None
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
458
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
n/a
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **-0-**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
Dr. Connelly - 925-323-9681. No Auxiliary sites are available. Dr. Connelly will be available onsite during training hours, workouts and during racing for the association and no auxiliary sites will be used.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. 24/7

10. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB#1976.9, ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	

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Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None.**

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 16, 2016 (Closing Day of The Big Fresno Fair).**

E. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Amtote (David Calendine) Expiration: September 30, 2020. 443-895-8417

Equipment description on file with Board.



May 27, 2016

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2016 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,



	\$2DD, S.10SF, S.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, S.10SF, S.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, S.10SF, S.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, S.10SF, S.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid.

Respectfully Yours,

Larry A. Swartzlander
Chief Operating Officer

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day
\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

- H. List below the takeout percentage for each type of wager identified in 10B.

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America, Watch & Wager.
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No
- If yes, attach a copy of the approval.
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

Golden Gate Fields, Albany

*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson

Fairplex Park, Pomona
 Fantasy Springs Casino, Indio
 Los Alamitos Race Course, Los Alamitos
 Mermaid Tavern, Thousand Oaks
 OC Tavern & Sports Bar, San Clemente
 Roadhouse Grill, Santa Maria
 Sammy's Restaurant & Bar, Lake Forrest
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville

April 22, 2016

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President

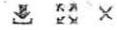
Don Jacklin
American Mule Racing Association

4/27/2016

(6 unread) - sav2276 - Yahoo Mail

Back to Message 2016 ADW Letter for ARAC for ... 1 / 1

<Larry@CALFAIRS.NET>



April 22, 2016

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that the Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch accept wagers from California residents on races conducted at CARF and races conducted at tracks located in California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the Letter Agreement dated April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson

[Type text]



April 22, 2016

Mr. Larry Swartzlander
 Chief Operating Officer
 CARF
 1776 Tribute Road, Suite 205
 Sacramento, CA 95815

RE: 2016 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

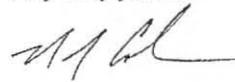
Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, CARF shall be permitted to transmit race signals to California-licensed ADW providers TVG, Xpressbet, TwinSpires, WatchandWager, and Bet America to accept wagers on CARF and other Thoroughbred races during the 2016 CARF race meeting commencing June 15, 2016 and continuing through October 18, 2016, as follows:

1. **California wagering on CARF races:**
 - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
 - a. TVG, XpressBet, and TwinSpires shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, WatchandWager and Bet America.

Very truly yours,



Nick Coukos, President



OFFICERS

MIKE PEGRAM
 CHAIR
 BILL STRAUSS
 VICE CHAIR, SOUTHERN CA.
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
 NICK ALEXANDER
 SECRETARY/TREASURER
 MIKE HARRINGTON
 AT LARGE
 ED MOGER
 AT LARGE

DIRECTORS

ALLEN ALDRICH
 BOB BAFFERT
 MARK DEDOMENICO
 RON ELLIS
 TERRY LOVINGIER
 WILLIAM E. MOREY
 SAMANTHA SIEGEL
 KATHY WALSH
 MICHAEL WELLMAN

EXECUTIVE STAFF

NICK COUKOS
 PRESIDENT
 MARY FORNEY
 DIRECTOR OF OPERATIONS
 RICHARD SCHEIDT
 NO. CA. DIRECTOR OF OPERATIONS
 JENNIFER SAAVEDRA
 BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05, 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM

Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock

Surfside Race Place at Del Mar, Del Mar

Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

<u>2015 IMPORT TRACKS</u>	
ARLINGTON	8/17-8/28
BELMONT	8/17-8/28
ASSINIBOIA	8/17-8/28
AUSTRALIAN RACING	8/17-8/28
BEULAH	8/17-8/28
CALDER RACECOURSE	8/17-8/28
CANTERBURY DOWNS	8/17-8/28
CHARLES TOWN	8/17-8/28
CHURCHILL DOWNS	8/17-8/28
DELAWARE	8/17-8/28
DOWNS AT ALBUQUERQUE	8/17-8/28
ELLIS PARK	8/17-8/28
EMERALD DOWNS	8/17-8/28
EVANGELINE DOWNS	8/17-8/28
FORT ERIE	8/17-8/28
GULFSTREAM	8/17-8/28
HASTINGS PARK	8/17-8/28
HAWTHORNE	8/17-8/28
INDIANA DOWNS	8/17-8/28
KEENLAND	8/17-8/28
KENTUCKY DOWNS	8/17-8/28
LAUREL	8/17-8/28
LONE STAR	8/17-8/28
LOUISIAN DOWNS	8/17-8/28
MONMOTH	8/17-8/28
MOUNTAINEER PARK	8/17-8/28
NYRA-AQUEDUCT	8/17-8/28
NYRA-BELMONT	8/17-8/28
NYRA-SARATOGA	8/17-8/28
PENN NATIONAL	8/17-8/28
PHILADELPHIA PARK (PARX)	8/17-8/28
PIMLICO	8/17-8/28
PRAIRIE MEADOWS	8/17-8/28
PRESQUE ISLE	8/17-8/28
RIVER DOWNS	8/17-8/28
SOUTH AMERICAN RACING	8/17-8/28
SUFFOLK DOWNS	8/17-8/28
SUNLAND PARK	8/17-8/28
SUNRAY PARK	8/17-8/28
TAMPA BAY	8/17-8/28
THISTLEDOWN	8/17-8/28
TURFWAY PARK	8/17-8/28
TURF PARADISE	8/17-8/28
UNITED KINGDOM	8/17-8/28
WOODBINE	8/17-8/28

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

ARF 2016 Meets ommingled Locations

nWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Pa
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADV)
apahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
ima Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
lington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
lantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
okad	Fair Grounds ADW	Maywood	Sol Mutuel
almoral	Fair Meadows	Meadowlands	Southland Greyhound
almoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
angor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
atavia	Freehold	Monmouth Park	Suffolk District OTB
attor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
aulah Park	Global Wagering Solutions	Monticello	Pat's Pizz
rmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
uffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	SunRay Park & Casino
uffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
alder Racecourse	Racebets	New Jersey Casino Assoc.	Taunton Acct Wagering
anterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
apital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
atskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
arles Town Race Course	Gulfstream	Northfield	Tioga Downs
hester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
hurchill Downs	Hawthorne Race Course	Northville	Turf Paradise
lub Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
oeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
olonial Downs	Hoosier Park	Oaklawn	TVG Network
olonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
olumbus Raceway	Indiana Downs	Panama	Twin River Greyhound
onnecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	WatchandWager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	XpressBet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB	Presque Isle Downs	
Tote Investment Racing	Lien Games ADW	Raceway Park	
Randall James Racetrack	BetAmerica		

Millenium Racing	BestFastNow	Racing2Day LLC	
Royal Beach Casino	OnlineRacing.TV	Racing2Day Intl. (Stan James)	
Divi Carina Bay Casino	BetTheRacesOnline.com	Remington Park	
Fair Chance	BigJackpotBetting.com	Remington OTB Network	
Winner's Circle	Hometownbets.com	Retama	Separate Pool Locations
St. Charles Christi Greyhound	RaceplayWin.com	Racing & Gaming Services	Camarero (Puerto Rico)
Delaware	VIPHorsePlayer.com	River Downs	Caymanas (Jamaica)
	TrackInfo.com	Rockingham Park	MIR Books (Caliente)
	Offtrackbetting.com	Rockingham Account Wagering	NDS Books (Nevada)
		Ruidoso Downs	

Canadian Locations

Amniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Gasper Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Western Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 12D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).
 N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s) – **Dr. Robert Connelly, D.V.M.**
 Clerk of Scales – **Kenny Fowler**
 Clerk of the Course – **Myra Truitt**
 Film Specialist – **Myra Truitt**
 Horse Identifier – **Gary Greiner**
 Horseshoe Inspector – **Jackie Payton**
 Paddock Judge – **Gary Greiner**
 Patrol Judges – **Lisa Jones & Myra Truitt**
 Placing Judges – **Stewards**
 Starter – **Bob Mooneyhan**
 Timer – **Melody Truitt**

B. Management officials in the racing department:

Director of Racing – **Richard Conway**
 Racing Secretary – **Tom Doutrich**
 Assistant Racing Secretary – **Lisa Jones**
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
 Mutual Manager – **Doug Gooby**

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **KCW Court Reporters, 1018 2nd Street, Eureka, CA (707) 443-7067**

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA – Bill O'Brien (Expires 12/7/18)

E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communication, Inc. – Jim Porep) Contract Expires: April 30, 2021

Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2021

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See attached organizational chart.**
Pacific Coast Security, Gene Bass, Owner (707)-786-9511
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1-2 Guards in grandstands
2-3 Rovers
3 Licensed gatemen on 8-hour shifts
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
N/A
 2. Detention Stalls:
(The Fair is not running graded stakes)
 - A. Attach a plan for use of graded stakes or overnight races.
N/A
 - B. Number of security guards in the detention stall area during a 24-hour period.
N/A
 - C. Describe number and location of surveillance cameras in detention stall area.
N/A
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
All horses in thoroughbred races where the number is determined by a random algorithm generator.
 - B. Plan for enhanced surveillance for trainers with high-test results.
Trainer with high test results will be moved to the detention area.
 - C. Plan for detention stalls for repeat offenders.
Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance
 - D. Number of security personnel assigned to the TCO2 program.
One (1) 24-hour security guard when detention stalls are occupied.
- C. Describe the electronic security system.
C.A.R.F. surveillance equipment and program that travels between racing Fairs.
 1. Location and number of video surveillance cameras for the detention stall and stable gate.
4 surveillance cameras monitoring this area.

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **City Ambulance of Eureka, 135 7th Street, Eureka, Ca 95501 (707) 445-4907**
1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached.

CHRB-18 (Rev. 2/15)

Security Controls

Richard Conway
General Manager/Director of Racing
Office: 707-786-5515
Cell: 707-497-4400

Lisa Hindley
Business Assistant
Office: 707-786-5512

Kevin Morehead
Maintenance Supervisor
Office: 707-786-5516

Ferndale Police Dept.
Office: 707-786-4025

Gene Bass
Pacific Coast Security
Office: 707-786-9511

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
N/A
1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
N/A
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Physicians rotate on a daily basis from Redwood Memorial Hospital. 707-725-7328
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Redwood Memorial Hospital, 3300 Renner Drive, Fortuna, Ca (707) 725-7328
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Richard Conway, General Manager and Lisa Hindley, Assistant General Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Scheduled for June 1, 2016.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Sent via separate mail.
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
ON file with CRHB.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The

**City
Ambulance of**
Eureka · Fortuna · Garberville
135 West. Seventh St. Eureka, CA 95501 707.445.4907

June 1, 2016

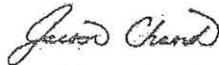
Richard Conway
Humboldt County Fair

Mr. Conway,

City Ambulance of Eureka, Inc. of Eureka, California operates emergency and non-emergency ambulance transportation in Humboldt County. All of our paramedic staff are licensed with the California Emergency Medical Services Authority, in addition to being accredited by the LEMSA (local emergency medical services agency) in the area in which they are practicing. The current status of any paramedic license can be verified by CA EMSA on their web site: www.centralregistry.ca.gov.

Please feel free to contact me if you require further information.

Sincerely,



Jaison Chand, EMT-P, RN, BSN
Chief Operating Officer

12C First aid and Medical Staffing

The Humboldt County Fair provides emergency care which supports comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Two Emergency Medical Technicians from City Ambulance of Eureka staff are located in an on-track ambulance, which is located at a location with ease of access to the track during each day of training and racing. This ambulance and crew are present whenever horses are on the track (during both racing and training hours, and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

Licensed Physicians are on-duty at Redwood Memorial Hospital and are responsible for ongoing care for jockeys, track staff and allied personnel requiring emergency medical care.

The Humboldt County Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communication services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations, as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The fair also has an emergency response cell which responds to all emergencies, both medical as well as non-medical.

12F Medical Protocols and Procedures: English

In case of an accident on the racetrack, the following procedures shall be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

12F Medical Protocols and Procedures: SpanishProcedimiento en caso de Accidente en Humboldt County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victim(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para asegurar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Ena vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista de antes de que la persona a caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locutor debera informar a los jinetes acerca de los detalles de la situacion)como la ubicacion del

caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representatante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro respesentante de la gerencia degera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locator.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quin se debe comunicar en caso de que un jockey sufra un accidente.
5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



CALIFORNIA
FAIR SERVICES
AUTHORITY

February 12, 2016

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. GENERAL LIABILITY PROGRAM

- A. Coverage Limits \$10,000,000 California Fair Services Authority (includes Liquor Liability)
Coverage continuous until cancelled

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2015 to 07/01/2017

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen

Lianne Lewellen
Risk Analyst

health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Food & Non-Alcoholic Beverages

Winner's Circle Photo

Vassar Photography, 5075 Double Point Way, Discovery Bay, CA 94514

Racing Grandstand Sound System

Universal Balance, 2163 Park Avenue, McKinleyville, CA 95519

Armored Car Services

NOTWINC, 11875 Dublin Blvd. Dublin, CA 94568

Portable Stalls

Stall West, Inc. 4149 Burnett Road, Lincoln, Ca 95684. 916-645-5475, fax 916-645-6095.

- B. Does the fair plan to provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:

Ticket give-away already underway, using HCF Facebook; discounted ticket sales, beginning July 1; placement of old Starting Gate in surrounding communities 60 days prior to Fair and near entrance to town during fair; Participation in local parades and events prior to fair; Pre-fair media dinner; golf tournament on dark Wednesday; Horsemen's Fish Fry on dark Tuesday. Appearances on local ESPN radio station; Live remote, local ESPN radio, opening day of racing; Television and radio spots.

- B. Promotional/ Marketing budget for this race meeting:

\$60,000

Promotional/Marketing budget for prior race meeting:

\$60,000

- C. Number of hosts and hostesses employed for meeting: **10**

- D. Describe facilities set aside for new fans:

First come, first served

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen: We are installing new roofs on Arlington barns and tack room renovations will include new floors and ceilings.
2. Fans: We are expanding our Turf Room to increase the capacity and accommodate more of our VIP patrons. Including a patio area adjacent to the paddock, installation of new fencing between the paddock and the VIP area.
3. Facilities in the restricted areas: **N/A**

2016 HUMBOLDT COUNTY FAIR AND RACE MEET
MARKETING PLAN

16A. The Board of Directors and Staff are enthusiastic and ready for the 120th edition of the Humboldt County Fair in Ferndale, home to California's smallest, yet most unique racing venue. Here's a summary of some of the things what we plan to do to meet the challenge:

- RACE DAY SCHEDULE: In order to provide for the greatest success for everyone involved, Humboldt will continue to modify its schedule when live racing will occur. Those modifications include racing on a -Friday-Saturday-Sunday format during both weeks of racing. Dark days will include Thursday August, 18th, along with Monday August, 22 through Thursday August, 25 of the Humboldt County Fair. This change is designed to benefit the myriad of participants from the racing industry, along with the thousands of loyal fans who travel to Ferndale to support A
- ADVERTISING BUDGET: Our 2016 advertising budget is \$60,000.
- Sponsorships: We are continuing to expand our sponsorship program in 2012 \$80,000, 2013 \$160,000, 2014 is \$200,000, 2015 is \$203,000 and our projection for 2016 is \$215,000.
- Fundraiser: We are planning a fundraiser for August 28th where we hope to raise \$50,000
- PROMOTIONS: In addition to reaching out to more businesses to sponsor our racing gate admissions, we will again be joining the list of racing entities that have used Lady's Hat Day at the Races promotion. A huge part of Del Mar's traditional opening day festivities, we will be conducting the promotion on Saturday, August 27, sponsored by the Ferndale Jockey Club. The HCFA will be adding to the 2016 meet a daily losing ticket "Second Chance" drawing for each race held that day.
- POST RACE PROMOTIONS: Unique to Ferndale is the nightly recap of racing activities, with a live broadcast of our local ESPN radio station, KEKA 1340. Owners, trainers, jockeys and fans are among the many guests to appear on the program, which takes place live on Main Street Ferndale, in front of one of the many local bars, taverns and restaurants that embrace horse racing each August. Post-race promotions extend to the local NBC affiliate, New Channel 3 in Eureka, an important outlet which is provided footage for the races each day to broadcast on its 6:00 and 11:00 sports programs.

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Proposed charges, note any changes from previous year:

Admission (general)	\$8.00
Admission (racing)	\$3.00
Reserved seating (general)	
Reserved seating (clubhouse)	
Parking (general)	\$5.00 per day
Parking (preferred)	\$10.00 per day
Parking (valet)	
Programs (on-track)	\$2.50
(off-track)	\$2.50

B. Describe any "Season Boxes" or other special accommodation fees: **\$225 for box seats.**

C. Describe any "package" plans such as combined parking, admission and program: **None.**

19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="18"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

B. Describe the quarters to be used for female jockeys:

**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks.
Jockeys & Jockettes share the scale.**

20. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack:
Six

C. Number of restrooms available on the backstretch of the racetrack: **Six (6)**

D. Estimated ratio of restrooms to the number of backstretch personnel: **10 to 1.**

21. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:
Organic dirt, silt, clay, sand, and Fir bark

- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Outer rail comprised of 3" aluminum railing on top of posts, 40" in height. Fontana inner rail, made of offset galvanized posts, with extended aluminum railing on top of gooseneck posts, 42" in height, with average overhang of 24".
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Horsemen's Agreement on file with CHRB.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Richard Conway
Print Name

General Manager
Print Title

Richard Conway
Signature

May 19, 2016
Date

STAFF ANALYSIS

June 16, 2016

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT 300 RECREATION, LLC DBA SANTA CLARITA LANES IN SANTA CLARITA, CALIFORNIA, FOR A PERIOD OF UP TO FIVE YEARS

300 Recreation, LLC dba Santa Clarita Lanes (SCL) filed an application for a license to operate a minisatellite wagering site in Santa Clarita. The SCL minisatellite application proposes to operate June 16, 2016 through June 16, 2021, for a period of up to five years.

The SCL facility was previously owned by a different entity: BCA/Santa Clarita Lanes, LLC, which was approved by the Board to operate a minisatellite wagering facility May 24, 2012. Since the Board approval for BCA/Santa Clarita Lanes, LLC is not transferable, the new owner, SCL, is seeking Board approval to operate a minisatellite wagering facility.

- The issue of ownership was brought to the Board's attention after Southern California Off Track Wagering, Inc. (SCOTWINC) submitted a letter to SCL dated March 31, 2016 providing that on or about March 1, 2016, the minisatellite location had transferred ownership. Pursuant to Section 30 of the SCOTWINC minisatellite agreement, none of the rights or obligations under the agreement may be assigned to any other person, firm or entity without prior written consent of SCOTWINC, and the approval of the Board. Therefore, SCL must receive Board approval in order to operate a minisatellite wagering facility.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the board.

- SCL has provided there is no racetrack(s), satellite wagering facility or tribal casino that has a satellite wagering facility located within 20 radius miles of the applicant.
- SCL has contracts/agreements with the following racing associations:

- California Authority of Racing Fairs
- Del Mar Thoroughbred Club
- Los Angeles County Fair
- Los Alamitos Quarter Horse Racing Association
- Los Alamitos Racing Association
- Los Angeles Turf Club
- Pacific Racing Association
- Sonoma County Fair
- Watch and Wager.com, LLC

- The SCL facility is a bowling alley located in Santa Clarita, California. The wagering site will be located in the Southern zone.
- Applicant proposes to operate minisatellite wagering site Wednesday through Sunday, plus holidays, during racing hours when wagering is offered by California host tracks.
- Estimated number of pari-mutuel terminal machines available is 21; Seating Capacity is 135-150; the number of tables in the minisatellite wagering area is 25. Two 92 inch projection screens, nineteen 55 inch LCD televisions, six 46 inch LCD televisions one 60 inch LCD television and four 32 inch LCD televisions. Roberts Communication Network will provide the satellite receivers and decoders.
- Staffing: Mutuel Supervisor or clerks to be determined by the host track mutual manager.
- SCL has provided this is a new establishment and there are no prior annual financial statements available. In lieu of annual financials, the financials for the January 2016 through the March 2016 period was provided.

RECOMMENDATION:

Staff recommends approval of the application.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-228 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

PART I
MINISATELLITE WAGERING APPLICANT INFORMATION

APPLICANT INFORMATION		
Name of applicant: 300 Recreation, LLC		
The applicant is:		<input type="checkbox"/> Card Room/Gambling Establishment <input type="checkbox"/> Restaurant/Bar <input checked="" type="checkbox"/> Other Business Entity - Bowling Center
<input type="checkbox"/> Racing Association <input type="checkbox"/> Fair <input type="checkbox"/> Federally Recognized Indian Tribe		
Facility street address: 21615 Soledad Canyon Road		
Mailing address (if different from above):		
E-mail address: scl4usc@aol.com		
City: Santa Clarita	State: CA	Zip Code: 91350
Phone: 661-254-0540	Fax: 661-254-7562	Website: SantaClaritaLanes.com
County: Los Angeles		Zone Location: North <input type="checkbox"/> South <input checked="" type="checkbox"/> Central <input type="checkbox"/>
(To be completed by CHRB staff)		
CONTACT PERSON		
(Authorized Representative)		
Name and title of the contact person:		
Tom Cristi - Owner		
Business street address: 21615 Soledad Canyon Road		
Mailing address (if different from above):		
City: Santa Clarita	State: CA	Zip Code: 91350
County: Los Angeles		
Phone: 661-254-0540	E-mail: scl4usc@aol.com	Fax: 661-254-7562

State of California
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 CHRB-228 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:
 Wednesdays through Sundays, Mondays, and holidays. Beginning once approved through a five year
 contract with SCOTWINC

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section
 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes No

Do you currently operate a licensed gaming operation in California or another state? Yes No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: _____ End Date: _____

Facility name and address: _____

City: _____	State: _____	Zip Code: _____
County: _____		
Zone Location: North <input type="checkbox"/> South <input type="checkbox"/> Central <input type="checkbox"/> (To be completed by CHRB staff)		

Have you had a gaming operation license that has been revoked or suspended. Yes No

If yes, provide the following if different from above:

Facility name and address: _____

City: _____	State: _____	Zip Code: _____
Racing Jurisdiction: _____		

BUSINESS STRUCTURE

<input type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input checked="" type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership & Joint Venture <input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
--	--	---

Registered Business Name: 300 Recreation, LLC

Fictitious Business Name: Santa Clarita Lanes

Address:
 21615 Soledad Canyon Road, Santa Clarita, CA 91350

E-mail Address: scl4usc@aol.com

City: Santa Clarita	State: CA	Zip Code: 91350
Phone: 661-254-0540		Fax: 661-254-7562
State where registered or Articles of Organization are filed: CA		Registry or File number: 201533610301

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
Charles Kinstler		90	
Tom Cristi		10	

Are shares listed for public trade?
 Yes No

If yes on what stock exchange?

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity. Submitted
All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

MANAGEMENT AND STAFF
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
Tom Cristi	VP/GM

ASSOCIATION CONTRACT/AGREEMENT

Name(s) of racing association(s) with whom you intend to have a contract or agreement:
 SCOTWINC

Addresses of racing association(s):
 Santa Anita, Arcadia, CA

Racing association(s) phone numbers:
 626-278-5215

Proposed contract dates: From: June 2016 To: June 2021 (inclusive).

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Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

CHRB CERTIFICATION

Application filed on: 4/13/16

30-day Notice Letter: 4/21/16

Fee received by: LH

Approved on:

Reviewed by: LH

License number issued:

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

TOM CRISTI



Name

Signature of Applicant Representative

OWNER

4-25-16

Title

Date:

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

California Authority of Racing Fairs
1776 Tribute Road, Sacramento, CA 95815

Telephone:

(916) 927-7223

Fax number:

(916) 263-3341

Racetrackname:

Ferndale, Fresno, Pleasanton, Sacramento and Stockton

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Christopher Korby

Signature of association representative



Title

Executive Director

Date:

May 26, 2006

Sontz Clantz

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-228 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd, Del Mar, CA 92014

Telephone: (858) 755-1141

Fax number: (858) 794-1007

Racetrack name: Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Josh Rubinstein

Signature of association representative

Title
COO

Date:

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Angeles County Fair Association
 1101 W. McKinley Avenue, Pomona, CA 91768

Telephone: (929) 865-4203

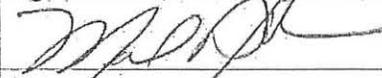
Fax number: (909) 865-2481

Racetrack name: Los Angeles County Fair, racing at Los Alamitos Race Course.

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
 Michael D. Seder

Signature of association representative



Title
 CFO

Date:

5/18/16

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Alamitos Quarter Horse Racing Association
4961 Katella Avenue, Los Alamitos, CA 90720

Telephone: (714) 820-2800

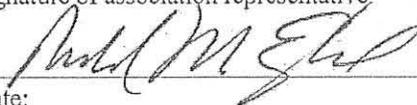
Fax number:

Racetrack name: Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Brad McKinzie

Signature of association representative



Title
General Manager

Date:

5/18/16

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Alamitos Racing Association
 4961 Katella Avenue, Los Alamitos, CA 90720

Telephone: (714) 820-2800

Fax number:

Racetrack name: Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
 Brad McKinzie

Signature of association representative



Title
 General Manager

Date:

5/18/16

State of California
 California Horse Racing Board
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 CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Angeles Turf Club, Inc.
 285 W. Huntington Drive, Arcadia, CA 91007

Telephone: (626) 574-7223

Fax number: (626) 821-1514

Racetrack name: Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
 Joe Morris

Signature of association representative



Title
 Senior Vice President, West Coast Operations

Date:

5-18-16

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
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CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

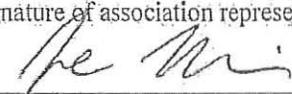
Name and mailing address of association: Pacific Racing Association
 1100 Eastshore Highway, Albany, CA 94706

Telephone: (510) 559-7300

Fax number: (510) 559-7465

Racetrack name: Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Joe Morris	Signature of association representative 
Title Senior Vice President, West Coast Operations	Date: 5-18-16

State of California
 California Horse Racing Board
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PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Sonoma County Fair & Exposition, Inc.
 1350 Bennett Valley Road, Santa Rosa, CA 95404

Telephone:

(707) 545-4200

Fax number:

(707) 573-9342

Racetrack name:

Santa Rosa Racetrack - Sonoma County Fair

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Rebecca Bartling

Signature of association representative



Title

CEO

Date:

5/25/16

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CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

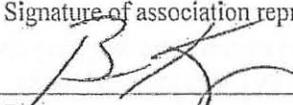
One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Watch and Wager.com, LLC
20 California Street, 7th Floor, San Francisco, CA 94111

Telephone: (916) 800-1395	Fax number: (916) 800-1395
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Racetrack name: California State Fair & Exposition - Cal Expo Harness

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Ben Kenney	Signature of association representative 
Title CFO	Date: 5/18/16

PART III
SIMULCAST ORGANIZATION INFORMATION

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering:

SCOTWINC

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Attached

Submit a copy of each horsemen's written approvals.

Hours for operation of the facility: 9 am to midnight, except Sundays, 8 am to midnight

Hours for operation of the minisatellite wagering site: Wednesday through Sunday, plus Holidays, during hours when wagering is offered by California host tracks.

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): None expected

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s): Del Mar Thoroughbred Club, Los Angeles Turf Club, Los Angeles County Fair, Los Alamitos Racing Association, Los Alamitos Quarter Horse Racing Association, Watch and Wager.com, LLC Pacific Racing Association, California Authority of Racing Fairs, Sonoma County Fair

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": Minisatellite will offer wagering on the same imports from out of state and international tracks as the California host track.

Estimated number of pari-mutuel terminals machines available: 21

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). The host track mutuel manager will determine pari-mutuel teller staffing. At least one security person will be all site, two or more as needed.

II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
Randy Hartzell, Director of Mutuels, representing SCOTWINC	#306778, expires 2/2017



CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

**P.O. Box 254767
Sacramento, CA. 95865
Phone (916) 263-7888
Fax (916) 263-7887
Website: www.chhaonline.com**

**David Neumeister, President
Jim A. Perez, Executive Director**

May 27, 2016

Ms. Laurel Houle
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite #300
Sacramento, CA 95825

RE: Santa Clarita Lanes Minisatellite Facility

Dear Ms. Houle,

The California Harness Horsemen's Association approves the licensing by the California Horse Racing Board of the 300 Recreation, LLC, dba Santa Clarita Lanes, as a minisatellite.

Sincerely,

A handwritten signature in black ink, appearing to read "J. A. Perez", with a long, sweeping flourish extending to the right.

Jim A. Perez
Executive Director

cc: Rick Baedeker, CHRB Executive Director
George Haines, SCOTWINC General Manager



Pacific Coast Quarter Horse Racing Association

May 27, 2016

Ms. Laurel Houle
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite #300
Sacramento, CA 95825

RE: Santa Clarita Lanes Minisatellite Facility

Dear Ms. Houle,

The Pacific Coast Quarter Horse Racing Association approves the licensing by the California Horse Racing Board of 300 Recreation, LLC, dba Santa Clarita Lanes, as a minisatellite.

Sincerely,

A handwritten signature in black ink, appearing to read 'Dominic Alessio'. The signature is fluid and cursive, with the first name 'Dominic' being more prominent and larger than the last name 'Alessio'.

Dominic Alessio
President

xc: Rick Baedeker, CHRB Executive Director
George Haines, SCOTWINC General Manager

May 18, 2016

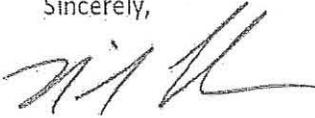
Ms. Laurel Houle
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite #300
Sacramento, CA 95825

RE: Santa Clarita Lanes Minisatellite Facility

Dear Ms. Houle,

The Thoroughbred Owners of California approves the licensing by the California Horse Racing Board of 300 Recreation, LLC, dba Santa Clarita Lanes, as a minisatellite.

Sincerely,



Nick Coukos
President

xc: Rick Baedeker, CHRB Executive Director
George Haines, SCOTWINC General Manager

State of California
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 CHRB-228 (New 11/08)

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):

Attached

Attach a fire clearance from the fire authority having jurisdiction.

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. Tom Cristi, managing partner, (661) 254-0540, is responsible for security controls. The security station will be staffed at all times during wagering hours. Additional security personnel will be added on busy days as needed. The Los Angeles Sherriff Department's Santa Clarita Station is responsible for providing criminal law enforcement services. (661) 255-1121

Is there a backup emergency plan for power failure? Yes No

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. Attached. The wagering area is located on the upper level of the sports bar with additional self-service terminals located at the lower entrance. A security guard will be present at all times at the entry point to 1) restrict entry to the entire upper level to persons 21 years of age and older, and 2) prevent persons under 21 from accessing the selfservice terminals on the lower level.

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): Full breakfast, lunch and dinner menu and full bar services.

The seating capacity in the minisatellite wagering facility is: 135-150

The number of tables in the minisatellite wagering area is: 25

Overall square footage in the minisatellite wagering area is: 2379

Attach a photograph of the minisatellite wagering area. On file

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction: N/A

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles):180

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: N/A Bowling center is busiest at night and on Sunday mornings.

V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: Two 92 inch projection screens, nineteen 55 inch LCD televisions, six 46 inch LCD televisions, one 60 inch LCD television, four 32 inch LCD televisions. RCN decoders, dish network satellite receiver.

WORKERS' COMPENSATION GENERAL CHANGE ENDORSEMENT

Named Insured and Mailing Address

Agency and Mailing Address

300 RECREATION, LLC
21615 SOLEDAD CANYON RD
SANTA CLARITA CA 91350-2660

96-98-34H
ROBERT HERZOG
235 MAIN ST
PLEASANTON
CA 94566

Policy No.: A0945-41-92 00

Policy Effective Date: 01/01/16

Policy Year: 2016

FEIN No.: ON FILE

Change Effective Date: 01/01/16

Endorsements Changed:

- Insured's Name
- Policy Number
- Effective Date
- Expiration Date
- Insured's Mailing Address
- Experience Modification
- Producer's Name
- Change in workplace of Insured
- Insured's Legal Status
- Reinstate without interruption of coverage
- States
- Employer Liability Limits
- Billing Plans
- Endorsement(s)
- *Classification, Rate, Other
- Interim Adjustment of Premium
- Carrier Servicing Office
- Interstate/Intrastate Risk I.D. Number
- Carrier Number

Description of Change

MANDATORY APPLICATION OF THE 1/1/2016 CALIFORNIA ASSESSMENTS AND SURCHARGES AS ISSUED BY THE DEPARTMENT OF INDUSTRIAL RELATIONS AND DIRECTED BY THE CALIFORNIA WORKERS' COMPENSATION INSTITUTE.

*Classification, Rate, Other

Classifications	Code No.	Premium Basis Total Estimated Annual Remuneration	Rate Per \$100 of Remuneration	Estimated Annual Premium
See Classification of Operations Schedule				

Total Estimated Annual Premium \$

Minimum Premium \$

Deposit Premium \$

Consideration for Change, if any:

Date Printed: MARCH 12, 2016

Countersigned by: _____



OFFICIAL INSPECTION REPORT
1320 N. EASTERN AVENUE, LOS ANGELES, CALIFORNIA 90063-3294

JURISDICTION/SHIFT FPS

ADDRESS 21615 SOLEDAD CYN RD. DATE 03-16-2016
BUSINESS NAME SANTA CLAYTA LINES BUS PHONE 661-254-0540
RESPONSIBLE PARTY TOM CRISTI OWNER OCCUPANT EMG PHONE 661-305-2556
TYPE OF OCCUPANCY A4 TYPE OF CONSTRUCTION _____ #BLDG/SQ FT 36,000

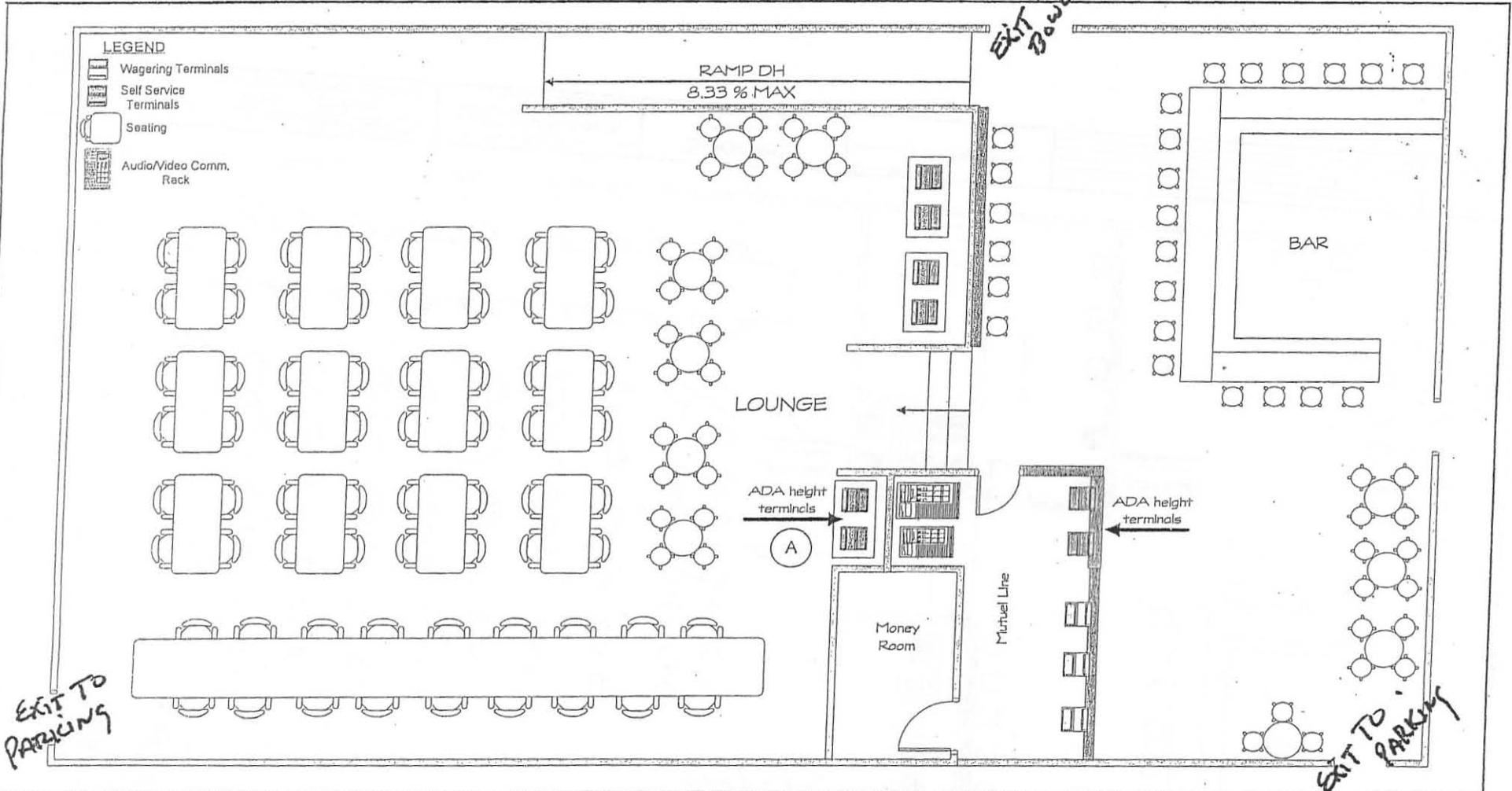
THE ADDRESSED PROPERTY IS IN VIOLATION OF THE LOS ANGELES COUNTY FIRE CODE CALIFORNIA FIRE CODE CALIFORNIA CODE OF REGULATIONS TITLE 19. CHECKED ITEMS MUST BE CORRECTED IMMEDIATELY. A REINSPECTION IS SCHEDULED TO BE CONDUCTED ON THE DATE OF _____ FAILURE TO COMPLY CAN RESULT IN LEGAL ACTION.

- Building Address Numbers/Street Signs:** Buildings shall have approved address numbers, building numbers or approved building identification placed in a position that is plainly legible and visible from the street or road fronting the property. Numbers shall contrast with their background. Numbers shall be a minimum of 4 inches high with a minimum stroke width of 0.5 inch (F.C. 505.1). Private streets and roads shall be provided with an approved weather resistant street sign at each street intersection to clearly indicate the name of the streets (F.C. 505.2).
- Fire Apparatus Access Roads:** An approved fire apparatus access road shall be provided to within 150' of all first floor exterior walls of a building and to all portions of a facility. Fire access roads dimensions and specifications shall be maintained as originally approved and kept clear of obstructions (F.C. 503). Provide fire lane designation as per LACOFD Regulation #15 (F.C. 503.3, LACOFD Regulation #15). Security gates across a fire access road shall have an approved means of emergency operation (F.C. 503.6, LACOFD Regulation #5).
- Limited Access Device:** Except for one and two family unit residential dwellings, when access to or within a structure or area is restricted because of secured openings or where immediate access is necessary for life-saving or fire-fighting purposes the fire code official is authorized to require a key box to be installed in an approved location. The key box shall be of an approved type and shall contain keys to gain necessary access by the fire code official. The operator of the building or area shall immediately notify the fire code official and provide a new key when a lock is re-keyed (F.C. 506, LACOFD Regulation #5).
- Obstructions to Water Supplies and Fire Protection Equipment:** Posts, fences, vehicles, growth, trash, storage or other material or objects shall not be located near fire hydrants, fire department inlet connections, or fire protection system control valves in a manner that would prevent such equipment or fire hydrants from being immediately accessible. A three foot clear space shall be maintained around the circumference of fire hydrants. (F.C. 508.5.4, 508.5.5).
- Means of Egress for Buildings:** Required exit ways shall be continuously maintained free from obstruction or impediments to use in the case of fire or other emergency when the areas served by such exits are occupied. Repair exit hardware. Remove any unapproved device such as locks, latches, chains, bars, or bolts that prevents or hinders the unlatching of any exit door for exiting purposes or would require more than one operation to unlatch or would require the use of a key or special knowledge to operate, unless allowed by the fire code. Remove obstructions from exit access; the exit; and the exit discharge. Test/Repair illuminated exit signs. Test/Repair automatic emergency egress illumination system (F.C. 1005, 1008, 1027, 1028).
- Security Bars on Bedroom Windows/Emergency Escapes:** Emergency escape openings shall be maintained and operational from the inside of the room without the use of keys, tools, or special effort. (F.C. 1026.4, 1028.6).
- Smoke Alarms:** CA SFM approved and listed single and multi-station smoke alarms shall be installed as per F.C. 907.2.10. Smoke alarms shall be inspected, tested, and maintained. (F.C. 907.2.10).
- Fire Protection System Inspection, Testing, and Maintenance:** Fire detection, alarm, and extinguishing systems shall be maintained in an operative condition at all times and replaced or repaired where defective. (F.C. 904.6, 904.1) Records of all system inspections, tests, and maintenance shall be maintained on the premises for a minimum of three years and shall be copied to the fire code official upon request. All testing or maintenance activities must be performed by a properly State licensed company. A SFM approved tag shall be affixed to extinguishing systems by a properly State licensed company as proof of service. (F.C. 907, California Code of Regulation (CCR) Title 19, Chapter 3)
 Water-Based Fire Protection Systems as per CCR Title 19 Chapter 5. Provide CA SFM NFPA 25 AES 1-9 forms for recording all service activities.
 Fixed Fire Extinguishing Systems every six month or after system activation. (F.C. 904.1, CCR Title 19 Chapter 5).
 Fire Alarm Systems as per F.C. 907.20.5 & CA SFM amended NFPA 72. Provide copies of service records as proof of required service.
- Electrical:** Electrical hazards in permanent wiring systems shall be abated and referred to the electrical code official (F.C. 605.1). Doors to electric control panel rooms shall be marked with a plainly visible sign stating "ELECTRICAL ROOM" (F.C. 605.3.1) Multiplex adapters used shall comply with the Electrical Code (F.C. 605.4) Power taps used shall be polarized or grounded, have over-current protection and be UL 1263 listed (F.C. 605.4.1). Extension cords and flexible cords shall not be a substitute for permanent wiring; not attached to structures; not extended through walls, ceilings, floors, under doors, under floor coverings, nor be subjected to environmental or physical damage; and use only with portable appliances. Extension cords shall be plugged directly into an approved receptacle, power tap, or multiplex adaptor and, except for approved multiplex extension cords, shall serve only one portable appliance. Extension cords shall be maintained in good condition (F.C. 605.5). Open junction boxes and open wiring splices shall be prohibited. Approved covers shall be provided on all switch and electrical outlet boxes (F.C. 605.6).
- Storage:** Storage of combustible materials in buildings shall be orderly. Storage shall be separated from heaters or heating devices by distance or shielding so that ignition can not occur (F.C. 315.2). Outside storage of combustible material shall comply with height and property line separations. (F.C. 315.3).
- Portable Fire Extinguishers:** Portable fire extinguishers shall be provided in new and existing Group A, B, E, H, I, M, R-1, R-2, R-4, and S occupancies; within 30' of commercial cooking equipment; in areas where flammable or combustible liquids are stored, used, or dispensed; on each floor of structures under construction (except R-3); in special hazard areas; in large and small family daycare homes; and areas listed in Fire Code Table 906.1. Provide _____ U.L. listed Class/Rated fire extinguisher(s) to be located _____ as per Fire Code Table 906.3(1) & (2) (F.C. 906). Portable fire extinguishers must be serviced annually or after use by a properly State licensed company (F.C. 906.2, CCR Title 19 Chapter 3).
- Permits:** Prior to conducting regulated operations or establishing regulated conditions, a permit issued by the fire department is required for _____ Issued permits shall be kept on the premise at all times and readily available for inspection. Correct condition(s) in violation of existing permit requirements and limitations (F.C. 105 App. Ch 1).

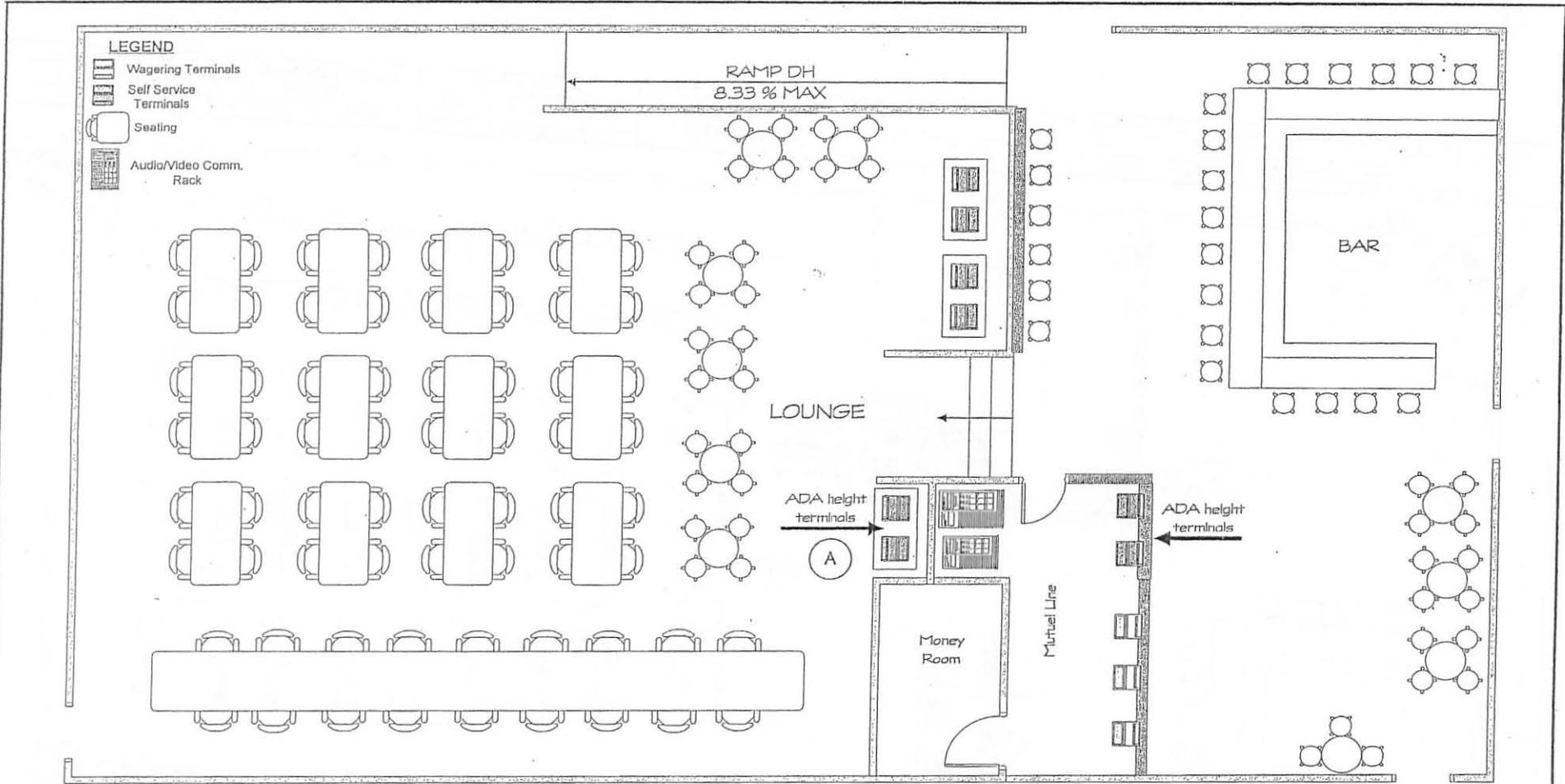
Other: NO VIOLATION FOUND AT TIME OF INSPECTION.

It is improper and illegal for any County officer, employee or inspector to solicit bribes, gifts or gratuities in connection with performing their official duties. Improper solicitations include requests for anything of value such as cash, disbursements, and free services, paid travel or entertainment, or tangible items such as food or beverages. Any attempt by a County employee to solicit bribes, gifts or gratuities for any reason should be reported immediately to either the County manager responsible for supervising the employee or the Fraud Hotline at (800) 644-6061 or www.lacountyfraud.org. YOU MAY REMAIN ANONYMOUS.

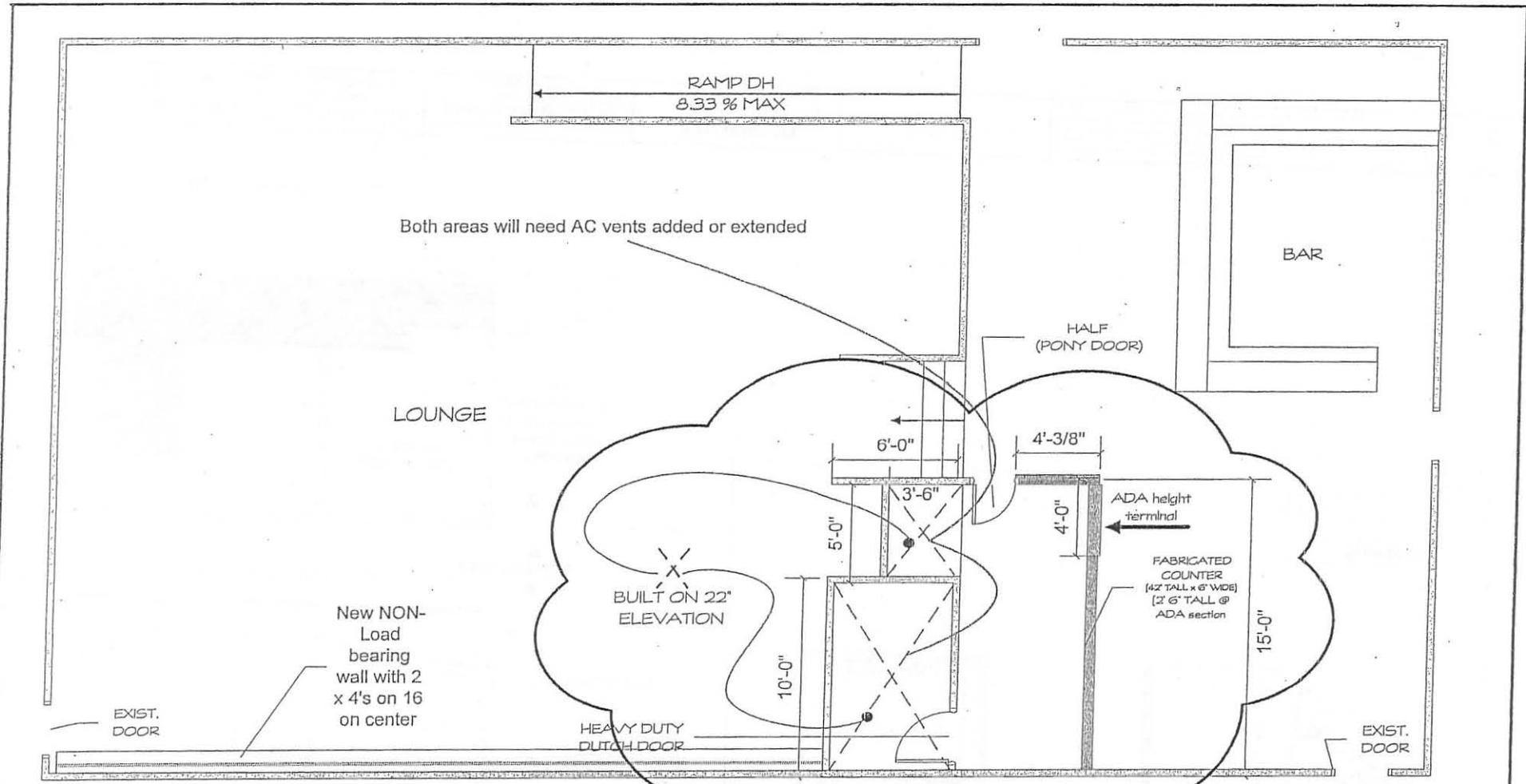
FOR INFORMATION CALL: (661) 286-8821 INSPECTOR'S SIGNATURE [Signature]
INSPECTOR (PRINT NAME) JOSEPH CRISTO



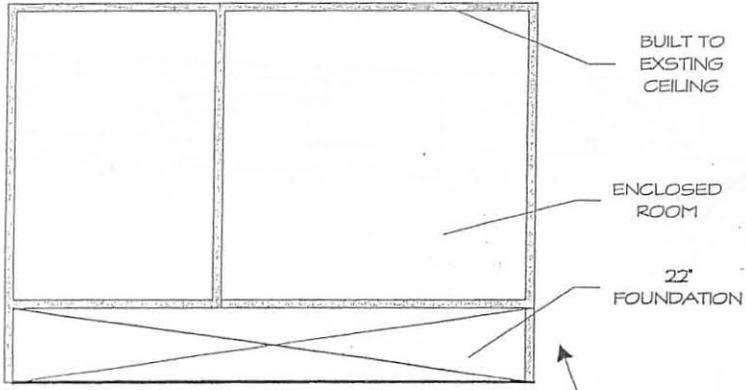
NO. 002	A	S. CLARITA LANES - CONCEPT_1	PROJECT	Sportech	Drawing by:	Lance Ku	Sheet:
DATE	Feb 4 2012	PARTIAL FLOOR PLAN	Santa Clarita Lanes	21815 W. Soledad Canyon Road	4961 Katella Ave.		1 of 9
SCALE	3/16" = 1'-0"	ADDED HORSE RACING SECTION	Saugus, CA 91350	Los Alamitos, CA 90270			



Revisions:	A	S. CLARITA LANES - CONCEPT_1	PROJECT	Sportech	Drawing by:	Lance Ku	Sheet:
Date:	Feb 4 2012	PARTIAL FLOOR PLAN	Santa Clarita Lanes	21615 W. Soledad Canyon Road			1 of 9
Scale:	3/16" = 1'-0"	ADDED HORSE RACING SECTION	Saugus, CA 91350	4961 Kalella Ave.			
				Los Alamitos, CA 90270			

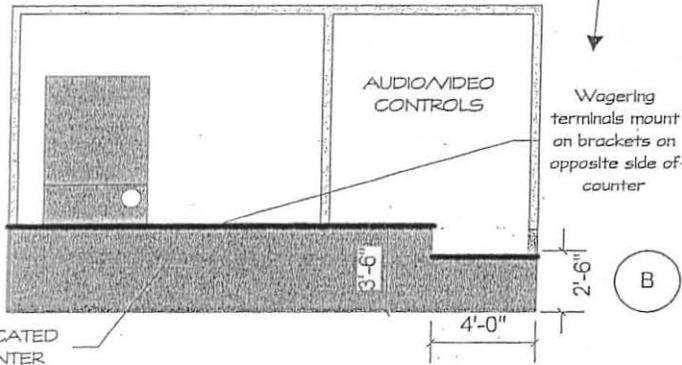


Revision: A	S. CLARITA LANES - PLANS	PROJECT	Sportech	Drawing by:		Sheet:
Date: Feb 4 2012	PARTIAL FLOOR PLAN	Santa Clarita Lanes	4961 Kalella Ave.	Lance Ku		2 of 9
Scale: 3/16" = 1'-0"	ADDED HORSE RACING SECTION	21615 W. Soledad Canyon Road	Los Alamitos, CA 90270			
		Saugus, CA 91350				

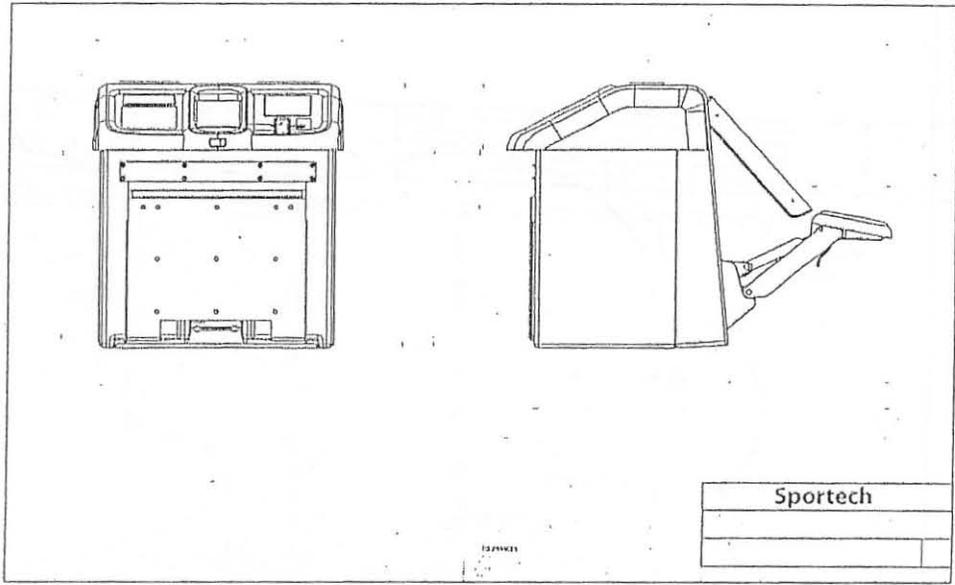


Rear View (from Lounge)

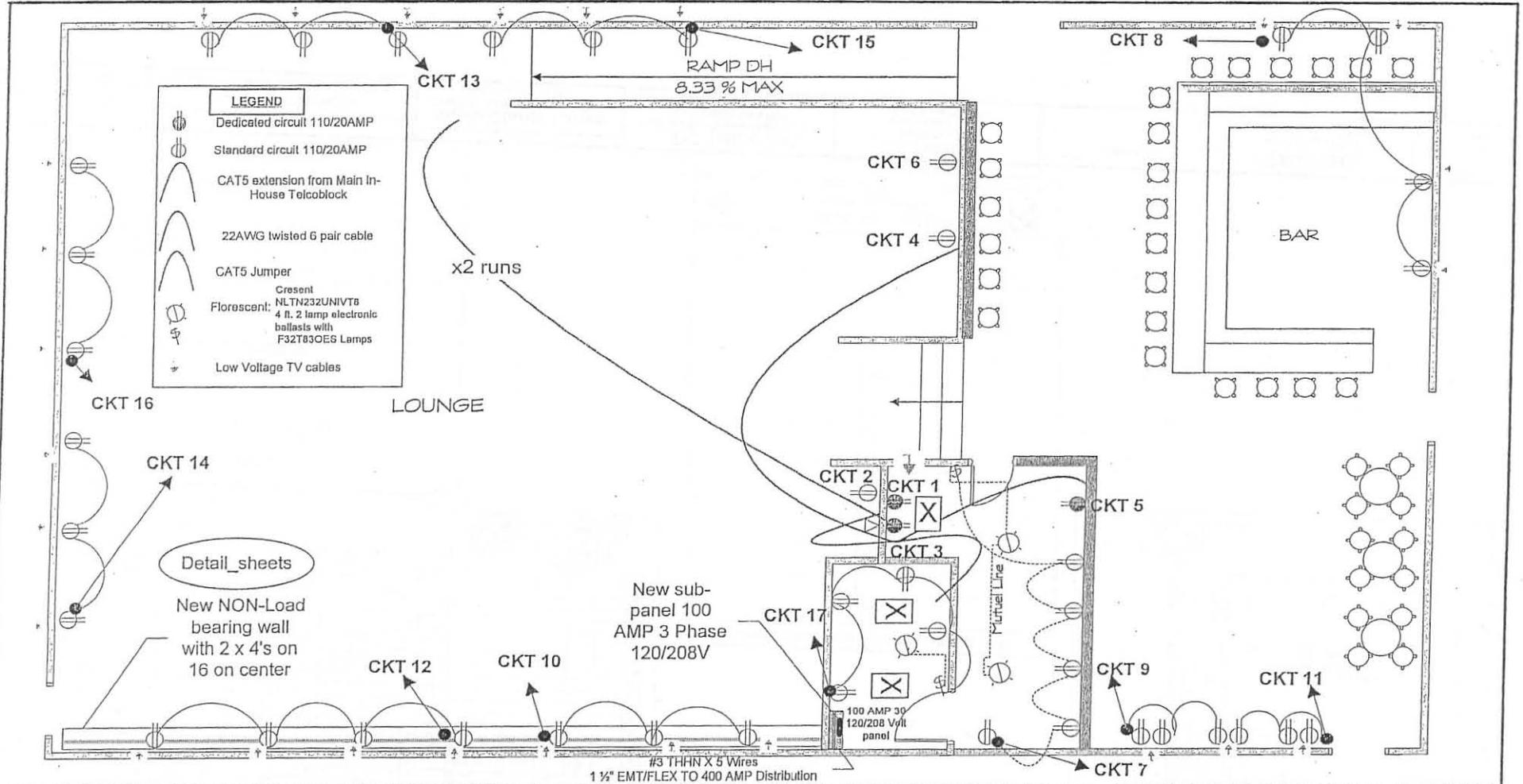
CBC 1122B.4



Front View (from Bar area)



Revision:	C	S. CLARITA LANES - ELEVATIONS	PROJECT	Sportech	Drawing by:	Lance Ku	Sheet:
Date:	Apr 30 2012	PARTIAL FLOOR PLAN	Santa Clarita Lanes	4961 Katella Ave.			3 of 9
Scale:	3/16" = 1'-0"	ADDED HORSE RACING SECTION	21615 W. Soledad Canyon Road	Los Alamitos, CA 90270			
			Saugus, CA 91350				



Revision: C	Electrical	PROJECT	DC Telec Inc.	DC Telec Inc.	Drawing by: Lance Ku	Sportech	Sheet: 4 of 9
Date: Apr 27 2012	PARTIAL FLOOR PLAN	Santa Clarita Lanes	18565 Soledad Canyon Road Suite 281	David Cutchall		4961 Katella Ave.	
Scale: 3/16" = 1'-0"	ADDED HORSE RACING SECTION	21615 W. Soledad Canyon Road	Santa Clarita, CA 91351	Electrical Lic.# 788089		Los Alamitos, CA 90270	
		Saugus, CA 91350	Phone (601) 259-1408 Fax (601) 259-1809	BICSI Regis.# 05778			

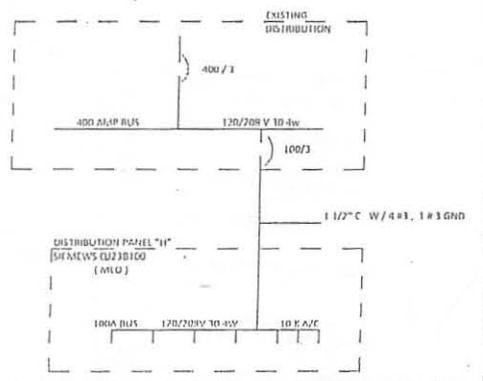
PANEL SCHEDULE

PANEL: P-11		VOLTAGE: 120/208		PHASE: 1 PHASE 3 PHASE		NO. OF WIRES: 4		AMPERS: 100	
PANEL LOCATION:			MOUNTING:			AIC RATING: 10K			
MAIN BREAKER:			FUSES:			LOAD: KVA			
MOUNTING:			MLO: 100 AMP			LOAD: KVA			
MOUNTING:			MOUNTING:			MOUNTING:			
LINE	VA LOAD	LINE A	LINE B	LINE C	LOAD DESCRIPTION	VA LOAD	LINE A	LINE B	LINE C
1	100				100VA LOAD	100			
2	100				100VA LOAD	100			
3	100				100VA LOAD	100			
4	500				500VA LOAD	500			
5	500				500VA LOAD	500			
6	500				500VA LOAD	500			
7	500				500VA LOAD	500			
8	500				500VA LOAD	500			
9	500				500VA LOAD	500			
10	500				500VA LOAD	500			
11	500				500VA LOAD	500			
12	500				500VA LOAD	500			
13	500				500VA LOAD	500			
14	500				500VA LOAD	500			
15	500				500VA LOAD	500			
16	500				500VA LOAD	500			
17	500				500VA LOAD	500			
18	500				500VA LOAD	500			
19	500				500VA LOAD	500			
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21	500				500VA LOAD	500			
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31	500				500VA LOAD	500			
32	500				500VA LOAD	500			
33	500				500VA LOAD	500			
34	500				500VA LOAD	500			
35	500				500VA LOAD	500			
36	500				500VA LOAD	500			
37	500				500VA LOAD	500			
38	500				500VA LOAD	500			
39	500				500VA LOAD	500			
40	500				500VA LOAD	500			
41	500				500VA LOAD	500			
SUB TOTALS						1440	1260	900	

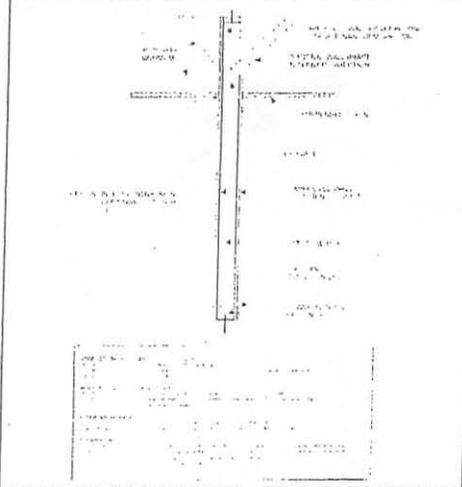
NOTES:
 MWBC = MULTIWIRE BRANCH CIRCUIT
 TOTAL LOAD (VA) = 7214.5

LINE TOTALS:	2260	2520	2394
LCL ADDER:	27	0	13.5
TOTAL VA/O:	2287	2520	2407.5
LINE AMPS:	19.1	21.0	20.1

SINGLE LINE DIAGRAM

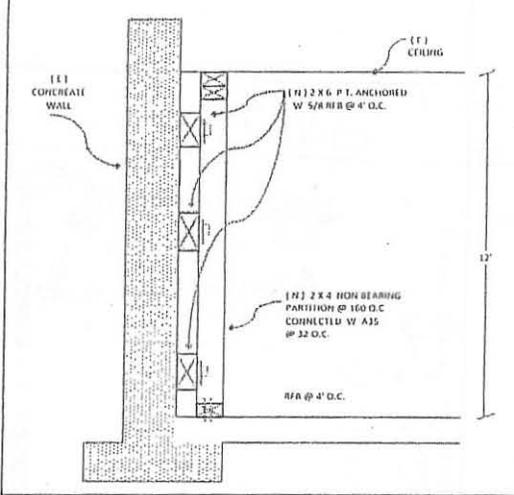


TYPICAL NON-RATED WALL PARTITION DETAIL

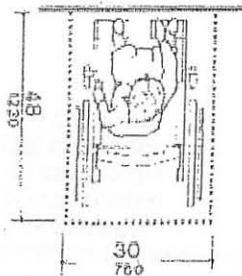
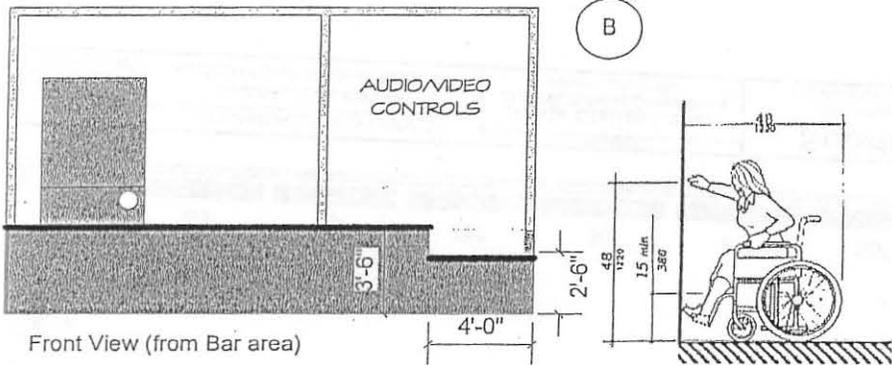


CITY OF SANTA CLARITA
 BUILDING & SAFETY DIVISION
 2700 SOLEDAD CANYON ROAD, SUITE 201
 SANTA CLARITA, CA 91351
 PHONE (661) 259-1408 FAX (661) 259-1809

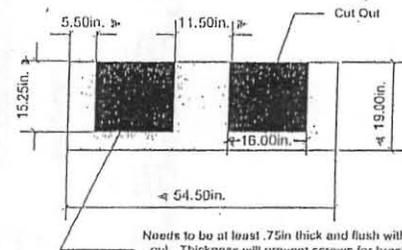
CROSS SECTION



Revision: A	Detail sheets	PROJECT	DC Telec Inc.	DC Telec Inc.	Drawing by: Lance Ku	Sportech	Sheet: 5 of 9
Date: Apr 27 2012	PARTIAL FLOOR PLAN ADDED HORSE RACING SECTION	Santa Clarita Lanes 21615 W. Soledad Canyon Road Saugus, CA 91350	18565 Soledad Canyon Road Suite 281 Santa Clarita, CA 91351 Phone (661) 259-1408 Fax (661) 259-1809	David Cutchall Electrical Lic.# 788089 BICSI Regls.# 05778		4961 Katella Ave. Los Alamitos, CA 90270	

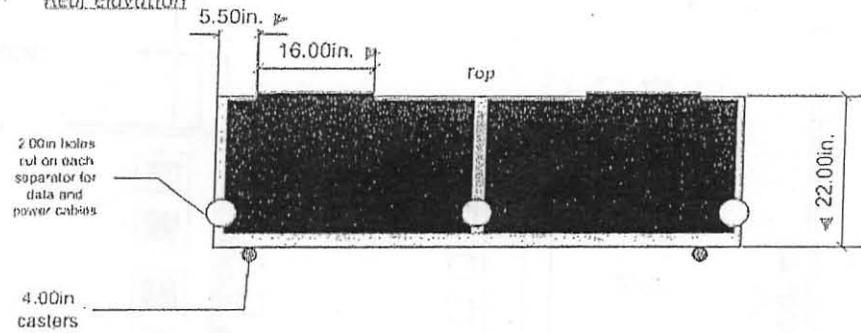


Terminals on portable carts (ADA Height)

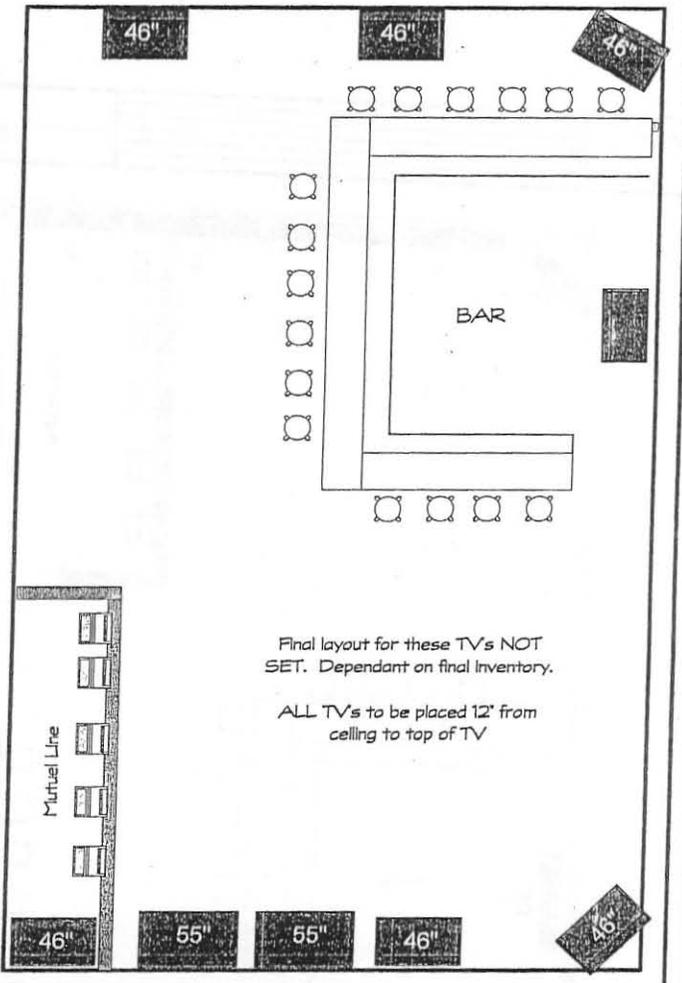
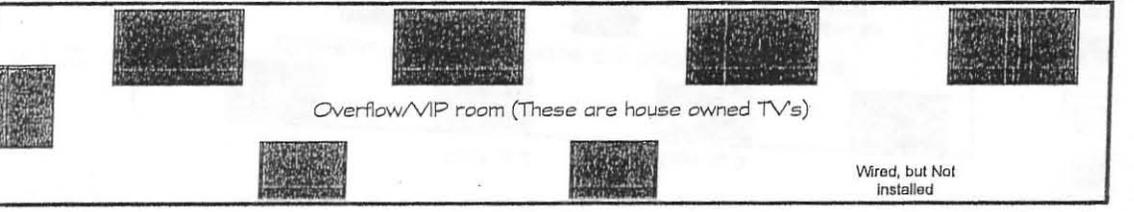
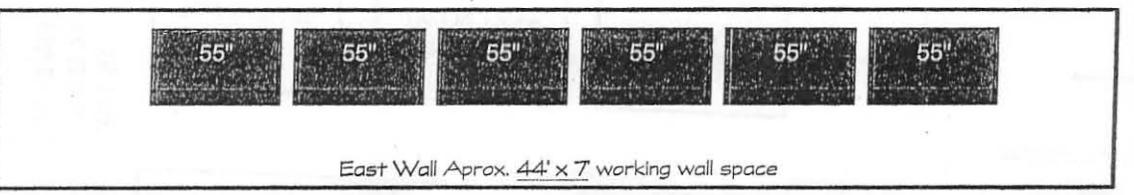
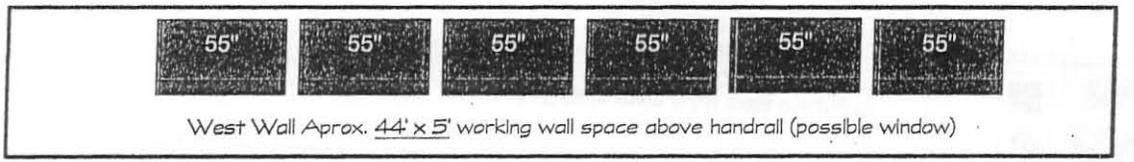
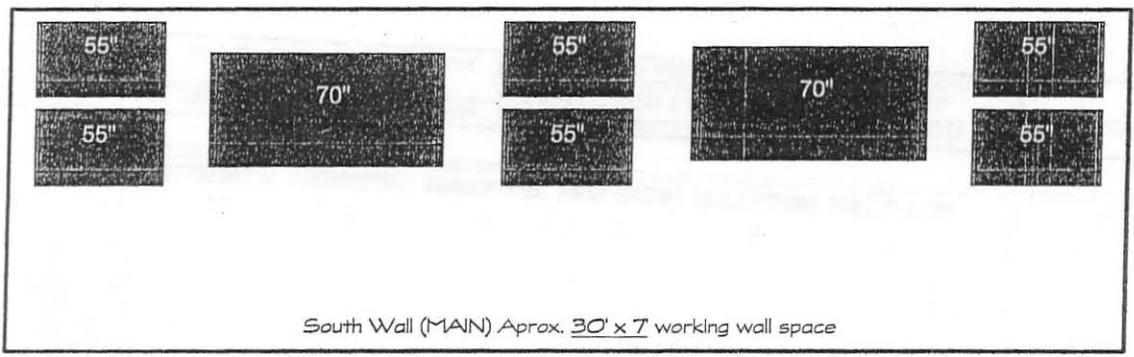


Needs to be at least .75in thick and flush with cut out. Thickness will prevent screws for bracket from puncturing through front

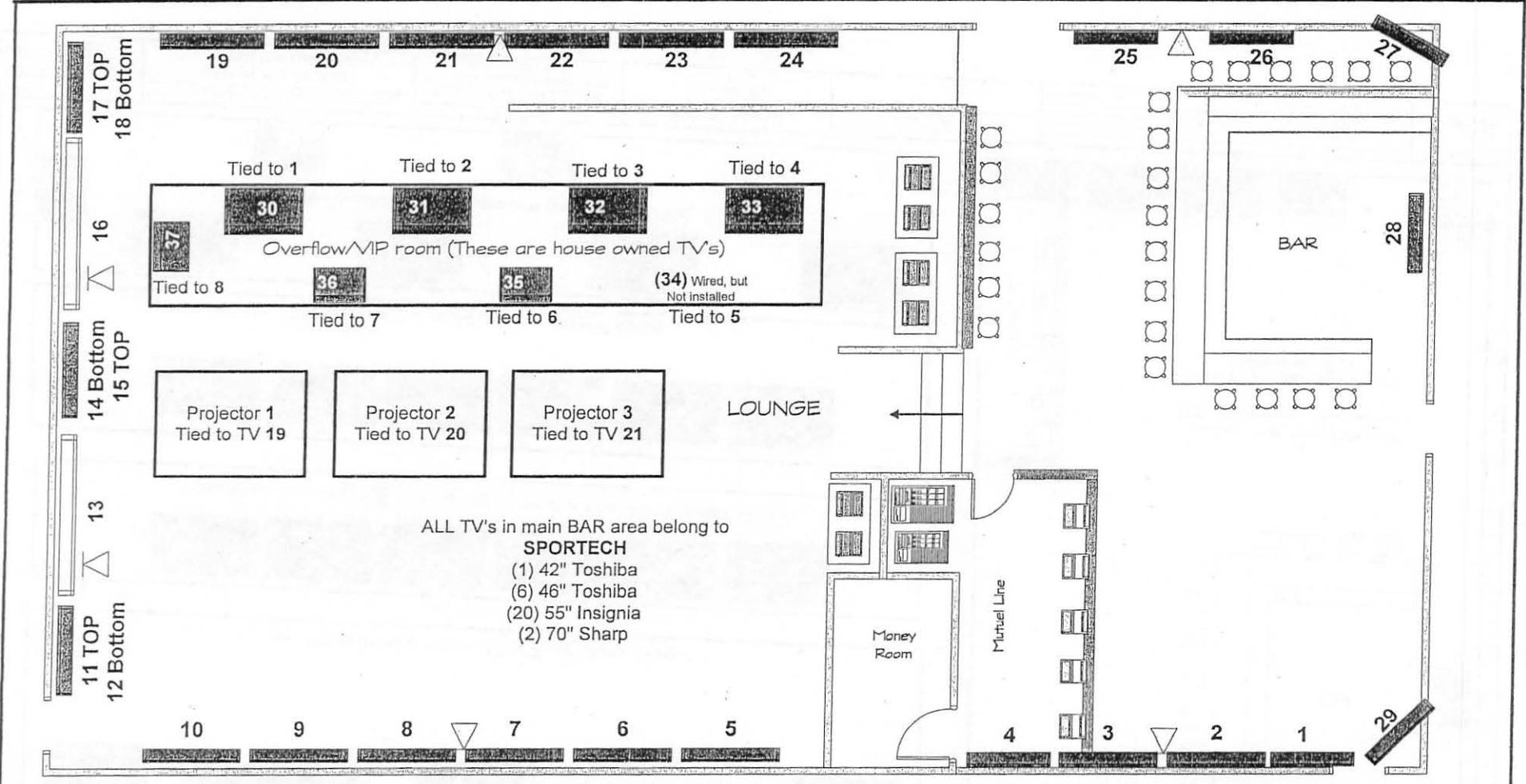
Rear elevation



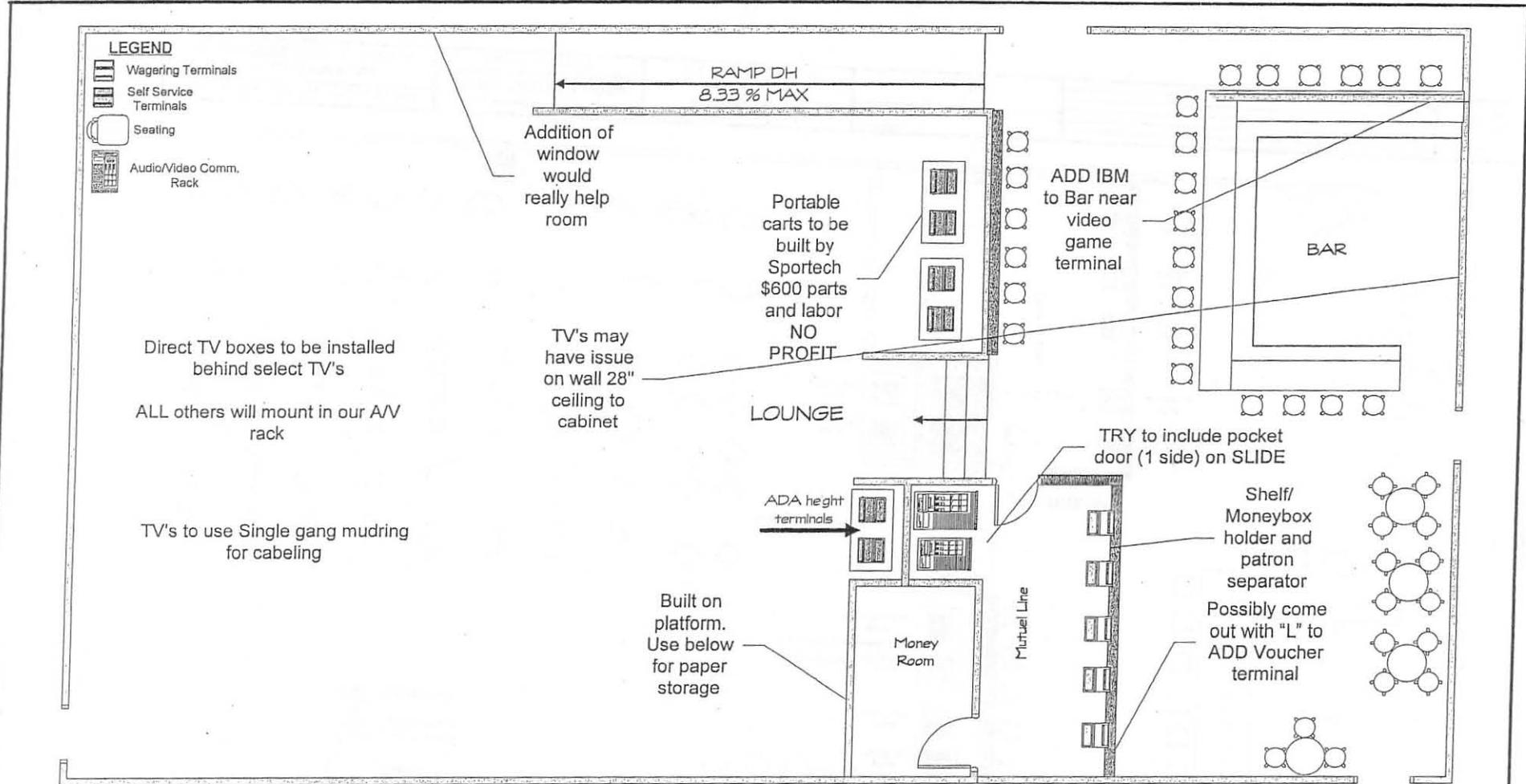
Revision: A	S. CLARITA LANES - ADA Info	PROJECT	Sportech	Drawing by: Lance Ku	Sheet: 6 of 9
Date: Apr 30 2012	PARTIAL FLOOR PLAN	Santa Clarita Lanes	4961 Katella Ave.		
Scale: 3/16" = 1'-0"	ADDED HORSE RACING SECTION	21615 W. Soledad Canyon Road	Los Alamitos, CA 90270		
		Saugus, CA 91350			



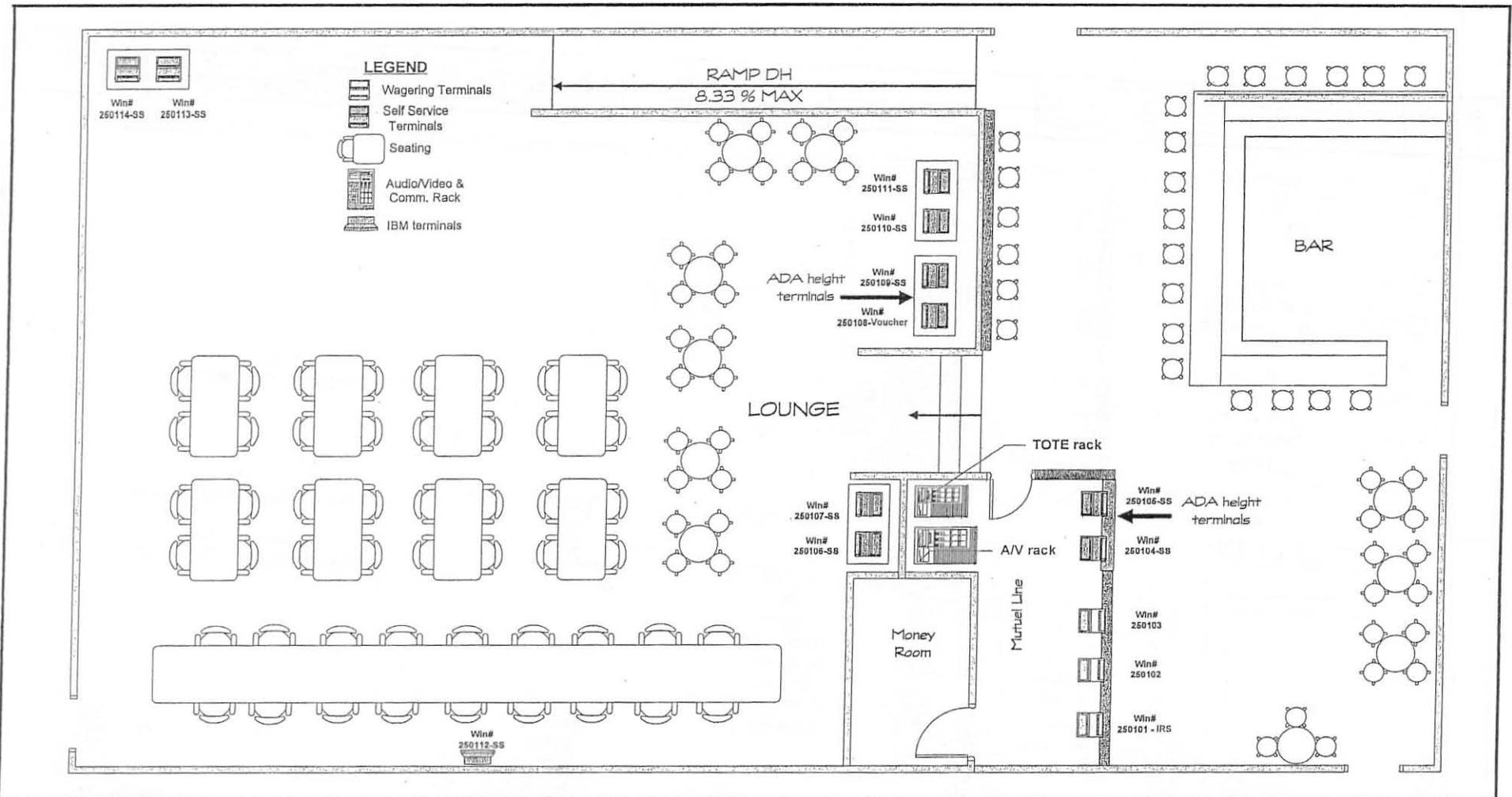
Revision: C	S. CLARITA LANES - AV ELEV.	PROJECT	Sportech	Drawing by: Lance Ku	Sheet: 8 of 9
Date: May 26 2012	PARTIAL FLOOR PLAN ADDED HORSE RACING SECTION	Santa Clarita Lanes 21615 W. Soledad Canyon Road Saugus, CA 91350	4961 Katolla Ave. Los Alamitos, CA 90270		
Scale: NONE					



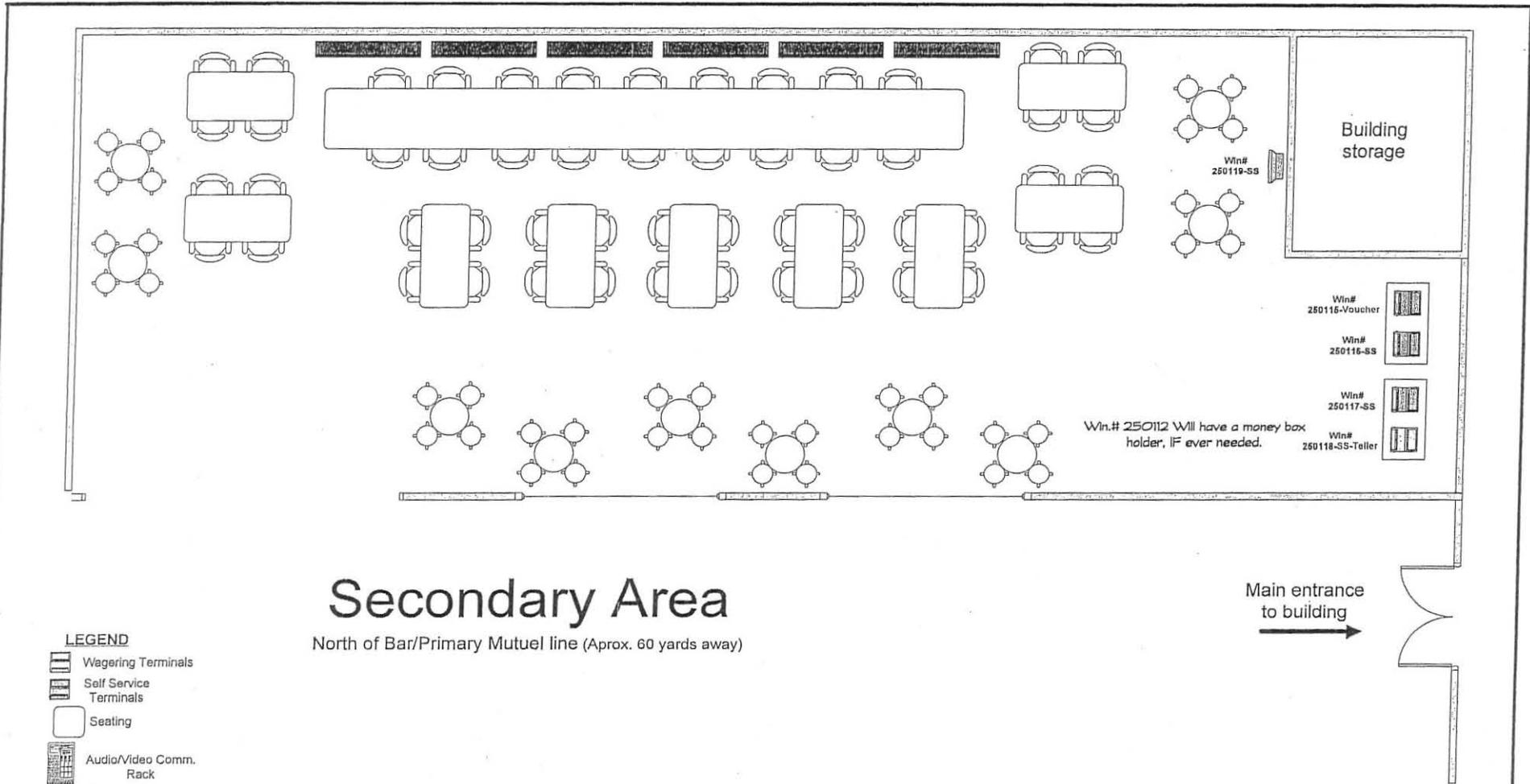
Revision: B	S. CLARITA LANES - AV Spotlist	PROJECT	Sportech 4961 Katella Ave. Los Alamitos, CA 90270	Drawing by: Lance Ku	Sheet: 7 of 9
Date: July 3 2012	PARTIAL FLOOR PLAN ADDED HORSE RACING SECTION	Santa Clarita Lanes 21615 W. Soledad Canyon Road Saugus, CA 91350			



Revision: A	S. CLARITA LANES - CONCEPT_1	PROJECT	Sportech	Drawing by: Lance Ku	Sheet: 9 of 9
Date: Feb 4 2012	PARTIAL FLOOR PLAN ADDED HORSE RACING SECTION	Santa Clarita Lanes 21615 W. Soledad Canyon Road Saugus, CA 91350	4961 Katella Ave. Los Alamitos, CA 90270		
Scale: 3/16" = 1'-0"					



Revision:	A	S. CLARITA LANES - MUTUELS-1	PROJECT	Sportech	Drawing by:	Lance Ku	Sheet:	1 of 2
Date:	June 12 2012	PARTIAL FLOOR PLAN	Santa Clarita Lanes	21615 W. Soledad Canyon Road	4961 Katella Ave.			
Scale:	3/16" = 1'-0"	ADDED HORSE RACING SECTION	Saugus, CA 91350	Los Alamitos, CA 90270				

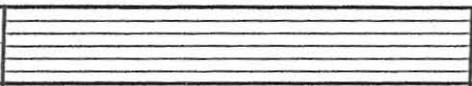


Secondary Area

North of Bar/Primary Mutuel line (Aprox. 60 yards away)

LEGEND

-  Wagering Terminals
-  Self Service Terminals
-  Seating
-  Audio/Video Comm. Rack

Revision: A	S. CLARITA LANES - MUTUELS-2	PROJECT	Sportech 4961 Katella Ave. Los Alamitos, CA 90270	Drawing by: Lance Ku		Sheet:
Date: June 12 2012	PARTIAL FLOOR PLAN ADDED HORSE RACING SECTION	Santa Clarita Lanes 21615 W. Soledad Canyon Road Saugus, CA 91350				2 of 2

COMBOS

All Combo's served with French Fries or Salad or Fruit Cup and Small Drink

Chicken Tenders / Strips (3)	7.75
Fish Fillet	8.85
1/4 Hamburger	7.50
1/4 Hot Dog	5.90
Grilled Cheese	5.90
Kids Meals	5.65
Shrimp Basket	8.85

BEVERAGES

Coffee	2.25
Coffee - flavored	2.50
Cappuccino	2.80
Hot Tea	2.50
Hot Chocolate	2.50
Milk	2.25
Chocolate Milk	2.75
Small Soft Drink / Ice Tea	2.40
Med Soft Drink / Ice Tea	2.65
Large Soft Drink / Ice Tea	2.90
Orange Juice / Apple Juice	2.75

Refills

Coffee	0.75
Sodas / Ice Tea	0.75/1.00

DESSERTS & COFFEE SPECIALTIES

Danish / Muffins	2.40
Mini Loaf Cake	2.15
Churros	2.15
Cold Cereal	2.75
Oatmeal	2.50
Funnel Fries	5.75

Direct TV Programming

We have
Major League Baseball Package
National Football League Package
Soccer Package
LIVE OTB HORSE RACING

League Special

Large Pizza (one topping)
with Pitcher of Beer or Soda
\$26.00

Family Package

Up to Five People
Bowl for 2 Hours
Large 1 Topping Pizza
Pitcher of Soda
Rental Shoes Included
3 pm - 6 pm M-F \$70.00
After 6 pm M-F & Weekends \$75.00
When Lanes are Available

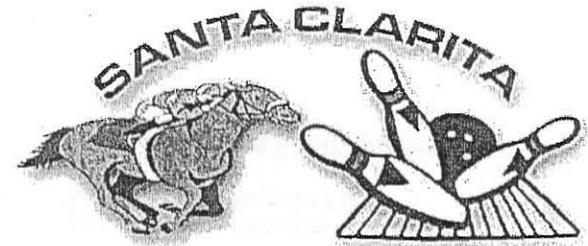
Sunday Morning SPECIALS

8:00 am - 11:30 am

\$1.50 per game per
person practice
4 game limit
shoe rental not included

Breakfast

\$7.00
2 Eggs, Bacon or Sausage
Hash Browns & Toast



21615 W. Soledad Cyn Rd
Saugus, CA 91350
(661) 254-0540
www.santaclaritalanes.com

BREAKFAST- Served Daily

Two Egg any style	5.40
<i>(above served with Toast & Hash Browns)</i>	
...With Bacon or Sausage	7.00
French Toast	4.90
Egg & Cheese Sandwich	4.65
Breakfast Sandwich with meat	5.70
Breakfast Combo	5.25
<i>(egg sand, hash browns. OJ)</i>	
Combo with meat	6.25
<i>(bacon or sausage)</i>	
Breakfast Burrito - egg, potato & cheese	3.90
... with bacon or sausage	6.50
Pancakes - short stack (Sundays only)	4.00

SIDE ORDERS

Toast / English Muffin	2.50
Bagel	2.70
Sausage (4), Bacon (4), or Ham	4.20
Hash Browns	3.10
1 Egg	2.00
Nachos	3.50
Tater Tots	3.35
Cup of Ranch	0.70
Chili Bread Bowl	6.35
Fruit Cup	1.60
Soup	3.35
Chili	3.35
Pretzel - Regular	3.80

COLD SANDWICHES*

Club <i>(served with French Fries)</i>	7.95
Tuna or Chicken Salad	7.20
BLT	5.35
Egg Salad	6.10
Turkey	6.70
Ham	6.70
* Panini bread upgrade	1.00

HOT SANDWICHES*

Hamburger	5.75
Cheeseburger	6.00
Bacon Cheeseburger	7.00
1/4 lb. Hot Dog	3.45
Small Hot Dog	2.90
Corn Dog	3.25
Patty Melt**	6.75
Grilled Cheese	4.15
Grilled Bacon & Cheese**	6.25
Quesadilla - cheese	2.90
Quesadilla - w/chicken	4.50
Ham or Turkey Melt**	7.25
Tuna Melt**	7.25
Chicken Wrap	5.50
Grilled Chicken Sandwich**	6.50
Chicken Cordon Bleu**	7.25
Hot Subs (Meatball, Ham)	7.00
Pastrami Sandwich with Fries	8.45
Steak Sandwich with Fries	9.25
Philly Cheese Sand with Fries	7.95
* Panini bread upgrade	1.00
** Add Fries	2.00

APPETIZERS

Jalapeño Poppers	5.80
Mozzarella Sticks (6)	5.80
Battered Green Beans	5.80
Taquitos (8)	5.80
Egg Rolls (4)	5.80
Sliders Beef (3)	6.30
Hot / BBQ Wings - 8 pc	6.95
Hot / BBQ Wings - 14 pc	8.35
Boneless Battered Wings (10)	5.80
Mini Corn Dogs (15)	5.80
Chips & Salsa	2.65
French Fries	3.10
Chili Cheese Fries	4.10
Curly Fries	3.60
Onion Rings	3.85
Sampler Platter	14.75

SALADS

Tuna or Chicken	7.00
Egg Salad	5.90
Green Salad - Sm	3.15
Green Salad - Lg	4.25
Antipasto Salad	7.70
Caesar	5.25
Grilled or Crispy Chicken Salad	7.95
Chef Salad	7.00
Bread Bowl (soup or Chili)	6.35

PASTA

Spaghetti with Garlic Bread	8.50
Lasagna with Garlic Bread	8.75
Garlic Cheese Bread	3.50

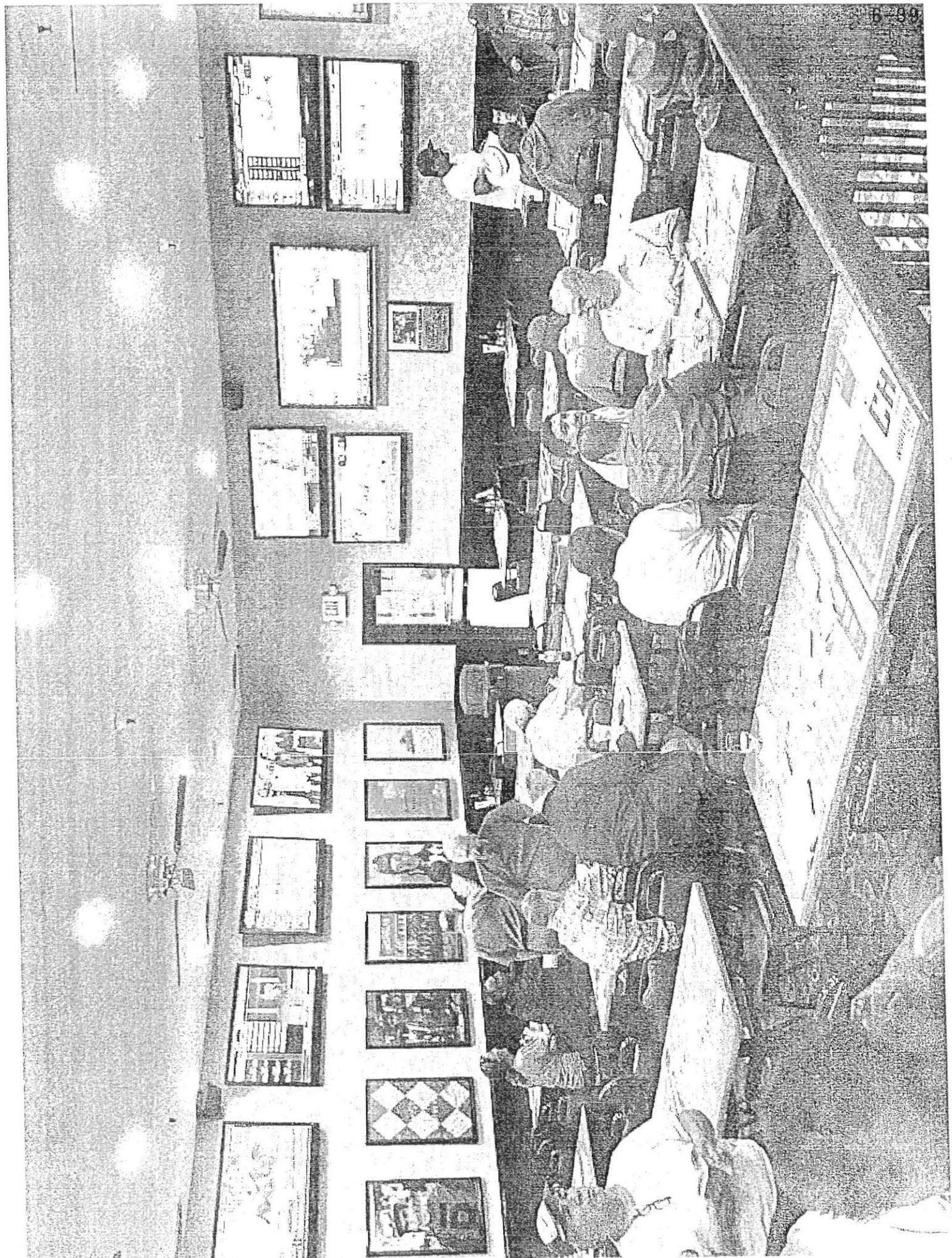
PIZZAS

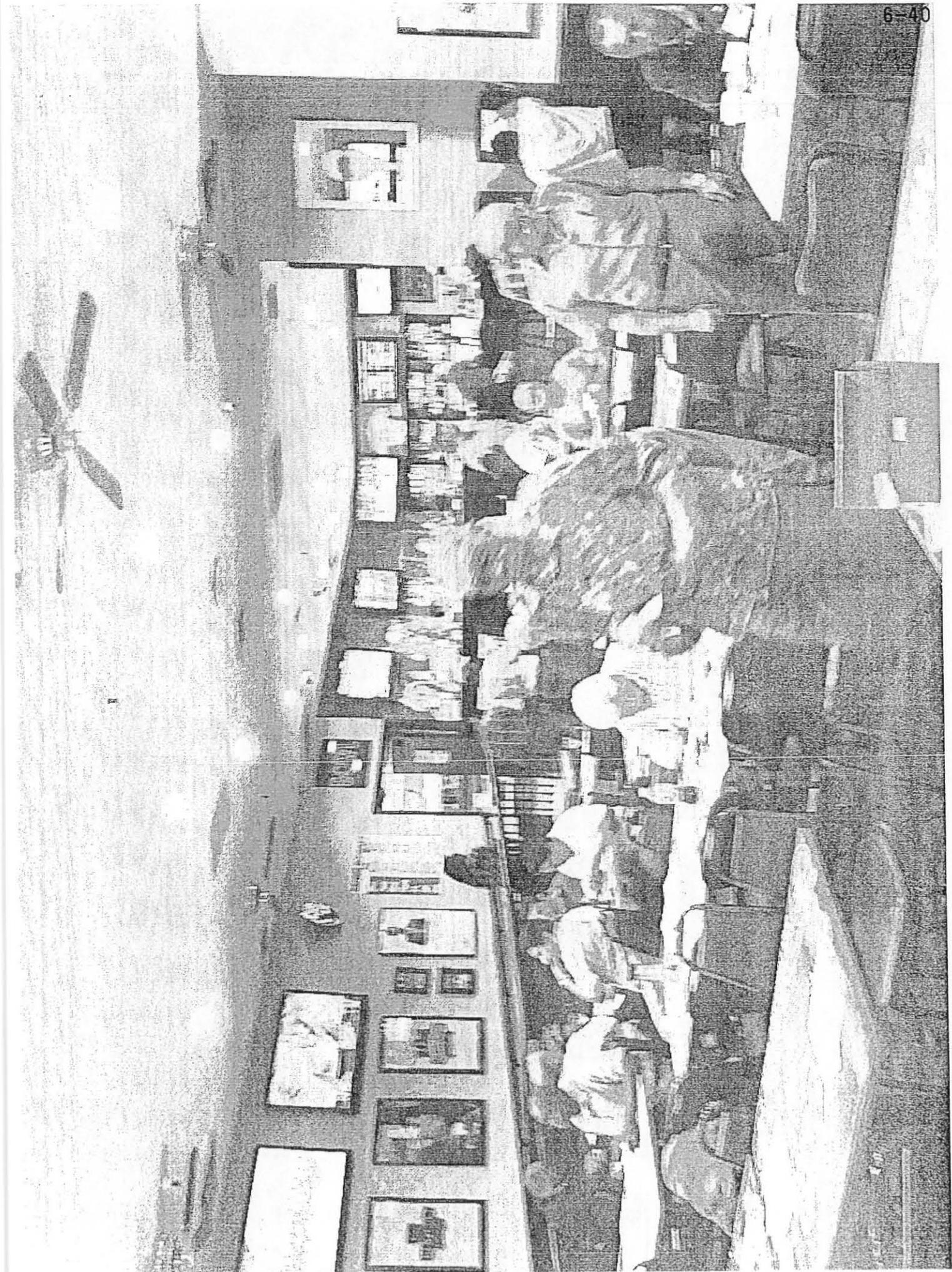
	SM	MD	LG
Cheese	16.75	18.50	20.40
1- Item	17.75	20.10	22.25
2 - Items	18.75	21.75	24.25
3 - Items	19.75	23.10	26.25
4 & 5 Items	20.75	24.50	27.25
Vegetarian	19.75	22.85	26.25
Vincenzo's	20.75	24.50	27.25
The Works	22.60	26.75	29.10
BBQ Chix	18.75	21.70	24.25

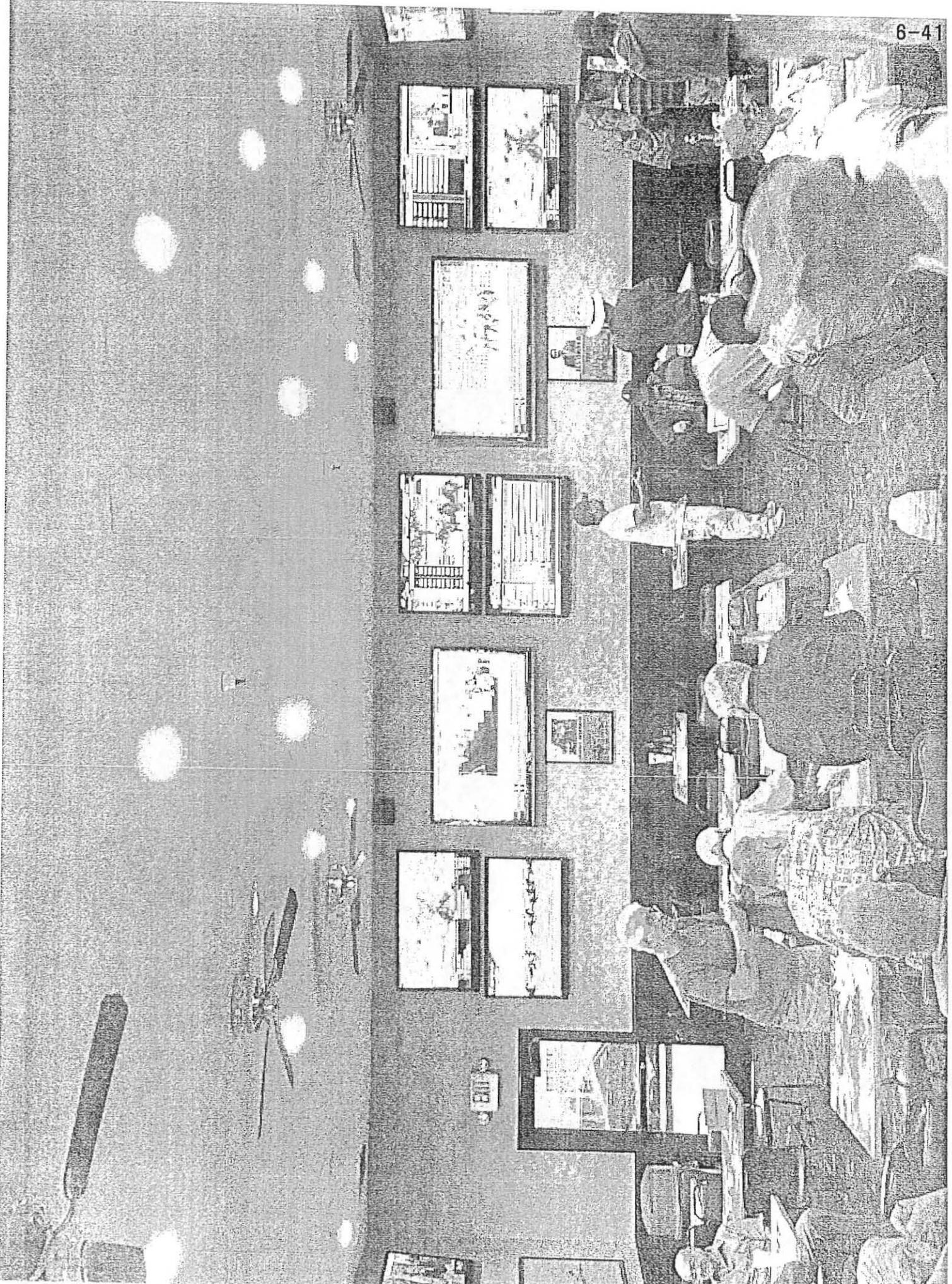
Personal Pizza	7.35	with drink	8.25
Calzone	7.35	with drink	8.25

Add. Topping - Personal or Calzone	1.25
Add. Topping - Regular Pizza	1.75

BEER ,WINE, and SPIRITS AVAILABLE...









State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility: The PA system is located at the Customer Service Center and consists of a microphone and amplifier with speakers located in the ceiling throughout the facility.

VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: Local newspapers

Describe any improvements to the facility that will directly benefit minisatellite wagering: None

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	None
Parking charges, if any, are:	None
Program charges, if any, are:	Price is determined by the host track
Seating charges, if any, are:	None

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

AGREEMENTS

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust, association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative:

Tom Crisi

Signature of minisatellite facility representative:



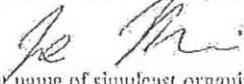
Date:

Date: 5-27-16

Print name of association representative:

Joe Morris

Signature of association representative:



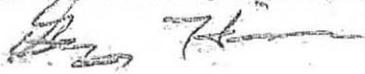
Date:

Date: 5-27-16

Print name of simulcast organization representative:

George Haines

Signature of simulcast organization representative:



Date:

Date: 5/27/16

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR
APPROVAL FROM THE DEL MAR THOROUGHBRED CLUB, TO MODIFY ITS PICK 6
WAGER TO SIX OF SIX CARRYOVER POOL FROM 70% TO 85%; AND THE
CONSOLATION POOL FROM 30% TO 15% FOR ITS RACE MEETING COMMENCING
JULY 13, 2016 THROUGH SEPTEMBER 6, 2016, INCLUSIVE

Regular Board Meeting
June 16, 2016

ISSUE: THE DEL MAR THOROUGHBRED CLUB IS PROPOSING TO MODIFY THE PERCENTAGES OF THE MAJOR AND MINOR SHARE OF THE PICK SIX POOL.

ANALYSIS: The Del Mar Thoroughbred Racing Club (DMTC) in its July 13, 2016 through September 6, 2016 application for license, requested to use CHRB Rule 1976.9 Pick (n) Pool, for its Pick Six wager. Rule 1976.9 (a) allows the racing association to designate the percentages of the major and minor shares, of the Pick Six pool. The current distribution is:

- 70% to the main pool (i.e. 6 of 6 tickets or to carryover if there are no 6 of 6 tickets)
- 30% to the consolation pool (i.e. 5 of 6 tickets)

The proposed distribution is:

- 85% to the main pool (i.e. 6 of 6 tickets or to carryover if there are no 6 of 6 tickets)
- 15% to the consolation pool (i.e. 5 of 6 tickets)

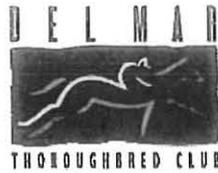
DMTC submits that this change is an attempt to increase the handle in the Pick Six pool and consequently attract more interest due to the enhanced carryover pool size. DMTC projects for the 2016 summer meet an increase of \$7.19 million in handle attributable to the change in the major share and a decrease of \$1.74 million on the minor share resulting in a net handle increase. Attachment I shows the DMTC projection using data from its 2015 summer meet.

BACKGROUND

CHRB Rule 1976.9 (a) Pick (n) Pool states the Pick (n) requires selection of the first-place finisher in each of a number of races designated by the association. The association shall designate the percentage of the net pool considered the major share, and the percentage of the net pool considered the minor share, if any. The number of races comprising a Pick (n) must be at least four but no more than ten. Subsequent changes to the Pick (n) shall be requested in writing by the association. The Board or its designated representative shall respond in writing to such requests within five working days of their receipt at Board headquarters.

RECOMMENDATION

This item is presented for Board discussion and action.



June 6, 2016

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Mr. Baedeker,

As we previously discussed with you, Del Mar is proposing to modify distributions of the Pick Six in an effort to increase total handle and enhance carryover pool size. As you are aware, the current distribution of the Pick Six is as follows:

- 70% to the "main pool" (i.e. 6-of-6 tickets or to a carryover if there are no 6-of-6 tickets)
- 30% to "consolation pool" (i.e. 5-of-6 tickets)

In our analysis of the Pick Six, we modeled the potential impact to handle if the pool distribution was changed to: 85% for the "main pool" and 15% to the "consolation pool". While wagering trends may not translate 100% if the distributions are adjusted, we are projecting that the Pick Six carryover multipliers (factor of "new money" wagered against the carryover pool) will stay relatively consistent in the "main pool". Using this analysis, based on Del Mar's 2015 summer meet Pick Six handle (see attached for details), the additional handle generated using the proposed 85% "main pool" would have been \$7.19 million. While the 15% consolation pool would result in a \$1.74 million decline in consolation payouts, the significant growth in "main pool" handle would offset this loss in handle churn.

Based on the analysis outlined above, Del Mar is requesting that the Pick Six pool distribution for its 2016 summer meet be modified as follows:

- 85% to the "main pool" (i.e. 6-of-6 tickets or to a carryover if there are no 6-of-6 tickets)
- 15% to "consolation pool" (i.e. 5-of-6 tickets)

Please contact me if you have any questions or concerns.

Regards,

A handwritten signature in black ink, appearing to read "Josh Rubinstein", is written over a light blue horizontal line.

Josh Rubinstein
Chief Operating Officer

cc: Jacqueline Wagner

Pick Six Projection (based on 2015 actual pools)
 85% to 6 of 6, 15% to Consolation Payout
 (2015 70% to 6 of 6, 30% to Consolation Payout)
 70%/30% Actual

Projection if 85% to 6 of 6/15% tp consolation

Day	New Money	Pool After 23.68% Takeout	70% to 6 of 6 Pool	30% to Consolation Pool	Carryover Amount	Pool times C/O	85% to 6 of 6 Pool	15% to Consolation Pool	Additional 15% in 6of6 Carryover Pools	2015	Carryover
										Actual Pool Times C/O	Incremental Handle 70% to 85% Increase
1	198,482	151,482	106,037	45,444			128,759	22,722			
2	164,333	125,419	87,793	37,626			106,606	18,813			
3	173,630	132,515	92,760	39,754			112,638	19,877			
4	97,637	74,517	52,162	22,355			63,339	11,178			
5	291,260	222,290	155,603	66,687	52,158	5.58	188,946	33,343	33,343	5.58	186,196
6	892,568	681,208	476,846	204,362	235,618	3.79	579,027	102,181	102,181	3.79	387,083
7	195,183	148,963	104,274	44,689			126,619	22,344			
8	753,965	575,426	402,798	172,628	104,269	7.23	489,112	86,314	86,314	7.23	624,135
9	2,028,567	1,548,202	1,083,742	464,461	562,765	3.60	1,315,972	232,230	232,230	3.60	837,107
10	100,002	76,322	53,425	22,896			64,873	11,448			
11	392,585	299,621	209,735	89,886	53,419	7.35	254,678	44,943	44,943	7.35	330,296
12	283,451	216,330	151,431	64,899			183,881	32,450			
13	817,504	623,919	436,743	187,176	151,427	5.40	530,331	93,588	93,588	5.40	505,250
14	119,248	91,010	63,707	27,303			77,358	13,651			
15	441,246	336,759	235,731	101,028	63,706	6.93	286,245	50,514	50,514	6.93	349,876
16	137,539	104,969	73,479	31,491			89,224	15,745			
17	280,060	213,741	149,619	64,122			181,680	32,061			
18	192,705	147,073	102,951	44,122			125,012	22,061			
19	124,296	94,863	66,404	28,459			80,634	14,229			
20	109,108	83,271	58,290	24,981			70,781	12,491			
21	489,836	373,843	261,690	112,153	58,279	8.40	317,767	56,076	56,076	8.40	471,322
22	183,368	139,946	97,962	41,984			118,954	20,992			
23	781,036	596,087	417,261	178,826	97,933	7.98	506,674	89,413	89,413	7.98	713,084
24	105,915	80,834	56,584	24,250			68,709	12,125			
25	461,686	352,359	246,651	105,708	56,583	8.16	299,505	52,854	52,854	8.16	431,260
26	1,707,845	1,303,427	912,399	391,028	303,229	5.63	1,107,913	195,514	195,514	5.63	1,101,175
27	437,381	333,809	233,666	100,143			283,738	50,071			
28	243,146	185,569	129,898	55,671			157,733	27,835			
29	105,602	80,595	56,417	24,179			68,506	12,089			
30	338,435	258,294	180,806	77,488	56,415	6.00	219,550	38,744	38,744	6.00	232,428
31	135,446	103,372	72,360	31,012			87,866	15,506			
32	187,432	143,048	100,134	42,914			121,591	21,457			
33	202,291	154,388	108,072	46,316			131,230	23,158			
34	108,833	83,062	58,143	24,918			70,602	12,459			
35	286,488	218,648	153,054	65,594	58,143	4.93	185,851	32,797	32,797	4.93	161,603
36	132,195	100,891	70,624	30,267			85,758	15,134			
37	207,477	158,346	110,842	47,504			134,594	23,752			
38	267,104	203,853	142,697	61,156			173,275	30,578			
39	1,033,358	788,659	552,061	236,598	142,692	7.24	670,360	118,299	118,299	7.24	856,709
Total			8,124,853	3,482,080			9,865,893	1,741,040			7,187,525

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE REPORT FROM
THE THOROUGHBRED RACING PROTECTIVE BUREAU (TRPB)
CONCERNING ITS "FINAL POOLS BY TOTE SOURCE" TIMING REPORT
WHICH PROVIDES INFORMATION CONCERNING
THE TIMING OF FINAL WAGERS REACHING THE HOST TRACK,
IN AN EFFORT TO IDENTIFY LOCATIONS
THAT MAY BE CONTRIBUTING TO SLOWING
DOWN THE PROCESS OF THE POSTING OF FINAL ODDS

Regular Board Meeting
June 16, 2016

ISSUE

At the February 25, 2016 Regular meeting of the Board, Thoroughbred Racing and Protective Bureau (TRPB) representative, Curtis Linnell, reported on the industry's progress in updating odds postings more rapidly, so that significant odds changes late in the running of the race have been greatly reduced.

The TRPB is prepared to provide additional information captured from its "Final Pools by Tote Source" report regarding the timing of final wagers reaching the host track.

RECOMMENDATION:

Staff recommends the Board hear from the TRPB representative.

STAFF ANALYSIS

DISCUSSION AND ACTION REGARDING THE FEASIBILITY OF DEVELOPING A RULE REQUIRING ADVANCE DEPOSIT WAGERING (ADW) PROVIDERS TO IDENTIFY THE LOCATION OF WAGERS PLACED THROUGH AN ADW ACCOUNT; AND PROVIDE AN ACCOUNTING OF ALL WAGERS PLACED AT A CALIFORNIA THOROUGHBRED RACING FACILITY ON DAYS WHEN LIVE THOROUGHBRED RACING IS BEING CONDUCTED AT THE FACILITY

Regular Board Meeting

June 16, 2016

BACKGROUND

An unknown number of patrons at California's brick-and-mortar facilities (i.e. racetracks and simulcast outlets) place wagers through their advance deposit wagering (ADW) accounts using mobile devices instead of betting through the wagering terminals at those facilities. Such an ADW wager results in less money to purses and commissions than a wager placed at a terminal or with a pari-mutuel clerk at the brick-and-mortar facility. At the California Horse Racing Board's (CHRB or Board) November 19, 2014 regular meeting, some California stakeholders proposed adding a rule requiring ADW companies to utilize GPS tracking technology to identify those wagers placed by accountholders located within California brick-and-mortar facilities. The matter was referred to the Pari-Mutuel/ADW, and Simulcast Committee (PMO Committee) and placed on the agendas for the April 2015 and October 2015 committee meetings. Advocates indicated at those committee meetings that they were not attempting to prevent patrons from wagering in this manner—only that revenue from these wagers should be distributed in a manner more favorable to horsemen and racetracks. These parties indicated they were discussing alternatives with ADW companies that would involve negotiating some amount of compensation to purses and commissions rather than the more complicated process of tracking individual wagers and adjusting distributions accordingly.

On March 18, 2016, Greg Avioli representing the Thoroughbred Owners of California (TOC) sent an e-mail to the PMO Committee requesting an agenda item pertaining to the “growing issue of players wagering on track via their ADW accounts.” The TOC proposes that the CHRB adopt a rule requiring ADW companies to identify the location of each wager placed through an ADW account and to provide a full accounting of all wagers placed by a customer located at a licensed California thoroughbred racing facility on days when live thoroughbred racing is conducted at that facility. The TOC notes that the technology to accomplish this task is already in place. If the CHRB adopts such a rule, the industry will incorporate language in Hub Agreements identifying the rate fee for wagers placed through mobile devices within a brick-and-mortar facility, which will help to ensure that brick-and-mortar wagering locations receive an appropriate share of revenue from these wagers.

The issue was discussed at the May 11, 2016 PMO Committee meeting where an alternative proposal was presented. The proposal would require that the horsemen, tracks and ADW companies to take a “best guess” regarding how much purses and commissions would increase if

geo-tracking of ADW wagers were implemented. Using the industry projections, the ADW companies would pay the resulting dollar amount into purses and commissions. Adoption of the proposal would not require actual implementation of geo-tracking ADW wagers.

ANALYSIS

Business and Professions Code section 19440 states that the Board shall have all powers necessary and proper to enable it carry out fully and effectually the purposes of this chapter, including adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. More specifically, Business and Professions Code section 19604(d)(1)(A) states that the board shall develop and adopt rules to license and regulate all phases of operation of advance deposit wagering for ADW providers operating in California, including advance deposit wagering activity that takes place within a minisatellite wagering facility. Accordingly, the Board has the authority to enact regulations pertaining to GPS tracking of ADW wagers should it choose to do so.

RECOMMENDATION

This item is presented to the Board for discussion and action.

On Track ADW Summary

On track wagering provides the highest margin revenue stream for the California racing industry at a time of continuing declines in total wagering and industry revenues. When ADW was first introduced in California, it was pitched to the industry as 1) a way to bring new customers who would watch the races on television and the Internet and wager through their new betting accounts, and 2) a way to increase handle from existing customers who would be able to wager without the necessity of travelling to a brick and mortar site.

The California racing industry was assured that very little cannibalization of brick and mortar wagering would occur. During the past 15 years, however, ADW wagering at live racing venues ("On Track ADW") has become a commonplace activity driven by the explosive growth of smart phones and tablets. As a result, ADW providers now retain as Hub Fees a significant portion of betting handle occurring on track that would otherwise have been distributed to the California industry stakeholders.

Technological advances in Location Services now allow apps and websites to determine a user's specific location using information from cellular, Wi-Fi, Global Positioning System networks, and Bluetooth. Location Services technology has become a central part of the emerging on line gambling industries in New Jersey, Delaware and Nevada.

All licensed operators in these states are required to utilize Location Services to allow regulators to verify that the online wagering is occurring only within the borders of their state (e.g., the relevant New Jersey regulations state that "*Internet or mobile gaming system shall employ a mechanism to detect the physical location of a patron upon logging into the gaming system and as frequently as specified in the Internet gaming permit holder's approved submission.*")

Similarly, Apple now requires ALL gambling apps in the U.S. iStore to have geo-location capabilities that will identify the location of the customer at the time of any wagering activity.

To address this issue, the TOC recommends the following two-pronged approach:

- Part 1: The CHRB adopts a new regulation that would require all licensed ADWs to identify and report all ADW bets generated within a licensed racing association venue on days when live racing is conducted.
- Part 2: Pursuant to the new regulation, the Hub Agreements entered into by Licensed ADW providers each year would be required to specifically identify the Hub Fee rate for bets generated within a licensed racing association venue on days when live racing is conducted (see proposed regulation language below)

Proposed New CHRB Regulation

Effective as of [July 1, 2016], as a condition for processing any wager from California customers:

a. *A licensed ADW shall be required to collect the location of its customers each time such a customer logs in to their account in the State of California, using a form of Location Services approved by the board. This requirement shall apply (i) to any device used by the customer to access their accounts, including without limitation phones, tablets and personal computers, and (ii) to all customers of the licensed ADW without regard to the State of residence of the customer.*

b. *Using the data collected in subsection (a), a licensed ADW provider shall provide a full accounting of all wagers originating from an ADW customer located at a licensed California thoroughbred racing facility on days when live thoroughbred racing is conducted at such facility (“Identified On-Track ADW Wagers”). Such data shall be delivered to the database designated by the board in a manner consistent with B & P Code 19604 (c).*

c. *As part of the annual licensing process, a Licensed ADW provider shall submit to the board and the database designated by the board the plan and methodology to be used during the license period by the Licensed ADW provider for complying with the requirements of this section.*

d. *A licensed ADW provider shall be required to retain all data collected pursuant to this section for a minimum period of two years for audit purposes by the board.*

e. *The Hub Agreement entered into by a Licensed ADW provider shall specifically identify the Hub Fee rate for Identified On-Track ADW Wagers.*

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO MODIFY THE TEXT OF PROPOSED CHRB RULE 1489.2, CRITERIA TO EVALUATE REHABILITATION OF A PERSON WHEN CONSIDERING DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE, TO REQUIRE THAT SPECIFIED CRITERIA SHALL BE USED WHEN CONSIDERING THE DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE

Regular Board Meeting
June 16, 2016

BACKGROUND

The proposal to add Rule 1489.2, Criteria to Evaluate Rehabilitation of a Person When Considering Denial, Suspension or Revocation of an Occupational License, was originally noticed to the public on September 18, 2015. The proposed addition was the subject of a public hearing on November 19, 2015, where the Board approved the regulation. Subsequent to the Board's hearing and approval, however, Board staff discussed the proposed regulation with the Office of Administrative Law (OAL). Upon review of the text of the regulation, staff from OAL recommended that the Board adopt the modifications reflected in the attached text (indicated by double underlines and double strikeouts) so that OAL can approve the proposed regulation.

The addition of Rule 1489.2 is intended to align the Board with the requirements of Business and Professions Code section 482, which states:

Each board under the provisions of this code shall develop criteria to evaluate the rehabilitation of a person when: (a) Considering the denial of a license by the board under Section 480; or (b) Considering suspension or revocation of a license under Section 490. Each board shall take into account all competent evidence of rehabilitation furnished by the applicant or licensee.

To date, the Board has been without rehabilitative criteria for evaluating applicants and licensees who have committed certain acts, offenses, or crimes. Adoption of the proposed criteria would address this issue, and bring the Board into conformity with section 482.

ANALYSIS

The changes to the text of the proposed regulation recommended by OAL are as follows:

- Amend the title of the regulation to reflect the fact that rehabilitation criteria will be considered when deciding whether or not to deny, suspend, or revoke a license—not after the license has already been denied, suspended, or revoked.
- Change the word “may” in subsection (a) to “shall.”
- Change the word “should” in subsection (a)(6) to “shall.”

By adopting these modifications to proposed Rule 1489.2, staff is confident that the regulation will meet the approval of OAL.

RECOMMENDATION

Staff recommends the Board adopt the proposal as presented.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED ADDITION OF

RULE 1489.2. CRITERIA TO EVALUATE REHABILITATION OF A PERSON WHEN
CONSIDERING DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE

Regular Board Meeting
June 16, 2016

1489.2. Rehabilitation Criteria to for Evaluation of Rehabilitation of a Person When Considering
Denial, Suspension or Revocation of an Occupational License

(a) When considering the denial of a license under Business and Professions Code section 480,
or the suspension or revocation of licensure under Business and Professions Code section 490, on the
grounds that the person has committed an act or been convicted of a crime, the California Horse Racing
Board will evaluate the rehabilitation of such person and his or her eligibility for licensure, and ~~may~~
shall consider the following criteria:

(a)(1) The nature and severity of the act(s) and/or offense(s), including its relation to horse racing
or pari-mutuel wagering and the protection of the public.

(b)(2) The total criminal record, including evidence of any act(s) and/or offense(s) committed
subsequent to the act(s) or offense(s) under consideration as grounds for denial, suspension or
revocation which also could be considered grounds for denial, suspension, or revocation under Business
and Professions Code sections 480 or 490.

(c)(3) The time that has elapsed since commission of the act(s) or offense(s).

(d)(4) The extent to which the person seeking licensure or the licensee has complied with any
terms of parole, probation, restitution or any other sanctions lawfully imposed against the person or
licensee.

(e)(5) The credibility of the person seeking licensure or the licensee, and his or her acceptance of
responsibility and remorse for the conduct.

(6) Evidence, if any, of rehabilitation submitted by the person seeking licensure or by the licensee. If the evidence of rehabilitation consists of written statements by third parties in support of the person seeking licensure or the licensee, the written statements ~~should~~ shall include a description of their relationship to the person or licensee, a description of the length of time their relationship has existed, a description of the rehabilitative efforts of the person seeking licensure or the licensee and should contain the following sentence at the end: "I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct." The written statement should be signed by the third party making the statement and dated.

Authority: Sections 482 and 19440,
Business and Professions Code.

Reference: Sections 480, 490, 19460 and 19461,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE, TO
ADJUST THE JOCKEY RIDING FEE SCALE PURSUANT TO
BUSINESS AND PROFESSIONS CODE SECTION 19501

Regular Board Meeting
June 16, 2016

ISSUE

Business and Professions Code section 19501(b)(1) requires an increase in the minimum jockey riding fees for losing mounts whenever California's minimum wage is increased. In April 2016, Governor Brown signed Senate Bill (SB) 3 to increase California's minimum wage by 5 percent commencing January 1, 2017. Under SB 3, the minimum hourly wage will increase each year by \$1.00 per hour through January 1, 2022, when the minimum wage will reach \$15.00 per hour. The enactment of SB 3 will require that Board Rule 1632, Jockey's Riding fee, which currently provides a scale of jockey riding fees, be amended once a year over the next six years. The proposed amendment to Rule 1632 will update non-winning jockey riding fees as mandated by SB 3.

ANALYSIS

Business and Professions Code subsection 19501(b)(1) provides for an increase for losing mounts on the scale of minimum jockey riding fees whenever the state minimum wage is increased by the percentage of that increase; however, it does not provide for an increase for the second and third place mounts. Further, Rule 1632 provides specific dollar amounts to pay losing mounts. To calculate second and third place mount fees, Rule 1632 provides a formula of 5 percent of place purse, plus \$10 for second and third place mounts finishing with purses of \$10,000 to \$100,000. This has resulted in a steady increase in losing mount fees while second and third place mount fees have remained static. As a result of the minimum wage increases over the past several years, the fees paid to losing mounts have begun to encroach upon the sums paid to second and third place mounts, with the result that the formula for second and third place mount fees (5 percent plus \$10) no longer works under all circumstances. In some instances the losing mount jockey riding fee may be equal to or greater than the third place mount. When the third place mount fee is adjusted, it may be equal to the second place mount fee.

The proposed amendment to Rule 1632 addresses the mount fee disparities by changing the non-winning jockey riding fee chart under subsection 1632(b) to reflect only the dollar sums to be paid jockeys riding losing mounts, and by adding new subsections 1632(b)(3) through 1632(b)(5) to provide direction for calculating jockey riding fees for second and third place mounts. Subsections 1632(b)(3) through 1632(b)(5) do not change current practice; instead, they place current practice in narrative form. A new subsection 1632(b)(3) states that the Paymaster of Purses shall use the Horsemen's Agreement to determine the horse owner's place purse when calculating non-winning jockey riding fees. The Horsemen's Agreements provide the percentage of the gross purse the horse owner will receive, based on the order of the finish. The owner's

percentage of the gross purse is the dollar amount from which the Paymaster of Purses will calculate the jockey mount fee. The subsection also provides that the losing mount jockey riding fee shall be paid as provided under subsection 1632(b). This is due to the fact that the losing fees are specific dollar amounts based on the requirements of Business and Professions Code section 19501. The minimum jockey riding fees for losing mounts are increased whenever California's minimum wage is increased and are not based on the owner's share of the gross purse. Therefore, the losing mount fees must be stated in the regulation. A new subsection 1632(b)(4) provides the formula for calculating the second and third place mount fees. It is the same formula that is provided under the current 1632(b) non-winning jockey riding fee chart: 5 percent plus \$10. A new subsection 1632(b)(5) provides instructions for the Paymaster of Purses should the losing mount fee be equal to or greater than the third place mount fee. The third place mount shall earn \$2 more than the losing mount and the second place mount shall earn \$2 more than the third place mount. This will allow the Paymaster of Purses to ensure that losing mount fees are never equal to or greater than second and third place mount fees.

BACKGROUND

Business and Professions Code section 19401(a) and (d) provides that the intent of Chapter 4 is to allow pari-mutuel wagering on horse races, while assuring protection of the public and providing uniformity of regulation for each type of horse racing. Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California. Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Subsection 19501(b)(1) states that the scale of minimum jockey riding fees for losing mounts shall be increased whenever the State minimum wage is increased by the percentage of that increase.

Board Rule 1632, Jockey's Riding Fee, provides a scale of jockey riding fees to be used in the absence of a contract or special agreement.

Senate Bill (SB) 3, Chapter 4, Statutes of 2016, amended section 1182.12 of the Labor Code, to increase California's minimum hourly wage. Commencing January 2017, the minimum hourly wage will increase 5 percent from \$10.00 to \$10.50 an hour. Under SB 3, the minimum hourly wage will continue to increase each year by \$1.00 per hour through January 1, 2022, when the minimum wage will reach \$15.00 per hour.

Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Section 19501(b)(1) provides that effective January 1, 2012, the scale of

minimum jockey riding fees for losing mounts shall be increased by \$10 per mount over the rate in effect on December 31, 2011, except the lowest fees on the scale shall be increased by \$5.

Section 19501(b)(2) provides that effective January 1, 2012, the minimum amount awarded to the jockey who finishes second or third in a race shall be increased by \$5 over the amount required to be paid on December 31, 2011. This subdivision shall apply to races in which the purse is nine thousand nine hundred ninety-nine dollars (\$9,999) or less.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 ARTICLE 6. ENTRIES AND DECLARATIONS
 PROPOSED AMENDMENT TO
 RULE 1632. JOCKEY'S RIDING FEE

Regular Board Meeting
 June 16, 2016

1632. Jockey's Riding Fee.

(a) Winning jockey riding fees in the absence of a contract or special agreement are:

GROSS PURSE	Winning Mount
\$100,000 and up:	10% of Win Purse
50,000-99,999:	10% of Win Purse
25,000-49,999:	10% of Win Purse
15,000-24,999:	10% of Win Purse
10,000-14,999:	10% of Win Purse
5,000-9,999:	10% of Win Purse
3,500-4,999:	10% of Win Purse
2,000-3,499:	10% of Win Purse
1,500-1,999:	10% of Win Purse

(1) The purpose of subsection (a) is not to set a minimum or maximum fee. It provides a fee if the parties have not made a written agreement to the contrary.

(2) All fees pursuant to subsection (a) are payable in the lower purse range until the next higher purse range is reached, unless there is a written agreement to the contrary.

(b) ~~Non-winning jockey~~ Jockey riding fees for losing mounts are:

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	\$168.74 <u>177.18</u>
50,000-99,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	137.49 <u>144.37</u>
25,000-49,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	118.74 <u>124.68</u>
15,000-24,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	106.24 <u>111.55</u>
10,000-14,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	99.99 <u>104.99</u>
5,000-9,999:	\$112.49	\$96.25	93.75 <u>98.44</u>
3,500-4,999:	-99.99	-87.49	81.25

2,000-3,499:.....	-87.49	-81.25	78.75
1,500-1,999:.....	-74.99	-72.49	-72.49

(1) The purpose of subsection (b) is to set a minimum, but not a maximum riding fee. No non-winning jockey shall be paid less than the riding fee set forth in subsection (b).

(2) All fees pursuant to subsection (b) are payable in the lower purse range until the next higher purse range is reached unless there is a written agreement to the contrary. However, no such written agreement shall reduce the minimum required by subsection (b).

(3) To determine the horse owner's place purse when calculating non-winning jockey riding fees, the Paymaster of Purses shall use the purse agreement provided in the Horsemen's Agreement for the race meeting at which the jockeys earned such fees. The losing mount fee shall be paid as provided under subsection (b) of this rule.

(4) For horse races with gross purses between \$10,000 and \$100,000, a minimum of five percent of the horse owner's place purse plus \$10.00 shall be used when calculating the second place and third place non-winning jockey riding fees.

(5) If the losing mount fee is equal to or greater than the third place mount fee, the Paymaster of Purses shall adjust the mount fees so that the third place mount shall earn \$2 more than the losing mount, and the second place mount shall earn \$2 more than the third place mount.

(c) A jockey's fee is considered earned when the jockey is weighed out by the clerk of scales. The fee shall not be considered earned if the jockey elects to take himself off of his mount. If there is a substitution of jockeys, no additional jockey fee or double jockey fee need be paid except when ordered by the stewards.

(d) In this rule "Win Purse" means the amount paid the winning horse less the fees paid exclusively by the owner to enter the horse in the race;

(1) Entry, nomination or other fees paid shall not be deducted from a jockey riding fee if such fees are paid or reimbursed by any person or entity other than the owner.

(e) If the parties agree on the fee to be paid the jockey, a contract or agreement in writing signed by the jockey or his agent and the owner or his authorized agent specifying the agreed upon fee if a winning mount, second place mount, third place mount and losing mount shall be delivered to the paymaster of purses before the running of the race in question. The paymaster of purses shall debit the owner's purse account under the contract or written agreement. If no contract or written agreement is submitted before the running of the race in question, the paymaster of purses shall debit the owner's purse account under the fee scale set forth in this rule.

(f) A jockey may not share in the fees of another jockey.

Authority: Sections 19440, 19501 and 19562,
Business and Professions Code.

Reference: Sections 19401 (a), 19401(d), 19420, 19440, 19501, and 19502,
Business and Professions Code.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT TO
CHRB RULE 1433, APPLICATION FOR LICENSE TO CONDUCT A
HORSE RACING MEETING,
TO REQUIRE THAT RACING ASSOCIATIONS INCLUDE A FUROSEMIDE
AGREEMENT WHEN SUBMITTING AN APPLICATION FOR LICENSE
AND PROPOSED AMENDMENT TO
CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION,
TO REQUIRE THAT AUTHORIZED BLEEDER MEDICATION
BE ADMINISTERED BY INDEPENDENT, THIRD PARTY VETERINARIANS

Regular Board Meeting
June 16, 2016

ISSUE

Furosemide (Lasix) is a diuretic administered to horses to prevent exercise-induced pulmonary hemorrhage (EIPH), which is a condition wherein pulmonary bleeding occurs when capillaries in horses' lungs burst as a result of the exertion from intense exercise or racing. In 2013 the Racing Commissioners International (RCI) incorporated Racing Medication and Testing Consortium (RMTC) recommendations in a Model Rule, which requires that Lasix be the only medication authorized for administration on race day, and limits Lasix administration to no less than four hours prior to post time for the race in which the horse is entered. The RCI Model Rule also requires that Lasix administration be performed only by third-party veterinarians or veterinary technicians who are prohibited from working as private veterinarians or technicians at the racetrack or with participating licensees. As of January 2014, thirteen states had adopted regulations requiring third-party administration of Lasix: Colorado, Delaware, Indiana, Kentucky, Maine, Maryland, Massachusetts, Minnesota, New Jersey, New York, North Dakota, Virginia and West Virginia. The proposed amendment to Rule 1845, Authorized Bleeder Medication, will bring the Board's regulations in line with the RMTC/RCI recommendations regarding the administration of Lasix.

The proposed amendment to Rule 1433, Application for License to Conduct a Horse Racing Meeting, is necessary to ensure the Board receives a copy of the racing association's race-day furosemide administration procedures.

ANALYSIS

Rule 1433 incorporates by reference the forms Application for License to Conduct a Horse Racing Meeting, CHRB-17 (Rev. 01/16) (CHRB-17), and Application for License to Conduct a Horse Racing Meeting of a California Fair, CHRB-18 (Rev. 01/16) (CHRB-18); therefore, any revisions to the forms would necessitate an amendment of the Rule 1433.

The proposed amendment to Rule 1433 will change section 23 of the form CHRB-17, and section 22 of the form CHRB-18 to require that the applicant racing association/racing fair attach a furosemide agreement, including the name of the furosemide veterinarian. In implementing its

program for third party administration of furosemide, the Board determined that it is necessary to require that the horsemen's organization, trainers' organization and racing association/racing fair enter into an agreement regarding the conduct of the racing association's/racing fair's program for the administration of race-day furosemide. The agreement shall be submitted to the Board for approval at the time of application for license to conduct the race meeting. Sections 23 of the form CHRB-17, and 22 of the form CHRB-18 are both titled "Declarations," and are the items where the Board states its requirements regarding documents to be attached to the application, such as labor and horsemen agreements, and service contracts.

The proposed amendment to Rule 1845 states the only authorized medication for the control of EIPH shall be Lasix. The administration of the medication shall be made on the grounds of the racetrack by a single intravenous injection, in a dosage of not less than 150 mg and not more than 500 mg, and no later than four hours prior to race time. Lasix shall only be administered to a horse that is registered on the authorized bleeder medication list. These provisions are consistent with the RCI Model Rule, and most of them are current Board practice under the existing regulation. The exception is the provision that Lasix is the only authorized medication for the control of EIPH. Rule 1845 currently states that an "authorized bleeder medication" may be administered, which provides for the possibility of an alternative medication. Under the proposed amendment, only Lasix may be used to control EIPH.

The amended subsections 1845(a) through 1845(a)(2) describe how a horse is registered on the authorized bleeder medication list. Under the current regulation, a horse is eligible to race with authorized bleeder medication if the licensed trainer or the veterinarian determines it would be in the horse's best interest. If the horse will race with authorized bleeder medication CHRB form 194 Authorized Bleeder Medication and Medical Records Request shall be used to notify the official veterinarian. The amended subsections 1845(a) through 1845(a)(2) require a determination from the trainer and the owner's veterinarian that Lasix is medically necessary to control EIPH and is not otherwise contraindicated (should not be used because it may be harmful) for that horse. Prior to entry for race, the official veterinarian must approve CHRB form 194 Authorized Bleeder Medication and Medical History Request, as submitted by the trainer and the owner's veterinarian. The Form 194 has been given a new title that indicates a medical history may also be requested.

Under the current version of Rule 1845, subsection 1845(d) provides for the use of pre-race security stalls and spells out the responsibilities of the trainer with regards to ensuring the security of the horse in the pre-race security stall. The proposed amendment to Rule 1845 breaks the existing subsection 1845(d) into new subsections 1845(b) through 1845(b)(2)(C). The content of the subsections is essentially identical to the current subsection 1845(d) with the exception of a new subsection 1845(b)(2)(A), which provides that a pre-race security stall shall be identified by the posting of a form CHRB-234 (New 09/15), Detention Stall sign. The sign shall be posted no later than eight hours prior to the post time of the race for which the horse is entered. If the horse is arriving from off the grounds of the race track, the sign shall be posted when the horse is placed in the pre-race security stall. The Detention Stall sign is the same sign currently in use at California racetracks.

New subsections 1845(c) through 1845(c)(2) provide that Lasix will only be administered after the owner, trainer, or owner's veterinarian has consulted with the Lasix veterinarian. The Lasix veterinarian must also examine the horse. Or, the consultation may take place with the official veterinarian or racing veterinarian if the Lasix veterinarian examines the horse and the consulting official veterinarian or racing veterinarian will directly supervise the Lasix veterinarian or California registered veterinary technician who will administer Lasix. Subsections 1845(c)(1) and (c)(2) state the examination of the horse must be sufficient to establish a client-patient relationship within the meaning of California Code of Regulations, Title 16, section 2032. The establishment of the client-patient relationship will address the concerns of the California Veterinary Medical Board (VMB) which expressed reservations about the third party administration of Lasix due to what it believed were conflicts with existing statutes and regulations related to the veterinarian-client-patient relationship and the dispensing of medications.

The new subsection 1845(d) is not new language, as it is a portion of the current subsection 1845(e). It requires the person who administers Lasix to notify the official veterinarian of the treatment of the horse not later than two hours prior to post time of the race for which the horse is entered. The notification shall be made using form CHRB-36 (New 08/04), which is the form currently in use at California racetracks. Under subsection 1845(d)(1), the owner, trainer or licensed employee of the trainer must be present to observe the Lasix administration.

A new subsection 1845(e) provides that the horsemen's organization, trainers' organization and racing association shall enter into an agreement to provide for race-day Lasix administration. In conjunction with subsection 1845(e), Board Rule 1433 is also being amended to provide that the Lasix agreement shall be submitted to the Board at the time the racing association submits its application for license to conduct a horse racing meeting. This will allow the Board to review the agreement and to seek clarification regarding any issues it may have.

A new subsection 1845(e)(1) provides that Lasix shall be administered by the Lasix veterinarian or a registered veterinary technician under the direct supervision of the Lasix veterinarian. The Lasix veterinarian and the registered veterinary technician shall be employees of the racing association, and shall not have had a current business relationship or prior veterinarian-client-patient relationship with participating licensees within 30 days of the date he or she is employed to administer Lasix. These provisions are meant to ensure Lasix is administered by a disinterested third party who does not have a business or veterinary relationship with the licensee. During the 45-day public comment period it was noted that there was a discrepancy between the 45-day notice and the text of the regulation. The 45-day notice stated the Lasix veterinarian and the registered veterinary technician shall not have had a current business relationship or prior veterinarian-client-patient relationship with participating licensees within 180 days of the date he or she is employed to administer Lasix, while the text stated the period of time to be 30 days. The correct period of time is 30 days, so a 15 day notice of modification of Initial Statement of Reasons and Notice was issued to correct the discrepancy and allow for additional public comment. The 15-day notice period ended June 15, 2016.

New subsections 1845(e)(2) through 1845(e)(3) provide definitions for "furosemide veterinarian" and "owner's veterinarian." The definitions were included to prevent any

confusion regarding the roles of the veterinarians as they relate to the administration of race day furosemide under Rule 1845.

Subsection 1845(f) sets a standard Lasix dose of 250 mg, which is a nationally recognized default dose. However, subsection 1845(f) also allows for an alternative Lasix dose between 150 mg and 500 mg if it is determined after consultation between the owner, trainer or owner's veterinarian, and the Lasix veterinarian, that an alternative dose is required. This provides the parties with the flexibility to act in the best interest of the horse.

A new subsection 1845(g) requires that in the event of an adverse reaction or other emergency related to the administration of Lasix, the veterinarian who administered the Lasix, or California registered veterinary technician who administered the Lasix, shall attend the horse until the arrival of the owner's veterinarian. This provision will ensure the horse is in the care of a veterinarian or medical technician at all times, and it will allow the veterinarian to confer with the party who administered Lasix regarding the horse's reaction to the Lasix administration.

A new subsection 1845(h) requires that the syringe used to administer Lasix shall be provided to, and shall be retained by the Board until all testing of the horse is completed. If there is a positive test finding, the Board, or the owner or trainer may request that the syringe be analyzed for prohibited substances. This ensures that each syringe used to administer Lasix shall be in the custody of the Board and available for testing as needed. The results of the analysis may be used in any action before the Board.

The current subsections 1845(b) and (c) have been renumbered and appear in the revised text as subsections 1845(i) through (i)(2). These subsections give the required specific gravity of post-race urine samples, which shall not be below 1.010. They also provide that if the urine sample is not available, or if it is determined to be below 1.010, quantitation of Lasix in serum or plasma shall be performed. Concentrations may not exceed 100 nanograms of Lasix per milliliter of serum or plasma. These levels are the same as those in the current 1845 text.

Subsection 1845(f) of the current Rule 1845 has been renumbered as subsection 1845(j) of the revised text. The subsection remains essentially unchanged from the current version of Rule 1845. Subsection 1845(j) states how a horse may be removed from the official authorized bleeder medication list, and the periods of time the horse must remain off the list before it can be placed back on the list.

Subsection (g) of the current Rule 1845 has been renumbered as subsection 1845(k) of the revised text. The subsection provides the period of time a horse will be ineligible to race if the official veterinarian observes it bleeding externally from or both nostrils during or after a race or workout, and determines such bleeding is a direct result of EIPH. These periods of time remain unchanged; however, an exemption to these periods of ineligibility for horses voluntarily administered authorized bleeder medication has been stricken.

A new subsection 1845(l)(1) provides that the owner of the horse shall pay all costs associated with the administration of Lasix. Owners currently pay all such costs. A new subsection

1845(1)(2) further states that the horse owner shall also pay reasonable administrative costs as set under the race-day furosemide agreement.

A new subsection 1845(1)(3) provides that the owner shall consent to the procedures in Rule 1845, and shall agree that the pre-race examination conducted by the official veterinarian constitutes a veterinary-client-patient relationship within the meaning of Title 16, section 2032.1, of the California Code of Regulations. Although Rule 1846, Racing Soundness Examination, provides that the horse may be examined as late as two hours before post time, most racing soundness examinations occur in early morning, well before the first post time.

BACKGROUND

Business and Professions Code section 19440 states the Board has all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 states that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Business and Professions Code section 19582 provides that violations of section 19581 are punishable as set forth in regulations adopted by the Board.

At its October 2012 Regular Meeting the Board approved amendments to CHRB Rule 1845, Authorized Bleeder Medication, to require that authorized bleeder medication be administered by independent, third party veterinarians. During the 45-day public comment period objections were raised by private veterinarians and the California Veterinary Medical Association. Those in opposition to the proposed amendment stated it violated the veterinary medical practice act by interfering with the client-veterinarian-patient relationship required for prescription medications since furosemide is a federal prescription medication. Revisions were made to the text and the proposed amendment came before the Board at the March 21, 2014 Regular Board Meeting. At that meeting the Executive Officer of the VMB spoke in opposition to the amendment citing conflicts with existing statutes and regulations related to the veterinarian-client-patient relationship, and the dispensing of dangerous drugs. CHRB Counsel met with representatives of the VMB to make revisions, and correct any issues of conflict with existing statutes and regulations. The proposed amendment to Rule 1845 was subsequently noticed for a 45-day public comment period.

At the August 2015 hearing for adoption, a lengthy discussion was held regarding the proposed amendment. The Board determined the issue would be returned to the Medication and Track Safety Committee so that outstanding issues could be resolved. At the September 2015 Medication and Track Safety Committee meeting, the committee indicated it supported a modified version of the proposed text. The committee version would require that Lasix be

administered by a designated furosemide veterinarian, or by a veterinary technician under the direction of the furosemide veterinarian.

At the September 2015 Regular Board Meeting staff was directed to initiate a 45-day public comment period for the Medication and Track Safety Committee modified 1845 text and the proposed amendment to Rule 1433. The 45-day comment period ended May 9, 2016. The 45-day notice stated the Lasix veterinarian and the registered veterinary technician shall not have had a current business relationship or prior veterinarian-client-patient relationship with participating licensees within 180 days of the date he or she is employed to administer Lasix, while the text stated the period of time to be 30 days. The correct period of time is 30 days, so a 15 day notice of modification of Initial Statement of Reasons and Notice was issued to correct the discrepancy and allow for additional public comment. The 15-day notice period ended June 15, 2016.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 3. RACING ASSOCIATION
PROPOSED AMENDMENT OF
RULE 1433, APPLICATION FOR LICENSE TO
CONDUCT A HORSE RACING MEETING

Regular Board Meeting
June 16, 2016

1433. Application for License to Conduct a Horse Racing Meeting.

(a) Unless the Board requires an earlier filing, at least 90 days before the time allocated by the Board for a race meeting to start, the association shall file with the Board an Application for License to Conduct a Horse Racing Meeting, CHRB-17 (Rev. 12/4516), which is hereby incorporated by reference.

Note: CHRB-17 incorporates by reference, the Personal History Record, CHRB-25A (Rev. 11/08). A California fair shall file with the Board an Application for License to Conduct a Horse Racing Meeting of a California Fair, CHRB-18 (Rev. 12/4516), which is hereby incorporated by reference. Copies of the CHRB-17 and CHRB-18 may be obtained at the California Horse Racing Board headquarters office.

Authority: Sections 19420 and 19440,
Business and Professions Code.

Reference: Section 19480, 19481, 19481.3 and 19562,
Business and Professions Code.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:
- B. Breed of horse: TB QH H
- C. Racetrack name:
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting:
- B. Actual dates racing will be held:
- C. Total number of days or nights of racing:
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)
- E. Number of days or nights of racing per week:

CHRB CERTIFICATION	
Application received:	Hearing date:
Deposit received:	Approved date:
Reviewed:	License number:

3. RACING PROGRAM

- A. Total number of races:
- B. Number of races for each day or night:
- C. Total number of stakes races:
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
 - 1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
 - 2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).
 - 3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.
- E. Will provisions be made for owners and trainers to use their own registered colors?
 - Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a:
 - Corporation (complete subsection C)
 - LLC (complete subsection D)
 - Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
- C. CORPORATION
 - 1. Registered name of the corporation:
 - 2. State where incorporated:
 - 3. Registry or file number for the corporation:
 - 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:

11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate:
 Prior meet actual:

- 2. Overnight stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate:
 Prior meet actual:

- 3. Non-overnight stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate:
 Prior meet actual:

- 4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate:
 Prior meet actual:

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate:
 Prior meet actual:

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate:
 Prior meet actual:

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

Total

Total

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (7 E ÷ number of days):
 Current meet estimate:
 Prior meet actual:

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:

Prior meet actual:

G. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:

Prior meet actual:

H. Bank and account number for the Paymaster of Purses' purse account:

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c):
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program.
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
- D. List any options requested with regard to exotic wagering:
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
- G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
- B. Names and addresses of the trustees or directors of the distributing agent:
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 - Association Veterinarian(s)
 - Clerk of Scales
 - Clerk of the Course
 - Film Specialist
 - Horse Identifier
 - Horseshoe Inspector
 - Paddock Judge
 - Patrol Judges
 - Placing Judges
 - Starter
 - Timer

- B. Management officials in the racing department:
 - Director of Racing
 - Racing Secretary
 - Assistant Racing Secretary
 - Paymaster of Purses
 - Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
 - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.
- B. Number of security guards in the detention stall area during a 24-hour period.
- C. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
- B. Plan for enhanced surveillance for trainers with high-test results.
- C. Plan for detention stalls for repeat offenders.
- D. Number of security personnel assigned to the TCO2 program.

C. Describe the electronic security system:

- 1. Location and number of video surveillance cameras for the detention stall and stable gate.

D. For night racing associations. Describe emergency lighting system:

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:
 - 1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
 - 1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**
 - 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:
 Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:
- D. Describe facilities set aside for new fans:

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans
3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general)
- Admission (clubhouse)
- Reserved seating (general)
- Reserved seating (clubhouse)
- Parking (general)
- Parking (preferred)
- Parking (valet)
- Programs (on-track)
- (off-track)

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

C. Describe any "package" plans such as combined parking, admission and program:

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|---|--|---|
| <input type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text"/> |
| <input type="checkbox"/> Showers | <input type="checkbox"/> Steam room, sauna or steam cabinets | <input type="checkbox"/> Lounge area |
| <input type="checkbox"/> Masseur | <input type="checkbox"/> Food/beverage service | <input type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers:

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by (name) _____ on (date)_____.

B. Number of rooms used for housing on the backstretch of the racetrack:

C. Number of restrooms available on the backstretch of the racetrack:

D. Estimated ratio of restroom facilities to the number of backstretch personnel:

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:
- C. The percent of cross slope in the straight-aways is:
The percent of cross slope in the center of the turns is:
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a Race Day Furosemide Agreement to include the name of the furosemide veterinarian.
- ~~C~~ D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- ~~D~~ E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- ~~E~~ F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Print Name

Signature

Print Title

Date

ADDENDUM

Background and Ownership Information

FULL DISCLOSURE: By authority of Sections 19440 and 19480 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in order to allow an evaluation of the competency, integrity, and character of potential racetrack operator, contractor, subcontractor and concessionaire licensees of the California Horse Racing Board (CHRB), any applicant for such a license shall comply with the provisions set forth below. Where applicable, supply the requested information and submit with your application documents. (If necessary, attach additional pages showing the corresponding numbers for the questions you are answering.) If a question does not apply to you, so state with "N/A".

NOTE: All information contained in this Addendum may be disclosed pursuant to the California Public Records Act.

I. BACKGROUND INFORMATION

- A. **PERSONAL INFORMATION** - Application documents must include for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5% or more:
1. Full name and any previous names or aliases;
 2. date of birth;
 3. physical description;
 4. business address and telephone number; and
 5. disclosure of employment, education and military history for the past 20 years or since the age of 18.
- B. **PERSONAL HISTORY** - Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in Addendum Section I, A.
- C. **RELATIONSHIP** - The application documents must state, for each individual providing information under Addendum Section I, A, whether the individual is related to a member or an employee of the CHRB. A half-relationship or step-relationship is considered to be a familial relationship.
- D. **CORPORATIONS** - If the applicant is a corporation, the application documents must state:
1. The state in which the applicant is incorporated; and
 2. name and address of the applicant's agent for service of process in California.
- E. **INDICTMENTS OR CONVICTIONS** - If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense, e.g., felony or misdemeanor.
- F. **PENDING LEGAL PROCEEDINGS** - An applicant for a license to operate a racetrack must describe any pending legal proceedings of \$250,000 or more:
1. To which the applicant, a director, officer, or partner of the applicant, or an individual who owns an interest in the applicant of 5% or more is a party; or
 2. that involves property owned by the applicant, a director, officer, or partner of the applicant, an individual who owns an interest in the applicant of 5% or more, or a related entity identified under Addendum Section I.
 3. Applicant must state the name of the court or agency before which the proceeding is or was pending, the case number, date the proceeding was instituted, and the names of the principal parties to the proceeding.

II. OWNERSHIP

A. IDENTIFICATION AND LOCATION - The application documents must include:

1. All names used by the applicant; and
2. name of the agent and the address and telephone number of the office of the applicant for service of process in California.

B. BUSINESS STRUCTURE - The application documents must describe the applicant's business structure and include an organizational chart.

C. ORGANIZERS - If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the CHRB, the application documents must state:

1. Name of each individual who was an organizer or promoter of the applicant;
2. nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
3. nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.

D. ORGANIZATIONAL DOCUMENTS

1. If the applicant is a corporation, the application documents must include:

- a. Statement of when and in what state the corporation was organized;
- b. certified copy of the articles of incorporation and bylaws of the applicant;
- c. statement and documentation of whether the corporation has been reorganized or reincorporated during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
- d. statement and documentation of whether the corporation has filed restated articles of incorporation.

2. If the applicant is an unincorporated business association, the application documents must include:

- a. Certified copy of each organizational document for the applicant, including any partnership agreement; and
- b. description of any oral agreements involving the organization of the partnership.

E. CAPITOL STOCK

1. If the applicant is authorized to issue capital stock, the application documents must state the classes of stock authorized and the total shares of each class authorized.

2. For each class of stock, applicant must also state:

- a. Par value, if any;
- b. voting rights;
- c. current rate of dividend; and
- d. number of shares outstanding and the market value of each share.

3. Application documents must list the name and address of each person who owns, of record or beneficially, at least 5% of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.

4. Application documents must include a certified copy of each voting trust or voting agreement in which at least 5% of the capital stock is held and must state:
 - a. Name and address of each stockholder participating in the trust or agreement;
 - b. class of stock involved; and
 - c. total number of shares held by the trust or agreement.

F. DIRECTORS, OFFICERS, AND PARTNERS

1. If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted to the CHRB, as a director, officer, or partner of the applicant. The list must state for each individual:
 - a. Name and business address;
 - b. each position or office of the applicant held by the individual;
 - c. principal occupation during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
 - d. nature and extent of any ownership interest in the applicant.
2. Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under Addendum Section II, F, 1.

G. CONTROLLING ENTITY

1. Application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.
2. If a nonindividual entity owns an interest of 5% or more in the applicant, the application documents must include the information required by Addendum Section II, G, 1, as it relates to the nonindividual entity.
3. Application documents must include information required by Addendum Section II, G, 2, for each nonindividual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.

H. OUTSIDE INTERESTS AND LICENSE HISTORY - Application documents must state whether the applicant or a director, officer, or partner of the applicant:

1. Ever held an ownership interest in a licensee of the CHRB; or
2. is currently engaged in the business of racing in another state.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting:

B. Actual dates racing will be held:

C. Dates racing will **NOT** be held:

D. Total number of racing days:

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

3. RACING PROGRAM

A. Total number of races:

B. Number of races by breed:

Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

CHRB CERTIFICATION

Application received:
Reviewed:

Hearing date:
Approved date:
License number:

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred							
Other Breeds							
Total							

D. Total number of stakes races by breed:

<input type="text"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate:

Prior meet actual:

2. Overnight stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse ($7A2 \div$ number of days):
 Current meet estimate:
 Prior meet actual:

3. Non-overnight stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse ($7A3 \div$ number of days):
 Current meet estimate:
 Prior meet actual:

4. Total Purses: ($7A1 + 7A2 + 7A3$)
 Current meet estimate:
 Prior meet actual:

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate:
 Prior meet actual:

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate: Prior meet actual:

CTT

TOC

NTRA

PCQHRA

CWAR

ARAC

AMRA

CHBPAPEN

CTHF

Total

Total

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen ($7A + 7B + 7C$):
 Current meet estimate:
 Prior meet actual:

Average Daily Purse ($7D \div$ number of days):
 Current meet estimate:
 Prior meet actual:

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:

Prior meet actual:

Average Daily Purse ($7E \div$ number of days):

Current meet estimate:

Prior meet actual:

- F. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse ($7F \div$ number of days):

Current meet estimate:

Prior meet actual:

- G. Bank and account number for the Paymaster of Purses' purse account:

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c).
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes No

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5

Race #6
 Race #7
 Race #8
 Race #9
 Race #10
 Race #11
 Race #12
 Race #13

- C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program.
- D. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
- E. List any options requested with regard to exotic wagering:
- F. Will "advance" or "early bird" wagering be offered? Yes No
- If yes, when will such wagering begin. Specify days and time for "early bird" wagering:
- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:
- H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE
 (Example) PNP5-14%

Race #1
 Race #2
 Race #3
 Race #4
 Race #5
 Race #6
 Race #7
 Race #8
 Race #9
 Race #10
 Race #11
 Race #12
 Race #13

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the fair to conduct simulcast wagering:

B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 - Association Veterinarian(s)
 - Clerk of Scales
 - Clerk of the Course
 - Film Specialist
 - Horse Identifier
 - Horseshoe Inspector
 - Paddock Judge
 - Patrol Judges
 - Placing Judges
 - Starter
 - Timer

- B. Management officials in the racing department:
 - Director of Racing
 - Racing Secretary
 - Assistant Racing Secretary
 - Paymaster of Purses
 - Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
 - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
 - 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.
 - 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
 - B. Plan for enhanced surveillance for trainers with high-test results.
 - C. Plan for detention stalls for repeat offenders.
 - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system.
 - 1. Location and number of video surveillance cameras for the detention stall and stable gate.

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

1. Attach a certification from the Ambulance Company(s) listed in 15 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
1. Attach a certification from the Ambulance Company(s) listed in 15 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

B. Does the fair plan to provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

B. Promotional/ Marketing budget for this race meeting:

Promotional/Marketing budget for prior race meeting:

C. Number of hosts and hostesses employed for meeting:

D. Describe facilities set aside for new fans:

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen
2. Fans
3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

- Admission (general)
- Admission (clubhouse)
- Reserved seating (general)
- Reserved seating (clubhouse)
- Parking (general)
- Parking (preferred)
- Parking (valet)
- Programs (on-track)
- (off-track)

B. Describe any "Season Boxes" or other special accommodation fees:

C. Describe any "package" plans such as combined parking, admission and program:

19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

- | | | |
|---|--|---|
| <input type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="checkbox"/> |
| <input type="checkbox"/> Showers | <input type="checkbox"/> Steam room, sauna or steam cabinets | <input type="checkbox"/> Lounge area |
| <input type="checkbox"/> Masseur | <input type="checkbox"/> Food/beverage service | <input type="checkbox"/> Certified platform scale |

- B. Describe the quarters to be used for female jockeys:

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by (name) _____ on (date) _____.
- B. Number of rooms used for housing on the backstretch of the racetrack:
- C. Number of restrooms available on the backstretch of the racetrack:
- D. Estimated ratio of restrooms to the number of backstretch personnel:

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
- C. The percent of cross slope in the straight-aways is:
The percent of cross slope in the center of the turns is:
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.

C. Attach a Race Day Furosemide Agreement to include the name of the furosemide veterinarian.

⊖ D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

⊖ E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Print Name

Signature

Print Title

Date

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
RULE 1845. AUTHORIZED BLEEDER MEDICATION

Regular Board Meeting
June 16, 2016

1845. Authorized Bleeder Medication.

The only A authorized bleeder medication for the control of exercised exercise-induced pulmonary hemorrhage (EIPH) may shall be furosemide, and it shall only be administered to by a horse single intravenous injection, in a dosage of not less than 150 mg and not more than 500 mg, on the grounds of the racetrack where the horse will race, and no later than four hours prior to the post time of the race for which the horse is entered. It shall only be administered to a horse that is registered on the authorized bleeder medication list.

(a) A horse is ~~eligible to race with~~ registered on the authorized bleeder medication if the licensed list as follows:

(1) The trainer and/or the owner's veterinarian determines it is in the horse's best interest. If a horse will race with authorized bleeder medication, form shall determine whether furosemide is medically necessary to control EIPH and is not otherwise contraindicated for that horse; and

(2) Prior to entry for race, the official veterinarian approves form CHRB_194 (New Rev. 09/1508/04), Authorized Bleeder Medication and Medical History Request, which is hereby incorporated by reference, shall be used submitted to notify the official veterinarian prior to entry by the trainer and owner's veterinarian.

~~(b) The official laboratory shall measure the specific gravity of post-race urine samples to ensure samples are sufficiently concentrated for proper chemical analysis. The specific gravity of such samples shall not be below 1.010.~~

~~(c) If the specific gravity of the post-race urine sample is determined to be below 1.010, or if a urine sample is not available for testing, quantitation of furosemide in serum or plasma shall then be performed. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.~~

(b) Once registered, any horse that will be administered furosemide shall:

(1) Arrive on the grounds of the racetrack where the horse will race no later than five hours prior to the post time of the race for which the horse is entered; and

~~(d)(2) A horse qualified to race with authorized bleeder medication shall be Be assigned to a pre-race security stall prior to the scheduled post time for the race in which it is entered, and shall remain there until it is taken to the receiving barn or the paddock to be saddled or harnessed for the race.~~

(A) The pre-race security stall shall be identified by the posting of a form CHRB-234 (New 09/15), Detention Stall sign, which is hereby incorporated by reference. The trainer shall post the Detention Stall sign no later than eight hours prior to the post time of the race for which the horse is entered or, for a horse arriving from off the grounds of the racetrack, when the horse is placed in the pre-race security stall.

(B) While in the pre-race security stall, the horse shall be in the care, custody, control and constant view of the trainer, or a licensed person assigned by the trainer. The trainer shall be responsible for the condition, care and handling of the horse while it remains in the pre-race security stall.

(C) The official veterinarian may permit a horse to leave the pre-race security stall to engage in track warm-up heats prior to a race.

~~(e)~~ A horse qualified for administration of authorized bleeder medication must be treated on the grounds of the racetrack where the horse will race no later than four hours prior to post time of the race for which the horse is entered. The authorized bleeder medication, furosemide, by a single intravenous injection only, in a dosage of not less than 150 mg.

(c) Furosemide shall be administered only after:

(1) The trainer, owner, or not more than 500 mg. A horse racing with furosemide must show a detectable concentration of the drug in the post race serum, plasma or urine sample. The owner's veterinarian administering has consulted with the furosemide veterinarian regarding the condition of the horse and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of California Code of Regulations, Title 16, section 2032.1; or

(2) The trainer, owner, or owner's veterinarian has consulted with the bleeder medication shall the official veterinarian or racing veterinarian and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of California Code of Regulations, Title 16, section 2032.1, and that consulting official veterinarian or racing veterinarian directly supervises the furosemide veterinarian, or California registered veterinary technician, who administers furosemide.

(d) The person who administers furosemide pursuant to subsection ~~(e)~~(1) of this regulation shall notify the official veterinarian of the treatment of the horse. Such Notification shall be made using on form CHRB form-36 (New 08/04), Bleeder Treatment Report, which is hereby incorporated by reference, not later than two hours prior to post time of the race for which

~~the horse is entered. Upon the request of a Board representative, the veterinarian administering the authorized bleeder medication shall surrender the syringe used to administer such medication, which may then be submitted for testing.~~

(1) The owner, trainer or a licensed employee of the trainer shall be present and observe the furosemide administration.

(e) The horsemen's organization, trainers' organization and racing association shall enter into an agreement to provide for race-day furosemide administration. The agreement to provide for race-day furosemide administration procedures shall be submitted to the Board for approval in accordance with Rule 1433 of this Division.

(1) Furosemide shall be administered by a furosemide veterinarian or California registered veterinary technician under the direct supervision of the furosemide veterinarian. The furosemide veterinarian or California registered veterinary technician who provides race-day furosemide administration shall be employed by the racing association and shall not have a current business relationship, or prior veterinarian-client-patient relationship, with participating licensees within 30 days of the date he or she is employed to administer furosemide.

(2) "Furosemide veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the racing association to administer race-day furosemide to horses registered on the authorized bleeder medication list.

(3) "Owner's veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the owner to provide veterinary care to horses.

(f) A horse registered to be administered furosemide shall receive 250 mg of furosemide intravenously unless an alternative dose of not less than 150 mg and not more than 500 mg has

been determined after consultation between the trainer, owner, or owner's veterinarian, and the furosemide veterinarian pursuant to subsection (c).

(g) In the event of an adverse reaction or other emergency related to the administration of furosemide, the furosemide veterinarian, or California registered veterinary technician, who administered furosemide shall attend the horse until the arrival of the owner's veterinarian.

(h) The syringe used to administer furosemide shall be provided to and retained by the Board until all testing of the horse is completed. In the event of a positive test finding as defined in this article, the Board may order, or the owner or trainer may request, the retained syringe be tested for prohibited substances. The results of the test may be used in any action before the Board.

(i) A horse that has been administered furosemide must show a detectable concentration of the drug in the post-race serum, plasma or urine sample.

(1) The official laboratory shall measure the specific gravity of post-race urine samples to ensure samples are sufficiently concentrated for proper chemical analysis. The specific gravity of such samples shall not be below 1.010.

(2) If the specific gravity of the post-race urine sample is determined to be below 1.010, or if the urine sample is not available for testing, quantitation of furosemide in serum or plasma shall then be performed. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.

(~~f~~)(j) A horse placed registered on the official authorized bleeder medication list must remain on the list unless the ~~licensed~~ trainer and/or owner's veterinarian requests that the horse be removed. The request must be made using form CHRB-form-194 (NewRev. 09/1508/04), and must be submitted to the official veterinarian prior to the time of entry. A horse removed from

the authorized bleeder medication list may not be placed back on the list for a period of 60 calendar days unless the official veterinarian determines it is ~~detrimental to the welfare of~~ medically necessary for the horse. If a horse is removed from the authorized bleeder medication list a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.

~~(g)~~(k) If the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race or workout, and determines such bleeding is a direct result of EIPH, the horse shall be ineligible to race for the following periods:

- First incident -14 days;
- Second incident within 365-day period -30 days;
- Third incident within 365-day period -180 days;
- Fourth incident within 365-day period -barred ~~for~~ from racing lifetime.

For the purposes of counting the number of days a horse is ineligible to run, the day after the horse bled externally is the first day of such period. ~~The voluntary administration of authorized bleeder medication without an external bleeding incident shall not subject a horse to the initial period of ineligibility as defined under this subsection.~~

(1) The owner(s) of a registered horse shall:

(1) Pay all costs associated with the materials used in the administration of furosemide, to include the syringe and medication, and:

(2) Reasonable administrative costs as set under the race-day furosemide agreement entered into by the horsemen's organization, trainers' organization and the racing association.

(3) Consent to the procedures in this section and agree that the pre-race examination conducted under the direction of the official veterinarian or racing veterinarian shall constitute a

veterinary-client-patient relationship within the meaning of California Code of Regulations, Title 16, section 2032.1.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580, ~~and~~ 19581 and 19582,
Business and Professions Code

CALIFORNIA HORSE RACING BOARD
BLEEDER TREATMENT REPORT

HORSE: _____

Date: _____ Race: _____

Time Administered: _____

Lasix () or Other Medication ()

Veterinarian: _____

Trainer: _____

Attendant Present: _____

SECURE AREA

**DETECTION
STALL**

**DO NOT APPROACH!
¡NO SE ACERQUE USTEDI!**

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL
OF THE FISCAL YEAR 2016-17 AGREEMENT PROVIDING
FUNDING SUPPORT FOR THE BOARD

Regular Board Meeting
June 16, 2016

ISSUE: CHRB SUPPORT FORMULA FOR FISCAL YEAR 2016-2017

The CHRB support formula was developed in consultation with the horse racing industry. Historically, the formula is based upon the license fees that would have been paid to the State of California had Senate Bill 16, Statutes of 2009 (SB16) not been enacted. The following mechanism was used to develop the formula:

- The projected commingled handle was multiplied by the pre SB16 license fee rate for each host track to arrive at the estimated pre SB16 license fees for the fiscal year 2016-17.
- The CHRB support formula percentages were calculated by dividing the CHRB approved budget by the estimated pre SB16 license fees. The formula calculated rate for the 2016-17 fiscal year will be 61.00 percent.

CHRB Support Rate Fee calculated pursuant to the formula is 60.84 percent, however, the horse racing industry recommends increasing the rate to 61.00 percent. This will allow industry to pay the CHRB approved budget before the end of fiscal year 2016-17.

Fiscal year 2016-17 is the eighth year the CHRB support formula has been in effect. Table 1 below shows the CHRB approved budgets and the CHRB support fee rates used to fund CHRB operations.

Table 1. Historical Percent of formula fee rates to support the CHRB budget

Fiscal Year	CHRB Approved Budget	CHRB Support Fee Rate
2009-10	\$11,833,000	42.60%
2010-11	\$11,734,000	48.80%
2011-12	\$11,716,000	57.80%
2012-13	\$11,590,000	52.65%
2013-14	\$11,639,000	52.65%
2014-15	\$11,912,000	52.65%
2015-16	\$13,263,000	59.25%
2016-17	\$13,469,000	61.00%

The CHRB support fee rate is an estimate, and depending on handle activity there may be a variance at the end of the fiscal year. The industry agreement provides for a true up in the event obligations are not met due to the variance.

The following attachments show how the formula was developed as well as the industry agreement to provide for the CHRB support.

- Attachment I- Board Support/ F & E Recapture- Model for 7/1/2016- 6/30/2017
- Attachment II- Industry Agreement
- Attachment III- Industry Projection

As of June 1, 2016 industry representatives agreed to the CHRB support formula and all the parties have signed the agreement.

BACKGROUND

Business and Professions Code section 19615 (a) states the notwithstanding any other provision of law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 1949.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay a license fee to the state to fund the Board and the equine drug testing program as follows:

- (1) All racing associations and fairs including all breeds of racing shall participate in the funding of the Board in accordance with the formula devised by the Board in consultation with the industry.
- (2) The baseline funding for the Board and equine drug testing program in the first fiscal year after the enactment of this section shall be the amount approved in the 2008-09 Budget Act.
- (3) Adjustment to the funding in subsequent budget years may only be made by an act of the Legislature.

For the 2016-17 fiscal year, the CHRB's operating budget, as determined by the Governor's Budget, is \$13,469,000 and such amount shall be subject to change in future years.

RECOMMENDATION

Staff recommends that the Board approve the funding formula for fiscal year 2016-17.

Attachment I

Board Support / F & E Recapture- Model for 7/1/16- 6/30/17

Method assumes that board support and F & E recapture are calculated on the basis of old style commingled license fees. No license fees would be paid on non-merged host fees

Host	Prior Year time frame (6/02/15 through 5/30/16)					Projected July 1, 2016 - June 30, 2017 based on projected live race days				
	Notes	Live Days	Commingled Handle	Commingled License	Commingled License %	Notes	Live Days	Projected Commingled Handle	Projected Pre SB 16 License %	Projected Pre SB 16 License
Alameda County Fair		12	31,461,782	186,797	0.59%		10	25,300,517	0.59%	150,216
California State Fair		11	24,949,818	142,689	0.57%		10	21,887,795	0.57%	125,177
Del Mar	Summer-40, Fall -20	60	636,534,687	5,858,329	0.92%	Summer-39 days Fall-16	55	563,067,975	0.92%	5,182,180
LA County Fair		10	51,786,680	286,201	0.55%		12	59,968,975	0.55%	331,421
Fresno District Fair		9	18,867,089	116,125	0.62%		9	18,206,741	0.62%	112,061
Golden Gate Fields		149	451,132,494	2,631,566	0.58%		151	441,186,385	0.58%	2,573,548
Humboldt County Fair		6	6,546,702	33,865	0.52%		6	6,317,567	0.52%	32,680
Los Alamitos Quarters		150	240,105,583	777,769	0.32%		151	233,246,566	0.32%	755,550
Los Alamitos TBs	Summer-8, Winter -12, Spring 12	32	197,899,245	1,739,468	0.88%	Summer-8, Winter 12	20	119,357,982	0.88%	1,049,117
Santa Anita	Hosted BC	115	1,163,802,118	9,914,275	0.85%	*	131	1,253,587,124	0.82%	11,492,764
San Joaquin County		6	12,154,838	48,204	0.40%		6	11,729,418	0.40%	46,517
Sonoma County Fair		11	32,515,252	188,402	0.58%		11	31,377,218	0.58%	181,808
Watch & Wager		55	56,889,309	112,136	0.20%		54	53,900,034	0.20%	106,244
Total:		626	2,924,645,595	22,035,826	0.75%		626	2,839,134,298	0.75%	22,139,282

Estimated pre SB 16 lic. 7/1/15 - 6/30/2016 on commingled handle, excluding F & E section 19614: 22,139,282	Board Support: % of Pre SB 16 Lic. Fees: From Outs - From old style License 13,469,000 60.84% Total to Board 13,469,000	F/E Recapture Payments Per year for six years % of Pre SB 16 License 0.00% 0.00%
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Estimated Board Support by Meet 7/1/2015 - 6/30/2016	Notes	2016-17 Days	Projected Old Style License Generated	To CHRB	To F & E	Distributable SB 16 Savings (Old Style License less BS/F&E)	To Purses	To Breeders	To Tracks
Alameda County Fair		10	150,216	91,388	-	58,828	28,532	1,765	28,532
California State Fair		10	125,177	76,155	-	49,022	23,776	1,471	23,776
Del Mar		55	5,182,180	3,152,712	-	2,029,468	984,292	60,884	984,292
Fairplex Park		12	331,421	201,629	-	129,793	62,949	3,894	62,949
Fresno District Fair		9	112,061	68,175	-	43,886	21,285	1,317	21,285
Golden Gate Fields		151	2,573,548	1,565,684	-	1,007,864	488,814	30,236	488,814
Humboldt County Fair		6	32,680	19,882	-	12,798	6,207	384	6,207
Los Alamitos Quarters		151	755,550	459,659	-	295,892	143,508	8,877	143,508
Los Alamitos TBs		20	1,049,117	638,257	-	410,860	199,267	12,326	199,267
Santa Anita		131	11,492,764	6,991,918	-	4,500,846	2,182,910	135,025	2,182,910
San Joaquin County		6	46,517	28,300	-	18,217	8,835	547	8,835
Sonoma County Fair		11	181,808	110,607	-	71,200	34,532	2,136	34,532
Watch & Wager		54	106,244	64,636	-	41,608	20,180	1,248	20,180
Total:		626	22,139,282	13,469,000	-	8,670,282	4,205,087	260,108	4,205,087

* The projected handle for Santa Anita has been adjusted, for the breeder's cup host days.

This will serve to memorialize agreement among the undersigned with respect to funding of the California Horse Racing Board, ("CHRB"). The undersigned hereby agree among themselves that each will make the following payments:

1. Section 19616.51 of the Business and Professions Code was amended by ABX4 12, and now stipulates:

(a) Notwithstanding any other law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 19491.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay its proportional amount, as determined by the formula devised pursuant to paragraph (1), as a license fee to the state, to be deposited into the Horse Racing Fund, which is hereby established, to fund the board and the equine drug testing program as follows:

(1) All racing associations and fairs including all breeds of racing shall participate in the funding of the board in accordance with a formula devised by the board in consultation with the industry.

For the 2016-2017 fiscal year, the CHRB's operating budget has been determined by the enactment of Section 19616.51 to be \$13,469,000 and such amount shall be subject to change in future fiscal years. The undersigned are responsible for the funding of the CHRB's annual operating budget by depositing the necessary funds in the California Horse Racing Fund. The amount to be so deposited by each of the undersigned shall be a percentage of the License Fee that would have otherwise been payable by the undersigned in the absence of the enactment of SB 16XX and for each fiscal year a determination shall be made as to the percentage necessary to generate the amount for the funding of the CHRB operating Budget. For the 2015-2016 fiscal year such percentage shall be 61%. Thus, during the 2016-2017 fiscal year, the undersigned shall each pay 61% of the License Fee that would have otherwise been payable by each if SB 16 XX had not been enacted.

The undersigned shall remit the respective amounts they owe no later than Friday for amounts generated during the prior week (Monday through Sunday) for wagers placed at brick and mortar sites within California. For amounts generated by wagering outside the State of California on races conducted in California ("export wagering") including wagers placed through ADW providers, the undersigned will remit the respective amounts they owe within ten business days of the receipt of host fees generated from export wagering.

It is recognized that a determination of true-up amounts will be necessary at the end of each fiscal year. Excesses and shortfalls in funding shall be determined after the end of the fiscal year. Should a shortfall occur, the payments required by the undersigned shall be determined by calculating the percentage of each entity's contribution to the total funding generated in the current fiscal year and then applying such percentage to the shortfall. The CHRB will notify the undersigned of their shortfall amounts, and the undersigned will remit payments within ten business days of such notification. Should an excess occur, an amount equaling the percentage of each association's contribution to the total funding generated in the current fiscal year shall be applied to the total excess generated in the current fiscal year, and that amount shall be returned to each association in the following fiscal year.

2. The CHRB is a third party beneficiary of the payments to be made by the undersigned pursuant to paragraph 1 hereof, therefore, the CHRB has a right to bring an action against any of the undersigned who fail to make the prescribed payments in paragraph 1 hereof. Payments to be made by the undersigned are the sole responsibility of that entity; therefore, there is no joint and several liability among the undersigned for the failure of anyone to make the prescribed payments.

3. This Agreement may be signed in counterparts.

4. California Horse Racing Industry Organizations which have agreed to participate in the funding described above include: California Authority of Racing Fairs, Del Mar Thoroughbred Club, Los Angeles County Fair Association, Los Alamitos Racing Association, Los Alamitos Quarter Horse Racing Association, Los Angeles Turf Club, Pacific Racing Association, Sonoma County Fair, Watchandwager.com LLC, California Harness Horsemen's Association, California Thoroughbred Breeders Association, Pacific Coast Quarter Horse Racing Association, and Thoroughbred Owners of California.

Attachment III

Board Support Model for 7/1/2016 - 6/30/2017												
CHRB Funding Requirement							13,469,000					
Board Support calculations based upon Pre SB 16 comingled license fees.												
Meet:	Prior 12 month time frame for comparison: Tuesday, June 2, 2015 through Monday, May 30, 2016						Projected 7/1/16 - 6/30/17 Based on anticipated live race days & prior period averages; Santa Anita numbers adjusted for BC host days for hosts running similar days in both years, model incorporates an average daily handle decline of -3.50%					
	Notes	Live Cards	Comingled Hosted Handle	Comingled Pre SB 16 License Fees	Comingled Pre SB 16 License Fee %	Board Support	Projection Notes	Live Cards	Projected Comingled Handle	Assumed Pre SB 16 License Fee %	Projected Pre SB 16 License Fees	Projected Board Support at Break Even Rate
Alameda County Fair		12	31,461,782	186,797	0.59%	102,974		10	25,300,517	0.59%	150,216	91,388
California State Fair		11	24,949,818	142,689	0.57%	84,543		10	21,887,795	0.57%	125,177	76,155
Del Mar	Summer-40 days, Fall-20	60	636,534,687	5,858,328	0.92%	3,471,061	Summer-39 days, Fall-16	55	563,067,975	0.92%	5,182,180	3,152,712
Fresno District Fair		9	18,867,089	116,125	0.62%	68,805		9	18,206,741	0.62%	112,061	68,175
Golden Gate		149	451,132,494	2,631,566	0.58%	1,547,749		151	441,186,385	0.58%	2,573,548	1,565,684
Humboldt		6	6,546,702	33,865	0.52%	20,065		6	6,317,567	0.52%	32,680	19,882
LACF		10	51,786,680	286,201	0.55%	169,574		12	59,968,975	0.55%	331,421	201,629
Los Alamitos Quarter Horse		150	240,105,583	777,769	0.32%	456,101		151	233,246,566	0.32%	755,551	459,659
Los Alamitos Thoroughbred	Summer-8, Winter-12, Spring-12	32	197,899,245	1,739,468	0.88%	1,030,635	Winter 8, Spring 12	20	119,357,982	0.88%	1,049,117	638,257
San Joaquin County		6	12,154,838	48,205	0.40%	28,561		6	11,729,418	0.40%	46,517	28,300
Santa Anita		115	1,163,802,118	9,914,274	0.85%	5,777,603	Est handle includes BC Host; est license rate adj. down for BC exports	131	1,410,155,087	0.82%	11,492,764	6,991,919
Sonoma County Fair		11	32,515,252	188,402	0.58%	111,628		11	31,377,218	0.58%	181,808	110,607
Watch and Wager		55	56,889,309	112,133	0.20%	66,440		54	53,900,034	0.20%	106,241	64,634
Total:		626	2,924,645,595	22,035,822	0.75%	12,935,739		626	2,995,702,261	0.74%	22,139,279	13,469,000
Estimated percentages of Pre SB 16 License Fees needed to generate Board Support												60.84%
Suggested Percentage												61.00%

Agreement for CHRB Support -Fiscal Year 2016-2017

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By *[Handwritten Signature]*

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By _____

By _____

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By _____

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By _____

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

California Authority of Racing Fairs

Del Mar Thoroughbred Club

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Los Alamitos Racing Association

Los Angeles County Fair Association

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Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

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Pacific Racing Association

Sonoma County Fair

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Watchandwager.com LLC

Thoroughbred Owners of California

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California Harness Horsemen's Association

California Thoroughbred Breeders Association

By  _____

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Pacific Coast Quarter Horse Racing Association

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California Horse Racing Board

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California Authority of Racing Fairs

Del Mar Thoroughbred Club

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Los Alamitos Racing Association

Los Angeles County Fair Association

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Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

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Pacific Racing Association

Sonoma County Fair

By _____

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Watchandwager.com LLC

Thoroughbred Owners of California

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California Harness Horsemen's Association

California Thoroughbred Breeders Association

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Pacific Coast Quarter Horse Racing Association

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Agreed and Accepted:

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California Horse Racing Board

California Authority of Racing Fairs

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Los Alamitos Racing Association

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Los Alamitos Quarter Horse Racing Association

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Pacific Racing Association

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Watchandwager.com LLC

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Pacific Coast Quarter Horse Racing Association

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Agreed and Accepted:

By _____
California Horse Racing Board

Del Mar Thoroughbred Club

By _____

Los Angeles County Fair Association

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Los Angeles Turf Club

By _____

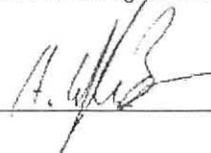
Sonoma County Fair

By _____

Thoroughbred Owners of California

By _____

California Thoroughbred Breeders Association

By  _____

California Authority of Racing Fairs

By _____

Los Alamitos Racing Association

By _____

Los Alamitos Quarter Horse Racing Association

By _____

Pacific Racing Association

By Frank DeMare Jr.

Watchandwager.com LLC

By _____

California Harness Horsemen's Association

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Del Mar Thoroughbred Club

By _____

Los Angeles County Fair Association

By _____

Los Angeles Turf Club

By M. P.

Sonoma County Fair

By _____

Thoroughbred Owners of California

By _____

California Thoroughbred Breeders Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2016-2017

California Authority of Racing Fairs

By _____

Del Mar Thoroughbred Club

By _____

Los Alamitos Racing Association

By Paul M. Ethel

Los Angeles County Fair Association

By _____

Los Alamitos Quarter Horse Racing Association

By Paul M. Ethel

Los Angeles Turf Club

By _____

Pacific Racing Association

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Sonoma County Fair

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Watchandwager.com LLC

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Thoroughbred Owners of California

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California Harness Horsemen's Association

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California Thoroughbred Breeders Association

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Pacific Coast Quarter Horse Racing Association

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Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2016-2017

California Authority of Racing Fairs

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Del Mar Thoroughbred Club

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Los Alamitos Racing Association

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Los Angeles County Fair Association

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Los Alamitos Quarter Horse Racing Association

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Los Angeles Turf Club

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Pacific Racing Association

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Sonoma County Fair

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Watchandwager.com LLC

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Thoroughbred Owners of California

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California Harness Horsemen's Association

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California Thoroughbred Breeders Association

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Pacific Coast Quarter Horse Racing Association

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Agreed and Accepted:

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California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2016-2017

California Authority of Racing Fairs

Del Mar Thoroughbred Club

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Los Alamitos Racing Association

Los Angeles County Fair Association

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Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

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Pacific Racing Association

Sonoma County Fair

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Watchandwager.com LLC

Thoroughbred Owners of California

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California Harness Horsemen's Association

California Thoroughbred Breeders Association

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By _____

Pacific Coast Quarter Horse Racing Association

By *Donna Alvord - PRESIDENT*

Agreed and Accepted:

By _____
California Horse Racing Board

California Authority of Racing Fairs

Del Mar Thoroughbred Club

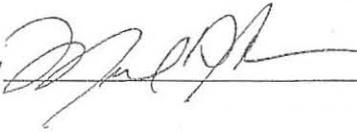
By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

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Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

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Pacific Racing Association

Sonoma County Fair

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Watchandwager.com LLC

Thoroughbred Owners of California

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California Harness Horsemen's Association

California Thoroughbred Breeders Association

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Pacific Coast Quarter Horse Racing Association

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Agreed and Accepted:

By _____
California Horse Racing Board

California Authority of Racing Fairs

Del Mar Thoroughbred Club

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By 
EVP & CFO

Los Alamitos Racing Association

Los Angeles County Fair Association

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Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

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Pacific Racing Association

Sonoma County Fair

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Watchandwager.com LLC

Thoroughbred Owners of California

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California Harness Horsemen's Association

California Thoroughbred Breeders Association

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Pacific Coast Quarter Horse Racing Association

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Agreed and Accepted:

By _____
California Horse Racing Board